



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                        |                                                                                                                                                  |                                                                                                                                                                              |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>MONSIGNOR RICHARD S. AMICO<br/>MEMORIAL CHARITABLE TRUST MICHAEL A. ERV</b>                                                                                                                                                                                                   |                                                                                                                                                  | A Employer identification number<br><b>14-6325054</b>                                                                                                                        |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>P.O. BOX 1410</b>                                                                                                                                                                                              | Room/suite                                                                                                                                       | B Telephone number<br><b>716-825-7377</b>                                                                                                                                    |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>BUFFALO, NY 14240-1410</b>                                                                                                                                                                                              |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Final return <input type="checkbox"/> Amended return<br><input type="checkbox"/> Address change <input type="checkbox"/> Name change |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                      |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br><b>\$ 1,597,669.</b>                                                                                                                                                                                             | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) |                                                                                                | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|----------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| Revenue                                                                                                                                            | 1 Contributions, gifts, grants, etc., received                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 3 Interest on savings and temporary cash investments                                           | 3.                                 | 3.                        |                         |                                                             |
|                                                                                                                                                    | 4 Dividends and interest from securities                                                       | 35,404.                            | 35,404.                   |                         |                                                             |
|                                                                                                                                                    | 5a Gross rents                                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                    | b Net rental income or (loss)                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 6a Net gain or (loss) from sale of assets not on line 10                                       | 54,121.                            |                           |                         |                                                             |
|                                                                                                                                                    | b Gross sales price for all assets on line 6a                                                  | 1,078,743.                         |                           |                         |                                                             |
|                                                                                                                                                    | 7 Capital gain net income (from Part IV, line 2)                                               |                                    | 54,121.                   |                         |                                                             |
|                                                                                                                                                    | 8 Net short-term capital gain                                                                  |                                    |                           | N/A                     |                                                             |
|                                                                                                                                                    | 9 Income modifications                                                                         |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 10a Gross sales less returns and allowances                                                    |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                          |                                                                                                |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                           |                                                                                                |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                    |                                                                                                |                                    |                           |                         |                                                             |
| 12 Total. Add lines 1 through 11                                                                                                                   | 89,528.                                                                                        | 89,528.                            | 0.                        |                         |                                                             |
| Operating and Administrative Expenses                                                                                                              | 13 Compensation of officers, directors, trustees, etc                                          | 0.                                 | 0.                        | 0.                      | 0.                                                          |
|                                                                                                                                                    | 14 Other employee salaries and wages                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 15 Pension plans, employee benefits                                                            |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 16a Legal fees                                                                                 |                                    |                           |                         |                                                             |
|                                                                                                                                                    | b Accounting fees                                                                              |                                    |                           |                         |                                                             |
|                                                                                                                                                    | c Other professional fees                                                                      |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 17 Interest                                                                                    |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 18 Taxes STMT 1                                                                                | 2,324.                             | 2,324.                    | 0.                      | 0.                                                          |
|                                                                                                                                                    | 19 Depreciation and depletion                                                                  |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 20 Occupancy                                                                                   |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 21 Travel, conferences, and meetings                                                           |                                    |                           |                         |                                                             |
|                                                                                                                                                    | 22 Printing and publications                                                                   |                                    |                           |                         |                                                             |
| 23 Other expenses STMT 2                                                                                                                           | 20,801.                                                                                        | 20,801.                            | 0.                        | 0.                      |                                                             |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                            | 23,125.                                                                                        | 23,125.                            | 0.                        | 0.                      |                                                             |
| 25 Contributions, gifts, grants paid                                                                                                               | 140,600.                                                                                       |                                    |                           | 140,600.                |                                                             |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                           | 163,725.                                                                                       | 23,125.                            | 0.                        | 140,600.                |                                                             |
| 27 Subtract line 26 from line 12:                                                                                                                  |                                                                                                |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                | <74,197.>                                                                                      |                                    |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                   |                                                                                                | 66,403.                            |                           |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                     |                                                                                                |                                    | 0.                        |                         |                                                             |

| <b>Part II Balance Sheets</b> Attached schedules and amounts in the description column should be for end-of-year amounts only                             |                                                                                      | Beginning of year                                       | End of year    |                       |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|---------------------------------------------------------|----------------|-----------------------|
|                                                                                                                                                           |                                                                                      | (a) Book Value                                          | (b) Book Value | (c) Fair Market Value |
| <b>Assets</b>                                                                                                                                             | 1 Cash - non-interest-bearing                                                        | 13,751.                                                 | 14,703.        | 14,703.               |
|                                                                                                                                                           | 2 Savings and temporary cash investments                                             | 41,638.                                                 | 33,799.        | 33,799.               |
|                                                                                                                                                           | 3 Accounts receivable ▶                                                              |                                                         |                |                       |
|                                                                                                                                                           | Less: allowance for doubtful accounts ▶                                              |                                                         |                |                       |
|                                                                                                                                                           | 4 Pledges receivable ▶                                                               |                                                         |                |                       |
|                                                                                                                                                           | Less: allowance for doubtful accounts ▶                                              |                                                         |                |                       |
|                                                                                                                                                           | 5 Grants receivable                                                                  |                                                         |                |                       |
|                                                                                                                                                           | 6 Receivables due from officers, directors, trustees, and other disqualified persons |                                                         |                |                       |
|                                                                                                                                                           | 7 Other notes and loans receivable ▶                                                 |                                                         |                |                       |
|                                                                                                                                                           | Less: allowance for doubtful accounts ▶                                              |                                                         |                |                       |
|                                                                                                                                                           | 8 Inventories for sale or use                                                        |                                                         |                |                       |
|                                                                                                                                                           | 9 Prepaid expenses and deferred charges                                              |                                                         |                |                       |
|                                                                                                                                                           | 10a Investments - U.S. and state government obligations                              |                                                         |                |                       |
|                                                                                                                                                           | b Investments - corporate stock <b>STMT 3</b>                                        | 1,540,039.                                              | 1,473,283.     | 1,528,401.            |
|                                                                                                                                                           | c Investments - corporate bonds                                                      |                                                         |                |                       |
|                                                                                                                                                           | <b>Liabilities</b>                                                                   | 11 Investments - land, buildings, and equipment basis ▶ |                |                       |
| Less accumulated depreciation ▶                                                                                                                           |                                                                                      |                                                         |                |                       |
| 12 Investments - mortgage loans                                                                                                                           |                                                                                      |                                                         |                |                       |
| 13 Investments - other <b>STMT 4</b>                                                                                                                      |                                                                                      | 21,320.                                                 | 20,766.        | 20,766.               |
| 14 Land, buildings, and equipment: basis ▶                                                                                                                |                                                                                      |                                                         |                |                       |
| Less accumulated depreciation ▶                                                                                                                           |                                                                                      |                                                         |                |                       |
| 15 Other assets (describe ▶)                                                                                                                              |                                                                                      |                                                         |                |                       |
| 16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)                                                   |                                                                                      | 1,616,748.                                              | 1,542,551.     | 1,597,669.            |
| 17 Accounts payable and accrued expenses                                                                                                                  |                                                                                      |                                                         |                |                       |
| 18 Grants payable                                                                                                                                         |                                                                                      |                                                         |                |                       |
| <b>Net Assets or Fund Balances</b>                                                                                                                        | 19 Deferred revenue                                                                  |                                                         |                |                       |
|                                                                                                                                                           | 20 Loans from officers, directors, trustees, and other disqualified persons          |                                                         |                |                       |
|                                                                                                                                                           | 21 Mortgages and other notes payable                                                 |                                                         |                |                       |
|                                                                                                                                                           | 22 Other liabilities (describe ▶)                                                    |                                                         |                |                       |
| 23 <b>Total liabilities</b> (add lines 17 through 22)                                                                                                     | 0.                                                                                   | 0.                                                      |                |                       |
| 24 <b>Foundations that follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b> | 1,616,748.                                                                           | 1,542,551.                                              |                |                       |
| 25 Unrestricted                                                                                                                                           |                                                                                      |                                                         |                |                       |
| 26 Temporarily restricted                                                                                                                                 |                                                                                      |                                                         |                |                       |
| 26 Permanently restricted                                                                                                                                 |                                                                                      |                                                         |                |                       |
| 27 <b>Foundations that do not follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 27 through 31.</b>                         |                                                                                      |                                                         |                |                       |
| 27 Capital stock, trust principal, or current funds                                                                                                       |                                                                                      |                                                         |                |                       |
| 28 Paid-in or capital surplus, or land, bldg., and equipment fund                                                                                         |                                                                                      |                                                         |                |                       |
| 29 Retained earnings, accumulated income, endowment, or other funds                                                                                       |                                                                                      |                                                         |                |                       |
| 30 <b>Total net assets or fund balances</b>                                                                                                               | 1,616,748.                                                                           | 1,542,551.                                              |                |                       |
| 31 <b>Total liabilities and net assets/fund balances</b>                                                                                                  | 1,616,748.                                                                           | 1,542,551.                                              |                |                       |

**Part III Analysis of Changes in Net Assets or Fund Balances**

|                                                                                                                                                              |   |            |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------|---|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 1,616,748. |
| 2 Enter amount from Part I, line 27a                                                                                                                         | 2 | <74,197.>  |
| 3 Other increases not included in line 2 (itemize) ▶                                                                                                         | 3 | 0.         |
| 4 Add lines 1, 2, and 3                                                                                                                                      | 4 | 1,542,551. |
| 5 Decreases not included in line 2 (itemize) ▶                                                                                                               | 5 | 0.         |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30                                                      | 6 | 1,542,551. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| <b>1a SEE ATTACHED MS 571-010604-127</b>                                                                                           | <b>P</b>                                         | <b>VARIOUS</b>                       | <b>07/01/14</b>                  |
| <b>b CAPITAL GAIN DISTRIBUTION</b>                                                                                                 |                                                  |                                      |                                  |
| <b>c</b>                                                                                                                           |                                                  |                                      |                                  |
| <b>d</b>                                                                                                                           |                                                  |                                      |                                  |
| <b>e</b>                                                                                                                           |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| <b>a 1,078,743.</b>   |                                            | <b>1,034,332.</b>                               | <b>44,411.</b>                               |
| <b>b</b>              |                                            |                                                 | <b>9,710.</b>                                |
| <b>c</b>              |                                            |                                                 |                                              |
| <b>d</b>              |                                            |                                                 |                                              |
| <b>e</b>              |                                            |                                                 |                                              |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 |                                      |                                                 | (i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------|
| (i) F M V. as of 12/31/69                                                                   | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any |                                                                                           |
| <b>a</b>                                                                                    |                                      |                                                 | <b>44,411.</b>                                                                            |
| <b>b</b>                                                                                    |                                      |                                                 | <b>9,710.</b>                                                                             |
| <b>c</b>                                                                                    |                                      |                                                 |                                                                                           |
| <b>d</b>                                                                                    |                                      |                                                 |                                                                                           |
| <b>e</b>                                                                                    |                                      |                                                 |                                                                                           |

|                                                                                        |                                                                                                                              |          |                |
|----------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------|----------|----------------|
| <b>2 Capital gain net income or (net capital loss)</b>                                 | <div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>              | <b>2</b> | <b>54,121.</b> |
| <b>3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):</b> | <div> <div>If gain, also enter in Part I, line 8, column (c).</div> <div>If (loss), enter -0- in Part I, line 8</div> </div> | <b>3</b> | <b>9,710.</b>  |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a)<br>Base period years<br>Calendar year (or tax year beginning in) | (b)<br>Adjusted qualifying distributions | (c)<br>Net value of noncharitable-use assets | (d)<br>Distribution ratio<br>(col. (b) divided by col. (c)) |
|----------------------------------------------------------------------|------------------------------------------|----------------------------------------------|-------------------------------------------------------------|
| 2013                                                                 | 133,520.                                 | 1,718,252.                                   | .077707                                                     |
| 2012                                                                 | 124,122.                                 | 1,734,685.                                   | .071553                                                     |
| 2011                                                                 | 123,992.                                 | 1,796,767.                                   | .069008                                                     |
| 2010                                                                 | 103,533.                                 | 1,753,343.                                   | .059049                                                     |
| 2009                                                                 | 99,809.                                  | 1,683,358.                                   | .059292                                                     |

|                                                                                                                                                                                       |          |                   |
|---------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|-------------------|
| <b>2 Total of line 1, column (d)</b>                                                                                                                                                  | <b>2</b> | <b>.336609</b>    |
| <b>3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years</b> | <b>3</b> | <b>.067322</b>    |
| <b>4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5</b>                                                                                                 | <b>4</b> | <b>1,668,994.</b> |
| <b>5 Multiply line 4 by line 3</b>                                                                                                                                                    | <b>5</b> | <b>112,360.</b>   |
| <b>6 Enter 1% of net investment income (1% of Part I, line 27b)</b>                                                                                                                   | <b>6</b> | <b>664.</b>       |
| <b>7 Add lines 5 and 6</b>                                                                                                                                                            | <b>7</b> | <b>113,024.</b>   |
| <b>8 Enter qualifying distributions from Part XII, line 4</b>                                                                                                                         | <b>8</b> | <b>140,600.</b>   |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |        |      |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|--------|------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |        |      |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b                                                                           |    | 1      | 664. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |        |      |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2      | 0.   |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3      | 664. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4      | 0.   |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5      | 664. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |        |      |
| a 2014 estimated tax payments and 2013 overpayment credited to 2014                                                                                                                                                                    | 6a | 1,000. |      |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |        |      |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c |        |      |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |        |      |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 1,000. |      |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached                                                                                                                    | 8  |        |      |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |        |      |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 336.   |      |
| 11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input checked="" type="checkbox"/> 336. Refunded <input type="checkbox"/> 0.                                                                                     | 11 |        |      |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                        |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| 1c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                        |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                         |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                                  |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities                                                                                                                                                                                 |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                 |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                             |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?           | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                             | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>NY</u>                                                                                                                                                                                                                                |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                         |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                          | X   |    |

N/A

STMT 5

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                                                                                |    |   |   |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                                                                                        | 11 |   | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                                                                                       | 12 |   | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <b>N/A</b>                                                                                                                                                                                                                                              | 13 | X |   |
| 14 | The books are in care of <b>MICHAEL A. ERVOLINA, JR.</b> Telephone no. <b>716-825-7377</b><br>Located at <b>45 S. ROSSLER ST., BUFFALO, NY</b> ZIP+4 <b>14206</b>                                                                                                                                                                                                                              |    |   |   |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>                                                                                                                                                                                       |    |   |   |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country <b>16</b> <b>Yes</b> <b>No</b> <b>X</b> |    |   |   |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                           | Yes | No |
|-----|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a  | During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                       |     |    |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                    |     |    |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                            |     |    |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                |     |    |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                      |     |    |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                             |     |    |
| (6) | Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                            |     |    |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b>                                                                                                                                                                                        | 1b  |    |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? <b>1c</b>                                                                                                                                                                                                                                                                                                         |     | X  |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                            |     |    |
| a   | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years <b>2a</b>                                                                                                                                                                                                                                               |     |    |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>                                                                                                                                                                                      | 2b  |    |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <b>2c</b>                                                                                                                                                                                                                                                                                                                                                                                |     |    |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                            |     |    |
| b   | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <b>N/A</b> | 3b  |    |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <b>4a</b>                                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? <b>4b</b>                                                                                                                                                                                                                                                               |     | X  |

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|----------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| SEE STATEMENT 6      |                                                           | 0.                                        | 0.                                                                    | 0.                                    |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |
|                      |                                                           |                                           |                                                                       |                                       |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000                     | (b) Type of service | (c) Compensation |
|---------------------------------------------------------------------------------|---------------------|------------------|
| NONE                                                                            |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
|                                                                                 |                     |                  |
| <b>Total</b> number of others receiving over \$50,000 for professional services |                     | 0                |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----------|
| 1 N/A                                                                                                                                                                                                                                                  |          |
| 2                                                                                                                                                                                                                                                      |          |
| 3                                                                                                                                                                                                                                                      |          |
| 4                                                                                                                                                                                                                                                      |          |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|-------------------------------------------------------------------------------------------------------------------|--------|
| 1 N/A                                                                                                             |        |
| 2                                                                                                                 |        |
| All other program-related investments. See instructions.                                                          |        |
| 3                                                                                                                 |        |
| <b>Total.</b> Add lines 1 through 3                                                                               | 0.     |



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|   |                                                                                                             |    |            |
|---|-------------------------------------------------------------------------------------------------------------|----|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |            |
| a | Average monthly fair market value of securities                                                             | 1a | 1,660,535. |
| b | Average of monthly cash balances                                                                            | 1b | 33,875.    |
| c | Fair market value of all other assets                                                                       | 1c |            |
| d | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 1,694,410. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.         |
| 2 | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.         |
| 3 | Subtract line 2 from line 1d                                                                                | 3  | 1,694,410. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 25,416.    |
| 5 | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 1,668,994. |
| 6 | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | 6  | 83,450.    |

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |         |
|----|-----------------------------------------------------------------------------------------------------------|----|---------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 83,450. |
| 2a | Tax on investment income for 2014 from Part VI, line 5                                                    | 2a | 664.    |
| b  | Income tax for 2014. (This does not include the tax from Part VI.)                                        | 2b |         |
| c  | Add lines 2a and 2b                                                                                       | 2c | 664.    |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 82,786. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.      |
| 5  | Add lines 3 and 4                                                                                         | 5  | 82,786. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.      |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 82,786. |

**Part XII****Qualifying Distributions** (see instructions)

|   |                                                                                                                                   |    |          |
|---|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                                        |    |          |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 140,600. |
| b | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4 | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 140,600. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 664.     |
| 6 | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | 6  | 139,936. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                                   | (a)<br>Corpus | (b)<br>Years prior to 2013 | (c)<br>2013 | (d)<br>2014 |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| <b>1</b> Distributable amount for 2014 from Part XI, line 7                                                                                                                       |               |                            |             | 82,786.     |
| <b>2</b> Undistributed income, if any, as of the end of 2014                                                                                                                      |               |                            |             |             |
| <b>a</b> Enter amount for 2013 only                                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| <b>3</b> Excess distributions carryover, if any, to 2014:                                                                                                                         |               |                            |             |             |
| <b>a</b> From 2009                                                                                                                                                                | 16,023.       |                            |             |             |
| <b>b</b> From 2010                                                                                                                                                                | 18,800.       |                            |             |             |
| <b>c</b> From 2011                                                                                                                                                                | 35,965.       |                            |             |             |
| <b>d</b> From 2012                                                                                                                                                                | 39,144.       |                            |             |             |
| <b>e</b> From 2013                                                                                                                                                                | 49,567.       |                            |             |             |
| <b>f</b> Total of lines 3a through e                                                                                                                                              | 159,499.      |                            |             |             |
| <b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ▶ \$                                                                                                            | 140,600.      |                            |             |             |
| <b>a</b> Applied to 2013, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| <b>b</b> Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| <b>c</b> Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| <b>d</b> Applied to 2014 distributable amount                                                                                                                                     |               |                            |             | 82,786.     |
| <b>e</b> Remaining amount distributed out of corpus                                                                                                                               | 57,814.       |                            |             |             |
| <b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))                                         | 0.            |                            |             | 0.          |
| <b>6</b> Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| <b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5                                                                                                                          | 217,313.      |                            |             |             |
| <b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| <b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| <b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| <b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| <b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015                                                              |               |                            |             | 0.          |
| <b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| <b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7                                                                                                 | 16,023.       |                            |             |             |
| <b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a                                                                                              | 201,290.      |                            |             |             |
| <b>10</b> Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| <b>a</b> Excess from 2010                                                                                                                                                         | 18,800.       |                            |             |             |
| <b>b</b> Excess from 2011                                                                                                                                                         | 35,965.       |                            |             |             |
| <b>c</b> Excess from 2012                                                                                                                                                         | 39,144.       |                            |             |             |
| <b>d</b> Excess from 2013                                                                                                                                                         | 49,567.       |                            |             |             |
| <b>e</b> Excess from 2014                                                                                                                                                         | 57,814.       |                            |             |             |

## N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient                                                                                    | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution    | Amount   |
|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------|--------------------------------|-------------------------------------|----------|
| Name and address (home or business)                                                          |                                                                                                           |                                |                                     |          |
| a Paid during the year                                                                       |                                                                                                           |                                |                                     |          |
| BENEDICT HOUSE OF WNY<br>2211 MAIN STREET<br>BUFFALO, NY 14214                               | NONE                                                                                                      | PUBLIC CHAR                    | ASSISTANCE WITH CENTER'S COSTS      | 5,000.   |
| BUFFALO CITY MISSION<br>100 E. TUPPER STREET<br>BUFFALO, NY 14203                            | NONE                                                                                                      | PUBLIC CHAR                    | ASSISTANCE WITH CENTER'S COSTS      | 5,000.   |
| CANISIUS COLLEGE<br>2001 MAIN ST<br>BUFFALO, NY 14208                                        | NONE                                                                                                      | PUBIC CHAR                     | ASSISTANCE WITH THE COLLEGE'S COSTS | 5,000.   |
| CATHOLIC CENTRAL HELPING HANDS FOOD PANTRY<br>3735 DELAWARE AVE, ST 601<br>BUFFALO, NY 14217 | NONE                                                                                                      | PUBLIC CHAR                    | ASSIST WITH CENTER'S COSTS          | 5,000.   |
| CTAP SCHOLARSHIP-FOUNDATION OF THE ROMAN CATHOLIC DI<br>795 MAIN STREET<br>BUFFALO, NY 14203 | NONE                                                                                                      | PUBIC CHAR                     | ASSISTANCE WITH FOUNDATION'S COSTS  | 5,000.   |
| Total SEE CONTINUATION SHEET(S) ▶ 3a                                                         |                                                                                                           |                                |                                     | 140,600. |
| b Approved for future payment                                                                |                                                                                                           |                                |                                     |          |
| NONE                                                                                         |                                                                                                           |                                |                                     |          |
|                                                                                              |                                                                                                           |                                |                                     |          |
|                                                                                              |                                                                                                           |                                |                                     |          |
|                                                                                              |                                                                                                           |                                |                                     |          |
| Total ▶ 3b                                                                                   |                                                                                                           |                                |                                     | 0.       |

**Part XVI-A****Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

|                                                                      | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income |
|----------------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|---------------------------------------------|
|                                                                      | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |                                             |
| <b>1</b> Program service revenue:                                    |                           |               |                                      |               |                                             |
| <b>a</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>b</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>c</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>d</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>e</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>f</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>g</b> Fees and contracts from government agencies                 |                           |               |                                      |               |                                             |
| <b>2</b> Membership dues and assessments                             |                           |               |                                      |               |                                             |
| <b>3</b> Interest on savings and temporary cash<br>investments       |                           |               | 14                                   | 3.            |                                             |
| <b>4</b> Dividends and interest from securities                      |                           |               | 14                                   | 35,404.       |                                             |
| <b>5</b> Net rental income or (loss) from real estate:               |                           |               |                                      |               |                                             |
| <b>a</b> Debt-financed property                                      |                           |               |                                      |               |                                             |
| <b>b</b> Not debt-financed property                                  |                           |               |                                      |               |                                             |
| <b>6</b> Net rental income or (loss) from personal<br>property       |                           |               |                                      |               |                                             |
| <b>7</b> Other investment income                                     |                           |               |                                      |               |                                             |
| <b>8</b> Gain or (loss) from sales of assets other<br>than inventory |                           |               | 14                                   | 54,121.       |                                             |
| <b>9</b> Net income or (loss) from special events                    |                           |               |                                      |               |                                             |
| <b>10</b> Gross profit or (loss) from sales of inventory             |                           |               |                                      |               |                                             |
| <b>11</b> Other revenue:                                             |                           |               |                                      |               |                                             |
| <b>a</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>b</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>c</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>d</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>e</b> _____                                                       |                           |               |                                      |               |                                             |
| <b>12</b> Subtotal. Add columns (b), (d), and (e)                    |                           | 0.            |                                      | 89,528.       | 0.                                          |
| <b>13 Total.</b> Add line 12, columns (b), (d), and (e)              |                           |               |                                      | 13 89,528.    |                                             |

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B****Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No.



Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

## Part XVII

**Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

|          |                                                                                                                                                                                                                                                                                                                                                                                              | Yes | No |
|----------|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| <b>1</b> | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?                                                                                                                                          |     |    |
| <b>a</b> | Transfers from the reporting foundation to a noncharitable exempt organization of:                                                                                                                                                                                                                                                                                                           |     |    |
|          | (1) Cash                                                                                                                                                                                                                                                                                                                                                                                     |     | X  |
|          | (2) Other assets                                                                                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>b</b> | Other transactions:                                                                                                                                                                                                                                                                                                                                                                          |     |    |
|          | (1) Sales of assets to a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                                   |     | X  |
|          | (2) Purchases of assets from a noncharitable exempt organization                                                                                                                                                                                                                                                                                                                             |     | X  |
|          | (3) Rental of facilities, equipment, or other assets                                                                                                                                                                                                                                                                                                                                         |     | X  |
|          | (4) Reimbursement arrangements                                                                                                                                                                                                                                                                                                                                                               |     | X  |
|          | (5) Loans or loan guarantees                                                                                                                                                                                                                                                                                                                                                                 |     | X  |
|          | (6) Performance of services or membership or fundraising solicitations                                                                                                                                                                                                                                                                                                                       |     | X  |
| <b>c</b> | Sharing of facilities, equipment, mailing lists, other assets, or paid employees                                                                                                                                                                                                                                                                                                             |     | X  |
| <b>d</b> | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |     |    |

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

| b If "Yes," complete the following schedule. |                          |                                 |
|----------------------------------------------|--------------------------|---------------------------------|
| (a) Name of organization                     | (b) Type of organization | (c) Description of relationship |
| N/A                                          |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |
|                                              |                          |                                 |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee: *[Signature]* Date: *5/11/15* Title: *Treasurer*

May the IRS discuss this return with the preparer shown below (see instr.)?

☒ Yes ☐ No

|                                       |                                                                      |                                                                                                             |                        |                                                 |                          |
|---------------------------------------|----------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|------------------------|-------------------------------------------------|--------------------------|
| <b>Paid<br/>Preparer<br/>Use Only</b> | Print/Type preparer's name<br><b>LYNN DOMACHOWSKI,<br/>CPA</b>       | Preparer's signature<br> | Date<br><b>4/29/15</b> | Check <input type="checkbox"/> if self-employed | PTIN<br><b>P00561076</b> |
|                                       | Firm's name ▶ <b>TRONCONI SEGARRA &amp; ASSOCIATES LLP</b>           |                                                                                                             |                        | Firm's EIN ▶ <b>04-3728817</b>                  |                          |
|                                       | Firm's address ▶ <b>8321 MAIN STREET<br/>WILLIAMSVILLE, NY 14221</b> |                                                                                                             |                        | Phone no. <b>716-633-1373</b>                   |                          |

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                         | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution   | Amount          |
|------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|---------------------------------------|-----------------|
| ERIE REGIONAL HOUSING & DEVELOPMENT<br>CORP<br>104 MARYLAND<br>BUFFALO, NY 14201         | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE TO THE<br>CENTER'S COSTS   | 5,000.          |
| FATHER BAKER'S CAMPAIGN<br>780 RIDGE RD<br>LACKAWANNA, NY 14218                          | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH THE<br>CENTER'S COSTS | 5,000.          |
| FRANCISCAN CENTER<br>1910 SENECA ST.<br>BUFFALO, NY 14210                                | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS     | 5,000.          |
| GERARD PLACE<br>2515 BAILEY AVE.<br>BUFFALO, NY 14215                                    | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE TO CENTER'S<br>COST        | 5,000.          |
| HARVEST HOUSE<br>1782 SENECA ST.<br>BUFFALO, NY 14210                                    | NONE                                                                                                               | PUBLIC CHAR                          | ASSIST WITH CENTER'S<br>COST          | 5,000.          |
| HEART, LOVE AND SOUL, INC<br>939 ONTARIO AVENUE<br>NIAGARA FALLS, NY 14305               | NONE                                                                                                               | PUBLIC CHAR.                         | ASSISTANCE WITH<br>CENTER'S COSTS     | 10,000.         |
| HOLY CROSS CHURCH<br>345 7TH ST.<br>BUFFALO, NY 14201                                    | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CHURCH'S COSTS     | 600.            |
| KEVIN GUEST HOUSE<br>100 E. TUPPER STREET<br>BUFFALO, NY 14203                           | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COST      | 5,000.          |
| LITTLE PORTION FRIARY<br>P.O. BOX 891<br>BUFFALO, NY 14209                               | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS     | 5,000.          |
| LT. COL MATT URBAN HUMAN SERVICES<br>CENTER OF WNY<br>1081 BROADWAY<br>BUFFALO, NY 14212 | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS     | 5,000.          |
| <b>Total from continuation sheets</b>                                                    |                                                                                                                    |                                      |                                       | <b>115,600.</b> |

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|----------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| NIAGARA CATHOLIC<br>520 66TH STREET<br>NIAGARA FALLS, NY 14304       | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>SCHOOL'S COSTS   | 5,000.  |
| PEACEPRINTS PRISON MINIST<br>102 SEYMOUR STREET<br>BUFFALO, NY 14210 | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 10,000. |
| RESPONSE TO LOVE<br>130 KOSCIUSZKO ST.<br>BUFFALO, NY 14212          | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 5,000.  |
| RETIREMENT FUND FOR RELIG<br>795 MAIN STREET<br>BUFFALO, NY 14203    | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 10,000. |
| SISTERS OF SOCIAL SERVICE<br>4316 LANAI RD.<br>ENCINO, CA 91436      | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 5,000.  |
| SOCIETY OF ST. VINCENT'S<br>1298 MAIN ST.<br>BUFFALO, NY 14209       | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 10,000. |
| ST. LUKE'S MISSION OF MER<br>P.O. BOX 448<br>BUFFALO, NY 14215       | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 5,000.  |
| THE BISON SCHOLARSHIP<br>PO BOX 1134<br>BUFFALO, NY 14205            | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 5,000.  |
| TRY PROGRAM<br>228 BRINKMAN AVE.<br>BUFFALO, NY 14211                | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 5,000.  |
| VILLA MARIA COLLEGE<br>240 PINE RIDGE RD.<br>BUFFALO, NY 14225       | NONE                                                                                                               | PUBLIC CHAR                          | ASSISTANCE WITH<br>CENTER'S COSTS   | 5,000.  |
| Total from continuation sheets                                       |                                                                                                                    |                                      |                                     |         |



## FORM 990-PF

## TAXES

## STATEMENT

1

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| STATE TAX                   | 260.                         | 260.                              | 0.                            | 0.                            |
| FEDERAL TAX                 | 1,080.                       | 1,080.                            | 0.                            | 0.                            |
| FOREIGN TAXES               | 984.                         | 984.                              | 0.                            | 0.                            |
| TO FORM 990-PF, PG 1, LN 18 | 2,324.                       | 2,324.                            | 0.                            | 0.                            |

## FORM 990-PF

## OTHER EXPENSES

## STATEMENT

2

| DESCRIPTION                 | (A)<br>EXPENSES<br>PER BOOKS | (B)<br>NET INVEST-<br>MENT INCOME | (C)<br>ADJUSTED<br>NET INCOME | (D)<br>CHARITABLE<br>PURPOSES |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| LEGAL & ACCOUNTING          | 2,110.                       | 2,110.                            | 0.                            | 0.                            |
| INVESTMENT FEES             | 18,691.                      | 18,691.                           | 0.                            | 0.                            |
| TO FORM 990-PF, PG 1, LN 23 | 20,801.                      | 20,801.                           | 0.                            | 0.                            |

## FORM 990-PF

## CORPORATE STOCK

## STATEMENT

3

| DESCRIPTION                             | BOOK VALUE | FAIR MARKET<br>VALUE |
|-----------------------------------------|------------|----------------------|
| COMMON STOCK-SEE ATTACHED SCHEDULE      | 496,872.   | 541,008.             |
| MUTUAL FUNDS-SEE ATTACHED SCHEDULE      | 976,411.   | 987,393.             |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,473,283. | 1,528,401.           |

## FORM 990-PF

## OTHER INVESTMENTS

## STATEMENT

4

| DESCRIPTION                            | VALUATION<br>METHOD | BOOK VALUE | FAIR MARKET<br>VALUE |
|----------------------------------------|---------------------|------------|----------------------|
| COST BASIS ADJUSTMENT                  | COST                | 20,766.    | 20,766.              |
| TOTAL TO FORM 990-PF, PART II, LINE 13 |                     | 20,766.    | 20,766.              |

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS  
PART VII-A, LINE 10

STATEMENT 5

## NAME OF CONTRIBUTOR

## ADDRESS

ESTATE OF MSGR. RICHARD AMICO

6304 EVERWOOD COURT  
EAST AMHERST, NY 14051

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS  
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 6

## NAME AND ADDRESS

TITLE AND  
AVRG HRS/WKCOMPEN-  
SATIONEMPLOYEE  
BEN PLAN EXPENSE  
CONTRIB ACCOUNTJAMES M ARENA  
60 CLARK STREET  
ELMA, NY 14059CO-TRUSTEE  
1.00

0. 0. 0.

MICHAEL A ERVOLINA, JR  
6304 EVERWOOD COURT  
EAST AMHERST, NY 14051CO-TRUSTEE  
1.00

0. 0. 0.

RICHARD C ARENA  
1144 UNDERHILL ROAD  
EAST AURORA, NY 14052CO-TRUSTEE  
1.00

0. 0. 0.

PATRICIA TUFILLARO  
153 BRAMBLE COURT  
WILLIAMSVILLE, NY 14221CO-TRUSTEE  
1.00

0. 0. 0.

JOAN ESS  
40 OAKGROVE DRIVE  
WILLIAMSVILLE, NY 14221CO-TRUSTEE  
1.00

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

# 1099 Consolidated Tax Statement

## Tax Year 2014 Copy B For Recipient

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number. 26-4310632

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a)         | QUANTITY       | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------|----------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 000375204 Symbol: ABB |                |                              |                          |                      |                                    |                                       |                       |                                           |
| ABB LTD                      | 3.000          | 11/15/13                     | 04/11/14                 | \$76.40              | \$75.55                            | \$0.00                                | \$0.85                | \$0.00                                    |
|                              | 42.000         | 11/15/13                     | 05/01/14                 | \$998.28             | \$1,057.64                         | \$0.00                                | (\$59.36)             | \$0.00                                    |
|                              | 10.000         | 12/04/13                     | 05/01/14                 | \$237.69             | \$247.83                           | \$0.00                                | (\$10.14)             | \$0.00                                    |
|                              | 11.000         | 12/04/13                     | 06/02/14                 | \$259.38             | \$272.62                           | \$0.00                                | (\$13.24)             | \$0.00                                    |
|                              | 26.000         | 12/09/13                     | 06/02/14                 | \$613.09             | \$658.12                           | \$0.00                                | (\$45.03)             | \$0.00                                    |
|                              | 28.000         | 12/12/13                     | 06/02/14                 | \$660.25             | \$689.30                           | \$0.00                                | (\$29.05)             | \$0.00                                    |
|                              | 34.000         | 02/13/14                     | 06/02/14                 | \$801.72             | \$855.83                           | \$0.00                                | (\$54.11)             | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>154.000</b> |                              |                          | <b>\$3,646.81</b>    | <b>\$3,856.89</b>                  | <b>\$0.00</b>                         | <b>(\$210.08)</b>     | <b>\$0.00</b>                             |

|                               |       |          |          |          |          |        |         |        |
|-------------------------------|-------|----------|----------|----------|----------|--------|---------|--------|
| CUSIP: 00287Y109 Symbol: ABBV |       |          |          |          |          |        |         |        |
| ABBV INC COM                  | 7.000 | 04/29/14 | 10/14/14 | \$380.04 | \$359.58 | \$0.00 | \$20.46 | \$0.00 |
|                               | 4.000 | 05/01/14 | 10/14/14 | \$217.16 | \$206.05 | \$0.00 | \$11.11 | \$0.00 |
|                               | 2.000 | 05/01/14 | 10/21/14 | \$111.76 | \$103.02 | \$0.00 | \$8.74  | \$0.00 |
|                               | 3.000 | 05/09/14 | 10/21/14 | \$167.64 | \$155.66 | \$0.00 | \$11.98 | \$0.00 |
|                               | 1.000 | 05/09/14 | 12/22/14 | \$67.43  | \$51.89  | \$0.00 | \$15.54 | \$0.00 |
|                               | 4.000 | 05/09/14 | 12/22/14 | \$269.76 | \$207.54 | \$0.00 | \$62.22 | \$0.00 |
|                               | 4.000 | 05/09/14 | 12/22/14 | \$269.76 | \$207.54 | \$0.00 | \$62.22 | \$0.00 |
|                               | 1.000 | 05/12/14 | 12/22/14 | \$67.44  | \$52.39  | \$0.00 | \$15.05 | \$0.00 |
|                               | 5.000 | 05/12/14 | 12/22/14 | \$337.20 | \$261.96 | \$0.00 | \$75.24 | \$0.00 |
|                               | 2.000 | 05/20/14 | 12/22/14 | \$134.87 | \$106.87 | \$0.00 | \$28.00 | \$0.00 |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 00287Y109 Symbol: ABBV  |          |                        |                    |                   |                              |                                    |                    |                                     |
| ABBV INC COM (Cont.)           | 3.000    | 05/20/14               | 12/23/14           | \$193.72          | \$160.30                     | \$0.00                             | \$33.42            | \$0.00                              |
|                                | 2.000    | 05/20/14               | 12/23/14           | \$129.15          | \$106.87                     | \$0.00                             | \$22.28            | \$0.00                              |
| Security Subtotal              | 38.000   |                        |                    | \$2,345.93        | \$1,979.67                   | \$0.00                             | \$366.26           | \$0.00                              |
| CUSIP: H0023R105 Symbol: ACE   |          |                        |                    |                   |                              |                                    |                    |                                     |
| ACE LTD                        | 3.000    | 05/09/14               | 10/14/14           | \$313.40          | \$306.50                     | \$0.00                             | \$6.90             | \$0.00                              |
| CUSIP: 00130H105 Symbol: AES   |          |                        |                    |                   |                              |                                    |                    |                                     |
| AES CORP                       | 1.000    | 05/09/14               | 10/14/14           | \$13.27           | \$14.04                      | \$0.00                             | (\$0.77)           | \$0.00                              |
| CUSIP: 008916108 Symbol: AGU   |          |                        |                    |                   |                              |                                    |                    |                                     |
| AGRIUM INC                     | 13.000   | 11/25/13               | 05/09/14           | \$1,189.45        | \$1,156.99                   | \$0.00                             | \$32.46            | \$0.00                              |
|                                | 1.000    | 04/11/14               | 05/09/14           | \$91.50           | \$92.29                      | \$0.00                             | (\$0.79)           | \$0.00                              |
| Security Subtotal              | 14.000   |                        |                    | \$1,280.95        | \$1,249.28                   | \$0.00                             | \$31.67            | \$0.00                              |
| CUSIP: 010199305 Symbol: AKZOY |          |                        |                    |                   |                              |                                    |                    |                                     |
| AKZO NOBEL NV ADR              | 2.000    | 11/08/13               | 04/11/14           | \$51.69           | \$49.50                      | \$0.00                             | \$2.19             | \$0.00                              |
|                                | 4.000    | 11/08/13               | 08/15/14           | \$93.67           | \$98.99                      | \$5.32 W                           | (\$5.32)           | \$0.00                              |
|                                | 28.000   | 11/08/13               | 10/14/14           | \$572.55          | \$692.96                     | \$0.00                             | (\$115.41)         | \$0.00                              |
| Security Subtotal              | 34.000   |                        |                    | \$722.91          | \$841.45                     | \$5.32                             | (\$118.54)         | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099 Consolidated Tax Statement  
Tax Year 2014 Copy B For RecipientMorgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th FloorMERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410New York, NY 10004  
Identification Number: 26-4310632Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                  | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------------------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| ALEXION PHARM INC CUSIP: 015351109 Symbol: ALXN       |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                                       | 1.000    | 04/03/13                     | 02/20/14                 | \$178.82             | \$99.08                            | \$0.00                                | \$79.74               | \$0.00                                    |
|                                                       | 1.000    | 04/04/13                     | 02/20/14                 | \$178.81             | \$98.14                            | \$0.00                                | \$80.67               | \$0.00                                    |
| Security Subtotal                                     | 2.000    |                              |                          | \$357.63             | \$197.22                           | \$0.00                                | \$160.41              | \$0.00                                    |
| ALIBABA GROUP HLDG LTD CUSIP: 01609W102 Symbol: BABA  |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                                       | 1.000    | 09/22/14                     | 10/14/14                 | \$84.93              | \$90.68                            | \$5.75 W                              | (\$5.75)              | \$0.00                                    |
|                                                       | 4.000    | 09/22/14                     | 11/13/14                 | \$464.19             | \$362.70                           | \$0.00                                | \$101.49              | \$0.00                                    |
|                                                       | 5.000    | 09/22/14                     | 11/20/14                 | \$557.32             | \$453.38                           | \$0.00                                | \$103.94              | \$0.00                                    |
| Security Subtotal                                     | 10.000   |                              |                          | \$1,106.44           | \$906.76                           | \$5.75                                | \$199.68              | \$0.00                                    |
| ALLSTATE CORP CUSIP: 020002101 Symbol: ALL            |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                                       | 7.000    | 05/09/14                     | 10/14/14                 | \$432.42             | \$407.13                           | \$0.00                                | \$25.29               | \$0.00                                    |
| AMER INTL GP INC NEW CUSIP: 026874784 Symbol: AIG     |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                                       | 17.000   | 04/11/14                     | 05/09/14                 | \$882.46             | \$840.25                           | \$0.00                                | \$42.21               | \$0.00                                    |
| AMERICAN CAPITAL AGENCY CUSIP: 02503X105 Symbol: AGNC |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                                       | 5.000    | 05/09/14                     | 09/15/14                 | \$113.84             | \$115.84                           | \$0.00                                | (\$2.00)              | \$0.00                                    |
|                                                       | 24.000   | 05/09/14                     | 09/22/14                 | \$536.40             | \$556.05                           | \$0.00                                | (\$19.65)             | \$0.00                                    |
|                                                       | 7.000    | 08/15/14                     | 09/22/14                 | \$156.45             | \$163.10                           | \$0.00                                | (\$6.65)              | \$0.00                                    |
| Security Subtotal                                     | 36.000   |                              |                          | \$806.69             | \$834.99                           | \$0.00                                | (\$28.30)             | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY                       | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|--------------------------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| AMERICAN EXPRESS CO            | CUSIP: 025816109 Symbol: AXP   | 2.000                  | 05/22/13           | 04/11/14          | \$169.89                     | \$153.71                           | \$0.00             | \$16.18                             |
| AMERICAN HOMES 4 RENT          | CUSIP: 026657306 Symbol: AMH   | 1.000                  | 05/09/14           | 07/21/14          | \$18.45                      | \$16.98                            | \$0.00             | \$1.47                              |
|                                |                                | 1.000                  | 05/09/14           | 10/14/14          | \$16.75                      | \$16.93                            | \$0.00             | \$0.00                              |
| Security Subtotal              |                                | 2.000                  |                    | \$35.20           | \$33.91                      | \$0.00                             | \$1.29             | \$0.00                              |
| AON PLC SHS CL-A               | CUSIP: G0408V102 Symbol: AON   | 13.000                 | 09/13/13           | 05/09/14          | \$1,108.50                   | \$892.33                           | \$0.00             | \$216.17                            |
|                                |                                | 5.000                  | 04/11/14           | 05/09/14          | \$426.35                     | \$398.43                           | \$0.00             | \$27.92                             |
| Security Subtotal              |                                | 18.000                 |                    | \$1,534.85        | \$1,290.76                   | \$0.00                             | \$244.09           | \$0.00                              |
| APACHE CORP                    | CUSIP: 037411105 Symbol: APA   | 2.000                  | 09/13/13           | 05/09/14          | \$176.99                     | \$174.16                           | \$0.00             | \$2.83                              |
| APPLIED MATERIALS INC          | CUSIP: 038222105 Symbol: AMAT  | 10.000                 | 09/27/13           | 05/01/14          | \$191.67                     | \$176.58                           | \$0.00             | \$15.09                             |
| AQR MANAGED FUTURES STRATEGY I | CUSIP: 00203H859 Symbol: AQMIX | 28.290                 | 09/13/13           | 08/15/14          | \$282.33                     | \$287.99                           | \$0.00             | (\$5.66)                            |
|                                |                                | 142.024                | 04/11/14           | 08/15/14          | \$1,417.41                   | \$1,388.99                         | \$0.00             | \$28.42                             |
| Security Subtotal              |                                | 170.314                |                    | \$1,699.74        | \$1,676.98                   | \$0.00                             | \$22.76            | \$0.00                              |

CONTINUED ON NEXT PAGE

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
 (Continued)

| DESCRIPTION (Box 1a)     | QUANTITY       | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------|----------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| ARM HOLDINGS PLC ADS     | 2 000          | 07/08/13               | 04/11/14           | \$95 98           | \$77 46                      | \$0 00                             | \$18.52            | \$0 00                              |
|                          |                | CUSIP: 042068106       | Symbol: ARMH       |                   |                              |                                    |                    |                                     |
| AVAGO TECH               | 4 000          | 05/09/14               | 05/16/14           | \$270 99          | \$272.48                     | \$0 00                             | (\$1.49)           | \$0 00                              |
|                          |                | CUSIP: Y0486S104       | Symbol: AVGO       |                   |                              |                                    |                    |                                     |
| AVIVA PLC ADR            | 47.000         | 11/08/13               | 03/13/14           | \$816 33          | \$685.98                     | \$0 00                             | \$150.35           | \$0 00                              |
|                          | 4.000          | 11/08/13               | 04/11/14           | \$66 69           | \$56 68                      | \$0 00                             | \$10 01            | \$0.00                              |
|                          | 18.000         | 11/08/13               | 10/14/14           | \$283.23          | \$255.06                     | \$0.00                             | \$28.17            | \$0.00                              |
| <b>Security Subtotal</b> | <b>69.000</b>  |                        |                    | <b>\$1,166.25</b> | <b>\$977.72</b>              | <b>\$0.00</b>                      | <b>\$188.53</b>    | <b>\$0.00</b>                       |
| AVON PRODUCTS INC        | 45.000         | 11/05/13               | 05/09/14           | \$601.78          | \$793.61                     | \$0.00                             | (\$191.83)         | \$0 00                              |
|                          | 40.000         | 11/06/13               | 05/09/14           | \$534 92          | \$705.60                     | \$0 00                             | (\$170.68)         | \$0.00                              |
|                          | 26.000         | 04/11/14               | 05/09/14           | \$347 70          | \$382.41                     | \$0.00                             | (\$34.71)          | \$0 00                              |
|                          | 13.000         | 05/06/14               | 05/09/14           | \$173.85          | \$174.36                     | \$0.00                             | (\$0.51)           | \$0.00                              |
| <b>Security Subtotal</b> | <b>124.000</b> |                        |                    | <b>\$1,658.25</b> | <b>\$2,055.98</b>            | <b>\$0.00</b>                      | <b>(\$397.73)</b>  | <b>\$0.00</b>                       |
| AXA ADS                  | 1.000          | 11/08/13               | 08/15/14           | \$24 76           | \$24.22                      | \$0 00                             | \$0.54             | \$0.00                              |
|                          |                | CUSIP: 054536107       | Symbol: AXAHY      |                   |                              |                                    |                    |                                     |
| BAIDU INC ADS            | 1 000          | 10/30/13               | 04/11/14           | \$148 49          | \$163 59                     | \$15 10W                           | (\$15 10)          | \$0 00                              |
|                          |                | CUSIP: 056752108       | Symbol: BIDU       |                   |                              |                                    |                    |                                     |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

New York, NY 10004  
 Identification Number. 26-4310632

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)        | QUANTITY       | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| BAIDU INC ADS (Cont.)       |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                             |                | CUSIP: 056752108             | Symbol: BIDU             |                      |                                    |                                       |                       |                                           |
|                             | 2,000          | 10/30/13                     | 10/14/14                 | \$396.33             | \$327.19                           | \$0.00                                | \$69.14               | \$0.00                                    |
|                             | 1,000          | 12/02/13                     | 11/25/14                 | \$244.97             | \$164.25                           | \$0.00                                | \$80.72               | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>4,000</b>   |                              |                          | <b>\$789.79</b>      | <b>\$655.03</b>                    | <b>\$15.10</b>                        | <b>\$134.76</b>       | <b>\$0.00</b>                             |
| BAKER HUGHES INC            |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                             |                | CUSIP: 057224107             | Symbol: BHI              |                      |                                    |                                       |                       |                                           |
|                             | 24,000         | 07/26/13                     | 05/09/14                 | \$1,670.89           | \$1,150.63                         | \$0.00                                | \$520.26              | \$0.00                                    |
|                             | 2,000          | 09/13/13                     | 05/09/14                 | \$139.24             | \$99.39                            | \$0.00                                | \$39.85               | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>26,000</b>  |                              |                          | <b>\$1,810.13</b>    | <b>\$1,250.02</b>                  | <b>\$0.00</b>                         | <b>\$560.11</b>       | <b>\$0.00</b>                             |
| BALFOUR BEATTY PLC SPON ADR |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                             |                | CUSIP: 05845R306             | Symbol: BAFYY            |                      |                                    |                                       |                       |                                           |
|                             | 16,000         | 11/08/13                     | 01/07/14                 | \$153.16             | \$145.39                           | \$0.00                                | \$7.77                | \$0.00                                    |
|                             | 32,000         | 11/08/13                     | 02/07/14                 | \$310.85             | \$290.78                           | \$0.00                                | \$20.07               | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>48,000</b>  |                              |                          | <b>\$464.01</b>      | <b>\$436.17</b>                    | <b>\$0.00</b>                         | <b>\$27.84</b>        | <b>\$0.00</b>                             |
| BANCO BILBAO VIZ ARG SA ADS |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                             |                | CUSIP: 05946K101             | Symbol: BBVA             |                      |                                    |                                       |                       |                                           |
|                             | 68,000         | 11/08/13                     | 01/09/14                 | \$860.18             | \$765.38                           | \$0.00                                | \$94.80               | \$0.00                                    |
|                             | 12,000         | 11/08/13                     | 01/22/14                 | \$153.45             | \$135.07                           | \$0.00                                | \$18.38               | \$0.00                                    |
|                             | 108,000        | 11/08/13                     | 03/27/14                 | \$1,270.31           | \$1,215.60                         | \$0.00                                | \$54.71               | \$0.00                                    |
|                             | 26,000         | 12/05/13                     | 03/27/14                 | \$305.81             | \$304.11                           | \$0.00                                | \$1.70                | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>214,000</b> |                              |                          | <b>\$2,589.75</b>    | <b>\$2,420.16</b>                  | <b>\$0.00</b>                         | <b>\$169.59</b>       | <b>\$0.00</b>                             |

CONTINUED ON NEXT PAGE



## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, JESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)        | QUANTITY<br>(Box 1b) | DATE<br>ACQUIRED<br>(Box 1c) | DATE<br>SOLD<br>(Box 1d)  | PROCEEDS<br>(Box 1e) | COST OR<br>OTHER BASIS<br>(Box 1f) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1h) | GAIN/(LOSS)<br>AMOUNT<br>(Box 1i) | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-----------------------------|----------------------|------------------------------|---------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------------------|-------------------------------------------|
| BARCLAYS PLC ADR            | 29.000               | CUSIP: 06738E204<br>11/08/13 | Symbol: BCS<br>10/14/14   | \$411.35             | \$473.15                           | \$0.00                                | (\$61.80)                         | \$0.00                                    |
| BARRICK GOLD CORP           | 7.000                | CUSIP: 067901108<br>09/13/13 | Symbol: ABX<br>04/22/14   | \$122.54             | \$123.11                           | \$0.00                                | (\$0.57)                          | \$0.00                                    |
|                             | 8.000                | 04/11/14                     | 04/22/14                  | \$140.04             | \$152.57                           | \$0.00                                | (\$12.53)                         | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>15.000</b>        |                              |                           | <b>\$262.58</b>      | <b>\$275.68</b>                    | <b>\$0.00</b>                         | <b>(\$13.10)</b>                  | <b>\$0.00</b>                             |
| BAYER AG SPON ADR           | 2.000                | CUSIP: 072730302<br>11/08/13 | Symbol: BAYRY<br>10/14/14 | \$259.54             | \$248.64                           | \$0.00                                | \$10.90                           | \$0.00                                    |
| BB & T CORP                 | 5.000                | CUSIP: 054937107<br>05/09/14 | Symbol: BBT<br>10/14/14   | \$186.99             | \$186.31                           | \$0.00                                | \$0.68                            | \$0.00                                    |
|                             | 10.000               | 05/09/14                     | 10/17/14                  | \$351.93             | \$372.63                           | \$0.00                                | (\$20.70)                         | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>15.000</b>        |                              |                           | <b>\$538.92</b>      | <b>\$558.94</b>                    | <b>\$0.00</b>                         | <b>(\$20.02)</b>                  | <b>\$0.00</b>                             |
| BERKSHIRE HATHAWAY CL-B NEW | 2.000                | CUSIP: 084670702<br>05/09/14 | Symbol: BRK'B<br>07/08/14 | \$257.33             | \$252.47                           | \$0.00                                | \$4.86                            | \$0.00                                    |
|                             | 7.000                | 05/09/14                     | 10/14/14                  | \$963.52             | \$883.64                           | \$0.00                                | \$79.88                           | \$0.00                                    |
| <b>Security Subtotal</b>    | <b>9.000</b>         |                              |                           | <b>\$1,220.85</b>    | <b>\$1,136.11</b>                  | <b>\$0.00</b>                         | <b>\$84.74</b>                    | <b>\$0.00</b>                             |
| BG GROUP PLC                | 26.000               | CUSIP: 055434203<br>11/08/13 | Symbol: BRGY<br>01/08/14  | \$560.35             | \$529.78                           | \$0.00                                | \$30.57                           | \$0.00                                    |
|                             | 16.000               | 11/08/13                     | 01/15/14                  | \$348.87             | \$326.02                           | \$0.00                                | \$22.85                           | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: XX-XX5054  
Account Number: 571 010604 127

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 055434203 Symbol: BRGY  |               |                        |                    |                   |                              |                                    |                    |                                     |
| BG GROUP PLC (Cont.)           | 26 000        | 11/08/13               | 05/07/14           | \$558.20          | \$529.78                     | \$0.00                             | \$28.42            | \$0.00                              |
|                                | 6,000         | 11/08/13               | 10/14/14           | \$97.75           | \$122.26                     | \$24.51 W                          | (\$24.51)          | \$0.00                              |
| <b>Security Subtotal</b>       | <b>74,000</b> |                        |                    | <b>\$1,565.17</b> | <b>\$1,507.84</b>            | <b>\$24.51</b>                     | <b>\$57.33</b>     | <b>\$0.00</b>                       |
| CUSIP: 09061G101 Symbol: BMRN  |               |                        |                    |                   |                              |                                    |                    |                                     |
| BIOMARIN PHARMAC SE            | 6,000         | 02/25/14               | 05/27/14           | \$342.15          | \$492.15                     | \$0.00                             | (\$150.00)         | \$0.00                              |
|                                | 3,000         | 02/27/14               | 05/27/14           | \$171.08          | \$250.40                     | \$0.00                             | (\$79.32)          | \$0.00                              |
|                                | 1,000         | 02/27/14               | 06/09/14           | \$61.86           | \$83.47                      | \$0.00                             | (\$21.61)          | \$0.00                              |
|                                | 2,000         | 03/06/14               | 06/09/14           | \$123.72          | \$157.42                     | \$0.00                             | (\$33.70)          | \$0.00                              |
|                                | 3,000         | 03/07/14               | 06/09/14           | \$185.58          | \$230.70                     | \$0.00                             | (\$45.12)          | \$0.00                              |
|                                | 4,000         | 03/14/14               | 06/09/14           | \$247.45          | \$311.08                     | \$0.00                             | (\$63.63)          | \$0.00                              |
| <b>Security Subtotal</b>       | <b>19,000</b> |                        |                    | <b>\$1,131.84</b> | <b>\$1,525.22</b>            | <b>\$0.00</b>                      | <b>(\$393.38)</b>  | <b>\$0.00</b>                       |
| CUSIP: 05565A202 Symbol: BNPQY |               |                        |                    |                   |                              |                                    |                    |                                     |
| BNP PARIBAS SP ADR REPSTG      | 2,000         | 11/08/13               | 04/11/14           | \$75.89           | \$71.20                      | \$0.00                             | \$4.69             | \$0.00                              |
|                                | 10,000        | 11/08/13               | 10/14/14           | \$307.52          | \$356.02                     | \$0.00                             | (\$48.50)          | \$0.00                              |
| <b>Security Subtotal</b>       | <b>12,000</b> |                        |                    | <b>\$383.41</b>   | <b>\$427.22</b>              | <b>\$0.00</b>                      | <b>(\$43.81)</b>   | <b>\$0.00</b>                       |
| CUSIP: 099724106 Symbol: BWA   |               |                        |                    |                   |                              |                                    |                    |                                     |
| BORG WARNER INC                | 10,000        | 08/15/14               | 11/14/14           | \$549.12          | \$623.47                     | \$0.00                             | (\$74.35)          | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

#### Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked ) (Continued)

| DESCRIPTION (Box 1a)          | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 110122108 Symbol: BMY  |          |                              |                          |                      |                                    |                                       |                       |                                           |
| BRISTOL MYERS SQUIBB CO       | 10.000   | 10/29/13                     | 01/27/14                 | \$499.20             | \$533.97                           | \$0.00                                | (\$34.77)             | \$0.00                                    |
|                               | 2.000    | 10/29/13                     | 01/27/14                 | \$99.84              | \$104.94                           | \$0.00                                | (\$5.10)              | \$0.00                                    |
|                               | 4.000    | 10/29/13                     | 01/28/14                 | \$201.94             | \$209.87                           | \$0.00                                | (\$7.93)              | \$0.00                                    |
|                               | 7.000    | 10/30/13                     | 01/28/14                 | \$353.39             | \$371.09                           | \$0.00                                | (\$17.70)             | \$0.00                                    |
|                               | 2.000    | 11/08/13                     | 01/28/14                 | \$100.96             | \$103.37                           | \$0.00                                | (\$2.41)              | \$0.00                                    |
| Security Subtotal             | 25.000   |                              |                          | \$1,255.33           | \$1,323.24                         | \$0.00                                | (\$67.91)             | \$0.00                                    |
| CUSIP: 110448107 Symbol: BTI  |          |                              |                          |                      |                                    |                                       |                       |                                           |
| BRITISH AMER TOB SPON ADR     | 4.000    | 11/08/13                     | 10/14/14                 | \$436.85             | \$439.00                           | \$0.00                                | (\$2.15)              | \$0.00                                    |
| CUSIP: 111621306 Symbol: BRCD |          |                              |                          |                      |                                    |                                       |                       |                                           |
| BROCADE COMMUN SYSTEMS INC    | 20.000   | 05/09/14                     | 10/14/14                 | \$184.05             | \$161.41                           | \$0.00                                | \$22.64               | \$0.00                                    |
| CUSIP: 12673P105 Symbol: CA   |          |                              |                          |                      |                                    |                                       |                       |                                           |
| CA INCORPORATED               | 23.000   | 09/13/13                     | 05/09/14                 | \$674.60             | \$699.94                           | \$0.00                                | (\$25.34)             | \$0.00                                    |
|                               | 11.000   | 04/11/14                     | 05/09/14                 | \$322.63             | \$330.11                           | \$0.00                                | (\$7.48)              | \$0.00                                    |
| Security Subtotal             | 34.000   |                              |                          | \$997.23             | \$1,030.05                         | \$0.00                                | (\$32.82)             | \$0.00                                    |
| CUSIP: 13057Q107 Symbol: CRC  |          |                              |                          |                      |                                    |                                       |                       |                                           |
| CALIFORNIA RES CORP COM       | 0.800    | 01/31/14                     | 11/28/14                 | \$5.44               | \$5.39                             | \$0.00                                | \$0.05                | \$0.00                                    |
|                               | 4.000    | 01/31/14                     | 12/24/14                 | \$22.06              | \$25.17                            | \$0.00                                | (\$3.11)              | \$0.00                                    |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 29 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)            | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 13057Q107 Symbol: CRC    |          |                        |                    |                   |                              |                                    |                    |                                     |
| CALIFORNIA RES CORP COM (Cont.) | 1,000    | 08/15/14               | 12/24/14           | \$5.51            | \$13.94                      | \$0.00                             | (\$8.43)           | \$0.00                              |
| Security Subtotal               | 5,800    |                        |                    | \$33.01           | \$44.50                      | \$0.00                             | (\$11.49)          | \$0.00                              |
| CUSIP: 136385101 Symbol: CNQ    |          |                        |                    |                   |                              |                                    |                    |                                     |
| CANADIAN NATURAL RESOURCES LTD  | 21,000   | 09/13/13               | 05/09/14           | \$827.55          | \$662.76                     | \$0.00                             | \$164.79           | \$0.00                              |
| CUSIP: 148887102 Symbol: CTRX   |          |                        |                    |                   |                              |                                    |                    |                                     |
| CATAMARAN CORP COM              | 7,000    | 10/29/13               | 02/27/14           | \$319.39          | \$349.72                     | \$0.00                             | (\$30.33)          | \$0.00                              |
|                                 | 4,000    | 10/30/13               | 02/27/14           | \$182.51          | \$199.35                     | \$0.00                             | (\$16.84)          | \$0.00                              |
|                                 | 7,000    | 10/30/13               | 02/28/14           | \$316.68          | \$348.87                     | \$0.00                             | (\$32.19)          | \$0.00                              |
|                                 | 7,000    | 10/31/13               | 02/28/14           | \$316.68          | \$357.24                     | \$0.00                             | (\$40.56)          | \$0.00                              |
|                                 | 2,000    | 11/08/13               | 02/28/14           | \$90.49           | \$96.59                      | \$0.00                             | (\$6.10)           | \$0.00                              |
| Security Subtotal               | 27,000   |                        |                    | \$1,225.75        | \$1,351.77                   | \$0.00                             | (\$126.02)         | \$0.00                              |
| CUSIP: 124857202 Symbol: CBS    |          |                        |                    |                   |                              |                                    |                    |                                     |
| CBS CORP NEW CL B SHRS          | 1,000    | 11/08/13               | 07/09/14           | \$63.00           | \$57.24                      | \$0.00                             | \$5.76             | \$0.00                              |
|                                 | 1,000    | 11/08/13               | 07/10/14           | \$62.16           | \$57.24                      | \$0.00                             | \$4.92             | \$0.00                              |
|                                 | 3,000    | 05/09/14               | 07/10/14           | \$186.49          | \$171.01                     | \$0.00                             | \$15.48            | \$0.00                              |
|                                 | 1,000    | 05/09/14               | 07/10/14           | \$62.16           | \$57.00                      | \$0.00                             | \$5.16             | \$0.00                              |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 124857202 Symbol: CBS   |               |                        |                    |                   |                              |                                    |                    |                                     |
| CBS CORP NEW CL B SHRS(Cont.)  | 1,000         | 11/08/13               | 07/25/14           | \$57.67           | \$57.24                      | \$0.00                             | \$0.43             | \$0.00                              |
|                                | 8,000         | 05/09/14               | 07/25/14           | \$461.37          | \$456.02                     | \$0.00                             | \$5.35             | \$0.00                              |
| <b>Security Subtotal</b>       | <b>15,000</b> |                        |                    | <b>\$892.85</b>   | <b>\$855.75</b>              | <b>\$0.00</b>                      | <b>\$37.10</b>     | <b>\$0.00</b>                       |
| CUSIP: 14987J106 Symbol:       |               |                        |                    |                   |                              |                                    |                    |                                     |
| CBS OUTDOOR AMERICAS INC.      | 5,000         | 07/10/14               | 09/04/14           | \$167.48          | \$162.71                     | \$0.00                             | \$4.77             | \$0.00                              |
|                                | 10,000        | 07/10/14               | 09/04/14           | \$334.96          | \$328.11                     | \$0.00                             | \$6.85             | \$0.00                              |
|                                | 11,000        | 07/10/14               | 09/09/14           | \$362.45          | \$357.97                     | \$0.00                             | \$4.48             | \$0.00                              |
|                                | 5,000         | 07/15/14               | 09/09/14           | \$164.75          | \$162.47                     | \$0.00                             | \$2.28             | \$0.00                              |
|                                | 19,000        | 08/15/14               | 09/10/14           | \$604.10          | \$648.30                     | \$0.00                             | (\$44.20)          | \$0.00                              |
| <b>Security Subtotal</b>       | <b>50,000</b> |                        |                    | <b>\$1,633.74</b> | <b>\$1,659.56</b>            | <b>\$0.00</b>                      | <b>(\$25.82)</b>   | <b>\$0.00</b>                       |
| CUSIP: 461418568 Symbol: CCCNX |               |                        |                    |                   |                              |                                    |                    |                                     |
| CENTER COAST MLP FOCUS I       | 4,385,043     | 04/11/14               | 08/15/14           | \$53,541.38       | \$48,998.67                  | \$0.00                             | \$4,542.71         | \$0.00                              |
| CUSIP: 156700106 Symbol: CTL   |               |                        |                    |                   |                              |                                    |                    |                                     |
| CENTURYLINK INC                | 12,000        | 06/12/13               | 04/11/14           | \$399.17          | \$425.87                     | \$0.00                             | (\$26.70)          | \$0.00                              |
|                                | 23,000        | 06/12/13               | 05/09/14           | \$844.82          | \$816.25                     | \$0.00                             | \$28.57            | \$0.00                              |
|                                | 4,000         | 06/14/13               | 05/09/14           | \$146.93          | \$130.99                     | \$0.00                             | \$15.94            | \$0.00                              |
|                                | 15,000        | 08/08/13               | 05/09/14           | \$550.97          | \$520.93                     | \$0.00                             | \$30.04            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 31 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                        | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CENTURYLINK INC (Cont.) CUSIP: 156700106 Symbol: CTL        |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 21 000        | 09/10/13               | 05/09/14           | \$771.36          | \$682.50                     | \$0.00                             | \$88.86            | \$0.00                              |
|                                                             | 17 000        | 09/13/13               | 05/09/14           | \$624.43          | \$554.16                     | \$0.00                             | \$70.27            | \$0.00                              |
| <b>Security Subtotal</b>                                    | <b>92 000</b> |                        |                    | <b>\$3,337.68</b> | <b>\$3,130.70</b>            | <b>\$0.00</b>                      | <b>\$206.98</b>    | <b>\$0.00</b>                       |
| CHARLES SCHWAB NEW CUSIP: 808513105 Symbol: SCHW            |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 1 000         | 10/16/13               | 04/11/14           | \$24.84           | \$23.75                      | \$0.00                             | \$1.09             | \$0.00                              |
|                                                             | 13 000        | 10/16/13               | 10/09/14           | \$360.76          | \$308.69                     | \$0.00                             | \$52.07            | \$0.00                              |
|                                                             | 5 000         | 11/08/13               | 10/09/14           | \$138.75          | \$115.23                     | \$0.00                             | \$23.52            | \$0.00                              |
|                                                             | 2 000         | 11/08/13               | 10/10/14           | \$53.51           | \$46.09                      | \$0.00                             | \$7.42             | \$0.00                              |
|                                                             | 14 000        | 11/12/13               | 10/10/14           | \$374.59          | \$335.43                     | \$0.00                             | \$39.16            | \$0.00                              |
|                                                             | 5 000         | 11/15/13               | 10/10/14           | \$131.34          | \$122.28                     | \$0.00                             | \$9.06             | \$0.00                              |
|                                                             | 2 000         | 11/15/13               | 10/10/14           | \$53.52           | \$48.91                      | \$0.00                             | \$4.61             | \$0.00                              |
|                                                             | 13 000        | 02/03/14               | 10/10/14           | \$341.47          | \$317.27                     | \$0.00                             | \$24.20            | \$0.00                              |
|                                                             | 13 000        | 08/15/14               | 10/10/14           | \$341.47          | \$359.72                     | \$0.00                             | (\$18.25)          | \$0.00                              |
| <b>Security Subtotal</b>                                    | <b>68 000</b> |                        |                    | <b>\$1,820.25</b> | <b>\$1,677.37</b>            | <b>\$0.00</b>                      | <b>\$142.88</b>    | <b>\$0.00</b>                       |
| CHINA MOBILE LTD CUSIP: 16941M109 Symbol: CHL               |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 6 000         | 08/13/14               | 10/14/14           | \$357.16          | \$337.79                     | \$0.00                             | \$19.37            | \$0.00                              |
| CHIPOTLE MEXICAN GRILL INC COM CUSIP: 169656105 Symbol: CMG |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 1 000         | 10/09/13               | 03/31/14           | \$566.91          | \$429.51                     | \$0.00                             | \$137.40           | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th FloorNew York, NY 10004  
Identification Number:

26-4310632

MERVOLINA, J ARENA, P TUFILLARO

R ARENA, J ESS CO-TTEES UTA 6/17/07

MSGR RICHARD S AMICO MEM CHARI

PO BOX 1410

BUFFALO NY 14240-1410

Taxpayer ID Number:

XX-XXX5054

Account Number:

571 010604 127

Customer Service: 866-324-6088

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

| DESCRIPTION (Box 1a)           | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| CITIGROUP INC NEW              |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                | 3 000    | 09/13/13                     | 05/09/14                 | \$140.86             | \$150.96                           | \$0.00                                | (\$10.10)             | \$0.00                                    |
|                                | 20,000   | 04/11/14                     | 05/09/14                 | \$939.07             | \$910.90                           | \$0.00                                | \$28.17               | \$0.00                                    |
| Security Subtotal              | 23,000   |                              |                          | \$1,079.93           | \$1,061.86                         | \$0.00                                | \$18.07               | \$0.00                                    |
| CLARIANT AG MUTTENZ UNSPON ADR |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                | 14 000   | 01/06/14                     | 10/14/14                 | \$227.60             | \$255.07                           | \$0.00                                | (\$27.47)             | \$0.00                                    |
| CNOOC LTD ADS                  |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                | 2,000    | 11/08/13                     | 02/05/14                 | \$296.95             | \$397.06                           | \$100.11W                             | (\$100.11)            | \$0.00                                    |
|                                | 3,000    | 11/08/13                     | 02/05/14                 | \$445.42             | \$595.60                           | \$0.00                                | (\$150.18)            | \$0.00                                    |
|                                | 2,000    | 11/08/13                     | 08/11/14                 | \$372.22             | \$397.06                           | \$0.00                                | (\$24.84)             | \$0.00                                    |
|                                | 3,000    | 11/08/13                     | 08/14/14                 | \$563.79             | \$595.60                           | \$0.00                                | (\$31.81)             | \$0.00                                    |
|                                | 5,000    | 11/08/13                     | 08/21/14                 | \$975.39             | \$992.66                           | \$0.00                                | (\$17.27)             | \$0.00                                    |
|                                | 2,000    | 11/08/13                     | 09/02/14                 | \$394.11             | \$397.06                           | \$0.00                                | (\$2.95)              | \$0.00                                    |
|                                | 1,000    | 11/08/13                     | 10/14/14                 | \$161.18             | \$198.53                           | \$37.35W                              | (\$37.35)             | \$0.00                                    |
| Security Subtotal              | 18,000   |                              |                          | \$3,209.06           | \$3,573.57                         | \$137.46                              | (\$364.51)            | \$0.00                                    |
| COGNIZANT TECH SOLUTIONS CL A  |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                | 4,000    | 05/09/13                     | 04/11/14                 | \$191.40             | \$135.98                           | \$0.00                                | \$55.42               | \$0.00                                    |
| COMCAST CORP (NEW) CLASS A     |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                                | 9 000    | 05/09/14                     | 10/14/14                 | \$463.97             | \$457.39                           | \$0.00                                | \$6.58                | \$0.00                                    |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 33 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                             | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT  | FEDERAL INCOME TAX WITHHELD (Box 4) |
|------------------------------------------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|---------------------|-------------------------------------|
| COMCAST CORP (NEW) CLASS A(Cont.) CUSIP: 20030N101 Symbol: CMCSA |               |                        |                    |                   |                              |                                    |                     |                                     |
|                                                                  | 1 000         | 09/09/14               | 10/14/14           | \$51.62           | \$56.61                      | \$4.99 W                           | (\$4.99)            | \$0.00                              |
|                                                                  | 7.000         | 05/09/14               | 11/10/14           | \$369.82          | \$355.75                     | \$0.00                             | \$14.07             | \$0.00                              |
| <b>Security Subtotal</b>                                         | <b>17.000</b> |                        |                    | <b>\$885.41</b>   | <b>\$869.75</b>              | <b>\$4.99</b>                      | <b>\$15.66</b>      | <b>\$0.00</b>                       |
| CONCHO RES INC CUSIP: 20605P101 Symbol: CXO                      |               |                        |                    |                   |                              |                                    |                     |                                     |
|                                                                  | 3 000         | 05/12/14               | 10/13/14           | \$305.35          | \$396.60                     | \$0.00                             | (\$91.25)           | \$0.00                              |
|                                                                  | 3.000         | 05/14/14               | 10/13/14           | \$305.35          | \$400.26                     | \$0.00                             | (\$94.91)           | \$0.00                              |
|                                                                  | 3 000         | 05/14/14               | 10/14/14           | \$297.43          | \$400.26                     | \$0.00                             | (\$102.83)          | \$0.00                              |
|                                                                  | 1 000         | 05/14/14               | 12/16/14           | \$83.41           | \$133.42                     | \$0.00                             | (\$50.01)           | \$0.00                              |
|                                                                  | 1 000         | 06/19/14               | 12/16/14           | \$83.41           | \$139.84                     | \$0.00                             | (\$56.43)           | \$0.00                              |
|                                                                  | 1.000         | 06/20/14               | 12/16/14           | \$83.41           | \$141.29                     | \$0.00                             | (\$57.88)           | \$0.00                              |
|                                                                  | 3.000         | 07/11/14               | 12/16/14           | \$250.23          | \$438.99                     | \$0.00                             | (\$188.76)          | \$0.00                              |
|                                                                  | 1.000         | 07/14/14               | 12/16/14           | \$83.41           | \$147.87                     | \$0.00                             | (\$64.46)           | \$0.00                              |
|                                                                  | 2 000         | 08/14/14               | 12/16/14           | \$166.82          | \$261.10                     | \$0.00                             | (\$94.28)           | \$0.00                              |
|                                                                  | 8.000         | 08/15/14               | 12/16/14           | \$667.27          | \$1,034.03                   | \$0.00                             | (\$366.76)          | \$0.00                              |
| <b>Security Subtotal</b>                                         | <b>26.000</b> |                        |                    | <b>\$2,326.09</b> | <b>\$3,493.66</b>            | <b>\$0.00</b>                      | <b>(\$1,167.57)</b> | <b>\$0.00</b>                       |
| COSTAR GROUP INC CUSIP: 22160N109 Symbol: CSGP                   |               |                        |                    |                   |                              |                                    |                     |                                     |
|                                                                  | 2.000         | 06/06/14               | 10/14/14           | \$278.47          | \$337.03                     | \$58.56 W                          | (\$58.56)           | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
 (Continued)

| DESCRIPTION (Box 1a)         | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| COVIDIEN PLC                 |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |          | CUSIP: G2554F113             |                          | Symbol:              |                                    |                                       |                       |                                           |
|                              | 3.000    | 05/09/14                     | 09/24/14                 | \$268.65             | \$214.27                           | \$0.00                                | \$54.38               | \$0.00                                    |
|                              | 3.000    | 05/09/14                     | 10/14/14                 | \$277.76             | \$214.27                           | \$0.00                                | \$63.49               | \$0.00                                    |
| Security Subtotal            | 6.000    |                              |                          | \$546.41             | \$428.54                           | \$0.00                                | \$117.87              | \$0.00                                    |
| CREDIT AGRICOLE SA UNSP ADR  |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |          | CUSIP: 225313105             |                          | Symbol: CRARY        |                                    |                                       |                       |                                           |
|                              | 4.000    | 12/18/13                     | 04/11/14                 | \$30.68              | \$24.45                            | \$0.00                                | \$6.23                | \$0.00                                    |
|                              | 74.000   | 12/18/13                     | 06/26/14                 | \$534.12             | \$452.33                           | \$0.00                                | \$81.79               | \$0.00                                    |
|                              | 114.000  | 12/18/13                     | 09/15/14                 | \$865.71             | \$696.84                           | \$0.00                                | \$168.87              | \$0.00                                    |
|                              | 4.000    | 02/05/14                     | 09/15/14                 | \$30.38              | \$27.29                            | \$0.00                                | \$3.09                | \$0.00                                    |
|                              | 2.000    | 08/15/14                     | 09/15/14                 | \$15.18              | \$14.27                            | \$0.00                                | \$0.91                | \$0.00                                    |
| Security Subtotal            | 198.000  |                              |                          | \$1,476.07           | \$1,215.18                         | \$0.00                                | \$260.89              | \$0.00                                    |
| CREDIT SUISSE GROUP          |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |          | CUSIP: 225401108             |                          | Symbol: CS           |                                    |                                       |                       |                                           |
|                              | 2.000    | 03/26/14                     | 04/11/14                 | \$63.47              | \$62.44                            | \$0.00                                | \$1.03                | \$0.00                                    |
|                              | 4.000    | 03/26/14                     | 10/14/14                 | \$105.48             | \$124.89                           | \$0.00                                | (\$19.41)             | \$0.00                                    |
| Security Subtotal            | 6.000    |                              |                          | \$168.95             | \$187.33                           | \$0.00                                | (\$18.38)             | \$0.00                                    |
| CROWN HLDGS INC (HOLDING CO) |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |          | CUSIP: 228368106             |                          | Symbol: CCK          |                                    |                                       |                       |                                           |
|                              | 3.000    | 05/09/14                     | 06/13/14                 | \$147.52             | \$144.88                           | \$0.00                                | \$2.64                | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

MORGAN STANLEY SMITH BARNEY HOLDINGS LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XX5054  
Account Number: 571 010604 127

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                        | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CVS HEALTH CORP COM CUSIP: 126650100 Symbol: CVS            |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 1.000    | 11/08/13               | 05/09/14           | \$75.67           | \$63.61                      | \$0.00                             | \$12.06            | \$0.00                              |
|                                                             | 3.000    | 04/11/14               | 05/09/14           | \$227.01          | \$217.71                     | \$0.00                             | \$9.30             | \$0.00                              |
| Security Subtotal                                           | 4.000    |                        |                    | \$302.68          | \$281.32                     | \$0.00                             | \$21.36            | \$0.00                              |
| DAIMLER AG SPONSORED ADR CUSIP: 233825108 Symbol: DD4Y      |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 1.000    | 11/08/13               | 04/11/14           | \$91.26           | \$79.47                      | \$0.00                             | \$11.79            | \$0.00                              |
|                                                             | 9.000    | 11/08/13               | 07/18/14           | \$792.00          | \$715.27                     | \$0.00                             | \$76.73            | \$0.00                              |
|                                                             | 11.000   | 11/08/13               | 07/28/14           | \$938.59          | \$874.21                     | \$0.00                             | \$64.38            | \$0.00                              |
|                                                             | 1.000    | 11/08/13               | 08/15/14           | \$82.20           | \$79.47                      | \$0.00                             | \$2.73             | \$0.00                              |
|                                                             | 1.000    | 11/08/13               | 10/14/14           | \$74.19           | \$79.47                      | \$0.00                             | (\$5.28)           | \$0.00                              |
| Security Subtotal                                           | 23.000   |                        |                    | \$1,978.24        | \$1,827.89                   | \$0.00                             | \$150.35           | \$0.00                              |
| DELAWARE INV SM CAP VAL INST CUSIP: 246097208 Symbol: DEVIX |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 12.429   | 05/17/13               | 04/11/14           | \$678.37          | \$609.77                     | \$0.00                             | \$68.60            | \$0.00                              |
| DEUTSCHE LUFTHANSA ADR CUSIP: 251561304 Symbol: DLAKY       |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 4.000    | 04/04/14               | 04/11/14           | \$103.67          | \$111.18                     | \$0.00                             | (\$7.51)           | \$0.00                              |
|                                                             | 25.000   | 04/04/14               | 06/23/14           | \$530.67          | \$694.86                     | \$0.00                             | (\$164.19)         | \$0.00                              |
|                                                             | 81.000   | 04/04/14               | 07/03/14           | \$1,684.82        | \$2,251.36                   | \$0.00                             | (\$566.54)         | \$0.00                              |
| Security Subtotal                                           | 110.000  |                        |                    | \$2,319.16        | \$3,057.40                   | \$0.00                             | (\$738.24)         | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)         | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| DISCOVER FINCL SVCS          | 4.000         | 05/09/14               | 10/14/14           | \$251.06          | \$229.16                     | \$0.00                             | \$21.90            | \$0.00                              |
| CUSIP: 254709108 Symbol: DFS |               |                        |                    |                   |                              |                                    |                    |                                     |
| DOLLAR GEN CORP NEW COM      | 4.000         | 11/08/13               | 07/16/14           | \$221.39          | \$228.86                     | \$0.00                             | (\$7.47)           | \$0.00                              |
|                              | 2.000         | 11/08/13               | 07/17/14           | \$109.26          | \$114.43                     | \$0.00                             | (\$5.17)           | \$0.00                              |
|                              | 3.000         | 12/09/13               | 07/17/14           | \$163.89          | \$182.19                     | \$0.00                             | (\$18.30)          | \$0.00                              |
|                              | 6.000         | 04/03/14               | 07/17/14           | \$327.78          | \$344.33                     | \$0.00                             | (\$16.55)          | \$0.00                              |
|                              | 2.000         | 04/10/14               | 07/17/14           | \$109.26          | \$112.51                     | \$0.00                             | (\$3.25)           | \$0.00                              |
|                              | 3.000         | 04/11/14               | 07/17/14           | \$163.89          | \$164.06                     | \$0.00                             | (\$0.17)           | \$0.00                              |
|                              | 3.000         | 04/28/14               | 07/17/14           | \$163.89          | \$169.55                     | \$0.00                             | (\$5.66)           | \$0.00                              |
|                              | 5.000         | 08/18/14               | 09/05/14           | \$313.86          | \$315.21                     | \$1.35W                            | (\$1.35)           | \$0.00                              |
|                              | 2.000         | 08/19/14               | 09/05/14           | \$125.55          | \$127.79                     | \$0.00                             | (\$2.24)           | \$0.00                              |
|                              | 5.000         | 08/18/14               | 09/08/14           | \$313.51          | \$320.83                     | \$0.00                             | (\$7.32)           | \$0.00                              |
|                              | 9.000         | 08/19/14               | 09/08/14           | \$564.31          | \$575.06                     | \$0.00                             | (\$10.75)          | \$0.00                              |
| <b>Security Subtotal</b>     | <b>44.000</b> |                        |                    | <b>\$2,576.59</b> | <b>\$2,654.82</b>            | <b>\$1.35</b>                      | <b>(\$78.23)</b>   | <b>\$0.00</b>                       |
| CUSIP: 256677105 Symbol: DG  |               |                        |                    |                   |                              |                                    |                    |                                     |
| DOVER CORP                   | 5.000         | 05/09/14               | 07/10/14           | \$440.01          | \$425.75                     | \$0.00                             | \$14.26            | \$0.00                              |
|                              | 4.000         | 05/09/14               | 12/12/14           | \$273.77          | \$340.60                     | \$0.00                             | (\$66.83)          | \$0.00                              |
| <b>Security Subtotal</b>     | <b>9.000</b>  |                        |                    | <b>\$713.78</b>   | <b>\$766.35</b>              | <b>\$0.00</b>                      | <b>(\$52.57)</b>   | <b>\$0.00</b>                       |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)          | QUANTITY | DATE ACQUIRED (Box 1b)       | DATE SOLD (Box 1c)        | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------|----------|------------------------------|---------------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| EAST JAPAN RY CO ADR          | 5 000    | CUSIP: 273202101<br>09/24/14 | Symbol: EJPRY<br>10/14/14 | \$60 68           | \$62 65                      | \$1 97 W                           | (\$1.97)           | \$0.00                              |
| EATON VANCE COMMODITY STRAT I | 782 023  | CUSIP: 277905329<br>09/13/13 | Symbol: EICSX<br>08/15/14 | \$6,295 31        | \$6,568 99                   | \$0 00                             | (\$273.68)         | \$0 00                              |
| EBAY INC                      | 4 000    | CUSIP: 278642103<br>07/09/13 | Symbol: EBAY<br>05/28/14  | \$202.21          | \$217 78                     | \$0 00                             | (\$15.57)          | \$0 00                              |
|                               | 5,000    | 11/08/13                     | 05/28/14                  | \$252.75          | \$263.85                     | \$0.00                             | (\$11.10)          | \$0.00                              |
| Security Subtotal             | 9,000    |                              |                           | \$454.96          | \$481.63                     | \$0.00                             | (\$26.67)          | \$0.00                              |
| EMC CORP MASS                 | 4,000    | CUSIP: 268648102<br>05/09/14 | Symbol: EMC<br>10/14/14   | \$111 04          | \$100 79                     | \$0.00                             | \$10.25            | \$0.00                              |
| ESTEE LAUDER CO INC CL A      | 1 000    | CUSIP: 518439104<br>09/03/13 | Symbol: EL<br>03/31/14    | \$66 84           | \$66 63                      | \$0 00                             | \$0.21             | \$0.00                              |
| EXPRESS SCRIPTS HLDG CO COM   | 3 000    | CUSIP: 30219G108<br>02/13/14 | Symbol: ESRX<br>06/19/14  | \$204.70          | \$228.58                     | \$0 00                             | (\$23 88)          | \$0 00                              |
|                               | 2,000    | 02/13/14                     | 06/19/14                  | \$136.46          | \$152.39                     | \$0.00                             | (\$15.93)          | \$0.00                              |
|                               | 2,000    | 02/19/14                     | 06/19/14                  | \$136.46          | \$153.25                     | \$0.00                             | (\$16.79)          | \$0.00                              |
|                               | 2,000    | 02/13/14                     | 06/20/14                  | \$137 01          | \$152.39                     | \$0 00                             | (\$15.38)          | \$0 00                              |
|                               | 4,000    | 02/24/14                     | 06/20/14                  | \$274 03          | \$299.78                     | \$0 00                             | (\$25.75)          | \$0 00                              |
|                               | 4,000    | 03/11/14                     | 06/20/14                  | \$274 03          | \$316.48                     | \$0 00                             | (\$42.45)          | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

MERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)                                             | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|------------------------------------------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| EXPRESS SCRIPTS HLDG CO COM(Cont.) CUSIP: 30219G108 Symbol: ESRX |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                  | 5 000         | 04/16/14               | 06/20/14           | \$342.55          | \$365.66                     | \$0 00                             | (\$23 11)          | \$0.00                              |
|                                                                  | 2.000         | 02/19/14               | 07/08/14           | \$135 03          | \$153.25                     | \$0 00                             | (\$18.22)          | \$0 00                              |
|                                                                  | 5.000         | 05/09/14               | 07/08/14           | \$337 56          | \$335.21                     | \$0 00                             | \$2.35             | \$0.00                              |
|                                                                  | 3.000         | 05/09/14               | 10/14/14           | \$211.64          | \$201.13                     | \$0.00                             | \$10.51            | \$0.00                              |
| <b>Security Subtotal</b>                                         | <b>32.000</b> |                        |                    | <b>\$2,189.47</b> | <b>\$2,358.12</b>            | <b>\$0.00</b>                      | <b>(\$168.65)</b>  | <b>\$0.00</b>                       |
| EXXON MOBIL CORP CUSIP: 30231G102 Symbol: XOM                    |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                  | 7 000         | 05/09/14               | 06/18/14           | \$716 02          | \$713.17                     | \$0 00                             | \$2 85             | \$0 00                              |
|                                                                  | 6 000         | 05/09/14               | 09/11/14           | \$579 82          | \$611 29                     | \$31.47 W                          | (\$31 47)          | \$0.00                              |
|                                                                  | 8.000         | 05/09/14               | 10/14/14           | \$722 22          | \$815 05                     | \$0 00                             | (\$92 83)          | \$0.00                              |
|                                                                  | 7.000         | 05/09/14               | 10/16/14           | \$632 18          | \$713.17                     | \$0.00                             | (\$80.99)          | \$0.00                              |
|                                                                  | 11.000        | 05/09/14               | 11/05/14           | \$1,046 18        | \$1,120.69                   | \$0.00                             | (\$74.51)          | \$0.00                              |
|                                                                  | 8.000         | 05/09/14               | 11/12/14           | \$768 68          | \$815.05                     | \$0 00                             | (\$46.37)          | \$0 00                              |
|                                                                  | 8.000         | 05/09/14               | 11/28/14           | \$723 79          | \$815 05                     | \$0 00                             | (\$91 26)          | \$0 00                              |
|                                                                  | 6 000         | 05/09/14               | 12/01/14           | \$551 51          | \$611.29                     | \$0 00                             | (\$59 78)          | \$0.00                              |
|                                                                  | 8.000         | 05/09/14               | 12/12/14           | \$700 68          | \$815.05                     | \$0.00                             | (\$114.37)         | \$0.00                              |
|                                                                  | 5.000         | 05/09/14               | 12/18/14           | \$444.28          | \$522.77                     | \$0.00                             | (\$78.49)          | \$0.00                              |
| <b>Security Subtotal</b>                                         | <b>74.000</b> |                        |                    | <b>\$6,885.36</b> | <b>\$7,552.58</b>            | <b>\$31.47</b>                     | <b>(\$667.22)</b>  | <b>\$0.00</b>                       |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number.

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)          | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| FACEBOOK INC CL-A             | 8 000    | 07/25/13                     | 04/23/14                 | \$491 50             | \$266 62                           | \$0 00                                | \$224 88              | \$0 00                                    |
| CUSIP: 30303M102 Symbol: FB   |          |                              |                          |                      |                                    |                                       |                       |                                           |
| FIFTH 3RD BANCORP OHIO        | 4 000    | 05/09/14                     | 10/14/14                 | \$77 32              | \$81 76                            | \$0 00                                | (\$4 44)              | \$0 00                                    |
|                               | 10 000   | 05/09/14                     | 10/14/14                 | \$193 29             | \$204 39                           | \$11 10 W                             | (\$11 10)             | \$0 00                                    |
| Security Subtotal             | 14 000   |                              |                          | \$270 61             | \$286 15                           | \$11 10                               | (\$15 54)             | \$0 00                                    |
| CUSIP: 316773100 Symbol: FITB |          |                              |                          |                      |                                    |                                       |                       |                                           |
| FIREEYE INC                   | 14 000   | 05/28/14                     | 08/19/14                 | \$393 33             | \$485 41                           | \$0 00                                | (\$92 08)             | \$0 00                                    |
|                               | 3 000    | 05/30/14                     | 08/19/14                 | \$84 28              | \$97 35                            | \$0 00                                | (\$13 07)             | \$0 00                                    |
|                               | 3 000    | 06/02/14                     | 08/19/14                 | \$84 28              | \$96 92                            | \$0 00                                | (\$12 64)             | \$0 00                                    |
|                               | 6 000    | 06/24/14                     | 08/19/14                 | \$168 57             | \$234 42                           | \$0 00                                | (\$65 85)             | \$0 00                                    |
|                               | 12 000   | 08/15/14                     | 08/19/14                 | \$337 15             | \$371 59                           | \$0 00                                | (\$34 44)             | \$0 00                                    |
| Security Subtotal             | 38 000   |                              |                          | \$1,067 61           | \$1,285 69                         | \$0 00                                | (\$218 08)            | \$0 00                                    |
| CUSIP: 31816Q101 Symbol: FEYE |          |                              |                          |                      |                                    |                                       |                       |                                           |
| FIRSTENERGY CORP              | 29 000   | 05/09/14                     | 06/13/14                 | \$982 90             | \$982 76                           | \$0 00                                | \$0 14                | \$0 00                                    |
| CUSIP: 337932107 Symbol: FE   |          |                              |                          |                      |                                    |                                       |                       |                                           |
| FORD MOTOR CO NEW             | 91 000   | 01/15/14                     | 05/09/14                 | \$1,435 53           | \$1,508 28                         | \$0 00                                | (\$72 75)             | \$0 00                                    |
|                               | 33 000   | 02/03/14                     | 05/09/14                 | \$520 58             | \$480 09                           | \$0 00                                | \$40 49               | \$0 00                                    |
| CUSIP: 345370860 Symbol: F    |          |                              |                          |                      |                                    |                                       |                       |                                           |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)  
 (Continued)

| DESCRIPTION (Box 1a)      | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| FORD MOTOR CO NEW (Cont.) |          | CUSIP: 345370860       | Symbol: F          |                   |                              |                                    |                    |                                     |
|                           | 4.000    | 04/11/14               | 05/09/14           | \$63.10           | \$63.32                      | \$0.00                             | (\$0.22)           | \$0.00                              |
| Security Subtotal         | 128.000  |                        |                    | \$2,019.21        | \$2,051.69                   | \$0.00                             | (\$32.48)          | \$0.00                              |
| GAP INC                   |          | CUSIP: 364760108       | Symbol: GPS        |                   |                              |                                    |                    |                                     |
|                           | 1.000    | 10/09/14               | 10/14/14           | \$36.39           | \$37.13                      | \$0.00                             | (\$0.74)           | \$0.00                              |
| GDF SUEZ-SPON ADR         |          | CUSIP: 36160B105       | Symbol: GDFZY      |                   |                              |                                    |                    |                                     |
|                           | 1.000    | 01/08/14               | 08/15/14           | \$25.79           | \$22.95                      | \$0.00                             | \$2.84             | \$0.00                              |
|                           | 13.000   | 01/08/14               | 10/14/14           | \$296.72          | \$298.40                     | \$0.00                             | (\$1.68)           | \$0.00                              |
| Security Subtotal         | 14.000   |                        |                    | \$322.51          | \$321.35                     | \$0.00                             | \$1.16             | \$0.00                              |
| GENERAL MTRS CO           |          | CUSIP: 37045V100       | Symbol: GM         |                   |                              |                                    |                    |                                     |
|                           | 10.000   | 09/13/13               | 05/09/14           | \$343.14          | \$365.24                     | \$0.00                             | (\$22.10)          | \$0.00                              |
|                           | 1.000    | 11/08/13               | 05/09/14           | \$34.31           | \$36.38                      | \$0.00                             | (\$2.07)           | \$0.00                              |
|                           | 27.000   | 04/11/14               | 05/09/14           | \$926.49          | \$881.84                     | \$0.00                             | \$44.65            | \$0.00                              |
| Security Subtotal         | 38.000   |                        |                    | \$1,303.94        | \$1,283.46                   | \$0.00                             | \$20.48            | \$0.00                              |
| GIVAUDAN SA ADR           |          | CUSIP: 37636P108       | Symbol: GVDNY      |                   |                              |                                    |                    |                                     |
|                           | 10.000   | 11/08/13               | 01/10/14           | \$275.58          | \$281.72                     | \$0.00                             | (\$6.14)           | \$0.00                              |
|                           | 1.000    | 11/08/13               | 10/14/14           | \$30.80           | \$28.17                      | \$0.00                             | \$2.63             | \$0.00                              |
| Security Subtotal         | 11.000   |                        |                    | \$306.38          | \$309.89                     | \$0.00                             | (\$3.51)           | \$0.00                              |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY      | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------|---------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 37940X102 Symbol: GPN   |               |                              |                          |                      |                                    |                                       |                       |                                           |
| GLOBAL PAYMENT INC             | 7.000         | 05/09/14                     | 12/08/14                 | \$581.20             | \$478.80                           | \$0.00                                | \$102.40              | \$0.00                                    |
|                                | 2.000         | 08/15/14                     | 12/08/14                 | \$166.06             | \$142.54                           | \$0.00                                | \$23.52               | \$0.00                                    |
|                                | 2.000         | 10/03/14                     | 12/08/14                 | \$166.05             | \$150.45                           | \$0.00                                | \$15.60               | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>11.000</b> |                              |                          | <b>\$913.31</b>      | <b>\$771.79</b>                    | <b>\$0.00</b>                         | <b>\$141.52</b>       | <b>\$0.00</b>                             |
| CUSIP: 38145N220 Symbol: GJRTX |               |                              |                          |                      |                                    |                                       |                       |                                           |
| GOLDMAN SACHS ABSLT RET TRCK I | 744.516       | 04/11/14                     | 08/15/14                 | \$6,998.56           | \$6,856.98                         | \$0.00                                | \$141.58              | \$0.00                                    |
| CUSIP: 38141G104 Symbol: GS    |               |                              |                          |                      |                                    |                                       |                       |                                           |
| GOLDMAN SACHS GRP INC          | 4.000         | 09/13/13                     | 05/09/14                 | \$625.53             | \$657.95                           | \$0.00                                | (\$32.42)             | \$0.00                                    |
|                                | 2.000         | 04/11/14                     | 05/09/14                 | \$312.77             | \$308.66                           | \$0.00                                | \$4.11                | \$0.00                                    |
| <b>Security Subtotal</b>       | <b>6.000</b>  |                              |                          | <b>\$938.30</b>      | <b>\$966.61</b>                    | <b>\$0.00</b>                         | <b>(\$28.31)</b>      | <b>\$0.00</b>                             |
| CUSIP: 406216101 Symbol: HAL   |               |                              |                          |                      |                                    |                                       |                       |                                           |
| HALLIBURTON CO                 | 5.000         | 07/22/14                     | 10/08/14                 | \$298.72             | \$365.87                           | \$0.00                                | (\$67.15)             | \$0.00                                    |
|                                | 3.000         | 07/24/14                     | 10/08/14                 | \$174.34             | \$221.21                           | \$0.00                                | (\$46.87)             | \$0.00                                    |
|                                | 1.000         | 07/24/14                     | 10/08/14                 | \$59.75              | \$73.74                            | \$0.00                                | (\$13.99)             | \$0.00                                    |
|                                | 5.000         | 08/14/14                     | 10/08/14                 | \$290.56             | \$347.37                           | \$0.00                                | (\$56.81)             | \$0.00                                    |
|                                | 2.000         | 08/15/14                     | 10/09/14                 | \$114.39             | \$135.63                           | \$0.00                                | (\$21.24)             | \$0.00                                    |
|                                | 4.000         | 08/15/14                     | 10/10/14                 | \$221.00             | \$271.27                           | \$0.00                                | (\$50.27)             | \$0.00                                    |
|                                | 7.000         | 08/26/14                     | 10/10/14                 | \$386.74             | \$489.53                           | \$0.00                                | (\$102.79)            | \$0.00                                    |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)  
(Continued)

| DESCRIPTION (Box 1a)                  | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---------------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| <b>CUSIP: 406216101 Symbol: HAL</b>   |               |                        |                    |                   |                              |                                    |                    |                                     |
| HALLIBURTON CO (Cont.)                | 5.000         | 09/03/14               | 10/10/14           | \$276.24          | \$337.91                     | \$0.00                             | (\$61.67)          | \$0.00                              |
|                                       | 3.000         | 09/08/14               | 10/10/14           | \$165.75          | \$200.33                     | \$0.00                             | (\$34.58)          | \$0.00                              |
|                                       | 11.000        | 09/08/14               | 10/10/14           | \$597.45          | \$734.53                     | \$0.00                             | (\$137.08)         | \$0.00                              |
| <b>Security Subtotal</b>              | <b>46.000</b> |                        |                    | <b>\$2,584.94</b> | <b>\$3,177.39</b>            | <b>\$0.00</b>                      | <b>(\$592.45)</b>  | <b>\$0.00</b>                       |
| <b>CUSIP: 416515104 Symbol: HIG</b>   |               |                        |                    |                   |                              |                                    |                    |                                     |
| HARTFORD FIN SERS GRP INC             | 9.000         | 09/13/13               | 05/09/14           | \$314.96          | \$283.87                     | \$0.00                             | \$31.09            | \$0.00                              |
|                                       | 2.000         | 11/08/13               | 05/09/14           | \$69.99           | \$67.97                      | \$0.00                             | \$2.02             | \$0.00                              |
|                                       | 22.000        | 04/11/14               | 05/09/14           | \$769.89          | \$739.14                     | \$0.00                             | \$30.75            | \$0.00                              |
| <b>Security Subtotal</b>              | <b>33.000</b> |                        |                    | <b>\$1,154.84</b> | <b>\$1,090.98</b>            | <b>\$0.00</b>                      | <b>\$63.86</b>     | <b>\$0.00</b>                       |
| <b>CUSIP: 43300A104 Symbol: HLT</b>   |               |                        |                    |                   |                              |                                    |                    |                                     |
| HILTON WORLDWIDE HOLDINGS INC         | 4.000         | 12/12/13               | 04/11/14           | \$84.33           | \$87.27                      | \$0.00                             | (\$2.94)           | \$0.00                              |
|                                       | 18.000        | 12/12/13               | 10/14/14           | \$396.80          | \$392.71                     | \$0.00                             | \$4.09             | \$0.00                              |
| <b>Security Subtotal</b>              | <b>22.000</b> |                        |                    | <b>\$481.13</b>   | <b>\$479.98</b>              | <b>\$0.00</b>                      | <b>\$1.15</b>      | <b>\$0.00</b>                       |
| <b>CUSIP: 433578507 Symbol: HTHIY</b> |               |                        |                    |                   |                              |                                    |                    |                                     |
| HITACHI 10 COM NEW ADR                | 4.000         | 11/08/13               | 10/14/14           | \$282.35          | \$276.62                     | \$0.00                             | \$5.73             | \$0.00                              |
| <b>CUSIP: 437076102 Symbol: HD</b>    |               |                        |                    |                   |                              |                                    |                    |                                     |
| HOME DEPOT INC                        | 3.000         | 05/09/14               | 10/14/14           | \$270.25          | \$231.02                     | \$0.00                             | \$39.23            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 43 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 438516106 Symbol: HON   |               |                        |                    |                   |                              |                                    |                    |                                     |
| HONEYWELL INTERNATIONAL INC    | 2,000         | 05/09/14               | 10/13/14           | \$175.08          | \$185.17                     | \$0.00                             | (\$10.09)          | \$0.00                              |
|                                | 3,000         | 05/09/14               | 10/14/14           | \$259.57          | \$277.75                     | \$0.00                             | (\$18.18)          | \$0.00                              |
|                                | 3,000         | 05/09/14               | 10/14/14           | \$259.57          | \$277.75                     | \$0.00                             | (\$18.18)          | \$0.00                              |
| <b>Security Subtotal</b>       | <b>8,000</b>  |                        |                    | <b>\$694.22</b>   | <b>\$740.67</b>              | <b>\$0.00</b>                      | <b>(\$46.45)</b>   | <b>\$0.00</b>                       |
| CUSIP: 404280406 Symbol: HSBC  |               |                        |                    |                   |                              |                                    |                    |                                     |
| HSBC HOLDINGS PLC SPON ADR NEW | 8,000         | 11/08/13               | 01/08/14           | \$445.92          | \$445.80                     | \$0.00                             | \$0.12             | \$0.00                              |
|                                | 7,000         | 11/08/13               | 10/14/14           | \$352.11          | \$390.08                     | \$0.00                             | (\$37.97)          | \$0.00                              |
| <b>Security Subtotal</b>       | <b>15,000</b> |                        |                    | <b>\$798.03</b>   | <b>\$835.88</b>              | <b>\$0.00</b>                      | <b>(\$37.85)</b>   | <b>\$0.00</b>                       |
| CUSIP: 453038408 Symbol: IMO   |               |                        |                    |                   |                              |                                    |                    |                                     |
| IMPERIAL OIL LTD COM NEW       | 17,000        | 11/08/13               | 01/02/14           | \$744.63          | \$726.31                     | \$0.00                             | \$18.32            | \$0.00                              |
|                                | 11,000        | 11/08/13               | 01/03/14           | \$480.96          | \$469.96                     | \$0.00                             | \$11.00            | \$0.00                              |
|                                | 12,000        | 11/08/13               | 02/25/14           | \$527.04          | \$512.69                     | \$0.00                             | \$14.35            | \$0.00                              |
|                                | 7,000         | 11/08/13               | 03/06/14           | \$324.03          | \$299.07                     | \$0.00                             | \$24.96            | \$0.00                              |
|                                | 15,000        | 11/08/13               | 03/11/14           | \$687.26          | \$640.86                     | \$0.00                             | \$46.40            | \$0.00                              |
|                                | 7,000         | 11/08/13               | 04/21/14           | \$332.28          | \$299.07                     | \$0.00                             | \$33.21            | \$0.00                              |
|                                | 8,000         | 11/08/13               | 04/24/14           | \$385.17          | \$341.79                     | \$0.00                             | \$43.38            | \$0.00                              |
|                                | 12,000        | 11/08/13               | 05/06/14           | \$593.13          | \$512.69                     | \$0.00                             | \$80.44            | \$0.00                              |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)            | QUANTITY       | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---------------------------------|----------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 453038408 Symbol: IMO    |                |                        |                    |                   |                              |                                    |                    |                                     |
| IMPERIAL OIL LTD COM NEW(Cont.) | 6,000          | 11/08/13               | 09/02/14           | \$313.43          | \$256.34                     | \$0.00                             | \$57.09            | \$0.00                              |
|                                 | 5,000          | 11/08/13               | 10/14/14           | \$219.82          | \$213.62                     | \$0.00                             | \$6.20             | \$0.00                              |
| <b>Security Subtotal</b>        | <b>100,000</b> |                        |                    | <b>\$4,607.75</b> | <b>\$4,272.40</b>            | <b>\$0.00</b>                      | <b>\$335.35</b>    | <b>\$0.00</b>                       |
| CUSIP: 449708109 Symbol: IMS    |                |                        |                    |                   |                              |                                    |                    |                                     |
| IMS HEALTH HOLDINGS INC         | 10,000         | 04/07/14               | 04/11/14           | \$236.79          | \$230.10                     | \$0.00                             | \$6.69             | \$0.00                              |
|                                 | 7,000          | 04/07/14               | 10/29/14           | \$169.29          | \$161.07                     | \$0.00                             | \$8.22             | \$0.00                              |
|                                 | 12,000         | 04/08/14               | 10/29/14           | \$290.22          | \$275.40                     | \$0.00                             | \$14.82            | \$0.00                              |
|                                 | 3,000          | 04/08/14               | 10/30/14           | \$72.24           | \$68.85                      | \$0.00                             | \$3.39             | \$0.00                              |
|                                 | 7,000          | 06/27/14               | 10/30/14           | \$168.57          | \$181.50                     | \$0.00                             | (\$12.93)          | \$0.00                              |
|                                 | 6,000          | 06/27/14               | 10/31/14           | \$144.77          | \$155.58                     | \$0.00                             | (\$10.81)          | \$0.00                              |
|                                 | 10,000         | 08/15/14               | 10/31/14           | \$241.27          | \$267.42                     | \$0.00                             | (\$26.15)          | \$0.00                              |
| <b>Security Subtotal</b>        | <b>55,000</b>  |                        |                    | <b>\$1,323.15</b> | <b>\$1,339.92</b>            | <b>\$0.00</b>                      | <b>(\$16.77)</b>   | <b>\$0.00</b>                       |
| CUSIP: 45337C102 Symbol: INCY   |                |                        |                    |                   |                              |                                    |                    |                                     |
| INCYTE CORP                     | 7,000          | 01/14/14               | 04/17/14           | \$317.76          | \$432.84                     | \$0.00                             | (\$115.08)         | \$0.00                              |
|                                 | 1,000          | 01/14/14               | 04/17/14           | \$45.39           | \$61.84                      | \$16.45 W                          | (\$16.45)          | \$0.00                              |
|                                 | 6,000          | 01/17/14               | 04/17/14           | \$272.37          | \$377.00                     | \$0.00                             | (\$104.63)         | \$0.00                              |
|                                 | 1,000          | 01/14/14               | 04/21/14           | \$46.60           | \$63.45                      | \$0.00                             | (\$16.85)          | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                        | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| INCYTE CORP (Cont.) CUSIP: 45337C102 Symbol: INCY           |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 2.000    | 01/17/14               | 04/21/14           | \$93.20           | \$125.67                     | \$0.00                             | (\$32.47)          | \$0.00                              |
|                                                             | 4.000    | 02/27/14               | 04/21/14           | \$186.39          | \$265.48                     | \$0.00                             | (\$79.09)          | \$0.00                              |
| Security Subtotal                                           | 21.000   |                        |                    | \$961.71          | \$1,326.28                   | \$16.45                            | (\$364.57)         | \$0.00                              |
| INGERSOLL-RAND PLC SHS CUSIP: G47791101 Symbol: IR          |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 5.000    | 09/13/13               | 05/09/14           | \$290.83          | \$252.78                     | \$0.00                             | \$38.05            | \$0.00                              |
|                                                             | 9.000    | 04/08/14               | 05/09/14           | \$523.49          | \$495.52                     | \$0.00                             | \$27.97            | \$0.00                              |
|                                                             | 2.000    | 04/11/14               | 05/09/14           | \$116.33          | \$109.89                     | \$0.00                             | \$6.44             | \$0.00                              |
| Security Subtotal                                           | 16.000   |                        |                    | \$930.65          | \$858.19                     | \$0.00                             | \$72.46            | \$0.00                              |
| INTERNATIONAL CONS AIRLS GRP CUSIP: 459348108 Symbol: ICAGY |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 24.000   | 11/08/13               | 08/13/14           | \$664.42          | \$710.45                     | \$46.03W                           | (\$46.03)          | \$0.00                              |
|                                                             | 6.000    | 11/08/13               | 08/13/14           | \$166.10          | \$177.61                     | \$11.51W                           | (\$11.51)          | \$0.00                              |
|                                                             | 15.000   | 11/08/13               | 10/14/14           | \$402.85          | \$444.03                     | \$0.00                             | (\$41.18)          | \$0.00                              |
|                                                             | 25.000   | 11/08/13               | 11/07/14           | \$802.13          | \$740.05                     | \$0.00                             | \$62.08            | \$0.00                              |
| Security Subtotal                                           | 70.000   |                        |                    | \$2,035.50        | \$2,072.14                   | \$57.54                            | (\$36.64)          | \$0.00                              |
| INTERNATIONAL PAPER CO CUSIP: 460146103 Symbol: IP          |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                             | 1.000    | 05/09/14               | 10/14/14           | \$45.40           | \$46.47                      | \$0.00                             | (\$1.07)           | \$0.00                              |
|                                                             | 11.000   | 05/09/14               | 12/18/14           | \$593.73          | \$511.18                     | \$0.00                             | \$82.55            | \$0.00                              |
| Security Subtotal                                           | 12.000   |                        |                    | \$639.13          | \$557.65                     | \$0.00                             | \$81.48            | \$0.00                              |

CONTINUED ON NEXT PAGE

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.)  
 (Continued)

| DESCRIPTION (Box 1a)         | QUANTITY       | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------|----------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| INTERPUBLIC GROUP OF COS INC |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |                | CUSIP: 460690100             | Symbol: IPG              |                      |                                    |                                       |                       |                                           |
|                              | 67 000         | 01/09/14                     | 05/09/14                 | \$1,185.26           | \$1,166.66                         | \$0.00                                | \$18.60               | \$0.00                                    |
|                              | 39 000         | 03/06/14                     | 05/09/14                 | \$689.92             | \$685.78                           | \$0.00                                | \$4.14                | \$0.00                                    |
|                              | 15 000         | 04/11/14                     | 05/09/14                 | \$265.36             | \$244.14                           | \$0.00                                | \$21.22               | \$0.00                                    |
|                              | 9 000          | 04/22/14                     | 05/09/14                 | \$159.21             | \$156.50                           | \$0.00                                | \$2.71                | \$0.00                                    |
|                              | 28 000         | 04/24/14                     | 05/09/14                 | \$495.33             | \$484.25                           | \$0.00                                | \$11.08               | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>158 000</b> |                              |                          | <b>\$2,795.08</b>    | <b>\$2,737.33</b>                  | <b>\$0.00</b>                         | <b>\$57.75</b>        | <b>\$0.00</b>                             |
| JGC CORP UNSPONSORED ADR     |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |                | CUSIP: 466140100             | Symbol: JGCCY            |                      |                                    |                                       |                       |                                           |
|                              | 2 000          | 11/18/13                     | 01/15/14                 | \$160.30             | \$152.34                           | \$0.00                                | \$7.96                | \$0.00                                    |
|                              | 2 000          | 11/18/13                     | 10/14/14                 | \$97.21              | \$152.34                           | \$55.13 W                             | (\$55.13)             | \$0.00                                    |
| <b>Security Subtotal</b>     | <b>4 000</b>   |                              |                          | <b>\$257.51</b>      | <b>\$304.68</b>                    | <b>\$55.13</b>                        | <b>(\$47.17)</b>      | <b>\$0.00</b>                             |
| JOHNSON & JOHNSON            |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |                | CUSIP: 478160104             | Symbol: JNJ              |                      |                                    |                                       |                       |                                           |
|                              | 5 000          | 05/09/14                     | 10/14/14                 | \$489.40             | \$503.95                           | \$0.00                                | (\$14.55)             | \$0.00                                    |
| JPMORGAN CHASE & CO          |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |                | CUSIP: 46625H100             | Symbol: JPM              |                      |                                    |                                       |                       |                                           |
|                              | 7 000          | 06/20/13                     | 04/21/14                 | \$385.87             | \$369.54                           | \$0.00                                | \$16.33               | \$0.00                                    |
| KANSAS CY SOUTHN IND NEW     |                |                              |                          |                      |                                    |                                       |                       |                                           |
|                              |                | CUSIP: 485170302             | Symbol: KSU              |                      |                                    |                                       |                       |                                           |
|                              | 3 000          | 10/08/13                     | 01/24/14                 | \$302.84             | \$333.47                           | \$0.00                                | (\$30.63)             | \$0.00                                    |
|                              | 1 000          | 10/22/13                     | 01/24/14                 | \$100.95             | \$120.38                           | \$0.00                                | (\$19.43)             | \$0.00                                    |
|                              | 1 000          | 10/23/13                     | 01/24/14                 | \$100.95             | \$121.92                           | \$0.00                                | (\$20.97)             | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)            | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| KANSAS CY SOUTHN IND NEW(Cont.) |          | CUSIP: 485170302             | Symbol: KSU              |                      |                                    |                                       |                       |                                           |
|                                 | 1.000    | 11/08/13                     | 01/24/14                 | \$100.94             | \$122.46                           | \$0.00                                | (\$21.52)             | \$0.00                                    |
| Security Subtotal               | 6.000    |                              |                          | \$605.68             | \$698.23                           | \$0.00                                | (\$92.55)             | \$0.00                                    |
| KBC GROUP NV UNSPONS ADR        |          | CUSIP: 48241F104             | Symbol: KBKCSY           |                      |                                    |                                       |                       |                                           |
|                                 | 11.000   | 11/08/13                     | 10/14/14                 | \$282.33             | \$291.91                           | \$0.00                                | (\$9.58)              | \$0.00                                    |
| KDDI CORP UNSPON ADR            |          | CUSIP: 48667L106             | Symbol: KDDIY            |                      |                                    |                                       |                       |                                           |
|                                 | 9.000    | 11/08/13                     | 04/11/14                 | \$112.93             | \$125.45                           | \$0.00                                | (\$12.52)             | \$0.00                                    |
|                                 | 40.000   | 11/08/13                     | 10/14/14                 | \$583.38             | \$557.56                           | \$0.00                                | \$25.82               | \$0.00                                    |
|                                 | 26.000   | 11/08/13                     | 10/29/14                 | \$410.70             | \$362.41                           | \$0.00                                | \$48.29               | \$0.00                                    |
| Security Subtotal               | 75.000   |                              |                          | \$1,107.01           | \$1,045.42                         | \$0.00                                | \$61.59               | \$0.00                                    |
| KINGFISHER PLC SPONS ADR NEW    |          | CUSIP: 495724403             | Symbol: KGFHY            |                      |                                    |                                       |                       |                                           |
|                                 | 15.000   | 08/28/14                     | 10/14/14                 | \$138.82             | \$152.38                           | \$13.56W                              | (\$13.56)             | \$0.00                                    |
| KNOWLES CORPORATION             |          | CUSIP: 49926D109             | Symbol: KN               |                      |                                    |                                       |                       |                                           |
|                                 | 5.000    | 05/09/14                     | 06/10/14                 | \$151.72             | \$145.50                           | \$0.00                                | \$6.22                | \$0.00                                    |
| KOMATSU LTD SPON ADR NEW        |          | CUSIP: 500458401             | Symbol: KMTUY            |                      |                                    |                                       |                       |                                           |
|                                 | 3.000    | 02/24/14                     | 10/14/14                 | \$65.54              | \$62.71                            | \$0.00                                | \$2.83                | \$0.00                                    |
| KOREA ELECTRIC POWER CORP ADS   |          | CUSIP: 500631106             | Symbol: KEP              |                      |                                    |                                       |                       |                                           |
|                                 | 3.000    | 11/22/13                     | 04/11/14                 | \$56.99              | \$46.64                            | \$0.00                                | \$10.35               | \$0.00                                    |
|                                 | 16.000   | 11/22/13                     | 08/06/14                 | \$338.33             | \$248.75                           | \$0.00                                | \$89.58               | \$0.00                                    |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                              | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| KOREA ELECTRIC POWER CORP ADS(Cont.) CUSIP: 500631106 Symbol: KEP |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 11 000   | 11/22/13               | 08/07/14           | \$232 23          | \$171.02                     | \$0 00                             | \$61.21            | \$0.00                              |
|                                                                   | 132 000  | 11/22/13               | 08/27/14           | \$2,703 10        | \$2,052.19                   | \$0 00                             | \$650.91           | \$0.00                              |
|                                                                   | 20 000   | 01/09/14               | 08/27/14           | \$409.56          | \$339.53                     | \$0 00                             | \$70.03            | \$0.00                              |
| Security Subtotal                                                 | 182 000  |                        |                    | \$3,740.21        | \$2,858.13                   | \$0 00                             | \$882.08           | \$0.00                              |
| LABORATORY CP AMER HLDGS NEW CUSIP: 50540R409 Symbol: LH          |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 10 000   | 05/09/14               | 11/11/14           | \$1,005.64        | \$995.15                     | \$0 00                             | \$10.49            | \$0.00                              |
|                                                                   | 1 000    | 08/15/14               | 11/11/14           | \$100.56          | \$105.19                     | \$0 00                             | (\$4.63)           | \$0.00                              |
| Security Subtotal                                                 | 11 000   |                        |                    | \$1,106.20        | \$1,100.34                   | \$0 00                             | \$5.86             | \$0.00                              |
| LEAR CORP CUSIP: 521865204 Symbol: LEA                            |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 2 000    | 05/09/14               | 06/13/14           | \$177 61          | \$165.71                     | \$0 00                             | \$11.90            | \$0.00                              |
| LIBERTY BROADBAND CORP S-A CUSIP: 530307107 Symbol: LBRDA         |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 0 500    | 05/09/14               | 11/04/14           | \$22 70           | \$25.92                      | \$0 00                             | (\$3.22)           | \$0 00                              |
| LIBERTY GLOBAL PLC CL A NEW CUSIP: G5480U104 Symbol: LBTYA        |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 6 000    | 07/18/13               | 01/31/14           | \$480 37          | \$489.34                     | \$0 00                             | (\$8.97)           | \$0 00                              |
|                                                                   | 2 000    | 07/18/13               | 02/10/14           | \$164 03          | \$163.11                     | \$0 00                             | \$0.92             | \$0 00                              |
|                                                                   | 3 000    | 07/31/13               | 02/10/14           | \$246.05          | \$244.96                     | \$0 00                             | \$1.09             | \$0 00                              |
|                                                                   | 1 000    | 07/31/13               | 02/11/14           | \$83 44           | \$81 65                      | \$0 00                             | \$1 79             | \$0 00                              |
|                                                                   | 4 000    | 08/01/13               | 02/18/14           | \$342 51          | \$326 64                     | \$0 00                             | \$15.87            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 49 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)  
(Continued)

| DESCRIPTION (Box 1a)                                              | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------------------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| LIBERTY GLOBAL PLC CL A NEW(Cont.) CUSIP: G5480U104 Symbol: LBTYA |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 3.000         | 08/05/13               | 02/26/14           | \$254.40          | \$250.25                     | \$0.00                             | \$4.15             | \$0.00                              |
|                                                                   | 2.000         | 11/07/13               | 02/26/14           | \$169.60          | \$160.16                     | \$0.00                             | \$9.44             | \$0.00                              |
|                                                                   | 1.000         | 11/08/13               | 02/26/14           | \$84.80           | \$79.62                      | \$0.00                             | \$5.18             | \$0.00                              |
| <b>Security Subtotal</b>                                          | <b>22.000</b> |                        |                    | <b>\$1,825.20</b> | <b>\$1,795.73</b>            | <b>\$0.00</b>                      | <b>\$29.47</b>     | <b>\$0.00</b>                       |
| LIBERTY GLOBAL PLC CL C NEW CUSIP: G5480U120 Symbol: LBTYK        |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 5.000         | 01/31/14               | 04/11/14           | \$188.76          | \$197.22                     | \$8.46W                            | (\$8.46)           | \$0.00                              |
|                                                                   | 7.000         | 01/31/14               | 10/14/14           | \$284.56          | \$276.11                     | \$0.00                             | \$8.45             | \$0.00                              |
|                                                                   | 3.000         | 02/05/14               | 10/14/14           | \$121.96          | \$118.85                     | \$0.00                             | \$3.11             | \$0.00                              |
| <b>Security Subtotal</b>                                          | <b>15.000</b> |                        |                    | <b>\$595.28</b>   | <b>\$592.18</b>              | <b>\$8.46</b>                      | <b>\$3.10</b>      | <b>\$0.00</b>                       |
| LINDE AG SPONSORED ADR CUSIP: 535223200 Symbol: LNEG Y            |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 21.000        | 11/08/13               | 03/18/14           | \$406.66          | \$398.51                     | \$0.00                             | \$8.15             | \$0.00                              |
|                                                                   | 2.000         | 11/08/13               | 04/11/14           | \$39.07           | \$37.95                      | \$0.00                             | \$1.12             | \$0.00                              |
|                                                                   | 29.000        | 11/08/13               | 10/14/14           | \$552.21          | \$550.32                     | \$0.00                             | \$1.89             | \$0.00                              |
| <b>Security Subtotal</b>                                          | <b>52.000</b> |                        |                    | <b>\$997.94</b>   | <b>\$986.78</b>              | <b>\$0.00</b>                      | <b>\$11.16</b>     | <b>\$0.00</b>                       |
| LINKEDIN CORP-A CUSIP: 53578A108 Symbol: LNKD                     |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                   | 4.000         | 09/05/13               | 04/28/14           | \$575.39          | \$975.69                     | \$0.00                             | (\$400.30)         | \$0.00                              |
|                                                                   | 1.000         | 12/16/13               | 04/28/14           | \$143.85          | \$226.71                     | \$0.00                             | (\$82.86)          | \$0.00                              |
|                                                                   | 2.000         | 01/06/14               | 04/28/14           | \$287.69          | \$409.24                     | \$0.00                             | (\$121.55)         | \$0.00                              |
| <b>Security Subtotal</b>                                          | <b>7.000</b>  |                        |                    | <b>\$1,006.93</b> | <b>\$1,611.64</b>            | <b>\$0.00</b>                      | <b>(\$604.71)</b>  | <b>\$0.00</b>                       |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS



**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)  
 (Continued)

| DESCRIPTION (Box 1a)     | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| LLOYDS BANKING GROUP PLC |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                          |          | CUSIP: 539439109 Symbol: LYG |                          |                      |                                    |                                       |                       |                                           |
|                          | 116 000  | 11/08/13                     | 01/09/14                 | \$660.75             | \$566.81                           | \$0.00                                | \$93.94               | \$0.00                                    |
|                          | 5.000    | 11/08/13                     | 04/11/14                 | \$24.47              | \$24.43                            | \$0.00                                | \$0.04                | \$0.00                                    |
|                          | 82.000   | 11/08/13                     | 10/14/14                 | \$390.72             | \$400.68                           | \$0.00                                | (\$9.96)              | \$0.00                                    |
| Security Subtotal        | 203.000  |                              |                          | \$1,075.94           | \$991.92                           | \$0.00                                | \$84.02               | \$0.00                                    |
| LOCKHEED MARTIN CORP     |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                          |          | CUSIP: 539830109 Symbol: LMT |                          |                      |                                    |                                       |                       |                                           |
|                          | 3.000    | 05/09/14                     | 10/14/14                 | \$529.78             | \$493.10                           | \$0.00                                | \$36.68               | \$0.00                                    |
| LOEWS CORPORATION        |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                          |          | CUSIP: 540424108 Symbol: L   |                          |                      |                                    |                                       |                       |                                           |
|                          | 4.000    | 09/13/13                     | 05/09/14                 | \$172.88             | \$184.84                           | \$0.00                                | (\$11.96)             | \$0.00                                    |
|                          | 12.000   | 04/11/14                     | 05/09/14                 | \$518.63             | \$523.86                           | \$0.00                                | (\$5.23)              | \$0.00                                    |
| Security Subtotal        | 16.000   |                              |                          | \$691.51             | \$708.70                           | \$0.00                                | (\$17.19)             | \$0.00                                    |
| LOWES COMPANIES INC      |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                          |          | CUSIP: 548661107 Symbol: LOW |                          |                      |                                    |                                       |                       |                                           |
|                          | 2.000    | 10/16/13                     | 04/11/14                 | \$93.17              | \$96.63                            | \$0.00                                | (\$3.46)              | \$0.00                                    |
|                          | 5.000    | 10/16/13                     | 06/16/14                 | \$227.21             | \$241.59                           | \$0.00                                | (\$14.38)             | \$0.00                                    |
|                          | 4.000    | 10/17/13                     | 06/16/14                 | \$181.77             | \$194.52                           | \$0.00                                | (\$12.75)             | \$0.00                                    |
|                          | 5.000    | 10/18/13                     | 06/16/14                 | \$227.20             | \$238.76                           | \$0.00                                | (\$11.56)             | \$0.00                                    |
|                          | 2.000    | 10/18/13                     | 06/18/14                 | \$91.40              | \$95.50                            | \$0.00                                | (\$4.10)              | \$0.00                                    |
|                          | 5.000    | 10/21/13                     | 06/18/14                 | \$228.49             | \$239.51                           | \$0.00                                | (\$11.02)             | \$0.00                                    |
|                          | 2.000    | 10/21/13                     | 06/19/14                 | \$91.56              | \$95.80                            | \$0.00                                | (\$4.24)              | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.) (Continued)

| DESCRIPTION (Box 1a)         | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 548661107 Symbol: LOW |               |                        |                    |                   |                              |                                    |                    |                                     |
| LOWES COMPANIES INC (Cont.)  | 7.000         | 10/28/13               | 06/19/14           | \$320.45          | \$350.07                     | \$0.00                             | (\$29.62)          | \$0.00                              |
|                              | 1.000         | 11/08/13               | 06/19/14           | \$45.78           | \$48.80                      | \$0.00                             | (\$3.02)           | \$0.00                              |
|                              | 5.000         | 11/27/13               | 06/19/14           | \$228.88          | \$238.38                     | \$0.00                             | (\$9.50)           | \$0.00                              |
| <b>Security Subtotal</b>     | <b>38.000</b> |                        |                    | <b>\$1,735.91</b> | <b>\$1,839.56</b>            | <b>\$0.00</b>                      | <b>(\$103.65)</b>  | <b>\$0.00</b>                       |
| CUSIP: 55616P104 Symbol: M   |               |                        |                    |                   |                              |                                    |                    |                                     |
| MACY'S INC                   | 1.000         | 05/09/14               | 10/14/14           | \$56.74           | \$55.98                      | \$0.00                             | \$0.76             | \$0.00                              |
| CUSIP: 58155Q103 Symbol: MCK |               |                        |                    |                   |                              |                                    |                    |                                     |
| MCKESSON CORP                | 5.000         | 12/11/13               | 01/16/14           | \$843.37          | \$794.94                     | \$0.00                             | \$48.43            | \$0.00                              |
|                              | 1.000         | 12/19/13               | 01/16/14           | \$168.68          | \$159.63                     | \$0.00                             | \$9.05             | \$0.00                              |
|                              | 1.000         | 01/27/14               | 04/11/14           | \$165.98          | \$174.93                     | \$8.95 W                           | (\$8.95)           | \$0.00                              |
|                              | 1.000         | 01/27/14               | 08/12/14           | \$189.96          | \$174.93                     | \$0.00                             | \$15.03            | \$0.00                              |
|                              | 1.000         | 01/27/14               | 08/22/14           | \$192.34          | \$174.93                     | \$0.00                             | \$17.41            | \$0.00                              |
|                              | 1.000         | 01/27/14               | 10/14/14           | \$188.73          | \$174.93                     | \$0.00                             | \$13.80            | \$0.00                              |
| <b>Security Subtotal</b>     | <b>10.000</b> |                        |                    | <b>\$1,749.06</b> | <b>\$1,654.29</b>            | <b>\$8.95</b>                      | <b>\$94.77</b>     | <b>\$0.00</b>                       |
| CUSIP: 585055106 Symbol:     |               |                        |                    |                   |                              |                                    |                    |                                     |
| MEDTRONIC INC                | 3.000         | 05/09/14               | 10/14/14           | \$191.16          | \$179.30                     | \$0.00                             | \$11.86            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number.

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHAR  
 PO BOX 1410  
 BUFFALO NY 14240-1410

26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)                 | QUANTITY      | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------------|---------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| <b>CUSIP: 59156R108 Symbol: MET</b>  |               |                              |                          |                      |                                    |                                       |                       |                                           |
| METLIFE INCORPORATED                 | 4.000         | 11/08/13                     | 05/09/14                 | \$204.59             | \$202.33                           | \$0.00                                | \$2.26                | \$0.00                                    |
|                                      | 9.000         | 04/11/14                     | 05/09/14                 | \$460.34             | \$447.17                           | \$0.00                                | \$13.17               | \$0.00                                    |
| <b>Security Subtotal</b>             | <b>13.000</b> |                              |                          | <b>\$664.93</b>      | <b>\$649.50</b>                    | <b>\$0.00</b>                         | <b>\$15.43</b>        | <b>\$0.00</b>                             |
| <b>CUSIP: 552953101 Symbol: MGM</b>  |               |                              |                          |                      |                                    |                                       |                       |                                           |
| MGM RESORTS INTERNATIONAL            | 14.000        | 05/27/14                     | 09/03/14                 | \$334.65             | \$355.30                           | \$20.65W                              | (\$20.65)             | \$0.00                                    |
|                                      | 7.000         | 06/05/14                     | 09/17/14                 | \$158.58             | \$176.92                           | \$0.00                                | (\$18.34)             | \$0.00                                    |
|                                      | 7.000         | 06/24/14                     | 09/17/14                 | \$158.57             | \$180.85                           | \$0.00                                | (\$22.28)             | \$0.00                                    |
|                                      | 2.000         | 06/24/14                     | 10/14/14                 | \$41.10              | \$51.67                            | \$10.57W                              | (\$10.57)             | \$0.00                                    |
|                                      | 5.000         | 05/27/14                     | 12/16/14                 | \$96.36              | \$133.81                           | \$0.00                                | (\$37.45)             | \$0.00                                    |
|                                      | 4.000         | 06/24/14                     | 12/16/14                 | \$77.09              | \$103.34                           | \$0.00                                | (\$26.25)             | \$0.00                                    |
|                                      | 6.000         | 06/26/14                     | 12/16/14                 | \$115.64             | \$160.81                           | \$0.00                                | (\$45.17)             | \$0.00                                    |
|                                      | 9.000         | 07/29/14                     | 12/16/14                 | \$173.46             | \$246.95                           | \$0.00                                | (\$73.49)             | \$0.00                                    |
| <b>Security Subtotal</b>             | <b>54.000</b> |                              |                          | <b>\$1,155.45</b>    | <b>\$1,409.65</b>                  | <b>\$31.22</b>                        | <b>(\$254.20)</b>     | <b>\$0.00</b>                             |
| <b>CUSIP: G60754101 Symbol: KORS</b> |               |                              |                          |                      |                                    |                                       |                       |                                           |
| MICHAEL KORS HOLDINGS LTD            | 5.000         | 01/24/14                     | 05/30/14                 | \$474.56             | \$401.43                           | \$0.00                                | \$73.13               | \$0.00                                    |
|                                      | 1.000         | 01/24/14                     | 10/14/14                 | \$72.30              | \$80.29                            | \$0.00                                | (\$7.99)              | \$0.00                                    |
|                                      | 1.000         | 01/28/14                     | 10/31/14                 | \$78.65              | \$81.59                            | \$0.00                                | (\$2.94)              | \$0.00                                    |
|                                      | 4.000         | 01/28/14                     | 10/31/14                 | \$314.58             | \$329.08                           | \$0.00                                | (\$14.50)             | \$0.00                                    |
|                                      | 1.000         | 01/28/14                     | 11/04/14                 | \$71.82              | \$81.59                            | \$0.00                                | (\$9.77)              | \$0.00                                    |

CONTINUED ON NEXT PAGE

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Page 53 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

Customer Service: 866-324-6088

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                            | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-----------------------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| MICHAEL KORS HOLDINGS LTD (Cont.) CUSIP: G60754101 Symbol: KORS |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                 | 3.000    | 03/12/14               | 11/04/14           | \$215.46          | \$292.81                     | \$0.00                             | (\$77.35)          | \$0.00                              |
|                                                                 | 4.000    | 04/28/14               | 11/10/14           | \$279.62          | \$346.65                     | \$0.00                             | (\$67.03)          | \$0.00                              |
|                                                                 | 3.000    | 07/18/14               | 11/10/14           | \$209.72          | \$242.35                     | \$0.00                             | (\$32.63)          | \$0.00                              |
|                                                                 | 1.000    | 07/18/14               | 11/11/14           | \$68.87           | \$80.78                      | \$0.00                             | (\$11.91)          | \$0.00                              |
|                                                                 | 8.000    | 08/15/14               | 11/11/14           | \$550.96          | \$628.85                     | \$0.00                             | (\$77.89)          | \$0.00                              |
| Security Subtotal                                               | 31.000   |                        |                    | \$2,336.54        | \$2,565.42                   | \$0.00                             | (\$228.88)         | \$0.00                              |
| MICROSOFT CORP CUSIP: 594918104 Symbol: MSFT                    |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                 | 11.000   | 09/13/13               | 05/09/14           | \$434.99          | \$360.58                     | \$0.00                             | \$74.41            | \$0.00                              |
|                                                                 | 1.000    | 11/08/13               | 05/09/14           | \$39.54           | \$37.51                      | \$0.00                             | \$2.03             | \$0.00                              |
|                                                                 | 5.000    | 04/11/14               | 05/09/14           | \$197.73          | \$197.64                     | \$0.00                             | \$0.09             | \$0.00                              |
|                                                                 | 2.000    | 07/17/14               | 08/01/14           | \$85.79           | \$89.58                      | \$3.79 W                           | (\$3.79)           | \$0.00                              |
| Security Subtotal                                               | 19.000   |                        |                    | \$758.05          | \$685.31                     | \$3.79                             | \$72.74            | \$0.00                              |
| MOBILEYE N.V. CUSIP: N51488117 Symbol: MBLV                     |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                 | 2.000    | 08/06/14               | 09/25/14           | \$97.96           | \$73.16                      | \$0.00                             | \$24.80            | \$0.00                              |
|                                                                 | 7.000    | 08/06/14               | 09/25/14           | \$345.65          | \$256.04                     | \$0.00                             | \$89.61            | \$0.00                              |
|                                                                 | 6.000    | 08/15/14               | 09/25/14           | \$293.86          | \$203.44                     | \$0.00                             | \$90.42            | \$0.00                              |
| Security Subtotal                                               | 15.000   |                        |                    | \$737.47          | \$532.64                     | \$0.00                             | \$204.83           | \$0.00                              |
| MORGAN STANLEY CUSIP: 617446448 Symbol: MS                      |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                 | 3.000    | 05/31/13               | 01/10/14           | \$93.95           | \$78.26                      | \$0.00                             | \$15.69            | \$0.00                              |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

CONTINUED ON NEXT PAGE  
Page 54 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)     | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| MORGAN STANLEY (Cont.)   |               | CUSIP: 617446448       | Symbol: MS         |                   |                              |                                    |                    |                                     |
|                          | 7.000         | 06/03/13               | 01/10/14           | \$219.21          | \$180.32                     | \$0.00                             | \$38.89            | \$0.00                              |
|                          | 2.000         | 06/07/13               | 04/11/14           | \$57.35           | \$53.62                      | \$0.00                             | \$3.73             | \$0.00                              |
| <b>Security Subtotal</b> | <b>12.000</b> |                        |                    | <b>\$370.51</b>   | <b>\$312.20</b>              | <b>\$0.00</b>                      | <b>\$58.31</b>     | <b>\$0.00</b>                       |
| NETFLIX INC              |               | CUSIP: 64110L106       | Symbol: NFLX       |                   |                              |                                    |                    |                                     |
|                          | 1.000         | 09/25/14               | 10/14/14           | \$449.53          | \$444.07                     | \$0.00                             | \$5.46             | \$0.00                              |
|                          | 3.000         | 09/25/14               | 11/19/14           | \$1,122.46        | \$1,332.21                   | \$0.00                             | (\$209.75)         | \$0.00                              |
|                          | 2.000         | 10/10/14               | 11/19/14           | \$748.31          | \$921.41                     | \$0.00                             | (\$173.10)         | \$0.00                              |
| <b>Security Subtotal</b> | <b>6.000</b>  |                        |                    | <b>\$2,320.30</b> | <b>\$2,697.69</b>            | <b>\$0.00</b>                      | <b>(\$377.39)</b>  | <b>\$0.00</b>                       |
| NEWS CORPORATION CL A    |               | CUSIP: 65249B109       | Symbol: NWSA       |                   |                              |                                    |                    |                                     |
|                          | 29.000        | 05/09/14               | 09/30/14           | \$478.49          | \$511.83                     | \$0.00                             | (\$33.34)          | \$0.00                              |
|                          | 10.000        | 08/15/14               | 09/30/14           | \$165.00          | \$173.60                     | \$0.00                             | (\$8.60)           | \$0.00                              |
| <b>Security Subtotal</b> | <b>39.000</b> |                        |                    | <b>\$643.49</b>   | <b>\$685.43</b>              | <b>\$0.00</b>                      | <b>(\$41.94)</b>   | <b>\$0.00</b>                       |
| NIELSEN HOLDINGS NV      |               | CUSIP: N63218106       | Symbol: NLSN       |                   |                              |                                    |                    |                                     |
|                          | 2.000         | 03/05/14               | 04/11/14           | \$85.75           | \$93.24                      | \$7.49W                            | (\$7.49)           | \$0.00                              |
|                          | 3.000         | 03/05/14               | 10/14/14           | \$126.62          | \$139.86                     | \$0.00                             | (\$13.24)          | \$0.00                              |
| <b>Security Subtotal</b> | <b>5.000</b>  |                        |                    | <b>\$212.37</b>   | <b>\$233.10</b>              | <b>\$7.49</b>                      | <b>(\$20.73)</b>   | <b>\$0.00</b>                       |
| NIKE INC B               |               | CUSIP: 654106103       | Symbol: NKE        |                   |                              |                                    |                    |                                     |
|                          | 4.000         | 08/09/13               | 04/11/14           | \$289.29          | \$265.27                     | \$0.00                             | \$24.02            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 55 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| NIKON CORP ADR                 | 22.000   | 11/27/13               | 10/14/14           | \$296.11          | \$417.65                     | \$0.00                             | (\$121.54)         | \$0.00                              |
| CUSIP: 654111202 Symbol: NINYO |          |                        |                    |                   |                              |                                    |                    |                                     |
| NOBLE ENERGY INC               | 3.000    | 05/08/13               | 04/11/14           | \$208.35          | \$175.28                     | \$0.00                             | \$33.07            | \$0.00                              |
|                                | 2.000    | 11/08/13               | 07/30/14           | \$133.84          | \$146.31                     | \$0.00                             | (\$12.47)          | \$0.00                              |
|                                | 5.000    | 12/10/13               | 07/30/14           | \$334.61          | \$347.16                     | \$0.00                             | (\$12.55)          | \$0.00                              |
| Security Subtotal              | 10.000   |                        |                    | \$676.80          | \$668.75                     | \$0.00                             | \$8.05             | \$0.00                              |
| CUSIP: 655044105 Symbol: NBL   |          |                        |                    |                   |                              |                                    |                    |                                     |
| NORFOLK SOUTHERN CORP          | 3.000    | 05/09/14               | 06/24/14           | \$306.73          | \$285.97                     | \$0.00                             | \$20.76            | \$0.00                              |
|                                | 4.000    | 05/09/14               | 10/13/14           | \$424.00          | \$381.29                     | \$0.00                             | \$42.71            | \$0.00                              |
|                                | 2.000    | 05/09/14               | 10/14/14           | \$209.85          | \$190.64                     | \$0.00                             | \$19.21            | \$0.00                              |
|                                | 6.000    | 05/09/14               | 10/30/14           | \$657.13          | \$571.93                     | \$0.00                             | \$85.20            | \$0.00                              |
|                                | 2.000    | 08/15/14               | 10/30/14           | \$219.04          | \$208.70                     | \$0.00                             | \$10.34            | \$0.00                              |
| Security Subtotal              | 17.000   |                        |                    | \$1,816.75        | \$1,638.53                   | \$0.00                             | \$178.22           | \$0.00                              |
| CUSIP: 655844108 Symbol: NSC   |          |                        |                    |                   |                              |                                    |                    |                                     |
| NORWEGIAN CRUISE LINE HLDS INC | 20.000   | 12/03/13               | 05/09/14           | \$626.46          | \$677.96                     | \$0.00                             | (\$51.50)          | \$0.00                              |
|                                | 35.000   | 12/04/13               | 05/09/14           | \$1,096.31        | \$1,166.49                   | \$0.00                             | (\$70.18)          | \$0.00                              |
|                                | 10.000   | 12/04/13               | 05/09/14           | \$313.23          | \$333.41                     | \$0.00                             | (\$20.18)          | \$0.00                              |
| CUSIP: G66721104 Symbol: NCLH  |          |                        |                    |                   |                              |                                    |                    |                                     |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR!  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                                | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|---------------------------------------------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| NORWEGIAN CRUISE LINE HLDS INC(Cont ) CUSIP: G66721104 Symbol: NCLH |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                     | 16,000        | 03/12/14               | 05/09/14           | \$501.17          | \$522.57                     | \$0.00                             | (\$21.40)          | \$0.00                              |
|                                                                     | 15,000        | 04/11/14               | 05/09/14           | \$469.84          | \$459.50                     | \$0.00                             | \$10.34            | \$0.00                              |
| <b>Security Subtotal</b>                                            | <b>96,000</b> |                        |                    | <b>\$3,007.01</b> | <b>\$3,159.93</b>            | <b>\$0.00</b>                      | <b>(\$152.92)</b>  | <b>\$0.00</b>                       |
| NOVARTIS AG ADR CUSIP: 66987V109 Symbol: NVS                        |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                     | 12,000        | 11/08/13               | 05/21/14           | \$1,071.03        | \$922.77                     | \$0.00                             | \$148.26           | \$0.00                              |
|                                                                     | 6,000         | 11/08/13               | 10/14/14           | \$522.84          | \$461.39                     | \$0.00                             | \$61.45            | \$0.00                              |
| <b>Security Subtotal</b>                                            | <b>18,000</b> |                        |                    | <b>\$1,593.87</b> | <b>\$1,384.16</b>            | <b>\$0.00</b>                      | <b>\$209.71</b>    | <b>\$0.00</b>                       |
| NRG ENERGY INC CUSIP: 629377508 Symbol: NRG                         |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                     | 2,000         | 09/13/13               | 05/09/14           | \$68.30           | \$53.41                      | \$0.00                             | \$14.89            | \$0.00                              |
| NXP SEMICONDUCTORS NV CUSIP: N6596X109 Symbol: NXPI                 |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                                                     | 3,000         | 01/28/13               | 01/03/14           | \$130.47          | \$91.35                      | \$0.00                             | \$39.12            | \$0.00                              |
|                                                                     | 1,000         | 02/04/13               | 01/03/14           | \$43.49           | \$30.21                      | \$0.00                             | \$13.28            | \$0.00                              |
|                                                                     | 8,000         | 02/04/13               | 01/10/14           | \$344.41          | \$241.67                     | \$0.00                             | \$102.74           | \$0.00                              |
|                                                                     | 14,000        | 02/04/13               | 01/14/14           | \$615.06          | \$422.92                     | \$0.00                             | \$192.14           | \$0.00                              |
|                                                                     | 2,000         | 11/08/13               | 01/14/14           | \$87.86           | \$81.67                      | \$0.00                             | \$6.19             | \$0.00                              |
| <b>Security Subtotal</b>                                            | <b>28,000</b> |                        |                    | <b>\$1,221.29</b> | <b>\$867.82</b>              | <b>\$0.00</b>                      | <b>\$353.47</b>    | <b>\$0.00</b>                       |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHAR  
 PO BOX 1410  
 BUFFALO NY 14240-1410

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities (Continued)** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )

| DESCRIPTION (Box 1a)                                      | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-----------------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| OCCIDENTAL PETROLEUM CORP DE CUSIP: 674599105 Symbol: OXY |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                           | 5.000    | 01/31/14               | 05/09/14           | \$477.88          | \$438.45                     | \$0.00                             | \$39.43            | \$0.00                              |
|                                                           | 1.000    | 04/11/14               | 05/09/14           | \$95.58           | \$94.43                      | \$0.00                             | \$1.15             | \$0.00                              |
| Security Subtotal                                         | 6.000    |                        |                    | \$573.46          | \$532.88                     | \$0.00                             | \$40.58            | \$0.00                              |
| OMNICARE INC CUSIP: 681904108 Symbol: OCR                 |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                           | 1.000    | 05/09/14               | 10/14/14           | \$61.43           | \$60.26                      | \$0.00                             | \$1.17             | \$0.00                              |
| ORACLE CORP CUSIP: 68389X105 Symbol: ORCL                 |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                           | 5.000    | 08/14/13               | 05/09/14           | \$203.58          | \$167.92                     | \$0.00                             | \$35.66            | \$0.00                              |
|                                                           | 3.000    | 08/14/13               | 05/09/14           | \$122.14          | \$100.75                     | \$0.00                             | \$21.39            | \$0.00                              |
|                                                           | 27.000   | 10/24/13               | 05/09/14           | \$1,099.32        | \$895.05                     | \$0.00                             | \$204.27           | \$0.00                              |
|                                                           | 1.000    | 03/28/14               | 05/09/14           | \$40.72           | \$39.52                      | \$0.00                             | \$1.20             | \$0.00                              |
|                                                           | 5.000    | 04/11/14               | 05/09/14           | \$203.58          | \$198.73                     | \$0.00                             | \$4.85             | \$0.00                              |
|                                                           | 8.000    | 04/29/14               | 05/09/14           | \$325.72          | \$322.85                     | \$0.00                             | \$2.87             | \$0.00                              |
|                                                           | 1.000    | 08/14/13               | 05/23/14           | \$42.00           | \$33.58                      | \$0.00                             | \$8.42             | \$0.00                              |
|                                                           | 5.000    | 08/14/13               | 05/23/14           | \$210.03          | \$167.92                     | \$0.00                             | \$42.11            | \$0.00                              |
|                                                           | 3.000    | 08/14/13               | 05/23/14           | \$126.02          | \$100.76                     | \$0.00                             | \$25.26            | \$0.00                              |
|                                                           | 2.000    | 08/15/13               | 05/23/14           | \$84.01           | \$65.50                      | \$0.00                             | \$18.51            | \$0.00                              |
|                                                           | 2.000    | 08/15/13               | 05/27/14           | \$83.58           | \$65.50                      | \$0.00                             | \$18.08            | \$0.00                              |
|                                                           | 1.000    | 08/15/13               | 06/30/14           | \$40.78           | \$32.75                      | \$0.00                             | \$8.03             | \$0.00                              |

CONTINUED ON NEXT PAGE



# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR1  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)     | QUANTITY         | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------|------------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| ORACLE CORP (Cont )      |                  |                        |                    |                   |                              |                                    |                    |                                     |
|                          | CUSIP: 68389X105 | Symbol: ORCL           |                    |                   |                              |                                    |                    |                                     |
|                          | 5.000            | 09/13/13               | 06/30/14           | \$203.87          | \$164.30                     | \$0.00                             | \$39.57            | \$0.00                              |
|                          | 1.000            | 09/13/13               | 07/11/14           | \$40.15           | \$32.86                      | \$0.00                             | \$7.29             | \$0.00                              |
|                          | 11.000           | 10/24/13               | 07/11/14           | \$441.67          | \$364.65                     | \$0.00                             | \$77.02            | \$0.00                              |
|                          | 4.000            | 10/24/13               | 07/16/14           | \$161.07          | \$132.60                     | \$0.00                             | \$28.47            | \$0.00                              |
|                          | 7.000            | 10/24/13               | 07/17/14           | \$280.41          | \$232.05                     | \$0.00                             | \$48.36            | \$0.00                              |
|                          | 7.000            | 03/27/14               | 07/17/14           | \$280.41          | \$275.23                     | \$0.00                             | \$5.18             | \$0.00                              |
|                          | 5.000            | 03/27/14               | 07/17/14           | \$200.30          | \$196.60                     | \$0.00                             | \$3.70             | \$0.00                              |
|                          | 3.000            | 03/27/14               | 07/17/14           | \$120.18          | \$117.96                     | \$0.00                             | \$2.22             | \$0.00                              |
|                          | 4.000            | 03/28/14               | 10/14/14           | \$154.25          | \$158.07                     | \$3.82 W                           | (\$3.82)           | \$0.00                              |
|                          | 2.000            | 03/28/14               | 10/14/14           | \$77.12           | \$79.04                      | \$1.92 W                           | (\$1.92)           | \$0.00                              |
| <b>Security Subtotal</b> | <b>112.000</b>   |                        |                    | <b>\$4,540.91</b> | <b>\$3,944.19</b>            | <b>\$5.74</b>                      | <b>\$596.72</b>    | <b>\$0.00</b>                       |
| PACCAR INC               |                  |                        |                    |                   |                              |                                    |                    |                                     |
|                          | CUSIP: 693718108 | Symbol: PCAR           |                    |                   |                              |                                    |                    |                                     |
|                          | 2.000            | 09/13/13               | 05/09/14           | \$125.15          | \$112.23                     | \$0.00                             | \$12.92            | \$0.00                              |
| PARKER HANNIFIN CORP     |                  |                        |                    |                   |                              |                                    |                    |                                     |
|                          | CUSIP: 701094104 | Symbol: PH             |                    |                   |                              |                                    |                    |                                     |
|                          | 8.000            | 05/09/14               | 08/06/14           | \$864.15          | \$987.88                     | \$0.00                             | (\$123.73)         | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 59 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY       | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|----------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| Symbol: POFY                   |                |                        |                    |                   |                              |                                    |                    |                                     |
| PETROFAC LTD LONDON UNSPON ADR | 5 000          | 10/01/14               | 10/14/14           | \$38.63           | \$40.68                      | \$0.00                             | (\$2.05)           | \$0.00                              |
|                                | 137.000        | 10/01/14               | 12/19/14           | \$754.93          | \$1,114.70                   | \$0.00                             | (\$359.77)         | \$0.00                              |
| <b>Security Subtotal</b>       | <b>142.000</b> |                        |                    | <b>\$793.56</b>   | <b>\$1,155.38</b>            | <b>\$0.00</b>                      | <b>(\$361.82)</b>  | <b>\$0.00</b>                       |
| Symbol: PUGOY                  |                |                        |                    |                   |                              |                                    |                    |                                     |
| PEUGEOT SA ADR                 | 61.000         | 11/08/13               | 07/21/14           | \$889.90          | \$811.69                     | \$0.00                             | \$78.21            | \$0.00                              |
|                                | 32.000         | 11/08/13               | 07/22/14           | \$470.19          | \$425.81                     | \$0.00                             | \$44.38            | \$0.00                              |
|                                | 7 000          | 01/03/14               | 07/22/14           | \$102.85          | \$94.39                      | \$0.00                             | \$8.46             | \$0.00                              |
|                                | 2.000          | 04/11/14               | 07/22/14           | \$29.39           | \$37.78                      | \$0.00                             | (\$8.39)           | \$0.00                              |
| <b>Security Subtotal</b>       | <b>102.000</b> |                        |                    | <b>\$1,492.33</b> | <b>\$1,369.67</b>            | <b>\$0.00</b>                      | <b>\$122.66</b>    | <b>\$0.00</b>                       |
| Symbol: PM                     |                |                        |                    |                   |                              |                                    |                    |                                     |
| PHILIP MORRIS INTL INC         | 2.000          | 09/13/13               | 05/09/14           | \$172.31          | \$173.60                     | \$0.00                             | (\$1.29)           | \$0.00                              |
|                                | 2.000          | 04/11/14               | 05/09/14           | \$172.32          | \$166.81                     | \$0.00                             | \$5.51             | \$0.00                              |
| <b>Security Subtotal</b>       | <b>4.000</b>   |                        |                    | <b>\$344.63</b>   | <b>\$340.41</b>              | <b>\$0.00</b>                      | <b>\$4.22</b>      | <b>\$0.00</b>                       |
| Symbol: PSX                    |                |                        |                    |                   |                              |                                    |                    |                                     |
| PHILLIPS 66 COM                | 3 000          | 12/11/13               | 10/01/14           | \$239.26          | \$211.65                     | \$0.00                             | \$27.61            | \$0.00                              |
|                                | 1.000          | 12/11/13               | 10/14/14           | \$71.97           | \$70.55                      | \$0.00                             | \$1.42             | \$0.00                              |
| <b>Security Subtotal</b>       | <b>4.000</b>   |                        |                    | <b>\$311.23</b>   | <b>\$282.20</b>              | <b>\$0.00</b>                      | <b>\$29.03</b>     | <b>\$0.00</b>                       |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY  | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|-----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 72201M669 Symbol: PLDPX |           |                        |                    |                   |                              |                                    |                    |                                     |
| PIMCO LOW DURATION P           | 594 502   | 04/11/14               | 10/09/14           | \$6,135.26        | \$6,164.99                   | \$0.00                             | (\$29.73)          | \$0.00                              |
|                                | 2,288.802 | 08/15/14               | 10/09/14           | \$23,620.44       | \$23,711.99                  | \$0.00                             | (\$91.55)          | \$0.00                              |
| Security Subtotal              | 2,883.304 |                        |                    | \$29,755.70       | \$29,876.98                  | \$0.00                             | (\$121.28)         | \$0.00                              |
| CUSIP: 723787107 Symbol: PXD   |           |                        |                    |                   |                              |                                    |                    |                                     |
| PIONEER NATURAL RESOURCES CO   | 3 000     | 02/07/14               | 08/19/14           | \$610.90          | \$549.41                     | \$0.00                             | \$61.49            | \$0.00                              |
|                                | 3,000     | 02/11/14               | 08/19/14           | \$610.90          | \$548.76                     | \$0.00                             | \$62.14            | \$0.00                              |
|                                | 1 000     | 04/14/14               | 08/19/14           | \$203.63          | \$187.68                     | \$0.00                             | \$15.95            | \$0.00                              |
|                                | 2,000     | 08/15/14               | 08/19/14           | \$407.27          | \$402.82                     | \$0.00                             | \$4.45             | \$0.00                              |
| Security Subtotal              | 9,000     |                        |                    | \$1,832.70        | \$1,688.67                   | \$0.00                             | \$144.03           | \$0.00                              |
| CUSIP: 73753A103 Symbol: PNLYY |           |                        |                    |                   |                              |                                    |                    |                                     |
| POSTNL N.V. SPON ADR           | 16,000    | 11/08/13               | 04/11/14           | \$71.51           | \$94.80                      | \$0.00                             | (\$23.29)          | \$0.00                              |
|                                | 33,000    | 11/08/13               | 10/14/14           | \$131.99          | \$195.53                     | \$0.00                             | (\$63.54)          | \$0.00                              |
| Security Subtotal              | 49,000    |                        |                    | \$203.50          | \$290.33                     | \$0.00                             | (\$86.83)          | \$0.00                              |
| CUSIP: 747525103 Symbol: QCOM  |           |                        |                    |                   |                              |                                    |                    |                                     |
| QUALCOMM INC                   | 1 000     | 10/29/13               | 06/06/14           | \$80.35           | \$69.12                      | \$0.00                             | \$11.23            | \$0.00                              |
|                                | 2,000     | 10/29/13               | 06/13/14           | \$157.96          | \$138.24                     | \$0.00                             | \$19.72            | \$0.00                              |
|                                | 2,000     | 10/29/13               | 06/17/14           | \$158.39          | \$138.24                     | \$0.00                             | \$20.15            | \$0.00                              |
|                                | 4,000     | 11/07/13               | 06/17/14           | \$316.78          | \$268.17                     | \$0.00                             | \$48.61            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)       | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|----------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| QUALCOMM INC (Cont.)       |               | CUSIP: 747525103       | Symbol: QCOM       |                   |                              |                                    |                    |                                     |
|                            | 5.000         | 11/08/13               | 06/17/14           | \$393.06          | \$336.37                     | \$0.00                             | \$56.69            | \$0.00                              |
|                            | 1.000         | 10/29/13               | 10/14/14           | \$72.35           | \$69.12                      | \$0.00                             | \$3.23             | \$0.00                              |
| <b>Security Subtotal</b>   | <b>15.000</b> |                        |                    | <b>\$1,178.89</b> | <b>\$1,019.26</b>            | <b>\$0.00</b>                      | <b>\$159.63</b>    | <b>\$0.00</b>                       |
| QUEST DIAGNOSTICS INC      |               | CUSIP: 74834L100       | Symbol: DGX        |                   |                              |                                    |                    |                                     |
|                            | 6.000         | 05/09/14               | 06/10/14           | \$367.37          | \$343.56                     | \$0.00                             | \$23.81            | \$0.00                              |
| RALPH LAUREN CORP CL A     |               | CUSIP: 751212101       | Symbol: RL         |                   |                              |                                    |                    |                                     |
|                            | 1.000         | 07/12/13               | 02/06/14           | \$153.44          | \$183.32                     | \$0.00                             | (\$29.88)          | \$0.00                              |
|                            | 1.000         | 11/08/13               | 02/06/14           | \$153.43          | \$172.99                     | \$0.00                             | (\$19.56)          | \$0.00                              |
| <b>Security Subtotal</b>   | <b>2.000</b>  |                        |                    | <b>\$306.87</b>   | <b>\$356.31</b>              | <b>\$0.00</b>                      | <b>(\$49.44)</b>   | <b>\$0.00</b>                       |
| RANGE RESOURCES CORP       |               | CUSIP: 75281A109       | Symbol: RRC        |                   |                              |                                    |                    |                                     |
|                            | 2.000         | 06/20/13               | 04/11/14           | \$175.84          | \$155.72                     | \$0.00                             | \$20.12            | \$0.00                              |
|                            | 2.000         | 06/20/13               | 04/24/14           | \$187.31          | \$155.72                     | \$0.00                             | \$31.59            | \$0.00                              |
|                            | 1.000         | 06/21/13               | 04/24/14           | \$93.66           | \$77.29                      | \$0.00                             | \$16.37            | \$0.00                              |
| <b>Security Subtotal</b>   | <b>5.000</b>  |                        |                    | <b>\$456.81</b>   | <b>\$388.73</b>              | <b>\$0.00</b>                      | <b>\$68.08</b>     | <b>\$0.00</b>                       |
| REED ELSEVIER NV-SPONS ADR |               | CUSIP: 758204200       | Symbol: ENL        |                   |                              |                                    |                    |                                     |
|                            | 6.000         | 11/08/13               | 08/13/14           | \$268.21          | \$247.06                     | \$0.00                             | \$21.15            | \$0.00                              |
|                            | 4.000         | 11/08/13               | 08/15/14           | \$180.99          | \$164.71                     | \$0.00                             | \$16.28            | \$0.00                              |
|                            | 10.000        | 11/08/13               | 09/30/14           | \$452.85          | \$411.77                     | \$0.00                             | \$41.08            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHAR  
 PO BOX 1410  
 BUFFALO NY 14240-1410

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)               | QUANTITY                       | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|------------------------------------|--------------------------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| REED ELSEVIER NV-SPONS ADR (Cont.) | CUSIP: 758204200 Symbol: ENL   |                        |                    |                   |                              |                                    |                    |                                     |
|                                    | 15.000                         | 11/08/13               | 10/14/14           | \$643.67          | \$617.66                     | \$0.00                             | \$26.01            | \$0.00                              |
| Security Subtotal                  | 35.000                         |                        |                    | \$1,545.72        | \$1,441.20                   | \$0.00                             | \$104.52           | \$0.00                              |
| REXAM PLC                          | CUSIP: 761655604 Symbol: REXMY |                        |                    |                   |                              |                                    |                    |                                     |
|                                    | 0.444                          | 11/08/13               | 06/02/14           | \$20.08           | \$21.09                      | \$0.00                             | (\$1.01)           | \$0.00                              |
|                                    | 2.000                          | 11/08/13               | 10/14/14           | \$73.52           | \$95.00                      | \$0.00                             | (\$21.48)          | \$0.00                              |
| Security Subtotal                  | 2.444                          |                        |                    | \$93.60           | \$116.09                     | \$0.00                             | (\$22.49)          | \$0.00                              |
| REXAM PLC SPONSADR COMNOPA         | CUSIP: 761655505 Symbol:       |                        |                    |                   |                              |                                    |                    |                                     |
|                                    | 8.000                          | 11/08/13               | 01/08/14           | \$334.32          | \$337.77                     | \$0.00                             | (\$3.45)           | \$0.00                              |
| RIO TINTO PLC SPON ADR             | CUSIP: 767204100 Symbol: RIO   |                        |                    |                   |                              |                                    |                    |                                     |
|                                    | 6.000                          | 11/08/13               | 10/14/14           | \$306.23          | \$318.34                     | \$12 11 W                          | (\$12.11)          | \$0.00                              |
| ROCHE HOLDINGS ADR                 | CUSIP: 771195104 Symbol: RHBY  |                        |                    |                   |                              |                                    |                    |                                     |
|                                    | 10.000                         | 11/08/13               | 10/14/14           | \$344.99          | \$340.95                     | \$0.00                             | \$4.04             | \$0.00                              |
| ROCK TENN CO CL A                  | CUSIP: 772739207 Symbol: RKT   |                        |                    |                   |                              |                                    |                    |                                     |
|                                    | 3.000                          | 05/09/14               | 07/07/14           | \$304.38          | \$290.98                     | \$0.00                             | \$13.40            | \$0.00                              |
|                                    | 7.000                          | 05/09/14               | 08/13/14           | \$658.64          | \$678.96                     | \$0.00                             | (\$20.32)          | \$0.00                              |
| Security Subtotal                  | 10.000                         |                        |                    | \$963.02          | \$969.94                     | \$0.00                             | (\$6.92)           | \$0.00                              |
| ROLLS ROYCE HOLDINGS PLC           | CUSIP: 775781206 Symbol: RYCEY |                        |                    |                   |                              |                                    |                    |                                     |
|                                    | 1.000                          | 11/08/13               | 01/24/14           | \$97.14           | \$97.68                      | \$0.00                             | (\$0.54)           | \$0.00                              |

CONTINUED ON NEXT PAGE

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

Page 63 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number: 26-4310632

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)             | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|----------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| ROLLS ROYCE HOLDINGS PLC (Cont ) |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                  |               | CUSIP: 775781206       | Symbol: RYCEY      |                   |                              |                                    |                    |                                     |
|                                  | 10.000        | 11/08/13               | 02/13/14           | \$872.05          | \$976.80                     | \$0.00                             | (\$104.75)         | \$0.00                              |
|                                  | 16.000        | 11/08/13               | 02/24/14           | \$1,322.68        | \$1,562.88                   | \$0.00                             | (\$240.20)         | \$0.00                              |
| <b>Security Subtotal</b>         | <b>27.000</b> |                        |                    | <b>\$2,291.87</b> | <b>\$2,637.36</b>            | <b>\$0.00</b>                      | <b>(\$345.49)</b>  | <b>\$0.00</b>                       |
| ROSS STORES INC                  |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                  |               | CUSIP: 778296103       | Symbol: ROST       |                   |                              |                                    |                    |                                     |
|                                  | 1.000         | 11/08/13               | 02/25/14           | \$69.28           | \$77.30                      | \$0.00                             | (\$8.02)           | \$0.00                              |
| ROYAL DUTCH SHELL PLC            |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                  |               | CUSIP: 780259206       | Symbol: RDSA       |                   |                              |                                    |                    |                                     |
|                                  | 9.000         | 11/08/13               | 01/13/14           | \$644.29          | \$591.25                     | \$0.00                             | \$53.04            | \$0.00                              |
|                                  | 9.000         | 11/08/13               | 01/24/14           | \$643.17          | \$591.25                     | \$0.00                             | \$51.92            | \$0.00                              |
|                                  | 1.000         | 11/08/13               | 04/11/14           | \$73.23           | \$65.69                      | \$0.00                             | \$7.54             | \$0.00                              |
|                                  | 12.000        | 11/08/13               | 05/01/14           | \$948.52          | \$788.33                     | \$0.00                             | \$160.19           | \$0.00                              |
|                                  | 9.000         | 11/08/13               | 06/16/14           | \$726.72          | \$591.25                     | \$0.00                             | \$135.47           | \$0.00                              |
|                                  | 10.000        | 11/08/13               | 06/25/14           | \$815.66          | \$656.94                     | \$0.00                             | \$158.72           | \$0.00                              |
|                                  | 8.000         | 11/08/13               | 08/29/14           | \$646.81          | \$525.55                     | \$0.00                             | \$121.26           | \$0.00                              |
| <b>Security Subtotal</b>         | <b>58.000</b> |                        |                    | <b>\$4,498.40</b> | <b>\$3,810.26</b>            | <b>\$0.00</b>                      | <b>\$688.14</b>    | <b>\$0.00</b>                       |
| RYANAIR HLDGS PLC ADR            |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                  |               | CUSIP: 783513104       | Symbol: RYAA       |                   |                              |                                    |                    |                                     |
|                                  | 2.000         | 11/08/13               | 04/11/14           | \$114.63          | \$92.70                      | \$0.00                             | \$21.93            | \$0.00                              |
| SANOFI ADR                       |               |                        |                    |                   |                              |                                    |                    |                                     |
|                                  |               | CUSIP: 80105N105       | Symbol: SNY        |                   |                              |                                    |                    |                                     |
|                                  | 10.000        | 04/15/13               | 04/09/14           | \$526.35          | \$537.79                     | \$0.00                             | (\$11.44)          | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

MORGAN STANLEY SMITH BARNEY HOLDINGS LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XX5054  
Account Number: 571 010604 127

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGRI RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked.) (Continued)

| DESCRIPTION (Box 1a)         | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 80105N105 Symbol: SNY |          |                        |                    |                   |                              |                                    |                    |                                     |
| SANOFI ADR (Cont.)           | 4.000    | 04/15/13               | 04/09/14           | \$210.54          | \$215.11                     | \$4.57 W                           | (\$4.57)           | \$0.00                              |
|                              | 2.000    | 04/15/13               | 04/09/14           | \$105.27          | \$107.56                     | \$0.00                             | (\$2.29)           | \$0.00                              |
|                              | 1.000    | 04/16/13               | 04/09/14           | \$52.64           | \$54.38                      | \$0.00                             | (\$1.74)           | \$0.00                              |
|                              | 3.000    | 04/16/13               | 04/09/14           | \$157.91          | \$163.14                     | \$0.00                             | (\$5.23)           | \$0.00                              |
|                              | 1.000    | 05/28/13               | 04/09/14           | \$52.64           | \$55.90                      | \$0.00                             | (\$3.26)           | \$0.00                              |
|                              | 1.000    | 05/28/13               | 04/09/14           | \$52.62           | \$55.90                      | \$0.00                             | (\$3.28)           | \$0.00                              |
|                              | 1.000    | 11/08/13               | 05/09/14           | \$52.12           | \$52.17                      | \$0.00                             | (\$0.05)           | \$0.00                              |
|                              | 2.000    | 11/08/13               | 05/09/14           | \$104.24          | \$104.35                     | \$0.00                             | (\$0.11)           | \$0.00                              |
|                              | 3.000    | 12/02/13               | 05/09/14           | \$156.35          | \$155.75                     | \$0.00                             | \$0.60             | \$0.00                              |
|                              | 4.000    | 09/13/13               | 06/18/14           | \$214.46          | \$191.95                     | \$0.00                             | \$22.51            | \$0.00                              |
|                              | 5.000    | 09/13/13               | 06/25/14           | \$267.20          | \$239.94                     | \$0.00                             | \$27.26            | \$0.00                              |
|                              | 7.000    | 11/08/13               | 10/14/14           | \$366.95          | \$365.22                     | \$0.00                             | \$1.73             | \$0.00                              |
|                              | 1.000    | 11/08/13               | 10/14/14           | \$52.41           | \$52.17                      | \$0.00                             | \$0.24             | \$0.00                              |
| Security Subtotal            | 45.000   |                        |                    | \$2,371.70        | \$2,351.33                   | \$4.57                             | \$20.37            | \$0.00                              |
| CUSIP: 803054204 Symbol: SAP |          |                        |                    |                   |                              |                                    |                    |                                     |
| SAP AG                       | 10.000   | 11/08/13               | 08/06/14           | \$768.81          | \$787.66                     | \$0.00                             | (\$18.85)          | \$0.00                              |
|                              | 4.000    | 11/08/13               | 10/14/14           | \$274.65          | \$315.06                     | \$0.00                             | (\$40.41)          | \$0.00                              |
| Security Subtotal            | 14.000   |                        |                    | \$1,043.46        | \$1,102.72                   | \$0.00                             | (\$59.26)          | \$0.00                              |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number.

26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

M ERVOLINA, J ARENA, P TUFILLARO

R ARENA, J ESS CO-TTEES UTA 6/17/07

MSGR RICHARD S AMICO MEM CHAR

PO BOX 1410

BUFFALO NY 14240-1410

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| CUSIP: 806857108 Symbol: SLB   |          |                              |                          |                      |                                    |                                       |                       |                                           |
| SCHLUMBERGER LTD               | 1,000    | 08/14/13                     | 03/17/14                 | \$89.70              | \$82.23                            | \$0.00                                | \$7.47                | \$0.00                                    |
|                                | 2,000    | 08/14/13                     | 04/11/14                 | \$194.03             | \$164.46                           | \$0.00                                | \$29.57               | \$0.00                                    |
|                                | 1,000    | 08/14/13                     | 05/15/14                 | \$99.54              | \$82.23                            | \$0.00                                | \$17.31               | \$0.00                                    |
|                                | 1,000    | 08/16/13                     | 05/15/14                 | \$99.54              | \$81.96                            | \$0.00                                | \$17.58               | \$0.00                                    |
|                                | 1,000    | 10/03/13                     | 10/01/14                 | \$98.80              | \$89.22                            | \$0.00                                | \$9.58                | \$0.00                                    |
|                                | 1,000    | 11/08/13                     | 10/14/14                 | \$89.90              | \$93.22                            | \$0.00                                | (\$3.32)              | \$0.00                                    |
|                                | 2,000    | 11/08/13                     | 10/27/14                 | \$186.52             | \$186.45                           | \$0.00                                | \$0.07                | \$0.00                                    |
|                                | 2,000    | 12/27/13                     | 10/27/14                 | \$186.52             | \$179.66                           | \$0.00                                | \$6.86                | \$0.00                                    |
|                                | 1,000    | 05/09/14                     | 10/27/14                 | \$93.26              | \$100.19                           | \$0.00                                | (\$6.93)              | \$0.00                                    |
|                                | 1,000    | 05/09/14                     | 10/27/14                 | \$93.26              | \$100.19                           | \$0.00                                | (\$6.93)              | \$0.00                                    |
|                                | 3,000    | 05/09/14                     | 10/27/14                 | \$278.06             | \$300.58                           | \$0.00                                | (\$22.52)             | \$0.00                                    |
|                                | 3,000    | 08/15/14                     | 10/27/14                 | \$278.06             | \$319.82                           | \$0.00                                | (\$41.76)             | \$0.00                                    |
|                                | 5,000    | 05/09/14                     | 10/28/14                 | \$469.05             | \$500.97                           | \$0.00                                | (\$31.92)             | \$0.00                                    |
|                                | 2,000    | 05/09/14                     | 11/13/14                 | \$188.79             | \$200.39                           | \$0.00                                | (\$11.60)             | \$0.00                                    |
|                                | 3,000    | 08/15/14                     | 11/13/14                 | \$283.18             | \$319.82                           | \$0.00                                | (\$36.64)             | \$0.00                                    |
|                                | 7,000    | 08/15/14                     | 11/13/14                 | \$660.75             | \$746.25                           | \$0.00                                | (\$85.50)             | \$0.00                                    |
| Security Subtotal              | 36,000   |                              |                          | \$3,388.96           | \$3,547.64                         | \$0.00                                | (\$158.68)            | \$0.00                                    |
| CUSIP: 80687P106 Symbol: SBGSY |          |                              |                          |                      |                                    |                                       |                       |                                           |
| SCHNEIDER ELEC SA UNSP ADR     | 42,000   | 06/02/14                     | 10/14/14                 | \$601.63             | \$794.10                           | \$192.47W                             | (\$192.47)            | \$0.00                                    |

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

CONTINUED ON NEXT PAGE  
Page 66 of 118



# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| SEAGATE TECHNOLOGY PLC         | 4.000    | 05/09/14               | 06/20/14           | \$224.31          | \$194.83                     | \$0.00                             | \$29.48            | \$0.00                              |
| CUSIP: G7945M107 Symbol: STX   |          |                        |                    |                   |                              |                                    |                    |                                     |
| SERVICENOW INC                 | 6.000    | 08/26/13               | 04/08/14           | \$306.33          | \$281.89                     | \$0.00                             | \$24.44            | \$0.00                              |
|                                | 5.000    | 08/30/13               | 04/08/14           | \$255.27          | \$234.21                     | \$0.00                             | \$21.06            | \$0.00                              |
|                                | 2.000    | 09/03/13               | 04/08/14           | \$102.11          | \$93.99                      | \$0.00                             | \$8.12             | \$0.00                              |
|                                | 1.000    | 05/29/14               | 10/14/14           | \$55.74           | \$54.23                      | \$0.00                             | \$1.51             | \$0.00                              |
| Security Subtotal              | 14.000   |                        |                    | \$719.45          | \$664.32                     | \$0.00                             | \$55.13            | \$0.00                              |
| SHIN ETSU CHEM CO LTD ADR      | 9.000    | 11/08/13               | 04/11/14           | \$128.33          | \$127.50                     | \$0.00                             | \$0.83             | \$0.00                              |
|                                | 76.000   | 11/08/13               | 10/02/14           | \$1,207.53        | \$1,076.70                   | \$0.00                             | \$130.83           | \$0.00                              |
|                                | 22.000   | 11/08/13               | 10/14/14           | \$335.55          | \$311.68                     | \$0.00                             | \$23.87            | \$0.00                              |
| Security Subtotal              | 107.000  |                        |                    | \$1,671.41        | \$1,515.88                   | \$0.00                             | \$155.53           | \$0.00                              |
| CUSIP: 824551105 Symbol: SHECY |          |                        |                    |                   |                              |                                    |                    |                                     |
| SIEMENS AKTIENGESELLSCHAFT     | 10.000   | 11/08/13               | 05/19/14           | \$1,312.61        | \$1,277.95                   | \$0.00                             | \$34.66            | \$0.00                              |
|                                | 5.000    | 11/08/13               | 06/03/14           | \$660.54          | \$638.97                     | \$0.00                             | \$21.57            | \$0.00                              |
|                                | 3.000    | 11/08/13               | 07/30/14           | \$372.28          | \$383.38                     | \$0.00                             | (\$11.10)          | \$0.00                              |
|                                | 3.000    | 11/08/13               | 07/31/14           | \$374.77          | \$383.38                     | \$0.00                             | (\$8.61)           | \$0.00                              |
|                                | 2.000    | 11/08/13               | 09/24/14           | \$240.56          | \$255.59                     | \$0.00                             | (\$15.03)          | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

MERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

New York, NY 10004  
 Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)                | QUANTITY         | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|-------------------------------------|------------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| SIEMENS AKTIENGESSELLSCHAFT (Cont.) |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                     | CUSIP: 826197501 | Symbol: SIEGY                |                          |                      |                                    |                                       |                       |                                           |
|                                     | 6 000            | 11/08/13                     | 10/07/14                 | \$672.90             | \$766.77                           | \$0.00                                | (\$93.87)             | \$0.00                                    |
|                                     | 2 000            | 11/08/13                     | 10/14/14                 | \$214.07             | \$255.59                           | \$0.00                                | (\$41.52)             | \$0.00                                    |
|                                     | 3 000            | 11/08/13                     | 10/21/14                 | \$327.95             | \$383.38                           | \$0.00                                | (\$55.43)             | \$0.00                                    |
|                                     | 19,000           | 11/08/13                     | 11/04/14                 | \$2,115.22           | \$2,428.10                         | \$0.00                                | (\$312.88)            | \$0.00                                    |
| Security Subtotal                   | 53,000           |                              |                          | \$6,290.90           | \$6,773.11                         | \$0.00                                | (\$482.21)            | \$0.00                                    |
| SIX FLAGS ENTMT CORP NEW            |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                     | CUSIP: 83001A102 | Symbol: SIX                  |                          |                      |                                    |                                       |                       |                                           |
|                                     | 1 000            | 05/09/14                     | 10/14/14                 | \$35.11              | \$40.82                            | \$0.00                                | (\$5.71)              | \$0.00                                    |
| SK TELECOM CO LTD                   |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                     | CUSIP: 78440P108 | Symbol: SKM                  |                          |                      |                                    |                                       |                       |                                           |
|                                     | 18,000           | 11/08/13                     | 10/14/14                 | \$500.47             | \$417.24                           | \$0.00                                | \$83.23               | \$0.00                                    |
| SPLUNK INC                          |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                     | CUSIP: 848637104 | Symbol: SPLK                 |                          |                      |                                    |                                       |                       |                                           |
|                                     | 10,000           | 01/23/14                     | 04/01/14                 | \$742.06             | \$812.63                           | \$0.00                                | (\$70.57)             | \$0.00                                    |
| STATE STREET CORP                   |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                     | CUSIP: 857477103 | Symbol: STT                  |                          |                      |                                    |                                       |                       |                                           |
|                                     | 15,000           | 05/09/14                     | 08/12/14                 | \$1,049.06           | \$972.40                           | \$0.00                                | \$76.66               | \$0.00                                    |
| SUMITOMO MITSUI FINL GROUP INC      |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                     | CUSIP: 86562M209 | Symbol: SMFG                 |                          |                      |                                    |                                       |                       |                                           |
|                                     | 5,000            | 11/08/13                     | 04/11/14                 | \$39.99              | \$47.89                            | \$7.90 W                              | (\$7.90)              | \$0.00                                    |
|                                     | 34,000           | 11/08/13                     | 10/14/14                 | \$254.99             | \$325.63                           | \$0.00                                | (\$70.64)             | \$0.00                                    |
| Security Subtotal                   | 39,000           |                              |                          | \$294.98             | \$373.52                           | \$7.90                                | (\$78.54)             | \$0.00                                    |

CONTINUED ON NEXT PAGE

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                   | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| TALISMAN ENERGY INC CUSIP: 87425E103 Symbol: TLM       |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 73.000   | 09/13/13               | 05/09/14           | \$759.32          | \$802.80                     | \$0.00                             | (\$43.48)          | \$0.00                              |
|                                                        | 16.000   | 03/26/14               | 05/09/14           | \$166.43          | \$159.52                     | \$0.00                             | \$6.91             | \$0.00                              |
|                                                        | 53.000   | 04/11/14               | 05/09/14           | \$551.29          | \$548.50                     | \$0.00                             | \$2.79             | \$0.00                              |
| Security Subtotal                                      | 142.000  |                        |                    | \$1,477.04        | \$1,510.82                   | \$0.00                             | (\$33.78)          | \$0.00                              |
| TARGET CORPORATION CUSIP: 87612E106 Symbol: TGT        |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 26.000   | 02/12/14               | 05/09/14           | \$1,524.24        | \$1,474.52                   | \$0.00                             | \$49.72            | \$0.00                              |
|                                                        | 1.000    | 04/11/14               | 05/09/14           | \$58.62           | \$59.68                      | \$0.00                             | (\$1.06)           | \$0.00                              |
| Security Subtotal                                      | 27.000   |                        |                    | \$1,582.86        | \$1,534.20                   | \$0.00                             | \$48.66            | \$0.00                              |
| TECHNIP-COFLEXIP CUSIP: 878546209 Symbol: TKPPY        |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 2.000    | 11/08/13               | 08/15/14           | \$46.37           | \$51.64                      | \$5.27 W                           | (\$5.27)           | \$0.00                              |
|                                                        | 22.000   | 11/08/13               | 10/14/14           | \$404.68          | \$568.09                     | \$163.41 W                         | (\$163.41)         | \$0.00                              |
| Security Subtotal                                      | 24.000   |                        |                    | \$451.05          | \$619.73                     | \$168.68                           | (\$168.68)         | \$0.00                              |
| TESCO PLC SPONSORED ADR CUSIP: 881575302 Symbol: TSCDY |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 20.000   | 11/08/13               | 01/07/14           | \$327.36          | \$354.52                     | \$0.00                             | (\$27.16)          | \$0.00                              |
|                                                        | 72.000   | 11/08/13               | 04/07/14           | \$1,016.62        | \$1,276.26                   | \$0.00                             | (\$259.64)         | \$0.00                              |
|                                                        | 4.000    | 11/08/13               | 04/11/14           | \$56.83           | \$70.90                      | \$0.00                             | (\$14.07)          | \$0.00                              |
|                                                        | 106.000  | 11/08/13               | 05/01/14           | \$1,531.01        | \$1,878.93                   | \$0.00                             | (\$347.92)         | \$0.00                              |
| Security Subtotal                                      | 202.000  |                        |                    | \$2,931.82        | \$3,580.61                   | \$0.00                             | (\$648.79)         | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

1099 Consolidated Tax Statement  
Tax Year 2014 Copy B For RecipientMorgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th FloorNew York, NY 10004  
Identification Number: 26-4310632MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

## 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)                                   | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| TESLA MOTORS INC CUSIP: 88160R101 Symbol: TSLA         |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 2.000    | 08/04/14               | 09/16/14           | \$514.27          | \$474.96                     | \$0.00                             | \$39.31            | \$0.00                              |
|                                                        | 2.000    | 08/15/14               | 09/16/14           | \$514.27          | \$523.82                     | \$0.00                             | (\$9.55)           | \$0.00                              |
| Security Subtotal                                      | 4.000    |                        |                    | \$1,028.54        | \$998.78                     | \$0.00                             | \$29.76            | \$0.00                              |
| TESORO PETROLEUM CP CUSIP: 881609101 Symbol: TSO       |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 12.000   | 05/07/14               | 05/09/14           | \$637.44          | \$647.96                     | \$0.00                             | (\$10.52)          | \$0.00                              |
| TEVA PHARMACEUTICALS ADR CUSIP: 881624209 Symbol: TEVA |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 5.000    | 05/31/13               | 05/09/14           | \$247.98          | \$193.17                     | \$0.00                             | \$54.81            | \$0.00                              |
|                                                        | 9.000    | 09/13/13               | 05/09/14           | \$446.37          | \$347.93                     | \$0.00                             | \$98.44            | \$0.00                              |
|                                                        | 4.000    | 01/08/14               | 05/09/14           | \$198.39          | \$164.54                     | \$0.00                             | \$33.85            | \$0.00                              |
|                                                        | 7.000    | 04/11/14               | 05/09/14           | \$347.18          | \$352.72                     | \$0.00                             | (\$5.54)           | \$0.00                              |
|                                                        | 1.000    | 07/08/14               | 10/14/14           | \$50.00           | \$53.51                      | \$3.51 W                           | (\$3.51)           | \$0.00                              |
| Security Subtotal                                      | 26.000   |                        |                    | \$1,289.92        | \$1,111.87                   | \$3.51                             | \$178.05           | \$0.00                              |
| TIME WARNER INC NEW CUSIP: 887317303 Symbol: TWX       |          |                        |                    |                   |                              |                                    |                    |                                     |
|                                                        | 9.000    | 09/13/13               | 05/09/14           | \$610.39          | \$566.60                     | \$0.00                             | \$43.79            | \$0.00                              |
|                                                        | 13.000   | 01/31/14               | 05/09/14           | \$881.67          | \$816.21                     | \$0.00                             | \$65.46            | \$0.00                              |
|                                                        | 7.000    | 04/11/14               | 05/09/14           | \$474.75          | \$442.97                     | \$0.00                             | \$31.78            | \$0.00                              |
| Security Subtotal                                      | 29.000   |                        |                    | \$1,966.81        | \$1,825.78                   | \$0.00                             | \$141.03           | \$0.00                              |

CONTINUED ON NEXT PAGE

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number: 26-4310632

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHAR  
 PO BOX 1410  
 BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)               | QUANTITY      | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|------------------------------------|---------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| <b>TOTAL S A SPON ADR</b>          |               |                              |                          |                      |                                    |                                       |                       |                                           |
|                                    |               | CUSIP: 89151E109             | Symbol: TOT              |                      |                                    |                                       |                       |                                           |
|                                    | 10 000        | 11/08/13                     | 02/21/14                 | \$623.39             | \$587.91                           | \$0.00                                | \$35.48               | \$0.00                                    |
|                                    | 7 000         | 11/08/13                     | 03/03/14                 | \$449.34             | \$411.54                           | \$0.00                                | \$37.80               | \$0.00                                    |
|                                    | 7 000         | 11/08/13                     | 05/07/14                 | \$501.81             | \$411.54                           | \$0.00                                | \$90.27               | \$0.00                                    |
| <b>Security Subtotal</b>           | <b>24 000</b> |                              |                          | <b>\$1,574.54</b>    | <b>\$1,410.99</b>                  | <b>\$0.00</b>                         | <b>\$163.55</b>       | <b>\$0.00</b>                             |
| <b>TOYOTA MOTOR CP ADR NEW</b>     |               |                              |                          |                      |                                    |                                       |                       |                                           |
|                                    |               | CUSIP: 892331307             | Symbol: TM               |                      |                                    |                                       |                       |                                           |
|                                    | 4 000         | 11/08/13                     | 02/24/14                 | \$464.03             | \$509.03                           | \$0.00                                | (\$45.00)             | \$0.00                                    |
| <b>TRAVELERS COMPANIES INC COM</b> |               |                              |                          |                      |                                    |                                       |                       |                                           |
|                                    |               | CUSIP: 89417E109             | Symbol: TRV              |                      |                                    |                                       |                       |                                           |
|                                    | 3 000         | 05/09/14                     | 10/14/14                 | \$281.30             | \$273.09                           | \$0.00                                | \$8.21                | \$0.00                                    |
| <b>TRIPADVISOR INC COM</b>         |               |                              |                          |                      |                                    |                                       |                       |                                           |
|                                    |               | CUSIP: 896945201             | Symbol: TRIP             |                      |                                    |                                       |                       |                                           |
|                                    | 1 000         | 01/13/14                     | 04/11/14                 | \$80.26              | \$86.24                            | \$5.98 W                              | (\$5.98)              | \$0.00                                    |
|                                    | 2 000         | 01/13/14                     | 10/13/14                 | \$161.23             | \$172.47                           | \$0.00                                | (\$11.24)             | \$0.00                                    |
|                                    | 1 000         | 01/13/14                     | 10/14/14                 | \$78.51              | \$86.24                            | \$0.00                                | (\$7.73)              | \$0.00                                    |
|                                    | 2 000         | 01/13/14                     | 11/05/14                 | \$143.21             | \$172.47                           | \$0.00                                | (\$29.26)             | \$0.00                                    |
|                                    | 1 000         | 01/18/14                     | 11/05/14                 | \$71.61              | \$89.87                            | \$0.00                                | (\$18.26)             | \$0.00                                    |
|                                    | 1 000         | 04/16/14                     | 11/05/14                 | \$71.61              | \$83.88                            | \$0.00                                | (\$12.27)             | \$0.00                                    |
|                                    | 4 000         | 04/21/14                     | 11/05/14                 | \$286.43             | \$338.60                           | \$0.00                                | (\$52.17)             | \$0.00                                    |
|                                    | 4 000         | 08/15/14                     | 11/05/14                 | \$286.42             | \$393.00                           | \$0.00                                | (\$106.58)            | \$0.00                                    |
| <b>Security Subtotal</b>           | <b>16 000</b> |                              |                          | <b>\$1,179.28</b>    | <b>\$1,422.77</b>                  | <b>\$5.98</b>                         | <b>(\$243.49)</b>     | <b>\$0.00</b>                             |

CONTINUED ON NEXT PAGE

**IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS**

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

New York, NY 10004  
Identification Number: 26-4310632

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)          | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 90130A101 Symbol: FOXA |               |                        |                    |                   |                              |                                    |                    |                                     |
| TWENTY-FIRST CENTURY FOX CL A | 1 000         | 08/29/13               | 07/31/14           | \$32.13           | \$31.66                      | \$0.00                             | \$0.47             | \$0.00                              |
|                               | 6,000         | 11/08/13               | 10/14/14           | \$191.57          | \$198.34                     | \$6.77 W                           | (\$6.77)           | \$0.00                              |
| <b>Security Subtotal</b>      | <b>7,000</b>  |                        |                    | <b>\$223.70</b>   | <b>\$230.00</b>              | <b>\$6.77</b>                      | <b>(\$6.30)</b>    | <b>\$0.00</b>                       |
| CUSIP: 90184L102 Symbol: TWTR |               |                        |                    |                   |                              |                                    |                    |                                     |
| TWITTER INC                   | 7,000         | 06/17/14               | 07/16/14           | \$261.36          | \$262.65                     | \$1.29 W                           | (\$1.29)           | \$0.00                              |
|                               | 2,000         | 06/17/14               | 07/17/14           | \$73.39           | \$75.04                      | \$1.65 W                           | (\$1.65)           | \$0.00                              |
|                               | 7,000         | 06/17/14               | 07/17/14           | \$256.87          | \$267.84                     | \$10.97 W                          | (\$10.97)          | \$0.00                              |
|                               | 2,000         | 06/17/14               | 10/14/14           | \$97.68           | \$80.46                      | \$0.00                             | \$17.22            | \$0.00                              |
|                               | 2,000         | 06/18/14               | 10/14/14           | \$97.67           | \$81.94                      | \$0.00                             | \$15.73            | \$0.00                              |
|                               | 1,000         | 06/18/14               | 10/14/14           | \$48.84           | \$38.08                      | \$0.00                             | \$10.76            | \$0.00                              |
|                               | 5,000         | 06/18/14               | 12/17/14           | \$176.24          | \$204.86                     | \$0.00                             | (\$28.62)          | \$0.00                              |
|                               | 3,000         | 07/30/14               | 12/17/14           | \$105.75          | \$140.44                     | \$0.00                             | (\$34.69)          | \$0.00                              |
|                               | 2,000         | 07/30/14               | 12/30/14           | \$71.35           | \$93.28                      | \$0.00                             | (\$21.93)          | \$0.00                              |
|                               | 15,000        | 07/30/14               | 12/30/14           | \$535.16          | \$702.18                     | \$0.00                             | (\$167.02)         | \$0.00                              |
| <b>Security Subtotal</b>      | <b>46,000</b> |                        |                    | <b>\$1,724.31</b> | <b>\$1,946.77</b>            | <b>\$13.91</b>                     | <b>(\$222.46)</b>  | <b>\$0.00</b>                       |
| CUSIP: H89128104 Symbol:      |               |                        |                    |                   |                              |                                    |                    |                                     |
| TYCO INTERNATIONAL LTD NEW    | 12,000        | 05/09/14               | 06/03/14           | \$518.61          | \$494.80                     | \$0.00                             | \$23.81            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSG RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)           | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|--------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 902494103 Symbol: TSN   |               |                        |                    |                   |                              |                                    |                    |                                     |
| TYSON FOODS INC CL A           | 18.000        | 05/09/14               | 06/04/14           | \$755.84          | \$705.33                     | \$0.00                             | \$50.51            | \$0.00                              |
|                                | 4.000         | 05/09/14               | 06/10/14           | \$143.05          | \$156.74                     | \$0.00                             | (\$13.69)          | \$0.00                              |
|                                | 3.000         | 05/09/14               | 10/14/14           | \$117.91          | \$117.56                     | \$0.00                             | \$0.35             | \$0.00                              |
| <b>Security Subtotal</b>       | <b>25.000</b> |                        |                    | <b>\$1,016.80</b> | <b>\$979.63</b>              | <b>\$0.00</b>                      | <b>\$37.17</b>     | <b>\$0.00</b>                       |
| CUSIP: H89231338 Symbol: OUBSF |               |                        |                    |                   |                              |                                    |                    |                                     |
| UBS AG NEW                     | 27.000        | 11/08/13               | 10/14/14           | \$431.59          | \$488.36                     | \$0.00                             | (\$56.77)          | \$0.00                              |
| CUSIP: 904784709 Symbol: UN    |               |                        |                    |                   |                              |                                    |                    |                                     |
| UNILEVER NV NY SH NEW          | 3.000         | 11/08/13               | 10/14/14           | \$114.33          | \$114.92                     | \$0.00                             | (\$0.59)           | \$0.00                              |
| CUSIP: 913017109 Symbol: UTX   |               |                        |                    |                   |                              |                                    |                    |                                     |
| UNITED TECHNOLOGIES CORP       | 1.000         | 11/08/13               | 07/21/14           | \$113.13          | \$107.31                     | \$0.00                             | \$5.82             | \$0.00                              |
| CUSIP: 91324P102 Symbol: UNH   |               |                        |                    |                   |                              |                                    |                    |                                     |
| UNITEDHEALTH GP INC            | 1.000         | 03/21/14               | 04/11/14           | \$79.76           | \$81.46                      | \$1.70W                            | (\$1.70)           | \$0.00                              |
|                                | 1.000         | 03/21/14               | 10/14/14           | \$83.04           | \$81.46                      | \$0.00                             | \$1.58             | \$0.00                              |
| <b>Security Subtotal</b>       | <b>2.000</b>  |                        |                    | <b>\$162.80</b>   | <b>\$162.92</b>              | <b>\$1.70</b>                      | <b>(\$0.12)</b>    | <b>\$0.00</b>                       |
| CUSIP: 91529Y106 Symbol: UNM   |               |                        |                    |                   |                              |                                    |                    |                                     |
| UNUMPROVIDENT CORP             | 22.000        | 09/13/13               | 05/09/14           | \$738.28          | \$684.57                     | \$0.00                             | \$53.71            | \$0.00                              |
|                                | 12.000        | 04/11/14               | 05/09/14           | \$402.71          | \$402.40                     | \$0.00                             | \$0.31             | \$0.00                              |
| <b>Security Subtotal</b>       | <b>34.000</b> |                        |                    | <b>\$1,140.99</b> | <b>\$1,086.97</b>            | <b>\$0.00</b>                      | <b>\$54.02</b>     | <b>\$0.00</b>                       |

CONTINUED ON NEXT PAGE

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor

New York, NY 10004  
 Identification Number: 26-4310632

MERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHARI  
 PO BOX 1410  
 BUFFALO NY 14240-1410

Taxpayer ID Number: XX-XXX5054  
 Account Number: 571 010604 127

**Customer Service: 866-324-6088**

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section. These transactions should be reported on Form 8949 Part 1 with box A checked.)  
 (Continued)

| DESCRIPTION (Box 1a)          | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 91911K102 Symbol: VRX  |               |                        |                    |                   |                              |                                    |                    |                                     |
| VALEANT PHARMACEUTIC INTL INC | 3.000         | 04/23/14               | 06/16/14           | \$354.61          | \$402.95                     | \$0.00                             | (\$48.34)          | \$0.00                              |
|                               | 3.000         | 05/09/14               | 06/16/14           | \$354.60          | \$390.88                     | \$0.00                             | (\$36.28)          | \$0.00                              |
| <b>Security Subtotal</b>      | <b>6.000</b>  |                        |                    | <b>\$709.21</b>   | <b>\$793.83</b>              | <b>\$0.00</b>                      | <b>(\$84.62)</b>   | <b>\$0.00</b>                       |
| CUSIP: 91913Y100 Symbol: VLO  |               |                        |                    |                   |                              |                                    |                    |                                     |
| VALERO ENERGY CP DELA NEW     | 5.000         | 11/13/13               | 04/11/14           | \$257.21          | \$203.64                     | \$0.00                             | \$53.57            | \$0.00                              |
|                               | 15.000        | 11/13/13               | 04/15/14           | \$802.25          | \$610.93                     | \$0.00                             | \$191.32           | \$0.00                              |
|                               | 12.000        | 11/13/13               | 05/07/14           | \$693.43          | \$488.74                     | \$0.00                             | \$204.69           | \$0.00                              |
|                               | 4.000         | 11/13/13               | 05/27/14           | \$216.61          | \$162.92                     | \$0.00                             | \$53.69            | \$0.00                              |
|                               | 8.000         | 11/13/13               | 11/13/14           | \$402.37          | \$325.83                     | \$0.00                             | \$76.54            | \$0.00                              |
|                               | 1.000         | 05/09/14               | 11/13/14           | \$50.30           | \$56.57                      | \$0.00                             | (\$6.27)           | \$0.00                              |
|                               | 10.000        | 05/09/14               | 11/19/14           | \$500.32          | \$565.72                     | \$0.00                             | (\$65.40)          | \$0.00                              |
|                               | 6.000         | 08/15/14               | 11/19/14           | \$300.19          | \$317.82                     | \$0.00                             | (\$17.63)          | \$0.00                              |
| <b>Security Subtotal</b>      | <b>61.000</b> |                        |                    | <b>\$3,222.68</b> | <b>\$2,732.17</b>            | <b>\$0.00</b>                      | <b>\$490.51</b>    | <b>\$0.00</b>                       |
| CUSIP: G9319H102 Symbol: VR   |               |                        |                    |                   |                              |                                    |                    |                                     |
| VALIDUS HOLDINGS LTD COM      | 8.000         | 05/09/14               | 06/13/14           | \$298.72          | \$297.90                     | \$0.00                             | \$0.82             | \$0.00                              |
|                               | 3.000         | 05/09/14               | 09/22/14           | \$116.91          | \$111.71                     | \$0.00                             | \$5.20             | \$0.00                              |
|                               | 2.000         | 05/09/14               | 10/14/14           | \$79.46           | \$74.48                      | \$0.00                             | \$4.98             | \$0.00                              |
| <b>Security Subtotal</b>      | <b>13.000</b> |                        |                    | <b>\$495.09</b>   | <b>\$484.09</b>              | <b>\$0.00</b>                      | <b>\$11.00</b>     | <b>\$0.00</b>                       |

CONTINUED ON NEXT PAGE



1099 Consolidated Tax Statement  
Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004

M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHAR  
PO BOX 1410  
BUFFALO NY 14240-1410

Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

Customer Service: 866-324-6088

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Covered Securities (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked ) (Continued)

| DESCRIPTION (Box 1a)      | QUANTITY | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|---------------------------|----------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| VERIZON COMMUNICATIONS    |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                           | 0.924    | 02/21/14                     | 02/21/14                 | \$43.81              | \$44.46                            | \$0.00                                | (\$0.65)              | \$0.00                                    |
|                           | 18.000   | 02/21/14                     | 02/27/14                 | \$835.88             | \$866.07                           | \$30.18W                              | (\$30.19)             | \$0.00                                    |
|                           | 20.000   | 02/21/14                     | 02/27/14                 | \$928.77             | \$962.30                           | \$0.00                                | (\$33.53)             | \$0.00                                    |
|                           | 18.000   | 02/22/14                     | 03/07/14                 | \$846.33             | \$886.17                           | \$0.00                                | (\$39.84)             | \$0.00                                    |
|                           | 4.000    | 05/09/14                     | 10/14/14                 | \$193.13             | \$194.04                           | \$0.00                                | (\$0.91)              | \$0.00                                    |
| Security Subtotal         | 60.924   |                              |                          | \$2,847.92           | \$2,953.04                         | \$30.18                               | (\$105.12)            | \$0.00                                    |
| VIACOM INC NEW CLASS B    |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                           | 1.000    | 09/13/13                     | 05/09/14                 | \$83.15              | \$82.74                            | \$0.00                                | \$0.41                | \$0.00                                    |
| VODAFONE GROUP PLC        |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                           | 0.727    | 11/08/13                     | 02/21/14                 | \$30.35              | \$48.85                            | \$18.50W                              | (\$18.50)             | \$0.00                                    |
|                           | 9.000    | 11/08/13                     | 10/14/14                 | \$276.29             | \$604.70                           | \$0.00                                | (\$328.41)            | \$0.00                                    |
| Security Subtotal         | 9.727    |                              |                          | \$306.64             | \$653.55                           | \$18.50                               | (\$346.91)            | \$0.00                                    |
| VOYA GLOBAL REAL ESTATE I |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                           | 76.961   | 09/13/13                     | 08/15/14                 | \$1,566.16           | \$1,392.99                         | \$0.00                                | \$173.17              | \$0.00                                    |
|                           | 14.270   | 11/08/13                     | 08/15/14                 | \$290.41             | \$262.99                           | \$0.00                                | \$27.42               | \$0.00                                    |
| Security Subtotal         | 91.231   |                              |                          | \$1,856.57           | \$1,655.98                         | \$0.00                                | \$200.59              | \$0.00                                    |
| WILLIAMS CO INC           |          |                              |                          |                      |                                    |                                       |                       |                                           |
|                           | 6.000    | 10/17/14                     | 12/10/14                 | \$268.21             | \$312.66                           | \$0.00                                | (\$44.45)             | \$0.00                                    |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

Page 75 of 118

# Morgan Stanley

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

New York, NY 10004  
Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127

**Customer Service: 866-324-6088**

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued) OMB NO. 1545-0715

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
(Continued)

| DESCRIPTION (Box 1a)          | QUANTITY      | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|-------------------------------|---------------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| CUSIP: 969457100 Symbol: WMB  |               |                        |                    |                   |                              |                                    |                    |                                     |
| WILLIAMS CO INC (Cont )       | 6.000         | 10/17/14               | 12/12/14           | \$258.56          | \$312.66                     | \$0.00                             | (\$54.10)          | \$0.00                              |
|                               | 4.000         | 10/20/14               | 12/12/14           | \$172.38          | \$210.30                     | \$0.00                             | (\$37.92)          | \$0.00                              |
|                               | 4.000         | 10/20/14               | 12/15/14           | \$172.51          | \$210.30                     | \$0.00                             | (\$37.79)          | \$0.00                              |
|                               | 6.000         | 10/29/14               | 12/15/14           | \$258.77          | \$330.39                     | \$0.00                             | (\$71.62)          | \$0.00                              |
|                               | 8.000         | 10/29/14               | 12/15/14           | \$338.58          | \$440.51                     | \$0.00                             | (\$101.93)         | \$0.00                              |
|                               | 11.000        | 10/30/14               | 12/15/14           | \$465.55          | \$604.66                     | \$0.00                             | (\$139.11)         | \$0.00                              |
| <b>Security Subtotal</b>      | <b>45.000</b> |                        |                    | <b>\$1,934.56</b> | <b>\$2,421.48</b>            | <b>\$0.00</b>                      | <b>(\$486.92)</b>  | <b>\$0.00</b>                       |
| CUSIP: 98138H101 Symbol: WDAY |               |                        |                    |                   |                              |                                    |                    |                                     |
| WORKDAY INC CL A              | 3.000         | 12/27/13               | 10/14/14           | \$235.24          | \$245.90                     | \$0.00                             | (\$10.66)          | \$0.00                              |
|                               | 1.000         | 12/27/13               | 11/19/14           | \$90.98           | \$81.97                      | \$0.00                             | \$9.01             | \$0.00                              |
| <b>Security Subtotal</b>      | <b>4.000</b>  |                        |                    | <b>\$326.22</b>   | <b>\$327.87</b>              | <b>\$0.00</b>                      | <b>(\$1.65)</b>    | <b>\$0.00</b>                       |
| CUSIP: 983134107 Symbol: WYNN |               |                        |                    |                   |                              |                                    |                    |                                     |
| WYNN RESORTS LTD              | 1.000         | 08/14/13               | 01/27/14           | \$191.40          | \$140.22                     | \$0.00                             | \$51.18            | \$0.00                              |
|                               | 1.000         | 08/15/13               | 01/27/14           | \$191.40          | \$138.16                     | \$0.00                             | \$53.24            | \$0.00                              |
|                               | 1.000         | 08/15/13               | 03/07/14           | \$246.20          | \$138.16                     | \$0.00                             | \$108.04           | \$0.00                              |
|                               | 1.000         | 08/15/13               | 03/10/14           | \$243.41          | \$138.16                     | \$0.00                             | \$105.25           | \$0.00                              |
|                               | 1.000         | 08/21/13               | 04/07/14           | \$211.18          | \$139.83                     | \$0.00                             | \$71.35            | \$0.00                              |
|                               | 3.000         | 08/21/13               | 04/17/14           | \$617.54          | \$419.50                     | \$0.00                             | \$198.04           | \$0.00                              |
|                               | 1.000         | 11/08/13               | 04/17/14           | \$205.84          | \$160.54                     | \$0.00                             | \$45.30            | \$0.00                              |

CONTINUED ON NEXT PAGE

IMPORTANT TAX INFORMATION -- PLEASE RETAIN FOR YOUR RECORDS

**1099 Consolidated Tax Statement**  
**Tax Year 2014 Copy B For Recipient**

Morgan Stanley Smith Barney Holdings LLC  
 1 New York Plaza  
 8th Floor  
 New York, NY 10004

M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 MSGR RICHARD S AMICO MEM CHAR  
 PO BOX 1410  
 BUFFALO NY 14240-1410

Identification Number: 26-4310632

Taxpayer ID Number: XX-XXX5054

Account Number: 571 010604 127

**Customer Service: 866-324-6088**

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

**1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS (continued)** **OMB NO. 1545-0715**

**Gross Proceeds less commissions and option premiums on stocks, bonds, etc.** Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

**Short Term - Covered Securities** (Consider Box 3 (Basis Reported to IRS) as being checked for this section These transactions should be reported on Form 8949 Part I with box A checked )  
 (Continued)

| DESCRIPTION (Box 1a)                       | QUANTITY         | DATE<br>ACQUIRED<br>(Box 1b) | DATE<br>SOLD<br>(Box 1c) | PROCEEDS<br>(Box 1d) | COST OR<br>OTHER BASIS<br>(Box 1e) | ADJUSTMENTS/CODE<br>(Box 1g)/(Box 1f) | GAIN/(LOSS)<br>AMOUNT | FEDERAL INCOME<br>TAX WITHHELD<br>(Box 4) |
|--------------------------------------------|------------------|------------------------------|--------------------------|----------------------|------------------------------------|---------------------------------------|-----------------------|-------------------------------------------|
| WYNN RESORTS LTD (Cont.)                   |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                            | CUSIP: 983134107 | Symbol: WYNN                 |                          |                      |                                    |                                       |                       |                                           |
|                                            | 2.000            | 07/30/14                     | 08/07/14                 | \$390.37             | \$434.79                           | \$0.00                                | (\$44.42)             | \$0.00                                    |
|                                            | 1.000            | 07/30/14                     | 08/07/14                 | \$195.18             | \$218.40                           | \$23.22W                              | (\$23.22)             | \$0.00                                    |
|                                            | 1.000            | 07/30/14                     | 08/08/14                 | \$195.30             | \$240.62                           | \$0.00                                | (\$45.32)             | \$0.00                                    |
| Security Subtotal                          | 13.000           |                              |                          | \$2,687.82           | \$2,168.38                         | \$23.22                               | \$519.44              | \$0.00                                    |
| YUE YUEN INDL HLDGS LTD                    |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                            | CUSIP: 988415105 | Symbol: YUEIY                |                          |                      |                                    |                                       |                       |                                           |
|                                            | 49.000           | 11/08/13                     | 01/08/14                 | \$766.33             | \$672.85                           | \$0.00                                | \$93.48               | \$0.00                                    |
|                                            | 21.000           | 11/08/13                     | 01/09/14                 | \$321.32             | \$288.37                           | \$0.00                                | \$32.95               | \$0.00                                    |
| Security Subtotal                          | 70.000           |                              |                          | \$1,087.65           | \$961.22                           | \$0.00                                | \$126.43              | \$0.00                                    |
| ZIMMER HLDGS INC                           |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                            | CUSIP: 98956P102 | Symbol: ZMH                  |                          |                      |                                    |                                       |                       |                                           |
|                                            | 1.000            | 05/09/14                     | 10/14/14                 | \$97.78              | \$98.56                            | \$0.00                                | (\$0.78)              | \$0.00                                    |
| ZOETIS INC CLASS-A                         |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                            | CUSIP: 98978V103 | Symbol: ZTS                  |                          |                      |                                    |                                       |                       |                                           |
|                                            | 8.000            | 04/16/13                     | 04/11/14                 | \$228.78             | \$265.04                           | \$0.00                                | (\$36.26)             | \$0.00                                    |
|                                            | 2.000            | 04/19/13                     | 04/11/14                 | \$57.19              | \$64.19                            | \$0.00                                | (\$7.00)              | \$0.00                                    |
| Security Subtotal                          | 10.000           |                              |                          | \$285.97             | \$329.23                           | \$0.00                                | (\$43.26)             | \$0.00                                    |
| ZURICH INSURANCE GRP LTD ADR               |                  |                              |                          |                      |                                    |                                       |                       |                                           |
|                                            | CUSIP: 989825104 | Symbol: ZURVY                |                          |                      |                                    |                                       |                       |                                           |
|                                            | 12.000           | 11/08/13                     | 10/14/14                 | \$348.35             | \$325.62                           | \$0.00                                | \$22.73               | \$0.00                                    |
| <b>Total Short Term Covered Securities</b> |                  |                              |                          | <b>\$315,109.41</b>  | <b>\$312,081.91</b>                | <b>\$995.41</b>                       | <b>\$3,027.50</b>     | <b>\$0.00</b>                             |

## 1099 Consolidated Tax Statement Tax Year 2014 Copy B For Recipient

Morgan Stanley Smith Barney Holdings LLC  
1 New York Plaza  
8th Floor  
New York, NY 10004  
Identification Number: 26-4310632  
Taxpayer ID Number: XX-XXX5054  
Account Number: 571 010604 127  
**Customer Service: 866-324-6088**

MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
MSGR RICHARD S AMICO MEM CHARI  
PO BOX 1410  
BUFFALO NY 14240-1410

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B PROCEEDS FROM BROKER AND BARTER EXCHANGE TRANSACTIONS

OMB NO. 1545-0715

Gross Proceeds less commissions and option premiums on stocks, bonds, etc. Consider the Net Proceeds box checked in IRS box 6 (Reported to IRS) for all option transactions

Short Term - Noncovered Securities # (Consider Box 5 (Noncovered Security) as being checked and Box 3 (Basis Reported to IRS) as not being checked for this section These transactions should be reported on Form 8949 Part I with box B checked )

| DESCRIPTION (Box 1a)                               | QUANTITY | DATE ACQUIRED (Box 1b) | DATE SOLD (Box 1c) | PROCEEDS (Box 1d) | COST OR OTHER BASIS (Box 1e) | ADJUSTMENTS/CODE (Box 1g)/(Box 1f) | GAIN/(LOSS) AMOUNT | FEDERAL INCOME TAX WITHHELD (Box 4) |
|----------------------------------------------------|----------|------------------------|--------------------|-------------------|------------------------------|------------------------------------|--------------------|-------------------------------------|
| PEUGEOT SA ADR                                     |          | CUSIP: 716830104       | Symbol: PUGOY      |                   |                              |                                    |                    |                                     |
|                                                    | 0.000    | 06/23/14               | 06/23/14           | \$226.18          | \$0.00                       | \$0.00                             | \$226.18           | \$0.00                              |
|                                                    | 0.000    | 06/30/14               | 06/30/14           | \$172.45          | \$0.00                       | \$0.00                             | \$172.45           | \$0.00                              |
| Security Subtotal                                  | 0.000    |                        |                    | \$398.63          | \$0.00                       | \$0.00                             | \$398.63           | \$0.00                              |
| VERITIV CORP                                       |          | CUSIP: 923454102       | Symbol: VRTV       |                   |                              |                                    |                    |                                     |
|                                                    | 0.000    | 07/01/14               | 07/01/14           | \$18.61           | \$0.00                       | \$0.00                             | \$18.61            | \$0.00                              |
| Total Short Term Noncovered Securities             |          |                        |                    | \$417.24          | \$0.00                       | \$0.00                             | \$417.24           | \$0.00                              |
| Total Short Term Covered and Noncovered Securities |          |                        |                    | \$315,526.65      | \$312,081.91                 | \$995.41                           | \$3,444.74         | \$0.00                              |

## Account Detail

Select/UMA Active Assets Account  
574010604-127

MIERVOLINA, J ARENA, P TUFFILARO  
RARENAJ ESS CO-TIEES UTA 617107

**Investment Objectives<sup>†</sup>:** Capital Appreciation, Aggressive Income, Income

<sup>†</sup> Inform us if your investment objectives, as defined in the Expanded Disclosures, change

Investment Advisory Account

## HOLDINGS

This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. When displayed, accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.

## CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions

| Description                                     | Value     | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|-------------------------------------------------|-----------|-------------------------|-----------------------|---------------------------|
| CASH                                            | \$19.95   |                         |                       |                           |
| MORGAN STANLEY BANK N.A. #                      | 33,778.73 | 17.00                   | —                     | 0.050                     |
|                                                 |           |                         |                       |                           |
| CASH, BDP, AND MMFS                             |           | Market Value            |                       | Estimated Annual Income   |
| NET UNSETTLED PURCHASES/SALES                   |           | \$33,798.68             |                       | Accrued Interest          |
|                                                 |           |                         |                       | \$17.00                   |
|                                                 |           |                         |                       | \$0.00                    |
| CASH, BDP, AND MMFS (PROJECTED SETTLED BALANCE) | 2.2%      | \$33,982.06             |                       |                           |

# Bank Deposits are held at either: (1) Morgan Stanley Bank, N.A. and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member.

The "Projected Settled Balance" includes accrued interest on deposits and reflects the impact of unsettled purchases/sales

## Account Detail

**Select UMA/Active Assets Account:**  
**571-010604-127**

**M**ERVOLINA, J ARENA, P TUFILARO  
**R** ARENA, JESS CO-TTEES UTA 6/17/07

## STOCKS

## COMMON STOCKS

| COMMON STOCKS                                          |            |          |           |            |              |                        |                         |                  |
|--------------------------------------------------------|------------|----------|-----------|------------|--------------|------------------------|-------------------------|------------------|
| Security Description                                   | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
| A G O CORP (AGCO)                                      | 12/8/14    | 3,000    | \$43.763  | \$131.29   | \$135.60     | \$4.31 ST              | \$1.00                  | 0.73             |
| Share Price: \$45.200, Next Dividend Payable 03/2015   |            |          |           |            |              |                        |                         |                  |
| ABBVIE INC COM (ABBV)                                  | 5/20/14    | 2,000    | 53.434    | 106.87     | 130.88       | 24.01 ST               |                         |                  |
|                                                        | 7/14/14    | 3,000    | 54.957    | 164.87     | 196.32       | 31.45 ST               |                         |                  |
|                                                        | 7/14/14    | 1,000    | 54.957    | 54.96      | 65.44        | 10.48 ST               |                         |                  |
|                                                        | 7/14/14    | 2,000    | 54.957    | 109.91     | 130.88       | 20.97 ST               |                         |                  |
|                                                        | 7/15/14    | 6,000    | 54.055    | 324.33     | 392.64       | 68.31 ST               |                         |                  |
|                                                        | 7/17/14    | 6,000    | 53.277    | 319.66     | 392.64       | 72.98 ST               |                         |                  |
|                                                        | 7/18/14    | 1,000    | 54.460    | 54.46      | 65.44        | 10.98 ST               |                         |                  |
|                                                        | 7/18/14    | 6,000    | 54.460    | 326.76     | 392.64       | 65.88 ST               |                         |                  |
|                                                        | 8/15/14    | 7,000    | 54.309    | 380.16     | 458.08       | 77.92 ST               |                         |                  |
|                                                        | 8/15/14    | 22,000   | 54.308    | 1,194.78   | 1,439.68     | 244.90 ST              |                         |                  |
| Total                                                  |            | 56,000   |           | 3,036.76   | 3,664.64     | 627.88 ST              | 110.00                  | 3.01             |
| Share Price: \$65.440, Next Dividend Payable 02/2015   |            |          |           |            |              |                        |                         |                  |
| ACE LTD (ACE)                                          | 5/9/14     | 15,000   | 102.167   | 1,532.51   | 1,723.20     | 190.69 ST              |                         |                  |
|                                                        | 8/15/14    | 5,000    | 103.570   | 517.85     | 574.40       | 56.55 ST               |                         |                  |
|                                                        | Total      | 20,000   |           | 2,050.36   | 2,297.60     | 247.24 ST              | 52.00                   | 2.26             |
| Share Price: \$114.880, Next Dividend Payable 01/05/15 |            |          |           |            |              |                        |                         |                  |
| ACTIVISION BLIZZARD INC (ATVI)                         | 8/11/14    | 14,000   | 22.856    | 319.99     | 282.10       | (37.89) ST             |                         |                  |
|                                                        | 8/15/14    | 7,000    | 23.540    | 164.78     | 141.05       | (23.73) ST             |                         |                  |
|                                                        | 9/11/14    | 15,000   | 23.994    | 359.91     | 302.25       | (57.66) ST             |                         |                  |
|                                                        | 9/22/14    | 3,000    | 21.750    | 65.25      | 60.45        | (4.80) ST              |                         |                  |
|                                                        | 11/13/14   | 22,000   | 20.317    | 446.98     | 443.30       | (3.68) ST              |                         |                  |
|                                                        | Total      | 61,000   |           | 1,356.91   | 1,229.15     | (127.76) ST            | 12.00                   | 0.97             |
| Share Price: \$20.150; Next Dividend Payable 05/2015   |            |          |           |            |              |                        |                         |                  |
| ADOBE SYSTEMS (ADBE)                                   | 11/6/14    | 10,000   | 72.093    | 720.93     | 727.00       | 6.07 ST                |                         |                  |
|                                                        | 11/7/14    | 11,000   | 71.832    | 790.15     | 799.70       | 9.55 ST                |                         |                  |
|                                                        | 11/14/14   | 4,000    | 71.600    | 286.40     | 290.80       | 4.40 ST                |                         |                  |
|                                                        | 12/15/14   | 9,000    | 75.023    | 675.21     | 654.30       | (20.91) ST             |                         |                  |
|                                                        | Total      | 34,000   |           | 2,472.69   | 2,471.80     | (0.89) ST              | --                      | --               |
| Share Price: \$72.700                                  |            |          |           |            |              |                        |                         |                  |
| AES CORP (AES)                                         | 5/9/14     | 106,000  | 14.093    | 1,493.85   | 1,459.62     | (34.23) ST             |                         |                  |
|                                                        | 8/15/14    | 30,000   | 14.930    | 447.90     | 413.10       | (34.80) ST             |                         |                  |
|                                                        | Total      | 136,000  |           | 1,941.75   | 1,872.72     | (69.03) ST             | 54.00                   | 2.88             |
| Share Price: \$13.770, Next Dividend Payable 02/2015   |            |          |           |            |              |                        |                         |                  |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 7 of 62

## Account Detail

Select UMA/Active Assets Account  
571-010604127

MIERVOLINA, JARENA, P TUFILLARO  
JARENA, JESS CO-TIEES UTA 6/17/07

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                                             | Trade Date   | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss)   | Estimated Annual Income | Dividend Yield % |
|------------------------------------------------------------------|--------------|----------|-----------|------------|-----------------|--------------------------|-------------------------|------------------|
| AKZO NOBEL NV ADR (AKZO)                                         | 11/8/13      | 286,000  | 24.749    | 7,078.10   | 6,520.80        | (557.30) LT              |                         |                  |
|                                                                  | 11/8/13      | 4,000    | 24.345    | 97.38      | 91.20           | (6.18) LT H              |                         |                  |
|                                                                  | 8/7/14       | 28,000   | 23.016    | 644.44     | 638.40          | (6.04) ST                |                         |                  |
|                                                                  | <b>Total</b> | 318,000  |           | 7,819.92   | <b>7,250.40</b> | (563.48) LT<br>(6.04) ST | 168.00                  | 2.31             |
| Share Price: \$22.800; Basis Adjustment Due to Wash Sale: \$5.32 |              |          |           |            |                 |                          |                         |                  |
| ALEXION PHARM INC (ALXN)                                         | 4/9/13       | 3,000    | 98.083    | 294.25     | 555.09          | 260.84 LT                |                         |                  |
|                                                                  | 5/21/13      | 2,000    | 102.055   | 204.11     | 370.06          | 165.95 LT                |                         |                  |
|                                                                  | 6/14/13      | 3,000    | 92.860    | 278.58     | 555.09          | 276.51 LT                |                         |                  |
|                                                                  | 11/12/13     | 3,000    | 114.457   | 343.37     | 555.09          | 211.72 LT                |                         |                  |
|                                                                  | 8/15/14      | 5,000    | 170.050   | 850.25     | 925.15          | 74.90 ST                 |                         |                  |
|                                                                  | 8/15/14      | 1,000    | 171.150   | 171.15     | 185.03          | 13.88 ST                 |                         |                  |
|                                                                  | 9/25/14      | 2,000    | 166.530   | 333.06     | 370.06          | 37.00 ST                 |                         |                  |
|                                                                  | <b>Total</b> | 19,000   |           | 2,474.77   | <b>3,515.57</b> | 915.02 LT<br>125.78 ST   | —                       | —                |

Share Price: \$185.030

#### ALIBABA GROUP HLDG LTD (BABA)

|                               |          |        |         |          |                 |           |   |   |
|-------------------------------|----------|--------|---------|----------|-----------------|-----------|---|---|
| ALIBABA GROUP HLDG LTD (BABA) | 9/22/14  | 7,000  | 89.698  | 627.88   | 727.58          | 99.70 ST  |   |   |
|                               | 9/22/14  | 1,000  | 95.450  | 95.45    | 103.94          | 8.49 ST H |   |   |
|                               | 9/22/14  | 12,000 | 90.675  | 1,088.10 | 1,247.28        | 159.18 ST |   |   |
|                               | 12/30/14 | 4,000  | 105.783 | 423.13   | 415.76          | (7.37) ST |   |   |
| <b>Total</b>                  |          | 24,000 |         | 2,234.56 | <b>2,494.56</b> | 260.00 ST | — | — |

Share Price: \$103.940; Basis Adjustment Due to Wash Sale: \$5.75

#### ALLSTATE CORP (ALL)

|                     |         |        |        |          |                 |           |       |      |
|---------------------|---------|--------|--------|----------|-----------------|-----------|-------|------|
| ALLSTATE CORP (ALL) | 5/9/14  | 21,000 | 58.162 | 1,221.40 | 1,475.25        | 253.85 ST |       |      |
|                     | 6/18/14 | 6,000  | 58.978 | 353.87   | 421.50          | 67.63 ST  |       |      |
|                     | 8/12/14 | 2,000  | 59.920 | 119.84   | 140.50          | 20.66 ST  |       |      |
|                     | 8/15/14 | 11,000 | 61.000 | 671.00   | 772.75          | 101.75 ST |       |      |
| <b>Total</b>        |         | 40,000 |        | 2,366.11 | <b>2,810.00</b> | 443.89 ST | 45.00 | 1.60 |

Share Price: \$70.250; Next Dividend Payable 01/02/15

#### AMAZON COM INC (AMZN)

|                       |         |         |         |        |            |            |  |  |
|-----------------------|---------|---------|---------|--------|------------|------------|--|--|
| AMAZON COM INC (AMZN) | 2/7/13  | 2,000   | 259.045 | 518.09 | 620.70     | 102.61 LT  |  |  |
|                       | 2/13/13 | 1,000   | 265.970 | 265.97 | 310.35     | 44.38 LT   |  |  |
|                       | 12/6/13 | 1,000   | 386.260 | 386.26 | 310.35     | (75.91) LT |  |  |
|                       | 6/10/14 | 1,000   | 334.740 | 334.74 | 310.35     | (24.39) ST |  |  |
|                       | 7/11/14 | 1,000   | 345.640 | 345.64 | 310.35     | (35.29) ST |  |  |
| 8/15/14               | 2,000   | 334.880 | 669.76  | 620.70 | (49.06) ST |            |  |  |

## Account Detail

MIERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07

## COMMON STOCKS (CONTINUED)

| Share Price: \$93.040; Next Dividend Payable 02/2015 |         |        |        |          |                 |           |
|------------------------------------------------------|---------|--------|--------|----------|-----------------|-----------|
| AMERICAN HOMES 4 RENT (AMH)                          | 5/9/14  | 27.000 | 17.027 | 459.74   | 459.81          | 0.07 ST   |
| Share Price \$17.030; Next Dividend Payable 03/2015  |         |        |        |          |                 |           |
| AMERICAN TOWER REIT COM (AMT)                        | 6/6/11  | 4.000  | 51.888 | 207.55   | 395.40          | 187.85 LT |
|                                                      | 11/9/12 | 4.000  | 74.390 | 297.56   | 395.40          | 97.84 LT  |
|                                                      | 7/10/13 | 5.000  | 75.742 | 378.71   | 494.25          | 115.54 LT |
|                                                      | 11/8/13 | 3.000  | 78.410 | 235.23   | 296.55          | 61.32 LT  |
|                                                      | 2/24/14 | 2.000  | 84.230 | 168.46   | 197.70          | 29.24 ST  |
|                                                      | 8/15/14 | 16.000 | 98.419 | 1,574.70 | 1,581.60        | 6.90 ST   |
| <b>Total</b>                                         |         | 34.000 |        | 2,862.21 | <b>3,360.90</b> | 462.55 LT |
|                                                      |         |        |        |          |                 | 36.14 ST  |
|                                                      |         |        |        |          |                 | 52.00     |
|                                                      |         |        |        |          |                 | 1.54      |

|                                                      |         |       |        |        |               |
|------------------------------------------------------|---------|-------|--------|--------|---------------|
| Share Price \$159.290; Next Dividend Payable 03/2015 |         |       |        |        |               |
| <b>AON PLC SHS CL-A (AON)</b>                        | 12/8/14 | 9,000 | 97.138 | 874.24 | <b>853.47</b> |
| Share Price \$94.830; Next Dividend Payable 02/2015  |         |       |        |        | (20.77) ST    |
|                                                      |         |       |        |        | 9.00          |
|                                                      |         |       |        |        | 1.05          |



# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 9 of 62

## Account Detail

Select UMA Active Assets Account  
571-010504-127

MIERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TRUSTEE UTA 6/17/07

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description      | Trade Date | Quantity      | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---------------------------|------------|---------------|-----------|-----------------|-----------------|------------------------|-------------------------|------------------|
| APOLLO GROUP INC A (APOL) | 5/9/14     | 35,000        | 28.605    | 1,001.18        | 1,193.85        | 192.67 ST              |                         |                  |
|                           | 8/15/14    | 14,000        | 27.590    | 386.26          | 477.54          | 91.28 ST               |                         |                  |
| <b>Total</b>              |            | <b>49,000</b> |           | <b>1,387.44</b> | <b>1,671.39</b> | <b>283.95 ST</b>       |                         |                  |

Share Price: \$34.110

#### APPLE INC (AAPL)

|              |                |         |                  |                  |                  |                    |               |             |
|--------------|----------------|---------|------------------|------------------|------------------|--------------------|---------------|-------------|
| 11/15/12     | 14,000         | 75.437  | 1,056.12         | 1,545.32         | 489.20 LT        |                    |               |             |
| 11/15/12     | 2,000          | 75.806  | 151.61           | 220.76           | 69.15 LT         |                    |               |             |
| 11/15/12     | 1,000          | 75.806  | 75.81            | 110.38           | 34.57 LT         |                    |               |             |
| 4/10/13      | 7,000          | 62.290  | 436.03           | 772.66           | 336.63 LT        |                    |               |             |
| 5/9/14       | 18,000         | 83.588  | 1,504.58         | 1,986.84         | 482.26 ST        |                    |               |             |
| 5/9/14       | 7,000          | 83.587  | 585.11           | 772.66           | 187.55 ST        |                    |               |             |
| 5/9/14       | 4,000          | 83.588  | 334.35           | 441.52           | 107.17 ST        |                    |               |             |
| 5/9/14       | 2,000          | 83.588  | 167.17           | 220.76           | 53.59 ST         |                    |               |             |
| 5/9/14       | 4,000          | 83.588  | 334.35           | 441.52           | 107.17 ST        |                    |               |             |
| 7/23/14      | 6,000          | 97.622  | 585.73           | 662.28           | 76.55 ST         |                    |               |             |
| 7/23/14      | 2,000          | 97.620  | 195.24           | 220.76           | 25.52 ST         |                    |               |             |
| 8/15/14      | 9,000          | 97.898  | 881.08           | 993.42           | 112.34 ST        |                    |               |             |
| 8/15/14      | 30,000         | 97.897  | 2,936.92         | 3,311.40         | 374.48 ST        |                    |               |             |
| 10/10/14     | 12,000         | 101.931 | 1,223.17         | 1,324.56         | 101.39 ST        |                    |               |             |
| 10/16/14     | 2,000          | 96.395  | 192.79           | 220.76           | 27.97 ST         |                    |               |             |
| 10/16/14     | 8,000          | 96.395  | 771.16           | 883.04           | 111.88 ST        |                    |               |             |
| <b>Total</b> | <b>128,000</b> |         | <b>11,431.22</b> | <b>14,128.64</b> | <b>929.55 LT</b> | <b>1,767.87 ST</b> | <b>241.00</b> | <b>1.71</b> |

Share Price: \$110.380, Next Dividend Payable 02/2015

#### APPLIED MATERIALS INC (AMAT)

|              |                |        |                 |                 |                  |                 |              |             |
|--------------|----------------|--------|-----------------|-----------------|------------------|-----------------|--------------|-------------|
| 12/31/12     | 4,000          | 11.286 | 45.14           | 99.68           | 54.54 LT         |                 |              |             |
| 12/31/12     | 13,000         | 11.286 | 146.71          | 323.96          | 177.25 LT        |                 |              |             |
| 9/13/13      | 2,000          | 16.010 | 32.02           | 49.84           | 17.82 LT         |                 |              |             |
| 9/25/13      | 32,000         | 17.887 | 572.38          | 797.44          | 225.06 LT        |                 |              |             |
| 9/26/13      | 20,000         | 17.821 | 356.41          | 498.40          | 141.99 LT        |                 |              |             |
| 9/27/13      | 10,000         | 17.659 | 176.59          | 249.20          | 72.61 LT         |                 |              |             |
| 11/8/13      | 2,000          | 17.495 | 34.99           | 49.84           | 14.85 LT         |                 |              |             |
| 10/9/14      | 18,000         | 20.898 | 376.16          | 448.56          | 72.40 ST         |                 |              |             |
| <b>Total</b> | <b>101,000</b> |        | <b>1,740.40</b> | <b>2,516.92</b> | <b>704.12 LT</b> | <b>72.40 ST</b> | <b>40.00</b> | <b>1.58</b> |

Share Price: \$24.920, Next Dividend Payable 03/2015

## Account Detail

Select UMA Active Assets Account  
571-010604127  
MIRVOLINA J ARENA, P TUFILARO  
RARENA JESS CO-TRIEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                              | Trade Date | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                  | Estimated Annual Income | Dividend Yield % |
|-------------------------------------------------------------------|------------|----------------|-----------|-----------------|-----------------|-----------------------------------------|-------------------------|------------------|
| <b>ARM HOLDINGS PLC ADS (ARMH)</b>                                |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 7/8/13     | 5,000          | 38.421    | 192.10          | 231.50          | 39.40 LT                                |                         |                  |
|                                                                   | 7/16/13    | 4,000          | 41.530    | 166.12          | 185.20          | 19.08 LT                                |                         |                  |
|                                                                   | 7/23/13    | 7,000          | 41.593    | 291.15          | 324.10          | 32.95 LT                                |                         |                  |
|                                                                   | 7/24/13    | 7,000          | 39.514    | 276.60          | 324.10          | 47.50 LT                                |                         |                  |
|                                                                   | 11/8/13    | 1,000          | 45.550    | 45.55           | 46.30           | 0.75 LT                                 |                         |                  |
|                                                                   | 11/18/13   | 4,000          | 46.568    | 186.27          | 185.20          | (1.07) LT                               |                         |                  |
|                                                                   | 8/15/14    | 19,000         | 45.816    | 870.51          | 879.70          | 9.19 ST                                 |                         |                  |
| <b>Total</b>                                                      |            | <b>47,000</b>  |           | <b>2,028.30</b> | <b>2,176.10</b> | <b>138.61 LT</b><br><b>9.19 ST</b>      | <b>13.00</b>            | <b>0.59</b>      |
| Share Price: \$46.300                                             |            |                |           |                 |                 |                                         |                         |                  |
| <b>EVERY DENNISON CORPORATION (AVY)</b>                           | 9/3/14     | 17,000         | 48.370    | 822.29          | 881.96          | 59.67 ST                                | 24.00                   | 2.72             |
| Share Price: \$51.880, Next Dividend Payable 03/2015              |            |                |           |                 |                 |                                         |                         |                  |
| <b>AVIVA PLC ADR (AV)</b>                                         |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 11/8/13    | 212,000        | 14.170    | 3,004.00        | 3,158.80        | 154.80 LT                               |                         |                  |
|                                                                   | 8/15/14    | 10,000         | 16.984    | 169.84          | 149.00          | (20.84) ST                              |                         |                  |
|                                                                   | 11/24/14   | 48,000         | 16.029    | 769.41          | 715.20          | (54.21) ST                              |                         |                  |
| <b>Total</b>                                                      |            | <b>270,000</b> |           | <b>3,943.25</b> | <b>4,023.00</b> | <b>154.80 LT</b><br><b>(75.05) ST</b>   | <b>129.00</b>           | <b>3.20</b>      |
| Share Price: \$14.900                                             |            |                |           |                 |                 |                                         |                         |                  |
| <b>AVON PRODUCTS INC (AVP)</b>                                    | 12/8/14    | 16,000         | 9.770     | 156.32          | 150.24          | (6.08) ST                               | 4.00                    | 2.66             |
| Share Price: \$9.390; Next Dividend Payable 03/2015               |            |                |           |                 |                 |                                         |                         |                  |
| <b>AXA ADS (AXAHY)</b>                                            |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 11/8/13    | 91,000         | 24.224    | 2,204.43        | 2,082.99        | (121.44) LT                             |                         |                  |
|                                                                   | 4/11/14    | 7,000          | 25.019    | 175.13          | 160.23          | (14.90) ST                              |                         |                  |
| <b>Total</b>                                                      |            | <b>98,000</b>  |           | <b>2,379.56</b> | <b>2,243.22</b> | <b>(121.44) LT</b><br><b>(14.90) ST</b> | <b>--</b>               | <b>--</b>        |
| Share Price: \$22.890                                             |            |                |           |                 |                 |                                         |                         |                  |
| <b>BAIDU INC ADS (BIDU)</b>                                       |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 10/30/13   | 1,000          | 174.930   | 174.93          | 227.97          | 53.04 LT H                              |                         |                  |
|                                                                   | 12/2/13    | 1,000          | 164.253   | 164.25          | 227.97          | 63.72 LT                                |                         |                  |
|                                                                   | 4/2/14     | 2,000          | 159.830   | 319.66          | 455.94          | 136.28 ST                               |                         |                  |
|                                                                   | 4/28/14    | 2,000          | 152.755   | 305.51          | 455.94          | 150.43 ST                               |                         |                  |
|                                                                   | 8/15/14    | 8,000          | 221.396   | 1,771.17        | 1,823.76        | 52.59 ST                                |                         |                  |
| <b>Total</b>                                                      |            | <b>14,000</b>  |           | <b>2,735.52</b> | <b>3,191.58</b> | <b>116.76 LT</b><br><b>339.30 ST</b>    | <b>--</b>               | <b>--</b>        |
| Share Price: \$227.970, Basis Adjustment Due to Wash Sale \$15.10 |            |                |           |                 |                 |                                         |                         |                  |
| <b>BALFOUR BEATTY PLC SPON ADR (BAFY)</b>                         |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 11/8/13    | 178,000        | 9.087     | 1,617.45        | 1,148.10        | (469.35) LT                             |                         |                  |
|                                                                   | 4/11/14    | 4,000          | 9.708     | 38.83           | 25.80           | (13.03) ST                              |                         |                  |
|                                                                   | 8/15/14    | 10,000         | 8.060     | 80.60           | 64.50           | (16.10) ST                              |                         |                  |

Select UMA Active Assets Account: M'ERVOLINA J ARENA, P TUFILLARO  
571-010604-127 R ARENA JESS CO-MEES UTA 6/17/07

## Account Detail

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                         | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss)    | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------------|------------|----------|-----------|------------|--------------|---------------------------|-------------------------|------------------|
| <b>Total</b>                                                 |            | 192,000  |           | 1,736.88   | 1,238.40     | (469.35) LT<br>(29.13) ST | 82.00                   | 6.62             |
| <i>Share Price: \$6.450</i>                                  |            |          |           |            |              |                           |                         |                  |
| <b>BARCLAYS PLC ADR (BCS)</b>                                |            |          |           |            |              |                           |                         |                  |
|                                                              | 11/8/13    | 224,000  | 16.315    | 3,654.67   | 3,362.24     | (292.43) LT               |                         |                  |
|                                                              | 11/20/13   | 17,000   | 16.400    | 278.80     | 255.17       | (23.63) LT                |                         |                  |
|                                                              | 2/28/14    | 40,000   | 16.997    | 679.89     | 600.40       | (79.49) ST                |                         |                  |
|                                                              | 4/11/14    | 4,000    | 15.780    | 63.12      | 60.04        | (3.08) ST                 |                         |                  |
|                                                              | 5/7/14     | 21,000   | 16.442    | 345.29     | 315.21       | (30.08) ST                |                         |                  |
|                                                              | 7/21/14    | 20,000   | 14.397    | 287.93     | 300.20       | 12.27 ST                  |                         |                  |
|                                                              | 8/15/14    | 4,000    | 14.788    | 59.15      | 60.04        | 0.89 ST                   |                         |                  |
| <b>Total</b>                                                 |            | 330,000  |           | 5,368.85   | 4,953.30     | (316.06) LT<br>(99.49) ST | 137.00                  | 2.76             |
| <i>Share Price: \$15.010</i>                                 |            |          |           |            |              |                           |                         |                  |
| <b>BARRICK GOLD CORP (ABX)</b>                               |            |          |           |            |              |                           |                         |                  |
|                                                              | 7/10/14    | 26,000   | 19.158    | 498.12     | 279.50       | (218.62) ST               |                         |                  |
|                                                              | 8/12/14    | 9,000    | 19.192    | 172.73     | 96.75        | (75.98) ST                |                         |                  |
|                                                              | 8/15/14    | 9,000    | 18.820    | 169.38     | 96.75        | (72.63) ST                |                         |                  |
| <b>Total</b>                                                 |            | 44,000   |           | 840.23     | 473.00       | (367.23) ST               | 9.00                    | 1.90             |
| <i>Share Price: \$10.750; Next Dividend Payable 03/20/15</i> |            |          |           |            |              |                           |                         |                  |
| <b>BAYER AG SPON ADR (BAYRY)</b>                             |            |          |           |            |              |                           |                         |                  |
|                                                              | 11/8/13    | 24,000   | 124.319   | 2,983.66   | 3,284.16     | 300.50 LT                 |                         |                  |
|                                                              | 8/15/14    | 1,000    | 131.750   | 131.75     | 136.84       | 5.09 ST                   |                         |                  |
| <b>Total</b>                                                 |            | 25,000   |           | 3,115.41   | 3,421.00     | 300.50 LT<br>5.09 ST      | 53.00                   | 1.54             |
| <i>Share Price: \$136.840</i>                                |            |          |           |            |              |                           |                         |                  |
| <b>BB &amp; T CORP (BBT)</b>                                 |            |          |           |            |              |                           |                         |                  |
|                                                              | 5/9/14     | 12,000   | 37.263    | 447.15     | 466.68       | 19.53 ST                  |                         |                  |
|                                                              | 8/15/14    | 10,000   | 36.500    | 365.00     | 388.90       | 23.90 ST                  |                         |                  |
| <b>Total</b>                                                 |            | 22,000   |           | 812.15     | 855.58       | 43.43 ST                  | 21.00                   | 2.45             |
| <i>Share Price: \$38.890; Next Dividend Payable 03/20/15</i> |            |          |           |            |              |                           |                         |                  |
| <b>BERKSHIRE HATHAWAY CL-B NEW (BRK'B)</b>                   |            |          |           |            |              |                           |                         |                  |
|                                                              | 5/9/14     | 32,000   | 126.234   | 4,039.49   | 4,804.80     | 765.31 ST                 |                         |                  |
|                                                              | 8/15/14    | 6,000    | 135.650   | 813.90     | 900.90       | 87.00 ST                  |                         |                  |
| <b>Total</b>                                                 |            | 38,000   |           | 4,853.39   | 5,705.70     | 852.31 ST                 | —                       | —                |
| <i>Share Price: \$150.150</i>                                |            |          |           |            |              |                           |                         |                  |
| <b>BG GROUP PLC (BRGYV)</b>                                  |            |          |           |            |              |                           |                         |                  |
|                                                              | 11/8/13    | 114,000  | 20.376    | 2,322.89   | 1,523.04     | (799.85) LT               |                         |                  |
|                                                              | 11/8/13    | 6,000    | 22.925    | 137.55     | 80.16        | (57.39) LT H              |                         |                  |
|                                                              | 1/31/14    | 8,000    | 16.941    | 135.53     | 106.88       | (28.65) ST                |                         |                  |
|                                                              | 2/21/14    | 12,000   | 18.369    | 220.43     | 160.32       | (60.11) ST                |                         |                  |
|                                                              | 2/27/14    | 18,000   | 18.122    | 326.20     | 240.48       | (85.72) ST                |                         |                  |

CLIENT STATEMENT | For the Period December 1-31, 2014

## Account Detail

Select UMA Active Assets Account  
571-070604-127

MARVOLINA, JARENA, P TUFILLARO  
RARENA, JESS CO-TIEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                   | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|----------------|-----------|-----------------|-----------------|------------------------------------------|-------------------------|------------------|
|                      | 3/5/14     | 12,000         | 18.403    | 220.83          | 160.32          | (60.51) ST                               |                         |                  |
|                      | 4/11/14    | 2,000          | 18.450    | 36.90           | 26.72           | (10.18) ST                               |                         |                  |
|                      | 8/15/14    | 3,000          | 19.620    | 58.86           | 40.08           | (18.78) ST                               |                         |                  |
|                      | 9/15/14    | 19,000         | 18.841    | 357.98          | 253.84          | (104.14) ST                              |                         |                  |
| <b>Total</b>         |            | <b>194,000</b> |           | <b>3,817.17</b> | <b>2,591.84</b> | <b>(857.24) LT</b><br><b>(368.09) ST</b> | <b>58.00</b>            | <b>2.23</b>      |

Share Price: \$13.360, Basis Adjustment Due to Wash Sale: \$24.51

## BIOGEN IDEC INC (BIIB)

|              |         |               |         |                 |                 |                                       |           |           |
|--------------|---------|---------------|---------|-----------------|-----------------|---------------------------------------|-----------|-----------|
|              | 12/4/12 | 1,000         | 152.000 | 152.00          | 339.45          | 187.45 LT                             |           |           |
|              | 1/7/13  | 4,000         | 146.455 | 585.82          | 1,357.80        | 771.98 LT                             |           |           |
|              | 1/8/13  | 1,000         | 233.120 | 233.12          | 339.45          | 106.33 LT                             |           |           |
|              | 6/23/14 | 1,000         | 313.580 | 313.58          | 339.45          | 25.87 ST                              |           |           |
|              | 7/24/14 | 1,000         | 337.900 | 337.90          | 339.45          | 1.55 ST                               |           |           |
|              | 8/15/14 | 3,000         | 339.970 | 1,019.91        | 1,018.35        | (1.56) ST                             |           |           |
|              | 8/15/14 | 1,000         | 342.200 | 342.20          | 339.45          | (2.75) ST                             |           |           |
| <b>Total</b> |         | <b>12,000</b> |         | <b>2,984.53</b> | <b>4,073.40</b> | <b>1,065.76 LT</b><br><b>23.11 ST</b> | <b>--</b> | <b>--</b> |

Share Price: \$339.450

## BLACKROCK INC (BLK)

|              |         |              |         |                 |                 |                                     |              |             |
|--------------|---------|--------------|---------|-----------------|-----------------|-------------------------------------|--------------|-------------|
|              | 5/23/12 | 1,000        | 162.242 | 162.24          | 357.56          | 195.32 LT                           |              |             |
|              | 11/9/12 | 1,000        | 186.700 | 186.70          | 357.56          | 170.86 LT                           |              |             |
|              | 6/10/13 | 1,000        | 281.720 | 281.72          | 357.56          | 75.84 LT                            |              |             |
|              | 7/10/13 | 2,000        | 264.115 | 528.23          | 715.12          | 186.89 LT                           |              |             |
|              | 8/15/14 | 2,000        | 316.460 | 632.92          | 715.12          | 82.20 ST                            |              |             |
| <b>Total</b> |         | <b>7,000</b> |         | <b>1,791.81</b> | <b>2,502.92</b> | <b>628.91 LT</b><br><b>82.20 ST</b> | <b>54.00</b> | <b>2.15</b> |

Share Price: \$357.560, Next Dividend Payable 03/2015

## BNP PARIBAS SP ADR REPSTG (BNPQV)

|              |         |                |        |                 |                 |                                          |               |             |
|--------------|---------|----------------|--------|-----------------|-----------------|------------------------------------------|---------------|-------------|
|              | 11/8/13 | 120,000        | 35.602 | 4,272.19        | 3,525.60        | (746.59) LT                              |               |             |
|              | 6/26/14 | 31,000         | 34.185 | 1,059.75        | 910.78          | (148.97) ST                              |               |             |
|              | 8/15/14 | 1,000          | 33.160 | 33.16           | 29.38           | (3.78) ST                                |               |             |
| <b>Total</b> |         | <b>152,000</b> |        | <b>5,365.10</b> | <b>4,465.76</b> | <b>(746.59) LT</b><br><b>(152.75) ST</b> | <b>109.00</b> | <b>2.44</b> |

Share Price: \$29.380

## BRITISH AMER TOB SPON ADR (BTI)

|              |         |               |         |                 |                 |                                         |               |             |
|--------------|---------|---------------|---------|-----------------|-----------------|-----------------------------------------|---------------|-------------|
|              | 11/8/13 | 54,000        | 109.750 | 5,926.51        | 5,822.28        | (104.23) LT                             |               |             |
|              | 8/15/14 | 2,000         | 118.370 | 236.74          | 215.64          | (21.10) ST                              |               |             |
| <b>Total</b> |         | <b>56,000</b> |         | <b>6,163.25</b> | <b>6,037.92</b> | <b>(104.23) LT</b><br><b>(21.10) ST</b> | <b>270.00</b> | <b>4.47</b> |

Share Price: \$107.820

CLIENT STATEMENT | For the Period December 1-31, 2014

Select UMA Active Assets Account  
 M ERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TTEES UTA 6/17/07  
 571-010604-127

## Account Detail

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                         | Trade Date | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------------|------------|----------------|-----------|-----------------|-----------------|------------------------|-------------------------|------------------|
| <b>BROCADE COMMUN SYSTEMS INC (BRCD)</b>                     |            |                |           |                 |                 |                        |                         |                  |
|                                                              | 5/9/14     | 106,000        | 8.070     | 855.46          | 1,255.04        | 399.58 ST              |                         |                  |
|                                                              | 8/15/14    | 21,000         | 9.260     | 194.46          | 248.64          | 54.18 ST               |                         |                  |
| <b>Total</b>                                                 |            | <b>127,000</b> |           | <b>1,049.92</b> | <b>1,503.68</b> | <b>453.76 ST</b>       | <b>18.00</b>            | <b>1.19</b>      |
| <b>Share Price: \$11.840, Next Dividend Payable 01/02/15</b> |            |                |           |                 |                 |                        |                         |                  |
| <b>CANADIAN NATURAL RESOURCES LTD (CNQ)</b>                  |            |                |           |                 |                 |                        |                         |                  |
|                                                              | 10/16/14   | 13,000         | 33.510    | 435.63          | 401.44          | (34.19) ST             |                         |                  |
|                                                              | 11/12/14   | 2,000          | 36.900    | 73.80           | 61.76           | (12.04) ST             |                         |                  |
|                                                              | 11/28/14   | 1,000          | 33.180    | 33.18           | 30.88           | (2.30) ST              |                         |                  |
| <b>Total</b>                                                 |            | <b>16,000</b>  |           | <b>542.61</b>   | <b>494.08</b>   | <b>(48.53) ST</b>      | <b>13.00</b>            | <b>2.63</b>      |
| <b>Share Price: \$30.880, Next Dividend Payable 01/01/15</b> |            |                |           |                 |                 |                        |                         |                  |
| <b>CAPITAL ONE FINANCIAL CORP (COF)</b>                      |            |                |           |                 |                 |                        |                         |                  |
|                                                              | 9/5/12     | 19,000         | 56.000    | 1,063.99        | 1,568.45        | 504.46 LT              |                         |                  |
|                                                              | 4/11/14    | 4,000          | 73.893    | 295.57          | 330.20          | 34.63 ST               |                         |                  |
|                                                              | 5/9/14     | 13,000         | 76.263    | 991.42          | 1,073.15        | 81.73 ST               |                         |                  |
|                                                              | 8/12/14    | 5,000          | 79.186    | 395.93          | 412.75          | 16.82 ST               |                         |                  |
|                                                              | 8/15/14    | 12,000         | 80.200    | 962.40          | 990.60          | 28.20 ST               |                         |                  |
|                                                              | 10/17/14   | 10,000         | 76.234    | 762.34          | 825.50          | 63.16 ST               |                         |                  |
| <b>Total</b>                                                 |            | <b>63,000</b>  |           | <b>4,471.65</b> | <b>5,200.65</b> | <b>504.46 LT</b>       | <b>76.00</b>            | <b>1.46</b>      |
| <b>Share Price: \$82.550, Next Dividend Payable 02/20/15</b> |            |                |           |                 |                 |                        |                         |                  |
| <b>CARNIVAL PLC (CUK)</b>                                    |            |                |           |                 |                 |                        |                         |                  |
|                                                              | 11/8/13    | 62,000         | 37.287    | 2,311.78        | 2,789.38        | 477.60 LT              |                         |                  |
|                                                              | 7/2/14     | 19,000         | 37.994    | 721.89          | 854.81          | 132.92 ST              |                         |                  |
|                                                              | 10/15/14   | 9,000          | 34.827    | 313.44          | 404.91          | 91.47 ST               |                         |                  |
| <b>Total</b>                                                 |            | <b>90,000</b>  |           | <b>3,347.11</b> | <b>4,049.10</b> | <b>477.60 LT</b>       | <b>90.00</b>            | <b>2.22</b>      |
| <b>Share Price: \$44.990, Next Dividend Payable 03/20/15</b> |            |                |           |                 |                 |                        |                         |                  |
| <b>CELGENE CORP (CELG)</b>                                   |            |                |           |                 |                 |                        |                         |                  |
|                                                              | 11/9/12    | 14,000         | 35.716    | 500.03          | 1,566.04        | 1,066.01 LT            |                         |                  |
|                                                              | 11/8/13    | 4,000          | 73.170    | 292.68          | 447.44          | 154.76 LT              |                         |                  |
|                                                              | 11/11/13   | 4,000          | 74.605    | 298.42          | 447.44          | 149.02 LT              |                         |                  |
|                                                              | 11/20/13   | 6,000          | 77.793    | 466.76          | 671.16          | 204.40 LT              |                         |                  |
|                                                              | 8/15/14    | 17,000         | 89.884    | 1,528.03        | 1,901.62        | 373.59 ST              |                         |                  |
| <b>Total</b>                                                 |            | <b>45,000</b>  |           | <b>3,085.92</b> | <b>5,033.70</b> | <b>1,574.19 LT</b>     | <b>—</b>                | <b>—</b>         |
| <b>Share Price: \$111.860</b>                                |            |                |           |                 |                 |                        |                         |                  |
| <b>CERNER CORP (CERN)</b>                                    |            |                |           |                 |                 |                        |                         |                  |
|                                                              | 8/16/12    | 7,000          | 36.750    | 257.25          | 452.62          | 195.37 LT              |                         |                  |
|                                                              | 8/17/12    | 2,000          | 36.330    | 72.66           | 129.32          | 56.66 LT               |                         |                  |
|                                                              | 11/9/12    | 8,000          | 38.875    | 311.00          | 517.28          | 206.28 LT              |                         |                  |
|                                                              | 11/8/13    | 5,000          | 56.246    | 281.23          | 323.30          | 42.07 LT               |                         |                  |
|                                                              | 11/27/13   | 2,000          | 57.455    | 114.91          | 129.32          | 14.41 LT               |                         |                  |

## Account Detail

Select UMA Active Assets Account  
M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
571-010604-127

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                                                             | Trade Date | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss)   | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------------------------------------------------|------------|----------|-----------|------------|-----------------|--------------------------|-------------------------|------------------|
|                                                                                                  | 8/15/14    | 10,000   | 56.187    | 561.87     | 646.60          | 84.73 ST                 |                         |                  |
| <b>Total</b>                                                                                     |            | 34,000   |           | 1,598.92   | <b>2,198.44</b> | 514.79 LT<br>84.73 ST    |                         |                  |
| Share Price: \$64.660                                                                            |            |          |           |            |                 |                          |                         |                  |
| CHINA MOBILE LTD (CHL)                                                                           | 8/13/14    | 28,000   | 56.298    | 1,576.33   | 1,646.96        | 70.63 ST                 |                         |                  |
|                                                                                                  | 8/14/14    | 35,000   | 58.399    | 2,043.96   | 2,058.70        | 14.74 ST                 |                         |                  |
|                                                                                                  | 8/15/14    | 1,000    | 59.930    | 59.93      | 58.82           | (1.11) ST                |                         |                  |
| <b>Total</b>                                                                                     |            | 64,000   |           | 3,680.22   | <b>3,764.48</b> | 84.26 ST                 | 117.00                  | 3.10             |
| Share Price: \$58.820                                                                            |            |          |           |            |                 |                          |                         |                  |
| CHIPOTLE MEXICAN GRILL INC COM (CMG)                                                             | 6/17/14    | 3,000    | 593.660   | 1,780.98   | 2,053.53        | 272.55 ST                |                         |                  |
|                                                                                                  | 8/15/14    | 1,000    | 682.480   | 682.48     | 684.51          | 2.03 ST                  |                         |                  |
| <b>Total</b>                                                                                     |            | 4,000    |           | 2,463.46   | <b>2,738.04</b> | 274.58 ST                |                         |                  |
| Share Price: \$684.510                                                                           |            |          |           |            |                 |                          |                         |                  |
| CISCO SYS INC (CSCO)                                                                             | 4/13/12    | 11,000   | 19.950    | 219.46     | 305.96          | 86.50 LT                 |                         |                  |
|                                                                                                  | 4/13/12    | 25,000   | 18.208    | 455.20     | 695.37          | 240.17 LT H              |                         |                  |
|                                                                                                  | 9/13/13    | 31,000   | 24.358    | 755.11     | 862.26          | 107.15 LT                |                         |                  |
|                                                                                                  | 4/11/14    | 10,000   | 22.846    | 228.46     | 278.15          | 49.69 ST                 |                         |                  |
|                                                                                                  | 5/9/14     | 28,000   | 22.971    | 643.18     | 778.82          | 135.64 ST                |                         |                  |
|                                                                                                  | 8/15/14    | 29,000   | 24.660    | 715.14     | 806.63          | 91.49 ST                 |                         |                  |
| <b>Total</b>                                                                                     |            | 134,000  |           | 3,016.55   | <b>3,727.21</b> | 433.82 LT<br>276.82 ST   | 102.00                  | 2.73             |
| Share Price: \$27.815, Next Dividend Payable 01/20/15, Basis Adjustment Due to Wash Sale \$37.70 |            |          |           |            |                 |                          |                         |                  |
| CITIGROUP INC NEW (C)                                                                            | 10/21/11   | 6,000    | 28.152    | 168.91     | 324.66          | 155.75 LT H              |                         |                  |
|                                                                                                  | 4/13/12    | 48,000   | 34.620    | 1,661.76   | 2,597.28        | 935.52 LT                |                         |                  |
|                                                                                                  | 3/8/13     | 4,000    | 45.900    | 183.60     | 216.44          | 32.84 LT                 |                         |                  |
|                                                                                                  | 3/11/13    | 5,000    | 47.700    | 238.50     | 270.55          | 32.05 LT                 |                         |                  |
|                                                                                                  | 8/15/14    | 21,000   | 49.300    | 1,035.30   | 1,136.31        | 101.01 ST                |                         |                  |
|                                                                                                  | 9/22/14    | 8,000    | 53.449    | 427.59     | 432.88          | 5.29 ST                  |                         |                  |
|                                                                                                  | 10/1/14    | 8,000    | 51.010    | 408.08     | 432.88          | 24.80 ST                 |                         |                  |
|                                                                                                  | 10/15/14   | 16,000   | 49.144    | 786.30     | 865.76          | 79.46 ST                 |                         |                  |
| <b>Total</b>                                                                                     |            | 116,000  |           | 4,910.04   | <b>6,276.76</b> | 1,156.16 LT<br>210.56 ST | 5.00                    | 0.07             |
| Share Price: \$54.110, Next Dividend Payable 02/20/15, Basis Adjustment Due to Wash Sale \$4.56  |            |          |           |            |                 |                          |                         |                  |
| CLARIANT AG MUTTENZ UNSPON ADR (CLZNY)                                                           | 1/6/14     | 22,000   | 18.219    | 400.83     | 367.44          | (33.39) ST               |                         |                  |
|                                                                                                  | 1/24/14    | 24,000   | 18.785    | 450.85     | 400.85          | (50.00) ST               |                         |                  |
|                                                                                                  | 3/21/14    | 24,000   | 19.829    | 475.90     | 400.85          | (75.05) ST               |                         |                  |

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
MERVOLINA, J ARENA, P TUFI LLARO  
R ARENA, J ESS CO-ITIEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                                | Trade Date   | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                  | Estimated Annual Income | Dividend Yield % |
|---------------------------------------------------------------------|--------------|----------------|-----------|-----------------|-----------------|-----------------------------------------|-------------------------|------------------|
|                                                                     | 4/11/14      | 6,000          | 19.800    | 118.80          | 100.21          | (18.59) ST                              |                         |                  |
|                                                                     | 5/2/14       | 34,000         | 20.137    | 684.66          | 567.87          | (116.79) ST                             |                         |                  |
|                                                                     | 8/15/14      | 7,000          | 18.350    | 128.45          | 116.91          | (11.54) ST                              |                         |                  |
|                                                                     | <b>Total</b> | <b>117,000</b> |           | <b>2,259.49</b> | <b>1,954.13</b> | <b>(305.36) ST</b>                      | <b>—</b>                | <b>—</b>         |
| Share Price: \$16.702                                               |              |                |           |                 |                 |                                         |                         |                  |
| CNOOC LTD ADS (CEO)                                                 | 11/8/13      | 10,000         | 198.532   | 1,985.32        | 1,354.40        | (630.92) LT                             |                         |                  |
|                                                                     | 11/8/13      | 2,000          | 227.265   | 454.53          | 270.88          | (183.65) LT H                           |                         |                  |
|                                                                     | 11/30/13     | 1,000          | 190.390   | 190.39          | 135.44          | (54.95) LT H                            |                         |                  |
|                                                                     | 11/5/14      | 5,000          | 153.043   | 765.22          | 677.20          | (88.02) ST                              |                         |                  |
|                                                                     | <b>Total</b> | <b>18,000</b>  |           | <b>3,395.46</b> | <b>2,437.92</b> | <b>(869.52) LT</b><br><b>(88.02) ST</b> | <b>119.00</b>           | <b>4.88</b>      |
| Share Price: \$135.440, Basis Adjustment Due to Wash Sale: \$137.46 |              |                |           |                 |                 |                                         |                         |                  |
| COACH INC (COH)                                                     | 12/18/14     | 4,000          | 36.285    | 145.14          | 150.24          | 5.10 ST                                 | 5.00                    | 3.32             |
|                                                                     | <b>Total</b> |                |           |                 |                 |                                         |                         |                  |
| Share Price: \$37.560; Next Dividend Payable 03/2015                |              |                |           |                 |                 |                                         |                         |                  |
| COGNIZANT TECH SOLUTIONS CL A (CTSH)                                | 5/10/13      | 1,000          | 32.388    | 32.39           | 52.66           | 20.27 LT                                |                         |                  |
|                                                                     | 7/10/13      | 8,000          | 34.760    | 278.08          | 421.28          | 143.20 LT                               |                         |                  |
|                                                                     | 7/11/13      | 10,000         | 35.209    | 352.09          | 526.60          | 174.51 LT                               |                         |                  |
|                                                                     | 8/15/14      | 17,000         | 45.188    | 768.20          | 895.22          | 127.02 ST                               |                         |                  |
|                                                                     | 11/10/14     | 6,000          | 53.995    | 323.97          | 315.96          | (8.01) ST                               |                         |                  |
|                                                                     | <b>Total</b> | <b>42,000</b>  |           | <b>1,754.73</b> | <b>2,211.72</b> | <b>337.98 LT</b><br><b>119.01 ST</b>    | <b>—</b>                | <b>—</b>         |
| Share Price: \$52.660                                               |              |                |           |                 |                 |                                         |                         |                  |
| COMCAST CORP (NEW) CLASS A (CMCSA)                                  | 5/9/14       | 2,000          | 50.821    | 101.64          | 116.02          | 14.38 ST                                |                         |                  |
|                                                                     | 5/9/14       | 27,000         | 50.821    | 1,372.16        | 1,566.27        | 194.11 ST                               |                         |                  |
|                                                                     | 5/9/14       | 1,000          | 50.821    | 50.82           | 58.01           | 7.19 ST                                 |                         |                  |
|                                                                     | 8/15/14      | 10,000         | 54.789    | 547.89          | 580.10          | 32.21 ST                                |                         |                  |
|                                                                     | 9/9/14       | 12,000         | 56.057    | 672.68          | 696.12          | 23.44 ST                                |                         |                  |
|                                                                     | 9/9/14       | 5,000          | 56.608    | 283.04          | 290.05          | 7.01 ST                                 |                         |                  |
|                                                                     | 9/9/14       | 1,000          | 60.730    | 60.73           | 58.01           | (2.72) ST H                             |                         |                  |
|                                                                     | 9/10/14      | 6,000          | 56.808    | 340.85          | 348.06          | 7.21 ST                                 |                         |                  |
|                                                                     | 9/11/14      | 7,000          | 56.981    | 398.87          | 406.07          | 7.20 ST                                 |                         |                  |
|                                                                     | 9/22/14      | 8,000          | 55.738    | 445.90          | 464.08          | 18.18 ST                                |                         |                  |
|                                                                     | 9/22/14      | 3,000          | 55.737    | 167.21          | 174.03          | 6.82 ST                                 |                         |                  |
|                                                                     | 10/10/14     | 6,000          | 53.357    | 320.14          | 348.06          | 27.92 ST                                |                         |                  |
|                                                                     | 10/10/14     | 1,000          | 53.360    | 53.36           | 58.01           | 4.65 ST                                 |                         |                  |
|                                                                     | 11/12/14     | 3,000          | 53.640    | 160.92          | 174.03          | 13.11 ST                                |                         |                  |

## Account Detail

MIERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS GO-ITTEES UTA 6/17/07

| Security Description                                                                                                              | Trade Date   | Quantity | Unit Cost | Total Cost | Market Value    | Gain/(Loss)     | Annual Income          | Yield % |
|-----------------------------------------------------------------------------------------------------------------------------------|--------------|----------|-----------|------------|-----------------|-----------------|------------------------|---------|
| COSTAR GROUP INC (CSGP)<br><i>Share Price: \$58.010; Next Dividend Payable 01/2015, Basis Adjustment Due to Wash Sale: \$4.99</i> | 11/12/14     | 3,000    | 53.640    | 160.92     | 174.03          | 13.11 ST        |                        |         |
|                                                                                                                                   | <b>Total</b> | 95,000   |           | 5,137.13   | <b>5,510.95</b> | 373.82 ST       | 86.00                  | 1.56    |
|                                                                                                                                   | 6/6/14       | 1,000    | 168.513   | 168.51     | 183.63          | 15.12 ST        |                        |         |
|                                                                                                                                   | 6/6/14       | 2,000    | 187.615   | 375.23     | 367.26          | (7.97) ST H     |                        |         |
|                                                                                                                                   | 8/15/14      | 1,000    | 145.240   | 145.24     | 183.63          | 38.39 ST        |                        |         |
|                                                                                                                                   | 9/11/14      | 4,000    | 151.493   | 605.97     | 734.52          | 128.55 ST       |                        |         |
|                                                                                                                                   | 9/26/14      | 1,000    | 158.333   | 158.33     | 183.63          | 25.30 ST        |                        |         |
| <b>Total</b>                                                                                                                      |              | 9,000    |           | 1,453.28   | <b>1,652.67</b> | 199.39 ST       | —                      | —       |
| COSTCO WHOLESALE CORP NEW (COST)<br><i>Share Price: \$183.630, Basis Adjustment Due to Wash Sale: \$58.56</i>                     | 4/15/11      | 5,000    | 76.510    | 382.55     | 708.75          | 326.20 LT       |                        |         |
|                                                                                                                                   | 1/19/12      | 3,000    | 94.510    | 283.53     | 425.25          | 141.72 LT       |                        |         |
|                                                                                                                                   | 3/25/14      | 3,000    | 114.153   | 342.46     | 425.25          | 82.79 ST        |                        |         |
|                                                                                                                                   | 8/15/14      | 4,000    | 119.908   | 479.63     | 567.00          | 87.37 ST        |                        |         |
|                                                                                                                                   | <b>Total</b> |          | 15,000    |            | 1,488.17        | <b>2,126.25</b> | 467.92 LT<br>170.16 ST | 21.00   |
| COVIDIEN PLC (COV)<br><i>Share Price: \$141.750; Next Dividend Payable 02/2015</i>                                                | 5/9/14       | 12,000   | 71.422    | 857.06     | 1,227.36        | 370.30 ST       |                        |         |
|                                                                                                                                   | 8/15/14      | 1,000    | 87.320    | 87.32      | 102.28          | 14.96 ST        |                        |         |
|                                                                                                                                   | <b>Total</b> | 13,000   |           | 944.38     | <b>1,329.64</b> | 385.26 ST       | 19.00                  | 1.42    |
| CRANE CO (CR)<br><i>Share Price: \$102.280, Next Dividend Payable 01/16/15</i>                                                    | 5/9/14       | 11,000   | 73.070    | 803.77     | 645.70          | (158.07) ST     |                        |         |
|                                                                                                                                   | 8/15/14      | 5,000    | 70.930    | 354.65     | 293.50          | (61.15) ST      |                        |         |
|                                                                                                                                   | <b>Total</b> | 16,000   |           | 1,158.42   | <b>939.20</b>   | (219.22) ST     | 21.00                  | 2.23    |
| CREDIT SUISSE GROUP (CS)<br><i>Share Price: \$58.700; Next Dividend Payable 03/2015</i>                                           | 3/26/14      | 74,000   | 31.222    | 2,310.39   | 1,855.92        | (454.47) ST     |                        |         |
|                                                                                                                                   | 7/21/14      | 10,000   | 28.973    | 289.73     | 250.80          | (38.93) ST      |                        |         |
|                                                                                                                                   | 8/15/14      | 4,000    | 28.020    | 112.08     | 100.32          | (11.76) ST      |                        |         |
|                                                                                                                                   | 8/26/14      | 4,000    | 28.925    | 115.70     | 100.32          | (15.38) ST      |                        |         |
|                                                                                                                                   | <b>Total</b> | 92,000   |           | 2,827.90   | <b>2,307.36</b> | (520.54) ST     | 72.00                  | 3.12    |
| CROWN HLDGS INC (HOLDING CO) (CCK)<br><i>Share Price: \$25.080</i>                                                                | 5/9/14       | 21,000   | 48.293    | 1,014.14   | 1,068.90        | 54.76 ST        |                        |         |
|                                                                                                                                   | 8/15/14      | 4,000    | 46.330    | 185.32     | 203.60          | 18.28 ST        |                        |         |
|                                                                                                                                   | <b>Total</b> | 25,000   |           | 1,199.46   | <b>1,272.50</b> | 73.04 ST        | —                      | —       |
| <i>Share Price: \$50.900</i>                                                                                                      |              |          |           |            |                 |                 |                        |         |



## Account Detail

Select UMA Active Assets Account  
571-010604-127MERVOLINA J ARENA P TUFILVARO  
R ARENA JESSICO TIEES UTA 6/7/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                    | Trade Date | Quantity      | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                 | Estimated Annual Income | Dividend Yield % |
|-----------------------------------------|------------|---------------|-----------|-----------------|-----------------|----------------------------------------|-------------------------|------------------|
| <b>CVS HEALTH CORP COM (CVS)</b>        |            |               |           |                 |                 |                                        |                         |                  |
|                                         | 3/5/12     | 6,000         | 45.254    | 271.53          | 577.86          | 306.33 LT                              |                         |                  |
|                                         | 3/5/12     | 1,000         | 45.254    | 45.25           | 96.31           | 51.06 LT                               |                         |                  |
|                                         | 3/9/12     | 4,000         | 45.438    | 181.75          | 385.24          | 203.49 LT                              |                         |                  |
|                                         | 4/13/12    | 13,000        | 43.510    | 565.63          | 1,252.03        | 686.40 LT                              |                         |                  |
|                                         | 5/8/12     | 16,000        | 44.866    | 717.86          | 1,540.96        | 823.10 LT                              |                         |                  |
|                                         | 5/8/12     | 1,000         | 44.866    | 44.87           | 96.31           | 51.44 LT                               |                         |                  |
|                                         | 5/8/12     | 1,000         | 44.866    | 44.87           | 96.31           | 51.44 LT                               |                         |                  |
|                                         | 11/9/12    | 5,000         | 46.230    | 231.15          | 481.55          | 250.40 LT                              |                         |                  |
|                                         | 8/15/14    | 10,000        | 79.300    | 793.00          | 963.10          | 170.10 ST                              |                         |                  |
|                                         | 8/15/14    | 9,000         | 79.300    | 713.70          | 866.79          | 153.09 ST                              |                         |                  |
| <b>Total</b>                            |            | <b>66,000</b> |           | <b>3,609.61</b> | <b>6,356.46</b> | <b>2,423.66 LT</b><br><b>323.19 ST</b> | <b>92.00</b>            | <b>1.45</b>      |
| <b>DAIMLER AG SPONSORED ADR (DDAIV)</b> |            |               |           |                 |                 |                                        |                         |                  |
|                                         | 11/8/13    | 34,000        | 79.474    | 2,702.12        | 2,814.86        | 112.74 LT                              | 77.00                   | 2.73             |
| <b>DANAHER CORPORATION (DHR)</b>        |            |               |           |                 |                 |                                        |                         |                  |
|                                         | 11/9/12    | 5,000         | 51.911    | 259.55          | 428.55          | 169.00 LT                              |                         |                  |
|                                         | 11/8/13    | 6,000         | 73.563    | 441.38          | 514.26          | 72.88 LT                               |                         |                  |
|                                         | 8/15/14    | 13,000        | 75.361    | 979.69          | 1,114.23        | 134.54 ST                              |                         |                  |
| <b>Total</b>                            |            | <b>24,000</b> |           | <b>1,680.62</b> | <b>2,057.04</b> | <b>241.88 LT</b><br><b>134.54 ST</b>   | <b>10.00</b>            | <b>0.48</b>      |
| <b>DELTA AIR LINES INC NEW (DAL)</b>    |            |               |           |                 |                 |                                        |                         |                  |
|                                         | 1/23/13    | 1,000         | 13.859    | 13.86           | 49.19           | 35.33 LT                               |                         |                  |
|                                         | 4/15/13    | 12,000        | 15.299    | 183.59          | 590.28          | 406.69 LT                              |                         |                  |
|                                         | 7/29/13    | 13,000        | 21.492    | 279.39          | 639.47          | 360.08 LT                              |                         |                  |
|                                         | 11/8/13    | 1,000         | 26.630    | 26.63           | 49.19           | 22.56 LT                               |                         |                  |
|                                         | 6/11/14    | 6,000         | 40.727    | 244.36          | 295.14          | 50.78 ST                               |                         |                  |
|                                         | 6/13/14    | 1,000         | 39.166    | 39.17           | 49.19           | 10.02 ST                               |                         |                  |
|                                         | 6/13/14    | 2,000         | 39.166    | 78.33           | 98.38           | 20.05 ST                               |                         |                  |
|                                         | 6/13/14    | 13,000        | 39.166    | 509.16          | 639.47          | 130.31 ST                              |                         |                  |
|                                         | 7/2/14     | 5,000         | 38.190    | 190.95          | 245.95          | 55.00 ST                               |                         |                  |
|                                         | 7/11/14    | 5,000         | 37.316    | 186.58          | 245.95          | 59.37 ST                               |                         |                  |
|                                         | 8/15/14    | 6,000         | 38.538    | 231.23          | 295.14          | 63.91 ST                               |                         |                  |
|                                         | 8/15/14    | 19,000        | 38.538    | 732.23          | 934.61          | 202.38 ST                              |                         |                  |
|                                         | 10/7/14    | 5,000         | 35.676    | 178.38          | 245.95          | 67.57 ST                               |                         |                  |
|                                         | 10/7/14    | 9,000         | 35.677    | 321.09          | 442.71          | 121.62 ST                              |                         |                  |
|                                         | 10/14/14   | 7,000         | 32.620    | 228.34          | 344.33          | 115.99 ST                              |                         |                  |

Share Price: \$96.310, Next Dividend Payable 02/2015

DAIMLER AG SPONSORED ADR (DDAIV)

Share Price: \$82.790

DANAHER CORPORATION (DHR)

Share Price: \$85.710, Next Dividend Payable 01/30/15

DELTA AIR LINES INC NEW (DAL)

## Account Detail

Select UMA Active Assets Account  
571-010604-127

## STOCKS

**COMMON STOCKS (CONTINUED)**

| Security Description | Trade Date | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)   | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|----------------|-----------|-----------------|-----------------|--------------------------|-------------------------|------------------|
|                      | 10/14/14   | 11 000         | 32.620    | 358.82          | 541.09          | 182.27 ST                |                         |                  |
| <b>Total</b>         |            | <b>116.000</b> |           | <b>3,802.11</b> | <b>5,706.04</b> | 824.66 LT<br>1,079.27 ST | <b>42.00</b>            | <b>0.74</b>      |

Share Price: \$49.190; Next Dividend Payable 03/2015

| DISCOVER FINCL SVCS (DFS) | 5/9/14  | 22,000        | 57,289 | 1,260.36        | 1,440.78        | 180.42 ST        |
|---------------------------|---------|---------------|--------|-----------------|-----------------|------------------|
|                           | 8/15/14 | 4,000         | 60,960 | 243.84          | 261.96          | 18.12 ST         |
|                           | 8/26/14 | 5,000         | 62,694 | 313.47          | 327.45          | 13.98 ST         |
| <b>Total</b>              |         | <b>31,000</b> |        | <b>1,817.67</b> | <b>2,030.19</b> | <b>212.52 ST</b> |
|                           |         |               |        |                 | <b>30.00</b>    | <b>1.47</b>      |

Share Price \$65 490, Next Dividend Payable 02/2015

| Shareholder                            | Date of Acquisition | Number of Shares Acquired | Cost Basis (\$) | Fair Market Value (\$) | Unrealized Gain/Loss (\$) |
|----------------------------------------|---------------------|---------------------------|-----------------|------------------------|---------------------------|
| Discovery Communications Ser A (DISCA) | 12/8/14             | 4,000                     | 33,948          | 135.79                 | 137.80                    |
|                                        |                     |                           |                 |                        | 2.01 ST                   |

Share Price: \$34 450

| DOLLAR GEN CORP NEW COM (DG) |               |        |                 |                 |                  |  |
|------------------------------|---------------|--------|-----------------|-----------------|------------------|--|
| 11/17/14                     | 5 000         | 65 164 | 325.82          | 353.50          | 27.68 ST         |  |
| 11/19/14                     | 10 000        | 65 808 | 658.08          | 707.00          | 48.92 ST         |  |
| 11/20/14                     | 12 000        | 66.826 | 801.91          | 848.40          | 46.49 ST         |  |
| 12/17/14                     | 1 000         | 70 310 | 70.31           | 70.70           | 0.39 ST          |  |
| <b>Total</b>                 | <b>28 000</b> |        | <b>1,856.12</b> | <b>1,979.60</b> | <b>123.48 ST</b> |  |

Share Price: \$70 700

| DOVER CORP (DOV) |              |        |               |               |                   |
|------------------|--------------|--------|---------------|---------------|-------------------|
| 5/9/14           | 4,000        | 85.150 | 340.60        | 286.88        | (53.72) ST        |
| 8/15/14          | 1,000        | 88.200 | 88.20         | 71.72         | (16.48) ST        |
| <b>Total</b>     | <b>5,000</b> |        | <b>428.80</b> | <b>358.60</b> | <b>(70.20) ST</b> |
|                  |              |        |               | <b>8.00</b>   | <b>2.23</b>       |

Share Price \$71 720, Next Dividend Payable 03/2015

| EAST JAPAN RY CO ADR (EJPRY) |          |         |        |          |                 |             |
|------------------------------|----------|---------|--------|----------|-----------------|-------------|
|                              | 9/24/14  | 173,000 | 12,530 | 2,167.64 | 2,181.53        | 13.89 ST    |
|                              | 10/15/14 | 5,000   | 13,432 | 67.16    | 63.05           | (4.11) ST H |
|                              | 11/4/14  | 82,000  | 13,037 | 1,069.05 | 1,034.02        | (35.03) ST  |
| <b>Total</b>                 |          | 260,000 |        | 3,303.85 | <b>3,278.60</b> | (25.25) ST  |
|                              |          |         |        |          | 34.00           | 1.03        |

Share Price \$12.610: Basis Adjustment Due to Wash Sale \$1.97

|                 |                                |        |           |
|-----------------|--------------------------------|--------|-----------|
| SUBJECT OFFICE  | 9712 OTB; Discharge/Assignment |        |           |
| ERAB INC (ERAV) | 12/16/14                       | 16 000 | 56.439    |
|                 |                                | 903 02 | 897.92    |
|                 |                                |        | (5.10) ST |

EBAY INC (EBAY)  
 Close Price: \$56.120

| ECOLAB INC (ECL)     |          |               |         |                 |                 |                  |
|----------------------|----------|---------------|---------|-----------------|-----------------|------------------|
| Share Price \$56.120 |          |               |         |                 |                 |                  |
|                      | 12/29/11 | 7,000         | 57,560  | 402.92          | 731.64          | 328 72 LT        |
|                      | 11/9/12  | 5,000         | 69,992  | 349.96          | 522.60          | 172.64 LT        |
|                      | 12/16/13 | 1,000         | 102,470 | 102.47          | 104.52          | 2 05 LT          |
|                      | 8/15/14  | 6,000         | 112,000 | 672.00          | 627.12          | (44.88) ST       |
| <b>Total</b>         |          | <b>19,000</b> |         | <b>1,527.35</b> | <b>1,985.88</b> | <b>503.41 LT</b> |
|                      |          |               |         |                 | 25.00           | 1.25             |

Share Price: \$104.520. Next Dividend Payable 01/15/15

## Account Detail

22

## COMMON STOCKS (CONTINUED)

| COMMON STOCKS (CONTINUED)                             |              |          |           |            |                 |                        |
|-------------------------------------------------------|--------------|----------|-----------|------------|-----------------|------------------------|
| Security Description                                  | Trade Date   | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss) |
| EMC CORP MASS (EMC)                                   | 5/9/14       | 69,000   | 25.199    | 1,738.71   | 2,052.06        | 313.35 ST              |
|                                                       | 6/10/14      | 10,000   | 26.642    | 266.42     | 297.40          | 30.98 ST               |
|                                                       | 8/15/14      | 14,000   | 29.799    | 417.19     | 416.36          | (0.83) ST              |
|                                                       | 11/17/14     | 4,000    | 30.365    | 121.46     | 118.96          | (2.50) ST              |
|                                                       | <b>Total</b> | 97,000   |           | 2,543.78   | <b>2,884.78</b> | 341.00 ST              |
| Share Price: \$29.740, Next Dividend Payable 01/23/15 |              |          |           |            |                 |                        |
| EMERSON ELECTRIC CO (EMR)                             | 7/7/14       | 10,000   | 67.658    | 676.58     | 617.30          | (59.28) ST             |
|                                                       | 8/15/14      | 3,000    | 63.260    | 189.78     | 185.19          | (4.59) ST              |
|                                                       | <b>Total</b> | 13,000   |           | 866.36     | <b>802.49</b>   | (63.87) ST             |
| Share Price: \$61.730; Next Dividend Payable 03/20/15 |              |          |           |            |                 |                        |
| ENERGEN CORP (EGN)                                    | 5/9/14       | 8,000    | 81.801    | 654.41     | 510.08          | (144.33) ST            |
|                                                       | 8/15/14      | 3,000    | 76.110    | 228.33     | 191.28          | (37.05) ST             |
|                                                       | 10/23/14     | 3,000    | 61.977    | 185.93     | 191.28          | 5.35 ST                |
|                                                       | <b>Total</b> | 14,000   |           | 1,068.67   | <b>892.64</b>   | (176.03) ST            |
| Share Price: \$63.760, Next Dividend Payable 03/20/15 |              |          |           |            |                 |                        |
| ENVISION HEALTHCARE HLDGS INC (EVHC)                  | 11/19/14     | 18,000   | 34.128    | 614.30     | 624.42          | 10.12 ST               |
|                                                       | 11/20/14     | 5,000    | 34.886    | 174.43     | 173.45          | (0.98) ST              |
|                                                       | 11/21/14     | 9,000    | 34.980    | 314.82     | 312.21          | (2.61) ST              |
|                                                       | 12/16/14     | 14,000   | 34.066    | 476.92     | 485.66          | 8.74 ST                |
|                                                       | <b>Total</b> | 46,000   |           | 1,580.47   | <b>1,595.74</b> | 15.27 ST               |
| Share Price: \$34.690                                 |              |          |           |            |                 |                        |
| EOG RESOURCES INC (EOG)                               | 5/9/14       | 11,000   | 101.555   | 1,117.10   | 1,012.77        | (104.33) ST            |
|                                                       | 8/15/14      | 2,000    | 104.110   | 208.22     | 184.14          | (24.08) ST             |
|                                                       | 10/16/14     | 2,000    | 90.475    | 180.95     | 184.14          | 3.19 ST                |
|                                                       | <b>Total</b> | 15,000   |           | 1,506.27   | <b>1,381.05</b> | (125.22) ST            |
| Share Price: \$92.070, Next Dividend Payable 01/20/15 |              |          |           |            |                 |                        |
| EQT CORPORATION COM NEW (EQT)                         | 5/9/14       | 9,000    | 106.116   | 955.04     | 681.30          | (273.74) ST            |
|                                                       | 6/20/14      | 5,000    | 108.440   | 542.20     | 378.50          | (163.70) ST            |
|                                                       | 8/15/14      | 5,000    | 94.360    | 471.80     | 378.50          | (93.30) ST             |
|                                                       | <b>Total</b> | 19,000   |           | 1,969.04   | <b>1,438.30</b> | (530.74) ST            |
| Share Price: \$75.700, Next Dividend Payable 03/20/15 |              |          |           |            |                 |                        |
| EXPRESS SCRIPTS HLDG CO COM (ESRX)                    | 5/9/14       | 25,000   | 67.042    | 1,676.05   | 2,116.75        | 440.70 ST              |
|                                                       | 8/15/14      | 2,000    | 72.885    | 145.77     | 169.34          | 23.57 ST               |
|                                                       | <b>Total</b> | 27,000   |           | 1,821.82   | <b>2,286.09</b> | 464.27 ST              |
| Share Price: \$84.670                                 |              |          |           |            |                 |                        |

Select UMA Active Assats Account  
571-010604-127

## COMMON STOCKS (CONTINUED)

| COMMON STOCKS (CONTINUED)                                                                        |                                                      |          |           |            |                 |                        |
|--------------------------------------------------------------------------------------------------|------------------------------------------------------|----------|-----------|------------|-----------------|------------------------|
| Security Description                                                                             | Trade Date                                           | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss) |
| EXXON MOBIL CORP (XOM)                                                                           | 5/9/14                                               | 1,000    | 104.550   | 104.55     | 92.45           | (12.10) ST H           |
|                                                                                                  | 8/15/14                                              | 12,000   | 99.310    | 1,191.72   | 1,109.40        | (82.32) ST             |
|                                                                                                  | <b>Total</b>                                         | 13,000   |           | 1,296.27   | <b>1,201.85</b> | (94.42) ST             |
| Share Price: \$92.450, Next Dividend Payable 03/2015, Basis Adjustment Due to Wash Sale \$5.24   |                                                      |          |           |            |                 |                        |
| FACEBOOK INC CL-A (FB)                                                                           | 7/25/13                                              | 19,000   | 33.327    | 633.22     | 1,482.38        | 849.16 LT              |
|                                                                                                  | 11/8/13                                              | 3,000    | 48.573    | 145.72     | 234.06          | 88.34 LT               |
|                                                                                                  | 4/24/14                                              | 9,000    | 62.378    | 561.40     | 702.18          | 140.78 ST              |
|                                                                                                  | 8/15/14                                              | 23,000   | 74.450    | 1,712.36   | 1,794.46        | 82.10 ST               |
|                                                                                                  | <b>Total</b>                                         | 54,000   |           | 3,052.70   | <b>4,213.08</b> | 937.50 LT<br>222.88 ST |
| Share Price \$78.020                                                                             |                                                      |          |           |            |                 |                        |
| FIDELITY NATL INFORMATION SE (FIS)                                                               | 5/9/14                                               | 9,000    | 53.556    | 482.00     | 559.80          | 77.80 ST               |
|                                                                                                  | 8/15/14                                              | 3,000    | 56.130    | 168.39     | 186.60          | 18.21 ST               |
|                                                                                                  | <b>Total</b>                                         | 12,000   |           | 650.39     | <b>746.40</b>   | 96.01 ST               |
| Share Price: \$62.200, Next Dividend Payable 03/2015                                             |                                                      |          |           |            |                 |                        |
| FIFTH 3RD BANCORP OHIO (FITB)                                                                    | 5/9/14                                               | 67,000   | 20.439    | 1,369.43   | 1,365.12        | (4.31) ST              |
|                                                                                                  | 5/12/14                                              | 10,000   | 19.284    | 192.84     | 203.75          | 10.91 ST H             |
|                                                                                                  | 5/21/14                                              | 9,000    | 20.383    | 183.45     | 183.37          | (0.08) ST              |
|                                                                                                  | 8/15/14                                              | 30,000   | 19.840    | 595.20     | 611.25          | 16.05 ST               |
|                                                                                                  | <b>Total</b>                                         | 116,000  |           | 2,340.92   | <b>2,363.50</b> | 22.57 ST               |
| Share Price: \$20.375, Next Dividend Payable 01/21/15; Basis Adjustment Due to Wash Sale \$11.10 |                                                      |          |           |            |                 |                        |
| FLUOR CORP NEW (FLR)                                                                             | 12/18/14                                             | 2,000    | 58.885    | 117.77     | <b>121.26</b>   | 3.49 ST                |
|                                                                                                  | Share Price \$60.630, Next Dividend Payable 01/05/15 |          |           |            |                 |                        |
| FREEMPORT-MCMORAN INC (FCX)                                                                      | 12/8/14                                              | 6,000    | 25.403    | 152.42     | 140.16          | (12.26) ST             |
|                                                                                                  | 12/19/14                                             | 7,000    | 23.321    | 163.25     | 163.52          | 0.27 ST                |
|                                                                                                  | <b>Total</b>                                         | 13,000   |           | 315.67     | <b>303.68</b>   | (11.99) ST             |
| Share Price: \$23.360, Next Dividend Payable 02/2015                                             |                                                      |          |           |            |                 |                        |
| GAP INC (GPS)                                                                                    | 10/9/14                                              | 21,000   | 37.125    | 779.63     | 884.31          | 104.68 ST              |
|                                                                                                  | 11/13/14                                             | 14,000   | 39.240    | 549.36     | 589.54          | 40.18 ST               |
|                                                                                                  | <b>Total</b>                                         | 35,000   |           | 1,328.99   | <b>1,473.85</b> | 144.86 ST              |
| Share Price \$42.110, Next Dividend Payable 01/2015                                              |                                                      |          |           |            |                 |                        |
| GDF SUEZ-SPON ADR (GDFZY)                                                                        | 1/8/14                                               | 88,000   | 22.954    | 2,019.91   | 2,050.18        | 30.27 ST               |
|                                                                                                  | 4/11/14                                              | 1,000    | 27.120    | 27.12      | 23.30           | (3.82) ST              |
|                                                                                                  | 8/11/14                                              | 39,000   | 25.118    | 979.61     | 908.60          | (71.01) ST             |
|                                                                                                  | <b>Total</b>                                         | 128,000  |           | 3,026.64   | <b>2,982.08</b> | (44.56) ST             |
| Share Price: \$23.298                                                                            |                                                      |          |           |            |                 |                        |

## Account Detail

Select UMA Active Asset Account  
574-0106041127MIRVOLINA, J ARENA, P TUFILLARO  
RIARENA, JESS GO-ITEES UTA G17107

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                  | Trade Date   | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                  | Estimated Annual Income | Dividend Yield % |
|-------------------------------------------------------|--------------|----------------|-----------|-----------------|-----------------|-----------------------------------------|-------------------------|------------------|
| GENL DYNAMICS CORP (GD)                               | 10/8/14      | 6,000          | 122.722   | 736.33          | 825.72          | 89.39 ST                                |                         |                  |
|                                                       | 10/16/14     | 4,000          | 118.118   | 472.47          | 550.48          | 78.01 ST                                |                         |                  |
|                                                       | <b>Total</b> | <b>10,000</b>  |           | <b>1,208.80</b> | <b>1,376.20</b> | <b>167.40 ST</b>                        | <b>25.00</b>            | <b>1.81</b>      |
| Share Price: \$137.620, Next Dividend Payable 02/2015 |              |                |           |                 |                 |                                         |                         |                  |
| GILEAD SCIENCE (GILD)                                 | 11/9/12      | 2,000          | 32.305    | 64.61           | 188.52          | 123.91 LT                               |                         |                  |
|                                                       | 3/5/13       | 6,000          | 45.242    | 271.45          | 565.56          | 294.11 LT                               |                         |                  |
|                                                       | 11/8/13      | 6,000          | 66.690    | 400.14          | 565.56          | 165.42 LT                               |                         |                  |
|                                                       | 6/23/14      | 4,000          | 81.325    | 325.30          | 377.04          | 51.74 ST                                |                         |                  |
|                                                       | 6/30/14      | 2,000          | 83.790    | 167.58          | 188.52          | 20.94 ST                                |                         |                  |
|                                                       | 8/15/14      | 11,000         | 98.704    | 1,085.74        | 1,036.86        | (48.88) ST                              |                         |                  |
|                                                       | 8/19/14      | 6,000          | 101.338   | 608.03          | 565.56          | (42.47) ST                              |                         |                  |
|                                                       | 9/8/14       | 5,000          | 106.388   | 531.94          | 471.30          | (60.64) ST                              |                         |                  |
|                                                       | 12/23/14     | 4,000          | 88.125    | 352.50          | 377.04          | 24.54 ST                                |                         |                  |
|                                                       | <b>Total</b> | <b>46,000</b>  |           | <b>3,807.29</b> | <b>4,335.96</b> | <b>583.44 LT</b><br><b>(54.77) ST</b>   | <b>--</b>               | <b>--</b>        |
| Share Price: \$94.260                                 |              |                |           |                 |                 |                                         |                         |                  |
| GIVAUDAN SA ADR (GVDNY)                               | 11/8/13      | 98,000         | 28.172    | 2,760.87        | 3,519.18        | 758.31 LT                               |                         |                  |
|                                                       | 4/11/14      | 4,000          | 31.330    | 125.32          | 143.64          | 18.32 ST                                |                         |                  |
|                                                       | <b>Total</b> | <b>102,000</b> |           | <b>2,886.19</b> | <b>3,662.82</b> | <b>758.31 LT</b><br><b>18.32 ST</b>     | <b>103.00</b>           | <b>2.81</b>      |
| Share Price: \$35.910                                 |              |                |           |                 |                 |                                         |                         |                  |
| GLAXOSMITHKLINE PLC ADS (GSK)                         | 11/11/14     | 38,000         | 45.691    | 1,736.24        | 1,624.12        | (112.12) ST                             |                         |                  |
|                                                       | 11/11/14     | 34,000         | 45.815    | 1,557.71        | 1,453.16        | (104.55) ST                             |                         |                  |
|                                                       | 12/12/14     | 17,000         | 43.492    | 739.37          | 726.58          | (12.79) ST                              |                         |                  |
|                                                       | <b>Total</b> | <b>89,000</b>  |           | <b>4,033.32</b> | <b>3,803.86</b> | <b>(229.46) ST</b>                      | <b>236.00</b>           | <b>6.20</b>      |
| Share Price: \$42.740, Next Dividend Payable 01/08/15 |              |                |           |                 |                 |                                         |                         |                  |
| GOOGLE INC CL C (GOOG)                                | 9/21/11      | 2,000          | 274.723   | 549.45          | 1,052.80        | 503.35 LT                               |                         |                  |
|                                                       | 5/16/12      | 2,000          | 313.375   | 626.75          | 1,052.80        | 426.05 LT                               |                         |                  |
|                                                       | 4/9/13       | 1,000          | 389.450   | 389.45          | 526.40          | 136.95 LT                               |                         |                  |
|                                                       | 8/15/14      | 1,000          | 577.860   | 577.86          | 526.40          | (51.46) ST                              |                         |                  |
|                                                       | <b>Total</b> | <b>6,000</b>   |           | <b>2,143.51</b> | <b>3,158.40</b> | <b>1,066.35 LT</b><br><b>(51.46) ST</b> | <b>--</b>               | <b>--</b>        |
| Share Price: \$526.400                                |              |                |           |                 |                 |                                         |                         |                  |
| GOOGLE INC-CL A (GOOGL)                               | 9/21/11      | 3,000          | 275.603   | 826.81          | 1,591.98        | 765.17 LT                               |                         |                  |
|                                                       | 5/16/12      | 2,000          | 314.380   | 628.76          | 1,061.32        | 432.56 LT                               |                         |                  |
|                                                       | 4/9/13       | 1,000          | 390.700   | 390.70          | 530.66          | 139.96 LT                               |                         |                  |

## CLIENT STATEMENT

Page 22 of 62

MERVOLINA, JARENA, P TUFILLARO  
RARENA, JESS CO-TIEES UTA 6/17/07

| Share Price | \$00.020 | Next Dividend Payable 03/2015 |
|-------------|----------|-------------------------------|
|-------------|----------|-------------------------------|

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
M ERYOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                              | Trade Date | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                  | Estimated Annual Income | Dividend Yield % |
|-------------------------------------------------------------------|------------|----------------|-----------|-----------------|-----------------|-----------------------------------------|-------------------------|------------------|
| <b>HSBC HOLDINGS PLC SPON ADR NEW (HSBC)</b>                      |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 11/8/13    | 87,000         | 55.725    | 4,848.10        | 4,109.01        | (739.09) LT                             |                         |                  |
|                                                                   | 7/21/14    | 6,000          | 50.913    | 305.48          | 283.38          | (22.10) ST                              |                         |                  |
| <b>Total</b>                                                      |            | <b>93,000</b>  |           | <b>5,153.58</b> | <b>4,392.39</b> | <b>(739.09) LT</b><br><b>(22.10) ST</b> | <b>228.00</b>           | <b>5.19</b>      |
| Share Price: \$47.230                                             |            |                |           |                 |                 |                                         |                         |                  |
| <b>HUNTSMAN CORP (HUN)</b>                                        |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 5/9/14     | 26,000         | 25.048    | 651.25          | 592.28          | (58.97) ST                              |                         |                  |
|                                                                   | 6/18/14    | 10,000         | 27.996    | 279.96          | 227.80          | (52.16) ST                              |                         |                  |
|                                                                   | 8/15/14    | 14,000         | 27.090    | 379.26          | 318.92          | (60.34) ST                              |                         |                  |
|                                                                   | 10/21/14   | 6,000          | 24.438    | 146.63          | 136.68          | (9.95) ST                               |                         |                  |
| <b>Total</b>                                                      |            | <b>56,000</b>  |           | <b>1,457.10</b> | <b>1,275.68</b> | <b>(181.42) ST</b>                      | <b>28.00</b>            | <b>2.19</b>      |
| Share Price: \$22.780, Next Dividend Payable 03/2015              |            |                |           |                 |                 |                                         |                         |                  |
| <b>IMPERIAL OIL LTD COM NEW (IMO)</b>                             |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 11/8/13    | 19,000         | 42.724    | 811.76          | 817.57          | 5.81 LT                                 |                         |                  |
|                                                                   | 12/5/13    | 7,000          | 43.177    | 302.24          | 301.21          | (1.03) LT                               |                         |                  |
|                                                                   | 2/5/14     | 14,000         | 40.929    | 573.00          | 602.42          | 29.42 ST                                |                         |                  |
|                                                                   | 8/15/14    | 3,000          | 49.990    | 149.97          | 129.09          | (20.88) ST                              |                         |                  |
| <b>Total</b>                                                      |            | <b>43,000</b>  |           | <b>1,836.97</b> | <b>1,850.29</b> | <b>4.78 LT</b><br><b>8.54 ST</b>        | <b>20.00</b>            | <b>1.08</b>      |
| Share Price: \$43.030, Next Dividend Payable 01/01/15             |            |                |           |                 |                 |                                         |                         |                  |
| <b>INTERNATIONAL CONS AIRLS GRP (ICAGY)</b>                       |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 11/8/13    | 64,000         | 29.602    | 1,894.52        | 2,369.28        | 474.76 LT                               |                         |                  |
|                                                                   | 11/8/13    | 6,000          | 29.897    | 179.38          | 222.12          | 42.74 LT H                              |                         |                  |
|                                                                   | 11/8/13    | 24,000         | 29.037    | 696.88          | 888.48          | 191.60 LT H                             |                         |                  |
|                                                                   | 5/19/14    | 11,000         | 31.051    | 341.56          | 407.22          | 65.66 ST                                |                         |                  |
|                                                                   | 6/23/14    | 22,000         | 32.400    | 712.81          | 814.44          | 101.63 ST                               |                         |                  |
|                                                                   | 8/7/14     | 2,000          | 27.119    | 54.24           | 74.04           | 19.80 ST                                |                         |                  |
|                                                                   | 8/15/14    | 2,000          | 28.145    | 56.29           | 74.04           | 17.75 ST                                |                         |                  |
| <b>Total</b>                                                      |            | <b>131,000</b> |           | <b>3,935.68</b> | <b>4,849.62</b> | <b>709.10 LT</b><br><b>204.84 ST</b>    | <b>—</b>                | <b>—</b>         |
| Share Price: \$37.020, Basis Adjustment Due to Wash Sale: \$57.54 |            |                |           |                 |                 |                                         |                         |                  |
| <b>INTERNATIONAL PAPER CO (IP)</b>                                |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 5/9/14     | 16,000         | 46.471    | 743.54          | 857.28          | 113.74 ST                               |                         |                  |
|                                                                   | 8/15/14    | 7,000          | 47.740    | 334.18          | 375.06          | 40.88 ST                                |                         |                  |
| <b>Total</b>                                                      |            | <b>23,000</b>  |           | <b>1,077.72</b> | <b>1,232.34</b> | <b>154.62 ST</b>                        | <b>37.00</b>            | <b>3.00</b>      |
| Share Price: \$53.580, Next Dividend Payable 03/2015              |            |                |           |                 |                 |                                         |                         |                  |
| <b>JGC CORP UNSPONSORED ADR (JGCCY)</b>                           |            |                |           |                 |                 |                                         |                         |                  |
|                                                                   | 11/18/13   | 30,000         | 76.172    | 2,285.16        | 1,238.10        | (1,047.06) LT                           |                         |                  |
|                                                                   | 11/18/13   | 2,000          | 82.565    | 165.13          | 82.54           | (82.59) LT H                            |                         |                  |
|                                                                   | 5/15/14    | 11,000         | 56.796    | 624.76          | 453.97          | (170.79) ST                             |                         |                  |
|                                                                   | 6/23/14    | 7,000          | 60.681    | 424.77          | 288.89          | (135.88) ST                             |                         |                  |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 24 of 62

## Account Detail

Select UNMA Active Assets Account  
571-010604-127  
M IERVOLINA, J ARENA, P TUFI LLARO  
R ARENA, J ESS CO-TIEES UTA 6/17/07

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity      | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                     | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|---------------|-----------|-----------------|-----------------|--------------------------------------------|-------------------------|------------------|
|                      | 8/15/14    | 2,000         | 59.825    | 119.65          | 82.54           | (37.11) ST                                 |                         |                  |
|                      | 9/16/14    | 4,000         | 54.998    | 219.99          | 165.08          | (54.91) ST                                 |                         |                  |
| <b>Total</b>         |            | <b>56,000</b> |           | <b>3,839.46</b> | <b>2,311.12</b> | <b>(1,129.65) LT</b><br><b>(398.69) ST</b> | <b>43.00</b>            | <b>1.86</b>      |

Share Price: \$41.270; Basis Adjustment Due to Wash Sale: \$55.13

|                                    |         |               |         |                 |                 |                  |               |             |
|------------------------------------|---------|---------------|---------|-----------------|-----------------|------------------|---------------|-------------|
| <b>JOHNSON &amp; JOHNSON (JNJ)</b> | 5/9/14  | 30,000        | 100.789 | 3,023.67        | 3,137.10        | 113.43 ST        |               |             |
|                                    | 8/15/14 | 8,000         | 102.370 | 818.96          | 836.56          | 17.60 ST         |               |             |
| <b>Total</b>                       |         | <b>38,000</b> |         | <b>3,842.63</b> | <b>3,973.66</b> | <b>131.03 ST</b> | <b>106.00</b> | <b>2.66</b> |

Share Price \$104.570, Next Dividend Payable 03/2015

|                                      |          |               |        |                 |                 |                                      |               |             |
|--------------------------------------|----------|---------------|--------|-----------------|-----------------|--------------------------------------|---------------|-------------|
| <b>JPMORGAN CHASE &amp; CO (JPM)</b> | 6/21/13  | 2,000         | 52.120 | 104.24          | 125.16          | 20.92 LT                             |               |             |
|                                      | 6/24/13  | 2,000         | 50.690 | 101.38          | 125.16          | 23.78 LT                             |               |             |
|                                      | 6/24/13  | 7,000         | 50.693 | 354.85          | 438.06          | 83.21 LT                             |               |             |
|                                      | 11/21/13 | 9,000         | 57.302 | 515.72          | 563.22          | 47.50 LT                             |               |             |
|                                      | 11/21/13 | 4,000         | 56.983 | 227.93          | 250.32          | 22.39 LT                             |               |             |
|                                      | 11/21/13 | 2,000         | 57.302 | 114.60          | 125.16          | 10.56 LT                             |               |             |
|                                      | 11/21/13 | 5,000         | 56.983 | 284.92          | 312.90          | 27.98 LT                             |               |             |
|                                      | 12/4/13  | 6,000         | 57.267 | 343.60          | 375.48          | 31.88 LT                             |               |             |
|                                      | 12/18/13 | 8,000         | 55.779 | 446.23          | 500.64          | 54.41 LT                             |               |             |
|                                      | 4/11/14  | 5,000         | 55.332 | 276.66          | 312.90          | 36.24 ST                             |               |             |
|                                      | 5/9/14   | 7,000         | 54.233 | 379.63          | 438.06          | 58.43 ST                             |               |             |
|                                      | 6/10/14  | 7,000         | 57.830 | 404.81          | 438.06          | 33.25 ST                             |               |             |
|                                      | 8/15/14  | 21,000        | 57.260 | 1,202.46        | 1,314.18        | 111.72 ST                            |               |             |
|                                      | 8/26/14  | 2,000         | 59.720 | 119.44          | 125.16          | 5.72 ST                              |               |             |
|                                      | 9/22/14  | 7,000         | 61.150 | 428.05          | 438.06          | 10.01 ST                             |               |             |
| <b>Total</b>                         |          | <b>94,000</b> |        | <b>5,304.52</b> | <b>5,882.52</b> | <b>322.63 LT</b><br><b>255.37 ST</b> | <b>150.00</b> | <b>2.54</b> |

Share Price \$62.580, Next Dividend Payable 01/2015

|                                         |         |               |        |                 |                 |                                      |          |          |
|-----------------------------------------|---------|---------------|--------|-----------------|-----------------|--------------------------------------|----------|----------|
| <b>KBC GROUP NV UNSPONS ADR (KBCSY)</b> | 11/8/13 | 75,000        | 26.537 | 1,990.29        | 2,094.75        | 104.46 LT                            |          |          |
|                                         | 4/11/14 | 3,000         | 29.340 | 88.02           | 83.79           | (4.23) ST                            |          |          |
|                                         | 8/15/14 | 2,000         | 28.190 | 56.38           | 55.86           | (0.52) ST                            |          |          |
|                                         | 8/26/14 | 5,000         | 28.920 | 144.60          | 139.65          | (4.95) ST                            |          |          |
| <b>Total</b>                            |         | <b>85,000</b> |        | <b>2,279.29</b> | <b>2,374.05</b> | <b>104.46 LT</b><br><b>(9.70) ST</b> | <b>—</b> | <b>—</b> |

Share Price \$27.930





# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 26 of 62

## Account Detail

Select UMA Active Assets Account  
571010604127

MIROVOLINA, J ARENA, P TUFILLARO  
R ARENA, JESS CO-TRUSTEE UTA 6/17/07

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                                            | Trade Date | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|-----------------------------------------------------------------|------------|----------------|-----------|-----------------|-----------------|------------------------|-------------------------|------------------|
| <b>LIBERTY GLOBAL PLC CL C NEW (LBTK)</b>                       |            |                |           |                 |                 |                        |                         |                  |
|                                                                 | 2/5/14     | 7,000          | 39.618    | 277.33          | 338.17          | 60.84 ST               |                         |                  |
|                                                                 | 2/6/14     | 4,000          | 39.790    | 159.16          | 193.24          | 34.08 ST               |                         |                  |
|                                                                 | 2/10/14    | 12,000         | 40.311    | 483.73          | 579.72          | 95.99 ST               |                         |                  |
|                                                                 | 2/18/14    | 4,000          | 42.465    | 169.86          | 193.24          | 23.38 ST               |                         |                  |
|                                                                 | 2/26/14    | 16,000         | 41.687    | 666.99          | 772.96          | 105.97 ST              |                         |                  |
|                                                                 | 2/28/14    | 5,000          | 42.570    | 212.85          | 241.55          | 28.70 ST H             |                         |                  |
|                                                                 | 5/9/14     | 4,000          | 40.878    | 163.51          | 193.24          | 29.73 ST               |                         |                  |
|                                                                 | 5/9/14     | 1,000          | 40.880    | 40.88           | 48.31           | 7.43 ST                |                         |                  |
|                                                                 | 5/9/14     | 31,000         | 40.878    | 1,267.20        | 1,497.61        | 230.41 ST              |                         |                  |
|                                                                 | 8/15/14    | 12,000         | 42.070    | 504.84          | 579.72          | 74.88 ST               |                         |                  |
|                                                                 | 8/15/14    | 24,000         | 42.070    | 1,009.68        | 1,159.44        | 149.76 ST              |                         |                  |
|                                                                 | 9/24/14    | 6,000          | 42.600    | 255.60          | 289.86          | 34.26 ST               |                         |                  |
| <b>Total</b>                                                    |            | <b>126,000</b> |           | <b>5,211.63</b> | <b>6,087.06</b> | <b>875.43 ST</b>       | <b>—</b>                | <b>—</b>         |
| Share Price: \$48.310, Basis Adjustment Due to Wash Sale \$8.46 |            |                |           |                 |                 |                        |                         |                  |
| <b>LIBERTY MEDIA CORP SER A (LMCA)</b>                          |            |                |           |                 |                 |                        |                         |                  |
|                                                                 | 5/9/14     | 11,000         | 33.368    | 367.05          | 387.97          | 20.92 ST               |                         |                  |
|                                                                 | 8/15/14    | 3,000          | 36.750    | 110.25          | 105.81          | (4.44) ST              |                         |                  |
| <b>Total</b>                                                    |            | <b>14,000</b>  |           | <b>477.30</b>   | <b>493.78</b>   | <b>16.48 ST</b>        | <b>—</b>                | <b>—</b>         |
| Share Price: \$35.270                                           |            |                |           |                 |                 |                        |                         |                  |
| <b>LIBERTY MEDIA CORP SER C (LMCK)</b>                          |            |                |           |                 |                 |                        |                         |                  |
|                                                                 | 5/9/14     | 22,000         | 31.866    | 701.05          | 770.66          | 69.61 ST               |                         |                  |
|                                                                 | 8/15/14    | 6,000          | 36.962    | 221.77          | 210.18          | (11.59) ST             |                         |                  |
| <b>Total</b>                                                    |            | <b>28,000</b>  |           | <b>922.82</b>   | <b>980.84</b>   | <b>58.02 ST</b>        | <b>—</b>                | <b>—</b>         |
| Share Price: \$35.030                                           |            |                |           |                 |                 |                        |                         |                  |
| <b>LINDE AG SPONSORED ADR (LNEG)</b>                            |            |                |           |                 |                 |                        |                         |                  |
|                                                                 | 11/8/13    | 56,000         | 18.977    | 1,062.69        | 1,033.47        | (29.22) LT             |                         |                  |
|                                                                 | 3/11/14    | 8,000          | 20.445    | 163.56          | 147.63          | (15.93) ST             |                         |                  |
|                                                                 | 7/18/14    | 57,000         | 20.593    | 1,173.80        | 1,051.93        | (121.87) ST            |                         |                  |
|                                                                 | 7/21/14    | 36,000         | 20.591    | 741.29          | 664.37          | (76.92) ST             |                         |                  |
|                                                                 | 7/22/14    | 20,000         | 20.729    | 414.57          | 369.09          | (45.48) ST             |                         |                  |
|                                                                 | 7/28/14    | 17,000         | 20.704    | 351.97          | 313.73          | (38.24) ST             |                         |                  |
|                                                                 | 8/15/14    | 8,000          | 20.080    | 160.64          | 147.63          | (13.01) ST             |                         |                  |
|                                                                 | 10/7/14    | 37,000         | 19.190    | 710.03          | 682.83          | (27.20) ST             |                         |                  |
| <b>Total</b>                                                    |            | <b>239,000</b> |           | <b>4,778.55</b> | <b>4,410.74</b> | <b>(367.81) ST</b>     | <b>67.00</b>            | <b>1.51</b>      |
| Share Price: \$18.455                                           |            |                |           |                 |                 |                        |                         |                  |
| <b>LINKEDIN CORP-A (LNKD)</b>                                   |            |                |           |                 |                 |                        |                         |                  |
|                                                                 | 8/1/14     | 4,000          | 199.068   | 796.27          | 918.84          | 122.57 ST              |                         |                  |
|                                                                 | 8/15/14    | 2,000          | 218.900   | 437.80          | 459.42          | 21.62 ST               |                         |                  |
|                                                                 | 8/15/14    | 1,000          | 215.000   | 215.00          | 229.71          | 14.71 ST               |                         |                  |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 27 of 62

Select UMA Active Assets Account  
M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07  
571-010604-127

## Account Detail

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                                          | Trade Date | Quantity       | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)                   | Estimated Annual Income | Dividend Yield % |
|---------------------------------------------------------------|------------|----------------|-----------|-----------------|-----------------|------------------------------------------|-------------------------|------------------|
| <b>Share Price: \$229.710</b>                                 |            |                |           |                 |                 |                                          |                         |                  |
| <b>LLOYDS BANKING GROUP PLC (LYG)</b>                         |            |                |           |                 |                 |                                          |                         |                  |
|                                                               | 8/25/14    | 2,000          | 224.520   | 449.04          | 459.42          | 10.38 ST                                 |                         |                  |
|                                                               | 9/4/14     | 2,000          | 227.840   | 455.68          | 459.42          | 3.74 ST                                  |                         |                  |
|                                                               | 9/8/14     | 2,000          | 230.405   | 460.81          | 459.42          | (1.39) ST                                |                         |                  |
|                                                               | 9/25/14    | 3,000          | 208.063   | 624.19          | 689.13          | 64.94 ST                                 |                         |                  |
| <b>Total</b>                                                  |            | <b>16,000</b>  |           | <b>3,438.79</b> | <b>3,675.36</b> | <b>236.57 ST</b>                         |                         |                  |
| <b>Share Price: \$229.710</b>                                 |            |                |           |                 |                 |                                          |                         |                  |
| <b>LLOYDS BANKING GROUP PLC (LYG)</b>                         |            |                |           |                 |                 |                                          |                         |                  |
|                                                               | 11/8/13    | 641,000        | 4.886     | 3,132.12        | 2,974.24        | (157.88) LT                              |                         |                  |
|                                                               | 3/17/14    | 155,000        | 5.338     | 827.45          | 719.20          | (108.25) ST                              |                         |                  |
|                                                               | 8/15/14    | 30,000         | 5.009     | 150.26          | 139.20          | (11.06) ST                               |                         |                  |
| <b>Total</b>                                                  |            | <b>826,000</b> |           | <b>4,109.83</b> | <b>3,832.64</b> | <b>(157.88) LT</b><br><b>(119.31) ST</b> |                         |                  |
| <b>Share Price: \$4.640</b>                                   |            |                |           |                 |                 |                                          |                         |                  |
| <b>LOCKHEED MARTIN CORP (LMT)</b>                             |            |                |           |                 |                 |                                          |                         |                  |
|                                                               | 5/9/14     | 11,000         | 164.367   | 1,808.04        | 2,118.27        | 310.23 ST                                |                         |                  |
|                                                               | 8/15/14    | 4,000          | 169.910   | 679.64          | 770.28          | 90.64 ST                                 |                         |                  |
| <b>Total</b>                                                  |            | <b>15,000</b>  |           | <b>2,487.68</b> | <b>2,888.55</b> | <b>400.87 ST</b>                         | <b>90.00</b>            | <b>3.11</b>      |
| <b>Share Price: \$192.570, Next Dividend Payable 03/20/15</b> |            |                |           |                 |                 |                                          |                         |                  |
| <b>MACY'S INC (M)</b>                                         |            |                |           |                 |                 |                                          |                         |                  |
|                                                               | 5/9/14     | 14,000         | 55.977    | 783.68          | 920.50          | 136.82 ST                                |                         |                  |
|                                                               | 8/15/14    | 2,000          | 57.790    | 115.58          | 131.50          | 15.92 ST                                 |                         |                  |
| <b>Total</b>                                                  |            | <b>16,000</b>  |           | <b>899.26</b>   | <b>1,052.00</b> | <b>152.74 ST</b>                         | <b>20.00</b>            | <b>1.90</b>      |
| <b>Share Price: \$65.750, Next Dividend Payable 01/02/15</b>  |            |                |           |                 |                 |                                          |                         |                  |
| <b>MARATHON PETROLEUM CORP (MPC)</b>                          |            |                |           |                 |                 |                                          |                         |                  |
|                                                               | 5/9/14     | 10,000         | 92.522    | 925.22          | 902.60          | (22.62) ST                               |                         |                  |
|                                                               | 5/23/14    | 3,000          | 87.153    | 261.46          | 270.78          | 9.32 ST                                  |                         |                  |
|                                                               | 8/15/14    | 4,000          | 89.240    | 356.96          | 361.04          | 4.08 ST                                  |                         |                  |
| <b>Total</b>                                                  |            | <b>17,000</b>  |           | <b>1,543.64</b> | <b>1,534.42</b> | <b>(9.22) ST</b>                         | <b>34.00</b>            | <b>2.21</b>      |
| <b>Share Price: \$90.260; Next Dividend Payable 03/20/15</b>  |            |                |           |                 |                 |                                          |                         |                  |
| <b>MASTERCARD INC CL A (MA)</b>                               |            |                |           |                 |                 |                                          |                         |                  |
|                                                               | 8/2/12     | 14,000         | 42.727    | 598.18          | 1,206.24        | 608.06 LT                                |                         |                  |
|                                                               | 8/2/12     | 10,000         | 42.499    | 424.99          | 861.60          | 436.61 LT                                |                         |                  |
|                                                               | 4/11/14    | 3,000          | 70.070    | 210.21          | 258.48          | 48.27 ST                                 |                         |                  |
|                                                               | 8/15/14    | 16,000         | 76.306    | 1,220.90        | 1,378.56        | 157.66 ST                                |                         |                  |
| <b>Total</b>                                                  |            | <b>43,000</b>  |           | <b>2,454.28</b> | <b>3,704.88</b> | <b>1,044.67 LT</b><br><b>205.93 ST</b>   | <b>28.00</b>            | <b>0.75</b>      |
| <b>Share Price: \$86.160, Next Dividend Payable 02/20/15</b>  |            |                |           |                 |                 |                                          |                         |                  |
| <b>MCKESSON CORP (MCK)</b>                                    |            |                |           |                 |                 |                                          |                         |                  |
|                                                               | 1/27/14    | 1,000          | 185.660   | 185.66          | 207.58          | 21.92 ST H                               |                         |                  |
|                                                               | 1/28/14    | 2,000          | 174.280   | 348.56          | 415.16          | 66.60 ST                                 |                         |                  |
|                                                               | 1/29/14    | 1,000          | 173.600   | 173.60          | 207.58          | 33.98 ST                                 |                         |                  |
|                                                               | 3/31/14    | 1,000          | 176.710   | 176.71          | 207.58          | 30.87 ST                                 |                         |                  |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 28 of 62

## Account Detail

Select UMA Active Assets Account  
571010604127

MERVOLINA J ARENA, P TUFILVARO  
R ARENA JESS CO-TRUSTEE UTA 6/17/07

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                                                                             | Trade Date   | Quantity      | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------------------------------------------------|--------------|---------------|-----------|-----------------|-----------------|------------------------|-------------------------|------------------|
| <b>MEDTRONIC INC (MDT)</b>                                                                       | 4/17/14      | 1,000         | 171.110   | 171.11          | 207.58          | 36.47 ST               |                         |                  |
|                                                                                                  | 5/9/14       | 1,000         | 166.556   | 166.56          | 207.58          | 41.02 ST               |                         |                  |
|                                                                                                  | 5/9/14       | 1,000         | 166.560   | 166.56          | 207.58          | 41.02 ST               |                         |                  |
|                                                                                                  | 5/9/14       | 1,000         | 166.556   | 166.55          | 207.58          | 41.03 ST               |                         |                  |
|                                                                                                  | 5/9/14       | 3,000         | 166.556   | 499.67          | 622.74          | 123.07 ST              |                         |                  |
|                                                                                                  | 8/15/14      | 5,000         | 192.740   | 963.70          | 1,037.90        | 74.20 ST               |                         |                  |
|                                                                                                  | 9/9/14       | 3,000         | 198.397   | 595.19          | 622.74          | 27.55 ST               |                         |                  |
|                                                                                                  | 10/10/14     | 3,000         | 196.323   | 588.97          | 622.74          | 33.77 ST               |                         |                  |
|                                                                                                  | 12/8/14      | 1,000         | 212.860   | 212.86          | 207.58          | (5.28) ST              |                         |                  |
|                                                                                                  | <b>Total</b> | <b>24,000</b> |           | <b>4,415.70</b> | <b>4,981.92</b> | <b>566.22 ST</b>       | <b>23.00</b>            | <b>0.48</b>      |
| Share Price: \$207.580; Next Dividend Payable 01/02/15, Basis Adjustment Due to Wash Sale \$8.95 |              |               |           |                 |                 |                        |                         |                  |
| <b>METLIFE INCORPORATED (MET)</b>                                                                | 5/9/14       | 16,000        | 59.768    | 956.29          | 1,155.20        | 198.91 ST              |                         |                  |
|                                                                                                  | 6/17/14      | 6,000         | 61.295    | 367.77          | 433.20          | 65.43 ST               |                         |                  |
|                                                                                                  | 8/15/14      | 4,000         | 63.600    | 254.40          | 288.80          | 34.40 ST               |                         |                  |
|                                                                                                  | <b>Total</b> | <b>26,000</b> |           | <b>1,578.46</b> | <b>1,877.20</b> | <b>298.74 ST</b>       | <b>32.00</b>            | <b>1.70</b>      |
| Share Price: \$72.200; Next Dividend Payable 01/16/15                                            |              |               |           |                 |                 |                        |                         |                  |
| <b>MGM RESORTS INTERNATIONAL (MGM)</b>                                                           | 4/13/12      | 17,000        | 36.240    | 616.08          | 919.53          | 303.45 LT              |                         |                  |
|                                                                                                  | 8/15/14      | 2,000         | 53.080    | 106.16          | 108.18          | 2.02 ST                |                         |                  |
|                                                                                                  | <b>Total</b> | <b>19,000</b> |           | <b>722.24</b>   | <b>1,027.71</b> | <b>303.45 LT</b>       | <b>27.00</b>            | <b>2.62</b>      |
|                                                                                                  |              |               |           |                 |                 | <b>2.02 ST</b>         |                         |                  |
| Share Price: \$54.090; Next Dividend Payable 03/20/15                                            |              |               |           |                 |                 |                        |                         |                  |
| <b>MICROSOFT CORP (MSFT)</b>                                                                     | 5/27/14      | 9,000         | 26.763    | 240.87          | 192.42          | (48.45) ST H           |                         |                  |
|                                                                                                  | 6/27/14      | 2,000         | 27.135    | 54.27           | 42.76           | (11.51) ST H           |                         |                  |
|                                                                                                  | 8/15/14      | 11,000        | 25.289    | 278.18          | 235.18          | (43.00) ST             |                         |                  |
|                                                                                                  | 10/17/14     | 12,000        | 21.848    | 262.17          | 256.56          | (5.61) ST              |                         |                  |
|                                                                                                  | <b>Total</b> | <b>34,000</b> |           | <b>835.49</b>   | <b>726.92</b>   | <b>(108.57) ST</b>     | <b>--</b>               | <b>--</b>        |
|                                                                                                  |              |               |           |                 |                 |                        |                         |                  |
| Share Price: \$21.380; Basis Adjustment Due to Wash Sale \$23.84                                 |              |               |           |                 |                 |                        |                         |                  |
| <b>MICROSOFT CORP (MSFT)</b>                                                                     | 4/13/12      | 24,000        | 30.840    | 740.16          | 1,114.80        | 374.64 LT              |                         |                  |
|                                                                                                  | 11/9/12      | 4,000         | 29.038    | 116.15          | 185.80          | 69.65 LT               |                         |                  |
|                                                                                                  | 4/5/13       | 2,000         | 28.400    | 56.80           | 92.90           | 36.10 LT               |                         |                  |
|                                                                                                  | 6/24/14      | 5,000         | 41.630    | 208.15          | 232.25          | 24.10 ST               |                         |                  |
|                                                                                                  | 7/16/14      | 2,000         | 45.855    | 91.71           | 92.90           | 1.19 ST H              |                         |                  |
|                                                                                                  | 7/16/14      | 3,000         | 43.958    | 131.87          | 139.35          | 7.48 ST                |                         |                  |
|                                                                                                  | 7/17/14      | 11,000        | 44.788    | 492.67          | 510.95          | 18.28 ST               |                         |                  |
|                                                                                                  | 8/15/14      | 13,000        | 44.639    | 580.31          | 603.85          | 23.54 ST               |                         |                  |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 29 of 62

## Account Detail

Select UMA Active Assets Account  
M IERVOLINA, J ARENA, P TUFILLARO  
RIARENA, JESS CO-TEES UTA 6/17/07  
571-010604-127

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                                                                            | Trade Date | Quantity      | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss)               | Estimated Annual Income | Dividend Yield % |
|-------------------------------------------------------------------------------------------------|------------|---------------|-----------|-----------------|-----------------|--------------------------------------|-------------------------|------------------|
| Share Price: \$46.450; Next Dividend Payable 03/2015; Basis Adjustment Due to Wash Sale: \$3.79 |            |               |           |                 |                 |                                      |                         |                  |
| <b>MONSANTO CO/NEW (MON)</b>                                                                    |            |               |           |                 |                 |                                      |                         |                  |
|                                                                                                 | 5/8/12     | 1,000         | 73.606    | 73.61           | 119.47          | 45.86 LT                             |                         |                  |
|                                                                                                 | 11/9/12    | 7,000         | 86.000    | 602.00          | 836.29          | 234.29 LT                            |                         |                  |
|                                                                                                 | 8/26/13    | 1,000         | 97.650    | 97.65           | 119.47          | 21.82 LT                             |                         |                  |
|                                                                                                 | 11/8/13    | 5,000         | 103.976   | 519.88          | 597.35          | 77.47 LT                             |                         |                  |
|                                                                                                 | 4/16/14    | 3,000         | 112.510   | 337.53          | 358.41          | 20.88 ST                             |                         |                  |
|                                                                                                 | 8/15/14    | 15,000        | 117.880   | 1,768.20        | 1,792.05        | 23.85 ST                             |                         |                  |
|                                                                                                 | 11/14/14   | 5,000         | 119.258   | 596.29          | 597.35          | 1.06 ST                              |                         |                  |
| <b>Total</b>                                                                                    |            | <b>37,000</b> |           | <b>3,995.16</b> | <b>4,420.39</b> | <b>379.44 LT</b><br><b>45.79 ST</b>  | <b>73.00</b>            | <b>1.65</b>      |
| Share Price: \$119.470; Next Dividend Payable 01/2015                                           |            |               |           |                 |                 |                                      |                         |                  |
| <b>MORGAN STANLEY (MS)</b>                                                                      |            |               |           |                 |                 |                                      |                         |                  |
|                                                                                                 | 6/11/13    | 16,000        | 26.565    | 425.04          | 620.80          | 195.76 LT                            |                         |                  |
|                                                                                                 | 6/17/13    | 13,000        | 26.209    | 340.72          | 504.40          | 163.68 LT                            |                         |                  |
|                                                                                                 | 7/26/13    | 9,000         | 27.668    | 249.01          | 349.20          | 100.19 LT                            |                         |                  |
|                                                                                                 | 11/8/13    | 11,000        | 29.112    | 320.23          | 426.80          | 106.57 LT                            |                         |                  |
|                                                                                                 | 8/15/14    | 16,000        | 32.418    | 518.68          | 620.80          | 102.12 ST                            |                         |                  |
| <b>Total</b>                                                                                    |            | <b>65,000</b> |           | <b>1,853.68</b> | <b>2,522.00</b> | <b>566.20 LT</b><br><b>102.12 ST</b> | <b>26.00</b>            | <b>1.03</b>      |
| Share Price: \$38.800; Next Dividend Payable 02/2015                                            |            |               |           |                 |                 |                                      |                         |                  |
| <b>NIELSEN HOLDINGS NV (NLSN)</b>                                                               |            |               |           |                 |                 |                                      |                         |                  |
|                                                                                                 | 3/5/14     | 4,000         | 46.615    | 186.46          | 178.92          | (7.54) ST                            |                         |                  |
|                                                                                                 | 3/5/14     | 13,000        | 46.619    | 606.05          | 581.49          | (24.56) ST                           |                         |                  |
|                                                                                                 | 3/5/14     | 2,000         | 48.020    | 96.04           | 89.46           | (6.58) ST H                          |                         |                  |
|                                                                                                 | 3/10/14    | 4,000         | 46.405    | 185.62          | 178.92          | (6.70) ST                            |                         |                  |
|                                                                                                 | 3/11/14    | 5,000         | 46.176    | 230.88          | 223.65          | (7.23) ST                            |                         |                  |
|                                                                                                 | 3/25/14    | 8,000         | 44.277    | 354.22          | 357.84          | 3.62 ST                              |                         |                  |
|                                                                                                 | 8/15/14    | 14,000        | 48.064    | 672.89          | 626.22          | (46.67) ST                           |                         |                  |
| <b>Total</b>                                                                                    |            | <b>50,000</b> |           | <b>2,332.16</b> | <b>2,236.50</b> | <b>(95.66) ST</b>                    | <b>50.00</b>            | <b>2.23</b>      |
| Share Price: \$44.730; Next Dividend Payable 03/2015; Basis Adjustment Due to Wash Sale: \$7.49 |            |               |           |                 |                 |                                      |                         |                  |
| <b>NIKE INC B (NKE)</b>                                                                         |            |               |           |                 |                 |                                      |                         |                  |
|                                                                                                 | 8/16/13    | 3,000         | 64.013    | 192.04          | 288.45          | 96.41 LT                             |                         |                  |
|                                                                                                 | 8/20/13    | 7,000         | 65.446    | 458.12          | 673.05          | 214.93 LT                            |                         |                  |
|                                                                                                 | 9/27/13    | 2,000         | 73.690    | 147.38          | 192.30          | 44.92 LT                             |                         |                  |
|                                                                                                 | 10/1/13    | 6,000         | 72.827    | 436.96          | 576.90          | 139.94 LT                            |                         |                  |
|                                                                                                 | 11/8/13    | 4,000         | 76.640    | 306.56          | 384.60          | 78.04 LT                             |                         |                  |
|                                                                                                 | 8/15/14    | 11,000        | 77.634    | 853.97          | 1,057.65        | 203.68 ST                            |                         |                  |

# Morgan Stanley

## Account Detail

**Select UMA Active Assets Account**  
**571-010604-127**

M'ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                                                             | Trade Date | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss)       | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------------------------------------------------|------------|----------|-----------|------------|-----------------|------------------------------|-------------------------|------------------|
|                                                                                                  | 9/26/14    | 8,000    | 88.224    | 705.79     | 769.20          | 63.41 ST                     |                         |                  |
| <b>Total</b>                                                                                     |            | 41,000   |           | 3,100.82   | <b>3,942.15</b> | 574.24 LT<br>267.09 ST       | 46.00                   | 1.16             |
| Share Price: \$96.150; Next Dividend Payable 01/05/15                                            |            |          |           |            |                 |                              |                         |                  |
| <b>NIKON CORP ADR (NINOV)</b>                                                                    |            |          |           |            |                 |                              |                         |                  |
|                                                                                                  | 11/27/13   | 200,000  | 18.984    | 3,796.86   | 2,654.00        | (1,142.86) LT                |                         |                  |
|                                                                                                  | 2/13/14    | 58,000   | 17.097    | 991.64     | 769.66          | (221.98) ST                  |                         |                  |
|                                                                                                  | 4/11/14    | 4,000    | 15.763    | 63.05      | 53.08           | (9.97) ST                    |                         |                  |
|                                                                                                  | 8/15/14    | 9,000    | 14.560    | 131.04     | 119.43          | (11.61) ST                   |                         |                  |
| <b>Total</b>                                                                                     |            | 271,000  |           | 4,982.59   | <b>3,596.17</b> | (1,142.86) LT<br>(243.56) ST | 55.00                   | 1.52             |
| Share Price: \$13.270                                                                            |            |          |           |            |                 |                              |                         |                  |
| <b>NOVARTIS AG ADR (NVS)</b>                                                                     |            |          |           |            |                 |                              |                         |                  |
|                                                                                                  | 11/8/13    | 70,000   | 76.898    | 5,382.83   | 6,486.20        | 1,103.37 LT                  |                         |                  |
|                                                                                                  | 4/11/14    | 1,000    | 82.900    | 82.90      | 92.66           | 9.76 ST                      |                         |                  |
|                                                                                                  | 8/15/14    | 2,000    | 87.730    | 175.46     | 185.32          | 9.86 ST                      |                         |                  |
| <b>Total</b>                                                                                     |            | 73,000   |           | 5,641.19   | <b>6,764.18</b> | 1,103.37 LT<br>19.62 ST      | 171.00                  | 2.52             |
| Share Price: \$92.660                                                                            |            |          |           |            |                 |                              |                         |                  |
| <b>NXP SEMICONDUCTORS NV (NXPI)</b>                                                              |            |          |           |            |                 |                              |                         |                  |
|                                                                                                  | 10/13/14   | 8,000    | 55.079    | 440.63     | <b>611.20</b>   | 170.57 ST                    | —                       | —                |
| Share Price: \$76.400                                                                            |            |          |           |            |                 |                              |                         |                  |
| <b>OCCIDENTAL PETROLEUM CORP DE (OXY)</b>                                                        |            |          |           |            |                 |                              |                         |                  |
|                                                                                                  | 6/3/11     | 1,000    | 98.830    | 98.83      | 80.61           | (18.22) LT H                 |                         |                  |
|                                                                                                  | 9/13/13    | 2,000    | 88.370    | 176.74     | 161.22          | (15.52) LT                   |                         |                  |
|                                                                                                  | 1/31/14    | 10,000   | 84.633    | 846.33     | 806.10          | (40.23) ST                   |                         |                  |
|                                                                                                  | 8/15/14    | 4,000    | 96.515    | 386.06     | 322.44          | (63.62) ST                   |                         |                  |
|                                                                                                  | 12/1/14    | 7,000    | 78.074    | 546.52     | 564.27          | 17.75 ST                     |                         |                  |
| <b>Total</b>                                                                                     |            | 24,000   |           | 2,054.48   | <b>1,934.64</b> | (33.74) LT<br>(86.10) ST     | 69.00                   | 3.56             |
| Share Price: \$80.610; Next Dividend Payable 01/15/15; Basis Adjustment Due to Wash Sale: \$2.31 |            |          |           |            |                 |                              |                         |                  |
| <b>OMNICARE INC (OCR)</b>                                                                        |            |          |           |            |                 |                              |                         |                  |
|                                                                                                  | 5/9/14     | 18,000   | 60.262    | 1,084.72   | 1,312.74        | 228.02 ST                    |                         |                  |
|                                                                                                  | 8/15/14    | 2,000    | 63.130    | 126.26     | 145.86          | 19.60 ST                     |                         |                  |
| <b>Total</b>                                                                                     |            | 20,000   |           | 1,210.98   | <b>1,458.60</b> | 247.62 ST                    | 18.00                   | 1.23             |
| Share Price: \$72.930; Next Dividend Payable 03/20/15                                            |            |          |           |            |                 |                              |                         |                  |
| <b>OMNICOM GROUP (OMC)</b>                                                                       |            |          |           |            |                 |                              |                         |                  |
|                                                                                                  | 5/9/14     | 7,000    | 66.941    | 468.59     | 542.29          | 73.70 ST                     |                         |                  |
|                                                                                                  | 8/15/14    | 2,000    | 72.270    | 144.54     | 154.94          | 10.40 ST                     |                         |                  |
| <b>Total</b>                                                                                     |            | 9,000    |           | 613.13     | <b>697.23</b>   | 84.10 ST                     | 18.00                   | 2.58             |
| Share Price: \$77.470; Next Dividend Payable 01/07/15                                            |            |          |           |            |                 |                              |                         |                  |







**MERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TTEES UTA 6/17/07**

## STOCKS

**COMMON STOCKS (CONTINUED)**

| COMMON STOCKS (CONTINUED)                                       |            |          |           |            |                 |                            |
|-----------------------------------------------------------------|------------|----------|-----------|------------|-----------------|----------------------------|
| Security Description                                            | Trade Date | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss)     |
| QUEST DIAGNOSTICS INC (DGX)                                     | 5/9/14     | 14 000   | 57.261    | 801.65     | 938.84          | 137.19 ST                  |
|                                                                 | 8/15/14    | 5,000    | 61.900    | 309.50     | 335.30          | 25.80 ST                   |
| <b>Total</b>                                                    |            | 19,000   |           | 1,111.15   | <b>1,274.14</b> | 162.99 ST                  |
| Share Price \$67.060, Next Dividend Payable 01/2015             |            |          |           |            |                 |                            |
| RAYTHEON CO (NEW) (RTN)                                         | 4/13/12    | 17,000   | 52.737    | 896.52     | 1,838.89        | 942.37 LT                  |
|                                                                 | 8/15/14    | 4,000    | 93.720    | 374.88     | 432.68          | 57.80 ST                   |
| <b>Total</b>                                                    |            | 21,000   |           | 1,271.40   | <b>2,271.57</b> | 942.37 LT<br>57.80 ST      |
| Share Price \$108.170; Next Dividend Payable 01/2015            |            |          |           |            |                 |                            |
| REED ELSEVIER NV-SPONS ADR (ENL)                                | 11/8/13    | 149,000  | 41.177    | 6,135.37   | 7,098.36        | 962.99 LT                  |
|                                                                 | 8/6/14     | 8,000    | 43.989    | 351.91     | 381.12          | 29.21 ST                   |
| <b>Total</b>                                                    |            | 157,000  |           | 6,487.28   | <b>7,479.48</b> | 962.99 LT<br>29.21 ST      |
| Share Price: \$47.640                                           |            |          |           |            |                 |                            |
| REXAM PLC (REXMY)                                               | 11/8/13    | 58,000   | 47.500    | 2,754.97   | 2,020.72        | (734.25) LT                |
|                                                                 | 8/15/14    | 4,000    | 42.238    | 168.95     | 139.36          | (29.59) ST                 |
| <b>Total</b>                                                    |            | 62,000   |           | 2,923.92   | <b>2,160.08</b> | (734.25) LT<br>(29.59) ST  |
| Share Price: \$34.840                                           |            |          |           |            |                 |                            |
| RICE ENERGY INC (RICE)                                          | 8/14/14    | 12,000   | 27.973    | 335.67     | <b>251.64</b>   | (84.03) ST                 |
| Share Price: \$20.970                                           |            |          |           |            |                 |                            |
| RIO TINTO PLC SPON ADR (RIO)                                    | 11/8/13    | 37,000   | 53.057    | 1,963.13   | 1,704.22        | (258.91) LT                |
|                                                                 | 11/15/13   | 6 000    | 52.900    | 317.40     | 276.36          | (41.04) LT H               |
|                                                                 | 3/12/14    | 14,000   | 52.699    | 737.78     | 644.84          | (92.94) ST                 |
|                                                                 | 4/11/14    | 2,000    | 55.700    | 111.40     | 92.12           | (19.28) ST                 |
|                                                                 | 8/15/14    | 1,000    | 57.280    | 57.28      | 46.06           | (11.22) ST                 |
|                                                                 | 10/21/14   | 4,000    | 50.881    | 203.52     | 184.24          | (19.28) ST                 |
| <b>Total</b>                                                    |            | 64,000   |           | 3,390.51   | <b>2,947.84</b> | (299.95) LT<br>(142.72) ST |
| Share Price \$46.060, Basis Adjustment Due to Wash Sale \$12.11 |            |          |           |            |                 |                            |
| ROCHE HOLDINGS ADR (RHHBY)                                      | 11/8/13    | 132 000  | 34.095    | 4,500.48   | 4,486.68        | (13.80) LT                 |
|                                                                 | 2/13/14    | 8,000    | 36.331    | 290.65     | 271.92          | (18.73) ST                 |
|                                                                 | 4/11/14    | 2 000    | 35.400    | 70.80      | 67.98           | (2.82) ST                  |
|                                                                 | 8/15/14    | 2 000    | 36.500    | 73.00      | 67.98           | (5.02) ST                  |
| <b>Total</b>                                                    |            | 144,000  |           | 4,934.93   | <b>4,894.56</b> | (13.80) LT<br>(26.57) ST   |
| Share Price \$33.990                                            |            |          |           |            |                 |                            |

Select UMA Active Assets Account  
M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-ITTEES UTA 6/17/07  
571-010604-127

## Account Detail

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                 | Trade Date | Quantity | Unit Cost | Total Cost | Market Value  | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|------------------------------------------------------|------------|----------|-----------|------------|---------------|------------------------|-------------------------|------------------|
| <b>ROYAL DUTCH SHELL PLC (RDSA)</b>                  |            |          |           |            |               |                        |                         |                  |
| Share Price: \$66.950, Next Dividend Payable 03/2015 | 11/8/13    | 18,000   | 65.694    | 1,182.49   | 1,205.10      | 22.61 LT               | 58.00                   | 4.81             |
| <b>RYANAIR HLDGS PLC ADR (RYAAY)</b>                 |            |          |           |            |               |                        |                         |                  |
| Share Price: \$71.270                                | 11/8/13    | 23,000   | 46.349    | 1,066.02   | 1,639.21      | 573.19 LT              | —                       | —                |
| <b>SALESFORCE.COM, INC. (CRM)</b>                    |            |          |           |            |               |                        |                         |                  |
| 7/2/13                                               | 6,000      | 37.903   | 227.42    | 355.86     | 128.44 LT     | —                      | —                       | —                |
| 7/16/13                                              | 4,000      | 41.748   | 166.99    | 237.24     | 70.25 LT      | —                      | —                       | —                |
| 11/8/13                                              | 12,000     | 54.762   | 657.14    | 711.72     | 54.58 LT      | —                      | —                       | —                |
| 8/15/14                                              | 24,000     | 53.783   | 1,290.78  | 1,423.44   | 132.66 ST     | —                      | —                       | —                |
| <b>Total</b>                                         |            | 46,000   | 2,342.33  | 2,728.26   | 253.27 LT     | 132.66 ST              | —                       | —                |
| <b>SANOFI ADR (SNY)</b>                              |            |          |           |            |               |                        |                         |                  |
| Share Price: \$59.310                                | 11/8/13    | 113,000  | 52.175    | 5,895.73   | 5,153.93      | (741.80) LT            | —                       | —                |
| 12/2/13                                              | 3,000      | 51.917   | 155.75    | 136.83     | (18.92) LT    | —                      | —                       | —                |
| 8/15/14                                              | 4,000      | 53.265   | 213.06    | 182.44     | (30.62) ST    | —                      | —                       | —                |
| <b>Total</b>                                         |            | 120,000  | 6,264.54  | 5,473.20   | (760.72) LT   | 158.00                 | 2.88                    | —                |
| <b>SAP AG (SAP)</b>                                  |            |          |           |            |               |                        |                         |                  |
| Share Price: \$45.610                                | 11/8/13    | 19,000   | 78.766    | 1,496.56   | 1,323.35      | (173.21) LT            | —                       | —                |
| 12/5/13                                              | 3,000      | 81.193   | 243.58    | 208.95     | (34.63) LT    | —                      | —                       | —                |
| 5/14/14                                              | 14,000     | 76.966   | 1,077.52  | 975.10     | (102.42) ST   | —                      | —                       | —                |
| <b>Total</b>                                         |            | 36,000   | 2,817.66  | 2,507.40   | (207.84) LT   | 64.00                  | 2.55                    | —                |
| <b>SBA COMMUNICATIONS CORP (SBAC)</b>                |            |          |           |            |               |                        |                         |                  |
| Share Price: \$69.650                                | 6/27/12    | 1,000    | 57.527    | 57.53      | 110.76        | 53.23 LT               | —                       | —                |
| 11/9/12                                              | 2,000      | 67.020   | 134.04    | 221.52     | 87.48 LT      | —                      | —                       | —                |
| 11/27/12                                             | 5,000      | 68.058   | 340.29    | 553.80     | 213.51 LT     | —                      | —                       | —                |
| 11/8/13                                              | 3,000      | 91.600   | 274.80    | 332.28     | 57.48 LT      | —                      | —                       | —                |
| 1/14/14                                              | 2,000      | 89.790   | 179.58    | 221.52     | 41.94 ST      | —                      | —                       | —                |
| 4/22/14                                              | 2,000      | 92.045   | 184.09    | 221.52     | 37.43 ST      | —                      | —                       | —                |
| 8/15/14                                              | 11,000     | 110.135  | 1,211.49  | 1,218.36   | 6.87 ST       | —                      | —                       | —                |
| <b>Total</b>                                         |            | 26,000   | 2,381.82  | 2,879.76   | 411.70 LT     | 86.24 ST               | —                       | —                |
| <b>SCHNEIDER ELEC SA UNSP ADR (SBGSY)</b>            |            |          |           |            |               |                        |                         |                  |
| Share Price: \$110.760                               | 6/2/14     | 84,000   | 18.907    | 1,588.19   | 1,210.44      | (377.75) ST            | —                       | —                |
| 6/2/14                                               | 42,000     | 18.795   | 789.40    | 605.22     | (184.18) ST H | —                      | —                       | —                |
| 7/3/14                                               | 28,000     | 18.687   | 523.23    | 403.48     | (119.75) ST   | —                      | —                       | —                |
| 7/31/14                                              | 70,000     | 17.054   | 1,193.75  | 1,008.70   | (185.05) ST   | —                      | —                       | —                |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 35 of 62

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
MIRVOLINA J ARENA, P TUFILVARO  
R ARENA JESS CO-TRUSTEE UTA 6/7/07

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                                               | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------------------|------------|----------|-----------|------------|--------------|------------------------|-------------------------|------------------|
|                                                                    | 10/13/14   | 32,000   | 14.213    | 454.81     | 461.12       | 6.31 ST                |                         |                  |
| <b>Total</b>                                                       |            | 256,000  |           | 4,549.38   | 3,688.96     | (860.42) ST            | 77.00                   | 2.08             |
| Share Price: \$14.410; Basis Adjustment Due to Wash Sale: \$192.47 |            |          |           |            |              |                        |                         |                  |
| <b>SCRIPPS NETWORKS INTERAC CL A (SNI)</b>                         |            |          |           |            |              |                        |                         |                  |
|                                                                    | 6/9/14     | 8,000    | 78.295    | 626.36     | 602.16       | (24.20) ST             |                         |                  |
|                                                                    | 8/15/14    | 2,000    | 79.830    | 159.66     | 150.54       | (9.12) ST              |                         |                  |
|                                                                    | 11/13/14   | 2,000    | 73.490    | 146.98     | 150.54       | 3.56 ST                |                         |                  |
| <b>Total</b>                                                       |            | 12,000   |           | 933.00     | 903.24       | (29.76) ST             | 10.00                   | 1.10             |
| Share Price: \$75.270; Next Dividend Payable 03/2015               |            |          |           |            |              |                        |                         |                  |
| <b>SEAGATE TECHNOLOGY PLC (STX)</b>                                |            |          |           |            |              |                        |                         |                  |
|                                                                    | 5/9/14     | 13,000   | 48.707    | 633.19     | 864.50       | 231.31 ST              |                         |                  |
|                                                                    | 8/15/14    | 1,000    | 58.850    | 58.85      | 66.50        | 7.65 ST                |                         |                  |
| <b>Total</b>                                                       |            | 14,000   |           | 692.04     | 931.00       | 238.96 ST              | 30.00                   | 3.22             |
| Share Price: \$66.500; Next Dividend Payable 02/2015               |            |          |           |            |              |                        |                         |                  |
| <b>SERVICENOW INC (NOW)</b>                                        |            |          |           |            |              |                        |                         |                  |
|                                                                    | 5/29/14    | 5,000    | 54.230    | 271.15     | 339.25       | 68.10 ST               |                         |                  |
|                                                                    | 6/9/14     | 2,000    | 55.895    | 111.79     | 135.70       | 23.91 ST               |                         |                  |
|                                                                    | 6/10/14    | 3,000    | 55.570    | 166.71     | 203.55       | 36.84 ST               |                         |                  |
|                                                                    | 6/24/14    | 6,000    | 61.312    | 367.87     | 407.10       | 39.23 ST               |                         |                  |
|                                                                    | 7/21/14    | 6,000    | 58.705    | 352.23     | 407.10       | 54.87 ST               |                         |                  |
|                                                                    | 8/15/14    | 11,000   | 54.989    | 604.88     | 746.35       | 141.47 ST              |                         |                  |
| <b>Total</b>                                                       |            | 33,000   |           | 1,874.63   | 2,239.05     | 364.42 ST              | —                       | —                |
| Share Price: \$67.850                                              |            |          |           |            |              |                        |                         |                  |
| <b>SHIN ETSU CHEM CO LTD ADR (SHECV)</b>                           |            |          |           |            |              |                        |                         |                  |
|                                                                    | 11/8/13    | 190,000  | 14.167    | 2,691.75   | 3,083.70     | 391.95 LT              |                         |                  |
|                                                                    | 8/15/14    | 22,000   | 15.690    | 345.18     | 357.06       | 11.88 ST               |                         |                  |
| <b>Total</b>                                                       |            | 212,000  |           | 3,036.93   | 3,440.76     | 391.95 LT<br>11.88 ST  | 38.00                   | 1.10             |
| Share Price: \$16.230                                              |            |          |           |            |              |                        |                         |                  |
| <b>SHIRE PLC ADR (SHPG)</b>                                        |            |          |           |            |              |                        |                         |                  |
|                                                                    | 10/15/14   | 3,000    | 192.420   | 577.26     | 637.62       | 60.36 ST               | 2.00                    | 0.31             |
| Share Price: \$212.540                                             |            |          |           |            |              |                        |                         |                  |
| <b>SIX FLAGS ENTMT CORP NEW (SIX)</b>                              |            |          |           |            |              |                        |                         |                  |
|                                                                    | 5/9/14     | 15,000   | 40.824    | 612.36     | 647.25       | 34.89 ST               |                         |                  |
|                                                                    | 8/15/14    | 7,000    | 37.076    | 259.53     | 302.05       | 42.52 ST               |                         |                  |
| <b>Total</b>                                                       |            | 22,000   |           | 871.89     | 949.30       | 77.41 ST               | 46.00                   | 4.84             |
| Share Price: \$43.150; Next Dividend Payable 03/2015               |            |          |           |            |              |                        |                         |                  |
| <b>SK TELECOM CO LTD (SKIM)</b>                                    |            |          |           |            |              |                        |                         |                  |
|                                                                    | 11/8/13    | 151,000  | 23.180    | 3,500.16   | 4,078.51     | 578.35 LT              |                         |                  |
|                                                                    | 11/22/13   | 17,000   | 22.364    | 380.18     | 459.17       | 78.99 LT               |                         |                  |
|                                                                    | 2/13/14    | 10,000   | 20.963    | 209.63     | 270.10       | 60.47 ST               |                         |                  |
|                                                                    | 4/11/14    | 2,000    | 21.845    | 43.69      | 54.02        | 10.33 ST               |                         |                  |
|                                                                    | 6/18/14    | 12,000   | 25.420    | 305.04     | 324.12       | 19.08 ST               |                         |                  |

# Morgan Stanley

Page 36 of 62

CLIENT STATEMENT | For the Period December 1-31, 2014

Select UMA Active Assets Account  
M ERVOLINA, J ARENA, P TUFILLARO  
R ARENA, JESS CO-TTEES UTA 6/17/07

## Account Detail

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                                           | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss)     | Estimated Annual Income | Dividend Yield % |
|----------------------------------------------------------------|------------|----------|-----------|------------|--------------|----------------------------|-------------------------|------------------|
|                                                                | 8/15/14    | 8,000    | 28.196    | 225.57     | 216.08       | (9.49) ST                  |                         |                  |
| <b>Total</b>                                                   |            | 200,000  |           | 4,664.27   | 5,402.00     | 657.34 LT<br>80.39 ST      | 13.00                   | 0.24             |
| Share Price: \$27.010                                          |            |          |           |            |              |                            |                         |                  |
| <b>SPLUNK INC (SPLK)</b>                                       | 9/3/14     | 18,000   | 56.869    | 1,023.64   | 1,061.10     | 37.46 ST                   | —                       | —                |
| Share Price: \$58.950                                          |            |          |           |            |              |                            |                         |                  |
| <b>SSE PLC SPON ADR (SSEZY)</b>                                | 11/25/14   | 70,000   | 24.984    | 1,748.89   | 1,768.20     | 19.31 ST                   | 97.00                   | 5.48             |
| Share Price: \$25.260                                          |            |          |           |            |              |                            |                         |                  |
| <b>STARBUCKS CORP WASHINGTON (SBUX)</b>                        | 11/9/12    | 5,000    | 51.316    | 256.58     | 410.25       | 153.67 LT                  |                         |                  |
|                                                                | 9/30/13    | 2,000    | 76.955    | 153.91     | 164.10       | 10.19 LT                   |                         |                  |
|                                                                | 10/31/13   | 5,000    | 81.208    | 406.04     | 410.25       | 4.21 LT                    |                         |                  |
|                                                                | 11/8/13    | 2,000    | 79.950    | 159.90     | 164.10       | 4.20 LT                    |                         |                  |
|                                                                | 11/11/13   | 4,000    | 81.080    | 324.32     | 328.20       | 3.88 LT                    |                         |                  |
|                                                                | 11/20/13   | 6,000    | 79.962    | 479.77     | 492.30       | 12.53 LT                   |                         |                  |
|                                                                | 2/27/14    | 2,000    | 71.935    | 143.87     | 164.10       | 20.23 ST                   |                         |                  |
|                                                                | 8/15/14    | 17,000   | 77.225    | 1,312.82   | 1,394.85     | 82.03 ST                   |                         |                  |
| <b>Total</b>                                                   |            | 43,000   |           | 3,237.21   | 3,528.15     | 188.68 LT<br>102.26 ST     | 55.00                   | 1.55             |
| Share Price: \$82.050, Next Dividend Payable 02/20/15          |            |          |           |            |              |                            |                         |                  |
| <b>SUMITOMO MITSUI FINL GROUP INC (SMFG)</b>                   | 11/8/13    | 254,000  | 9.577     | 2,432.66   | 1,849.12     | (583.54) LT                |                         |                  |
|                                                                | 12/4/13    | 5,000    | 9.570     | 47.85      | 36.40        | (11.45) LT H               |                         |                  |
|                                                                | 2/5/14     | 87,000   | 9.237     | 803.65     | 633.36       | (170.29) ST                |                         |                  |
|                                                                | 5/7/14     | 70,000   | 7.989     | 559.23     | 509.60       | (49.63) ST                 |                         |                  |
|                                                                | 8/15/14    | 12,000   | 8.128     | 97.54      | 87.36        | (10.18) ST                 |                         |                  |
| <b>Total</b>                                                   |            | 428,000  |           | 3,940.93   | 3,115.84     | (594.99) LT<br>(230.10) ST | 84.00                   | 2.69             |
| Share Price: \$7.280, Basis Adjustment Due to Wash Sale \$7.90 |            |          |           |            |              |                            |                         |                  |
| <b>TE CONNECTIVITY LTD NEW (TEL)</b>                           | 5/9/14     | 17,000   | 57.883    | 984.01     | 1,075.25     | 91.24 ST                   |                         |                  |
|                                                                | 8/15/14    | 2,000    | 62.440    | 124.88     | 126.50       | 1.62 ST                    |                         |                  |
| <b>Total</b>                                                   |            | 19,000   |           | 1,108.89   | 1,201.75     | 92.86 ST                   | 22.00                   | 1.83             |
| Share Price: \$63.250, Next Dividend Payable 03/20/15          |            |          |           |            |              |                            |                         |                  |
| <b>TECHNIP-COFLEXIP (TKPPY)</b>                                | 11/8/13    | 75,000   | 25.822    | 1,936.66   | 1,109.62     | (827.04) LT                |                         |                  |
|                                                                | 11/8/13    | 2,000    | 28.845    | 57.69      | 29.58        | (28.11) LT H               |                         |                  |
|                                                                | 11/8/13    | 22,000   | 28.615    | 629.53     | 325.48       | (304.05) LT H              |                         |                  |
|                                                                | 12/3/13    | 40,000   | 24.374    | 974.97     | 591.79       | (383.18) LT                |                         |                  |
|                                                                | 2/21/14    | 6,000    | 24.803    | 148.82     | 88.76        | (60.06) ST                 |                         |                  |

## CLIENT STATEMENT

Select UMA Active Assets Account

| TIME INC (TIME) | 4/13/12 | 4,000  | 11,345 | 45.38  | 98.44  | 53.06 LT |
|-----------------|---------|--------|--------|--------|--------|----------|
|                 | 6/24/14 | 3,000  | 23,820 | 71.46  | 73.83  | 2.37 ST  |
|                 | 9/5/14  | 14,000 | 23,647 | 331.06 | 344.54 | 13.48 ST |

## Account Detail

Select UMA/Active Assets Account  
571-010604-127  
M IERVOLINA-JARENA, P TUFILLARO  
R ARENA, JESS GOITEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                  | Trade Date     | Quantity      | Unit Cost       | Total Cost      | Market Value                             | Unrealized Gain/(Loss)             | Estimated Annual Income | Dividend Yield % |
|-------------------------------------------------------|----------------|---------------|-----------------|-----------------|------------------------------------------|------------------------------------|-------------------------|------------------|
| <b>TIME WARNER INC NEW (TWX)</b>                      | <b>Total</b>   | <b>21,000</b> |                 | <b>447.90</b>   | <b>516.81</b>                            | <b>53.06 LT</b><br><b>15.85 ST</b> | <b>16.00</b>            | <b>3.09</b>      |
| Share Price: \$24.610; Next Dividend Payable 03/2015  |                |               |                 |                 |                                          |                                    |                         |                  |
| 4/13/12                                               | 7,000          | 34.647        | 242.53          | 597.94          | 355.41 LT                                |                                    |                         |                  |
| 9/13/13                                               | 1,000          | 60.410        | 60.41           | 85.42           | 25.01 LT                                 |                                    |                         |                  |
| 8/15/14                                               | 9,000          | 76.730        | 690.57          | 768.78          | 78.21 ST                                 |                                    |                         |                  |
| <b>Total</b>                                          | <b>17,000</b>  |               | <b>993.51</b>   | <b>1,452.14</b> | <b>380.42 LT</b><br><b>78.21 ST</b>      |                                    | <b>22.00</b>            | <b>1.51</b>      |
| Share Price: \$85.420; Next Dividend Payable 03/2015  |                |               |                 |                 |                                          |                                    |                         |                  |
| <b>TOTAL S A SPON ADR (TOT)</b>                       | <b>11/8/13</b> | <b>48,000</b> | <b>58.791</b>   | <b>2,821.97</b> | <b>2,457.60</b>                          | <b>(364.37) LT</b>                 |                         |                  |
| 8/21/14                                               | 7,000          | 64.904        | 454.33          | 358.40          | (95.93) ST                               |                                    |                         |                  |
| 10/30/14                                              | 21,000         | 59.187        | 1,242.93        | 1,075.20        | (167.73) ST                              |                                    |                         |                  |
| <b>Total</b>                                          | <b>76,000</b>  |               | <b>4,519.23</b> | <b>3,891.20</b> | <b>(364.37) LT</b><br><b>(263.66) ST</b> |                                    | <b>203.00</b>           | <b>5.21</b>      |
| Share Price: \$51.200; Next Dividend Payable 01/07/15 |                |               |                 |                 |                                          |                                    |                         |                  |
| <b>TOYOTA MOTOR CP ADR NEW (TM)</b>                   | <b>11/8/13</b> | <b>36,000</b> | <b>127.257</b>  | <b>4,581.26</b> | <b>4,517.28</b>                          | <b>(63.98) LT</b>                  |                         |                  |
| 4/11/14                                               | 1,000          | 104.400       | 104.40          | 125.48          | 21.08 ST                                 |                                    |                         |                  |
| <b>Total</b>                                          | <b>37,000</b>  |               | <b>4,685.66</b> | <b>4,642.76</b> | <b>(63.98) LT</b><br><b>21.08 ST</b>     |                                    | <b>107.00</b>           | <b>2.30</b>      |
| Share Price: \$125.480                                |                |               |                 |                 |                                          |                                    |                         |                  |
| <b>TRAVELERS COMPANIES INC COM (TRV)</b>              | <b>5/9/14</b>  | <b>11,000</b> | <b>91.029</b>   | <b>1,001.31</b> | <b>1,164.35</b>                          | <b>163.04 ST</b>                   |                         |                  |
| 8/15/14                                               | 6,000          | 92.340        | 554.04          | 635.10          | 81.06 ST                                 |                                    |                         |                  |
| <b>Total</b>                                          | <b>17,000</b>  |               | <b>1,555.35</b> | <b>1,799.45</b> | <b>244.10 ST</b>                         |                                    | <b>37.00</b>            | <b>2.05</b>      |
| Share Price: \$105.850; Next Dividend Payable 03/2015 |                |               |                 |                 |                                          |                                    |                         |                  |
| <b>TWENTY-FIRST CENTURY FOX CL A (FOXA)</b>           | <b>11/8/13</b> | <b>4,000</b>  | <b>33.057</b>   | <b>132.23</b>   | <b>153.62</b>                            | <b>21.39 LT</b>                    |                         |                  |
| 11/8/13                                               | 6,000          | 35.215        | 211.29          | 230.43          | 19.14 LT H                               |                                    |                         |                  |
| 11/11/13                                              | 5,000          | 33.478        | 167.39          | 192.02          | 24.63 LT                                 |                                    |                         |                  |
| 11/27/13                                              | 10,000         | 33.375        | 333.75          | 384.05          | 50.30 LT                                 |                                    |                         |                  |
| 3/25/14                                               | 10,000         | 32.534        | 325.34          | 384.05          | 58.71 ST                                 |                                    |                         |                  |
| 4/8/14                                                | 10,000         | 32.471        | 324.71          | 384.05          | 59.34 ST                                 |                                    |                         |                  |
| 5/2/14                                                | 5,000          | 32.440        | 162.20          | 192.02          | 29.82 ST                                 |                                    |                         |                  |
| 8/14/14                                               | 14,000         | 35.408        | 495.71          | 537.67          | 41.96 ST                                 |                                    |                         |                  |
| 8/15/14                                               | 32,000         | 36.006        | 1,152.20        | 1,228.96        | 76.76 ST                                 |                                    |                         |                  |
| 9/3/14                                                | 10,000         | 36.298        | 362.98          | 384.05          | 21.07 ST                                 |                                    |                         |                  |
| 9/25/14                                               | 4,000          | 34.087        | 136.35          | 153.62          | 17.27 ST                                 |                                    |                         |                  |
| 11/25/14                                              | 4,000          | 36.345        | 145.38          | 153.62          | 8.24 ST                                  |                                    |                         |                  |

Select UMA Active Assets Account  
 MIERVOLINA, J ARENA, P TUFILLARO  
 R ARENA, J ESS CO-TITEES UTA 6/17/07  
 571-010604-127

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|----------|-----------|------------|-----------------|------------------------|-------------------------|------------------|
| <b>Total</b>         |            | 114 000  |           | 3,949.53   | <b>4,378.17</b> | 115.46 LT<br>313.17 ST | 29.00                   | 0.66             |

Share Price: \$38.405: Next Dividend Payable 04/2015, Basis Adjustment Due to Wash Sale \$6.77

|                    |         |        |        |          |               |                    |
|--------------------|---------|--------|--------|----------|---------------|--------------------|
| Twitter Inc (TWTR) | 7/30/14 | 5,000  | 46,641 | 233.21   | 179.35        | (53.86) ST         |
|                    | 8/15/14 | 21,000 | 45,427 | 953.97   | 753.27        | (200.70) ST        |
| <b>Total</b>       |         | 26,000 |        | 1,187.18 | <b>932.62</b> | <b>(254.56) ST</b> |

Share Price: \$35.870

| TYSON FOODS INC CL A (TSN) |               |        |                 |                 |                 |             |
|----------------------------|---------------|--------|-----------------|-----------------|-----------------|-------------|
| 5/9/14                     | 26,000        | 39,185 | 1,018.81        | 1,042.34        | 23.53 ST        |             |
| 8/15/14                    | 7,000         | 37,710 | 263.97          | 280.63          | 16.66 ST        |             |
| 10/1/14                    | 6,000         | 39,758 | 238.55          | 240.54          | 1.99 ST         |             |
| 12/12/14                   | 6,000         | 41,228 | 247.37          | 240.54          | (6.83) ST       |             |
| <b>Total</b>               | <b>45,000</b> |        | <b>1,768.70</b> | <b>1,804.05</b> | <b>35.35 ST</b> | <b>0.99</b> |

Share Price: \$40.090, Next Dividend Payable 03/2015

| UBS GROUP AG SHS (UBS) |          |         |        |          |                 |
|------------------------|----------|---------|--------|----------|-----------------|
|                        | 11/8/13  | 280,000 | 18,087 | 5,064.47 | 4,774.00        |
|                        | 12/11/13 | 13,000  | 18,798 | 244.38   | 221.65          |
|                        | 1/24/14  | 25,000  | 20,040 | 500.99   | 426.25          |
|                        | 8/15/14  | 11,000  | 17,851 | 196.36   | 187.55          |
| <b>Total</b>           |          | 329,000 |        | 6,006.20 | <b>5,609.45</b> |
|                        |          |         |        |          | (290.47) LT     |
|                        |          |         |        |          | (22.73) LT      |
|                        |          |         |        |          | (74.74) ST      |
|                        |          |         |        |          | (8.81) ST       |
|                        |          |         |        |          | (313.20) LT     |
|                        |          |         |        |          | (83.55) ST      |

Share Price \$17 050

| DATE         | QUANTITY      | UNIT PRICE | TOTAL           | REMARKS         |
|--------------|---------------|------------|-----------------|-----------------|
| 11/9/12      | 2,000         | 89.480     | 178.96          | 76.72 LT        |
| 3/13/13      | 2,000         | 87.270     | 174.54          | 81.14 LT        |
| 8/1/13       | 3,000         | 101.123    | 303.37          | 80.15 LT        |
| 8/23/13      | 2,000         | 103.555    | 207.11          | 48.57 LT        |
| 11/8/13      | 2,000         | 128.310    | 256.62          | (0.94) LT       |
| 2/3/14       | 2,000         | 83.795     | 167.59          | 88.09 ST        |
| 8/4/14       | 1,000         | 94.360     | 94.36           | 33.48 ST        |
| 8/15/14      | 7,000         | 94.377     | 660.64          | 234.24 ST       |
| <b>Total</b> | <b>21,000</b> |            | <b>2,043.19</b> | <b>2,684.64</b> |
|              |               |            |                 | 285.64 LT       |
|              |               |            |                 | 355.81 ST       |

Share Price \$127.840

| UNDER ARMOUR INC CL A (UA) |               |        |               |               |                 |          |
|----------------------------|---------------|--------|---------------|---------------|-----------------|----------|
| 7/11/14                    | 6,000         | 58,553 | 351.32        | 407.40        | 56.08 ST        |          |
| 8/15/14                    | 3,000         | 69,140 | 207.42        | 203.70        | (3.72) ST       |          |
| 10/23/14                   | 5,000         | 65,572 | 327.86        | 339.50        | 11.64 ST        |          |
| <b>Total</b>               | <b>14,000</b> |        | <b>886.60</b> | <b>950.60</b> | <b>64.00 ST</b> | <b>-</b> |

Share Price \$67.900

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
M. ERVOLINA J. ARENA, P. TUFI LLAR O  
R. ARENA, JESS CO-TRUSTEES UTA 6/17/07

### STOCKS

#### COMMON STOCKS (CONTINUED)

| Security Description                               | Trade Date | Quantity      | Unit Cost | Total Cost      | Market Value    | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|----------------------------------------------------|------------|---------------|-----------|-----------------|-----------------|------------------------|-------------------------|------------------|
| UNILEVER NV NY SH NEW (UN)<br>Share Price \$39.040 | 11/8/13    | 56,000        | 38.307    | 2,145.20        | 2,186.24        | 41.04 LT               | 72.00                   | 3.29             |
| UNION PACIFIC CORP (UNP)                           |            |               |           |                 |                 |                        |                         |                  |
|                                                    | 4/15/11    | 1,000         | 48.775    | 48.78           | 119.13          | 70.35 LT               |                         |                  |
|                                                    | 6/3/11     | 4,000         | 50.730    | 202.92          | 476.52          | 273.60 LT              |                         |                  |
|                                                    | 6/3/11     | 2,000         | 50.730    | 101.46          | 238.26          | 136.80 LT              |                         |                  |
|                                                    | 8/30/11    | 6,000         | 45.305    | 271.83          | 714.78          | 442.95 LT              |                         |                  |
|                                                    | 8/30/11    | 8,000         | 45.305    | 362.44          | 953.04          | 590.60 LT              |                         |                  |
|                                                    | 9/21/11    | 18,000        | 43.375    | 780.75          | 2,144.34        | 1,363.59 LT            |                         |                  |
|                                                    | 11/9/12    | 14,000        | 60.380    | 845.32          | 1,667.82        | 822.50 LT              |                         |                  |
|                                                    | 11/8/13    | 8,000         | 76.925    | 615.40          | 953.04          | 337.64 LT              |                         |                  |
|                                                    | 8/15/14    | 3,000         | 102.127   | 306.38          | 357.39          | 51.01 ST               |                         |                  |
| <b>Total</b>                                       |            | <b>64,000</b> |           | <b>3,535.28</b> | <b>7,624.32</b> | <b>4,038.03 LT</b>     | <b>128.00</b>           | <b>1.67</b>      |

Share Price: \$119.130, Next Dividend Payable 01/02/15

|                                    |         |               |        |               |               |                  |           |           |
|------------------------------------|---------|---------------|--------|---------------|---------------|------------------|-----------|-----------|
| UNITED CONTINENTAL HLDGS INC (UAL) | 6/13/14 | 8,000         | 42.394 | 339.15        | 535.12        | 195.97 ST        |           |           |
|                                    | 8/15/14 | 3,000         | 45.960 | 137.88        | 200.67        | 62.79 ST         |           |           |
| <b>Total</b>                       |         | <b>11,000</b> |        | <b>477.03</b> | <b>735.79</b> | <b>258.76 ST</b> | <b>--</b> | <b>--</b> |

Share Price \$66.890

#### UNITEDHEALTH GP INC (UNH)

|              |          |               |        |                 |                 |                  |              |             |
|--------------|----------|---------------|--------|-----------------|-----------------|------------------|--------------|-------------|
|              | 3/21/14  | 2,000         | 81.460 | 162.92          | 202.18          | 39.26 ST         |              |             |
|              | 3/21/14  | 1,000         | 82.840 | 82.84           | 101.09          | 18.25 ST H       |              |             |
|              | 3/27/14  | 3,000         | 81.143 | 243.43          | 303.27          | 59.84 ST         |              |             |
|              | 4/16/14  | 2,000         | 78.255 | 156.51          | 202.18          | 45.67 ST         |              |             |
|              | 4/17/14  | 4,000         | 75.825 | 303.30          | 404.36          | 101.06 ST        |              |             |
|              | 5/7/14   | 2,000         | 77.670 | 155.34          | 202.18          | 46.84 ST         |              |             |
|              | 6/20/14  | 4,000         | 81.035 | 324.14          | 404.36          | 80.22 ST         |              |             |
|              | 8/15/14  | 8,000         | 82.210 | 657.68          | 808.72          | 151.04 ST        |              |             |
|              | 10/16/14 | 9,000         | 85.457 | 769.11          | 909.81          | 140.70 ST        |              |             |
| <b>Total</b> |          | <b>35,000</b> |        | <b>2,855.27</b> | <b>3,538.15</b> | <b>682.88 ST</b> | <b>53.00</b> | <b>1.49</b> |

Share Price: \$101.090, Next Dividend Payable 03/2015, Basis Adjustment Due to Wash Sale \$1.70

#### VALIDUS HOLDINGS LTD COM (VR)

|              |         |               |        |               |               |                 |              |             |
|--------------|---------|---------------|--------|---------------|---------------|-----------------|--------------|-------------|
|              | 5/9/14  | 9,000         | 37.238 | 335.14        | 374.04        | 38.90 ST        |              |             |
|              | 8/15/14 | 3,000         | 38.430 | 115.29        | 124.68        | 9.39 ST         |              |             |
| <b>Total</b> |         | <b>12,000</b> |        | <b>450.43</b> | <b>498.72</b> | <b>48.29 ST</b> | <b>14.00</b> | <b>2.80</b> |

Share Price \$41.560, Next Dividend Payable 03/2015

#### VERIZON COMMUNICATIONS (VZ)

|  |         |        |        |        |        |            |  |  |
|--|---------|--------|--------|--------|--------|------------|--|--|
|  | 5/9/14  | 13,000 | 48.509 | 630.62 | 608.14 | (22.48) ST |  |  |
|  | 8/15/14 | 7,000  | 48.890 | 342.23 | 327.46 | (14.77) ST |  |  |
|  | 8/26/14 | 4,000  | 49.145 | 196.58 | 187.12 | (9.46) ST  |  |  |



## Account Detail

Select UMA Active Assets Account  
571-010604-127  
MIRVOLINA JARENA, P TUELLARO  
R ARENA JESS CO TIESUTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description          | Trade Date                                                                                        | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|-------------------------------|---------------------------------------------------------------------------------------------------|----------|-----------|------------|--------------|------------------------|-------------------------|------------------|
| VERTEX PHARMACEUTICALS (VRTX) | 11/13/14                                                                                          | 9,000    | 51.260    | 461.34     | 421.02       | (40.32) ST             |                         |                  |
|                               | Total                                                                                             | 33,000   |           | 1,630.77   | 1,543.74     | (87.03) ST             | 73.00                   | 4.72             |
|                               | Share Price: \$46.780; Next Dividend Payable 02/20/15                                             |          |           |            |              |                        |                         |                  |
| VISA INC CL A (V)             | 12/23/14                                                                                          | 6,000    | 114.072   | 684.43     | 712.80       | 28.37 ST               |                         |                  |
|                               | 5/10/11                                                                                           | 7,000    | 80.214    | 561.50     | 1,835.40     | 1,273.90 LT            |                         |                  |
|                               | 6/3/11                                                                                            | 1,000    | 79.330    | 79.33      | 262.20       | 182.87 LT              |                         |                  |
| VODAFONE GROUP PLC (VOD)      | 6/8/11                                                                                            | 9,000    | 77.148    | 694.33     | 2,359.80     | 1,665.47 LT            |                         |                  |
|                               | 11/9/12                                                                                           | 5,000    | 140.830   | 704.15     | 1,311.00     | 606.85 LT              |                         |                  |
|                               | 11/8/13                                                                                           | 1,000    | 197.390   | 197.39     | 262.20       | 64.81 LT               |                         |                  |
| WELLS FARGO & CO NEW (WFC)    | 8/15/14                                                                                           | 8,000    | 212.738   | 1,701.90   | 2,097.60     | 395.70 ST              | 60.00                   | 0.73             |
|                               | Total                                                                                             | 31,000   |           | 3,938.60   | 8,128.20     | 3,793.90 LT            |                         |                  |
|                               | Share Price: \$262.200; Next Dividend Payable 03/20/15                                            |          |           |            |              |                        |                         |                  |
| WALT DISNEY CO HLDG CO (DIS)  | 11/8/13                                                                                           | 71,000   | 67.189    | 4,770.41   | 2,426.07     | (2,344.34) LT          |                         |                  |
|                               | 11/14/13                                                                                          | 0,727    | 66.437    | 48.30      | 24.84        | (23.46) LT H           |                         |                  |
|                               | 2/27/14                                                                                           | 15,273   | 40.993    | 626.08     | 521.88       | (104.20) ST            |                         |                  |
| WELLS FARGO & CO NEW (WFC)    | 4/11/14                                                                                           | 1,000    | 35.850    | 35.85      | 34.17        | (1.68) ST              |                         |                  |
|                               | 8/15/14                                                                                           | 7,000    | 33.043    | 231.30     | 239.19       | 7.89 ST                | 171.00                  | 5.26             |
|                               | Total                                                                                             | 95,000   |           | 5,711.94   | 3,246.15     | (2,367.80) LT          |                         |                  |
|                               | Share Price: \$34.170; Next Dividend Payable 02/04/15, Basis Adjustment Due to Wash Sale: \$18.50 |          |           |            |              |                        |                         |                  |
| WELLS FARGO & CO NEW (WFC)    | 1/23/13                                                                                           | 1,000    | 53.853    | 53.85      | 94.19        | 40.34 LT               |                         |                  |
|                               | 1/25/13                                                                                           | 6,000    | 54.373    | 326.24     | 565.14       | 238.90 LT              |                         |                  |
|                               | 4/19/13                                                                                           | 6,000    | 61.215    | 367.29     | 565.14       | 197.85 LT              |                         |                  |
| WELLS FARGO & CO NEW (WFC)    | 8/29/13                                                                                           | 3,000    | 61.367    | 184.10     | 282.57       | 98.47 LT               |                         |                  |
|                               | 8/15/14                                                                                           | 6,000    | 89.050    | 534.30     | 565.14       | 30.84 ST               |                         |                  |
|                               | 10/10/14                                                                                          | 6,000    | 86.450    | 518.70     | 565.14       | 46.44 ST               | 32.00                   | 1.21             |
|                               | Total                                                                                             | 28,000   |           | 1,984.48   | 2,637.32     | 575.56 LT              |                         |                  |
|                               | Share Price: \$94.190; Next Dividend Payable 01/08/15                                             |          |           |            |              |                        |                         |                  |
| WELLS FARGO & CO NEW (WFC)    | 12/17/12                                                                                          | 12,000   | 34.170    | 410.04     | 657.84       | 247.80 LT              |                         |                  |
|                               | 12/17/12                                                                                          | 3,000    | 34.170    | 102.51     | 164.46       | 61.95 LT               |                         |                  |
|                               | 12/19/12                                                                                          | 13,000   | 34.938    | 454.19     | 712.66       | 258.47 LT              |                         |                  |
| WELLS FARGO & CO NEW (WFC)    | 12/20/12                                                                                          | 10,000   | 34.960    | 349.60     | 548.20       | 198.60 LT              |                         |                  |
|                               | 9/13/13                                                                                           | 9,000    | 42.246    | 380.21     | 493.38       | 113.17 LT              |                         |                  |

## Account Detail

Select UMA Active Assets Account  
571-010604-127MERVOLINA J ARENA P TUFILVARO  
R ARENA JESS CO-TIEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                   | Trade Date   | Quantity | Unit Cost | Total Cost | Market Value    | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------|--------------|----------|-----------|------------|-----------------|------------------------|-------------------------|------------------|
|                                                        | 12/18/13     | 4,000    | 43.843    | 175.37     | 219.28          | 43.91 LT               |                         |                  |
|                                                        | 4/11/14      | 2,000    | 47.970    | 95.94      | 109.64          | 13.70 ST               |                         |                  |
|                                                        | 5/9/14       | 36,000   | 49.135    | 1,768.86   | 1,973.52        | 204.66 ST              |                         |                  |
|                                                        | 8/15/14      | 26,000   | 50.500    | 1,313.00   | 1,425.32        | 112.32 ST              |                         |                  |
|                                                        | <b>Total</b> | 115,000  |           | 5,049.72   | <b>6,304.30</b> | 923.90 LT<br>330.68 ST | 161.00                  | 2.55             |
| Share Price: \$54.820; Next Dividend Payable 03/2015   |              |          |           |            |                 |                        |                         |                  |
| <b>WESTERN DIGITAL CORPORATION (WDC)</b>               | 5/9/14       | 12,000   | 82.452    | 989.42     | 1,328.40        | 338.98 ST              |                         |                  |
|                                                        | 11/17/14     | 3,000    | 98.420    | 295.26     | 332.10          | 36.84 ST               |                         |                  |
|                                                        | <b>Total</b> | 15,000   |           | 1,284.68   | <b>1,660.50</b> | 375.82 ST              | 24.00                   | 1.44             |
| Share Price: \$110.700; Next Dividend Payable 01/15/15 |              |          |           |            |                 |                        |                         |                  |
| <b>WHOLE FOODS MARKETS INC (WFM)</b>                   | 11/7/14      | 20,000   | 46.486    | 929.72     | 1,008.40        | 78.68 ST               |                         |                  |
|                                                        | 11/10/14     | 16,000   | 47.821    | 765.14     | 806.72          | 41.58 ST               |                         |                  |
|                                                        | <b>Total</b> | 36,000   |           | 1,694.86   | <b>1,815.12</b> | 120.26 ST              | 19.00                   | 1.04             |
| Share Price: \$50.420; Next Dividend Payable 01/2015   |              |          |           |            |                 |                        |                         |                  |
| <b>WORKDAY INC CL A (WDAY)</b>                         | 1/10/14      | 2,000    | 89.120    | 178.24     | 163.22          | (15.02) ST             |                         |                  |
|                                                        | 1/13/14      | 2,000    | 89.190    | 178.38     | 163.22          | (15.16) ST             |                         |                  |
|                                                        | 1/15/14      | 4,000    | 91.730    | 366.92     | 326.44          | (40.48) ST             |                         |                  |
|                                                        | 4/11/14      | 1,000    | 74.270    | 74.27      | 81.61           | 7.34 ST                |                         |                  |
|                                                        | 4/21/14      | 2,000    | 78.750    | 157.50     | 163.22          | 5.72 ST                |                         |                  |
|                                                        | 5/28/14      | 2,000    | 83.155    | 166.31     | 163.22          | (3.09) ST              |                         |                  |
|                                                        | 6/16/14      | 3,000    | 85.377    | 256.13     | 244.83          | (11.30) ST             |                         |                  |
|                                                        | 8/15/14      | 7,000    | 87.039    | 609.27     | 571.27          | (38.00) ST             |                         |                  |
|                                                        | <b>Total</b> | 23,000   |           | 1,987.02   | <b>1,877.03</b> | (109.99) ST            | --                      | --               |
| Share Price: \$81.610                                  |              |          |           |            |                 |                        |                         |                  |
| <b>ZIMMER HLDGS INC (ZMH)</b>                          | 5/9/14       | 7,000    | 98.559    | 689.91     | 793.94          | 104.03 ST              |                         |                  |
|                                                        | 8/15/14      | 4,000    | 97.960    | 391.84     | 453.68          | 61.84 ST               |                         |                  |
|                                                        | <b>Total</b> | 11,000   |           | 1,081.75   | <b>1,247.62</b> | 165.87 ST              | 10.00                   | 0.80             |
| Share Price: \$113.420; Next Dividend Payable 01/30/15 |              |          |           |            |                 |                        |                         |                  |
| <b>ZOETIS INC CLASS-A (ZTS)</b>                        | 4/11/13      | 7,000    | 33.717    | 236.02     | 301.21          | 65.19 LT H             |                         |                  |
|                                                        | 4/18/13      | 2,000    | 33.385    | 66.77      | 86.06           | 19.29 LT H             |                         |                  |
|                                                        | 6/2/13       | 4,000    | 34.013    | 136.05     | 172.12          | 36.07 LT H             |                         |                  |
|                                                        | 6/21/13      | 4,000    | 30.733    | 122.93     | 172.12          | 49.19 LT               |                         |                  |
|                                                        | 7/15/13      | 5,000    | 31.480    | 157.40     | 215.15          | 57.75 LT               |                         |                  |
|                                                        | 11/8/13      | 1,000    | 31.255    | 31.25      | 43.03           | 11.78 LT               |                         |                  |
|                                                        | 8/15/14      | 16,000   | 33.459    | 535.34     | 688.48          | 153.14 ST              |                         |                  |

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
MIRVOLINA, J ARENA, P TUFILLARO  
R ARENA, JESS CO-TIEES UTA 6/17/07

## STOCKS

## COMMON STOCKS (CONTINUED)

| Security Description                                                                             | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|--------------------------------------------------------------------------------------------------|------------|----------|-----------|------------|--------------|------------------------|-------------------------|------------------|
| <b>Total</b>                                                                                     |            | 39,000   |           | 1,285.76   | 1,678.17     | 239.27 LT<br>153.14 ST | 13.00                   | 0.77             |
| Share Price: \$43.030, Next Dividend Payable 03/2015; Basis Adjustment Due to Wash Sale: \$23.71 |            |          |           |            |              |                        |                         |                  |
| <b>ZURICH INSURANCE GRP LTD ADR (ZURV)</b>                                                       | 11/8/13    | 90,000   | 27.135    | 2,442.15   | 2,808.00     | 365.85 LT              |                         |                  |
|                                                                                                  | 12/18/13   | 20,000   | 28.160    | 563.19     | 624.00       | 60.81 LT               |                         |                  |
|                                                                                                  | 1/10/14    | 16,000   | 29.163    | 466.60     | 499.20       | 32.60 ST               |                         |                  |
|                                                                                                  | 4/11/14    | 1,000    | 28.340    | 28.34      | 31.20        | 2.86 ST                |                         |                  |
|                                                                                                  | 5/7/14     | 7,000    | 28.993    | 202.95     | 218.40       | 15.45 ST               |                         |                  |
|                                                                                                  | 8/15/14    | 7,000    | 30.100    | 210.70     | 218.40       | 7.70 ST                |                         |                  |
| <b>Total</b>                                                                                     |            | 141,000  |           | 3,913.93   | 4,399.20     | 426.66 LT<br>58.61 ST  |                         |                  |

Share Price: \$31.200

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost   | Market Value | Unrealized Gain/(Loss)           | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|----------|-----------|--------------|--------------|----------------------------------|-------------------------|------------------|
| <b>Total</b>         |            |          |           | \$496,843.43 | \$541,007.97 | \$29,828.72 LT<br>\$14,307.08 ST | \$8,867.00              | 1.64%            |

## STOCKS

## MUTUAL FUNDS

## OTHER MUTUAL FUNDS

"Total Purchases vs Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account

"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash, due to but not limited to investments made prior to addition of this information on statements; securities transfers, timing of recent distributions, and certain adjustments made in your account

"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes

| Security Description                          | Trade Date | Quantity  | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|-----------------------------------------------|------------|-----------|-----------|------------|--------------|------------------------|-------------------------|------------------|
| <b>AMG SYSTEMATIC MID CAP VAL INT (SYMXX)</b> | 6/3/11     | 342,019   | \$11.830  | \$4,046.11 | \$4,706.18   | \$660.07 LT            |                         |                  |
|                                               | 10/7/11    | 401,154   | 9.520     | 3,818.99   | 5,519.87     | 1,700.88 LT            |                         |                  |
|                                               | 4/13/12    | 50,583    | 11.130    | 562.99     | 696.02       | 133.03 LT              |                         |                  |
|                                               | 11/9/12    | 26,334    | 11.240    | 295.99     | 362.35       | 66.36 LT               |                         |                  |
|                                               | 3/8/13     | 1,183,549 | 13.070    | 15,468.99  | 16,285.63    | 816.64 LT              |                         |                  |
|                                               | 11/8/13    | 38,208    | 14.970    | 571.98     | 525.74       | (46.24) LT             |                         |                  |
|                                               | 4/11/14    | 165,075   | 13.830    | 2,282.99   | 2,271.43     | (11.56) ST             |                         |                  |
|                                               | 8/15/14    | 1,103,218 | 14.600    | 16,106.99  | 15,180.28    | (926.71) ST            |                         |                  |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 44 of 62

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
MERVOLINA, JARENA, P TUFILLARO  
RARENA, JESS CO-TRUSTEE UTA 6/17/07

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

| Security Description                                     | Trade Date | Quantity    | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss)     | Estimated Annual Income | Dividend Yield % |
|----------------------------------------------------------|------------|-------------|-----------|------------|--------------|----------------------------|-------------------------|------------------|
| <b>Total</b>                                             |            | 3,310.140   |           | 43,155.03  | 45,547.53    | 3,330.74 LT<br>(938.27) ST | 364.00                  | 0.79             |
| Total Purchases vs Market Value                          |            |             |           |            |              |                            |                         |                  |
| Cumulative Cash Distributions                            |            |             |           |            | 45,547.53    |                            |                         |                  |
| Net Value Increase/(Decrease)                            |            |             |           | 43,155.03  | 8,442.99     |                            |                         |                  |
| Share Price: \$13.760, Dividend Cash, Capital Gains Cash |            |             |           |            | 10,835.49    |                            |                         |                  |
| <b>BLACKROCK LOW DUR BD INV INST (BFMSX)</b>             |            |             |           |            |              |                            |                         |                  |
|                                                          | 10/9/14    | 34,271.208  | 9.760     | 334,486.99 | 331,745.29   | (2,741.70) ST              | 7,094.00                | 2.13             |
| Total Purchases vs Market Value                          |            |             |           |            |              |                            |                         |                  |
| Cumulative Cash Distributions                            |            |             |           | 334,486.99 | 331,745.29   |                            |                         |                  |
| Net Value Increase/(Decrease)                            |            |             |           |            | 1,280.36     |                            |                         |                  |
| Share Price: \$9.680, Dividend Cash, Capital Gains Cash  |            |             |           |            | (1,461.34)   |                            |                         |                  |
| <b>DELAWARE INV SM CAP VAL INST (DEVIX)</b>              |            |             |           |            |              |                            |                         |                  |
|                                                          | 5/17/13    | 248.827     | 49.060    | 12,207.44  | 13,697.93    | 1,490.49 LT                |                         |                  |
|                                                          | 11/8/13    | 307.101     | 53.370    | 16,389.97  | 16,905.91    | 515.94 LT                  |                         |                  |
| <b>Total</b>                                             |            | 555.928     |           | 28,597.41  | 30,603.84    | 2,006.43 LT                | 173.00                  | 0.56             |
| Total Purchases vs Market Value                          |            |             |           |            |              |                            |                         |                  |
| Cumulative Cash Distributions                            |            |             |           | 28,597.41  | 30,603.84    |                            |                         |                  |
| Net Value Increase/(Decrease)                            |            |             |           |            | 2,407.31     |                            |                         |                  |
| Share Price: \$55.050, Dividend Cash, Capital Gains Cash |            |             |           |            | 4,413.74     |                            |                         |                  |
| <b>E V INCOME FUND OF BOSTON I (EIBIX)</b>               |            |             |           |            |              |                            |                         |                  |
|                                                          | 8/24/12    | 2,560.650   | 5.880     | 15,056.62  | 15,031.02    | (25.60) LT                 |                         |                  |
|                                                          | 3/8/13     | 2,910.724   | 6.060     | 17,638.99  | 17,085.95    | (553.04) LT                |                         |                  |
|                                                          | 9/13/13    | 244.274     | 5.940     | 1,450.99   | 1,433.89     | (17.10) LT                 |                         |                  |
|                                                          | 11/8/13    | 5,326.488   | 6.040     | 32,171.99  | 31,266.48    | (905.51) LT                |                         |                  |
|                                                          | 4/11/14    | 94.770      | 6.120     | 579.99     | 556.30       | (23.69) ST                 |                         |                  |
|                                                          | 8/15/14    | 5,931.033   | 6.090     | 36,119.99  | 34,815.16    | (1,304.83) ST              |                         |                  |
| <b>Total</b>                                             |            | 17,067.939  |           | 103,018.57 | 100,188.80   | (2,829.77) ST              | 6,349.00                | 6.33             |
| Total Purchases vs Market Value                          |            |             |           |            |              |                            |                         |                  |
| Cumulative Cash Distributions                            |            |             |           | 103,018.57 | 100,188.80   |                            |                         |                  |
| Net Value Increase/(Decrease)                            |            |             |           |            | 10,359.35    |                            |                         |                  |
| Share Price: \$5.870, Dividend Cash, Capital Gains Cash  |            |             |           |            | 7,529.58     |                            |                         |                  |
| <b>INVESCO PREMIER INST (IPPPX)</b>                      |            |             |           |            |              |                            |                         |                  |
|                                                          |            | 174,091.000 | 0.000     | 0.00       | 174,091.00   |                            | 33.00                   | 0.01             |
| Share Price: \$1.000, Dividend Cash, Capital Gains Cash  |            |             |           |            |              |                            |                         |                  |
| <b>IVY MID CAP GROWTH I (IYMIX)</b>                      |            |             |           |            |              |                            |                         |                  |
|                                                          | 6/3/11     | 412.309     | 18.570    | 7,656.62   | 9,821.20     | 2,164.58 LT                |                         |                  |
|                                                          | 4/13/12    | 14,679      | 18.870    | 276.99     | 349.65       | 72.66 LT                   |                         |                  |
|                                                          | 11/9/12    | 1,843       | 18.437    | 33.98      | 43.90        | 9.92 LT                    |                         |                  |
|                                                          | 3/8/13     | 741.871     | 21.160    | 15,697.98  | 17,671.37    | 1,973.39 LT                |                         |                  |

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 45 of 62

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
MIEVOLINA, J ARENA, P TUFILLARO  
R ARENA, J ESS CO-TIEES UTA 6/17/07

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

| Security Description | Trade Date | Quantity  | Unit Cost | Total Cost | Market Value     | Unrealized Gain/(Loss)     | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|-----------|-----------|------------|------------------|----------------------------|-------------------------|------------------|
|                      | 11/8/13    | 15.824    | 24.139    | 381.98     | 376.93           | (5.05) LT                  |                         |                  |
|                      | 4/11/14    | 18.556    | 23.550    | 436.99     | 442.00           | 5.01 ST                    |                         |                  |
|                      | 8/15/14    | 664.253   | 24.730    | 16,426.98  | 15,822.51        | (604.47) ST                |                         |                  |
| <b>Total</b>         |            | 1,869.335 |           | 40,911.52  | <b>44,527.56</b> | 4,215.50 LT<br>(599.46) ST |                         |                  |

Total Purchases vs Market Value  
Cumulative Cash Distributions  
Net Value Increase/(Decrease)

Share Price: \$23.820; Dividend Cash, Capital Gains Cash

|                                               |         |           |        |           |                  |                         |  |  |
|-----------------------------------------------|---------|-----------|--------|-----------|------------------|-------------------------|--|--|
| <b>JP MORGAN DYNAMIC SM CP GR SEL (JDSCX)</b> | 8/24/12 | 1,025.336 | 20.730 | 21,255.21 | 26,463.91        | 5,208.70 LT             |  |  |
|                                               | 8/24/12 | 42.485    | 20.600 | 875.19    | 1,096.53         | 221.34 LT H             |  |  |
|                                               | 11/8/13 | 28.933    | 27.960 | 808.98    | 746.76           | (62.22) LT              |  |  |
|                                               | 4/11/14 | 91.311    | 25.550 | 2,332.99  | 2,356.73         | 23.74 ST                |  |  |
|                                               | 8/15/14 | 36.101    | 25.760 | 929.97    | 931.76           | 1.79 ST                 |  |  |
| <b>Total</b>                                  |         | 1,224.166 |        | 26,202.34 | <b>31,595.72</b> | 5,367.82 LT<br>25.53 ST |  |  |

Total Purchases vs Market Value  
Cumulative Cash Distributions  
Net Value Increase/(Decrease)

Share Price: \$25.810; Dividend Cash, Capital Gains Cash, Basis Adjustment Due to Wash Sale \$10.20

|                                               |         |           |       |           |                  |                             |        |      |
|-----------------------------------------------|---------|-----------|-------|-----------|------------------|-----------------------------|--------|------|
| <b>LEGG MASON WA EMERG MKT DEBT I (SEMDX)</b> | 8/24/12 | 1,154.522 | 5.770 | 6,661.60  | 5,714.88         | (946.72) LT                 |        |      |
|                                               | 3/8/13  | 358.761   | 5.820 | 2,087.99  | 1,775.87         | (312.12) LT                 |        |      |
|                                               | 9/13/13 | 1,201.925 | 5.190 | 6,237.99  | 5,949.53         | (288.46) LT                 |        |      |
|                                               | 4/11/14 | 43.583    | 5.300 | 230.99    | 215.74           | (15.25) ST                  |        |      |
| <b>Total</b>                                  |         | 2,758.791 |       | 15,218.57 | <b>13,656.02</b> | (1,547.30) LT<br>(15.25) ST | 684.00 | 5.00 |

Total Purchases vs Market Value  
Cumulative Cash Distributions  
Net Value Increase/(Decrease)

Share Price: \$4.950; Dividend Cash, Capital Gains Cash

|                                               |         |           |        |           |           |             |  |  |
|-----------------------------------------------|---------|-----------|--------|-----------|-----------|-------------|--|--|
| <b>METROPOLITAN WEST TOT RET BD I (MWTIX)</b> | 10/7/11 | 8,354.482 | 10.390 | 86,803.06 | 91,063.85 | 4,260.79 LT |  |  |
|                                               | 11/7/11 | 756.434   | 10.490 | 7,934.99  | 8,245.13  | 310.14 LT   |  |  |
|                                               | 4/13/12 | 2,990.745 | 10.590 | 31,671.99 | 32,599.12 | 927.13 LT   |  |  |
|                                               | 9/13/13 | 829.579   | 10.480 | 8,693.99  | 9,042.41  | 348.42 LT   |  |  |
|                                               | 4/11/14 | 259.776   | 10.740 | 2,789.99  | 2,831.56  | 41.57 ST    |  |  |
|                                               | 8/15/14 | 118.933   | 10.880 | 1,293.99  | 1,296.37  | 2.38 ST     |  |  |

## Account Detail

Select UMA Active Assets Account  
571-010604-127  
M ERVOLENA J ARENA P TURILLARO  
R ARENA JESS CO MEE SUTA 6/17/07

### MUTUAL FUNDS

#### OTHER MUTUAL FUNDS (CONTINUED)

| Security Description | Trade Date | Quantity   | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss)  | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|------------|-----------|------------|--------------|-------------------------|-------------------------|------------------|
| <b>Total</b>         |            | 13,309.949 |           | 139,188.01 | 145,078.44   | 5,846.48 LT<br>43.95 ST | 3,301.00                | 2.27             |

Total Purchases vs Market Value  
Cumulative Cash Distributions  
Net Value Increase/(Decrease)

Share Price: \$10.900, Dividend Cash, Capital Gains Cash

|                                                |         |           |        |           |           |                           |        |      |
|------------------------------------------------|---------|-----------|--------|-----------|-----------|---------------------------|--------|------|
| <b>PIMCO FOREIGN BD US \$ HEDGED P (PFBPX)</b> | 4/13/12 | 2,129.373 | 10.810 | 23,018.66 | 22,933.34 | (85.32) LT                |        |      |
|                                                | 8/24/12 | 26.462    | 11.110 | 293.99    | 284.99    | (9.00) LT                 |        |      |
|                                                | 9/13/13 | 191.490   | 10.460 | 2,002.99  | 2,062.34  | 59.35 LT                  |        |      |
|                                                | 4/11/14 | 29.170    | 10.730 | 312.99    | 314.16    | 1.17 ST                   |        |      |
|                                                | 8/15/14 | 1,520.054 | 11.020 | 16,750.99 | 16,370.98 | (380.01) ST               |        |      |
| <b>Total</b>                                   |         | 3,896.549 |        | 42,379.62 | 41,965.83 | (34.97) LT<br>(378.84) ST | 990.00 | 2.35 |

Total Purchases vs Market Value  
Cumulative Cash Distributions  
Net Value Increase/(Decrease)

Share Price: \$10.770, Dividend Cash, Capital Gains Cash

|                                            |         |           |        |           |           |                            |        |      |
|--------------------------------------------|---------|-----------|--------|-----------|-----------|----------------------------|--------|------|
| <b>VIRTUS INSIGHT EMERG MKTS I (HIEMX)</b> | 8/24/12 | 914.224   | 9.610  | 8,785.75  | 9,041.67  | 255.92 LT                  |        |      |
|                                            | 9/13/13 | 451.831   | 9.550  | 4,314.99  | 4,468.60  | 153.61 LT                  |        |      |
|                                            | 4/11/14 | 12.676    | 9.860  | 124.99    | 125.36    | 0.37 ST                    |        |      |
|                                            | 8/15/14 | 1,492.134 | 10.680 | 15,935.99 | 14,757.20 | (1,178.79) ST              |        |      |
| <b>Total</b>                               |         | 2,870.865 |        | 29,161.72 | 28,392.85 | 409.53 LT<br>(1,178.42) ST | 250.00 | 0.88 |

Total Purchases vs Market Value  
Cumulative Cash Distributions  
Net Value Increase/(Decrease)

Share Price: \$9.890, Dividend Cash, Capital Gains Cash

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost   | Market Value | Unrealized Gain/(Loss)            | Estimated Annual Income | Dividend Yield % |
|----------------------|------------|----------|-----------|--------------|--------------|-----------------------------------|-------------------------|------------------|
| <b>Total</b>         |            | 63.2%    |           | \$802,319.78 | \$987,392.88 | \$18,092.98 LT<br>\$(7,110.98) ST | \$19,238.00             | 1.95%            |

### MUTUAL FUNDS

# Morgan Stanley

CLIENT STATEMENT | For the Period December 1-31, 2014

Page 47 of 62

## Account Detail

Select UMA Active Assets Account  
M ERVOLINA, J ARENA, P TUFILLARO  
571-010604-127  
R ARENA, J ESS CO-TTEES UTA 6/17/07

| Percentage of Assets %    | Total Cost     | Market Value   | Unrealized Gain/(Loss)          | Estimated Annual Income | Yield % |
|---------------------------|----------------|----------------|---------------------------------|-------------------------|---------|
| 100.0%                    | \$1,299,163.21 | \$1,562,382.91 | \$47,921.70 LT<br>\$7,196.10 ST | \$28,122.00             | 1.80%   |
| <b>TOTAL MARKET VALUE</b> |                |                |                                 |                         |         |

**TOTAL VALUE (includes accrued interest)** \$1,562,382.91

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.  
Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

## ACTIVITY

### CASH FLOW ACTIVITY BY DATE

| Transaction Date | Settlement Date | Activity Type      | Description                                           | Comments                      | Quantity | Price   | Credits/(Debits) |
|------------------|-----------------|--------------------|-------------------------------------------------------|-------------------------------|----------|---------|------------------|
| 11/28            | 11/28           | Dividend           | E V INCOME FUND OF BOSTON I<br>DIV PAYMENT            |                               |          |         | \$519.04         |
| 11/28            | 11/28           | Dividend           | BLACKROCK LOW DUR BD INV INST<br>DIV PAYMENT          |                               |          |         | 454.79           |
| 11/28            | 11/28           | Dividend           | METROPOLITAN WEST TOT RET BD I<br>DIV PAYMENT         |                               |          |         | 235.90           |
| 11/28            | 11/28           | Dividend           | PIMCO FOREIGN BD US \$ HEDGED P<br>DIV PAYMENT        |                               |          |         | 69.96            |
| 11/28            | 11/28           | Dividend           | INVESCO PREMIER INST<br>DIV PAYMENT                   |                               |          |         | 2.72             |
| 11/28            | 11/28           | Sold               | CALIFORNIA RES CORP COM                               | CASH IN LIEU FRACTIONAL SHARE |          |         | 5.44             |
| 12/1             | 12/1            | Qualified Dividend | WELLS FARGO & CO NEW                                  |                               |          |         | 40.25            |
| 12/1             | 12/1            | Qualified Dividend | PHILLIPS 66 COM                                       |                               |          |         | 21.00            |
| 12/1             | 12/1            | Qualified Dividend | DELTA AIR LINES INC NEW                               |                               |          |         | 6.39             |
| 12/1             | 12/1            | Qualified Dividend | BB & T CORP                                           |                               |          |         | 5.28             |
| 12/1             | 12/1            | Qualified Dividend | DELTA AIR LINES INC NEW                               |                               |          |         | 4.05             |
| 12/1             | 12/1            | Qualified Dividend | EQT CORPORATION COM NEW                               |                               |          |         | 0.57             |
| 12/1             | 12/1            | Qualified Dividend | ENERGEN CORP                                          |                               |          |         | 0.28             |
| 12/1             | 12/1            | Qualified Dividend | EXXON MOBIL CORP                                      | ACTED AS AGENT                | 6.000    | 91.9215 | 551.51           |
| 12/1             | 12/4            | Sold               | OCCIDENTAL PETROLEUM CORP DE                          | ACTED AS AGENT                |          |         | (546.52)         |
| 12/1             | 12/4            | Bought             | TEVA PHARMACEUTICALS ADR                              |                               | 7.000    | 78.0749 | 0.00             |
| 12/2             | 12/2            | Dividend           | ADJ GROSS DIV AMOUNT 1.38<br>FOREIGN TAX PAID IS 1.38 |                               |          |         |                  |
| 12/2             | 12/2            | Qualified Dividend | PFIZER INC                                            |                               |          |         | 40.04            |
| 12/2             | 12/2            | Qualified Dividend | VISA INC CL A                                         |                               |          |         | 14.88            |
| 12/2             | 12/2            | Qualified Dividend | TEVA PHARMACEUTICALS ADR                              |                               |          |         | 7.82             |
| 12/2             | 12/2            | Qualified Dividend | ZOETIS INC CLASS-A                                    |                               |          |         | 3.24             |