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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2014

Department of the Treasury  
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation <b>SUSAN AND ELIHU ROSE FOUNDATION, INC.</b>		A Employer identification number <b>13-3484181</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>200 MADISON AVE, 5TH FL</b>	Room/suite	B Telephone number <b>212 210-6666</b>
City or town, state or province, country, and ZIP or foreign postal code <b>NEW YORK, NY 10016-3912</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 19,734,602.</b>	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received		1,860,000.		N/A	
2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments		54.	54.		STATEMENT 1
4 Dividends and interest from securities		46,384.	46,384.		STATEMENT 2
5a Gross rents		43,866.	43,866.		STATEMENT 3
b Net rental income or (loss)	-14,618.				STATEMENT 4
6a Net gain or (loss) from sale of assets not on line 10		3,476,910.			
b Gross sales price for all assets on line 6a	1,332,527.				
7 Capital gain net income (from Part IV, line 2)			3,476,910.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income		72,351.	67,351.		STATEMENT 5
12 Total. Add lines 1 through 11		5,499,565.	3,634,565.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees	STMT 6	18,227.	0.		18,227.
b Accounting fees	STMT 7	11,750.	0.		11,750.
c Other professional fees	STMT 8	70,644.	70,644.		0.
17 Interest					
18 Taxes	STMT 9	10,279.	1,058.		0.
19 Depreciation and depletion		2,314.	2,314.		
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses	STMT 10	103,813.	57,601.		712.
24 Total operating and administrative expenses. Add lines 13 through 23		217,027.	131,617.		30,689.
25 Contributions, gifts, grants paid		4,252,822.			4,252,822.
26 Total expenses and disbursements. Add lines 24 and 25		4,469,849.	131,617.		4,283,511.
27 Subtract line 26 from line 12		1,029,716.			
a Excess of revenue over expenses and disbursements			3,502,948.		
b Net investment income (if negative, enter -0-)					
c Adjusted net income (if negative, enter -0-)				N/A	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	-31,760.		
	2 Savings and temporary cash investments	158,493.	295,348.	295,348.
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock STMT 11	863,466.	2,526,721.	3,102,622.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 12		6,671,072.	4,383,498.	16,336,632.
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		7,661,271.	7,205,567.	19,734,602.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)	1,485,420.	0.	
23 Total liabilities (add lines 17 through 22)	1,485,420.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> X			
	24 Unrestricted	6,175,851.	7,205,567.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
30 Total net assets or fund balances	6,175,851.	7,205,567.		
31 Total liabilities and net assets/fund balances	7,661,271.	7,205,567.		

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	6,175,851.
2 Enter amount from Part I, line 27a	2	1,029,716.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	7,205,567.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	7,205,567.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENT			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 1,332,527.		1,157,154.	3,476,910.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(l) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			
d			
e			3,476,910.

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	3,476,910.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	9,518,858.	2,151,274.	4.424754
2012	5,951,342.	3,680,128.	1.617156
2011	5,175,595.	2,382,326.	2.172497
2010	5,829,690.	2,999,262.	1.943708
2009	2,614,503.	3,790,247.	.689798

2 Total of line 1, column (d)	2	10.847913
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	2.169583
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	19,321,136.
5 Multiply line 4 by line 3	5	41,918,808.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	35,029.
7 Add lines 5 and 6	7	41,953,837.
8 Enter qualifying distributions from Part XII, line 4	8	4,283,511.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	70,059.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0.
3 Add lines 1 and 2		3	70,059.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	70,059.
6 Credits/Payments			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d	7		0.
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		1,573.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		71,632.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be Credited to 2015 estimated tax Refunded	11		

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation <input type="checkbox"/> \$ 0. (2) On foundation managers <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> DE, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>NONE</b>	13	X	
14	The books are in care of ► <b>MICHAEL SCHINDER</b> Telephone no ► <b>212 210-6666</b> Located at ► <b>200 MADISON AVE, 5TH FL, NEW YORK, NY</b> ZIP+4 ► <b>10016-3912</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <b>15</b> N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELIHU ROSE	PRESIDENT/SECRETARY/DIRECTOR			
200 MADISON AVE 5TH FL	2.00	0.	0.	0.
NEW YORK, NY 10016-3912				
SUSAN W. ROSE	DIRECTOR			
200 MADISON AVE 5TH FL	2.00	0.	0.	0.
NEW YORK, NY 10016-3912				

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
2	
All other program-related investments See instructions	
3	

Total. Add lines 1 through 3

0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	2,777,530.
b	Average of monthly cash balances	1b	501,605.
c	Fair market value of all other assets	1c	16,336,232.
d	Total (add lines 1a, b, and c)	1d	19,615,367.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	19,615,367.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	294,231.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	19,321,136.
6	Minimum investment return. Enter 5% of line 5	6	966,057.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	966,057.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	70,059.
b	Income tax for 2014 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	70,059.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	895,998.
4	Recoveries of amounts treated as qualifying distributions	4	5,000.
5	Add lines 3 and 4	5	900,998.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	900,998.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	4,283,511.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	4,283,511.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	4,283,511.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				900,998.
<b>2</b> Undistributed income, if any, as of the end of 2014			0.	
<b>a</b> Enter amount for 2013 only				
<b>b</b> Total for prior years		0.		
<b>3</b> Excess distributions carryover, if any, to 2014.				
<b>a</b> From 2009	2,426,945.			
<b>b</b> From 2010	5,685,677.			
<b>c</b> From 2011	5,061,689.			
<b>d</b> From 2012	5,771,610.			
<b>e</b> From 2013	9,397,276.			
<b>f</b> Total of lines 3a through e	28,343,197.			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4 ▶ \$ 4,283,511.				
<b>a</b> Applied to 2013, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2014 distributable amount				900,998.
<b>e</b> Remaining amount distributed out of corpus	3,382,513.			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:	31,725,710.			
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5				
<b>b</b> Prior years' undistributed income Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr			0.	
<b>f</b> Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7	2,426,945.			
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	29,298,765.			
<b>10</b> Analysis of line 9				
<b>a</b> Excess from 2010	5,685,677.			
<b>b</b> Excess from 2011	5,061,689.			
<b>c</b> Excess from 2012	5,771,610.			
<b>d</b> Excess from 2013	9,397,276.			
<b>e</b> Excess from 2014	3,382,513.			

N/A

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(1)(3) or ☐ 4942(1)(5)

- [illegible]

### 1 Information Regarding Foundation Managers:

- SEE STATEMENT 13

- NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
122 EAST 66TH STREET FOUNDATION 122 EAST 66TH STREET NEW YORK, NY 10065	N/A	PC	CHARITABLE	1,000.
AA/SALZBURG WEILL CORNELL SEMINARS 1305 YORK AVENUE NEW YORK, NY 10021	N/A	PC	CHARITABLE	3,460.
ALZHEIMER'S DRUG DISCOVERY FOUNDATION 1414 AVE OF AMERICAS, SUITE 1502 NEW YORK, NY 10102	N/A	PC	CHARITABLE	2,500.
AMERICAN ACADEMY OF ARTS & SCIENCES 136 IRVING ST. CAMBRIDGE, MA 02138	N/A	PC	CHARITABLE	10,000.
AMERICAN ASSOC OF THE NATL ARMY MUSEUM 1000 N. WEST STREET, SUITE 1200 WILMINGTON, DE 19801	N/A	PC	CHARITABLE	10,000.
Total			SEE CONTINUATION SHEET(S)	4,252,822.
b Approved for future payment				
AMERICAN SOCIETY OF TECHNION-ISRAEL INSTITUTE OF TECHNOLOGY, INC. 55 EAST 59TH STREET NEW YORK, NY 10022-1710	N/A	PC	ANNUAL OPERATING FUND TO SUPPORT MASTER'S FELLOWSHIP (\$25,000 EACH YEAR OVER 4 YEARS)	75,000.
CARNEGIE HALL 881 SEVENTH AVENUE NEW YORK, NY 10019	N/A	PC	ARCHIVES DIGITIZATION PROJECT (\$200,000 EACH YEAR OVER 5 YEARS)	400,000.
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	QUALITY RESEARCH CENTER (\$100,000 EACH YEAR OVER 5 YEARS)	400,000.
Total			SEE CONTINUATION SHEET(S)	3,338,000.





**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN ASSOC OF THE ROYAL ACADEMY TRUST 767 THIRD AVENUE, NO 4 NEW YORK, NY 10017	N/A	PC	CHARITABLE	500.
AMERICAN FRIENDS OF ISRAEL DEMOCRACY INST 1266 W PACES FERRY RD ATLANTA, GA 30327	N/A	PC	CHARITABLE	10,000.
AMERICAN FRIENDS OF ISRAEL PHILHARMONIC ORCHESTRA 122 E 42ND STREET, SUITE 4507 NEW YORK, NY 10168	N/A	PC	CHARITABLE	1,000.
AMERICAN JEWISH HISTORICAL SOCIETY 15 WEST 16TH STREET, FLOOR 5 NEW YORK, NY 10011	N/A	PC	CHARITABLE	10,000.
AMERICAN MUSEUM OF NATURAL HISTORY CPW @ 79TH STREET NEW YORK, NY 10024	N/A	PC	CHARITABLE	1,750.
ANIMAL RESCUE FUND OF THE HAMPTONS, INC. PO BOX 901 WAINSCOTT, NY 11975	N/A	PC	CHARITABLE	2,500.
BROOKLYN ANIMAL ACTION 935 PRESIDENT STREET BROOKLYN, NY 11215	N/A	PC	CHARITABLE	300.
BUSINESS EXECUTIVES FOR NATIONAL SECURITY 1030 15TH STREET NW SUITE 200 EAST WASHINGTON, DC 20005	N/A	PC	CHARITABLE	25,000.
CARAMOOR CENTER FOR MUSIC & THE ARTS INC. P.O. BOX 816 KATONAH, NY 10536	N/A	PC	CHARITABLE	10,000.
CARNEGIE HALL SOCIETY INC. 881 SEVENTH AVENUE NEW YORK, NY 10019	N/A	PC	CHARITABLE	25,740.
Total from continuation sheets				4,225,862.

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CARNEGIE HALL SOCIETY INC. 881 SEVENTH AVENUE NEW YORK, NY 10019	N/A	PC	CHARITABLE	22,910.
CARNEGIE HALL 881 SEVENTH AVENUE NEW YORK, NY 10019	N/A	PC	CHARITABLE	50,000.
CARNEGIE HALL 881 SEVENTH AVENUE NEW YORK, NY 10019	N/A	PC	CHARITABLE	200,000.
CARNEGIE HALL 881 SEVENTH AVENUE NEW YORK, NY 10019	N/A	PC	CHARITABLE	3,000.
CARNEGIE HALL 881 SEVENTH AVENUE NEW YORK, NY 10019	N/A	PC	CHARITABLE	200,000.
CENTRAL SYNAGOGUE 123 E 55TH STREET NEW YORK, NY 10022	N/A	PC	CHARITABLE	8,380.
CENTRAL SYNAGOGUE 123 E 55TH STREET NEW YORK, NY 10022	N/A	PC	CHARITABLE	25,000.
CENTRAL SYNAGOGUE 123 E 55TH STREET NEW YORK, NY 10022	N/A	PC	CHARITABLE	8,380.
CHAMAH 27 WILLIAM STREET, SUITE 613 BROOKLYN, NY 10005	N/A	PC	CHARITABLE	1,000.
CLOSE ENCOUNTERS WITH MUSIC PO BOX 130 SPENCERTOWN, NY 12165	N/A	PC	CHARITABLE	1,250.
Total from continuation sheets				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CONCERT ARTISTS GUILD 850 SEVENTH AVENUE NO PH-A NEW YORK, NY 10019	N/A	PC	CHARITABLE	1,000.
CONCERT ARTISTS GUILD 850 SEVENTH AVENUE NO PH-A NEW YORK, NY 10019	N/A	PC	CHARITABLE	1,200.
COUNCIL ON FOREIGN RELATIONS 58 E 68TH STREET NEW YORK, NY 10131	N/A	PC	CHARITABLE	30,000.
DANCE/NYC 218 E 18TH STREET NEW YORK, NY 10003	N/A	PC	CHARITABLE	1,000.
DOCA 9245 OLD KEENE MILL RD NO 100 BURKE, VA 22015	N/A	PC	CHARITABLE	600.
FAMILY EQUALITY COUNCIL P.O. BOX 206 BOSTON, MA 02133	N/A	PC	CHARITABLE	1,000.
FEGS 315 HUDSON STREET NEW YORK, NY 10013	N/A	PC	CHARITABLE	1,000.
FRACTURED ATLAS 248 W 35TH STREET, LOTH FLOOR NEW YORK, NY 10001	N/A	PC	CHARITABLE	1,000.
FRENCH HERITAGE SOCIETY 14 E 60TH STREET, SUITE 605 NEW YORK, NY 10022	N/A	PC	CHARITABLE	2,500.
FRENCH HERITAGE SOCIETY 14 E 60TH STREET, SUITE 605 NEW YORK, NY 10022	N/A	PC	CHARITABLE	1,500.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FRENCH HERITAGE SOCIETY 14 E 60TH STREET, SUITE 605 NEW YORK, NY 10022	N/A	PC	CHARITABLE	1,000.
HARMONY PROGRAM 555 W 57 STREET NEW YORK, NY 10019	N/A	PC	CHARITABLE	1,000.
HARMONY PROGRAM 555 W 57 STREET NEW YORK, NY 10019	N/A	PC	CHARITABLE	5,000.
HASTY PUDDING INSTITUTE OF 1770 PO BOX 381940 CAMBRIDGE, MA 02238	N/A	PC	CHARITABLE	25,000.
HCUND UN NORTH LAWN BLDG, RM NL-01033G NEW YORK, NY 10017	N/A	PC	CHARITABLE	1,000.
HEAF 2090 ADAM CLAYTON POWELL BLVD NO 10 FL NEW YORK, NY 10027	N/A	PC	CHARITABLE	25,000.
HEBREW UNION COLLEGE MUSEUM 1 WEST 4TH STREET NEW YORK, NY 10012	N/A	PC	CHARITABLE	2,500.
HOSPITAL FOR SPECIAL SURGERY FUND INC. 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	CHARITABLE	23,000.
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	CHARITABLE	2,500.
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	CHARITABLE	100,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	CHARITABLE	25,000.
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	CHARITABLE	100,000.
ISABEL ROSE FOUNDATION 90 FRANKLIN STREET, 11TH FLOOR NEW YORK, NY 10013	N/A	PC	CHARITABLE	50,000.
JEWISH OPPORTUNITIES INSTITUTE 450 W 33RD STREET, 11TH FLOOR NEW YORK, NY 10001	N/A	PC	CHARITABLE	1,000.
JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	100,000.
JUMPSTART 505 8TH AVENUE, SUITE 602 NEW YORK, NY 10018	N/A	PC	CHARITABLE	2,500.
JUMPSTART 505 8TH AVENUE, SUITE 602 NEW YORK, NY 10018	N/A	PC	CHARITABLE	400.
LEGAL INFORMATION FOR FAMILIES TODAY 32 COURT STREET NO 1208 BROOKLYN, NY 11201	N/A	PC	CHARITABLE	500.
LIBRARY OF AMERICA 14 E 60TH STREET NEW YORK, NY 10022	N/A	PC	CHARITABLE	25,000.
LINCOLN CENTER THEATER 150 W 65TH STREET NEW YORK, NY 10023	N/A	PC	CHARITABLE	15,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LINCOLN CENTER THEATER 150 W 65TH STREET NEW YORK, NY 10023	N/A	PC	CHARITABLE	100,000.
MASS MOCA 1040 MASS MOCA WAY NORTH ADAMS, MA 01247	N/A	PC	CHARITABLE	1,000.
METROPOLITAN OPERA LINCOLN CENTER NEW YORK, NY 10023	N/A	PC	CHARITABLE	10,000.
MONTCLAIR KIMBERLEY ACADEMY 201 VALLEY ROAD MONTCLAIR, NJ 07042	N/A	PC	CHARITABLE	1,000.
MORGAN LIBRARY & MUSEUM 225 MADISON AVENUE NEW YORK, NY 10016	N/A	PC	CHARITABLE	945.
MUNICIPAL ART SOCIETY 111 WEST 57TH STREET NEW YORK, NY 10019	N/A	PC	CHARITABLE	5,000.
MUSEUM OF THE CITY OF NEW YORK 1220 FIFTH AVENUE NEW YORK, NY 10029	N/A	PC	CHARITABLE	12,500.
MUSEUM OF WORLD WAR II, INC. 46 ELIOT STREET NATICK, MA 01760	N/A	PC	CHARITABLE	25,000.
NATIONAL COUNCIL FOR HISTORY EDUCATION 13940 CEDAR RD UNIVERSITY HTS, OH 44118	N/A	PC	CHARITABLE	10,000.
NATIONAL MUSEUM OF AMERICAN ILLUSTRATION 492 BELLEVUE AVENUE NEWPORT, RI 02840	N/A	PC	CHARITABLE	1,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NATIONAL PARKS OF NY HARBOR CONSERVANCY 26 WALL STREET NEW YORK, NY 10005	N/A	PC	CHARITABLE	2,500.
NEW YORK CITY CENTER 130 WEST 56TH STREET NO 9TH FL NEW YORK, NY 10019	N/A	PC	CHARITABLE	9,060.
NEW YORK FESTIVAL OF SONG 307 SEVENTH AVENUE, SUITE 1206 NEW YORK, NY 10001	N/A	PC	CHARITABLE	5,000.
NEW YORK HISTORICAL SOCIETY 170 CPW NEW YORK, NY 10024	N/A	PC	CHARITABLE	5,000.
NEW YORK MILITARY AFFAIRS SYMPOSIUM PO BOX 592 NEW YORK, NY 10156	N/A	PC	CHARITABLE	500.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	100,000.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	1,000.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	200,000.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	33,000.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	9,300.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	23,000.
NEW YORK PRESBYTERIAN HOSPITAL 525 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	CHARITABLE	2,000.
NEW YORK PUBLIC RADIO DBA WNYC RADIO WQXR AND NJ PUBLIC RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PC	CHARITABLE	25,000.
NY COALITION FOR HEALTHY SCHOOL FOOD PO BOX 737 MAMARONECK, NY 10543	N/A	PC	CHARITABLE	1,000.
ORCHESTRA OF ST. LUKES 330 W 42ND STREET, 9TH FLOOR NEW YORK, NY 10036	N/A	PC	CHARITABLE	5,000.
PACE UNIVERSITY ONE PACE PLAZA NEW YORK, NY 10038	N/A	PC	CHARITABLE	2,000.
PARK AVENUE ARMORY 643 PARK AVENUE NEW YORK, NY 10065	N/A	PC	CHARITABLE	14,464.
PARK AVENUE ARMORY 643 PARK AVENUE NEW YORK, NY 10065	N/A	PC	CHARITABLE	50,000.
PARK AVENUE ARMORY 643 PARK AVENUE NEW YORK, NY 10065	N/A	PC	CHARITABLE	2,000,000.
PARK AVENUE ARMORY 643 PARK AVENUE NEW YORK, NY 10065	N/A	PC	CHARITABLE	35,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PRESERVATION LEAGUE OF NEW YORK STATE 44 CENTRAL AVENUE ALBANY, NY 12206	N/A	PC	CHARITABLE	1,000.
READING REFORM FOUNDATION 333 WEST 57TH STREET NEW YORK, NY 10019	N/A	PC	CHARITABLE	1,000.
ROUNDTABOUT THEATER 231 WEST 39TH STREET NO 1200 NEW YORK, NY 10018	N/A	PC	CHARITABLE	2,500.
ROUNDTABLE CULTURAL SEMINARS 45 EAST 78TH STREET, 5TH FLOOR NEW YORK, NY 10075	N/A	PC	CHARITABLE	1,063.
ROUNDTABLE CULTURAL SEMINARS 45 EAST 78TH STREET, 5TH FLOOR NEW YORK, NY 10075	N/A	PC	CHARITABLE	570.
ROUNDTABLE CULTURAL SEMINARS 45 EAST 78TH STREET, 5TH FLOOR NEW YORK, NY 10075	N/A	PC	CHARITABLE	1,063.
SAMUEL WAXMAN CANCER RESEARCH FDN. 30 OAKLEY AVENUE MT. VERNON, NY 10550	N/A	PC	CHARITABLE	8,500.
SIGNATURE THEATER 4200 CAMPBELL AVE ARLINGTON, VA 22206	N/A	PC	CHARITABLE	2,500.
SMITH COLLEGE COLLEGE HALL 204 10 ELM STREET NORTHAMPTON, MA 01063	N/A	PC	CHARITABLE	4,000.
SMITH COLLEGE COLLEGE HALL 204 10 ELM STREET NORTHAMPTON, MA 1063	N/A	PC	CHARITABLE	2,000.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SMITHSONIAN INSTITUTION P.O. BOX 37012, MRC 619 WASHINGTON, DC 20013	N/A	PC	CHARITABLE	10,000.
SPECIAL OLYMPICS NEW YORK 211 E 43RD STREET, ROOM 802 NEW YORK, NY 10017	N/A	PC	CHARITABLE	500.
THE ACTORS FUND 79 SEVENTH AVENUE, LOFT FLOOR NEW YORK, NY 10102	N/A	PC	CHARITABLE	1,500.
THE ARMY HISTORICAL FOUNDATION 2425 WILSON BLVD ARLINGTON, VA 22201	N/A	PC	CHARITABLE	200.
THE BATTERY CONSERVANCY ONE WHITEHALL STREET, 17TH FLOOR NEW YORK, NY 10004	N/A	PC	CHARITABLE	1,000.
THE CATHEDRAL OF ST. JOHN THE DEVINE 1047 AMSTERDAM AVE NEW YORK, NY 10025	N/A	PC	CHARITABLE	1,000.
THE CLASSICAL RECORDING FOUNDATION 128 MANOR LANE PELHAM, NY 10803	N/A	PC	CHARITABLE	1.
THE COAST GUARD FOUNDATION 394 TAUGWONK ROAD STONINGTON, CT 06378	N/A	PC	CHARITABLE	250.
THE DOE FUND 232 E 84TH STREET NEW YORK, NY 10028	N/A	PC	CHARITABLE	3,000.
THE JEWISH MUSEUM 1109 5TH AVENUE NEW YORK, NY 10128	N/A	PC	CHARITABLE	10,000.
Total from continuation sheets				



**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE JUILLIARD SCHOOL 60 LINCOLN CENTER PLAZA NEW YORK, NY 10023	N/A	PC	CHARITABLE	47,500.
THE KNIGHTS 1275 PROSPECT AVENUE BROOKLYN, NY 11218	N/A	PC	CHARITABLE	720.
THE KNIGHTS 1275 PROSPECT AVENUE BROOKLYN, NY 11218	N/A	PC	CHARITABLE	8,300.
THE KNIGHTS 1275 PROSPECT AVENUE BROOKLYN, NY 11218	N/A	PC	CHARITABLE	2,500.
THE KNIGHTS 1275 PROSPECT AVENUE BROOKLYN, NY 11218	N/A	PC	CHARITABLE	25,000.
THE LIBRARY OF AMERICA 14 E 60TH STREET NEW YORK, NY 10022	N/A	PC	CHARITABLE	100,000.
THE MUSEUM OF MODERN ART 11 WEST 53RD STREET NEW YORK, NY 10019	N/A	PC	CHARITABLE	1,750.
THE NEIGHBORHOOD CHARTER SCHOOL OF HARLEM 132 WEST 124TH STREET NEW YORK, NY 10027	N/A	PC	CHARITABLE	2,500.
THE ROCKEFELLER UNIVERSITY 1230 YORK AVENUE NEW YORK, NY 10065	N/A	PC	CHARITABLE	1,000.
THE SKYSCRAPER MUSEUM 39 BATTERY PLACE NEW YORK, NY 10280	N/A	PC	CHARITABLE	2,500.
Total from continuation sheets				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE TAPESTRY PROJECT 1400 FIFTH AVENUE NO TH-C1 NEW YORK, NY 10026	N/A	PC	CHARITABLE	500.
THE URBAN ASSEMBLY 509 W 129TH STREET NEW YORK, NY 10027	N/A	PC	CHARITABLE	2,500.
THE WHITNEY MUSEUM OF AMERICAN ART 945 MADISON AVENUE NEW YORK, NY 10131	N/A	PC	CHARITABLE	5,000.
UJA - FEDERATION OF NEW YORK 130 E. 59TH STREET NEW YORK, NY 10022	N/A	PC	CHARITABLE	86,666.
UJA - FEDERATION OF NEW YORK 130 E. 59TH STREET NEW YORK, NY 10022	N/A	PC	CHARITABLE	1,600.
UNITED HOSPITAL FUND 1411 BROADWAY NEW YORK, NY 10018	N/A	PC	CHARITABLE	1,000.
WEILL CORNELL MEDICAL COLLEGE 1300 YORK AVENUE NEW YORK, NY 10065	N/A	PC	CHARITABLE	500.
WEILL CORNELL MEDICAL COLLEGE 1300 YORK AVENUE NEW YORK, NY 10065	N/A	PC	CHARITABLE	1,000.
WNET - THIRTEEN 825 EIGHTH AVENUE 14TH FL NEW YORK, NY 10019	N/A	PC	CHARITABLE	10,000.
WQXR RADIO 160 VARICK STREET NEW YORK, NY 10013	N/A	PC	CHARITABLE	25,000.
Total from continuation sheets				

**3 Grants and Contributions Paid During the Year (Continuation)****Total from continuation sheets**

**Part XV Supplementary Information****3 Grants and Contributions Approved for Future Payment (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	ROSE FUND FOR EDUCATION AND RESEARCH/ANKLE FOOT (\$100,000 EACH YEAR OVER 5 YEARS)	400,000.
HOSPITAL FOR SPECIAL SURGERY 535 E 70TH STREET NEW YORK, NY 10021	N/A	PC	DR. JONATHAN DELAND RESEARCH CHAIR (300,000 EACH YEAR OVER 5 YEARS)	1,200,000.
LIBRARY OF AMERICA 14 E 60TH STREET NEW YORK, NY 10022	N/A	PC	ENDOWMENT (VARIES OVER 3 YEARS, \$250,000 TOTAL)	75,000.
MARLBORO MUSIC 1538 WALNUT STREET, NO 301 PHILADELPHIA, PA 19102	N/A	PC	SERIES IN WEILL HALL OF MUSICIANS (\$10,000 EACH YEAR OVER 5 YEARS)	40,000.
MUSEUM OF WORLD WAR II, INC. 46 ELIOT STREET NATICK, MA 01760	N/A	PC	ESTABLISHING, IMPROVING, AND EXPANDING THE MUSEUM (\$25,000 EACH YEAR OVER 4 YEARS)	75,000.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6973	N/A	PC	ARTISTIC INITIATIVES FUND (\$200,000 EACH YEAR OVER 5 YEARS)	600,000.
NEW YORK PHILHARMONIC 10 LINCOLN CENTER PLAZA NEW YORK, NY 10023-6973	N/A	PC	ADOPTED TEACHING ARTIST: RICHARD MANNOIA (\$33,000 EACH YEAR OVER 3 YEARS)	67,000.
NEW YORK PRESBYTERIAN FUND, INC. 525 EAST 68TH STREET NEW YORK, NY 10065	N/A	PC	FRIEND OF THE IRIS CANTOR WOMEN'S HEALTH CENTER (\$2,000 EACH YEAR OVER 5 YEARS)	6,000.
Total from continuation sheets				2,463,000.

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES		P	VARIOUS	VARIOUS
b INTERNATIONAL BUSINESS MACHINES CORP (100 SHARES)		D	02/15/05	05/30/14
c INSTITUTIONAL DIVERSIFIED ARBITRAGE STRATEGIES LT		P	VARIOUS	VARIOUS
d UNRECAPTURED SECTION 1250 GAIN		P	VARIOUS	VARIOUS
e NET SECTION 1231 GAIN		P	VARIOUS	VARIOUS
f				
g				
h				
i				
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 1,314,067.		1,147,706.	166,361.
b 18,460.		9,448.	9,012.
c			3,285,419.
d			757.
e			15,361.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-")
a			166,361.
b			9,012.
c			3,285,419.
d			757.
e			15,361.
f			
g			
h			
i			
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) ( If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 )	2	3,476,910.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8	3	N/A

**Schedule B**(Form 990, 990-EZ,  
or 990-PF)Department of the Treasury  
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.  
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and  
its instructions is at [www.irs.gov/form990](http://www.irs.gov/form990)

OMB No 1545-0047

**2014**

Name of the organization

SUSAN AND ELIHU ROSE FOUNDATION, INC.

Employer identification number

13-3484181

Organization type (check one):

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)( ) (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

**Special Rules**

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ \_\_\_\_\_

**Caution.** An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization	Employer identification number
SUSAN AND ELIHU ROSE FOUNDATION, INC.	13-3484181

**Part I Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELIHU ROSE 2 EAST 88TH STREET NEW YORK, NY 10128-0555	\$ 930,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
2	SUSAN W. ROSE 2 EAST 88TH STREET NEW YORK, NY 10128-0555	\$ 930,000.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)





Name of organization

Employer identification number

SUSAN AND ELIHU ROSE FOUNDATION, INC.

13-3484181

**Part III** Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee