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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2014 or tax year beginning

, and ending

Name of foundation THE YASPAN-UNTERBERG FOUNDATION, INC. C/O RICHARD YASPAN		A Employer identification number 13-3608916						
Number and street (or P O box number if mail is not delivered to street address) 36 PEMBROKE DRIVE	Room/suite	B Telephone number 516-448-5475						
City or town, state or province, country, and ZIP or foreign postal code GLEN COVE, NY 11542		C If exemption application is pending, check here <input type="checkbox"/>						
G Check all that apply: <table style="display: inline-table; vertical-align: top;"> <tr> <td><input type="checkbox"/> Initial return</td> <td><input type="checkbox"/> Initial return of a former public charity</td> </tr> <tr> <td><input type="checkbox"/> Final return</td> <td><input type="checkbox"/> Amended return</td> </tr> <tr> <td><input type="checkbox"/> Address change</td> <td><input type="checkbox"/> Name change</td> </tr> </table>		<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity	<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return	<input type="checkbox"/> Address change	<input type="checkbox"/> Name change	D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity							
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return							
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change							
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>						
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,970,382. (Part I, column (d) must be on cash basis)	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>						

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	81,524.	81,524.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	130,664.			
	b Gross sales price for all assets on line 6a 1,300,763.				
	7 Capital gain net income (from Part IV, line 2)		130,664.		
	8 Net short-term capital gain				
Operating and Administrative Expenses	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 Total. Add lines 1 through 11	212,188.	212,188.		
	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	7,000.	3,500.		3,500.
	c Other professional fees				
	17 Interest	11.	11.		0.
	18 Taxes STMT 3	23,443.	1,577.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
22 Printing and publications					
23 Other expenses STMT 4	17,700.	17,425.		275.	
24 Total operating and administrative expenses. Add lines 13 through 23	48,154.	22,513.		3,775.	
25 Contributions, gifts, grants paid	248,218.			248,218.	
26 Total expenses and disbursements. Add lines 24 and 25	296,372.	22,513.		251,993.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-84,184.				
b Net investment income (if negative, enter -0-)		189,675.			
c Adjusted net income (if negative, enter -0-)			N/A		

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THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

Form 990-PF (2014)

13-3608916

Page 2

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value		
Assets	1	Cash - non-interest-bearing		84,101.	73,741.	73,741.
	2	Savings and temporary cash investments		437,518.	130,425.	130,425.
	3	Accounts receivable ▶				
		Less: allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less: allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons				
	7	Other notes and loans receivable ▶				
		Less: allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10a	Investments - U.S. and state government obligations				
		b Investments - corporate stock STMT 5		1,375,620.	1,308,108.	1,952,046.
		c Investments - corporate bonds STMT 6		521,248.	822,029.	814,170.
	11	Investments - land, buildings, and equipment, basis ▶				
	Less: accumulated depreciation ▶					
12	Investments - mortgage loans					
13	Investments - other					
14	Land, buildings, and equipment, basis ▶					
	Less: accumulated depreciation ▶					
15	Other assets (describe ▶)					
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		2,418,487.	2,334,303.	2,970,382.	
Liabilities	17	Accounts payable and accrued expenses				
	18	Grants payable				
	19	Deferred revenue				
	20	Loans from officers, directors, trustees, and other disqualified persons				
	21	Mortgages and other notes payable				
	22	Other liabilities (describe ▶)				
23	Total liabilities (add lines 17 through 22)		0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/>					
	and complete lines 24 through 26 and lines 30 and 31.					
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/>					
	and complete lines 27 through 31.					
	27	Capital stock, trust principal, or current funds		0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund		0.	0.		
29	Retained earnings, accumulated income, endowment, or other funds		2,418,487.	2,334,303.		
30	Total net assets or fund balances		2,418,487.	2,334,303.		
31	Total liabilities and net assets/fund balances		2,418,487.	2,334,303.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,418,487.
2	Enter amount from Part I, line 27a	2	-84,184.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	2,334,303.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,334,303.

Form 990-PF (2014)

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THE YASPAN-UNTERBERG FOUNDATION, INC.

Form 990-PF (2014)

C/O RICHARD YASPAN

13-3608916 Page 3

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	NEUBERGER BERMAN #6799 - SEE ATTACHED	P	01/01/14	12/31/14
b	NEUBERGER BERMAN #6799 - SEE ATTACHED	P	01/01/14	12/31/14
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a	727,153.	755,060.	-27,907.	
b	573,610.	415,039.	158,571.	
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a			-27,907.	
b			158,571.	
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	130,664.	
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	173,265.	2,853,321.	.060724
2012	154,519.	2,543,529.	.060750
2011	150,891.	2,480,292.	.060836
2010	174,115.	2,271,092.	.076666
2009	146,290.	2,094,089.	.069859
2	Total of line 1, column (d)	2	.328835
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.065767
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	3,067,000.
5	Multiply line 4 by line 3	5	201,707.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,897.
7	Add lines 5 and 6	7	203,604.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	251,993.

THE YASPAN-UNTERBERG FOUNDATION, INC.

Form 990-PF (2014)

C/O RICHARD YASPAN

13-3608916

Page 4

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,897.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	1,897.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,897.
6	Credits/Payments:		
a	2014 estimated tax payments and 2013 overpayment credited to 2014	6a	12,160.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	12,160.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	10,263.
11	Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> 10,263. Refunded <input checked="" type="checkbox"/>	11	0.

Part VII-A Statements Regarding Activities

	Yes	No
1a		X
1b		X
1c		X
2		X
3		X
4a		X
4b		
5		X
6	X	
7	X	
8a		
8b	X	
9		X
10		X

N/A

Form 990-PF (2014)

THE YASPAN-UNTERBERG FOUNDATION, INC.

C/O RICHARD YASPAN

Form 990-PF (2014)

13-3608916

Page 5

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)			X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)			X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A		X	
14	The books are in care of ▶ RICHARD YASPAN Telephone no. ▶ 516-448-5475 Located at ▶ 36 PEMBROKE DRIVE, GLEN COVE, NY ZIP+4 ▶ 11542			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15		N/A
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here		
		1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d). N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
RICHARD YASPAN 36 PEMBROKE DRIVE GLEN COVE, NY 11542	PRESIDENT 1.00	0.	0.	0.
DAVID YASPAN 71 SHELDRAKE RD SCARSDALE, NY 10583	TREASURER AND 1.00	SECRETARY 0.	0.	0.
PEGGY SHAPIRO 15 VAN TASSEL LN ORINDA, CA 94563	DIRECTOR 1.00	0.	0.	0.
JANET MELTZER 19871 GRANDVIEW DRIVE LOS ANGELES, CA 90290	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 0

Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities	1a	2,972,202.
b	Average of monthly cash balances	1b	141,504.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	3,113,706.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	3,113,706.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	46,706.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,067,000.
6	Minimum investment return. Enter 5% of line 5	6	153,350.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	153,350.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,897.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	1,897.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	151,453.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	151,453.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	151,453.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:			
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	251,993.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	251,993.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	1,897.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	250,096.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

Form 990-PF (2014)

13-3608916 Page 9

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				151,453.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	42,824.			
b From 2010	64,004.			
c From 2011	30,144.			
d From 2012	32,091.			
e From 2013	42,735.			
f Total of lines 3a through e	211,798.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$	251,993.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				151,453.
e Remaining amount distributed out of corpus	100,540.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:	312,338.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	42,824.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	269,514.			
10 Analysis of line 9:				
a Excess from 2010	64,004.			
b Excess from 2011	30,144.			
c Excess from 2012	32,091.			
d Excess from 2013	42,735.			
e Excess from 2014	100,540.			

423581 11-24-14

Form 990-PF (2014)

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

THE YASPAN-UNTERBERG FOUNDATION, INC.

Form 990-PF (2014)

C/O RICHARD YASPAN

13-3608916 Page 11

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AJC GLOBAL JEWISH ADVOCACY 9911 W. PICO BOULEVARD LOS ANGELES, CA 90035	NONE	PUBLIC CHARITY	GENERAL	5,000.
ALS ASSOCIATION 42 BROADWAY SUITE 1724 NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL	500.
ALZHEMERS ASSOCIATION 5900 WILSHIRE BLVD, STE 1100 LOS ANGELES, CA 90036	NONE	PUBLIC CHARITY	GENERAL	2,500.
AMERICAN CANCER SOCIETY 6725 LYONS ST PO BOX 7 EAST SYRACUSE, NY 13057	NONE	PUBLIC CHARITY	GENERAL	1,000.
AMERICAN JEWISH WORLD SERVICES 45 WEST 36TH STREET NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL	500.
Total	SEE CONTINUATION SHEET(S)			248,218.
b Approved for future payment				
NONE				
Total				0.

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN MUSEUM OF NATURAL HISTORY CENTRAL PARK W & 79TH ST NEW YORK, NY 10024	NONE	PUBLIC CHARITY	GENERAL	6,000.
AMNESTY INTERNATIONAL USA 5 PENN PLAZA NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL	1,000.
AVON WALK FOR BREAST CANCER ONE AVON PLAZA RYE, NY 10580	NONE	PUBLIC CHARITY	GENERAL	2,500.
BACK 2 SCHOOL STORE 70 SOUTH ORANGE AVENUE SUITE 120 LIVINGSTON, NJ 07039	NONE	PUBLIC CHARITY	GENERAL	1,000.
BARCC 90 BISHOP ALLEN DRIVE CAMBRIDGE, MA 02139	NONE	PUBLIC CHARITY	GENERAL	2,000.
BETH ISRAEL HOSPITAL 555 W. 57TH ST., 18TH FL NEW YORK, NY 10019	NONE	PUBLIC CHARITY	GENERAL	5,000.
BIKE FOR BANCROFT 1255 CALDWELL ROAD CHERRY HILL, NJ 08034	NONE	PUBLIC CHARITY	GENERAL	500.
BLYTHESDALE CHILDRENS HOSPITAL 95 BRADHURST AVENUE VALHALLA, NY 10595	NONE	PUBLIC CHARITY	GENERAL	1,000.
BREAST CANCER EMERGENCY FUND 12 GRACE STREET, SUITE 300 SAN FRANCISCO, CA 94103	NONE	PUBLIC CHARITY	GENERAL	2,000.
BREAST CANCER RESEARCH FOUNDATION 60 EAST 56TH STREET, 8TH FL NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				238,718.

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CAMP INTERACTIVE 2865 UNIVERSITY AVE, E-3 BRONX, NY 10468	NONE	PUBLIC CHARITY	GENERAL	1,500.
CAPS PO BOX 176 ROSLYN, NY 11576	NONE	PUBLIC CHARITY	GENERAL	300.
CHILD CARE COUNCIL OF WESTCHESTER 313 CENTRAL PARK AVENUE SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	GENERAL	250.
CHILDRENS HOSPITAL OF LA 4650 SUNSET BLVD., #29 LOS ANGELES, CA 90027	NONE	PUBLIC CHARITY	GENERAL	5,000.
CROHN'S & COLITIS FOUNDATION 733 THIRD AVENUE, SUITE 510 NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL	1,000.
DEPT. OF PEDIATRICS MT. SINAI 1184 5TH AVE. NEW YORK, NY 10029	NONE	PUBLIC CHARITY	GENERAL	1,000.
DIABETES RESEARCH INSTITUTE FOUNDATION 200 SOUTH PARAK ROAD, STE 100 HOLLYWOOD, FL 33021	NONE	PUBLIC CHARITY	GENERAL	1,000.
DOCTORS WITHOUT BORDERS 333 SEVENTH AVE, 2ND FL NEW YORK, NY 10001	NONE	PUBLIC CHARITY	GENERAL	1,000.
EMILY SHANE FOUNDATION 2893 SEARIDGE ST. MALIBU, CA 90265	NONE	PUBLIC CHARITY	GENERAL	500.
GILDAS PLACE 80 MAPLE AVENUE WHITE PLAINS, NY 10601	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GREEN CHIMNEY'S 400 DOANSBURG RD BREWSTER, NY 10509	NONE	PUBLIC CHARITY	GENERAL	1,000.
HABITAT FOR HUMANITY - NYC 111 JOHN STREET, 23RD FLOOR NEW YORK, NY 10038	NONE	PUBLIC CHARITY	GENERAL	1,000.
HEAL THE BAY 1444 9TH STREET SANTA MONICA, CA 90401	NONE	PUBLIC CHARITY	GENERAL	1,000.
HEARING CHARITIES OF AMERICA 1912 EAST MEYER BLVD KANSAS CITY, MO 64132	NONE	PUBLIC CHARITY	GENERAL	2,000.
HILLEL OF BROWARD COUNTY 777 GLADES RD, BLDG LY-3A BOCA RATON, FL 33431	NONE	PUBLIC CHARITY	GENERAL	15,000.
IRAQ AND AFGHANISTAN VETERANS OF AMERICA 292 MADISON AVE, 10TH FL NEW YORK, NY 10017	NONE	PUBLIC CHARITY	GENERAL	1,000.
ISRAEL GUIDE DOG CENTER FOR THE BLIND 968 EASTON ROAD, SUITE H WARRINGTON, PA 18976	NONE	PUBLIC CHARITY	GENERAL	500.
ISRAELI TENNIS CENTERS FOUNDATION 3275 WEST HILLSBORO BLVD, SUITE 102 DEERFIELD BEACH, FL 33442	NONE	PUBLIC CHARITY	GENERAL	500.
JEWISH FAMILY SERVICE OF LOS ANGELES 3580 WILSHIRE BLVD, STE 700 LOS ANGELES, CA 90010	NONE	PUBLIC CHARITY	GENERAL	1,000.
JEWISH FEDERATION - L.A. 6505 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	GENERAL	7,500.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
JEWISH FEDERATION - SPBC 300 GRAND AVENUE OAKLAND, CA 94610	NONE	PUBLIC CHARITY	GENERAL	27,000.
JEWISH PARTISAN EDUCATIONAL FOUNDATION 2107 VAN NESS AVENUE, SUITE 302 SAN FRANCISCO, CA 94109	NONE	PUBLIC CHARITY	GENERAL	1,000.
JEWISH VOCATIONAL SERVICES 6505 WILSHIRE BLVD LOS ANGELES, CA 90048	NONE	PUBLIC CHARITY	GENERAL	500.
JEWISH WOMEN FOUNDATION 30 S. WELLS STREET CHICAGO, IL 60606	NONE	PUBLIC CHARITY	GENERAL	500.
KIDS IN DISTRESS 819 NE 26TH STREET FORT LAUDERDALE, FL 33305	NONE	PUBLIC CHARITY	GENERAL	1,500.
LETS GET READY 50 BROADWAY, SUITE 806 NEW YORK, NY 10004	NONE	PUBLIC CHARITY	GENERAL	13,000.
LONG ISLAND WINS PO BOX 210 OLD WESTBURY, NY 11568	NONE	PUBLIC CHARITY	GENERAL	1,000.
LUNGEVITY FOUNDATION 218 S. WABASH AVE., SUITE 540 CHICAGO, IL 60604	NONE	PUBLIC CHARITY	GENERAL	500.
LYMPHATIC EDUCATION & RESEARCH NETWORK 261 MADISION AVE., 10TH FL NEW YORK, NY 10016	NONE	PUBLIC CHARITY	GENERAL	1,000.
MEALS ON WHEELS 100 GARDEN CITY PLAZA, SUITE 100 GARDEN CITY, NY 11530	NONE	PUBLIC CHARITY	GENERAL	5,000.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
METRO CARERING PO BOX 300459 DENVER, CO 80203	NONE	PUBLIC CHARITY	GENERAL	2,000.
METROPOLITAN MUSEUM OF ART 1000 5TH AVE. NEW YORK, NY 10028	NONE	PUBLIC CHARITY	GENERAL	4,000.
MOTHER BEAR PROJECT PO BOX 62188 MINNEAPOLIS, MN 55426	NONE	PUBLIC CHARITY	GENERAL	500.
MUCH LOVE ANIMAL RESCUE PO BOX 341721 LOS ANGELES, CA 90034	NONE	PUBLIC CHARITY	GENERAL	1,000.
NATIONAL COUNCIL OF JEWISH WOMEN 475 RIVERSIDE DRIVE NEW YORK, NY 10115	NONE	PUBLIC CHARITY	GENERAL	16,000.
NEW ROCHELLE HUMANE SOCIETY 70 PORTMAN RD NEW ROCHELLE, NY 10801	NONE	PUBLIC CHARITY	GENERAL	500.
NEW YORK - PRESBYTERIAN HOSPITAL 654 WEST 170TH STREET NEW YORK, NY 10032	NONE	PUBLIC CHARITY	GENERAL	2,500.
NEW YORK PUBLIC LIBRARY FIFTH AVENUE & 42ND ST NEW YORK, NY 10018	NONE	PUBLIC CHARITY	GENERAL	5,000.
NY/NJ TRAIL CONFERENCE 156 RAMAPO VALLEY ROAD MAHWAH, NJ 07430	NONE	PUBLIC CHARITY	GENERAL	250.
PACIFIC PALISADES WOMAN'S CLUB 901 HAVERFORD AVE. PACIFIC PLSDS, CA 90272	NONE	PUBLIC CHARITY	GENERAL	1,500.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLEASANTVILLE COTTAGE SCHOOL 1075 BROADWAY PLEASANTVILLE, NY 10570	NONE	PUBLIC CHARITY	GENERAL	1,000.
PROJECT ALS 801 RIVERSIDE DRIVE, ST 6G NEW YORK, NY 10032	NONE	PUBLIC CHARITY	GENERAL	5,000.
PROMISES FOUNDATION PO BOX 662087 LOS ANGELES, CA 90066	NONE	PUBLIC CHARITY	GENERAL	5,000.
RONALD MCDONALD HOUSE 405 EAST 73RD ST NEW YORK, NY 10021	NONE	PUBLIC CHARITY	GENERAL	1,000.
SCARSDALE VOLUNTEER 5 WEAVER STREET SCARSDALE, NY 10583	NONE	PUBLIC CHARITY	GENERAL	1,000.
SMILE TRAIN 41 MADISON AVENUE, 28TH FLOOR NEW YORK, NY 10010	NONE	PUBLIC CHARITY	GENERAL	500.
SMILING KIDS INC. 11 MAIN ST., STE 12 SOUTHBOROUGH, MA 01772	NONE	PUBLIC CHARITY	GENERAL	2,000.
SPCA OF WESTCHESTER 590 NORTH STATE ROAD BRIARCLIFF MANOR, NY 10510	NONE	PUBLIC CHARITY	GENERAL	500.
STOP CANCER 2566 OVERLAND AVE., STE 790 LOS ANGELES, CA 90064	NONE	PUBLIC CHARITY	GENERAL	2,500.
SUNNY NEW PALTZ - DORSKY MUSEUM 1 HAWK DRIVE NEW PALTZ, NY 12561	NONE	PUBLIC CHARITY	GENERAL	500.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
TEMPLE BNAI SHALOM 15 FERN RD EAST BRUNSWICK, NJ 08816	NONE	PUBLIC CHARITY	GENERAL	3,500.
TEMPLE ISRAEL 112 E. 75TH ST. NEW YORK, NY 10021	NONE	PUBLIC CHARITY	GENERAL	1,900.
TEMPLE SINAI 120 HARBOR HILL ROAD EAST HILLS, NY 11577	NONE	PUBLIC CHARITY	GENERAL	11,518.
THE FUND FOR SYRACUSE 820 COMSTOCK AVENUE SYRACUSE, NY 13244	NONE	PUBLIC CHARITY	GENERAL	5,000.
THE INN 211 FULTON AVENUE HEMPSTEAD, NY 11550	NONE	PUBLIC CHARITY	GENERAL	1,500.
THEATER PALISADES 941 TEMESCAL CANYON ROAD PACIFIC PALISADES, CA 90272	NONE	PUBLIC CHARITY	GENERAL	1,500.
TUFTS UNIVERSITY PARENTS FUND PO BOX 3306 BOSTON, MA 02241	NONE	PUBLIC CHARITY	GENERAL	1,500.
UC BERKELEY HILLEL 2736 BANCROFT WAY BERKELEY, CA 94704	NONE	PUBLIC CHARITY	GENERAL	1,000.
UJA FEDERATION OF NEW YORK 130 EAST 59TH STREET NEW YORK, NY 10022	NONE	PUBLIC CHARITY	GENERAL	32,000.
UNITED WAY 819 GRAND BOULEVARD DEER PARK, NY 11729	NONE	PUBLIC CHARITY	GENERAL	1,000.
Total from continuation sheets				

THE YASPAN-UNTERBERG FOUNDATION, INC.
C/O RICHARD YASPAN

13-3608916

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
USC - JEWISH LEADERSHIP FUND UNIVERSITY OF SOUTHERN CALIFORNIA LOS ANGELES, CA 90089	NONE	PUBLIC CHARITY	GENERAL	5,000.
USC HILLEL FOUNDATION 3300 SOUTH HOOVER STREET LOS ANGELES, CA 90007	NONE	PUBLIC CHARITY	GENERAL	1,000.
WESELYAN ANNUAL FUND 318 HIGH STREET MIDDLETOWN, CT 06459	NONE	PUBLIC CHARITY	GENERAL	500.
WHITE PLAINS HOSPITAL 41 EAST POST ROAD WHITE PLAINS, NY 10601	NONE	PUBLIC CHARITY	GENERAL	1,000.
WOMEN HELPING YOUTH 15332 ANTIOCH STREET #736 PACIFIC PALISADES, CA 90272	NONE	PUBLIC CHARITY	GENERAL	3,000.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
DIVIDEND & INTEREST	81,524.	0.	81,524.	81,524.	
TO PART I, LINE 4	81,524.	0.	81,524.	81,524.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
RAICH ENDE MALTER	7,000.	3,500.		3,500.
TO FORM 990-PF, PG 1, LN 16B	7,000.	3,500.		3,500.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	1,577.	1,577.		0.
FEDERAL EXCISE TAXES	21,866.	0.		0.
TO FORM 990-PF, PG 1, LN 18	23,443.	1,577.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BANK CHARGES	25.	0.		25.
INVESTMENT ADV FEES	17,425.	17,425.		0.
NYS FILING FEE	250.	0.		250.
TO FORM 990-PF, PG 1, LN 23	17,700.	17,425.		275.

FORM 990-PF	CORPORATE STOCK	STATEMENT	5
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	1,308,108.	1,952,046.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,308,108.	1,952,046.

FORM 990-PF	CORPORATE BONDS	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT ATTACHED	822,029.	814,170.
TOTAL TO FORM 990-PF, PART II, LINE 10C	822,029.	814,170.

NEUBERGER BERMAN

Account No: 541-26799
 Account Name: YASPAN-UNTERBERG FDN INC
 Recipient's Identification Number: 13-3608916
 Account Executive No: 345

CORRECTED: 03/28/15

NEUBERGER BERMAN LLC
 OH4-RM00
 P.O. BOX 183211
 COLUMBUS, OH 43218
 FOR UNDELIVERABLE MAIL ONLY

2014 Supplemental Gain/(Loss) Information
 Short-Term Gain/(Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol CUSIP	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
08/19/14	H 09/18/14	***ALIBABA GROUP HOLDING LTD SPONSORED ADR	BABA 01609W102	300.00000	28,334.46	20,400.00	7,934.46
06/04/14	H 08/30/13	C R BARD INC	BCR 067383109	25.00000	3,655.50	2,880.54	774.96
06/03/14	H 08/30/13	C R BARD INC	BCR 067383109	150.00000	21,922.30	17,283.23	4,639.07
03/11/14	H 11/14/13	GENERAL MOTORS COMPANY	GM 37045V100	1,750.00000	62,208.96	67,458.82	(5,249.86)
01/27/14	H 01/16/14	***GASLOG LTD	GLOG G37585109	625.00000	12,594.47	9,843.75	2,750.72
01/28/14	H 01/16/14	***GASLOG LTD	GLOG G37585109	625.00000	13,124.65	9,843.75	3,280.90
12/08/14	H 01/15/14	IHS INC CLA	IHS 451734107	150.00000	18,248.53	17,573.33	675.20
11/04/14	H 06/05/14	IAC / INTERACTIVE CORP	IACI 44919P508	1,000.00000	67,666.70	66,378.40	1,288.30
10/20/14	H 04/04/14	IMS HEALTH HOLDINGS INC COM	IMS 44970B109	1,100.00000	27,727.41	22,000.00	5,727.41
10/03/14	H 01/07/14	INTUIT INC	INTU 461202103	250.00000	21,308.63	19,248.18	2,060.45
05/05/14	H 10/03/13	JPMORGAN CHASE & CO	JPM 46625H100	1,350.00000	73,125.72	69,963.35	3,162.37
09/19/14	H 07/11/14	LAREDO PETROLEUM INC	LPI 516806106	3,000.00000	68,873.67	85,496.40	(16,622.73)
12/04/14	H 10/02/14	QEP RESOURCES INC	QEP 74733V100	625.00000	13,842.38	18,587.44	(4,745.06)

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

NEUBERGER BERMAN

Account No 541-26799
 Account Name YASPAN-UNTERBERG FDN INC
 Recipient's Identification Number 13-3608916
 Account Executive No 345
 CORRECTED 03/28/15

NEUBERGER BERMAN LLC
 OH4-RM00
 P.O. BOX 183211
 COLUMBUS, OH 43218
 FOR UNDELIVERABLE MAIL ONLY

2014 Supplemental Gain/(Loss) Information (continued)
Short-Term Gain/(Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
12/12/14	H	QEP RESOURCES INC	QEP	1,875 00000	36,787 81	55,762.31	(18,974.50)
			74733V100				
05/13/14	H	STARWOOD WAYPOINT RESIDENTIAL TRUST	SWAY	550 00000	14,851.76	15,862.00	(1,010.24)
			85571W109				
*11/20/14	H	***SEADRILL PARTNERS LLC COM UNIT REPSTG LTD LIABILITY CO INT	SDLP	750.00000	16,446.76	20,588.36	(4,142.60)
			Y7545W109				
12/18/14	H	TRIBUNE MEDIA COMPANY CL A	TRCO	650 00000	37,802 51	46,458.28	(8,655.77)
			896047503				
08/19/14	H	TRIBUNE PUBLISHING COMPANY COM	TPUB	10 00000	199.28	187.93	11.35
			896082104				
08/20/14	H	TRIBUNE PUBLISHING COMPANY COM	TPUB	18 00000	353 67	338.27	15.40
			896082104				
08/22/14	H	TRIBUNE PUBLISHING COMPANY COM	TPUB	22 00000	436.89	413.44	23.45
			896082104				
09/08/14	H	TRIBUNE PUBLISHING COMPANY COM	TPUB	40 00000	794.34	751.71	42.63
			896082104				
08/21/14	H	TRIBUNE PUBLISHING COMPANY COM	TPUB	72 00000	1,416.75	1,353.07	63.68
			896082104				
03/26/14	H	DELPHI CORP SR NT 5 875%19	24713EAC1	100,000 00000	105,125 00	105,649.42	(524.42)
03/11/14	H	REGAL CINEMAS CORP GTD SR NT 19	758753AD9	75,000.00000	80,304.75	80,737.13	(432.38)
24 ITEMS - Total Short-Term Not Reported Transactions Gain/(Loss)					727,162.90	755,060.11	(27,907.21)

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Account No: 541-26799
 Account Name: YASPAN-UNTERBERG FDN INC
 Recipient's Identification Number: 13-3608916
 Account Executive No: 345
 CORRECTED: 03/28/15

NEUBERGER BERMAN LLC
 OH4-RM00
 P.O. BOX 183211
 COLUMBUS, OH 43218
 FOR UNDELIVERABLE MAIL ONLY

2014 Supplemental Gain/(Loss) Information
Long-Term Gain/(Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
12/10/14 H	03/19/13	AMAZON.COM INC	AMZN 023135106	100.00000	30,639.48	25,672.24	4,967.24
12/09/14 H	11/08/10	BLACKROCK INC	BLK 09247X101	100.00000	36,183.20	16,995.46	19,187.74
12/04/14 H	08/29/13	BORG WARNER AUTOMOTIVE INC	BWA 099724106	250.00000	14,585.17	12,262.46	2,322.71
07/30/14 H	07/05/12	BOEING CO	BA 097023105	100.00000	12,233.64	7,442.69	4,790.95
07/30/14 H	10/23/12	BOEING CO	BA 097023105	400.00000	48,934.55	29,135.28	19,799.27
04/14/14 H	10/30/09	COUSINS PROPERTY INCORPORATED 7.5% PFD SER B	222795403	1,000.00000	25,000.00	20,000.00	5,000.00
12/16/14 H	02/11/13	CALPINE CORPORATION	CPN 131347304	1,000.00000	20,354.45	19,989.20	365.25
12/16/14 H	09/10/12	CALPINE CORPORATION	CPN 131347304	3,500.00000	71,240.57	61,448.10	9,792.47
05/05/14 H	10/27/11	EBAY INC	EBAY 278642103	850.00000	44,248.07	27,508.95	16,739.12
06/05/14 H	01/17/13	EXPRESS SCRIPTS HOLDING COMPANY	ESRX 30219G108	50.00000	3,552.23	2,758.43	793.80
07/18/14 H	01/18/13	EXPRESS SCRIPTS HOLDING COMPANY	ESRX 30219G108	100.00000	6,584.44	5,501.84	1,082.60
07/17/14 H	01/17/13	EXPRESS SCRIPTS HOLDING COMPANY	ESRX 30219G108	200.00000	13,167.21	11,033.70	2,133.51
07/17/14 H	01/18/13	EXPRESS SCRIPTS HOLDING COMPANY	ESRX 30219G108	400.00000	26,334.41	22,007.38	4,327.03

If you are required to file a return, a negligence penalty or other sanctions may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

Account No: 541-26799
 Account Name: YASPAN-UNTERBERG FDN INC
 Recipient's Identification Number: 13-3808916
 Account Executive No: 345
 CORRECTED: 03/28/15

NEUBERGER BERMAN LLC
 OH4-RM00
 P.O. BOX 183211
 COLUMBUS, OH 43218
 FOR UNDELIVERABLE MAIL ONLY

2014 Supplemental Gain/(Loss) Information (continued)
 Long-Term Gain/(Loss) from Transactions not Reported to IRS

Date of Sale or Exchange	Date of Acquisition	Description	Symbol	Quantity	Gross Proceeds Less Commission and Option Premium	Cost or Other Basis	REALIZED GAIN/(LOSS)
12/08/14	H 10/09/13	IHS INC CLA	IHS-451734107	75.00000	9,124.27	8,210.15	914.12
10/03/14	H 09/25/13	INTUIT INC	INTU-461202103	25.00000	2,130.86	1,658.20	472.66
10/15/14	H 11/02/11	KANSAS CITY SOUTHERN	KSU-485170302	450.00000	51,140.28	28,442.38	22,697.90
06/04/14	H 02/15/13	PIONEER NATURAL RESOURCES COMPANY	PXD-723787107	100.00000	21,415.48	12,800.00	8,615.48
07/03/14	H 04/01/13	PIONEER NATURAL RESOURCES COMPANY	PXD-723787107	175.00000	39,308.24	21,569.12	17,739.12
07/03/14	H 02/15/13	PIONEER NATURAL RESOURCES COMPANY	PXD-723787107	175.00000	39,308.25	22,400.00	16,908.25
*11/20/14	H 10/23/12	SEADRILL PARTNERS LLC COM UNIT REPSTG LTD LIABILITY CO INT	SDLP-Y7545W109	250.00000	5,482.25	5,047.85	434.40
11/20/14	H 10/22/10	REGENCY ENERGY PARTNERS LP / REGENCY ENERGY FIN CORP SR NT	75866AAE8	25,000.00000	25,875.00	25,854.44	20.56
03/11/14	H 04/19/12	REGAL CINEMAS CORP GTD SR NT 19	758753AD9	25,000.00000	26,768.25	27,301.28	(533.03)
22 ITEMS - Total Long-Term Not Reported Transactions Gain/(Loss)					573,610.30	415,039.15	158,571.15

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