Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter social security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2014

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation: HOPE FOUNDATION

A Employer identification number: 05-6006366

Number and street (or P O box number if mail is not delivered to street address): 50 SOUTH MAIN STREET | Room/suite:

B Telephone number: 401-272-5050

City or town, state or province, country, and ZIP or foreign postal code: PROVIDENCE, RI 02903-2919

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1. Foreign organizations, check here ▶ [ ]

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 4,560,254.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) *(Part I, column (d) must be on cash basis)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	599,475.		N/A	
	2 Check ▶ [ ] if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	54,245.	54,245.		STATEMENT 1
	4 Dividends and interest from securities	59,378.	59,378.		STATEMENT 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	154,831.			
	b Gross sales price for all assets on line 6a 570,028.				
	7 Capital gain net income (from Part IV, line 2)		154,831.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income	28.	28.		STATEMENT 3
	12 **Total.** Add lines 1 through 11	867,957.	268,482.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 4	3,000.	0.		0.
	c Other professional fees STMT 5	25,148.	25,148.		0.
	17 Interest	1.	1.		0.
	18 Taxes STMT 6	2,016.	2,016.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 7	50.	0.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	30,215.	27,165.		0.
	25 Contributions, gifts, grants paid	181,764.			181,764.
	26 **Total expenses and disbursements.** Add lines 24 and 25	211,979.	27,165.		181,764.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	655,978.			
	b **Net investment income** (if negative, enter -0-)		241,317.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing		<1.>	<1.>
	2 Savings and temporary cash investments	52,222.	286,816.	286,816.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 9	1,111,743.	1,489,510.	2,809,363.
	c Investments - corporate bonds STMT 10	1,339,470.	1,337,574.	1,464,076.
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,503,435.	3,113,899.	4,560,254.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	2,503,435.	3,113,899.	
	30 **Total net assets or fund balances**	2,503,435.	3,113,899.	
	31 **Total liabilities and net assets/fund balances**	2,503,435.	3,113,899.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,503,435.
2	Enter amount from Part I, line 27a	2	655,978.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,159,413.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 8	5	45,514.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,113,899.

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	CHARLES SCHWAB ACCT 3683- SEE ATTACHED	P	VARIOUS	VARIOUS
b	CHARLES SCHWAB ACCT 3683- SEE ATTACHED	P	VARIOUS	VARIOUS
c	CHARLES SCHWAB ACCT 3683- SEE ATTACHED	P	VARIOUS	VARIOUS
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	101,845.		103,813.	<1,968.>
b	247,246.		177,846.	69,400.
c	220,937.		133,538.	87,399.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				<1,968.>
b				69,400.
c				87,399.
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	154,831.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	169,950.	3,722,057.	.045660
2012	162,000.	3,435,203.	.047159
2011	120,760.	3,249,746.	.037160
2010	106,630.	3,086,518.	.034547
2009	159,066.	2,793,050.	.056951

2	**Total** of line 1, column (d)	2	.221477
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.044295
4	Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	4,141,927.
5	Multiply line 4 by line 3	5	183,467.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,413.
7	Add lines 5 and 6	7	185,880.
8	Enter qualifying distributions from Part XII, line 4	8	181,764.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b		1	4,826.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	4,826.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-		5	4,826.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a 4,600.		
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d		7	4,600.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶		9	226.
10 **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶		10	
11 Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶	**Refunded** ▶	11	

Part VII-A | Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*	1b		X
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ RI			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses STMT 11	10	X	

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ BROWN & FISCHER CORPORATION Telephone no. ▶ 401-272-5050
Located at ▶ 50 SOUTH MAIN STREET, PROVIDENCE, RI ZIP+4 ▶ 02903-2919

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

				Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANGELA B. FISCHER 50 SOUTH MAIN STREET PROVIDENCE, RI 029032919	TRUSTEE 0.00	0.	0.	0.
NICHOLAS BROWN 50 SOUTH MAIN STREET PROVIDENCE, RI 029032919	TRUSTEE 0.00	0.	0.	0.
JOHN C BROWN IV 50 SOUTH MAIN STREET PROVIDENCE, RI 029032919	TRUSTEE 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NOT APPLICABLE	0.
2	
All other program-related investments. See instructions. 3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a	Average monthly fair market value of securities		1a	3,934,045.
b	Average of monthly cash balances		1b	270,957.
c	Fair market value of all other assets		1c	
d	**Total** (add lines 1a, b, and c)		1d	4,205,002.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2	Acquisition indebtedness applicable to line 1 assets		2	0.
3	Subtract line 2 from line 1d		3	4,205,002.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	63,075.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	4,141,927.
6	**Minimum investment return** Enter 5% of line 5		6	207,096.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6		1	207,096.
2a	Tax on investment income for 2014 from Part VI, line 5	2a 4,826.		
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b		
c	Add lines 2a and 2b		2c	4,826.
3	Distributable amount before adjustments. Subtract line 2c from line 1		3	202,270.
4	Recoveries of amounts treated as qualifying distributions		4	0.
5	Add lines 3 and 4		5	202,270.
6	Deduction from distributable amount (see instructions)		6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	202,270.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	181,764.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	181,764.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	181,764.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				202,270.
2 Undistributed income, if any, as of the end of 2014				
a Enter amount for 2013 only			69,253.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009				
b From 2010				
c From 2011				
d From 2012				
e From 2013				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 181,764.				
a Applied to 2013, but not more than line 2a			69,253.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				112,511.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				89,759.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2010				
b Excess from 2011				
c Excess from 2012				
d Excess from 2013				
e Excess from 2014				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE STATEMENT 12

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
SEE ATTACHED LIST 50 SOUTH MAIN ST PROVIDENCE, RI 02903	NONE	SEE LIST	SEE LIST	181,764.
Total			▶ 3a	181,764.
b *Approved for future payment* NONE				
Total			▶ 3b	0.

Form **990-PF** (2014)

423611
11-24-14

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments	523000	54,245.			
4 Dividends and interest from securities	523000	59,378.			
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income	523000	28.			
8 Gain or (loss) from sales of assets other than inventory			18	154,831.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		113,651.		154,831.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 268,482.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Signature of officer or trustee: [signature] Date: 4-30-15 Title: TRUSTEE

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☒ if self-employed	PTIN
EDWARD P. PIERONI	EDWARD P. PIERONI	04/28/15		P00189634

Firm's name ▶ ANDSAGER, BARTLETT & PIERONI, LLP — Firm's EIN ▶ 05-0479760

Firm's address ▶ ONE STATE STREET, SUITE 200 PROVIDENCE, RI 02908 — Phone no. 401-272-9100

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990* .

OMB No 1545-0047

2014

Name of the organization: HOPE FOUNDATION

Employer identification number: 05-6006366

Organization type (check one):

Filers of:	Section:
Form 990 or 990-EZ	[ ] 501(c)( ) (enter number) organization
	[ ] 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	[ ] 527 political organization
Form 990-PF	[X] 501(c)(3) exempt private foundation
	[ ] 4947(a)(1) nonexempt charitable trust treated as a private foundation
	[ ] 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

[X] For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling $5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions

Special Rules

[ ] For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.

[ ] For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc , purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc , contributions totaling $5,000 or more during the year ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization: HOPE FOUNDATION

Employer identification number: 05-6006366

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANGELA B. FISCHER 50 SOUTH MAIN ST PROVIDENCE, RI 02903	$ 99,957.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
2	SYLVIA E. BROWN 50 SOUTH MAIN ST PROVIDENCE, RI 02903	$ 499,518.	Person [ ] Payroll [ ] Noncash [X] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions)

Name of organization	Employer identification number
HOPE FOUNDATION	05-6006366

Part II Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
1	STOCK	$ 99,957.	12/02/14

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
2	STOCK	$ 499,518.	06/19/14

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: HOPE FOUNDATION

Employer identification number: 05-6006366

Part III *Exclusively* religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than $1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc , contributions of $1,000 or less for the year (Enter this info once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB	54,245.	54,245.	
TOTAL TO PART I, LINE 3	54,245.	54,245.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
SCHWAB	59,378.	0.	59,378.	59,378.	
TO PART I, LINE 4	59,378.	0.	59,378.	59,378.	

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
LITIGATION SETTLEMENT	28.	28.	
TOTAL TO FORM 990-PF, PART I, LINE 11	28.	28.	

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROWN & FISCHER	3,000.	0.		0.
TO FORM 990-PF, PG 1, LN 16B	3,000.	0.		0.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT MGMNT FEES	25,148.	25,148.		0.
TO FORM 990-PF, PG 1, LN 16C	25,148.	25,148.		0.

FORM 990-PF	TAXES	STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAX PAID	2,016.	2,016.		0.
TO FORM 990-PF, PG 1, LN 18	2,016.	2,016.		0.

FORM 990-PF	OTHER EXPENSES	STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING & CERTIFICATE FEES	50.	0.		0.
TO FORM 990-PF, PG 1, LN 23	50.	0.		0.

FORM 990-PF	OTHER DECREASES IN NET ASSETS OR FUND BALANCES	STATEMENT 8

DESCRIPTION	AMOUNT
FEDERAL TAX PAYMENT	5,679.
ADJUST COST BASIS OF DONTED STOCK	39,835.
TOTAL TO FORM 990-PF, PART III, LINE 5	45,514.

FORM 990-PF CORPORATE STOCK STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE STOCK & PARTNERSHIPS	1,489,510.	2,809,363.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,489,510.	2,809,363.

FORM 990-PF CORPORATE BONDS STATEMENT 10

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
CORPORATE BONDS AND CD	1,337,574.	1,464,076.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,337,574.	1,464,076.

FORM 990-PF LIST OF SUBSTANTIAL CONTRIBUTORS STATEMENT 11
PART VII-A, LINE 10

NAME OF CONTRIBUTOR	ADDRESS
SYLVIA BROWN	50 SOUTH MAIN ST PROVIDENCE, RI 02903
ANGELA FISCHER	50 SOUTH MAIN ST PROVIDENCE, RI 02903

FORM 990-PF GRANT APPLICATION SUBMISSION INFORMATION PART XV, LINES 2A THROUGH 2D STATEMENT 12

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

ANGELA B. FISCHER, THE HOPE FOUNDATION, 50 SOUTH MAIN STREET, PROVIDENCE
50 SOUTH MAIN ST
PROVIDENCE, RI 02903

TELEPHONE NUMBER

401-272-5050

FORM AND CONTENT OF APPLICATIONS

SEND A SIMPLE LETTER REQUEST STATING YOUR ORGANIZATION, THE PURPOSE FOR THE CONTRIBUTION AND THE AMOUNT REQUESTED.

ANY SUBMISSION DEADLINES

NONE

RESTRICTIONS AND LIMITATIONS ON AWARDS

NONE

HOPE FOUNDATION
Contributions
2014

Part XV

Contributions

Name	Foundation Status	Purpose of Contribution	Amount
Paul Cuffee School	Non Profit	Operating Expenses	1,000 00
R I Philharmonic	Non Profit	Operating Expenses	500 00
Oliver Hazard Perry Rhode Island	Non Profit	Operating Expenses	1,000 00
IYRS	Non Profit	Operating Expenses	1,000 00
Paul Cuffee School	Non Profit	Operating Expenses	1,000 00
Bonnell Cove Foundation	Non Profit	Operating Expenses	500 00
School Year Abroad	Non Profit	Operating Expenses	20,000 00
Midwest Organic & Sustainable Ed Service	Non Profit	Operating Expenses	8,800 00
Redwood Library and Athenaeum	Non Profit	Operating Expenses	3,500 00
Historic New England	Non Profit	Operating Expenses	800 00
Planned Parenthood of Southern NE	Non Profit	Operating Expenses	1,000 00
Museum of Fine Arts - Patrons Program	Non Profit	Operating Expenses	3,000 00
Redwood Library and Athenaeum	Non Profit	Operating Expenses	5,000 00
Radcliff Institute for Advanced Study	Non Profit	Operating Expenses	1,000 00
Junior Achievement of RI Inc	Non Profit	Operating Expenses	500 00
Newport Historical Society	Non Profit	Operating Expenses	500 00
United Way of RI	Non Profit	Operating Expenses	1,000 00
Preservation Society of Newport County	Non Profit	Operating Expenses	5,000 00
R I State House Restoration Society	Non Profit	Operating Expenses	250 00
WGBH	Non Profit	Operating Expenses	500 00
Herreshoff Marine Museum	Non Profit	Operating Expenses	200 00
Women's Health & Education Fund	Non Profit	Operating Expenses	300 00
Mystic Seaport Museum, Inc	Non Profit	Operating Expenses	500 00
Beaver Country Day School	Non Profit	Operating Expenses	250 00
Occidental College	Non Profit	Operating Expenses	250 00
Rhode Island Historical Society	Non Profit	Operating Expenses	1,000 00
Social Enterprise Greenhouse	Non Profit	Operating Expenses	1,820 43
Milele Children Inc	Non Profit	Operating Expenses	500 00
Social Enterprise Greenhouse	Non Profit	Operating Expenses	2,500 00
Rhode Island Historical Society	Non Profit	Operating Expenses	1,444 00
The Trust for Public Land	Non Profit	Operating Expenses	25,850 00
Gallatin Valley Land Trust	Non Profit	Operating Expenses	5,000 00
Fountain House	Non Profit	Operating Expenses	5,000 00
Social and Environmental Entrepreneurs	Non Profit	Operating Expenses	10,000 00
Slow Money	Non Profit	Operating Expenses	10,000 00
Waltham Fields Community Farm	Non Profit	Operating Expenses	5,000 00
The Center for Rural Affairs	Non Profit	Operating Expenses	10,000 00
Practical Farmers of Iowa	Non Profit	Operating Expenses	5,000 00
KPFA Radio	Non Profit	Operating Expenses	3,800 00
Newport Music Festival	Non Profit	Operating Expenses	1,000 00
Rhode Island Public Radio	Non Profit	Operating Expenses	2,500 00
PRESERVE Rhode Island	Non Profit	Operating Expenses	2,500 00
Planned Parenthood of Southern NE	Non Profit	Operating Expenses	1,000 00
New York Yacht Club Foundation	Non Profit	Operating Expenses	1,000 00
Aquidneck Island Land Trust	Non Profit	Operating Expenses	1,000 00
Newport Hospital Foundation	Non Profit	Operating Expenses	2,000 00
Child & Family	Non Profit	Operating Expenses	1,000 00
Emmanuel Church	Non Profit	Operating Expenses	6,000 00
Newport Historical Society	Non Profit	Operating Expenses	2,500 00
Newport Art Museum	Non Profit	Operating Expenses	1,000 00
Oliver Hazard Perry Rhode Island	Non Profit	Operating Expenses	1,000 00
RI Historical Society	Non Profit	Operating Expenses	5,000 00
Rhode Island Public Radio	Non Profit	Operating Expenses	2,500 00
Providence Preservation Society	Non Profit	Operating Expenses	1,000 00
Preservation Society of Newport County	Non Profit	Operating Expenses	5,000 00
RISE	Non Profit	Operating Expenses	500 00
The Newport Spring Fund	Non Profit	Operating Expenses	1,000 00
Total Contributions			181,764 43

PART IV ATTACHMENT

charles SCHWAB

Schwab One® Account of
HOPE FOUNDATION

Account Number
3432-3683

TAX YEAR 2014
YEAR-END SUMMARY

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab. It is provided to you as additional tax reporting information you may need to complete your tax return.

REALIZED GAIN OR (LOSS)

The information in the following sections include all your realized gain or (loss) transactions during the tax year. They may be helpful for, but not limited to, Schedule D. Please consult with your tax advisor or financial advisor regarding specific questions.

Short-Term Realized Gain or (Loss)

This section is for noncovered securities and corresponds to transactions reported on your 1099B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part I, with Box B checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis *Adjusted*	(+)Wash Sale Loss Disallowed *(-)Market Discount*	(=)Realized Gain or (Loss) *Adjusted*
GOLDMAN SACHS GR STEPXXX**CALLED**	38143U7D7	50,000.00	06/30/14	09/22/14	$ 50,000.00	$ 50,103.50 *$ 50,181.74*	$ 0.00 *$ 0.00*	$ (103.50) *$ (181.74)b*
Security Subtotal					**$ 50,000.00**	**$ 50,103.50** ***$ 50,181.74***	**$ 0.00** ***$ 0.00***	**$ (103.50)** ***$ (181.74)b***
ORANGE ADR FSPONSORED	684060106	2.00	06/19/14	06/20/14	$ 31.99	$ 33.04'	$ 0.00	$ (1.05)
Security Subtotal					**$ 31.99**	**$ 33.04**	**$ 0.00**	**$ (1.05)**
PETROHAWK ENERGY 7 25XXX**CALLED**	716495AL0	50,000.00	09/19/13	08/15/14	$ 51,812.50	$ 54,300.00 *$ 53,598.24*	$ 0.00 *$ 0.00*	$ (2,487.50) *$ (1,785.74)b*
Security Subtotal					**$ 51,812.50**	**$ 54,300.00** ***$ 53,598.24***	**$ 0.00** ***$ 0.00***	**$ (2,487.50)** ***$ (1,785.74)b***
Total Short-Term *(Cost basis is available but not reported to the IRS)*					**$ 101,844.49**	**$ 104,436.54** ***$ 103,813.02***	**$ 0.00** ***$ 0.00***	**$ (2,592.05)** ***$ (1,968.53)b***
Total Short-Term					**$ 101,844.49**	**$ 104,436.54** ***$ 103,813.02***	**$ 0.00** ***$ 0.00***	**$ (2,592.05)** ***$ (1,968.53)b***

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

The information in this and all subsequent sections is not provided to the IRS by Charles Schwab It is provided to you as additional tax reporting information you may need to complete your tax return

Long-Term Realized Gain or (Loss)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold		Total Proceeds		(-)Cost Basis		(+)Wash Sale Loss Disallowed		(=)Realized Gain or (Loss)
ASTRAZENECA PLC ADR FSPONSORED ADR	046353108	13.00	01/25/11	06/20/14	$	981.74	$	625.43	$	0.00	$	356.31
Security Subtotal					**$**	**981.74**	**$**	**625.43**	**$**	**0.00**	**$**	**356.31**
BAE SYSTEMS PLC ADR FSPONSORED ADR	05523R107	24.00	08/29/11	06/20/14	$	700.55	$	414.25	$	0.00	$	286.30
Security Subtotal					**$**	**700.55**	**$**	**414.25**	**$**	**0.00**	**$**	**286.30**
BARCLAYS PLC ADR FSPONSORED ADR	06738E204	42.00	09/11/12	06/20/14	$	670.77	$	567.90	$	0.00	$	102.87
BARCLAYS PLC ADR FSPONSORED ADR	06738E204	40.00	09/17/12	06/20/14	$	638.82	$	590.77	$	0.00	$	48.05
Security Subtotal					**$**	**1,309.59**	**$**	**1,158.67**	**$**	**0.00**	**$**	**150.92**
BLACKROCK INC	09247X101	4.00	12/10/12	06/20/14	$	1,270.10	$	781.92	$	0.00	$	488.18
BLACKROCK INC	09247X101	7.00	12/11/12	06/20/14	$	2,222.67	$	1,387.10	$	0.00	$	835.57
BLACKROCK INC	09247X101	6.00	12/12/12	06/20/14	$	1,905.14	$	1,202.56	$	0.00	$	702 58
BLACKROCK INC	09247X101	15 00	12/13/12	06/20/14	$	4,762.86	$	3,009.22	$	0.00	$	1,753.64
BLACKROCK INC	09247X101	7.00	12/14/12	06/20/14	$	2,222.67	$	1,409.92	$	0.00	$	812.75
Security Subtotal					**$**	**12,383.44**	**$**	**7,790.72**	**$**	**0.00**	**$**	**4,592.72**
CARLSBERG AS ADR FSPONSORED	142795202	30.00	08/08/12	06/20/14	$	629.69	$	517.67	$	0 00	$	112.02
CARLSBERG AS ADR FSPONSORED	142795202	32.00	08/08/12	06/20/14	$	671.66	$	553.78	$	0.00	$	117.88
CARLSBERG AS ADR FSPONSORED	142795202	6.00	08/09/12	06/20/14	$	125.94	$	103.88	$	0.00	$	22.06

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Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
CARLSBERG AS ADR FSPONSORED	142795202	37.00	08/15/12	06/20/14	$ 776.61	$ 632 58	$ 0.00	$ 144.03
CARLSBERG AS ADR FSPONSORED	142795202	35.00	08/17/12	06/20/14	$ 734.63	$ 597.30	$ 0.00	$ 137.33
Security Subtotal					**$ 2,938.53**	**$ 2,405.21**	**$ 0.00**	**$ 533.32**
CHEVRON CORPORATION	166764100	47.00	03/27/12	06/20/14	$ 6,175.47	$ 5,040.13	$ 0.00	$ 1,135 34
CHEVRON CORPORATION	166764100	35.00	04/23/12	06/20/14	$ 4,598.76	$ 3,586.80	$ 0 00	$ 1,011.96
CHEVRON CORPORATION	166764100	22 00	08/07/12	06/20/14	$ 2,890.65	$ 2,471.89	$ 0.00	$ 418.76
Security Subtotal					**$ 13,664.88**	**$ 11,098.82**	**$ 0.00**	**$ 2,566.06**
COCA COLA COMPANY	191216100	116 00	03/27/12	06/20/14	$ 4,832.80	$ 4,170.49	$ 0.00	$ 662.31
COCA COLA COMPANY	191216100	74.00	04/23/12	06/20/14	$ 3,082.99	$ 2,723.79	$ 0.00	$ 359.20
COCA COLA COMPANY	191216100	54.00	08/07/12	06/20/14	$ 2,249.75	$ 2,156 91	$ 0 00	$ 92.84
Security Subtotal					**$ 10,165.54**	**$ 9,051.19**	**$ 0.00**	**$ 1,114.35**
CONOCOPHILLIPS	20825C104	192 00	05/10/12	06/20/14	$ 16,329.82	$ 10,426.10	$ 0.00	$ 5,903.72
Security Subtotal					**$ 16,329.82**	**$ 10,426.10**	**$ 0.00**	**$ 5,903.72**
DIAGEO PLC ORD NEW F	G42089113	272.00	05/02/12	06/20/14	$ 8,525.76	$ 6,939.96	$ 0.00	$ 1,585.80
DIAGEO PLC ORD NEW F	G42089113	108 00	08/08/12	06/20/14	$ 3,385.23	$ 2,911.10	$ 0.00	$ 474.13
Security Subtotal					**$ 11,910.99**	**$ 9,851.06**	**$ 0.00**	**$ 2,059.93**
DOMINION RES INC VA NEW	25746U109	66.00	03/27/12	06/20/14	$ 4,664.51	$ 3,367.70	$ 0.00	$ 1,296 81
DOMINION RES INC VA NEW	25746U109	44.00	04/23/12	06/20/14	$ 3,109.68	$ 2,236.08	$ 0.00	$ 873.60
DOMINION RES INC VA NEW	25746U109	34.00	08/07/12	06/20/14	$ 2,402.93	$ 1,841.64	$ 0.00	$ 561.29

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Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold		Total Proceeds		(-)Cost Basis		(+)Wash Sale Loss Disallowed		(=)Realized Gain or (Loss)
DOMINION RES INC VA NEW	25746U109	151 00	10/02/12	06/20/14	$	10,671 84	$	8,012.50	$	0.00	$	2,659.34
Security Subtotal					**$**	**20,848.96**	**$**	**15,457.92**	**$**	**0.00**	**$**	**5,391.04**
DUKE ENERGY CORP NEW	26441C204	53 00	03/27/12	06/20/14	$	3,844.64	$	3,346.84	$	0.00	$	497.80
DUKE ENERGY CORP NEW	26441C204	35.00	04/23/12	06/20/14	$	2,538.92	$	2,190.30	$	0.00	$	348.62
DUKE ENERGY CORP NEW	26441C204	26.00	08/07/12	06/20/14	$	1,886.05	$	1,771.12	$	0.00	$	114 93
Security Subtotal					**$**	**8,269.61**	**$**	**7,308.26**	**$**	**0.00**	**$**	**961.35**
GENERAL DYNAMICS CORP	369550108	19.00	02/20/13	06/20/14	$	2,271.19	$	1,268.86	$	0.00	$	1,002.33
GENERAL DYNAMICS CORP	369550108	28 00	02/21/13	06/20/14	$	3,347.01	$	1,859.15	$	0.00	$	1,487.86
GENERAL DYNAMICS CORP	369550108	37.00	02/22/13	06/20/14	$	4,422.83	$	2,488.46	$	0.00	$	1,934.37
GENERAL DYNAMICS CORP	369550108	65.00	02/25/13	06/20/14	$	7,769 84	$	4,364.15	$	0 00	$	3,405.69
GENERAL DYNAMICS CORP	369550108	36 00	02/26/13	06/20/14	$	4,303 30	$	2,407.28	$	0.00	$	1,896.02
Security Subtotal					**$**	**22,114.17**	**$**	**12,387.90**	**$**	**0.00**	**$**	**9,726.27**
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	4.00	04/28/11	06/20/14	$	289.94	$	217.11	$	0.00	$	72.83
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	3.00	04/29/11	06/20/14	$	217.47	$	163 99	$	0 00	$	53.48
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	3.00	05/02/11	06/20/14	$	217.47	$	166.94	$	0.00	$	50.53
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	5.00	05/03/11	06/20/14	$	362.44	$	279.36	$	0 00	$	83.08
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	10 00	05/04/11	06/20/14	$	724.88	$	557.50	$	0 00	$	167 38
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	3.00	05/10/11	06/20/14	$	217.47	$	169.88	$	0.00	$	47.59

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Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	3.00	05/11/11	06/20/14	$ 217.47	$ 168.24	$ 0.00	$ 49.23
HITACHI LTD ADR NEW FSPONSORED ADR	433578507	14.00	05/12/11	06/20/14	$ 1,014.84	$ 810.38	$ 0.00	$ 204.46
Security Subtotal					**$ 3,261.98**	**$ 2,533.40**	**$ 0.00**	**$ 728.58**
IMPERIAL TOB GP PLC ADRFSPONSORED ADR	453142101	16.00	04/28/11	06/20/14	$ 1,474.04	$ 1,127.43	$ 0.00	$ 346.61
IMPERIAL TOB GP PLC ADRFSPONSORED ADR	453142101	1.00	04/29/11	06/20/14	$ 92.13	$ 70.86	$ 0.00	$ 21.27
IMPERIAL TOB GP PLC ADRFSPONSORED ADR	453142101	17.00	05/06/11	06/20/14	$ 1,566.18	$ 1,220.07	$ 0.00	$ 346.11
Security Subtotal					**$ 3,132.35**	**$ 2,418.36**	**$ 0.00**	**$ 713.99**
INTEL CORP	458140100	179.00	03/27/12	06/20/14	$ 5,374.36	$ 5,036.88	$ 0.00	$ 337.48
INTEL CORP	458140100	126.00	04/23/12	06/20/14	$ 3,783.07	$ 3,453.66	$ 0.00	$ 329 41
INTEL CORP	458140100	136.00	08/07/12	06/20/14	$ 4,083.31	$ 3,621.64	$ 0.00	$ 461.67
INTEL CORP	458140100	121 00	09/17/12	06/20/14	$ 3,632.94	$ 2,812.17	$ 0 00	$ 820.77
INTEL CORP	458140100	28.00	09/18/12	06/20/14	$ 840.68	$ 654.88	$ 0.00	$ 185.80
Security Subtotal					**$ 17,714.36**	**$ 15,579.23**	**$ 0.00**	**$ 2,135.13**
MICROSOFT CORP	594918104	256.00	05/11/12	06/20/14	$ 10,639.32	$ 8,058 65	$ 0.00	$ 2,580 67
Security Subtotal					**$ 10,639.32**	**$ 8,058.65**	**$ 0.00**	**$ 2,580.67**
NOVARTIS A G SPON ADR FSPONSORED ADR	66987V109	64.00	05/01/12	06/20/14	$ 5,812.29	$ 3,544.82	$ 0.00	$ 2,267.47
NOVARTIS A G SPON ADR FSPONSORED ADR	66987V109	25.00	08/07/12	06/20/14	$ 2,270.42	$ 1,481.25	$ 0.00	$ 789.17

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Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
NOVARTIS A G SPON ADR FSPONSORED ADR	66987V109	33.00	12/21/12	06/20/14	$ 2,996 96	$ 2,073 51	$ 0.00	$ 923 45
Security Subtotal					**$ 11,079.67**	**$ 7,099.58**	**$ 0.00**	**$ 3,980.09**
ORANGE ADR FSPONSORED	684060106	29 00	02/03/12	06/20/14	$ 463.82	$ 442.23	$ 0.00	$ 21.59
ORANGE ADR FSPONSORED	684060106	24.00	02/06/12	06/20/14	$ 383.85	$ 363.96	$ 0.00	$ 19.89
ORANGE ADR FSPONSORED	684060106	24.00	02/13/13	06/20/14	$ 383.85	$ 252.67	$ 0.00	$ 131.18
ORANGE ADR FSPONSORED	684060106	41.00	02/14/13	06/20/14	$ 655.73	$ 423 39	$ 0.00	$ 232.34
Security Subtotal					**$ 1,887.25**	**$ 1,482.25**	**$ 0.00**	**$ 405.00**
PAYCHEX INC	704326107	94.00	03/27/12	06/20/14	$ 3,887.94	$ 3,018.12	$ 0.00	$ 869.82
PAYCHEX INC	704326107	117.00	04/23/12	06/20/14	$ 4,839.25	$ 3,576.11	$ 0.00	$ 1,263.14
PAYCHEX INC	704326107	87.00	08/07/12	06/20/14	$ 3,598.42	$ 2,836.00	$ 0.00	$ 762.42
Security Subtotal					**$ 12,325.61**	**$ 9,430.23**	**$ 0.00**	**$ 2,895.38**
PFIZER INCORPORATED	717081103	168.00	02/24/11	06/20/14	$ 4,991.67	$ 3,167.93	$ 0.00	$ 1,823 74
PFIZER INCORPORATED	717081103	24.00	02/25/11	06/20/14	$ 713 10	$ 452.16	$ 0.00	$ 260.94
PFIZER INCORPORATED	717081103	150.00	04/23/12	06/20/14	$ 4,456.85	$ 3,362.63	$ 0.00	$ 1,094 22
PFIZER INCORPORATED	717081103	112.00	07/27/12	06/20/14	$ 3,327.78	$ 2,652.98	$ 0.00	$ 674.80
PFIZER INCORPORATED	717081103	159.00	08/07/12	06/20/14	$ 4,724.26	$ 3,781.99	$ 0.00	$ 942.27
Security Subtotal					**$ 18,213.66**	**$ 13,417.69**	**$ 0.00**	**$ 4,795.97**
ROCHE HLDG AG ORD NEW FDIVIDEND RIGHT CTF	H69293217	29.00	05/02/12	06/20/14	$ 8,696.23	$ 5,345.04	$ 0.00	$ 3,351.19
ROCHE HLDG AG ORD NEW FDIVIDEND RIGHT CTF	H69293217	10.00	08/08/12	06/20/14	$ 2,998.70	$ 1,792.18	$ 0.00	$ 1,206.52

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Long-Term Realized Gain or (Loss) (continued)

This section is for covered securities and corresponds to transactions reported on your 1099B as **"cost basis is reported to the IRS."** Report on Form 8949, Part II, with Box D checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis	(+)Wash Sale Loss Disallowed	(=)Realized Gain or (Loss)
ROCHE HLDG AG ORD NEW FDIVIDEND RIGHT CTF	H69293217	5.00	03/28/13	06/20/14	$ 1,499.35	$ 1,162.00	$ 0.00	$ 337.35
Security Subtotal					**$ 13,194.28**	**$ 8,299.22**	**$ 0.00**	**$ 4,895.06**
ROYAL DUTCH SHELL A ADRFSPONSORED ADR	780259206	12.00	04/10/13	06/20/14	$ 989.63	$ 779.17	$ 0.00	$ 210.46
Security Subtotal					**$ 989.63**	**$ 779.17**	**$ 0.00**	**$ 210.46**
S K TELECOM LTD ADR FSPONSORED ADR	78440P108	59.00	06/14/12	06/20/14	$ 1,515.21	$ 677.32	$ 0.00	$ 837.89
Security Subtotal					**$ 1,515.21**	**$ 677.32**	**$ 0.00**	**$ 837.89**
SANOFI ADR FSPONSORED ADR	80105N105	57.00	12/15/11	06/20/14	$ 3,100.90	$ 1,989.30	$ 0.00	$ 1,111.60
Security Subtotal					**$ 3,100.90**	**$ 1,989.30**	**$ 0.00**	**$ 1,111.60**
SIEMENS A G ADR FSPONSORED ADR	826197501	8 00	07/24/12	06/20/14	$ 1,086.77	$ 645.56	$ 0.00	$ 441.21
SIEMENS A G ADR FSPONSORED ADR	826197501	4.00	07/25/12	06/20/14	$ 543.39	$ 328.62	$ 0.00	$ 214.77
SIEMENS A G ADR FSPONSORED ADR	826197501	12.00	07/30/12	06/20/14	$ 1,630.16	$ 1,017.42	$ 0.00	$ 612.74
SIEMENS A G ADR FSPONSORED ADR	826197501	1.00	07/31/12	06/20/14	$ 135.85	$ 84 55	$ 0.00	$ 51.30
Security Subtotal					**$ 3,396.17**	**$ 2,076.15**	**$ 0.00**	**$ 1,320.02**
TATA MOTORS LTD ADR FSPONSORED ADR	876568502	6.00	03/23/11	06/20/14	$ 235.14	$ 155.76	$ 0.00	$ 79.38

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Long-Term Realized Gain or (Loss) (continued)

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Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold		Total Proceeds		(-)Cost Basis		(+)Wash Sale Loss Disallowed		(=)Realized Gain or (Loss)
TATA MOTORS LTD ADR FSPONSORED ADR	876568502	44.00	08/29/11	06/20/14	$	1,724.37	$	718 55	$	0.00	$	1,005.82
Security Subtotal					**$**	**1,959.51**	**$**	**874.31**	**$**	**0.00**	**$**	**1,085.20**
VOLKSWAGEN ADR PFDFSPONSORED ADR	928662402	17.00	04/02/13	06/20/14	$	901.83	$	688.91	$	0.00	$	212.92
VOLKSWAGEN ADR PFDFSPONSORED ADR	928662402	14.00	04/04/13	06/20/14	$	742.68	$	564.74	$	0.00	$	177 94
VOLKSWAGEN ADR PFDFSPONSORED ADR	928662402	34.00	04/09/13	06/20/14	$	1,803.66	$	1,351.82	$	0 00	$	451.84
Security Subtotal					**$**	**3,448.17**	**$**	**2,605.47**	**$**	**0.00**	**$**	**842.70**
WELLS FARGO & CO NEW	949746101	5 00	11/01/06	06/20/14	$	262.21	$	175.13	$	0.00	$	87.08
WELLS FARGO & CO NEW	949746101	55.00	02/24/11	06/20/14	$	2,884.30	$	1,725 01	$	0.00	$	1,159.29
WELLS FARGO & CO NEW	949746101	23.00	05/03/11	06/20/14	$	1,206.16	$	674.50	$	0.00	$	531.66
WELLS FARGO & CO NEW	949746101	105.00	04/23/12	06/20/14	$	5,506.39	$	3,424.68	$	0.00	$	2,081.71
WELLS FARGO & CO NEW	949746101	86.00	08/07/12	06/20/14	$	4,510.00	$	2,934.15	$	0.00	$	1,575.85
WELLS FARGO & CO NEW	949746101	103.00	09/20/12	06/20/14	$	5,401.51	$	3,616.80	$	0.00	$	1,784.71
Security Subtotal					**$**	**19,770.57**	**$**	**12,550.27**	**$**	**0.00**	**$**	**7,220.30**
Total Long-Term *(Cost basis is reported to the IRS)*					**$**	**247,246.46**	**$**	**177,846.13**	**$**	**0.00**	**$**	**69,400.33**

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Long-Term Realized Gain or (Loss)

This section is for noncovered securities and corresponds to transactions reported on your 1099B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part II, with Box E checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis *Adjusted*	(+)Wash Sale Loss Disallowed *(-)Market Discount*	(=)Realized Gain or (Loss) *Adjusted*
AMAZON COM INC	023135106	100.00	05/05/09	02/03/14	$ 34,807.88	$ 8,114.65[t]	$ 0 00	$ 26,693 23
Security Subtotal					**$ 34,807.88**	**$ 8,114.65**	**$ 0.00**	**$ 26,693.23**
ASTRAZENECA PLC ADR FSPONSORED ADR	046353108	62.00	06/30/10	06/20/14	$ 4,682.13	$ 2,939.10[t]	$ 0.00	$ 1,743.03
Security Subtotal					**$ 4,682.13**	**$ 2,939.10**	**$ 0.00**	**$ 1,743.03**
AT&T INC. 5 2XXX**CALLED**	079860AL6	25,000.00	01/21/09	07/15/14	$ 27,552.97	$ 25,000.00[t]	$ 0.00	$ 2,552.97
						$ 25,000.00	*$ 0.00*	*$ 2,552.97[b]*
Security Subtotal					**$ 27,552.97**	**$ 25,000.00**	**$ 0.00**	**$ 2,552.97**
						$ 25,000.00	***$ 0.00***	***$ 2,552.97[b]***
BAE SYSTEMS PLC ADR FSPONSORED ADR	05523R107	73.00	06/30/10	06/20/14	$ 2,130.82	$ 1,377.51[t]	$ 0.00	$ 753 31
Security Subtotal					**$ 2,130.82**	**$ 1,377.51**	**$ 0.00**	**$ 753.31**
DIAGEO PLC NEW ADR FSPONSORED ADR	25243Q205	10.00	06/30/10	06/20/14	$ 1,263.68	$ 633.30[t]	$ 0.00	$ 630.38
Security Subtotal					**$ 1,263.68**	**$ 633.30**	**$ 0.00**	**$ 630.38**
EXPRESS SCRIPTS HLDG CO	30219G108	450.00	05/05/09	06/02/14	$ 31,227.24	$ 14,184.00[t]	$ 0.00	$ 17,043.24
EXPRESS SCRIPTS HLDG CO	30219G108	300.00	05/20/09	06/02/14	$ 20,818.16	$ 9,117.00[t]	$ 0 00	$ 11,701.16
EXPRESS SCRIPTS HLDG CO	30219G108	420.00	06/11/09	06/02/14	$ 29,145.42	$ 13,059.90[t]	$ 0 00	$ 16,085.52
Security Subtotal					**$ 81,190.82**	**$ 36,360.90**	**$ 0.00**	**$ 44,829.92**

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

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Long-Term Realized Gain or (Loss) (continued)

This section is for noncovered securities and corresponds to transactions reported on your 1099B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part II, with Box E checked.

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis *Adjusted*	(+)Wash Sale Loss Disallowed *(-)Market Discount*	(=)Realized Gain or (Loss) *Adjusted*
KAYNE ANDERSON MLP INVT	486606106	200.00	03/19/10	12/02/14 $	7,695 67 $	4,958.77[a] $	0.00 $	2,736.90
Security Subtotal				**$**	**7,695.67 $**	**4,958.77 $**	**0.00 $**	**2,736.90**
PFIZER INCORPORATED	717081103	31.00	12/28/10	06/20/14 $	921.09 $	546.54[t] $	0.00 $	374 55
Security Subtotal				**$**	**921.09 $**	**546.54 $**	**0.00 $**	**374.55**
ROYAL DUTCH SHELL A ADRFSPONSORED ADR	780259206	28.00	11/19/08	06/20/14 $	2,309.14 $	1,410.90[t] $	0.00 $	898 24
ROYAL DUTCH SHELL A ADRFSPONSORED ADR	780259206	11.00	12/21/09	06/20/14 $	907.16 $	650.42[t] $	0.00 $	256.74
ROYAL DUTCH SHELL A ADRFSPONSORED ADR	780259206	11.00	02/11/10	06/20/14 $	907.16 $	599.24[t] $	0.00 $	307.92
Security Subtotal				**$**	**4,123.46 $**	**2,660.56 $**	**0.00 $**	**1,462.90**
S K TELECOM LTD ADR FSPONSORED ADR	78440P108	65.00	06/30/10	06/20/14 $	1,669 29 $	972.30[t] $	0.00 $	696.99
Security Subtotal				**$**	**1,669.29 $**	**972.30 $**	**0.00 $**	**696.99**
TORONTO DOMINION BANK F	891160509	60 00	06/11/09	06/20/14 $	3,047.99 $	1,569.60[t] $	0.00 $	1,478.39
Security Subtotal				**$**	**3,047.99 $**	**1,569.60 $**	**0.00 $**	**1,478.39**
VANGUARD CORP BOND ETF SHORT-TERM CORP BOND	92206C409	600.00	12/27/11	05/22/14 $	48,232.93 $	46,612.86[t] $	0 00 $	1,620 07
Security Subtotal				**$**	**48,232.93 $**	**46,612.86 $**	**0.00 $**	**1,620.07**
WELLS FARGO & CO NEW	949746101	8.00	03/18/09	06/20/14 $	419.54 $	122.08[t] $	0 00 $	297.46

Please see the "Endnotes for Your Realized Gain or (Loss)" for an explanation of the codes and symbols in this Realized Gain or (Loss) section.

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

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Long-Term Realized Gain or (Loss) (continued)

This section is for noncovered securities and corresponds to transactions reported on your 1099B as **"cost basis is available but not reported to the IRS."** Report on Form 8949, Part II, with Box E checked

Description OR Option Symbol	CUSIP Number	Quantity/Par	Date Acquired	Date Sold	Total Proceeds	(-)Cost Basis *Adjusted*	(+)Wash Sale Loss Disallowed *(-)Market Discount*	(=)Realized Gain or (Loss) *Adjusted*
WELLS FARGO & CO NEW	949746101	61.00	12/01/10	06/20/14	$ 3,198.95	$ 1,669.42[t]	$ 0.00	$ 1,529.53
Security Subtotal					**$ 3,618.49**	**$ 1,791.50**	**$ 0.00**	**$ 1,826.99**
Total Long-Term *(Cost basis is available but not reported to the IRS)*					**$ 220,937.22**	**$ 133,537.59**	**$ 0.00**	**$ 87,399.63**
						$ 133,537.59	***$ 0.00***	***$ 87,399.63[b]***
Total Long-Term					**$ 468,183.68**	**$ 311,383.72**	**$ 0.00**	**$ 156,799.96**
						$ 311,383.72	***$ 0.00***	***$ 156,799.96[b]***

YEAR-END SUMMARY INFORMATION IS NOT PROVIDED TO THE IRS.

Date Prepared: February 6, 2015

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Realized Gain or (Loss) Summary

Total Realized Gain or (Loss)

Description	Total Proceeds	(-)Cost Basis *Adjusted*	(+)Wash Sale Loss Disallowed *(-)Market Discount*	(=)Realized Gain or (Loss) *Adjusted*
Total Short-Term Realized Gain or (Loss) *(Cost basis is available but not reported to the IRS. Report on Form 8949, Part I, with Box B checked.)*	$ 101,844.49	$ 104,436.54 $ *103,813.02*	$ 0.00 $ 0.00	$ (2,592.05) $ *(1,968.53)*[b]
Total Short-Term Realized Gain or (Loss)	$ 101,844.49	$ 104,436.54 $ *103,813.02*	$ 0.00 $ *0.00*	$ (2,592.05) $ *(1,968.53)*[b]
Total Long-Term Realized Gain or (Loss) *(Cost basis is reported to the IRS. Report on Form 8949, Part II, with Box D checked.)*	$ 247,246.46	$ 177,846.13	$ 0.00	$ 69,400.33
Total Long-Term Realized Gain or (Loss) *(Cost basis is available but not reported to the IRS. Report on Form 8949, Part II, with Box E checked.)*	$ 220,937.22	$ 133,537.59 $ *133,537.59*	$ 0.00 $ *0.00*	$ 87,399.63 $ *87,399.63*[b]
Total Long-Term Realized Gain or (Loss)	$ 468,183.68	$ 311,383.72 $ *311,383.72*	$ 0.00 $ *0.00*	$ 156,799.96 $ *156,799.96*[b]
TOTAL REALIZED GAIN OR (LOSS)	$ 570,028.17	$ 415,820.26 $ *415,196.74*	$ 0.00 $ *0.00*	$ 154,207.91 $ *154,831.43*[b]