EXTENDED TO NOVEMBER 16, 2015

Form **990-PF** Department of the Treasury Internal Revenue Service

# Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052 **2014** Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation
KEANE FAMILY FOUNDATION
C/O O'BRIEN & ASSOCIATES

A Employer identification number
04-6743248

Number and street (or P O box number if mail is not delivered to street address) Room/suite
10 KEARNEY ROAD, SUITE 305

B Telephone number

City or town, state or province, country, and ZIP or foreign postal code
NEEDHAM, MA 02494

C If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶$ 24,034,175.

J Accounting method [X] Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I** Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc , received | | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | 172. | 172. | | STATEMENT 1 |
| | 4 Dividends and interest from securities | 448,666. | 448,666. | | STATEMENT 2 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 562,562. | | | |
| | b Gross sales price for all assets on line 6a 6,664,161. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 562,562. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 **Total.** Add lines 1 through 11 | 1,011,400. | 1,011,400. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees STMT 3 | 8,805. | 0. | | 0. |
| | b Accounting fees | | | | |
| | c Other professional fees STMT 4 | 150,927. | 0. | | 0. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 5 | 36,799. | 0. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses | | | | |
| | 24 **Total operating and administrative expenses.** Add lines 13 through 23 | 196,531. | 0. | | 0. |
| | 25 Contributions, gifts, grants paid | 1,181,125. | | | 1,181,125. |
| | 26 **Total expenses and disbursements.** Add lines 24 and 25 | 1,377,656. | 0. | | 1,181,125. |
| | 27 Subtract line 26 from line 12 | | | | |
| | a Excess of revenue over expenses and disbursements | -366,256. | | | |
| | b **Net investment income** (if negative, enter -0-) | | 1,011,400. | | |
| | c **Adjusted net income** (if negative, enter -0-) | | | N/A | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** | 1 Cash - non-interest-bearing | | | |
| | 2 Savings and temporary cash investments | 354,329. | 220,973. | 198,727. |
| | 3 Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 4 Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 5 Grants receivable | | | |
| | 6 Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| | 7 Other notes and loans receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| | 8 Inventories for sale or use | | | |
| | 9 Prepaid expenses and deferred charges | | | |
| | 10a Investments - U S and state government obligations STMT 6 | 4,381,579. | 4,130,972. | 4,041,967. |
| | b Investments - corporate stock STMT 7 | 7,560,099. | 7,583,628. | 9,782,732. |
| | c Investments - corporate bonds STMT 8 | 6,248,241. | 6,665,344. | 6,914,889. |
| | 11 Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 12 Investments - mortgage loans | | | |
| | 13 Investments - other STMT 9 | 3,419,507. | 2,646,979. | 3,095,860. |
| | 14 Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation ▶ | | | |
| | 15 Other assets (describe ▶ ) | | | |
| | 16 **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 21,963,755. | 21,247,896. | 24,034,175. |
| **Liabilities** | 17 Accounts payable and accrued expenses | | | |
| | 18 Grants payable | | | |
| | 19 Deferred revenue | | | |
| | 20 Loans from officers, directors, trustees, and other disqualified persons | | | |
| | 21 Mortgages and other notes payable | | | |
| | 22 Other liabilities (describe ▶ ) | | | |
| | 23 **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| **Net Assets or Fund Balances** | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| | 24 Unrestricted | | | |
| | 25 Temporarily restricted | | | |
| | 26 Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.** | | | |
| | 27 Capital stock, trust principal, or current funds | 9,032,880. | 9,032,880. | |
| | 28 Paid-in or capital surplus, or land, bldg , and equipment fund | 0. | 0. | |
| | 29 Retained earnings, accumulated income, endowment, or other funds | 12,930,875. | 12,215,016. | |
| | 30 **Total net assets or fund balances** | 21,963,755. | 21,247,896. | |
| | 31 **Total liabilities and net assets/fund balances** | 21,963,755. | 21,247,896. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 21,963,755. |
| 2 | Enter amount from Part I, line 27a | 2 | -366,256. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 21,597,499. |
| 5 | Decreases not included in line 2 (itemize) ▶ PP COST BASIS ADJUSTMENT | 5 | 349,603. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 21,247,896. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | | | | |
| b | SEE ATTACHED STATEMENTS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | 6,664,161. | | 6,101,599. | 562,562. |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | 562,562. |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } **2** 562,562.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c)
If (loss), enter -0- in Part I, line 8 **3** N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2013 | 1,214,099. | 23,816,827. | .050977 |
| 2012 | 1,192,576. | 23,130,486. | .051559 |
| 2011 | 1,136,723. | 23,099,269. | .049210 |
| 2010 | 929,909. | 22,718,892. | .040931 |
| 2009 | 774,062. | 21,293,694. | .036352 |

| | | | |
|---|---|---|---|
| 2 | Total of line 1, column (d) | 2 | .229029 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .045806 |
| 4 | Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | 4 | 24,270,157. |
| 5 | Multiply line 4 by line 3 | 5 | 1,111,719. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 10,114. |
| 7 | Add lines 5 and 6 | 7 | 1,121,833. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 1,181,125. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate
See the Part VI instructions

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

| | | | | |
|---|---|---|---|---|
| 1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1<br>Date of ruling or determination letter ______ (attach copy of letter if necessary-see instructions) | | | | |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b | | | 1 | 10,114. |
| c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | | | |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 2 | 0. |
| 3 Add lines 1 and 2 | | | 3 | 10,114. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | | | 4 | 0. |
| 5 **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | | | 5 | 10,114. |
| 6 Credits/Payments | | | | |
| a 2014 estimated tax payments and 2013 overpayment credited to 2014 | 6a | 20,126. | | |
| b Exempt foreign organizations - tax withheld at source | 6b | | | |
| c Tax paid with application for extension of time to file (Form 8868) | 6c | 25,000. | | |
| d Backup withholding erroneously withheld | 6d | | | |
| 7 Total credits and payments Add lines 6a through 6d | | | 7 | 45,126. |
| 8 Enter any **penalty** for underpayment of estimated tax Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 35,012. |
| 11 Enter the amount of line 10 to be **Credited to 2015 estimated tax** ▶ | 35,012. | Refunded ▶ | 11 | 0. |

**Part VII-A Statements Regarding Activities**

| | | Yes | No |
|---|---|---|---|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? | 1b | | X |
| *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | | | |
| c Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year<br>(1) On the foundation ▶ $ 0. (2) On foundation managers ▶ $ 0. | | | |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0. | | | |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>*If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>*If "Yes," attach the statement required by General Instruction T.* | 5 | | X |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶<br>MA | | | |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ TIMOTHY R. O'BRIEN / O'BRIEN & ASSO Telephone no ▶ (781) 444-8400
Located at ▶ 10 KEARNEY ROAD, SUITE 305, NEEDHAM, MA ZIP+4 ▶ 02494

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A 0.

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22 1) If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

**File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | (6) Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ______ , ______ , ______ , ______ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ______ , ______ , ______ , ______ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)* N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** | | X

*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| JOHN F. KEANE, SR.<br>81 BEACON STREET<br>BOSTON, MA 02108 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| MARILYN T. KEANE<br>81 BEACON STREET<br>BOSTON, MA 02108 | TRUSTEE<br>1.00 | 0. | 0. | 0. |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments See instructions<br>3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

## Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes | | |
| a | Average monthly fair market value of securities | 1a | 24,122,911. |
| b | Average of monthly cash balances | 1b | 516,842. |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 24,639,753. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 24,639,753. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 369,596. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 24,270,157. |
| 6 | **Minimum investment return** Enter 5% of line 5 | 6 | 1,213,508. |

## Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | |
|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | 1 | 1,213,508. |
| 2a | Tax on investment income for 2014 from Part VI, line 5 — 2a: 10,114. | | |
| b | Income tax for 2014 (This does not include the tax from Part VI ) — 2b: | | |
| c | Add lines 2a and 2b | 2c | 10,114. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | 3 | 1,203,394. |
| 4 | Recoveries of amounts treated as qualifying distributions | 4 | 0. |
| 5 | Add lines 3 and 4 | 5 | 1,203,394. |
| 6 | Deduction from distributable amount (see instructions) | 6 | 0. |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | 7 | 1,203,394. |

## Part XII Qualifying Distributions (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 1,181,125. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 1,181,125. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b | 5 | 10,114. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 1,171,011. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

**Part XIII Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|---|---|---|---|---|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 1,203,394. |
| 2 Undistributed income, if any, as of the end of 2014 | | | | |
| a Enter amount for 2013 only | | | 1,024,606. | |
| b Total for prior years ___, ___, ___ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2014 | | | | |
| a From 2009 | | | | |
| b From 2010 | | | | |
| c From 2011 | | | | |
| d From 2012 | | | | |
| e From 2013 | | | | |
| f Total of lines 3a through e | 0. | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4 ▶ $ 1,181,125. | | | | |
| a Applied to 2013, but not more than line 2a | | | 1,024,606. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2014 distributable amount | | | | 156,519. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).) | 0. | | | 0. |
| 6 **Enter the net total of each column as indicated below.** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 0. | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2013 Subtract line 4a from line 2a Taxable amount - see instr | | | 0. | |
| f Undistributed income for 2014 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2015 | | | | 1,046,875. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a | 0. | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2010 | | | | |
| b Excess from 2011 | | | | |
| c Excess from 2012 | | | | |
| d Excess from 2013 | | | | |
| e Excess from 2014 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon<br>a "Assets" alternative test - enter<br>(1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter<br>(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| *a Paid during the year* | | | | |
| SEE ATTACHED STATEMENT | | | | 1,181,125. |
| Total | | | ▶ 3a | 1,181,125. |
| *b Approved for future payment* | | | | |
| NONE | | | | |
| Total | | | ▶ 3b | 0. |

423611
11-24-14

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income (a) Business code | Unrelated business income (b) Amount | Excluded by section 512, 513, or 514 (c) Exclusion code | Excluded by section 512, 513, or 514 (d) Amount | (e) Related or exempt function income |
|---|---|---|---|---|---|
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 172. | |
| 4 Dividends and interest from securities | | | 14 | 448,666. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 562,562. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | 0. | | 1,011,400. | 0. |

13 Total. Add line 12, columns (b), (d), and (e) .... 13 1,011,400.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) |
|---|---|
| 3 | INTEREST AND DIVIDENDS ON FOUNDATION INVESTMENTS |
| 8 | GAINS AND LOSSES ON FOUNDATION INVESTMENTS |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ John F Keane | 11/16/15 ▶

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| J. O'Brien | | 11/10/15 | | P01598728 |
| Firm's name ▶ O'BRIEN & ASSOCIATES | | | Firm's EIN ▶ 04-2706569 | |
| Firm's address ▶ 10 KEARNEY ROAD, SUITE 305 NEEDHAM, MA 02494 | | | Phone no | |

Form **990-PF** (2014)

423622 11-24-14

Keane Family Foundation
2014 Form 990-PF
Part XV, Line 3, Grants and Contributions Paid During the Year
EIN 04-6743248

| Name of Donee | Recipient Relationship and Purpose of Grant | Recipient Status | Amount |
|---|---|---|---|
| Nichols House Museum | None<br>Charitable Contribution Unrestricted | Public Charity | 1,000 00 |
| Beacon Hill Civic Association | None<br>Charitable Contribution Unrestricted | Public Charity | 500 00 |
| The Huntington Theatre Company | None<br>Charitable Contribution Unrestricted | Public Charity | 3,000 00 |
| Beacon Hill Circle for Charity | None<br>Charitable Contribution Unrestricted | Public Charity | 125 00 |
| Associates of the Boston Public Library | None<br>Charitable Contribution Unrestricted | Public Charity | 1,000 00 |
| Historic New England | None<br>Charitable Contribution Unrestricted | Public Charity | 3,000 00 |
| Huntington Theatre Company | None<br>Charitable Contribution Unrestricted | Public Charity | 7,000 00 |
| Isabella Stewart Gardner Museum | None<br>Charitable Contribution Unrestricted | Public Charity | 5,000 00 |
| Museum of Fine Arts | None<br>Charitable Contribution Unrestricted | Public Charity | 50,000 00 |
| New England Aquarium | None<br>Charitable Contribution Unrestricted | Public Charity | 5,000 00 |
| Peabody Essex Museum | None<br>Charitable Contribution Unrestricted | Public Charity | 5,000 00 |
| USS Constitution Museum | None<br>Charitable Contribution Unrestricted | Public Charity | 5,000 00 |
| WGBH | None<br>Charitable Contribution Unrestricted | Public Charity | 50,000 00 |
| Boston Athenaeum | None<br>Charitable Contribution Unrestricted | Public Charity | 5,000 00 |
| New England Historic Genealogical Society | None<br>Charitable Contribution Unrestricted | Public Charity | 200,000 00 |
| Harvard Kennedy School | None<br>Charitable Contribution Dean's Council | Public Charity | 25,000 00 |
| Harvard Catholic Center | None<br>Charitable Contribution Unrestricted | Public Charity | 1,000 00 |
| Harvard University | None<br>Kennedy School - Graduate Fellowship | Public Charity | 50,000 00 |
| Harvard Business School | None<br>Charitable Contribution Scholarship Fund | Public Charity | 100,000 00 |
| Harvard College | None<br>Keane Family Scholarship Fund | Public Charity | 250,000.00 |

| | | | |
|---|---|---|---|
| Beth Israel Deaconess Medical Center | None<br>Patient Site/Open Notes | Public Chanty | 50,000 00 |
| Beth Israel Deaconess Medical Center | None<br>Annual Fund | Public Chanty | 25,000 00 |
| Massachusetts General Hospital | None<br>Stroke Genetics Research Project | Public Chanty | 100,000 00 |
| Dartmouth College | None<br>Chantable Contnbution Unrestncted | Public Chanty | 50,000 00 |
| New England Histonc Genealogical Society | None<br>Chantable Contnbution Unrestncted | Public Chanty | 1,000 00 |
| Sears House Association, Inc | None<br>Chantable Contnbution Unrestncted | Public Chanty | 1,500 00 |
| Fnends of the Public Garden | None<br>Chantable Contnbution Unrestncted | Public Chanty | 1,000 00 |
| Pioneer Institute | None<br>Chantable Contnbution Unrestncted | Public Chanty | 5,000 00 |
| St Anthony's Shrine | None<br>Chantable Contnbution Unrestncted | Public Chanty | 10,000 00 |
| St Anthony's Pansh | None<br>Chantable Contnbution Unrestncted | Public Chanty | 10,000 00 |
| Tnform Camphill Community | None<br>Chantable Contnbution Unrestncted | Public Chanty | 5,000 00 |
| Boys & Girls Club of Boston | None<br>Chantable Contnbution Unrestncted | Public Chanty | 1,000 00 |
| Vail Mountain School | None<br>Chantable Contnbution Unrestncted | Public Chanty | 10,000 00 |
| Boys & Girls Club of Boston | None<br>Chantable Contnbution Unrestncted | Public Chanty | 25,000 00 |
| Ski & Snowboard Club Vail | None<br>Chantable Contnbution Unrestncted | Public Chanty | 15,000 00 |
| Boys & Girls Club of Boston | None<br>Chantable Contnbution Unrestncted | Public Chanty | 75,000 00 |
| Inner-City Scholarship Fund | None<br>Chantable Contnbution Unrestncted | Public Chanty | 5,000 00 |
| Max Warburg Courage Curnculum, Inc | None<br>Chantable Contnbution Unrestncted | Public Chanty | 5,000 00 |
| Vail Mountain School | None<br>Chantable Contnbution Capital Campaign | Public Chanty | 20,000 00 |
| | | **Total** | **1,181,125.00** |

**Keane Family Foundation, Inc.**
**2014 Form 990-PF, Part II**
**Balance Sheet**
**Investment Summary**
**December 31, 2014**

| | Fidelity / Boston Priv | GS #45139 | MNGD GS #45141 | Delaware GS #45531 | CONC Value GS #45557 | Gov / Corp FI GS #49503 | Total | |
|---|---|---|---|---|---|---|---|---|
| Temp Cash Inv | 130,703 | 88,244 | 2,026 | | | | 220,973 | |
| US/Government Bonds- FMV | | | | | | 2,129,783 | 2,129,783 | |
| US/Government Bonds- Cost | | | | | | 2,140,810 | 2,140,810 | |
| Foreign Bonds - FMV | | | 1,912,184 | | | | 1,912,184 | |
| Foreign Bonds - Cost | | | 1,990,162 | | | | 1,990,162 | |
| Corporate Bonds - FMV | | 1,467 | | | | 6,913,422 | 6,914,889 | |
| Corporate Bonds - Cost | | 1,158 | | | | 6,664,186 | 6,665,344 | |
| Corporate Stocks - FMV | | | 4,935,421 | 2,397,369 | 2,449,942 | | 9,782,732 | |
| Corporate Stocks - Cost | | | 3,888,349 | 1,584,818 | 2,110,461 | | 7,583,628 | |
| Other Investments - FMV | | 719,158 | 2,376,702 | | | | 3,095,860 | |
| Other Investments - Cost | | 683,621 | 1,963,358 | | | | 2,646,979 | |
| Total FMV | 130,703 | 808,869 | 9,226,333 | 2,397,369 | 2,449,942 | 9,043,205 | 24,056,421 | |
| Total Cost | 130,703 | 773,023 | 7,843,895 | 1,584,818 | 2,110,461 | 8,804,996 | 21,247,896 | |
| Unrealized Gain/Loss | - | 35,846 | 1,382,438 | 812,551 | 339,481 | 238,209 | 2,808,525 | |
| | | | | | | Total Investments FMV | | 23,835,448 |
| | | | | | | Total Investments Cost | | 21,026,923 |

**SEE ATTACHED STATEMENTS WITH DETAILED LISTING OF INVESTMENTS BY ACCOUNT**

# THE KEANE FAMILY FOUNDATION CLIENT

Holdings

Period Ended December 31, 2014

## CASH, DEPOSITS & MONEY MARKET FUNDS

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **DEPOSITS & MONEY MARKET FUNDS** | | | | | | | | |
| MONEY MARKET FUNDS | | | | | | | | |
| GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa | 88,243 970 | 1 0000 | 88,243 97 | 1 0000 | 88,243 97 | 0 00 | 0 0100 | 8 82 |

## FIXED INCOME

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| CORPORATE BONDS | | | | | | | | |
| WASTE MANAGEMENT INTERNATIONAL CPN 7 7500 | 1,000 00 | 145 7120 | 1,457 12 | 115 8407 | 1,158 41 | 298 71 | 6 2431 | 77 50 |
| 05/15/2032 S&P A- /Moody's Baa2 | | | 9 90 | 120 09 | 1,200 87 | 256 25 | | |
| **OTHER FIXED INCOME** | | | | | | | | |
| CORPORATE BONDS | | | | | | | | |
| SAMI 19982 B 02/01/1998 05/02/2030 2 200% 05/02/2030 | 11,000 00 | No Price [38] | 0 00 | | No Cost | | | 0 33 |
| FACTOR 0 00135709 01/01/2015 Not Rated | 14 96 | | | | | | | |
| **TOTAL FIXED INCOME** | | | **1,457.12** | | **1,158.41** | **298.71** | **6.2431** | **77.83** |
| | | | **9.90** | | **1,200.87** | **256.25** | | |

## ALTERNATIVE INVESTMENTS

| | Commitment | Total Contributions/ Distributions | Remaining Commitment [24] | Net Contributions/ (Distributions) Since Inception | Latest Cap Statement Value/ [25] Statement Date | Net Contributions/ (Distributions) Since Last Cap Statement | Computed Market Value [26] | Economic Gain (Loss) [22] |
|---|---|---|---|---|---|---|---|---|
| **PRIVATE EQUITY** | | | | | | | | |
| MOUNT KELLETT CAPITAL PARTNERS II ACCESS LP | 1,000,000 00 | 1,159,090 81 | 82,704 21 | 490,722 47 | 661,550 00 | (137,037 04) | 524,512 96 | 33,790 49 |
| Closing Date Feb,2011[10] | | 668,368 34 | | | Sep 30, 2014 | | | |

[10] Contributions may include fees Distributions may be net of fees

[22] Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis

[24] Distributions of this investment may be subject to reinvestment or recall by the partnership before or after the expiration of the commitment period

[26] This is based on Net Asset Value (NAV) when available, or estimated as total capital balance, when available, plus any contributions and minus any distributions since the last capital statement

[38] This price has been marked to zero either because a valid price cannot be obtained or the last known price is stale beyond the expected pricing frequency (generally defined as more than 30 days old)

## ALTERNATIVE INVESTMENTS (Continued)

| | Commitment | Total Contributions/ Distributions | Remaining Commitment [24] | Net Contributions/ (Distributions) Since Inception | Latest Cap Statement Value/ [25] Statement Date | Net Contributions/ (Distributions) Since Last Cap Statement | Computed Market Value [26] | Economic Gain (Loss) [22] |
|---|---|---|---|---|---|---|---|---|
| **OTHER ALTERNATIVE INVESTMENTS** | | | | | | | | |
| BROAD STREET REAL ESTATE CREDIT PARTNERS II | 1,000,000.00 | 200,000 00 | 800,000 00 | 192,898 00 | 87,254 00 | 107,391 00 | 194,645 00 | 1,747 00 |
| Closing Date Sep,2013 | | 7,102 00 | | | Sep 30, 2014 | | | |
| **TOTAL ALTERNATIVE INVESTMENTS** | **2,000,000.00** | **1,359,090.81** | **882,704.21** | | | | **719,157.96** | **35,537.49** |
| | | **675,470.34** | | | | | | |

| | Market Value | Adjusted Cost / [6] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **808,868.95** | **773,022.85** | **35,836.20** | **86.65** |
| | | **1,448,535.65** | **35,793.74** | |

Unless otherwise noted Goldman Sachs has furnished the information appearing in this section for your convenience only Hedge funds are privately placed This information may have been obtained from other persons some of whom may be Goldman Sachs affiliates Please note that in the case of information supplied by other persons (1) Goldman Sachs does not independently verify such information for accuracy or completeness and (2) Goldman Sachs has been informed that in certain cases such information may reflect unaudited figures, which may be inaccurate or incomplete, and that actual figures may differ Certain hedge funds may appear in the Alternative Investments section as Other Investments Please refer to the relevant product's periodic financial statements and capital analyses for official accounting information

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization. Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[22] Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis

[25] This is based on Net Asset Value (NAV), when available, or estimated as total capital balance when available plus any contributions and minus any distributions since the last capital statement

Period Ended December 31, 2014

## CASH, DEPOSITS & MONEY MARKET FUNDS

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **DEPOSITS & MONEY MARKET FUNDS** | | | | | | | | |
| MONEY MARKET FUNDS | | | | | | | | |
| GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa | 2,025 640 | 1 0000 | 2,025 64 | 1 0000 | 2,025 64 | 0 00 | 0 0100 | 0 20 |

## FIXED INCOME

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) |
|---|---|---|---|---|---|---|
| **OTHER FIXED INCOME** | | | | | | |
| NUVEEN SYMPHONY FLOATING RATE INCOME FUND | | | | | | |
| NUVEEN SYMPHONY FLOATING RATE INCOME FUND I | 49,629 690 | 20 3500 | 1,009,964 19 | | | (5,614 12) |

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized / Economic Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| GS LOCAL EMERGING MARKETS DEBT FUND | | | | | | | | |
| GS LOCAL EMERGING MARKETS DEBT FUND INSTITUTIONAL SHARES | 27,084 230 | 7 4000 | 200,423 30 | 9 7560 | 264,233 70 | (63,810 40)<br>(43,882 33) | | 12,350 41 |
| GS STRATEGIC INCOME FUND | | | | | | | | |
| GS STRATEGIC INCOME FUND INSTITUTIONAL SHARES | 68,268 095 | 10 2800 | 701,796 02 | 10 4053 | 710,349 55 | (8,553 54)<br>57,003 97 | | 19,661 21 |
| **TOTAL OTHER FIXED INCOME** | | | **1,912,183.51** | | **1,990,161.56** | **(77,978.06)** | | **74,593.89** |

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) |
|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | |
| LATEEF· DYNAMIC EQUITY LLC | | | | | | |
| LATEEF· DYNAMIC EQUITY SERIES OFFSHORE L P | 10,000 00 | 164 6800 | 1,646,800 00 | 1,000,000 00<br>0 00 | | 646,800 00 |

# THE KEANE FAMILY FOUNDATION MNGD

Holdings (Continued)

Period Ended December 31, 2014

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized / Economic Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| GS REAL ESTATE SECURITIES FUND | | | | | | | | |
| GS REAL ESTATE SECURITIES FUND INSTITUTIONAL SHARES (GREIX) | 6,889 490 | 20 1800 | 139,029 91 | 19 9753 | 137,619 38 | 1,410 53<br>39,029 82 | 1 5808 | 2,197 75 |
| **TOTAL US EQUITY** | | | **1,785,829.91** | | **1,137,619.38** | **648,210.53** | **1.5808** | **2,197.75** |

| | Quantity | Market Price | Market Value / Accrued Income | Contributions/ Distributions To Date | Net Contribution To Date | Economic Gain (Loss) |
|---|---|---|---|---|---|---|
| **GLOBAL EQUITY** | | | | | | |
| DYNAMIC EQUITY (GLOBAL EQUITY) MANAGERS PORTFOLIO 5 (SERIES | | | | | | |
| DYNAMIC EQUITY (GLOBAL EQUITY) MANAGERS PORTFOLIO 5 OFFSHORE L.P. | 9,491 26 | 134 0070 | 1,271,896 35 | 1,000,000 00<br>0 00 | | 271,896 35 |
| **NON-US EQUITY** | | | | | | |
| VONTOBEL NON-US EQUITY LLC | | | | | | |
| VONTOBEL NON-US EQUITY OFFSHORE L P | 13,718 05 | 119 8550 | 1,644,177 96 | 1,500,000 00<br>0 00 | | 144,177 96 |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| FTSE EMERGING MARKETS INDEX FUND (VANGUARD) | | | | | | | | |
| VANGUARD FTSE EMERGING MKTS ETF (VWO) | 5,835 00 | 40 0200 | 233,516 70 | 42 9700 | 250,729 95 | (17,213 25) | 2 8561 | 6,669 41 |
| **TOTAL NON-US EQUITY** | | | **1,877,694.66** | | **1,750,729.95** | **126,964.71** | **2.8561** | **6,669.41** |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized / Economic Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **TOTAL PUBLIC EQUITY** | | | **4,935,420.92** | | **3,888,349.33** | **1,047,071.59** | **2.3801** | **8,867.15** |

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## ALTERNATIVE INVESTMENTS

| | Market Value | Contributions To Date | Distributions To Date | Economic Gain (Loss) |
|---|---|---|---|---|
| **HEDGE FUNDS** | | | | |
| HEDGE FUND MANAGERS (DIVERSIFIED) | | | | |
| HEDGE FUND MANAGERS (DIVERSIFIED) (IRELAND) TRANCHE A SERIES 1 | 2,007,719.70 | 2,000,000 00 | 0 00 | 7,719 70 |
| HEDGE FUND MANAGERS SUB-FUND TRANCHE A SERIES 1 | 44,098 29 | 0 00 | 51,108 44 | 95,206.73 |
| TOTAL HEDGE FUND MANAGERS (DIVERSIFIED) | 2,051,817 99 | 2,000,000 00 | 51,108 44 | 102,926 43 |

| | Commitment | Total Contributions/ Distributions | Remaining Commitment[24] | Net Contributions/ (Distributions) Since Inception | Latest Cap Statement Value/[25] Statement Date | Net Contributions/ (Distributions) Since Last Cap Statement | Computed Market Value[26] | Economic Gain (Loss)[22] |
|---|---|---|---|---|---|---|---|---|
| **PRIVATE EQUITY** | | | | | | | | |
| GS MEZZANINE PARTNERS V LP | 1,500,000 00 | 1,120,580 00 | 379,420 00 | (36,642 00) | 405,070 00 | (80,186 00) | 324,884 00 | 361,526 00 |
| Closing Date:Oct,2007[10] | | 1,157,222 00 | | | Sep 30, 2014 | | | |
| **TOTAL ALTERNATIVE INVESTMENTS** | **1,500,000.00** | **3,120,580.00**<br>**1,208,330.44** | **379,420.00** | 1,963,358 | | | **2,376,701.99** | **464,452.43** |

| | Market Value | Adjusted Cost /[8] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **9,226,332.06** | **7,792,786.09**<br>**9,001,116.53** | **1,433,545.96** | **83,461.25** |

Unless otherwise noted, Goldman Sachs has furnished the information appearing in this section for your convenience only Hedge funds are privately placed This information may have been obtained from other persons, some of whom may be Goldman Sachs affiliates Please note that in the case of information supplied by other persons (1) Goldman Sachs does not independently verify such information for accuracy or completeness, and (2) Goldman Sachs has been informed that in certain cases such information may reflect unaudited figures, which may be inaccurate or incomplete, and that actual figures may differ Certain hedge funds may appear in the Alternative Investments section as Other Investments Please refer to the relevant product's periodic financial statements and capital analyses for official accounting information

The calculations noted above (other than contributions and distributions since the last capital statement) are taken from the applicable partnership capital value statement as provided by the fund administrator A partnership capital value statement is available upon request from your Private Wealth Advisor Tax information regarding these investments, including allocations of income and realized and unrealized gains and losses will be available through a Schedule K-1 which will be mailed to you after year end Please be advised that the delivery of K-1s is subject to the reporting of the particular underlying partnerships and that the delivery of particular K-1s may be delayed, requiring you to file an extension Your private equity assets are held away from Goldman Sachs and are not covered by the Securities Investor Protection Corporation ("SIPC") or any supplemental insurance provided by Goldman Sachs for its clients holdings Please contact your Private Wealth Advisor if you have any questions

[8] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

[10] Contributions may include fees Distributions may be net of fees

[22] Estimated using Net Asset Value (NAV), when available, or estimated as Computed Market Value minus adjusted Cost Basis

[26] This is based on Net Asset Value (NAV), when available, or estimated as total capital balance, when available plus any contributions and minus any distributions since the last capital statement

# THE KEANE FAMILY FOUNDATION DELWARE
Holdings

Period Ended December 31, 2014

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| DELAWARE LARGE CAP GROWTH | | | | | | | | |
| U S DOLLAR | 1,296 60 | 1 0000 | 1,296 60 | | 1,296 60 | | | |
| GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES (FEDXX) | 48,941 140 | 1 0000 | 48,941 14 | 1 0000 | 48,941 14 | | 0 0100 | 4 89 |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| ADOBE SYSTEMS INC CMN (ADBE) | 655 00 | 72 7000 | 47,618 50 | 40 0230 | 26,215 05 | 21,403 45 | | |
| ALLERGAN INC CMN (AGN) | 653 00 | 212 5900 | 138,821 27 | 81 8096 | 53,421 64 | 85,399 63 | 0 0941 | 130 60 |
| CELGENE CORPORATION CMN (CELG) | 1,198 00 | 111 8600 | 134,008 28 | 50 0419 | 59,950 18 | 74,058 10 | | |
| CROWN CASTLE INTL CORP CMN (CCI) | 1,345 00 | 78 7000 | 105,851 50 | 53 1576 | 71,496 98 | 34,354 52 | | |
| DISCOVERY COMMUNICATIONS, INC. CMN (DISCK) | 1,856 00 | 33 7200 | 62,584 32 | 40 3788 | 74,943 01 | (12,358 69) | | |
| EBAY INC CMN (EBAY) | 2,010 00 | 56 1200 | 112,801 20 | 55 8584 | 112,275 36 | 525 84 | | |
| ELECTRONIC ARTS CMN (EA) | 1,107 00 | 47 0150 | 52,045 61 | 44 7316 | 49,517 84 | 2,527 77 | | |
| EOG RESOURCES INC CMN (EOG) | 1,037 00 | 92 0700 | 95,476 59 | 52 7854 | 54,738 50 | 40,738 09 | 0 7277 | 694 79 |
| EQUINIX INC CMN (EQIX) | 431 00 | 226 7300 | 97,720 63 | 188 2798 | 81,148 59 | 16,572 04 | | |
| GOOGLE INC CMN CLASS A (GOOGL) | 88 00 | 530 6600 | 46,698 08 | 316 7586 | 27,874 76 | 18,823 32 | | |
| GOOGLE INC CMN CLASS C (GOOG) | 88 00 | 526 4000 | 46,323 20 | 315 7465 | 27,785 69 | 18,537 51 | | |
| INTERCONTINENTAL EXCHANGE INC CMN (ICE) | 298 00 | 219 2900 | 65,348 42 | 125 0098 | 37,252 91 | 28,095 51 | | |
| INTUIT INC CMN (INTU) | 797 00 | 92 1900 | 73,475 43 | 51 9160 | 41,377 08 | 32,098 35 | 1 0847 | 797 00 |
| KINDER MORGAN INC CMN CLASS P (KMI) | 1,222 00 | 42 3100 | 51,702 82 | 41 2332 | 50,387 00 | 1,315 82 | 4 1598 | 2,150 72 |
| L BRANDS, INC. CMN (LB) | 975.00 | 86.5500 | 84,386 25 | 56 2600 | 54,853 46 | 29,532 79 | 1 5713 | 1,326 00 |
| LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A (QVCA) | 3,388 00 | 29 4200 | 99,674 96 | 17 1646 | 58,153 55 | 41,521 41 | | |
| MASTERCARD INCORPORATED CMN CLASS A (MA) | 1,317 00 | 86 1600 | 113,472 72 | 30 8291 | 40,601 89 | 72,870 83 | 0 7428 | 842 88 |
| MICROSOFT CORPORATION CMN (MSFT) | 2,378 00 | 46 4500 | 110,458 10 | 37 0411 | 88,083 84 | 22,374 26 | 2 6695 | 2,948 72 |
| NIKE CLASS-B CMN CLASS B (NKE) | 578 00 | 96 1500 | 55,574 70<br>161 84 | 47 6742 | 27,555 71 | 28,018 99 | 1 1648 | 647 36 |
| PRICELINE GROUP INC/THE CMN (PCLN) | 80 00 | 1,140 2100 | 91,216 80 | 522 9485 | 41,835 88 | 49,380 92 | | |

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| DELAWARE LARGE CAP GROWTH | | | | | | | | |
| QUALCOMM INC CMN (QCOM) | 1,602 00 | 74 3300 | 119,076 66 | 54 7445 | 87,700 66 | 31,376 00 | 2 2602 | 2,691 36 |
| SALLY BEAUTY HOLDINGS, INC CMN (SBH) | 1,155 00 | 30 7400 | 35,504 70 | 25 8514 | 29,858 39 | 5,646 31 | | |
| THE WILLIAMS COMPANIES, INC CMN (WMB) | 1,372 00 | 44 9400 | 61,657 68 | 49 8652 | 68,415 05 | (6,757 37) | 5 0734 | 3,128 16 |
| VISA INC CMN CLASS A (V) | 498 00 | 262 2000 | 130,575 60 | 96 6797 | 48,146 49 | 82,429 11 | 0 7323 | 956 16 |
| WALGREENS BOOTS ALLIANCE, INC CMN (WBA) | 1,620 00 | 76 2000 | 123,444 00 | 39 8163 | 64,502 37 | 58,941 63 | | |
| YELP INC CMN (YELP) | 389 00 | 54 7300 | 21,289 97 | 77 7136 | 30,230 59 | (8,940 62) | | |
| BAIDU, INC SPONSORED ADR CMN (BIDU) | 288 00 | 227 9700 | 65,655 36 | 195 0952 | 56,187 41 | 9,467 95 | | |
| NOVO-NORDISK A/S ADR ADR CMN (NVO) | 1,557 00 | 42 3200 | 65,892 24 | 21 9570 | 34,187 01 | 31,705 23 | 1 4322 | 943 73 |
| PERRIGO CO PLC CMN (PRGO) | 231 00 | 167 1600 | 38,613 96 | 155 3396 | 35,883 45 | 2,730 51 | 0 2513 | 97 02 |
| TOTAL DELAWARE LARGE CAP GROWTH | | | 2,397,207 29<br>161 84 | | 1,584,818 08 | 812,389 21 | 1 4611 | 17,359 40 |

| | Market Value | Adjusted Cost / [6] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **2,397,369.13** | **1,584,818.08** | **812,389.21** | **17,359.40** |

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

# THE KEANE FAMILY FOUNDATION CONC VALUE

Holdings

Period Ended December 31, 2014

## PUBLIC EQUITY

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| GSAM LARGE CAP VALUE (CONCENTRATED) | | | | | | | | |
| U S DOLLAR | 392 40 | 1 0000 | 392 40 | | 392 40 | | | |
| GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES (FEDXX) | 69,697 590 | 1 0000 | 69,697 59 | 1 0000 | 69,697 59 | | 0 0100 | 6 97 |

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| AMERICAN INTL GROUP, INC CMN (AIG) | 1,773 00 | 56 0100 | 99,305 73 | 42 3332 | 75,056 84 | 24,248 89 | 0 8927 | 886 50 |
| BANK OF AMERICA CORP CMN (BAC) | 7,358 00 | 17 8900 | 131,634 62 | 10 8670 | 79,959 49 | 51,675 13 | 1 1179 | 1,471 60 |
| BOEING COMPANY CMN (BA) | 574 00 | 129 9800 | 74,608 52 | 101 8060 | 58,436 64 | 16,171 88 | 2 8004 | 2,089 36 |
| CBS CORPORATION CMN CLASS B (CBS) | 1,806 00 | 55 3400 | 99,944 04<br>248 10 | 56 2035 | 101,503 54 | (1,559 50) | 1 0842 | 1,083 60 |
| CISCO SYSTEMS, INC CMN (CSCO) | 2,068 00 | 27 8150 | 57,521 42 | 25 0943 | 51,895 04 | 5,626 38 | 2 7323 | 1,571 68 |
| CONAGRA INC CMN (CAG) | 2,731 00 | 36 2800 | 99,080 68 | 30 3955 | 83,010 10 | 16,070 58 | 2 7563 | 2,731 00 |
| DEVON ENERGY CORPORATION (NEW) CMN (DVN) | 1,381 00 | 61 2100 | 84,531 01 | 61 3946 | 84,785 95 | (254 94) | 1 5684 | 1,325 76 |
| EBAY INC CMN (EBAY) | 1,073 00 | 56 1200 | 60,216 76 | 53 6261 | 57,540 85 | 2,675 91 | | |
| EMC CORPORATION MASS CMN (EMC) | 4,059 00 | 29 7400 | 120,714 66<br>466 79 | 25 3422 | 102,863 99 | 17,850 67 | 1 5467 | 1,867 14 |
| FIRSTENERGY CORP CMN (FE) | 2,332 00 | 38 9900 | 90,924 68 | 31 9381 | 74,479 57 | 16,445 11 | 3 6933 | 3,358 08 |
| GAP INC CMN (GPS) | 2,618 00 | 42 1100 | 110,243 98 | 41 1490 | 107,728 09 | 2,515 89 | 2 0898 | 2,303 84 |
| GENERAL ELECTRIC CO CMN (GE) | 5,412 00 | 25 2700 | 136,761 24<br>1,244 76 | 20 3114 | 109,925 39 | 26,835 85 | 3 6407 | 4,979 04 |
| GENERAL MOTORS COMPANY CMN (GM) | 2,222 00 | 34 9100 | 77,570 02 | 33 2075 | 73,787 11 | 3,782 91 | 3 4374 | 2,666 40 |
| GOOGLE INC CMN CLASS A (GOOGL) | 137 00 | 530 6600 | 72,700 42 | 537 2117 | 73,598 00 | (897 58) | | |
| HARTFORD FINANCIAL SRVCS GROUP CMN (HIG) | 1,175 00 | 41 6900 | 48,985 75<br>260 10 | 29 7290 | 34,931 63 | 14,054 12 | 1 7270 | 846 00 |
| HERTZ GLOBAL HOLDINGS, INC CMN (HTZ) | 1,475 00 | 24 9400 | 36,786 50 | 23 7806 | 35,076 42 | 1,710 08 | | |
| JPMORGAN CHASE & CO CMN (JPM) | 2,075 00 | 62 5800 | 129,853 50 | 41 0115 | 85,098 88 | 44,754 62 | 2 5567 | 3,320 00 |
| MEDTRONIC INC CMN (MDT) | 1,598 00 | 72 2000 | 115,375 60<br>487 39 | 64 5459 | 103,144 35 | 12,231 25 | 1 6898 | 1,949 56 |
| MORGAN STANLEY CMN (MS) | 1,922 00 | 38 8000 | 74,573 60 | 30 6667 | 58,941 34 | 15,632 26 | 1 0309 | 768 80 |
| MYLAN INC CMN (MYL) | 1,873 00 | 56 3700 | 105,581 01 | 48 3214 | 90,506 02 | 15,074 99 | | |
| PFIZER INC CMN (PFE) | 3,853 00 | 31 1500 | 120,020 95 | 29 5719 | 113,940 55 | 6,080 40 | 3 5955 | 4,315 36 |

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# THE KEANE FAMILY FOUNDATION CONC VALUE

Holdings (Continued)

Period Ended December 31, 2014

## PUBLIC EQUITY (Continued)

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Cost Basis | Unrealized Gain (Loss) | Dividend Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **US EQUITY** | | | | | | | | |
| GSAM LARGE CAP VALUE (CONCENTRATED) | | | | | | | | |
| PRUDENTIAL FINANCIAL INC CMN (PRU) | 1,031 00 | 90 4600 | 93,264 26 | 54 2835 | 55,966 30 | 37,297 96 | 2 5647 | 2,391 92 |
| SOUTHWESTERN ENERGY CO CMN (SWN) | 3,453 00 | 27 2900 | 94,232 37 | 37 3631 | 129,014 86 | (34,782 49) | | |
| SUNTRUST BANKS INC $1 00 PAR CMN (STI) | 1,650 00 | 41 9000 | 69,135 00 | 22 3568 | 36,888 79 | 32,246 21 | 1 9093 | 1,320 00 |
| VERIZON COMMUNICATIONS INC CMN (VZ) | 1,894 00 | 46 7800 | 88,601 32 | 47 6914 | 90,327 49 | (1,726 17) | 4 7029 | 4,166 80 |
| LIBERTY GLOBAL, PLC CMN CLASS C (LBTYK) | 1,759 00 | 48 3100 | 84,977 29 | 40 9115 | 71,963 28 | 13,014 01 | | |
| TOTAL GSAM LARGE CAP VALUE (CONCENTRATED) | | | 2,447,234 92<br>2,707 14 | | 2,110,460 50 | 336,774 42 | 2 2797 | 45,419 41 |

| | Market Value | Adjusted Cost / [6] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **2,449,942.06** | **2,110,460.50** | **336,774.42** | **45,419.41** |

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

## FIXED INCOME

| | Quantity | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity / Current Yield | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| GS GOVERNMENT/CORPORATE FIXED INCOME | | | | | | | | |
| GS FINANCIAL SQUARE FEDERAL FUND - FST SHARES Moody's Aaa | 30,713 600 | 1 0000 | 30,713 60 | 1 0000 | 30,713 60 | | 0 0100 | 3 07 |

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| AUTOZONE, INC 5 75% 01/15/2015 SR LIEN M-W+50 00BP | 500,000 00 | 100 1400 | 500,700 00 | 100 1202 | 500,600 81 | 99 19 | 2 7613 | 28,750 00 |
| S&P BBB /Moody's Baa1 | | | 13,256 94 | 111 50 | 557,500 00 | (56,800 00) | | |
| MORGAN STANLEY MTN 4 1% 01/26/2015 SER F SR LIEN | 250,000 00 | 100 1980 | 250,495 00 | 99 9780 | 249,945 00 | 550 00 | 4 1049 | 10,250 00 |
| S&P A- /Moody's Baa2 | | | 4,413 19 | | | | | |
| JP MORGAN CHASE BANK NA 6 0% 10/01/2017 BANK | 250,000 00 | 110 9880 | 277,470 00 | 105 7756 | 264,438 89 | 13,031 11 | 3 7568 | 15,000 00 |
| NOTES S&P A /Moody's A2 | | | 3,750 00 | 112 43 | 281,075 00 | (3,605 00) | | |
| MCDONALD'S CORPORATION MTN 5 8% 10/15/2017 SR LIEN | 400,000 00 | 111 7560 | 447,024 00 | 103 2358 | 412,943 19 | 34,080 81 | 4 5507 | 23,200 00 |
| M-W+20 00BP S&P A /Moody's A2 | | | 4,897 78 | 108 57 | 434,280 00 | 12,744 00 | | |
| PHILIP MORRIS INTERNATIONAL IN 5 65% 05/16/2018 USD | 350,000 00 | 112 6630 | 394,320 50 | 103 6364 | 362,727 38 | 31,593 12 | 4 4773 | 19,775 00 |
| SR LIEN S&P A /Moody's A2 | | | 2,471 88 | 107 94 | 377,793 50 | 16,527 00 | | |
| NOVARTIS SECURITIES INVESTMENT 5 125% 02/10/2019 M- | 400,000 00 | 112 2830 | 449,132 00 | 106 5679 | 426,271 71 | 22,860 29 | 3 3995 | 20,500 00 |
| W+40 00BP S&P AA- /Moody's Aa3 | | | 8,029 17 | 112 76 | 451,040 00 | (1,908 00) | | |
| THE BANK OF NOVA SCOTIA 2 05% 06/05/2019 USD SR LIEN | 100,000 00 | 99 6390 | 99,639 00 | 99 9720 | 99,972 00 | (333 00) | 2 0559 | 2,050 00 |
| S&P A+ /Moody's Aa2 | | | 148 06 | | | | | |
| OMNICOM GROUP INC 6 25% 07/15/2019 M-W+45 00BP | 300,000 00 | 115 9210 | 347,763 00 | 109 8286 | 329,485 66 | 18,277 34 | 3 8688 | 18,750 00 |
| S&P BBB+ /Moody's Baa1 | | | 8,645 83 | 116 22 | 348,645 00 | (882 00) | | |
| BLACKROCK, INC. 5.0% 12/10/2019 M-W+25.00BP S&P AA- | 275,000.00 | 113 0580 | 310,909 50 | 104 1273 | 286,350 03 | 24,559 47 | 4 0696 | 13,750 00 |
| /Moody's A1 | | | 802 08 | 107 22 | 294,855 00 | 16,054 50 | | |
| MEDCO HEALTH SOLUTIONS, INC 4 125% 09/15/2020 M- | 400,000 00 | 106 1860 | 424,744 00 | 106 4674 | 425,869 66 | (1,125 66) | 2 8870 | 16,500 00 |
| W+25 00BP S&P BBB+ /Moody's Baa3 | | | 4,858 33 | 108 93 | 435,700 00 | (10,956 00) | | |
| GENERAL ELECTRIC CAPITAL CORP MTN 5 3% 02/11/2021 | 400,000 00 | 114 1990 | 456,796 00 | 111 3421 | 445,368 57 | 11,427 43 | 3 2400 | 21,200 00 |
| USD SUB LIEN S&P AA /Moody's A2 | | | 8,244 44 | 114 48 | 457,936 00 | (1,140 00) | | |

## FIXED INCOME (Continued)

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| GS. GOVERNMENT/CORPORATE FIXED INCOME | | | | | | | | |
| HASBRO, INC 3 15% 05/15/2021 SR LIEN M-W+15 00BP Next Call Dt 03 15 21 S&P BBB /Moody's Baa2 | 175,000 00 | 99 8330 | 174,707 75<br>704 38 | 99 9060 | 174,835 50 | (127 75) | 3 1650 | 5,512 50 |
| BERKSHIRE HATHAWAY INC 3 75% 08/15/2021 USD SR LIEN S&P AA /Moody's Aa2 | 375,000 00 | 106 9710 | 401,141 25<br>5,312 50 | 105 5590<br>106 95 | 395,846 30<br>401,047 50 | 5,294 95<br>93 75 | 2 8240 | 14,062 50 |
| MARRIOTT INTERNATIONAL, INC 3 125% 10/15/2021 SER N SR LIEN M-W+20 00BP Next Call Dt 07 15 21 S&P BBB /Moody's Baa2 | 100,000 00 | 100 5700 | 100,570 00<br>711 81 | 99 3140 | 99,314 00 | 1,256 00 | 3 2350 | 3,125 00 |
| APPLE INC 2 4% 05/03/2023 SR LIEN M-W+15 00BP S&P AA+ /Moody's Aa1 | 450,000 00 | 97 2000 | 437,400 00<br>1,740 00 | 99 8670 | 449,401 50 | (12,001 50) | 2 4151 | 10,800 00 |
| KFW 5 125% 03/14/2016 SR LIEN S&P AAA /Moody's Aaa | 375,000 00 | 105 5060 | 395,647 50<br>5,712 24 | 102 4624<br>113 46 | 384,233 98<br>425,475 00 | 11,413 52<br>(29,827 50) | 3 0214 | 19,218 75 |
| ONTARIO (PROVINCE OF) 3 15% 12/15/2017 USD SR LIEN S&P AA- /Moody's Aa2 | 550,000 00 | 104 9440 | 577,192 00<br>770 00 | 102 9316<br>105 80 | 566,123 63<br>581,900 00 | 11,068 37<br>(4,708 00) | 2 1215 | 17,325 00 |
| FHLB 2 0% 09/14/2018 MS S&P AA+ /Moody's Aaa | 500,000 00 | 102 2270 | 511,135 00<br>2,972 22 | 101 8546<br>101 97 | 509,272 85<br>509,830 00 | 1,862 15<br>1,305 00 | 1 4831 | 10,000 00 |
| MANITOBA (PROVINCE OF) 1 75% 05/30/2019 USD SR LIEN S&P AA /Moody's Aa1 | 400,000 00 | 99 8880 | 399,552 00<br>583 33 | 99 8890 | 399,556 00 | (4 00) | 1 7669 | 7,000 00 |
| FHLB 2.875% 09/11/2020 MS S&P AA+ /Moody's Aaa | 650,000 00 | 104 6980 | 680,537 00<br>5,710 07 | 105 0383<br>105 53 | 682,748 98<br>685,919 00 | (2,211 98)<br>(5,382 00) | 1 9360 | 18,687 50 |
| U S TREASURY NOTE 1 750000% 05/15/2022 MN ON THE RUN Moody's Aaa | 450,000 00 | 98 3050 | 442,372 50<br>992 47 | 101 7143<br>102 24 | 457,714 37<br>460,089 84 | (15,341 87)<br>(17,717 34) | 1 5035 | 7,875 00 |
| U S TREASURY NOTE 1 625000% 11/15/2022 MN OFF THE RUN Moody's Aaa | 500,000 00 | 97 0080 | 485,040 00<br>1,023 97 | 98 2148 | 491,074 22 | (6,034 22) | 1 8242 | 8,125 00 |

## FIXED INCOME (Continued)

| | Quantity / Current Face | Market Price | Market Value / Accrued Income | Unit Cost | Adjusted Cost / Original Cost | Unrealized Gain (Loss) | Yield to Maturity in Percentage | Estimated Annual Income |
|---|---|---|---|---|---|---|---|---|
| **INVESTMENT GRADE FIXED INCOME** | | | | | | | | |
| GS GOVERNMENT/CORPORATE FIXED INCOME | | | | | | | | |
| AMERICA MOVIL SAB DE CV 5 0% 03/30/2020 USD S&P A- | 325,000 00 | 110 2740 | 358,390 50 | 110 8271 | 360,187 96 | (1,797 46) | 2 7680 | 16,250 00 |
| /Moody's A2 | | | 4,062 50 | 112 03 | 364,107 25 | (5,716 75) | | |
| TOTAL GS GOVERNMENT/CORPORATE FIXED INCOME | | | 8,953,392 10 | | 8,804,995 79 | 148,396 31 | 2 8145 | 327,709 32 |
| | | | 89,813 19 | | 9,062,004 91 | (108,612 81) | | |

| | Market Value | Adjusted Cost /[6] Original Cost | Unrealized Gain (Loss) | Estimated Annual Income |
|---|---|---|---|---|
| **TOTAL PORTFOLIO** | **9,043,205.29** | **8,804,995.79** | **148,396.31** | **327,709.32** |
| | | **9,062,004.91** | **(108,612.81)** | |

| | | |
|---|---|---|
| Government Bonds | 2,129,783 | 2,140,810 |
| Corporate Bonds | 6,913,422 | 6,664,186 |

[6] Original Cost is price paid by purchaser adjusted for annual original issue discount inclusions and/or return of capital adjustments, if applicable Adjusted Cost reflects adjustments to Original Cost for market discount accretion and/or premium amortization Adjusted cost for GMS Portfolios and Alternative Investments are determined by inception to date contributions minus inception to date distributions

**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | $100,000 AMERICA MOVIL SAB 5.0% DUE 3/30/2020 | P | 05/08/14 | 12/22/14 |
| b | $425,000 AT&T INC 5.8% DUE 2/15/2019 | P | 02/24/09 | 05/08/14 |
| c | 2.55 SHS GS LONG SHORT CREDIT STRAT INST | P | 03/31/14 | 04/03/14 |
| d | 63.19 SHS GS LONG SHORT CREDIT STRAT INST | P | 11/29/13 | 04/03/14 |
| e | SEE ATTACHED GS STMT #5557 | P | VARIOUS | VARIOUS |
| f | SEE ATTACHED GS STMT #5557 | P | VARIOUS | VARIOUS |
| g | SEE ATTACHED GS STMT #5531 | P | VARIOUS | VARIOUS |
| h | SEE ATTACHED GS STMT #5531 | P | VARIOUS | VARIOUS |
| i | SEE ATTACHED GS STMT #5531 | P | VARIOUS | VARIOUS |
| j | $750,000 ASIA 6.64% DUE 5/27/2014 - REDEMPTION | P | 12/10/09 | 05/27/14 |
| k | $450,000 FFCB 5.5% DUE 10/3/2014 - REDEMPTION | P | 09/15/08 | 10/03/14 |
| l | $500,000 IADB MTN 4.25% DUE 9/14/2015 | P | 08/04/09 | 12/22/14 |
| m | $250,000 JP MORGAN CHASE BANK 6% DUE 10/1/2017 | P | 06/17/11 | 12/22/14 |
| n | $100,000 OMNICOM GROUP INC DUE 7/15/2019 | P | 07/19/11 | 12/22/14 |
| o | 64.27 SHS GS LONG SHORT CREDIT STRAT INST | P | 01/31/14 | 04/03/14 |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 110,637. | | 110,854. | -217. |
| b | 493,710. | | 423,385. | 70,325. |
| c | 27. | | 27. | 0. |
| d | 664. | | 683. | -19. |
| e | 1,191,635. | | 1,045,814. | 145,821. |
| f | 768,510. | | 568,167. | 200,343. |
| g | 29,799. | | 32,946. | -3,147. |
| h | 429,325. | | 343,950. | 85,375. |
| i | 179,405. | | 103,705. | 75,700. |
| j | 750,000. | | 750,000. | 0. |
| k | 450,000. | | 450,000. | 0. |
| l | 514,135. | | 502,772. | 11,363. |
| m | 277,663. | | 264,503. | 13,160. |
| n | 115,576. | | 109,855. | 5,721. |
| o | 675. | | 670. | 5. |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | -217. |
| b | | | | 70,325. |
| c | | | | 0. |
| d | | | | -19. |
| e | | | | 145,821. |
| f | | | | 200,343. |
| g | | | | -3,147. |
| h | | | | 85,375. |
| i | | | | 75,700. |
| j | | | | 0. |
| k | | | | 0. |
| l | | | | 11,363. |
| m | | | | 13,160. |
| n | | | | 5,721. |
| o | | | | 5. |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | |

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**Part IV** Capital Gains and Losses for Tax on Investment Income

| | (a) List and describe the kind(s) of property sold, e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo., day, yr ) |
|---|---|---|---|---|
| 1a | 72.28 SHS GS LONG SHORT CREDIT STRAT INST | P | 02/28/14 | 04/03/14 |
| b | 89.22 SHS GS LONG SHORT CREDIT STRAT INST | P | 12/31/13 | 04/03/14 |
| c | 130.18 SHS GS LONG SHORT CREDIT STRAT INST | P | 12/12/13 | 04/03/14 |
| d | 745.58 SHS GS LONG SHORT CREDIT STRAT INST | P | 12/12/13 | 04/03/14 |
| e | 7,100 SHS ISHARES MSCI ITALY CAPPED ETF | P | 07/07/14 | 08/13/14 |
| f | 458 SHS SPDR EURO STOXX | P | 11/14/13 | 10/22/14 |
| g | 11,997 SHS SPDR EURO STOXX | P | 11/14/13 | 10/22/14 |
| h | $250,000 AB SVENSK EXPORTKREDIT MTN DUE 1/28/2014 | P | 01/18/11 | 01/28/14 |
| i | 23,674.24 SHS GS LONG SHORT CREDIT STRAT INST | P | 12/02/09 | 04/03/14 |
| j | 26,442.31 SHS GS STRATEGIC INC FD ISNT | P | 08/12/11 | 12/02/14 |
| k | SAMI 19982 B 2/1/1998 DUE 5/2/2030 2.189% | P | VARIOUS | VARIOUS |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 760. | | 760. | 0. |
| b | 938. | | 931. | 7. |
| c | 1,368. | | 1,358. | 10. |
| d | 7,836. | | 7,776. | 60. |
| e | 109,071. | | 123,900. | -14,829. |
| f | 16,840. | | 18,401. | -1,561. |
| g | 441,770. | | 482,007. | -40,237. |
| h | 250,000. | | 250,000. | 0. |
| i | 248,816. | | 250,000. | -1,184. |
| j | 275,000. | | 259,135. | 15,865. |
| k | 1. | | | 1. |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F M.V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | (l) Losses (from col (h)) Gains (excess of col (h) gain over col (k), but not less than "-0-") |
|---|---|---|---|---|
| a | | | | 0. |
| b | | | | 7. |
| c | | | | 10. |
| d | | | | 60. |
| e | | | | -14,829. |
| f | | | | -1,561. |
| g | | | | -40,237. |
| h | | | | 0. |
| i | | | | -1,184. |
| j | | | | 15,865. |
| k | | | | 1. |
| l | | | | |
| m | | | | |
| n | | | | |
| o | | | | |

| | | | |
|---|---|---|---|
| 2 | Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter "-0-" in Part I, line 7 } | 2 | 562,562. |
| 3 | Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 | 3 | N/A |

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05-01-14

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | 145,821 05 | Net Covered Long-Term Gains (Losses) | 200,343 19 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 0 00 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **145,821 05** | **Total Long-Term Gains (Losses)** | **200,343.19** | **Total Ordinary Gains (Losses)** | **0.00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| QUALCOMM INC CMN (747525103) | 11/07/2013 | 01/17/2014 | 210 00 | 15,690 89 | 0 00 | 14,001 24 | 1,689 65 |
| QUALCOMM INC CMN (747525103) | 05/22/2013 | 01/17/2014 | 362 00 | 27,048 10 | 0 00 | 23,796 62 | 3,251 48 |
| BP P L C SPONSORED ADR CMN (055622104) | 07/30/2013 | 01/22/2014 | 299 00 | 14,642 06 | 0 00 | 12,393 02 | 2,249 04 |
| BP P L C SPONSORED ADR CMN (055622104) | 03/26/2013 | 01/22/2014 | 899 00 | 44,024 12 | 0 00 | 37,912 70 | 6,111 42 |
| ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108) | 02/01/2013 | 01/28/2014 | 270 00 | 26,595 83 | 0 00 | 24,614 77 | 1,981 06 |
| ANHEUSER-BUSCH INBEV S A SPONSORED ADR CMN (03524A108) | 02/05/2013 | 01/28/2014 | 324 00 | 31,914 99 | 0 00 | 28,567 76 | 3,347 23 |
| PFIZER INC CMN (717081103) | 03/14/2013 | 01/31/2014 | 1,017 00 | 31,042 26 | 0 00 | 28,473 89 | 2,568 37 |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 02/01/2013 | 01/31/2014 | 42 00 | 3,353 93 | 0 00 | 1,916 11 | 1,437 82 |
| TOLL BROTHERS, INC CMN (889478103) | 05/09/2013 | 02/03/2014 | 362 00 | 13,135 90 | 0 00 | 13,210 99 | (75 09) |
| TOLL BROTHERS, INC CMN (889478103) | 05/22/2013 | 02/18/2014 | 105 00 | 3,910 39 | 0 00 | 4,041 48 | (131 09) |
| TOLL BROTHERS, INC CMN (889478103) | 05/09/2013 | 02/18/2014 | 162 00 | 6,033 17 | 0 00 | 5,912 10 | 121 07 |
| EASTMAN CHEM CO CMN (277432100) | 06/07/2013 | 02/20/2014 | 405 00 | 33,075 68 | 0 00 | 28,481 94 | 4,593 74 |
| WAL MART STORES INC CMN (931142103) | 03/15/2013 | 02/20/2014 | 116 00 | 8,492 10 | 0 00 | 8,491 52 | 0 58 |
| TEXTRON INC DEL CMN (883203101) | 09/26/2013 | 02/26/2014 | 604 00 | 23,574 97 | 0 00 | 16,812 61 | 6,762 36 |
| EXPEDIA, INC CMN (30212P303) | 12/19/2013 | 03/03/2014 | 293 00 | 22,342 35 | 0 00 | 19,587 09 | 2,755 26 |
| TOLL BROTHERS, INC CMN (889478103) | 05/22/2013 | 03/03/2014 | 174 00 | 6,757 08 | 0 00 | 6,697 30 | 59 78 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] *Sale Proceeds may have been adjusted by an option premium due to an option assignment.*

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| TOLL BROTHERS, INC CMN (889478103) | 12/16/2013 | 03/13/2014 | 127 00 | 4,690 20 | 0 00 | 4,203 33 | 486 87 |
| TOLL BROTHERS, INC CMN (889478103) | 05/22/2013 | 03/13/2014 | 162 00 | 5,982 77 | 0 00 | 6,235 42 | (252 65) |
| TOLL BROTHERS, INC CMN (889478103) | 09/26/2013 | 03/13/2014 | 548 00 | 20,238 01 | 0 00 | 18,206 77 | 2,031 24 |
| UNITEDHEALTH GROUP INCORPORATE CMN (91324P102) | 07/11/2013 | 03/19/2014 | 148 00 | 11,834 32 | 0 00 | 10,067 12 | 1,767 20 |
| LIBERTY GLOBAL, PLC CMN CLASS A (G5480U104) | 03/03/2014 | 03/21/2014 | 267 00 | 11,234 63 | 0 00 | 11,609 34 | (374 71) |
| LIBERTY GLOBAL, PLC CMN CLASS A (G5480U104) | 04/19/2013 | 03/21/2014 | 494 00 | 20,786 18 | 0 00 | 18,406 79 | 2,379 39 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 06/07/2013 | 04/03/2014 | 121 00 | 11,897 44 | 0 00 | 11,003 77 | 893 67 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 10/10/2013 | 04/03/2014 | 140 00 | 13,765 63 | 0 00 | 11,958 69 | 1,806 94 |
| TEXTRON INC DEL CMN (883203101) | 09/26/2013 | 04/03/2014 | 832 00 | 33,144 30 | 0 00 | 23,159 08 | 9,985 22 |
| UNITEDHEALTH GROUP INCORPORATE CMN (91324P102) | 11/01/2013 | 04/03/2014 | 184 00 | 15,073 36 | 0 00 | 12,535 59 | 2,537 77 |
| UNITEDHEALTH GROUP INCORPORATE CMN (91324P102) | 07/11/2013 | 04/03/2014 | 646 00 | 52,920 62 | 0 00 | 43,941 61 | 8,979 01 |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 06/07/2013 | 04/03/2014 | 104 00 | 7,129 54 | 0 00 | 8,327 94 | (1,198 40) |
| WAL MART STORES INC CMN (931142103) | 04/30/2013 | 04/03/2014 | 297 00 | 22,970 37 | 0 00 | 23,070 12 | (99 75) |
| WAL MART STORES INC CMN (931142103) | 06/07/2013 | 04/03/2014 | 358 00 | 27,688 20 | 0 00 | 27,412 88 | 275 32 |
| ALTERA CORP CMN (021441100) | 12/16/2013 | 04/09/2014 | 215 00 | 7,553 17 | 0 00 | 6,688 70 | 864 47 |
| ALTERA CORP CMN (021441100) | 04/30/2013 | 04/09/2014 | 591 00 | 20,762 43 | 0 00 | 18,756 69 | 2,005 74 |
| ELI LILLY & CO CMN (532457108) | 01/31/2014 | 04/22/2014 | 111 00 | 6,682 93 | 0 00 | 5,937 14 | 745 79 |
| ELI LILLY & CO CMN (532457108) | 04/03/2014 | 04/22/2014 | 204 00 | 12,282 14 | 0 00 | 12,019 08 | 263 06 |
| ELI LILLY & CO CMN (532457108) | 12/10/2013 | 04/22/2014 | 828 00 | 49,851 02 | 0 00 | 41,862 74 | 7,988 28 |
| KROGER COMPANY CMN (501044101) | 01/28/2014 | 05/05/2014 | 310 00 | 14,417 30 | 0 00 | 11,225 04 | 3,192 26 |
| APACHE CORP CMN (037411105) | 01/22/2014 | 06/24/2014 | 59 00 | 5,864 94 | 0 00 | 4,980 32 | 884 62 |
| EXPEDIA, INC CMN (30212P303) | 01/31/2014 | 06/24/2014 | 110 00 | 8,411 26 | 0 00 | 7,170 44 | 1,240 82 |
| EXPEDIA, INC. CMN (30212P303) | 01/17/2014 | 06/24/2014 | 136 00 | 10,399 38 | 0.00 | 9,758.28 | 641.10 |
| EXPEDIA, INC CMN (30212P303) | 04/03/2014 | 06/24/2014 | 145 00 | 11,087 58 | 0 00 | 10,683 95 | 403 63 |
| EXPEDIA, INC CMN (30212P303) | 12/19/2013 | 06/24/2014 | 180 00 | 13,763 88 | 0 00 | 12,033 02 | 1,730 86 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| TRIUMPH GROUP INC CMN (896818101) | 02/20/2014 | 06/24/2014 | 373 00 | 26,444 11 | 0 00 | 24,130 21 | 2,313 90 |
| EXPEDIA, INC CMN (30212P303) | 04/03/2014 | 06/25/2014 | 189 00 | 14,426 68 | 0 00 | 13,925 97 | 500 71 |
| TRIUMPH GROUP INC CMN (896818101) | 04/04/2014 | 06/25/2014 | 77 00 | 5,375 41 | 0 00 | 5,139 56 | 235 85 |
| TRIUMPH GROUP INC CMN (896818101) | 03/03/2014 | 06/25/2014 | 86 00 | 6,003 70 | 0 00 | 5,570 43 | 433 27 |
| TRIUMPH GROUP INC CMN (896818101) | 02/20/2014 | 06/25/2014 | 212 00 | 14,799 83 | 0 00 | 13,714 76 | 1,085 07 |
| TRIUMPH GROUP INC CMN (896818101) | 04/03/2014 | 06/25/2014 | 268 00 | 18,709 22 | 0 00 | 17,869 43 | 839 79 |
| KROGER COMPANY CMN (501044101) | 04/03/2014 | 07/01/2014 | 2 00 | 99 09 | 0 00 | 89 84 | 9 25 |
| KROGER COMPANY CMN (501044101) | 01/28/2014 | 07/01/2014 | 867 00 | 42,956 13 | 0 00 | 31,393 91 | 11,562 22 |
| CELGENE CORPORATION CMN (151020104) | 04/22/2014 | 07/02/2014 | 275 00 | 24,611 39 | 0 00 | 20,202 85 | 4,408 54 |
| CITIGROUP INC CMN (172967424) | 09/26/2013 | 07/02/2014 | 226 00 | 10,859 30 | 0 00 | 11,073 30 | (214 00) |
| CITIGROUP INC CMN (172967424) | 04/03/2014 | 07/02/2014 | 226 00 | 10,859 30 | 0 00 | 10,749 05 | 110 25 |
| KROGER COMPANY CMN (501044101) | 04/03/2014 | 07/02/2014 | 321 00 | 15,875 99 | 0 00 | 14,419 86 | 1,456 13 |
| APACHE CORP CMN (037411105) | 01/22/2014 | 08/14/2014 | 480 00 | 46,976 01 | 0 00 | 40,517 85 | 6,458 16 |
| APACHE CORP CMN (037411105) | 01/22/2014 | 08/15/2014 | 107 00 | 10,543 68 | 0 00 | 9,032 10 | 1,511 58 |
| APACHE CORP CMN (037411105) | 03/05/2014 | 08/15/2014 | 122 00 | 12,021 77 | 0 00 | 9,655 48 | 2,366 29 |
| APACHE CORP CMN (037411105) | 04/03/2014 | 08/15/2014 | 685 00 | 67,499 26 | 0 00 | 58,385 47 | 9,113 79 |
| L BRANDS, INC. CMN (501797104) | 01/31/2014 | 08/26/2014 | 158 00 | 10,059 89 | 0 00 | 8,268 18 | 1,791 71 |
| L BRANDS, INC CMN (501797104) | 04/03/2014 | 08/26/2014 | 444 00 | 28,269 55 | 0 00 | 26,042 49 | 2,227 06 |
| MERCK & CO , INC CMN (58933Y105) | 11/25/2013 | 09/04/2014 | 85 00 | 5,145 19 | 0 00 | 4,231 70 | 913 49 |
| CELGENE CORPORATION CMN (151020104) | 04/22/2014 | 09/22/2014 | 485 00 | 44,491 53 | 0 00 | 35,630 49 | 8,861 04 |
| EBAY INC CMN (278642103) | 12/16/2013 | 09/30/2014 | 550 00 | 31,368 29 | 0 00 | 29,107 54 | 2,260 75 |
| LIBERTY GLOBAL, PLC CMN CLASS C (G5480U120) | 03/03/2014 | 12/22/2014 | 250 00 | 12,174 13 | 0 00 | 10,498 36 | 1,675 77 |
| **Net Covered Short-Term Gains (Losses)** | | | | **1,191,634.87** | **0.00** | **1,045,813.82** | **145,821.05** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| BOEING COMPANY CMN (097023105) | 03/01/2011 | 01/10/2014 | 62 00 | 8,757 64 | 0 00 | 4,441 03 | 4,316 61 |
| BOEING COMPANY CMN (097023105) | 03/01/2011 | 01/28/2014 | 39 00 | 5,331 26 | 0 00 | 2,793 55 | 2,537 71 |
| BOEING COMPANY CMN (097023105) | 02/09/2012 | 01/28/2014 | 76 00 | 10,389 13 | 0 00 | 5,744 84 | 4,644 29 |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 11/20/2012 | 01/30/2014 | 87 00 | 7,071 43 | 0 00 | 3,650 44 | 3,420 99 |
| PFIZER INC CMN (717081103) | 02/14/2012 | 01/31/2014 | 168 00 | 5,127 93 | 0 00 | 3,581 13 | 1,546 80 |
| PFIZER INC CMN (717081103) | 02/09/2012 | 01/31/2014 | 291 00 | 8,882 30 | 0 00 | 6,124 44 | 2,757 86 |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 11/20/2012 | 01/31/2014 | 29 00 | 2,315 80 | 0 00 | 1,216 81 | 1,098 99 |
| ALTERA CORP CMN (021441100) | 12/19/2012 | 03/04/2014 | 100 00 | 3,626 23 | 0 00 | 3,469 66 | 156 57 |
| ALTERA CORP CMN (021441100) | 04/24/2012 | 03/04/2014 | 426 00 | 15,447 73 | 0 00 | 14,632 85 | 814 88 |
| HALLIBURTON COMPANY CMN (406216101) | 06/20/2012 | 03/05/2014 | 3 00 | 168 50 | 0 00 | 88 71 | 79 79 |
| HALLIBURTON COMPANY CMN (406216101) | 06/07/2012 | 03/05/2014 | 226 00 | 12,693 63 | 0 00 | 6,372 61 | 6,321 02 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 09/30/2011 | 04/03/2014 | 25 00 | 2,458 14 | 0 00 | 1,849 86 | 608 28 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 02/14/2012 | 04/03/2014 | 51 00 | 5,014 62 | 0 00 | 4,302 87 | 711 75 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 04/23/2012 | 04/03/2014 | 103 00 | 10,127 57 | 0 00 | 8,809 37 | 1,318 20 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 02/09/2012 | 04/03/2014 | 156 00 | 15,338 84 | 0 00 | 13,236 60 | 2,102 24 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 03/14/2013 | 04/03/2014 | 186 00 | 18,288 62 | 0 00 | 16,695 60 | 1,593 02 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 12/13/2011 | 04/03/2014 | 188 00 | 18,485 27 | 0 00 | 15,272 31 | 3,212 96 |
| EXXON MOBIL CORPORATION CMN (30231G102) | 06/15/2012 | 04/03/2014 | 295 00 | 29,006 14 | 0 00 | 24,545 07 | 4,461 07 |
| VERTEX PHARMACEUTICALS INC CMN (92532F100) | 02/01/2013 | 04/03/2014 | 221 00 | 15,150 28 | 0 00 | 10,082 37 | 5,067 91 |
| WAL MART STORES INC CMN (931142103) | 03/15/2013 | 04/03/2014 | 19 00 | 1,469 48 | 0 00 | 1,390 85 | 78 63 |
| WAL MART STORES INC CMN (931142103) | 04/01/2013 | 04/03/2014 | 205 00 | 15,854 97 | 0 00 | 15,523 16 | 331 81 |
| ALTERA CORP CMN (021441100) | 12/19/2012 | 04/09/2014 | 37 00 | 1,299 84 | 0 00 | 1,283 77 | 16 07 |
| ALTERA CORP CMN (021441100) | 04/24/2012 | 04/09/2014 | 148 00 | 5,199 39 | 0 00 | 5,153 34 | 46 05 |
| ALTERA CORP CMN (021441100) | 03/14/2013 | 04/09/2014 | 221 00 | 7,763 95 | 0 00 | 7,923 13 | (159 18) |
| MGM RESORTS INTERNATIONAL CMN (552953101) | 09/14/2012 | 05/05/2014 | 678 00 | 17,730 91 | 0 00 | 7,902 77 | 9,828 14 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.



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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein. All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| MGM RESORTS INTERNATIONAL CMN (552953101) | 06/06/2012 | 05/05/2014 | 737 00 | 19,273 86 | 0 00 | 8,438 25 | 10,835 61 |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 11/11/2011 | 06/24/2014 | 6 00 | 467 35 | 0 00 | 412 56 | 54 79 |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 02/23/2011 | 06/24/2014 | 112 00 | 8,723 92 | 0 00 | 10,052 33 | (1,328 41) |
| CITIGROUP INC CMN (172967424) | 11/20/2012 | 07/02/2014 | 17 00 | 816 85 | 0 00 | 612 94 | 203 91 |
| CITIGROUP INC CMN (172967424) | 02/01/2013 | 07/02/2014 | 251 00 | 12,060 56 | 0 00 | 10,726 12 | 1,334 44 |
| CITIGROUP INC CMN (172967424) | 06/17/2013 | 07/02/2014 | 277 00 | 13,309 85 | 0 00 | 13,725 66 | (415 81) |
| CITIGROUP INC CMN (172967424) | 03/14/2013 | 07/02/2014 | 316 00 | 15,183 81 | 0 00 | 15,006 48 | 177 33 |
| CITIGROUP INC CMN (172967424) | 12/12/2012 | 07/02/2014 | 343 00 | 16,481 16 | 0 00 | 12,758 35 | 3,722 81 |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 12/22/2011 | 07/09/2014 | 6 00 | 472 76 | 0 00 | 379 79 | 92 97 |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 11/11/2011 | 07/09/2014 | 133 00 | 10,479 44 | 0 00 | 9,145 16 | 1,334 28 |
| HALLIBURTON COMPANY CMN (406216101) | 06/20/2012 | 07/16/2014 | 308 00 | 21,831 42 | 0 00 | 9,107 55 | 12,723 87 |
| L BRANDS, INC CMN (501797104) | 05/22/2013 | 08/25/2014 | 295 00 | 18,863 23 | 0 00 | 15,348 79 | 3,514 44 |
| L BRANDS, INC CMN (501797104) | 04/11/2013 | 08/25/2014 | 377 00 | 24,106 56 | 0 00 | 19,062 62 | 5,043 94 |
| L BRANDS, INC CMN (501797104) | 05/22/2013 | 08/26/2014 | 69 00 | 4,393 25 | 0 00 | 3,590 06 | 803 19 |
| MERCK & CO , INC CMN (58933Y105) | 12/18/2012 | 09/04/2014 | 250 00 | 15,132 90 | 0 00 | 11,053 16 | 4,079 74 |
| MERCK & CO , INC CMN (58933Y105) | 02/05/2013 | 09/04/2014 | 447 00 | 27,057 63 | 0 00 | 18,499 98 | 8,557 65 |
| MERCK & CO , INC CMN (58933Y105) | 12/12/2012 | 09/04/2014 | 521 00 | 31,536 96 | 0 00 | 23,640 11 | 7,896 85 |
| MERCK & CO , INC CMN (58933Y105) | 03/14/2013 | 09/04/2014 | 616 00 | 37,287 47 | 0 00 | 27,160 29 | 10,127 18 |
| HALLIBURTON COMPANY CMN (406216101) | 06/20/2012 | 10/03/2014 | 38 00 | 2,318 05 | 0 00 | 1,123 66 | 1,194 39 |
| HALLIBURTON COMPANY CMN (406216101) | 12/19/2012 | 10/03/2014 | 107 00 | 6,527 13 | 0 00 | 3,717 80 | 2,809 33 |
| HALLIBURTON COMPANY CMN (406216101) | 05/07/2013 | 10/03/2014 | 212 00 | 12,932 25 | 0 00 | 9,200 37 | 3,731 88 |
| HALLIBURTON COMPANY CMN (406216101) | 07/03/2012 | 10/03/2014 | 220 00 | 13,420 26 | 0 00 | 6,555 93 | 6,864 33 |
| MICROSOFT CORPORATION CMN (594918104) | 07/24/2013 | 11/18/2014 | 662 00 | 32,445 47 | 0 00 | 21,177 18 | 11,268 29 |
| MICROSOFT CORPORATION CMN (594918104) | 09/26/2013 | 12/03/2014 | 641 00 | 30,981 02 | 0 00 | 21,018 38 | 9,962 64 |
| MICROSOFT CORPORATION CMN (594918104) | 07/24/2013 | 12/03/2014 | 833 00 | 40,260 83 | 0 00 | 26,647.42 | 13,613 41 |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| BOEING COMPANY CMN (097023105) | 10/25/2012 | 12/10/2014 | 17 00 | 2,125 61 | 0 00 | 1,207 36 | 918 25 |
| BOEING COMPANY CMN (097023105) | 02/14/2012 | 12/10/2014 | 34 00 | 4,251 22 | 0 00 | 2,555 10 | 1,696 12 |
| BOEING COMPANY CMN (097023105) | 02/09/2012 | 12/10/2014 | 38 00 | 4,751 36 | 0 00 | 2,872 42 | 1,878 94 |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 12/22/2011 | 12/11/2014 | 121 00 | 6,613 98 | 0 00 | 7,659 09 | (1,045 11) |
| DEVON ENERGY CORPORATION (NEW) CMN (25179M103) | 07/16/2012 | 12/11/2014 | 133 00 | 7,269 92 | 0 00 | 7,360 89 | (90 97) |
| LIBERTY GLOBAL, PLC CMN CLASS C (G5480U120) | 04/19/2013 | 12/12/2014 | 264 00 | 12,320 62 | 0 00 | 9,500 36 | 2,820 26 |
| BOEING COMPANY CMN (097023105) | 10/25/2012 | 12/18/2014 | 94 00 | 11,783 71 | 0 00 | 6,675 96 | 5,107 75 |
| LIBERTY GLOBAL, PLC CMN CLASS C (G5480U120) | 04/19/2013 | 12/22/2014 | 230 00 | 11,200 20 | 0 00 | 8,276 83 | 2,923 37 |
| MORGAN STANLEY CMN (617446448) | 11/15/2013 | 12/22/2014 | 983 00 | 37,517 20 | 0 00 | 29,784 07 | 7,733 13 |
| HARTFORD FINANCIAL SRVCS GROUP CMN (416515104) | 06/13/2013 | 12/23/2014 | 270 00 | 11,312 78 | 0 00 | 8,026 84 | 3,285 94 |
| **Net Covered Long-Term Gains (Losses)** | | | | **768,510.19** | **0.00** | **568,167.00** | **200,343.19** |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

| Tax Year | Account No | Legal Name |
|---|---|---|
| 2014 | -45531 | JOHN F KEANE & MARILYN T |

# REALIZED GAINS AND LOSSES

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## REALIZED GAIN AND LOSS SUMMARY

| SHORT-TERM GAINS (LOSSES) | | LONG-TERM GAINS (LOSSES) | | ORDINARY GAINS (LOSSES) | |
|---|---|---|---|---|---|
| Net Covered Short-Term Gains (Losses) | (3,147 19) | Net Covered Long-Term Gains (Losses) | 85,374 61 | Net Ordinary Gains (Losses) | 0 00 |
| Net NonCovered Short-Term Gains (Losses) | 0 00 | Net NonCovered Long-Term Gains (Losses) | 75,700 09 | | |
| Net 1099B Non Reportable Short-Term Gains (Losses) | 0 00 | Net 1099B Non Reportable Long-Term Gains (Losses) | 0 00 | | |
| Net Miscellaneous Short-Term Gains (Losses) | 0 00 | Net Miscellaneous Long-Term Gains (Losses) | 0 00 | Net Miscellaneous Ordinary Gains (Losses) | 0 00 |
| Net Regulated Futures Contract Short-Term Gains (Losses) | 0 00 | Net Regulated Futures Contract Long-Term Gains (Losses) | 0 00 | | |
| **Total Short-Term Gains (Losses)** | **(3,147.19)** | **Total Long-Term Gains (Losses)** | **161,074.70** | **Total Ordinary Gains (Losses)** | **0 00** |

## SHORT-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Short-Term Gains (Losses)** | | | | | | | |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 10/30/2013 | 05/22/2014 | 71 00 | 2,390 64 | 0 00 | 2,512 63 | (121 99) |
| BAIDU, INC SPONSORED ADR CMN (056752108) | 07/09/2014 | 10/08/2014 | 32 00 | 6,916 34 | 0 00 | 5,898 00 | 1,018 34 |
| EQUINIX, INC REIT (29444U502) | 11/25/2014 | 11/25/2014 | 0 81 | 188 23 | 0 00 | 0 00 | 188 23 |
| DISCOVERY COMMUNICATIONS, INC CMN (25470F302) | 08/15/2014 | 12/08/2014 | 81 00 | 2,693 00 | 0 00 | 3,354 48 | (661 48) |
| DISCOVERY COMMUNICATIONS, INC CMN (25470F302) Disallowed Loss | | | | | | | 661 48 |
| DISCOVERY COMMUNICATIONS, INC CMN (25470F302) | 08/18/2014 | 12/08/2014 | 218 00 | 7,247 84 | 0 00 | 9,211 59 | (1,963 75) |
| DISCOVERY COMMUNICATIONS, INC CMN (25470F302) Disallowed Loss | | | | | | | 135 12 |
| DISCOVERY COMMUNICATIONS, INC CMN (25470F302) | 08/15/2014 | 12/08/2014 | 300 00 | 9,955 39 | 0 00 | 12,423 99 | (2,468 60) |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 10/06/2014 | 12/08/2014 | 11 00 | 407 89 | 0 00 | 342 43 | 65 46 |
| **Net Covered Short-Term Disallowed Losses** | | | | | | | 796.60 |
| **Net Covered Short-Term Gains (Losses)** | | | | **29,799.33** | **0.00** | 32,946 | **(3,147.19)** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| APPLE, INC CMN (037833100) | 06/24/2011 | 02/10/2014 | 10 00 | 5,289 03 | 0 00 | 3,287 02 | 2,002 01 |
| APPLE, INC CMN (037833100) | 02/09/2012 | 02/10/2014 | 36 00 | 19,040 52 | 0 00 | 17,597 52 | 1,443 00 |
| LIBERTY INTERACTIVE CORP INTERACTIVE CMN CLASS A (53071M104) | 08/10/2012 | 02/10/2014 | 285 00 | 7,781 00 | 0 00 | 4,959 29 | 2,821 71 |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 04/16/2012 | 03/13/2014 | 78 00 | 2,417 12 | 0 00 | 2,937 09 | (519 97) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 02/29/2012 | 03/13/2014 | 115 00 | 3,563 70 | 0 00 | 4,057 87 | (494 17) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 04/09/2012 | 03/13/2014 | 131 00 | 4,059 52 | 0 00 | 5,163 38 | (1,103 86) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 02/09/2012 | 03/13/2014 | 160 00 | 4,958 19 | 0 00 | 5,140 42 | (182 23) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 01/31/2012 | 03/13/2014 | 206 00 | 6,383 67 | 0 00 | 6,663 87 | (280 20) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 02/14/2012 | 03/13/2014 | 225 00 | 6,972 46 | 0 00 | 7,278 03 | (305 57) |
| VERISIGN INC CMN (92343E102) | 02/09/2012 | 04/15/2014 | 34 00 | 1,665 65 | 0 00 | 1,242 70 | 422 95 |
| VERISIGN INC CMN (92343E102) | 07/26/2011 | 04/15/2014 | 55 00 | 2,694 44 | 0 00 | 1,855 45 | 838 99 |
| VERISIGN INC CMN (92343E102) | 08/08/2011 | 04/15/2014 | 68 00 | 3,331 31 | 0 00 | 1,926 70 | 1,404 61 |
| VERISIGN INC CMN (92343E102) | 11/09/2011 | 04/15/2014 | 94 00 | 4,605 04 | 0 00 | 3,069 25 | 1,535 79 |
| VERISIGN INC CMN (92343E102) | 10/07/2011 | 04/15/2014 | 144 00 | 7,054 54 | 0 00 | 4,290 57 | 2,763 97 |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 04/16/2012 | 04/28/2014 | 52 00 | 1,685 98 | 0 00 | 1,958 06 | (272 08) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 06/07/2012 | 04/28/2014 | 106 00 | 3,436 80 | 0 00 | 3,390 21 | 46 59 |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 05/09/2012 | 04/28/2014 | 120 00 | 3,890 72 | 0 00 | 4,090 79 | (200 07) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 04/30/2012 | 04/28/2014 | 153 00 | 4,960 67 | 0 00 | 5,492 04 | (531 37) |
| VERISIGN INC CMN (92343E102) | 02/14/2012 | 04/28/2014 | 74 00 | 3,464 73 | 0 00 | 2,726 16 | 738 57 |
| VERISIGN INC CMN (92343E102) | 02/09/2012 | 04/28/2014 | 348 00 | 16,293 59 | 0 00 | 12,719 40 | 3,574 19 |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 06/07/2012 | 05/22/2014 | 44 00 | 1,481 53 | 0 00 | 1,407 26 | 74 27 |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 08/20/2012 | 05/22/2014 | 102 00 | 3,434 45 | 0 00 | 3,563 58 | (129 13) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 08/07/2012 | 05/22/2014 | 134 00 | 4,511 92 | 0 00 | 4,852 35 | (340 43) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 04/09/2013 | 05/22/2014 | 146 00 | 4,915 97 | 0 00 | 5,651 69 | (735 72) |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 09/12/2012 | 05/22/2014 | 228 00 | 7,677 00 | 0 00 | 8,173 59 | (496 59) |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment



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## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| KINDER MORGAN INC CMN CLASS P (49456B101) | 10/15/2012 | 05/22/2014 | 237 00 | 7,980 04 | 0 00 | 8,260 40 | (280 36) |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 02/21/2012 | 06/06/2014 | 87 00 | 2,199 90 | 0 00 | 1,871 69 | 328 21 |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 02/22/2012 | 06/06/2014 | 184 00 | 4,652 66 | 0 00 | 3,957 29 | 695 37 |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 02/22/2012 | 07/07/2014 | 480 00 | 12,044 13 | 0 00 | 10,323 36 | 1,720 77 |
| TERADATA CORPORATION CMN (88076W103) | 02/14/2012 | 07/09/2014 | 49 00 | 1,979 65 | 0 00 | 3,017 91 | (1,038 26) |
| TERADATA CORPORATION CMN (88076W103) | 08/18/2011 | 07/09/2014 | 109 00 | 4,403 72 | 0 00 | 4,966 59 | (562 87) |
| TERADATA CORPORATION CMN (88076W103) | 02/09/2012 | 07/09/2014 | 152 00 | 6,140 97 | 0 00 | 9,458 96 | (3,317 99) |
| TERADATA CORPORATION CMN (88076W103) | 02/26/2013 | 07/09/2014 | 161 00 | 6,504 58 | 0 00 | 9,946 29 | (3,441 71) |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 02/22/2012 | 07/15/2014 | 337 00 | 8,250 65 | 0 00 | 7,247 86 | 1,002 79 |
| ADOBE SYSTEMS INC CMN (00724F101) | 02/14/2012 | 07/23/2014 | 92 00 | 6,612 40 | 0 00 | 2,965 16 | 3,647 24 |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 02/22/2012 | 07/24/2014 | 107 00 | 2,598 24 | 0 00 | 2,301 25 | 296 99 |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 03/19/2012 | 07/24/2014 | 396 00 | 9,615 90 | 0 00 | 9,032 01 | 583 89 |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 03/19/2012 | 08/05/2014 | 36 00 | 845 16 | 0 00 | 821 09 | 24 07 |
| PROGRESSIVE CORPORATION (THE) CMN (743315103) | 03/29/2012 | 08/05/2014 | 388 00 | 9,109 00 | 0 00 | 8,876 97 | 232 03 |
| ADOBE SYSTEMS INC CMN (00724F101) | 04/09/2012 | 08/08/2014 | 42 00 | 2,871 58 | 0 00 | 1,397 58 | 1,474 00 |
| ADOBE SYSTEMS INC CMN (00724F101) | 02/14/2012 | 08/08/2014 | 50 00 | 3,418 54 | 0 00 | 1,611 50 | 1,807 04 |
| ADOBE SYSTEMS INC CMN (00724F101) | 04/09/2012 | 08/18/2014 | 172 00 | 12,148 49 | 0 00 | 5,723 40 | 6,425 09 |
| CME GROUP INC CMN CLASS A (12572Q105) | 05/06/2011 | 08/18/2014 | 105 00 | 7,759 13 | 0 00 | 6,130 73 | 1,628 40 |
| CME GROUP INC. CMN CLASS A (12572Q105) | 08/19/2011 | 08/18/2014 | 115 00 | 8,498 09 | 0 00 | 5,533 10 | 2,964 99 |
| CME GROUP INC CMN CLASS A (12572Q105) | 02/09/2012 | 08/18/2014 | 154 00 | 11,380 06 | 0 00 | 8,739 81 | 2,640 25 |
| CME GROUP INC CMN CLASS A (12572Q105) | 02/09/2012 | 08/19/2014 | 76 00 | 5,570 73 | 0 00 | 4,313 15 | 1,257 58 |
| L BRANDS, INC CMN (501797104) | 06/14/2013 | 08/19/2014 | 94 00 | 5,946 12 | 0 00 | 4,790 56 | 1,155 56 |
| VERIFONE SYSTEMS INC CMN (92342Y109) | 10/11/2012 | 09/09/2014 | 137 00 | 4,774 29 | 0 00 | 4,167 88 | 606 41 |
| VERIFONE SYSTEMS INC CMN (92342Y109) | 10/25/2012 | 09/09/2014 | 157 00 | 5,471 27 | 0 00 | 4,769 88 | 701 39 |
| VERIFONE SYSTEMS INC CMN (92342Y109) | 10/09/2012 | 09/09/2014 | 293 00 | 10,210 71 | 0 00 | 8,570 90 | 1,639 81 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| ADOBE SYSTEMS INC CMN (00724F101) | 04/09/2012 | 10/03/2014 | 125 00 | 8,546 54 | 0 00 | 4,159 45 | 4,387 09 |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 08/16/2011 | 10/10/2014 | 23 00 | 1,371 48 | 0 00 | 1,408 07 | (36 59) |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 08/16/2011 | 10/13/2014 | 17 00 | 1,016 77 | 0 00 | 1,040 75 | (23 98) |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 02/14/2012 | 10/13/2014 | 61 00 | 3,648 39 | 0 00 | 3,858 86 | (210 47) |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 02/09/2012 | 10/13/2014 | 141 00 | 8,433 16 | 0 00 | 9,162 18 | (729 02) |
| CELGENE CORPORATION CMN (151020104) | 11/29/2012 | 10/16/2014 | 69 00 | 5,888 77 | 0 00 | 2,743 32 | 3,145 45 |
| MICROSOFT CORPORATION CMN (594918104) | 07/17/2013 | 10/16/2014 | 159 00 | 6,736 68 | 0 00 | 5,722 01 | 1,014 67 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 08/10/2012 | 10/20/2014 | 0 51 | 16 60 | 0 00 | 0 00 | 16 60 |
| CELGENE CORPORATION CMN (151020104) | 11/30/2012 | 10/30/2014 | 19 00 | 2,014 62 | 0 00 | 748 16 | 1,266 46 |
| CELGENE CORPORATION CMN (151020104) | 11/29/2012 | 10/30/2014 | 165 00 | 17,495 36 | 0 00 | 6,560 12 | 10,935 24 |
| L BRANDS, INC CMN (501797104) | 06/14/2013 | 11/10/2014 | 60 00 | 4,546 11 | 0 00 | 3,057 80 | 1,488 31 |
| ADOBE SYSTEMS INC CMN (00724F101) | 04/09/2012 | 11/11/2014 | 96 00 | 6,883 28 | 0 00 | 3,194 46 | 3,688 82 |
| ADOBE SYSTEMS INC CMN (00724F101) | 04/09/2012 | 11/14/2014 | 79 00 | 5,659 31 | 0 00 | 2,628 77 | 3,030 54 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 08/20/2012 | 11/18/2014 | 16 00 | 569 58 | 0 00 | 301 84 | 267 74 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 08/10/2012 | 11/18/2014 | 142 00 | 5,055 03 | 0 00 | 2,595 62 | 2,459 41 |
| MICROSOFT CORPORATION CMN (594918104) | 08/26/2013 | 11/18/2014 | 7 00 | 342 74 | 0 00 | 239 12 | 103 62 |
| MICROSOFT CORPORATION CMN (594918104) | 07/19/2013 | 11/18/2014 | 187 00 | 9,156 14 | 0 00 | 5,898 48 | 3,257 66 |
| MICROSOFT CORPORATION CMN (594918104) | 07/17/2013 | 11/18/2014 | 279 00 | 13,660 76 | 0 00 | 10,040 51 | 3,620 25 |
| L BRANDS, INC CMN (501797104) | 06/14/2013 | 11/20/2014 | 211 00 | 16,544 14 | 0 00 | 10,753 28 | 5,790 86 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 11/01/2013 | 12/08/2014 | 20 00 | 741 61 | 0 00 | 565 75 | 175 86 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 05/13/2013 | 12/08/2014 | 31 00 | 1,149 50 | 0 00 | 742 38 | 407 12 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 02/25/2013 | 12/08/2014 | 45 00 | 1,668 62 | 0 00 | 997 78 | 670 84 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 10/26/2012 | 12/08/2014 | 62 00 | 2,298 99 | 0 00 | 1,291 68 | 1,007 31 |
| LIBERTY VENTURES CMN SERIES CLASS A (53071M880) | 08/20/2012 | 12/08/2014 | 128 00 | 4,746 30 | 0 00 | 2,414 75 | 2,331 55 |

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[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment.

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **Covered Long-Term Gains (Losses)** | | | | | | | |
| CELGENE CORPORATION CMN (151020104) | 11/30/2012 | 12/10/2014 | 39 00 | 4,579 02 | 0 00 | 1,535 70 | 3,043 32 |
| **Net Covered Long-Term Gains (Losses)** | | | | **429,325.06** | **0.00** | **343,950.45** | **85,374.61** |
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| ALLERGAN, INC CMN (018490102) | 12/16/2010 | 01/16/2014 | 43 00 | 5,137 35 | 0 00 | 3,013 86 | 2,123 49 |
| APPLE, INC CMN (037833100) | 12/16/2010 | 02/10/2014 | 49 00 | 25,916 26 | 0 00 | 15,729 49 | 10,186 77 |
| NOVO-NORDISK A/S ADR ADR CMN (670100205) | 04/23/2009 | 02/27/2014 | 123 00 | 5,800 56 | 0 00 | 1,220 76 | 4,579 80 |
| EOG RESOURCES INC CMN (26875P101) | 11/04/2010 | 04/28/2014 | 12 00 | 1,170 38 | 0 00 | 528 33 | 642 05 |
| EOG RESOURCES INC CMN (26875P101) | 06/30/2009 | 04/28/2014 | 20 00 | 1,950 64 | 0 00 | 678 29 | 1,272 35 |
| EOG RESOURCES INC CMN (26875P101) | 12/16/2010 | 04/28/2014 | 22 00 | 2,145 71 | 0 00 | 992 86 | 1,152 85 |
| EOG RESOURCES INC CMN (26875P101) | 08/06/2010 | 04/28/2014 | 38 00 | 3,706 21 | 0 00 | 1,883 79 | 1,822 42 |
| EOG RESOURCES INC CMN (26875P101) | 09/28/2010 | 04/28/2014 | 40 00 | 3,901 28 | 0 00 | 1,823 22 | 2,078 06 |
| EOG RESOURCES INC CMN (26875P101) | 04/08/2010 | 04/28/2014 | 46 00 | 4,486 47 | 0 00 | 2,444 00 | 2,042 47 |
| TERADATA CORPORATION CMN (88076W103) | 10/19/2007 | 07/09/2014 | 7 00 | 282 81 | 0 00 | 194 16 | 88 65 |
| TERADATA CORPORATION CMN (88076W103) | 10/22/2007 | 07/09/2014 | 63 00 | 2,545 27 | 0 00 | 1,724 03 | 821 24 |
| TERADATA CORPORATION CMN (88076W103) | 10/26/2007 | 07/09/2014 | 202 00 | 8,161 02 | 0 00 | 5,857 17 | 2,303 85 |
| TERADATA CORPORATION CMN (88076W103) | 12/16/2010 | 07/09/2014 | 267 00 | 10,787 09 | 0 00 | 11,404 24 | (617 15) |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 11/06/2009 | 07/14/2014 | 13 00 | 950 81 | 0 00 | 639 08 | 311 73 |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 11/20/2009 | 07/14/2014 | 19 00 | 1,389 64 | 0 00 | 969 74 | 419 90 |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 11/23/2009 | 07/14/2014 | 31 00 | 2,267 31 | 0 00 | 1,612 86 | 654 45 |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 08/10/2010 | 07/14/2014 | 40 00 | 2,925 56 | 0 00 | 1,837 22 | 1,088 34 |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 12/16/2010 | 07/14/2014 | 63 00 | 4,607 75 | 0 00 | 3,480 13 | 1,127 62 |
| CME GROUP INC CMN CLASS A (12572Q105) | 12/16/2010 | 08/18/2014 | 122 00 | 9,015 37 | 0 00 | 7,754 69 | 1,260 68 |
| VISA INC CMN CLASS A (92826C839) | 06/01/2010 | 08/18/2014 | 7 00 | 1,490 80 | 0 00 | 508 86 | 981 94 |
| VISA INC CMN CLASS A (92826C839) | 09/10/2010 | 08/18/2014 | 18 00 | 3,833 47 | 0 00 | 1,223 82 | 2,609 65 |

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5 Sale Proceeds may have been adjusted by an option premium due to an option assignment



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**Realized and unrealized gains and loss values do not include securities for which cost basis is unavailable. The recognition of any loss reflected herein may be disallowed or deferred by the application of capital loss limitations, wash sale rules or other special tax rules, and you are strongly urged to consult your own outside tax and other advisors about this and all tax-related matters addressed in any way herein All information regarding the cost basis and acquisition dates of securities that you acquired elsewhere and that were subsequently delivered into your Goldman Sachs account(s) were provided by you and/or a third party and Goldman Sachs makes no representation as to the accuracy and completeness of such information and it should not be relied upon as such.**

# REALIZED GAINS AND LOSSES (Continued)

*Supplemental Information - Consult your Form 1099 for tax reporting purposes*

## LONG-TERM GAINS (LOSSES)

| Description (Product Identifier) | Date Acquired or Sold Short | Date Sold or Covered | Quantity | Sale Proceeds [5] | Total accretion (Amortization) | Cost Basis [4] | Total Gain (Loss) |
|---|---|---|---|---|---|---|---|
| **NonCovered Long-Term Gains (Losses)** | | | | | | | |
| VISA INC CMN CLASS A (92826C839) | 09/08/2010 | 08/18/2014 | 25 00 | 5,324 27 | 0 00 | 1,717 59 | 3,606 68 |
| CROWN CASTLE INTERNATIONAL COR COMMON STOCK (228227104) | 12/16/2010 | 08/19/2014 | 84 00 | 6,578 65 | 0 00 | 3,486 00 | 3,092 65 |
| EOG RESOURCES INC CMN (26875P101) | 12/16/2010 | 08/19/2014 | 128 00 | 13,628 66 | 0 00 | 5,776 61 | 7,852 05 |
| INTUIT INC CMN (461202103) | 12/16/2010 | 08/19/2014 | 21 00 | 1,791 10 | 0 00 | 1,028 37 | 762 73 |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 12/16/2010 | 08/19/2014 | 76 00 | 5,797 20 | 0 00 | 1,896 12 | 3,901 08 |
| SYNGENTA AG SPONSORED ADR CMN (87160A100) | 12/16/2010 | 10/10/2014 | 218 00 | 12,999 31 | 0 00 | 12,042 36 | 956 95 |
| CROWN CASTLE INTERNATIONAL COR COMMON STOCK (228227104) | 12/16/2010 | 10/16/2014 | 97 00 | 7,654 71 | 0 00 | 4,025 50 | 3,629 21 |
| MASTERCARD INCORPORATED CMN CLASS A (57636Q104) | 12/16/2010 | 10/16/2014 | 97 00 | 6,834 52 | 0 00 | 2,420 05 | 4,414 47 |
| VISA INC CMN CLASS A (92826C839) | 09/10/2010 | 10/16/2014 | 1 00 | 200 05 | 0 00 | 67 99 | 132 06 |
| VISA INC CMN CLASS A (92826C839) | 12/16/2010 | 10/16/2014 | 32 00 | 6,401 67 | 0 00 | 2,499 22 | 3,902 45 |
| ALLERGAN, INC CMN (018490102) | 12/16/2010 | 12/01/2014 | 46 00 | 9,722 73 | 0 00 | 3,224 13 | 6,498 60 |
| **Net NonCovered Long-Term Gains (Losses)** | | | | **179,404.63** | **0.00** | **103,704.54** | **75,700.09** |

[4] Basis may have been increased by accruals of market discount and/or original issue discount or decreased by premium amortization This increase or decrease of basis assumes the investor has elected to include market discount in income currently and/or amortize premium currently You should consult with your own tax advisor to determine your correct basis

[5] Sale Proceeds may have been adjusted by an option premium due to an option assignment

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

| SOURCE | (A) REVENUE PER BOOKS | (B) NET INVESTMENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|
| BOSTON PRIVATE BANK | 94. | 94. | |
| GOLDMAN SACHS (#45139) | 78. | 78. | |
| TOTAL TO PART I, LINE 3 | 172. | 172. | |

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| FIDELITY | 3. | 0. | 3. | 3. | |
| GOLDMAN SACHS (#45139) | 5. | 0. | 5. | 5. | |
| GOLDMAN SACHS (#45141) | 64,595. | 0. | 64,595. | 64,595. | |
| GOLDMAN SACHS (#45531) | 31,291. | 0. | 31,291. | 31,291. | |
| GOLDMAN SACHS (#45557) | 41,208. | 0. | 41,208. | 41,208. | |
| GOLDMAN SACHS (#49503) | 8. | 0. | 8. | 8. | |
| GOLDMAN SACHS (#49503) | 311,556. | 0. | 311,556. | 311,556. | |
| TO PART I, LINE 4 | 448,666. | 0. | 448,666. | 448,666. | |

FORM 990-PF LEGAL FEES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| O'BRIEN & ASSOCIATES | 8,805. | 0. | | 0. |
| TO FM 990-PF, PG 1, LN 16A | 8,805. | 0. | | 0. |

FORM 990-PF — OTHER PROFESSIONAL FEES — STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| IAS FEES RINET COMPANY | 2,758. | 0. | | 0. |
| IAS FEES GOLDMAN SACHS | 148,169. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 16C | 150,927. | 0. | | 0. |

FORM 990-PF — TAXES — STATEMENT 5

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAX PAID | 1,323. | 0. | | 0. |
| RECLAIMABLE TAX | 459. | 0. | | 0. |
| ADR FEES | 17. | 0. | | 0. |
| EXCISE TAX | 35,000. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 36,799. | 0. | | 0. |

FORM 990-PF — U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS — STATEMENT 6

| DESCRIPTION | U.S. GOV'T | OTHER GOV'T | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|---|
| SEE ATTACHED STATEMENTS | X | | 2,140,810. | 2,129,783. |
| SEE ATTACHED STATEMENT - FOREIGN BONDS | X | | 1,990,162. | 1,912,184. |
| TOTAL U.S. GOVERNMENT OBLIGATIONS | | | 4,130,972. | 4,041,967. |
| TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS | | | | |
| TOTAL TO FORM 990-PF, PART II, LINE 10A | | | 4,130,972. | 4,041,967. |

FORM 990-PF CORPORATE STOCK STATEMENT 7

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SEE ATTACHED STATEMENTS | 7,583,628. | 9,782,732. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 7,583,628. | 9,782,732. |

FORM 990-PF CORPORATE BONDS STATEMENT 8

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| SEE ATTACHED STATEMENTS | 6,665,344. | 6,914,889. |
| TOTAL TO FORM 990-PF, PART II, LINE 10C | 6,665,344. | 6,914,889. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 9

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| SEE ATTACHED STATEMENTS | COST | 2,646,979. | 3,095,860. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 2,646,979. | 3,095,860. |