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EXTENDED TO AUGUST 17, 2015

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2014

Form 990-PF

Department of the Treasury
Internal Revenue Service

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation

A Employer identification number

GWENDOLYN HALSEY-ALBERTSON FOUNDATION

13-7294838

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

103 IVEY LANE

B Telephone number

(631) 283-4955

City or town, state or province, country, and ZIP or foreign postal code

FLAT ROCK, NC 28731

C If exemption application is pending, check here ☐

G Check all that apply:

☐

Initial return

☐

Initial return of a former public charity

☐

Final return

☐

Amended return

☐

Address change

☐

Name change

D 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒

Section 501(c)(3) exempt private foundation

☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

J Accounting method:

☒

Cash

☐

Accrual

(from Part II, col (c), line 16)

☐ Other (specify)F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

\$ 700,276. (Part I, column (d) must be on cash basis)

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

2 Check ☒ if the foundation is not required to attach Sch. B

3 Interest on savings and temporary cash investments

4 Dividends and interest from securities

19,328.

19,328.

STATEMENT 1

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

27,291.

b Gross sales price for all assets on line 6a

286,638.

7 Capital gain net income (from Part IV, line 2)

27,291.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total Add lines 1 through 11

46,619.

46,619.

13 Compensation of officers, directors, trustees, etc

0.

0.

0.

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

b Accounting fees

STMT 2

1,100.

0.

0.

c Other professional fees

17 Interest

18 Taxes

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

STMT 3

17,226.

17,126.

0.

24 Total operating and administrative expenses Add lines 13 through 23

18,326.

17,126.

0.

25 Contributions, gifts, grants paid

38,000.

38,000.

26 Total expenses and disbursements. Add lines 24 and 25

56,326.

17,126.

38,000.

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

<9,707.>

b Net investment income (if negative, enter -0-)

29,493.

c Adjusted net income (if negative, enter -0-)

N/A

423501

11-24-14

LHA For Paperwork Reduction Act Notice, see instructions

Form 990-PF (2014)

10590609 795706 03905

2014.03050 GWENDOLYN HALSEY-ALBERTSON 03905__1

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	16,584.	17,057.	17,057.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 4	243,368.	236,472.	287,329.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 5	334,785.	331,501.	395,890.	
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	594,737.	585,030.	700,276.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐			
	and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	594,737.	585,030.	
30 Total net assets or fund balances	594,737.	585,030.		
31 Total liabilities and net assets/fund balances	594,737.	585,030.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	594,737.
2 Enter amount from Part I, line 27a	2	<9,707.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	585,030.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	585,030.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a NET SHORT TERM GAIN PER RAYMOND JAMES STMT		P		
b NET LONG TERM GAIN PER RAYMOND JAMES STMT		P		
c RETURN OF PRINCIPAL				
d CAPITAL GAINS DIVIDENDS				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 54,984.		51,106.	3,878.
b 229,631.		201,857.	27,774.
c		6,384.	<6,384.>
d 2,023.			2,023.
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			3,878.
b			27,774.
c			<6,384.>
d			2,023.
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	27,291.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	33,000.	675,329.	.048865
2012	33,000.	638,386.	.051693
2011	35,905.	655,927.	.054739
2010	34,545.	675,472.	.051142
2009	34,915.	635,920.	.054905

2 Total of line 1, column (d)	2	.261344
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.052269
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	688,030.
5 Multiply line 4 by line 3	5	35,963.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	295.
7 Add lines 5 and 6	7	36,258.
8 Enter qualifying distributions from Part XII, line 4	8	38,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	295.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	295.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	295.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed SEE STATEMENT 6	9		295.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>MARY GWEN TYDA</u> Telephone no. ► <u>631-283-4955</u> Located at ► <u>103 IVEY LANE, FLAT ROCK, NC</u> ZIP+4 ► <u>28731</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u>N/A</u> ► ☐	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <u>N/A</u>	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014) <u>N/A</u>	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 9		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	696,190.
b	Average of monthly cash balances	1b	2,318.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	698,508.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	698,508.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	10,478.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	688,030.
6	Minimum investment return. Enter 5% of line 5	6	34,402.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	34,402.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	295.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	295.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	34,107.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	34,107.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	34,107.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	38,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	38,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	295.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	37,705.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				34,107.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	3,289.			
b From 2010	1,681.			
c From 2011	3,299.			
d From 2012	1,294.			
e From 2013	40.			
f Total of lines 3a through e	9,603.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$	38,000.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				34,107.
e Remaining amount distributed out of corpus	3,893.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	13,496.			
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	3,289.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	10,207.			
10 Analysis of line 9:				
a Excess from 2010	1,681.			
b Excess from 2011	3,299.			
c Excess from 2012	1,294.			
d Excess from 2013	40.			
e Excess from 2014	3,893.			

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

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Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LONG ISLAND CARES 10 DAVIDS DRIVE HAUPPAUGE, NY 11788	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,250.
AMERICAN HEART ASSOCIATION 7272 GREENVILLE AVENUE DALLAS, TX 75231	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,000.
CRISIS NURSERY 2334 EAST POLK STREET PHOENIX, AZ 85006	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
DOCTORS WITHOUT BORDERS 333 7TH AVE NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,500.
EAST END HOSPICE PO BOX 1048 WESTHAMPTON BEACH, NY 11978	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,200.
Total	SEE CONTINUATION SHEET(S)			3a 38,000.
b Approved for future payment				
NONE				
Total				3b 0.

FORM 990-PF . DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
RAYMOND JAMES & ASSOC. #658	21,351.	2,023.	19,328.	19,328.	
TO PART I, LINE 4	21,351.	2,023.	19,328.	19,328.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	1,100.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	1,100.	0.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMT FEES	16,788.	16,788.		0.
FOREIGN TAXES PAID	338.	338.		0.
NYS FILING FEE	100.	0.		0.
TO FORM 990-PF, PG 1, LN 23	17,226.	17,126.		0.

FORM 990-PF CORPORATE STOCK STATEMENT 4

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
RAYMOND JAMES #658	236,472.	287,329.
TOTAL TO FORM 990-PF, PART II, LINE 10B	236,472.	287,329.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	5
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
RAYMOND JAMES #658	COST	306,320.	365,401.
RAYMOND JAMES #658	COST	25,181.	30,489.
TOTAL TO FORM 990-PF, PART II, LINE 13		331,501.	395,890.

FORM 990-PF	INTEREST AND PENALTIES	STATEMENT	6
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TAX DUE FROM FORM 990-PF, PART VI	295.
LATE PAYMENT INTEREST	1.
LATE PAYMENT PENALTY	1.
TOTAL AMOUNT DUE	297.

FORM 990-PF	LATE PAYMENT PENALTY	STATEMENT	7
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DESCRIPTION	DATE	AMOUNT	BALANCE	MONTHS	PENALTY
TAX DUE	05/15/15	295.	295.	1	1.
DATE FILED	06/15/15		295.		
TOTAL LATE PAYMENT PENALTY					1.

FORM 990-PF	LATE PAYMENT INTEREST	STATEMENT	8
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DESCRIPTION	DATE	AMOUNT	BALANCE	RATE	DAYS	INTEREST
TAX DUE	05/15/15	295.	295.	.0300	31	1.
DATE FILED	06/15/15		296.			
TOTAL LATE PAYMENT INTEREST						1.

FORM 990-PF .	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	9
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
MARY GWEN TYDA 103 IVEY LANE FLAT ROCK, NC 28731	TRUSTEE 0.00	0.	0.	0.
GAIL LEVINE 127 HALSEY LANE WATER MILL, NY 11976	TRUSTEE 0.00	0.	0.	0.
JANE HALSEY 258 HALSEY LANE WATER MILL, NY 11976	TRUSTEE 0.00	0.	0.	0.
SUSAN HALSEY-SWANSON P.O. BOX 6 SAGAPONACK, NY 11962	TRUSTEE 0.00	0.	0.	0.
JOHN S. ERWIN 885 THIRD AVE. 16TH FL. NY, NY 10022	TRUSTEE 0.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AIA GROUP LIMITED SPONSORED ADR (HONG KONG) / CUSIP 001317205 / Symbol AAGIY	4 000	73 23		05/30/13	71 48		1 75	Sale
02/10/14								
ABBOTT LABS / CUSIP 002824100 / Symbol ABT	1 000		37 17	10/22/13	36 92		0 25	Sale
02/10/14								
AIR METHODS CORPORATION COM PAR S 06 / CUSIP 009128307 / Symbol AIRM	3 000	154 55		06/21/13	111 72		42 83	Sale
02/10/14								
ANADARKO PETE CORPORATION / CUSIP 032511107 / Symbol APC	3 000	317 27		12/06/13	261 92		55 35	Sale
09/19/14								
3 tax lots for 10/17/14								
	1 000		92 40	12/06/13	87 31		5 09	Sale
	3 000		277 20	12/16/13	237 75		39 45	Sale
	2 000		184 80	01/27/14	160 48		24 32	Sale
	6 000		554 40	VARIOUS	485 54		68 86	Total of 3 lots
10/17/14								
11/20/14	1 000		92 39	01/27/14	80 24		12 15	Sale
			964 06		827 70		136 36	
Security total								
ANHEUSER BUSCH INBEV SA/INV SPONSORED ADR (BELGIUM) / CUSIP 03524A108 / Symbol BUD	4 000	448 10		06/26/13	355 46		92 64	Sale
06/12/14								
ATLAS COPCO AB SP ADR A NEW (SWEDEN) / CUSIP 049255706 / Symbol ATLKY	4 000	107 79		03/27/13	113 31		-5 52	Sale
02/10/14								
BP PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP 055622104 / Symbol BP								
2 tax lots for 01/27/14								
	14 000		660 65	03/26/13	590 58		70 07	Sale
	2 000		94 38	04/09/13	83 71		10 67	Sale
	16 000		755 03	VARIOUS	674 29		80 74	Total of 2 lots
01/27/14								
BAIRD AGGREGATE BOND FUND INSTL CLASS N/L / CUSIP 057071854 / Symbol BAGIX	137 023	1,477 11		08/20/14	1,475 74		1 37	Sale
11/20/14								



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AMER CORPORATION / CUSIP 060505104 / Symbol BAC	22 000		373 33	01/07/14	364 28		9 05	Sale
11/20/14								
NY MELLON CORPORATION / CUSIP 064058100 / Symbol BK	5 000		157 34	03/18/13	141 80		15 54	Sale
02/10/14								
BLOCK H & R INCORPORATED / CUSIP 093671105 / Symbol HRB	13 000		428 34	06/05/14	389 18		39 16	Sale
11/20/14								
C H ROBINSON WORLDWIDE INCORPORATED COM NEW / CUSIP 12541W209 / Symbol CHRW	15 000		900 12	11/15/13	895 92		4 20	Sale
06/05/14			598 75	11/20/13	520 32		78 43	Sale
08/06/14								
2 tax lots for 08/25/14								
5 000			342 95	11/20/13	289 07		53 88	Sale
6 000			411 53	01/07/14	341 26		70 27	Sale
11 000			754 48	VARIOUS	630 33		124 15	Total of 2 lots
08/25/14								
2 tax lots for 09/19/14								
1 000			68 02	01/07/14	56 88		11 14	Sale
4 000			272 07	01/17/14	236 47		35 60	Sale
5 000			340 09	VARIOUS	293 35		46 74	Total of 2 lots
09/19/14								
2 tax lots for 09/30/14								
7 000			464 64	01/17/14	413 82		50 82	Sale
4 000			265 51	02/10/14	212 68		52 83	Sale
11 000			730 15	VARIOUS	626 50		103 65	Total of 2 lots
09/30/14								
Security total			3,323 59		2,966 42		357 17	
CVS HEALTH CORPORATION / CUSIP 126650100 / Symbol CVS	11 000		979 30	03/20/14	810 75		168 55	Sale
11/20/14								
CALIFORNIA RES CORPORATION / CUSIP 13057Q107 / Symbol CRC								
2 tax lots for 12/02/14								
5 600			40 22	04/03/14	47 00		-6 78	Sale

Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net) / Symbol	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALIFORNIA RES CORPORATION / CUSIP 13057Q107 / Symbol CRC (cont'd)								
12/02/14	2 600	18 68	58 90	05/14/14	21 98		-3 30	Sale
	8 200			VARIOUS	68 98		-10 08	Total of 2 lots
12/05/14	0 600	4 17		05/14/14	5 07		-0 90	Sale
Security total		63 07			74 05		-10 98	
CAPITAL ONE FINL CORPORATION / CUSIP 14040H105 / Symbol COF								
01/13/14	6 000	463 01		05/22/13	379 19		83 82	Sale
05/14/14	11 000	842 81		05/22/13	695 19		147 62	Sale
2 tax lots for 05/22/14								
	5 000	383 99		05/22/13	316 00		67 99	Sale
	9 000	691 19		05/30/13	564 97		126 22	Sale
05/22/14	14 000	1,075 18		VARIOUS	880 97		194 21	Total of 2 lots
2 tax lots for 11/12/14								
	2 000	163 36		02/10/14	142 68		20 68	Sale
	5 000	408 39		03/20/14	368 22		40 17	Sale
11/12/14	7 000	571 75		VARIOUS	510 90		60 85	Total of 2 lots
Security total		2,952 75			2,466 25		486 50	
CEPHEID / CUSIP 15670R107 / Symbol CPHD								
02/10/14	6 000	297 53		10/23/13	237 27		60 26	Sale
CHEFS WHSE INCORPORATED / CUSIP 163086101 / Symbol CHEF								
02/10/14	10 000	228 49		09/24/13	234 95		-6 46	Sale
CHESAPEAKE ENERGY CORPORATION / CUSIP 165167107 / Symbol CHK								
02/10/14	3 000	72 86		06/14/13	62 43		10 43	Sale
2 tax lots for 03/20/14								
	31 000	757 87		06/14/13	645 11		112 76	Sale
	10 000	244 48		06/24/13	196 99		47 49	Sale
03/20/14	41 000	1,002 35		VARIOUS	842 10		160 25	Total of 2 lots
Security total		1,075 21			904 53		170 68	



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CHINA UNICOM (HONG KONG) LIMITED SPONSORED ADR (CHINA) / CUSIP 16945R104 / Symbol CHU								
02/11/14	25 000	334 96		05/30/13	351 23		-16 27	Sale
CISCO SYSTEMS INCORPORATED / CUSIP 17275R102 / Symbol CSCO								
02/10/14	2 000	45 53		01/17/14	45 44		0 09	Sale
10/17/14	28 000	652 38		01/17/14	636 16		16 22	Sale
11/20/14	40 000	1,072 77		01/17/14	908 80		163 97	Sale
Security total		1,770 68			1,590 40		180 28	
CITRIX SYSTEMS INCORPORATED / CUSIP 177376100 / Symbol CTXS								
2 tax lots for 02/24/14								
10 000		603 77		05/16/13	675 87		-72 10	Sale
10 000		603 76		11/29/13	587 58		16 18	Sale
20 000		1,207 53		VARIOUS	1,263 45		-55 92	Total of 2 lots
02/24/14								
COGNEX CORPORATION / CUSIP 192422103 / Symbol CGNX								
11/20/14	2 000	80 73		11/05/14	81 20		-0 47	Sale
COMPUTERSHARE LIMITED SPONSORED ADR (AUSTRALIA) / CUSIP 20557R105 / Symbol CMSQY								
02/10/14	4 000	40 23		11/27/13	40 52		-0 29	Sale
DEVRY ED GROUP INCORPORATED / CUSIP 251893103 / Symbol DV								
02/10/14	2 000	68 83		03/21/13	64 23		4 60	Sale
DIAGEO P L C SPON ADR NEW (UNITED KINGDOM) / CUSIP 25243Q205 / Symbol DEO								
11/20/14	2 000	236 51		08/13/14	233 67		2 84	Sale
DICKS SPORTING GOODS INCORPORATED / CUSIP 253393102 / Symbol DKS								
02/10/14	5 000	262 79		08/22/13	233 04		29 75	Sale
2 tax lots for 04/03/14								
13 000		718 70		08/22/13	605 92		112 78	Sale
12 000		663 42		09/20/13	632 92		30 50	Sale
25 000		1,382 12		VARIOUS	1,238 84		143 28	Total of 2 lots
04/03/14					1,471 88		173 03	
Security total		1,644 91						

Raymond James & Associates, Inc.

Account 78696658

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Proceeds Not Reported to the IRS

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SHORT-TERM TRANSACTIONS

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Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7-Loss not allowed(X)	Additional information
DIRECTV / CUSIP 25490A309 / Symbol DTV								
3 tax lots for 01/27/14								
	1 000	68 94		03/21/13	54 99		13 95	Sale
	11 000	758 29		04/09/13	614 07		144 22	Sale
	2 000	137 87		04/23/13	112 47		25 40	Sale
01/27/14	14 000	965 10		VARIOUS	781 53		183 57	Total of 3 lots
02/03/14	10 000	687 21		04/23/13	562 37		124 84	Sale
	Security total	1,652 31			1,343 90		308 41	
DORMAN PRODUCTS INCORPORATED / CUSIP 258278100 / Symbol DORM								
11/20/14	2 000	94 57		06/11/14	107 45		-12 88	Sale
DOW CHEMICAL COMPANY / CUSIP 260543103 / Symbol DOW								
2 tax lots for 01/17/14								
	2 000	86 88		02/08/13	64 84		22 04	Sale
	9 000	390 96		02/21/13	276 83		114 13	Sale
01/17/14	11 000	477 84		VARIOUS	341 67		136 17	Total of 2 lots
02/10/14	3 000	137 45		02/21/13	92 27		45 18	Sale
05/14/14	8 000	400 80		05/16/13	283 11		117 69	Sale
08/13/14	5 000	262 44		08/15/13	185 50		76 94	Sale
	Security total	1,278 53			902 55		375 98	
EXPONENT INCORPORATED / CUSIP 30214U102 / Symbol EXPO								
02/10/14	3 000	210 35		06/19/13	174 62		35 73	Sale
FMSA HLDGS INCORPORATED / CUSIP 30255X106 / Symbol FMSA								
11/20/14	6 000	76 49		10/07/14	94 72		-18 23	Sale
2 tax lots for 12/11/14								
	32 000	232 87		10/07/14	505 17		-272 30	Sale
	23 000	167 38		10/15/14	227 55		-60 17	Sale
12/11/14	55 000	400 25		VARIOUS	732 72		-332 47	Total of 2 lots
	Security total	476 74			827 44		-350 70	



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS (continued)

2014

NOT reported on Form 1099-B

SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GENERAL DYNAMICS CORPORATION / CUSIP 369550108 / Symbol GD								
07/25/14	1 000	121 57		09/06/13	84 31		37 26	Sale
08/13/14	6 000	713 17		09/06/13	505 86		207 31	Sale
2 tax lots for 08/25/14								
08/25/14	1 000	124 56		09/06/13	84 31		40 25	Sale
	5 000	622 78		02/10/14	516 65		106 13	Sale
	6 000	747 34		VARIOUS	600 96		146 38	Total of 2 lots
Security total		1,582 08			1,191 13		390 95	
GENERAL MTRS COMPANY / CUSIP 37045V100 / Symbol GM								
2 tax lots for 03/14/14								
03/14/14	13 000	445 14		05/16/13	424 58		20 56	Sale
	3 000	102 72		02/10/14	105 39		-2 67	Sale
	16 000	547 86		VARIOUS	529 97		17 89	Total of 2 lots
GLAXOSMITHKLINE PLC SPONSORED ADR / CUSIP 37733W105 / Symbol GSK								
02/10/14	3 000	158 33		03/26/13	137 93		20 40	Sale
10/22/14	9 000	401 06		10/08/14	406 58		-5 52	Sale
Security total		559 39			544 51		14 88	
GOLDMAN SACHS STRATEGIC INCOME FUND INST CLS NIL / CUSIP 38145C646 / Symbol GSZIX								
11/20/14	10 485	109 36		10/27/14	109 57		-0 21	Sale
HARMAN INTERNATIONAL INDUSTRIES INCORPORATED / CUSIP 413086109 / Symbol HAR								
02/10/14	3 000	303 92		07/24/13	173 53		130 39	Sale
HILTON WORLDWIDE HLDGS INCORPORATED / CUSIP 43300A104 / Symbol HLT								
11/20/14	9 000	228 59		11/03/14	229 47		-0 88	Sale
HITACHI LIMITED ADR 10 COM (JAPAN) / CUSIP 433578507 / Symbol HTHIY								
02/10/14	1 000	78 05		12/17/13	73 26		4 79	Sale

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Proceeds Not Reported to the IRS

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NOT reported on Form 1099-B

Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
INDUSTRIA DE DISENO TEXTIL IND ADR (SPAIN) / CUSIP 455793109 / Symbol IDXY								
2 tax lots for 05/07/14								
	4 000		117 25	01/07/14	130 36		-13 11	Sale
	7 000		205 19	01/08/14	227 46		-22 27	Sale
05/07/14	11 000		322 44	VARIOUS	357 82		-35 38	Total of 2 lots
10/28/14	40 000		551 21	01/08/14	649 90		-98 69	Sale
	Security total		873 65		1,007 72		-134 07	
INFINEON TECHNOLOGIES AG SPONSORED ADR (GERMANY) / CUSIP 45662N103 / Symbol IFNNY								
02/10/14	10 000		102 39	04/09/13	78 18		24 21	Sale
INFOBLOX INCORPORATED / CUSIP 45672H104 / Symbol BLOX								
02/10/14	3 000		98 33	01/16/14	116 46		-18 13	Sale
06/02/14	17 000		210 90	01/16/14	659 97		-449 07	Sale
	Security total		309 23		776 43		-467 20	
INTEL CORPORATION / CUSIP 458140100 / Symbol INTC								
06/12/14	27 000		750 88	09/12/13	614 25		136 63	Sale
2 tax lots for 06/25/14								
	1 000		30 72	09/12/13	22 75		7 97	Sale
	15 000		460 78	09/16/13	353 40		107 38	Sale
06/25/14	16 000		491 50	VARIOUS	376 15		115 35	Total of 2 lots
07/03/14	24 000		748 30	09/16/13	565 44		182 86	Sale
2 tax lots for 08/13/14								
	8 000		268 73	09/16/13	188 48		80 25	Sale
	4 000		134 37	09/20/13	94 84		39 53	Sale
08/13/14	12 000		403 10	VARIOUS	283 32		119 78	Total of 2 lots
10/17/14	3 000		94 73	11/15/13	73 44		21 29	Sale
2 tax lots for 12/29/14								
	29 000		1,079 75	01/27/14	718 62		361 13	Sale
	9 000		335 10	02/10/14	218 97		116 13	Sale
12/29/14	38 000		1,414 85	VARIOUS	937 59		477 26	Total of 2 lots
	Security total		3,903 36		2,850 19		1,053 17	



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Raymond James & Associates, Inc.

Account 78696658

Proceeds Not Reported to the IRS

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2014

SHORT-TERM TRANSACTIONS

NOT reported on Form 1099-B

Report on Form 8949, Part I, with Box C checked

Description of property

Date sold or disposed	Quantity	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
INTERNATIONAL GAME TECHNOLOGY / CUSIP 459902102 / Symbol IGT							
02/14/14	23 000	345 47	12/20/13	403 08		-57 61	Sale
3 tax lots for 02/24/14							
	17 000	254 35	12/20/13	297 93		-43 58	Sale
	18 000	269 32	01/17/14	320 03		-50 71	Sale
	11 000	164 58	02/10/14	160 69		3 89	Sale
02/24/14	46 000	688 25	VARIOUS	778 65		-90 40	Total of 3 lots
Security total		1,033 72		1,181 73		-148 01	
JOHNSON CONTROLS INCORPORATED / CUSIP 478366107 / Symbol JCI							
11/20/14	5 000	250 69	08/25/14	244 21		6 48	Sale
JULIUS BAER GROUP LIMITED ADR (SWITZERLAND) / CUSIP 48137C108 / Symbol JBAXY							
02/10/14	7 000	62 57	11/27/13	65 31		-2 74	Sale
KONINKLIJKE PHILIPS N V NY REG SH NEW (NETHERLANDS) / CUSIP 500472303 / Symbol PHG							
01/27/14	22 000	789 32	05/30/13	642 13		147 19	Sale
03/20/14	11 000	365 52	05/30/13	321 07		44 45	Sale
09/19/14	3 000	91 39	02/10/14	105 81		-14 42	Sale
Security total		1,246 23		1,069 01		177 22	
LILLY ELI & COMPANY / CUSIP 532457108 / Symbol LLY							
11/20/14	7 000	467 16	04/24/14	412 42		54 74	Sale
MEDTRONIC INCORPORATED / CUSIP 585055106 / Symbol MDT							
11/20/14	4 000	287 23	09/19/14	267 99		19 24	Sale
METLIFE INCORPORATED / CUSIP 59156R108 / Symbol MET							
11/05/14	1 000	54 22	02/10/14	48 70		5 52	Sale
METROPOLITAN WEST TOTAL RETURN BOND FUND CLASS I NL / CUSIP 592905509 / Symbol MWTIX							
11/20/14	169 787	1,847 28	08/18/14	1,845 58		1 70	Sale
MOBILE MINI INCORPORATED / CUSIP 60740F105 / Symbol MINI							
02/10/14	5 000	191 85	05/15/13	184 74		7 11	Sale

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Description of property

Date sold or disposed	Quantity	Shown (G) / Gross (N) / Net	Proceeds (G) / Gross (N) / Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7 - Loss not allowed(X)	Additional information
NEUBERGER BERMAN LONG SHORT FUND CLASS A M/F / CUSIP 64128R400 / Symbol NLSAX	9 525	118 02	113 54	08/26/13	113 54		4 48	Sale
NEWS CORPORATION NEW CLASS A / CUSIP 65249B109 / Symbol NWSA	28 000	487 75	442 40	07/25/13	442 40		45 35	Sale
NORDSON CORPORATION / CUSIP 655663102 / Symbol NDSN	2 000	138 73	146 69	12/19/13	146 69		-7 96	Sale
11/20/14	1 000	77 64	73 35	12/19/13	73 35		4 29	Sale
Security total		216 37	220 04		220 04		-3 67	
NUCOR CORPORATION / CUSIP 670346105 / Symbol NUE	13 000	665 72	671 45	12/16/13	671 45		-5 73	Sale
07/25/14								
2 tax lots for 11/12/14								
7 000		372 40	361 55	12/16/13	361 55		10 85	Sale
15 000		798 01	706 49	02/03/14	706 49		91 52	Sale
22 000		1,170 41	1,068 04	VARIOUS	1,068 04		102 37	Total of 2 lots
Security total		1,836 13	1,739 49		1,739 49		96 64	
PERNOD RICARD S A ADR (FRANCE) / CUSIP 714264207 / Symbol PDRDY	4 000	89 91	98 83	05/03/13	98 83		-8 92	Sale
02/10/14								
PETROLEO BRASILEIRO SA PETROBR SPONSORED ADR (BRAZIL) / CUSIP 71654V408 / Symbol PBR	7 000	80 00	99 63	07/18/13	99 63		-19 63	Sale
02/10/14								
PETSMART INCORPORATED / CUSIP 716768106 / Symbol PETM								
2 tax lots for 01/27/14								
18 000		1,135 89	1,129 84	03/14/13	1,129 84		6 05	Sale
14 000		883 47	902 39	04/09/13	902 39		-18 92	Sale
32 000		2,019 36	2,032 23	VARIOUS	2,032 23		-12 87	Total of 2 lots
01/27/14								
PIER 1 IMPORTS INCORPORATED / CUSIP 720279108 / Symbol PIR	10 000	188 69	236 73	06/28/13	236 73		-48 04	Sale
02/10/14								



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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PIMCO UNCONSTRAINED BOND FUND CLASS P NIL / CUSIP 72201M453 / Symbol PUCPX								
3 tax lots for 10/27/14								
	45 134		507 31	VARIOUS	506 42		0 89	Sale
	3 750		42 15	02/10/14	42 00		0 15	Sale
	121 071		1,360 84	02/10/14	1,356 00		4 84	Sale
10/27/14	169 955		1,910 30	VARIOUS	1,904 42		5 88	Total of 3 lots
PIMCO TOTAL RETURN FUND CLASS P NIL / CUSIP 72201M552 / Symbol PTPPX								
2 tax lots for 08/18/14								
	110 626		1,215 79	VARIOUS	1,196 97		18 82	Sale
	87 201		958 34	02/10/14	947 00		11 34	Sale
08/18/14	197 827		2,174 13	VARIOUS	2,143 97		30 16	Total of 2 lots
PRUDENTIAL ABSOLUTE RETURN BOND FUND CLASS Z NIL / CUSIP 74441J829 / Symbol PADZX								
11/20/14	53 518		523 41	10/27/14	524 48		-1 07	Sale
REGIONS FINL CORPORATION NEW / CUSIP 7591EP100 / Symbol RF								
11/20/14	11 000		109 77	11/05/14	111 26		-1 49	Sale
ROCHE HLDG LIMITED SPONSORED ADR (SWITZERLAND) / CUSIP 771195104 / Symbol RHHBY								
02/11/14	1 000		71 59	03/26/13	57 97		13 62	Sale
ROSETTA RESOURCES INCORPORATED / CUSIP 777779307 / Symbol ROSE								
11/20/14	2 000		72 77	08/18/14	101 20		-28 43	Sale
ROYAL BK SCOTLAND GROUP PLC SPONS ADR 20 ORD / CUSIP 780097689 / Symbol RBS								
02/10/14	6 000		66 89	11/26/13	65 21		1 68	Sale
SS&C TECHNOLOGIES HLDGS INCORPORATED / CUSIP 78467J100 / Symbol SSNC								
11/20/14	2 000		99 46	06/03/14	87 74		11 72	Sale
SCHNEIDER ELECTRIC SE ADR (FRANCE) / CUSIP 80687P106 / Symbol SBGSY								
02/10/14	3 000		49 73	01/08/14	50 41		-0 68	Sale

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Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SEVENTY SEVEN ENERGY INCORPORATED / CUSIP 818097107 / Symbol SSE								
2 tax lots for 07/11/14								
	0 071	1 74	1 74	07/11/13	1 22		0 52	Sale
	2 214	56 39	56 39	07/11/13	37 93		18 46	Sale
07/11/14	2 285	58 13	58 13	VARIOUS	39 15		18 98	Total of 2 lots
STARBUCKS CORPORATION / CUSIP 855244109 / Symbol SBUX								
11/20/14	2 000	156 33	156 33	03/20/14	152 46		3 87	Sale
SUPERIOR ENERGY SVCS INCORPORATED / CUSIP 868157108 / Symbol SPN								
02/10/14	7 000	171 50	171 50	03/21/13	180 26		-8 76	Sale
TEXAS CAPITAL BANCSHARES INCORPORATED / CUSIP 88224Q107 / Symbol TCBI								
11/20/14	1 000	58 06	58 06	11/14/14	59 42		-1 36	Sale
TURKCELL ILETISIM HIZMETLERI SPON ADR NEW (TURKEY) / CUSIP 900111204 / Symbol TKC								
07/31/14	24 000	393 35	393 35	02/11/14	312 90		80 45	Sale
08/14/14	26 000	383 00	383 00	03/19/14	325 59		57 41	Sale
	Security total	776 35	776 35		638 49		137 86	
VERIZON COMMUNICATIONS INCORPORATED / CUSIP 92343V104 / Symbol VZ								
5 tax lots for 02/26/14								
	1 315	60 55	60 55	02/24/14	63 27		-2 72	Sale
	1 795	82 66	82 66	02/24/14	86 37		-3 71	Sale
	2 104	96 89	96 89	02/24/14	101 23		-4 34	Sale
	2 367	109 00	109 00	02/24/14	113 89		-4 89	Sale
	3 419	157 44	157 44	02/24/14	164 51		-7 07	Sale
02/26/14	11 000	506 54	506 54	VARIOUS	529 27		-22 73	Total of 5 lots
02/28/14	0 046	2 19	2 19	02/24/14	2 21		-0 02	Sale
	Security total	508 73	508 73		531 48		-22 75	
VISA INCORPORATED COM CLASS A / CUSIP 92826C839 / Symbol V								
11/20/14	1 000	251 54	251 54	11/12/14	251 61		-0 07	Sale



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Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds (Gross) (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
WPP PLC NEW ADR (UNITED KINGDOM) / CUSIP 92937A102 / Symbol WPPGY	1 000	101 96	101 96	04/30/14	107 81		-5 85	Sale
WADDELL & REED FINL INCORPORATED CLASS A / CUSIP 930059100 / Symbol WDR	1 000	62 11	62 11	02/10/14	67 10		-4 99	Sale
WF ADVANTAGE ABSOLUTE RETURN FUND ADMIN CLASS NVL / CUSIP 94987W307 / Symbol WARDX	12 132	133 69	133 69	04/29/13	131 87		1 82	Sale
WEST PHARMACEUTICAL SVSC INCORPORATED / CUSIP 955306105 / Symbol WST	1 000	50 81	50 81	08/01/14	40 52		10 29	Sale
YAHOO JAPAN CORPORATION ADR (JAPAN) / CUSIP 98433V102 / Symbol YAHOOY	4 000	46 19	46 19	01/31/14	45 28		0 91	Sale
2 tax lots for 03/31/14								
	3 000	29 34	29 34	01/31/14	33 96		-4 62	Sale
	64 000	625 91	625 91	02/03/14	739 83		-113 92	Sale
	67 000	655 25	655 25	VARIOUS	773 79		-118 54	Total of 2 lots
Security total		701 44	701 44		819 07		-117 63	
ZIMMER HLDGS INCORPORATED / CUSIP 98956P102 / Symbol ZMH	7 000	673 39	673 39	10/15/13	610 14		63 25	Sale
2 tax lots for 02/14/14								
	6 000	574 71	574 71	10/15/13	522 98		51 73	Sale
	5 000	478 92	478 92	12/16/13	454 11		24 81	Sale
	11 000	1,053 63	1,053 63	VARIOUS	977 09		76 54	Total of 2 lots
Security total		1,727 02	1,727 02		1,587 23		139 79	
ARGOS DORADOS HOLDINGS INCORPORATED SHS CLASS -A - / CUSIP G0457F107 / Symbol ARCO	22 000	207 38	207 38	03/26/13	293 08		-85 70	Sale
EATON CORPORATION PLC SHS (IRELAND) / CUSIP G29183103 / Symbol ETN	10 000	768 85	768 85	04/29/13	603 71		165 14	Sale

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Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et)	Proceeds (Gross (N)et)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
EATON CORPORATION PLC SHS (IRELAND) / CUSIP G29183103 / Symbol ETN (cont'd)								
2 tax lots for 02/11/14								
	6 000		419 84	04/29/13	362 23		57 61	Sale
	8 000		559 79	05/08/13	510 51		49 28	Sale
02/11/14	14 000		979 63	VARIOUS	872 74		106 89	Total of 2 lots
2 tax lots for 05/14/14								
	10 000		730 38	09/20/13	709 21		21 17	Sale
	2 000		146 08	02/10/14	140 38		5 70	Sale
05/14/14	12 000		876 46	VARIOUS	849 59		26 87	Total of 2 lots
	Security total		2,624 94		2,326 04		298 90	
NIELSEN N V (NETHERLANDS) / CUSIP N63218106 / Symbol NLSN								
11/20/14	6 000		248 33	11/29/13	258 81		-10 48	Sale
Totals:			54,984.30		51,106.24	..	3,878 06	

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Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et)	Proceeds (Gross (N)et)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AQR MANAGED FUTURES STRATEGY FUND CLASS N N/L / CUSIP 00203H842 / Symbol AQMNX								
11/20/14	55 325		594 19	04/16/12	518 39		75 80	Sale
ABBOTT LABS / CUSIP 002824100 / Symbol ABT								
11/20/14	9 000		392 93	10/22/13	332 32		60 61	Sale
AIR METHODS CORPORATION COM PAR \$ 06 / CUSIP 009128307 / Symbol AIRM								
08/18/14	5 000		295 58	06/21/13	186 21		109 37	Sale
AIR PRODUCTS & CHEMICALS INCORPORATED / CUSIP 009158106 / Symbol APD								
02/10/14	4 000		442 03	01/14/13	352 06		89 97	Sale



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Description of property	Date sold or disposed	Quantity	Shown (Gross) / CUSIP	Proceeds (Net) / CUSIP	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
AIR PRODUCTS & CHEMICALS INCORPORATED			009158106 / Symbol	APD (cont'd)					
		2 tax lots for 02/14/14							
		3 000		350 35	01/14/13	264 05		86 30	Sale
		2 000		233 56	01/22/13	178 50		55 06	Sale
	02/14/14	5 000		583 91	VARIOUS	442 55		141 36	Total of 2 lots
	06/05/14	5 000		603 78	01/22/13	446 25		157 53	Sale
	10/17/14	6 000		774 05	02/12/13	531 33		242 72	Sale
		2 tax lots for 11/20/14							
		2 000		285 03	02/12/13	177 11		107 92	Sale
		1 000		142 52	03/01/13	86 48		56 04	Sale
AKKAMAI TECHNOLOGIES INCORPORATED	11/20/14	3 000		427 55	VARIOUS	263 59		163 96	Total of 2 lots
		Security total		2,831 32		2,035 78		795 54	
			00971T101 / Symbol	AKAM					
	02/10/14	3 000		171 29	01/06/12	98 15		73 14	Sale
AKZO NOBEL NV SPONSORED ADR (NETHERLANDS)				CUSIP 010199305 / Symbol	AKZOY				
	02/10/14	9 000		235 97	02/08/10	173 85		62 12	Sale
	03/19/14	11 000		292 64	02/08/10	212 48		80 16	Sale
		Security total		528 61		386 33		142 28	
ALTERA CORPORATION				CUSIP 021441100 / Symbol	ALTR				
	11/03/14	15 000		520 93	04/26/12	532 93		-12 00	Sale
	11/12/14	20 000		697 31	11/15/12	601 17		96 14	Sale
		2 tax lots for 11/20/14							
		2 000		71 70	11/15/12	60 12		11 58	Sale
		6 000		215 09	02/08/13	211 74		3 35	Sale
	11/20/14	8 000		286 79	VARIOUS	271 86		14 93	Total of 2 lots
	12/02/14	10 000		372 11	03/08/13	351 50		20 61	Sale
		Security total		1,877 14		1,757 46		119 68	
AMERICAN CAMPUS CMNTYS INCORPORATED REIT				CUSIP 024835100 / Symbol	ACC				
	02/10/14	6 000		201 71	11/07/12	272 61		-70 90	Sale
	11/20/14	2 000		77 13	11/07/12	90 87		-13 74	Sale
		Security total		278 84		363 48		-84 64	

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Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7 - Loss not allowed(X)	Additional information
ANADARKO PETE CORPORATION / CUSIP 032511107 / Symbol APC								
2 tax lots for 04/10/14								
	8 000	783 43	862 38	06/22/10	340 62		442 81	Sale
	4 000	391 71	107 80	03/29/11	326 63		65 08	Sale
04/10/14	12 000	1,175 14	970 18	VARIOUS	667 25		507 89	Total of 2 lots
2 tax lots for 07/03/14								
	8 000	862 38	862 38	03/29/11	653 25		209 13	Sale
	1 000	107 80	73 21	05/02/12	73 21		34 59	Sale
07/03/14	9 000	970 18	970 18	VARIOUS	726 46		243 72	Total of 2 lots
09/15/14	6 000	638 04	638 04	05/02/12	439 25		198 79	Sale
09/19/14	4 000	423 03	423 03	05/02/12	292 83		130 20	Sale
	Security total	3,206 39	3,206 39		2,125 79		1,080 60	
ANHEUSER BUSCH INBEV SA/NV SPONSORED ADR (BELGIUM) / CUSIP 03524A108 / Symbol BUD								
05/14/14	5 000	547 78	547 78	05/02/13	474 93		72 85	Sale
06/12/14	2 000	224 05	224 05	05/02/13	189 97		34 08	Sale
	Security total	771 83	771 83		664 90		106 93	
ANSYS INCORPORATED / CUSIP 03662Q105 / Symbol ANSS								
02/10/14	3 000	242 45	242 45	08/31/11	163 27		79 18	Sale
11/20/14	1 000	79 73	79 73	08/31/11	54 42		25 31	Sale
	Security total	322 18	322 18		217 69		104 49	
APPLE INCORPORATED / CUSIP 037833100 / Symbol AAPL								
03/20/14	2 000	1,055 85	1,055 85	02/17/12	1,005 23		50 62	Sale
2 tax lots for 06/05/14								
	1 000	645 68	645 68	02/17/12	502 61		143 07	Sale
	1 000	645 69	645 69	01/25/13	438 96		206 73	Sale
06/05/14	2 000	1,291 37	1,291 37	VARIOUS	941 57		349 80	Total of 2 lots
08/06/14	11 000	1,047 94	1,047 94	01/25/13	689 79		358 15	Sale
11/12/14	9 000	999 51	999 51	01/25/13	564 37		435 14	Sale
11/20/14	5 000	582 48	582 48	01/25/13	313 54		268 94	Sale
	Security total	4,977 15	4,977 15		3,514 50		1,462 65	



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Date sold or disposed	Quantity	Shown (Gross (N)et / Symbol	Proceeds (Gross (N)et / Symbol	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
APPLIED MATLS INCORPORATED / CUSIP 038222105 / Symbol AMAT								
02/10/14	4 000		68 67	01/14/13	46 96		21 71	Sale
04/03/14	23 000		480 05	01/14/13	270 01		210 04	Sale
	3 tax lots for 11/20/14							
	12 000		271 67	01/14/13	140 88		130 79	Sale
	6 000		135 84	02/08/13	81 72		54 12	Sale
	20 000		452 79	02/12/13	273 00		179 79	Sale
11/20/14	38 000		860 30	VARIOUS	495 60		364 70	Total of 3 lots
	Security total		1,409 02		812 57		596 45	
APTARGROUP INCORPORATED / CUSIP 038336103 / Symbol ATR								
09/09/14	5 000		318 66	01/06/12	260 74		57 92	Sale
BG GROUP PLC ADR FIN INST N (UNITED KINGDOM) / CUSIP 055434203 / Symbol BRGYY								
	3 tax lots for 01/10/14							
	21 000		454 64	02/11/10	376 29		78 35	Sale
	15 000		324 74	08/12/10	237 27		87 47	Sale
	1 000		21 65	08/23/11	20 71		0 94	Sale
01/10/14	37 000		801 03	VARIOUS	634 27		166 76	Total of 3 lots
02/10/14	5 000		86 99	08/23/11	103 53		-16 54	Sale
	Security total		888 02		737 80		150 22	
BP PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP 055622104 / Symbol BP								
11/20/14	8 000		334 17	04/09/13	334 86		-0 69	Sale
BNP PARIBAS SPONSORED ADR (FRANCE) / CUSIP 05565A202 / Symbol BNPQY								
02/10/14	3 000		121 40	02/25/11	115 81		5 59	Sale
BT GROUP PLC ADR (UNITED KINGDOM) / CUSIP 05577E101 / Symbol BT								
02/10/14	1 000		64 14	11/09/12	35 77		28 37	Sale
08/19/14	3 000		187 74	11/09/12	107 30		80 44	Sale
	Security total		251 88		143 07		108 81	

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Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
NY MELLON CORPORATION / CUSIP 064058100 / Symbol BK								
	2 tax lots for 06/05/14							
	6 000		208 02	03/18/13	170 16		37 86	Sale
	7 000		242 68	04/09/13	193 88		48 80	Sale
06/05/14	13 000		450 70	VARIOUS	364 04		86 66	Total of 2 lots
11/20/14	12 000		478 30	04/09/13	332 37		145 93	Sale
	Security total		929 00		696 41		232 59	
BARCLAYS PLC ADR (ENGLAND) / CUSIP 06738E204 / Symbol BCS								
	4 tax lots for 01/07/14							
	22 000		404 80	01/19/12	300 89		103 91	Sale
	26 000		478 41	01/24/12	355 02		123 39	Sale
	3 000		55 20	02/08/12	44 73		10 47	Sale
	23 000		423 20	09/26/12	319 23		103 97	Sale
01/07/14	74 000		1,361 61	VARIOUS	1,019 87		341 74	Total of 4 lots
BAXTER INTERNATIONAL INCORPORATED / CUSIP 071813109 / Symbol BAX								
11/20/14	3 000		214 82	12/07/12	194 87		19 95	Sale
BAYER A G SPONSORED ADR (GERMANY) / CUSIP 072730302 / Symbol BAYRY								
02/10/14	1 000		130 69	09/20/11	53 45		77 24	Sale
11/20/14	1 000		142 83	09/20/11	53 45		89 38	Sale
	Security total		273 52		106 90		166 62	
BLACKBAUD INCORPORATED / CUSIP 09227Q100 / Symbol BLKB								
02/10/14	6 000		196 07	01/06/12	170 82		25 25	Sale
11/20/14	2 000		82 93	01/06/12	56 94		25 99	Sale
	Security total		279 00		227 76		51 24	
CLECO CORPORATION NEW / CUSIP 12561W105 / Symbol CNL								
02/10/14	6 000		283 05	01/06/12	219 35		63 70	Sale
11/20/14	2 000		106 97	01/06/12	73 12		33 85	Sale
	Security total		390 02		292 47		97 55	



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Description of property

Date sold or disposed	Quantity	Shown (Gross / Net)	Proceeds (Gross / Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CALIFORNIA RES CORPORATION / CUSIP 13057Q107 / Symbol CRC								
3 tax lots for 12/02/14								
	3 200		22 99	05/15/12	22 61		0 38	Sale
	2 000		14 37	10/24/12	14 06		0 31	Sale
	3 600		25 86	01/07/13	25 07		0 79	Sale
12/02/14	8 800		63 22	VARIOUS	61 74		1 48	Total of 3 lots
CANON INCORPORATED SPONSORED ADR (JAPAN) / CUSIP 138006309 / Symbol CAJ								
3 tax lots for 01/30/14								
	12 000		353 67	02/22/11	579 42		-225 75	Sale
	6 000		176 83	08/11/11	279 45		-102 62	Sale
	8 000		235 78	01/11/12	342 64		-106 86	Sale
01/30/14	26 000		766 28	VARIOUS	1,201 51		-435 23	Total of 3 lots
CAPITAL ONE FINL CORPORATION / CUSIP 14040H105 / Symbol COF								
2 tax lots for 11/05/14								
	8 000		651 67	05/30/13	502 20		149 47	Sale
	1 000		81 46	06/06/13	60 30		21 16	Sale
11/05/14	9 000		733 13	VARIOUS	562 50		170 63	Total of 2 lots
	11 000		898 46	06/06/13	663 33		235 13	Sale
	Security total		1,631 59		1,225 83		405 76	
CARDTRONICS INCORPORATED / CUSIP 14161H108 / Symbol CATM								
02/10/14	2 000		79 55	10/05/11	46 58		32 97	Sale
11/14/14	12 000		449 72	10/05/11	279 50		170 22	Sale
	Security total		529 27		326 08		203 19	
CENOVUS ENERGY INCORPORATED (CANADA) / CUSIP 15135U109 / Symbol CVE								
02/10/14	2 000		52 95	02/05/13	67 05		-14 10	Sale
CEPHEID / CUSIP 15670R107 / Symbol CPHD								
11/14/14	3 000		158 44	10/23/13	118 63		39 81	Sale
11/17/14	6 000		318 25	10/23/13	237 27		80 98	Sale
	Security total		476 69		355 90		120 79	

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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CHEFS WHSE INCORPORATED / CUSIP 163086101 / Symbol CHEF								
11/03/14	20 000	337 66		09/24/13	469 90		-132 24	Sale
11/04/14	28 000	434 36		09/24/13	657 87		-223 51	Sale
Security total		772 02			1,127 77		-355 75	
CHESAPEAKE ENERGY CORPORATION / CUSIP 165167107 / Symbol CHK								
2 tax lots for 10/17/14								
	11 000	228 59		06/24/13	204 23		24 36	Sale
	8 000	166 24		07/11/13	160 44		5 80	Sale
10/17/14	19 000	394 83		VARIOUS	364 67		30 16	Total of 2 lots
11/20/14	10 000	239 21		07/11/13	200 55		38 66	Sale
Security total		634 04			565 22		68 82	
CHINA UNICOM (HONG KONG) LIMITED SPONSORED ADR (CHINA) / CUSIP 16945R104 / Symbol CHU								
02/10/14	3 000	39 71		05/10/11	60 60		-20 89	Sale
02/11/14	15 000	200 98		05/10/11	302 97		-101 99	Sale
Security total		240 69			363 57		-122 88	
CITIGROUP INCORPORATED COM NEW / CUSIP 172967424 / Symbol C								
11/20/14	6 000	320 34		10/08/12	207 72		112 62	Sale
CITRIX SYSTEMS INCORPORATED / CUSIP 177376100 / Symbol CTXS								
2 tax lots for 02/14/14								
	8 000	457 26		12/13/12	511 91		-54 65	Sale
	8 000	457 25		12/21/12	525 57		-68 32	Sale
02/14/14	16 000	914 51		VARIOUS	1,037 48		-122 97	Total of 2 lots
2 tax lots for 02/24/14								
	2 000	120 75		12/21/12	131 39		-10 64	Sale
	2 000	120 75		02/08/13	146 04		-25 29	Sale
02/24/14	4 000	241 50		VARIOUS	277 43		-35 93	Total of 2 lots
Security total		1,156 01			1,314 91		-158 90	
COMCAST CORPORATION NEW CLASS A / CUSIP 20030N101 / Symbol CMCSA								
11/20/14	11 000	597 19		12/16/11	260 68		336 51	Sale



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Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
COMPASS GROUP PLC SPON ADR NEW / CUSIP 20449X203 / Symbol CMPGYOLD	4 000	58 63		11/30/11	37 38		21 25	Sale
COMPASS GROUP PLC SPONSORED ADR NE / CUSIP 20449X302 / Symbol CMPGY	0 294	5 09		11/30/11	2 92		2 17	Sale
COMPASS MINERALS INTERNATIONAL INCORPORATED / CUSIP 20451N101 / Symbol CMP	2 000	169 13		01/06/12	137 29		31 84	Sale
11/20/14	1 000	91 53		01/06/12	68 65		22 88	Sale
Security total		260 66			205 94		54 72	
CORPORATE EXECUTIVE BRD COMPANY / CUSIP 21988R102 / Symbol CEB	3 000	198 35		10/25/12	134 92		63 43	Sale
02/10/14	1 000	72 84		10/25/12	44 97		27 87	Sale
Security total		271 19			179 89		91 30	
COSTAR GROUP INCORPORATED / CUSIP 22160N109 / Symbol CSGP	1 000	172 68		01/06/12	62 67		110 01	Sale
02/10/14	4 000	291 83		03/16/12	176 30		115 53	Sale
CUBIST PHARMACEUTICALS INCORPORATED / CUSIP 229678107 / Symbol CBST	2 000	102 61		05/18/12	83 59		19 02	Sale
10/09/14	7 000	403 45		05/18/12	292 58		110 87	Sale
Security total		506 06			376 17		129 89	
DBS GROUP HLDGS LIMITED SPONSORED ADR (SINGAPORE) / CUSIP 23304Y100 / Symbol DBSDY	6 000	432 74		03/08/12	318 10		114 64	Sale
DANAHER CORPORATION DEL / CUSIP 235851102 / Symbol DHR	6 000	493 78		03/08/12	318 10		175 68	Sale
2 tax lots for 11/20/14	1 000	82 30		04/17/12	54 82		27 48	Sale
11/20/14	7 000	576 08		VARIOUS	372 92		203 16	Total of 2 lots
Security total		1,008 82			691 02		317 80	

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Proceeds Not Reported to the IRS

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Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
DANONE SPONSORED ADR (FRANCE) / CUSIP 23636T100 / Symbol DANOY	7 000	95 54	02/08/10	78 40			17 14	Sale
DASSAULT SYSTEMS S A SPONSORED ADR (FRANCE) / CUSIP 237545108 / Symbol DASTY	1 000	108 27	07/20/10	66 99			41 28	Sale
DEUTSCHE GLOBAL REAL ESTATE SECURITIES FD CLASS A MIF / CUSIP 25159L109 / Symbol RRGAX	28 515	258 92	01/06/12	190 20			68 72	Sale
DODGE & COX INCOME FUND N/L / CUSIP 256210105 / Symbol DODIX	171 933	2,386 43	02/08/10	2,254 04			132 39	Sale
DONALDSON INCORPORATED / CUSIP 257651109 / Symbol DCI	2 000	82 83	01/06/12	68 75			14 08	Sale
DOUBLELINE TOTAL RETURN BOND FUND CLASS I N/L / CUSIP 258620103 / Symbol DBLTX	72 274	795 01	07/18/13	796 46			-1 45	Sale
DOW CHEMICAL COMPANY / CUSIP 260543103 / Symbol DOW	1 000	50 10	02/21/13	30 76			19 34	Sale
	7 000	355 59	05/16/13	247 72			107 87	Sale
	2 000	104 98	05/16/13	70 78			34 20	Sale
	14 000	745 38	08/15/13	519 39			225 99	Sale
Security total		1,256 05		868 65			387 40	
DRIL-QUIP INCORPORATED / CUSIP 262037104 / Symbol DRQ	1 000	90 57	01/06/12	66 54			24 03	Sale
E M C CORPORATION MASS / CUSIP 268648102 / Symbol EMC	27 000	783 83	01/31/13	667 17			116 66	Sale
2 tax lots for 08/25/14	6 000	177 77	01/31/13	148 26			29 51	Sale
	12 000	355 55	02/12/13	295 08			60 47	Sale
	18 000	533 32	VARIOUS	443 34			89 98	Total of 2 lots



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Description of property

Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
E M C CORPORATION MASS / CUSIP 268648102 / Symbol EMC (cont'd)								
2 tax lots for 11/20/14								
	16 000		476 63	02/12/13	393 44		83 19	Sale
	12 000		357 47	03/18/13	301 68		55 79	Sale
11/20/14	28 000		834 10	VARIOUS	695 12		138 98	Total of 2 lots
	Security total		2,151 25		1,805 63		345 62	
ERICSSON ADR B SEK 10 (SWEDEN) / CUSIP 294821608 / Symbol ERIC								
02/10/14	5 000		62 10	03/26/10	50 85		11 25	Sale
08/19/14	17 000		212 85	03/26/10	172 89		39 96	Sale
	Security total		274 95		223 74		51 21	
EXPONENT INCORPORATED / CUSIP 30214U102 / Symbol EXPO								
11/20/14	1 000		77 70	06/19/13	58 21		19 49	Sale
FEDERATED INSTITUTIONAL HIGH YIELD BOND FD INSTIT SHRS N/L / CUSIP 31420B300 / Symbol FIHBX								
02/10/14	64 670		662 87	06/11/12	631 83		31 04	Sale
11/20/14	67 268		676 04	06/11/12	657 21		18 83	Sale
	Security total		1,338 91		1,289 04		49 87	
FEDERATED SHORT TERM INCOME FUND CLASS Y N/L / CUSIP 31420C787 / Symbol FSTYX								
11/20/14	44 705		383 12	06/11/12	385 80		-2 68	Sale
F5 NETWORKS INCORPORATED / CUSIP 315616102 / Symbol FFIV								
02/10/14	3 000		329 09	01/06/12	316 89		12 20	Sale
FIRST REP BK SAN FRANCISCO CAL / CUSIP 33616C100 / Symbol FRC								
06/30/14	6 000		327 91	01/06/12	185 70		142 21	Sale
11/20/14	1 000		51 24	01/06/12	30 95		20 29	Sale
	Security total		379 15		216 65		162 50	
GDF SUEZ SPONS ADR (FRANCE) / CUSIP 36160B105 / Symbol GDFZY								
02/10/14	5 000		115 79	07/19/12	112 12		3 67	Sale
GARTNER INCORPORATED / CUSIP 366651107 / Symbol IT								
01/16/14	1 000		69 87	01/06/12	34 99		34 88	Sale

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Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net) / Symbol	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GARTNER INCORPORATED / CUSIP 366651107 / Symbol IT (cont'd)								
02/10/14	2 000	129 17	129 17	01/06/12	69 97		59 20	Sale
10/07/14	4 000	300 77	300 77	01/06/12	139 94		160 83	Sale
11/20/14	1 000	84 28	84 28	01/06/12	34 99		49 29	Sale
Security total		584 09	584 09		279 89		304 20	
GATEWAY FUND CLASS A M/F - NATIXIS ADVISOR / CUSIP 367829207 / Symbol GATEX								
11/20/14	7 773	230 15	230 15	01/06/12	206 30		23 85	Sale
GENERAL DYNAMICS CORPORATION / CUSIP 369550108 / Symbol GD								
2 tax lots for 04/03/14								
9 000	997 13	997 13	997 13	08/24/12	591 45		405 68	Sale
5 000	553 96	553 96	553 96	10/24/12	342 80		211 16	Sale
14 000	1,551 09	1,551 09	1,551 09	VARIOUS	934 25		616 84	Total of 2 lots
7 000	773 73	773 73	773 73	10/24/12	479 91		293 82	Sale
3 tax lots for 06/05/14								
2 000	239 53	239 53	239 53	10/24/12	137 12		102 41	Sale
4 000	479 07	479 07	479 07	01/25/13	282 94		196 13	Sale
1 000	119 77	119 77	119 77	02/08/13	66 70		53 07	Sale
7 000	838 37	838 37	838 37	VARIOUS	486 76		351 61	Total of 3 lots
5 000	607 83	607 83	607 83	03/08/13	343 30		264 53	Sale
Security total		3,771 02	3,771 02		2,244 22		1,526 80	
GENERAL MTRS COMPANY / CUSIP 37045V100 / Symbol GM								
2 tax lots for 01/13/14								
8 000	322 92	322 92	322 92	09/28/12	181 76		141 16	Sale
10 000	403 65	403 65	403 65	10/08/12	246 87		156 78	Sale
18 000	726 57	726 57	726 57	VARIOUS	428 63		297 94	Total of 2 lots
14 000	539 44	539 44	539 44	10/08/12	345 61		193 83	Sale
2 tax lots for 02/11/14								
1 000	35 29	35 29	35 29	10/08/12	24 69		10 60	Sale
17 000	600 00	600 00	600 00	11/15/12	404 22		195 78	Sale
18 000	635 29	635 29	635 29	VARIOUS	428 91		206 38	Total of 2 lots



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Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GENERAL MTRS COMPANY / CUSIP 37045V100 / Symbol GM (cont'd)								
2 tax lots for 03/03/14								
	2 000		71 96	11/15/12	47 56		24 40	Sale
	8 000		287 85	12/13/12	201 81		86 04	Sale
03/03/14	10 000		359 81	VARIOUS	249 37		110 44	Total of 2 lots
3 tax lots for 03/14/14								
	5 000		171 21	12/13/12	126 13		45 08	Sale
	1 000		34 24	02/08/13	28 63		5 61	Sale
	16 000		547 87	03/01/13	437 23		110 64	Sale
03/14/14	22 000		753 32	VARIOUS	591 99		161 33	Total of 3 lots
		Security total	3,014 43		2,044 51		969 92	
GLACIER BANCORP INCORPORATED NEW / CUSIP 37637Q105 / Symbol GBCI								
2 tax lots for 11/20/14								
	1 000		27 74	01/06/12	12 84		14 90	Sale
	1 000		27 73	02/07/12	14 75		12 98	Sale
11/20/14	2 000		55 47	VARIOUS	27 59		27 88	Total of 2 lots
GLAXOSMITHKLINE PLC SPONSORED ADR / CUSIP 37733W105 / Symbol GSK								
10/21/14	3 000		130 13	03/26/13	137 93		-7 80	Sale
10/22/14	27 000		1,203 18	03/26/13	1,241 34		-38 16	Sale
		Security total	1,333 31		1,379 27		-45 96	
GOLDMAN SACHS EMERGING MKTS DEBT FD INST CLS NIL / CUSIP 38143H886 / Symbol GSDIX								
11/20/14	127 335		1,610 79	04/16/12	1,650 26		-39 47	Sale
GOOGLE INCORPORATED CLASS A / CUSIP 38259P508 / Symbol GOOGL								
02/10/14	1 000		1,180 70	06/01/11	530 75		649 95	Sale
GREENHILL & COMPANY INCORPORATED / CUSIP 395259104 / Symbol GHL								
02/10/14	1 000		50 03	01/06/12	38 48		11 55	Sale
11/20/14	2 000		87 93	01/06/12	76 97		10 96	Sale
		Security total	137 96		115 45		22 51	

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Description of property

Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Gross (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GROUP 1 AUTOMOTIVE INCORPORATED / CUSIP 398905109 / Symbol GPI								
02/10/14	3 000	186 74		03/28/12	169 46		17 28	Sale
11/20/14	1 000	87 08		03/28/12	56 49		30 59	Sale
Security total		273 82			225 95		47 87	
GULFPORT ENERGY CORPORATION COM NEW / CUSIP 402635304 / Symbol GPOR								
05/08/14	6 000	355 42		01/06/12	187 86		167 56	Sale
2 tax lots for 07/24/14								
7 000			400 48	01/06/12	219 17		181 31	Sale
18 000			1,029 79	05/10/12	393 93		635 86	Sale
25 000			1,430 27	VARIOUS	613 10		817 17	Total of 2 lots
Security total			1,785 69		800 96		984 73	
HDFC LIMITED ADR REPS 3 SHS (INDIA) / CUSIP 40415F101 / Symbol HDB								
02/10/14	2 000	64 85		02/08/10	44 36		20 49	Sale
HSBC HLDGS PLC SPON ADR NEW (UNITED KINGDOM) / CUSIP 404280406 / Symbol HSBC								
07/31/14	6 000	321 03		08/17/09	314 50		6 53	Sale
HARMAN INTERNATIONAL INDUSTRIES INCORPORATED / CUSIP 413086109 / Symbol HAR								
11/20/14	1 000	109 03		07/24/13	57 84		51 19	Sale
HEARTLAND EXPRESS INCORPORATED / CUSIP 422347104 / Symbol HTLD								
02/10/14	11 000	220 87		10/17/12	153 06		67 81	Sale
11/20/14	4 000	103 43		10/17/12	55 66		47 77	Sale
Security total		324 30			208 72		115 58	
HEINEKEN N V SPONSORED ADR L1 (NETHERLANDS) / CUSIP 423012301 / Symbol HEINY								
02/10/14	2 000	63 59		02/08/10	46 80		16 79	Sale
06/18/14	21 000	749 78		02/08/10	491 40		258 38	Sale
Security total		813 37			538 20		275 17	
HENNES & MAURITZ AB ADR (SWEDEN) / CUSIP 425883105 / Symbol HNNMY								
01/07/14	56 000	500 63		08/14/12	416 29		84 34	Sale
01/08/14	49 000	431 68		08/14/12	364 26		67 42	Sale
Security total		932 31			780 55		151 76	



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Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
HIBBETT SPORTS INCORPORATED / CUSIP 428567101 / Symbol HIBB								
02/10/14	3 000		176 72	01/06/12	134 95		41 77	Sale
HITTITE MICROWAVE CORPORATION / CUSIP 43365Y104 / Symbol								
02/10/14	1 000		54 74	01/06/12	50 63		4 11	Sale
07/18/14	5 000		389 44	01/06/12	253 17		136 27	Sale
Security total			444 18		303 80		140 38	
HUTCHISON WHAMPOA LIMITED ADR (HONG KONG) / CUSIP 448415208 / Symbol HUWHY								
02/10/14	8 000		197 67	02/08/10	109 76		87 91	Sale
11/20/14	2 000		50 61	02/08/10	27 44		23 17	Sale
Security total			248 28		137 20		111 08	
ICU MED INCORPORATED / CUSIP 44930G107 / Symbol ICUI								
02/10/14	3 000		186 86	01/06/12	134 79		52 07	Sale
IBERIA CORPORATION / CUSIP 450828108 / Symbol IBKC								
02/10/14	3 000		189 86	01/06/12	157 55		32 31	Sale
11/20/14	1 000		66 67	01/06/12	52 52		14 15	Sale
Security total			256 53		210 07		46 46	
ICICI BK LIMITED ADR (INDIA) / CUSIP 45104G104 / Symbol IBN								
2 tax lots for 01/07/14								
8 000			287 31	02/11/10	281 51		5 80	Sale
1 000			35 91	02/08/12	38 05		-2 14	Sale
9 000			323 22	VARIOUS	319 56		3 66	Total of 2 lots
01/07/14								
ING GROEP N V SPONSORED ADR (NETHERLANDS) / CUSIP 456837103 / Symbol ING								
02/10/14	6 000		82 43	02/08/10	50 39		32 04	Sale
INTEL CORPORATION / CUSIP 458140100 / Symbol INTC								
2 tax lots for 10/10/14								
28 000			903 69	09/20/13	663 88		239 81	Sale
16 000			516 40	09/27/13	368 48		147 92	Sale
44 000			1,420 09	VARIOUS	1,032 36		387 73	Total of 2 lots
10/10/14								

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Description of property

Date sold or disposed	Quantity	Shown (Gross) INTC (cont'd)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
INTEL CORPORATION / CUSIP 458140100 / Symbol INTC	18 000	568 35	568 35	09/27/13	414 53		153 82	Sale
2 tax lots for 11/20/14								
	5 000	179 37	179 37	11/15/13	122 40		56 97	Sale
	14 000	496 56	496 56	11/15/13	342 70		153 86	Sale
11/20/14	19 000	675 93	675 93	VARIOUS	465 10		210 83	Total of 2 lots
12/29/14	8 000	297 86	297 86	11/15/13	195 83		102 03	Sale
Security total		2,962 23	2,962 23		2,107 82		854 41	
ITAUNIBANCO SA SPONSORED ADR REPSTG / CUSIP 465562106 / Symbol ITUB	3 000	39 65	39 65	11/22/11	45 29		-5 64	Sale
2 tax lots for 04/29/14								
	14 400	236 71	236 71	11/22/11	217 39		19 32	Sale
	28 600	470 14	470 14	01/19/12	542 08		-71 94	Sale
04/29/14	43 000	706 85	706 85	VARIOUS	759 47		-52 62	Total of 2 lots
Security total		746 50	746 50		804 76		-58 26	
IVY ASSET STRATEGY FUND CLASS Y N/L / CUSIP 466000726 / Symbol WASYX	11 663	365 76	365 76	01/06/12	264 75		101 01	Sale
JPMORGAN CHASE & COMPANY / CUSIP 46625H100 / Symbol JPM								
2 tax lots for 03/20/14								
	2 000	119 34	119 34	12/15/10	81 34		38 00	Sale
	17 000	1,014 36	1,014 36	02/17/11	811 72		202 64	Sale
03/20/14	19 000	1,133 70	1,133 70	VARIOUS	893 06		240 64	Total of 2 lots
11/20/14	3 000	179 84	179 84	02/17/11	143 24		36 60	Sale
Security total		1,313 54	1,313 54		1,036 30		277 24	
JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL I N/L / CUSIP 47804M878 / Symbol JHAIX	28 483	326 98	326 98	06/11/12	299 58		27 40	Sale
JOHN HANCOCK GLOBAL ABSOLUTE RETURN STRATEGIES FD CL A M/F / CUSIP 47804M886 / Symbol JHAAX	8 040	89 08	89 08	06/11/12	84 34		4 74	Sale



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Date sold or disposed	Quantity	Shown (Gross (Net)	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7. Loss not allowed(X)	Additional information
JOHNSON & JOHNSON / CUSIP 478160104 / Symbol JNJ								
01/17/14	7 000		664 99	07/18/12	484 90		180 09	Sale
3 tax lots for 04/24/14								
5 000			500 54	07/18/12	346 35		154 19	Sale
9 000			900 96	07/23/12	611 80		289 16	Sale
1 000			100 11	10/08/12	69 38		30 73	Sale
15 000			1,501 61	VARIOUS	1,027 53		474 08	Total of 3 lots
04/24/14								
05/01/14	7 000		702 58	10/08/12	485 64		216 94	Sale
Security total			2,869 18		1,998 07		871 11	
JPMORGAN CORE BOND FUND CLASS A MIF / CUSIP 4812C0357 / Symbol PGBOX								
3 tax lots for 08/18/14								
332 859			3,914 42	VARIOUS	3,818 13		96 29	Sale
2,025 882			23,824 37	02/08/10	22,811 43		1,012 94	Sale
140 866			1,656 59	02/08/11	1,598 83		57 76	Sale
2,499 607			29,395 38	VARIOUS	28,228 39		1,166 99	Total of 3 lots
08/18/14								
11/20/14	109 721		1,288 13	02/08/11	1,245 33		42 80	Sale
Security total			30,683 51		29,473 72		1,209 79	
JPMORGAN HIGH YIELD FUND SELECT CLASS N/L / CUSIP 4812C0803 / Symbol OHYFX								
02/10/14	78 690		631 88	02/08/10	607 49		24 39	Sale
11/20/14	82 154		649 84	02/08/10	634 23		15 61	Sale
Security total			1,281 72		1,241 72		40 00	
KDDI CORPORATION ADR (JAPAN) / CUSIP 48667L106 / Symbol KDDIY								
02/10/14	7 000		94 98	04/22/10	43 73		51 25	Sale
11/20/14	7 000		113 32	04/22/10	43 72		69 60	Sale
Security total			208 30		87 45		120 85	
KIRBY CORPORATION / CUSIP 497266106 / Symbol KEX								
08/18/14	3 000		362 21	01/06/12	201 07		161 14	Sale

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KONINKLIJKE PHILIPS N V NY REG SH NEW (NETHERLANDS) / CUSIP 500472303 / Symbol PHG								
3 tax lots for 08/25/14								
	3 000		91 92	05/30/13	87 56		4 36	Sale
	24 000		735 37	06/06/13	681 50		53 87	Sale
	9 000		275 76	06/14/13	255 43		20 33	Sale
08/25/14	36 000		1,103 05	VARIOUS	1,024 49		78 56	Total of 3 lots
2 tax lots for 09/15/14								
	17 000		515 41	06/14/13	482 47		32 94	Sale
	7 000		212 23	06/26/13	190 47		21 76	Sale
09/15/14	24 000		727 64	VARIOUS	672 94		54 70	Total of 2 lots
09/19/14	21 000		639 71	06/26/13	571 41		68 30	Sale
	Security total		2,470 40		2,268 84		201 56	
LI & FUNG LIMITED ADR (BERMUDA) / CUSIP 501897102 / Symbol LFUGY								
02/10/14	40 000		105 99	05/03/12	175 60		-69 61	Sale
12/12/14	25 000		49 71	05/03/12	109 75		-60 04	Sale
12/16/14	10 000		19 71	05/03/12	43 90		-24 19	Sale
12/18/14	63 000		118 59	05/03/12	•276 57		-157 98	Sale
	Security total		294 00		605 82		-311 82	
LVMH MOET HENNESSY LOU VUITTON ADR (FRANCE) / CUSIP 502441306 / Symbol LVMUY								
02/10/14	2 000		72 81	02/08/10	41 90		30 91	Sale
LEGG MASON BW INTL OPPORTUNITY BOND FUND CLASS I N/L / CUSIP 524686409 / Symbol LWQIX								
11/20/14	84 854		1,005 52	07/18/13	997 03		8 49	Sale
CLEARBRIDGE EQUITY INCOME FUND CLASS A M/F / CUSIP 52469H636 / Symbol SOPAX								
11/20/14	227 804		4,601 65	03/14/11	2,997 90		1,603 75	Sale
LIFE TIME FITNESS INCORPORATED / CUSIP 53217R207 / Symbol LTM								
02/10/14	4 000		174 63	01/06/12	180 70		-6 07	Sale
05/27/14	6 000		328 36	01/06/12	271 04		57 32	Sale
2 tax lots for 06/11/14								
	3 000		142 32	01/06/12	135 52		6 80	Sale



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Date sold or disposed	Quantity	Shown (Gross (Net	Proceeds LTM (cont'd)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
LIFE TIME FITNESS INCORPORATED / CUSIP 53217R207 / Symbol LTM (cont'd)								
	7 000	332 07	05/10/12		308 39		23 68	Sale
06/11/14	10 000	474 39	VARIOUS		443 91		30 48	Total of 2 lots
Security total		977 38			895 65		81 73	
LINCOLN ELEC HLDGS INCORPORATED / CUSIP 533900106 / Symbol LECO								
02/10/14	3 000	207 35	01/06/12		119 91		87 44	Sale
11/20/14	1 000	73 92	01/06/12		39 97		33 95	Sale
Security total		281 27			159 88		121 39	
MANNING & NAPIER INCORPORATED CLASS A / CUSIP 56382Q102 / Symbol MN								
02/10/14	12 000	190 67	01/06/12		152 25		38 42	Sale
04/08/14	13 000	209 31	01/06/12		164 94		44 37	Sale
Security total		399 98			317 19		82 79	
MKTAXESS HLDGS INCORPORATED / CUSIP 57060D108 / Symbol MKTX								
11/20/14	1 000	63 77	02/24/12		32 75		31 02	Sale
MEDNAX INCORPORATED / CUSIP 58502B106 / Symbol MD								
02/10/14	1 000	55 60	02/08/10		27 40		28 20	Sale
08/18/14	6 000	339 34	02/08/10		164 37		174 97	Sale
11/20/14	1 000	63 99	02/08/10		27 39		36 60	Sale
Security total		458 93			219 16		239 77	
MERCK & COMPANY INCORPORATED NEW / CUSIP 58933Y105 / Symbol MRK								
2 tax lots for 02/10/14								
	4 000	218 45	12/21/11		148 71		69 74	Sale
	4 000	218 44	02/08/12		153 80		64 64	Sale
	8 000	436 89	VARIOUS		302 51		134 38	Total of 2 lots
02/10/14								
11/20/14	8 000	473 50	02/08/12		307 60		165 90	Sale
Security total		910 39			610 11		300 28	
METLIFE INCORPORATED / CUSIP 59156R108 / Symbol MET								
01/27/14	3 000	145 52	03/04/11		135 57		9 95	Sale

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Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
METLIFE INCORPORATED / CUSIP 59156R108 / Symbol MET (cont'd)								
	2 tax lots for 04/03/14							
	5 000		269 50	03/04/11	225 94		43 56	Sale
	5 000		269 50	03/24/11	222 89		46 61	Sale
04/03/14	10 000		539 00	VARIOUS	448 83		90 17	Total of 2 lots
08/25/14	11 000		595 08	03/24/11	490 34		104 74	Sale
	2 tax lots for 10/10/14							
	1 000		50 28	03/24/11	44 58		5 70	Sale
	12 000		603 30	10/24/12	421 90		181 40	Sale
10/10/14	13 000		653 58	VARIOUS	466 48		187 10	Total of 2 lots
	2 tax lots for 11/03/14							
	9 000		490 42	10/24/12	316 43		173 99	Sale
	6 000		326 94	10/04/13	284 76		42 18	Sale
11/03/14	15 000		817 36	VARIOUS	601 19		216 17	Total of 2 lots
11/05/14	12 000		650 63	10/04/13	569 51		81 12	Sale
	Security total		3,401 17		2,711 92		689 25	
	MICROS SYSTEMS INCORPORATED / CUSIP 594901100 / Symbol MCRSOLD							
02/10/14	2 000		109 47	01/12/12	98 22		11 25	Sale
07/25/14	7 000		474 30	01/12/12	343 75		130 55	Sale
	Security total		583 77		441 97		141 80	
	MICROCHIP TECHNOLOGY INCORPORATED / CUSIP 595017104 / Symbol MCHP							
02/10/14	4 000		179 19	01/22/13	128 09		51 10	Sale
	MID-AMER APT CMNTYS INCORPORATED REIT / CUSIP 59522J103 / Symbol MAA							
02/10/14	3 000		200 66	08/22/11	197 83		2 83	Sale
11/20/14	1 000		71 51	08/22/11	65 95		5 56	Sale
	Security total		272 17		263 78		8 39	
	MIDDLEBY CORPORATION / CUSIP 596278101 / Symbol MIDD							
02/10/14	1 000		244 36	01/06/12	93 80		150 56	Sale
05/08/14	1 000		238 23	01/06/12	93 80		144 43	Sale
11/20/14	2 000		186 17	01/06/12	62 53		123 64	Sale
	Security total		668 76		250 13		418 63	



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Date sold or disposed	Quantity	Shown (Gross) Net	Proceeds (Gross) Net	Date acquired	Symbol	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MITSUBISHI UFJ FINL GROUP INCORPORATED	20 000		117 61	06/02/11	MTU	91 80		25 81	Sale
NATIONAL HEALTH INVS INCORPORATED REIT		CUSIP 63633D104 / Symbol NHI							
02/10/14	3 000	184 19		01/06/12		131 80		52 39	Sale
11/20/14	1 000	65 39		01/06/12		43 93		21 46	Sale
	Security total	249 58				175 73		73 85	
LOOMIS SAYLES STRATEGIC ALPHA FUND CLASS Y N/L - NATIXIS / CUSIP 63872T620 / Symbol LASYX									
11/20/14	63 147	638 42		07/18/13		634 00		4 42	Sale
ASG GLOBAL ALTERNATIVES FUND CLASS Y N/L - NATIXIS ADV / CUSIP 63872T885 / Symbol GAFYX									
11/20/14	40 270	457 87		01/06/12		419 21		38 66	Sale
NATURAL GROCERS BY VITAMIN COT / CUSIP 63888U108 / Symbol NGVC									
02/10/14	3 000	113 20		07/26/12		56 66		56 54	Sale
NESTLE S A SPONSORED ADR (SWITZERLAND) / CUSIP 641069406 / Symbol NSRGY									
11/20/14	1 000	75 02		02/08/10		46 20		28 82	Sale
NEUBERGER BERMAN LONG SHORT FUND CLASS A M/F / CUSIP 64128R400 / Symbol NLSAX									
11/20/14	28 892	375 88		08/26/13		344 39		31 49	Sale
NEWELL RUBBERMAID INCORPORATED / CUSIP 651229106 / Symbol NWL									
2 tax lots for 11/20/14									
	3 000	106 85		01/06/12		50 40		56 45	Sale
	3 000	106 85		01/06/12		50 40		56 45	Sale
11/20/14	6 000	213 70		VARIOUS		100 80		112 90	Total of 2 lots
NEWS CORPORATION NEW CLASS A / CUSIP 65249B109 / Symbol NWSA									
2 tax lots for 08/25/14									
	19 000	332 54		07/25/13		300 20		32 34	Sale
	13 000	227 52		08/08/13		208 00		19 52	Sale
08/25/14	32 000	560 06		VARIOUS		508 20		51 86	Total of 2 lots

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NEWS CORPORATION NEW CLASS A / CUSIP 65249B109 / Symbol NWSA (cont'd)								
	2 tax lots for 10/17/14							
	34 000	498 65	08/08/13	544 00			-45 35	Sale
	24 000	351 99	08/22/13	378 72			-26 73	Sale
10/17/14	58 000	850 64	VARIOUS	922 72			-72 08	Total of 2 lots
	2 tax lots for 10/23/14							
	20 000	310 17	09/06/13	323 60			-13 43	Sale
	26 000	403 22	09/27/13	418 34			-15 12	Sale
10/23/14	46 000	713 39	VARIOUS	741 94			-28 55	Total of 2 lots
	Security total	2,124 09		2,172 86			-48 77	
NOVARTIS A G SPONSORED ADR (SWITZERLAND) / CUSIP 66987V109 / Symbol NVS								
10/21/14	4 000	353 60	12/08/11	218 82			134 78	Sale
11/20/14	1 000	95 23	12/08/11	54 71			40 52	Sale
	Security total	448 83		273 53			175 30	
OCCIDENTAL PETE CORPORATION DEL / CUSIP 674599105 / Symbol OXY								
02/10/14	8 000	728 32	04/17/12	710 27			18 05	Sale
PVH CORPORATION / CUSIP 693656100 / Symbol PVH								
10/23/14	8 000	921 98	04/23/13	866 42			55 56	Sale
	2 tax lots for 11/20/14							
	1 000	122 84	04/23/13	108 30			14 54	Sale
	1 000	122 83	05/02/13	113 90			8 93	Sale
11/20/14	2 000	245 67	VARIOUS	222 20			23 47	Total of 2 lots
	Security total	1,167 65		1,088 62			79 03	
PETROLEO BRASILEIRO SA PETROBR SPONSORED ADR (BRAZIL) / CUSIP 71654V408 / Symbol PBR								
07/31/14	17 000	269 48	07/18/13	241 96			27 52	Sale
08/29/14	61 000	1,162 03	07/18/13	868 23			293 80	Sale
	Security total	1,431 51		1,110 19			321 32	
PFIZER INCORPORATED / CUSIP 717081103 / Symbol PFE								
10/23/14	37 000	1,062 02	10/16/09	653 42			408 60	Sale



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PFIZER INCORPORATED / CUSIP 717081103 / Symbol PFE (cont'd)								
3 tax lots for 11/20/14								
	0 985		29 89	10/16/09	17 40		12 49	Sale
	3 150		95 60	10/16/09	55 63		39 97	Sale
	5 865		178 00	10/16/09	103 58		74 42	Sale
11/20/14	10 000		303 49	VARIOUS	176 61		126 88	Total of 3 lots
	Security total		1,365 51		830 03		535 48	
PIMCO UNCONSTRAINED BOND FUND CLASS P NIL / CUSIP 72201M453 / Symbol PUCPX								
3 tax lots for 10/27/14								
	2 993		33 64	VARIOUS	33 55		0 09	Sale
	2,426 797		27,277 19	07/16/13	27,350 00		-72 81	Sale
	334 654		3,761 51	08/26/13	3,741 43		20 08	Sale
10/27/14	2,764 444		31,072 34	VARIOUS	31,124 98		-52 64	Total of 3 lots
PIMCO TOTAL RETURN FUND CLASS P NIL / CUSIP 72201M552 / Symbol PTPX								
3 tax lots for 08/18/14								
	311 127		3,419 27	VARIOUS	3,520 29		-101 02	Sale
	3,374 951		37,090 71	06/11/12	38,069 45		-978 74	Sale
	275 067		3,022 99	02/08/13	3,078 00		-55 01	Sale
08/18/14	3,961 145		43,532 97	VARIOUS	44,667 74		-1,134 77	Total of 3 lots
POWER INTEGRATIONS INCORPORATED / CUSIP 739276103 / Symbol POWI								
02/10/14	2 000		117 47	01/06/12	66 45		51 02	Sale
11/20/14	1 000		50 18	01/06/12	33 23		16 95	Sale
	Security total		167 65		99 68		67 97	
PRICESMART INCORPORATED / CUSIP 741511109 / Symbol PSMT								
02/10/14	2 000		186 39	01/15/13	156 96		29 43	Sale
11/20/14	1 000		93 68	01/15/13	78 48		15 20	Sale
	Security total		280 07		235 44		44 63	
PROASSURANCE CORPORATION / CUSIP 74267C106 / Symbol PRA								
02/10/14	1 000		44 14	08/10/11	35 11		9 03	Sale

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Date sold or disposed	Quantity	Shown (Gross (N)et	Proceeds (Gross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
PROASSURANCE CORPORATION / CUSIP 74267C106 / Symbol PRA (cont'd)								
11/20/14	2 000	91 05	135 19	08/10/11	70 22		20 83	Sale
Security total					105 33		29 86	
PROCTER & GAMBLE COMPANY / CUSIP 742718109 / Symbol PG								
02/10/14	5 000	386 34		04/05/12	336 94		49 40	Sale
05/14/14	5 000	407 05		04/05/12	336 94		70 11	Sale
2 tax lots for 11/20/14								
	4 000	353 76		04/05/12	269 56		84 20	Sale
	5 000	442 20		05/17/12	320 34		121 86	Sale
11/20/14	9 000	795 96		VARIOUS	589 90		206 06	Total of 2 lots
Security total		1,589 35			1,263 78		325 57	
PROTO LABS INCORPORATED / CUSIP 743713109 / Symbol PRLB								
11/20/14	1 000	63 93		02/26/13	44 79		19 14	Sale
PUBLICIS S A NEW SPONSORED ADR (FRANCE) / CUSIP 74463M106 / Symbol PUBGY								
02/10/14	4 000	91 23		01/20/11	50 86		40 37	Sale
04/29/14	54 000	1,118 68		01/20/11	686 61		432 07	Sale
Security total		1,209 91			737 47		472 44	
QEP RES INCORPORATED / CUSIP 74733V100 / Symbol QEP								
02/10/14	8 000	246 40		01/06/12	252 56		-6 16	Sale
11/20/14	3 000	74 12		01/06/12	94 71		-20 59	Sale
Security total		320 52			347 27		-26 75	
ROBECO BP LONG/SHORT RESEARCH FUND INSTITUTIONAL CLASS N/L / CUSIP 74925K581 / Symbol BPIRX								
02/10/14	7 517	106 96		08/07/12	88 93		18 03	Sale
11/20/14	32 386	493 88		08/07/12	383 13		110 75	Sale
Security total		600 84			472 06		128 78	
RBC BEARINGS INCORPORATED / CUSIP 75524B104 / Symbol ROLL								
02/10/14	3 000	180 20		01/06/12	128 67		51 53	Sale
11/20/14	1 000	62 71		01/06/12	42 89		19 82	Sale
Security total		242 91			171 56		71 35	



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RECKITT BENCKISER PLC SPONSORED ADR / CUSIP 756255204 / Symbol RBGLY	6 000		94 73	02/08/10	59 82		34 91	Sale
RESMED INCORPORATED / CUSIP 761152107 / Symbol RMD								
08/01/14	9 000		438 56	01/06/12	225 27		213 29	Sale
10/20/14	17 000		795 58	01/06/12	425 51		370 07	Sale
Security total			1,234 14		650 78		583 36	
RIO TINTO PLC SPONSORED ADR (UNITED KINGDOM) / CUSIP 767204100 / Symbol RIO								
01/07/14	9 000		472 26	04/12/11	647 29		-175 03	Sale
02/10/14	3 000		166 97	04/12/11	215 76		-48 79	Sale
Security total			639 23		863 05		-223 82	
RITCHIE BROS AUCTIONEERS (CANADA) / CUSIP 767744105 / Symbol RBA								
02/10/14	8 000		179 99	01/06/12	170 48		9 51	Sale
11/20/14	2 000		51 09	01/06/12	42 62		8 47	Sale
Security total			231 08		213 10		17 98	
ROCHE HLDG LIMITED SPONSORED ADR (SWITZERLAND) / CUSIP 771195104 / Symbol RHHBY								
02/10/14	1 000		71 06	08/31/10	33 94		37 12	Sale
2 tax lots for 02/11/14								
	2 000		143 18	08/31/10	67 88		75 30	Sale
	6 000		429 52	01/06/12	258 06		171 46	Sale
	8 000		572 70	VARIOUS	325 94		246 76	Total of 2 lots
02/11/14								
2 tax lots for 11/20/14								
	2 000		75 31	03/26/13	57 97		17 34	Sale
	5 000		188 29	03/26/13	144 92		43 37	Sale
	7 000		263 60	VARIOUS	202 89		60 71	Total of 2 lots
Security total			907 36		562 77		344 59	
ROYAL DUTCH SHELL PLC SPONS ADR A (NETHERLANDS) / CUSIP 780259206 / Symbol RDSA								
02/10/14	4 000		276 11	02/08/10	219 24		56 87	Sale
11/20/14	1 000		70 94	02/08/10	54 81		16 13	Sale
Security total			347 05		274 05		73 00	

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Proceeds Not Reported to the IRS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) / Symbol	Proceeds (Net) / Symbol	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
RYLAND GROUP INCORPORATED / CUSIP 783764103 / Symbol RYL								
11/20/14	2 000	76 29		01/06/12	34 64		41 65	Sale
SVB FINL GROUP / CUSIP 78486Q101 / Symbol SIVB								
04/25/14	2 000	224 52		01/06/12	102 96		121 56	Sale
11/14/14	3 000	332 93		01/06/12	154 44		178 49	Sale
11/20/14	1 000	108 66		01/06/12	51 48		57 18	Sale
Security total		666 11			308 88		357 23	
SEVEN & I HLDGS COMPANY LIMITED ADR (JAPAN) / CUSIP 81783H105 / Symbol SVNDY								
02/10/14	2 000	152 51		01/18/12	112 88		39 63	Sale
SEVENTY SEVEN ENERGY INCORPORATED / CUSIP 818097107 / Symbol SSE								
07/11/14	0 786	20 01		06/24/13	12 46		7 55	Sale
SIEMENS A G SPONSORED ADR (GERMANY) / CUSIP 826197501 / Symbol SIEGY								
02/10/14	1 000	127 59		02/08/10	85 71		41 88	Sale
10/10/14	3 000	316 76		02/08/10	257 13		59 63	Sale
2 tax lots for 11/20/14								
4 000		456 99		02/08/10	342 84		114 15	Sale
2 000		228 49		01/06/12	194 02		34 47	Sale
6 000		685 48		VARIOUS	536 86		148 62	Total of 2 lots
2 tax lots for 12/10/14								
1 000		114 89		01/06/12	97 01		17 88	Sale
2 000		229 77		05/03/13	211 87		17 90	Sale
3 000		344 66		VARIOUS	308 88		35 78	Total of 2 lots
3 tax lots for 12/29/14								
1 000		114 20		05/03/13	105 93		8 27	Sale
13 000		1,484 59		06/06/13	1,367 44		117 15	Sale
3 000		342 60		06/14/13	317 78		24 82	Sale
17 000		1,941 39		VARIOUS	1,791 15		150 24	Total of 3 lots
Security total		3,415 88			2,979 73		436 15	



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LONG-TERM TRANSACTIONS

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Description of property

Date sold or disposed	Quantity	Shown (Gross (Net) SBNY	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
SIGNATURE BK NY NY / CUSIP 82669G104 / Symbol SBNY								
04/23/14	3 000		370 45	01/06/12	184 85		185 60	Sale
11/20/14	1 000		122 15	01/06/12	61 62		60 53	Sale
Security total			492 60		246 47		246 13	
SOLERA HOLDINGS INCORPORATED / CUSIP 83421A104 / Symbol SLH								
02/10/14	4 000		256 47	01/06/12	176 03		80 44	Sale
11/20/14	1 000		52 67	01/06/12	44 01		8 66	Sale
Security total			309 14		220 04		89 10	
STEPAN COMPANY / CUSIP 858586100 / Symbol SCL								
02/10/14	3 000		185 00	08/30/12	145 60		39 40	Sale
STERIS CORPORATION / CUSIP 859152100 / Symbol STE								
02/10/14	4 000		173 99	12/31/12	139 56		34 43	Sale
11/20/14	2 000		123 83	12/31/12	69 78		54 05	Sale
Security total			297 82		209 34		88 48	
SUMITOMO MITSUBI FINL GROUP INCORPORATED SPONSORED ADR / CUSIP 86562M209 / Symbol SMFG								
02/10/14	15 000		139 64	02/08/10	93 60		46 04	Sale
12/11/14	39 000		284 05	02/08/10	243 36		40 69	Sale
Security total			423 69		336 96		86 73	
SUPERIOR ENERGY SVCS INCORPORATED / CUSIP 868157108 / Symbol SPN								
11/20/14	3 000		75 20	03/21/13	77 25		-2 05	Sale
SYMRISE AG ADR (GERMANY) / CUSIP 87155N109 / Symbol SYIEY								
02/10/14	6 000		71 27	02/08/10	31 87		39 40	Sale
TAIWAN SEMICONDUCTOR MFG LIMITED SPONSORED ADR / CUSIP 874039100 / Symbol TSM								
02/10/14	4 000		69 15	02/08/10	38 75		30 40	Sale
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS N/L / CUSIP 880208400 / Symbol TGBAX								
2 tax lots for 11/20/14								
30 688			404 16	01/06/12	381 45		22 71	Sale

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Proceeds Not Reported to the IRS

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Report on Form 8949, Part II, with Box F checked

Description of property

Date sold or disposed	Quantity	Shown (Gross) N/L / CUSIP	Proceeds (Net)	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
TEMPLETON GLOBAL BOND FUND ADVISOR CLASS N/L / CUSIP 880208400 / Symbol TGBAX (cont'd)								
11/20/14	102 399	1,348 59	1,348 59	01/06/12	1,272 82		75 77	Sale
	133 087	1,752 75	1,752 75	VARIOUS	1,654 27		98 48	Total of 2 lots
TIM PARTICIPACOE S A SPONSORED ADR (BRAZIL) / CUSIP 88706P205 / Symbol TSU								
2 tax lots for 01/08/14								
	17 000	471 85	471 85	09/20/11	465 50		6 35	Sale
	1 000	27 76	27 76	02/08/12	28 27		-0 51	Sale
01/08/14	18 000	499 61	499 61	VARIOUS	493 77		5 84	Total of 2 lots
TOKIO MARINE HOLDINGS INCORPORATED ADR (JAPAN) / CUSIP 889094108 / Symbol TKOMY								
02/10/14	2 000	58 53	58 53	02/08/10	53 90		4 63	Sale
TORO COMPANY / CUSIP 891092108 / Symbol TTC								
11/20/14	1 000	63 44	63 44	01/06/12	31 73		31 71	Sale
TRACTOR SUPPLY COMPANY / CUSIP 892356106 / Symbol TSCO								
02/10/14	3 000	198 47	198 47	01/06/12	105 59		92 88	Sale
04/23/14	4 000	271 36	271 36	01/06/12	140 79		130 57	Sale
05/08/14	3 000	194 59	194 59	01/06/12	105 59		89 00	Sale
11/20/14	1 000	75 24	75 24	01/06/12	35 20		40 04	Sale
Security total		739 66	739 66		387 17		352 49	
TUPPERWARE BRANDS CORPORATION / CUSIP 899896104 / Symbol TUP								
02/10/14	3 000	230 54	230 54	01/06/12	166 25		64 29	Sale
11/20/14	2 000	133 01	133 01	01/06/12	110 84		22 17	Sale
Security total		363 55	363 55		277 09		86 46	
TYLER TECHNOLOGIES INCORPORATED / CUSIP 902252105 / Symbol TYL								
01/16/14	4 000	429 54	429 54	05/02/12	160 14		269 40	Sale
02/10/14	1 000	89 75	89 75	05/02/12	40 03		49 72	Sale
Security total		519 29	519 29		200 17		319 12	
UMPQUA HLDGS CORPORATION / CUSIP 904214103 / Symbol UMPQ								
02/10/14	9 000	153 62	153 62	01/06/12	114 39		39 23	Sale



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Description of property

Date sold or disposed	Quantity	Shown (Gross) / Net	Proceeds (Gross) / Net	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UNITED PARCEL SERVICE INCORPORATED CLASS B / CUSIP 911312106 / Symbol UPS								
11/20/14	2 000		213 50	05/18/11	146 54		66 96	Sale
URBAN OUTFITTERS INCORPORATED / CUSIP 917047102 / Symbol URBN								
02/10/14	6 000		219 96	11/20/12	219 95		0 01	Sale
11/20/14	2 000		62 69	11/20/12	73 32		-10 63	Sale
Security total			282 65		293 27		-10 62	
VODAFONE GROUP PLC NEW SPONS ADR NEW / CUSIP 92857W209 / Symbol VODOLDDD								
02/10/14	2 000		72 85	01/17/12	54 01		18 84	Sale
VODAFONE GROUP PLC NEW SPNSR ADR NO PAR / CUSIP 92857W308 / Symbol VOD								
02/24/14	0 909		37 76	01/17/12	45 01		-7 25	Sale
WABTEC CORPORATION / CUSIP 929740108 / Symbol WAB								
02/10/14	2 000		144 37	01/06/12	70 24		74 13	Sale
11/20/14	1 000		89 14	01/06/12	35 12		54 02	Sale
Security total			233 51		105 36		128 15	
WADDELL & REED FINL INCORPORATED CLASS A / CUSIP 930059100 / Symbol WDR								
04/08/14	2 000		139 83	01/06/12	52 06		87 77	Sale
2 tax lots for 06/30/14								
19 000			1,180 07	01/06/12	494 57		685 50	Sale
1 000			62 11	02/08/13	40 46		21 65	Sale
20 000			1,242 18	VARIOUS	535 03		707 15	Total of 2 lots
Security total			1,382 01		587 09		794 92	
WAL-MART STORES INCORPORATED / CUSIP 931142103 / Symbol WMT								
11/20/14	7 000		590 26	11/06/13	545 46		44 80	Sale
WATERS CORPORATION / CUSIP 941848103 / Symbol WAT								
02/10/14	2 000		217 03	01/06/12	148 32		68 71	Sale
11/20/14	1 000		115 71	01/06/12	74 16		41 55	Sale
Security total			332 74		222 48		110 26	

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Description of property

Date sold or disposed	Quantity	Shown (Gross) (Net)	Proceeds	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7 - Loss not allowed(X)	Additional information
WF & COMPANY NEW / CUSIP 949746101 / Symbol WFC								
2 tax lots for 01/07/14								
	17 000		771 45	05/09/12	552 65		218 80	Sale
	7 000		317 65	05/30/12	222 24		95 41	Sale
01/07/14	24 000		1,089 10	VARIOUS	774 89		314 21	Total of 2 lots
01/17/14	8 000		371 28	05/30/12	253 99		117 29	Sale
2 tax lots for 02/10/14								
	3 000		136 23	05/30/12	95 24		40 99	Sale
	4 000		181 64	10/08/12	143 24		38 40	Sale
02/10/14	7 000		317 87	VARIOUS	238 48		79 39	Total of 2 lots
02/11/14	15 000		686 98	10/08/12	537 13		149 85	Sale
	Security total		2,465 23		1,804 49		660 74	
WF ADVANTAGE ABSOLUTE RETURN FUND ADMIN CLASS N/L / CUSIP 94987W307 / Symbol WARDX								
11/20/14	25 802		292 08	04/29/13	280 47		11 61	Sale
WESTERN ASSET INFLATION INDEX- ED PLUS BD FUND CLASS I N/L / CUSIP 957663883 / Symbol WALIX								
11/20/14	47 655		540 41	06/11/12	580 91		-40 50	Sale
WESTPAC BKG CORPORATION SPONSORED ADR (AUSTRALIA) / CUSIP 961214301 / Symbol WBK								
02/10/14	3 000		85 04	02/08/10	60 45		24 59	Sale
ZEBRA TECHNOLOGIES CORPORATION CLASS A / CUSIP 989207105 / Symbol ZBRA								
06/02/14	5 000		370 77	01/06/12	176 66		194 11	Sale
11/05/14	5 000		361 46	01/06/12	176 66		184 80	Sale
	Security total		732 23		353 32		378 91	
ARCOS DORADOS HOLDINGS INCORPORATED SHS CLASS -A - / CUSIP G0457F107 / Symbol ARCO								
02/10/14	6 000		53 33	12/07/12	75 17		-21 84	Sale
03/19/14	41 000		386 48	12/07/12	513 67		-127 19	Sale
	Security total		439 81		588 84		-149 03	
EATON CORPORATION PLC SHS (IRELAND) / CUSIP G29183103 / Symbol ETN								
05/14/14	3 000		219 12	05/08/13	191 44		27 68	Sale



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Description of property

Date sold or disposed	Quantity	Shown (G)ross (N)et	Proceeds (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
UBS AG SHS NEW (SWITZERLAND) / CUSIP H89231338 / Symbol OUBS	3 000		61 67	12/07/12	48 32		13 35	Sale
02/10/14								
Totals		229,630.56			201,857.07	...	27,773.49	

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FISHER HOUSE FOUNDATION 111 ROCKVILLE PIKE SUITE 420 ROCKVILLE, MD 20850	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,900.
FOUR SEASONS HOSPICE 571 SOUTH ALLEN ROAD FLAT ROCK, NY 28731	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
HOMES FOR OUR TROOPS 6 MAIN STREET TAUNTON, MA 20780	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
MMRF 383 MAIN AVE NORWALK, CT 06851	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	8,450.
PARKINSONS DISEASE FOUNDATION 710 WEST 168TH STREET NEW YORK, NY 10032	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
SALVATION ARMY SUFFOLK COUNTY 120 W 14TH STREET NEW YORK, NY 10011	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
USO OPERATION PO BOX 96860 WASHINGTON, DC 20077	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	2,000.
INTERNATIONAL RESCUE COMMITTEE 122 EAST 42ND STREET NEW YORK, NY 10168	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,250.
SOUTHAMPTON HOSPITAL FOUNDATION 213 HERRICKS ROAD SOUTHAMPTON, NY 11968	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	4,200.
ST JOHNS CHURCH 546 ST JOHNS PLACE RIVERHEAD, NY 11901	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,000.
Total from continuation sheets				29,050.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
USA FOR UNHCR 1775 K STREET, NW SUITE 580 WASHINGTON, DC 20006	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	500.
SOUTHAMPTON VOLUNTEER FIREMEN BENEVOLENT ASSOCIATION HAMPTON ROAD SOUTHAMPTON, NY 11968	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,250.
WATERMILL MUSEUM 41 OLD MILL ROAD WATERMILL, NY 11976	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	3,000.
WATERMILL VILLAGE IMPROVEMENTS PO BOX 351 WATERMILL, NY 11976	NONE	PUBLIC CHARITY	GENERAL CONTRIBUTION	1,500.
Total from continuation sheets				