



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



EXTENDED TO NOVEMBER 16, 2015

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2014

Open to Public Inspection

Form 990-PF

Department of the Treasury
Internal Revenue Service

For calendar year 2014 or tax year beginning

, and ending

Name of foundation

WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

Number and street (or P.O. box number if mail is not delivered to street address)

Room/suite

ONE HAMDEN CENTER, 2319 WHITNEY AVENUE

2B

City or town, state or province, country, and ZIP or foreign postal code

HAMDEN, CT 06518

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at end of year

J Accounting method:

☒ Cash☐ Accrual☐ Other (specify)

(from Part II, col (c), line 16)

\$ 113,104,551. (Part I, column (d) must be on cash basis.)

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	160,142.			
2	Check ☐ if the foundation is not required to attach Sch. B				
3	Interest on savings and temporary cash investments	103,462.	103,462.		STATEMENT 1
4	Dividends and interest from securities	1,484,469.	1,484,469.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	3,344,320.			
b	Gross sales price for all assets on line 6a	5,638,297.			
7	Capital gain net income (from Part IV, line 2)		3,344,320.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less: Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	3,112,882.	2,946,090.	0.	STATEMENT 3
12	Total. Add lines 1 through 11	8,205,275.	7,878,341.	0.	
13	Compensation of officers, directors, trustees, etc	315,354.	6,338.	0.	112,349.
14	Other employee salaries and wages	764,456.	15,289.	0.	749,167.
15	Pension plans, employee benefits	433,036.	8,661.	0.	424,375.
16a	Legal fees STMT 4	32,847.	5,907.	0.	26,940.
b	Accounting fees STMT 5	112,850.	53,150.	0.	59,700.
c	Other professional fees STMT 6	1,078,857.	320,979.	0.	757,878.
17	Interest				
18	Taxes STMT 7	162,226.	726.	0.	1,500.
19	Depreciation and depletion	14,356.	0.	0.	
20	Occupancy	165,184.	3,304.	0.	161,880.
21	Travel, conferences, and meetings	91,697.	10,000.	0.	90,697.
22	Printing and publications	13,608.	0.	0.	13,608.
23	Other expenses STMT 8	325,409.	0.	0.	325,409.
24	Total operating and administrative expenses. Add lines 13 through 23	3,509,880.	424,354.	0.	2,723,503.
25	Contributions, gifts, grants paid	3,205,219.			3,205,219.
26	Total expenses and disbursements. Add lines 24 and 25	6,715,099.	424,354.	0.	5,928,722.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	1,490,176.			
b	Net investment income (if negative, enter -0-)		7,453,987.		
c	Adjusted net income (if negative, enter -0-)			0.	

423501 11-24-14 LHA For Paperwork Reduction Act Notice, see instructions.

1

Form 990-PF (2014)

14431111 756327 400750

2014.05000 WILLIAM CASPAR GRAUSTEIN ME 400750 1

Part II Balance Sheets				Beginning of year		End of year	
Attached schedules and amounts in the description column should be for end-of-year amounts only				(a) Book Value	(b) Book Value	(c) Fair Market Value	
Assets	1	Cash - non-interest-bearing		500.	500.	500.	
	2	Savings and temporary cash investments		6,428,922.	6,248,912.	6,248,912.	
	3	Accounts receivable ▶					
		Less: allowance for doubtful accounts ▶					
	4	Pledges receivable ▶					
		Less: allowance for doubtful accounts ▶					
	5	Grants receivable					
	6	Receivables due from officers, directors, trustees, and other disqualified persons					
	7	Other notes and loans receivable ▶					
		Less: allowance for doubtful accounts ▶					
	8	Inventories for sale or use					
	9	Prepaid expenses and deferred charges					
	10a	Investments - U.S. and state government obligations STMT 10		1,118,156.	928,510.	928,510.	
	b	Investments - corporate stock STMT 11		70,579,716.	69,759,232.	69,759,232.	
	c	Investments - corporate bonds STMT 12		1,192,048.	1,813,695.	1,813,695.	
	Liabilities	11	Investments - land, buildings, and equipment basis ▶				
		Less accumulated depreciation ▶					
12		Investments - mortgage loans					
13		Investments - other STMT 13		34,252,575.	34,200,563.	34,268,023.	
14		Land, buildings, and equipment basis ▶ 485,000.					
		Less accumulated depreciation STMT 14 ▶ 468,527.		27,285.	16,473.	16,473.	
15		Other assets (describe ▶ STATEMENT 15)		87,999.	69,206.	69,206.	
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		113,687,201.	113,037,091.	113,104,551.	
17		Accounts payable and accrued expenses			2,642.		
18		Grants payable					
Net Assets or Fund Balances	19	Deferred revenue					
	20	Loans from officers, directors, trustees, and other disqualified persons					
	21	Mortgages and other notes payable					
	22	Other liabilities (describe ▶ STATEMENT 16)		0.	492,071.		
	23	Total liabilities (add lines 17 through 22)		0.	494,713.		
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ▶ ☒					
	24	Unrestricted		113,687,201.	112,542,378.		
	25	Temporarily restricted					
	26	Permanently restricted					
		Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ▶ ☐					
	27	Capital stock, trust principal, or current funds					
	28	Paid-in or capital surplus, or land, bldg., and equipment fund					
	29	Retained earnings, accumulated income, endowment, or other funds					
	30	Total net assets or fund balances		113,687,201.	112,542,378.		
	31	Total liabilities and net assets/fund balances		113,687,201.	113,037,091.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	113,687,201.
2	Enter amount from Part I, line 27a	2	1,490,176.
3	Other increases not included in line 2 (itemize) ▶ SEE STATEMENT 9	3	717,022.
4	Add lines 1, 2, and 3	4	115,894,399.
5	Decreases not included in line 2 (itemize) ▶ CHANGE IN UNREALIZED APPRECIATION	5	3,352,021.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	112,542,378.

Form 990-PF (2014)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/14
b SEE ATTACHED SCHEDULE	P	VARIOUS	12/31/14
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 475,105.		521,175.	-46,070.
b 2,846,609.		1,772,802.	1,073,807.
c 2,316,583.			2,316,583.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			-46,070.
b			1,073,807.
c			2,316,583.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	3,344,320.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	6,433,662.	107,797,896.	.059683
2012	5,317,184.	101,858,719.	.052202
2011	6,900,859.	103,131,484.	.066913
2010	5,867,013.	97,888,429.	.059936
2009	7,192,443.	88,989,280.	.080824

2 Total of line 1, column (d)	2	.319558
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.063912
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	112,861,237.
5 Multiply line 4 by line 3	5	7,213,187.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	74,540.
7 Add lines 5 and 6	7	7,287,727.
8 Enter qualifying distributions from Part XII, line 4	8	5,932,267.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☐ and enter 1% of Part I, line 27b		1	149,080.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	149,080.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income Subtract line 4 from line 3. If zero or less, enter -0-		5	149,080.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	118,818.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	50,000.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	168,818.	
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8	417.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	19,321.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax ☒ 19,321. Refunded ☐	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ☐ \$ 0. (2) On foundation managers. ☐ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ☐ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ☐ CT, NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

Form 990-PF (2014)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>WWW.WCGMF.ORG</u>	13	X	
14	The books are in care of ► <u>THE ORGANIZATION</u> Telephone no. ► <u>(203) 230-3330</u> Located at ► <u>ONE HAMDEN CENTER, 2319 WHITNEY AVENUE, HAMDEN, C</u> ZIP+4 ► <u>06518</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☒ Yes ☐ No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☒ Yes ☐ No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► ☐	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? ☐ Yes ☒ No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

Form 990-PF (2014)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

6b

X

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		315,354.	56,411.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances	
CARMEN SIBERON - ONE HAMDEN CENTER, 2B, 2319 WHITNEY AVE, HAMDEN,	COMMUNITY PROGRAM OFFICER	45.00	121,798.	37,357.	0.
NANCY B LEONARD - ONE HAMDEN CENTER, 2B, 2319 WHITNEY AVE, HAMDEN,	PUBLIC AFFAIRS OFFICER	45.00	119,499.	38,248.	0.
ANGELA FRUSCIANTE - ONE HAMDEN CENTER, 2B, 2319 WHITNEY AVE, HAMDEN,	PROGRAM OFFICER	45.00	84,500.	26,146.	0.
PRISCILLA FAUSTINI - ONE HAMDEN CENTER, 2B, 2319 WHITNEY AVE, HAMDEN,	EXECUTIVE ASSISTANT	45.00	73,698.	30,089.	0.
GAIL TORRESQUINTERO - ONE HAMDEN CENTER, 2B, 2319 WHITNEY AVE, HAMDEN,	CONVENING COORDINATOR	30.00	77,491.	9,773.	0.
Total number of other employees paid over \$50,000					0

Form 990-PF (2014)

Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
CAMBRIDGE ASSOCIATES 100 SUMMER STREET, BOSTON, MA 02110	INVESTMENT ADVISORS	104,404.
ESSENTIAL OUTCOMES, LLC 11 ROLLING MEADOW DRIVE, TOLLAND, CT 06084	COMMUNITY LIAISONS SUPPORTING LOCAL EAR	91,359.
MORRISON DOWNS ASSOCIATES, INC. 79 MAPLE STREET, MILFORD, CT 06460	TECHNICAL ASSISTANCE SERVICES	83,081.
T. M. BYXBEE COMPANY, P.C. 2319 WHITNEY AVENUE, HAMDEN, CT 06518	ACCOUNTING	81,600.
JEFFREY DANIELS CONSULTING 102 ARUNDEL AVENUE, WEST HARTFORD, CT 06107	GRANT CONSULTING	51,082.
Total number of others receiving over \$50,000 for professional services		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 SEE STATEMENT 18	529,828.
2 SEE STATEMENT 19	184,795.
3 SEE STATEMENT 20	46,666.
4 SEE STATEMENT 21	34,942.

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

Form 990-PF (2014)

Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	110,761,423.
b	Average of monthly cash balances	1b	3,818,513.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	114,579,936.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	114,579,936.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	1,718,699.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	112,861,237.
6	Minimum investment return. Enter 5% of line 5	6	5,643,062.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	5,643,062.
2a	Tax on investment income for 2014 from Part VI, line 5	2a	149,080.
b	Income tax for 2014. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	149,080.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	5,493,982.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	5,493,982.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	5,493,982.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	5,928,722.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	3,545.
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	5,932,267.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	5,932,267.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7				5,493,982.
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only			0.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2014:				
a From 2009	2,783,505.			
b From 2010	1,037,345.			
c From 2011	1,824,039.			
d From 2012	326,117.			
e From 2013	1,224,949.			
f Total of lines 3a through e	7,195,955.			
4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$	5,932,267.			
a Applied to 2013, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2014 distributable amount				5,493,982.
e Remaining amount distributed out of corpus	438,285.			
5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	7,634,240.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7	2,783,505.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	4,850,735.			
10 Analysis of line 9:				
a Excess from 2010	1,037,345.			
b Excess from 2011	1,824,039.			
c Excess from 2012	326,117.			
d Excess from 2013	1,224,949.			
e Excess from 2014	438,285.			

Part XV **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i>				
SEE ATTACHED SCHEDULE	N/A			3,205,219.
Total			▶ 3a	3,205,219.
b <i>Approved for future payment</i>				
SEE ATTACHED SCHEDULE	N/A			2,407,522.
Total			▶ 3b	2,407,522.

William Caspar Graustein Memorial Fund

#04-6037391

Form 990-PF Part XV, Line 3a & 3b

Grants and contributions paid during the year or approved for future payment

Foundation Status of Recipients

The William Caspar Graustein Memorial Fund only extends grants to organizations that have been granted 501(c)(3) tax exempt status by the Internal Revenue Service or are otherwise exempt. All grantees must furnish a copy of their exemption to the Fund before they will receive any moneys

Purpose of Grants or Contributions

The William Caspar Graustein Memorial Fund works collaboratively to improve the effectiveness of education for Connecticut's children. The Memorial Fund distributes grants to support educational change in schools, to inform public debate through policy research and advocacy and to strengthen the involvement of parents and the community in education. The Memorial Fund provides grants, technical assistance, and a forum for information-sharing across the state.

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
All Our Kin PO Box 8477 414A Chapel St. Suite 100 New Haven, CT 06530 <i>Statewide Core</i> \$75,000 00 2013	PC -Public charity	75,000	-
All Our Kin PO Box 8477 414A Chapel St. Suite 100 New Haven, CT 06530 <i>Expanding High-Quality Infant-Toddler Care in CT: Sharing the All Our Kin Model</i> \$75,000 00 2014	PC -Public charity	-	75,000
Center for Assessment and Policy Development 1622 Riverside Drive Trenton, NJ 08618 <i>Continuation of Discovery Timeline Reflection and Documentation Process</i> \$18,000 00 2012	PC -Public charity	8,000	-
Center for Assessment and Policy Development 1622 Riverside Drive Trenton, NJ 08618 <i>Replication of Legislative Climate Tool</i> \$15,000.00 2012	PC -Public charity	8,000	-
Community Mediation, Inc. 3013 Dixwell Ave. Hamden, CT 06518 <i>Community Conversations About Education</i> \$113,000 00 2013	PC -Public charity	56,500	-
Community Mediation, Inc. 3013 Dixwell Ave	PC -Public charity	5,000	5,000

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
Hamden, CT 06518 <i>Community Conversations About Education</i> \$10,000 00 2014			
Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Core Support & Communication Outreach and Engagement</i> \$200,000 00 2013	PC -Public charity	200,000	-
Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Influencing and Implementing Effective Early Care and Education Policies</i> \$100,000.00 2013	PC -Public charity	100,000	-
Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Parent Organizing Project (POP) Parent Voices 2B Heard</i> \$100,000.00 2013	PC -Public charity	100,000	-
Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Influencing and Implementing Effective Early Care and Education Policies for a More Equitable Connecticut</i> \$100,000.00 2014	PC -Public charity	-	100,000
Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Alliance Core Operating Grant and Communication Project</i> \$200,000.00 2014	PC -Public charity	-	200,000
Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Connecticut Parent Power-Parent Organized Voices 2B Heard "Your Family Stories Matter."</i> \$100,000 00 2014	PC -Public charity	-	100,000
The Connecticut News Project 53 Oak Street, Suite 15	PC -Public charity	-	25,000

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
Hartford, CT 06106 <i>Understanding Complex Childhood Development and Education Policy in Connecticut</i> \$25,000 00 2014			
Connecticut Voices for Children 33 Whitney Ave. New Haven, CT 06510 <i>Early Care and Education Year XIV 2014</i> \$100,000 00 2013	PC -Public charity	100,000	-
Connecticut Voices for Children 33 Whitney Ave New Haven, CT 06510 <i>Early Care and Education Year VX</i> \$100,000 00 2014	PC -Public charity	-	100,000
CT Association for Education of Young Children 7 Corporate Drive, Unit 118 North Haven, CT 06473 <i>Transition Core Operating Support</i> \$20,000.00 2013	PC -Public charity	20,000	-
Local Initiatives Support Corporation 501 Seventh Avenue, 8th Floor New York, NY 10018 <i>Children's Investment Partnership - Recoverable Grants</i> \$50,000.00 2008	PC -Public charity	-	-
Local Initiatives Support Corporation 501 Seventh Avenue, 8th Floor New York, NY 10018 \$25,000 00 2013	PC -Public charity	25,000	-
New Connecticut Foundation, Inc. 805 Brook Street Building 4 Rocky Hill, CT 06067 <i>Connecticut Early Childhood Portal</i> \$25,000.00 2013	PC -Public charity	25,000	-
New Connecticut Foundation, Inc. 805 Brook Street Building 4 Rocky Hill, CT 06067 <i>Connecticut Early Childhood Portal</i> \$25,000.00 2014	PC -Public charity	-	25,000
Public Square Partnership 1730 Commerce Drive, Suite C Bridgeport, CT 06605 <i>General Support</i> \$10,000.00 2014	PC -Public charity	10,000	-
Rensselaer Hartford 110 8th Street Troy, NY 12180 <i>Parent Trust Fund's Parent Leadership Training Grants</i> \$250,000 00	PC -Public charity	250,000	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
2013 Southern Connecticut State University Foundation, Inc. 501 Crescent Street New Haven, CT 06515 <i>Targeted Assessment of Foundation Contribution</i> \$33,000.00	PC -Public charity	10,000	-
2012 State Education Resource Center 25 Industrial Park Road Middletown, CT 06457 <i>Parent Trust Fund Grant/Parent Leadership Training</i> \$218,750 00	PC -Public charity	-	250,000
2014 Connecticut Center for School Change 151 New Park Avenue Suite15 Hartford, CT 06106 <i>General Support</i> \$700,000.00	PC -Public charity	350,000	-
2013 Connecticut Center for School Change 151 New Park Avenue Suite15 Hartford, CT 06106 \$700,000 00	PC -Public charity	350,000	350,000
2014 Center for Assessment and Policy Development 1622 Riverside Drive Trenton, NJ 08618 <i>Continuation of Discovery Timeline Reflection and Documentation Process</i> \$15,000 00	PC -Public charity	10,000	5,000
2013 Center for Assessment and Policy Development 1622 Riverside Drive Trenton, NJ 08618 <i>Grounding early childhood advocacy in a Connecticut context: Sharing a story of how the Memorial Fund supported advocacy</i> \$10,000.00	PC -Public charity	-	10,000
2014 FSG 500 Boylston Street #600 Boston, MA 02116 <i>The Collective Impact Forum, Funder Community of Practice</i> \$25,000 00	PC -Public charity	20,000	5,000
2013 Grantmakers for Effective Organizations 1725 DeSales St NW Suite 404 Washington, DC 20036 <i>Exploring Action, Learning, Equity and collective Impact</i> \$25,000.00	PC -Public charity	-	50,000
2014			

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
University of Connecticut - School of Education University of Connecticut 249 Glenbrook Road Unit 2064C Storrs, CT 06269 <i>Examining Levers of Change in Discovery Communities</i> \$55,000 00 2013	PC -Public charity	55,000	-
Southern Connecticut State University Foundation, Inc. 501 Crescent Street New Haven, CT 06515 <i>Targeted Assessment of Foundation Contribution</i> \$40,000 00 2013	PC -Public charity	20,000	20,000
University of Connecticut Sponsored Programs, OVPR 483 Whitney Road, Unit 1133 Storrs, CT 06269 <i>Re-examing data driven collective impact philanthropy from a community location</i> \$20,000.00 2014	PC -Public charity	-	20,000
UNIVERSITY OF HARTFORD 200 Bloomfield Ave. West Hartford, CT 06117 <i>Parent Leadership and Information Parent Inquiry & Learning Community</i> \$16,000.00 2013	PC -Public charity	7,000	-
UNIVERSITY OF HARTFORD 200 Bloomfield Ave. West Hartford, CT 06117 <i>Parent Information and Inquiry Network</i> \$10,000 00 2013	PC -Public charity	7,000	3,000
UNIVERSITY OF HARTFORD 200 Bloomfield Ave. West Hartford, CT 06117 <i>Parent Inquiry Initiative (Parentii) - Knowledge Transfer</i> \$75,000.00 2014	PC -Public charity	-	75,000
University of Massachusetts Center for Public Policy & Administration c/o Office of Grant Contract Adminstration 70 Butterfield Terrace Amherst, MA 01003 <i>Connecticut's Early Childhood Advocacy Processes, 2001- Present: A Case Study</i> \$15,326.00 2014	PC -Public charity	-	15,326
Western Connecticut State University 181 White Street Danbury, CT 06810 <i>Development of Parenting Curriculum for Pre-School Teachers</i> \$10,000 00 2013	PC -Public charity	7,000	3,000

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
Western Connecticut State University 181 White Street Danbury, CT 06810 <i>Changing teacher paradigms through curriculum processed grounded in parent perception</i> \$40,000.00 2014	PC -Public charity	-	40,000
Area Cooperative Educational Services 350 State Street North Haven, CT 06473 <i>East Haven Discovery</i> \$2,231.00 2013	PC -Public charity	1,116	-
Area Cooperative Educational Services 350 State Street North Haven, CT 06473 <i>East Haven Discovery</i> \$7,230.00 2014	PC -Public charity	3,615	3,615
ASCEND MENTORING INC 34 Maple Avenue Windsor, CT 06095 <i>Windsor Discovery</i> \$6,985.00 2013	PC -Public charity	3,492	-
ASCEND MENTORING INC 34 Maple Avenue Windsor, CT 06095 <i>Windsor Discovery</i> \$15,000.00 2014	PC -Public charity	-	15,000
The Bridge Family Center, Inc. 1022 Farmington Avenue West Hartford, CT 06107 <i>West Hartford Discovery</i> \$31,211.00 2013	PC -Public charity	26,211	-
The Bridge Family Center, Inc. 1022 Farmington Avenue West Hartford, CT 06107 <i>West Hartford Discovery</i> \$30,107.00 2014	PC -Public charity	10,000	20,107
Child Health and Development Institute of Connecticut, Inc. 270 Farmington Avenue Suite 367 Farmington, CT 06032 <i>Continuation of the collaborative work</i> \$100,000.00 2014	PC -Public charity	100,000	-
Community Foundation of Greater New Britain 74A Vine Street New Britain, CT 06052 <i>New Britain Discovery</i> \$41,211 00 2013	PC -Public charity	33,211	-
Community Foundation of Greater New Britain 74A Vine Street	PC -Public charity	4,211	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
New Britain, CT 06052 <i>Southington Discovery</i> \$9,211.00 2013			
Community Foundation of Greater New Britain 74A Vine Street New Britain, CT 06052 <i>New Britain Discovery</i> \$48,107.00 2014	PC -Public charity	20,000	28,107
Community Foundation of Greater New Britain 74A Vine Street New Britain, CT 06052 <i>Southington Discovery</i> \$33,107.00 2014	PC -Public charity	12,000	21,107
Community Health Resources, Inc. 995 Day Hill Road Windsor, CT 06095 <i>Enfield Discovery</i> \$33,107.00 2014	PC -Public charity	12,000	21,107
Connecticut Center for School Change 151 New Park Avenue Suite15 Hartford, CT 06106 <i>Early Literacy Partnership Grant</i> \$125,000.00 2013	PC -Public charity	62,500	-
Connecticut Center for School Change 151 New Park Avenue Suite15 Hartford, CT 06106 <i>Early Literacy Partnership Grant</i> \$100,000.00 2014	PC -Public charity	50,000	50,000
Coventry Early Childhood Center 1776 Main Street Coventry, CT 06238 <i>Coventry Discovery</i> \$23,331.00 2013	PC -Public charity	11,831	-
Coventry Early Childhood Center 1776 Main Street Coventry, CT 06238 <i>Mansfield Discovery</i> \$16,211.00 2013	PC -Public charity	8,211	-
Coventry Early Childhood Center 1776 Main Street Coventry, CT 06238 <i>Coventry Discovery</i> \$30,227.00 2014	PC -Public charity	10,000	20,227
Coventry Early Childhood Center 1776 Main Street Coventry, CT 06238 <i>Mansfield Discovery</i> \$23,107.00 2014	PC -Public charity	7,000	16,107

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
East Hartford ChildPlan, Inc. East Hartford Community Cultural Center 50 Chapman Place East Hartford, CT 06108 <i>East Hartford Discovery</i> \$16,211.00 2013	PC -Public charity	8,211	-
East Hartford ChildPlan, Inc. East Hartford Community Cultural Center 50 Chapman Place East Hartford, CT 06108 <i>East Hartford Discovery</i> \$23,107.00 2014	PC -Public charity	7,000	16,107
EASTCONN 376 Hartford Turnpike Hampton, CT 06247 <i>Chaplin Discovery</i> \$26,529.00 2013	PC -Public charity	21,529	-
EASTCONN 376 Hartford Turnpike Hampton, CT 06247 <i>Killingly, Plainfield, Putnam and Sterling Discovery</i> \$26,211.00 2013	PC -Public charity	13,211	-
EASTCONN 376 Hartford Turnpike Hampton, CT 06247 <i>Killingly, Plainfield, Putnam and Sterling Discovery</i> \$33,107.00 2014	PC -Public charity	12,000	21,107
EASTCONN 376 Hartford Turnpike Hampton, CT 06247 <i>Chaplin Discovery</i> \$19,575.00 2014	PC -Public charity	7,000	12,575
Education Connection 355 Goshen Road P.O. Box 909 Litchfield, CT 06759 <i>Torrington Discovery</i> \$41,211.00 2013	PC -Public charity	21,211	-
Education Connection 355 Goshen Road P.O. Box 909 Litchfield, CT 06759 <i>Thomaston Discovery</i> \$10,000.00 2013	PC -Public charity	5,000	-
Education Connection 355 Goshen Road P.O. Box 909 Litchfield, CT 06759 <i>Thomaston Discovery</i> \$10,000.00 2014	PC -Public charity	5,000	5,000
Education Connection 355 Goshen Road	PC -Public charity	20,000	28,107

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
P O. Box 909 Litchfield, CT 06759 <i>Torrington Discovery</i> \$48,107.00 2014			
First Congregational Church 10 Wintonbury Avenue Bloomfield, CT 06002 <i>Bloomfield Discovery</i> \$9,211.00 2013	PC -Public charity	4,211	-
First Congregational Church 10 Wintonbury Avenue Bloomfield, CT 06002 <i>Bloomfield Discovery</i> \$4,167.00 2014	PC -Public charity	2,084	2,084
Hockanum Valley Child Care Center, Inc. 695 Hartford Turnpike Vernon, CT 06066 <i>Vernon Discovery</i> \$25,374.00 2013	PC -Public charity	12,374	-
Hockanum Valley Child Care Center, Inc. 695 Hartford Turnpike Vernon, CT 06066 <i>Vernon Discovery</i> \$22,937.00 2014	PC -Public charity	12,000	10,937
LEARN 44 Hatchetts Hill Road Old Lyme, CT 06371 <i>Groton Discovery</i> \$13,211.00 2013	PC -Public charity	8,211	-
LEARN 44 Hatchetts Hill Road Old Lyme, CT 06371 <i>New London Discovery</i> \$9,211.00 2013	PC -Public charity	4,211	-
LEARN 44 Hatchetts Hill Road Old Lyme, CT 06371 <i>New London Discovery</i> \$4,167.00 2014	PC -Public charity	2,084	2,083
LEARN 44 Hatchetts Hill Road Old Lyme, CT 06371 <i>Groton Discovery</i> \$23,107.00 2014	PC -Public charity	7,000	16,107
Manchester Early Learning Center, Inc. 80 Waddell Road Manchester, CT 06040 <i>Manchester Discovery</i> \$26,211.00 2013	PC -Public charity	13,211	-
Manchester Early Learning Center, Inc. 80 Waddell Road Manchester, CT 06040 <i>Manchester Discovery</i>	PC -Public charity	12,000	11,107

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
\$23,107.00 2014			
Meriden Children First Initiative 165 Miller Street Meriden, CT 06450 <i>Meriden Discovery</i> \$16,211.00 2013	PC -Public charity	8,211	-
Meriden Children First Initiative 165 Miller Street Meriden, CT 06450 <i>Meriden Discovery</i> \$13,107.00 2014	PC -Public charity	6,553	6,554
Middlesex United Way 100 Riverview Center, Suite 230 Middletown, CT 06457 <i>Middletown Discovery</i> \$41,211.00 2013	PC -Public charity	21,211	-
Middlesex United Way 100 Riverview Center, Suite 230 Middletown, CT 06457 <i>Middletown Discovery</i> \$48,107.00 2014	PC -Public charity	20,000	28,107
Spanish Community of Wallingford, Inc. 284 Washington Street Wallingford, CT 06492 <i>Wallingford Discovery</i> \$9,211.00 2013	PC -Public charity	4,211	-
Spanish Community of Wallingford, Inc. 284 Washington Street Wallingford, CT 06492 <i>Wallingford Discovery</i> \$4,167.00 2014	PC -Public charity	2,085	2,082
Stafford Library Association 10 Levinthal Run Stafford Springs, CT 06076 <i>Stafford Discovery</i> \$9,211.00 2013	PC -Public charity	4,211	-
Stafford Library Association 10 Levinthal Run Stafford Springs, CT 06076 <i>Stafford Discovery</i> \$13,107.00 2014	PC -Public charity	1,555	11,552
Stratford Library Association 2203 Main Street Stratford, CT 06615 <i>Stratford Discovery</i> \$10,000.00 2013	PC -Public charity	5,000	-
Stratford Library Association 2203 Main Street Stratford, CT 06615 <i>Stratford Discovery</i> \$10,000.00 2014	PC -Public charity	5,000	5,000
TEAM Inc.	PC -Public	13,211	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
30 Elizabeth Street Derby, CT 06418 <i>Derby Discovery</i> \$26,211 00 2013	charity		
TEAM Inc. 30 Elizabeth Street Derby, CT 06418 <i>Derby Discovery</i> \$29,507.00 2014	PC -Public charity	12,000	17,507
Thames Valley Council for Community Action, Inc. 1 Sylvandale Road Jewett City, CT 06351 <i>Griswold Discovery</i> \$34,211.00 2013	PC -Public charity	29,211	-
Thames Valley Council for Community Action, Inc. 1 Sylvandale Road Jewett City, CT 06351 <i>Lisbon Discovery</i> \$8,155.00 2013	PC -Public charity	4,077	-
Thames Valley Council for Community Action, Inc. 1 Sylvandale Road Jewett City, CT 06351 <i>Griswold Discovery</i> \$33,107.00 2014	PC -Public charity	12,000	21,107
Thames Valley Council for Community Action, Inc. 1 Sylvandale Road Jewett City, CT 06351 <i>Lisbon Discovery</i> \$6,960.00 2014	PC -Public charity	3,480	3,480
The Thompson Ecumenical Empowerment Group, Inc. 65 Main Street P.O. Box 664 North Grosvenordale, CT 06255 <i>Thompson Discovery</i> \$10,000.00 2013	PC -Public charity	5,000	-
The Thompson Ecumenical Empowerment Group, Inc. 65 Main Street P.O. Box 664 North Grosvenordale, CT 06255 <i>Thompson Discovery</i> \$10,000.00 2014	PC -Public charity	5,000	5,000
United Community and Family Services, Inc. 34 East Town Street Norwich, CT 06360 <i>Colchester Discovery</i> \$41,211.00 2013	PC -Public charity	21,211	-
United Community and Family Services,	PC -Public	4,211	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
Inc. 34 East Town Street Norwich, CT 06360 <i>Norwich Discovery</i> \$9,211.00 2013	charity		
United Community and Family Services, Inc. 34 East Town Street Norwich, CT 06360 <i>Norwich Discovery</i> \$33,107.00 2014	PC -Public charity	12,000	21,107
United Community and Family Services, Inc. 34 East Town Street Norwich, CT 06360 <i>Colchester Discovery</i> \$48,107.00 2014	PC -Public charity	20,000	28,107
United Way of Central and Northeastern Connecticut 30 Laurel Street Hartford, CT 06106 <i>Enfield Discovery</i> \$26,211.00 2013	PC -Public charity	13,211	-
United Way of Coastal Fairfield 855 Main Street 10th Floor Bridgeport, CT 06604 <i>Norwalk Discovery</i> \$16,211.00 2013	PC -Public charity	8,211	-
United Way of Coastal Fairfield 855 Main Street 10th Floor Bridgeport, CT 06604 <i>Bridgeport Discovery</i> \$41,211.00 2013	PC -Public charity	33,211	-
United Way of Coastal Fairfield 855 Main Street 10th Floor Bridgeport, CT 06604 <i>Bridgeport Discovery</i> \$48,107.00 2014	PC -Public charity	20,000	28,107
United Way of Coastal Fairfield 855 Main Street 10th Floor Bridgeport, CT 06604 <i>Norwalk Discovery</i> \$23,107.00 2014	PC -Public charity	7,000	16,107
United Way of Greater New Haven 370 James Street, 4th Floor New Haven, CT 06513 <i>Branford Discovery</i> \$26,211.00 2013	PC -Public charity	13,211	-
United Way of Greater New Haven 370 James Street, 4th Floor	PC -Public charity	13,211	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
New Haven, CT 06513 <i>Hamden Discovery</i> \$26,211.00 2013			
United Way of Greater New Haven 370 James Street, 4th Floor New Haven, CT 06513 <i>New Haven Discovery</i> \$41,211.00 2013	PC -Public charity	21,211	-
United Way of Greater New Haven 370 James Street, 4th Floor New Haven, CT 06513 <i>Branford Discovery</i> \$33,107.00 2013	PC -Public charity	12,000	21,107
United Way of Greater New Haven 370 James Street, 4th Floor New Haven, CT 06513 <i>Hamden Discovery</i> \$33,107.00 2014	PC -Public charity	12,000	21,107
United Way of Greater New Haven 370 James Street, 4th Floor New Haven, CT 06513 <i>New Haven Discovery</i> \$48,107.00 2014	PC -Public charity	20,000	28,107
United Way of Greater Waterbury 100 North Elm Street Waterbury, CT 06702 <i>Waterbury Discovery</i> \$16,211.00 2013	PC -Public charity	8,211	-
United Way of Greater Waterbury 100 North Elm Street Waterbury, CT 06702 <i>Waterbury Discovery</i> \$23,107.00 2014	PC -Public charity	7,000	16,107
United Way of Greenwich 1 Lafayette Court Greenwich, CT 06830 <i>Greenwich Discovery</i> \$9,960.00 2013	PC -Public charity	4,980	-
United Way of Greenwich 1 Lafayette Court Greenwich, CT 06830 <i>Greenwich Discovery</i> \$9,690.00 2014	PC -Public charity	4,845	4,845
United Way of Milford 20 Evergreen Ave. Milford, CT 06460 <i>Milford Discovery</i> \$10,000.00 2013	PC -Public charity	5,000	-
United Way of Milford 20 Evergreen Ave. Milford, CT 06460 <i>Milford Discovery</i> \$10,000.00	PC -Public charity	5,000	5,000

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
2014 United Way of Naugatuck and Beacon Falls, Inc. 284 Church Street PO Box 209 Naugatuck, CT 06770 <i>Naugatuck Discovery</i> 26211	PC -Public charity	13,211	-
2013 United Way of Naugatuck and Beacon Falls, Inc. 284 Church Street PO Box 209 Naugatuck, CT 06770 <i>Naugatuck Discovery</i> \$33,107.00	PC -Public charity	12,000	21,107
2014 United Way of West Central Connecticut, Inc. 200 Main Street Bristol, CT 06010 <i>Bristol Discovery</i> \$16,211.00	PC -Public charity	8,211	-
2013 United Way of West Central Connecticut, Inc. 200 Main Street Bristol, CT 06010 <i>Plymouth Discovery</i> \$26,211.00	PC -Public charity	13,211	-
2013 United Way of West Central Connecticut, Inc. 200 Main Street Bristol, CT 06010 <i>Bristol Discovery</i> \$21,587.00	PC -Public charity	7,000	14,587
2014 United Way of West Central Connecticut, Inc. 200 Main Street Bristol, CT 06010 <i>Plymouth Discovery</i> \$32,497.00	PC -Public charity	12,000	20,497
2014 United Way of Western Connecticut 1150 Summer Street, 2nd Floor Stamford, CT 06905 <i>Stamford Discovery</i> \$9,211.00	PC -Public charity	4,211	-
2013 United Way of Western Connecticut 85 West Street Danbury, CT 06810 <i>Danbury Discovery</i> \$16,211.00	PC -Public charity	8,211	-
2013 United Way of Western Connecticut 85 West Street Danbury, CT 06810 <i>Danbury Discovery</i> \$23,107.00	PC -Public charity	7,000	16,107
2014			

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
United Way of Western Connecticut 1150 Summer Street, 2nd Floor Stamford, CT 06905 <i>Stamford Discovery</i> \$4,167.00 2014	PC -Public charity	2,084	2,083
Valley United Way 54 Grove Street Shelton, CT 06484 <i>Ansonia Discovery</i> \$26,211.00 2013	PC -Public charity	13,211	-
Valley United Way 54 Grove Street Shelton, CT 06484 <i>Shelton Discovery</i> \$10,000.00 2013	PC -Public charity	5,000	-
Valley United Way 54 Grove Street Shelton, CT 06484 <i>Ansonia Discovery</i> \$50,680.00 2014	PC -Public charity	10,000	40,680
Valley United Way 54 Grove Street Shelton, CT 06484 <i>Shelton Discovery</i> \$10,000.00 2014	PC -Public charity	5,000	5,000
Windham Regional Community Council, Inc. 872 Main Street Willimantic, CT 06226 <i>Windham Discovery</i> \$10,000.00 2013	PC -Public charity	5,000	-
Windham Regional Community Council, Inc. 872 Main Street Willimantic, CT 06226 <i>Windham Discovery</i> \$10,000.00 2014	PC -Public charity	5,000	5,000
Winsted Area Child Care Center, Inc. P.O. Box 585 185 Prospect St. Winsted, CT 06098 <i>Winchester Discovery</i> \$34,211.00 2013	PC -Public charity	29,211	-
Winsted Area Child Care Center, Inc. P.O. Box 585 185 Prospect St. Winsted, CT 06098 <i>Winchester Discovery</i> \$28,107.00 2014	PC -Public charity	12,000	16,107
YMCA of Greater Hartford 12 N. Main Street West Hartford, CT 06107 <i>Wethersfield Discovery</i> \$26,211.00	PC -Public charity	13,211	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
2013 YMCA of Greater Hartford 12 N Main Street West Hartford, CT 06107 <i>Wethersfield Discovery</i> \$29,347 00	PC -Public charity	10,000	19,347
2014 Connecticut Council for Philanthropy 221 Main Street Hartford, CT 06106 <i>Connecticut Early Childhood Funders Collaborative</i> \$300,000.00	PC -Public charity	110,000	160,000
2013 Bridgeport Child Advocacy Coalition Burroughs Community Center 2470 Fairfield Avenue Bridgeport, CT 06605 <i>Annual Breakfast</i> \$1,500.00	PC -Public charity	1,500	-
2014 Bridgeport Public Education Fund, Inc. 446 University Avenue Bridgeport, CT 06604 <i>Remembering Brown vs. Board of Education</i> \$2,500.00	PC -Public charity	2,500	-
2014 Community Mediation, Inc. 3013 Dixwell Ave. Hamden, CT 06518 <i>Charles A. Pillsbury Peacemaker Award</i> \$1,000.00	PC -Public charity	1,000	-
2014 Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Analysis of the Achievement Gap Data in Connecticut</i> \$5,000 00	PC -Public charity	5,000	-
2014 Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Parent Leadership Training Institute</i> \$5,000 00	PC -Public charity	5,000	-
2014 Connecticut Association for Human Services 237 Hamilton Street Suite 208 Hartford, CT 06106 <i>Parent Power's 2nd Annual Red Carpet Hero Award Gala</i> \$5,000 00	PC -Public charity	5,000	-
2014 Connecticut Association for Human Services 237 Hamilton Street	PC -Public charity	3,000	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
Suite 208 Hartford, CT 06106 <i>First Annual Parent Leadership Conference</i> \$3,000.00 2014			
Connecticut Consortium of Education Foundations P O Box 1032 Weston, CT 06883 <i>General Support</i> \$2,500 00 2014	PC -Public charity	2,500	-
Danbury Children First, Inc. 83 West Street Danbury, CT 06810 <i>General Support</i> \$5,000.00 2014	PC -Public charity	5,000	-
Excel Bridgeport 1057 Broad St. Suite 302 Bridgeport, CT 06604 <i>General Support</i> \$1,000.00 2014	PC -Public charity	1,000	-
Manchester Community College Foundation Great Path,M.S.#6 P.O.Box 1046 Manchester, CT 06045-1046 <i>Cliff Teutsch Scholarship Fund</i> \$500.00 2014	PC -Public charity	500	-
Read to Grow, Inc. 53 School Ground Road Unit 3 Branford, CT 06405 <i>April 15, 2014 Fundraiser Luncheon</i> \$875.00 2014	PC -Public charity	875	-
STEPS, Inc. P.O. Box 1907 Groton, CT 06340 <i>2014 Summer Leadership Programs</i> \$500.00 2014	PC -Public charity	500	-
Urban League of Southern Connecticut 2777 Summer Street Stamford, CT 06905 <i>2014 Women's Empowerment Summit</i> \$2,500 00 2014	PC -Public charity	2,500	-
Westville Community Nursery School, Inc. 34 Harrison Street New Haven, CT 06515 <i>General Support</i> \$2,500 00 2014 2014	PC -Public charity	2,500	-
Connecticut Association of Nonprofits 75 Charter Oak Avenue, Suite 1-100 Hartford, CT 06106	PC -Public charity	880	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
2014 Membership \$880.00 2014			
Connecticut Council for Philanthropy 221 Main Street Hartford, CT 06106	PC -Public charity	1,100	-
2014 Membership \$6,500.00 2013			
Connecticut Council for Philanthropy 221 Main Street Hartford, CT 06106	PC -Public charity	5,800	-
2015 Membership \$5,800.00 2014			
Council on Foundations 2121 Crystal Drive Suite 700 Arlington, VA 22202	PC -Public charity	11,440	-
2014 Membership \$11,440 00 2014			
Exponent Philanthropy 1720 N Street NW Washington, DC 20036 Exponent Philanthropy's 2014 Annual Campaign \$1,000.00 2014	PC -Public charity	1,000	-
Grantmakers for Children, Youth and Families 12138 Central Avenue, Suite 422 Mitchville, MD 20721	PC -Public charity	1,500	-
2014 Membership \$1,500.00 2014			
Grantmakers for Effective Organizations 1725 DeSales St. NW Suite 404 Washington, DC 20036	PC -Public charity	3,050	-
2014 Membership \$3,050.00 2014			
Grants Managers Network 1666 K Street Washington, DC 20006	PC -Public charity	1,500	-
2014 Membership \$1,500.00 2014			
Grassroots Grantmakers PO Box G Hallettsville, TX 77964	PC -Public charity	1,000	-
2015 Membership \$1,000.00 2014			
Hispanics in Philanthropy 414 13thStreet, Suite 200 Oakland, CA 94612	PC -Public charity	1,500	-
2014 Membership \$1,500.00 2014			
Independent Sector 1602 L Street NW	PC -Public charity	5,000	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
Suite 900 Washington, DC 20036 2014 Membership \$5,000.00 2014			
Interfaith Center on Corporate Responsibility 475 Riverside Drive, Suite 1842 New York, NY 10115 2014 Membership \$5,000.00 2014	PC -Public charity	5,000	-
National Center for Family Philanthropy 1101 Connecticut Ave NW Suite 220 Washington, DC 20036 2014 Membership \$5,000.00 2014	PC -Public charity	5,000	-
National Committee for Responsive Philanthropy 1331 H Street NW Suite 200 Washington, DC 20005 2014 Membership \$1,000.00 2014	PC -Public charity	1,000	-
National Committee for Responsive Philanthropy 1331 H Street NW Suite 200 Washington, DC 20005 2015 Membership \$3,000.00 2014	PC -Public charity	3,000	-
Philanthropy New York 1500 Broadway, 7th Floor New York, NY 10036 2014 Membership \$1,200.00 2014	PC -Public charity	1,200	-
Philanthropy Northwest 2101 Fourth Avenue, Suite 650 Seattle, Washington 98121-2357 2014 Membership \$500.00 2014		500	-
Community Foundation of Greater New Britain 74A Vine Street New Britain, CT 06052 Southington Parents and Caregivers Encourage Success (SPACES) \$1,000.00 2014	PC -Public charity	1,000	-
Thames Valley Council for Community Action, Inc. 1 Sylvandale Road Jewett City, CT 06351 "Guys & Games" - A Parent Engagement \$1,000.00 2014	PC -Public charity	1,000	-
United Way of Greater Waterbury	PC -Public	1,000	-

Recipient and/or Purpose	Tax Status	Amount Paid 2014	Ending Balance 2014
100 North Elm Street Waterbury, CT 06702 <i>3rd Annual Latin Mother Conference</i> \$1,000.00 2014	charity		
United Way of Western Connecticut 1150 Summer Street, 2nd Floor Stamford, CT 06905 Latino Parent Advisory Board \$1,000 00 2014	PC -Public charity	1,000	-
Eastconn		(10,420)	
Grant Refunded Yale University		(163)	
Grant Refunded			
		3,205,219	2,407,522

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST COMPANY	103,462.	103,462.	103,462.
TOTAL TO PART I, LINE 3	103,462.	103,462.	103,462.

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
NORTHERN TRUST COMPANY	234,412.	0.	234,412.	234,412.	234,412.
TIFF ARP	60,404.	0.	60,404.	60,404.	60,404.
TIFF MULTI-ASSET FUND	3,505,896.	2,316,583.	1,189,313.	1,189,313.	1,189,313.
VANGUARD	340.	0.	340.	340.	340.
TO PART I, LINE 4	3,801,052.	2,316,583.	1,484,469.	1,484,469.	1,484,469.

FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
SECURITIES LITIGATION SETTLEMENT	38,108.	38,108.	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS V LP	285,771.	285,771.	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VI, L.P.	117,548.	117,548.	
PTSHP INCOME - CF CAPITAL INTERNATIONAL PARTNERS VII	-3,369.	-3,369.	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VI LP	52,743.	52,743.	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS VII	16,391.	16,391.	
PTSHP INCOME - CF CAPITAL PRIVATE EQUITY PARTNERS IX	-1,325.	-1,325.	
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS VII LP	77,643.	77,643.	

PTSHP INCOME - CF CAPITAL VENTURE PARTNERS VIII	127,456.	127,456.
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS IX	3,866.	3,866.
PTSHP INCOME - CF CAPITAL VENTURE PARTNERS X	-2,347.	-2,347.
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS VII	144,001.	144,001.
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS VIII	-11,054.	-11,054.
PTSHP INCOME - CF CAPITAL NATURAL RESOURCES PARTNERS IX	-23,140.	-23,140.
PTSHP INCOME - TIFF PARTNERS II	-2,951.	-2,951.
PTSHP INCOME - TIFF PARTNERS III	30,453.	30,453.
PTSHP INCOME - TIFF PARTNERS IV	248,676.	248,676.
PTSHP INCOME - TIFF PARTNERS V - US	61,732.	61,732.
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS I	13,066.	13,066.
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS II	120,469.	120,469.
PTSHP INCOME - TIFF REAL & RESOURCE PARTNERS III	165,784.	165,784.
PTSHP INCOME - TIFF REAL ESTATE I	151,236.	151,236.
PTSHP INCOME - TIFF REAL ESTATE II	54,511.	54,511.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2005	100,887.	100,887.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2006	272,513.	272,513.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2007	107,345.	107,345.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2008	62,870.	62,870.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2009	21,090.	21,090.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2010	-3,081.	-3,081.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2011	12,182.	12,182.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2012	-6,906.	-6,906.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2013	-29,761.	-29,761.
PTSHP INCOME - TIFF PRIVATE EQUITY PARTNERS 2014	-11,531.	-11,531.
PTSHP INCOME - TIFF REALTY AND RESOURCES 2008	13,267.	13,267.
PTSHP INCOME - TIFF REALTY AND RESOURCES 2009	3,874.	3,874.
PTSHP INCOME - TIFF SECONDARY PARTNERS II	381,793.	381,793.
PTSHP INCOME - TIFF SPECIAL OPPORTUNITY FUND	6,780.	6,780.
PTSHP INCOME - TIFF REALTY OPPORTUNITY FUND	4,764.	4,764.

PTSHP INCOME - LL OPPORTUNISTIC FUND	2,463.	2,463.
PTSHP INCOME - MONDRIAN IEF, LP	192,141.	192,141.
PTSHP INCOME - CROW	-3,024.	-3,024.
PTSHP INCOME - TUCKERBROOK	31,515.	31,515.
PTSHP INCOME - POMONA	71,951.	71,951.
PTSHP INCOME - NYES LEDGE	109,702.	109,702.
PTSHP INCOME - TIFF ARP	106,555.	106,555.
PROGRAM FEES	225.	0.
TOTAL TO FORM 990-PF, PART I, LINE 11	3,112,882.	3,112,657.

FORM 990-PF	LEGAL FEES	STATEMENT	4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL	32,847.	5,907.	0.	26,940.
TO FM 990-PF, PG 1, LN 16A	32,847.	5,907.	0.	26,940.

FORM 990-PF	ACCOUNTING FEES	STATEMENT	5	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
AUDITORS	30,700.	15,000.	0.	15,700.
ACCOUNTANTS	82,150.	38,150.	0.	44,000.
TO FORM 990-PF, PG 1, LN 16B	112,850.	53,150.	0.	59,700.

FORM 990-PF	OTHER PROFESSIONAL FEES	STATEMENT	6	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT ADVISOR	216,575.	216,575.	0.	0.
INVESTMENT CUSTODIAN	6,147.	6,147.	0.	0.
INVESTMENT MANAGER	71,373.	71,373.	0.	0.
PROGRAM DEVELOPMENT	149,871.	0.	0.	149,871.
PASS THROUGH OF INVESTMENT FEES AND OTHER DEDUCTIONS	26,884.	26,884.	0.	0.

WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

04-6037391

COMMUNITY LIAISONS	218,352.	0.	0.	218,352.
CAPACITY BUILDING	107,863.	0.	0.	107,863.
TECHNICAL ASSISTANCE PROVIDERS	195,331.	0.	0.	195,331.
WEBSITE DEVELOPMENT	9,771.	0.	0.	9,771.
DISCOVERY FALL CONFERENCE	35,866.	0.	0.	35,866.
DONALD DAVIS WORKSHOP	28,074.	0.	0.	28,074.
KNOWLEDGE MANAGEMENT	3,654.	0.	0.	3,654.
RECRUITMENT	5,608.	0.	0.	5,608.
COMMUNICATIONS	3,488.	0.	0.	3,488.
TO FORM 990-PF, PG 1, LN 16C	1,078,857.	320,979.	0.	757,878.

FORM 990-PF TAXES STATEMENT 7

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN	726.	726.	0.	0.
NYS CHARITABLE FILING FEE	1,500.	0.	0.	1,500.
EXCISE TAX	160,000.	0.	0.	0.
TO FORM 990-PF, PG 1, LN 18	162,226.	726.	0.	1,500.

FORM 990-PF OTHER EXPENSES STATEMENT 8

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL FEES	2,745.	0.	0.	2,745.
DUES, FEES, MEMBERSHIPS	5,020.	0.	0.	5,020.
TRAINING BOARD & STAFF	75,543.	0.	0.	75,543.
OFFICE SUPPLIES	15,054.	0.	0.	15,054.
OFFICE	13,658.	0.	0.	13,658.
COMPUTER MAINTENANCE & SUPPLIES	48,281.	0.	0.	48,281.
POSTAGE	4,458.	0.	0.	4,458.
CONVENING	146,234.	0.	0.	146,234.
TELECOMMUNICATIONS	7,088.	0.	0.	7,088.
LIABILITY INSURANCE	4,628.	0.	0.	4,628.
COMMUNICATIONS	2,700.	0.	0.	2,700.
TO FORM 990-PF, PG 1, LN 23	325,409.	0.	0.	325,409.

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
-------------	--	-----------	---

DESCRIPTION	AMOUNT
CHANGE IN POST-RETIREMENT BENEFIT OBLIGATION	492,071.
CHANGE IN DEFERRED INCOME TAXES	224,951.
 TOTAL TO FORM 990-PF, PART III, LINE 3	 717,022.

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	10
-------------	--	-----------	----

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE - NORTHERN TRUST GOV'T BONDS	X		920,641.	920,641.
VANGUARD LONG-TERM TREASURY FUND	X		7,869.	7,869.
 TOTAL U.S. GOVERNMENT OBLIGATIONS			928,510.	928,510.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
 TOTAL TO FORM 990-PF, PART II, LINE 10A			928,510.	928,510.

FORM 990-PF	CORPORATE STOCK	STATEMENT	11
-------------	-----------------	-----------	----

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
NYES LEDGE CAPITAL OFFSHORE FUND	4,363,944.	4,363,944.
SEE ATTACHED SCHEDULE - NORTHERN TRUST EQUITIES	12,042,276.	12,042,276.
TIFF ABSOLUTE RETURN POOL II	4,751,661.	4,751,661.
TIFF MULTI-ASSET FUND	48,601,351.	48,601,351.
 TOTAL TO FORM 990-PF, PART II, LINE 10B	69,759,232.	69,759,232.

FORM 990-PF	CORPORATE BONDS	STATEMENT 12
-------------	-----------------	--------------

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE - NORTHERN TRUST CORPORATE BONDS	1,813,695.	1,813,695.
TOTAL TO FORM 990-PF, PART II, LINE 10C	1,813,695.	1,813,695.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT 13
-------------	-------------------	--------------

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
COMMONFUND CAPITAL PEP VI	FMV	647,420.	647,420.
COMMONFUND CAPITAL PEP VII	FMV	789,118.	789,118.
COMMONFUND CAPITAL PEP VIII	FMV	186,890.	186,890.
COMMONFUND INTERNATIONAL PARTNERS V	FMV	2,162,907.	2,162,907.
COMMONFUND INTERNATIONAL PARTNERS VI	FMV	2,178,851.	2,178,851.
COMMONFUND INTERNATIONAL PARTNERS VII	FMV	225,400.	225,400.
COMMONFUND NATURAL RESOURCE PARTNERS IX	FMV	380,491.	380,491.
COMMONFUND NATURAL RESOURCE PARTNERS VII	FMV	1,568,381.	1,568,381.
COMMONFUND NATURAL RESOURCE PARTNERS VIII	FMV	389,523.	389,523.
COMMONFUND VENTURE PARTNERS IX	FMV	522,661.	522,661.
COMMONFUND VENTURE PARTNERS VII	FMV	871,804.	871,804.
COMMONFUND VENTURE PARTNERS VIII	FMV	2,914,732.	2,914,732.
COMMONFUND VENTURE PARTNERS X	FMV	247,171.	247,171.
CROW REALTY VI-A	FMV	737,545.	737,545.
LL OPPORTUNISTIC MORTGAGE FUND	FMV	110,036.	110,036.
MONDRIAN IEF, LP	FMV	3,700,177.	3,700,177.
POMONA CAPITAL VI	FMV	1,202,198.	1,202,198.
TIFF LIMITED PARTNERSHIP II	FMV	35,722.	35,722.
TIFF LIMITED PARTNERSHIP III	FMV	124,297.	124,297.
TIFF LIMITED PARTNERSHIP IV	FMV	378,444.	378,444.
TIFF PARTNERS V - US	FMV	888,036.	888,036.
TIFF PEP 2005	FMV	681,961.	681,961.
TIFF PEP 2006	FMV	916,458.	916,458.
TIFF PEP 2007	FMV	1,385,238.	1,385,238.
TIFF PEP 2008	FMV	1,183,040.	1,183,040.
TIFF PEP 2009	FMV	267,314.	267,314.
TIFF PEP 2010	FMV	445,604.	445,604.
TIFF PEP 2011	FMV	242,958.	242,958.
TIFF PEP 2012	FMV	253,014.	253,014.

WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

04-6037391

TIFF PEP 2013	FMV	235,832.	235,832.
TIFF R&R 2008	FMV	570,155.	570,155.
TIFF R&R 2009	FMV	337,298.	337,298.
TIFF REAL ESTATE PARTNERS I	FMV	376,226.	376,226.
TIFF REAL ESTATE PARTNERS II	FMV	1,077,624.	1,077,624.
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP I	FMV	192,390.	192,390.
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP II	FMV	647,103.	647,103.
TIFF REALTY & RESOURCES LIMITED PARTNERSHIP III	FMV	1,031,952.	1,031,952.
TIFF ROF	FMV	700,750.	700,750.
TIFF SECONDARY PARTNERS	FMV	1,128,426.	1,128,426.
TIFF SPECIAL OPPORTUNITY FUND	FMV	175,273.	175,273.
TUCKERBROOK GDF FUND	FMV	944,230.	944,230.
TIFF ABSOLUTE RETURN POOL II-CLASS H	FMV	1,007,661.	1,007,661.
TIFF PEP 2014	FMV	0.	67,460.
COMMONFUND PEP IX	FMV	45,460.	45,460.
TIFF REALTY & RESOURCES IV	FMV	92,792.	92,792.
TOTAL TO FORM 990-PF, PART II, LINE 13		34,200,563.	34,268,023.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 14

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
EQUIPMENT	90,980.	74,929.	16,051.
FURNITURE	178,829.	178,407.	422.
LEASEHOLD IMPROVEMENTS	215,191.	215,191.	0.
TOTAL TO FM 990-PF, PART II, LN 14	485,000.	468,527.	16,473.

FORM 990-PF OTHER ASSETS STATEMENT 15

DESCRIPTION	BEGINNING OF YR BOOK VALUE	END OF YEAR BOOK VALUE	FAIR MARKET VALUE
SECURITY DEPOSITS	12,999.	12,999.	12,999.
LISC LOAN RECEIVABLE	75,000.	54,587.	54,587.
OTHER RECEIVABLE	0.	1,620.	1,620.
TO FORM 990-PF, PART II, LINE 15	87,999.	69,206.	69,206.

FORM 990-PF	OTHER LIABILITIES	STATEMENT 16
-------------	-------------------	--------------

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
POST-RETIREMENT BENEFIT OBLIGATION	0.	492,071.
TOTAL TO FORM 990-PF, PART II, LINE 22	0.	492,071.

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT 17
-------------	---	--------------

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
WILLIAM C GRAUSTEIN ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 15.00	6,125.	0.	0.
BENJAMIN R SHUTE, JR ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 3.00	2,500.	0.	0.
DAVID C OXMAN ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 3.00	0.	0.	0.
DAVID M NEE ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	EXECUTIVE DIRECTOR 50.00	98,812.	10,633.	0.
LISA GRAUSTEIN ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	0.	0.	0.
BARBARA TINNEY ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	2,500.	0.	0.

JESSICA SAGER ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	2,500.	0.	0.
ANGELICA MATOS ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	1,250.	0.	0.
LAURA A. BERRY ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	TRUSTEE 2.00	5,000.	0.	0.
R. DAVID ADDAMS ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	EXECUTIVE DIRECTOR 50.00	196,667.	45,778.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		315,354.	56,411.	0.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT	18
-------------	---	-----------	----

ACTIVITY ONE

CAPACITY BUILDING, TECHNICAL ASSISTANCE AND STRATEGIC SUPPORT

THE MEMORIAL FUND PROVIDES SUPPORT, BEYOND GRANT DOLLARS, TO MANY OF ITS GRANTEES AND OTHER PARTNERS. THESE SUPPORTS HELP TO BUILD SKILLS AROUND FACILITATION, LEADERSHIP, RESULTS-BASED ACCOUNTABILITY, DATA, IMPROVING POLICIES AND PRACTICES, COMMUNITY-BASED DECISION-MAKING, AND OTHER MEANS OF ADVANCING THEIR WORK TOWARD SOCIAL GOALS.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 1

529,828.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 19
-------------	---	--------------

ACTIVITY TWOCOMMUNITY LEADERSHIP PROGRAMS

THE COMMUNITY LEADERSHIP PROGRAMS OFFER NONPROFIT STAFF, VOLUNTEERS, BOARD MEMBERS AND MEMBERS OF THE GREATER NEW HAVEN COMMUNITY OPPORTUNITIES TO LEARN ABOUT AND DISCUSS IMPORTANT ISSUES. THROUGH MEETINGS, FULL DAY SEMINARS, AND YEAR-LONG LEADERSHIP TRAINING, PARTICIPANTS BUILD SKILLS AND DEVELOP A NETWORK OF LEADERS WITHIN THE COMMUNITY, WORKING ON CRITICAL SOCIAL ISSUES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 2

184,795.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 20
-------------	---	--------------

ACTIVITY THREESTONE SOUP CONFERENCE

EACH YEAR THE MEMORIAL FUND BRINGS TOGETHER ITS MANY PARTNERS AND GRANTEEES FOR A DAY OF WORKSHOPS, PEER-TO-PEER EXCHANGES, AND DEEPER LEARNING ABOUT A PARTICULAR ISSUE. IN 2013, THE STONE SOUP CONFERENCE FOCUSED ON LEARNING MORE ABOUT THE IMPACT ON YOUNG CHILDREN OF RACIAL AND ECONOMIC INEQUITIES. A NATIONAL SPEAKER PROVIDED THE KEYNOTE; MANY LOCAL, STATE AND REGIONAL PRESENTERS ENGAGED THE PARTICIPANTS IN A WIDE VARIETY OF LEARNING OPPORTUNITIES.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 3

46,666.

FORM 990-PF	SUMMARY OF DIRECT CHARITABLE ACTIVITIES	STATEMENT 21
-------------	---	--------------

ACTIVITY FOUR

NO-COST MEETING SPACE FOR CONNECTICUT NONPROFITS
THE MEMORIAL FUND PROVIDES MEETING SPACE FOR NONPROFIT
ORGANIZATIONS, AT NO OR LOW COST TO THEM, IN ORDER TO BRING
PEOPLE TOGETHER FOR TRAINING, CONSULTATION, PLANNING,
PROGRAM OVERSIGHT, EVALUATION AND OTHER ACTIVITIES THAT
IMPROVE THE STATUS OF CHILDREN AND FAMILIES IN CONNECTICUT.

EXPENSES

TO FORM 990-PF, PART IX-A, LINE 4

34,942.

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION
PART XV, LINES 2A THROUGH 2D

STATEMENT 22

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

R. DAVID ADAMS, EXECUTIVE DIRECTOR, WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND
1 HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVE
HAMDEN, CT 06518

TELEPHONE NUMBER

203-230-3330

FORM AND CONTENT OF APPLICATIONS

A BRIEF LETTER SHOULD INCLUDE A PROBLEM AND OPPORTUNITY STATEMENT, THE METHODS FOR ADDRESSING THE PROBLEM, AND ITS PROPOSED SOLUTION. ENCLOSE A CURRENT COPY OF IRS 501(C)(3) DETERMINATION LETTER. AFTER THE LETTER IS REVIEWED, AN APPLICATION FORM IS PROVIDED, WHEN APPROPRIATE, FOR COMPLETION BY THE APPLICANT.

ANY SUBMISSION DEADLINES

NO ESTABLISHED DEADLINE.

RESTRICTIONS AND LIMITATIONS ON AWARDS

THE MEMORIAL FUND WILL WORK WITH COMMUNITIES AND NONPROFIT ORGANIZATIONS THAT BENEFIT CONNECTICUT'S CHILDREN THROUGH EDUCATIONAL CHANGE IN SCHOOLS, POLICY RESEARCH AND ADVOCACY, AND INCREASED LEVELS OF COMMUNITY ENGAGEMENT AND PARENTAL INVOLVEMENT. GRANTS ARE NOT MADE TO ORGANIZATIONS THAT ARE NOT RECOGNIZED AS 501(C)(3), INDIVIDUALS, RELIGIOUS ORGANIZATIONS FOR SECTARIAN PURPOSES, CAPITAL CAMPAIGNS, POLITICAL CAUSES AND ACTIVITIES, AND SCHOLARSHIPS.

2014 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	Convention	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	EQUIPMENT	VARIOUS		.000		HY16	90,980.				90,980.	74,929.		0.	74,929.
2	FURNITURE	VARIOUS		.000		HY16	178,829.				178,829.	178,407.		0.	178,407.
3	LEASEHOLD IMPROVEMENTS	VARIOUS		.000		HY16	215,191.				215,191.	215,191.		0.	215,191.
	* TOTAL 990-PF PG 1 DEPR						485,000.				485,000.	468,527.		0.	468,527.

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2014

Name of the organization

WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

Employer identification number

04-6037391

Organization type (check one).

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust not treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, contributions totaling \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II. See instructions for determining a contributor's total contributions.

Special Rules

- ☐ For an organization described in section 501(c)(3) filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi), that checked Schedule A (Form 990 or 990-EZ), Part II, line 13, 16a, or 16b, and that received from any one contributor, during the year, total contributions of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 *exclusively* for religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For an organization described in section 501(c)(7), (8), or (10) filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions *exclusively* for religious, charitable, etc., purposes, but no such contributions totaled more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions totaling \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2014)

Name of organization

Employer identification number

WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

04-6037391

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ANNIE E CASEY FOUNDATION 701 ST. PAUL STREET BALTIMORE, MD 21202	\$ 100,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
2	WILLIAM & JEAN GRAUSTEIN ONE HAMDEN CENTER, SUITE 2B, 2319 WHITNEY AVENUE HAMDEN, CT 06518	\$ 60,000.	Person ☒ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)
			Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions)

Name of organization

Employer identification number

WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND

04-6037391

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	
		\$	

Name of organization	Employer identification number
WILLIAM CASPAR GRAUSTEIN MEMORIAL FUND	04-6037391

Part III Exclusively religious, charitable, etc., contributions to organizations described in section 501(c)(7), (8), or (10) that total more than \$1,000 for the year from any one contributor. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this info once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee