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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014, and ending 12-31-2014

Name of foundation THE ALLWIN FAMILY FOUNDATION		<b>A Employer identification number</b>  13-7088461	
% MARIA ALLWIN		<b>B Telephone number</b> (see instructions)	
Number and street (or P O box number if mail is not delivered to street address) 116 CLAPBOARD RIDGE ROAD	Room/suite		
City or town, state or province, country, and ZIP or foreign postal code GREENWICH, CT 06830		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/> <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 145,742		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	<b>1</b> Contributions, gifts, grants, etc , received (attach schedule) . . . . .	259,690			
	<b>2</b> Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B . . . . .				
	<b>3</b> Interest on savings and temporary cash investments	283	283		
	<b>4</b> Dividends and interest from securities. . . . .	1,090	1,090		
	<b>5a</b> Gross rents . . . . .				
	<b>b</b> Net rental income or (loss) _____				
	<b>6a</b> Net gain or (loss) from sale of assets not on line 10	39,215			
	<b>b</b> Gross sales price for all assets on line 6a 227,835				
	<b>7</b> Capital gain net income (from Part IV, line 2) . . .		39,215		
	<b>8</b> Net short-term capital gain . . . . .				
	<b>9</b> Income modifications . . . . .				
	<b>10a</b> Gross sales less returns and allowances				
	<b>b</b> Less Cost of goods sold . . . . .				
	<b>c</b> Gross profit or (loss) (attach schedule) . . . . .				
	<b>11</b> Other income (attach schedule) . . . . .	2,695			
	<b>12 Total.</b> Add lines 1 through 11 . . . . .	302,973	40,588		
Operating and Administrative Expenses	<b>13</b> Compensation of officers, directors, trustees, etc				
	<b>14</b> Other employee salaries and wages . . . . .				
	<b>15</b> Pension plans, employee benefits . . . . .				
	<b>16a</b> Legal fees (attach schedule) . . . . .				
	<b>b</b> Accounting fees (attach schedule) . . . . .	9,460	0	0	9,460
	<b>c</b> Other professional fees (attach schedule) . . . . .	5,500			
	<b>17</b> Interest . . . . .				
	<b>18</b> Taxes (attach schedule) (see instructions) . . .	1,718	18		
	<b>19</b> Depreciation (attach schedule) and depletion . . .				
	<b>20</b> Occupancy . . . . .				
	<b>21</b> Travel, conferences, and meetings . . . . .				
	<b>22</b> Printing and publications . . . . .				
	<b>23</b> Other expenses (attach schedule) . . . . .	346	216		130
	<b>24 Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	17,024	234	0	9,590
	<b>25</b> Contributions, gifts, grants paid . . . . .	396,500			396,500
	<b>26 Total expenses and disbursements.</b> Add lines 24 and 25	413,524	234	0	406,090
	<b>27</b> Subtract line 26 from line 12				
	<b>a Excess of revenue over expenses and disbursements</b>	-110,551			
	<b>b Net investment income</b> (if negative, enter -0-)		40,354		
	<b>c Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .			
	2	Savings and temporary cash investments . . . . .	79,631	110,878	110,878
	3	Accounts receivable ▶ <u>27</u>			
		Less allowance for doubtful accounts ▶ _____	77	27	27
	4	Pledges receivable ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____			
		Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	170,931	29,183	34,837
	c	Investments—corporate bonds (attach schedule). . . . .			
	11	Investments—land, buildings, and equipment basis ▶ _____			
	Less accumulated depreciation (attach schedule) ▶ _____				
12	Investments—mortgage loans . . . . .				
13	Investments—other (attach schedule) . . . . .				
14	Land, buildings, and equipment basis ▶ _____				
	Less accumulated depreciation (attach schedule) ▶ _____				
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	250,639	140,088	145,742	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	250,639	140,088	
	30	Total net assets or fund balances (see instructions) . . . . .	250,639	140,088	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	250,639	140,088	

Part III Analysis of Changes in Net Assets or Fund Balances			
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	250,639
2	Enter amount from Part I, line 27a . . . . .	2	-110,551
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	140,088
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	140,088

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	PUBLICLY TRADED SECURITES			
b	PUBLICLY TRADED SECURITES			
c	PUBLICLY TRADED SECURITES			
d	CAPITAL GAIN DIVIDENDS	P		
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 85,502		81,579	3,923
b 66,370		57,595	8,775
c 75,308		49,446	25,862
d			655
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0- ) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			3,923
b			8,775
c			25,862
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	39,215
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No  
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	441,588	539,556	0 818428
2012	557,246	774,123	0 719842
2011	266,675	1,010,602	0 263877
2010	197,535	1,151,749	0 171509
2009	971,491	1,828,912	0 531185

2	Total of line 1, column (d). . . . .	2	2 504841
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 500968
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5. . . . .	4	179,531
5	Multiply line 4 by line 3. . . . .	5	89,939
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	404
7	Add lines 5 and 6. . . . .	7	90,343
8	Enter qualifying distributions from Part XII, line 4. . . . .	8	406,090

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
	Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	1	404
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2. . . . .	3	404
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	5	404
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,600
b	Exempt foreign organizations—tax withheld at source . . . . .	6b	
c	Tax paid with application for extension of time to file (Form 8868) . . . . .	6c	
d	Backup withholding erroneously withheld . . . . .	6d	
7	Total credits and payments. Add lines 6a through 6d. . . . .	7	1,600
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	10	1,196
11	Enter the amount of line 10 to be <b>Credited to 2015 estimated tax</b> <input checked="" type="checkbox"/> 1,196 <b>Refunded</b> <input checked="" type="checkbox"/>	11	

Part VII-A

Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? <input checked="" type="checkbox"/>	1a	Yes	No
b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)? . . . . .	1b		No
	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
c	Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation <input checked="" type="checkbox"/> \$ _____ (2) On foundation managers <input checked="" type="checkbox"/> \$ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input checked="" type="checkbox"/> \$ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . .	2		No
	<i>If "Yes," attach a detailed description of the activities.</i>			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> <input checked="" type="checkbox"/>	3		No
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	4a		No
b	If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . .	5	Yes	
	<i>If "Yes," attach the statement required by General Instruction T.</i> <input checked="" type="checkbox"/>			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	6	Yes	
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i> . . . . .	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions): <input checked="" type="checkbox"/> NY _____			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i> . . . . .	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	9		No
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i> <input checked="" type="checkbox"/>	10	Yes	

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of MARIA ALLWIN Telephone no (206) 275-4600 Located at 116 CLAPBOARD RIDGE ROAD GREENWICH CT ZIP+4 06830			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days )			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	Yes	
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions )	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did <b>any</b> of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes	<input type="checkbox"/> No	
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
MARIA ALLWIN	TRUSTEE	0		
116 CLAPBOARD RIDGE ROAD GREENWICH, CT 06830	0			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3. . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	87,885
b	Average of monthly cash balances. . . . .	1b	94,380
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	182,265
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	182,265
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	2,734
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	179,531
6	Minimum investment return. Enter 5% of line 5. . . . .	6	8,977

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	8,977
2a	Tax on investment income for 2014 from Part VI, line 5. . . . .	2a	404
b	Income tax for 2014 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	404
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	8,573
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	8,573
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	8,573

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	406,090
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	406,090
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	404
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	405,686

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1		Distributable amount for 2014 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2014			
a		Enter amount for 2013 only.			
b		Total for prior years 2012 , 2011 , 2010			
3		Excess distributions carryover, if any, to 2014			
a		From 2009.			
b		From 2010.			
c		From 2011.			
d		From 2012.			
e		From 2013.			
f		Total of lines 3a through e.			
4		Qualifying distributions for 2014 from Part XII, line 4 \$			
a		Applied to 2013, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2014 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).			
8		Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a.			
10		Analysis of line 9			
a		Excess from 2010.			
b		Excess from 2011.			
c		Excess from 2012.			
d		Excess from 2013.			
e		Excess from 2014.			

## Part XIV

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4942(j)(3) or 4942(j)(5)

**3** Complete 3a, b, or c for the alternative test relied upon

**a** "Assets" alternative test—enter

**(1)** Value of all assets . . . . .

**(2)** Value of assets qualifying under section 4942(j)(3)(B)(i)

**b** "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .

**c** "Support" alternative test—enter

**(1)** Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .

**(2)** Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .

**(3)** Largest amount of support from an exempt organization

**(4)** Gross investment income

## Part XV

**1**

MARIA ALLWIN

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

## 2

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or email address of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	396,500
b Approved for future payment				
Total . . . . .			3b	

## Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2014)

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			<b>Yes</b>	<b>No</b>
<b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of				
<b>(1)</b> Cash. . . . .		<b>1a(1)</b>		<b>No</b>
<b>(2)</b> Other assets. . . . .		<b>1a(2)</b>		<b>No</b>
<b>b</b> Other transactions				
<b>(1)</b> Sales of assets to a noncharitable exempt organization. . . . .		<b>1b(1)</b>		<b>No</b>
<b>(2)</b> Purchases of assets from a noncharitable exempt organization. . . . .		<b>1b(2)</b>		<b>No</b>
<b>(3)</b> Rental of facilities, equipment, or other assets. . . . .		<b>1b(3)</b>		<b>No</b>
<b>(4)</b> Reimbursement arrangements. . . . .		<b>1b(4)</b>		<b>No</b>
<b>(5)</b> Loans or loan guarantees. . . . .		<b>1b(5)</b>		<b>No</b>
<b>(6)</b> Performance of services or membership or fundraising solicitations. . . . .		<b>1b(6)</b>		<b>No</b>
<b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees. . . . .		<b>1c</b>		<b>No</b>
<b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received				

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No


b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>	*****	2015-10-28	*****	May the IRS discuss this return with the preparer shown below (see instr )? <input checked="" type="checkbox"/> <b>Yes</b> <input type="checkbox"/> <b>No</b>
	Signature of officer or trustee	Date	Title	

<b>Paid Preparer Use Only</b>	Print/Type preparer's name DARCY A LOUGHRAN	Preparer's Signature	Date	Check if self-employed <input checked="checked" type="checkbox"/>	PTIN P00429203
	Firm's name <input checked="checked" type="checkbox"/> FRANKEL LOUGHRAN STARR VALLONE LLP			Firm's EIN <input checked="checked" type="checkbox"/>	
	Firm's address <input checked="checked" type="checkbox"/> 7900 SE 28TH STREET STE 226 MERCER ISLAND, WA 98040			Phone no. (206) 275-4600	

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
FAITH AN ENDOWMENT FOR ORTHODOXY AND HELLENISM 499 PARK AVENUE NEW YORK,NY 10022	NONE	PC	CHARITABLE CONTRIBUTION - FOR EDUCATIONAL PROGRAMS THAT PROMOTE THE DEVELOPMENT OF ACADEMIC STUDIES, SCHOLARLY RESEARCH AND PROJECTS IN RELATION TO ORTHODOXY AND HELLENISM	50,000
CHURCH OF OUR SAVIOR 59 PARK AVENUE AT 38TH STREET NEW YORK,NY 10016	NONE	PC	CHARITABLE CONTRIBUTION - to maintain our church building, provide youth and adult education programs and support many charitable endeavors	25,000
FORDHAM UNIVERSITY 113 W 60TH ST NEW YORK,NY 10023	NONE	PC	CHARITABLE CONTRIBUTION - SUPPORT THE SCHOOL AND PROVIDE STUDENT NEEDED RESOURCES	24,000
GREEK ORTHODOX CHURCH OF THE HAMPTONS 111 ST ANDREWS ROAD SOUTHAMPTON,NY 11968	NONE	PC	CHARITABLE CONTRIBUTION - SUPPORT CHURCH SERVICES AND RELIGIOUS EDUCATION	75,000
COMMUNITIES IN SCHOOLS 277 SOUTH WASHINGTON STREET SUITE 2 ALEXANDRIA,VA 22314	NONE	PC	CHARITABLE CONTRIBUTION - SUPPORT PUBLIC SCHOOLS AND PROVIDE STUDENT NEEDED RESOURCES	100,000
BUCKNELL UNIVERSITY 701 MOORE AVE LEWISBURG,PA 17837	NONE	PC	CHARITABLE CONTRIBUTION - SUPPORT FOR SCHOOL SERVICES AND SCHOLARSHIPS	25,000
THE HELLENIC INITIATIVE 601 Lexington Avenue 35th Floor NEW YORK,NY 10022	NONE	PC	CHARITABLE CONTRIBUTION - To develop sustainable economic and business improvement programs to empower the Greek people toward long-term prosperity	25,000
THE NEW YORK BOTANICAL GARDENS 2900 SOUTHERN BOULEVARD Bronx,NY 10458	NONE	PC	CHARITABLE CONTRIBUTION - To support the museum of plants, an educational institution, and a scientific research organization open to the public and dedicated to better understanding and preserving our natural world	7,500
MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK,NY 10019	NONE	PC	CHARITABLE CONTRIBUTION - TO ESTABLISH, PRESERVE, AND DOCUMENT MODERN ART	15,000
OXI DAY FOUNDATION 1100 NEW HAMPSHIRE AVE WASHINGTON,DC 20037	NONE	PC	CHARITABLE CONTRIBUTION - to inform American policymakers and the public about the profound role Greece played in bringing about the outcome of World War II and celebrating modern day heroes who exhibit the same courage as the Greeks did in continuing to fight to preserve and promote freedom and democracy around the world	10,000
RONALD MCDONALD HOUSE OF NEW YORK 405 EAST 73RD ST NEW YORK,NY 10021	NONE	PC	CHARITABLE CONTRIBUTION - to support each family and coordinate emotional and physical services and other services for parents struggling with their childs cancer diagnosis activities for siblings, holiday and birthday parties and camaraderie for parents struggling with their childs cancer diagnosis	5,000
KIMBALL UNION ACADEMY 64 MAIN ST MERIDAN,NH 03770	NONE	PC	CHARITABLE CONTRIBUTION - TO FUND EDUCATIONAL PROGRAMS FOR STUDENTS	25,000
THE ORDER OF SAINT ANDREW 8 EAST 79TH STREET NEW YORK,NY 10075	NONE	PC	CHARITABLE CONTRIBUTION - to promote the religious freedom, wellbeing and advancement of the Ecumenical Patriarchate, which is headquartered in Istanbul, Turkey	10,000
<b>Total . . . . .</b>  <b>3a</b>				396,500

TY 2014 Accounting Fees Schedule

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	9,460			9,460



**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2014 Depreciation Schedule**

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

TY 2014 Investments Corporate  
Stock Schedule

Name: THE ALLWIN FAMILY FOUNDATION  
EIN: 13-7088461

Name of Stock	End of Year Book Value	End of Year Fair Market Value
170 SHS DOUBLINE FDS TR	1,850	1,870
210 SHS JPMORGAN STRATEGIC I	2,287	2,462
709 SHS JPMORGAN SHORT DURAT	0	0
1,723 SHS JPM STRAT INCOME OPP	0	0
86 SHS JPM INTL CRRENCY INC	0	0
95 SHS DREYFUS/LAUREL FDS TR	0	0
623 SHS JPM GROWTH ADVANTAGE F	0	0
89 SHS JPMORGAN US LARGE CA	1,278	2,624
71 SHS OSTERESIS FUND	1,473	2,385
74 SHS OAKMARK INTL FD	1,868	1,738
55 SHS MATTHEWS PACIFIC TIG	897	1,453
253 SHS T ROWE PRICE INTL FU	0	0
429 SHS ARITRAGE FUNDS I	0	0
1,405 SHS EATON VANCE MUTUAL	0	0
154 SHS JPM INTREPID EUROPEAN	0	0
17 SHS JPM CHINA REGION FD-SEL	318	381
54 SHS OPPENHEIMER DEVELOPING	0	0
37 ISHARES MSCI EAFE INDEX FU	2,428	2,251
178 SHS JPM GLOBAL RESENH INDE	2,980	3,259
60 SHS BALANCED - RISK ALLOC	759	702
96 SHS PIMCO COMMODITY PL STR	1,100	737
188 SHS NEUBERGER BERMAN MUL	2,049	2,946
222 SHS JPM LARGE CAP GROWTH F	0	0
458 SHS JPM EQUITY INCOME FD	0	0
21 SHS ISHARES CORE S&P MID-C	1,727	3,041
33 SHS DODGE & COX INTERNATIO	1,044	1,398
20 SHS SPDR S&P 500 ETF TRUST	4,589	4,933
37 SHS BROWN ADV JAPAN ALPHA	373	402
82 SHS GOTHAM ABSOLUTE RETURN	1,064	1,145
99 SHS PIMCO UNCONSTRAINED BON	1,099	1,110

**TY 2014 LiquidationExplanationStmt**

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

**Statement:** THIS FOUNDATION IS NOT IN LIQUIDATION OR TERMINATION MODE. THERE WAS A SIGNIFICANT CONTRACTION OF ASSETS THIS YEAR DUE TO THE FACT THAT THE FOUNDATION DISTRIBUTED IN EXCESS OF 25% OF THE BEGINNING OF THE YEAR ASSETS TO PUBLIC CHARITIES QUALIFIED UNDER SECTION 501(C) (3). THE FOUNDATION DISTRIBUTED THE FOLLOWING TO CHARITIES IN 2014: FAITH AN ENDOWMENT FOR ORTHODOXY AND HELLENISM \$50,000 CHURCH OF OUR SAVIOR \$25,000 FORDHAM UNIVERSITY \$24,000 GREEK ORTHODOX CHURCH OF THE HAMPTONS \$75,000 BUCKNELL UNIVERSITY \$25,000 THE HELLENIC INITIATIVE \$25,000 COMMUNITIES IN SCHOOLS \$100,000 THE NEW YORK BOTANICAL GARDENS \$7,500 KIMBALL UNION ACADEMY \$25,000 MUSEUM OF MODERN ART \$15,000 OXI DAY FOUNDATION \$10,000 RONALD MCDONALD HOUSE OF NEW YORK \$5,000 THE ORDER OF ST. ANDREW \$10,000 ALL DISTRIBUTIONS WERE MADE IN CASH. THE ADDRESSES OF THESE CHARITIES ARE LISTED IN PART XV OF THE 990PF AND INCLUDES THE CHARITABLE PURPOSE OF ALL CHARITIES TO WHICH DISTRIBUTIONS WERE MADE.

## TY 2014 Other Expenses Schedule

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INCISIVE MEDIA	130			130
COMMISSION FEES- JPMORGAN	216	216		

# TY 2014 Other Income Schedule

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
990 PF REFUND	1,600		
NONTAXABLE DIVIDENDS	7		
OTHER INCOME	1,180		
ADJUSTMENT FOR PRIOR YEAR	-92		

## TY 2014 Other Professional Fees Schedule

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
DAVIS POLK & WARDWELL	5,500			

## TY 2014 Substantial Contributors Schedule

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

Name	Address
MARIA ALLWIN	116 CLAPBOARD RIDGE ROAD GREENWICH, CT 06830

## TY 2014 Taxes Schedule

**Name:** THE ALLWIN FAMILY FOUNDATION

**EIN:** 13-7088461

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
NYS DEPARTMENT OF LAW	100			
2013 OVERPAYMENT APPLIED	1,600			
FOREIGN TAX PAID	18	18		



<b>Schedule B</b> (Form 990, 990-EZ, or 990-PF)  Department of the Treasury Internal Revenue Service	<b>Schedule of Contributors</b>  ▶ <b>Attach to Form 990, 990-EZ, or 990-PF.</b>  ▶ <b>Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at <u>www.irs.gov/form990</u>.</b>	OMB No 1545-0047  <b>2014</b>

<b>Name of the organization</b> THE ALLWIN FAMILY FOUNDATION	<b>Employer identification number</b> 13-7088461
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Organization type (check one)

<b>Filers of:</b>	<b>Section:</b>
Form 990 or 990-EZ	<input type="checkbox"/> 501(c)( ) (enter number) organization
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust <b>not</b> treated as a private foundation
	<input type="checkbox"/> 527 political organization
Form 990-PF	<input checked="" type="checkbox"/> 501(c)(3) exempt private foundation
	<input type="checkbox"/> 4947(a)(1) nonexempt charitable trust treated as a private foundation
	<input type="checkbox"/> 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.  
**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or other property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33<sup>1</sup>/<sub>3</sub>% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** \$5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year. . . . . ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

<b>Name of organization</b> THE ALLWIN FAMILY FOUNDATION	<b>Employer identification number</b> 13-7088461
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Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed			
(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
<u>1</u>	MARIA ALLWIN 116 CLAPBOARD RIDGE ROAD  GREENWICH, CT 06830	\$ 259,690	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
<u>      </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
<u>      </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
<u>      </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
<u>      </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
<u>      </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )
<u>      </u>		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/>  (Complete Part II for noncash contributions )

<b>Name of organization</b> THE ALLWIN FAMILY FOUNDATION	<b>Employer identification number</b> 13-7088461
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**Part II** **Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
_____	_____ _____ _____ _____	_____ \$	_____

<b>Name of organization</b> THE ALLWIN FAMILY FOUNDATION	<b>Employer identification number</b> 13-7088461
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**Part III**

*Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ \$ \_\_\_\_\_

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	
(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
—	_____	_____	_____
	_____	_____	_____
	_____	_____	_____
<b>(e) Transfer of gift</b>			
Transferee's name, address, and ZIP 4		Relationship of transferor to transferee	
_____		_____	
_____		_____	
_____		_____	



## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION  
Account Number A 11397-00-6

### Short-Term Covered

Description	Stock and other symbol	Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
BROWN ADV JAPAN ALPHA OPP-IS	BAFJ	115233579		03/10/14 06/19/14	128 698	1,351 33	1,285 69		65 64
DODGE & COX INTL STOCK FUND	DODF	256206103		02/19/14 03/21/14	53 112	2,271 61	2,277 97		-6 36
DODGE & COX INTL STOCK FUND	DODF	256206103		02/19/14 04/15/14	30 648	1,341 14	1,314 49		26 65
DODGE & COX INTL STOCK FUND	DODF	256206103		02/19/14 05/07/14	44 583	2,000 00	1,912 17		87 83
DODGE & COX INTL STOCK FUND	DODF	256206103		02/19/14 06/19/14	93 379	4,384 15	4,005 03		379 12
DOUBLELINE TOTAL RET BD-I	DBLT	258620103		12/30/13 02/24/14	85 432	937 19	926 94		10 25
DOUBLELINE TOTAL RET BD-I	DBLT	258620103		12/30/13 04/15/14	188 379	2,064 63	2,043 91		20 72
DOUBLELINE TOTAL RET BD-I	DBLT	258620103		12/30/13 06/19/14	385 209	4,233 45	4,179 52		53 93
FUNDVANTAGE TR ABS RTR INSTL	GARI	360873137		01/09/14 05/08/14	29 696	400 00	383 67		16 33
FUNDVANTAGE TR ABS RTR INSTL	GARI	360873137		01/09/14 06/19/14	81 243	1,104 09	1,049 66		54 43
GATEWAY FUND-Y	GTEY	367829884		01/09/14 05/07/14	13 741	400 00	397 53		2 47
GATEWAY FUND-Y	GTEY	367829884		01/09/14 06/19/14	72 580	2,135 30	2,099 75		35 55

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F indicates Foreign Exchange gain or loss on Capital Transactions



## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION  
Account Number A 11397-00-6

### Short-Term Covered

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Description	Stock and other symbol	Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
ISHARES MSCI EAFE INDEX FUND	EFA	464287465		03/21/14 05/07/14	20 000	1,365 86	1,312 50		53 36
ISHARES MSCI EAFE INDEX FUND	EFA	464287465		03/21/14 06/19/14	68 000	4,807 32	4,462 50		344 82
JPM CHINA REGION FD - SEL FUND 3810	JCHS	4812A3528		06/27/13 04/15/14	19 721	407 23	361 48		45 75
JPM CHINA REGION FD - SEL FUND 3810	JCHS	4812A3528		06/27/13 06/19/14	56 090	1,199 21	1,028 13		171 08
JPM GBL RES ENH INDEX FD - SEL FUND 3457	JEIT	46637K513		Various 04/15/14	221 264	3,940 72	3,700 91		239 81
JPM GBL RES ENH INDEX FD - SEL FUND 3457	JEIT	46637K513		06/26/13 05/07/14	65 826	1,200 00	1,015 04		184 96
JPM GBL RES ENH INDEX FD - SEL FUND 3457	JEIT	46637K513		06/26/13 06/19/14	268 309	5,095 19	4,137 32		957 87
JPM INTREPID EUROPEAN FD - INSTL FUND 1300	JFEI	4812A0680		10/25/13 02/24/14	36 241	1,019 10	930 30		88 80
JPM INTREPID EUROPEAN FD - INSTL FUND 1300	JFEI	4812A0680		10/25/13 05/07/14	21 653	600 00	555 83		44 17
JPM INTREPID EUROPEAN FD - INSTL FUND 1300	JFEI	4812A0680		10/25/13 06/19/14	68 626	1,918 78	1,761 63		157 15
JPM INTREPID EUROPEAN FD - INSTL FUND 1300	JFEI	4812A0680		10/25/13 10/14/14	27 134	653 66	696 53		-42 87
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS	4812A4351		12/30/13 02/24/14	490 489	5,856 44	5,841 72		14 72



## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION  
Account Number A 11397-00-6

### Short-Term Covered

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Stock and other symbol Cusip									
Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**		
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS 4812A4351	12/30/13 04/15/14	92 282	1,100 00	1,099 08		92		
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS 4812A4351	Various 06/19/14	504 072	6,013 58	6,003 49		10 09		
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS 4812A2389	06/02/14 06/19/14	46 816	1,383 41	1,358 60		24 81		
NEUBERGER BER MU/C OPP-INS	NMUL 64122Q309	06/02/14 06/19/14	126 390	2,023 50	2,005 81		17 69		
OAKMARK INTERNATIONAL FD-I	OAKI 413838202	Various 03/21/14	215 435	5,549 60	5,656 51		-106 91		
OAKMARK INTERNATIONAL FD-I	OAKI 413838202	08/05/13 05/07/14	94 127	2,500 00	2,361 65		138 35		
OAKMARK INTERNATIONAL FD-I	OAKI 413838202	08/05/13 06/19/14	382 487	10,403 64	9,596 59		807 05		
OPPENHEIMER DEVELOPING MKT-Y	ODVY 683974505	08/15/13 02/05/14	54 452	1,864 98	1,911 81		-46 83		
PIMCO UNCONSTRAINED BOND-P	PUCP 72201M453	01/09/14 05/07/14	35 587	400 00	393 64		6 36		
PIMCO UNCONSTRAINED BOND-P	PUCP 72201M453	01/09/14 06/19/14	90 733	1,024 37	1,003 61		20 76		
SPDR S&P 500 ETF TRUST	SPY 78462F103	06/02/14 06/19/14	13 000	2,552 20	2,508 00		44 20		
Total Short-Term Covered				85,501.68	81,579.01		3,922.67		



## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION  
Account Number A 11397-00-6

### Long-Term Covered

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Description	Stock and other symbol	Cusip	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DODGE & COX INTL STOCK FUND	DODF	256206103		08/15/12 06/19/14	119 091	5,591 32	3,744 22		1,847 10
DODGE & COX INTL STOCK FUND	DODF	256206103		08/15/12 12/19/14	22 968	974 06	722 11		251 95
DOUBLELINE TOTAL RET BD-I	DBLT	258620103		06/27/12 02/24/14	666 518	7,311 70	7,491 66		-179 96
EATON VANCE GLOBAL MACRO-I	EIGM	277923728		07/06/12 01/09/14	273 006	2,571 72	2,615 39		-43 67
EATON VANCE GLOBAL MACRO-I	EIGM	277923728		07/06/12 02/24/14	175 479	1,635 46	1,680 40		-44 94
EATON VANCE GLOBAL MACRO-I	EIGM	277923728		07/06/12 05/07/14	42 918	400 00	410 49		-10 49
EATON VANCE GLOBAL MACRO-I	EIGM	277923728		07/06/12 06/19/14	179 972	1,684 54	1,720 66		-36 12
INV BALANCED-RISK ALLOC-Y	ABRY	00141V697		07/06/12 01/09/14	201 414	2,380 71	2,537 82		-157 11
INV BALANCED-RISK ALLOC-Y	ABRY	00141V697		07/06/12 02/24/14	135 162	1,635 46	1,703 04		-67 58
INV BALANCED-RISK ALLOC-Y	ABRY	00141V697		07/06/12 05/07/14	32 868	400 00	414 14		-14 14
INV BALANCED-RISK ALLOC-Y	ABRY	00141V697		07/06/12 06/19/14	86 858	1,097 89	1,094 41		3 48
JPM EQ INC FD - SEL FUND 3128	HLIE	4812C0498		08/15/12 02/24/14	203 604	2,640 75	2,101 20		539 55





## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION  
Account Number A 11397-00-6

### Long-Term Covered

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Stock and other symbol Cusip									
Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**		
JPM EQ INC FD - SEL FUND 3128	HLIE 4812C0498	08/15/12 05/07/14	37 538	500 00	387 39		112 61		
JPM EQ INC FD - SEL FUND 3128	HLIE 4812C0498	08/15/12 06/02/14	216 772	2,945 93	2,237 09		708 84		
JPM LARGE CAP GRWTH FD - SEL FUND 3118	SEEG 4812C0530	02/08/12 05/07/14	32 680	1,000 00	767 33		232 67		
JPM LARGE CAP GRWTH FD - SEL FUND 3118	SEEG 4812C0530	02/08/12 06/02/14	189 489	6,090 18	4,449 20		1,640 98		
JPM SHORT DURATION BD FD - SEL FUND 3133	HLLV 4812C1330	12/14/12 02/19/14	133 642	1,459 37	1,470 06		-10 69		
NEUBERGER BER MUJ/C OPP-INS	NMUL 64122Q309	12/14/12 02/24/14	323 001	4,906 38	3,527 17		1,379 21		
NEUBERGER BER MUJ/C OPP-INS	NMUL 64122Q309	12/14/12 05/07/14	116 129	1,800 00	1,268 13		531 87		
NEUBERGER BER MUJ/C OPP-INS	NMUL 64122Q309	12/14/12 06/19/14	457 661	7,327 15	4,997 66		2,329 49		
OAKMARK INTERNATIONAL FD-I	OAKI 413838202	08/05/13 12/19/14	21 675	510 02	543 83		-33 81		
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167	02/13/13 02/19/14	189 015	2,081 06	2,158 55		-77 49		
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167	02/13/13 02/24/14	136 274	1,504 46	1,556 25		-51 79		
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167	02/13/13 04/15/14	41 898	475 54	478 48		-2 94		



## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION  
Account Number A 11397-00-6

### Long-Term Covered

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F indicates Foreign Exchange gain or loss on Capital Transactions

Stock and  
other symbol

Cusip

Description	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167	02/13/13 05/07/14	53 050	600 00	605 83		-5 83
PIMCO COMMODITYPL STRAT-P	PCLP 72201P167	02/13/13 06/19/14	256 330	2,973 43	2,927 29		46 14
T ROWE PRICE NEW ASIA	PRAS 77956H500	03/08/12 02/05/14	104 961	1,566 02	1,653 13		-87 11
T ROWE PRICE NEW ASIA	PRAS 77956H500	03/08/12 02/24/14	148 054	2,306 68	2,331 85		-25 17

Total Long-Term Covered

66,369.83 57,594.78 8,775.05



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F indicates Foreign Exchange gain or loss on Capital Transactions  
Stock and  
other symbol  
CUSIP

## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION

Account Number A 11397-00-6

### Long-Term Noncovered

Description	Stock and other symbol CUSIP	Code*	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
DREYFUS EMG MKT DEBT LOC C-I	DDBI 261980494		06/24/11 01/16/14	95 229	1,304 64	1,417 01		-112 37
ISHARES CORE S&P MID-CAP ETF	IJH 464287507		02/10/11 02/19/14	25 000	3,382 31	2,394 98		987 33
ISHARES CORE S&P MID-CAP ETF	IJH 464287507		02/10/11 02/24/14	61 000	8,333 98	5,843 77		2,490 21
ISHARES CORE S&P MID-CAP ETF	IJH 464287507		Various 05/07/14	15 000	2,032 07	1,355 61		676 46
ISHARES CORE S&P MID-CAP ETF	IJH 464287507		08/18/11 06/19/14	61 000	8,688 34	5,016 47		3,671 87
JPM GRWTH ADVANTAGE FD - SEL FUND 1567	JGAS 4812A3718		08/19/09 02/24/14	622 415	8,919 21	3,939 89		4,979 32
JPM INTL CURR INC FD - SEL FUND 3831	JCIS 4812A3296		06/24/11 01/16/14	86 284	949 12	996 15		-47 03
JPM SHORT DURATION BD FD - SEL FUND 3133	HLLV 4812C1330		08/19/09 02/19/14	575 418	6,283 57	6,220 27		63 30
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS 4812A4351		07/06/09 05/07/14	83 960	999 96	914 32		85 64
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS 4812A4351		07/06/09 06/19/14	302 811	3,612 53	3,297 61		314 92
JPM STRAT INC OPP FD - SEL FUND 3844	JSOS 4812A4351		07/06/09 10/14/14	39 592	465 60	431 16		34 44
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS 4812A2389		07/06/09 02/24/14	176 298	4,906 38	2,524 59		2,381 79



## Capital Gain and Loss Schedule

THE ALLWIN FAMILY FOUNDATION  
Account Number A 11397-00-6

### Long-Term Noncovered

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Description	Stock and other symbol	Cusip	Date Acquired Date Sold	Units	Sales Price	Cost or Other Basis	Adjustments to gain or loss	Gain or Loss Ordinary Income**
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS	4812A2389	07/06/09 05/07/14	58 845	1,650 00	842 66		807 34
JPM US LARGE CAP CORE PLUS FD - SEL FUND 1002	JLPS	4812A2389	07/06/09 06/19/14	239 031	7,063 38	3,422 92		3,640 46
MATTHEWS PACIFIC TIGER FD-IS	MIPT	577130834	08/19/09 02/24/14	44 874	1,095 38	735 64		359 74
MATTHEWS PACIFIC TIGER FD-IS	MIPT	577130834	08/19/09 03/10/14	71 365	1,785 56	1,169 92		615 64
MATTHEWS PACIFIC TIGER FD-IS	MIPT	577130834	08/19/09 04/15/14	27 274	706 40	447 12		259 28
MATTHEWS PACIFIC TIGER FD-IS	MIPT	577130834	08/19/09 05/07/14	38 820	1,000 00	636 40		363 60
MATTHEWS PACIFIC TIGER FD-IS	MIPT	577130834	08/19/09 06/19/14	142 212	3,853 94	2,331 35		1,522 59
OSTERWEIS FUND	OSTF	742935406	07/06/09 05/07/14	36 394	1,300 00	752 26		547 74
OSTERWEIS FUND	OSTF	742935406	07/06/09 06/19/14	136 411	5,034 93	2,819 62		2,215 31
THE ARBITRAGE FUND-I	ARBN	03875R205	01/10/11 01/09/14	151 041	1,940 88	1,936 34		4 54
Total Long-Term Noncovered					75,308.18	49,446.06		25,862.12