Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation
THE SUE AND EUGENE MERCY, JR. FOUNDATION

A Employer identification number
13-6217050

Number and street (or P O box number if mail is not delivered to street address)
C/O BCRS ASSOCIATES, LLC
77 WATER STREET

Room/suite
9TH FL

B Telephone number (see instructions)
(212) 440-0800

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK, NY 10005

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity
☐ Final return ☐ Amended return
☐ Address change ☐ Name change

D 1 Foreign organizations, check here ▶ ☐
2 Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col (c), line 16)* ▶ $ 5,099,332.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) ____________
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

			(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income N/A	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	NONE			
	2	Check ▶ ☐ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	49.	49.		ATCH 1
	4	Dividends and interest from securities	117,980.	117,980.		ATCH 2
	5a	Gross rents				
	b	Net rental income or (loss)				
	6a	Net gain or (loss) from sale of assets not on line 10	214,600.			
	b	Gross sales price for all assets on line 6a 796,841.				
	7	Capital gain net income (from Part IV, line 2)		214,600.		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	332,629.	332,629.	0	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc	0			
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule) ATCH 3	11,000.	5,500.		5,500.
	c	Other professional fees (attach schedule)				
	17	Interest				
	18	Taxes (attach schedule) (see instructions) [4]	2,000.			
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
	23	Other expenses (attach schedule) ATCH 5	40,664.	39,849.		815.
	24	**Total operating and administrative expenses.** Add lines 13 through 23	53,664.	45,349.		6,315.
	25	Contributions, gifts, grants paid	513,565.			513,565.
	26	**Total expenses and disbursements.** Add lines 24 and 25	567,229.	45,349.	0	519,880.
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	-234,600.			
	b	**Net investment income** (if negative, enter -0-)		287,280.		
	c	**Adjusted net income** (if negative, enter -0-)			0	

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Form **990-PF** (2014)

13

Part II Balance Sheets

		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1	Cash - non-interest-bearing	21,976.	14,596.	14,596.
	2	Savings and temporary cash investments	503,968.	13,622.	13,622.
	3	Accounts receivable ▶			
		Less allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 6	3,605,359.	3,868,467.	5,071,114.
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)			
	14	Land, buildings, and equipment basis ▶			
		Less accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	4,131,303.	3,896,685.	5,099,332.
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	**Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, ▶ ☒ check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	4,131,303.	3,896,685.	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	**Total net assets or fund balances** (see instructions)	4,131,303.	3,896,685.	
	31	**Total liabilities and net assets/fund balances** (see instructions)	4,131,303.	3,896,685.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	4,131,303.
2	Enter amount from Part I, line 27a	2	-234,600.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	3,896,703.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,896,703.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P Purchase D - Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	214,600.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	590,701.	4,748,216.	0.124405
2012	585,632.	4,450,778.	0.131580
2011	528,514.	5,218,469.	0.101278
2010	246,490.	5,597,286.	0.044037
2009	288,285.	5,346,659.	0.053919

2	**Total** of line 1, column (d)	2	0.455219
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.091044
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	4,845,519.
5	Multiply line 4 by line 3	5	441,155.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,873.
7	Add lines 5 and 6	7	444,028.
8	Enter qualifying distributions from Part XII, line 4	8	519,880.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b	1	2,873.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	2	
3	Add lines 1 and 2	3	2,873.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) . . .	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	2,873.
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014. . . . | 6a | 1,344.		
b	Exempt foreign organizations - tax withheld at source . . . . | 6b |		
c	Tax paid with application for extension of time to file (Form 8868). . . . . . . | 6c |		
d	Backup withholding erroneously withheld . . . . | 6d |		
7	Total credits and payments Add lines 6a through 6d	7	1,344.
8	Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** . . . . ▶	9	1,529.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** . . . . ▶	10	
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ Refunded ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ 0 **(2)** On foundation managers ▶ $ 0			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		N/A
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . *If "Yes," attach the statement required by General Instruction T*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Form **990-PF** (2014)

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BCRS ASSOCIATES, LLC Telephone no ▶ 212-440-0800

Located at ▶ 77 WATER STREET - 9TH FLOOR NEW YORK, NY ZIP+4 ▶ 10005

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☒ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☒ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☒ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ **Yes** ☒ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☒ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☒ **No**			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		N/A
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ **Yes** ☒ **No**			
	If "Yes," list the years ▶ ________ , ________ , ________ , ________			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions)	2b		N/A
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☒ **No**			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)*	3b		N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	5b		N/A
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53 4945-5(d)*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870*	6b		X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 7		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				NONE

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
N/A	NONE	NONE
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 PLEASE NOTE, THE FOUNDATION IS NOT INVOLVED IN ANY DIRECT CHARITABLE ACTIVITIES. ITS PRIMARY PURPOSE IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS EXEMPT UNDER SECTION	NONE
2 501(C)(3) OF THE INTERNAL REVENUE CODE. N/A	
3 N/A	
4 N/A	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	NONE
2 N/A	
All other program-related investments See instructions 3 N/A	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes				
a	Average monthly fair market value of securities			1a	4,763,292.
b	Average of monthly cash balances			1b	156,017.
c	Fair market value of all other assets (see instructions)			1c	0.
d	**Total** (add lines 1a, b, and c)			1d	4,919,309.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e			
2	Acquisition indebtedness applicable to line 1 assets			2	
3	Subtract line 2 from line 1d			3	4,919,309.
4	Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)			4	73,790.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4			5	4,845,519.
6	**Minimum investment return.** Enter 5% of line 5			6	242,276.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6			1	242,276.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	2,873.		
b	Income tax for 2014 (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	2,873.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	239,403.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	239,403.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	239,403.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes:		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	519,880.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	519,880.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	2,873.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	517,007.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

			(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1	Distributable amount for 2014 from Part XI, line 7					239,403.
2	Undistributed income, if any, as of the end of 2014					
a	Enter amount for 2013 only				NONE	
b	Total for prior years 20_____,20_____,20_____			NONE		
3	Excess distributions carryover, if any, to 2014					
a	From 2009	36,551.				
b	From 2010	NONE				
c	From 2011	267,591.				
d	From 2012	364,289.				
e	From 2013	358,626.				
f	**Total** of lines 3a through e		1,027,057.			
4	Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 519,880.					
a	Applied to 2013, but not more than line 2a					
b	Applied to undistributed income of prior years (Election required - see instructions)					
c	Treated as distributions out of corpus (Election required - see instructions)					
d	Applied to 2014 distributable amount					239,403.
e	Remaining amount distributed out of corpus		280,477.			
5	Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a))*					
6	**Enter the net total of each column as indicated below:**					
a	Corpus Add lines 3f, 4c, and 4e Subtract line 5		1,307,534.			
b	Prior years' undistributed income Subtract line 4b from line 2b					
c	Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed					
d	Subtract line 6c from line 6b Taxable amount - see instructions					
e	Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions				NONE	
f	Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015					NONE
7	Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)					
8	Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)		36,551.			
9	**Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a		1,270,983.			
10	Analysis of line 9					
a	Excess from 2010	NONE				
b	Excess from 2011	267,591.				
c	Excess from 2012	364,289.				
d	Excess from 2013	358,626.				
e	Excess from 2014	280,477.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014 enter the date of the ruling . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed .					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test-enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . .					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income .					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

EUGENE MERCY, JR.; C/O BCRS ASSOC, 77 WATER ST-9TH FL, NY, NY 10005

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV **Supplementary Information** (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE LIST ATTACHED				513,565.
Total . . . . ▶ **3a**				513,565.
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	49.	
4 Dividends and interest from securities			14	117,980.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	214,600.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				332,629.	

13 **Total.** Add line 12, columns (b), (d), and (e) . . . . . . 13 332,629.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
N/A	

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
	N/A	

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 4/30/15 | Title ▶ PRESIDENT

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
JOHN D COOK		4/27/15		P01450182

Firm's name ▶ BCRS ASSOCIATES, LLC
Firm's EIN ▶ 13-4078147
Firm's address ▶ 77 WATER STREET, 9TH FLOOR
NEW YORK, NY 10005
Phone no 212-440-0800

Form **990-PF** (2014)

THE SUE AND EUGENE MERCY, JR. FOUNDATION 13-6217050

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
753,260.		543,246.					210,014.	
		SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
31,631.		31,095.					536.	
		SEE STATEMENT ATTACHED PROPERTY TYPE: SECURITIES				P	VAR	VAR
11,950.		7,900.					4,050.	
TOTAL GAIN(LOSS)							214,600.	

THE SUE AND EUGENE MERCY, JR. FOUNDATION **13-6217050**

SUPPLEMENTARY STATEMENTS

PART: XV **LINE: 2** **SUPPLEMENTAL INFORMATION**

THE ORGANIZATION'S PRIMARY ACTIVITY IS TO SUPPORT, BY CONTRIBUTIONS, OTHER ORGANIZATIONS QUALIFYING FOR EXEMPTION UNDER SECTION 501(c)(3) OF THE INTERNAL REVENUE CODE. THE TRUSTEES CHOOSE THESE ORGANIZATIONS BASED UPON THEIR KNOWLEDGE OF THE ORGANIZATIONS' ACTIVITIES. NO CONTRIBUTIONS, GRANTS, GIFTS, LOANS OR SCHOLARSHIPS ARE MADE TO INDIVIDUALS THE ORGANIZATION DOES NOT PRESENTLY RECEIVE APPLICATIONS OR CONDUCT PROGRAMS FOR DONATIONS IN ITS ACTIVITIES.

THE SUE AND EUGENE MERCY, JR. FOUNDATION

13-6217050

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST ON BDA		49.	49.	
	TOTAL	49.	49.	

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS THRU STATE STREET	117,963.	117,963.	
DIVIDENDS THRU GOLDMAN SACHS	17.	17.	
TOTAL	117,980.	117,980.	

ATTACHMENT 3

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
BCRS ASSOCIATES, LLC		11,000.	5,500.		5,500.
	TOTALS	11,000.	5,500.		5,500.

ATTACHMENT 4

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
FEDERAL TAX - BALANCE DUE WITH EXTENSION FYE 12/31/13	2,000.			
TOTALS	2,000.			

ATTACHMENT 5

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
PUBLIC NOTICE FEE		140.			140.
MANAGEMENT FEES		38,301.	38,301.		
FILING FEE		500.			500.
CUSTODY FEES		1,548.	1,548.		
CHARITABLE EXPENSE		175.			175.
	TOTALS	40,664.	39,849.		815.

ATTACHMENT 6

FORM 990PF, PART II - CORPORATE STOCK

DESCRIPTION	BEGINNING BOOK VALUE	ENDING BOOK VALUE	ENDING FMV
SEE LONG POSITION I ATTACHED	286,792.	263,892.	263,892.
SEE LONG POSITION II ATTACHED	3,318,567.	3,604,575.	4,807,222.
TOTALS	3,605,359.	3,868,467.	5,071,114.

THE SUE AND EUGENE MERCY, JR. FOUNDATION 13-6217050

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 7

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
EUGENE MERCY, JR. C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	PRESIDENT/PART-TIME	0	0	0
ROBERT E. MNUCHIN C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	SECRETARY/PART-TIME	0	0	0
EUGENE MERCY III C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIR. CLASS B/PART-TIME	0	0	0
ANDREW SETH MERCY C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIR. CLASS B/PART-TIME	0	0	0
AARON DANIELS C/O BCRS ASSOCIATES, LLC 77 WATER STREET - 9TH FLOOR NEW YORK, NY 10005	DIR. CLASS B/PART-TIME	0	0	0
	GRAND TOTALS	0	0	0

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2014

Name of estate or trust	Employer identification number
THE SUE AND EUGENE MERCY, JR. FOUNDATION	13-6217050

Note: *Form 5227 filers need to complete **only** Parts I and II*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b .				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	43,581.	38,995.		4,586.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet	6	( )
7 **Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back . . . . ▶	7	4,586.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	**(h) Gain or (loss)** Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b .				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	753,260.	543,246.		210,014.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked				
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked				

11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.	12	
13 Capital gain distributions.	13	
14 Gain from Form 4797, Part I.	14	
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet	15	( )
16 **Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back . . . . ▶	16	210,014.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Part III Summary of Parts I and II

Caution: *Read the instructions* ***before*** *completing this part.*

			(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17	**Net short-term gain or (loss)**	17			4,586.
18	**Net long-term gain or (loss):**				
a	Total for year	18a			210,014.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c	28% rate gain	18c			
19	**Total net gain or (loss).** Combine lines 17 and 18a ▶	19			214,600.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and* **do not** *complete Part IV If line 19, column (3), is a net loss, complete Part IV and the* **Capital Loss Carryover Worksheet,** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
a The loss on line 19, column (3) **or b** $3,000 . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* **or** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* **Capital Loss Carryover Worksheet** *in the instructions to figure your capital loss carryover*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if*

- *Either line 18b, col (2) or line 18c, col (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21			
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22					
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23					
24	Add lines 22 and 23	24					
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25					
26	Subtract line 25 from line 24 If zero or less, enter -0-			26			
27	Subtract line 26 from line 21 If zero or less, enter -0-			27			
28	Enter the **smaller** of the amount on line 21 or $2,500			28			
29	Enter the **smaller** of the amount on line 27 or line 28			29			
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶					30	
31	Enter the **smaller** of line 21 or line 26			31			
32	Subtract line 30 from line 26			32			
33	Enter the **smaller** of line 21 or $12,150			33			
34	Add lines 27 and 30			34			
35	Subtract line 34 from line 33 If zero or less, enter -0-			35			
36	Enter the **smaller** of line 32 or line 35			36			
37	Multiply line 36 by 15% ▶					37	
38	Enter the amount from line 31			38			
39	Add lines 30 and 36			39			
40	Subtract line 39 from line 38 If zero or less, enter -0-			40			
41	Multiply line 40 by 20% ▶					41	
42	Figure the tax on the amount on line 27 Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42			
43	Add lines 37, 41, and 42			43			
44	Figure the tax on the amount on line 21 Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44			
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶					45	

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*

▶File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2014

Attachment Sequence No **12A**

Name(s) shown on return	Social security number or taxpayer identification number
THE SUE AND EUGENE MERCY, JR. FOUNDATION	13-6217050

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments your bought in 2014 or later)

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
SEE STATEMENT ATTACHE	VAR	VAR	31,631.	31,095.			536.
SEE STATEMENT ATTACHE	VAR	VAR	11,950.	7,900.			4,050.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked)▶			43,581.	38,995.			4,586.

Note If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.
JSA
4X2615 2 000

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side: THE SUE AND EUGENE MERCY, JR. FOUNDATION

Social security number or taxpayer identification number: 13-6217050

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later)

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need

☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
SEE STATEMENT ATTACHED	VAR	VAR	753,260.	543,246.			210,014.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			753,260	543,246.			210,014.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
1/21/2014	The Frick Collection New York, NY	600.00
2/20/2014	ADL New York, NY	5,000 00
2/21/2014	VNSNY New York. NY	5,000 00
2/21/2014	Central Park Conservancy New York, NY	50,000 00
2/21/2014	NY Service for the Handicapped New York, NY	10,000.00
2/21/2014	ADL New York, NY	5,000 00
2/24/2014	Big Brothers/Big Sisters of New York New York	9,825.00
2/24/2014	The Lymphoma Foundation New York, NY	1,000.00
2/25/2014	Humanity in Action New York, NY	20,000.00
2/25/2014	Storm King Art Center Mountainville, NY	500.00
2/26/2013	Mt. Sinai Medical Center New York, NY	100,000.00
2/26/2013	John Jay College of Criminal Justice New York, NY	50,000 00
2/26/2013	Vail Valley Foundation Vail, CO	20,000 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
2/26/2013	Loomis Chaffee Annual Fund Windsor, CT	15,000.00
2/26/2013	The Metropolitan Museum of Art New York, NY	1,740 00
2/26/2013	Lee Pesky Learning Center Boise, ID	1,000 00
2/26/2013	The Doe Fund New York, NY	500.00
2/26/2013	Studio in a School New York, NY	250.00
2/26/2013	Colorado Public Radio Centennial, CO	250.00
2/27/2014	Connecticut College New London, CT	10,000 00
2/27/2014	Orchestra of St Luke's New York, NY	2,000.00
2/27/2014	NRCM Augusta, ME	500 00
2/27/2014	The Nature Conservancy Albert Lea, MN	250.00
2/28/2014	African Parks Foundation of America New York, NY	25,000.00
2/28/2014	United Hospital Fund New York, NY	1,500 00
2/28/2014	The Washington Institute Washington, DC	5,000 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
2/28/2014	Betty Ford Alpine Gardens Vail, CO	250 00
2/28/2014	Untermeyer Gardens Conservancy Yonkers, NY	250.00
2/28/2014	Third Street Music School Settlement New York, NY	250.00
3/3/2014	Bravo Vail Valley Music Festival Vail, CO	25,000.00
3/3/2014	Alzheimer's Association Sherman Oaks, CA	2,500 00
3/3/2014	American Museum of Natural History New York, NY	1,750 00
3/3/2014	92nd Street Y New York, NY	1,000 00
3/3/2014	Arthritis Foundation Washington, DC	250.00
3/3/2014	The Reading Team, Inc. New York, NY	200 00
3/4/2014	Chess in the Schools New York, NY	500.00
3/5/2014	New York Philharmonic New York, NY	24,350 00
3/6/2014	Phipps Community Development Center New York, NY	500 00
3/6/2014	Housing+Solutions New York, NY	250.00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
3/7/2014	Grand Street Settlement New York, NY	1,000.00
3/10/2014	Active Minds New York, NY	1,000 00
3/11/2014	Manhattan Institute for Public Research New York, NY	1,000 00
3/17/2014	Eagle Valley Humane Society Eagle, CO	100.00
4/10/2014	Central Synagogue New York, NY	250.00
4/15/2014	VNSNY New York, NY	2,500 00
4/15/2014	The Fountain House New York, NY	300 00
4/15/2014	The Humane Society of Boca Raton Boca Raton, FL	200.00
4/23/2014	FEGS New York, NY	1,000 00
4/24/2014	JUMPSTART New York, NY	1,000 00
4/25/2014	UJA Federation of New York Syosset, NY	2,500 00
4/29/2014	Bottomless Closet New York, NY	2,500.00
4/29/2014	Centurion Ministries Princeton, NJ	1,000 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
5/2/2014	Museum of Modern Art New York, NY	11,489.00
5/2/2014	Opening Act New York, NY	1,000.00
5/6/2014	Northside Center for Child Development New York, NY	250.00
5/8/2014	Connecticut College New London, CT	750.00
5/9/2014	Solomon R. Guggenheim Foundation New York, NY	1,034.00
5/9/2014	Columbia Business School New York, NY	400.00
5/12/2014	Opening Act New York, NY	1,000 00
5/12/2014	Camp Oakhurst New York, NY	600.00
5/20/2014	JUMPSTART New York, NY	450.00
5/21/2014	Allen Stevenson School New York, NY	750.00
5/30/2014	Untermeyer Gardens Society Yonkers, NY	1,000 00
6/3/2014	SCAN New York New York, NY	1,000.00
6/3/2014	Central Synagogue New York, NY	2,855.00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050

FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
6/3/2014	Memorial Sloan Kettering Cancer Center New York, NY	1,000 00
6/4/2014	New York Public Library New York, NY	1,500 00
6/6/2014	Central Synagogue New York, NY	18,000.00
6/9/2014	The Friends of PS 169 New York, NY	100 00
6/10/2014	The Whitney Museum of American Art New York, NY	822.00
6/12/2014	JKHA/ARKYHS Livingston, NJ	2,000.00
6/12/2014	Bravo Vail Vail, CO	1,000 00
6/13/2014	The Cathedral of St John the Divine New York, NY	1,000 00
6/17/2014	Jonas Center New York, NY	2,000.00
6/30/2014	WNET New York, NY	2,500 00
7/2/2014	Lehigh University Bethlehem, PA	100 00
7/3/2014	Trout Unlimited Woolly Bugger, WV	1,000.00
7/3/2014	Museum of Arts and Design New York, NY	1,000 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
7/9/2014	Great NY Councils, Boy Scouts of America New York, NY	1,000 00
7/21/2014	Friends of Ir David New York, NY	5,000 00
7/21/2014	Lincoln Center for the Performing Arts New York, NY	3,000 00
7/22/2014	UJA Federation of NY New York, NY	10,000.00
8/6/2014	American Cancer Society New York, NY	1,000 00
8/26/2014	Westchester Caddie Scholarship Fund Scarsdale, NY	250.00
8/27/2014	Ackerman Institute for the Family Pleasantville, NY	500 00
9/22/2014	Citizens' Committee for Children New York, NY	250.00
9/22/2014	The Fund for Park Avenue New York, NY	100 00
9/6/2014	Committee to Reduce Infection Deaths Greenwich, CT	1,000 00
10/3/2014	New York Public Radio New York, NY	2,500 00
10/6/2014	CEI-PEA New York, NY	2,500 00
10/14/2014	Adirondack Scholarship Foundation Willsboro, NY	5,000 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
10/15/2014	AJC Boston Boston, MA	5,000.00
10/17/2014	New York Youth Symphony New York, NY	1,000 00
10/21/2014	Peer Health Exhange, Inc. San Francisco, CA	500.00
10/31/2014	The Metropolitan Opera New York, NY	3,000.00
11/10/2014	Vail Valley Medical Center Vail, CO	1,000.00
11/10/2014	First Tee Scarsdale, NY	250.00
11/24/2014	Grand Street Settlement New York, NY	1,000.00
11/26/2014	Carnegie Hill Neighbors New York, NY	1,250 00
12/5/2014	Rockefeller Philanthropic Advisors New York, NY	1,000.00
12/11/2014	Eagle Valley Land Trust Edwards, CO	250.00
12/18/2014	Public Art Fund New York, NY	500 00
12/19/2014	The Frick Collection New York, NY	600.00
12/30/2014	Sarcoma Foundation of America Damascus, MD	200 00

THE SUE AND EUGENE MERCY, JR. FOUNDATION
CHARITABLE CONTRIBUTIONS
EIN: 13-6217050
FYE 12/31/2014

DATE	CONTRIBUTION / CITY, STATE	NET AMOUNT
	TOTAL CONTRIBUTIONS PAID *	513,565.00

**ALL CONTRIBUTIONS WERE MADE TO THE GENERAL PURPOSE FUND OF PUBLIC CHARITABLE ORGANIZATIONS THAT WERE CLASSIFIED UNDER SECTION 501 (c) (3) OF THE INTERNAL REVENUE CODE*

Goldman Sachs

Statement Detail

SUE & EUGENE JR MERCY FDN

Holdings

Period Ended December 31, 2014

CASH, DEPOSITS & MONEY MARKET FUNDS

	Quantity	Market Price	Market Value / Accrued Income	Unit Cost	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Yield to Maturity / Current Yield	Estimated Annual Income
DEPOSITS & MONEY MARKET FUNDS								
MONEY MARKET FUNDS								
GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa	263,892 220	1 0000	263,892 22	1 0000	263,892 22	0 00	0 0100	26 39

	Market Value	Adjusted Cost / Original Cost	Unrealized Gain (Loss)	Estimated Annual Income
TOTAL PORTFOLIO	263,892 22	263,892 22	0 00	30.04

Equities

Custody Holdings

Shares/ Units	Description	Asset Identifier	Tax Cost	Price	Market Value	% Portfolio	Estimated Annual Income	Current Yield
2,790 000	ABBOTT LABS COM	002824100	$104,716 51	45 020	$125,605 80	2 61%	$2,678 40	2 13
1,530 000	AMERICAN WATER WORKS CO INC	030420103	51,674 09	53.300	81,549 00	1 69	1,897 20	2 33
710 000	AMERIPRISE FINANCIAL INC	03076C106	34,428 51	132 250	93,897 50	1 95	1,647 20	1 75
1,950 000	ATMOS ENERGY CORP	049560105	74,119 14	55 740	108,693 00	2 26	3,042 00	2 80
310 000	BLACKROCK INC	09247X101	53,209 89	357.560	110,843 60	2 30	2,393 20	2 16
1,600 000	BROADRIDGE FINANCIAL SOLUTIONS	11133T103	70,708 03	46 180	73,888 00	1 53	1,728 00	2 34
780 000	CHEVRON CORP	166764100	79,382 94	112 180	87,500 40	1 82	3,338 40	3 82
2,930 000	CINEMARK HOLDINGS INC	17243V102	91,946 37	35 580	104,249 40	2 16	2,930 00	2 81
1,130 000	CLECO CORPORATION COM NEW	12561W105	49,338.02	54.540	61,630 20	1 28	1,808 00	2 93
1,440 000	DELUXE CORP COM	248019101	33,568 24	62 250	89,640 00	1 86	1,728 00	1 93
410 000	DIAGEO P L C SPNSRD ADR NEW	25243Q205	39,585.70	114.090	46,776.90	0.97	1,381 70	2 95
2,630 000	DIEBOLD INC COM	253651103	81,764 67	34 640	91,103 20	1 89	3,024 50	3 32

‡ Price not provided by a standard industry pricing source

Shares/ Units	Description	Asset Identifier	Tax Cost	Price	Market Value	% Portfolio	Estimated Annual Income	Current Yield
Equities (Continued)								
Custody Holdings (Continued)								
3,650 000	DOUGLAS DYNAMICS INC	25960R105	50,154.68	21 430	78,219 50	1 62	3,175 50	4 06
1,360 000	DU PONT E I DE NEMOURS & CO COM	263534109	77,122 20	73 940	100,558 40	2 09	2,556 80	2 54
3,650 000	E M C CORP MASS COM	268648102	92,282 16	29 740	108,551 00	2 25	1,679 00	1 55
1,450 000	EASTGROUP PPTY INC COM	277276101	72,344 17	63 320	91,814 00	1 91	3,306 00	3 60
1,380 000	EMERSON ELEC CO COM	291011104	72,943 72	61 730	85,187 40	1 77	2,594 40	3 05
960 000	EOG RESOURCES INC	26875P101	46,681 84	92 070	88,387 20	1.84	643 20	0 73
5,350 000	FIRSTMERIT CORP COM	337915102	92,403 19	18 890	101,061 50	2 10	3,424 00	3 39
1,940 000	FNF GROUP	31620R303	38,029 40	34 450	66,833.00	1.39	1,474.40	2.21
2,420 000	GALLAGHER ARTHUR J & CO COM	363576109	110,863 96	47 080	113,933 60	2 37	3,484 80	3 06
3,790 000	GENERAL ELEC CO COM	369604103	81,357 36	25 270	95,773 30	1 99	3,486 80	3 64
1,400 000	GREIF BROS CORP CL A	397624107	67,446 25	47.230	66,122 00	1.37	2,352 00	3.56
1,430 000	HALLIBURTON CO COM	406216101	45,921 20	39 330	56,241 90	1 17	1,029 60	1 83
2,960.000	HILLENBRAND INC	431571108	84,948.64	34.500	102,120 00	2.12	2,368 00	2 32
770 000	HOME DEPOT INC COM	437076102	40,580.08	104 970	80,826 90	1 68	1,447 60	1 79
3,580 000	HORACE MANN EDUCATORS CORP NEW COM	440327104	62,941 30	33 180	118,784 40	2 47	3,293.60	2 77
1,040 000	IBERIABANK CORP	450828108	51,482 08	64 850	67,444 00	1 40	1,414 40	2 10
1,300 000	INGREDION INC	457187102	90,607 97	84 840	110,292 00	2 29	2,184 00	1 98
2,940 000	INTEL CORP COM	458140100	74,971 90	36 290	106,692 60	2 22	2,646 00	2 48
1,190 000	JOHNSON & JOHNSON COM	478160104	76,446 89	104 570	124,438.30	2 58	3,332.00	2 68

STATE STREET.

Account Holdings

THE SUE AND EUGENE MERCY, JR FOUNDATION

As of December 31, 2014

Shares/ Units	Description	Asset Identifier	Tax Cost	Price	Market Value	% Portfolio	Estimated Annual Income	Current Yield
Equities (Continued)								
Custody Holdings (Continued)								
4,700 000	KNOLL INC	498904200	77,920 38	21 170	99,499 00	2 07	2,256 00	2 27
1,190 000	LANCASTER COLONY CORP	513847103	81,150.04	93 640	111,431 60	2 31	2,189 60	1 96
2,250 000	MARSH & MCLENNAN COS INC COM	571748102	74,244 43	57 240	128,790 00	2 67	2,520 00	1 96
1,780 000	MEREDITH CORP COM	589433101	85,303 65	54 320	96,689.60	2 01	3,079 40	3.18
2,030 000	MICROSOFT CORP COM	594918104	61,139 43	46 450	94,293 50	1 96	2,517 20	2 67
1,610 000	MID-AMERICA APARTMENT CMNTYS INC	59522J103	109,065 37	74 680	120,234 80	2 50	4,958 80	4 12
1,710 000	MSA SAFETY INCORPORATED	553498106	73,171 22	53 090	90,783 90	1 88	2,120 40	2 34
1,080 000	OCCIDENTAL PETE CORP DEL COM	674599105	92,178 06	80 610	87,058 80	1 81	3,110 40	3.57
2,030 000	ONE GAS INC	68235P108	86,081 74	41 220	83,676 60	1 74	2,273 60	2 72
3,060 000	PACWEST BANCORP	695263103	94,095 86	45 460	139,107 60	2 89	6,120 00	4 40
2,050 000	PORTLAND GENERAL ELECTRIC CO	736508847	51,009.45	37.830	77,551 50	1 61	2,296 00	2 96
1,110 000	PROCTER & GAMBLE CO COM	742718109	93,985 70	91.090	101,109 90	2 10	2,857 14	2 83
1,240 000	ROYAL DUTCH SHELL PLC SPONSORED ADR REPS	780259206	100,924 61	66 950	83,018 00	1 72	3,963 04	4 77
1,190 000	SENSIENT TECHNOLOGIES CORP	81725T100	42,654 67	60 340	71,804 60	1 49	1,190 00	1 66
1,030 000	STRYKER CORP COM	863667101	54,257 12	94 330	97,159 90	2 02	1,421 40	1 46
2,780 000	TEXAS INSTRS INC COM	882508104	88,061 26	53 465	148,632 70	3 09	3,780 80	2 54
770 000	TIFFANY & CO NEW COM	886547108	44,017 05	106 860	82,282 20	1 71	1,170 40	1 42
2,030 000	UIL HOLDINGS CORPORATION	902748102	70,319 75	43 540	88,386 20	1 84	3,507 84	3 97
720 000	UNITED PARCEL SERVICE -CL B	911312106	55,120 56	111 170	80,042 40	1 66	1,929.60	2 41

Account Holdings

THE SUE AND EUGENE MERCY, JR. FOUNDATION

As of December 31, 2014

Shares/ Units	Description	Asset Identifier	Tax Cost	Price	Market Value	% Portfolio	Estimated Annual Income	Current Yield
Equities (Continued)								
Custody Holdings (Continued)								
1,860 000	VERIZON COMMUNICATIONS INC	92343V104	75,903 42	46.780	87,010 80	1 81	4,092 00	4 70
Total Equities			**$3,604,574.87**		**$4,807,221.60**	**99.81%**	**$130,842.32**	

THE SUE AND EUGENE MERCY JR FOUNDATION
STATE STREET ACCOUNT - REALIZED GAINS AND LOSSES
FYE 12/31/2014

IN USD·

SECURITY	DATE PURCHASED	DATE SOLD	SHS SOLD	PROCEEDS	COST	GAIN/ LOSS	LT/ST
AMERIPRISE FINANCIAL	5/9/2012	1/6/2014	210	$ 23,794 34	$ 10,729 76	$ 13,064 58	LONG-TERM
TAL INTERNATIONAL GROUP	5/9/2012	1/9/2014	100	$ 4,707 24	$ 3,626 15	$ 1,081 09	LONG-TERM
TAL INTERNATIONAL GROUP	5/10/2012	1/9/2014	440	$ 20,711 88	$ 15,955 10	$ 4,756 78	LONG-TERM
FIRST NIAGARA FINANCIAL GROUP	VARIOUS	1/9/2014	4,760	$ 48,481 64	$ 38,726 20	$ 9,755 44	LONG-TERM
SONOCO PRODS CO COM	VARIOUS	1/29/2014	1,030	$ 42,451 62	$ 32,536 28	$ 9,915 34	LONG-TERM
DEVON ENERGY CORP	VARIOUS	1/28/2014	720	$ 42,646 79	$ 44,832 71	$ (2,185 92)	LONG-TERM
WEST PHARMACEUTICAL SVCS INC	5/9/2012	2/4/2014	132	$ 6,080 67	$ 2,967 01	$ 3,113 66	LONG-TERM
FIDELITY NATIONAL FINL INC CL A	10/4/2012	2/5/2014	950	$ 29,155 47	$ 21,510 28	$ 7,645 19	LONG-TERM
WEST PHARMACEUTICAL SVCS INC	5/9/2012	2/5/2014	128	$ 5,842 12	$ 2,822 81	$ 3,019 31	LONG-TERM
EOG RESOURCES INC	6/29/2012	4/28/2014	240	$ 23,341 13	$ 10,945 40	$ 12,395 73	LONG-TERM
WEST PHARMACEUTICAL SVCS INC	VARIOUS	5/13/2014	182	$ 7,842 32	$ 4,197 37	$ 3,644 95	LONG-TERM
WEST PHARMACEUTICAL SVCS INC	VARIOUS	5/14/2014	572	$ 24,011 27	$ 12,555 72	$ 11,455 55	LONG-TERM
WEST PHARMACEUTICAL SVCS INC	VARIOUS	5/15/2014	306	$ 12,790 68	$ 6,662 36	$ 6,128 32	LONG-TERM
ACCENTURE	VARIOUS	6/18/2014	730	$ 60,256 29	$ 43,089 33	$ 17,166 96	LONG-TERM
JM SMUCKER CO	VARIOUS	6/17/2014	381	$ 40,419 39	$ 29,054 76	$ 11,364 63	LONG-TERM
JM SMUCKER CO	VARIOUS	6/18/2014	229	$ 24,299 54	$ 17,468 83	$ 6,830 71	LONG-TERM
CLECO CORP	2/5/2013	7/2/2014	380	$ 22,163 27	$ 16,436 36	$ 5,726 91	LONG-TERM
FIDELITY NATIONAL FINANCIAL GROUP	10/4/2012	7/1/2014	646	$ 10,106 30	$ 7,766 96	$ 2,339 34	LONG-TERM
FIDELITY NATIONAL FINANCIAL GROUP	10/4/2012	7/10/2014	0 602	$ 9 30	$ 7 12	$ 2 18	LONG-TERM
HALLIBURTON CO	5/9/2012	7/10/2014	370	$ 25,655 92	$ 15,181 14	$ 10,474 78	LONG-TERM
COLGATE PALMOLIVE	VARIOUS	8/18/2014	800	$ 51,723 41	$ 39,670 49	$ 12,052 92	LONG-TERM
HALLIBURTON CO	VARIOUS	9/3/2014	250	$ 16,884 40	$ 8,858 41	$ 8,025 99	LONG-TERM
BAXTER INTERNATIONAL INC	6/20/2013	9/17/2014	920	$ 66,875 43	$ 63,859 04	$ 3,016 39	LONG-TERM
TAL INTERNATIONAL GROUP	VARIOUS	10/23/2014	522	$ 21,414.25	$ 16,288.64	$ 5,125 61	LONG-TERM
TAL INTERNATIONAL GROUP	VARIOUS	10/24/2014	608	$ 24,391 62	$ 18,421 57	$ 5,970 05	LONG-TERM
DOUGLAS DYNAMICS	5/9/2012	10/23/2014	410	$ 8,439 98	$ 5,635 66	$ 2,804 32	LONG-TERM
DOUGLAS DYNAMICS	5/9/2012	10/24/2014	430	$ 8,891 63	$ 5,950 52	$ 2,941 11	LONG-TERM
DOUGLAS DYNAMICS	5/9/2012	11/14/2014	264	$ 6,082 01	$ 3,510 08	$ 2,571 93	LONG-TERM
DOUGLAS DYNAMICS	VARIOUS	11/17/2014	216	$ 4,950 19	$ 1,845 88	$ 3,104 31	LONG-TERM
TIFFANY & CO NEW YORK	10/11/2012	11/17/2014	190	$ 19,297 15	$ 11,963 22	$ 7,333 93	LONG-TERM
MICROSOFT CORPORATION	5/9/2012	11/21/2014	360	$ 17,197 57	$ 11,102 40	$ 6,095 17	LONG-TERM
AMERIPRISE FINANCIAL	5/9/2012	12/12/2014	150	$ 19,462 54	$ 7,646 14	$ 11,816 40	LONG-TERM
DOUGLAS DYNAMICS	VARIOUS	12/12/2014	630	$ 12,882 14	$ 11,422 28	$ 1,459 86	LONG-TERM
BAXTER INTERNATIONAL INC	VARIOUS	9/17/2014	400	$ 29,076 27	$ 27,764 80	$ 1,311 47	SHORT-TERM
CALIFORNIA RESOURCES	11/30/2014	12/11/2014	432	$ 2,554 79	$ 3,329 79	$ (775 00)	SHORT-TERM
				$ 784,890 56	$ 574,340 57	$ 210,549 99	
		SHORT-TERM CAPITAL GAINS		**$ 31,631.06**	**$ 31,094.59**	**$ 536.47**	
		LONG-TERM CAPITAL GAINS		**$ 753,259.50**	**$ 543,245.98**	**$ 210,013.52**	

SUE & EUGENE JR MERCY FDN
From 01-Jan-2014 To 31-Dec-2014

Base Currency :USD

Realized Gains/Losses

Description	Trade Date	Date Sold / Covered	Qty	Proceeds (Base)	Cost (Base)	Gain/Loss (Base)	Gain Type
POTBELLY CORP CMN	3-Oct-13	15-Apr-14	100	1,700.96	1,400.00	300.96	Short-Term
TWITTER, INC. CMN	7-Nov-13	15-Apr-14	250	10,248.77	6,500.00	3,748.77	Short-Term
TOTAL:				**11,949.73**	**7,900.00**	**4,049.73**	