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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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▶ Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning 01-01-2014 , and ending 12-31-2014

Name of foundation Jim Neuberger and Helen Stambler Neuberger Foundation % Foundation Source		A Employer identification number 13-6066102	
Number and street (or P O box number if mail is not delivered to street address) 55 Walls Drive 3rd Floor Suite		B Telephone number (see instructions) (800) 839-1754	
City or town, state or province, country, and ZIP or foreign postal code Fairfield, CT 06824		C If exemption application is pending, check here ▶ ☐	
G Check all that apply ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐	
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16)▶\$ 4,974,873		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐	
J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	6	6		
	4 Dividends and interest from securities.	67,236	67,236		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	401,371			
	b Gross sales price for all assets on line 6a 2,328,044				
	7 Capital gain net income (from Part IV, line 2) . . .		401,371		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	468,613	468,613		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	40,190	40,190		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	8,700	1,100		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	25,159	26		25,133
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	74,049	41,316		25,133
	25 Contributions, gifts, grants paid	241,050			241,050
	26 Total expenses and disbursements. Add lines 24 and 25	315,099	41,316		266,183
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	153,514			
	b Net investment income (if negative, enter -0-)		427,297		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	89,310	54,004	54,004
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)	73,220	☒ 14,106	14,478
	b	Investments—corporate stock (attach schedule)	2,207,434	☒ 2,337,188	3,463,536
	c	Investments—corporate bonds (attach schedule).	1,338,989	☒ 1,457,169	1,442,855
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,708,953	3,862,467	4,974,873	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,708,953	3,862,467	
	30	Total net assets or fund balances (see instructions)	3,708,953	3,862,467	
	31	Total liabilities and net assets/fund balances (see instructions) . . .	3,708,953	3,862,467	

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 3,708,953
2	Enter amount from Part I, line 27a	2 153,514
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 3,862,467
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6 3,862,467

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a Publicly-traded Securities				
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 2,328,044		1,926,673	401,371
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(I) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			401,371
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	401,371
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	}	3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	332,123	4,721,497	0 070343
2012	4,863,471	4,484,547	1 084495
2011	1,164,731	11,069,241	0 105222
2010	965,961	13,208,549	0 073131
2009	919,035	12,201,965	0 075319

2 Total of line 1, column (d).	2	1 40851
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 281702
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5.	4	4,945,584
5 Multiply line 4 by line 3.	5	1,393,181
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	4,273
7 Add lines 5 and 6.	7	1,397,454
8 Enter qualifying distributions from Part XII, line 4.	8	266,183

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See
the Part VI instructions

Part VI

Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a		Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1			
b		Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		18,546	
c		All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)			
2		Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3		Add lines 1 and 2.		38,546	
4		Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	
5		Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		58,546	
6		Credits/Payments			
a		2014 estimated tax payments and 2013 overpayment credited to 2014		6a	8,154
b		Exempt foreign organizations—tax withheld at source		6b	
c		Tax paid with application for extension of time to file (Form 8868)		6c	392
d		Backup withholding erroneously withheld		6d	
7		Total credits and payments. Add lines 6a through 6d.		78,546	
8		Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached		8	
9		Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10		Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	
11		Enter the amount of line 10 to be Credited to 2015 estimated tax ☐ Refunded ☐		11	

Part VII-A

Statements Regarding Activities

1a		During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a		No
b		Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for definition)?		1b		No
		If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.				
c		Did the foundation file Form 1120-POL for this year?		1c		No
d		Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$				
e		Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$				
2		Has the foundation engaged in any activities that have not previously been reported to the IRS?		2		No
		If "Yes," attach a detailed description of the activities.				
3		Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3		No
4a		Did the foundation have unrelated business gross income of \$1,000 or more during the year?		4a		No
b		If "Yes," has it filed a tax return on Form 990-T for this year?		4b		
5		Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5		No
		If "Yes," attach the statement required by General Instruction T.				
6		Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either				
		• By language in the governing instrument, or				
		• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes	
7		Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes	
8a		Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ NY				
b		If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes	
9		Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		9		No
10		Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address				
14	The books are in care of Foundation Source Telephone no (800) 839-1754 Located at 501 Silverside Road Suite 123 Wilmington DE ZIP+4 198091377			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for FinCEN Form 114, Report of Foreign Bank and Financial Accounts (FBAR) If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here.			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?			
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes ☒ No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	☐ Yes ☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes ☒ No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 4945(d)(4)(A)? (see instructions).	☐ Yes ☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes ☒ No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.	☒		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes ☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes ☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes ☒ No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Jim Kaufman	Dir	0		
55 Walls Drive 3rd Floor Fairfield, CT 06824	10			
Jim Neuberger	Pres / Dir	0		
55 Walls Drive 3rd Floor Fairfield, CT 06824	10			
Helen Stambler Neuberger	VP / Dir / Sec	0		
55 Walls Drive 3rd Floor Fairfield, CT 06824	10			
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return

(All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	4,911,683
b	Average of monthly cash balances.	1b	109,214
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	5,020,897
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	5,020,897
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	75,313
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,945,584
6	Minimum investment return. Enter 5% of line 5.	6	247,279

Part XI

Distributable Amount

(see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	247,279
2a	Tax on investment income for 2014 from Part VI, line 5.	2a	8,546
b	Income tax for 2014 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	8,546
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	238,733
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	238,733
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	238,733

Part XII

Qualifying Distributions

(see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	266,183
b	Program-related investments—total from Part IX-B.	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	0
b	Cash distribution test (attach the required schedule).	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	266,183
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	266,183

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII

Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1		Distributable amount for 2014 from Part XI, line 7			
2		Undistributed income, if any, as of the end of 2014			
a		Enter amount for 2013 only.			
b		Total for prior years 2012 , 2011 , 2010			
3		Excess distributions carryover, if any, to 2014			
a	From 2009.	310,759			
b	From 2010.	317,554			
c	From 2011.	621,855			
d	From 2012.	4,649,078			
e	From 2013.	104,294			
f Total of lines 3a through e.		6,003,540			
4		Qualifying distributions for 2014 from Part XII, line 4 \$ 266,183			
a		Applied to 2013, but not more than line 2a			
b		Applied to undistributed income of prior years (Election required—see instructions).			
c		Treated as distributions out of corpus (Election required—see instructions).			
d		Applied to 2014 distributable amount.			
e		Remaining amount distributed out of corpus			
5		Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)			
6		Enter the net total of each column as indicated below:			
a		Corpus Add lines 3f, 4c, and 4e Subtract line 5			
b		Prior years' undistributed income Subtract line 4b from line 2b.			
c		Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.			
d		Subtract line 6c from line 6b Taxable amount—see instructions.			
e		Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount—see instructions.			
f		Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015.			
7		Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions).			
8		Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions).			
9		Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a.			
10		Analysis of line 9			
a	Excess from 2010.	317,554			
b	Excess from 2011.	621,855			
c	Excess from 2012.	4,649,078			
d	Excess from 2013.	104,294			
e	Excess from 2014.	27,450			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c	"Support" alternative test—enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

See Additional Data Table

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or email address of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total			3a	241,050
b Approved for future payment				
Total			3b	

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		Yes	No
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No
d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received			

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here	*****	2015-06-02	*****	May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No
	Signature of officer or trustee	Date	Title	

Paid Preparer Use Only	Print/Type preparer's name Jeffrey D Haskell	Preparer's Signature	Date	Check if self-employed ☒	PTIN P01345770
	Firm's name ☒ Foundation Source			Firm's EIN ☒	
	Firm's address ☒ One Hollow Ln Ste 212 Lake Success, NY 11042			Phone no (800) 839-1754	

Form 990PF Part XV Line 1a - List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000).

JAMES NEUBERGER
ANN N ACEVES

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN CIVIL LIBERTIES UNION FOUNDATION INC 125 BROAD ST 18TH FL NEW YORK,NY 10004	N/A	PC	General & Unrestricted	500
AMERICAN KIDNEY FUND INC 11921 ROCKVILLE PIKE STE 300 ROCKVILLE,MD 20852	N/A	PC	General & Unrestricted	500
AMNESTY INTERNATIONAL OF THE USA INC 5 PENN PLZ FL 16 NEW YORK,NY 10001	N/A	PC	General & Unrestricted	500
BANK STREET COLLEGE OF EDUCATION 610 W 112TH ST NEW YORK,NY 10025	N/A	PC	General & Unrestricted	1,000
BOYS TOWN JERUSALEM FOUNDATION OF AMERICA INC 1 PENN PLZ STE 6250 NEW YORK,NY 10119	N/A	PC	General & Unrestricted	500
BROADWAY MALL MAINTENANCE FUND INC 2095 BROADWAY STE 403 NEW YORK,NY 10023	N/A	PC	General & Unrestricted	1,000
BROWN UNIVERSITY BOX 1976 PROVIDENCE,RI 02912	N/A	PC	General & Unrestricted	500
CANCER FAMILY CARE INC 2421 AUBURN AVE CINCINNATI,OH 45219	N/A	PC	General & Unrestricted	500
CAREER TRANSITION FOR DANCERS INC 165 W 46TH ST STE 701 NEW YORK,NY 10036	N/A	PC	General & Unrestricted	1,500
COPLAND HOUSE INC PO BOX 2177 CORTLANDT MANOR,NY 10566	N/A	PC	General & Unrestricted	1,250
CREATIVE CONNECTIONS INC 303 WAVE MATHEWS PARK NORWALK,CT 06850	N/A	PC	General & Unrestricted	500
CYSTIC FIBROSIS FOUNDATION 4929 WILSHIRE BLVD STE 760 LOS ANGELES,CA 90010	N/A	PC	Great Strides Santa Monica, Team Cure Now	1,000
DIEU DONNE PAPER MILL INC 315 W 36TH ST STE 101 GRND FL NEW YORK,NY 10018	N/A	PC	ANN HAMILTON OCT 29TH EVENT	350
DOCTORS WITHOUT BORDERS USA INC 333 7TH AVE 2ND FL NEW YORK,NY 10001	N/A	PC	General & Unrestricted	500
DOE FUND INC 232 E 84TH ST NEW YORK,NY 10028	N/A	PC	General & Unrestricted	500
Total  3a				241,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ENVIRONMENTAL DEFENSE FUND INCORPORATED 1875 CONNECTICUT AVE NW STE 600 WASHINGTON,DC 20009	N/A	PC	General & Unrestricted	750
ETHICAL CULTURE FIELDSTON SCHOOL 33 CENTRAL PARK W NEWYORK,NY 10023	N/A	PC	General & Unrestricted	1,000
ETHICAL CULTURE FIELDSTON SCHOOL 33 CENTRAL PARK W NEWYORK,NY 10023	N/A	PC	1964 Archives Fund	3,000
FORTUNE SOCIETY INC 29-76 NORTHERN BLVD LONG ISLAND CITY,NY 11101	N/A	PC	General & Unrestricted	500
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	General & Unrestricted	102,750
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	ACQUISITION FUND	100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	HELEN STAMBLER NEUBERGER ACQUISITIONS FUND	100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	ACQUISITIONS FUND	100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	AFRICAN ARTS COUNCIL 2014-2015 Fund	1,500
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	TRIBUTE FUND	200
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	CELEBRATE THE NEU VIDEO 2014 Project	1,100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	Kuba Exhibition Fund	1,100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	ACQUISITIONS FUND	100
FRIENDS OF THE NEUBERGER MUSEUM INC 735 ANDERSON HILL RD PURCHASE,NY 10577	N/A	PC	BENEFETE Initiative	1,600
HUNTER COLLEGE FOUNDATION INC 695 PARK AVE E1313 NEW YORK,NY 10065	N/A	PC	General & Unrestricted	2,000
Total  3a				241,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
INNOCENCE PROJECT INC 40 WORTH ST RM 701 NEW YORK,NY 10013	N/A	PC	General & Unrestricted	1,000
JEWISH MUSEUM 1109 5TH AVE NEW YORK,NY 10128	N/A	PC	ART AND DESIGN PROGRAM	1,000
LIGHTHOUSE GUILD INTERNATIONAL INC 15 W 65TH ST NEW YORK,NY 10023	N/A	PC	General & Unrestricted	1,000
LUNGEVITY FOUNDATION 218 S WABASH AVE STE 540 CHICAGO,IL 60604	N/A	PC	General & Unrestricted	2,500
METROPOLITAN COLLEGE OF NEW YORK 75 VARICK ST FL 14 NEW YORK,NY 10013	N/A	PC	General & Unrestricted	1,500
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	General & Unrestricted	23,400
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	EVENT OF THE YEAR NEW CHOREOGRAPHY	3,500
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	Capital Campaign Fund	20,000
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	Charitable Event	4,100
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	Adopt-A-School - Mott Hall 2013-2014 Initiative	25,000
NATIONAL DANCE INSTITUTE INC 217 W 147TH ST NEW YORK,NY 10039	N/A	PC	Jd' EIGHTY Initiative	15,000
NATIONAL SCHOOL CLIMATE CENTER 341 W 38TH ST FL 9 NEW YORK,NY 10018	N/A	PC	General & Unrestricted	500
NEW YORK FOUNDATION FOR THE ARTS INC 20 JAY ST STE 740 BROOKLYN,NY 11201	N/A	PC	NYFA HALL OF FAME Fund	2,000
NEW YORK PUBLIC RADIO 160 VARICK ST NEW YORK,NY 10013	N/A	PC	General & Unrestricted	1,000
NEW YORK-PRESBYTERIAN FUND INC 525 E 68TH ST BOX 156 NEW YORK,NY 10065	N/A	PC	General & Unrestricted	500
Total  3a				241,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
OREGON COLLEGE OF ART AND CRAFT 8245 SW BARNES RD PORTLAND, OR 97225	N/A	PC	General & Unrestricted	1,000
PAGUS INC 215 LAUREL LN HAVERFORD, PA 19041	N/A	PC	General & Unrestricted	500
PLANNED PARENTHOOD FEDERATION OF AMERICA INC 434 W 33RD ST 11TH FL NEW YORK, NY 10001	N/A	PC	General & Unrestricted	500
POETS HOUSE INC 10 RIVER TER NEW YORK, NY 10282	N/A	PC	General & Unrestricted	1,000
PUBLIC CITIZEN FOUNDATION INC 1600 20TH ST NW WASHINGTON, DC 20009	N/A	PC	General & Unrestricted	500
SAFE WATER NETWORK 122 E 42ND ST FL 21 NEW YORK, NY 10168	N/A	PC	General & Unrestricted	1,000
STORM KING ART CENTER PO BOX 280 OLD PLEASANT HILL RD MOUNTAINVILLE, NY 10953	N/A	PC	General & Unrestricted	1,000
STUDIO MUSEUM IN HARLEM INC 144 W 125TH ST NEW YORK, NY 10027	N/A	PC	STUDIO SOCIETY	1,400
SUNY NEW PALTZ FOUNDATION INC 1 HAWK DR NEW PALTZ, NY 12561	N/A	PC	Dorsky Museum, Art Uncorked	300
THE BACHMANN-STRAUSS DYSTONIA & PARKINSON FOUNDATI 100 GREAT OAKS BLVD STE 125 ALBANY, NY 12203	N/A	PC	General & Unrestricted	1,000
THE BOWERY MISSION 132 MADISON AVE NEW YORK, NY 10016	N/A	PC	General & Unrestricted	100
THE DOOR - A CENTER OF ALTERNATIVES INC 121 AVE OF THE AMERICAS NEW YORK, NY 10013	N/A	PC	General & Unrestricted	1,000
THIRTEEN 825 8TH AVE NEW YORK, NY 10019	N/A	PC	General & Unrestricted	1,000
WESTCHESTER ARTS COUNCIL INC 31 MAMARONECK AVE FL 3 WHITE PLAINS, NY 10601	N/A	PC	GALA AD Division	500
WESTCHESTER ARTS COUNCIL INC 31 MAMARONECK AVE FL 3 WHITE PLAINS, NY 10601	N/A	PC	GALA JOURNAL AD FOR DECEMBER 2013 Initiative	750
Total  3a				241,050

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a <i>Paid during the year</i>				
WHITNEY MUSEUM OF AMERICAN ART 99 GANSEVOORT ST NEW YORK, NY 10014	N/A	PC	ANNUAL FUND	1,000
Total  3a				241,050

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2014 Depreciation Schedule

Name: Jim Neuberger and Helen Stambler Neuberger
Foundation
EIN: 13-6066102

TY 2014 Investments Corporate Bonds Schedule

Name: Jim Neuberger and Helen Stambler Neuberger
Foundation

EIN: 13-6066102

Name of Bond	End of Year Book Value	End of Year Fair Market Value
ALLERGAN INC SR NT 5.7500% 04/	42,979	42,319
AMERICAN EXPRESS CR CORP - 2.8	78,462	77,205
AT&T INC NOTE - 2.400% - 08/15	51,559	50,983
BANK NEW YORK - 2.300% - 07/28	51,762	51,093
BANK OF NOVA SCOTIA - 0.6801%	50,000	50,140
BECTON DICKINSON & CO - 1.750%	51,368	50,369
BOEING CAPITAL - 02.1250% - 08	51,717	50,948
CATERPILLAR FINL SVCS - 2.6500	52,056	51,203
CISCO SYS INC SR NT 3.15% 03/1	41,942	41,812
COMMONWEALTH BK AUSTRALIA - 1	25,517	25,076
CREDIT SUISSE NEW YORK BRANCH	50,000	49,939
DEERE JOHN CAP CORP MTNS BE -	51,888	51,109
DEUTSCHE BANK AG LONDON BOND -	50,000	49,915
EXPRESS SCRIPTS HLDG CO - 2.10	30,685	30,037
FIFTH THIRD BANK - 0.00% - 11/	50,000	50,106
GE CAP BK INC RETAILCD - 1.850	50,887	50,716
GEORGIA POWER COMPANY - 0.6632	50,000	49,975
GOLDMAN SACHS - 1.00% - 02/23/	50,293	50,038
GOLDMAN SACHS - 5.350% - 01/15	32,428	31,306
INTEL CORP NT - 1.950% - 10/01	51,604	50,970

Name of Bond	End of Year Book Value	End of Year Fair Market Value
INTERNATIONAL BUSINESS MACHS -	51,423	50,941
JPMORGAN CHASE & CO - 3.450% -	52,304	51,319
JPMORGAN CHASE - 3.70% - 01/20	52,723	50,084
KEY BANK NA - 0.7266 - 11/25/2	50,000	50,131
ROYAL BANK OF CANADA - 0.00% -	50,000	50,087
SALLIE MAE BK MURRAY UTAH - 1.	49,950	50,243
STRYKER CORP NOTE - 2.000% - 0	51,643	50,842
UBS AG STAMFORD BRH VR - 0.000	40,000	40,092
VODAFONE GROUP NOTE 00.62310 0	25,000	24,994
WAL-MART STORES, INC. 5.375% 0	43,979	43,732
WESTPAC BKG CORP - 0.000% - 09	25,000	25,131

TY 2014 Investments Corporate
Stock Schedule

Name: Jim Neuberger and Helen Stambler Neuberger
Foundation
EIN: 13-6066102

Name of Stock	End of Year Book Value	End of Year Fair Market Value
AMERICAN EXPRESS CO	48,679	95,366
ANTERO RESOURCES CORPORATION	77,579	57,827
APPLE INC.	50,729	161,485
ASML HOLDING NV NY REG SHS	68,527	80,873
BRISTOL-MYERS SQUIBB CO	61,316	103,303
CABOT OIL & GAS CORP	48,782	75,506
CDW CORPORATION	51,851	54,514
CHURCH & DWIGHT	45,108	78,810
CME GROUP, INC	79,730	95,299
COMCAST CORP	67,748	71,963
COOPER COMPANIES INC	48,529	64,836
COSTCO WHOLESALE CORPORATION	87,852	109,855
DANAHER CORP	57,393	102,852
DOVER CORP	68,848	84,271
EOG RESOURCES INC	32,693	92,070
ESTEE LAUDER COMPANIES INC	74,590	99,060
FISERV INC	29,592	106,454
FMC TECHS INC	78,625	88,996
INTUIT	72,679	108,322
IPG PHOTONICS CORP	68,076	74,920
JOHNSON & JOHNSON	92,130	104,569
JP MORGAN CHASE & CO	77,454	98,564
LENNAR CORP	36,852	100,823
M&T BANK CORP	66,760	78,513
MOODYS CORP	72,421	95,810
NESTLE S.A.	30,719	65,655
OMNICOM GROUP	51,738	58,103
PITNEY BOWES INC.	71,577	76,766
PRECISION CASTPARTS	73,442	96,352
RANGE RESOURCES CORP DEL	63,984	52,114

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ROPER INDUSTRIES	26,626	85,993
RPM INTERNATIONAL INC.	89,672	100,152
SANDISK CORP	71,300	122,474
SCHLUMBERGER LTD	38,637	59,787
SIRONA DENTAL SYSTEMS INC	38,887	74,265
TOLL BROTHERS INC	82,914	87,389
TYCO INTERNATIONAL LTD.	49,888	93,203
WALT DISNEY HOLDINGS CO.	41,970	122,446
WHITEWAVE FOODS COMPANY	41,291	83,976

TY 2014 Investments Government Obligations Schedule

Name: Jim Neuberger and Helen Stambler Neuberger
Foundation

EIN: 13-6066102

US Government Securities - End of Year Book Value:	14,106
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US Government Securities - End of Year Fair Market Value:	14,478
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**State & Local Government
Securities - End of Year Book
Value:**

**State & Local Government
Securities - End of Year Fair
Market Value:**

TY 2014 Other Expenses Schedule

Name: Jim Neuberger and Helen Stambler Neuberger
Foundation

EIN: 13-6066102

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	24,883			24,883
Bank Charges	26	26		
State or Local Filing Fees	250			250

TY 2014 Other Professional Fees Schedule

Name: Jim Neuberger and Helen Stambler Neuberger
Foundation
EIN: 13-6066102

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	40,190	40,190		

TY 2014 Taxes Schedule

Name: Jim Neuberger and Helen Stambler Neuberger
Foundation

EIN: 13-6066102

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2014	7,600			
Foreign Tax Paid	1,100	1,100		