

See a Social Security Number? Say Something! Report Privacy Problems to https://public.resource.org/privacy Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form 990-PF

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

► Do not enter social security numbers on this form as it may be made public.

2014

Department of the Treasury Internal Revenue Service Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. For calendar year 2014 or tax year beginning and ending A Employer identification number Name of foundation KATHERINE K. MCLANE AND HENRY R. MCLANE 06-6537915 CHARITABLE TRUST Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number SHIPMAN & GOODWIN LLP, 1 CONSTITUTION PL (860)251-5000 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here HARTFORD, CT 06103-1919 G Check all that apply Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return 2. Foreign organizations meeting the 85% test, check here and attach computation Address change Name change H Check type of organization X Section 501(c)(3) exempt private foundation E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here X Cash I Fair market value of all assets at end of year | J | Accounting method Accrual F If the foundation is in a 60-month termination Other (specify) (from Part II, col. (c), line 16) under section 507(b)(1)(B), check here 6, 266, 272. (Part I, column (d) must be on cash basis.) ▶\$ Part | Analysis of Revenue and Expenses (d) Disbursements (b) Net investment (a) Revenue and (c) Adjusted net for chantable purposes (cash basis only) (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a)) expenses per books income income N/A 1 Contributions, gifts, grants, etc., received 2 Check X if the foundation is not required to attach Sch. B interest on savings and temporary cash investments 156,994. 159,206. STATEMENT Dividends and interest from securities 5a Gross rents D Net rental income or (loss) 619,752 6a Net gain or (loss) from sale of assets not on line 10 b Gross sales price for all assets on line 6a 1,756,347. 619,752 7 Capital gain net income (from Part IV, line 2) Net short-term capital gain Income modifications 10a Gross sales less returns and allowances b Less Cost of goods sold c Gross profit or (loss) 3,360 STATEMENT 11 Other income 782,318 776,746. 12 Total. Add lines 1 through 11 0. Compensation of officers, directors, trustees, etc Other employee salaries and wages Pension plans, employee benefits 15 17,724. **TM**T 3 59,080. 41,356. b Accounting less Othar professional fees igist MAY 1 8 2015 Ö and Administrative 17 18 19 20 21 Travel, conferences, and meetings 22 Printing and publications 100. 100. 0. STMT 4 Other expenses 24 Total operating and administrative 59,180. 17,824. <u>41,356.</u> expenses. Add lines 13 through 23 260,000. 260,000. 25 Contributions, gifts, grants paid 26 Total expenses and disbursements. 319,180. 17,824 301,356. Add lines 24 and 25 27 Subtract line 26 from line 12 463,138. 3 Excess of revenue over expenses and disbursements 758,922. b Net investment income (if negative, enter -0-) N/A c Adjusted net income (if negative, enter -0-)

KATHERINE K. MCLANE AND HENRY R. MCLANE CHARITABLE TRUST

For	m 99	0-PF (2014) CHARITABLE TRUST		06-6	5 <u>53</u> 7915 Page 2
В	art	Balance Sheets Attached schedules and amounts in the description	Beginning of year	End of	year
	QI L	column should be for end-of-year amounts only	(a) Book Value	(b) Book Value	(c) Fair Market Value
	1	Cash - non-interest-bearing	200.	200.	200.
	2	Savings and temporary cash investments	169,043.	272,734.	272,734.
	3	Accounts receivable ►			
		Less allowance for doubtful accounts			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other			
	l	disqualified persons			
	7	Other notes and loans receivable			
		Less allowance for doubtful accounts ▶			
ets	8	Inventories for sale or use	=		
Assets	9	Prepaid expenses and deferred charges		_	*** **** ***
•	1	Investments - U.S. and state government obligations	2 220 622	2 404 401	F 100 100
	1	Investments - corporate stock STMT 5	3,329,622.	3,484,421.	5,129,198.
		Investments - corporate bonds STMT 6	679,633.	880,953.	864,140.
	11	Investments - land, buildings, and equipment basis			
		Less accumulated depreciation			
	1	Investments - mortgage loans			
	13	Investments - other			
	14	Land, buildings, and equipment basis		1	
	l	Less accumulated depreciation	· · · · · · · · · · · · · · · · · · ·		
		Other assets (describe)			· · · · · · · · · · · · · · · · · · ·
	16	Total assets (to be completed by all filers - see the	4 170 400	4 620 200	6 266 272
	1	instructions Also, see page 1, item I)	4,178,498.	4,638,308.	6,266,272.
		Accounts payable and accrued expenses			
	18	Grants payable			
ties	19	Deferred revenue			
Liabilities	20	Loans from officers, directors, trustees, and other disqualified persons			
Ë	21	Mortgages and other notes payable			
	22	Other liabilities (describe	-		
	22	Total liabilities (add lines 17 through 22)	0.	0.	
_	23	Foundations that follow SFAS 117, check here			
		and complete lines 24 through 26 and lines 30 and 31.			
ès	24	Unrestricted			
anc anc	25	Temporarily restricted			
Baf	26	Permanently restricted			
펕	-"	Foundations that do not follow SFAS 117, check here			
교		and complete lines 27 through 31.			
ō	27	Capital stock, trust principal, or current funds	0.	0.	
šets	28	Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
Ass	29	Retained earnings, accumulated income, endowment, or other funds	4,178,498.	4,638,308.	
Net Assets or Fund Balances	30	Total net assets or fund balances	4,178,498.	4,638,308.	
_					
	31	Total liabilities and net assets/fund balances	4,178,498.	4,638,308.	
P	art	Analysis of Changes in Net Assets or Fund B	alances		
1	Tota	I net assets or fund balances at beginning of year - Part II, column (a), line	30		
		st agree with end-of-year figure reported on prior year's return)		1	4,178,498.
2		r amount from Part I, line 27a		2	463,138.
3	Othe	r increases not included in line 2 (itemize)		3	0.
		lines 1, 2, and 3		4	4,641,636.
5	Deci	eases not included in line 2 (itemize) REIT RETURN OF	CAPITAL		3,328.
R	Tota	I net assets or fund balances at end of year (line 4 minus line 5) - Part II, co	lumn (b) line 30	6	4,638,308.

Form **990-PF** (2014)

2-story brick w		d(s) of property sold (e g common stock, 200 shs			`P	low ac - Purch - Dona	nase	(c) Date a (mo , da	acquired ay, yr)	(d) Date sold (mo , day, yr)
1a	- COTTO				<u> </u>					
b SEE ATTACHED	STAT	EMENTS			-					
<u>C</u>					-					
e			· · · · · · · · · · · · · · · · · · ·							
(e) Gross sales price		preciation allowed (or allowable)		t or other basis xpense of sale					ain or (loss (f) minus	
<u>a</u>										
<u>b</u>						-	•			
d d										
e 1,756,347.				1,136,59	5.					619,752
Complete only for assets shown		olumn (h) and owned by					m	Gains (C	ol (h) gain	
	T	Adjusted basis		cess of col (I)			col	(k), but n	ot less that	n -0-) or
(i) F M V as of 12/31/69		is of 12/31/69		col (j), if any				Losses	(from col (ከ))
a									-	
b										
c		- , -1, -1, -1, -1, -1, -1, -1, -1, -1,								
d										
9										619,752
	•	(If gain, also enter	r in Part I Jine 3	7	1		ı			
2 Capital gain net income or (net c	apıtal loss)	{ If gain, also enter If (loss), enter -0	- in Part I, line	, 7)	2				619,752
3 Net short-term capital gain or (lo	ss) as defin	•								
If gain, also enter in Part I, line 8			- (-)		<u>}</u>					
If (loss), enter -0- in Part I, line 8 Part V Qualification U					لليـ	3			N/A	·
f section 4940(d)(2) applies, leave t Was the foundation liable for the sec			nount of any ye	ar in the base pe	rıod?					Yes X No
If "Yes," the foundation does not qua	lify under s	ection 4940(e). Do not co	mplete this pa	rt						
1 Enter the appropriate amount in	each colum	n for each year, see the II	nstructions bef	ore making any e	entries	<u> </u>				74\
(a) Base period years Calendar year (or tax year beginn	ıng ın)	(b) Adjusted qualifying dis		Net value of no		rıtable-				oution ratio
2013			5,743.		5	,45	6,391	•		.050536
2012			1,580.				4,408 7,076			.049481
2011			0,580. 9,556.			<u> </u>	1,583	_	<u>.</u>	.054233
2010			8,800.				$\frac{1,303}{9,278}$.05346
2009			0,000.			121	7,210	+		•03340
2 Total of line 1 column (d)								2		.253860
Total of line 1, column (d)Average distribution ratio for the	5-vear back	neriod - divide the total	on line 2 by 5	or by the number	r of ve	are		-		• 20000
the foundation has been in exist			on line 2 by 5,	or by the number	i Oi yo	,413		3		.050772
the foundation has been in exist	511CG 11 1033 1	nan 5 years								
4 Enter the net value of noncharita	ble-use ass	ets for 2014 from Part X,	line 5					4		5,974,626
Multiply line 4 by line 3								5	<u> </u>	303,344
6 Enter 1% of net investment inco	me (1% of F	Part I, line 27b)						6		7,589
7 Add lines 5 and 6								7		310,933
8 Enter qualifying distributions fro					_			8		301,356
If line 8 is equal to or greater tha See the Part VI instructions	ın line 7, che	ck the box in Part VI, line	e 1b, and comp	elete that part usir	ng a 1	% tax ı	rate			

KATHERINE K. MCLANE AND HENRY R. MCLANE

	m 990-PF (2014) CHARITABLE TRUST		<u>06</u> –	<u>6537</u>	<u>₹15</u>		Page 4
Pa	art VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4	948	- see ir	stru	ction	าร)
1 a	Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1)		1			
	Date of ruling or determination letter (attach copy of letter if necessary-see instructions)						
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here 🕨 🔲 and enter 1%	•	1		1	5,1	<u>78.</u>
	of Part I, line 27b						
C	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)	J					
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2				0.
3	Add lines 1 and 2		3		1	5,1	<u>78.</u>
	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4				0.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5		1	5,1	78.
6	Credits/Payments						
а	a 2014 estimated tax payments and 2013 overpayment credited to 2014 6a 2,	650.					
b	b Exempt foreign organizations - tax withheld at source 6b						
C	c Tax paid with application for extension of time to file (Form 8868)						
d	d Backup withholding erroneously withheld 6d						
7	Total credits and payments Add lines 6a through 6d		7	ļ		<u>2,6</u>	<u>50.</u>
8	Enter any penalty for underpayment of estimated tax. Check here If Form 2220 is attached		8				
9	Tax due If the total of lines 5 and 8 is more than line 7, enter amount owed		9	ļ	1	2,5	28.
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	ļ <u>.</u> .			
		nded 🚩	11	İ	.		
	art VII-A Statements Regarding Activities						
1a	a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate of	intervene	e in			Yes	
	any political campaign?				1a		X
b	b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the				1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials	publish	ed or				
	distributed by the foundation in connection with the activities.						.,
	c Did the foundation file Form 1120-POL for this year?			ļ	1c	:	X
d	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year	•					
	(1) On the foundation ► \$ (2) On foundation managers ► \$	0.	_				
е	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation	ition					
_	managers ▶ \$O .						Х
2	Has the foundation engaged in any activities that have not previously been reported to the IRS?				2		. ^
_	If "Yes," attach a detailed description of the activities						
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incor	poration,	or		3		Х
	bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes				4a		X
	a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		N	I/A	4b		
	b If "Yes," has it filed a tax return on Form 990-T for this year? Was there a liquidation, termination, dissolution, or substantial contraction during the year?		•	.,	5		Х
J	If "Yes," attach the statement required by General Instruction T.				<u></u>		·
e	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either						
O	By language in the governing instrument, or						
	 By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with 	h the stat	a law				
	remain in the governing instrument?	(110 3141	0 10 **		6	Х	1
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), an	d Part XI	,		7	X	
•	the foundation have at least \$5,000 in assets at any time outling the year. If Tes, Complete Faith, Cor (c), and	0 / W.X.					ļ · · · ·
R a	a Enter the states to which the foundation reports or with which it is registered (see instructions)			,			
Ja	CT						
H	b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designation)	te)					
L	of each state as required by General Instruction G? If "No," attach explanation	,			8b	Х]
9		5) for cal	endar				
3	year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV	,			9		Х
10	Did any persons become substantial contributors during the tax year? If 'yes,' attach a schedule listing their names and addre	sses			10		Х

KATHERINE K. MCLANE AND HENRY R. MCLANE

orm	990-PF (2014) CHARITABLE TRUST 06-65	37915		Page 5
Pa	irt VII-A Statements Regarding Activities (continued)			
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of			
	section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges?			
	If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Х	
	Website address ► N/A			
14	The books are in care of ▶ JAMES T BETTS, SHIPMAN & GOODWIN LLP Telephone no ▶860-	251-5	000	
		06103		19
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here		<u> </u>	
	and enter the amount of tax-exempt interest received or accrued during the year	N	/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank,		Yes	No
	securities, or other financial account in a foreign country?	16	. 03	X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the			
	foreign country			
Pa	art VII-B Statements Regarding Activities for Which Form 4720 May Be Required			
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.]''' ']	Yes	No
12	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No.	,		
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)	'		
	a disqualified person?	,		
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?			
	(5) Transfer any income or assets to a disqualified person (or make any of either available	'		
	for the benefit or use of a disqualified person)?	.		
	(6) Agree to pay money or property to a government official? (Exception. Check "No"	'		
	if the foundation agreed to make a grant to or to employ the official for a period after			
		,		
h	termination of government service, if terminating within 90 days.) If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations	'		
u	/-	. 1b		
		7 ' ''	1 117711	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,
_	Organizations relying on a current notice regarding disaster assistance check here	ا ا		
G	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected	1.		X
•	before the first day of the tax year beginning in 2014? Taxon on follows to distribute process (control 4042) (does not apply for years the foundation was a private operating foundation.	10		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation			
_	defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? Yes X N	.		
		'		
	If "Yes," list the years ►,,,,,,,,,			
D				
	valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2.		
	,	2b		
C	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here			
•				
38	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X N			
)		
t	o if "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after			
	May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose			
	of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,			
_	Form 4720, to determine if the foundation had excess business holdings in 2014) N/A			-
	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that			v
	had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		<u>X</u>

KATHERINE K. MCLANE AND HENRY R. MCLANE CHARITABLE TRUST 06-6537915 Form 990-PF (2014) Page 6 Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued) 5a During the year did the foundation pay or incur any amount to Yes X No (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, Yes X No any voter registration drive? Yes X No (3) Provide a grant to an individual for travel, study, or other similar purposes? (4) Provide a grant to an organization other than a charitable, etc., organization described in section Yes X No 4945(d)(4)(A)? (see instructions) (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for Yes X No the prevention of cruelty to children or animals? b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations N/A section 53 4945 or in a current notice regarding disaster assistance (see instructions)? 5b Organizations relying on a current notice regarding disaster assistance check here c. If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained N/A Yes expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53 4945-5(d). 6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on Yes X No a personal benefit contract? X 6b b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870. Yes X No 7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? N/A7b b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors List all officers, directors, trustees, foundation managers and their compensation. (d) Contributions to employee benefit plans and deferred compensation (e) Expense account, other (b) Title, and average hours per week devoted to position (c) Compensation (If not paid, (a) Name and address enter -0-) allowances JAMES T BETTS TRUSTEE SHIPMAN & GOODWIN, CONSTITUTION PLZ 1 0 0. HARTFORD, CT 06103 1.00 0 HENRY R MCLANE, III TRUSTEE SHIPMAN & GOODWIN, 1 CONSTITUTION PLZ 0. 1.00 0 0. CT 06103 HARTFORD, 2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE." (d) Contributions to employee benefit plans and deferred (e) Expense account, other (b) Title, and average hours per week devoted to position (a) Name and address of each employee paid more than \$50,000 (c) Compensation allowances NONE

Total number of other employees paid over \$50,000

KATHERINE K. MCLANE AND HENRY R. MCLANE CHARITABLE TRUST

Form 990-PF (2014) CHARITABLE TRUST	06-6	537915 Page 7
Part VIII	Information About Officers, Directors, Trustees, Foundation Paid Employees, and Contractors (continued)	ation Managers, Highly	
3 Five high	est-paid independent contractors for professional services. If none, ente	er "NONE."	
	(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
I	NONE		
	of others receiving over \$50,000 for professional services		▶ 0
Part IX-A	Summary of Direct Charitable Activities		<u> </u>
List the found number of or	lation's four largest direct charitable activities during the tax year. Include relevant stati ganizations and other beneficiaries served, conferences convened, research papers pro	stical information such as the induced, etc	Expenses
1	N/A		
	•		
2			
3			
4			
Part IX-t	Summary of Program-Related Investments two largest program-related investments made by the foundation during the tax year of	n lines 1 and 2	Amount
	N/A		
2			
All other prog	gram-related investments. See instructions		
Total, Add li	nes 1 through 3	>	0.

Form **990-PF** (2014)

Page 8

P	Minimum Investment Return (All domestic foundations must complete this part. Foreign four	ndations	, see instructions)
1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,745,671.
	Average of monthly cash balances	1b	5,745,671.
	Fair market value of all other assets	10	
d	Total (add lines 1a, b, and c)	1 d	6,065,610.
	Reduction claimed for blockage or other factors reported on lines 1a and		
	1c (attach detailed explanation) 1e 0 •		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	6,065,610.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	90,984.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	5,974,626.
6_	Minimum investment return. Enter 5% of line 5	6	298,731.
P	art XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and foreign organizations check here and do not complete this part.)	d certain	
1	Minimum investment return from Part X, line 6	1	298,731.
2a	Tax on investment income for 2014 from Part VI, line 5		
b	Income tax for 2014 (This does not include the tax from Part VI)		
C	Add lines 2a and 2b	2c	15,178.
3	Distributable amount before adjustments Subtract line 2c from line 1	3	283,553.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	283,553.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	283,553.
P	Qualifying Distributions (see Instructions)		·
1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		201 256
а	Expenses, contributions, gifts, etc total from Part I, column (d), line 26	1a	301,356.
þ	Program-related investments - total from Part IX-B	1b	<u> </u>
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
а	Suitability test (prior IRS approval required)	3a	
	Cash distribution test (attach the required schedule)	3b	301,356.
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	301,336.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment	_	Λ
_	Income Enter 1% of Part I, line 27b	5	301,356.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	
	Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation of 4940(e) reduction of tax in those years	qualifies fo	or the section

Form **990-PF** (2014)

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI,				
line 7				283,553.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			0.	
b Total for prior years				
	 	0.	-1	###- -
3 Excess distributions carryover, if any, to 2014				
a From 2009				
b From 2010 1,506.				
c From 2011				
d From 2012 6,000.				
e From 2013 8,137.				
f Total of lines 3a through e	15,643.			
4 Qualifying distributions for 2014 from				
Part XII, line 4 ►\$ 301,356.			_	
a Applied to 2013, but not more than line 2a			0.	····
b Applied to undistributed income of prior		_		
years (Election required - see instructions)		0.	·	<u>, ,, ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,</u>
c Treated as distributions out of corpus				
(Election required - see instructions)	0.		Historia Miliano	
d Applied to 2014 distributable amount				283,553.
e Remaining amount distributed out of corpus	17,803.		1 - ,	
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below				
2 Corpus Add lines 3f, 4c, and 4e Subtract line 5	33,446.			
b Prior years' undistributed income Subtract				
line 4b from line 2b		0.		
c Enter the amount of prior years'				
undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable				
amount - see instructions		0.		
e Undistributed income for 2013 Subtract line		***************************************		
4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2014 Subtract				
lines 4d and 5 from line 1. This amount must				
be distributed in 2015				0.
7 Amounts treated as distributions out of				
corpus to satisfy requirements imposed by				
section 170(b)(1)(F) or 4942(g)(3) (Election				
may be required - see instructions)	0.	·		
8 Excess distributions carryover from 2009				
not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2015.				
Subtract lines 7 and 8 from line 6a	33,446.			
10 Analysis of line 9		,		
a Excess from 2010 1,506.				
b Excess from 2011				
c Excess from 2012 6,000.				
d Excess from 2013 8,137.				
e Excess from 2014 17,803.				1
423581 11-24-14				Form 990-PF (2014)

KATHERINE K. MCLANE AND HENRY R. MCLANE

CHARITABLE TRUST Form 990-PF (2014) 06-6537915 Page 10 Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling 4942(j)(5) b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or Prior 3 years 2 a Enter the lesser of the adjusted net Tax year (a) 2014 (b) 2013 (c) 2012 (d) 2011 (e) Total income from Part I or the minimum investment return from Part X for each year listed **b** 85% of line 2a c Qualifying distributions from Part XII. line 4 for each year listed d Amounts included in line 2c not used directly for active conduct of exempt activities e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c Complete 3a, b, or c for the alternative test relied upon a "Assets" alternative test - enter (1) Value of all assets (2) Value of assets qualifying under section 4942(j)(3)(B)(i) b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) (3) Largest amount of support from an exempt organization (4) Gross investment income Part XV | Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.) Information Regarding Foundation Managers: a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2)) NONE b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs: Check here - if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed JAMES T BETTS, TRUSTEE, (860)251-5000 SHIPMAN & GOODMAN LLP, ONE CONSTITUTION PLAZA, HARTFORD, CT 06103 b The form in which applications should be submitted and information and materials they should include BY WRITTEN REQUEST c Any submission deadlines APPLICATIONS CONSIDERED ON A ROLLING BASIS IN THE ORDER RECEIVED d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors ONLY ORGANIZATIONS DESCRIBED IN SECTION 501(C)(3) OF IRS CODE AND QUALIFYING AS PUBLIC CHARITIES UNDER SECTION 509(A) ARE ELIGIBLE FOR GRANTS Form **990-PF** (2014)

423601 11-24-14

06-6537915 Page 11

3 Grants and Contributions Paid During the		Payment		
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	any foundation manager or substantial contributor	recipient		
a Paid during the year				
AFRICAN WILDLIFE FOUNDATION 1400 16TH STREET NW	NONE	PUBLIC CHARITY	UNRESTRICTED	
WASHINGTON DC 20036				6,00
MADITATOR, DC 2000	-		- 1	
AMERICAN NATIONAL RED CROSS	NONE	PUBLIC CHARITY	UNRESTRICTED	
P O BOX 37243				
WASHINGTON, DC 20013		.=		6,00
BUSHNELL PARK FOUNDATION	NONE	PUBLIC CHARITY	UNRESTRICTED	
РО ВОХ 230778				
HARTFORD, CT 06123				6,00
CUADMED ON CUADMED AMEDICAN DED	NONE	PUBLIC CHARITY	INDESTRICTED	
CHARTER OAK CHAPTER, AMERICAN RED	NONE	FOBLIC CHARITI	DRRESTRICTED	
209 FARMINGTON AVENUE				
FARMINGTON, CT 06032				6,00
COMPASSION AND CHOICES	NONE	PUBLIC CHARITY	UNRESTRICTED	
P O BOX 10810 DENVER, CO 80250-1810				6,00
	ONTINUATION SHEE	ET(S)	▶ 3a	260,00
b Approved for future payment		T		
NONE				
			 	
	•			

Page 12

Part XVI-A **Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated	Unrelated business income			d by section 512, 513, or 514	(e)		
1 Program service revenue	(a) Business code	(b) Amount	(C) Exclu- sion code	(d) Amount	Related or exempt function income		
•	code						
			 				
			 				
d			 				
0							
e	- 						
g Fees and contracts from government agencies	_						
2 Membership dues and assessments		•		· · · · · · · · · · · · · · · · · · ·			
3 Interest on savings and temporary cash			 	- · · · · · -	- 		
investments							
4 Dividends and interest from securities			14	159,206.			
5 Net rental income or (loss) from real estate				, , , , , , , , , , , , , , , , , , , 	 		
a Debt-financed property		***************************************					
b Not debt-financed property							
6 Net rental income or (loss) from personal		· · · · · · · · · · · · · · · · · · ·	 				
property							
7 Other investment income							
8 Gain or (loss) from sales of assets other					··· -		
than inventory	1		18	619,752.			
9 Net income or (loss) from special events							
10 Gross profit or (loss) from sales of inventory							
11 Other revenue							
a FEDERAL EXCISE TAX			<u> </u>				
b REFUND			01	3,360.			
C							
d							
e		<u> </u>					
12 Subtotal Add columns (b), (d), and (e)		0.		782,318.	0.		
13 Total. Add line 12, columns (b), (d), and (e)				13	782,318.		
(See worksheet in line 13 instructions to verify calculations.))						
Part XVI-B Relationship of Activities	to the Accor	nplishment of Ex	cempt	Purposes			
Line No Explain below how each activity for which in	come is reported in	column (e) of Part XVI-A	contribu	ted importantly to the accomp	lishment of		
▼ the foundation's exempt purposes (other tha	an by providing fund	s for such purposes)					
<u> </u>							
				· · · · · · · · · · · · · · · · · · ·			
				 			
		<u> </u>			·-···		
			-				
							
							
			-				
		<u> </u>					

		's To and Tran	sactions ar	nd Relationships With Nonc	charitable	ð	
Exempt Organ Did the organization directly or indir		following with any o	ther organization	1 described in section 501(c) of		Yes	No
the Code (other than section 501(c)					'	,	
Transfers from the reporting founda	ition to a noncharitable ex	cempt organization of				1	1.,
(1) Cash					1a(1)		X
(2) Other assets					1a(2)	 	X
Other transactions (1) Sales of assets to a noncharital	ble exampt organization				16(1)		X
(1) Sales of assets to a noncharitate (2) Purchases of assets from a nor	• •	מחולבדו			1b(1)	1	$\frac{x}{x}$
(3) Rental of facilities, equipment,		Zation			1b(3)	1	X
(4) Reimbursement arrangements		•			1b(4)	1	Х
(5) Loans or loan guarantees					1b(5)		X
(6) Performance of services or me	mbership or fundraising s	solicitations			1b(6)	<u> </u>	X
Sharing of facilities, equipment, ma	-			•	1c	<u></u> ;	X
· · · · · · · · · · · · · · · · · · ·		•		ays show the fair market value of the goo		ets,	
or services given by the reporting to column (d) the value of the goods, (alf Market value	e in any transaction or sharing arrangeme	∄NI, SHOW m		
Line no (b) Amount involved		charitable exempt orga	anization	(d) Description of transfers, transactions,	s, and sharing a	rangem	nents
		N/A					
	Ι						
							
	 						
							
							_
	The state of the s	The same and the s					
Is the foundation directly or indirect			exempt organiza	ations described	Yes	· []	X No
in section 501(c) of the Code (other if "Yes," complete the following sch)[III SECTION 251 -				L	<u>*</u>
(a) Name of org		(b) Type o	f organization	(c) Description of relat	itionship		
N/A							
		_	1				

Phone no 860-251-5000

P00237150

PTIN

Firm's EIN ► 06-0640174

Check If

self- employed

Form **990-PF** (2014)

Paid

Preparer

Use Only

Sygnature of officer or trustee

Print/Type preparer's name

BRYON W. HARMON

Firm's name ► SHIPMAN & GOODWIN

Firm's address ► ONE CONSTITUTION PLAZA

HARTFORD, CT 06103-1919

Date

Preparer's signature

Title

Date

Part IV	Capital Gains and Los	ses for Tax on Investment Incom	8	
		describe the kind(s) of property soick warehouse, or common stock,		(b) How acquired P - Purchase (mo , day, yr) (d) Date sold (mo , day, yr)
1a 100	0 SHS COACH	INC		03/25/1301/22/14
b 100		INC		03/28/1301/22/14
c 100	0 SHS COACH	INC		04/30/1301/22/14
d 900	SHS AUTOMA	TIC DATA PROCESS	SING	11/21/0303/06/14
e 600	SHS AUTOMA	TIC DATA PROCES	SING	11/21/0303/06/14
f 100				10/11/11/03/06/14
	0 SHS WALMAI			09/13/1203/06/14
	SHS WALGRE			09/12/9703/06/14
190				11/21/03/03/06/14
130			<u> </u>	11/21/03/03/06/14
k 700				11/21/0305/30/14
1 100				11/21/0305/30/14
m 100				11/21/0305/30/14
n 500				11/21/0305/30/14
0 200	00 SHS EBAY			10/25/13/06/11/14
(e)	Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<u>a</u>	48,649.		50,450.	$\frac{-1,801}{0.06}$
<u>b</u>	48,649.		49,655.	-1,006.
С	48,649.		58,584.	-9,935.
d	70,192.		30,039.	40,153.
<u>e</u>	46,795.		20,026.	10,950.
<u>f</u>	37,989. 74,879.		74,320.	559.
<u>g</u>	53,907.	<u> </u>	3,781.	50,126.
<u>h</u>	128,029.		67,830.	60,199.
	87,599.		46,410.	41,189.
J	64,787.		22,081.	42,706.
<u>^</u>	100,438.		35,430.	65,008.
m	100,958.		51,048.	49,910.
n	44,009.		23,718.	20,291.
0	96,953.		102,923.	-5,970.
		ng gain in column (h) and owned b	y the foundation on 12/31/69	(I) Losses (from col (h))
-	M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a				-1,801.
b _				-1,006.
С				-9,935.
d				40,153.
<u>e</u>				26,769.
f			<u> </u>	10,950.
9		<u> </u>		559.
h				50,126.
1			 	60,199.
				41,189.
<u>k</u>				65,008.
<u> </u>			<u> </u>	49,910.
<u>m</u>		<u> </u>	 	20,291.
<u>n</u>				-5,970.
0				
2 Capital	gain net income or (net ca	apital loss) { If gain, also enter the loss If (loss),	ter in Part I, line 7 -0-" in Part I, line 7	2
If gain	ort-term capital gain or (los , also enter in Part I, line 8, s), enter "-0-" in Part I, line 8		and (6)	3

Part IV Capital Gains and Losses for Tax on Investment Income (b) How acquired P - Purchase D - Donation (c) Date acquired (mo , day, yr) (a) List and describe the kind(s) of property sold, e.g., real estate, (d) Date sold 2-story brick warehouse, or common stock, 200 shs MLC Co (mo, day, yr) 1000 SHS EBAY INC 03/06/1406/11/14 b 420 SHS APPLE INC 01/11/1307/10/14 c 80 SHS APPLE INC 03/07/1307/10/14 04/14/1107/10/14 d 1000 SHS REALTY INCOME CORP e 1000 02/03/1407/10/14 SHS REALTY INCOME CORP 09/03/0907/10/14 f 3000 SHS VANGUARD FTSE EMERG MKTS ETF g 1000 SHS EXXON MOBIL CORP 11/21/0309/11/14 03/07/1312/23/14 h 620 SHS APPLE INC 07/10/1312/23/14 30 SHS APPLE INC 08/23/1212/23/14 2000 SHS INTEL CORP 11/21/0312/23/14 k 500 SHS PEPSICO INC 11/21/03/12/23/14 | 1000 SHS PROCTER & GAMBLE m CAPITAL GAINS DIVIDENDS n 0 (f) Depreciation allowed (g) Cost or other basis (h) Gain or (loss) (e) Gross sales price plus expense of sale (e) plus (f) minus (g) (or allowable) 59,439. -10,963. 48,476. a 31,228. 8,440. 39,668. b 2,645. 7,556. 4,911. C 11,503. 44,943. 33,440. d 40,850. 4,093. 44,943. 24,600. 130,887. 106,287. f 35,430. 60,628. 96,058. g 31,799. 69,863. 38,064. h 1,570.1,811. 3,381. 25,158. 75,238. 50,080. 48,655. 23,718. 24,937. 45,327. 93,330. 48,003. 867. 867. m n 0 Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 (I) Losses (from col (h)) Gains (excess of col (h) gain over col (k), (j) Adjusted basis (k) Excess of col (i) but not less than "-0-") (i) F M V as of 12/31/69 as of 12/31/69 over col (j), if any -10,963.а 8,440. b 2,645. С 11,503. d 4,093. 24,600. 60,628. g 31,799. 1,570. 25,158. 24,937. 45,327. 867. m n { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 } 619,752. 2 Capital gain net income or (net capital loss) 2 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) N/A If (loss), enter "-0-" in Part I, line 8

Part XV Supplementary Information				
3 Grants and Contributions Paid During the				
Recipient	If recipient is an individual, show any relationship to any foundation manager	Foundation status of	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	recipient		
CONNECTICUT AUDUBON SOCIETY, INC.	NONE	PUBLIC CHARITY	UNRESTRICTED	
2325 BURR STREET				5 000
FAIRFIELD, CT 06430				6,000.
CONNECTICUT HUMANE SOCIETY 701 RUSSELL ROAD	NONE	PUBLIC CHARITY	UNRESTRICTED	
NEWINGTON, CT 06111				9,000.
CONNECTICUT NATIONAL GUARD	NONE	PUBLIC CHARITY	UNRESTRICTED	
FOUNDATION, INC. 360 BROAD STREET				
HARTFORD, CT 06105-3795				6,000.
CREC FOUNDATION	NONE	PUBLIC CHARITY	UNRESTRICTED	
111 CHARTER HILL AVE				
HARTFORD 06106				7,000.
ECO HEALTH ALLIANCE	NONE	PUBLIC CHARITY	UNRESTRICTED	
460 WEST 34TH STREET NEW YORK, NY 10001-2320				6,000.
NEW TORK, NI TOUT-2320				0,000.
FIDELCO GUIDE DOG FOUNDATION 103 VISION WAY	NONE	PUBLIC CHARITY	UNKESTRICTED	
BLOOMFIELD, CT 06002				8,000.
HARTFORD HOSPITAL	NONE	PUBLIC CHARITY	UNRESTRICTED	
P O BOX 5037				
HARTFORD, CT 06102				6,000.
HARTFORD STAGE COMPANY	NONE	PUBLIC CHARITY	UNRESTRICTED	
50 CHURCH STREET				50,000.
HARTFORD, CT 06103				30,000.
UNDERFORM CIRCUMS CONTROLS	NOVE	DUDI TO CUADITY	INIDECED LOND	
HARTFORD SYMPHONY ORCHESTRA 100 PEARL STREET	NONE	PUBLIC CHARITY	DAKESIKICIED	
HARTFORD, CT 06103				25,000.
HFPG, INC.	NONE	PUBLIC CHARITY	UNRESTRICTED	
10 COLUMBUS BOULEVARD				
HARTFORD, CT 06106			<u> </u>	15,000.
Total from continuation sheets				230,000.

Part XV Supplementary Information Grants and Contributions Paid During the Year (Continuation) If recipient is an individual, show any relationship to Recipient Foundation Purpose of grant or Amount any foundation manager or substantial contributor status of contribution Name and address (home or business) recipient MARK TWAIN HOUSE PUBLIC CHARITY UNRESTRICTED NONE 351 FARMINGTON AVENUE HARTFORD, CT 06105 15,000. NATIONAL WILDLIFE FOUNDATION NONE PUBLIC CHARITY UNRESTRICTED 11100 WILDLIFE CENTER DRIVE RESTON, VA 20190 6,000. NONE PUBLIC CHARITY UNRESTRICTED NATURAL RESOURCES DEFENSE COUNCIL, 40 WEST 20TH STREET 6,000. NEW YORK, NY 10011 PUBLIC CHARITY UNRESTRICTED NONE OUR COMPANIONS P O BOX 673 8,000. BLOOMFIELD CT 06002 ROARING BROOK NATURE CENTER NONE PUBLIC CHARITY UNRESTRICTED 70 GRACEY ROAD 6,000. CANTON, CT 06019 PUBLIC CHARITY UNRESTRICTED NONE SMITH FUND OF SMITH COLLEGE 33 ELM STREET 6,000. NORTHAMPTON, MA 01063 PUBLIC CHARITY UNRESTRICTED NONE THEATER WORKS 233 PEARL STREET 6,000. HARTFORD, CT 06103 PUBLIC CHARITY UNRESTRICTED UNITED WAY OF THE CAPITAL AREA NONE 30 LAUREL STREET 6,000. HARTFORD, CT 06106 NONE PUBLIC CHARITY UNRESTRICTED WADSWORTH ATHENEUM 600 MAIN STREET 6,000. HARTFORD, CT 06103 WGBY PUBLIC TELEVISION NONE PUBLIC CHARITY UNRESTRICTED 44 HAMPDEN STREET 6,000. SPRINGFIELD, MA 01103 Total from continuation sheets

KATHERINE K. MCLANE AND HENRY R. MCLANE CHARITABLE TRUST

06-6537915

3 Grants and Contributions Paid During the Y				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation	Purpose of grant or contribution	Amount
Name and address (home or business)	or substantial contributor	status of recipient	Contribution	Amount
ORLD WILDLIFE FUND	NONE	PUBLIC CHARITY	UNRESTRICTED	
250 24TH STREET NW				
WASHINGTON, DC 20090				6,00
VALE CLUB & SCHOLARSHIP FOUNDATION OF HARTFORD, INC.	NONE .	PUBLIC CHARITY	UNRESTRICTED	
P.O. BOX 270084				6,000
WEST HARTFORD, CT 06127				0,000
YMCA OF GREATER HARTFORD, INC. 241 TRUMBULL STREET	NONE	PUBLIC CHARITY	UNRESTRICTED	
HARTFORD, CT 06103				9,000
		-		
Total from continuation sheets				

FORM 990-PF	DIVIDENDS	AND INTER	EST	FROM SECU	RITIES S	TATEMENT 1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDEND		(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	
CAPITAL GAIN DIVIDENDS DIVIDENDS INTEREST	867. 155,306. 3,900.	86	7. 0. 0.	0 155,306 3,900	. 153,094.	
TO PART I, LINE 4	160,073.	86	7.	159,206	156,994.	
FORM 990-PF		OTHER I	NCO	ME	S	TATEMENT 2
DESCRIPTION			RE		(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
FEDERAL EXCISE TAX	REFUND			3,360.	0.	
TOTAL TO FORM 990-P	F, PART I,	LINE 11 =		3,360.	0.	
FORM 990-PF		LEGAI	FE	ES	S	TATEMENT
DESCRIPTION		(A) EXPENSES PER BOOKS		(B) T INVEST- NT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLI PURPOSES
SHIPMAN & GOODWIN L	LP —	59,080.		17,724.	-	41,356
TO FM 990-PF, PG 1,	LN 16A	59,080.	,	17,724.		41,356

FORM 990-PF	OTHER E	XPENSES STATEMEN				
DESCRIPTION	(A) EXPENSES PER BOOKS	XPĖNSES NET INVEST-		(D) CHARITAB IE PURPOSE		
ACCOUNT MAINTENANCE FEES					0.	
TO FORM 990-PF, PG 1, LN 23	PG 1, LN 23 100. 100.		0.		0.	
FORM 990-PF	CORPORAT	E STOCK		STATEMENT	 5 	
DESCRIPTION		1	BOOK VALUE	FAIR MARKET VALUE	ı	
CORPORATE STOCK - DETAIL ATT	ACHED		3,484,421.	5,129,198.		
TOTAL TO FORM 990-PF, PART I	I, LINE 10B		3,484,421.	5,129,19	8.	
FORM 990-PF	CORPORAT	E BONDS		STATEMENT	6	
DESCRIPTION		1	BOOK VALUE	FAIR MARKET VALUE	ı	
CORPORATE BONDS - DETAIL ATT	ACHED		880,953.	864,140		
TOTAL TO FORM 990-PF, PART I		880,953.	864,140			



Account¹

33088560

Report Period:

From 12/31/2014 To 12/31/2014

Your Contact: JAMES T BETTS

(860) 251-5150

Administrator:

EMMA K GARCIA

(860) 251-5130

	Portfolio Summary							
	Market Value as of 12/31/14	% of Total	Estimated Income	Fixed Income Cash & Equivaler				
Cash Equivalents	272,933 57	4.36	0.00					
Fixed Income	864,140.00	13 79	38,920 80					
Equity	5,129,197 80	81 85	123,608 38					
Total Assets	6,266,271 37	100 00	162,529 18					
Total Assets	6,266,271.37	100.00	162,529.18					
				Equity				

	Asset Det	Asset Detail Summary									
	Cost Basis	% Cost	Market Value	% Mkt	Unrealized Gain/Loss	Estimated Annual Income	Yield At Mkt				
CASH & EQUIVALENTS											
MONEY MARKET FUNDS	272,733 57	5 88	272,733 57	4 35	0 00	0.00	0 0				
TOTAL CASH & EQUIVALENTS	272,733 57	5 88	272,733 57	4.35	0 00	0 00	0 0				
FIXED INCOME											
CORPORATE BOND FUNDS - ETF	619,417 00	13 35	600,860.00	9 59	(18,557 00)	26,889 00	4 5				
CORPORATE BONDS	60,216 00	1 30	63,600 00	1 01	3,384 00	3,900 00	6 1				
DIVERSIFIED BOND FUNDS - ETF	201,320 00	4 34	199,680 00	3 19	(1,640 00)	8,131 00	4 1				
TOTAL FIXED INCOME	880,953 00	18 99	864,140 00	13 79	(16,813 00)	38,920 00	4 5				
EQUITY											
COMMON STOCK	3,264,837 61	70 39	4,879,798 00	77 87	1,614,960 39	114,094 00	23				
INTERNATIONAL EQUITY MUTUAL FUNDS	119,500 00	2 58	106,242 40	1.70	(13,257 60)	2,911 00	2 7				
REAL ESTATE INVESTMENT TRUSTS	99,082 12	2 14	143,130 00	2 28	44,047 88	6,604 00	4 6				
RIGHTS & WARRANTS	1,001 00	0 02	27 40	0 00	(973 60)	0 00	0 0				
TOTAL EQUITY	3,484,420 73	75 13	5,129,197 80	81 85	1,644,777 07	123,609.00	2 4				
Cash											
Principal Cash			100 00								
Income Cash			100 00								
Total Cash			200 00	0 00							



Account:

33088560

Report Period: From 12/31/2014 To 12/31/2014

Page 2

Gains and Losses Summary

	Year To Date
Long Term	645,334 04
Short Term	(23,149 14)
Total Realized Gain/Loss on Cost	622,184.90



Account:

33088560

Report Period: From 12/31/2014 To 12/31/2014

Page 3

		Ass	et Statem	ent				
Units	Description	Cost Basis	Unit Price	Market Value	% Acct	Unrealized Gain/Loss	Estimated Annual Income	Yıeld At Mk
CASH & EQ	UIVALENTS							
Money M	larkets							
254,106 91	FEDERATED US TREAS CASH -	254,106 91	1 00	254,106 91	4 06	0 00	0 00	0.0
18,626 66	PRINCIPAL FEDERATED US TREAS CASH - INCOME	18,626 66	1 00	18,626 66	0 30	0 00	0 00	0
OTAL Money	Markets	272,733.57		272,733.57	4.35	0.00	0.00	0.
Cash								
	Principal Cash	100 00		100 00				
	Income Cash	100 00		100 00				
	Total Cash	200 00		200 00	0.00			
TOTAL CASH	& EQUIVALENTS	272,933.57		272,933.57	4.36	0.00	0.00	0.
IXED INCO	<u>DME</u>							
•	e Obligations					2 22 4 22	2 000 00	6
60,000	GOODYEAR TIRE & RUBBER CO DTD 02/25/13 6 5% 03/01/21	60,216 00	106 00	63,600 00	1 01	3,384 00	3,900 00	6
OTAL Corpo	rate Obligations	60,216.00		63,600.00	1.01	3,384.00	3,900.00	6
Exchang	e Traded Funds							
8,000	GUGGENHEIM HI YIELD ETF 2015	211,637 00	25 87	206,960 00	3 30	(4,677 00)	8,313 60	4
4,000	GUGGENHEIM ENHANCED SHORT DURATION ETF	201,320 00	49 92	199,680 00	3 19	(1,640 00)	8,131 20	4
2,000	PIMCO 0-5 YR HI YIELD CORP BD INDEX ETF	209,060 00	100 83	201,660 00	3 22	(7,400 00)	11,280 00	5
8,000	POWERSHARES SENIOR LOAN PORT ETF	198,720 00	24 03	192,240 00	3 07	(6,480 00)	7,296 00	3
TOTAL Excha	nge Traded Funds	820,737.00		800,540.00	12.78	(20,197.00)	35,020.80	4
TOTAL FIXED	INCOME	880,953.00		864,140.00	13.79	(16,813.00)	38,920.80	4
EQUITY Commor	n Stock							
	sumer Discretionary							
	DISNEY (THE WALT) CO COM	145,300 77	94 19	188,380 00	3 01	43,079 23	2,300 00	1
2,000	MCDONALDS CORP	191,140 00	93 70	187,400 00	2 99	(3,740 00)	6,800 00	;
TOTAL Consu	ımer Discretionary	336,440.77		375,780.00	6.00	39,339.23	9,100.00	2
Con	sumer Staples							
	CVS HEALTH CORP	146,960 00	96 31	192,620 00	3 07	45,660 00	2,200 00	•
	PEPSICO INC	82,208 39	94 56	189,120 00	3 02	106,911 61	5,240 00	:
	PROCTER & GAMBLE	96,005 00	91 09	182,180 00	2 91		5,148 80	:
TOTAL Consu		325,173.39		563,920.00	9.00	238,746.61	12,588.80	2
Ēne	rgy							



Account:

33088560

Report Period. From 12/31/2014 To 12/31/2014

Page 4

		Ass	set Statem	ent(Cont'd)			
Units	Description	Cost Basis	Unit Price	Market Value	% Acct	Unrealized Gain/Loss	Estimated Annual Income	Yield At Mk
2,000 CHEVRON C	ORP	73,950 00	112 18	224,360 00	3 58	150,410 00	8,560 00	3 8
1,000 EXXON MOE	BIL CORP	34,567 00	92 45	92,450 00	1 48	57,883 00	2,760 00	2 9
4,000 LINN CO LLC		46,040 90	10 37	41,480 00	0 66	(4,560.90)	3,865 60	9 3
2,500 SCHLUMBE	RGER LTD	234,408 66	85 41	213,525 00	3 41	(20,883 66)	4,000 00	1 8
OTAL Energy		388,966.56		571,815.00	9.13	182,848.44	19,185.60	3.:
Financial								
1,000 AMERICAN	EXPRESS CO	90,630 00	93 04	93,040 00	1 48	2,410 00	1,040 00	1 1
1,300 CHUBB COF	RP	39,300 33	103 47	134,511 00	2 15	95,210.67	2,600 00	1 9
4,000 WELLS FAR	GO & CO	164,028 00	54 82	219,280 00	3 50	55,252 00	5,600 00	2 :
TOTAL Financial		293,958.33		446,831.00	7.13	152,872.67	9,240.00	2.0
Health Care								
3,400 ABBOTT LA	BORATORIES	81,559.11	45 02	153,068 00	2 44	71,508 89	2,992.00	1 9
2,000 JOHNSON 8	JOHNSON	102,096 00	104 57	209,140 00	3 34	107,044 00	5,600 00	2
32,200 MERRIMACI PHARMACE		137,128 15	11 30	363,860 00	5 81	226,731 85	0 00	0
8,500 PFIZER INC		195,759 50	31 15	264,775 00	4 23	69,015 50	8,840.00	3
TOTAL Health Care		516,542.76		990,843.00	15.81	474,300.24	17,432.00	1.
Industrials								
1,500 DEERE & C)	122,170 21	88 47	132,705 00	2.12	10,534 79	3,600.00	2
6,900 GENERAL E	LECTRIC CO	198,996 00	25 27	174,363 00	2 78	(24,633 00)	6,348 00	3
1,000 LOCKHEED	MARTIN CORP	92,390 00	192 57	192,570 00	3 07	100,180 00	6,000 00	3
2,000 UNION PAC	IFIC CORP	197,960 00	119 13	238,260 00	3 80	40,300 00	4,000 00	1
1,500 UNITED TEC	CHNOLOGIES CORP	123,328 00	115 00	172,500 00	2 75	49,172 00	3,540 00	2
TOTAL Industrials		734,844.21		910,398.00	14.53	175,553.79	23,488.00	2.
Information Tech	nnology							
2,000 APPLE INC		118,578 93	110 38	220,760 00	3.52	102,181 07	3,760 00	1
350 GOOGLE IN	C CL A	197,464 37	530 66	185,731 00	2 96	(11,733.37)	0 00	0
6,000 INTEL CORI	•	131,080 00	36 29	217,740 00	3 47	86,660 00	5,400.00	2
5,000 MICROSOF	T CORP	120,647 03	46 45	232,250 00	3 71	111,602 97	6,200 00	2
TOTAL Information Techno	ology	567,770.33		856,481.00	13.67	288,710.67	15,360.00	1.
Real Estate Inve	estment Trusts							
3,000 REALTY INC	COME CORP	99,082 12	47 71	143,130 00	2 28	44,047 88	6,603 12	4
TOTAL Real Estate Investr	nent Trusts	99,082.12		143,130.00	2.28	44,047.88	6,603.12	4.
Telecommunica	tion Services							
3,500 VERIZON C INC	OMMUNICATIONS	101,141 26	46 78	163,730 00	2 61	62,588 74	7,700 00	4
TOTAL Telecommunication	n Services	101,141.26		163,730.00	2.61	62,588.74	7,700.00	4.
TOTAL Common Stock		3,363,919.73		5,022,928.00	80.16	1,659,008.27	120,697.52	2.
Mutual Funds								



Account:

33088560

Report Period: From 12/31/2014 To 12/31/2014

Page 5

		Ass	set Statem	ent(Cont'd)			
Units	Description	Cost Basis	Unit Price	Market Value	% Acct	Unrealized Gain/Loss	Estimated Annual Income	Yield At Mkt
Internat	ional Equity Mutual Funds						-	
	A INTL CORE EQUITY	119,500 00	11 70	106,242 40	1 70	(13,257 60)	2,910 86	2 74
	RTFOLIO nal Equity Mutual Funds	119,500.00		106,242.40	1.70	(13,257.60)	2,910.86	2.74
TOTAL Mutual Fu	nds	119,500.00		106,242.40	1.70	(13,257.60)	2,910.86	2.74
Other Rights &	& Warrants							
	ARINA BIOTECH INC ARRANT EXPIRES 10/25/15	1,000 00	0 10	27 40	0 00	(972.60)	0 00	0 00
• • • • • • • • • • • • • • • • • • • •	ARINA BIOTECH INC ARRANT EXPIRES 11/08/15	1 00	0 00	0 00	0 00	(1 00)	0 00	0 00
TOTAL Rights & V		1,001.00		27.40	0.00	(973.60)	0.00	0.00
TOTAL Other		1,001.00		27.40	0.00	(973.60)	0.00	0.00
TOTAL EQUITY		3,484,420.73		5,129,197.80	81.85	1,644,777.07	123,608.38	2.41
GRAND TOTAL A	SSETS	4,638,307.30		6,266,271.37	100.00	1,627,964.07	162,529.18	2.59