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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.***

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , 2014, and ending , 20

Name of foundation: THE EDITH S. WHEELER TRUST
C/O JOHN CHIOTA, ESQ.

A Employer identification number: 06-6386858

Number and street (or P O box number if mail is not delivered to street address): 8 DANIELS FARM ROAD

Room/suite:

B Telephone number (see instructions): (203) 268-7755

City or town, state or province, country, and ZIP or foreign postal code: TRUMBULL, CT 06611

C If exemption application is pending, check here . . . . . ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here . . ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation . . . . . ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here . ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶ $ 3,120,987.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here . ▶ ☐

Part I Analysis of Revenue and Expenses *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc , received (attach schedule)				
2 Check ▶ ☒ if the foundation is **not** required to attach Sch B				
3 Interest on savings and temporary cash investments	5,916.	5,916.		ATCH 1
4 Dividends and interest from securities	102,756.	102,756.		ATCH 2
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	79,255.			
b Gross sales price for all assets on line 6a 468,542.				
7 Capital gain net income (from Part IV, line 2)		79,255.		
8 Net short-term capital gain			19,422.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 3	9,146.	3,718.	3,669.	
12 **Total.** Add lines 1 through 11	197,073.	191,645.	23,091.	
13 Compensation of officers, directors, trustees, etc	47,556.	47,556.		
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees (attach schedule)				
b Accounting fees (attach schedule) ATCH 4	4,360.	4,360.		
c Other professional fees (attach schedule)				
17 Interest ATCH 5	272.	272.		
18 Taxes (attach schedule) (see instructions) [6]	4,233.	325.		
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 7	7,459.			
24 **Total operating and administrative expenses.** Add lines 13 through 23	63,880.	52,513.		
25 Contributions, gifts, grants paid	146,143.			146,143.
26 **Total expenses and disbursements** Add lines 24 and 25	210,023.	52,513.	0	146,143.
27 Subtract line 26 from line 12:				
a **Excess of revenue over expenses and disbursements**	-12,950.			
b **Net investment income** (if negative, enter -0-)		139,132.		
c **Adjusted net income** (if negative, enter -0-)			23,091.	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	229,986.	145,930.	145,930.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations (attach schedule)			
	b Investments - corporate stock (attach schedule)	2,115,637.	2,130,120.	2,130,120.
	c Investments - corporate bonds (attach schedule) ATCH 8	79,438.	45,231.	45,231.
	11 Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	12 Investments - mortgage loans			
	13 Investments - other (attach schedule) ATCH 9	585,220.	546,512.	546,512.
	14 Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
	15 Other assets (describe ▶ ATCH 10)	160,346.	253,194.	253,194.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,170,627.	3,120,987.	3,120,987.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ [X] and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted	3,170,627.	3,120,987.	
	Foundations that do not follow SFAS 117, ▶ [ ] check here and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg , and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 **Total net assets or fund balances** (see instructions)	3,170,627.	3,120,987.	
	31 **Total liabilities and net assets/fund balances** (see instructions)	3,170,627.	3,120,987.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,170,627.
2	Enter amount from Part I, line 27a	2	-12,950.
3	Other increases not included in line 2 (itemize) ▶ ATCH 11	3	-36,690.
4	Add lines 1, 2, and 3	4	3,120,987.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,120,987.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	79,255.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	19,422.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2013	181,726.	2,998,209.	0.060612
2012	105,204.	2,881,445.	0.036511
2011	121,000.	2,884,947.	0.041942
2010	76,000.	2,717,864.	0.027963
2009	115,610.	2,339,714.	0.049412

2	**Total** of line 1, column (d)	2	0.216440
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.043288
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	3,098,620.
5	Multiply line 4 by line 3	5	134,133.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	1,391.
7	Add lines 5 and 6	7	135,524.
8	Enter qualifying distributions from Part XII, line 4	8	146,143.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary - see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	1,391.
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	1,391.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	1,391.
6	Credits/Payments		
a	2014 estimated tax payments and 2013 overpayment credited to 2014 — 6a: 1,679.		
b	Exempt foreign organizations - tax withheld at source — 6b:		
c	Tax paid with application for extension of time to file (Form 8868) — 6c:		
d	Backup withholding erroneously withheld — 6d: 354.		
7	Total credits and payments. Add lines 6a through 6d	7	2,033.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	642.
11	Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ 642. **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ $ _____ (2) On foundation managers ▶ $ _____			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ _____			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a	X	
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b	X	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT,			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		X

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
	Website address ▶ N/A			
14	The books are in care of ▶ JOHN CHIOTA, ESQ. Telephone no. ▶ 203-268-7755			
	Located at ▶ 8 DANIELS FARM ROAD TRUMBULL, CT ZIP+4 ▶ 06611			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No			
	If "Yes," list the years ▶ _____, _____, _____, _____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ _____, _____, _____, _____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to				
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	☐ Yes	☒ No		
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	☐ Yes	☒ No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	☐ Yes	☒ No		
	(4) Provide a grant to an organization other than a charitable, etc, organization described in section 4945(d)(4)(A)? (see instructions)	☐ Yes	☒ No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	☐ Yes	☒ No		
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?			5b	
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐				
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	☐ Yes	☐ No		
	If "Yes," attach the statement required by Regulations section 53.4945-5(d).				
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	☐ Yes	☒ No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b	X
	If "Yes" to 6b, file Form 8870				
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	☐ Yes	☒ No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b	

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 12		47,556.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 **Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
N/A		0
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 THE PURPOSE OF THE ORGANIZATION IS TO PROVIDE FUNDS FOR THE MONROE PUBLIC LIBRARY AND FOR THE MONROE AND STRATFORD TOWN SCHOLARSHIP FUNDS.	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions 3 NONE	
Total. Add lines 1 through 3 ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	2,751,079.
b	Average of monthly cash balances	1b	187,958.
c	Fair market value of all other assets (see instructions)	1c	206,770.
d	**Total** (add lines 1a, b, and c)	1d	3,145,807.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	3,145,807.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	47,187.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	3,098,620.
6	**Minimum investment return.** Enter 5% of line 5	6	154,931.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	154,931.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	1,391.		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	1,391.
3	Distributable amount before adjustments Subtract line 2c from line 1			3	153,540.
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	153,540.
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	153,540.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	146,143.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	146,143.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	1,391.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	144,752.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				153,540.
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only			25,031.	
b Total for prior years 20 12 ,20 11 ,20 10				
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f **Total** of lines 3a through e	0			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 146,143.				
a Applied to 2013, but not more than line 2a			25,031.	
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2014 distributable amount				121,112.
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2014 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015				32,428.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)				
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	0			
10 Analysis of line 9.				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include.

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
ATCH 13				
Total ▶ **3a**				146,143.
b *Approved for future payment*				
Total ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	5,916.	
4 Dividends and interest from securities			14	102,756.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14	49.	
8 Gain or (loss) from sales of assets other than inventory		12,814.	18	79,255.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b ATCH 14		-9,533.		5,816.	
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)		3,281.		193,792.	
13 Total. Add line 12, columns (b), (d), and (e)				13	197,073.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of.			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
	N/A		N/A

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ John P. Chiota, Tr | 5/14/15 | ▶ Trustee

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name: James Cosgrove	Preparer's signature	Date: MAY 13 2015	Check ☐ if self-employed	PTIN: P00058674
Firm's name ▶ DWORKEN, HILLMAN, LAMORTE & STERCZALA			Firm's EIN ▶ 06-1308345	
Firm's address ▶ FOUR CORPORATE DR. SUITE 488 SHELTON, CT 06484			Phone no 203-929-3535	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj. basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL SHORT-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					4.	
		TOTAL LONG-TERM COMMON TRUST FUND AND PARTNERSHIP, S CORPORATION, AND OTHER ESTATES OR TRUST GAIN OR LOSS					4,480.	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					1,839.	
		UBS #572				P	VAR	12/31/2014
34,180.		PROPERTY TYPE: SECURITIES 35,696.					-1,516.	
		UBS #572				P	VAR	12/31/2014
54,784.		PROPERTY TYPE: SECURITIES 61,830.					-7,046.	
		AMERIPRISE #227				P	VAR	12/31/2014
129,303.		PROPERTY TYPE: SECURITIES 109,885.					19,418.	
		AMERIPRISE #227				P	VAR	12/31/2014
163,912.		PROPERTY TYPE: SECURITIES 142,902.					21,010.	
		JANNEY MONTGOMERY #7694				P	VAR	12/31/2014
39.		PROPERTY TYPE: SECURITIES 39.						
		JANNEY MONTGOMERY #7694				P	VAR	12/31/2014
62,681.		PROPERTY TYPE: SECURITIES 26,123.					36,558.	
		KINDER MORGAN ENERGY PARTNERS				P	07/14/2009	11/26/2014
17,320.		PROPERTY TYPE: SECURITIES					17,320.	
		RECLASS TO ORD INC				P	07/14/2009	11/26/2014
		PROPERTY TYPE: SECURITIES 12,812.					-12,812.	
TOTAL GAIN(LOSS)		.....					79,255.	

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE EDITH S. WHEELER TRUST	06-6386858

DESCRIPTION OF PROPERTY
NATIONAL COOPERATIVE REFINERY ASSOCIATION

[ ] Yes [ ] No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:

OTHER INCOME:		
ROYALTIES	29.	
TOTAL GROSS INCOME		29.
OTHER EXPENSES:		
SEE ATTACHMENT		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		1.
TOTAL RENT OR ROYALTY INCOME (LOSS)		28.

Less Amount to
- Rent or Royalty
- Depreciation
- Depletion
- Investment Interest Expense
- Other Expenses
- Net Income (Loss) to Others

Net Rent or Royalty Income (Loss) 28.

Deductible Rental Loss (if Applicable)

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

ROYALTIES	29.
	29.

OTHER DEDUCTIONS

TAXES	1.
	1.

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE EDITH S. WHEELER TRUST	06-6386858

DESCRIPTION OF PROPERTY
SUNOCO

	Yes		No	Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:

OTHER INCOME:		
ROYALTIES	61.	
TOTAL GROSS INCOME		61.
OTHER EXPENSES:		
SEE ATTACHMENT		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		8.
TOTAL RENT OR ROYALTY INCOME (LOSS)		53.
Less Amount to		
Rent or Royalty		
Depreciation		
Depletion		
Investment Interest Expense		
Other Expenses		
Net Income (Loss) to Others		
Net Rent or Royalty Income (Loss)		53.
Deductible Rental Loss (if Applicable)		

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

ROYALTIES	61.
	61.

OTHER DEDUCTIONS

TAXES	8.
	8.

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE EDITH S. WHEELER TRUST	06-6386858

DESCRIPTION OF PROPERTY
LEWIS A HEMBREE PRODUCTION CO

☐ Yes ☐ No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:

OTHER INCOME: ROYALTIES	32.	
TOTAL GROSS INCOME		32.
OTHER EXPENSES: SEE ATTACHMENT		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		2.
TOTAL RENT OR ROYALTY INCOME (LOSS)		30.

Less Amount to
- Rent or Royalty
- Depreciation
- Depletion
- Investment Interest Expense
- Other Expenses
- Net Income (Loss) to Others

Net Rent or Royalty Income (Loss) 30.

Deductible Rental Loss (if Applicable)

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

ROYALTIES	32.
	32.

OTHER DEDUCTIONS

TAXES	2.
	2.

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE EDITH S. WHEELER TRUST	06-6386858

DESCRIPTION OF PROPERTY
STEPHENS & JOHNSON OPER. CO

☐ Yes ☐ No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:

OTHER INCOME:		
ROYALTIES	655.	
TOTAL GROSS INCOME		655.
OTHER EXPENSES:		
SEE ATTACHMENT		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		75.
TOTAL RENT OR ROYALTY INCOME (LOSS)		580.

Less Amount to

Rent or Royalty	
Depreciation	
Depletion	
Investment Interest Expense	
Other Expenses	
Net Income (Loss) to Others	
Net Rent or Royalty Income (Loss)	580.
Deductible Rental Loss (if Applicable)	

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

ROYALTIES	655.
	655.

OTHER DEDUCTIONS

TAXES	75.
	75.

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE EDITH S. WHEELER TRUST	06-6386858

DESCRIPTION OF PROPERTY
SHERIDAN PRODUCTION CO LLC

[ ] Yes [ ] No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:

OTHER INCOME:		
ROYALTIES	2,757.	
TOTAL GROSS INCOME		2,757.
OTHER EXPENSES:		
SEE ATTACHMENT		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		336.
TOTAL RENT OR ROYALTY INCOME (LOSS)		2,421.

Less Amount to	
Rent or Royalty	
Depreciation	
Depletion	
Investment Interest Expense	
Other Expenses	
Net Income (Loss) to Others	
Net Rent or Royalty Income (Loss)	2,421.
Deductible Rental Loss (If Applicable)	

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

ROYALTIES	2,757.
	2,757.

OTHER DEDUCTIONS

TAXES	336.
	336.

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE EDITH S. WHEELER TRUST	06-6386858

DESCRIPTION OF PROPERTY
MEWBOURNE OIL COMPANY

[ ] Yes [ ] No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:

OTHER INCOME:		
ROYALTIES	114.	
TOTAL GROSS INCOME		114.
OTHER EXPENSES:		
SEE ATTACHMENT		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		13.
TOTAL RENT OR ROYALTY INCOME (LOSS)		101.

Less Amount to
- Rent or Royalty
- Depreciation
- Depletion
- Investment Interest Expense
- Other Expenses
- Net Income (Loss) to Others

Net Rent or Royalty Income (Loss) 101.

Deductible Rental Loss (If Applicable)

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

ROYALTIES	114.
	114.

OTHER DEDUCTIONS

TAXES	13.
	13.

RENT AND ROYALTY INCOME

Taxpayer's Name	Identifying Number
THE EDITH S. WHEELER TRUST	06-6386858

DESCRIPTION OF PROPERTY
KINDER MORGAN ENERGY PARTNERS

[ ] Yes [ ] No Did you actively participate in the operation of the activity during the tax year?

TYPE OF PROPERTY:

OTHER INCOME:		
ROYALTIES	21.	
TOTAL GROSS INCOME		21.
OTHER EXPENSES:		
DEPRECIATION (SHOWN BELOW)		
LESS: Beneficiary's Portion		
AMORTIZATION		
LESS: Beneficiary's Portion		
DEPLETION		
LESS: Beneficiary's Portion		
TOTAL EXPENSES		
TOTAL RENT OR ROYALTY INCOME (LOSS)		21.
Less Amount to		
Rent or Royalty		
Depreciation		
Depletion		
Investment Interest Expense		
Other Expenses		
Net Income (Loss) to Others		
Net Rent or Royalty Income (Loss)		21.
Deductible Rental Loss (if Applicable)		

SCHEDULE FOR DEPRECIATION CLAIMED

(a) Description of property	(b) Cost or unadjusted basis	(c) Date acquired	(d) ACRS des	(e) Bus %	(f) Basis for depreciation	(g) Depreciation in prior years	(h) Method	(i) Life or rate	(j) Depreciation for this year
Totals									

SUPPLEMENT TO RENT AND ROYALTY SCHEDULE

OTHER INCOME

ROYALTIES	21.
	21.

RENT AND ROYALTY SUMMARY

PROPERTY	TOTAL INCOME	DEPLETION/ DEPRECIATION	OTHER EXPENSES	ALLOWABLE NET INCOME
NATIONAL COOPERATIVE	29.		1.	28.
SUNOCO	61.		8.	53.
LEWIS A HEMBREE PROD	32.		2.	30.
STEPHENS & JOHNSON O	655.		75.	580.
SHERIDAN PRODUCTION	2,757.		336.	2,421.
MEWBOURNE OIL COMPAN	114.		13.	101.
KINDER MORGAN ENERGY	21.			21.
TOTALS	3,669.		435.	3,234.

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
JANNEY MONTGOMERY SCOTT	220.	220.
NUSTAR ENERGY	111.	111.
UBS FINANCIAL SERVICES	4,905.	4,905.
LESS: ACCRUED INTEREST PAID	-10.	-10.
ENERGY TRANSFER PARTNERS	520.	520.
KINDER MORGAN	14.	14.
LINN ENERGY	18.	18.
WILLIAMS PARTERS LP	2.	2.
CARLYLE GROUP	136.	136.
TOTAL	5,916.	5,916.

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
JANNEY MONTGOMERY SCOTT	19,616.	19,616.
UBS FINANCIAL SERVICES	31,459.	31,459.
AMERIPRISE AC #69227	50,960.	50,960.
ENERGY TRANSFER PARTNERS	633.	633.
KINDER MORGAN	14.	14.
CARLYLE GROUP	74.	74.
TOTAL	102,756.	102,756.

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
ROYALTY INCOME	3,669.	3,669.	3,669.
ORDINARY INCOME (LOSS) FR PTNSHPS	-7,386.		
MISCELLANEOUS INCOME	49.	49.	
SEC 1231 (LOSS) FR PTNSHPS	2.		
KINDER MORGAN ENERGY PARTNERS ORD GAIN	12,812.		
TOTALS	9,146.	3,718.	3,669.

ATTACHMENT 4

FORM 990PF, PART I - ACCOUNTING FEES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME	CHARITABLE PURPOSES
ACCOUNTING FEES	4,360.	4,360.		
TOTALS	4,360.	4,360.		

ATTACHMENT 5

FORM 990PF, PART I - INTEREST EXPENSE

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INVESTMENT INTEREST EXPENSE	272.	272.
TOTALS	272.	272.

ATTACHMENT 6

FORM 990PF, PART I - TAXES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
FOREIGN INCOME TAX	325.	325.
FEDERAL ESTIMATED TAX PYMTS	3,546.	
MISC TAXES	8.	
FORM 2439 WITHHOLDING	354.	
TOTALS	4,233.	325.

ATTACHMENT 7

FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION		REVENUE AND EXPENSES PER BOOKS
RENT AND ROYALTY EXPENSES		435.
MISCELLANEOUS		6,940.
POSTAGE		84.
	TOTALS	7,459.

ATTACHMENT 8

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
CORPORATE BONDS	45,231.	45,231.
TOTALS	45,231.	45,231.

ATTACHMENT 9

FORM 990PF, PART II - OTHER INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
MUTUAL FUNDS	377,946.	377,946.
CLOSED END FUNDS	147,439.	147,439.
OTHER INVESTMENTS	21,127.	21,127.
TOTALS	546,512.	546,512.

ATTACHMENT 10

FORM 990PF, PART II - OTHER ASSETS

DESCRIPTION	ENDING BOOK VALUE	ENDING FMV
ENERGY TRANSFER PARTNERS	71,500.	71,500.
KINDER MORGAN ENERGY PARTNERS		
BREITBURN ENERGY PARTNERS LP	17,500.	17,500.
LINN ENERGY	7,344.	7,344.
NUSTAR ENERGY	57,750.	57,750.
WILLIAMS PARTNERS	71,600.	71,600.
CARLYLE GROUP	27,500.	27,500.
TOTALS	253,194.	253,194.

ATTACHMENT 11

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION	AMOUNT
UNREALIZED DEPRECIATION ON FUND ASSETS	-36,690.
TOTAL	-36,690.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES ATTACHMENT 12

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
JOHN P. O'BYRNE, CPA 10 VALLEY VIEW ROAD NEWTOWN, CT 06470	TRUSTEE	15,852.	0	0
JOHN P. CHIOTA, ESQ. 8 DANIELS FARM ROAD TRUMBULL, CT 06611	TRUSTEE	15,852.	0	0
MARIE LEDERER 266 OLD NEWTOWN ROAD MONROE, CT 06468	TRUSTEE	15,852.	0	0
	GRAND TOTALS	47,556.	0	0

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

ATTACHMENT 13

RECIPIENT NAME AND ADDRESS	RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND FOUNDATION STATUS OF RECIPIENT	PURPOSE OF GRANT OR CONTRIBUTION	AMOUNT
MONROE/STRATFORD SCHOLARSHIP FUNDS	COLLEGES/UNIVERSITIES	EDUCATIONAL/SCHOLARSHIPS	146,143.
		TOTAL CONTRIBUTIONS PAID	146,143.

The Edith S. Wheeler Trust
Tax Year 2014
Form 990 PF

Various Scholarships	
University of Connecticut	34,000
University of Deleware	8,000
Providence College	7,000
University of Michigan	7,000
Notre Dame University	6,000
University of California, Berkeley	5,000
Georgetown University	8,000
Northeastern University	14,000
Stevens Institute of Technology	6,000
Yale University	7,000
Tufts University	6,000
Boston University	4,000
Vassar College	8,000
Wesleyan University	1,143
Total Scholarships	**121,143**
Library Contributions	
Town of Monroe Library	25,000
Total Library Contributions	**25,000**
Total Contributions	**$ 146,143**

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 14

DESCRIPTION	BUSINESS CODE	AMOUNT	EXCLUSION CODE	AMOUNT	RELATED OR EXEMPT FUNCTION INCOME
OTHER-PARTNERSHIPS	211110	-9,533.	14	2,147.	
ROYALTY INCOME			15	3,669.	
TOTALS		-9,533.		5,816.	

SCHEDULE D (Form 1041)

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at *www.irs.gov/form1041*.

OMB No 1545-0092

2014

Name of estate or trust: THE EDITH S. WHEELER TRUST
C/O JOHN CHIOTA, ESQ.

Employer identification number: 06-6386858

Note: *Form 5227 filers need to complete only Parts I and II.*

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars.	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with **Box A** checked	129,342.	109,924.		19,418.
2 Totals for all transactions reported on Form(s) 8949 with **Box B** checked				
3 Totals for all transactions reported on Form(s) 8949 with **Box C** checked				

4	Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824	4	
5	Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts	5	4.
6	Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet	6	( )
7	**Net short-term capital gain or (loss).** Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶	7	19,422.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below. This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with **Box D** checked	198,092.	178,598.		19,494.
9 Totals for all transactions reported on Form(s) 8949 with **Box E** checked	117,465.	87,953.		29,512.
10 Totals for all transactions reported on Form(s) 8949 with **Box F** checked	17,320.	12,812.		4,508.

11	Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824	11	
12	Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts	12	4,480.
13	Capital gain distributions	13	1,839.
14	Gain from Form 4797, Part I	14	
15	Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet	15	( )
16	**Net long-term capital gain or (loss).** Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶	16	59,833.

Part III Summary of Parts I and II
Caution: *Read the instructions* ***before*** *completing this part.*

		(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 **Net short-term gain or (loss)**	17			19,422.
18 **Net long-term gain or (loss):**				
a Total for year	18a			59,833.
b Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b			
c 28% rate gain	18c			
19 **Total net gain or (loss).** Combine lines 17 and 18a ▶	19			79,255.

Note: *If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and* ***do not*** *complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the* ***Capital Loss Carryover Worksheet,*** *as necessary*

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of.
a The loss on line 19, column (3) **or** b $3,000 . . . . . 20 ( )

Note: *If the loss on line 19, column (3), is more than $3,000,* ***or*** *if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the* ***Capital Loss Carryover Worksheet*** *in the instructions to figure your capital loss carryover.*

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), **and** Form 1041, line 22, is more than zero

Caution: *Skip this part and complete the* ***Schedule D Tax Worksheet*** *in the instructions if:*

- *Either line 18b, col (2) or line 18c, col. (2) is more than zero, or*
- *Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.*

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, **and** Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34)			21	
22	Enter the **smaller** of line 18a or 19 in column (2) but not less than zero	22			
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23			
24	Add lines 22 and 23	24			
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- ▶	25			
26	Subtract line 25 from line 24 If zero or less, enter -0-			26	
27	Subtract line 26 from line 21. If zero or less, enter -0-			27	
28	Enter the **smaller** of the amount on line 21 or $2,500			28	
29	Enter the **smaller** of the amount on line 27 or line 28			29	
30	Subtract line 29 from line 28 If zero or less, enter -0-. This amount is taxed at 0% ▶				30
31	Enter the **smaller** of line 21 or line 26			31	
32	Subtract line 30 from line 26			32	
33	Enter the **smaller** of line 21 or $12,150			33	
34	Add lines 27 and 30			34	
35	Subtract line 34 from line 33. If zero or less, enter -0-			35	
36	Enter the **smaller** of line 32 or line 35			36	
37	Multiply line 36 by 15% ▶				37
38	Enter the amount from line 31			38	
39	Add lines 30 and 36			39	
40	Subtract line 39 from line 38 If zero or less, enter -0-			40	
41	Multiply line 40 by 20% ▶				41
42	Figure the tax on the amount on line 27 Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			42	
43	Add lines 37, 41, and 42			43	
44	Figure the tax on the amount on line 21 Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)			44	
45	**Tax on all taxable income.** Enter the **smaller** of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶				45

Form **8949**

Department of the Treasury
Internal Revenue Service

Sales and Other Dispositions of Capital Assets

▶ Information about Form 8949 and its separate instructions is at *www.irs.gov/form8949.*

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2014

Attachment Sequence No **12A**

Name(s) shown on return
THE EDITH S. WHEELER TRUST

Social security number or taxpayer identification number
06-6386858

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments your bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box A, B, *or* C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- [X] **(A)** Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(B)** Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(C)** Short-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
AMERIPRISE #227	VAR	12/31/2014	129,303.	109,885.			19,418.
JANNEY MONTGOMERY #76	VAR	12/31/2014	39.	39.			
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 1b** (if **Box A** above is checked), **line 2** (if **Box B** above is checked), or **line 3** (if **Box C** above is checked) ▶			129,342.	109,924.			19,418.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side	Social security number or taxpayer identification number
THE EDITH S. WHEELER TRUST	06-6386858

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- [X] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [ ] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
UBS #572	VAR	12/31/2014	34,180.	35,696.			-1,516.
AMERIPRISE #227	VAR	12/31/2014	163,912.	142,902.			21,010.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			198,092.	178,598.			19,494.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side	Social security number or taxpayer identification number
THE EDITH S. WHEELER TRUST	06-6386858

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later)

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- [ ] **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- [X] **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- [ ] **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	**Adjustment, if any, to gain or loss.** If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
UBS #572	VAR	12/31/2014	54,784.	61,830.			-7,046.
JANNEY MONTGOMERY #7694	VAR	12/31/2014	62,681.	26,123.			36,558.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			117,465.	87,953.			29,512.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no not required if shown on other side	Social security number or taxpayer identification number
THE EDITH S. WHEELER TRUST	06-6386858

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II **Long-Term.** Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You *must* check Box D, E, *or* F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☒ **(F)** Long-term transactions not reported to you on Form 1099-B

1 (a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr.)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the **Note** below and see *Column (e)* in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) **See the separate instructions.** (f) Code(s) from instructions	(g) Amount of adjustment	(h) **Gain or (loss).** Subtract column (e) from column (d) and combine the result with column (g)
KINDER MORGAN ENERGY PARTNERS	07/14/2009	11/26/2014	17,320.				17,320.
RECLASS TO ORD INC	07/14/2009	11/26/2014		12,812.			-12,812.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, **line 8b** (if **Box D** above is checked), **line 9** (if **Box E** above is checked), or **line 10** (if **Box F** above is checked) ▶			17,320.	12,812.			4,508.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

AMERICAN ENTERPRISE INVESTMENT SERVICES INC.

Account 62469227

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol 1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ABBVIE INC / CUSIP: 00287Y109 / Symbol: ABBV							
2 tax lots for 07/08/14. Total proceeds (and cost when required) reported to the IRS.							
	100.000	*5,547.45*	*07/31/13*	*4,641.76*	*0.00*	*905.69*	*Sale*
	300.000	*16,636.63*	*07/31/13*	*13,925.26*	*0.00*	*2,711.37*	*Sale*
07/08/14	400.000	22,184.08	VARIOUS	18,567.02	0.00	3,617.06	Total of 2 lots
DOW CHEMICAL COMPANY / CUSIP. 260543103 / Symbol. DOW							
2 tax lots for 02/06/14. Total proceeds (and cost when required) reported to the IRS.							
	400.000	*18,027.56*	*08/13/13*	*15,330.57*	*0.00*	*2,696.99*	*Sale*
	600.000	*27,044.40*	*08/13/13*	*22,995.86*	*0.00*	*4,048.54*	*Sale*
02/06/14	1,000.000	45,071.96	VARIOUS	38,326.43	0.00	6,745.53	Total of 2 lots
VALERO ENERGY CORP / CUSIP: 91913Y100 / Symbol: VLO							
03/12/14	580.000	31,238.77	11/11/13	23,589.96	0.00	7,648.81	Sale
VERITIV CORP / CUSIP: 923454102 / Symbol: VRTV							
07/11/14	0.363	13.81	05/05/14	12.21	0 00	1 60	Cash in lieu
12/24/14	13.000	579.43	05/05/14	437.07	0.00	142.36	Sale
Security total:		593.24		449.28	0.00	143.96	
WEYERHAEUSER COMPANY / CUSIP: 962166104 / Symbol: WY							
2 tax lots for 05/13/14. Total proceeds (and cost when required) reported to the IRS.							
	300.000	*9,064.45*	*09/10/13*	*8,690.03*	*0.00*	*374.42*	*Sale*
	700.000	*21,150.37*	*09/10/13*	*20,262.67*	*0.00*	*887.70*	*Sale*
05/13/14	1,000.000	30,214.82	VARIOUS	28,952.70	0.00	1,262.12	Total of 2 lots
Totals:		**129,302.87**		**109,885.39**	**0.00**	**19,417.48**	

*** This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).**

****For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.**

2- LONG-TERM TRANSACTIONS *5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
ABBVIE INC / CUSIP: 00287Y109 / Symbol: ABBV							
07/08/14	600.000	33,284.67	06/28/13	25,505.61	0.00	7,779.06	Sale
CSX CORP / CUSIP: 126408103 / Symbol: CSX							
06/24/14	1,000.000	30,105.78	02/06/13	21,833.18	0.00	8,272.60	Sale
DU PONT E.I.DE NEMOUR&CO / CUSIP: 263534109 / Symbol: DD							
02/13/14	500.000	31,480.22	12/19/12	22,794.75	0.00	8,685.47	Sale
MERCK & COMPANY INC NEW / CUSIP: 58933Y105 / Symbol: MRK							
05/05/14	600.000	34,557.30	08/23/12	25,877.27	0.00	8,680.03	Sale
SEADRILL LTD / CUSIP: G7945E105 / Symbol. SDRL							
	2 tax lots for 09/22/14. Total proceeds (and cost when required) reported to the IRS.						
	100.000	*2,753.55*	*01/26/12*	*3,751.26*	*0.00*	*-997.71*	*Sale*
	1,150.000	*31,730.43*	*01/26/12*	*43,139.49*	*0.00*	*-11,409.06*	*Sale*
09/22/14	1,250.000	34,483.98	VARIOUS	46,890.75	0.00	-12,406.77	Total of 2 lots
	Totals:	**163,911.95**		**142,901.56**	**0.00**	**21,010.39**	

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JANNEY MONTGOMERY SCOTT LLC

Account 86727694

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B*

OMB No. 1545-0715

2- SHORT-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
KINDER MORGAN INC DE / CUSIP: 49456B101 / Symbol: KMI							
12/04/14	0.930	38.71	12/04/14	38.63	0.00	0.08	Cash in lieu

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
KINDER MORGAN ENERGY LP / CUSIP: 494MIX996 / Symbol:							
12/02/14	300.000	30,558.12	07/14/09	10,302.42	0.00	20,255.70	Merger Note: KM Original basis: $15,669.42
MERCK & COMPANY INC NEW / CUSIP: 58933Y105 / Symbol: MRK							
11/07/14	166.000	9,676.75	04/12/93	2,652.67	0.00	7,024.08	Sale
NEXTERA ENERGY SER F / CUSIP: 65339K506 / Symbol:							
	2 tax lots for 03/03/14. Total proceeds (and cost when required) reported to the IRS.						
	100.000	*2,500.00*	*03/24/09*	*2,571.82*	*0.00*	*-71.82*	*Redemption*
	300.000	*7,500.00*	*03/24/09*	*7,730.67*	*0.00*	*-230.67*	*Redemption*
03/03/14	400.000	10,000.00	VARIOUS	10,302.49	0.00	-302.49	Total of 2 lots
PHILLIPS 66 / CUSIP: 718546104 / Symbol: PSX							
	2 tax lots for 09/12/14. Total proceeds (and cost when required) reported to the IRS.						
	50.000	*4,148.78*	*07/14/09*	*956.01*	*0.00*	*3,192.77*	*Sale*
	100.000	*8,297.56*	*07/14/09*	*1,909.75*	*0.00*	*6,387.81*	*Sale*
09/12/14	150.000	12,446.34	VARIOUS	2,865.76	0.00	9,580.58	Total of 2 lots
	Totals:	**62,681.21**		**26,123.34**	**0.00**	**36,557.87**	

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UBS FINANCIAL SERVICES INC. Account TN 05572

Proceeds from Broker and Barter Exchange Transactions

2014 1099-B* OMB No. 1545-0715

2- LONG-TERM TRANSACTIONS

*5- COVERED tax lots 3- Basis is reported to the IRS***

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
GUGGENHEIM BULLETSHARES 2014 HIGH YIELD CORP BOND / CUSIP: 18383M431 / Symbol: BSJE							
12/31/14	560.000	14,700.76	08/30/12	14,959.61	0.00	-258.85	Merger
ISHARES TIPS BOND ETF $0.24 / CUSIP: 464287176 / Symbol: TIP							
05/12/14	175.000	19,479.51	01/12/12	20,736.29	0.00	-1,256.78	Sale
	Totals:	**34,180.27**		**35,695.90**	**0.00**	**-1,515.63**	

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
CLIFFS NATURAL RESOURCES NOTE ADJUSTABLE RATE 4.95 % MATURES 01/15/2018 CALLABLE / CUSIP: 18683KAF8 / Symbol:							
12/05/14	15,000.000	10,345.35	11/07/13	15,286.65	0.00	-4,941.30	Sale Note: 25 Original basis: $15,377.85
CONS NAT GAS CO 05.000% 120114 DTD111804 FC060105 CALL@M/W+20BP / CUSIP: 209615CA9 / Symbol:							
12/01/14	3,000.000	3,000.00	05/04/09	3,000.00	0.00	0.00	Sale
DONNELLEY (R.R.) & SONS M-W +15BP NOTE RATE 4.95 % MATURES 04/01/2014 CALLABLE / CUSIP: 257867AM3 / Symbol:							
04/01/14	10,000.000	10,000.00	03/31/11	10,000.00	0.00	0.00	Sale
HUBBELL INC CL B COMMON STOCK / CUSIP: 443510201 / Symbol: HUBB							
09/12/14	80.000	9,346.61	04/12/93	2,295.04	0.00	7,051.57	Sale
PNC FNDG CORP NOTE RATE 3.00 % MATURES 05/19/2014 / CUSIP: 693476BK8 / Symbol:							
05/19/14	10,000.000	10,000.00	04/04/11	10,000.00	0 00	0.00	Sale
STAPLES INC NOTE RATE 9.75 % MATURES 01/15/2014 DATED DATE 01/15/2009 / CUSIP: 855030AJ1 / Symbol:							
01/15/14	5,000.000	5,000.00	05/04/09	5,000.00	0 00	0 00	Bond call Note: 25 Original basis: $5,746.45

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UBS FINANCIAL SERVICES INC. Account TN 05572

Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 - 1099-B* OMB No. 1545-0715

LONG-TERM TRANSACTIONS

*5- NONCOVERED tax lots 3- Basis NOT reported to the IRS***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional Information
TRANSOCEAN LTD CHF / CUSIP: H8817H100 / Symbol: RIG							
09/12/14	200.000	7,092.17	05/11/98	16,248.00	0.00	-9,155.83	Sale
	Totals:	**54,784.13**		**61,829.69**	**0.00**	**-7,045.56**	

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Schedule D Tax Worksheet

Keep for Your Records

Complete this worksheet only if:

- On Schedule D, line 18b, column (2), **or** line 18c, column (2), is more than zero, **or**
- Both line 2b(1) of Form 1041 **and** line 4g of Form 4952 are more than zero

Exception: Do not use this worksheet to figure the estate's or trust's tax if line 18a, column (2), or line 19, column (2), of Schedule D or Form 1041, line 22 is zero or less; instead, see the Instructions for Form 1041, Schedule G, line 1a.

1.	Enter the estate's or trust's taxable income from Form 1041, line 22	1.	2,281.
2.	Enter qualified dividends, if any, from Form 1041, line 2b(2)	2.	
3.	Enter the amount from Form 4952, line 4g	3.	
4.	Enter the amount from Form 4952, line 4e*	4.	
5.	Subtract line 4 from line 3 If zero or less, enter -0-	5.	
6.	Subtract line 5 from line 2 If zero or less, enter -0-	6.	
7.	Enter the **smaller** of line 18a, col (2) or line 19, col (2) from Sch D	7.	
8.	Enter the **smaller** of line 3 or line 4	8.	
9.	Subtract line 8 from line 7 If zero or less, enter -0-	9.	
10.	Add lines 6 and 9	10.	
11.	Add lines 18b, column (2) and 18c, column (2) from Schedule D	11.	
12.	Enter the **smaller** of line 9 or line 11	12.	
13.	Subtract line 12 from line 10	13.	
14.	Subtract line 13 from line 1. If zero or less, enter -0-	14.	2,281.
15.	Enter the **smaller** of line 1 or $2,500	15.	2,281.
16.	Enter the **smaller** of line 14 or line 15	16.	2,281.
17.	Subtract line 10 from line 1 If zero or less, enter -0-	17.	2,281.
18.	Enter the **larger** of line 16 or line 17	▶ 18.	2,281.
19.	Subtract line 16 from line 15 This amount is taxed at 0%	▶ 19.	

If lines 1 and 15 are the same, skip lines 20 through 40 and go to line 41. Otherwise, go to line 20.

20.	Enter the **smaller** of line 1 or line 13	20.	
21.	Enter the amount from line 19 (if line 19 is blank, enter -0-)	21.	
22.	Subtract line 21 from line 20. If zero or less, enter -0-	▶ 22.	
23.	Enter the **smaller** of line 1 or $12,150	23.	
24.	Add lines 18 and 19	24.	
25.	Subtract line 24 from line 23 If zero or less, enter -0-	25.	
26.	Enter the **smaller** of line 22 or line 25	▶ 26.	
27.	Multiply line 26 by 15%	27.	
28.	Reserved	28.	
29.	Add lines 19 and 26	29.	

If lines 1 and 29 are the same, skip lines 30 through 40 and go to line 41. Otherwise, go to line 30

30.	Subtract line 29 from line 20. If zero or less, enter -0-	▶ 30.	
31.	Multiply line 30 by 20%	31.	
32.	Enter the **smaller** of line 9 (above) or line 18b, col (2) (from Schedule D)	32.	
33.	Add lines 10 and 18	33.	
34.	Enter the amount from line 1 above	34.	
35.	Subtract line 34 from line 33. If zero or less, enter -0-	35.	
36.	Subtract line 35 from line 32. If zero or less, enter -0-	▶ 36.	
37.	Multiply line 36 by 25% (.25)	37.	

If Schedule D, line 18c, column (2) is zero or blank, skip lines 38 through 40 and go to line 41. Otherwise, go to line 38.

38.	Add lines 18, 19, 26, 30, and 36.	38.	
39.	Subtract line 38 from line 1	39.	
40.	Multiply line 39 by 28% (.28).	40.	
41.	Figure the tax on the amount on line 18. Use the 2014 Tax Rate Schedule in the Instructions for Form 1041	41.	342.
42.	Add lines 27, 31, 37, 40 and 41	42.	342.
43.	Figure the tax on the amount on line 1 Use the 2014 Tax Rate Schedule in the Instructions for Form 1041	43.	342.
44.	**Tax on all taxable income (including capital gains and qualified dividends).** Enter the **smaller** of line 42 or line 43 here **and** on Form 1041, Schedule G, line 1a	44.	342.

*If applicable, enter instead the smaller amount entered on the dotted line next to line 4e of Form 4952

Unrecaptured Section 1250 Gain Worksheet - Line 18b

Keep for Your Records

If the estate or trust is not reporting a gain on Form 4797, line 7, skip lines 1 through 9 and go to line 10.

1.	If the estate or trust has a section 1250 property in Part III of Form 4797 for which you made an entry in Part I of Form 4797 (but not on Form 6252), enter the **smaller** of line 22 or line 24 of Form 4797 for that property If the estate or trust did not have any such property, go to line 4 If it had more than one such property, see instructions	1.	
2.	Enter the amount from Form 4797, line 26g, for the property for which you made an entry on line 1.	2.	
3.	Subtract line 2 from line 1	3.	
4.	Enter the total unrecaptured section 1250 gain included on line 26 or line 37 of Form(s) 6252 from installment sales of trade or business property held more than 1 year (see instructions)	4.	
5.	Enter the total of any amounts reported to the estate or trust on a Schedule K-1 from a partnership or an S corporation as "unrecaptured section 1250 gain"	5.	
6.	Add lines 3 through 5	6.	
7.	Enter the **smaller** of line 6 or the gain from Form 4797, line 7 — 7. 766.		
8.	Enter the amount, if any, from Form 4797, line 8 — 8.		
9.	Subtract line 8 from line 7. If zero or less, enter -0-	9.	766.
10.	Enter the amount of any gain from the sale or exchange of an interest in a partnership attributable to unrecaptured section 1250 gain (see instructions)	10.	
11.	Enter the total of any amounts reported to the estate or trust on a Schedule K-1, Form 1099-DIV, or Form 2439 as "unrecaptured section 1250 gain" from an estate, trust, real estate investment trust, or mutual fund (or other regulated investment company).	11.	
12.	Enter the total of any unrecaptured section 1250 gain from sales (including installment sales) or other dispositions of section 1250 property held more than 1 year for which you did not make an entry in Part I of Form 4797 for the year of sale (see instructions)	12.	
13.	Add lines 9 through 12	13.	766.
14.	If the estate or trust had any section 1202 gain or collectibles gain or (loss), enter the total of lines 1 through 4 of the *28% Rate Gain Worksheet* Otherwise, enter -0- — 14.		
15.	Enter the (loss), if any, from Schedule D, line 7 If Schedule D, line 7, is zero or a gain, enter -0- — 15. ( )		
16.	Enter the estate's or trust's long-term capital loss carryovers from Schedule D, line 15, and from Schedule K-1 (Form 1041), box 11, code C, from another estate or trust — 16. ( )		
17.	Combine lines 14 through 16. If the result is a (loss), enter it as a positive amount. If the result is zero or a gain, enter -0-	17.	
18.	**Unrecaptured section 1250 gain.** Subtract line 17 from line 13 If zero or less, enter -0-. Enter the result here and in the appropriate columns of Schedule D, line 18b	18.	766.