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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation

LEGACY FOUNDATION OF TOMPKINS COUNTY

Number and street (or P.O. box number if mail is not delivered to street address)

P.O. BOX 97

Room/suite

City or town, state or province, country, and ZIP or foreign postal code

ITHACA, NY 14851

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify)

► \$

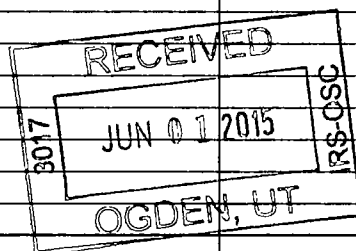
2,910,542.

(Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	224,328.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	72,141.	72,141.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	92,460.			
	b Gross sales price for all assets on line 6a	649,384.			
	7 Capital gain net income (from Part IV, line 2)		92,460.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	388,929.	164,601.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2	3,850.	3,850.	0.
	c Other professional fees	STMT 3	20,477.	20,477.	0.
	17 Interest				
	18 Taxes	STMT 4	6,023.	275.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 5	18,510.	18,510.	0.
	24 Total operating and administrative expenses. Add lines 13 through 23		48,860.	43,112.	0.
	25 Contributions, gifts, grants paid		183,399.		183,399.
	26 Total expenses and disbursements. Add lines 24 and 25		232,259.	43,112.	183,399.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		156,670.			
b Net investment income (if negative, enter -0-)			121,489.		
c Adjusted net income (if negative, enter -0-)			N/A		

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LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2014)

SCANNED JUN 02 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		321,292.	113,309.	113,309.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations STMT 7		213,307.	287,587.	287,587.
	b	Investments - corporate stock STMT 8		1,652,749.	1,840,710.	1,840,710.
	c	Investments - corporate bonds STMT 9		543,409.	668,936.	668,936.
	11	Investments - land, buildings, and equipment: basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment: basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,730,757.	2,910,542.	2,910,542.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted		2,730,757.	2,910,542.	
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund				
	29	Retained earnings, accumulated income, endowment, or other funds				
30	Total net assets or fund balances		2,730,757.	2,910,542.		
31	Total liabilities and net assets/fund balances		2,730,757.	2,910,542.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,730,757.
2	Enter amount from Part I, line 27a	2	156,670.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 6	3	23,115.
4	Add lines 1, 2, and 3	4	2,910,542.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,910,542.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES - SHORT TERM	P	VARIOUS	VARIOUS
b PUBLICLY TRADED SECURITIES - LONG TERM	P	VARIOUS	VARIOUS
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 58,407.		50,091.	8,316.
b 590,977.		506,833.	84,144.
c			
d			
e			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			8,316.
b			84,144.
c			
d			
e			

2 Capital gain net income or (net capital loss)	2	92,460.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	163,169.	2,341,881.	.069674
2012	156,407.	2,215,765.	.070588
2011	164,183.	2,239,278.	.073320
2010	163,909.	2,193,358.	.074730
2009	161,666.	2,067,398.	.078198

2 Total of line 1, column (d)	2	.366510
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.073302
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	2,780,321.
5 Multiply line 4 by line 3	5	203,803.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	1,215.
7 Add lines 5 and 6	7	205,018.
8 Enter qualifying distributions from Part XII, line 4	8	183,399.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	2,430.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,430.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,430.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,400.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,400.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	970.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> 970. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► WWW.TCLEGACY.ORG	13	X	
14	The books are in care of ► JANET HEWITT Telephone no. ► 607-274-2004 Located at ► C/O TOMPKINS TRUST COMPANY, PO BOX 460, ITHACA, N ZIP+4 ► 14851			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/> N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <input type="checkbox"/> N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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2014.03040 LEGACY FOUNDATION OF TOMPKI X9560 1

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,650,978.
b	Average of monthly cash balances	1b	171,683.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	2,822,661.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	2,822,661.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	42,340.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	2,780,321.
6	Minimum investment return. Enter 5% of line 5	6	139,016.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	139,016.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	2,430.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,430.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	136,586.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	136,586.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	136,586.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	183,399.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	183,399.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	183,399.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				136,586.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	58,664.			
b From 2010	54,885.			
c From 2011	44,513.			
d From 2012	43,638.			
e From 2013	49,463.			
f Total of lines 3a through e	251,163.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ 183,399.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				136,586.
e Remaining amount distributed out of corpus	46,813.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	297,976.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	58,664.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	239,312.			
10 Analysis of line 9:				
a Excess from 2010	54,885.			
b Excess from 2011	44,513.			
c Excess from 2012	43,638.			
d Excess from 2013	49,463.			
e Excess from 2014	46,813.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

b 85% of line 2a

c Qualifying distributions from Part XII,
line 4 for each year listed

d Amounts included in line 2c not used directly for active conduct of exempt activities

e Qualifying distributions made directly for active conduct of exempt activities.

Subtract line 2d from line 2c

- 3** Complete 3a, b, or c for the alternative test relied upon:

a "Assets" alternative test - enter:

(1) Value of all assets

(2) Value of assets qualifying under section 4942(j)(3)(B)(i)

b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed

c "Support" alternative test - enter:

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)

(3) Largest amount of support from an exempt organization

(4) Gross investment income

Part XV **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 11

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
THE WOMEN'S OPPORTUNITY CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,000.
BIRTHRIGHT OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,000.
COLLEGE DISCOVERY PROGRAM	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
THE LEON CHANDLER CHAPTER OF TROUT UNLIMITED	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	250.
THE CAYUGA TRAILS CLUB	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	250.
Total SEE CONTINUATION SHEET(S) ▶ 3a				183,399.
b Approved for future payment				
ITHACA COLLEGE OFFICE OF CIVIC ENGAGEMENT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	13,300.
Total ▶ 3b				13,300.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization

Employer identification number

LEGACY FOUNDATION OF TOMPKINS COUNTY

15-6018481

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization	Employer identification number
LEGACY FOUNDATION OF TOMPKINS COUNTY	15-6018481

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	GEORGE V. & JEAN A. SMITH CHARITABLE TRUST	\$ 47,324.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	JEWELL ESTATE	\$ 5,380.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	ESTATE OF DORIS S. STRONG	\$ 13,799.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	JOHN AND MAYFRED HIRSHFELD TRUST	\$ 55,281.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
5	TOMPKINS FINANCIAL CORPORATION	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
6	THE TOMPKINS COUNTY TRUST COMPANY CHARITABLE FUND	\$ 50,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

15-6018481

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$ _____	_____

Name of organization	Employer identification number
LEGACY FOUNDATION OF TOMPKINS COUNTY	15-6018481

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ► \$ _____
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SALVATION ARMY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
FOODNET MEALS ON WHEELS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
SCIENCENTER OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	12,700.
HUMAN SERVICES COALITION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,000.
TOMPKINS COUNTY PUBLIC LIBRARY FOUNDATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	12,500.
FAMILY & CHILDREN'S SERVICE OF ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,750.
SPCA OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
SUICIDE PREVENTION & CRISIS SERVICES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
FOOD BANK OF THE SOUTHERN TIER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
HABITAT FOR HUMANITY OF TOMPKINS & CORTLAND COUNTIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,500.
Total from continuation sheets				180,399.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
YOUTH ADVOCATE PROGRAMS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,459.
COMMUNITY SCIENCE INSTITUTE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,600.
BETTER HOUSING FOR TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
THE LEARNING WEB	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,500.
BROOKTONDALE COMMUNITY CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	1,500.
FINGER LAKES REUSE, INC.	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
CATHOLIC CHARITIES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	10,000.
PALEONTOLOGICAL RESEARCH INSTITUTE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
ITHACA DOWNTOWN BUSINESS IMPROVEMENT DISTRICT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
PLANNED PARENTHOOD OF THE SOUTHERN FINGER LAKES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	12,500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COOPERATIVE EXTENSION OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	7,500.
KIM SLOCUM	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
LORI WHITE	NONE	N/A	AWARDS, CONTRIBUTIONS & OPERATIONS	500.
CANCER RESOURCE CENTER OF THE FINGER LAKES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
FAMILY READING PARTNERSHIP	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
LEGAL ASSISTANCE OF WNY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,715.
DOWNTOWN ITHACA CHILDREN'S CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,500.
CHILD DEVELOPMENT COUNCIL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
GREATER ITHACA ACTIVITIES CENTER	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	4,500.
ITHACA COLLEGE OFFICE OF CIVIC ENGAGEMENT	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	6,700.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
ITHACA HEALTH ALLIANCE	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,625.
SOCIAL VENTURES	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,750.
THE DISCOVERY TRAIL	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,750.
FRIENDS OF THE ITHACA YOUTH BUREAU	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	2,500.
CAYYUGA HOUSING DEVELOPMENT CORPORATION	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	5,000.
HISTORIC ITHACA	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	3,750.
HOSPICARE & PALLIATIVE CARE SERVICE OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	9,400.
CHALLENGE WORKFORCE SOLUTIONS	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	9,400.
UNITED WAY OF TOMPKINS COUNTY	NONE	PUBLIC CHARITY	AWARDS, CONTRIBUTIONS & OPERATIONS	800.
Total from continuation sheets				

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
DIVIDENDS	72,141.	0.	72,141.	72,141.	
TO PART I, LINE 4	72,141.	0.	72,141.	72,141.	

FORM 990-PF		ACCOUNTING FEES			STATEMENT 2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES (AUDIT & TAX)	3,850.	3,850.			0.
TO FORM 990-PF, PG 1, LN 16B	3,850.	3,850.			0.

FORM 990-PF		OTHER PROFESSIONAL FEES			STATEMENT 3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER PROFESSIONAL FEES	20,477.	20,477.			0.
TO FORM 990-PF, PG 1, LN 16C	20,477.	20,477.			0.

FORM 990-PF		TAXES			STATEMENT 4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
NYS FILING FEES	275.	275.			0.
EXCISE TAX	5,748.	0.			0.
TO FORM 990-PF, PG 1, LN 18	6,023.	275.			0.

FORM 990-PF OTHER EXPENSES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
OFFICE EXPENSE	2,315.	2,315.		0.
DIRECTOR OF DEVELOPMENT SERVICES	4,100.	4,100.		0.
INSURANCE	853.	853.		0.
PROFESSIONAL	11,242.	11,242.		0.
TO FORM 990-PF, PG 1, LN 23	18,510.	18,510.		0.

FORM 990-PF OTHER INCREASES IN NET ASSETS OR FUND BALANCES STATEMENT 6

DESCRIPTION	AMOUNT
UNREALIZED APPRECIATION OF INVESTMENTS	23,115.
TOTAL TO FORM 990-PF, PART III, LINE 3	23,115.

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 7

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
US TREASURY BOND 7.25% DUE 5/15/16	X		21,847.	21,847.
US TREASURY NOTES 4.625% DUE 11/15/16	X		32,231.	32,231.
FFCB 2.18% DUE 9/20/2021	X		19,907.	19,907.
FNLB 2.35% DUE 1/30/2023	X		14,839.	14,839.
FHLMC 2.0% DUE 2/21/2020	X		15,089.	15,089.
FNMA 2.5% DUE 2/22/2023	X		29,285.	29,285.
FNMA 2.25% DUE 10/17/2022	X		29,181.	29,181.
FFCB 2.375% DUE 7/16/2020	X		25,037.	25,037.
FFCB 2.625% DUE 10/08/2021	X		20,077.	20,077.
FFCB 3.19% DUE 7/07/2023	X		30,176.	30,176.
FHLMC 1.5% DUE 6/12/2018	X		19,946.	19,946.
FHLMC 1.0% DUE 11/20/2019	X		29,972.	29,972.
TOTAL U.S. GOVERNMENT OBLIGATIONS			287,587.	287,587.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			287,587.	287,587.

FORM 990-PF

CORPORATE STOCK

STATEMENT

8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
TOMPKINS FINANCIAL CORP	136,812.	136,812.
EXXON MOBIL CORP	18,490.	18,490.
MICROSOFT CORP	37,160.	37,160.
AT&T	18,307.	18,307.
WALT DISNEY	23,548.	23,548.
IBM	24,066.	24,066.
ORACLE CORP	27,657.	27,657.
APPLE INC	46,360.	46,360.
NATIONAL OILWELL VARCO INC	11,140.	11,140.
ISHARES TR MSCI EAFE IDX ETF	117,725.	117,725.
PNC FINL SVCS GROUP	18,246.	18,246.
PROCTER & GAMBLE CO	27,327.	27,327.
CELGENE CORP	16,779.	16,779.
COVIDIEN PLC	19,945.	19,945.
GOOGLE INC	15,920.	15,920.
ISHARES MSCI EMERG MKTS	63,650.	63,650.
J.P. MORGAN CHASE & CO	6,571.	6,571.
VERIZON COMMUNICATIONS	24,185.	24,185.
DANAHER CORP	25,713.	25,713.
DOMINION RES INC	14,611.	14,611.
DUPONT EI DENEMOURS & CO	27,727.	27,727.
FORD MOTOR CO	13,175.	13,175.
QUALCOMM INC	21,184.	21,184.
THERMO FISHER SCIENTIFIC INC	14,408.	14,408.
UNITED TECHNOLOGIES CORP	20,125.	20,125.
ISHARES MBS ETF	62,859.	62,859.
CHUBB CORP	11,382.	11,382.
FEDEX CORP	28,654.	28,654.
METLIFE	10,818.	10,818.
PEPSICO	34,514.	34,514.
SIMON PPTY GROUP	18,211.	18,211.
UNITED HEALTH GROUP	23,251.	23,251.
VANGUARD SMALL CAP VAL	52,885.	52,885.
ISHARES CORE S&P MCP ETF	144,800.	144,800.
VANGUARD TAX MANAGED INTL FD	154,172.	154,172.
BERKSHIRE HATHAWAY	21,021.	21,021.
BOEING CO	15,598.	15,598.
CERNER CORP	19,075.	19,075.
COSTCO WHOLESALE CORP	31,185.	31,185.
EOG RES INC	15,192.	15,192.
EXPRESS SCRIPTS	21,167.	21,167.
FACEBOOK	10,923.	10,923.
GENERAL ELECTRIC	20,216.	20,216.
GILEAD SCIENCES	17,909.	17,909.
GOOGLE CLASS C SHARES	15,792.	15,792.
LOWES	18,576.	18,576.
MICHAEL KORS HOLDINGS	17,273.	17,273.

NIKE	21,634.	21,634.
TROWE PRICE & ASSOCIATES	19,318.	19,318.
PRICELINE GROUP	22,804.	22,804.
SALESFORCE.COM	13,345.	13,345.
SCHLUMBERGER	17,936.	17,936.
TESORO CORP	23,420.	23,420.
TJX	21,603.	21,603.
UNION PAC CORP	21,443.	21,443.
VANGUARD WORLD	35,001.	35,001.
VISA INC	18,354.	18,354.
VMARE INC	13,203.	13,203.
WALGREEN	23,575.	23,575.
WELLS FARGO & CO	21,106.	21,106.
BARRICK GOLD CORP	11,664.	11,664.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,840,710.	1,840,710.

FORM 990-PF	CORPORATE BONDS	STATEMENT	9
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
CISCO SYSTEMS 5.5%, DUE 2/22/16	21,100.	21,100.	
JP MORGAN CHASE & CO 5.15% DUE 10/1/15	10,278.	10,278.	
OCCIDENTAL PETE CORP 4.125% DUE 6/1/16	20,896.	20,896.	
AFLAC INC 3.45% DUE 8/15/15	15,258.	15,258.	
PNC FUNDING CORP 3.625% DUE 2/08/15	20,059.	20,059.	
AT&T INC 3.00% DUE 2/15/2022	19,624.	19,624.	
MORGAN STANLEY 5.75% DUE 1/25/2021	28,699.	28,699.	
PEPSICO INC 3.00% DUE 8/25/2021	41,209.	41,209.	
SIMON PPTY GROUP LP 2.80% DUE 1/30/2017	25,744.	25,744.	
VERIZON COMMUNICATIONS INC 3.5% DUE 11/1/2021	40,892.	40,892.	
WELLS FARGO 2.625% DUE 12/15/2016	25,685.	25,685.	
AMERICAN EXPRESS 2.375 DUE 3/24/2017	35,793.	35,793.	
BAXTER INTL 1.85% DUE 6/15/2018	34,825.	34,825.	
BERKSHIRE HATHAWAY 3.0% DUE 5/15/2022	40,624.	40,624.	
GENERAL ELECTRIC 3.1% DUE 1/09/2023	50,625.	50,625.	
GOLDMAN SACHS GROUP 2.375% DUE 1/22/2018	15,152.	15,152.	
GOLDMAN SACHS GROUP 5.375% DUE 3/15/2020	16,811.	16,811.	
INTEL CORP 2.7% DUE 12/15/2022	39,762.	39,762.	
JP MORGAN CHASE 3.25% DUE 9/23/2022	35,204.	35,204.	
BB&T CORPORATION 2.25% DUE 2/01/2019	25,051.	25,051.	
EMC CORP 2.65% DUE 6/01/2020	29,856.	29,856.	
ORACLE CORP 2.375% DUE 1/15/2019	25,432.	25,432.	
RIO TINTO 2.25% DUE 12/14/2018	25,050.	25,050.	
TARGET CORP 2.3% DUE 6/26/2019	25,307.	25,307.	
TOTAL TO FORM 990-PF, PART II, LINE 10C	668,936.	668,936.	

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
LARRY BAUM PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
JAMES BROWN PO BOX 97 ITHACA, NY 14851	PRESIDENT 1.00	0.	0.	0.
ANNE C. DIGIACOMO PO BOX 97 ITHACA, NY 14851	VICE PRESIDENT 1.00	0.	0.	0.
GREGORY J. HARTZ PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
MARY KANE PO BOX 97 ITHACA, NY 14851	SECRETARY/TREASURER 1.00	0.	0.	0.
SUSAN NOHELTY PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
SEAN WHITTAKER PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
ROBERT CREE PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
MARY OPPERMAN PO BOX 97 ITHACA, NY 14851	DIRECTOR 1.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

JAMES BROWN, PRESIDENT
P.O. BOX 97
ITHACA, NY 14851

TELEPHONE NUMBER

607-255-3759

FORM AND CONTENT OF APPLICATIONS

ALL REQUESTS SHOULD BE IN WRITING. REQUESTS SHOULD INDICATE A BRIEF DESCRIPTION OF THE ORGANIZATION REQUESTING THE FUNDS AND THE PURPOSE OF THE REQUEST AND A BUDGET.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE FOUNDATION'S POLICY IS TO MAKE THE FUNDS AVAILABLE TO VOLUNTARY AGENCIES SERVING THE TOMPKINS COUNTY AREA.