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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.
► Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014, or tax year beginning , 2014, and ending ,

Name of foundation
THE DAVID ROCKEFELLER FUND, INC.

A Employer identification number
13-3533359

Number and street (or P O box number if mail is not delivered to street address) | Room/suite
475 RIVERSIDE DRIVE | 900

B Telephone number (see instructions)
(212) 812-4200

City or town, state or province, country, and ZIP or foreign postal code
NEW YORK NY 10115

C If exemption application is pending, check here. ► ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1 Foreign organizations, check here . . . . . ► ☐

2 Foreign organizations meeting the 85% test, check here and attach computation . . . . . ► ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here . . . . ► ☐

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
► $ 5,959,035.

J Accounting method ☐ Cash ☒ Accrual ☐ Other (specify)
(Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . . . . ► ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc, received (attach schedule)	1,250,000.			
2 Ck ► ☐ if the foundn is not required to attach Sch B				
3 Interest on savings and temporary cash investments	141.	141.		
4 Dividends and interest from securities	94,480.	103,486.		
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	354,472.			
b Gross sales price for all assets on line 6a 467,666.				
7 Capital gain net income (from Part IV, line 2)		408,200.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) See Line 11 Stmt		-3,957.		
12 Total Add lines 1 through 11	1,699,093.	507,870.		
13 Compensation of officers directors trustees etc				
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) L-16a Stmt	8,389.			
b Accounting fees (attach sch) L-16b Stmt	27,100.	2,710.		4,400.
c Other prof fees (attach sch) L-16c Stmt	73,826.	69,486.		
17 Interest				
18 Taxes (attach schedule)(see instrs) See Line 18 Stmt	4,299.	8,554.		
19 Depreciation (attach sch) and depletion				
20 Occupancy				
21 Travel conferences, and meetings	5,057.			4,976.
22 Printing and publications				
23 Other expenses (attach schedule) See Line 23 Stmt	33,578.	1,148.		31,684.
24 Total operating and administrative expenses Add lines 13 through 23	152,249.	81,898.		55,150.
25 Contributions gifts grants paid	1,466,500.			1,454,500.
26 Total expenses and disbursements Add lines 24 and 25	1,618,749.	81,898.		1,509,650.
27 Subtract line 26 from line 12: a Excess of revenue over expenses and disbursements	80,344.			
b Net investment income (if negative, enter -0-)		425,972.		
c Adjusted net income (if negative, enter -0-)				

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Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions))		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing	72,798.	82,286.	82,286.
	2 Savings and temporary cash investments	182,560.	155,963.	155,963.
	3 Accounts receivable ► 300.			
	Less allowance for doubtful accounts ►	0.	300.	300.
	4 Pledges receivable ►			
	Less allowance for doubtful accounts ►			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) ►			
	Less allowance for doubtful accounts ►			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
	11 Investments — land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) L-13 Stmt	5,648,598.	5,720,486.	5,720,486.
	14 Land, buildings, and equipment basis ►			
	Less accumulated depreciation (attach schedule) ►			
	15 Other assets (describe ►)			
	16 **Total assets** (to be completed by all filers — see the instructions Also, see page 1, item I)	5,903,956.	5,959,035.	5,959,035.
LIABILITIES	17 Accounts payable and accrued expenses	81,781.	101,693.	
	18 Grants payable SEE STATEMENT 6	14,500.	19,000.	
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ►)			
	23 **Total liabilities** (add lines 17 through 22)	96,281.	120,693.	
NET ASSETS OR FUND BALANCES	**Foundations that follow SFAS 117, check here ► [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted	5,807,675.	5,838,342.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ► [ ] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	5,807,675.	5,838,342.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	5,903,956.	5,959,035.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	5,807,675.
2	Enter amount from Part I, line 27a	2	80,344.
3	Other increases not included in line 2 (itemize) ► UNREALIZED DEPRECIATION ON INVESTMENTS	3	-50,275.
4	Add lines 1, 2, and 3	4	5,837,744.
5	Decreases not included in line 2 (itemize) ► DEFERRED FEDERAL EXCISE TAX	5	-598.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	5,838,342.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1a	See Statement 2			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
	(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) . . . { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } . . .	2	408,200.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . .	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? . . . . . ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2013	1,578,465.	5,592,605.	0.282241
2012	1,378,629.	4,819,300.	0.286064
2011	1,510,145.	4,865,255.	0.310394
2010	1,427,677.	4,786,973.	0.298242
2009	818,225.	4,104,464.	0.199350

2	**Total** of line 1, column (d)	2	1.376291
3	Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.275258
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	6,222,196.
5	Multiply line 4 by line 3	5	1,712,709.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,260.
7	Add lines 5 and 6	7	1,716,969.
8	Enter qualifying distributions from Part XII, line 4	8	1,509,650.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 — see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► ☐ and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary — see instrs)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► ☐ and enter 1% of Part I, line 27b	1	8,519.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0.
3	Add lines 1 and 2	3	8,519.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	8,519.
6	Credits/Payments		
a	2014 estimated tax pmts and 2013 overpayment credited to 2014 — 6a: 4,200.		
b	Exempt foreign organizations — tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d:		
7	Total credits and payments. Add lines 6a through 6d	7	4,200.
8	Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached	8	77.
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ►	9	4,396.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ►	10	0.
11	Enter the amount of line 10 to be **Credited to 2015 estimated tax** ► **Refunded** ►	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	*If the answer is 'Yes' to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► $ 0. (2) On foundation managers ► $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		X
	If 'Yes,' attach a detailed description of the activities			
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If 'Yes,' attach a conformed copy of the changes* SEE STATEMENT 8	3	X	
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If 'Yes,' has it filed a tax return on **Form 990-T** for this year? SEE STATEMENT 4	4b		X
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		X
	If 'Yes,' attach the statement required by General Instruction T.			
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If 'Yes,' complete Part II, column (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY - New York			
b	If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If 'No,' attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If 'Yes,' complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If 'Yes,' attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions) SEE STATEMENT 4	12	X	
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► N/A

14 The books are in care of ► Lukas Haynes Telephone no ► (212) 812-4224

Located at ► 475 RIVERSIDE DRIVE, SUITE 900 NEW YORK NY ZIP + 4 ► 10115

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** – Check here ► ☐

and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X

See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If 'Yes,' enter the name of the foreign country ►

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly)				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☒ Yes	☐ No		
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No		
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No		
	(6) Agree to pay money or property to a government official? (**Exception.** Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No		
b	If any answer is 'Yes' to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?			1b	X
	Organizations relying on a current notice regarding disaster assistance check here ► ☐				
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?			1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes	☒ No		
	If 'Yes,' list the years ► 20__, 20__, 20__, 20__				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer 'No' and attach statement – see instructions) N/A			2b	
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ► 20__, 20__, 20__, 20__				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No		
b	If 'Yes,' did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014)* N/A			3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?			4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?			4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to			
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No			
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No			
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No			
(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No			
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No			
b If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A	**5b**		
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No			
If 'Yes,' attach the statement required by Regulations section 53 4945-5(d)			
6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No			
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		X
If 'Yes' to 6b, file Form 8870			
7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No			
b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? N/A	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 5				

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ None

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services . . . . . ▶ None

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	0.
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	0.
2	
All other program-related investments See instructions 3 NONE	0.
Total. Add lines 1 through 3 . . . . . ▶	None

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes		
a	Average monthly fair market value of securities	1a	5,816,535.
b	Average of monthly cash balances	1b	500,415.
c	Fair market value of all other assets (see instructions)	1c	
d	**Total** (add lines 1a, b, and c)	1d	6,316,950.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	6,316,950.
4	Cash deemed held for charitable activities Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	94,754.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	6,222,196.
6	**Minimum investment return.** Enter 5% of line 5	6	311,110.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	311,110.
2a	Tax on investment income for 2014 from Part VI, line 5	2a 8,519.		
b	Income tax for 2014 (This does not include the tax from Part VI)	2b		
c	Add lines 2a and 2b		2c	8,519.
3	Distributable amount before adjustments Subtract line 2c from line 1		3	302,591.
4	Recoveries of amounts treated as qualifying distributions		4	
5	Add lines 3 and 4		5	302,591.
6	Deduction from distributable amount (see instructions)		6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1		7	302,591.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes		
a	Expenses, contributions, gifts, etc – total from Part I, column (d), line 26	1a	1,509,650.
b	Program-related investments – total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,509,650.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	1,509,650.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				302,591.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years 20 ___, 20 ___, 20 ___				
3 Excess distributions carryover, if any, to 2014				
a From 2009 613,002.				
b From 2010 1,191,862.				
c From 2011 1,266,764.				
d From 2012 1,143,142.				
e From 2013 1,309,533.				
f **Total** of lines 3a through e	5,524,303.			
4 Qualifying distributions for 2014 from Part XII, line 4 ► $ 1,509,650.				
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required — see instructions)		0.		
c Treated as distributions out of corpus (Election required — see instructions)	0.			
d Applied to 2014 distributable amount				302,591.
e Remaining amount distributed out of corpus	1,207,059.			
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	6,731,362.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required — see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	613,002.			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	6,118,360.			
10 Analysis of line 9				
a Excess from 2010 1,191,862.				
b Excess from 2011 1,266,764.				
c Excess from 2012 1,143,142.				
d Excess from 2013 1,309,533.				
e Excess from 2014 1,207,059.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ►

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year (a) 2014	Prior 3 years (b) 2013	(c) 2012	(d) 2011	(e) Total
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test – enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test – enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test – enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year – see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ► [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE STATEMENT 7				
Total . . . . . ► **3a**				1,454,500.
b *Approved for future payment*				
SEE STATEMENT 7				
Total . . . . . ► **3b**				26,500.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e)
	(a) Business code	(b) Amount	(c) Exclu-sion code	(d) Amount	Related or exempt function income (See instructions)
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	141.	
4 Dividends and interest from securities	525990	421.	14	94,059.	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	525990	-152.	18	152.	
8 Gain or (loss) from sales of assets other than inventory	525990	56.	18	354,416.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue					
a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		325.		448,768.	
13 **Total.** Add line 12, columns (b), (d), and (e)					13 449,093.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
	NOT APPLICABLE

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash	1 a (1)		X
(2) Other assets	1 a (2)		X
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization	1 b (1)		X
(2) Purchases of assets from a noncharitable exempt organization	1 b (2)		X
(3) Rental of facilities, equipment, or other assets	1 b (3)		X
(4) Reimbursement arrangements	1 b (4)		X
(5) Loans or loan guarantees	1 b (5)		X
(6) Performance of services or membership or fundraising solicitations	1 b (6)		X
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1 c		X

d If the answer to any of the above is 'Yes,' complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2 a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No

b If 'Yes,' complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee | Date 10/28/15 | Title Executive Director

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Devin L. Duncan	Devin Duncan	10/26/15		P01249521

Firm's name ▶ KPMG LLP | Firm's EIN ▶ 13-5565207

Firm's address ▶ 345 PARK AVENUE
NEW YORK NY 10154-0102 | Phone no (212) 758-9700

INDEX OF SCHEDULES

Schedule of Contributions, Gifts, Grants Received	**Schedule B**
Schedule of Expenditures - Taxes Part I, line 18	**Statement 1**
Schedule of Expenditures - Other Expenses Part I, line 23	**Statement 1**
Schedule of Capital Gain Net Income/(Loss) Part I and Part IV, line 6a	**Statement 2**
Schedule of Other Income Part I, line 11	**Statement 3**
Schedule of Expenditures Part I, line 16	**Statement 1**
Schedule of Investments - Other Part II, line 13	**Statement 1**
Statement of Activities Part VII-A, line 4b and line 12	**Statement 4**
Compensation of Officers, Directors & Trustees Part VIII, line 1	**Statement 5**
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Balance Sheets: Liabilities Part II, line 18	**Statement 6**
Detail of Grants Approved and Paid during the year Part XV, 3a and 3b. Part I, line 25	**Statement 7**
Comformed Copy of Amendment of Bylaws Part VII-A, line 3	**Statement 8**

Form 990-PF, Page 1, Part I, Line 11
Line 11 Stmt

Other income:	Rev/Exp Book	Net Inv Inc	Adj Net Inc
See Statement 3			
Other Income from Limited Partnerships		-3,957.	
Total		-3,957.	

Form 990-PF, Page 1, Part I, Line 18
Line 18 Stmt

Taxes	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FEDERAL EXCISE TAX	4,299.			
FOREIGN TAXES PAID-THROUGH LIMITED PARTNERSHIPS		8,554.		
Total	4,299.	8,554.		

Form 990-PF, Page 1, Part I, Line 23
Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
REIMBURSEMENT OF ACCT. SERVICE	11,476.	1,148.		10,197.
Website Development	9,869.			9,849.
Dues & Memberships	7,005.			7,005.
GENERAL OFFICE EXPENSE	5,228.			4,633.
Total	33,578.	1,148.		31,684.

Form 990-PF, Page 1, Part I
Line 16a - Legal Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Patterson, Belknap, Webb & Tyler	Legal counsel employee benefits	8,389.			
Total		8,389.			

Form 990-PF, Page 1, Part I
Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
KPMG LLP	Audit & Tax Services	27,100.	2,710.		18,490.
Total		27,100.	2,710.		18,490.

Form 990-PF, Page 1, Part I
Line 16c - Other Professional Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
L.F.Stephens	Employment suitability inquiry	465.			
ROCK & CO.	Investment Management	73,021.	69,486.		
ROCK & CO.	CUSTODY FEES	340.			
Total		73,826.	69,486.		

Form 990-PF, Page 2, Part II, Line 13
L-13 Stmt

Line 13 - Investments - Other:	End of Year Book Value	End of Year Fair Market Value
ROCKEFELLER GLOBAL EQUITY FUND	1,214,086.	1,214,086.
ROCKEFELLER ALTERNATIVE STRATEGIES FUND	14,009.	14,009.
ROCKEFELLER ACCESS FUND 06-1	541,269.	541,269.
ROCKEFELLER LIBRA FUND	3,409,891.	3,409,891.
JP MORGAN STRATEGIC OPPORTUNITIES FUND	0.	0.
ACCESS CAP	210,638.	210,638.
SELF HELP CREDIT UNION	50,534.	50,534.
Rockefeller Sustain & Impact	280,059.	280,059.
Total	5,720,486.	5,720,486.

DAVID ROCKEFELLER FUND, INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 2
FORM 990-PF
YEAR 2014

Schedule of Capital Gains and Losses

Part I, line 6a

	Gain or (Loss)
Libra Fund	$ 88,829
Rock & Co. Global Equity I	$ 255,512
Rock & Co. Alternative Strategies	$ -
Rock & Co. Access Fund	$ 8,025
Rock Sustain & Impact Fund, LP	$ 2,106
Net Realized Gain per Books (Total Part I, line 6a,col.(a))	**$ 354,472**

Add: Actual Net Capital Gain/(Loss) per limited partnerships (Schedule K-1 basis):

Libra Fund	90,641	
Rock & Co. Global Equity I	257,225	
Rock & Co. Alternative Strategies	(9)	
Rock & Co. Access Fund	58,237	
Rock Sustain & Impact Fund, LP	2,106	
		408,200
Less: Gain/(loss) from Partnerships previously reflected in books, for which K-1 values are now reflected on Form 990PF:		
Libra Fund		(88,829)
Rock & Co. Global Equity I		(255,512)
Rock & Co Alternative Strategies		0
Rock & Co Access Fund		(8,025)
Rock Sustain & Impact Fund, LP		(2,106)
Total Part IV, line 2		**$ 408,200**

DAVID ROCKEFELLER FUND, INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 3
FORM 990-PF
YEAR 2014

Schedule of Other Income

Part I, line 11, col. b

	Other Income
Libra Fund	$ (233)
Rock & Co. Global Equity I	$ (65)
Rock & Co. Alternative Strategies	$ 73
Rock & Co. Access Fund	$ (3,732)
Rock & Co. Sustainability & Impact SMID CAP Fund, LP	$ -
Other Income (Total Part I, line 11,col.(b))	**$ (3,957)**

DAVID ROCKEFELLER FUND, INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10015

STATEMENT 4
FORM 990-PF
YEAR 2014

STATEMENT REGARDING ACTIVITIES

Part VII-A, line 4b

2014 Tax Return on Form 990-T

David Rockefeller Fund, Inc will file a Form 990-T to report unrelated business income passed through from investments in limited partnerships In 2014, gross UBTI was less than $1,000

Part VII-A, line 12

2014 Distribution to Donor Advised Funds

Under the terms of donor advised fund ("DAF"), all amounts distributed to the DAF must be granted to one or more organizations qualifying under IRS Section 501(c)(3), so the recipient(s) of all such funds will qualify as organizations described in IRC Section 170(c)(2)(B) under the terms of the DAF.

Donor Advised Funds	Distribution Amount		Date
Rockefeller Philanthropy Advisors:			
The David Rockefeller Fund Trustee Giving - 4th & 5th Generations	$ 230,000.00	(1)	3/20/2014

(1) This amount was treated as a qualifying distribution by the David Rockefeller Fund in 2014

THE DAVID ROCKEFELLER FUND INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 5
FORM 990PF
2014

FORM 990PF, PART VIII, Line 1 - LIST OF OFFICERS, DIRECTORS , AND TRUSTEES

NAME AND ADDRESS	TITLE	Average Hours per Week Devoted to Position	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
NEVA GOODWIN 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	1	NONE	NONE	NONE
DAVID KAISER 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	2.5	NONE	NONE	NONE
MARNIE PILLSBURY 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE/ EXECUTIVE DIRECTOR	12	NONE	NONE	NONE
MICHAEL QUATTRONE 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE/CHAIR	4	NONE	NONE	NONE

THE DAVID ROCKEFELLER FUND INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 5
FORM 990PF
2014

FORM 990PF, PART VIII, Line 1 - LIST OF OFFICERS, DIRECTORS , AND TRUSTEES

NAME AND ADDRESS	TITLE	Average Hours per Week Devoted to Position	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
CAMILLA ROCKEFELLER 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	2.5	NONE	NONE	NONE
ARIANA ROCKEFELLER BUCKLIN 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	(1) TRUSTEE	1	NONE	NONE	NONE
DAVID ROCKEFELLER, JR. 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	(1) TRUSTEE	1.5	NONE	NONE	NONE
DANIEL GROWALD 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	1	NONE	NONE	NONE

THE DAVID ROCKEFELLER FUND INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 5
FORM 990PF
2014

FORM 990PF, PART VIII, Line 1 - LIST OF OFFICERS, DIRECTORS , AND TRUSTEES

NAME AND ADDRESS	TITLE	Average Hours per Week Devoted to Position	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JAMES SLIGAR 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE/TREASURER	1	NONE	NONE	NONE
BARRY WALKER 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	(1) TRUSTEE	1	NONE	NONE	NONE
CLAY ROCKEFELLER 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	(1) TRUSTEE	1	NONE	NONE	NONE
STEPHEN HEINTZ 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	1	NONE	NONE	NONE
PEGGY DULANY 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	1.5	NONE	NONE	NONE

THE DAVID ROCKEFELLER FUND INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 5
FORM 990PF
2014

FORM 990PF, PART VIII, Line 1 - LIST OF OFFICERS, DIRECTORS , AND TRUSTEES

NAME AND ADDRESS	TITLE	Average Hours per Week Devoted to Position	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
PAUL GROWALD 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	1	NONE	NONE	NONE
MICHAEL LAMBERT 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	1	NONE	NONE	NONE
ADAM GROWALD 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	TRUSTEE	1	NONE	NONE	NONE
MARIANNA SCHAFFER 30 ROCKEFELLER PLAZA, C/O ROOM 5600 NEW YORK , NY 10112	SECRETARY	35	NONE	NONE	NONE

Note: Rebecca Lambert, Richard Rockefeller, Miranda Kaiser, and Susan Rockefeller, their trustee's term expired 12/31/2013.

(1) Effective January 1, 2014.

DAVID ROCKEFELLER FUND, INC. **Form 990PF**
13-3533359 **Statement 6A**
DECEMBER 31, 2014

FORM 990-PF, PART XV - SUPPLEMENTARY INFORMATION

LINE 2 - Information Regarding Contributions, Grant, Gift, Loan, Scholarship, etc, Programs

The David Rockefeller Fund currently has four primary program areas: Arts, Criminal Justice, Environment, and Community. The Community grants program supports non-profit organizations annually in Maine, Westchester and Columbia Counties, New York.

The Arts program focuses on access and engagement; Criminal Justice promotes a more humane and fair criminal justice system; and Environment addresses climate change and local sustainability efforts.

In addition to the program areas outlined above, the Fund underwrites a number of initiatives designed to encourage family members' individual philanthropic involvement and interests, as well as collaborative grantmaking by the family

The Fund does not accept unsolicited proposals for any of its program areas.

DAVID ROCKEFELLER FUND, INC. **Form 990PF**
13-3533359 **Statement 6**
DECEMBER 31, 2014

FORM 990-PF, Part II - Balance Sheet: Liabilities

LINE 18 (a) & (b) - Grants Payable

Grant Reconciliation		
Grants Payable at 12/31/2013		$ 14,500
Add: Grants Awarded Through 12/31/14		
Discretionary (Trustee) [1]	230,000	
Community Grants [1]	219,500	
Program Grants [1]	992,500	
	1,442,000	
Matching Gifts [2]	24,500	
	1,466,500	
2014 Lapsed Grants	-	
		1,466,500
Less: Grants Paid Through 12/31/14		
Discretionary (Trustee) [1]	230,000	
Community Grants [1]	219,500	
Program Grants [1]	992,500	
	1,442,000	
Matching Gifts [2]	20,000	
	1,462,000	
2014 Returned Grant		
		1,462,000
Grants Payable at 12/31/14		$ 19,000

[1] See attached report from Gifts grant tracking program for detail

[2] Matching grants are not tracked in the Gifts program Rockefeller Financial Services is reimbursed for matching grants paid on DRF's behalf The amount for matching grants paid in 2014 includes $14,500 in matching grants for 2013 that were paid in 2014

GENERAL NOTES:

THE DAVID ROCKEFELLER FUND IS NOT RELATED TO ANY INDIVIDUAL RECIPIENT

ALL RECIPIENTS ARE QUALIFIED 501 (c) (3) ORGANIZATIONS, AND WE UNDERSTAND EACH RECIPIENT'S FOUNDATION STATUS IS PC.

THE PURPOSE OF THE GRANTS AWARDED BY THE DAVID ROCKEFELLER FUND FOCUS PRIMARILY ON EDUCATION, HEALTH AND SOCIAL SERVICE, COMMUNITY GROUPS ENVIRONMENTAL AND PRESERVATION ORGANIZATIONS, ARTS AND EDUCATION IN NEW YORK CITY, CRIMINAL JUSTICE AND CONSERVATION.

Organization	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
Discretionary Grants-RPA						
Rockefeller Philanthropy Advisors Melissa Berman 6 West 48th Street, Floor 10 New York, NY 10036-1802	230,000 00	Grant 230,000 00 Paid 230,000 00 Bal 0 00 Term 12 Months	230,000 00	DRF Trustee (Discretionary)	-	PC- Public Charity
Total Discretionary Grants-RPA (1 Grant)	***230,000.00***		***230,000.00***		-	
DRF Community Grants						
Abbe Museum Cinnamon Catlin-Legutko Tel (207) 288-3519 Fax (207) 288-8979 cinnamon@abbemuseum org P O Box 286 Bar Harbor, ME 04609	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 0 Months	1,000 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
Acadia Family Center Daniel C Johnson Tel (207) 244-4012 info@acadiafamilycenter org 1 Fernald Point Road P O Box 807 Southwest Harbor, ME 04679	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 0 Months	2,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Bar Harbor Fire Department Matthew Bartlett Tel (207) 288-5554 mbartlett@barharbormaine gov 37 Firefly Lane Bar Harbor, ME 04609	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Fire/Police Department)	-	PC- Public Charity
Bar Harbor Food Pantry Kate Maginn Sebelin Tel (207) 288-3375 bhpantry@gmail com 36 Mount Desert Street PO Box 434 Bar Harbor, ME 04609	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 0 Months	1,000 00	DRF Community (Community)	-	PC- Public Charity
Bar Harbor Music Festival Francis Fortier Tel (212) 222-1026 Fax (212) 222-3269 info@barharbormusicfestival org 741 West End Avenue, Suite 4-B New York, NY 10025	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 0 Months	1,500 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
Boy Scouts of America-Katahdin Area Council Marshall Steinmann Tel 207-866-2241 Fax (207) 866-3283 marshall steinmann@scouting org P O Box 1869 Bangor, ME 04402	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 0 Months	1,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Boy Scouts of America-Westchester Putnam Patrick Coviello Tel (914) 773-1135 Fax (914) 773-1411 pcoviello@wpcbsa org 41 Saw Mill River Road Hawthorne, NY 10532	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Caramoor Center for Music and the Arts Nina Curley Tel (914) 232-5035 ext 266 Fax (914) 232-5521 Nina@caramoor org P O Box 816 Katonah, NY 10536	2,000 00	Grant 2,000 00 Paid 2,000 00 Bal 0 00 Term 12 Months	2,000 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
Churchtown Fire Company #1 Joseph F Costa Tel (518) 851-5194 cfc@churchtownfire com 2219 County Rt 27 Hudson, NY 12534	500 00	Grant 500 00 Paid 500 00 Bal 0 00 Term 12 Months	500 00	DRF Community (Fire/Police Department)	-	PC- Public Charity

Organization	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Community Grants (continued)						
College of the Atlantic Lynn Boulger Tel (207) 288-5015 Fax (207) 288-3780 lboulger@coa edu 105 Eden Street Bar Harbor, ME 04609	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 0 Months	5,000 00	DRF Community (Education)	-	PC- Public Charity
College of the Atlantic-Summer Field Studies Lynn Boulger Tel (207) 288-5015 Fax (207) 288-3780 lboulger@coa edu 105 Eden Street Bar Harbor, ME 04609	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 0 Months	1,000 00	DRF Community (Education)	-	PC- Public Charity
Columbia Land Conservancy Inc Peter R Paden Tel (518) 392-5252 ext 213 Fax (518) 392-3099 PeterPaden@clctrust org P O Box 299, 49 Main Street Chatham, NY 12037	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Columbia-Greene Hospital Foundation Elizabeth Gramkow Tel (518) 828-8239 Fax (518) 828-8168 egramkow@cmh-net org 71 Prospect Avenue Hudson, NY 12534	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Health)	-	PC- Public Charity
Community Health and Counseling Services Diane C Nelson Tel 207-990-4730 TGoodridge@chcs-me org P O Box 425 Bangor, ME 04402-0425	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 0 Months	2,500 00	DRF Community (Health)	-	PC- Public Charity
Downeast Horizons Anthony Zambrano Tel (207) 667-7464 ext 19 Fax (207) 288-1056 azambrano@dehi org 1200 State Highway 3 Bar Harbor, ME 04609	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 0 Months	2,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Family Services of Westchester Mary Vinton Tel (914) 937-2320 Fax (914) 937-4902 mvinton@fsw org One Gateway Plaza Portchester, NY 10573	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Social Welfare)	-	PC- Public Charity
Family YMCA at Tarrytown Gerry Riera Tel (914) 631-4807 ext 17 Fax (914) 631-4841 gerryr@ymcatarrytown org 62 Main Street Tarrytown, NY 10591	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Farnsworth Art Museum Kit Stone Tel (207) 596-6457 Fax (207) 596-0509 kstone@farnsworthmuseum org 16 Museum Street Rockland, ME 04841	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
Food Bank for Westchester Ellen Lynch Tel 914-923-1100 Fax 914-923-1198 ellen lynch@foodbankforwestchester org 358 Saw Mill River Road Millwood, NY 10546	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Community)	-	PC- Public Charity

Organization	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Community Grants (continued)						
Friends of Acadia David MacDonald Tel (207) 288-3340 Fax (207) 288-8938 david@friendsofacadia org 43 Cottage Street, P O Box 45 Bar Harbor, ME 04609	10,000 00	Grant 10,000 00 Paid 10,000 00 Bal 0 00 Term 0 Months	10,000 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Friends of Acadia-Youth Conservation Corps Stephanie Clement Tel (207) 288-3340 Fax (207) 288-8938 sharon@friendsofacadia org 43 Cottage Street, P O Box 45 Bar Harbor, ME 04609	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Friends of the Rockefeller State Park Preserve Clare M Pierson Tel (914) 762-0209 Fax (914)941-3696 friends@friendsrock org PO Box 8444 Sleepy Hollow, NY 10591	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Education)	-	PC- Public Charity
Germantown Hose Company #1 Wendy Nack-Lawlor Tel 518-537-6685 lawlors@valstar net 181 Main Street Germantown, NY 12526	500 00	Grant 500 00 Paid 500 00 Bal 0 00 Term 12 Months	500 00	DRF Community (Fire/Police Department)	-	PC- Public Charity
Germantown Library Lynn Place Tel (518) 537-5800 Fax (518) 537-5928 germantownlibrary@valstar net 31 Palatine Park Road Germantown, NY 12526	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Girl Scouts Heart of the Hudson Molly Gibeau-Griffin Tel 91474743080 Fax (914) 747-4263 mgibeau-griffin@girlscoutshh org 2 Great Oak Lane Pleasantville, NY 10570	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Girl Scouts of Maine Connie Goulatis Tel 207 772 1177 cgoulatis@gsmaine org 138 Gannett Drive PO Box 9421 South Portland, ME 04116-9421	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Great Harbor Maritime Museum Sydney Roberts Rockefeller Tel (207) 276-5262 sydr@me com P O Box 145 124 Main Street Northeast Harbor, ME 04662	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
H O M E , Inc Lucy Poulin Tel (207) 469-7961 info@homecoop net P O Box 10 Orland, ME 04472	3,000 00	Grant 3,000 00 Paid 3,000 00 Bal 0 00 Term 12 Months	3,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Hilltop Engine Co No 1 Erwin Levold Tel 914-631-2710 turk532@gmail com Pocantico Hills North Tarrytown, NY 10591	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Fire/Police Department)	-	PC- Public Charity

Grants Approved and Paid 2014

Approval Date is any date between 1/1/2014 and 12/31/2014

DRF Community Grants (continued)

Organization	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Historic Hudson Valley Waddell Stillman Tel (914) 631-8200 ext 634 Fax (914) 631-0089 wstillman@hudsonvalley org 639 Bedford Road Tarrytown, NY 10591	10,000 00	Grant 10,000 00 Paid 10,000 00 Bal 0 00 Term 12 Months	10,000 00	DRF Community (Historic Preservation)	-	PC- Public Charity
Island Institute Rob Snyder Tel (207) 594-9209 Fax (207) 594-9314 rsnyder@islandinstitute org 386 Main Street P O Box 648 Rockland, ME 04841-0648	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Kid's Corner Community Child Care Lori Krupke Tel (207) 288-9503 info@kidscornerbarharbor com 81 Mount Desert Street Bar Harbor, ME 04609	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Education)	-	PC- Public Charity
Kids' Club of Tarrytown & Sleepy Hollow Laureen Barber Tel 914-948-5166 laureen@bluehillfarm com P O Box 8544 Tarrytown, NY 10591	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Livingston Free Library Sue Critchell Tel 518 851 2270 Fax 518 851 2270 livingstonlibrary105@gmail com 90 Old Post Road, P O Box 105 Livingston, NY 12541	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Livingston Pumper Company, No 1, Inc Scott Valentine Tel (518) 851-9777 lisajvprecision@hughes net P O Box 77 Livingston, NY 12541	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Fire/Police Department)	-	PC- Public Charity
Maine Coast Heritage Trust Tim Glidden Tel (207) 729-7366 Fax (207) 729-6863 SHaleKrull@MCHT org 1 Bowdoin Mill Island Suite 201 Topsham, ME 04086	15,000 00	Grant 15,000 00 Paid 15,000 00 Bal 0 00 Term 12 Months	15,000 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Maine Lighthouse Corporation Kathleen W Miller kmiller@mainelighthouse org 109 Main Street Bar Harbor, ME 04609	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Community)	-	PC- Public Charity
Maine Medical Center John Curran Tel (207) 662-2773 Fax (207) 662-2792 curraj1@mmc org 22 Bramhall Street Portland, ME 04102-3175	2,000 00	Grant 2,000 00 Paid 2,000 00 Bal 0 00 Term 12 Months	2,000 00	DRF Community (Health)	-	PC- Public Charity
Maine Public Broadcasting Network Erin Merrill Tel (207) 783-9101 Fax (207) 783-5193 emerrill@mpbn net 1450 Lisbon Street Lewiston, ME 04241	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Arts & Humanities)	-	PC- Public Charity

Organization	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Community Grants (continued)						
Maine Sea Coast Mission Scott Planting Tel (207) 288-5097 Fax (207) 288-5098 splanting@seacoastmission org 127 West Street Bar Harbor, ME 04609	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Social Welfare)	-	PC- Public Charity
Mascia, Elizabeth Child Care Center Kevin Roberts Tel (914) 631-2126 Fax (914) 631-2239 director@masciachildcare org 171 Sheldon Avenue Tarrytown, NY 10591	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Social Welfare)	-	PC- Public Charity
MDI Biological Laboratory Ann Cox Halkett Tel (207) 288-3605 Fax (207) 288-2130 anncox@mdibl org P O Box 35 Old Bar Harbor Road Salisbury Cove, ME 04672	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Mission Control Traveling Pantry Billie Jo Alexander Tel 518-828-0620 St Mark's Evangelical Lutheran Church 8 Storm Avenue Hudson, NY 12534	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Community)	-	PC- Public Charity
Mount Desert Medical Center Constance B Madeira Tel (207) 276-5815 P O Box 266 Northeast Harbor, ME 04662	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Health)	-	PC- Public Charity
Mount Desert Fire and Rescue Association William L Hodgkins billhm@myfairpoint net P O Box 248 21 Sea Street Northeast Harbor, ME 04662	3,000 00	Grant 3,000 00 Paid 3,000 00 Bal 0 00 Term 12 Months	3,000 00	DRF Community (Fire/Police Department)	-	PC- Public Charity
Mount Desert Island Hospital Lani Naihe Tel (207) 288-5081 Fax (207) 288-5874 lani naihe@mdihospital org P O Box 8 Bar Harbor, ME 04609-0008	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Health)	-	PC- Public Charity
Mount Desert Island YMCA Tom Reeve Tel (207) 288-3511 Fax (207) 288-2884 tom@mdiymca org 21 Park St Bar Harbor, ME 04609	3,500 00	Grant 3,500 00 Paid 3,500 00 Bal 0 00 Term 0 Months	3,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Mount Desert Nursery School and Daycare Center Sarah Dunbar Tel (207) 276-5563 sarah mdns@gmail com P O Box 24 Northeast Harbor, ME 04662	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Education)	-	PC- Public Charity
Mount Desert Nursing Association Patti Billings Tel (207) 276-5184 Fax (207) 276-5185 care@mountdesertnursing org 12 Summit Road, P O Box 397 Northeast Harbor, ME 04665-0397	3,500 00	Grant 3,500 00 Paid 3,500 00 Bal 0 00 Term 12 Months	3,500 00	DRF Community (Health)	-	PC- Public Charity
Mount Desert Summer Chorale David Schildkret Tel (207) 667-5790 David Schildkret@asu edu P O Box 887 Mount Desert, ME 04660	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Arts & Humanities)	-	PC- Public Charity

Organization.	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Community Grants (continued)						
Mt Desert Festival of Chamber Music Sarah Atherton Norwood Tel (212) 362-1870 Fax (207) 276-3988 - Maine Summer snorwood@mtdesertfestival org PO Box 862 Northeast Harbor, ME 04662	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
Natural Resources Council of Maine Gabrielle Grunkemeyer Rigaud Tel (207) 622-3101 Fax (207) 622-4343 grigaud@nrcm org 3 Wade Street Augusta, ME 04330-6351	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Northeast Harbor Ambulance Service Basil Mahaney Tel 207-276-5111 SERVICECHIEF@NEHAMBULANCE ORG P O Box 122 Northeast Harbor, ME 04662	500 00	Grant 500 00 Paid 500 00 Bal 0 00 Term 12 Months	500 00	DRF Community (Fire/Police Department)	-	PC- Public Charity
Northeast Harbor Library Ewing Brook Minner Tel (207) 276-3333 jrappaport@nehlibrary org P O Box 279 Northeast Harbor, ME 04662-0279	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Olana Partnership Sara Griffen Tel 518-828-0135 ext 304 Fax (518) 828-1793 top@olana org P O Box 199 Hudson, NY 12534	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Historic Preservation)	-	PC- Public Charity
Open Door Family Medical Center North Tarrytown Mikael Baez Tel (914) 502-1416 Fax (914) 941-3270 mbaez@odfmc org 165 Main Street Ossining, NY 10562	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Social Welfare)	-	PC- Public Charity
Phelps Memorial Hospital Center Lisa Koch Tel (914) 366-3107 lkoch@pmhc us 701 North Broadway Sleepy Hollow, NY 10591-1096	7,500 00	Grant 7,500 00 Paid 7,500 00 Bal 0 00 Term 12 Months	7,500 00	DRF Community (Health)	-	PC- Public Charity
Planned Parenthood Hudson Peconic Reina Schiffrin Tel (914) 467-7300 Fax (914) 467-7344 reina schiffrin@pphp org 4 Skyline Drive, Suite 7 Hawthorne, NY 10532-2150	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Health)	-	PC- Public Charity
Portland Museum of Art Christina M Razzi Tel (207) 775-6148 ext 3243 Fax 20717737324 crazzi@portlandmuseum org Seven Congress Square Portland, ME 04101	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
Puppies Behind Bars Eric Barsness Tel 212 680 9562 Fax 212 689 9330 eric@puppiesbehindbars com 263 W 38th Street, 4th Floor New York, NY 10018	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Social Welfare)	-	PC- Public Charity
Salvation Army of Greater New York Elizabeth Wright Tel (212) 337-7300 Fax (212) 337-7299 elizabeth wright@use salvationarmy org 120 West 14th Street New York, NY 10011-7393	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Social Welfare)	-	PC- Public Charity

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DRF Community Grants (continued)

Organization	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Scenic Hudson E O Sullivan Tel (845) 473-4440 Fax (845) 473-2648 nsullivan@scenichudson com One Civic Center Plaza, Suite 200 Poughkeepsie, NY 12601-3156	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Seal Harbor Library Association Mary Silverman Tel (207) 276-5306 silverman@acadia net P O Box 135 Seal Harbor, ME 04675	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Seal Harbor Village Improvement Society Deborah S Brown Tel 207-276-9341 drbrown431@roadrunner com P O Box 171 Seal Harbor, ME 04675	3,500 00	Grant 3,500 00 Paid 3,500 00 Bal 0 00 Term 12 Months	3,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Taghkanic Fire Company No 1, Inc Dennis Callahan taghkanicfire@gmail com 646 New Forge RD II Ancram, NY 12502	500 00	Grant 500 00 Paid 500 00 Bal 0 00 Term 12 Months	500 00	DRF Community (Fire/Police Department)	-	PC- Public Charity
Teatown Lake Reservation Kevin Carter Tel (914) 762-2912 ext 116 Fax (914) 762-2890 info@teatown org Nature Preserve and Education Center 1600 Spring Valley Road Ossining, NY 10562	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
The Historical Society, Inc Sarah Mascia Tel (914) 631-8374 historyatgrove@aol com Serving Sleepy Hollow and Tarrytown One Grove Street Tarrytown, NY 10591	750 00	Grant 750 00 Paid 750 00 Bal 0 00 Term 12 Months	750 00	DRF Community (Historic Preservation)	-	PC Public Charity
The Jackson Laboratory Ruth Calas Tel (207) 288-6592 Fax (207) 288-6372 ruth calas@jax org PO Box 254 Bar Harbor, ME 04609-1500	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Health)	-	PC- Public Charity
The Nature Conservancy Adam Kessler Tel (207) 729-5181 Fax (207) 729-4118 akessler@TNC org 14 Maine Street, Suite 401 Brunswick, ME 04011	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
The Neighborhood House Club Anne-Marie Hart Tel (207) 276-5039 Fax (207) 276-5655 annemarie@theneighborhoodhouse com P O Box 332 Northeast Harbor, ME 04662	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
The Northeast Harbor Library Scholarship Program Ewing Brook Minner Tel (207) 276-3333 jrappaport@nehlibrary org Northeast Harbor Library P O Box 279 Northeast Harbor, ME 04662	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Civic and Community)	-	PC- Public Charity

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DRF Community Grants (continued)

Organization.	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Union Church of Pocantico Hills F Paul Dehoff Tel (914) 631-2069 pauldehoff@optonline net 555 Bedford Road Pocantico Hills, NY 10591	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Religious Institutions)	-	PC- Public Charity
United Church of Christ Northeast of Harbor & Seal Harbor, Union Church and Abby Chapel Deborah Jenks Tel (207) 276-5521 uccnehsh@gmail com P O Box 555 Northeast Harbor, ME 04662	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Community (Religious Institutions)	-	PC- Public Charity
United Way of Columbia & Greene Counties Brad Poster Tel (518) 828-4200 Fax (518) 822-9386 bposter@uwcg org P O Box 718 Catskill, NY 12414	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
United Way of the Tarrytowns Kathleen Haverlack Tel (914) 997-6700 ext 760 Fax (914) 949-6438 khaverlack@uwwp org 336 Central Park Avenue White Plains, NY 10606-1500	10,000 00	Grant 10,000 00 Paid 10,000 00 Bal 0 00 Term 12 Months	10,000 00	DRF Community (Social Welfare)	-	PC- Public Charity
Volunteer and Exempt Fireman's Association of Sleepy Hollow, NY David Bender Tel 914-332-0651 P O Box 851 Sleepy Hollow, NY 10591	750 00	Grant 750 00 Paid 750 00 Bal 0 00 Term 12 Months	750 00	DRF Community (Fire/Police Department)	-	PC- Public Charity
Warner Library of the Tarrytowns Maureen Petry Tel (914) 631-7734 Fax (914) 631-2324 mpetry@wlsmail org 121 North Broadway Tarrytown, NY 10591	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Civic and Community)	-	PC- Public Charity
Wendell Gilley Museum Nina Z Gormley Tel (207) 244-7555 Fax (207) 244-5134 nzg wgm@gmail com 4 Herrick Road P O Box 259 Southwest Harbor, ME 04679-0254	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Arts & Humanities)	-	PC- Public Charity
Westchester Land Trust Tom Gabriel Tel (914) 241-6346 ext 18 Fax (914) 241-4508 tom@westchesterlandtrust org 403 Harris Road Bedford Hills, NY 10507	1,500 00	Grant 1,500 00 Paid 1,500 00 Bal 0 00 Term 12 Months	1,500 00	DRF Community (Conservation/Environment)	-	PC- Public Charity
Westside Food Pantry Dean Henry Tel 207-244-9087 deanhenry27@gmail com PO Box 767 Southwest Harbor, ME 04679	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Community)	-	PC- Public Charity
Willowind Therapeutic Riding Center David Folger Tel (207) 288-9506 willowindfarm@gmail com State Highway 3, P O Box 1140 Bar Harbor, ME 04609	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Civic and Community)	-	PC- Public Charity
Zion Community Food Pantry Brenda Blanks Tel 518-610-1980 zioncommunityfp@yahoo com P O Box 1058 Hudson, NY 12534	1,000 00	Grant 1,000 00 Paid 1,000 00 Bal 0 00 Term 12 Months	1,000 00	DRF Community (Community)	-	PC- Public Charity
Total DRF Community Grants (82 Grants)	***219,500.00***		***219,500.00***		-	

Organization,	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Arts Grants						
A Blade of Grass Deborah Fisher Tel 646-757-4199 dfisher@abladeofgrass org 137 5th Avenue, 10th floor New York, NY 10010	30,000 00	Grant 30,000 00 Paid 30,000 00 Bal 0 00 Term 12 Months	30,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Center for Urban Pedagogy Christine Gaspar Tel 7188596887721 christine@welcometocup org 232 Third Street #D201 Brooklyn, NY 11215	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Gibney Dance Gina Gibney Tel 212 677 8560 gina@gibneydance org 890 Broadway, Fifth Floor New York, NY 10003	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Groundswell Community Mural Project Rob Krulak Tel 718-254-9782 director@groundswellmural org 540 President Street, Suite 1A Brooklyn, NY, 11215	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Hive Digital Media Learning Fund Kerry McCarthy Tel (212) 686-0010 ext 520 Fax (212) 532-8528 KEM@nyct-cfi org c/o New York Community Trust 909 3rd Avenue, 22nd Floor New York, NY 10022	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Museum of Contemporary African Diasporan Arts (MOCADA) James Bartlett Tel 718 230 0492 james@mocada org 80 Hanson Place Brooklyn, NY 11217	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Queens Museum of Art Laura Raicovich Tel 718-592-9700 Fax 718-592-5778 lraicovich@queensmuseum org New York City Building Flushing Meadows Corona Park Queens, NY 11368-3398	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Schomburg Center for Research in Black Culture Khalil Gibran Muhammad Tel (212) 491-2263 khalilmuhammad@nypl org New York Public Library 515 Malcolm X Blvd New York, NY 10037-1801	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
The Laundromat Project Kemi Ilesanmi Tel 718-574-0798 kemi@laundromatproject org 127 West 127th Street, Suite 324 New York, NY 10027	20,000 00	Grant 20,000 00 Paid 20,000 00 Bal 0 00 Term 12 Months	20,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
The New York Community Trust Robert V Edgar Tel (212) 686-0010 kem@nyct-cfi org 909 Third Avenue, 22nd Floor New York NY 10022	10,000 00	Grant 10,000 00 Paid 10,000 00 Bal 0 00 Term 12 Months	10,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
The Public Theater Alex Tonetta Tel (212) 539-8505 atonetta@publictheater org 425 Lafayette St New York, NY 10003	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Arts (Arts and Education)	-	PC- Public Charity
Total DRF Arts Grants (11 Grants)	**260,000.00**		**260,000.00**		-	

Organization	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Criminal Justice Grants						
Advocates for Children Kim Sweet Tel (212) 947-9779 Fax (212) 947-9790 ksweet@advocatesforchildren org 151 West 30th Street, 5th Floor New York, NY 10001	20,000 00	Grant 20,000 00 Paid 20,000 00 Bal 0 00 Term 12 Months	20,000 00	DRF Criminal Justice	-	PC- Public Charity
Bard Prison Initiative Max Kenner Tel 845 758 7308 kenner@bard edu Bard College PO Box 5000 Annandale-on-Hudson, NY 12504-5000	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Criminal Justice	-	PC- Public Charity
Center for Constitutional Rights Vincent Warren Tel 212 614 6468 Vwarren@ccrjustice org 666 Broadway 7th Floor New York, NY 10012	50,000 00	Grant 50,000 00 Paid 50,000 00 Bal 0 00 Term 12 Months	50,000 00	DRF Criminal Justice	-	PC- Public Charity
Detention Watch Network Shah Silky Tel 520-240-3726 sshah@detentionwatchnetwork org Yvette Diaz Senior Advisor c/o Tides Center 55 Exchange Place, Suite 402 New York, NY 10005	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Criminal Justice	-	PC- Public Charity
Fortune Society Joanne Paige Tel 212-691-7554 Fax 347-510-3451 jpage@fortunesociety org 29-76 Northern Boulevard Long Island City, NY 11101	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Criminal Justice	-	PC- Public Charity
John Jay College of Criminal Justice Ann Jacobs Tel (646) 557-4532 ajacobs@jjay cuny edu 524 West 59th Street, BMW 609 New York, NY 10019	50,000 00	Grant 50,000 00 Paid 50,000 00 Bal 0 00 Term 24 Months	50,000 00	DRF Criminal Justice	-	PC- Public Charity
Just Leadership USA Glenn Martin Tel 347-454-2195 glenn@justleadershipusa org 555 Lenox Avenue, Suite 4C New York, NY 10037	15,000 00	Grant 15,000 00 Paid 15,000 00 Bal 0 00 Term 12 Months	15,000 00	DRF Criminal Justice	-	PC- Public Charity
Sheltering Arms Mohan Sivaloganathan Tel 212 886 5604 msivaloganathan@shelteringarmsny org 305 Seventh Avenue New York, NY 10001-6008	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Criminal Justice	-	PC- Public Charity
The Sentencing Project Marc Mauer Tel 202-628-0871 Fax 202-628-1091 mauer@sentencingproject org 1705 DeSales Street, NW, 8th Floor Washington, DC 20036	35,000 00	Grant 35,000 00 Paid 35,000 00 Bal 0 00 Term 12 Months	35,000 00	DRF Criminal Justice	-	PC- Public Charity
Vera Institute of Justice Nick Turner Tel 212-376-4062 nturner@vera org 233 Broadway, 12th Floor New York, NY 10279	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Criminal Justice	-	PC- Public Charity

Organization,	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Criminal Justice Grants (continued)						
Working Narratives c/o Fractured Atlas Nick Szuberla Tel 606-454-8864 nick@workingnarratives org P O Box 448 Wilmginton, NC 28402	20,000 00	Grant 20,000 00 Paid 20,000 00 Bal 0 00 Term 12 Months	20,000 00	DRF Criminal Justice	-	PC- Public Charity
Youth Represent Laurie Parise Tel 646-759-8080 lparise@youthrepresent org 11 Park Place, Suite 1512 New York, NY 10007	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Criminal Justice	-	PC- Public Charity
Total DRF Criminal Justice Grants (12 Grants)	**340,000.00**		**340,000.00**		-	
DRF Environment Grants						
Appalachian Voices Tom Cormons Tel (434) 293-6373 tom@appvoices org 812 East High Street Charlottesville, VA 22902	30,000 00	Grant 30,000 00 Paid 30,000 00 Bal 0 00 Term 12 Months	30,000 00	DRF Environment	-	PC- Public Charity
Green Guerillas Steve Frillmann Tel (212) 594-2155 ext 1 Fax (800) 886-4358 steve@nycgreen org 232 E 11th Street New York, NY 10003	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Environment	-	PC- Public Charity
Groundswell Liz Copeland Tel 202-431-8634 liz copeland@groundswell org 1156 15th Street NW, Suite 840 Washington, DC 20005	50,000 00	Grant 50,000 00 Paid 50,000 00 Bal 0 00 Term 12 Months	50,000 00	DRF Environment	-	PC- Public Charity
Mountain Association for Community Economic Development (MACED) Peter Hille Tel (859) 986-2373 Fax (859) 986-1299 peter@maced org 433 Chestnut Street Berea, KY 40403	40,000 00	Grant 40,000 00 Paid 40,000 00 Bal 0 00 Term 12 Months	40,000 00	DRF Environment	-	PC- Public Charity
National People's Action Jordan Estevao Tel 202-683-4826 jordan@npa-us org 810 N Milwaukee Ave Chicago, IL, 60642	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Environment	-	PC- Public Charity
New York City Energy Efficiency Corporation Jessica Luk Tel 212 312 3822 Jluk@nyceec com 1359 Broadway, 19th Floor New York, NY 10018	40,000 00	Grant 40,000 00 Paid 40,000 00 Bal 0 00 Term 12 Months	40,000 00	DRF Environment	-	PC- Public Charity
Pratt Center for Community Development Nepal Asatthawasi Tel (718) 637-8643 mlennon@prattcenter net 200 Willoughby Avenue Brooklyn, NY 11205	40,000 00	Grant 40,000 00 Paid 40,000 00 Bal 0 00 Term 12 Months	40,000 00	DRF Environment	-	PC- Public Charity
The Canary Project c/o Media Collective Inc Edward Morris Tel 646-226-6616 edward@canary-project org 115 West 29th St ,Suite 1101 New York, NY 10001	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 24 Months	25,000 00	DRF Environment	-	PC- Public Charity
Transition US Maggie Fleming Tel (707) 824-1554 maggie@transitionus org P O Box 917 Sebastopol, CA 95473	25,000 00	Grant 25,000 00 Paid 25,000 00 Bal 0 00 Term 12 Months	25,000 00	DRF Environment	-	PC- Public Charity

Organization,	Approved Amount	Req/Granted	Paid Amount	Program Area	Ending Balance	Foundation Status
Grants Approved and Paid 2014						
Approval Date is any date between 1/1/2014 and 12/31/2014						
DRF Environment Grants (continued)						
West Virginia Free Margaret Chapman Pomponio Tel 304-342-9188 margaret@wvfree org PO Box 11042 Charleston, WV 25339	35,000 00	Grant 35,000 00 Paid 35,000 00 Bal 0 00 Term 12 Months	35,000 00	DRF Environment	-	PC- Public Charity
Total DRF Environment Grants (10 Grants)	**335,000.00**		**335,000.00**		-	
DRF Judicious Exception Grants						
Central Park Conservancy Douglas Blonsky Tel 212-310-6600 Fax 212-310-6654 JPall@centralparknyc org 14 East 60th Street New York, NY 10022	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months *(Grant Accrued in 2014)* Paid on 1/14/15	0 00	DRF Judicious Exception	5,000 00	PC- Public Charity
International School of Brooklyn Rebecca Skinner Tel 718-369-3023 477 Court Street Brooklyn, NY 11221	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months *(Grant Accrued in 2014)* Paid on 1/14/15	0 00	DRF Judicious Exception	2,500 00	PC- Public Charity
Just Leadership USA Glenn Martin Tel 347-454-2195 glenn@justleadershipusa org 555 Lenox Avenue, Suite 4C New York, NY 10037	10,000 00	Grant 10,000 00 Paid 10,000 00 Bal 0 00 Term 12 Months	10,000 00	DRF Judicious Exception	-	PC- Public Charity
Multidisciplinary Association for Psychedelic Studies (MAPS) Rick Doblin Tel 617-276-7806 rick@maps org 1215 Mission Street Santa Cruz, CA 95060	10,000 00	Grant 10,000 00 Paid 10,000 00 Bal 0 00 Term 12 Months	10,000 00	DRF Judicious Exception	-	PC- Public Charity
New York Juvenile Justice Initiative Penny Fujiko Wilgerodt Tel 212-370-1165 ext 401 pwillgerodt@prospect-hill org c/o FJC Fiscal Sponsorship Program 520 Eighth Avenue, 20th Floor New York, NY 10018	2,500 00	Grant 2,500 00 Paid 2,500 00 Bal 0 00 Term 12 Months	2,500 00	DRF Judicious Exception	-	PC- Public Charity
North Star Fund Hugh Hogan Tel 212 620 9110 Fax 212 620 8178 hughhogan@northstarfund org abby@northstarfund org 520 Eighth Avenue, Suite 2203 New York, NY 10018-6656	5,000 00	Grant 5,000 00 Paid 5,000 00 Bal 0 00 Term 12 Months	5,000 00	DRF Judicious Exception	-	PC- Public Charity
The New York Community Trust Robert V Edgar Tel (212) 686-0010 kem@nyct-cfi org 909 Third Avenue, 22nd Floor New York, NY 10022	15,000 00	Grant 15,000 00 Paid 15,000 00 Bal 0 00 Term 12 Months	15,000 00	DRF Judicious Exception	-	PC- Public Charity
Total DRF Judicious Exception Grants (7 Grants)	**50,000.00**		**42,500.00**		**7,500.00**	
Team Grants						
New Venture Fund Eric Kessler 1201 Connecticut Ave , NW Suite 300 Washington, DC 20036	7,500 00	Grant 7,500 00 Paid 7,500 00 Bal 0 00 Term 12 Months	7,500 00	DRF Team Grants	-	PC- Public Charity
Total Team Grants (1 Grant)	**7,500.00**		**7,500.00**		-	
Total Program Grants (41 Grants)	***992,500.00***		***985,000.00***		***7,500.00***	
Matching Gift 2013			14,500 00			
Matching Gift 2014	24,500 00		5,500 00		19,000 00	
Total Matching Gifts	**24,500.00**		**20,000.00**			
Grand Total (124 Grants)	***1,466,500.00***		***1,454,500.00***		***26,500.00***	

DAVID ROCKEFELLER FUND, INC.
13-3533359
475 Riverside Drive, Suite 900
New York, New York 10115

STATEMENT 8
FORM 990-PF
YEAR 2014

Conformed Copy of Amendment of Bylaws

Part VII-A, line 3

I certify that the attached Amendment of Bylaws revised
on November 14, 2014 is complete and accurate.
I further certify that I am duly authorized to sign this statement
on behalf of the Board of Trustees of the David Rockefeller Fund, Inc.

Signature: James S Sugar

Name: James S. Sugar

Title: Treasurer

BYLAWS

OF

THE DAVID ROCKEFELLER FUND, INC.

(A New York Not-for-Profit Corporation)

(the "Corporation")

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(Originally Adopted on July 21, 1989)
(Last revised on November 14, 2014)

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BYLAWS

OF

THE DAVID ROCKEFELLER FUND, INC.

(A New York Not-for-Profit Corporation)

(the "Corporation")

ARTICLE I

MEMBERS

Section 1.1. Members. The Corporation shall have no members.

ARTICLE II

DIRECTORS

Section 2.1. Board of Directors. The property and affairs of the Corporation shall be managed and administered by its Board of Directors. As used in these Bylaws, "entire Board of Directors" means the total number of Directors entitled to vote that the Corporation would have if there were no vacancies.

Section 2.2. Number of Directors. The number of Directors constituting the entire Board of Directors shall be sixteen until such number is changed by amendment to these Bylaws pursuant to Section 7.1, provided that the number of Directors shall not be less than three and no decrease in the number of Directors shall shorten the term of any incumbent Director.

Section 2.3. Election and Term of Office. Directors shall be elected at each annual meeting of the Corporation's Board of Directors for a term of one year. At least eight of the Directors shall be elected from among the descendants of David Rockefeller and their spouses. Each Director shall continue in office until the close of the election of Directors and officers at the next annual meeting of the Board of Directors and until his or her successor, if any, shall have been elected and qualified, or until his or her earlier death, resignation or removal. Directors may succeed themselves in office. Vacancies occurring on the Board of Directors for any reason may be filled by the vote of a majority of the Directors then in office, whether or not a quorum is then in office.

Section 2.4. Resignation and Removal. A Director may resign at any time by giving written notice to the Chair or Secretary. Unless otherwise specified in the notice, the resignation shall take effect upon receipt by such officer, and acceptance of the resignation shall not be necessary to make it effective. Any Director may be removed at any time by the Directors, for cause, provided that there shall be a quorum of not less than a majority of the entire Board of Directors present at the meeting of Directors at which such action is taken.

Section 2.5. Meetings. Annual and regular meetings of the Board of Directors shall be held at such times and places as the Board of Directors may from time to time determine. Special meetings of the Board of Directors may be held at any time and place upon call of the Chair, or of the Secretary upon written demand of not less than one-fifth of the entire Board of Directors. The Chair shall preside at all meetings of the Board of Directors and may designate another member of the Board to preside if the Chair is absent, and if no such designation is made, the Directors shall select one of their number to preside at the meeting.

Section 2.6. Quorum of Directors. Unless otherwise provided by law or these Bylaws, the presence of a majority of the entire Board of Directors shall constitute a quorum for the transaction of business at any meeting of the Directors. Participation by one or more Directors by means of a conference telephone or similar equipment allowing all persons participating in any meeting of the Board of Directors or any committee thereof to hear each other at the same time shall constitute presence at such meeting.

Section 2.7. Adjourned Meetings. A majority of the Directors present at a meeting, whether or not a quorum is present, may adjourn such meeting to another time and place. At least one day's notice of the time and place of such adjourned meeting shall be given to Directors who were not present at the time of such adjournment.

Section 2.8. Action of the Board of Directors. The vote of a majority of the Directors present at the time of the vote, if a quorum is present, shall constitute the action of the Board of Directors, unless the action is one upon which a different vote is required by law or these Bylaws. Each Director shall have one vote. Voting by proxy shall not be permitted at a meeting of the Board of Directors or any committee thereof.

Section 2.9. Action by Written Consent of Directors. Any action required or permitted to be taken at any meeting of the Board of Directors or by any committee thereof may be taken without a meeting if all members of the Board of Directors, or all members of the committee who are authorized to act, consent in writing to the adoption of a resolution authorizing such action. Such action and written consents thereto shall be filed with the minutes of proceedings of the Board of Directors or the committee.

Section 2.10. Compensation. Directors shall not receive compensation for serving as such. The Board of Directors may if circumstances warrant authorize reimbursement of expenses incurred by Directors in the performance of their duties. Nothing herein contained shall be construed to preclude any Director from serving the Corporation in any other capacity and receiving reasonable compensation therefor.

Section 2.11. Notice. Notice of the time, place and purpose of every meeting of the Board of Directors shall be given by the Secretary or other officer by mailing, electronic means, delivering, or telephoning the same to each Director at least three days before such meeting. Notice of any meeting need not be given, however, to any Director who submits a signed waiver of notice, before or after the meeting, or who attends the meeting without protesting the lack of notice, prior thereto or at its commencement.

ARTICLE III

COMMITTEES

Section 3.1. Executive Committee. The Board of Directors may from time to time, by resolution adopted by a majority of the entire Board of Directors, create an Executive Committee, consisting of the Chair and such other Directors as the Board may determine, provided that the Executive Committee shall have no fewer than three members. The Executive Committee shall possess and exercise all of the delegable powers of the Board of Directors, subject to such restrictions as from time to time may be prescribed by the Board of Directors, provided that the Executive Committee shall have no authority to: (i) fill vacancies in the Board of Directors or in any committee, or (ii) amend or repeal the Bylaws or adopt new Bylaws. The Chair shall preside at all meetings of the Executive Committee, and may designate another member of the Committee to preside at any meeting if the Chair is absent.

Section 3.2. Nominating Committee. There shall be a nominating committee consisting of at least three persons selected from among the descendants of David Rockefeller and their spouses. Members of the nominating committee need not be members of the Board of Directors. The nominating committee shall be in charge of devising and maintaining a system of rotation on the Board of Directors for family members and their spouses, and shall also nominate persons for election as Directors and officers.

Section 3.3. Other Committees. The Board of Directors may from time to time create such other committees as the activities of the Corporation may require, and delegate such authority to such committees as the Board of Directors may deem appropriate, provided that any committee which is authorized by the Board of Directors to exercise any of the powers of the Board of Directors shall consist of at least three Directors and the only voting members of any such committee shall be Directors.

Section 3.4. Appointment and Procedure of Committees. Unless otherwise provided by the Board of Directors, members of committees shall be elected by the Board of Directors upon recommendation of the Chair, and shall serve for a term of one year and until the appointment and qualification of their successors, if any. Chairs of committees shall be appointed by the Chair. A majority of the members of each committee shall constitute a quorum for the transaction of any business. Except as otherwise provided by these Bylaws or by the Board of Directors, each committee shall determine its own rules of procedure. Each committee shall keep records of its proceedings and report the same from time to time to the Board of Directors.

ARTICLE IV

OFFICERS

Section 4.1. Officers. The officers of the Corporation shall be a Chair, Executive Director, Secretary, Treasurer and such other officers, such as a Vice Chair, as the Directors may elect. Any two or more offices may be held by the same person, except the offices of Chair and Secretary. The Chair shall be elected from among the members of the Board of Directors. All other officers may, but need not, be members of the Board.

Section 4.2. Election and Term of Officers. Officers shall be elected by the Directors at the annual meeting of the Board of Directors for terms of one year, and each officer shall continue in office until the close of the election of Directors and officers at the next annual meeting of the Board of Directors and until his or her successor, if any, shall have been elected and qualified, or until his or her earlier death, resignation or removal. Officers may succeed themselves in office. Vacancies occurring in any office for any reason may be filled by the Board of Directors until the next annual meeting of the Board of Directors and until a successor is elected and qualified. Any officer may resign at any time by giving written notice to the Chair or Secretary. Unless otherwise specified in the notice, the resignation shall take effect upon receipt thereof, and acceptance of the resignation shall not be necessary to make it effective. Any officer may be suspended or removed by the Board of Directors for cause.

Section 4.3. Powers and Duties. The officers of the Corporation shall have such powers and perform such duties in the management of the property and activities of the Corporation, subject to the control of the Board of Directors, as provided in these Bylaws and as generally pertain to their respective offices, as well as such other powers and duties as may be authorized from time to time by the Board of Directors.

Section 4.4. Other Agents. The Board of Directors may appoint from time to time such other agents and employees as it shall deem appropriate, each of whom shall hold office at the pleasure of the Board of Directors, and shall have such authority and perform such duties as the Board of Directors may determine.

Section 4.5. Compensation. The Corporation may pay its officers, agents, and employees compensation commensurate with their services, and reimbursement for expenses incurred in the performance of their duties. The amount of compensation shall be fixed by action of the Board of Directors or the Executive Committee.

ARTICLE V

ANNUAL REPORT

Section 5.1. Annual Report. At each annual meeting of the Board of Directors the Treasurer shall present a report in such form and with such contents as may be required from time to time by law, and a copy of such report, or an abstract thereof, shall be filed with the minutes of the meeting.

ARTICLE VI

INDEMNIFICATION

Section 6.1. Indemnification. The Corporation shall indemnify any Director or officer against any matter or cause relating to or arising out of the performance of his, her or its duties in the manner and to the full extent provided by law.

ARTICLE VII

AMENDMENTS

Section 7.1. Amendments. These Bylaws may be amended, added to or repealed upon the consent of a majority of the entire Board of Directors.

Schedule B
(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

► **Attach to Form 990, Form 990-EZ, or Form 990-PF**
► **Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at *www.irs.gov/form990.***

OMB No 1545-0047

2014

Name of the organization
THE DAVID ROCKEFELLER FUND, INC.

Employer identification number
13-3533359

Organization type (check one)

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule See instructions

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor Complete Parts I and II See instructions for determining a contributor's total contributions

Special Rules

☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33-1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (**1**) $5,000 or (**2**) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals Complete Parts I, II, and III

☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc , purposes, but no such contributions totaled more than $1,000 If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year . ► $ _____

Caution: An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer 'No' on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Schedule B (Form 990, 990-EZ, or 990-PF) (2014) Page 1 of 1 of Part 1

Name of organization	Employer identification number
THE DAVID ROCKEFELLER FUND, INC.	13-3533359

Part I **Contributors** (see instructions) Use duplicate copies of Part I if additional space is needed

(a) Number	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	David Rockefeller 30 Rockefeller Plaza, Room 5600 New York NY 10112	$ 1,250,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)