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Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf

Open to Public Inspection

2014

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation 31-15C066471768 BOB HONG FOUNDATION		A Employer identification number 04-6911786
Number and street (or P.O. box number if mail is not delivered to street address) C/O CITI TRUST, ONE COURT SQUARE, 19TH FL		B Telephone number (see instructions) 650-210-5000
City or town, state or province, country, and ZIP or foreign postal code LONG ISLAND CITY, NY 11120		C If exemption application is pending, check here ☐
G Check all that apply: ☐ Initial return ☐ Final return ☐ Address change ☐ Initial return of a former public charity ☐ Amended return ☐ Name change		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 989,662.		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐
J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) _____ (Part I, column (d) must be on cash basis)		

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received (attach schedule)				
2	Check ☒ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments				
4	Dividends and interest from securities	14,538.	13,947.		STMT 1
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	223,665.			
b	Gross sales price for all assets on line 6a	1,178,708.			
7	Capital gain net income (from Part IV, line 2)		223,724.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss) (attach schedule)				
11	Other income (attach schedule)				
12	Total. Add lines 1 through 11	238,203.	237,674.		
13	Compensation of officers, directors, trustees, etc.				
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees (attach schedule)				
b	Accounting fees (attach schedule)				
c	Other professional fees (attach schedule)	11,379.	11,379.		
17	Interest				
18	Taxes (attach schedule) (see instructions)	3,564.	887.		
19	Depreciation (attach schedule) and depletion				
20	Occupancy				
21	Travel, conferences, and meetings		NONE	NONE	
22	Printing and publications		NONE	NONE	
23	Other expenses (attach schedule)	217.	182.		35.
24	Total operating and administrative expenses. Add lines 13 through 23.	15,160.	12,448.	NONE	35.
25	Contributions, gifts, grants paid	47,334.			47,334.
26	Total expenses and disbursements. Add lines 24 and 25.	62,494.	12,448.	NONE	47,369.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	175,709.			
b	Net investment income (if negative, enter -0-)		225,223.		
c	Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing			
	2	Savings and temporary cash investments	41,206.	23,773.	23,773.
	3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U S and state government obligations (attach schedule) . .			
	b	Investments - corporate stock (attach schedule)			
	c	Investments - corporate bonds (attach schedule)			
	11	Investments - land, buildings, and equipment basis Less: accumulated depreciation (attach schedule) ▶			
	12	Investments - mortgage loans			
	13	Investments - other (attach schedule)	763,552.	955,890.	965,889.
	14	Land, buildings, and equipment: basis Less: accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)				
16	Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)	804,758.	979,663.	989,662.	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons .			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
23	Total liabilities (add lines 17 through 22)		NONE		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds	804,327.	978,938.	
	28	Paid-in or capital surplus, or land, bldg, and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds . .	431.	725.	
	30	Total net assets or fund balances (see instructions)	804,758.	979,663.	
	31	Total liabilities and net assets/fund balances (see instructions)	804,758.	979,663.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	804,758.
2	Enter amount from Part I, line 27a	2	175,709.
3	Other increases not included in line 2 (itemize) ▶ WASH SALE ADJUSTMENT	3	69.
4	Add lines 1, 2, and 3	4	980,536.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 6	5	873.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	979,663.

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Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a PUBLICLY TRADED SECURITIES					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 1,178,708.		954,984.	223,724.		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69					
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
a			223,724.		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	223,724.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8			3		

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	43,369.	971,194.	0.044655
2012	31,035.	861,090.	0.036042
2011	34,787.	819,668.	0.042440
2010	33,457.	723,681.	0.046232
2009	36,878.	630,340.	0.058505
2 Total of line 1, column (d)			2 0.227874
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.045575
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5			4 1,011,033.
5 Multiply line 4 by line 3			5 46,078.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 2,252.
7 Add lines 5 and 6			7 48,330.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			8 47,369.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	4,504.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	
3 Add lines 1 and 2		3	4,504.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	4,504.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	1,556.	
b Exempt foreign organizations - tax withheld at source	6b	NONE	
c Tax paid with application for extension of time to file (Form 8868)	6c	NONE	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	1,556.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed <i>pd via EFTPS</i>	9	2,948.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <i>NONE</i> Refunded	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► MA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of _____ Telephone no _____ Located at _____ ZIP+4 _____			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here _____ and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country _____	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	N/A
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014?	☐ Yes	☒ No
If "Yes," list the years _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes	☒ No
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No

5b

N/A

Organizations relying on a current notice regarding disaster assistance check here ☐ Yes ☒ No**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☒ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

6b

X

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

7b

N/A

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREW HONG P.O. BOX 990842, BOSTON, MA 02199	TRUSTEE, AS REQ'	-0-	-0-	-0-

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

Total number of other employees paid over \$50,000 **NONE**

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services		NONE

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	905,630.
b	Average of monthly cash balances	1b	120,799.
c	Fair market value of all other assets (see instructions).	1c	NONE
d	Total (add lines 1a, b, and c)	1d	1,026,429.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	NONE
3	Subtract line 2 from line 1d	3	1,026,429.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	15,396.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,011,033.
6	Minimum investment return. Enter 5% of line 5	6	50,552.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	50,552.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	4,504.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	4,504.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	46,048.
4	Recoveries of amounts treated as qualifying distributions	4	NONE
5	Add lines 3 and 4	5	46,048.
6	Deduction from distributable amount (see instructions).	6	NONE
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	46,048.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	47,369.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	NONE
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	NONE
b	Cash distribution test (attach the required schedule)	3b	NONE
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	47,369.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	N/A
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	47,369.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				46,048.
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only			46,257.	
b Total for prior years: 20 <u>12</u> , 20 <u> </u> , 20 <u> </u>		NONE		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	NONE			
b From 2010	NONE			
c From 2011	NONE			
d From 2012	NONE			
e From 2013	NONE			
f Total of lines 3a through e	NONE			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ <u>47,369.</u>				
a Applied to 2013, but not more than line 2a			46,257.	
b Applied to undistributed income of prior years (Election required - see instructions)		NONE		
c Treated as distributions out of corpus (Election required - see instructions)	NONE			
d Applied to 2014 distributable amount				1,112.
e Remaining amount distributed out of corpus	NONE			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	NONE			
b Prior years' undistributed income Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions		NONE		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions			NONE	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				44,936.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	NONE			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions)	NONE			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	NONE			
10 Analysis of line 9:				
a Excess from 2010	NONE			
b Excess from 2011	NONE			
c Excess from 2012	NONE			
d Excess from 2013	NONE			
e Excess from 2014	NONE			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
LEUKEMIA & LYMPHOMA SOC.IETY	NONE	N/A	UNRESTRICTED GIFT	2,000.
ATRIUM SCHOOL	NONE	N/A	UNRESTRICTED GIFT	25,000.
GIRLS ROCK CAMPAIGN BOSTON	NONE	N/A	UNRESTRICTED GIFT	6,667.
WOMEN'S AUDIO MISSION	NONE	N/A	UNRESTRICTED GIFT	6,667.
PARENTS' NURSERY SCHOOL	NONE	N/A	UNRESTRICTED GIFT	5,000.
ART SPOT PRODUCTIONS	NONE	N/A	UNRESTRICTED GIFT	2,000.
Total			3a	47,334.
b Approved for future payment				
Total			3b	

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
DIVIDENDS AND INTEREST	14,538.	13,947.
	-----	-----
TOTAL	14,538.	13,947.
	=====	=====

FORM 990PF, PART I - OTHER PROFESSIONAL FEES
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
EXECUTOR FEES	11,379.	11,379.
	-----	-----
TOTALS	11,379.	11,379.
	=====	=====

FORM 990PF, PART I - TAXES

=====

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
-----	-----	-----
2013 990PF BALANCE DUE	1,391.	
2014 990PF ESTIMATES	1,556.	
FOREIGN TAXES	617.	887.
	-----	-----
TOTALS	3,564.	887.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES

=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	CHARITABLE PURPOSES -----
ADR FEES	182.	182.	
MA AG FILING FEE	35.		35.
TOTALS	217.	182.	35.
	=====	=====	=====

FORM 990PF, PART II - OTHER INVESTMENTS
=====

DESCRIPTION -----	COST/ FMV C OR F -----	C	ENDING	
			BOOK VALUE -----	FMV -----
SEE ATTACHED STATEMENT			955,890.	965,889.
			-----	-----
TOTALS			955,890.	965,889.
			=====	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES
=====

DESCRIPTION -----	AMOUNT -----
BROADCOM RETURN OF CAPITAL ADJUSTMENT	91.
MICROCHIP RETURN OF CAPITAL ADJUSTMENT	333.
EATON RETURN OF CAPITAL ADJUSTMENT	293.
KINDERMORGAN LLC BASIS ADJUSTMENT	156.

TOTAL	873.
	=====

FORM 990PF, PART VII-A, LINE 14 - BOOKS ARE IN THE CARE OF
=====

NAME: ANDREW HONG

ADDRESS: C/O CITI TRUST, ONE COURT SQUARE, 19TH F
NEW YORK, NY 11120

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041

OMB No 1545-0092

2014

Name of estate or trust

31-15C066471768 BOB HONG FOUNDATION

Employer identification number

04-6911786

Note: Form 5227 filers need to complete **only** Parts I and II.

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b.				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked	595,767.	577,260.	68.	18,575.
2 Totals for all transactions reported on Form(s) 8949 with Box B checked	110.			110.
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824			4	
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts			5	
6 Short-term capital loss carryover. Enter the amount, if any, from line 9 of the 2013 Capital Loss Carryover Worksheet			6 ()	
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h). Enter here and on line 17, column (3) on the back ▶			7	18,685.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars.

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b.				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	583,685.	378,670.		205,015.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824			11	
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.			12	
13 Capital gain distributions.			13	24.
14 Gain from Form 4797, Part I.			14	
15 Long-term capital loss carryover. Enter the amount, if any, from line 14 of the 2013 Capital Loss Carryover Worksheet			15 ()	
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h). Enter here and on line 18a, column (3) on the back ▶			16	205,039.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2014

Part III Summary of Parts I and II**Caution: Read the instructions before completing this part.**

	(1) Beneficiaries' (see instr.)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		18,685.
18 Net long-term gain or (loss):			
a Total for year	18a		205,039.
b Unrecaptured section 1250 gain (see line 18 of the wrksh.)	18b		
c 28% rate gain	18c		
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		223,724.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of:
 a The loss on line 19, column (3) **or b** \$3,000 **20** ()

Note: If the loss on line 19, column (3), is more than \$3,000, **or** if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if:

- Either line 18b, col. (2) or line 18c, col. (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero.

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col. (2) or line 18c, col. (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34). . .	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T) . .	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g; otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,500	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶		30	
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26	32		
33 Enter the smaller of line 21 or \$12,150	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35	36		
37 Multiply line 36 by 15%. ▶		37	
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% ▶		41	
42 Figure the tax on the amount on line 27. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2014 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶		45	

Schedule D (Form 1041) 2014

Sales and Other Dispositions of Capital Assets

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

2014

Department of the Treasury
Internal Revenue Service

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No 12A

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
21.	AIA GROUP LTD	07/30/2013	01/27/2014	390.00	397.00			-7.00
6.	ADIDAS AG ADR	04/17/2014	05/15/2014	325.00	312.00			13.00
143.	AMERICAN INTL GROUP I	08/08/2013	06/04/2014	7,875.00	6,608.00			1,267.00
101.	ANHUI CONCH CEMENT CO	07/15/2014	09/25/2014	1,681.00	1,886.00			-205.00
4.	ANHEUSER BUSCH INBEV SA	02/26/2014	07/11/2014	452.00	417.00			35.00
4.	ANHEUSER BUSCH INBEV SA	10/23/2013	08/05/2014	431.00	412.00			19.00
5.	ANHEUSER BUSCH INBEV SA	10/24/2013	08/27/2014	560.00	515.00			45.00
4.	ANHEUSER BUSCH INBEV SA	10/21/2013	09/04/2014	456.00	410.00			46.00
2.	ANHEUSER BUSCH INBEV SA	10/21/2013	09/26/2014	224.00	205.00			19.00
32.	APPLE COMPUTER INC	05/20/2014	06/04/2014	20,639.00	18,915.00			1,724.00
.1	BANCA MONTE DEI PASCHI	04/01/2014	05/22/2014		2.00			-2.00
30.	BANCA MONTE DEI PASCHI	04/01/2014	05/22/2014	432.00	524.00			-92.00
22.	BANCA MONTE DEI PASCHI	03/28/2014	05/23/2014	320.00	357.00			-37.00
356.	IPATH GOLDMAN SACHS C	08/14/2013	01/27/2014	7,914.00	8,743.00			-829.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form 8949 (2014)

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.**2014**Department of the Treasury
Internal Revenue Service

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Attachment
Sequence No **12A**

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	10. BIOGEN, INC	10/16/2013	06/04/2014	3,187.00	2,400.00			787.00
	17. BOSTON BEER INC CL A	05/01/2014	06/04/2014	3,618.00	3,870.00			-252.00
	149. BROADCOM CORP-CL A	04/29/2013	04/28/2014	4,527.00	5,200.00			-673.00
	4. BURBERRY GROUP PLC	01/15/2014	03/27/2014	187.00	204.00			-17.00
	11. BURBERRY GROUP PLC	01/15/2014	03/28/2014	516.00	557.00			-41.00
	10. BURBERRY GROUP PLC	12/30/2013	04/17/2014	483.00	493.00			-10.00
	10. BURBERRY GROUP PLC	12/05/2013	08/14/2014	482.00	486.00			-4.00
	4. BURBERRY GROUP PLC	10/17/2013	08/26/2014	190.00	194.00			-4.00
	310. CIT GROUP INC	04/28/2014	06/04/2014	13,878.00	14,901.00			-1,023.00
	203. CME GROUP INC	02/27/2014	06/04/2014	14,222.00	15,252.00			-1,030.00
	271. CVS CORPORATION (DEL)	05/21/2014	06/04/2014	21,148.00	19,449.00			1,699.00
	1. CARNIVAL CORP PAIRED CT CARNI	04/17/2014	05/14/2014	39.00	37.00			2.00
	43. CELGENE CORP 00	04/25/2013	04/07/2014	5,924.00	5,081.00			843.00
	72. CELGENE CORP 00	05/28/2014	06/04/2014	11,317.00	9,350.00			1,967.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

2014

Attachment
Sequence No 12ADepartment of the Treasury
Internal Revenue Service

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
44.	CHICAGO BRIDGE & I NLG	09/26/2013	03/25/2014	3,761.00	2,903.00			858.00
8.	CHINA MOBILE HONG KONG	09/06/2013	09/02/2014	500.00	444.00			56.00
434.	COGNIZANT TECHNOLOGY CORP	11/05/2013	06/04/2014	21,059.00	17,518.00			3,541.00
16.	COMCAST CORP NEW CL A	06/18/2014	12/16/2014	882.00	830.00			52.00
199.	COMPAGNIE DE ST GOBAI	10/01/2014	12/09/2014	1,654.00	1,823.00			-169.00
.	3529 COMPASS GROUP PLC	02/07/2014	07/15/2014	6.00	6.00			
25.	0588 COMPASS GROUP PLC	02/07/2014	08/05/2014	401.00	394.00			7.00
41.	DISCOVER FINL SVCS	10/16/2013	06/04/2014	2,446.00	2,161.00			285.00
54.	FACEBOOK INC CL A	05/03/2013	03/24/2014	3,442.00	1,528.00			1,914.00
431.	FANUC CORPORATION	05/02/2014	06/04/2014	12,369.00	11,881.00			488.00
203.	FLOWERVE CORP COM	04/24/2014	06/04/2014	15,150.00	15,230.00			-80.00
10.	FOMENTO ECONOMICO MEX-	01/14/2014	07/29/2014	946.00	955.00			-9.00
384.	FOREST LABS INC	06/18/2014	07/02/2014	47,575.00	37,717.00			9,858.00
44.	GNC HLDGS INC	10/16/2013	05/08/2014	1,631.00	2,442.00			-811.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form 8949 (2014)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.**2014**Attachment
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

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Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

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You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
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- ☐ (C) Short-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh. XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	256. HESS CORP	05/05/2014	06/04/2014	23,672.00	22,336.00			1,336.00
	37. HOME DEPOT INC	06/19/2013	04/28/2014	2,982.00	2,846.00			136.00
	129. ICICI BK LTD SPD ADR	12/09/2013	01/27/2014	4,290.00	4,851.00			-561.00
	318. ICICI BK LTD SPD ADR	09/16/2013	01/29/2014	10,335.00	10,236.00			99.00
	109. INCYTE PHARMACEUTICAL	01/14/2014	04/07/2014	5,502.00	5,448.00			54.00
	148. INCYTE PHARMACEUTICAL	10/11/2013	04/11/2014	7,269.00	5,478.00			1,791.00
	22. ING GROEP N.V.	01/10/2014	05/15/2014	303.00	319.00			-16.00
	18. ING GROEP N.V.	11/07/2013	05/16/2014	236.00	235.00			1.00
	67. ING GROEP N.V.	11/26/2013	07/11/2014	915.00	855.00			60.00
	27. INTESA SAN PAOLO-SPON	01/10/2014	02/10/2014	459.00	419.00			40.00
	49. INTESA SAN PAOLO-SPON	11/26/2013	04/16/2014	956.00	718.00			238.00
	64. INTESA SAN PAOLO-SPON	08/19/2014	10/10/2014	1,081.00	1,163.00			-82.00
	70. ISHARES TR 20+ TREAS I	01/31/2014	02/12/2014	7,421.00	7,573.00			-152.00
	100. ISHARES TR 20+ TREAS	04/11/2014	04/30/2014	11,111.00	11,021.00			90.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2014)

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

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Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

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- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
167.	ISIS PHARMACEUTICALS	03/14/2014	04/11/2014	6,211.00	7,872.00			-1,661.00
42.	KANSAS CITY SOUTHERN I	02/21/2014	06/04/2014	4,406.00	4,067.00			339.00
54.	KINDER MORGAN MANAGEME	03/26/2014	05/05/2014	3,922.00	3,825.00			97.00
43.	KINDER MORGAN MANAGEME	03/17/2014	05/12/2014	3,056.00	2,989.00			67.00
15.	KOMATSU LTD SPNS ADR	06/13/2013	01/31/2014	313.00	376.00			-63.00
3.	KOMATSU LTD SPNS ADR	06/13/2013	02/04/2014	60.00	75.00			-15.00
22.	KONE OYJ	09/25/2013	08/22/2014	467.00	497.00			-30.00
18.	KONE OYJ	09/26/2013	08/28/2014	376.00	406.00			-30.00
3.	KUBOTA LTD ADR	11/27/2013	10/02/2014	223.00	258.00			-35.00
4.	KUBOTA LTD ADR	12/19/2013	12/05/2014	314.00	330.00			-16.00
5.	LAS VEGAS SANDS CORP	04/16/2014	10/02/2014	309.00	378.00			-69.00
.75	LIBERTY BROADBAND CORP	06/18/2014	11/17/2014	37.00	34.00			3.00
.5	LIBERTY BROADBAND CORP	06/18/2014	11/17/2014	23.00	22.00			1.00
.7814	LIBERTY VENTURES	06/18/2014	10/24/2014	25.00	24.00			1.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2014)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2014Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

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Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	3. LULULEMON ATHLETICA INC	11/06/2013	08/22/2014	120.00	210.00			-90.00
	7. MASTERCARD INC	03/13/2014	11/18/2014	588.00	542.00			46.00
	9. MASTERCARD INC	08/21/2014	12/03/2014	797.00	695.00			102.00
	3. MERCADOLIBRE INC	11/20/2013	01/30/2014	290.00	353.00			-63.00
	1. MERCADOLIBRE INC	11/20/2013	04/17/2014	85.00	108.00			-23.00
	260. MERCK & CO INC NEW	04/29/2014	06/04/2014	15,065.00	14,985.00			80.00
	18. MICHELIN COMPAGNIE GEN	11/21/2013	07/28/2014	400.00	389.00			11.00
	463. MICROSOFT CORP	05/21/2014	06/04/2014	18,604.00	18,629.00			-25.00
	77. MICROCHIP TECHNOLOGY I	05/12/2014	06/04/2014	3,669.00	3,597.00			72.00
	80. MITSUBISHI UFJ FINL GR	03/28/2013	02/12/2014	476.00	474.00			2.00
	4. NESTLE S A SPONSORED AD SH	08/06/2013	01/08/2014	292.00	282.00			10.00
	1. NESTLE S A SPONSORED AD SH	08/06/2013	01/09/2014	72.00	71.00			1.00
	2.99942 NESTLE S A SPONSOR REPSTG REG SH	05/15/2014	09/30/2014	219.00	237.00			-18.00
	8.00058 NESTLE S A SPONSOR REPSTG REG SH	05/15/2014	09/30/2014	584.00	633.00	W	49.00	
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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Form **8949** (2014)

Sales and Other Dispositions of Capital AssetsDepartment of the Treasury
Internal Revenue Service▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

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2014Attachment
Sequence No **12A**

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

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1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo., day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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	8. NESTLE S A SPONSORED AD SH	05/15/2014	11/07/2014	580.00	624.00			-44.00
	151. NEXTERA ENERGY INC	05/01/2014	06/04/2014	14,635.00	15,048.00			-413.00
	50. NOKIA CORP SPONSORED A	11/22/2013	10/09/2014	403.00	405.00			-2.00
	215. NOKIA CORP SPONSORED	04/30/2014	10/23/2014	1,805.00	1,638.00			167.00
	2511. NOMURA HOLDINGS INC	07/09/2013	04/22/2014	14,924.00	21,379.00			-6,455.00
	5. NOVARTIS AG-ADR	07/17/2014	09/23/2014	468.00	444.00			24.00
	5. NOVARTIS AG-ADR	04/30/2014	09/25/2014	465.00	434.00			31.00
	5. NOVARTIS AG-ADR	04/22/2014	10/01/2014	467.00	433.00			34.00
	9. NOVO NORDISK A S ADR	12/30/2013	02/19/2014	398.00	330.00			68.00
	1. NOVO NORDISK A S ADR	12/30/2013	03/10/2014	47.00	37.00			10.00
	12. OLYMPUS CORP SPD ADR	07/29/2014	09/26/2014	433.00	435.00			-2.00
	18. OLYMPUS CORP SPD ADR	08/19/2014	12/04/2014	682.00	633.00			49.00
	32. PT BK MANDIRI PERSERO	02/08/2013	01/27/2014	214.00	290.00			-76.00
	19. PT BK MANDIRI PERSERO	07/29/2013	01/28/2014	128.00	170.00			-42.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶								

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Form **8949** (2014)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

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2014Attachment
Sequence No **12A**

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

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						(f) Code(s) from instructions	(g) Amount of adjustment	
	28. PT BK MANDIRI PERSERO	07/30/2013	01/29/2014	193.00	236.00			-43.00
	46. PACIFIC RUBIALES ENERG	08/08/2013	05/23/2014	844.00	987.00			-143.00
	18. PACIFIC RUBIALES ENERG	08/08/2013	07/10/2014	353.00	373.00			-20.00
	27. PACIFIC RUBIALES ENERG	12/20/2013	07/14/2014	513.00	475.00			38.00
	79. PFIZER INC.	06/18/2013	06/04/2014	2,337.00	2,323.00			14.00
	1371. PROSHARES TR PSHS SH	04/11/2014	04/21/2014	25,130.00	25,478.00			-348.00
	152. PROTO LABS INC	10/31/2013	04/22/2014	9,676.00	11,411.00			-1,735.00
	20. PUBLICIS S A NEW SPND	11/26/2013	05/14/2014	411.00	442.00			-31.00
	19. PUBLICIS S A NEW SPND	11/26/2013	05/15/2014	386.00	384.00			2.00
	169. RAYTHEON COMPANY	07/29/2013	06/04/2014	16,514.00	11,713.00			4,801.00
	18. RECKITT BENCK GRP SPON	09/26/2014	11/07/2014	294.00	313.00	W	19.00	
	28. RECKITT BENCK GRP SPON	10/13/2014	12/19/2014	458.00	489.00			-31.00
	7. ROCHE HOLDINGS LTD-SPON	12/19/2013	02/19/2014	521.00	472.00			49.00
	13. ROCHE HOLDINGS LTD-SPO	07/25/2013	04/30/2014	474.00	409.00			65.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	119. ROYAL MAIL PLC	07/15/2014	09/25/2014	1,547.00	2,142.00			-595.00
	9. SABMILLER PLC SPD ADR	11/26/2013	09/15/2014	542.00	464.00			78.00
	7. SAP AG-SPONSORED ADR	01/09/2014	05/09/2014	527.00	594.00			-67.00
	3. SAP AG-SPONSORED ADR	02/05/2014	05/14/2014	231.00	235.00			-4.00
	6. SCHLUMBERGER LTD	12/18/2013	02/19/2014	546.00	515.00			31.00
	14. SOUTHERN COPPER CORP D	02/11/2014	10/09/2014	400.00	445.00			-45.00
	56. SUMITOMO MITSUI TR HLD	02/12/2014	09/26/2014	233.00	271.00			-38.00
	35. SUMITOMO MITSUI TR HLD	02/12/2014	10/17/2014	126.00	170.00			-44.00
	50. SUNTORY BEVERAGE & FOO	07/30/2014	11/26/2014	892.00	951.00			-59.00
	7. SYNGENTA AG-ADR	07/26/2013	01/31/2014	493.00	556.00			-63.00
	3. SYNGENTA AG-ADR	06/28/2013	02/05/2014	202.00	234.00			-32.00
	3. SYNGENTA AG-ADR	06/28/2013	02/24/2014	219.00	231.00			-12.00
	25. TAIWAN SEMICONDUCTOR-S	10/24/2013	05/14/2014	518.00	468.00			50.00
	22. TAIWAN SEMICONDUCTOR-S	10/25/2013	07/09/2014	492.00	409.00			83.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949**2014**Attachment
Sequence No **12A**

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I Short-Term. Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
42.	TAIWAN SEMICONDUCTOR-S	11/06/2013	07/11/2014	958.00	769.00			189.00
16.	TAIWAN SEMICONDUCTOR-S	11/06/2013	07/14/2014	359.00	292.00			67.00
22.	TAIWAN SEMICONDUCTOR-S	10/16/2013	08/27/2014	457.00	401.00			56.00
29.	TAIWAN SEMICONDUCTOR-S	01/08/2014	12/15/2014	636.00	497.00			139.00
13.	TATA MTRS LTD SPD AD	04/16/2013	02/28/2014	454.00	332.00			122.00
243.	TIMKEN CO 00	04/02/2014	06/04/2014	15,780.00	14,801.00			979.00
4.	TOYOTA MTR CORP 00	01/28/2014	08/21/2014	464.00	471.00			-7.00
3.	TOYOTA MTR CORP 00	09/25/2014	11/21/2014	364.00	356.00			8.00
11.	UNITEDHEALTH GROUP INC	06/18/2014	12/16/2014	1,072.00	859.00			213.00
246.	VALLOUREC SA SPONSORE	09/25/2014	12/09/2014	1,424.00	2,335.00			-911.00
51.	VISA INC-CLASS A SHARE	02/12/2014	06/04/2014	10,721.00	11,155.00			-434.00
144.	WESTPORT INNOVATIONS	09/26/2013	04/02/2014	2,150.00	3,598.00			-1,448.00
81.	WISDOMTREE TRUST JP TO	11/21/2013	01/27/2014	3,808.00	4,061.00			-253.00
7.	WISDOMTREE TRUST JP TOT	11/21/2013	04/30/2014	322.00	351.00			-29.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

Department of the Treasury
Internal Revenue Service▶ Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

▶ File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2014Attachment
Sequence No **12A**

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I Short-Term. Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	80. WORKDAY INCCL A	10/16/2013	04/28/2014	5,225.00	6,317.00			-1,092.00
	117. WORKDAY INCCL A	08/28/2013	05/08/2014	7,933.00	7,666.00			267.00
	1. YUM BRANDS INC	07/16/2013	03/17/2014	75.00	72.00			3.00
	4. YUM BRANDS INC	10/09/2013	07/29/2014	297.00	264.00			33.00
	2. YUM BRANDS INC	10/09/2013	07/30/2014	146.00	132.00			14.00
	17. DEUTSCHE BANK AG NAMEN	04/30/2013	01/31/2014	818.00	830.00			-12.00
	.7272 ACTAVIS PLC	06/18/2014	07/09/2014	160.00	215.00			-55.00
	6. ACCENTURE PLC	03/27/2014	08/27/2014	486.00	466.00			20.00
	1. ACCENTURE PLC	03/27/2014	10/27/2014	79.00	78.00			1.00
	329. EATON CORP PLC	03/07/2014	06/04/2014	24,166.00	22,530.00			1,636.00
	45. ENSCO PLC	07/11/2014	09/09/2014	2,125.00	2,357.00			-232.00
	8. SINA CORP ORD	05/17/2013	04/22/2014	450.00	476.00			-26.00
	7. SINA CORP ORD	05/17/2013	04/23/2014	377.00	416.00			-39.00
	797. WEATHERFORD INT LTD C	05/01/2014	06/04/2014	17,505.00	15,218.00			2,287.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ▶								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

2014Attachment
Sequence No **12A**

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☐ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions.	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g).
						(f) Code(s) from instructions	(g) Amount of adjustment	
	34. STRATASYS LTS	02/12/2014	04/28/2014	3,319.00	3,831.00			-512.00
	145. STRATASYS LTS	02/12/2014	06/04/2014	13,210.00	14,116.00			-906.00
	5. ASML HOLDING N V	04/16/2014	07/07/2014	480.00	407.00			73.00
	82. LYONDELLBASELL INDUSTR	05/12/2014	06/04/2014	8,244.00	7,074.00			1,170.00
	7. YANDEX N.V. COM USD0.01	03/27/2013	03/25/2014	208.00	162.00			46.00
2 Totals.	Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►			595,767.	577,260.		68.	18,575.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☒ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)

☐ (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS

☐ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	5. AIA GROUP LTD	12/18/2012	01/27/2014	93.00	80.00			13.00
	3. ADIDAS AG ADR	09/04/2012	05/15/2014	163.00	109.00			54.00
	10. ADIDAS AG ADR	08/11/2011	07/28/2014	472.00	348.00			124.00
	24. ADIDAS AG ADR	08/11/2011	07/31/2014	951.00	836.00			115.00
	12. ADIDAS AG ADR	08/11/2011	08/28/2014	458.00	418.00			40.00
	23. ADIDAS AG ADR	08/11/2011	09/09/2014	858.00	801.00			57.00
	259. AMERICAN INTL GROUP I	03/11/2013	06/04/2014	14,263.00	10,207.00			4,056.00
	13. ANHEUSER BUSCH INBEV S	09/25/2013	09/26/2014	1,457.00	1,292.00			165.00
	187. ARCHER DANIELS MIDLAN	04/17/2013	04/28/2014	8,333.00	5,708.00			2,625.00
	331. ARCHER DANIELS MIDLAN	02/06/2013	06/04/2014	14,653.00	8,955.00			5,698.00
	12. ARM HOLDINGS PLC-SPONS	08/11/2011	07/29/2014	515.00	303.00			212.00
	10. ARM HOLDINGS PLC-SPONS	08/11/2011	08/05/2014	425.00	252.00			173.00
	15. ARM HOLDINGS PLC-SPONS	08/11/2011	08/26/2014	711.00	378.00			333.00
	13. ASSA ABLOY AB ADR	08/11/2011	01/27/2014	329.00	142.00			187.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

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Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	ASSA ABLOY AB ADR	08/11/2011	01/28/2014	177.00	77.00			100.00
19.	ASSA ABLOY AB ADR	08/11/2011	09/30/2014	485.00	208.00			277.00
18.	ASSA ABLOY AB ADR	08/11/2011	10/02/2014	458.00	197.00			261.00
65.	ASSA ABLOY AB ADR	08/11/2011	10/16/2014	1,523.00	711.00			812.00
2.	ADR BAIDU INC REG	04/25/2012	03/26/2014	312.00	267.00			45.00
2.	ADR BAIDU INC REG	05/08/2012	06/25/2014	369.00	259.00			110.00
82.	BIOGEN, INC	08/15/2011	06/04/2014	26,131.00	7,437.00			18,694.00
76.	BROADCOM CORP-CL A	02/25/2013	04/28/2014	2,309.00	2,630.00			-321.00
93.	BROADCOM CORP-CL A	02/25/2013	05/12/2014	2,769.00	3,219.00			-450.00
221.	BROADCOM CORP-CL A	02/25/2013	06/04/2014	8,091.00	7,622.00			469.00
6.	BURBERRY GROUP PLC	11/28/2012	08/26/2014	285.00	244.00			41.00
5.	CANADIAN NATL RAILWAY C	08/11/2011	05/14/2014	297.00	178.00			119.00
3.	CANADIAN NATL RAILWAY C	08/11/2011	05/15/2014	176.00	107.00			69.00
8.	CANADIAN NATL RAILWAY C	08/11/2011	07/14/2014	525.00	285.00			240.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

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Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	12. CANADIAN NATL RAILWAY	08/11/2011	07/23/2014	830.00	428.00			402.00
	11. CARNIVAL CORP PAIRED C CARNI	08/11/2011	05/14/2014	429.00	341.00			88.00
	14. CARNIVAL CORP PAIRED C CARNI	08/11/2011	07/28/2014	511.00	435.00			76.00
	12. CARNIVAL CORP PAIRED C CARNI	08/11/2011	08/19/2014	454.00	372.00			82.00
	12. CARNIVAL CORP PAIRED C CARNI	08/11/2011	08/21/2014	453.00	372.00			81.00
	13. CARNIVAL CORP PAIRED C CARNI	08/11/2011	08/27/2014	491.00	404.00			87.00
	13. CARNIVAL CORP PAIRED C CARNI	08/11/2011	09/09/2014	505.00	403.00			102.00
	62. CELGENE CORP 00	04/25/2013	06/04/2014	9,745.00	6,387.00			3,358.00
	292. CHICAGO BRIDGE & I NL	04/01/2013	06/04/2014	23,730.00	15,678.00			8,052.00
	8. CHINA MOBILE HONG KONG	09/06/2013	09/23/2014	489.00	443.00			46.00
	6.9412 COMPASS GROUP PLC	07/05/2013	08/05/2014	111.00	98.00			13.00
	79. CREE INC	05/16/2012	01/17/2014	5,006.00	2,522.00			2,484.00
	121. CREE INC	10/19/2012	01/31/2014	7,442.00	3,497.00			3,945.00
	3. DASSAULT SYS S A SPD AD	08/11/2011	01/09/2014	358.00	239.00			119.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See **Column (g)** in the separate instructions for how to figure the amount of the adjustment.

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31-15C066471768 BOB HONG FOUNDATION

04-6911786

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Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see <i>Column (e)</i> in the separate instructions	Adjustment, if any, to gain or loss (If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
2.	DASSAULT SYS S A SPD AD	08/11/2011	01/10/2014	239.00	159.00			80.00
2.	DASSAULT SYS S A SPD AD	08/11/2011	01/13/2014	237.00	159.00			78.00
1.	DASSAULT SYS S A SPD AD	08/11/2011	01/14/2014	118.00	80.00			38.00
2.	DASSAULT SYS S A SPD AD	08/11/2011	02/06/2014	219.00	159.00			60.00
5.	DASSAULT SYS S A SPD AD	08/11/2011	02/06/2014	549.00	398.00			151.00
2.	DASSAULT SYS S A SPD AD	08/11/2011	02/07/2014	220.00	159.00			61.00
493.	DISCOVER FINL SVCS	08/22/2011	06/04/2014	29,408.00	11,691.00			17,717.00
216.	EOG RESOURCES INC	08/14/2012	06/04/2014	22,939.00	11,769.00			11,170.00
74.	EBAY INC	08/15/2011	01/17/2014	3,981.00	2,265.00			1,716.00
107.	EBAY INC	08/15/2011	01/23/2014	5,890.00	3,275.00			2,615.00
14.	EMBRAER-EMPRESA BRASIL	08/11/2011	08/06/2014	519.00	328.00			191.00
24.	EMBRAER-EMPRESA BRASIL	08/11/2011	08/27/2014	938.00	563.00			375.00
37.	EMBRAER-EMPRESA BRASIL	08/11/2011	09/24/2014	1,409.00	868.00			541.00
72.	FACEBOOK INC CL A	04/17/2013	04/24/2014	4,499.00	1,934.00			2,565.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	298. FACEBOOK INC CL A	04/17/2013	06/04/2014	18,869.00	8,004.00			10,865.00
	18. FANUC CORPORATION	08/11/2011	07/29/2014	538.00	501.00			37.00
	30. FANUC CORPORATION	08/11/2011	09/29/2014	916.00	835.00			81.00
	15. FANUC CORPORATION	08/11/2011	10/01/2014	448.00	417.00			31.00
	31. FANUC CORPORATION	08/11/2011	11/19/2014	881.00	863.00			18.00
	30. FANUC CORPORATION	07/29/2013	11/25/2014	847.00	785.00			62.00
	11. PRESENIUS MEDICAL CARE	08/11/2011	08/21/2014	381.00	371.00			10.00
	13. PRESENIUS MEDICAL CARE	08/11/2011	11/19/2014	476.00	439.00			37.00
	150. GNC HLDGS INC	02/15/2013	05/08/2014	5,561.00	5,955.00			-394.00
	215. GNC HLDGS INC	02/15/2013	06/04/2014	8,108.00	8,068.00			40.00
	14. HSBC HOLDINGS PLC-SPON	04/26/2012	06/04/2014	732.00	630.00			102.00
	9. HSBC HOLDINGS PLC-SPONS	02/28/2012	07/29/2014	487.00	402.00			85.00
	9. HSBC HOLDINGS PLC-SPONS	02/29/2012	08/05/2014	481.00	402.00			79.00
	19. HSBC HOLDINGS PLC-SPON	02/29/2012	08/27/2014	1,021.00	848.00			173.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	68. HENNES & MAURITZ AB AD	08/11/2011	10/02/2014	548.00	419.00			129.00
	67. HENNES & MAURITZ AB AD	08/11/2011	10/10/2014	517.00	413.00			104.00
	144. HERTZ GLOBAL HOLDI	10/27/2011	01/27/2014	3,714.00	1,594.00			2,120.00
	186. HERTZ GLOBAL HOLDI	10/13/2011	02/28/2014	5,348.00	1,977.00			3,371.00
	536. HERTZ GLOBAL HOLDI	10/13/2011	06/04/2014	16,203.00	5,303.00			10,900.00
	19. HOME DEPOT INC	03/11/2013	04/28/2014	1,531.00	1,353.00			178.00
	81. HOME DEPOT INC	03/11/2013	05/19/2014	6,223.00	5,770.00			453.00
	188. HOME DEPOT INC	03/11/2013	06/04/2014	15,192.00	8,550.00			6,642.00
	32. HONG KONG EXCHANGES &	08/11/2011	03/14/2014	472.00	574.00			-102.00
	17. HONG KONG EXCHANGES &	08/11/2011	03/17/2014	250.00	305.00			-55.00
	22. HONG KONG EXCHANGES &	08/11/2011	03/18/2014	325.00	394.00			-69.00
	17. HONG KONG EXCHANGES &	08/11/2011	03/19/2014	251.00	305.00			-54.00
	27. HONG KONG EXCHANGES &	08/11/2011	03/20/2014	392.00	484.00			-92.00
	38. INDUSTRIAL & COML BK C	08/11/2011	01/10/2014	481.00	492.00			-11.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	21. INDUSTRIAL & COML BK C	08/11/2011	02/06/2014	250.00	272.00			-22.00
	75. INDUSTRIAL & COML BK C	08/11/2011	02/12/2014	920.00	971.00			-51.00
	23. ITAU UNIBANCO HOLDING	08/11/2011	01/30/2014	280.00	356.00			-76.00
	38. ITAU UNIBANCO HOLDING	08/11/2011	02/03/2014	462.00	588.00			-126.00
	68. ITAU UNIBANCO HOLDING	08/11/2011	03/27/2014	995.00	1,053.00			-58.00
	129. KANSAS CITY SOUTHERN	04/17/2013	06/04/2014	13,533.00	13,640.00			-107.00
	.4433 KINDER MORGAN MANAGE	08/15/2011	02/25/2014	32.00	23.00			9.00
	12. KINDER MORGAN MANAGEME	08/15/2011	05/12/2014	853.00	614.00			239.00
	.4791 KINDER MORGAN MANAGE	08/15/2011	05/22/2014	35.00	24.00			11.00
	189. KINDER MORGAN MANAGEM	08/15/2011	06/04/2014	13,878.00	9,397.00			4,481.00
	36. KINGFISHER PLC	08/11/2011	04/03/2014	528.00	266.00			262.00
	27. KOMATSU LTD SPNS ADR	08/11/2011	01/14/2014	531.00	774.00			-243.00
	8. KOMATSU LTD SPNS ADR	08/11/2011	01/31/2014	167.00	229.00			-62.00
	11. KOMATSU LTD SPNS ADR	07/27/2012	02/04/2014	219.00	248.00			-29.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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	31. KOMATSU LTD SPNS ADR	09/19/2012	02/05/2014	618.00	675.00			-57.00
	42. KONE OYJ	08/27/2013	08/28/2014	877.00	877.00			
	9. KUBOTA LTD ADR	11/27/2013	12/05/2014	707.00	703.00			4.00
	8. LVMH MOET HENNESSY LOUI	08/11/2011	01/15/2014	279.00	258.00			21.00
	16. LVMH MOET HENNESSY LOU	08/11/2011	08/21/2014	555.00	516.00			39.00
	14. LVMH MOET HENNESSY LOU	08/11/2011	11/19/2014	490.00	452.00			38.00
	5. LAS VEGAS SANDS CORP	05/31/2012	02/05/2014	367.00	223.00			144.00
	3. LAS VEGAS SANDS CORP	01/10/2012	10/02/2014	185.00	131.00			54.00
	15. LAS VEGAS SANDS CORP	01/10/2012	10/14/2014	892.00	651.00			241.00
	25. LAS VEGAS SANDS CORP	07/24/2012	10/15/2014	1,485.00	1,021.00			464.00
	71. LILLY ELI & CO. COM	07/18/2012	02/20/2014	4,090.00	3,168.00			922.00
	65. LILLY ELI & CO. COM	08/01/2012	04/01/2014	3,825.00	2,886.00			939.00
	170. LILLY ELI & CO. COM	08/03/2012	04/07/2014	10,063.00	7,502.00			2,561.00
	9. LULULEMON ATHLETICA INC	01/29/2013	08/22/2014	360.00	628.00			-268.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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	14. MERCADOLIBRE INC	09/27/2011	04/17/2014	1,190.00	837.00			353.00
	6. MICHELIN COMPAGNIE GENE	09/07/2012	07/28/2014	133.00	88.00			45.00
	48. MICHELIN COMPAGNIE GEN	03/13/2012	09/23/2014	922.00	694.00			228.00
	80. MICHELIN COMPAGNIE GEN	08/09/2012	11/24/2014	1,447.00	1,132.00			315.00
	430. MICROCHIP TECHNOLOGY	04/29/2013	06/04/2014	20,489.00	14,166.00			6,323.00
	20. MITSUBISHI UFJ FINL GR	08/11/2011	02/12/2014	119.00	116.00			3.00
	85. MITSUBISHI UFJ FINL GR	08/11/2011	05/01/2014	454.00	495.00			-41.00
	88. MITSUBISHI UFJ FINL GR	08/11/2011	08/28/2014	501.00	513.00			-12.00
	81. MITSUBISHI UFJ FINL GR	08/11/2011	09/26/2014	462.00	472.00			-10.00
	210. MITSUBISHI UFJ FINL G	08/11/2011	10/10/2014	1,134.00	1,223.00			-89.00
	175. MORGAN STANLEY, DEAN	09/07/2012	01/27/2014	5,180.00	2,898.00			2,282.00
	854. MORGAN STANLEY, DEAN	09/07/2012	06/04/2014	26,484.00	12,026.00			14,458.00
	2. NESTLE S A SPONSORED AD SH	08/06/2013	09/30/2014	146.00	141.00			5.00
	7. NESTLE S A SPONSORED AD SH	08/06/2013	10/01/2014	512.00	445.00			67.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

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Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

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☐ **(F)** Long-term transactions **not** reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
4.	NESTLE S A SPONSORED AD SH	08/11/2011	11/07/2014	290.00	250.00			40.00
6.	NESTLE S A SPONSORED AD SH	08/11/2011	12/03/2014	443.00	374.00			69.00
6.	NOVARTIS AG-ADR	08/11/2011	02/19/2014	493.00	328.00			165.00
8.	NOVO NORDISK A S ADR	08/11/2011	03/10/2014	374.00	193.00			181.00
10.	NOVO NORDISK A S ADR	08/11/2011	03/26/2014	443.00	241.00			202.00
11.	NOVO NORDISK A S ADR	08/11/2011	04/03/2014	493.00	265.00			228.00
11.	NOVO NORDISK A S ADR	08/11/2011	05/01/2014	483.00	265.00			218.00
5.	NOVO NORDISK A S ADR	08/11/2011	05/15/2014	212.00	120.00			92.00
6.	NOVO NORDISK A S ADR	08/11/2011	05/16/2014	254.00	145.00			109.00
11.	NOVO NORDISK A S ADR	08/11/2011	08/22/2014	497.00	265.00			232.00
107.	OCWEN FINL CORP	11/02/2012	02/07/2014	4,636.00	3,842.00			794.00
92.	OCWEN FINL CORP	11/02/2012	03/14/2014	3,797.00	3,286.00			511.00
149.	OCWEN FINL CORP	10/19/2012	04/07/2014	5,689.00	5,310.00			379.00
26.	PT BK MANDIRI PERSERO	01/25/2013	01/28/2014	175.00	230.00			-55.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	1. PACIFIC RUBIALES ENERGY	05/23/2013	07/10/2014	20.00	21.00			-1.00
	24. PACIFIC RUBIALES ENERG	05/23/2013	07/11/2014	465.00	495.00			-30.00
	32. PACIFIC RUBIALES ENERG	07/12/2013	07/14/2014	608.00	635.00			-27.00
	28. PEARSON PLC SPND ADR	02/03/2012	07/22/2014	527.00	535.00			-8.00
	50. PEARSON PLC SPND ADR	04/30/2012	08/22/2014	933.00	951.00			-18.00
	27. PEARSON PLC SPND ADR	02/02/2012	08/28/2014	497.00	511.00			-14.00
	23. PEARSON PLC SPND ADR	05/24/2012	09/09/2014	417.00	413.00			4.00
	769. PFIZER INC.	09/25/2012	06/04/2014	22,748.00	18,383.00			4,365.00
	30. PUBLICIS S A NEW SPND	08/07/2013	08/22/2014	547.00	514.00			33.00
	27. PUBLICIS S A NEW SPND	01/24/2013	09/24/2014	461.00	377.00			84.00
	68. PUBLICIS S A NEW SPND	08/11/2011	10/10/2014	1,108.00	796.00			312.00
	31. RECKITT BENCK GRP SPON	08/11/2011	04/30/2014	499.00	329.00			170.00
	29. RECKITT BENCK GRP SPON	08/11/2011	08/21/2014	500.00	308.00			192.00
	25. RECKITT BENCK GRP SPON	08/11/2011	12/19/2014	409.00	266.00			143.00

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1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
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	27. REGENERON PHARMACEUTIC	01/08/2013	04/07/2014	7,811.00	4,451.00			3,360.00
	33. REGENERON PHARMACEUTIC	11/14/2012	04/09/2014	9,955.00	4,930.00			5,025.00
	14. ROCHE HOLDINGS LTD-SPO	07/25/2013	09/30/2014	515.00	437.00			78.00
	22. ROCHE HOLDINGS LTD-SPO	07/05/2013	11/07/2014	803.00	677.00			126.00
	17. SABMILLER PLC SPD ADR	09/06/2013	10/13/2014	887.00	666.00			221.00
	3. SAP AG-SPONSORED ADR	08/11/2011	05/14/2014	231.00	157.00			74.00
	6. SAP AG-SPONSORED ADR	08/11/2011	06/04/2014	452.00	314.00			138.00
	6. SAP AG-SPONSORED ADR	08/11/2011	06/06/2014	451.00	314.00			137.00
	11. SAP AG-SPONSORED ADR	08/11/2011	06/26/2014	842.00	576.00			266.00
	4. SCHLUMBERGER LTD	08/11/2011	02/19/2014	364.00	311.00			53.00
	6. SCHLUMBERGER LTD	08/11/2011	04/03/2014	589.00	467.00			122.00
	4. SCHLUMBERGER LTD	08/11/2011	04/17/2014	401.00	311.00			90.00
	8. SCHLUMBERGER LTD	08/11/2011	05/27/2014	816.00	623.00			193.00
	46. SOUTHERN COPPER CORP D	03/04/2013	10/09/2014	1,315.00	1,494.00			-179.00

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	120. SUMITOMO MITSUI TR HL	05/13/2013	05/27/2014	495.00	693.00			-198.00
	131. SUMITOMO MITSUI TR HL	05/13/2013	07/29/2014	565.00	752.00			-187.00
	55. SUMITOMO MITSUI TR HLD	05/10/2013	09/26/2014	229.00	314.00			-85.00
	292. SUMITOMO MITSUI TR HL	07/29/2013	10/17/2014	1,054.00	1,317.00			-263.00
	9. SWATCH GROUP AG	11/04/2011	01/15/2014	273.00	174.00			99.00
	16. SWATCH GROUP AG	10/18/2011	04/01/2014	495.00	304.00			191.00
	15. SWATCH GROUP AG	10/11/2011	04/02/2014	467.00	284.00			183.00
	20. SWATCH GROUP AG	12/14/2011	05/02/2014	619.00	372.00			247.00
	20. SWATCH GROUP AG	12/14/2011	05/05/2014	615.00	348.00			267.00
	3. SYNGENTA AG-ADR	03/26/2012	02/24/2014	219.00	204.00			15.00
	9. SYNGENTA AG-ADR	03/26/2012	03/06/2014	681.00	610.00			71.00
	11. SYNGENTA AG-ADR	07/25/2012	03/07/2014	835.00	729.00			106.00
	20. TAIWAN SEMICONDUCTOR-S	11/20/2013	12/03/2014	455.00	354.00			101.00
	12. TAIWAN SEMICONDUCTOR-S	11/20/2013	12/15/2014	263.00	212.00			51.00
	2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶							

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
7.	TATA MTRS LTD SPD ADR	04/17/2013	04/29/2014	261.00	177.00			84.00
16.	TATA MTRS LTD SPD AD	04/17/2013	04/30/2014	596.00	393.00			203.00
8.	TATA MTRS LTD SPD ADR	04/08/2013	05/01/2014	298.00	190.00			108.00
9.	TATA MTRS LTD SPD ADR	04/05/2013	05/02/2014	336.00	213.00			123.00
8.	TATA MTRS LTD SPD ADR	04/05/2013	05/05/2014	299.00	187.00			112.00
7.	TENCENT HLDGS LTD	08/11/2011	01/27/2014	442.00	167.00			275.00
4.	TENCENT HLDGS LTD	08/11/2011	03/26/2014	287.00	96.00			191.00
7.	TENCENT HLDGS LTD	08/11/2011	04/16/2014	472.00	167.00			305.00
1.	TOYOTA MTR CORP 00	08/11/2011	08/21/2014	116.00	76.00			40.00
9.	TOYOTA MTR CORP 00	08/11/2011	12/22/2014	1,135.00	681.00			454.00
494.	WELLS FARGO & COMPANY	12/19/2012	06/04/2014	25,218.00	16,567.00			8,651.00
219.	WISDOMTREE TRUST JP T	02/15/2013	04/30/2014	10,079.00	8,780.00			1,299.00
233.	WISDOMTREE TRUST JP T	01/30/2013	05/08/2014	10,684.00	9,266.00			1,418.00
10.	YUM BRANDS INC	03/20/2012	03/17/2014	755.00	699.00			56.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►								

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1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo, day, yr.)	(c) Date sold or disposed (Mo, day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	13. YUM BRANDS INC	03/20/2012	05/15/2014	972.00	872.00			100.00
	5. YUM BRANDS INC	01/23/2013	06/25/2014	408.00	330.00			78.00
	2. YUM BRANDS INC	01/23/2013	07/29/2014	148.00	132.00			16.00
	11. YUM BRANDS INC	06/29/2012	07/30/2014	803.00	703.00			100.00
	3. DEUTSCHE BANK AG NAMEN	10/26/2012	01/31/2014	144.00	131.00			13.00
	35. DEUTSCHE BANK AG NAMEN	10/29/2012	05/14/2014	1,497.00	1,520.00			-23.00
	5. ACCENTURE PLC	12/20/2012	10/27/2014	396.00	317.00			79.00
	5. ACCENTURE PLC	07/12/2012	10/28/2014	396.00	286.00			110.00
	6. ACCENTURE PLC	07/24/2012	11/03/2014	486.00	342.00			144.00
	13. ACCENTURE PLC	06/01/2012	11/05/2014	1,061.00	719.00			342.00
	1. ASML HOLDING N V	03/27/2013	07/07/2014	96.00	66.00			30.00
	10. ASML HOLDING N V	03/27/2013	07/10/2014	929.00	646.00			283.00
	5. ASML HOLDING N V	12/14/2012	07/11/2014	456.00	305.00			151.00
	5. ASML HOLDING N V	10/12/2012	07/14/2014	454.00	281.00			173.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶								

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Name(s) shown on return Name and SSN or taxpayer identification no. not required if shown on other side

Social security number or taxpayer identification number

31-15C066471768 BOB HONG FOUNDATION

04-6911786

Before you check Box D, E, or F below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments you bought in 2014 or later).

Part II Long-Term. Transactions involving capital assets you held more than 1 year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☒ **(D)** Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
☐ **(E)** Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
☐ **(F)** Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co.)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	244. LYONDELLBASELL INDUST	01/23/2013	06/04/2014	24,531.00	11,877.00			12,654.00
	14. YANDEX N.V. COM USD0.0	08/11/2011	01/31/2014	516.00	434.00			82.00
	4. YANDEX N.V. COM USD0.01	08/11/2011	02/11/2014	160.00	124.00			36.00
	25. YANDEX N.V. COM USD0.0	08/11/2011	03/25/2014	742.00	775.00			-33.00
	28. YANDEX N.V. COM USD0.0	03/27/2013	04/25/2014	648.00	567.00			81.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ►				583,685.	378,670.			205,015.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Sales and Other Dispositions of Capital Assets► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

31-15C066471768 BOB HONG FOUNDATION

Social security number or taxpayer identification number

04-6911786

Before you check Box A, B, or C below, see whether you received any Form(s) 1099-B or substitute statement(s) from your broker. A substitute statement will have the same information as Form 1099-B. Either may show your basis (usually your cost) even if your broker did not report it to the IRS. Brokers must report basis to the IRS for most stock you bought in 2011 or later (and for certain debt instruments your bought in 2014 or later)

Part I **Short-Term.** Transactions involving capital assets you held 1 year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- ☒ (B) Short-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f) See the separate instructions		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	14. LIBERTY BROADBAND CORP	12/16/2014	12/16/2014	110.00				110.00
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked) ►				110.				110.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2014)

FEDERAL CAPITAL GAIN DISTRIBUTIONS
=====

LONG-TERM CAPITAL GAIN DISTRIBUTIONS

15% RATE CAPITAL GAIN DISTRIBUTIONS

CLASS ACTION PROCEEDS

24.00

TOTAL 15% RATE CAPITAL GAIN DISTRIBUTIONS

24.00

TOTAL LONG-TERM CAPITAL GAIN DISTRIBUTIONS

24.00

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ClearBridge US Multi-Cap Growth — C7B025171004

Statement Period 01 Dec 2014 — 31 Dec 2014

ACCOUNT SUMMARY

Reference Currency: USD

ASSET ALLOCATION - PRINCIPAL AND INCOME			
	TOTAL	PRINCIPAL	INCOME
	Total Value	Market Value	Accrued Interest/Dividend
Cash	16,519.16	16,518.34	0.82
Fixed Income	—	—	—
Equities	710,695.25	710,469.24	226.01
Hedge Funds	—	—	—
Private Equity	—	—	—
Real Estate	—	—	—
Commodities	—	—	—
Other Assets	—	—	—
Total	727,214.41	726,987.58	226.83
		0.00	0.00

$$\text{Total Value} = \text{Market Value} + \text{Accrued Interest} + \text{Accrued Dividend}$$

CASH ACTIVITY - PRINCIPAL AND INCOME	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Cash Balance	30,388.54	0.00	29,453.68	0.00	934.86	0.00
Cash Deposits	—	758,444.60	—	757,879.84	—	564.76
Cash Withdrawals	(16,916.36)	(39,836.95)	(15,000.00)	(35,000.00)	(1,916.36)	(4,836.95)
Assets Purchased	—	(713,796.91)	—	(713,796.91)	—	—
Assets Sold	2,064.66	12,167.10	2,064.66	12,167.10	—	—
Income Received	981.50	4,272.19	—	—	981.50	4,272.19
Taxes / Fees / Miscellaneous	—	(4,731.69)	—	(4,731.69)	—	—
Closing Cash Balance	16,518.34	16,518.34	16,518.34	16,518.34	0.00	0.00

ClearBridge US Multi-Cap Growth — C7B025171004

Statement Period 01 Dec 2014 — 31 Dec 2014



INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

CASH 2.27% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
WESTERN ASSET/ CITI INST LIQUID RESERVE Rate .001% CUSIP 52470G882 Yield .07%	16,518.34	100	16,518.34	1	16,518.34	0.82	16,519.16	0.00	11.20
Total Cash			16,518.34		16,518.34	0.82	16,519.16	0.00	11.20

EQUITIES 97.73% SORTED ALPHABETICALLY

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD)									
ACTAVIS PLC Ticker/CUSIP : ACT/G0083B108 Sector : Health Care	127 18JUN14	295.2954	37,502.51	257.41 31DEC14	32,691.07	0.00	32,691.07	(4,811.44)	0.00
ANADARKO PETROLEUM CORP Ticker/CUSIP : APC/032511107 Yield 1.31% Sector : Energy	415 Multiple	105.9059	43,950.93	82.5 31DEC14	34,237.50	0.00	34,237.50	(9,713.43)	448.20
AUTODESK INC Ticker/CUSIP : ADSK/052769106 Sector : Information Technology	406 18JUN14	56.5524	22,960.27	60.06 31DEC14	24,384.36	0.00	24,384.36	1,424.09	0.00
BIOMGEN IDEC INC Ticker/CUSIP : BILB/09062X103 Sector : Health Care	90 Multiple	307.4498	27,670.48	339.45 31DEC14	30,550.50	0.00	30,550.50	2,880.02	0.00

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ClearBridge US Multi-Cap Growth — C7B025171004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD) CONTINUED									
BROADCOM CORP CL A Ticker/CUSIP : BRCM/111320107 Yield 1 11% Sector : Information Technology	592 18JUN14	38.5099	22,797.86	43.33 31DEC14	25,651.36	0.00	25,651.36	2,853.50	284.16
CITRIX SYSTEMS INC Ticker/CUSIP : CTXS/177376100 Sector : Information Technology	233 18JUN14	64.7067	15,076.66	63.8 31DEC14	14,865.40	0.00	14,865.40	(211.26)	0.00
COMCAST CORP CL A - SPL Ticker/CUSIP : CMCSK/20030N200 Yield 1 56% Sector : Consumer Discretionary	1,010 18JUN14	51.886	52,404.86	57.565 31DEC14	58,140.65	0.00	58,140.65	5,735.79	909.00
FREIGHT-MCMORAN INC Ticker/CUSIP : FCX/35671D857 Yield 5 35% Sector : Materials	335 18JUN14	34.1396	11,436.77	23.36 31DEC14	7,825.60	0.00	7,825.60	(3,611.17)	418.75
L 3 COMMUNICATIONS HLDGS INC Ticker/CUSIP : LLL/502424104 Yield 1 9% Sector : Industrials	244 18JUN14	123.4074	30,111.41	126.21 31DEC14	30,795.24	0.00	30,795.24	683.83	585.60
LIBERTY INTERACTIVE CORP Ticker/CUSIP : QVCA/53071M104 Sector : Information Technology	526 18JUN14	24.5425	12,909.34	29.42 31DEC14	15,474.92	0.00	15,474.92	2,565.58	0.00
LIBERTY MEDIA CORP Ticker/CUSIP : LMCA/531229102 Sector : Consumer Discretionary	87 18JUN14	34.0477	2,962.15	35.27 31DEC14	3,068.49	0.00	3,068.49	106.34	0.00
Liberty Media Corp Com Ticker/CUSIP : LMCK/531229300 Sector : Consumer Discretionary	174 18JUN14	32.5118	5,657.05	35.03 31DEC14	6,095.22	0.00	6,095.22	438.17	0.00
NATIONAL OILWELL VARCO INC Ticker/CUSIP : NOV/637071101 Yield 2 81% Sector : Energy	196 18JUN14	77.8876	15,265.97	65.53 31DEC14	12,843.88	0.00	12,843.88	(2,422.09)	360.64

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CITIBANK, N.A. AGENT FOR THE BOB HONG FOUNDATION DATED 2/29/00 CLEARBRIDGE MULTI-CAP GROWTH STRATEGY

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ClearBridge US Multi-Cap Growth — C7B025171004

Statement Period 01 Dec 2014 — 31 Dec 2014



INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD) CONTINUED									
NUCOR CORP Ticker/CUSIP : NUE/670346105 Yield 3.04% Sector : Materials	441 18JUN14	51.4292	22,680.28	49.05 31DEC14	21,631.05	164.27	21,795.32	(1,049.23)	657.09
SANDISK CORP Ticker/CUSIP : SNDK/80004C101 Yield 1.22% Sector : Information Technology	246 Multiple	101.3694	24,936.86	97.98 31DEC14	24,103.08	0.00	24,103.08	(833.78)	295.20
SEAGATE TECHNOLOGY PLC Ticker/CUSIP : STX/G7945M107 Yield 3.25% Sector : Information Technology	540 18JUN14	56.2276	30,362.90	66.5 31DEC14	35,910.00	0.00	35,910.00	5,547.10	1,166.40
TYCO INTERNATIONAL PLC Ticker/CUSIP : TYC/G91442106 Yield 1.64% Sector : Industrials	496 18JUN14	45.8196	22,726.52	43.86 31DEC14	21,754.56	0.00	21,754.56	(971.96)	357.12
UNITEDHEALTH GROUP INC Ticker/CUSIP : UNH/91324P102 Yield 1.48% Sector : Health Care	570 18JUN14	78.126	44,531.82	101.09 31DEC14	57,621.30	0.00	57,621.30	13,089.48	855.00
VERTEX PHARMACEUTICALS INC Ticker/CUSIP : VRTX/92532F100 Sector : Health Care	232 18JUN14	64.988	15,077.22	118.8 31DEC14	27,561.60	0.00	27,561.60	12,484.38	0.00
Weatherford Intl Plc Ord Shs Ticker/CUSIP : WFT/G48833100 Sector : Energy	2,449 Multiple	20.358	49,856.86	11.45 31DEC14	28,041.05	0.00	28,041.05	(21,815.81)	0.00
US SMID Cap Equities (USD)									
ADT CORP Ticker/CUSIP : ADT/00101J106 Yield 2.21% Sector : Consumer Discretionary	330 18JUN14	34.498	11,384.34	36.23 31DEC14	11,955.90	0.00	11,955.90	571.56	264.00



Statement Period 01 Dec 2014 — 31 Dec 2014

Reference Currency USD

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
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ClearBridge US Multi-Cap Growth — C7B025171004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US SMID Cap Equities (USD) CONTINUED									
LIBERTY VENTURES SERIES A Ticker/CUSIP : LVNTA/53071M880 Sector : Information Technology	74 18JUN14	30.5792	2,262.86	37.72 31DEC14	2,791.28	0.00	2,791.28	528.42	0.00
Now Inc/De Ticker/CUSIP : DNOW/67011P100 Sector : Energy	271 Multiple	32.6563	8,849.85	25.73 31DEC14	6,972.83	0.00	6,972.83	(1,877.02)	0.00
NUANCE COMMUNICATIONS INC Ticker/CUSIP : NUAN/67020Y100 Sector : Information Technology	474 Multiple	18.5587	8,796.82	14.27 31DEC14	6,763.98	0.00	6,763.98	(2,032.84)	0.00
PALL CORP Ticker/CUSIP : PLL/696429307 Yield 1.21% Sector : Industrials	271 18JUN14	84.5083	22,901.75	101.21 31DEC14	27,427.91	0.00	27,427.91	4,526.16	330.62
STARZ Ticker/CUSIP : STRZA/85571Q102 Sector : Consumer Discretionary	133 18JUN14	28.4199	3,779.85	29.7 31DEC14	3,950.10	0.00	3,950.10	170.25	0.00
Developed Equities (ex-US) (USD)									
PENTAIR PLC Ticker/CUSIP : PNR/G7S00T104 Yield 1.93% Sector : Industrials	151 18JUN14	74.9012	11,310.08	66.42 31DEC14	10,029.42	0.00	10,029.42	(1,280.66)	193.28
TE CONNECTIVITY LTD-CHF Ticker/CUSIP : TEL/H84989104 Yield 1.83% Sector : Industrials	493 18JUN14	61.366	30,253.44	63.25 31DEC14	31,182.25	0.00	31,182.25	928.81	571.88
Total Equities			711,812.81		710,469.24	226.01	710,695.25	(1,343.57)	8,818.30



ClearBridge US Multi-Cap Growth — C78025171004

Statement Period 01 Dec 2014 — 31 Dec 2014



INVESTMENT POSITIONS — INCOME

Reference Currency USD

	Adjusted Cost	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
TOTAL INVESTMENT POSITIONS — PRINCIPAL	728,331.15	726,987.58	226.83	727,214.41	(1,343.57)	8,829.50

ClearBridge US Multi-Cap Growth — C7B025171004

Statement Period 01 Dec 2014 — 31 Dec 2014



INVESTMENT POSITIONS — INCOME

Reference Currency USD

CASH 0.00% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
Total Cash			0.00		0.00	0.00	0.00	—	0.00

TOTAL INVESTMENT POSITIONS — INCOME

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est Annual Income
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME									
			728,331.15		726,987.58	226.83	727,214.41	(1,343.57)	8,829.50

Multiple in reference to an Acquisition Date, indicates that there is more than one acquisition date for a position.

Original Cost is the amount paid for an investment excluding any accrued interest. Original Cost represents positions' par amount times the acquisition price. It remains constant over the life of an investment

Adjusted Cost is the Original Cost of acquisition plus or minus any accretion or amortization amounts. Accretion and amortization calculations adjust the Original Cost basis over the life of the investment to the expected redemption amount or face value



Legg Mason MDA Portfolios — 150066471768

Statement Period 01 Dec 2014 — 31 Dec 2014



ACCOUNT SUMMARY

Reference Currency USD

ASSET ALLOCATION - PRINCIPAL AND INCOME

	TOTAL		PRINCIPAL		INCOME	
	Total Value		Market Value	Accrued Interest/Dividend	Market Value	Accrued Interest/Dividend
Cash	3,863.34		3,526.06	0.10	337.16	0.02
Fixed Income	—		—	—	—	—
Equities	—		—	—	—	—
Hedge Funds	—		—	—	—	—
Private Equity	—		—	—	—	—
Real Estate	—		—	—	—	—
Commodities	—		—	—	—	—
Other Assets	—		—	—	—	—
Total	3,863.34		3,526.06	0.10	337.16	0.02

Total Value = Market Value + Accrued Interest + Accrued Dividend

CASH ACTIVITY - PRINCIPAL AND INCOME

	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Cash Balance	6,256.51	5,920.87	5,858.35	5,815.16	398.16	105.71
Cash Deposits	18,329.03	49,255.13	18,329.03	48,652.22	—	602.91
Cash Withdrawals	(20,333.32)	(48,333.32)	(20,333.32)	(48,333.32)	—	—
Assets Purchased	—	—	—	—	—	—
Assets Sold	—	—	—	—	—	—
Income Received	—	2.54	—	—	—	2.54
Taxes / Fees / Miscellaneous	(389.00)	(2,982.00)	(328.00)	(2,608.00)	(61.00)	(374.00)
Closing Cash Balance	3,863.22	3,863.22	3,526.06	3,526.06	337.16	337.16

Legg Mason MDA Portfolios — 15C066471768

Statement Period 01 Dec 2014 — 31 Dec 2014



INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

CASH 100% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
WESTERN ASSET/ CITI INST LIQUID RESERVE	3,526.06	100	3,526.06	1	3,526.06	0.10	3,526.16	0.00	2.39
Rate .001%									
CUSIP 52470G882 Yield .07%									
Total Cash			3,526.06		3,526.06	0.10	3,526.16	0.00	2.39

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
TOTAL INVESTMENT POSITIONS — PRINCIPAL									
			3,526.06		3,526.06	0.10	3,526.16	0.00	2.39



Legg Mason MDA Portfolios — 15C066471768

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — INCOME

Reference Currency USD

CASH 100% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
WESTERN ASSET/ CITI INST LIQUID RESERVE	337.16	100	337.16	1	337.16	0.02	337.18	0.00	0.23
Rate 001%				—					
CUSIP 52470G882 Yield 07%									
Total Cash			337.16		337.16	0.02	337.18	0.00	0.23

TOTAL INVESTMENT POSITIONS — INCOME

Adjusted Cost	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
337.16	337.16	0.02	337.18	0.00	0.23
TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME					
3,863.22	3,863.22	0.12	3,863.34	0.00	2.62

"Multiple" in reference to an Acquisition Date, indicates that there is more than one acquisition date for a position

"Original Cost" is the amount paid for an investment excluding any accrued interest. Original Cost represents positions' par amount times the acquisition price. It remains constant over the life of an investment

"Adjusted Cost" is the Original Cost of acquisition plus or minus any accretion or amortization amounts. Accretion and amortization calculations adjust the Original Cost basis over the life of the investment to the expected redemption amount or face value



Thornburg Intl Value ADR — C7B009720004
Statement Period 01 Dec 2014 — 31 Dec 2014



ACCOUNT SUMMARY

Reference Currency USD

ASSET ALLOCATION - PRINCIPAL AND INCOME

	TOTAL		PRINCIPAL		INCOME	
	Market Value	Accrued Interest/Dividend	Market Value	Accrued Interest/Dividend	Market Value	Accrued Interest/Dividend
Cash	3,389.72	0.27	3,363.89	0.27	25.55	0.01
Fixed Income Equities	—	—	—	—	—	—
Hedge Funds	175,762.75	101.78	175,660.97	101.78	—	—
Private Equity	—	—	—	—	—	—
Real Estate	—	—	—	—	—	—
Commodities	—	—	—	—	—	—
Other Assets	—	—	—	—	—	—
Total	179,152.47	102.05	179,024.86	102.05	25.55	0.01

Total Value = Market Value + Accrued Interest + Accrued Dividend

CASH ACTIVITY - PRINCIPAL AND INCOME

	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Cash Balance	6,247.97	4,219.20	6,126.86	4,208.07	121.11	11.13
Cash Deposits	—	0.42	—	—	—	0.42
Cash Withdrawals	(326.58)	(3,431.81)	—	(31.10)	(326.58)	(3,400.71)
Assets Purchased	(12,142.04)	(137,644.92)	(12,142.04)	(137,644.92)	—	—
Assets Sold	9,379.91	138,914.38	9,379.91	138,914.38	—	—
Income Received	287.70	4,133.16	—	—	287.70	4,133.16
Taxes / Fees / Miscellaneous	(57.52)	(2,800.99)	(0.84)	(2,082.54)	(56.68)	(718.45)
Closing Cash Balance	3,389.44	3,389.44	3,363.89	3,363.89	25.55	25.55

Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

CASH 1.88% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	(1,812.19)	—	(1,812.19)	—	(1,812.19)	0.00	(1,812.19)	—	0.00
WESTERN ASSET/ CITI INST LIQUID RESERVE Rate .001% CUSIP 52470G882 Yield 07%	5,176.08	100	5,176.08	1	5,176.08	0.27	5,176.35	0.00	3.51
Total Cash			3,363.89		3,363.89	0.27	3,364.16	0.00	3.51

EQUITIES 98.12% SORTED ALPHABETICALLY

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD)									
ACTAVIS PLC Ticker/CUSIP : ACT/G0083B108 Sector : Health Care	21 Multiple	217.3019	4,563.34	257.41 31DEC14	5,405.61	0.00	5,405.61	842.27	0.00
APPLIED MATERIALS INC DELAWARE Ticker/CUSIP : AMAT/038222105 Yield 1.61% Sector : Information Technology	165 Multiple	22.7405	3,752.19	24.92 31DEC14	4,111.80	0.00	4,111.80	359.61	66.00
MASTERCARD INC Ticker/CUSIP : MA/57636Q104 Yield .74% Sector : Consumer Discretionary	53 Multiple	74.8119	3,965.03	86.16 31DEC14	4,566.48	0.00	4,566.48	601.45	33.92
NIELSEN NV Ticker/CUSIP : NLSNVN63218106 Yield 2.24% Sector : Consumer Discretionary	94 Multiple	42.7611	4,019.54	44.73 31DEC14	4,204.62	0.00	4,204.62	185.08	94.00

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CITIBANK, N.A. AGENT FOR THE BOB HONG FOUNDATION DATED 2/29/00 THORNBURG INT'L VALUE

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Statement Period 01 Dec 2014 — 31 Dec 2014

EQUITIES CONTINUED

Reference Currency USD

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
US Large Cap Equities (USD) CONTINUED									
SCHLUMBERGER LTD Ticker/CUSIP : SLB/806857108 Yield 1 87% Sector : Energy	33 Multiple	81 6315	2,693 84	85 41 31DEC14	2,818 53	10 80	2,829.33	124 69	52 80
US SMID Cap Equities (USD)									
LULULEMON ATHLETICA INC Ticker/CUSIP : LULU/550021109 Sector : Consumer Discretionary	39 Multiple	64 8364	2,528 62	55 79 31DEC14	2,175 81	0 00	2,175 81	(352 81)	0 00
Developed Equities (ex-US) (USD)									
ACCOR SA UNSPON ADR Ticker/CUSIP : ACRY/00435F200 Yield 1 67% Sector : Consumer Discretionary Conv. Ratio 2:1	319 Multiple	10 0039	3,191.23	9 0367 31DEC14	2,882 71	0 00	2,882.71	(308 52)	48 27
ADR COMPASS GROUP PLC Ticker/CUSIP : CMPGY/20449X302 Yield 2 33% Sector : Consumer Discretionary Conv. Ratio 1:1	231 Multiple	13 2321	3,056 61	17 1674 31DEC14	3,965 67	0 00	3,965 67	909 06	92 53
AIA GROUP LTD Ticker/CUSIP : AAGIY/001317205 Yield .86% Sector : Financials Conv. Ratio 4:1	223 Multiple	15 5237	3,461.79	22 2257 31DEC14	4,963 31	0 00	4,963 31	1,501 52	42 87
AMADEUS IT HLDGS SA Ticker/CUSIP : AMADY/02263T104 Yield 1 5% Sector : Information Technology Conv. Ratio 1:1	77 Multiple	34,6778	2,670 19	40,0346 31DEC14	3,082.66	0 00	3,082.66	412 47	46 20
ASML HOLDING N V NY REG SHS Ticker/CUSIP : ASML/N07059210 Yield 67% Sector : Information Technology	19 Multiple	72 6737	1,380 80	107.83 31DEC14	2,048 77	0 00	2,048 77	667.97	13 63

Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Developed Equities (ex-US) (USD) CONTINUED									
AVIVA PLC ADR Ticker/CUSIP : AV/05382A104 Yield 3.21% Sector : Financials Conv. Ratio 2:1	212 Multiple	17.3897	3,686.61	14.9 31DEC14	3,158.80	0.00	3,158.80	(527.81)	101.41
BANCO BILBAO VIZCAYA-SP Ticker/CUSIP : BBVA/05946K101 Yield 4.57% Sector : Financials Conv. Ratio 1:1	394 Multiple	11.6837	4,603.36	9.39 31DEC14	3,699.66	31.50	3,731.16	(903.70)	169.15
BT GROUP PLC ADR-USD Ticker/CUSIP : BT/05577E101 Yield 2.88% Sector : Telecommunication Services Conv. Ratio 10:1	59 Multiple	64.9603	3,832.66	61.99 31DEC14	3,657.41	0.00	3,657.41	(175.25)	105.34
BURBERRY GROUP PLC Ticker/CUSIP : BURBY/12082W204 Yield 2.05% Sector : Consumer Discretionary Conv. Ratio 2:1	39 Multiple	40.5949	1,583.20	51.019 31DEC14	1,989.74	0.00	1,989.74	406.54	40.82
CANADIAN NATL RAILWAY CO Ticker/CUSIP : CN/136375102 Yield 1.25% Sector : Industrials	41 11AUG11	35.6349	1,461.03	68.91 31DEC14	2,825.31	0.00	2,825.31	1,364.28	35.34
Distribuidora Internacional De Adr Ticker/CUSIP : DIDAY/25476E101 Sector : Consumer Staples	146 Multiple	16.0508	2,343.41	13.6179 31DEC14	1,988.21	0.00	1,988.21	(355.20)	0.00
ENCANA CORP Ticker/CUSIP : ECA/292505104 Yield 2.02% Sector : Energy	130 31DEC14	13.9399	1,812.19	13.87 31DEC14	1,803.10	0.00	1,803.10	(9.09)	36.40



Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

EQUITIES CONTINUED

Reference Currency USD

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Developed Equities (ex-US) (USD) CONTINUED									
EXPERIAN GROUP LTD ADR Ticker/CUSIP : EXPGV/30215C101 Yield 2.05% Sector : Consumer Discretionary Conv. Ratio 1:1	216 Multiple 11AUG11	17.8231	3,849.78	16.9647 31DEC14	3,664.38	23.28	3,687.66	(185.40)	75.12
FRESENIUS MEDICAL CARE Ticker/CUSIP : FMS/358029106 Yield .99% Sector : Health Care Conv. Ratio 5:1	71 11AUG11	33.7549	2,396.60	37.14 31DEC14	2,636.94	0.00	2,636.94	240.34	26.13
GALAXY ENTERTAINMENT GROUP Ticker/CUSIP : GXYEY/36318L104 Sector : Consumer Discretionary Conv. Ratio 10:1	33 Multiple 11AUG11	84.7858	2,797.93	56.2874 31DEC14	1,857.48	0.00	1,857.48	(940.45)	0.00
HENNES & MAURITZ AB ADR Ticker/CUSIP : HNNMY/425883105 Yield 2.65% Sector : Consumer Discretionary Conv. Ratio 2:1	226 Multiple 11AUG11	6.16	1,392.16	8.3186 31DEC14	1,880.00	0.00	1,880.00	487.84	49.89
HOLCIM LTD-CHF Ticker/CUSIP : HCMLY/434741203 Sector : Industrials Conv. Ratio 2:1	259 Multiple 11AUG11	15.2625	3,952.99	14.3612 31DEC14	3,719.55	0.00	3,719.55	(233.44)	0.00
ING GROEP NV SPONS ADR Ticker/CUSIP : ING/456837103 Sector : Financials Conv. Ratio 1:1	382 Multiple 11AUG11	11.6276	4,441.74	12.97 31DEC14	4,954.54	0.00	4,954.54	512.80	0.00
INTESA SANPAOLO S P A Ticker/CUSIP : ISNPY/46115H107 Yield 1.74% Sector : Financials Conv. Ratio 6:1	104 Multiple 11AUG11	13.5213	1,406.22	17.5845 31DEC14	1,828.79	0.00	1,828.79	422.57	31.88

Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Quantity	Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Developed Equities (ex-US) (USD) CONTINUED										
ITV PLC-GBP Ticker/CUSIP : ITVPY/45069P107 Yield 1.63% Sector : Consumer Discretionary Conv. Ratio 10:1	110 Multiple		34.603	3,806.33	33.5553 31DEC14	3,691.08	0.00	3,691.08	(115.25)	59.99
JULIUS BAER GROUP LTD Ticker/CUSIP : JBAXY/48137C108 Sector : Financials Conv. Ratio 2:1	247 Multiple		9.3675	2,313.78	9.2206 31DEC14	2,277.49	0.00	2,277.49	(36.29)	0.00
KINGFISHER PLC Ticker/CUSIP : KGFHY/495724403 Yield 2.79% Sector : Consumer Discretionary Conv. Ratio 2:1	440 Multiple		7.8466	3,452.51	10.6186 31DEC14	4,672.18	0.00	4,672.18	1,219.67	130.51
KONINKLUKE PHILIPS N V Ticker/CUSIP : PHG/500472303 Yield 3.19% Sector : Industrials Conv. Ratio 1:1	74 24SEP14		32.0365	2,370.70	29 31DEC14	2,146.00	0.00	2,146.00	(224.70)	68.49
KUBOTA LTD ADR -USD- Ticker/CUSIP : KUBTY/501173207 Yield 1.6% Sector : Industrials Conv. Ratio 5:1	24 Multiple		69.8733	1,676.96	73.4393 31DEC14	1,762.54	0.00	1,762.54	85.58	28.17
LIBERTY GLOBAL INC COM SER A Ticker/CUSIP : LBTYA/G5480U104 Sector : Consumer Discretionary	84 Multiple		43.2619	3,634.00	50.205 31DEC14	4,217.22	0.00	4,217.22	583.22	0.00
LLOYDS TSB GRP PLC SP ADR Ticker/CUSIP : LYG/539439109 Sector : Financials Conv. Ratio 4:1	722 Multiple		5.0681	3,659.15	4.64 31DEC14	3,350.08	0.00	3,350.08	(309.07)	0.00



Statement Period 01 Dec 2014 -- 31 Dec 2014

Reference Currency: USD

Description	Quantity	Average/ Unit Cost	Market Price As of Date	Accrued Dividend	Est. Annual Income
	Acquisition Date	Adjusted Cost	Market Value	Total Value	Unrealized Gain/(Loss)

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CITIBANK. N.A. AGENT FOR THE BOB HONG FOUNDATION DATED 2/29/00 THORNBURG INT'L VALUE

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Thornburg Intl Value ADR — c7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est Annual Income
Developed Equities (ex-US) (USD) CONTINUED									
PUBLICIS GROUPE ADR Ticker/CUSIP : PUBGY/74463M106 Yield 1 6% Sector : Consumer Discretionary Conv Ratio 25:1	106 11AUG11	11.7	1,240.20	18 0419 31DEC14	1,912.44	0.00	1,912.44	672.24	30 52
RECKITT BENCKISER PLC Ticker/CUSIP : RBGLY/756255204 Yield 2.63% Sector : Consumer Staples Conv Ratio 2:1	164 11AUG11	10 62	1,741 68	16 2475 31DEC14	2,664 59	0.00	2,664 59	922.91	70 16
RIO TINTO PLC-GBP Ticker/CUSIP : RIO/767204100 Yield 4 4% Sector : Materials Conv. Ratio 1 1	36 080CT14	49 065	1,766.34	46.06 31DEC14	1,658.16	0 00	1,658 16	(108 18)	72 89
ROCHE HLDG LTD SPON ADR Ticker/CUSIP : RHHBY/771195104 Yield 2.7% Sector : Health Care Conv Ratio .125:1	49 Multiple	29 3182	1,436.59	33.9531 31DEC14	1,663.70	0.00	1,663 70	227.11	44 84
SABMILLER PLC SPON ADR Ticker/CUSIP : SBMRV/78572M105 Yield 1 9% Sector : Consumer Staples Conv Ratio 1:1	33 11AUG11	34 3	1,131.90	52.4067 31DEC14	1,729 42	0 00	1,729 42	597.52	32 93
SAFRAN SA Ticker/CUSIP : SAFRY/786584102 Yield 1 87% Sector : Industrials Conv Ratio 25 1	205 Multiple	16 0404	3,288.28	15 5038 31DEC14	3,178.28	0 00	3,178.28	(110 00)	59.46
SONY CORP SPON ADR-NEW Ticker/CUSIP : SNE/83699307 Yield 1.05% Sector : Consumer Discretionary Conv Ratio 1:1	111 Multiple	21 2451	2,358 21	20.47 31DEC14	2,272.17	0.00	2,272 17	(86 04)	23 94



Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Developed Equities (ex-US) (USD) CONTINUED									
SUNTORY BEVERAGE & FOOD LT Ticker/CUSIP : STBFY/86803T104 Sector : Consumer Staples Conv. Ratio 5:1	98 Multiple	17.9855	1,762.58	17 3902 31DEC14	1,704 24	0 00	1,704 24	(58 34)	0 00
SVESKA CELLULOSE Ticker/CUSIP : SVCBY/869587402 Yield 2.74% Sector : Consumer Staples Conv. Ratio 1:1	79 Multiple	23.1628	1,829 86	21 5757 31DEC14	1,704 48	0 00	1,704 48	(125.38)	46 74
TOTAL S.A SPONS ADR Ticker/CUSIP : TOT/89151E109 Yield 5.21% Sector : Energy Conv. Ratio 1:1	57 Multiple	65 186	3,715 60	51 2 31DEC14	2,918 40	36.20	2,954 60	(797 20)	152 05
TOYOTA MOTOR CORP ADR NEW Ticker/CUSIP : TM/892331307 Yield 2.3% Sector : Consumer Discretionary Conv. Ratio 2 1	28 11AUG11	75.64	2,117 92	125 48 31DEC14	3,513 44	0 00	3,513 44	1,395 52	80 83
UBS GROUP AG Ticker/CUSIP : UBS/H42097107 Sector : Financials	206 Multiple	20.2642	4,174.43	17 05 31DEC14	3,512.30	0 00	3,512 30	(662 13)	0 00
Emerging Market Equities (USD)									
BAIDU INC SPON ADR RPTNG Ticker/CUSIP : BIDU/056752108 Sector : Information Technology	12 Multiple	110.5967	1,327.16	227 97 31DEC14	2,735 64	0 00	2,735.64	1,408.48	0 00
CHINA MOBILE LTD Ticker/CUSIP : CHL/16941M109 Yield 3.11% Sector : Telecommunication Services Conv. Ratio 5:1	93 Multiple	55 3597	5,148 45	58 82 31DEC14	5,470 26	0 00	5,470 26	321.81	170 14

Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

EQUITIES CONTINUED

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Emerging Market Equities (USD) CONTINUED									
COPA HOLDINGS SA CL A Ticker/CUSIP : CPAP/31076105 Yield 3.71% Sector : Industrials	24 Multiple	110.2833	2,646.80	103.64 31DEC14	2,487.36	0.00	2,487.36	(159.44)	92.16
ICICI BANK LTD-SPONS ADR- Ticker/CUSIP : IBN/45104G104 Yield 1.32% Sector : Financials Conv. Ratio 2:1	420 Multiple	10.5314	4,423.18	11.55 31DEC14	4,851.00	0.00	4,851.00	427.82	63.99
ITAU UNIBANCO BANCO HLDG Ticker/CUSIP : ITUB/465562106 Yield .56% Sector : Financials Conv. Ratio 1:1	133 Multiple	13.5562	1,802.97	13.01 31DEC14	1,730.33	0.00	1,730.33	(72.64)	9.66
KOREA ELEC PWR CORP SP ADR Ticker/CUSIP : KEP/500631106 Sector : Utilities Conv. Ratio 5:1	88 Multiple	20.6914	1,820.84	19.36 31DEC14	1,703.68	0.00	1,703.68	(117.16)	0.00
Kroton Educacional S A Sponsored Ads Ticker/CUSIP : KROT/50106A402 Yield 3.93% Sector : Consumer Discretionary	342 Multiple	6.7689	2,314.98	5.831 31DEC14	1,994.20	0.00	1,994.20	(320.78)	78.32
TENCENT HLDGS LTD-HKD Ticker/CUSIP : TCEHY/880320109 Yield .96% Sector : Information Technology Conv. Ratio 1:1	168 Multiple	6.2072	1,042.81	14.5071 31DEC14	2,437.19	0.00	2,437.19	1,394.38	23.48
Other Equities (USD)									
Indivior Plc Sponsored ADR Ticker/CUSIP : INNV/45579E105 Sector : Health Care	6.56 11AUG11	0	0.00	11.3 31DEC14	74.13	0.00	74.13	74.13	0.00



Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS – PRINCIPAL

EQUITIES CONTINUED

Reference Currency USD

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Thornburg Intl Value ADR — C7B009720004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — INCOME

Reference Currency USD

CASH 100% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
WESTERN ASSET/ CITI INST LIQUID RESERVE	25.55	100	25.55	1	25.55	0.01	25.56	0.00	0.02
Rate .001%									
CUSIP 52470G882 Yield .07%									
Total Cash			25.55		25.55	0.01	25.56	0.00	0.02

TOTAL INVESTMENT POSITIONS — INCOME

Adjusted Cost	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
25.55	25.55	0.01	25.56	0.00	0.02

TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME

Adjusted Cost	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
165,011.35	179,050.41	102.06	179,152.47	14,039.06	2,865.84

Multiple in reference to an Acquisition Date, indicates that there is more than one acquisition date for a position.

Original Cost is the amount paid for an investment excluding any accrued interest. Original Cost represents positions' par amount times the acquisition price. It remains constant over the life of an investment.

Adjusted Cost is the Original Cost of acquisition plus or minus any accretion or amortization amounts. Accretion and amortization calculations adjust the Original Cost basis over the life of the investment to the expected redemption amount or face value.



Trust Account — C7B009712004

Statement Period 01 Dec 2014 — 31 Dec 2014



ACCOUNT SUMMARY

Reference Currency: USD

ASSET ALLOCATION - PRINCIPAL AND INCOME

	TOTAL		PRINCIPAL		INCOME	
	Total Value		Market Value	Accrued Interest/Dividend	Market Value	Accrued Interest/Dividend
Cash	2.30		2.30	0.00	0.00	0.00
Fixed Income	—		—	—	—	—
Equities	79,758.70		79,758.70	0.00	—	—
Hedge Funds	—		—	—	—	—
Private Equity	—		—	—	—	—
Real Estate	—		—	—	—	—
Commodities	—		—	—	—	—
Other Assets	—		—	—	—	—
Total	79,761.00		79,761.00	0.00	0.00	0.00

Total Value = Market Value + Accrued Interest + Accrued Dividend

CASH ACTIVITY - PRINCIPAL AND INCOME

	TOTAL ACTIVITY		PRINCIPAL ACTIVITY		INCOME ACTIVITY	
	This Period	Year to Date	This Period	Year to Date	This Period	Year to Date
Opening Cash Balance	2.30	105.41	2.30	105.41	0.00	0.00
Cash Deposits	—	985.00	—	835.00	—	150.00
Cash Withdrawals	(1,086.09)	(1,927.57)	—	—	(1,086.09)	(1,927.57)
Assets Purchased	—	—	—	—	—	—
Assets Sold	—	—	—	—	—	—
Income Received	1,086.09	1,777.57	—	—	1,086.09	1,777.57
Taxes / Fees / Miscellaneous	—	(938.11)	—	(938.11)	—	—
Closing Cash Balance	2.30	2.30	2.30	2.30	0.00	0.00

Trust Account — C78009712004

Statement Period 01 Dec 2014 — 31 Dec 2014



INVESTMENT POSITIONS — PRINCIPAL

Reference Currency: USD

CASH 0.00% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
WESTERN ASSET/ CITI INST LIQUID RESERVE Rate 001% CUSIP 52470G882 Yield .07%	2.3	100	2.30	1	2.30	0.00	2.30	0.00	0.00
Total Cash			2.30		2.30	0.00	2.30	0.00	0.00

EQUITIES 100% SORTED ALPHABETICALLY

Description	Quantity Acquisition Date	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Dividend	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Emerging Market Equities (USD)									
ISHARES MSCI EMERGING MARKETS Ticker/CUSIP : EEM/464287234 Yield 2.23% Sector : Financials	2.030 Multiple	40.6183	82,455.15	39.29 31DEC14	79,758.70	0.00	79,758.70	(2,696.45)	1,777.57
Total Equities			82,455.15		79,758.70	0.00	79,758.70	(2,696.45)	1,777.57
TOTAL INVESTMENT POSITIONS — PRINCIPAL									
			82,457.45		79,761.00	0.00	79,761.00	(2,696.45)	1,777.57



Trust Account — C7B009712004

Statement Period 01 Dec 2014 — 31 Dec 2014

INVESTMENT POSITIONS — INCOME

Reference Currency: USD

CASH 0.00% SORTED BY LIQUIDITY

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
Total Cash			0.00	—	0.00	0.00	0.00	—	0.00

TOTAL INVESTMENT POSITIONS — INCOME

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	0.00	—	0.00	0.00	0.00	—	0.00
Total Cash			0.00	—	0.00	0.00	0.00	—	0.00

TOTAL INVESTMENT POSITIONS - PRINCIPAL AND INCOME

Description	Quantity	Average/ Unit Cost	Adjusted Cost	Market Price As of Date	Market Value	Accrued Interest	Total Value	Unrealized Gain/(Loss)	Est. Annual Income
Investment Cash and Cash Equivalents (USD)									
USD	0	—	82,457.45	—	79,761.00	0.00	79,761.00	(2,696.45)	1,777.57
Total Cash			82,457.45	—	79,761.00	0.00	79,761.00	(2,696.45)	1,777.57

*"Multiple" in reference to an Acquisition Date, indicates that there is more than one acquisition date for a position

*"Original Cost" is the amount paid for an investment excluding any accrued interest. Original Cost represents positions' par amount times the acquisition price. It remains constant over the life of an investment.

*"Adjusted Cost" is the Original Cost of acquisition plus or minus any accretion or amortization amounts. Accretion and amortization calculations adjust the Original Cost basis over the life of the investment to the expected redemption amount or face value.