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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning , and ending

Name of foundation
JOHN-CHRISTOPHE SCHLESINGER FOUNDATION

Number and street (or P O. box number if mail is not delivered to street address) Room/suite
14 WEST LANE

City or town, state or province, country, and ZIP or foreign postal code
POUND RIDGE, NY 10576

G Check all that apply:
 Initial return Initial return of a former public charity
 Final return Amended return
 Address change Name change

H Check type of organization: Section 501(c)(3) exempt private foundation
 Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) **\$ 2,335,086.** (Part I, column (d) must be on cash basis.)

J Accounting method: Cash Accrual
 Other (specify) _____

A Employer identification number
13-3984550

B Telephone number
914-764-5123

C If exemption application is pending, check here

D 1. Foreign organizations, check here
 2. Foreign organizations meeting the 85% test, check here and attach computation

E If private foundation status was terminated under section 507(b)(1)(A), check here

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here

| Part III Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|------------------------------------|---------------------------|-------------------------|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check <input checked="" type="checkbox"/> If the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 44,091. | 44,091. | | STATEMENT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 146,530. | | | |
| | b Gross sales price for all assets on line 6a | 370,630. | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 146,530. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| b Less Cost of goods sold | | | | | |
| c Gross profit or (loss) | | | | | |
| 11 Other income | 242. | 0. | | STATEMENT 2 | |
| 12 Total. Add lines 1 through 11 | 190,863. | 190,621. | | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees | STMT 3 | 31,751. | 31,751. | 0. |
| | 17 Interest | | | | |
| | 18 Taxes | STMT 4 | 580. | 62. | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses | STMT 5 | 587. | 587. | 0. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | | 32,918. | 32,400. | 0. |
| | 25 Contributions, gifts, grants paid | | 109,350. | | 109,350. |
| 26 Total expenses and disbursements. Add lines 24 and 25 | | 142,268. | 32,400. | 109,350. | |
| 27 Subtract line 26 from line 12: | | | | | |
| a Excess of revenue over expenses and disbursements | | 48,595. | | | |
| b Net investment income (if negative, enter -0-) | | | 158,221. | | |
| c Adjusted net income (if negative, enter -0-) | | | N/A | | |

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**JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION**

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| Part II Balance Sheets | | Attached schedules and amounts in the description column should be for end-of-year amounts only | | |
|--|---|---|----------------|-----------------------|
| | | Beginning of year | End of year | |
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| Assets | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 5,157. | 145,079. | 145,079. |
| | 3 Accounts receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 4 Pledges receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable | | | |
| | Less: allowance for doubtful accounts | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U.S. and state government obligations | | | |
| | b Investments - corporate stock STMT 6 | 1,281,974. | 1,190,647. | 2,177,480. |
| | c Investments - corporate bonds | | | |
| | 11 Investments - land, buildings, and equipment: basis | | | |
| Less accumulated depreciation | | | | |
| 12 Investments - mortgage loans | | | | |
| 13 Investments - other STMT 7 | 12,527. | 12,527. | 12,527. | |
| 14 Land, buildings, and equipment: basis | | | | |
| Less accumulated depreciation | | | | |
| 15 Other assets (describe) | | | | |
| 16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I) | 1,299,658. | 1,348,253. | 2,335,086. | |
| Liabilities | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe) | | | |
| 23 Total liabilities (add lines 17 through 22) | 0. | 0. | | |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31. | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31. | | | |
| | 27 Capital stock, trust principal, or current funds | 0. | 0. | |
| | 28 Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 1,299,658. | 1,348,253. | |
| 30 Total net assets or fund balances | 1,299,658. | 1,348,253. | | |
| 31 Total liabilities and net assets/fund balances | 1,299,658. | 1,348,253. | | |

Part III Analysis of Changes in Net Assets or Fund Balances

| | |
|--|------------|
| 1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1,299,658. |
| 2 Enter amount from Part I, line 27a | 48,595. |
| 3 Other increases not included in line 2 (itemize) | 0. |
| 4 Add lines 1, 2, and 3 | 1,348,253. |
| 5 Decreases not included in line 2 (itemize) | 0. |
| 6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 1,348,253. |

Part IV Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|--|--|--------------------------------------|----------------------------------|
| 1a NEUBERGER BERMAN | P | VARIOUS | VARIOUS |
| b SECURITIES LITIGATION | P | VARIOUS | VARIOUS |
| c | | | |
| d | | | |
| e | | | |

| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|-----------------------|--|---|--|
| a 370,044. | | 224,100. | 145,944. |
| b 586. | | | 586. |
| c | | | |
| d | | | |
| e | | | |

| Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|--------------------------------------|---|---|
| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | |
| a | | | 145,944. |
| b | | | 586. |
| c | | | |
| d | | | |
| e | | | |

| | | |
|--|----------|-----------------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 } | 2 | 146,530. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 | 3 | N/A |

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|--|--|--|---|
| 2013 | 106,797. | 2,169,710. | .049222 |
| 2012 | 45,400. | 1,780,834. | .025494 |
| 2011 | 100,297. | 1,752,791. | .057221 |
| 2010 | 88,643. | 1,555,084. | .057002 |
| 2009 | 112,159. | 1,359,505. | .082500 |

| | | |
|---|----------|-------------------|
| 2 Total of line 1, column (d) | 2 | .271439 |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .054288 |
| 4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | 4 | 2,382,753. |
| 5 Multiply line 4 by line 3 | 5 | 129,355. |
| 6 Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 1,582. |
| 7 Add lines 5 and 6 | 7 | 130,937. |
| 8 Enter qualifying distributions from Part XII, line 4 | 8 | 109,350. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes fields for exempt foundations, domestic foundations, tax under section 511, add lines 1 and 2, subtitle A tax, tax based on investment income, credits/payments (6a-6d), total credits, penalty, tax due, overpayment, and amount of line 10 to be credited to 2015 estimated tax.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Columns include question number, 'Yes', and 'No'. Questions cover political campaign influence, political expenditures, unrelated business income, liquidation, and state reporting.

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Part VII-A Statements Regarding Activities (continued)

| | | | | |
|----|--|----|-----|---------|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u> | 13 | X | |
| 14 | The books are in care of ► <u>RICHARD SCHLESINGER</u> Telephone no. ► <u>914-764-5123</u> Located at ► <u>14 WEST LANE, POUND RIDGE, NY</u> ZIP+4 ► <u>10576</u> | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year | 15 | | N/A |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ► | 16 | Yes | No X |

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

| | Yes | No |
|--|-----|----|
| 1a During the year did the foundation (either directly or indirectly): | | |
| (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/> | N/A | 1b |
| c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | | 1c |
| 2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | |
| a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____ | | |
| b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | N/A | 2b |
| c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____ | | |
| 3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | | |
| b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No | N/A | 3b |
| 4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a |
| b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | | 4b |

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Part VII B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No
 - (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No
 - (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No
 - (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No
 - (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No
- b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? **N/A**
- Organizations relying on a current notice regarding disaster assistance check here
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? **N/A** Yes No
- If "Yes," attach the statement required by Regulations section 53.4945-5(d).
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No
- If "Yes" to 6b, file Form 8870.
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? **N/A**

| | | |
|----|--|---|
| 5b | | |
| 6b | | X |
| 7b | | |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (if not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|--|---|---|---|---------------------------------------|
| RICHARD SCHLESINGER 14 WEST LANE POUND RIDGE, NY 10576 | DIRECTOR 0.00 | 0. | 0. | 0. |
| SHEILA SCHLESINGER 14 WEST LANE POUND RIDGE, NY 10576 | DIRECTOR 0.00 | 0. | 0. | 0. |
| A. LAUREN MONAHAN 82 JACOBS LANE NORWELL, MA 02061 | DIRECTOR 0.00 | 0. | 0. | 0. |
| KATHERINE S. FATES 520 IPSWICH ROAD BOXFORD, MA 01921 | DIRECTOR 0.00 | 0. | 0. | 0. |

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|------------------|---|---------------------------------------|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

Total number of other employees paid over \$50,000 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|---|---------------------|------------------|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |
| | | |

Total number of others receiving over \$50,000 for professional services ▶ 0

Part IX A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|--|----------|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

Part IX B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|-----------|
| 1 N/A | |
| 2 | |
| 3 All other program-related investments. See instructions. | |
| Total. Add lines 1 through 3 ▶ | 0. |

**JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION**

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|----------|---|-----------|------------|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 2,333,600. |
| b | Average of monthly cash balances | 1b | 85,439. |
| c | Fair market value of all other assets | 1c | |
| d | Total (add lines 1a, b, and c) | 1d | 2,419,039. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) | 1e | 0. |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 2,419,039. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 36,286. |
| 5 | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 2,382,753. |
| 6 | Minimum investment return. Enter 5% of line 5 | 6 | 119,138. |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

| | | | |
|-----------|---|-----------|----------|
| 1 | Minimum investment return from Part X, line 6 | 1 | 119,138. |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 2a | 3,164. |
| b | Income tax for 2014. (This does not include the tax from Part VI.) | 2b | |
| c | Add lines 2a and 2b | 2c | 3,164. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | 3 | 115,974. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 115,974. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7 | 115,974. |

Part XII Qualifying Distributions (see instructions)

| | | | |
|----------|---|-----------|----------|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 109,350. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 109,350. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | Adjusted qualifying distributions. Subtract line 5 from line 4 | 6 | 109,350. |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|--|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 115,974. |
| 2 Undistributed income, if any, as of the end of 2014: | | | | |
| a Enter amount for 2013 only | | | 0. | |
| b Total for prior years: | | 0. | | |
| 3 Excess distributions carryover, if any, to 2014: | | | | |
| a From 2009 | | | | |
| b From 2010 | | | | |
| c From 2011 | 12,294. | | | |
| d From 2012 | | | | |
| e From 2013 | | | | |
| f Total of lines 3a through e | 12,294. | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$ | 109,350. | | | |
| a Applied to 2013, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2014 distributable amount | | | | 109,350. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).) | 6,624. | | | 6,624. |
| 6 Enter the net total of each column as indicated below: | | | | |
| a Corpus Add lines 3f, 4c, and 4e. Subtract line 5 | 5,670. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 | 0. | | | |
| 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a | 5,670. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2010 | | | | |
| b Excess from 2011 | 5,670. | | | |
| c Excess from 2012 | | | | |
| d Excess from 2013 | | | | |
| e Excess from 2014 | | | | |

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶
- b** Check box to indicate whether the foundation is a private operating foundation described in section ... 4942(j)(3) or 4942(j)(5)

| | Tax year | Prior 3 years | | | (e) Total |
|--|----------|---------------|----------|----------|-----------|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c. | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: | | | | | |
| a "Assets" alternative test - enter: | | | | | |
| (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: | | | | | |
| (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

- 1 Information Regarding Foundation Managers:**
- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

RICHARD SCHLESINGER

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

- 2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**
- Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:
-
- b** The form in which applications should be submitted and information and materials they should include:
-
- c** Any submission deadlines:
-
- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:
-

Part XV **Supplementary Information** (continued)

| 3 Grants and Contributions Paid During the Year or Approved for Future Payment | | | | | |
|---|--|---|--------------------------------|----------------------------------|-----------------|
| Recipient | | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
| Name and address (home or business) | | | | | |
| a Paid during the year | | | | | |
| SEE SCHEDULE ATTACHED | | N/A | N/A | EDUCATIONAL | 109,350. |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| | | | | | |
| Total | | | | ▶ 3a | 109,350. |
| b Approved for future payment | | | | | |
| NONE | | | | | |
| | | | | | |
| | | | | | |
| Total | | | | ▶ 3b | 0. |

FORM 990-PF **DIVIDENDS AND INTEREST FROM SECURITIES** **STATEMENT 1**

| <u>SOURCE</u> | <u>GROSS AMOUNT</u> | <u>CAPITAL GAINS DIVIDENDS</u> | <u>(A) REVENUE PER BOOKS</u> | <u>(B) NET INVEST- MENT INCOME</u> | <u>(C) ADJUSTED NET INCOME</u> |
|-------------------|-------------------------|--|--------------------------------------|--|--|
| NEUBERGER BERMAN | 44,091. | 0. | 44,091. | 44,091. | |
| TO PART I, LINE 4 | 44,091. | 0. | 44,091. | 44,091. | |

FORM 990-PF **OTHER INCOME** **STATEMENT 2**

| <u>DESCRIPTION</u> | <u>(A) REVENUE PER BOOKS</u> | <u>(B) NET INVEST- MENT INCOME</u> | <u>(C) ADJUSTED NET INCOME</u> |
|---------------------------------------|--------------------------------------|--|--|
| NONTAXABLE DISTRIBUTION | 242. | 0. | |
| TOTAL TO FORM 990-PF, PART I, LINE 11 | 242. | 0. | |

FORM 990-PF **OTHER PROFESSIONAL FEES** **STATEMENT 3**

| <u>DESCRIPTION</u> | <u>(A) EXPENSES PER BOOKS</u> | <u>(B) NET INVEST- MENT INCOME</u> | <u>(C) ADJUSTED NET INCOME</u> | <u>(D) CHARITABLE PURPOSES</u> |
|------------------------------|---------------------------------------|--|--|--|
| INVESTMENT MANAGEMENT FEE | 31,751. | 31,751. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 31,751. | 31,751. | | 0. |

FORM 990-PF **TAXES** **STATEMENT 4**

| <u>DESCRIPTION</u> | <u>(A) EXPENSES PER BOOKS</u> | <u>(B) NET INVEST- MENT INCOME</u> | <u>(C) ADJUSTED NET INCOME</u> | <u>(D) CHARITABLE PURPOSES</u> |
|-----------------------------|---------------------------------------|--|--|--|
| FOREIGN TAX | 62. | 62. | | 0. |
| FEDERAL TAX | 518. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 580. | 62. | | 0. |

FORM 990-PF **OTHER EXPENSES** **STATEMENT** **5**

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|-----------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| ADR FEES | 60. | 60. | | 0. |
| MISCELLANEOUS | 527. | 527. | | 0. |
| TOTAL TO FORM 990-PF, PG 1, LN 23 | 587. | 587. | | 0. |

FORM 990-PF **CORPORATE STOCK** **STATEMENT** **6**

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|------------|----------------------|
| EQUITIES - SEE ATTACHED SCHEDULE | 1,190,647. | 2,177,480. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 1,190,647. | 2,177,480. |

FORM 990-PF **OTHER INVESTMENTS** **STATEMENT** **7**

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|--|---------------------|------------|----------------------|
| COMMODITIES | COST | 1,000. | 1,000. |
| EURO CURRENCY CONTRACT | COST | 11,527. | 11,527. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 12,527. | 12,527. |

JPMCC Account: 541-25519.002
 Base Currency: USD
 NB Account Number: 550-72456

Client Statement
 For the period 11/29/2014 to 12/31/2014
 JOHN-CHRISTOPHE SCHLESINGER
 FOUNDATION

Positions In Your Account

| Quantity | Description | Ticker/Cusip | Purchase Date | Average Unit Cost | (1)(4)(9) | Total (1)(4)(9) Cost | Price | Mkt. Value | % Portfolio (12) | Annual Income (6) | Yield (10) |
|------------------------------|---|--------------|---------------|-------------------|-------------------|----------------------|-------------------|------------|------------------|-------------------|-------------|
| 145,078.74 | STATE STR INSTL INVT TR U S GOVT MONEY MKT FD INSTL CL | STYTZ | 08/25/2009 | 1.0000 | 145,078.74 | 1,0000 | 145,078.74 | 145,078.74 | 6.25 | 0.00 | 0.00 |
| TOTAL CASH EQUIVALENT | | | | | 145,078.74 | | 145,078.74 | | 6.25 | 0.00 | 0.00 |

EQUITIES

| | | | | | | | | | | | |
|-------|--------------------------------------|-----|------------|---------|------------------|-----------------|-------------------|-----------------|-------------|--|-------------|
| 800 | ***ACCENTURE PLC IRELAND SHS CL A | ACN | 05/20/2009 | 30.2834 | 24,226.71 | 89.3100 | 71,448.00 | 1,632.00 | 3.08 | | 2.28 |
| 600 | AMERICAN EXPRESS COMPANY | AXP | 10/05/2010 | 38.1685 | 22,901.09 | 93.0400 | 55,824.00 | 624.00 | 2.40 | | 1.12 |
| 400 | ANADARKO PETROLEUM CORP | APC | 10/06/2003 | 21.2450 | 8,508.00 | 82.5000 | 33,000.00 | 432.00 | 1.42 | | 1.31 |
| 450 | ***AON PLC SHS CL A | AON | 11/13/2012 | 58.3680 | 25,365.60 | 94.8300 | 42,673.50 | 450.00 | 1.84 | | 1.05 |
| 100 | BOEING CO | | 03/15/2012 | 75.4878 | 7,548.78 | | 12,998.00 | | | | |
| 500 | BOEING CO | | 08/31/2012 | 71.3057 | 35,652.83 | | 64,990.00 | | | | |
| 100 | BOEING CO | | 04/25/2013 | 92.1478 | 9,214.79 | | 12,998.00 | | | | |
| 300 | BOEING CO | | 05/20/2013 | 99.0294 | 29,708.82 | | 38,994.00 | | | | |
| 1,000 | POSITION TOTAL | | | | 82,125.22 | 129.9800 | 129,980.00 | 3,640.00 | 5.60 | | 2.80 |
| 4,000 | CABOT OIL & GAS CORP | COG | 11/06/2010 | 7.9951 | 31,980.40 | 29.8100 | 118,440.00 | 320.00 | 5.10 | | 0.27 |
| 220 | CALIFORNIA RESOURCES CORPORATION | CRC | 09/19/2009 | 6.1812 | 1,355.46 | 5.5100 | 1,212.20 | 0.00 | 0.05 | | 0.00 |
| 500 | CARPENTER TECHNOLOGY CORP | | 04/23/2012 | 52.1918 | 26,095.89 | | 24,825.00 | | | | |
| 700 | CARPENTER TECHNOLOGY CORP | | 06/18/2012 | 43.3550 | 30,348.51 | | 34,475.00 | | | | |
| 600 | CARPENTER TECHNOLOGY CORP | | 05/09/2013 | 46.7743 | 28,064.58 | | 28,550.00 | | | | |

Client Statement

For the period 11/29/2014 to 12/31/2014

JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION

NEUBERGER BERMAN

JPMCC Account: 541-25519 002
Base Currency: USD
NB Account Number: 550-72456

Positions In Your Account

| Quantity | Description | Ticker/Cusip | Purchase Date | Average Unit Cost (1)(4)(9) | Total Cost (1)(4)(9) | Price | Mkt. Value | % Portfolio (12) | Annual Income (6) | Yield (10) |
|-----------------------------|---|--------------|---------------|-----------------------------|----------------------|----------|------------|------------------|-------------------|------------|
| EQUITIES (Continued) | | | | | | | | | | |
| 1,800 | POSITION TOTAL | | | | | | | | | |
| 1,200 | COMCAST CORPORATION NEW SPL CLASS A | CMCSK | 08/02/2002 | 12.3205 | 14,864.56 | 57.5850 | 69,078.00 | 2.97 | 1,080.00 | 1.56 |
| 1,800 | COVANTA HOLDING CORPORATION | CVA | 06/21/2010 | 16.0280 | 28,850.31 | 22.0100 | 39,618.00 | 1.71 | 1,800.00 | 4.54 |
| 1,000 | EOG RES INC | EOG | 02/15/2012 | 57.5067 | 57,506.67 | 92.0700 | 92,070.00 | 3.96 | 670.00 | 0.73 |
| 3,000 | ***FLY LEASING LIMITED SPONSORED ADR | FLY | 08/18/2008 | 12.6420 | 37,926.09 | 13.1500 | 39,450.00 | 1.70 | 2,550.00 | 6.46 |
| 700 | INTERNATIONAL BUSINESS MACHINES CORP | IBM | 03/04/2005 | 92.7432 | 64,990.24 | 160.4400 | 112,308.00 | 4.84 | 3,080.00 | 2.74 |
| 1,500 | INTERNATIONAL PAPER CO | | 12/12/2011 | 27.4430 | 41,164.49 | | 80,370.00 | | | |
| 500 | INTERNATIONAL PAPER CO | | 12/14/2011 | 26.9861 | 13,483.04 | | 26,790.00 | | | |
| 2,000 | POSITION TOTAL | IP | | 27.3238 | 54,647.53 | 53.5800 | 107,160.00 | 4.61 | 3,200.00 | 2.99 |
| 950 | JOHNSON & JOHNSON | JNJ | 11/13/2012 | 69.7854 | 66,296.15 | 104.5700 | 99,341.50 | 4.28 | 2,660.00 | 2.68 |
| 450 | KRAFT FOODS GROUP INC COM | KRFT | 11/13/2012 | 44.0478 | 19,821.50 | 62.6600 | 28,197.00 | 1.21 | 990.00 | 3.51 |
| 700 | MICROSOFT CORP | | 08/05/2011 | 25.6934 | 17,985.35 | | 32,515.00 | | | |
| 300 | MICROSOFT CORP | | 08/09/2011 | 24.9838 | 7,495.14 | | 13,935.00 | | | |
| 400 | MICROSOFT CORP | | 08/17/2011 | 25.0862 | 10,034.49 | | 18,580.00 | | | |
| 400 | MICROSOFT CORP | | 11/14/2011 | 26.9162 | 10,767.29 | | 18,580.00 | | | |
| 100 | MICROSOFT CORP | | 05/04/2012 | 31.3217 | 3,132.17 | | 4,645.00 | | | |
| 300 | MICROSOFT CORP | | 11/13/2012 | 27.1557 | 8,146.72 | | 13,935.00 | | | |
| 2,200 | POSITION TOTAL | MSFT | | 28.1642 | 57,561.16 | 46.4500 | 102,190.00 | 4.40 | 2,728.00 | 2.67 |
| 1,100 | OCCIDENTAL PETE CORP | OXY | 08/19/2009 | 63.2820 | 75,077.24 | 80.6100 | 88,671.00 | 3.82 | 3,168.00 | 3.57 |
| 1,200 | ORACLE CORPORATION | ORCL | 03/25/2006 | 13.6928 | 24,989.36 | 44.9700 | 82,070.25 | 3.53 | 876.00 | 1.07 |
| 1,200 | PACKAGING CORP AMER | | 06/11/2009 | 16.8735 | 20,248.19 | | 93,660.00 | | | |

Client Statement

For the period 11/29/2014 to 12/31/2014

JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION

JPMCC Account: 541-25519 002
Base Currency: USD
NB Account Number: 550-72456

Positions In Your Account

| Quantity | Description | Ticker/Cusip | Purchase Date | Average Unit Cost | (1)(4)(9) | Total Cost | (1)(4)(9) | Price | Mkt. Value | % Portfolio ⁽¹²⁾ | Annual ⁽⁶⁾ Income | Yield ⁽¹⁰⁾ |
|-----------------------------|-----------------------------------|--------------|---------------|-------------------|-----------|------------|-----------|-------|------------|-----------------------------|------------------------------|-----------------------|
| EQUITIES (Continued) | | | | | | | | | | | | |
| 400 | PACKAGING CORP AMER | | 06/18/2012 | 27.7629 | 11,105.16 | | | | 31,220.00 | | | |
| 1,600 | POSITION TOTAL | PKG | | 19.5958 | 31,353.35 | | 78.0500 | | 124,880.00 | 5.38 | 2,560.00 | 2.05 |
| 700 | Pfizer Inc | | 12/10/2009 | 18.4209 | 12,894.63 | | | | 21,805.00 | | | |
| 2,000 | Pfizer Inc | | 10/20/2010 | 17.7035 | 35,407.00 | | | | 62,300.00 | | | |
| 300 | Pfizer Inc | | 11/04/2010 | 17.3542 | 5,206.25 | | | | 9,345.00 | | | |
| 3,000 | POSITION TOTAL | PFE | | 17.8360 | 53,507.88 | | 31.1500 | | 93,450.00 | 4.02 | 3,360.00 | 3.60 |
| 500 | PIONEER NATURAL RESOURCES COMPANY | | 05/25/2010 | 57.5512 | 28,775.60 | | | | 74,425.00 | | | |
| 150 | PIONEER NATURAL RESOURCES COMPANY | | 08/02/2011 | 90.7937 | 13,617.56 | | | | 22,327.50 | | | |
| 650 | POSITION TOTAL | PXD | | 65.2202 | 42,393.16 | | 148.8500 | | 96,752.50 | 4.17 | 52.00 | 0.05 |
| 300 | PNC Financial Svcs Group Inc | PNC | 11/19/2014 | 86.8751 | 26,062.53 | | 91.2300 | | 27,369.00 | 1.18 | 576.00 | 2.10 |
| 100 | Precision Castparts Corp | PCP | 06/21/2013 | 211.4748 | 21,147.48 | | 240.8800 | | 24,088.00 | 1.04 | 12.00 | 0.05 |
| 1,100 | Rice Energy Inc | RICE | 01/24/2014 | 21.0000 | 23,100.00 | | 20.9700 | | 23,067.00 | 0.99 | 0.00 | 0.00 |
| 500 | SANOFI SPONSORED ADR | | 10/31/2014 | 46.2842 | 23,188.38 | | | | 22,805.00 | | | |
| 500 | SANOFI SPONSORED ADR | | 12/02/2014 | 48.1318 | 24,114.03 | | | | 22,805.00 | | | |
| 1,000 | POSITION TOTAL | SNY | | 47.2080 | 47,302.41 | | 45.6100 | | 45,610.00 | 1.96 | 1,317.00 | 2.89 |
| 900 | TEXTRON INC | TXT | 08/13/2013 | 28.2880 | 25,459.20 | | 42.1100 | | 37,899.00 | 1.63 | 72.00 | 0.19 |
| 750 | Torchmark Corp | TMK | 05/20/2009 | 16.5997 | 12,449.76 | | 54.1700 | | 40,627.50 | 1.75 | 380.25 | 0.94 |
| 200 | THE TRAVELERS COMPANIES INC | | 04/29/2009 | 42.0247 | 8,404.93 | | | | 21,170.00 | | | |
| 600 | THE TRAVELERS COMPANIES INC | | 05/01/2008 | 41.2825 | 24,769.50 | | | | 63,510.00 | | | |
| 400 | THE TRAVELERS COMPANIES INC | | 05/20/2009 | 39.4440 | 15,777.60 | | | | 42,340.00 | | | |
| 1,200 | POSITION TOTAL | TRV | | 40.7934 | 48,952.03 | | 105.8500 | | 127,020.00 | 5.47 | 2,649.00 | 2.08 |



Client Statement

For the period 11/29/2014 to 12/31/2014

JOHN-CHRISTOPHE SCHLESINGER
FOUNDATION

NEUBERGER BERMAN

JPMCC Account: 541-25519 002
Base Currency: USD
NB Account Number: 550-72456

Positions In Your Account

| Quantity | Description | Ticker/Cusip | Purchase Date | Average Unit Cost ⁽¹⁾⁽⁴⁾⁽⁹⁾ | Total Cost ⁽¹⁾⁽⁴⁾⁽⁹⁾ | Price | Mkt. Value | % Portfolio ⁽¹²⁾ | Annual Income ⁽⁶⁾ | Yield ⁽¹⁰⁾ |
|-----------------------------|----------------------------------|--------------|---------------|--|---------------------------------|---------|---------------------|-----------------------------|------------------------------|-----------------------|
| EQUITIES (Continued) | | | | | | | | | | |
| 175 | ***UNILEVER N V YORK SHS ADR | UN | 10/30/2008 | 23.3576 | 4,087.58 | 39.0400 | 6,832.00 | 0.29 | 224.18 | 3.28 |
| 800 | UNITED CONTINENTAL HLDGS INC | UAL | 07/25/2014 | 46.0496 | 36,839.68 | 66.8900 | 53,512.00 | 2.30 | 0.00 | 0.00 |
| 1,900 | UNUM GROUP | | 10/09/2003 | 15.8107 | 30,135.33 | | 66,272.00 | | | |
| 250 | UNUM GROUP | | 03/04/2005 | 17.3189 | 4,354.73 | | 8,720.00 | | | |
| 2,150 | POSITION TOTAL | UNM | | 15.9861 | 34,490.06 | 34.8800 | 74,992.00 | 3.23 | 1,419.00 | 1.89 |
| | TOTAL EQUITIES | | | | 1,190,647.39 | | 2,177,480.45 | 93.75 | 43,808.43 | 2.01 |
| | GRAND TOTAL⁽⁵⁾ | | | | 1,335,726.13 | | 2,322,559.19 | 100.00 | 43,808.43 | 1.89 |

NEUBERGER BERMAN

Account No: 541-25519
 Account Name: JOHN-CHRISTOPHE SCHLESINGER
 Recipient's Identification Number: 13-3984550
 Account Executive No. 002
 ORIGINAL: 12/31/14

NEUBERGER BERMAN LLC
 OH4-RM00
 P O BOX 183211
 COLUMBUS, OH 43218
 FOR UNDELIVERABLE MAIL ONLY
 Telephone Number (212) 476-5505

2014 Supplemental Gain/(Loss) Information
Long-Term Gain/(Loss) from Transactions not Reported to IRS

| Date | Transaction Type | Company Name | APC | Quantity | Cost Basis | Proceeds | Gain/(Loss) |
|----------|------------------|--------------------------------------|------|-------------|------------|-----------|-------------|
| 10/15/14 | S | ANADARKO PETROLEUM CORP | APC | 200.00000 | 16,700.91 | 4,254.00 | 12,446.91 |
| 10/15/14 | S | ANADARKO PETROLEUM CORP | APC | 200.00000 | 16,700.91 | 11,726.91 | 4,974.00 |
| 11/13/14 | S | CALIFORNIA RESOURCES CORPORATION | CRS | 220.00000 | 1,776.06 | 1,355.46 | 420.60 |
| 05/12/14 | H | CARPENTER TECHNOLOGY CORP | CRS | 100.00000 | 6,638.84 | 5,219.18 | 1,419.66 |
| 06/30/14 | H | CARPENTER TECHNOLOGY CORP | CRS | 200.00000 | 12,529.92 | 10,438.36 | 2,091.56 |
| 08/12/14 | H | ***FRANKS INTERNATIONAL N V | FI | 1,000.00000 | 20,426.74 | 22,000.00 | (1,573.26) |
| 10/21/14 | S | INTERNATIONAL BUSINESS MACHINES CORP | IBM | 100.00000 | 16,342.85 | 9,284.32 | 7,058.53 |
| 11/24/14 | H | INTERNATIONAL BUSINESS MACHINES CORP | IBM | 200.00000 | 32,467.82 | 18,568.64 | 13,899.18 |
| 09/12/14 | H | INTERNATIONAL PAPER CO | IP | 100.00000 | 4,975.84 | 2,744.30 | 2,231.54 |
| 08/25/14 | H | MCDONALDS CORP | MCD | 100.00000 | 9,449.07 | 5,235.18 | 4,213.89 |
| 08/26/14 | H | MCDONALDS CORP | MCD | 150.00000 | 14,134.42 | 7,852.76 | 6,281.66 |
| 02/25/14 | H | MICROSOFT CORP | MSFT | 250.00000 | 9,405.96 | 7,830.41 | 1,575.55 |
| 07/17/14 | H | MICROSOFT CORP | MSFT | 250.00000 | 11,275.45 | 7,830.41 | 3,445.04 |

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Account No: 541-25519
Account Name: JOHN-CHRISTOPHE SCHLESINGER
Recipient's Identification Number: 13-3984550
Account Executive No: 002
12/31/14

NEUBERGER BERMAN LLC
OH4-RM00
P O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY
Telephone Number (212) 476-5505

ORIGINAL:

2014 Supplemental Gain/(Loss) Information (continued)
Long-Term Gain/(Loss) from Transactions not Reported to IRS

| Date | Day | Month | Year | Symbol | Company Name | MSFT | Gain/(Loss) | Gain/(Loss) | Gain/(Loss) | |
|----------|-----|----------|------|--------|-----------------------------------|-------------------|-------------|-------------|-------------|----------|
| 02/05/14 | H | 03/21/12 | | | MICROSOFT CORP | MSFT 594918104 | 300.00000 | 10,900.16 | 9,607.92 | 1,292.24 |
| 06/23/14 | H | 05/22/13 | | | PIONEER NATURAL RESOURCES COMPANY | PXD 723787107 | 50.00000 | 11,576.44 | 7,271.41 | 4,305.03 |
| 10/15/14 | S | 08/02/11 | | | PIONEER NATURAL RESOURCES COMPANY | PXD 723787107 | 50.00000 | 8,084.81 | 4,539.19 | 3,545.62 |
| 10/15/14 | S | 05/22/13 | | | PIONEER NATURAL RESOURCES COMPANY | PXD 723787107 | 50.00000 | 8,084.81 | 7,271.41 | 813.40 |
| 11/07/14 | S | 11/13/12 | | | OCCIDENTAL PETE CORP | OXY 674599105 | 100.00000 | 8,769.90 | 7,568.35 | 1,201.55 |
| 11/07/14 | S | 10/30/13 | | | OCCIDENTAL PETE CORP | OXY 674599105 | 100.00000 | 8,769.91 | 9,635.61 | (865.70) |
| 06/03/14 | H | 11/05/10 | | | PHILLIPS 66 COM | PSX 718546104 | 100.00000 | 8,449.83 | 2,822.85 | 5,626.98 |
| 06/05/14 | H | 11/05/10 | | | PHILLIPS 66 COM | PSX 718546104 | 125.00000 | 10,438.83 | 3,528.56 | 6,910.27 |
| 06/02/14 | H | 11/05/10 | | | PHILLIPS 66 COM | PSX 718546104 | 150.00000 | 12,717.70 | 4,234.28 | 8,483.42 |
| 06/05/14 | H | 05/19/10 | | | PHILLIPS 66 COM | PSX 718546104 | 150.00000 | 12,526.60 | 3,741.03 | 8,785.57 |
| 10/22/14 | H | 04/29/09 | | | THE TRAVELERS COMPANIES INC | TRV 89417E109 | 50.00000 | 4,785.82 | 2,101.23 | 2,684.59 |
| 10/22/14 | H | 08/03/09 | | | THE TRAVELERS COMPANIES INC | TRV 89417E109 | 50.00000 | 4,785.83 | 2,216.88 | 2,568.95 |
| 07/22/14 | H | 08/03/09 | | | THE TRAVELERS COMPANIES INC | TRV 89417E109 | 150.00000 | 13,670.95 | 6,650.65 | 7,020.30 |

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN

Account No: 541-25519
Account Name: JOHN-CHRISTOPHE SCHLESINGER
Recipient's Identification Number: 13-3984550
Account Executive No: 002
ORIGINAL: 12/31/14

NEUBERGER BERMAN LLC
OH4-RM00
P.O BOX 183211
COLUMBUS, OH 43218
FOR UNDELIVERABLE MAIL ONLY
Telephone Number: (212) 476-5505

2014 Supplemental Gain/(Loss) Information (continued)
Long-Term Gain/(Loss) from Transactions not Reported to IRS

| Date | Self-Selected Basis | Adjusted Basis | Gain/(Loss) | Code | Quantity | Cost | Gain/(Loss) |
|---|---------------------|----------------|---------------------------------|------|-----------|-------------------|-------------------|
| 11/14/14 | H | 04/29/09 | THE TRAVELERS COMPANIES INC | TRV | 89417E109 | 150.00000 | 6,303.70 |
| 01/15/14 | H | 10/30/08 | ***UNILEVER N V YORK SHS ADR | UN | 904784709 | 150.00000 | 2,263.74 |
| 01/24/14 | H | 10/30/08 | ***UNILEVER N V YORK SHS ADR | UN | 904784709 | 525.00000 | 8,476.96 |
| 11/19/14 | H | 03/04/05 | UNUM GROUP | UNM | 91529Y106 | 900.00000 | 14,797.12 |
| 07/03/14 | H | 12/14/11 | VERITIV CORPORATION COM | VRTV | 923454102 | 9.00000 | 103.73 |
| 07/03/14 | H | 12/12/11 | VERITIV CORPORATION COM | VRTV | 923454102 | 31.00000 | 393.67 |
| 32 ITEMS - Total Long-Term Not Reported Transactions Gain/(Loss) | | | | | | 370,044.20 | 224,100.20 |
| | | | | | | | 145,944.00 |

FOOTNOTES

A - Position carried at Average Cost.
T - Cost Basis information is based on information you, your advisor or third parties have supplied and it has been included on your statement for informational purposes only. JPMCC has not attempted to validate this information and disclaims all warranties of any kind related to this information, either express or implied, including, without limitation, any implied warranties of accuracy, completeness and fitness for a particular purpose.
E - Adjusted for option exercise or assignment.

DISPOSAL METHODS

Blank - FIFO (First In First Out), L - LIFO (Last In First Out), S - Specific Match (Versus Purchase Method); H - High Cost Method, C - Low Cost Method; X - High Cost Long-Term

**John - Christophe Schlesinger Foundation
Donations
2014**

| <u>Organization</u> | <u>Amount</u> |
|---|-------------------|
| AIARE | 4,800.00 |
| Alta Community | 600.00 |
| American Mountain Guides | 1,800.00 |
| Arts for Healing Inc. | 1,000.00 |
| Beth Israel Deaconess | 5,000.00 |
| Cambodian Children's Fund | 2,500.00 |
| Canaan Ridge School | 1,000.00 |
| Chabad's Children of Chernobyl | 350.00 |
| Children's Hospital Boston | 1,000.00 |
| City Harvest | 1,000.00 |
| City Meals-On-Wheels | 500.00 |
| City Year Inc. | 500.00 |
| Corporate Angel Network | 250.00 |
| Doctors Without Borders | 4,000.00 |
| Eddie Wilson Special Education Scholarship Fund | 500.00 |
| Food Bank for Westchester | 250.00 |
| Friends of Alta Inc. | 1,500.00 |
| Habitat for Humanity | 500.00 |
| Himalayan Cataract | 750.00 |
| Hiram Halle Library | 250.00 |
| Horizons National Student | 3,000.00 |
| Human Outreach Project | 21,035.00 |
| Jewish Communal Fund | 4,300.00 |
| Kipp Ma | 1,000.00 |
| Lee Pesky Learning Center | 750.00 |
| Little Sisters Fund | 3,000.00 |
| Manhattan Institute | 2,500.00 |
| Maui Arts | 1,500.00 |
| Maui Humane Society Inc. | 500.00 |
| Museum of Science | 500.00 |
| National MPS Society Inc. | 250.00 |
| National September 11 Memorial & Museum | 200.00 |
| Northern Westchester Hospital | 500.00 |
| One Degree Forward Organization | 2,500.00 |
| Partners in Health | 2,500.00 |
| Phillips Academy | 1,000.00 |
| Pound Ridge Volunteer Ambulance Corps | 500.00 |
| Pound Ridge Volunteer Fire Department | 500.00 |
| Queens College Foundation | 3,000.00 |
| Random Acts of Flowers | 3,750.00 |
| Robin Hood Foundation | 1,000.00 |
| Ronald McDonald House | 1,000.00 |
| Salt Lake Community College | 770.00 |
| Seattle Seaplanes | 2,195.00 |
| Smile Train | 1,000.00 |
| Smilow Cancer Center at Yale | 5,000.00 |
| Snowbird Sports Education | 1,000.00 |
| Spaulding Rehabilitation | 500.00 |
| St. Jude's Children's Hospital | 3,000.00 |
| St. Paul's School | 7,000.00 |
| United Jewish Appeal | 1,800.00 |
| University of Utah | 1,500.00 |
| Westchester Medical-stopped check | (250.00) |
| Wasatch Adaptive Sports | 1,000.00 |
| Wings of Aloha | 250.00 |
| Yale Hockey Association | 750.00 |
| Yale Parent's Fund | 1,000.00 |
| Total | 109,350.00 |