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## Form **990-PF**

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public. Department of the Treasury Internal Revenue Service ▶ Information about Form 990-PF and its separate instructions is at www.lrs.gov/form990pf. For calendar year 2014 or tax year beginning and ending Name of foundation A Employer identification number The Victor and Elise Micati Foundation 06-1634690 Number and street (or P O box number if mail is not delivered to street address) Room/suite B Telephone number c/o HHG - 23 Old Kings Highway South 203-656-5500 City or town, state or province, country, and ZIP or foreign postal code C If exemption application is pending, check here 06820 Darien, CT G Check all that apply: Initial return Initial return of a former public charity D 1. Foreign organizations, check here Final return Amended return Foreign organizations meeting the 85% test, check here and attach computation Address change Name change X Section 501(c)(3) exempt private foundation Check type of organization: E If private foundation status was terminated Section 4947(a)(1) nonexempt charitable trust Other taxable private foundation under section 507(b)(1)(A), check here I Fair market value of all assets at end of year | J Accounting method; Accrual If the foundation is in a 60-month termination (from Part II, col. (c), line 16) Other (specify) under section 507(b)(1)(B), check here 397,737. (Part I, column (d) must be on cash basis) ▶\$ Part | Analysis of Revenue and Expenses (d) Disbursements for charitable purposes (b) Net investment (c) Adjusted net (a) Revenue and (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) ) expenses per books income income (cash basis only) N/A Contributions, gifts, grants, etc., received Check X If the foundation is not required to attach Sch B Interest on savings and temporary cash investments 4 Dividends and interest from securities 6,330 6,330 Statement 5a Gross rents b Net rental income or (loss) 25,043 6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a 55,278. 7 Capital gain net income (from Part IV, line 2) 25,043. 8 Net short-term capital gain Income modifications
Gross sales less returns b Less Cost of coo ds sold YAMasol c Gross problem 11 Other incom Total. Add lines 1 through 11 373 31,373 13 Compensation of officers, directors, trustees, etc 0. 0. 0. Other employee salaries and wages 15 Pension plans, employee benefits 16a Legal fees Accounting fees Stmt 2 1,520 760 760. C Other professional fees 17, Interest 18 Taxes Stmt 3 11 0. 0. 19 Depreciation and depletion 20 Occupancy 21 Travel, conferences, and meetings 22 Printing and publications 23 Other expenses Total operating and administrative expenses. Add lines 13 through 23 1,531 760. 760. 25,100 Contributions, gifts, grants paid 25,100 26 Total expenses and disbursements.

26,631

4,742

LHA For Paperwork Reduction Act Notice, see instructions.

2 Excess of revenue over expenses and disbursements b Net investment income (if negative, enter -0-)

C Adjusted net income (if negative, enter -0-)

Form 990-PF (2014)

25,860.

760

30,613

Add lines 24 and 25

27 Subtract line 26 from line 12:

N/A

|                            |      | 0-PF(2014) The Victor and Elise Mi   |                                  |                       | L634690 Page 2             |
|----------------------------|------|--|----------------------------------|-----------------------|----------------------------|
| P                          | art  | Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only | Beginning of year (a) Book Value | End of (b) Book Value | year (c) Fair Market Value |
|                            | 1    | Cash - non-interest-bearing  |                                  |                       |                            |
|                            | 2    | Savings and temporary cash investments   | 4,859.                           | 4,741.                | 4,741.                     |
|                            | 3    | Accounts receivable ►  |                                  |                       |                            |
|                            |      | Less: allowance for doubtful accounts ▶  |                                  |                       |                            |
|                            | 4    | Pledges receivable ►   |                                  |                       |                            |
|                            |      | Less: allowance for doubtful accounts ▶  |                                  |                       |                            |
|                            | 5    | Grants receivable  |                                  |                       |                            |
|                            | 6    | Receivables due from officers, directors, trustees, and other  |                                  |                       |                            |
|                            |      | disqualified persons   |                                  |                       |                            |
|                            | 7    | Other notes and loans receivable   |                                  |                       |                            |
|                            |      | Less; allowance for doubtful accounts ▶  |                                  |                       |                            |
| ţ                          | 8    | Inventories for sale or use  |                                  |                       |                            |
| Assets                     | 9    | Prepaid expenses and deferred charges  |                                  |                       |                            |
| 4                          | 10a  | Investments - U.S. and state government obligations  |                                  |                       |                            |
|                            | ь    | Investments - corporate stock Stmt 4   | 259,692.                         | 277,359.              |                            |
|                            | C    | Investments - corporate bonds Stmt 5   | 54,054.                          | 36,354.               | 40,628.                    |
|                            | 11   | Investments - land, buildings, and equipment basis   |                                  |                       |                            |
|                            |      | Less accumulated depreciation  |                                  |                       | <del></del>                |
|                            | 12   | Investments - mortgage loans   |                                  |                       |                            |
|                            | 13   | Investments - other  |                                  |                       |                            |
|                            | 14   | Land, buildings, and equipment: basis  |                                  | }                     |                            |
|                            |      | Less accumulated depreciation  |                                  |                       |                            |
|                            | I    | Other assets (describe)  |                                  |                       |                            |
|                            | 16   | Total assets (to be completed by all filers - see the  |                                  | 242 454               | 000 000                    |
| _                          | -    | instructions. Also, see page 1, item I)  | 318,605.                         | 318,454.              | <u>397,737.</u>            |
|                            | 1    | Accounts payable and accrued expenses  |                                  |                       |                            |
|                            | 18   | Grants payable   |                                  |                       |                            |
| ies                        | 19   | Deferred revenue   |                                  |                       |                            |
| Liabilities                | 20   | Loans from officers, directors, trustees, and other disqualified persons                                       |                                  |                       |                            |
| Ē.                         | 21   | Mortgages and other notes payable  |                                  |                       |                            |
|                            | 22   | Other liabilities (describe )  |                                  |                       |                            |
|                            | 23   | Total liabilities (add lines 17 through 22)  | 0.                               | 0.                    |                            |
| _                          | 2.0  | Foundations that follow SFAS 117, check here   | 0.0                              |                       |                            |
|                            |      | and complete lines 24 through 26 and lines 30 and 31.  |                                  |                       |                            |
| es                         | 24   | Unrestricted   | 318,605.                         | 318,454.              |                            |
| and                        | 25   | Temporarily restricted   |                                  |                       |                            |
| Bal                        | 26   | Permanently restricted   |                                  |                       |                            |
| Pu                         |      | Foundations that do not follow SFAS 117, check here  |                                  |                       |                            |
| ŕ                          |      | and complete lines 27 through 31.  |                                  |                       |                            |
| S                          | 27   | Capital stock, trust principal, or current funds   |                                  |                       |                            |
| Net Assets or Fund Balance | 28   | Paid-in or capital surplus, or land, bldg., and equipment fund   |                                  |                       |                            |
| As                         | 29   | Retained earnings, accumulated income, endowment, or other funds   |                                  |                       |                            |
| Net                        | 30   | Total net assets or fund balances  | 318,605.                         | 318,454.              |                            |
|                            |      | Tabel tish liking and not accord found belongs   | 318,605.                         | 318,454.              |                            |
|                            |      | Total liabilities and net assets/fund balances   |                                  | 310,434.              |                            |
|                            | art  |  |                                  | <u> </u>              | _ <del></del>              |
| 1                          |      | l net assets or fund balances at beginning of year - Part II, column (a), line                                 | 30                               |                       |                            |
|                            |      | st agree with end-of-year figure reported on prior year's return)  | 1                                | 318,605.              |                            |
| 2                          |      | r amount from Part I, line 27a   |                                  | 2                     | 4,742.                     |
| 3                          |      | r increases not included in line 2 (itemize)   | · 10-11-                         | 3                     | 0.                         |
| 4                          |      | lines 1, 2, and 3  | 4                                | 323,347.              |                            |
|                            |      | eases not included in line 2 (itemize) <u>Unrealized gai</u>   |                                  | 4,893.                |                            |
| <u>6</u>                   | Tota | I net assets or fund balances at end of year (line 4 minus line 5) - Part II, c                                | olumn (b), line 30               | 6                     | 318,454.                   |

| F   | Part IV Capital Gains a   | nd Lo                   | sses for Tax on In                                       | vestment                           | Income                               |            |                             |                         |                        |                             |                                  |
|-----|---|-------------------------|--|------------------------------------|--------------------------------------|------------|-----------------------------|-------------------------|------------------------|-----------------------------|----------------------------------|
|     | (a) List and descri<br>2-story brick war  | be the kir<br>ehouse; o | nd(s) of property sold (e.g.<br>or common stock, 200 shs | , real estate,<br>. MLC Co.)       |                                      | <b>(b)</b> | How ac<br>- Purch<br>- Dona | quired<br>hase<br>ation | (c) Date a<br>(mo., da |                             | (d) Date sold<br>(mo., day, yr.) |
| 12  | 406.149 PIMCO A   | 11 7                    | Asset/Author   | ity                                |                                      |            |                             | P                       | Vari                   | ous                         | 11/25/14                         |
|     | 1,455.604 Dreyf   |                         |  |                                    |                                      |            |                             | P                       | Vari                   | ous                         | 03/24/14                         |
|     | 500 PIMCO All A   |                         |  |                                    |                                      |            |                             | P                       | <u>Vari</u>            | ous_                        | 01/07/14                         |
| _(  | <u> Capital Gains I</u>   | Divid                   | lends  |                                    |                                      |            |                             |                         |                        |                             |                                  |
| _6  | •   |                         | · · · · · · · · · · · · · · · · · · ·                    |                                    |                                      | <u> </u>   |                             |                         |                        |                             |                                  |
| _   | (e) Gross sales price   | (f) C                   | epreciation allowed<br>(or allowable)                    |                                    | st or other basis<br>expense of sale | !          |                             |                         |                        | ain or (loss<br>(f) minus   |                                  |
| _ 2 |   |                         |  |                                    | 5,13                                 |            |                             |                         |                        |                             | <137.                            |
| _t  |   |                         |  |                                    | 18,79                                |            |                             |                         |                        |                             | 1,203.                           |
| _(  |   |                         |  |                                    | 6,30                                 | 1.         |                             |                         |                        |                             | <301.                            |
| _(  | 24,278.   |                         |  |                                    |                                      |            |                             | -                       |                        |                             | 24,278.                          |
| _6  |   |                         |  |                                    |                                      |            |                             |                         |                        |                             |                                  |
| _   | Complete only for assets showing  |                         |  |                                    |                                      |            |                             |                         |                        | ol. (h) gain                |                                  |
|     | (i) F.M.V. as of 12/31/69   | (                       | i) Adjusted basis<br>as of 12/31/69                      |                                    | cess of col. (i)<br>col. (j), if any |            |                             | COI.                    | Losses                 | ot less tha<br>(from col. ( | (h))                             |
| _8  | 1   |                         |  |                                    |                                      |            |                             |                         |                        | _                           | <137.                            |
| _1  | )   |                         |  |                                    |                                      |            |                             |                         |                        |                             | 1,203.                           |
| _   | D   |                         |  |                                    |                                      |            |                             |                         |                        |                             | <301.                            |
| _   | 1   |                         |  |                                    |                                      |            |                             |                         |                        |                             | 24,278.                          |
| _6  |   |                         |  |                                    |                                      |            |                             |                         |                        |                             |                                  |
| 2   | Capital gain net income or (net cap   | oital loss)             | If gain, also enter If (loss), enter -0-                 | ın Part I, line<br>ın Part I, line | 7<br>7                               | }          | 2                           |                         |                        |                             | 25,043.                          |
| 3   | Net short-term capital gain or (loss  | s) as defu              | •  |                                    |                                      | _          |                             |                         |                        |                             |                                  |
| •   | If gain, also enter in Part I, line 8, of the loss, enter -0- in Part I, line 8 |                         |  | <b>-</b> (0).                      |                                      | }          | 9                           |                         |                        | N/A                         |                                  |
| Ī   | Part V Qualification U  | nder S                  | ection 4940(e) for                                       | Reduced                            | Tax on Net                           | Inv        | estm                        | ent Inc                 | ome                    | 11/11                       | ·                                |
| Œ   | or optional use by domestic private   | foundation              | ons subject to the section 4                             | 1940(a) tax on                     | net investment in                    | com        | e.)                         |                         |                        |                             |                                  |
| ٠   |   |                         | ,  | ( )                                |                                      |            | ,                           |                         |                        |                             |                                  |
| lf  | section 4940(d)(2) applies, leave the   | is part bla             | ink.   |                                    |                                      |            |                             |                         |                        |                             |                                  |
| W   | as the foundation liable for the secti  | on 4942                 | tax on the distributable am                              | ount of any ye                     | ear in the base per                  | riod?      |                             |                         |                        |                             | Yes X No                         |
| lf  | "Yes," the foundation does not quali  | fy under s              | section 4940(e). Do not co                               | mplete this pa                     | ırt.                                 |            |                             |                         |                        |                             |                                  |
| 1   | Enter the appropriate amount in e   | ach colur               | nn for each year; see the in                             | istructions be                     | fore makıng any e                    | ntrie      | s.                          |                         | •                      |                             |                                  |
|     | (a)<br>Base period years  |                         | (b)  |                                    |                                      | (c)        | )                           |                         |                        | Distri                      | (d)<br>bution ratio              |
|     | Calendar year (or tax year beginnin   | g ın)                   | Adjusted qualifying dist                                 |                                    | Net value of no                      | ncha       |                             |                         | _                      | (col. (b) di                | vided by col. (c))               |
|     | 2013  |                         | 1  | 8,575.                             |                                      |            | 35                          | 3,668                   | •                      |                             | .052521                          |
| _   | 2012  |                         | 1  | 4,575.                             |                                      |            | 31                          | 0,626                   | •                      |                             | .046921                          |
| _   | 2011  |                         |  | 4,000.                             |                                      |            |                             | <u>7,939</u>            |                        |                             | .045464                          |
| _   | 2010  |                         |  | <u>0,657.</u>                      |                                      |            |                             | <u>9,405</u>            |                        |                             | .169192                          |
| _   | 2009  |                         | 4_   | <u>1,187.</u>                      |                                      |            | <u> 29</u>                  | <u>8,681</u>            | •                      |                             | .137896                          |
| 2   | Total of line 1, column (d)   |                         |  |                                    |                                      |            |                             |                         | 2                      |                             | .451994                          |
| 3   | Average distribution ratio for the 5  | -year bas               | e period - divide the total c                            | on line 2 by 5,                    | or by the number                     | of ye      | ears                        |                         |                        |                             |                                  |
|     | the foundation has been in existen  | ce if less              | than 5 years   |                                    |                                      |            |                             |                         | 3                      |                             | .090399                          |
| 4   | Enter the net value of noncharitable  | e-use ass               | sets for 2014 from Part X, I                             | line 5                             |                                      |            |                             |                         | 4                      |                             | 385,908.                         |
| 5   | Multiply line 4 by line 3   |                         |  |                                    |                                      |            |                             |                         | 5                      |                             | 34,886.                          |
| 6   | Enter 1% of net investment income   | e (1% of                | Part I, line 27b)  |                                    |                                      |            |                             |                         | 6                      |                             | 306.                             |
| 7   | Add lines 5 and 6   |                         |  |                                    |                                      |            |                             |                         | 7                      |                             | 35,192.                          |
| 8   | Enter qualifying distributions from   | Part XII.               | line 4   |                                    |                                      |            |                             |                         | 8                      |                             | 25,860.                          |
| -   | If line 8 is equal to or greater than See the Part VI instructions.             |                         |  | 1b, and comp                       | olete that part usin                 | ıg a 1     | % tax r                     | rate.                   |                        |                             |                                  |

|    | 990-PF (2014) The Victor and Elise Micati Foundation 06-1 rt VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - s | 6346<br>see ins | 90<br>truc |      | age 4 |
|----|---|-----------------|------------|------|-------|
|    | Exempt operating foundations described in section 4940(d)(2), check here  and enter "N/A" on line 1.  |                 |            |      |       |
| 14 | Date of ruling or determination letter: (attach copy of letter if necessary-see instructions)   |                 |            |      |       |
| h  | Domestic foundations that meet the section 4940(e) requirements in Part V, check here and enter 1%  |                 |            | 6:   | 12.   |
| •  | of Part I, line 27b   |                 |            |      |       |
| c  | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                              |                 |            |      |       |
|    | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)   |                 |            |      | 0.    |
|    | Add lines 1 and 2   |                 |            | 6:   | 12.   |
|    | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)   |                 |            |      | 0.    |
|    | Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-   |                 |            | 6:   | 12.   |
|    | Credits/Payments:   |                 |            |      |       |
|    | 2014 estimated tax payments and 2013 overpayment credited to 2014 6a 6a   |                 |            |      |       |
|    | Exempt foreign organizations - tax withheld at source   |                 |            |      |       |
|    | Tax paid with application for extension of time to file (Form 8868)   |                 |            |      |       |
|    | Backup withholding erroneously withheld 6d  |                 |            |      |       |
|    | Total credits and payments. Add lines 6a through 6d   |                 |            |      | 0.    |
| 8  | Enter any <b>penalty</b> for underpayment of estimated tax. Check here if Form 2220 is attached 8   |                 |            |      | 10.   |
|    | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed   |                 |            |      | 22.   |
|    | Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid  | _               |            |      |       |
|    | Enter the amount of line 10 to be: Credited to 2015 estimated tax   |                 |            |      |       |
|    | rt VII-A Statements Regarding Activities  |                 | -          |      |       |
|    | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in          |                 |            | Yes  | No    |
|    | any political campaign?   |                 | 1a         |      | X     |
| h  | Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?            |                 | 1b         |      | X     |
| •  | If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or                          |                 |            |      |       |
|    | distributed by the foundation in connection with the activities.  |                 |            |      |       |
| c  | Did the foundation file Form 1120-POL for this year?  |                 | 1c         |      | X     |
|    | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:  |                 |            |      |       |
| •  | (1) On the foundation. ▶ \$ 0. (2) On foundation managers. ▶ \$ 0.  | ļ               | l          |      |       |
| e  | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation                           |                 | l          |      |       |
| ·  | managers. ►\$ 0.  | İ               |            |      |       |
| 2  | Has the foundation engaged in any activities that have not previously been reported to the IRS?   |                 | 2          |      | X     |
| -  | If "Yes," attach a detailed description of the activities   |                 |            |      |       |
| 3  | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or                   |                 |            |      |       |
| •  | bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes  |                 | 3          |      | X     |
| 4a | Did the foundation have unrelated business gross income of \$1,000 or more during the year?   |                 | 4a         | _    | X     |
|    | If "Yes," has it filed a tax return on Form 990-T for this year?  | 'A [            | 4b         |      |       |
|    | Was there a liquidation, termination, dissolution, or substantial contraction during the year?  |                 | 5          |      | X     |
| -  | If "Yes," attach the statement required by General Instruction T.   |                 |            |      |       |
| 6  | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:   |                 |            |      |       |
| •  | By language in the governing instrument, or   |                 |            |      |       |
|    | By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law                |                 |            |      |       |
|    | remain in the governing instrument?   |                 | 6          |      | X     |
| 7  | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV                     |                 | 7          | X    |       |
|    |   |                 |            |      |       |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions)  |                 |            |      |       |
|    | CT  |                 |            |      |       |
| b  | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate)                           |                 |            |      |       |
| -  | of each state as required by General Instruction G? If "No," attach explanation   |                 | 8b         | X_   |       |
| 9  | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar               | Γ               | $\Box$     |      |       |
| -  | year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV  | L               | 9          |      | X     |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                    |                 | 10         |      | X     |
|    |   | Form            | 990        | -PF( | 2014) |

| nrm | 990-PF(2014) The Victor and Elise Micati Foundation 06-  | 1634690          | F    | <sup>2</sup> age <b>5</b> |
|-----|--|------------------|------|---------------------------|
|     | art VII-A   Statements Regarding Activities (continued)  |                  |      |                           |
| 11  | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)  | 11               |      | <u>x</u>                  |
| 12  | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileg If "Yes," attach statement (see instructions)  | es?              |      | х                         |
| 13  | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?  | 13               | _X_  |                           |
|     | Website address ► N/A  | 2 CEC E          | ΕΛΛ  |                           |
| 14  | The books are in care of ► HHG Foundation Services  Located at ► 23 Old Kings Highway South, Darien, CT  Telephone no. ► 20  | 4 <b>▶</b> 06820 |      |                           |
| 15  | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here  | • <u>00020</u>   |      | П                         |
| 13  | and enter the amount of tax-exempt interest received or accrued during the year  | l N              | /A   |                           |
| 16  | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank,   |                  | Yes  | No                        |
|     | securities, or other financial account in a foreign country?   | 16               |      | X_                        |
|     | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the  | э                |      |                           |
|     | foreign country  |                  |      |                           |
| Pa  | rt VII-B   Statements Regarding Activities for Which Form 4720 May Be Required   |                  | T    |                           |
|     | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.  | <u> </u>         | Yes  | No                        |
| 1a  | During the year did the foundation (either directly or indirectly):  (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  Yes   | Z No             |      |                           |
|     | (1) Engage in the sale or exchange, or leasing of property with a disqualified person?  L Yes (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from)  | - NO             |      |                           |
|     | a disqualified person?   | S No             |      |                           |
|     | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?   |                  |      |                           |
|     | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?   | ☑ No             |      |                           |
|     | (5) Transfer any income or assets to a disqualified person (or make any of either available  | _                |      |                           |
|     | for the benefit or use of a disqualified person)?  | ≦ No             |      |                           |
|     | (6) Agree to pay money or property to a government official? (Exception. Check "No"  |                  | }    |                           |
|     | If the foundation agreed to make a grant to or to employ the official for a period after   | a.               |      |                           |
|     | termination of government service, if terminating within 90 days.)   | No               |      |                           |
| þ   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations   | J/A 1b           |      |                           |
|     | section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?  Organizations relying on a current notice regarding disaster assistance check here  | <b>∵</b> ^^      | -    |                           |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected  |                  |      |                           |
| •   | before the first day of the tax year beginning in 2014?  | 10               | ]    | X                         |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation   |                  |      |                           |
|     | defined in section 4942(j)(3) or 4942(j)(5)):  |                  |      |                           |
| а   | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning   | _                |      |                           |
|     | before 2014?   | ≦ No             |      |                           |
|     | If "Yes," list the years , , , , , , , , , , , , , , , , , , ,   |                  |      |                           |
| 0   | Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach |                  |      |                           |
|     |  | N/A 2b           |      |                           |
| C   | : If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here.  | 7,               |      |                           |
|     |  |                  |      |                           |
| За  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time  | _                |      |                           |
|     | during the year?   | S No             |      |                           |
| b   | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after   |                  |      |                           |
|     | May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose   | '                |      |                           |
|     | of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C,   | 1/A 3b           |      |                           |
| 4-  | Form 4720, to determine if the foundation had excess business holdings in 2014)  1 Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?   | N/A 3b 4a        |      | X                         |
|     | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that   | 74               |      |                           |
|     | had not been removed from jeopardy before the first day of the tax year beginning in 2014?   | _4b_             |      | <u>x</u>                  |
|     |  | Form <b>99</b>   | 0-PF |                           |

| Form 990-PF (2014) The Victor and Elise Mic<br>Part VII-B   Statements Regarding Activities for Which I  | ati Foundatio  | n<br>Required (continu                          | 06-16346   | 90 Page 6                             |
|--|--|---|--|---------------------------------------|
| <ul> <li>5a During the year did the foundation pay or incur any amount to: <ol> <li>Carry on propaganda, or otherwise attempt to influence legislation (section)</li> <li>Influence the outcome of any specific public election (see section 4955); or any voter registration drive?</li> <li>Provide a grant to an individual for travel, study, or other similar purposes</li> <li>Provide a grant to an organization other than a charitable, etc., organization 4945(d)(4)(A)? (see instructions)</li> <li>Provide for any purpose other than religious, charitable, scientific, literary, the prevention of cruelty to children or animals?</li> <li>If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify unissection 53.4945 or in a current notice regarding disaster assistance (see instructions)</li> <li>If the answer is "Yes" to question 5a(4), does the foundation claim exemption for expenditure responsibility for the grant?</li> </ol> </li> </ul> | or to carry on, directly or indirectly or indirectly or indirectly or indirectly or indirectly or indirectly or educational purposes, or identified exceptions described electrons)?  Here from the tax because it maintals. | ectly,  Ye Ye for Ye an Regulations             | S X No                                      | 5 <b>b</b>                            |
| <ul> <li>If "Yes," attach the statement required by Regulations section 53.494.</li> <li>6a Did the foundation, during the year, receive any funds, directly or indirectly, to a personal benefit contract?</li> <li>b Did the foundation, during the year, pay premiums, directly or indirectly, on a pif "Yes" to 6b, file Form 8870.</li> <li>7a At any time during the tax year, was the foundation a party to a prohibited tax so If "Yes," did the foundation receive any proceeds or have any net income attributed.</li> </ul>   | pay premiums on nersonal benefit contract? shelter transaction?  | Ye  | s X No N/A   | Sb X                                  |
| Part VIII Information About Officers, Directors, Trust Paid Employees, and Contractors   | <u> </u>   | ınagers, Highly                                 | /  |                                       |
| List all officers, directors, trustees, foundation managers and their  (a) Name and address  Victor Micati   | (b) Title, and average hours per week devoted to position  Trustee   | (c) Compensation<br>(If not paid,<br>enter -0-) | (d) Contributions to employee benefit plans and deferred compensation          | (e) Expense account, other allowances |
| 318 Kicking Horse Trail<br>Edwards, CO 81632<br>Elise Micati   | 1.00<br>Trustee  | 0.  | 0.   | 0.                                    |
| 318 Kicking Horse Trail<br>Edwards, CO 81632   | 1.00   | 0.  | 0.   | 0.                                    |
|  |  |   |  |                                       |
| 2 Compensation of five highest-paid employees (other than those inc  | <del>,</del>   | enter "NONE."                                   | (d) Contribution to  |                                       |
| (a) Name and address of each employee paid more than \$50,000  | (b) Title, and average<br>hours per week<br>devoted to position  | (c) Compensation                                | (d) Contributions to<br>employee benefit plans<br>and deferred<br>compensation | (e) Expense account, other allowances |
| NONE   |  |   |  |                                       |
|  |  |   |  |                                       |
| Total number of other employees paid over \$50,000   |  |   | <b></b>  | 0<br>990-PF (2014)                    |

| orm 990-PF (2014) The Victor and Elise Micati Foundation 06  | -1634690 Page 7                                  |
|--|--|
| Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)   |  |
| Five highest-paid independent contractors for professional services. If none, enter "NONE."  |  |
| (a) Name and address of each person paid more than \$50,000 (b) Type of service  | (c) Compensation                                 |
| NONE   |  |
|  |  |
|  |  |
|  |  |
|  |  |
| Total number of others receiving over \$50,000 for professional services   | ▶ 0  |
| Part IX-A Summary of Direct Charitable Activities  |  |
| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses   |
| 1 N/A  |  |
|  | _  |
|  | <del> </del>                                     |
| 2  | -  |
|  | -  |
| 3  |  |
|  |  |
|  |  |
| 1  | _  |
|  |  |
| Part IX-B Summary of Program-Related Investments   |  |
| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.  | Amount   |
| 1 N/A  |  |
|  | _  |
|  |  |
| 2  | -  |
|  | -  |
| All other program-related investments. See instructions.   | <del>                                     </del> |
| 3  |  |
|  | _  |
| Total Add lines 1 through 3  | 0.   |

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| 1  | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |    |                |
|----|---|----|----------------|
| a  | Average monthly fair market value of securities   | 1a | 383,570.       |
| b  | Average of monthly cash balances  | 1b | 8,215.         |
| C  | Fair market value of all other assets   | 1c |                |
| d  | Total (add lines 1a, b, and c)  | 1d | 391,785.       |
| е  | Reduction claimed for blockage or other factors reported on lines 1a and                                    |    |                |
|    | 1c (attach detailed explanation)  | 0. |                |
| 2  | Acquisition indebtedness applicable to line 1 assets  | 2  | 0.             |
| 3  | Subtract line 2 from line 1d  | 3  | 391,785.       |
| 4  | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | <u>5,877</u> . |
| 5  | Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4        | 5  | 385,908.       |
| 6_ | Minimum investment return. Enter 5% of line 5   | 6  | _19,295.       |

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part.)

|    | to leight of gamzations check here  and do not complete this part.                    | <i>)</i>     |      |    |                  |
|----|---|--------------|------|----|------------------|
| 1  | Minimum investment return from Part X, line 6   |              |      | 1  | 19,295.          |
| 2a | Tax on investment income for 2014 from Part VI, line 5                                | 2a           | 612. |    |                  |
| b  | Income tax for 2014. (This does not include the tax from Part VI.)                    | _2b          |      |    |                  |
| C  | Add lines 2a and 2b   |              |      | 2c | <u>612.</u>      |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                 |              | ì    | 3  | 18,683.          |
| 4  | Recoveries of amounts treated as qualifying distributions                             |              |      | 4  | 0.               |
| 5  | Add lines 3 and 4   |              |      | 5  | <u> 18,68</u> 3. |
| 6  | Deduction from distributable amount (see instructions)                                |              | Į    | 6  | 0.               |
| 7  | Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part | XIII, line 1 |      | 7  | 18,683.          |
|    | art VII   |              |      |    |                  |

Part XII Qualifying Distributions (see instructions)

| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:                 |                          |         |
|---|--|--------------------------|---------|
| а | Expenses, contributions, gifts, etc total from Part I, column (d), line 26                                 | 1a                       | 25,860. |
| b | Program-related investments - total from Part IX-B   | 1b                       | 0.      |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes  | 2                        |         |
| 3 | Amounts set aside for specific charitable projects that satisfy the:                                       |                          |         |
| а | Suitability test (prior IRS approval required)   |                          |         |
| b | Cash distribution test (attach the required schedule)  | 3b                       |         |
| 4 | Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4                        | 25,860. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment               |                          |         |
|   | income. Enter 1% of Part I, line 27b   | 5                        | 0.      |
| 6 | Adjusted qualifying distributions Subtract line 5 from line 4  | 6                        | 25,860. |
|   | Mate. The amount or line Could be used in Doubly column (b) in out account upon coloridating whether the f | dation qualifies for the |         |

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Form **990-PF** (2014)

| Corpus   C                                | Part XIII Undistributed Income (se                    | e instructions) |    |    |                    |
|---|---|-----------------|----|----|--------------------|
| Inter 7   |   |                 |    |    |                    |
| 2. Undertweet sectors, 4 alony, as of the end of 2015 at Enter amount 107 021 only b Total for prior years;  2. Excess distributions curryover, 4 any, to 2016; a From 2019   |   |                 |    |    | 18,683.            |
| \$ Excess distributions carryover, if any, to 2015; \$ Access distributions carryover, if any, to 2015; \$ Arron 2009   | 2 Undistributed income, if any, as of the end of 2014 |                 |    |    |                    |
| 3   | a Enter amount for 2013 only                          |                 |    | 0. |                    |
| \$\frac{1}{2}\$ Excess distributions carryover, if any, to 2014; \$\frac{1}{2}\$ From 2010 \$\frac{5}{2}\$, 70.6. \$\frac{1}{2}\$, 6.70.6. \$\frac{1}{2}\$ From 2013 \$\frac{1}{4}\$, 4.575. \$\frac{1}{2}\$ From 2013, but not more than line 2a by Applied to 2013, but not more than line 2a by Applied to 2013, but not more than line 2a by Applied to 2014 distributions for 2014 from 24 by Applied to 2014 distributions out of corpus (Election required - see instructions) consistency are (Election required - see instructions) consistency are provised to distributions out of corpus (Election required - see instructions) consistency are provised to 2014 (ran amount applied to 2014 (starbutiable amount effects) consistency are provised to 2014 (ran amount applied to 2014 (ran amount applied more in-claimed, life server in-column (she server in-column) clair server in column as indicated below.  129,044 | <b>b</b> Total for prior years:                       |                 | _  |    |                    |
| a From 2009   | Evenes distributions persuavar if any to 2014:        |                 | 0. |    |                    |
| Firm 2010   | 4   |                 |    |    |                    |
| E From 2011   |   |                 |    |    |                    |
| AFrom 2012   14,575.  |   |                 |    |    |                    |
| From 2013   |   |                 |    |    |                    |
| 1 Total of lines 3 at through e  4 Qualifying distributions for 2014 from Part XII, Invi 4 № \$ 25,860.  a Applied to 2013, but not more than line 22  Applied to undistributed income of pror years (Election required - see instructions)  C Treated as distributions out of corpus ((Election required - see instructions)  C Applied to 2014 distributiable amount e Remaning amount distributed out of corpus  C Excess carbetulense curryow applied to 2014 (for smount appears in column (fix) the same amount must be streen in column (iii)  E Enter the net total of each column as indicated below:  2 Corpus Add Instituted incomes. Subtract lines  B Prior years' undistributed income for which a note; of differency has been insued, or on which the section 492(a) tax has been previously assessed  3 Subtract line 65 from line 1. This amount must be distributed in come for 2014. Subtract lines  4 a from line 2. Taxable amount - see instr.  0 .  4 Applied to 2014. Subtract lines  4 a from line 2. Taxable amount - see instr.  0 .  5 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b) (1)(f) or 4942(b)(3) (Election may be required - see instructions)  8 Excess distributions carryover tome 209  not applied on line 5 or line 7  9 Excess distributions carryover tome 209  not applied on line 5 or line 6  1 Analyses of line 9:  4 Decess from 2011  4 Applied 5                               |   |                 |    |    |                    |
| 4. Qualifying distributions for 2014 from Part XII, lime 4: № \$ 25,860.  Applied to 2013, but not more than line 2a  Applied to 2013, but not more than line 2a  Applied to undistributed income of prior years (Election required - see instructions)  6 Treated as distributions out of corpus (Election required - see instructions)  6 Applied to 2014 distributable amount  e Remaining amount distributed out of corpus  5 Consess distributions caryove regions to 2014  6 (fran amount appears in collume (6)), the same amount must be shown in exturning (6))  6 Enter the net total of each notium as indicated below:  1 29,044.  1 29                              | -   | 121,867.        |    |    |                    |
| a Appled to 2013, but not more than line 2a b Appled to undistributed income of prior years (Election required -see instructions) c Treated as distributions out of corpus (Election required -see instructions) d Appled to 2014 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions curpove applies to 2014 (first mount appears in column), the sume amount mount distributed out of corpus 5 Excess distributions curpove applies to 2014 (first mount appears in column), the sume amount mount                               |   |                 |    |    |                    |
| b Applied to undistributed income of prior years (Election required - see instructions) c Treated as distributions out of corpus (Election required - see instructions) d Applied to 2014 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions caryover applied to 2014 distributable amount experience of the same amount must be shown in oximal (s).  5 Enter see substitutions caryover applied to 2014 for same amount must be shown in oximal (s).  5 Enter the net total of each column as indicated below:  6 Corpus Add insee 31, 45, and 4e Subtract line 5  6 Prior years' undistributed income. Subtract line 4b from line 2b.  6 Enter the amount of prior years' undistributed momes. Subtract line 4b from line 2b.  6 Enter the amount of prior years' undistributed mome for which a notice of deficiency has been issued, or on which the section 494(2) tax has been previously assessed  4 Subtract line 6c from line 6b. Taxable amount - see instructions  6 Undistributed mome for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions  6 Undistributed mome for 2014. Subtract line 4a from line 6b. Taxable amount - see instructions  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(1)(1)(1)(1)(1)(1)(3)(4)(3)(3)(Election may be required - see instructions)  8 Excess distributions carrover from 2009 not applied on line 5 or line 7  9 Excess distributions carrover from 2009 not applied on line 5 or line 7  9 Excess from 2010  14,000.  6 Excess from 2010  50,706.  14,000.  6 Excess from 2012  14,575.  6 Excess from 2014  7,177.   | · ·   |                 |    |    |                    |
| years (Election required - see instructions)  6 Treated as distributions out of corpus (Election required - see instructions)  4 Applied to 2014 distributable amount  6 Remaining amount distributed out of corpus  5 Excess distributions exprover explied to 2014 (gift an amount appear in column (g), the same amount results shown in column (g).  6 Enter the net total of each column as indicated below:  a Corpus And Innes 3f, 4a, and 4e Subtract line 5 b Pror years' undistributed income. Subtract line 4b from line 2b  c Enter the amount of prior years' undistributed more for which a notice of deficiency has been issued, or on which the section 494(2) jax has been previously assessed  5 Subtract line 6c from line 6b. Taxable amount - see instructions  6 Undistributed in core for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in core for 2014, Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 494(2)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess from 2010  10 Analysis of line 9:  a Excess from 2010  10 Analysis of line 9:  a Excess from 2011  10 Analysis of line 9:  a Excess from 2012  10 Analysis of line 9:  a Excess from 2012  10 Analysis of line 9:  a Excess from 2012  10 Analysis of line 9:  a Excess from 2011  10 Analysis of line 9:  a Excess from 2012  11 Analysis of line 9:  a Excess from 2014  7 Analysis of line 9:  a Excess from 2015  11 Analysis of line 9:  a Excess from 2016  12 Analysis of line 9:  a Excess from 2011  13 Analysis of line 9:  a Excess from 2011  14 Analysis of line 9:  a Excess from 2011  17 Analysis of line 9:  a Excess from 2011  17 Analysis of line 9:  a Excess from 2011  17 Analysis of line 9:  a Excess from 2011  17 Analysis of line 9:  a Excess from 2011  17 Analysis of line 9:  a Excess from 2011  17 Analysis of line 9:  a Excess from                               | a Applied to 2013, but not more than line 2a          |                 |    | 0. |                    |
| □ Treated as distributions out of corpus ((Election required - see instructions)  | <b>b</b> Applied to undistributed income of prior     |                 |    |    |                    |
| (Election required - see instructions)  | years (Election required - see instructions)          |                 | 0. |    | <u> </u>           |
| d Applied to 2014 distributable amount e Remaining amount distributed out of corpus 5 Excess distributions carryover prior to 2014 (if an amount appears in column (6), the same amount must be abson in column (6), the same amount must be abson in column (6), the same amount must be abson in column (6), the same amount must be abson in column (6), the same amount must be abson in column (6), the same amount must be abson in column (6), the same amount must be abson in column (6), the same amount the set of dead inea 3f, 4c, and 4e Subtract line 5 b Pror years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 492(a) tax has been previously assessed 0. d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 7. Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(a)(3) (Betton may be required - see instructions) 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: Excess from 2010 50, 706. Excess from 2011 14, 575. Excess from 2011 14, 575. Excess from 2014 7, 177.   | c Treated as distributions out of corpus              |                 |    |    |                    |
| e Remaining amount distributed out of corpus  5 Excess distributions carryover applied to 2014 (frial monarity dishe same amount must be shown in column (s))  6 Enter the net total of each column as indicated below:  a Corpus Add lines 3f, 4c, and 4e Subtract line 5  b Prior years' undistributed income. Subtract line 4 b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 942(a) as has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions  e Undistributed income for 2013. Subtract line 4 af from line 2a. Taxable amount - see instructions  e Undistributed income for 2014. Subtract line 4 af from line 2a. Taxable amount - see instructions  e Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(p)(3) (Election may be required -see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2010  b Excess from 2011  c Excess from 2011  c Excess from 2014  7 1, 177.   | · · · · · · · · · · · · · · · · · · ·                 | 0.              |    |    |                    |
| 5 Excess distributions carryover applied to 2014 (file amount appears in column (a)) 6 Enter the net total of each column as indicated below: a Corpus Add lines 3f, 4c, and 4e Subtract line 5 bPrior years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6b from line 6b. Taxable amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(f) or 4942(p)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7 Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2010 b Excess from 2011 c Excess from 2011 c Excess from 2012 c Excess from 2014 7 T, 177-  |   |                 |    |    | 18,683.            |
| (if an amount appears in column (d), the same amount must be aboven in column (a).  6 Enter the net total of each column as indicated below:  a Corpus Acid Inea 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions  e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions  e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions  f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2011  b Excess from 2011  c Excess from 2011  c Excess from 2012  1 4 4 , 57 5 .  d Excess from 2013  1 1 , 33 6 .  e Excess from 2014  7 , 17 7 .  | · F   |                 |    |    |                    |
| indicated below:  a Corpus Add lines 8f, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract line 4b Prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions fulnes 4d and 6 from line 1. This amount must be distributed income for 2014. Subtract lines 4d and 6 from line 1. This amount must be distributed in 2015 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(f) or 4942(a)(3) (Election may be required - see instructions)  8. Excess distributions carryover from 2009 not applied on line 5 or line 7  9. Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a 10. Analysis of line 9: a Excess from 2010 b Excess from 2011 c Excess from 2012 14, 575. d Excess from 2013 1, 336. e Excess from 2013 1, 1, 336. e Excess from 2014 7, 1777.   | (If an amount appears in column (d), the same amount  | 0.              |    |    | <u> </u>           |
| b Prior years' undistributed income. Subtract line 4b from line 2b  c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions  e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions  e Undistributed income for 2014. Subtract line 4a from line 2a. Taxable amount - see instructions  f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2010  b Excess from 2011  c Excess from 2014  c Excess from 2015  c Exce                              |   |                 |    |    |                    |
| Line 4b from line 2b   C   E   E   E   E   E   E   E   E   E  | a Corpus Add lines 3f, 4c, and 4e Subtract line 5     | 129,044.        |    |    |                    |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions e Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015   | <b>b</b> Prior years' undistributed income. Subtract  |                 |    |    |                    |
| undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions  e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.  f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2010  b Excess from 2010  c Excess from 2011  c Excess from 2013  1 A 336. e Excess from 2014  7 , 177.   | line 4b from line 2b                                  |                 | 0. |    |                    |
| deficiency has been issued, or on which the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(a)(3) (Election may be required - see instructions)  Excess distributions carryover from 2009 not applied on line 5 or line 7  Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  Analysis of line 9:  a Excess from 2010  50, 706. b Excess from 2011  14,000. c Excess from 2013  1,336. e Excess from 2014  7,177.  | c Enter the amount of prior years'                    |                 |    |    |                    |
| the section 4942(a) tax has been previously assessed  d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  Excess distributions carryover from 2009 not applied on line 5 or line 7  Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  Analysis of line 9: a Excess from 2010 b Excess from 2010 c Excess from 2011 c Excess from 2012 d Analysis of line 9: a Excess from 2013 1, 336. e Excess from 2014 7, 177.  |   |                 |    |    |                    |
| d Subtract line 6c from line 6b. Taxable amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2010 b Excess from 2010 c Excess from 2011 c Excess from 2012 d Excess from 2013 1, 3336. e Excess from 2014 7, 177.  |   |                 | _  |    |                    |
| amount - see instructions e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr. f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2010 b Excess from 2011 c Excess from 2012 d Excess from 2013 1, 336. e Excess from 2014 7, 177.   | F   |                 | 0. |    |                    |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.  f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2010 b Excess from 2011 c Excess from 2011 d A, 000. e Excess from 2013 d Excess from 2014 7, 177.  |   |                 |    |    |                    |
| 4a from line 2a. Taxable amount - see instr.  f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2010  | F   |                 | 0. |    |                    |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9:  a Excess from 2010  b Excess from 2011  c Excess from 2012  d Excess from 2013  d Excess from 2014  7, 177.   |   |                 |    | _  |                    |
| lines 4d and 5 from line 1. This amount must be distributed in 2015  7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2010 b Excess from 2011 c Excess from 2012 d 14,575. d Excess from 2013 e Excess from 2014 7,177.  | <del> -</del>   |                 |    |    |                    |
| be distributed in 2015 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a 10 Analysis of line 9: a Excess from 2010  |   |                 |    |    |                    |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8 Excess distributions carryover from 2009 not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2010  |   |                 |    |    | 0.                 |
| corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8   | <b>⊢</b>  |                 |    |    | •                  |
| section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)  8   |   |                 |    |    |                    |
| may be required - see instructions)  8  |   |                 |    |    |                    |
| not applied on line 5 or line 7  9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2010 b Excess from 2011 c Excess from 2012 d Excess from 2013 e Excess from 2014  7 , 177.   |   | 0.              |    |    |                    |
| 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a  10 Analysis of line 9: a Excess from 2010 b Excess from 2011 c Excess from 2012 d Excess from 2013 e Excess from 2014 7,177.   | 8 Excess distributions carryover from 2009            |                 |    |    |                    |
| Subtract lines 7 and 8 from line 6a 87,794.  10 Analysis of line 9:  a Excess from 2010 50,706. b Excess from 2011 14,000. c Excess from 2012 14,575. d Excess from 2013 1,336. e Excess from 2014 7,177.   | not applied on line 5 or line 7                       | 41,250.         |    |    | <del> </del>       |
| 10 Analysis of line 9:  a Excess from 2010  | 9 Excess distributions carryover to 2015.             |                 |    |    |                    |
| a Excess from 2010 50,706. b Excess from 2011 14,000. c Excess from 2012 14,575. d Excess from 2013 1,336. e Excess from 2014 7,177.  |   | 87,794.         |    |    |                    |
| b Excess from 2011 14,000. c Excess from 2012 14,575. d Excess from 2013 1,336. e Excess from 2014 7,177.   | 10 Analysis of line 9:                                |                 |    |    |                    |
| c Excess from 2012 14,575. d Excess from 2013 1,336. e Excess from 2014 7,177.  |   |                 |    |    |                    |
| d Excess from 2013 1,336.<br>e Excess from 2014 7,177.  |   |                 |    |    |                    |
| e Excess from 2014 7, 177.  |   |                 |    |    |                    |
|   |   | İ               |    |    |                    |
|   |   | <u>l</u> _      |    |    | Form 990-PF (2014) |

| Form 990-PF (2014) The Vic   | tor and Eli                             | se Micati F                           | oundation                    |                              | 34690 Page 10      |
|--|---|---------------------------------------|------------------------------|------------------------------|--------------------|
|  | <del></del>                             |                                       | I-A, question 9)             | <u> N/A</u>                  |                    |
|  |   | · · · · · · · · · · · · · · · · · · · |                              |                              |                    |
| ·  | ·                                       | =                                     | ▶ ∟                          |                              |                    |
|  |   | g foundation described                |                              | 4942(j)(3) or 49             | 42(1)(5)           |
| 2 a Enter the lesser of the adjusted net   |   |                                       |                              | T                            |                    |
| income from Part I or the minimum  | (a) 2014                                | <b>(b)</b> 2013                       | (c) 2012                     | (d) 2011                     | (e) Total          |
| investment return from Part X for  |   |                                       | ľ                            |                              | i<br> -            |
| each year listed   |   |                                       |                              |                              | <u> </u>           |
| <b>b</b> 85% of line 2a  |   |                                       |                              |                              |                    |
| c Qualifying distributions from Part XII,  |   |                                       |                              |                              | ı                  |
| line 4 for each year listed  |   |                                       |                              |                              | <u></u>            |
| d Amounts included in line 2c not  |   |                                       |                              |                              | ı                  |
| used directly for active conduct of  | ļ                                       | ,                                     | ]                            |                              |                    |
| exempt activities  |   |                                       |                              |                              | <del></del>        |
| e Qualifying distributions made directly   |   |                                       |                              |                              |                    |
| for active conduct of exempt activities.   |   |                                       |                              |                              | ı                  |
| Subtract line 2d from line 2c  3 Complete 3a, b, or c for the  |   | -                                     |                              |                              | <del></del>        |
| a "Assets" alternative test - enter:  (1) Value of all assets  |   |                                       |                              |                              |                    |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i)  |   |                                       |                              |                              |                    |
| b "Endowment" alternative test - enter 2/3 of minimum investment return  |   |                                       |                              |                              |                    |
| listed   |   |                                       |                              |                              |                    |
| c "Support" alternative test - enter:  |   |                                       |                              |                              |                    |
| (1) Total support other than gross investment income (interest,  |   |                                       |                              |                              |                    |
| securities loans (section  |   |                                       |                              |                              |                    |
| (2) Support from general public  |   |                                       |                              |                              |                    |
| organizations as provided in   |   |                                       |                              |                              |                    |
| (3) Largest amount of support from   |   |                                       |                              |                              |                    |
| an exempt organization   |   |                                       |                              |                              |                    |
| (4) Gross investment income  |   |                                       |                              |                              |                    |
| Part XV   Supplementary Info   | rmation (Comple                         | te this part only                     | if the foundation            | had \$5,000 or mo            | ore in assets      |
| at any time during t   | he year-see instr                       | uctions.)                             |                              |                              |                    |
| 1 Information Regarding Foundation   | on Managers:                            | - <del></del>                         |                              | <del></del>                  |                    |
| a List any managers of the foundation wh   | o have contributed more                 |                                       | tributions received by the   | foundation before the clos   | se of any tax      |
| Victor Micati  |   |                                       |                              |                              |                    |
|  |   |                                       | (or an equally large portion | on of the ownership of a p   | artnership or      |
| None   |   |                                       |                              |                              |                    |
| 2 Information Regarding Contribut  |   | • • • • •                             |                              | iot accent unsolicited requi | ests for funds. If |
| =  | •                                       | •                                     | •                            | •                            |                    |
| Part XIV   Private Operating Foundations (see instructions and Part VII-A, question 9)  1 if the foundation has received a ruling of determination later that it is a private operating foundation, and the ruling is effective for 2014, either the date of the ruling of the fetchuse for 2014, either the date of the ruling of the fetchuse for the adjusted in the ruling is effective for 2014, either the date of the ruling of the ruling of the fetchuse for 2014, either the date of the ruling of |   |                                       |                              |                              |                    |
| <u> </u>   |   |                                       |                              |                              |                    |
| <b>b</b> The form in which applications should be  | pe submitted and informat               | tion and materials they s             | hould include:               |                              |                    |
| c Any submission deadlines:  | · · · · · ·                             | <del> </del>                          | ·                            |                              |                    |
| d Any restrictions or limitations on award   | s, such as by geographica               | al areas, charitable fields.          | kınds of institutions, or o  | other factors:               |                    |
| ,  | , | -                                     | •                            |                              |                    |
|  |   |                                       |                              |                              |                    |

| 3 Grants and Contributions Paid During the                             |   | Payment              |  | <del></del>            |
|--|---|----------------------|--|------------------------|
| Recipient  | If recipient is an individual, show any relationship to | Foundation status of | Purpose of grant or contribution                 | Amount                 |
| Name and address (home or business)                                    | any foundation manager<br>or substantial contributor    | recipient            | CONTRIBUTION                                     |                        |
| a Paid during the year   |   | }                    |  |                        |
| Bright Future Foundation of Eagle County                               | None  | 509(a)               | General support,<br>education                    |                        |
| PO Box 2558<br>Avon, CO 81602  |   |                      |  | 11.000                 |
| VOII, CO 01002   | <del> </del>  |                      |  | 11,000                 |
| Montery Institute of International<br>Studies<br>460 Pierce Street     | None  | School               | Education  |                        |
| Monterey CA 93940  |   | <u> </u>             |  | 5,000                  |
|  |   |                      |  |                        |
| Breast Cancer Survivors Foundation P.O. Box 610                        | None  | 509(a)               | General  | 400                    |
| Butler, WI 53007   |   | <del> </del>         | <del>                                     </del> | 100                    |
|  |   |                      |  |                        |
| Weill Cornell Medical Center<br>1300 York Avenue<br>New York, NY 10065 | None  | Medical              | Research   | 5,000                  |
| New 101K, N1 10003   |   |                      |  |                        |
| NYC Food Bank  | None  | 509(a)               | General  |                        |
| New York, NY   | <u> </u>  | <u> </u>             |  | 1_000                  |
|  | ntinuation shee   | et(s)                | <u>▶ 3a</u>                                      | 25,100                 |
| <b>b</b> Approved for future payment                                   |   |                      |  |                        |
| None   |   |                      |  |                        |
|  |   |                      |  |                        |
|  |   |                      |  |                        |
|  |   |                      |  |                        |
|  |   |                      |  |                        |
|  |   |                      |  |                        |
|  |   |                      |  |                        |
| Total  |   |                      | <b>▶</b> 3b                                      | orm <b>990-PF</b> (201 |

| er gross amounts unless otherwise indicated.               | Unrelated b             | Unrelated business income |                       | y section 512, 513, or 514 | (e)              |
|--|-------------------------|---------------------------|-----------------------|----------------------------|------------------|
| •  | (a)<br>Business         | (b)                       | (C)<br>Exclu-<br>sion | (d)                        | Related or exemp |
| Program service revenue:                                   | code                    | Amount                    | code                  | Amount                     | function income  |
| a  | _                       |                           |                       |                            |                  |
| b  |                         |                           |                       |                            | <del></del>      |
| C  |                         |                           | _ _ _                 |                            |                  |
| d  | _                       |                           |                       |                            |                  |
| e  |                         |                           |                       |                            |                  |
| ·  | <del></del>             |                           |                       |                            |                  |
| g Fees and contracts from government agencies              | -                       | <del></del> ,             | <del>  </del>         |                            |                  |
| Membership dues and assessments                            | <del></del>             |                           | <del></del>           |                            | <del></del>      |
| Interest on savings and temporary cash                     |                         |                           |                       |                            |                  |
| investments  | <del></del>             |                           | 1 1 1                 | 6 220                      |                  |
| Dividends and interest from securities                     |                         |                           | 14                    | 6,330.                     | <del></del>      |
| Net rental income or (loss) from real estate:              |                         |                           |                       |                            |                  |
| a Debt-financed property                                   |                         |                           | _                     |                            |                  |
| b Not debt-financed property                               | <u> </u>                |                           | _                     |                            |                  |
| Net rental income or (loss) from personal                  | jj                      |                           |                       |                            |                  |
| property   |                         |                           | _  -                  |                            |                  |
| Other investment income                                    |                         |                           | <del></del>           |                            |                  |
| Gain or (loss) from sales of assets other                  | 1 1                     |                           | 1 1 0                 | 25 042                     |                  |
| than inventory   |                         |                           | 18                    | 25,043.                    |                  |
| Net income or (loss) from special events                   | -                       |                           |                       |                            | <del></del>      |
| Gross profit or (loss) from sales of inventory             |                         |                           |                       |                            | <del></del>      |
| Other revenue:   |                         |                           |                       |                            |                  |
| a  |                         |                           |                       |                            |                  |
| b  |                         |                           |                       |                            |                  |
| C  |                         |                           |                       | <del></del>                |                  |
| d  | <del>-</del>            |                           |                       |                            |                  |
| e  | _                       | <del></del>               | <del>.   -   -</del>  | 21 272                     | <del></del>      |
| Subtotal. Add columns (b), (d), and (e)                    | <u> </u>                |                           | 0                     | 31,373.                    |                  |
| Total. Add line 12, columns (b), (d), and (e)              |                         |                           |                       | 13                         | 31,3             |
| e worksheet in line 13 instructions to verify calculations |                         |                           |                       |                            |                  |
| art XVI-B Relationship of Activitie                        | es to the Accom         | iplishment of             | Exempt Po             | urposes                    |                  |
| ne No. Explain below how each activity for which i         | income is reported in o | olumn (a) of Part YV      | I-A contributed       | importantly to the accomp  | ulishment of     |
| the foundation's exempt purposes (other the                |                         |                           |                       | importantly to the accomp  | Juguinette of    |
| <u> </u>   | , p                     | ,                         |                       |                            | <del>-</del> -   |
|  |                         |                           |                       |                            |                  |
| <del>-  </del>   |                         |                           |                       |                            |                  |
|  |                         |                           |                       |                            |                  |
|  |                         |                           |                       |                            |                  |
|  |                         |                           |                       |                            |                  |

Form **990-PF** (2014)

Form **990-PF** (2014)

P01269408

203-656-5500

Firm's EIN  $\triangleright 06-1414579$ 

Phone no.

Paid

Preparer

**Use Only** 

Ernie Spadaccini

Firm's name ► HHG & Company,

Firm's address ▶ P.O. Box 4004

Darien, CT 06820

| The | Victor | and | Elise | Micati | Foundation_ | 06-1634690 |
|-----|--------|-----|-------|--------|-------------|------------|
|     |        |     |       |        |             |            |

| 3 Grants and Contributions Paid During t                                  | the Year (Continuation)  | <del></del>            |  |        |
|---|--|------------------------|--|--------|
| Recipient   | If recipient is an individual,<br>show any relationship to<br>any foundation manager | Foundation             | Purpose of grant or                              | A 1    |
| Name and address (home or business)                                       | any foundation manager<br>or substantial contributor                                 | status of<br>recipient | contribution                                     | Amount |
| ound Up River Ranch   | None   | 509(a)                 | General  |        |
|   |  |                        |  | 50     |
| lew Alternatives for Children<br>7 West 26th Street<br>New York, NY 10010 | None   | 509(a)                 | General  | 2.00   |
| <u> </u>  |  |                        |  | 2,00   |
| diami Childrens Health Foundation   | None   | 509(a)                 | General  |        |
| iami, FL 33155  |  |                        |  | 50     |
|   |  |                        |  |        |
|   |  |                        | <del>                                     </del> |        |
|   |  |                        |  |        |
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| · · · ,   |  |                        |  |        |
|   |  | _                      | +  |        |
|   |  |                        |  |        |
|   |  |                        |  |        |

| Form 990-PF Divid   | lends       | and Inter                    | est  | from Secur                    | ities  | St   | atement                                 | 1                 |
|---|-------------|------------------------------|------|-------------------------------|--|------|---|-------------------|
| Gros<br>Source Amou   |             | Capital<br>Gains<br>Dividend |      | (a)<br>Revenue<br>Per Books   | (b)<br>Net Invent In                           |      | (c)<br>Adjuste<br>Net Inco              |                   |
| Charles Schwab 30,  | 608.        | 24,27                        | 8.   | 6,330.                        | 6,   | 330. |   |                   |
| To Part I, line 4 30,   | 608.        | 24,27                        | 8.   | 6,330.                        | 6,   | 330. |   |                   |
| Form 990-PF   |             | Accounti                     | ng : | Fees                          |  | St   | atement                                 | 2                 |
| Description   |             | (a)<br>Expenses<br>Per Books |      | (b)<br>t Invest-<br>nt Income | (c)<br>Adjust<br>Net Inc                       |      | (d)<br>Charital<br>Purpose              |                   |
| HHG Foundation Services   |             | 1,520.                       |      | 760.                          |  |      | 76                                      | 50.               |
| To Form 990-PF, Pg 1, ln 1  | .6b         | 1,520.                       | _    | 760.                          |  |      | 76                                      | 50.               |
| Form 990-PF   |             | Tax                          | es   |                               |  | St   | atement                                 | 3                 |
| Description   |             | (a)<br>Expenses<br>Per Books |      | (b)<br>t Invest-<br>nt Income | (c)<br>Adjust<br>Net Inc                       |      | (d)<br>Charital<br>Purpose              |                   |
| 2013 excise tax   |             | 11.                          |      | 0.                            |  |      |   | 0.                |
| To Form 990-PF, Pg 1, ln 1  | L8          | 11.                          |      | 0.                            |  |      |   | 0.                |
| Form 990-PF   | <del></del> | Corporat                     | e S  | tock                          |  | St   | atement                                 | 4                 |
| Description   |             |                              | -    | Воо                           | k Value  | Fa   | ir Market<br>Value                      | Ė.                |
| Investment Company of AmeriShares S&P 500 Barra GrowiShares S&P Midcap 400 Included Small Cap PIMCO All Asset | /th         |                              |      |                               | 169,444<br>29,799<br>31,427<br>40,066<br>6,623 | •    | 211,99<br>47,28<br>45,59<br>41,3<br>6,1 | 81.<br>90.<br>76. |
|   |             |                              |      | <del></del> -                 |  |      |   |                   |

| Form 990-PF C                     | Corporate Bonds |            | Statement            |  |
|-----------------------------------|-----------------|------------|----------------------|--|
| Description                       |                 | Book Value | Fair Market<br>Value |  |
| Dreyfus Intermediate Term Bond Fu | ınd             | 36,354.    | 40,628.              |  |
| Total to Form 990-PF, Part II, li | ne 10c          | 36,354.    | 40,628.              |  |