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Form 990-PF

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Department of the Treasury  
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation <b>GRIGG-LEWIS FOUNDATION, INC.</b>		A Employer identification number <b>16-1550858</b>
Number and street (or P O box number if mail is not delivered to street address) <b>76 WEST AVENUE</b>	Room/suite	B Telephone number <b>716-478-0002</b>
City or town, state or province, country, and ZIP or foreign postal code <b>LOCKPORT, NY 14094</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 54,466,547.</b>	J Accounting method: <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		135.		N/A	
2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		1,232,259.	1,232,259.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		1,145,379.			
b Gross sales price for all assets on line 6a	8,105,236.				
7 Capital gain net income (from Part IV, line 2)			1,145,379.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		57,245.	76,542.		STATEMENT 2
12 Total. Add lines 1 through 11		2,435,018.	2,454,180.		
13 Compensation of officers, directors, trustees, etc		126,000.	50,400.		75,600.
14 Other employee salaries and wages		58,396.	14,599.		43,797.
15 Pension plans, employee benefits		6,961.	1,740.		5,221.
16a Legal fees	STMT 3	25,726.	0.		25,726.
b Accounting fees	STMT 4	17,550.	6,143.		11,407.
c Other professional fees	STMT 5	270,645.	270,645.		0.
17 Interest		44,138.	0.		44,138.
18 Taxes	STMT 6	32,173.	2,631.		8,675.
19 Depreciation and depletion		995.	0.		
20 Occupancy		15,672.	0.		15,672.
21 Travel, conferences, and meetings		3,490.	0.		3,489.
22 Printing and publications					
23 Other expenses	STMT 7	1,838,287.	2,289.		142,398.
24 Total operating and administrative expenses. Add lines 13 through 23		2,440,033.	348,447.		376,123.
25 Contributions, gifts, grants paid		4,661,830.			4,661,830.
26 Total expenses and disbursements. Add lines 24 and 25		7,101,863.	348,447.		5,037,953.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		<4,666,845.>			
b Net investment income (if negative, enter -0-)			2,105,733.		
c Adjusted net income (if negative, enter -0-)				N/A	

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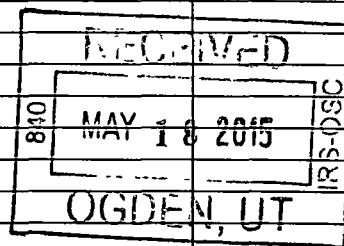
LHA For Paperwork Reduction Act Notice, see instructions.

1

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6360508 783816 G0060200.0

2014.03040 GRIGG-LEWIS FOUNDATION, INC G0060201



Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing		89,081.	76,323.	76,323.
	2	Savings and temporary cash investments		3,086,600.	2,525,335.	2,525,335.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶	8,531,720.			
		Less: allowance for doubtful accounts ▶	1,693,600.	195,000.	6,838,120.	6,838,120.
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges		25,755.	42,533.	42,533.
	10a	Investments - U.S. and state government obligations STMT 9		7,296,504.	6,741,738.	6,741,738.
	b	Investments - corporate stock STMT 10		24,849,092.	27,059,437.	27,059,437.
	c	Investments - corporate bonds STMT 11		9,059,782.	10,401,278.	10,401,278.
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other STMT 12		607,752.	779,278.	779,278.	
14	Land, buildings, and equipment: basis ▶	10,696.				
	Less: accumulated depreciation STMT 13 ▶	8,191.	1,993.	2,505.	2,505.	
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		45,211,559.	54,466,547.	54,466,547.	
Liabilities	17	Accounts payable and accrued expenses		12,885.	12,215.	
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable			12,369,000.	
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		12,885.	12,381,215.	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted		45,198,674.	42,085,332.	
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		45,198,674.	42,085,332.		
31	Total liabilities and net assets/fund balances		45,211,559.	54,466,547.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	45,198,674.
2	Enter amount from Part I, line 27a	2	<4,666,845.>
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 8	3	1,553,503.
4	Add lines 1, 2, and 3	4	42,085,332.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	42,085,332.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>	<b>P</b>	<b>VARIOUS</b>	<b>VARIOUS</b>
<b>b CAPITAL GAINS DIVIDENDS</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a 7,834,231.</b>		<b>6,959,857.</b>	<b>874,374.</b>
<b>b 271,005.</b>			<b>271,005.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<b>874,374.</b>
<b>b</b>			<b>271,005.</b>
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	<b>2</b>	<b>1,145,379.</b>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }	<b>3</b>	<b>N/A</b>

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	2,463,874.	43,372,037.	.056808
2012	1,898,997.	41,236,840.	.046051
2011	1,967,173.	40,824,164.	.048186
2010	1,759,084.	38,660,563.	.045501
2009	1,949,980.	35,220,469.	.055365

<b>2</b> Total of line 1, column (d)	<b>2</b>	<b>.251911</b>
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	<b>.050382</b>
<b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	<b>4</b>	<b>45,326,076.</b>
<b>5</b> Multiply line 4 by line 3	<b>5</b>	<b>2,283,618.</b>
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	<b>21,057.</b>
<b>7</b> Add lines 5 and 6	<b>7</b>	<b>2,304,675.</b>
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	<b>5,049,998.</b>

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	21,057.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	21,057.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	21,057.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	45,004.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	45,004.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	23,947.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input checked="" type="checkbox"/> 23,947. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► **WWW.GRIGGLEWIS.ORG**

14 The books are in care of ► **CHRIS SMITH** Telephone no. ► **716-478-0002**  
 Located at ► **76 WEST AVENUE, LOCKPORT, NY** ZIP+4 ► **14094**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► **15** **N/A**

16 At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **Yes** **No**  
 See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ► **16** **X**

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 14		126,355.	0.	361.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
CHRIS SMITH	ASSISTANT EXECUTIVE DIRECTOR	48,133.	5,643.	0.
	40.00			

Total number of other employees paid over \$50,000

0

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**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
HARTFORD FINANCIAL MANAGEMENT 40 PRATT STREET, HARTFORD, CT 06155	INVESTMENT MANAGEMENT	131,509.
MORGAN STANLEY - 50 FOUNTAIN PLAZA, SUITE 800, BUFFALO, NY 14202	INVESTMENT MANAGEMENT	61,216.

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1	
SEE STATEMENT 15	10,538.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	10,538.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	43,290,078.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	2,040,632.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	685,611.
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	46,016,321.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	46,016,321.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	690,245.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	45,326,076.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	2,266,304.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	2,266,304.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	21,057.
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	21,057.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	2,245,247.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	56,176.
<b>5</b>	Add lines 3 and 4	<b>5</b>	2,301,423.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	2,301,423.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	5,037,953.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	10,538.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	1,507.
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	5,049,998.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	21,057.
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	5,028,941.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				2,301,423.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			146,411.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ 5,049,998.				
a Applied to 2013, but not more than line 2a			146,411.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				2,301,423.
e Remaining amount distributed out of corpus	2,602,164.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	2,602,164.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	2,602,164.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014	2,602,164.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

### 1 Information Regarding Foundation Managers:

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 16

- b The form in which applications should be submitted and information and materials they should include:**

- c Any submission deadlines:

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

<b>Part XV</b>	<b>Supplementary Information</b> (continued)
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### 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
SEE ATTACHMENT B				4,661,830.
<b>Total</b>			<b>3a</b>	4,661,830.
<b>b Approved for future payment</b>				
COLD SPRINGS ASSOCIATION 4949 COLD SPRINGS ROAD LOCKPORT, NY 14094		501C3	COMMUNITY SERVICE	10,000.
HEARTS AND HANDS FAITH IN ACTION 50 JOHN STREET AKRON, NY 14001		501C3	ELDERLY	17,500.
IT HAPPENED TO ALEXA FOUNDATION, INC. 411 CENTER STREET LEWISTON, NY 14092		501C3	HUMAN SERVICE	5,000.
<b>Total</b>			<b>3b</b>	2,037,600.

SEE CONTINUATION SHEET(S)



2014.03040 GRIGG-LEWIS FOUNDATION, INC G0060201

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
CAPITAL GAINS						
DIVIDENDS	271,005.	271,005.	0.	0.		
INTEREST AND						
DIVIDENDS FROM						
INVESTMENTS	1,232,259.	0.	1,232,259.	1,232,259.		
TOTAL TO FORM 990-PF, LINE 4	1,503,264.	271,005.	1,232,259.	1,232,259.		

FORM 990-PF	OTHER INCOME			STATEMENT	2
DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME		
LA REALTY FUND I LLC	50,121.	69,418.			
RI INTEREST	7,124.	7,124.			
TOTAL TO FORM 990-PF, PART I, LINE 11	57,245.	76,542.			

FORM 990-PF	LEGAL FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
LEGAL FEES	25,726.	0.		25,726.	
TOTAL TO FORM 990-PF, PG 1, LN 16A	25,726.	0.		25,726.	

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FORM 990-PF	ACCOUNTING FEES	STATEMENT	4
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	17,550.	6,143.		11,407.
NO FORM 990-PF, PG 1, LN 16B	17,550.	6,143.		11,407.

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FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	5
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	270,645.	270,645.		0.
NO FORM 990-PF, PG 1, LN 16C	270,645.	270,645.		0.

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FORM 990-PF	TAXES	STATEMENT	6
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	10,523.	2,631.		7,925.
STATE FILING FEE	750.	0.		750.
FEDERAL EXCISE TAX	20,900.	0.		0.
NO FORM 990-PF, PG 1, LN 18	32,173.	2,631.		8,675.

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FORM 990-PF	OTHER EXPENSES	STATEMENT	7
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DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	6,495.	0.		6,495.
INSURANCE	3,898.	0.		3,898.
EQUIPMENT RENTAL	1,030.	0.		1,030.
DIRECT PAYMENTS FOR THE BENEFIT OF GRANTEEES	125,776.	0.		125,776.

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TELEPHONE	2,453.	0.	2,453.
DUES AND SUBSCRIPTIONS	4,578.	2,289.	2,289.
POSTAGE AND DELIVERY	457.	0.	457.
PROVISION FOR LOSSES ON			
PROGRAM RELATED INVESTMENTS	1,693,600.	0.	0.
TO FORM 990-PF, PG 1, LN 23	1,838,287.	2,289.	142,398.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 8

DESCRIPTION	AMOUNT
UNREALIZED GAIN/LOSS ON INVESTMENTS	1,553,503.
TOTAL TO FORM 990-PF, PART III, LINE 3	1,553,503.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 9

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
U.S. GOVERNMENT OBLIGATIONS -SEE ATTACHMENT A	X		6,741,738.	6,741,738.
TOTAL U.S. GOVERNMENT OBLIGATIONS			6,741,738.	6,741,738.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			6,741,738.	6,741,738.

FORM 990-PF CORPORATE STOCK STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK - SEE ATTACHMENT A	27,059,437.	27,059,437.
TOTAL TO FORM 990-PF, PART II, LINE 10B	27,059,437.	27,059,437.

FORM 990-PF	CORPORATE BONDS	STATEMENT 11
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS - SEE ATTACHMENT A	10,401,278.	10,401,278.
TOTAL TO FORM 990-PF, PART II, LINE 10C	10,401,278.	10,401,278.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 12
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
ACCRUED INTEREST RECEIVABLE	FMV	11,310.	11,310.
REAL ESTATE LLC	FMV	767,968.	767,968.
TOTAL TO FORM 990-PF, PART II, LINE 13		779,278.	779,278.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 13
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	1,490.	1,490.	0.
COMPUTER	1,099.	1,099.	0.
COMPUTER UPGRADES	1,955.	1,955.	0.
CONFERENCE ROOM FURNISHINGS	2,869.	1,845.	1,024.
LAPTOP	989.	989.	0.
COMPUTER	787.	655.	132.
TABLES	978.	70.	908.
COMPUTER	529.	88.	441.
TOTAL TO FM 990-PF, PART II, LN 14	10,696.	8,191.	2,505.

FORM 990-PF      PART VIII - LIST OF OFFICERS, DIRECTORS      STATEMENT 14  
TRUSTEES AND FOUNDATION MANAGERS

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM B. MAY 16 WEST AVENUE LOCKPORT, NY 14094	SECRETARY/EXEC 35.00	DIRECTOR 76,355.	0.	0.
CHRISTA CALDWELL 16 WEST AVENUE LOCKPORT, NY 14094	PRESIDENT 2.00	10,000.	0.	218.
L. THOMAS WEEKS 16 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	63.
DAN L. WILSON 16 WEST AVENUE LOCKPORT, NY 14094	TREASURER 2.00	10,000.	0.	80.
MARY F. MURPHY 16 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
BRIAN COSTELLO 16 WEST AVENUE LOCKPORT, NY 14094	DIRECTOR 2.00	10,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		126,355.	0.	361.

FORM 990-PF      SUMMARY OF PROGRAM-RELATED INVESTMENTS      STATEMENT 15

## DESCRIPTION

DURING 2014 THE FOUNDATION AWARDED A \$25,000 PROGRAM RELATED INVESTMENT LOAN TO THE CHALLENGER LEARNING CENTER FOR EQUIPMENT. THE ORGANIZATION BEGAN REPAYMENT DURING THE YEAR AND THE AMOUNT REPORTED BY THE FOUNDATION REPRESENTS THE OUTSTANDING BALANCE AT YEAR-END.

NO FORM 990-PF, PART IX-B, LINE 1

AMOUNT

10,538.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 16

## NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

CHRIS SMITH  
16 WEST STREET  
ROCKPORT, NY 14094

## TELEPHONE NUMBER

716-478-0002

## FORM AND CONTENT OF APPLICATIONS

APPLICATION AVAILABLE ONLINE. APPLICATION CAN BE SUBMITTED ELECTRONICALLY  
OR BY MAIL.

## ANY SUBMISSION DEADLINES

6/15/15, 9/15/15, 11/16/15

## RESTRICTIONS AND LIMITATIONS ON AWARDS

FUNDING PRIORITY IS TO ORGANIZATIONS LOCATED IN THE NIAGARA COUNTY AREA.

2014 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
11	COMPUTER	06/24/08	SL	3.00		16	1,490.				1,490.	1,490.		0.	1,490.
22	COMPUTER	03/18/08	SL	3.00		16	1,099.				1,099.	1,099.		0.	1,099.
23	COMPUTER UPGRADES	10/09/08	SL	5.00		16	1,955.				1,955.	1,955.		0.	1,955.
24	CONFERENCE ROOM FURNISHINGS	12/03/10	SL	7.00		16	2,869.				2,869.	1,435.		410.	1,845.
25	LAPTOP	11/23/11	SL	3.00		16	989.				989.	824.		165.	989.
36	COMPUTER	12/31/12	SL	3.00		16	787.				787.	393.		262.	655.
47	TABLES	05/07/14	SL	7.00		16	978.				978.			70.	70.
48	COMPUTER	06/11/14	SL	3.00		16	529.				529.			88.	88.
	* TOTAL 990-PF PG 1 DEPR						10,696.				10,696.	7,196.		995.	8,191.

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KENAN CENTER 433 LOCUST STREET LOCKPORT, NY 14094		501C3	ARTS CULTURE	44,100.
LOCKPORT MAIN STREET, INC. 1 EAST MAIN STREET LOCKPORT, NY 14094		501C3	COMMUNITY SERVICE	105,000.
NIAGARA UNIVERSITY 5795 LEWISTON ROAD NIAGARA UNIVERSITY, NY 14109		501C3	EDUCATION	126,000.
THE DALE ASSOCIATION 315 BEWLEY BLDG. LOCKPORT, NY 14094		501C3	ELDERLY	10,000.
YMCA OF LOCKPORT 19 EAST AVENUE LOCKPORT, NY 14094		501C3	HUMAN SERVICE	1,500,000.
CITY SCHOOL DISTRICT OF THE CITY OF LOCKPORT 130 BEATTIE LOCKPORT, NY 14094		501C3	EDUCATION	5,000.
SISTERS OF ST. MARY OF NAMUR 241 LAFAYETTE DRIVE BUFFALO, NY 14213		501C3	RELIGIOUS	60,000.
IMAGINE COMMUNITY GARDEN 7071 TRANSIT ROAD EAST AMHERST, NY 14051		501C3	COMMUNITY SERVICE	5,000.
ST JOHN THE BAPTIST CHURCH - OUTREACH CENTER 160 CHESTNUT STREET LOCKPORT, NY 14094		501C3	HUMAN SERVICE	25,000.
PLANNED PARENTHOOD OF THE ROCHESTER SYRACUSE REGION 114 UNIVERSITY AVE ROCHESTER, NY 14605		501C3	HUMAN SERVICE	10,000.
Total from continuation sheets				2,005,100.

**3 Grants and Contributions Approved for Future Payment (Continuation)**

423835  
05-01-14

EIN: 16-1550858

DECEMBER 31, 2014

ATTACHMENT B

Name	Address	Status	Category	Amount
AMERICAN RED CROSS BUFFALO	786 Delaware Buffalo NY 14209	501c3	Human Service	12,000 00
BOY SCOUTS OF AMERICA	45 Liberty Batavia NY 14020	501c3	Youth	12,000 00
BUFFALO INNER CITY BALLET CO	2495 Main St Buffalo NY 14214	501c3	Arts & Culture	1,000 00
BUFFALO PHILHARMONIC ORCHESTRA SOC., INC	499 Franklin Street Buffalo NY 14202	501c3	Arts & Culture	10,000 00
BUFFALO PHILHARMONIC ORCHESTRA SOC., INC	499 Franklin Street Buffalo NY 14202	501c3	Arts & Culture	25,000 00
CATHOLIC CHARITIES OF BUFFALO	741 Delaware Avenue Buffalo NY 14209	501c3	Human Service	25,000 00
CHALLENGER LEARNING CENTER	210 Walnut Street Lockport NY 14094	501c3	Education	9,000 00
CHALLENGER LEARNING CENTER	210 Walnut Street Lockport NY 14094	501c3	Education	6,700 00
COLD SPRINGS CEMETARY	4849 Cold Springs Rd Lockport NY 14094	501c3	Community Service	6,000 00
CORNELL COOPERATIVE	CORNELL COOPERATIVE Lockport NY 14094	501c3	Community Service	12,000 00
CORNELL COOPERATIVE	CORNELL COOPERATIVE Lockport NY 14094	501c3	Education	30,000 00
CORNELL COOPERATIVE	CORNELL COOPERATIVE Lockport NY 14094	501c3	Youth	7,040 00
CRADLE BEACH CAMP, INC	8038 OLD LAKESHORE ROAD ANGOLA NY 14006	501c3	Youth	50,000 00
DALE ASSOCIATION INC	315 Bewley Bldg Lockport NY 14094	501c3	Elderly	18,000 00
DALE ASSOCIATION INC	315 Bewley Bldg Lockport NY 14094	501c3	Elderly	150,000 00
DALE ASSOCIATION INC	315 Bewley Bldg Lockport NY 14094	501c3	Elderly	10,000 00
DALE ASSOCIATION INC	315 Bewley Bldg Lockport NY 14094	501c3	Elderly	7,040 00
EASTERN NIAGARA HEALTH SYSTEM	Lockport Mem Hospital Lockport NY 14094	501c3	Youth	3,520 00
EMMANUEL UNITED METHODIST CHURCH	75 East Avenue Lockport NY 14094-3885	501c3	Community	800 00
EPISCOPAL DIOCESE OF WNY	1064 Brighton Rd Tonawanda NY 14150	501c3	Community	100,000 00
EQUI STAR INC	2199 Fuller Rd Burt NY 14028	501c3	Youth	6,000 00
EQUI STAR INC	2199 Fuller Rd Burt NY 14028	501c3	Youth	7,040 00
ERIE CANAL DISCOVERY-NIAGARA COUNTY	Niagara County Historical Society Lockport NY 14094	501c3	History/Tourism	6,000 00
Exponent Philanthropy	1720 N Street NW Washington DC 20036	501c3	Education	725 00
Exponent Philanthropy	1720 N Street NW Washington DC 20036	501c3	Education	1,500 00
FAMILIES OF SPINAL MUSCULAR ATROPHY	PO Box 444 North Tonawanda NY 14120	501c3	Health	1,000 00
FAMILY & CHILDRENS SERVICES OF NIAGARA	1522 Main st Niagara Falls NY 14305	501c3	Human Service	12,000 00
FIRST PRESBYTERIAN	21 Church Street Lockport NY 14094	501c3	Religious	12,000 00
GIRL SCOUTS OF AMERICA	3332 Walden Ave Depew NY 14043	501c3	Youth	12,000 00
GIRL SCOUTS OF AMERICA	3332 Walden Ave Depew NY 14043	501c3	Youth	7,040 00
GLENWOOD CEMETERY	325 Glenwood Avenue Lockport NY 14094	501c3	Community Service	6,000 00
GLOBAL OUTREACH MISSION	Niagara Bible Conference & Camp Appleton NY 14008	501c3	Human Service	200 00
GRACE EPISCOPAL CHURCH	Pet Pantry Lockport NY 14094	501c3	Human Service	500 00
HART INTERFAITH INC	505 Cayuga Street Lewiston NY 14092	501c3	Elderly	1,800 00
HEARTS & HANDS FAITH IN ACTION	50 John Street Akron NY 14001	501c3	Human Service	17,500 00



HERSCHELL CARROUSEL MUSEUM	HERSCHELL CARROUSEL MUSEUM N Tonawanda NY 14120	501c3	Arts/Culture	10,000.00
IT HAPPENED TO ALEXA FOUNDATION INC	125 South First Street Lewiston NY 14092	501c3	Human Service	5,000.00
JUNIOR ACHIEVEMENT	275 Oak Street Buffalo NY 14203-1638	501c3	Education	12,000.00
JUNIOR ACHIEVEMENT	275 Oak Street Buffalo NY 14203-1638	501c3	Education	4,500.00
KENAN CENTER INC	433 Locust Street Lockport NY 14094	501c3	Arts & Culture	12,000.00
KENAN CENTER INC	433 Locust Street Lockport NY 14094	501c3	Arts & Culture	40,000.00
KENAN CENTER INC.	433 Locust Street Lockport NY 14094	501c3	Arts & Culture	2,000.00
KENAN CENTER INC	433 Locust Street Lockport NY 14094	501c3	Youth	7,040.00
KIDS ESCAPING DRUGS	KIDS ESCAPING DRUGS West Seneca NY 14224	501c3	Youth	12,000.00
LEADERSHIP NIAGARA	Leadership Niagara Niagara Falls NY 14305	501c3	Education	1,400.00
LOCKPORT CARES INC	160 Chestnut Street Lockport NY 14094	501c3	Human Service	12,000.00
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	1,800.00
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	25,000.00
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	1,500.00
LOCKPORT CITY SCHOOL DISTRICT	130 Beattie Lockport NY 14094	501c3	Education	2,000.00
Lockport Community Serv	PO Box 1348 Lockport NY 14094	501c3	Community	2,000.00
LOCKPORT ICE ARENA & SPORTS CENTER INC	172 EAST AVE LOCKPORT NY 14094	501c3	Community	900,000.00
LOCKPORT ICE ARENA & SPORTS CENTER INC.	172 EAST AVE LOCKPORT NY 14094	501c3	Community	643,000.00
LOCKPORT ICE ARENA & SPORTS CENTER INC	172 EAST AVE LOCKPORT NY 14094	501c3	Community	988,000.00
LOCKPORT ICE ARENA & SPORTS CENTER INC	172 EAST AVE LOCKPORT NY 14094	501c3	Community	163,000.00
LOCKPORT ICE ARENA & SPORTS CENTER INC	172 EAST AVE LOCKPORT NY 14094	501c3	Community	100,800.00
LOCKPORT ICE ARENA & SPORTS CENTER INC	172 EAST AVE LOCKPORT NY 14094	501c3	Community	475,200.00
LOCKPORT LIBRARY	PO Box 475 Lockport NY 14094	501c3	Youth	7,040.00
LOCKPORT LITTLE LEAGUE BASEBALL	6062 Fisk Road Lockport NY 14094	501c3	Youth	8,000.00
LOCKPORT MAIN STREET INC	1 East Main Street Lockport NY 14094	501c3	Community	4,000.00
LOCKPORT MAIN STREET INC	1 East Main Street Lockport NY 14094	501c3	Community	35,000.00
LOCKPORT MAIN STREET INC	1 East Main Street Lockport NY 14094	501c3	Community	2,000.00
LOCKPORT NEW BEGINNINGS	271 Elmwood Avenue Lockport NY 14094	501c3	Youth	5,000.00
LOCKPORT UNITED CHURCH OF CHRIST	98 East Ave Lockport NY 14094	501c3	Community	100.00
MARKET STREET ART CENTER INC	4035 Purdy Road Lockport NY 14094	501c3	Arts & Culture	12,000.00
MENTAL HEALTH ASSOCIATION	36 Pine Street Lockport NY 14094	501c3	Health	12,000.00
MENTAL HEALTH ASSOCIATION	36 Pine Street Lockport NY 14094	501c3	Human Service	375.00
MENTAL HEALTH ASSOCIATION	36 Pine Street Lockport NY 14094	501c3	Youth	3,520.00
MIDDLEPORT UNITED METHODIST CHURCH	5-9 Park Avenue Middleport NY 14108	501c3	Religious	100.00
NEW DIRECTIONS	6395 Old Niagara Rd Lockport NY 14094	501c3	Education	12,000.00
NEW DIRECTIONS	6395 Old Niagara Rd Lockport NY 14094	501c3	Human Service	45,000.00
NIAGARA CATHOLIC JR/SR HIGH	520- 66th Street Niagara Falls NY 14304	501c3	Education	3,000.00
NIAGARA COUNTY DEPT OF SOCIAL SERVICES	Office of Youth Bureau Niagara Falls NY 14303	501c3	Community Service	500.00

NIAGARA COUNTY DEPUTY	PO BOX 58 Newfane NY 14108	501c3	Youth	250 00
NIAGARA COUNTY HISTORICAL SOCIETY INC	215 NIAGARA STREET LOCKPORT NY 14094	501c3	History/Tourism	12,000 00*
NIAGARA COUNTY HISTORICAL SOCIETY INC	215 NIAGARA STREET LOCKPORT NY 14094	501c3	Youth	7,040 00
NIAGARA COUNTY HISTORICAL SOCIETY INC	215 NIAGARA STREET LOCKPORT NY 14094	501c3	History/ Tourism	11,300 00
NIAGARA HOSPICE	4675 Sunset Dr Lockport NY 14094	501c3	Medical	12,000 00
NIAGARA HOSPICE	4675 Sunset Dr Lockport NY 14094	501c3	Youth	7,040 00
NIAGARA POLICE ATHLETIC LEAGUE	4455 Porter Rd Niagara Falls NY 14304	501c3	Youth	250 00
NIAGARA UNIVERSITY	Academic Complex Niagara University NY 14009	501c3	Education	64,000 00
NIOGA LIBRARY SYSTEM	6575 Wheeler Rd Lockport NY 14094	501c3	Education	12,000 00
NIOGA LIBRARY SYSTEM	6575 Wheeler Rd Lockport NY 14094	501c3	Youth	10,560 00
NOR-TON RED JACKET CLUB, INC	1601 Sweeney Street North Tonawanda NY 14120	501c3	Youth	4,000 00
OLCOTT BEACH CAROUSEL PARK ASSOC INC	O Box 308 Olcott NY 14126	501c3	Community Service	10,000 00
OLD FORT NIAGARA ASSOCIATION INC	PO Box 169 Lewiston NY 14174	501c3	History/Tourism	6,000 00
OPPORTUNITIES UNLIMITED OF NIAGARA	107 Health Street Lockport NY 14094	501c3	Youth	7,040 00
PALACE THEATER	2 East Avenue Lockport NY 14094	501c3	Art/Culture	22,000 00
PALACE THEATER	2 East Avenue Lockport NY 14094	501c3	Youth	7,040 00
PRESBYTERIAN SENIOR CARE OF WNY INC	4455 Transit Rd Williamsville NY 14221	501c3	Elderly	12,000.00
RAINBOW OF HELP INC	PO Box 206 Newfane NY 14108	501c3	Human Service	2,000 00
SABAH FOUNDATION INC.	2607 Niagara Street Buffalo NY 14207	501c3	Human Service	6,000 00
SALVATION ARMY	50 Cottage Street Lockport NY 14094	501c3	Human Service	12,000.00
SALVATION ARMY	50 Cottage Street Lockport NY 14094	501c3	Youth	7,040 00
SANBORN AREA HISTORICAL SOCIETY	PO Box 172 Sanborn NY 14132	501c3	History/Tourism	500.00
SISTERS OF ST MARY OF NAMUR	241 Lafayette Drive Buffalo NY 14213	501c3	Religious	30,000.00
ST VINCENT DePAUL	Diocesan Central Council of Buffalo Buffalo NY 142069	501c3	Human Service	5,000 00
St. JOHNS OUTREACH	168 Chestnut Street Lockport NY 14094	501c3	Human Service	12,000.00
TOWN OF LOCKPORT	6560 Dysinger Rd Lockport NY 14094	501c3	Community	1,000.00
UNITED WAY OF GREATER NIAGARA INC	6420 Inducon Drive W Suite B2 Sanborn NY 14132	501c3	Human Service	22,000 00
UNITED WAY OF GREATER NIAGARA INC	6420 Inducon Drive W Suite B2 Sanborn NY 14132	501c3	Youth	3,520 00
WESTERN NEW YORK GRANTMAKERS	PO Box 1333 Buffalo NY 14205-1333	501c3	Education	2,000 00
WNED	PO Box 1263 Buffalo NY 14240	501c3	Arts/Culture	100 00
WNY INDEPENDENT LIVING INC	3108 Main Street Buffalo NY 14214	501c3	Health	750 00
WNY WOMEN'S FOUNDATION	742 Delaware Avenue Buffalo NY 14209	501c3	Education	10,000 00
YMCA	19 East Avenue Lockport NY 14094	501c3	Human Service	12,000 00
YMCA	19 East Avenue Lockport NY 14094	501c3	Youth	3,000 00
YMCA	19 East Avenue Lockport NY 14094	501c3	Youth	7,040 00
Youth Mentoring Services of Niagara City	86 Park Avenue Lockport NY 14094	501c3	Youth	12,000 00
Youth Mentoring Services of Niagara City	86 Park Avenue Lockport NY 14094	501c3	Youth	7,040 00
YWCA	32 Cottage Street Lockport NY 14094	501c3	Human Service	24,000.00

YWCA	32 Cottage Street Lockport NY 14094	501c3	Youth	7,040 00
YWCA	32 Cottage Street Lockport NY 14094	501c3	Human Service	115,000 00
				<u>4,661,830.00</u>

## GRIGG-LEWIS FOUNDATION, INC.

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TAB A

US and State Government Obligations

	Book Value	Market Value
US TREASURY	\$ 3,360,950	\$ 3,360,950
US TREASURY	58,627	58,627
FFCB	37,590	37,590
FHLMC	130,776	130,776
FHLMC	162,408	162,408
FNMA	158,796	158,796
FNMA	5,009	5,009
FHLMC	68,332	68,332
FNMA	8,470	8,470
FNMA	33,504	33,504
FNMA	5,324	5,324
FNMA	10,753	10,753
FNMA	27,030	27,030
FNMA	47,257	47,257
GNMA	22,000	22,000
US TREASURY	1,677,680	1,677,680
FHLMC	282,493	282,493
FHLMC	118,328	118,328
FHLMC	1,007	1,007
FHLMC	652	652
FHLMC	55,655	55,655
FNMA	114,429	114,429
FNMA	69,102	69,102
FNMA	31,179	31,179
FNMA	66,343	66,343
FNMA	173,891	173,891
FNMA	10,483	10,483
GNMA	2,296	2,296
GNMA	1,374	1,374
	<u>\$ 6,741,738</u>	<u>\$ 6,741,738</u>
	STATEMENT 9	STATEMENT 9

CORPORATE STOCK (EQUITY)

	Book Value	Market Value
AUTO DATA PROCESSING	\$ 666,960	\$ 666,960
CHEVRON CORPORATION	953,530	953,530
CORNING INC.	343,950	343,950
WALT DISNEY CO	800,615	800,615
EM CORP	743,500	743,500
EXXON MOBIL CORPORATION	647,150	647,150
INTEL CORPORATION	145,160	145,160
QUALCOMM INC.	349,351	349,351
REGAL ENTERTAINMENT GROUP	427,200	427,200
ROYCE PREMIER FUND	1,850,659	1,850,659
FIRST TR	1,020,200	1,020,200
EXPRESS SCRIPTS HOLDING CO	48,008	48,008

## GRIGG-LEWIS FOUNDATION, INC.

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## TAB A

HEWLETT PACKARD CO	165,336	165,336
ALCOA	54,791	54,791
AMAZON.COM	40,346	40,346
AMBEV	24,382	24,382
AMC NETWORKS INC.	80,350	80,350
ANHEUSER-BUSCH	63,602	63,602
APACHE CORP	15,041	15,041
APOLLO EDUCATION	46,049	46,049
CAMERON INTL	51,948	51,948
CATAMARAN CORP	45,023	45,023
CERNER CORP	88,584	88,584
DIAGEO PLC	58,495	58,495
EMC CORP	86,246	86,246
EOG RESOURCES	11,969	11,969
EBAY INC.	58,365	58,365
ELECTRONIC ARTS INC.	75,224	75,224
EXPRESS SCRIPTS HOLDING CO	45,722	45,722
GANNETT CO	36,719	36,719
GENERAL ELECTRIC COMPANY	90,972	90,972
GOOGLE INC. CL C	31,584	31,584
GOOGLE INC. CL A	31,840	31,840
HESS CORPORATION	89,322	89,322
HSBC HOLDINGS	52,279	52,279
JOHNSON AND JOHNSON	36,599	36,599
JOY GLOBAL INC.	44,194	44,194
JUNIPER NETWORKS	96,422	96,422
LENNAR CORP CL A	20,613	20,613
LIBERTY GLOBAL INC.	28,617	28,617
LULULEMON AHTLETICA INC.	54,674	54,674
MASTERCARD INC.	30,156	30,156
MONSANTO CO	119,470	119,470
MOSAIC CO	51,128	51,128
NESTLE	51,408	51,408
PEABODY ENERGY INC.	41,486	41,486
POPULAR INC.	27,580	27,580
RANGE RESOURCES CORP	19,777	19,777
REALOGY HOLDINGS CORP	37,817	37,817
SANOFI	55,188	55,188
SCHLUMBERGER LTD	39,289	39,289
SINCLAIR BROADCAST GROUP	38,578	38,578
STARZ	27,027	27,027
THE PRICELINE GROUP	45,608	45,608
TOLL BROTHERS	17,135	17,135
TRIBUNE MEDIA CO	25,701	25,701
TRIPADVISOR INC.	39,570	39,570
UNILEVER PLC	34,408	34,408
VERIFONE SYSTEMS INC.	21,576	21,576
VIACOM INC.	69,982	69,982
VISA INC.	18,354	18,354

## GRIGG-LEWIS FOUNDATION, INC.

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## TAB A

WEATHERFORD INTL	27,136	27,136
YUM BRANDS INC.	31,326	31,326
21ST CENTURY FOX	83,723	83,723
MANNING AND NAPIER DYNAMIC	191,783	191,783
MANNING AND NAPIER EMERGING	51,266	51,266
MANNING AND NAPIER FOCUSED	186,767	186,767
MANNING AND NAPIER INFLATION	56,764	56,764
MANNING AND NAPIER INTL	195,958	195,958
MANNING AND NAPIER REAL	204,448	204,448
MANNING AND NAPIER WORLD	77,657	77,657
BIOMED REALTY TRUST	7,324	7,324
CORP OFFICE	7,376	7,376
WEYERHAEUSER CO	42,709	42,709
AT&T	271,004	271,004
ABBOTT LABORATORIES	405,180	405,180
BAXTER INTERNATIONAL INC.	513,030	513,030
BRISTOL-MYERS SQUIBB CO	590,300	590,300
CSX CORP	362,300	362,300
CVS HEALTH CORPORATION	1,444,650	1,444,650
COSTCO	567,000	567,000
DANAHER CORP	1,028,520	1,028,520
DOMINION	385,423	385,423
GENERAL ELECTRIC COMPANY	293,132	293,132
HOME DEPOT	524,850	524,850
JP MORGAN CHASE	750,960	750,960
KIMBERLY-CLARK CORP	346,620	346,620
KRAFT FOODS GROUP	208,846	208,846
MEADWESTVACO CORPORATION	443,900	443,900
MONDELEZ INTL INC.	363,250	363,250
PEPSICO INC.	472,800	472,800
TARGET CORP	303,640	303,640
UNITED TECHNOLOGIES CORP	1,035,000	1,035,000
VERIZON COMMUNICATIONS	440,059	440,059
VODAFONE GROUP	223,643	223,643
WELLS FARGO	548,200	548,200
AMERICAN FD	2,032,284	2,032,284
ISHARES	423,980	423,980
SECTOR SPDR	1,483,800	1,483,800
	<u>\$ 27,059,437</u>	<u>\$ 27,059,437</u>
	STATEMENT 10	STATEMENT 10

CORPORATE BONDS

	Book Value	Market Value
JANUS SHORT TERM BOND	\$ 1,181,484	\$ 1,181,484
LORD ABBETT SHORT DURATION	615,603	615,603
AMERICAN EXPRESS	92,602	92,602
BHP BILLITON	88,015	88,015
CATERPILLAR	89,658	89,658
CITIGROUP	79,577	79,577

## GRIGG-LEWIS FOUNDATION, INC.

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## TAB A

COMCAST CORPORATION	86,117	86,117
FEDEX CORPORATION	91,309	91,309
GOLDMAN SACHS GROUP	89,091	89,091
HARTFORD	84,195	84,195
JP MORGAN CHASE	87,162	87,162
UNION PACIFIC	90,405	90,405
WEATHERFORD	88,887	88,887
MANNING AND NAPIER CORE	1,380,073	1,380,073
MANNING AND NAPIER GLOBAL	226,647	226,647
MANNING AND NAPIER HIGH	237,838	237,838
BANK AMER CORP	531,145	531,145
GENERAL ELECTRIC	343,726	343,726
JOHN DEERE	200,203	200,203
JP MORGAN CHASE	197,684	197,684
MICROSOFT CORP	262,634	262,634
ORACLE CORPORATION	305,134	305,134
UBS	278,484	278,484
UNILEVER	252,380	252,380
BANC AMER	590,444	590,444
GOLDMAN SACHS GROUP	1,034,672	1,034,672
LOOMIS SAYLES BOND FUND	805,940	805,940
OPPENHEIMER SENIOR	581,403	581,403
TEMPLETON GLOBAL	408,766	408,766
	<u>\$ 10,401,278</u>	<u>\$ 10,401,278</u>
	STATEMENT 11	STATEMENT 11