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For calendar year 2014 or tax year beginning

, and ending

|                                                                                                                                                                                                                                                                                                                 |                                                                                                                                                  |                                                                                                                                                                              |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------------------------------------|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| Name of foundation<br><b>John C. and Eunice B. Morrison<br/>Charitable Foundation</b>                                                                                                                                                                                                                           |                                                                                                                                                  | A Employer identification number<br><b>04-3310863</b>                                                                                                                        |
| Number and street (or P.O. box number if mail is not delivered to street address)<br><b>8 P.Sorgi, Tr., 6 Beacon Street</b>                                                                                                                                                                                     | Room/suite<br><b>#1010</b>                                                                                                                       | B Telephone number<br><b>(617) 742-2150</b>                                                                                                                                  |
| City or town, state or province, country, and ZIP or foreign postal code<br><b>Boston, MA 02108</b>                                                                                                                                                                                                             |                                                                                                                                                  | C If exemption application is pending, check here <input type="checkbox"/>                                                                                                   |
| G Check all that apply:<br><input type="checkbox"/> Initial return<br><input type="checkbox"/> Final return<br><input type="checkbox"/> Address change<br><input type="checkbox"/> Initial return of a former public charity<br><input type="checkbox"/> Amended return<br><input type="checkbox"/> Name change |                                                                                                                                                  | D 1. Foreign organizations, check here <input type="checkbox"/><br>2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> |
| H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation<br><input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation                                                               |                                                                                                                                                  | E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>                                                                |
| I Fair market value of all assets at end of year (from Part II, col. (c), line 16)<br><b>\$ 11,779,091.</b>                                                                                                                                                                                                     | J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual<br><input type="checkbox"/> Other (specify) _____ | F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>                                                             |

| Part I Analysis of Revenue and Expenses<br>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).) |  | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|-----------------------------------------------------------------------------------------------------------------------------------------------------|--|------------------------------------|---------------------------|-------------------------|-------------------------------------------------------------|
| 1 Contributions, gifts, grants, etc., received                                                                                                      |  |                                    |                           | N/A                     |                                                             |
| 2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B                                                      |  |                                    |                           |                         |                                                             |
| 3 Interest on savings and temporary cash investments                                                                                                |  | 90.                                | 90.                       |                         | Statement 1                                                 |
| 4 Dividends and interest from securities                                                                                                            |  | 304,767.                           | 304,767.                  |                         | Statement 2                                                 |
| 5a Gross rents                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Net rental income or (loss)                                                                                                                       |  |                                    |                           |                         |                                                             |
| 6a Net gain or (loss) from sale of assets not on line 10                                                                                            |  | 601,074.                           |                           |                         |                                                             |
| b Gross sales price for all assets on line 6a                                                                                                       |  | 4,316,161.                         |                           |                         |                                                             |
| 7 Capital gain net income (from Part IV line 2)                                                                                                     |  |                                    | 601,074.                  |                         |                                                             |
| 8 Net short-term capital gain                                                                                                                       |  |                                    |                           |                         |                                                             |
| 9 Income modifications                                                                                                                              |  |                                    |                           |                         |                                                             |
| 10a Gross sales less returns and allowances                                                                                                         |  |                                    |                           |                         |                                                             |
| b Less Cost of goods sold                                                                                                                           |  |                                    |                           |                         |                                                             |
| c Gross profit or (loss)                                                                                                                            |  |                                    |                           |                         |                                                             |
| 11 Other income                                                                                                                                     |  |                                    | 557.                      |                         | Statement 3                                                 |
| 12 Total. Add lines 1 through 11                                                                                                                    |  | 905,931.                           | 906,488.                  |                         |                                                             |
| 13 Compensation of officers, directors, trustees, etc.                                                                                              |  | 51,772.                            | 31,063.                   |                         | 20,709.                                                     |
| 14 Other employee salaries and wages                                                                                                                |  |                                    |                           |                         |                                                             |
| 15 Pension plans, employee benefits                                                                                                                 |  |                                    |                           |                         |                                                             |
| 16a Legal fees                                                                                                                                      |  |                                    |                           |                         |                                                             |
| b Accounting fees                                                                                                                                   |  |                                    |                           |                         |                                                             |
| c Other professional fees                                                                                                                           |  |                                    |                           |                         |                                                             |
| 17 Interest                                                                                                                                         |  |                                    |                           |                         |                                                             |
| 18 Taxes Stmt 4                                                                                                                                     |  | 4,974.                             | 4,974.                    |                         | 0.                                                          |
| 19 Depreciation and depletion                                                                                                                       |  |                                    |                           |                         |                                                             |
| 20 Occupancy                                                                                                                                        |  |                                    |                           |                         |                                                             |
| 21 Travel, conferences, and meetings                                                                                                                |  |                                    |                           |                         |                                                             |
| 22 Printing and publications                                                                                                                        |  |                                    |                           |                         |                                                             |
| 23 Other expenses Stmt 5                                                                                                                            |  | 105,657.                           | 104,075.                  |                         | 0.                                                          |
| 24 Total operating and administrative expenses. Add lines 13 through 23                                                                             |  | 162,403.                           | 140,112.                  |                         | 20,709.                                                     |
| 25 Contributions, gifts, grants paid                                                                                                                |  | 592,500.                           |                           |                         | 592,500.                                                    |
| 26 Total expenses and disbursements. Add lines 24 and 25                                                                                            |  | 754,903.                           | 140,112.                  |                         | 613,209.                                                    |
| 27 Subtract line 26 from line 12:                                                                                                                   |  |                                    |                           |                         |                                                             |
| a Excess of revenue over expenses and disbursements                                                                                                 |  | 151,028.                           |                           |                         |                                                             |
| b Net investment income (if negative, enter -0-)                                                                                                    |  |                                    | 766,376.                  |                         |                                                             |
| c Adjusted net income (if negative, enter -0-)                                                                                                      |  |                                    |                           | N/A                     |                                                             |

| Part II Balance Sheets      |                                                                                                      | Attached schedules and amounts in the description column should be for end-of-year amounts only |                | Beginning of year     | End of year |          |
|-----------------------------|------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------|----------------|-----------------------|-------------|----------|
|                             |                                                                                                      | (a) Book Value                                                                                  | (b) Book Value | (c) Fair Market Value |             |          |
| Assets                      | 1                                                                                                    | Cash - non-interest-bearing                                                                     |                |                       |             |          |
|                             | 2                                                                                                    | Savings and temporary cash investments                                                          |                | 462,960.              | 676,373.    | 676,373. |
|                             | 3                                                                                                    | Accounts receivable ▶                                                                           |                |                       |             |          |
|                             |                                                                                                      | Less: allowance for doubtful accounts ▶                                                         |                |                       |             |          |
|                             | 4                                                                                                    | Pledges receivable ▶                                                                            |                |                       |             |          |
|                             |                                                                                                      | Less: allowance for doubtful accounts ▶                                                         |                |                       |             |          |
|                             | 5                                                                                                    | Grants receivable                                                                               |                |                       |             |          |
|                             | 6                                                                                                    | Receivables due from officers, directors, trustees, and other disqualified persons              |                |                       |             |          |
|                             | 7                                                                                                    | Other notes and loans receivable ▶                                                              |                |                       |             |          |
|                             |                                                                                                      | Less: allowance for doubtful accounts ▶                                                         |                |                       |             |          |
|                             | 8                                                                                                    | Inventories for sale or use                                                                     |                |                       |             |          |
|                             | 9                                                                                                    | Prepaid expenses and deferred charges                                                           |                |                       |             |          |
|                             | 10a                                                                                                  | Investments - U.S. and state government obligations Stmt 8                                      | 1,519,621.     | 1,565,746.            | 1,567,239.  |          |
|                             | b                                                                                                    | Investments - corporate stock Stmt 9                                                            | 4,424,566.     | 4,367,610.            | 6,021,334.  |          |
|                             | c                                                                                                    | Investments - corporate bonds Stmt 10                                                           | 926,257.       | 1,436,691.            | 1,432,795.  |          |
|                             | 11                                                                                                   | Investments - land, buildings, and equipment basis ▶                                            |                |                       |             |          |
|                             | Less accumulated depreciation ▶                                                                      |                                                                                                 |                |                       |             |          |
| 12                          | Investments - mortgage loans                                                                         |                                                                                                 |                |                       |             |          |
| 13                          | Investments - other Stmt 11                                                                          | 2,631,719.                                                                                      | 2,040,552.     | 2,081,350.            |             |          |
| 14                          | Land, buildings, and equipment: basis ▶                                                              |                                                                                                 |                |                       |             |          |
|                             | Less accumulated depreciation ▶                                                                      |                                                                                                 |                |                       |             |          |
| 15                          | Other assets (describe ▶)                                                                            |                                                                                                 |                |                       |             |          |
| 16                          | <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) | 9,965,123.                                                                                      | 10,086,972.    | 11,779,091.           |             |          |
| Liabilities                 | 17                                                                                                   | Accounts payable and accrued expenses                                                           |                |                       |             |          |
|                             | 18                                                                                                   | Grants payable                                                                                  |                |                       |             |          |
|                             | 19                                                                                                   | Deferred revenue                                                                                |                |                       |             |          |
|                             | 20                                                                                                   | Loans from officers, directors, trustees, and other disqualified persons                        |                |                       |             |          |
|                             | 21                                                                                                   | Mortgages and other notes payable                                                               |                |                       |             |          |
|                             | 22                                                                                                   | Other liabilities (describe ▶)                                                                  |                |                       |             |          |
|                             | 23                                                                                                   | <b>Total liabilities</b> (add lines 17 through 22)                                              | 0.             | 0.                    |             |          |
| Net Assets or Fund Balances | Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>                              |                                                                                                 |                |                       |             |          |
|                             | and complete lines 24 through 26 and lines 30 and 31.                                                |                                                                                                 |                |                       |             |          |
|                             | 24                                                                                                   | Unrestricted                                                                                    |                |                       |             |          |
|                             | 25                                                                                                   | Temporarily restricted                                                                          |                |                       |             |          |
|                             | 26                                                                                                   | Permanently restricted                                                                          |                |                       |             |          |
|                             | Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>            |                                                                                                 |                |                       |             |          |
|                             | and complete lines 27 through 31.                                                                    |                                                                                                 |                |                       |             |          |
|                             | 27                                                                                                   | Capital stock, trust principal, or current funds                                                | 9,965,123.     | 10,086,972.           |             |          |
|                             | 28                                                                                                   | Paid-in or capital surplus, or land, bldg., and equipment fund                                  | 0.             | 0.                    |             |          |
|                             | 29                                                                                                   | Retained earnings, accumulated income, endowment, or other funds                                | 0.             | 0.                    |             |          |
| 30                          | <b>Total net assets or fund balances</b>                                                             | 9,965,123.                                                                                      | 10,086,972.    |                       |             |          |
| 31                          | <b>Total liabilities and net assets/fund balances</b>                                                | 9,965,123.                                                                                      | 10,086,972.    |                       |             |          |

## Part III Analysis of Changes in Net Assets or Fund Balances

|   |                                                                                                                                                               |   |             |
|---|---------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30<br>(must agree with end-of-year figure reported on prior year's return) | 1 | 9,965,123.  |
| 2 | Enter amount from Part I, line 27a                                                                                                                            | 2 | 151,028.    |
| 3 | Other increases not included in line 2 (itemize) ▶ See Statement 6                                                                                            | 3 | 1,664.      |
| 4 | Add lines 1, 2, and 3                                                                                                                                         | 4 | 10,117,815. |
| 5 | Decreases not included in line 2 (itemize) ▶ See Statement 7                                                                                                  | 5 | 30,843.     |
| 6 | <b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30                                                  | 6 | 10,086,972. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|------------------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                 |                                                  |                                      |                                  |
| b See Attached Statements                                                                                                          |                                                  |                                      |                                  |
| c                                                                                                                                  |                                                  |                                      |                                  |
| d                                                                                                                                  |                                                  |                                      |                                  |
| e                                                                                                                                  |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a                     |                                            |                                                 |                                              |
| b                     |                                            |                                                 |                                              |
| c                     |                                            |                                                 |                                              |
| d                     |                                            |                                                 |                                              |
| e 4,316,161.          |                                            | 3,715,087.                                      | 601,074.                                     |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Gains (Col. (h) gain minus<br>col. (k), but not less than -0-) or<br>Losses (from col. (h)) |
|---------------------------|--------------------------------------|-------------------------------------------------|-------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 |                                                                                                 |
| b                         |                                      |                                                 |                                                                                                 |
| c                         |                                      |                                                 |                                                                                                 |
| d                         |                                      |                                                 |                                                                                                 |
| e                         |                                      |                                                 | 601,074.                                                                                        |

|                                                                                                                                                                                   |   |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter -0- in Part I, line 7 }                                               | 2 | 601,074. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter -0- in Part I, line 8 } | 3 | N/A      |

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years<br>Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio<br>(col. (b) divided by col. (c)) |
|-------------------------------------------------------------------|---------------------------------------|-------------------------------------------|----------------------------------------------------------|
| 2013                                                              | 1,338,466.                            | 11,612,188.                               | .115264                                                  |
| 2012                                                              | 446,500.                              | 11,570,883.                               | .038588                                                  |
| 2011                                                              | 192,600.                              | 10,786,847.                               | .017855                                                  |
| 2010                                                              | 586,700.                              | 11,307,694.                               | .051885                                                  |
| 2009                                                              | 519,830.                              | 10,576,654.                               | .049149                                                  |

|                                                                                                                                                                                |   |             |
|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|-------------|
| 2 Total of line 1, column (d)                                                                                                                                                  | 2 | .272741     |
| 3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .054548     |
| 4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5                                                                                                 | 4 | 11,722,411. |
| 5 Multiply line 4 by line 3                                                                                                                                                    | 5 | 639,434.    |
| 6 Enter 1% of net investment income (1% of Part I, line 27b)                                                                                                                   | 6 | 7,664.      |
| 7 Add lines 5 and 6                                                                                                                                                            | 7 | 647,098.    |
| 8 Enter qualifying distributions from Part XII, line 4                                                                                                                         | 8 | 613,209.    |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

|                                                                                                                                                                                                                                        |    |         |         |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|---------|---------|
| 1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.<br>Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions) |    |         |         |
| b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b                                                                                      |    | 1       | 15,328. |
| c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).                                                                                                             |    |         |         |
| 2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                            |    | 2       | 0.      |
| 3 Add lines 1 and 2                                                                                                                                                                                                                    |    | 3       | 15,328. |
| 4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)                                                                                                                          |    | 4       | 0.      |
| 5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-                                                                                                                                              |    | 5       | 15,328. |
| 6 Credits/Payments:                                                                                                                                                                                                                    |    |         |         |
| a 2014 estimated tax payments and 2013 overpayment credited to 2014                                                                                                                                                                    | 6a | 9,150.  |         |
| b Exempt foreign organizations - tax withheld at source                                                                                                                                                                                | 6b |         |         |
| c Tax paid with application for extension of time to file (Form 8868)                                                                                                                                                                  | 6c | 7,000.  |         |
| d Backup withholding erroneously withheld                                                                                                                                                                                              | 6d |         |         |
| 7 Total credits and payments. Add lines 6a through 6d                                                                                                                                                                                  | 7  | 16,150. |         |
| 8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached                                                                                                         | 8  | 27.     |         |
| 9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed                                                                                                                                                        | 9  |         |         |
| 10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid                                                                                                                                           | 10 | 795.    |         |
| 11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> Refunded <input checked="" type="checkbox"/>                                                                                             | 11 | 0.      |         |

**Part VII-A Statements Regarding Activities**

|                                                                                                                                                                                                                                                                                                                                                | Yes | No |
|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?                                                                                                                                                                        |     | X  |
| 1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?<br>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities. |     | X  |
| c Did the foundation file Form 1120-POL for this year?                                                                                                                                                                                                                                                                                         |     | X  |
| d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:<br>(1) On the foundation. \$ 0. (2) On foundation managers. \$ 0.                                                                                                                                                                         |     |    |
| e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ 0.                                                                                                                                                                                                  |     |    |
| 2 Has the foundation engaged in any activities that have not previously been reported to the IRS?<br>If "Yes," attach a detailed description of the activities.                                                                                                                                                                                |     | X  |
| 3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes                                                                                                                   |     | X  |
| 4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?                                                                                                                                                                                                                                                 |     | X  |
| b If "Yes," has it filed a tax return on Form 990-T for this year?                                                                                                                                                                                                                                                                             |     |    |
| 5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?<br>If "Yes," attach the statement required by General Instruction T.                                                                                                                                                                          |     | X  |
| 6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:<br>• By language in the governing instrument, or<br>• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?           | X   |    |
| 7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV                                                                                                                                                                                                             | X   |    |
| 8a Enter the states to which the foundation reports or with which it is registered (see instructions) <u>MA</u>                                                                                                                                                                                                                                |     |    |
| b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation                                                                                                                                  | X   |    |
| 9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV                                                                                         |     | X  |
| 10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses                                                                                                                                                                                                          |     | X  |

N/A

**Part VII-A Statements Regarding Activities** (continued)

|    |                                                                                                                                                                                                                                                                                                                                                                  |    |     |    |
|----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|-----|----|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)                                                                                                                                                                          | 11 |     | X  |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)                                                                                                                                                                         | 12 |     | X  |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application?<br>Website address <b>N/A</b>                                                                                                                                                                                                                | 13 | X   |    |
| 14 | The books are in care of <b>Peter Sorgi, Trustee</b> Telephone no. <b>(617) 742-2150</b><br>Located at <b>6 Beacon Street, Suite 1010, Boston, MA</b> ZIP+4 <b>02108-3817</b>                                                                                                                                                                                    |    |     |    |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here<br>and enter the amount of tax-exempt interest received or accrued during the year <b>15</b> <b>N/A</b>                                                                                                                                                      |    |     |    |
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?<br>See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1) If "Yes," enter the name of the foreign country <b>N/A</b> | 16 | Yes | No |
|    |                                                                                                                                                                                                                                                                                                                                                                  |    |     | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

|     |                                                                                                                                                                                                                                                                                                                                                                                                                                                                                                            | Yes | No |
|-----|------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|-----|----|
| 1a  | During the year did the foundation (either directly or indirectly):                                                                                                                                                                                                                                                                                                                                                                                                                                        |     |    |
| (1) | Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                     |     |    |
| (2) | Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                             |     |    |
| (3) | Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                 |     |    |
| (4) | Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                                                                       |     |    |
| (5) | Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                              |     |    |
| (6) | Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                            |     |    |
| b   | If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?<br>Organizations relying on a current notice regarding disaster assistance check here <b>N/A</b>                                                                                                                                                                                      | 1b  |    |
| c   | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? <b>N/A</b>                                                                                                                                                                                                                                                                                                         | 1c  | X  |
| 2   | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):                                                                                                                                                                                                                                                                                                                             |     |    |
| a   | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No<br>If "Yes," list the years <b>N/A</b>                                                                                                                                                                                                                                               | 2a  |    |
| b   | Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <b>N/A</b>                                                                                                                                                                                       | 2b  |    |
| c   | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <b>N/A</b>                                                                                                                                                                                                                                                                                                                                                                                |     |    |
| 3a  | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No                                                                                                                                                                                                                                                                                                             | 3a  |    |
| b   | If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) <b>N/A</b> | 3b  |    |
| 4a  | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?                                                                                                                                                                                                                                                                                                                                                                                            | 4a  | X  |
| b   | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?                                                                                                                                                                                                                                                                          | 4b  | X  |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No

6b

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

X

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

| (a) Name and address        | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|-----------------------------|-----------------------------------------------------------|-------------------------------------------|-----------------------------------------------------------------------|---------------------------------------|
| Peter Sorgi                 | Trustee                                                   |                                           |                                                                       |                                       |
| 6 Beacon Street, Suite 1010 |                                                           |                                           |                                                                       |                                       |
| Boston, MA 02108            | 0.96                                                      | 14,780.                                   | 0.                                                                    | 0.                                    |
| James G. Walsh III          | Trustee                                                   |                                           |                                                                       |                                       |
| 6 Rail Tree Terrace         |                                                           |                                           |                                                                       |                                       |
| Westford, MA 01886          | 1.75                                                      | 27,360.                                   | 0.                                                                    | 0.                                    |
| A. Dennis Barbo             | Trustee                                                   |                                           |                                                                       |                                       |
| 75 Federal Street           |                                                           |                                           |                                                                       |                                       |
| Boston, MA 02109            | 0.57                                                      | 9,632.                                    | 0.                                                                    | 0.                                    |
|                             |                                                           |                                           |                                                                       |                                       |
|                             |                                                           |                                           |                                                                       |                                       |
|                             |                                                           |                                           |                                                                       |                                       |

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

| (a) Name and address of each employee paid more than \$50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---------------------------------------------------------------|-----------------------------------------------------------|------------------|-----------------------------------------------------------------------|---------------------------------------|
| NONE                                                          |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |
|                                                               |                                                           |                  |                                                                       |                                       |

Total number of other employees paid over \$50,000

0

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|                  |                                                                                                                                        |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------|
| <b>Part VIII</b> | <b>Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors</b> <i>(continued)</i> |
|------------------|----------------------------------------------------------------------------------------------------------------------------------------|

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than \$50,000 | (b) Type of service | (c) Compensation |
|-------------------------------------------------------------|---------------------|------------------|
| NONE                                                        |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |
|                                                             |                     |                  |

**Total** number of others receiving over \$50,000 for professional services

|                  |                                                |
|------------------|------------------------------------------------|
| <b>Part IX-A</b> | <b>Summary of Direct Charitable Activities</b> |
|------------------|------------------------------------------------|

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

|   |     |  |
|---|-----|--|
| 1 | N/A |  |
| 2 |     |  |
| 3 |     |  |
| 4 |     |  |

|                  |                                               |
|------------------|-----------------------------------------------|
| <b>Part IX-B</b> | <b>Summary of Program-Related Investments</b> |
|------------------|-----------------------------------------------|

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

|                                                          |  |    |
|----------------------------------------------------------|--|----|
| <b>1</b> <b>N/A</b>                                      |  |    |
| <b>2</b>                                                 |  |    |
| All other program-related investments. See instructions. |  |    |
| <b>3</b>                                                 |  |    |
| <b>Total.</b> Add lines 1 through 3                      |  | 0. |

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

|                                                                                                               |                                                                                                             |    |             |
|---------------------------------------------------------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------|----|-------------|
| 1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: |                                                                                                             |    |             |
| a                                                                                                             | Average monthly fair market value of securities                                                             | 1a | 11,368,963. |
| b                                                                                                             | Average of monthly cash balances                                                                            | 1b | 531,962.    |
| c                                                                                                             | Fair market value of all other assets                                                                       | 1c |             |
| d                                                                                                             | <b>Total</b> (add lines 1a, b, and c)                                                                       | 1d | 11,900,925. |
| e                                                                                                             | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)   | 1e | 0.          |
| 2                                                                                                             | Acquisition indebtedness applicable to line 1 assets                                                        | 2  | 0.          |
| 3                                                                                                             | Subtract line 2 from line 1d                                                                                | 3  | 11,900,925. |
| 4                                                                                                             | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)   | 4  | 178,514.    |
| 5                                                                                                             | <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5  | 11,722,411. |
| 6                                                                                                             | <b>Minimum investment return.</b> Enter 5% of line 5                                                        | 6  | 586,121.    |

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

|    |                                                                                                           |    |          |
|----|-----------------------------------------------------------------------------------------------------------|----|----------|
| 1  | Minimum investment return from Part X, line 6                                                             | 1  | 586,121. |
| 2a | Tax on investment income for 2014 from Part VI, line 5                                                    | 2a | 15,328.  |
| b  | Income tax for 2014. (This does not include the tax from Part VI.)                                        | 2b |          |
| c  | Add lines 2a and 2b                                                                                       | 2c | 15,328.  |
| 3  | Distributable amount before adjustments. Subtract line 2c from line 1                                     | 3  | 570,793. |
| 4  | Recoveries of amounts treated as qualifying distributions                                                 | 4  | 0.       |
| 5  | Add lines 3 and 4                                                                                         | 5  | 570,793. |
| 6  | Deduction from distributable amount (see instructions)                                                    | 6  | 0.       |
| 7  | <b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | 7  | 570,793. |

**Part XII****Qualifying Distributions** (see instructions)

|                                                                                              |                                                                                                                                   |    |          |
|----------------------------------------------------------------------------------------------|-----------------------------------------------------------------------------------------------------------------------------------|----|----------|
| 1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: |                                                                                                                                   |    |          |
| a                                                                                            | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26                                                     | 1a | 613,209. |
| b                                                                                            | Program-related investments - total from Part IX-B                                                                                | 1b | 0.       |
| 2                                                                                            | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes                         | 2  |          |
| 3                                                                                            | Amounts set aside for specific charitable projects that satisfy the:                                                              |    |          |
| a                                                                                            | Suitability test (prior IRS approval required)                                                                                    | 3a |          |
| b                                                                                            | Cash distribution test (attach the required schedule)                                                                             | 3b |          |
| 4                                                                                            | <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4                 | 4  | 613,209. |
| 5                                                                                            | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5  | 0.       |
| 6                                                                                            | <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4                                                             | 6  | 613,209. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

|                                                                                                                                                                            | (a)<br>Corpus | (b)<br>Years prior to 2013 | (c)<br>2013 | (d)<br>2014 |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---------------|----------------------------|-------------|-------------|
| 1 Distributable amount for 2014 from Part XI, line 7                                                                                                                       |               |                            |             | 570,793.    |
| 2 Undistributed income, if any, as of the end of 2014                                                                                                                      |               |                            |             |             |
| a Enter amount for 2013 only                                                                                                                                               |               |                            | 0.          |             |
| b Total for prior years:                                                                                                                                                   |               | 0.                         |             |             |
| 3 Excess distributions carryover, if any, to 2014:                                                                                                                         |               |                            |             |             |
| a From 2009                                                                                                                                                                |               |                            |             |             |
| b From 2010                                                                                                                                                                |               |                            |             |             |
| c From 2011                                                                                                                                                                |               |                            |             |             |
| d From 2012                                                                                                                                                                |               |                            |             |             |
| e From 2013                                                                                                                                                                | 690,921.      |                            |             |             |
| f Total of lines 3a through e                                                                                                                                              | 690,921.      |                            |             |             |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ \$                                                                                                            | 613,209.      |                            |             |             |
| a Applied to 2013, but not more than line 2a                                                                                                                               |               |                            | 0.          |             |
| b Applied to undistributed income of prior years (Election required - see instructions)                                                                                    |               | 0.                         |             |             |
| c Treated as distributions out of corpus (Election required - see instructions)                                                                                            | 0.            |                            |             |             |
| d Applied to 2014 distributable amount                                                                                                                                     |               |                            |             | 570,793.    |
| e Remaining amount distributed out of corpus                                                                                                                               | 42,416.       |                            |             |             |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).)                                        | 0.            |                            |             | 0.          |
| 6 Enter the net total of each column as indicated below:                                                                                                                   |               |                            |             |             |
| a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5                                                                                                                        | 733,337.      |                            |             |             |
| b Prior years' undistributed income. Subtract line 4b from line 2b                                                                                                         |               | 0.                         |             |             |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed |               | 0.                         |             |             |
| d Subtract line 6c from line 6b. Taxable amount - see instructions                                                                                                         |               | 0.                         |             |             |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.                                                                                |               |                            | 0.          |             |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015                                                              |               |                            |             | 0.          |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)       | 0.            |                            |             |             |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7                                                                                                 | 0.            |                            |             |             |
| 9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a                                                                                              | 733,337.      |                            |             |             |
| 10 Analysis of line 9:                                                                                                                                                     |               |                            |             |             |
| a Excess from 2010                                                                                                                                                         |               |                            |             |             |
| b Excess from 2011                                                                                                                                                         |               |                            |             |             |
| c Excess from 2012                                                                                                                                                         |               |                            |             |             |
| d Excess from 2013                                                                                                                                                         | 690,921.      |                            |             |             |
| e Excess from 2014                                                                                                                                                         | 42,416.       |                            |             |             |



**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business)                                                         | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution<br>* * | Amount          |
|----------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|--------------------------------------------|-----------------|
| <b>a Paid during the year</b>                                                                            |                                                                                                                    |                                      |                                            |                 |
| A Place to Turn Food Pantry<br>99 Hartford Street, No. 1<br>Natick, MA 01760                             | PUBLIC                                                                                                             | 501(c)(3)                            | Support                                    | 5,000.          |
| Abbie's Life - Young Life of Greater<br>Westford and Chelmsford<br>27 Acton Road<br>Chelmsford, MA 01824 | PUBLIC                                                                                                             | 501(c)(3)                            | Support and Assistance                     | 5,000.          |
| American Kidney Fund<br>11921 Rockville Pike, Ste. 300<br>Rockville, MD 20852                            | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                                   | 1,000.          |
| Bethany Health Center<br>97 Bethany Road<br>Framingham, MA 01702                                         | PUBLIC                                                                                                             | 501(c)(3)                            | Assistance, Supplies,<br>Programs          | 10,000.         |
| Bethke Radiological Center<br>133 Old Rd. to Nine Acre Corner<br>Concord, MA 01742                       | PUBLIC                                                                                                             | 501(c)(3)                            | Support and Programs                       | 10,000.         |
| <b>Total</b>                                                                                             | <b>See continuation sheet(s)</b>                                                                                   |                                      |                                            | <b>592,500.</b> |
| <b>b Approved for future payment</b>                                                                     |                                                                                                                    |                                      |                                            |                 |
| None                                                                                                     |                                                                                                                    |                                      |                                            |                 |
|                                                                                                          |                                                                                                                    |                                      |                                            |                 |
|                                                                                                          |                                                                                                                    |                                      |                                            |                 |
|                                                                                                          |                                                                                                                    |                                      |                                            |                 |
| <b>Total</b>                                                                                             |                                                                                                                    |                                      |                                            | <b>0.</b>       |

**Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

| Enter gross amounts unless otherwise indicated. |                                                             | Unrelated business income |               | Excluded by section 512, 513, or 514 |               | (e)<br>Related or exempt<br>function income |
|-------------------------------------------------|-------------------------------------------------------------|---------------------------|---------------|--------------------------------------|---------------|---------------------------------------------|
|                                                 |                                                             | (a)<br>Business<br>code   | (b)<br>Amount | (c)<br>Exclu-<br>sion<br>code        | (d)<br>Amount |                                             |
| <b>1</b>                                        | Program service revenue:                                    |                           |               |                                      |               |                                             |
| a                                               | _____                                                       |                           |               |                                      |               |                                             |
| b                                               | _____                                                       |                           |               |                                      |               |                                             |
| c                                               | _____                                                       |                           |               |                                      |               |                                             |
| d                                               | _____                                                       |                           |               |                                      |               |                                             |
| e                                               | _____                                                       |                           |               |                                      |               |                                             |
| f                                               | _____                                                       |                           |               |                                      |               |                                             |
| g                                               | Fees and contracts from government agencies                 |                           |               |                                      |               |                                             |
| <b>2</b>                                        | Membership dues and assessments                             |                           |               |                                      |               |                                             |
| <b>3</b>                                        | Interest on savings and temporary cash<br>investments       |                           |               |                                      |               | 90.                                         |
| <b>4</b>                                        | Dividends and interest from securities                      |                           |               |                                      |               | 304,767.                                    |
| <b>5</b>                                        | Net rental income or (loss) from real estate:               |                           |               |                                      |               |                                             |
| a                                               | Debt-financed property                                      |                           |               |                                      |               |                                             |
| b                                               | Not debt-financed property                                  |                           |               |                                      |               |                                             |
| <b>6</b>                                        | Net rental income or (loss) from personal<br>property       |                           |               |                                      |               |                                             |
| <b>7</b>                                        | Other investment income                                     |                           |               |                                      |               |                                             |
| <b>8</b>                                        | Gain or (loss) from sales of assets other<br>than inventory |                           |               |                                      |               | 601,074.                                    |
| <b>9</b>                                        | Net income or (loss) from special events                    |                           |               |                                      |               |                                             |
| <b>10</b>                                       | Gross profit or (loss) from sales of inventory              |                           |               |                                      |               |                                             |
| <b>11</b>                                       | Other revenue:                                              |                           |               |                                      |               |                                             |
| a                                               | _____                                                       |                           |               |                                      |               |                                             |
| b                                               | _____                                                       |                           |               |                                      |               |                                             |
| c                                               | _____                                                       |                           |               |                                      |               |                                             |
| d                                               | _____                                                       |                           |               |                                      |               |                                             |
| e                                               | _____                                                       |                           |               |                                      |               |                                             |
| <b>12</b>                                       | Subtotal. Add columns (b), (d), and (e)                     |                           | 0.            |                                      | 0.            | 905,931.                                    |
| <b>13</b>                                       | Total. Add line 12, columns (b), (d), and (e)               |                           |               |                                      |               | 905,931.                                    |

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                             | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                   | A/C #PF00114 - See attached | P                                                | 03/03/14                             | 07/23/14                         |
| b                                                                                                                                    | A/C #PF00114 - See attached | P                                                | 04/03/12                             | 05/15/14                         |
| c                                                                                                                                    | A/C #PF00114 - See attached | P                                                | 01/01/14                             | 12/16/14                         |
| d                                                                                                                                    | A/C #PF00115 - See attached | P                                                | 02/12/14                             | 10/28/14                         |
| e                                                                                                                                    | A/C #PF00115 - See attached | P                                                | 05/23/11                             | 11/21/14                         |
| f                                                                                                                                    | A/C #PF00115 - See attached | P                                                | 10/06/06                             | 10/28/14                         |
| g                                                                                                                                    | A/C #PF00116 - See attached | P                                                | 11/14/12                             | 03/10/14                         |
| h                                                                                                                                    | A/C #PF00116 - See attached | P                                                | 01/07/13                             | 03/14/14                         |
| i                                                                                                                                    | A/C #PF00117 - See attached | P                                                | 03/24/14                             | 06/09/14                         |
| j                                                                                                                                    | A/C #PF00117 - See attached | P                                                | 02/09/12                             | 06/09/14                         |
| k                                                                                                                                    | A/C #PF00117 - See attached | P                                                | 10/06/06                             | 06/09/14                         |
| l                                                                                                                                    | A/C #PF00118 - See attached | P                                                | 04/11/13                             | 03/20/14                         |
| m                                                                                                                                    | A/C #PF00118 - See attached | P                                                | 11/28/11                             | 01/06/14                         |
| n                                                                                                                                    | A/C #PF00118 - See attached | P                                                | 09/18/09                             | 01/08/14                         |
| o                                                                                                                                    | A/C #PF00119 - See attached | P                                                | 06/26/13                             | 03/25/14                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 62,547.             |                                            | 61,162.                                         | 1,385.                                       |
| b 320,219.            |                                            | 303,915.                                        | 16,304.                                      |
| c 1,110.              |                                            |                                                 | 1,110.                                       |
| d 5,385.              |                                            | 3,903.                                          | 1,482.                                       |
| e 15,462.             |                                            | 16,825.                                         | <1,363.>                                     |
| f 76,486.             |                                            | 48,307.                                         | 28,179.                                      |
| g 525,139.            |                                            | 502,358.                                        | 22,781.                                      |
| h 265,796.            |                                            | 177,488.                                        | 88,308.                                      |
| i 44,374.             |                                            | 42,812.                                         | 1,562.                                       |
| j 146,019.            |                                            | 116,200.                                        | 29,819.                                      |
| k 74,301.             |                                            | 49,719.                                         | 24,582.                                      |
| l 13,183.             |                                            | 14,137.                                         | <954.>                                       |
| m 55,125.             |                                            | 38,411.                                         | 16,714.                                      |
| n 84,928.             |                                            | 42,480.                                         | 42,448.                                      |
| o 59,065.             |                                            | 57,233.                                         | 1,832.                                       |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 1,385.                                                                                                  |
| b                         |                                      |                                                 | 16,304.                                                                                                 |
| c                         |                                      |                                                 | 1,110.                                                                                                  |
| d                         |                                      |                                                 | 1,482.                                                                                                  |
| e                         |                                      |                                                 | <1,363.>                                                                                                |
| f                         |                                      |                                                 | 28,179.                                                                                                 |
| g                         |                                      |                                                 | 22,781.                                                                                                 |
| h                         |                                      |                                                 | 88,308.                                                                                                 |
| i                         |                                      |                                                 | 1,562.                                                                                                  |
| j                         |                                      |                                                 | 29,819.                                                                                                 |
| k                         |                                      |                                                 | 24,582.                                                                                                 |
| l                         |                                      |                                                 | <954.>                                                                                                  |
| m                         |                                      |                                                 | 16,714.                                                                                                 |
| n                         |                                      |                                                 | 42,448.                                                                                                 |
| o                         |                                      |                                                 | 1,832.                                                                                                  |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                             | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|-----------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                   | A/C #PF00119 - See attached | P                                                | 02/28/11                             | 01/23/14                         |
| b                                                                                                                                    | A/C #PF00119 - See attached | P                                                | 11/20/09                             | 07/14/14                         |
| c                                                                                                                                    | A/C #PF00120 - See attached | P                                                | 09/25/13                             | 04/15/14                         |
| d                                                                                                                                    | A/C #PF00120 - See attached | P                                                | 08/31/12                             | 03/18/14                         |
| e                                                                                                                                    | A/C #PF00120 - See attached | P                                                | 02/23/10                             | 04/15/14                         |
| f                                                                                                                                    | A/C #PF00121 - See attached | P                                                | 10/17/14                             | 10/24/14                         |
| g                                                                                                                                    | A/C #PF00121 - See attached | P                                                | 11/01/11                             | 03/20/14                         |
| h                                                                                                                                    | A/C #PF00121 - See attached | P                                                | 12/30/10                             | 06/09/14                         |
| i                                                                                                                                    | A/C #PF00122 - See attached | P                                                | 07/17/14                             | 09/24/14                         |
| j                                                                                                                                    | A/C #PF00122 - See attached | P                                                | 10/08/12                             | 04/15/14                         |
| k                                                                                                                                    | A/C #PF00122 - See attached | P                                                | 10/06/08                             | 04/15/14                         |
| l                                                                                                                                    | A/C #PF00123 - See attached | P                                                | 08/08/13                             | 06/03/14                         |
| m                                                                                                                                    | A/C #PF00123 - See attached | P                                                | 07/20/11                             | 09/18/14                         |
| n                                                                                                                                    | A/C #PF00123 - See attached | P                                                | 10/21/09                             | 07/24/14                         |
| o                                                                                                                                    | A/C #PF00124 - See attached | P                                                | 10/21/14                             | 11/24/14                         |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 98,292.             |                                            | 88,237.                                         | 10,055.                                      |
| b 29,385.             |                                            | 19,468.                                         | 9,917.                                       |
| c 4,252.              |                                            | 3,806.                                          | 446.                                         |
| d 27,537.             |                                            | 23,477.                                         | 4,060.                                       |
| e 57,192.             |                                            | 31,081.                                         | 26,111.                                      |
| f 133.                |                                            | 196.                                            | <63.>                                        |
| g 127,804.            |                                            | 98,442.                                         | 29,362.                                      |
| h 83,603.             |                                            | 53,724.                                         | 29,879.                                      |
| i 96,785.             |                                            | 96,256.                                         | 529.                                         |
| j 156,437.            |                                            | 102,997.                                        | 53,440.                                      |
| k 47,356.             |                                            | 21,523.                                         | 25,833.                                      |
| l 4,821.              |                                            | 4,434.                                          | 387.                                         |
| m 20,420.             |                                            | 17,543.                                         | 2,877.                                       |
| n 53,577.             |                                            | 35,945.                                         | 17,632.                                      |
| o 176,161.            |                                            | 170,006.                                        | 6,155.                                       |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 10,055.                                                                                                 |
| b                         |                                      |                                                 | 9,917.                                                                                                  |
| c                         |                                      |                                                 | 446.                                                                                                    |
| d                         |                                      |                                                 | 4,060.                                                                                                  |
| e                         |                                      |                                                 | 26,111.                                                                                                 |
| f                         |                                      |                                                 | <63.>                                                                                                   |
| g                         |                                      |                                                 | 29,362.                                                                                                 |
| h                         |                                      |                                                 | 29,879.                                                                                                 |
| i                         |                                      |                                                 | 529.                                                                                                    |
| j                         |                                      |                                                 | 53,440.                                                                                                 |
| k                         |                                      |                                                 | 25,833.                                                                                                 |
| l                         |                                      |                                                 | 387.                                                                                                    |
| m                         |                                      |                                                 | 2,877.                                                                                                  |
| n                         |                                      |                                                 | 17,632.                                                                                                 |
| o                         |                                      |                                                 | 6,155.                                                                                                  |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7  
If (loss), enter "-0-" in Part I, line 7 }

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):  
If gain, also enter in Part I, line 8, column (c).  
If (loss), enter "-0-" in Part I, line 8 }

2

3

**Part IV** Capital Gains and Losses for Tax on Investment Income

| (a) List and describe the kind(s) of property sold, e.g., real estate,<br>2-story brick warehouse; or common stock, 200 shs. MLC Co. |                                 | (b) How acquired<br>P - Purchase<br>D - Donation | (c) Date acquired<br>(mo., day, yr.) | (d) Date sold<br>(mo., day, yr.) |
|--------------------------------------------------------------------------------------------------------------------------------------|---------------------------------|--------------------------------------------------|--------------------------------------|----------------------------------|
| 1a                                                                                                                                   | A/C #PF00124 - See attached     | P                                                | 03/06/11                             | 07/15/14                         |
| b                                                                                                                                    | A/C #PF00124 - See attached     | P                                                | 12/16/09                             | 04/11/14                         |
| c                                                                                                                                    | A/C #PF32219 - See attached     | P                                                | 02/26/14                             | 06/12/14                         |
| d                                                                                                                                    | A/C #PF32219 - See attached     | P                                                | 09/16/13                             | 02/07/14                         |
| e                                                                                                                                    | A/C #PF32219 - See attached     | P                                                | 10/10/06                             | 06/13/14                         |
| f                                                                                                                                    | A/C #PF32219 - ROP              | P                                                | 01/01/14                             | 12/31/14                         |
| g                                                                                                                                    | A/C #PF02408 - See Attached     | P                                                | 05/11/12                             | 09/09/14                         |
| h                                                                                                                                    | A/C #PF02408 - ROP              | P                                                | 01/01/14                             | 12/31/14                         |
| i                                                                                                                                    | A/C #PF00121 - Expired Warrants | P                                                | 11/21/14                             | 11/21/14                         |
| j                                                                                                                                    | Capital Gains Dividends         |                                                  |                                      |                                  |
| k                                                                                                                                    |                                 |                                                  |                                      |                                  |
| l                                                                                                                                    |                                 |                                                  |                                      |                                  |
| m                                                                                                                                    |                                 |                                                  |                                      |                                  |
| n                                                                                                                                    |                                 |                                                  |                                      |                                  |
| o                                                                                                                                    |                                 |                                                  |                                      |                                  |

| (e) Gross sales price | (f) Depreciation allowed<br>(or allowable) | (g) Cost or other basis<br>plus expense of sale | (h) Gain or (loss)<br>(e) plus (f) minus (g) |
|-----------------------|--------------------------------------------|-------------------------------------------------|----------------------------------------------|
| a 240,497.            |                                            | 169,224.                                        | 71,273.                                      |
| b 37,252.             |                                            | 21,594.                                         | 15,658.                                      |
| c 182,710.            |                                            | 182,876.                                        | <166.>                                       |
| d 219,864.            |                                            | 222,418.                                        | <2,554.>                                     |
| e 442,913.            |                                            | 455,199.                                        | <12,286.>                                    |
| f 39,746.             |                                            | 39,746.                                         | 0.                                           |
| g 377,862.            |                                            | 374,969.                                        | 2,893.                                       |
| h 6,976.              |                                            | 6,976.                                          | 0.                                           |
| i 3.                  |                                            |                                                 | 3.                                           |
| j 35,444.             |                                            |                                                 | 35,444.                                      |
| k                     |                                            |                                                 |                                              |
| l                     |                                            |                                                 |                                              |
| m                     |                                            |                                                 |                                              |
| n                     |                                            |                                                 |                                              |
| o                     |                                            |                                                 |                                              |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| (i) F.M.V. as of 12/31/69 | (j) Adjusted basis<br>as of 12/31/69 | (k) Excess of col. (i)<br>over col. (j), if any | (l) Losses (from col. (h))<br>Gains (excess of col. (h) gain over col. (k),<br>but not less than "-0-") |
|---------------------------|--------------------------------------|-------------------------------------------------|---------------------------------------------------------------------------------------------------------|
| a                         |                                      |                                                 | 71,273.                                                                                                 |
| b                         |                                      |                                                 | 15,658.                                                                                                 |
| c                         |                                      |                                                 | <166.>                                                                                                  |
| d                         |                                      |                                                 | <2,554.>                                                                                                |
| e                         |                                      |                                                 | <12,286.>                                                                                               |
| f                         |                                      |                                                 | 0.                                                                                                      |
| g                         |                                      |                                                 | 2,893.                                                                                                  |
| h                         |                                      |                                                 | 0.                                                                                                      |
| i                         |                                      |                                                 | 3.                                                                                                      |
| j                         |                                      |                                                 | 35,444.                                                                                                 |
| k                         |                                      |                                                 |                                                                                                         |
| l                         |                                      |                                                 |                                                                                                         |
| m                         |                                      |                                                 |                                                                                                         |
| n                         |                                      |                                                 |                                                                                                         |
| o                         |                                      |                                                 |                                                                                                         |

|                                                                                                                                                                                   |   |          |
|-----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|---|----------|
| 2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7<br>If (loss), enter "-0-" in Part I, line 7 }                                             | 2 | 601,074. |
| 3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):<br>If gain, also enter in Part I, line 8, column (c).<br>If (loss), enter "-0-" in Part I, line 8 | 3 | N/A      |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                        | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount          |
|-----------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|-----------------|
| Big Brother Big Sister<br>155 Merrimack Street<br>Lowell, MA 01852                      | PUBLIC                                                                                                             | 501(c)(3)                            | Youth programs                      | 2,500.          |
| Boston First Responder's Fund<br>60 Hallet Street<br>Dorchester, MA 02124               | PUBLIC                                                                                                             | 501(c)(3)                            | Assistance                          | 25,000.         |
| Boston Health Care for Homeless<br>780 Albany Street<br>Boston, MA 02112                | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies and programs               | 500.            |
| Boys and Girls' Club of Dorchester<br>1135 Dorchester Avenue<br>Dorchester, MA 02125    | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 5,000.          |
| Camp Fatima-Exceptional Children's<br>Week<br>P. O. Box 206<br>Gilmanton I.W., NH 03837 | PUBLIC                                                                                                             | 501(c)(3)                            | Camp programs                       | 10,000.         |
| Camp Harbor View Fdn., Inc.<br>200 Clarendon Street, 60th Fl<br>Boston, MA 02116        | PUBLIC                                                                                                             | 501(c)(3)                            | Summer programs                     | 5,000.          |
| Cape Cod Challenger Fund<br>418 Bumps River Road<br>Osterville, MA 02655                | PUBLIC                                                                                                             | 501(c)(3)                            | Youth programs                      | 2,500.          |
| Cardinal Cushing Centers, Inc.<br>405 Washington Street<br>Hanover, MA 02339            | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 5,000.          |
| Central NE Multiple Sclerosis<br>101A First Avenue, Ste. 6<br>Waltham, MA 02451         | PUBLIC                                                                                                             | 501(c)(3)                            | Research                            | 2,500.          |
| Christmas in the City<br>84 Townsend Road<br>Scituate, MA 02066                         | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 10,000.         |
| <b>Total from continuation sheets</b>                                                   |                                                                                                                    |                                      |                                     | <b>561,500.</b> |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                            | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|---------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| City of St. Jude<br>2048 West Fairview Drive<br>Montgomery, AL 36119                        | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Cor Unum<br>191 Salem Street<br>Lawrence, MA 01843                                          | PUBLIC                                                                                                             | 501(c)(3)                            | Programs and support                | 10,000. |
| Cradles to Crayones<br>150 No. Beacon Street<br>Brighton, MA 02135                          | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies                            | 5,000.  |
| Cristo Rey Boston High School<br>100 Savin Hill Avenue<br>Dorchester, MA 02125              | PUBLIC                                                                                                             | 501(c)(3)                            | School Programs                     | 10,000. |
| Cystic Fibrosis<br>220 N. Main Street, #104<br>Natick, MA 01760                             | PUBLIC                                                                                                             | 501(c)(3)                            | Research                            | 2,500.  |
| DCF Kids Fund, Inc.<br>24 Farnsworth Street<br>Boston, MA 02210                             | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 10,000. |
| Emerson Hospital - Ladies Auxillary<br>133 Old Rd. to Nine Acre Corner<br>Concord, MA 01742 | PUBLIC                                                                                                             | 501(c)(3)                            | Programs, supplies,<br>equipment    | 10,000. |
| Father Bill's Place<br>422 Washington Street<br>Quincy, MA 02169                            | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 5,000.  |
| Food for the Poor<br>550 S.W. 12th Avenue<br>Deerfield Beach, FL 33442                      | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Friendship Home<br>P.O. Box 675<br>Norwell, MA 02061                                        | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 2,500.  |
| <b>Total from continuation sheets</b>                                                       |                                                                                                                    |                                      |                                     |         |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|-------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| Germantown Neighborhood Center Food<br>Pantry<br>366 Palmer Street<br>Quincy, MA 02169                | PUBLIC                                                                                                             | 501(c)(3)                            | Food pantry and<br>Programs         | 5,000.  |
| Greater Boston Catholic Charities -<br>Sunset Point Camp<br>185 Columbia Road<br>Dorchester, MA 02121 | PUBLIC                                                                                                             | 501(c)(3)                            | Summer Camp program                 | 5,000.  |
| Greater Boston Food Bank<br>99 Atkinson Street<br>Boston, MA 02118                                    | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Habitat for Humanity of Cape Cod<br>657 Route 28<br>Yarmouth, MA 02673                                | PUBLIC                                                                                                             | 501(c)(3)                            | Equipment and support               | 5,000.  |
| Heading Home<br>528 Main Street, Ste. 100<br>Charlestown, MA 02129                                    | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 10,000. |
| Heritage Museum<br>67 Grove Street<br>Sandwich, MA 02563                                              | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 10,000. |
| Hingham Food Pantry<br>685 Main Street<br>Hingham, MA 02043                                           | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 5,000.  |
| Home for Little Wanderers<br>271 Huntington Avenue<br>Boston, MA 02115                                | PUBLIC                                                                                                             | 501(c)(3)                            | Programs and Support                | 5,000.  |
| Household Goods Recycling of MA<br>530 Main Street<br>Acton, MA 01720                                 | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies and equipment              | 10,000. |
| Joslin Diabetes Center<br>1 Joslin Place<br>Boston, MA 02215                                          | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 2,500.  |
| Total from continuation sheets                                                                        |                                                                                                                    |                                      |                                     |         |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                 | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|----------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| Joslin Summer Camp<br>P.O. Box 55112<br>Boston, MA 02215                         | PUBLIC                                                                                                             | 501(c)(3)                            | Summer Camp                         | 2,500.  |
| Kuja Benefit Fund<br>& Rockland Trust, 405 Washington St.<br>Braintree, MA 02184 | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 2,500.  |
| Lazarus House Ministries<br>P.O. Box 408<br>Lawrence, MA 01842                   | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Little Sisters of the Poor<br>186 Highland Avenue<br>Somerville, MA 02143        | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Littleton 300th Fund<br>P.O. Box 621<br>Littleton, MA 01460                      | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 10,000. |
| Littleton Congregational Church<br>330 King Street<br>Littleton, MA 01460        | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 2,500.  |
| Littleton Council on the Elderly<br>37 Shattuck Street<br>Littleton, MA 01460    | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies                            | 5,000.  |
| Littleton/Ayer Loaves and Fishes<br>234 Barnum Road<br>Devens, MA 01434          | PUBLIC                                                                                                             | 501(c)(3)                            | Aid to Needy                        | 20,000. |
| Lowell Open Pantry<br>200 Central Street<br>Lowell, MA 01852                     | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 2,500.  |
| Lower Cape Outreach Council<br>30 Brewster Cross Roads<br>Orleans, MA 02653      | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 15,000. |
| Total from continuation sheets                                                   |                                                                                                                    |                                      |                                     |         |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                     | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|--------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| Marge Crispin Food Pantry Center<br>74 Pond Street<br>Braintree, MA 02184            | PUBLIC                                                                                                             | 501(c)(3)                            | Food bank                           |         |
|                                                                                      |                                                                                                                    |                                      | Support                             | 7,500.  |
| Marine Toys for Tots Foundation<br>P. O. Box 1947<br>Quantico, VA 22134              | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 10,000. |
| Matt Warren Scholarship<br>58 Greenland Pond Road<br>Brewster, MA 02631              | PUBLIC                                                                                                             | 501(c)(3)                            | Scholarships                        | 5,000.  |
| Matthew Davidopoulos Fund<br>& Michael McCarthy, 22 Hancock St.<br>Hingham, MA 02043 | PUBLIC                                                                                                             | 501(c)(3)                            | Support, supplies                   | 5,000.  |
| MEDA, Inc.<br>92 Pearl Street<br>Newton, MA 02458                                    | PUBLIC                                                                                                             | 501(c)(3)                            | Support and programs                | 5,000.  |
| Metrowest YMCA<br>280 Old Connecticut Path<br>Framingham, MA 01701                   | PUBLIC                                                                                                             | 501(c)(3)                            | Summer camps                        | 5,000.  |
| MSPCA Angell Memorial<br>350 So. Huntington Avenue<br>Boston, MA 02130               | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies and support                | 2,500.  |
| My Brother's Keeper<br>P.O. Box 338<br>Easton, MA 02356                              | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 15,000. |
| N.E. Shelter for Homeless Veterans<br>17 Court Street<br>Boston, MA 02108            | PUBLIC                                                                                                             | 501(c)(3)                            | Support and programs                | 10,000. |
| N.O.A.H. Shelter for the Homeless<br>460 West Main Street<br>Hyannis, MA 02601       | PUBLIC                                                                                                             | 501(c)(3)                            | Support, Supplies,<br>programs      | 2,500.  |
| Total from continuation sheets                                                       |                                                                                                                    |                                      |                                     |         |

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                                      | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|-------------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| Nephcure Foundation<br>16 Quonahassit Trail<br>Cohasset, MA 02205                                     | PUBLIC                                                                                                             | 501(c)(3)                            | Research                            | 10,000. |
| One 27 Global Fund<br>16 July Street<br>Lowell, MA 01850                                              | PUBLIC                                                                                                             | 501(c)(3)                            | Programs and Supplies               | 5,000.  |
| Open Door Pantry<br>301 Great Road<br>Littleton, MA 01460                                             | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies and Support                | 5,000.  |
| Pan Mass Challenge<br>77 Fourth Avenue<br>Needham, MA 02494                                           | PUBLIC                                                                                                             | 501(c)(3)                            | Sponsorship                         | 5,000.  |
| Pastor's Benevolent Fund<br>1 Satucket Road<br>Brewster, MA 02631                                     | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 2,500.  |
| Perkins School for the Blind<br>175 North Beacon Street<br>Watertown, MA 02472                        | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies                            | 2,500.  |
| Pine Street Inn<br>444 Harrison Avenue<br>Boston, MA 02118                                            | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Police Fund - Kids with Cancer<br>P.O. Box 850956<br>Braintree, MA 02185                              | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 5,000.  |
| Project Bread<br>145 Border Street<br>East Boston, MA 02128                                           | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Ray Tye Medical Aid Fdn. - Alisha<br>Savage<br>P.O. Box 850376, 175 Campanelli<br>Braintree, MA 02185 | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 2,500.  |
| <b>Total from continuation sheets</b>                                                                 |                                                                                                                    |                                      |                                     |         |

John C. and Eunice B. Morrison  
Charitable Foundation

04-3310863

**Part XV** Supplementary Information

**3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                          | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|-------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| Reuben Hoar Library<br>41 Shattuck Street<br>Littleton, MA 01460                          | PUBLIC                                                                                                             | 501(c)(3)                            | Capital Fund                        | 5,000.  |
| Robert Radtke Memorial Fund and<br>Scholarship<br>259 Willow Street<br>Yarmouth, MA 02675 | PUBLIC                                                                                                             | 501(c)(3)                            | Support and<br>Scholarship          | 2,500.  |
| Ronald McDonald House<br>229 Kent Street<br>Brookline, MA 02446                           | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Rosie's Place<br>869 Harrison Avenue<br>Boston, MA 02118                                  | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 10,000. |
| Salvation Army<br>147 Berkley Street<br>Boston, MA 02116                                  | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 22,500. |
| Sami Eladhari Foundation<br>P.O. Box 203<br>Arlington, MA 02476                           | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 5,000.  |
| See A New Sun Foundation<br>P. O. Box 1344<br>Littleton, MA 01460                         | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 10,000. |
| South Shore YMCA<br>79 Coddington Street<br>Quincy, MA 02169                              | PUBLIC                                                                                                             | 501(c)(3)                            | Support for summer<br>camps         | 5,000.  |
| St. Anne's Catholic Church<br>75 King Street<br>Littleton, MA 01460                       | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 7,500.  |
| St. Catherine's Food Pantry<br>107 North Main Street<br>Westford, MA 01886                | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies                            | 7,500.  |
| Total from continuation sheets                                                            |                                                                                                                    |                                      |                                     |         |

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

| Recipient<br>Name and address (home or business)                                          | If recipient is an individual,<br>show any relationship to<br>any foundation manager<br>or substantial contributor | Foundation<br>status of<br>recipient | Purpose of grant or<br>contribution | Amount  |
|-------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------------------------------------|--------------------------------------|-------------------------------------|---------|
| St. Catherine's Youth Ministry<br>107 North Main Street<br>Westford, MA 01886             | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 5,000.  |
| Stone Family Adoption Assistance Fund<br>57 Bedford St., Ste. 225<br>Lexington, MA 02420  | PUBLIC                                                                                                             | 501(c)(3)                            | Support and programs                | 5,000.  |
| Student's Against Destructive<br>Behavior<br>255 Main Street<br>Marlborough, MA 01752     | PUBLIC                                                                                                             | 501(c)(3)                            | Support and programs                | 10,000. |
| Supportive Living<br>17 Warren Street<br>Woburn, MA 01801                                 | PUBLIC                                                                                                             | 501(c)(3)                            | Support for programs                | 5,000.  |
| Town of Braintree Scholarship Fund<br>348 Pond Street<br>Braintree, MA 02184              | PUBLIC                                                                                                             | 501(c)(3)                            | Scholarships                        | 1,000.  |
| Travis Roy Foundation<br>60 State Street<br>Boston, MA 02109                              | PUBLIC                                                                                                             | 501(c)(3)                            | Support                             | 7,500.  |
| VNA Hospice Care<br>P. O. Box 114<br>Woburn, MA 01801                                     | PUBLIC                                                                                                             | 501(c)(3)                            | Supplies and support                | 5,000.  |
| Westford Food Pantry<br>55 Main Street<br>Westford, MA 01886                              | PUBLIC                                                                                                             | 501(c)(3)                            | Support and programs                | 2,500.  |
| Westford Scholarship Trust<br>c/o Enterprise Bk., 237 Littleton Rd.<br>Westford, MA 01886 | PUBLIC                                                                                                             | 501(c)(3)                            | Scholarships                        | 15,000. |
| Women's Lunch Place<br>P. O. Box 170900<br>Boston, MA 02117                               | PUBLIC                                                                                                             | 501(c)(3)                            | Programs                            | 2,500.  |
| Total from continuation sheets                                                            |                                                                                                                    |                                      |                                     |         |

04-3310863

**3 Grants and Contributions Paid During the Year (Continuation)****Total from continuation sheets**

**Part XV** Supplementary Information

3a Grants and Contributions Paid During the Year Continuation of Purpose of Grant or Contribution

Name of Recipient - Camp Fatima-Exceptional Children's Week

Camp programs

Programs

## Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

| Source                         | (a)<br>Revenue<br>Per Books | (b)<br>Net Investment<br>Income | (c)<br>Adjusted<br>Net Income |
|--------------------------------|-----------------------------|---------------------------------|-------------------------------|
| Brookfield Property Ptrnrs. LP | 30.                         | 30.                             |                               |
| UBS - PF 00048 - INT           | 60.                         | 60.                             |                               |
| Total to Part I, line 3        | 90.                         | 90.                             |                               |

## Form 990-PF Dividends and Interest from Securities Statement 2

| Source                               | Gross<br>Amount | Capital<br>Gains<br>Dividends | (a)<br>Revenue<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income |
|--------------------------------------|-----------------|-------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| Brookfield<br>Property Ptrnrs.<br>LP | 35.             | 0.                            | 35.                         | 35.                               |                               |
| UBS - PF 00114 -<br>INT. PD.         | <4,222.>        | 0.                            | <4,222.>                    | <4,222.>                          |                               |
| UBS - PF 00114 -<br>INTEREST         | 50,191.         | 0.                            | 50,191.                     | 50,191.                           |                               |
| UBS - PF 00115                       | 21,381.         | 0.                            | 21,381.                     | 21,381.                           |                               |
| UBS - PF 00115 -<br>INTEREST         | 9.              | 0.                            | 9.                          | 9.                                |                               |
| UBS - PF 00116                       | 4,475.          | 0.                            | 4,475.                      | 4,475.                            |                               |
| UBS - PF 00116 -<br>INTEREST         | 17.             | 0.                            | 17.                         | 17.                               |                               |
| UBS - PF 00117                       | 20,594.         | 0.                            | 20,594.                     | 20,594.                           |                               |
| UBS - PF 00117 -<br>INTEREST         | 16.             | 0.                            | 16.                         | 16.                               |                               |
| UBS - PF 00118                       | 22,156.         | 0.                            | 22,156.                     | 22,156.                           |                               |
| UBS - PF 00118 -<br>INTEREST         | 29.             | 0.                            | 29.                         | 29.                               |                               |
| UBS - PF 00119                       | 22,576.         | 0.                            | 22,576.                     | 22,576.                           |                               |
| UBS - PF 00119 -<br>INTEREST         | 8.              | 0.                            | 8.                          | 8.                                |                               |
| UBS - PF 00120                       | 6,940.          | 379.                          | 6,561.                      | 6,561.                            |                               |
| UBS - PF 00120 -<br>INTEREST         | 7.              | 0.                            | 7.                          | 7.                                |                               |
| UBS - PF 00121                       | 4,397.          | 0.                            | 4,397.                      | 4,397.                            |                               |
| UBS - PF 00121 -<br>INTEREST         | 7.              | 0.                            | 7.                          | 7.                                |                               |
| UBS - PF 00122                       | 2,598.          | 32.                           | 2,566.                      | 2,566.                            |                               |
| UBS - PF 00122 -<br>INTEREST         | 5.              | 0.                            | 5.                          | 5.                                |                               |
| UBS - PF 00123                       | 15,603.         | 4,305.                        | 11,298.                     | 11,298.                           |                               |

|                   |          |         |          |          |
|-------------------|----------|---------|----------|----------|
| UBS - PF 00123 -  |          |         |          |          |
| INTEREST          | 4.       | 0.      | 4.       | 4.       |
| UBS - PF 00124    | 6,228.   | 34.     | 6,194.   | 6,194.   |
| UBS - PF 00124 -  |          |         |          |          |
| INTEREST          | 9.       | 0.      | 9.       | 9.       |
| UBS - PF 02408    | 40,274.  | 6,702.  | 33,572.  | 33,572.  |
| UBS - PF 02408 -  |          |         |          |          |
| FNMA's            | 2,319.   | 0.      | 2,319.   | 2,319.   |
| UBS - PF 02408 -  |          |         |          |          |
| GVT. INT.         | 13,524.  | 0.      | 13,524.  | 13,524.  |
| UBS - PF 02408 -  |          |         |          |          |
| GVT. INT.-OID     | 2,236.   | 0.      | 2,236.   | 2,236.   |
| UBS - PF 02408 -  |          |         |          |          |
| GVT. INT.-PD.     | <823.>   | 0.      | <823.>   | <823.>   |
| UBS - PF 02408 -  |          |         |          |          |
| OTHER INT.        | 9,404.   | 0.      | 9,404.   | 9,404.   |
| UBS - PF 02408 -  |          |         |          |          |
| OTHER INT.        | 2,319.   | 0.      | 2,319.   | 2,319.   |
| UBS - PF 02408 -  |          |         |          |          |
| OTHER INT.-PD.    | <1,095.> | 0.      | <1,095.> | <1,095.> |
| UBS - PF 32219 -  |          |         |          |          |
| DIVIDENDS         | 86,369.  | 23,992. | 62,377.  | 62,377.  |
| UBS - PF 32219 -  |          |         |          |          |
| GVT. INT.         | 10,732.  | 0.      | 10,732.  | 10,732.  |
| UBS - PF 32219 -  |          |         |          |          |
| GVT. INT. PD.     | <2,144.> | 0.      | <2,144.> | <2,144.> |
| UBS - PF 32219 -  |          |         |          |          |
| INT.              | 61.      | 0.      | 61.      | 61.      |
| UBS - PF 32219 -  |          |         |          |          |
| OID - GVT. INT.   | 3,972.   | 0.      | 3,972.   | 3,972.   |
| To Part I, line 4 | 340,211. | 35,444. | 304,767. | 304,767. |

|             |              |           |   |
|-------------|--------------|-----------|---|
| Form 990-PF | Other Income | Statement | 3 |
|-------------|--------------|-----------|---|

| Description                                | (a)<br>Revenue<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income |
|--------------------------------------------|-----------------------------|-----------------------------------|-------------------------------|
| UBS - PF 00123- DEFERRED INCOME<br>PAYMENT | 0.                          | 517.                              |                               |
| UBS - PF 00120 - DEFERRED INCOME           | 0.                          | 40.                               |                               |
| Total to Form 990-PF, Part I, line 11      | 0.                          | 557.                              |                               |

|             |       |           |   |
|-------------|-------|-----------|---|
| Form 990-PF | Taxes | Statement | 4 |
|-------------|-------|-----------|---|

| Description                 | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|-----------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| Foreign Taxes Paid          | 4,974.                       | 4,974.                            |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 18 | 4,974.                       | 4,974.                            |                               | 0.                            |

|             |                |           |   |
|-------------|----------------|-----------|---|
| Form 990-PF | Other Expenses | Statement | 5 |
|-------------|----------------|-----------|---|

| Description                     | (a)<br>Expenses<br>Per Books | (b)<br>Net Invest-<br>ment Income | (c)<br>Adjusted<br>Net Income | (d)<br>Charitable<br>Purposes |
|---------------------------------|------------------------------|-----------------------------------|-------------------------------|-------------------------------|
| UBS - Investment advisory fees  | 103,694.                     | 103,694.                          |                               | 0.                            |
| Filing fee                      | 125.                         | 125.                              |                               | 0.                            |
| Insurance premium               | 1,582.                       | 0.                                |                               | 0.                            |
| UBS - Other Investment Expenses | 256.                         | 256.                              |                               | 0.                            |
| To Form 990-PF, Pg 1, ln 23     | 105,657.                     | 104,075.                          |                               | 0.                            |

|             |                                                |           |   |
|-------------|------------------------------------------------|-----------|---|
| Form 990-PF | Other Increases in Net Assets or Fund Balances | Statement | 6 |
|-------------|------------------------------------------------|-----------|---|

| Description                            | Amount |
|----------------------------------------|--------|
| Refund from Form 990-PF, 2012          | 1.     |
| Expenses on return, not on books       | 1,663. |
| Total to Form 990-PF, Part III, line 3 | 1,664. |

|             |                                                |           |   |
|-------------|------------------------------------------------|-----------|---|
| Form 990-PF | Other Decreases in Net Assets or Fund Balances | Statement | 7 |
|-------------|------------------------------------------------|-----------|---|

| Description                            | Amount  |
|----------------------------------------|---------|
| IRS - Payments made                    | 16,183. |
| Gains on return not recorded on books  | 14,660. |
| Total to Form 990-PF, Part III, line 5 | 30,843. |

|             |                                            |           |   |
|-------------|--------------------------------------------|-----------|---|
| Form 990-PF | U.S. and State/City Government Obligations | Statement | 8 |
|-------------|--------------------------------------------|-----------|---|

| Description                                      | U.S.<br>Gov't | Other<br>Gov't | Book Value | Fair Market<br>Value |
|--------------------------------------------------|---------------|----------------|------------|----------------------|
| U.S. T-Notes and Bonds                           | X             |                | 1,565,746. | 1,567,239.           |
| Total U.S. Government Obligations                |               |                | 1,565,746. | 1,567,239.           |
| Total State and Municipal Government Obligations |               |                |            |                      |
| Total to Form 990-PF, Part II, line 10a          |               |                | 1,565,746. | 1,567,239.           |

|             |                 |           |   |
|-------------|-----------------|-----------|---|
| Form 990-PF | Corporate Stock | Statement | 9 |
|-------------|-----------------|-----------|---|

| Description                             | Book Value | Fair Market<br>Value |
|-----------------------------------------|------------|----------------------|
| Stocks                                  | 4,367,610. | 6,021,334.           |
| Total to Form 990-PF, Part II, line 10b | 4,367,610. | 6,021,334.           |

|             |                 |           |    |
|-------------|-----------------|-----------|----|
| Form 990-PF | Corporate Bonds | Statement | 10 |
|-------------|-----------------|-----------|----|

| Description                             | Book Value | Fair Market<br>Value |
|-----------------------------------------|------------|----------------------|
| FHLMC & FNMA                            | 384,623.   | 387,671.             |
| Corporate Bonds                         | 1,052,068. | 1,045,124.           |
| Total to Form 990-PF, Part II, line 10c | 1,436,691. | 1,432,795.           |

Form 990-PF

Other Investments

Statement 11

| Description                            | Valuation<br>Method | Book Value | Fair Market<br>Value |
|----------------------------------------|---------------------|------------|----------------------|
| Mutual Funds                           | COST                | 2,040,552. | 2,081,350.           |
| Dividends/Interest Receivable          | COST                | 0.         | 0.                   |
| Total to Form 990-PF, Part II, line 13 |                     | 2,040,552. | 2,081,350.           |

# Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury  
Internal Revenue Service

► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

## **Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).**

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

| Type or print                                                 | Name of exempt organization or other filer, see instructions.                                                              | Enter filer's identifying number                             |
|---------------------------------------------------------------|----------------------------------------------------------------------------------------------------------------------------|--------------------------------------------------------------|
|                                                               | <b>John C. and Eunice B. Morrison Charitable Foundation</b>                                                                | Employer identification number (EIN) or<br><b>04-3310863</b> |
| File by the due date for filing your return. See instructions | Number, street, and room or suite no. If a P O box, see instructions.<br><b>% P.Sorgi, Tr., 6 Beacon Street, No. #1010</b> | Social security number (SSN)                                 |
|                                                               | City, town or post office, state, and ZIP code. For a foreign address, see instructions.<br><b>Boston, MA 02108</b>        |                                                              |

Enter the Return code for the return that this application is for (file a separate application for each return)

**04**

| Application Is For                       | Return Code | Application Is For                | Return Code |
|------------------------------------------|-------------|-----------------------------------|-------------|
| Form 990 or Form 990-EZ                  | 01          | Form 990-T (corporation)          | 07          |
| Form 990-BL                              | 02          | Form 1041-A                       | 08          |
| Form 4720 (individual)                   | 03          | Form 4720 (other than individual) | 09          |
| Form 990-PF                              | 04          | Form 5227                         | 10          |
| Form 990-T (sec. 401(a) or 408(a) trust) | 05          | Form 6069                         | 11          |
| Form 990-T (trust other than above)      | 06          | Form 8870                         | 12          |

**Peter Sorgi, Trustee**

- The books are in the care of ► **6 Beacon Street, Suite 1010 - Boston, MA 02108-3817**  
Telephone No ► **(617) 742-2150** Fax No ► **617-227-4507**

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **August 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year **2014** or
- ☐ tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return ☐ Change in accounting period

|                                                                                                                                                                                        |    |    |                |
|----------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|----|----|----------------|
| 3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.                                   | 3a | \$ | <b>16,150.</b> |
| b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit. | 3b | \$ | <b>9,150.</b>  |
| c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.       | 3c | \$ | <b>7,000.</b>  |

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

## UBS FINANCIAL SERVICES INC.

Account PF 00114

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                                         | Quantity  | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|-------------------------------------------------------------------------------------------------------------------|-----------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CIT GROUP INC RATE 5.00 % MATURES 08/15/2022 CALLABLE DATED DATE 08/03/2012 / CUSIP: 125581GQ5 / Symbol: 07/23/14 | 2,000.000 | 2,080.00                 | 03/03/14                         | 2,105.73                | 0.00                                  | -25.73                                   | Sale                   |
| DISH DBS CORP NOTE RATE 5.875 % MATURES 07/15/2022 / CUSIP: 25470XAJ4 / Symbol:                                   |           |                          |                                  |                         |                                       |                                          |                        |
| 2 tax lots for 11/10/14 Total proceeds (and cost when required) reported to the IRS.                              |           |                          |                                  |                         |                                       |                                          |                        |
|                                                                                                                   | 3,000.000 | 3,105.00                 | 03/06/14                         | 3,171.45                | 0.00                                  | -66.45                                   | Sale                   |
|                                                                                                                   | 2,000.000 | 2,070.00                 | 04/23/14                         | 2,151.10                | 0.00                                  | -81.10                                   | Sale                   |
|                                                                                                                   | 5,000.000 | 5,175.00                 | VARIOUS                          | 5,322.55                | 0.00                                  | -147.55                                  | Total of 2 lots        |

## WHITING PETROLEUM CORP NOTE RATE 5.75 % MATURES 03/15/2021 CALLABLE / CUSIP: 966387AH5 / Symbol:

|                                                                                      |           |           |          |           |         |         |                 |
|--------------------------------------------------------------------------------------|-----------|-----------|----------|-----------|---------|---------|-----------------|
| 11/20/14                                                                             | 2,000.000 | 2,030.00  | 10/23/14 | 2,128.67  | 0.00    | -98.67  | Sale            |
| 11/20/14                                                                             | 2,000.000 | 2,030.00  | 10/21/14 | 2,103.86  | 73.86 W | 0.00    | Sale            |
| 2 tax lots for 12/10/14 Total proceeds (and cost when required) reported to the IRS. |           |           |          |           |         |         |                 |
|                                                                                      | 1,000.000 | 895.00    | 10/23/14 | 1,063.84  | 0.00    | -168.84 | Sale            |
|                                                                                      | 2,000.000 | 1,790.00  | 10/23/14 | 2,201.54  | 0.00    | -411.54 | Sale            |
|                                                                                      | 3,000.000 | 2,685.00  | VARIOUS  | 3,265.38  | 0.00    | -580.38 | Total of 2 lots |
| Security total:                                                                      |           | 6,745.00  |          | 7,497.91  | 73.86 W | -679.05 |                 |
| Totals:                                                                              |           | 14,000.00 |          | 14,926.19 | 73.86 W | -852.33 |                 |

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

## SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                                        | Quantity  | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|------------------------------------------------------------------------------------------------------------------|-----------|--------------------------|----------------------------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ACCESS MIDSTREAM PARTNERS LP NOTE RATE 4.875 % MATURES 05/15/2023 CALLABLE / CUSIP: 00434NAA3 / Symbol: 06/17/14 | 2,000.000 | 2,120.00                 | 10/11/13                         | 1,937.50            | 0.00                          | 182.50                                   | Sale                   |
| 06/18/14                                                                                                         | 2,000.000 | 2,120.00                 | 10/18/13                         | 1,991.26            | 0.00                          | 128.74                                   | Sale                   |
| 2 tax lots for 06/19/14. Total proceeds (and cost when required) reported to the IRS.                            |           |                          |                                  |                     |                               |                                          |                        |
|                                                                                                                  | 1,000.000 | 1,062.50                 | 10/18/13                         | 995.63              | 0.00                          | 66.87                                    | Sale                   |
|                                                                                                                  | 1,000.000 | 1,062.50                 | 10/21/13                         | 1,002.50            | 0.00                          | 60.00                                    | Sale                   |
| 06/19/14                                                                                                         | 2,000.000 | 2,125.00                 | VARIOUS                          | 1,998.13            | 0.00                          | 126.87                                   | Total of 2 lots        |
| 06/20/14                                                                                                         | 2,000.000 | 2,122.50                 | 10/21/13                         | 2,005.00            | 0.00                          | 117.50                                   | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account: PF 00114

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## SHORT-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part I, with Box B checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                            | Quantity   | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|------------------------------------------------------------------------------------------------------|------------|---------------------------|-------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| Security total:                                                                                      |            | 8,487.50                  |                         |               | 7,931.89            | 0.00                          | 555.61                                   |                        |
| CLEAR CHANNEL WW HLDGS INC NOTE RATE 6.50 % MATURES 11/15/2022 CALLABLE / CUSIP: 18451QAM0 / Symbol: |            |                           |                         |               |                     |                               |                                          |                        |
| 4 tax lots for 02/25/14. Total proceeds (and cost when required) reported to the IRS.                |            |                           |                         |               |                     |                               |                                          |                        |
|                                                                                                      | 4,000.000  | 4,252.50                  |                         | 08/20/13      | 4,000.00            | 0.00                          | 252.50                                   | Sale                   |
|                                                                                                      | 5,000.000  | 5,315.62                  |                         | 08/22/13      | 4,987.50            | 0.00                          | 328.12                                   | Sale                   |
|                                                                                                      | 2,000.000  | 2,126.25                  |                         | 09/04/13      | 2,022.50            | 0.00                          | 103.75                                   | Sale                   |
|                                                                                                      | 4,000.000  | 4,252.50                  |                         | 09/10/13      | 4,050.00            | 0.00                          | 202.50                                   | Sale                   |
| 02/25/14                                                                                             | 15,000.000 | 15,946.87                 |                         | VARIOUS       | 15,060.00           | 0.00                          | 886.87                                   | Total of 4 lots        |

## CONTINENTAL RESOURCES INC NOTE RATE 4.50 % MATURES 04/15/2023 CALLABLE / CUSIP: 212015AL5 / Symbol:

2 tax lots for 05/21/14. Total proceeds (and cost when required) reported to the IRS

|                 |           |          |  |          |          |      |        |                 |
|-----------------|-----------|----------|--|----------|----------|------|--------|-----------------|
|                 | 2,000.000 | 2,132.50 |  | 07/15/13 | 1,995.00 | 0.00 | 137.50 | Sale            |
|                 | 1,000.000 | 1,066.25 |  | 07/16/13 | 997.50   | 0.00 | 68.75  | Sale            |
| 05/21/14        | 3,000.000 | 3,198.75 |  | VARIOUS  | 2,992.50 | 0.00 | 206.25 | Total of 2 lots |
|                 | 2,000.000 | 2,127.00 |  | 07/16/13 | 1,995.00 | 0.00 | 132.00 | Sale            |
| 05/22/14        | 3,000.000 | 3,191.70 |  | 07/16/13 | 2,992.50 | 0.00 | 199.20 | Sale            |
| 06/24/14        |           | 8,517.45 |  |          | 7,980.00 | 0.00 | 537.45 |                 |
| Security total: |           |          |  |          |          |      |        |                 |

## NCR CORP NOTE RATE 5.00 % MATURES 07/15/2022 CALLABLE DATED DATE 01/15/2013 / CUSIP: 62886EAJ7 / Symbol:

3 tax lots for 03/11/14. Total proceeds (and cost when required) reported to the IRS.

|          |           |          |  |          |          |      |        |                 |
|----------|-----------|----------|--|----------|----------|------|--------|-----------------|
|          | 2,000.000 | 2,012.50 |  | 06/12/13 | 1,977.50 | 0.00 | 35.00  | Sale            |
|          | 2,000.000 | 2,015.00 |  | 06/12/13 | 1,977.50 | 0.00 | 37.50  | Sale            |
|          | 4,000.000 | 4,030.00 |  | 06/26/13 | 3,850.00 | 0.00 | 180.00 | Sale            |
| 03/11/14 | 8,000.000 | 8,057.50 |  | VARIOUS  | 7,805.00 | 0.00 | 252.50 | Total of 3 lots |

## OFFSHORE GROUP INVMT LTD NOTE RATE 7.50 % MATURES 11/01/2019 CALLABLE / CUSIP: 676253AJ6 / Symbol:

|                 |           |           |  |          |           |      |          |      |
|-----------------|-----------|-----------|--|----------|-----------|------|----------|------|
|                 | 2,000.000 | 2,155.00  |  | 05/24/13 | 2,154.76  | 0.00 | 0.24     | Sale |
| 01/30/14        | 2,000.000 | 2,150.00  |  | 05/31/13 | 2,153.76  | 0.00 | -3.76    | Sale |
| 02/21/14        | 3,000.000 | 3,232.50  |  | 06/03/13 | 3,224.56  | 0.00 | 7.94     | Sale |
| 02/28/14        |           | 7,537.50  |  |          | 7,533.08  | 0.00 | 4.42     |      |
| Security total: |           |           |  |          |           |      |          |      |
| Totals:         |           | 48,546.82 |  |          | 46,309.97 | 0.00 | 2,236.85 |      |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00114

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                                   | Quantity   | 6- Reported (G)ross (N)et | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-------------------------------------------------------------------------------------------------------------|------------|---------------------------|------------------------------|---------------------|-------------------------------|------------------------|------------------------------------------|
| AES CORP NTS 8.000% 101517 DTD011808 FC041508 CALL@MMW +50BP / CUSIP: 00130HBH7 / Symbol:                   | 2,000.000  | 2,370.00                  | 04/03/12                     | 2,175.55            | 0.00                          | 194.45                 | Sale                                     |
| AIRCATTLE LTD NOTE RATE 6.75 % MATURES 04/15/2017 / CUSIP: 00928QAF8 / Symbol:                              | 2,000.000  | 2,220.00                  | 06/21/12                     | 2,006.19            | 0.00                          | 213.81                 | Sale                                     |
| 2 tax lots for 07/03/14 Total proceeds (and cost when required) reported to the IRS                         |            |                           |                              |                     |                               |                        |                                          |
|                                                                                                             | 3,000.000  | 3,330.00                  | 07/20/12                     | 3,074.34            | 0.00                          | 255.66                 | Sale                                     |
|                                                                                                             | 3,000.000  | 3,330.00                  | 12/14/12                     | 3,149.59            | 0.00                          | 180.41                 | Sale                                     |
|                                                                                                             | 6,000.000  | 6,660.00                  | VARIOUS                      | 6,223.93            | 0.00                          | 436.07                 | Total of 2 lots                          |
| Security total:                                                                                             |            | 8,880.00                  |                              | 8,230.12            | 0.00                          | 649.88                 |                                          |
| AMERISTAR CASINOS INC NOTE RATE 7.50 % MATURES 04/15/2021 CALLABLE / CUSIP: 03070QAN1 / Symbol:             | 3,000.000  | 3,135.00                  | 09/21/12                     | 3,234.30            | 0.00                          | -99.30                 | Sale                                     |
|                                                                                                             | 2,000.000  | 2,090.00                  | 09/21/12                     | 2,156.15            | 0.00                          | -66.15                 | Sale                                     |
|                                                                                                             | 2,000.000  | 2,090.00                  | 09/21/12                     | 2,155.99            | 0.00                          | -65.99                 | Sale                                     |
| Security total:                                                                                             |            | 7,315.00                  |                              | 7,546.44            | 0.00                          | -231.44                |                                          |
| AUTONATION INC NOTE RATE 6.75 % MATURES 04/15/2018 / CUSIP: 05329WAJ1 / Symbol:                             | 2,000.000  | 2,275.00                  | 04/22/10                     | 2,004.98            | 0.00                          | 270.02                 | Sale                                     |
| AVIS BUDGET NOTE RATE 8.25 % MATURES 01/15/2019 CALLABLE DATED DATE 01/15/2011 / CUSIP: 053773AN7 / Symbol: | 3,000.000  | 3,240.87                  | 12/13/12                     | 3,279.49            | 0.00                          | -38.62                 | Sale                                     |
| 2 tax lots for 06/15/14. Total proceeds (and cost when required) reported to the IRS.                       |            |                           |                              |                     |                               |                        |                                          |
|                                                                                                             | 2,000.000  | 2,133.66                  | 12/13/12                     | 2,180.34            | 0.00                          | -46.68                 | Sale                                     |
|                                                                                                             | 3,000.000  | 3,200.49                  | 01/28/13                     | 3,281.16            | 0.00                          | -80.67                 | Sale                                     |
|                                                                                                             | 5,000.000  | 5,334.15                  | VARIOUS                      | 5,461.50            | 0.00                          | -127.35                | Total of 2 lots                          |
| Security total:                                                                                             |            | 8,575.02                  |                              | 8,740.99            | 0.00                          | -165.97                |                                          |
| BE AEROSPACE INC RATE 5.25 % MATURES 04/01/2022 CALLABLE DATED DATE 03/13/2012 / CUSIP: 055381AS6 / Symbol: | 2,000.000  | 2,181.92                  | 11/04/13                     | 2,054.23            | 0.00                          | 127.69                 | Bond call                                |
| 4 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS.                       |            |                           |                              |                     |                               |                        |                                          |
|                                                                                                             | 8,000.000  | 8,727.68                  | 11/05/13                     | 8,223.82            | 0.00                          | 503.86                 | Bond call                                |
|                                                                                                             | 2,000.000  | 2,181.92                  | 11/13/13                     | 2,047.50            | 0.00                          | 134.42                 | Bond call                                |
|                                                                                                             | 3,000.000  | 3,272.88                  | 11/15/13                     | 3,075.00            | 0.00                          | 197.88                 | Bond call                                |
|                                                                                                             | 15,000.000 | 16,364.40                 | VARIOUS                      | 15,400.55           | 0.00                          | 963.85                 | Total of 4 lots                          |

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UBS FINANCIAL SERVICES INC.

## Proceeds from Broker and Barter Exchange Transactions

Account PF 00114

2014 1099-B\*

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                                                   | Quantity        | 6- Reported (Gross/Net) | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|-------------------------------------------------------------------------------------------------------------|-----------------|-------------------------|------------------------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| CDW LLC / CDW FIN CORP 08.000% 121518 DTD061511 FC121511 CALL@MW+50BP / CUSIP: 12513GAW9 / Symbol:          |                 |                         |                              |                     |                               |                                          |                        |
| 2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS                         |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                                             | 1,000.000       | 1,060.61                | 02/19/13                     | 1,087.98            | 0.00                          | -27.37                                   | Sale                   |
|                                                                                                             | 4,000.000       | 4,242.44                | 02/20/13                     | 4,352.07            | 0.00                          | -109.63                                  | Sale                   |
| 09/05/14                                                                                                    | 5,000.000       | 5,303.05                | VARIOUS                      | 5,440.05            | 0.00                          | -137.00                                  | Total of 2 lots        |
| CIT GROUP INC CALL@MW 50BP NOTE RATE 5.00 % MATURES 05/15/2017 / CUSIP: 125581GM4 / Symbol:                 |                 |                         |                              |                     |                               |                                          |                        |
| 2 tax lots for 03/03/14. Total proceeds (and cost when required) reported to the IRS                        |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                                             | 4,000.000       | 4,290.00                | 05/11/12                     | 4,029.93            | 0.00                          | 260.07                                   | Sale                   |
|                                                                                                             | 1,000.000       | 1,072.50                | 05/14/12                     | 1,005.83            | 0.00                          | 66.67                                    | Sale                   |
| 03/03/14                                                                                                    | 5,000.000       | 5,362.50                | VARIOUS                      | 5,035.76            | 0.00                          | 326.74                                   | Total of 2 lots        |
| 03/31/14                                                                                                    | 4,000.000       | 4,275.00                | 05/14/12                     | 4,022.82            | 0.00                          | 252.18                                   | Sale                   |
| 04/17/14                                                                                                    | 2,000.000       | 2,142.50                | 05/15/12                     | 2,011.26            | 0.00                          | 131.24                                   | Sale                   |
| 05/01/14                                                                                                    | 3,000.000       | 3,206.25                | 05/15/12                     | 3,016.69            | 0.00                          | 189.56                                   | Sale                   |
| 2 tax lots for 05/05/14 Total proceeds (and cost when required) reported to the IRS.                        |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                                             | 1,000.000       | 1,068.75                | 05/15/12                     | 1,005.54            | 0.00                          | 63.21                                    | Sale                   |
|                                                                                                             | 1,000.000       | 1,068.75                | 01/22/13                     | 1,056.32            | 0.00                          | 12.43                                    | Sale                   |
| 05/05/14                                                                                                    | 2,000.000       | 2,137.50                | VARIOUS                      | 2,061.86            | 0.00                          | 75.64                                    | Total of 2 lots        |
| 06/05/14                                                                                                    | 5,000.000       | 5,343.75                | 01/22/13                     | 5,274.17            | 0.00                          | 69.58                                    | Sale                   |
|                                                                                                             | Security total: | 22,467.50               |                              | 21,422.56           | 0.00                          | 1,044.94                                 |                        |
| CASE CORP NOTE RATE 7.25 % MATURES 01/15/2016 DATED DATE 01/16/1996 / CUSIP: 14743RAB9 / Symbol:            |                 |                         |                              |                     |                               |                                          |                        |
| 08/04/14                                                                                                    | 3,000.000       | 3,176.25                | 01/06/11                     | 3,083.83            | 0.00                          | 92.42                                    | Sale                   |
| 2 tax lots for 08/05/14 Total proceeds (and cost when required) reported to the IRS                         |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                                             | 1,000.000       | 1,058.75                | 01/06/11                     | 1,027.89            | 0.00                          | 30.86                                    | Sale                   |
|                                                                                                             | 2,000.000       | 2,117.50                | 08/28/12                     | 2,114.43            | 0.00                          | 3.07                                     | Sale                   |
| 08/05/14                                                                                                    | 3,000.000       | 3,176.25                | VARIOUS                      | 3,142.32            | 0.00                          | 33.93                                    | Total of 2 lots        |
|                                                                                                             | Security total: | 6,352.50                |                              | 6,226.15            | 0.00                          | 126.35                                   |                        |
| DELPHI CORP NOTE RATE 5.00 % MATURES 02/15/2023 CALLABLE DATED DATE 02/14/2013 / CUSIP: 247126AH8 / Symbol: |                 |                         |                              |                     |                               |                                          |                        |
| 10/27/14                                                                                                    | 2,000.000       | 2,142.50                | 03/15/13                     | 2,090.77            | 0.00                          | 51.73                                    | Sale                   |
| 10/29/14                                                                                                    | 2,000.000       | 2,142.50                | 05/07/13                     | 2,161.22            | 0.00                          | -18.72                                   | Sale                   |
| 11/07/14                                                                                                    | 3,000.000       | 3,210.00                | 05/07/13                     | 3,241.28            | 0.00                          | -31.28                                   | Sale                   |
|                                                                                                             | Security total: | 7,495.00                |                              | 7,493.27            | 0.00                          | 1.73                                     |                        |

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## UBS FINANCIAL SERVICES INC.

Account: PF 00114

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                                | Quantity   | 6- Reported | 1d- Proceeds & Reported (Gross/Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------------------------|------------|-------------|-------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ECHOSTAR DBS CORP MW+50BP RATE 7.125 % MATURES 02/01/2016 CALLABLE / CUSIP: 27876GBE7 / Symbol:          |            |             |                                     |               |                     |                               |                                          |                        |
| 03/06/14                                                                                                 | 2,000.000  |             | 2,202.50                            | 05/20/08      | 1,925.00            | 0.00                          | 277.50                                   | Sale                   |
| 03/14/14                                                                                                 | 3,000.000  |             | 3,285.00                            | 01/27/10      | 3,002.69            | 0.00                          | 282.31                                   | Sale                   |
| 2 tax lots for 04/23/14. Total proceeds (and cost when required) reported to the IRS.                    |            |             |                                     |               |                     |                               |                                          |                        |
|                                                                                                          | 5,000.000  |             | 5,468.75                            | 01/27/10      | 5,004.24            | 0.00                          | 464.51                                   | Sale                   |
|                                                                                                          | 1,000.000  |             | 1,093.75                            | 04/28/10      | 1,006.11            | 0.00                          | 87.64                                    | Sale                   |
| 04/23/14                                                                                                 | 6,000.000  |             | 6,562.50                            | VARIOUS       | 6,010.35            | 0.00                          | 552.15                                   | Total of 2 lots        |
| 04/24/14                                                                                                 | 8,000.000  |             | 8,750.00                            | 04/28/10      | 8,048.78            | 0.00                          | 701.22                                   | Sale                   |
| Security total:                                                                                          |            |             | 20,800.00                           |               | 18,986.82           | 0.00                          | 1,813.18                                 |                        |
| EL PASO CORP MW@+50BP RATE 7.00 % MATURES 06/15/2017 CALLABLE / CUSIP: 28336LBQ1 / Symbol:               |            |             |                                     |               |                     |                               |                                          |                        |
| 12/02/14                                                                                                 | 4,000.000  |             | 4,480.00                            | 03/10/08      | 4,035.27            | 0.00                          | 444.73                                   | Sale                   |
| GMAC LLC RATE 8.00 % MATURES 11/01/2031 DATED DATE 12/31/2008 / CUSIP: 36186CBY8 / Symbol:               |            |             |                                     |               |                     |                               |                                          |                        |
| 04/08/14                                                                                                 | 4,000.000  |             | 4,956.40                            | 02/09/10      | 3,720.00            | 0.00                          | 1,236.40                                 | Sale                   |
| 05/27/14                                                                                                 | 8,000.000  |             | 9,930.00                            | 03/10/10      | 7,480.00            | 0.00                          | 2,450.00                                 | Sale                   |
| Security total:                                                                                          |            |             | 14,886.40                           |               | 11,200.00           | 0.00                          | 3,686.40                                 |                        |
| HCA INC NOTE RATE 7.875 % MATURES 02/15/2020 CALLABLE DATED DATE 02/15/2010 / CUSIP: 404119BJ7 / Symbol: |            |             |                                     |               |                     |                               |                                          |                        |
| 2 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS.                    |            |             |                                     |               |                     |                               |                                          |                        |
|                                                                                                          | 12,000.000 |             | 12,791.63                           | 09/12/11      | 12,520.75           | 0.00                          | 270.88                                   | Sale                   |
|                                                                                                          | 8,000.000  |             | 8,527.75                            | 09/20/11      | 8,407.68            | 0.00                          | 120.07                                   | Sale                   |
| 04/02/14                                                                                                 | 20,000.000 |             | 21,319.38                           | VARIOUS       | 20,928.43           | 0.00                          | 390.95                                   | Total of 2 lots        |
| HALCON RESOURCES CORP NOTE RATE 8.875 % MATURES 05/15/2021 CALLABLE / CUSIP: 40537QAD2 / Symbol:         |            |             |                                     |               |                     |                               |                                          |                        |
| 12/03/14                                                                                                 | 2,000.000  |             | 1,475.00                            | 09/06/13      | 2,000.00            | 0.00                          | -525.00                                  | Sale                   |
| 12/09/14                                                                                                 | 2,000.000  |             | 1,405.00                            | 09/09/13      | 2,005.00            | 0.00                          | -600.00                                  | Sale                   |
| 12/18/14                                                                                                 | 3,000.000  |             | 2,287.50                            | 09/19/13      | 3,120.00            | 0.00                          | -832.50                                  | Sale                   |
| Security total:                                                                                          |            |             | 5,167.50                            |               | 7,125.00            | 0.00                          | -1,957.50                                |                        |
| HARRAHS OPER CO INC NOTE RATE 11.25 % MATURES 06/01/2017 CALLABLE / CUSIP: 413627BL3 / Symbol:           |            |             |                                     |               |                     |                               |                                          |                        |
| 2 tax lots for 03/06/14. Total proceeds (and cost when required) reported to the IRS                     |            |             |                                     |               |                     |                               |                                          |                        |
|                                                                                                          | 3,000.000  |             | 2,932.50                            | 06/21/10      | 3,141.07            | 0.00                          | -208.57                                  | Sale                   |
|                                                                                                          | 4,000.000  |             | 3,870.00                            | 06/21/10      | 4,188.10            | 0.00                          | -318.10                                  | Sale                   |
| 03/06/14                                                                                                 | 7,000.000  |             | 6,802.50                            | VARIOUS       | 7,329.17            | 0.00                          | -526.67                                  | Total of 2 lots        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00114

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                               | Quantity   | 1d- Proceeds & 6- Reported (Gross) Net | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------------------------|------------|----------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| HUNTINGTON INGALLS INDS INC NOTE RATE 6.875 % MATURES 03/15/2018 CALLABLE / CUSIP: 446413AB2 / Symbol:  |            |                                        |               |                     |                               |                                          |                        |
| 3 tax lots for 12/02/14 Total proceeds (and cost when required) reported to the IRS.                    |            |                                        |               |                     |                               |                                          |                        |
|                                                                                                         | 7,000,000  | 7,155.12                               | 02/16/12      | 7,248.12            | 0.00                          | -93.00                                   | Bond call              |
|                                                                                                         | 2,000,000  | 2,044.32                               | 03/22/12      | 2,073.52            | 0.00                          | -29.20                                   | Bond call              |
|                                                                                                         | 5,000,000  | 5,110.80                               | 04/04/12      | 5,207.08            | 0.00                          | -96.28                                   | Bond call              |
| 12/02/14                                                                                                | 14,000,000 | 14,310.24                              | VARIOUS       | 14,528.72           | 0.00                          | -218.48                                  | Total of 3 lots        |
| ICAHN ENTERPRISES L P / ICAHN NOTE RATE 8.00 % MATURES 01/15/2018 CALLABLE / CUSIP: 451102AH0 / Symbol: |            |                                        |               |                     |                               |                                          |                        |
| 01/21/14                                                                                                | 7,000,000  | 7,297.50                               | 04/03/12      | 7,278.97            | 0.00                          | 18.53                                    | Bond call              |
| IRON MOUNTAIN INC. NOTE RATE 8.375 % MATURES 08/15/2021 CALLABLE / CUSIP: 46284PAM6 / Symbol:           |            |                                        |               |                     |                               |                                          |                        |
| 12/30/14                                                                                                | 6,000,000  | 6,251.28                               | 05/05/10      | 6,240.83            | 0.00                          | 10.45                                    | Sale                   |
| LEUCADIA NATIONAL CORP NOTE RATE 8.125 % MATURES 09/15/2015 / CUSIP: 527288BD5 / Symbol:                |            |                                        |               |                     |                               |                                          |                        |
| 2 tax lots for 06/24/14. Total proceeds (and cost when required) reported to the IRS.                   |            |                                        |               |                     |                               |                                          |                        |
|                                                                                                         | 8,000,000  | 8,636.00                               | 01/22/08      | 7,960.00            | 0.00                          | 676.00                                   | Sale                   |
|                                                                                                         | 2,000,000  | 2,159.00                               | 03/15/12      | 2,082.77            | 0.00                          | 76.23                                    | Sale                   |
| 06/24/14                                                                                                | 10,000,000 | 10,795.00                              | VARIOUS       | 10,042.77           | 0.00                          | 752.23                                   | Total of 2 lots        |
| MGM GRAND INC CALL @ M/W T+50BP RATE 7.625 % MATURES 01/15/2017 / CUSIP: 552953BB6 / Symbol:            |            |                                        |               |                     |                               |                                          |                        |
| 05/15/14                                                                                                | 7,000,000  | 7,953.75                               | 01/19/12      | 7,010.15            | 0.00                          | 943.60                                   | Sale                   |
| MASCO CORP RATE 5.95 % MATURES 03/15/2022 DATED DATE 03/12/2012 / CUSIP: 574599BH8 / Symbol:            |            |                                        |               |                     |                               |                                          |                        |
| 2 tax lots for 07/18/14 Total proceeds (and cost when required) reported to the IRS                     |            |                                        |               |                     |                               |                                          |                        |
|                                                                                                         | 5,000,000  | 5,537.50                               | 03/06/12      | 4,981.25            | 0.00                          | 556.25                                   | Sale                   |
|                                                                                                         | 3,000,000  | 3,322.50                               | 03/07/12      | 3,000.00            | 0.00                          | 322.50                                   | Sale                   |
| 07/18/14                                                                                                | 8,000,000  | 8,860.00                               | VARIOUS       | 7,981.25            | 0.00                          | 878.75                                   | Total of 2 lots        |
| NRG ENERGY INC NOTE RATE 8.50 % MATURES 06/15/2019 CALLABLE / CUSIP: 629377BG6 / Symbol:                |            |                                        |               |                     |                               |                                          |                        |
| 2 tax lots for 01/08/14. Total proceeds (and cost when required) reported to the IRS                    |            |                                        |               |                     |                               |                                          |                        |
|                                                                                                         | 5,000,000  | 5,337.50                               | 06/06/11      | 5,187.50            | 0.00                          | 150.00                                   | Sale                   |
|                                                                                                         | 5,000,000  | 5,337.50                               | 06/07/11      | 5,212.50            | 0.00                          | 125.00                                   | Sale                   |
| 01/08/14                                                                                                | 10,000,000 | 10,675.00                              | VARIOUS       | 10,400.00           | 0.00                          | 275.00                                   | Total of 2 lots        |
| 03/25/14                                                                                                | 5,000,000  | 5,268.75                               | 06/14/11      | 5,187.50            | 0.00                          | 81.25                                    | Sale                   |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00114

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                                               | Quantity  | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------------------------|-----------|--------------------------|-----------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| Security total:                                                                                         |           | 15,943.75                | 15,943.75                               |               | 15,587.50           | 0.00                          | 356.25                                   |                        |
| OIL STATES INTL INC NOTE RATE 6.50 % MATURES 06/01/2019 CALLABLE / CUSIP: 678026AD7 / Symbol:           |           |                          |                                         |               |                     |                               |                                          |                        |
| 2 tax lots for 05/29/14 Total proceeds (and cost when required) reported to the IRS.                    |           |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                                         | 2,000.000 | 2,041.76                 | 2,041.76                                | 01/31/13      | 2,110.17            | 0.00                          | -68.41                                   | Bond call              |
|                                                                                                         | 6,000.000 | 6,125.28                 | 6,125.28                                | 03/15/13      | 6,410.20            | 0.00                          | -284.92                                  | Bond call              |
| 05/29/14                                                                                                | 8,000.000 | 8,167.04                 | 8,167.04                                | VARIOUS       | 8,520.37            | 0.00                          | -353.33                                  | Total of 2 lots        |
| PLAINS EXPLORATION & PROD CO RATE 6.625 % MATURES 05/01/2021 CALLABLE / CUSIP: 726505AK6 / Symbol:      |           |                          |                                         |               |                     |                               |                                          |                        |
| 04/30/14                                                                                                | 3,000.000 | 3,198.75                 | 3,198.75                                | 09/13/12      | 3,139.73            | 0.00                          | 59.02                                    | Sale                   |
| 08/15/14                                                                                                | 2,000.000 | 2,217.50                 | 2,217.50                                | 09/13/12      | 2,089.95            | 0.00                          | 127.55                                   | Sale                   |
| 08/18/14                                                                                                | 3,000.000 | 3,328.12                 | 3,328.12                                | 09/13/12      | 3,134.79            | 0.00                          | 193.33                                   | Sale                   |
| Security total:                                                                                         |           | 8,744.37                 | 8,744.37                                |               | 8,364.47            | 0.00                          | 379.90                                   |                        |
| RANGE RESOURCES CORP NOTE RATE 6.75 % MATURES 08/01/2020 CALLABLE / CUSIP: 75281AAL3 / Symbol:          |           |                          |                                         |               |                     |                               |                                          |                        |
| 02/12/14                                                                                                | 7,000.000 | 7,612.50                 | 7,612.50                                | 04/26/11      | 7,381.22            | 0.00                          | 231.28                                   | Sale                   |
| SANDRIDGE ENERGY INC NOTE RATE 7.50 % MATURES 03/15/2021 CALLABLE / CUSIP: 80007PAN9 / Symbol:          |           |                          |                                         |               |                     |                               |                                          |                        |
| 10/14/14                                                                                                | 6,000.000 | 5,355.00                 | 5,355.00                                | 05/07/12      | 5,977.50            | 0.00                          | -622.50                                  | Sale                   |
| 10/20/14                                                                                                | 1,000.000 | 922.50                   | 922.50                                  | 05/07/12      | 996.25              | 0.00                          | -73.75                                   | Sale                   |
| 10/21/14                                                                                                | 1,000.000 | 947.50                   | 947.50                                  | 05/07/12      | 996.25              | 0.00                          | -48.75                                   | Sale                   |
| Security total:                                                                                         |           | 7,225.00                 | 7,225.00                                |               | 7,970.00            | 0.00                          | -745.00                                  |                        |
| SMITHFIELD FOODS INC NOTE RATE 7.75 % MATURES 07/01/2017 / CUSIP: 832248AQ1 / Symbol:                   |           |                          |                                         |               |                     |                               |                                          |                        |
| 2 tax lots for 06/04/14. Total proceeds (and cost when required) reported to the IRS.                   |           |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                                         | 2,000.000 | 2,310.00                 | 2,310.00                                | 01/06/09      | 1,340.00            | 0.00                          | 970.00                                   | Sale                   |
|                                                                                                         | 5,000.000 | 5,775.00                 | 5,775.00                                | 08/07/09      | 3,818.75            | 0.00                          | 1,956.25                                 | Sale                   |
| 06/04/14                                                                                                | 7,000.000 | 8,085.00                 | 8,085.00                                | VARIOUS       | 5,158.75            | 0.00                          | 2,926.25                                 | Total of 2 lots        |
| SPRINT CAPITAL CORP MW-25BP GLOBAL RATE 6.90 % MATURES 05/01/2019 CALLABLE / CUSIP: 852060AG7 / Symbol: |           |                          |                                         |               |                     |                               |                                          |                        |
| 2 tax lots for 04/07/14. Total proceeds (and cost when required) reported to the IRS.                   |           |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                                         | 7,000.000 | 7,700.00                 | 7,700.00                                | 07/16/08      | 6,072.50            | 0.00                          | 1,627.50                                 | Sale                   |
|                                                                                                         | 1,000.000 | 1,100.00                 | 1,100.00                                | 12/09/10      | 985.00              | 0.00                          | 115.00                                   | Sale                   |
| 04/07/14                                                                                                | 8,000.000 | 8,800.00                 | 8,800.00                                | VARIOUS       | 7,057.50            | 0.00                          | 1,742.50                                 | Total of 2 lots        |
| 04/08/14                                                                                                | 7,000.000 | 7,700.00                 | 7,700.00                                | 12/09/10      | 6,895.00            | 0.00                          | 805.00                                   | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00114

2014 1099-B\*

(continued)

OMB No. 1545-0715

**LONG-TERM TRANSACTIONS**5- **NONCOVERED tax lots** 3- **Basis NOT reported to the IRS\*\***

Report on Form 8949, Part II, with Box E checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                                               | Quantity   | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------------------------|------------|--------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| Security total:                                                                                         |            | 16,500.00                |                                      |               | 13,952.50           | 0.00                          | 2,547.50                                 |                        |
| TW TELECOM HLDGS INC NTS 05.375% 100122 DTD 100112 FC040113 CALL@MW+50BP / CUSIP: 87311XAD0 / Symbol:   |            |                          |                                      |               |                     |                               |                                          |                        |
| 11/30/14                                                                                                | 3,000.000  |                          | 3,161.25                             | 09/12/13      | 2,861.25            | 0.00                          | 300.00                                   | Sale                   |
| 12/02/14                                                                                                | 4,000.000  |                          | 4,535.80                             | 09/12/13      | 3,815.00            | 0.00                          | 720.80                                   | Sale                   |
| Security total:                                                                                         |            |                          | 7,697.05                             |               | 6,676.25            | 0.00                          | 1,020.80                                 |                        |
| UNITED RENTALS NA INC 09 250% 121519 DTD 111709 CALL @ MW +50BP / CUSIP: 911365AU8 / Symbol:            |            |                          |                                      |               |                     |                               |                                          |                        |
| 3 tax lots for 04/14/14 Total proceeds (and cost when required) reported to the IRS.                    |            |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                                         | 7,000.000  |                          | 7,728.55                             | 01/13/10      | 7,332.21            | 0.00                          | 396.34                                   | Sale                   |
|                                                                                                         | 5,000.000  |                          | 5,520.39                             | 08/13/10      | 5,235.72            | 0.00                          | 284.67                                   | Sale                   |
|                                                                                                         | 2,000.000  |                          | 2,208.16                             | 01/22/13      | 2,259.92            | 0.00                          | -51.76                                   | Sale                   |
| 04/14/14                                                                                                | 14,000.000 |                          | 15,457.10                            | VARIOUS       | 14,827.85           | 0.00                          | 629.25                                   | Total of 3 lots        |
| VISTEON CORP MEDIUM TERM NOTE RATE 6.75 % MATURES 04/15/2019 CALLABLE / CUSIP: 92839UAF4 / Symbol:      |            |                          |                                      |               |                     |                               |                                          |                        |
| 05/09/14                                                                                                | 6,000.000  |                          | 6,303.78                             | 01/31/12      | 5,940.00            | 0.00                          | 363.78                                   | Sale                   |
| ZAYO GROUP LLC/ZAYO CPTL INC NOTE RATE 8.125 % MATURES 01/01/2020 CALLABLE / CUSIP: 989194AG0 / Symbol: |            |                          |                                      |               |                     |                               |                                          |                        |
| 12/15/14                                                                                                | 2,000.000  |                          | 2,162.50                             | 09/18/12      | 2,168.04            | 0.00                          | -5.54                                    | Sale                   |
| Totals:                                                                                                 |            |                          | 320,219.11                           |               | 303,914.99          | 0.00                          | 16,304.12                                |                        |

**UNDETERMINED-TERM TRANSACTIONS**5- **NONCOVERED tax lots** 3- **Basis NOT reported to the IRS\*\***

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term Report on Form 8949, Use either Part I with Box B checked or Part II with Box E checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                                                   | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|-------------------------------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| BE AEROSPACE INC RATE 5.25 % MATURES 04/01/2022 CALLABLE DATED DATE 03/13/2012 / CUSIP: 055381AS6 / Symbol: |          |                          |                                      |               |                     |                               |                                          |                        |
| 12/16/14                                                                                                    | 0.000    |                          | 450.00                               | N/A           | 0                   | ...                           | 450                                      | Gross proceeds         |
| HUNTINGTON INGALLS INDS INC NOTE RATE 6.875 % MATURES 03/15/2018 CALLABLE / CUSIP: 446413AB2 / Symbol:      |          |                          |                                      |               |                     |                               |                                          |                        |
| 12/02/14                                                                                                    | 0.000    |                          | 420.00                               | N/A           | 0                   | ...                           | 420                                      | Gross proceeds         |
| OIL STATES INTL INC NOTE RATE 6.50 % MATURES 06/01/2019 CALLABLE / CUSIP: 678026AD7 / Symbol:               |          |                          |                                      |               |                     |                               |                                          |                        |
| 05/29/14                                                                                                    | 0.000    |                          | 240.00                               | N/A           | 0                   | ...                           | 240                                      | Gross proceeds         |
| Totals:                                                                                                     |          |                          | 1,110.00                             |               | ...                 | ...                           | 1,110                                    |                        |

UBS FINANCIAL SERVICES INC.

Account PF 00115

**Proceeds from Broker and Barter Exchange Transactions**

2014 1099-B\*

OMB No. 1545-0715

**2- SHORT-TERM TRANSACTIONS**

Report on Form 9949, Part I, with Box A checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                          | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD | 25,000   |                           | 2,825.69                              | 02/12/14          | 2,048.90                | 0.00                                  | 776.79                                   | Sale                   |
| UNION PACIFIC CORP COMMON STOCK / CUSIP: 907818108 / Symbol: UNP   | 21,000   |                           | 2,558.96                              | 01/30/14          | 1,854.03                | 0.00                                  | 704.93                                   | Sale                   |
| <b>Totals:</b>                                                     |          |                           | <b>5,384.65</b>                       |                   | <b>3,902.93</b>         | <b>0.00</b>                           | <b>1,481.72</b>                          |                        |

**5- COVERED tax lots 3- Basis is reported to the IRS\*\*****2- LONG-TERM TRANSACTIONS**

Report on Form 9949, Part II, with Box D checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                                   | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP: 009158106 / Symbol: APD                       | 4,000    |                           | 580.52                                | 05/23/11          | 362.89                  | 0.00                                  | 217.63                                   | Sale                   |
| FREEPORT-MCMORAN INC / CUSIP: 35671D857 / Symbol: FCX                                       |          |                           |                                       |                   |                         |                                       |                                          |                        |
| <i>2 tax lots for 10/22/14. Total proceeds (and cost when required) reported to the IRS</i> |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                             | 98,000   |                           | 3,038.78                              | 02/28/11          | 5,106.13                | 0.00                                  | -2,067.35                                | Sale                   |
|                                                                                             | 93,000   |                           | 2,883.74                              | 05/23/11          | 4,411.34                | 0.00                                  | -1,527.60                                | Sale                   |
|                                                                                             | 191,000  |                           | 5,922.52                              | VARIOUS           | 9,517.47                | 0.00                                  | -3,594.95                                | Total of 2 lots        |

**INTUITIVE SURGICAL INC NEW / CUSIP: 46120E602 / Symbol: ISRG**

01/30/14 12,000 5,005.55

3,971.99 0.00 1,033.56 Sale

**ORACLE CORP / CUSIP: 68389X105 / Symbol: ORCL**

10/28/14 29,000 1,119.53

783.00 0.00 336.53 Sale

**TARGET CORP / CUSIP: 87612E106 / Symbol: TGT**

11/21/14 21,000 1,497.99

1,098.38 0.00 399.61 Sale

**WELLS FARGO & CO NEW / CUSIP: 949746101 / Symbol: WFC**

10/28/14 26,000 1,335.85

1,090.92 0.00 244.93 Sale

**Totals:****16,824.65 0.00 -1,362.69**

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UBS FINANCIAL SERVICES INC.

Account PF 00115

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|---------------------------|------------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ABBOTT LABS COMMON STOCK / CUSIP: 002824100 / Symbol: ABT                            | 28,000          | 1,182.79                  | 1,182.79                                 | 10/06/06      | 622.89              | 0.00                          | 559.90                                   | Sale                   |
| AIR PROD & CHEMICAL INC COMMON STOCK / CUSIP: 009158106 / Symbol: APD                |                 |                           |                                          |               |                     |                               |                                          |                        |
| 2 tax lots for 11/21/14 Total proceeds (and cost when required) reported to the IRS  |                 |                           |                                          |               |                     |                               |                                          |                        |
| 8,000                                                                                | 1,161.04        | 10/20/10                  | 661.55                                   |               |                     | 0.00                          | 499.49                                   | Sale                   |
| 6,000                                                                                | 870.77          | 10/21/10                  | 507.12                                   |               |                     | 0.00                          | 363.65                                   | Sale                   |
| 14,000                                                                               | 2,031.81        | VARIOUS                   | 1,168.67                                 |               |                     | 0.00                          | 863.14                                   | Total of 2 lots        |
| ALTRIA GROUP INC / CUSIP: 02209S103 / Symbol: MO                                     |                 |                           |                                          |               |                     |                               |                                          |                        |
| 09/24/14                                                                             | 51,000          | 2,306.68                  | 2,306.68                                 | 10/06/06      | 932.34              | 0.00                          | 1,374.34                                 | Sale                   |
| 10/28/14                                                                             | 28,000          | 1,323.90                  | 1,323.90                                 | 10/06/06      | 511.87              | 0.00                          | 812.03                                   | Sale                   |
|                                                                                      | Security total: | 3,630.58                  | 3,630.58                                 |               | 1,444.21            | 0.00                          | 2,186.37                                 |                        |
| APPLE INC / CUSIP: 037833100 / Symbol: AAPL                                          |                 |                           |                                          |               |                     |                               |                                          |                        |
| 09/24/14                                                                             | 87,000          | 8,831.18                  | 8,831.18                                 | 10/28/08      | 1,176.11            | 0.00                          | 7,655.07                                 | Sale                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS |                 |                           |                                          |               |                     |                               |                                          |                        |
| 11,000                                                                               | 1,172.50        | 10/28/08                  | 148.70                                   |               |                     | 0.00                          | 1,023.80                                 | Sale                   |
| 10,000                                                                               | 1,065.91        | 06/03/09                  | 200.27                                   |               |                     | 0.00                          | 865.64                                   | Sale                   |
| 21,000                                                                               | 2,238.41        | VARIOUS                   | 348.97                                   |               |                     | 0.00                          | 1,889.44                                 | Total of 2 lots        |
|                                                                                      | Security total: | 11,069.59                 | 11,069.59                                |               | 1,525.08            | 0.00                          | 9,544.51                                 |                        |
| CDK GLOBAL INC COM / CUSIP: 12508E101 / Symbol: CDK                                  |                 |                           |                                          |               |                     |                               |                                          |                        |
| 10/01/14                                                                             | 0.667           | 20.40                     | 20.40                                    | 10/06/06      | 10.83               | 0.00                          | 9.57                                     | Sale                   |
| CALIFORNIA RESOURCES CORP / CUSIP: 13057Q107 / Symbol: CRC                           |                 |                           |                                          |               |                     |                               |                                          |                        |
| 12/01/14                                                                             | 0.600           | 3.90                      | 3.90                                     | 10/06/06      | 2.38                | 0.00                          | 1.52                                     | Sale                   |
| COCA COLA CO COM COMMON STOCK / CUSIP: 191216100 / Symbol: KO                        |                 |                           |                                          |               |                     |                               |                                          |                        |
| 11/21/14                                                                             | 61,000          | 2,711.01                  | 2,711.01                                 | 10/06/06      | 1,363.96            | 0.00                          | 1,347.05                                 | Sale                   |
| WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP: 254687106 / Symbol: DIS              |                 |                           |                                          |               |                     |                               |                                          |                        |
| 10/28/14                                                                             | 24,000          | 2,145.79                  | 2,145.79                                 | 03/12/10      | 808.93              | 0.00                          | 1,336.86                                 | Sale                   |
| EXXON MOBIL CORP / CUSIP: 30231G102 / Symbol: XOM                                    |                 |                           |                                          |               |                     |                               |                                          |                        |
| 11/21/14                                                                             | 19,000          | 1,831.68                  | 1,831.68                                 | 10/06/06      | 1,282.50            | 0.00                          | 549.18                                   | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00115

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity       | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------------|--------------------------|-----------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| FREEPORT-MCMORAN INC / CUSIP: 35671D857 / Symbol: FCX                               |                |                          |                                         |               |                     |                               |                                          |                        |
| 2 tax lots for 10/22/14 Total proceeds (and cost when required) reported to the IRS |                |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                     | 1.000          | 31.01                    | 37.21                                   | 02/23/10      |                     | 0.00                          | -6.20                                    | Sale                   |
| 10/22/14                                                                            | 16.000         | 496.12                   | 774.48                                  | 10/21/10      |                     | 0.00                          | -278.36                                  | Sale                   |
|                                                                                     | 17.000         | 527.13                   | 811.69                                  | VARIOUS       |                     | 0.00                          | -284.56                                  | Total of 2 lots        |
| GENL ELECTRIC CO / CUSIP: 359604103 / Symbol: GE                                    |                |                          |                                         |               |                     |                               |                                          |                        |
| 02/12/14                                                                            | 135.000        | 3,439.13                 | 4,877.55                                | 10/06/06      |                     | 0.00                          | -1,438.42                                | Sale                   |
| HSBC HOLDINGS PLC NEW GB SPON ADR / CUSIP 404280406 / Symbol: HSBC                  |                |                          |                                         |               |                     |                               |                                          |                        |
| 04/04/14                                                                            | 50.000         | 2,550.10                 | 4,691.50                                | 10/06/06      |                     | 0.00                          | -2,141.40                                | Sale                   |
| 3 tax lots for 06/13/14 Total proceeds (and cost when required) reported to the IRS |                |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                     | 13.000         | 678.11                   | 1,219.79                                | 10/06/06      |                     | 0.00                          | -541.68                                  | Sale                   |
|                                                                                     | 45.000         | 2,347.29                 | 831.93                                  | 03/31/09      |                     | 0.00                          | 1,515.36                                 | Sale                   |
|                                                                                     | 21.000         | 1,095.41                 | 1,097.25                                | 10/20/10      |                     | 0.00                          | -1.84                                    | Sale                   |
| 06/13/14                                                                            | 79.000         | 4,120.81                 | 3,148.97                                | VARIOUS       |                     | 0.00                          | 971.84                                   | Total of 3 lots        |
| 2 tax lots for 09/05/14 Total proceeds (and cost when required) reported to the IRS |                |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                     | 50.000         | 2,695.53                 | 2,612.50                                | 10/20/10      |                     | 0.00                          | 83.03                                    | Sale                   |
|                                                                                     | 28.000         | 1,509.50                 | 1,477.56                                | 10/21/10      |                     | 0.00                          | 31.94                                    | Sale                   |
| 09/05/14                                                                            | 78.000         | 4,205.03                 | 4,090.06                                | VARIOUS       |                     | 0.00                          | 114.97                                   | Total of 2 lots        |
|                                                                                     | Security total | 10,875.94                | 11,930.53                               |               |                     | 0.00                          | -1,054.59                                |                        |
| INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC                           |                |                          |                                         |               |                     |                               |                                          |                        |
| 2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS |                |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                     | 20.000         | 689.46                   | 412.60                                  | 10/06/06      |                     | 0.00                          | 276.86                                   | Sale                   |
|                                                                                     | 63.000         | 2,171.80                 | 1,239.65                                | 10/21/10      |                     | 0.00                          | 932.15                                   | Sale                   |
| 09/24/14                                                                            | 83.000         | 2,861.26                 | 1,652.25                                | VARIOUS       |                     | 0.00                          | 1,209.01                                 | Total of 2 lots        |
| INTUITIVE SURGICAL INC NEW / CUSIP: 46120E602 / Symbol: ISRG                        |                |                          |                                         |               |                     |                               |                                          |                        |
| 01/30/14                                                                            | 5.000          | 2,085.64                 | 491.41                                  | 04/03/09      |                     | 0.00                          | 1,594.23                                 | Sale                   |
| JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM                                |                |                          |                                         |               |                     |                               |                                          |                        |
| 09/24/14                                                                            | 34.000         | 2,082.91                 | 878.98                                  | 01/13/09      |                     | 0.00                          | 1,203.93                                 | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00115

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 9949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| JOHNSON & JOHNSON COM COMMON STOCK / CUSIP: 478160104 / Symbol: JNJ                   | 21,000   | 2,266.36                  | 2,266.36                              | 03/30/09      | 1,103.94            | 0.00                          | 1,162.42                                 | Sale                   |
| MCDONALDS CORP / CUSIP: 580135101 / Symbol: MCD                                       | 37,000   | 3,435.21                  | 3,435.21                              | 10/06/06      | 1,479.26            | 0.00                          | 1,955.95                                 | Sale                   |
| MERCK & CO INC NEW COM / CUSIP: 58933Y105 / Symbol: MRK                               |          |                           |                                       |               |                     |                               |                                          |                        |
| 2 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 10,000   | 596.92                    | 596.92                                | 03/30/09      | 265.00              | 0.00                          | 331.92                                   | Sale                   |
|                                                                                       | 15,000   | 895.38                    | 895.38                                | 07/01/09      | 421.92              | 0.00                          | 473.46                                   | Sale                   |
| 11/21/14                                                                              | 25,000   | 1,492.30                  | 1,492.30                              | VARIOUS       | 686.92              | 0.00                          | 805.38                                   | Total of 2 lots        |
| NOVO NORDISK ADR DENMARK ADR / CUSIP: 670100205 / Symbol: NVO                         | 100,000  | 4,751.34                  | 4,751.34                              | 04/21/08      | 1,360.54            | 0.00                          | 3,390.80                                 | Sale                   |
| ROYAL DUTCH SHELL PLC CL A SPON ADR / CUSIP: 780259206 / Symbol: RDSA                 |          |                           |                                       |               |                     |                               |                                          |                        |
| 3 tax lots for 04/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 79,000   | 5,799.13                  | 5,799.13                              | 10/06/06      | 5,126.31            | 0.00                          | 672.82                                   | Sale                   |
|                                                                                       | 43,000   | 3,156.49                  | 3,156.49                              | 10/28/08      | 2,080.17            | 0.00                          | 1,076.32                                 | Sale                   |
|                                                                                       | 26,000   | 1,908.58                  | 1,908.58                              | 03/30/09      | 1,142.60            | 0.00                          | 765.98                                   | Sale                   |
| 04/04/14                                                                              | 148,000  | 10,864.20                 | 10,864.20                             | VARIOUS       | 8,349.08            | 0.00                          | 2,515.12                                 | Total of 3 lots        |
| TARGET CORP / CUSIP: 87612E106 / Symbol: TGT                                          |          |                           |                                       |               |                     |                               |                                          |                        |
| 2 tax lots for 06/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 29,000   | 1,656.79                  | 1,656.79                              | 10/06/06      | 1,690.70            | 0.00                          | -33.91                                   | Sale                   |
|                                                                                       | 60,000   | 3,427.85                  | 3,427.85                              | 10/21/10      | 3,267.60            | 0.00                          | 160.25                                   | Sale                   |
| 06/13/14                                                                              | 89,000   | 5,084.64                  | 5,084.64                              | VARIOUS       | 4,958.30            | 0.00                          | 126.34                                   | Total of 2 lots        |
| 11/21/14                                                                              | 9,000    | 642.00                    | 642.00                                | 10/21/10      | 490.14              | 0.00                          | 151.86                                   | Sale                   |
|                                                                                       |          | 5,726.64                  | 5,726.64                              |               | 5,448.44            | 0.00                          | 278.20                                   |                        |
| Security total:                                                                       |          |                           |                                       |               |                     |                               |                                          |                        |
| TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN                                    |          |                           |                                       |               |                     |                               |                                          |                        |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 17,000   | 821.67                    | 821.67                                | 09/05/07      | 594.83              | 0.00                          | 226.84                                   | Sale                   |
| TEXAS INSTRUMENTS / CUSIP: 882508104 / Symbol: TXN (cont'd)                           |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 13,000   | 628.34                    | 628.34                                | 10/26/07      | 411.67              | 0.00                          | 216.67                                   | Sale                   |
| 10/28/14                                                                              | 30,000   | 1,450.01                  | 1,450.01                              | VARIOUS       | 1,006.50            | 0.00                          | 443.51                                   | Total of 2 lots        |
| Totals:                                                                               |          | 76,485.62                 | 76,485.62                             |               | 48,306.54           | 0.00                          | 28,179.08                                |                        |

## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 1d- Proceeds & 6- Reported (G/gross (N)et / Symbol: ALXN | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------|----------|----------------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ALEXION PHARMACEUTICALS INC / CUSIP: 015351109 / Symbol: ALXN                        |          |                                                          |                   |                         |                                       |                        |                                          |
| 03/10/14                                                                             | 8,000    | 1,404.24                                                 | 11/14/13          | 948.37                  | 0.00                                  |                        | 455.87 Sale                              |
| 04/15/14                                                                             | 4,000    | 580.91                                                   | 11/14/13          | 474.18                  | 0.00                                  |                        | 106.73 Sale                              |
| 06/09/14                                                                             | 2,000    | 335.77                                                   | 11/14/13          | 237.09                  | 0.00                                  |                        | 98.68 Sale                               |
| Security total:                                                                      |          | 2,320.92                                                 |                   | 1,659.64                | 0.00                                  |                        | 661.28                                   |
| ALIBABA GROUP HLDG LTD SPON ADR / CUSIP: 01609W102 / Symbol: BABA                    |          |                                                          |                   |                         |                                       |                        |                                          |
| 12/11/14                                                                             | 42,000   | 4,472.44                                                 | 09/24/14          | 3,719.43                | 0.00                                  |                        | 753.01 Sale                              |
| AMAZON COM INC / CUSIP: 023135106 / Symbol: AMZN                                     |          |                                                          |                   |                         |                                       |                        |                                          |
| 01/08/14                                                                             | 4,000    | 1,600.35                                                 | 10/18/13          | 1,283.72                | 0.00                                  |                        | 316.63 Sale                              |
| 04/04/14                                                                             | 20,000   | 6,447.80                                                 | 10/18/13          | 6,418.60                | 0.00                                  |                        | 29.20 Sale                               |
| Security total:                                                                      |          | 8,048.15                                                 |                   | 7,702.32                | 0.00                                  |                        | 345.83                                   |
| ANTERO RESOURCES CORP / CUSIP: 03674X106 / Symbol: AR                                |          |                                                          |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 16,000   | 959.98                                                   | 10/15/13          | 913.58                  | 0.00                                  |                        | 46.40 Sale                               |
| 06/09/14                                                                             | 8,000    | 499.75                                                   | 10/15/13          | 456.78                  | 0.00                                  |                        | 42.97 Sale                               |
| Security total:                                                                      |          | 1,459.73                                                 |                   | 1,370.36                | 0.00                                  |                        | 89.37                                    |
| ARM HOLDINGS PLC SPON ADR / CUSIP: 042068106 / Symbol: ARMH                          |          |                                                          |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 13,000   | 616.45                                                   | 02/14/14          | 606.54                  | 0.00                                  |                        | 9.91 Sale                                |
| 06/09/14                                                                             | 6,000    | 273.41                                                   | 02/14/14          | 279.94                  | 0.00                                  |                        | -6.53 Sale                               |
| 07/17/14                                                                             | 64,000   | 2,762.83                                                 | 02/14/14          | 2,986.04                | 0.00                                  |                        | -223.21 Sale                             |
| 6 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS |          |                                                          |                   |                         |                                       |                        |                                          |
|                                                                                      | 6,000    | 281.11                                                   | 02/14/14          | 279.94                  | 0.00                                  |                        | 1.17 Sale                                |
|                                                                                      | 14,000   | 655.47                                                   | 02/18/14          | 657.82                  | 0.00                                  |                        | -2.35 Sale                               |
|                                                                                      | 43,000   | 2,013.24                                                 | 02/19/14          | 2,048.80                | 0.00                                  |                        | -35.56 Sale                              |
|                                                                                      | 36,000   | 1,685.50                                                 | 03/06/14          | 1,819.05                | 0.00                                  |                        | -133.55 Sale                             |
|                                                                                      | 30,000   | 1,404.58                                                 | 08/29/14          | 1,452.21                | 0.00                                  |                        | -47.63 Sale                              |
|                                                                                      | 44,000   | 2,060.06                                                 | 08/29/14          | 2,123.95                | 0.00                                  |                        | -63.89 Sale                              |
|                                                                                      | 173,000  | 8,099.96                                                 | VARIOUS           | 8,381.77                | 0.00                                  |                        | -281.81 Total of 6 lots                  |
| 09/18/14                                                                             |          |                                                          |                   |                         |                                       |                        |                                          |
| 09/18/14                                                                             | 30,000   | 1,405.55                                                 | 02/18/14          | 1,409.62                | 4.07 W                                |                        | 0.00 Sale                                |
| Security total:                                                                      |          | 13,158.20                                                |                   | 13,663.91               | 4.07 W                                |                        | -501.64                                  |
| ARRIS GROUP INC NEW COM / CUSIP: 04270V106 / Symbol: ARRS                            |          |                                                          |                   |                         |                                       |                        |                                          |
| 02/20/14                                                                             | 160,000  | 4,809.10                                                 | 01/07/14          | 3,997.73                | 0.00                                  |                        | 811.37 Sale                              |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 - 1999-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross) Net | 1d- Proceeds & 6- Reported (Gross) Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------|----------|-------------------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| B/E AEROSPACE INC / CUSIP: 073302101 / Symbol: BEAV                                  | 33 000   |                         | 2,744 17                               | 06/27/13          | 2,099.85                | 0.00                                  |                        | 644.32 Sale                              |
| 01/07/14                                                                             |          |                         |                                        |                   |                         |                                       |                        |                                          |
| 2 tax lots for 03/07/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                      | 9 000    |                         | 777 13                                 | 06/27/13          | 572.69                  | 0.00                                  |                        | 204.44 Sale                              |
| 03/07/14                                                                             | 9 000    |                         | 777 12                                 | 07/08/13          | 581.69                  | 0.00                                  |                        | 195.43 Sale                              |
|                                                                                      | 18 000   |                         | 1,554.25                               | VARIOUS           | 1,154.38                | 0.00                                  |                        | 399.87 Total of 2 lots                   |
| 04/15/14                                                                             | 11 000   |                         | 903.52                                 | 07/08/13          | 710.95                  | 0.00                                  |                        | 192.57 Sale                              |
| 2 tax lots for 05/05/14 Total proceeds (and cost when required) reported to the IRS. |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                      | 9 000    |                         | 898.23                                 | 07/08/13          | 581.69                  | 0.00                                  |                        | 316.54 Sale                              |
| 05/05/14                                                                             | 21 000   |                         | 2,095.86                               | 07/15/13          | 1,430.98                | 0.00                                  |                        | 664.88 Sale                              |
|                                                                                      | 30 000   |                         | 2,994.09                               | VARIOUS           | 2,012.67                | 0.00                                  |                        | 981.42 Total of 2 lots                   |
| 06/09/14                                                                             | 4 000    |                         | 394.63                                 | 07/15/13          | 272.57                  | 0.00                                  |                        | 122.06 Sale                              |
| 3 tax lots for 07/03/14 Total proceeds (and cost when required) reported to the IRS  |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                      | 1 000    |                         | 93.29                                  | 07/15/13          | 68.14                   | 0.00                                  |                        | 25.15 Sale                               |
| 07/03/14                                                                             | 22 000   |                         | 2,052.39                               | 07/24/13          | 1,544.58                | 0.00                                  |                        | 507.81 Sale                              |
|                                                                                      | 2 000    |                         | 186.58                                 | 07/26/13          | 138.26                  | 0.00                                  |                        | 48.32 Sale                               |
|                                                                                      | 25 000   |                         | 2,332.26                               | VARIOUS           | 1,750.98                | 0.00                                  |                        | 581.28 Total of 3 lots                   |
| Security total:                                                                      |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                      |          |                         | 10,922.92                              |                   | 8,001.40                | 0.00                                  |                        | 2,921.52                                 |

## BIOMARIN PHARMACEUTICAL INC / CUSIP: 09061G101 / Symbol: BMRN

## 4 tax lots for 05/02/14 Total proceeds (and cost when required) reported to the IRS.

|          |        |  |          |          |          |      |  |                           |
|----------|--------|--|----------|----------|----------|------|--|---------------------------|
|          | 22 000 |  | 1,250.55 | 05/23/13 | 1,426.43 | 0.00 |  | -175.88 Sale              |
|          | 19 000 |  | 1,080.02 | 10/25/13 | 1,260.33 | 0.00 |  | -180.31 Sale              |
|          | 26 000 |  | 1,477.93 | 02/12/14 | 1,889.06 | 0.00 |  | -411.13 Sale              |
|          | 25 000 |  | 1,421.08 | 02/19/14 | 1,890.81 | 0.00 |  | -469.73 Sale              |
| 05/02/14 | 92 000 |  | 5,229.58 | VARIOUS  | 6,466.63 | 0.00 |  | -1,237.05 Total of 4 lots |

## CITIGROUP INC / CUSIP: 172967424 / Symbol: C

## 2 tax lots for 02/20/14 Total proceeds (and cost when required) reported to the IRS

|          |        |  |          |          |          |      |  |                         |
|----------|--------|--|----------|----------|----------|------|--|-------------------------|
|          | 19 000 |  | 913.42   | 03/20/13 | 877.51   | 0.00 |  | 35.91 Sale              |
|          | 72 000 |  | 3,461.39 | 05/17/13 | 3,699.88 | 0.00 |  | -238.49 Sale            |
| 02/20/14 | 91 000 |  | 4,374.81 | VARIOUS  | 4,577.39 | 0.00 |  | -202.58 Total of 2 lots |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 9949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) / Symbol: CTSH | 1d- Proceeds & Reported (Gross (Net) / Symbol: CTSH | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|-----------------------------------------|-----------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| COGNIZANT TECH SOLUTIONS CRP / CUSIP: 192446102 / Symbol: CTSH                       |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 13,000   | 636.91                                  | 636.91                                              | 03/06/14          | 695.26                  | 58.35 W                               | 0.00                                     | Sale                   |
| 06/09/14                                                                             | 6,000    | 298.91                                  | 298.91                                              | 03/06/14          | 320.88                  | 0.00                                  | -21.97                                   | Sale                   |
| 08/04/14                                                                             | 31,000   | 1,545.42                                | 1,545.42                                            | 03/06/14          | 1,657.92                | 0.00                                  | -112.50                                  | Sale                   |
| 08/06/14                                                                             | 63,000   | 2,706.68                                | 2,706.68                                            | 03/06/14          | 3,369.32                | 0.00                                  | -662.64                                  | Sale                   |
| 3 tax lots for 08/08/14 Total proceeds (and cost when required) reported to the IRS. |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 47,000   | 2,092.39                                | 2,092.39                                            | 03/06/14          | 2,513.62                | 0.00                                  | -421.23                                  | Sale                   |
|                                                                                      | 13,000   | 578.75                                  | 578.75                                              | 03/31/14          | 716.64                  | 0.00                                  | -137.89                                  | Sale                   |
|                                                                                      | 27,000   | 1,202.00                                | 1,202.00                                            | 03/31/14          | 1,367.21                | 0.00                                  | -165.21                                  | Sale                   |
| 08/08/14                                                                             | 87,000   | 3,873.14                                | 3,873.14                                            | VARIOUS           | 4,597.47                | 0.00                                  | -724.33                                  | Total of 3 lots        |
| Security total:                                                                      |          | 9,061.06                                | 9,061.06                                            |                   | 10,640.85               | 58.35 W                               | -1,521.44                                |                        |
| COMPAGNIE FINANCIERE RICHEMONT AG ADR / CUSIP: 204319107 / Symbol: CFRUY             |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
| 01/08/14                                                                             | 261,000  | 2,442.37                                | 2,442.37                                            | 08/20/13          | 2,623.73                | 0.00                                  | -181.36                                  | Sale                   |
| 2 tax lots for 01/10/14 Total proceeds (and cost when required) reported to the IRS  |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 89,000   | 858.00                                  | 858.00                                              | 08/20/13          | 894.68                  | 0.00                                  | -36.68                                   | Sale                   |
|                                                                                      | 333,000  | 3,210.26                                | 3,210.26                                            | 08/21/13          | 3,330.00                | 0.00                                  | -119.74                                  | Sale                   |
| 01/10/14                                                                             | 422,000  | 4,068.26                                | 4,068.26                                            | VARIOUS           | 4,224.68                | 0.00                                  | -156.42                                  | Total of 2 lots        |
| Security total:                                                                      |          | 6,510.63                                | 6,510.63                                            |                   | 6,848.41                | 0.00                                  | -337.78                                  |                        |
| COPART INC COMMON STOCK / CUSIP: 217204106 / Symbol: CPRT                            |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 16,000   | 568.63                                  | 568.63                                              | 02/21/14          | 554.68                  | 0.00                                  | 13.95                                    | Sale                   |
| 06/09/14                                                                             | 7,000    | 254.61                                  | 254.61                                              | 02/21/14          | 242.67                  | 0.00                                  | 11.94                                    | Sale                   |
| 07/29/14                                                                             | 60,000   | 2,046.33                                | 2,046.33                                            | 02/21/14          | 2,080.06                | 0.00                                  | -33.73                                   | Sale                   |
| 08/11/14                                                                             | 51,000   | 1,722.44                                | 1,722.44                                            | 02/21/14          | 1,768.05                | 0.00                                  | -45.61                                   | Sale                   |
| 08/14/14                                                                             | 111,000  | 3,722.23                                | 3,722.23                                            | 02/21/14          | 3,848.10                | 0.00                                  | -125.87                                  | Sale                   |
| Security total:                                                                      |          | 8,314.24                                | 8,314.24                                            |                   | 8,493.56                | 0.00                                  | -179.32                                  |                        |
| CUMMINS INC / CUSIP: 231021106 / Symbol: CMI                                         |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
| 01/03/14                                                                             | 7,000    | 972.16                                  | 972.16                                              | 08/01/13          | 863.36                  | 0.00                                  | 108.80                                   | Sale                   |
| 01/13/14                                                                             | 28,000   | 3,860.95                                | 3,860.95                                            | 08/01/13          | 3,453.44                | 0.00                                  | 407.51                                   | Sale                   |
| 4 tax lots for 01/14/14 Total proceeds (and cost when required) reported to the IRS. |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 18,000   | 2,468.74                                | 2,468.74                                            | 08/01/13          | 2,220.06                | 0.00                                  | 248.68                                   | Sale                   |
|                                                                                      | 16,000   | 2,194.43                                | 2,194.43                                            | 08/12/13          | 1,966.32                | 0.00                                  | 228.11                                   | Sale                   |
|                                                                                      | 14,000   | 1,920.14                                | 1,920.14                                            | 09/04/13          | 1,765.28                | 0.00                                  | 154.86                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 9949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (cont'd) | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|----------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CUMMINS INC / CUSIP: 231021106 / Symbol: CMI (cont'd)                                |                 |                      |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 11 000          |                      | 1,508.67                              | 10/29/13          | 1,352.28                | 0.00                                  | 156.39                                   | Sale                   |
| 01/14/14                                                                             | 59,000          |                      | 8,091.98                              | VARIOUS           | 7,303.94                | 0.00                                  | 788.04                                   | Total of 4 lots        |
|                                                                                      | Security total: |                      | 12,925.09                             |                   | 11,620.74               | 0.00                                  | 1,304.35                                 |                        |
| DECKERS OUTDOOR CORP COMMON STOCK / CUSIP: 243537107 / Symbol: DECK                  |                 |                      |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 6,000           |                      | 469.85                                | 02/13/14          | 502.55                  | 0.00                                  | -32.70                                   | Sale                   |
| 06/09/14                                                                             | 3,000           |                      | 247.22                                | 02/13/14          | 251.28                  | 0.00                                  | -4.06                                    | Sale                   |
| 10/21/14                                                                             | 48,000          |                      | 4,239.29                              | 02/13/14          | 4,020.44                | 0.00                                  | 218.85                                   | Sale                   |
| 10/23/14                                                                             | 42,000          |                      | 3,765.86                              | 02/13/14          | 3,517.88                | 0.00                                  | 247.98                                   | Sale                   |
|                                                                                      | Security total: |                      | 8,722.22                              |                   | 8,292.15                | 0.00                                  | 430.07                                   |                        |
| DELTA AIR LINES INC DELA NEW / CUSIP: 247361702 / Symbol: DAL                        |                 |                      |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 23,000          |                      | 733.91                                | 01/10/14          | 719.13                  | 0.00                                  | 14.78                                    | Sale                   |
| 05/21/14                                                                             | 45,000          |                      | 1,722.36                              | 01/10/14          | 1,406.98                | 0.00                                  | 315.38                                   | Sale                   |
| 06/09/14                                                                             | 9,000           |                      | 376.58                                | 01/10/14          | 281.40                  | 0.00                                  | 95.18                                    | Sale                   |
| 07/08/14                                                                             | 61,000          |                      | 2,136.93                              | 01/10/14          | 1,907.24                | 0.00                                  | 229.69                                   | Sale                   |
|                                                                                      | Security total: |                      | 4,969.78                              |                   | 4,314.75                | 0.00                                  | 655.03                                   |                        |
| DIGITALGLOBE INC / CUSIP: 25389M877 / Symbol: DGI                                    |                 |                      |                                       |                   |                         |                                       |                                          |                        |
| 5 tax lots for 04/11/14. Total proceeds (and cost when required) reported to the IRS |                 |                      |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 93,000          |                      | 2,428.91                              | 12/13/13          | 3,875.87                | 0.00                                  | -1,446.96                                | Sale                   |
|                                                                                      | 50,000          |                      | 1,305.87                              | 01/10/14          | 2,133.68                | 0.00                                  | -827.81                                  | Sale                   |
|                                                                                      | 27,000          |                      | 705.16                                | 02/05/14          | 1,003.39                | 0.00                                  | -298.23                                  | Sale                   |
|                                                                                      | 32,000          |                      | 835.76                                | 02/25/14          | 1,354.18                | 0.00                                  | -518.42                                  | Sale                   |
|                                                                                      | 29,000          |                      | 757.40                                | 02/28/14          | 900.22                  | 0.00                                  | -142.82                                  | Sale                   |
| 04/11/14                                                                             | 231,000         |                      | 6,033.10                              | VARIOUS           | 9,267.34                | 0.00                                  | -3,234.24                                | Total of 5 lots        |
| WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP: 254687106 / Symbol: DIS              |                 |                      |                                       |                   |                         |                                       |                                          |                        |
| 01/31/14                                                                             | 49,000          |                      | 3,557.65                              | 04/02/13          | 2,810.20                | 0.00                                  | 747.45                                   | Sale                   |
| 02/12/14                                                                             | 13,000          |                      | 1,013.22                              | 04/02/13          | 745.56                  | 0.00                                  | 267.66                                   | Sale                   |
|                                                                                      | Security total: |                      | 4,570.87                              |                   | 3,555.76                | 0.00                                  | 1,015.11                                 |                        |
| DOMINO'S PIZZA INC / CUSIP: 25754A201 / Symbol: DPZ                                  |                 |                      |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 11,000          |                      | 817.13                                | 07/24/13          | 665.62                  | 0.00                                  | 151.51                                   | Sale                   |
| 06/09/14                                                                             | 5,000           |                      | 366.84                                | 07/24/13          | 302.55                  | 0.00                                  | 64.29                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (G)/gross (N)/net | 1d- Proceeds & Reported (G)/gross (N)/net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-------------------------------------------------------------------------------------|----------|-------------------------------|-------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| Security total:                                                                     |          | 1,183.97                      |                                           |                   | 968.17                  | 0.00                                  | 215.80                 |                                          |
| DUNKIN BRANDS GROUP INC / CUSIP: 265504100 / Symbol: DNKN                           |          |                               |                                           |                   |                         |                                       |                        |                                          |
| 2 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                     | 23 000   | 1,079.25                      |                                           | 01/13/14          | 1,069.14                | 0.00                                  | 10.11                  | Sale                                     |
|                                                                                     | 56 000   | 2,627.75                      |                                           | 06/02/14          | 2,565.51                | 0.00                                  | 62.24                  | Sale                                     |
| 12/09/14                                                                            | 79 000   | 3,707.00                      |                                           | VARIOUS           | 3,634.65                | 0.00                                  | 72.35                  | Total of 2 lots                          |
| ECOLAB INC COMMON STOCK / CUSIP: 278865100 / Symbol: ECL                            |          |                               |                                           |                   |                         |                                       |                        |                                          |
| 01/07/14                                                                            | 18 000   | 1,861.40                      |                                           | 06/12/13          | 1,521.97                | 0.00                                  | 339.43                 | Sale                                     |
| 2 tax lots for 02/07/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                     | 61 000   | 6,111.26                      |                                           | 06/12/13          | 5,157.81                | 0.00                                  | 953.45                 | Sale                                     |
|                                                                                     | 16 000   | 1,602.95                      |                                           | 08/07/13          | 1,493.95                | 0.00                                  | 109.00                 | Sale                                     |
| 02/07/14                                                                            | 77 000   | 7,714.21                      |                                           | VARIOUS           | 6,651.76                | 0.00                                  | 1,062.45               | Total of 2 lots                          |
| Security total:                                                                     |          | 9,575.61                      |                                           |                   | 8,173.73                | 0.00                                  | 1,401.88               |                                          |
| ENVISION HEALTHCARE HLDGS INC / CUSIP: 29413U103 / Symbol: EVHC                     |          |                               |                                           |                   |                         |                                       |                        |                                          |
| 03/07/14                                                                            | 45 000   | 1,517.29                      |                                           | 08/28/13          | 1,173.39                | 0.00                                  | 343.90                 | Sale                                     |
| 04/15/14                                                                            | 24 000   | 758.61                        |                                           | 08/28/13          | 625.80                  | 0.00                                  | 132.81                 | Sale                                     |
| 06/09/14                                                                            | 12 000   | 428.51                        |                                           | 08/28/13          | 312.90                  | 0.00                                  | 115.61                 | Sale                                     |
| Security total:                                                                     |          | 2,704.41                      |                                           |                   | 2,112.09                | 0.00                                  | 592.32                 |                                          |
| FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB                                   |          |                               |                                           |                   |                         |                                       |                        |                                          |
| 04/11/14                                                                            | 12 000   | 698.97                        |                                           | 07/30/13          | 450.74                  | 0.00                                  | 248.23                 | Sale                                     |
| 04/15/14                                                                            | 42 000   | 2,491.96                      |                                           | 07/30/13          | 1,577.62                | 0.00                                  | 914.34                 | Sale                                     |
| 06/09/14                                                                            | 20 000   | 1,257.98                      |                                           | 07/30/13          | 751.24                  | 0.00                                  | 506.74                 | Sale                                     |
| Security total:                                                                     |          | 4,448.91                      |                                           |                   | 2,779.60                | 0.00                                  | 1,669.31               |                                          |
| FASTENAL CO COMMON STOCK / CUSIP: 311900104 / Symbol: FAST                          |          |                               |                                           |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                            | 18 000   | 902.57                        |                                           | 03/07/14          | 879.61                  | 0.00                                  | 22.96                  | Sale                                     |
| 06/05/14                                                                            | 65 000   | 3,226.70                      |                                           | 03/07/14          | 3,176.38                | 0.00                                  | 50.32                  | Sale                                     |
| 2 tax lots for 06/09/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                     | 5 000    | 253.09                        |                                           | 03/07/14          | 244.34                  | 0.00                                  | 8.75                   | Sale                                     |
|                                                                                     | 1 000    | 50.62                         |                                           | 03/14/14          | 48.38                   | 0.00                                  | 2.24                   | Sale                                     |
| 06/09/14                                                                            | 6 000    | 303.71                        |                                           | VARIOUS           | 292.72                  | 0.00                                  | 10.99                  | Total of 2 lots                          |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2-SHORT-TERM TRANSACTIONS

5-COVERED tax lots 3-Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a-Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                       | Quantity                                                                              | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
|                                                                 | Security total:                                                                       | 4,432.98                 |                                  | 4,348.71                | 0.00                                  | 84.27                  |                                          |
| FIRST REPUBLIC BANK / CUSIP: 33616C100 / Symbol: FRC            |                                                                                       |                          |                                  |                         |                                       |                        |                                          |
| 04/15/14                                                        | 17,000                                                                                | 891.17                   | 02/20/14                         | 846.94                  | 0.00                                  | 44.23                  | Sale                                     |
| 06/09/14                                                        | 7,000                                                                                 | 379.67                   | 02/20/14                         | 348.73                  | 0.00                                  | 30.94                  | Sale                                     |
| 07/22/14                                                        | 65,000                                                                                | 3,033.29                 | 02/20/14                         | 3,238.29                | 0.00                                  | -205.00                | Sale                                     |
|                                                                 | Security total:                                                                       | 4,304.13                 |                                  | 4,433.96                | 0.00                                  | -129.83                |                                          |
| GENL DYNAMICS CORP COMMON STOCK / CUSIP: 369550108 / Symbol: GD |                                                                                       |                          |                                  |                         |                                       |                        |                                          |
| 06/09/14                                                        | 3,000                                                                                 | 361.97                   | 06/05/14                         | 361.48                  | 0.00                                  | 0.49                   | Sale                                     |
| 12/03/14                                                        | 22,000                                                                                | 3,188.95                 | 06/05/14                         | 2,650.85                | 0.00                                  | 538.10                 | Sale                                     |
| 12/15/14                                                        | 10,000                                                                                | 1,362.35                 | 06/05/14                         | 1,204.93                | 0.00                                  | 157.42                 | Sale                                     |
|                                                                 | Security total:                                                                       | 4,913.27                 |                                  | 4,217.26                | 0.00                                  | 696.01                 |                                          |
| HILTON WORLDWIDE HOLDINGS INC / CUSIP: 43300A104 / Symbol: HLT  |                                                                                       |                          |                                  |                         |                                       |                        |                                          |
| 04/15/14                                                        | 42,000                                                                                | 896.81                   | 12/16/13                         | 942.09                  | 0.00                                  | -45.28                 | Sale                                     |
| 06/09/14                                                        | 20,000                                                                                | 465.79                   | 12/16/13                         | 448.61                  | 0.00                                  | 17.18                  | Sale                                     |
| 09/29/14                                                        | 72,000                                                                                | 1,765.55                 | 12/16/13                         | 1,615.01                | 0.00                                  | 150.54                 | Sale                                     |
|                                                                 | 3 tax lots for 10/16/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                  |                         |                                       |                        |                                          |
|                                                                 | 41,000                                                                                | 898.72                   | 12/16/13                         | 919.66                  | 0.00                                  | -20.94                 | Sale                                     |
|                                                                 | 196,000                                                                               | 4,296.34                 | 01/17/14                         | 4,365.47                | 0.00                                  | -69.13                 | Sale                                     |
|                                                                 | 65,000                                                                                | 1,424.81                 | 02/14/14                         | 1,425.16                | 0.00                                  | -0.35                  | Sale                                     |
| 10/16/14                                                        | 302,000                                                                               | 6,619.87                 | VARIOUS                          | 6,710.29                | 0.00                                  | -90.42                 | Total of 3 lots                          |
|                                                                 | 3 tax lots for 10/17/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                  |                         |                                       |                        |                                          |
|                                                                 | 57,000                                                                                | 1,296.47                 | 02/14/14                         | 1,249.76                | 0.00                                  | 46.71                  | Sale                                     |
|                                                                 | 165,000                                                                               | 3,752.92                 | 02/24/14                         | 3,705.97                | 0.00                                  | 46.95                  | Sale                                     |
|                                                                 | 108,000                                                                               | 2,456.46                 | 07/23/14                         | 2,754.57                | 0.00                                  | -298.11                | Sale                                     |
| 10/17/14                                                        | 330,000                                                                               | 7,505.85                 | VARIOUS                          | 7,710.30                | 0.00                                  | -204.45                | Total of 3 lots                          |
|                                                                 | Security total:                                                                       | 17,253.87                |                                  | 17,426.30               | 0.00                                  | -172.43                |                                          |
| IMS HEALTH HLDGS INC / CUSIP: 44970B109 / Symbol: IMS           |                                                                                       |                          |                                  |                         |                                       |                        |                                          |
| 04/15/14                                                        | 17,000                                                                                | 383.68                   | 04/04/14                         | 388.72                  | 5.04 W                                | 0.00                   | Sale                                     |
|                                                                 | 3 tax lots for 06/03/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                  |                         |                                       |                        |                                          |
|                                                                 | 166,000                                                                               | 4,017.96                 | 04/04/14                         | 3,795.79                | 0.00                                  | 222.17                 | Sale                                     |
|                                                                 | 17,000                                                                                | 411.48                   | 04/09/14                         | 405.34                  | 0.00                                  | 6.14                   | Sale                                     |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B\*

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (G) / Symbol: IMS (cont'd) | 1d- Proceeds & Reported (G) / Symbol: IMS (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-------------------------------------------------------------------------------------|----------|----------------------------------------|----------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| IMS HEALTH HLDGS INC / CUSIP: 449708109 / Symbol: IMS                               | 56 000   | 1,355 45                               | 1,318 65                                           | 04/09/14          | 1,318 65                | 0 00                                  | 36 80                  | Sale                                     |
| 06/03/14                                                                            | 239,000  | 5,784.89                               | 5,519.78                                           | VARIOUS           | 5,519.78                | 0 00                                  | 265.11                 | Total of 3 lots                          |
| Security total:                                                                     |          | 6,168.57                               | 5,908.50                                           |                   | 5,908.50                | 5 04 W                                | 265 11                 |                                          |
| INCYTE CORP / CUSIP: 45337C102 / Symbol: INCY                                       |          |                                        |                                                    |                   |                         |                                       |                        |                                          |
| 02/12/14                                                                            | 25,000   | 1,743.17                               | 577.80                                             | 05/10/13          | 577.80                  | 0.00                                  | 1,165.37               | Sale                                     |
| 02/19/14                                                                            | 15,000   | 934.26                                 | 346.68                                             | 05/10/13          | 346.68                  | 0.00                                  | 587.58                 | Sale                                     |
| 2 tax lots for 03/20/14 Total proceeds (and cost when required) reported to the IRS | 106 000  | 6,763.35                               | 2,449.87                                           | 05/10/13          | 2,449.87                | 0 00                                  | 4,313.48               | Sale                                     |
| 03/20/14                                                                            | 27 000   | 1,722.74                               | 1,044.62                                           | 10/07/13          | 1,044.62                | 0 00                                  | 678 12                 | Sale                                     |
|                                                                                     | 133,000  | 8,486.09                               | 3,494.49                                           | VARIOUS           | 3,494.49                | 0 00                                  | 4,991.60               | Total of 2 lots                          |
| Security total:                                                                     |          | 11,163.52                              | 4,418.97                                           |                   | 4,418.97                | 0.00                                  | 6,744.55               |                                          |

## INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE

|                                                                                       |        |           |           |          |           |      |          |                 |
|---------------------------------------------------------------------------------------|--------|-----------|-----------|----------|-----------|------|----------|-----------------|
| 2 tax lots for 01/10/14 Total proceeds (and cost when required) reported to the IRS   | 14 000 | 3,149.25  | 2,485.97  | 05/30/13 | 2,485.97  | 0.00 | 663.28   | Sale            |
|                                                                                       | 10 000 | 2,249.46  | 1,761.04  | 05/31/13 | 1,761.04  | 0 00 | 488 42   | Sale            |
| 01/10/14                                                                              | 24,000 | 5,398.71  | 4,247.01  | VARIOUS  | 4,247.01  | 0.00 | 1,151.70 | Total of 2 lots |
| 2 tax lots for 02/13/14 Total proceeds (and cost when required) reported to the IRS   | 10 000 | 2,180.30  | 1,761.04  | 05/31/13 | 1,761.04  | 0 00 | 419 26   | Sale            |
|                                                                                       | 6 000  | 1,308.18  | 1,086.44  | 06/19/13 | 1,086.44  | 0 00 | 221.74   | Sale            |
| 02/13/14                                                                              | 16,000 | 3,488.48  | 2,847.48  | VARIOUS  | 2,847.48  | 0.00 | 641.00   | Total of 2 lots |
| 3 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS. | 5 000  | 1,069.14  | 905.37    | 06/19/13 | 905.37    | 0 00 | 163 77   | Sale            |
|                                                                                       | 11 000 | 2,352.11  | 2,035.86  | 08/23/13 | 2,035.86  | 0.00 | 316.25   | Sale            |
|                                                                                       | 22 000 | 4,704.22  | 3,978.74  | 08/27/13 | 3,978.74  | 0 00 | 725 48   | Sale            |
| 02/14/14                                                                              | 38,000 | 8,125.47  | 6,919.97  | VARIOUS  | 6,919.97  | 0.00 | 1,205.50 | Total of 3 lots |
| Security total:                                                                       |        | 17,012.66 | 14,014.46 |          | 14,014.46 | 0.00 | 2,998.20 |                 |

## ISIS PHARMACEUTICALS INC / CUSIP: 464330109 / Symbol: ISIS

|          |        |          |          |          |          |      |           |      |
|----------|--------|----------|----------|----------|----------|------|-----------|------|
| 04/04/14 | 79 000 | 3,139.07 | 4,226.51 | 03/06/14 | 4,226.51 | 0.00 | -1,087.44 | Sale |
|----------|--------|----------|----------|----------|----------|------|-----------|------|

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UBS FINANCIAL SERVICES INC.

Account PF 00116

2014 1039-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| KLX INC / CUSIP: 482539103 / Symbol: KLXI                                             |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 3 tax lots for 12/22/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 12/22/14                                                                              | 14 000          | 588 49                   | 588 49                               | 08/12/14          | 660 03                  | 0 00                                  |                        | -71.54 Sale                              |
|                                                                                       | 15 500          | 651 54                   | 651 54                               | 08/27/14          | 723 28                  | 0 00                                  |                        | -71 74 Sale                              |
|                                                                                       | 6 000           | 252 21                   | 252 21                               | 10/17/14          | 254 03                  | 0 00                                  |                        | -1 82 Sale                               |
|                                                                                       | 35 500          | 1,492.24                 | 1,492.24                             | VARIOUS           | 1,637.34                | 0 00                                  |                        | -145 10 Total of 3 lots                  |
| KEURIG GREEN MTN INC COM / CUSIP: 49271M100 / Symbol: GMCR                            |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 10/30/14                                                                              | 11 000          | 1,647.81                 | 1,647.81                             | 01/03/14          | 825.54                  | 0 00                                  |                        | 822.27 Sale                              |
| 11/10/14                                                                              | 14 000          | 2,180.76                 | 2,180.76                             | 01/03/14          | 1,050.70                | 0 00                                  |                        | 1,130.06 Sale                            |
| 2 tax lots for 11/17/14. Total proceeds (and cost when required) reported to the IRS  |                 |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 9 000           | 1,377.84                 | 1,377.84                             | 01/03/14          | 675.44                  | 0 00                                  |                        | 702 40 Sale                              |
|                                                                                       | 16 000          | 2,449 48                 | 2,449 48                             | 01/08/14          | 1,286.53                | 0 00                                  |                        | 1,162 95 Sale                            |
| 11/17/14                                                                              | 25 000          | 3,827.32                 | 3,827.32                             | VARIOUS           | 1,961.97                | 0 00                                  |                        | 1,865.35 Total of 2 lots                 |
|                                                                                       | Security total: | 7,655.89                 | 7,655.89                             |                   | 3,838.21                | 0 00                                  |                        | 3,817.68                                 |
| LKQ CORP NEW / CUSIP: 501889208 / Symbol: LKQ                                         |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 01/21/14                                                                              | 259 000         | 6,795.96                 | 6,795.96                             | 05/16/13          | 6,558.96                | 0 00                                  |                        | 237.00 Sale                              |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS  |                 |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 4 000           | 110 00                   | 110 00                               | 05/16/13          | 101.30                  | 0 00                                  |                        | 8 70 Sale                                |
|                                                                                       | 30 000          | 824 98                   | 824 98                               | 06/12/13          | 733.83                  | 0 00                                  |                        | 91 15 Sale                               |
| 04/15/14                                                                              | 34 000          | 934.98                   | 934.98                               | VARIOUS           | 835.13                  | 0 00                                  |                        | 99.85 Total of 2 lots                    |
| 06/09/14                                                                              | 18 000          | 507.77                   | 507.77                               | 06/12/13          | 440.29                  | 0 00                                  |                        | 67.48 Sale                               |
| 09/18/14                                                                              | 13 000          | 361.11                   | 361.11                               | 10/17/13          | 415.82                  | 0 00                                  |                        | -54.71 Sale                              |
| 2 tax lots for 09/29/14. Total proceeds (and cost when required) reported to the IRS  |                 |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 35 000          | 930 24                   | 930 24                               | 10/17/13          | 1,119 51                | 0 00                                  |                        | -189.27 Sale                             |
|                                                                                       | 15 000          | 398 68                   | 398 68                               | 11/07/13          | 468.32                  | 0 00                                  |                        | -69.64 Sale                              |
| 09/29/14                                                                              | 50 000          | 1,328.92                 | 1,328.92                             | VARIOUS           | 1,587.83                | 0 00                                  |                        | -258.91 Total of 2 lots                  |
|                                                                                       | Security total: | 9,928.74                 | 9,928.74                             |                   | 9,838.03                | 0 00                                  |                        | 90.71                                    |
| LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol: LNKD                                  |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 3 000           | 513.83                   | 513.83                               | 07/26/13          | 622.51                  | 0 00                                  |                        | -108.68 Sale                             |
| 06/09/14                                                                              | 2 000           | 313 44                   | 313 44                               | 07/26/13          | 415.01                  | 101.57 W                              |                        | 0.00 Sale                                |

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## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|-----------------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| LINKEDIN CORP CL A / CUSIP: 53578A108 / Symbol LNKD (cont'd)                        |                 |                           |                                          |                   |                         |                                       |                                          |                        |
| 3 tax lots for 07/09/14 Total proceeds (and cost when required) reported to the IRS |                 |                           |                                          |                   |                         |                                       |                                          |                        |
|                                                                                     | 12 000          | 1,888.18                  | 1,888.18                                 | 07/26/13          | 2,490.07                | 601.89 W                              | 0.00                                     | Sale                   |
|                                                                                     | 4 000           | 629.40                    | 629.40                                   | 10/08/13          | 878.10                  | 248.70 W                              | 0.00                                     | Sale                   |
|                                                                                     | 4 000           | 629.39                    | 629.39                                   | 10/30/13          | 911.60                  | 282.21 W                              | 0.00                                     | Sale                   |
| 07/09/14                                                                            | 20,000          | 3,146.97                  | 3,146.97                                 | VARIOUS           | 4,279.77                | 1,132.80 W                            | 0.00                                     | Total of 3 lots        |
| 11/05/14                                                                            | 9,000           | 2,095.14                  | 2,095.14                                 | 12/17/13          | 2,019.19                | 0.00                                  | 75.95                                    | Sale                   |
|                                                                                     | Security total. | 6,069.38                  | 6,069.38                                 |                   | 7,336.48                | 1,234.37 W                            | -32.73                                   |                        |

## LULULEMON ATHLETICA INC FOREIGN STOCK / CUSIP: 550021109 / Symbol LULU

|                                                                                     |         |          |          |          |           |      |           |                 |
|-------------------------------------------------------------------------------------|---------|----------|----------|----------|-----------|------|-----------|-----------------|
| 6 tax lots for 01/17/14 Total proceeds (and cost when required) reported to the IRS |         |          |          |          |           |      |           |                 |
|                                                                                     | 8 000   | 387.14   | 387.14   | 06/18/13 | 523.46    | 0.00 | -136.32   | Sale            |
|                                                                                     | 29 000  | 1,403.40 | 1,403.40 | 06/19/13 | 1,897.55  | 0.00 | -494.15   | Sale            |
|                                                                                     | 27 000  | 1,306.62 | 1,306.62 | 06/21/13 | 1,618.33  | 0.00 | -311.71   | Sale            |
|                                                                                     | 56 000  | 2,710.01 | 2,710.01 | 06/26/13 | 3,552.77  | 0.00 | -842.76   | Sale            |
|                                                                                     | 25 000  | 1,209.83 | 1,209.83 | 07/25/13 | 1,724.91  | 0.00 | -515.08   | Sale            |
|                                                                                     | 23 000  | 1,113.04 | 1,113.04 | 09/12/13 | 1,492.83  | 0.00 | -379.79   | Sale            |
| 01/17/14                                                                            | 168 000 | 8,130.04 | 8,130.04 | VARIOUS  | 10,809.85 | 0.00 | -2,679.81 | Total of 6 lots |

## LUMBER LIQUIDATORS HLDGS INC COM / CUSIP: 55003T107 / Symbol: LL

|                                                                                     |                 |          |          |          |          |         |           |                 |
|-------------------------------------------------------------------------------------|-----------------|----------|----------|----------|----------|---------|-----------|-----------------|
| 04/15/14                                                                            | 4,000           | 341.95   | 341.95   | 03/20/14 | 410.12   | 68.17 W | 0.00      | Sale            |
| 06/09/14                                                                            | 2,000           | 159.31   | 159.31   | 03/20/14 | 205.05   | 0.00    | -45.74    | Sale            |
| 5 tax lots for 07/10/14 Total proceeds (and cost when required) reported to the IRS |                 |          |          |          |          |         |           |                 |
|                                                                                     | 36 000          | 2,022.92 | 2,022.92 | 03/20/14 | 3,691.04 | 0.00    | -1,668.12 | Sale            |
|                                                                                     | 4 000           | 224.77   | 224.77   | 03/28/14 | 442.49   | 0.00    | -217.72   | Sale            |
|                                                                                     | 6 000           | 337.16   | 337.16   | 03/28/14 | 561.49   | 0.00    | -224.33   | Sale            |
|                                                                                     | 13 000          | 730.49   | 730.49   | 03/31/14 | 1,219.62 | 0.00    | -489.13   | Sale            |
|                                                                                     | 11 000          | 618.12   | 618.12   | 04/17/14 | 950.16   | 0.00    | -332.04   | Sale            |
| 07/10/14                                                                            | 70,000          | 3,933.46 | 3,933.46 | VARIOUS  | 6,864.80 | 0.00    | -2,931.34 | Total of 5 lots |
|                                                                                     | Security total. | 4,434.72 | 4,434.72 |          | 7,479.97 | 68.17 W | -2,977.08 |                 |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

**Proceeds from Broker and Barter Exchange Transactions**

2014 1099-B\*

OMB No. 1545-0715

(continued)

**2-SHORT-TERM TRANSACTIONS****5- COVERED tax lots 3- Basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (N)et | 1d- Proceeds & Reported (Gross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| <b>MONDELEZ INTL INC / CUSIP: 609207105 / Symbol: MDLZ</b>                            |                 |                          |                                      |                   |                         |                                       |                                          |                        |
| 3 tax lots for 01/21/14. Total proceeds (and cost when required) reported to the IRS  |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 30 000          | 1,027 30                 | 805.61                               | 02/14/13          | 0.00                    | 0.00                                  | 221 69                                   | Sale                   |
|                                                                                       | 43 000          | 1,472 45                 | 1,160 71                             | 02/19/13          | 0.00                    | 0.00                                  | 311 74                                   | Sale                   |
|                                                                                       | 92 000          | 3,150 38                 | 2,465.61                             | 02/21/13          | 0.00                    | 0.00                                  | 684 77                                   | Sale                   |
| 01/21/14                                                                              | 165 000         | 5,650.13                 | 4,431.93                             | VARIOUS           | 0.00                    | 0.00                                  | 1,218 20                                 | Total of 3 lots        |
| 3 tax lots for 01/29/14. Total proceeds (and cost when required) reported to the IRS  |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 45 000          | 1,484 28                 | 1,206.00                             | 02/21/13          | 0.00                    | 0.00                                  | 278 28                                   | Sale                   |
|                                                                                       | 238 000         | 7,850 22                 | 7,282 18                             | 04/11/13          | 0.00                    | 0.00                                  | 568 04                                   | Sale                   |
|                                                                                       | 133 000         | 4,386 89                 | 4,307 10                             | 11/08/13          | 0.00                    | 0.00                                  | 79 79                                    | Sale                   |
| 01/29/14                                                                              | 416 000         | 13,721.39                | 12,795 28                            | VARIOUS           | 0.00                    | 0.00                                  | 926.11                                   | Total of 3 lots        |
|                                                                                       | Security total: | 19,371.52                | 17,227.21                            |                   | 0.00                    | 0.00                                  | 2,144.31                                 |                        |
| <b>MONRO MUFFLER BRAKE INC COMMON STOCK / CUSIP: 610236101 / Symbol: MNRO</b>         |                 |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 01/28/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 27 000          | 1,488 26                 | 940.88                               | 01/30/13          | 0.00                    | 0.00                                  | 547.38                                   | Sale                   |
|                                                                                       | 32 000          | 1,763 87                 | 1,237 78                             | 02/11/13          | 0.00                    | 0.00                                  | 526 09                                   | Sale                   |
| 01/28/14                                                                              | 59 000          | 3,252.13                 | 2,178.66                             | VARIOUS           | 0.00                    | 0.00                                  | 1,073.47                                 | Total of 2 lots        |
| 3 tax lots for 01/29/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 11 000          | 608 73                   | 425 49                               | 02/11/13          | 0.00                    | 0.00                                  | 183 24                                   | Sale                   |
|                                                                                       | 45 000          | 2,490 24                 | 1,856 46                             | 04/30/13          | 0.00                    | 0.00                                  | 633 78                                   | Sale                   |
|                                                                                       | 37 000          | 2,047 53                 | 1,665 00                             | 05/10/13          | 0.00                    | 0.00                                  | 382 53                                   | Sale                   |
| 01/29/14                                                                              | 93 000          | 5,146.50                 | 3,946.95                             | VARIOUS           | 0.00                    | 0.00                                  | 1,199.55                                 | Total of 3 lots        |
|                                                                                       | Security total: | 8,398 63                 | 6,125.61                             |                   | 0.00                    | 0.00                                  | 2,273.02                                 |                        |
| <b>NOVADAQ TECHNOLOGIES INC FOREIGN STOCK CAD / CUSIP: 66987G102 / Symbol: NVDQ</b>   |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 14 000          | 243.12                   | 274.16                               | 02/12/14          | 0.00                    | 0.00                                  | -31.04                                   | Sale                   |
| 04/15/14                                                                              | 6 000           | 90.83                    | 117.49                               | 02/12/14          | 0.00                    | 0.00                                  | -26.66                                   | Sale                   |
| 06/09/14                                                                              | 198 000         | 2,445.43                 | 3,877 36                             | 02/12/14          | 0.00                    | 0.00                                  | -1,431 93                                | Sale                   |
| 08/13/14                                                                              |                 | 2,779.38                 | 4,269.01                             |                   | 0.00                    | 0.00                                  | -1,489.63                                |                        |
|                                                                                       | Security total: |                          |                                      |                   | 0.00                    | 0.00                                  |                                          |                        |
| <b>PANERA BREAD COMP CL A / CUSIP: 69840W108 / Symbol: PNRA</b>                       |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 11 000          | 1,958.02                 | 1,802.04                             | 10/22/13          | 0.00                    | 0.00                                  | 155.98                                   | Sale                   |
| 02/18/14                                                                              | 5 000           | 853.38                   | 819.11                               | 10/22/13          | 0.00                    | 0.00                                  | 34.27                                    | Sale                   |
| 04/15/14                                                                              |                 |                          |                                      |                   |                         |                                       |                                          |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

2014 1099-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 1d- Proceeds & Reported (G)ross (N)et / Symbol | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| PANERA BREAD COMP CL A / CUSIP: 69840W108 / Symbol PNRA (cont'd)                     |          |                                                |                   |                         |                                       |                                          |                        |
| 4 tax lots for 05/21/14 Total proceeds (and cost when required) reported to the IRS  |          |                                                |                   |                         |                                       |                                          |                        |
|                                                                                      | 8,000    | 1,221.32                                       | 10/22/13          | 1,310.58                | 0.00                                  | -89.26                                   | Sale                   |
|                                                                                      | 1,000    | 152.66                                         | 10/29/13          | 158.74                  | 0.00                                  | -6.08                                    | Sale                   |
|                                                                                      | 7,000    | 1,068.66                                       | 10/29/13          | 1,106.54                | 0.00                                  | -37.88                                   | Sale                   |
|                                                                                      | 1,000    | 152.67                                         | 11/08/13          | 167.98                  | 0.00                                  | -15.31                                   | Sale                   |
| 05/21/14                                                                             | 17,000   | 2,595.31                                       | VARIOUS           | 2,743.84                | 0.00                                  | -148.53                                  | Total of 4 lots        |
| 05/27/14                                                                             | 11,000   | 1,691.21                                       | 11/08/13          | 1,847.77                | 0.00                                  | -156.56                                  | Sale                   |
| 4 tax lots for 05/29/14 Total proceeds (and cost when required) reported to the IRS: |          |                                                |                   |                         |                                       |                                          |                        |
|                                                                                      | 1,000    | 152.60                                         | 11/08/13          | 167.98                  | 0.00                                  | -15.38                                   | Sale                   |
|                                                                                      | 12,000   | 1,831.25                                       | 01/07/14          | 2,093.31                | 0.00                                  | -262.06                                  | Sale                   |
|                                                                                      | 19,000   | 2,899.48                                       | 02/19/14          | 3,389.74                | 0.00                                  | -490.26                                  | Sale                   |
|                                                                                      | 5,000    | 763.02                                         | 02/21/14          | 908.74                  | 0.00                                  | -145.72                                  | Sale                   |
| 05/29/14                                                                             | 37,000   | 5,646.35                                       | VARIOUS           | 6,559.77                | 0.00                                  | -913.42                                  | Total of 4 lots        |
|                                                                                      |          | 12,744.27                                      |                   | 13,772.53               | 0.00                                  | -1,028.26                                |                        |
| REALOLOGY HLDGS CORP / CUSIP: 75605Y106 / Symbol: RLGY                               |          |                                                |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 12,000   | 504.75                                         | 02/07/14          | 559.88                  | 0.00                                  | -55.13                                   | Sale                   |
| 06/09/14                                                                             | 5,000    | 186.79                                         | 02/07/14          | 233.28                  | 0.00                                  | -46.49                                   | Sale                   |
| 3 tax lots for 06/13/14 Total proceeds (and cost when required) reported to the IRS  |          |                                                |                   |                         |                                       |                                          |                        |
|                                                                                      | 69,000   | 2,491.92                                       | 02/07/14          | 3,219.31                | 0.00                                  | -727.39                                  | Sale                   |
|                                                                                      | 49,000   | 1,769.62                                       | 02/27/14          | 2,328.17                | 0.00                                  | -558.55                                  | Sale                   |
|                                                                                      | 45,000   | 1,625.17                                       | 02/28/14          | 2,140.45                | 0.00                                  | -515.28                                  | Sale                   |
| 06/13/14                                                                             | 163,000  | 5,886.71                                       | VARIOUS           | 7,687.93                | 0.00                                  | -1,801.22                                | Total of 3 lots        |
|                                                                                      |          | 6,578.25                                       |                   | 8,481.09                | 0.00                                  | -1,902.84                                |                        |
| ROBERT HALF INTL INC COMMON STOCK / CUSIP: 770323103 / Symbol: RHI                   |          |                                                |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 12,000   | 482.78                                         | 02/07/14          | 481.85                  | 0.00                                  | 0.93                                     | Sale                   |
| 06/09/14                                                                             | 6,000    | 284.45                                         | 02/07/14          | 240.93                  | 0.00                                  | 43.52                                    | Sale                   |
| 09/29/14                                                                             | 26,000   | 1,277.08                                       | 02/07/14          | 1,044.01                | 0.00                                  | 233.07                                   | Sale                   |
| 2 tax lots for 11/17/14 Total proceeds (and cost when required) reported to the IRS  |          |                                                |                   |                         |                                       |                                          |                        |
|                                                                                      | 56,000   | 3,200.51                                       | 02/07/14          | 2,248.64                | 0.00                                  | 951.87                                   | Sale                   |
|                                                                                      | 9,000    | 514.37                                         | 03/07/14          | 385.82                  | 0.00                                  | 128.55                                   | Sale                   |
| 11/17/14                                                                             | 65,000   | 3,714.88                                       | VARIOUS           | 2,634.46                | 0.00                                  | 1,080.42                                 | Total of 2 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ROBERT HALF INTL INC COMMON STOCK / CUSIP: 770323103 / Symbol: RHI (cont'd)           |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 3 tax lots for 11/18/14 Total proceeds (and cost when required) reported to the IRS   |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 42 000   | 2,420.13                 | 2,420.13                             | 03/07/14          | 1,800.51                | 0.00                                  |                        | 619.62 Sale                              |
|                                                                                       | 42 000   | 2,420.13                 | 2,420.13                             | 04/01/14          | 1,787.39                | 0.00                                  |                        | 632.74 Sale                              |
|                                                                                       | 20 000   | 1,152.44                 | 1,152.44                             | 06/10/14          | 951.54                  | 0.00                                  |                        | 200.90 Sale                              |
| 11/18/14                                                                              | 104 000  | 5,992.70                 | 5,992.70                             | VARIOUS           | 4,539.44                | 0.00                                  |                        | 1,453.26 Total of 3 lots                 |
| 3 tax lots for 11/20/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 12 000   | 683.01                   | 683.01                               | 06/10/14          | 570.93                  | 0.00                                  |                        | 112.08 Sale                              |
|                                                                                       | 31 000   | 1,764.46                 | 1,764.46                             | 06/13/14          | 1,467.09                | 0.00                                  |                        | 297.37 Sale                              |
|                                                                                       | 25 000   | 1,422.94                 | 1,422.94                             | 07/03/14          | 1,222.15                | 0.00                                  |                        | 200.79 Sale                              |
| 11/20/14                                                                              | 68 000   | 3,870.41                 | 3,870.41                             | VARIOUS           | 3,260.17                | 0.00                                  |                        | 610.24 Total of 3 lots                   |
|                                                                                       |          | 15,622.30                | 15,622.30                            |                   | 12,200.86               | 0.00                                  |                        | 3,421.44                                 |
| Security total:                                                                       |          |                          |                                      |                   |                         |                                       |                        |                                          |
| ROSS STORES INC COMMON STOCK / CUSIP: 778296103 / Symbol: ROST                        |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 2 tax lots for 01/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 44 000   | 3,179.59                 | 3,179.59                             | 02/28/13          | 2,547.30                | 0.00                                  |                        | 632.29 Sale                              |
|                                                                                       | 4 000    | 289.05                   | 289.05                               | 03/07/13          | 225.94                  | 0.00                                  |                        | 63.11 Sale                               |
| 01/16/14                                                                              | 48 000   | 3,468.64                 | 3,468.64                             | VARIOUS           | 2,773.24                | 0.00                                  |                        | 695.40 Total of 2 lots                   |
| 5 tax lots for 02/13/14 Total proceeds (and cost when required) reported to the IRS   |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 3 000    | 208.91                   | 208.91                               | 03/07/13          | 169.46                  | 0.00                                  |                        | 39.45 Sale                               |
|                                                                                       | 35 000   | 2,437.25                 | 2,437.25                             | 07/15/13          | 2,346.12                | 0.00                                  |                        | 91.13 Sale                               |
|                                                                                       | 33 000   | 2,297.98                 | 2,297.98                             | 08/07/13          | 2,210.86                | 0.00                                  |                        | 87.12 Sale                               |
|                                                                                       | 25 000   | 1,740.89                 | 1,740.89                             | 08/12/13          | 1,689.43                | 0.00                                  |                        | 51.46 Sale                               |
|                                                                                       | 16 000   | 1,114.17                 | 1,114.17                             | 10/29/13          | 1,226.32                | 0.00                                  |                        | -112.15 Sale                             |
| 02/13/14                                                                              | 112 000  | 7,799.20                 | 7,799.20                             | VARIOUS           | 7,642.19                | 0.00                                  |                        | 157.01 Total of 5 lots                   |
|                                                                                       |          | 11,267.84                | 11,267.84                            |                   | 10,415.43               | 0.00                                  |                        | 852.41                                   |
| Security total:                                                                       |          |                          |                                      |                   |                         |                                       |                        |                                          |
| SBA COMMUNICATIONS CORP CL A / CUSIP: 78388J106 / Symbol: SBAC                        |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 11 000   | 968.64                   | 968.64                               | 01/23/14          | 1,005.40                | 0.00                                  |                        | -36.76 Sale                              |
| 06/09/14                                                                              | 5 000    | 501.68                   | 501.68                               | 01/23/14          | 457.00                  | 0.00                                  |                        | 44.68 Sale                               |
| 07/28/14                                                                              | 25 000   | 2,667.71                 | 2,667.71                             | 01/23/14          | 2,285.00                | 0.00                                  |                        | 382.71 Sale                              |
|                                                                                       |          | 4,138.03                 | 4,138.03                             |                   | 3,747.40                | 0.00                                  |                        | 390.63                                   |
| Security total:                                                                       |          |                          |                                      |                   |                         |                                       |                        |                                          |

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## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| SFX ENTERTAINMENT INC / CUSIP: 784178303 / Symbol: SFXE                             |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 02/12/14                                                                            | 18,000   | 142.47                   |                                      | 10/09/13          | 222.73                  | 0.00                                  | -80.26                                   | Sale                   |
| 02/13/14                                                                            | 95,000   | 757.08                   |                                      | 10/09/13          | 1,175.53                | 0.00                                  | -418.45                                  | Sale                   |
| 02/14/14                                                                            | 25,000   | 195.27                   |                                      | 10/09/13          | 309.35                  | 0.00                                  | -114.08                                  | Sale                   |
| Security total:                                                                     |          | 1,094.82                 |                                      |                   | 1,707.61                | 0.00                                  | -612.79                                  |                        |
| SALIX PHARMACEUTICALS LTD (DELA) / CUSIP: 795435106 / Symbol: SLXP                  |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 09/17/14                                                                            | 8,000    | 1,300.28                 |                                      | 07/14/14          | 1,088.96                | 0.00                                  | 211.32                                   | Sale                   |
| 09/24/14                                                                            | 15,000   | 2,581.76                 |                                      | 07/14/14          | 2,041.81                | 0.00                                  | 539.95                                   | Sale                   |
| 3 tax lots for 11/07/14 Total proceeds (and cost when required) reported to the IRS |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 33,000                                                                              |          | 2,876.50                 |                                      | 07/14/14          | 4,491.97                | 0.00                                  | -1,615.47                                | Sale                   |
| 29,000                                                                              |          | 2,527.84                 |                                      | 07/16/14          | 3,913.62                | 0.00                                  | -1,385.78                                | Sale                   |
| 7,000                                                                               |          | 610.17                   |                                      | 08/05/14          | 951.81                  | 0.00                                  | -341.64                                  | Sale                   |
| 69,000                                                                              |          | 6,014.51                 |                                      | VARIOUS           | 9,357.40                | 0.00                                  | -3,342.89                                | Total of 3 lots        |
| Security total:                                                                     |          | 9,896.55                 |                                      |                   | 12,488.17               | 0.00                                  | -2,591.62                                |                        |

## SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 806857108 / Symbol: SLB

3 tax lots for 12/01/14 Total proceeds (and cost when required) reported to the IRS

|        |          |          |          |      |           |                 |
|--------|----------|----------|----------|------|-----------|-----------------|
| 27,000 | 2,252.91 | 06/04/14 | 2,794.19 | 0.00 | -541.28   | Sale            |
| 20,000 | 1,668.82 | 07/02/14 | 2,354.19 | 0.00 | -685.37   | Sale            |
| 11,000 | 917.85   | 07/11/14 | 1,262.50 | 0.00 | -344.65   | Sale            |
| 58,000 | 4,839.58 | VARIOUS  | 6,410.88 | 0.00 | -1,571.30 | Total of 3 lots |

## SCHWAB CHARLES CORP NEW COMMON STOCK / CUSIP: 808513105 / Symbol: SCHW

|                 |        |        |          |        |      |        |      |
|-----------------|--------|--------|----------|--------|------|--------|------|
| 04/15/14        | 20,000 | 521.19 | 03/19/14 | 533.71 | 0.00 | -12.52 | Sale |
| 06/09/14        | 10,000 | 263.79 | 03/19/14 | 266.85 | 0.00 | -3.06  | Sale |
| Security total: |        | 784.98 |          | 800.56 | 0.00 | -15.58 |      |

## SHERWIN WILLIAMS CO COMMON STOCK / CUSIP: 824348106 / Symbol: SHW

|          |       |          |          |          |      |        |      |
|----------|-------|----------|----------|----------|------|--------|------|
| 04/15/14 | 8,000 | 1,530.60 | 04/16/13 | 1,394.38 | 0.00 | 136.22 | Sale |
|----------|-------|----------|----------|----------|------|--------|------|

## SPLUNK INC / CUSIP: 848637104 / Symbol: SPLK

|          |        |          |          |          |      |         |      |
|----------|--------|----------|----------|----------|------|---------|------|
| 04/07/14 | 51,000 | 3,115.37 | 01/31/14 | 3,952.43 | 0.00 | -837.06 | Sale |
|----------|--------|----------|----------|----------|------|---------|------|

## TESLA MTRS INC COM / CUSIP: 88160R101 / Symbol: TSLA

|          |        |          |          |        |      |          |      |
|----------|--------|----------|----------|--------|------|----------|------|
| 02/26/14 | 14,000 | 3,607.78 | 04/03/13 | 570.84 | 0.00 | 3,036.94 | Sale |
|----------|--------|----------|----------|--------|------|----------|------|

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

2014 1099-B\*

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross) / Symbol | 1d- Proceeds & Reported (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|------------------------------|-------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| TIME WARNER INC NEW / CUSIP: 987317303 / Symbol: TWX                                 | 54,000   |                              | 3,904.94                      | 07/25/14          | 4,587.03                | 366.31 W                              | -315.78                                  | Sale                   |
| 10/13/14                                                                             |          |                              |                               |                   |                         |                                       |                                          |                        |
| TRACTOR SUPPLY COMPANY COMMON STOCK / CUSIP: 892356106 / Symbol: TSCO                |          |                              |                               |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 8,000    |                              | 533.91                        | 02/25/14          | 550.32                  | 16.41 W                               | 0.00                                     | Sale                   |
| 06/09/14                                                                             | 6,000    |                              | 397.48                        | 02/25/14          | 412.74                  | 15.26 W                               | 0.00                                     | Sale                   |
| 2 tax lots for 09/04/14 Total proceeds (and cost when required) reported to the IRS  |          |                              |                               |                   |                         |                                       |                                          |                        |
| 09/04/14                                                                             | 48,000   |                              | 3,172.25                      | 02/25/14          | 3,301.90                | 0.00                                  | -129.65                                  | Sale                   |
|                                                                                      | 24,000   |                              | 1,586.12                      | 02/26/14          | 1,672.43                | 0.00                                  | -86.31                                   | Sale                   |
|                                                                                      | 72,000   |                              | 4,758.37                      | VARIOUS           | 4,974.33                | 0.00                                  | -215.96                                  | Total of 2 lots        |
| 9 tax lots for 09/08/14. Total proceeds (and cost when required) reported to the IRS |          |                              |                               |                   |                         |                                       |                                          |                        |
| 09/08/14                                                                             | 37,000   |                              | 2,403.43                      | 02/26/14          | 2,578.32                | 0.00                                  | -174.89                                  | Sale                   |
|                                                                                      | 3,000    |                              | 194.88                        | 04/17/14          | 202.87                  | 0.00                                  | -7.99                                    | Sale                   |
|                                                                                      | 8,000    |                              | 519.66                        | 04/17/14          | 557.38                  | 0.00                                  | -37.72                                   | Sale                   |
|                                                                                      | 13,000   |                              | 844.45                        | 04/21/14          | 872.90                  | 0.00                                  | -28.45                                   | Sale                   |
|                                                                                      | 11,000   |                              | 714.53                        | 04/22/14          | 743.19                  | 0.00                                  | -28.66                                   | Sale                   |
|                                                                                      | 16,000   |                              | 1,039.32                      | 04/24/14          | 1,042.90                | 0.00                                  | -3.58                                    | Sale                   |
|                                                                                      | 5,000    |                              | 324.79                        | 05/22/14          | 318.42                  | 0.00                                  | 6.37                                     | Sale                   |
|                                                                                      | 6,000    |                              | 389.75                        | 05/22/14          | 397.36                  | 0.00                                  | -7.61                                    | Sale                   |
|                                                                                      | 19,000   |                              | 1,234.19                      | 06/13/14          | 1,230.64                | 0.00                                  | 3.55                                     | Sale                   |
|                                                                                      | 118,000  |                              | 7,665.00                      | VARIOUS           | 7,943.98                | 0.00                                  | -278.98                                  | Total of 9 lots        |
| Security total:                                                                      |          |                              | 13,354.76                     |                   | 13,881.37               | 31.67 W                               | -494.94                                  |                        |
| TRANSDIGM GROUP INC / CUSIP: 893641100 / Symbol: TDG                                 |          |                              |                               |                   |                         |                                       |                                          |                        |
| 03/07/14                                                                             | 10,000   |                              | 1,799.16                      | 12/11/13          | 1,561.42                | 0.00                                  | 237.74                                   | Sale                   |
| 04/01/14                                                                             | 10,000   |                              | 1,849.56                      | 12/11/13          | 1,561.43                | 0.00                                  | 288.13                                   | Sale                   |
| 04/15/14                                                                             | 5,000    |                              | 881.58                        | 12/11/13          | 780.71                  | 0.00                                  | 100.87                                   | Sale                   |
| 05/27/14                                                                             | 7,000    |                              | 1,306.84                      | 12/11/13          | 1,093.00                | 0.00                                  | 213.84                                   | Sale                   |
| 3 tax lots for 06/05/14 Total proceeds (and cost when required) reported to the IRS  |          |                              |                               |                   |                         |                                       |                                          |                        |
| 06/05/14                                                                             | 19,000   |                              | 3,582.80                      | 12/11/13          | 2,966.70                | 0.00                                  | 616.10                                   | Sale                   |
|                                                                                      | 11,000   |                              | 2,074.26                      | 01/09/14          | 1,800.72                | 0.00                                  | 273.54                                   | Sale                   |
|                                                                                      | 31,000   |                              | 5,656.62                      | 01/22/14          | 5,445.92                | 0.00                                  | 399.70                                   | Sale                   |
|                                                                                      | 61,000   |                              | 11,502.68                     | VARIOUS           | 10,213.34               | 0.00                                  | 1,289.34                                 | Total of 3 lots        |
| Security total:                                                                      |          |                              | 17,339.82                     |                   | 15,209.90               | 0.00                                  | 2,129.92                                 |                        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF-00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)/gross (N)/net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| TRIPADVISOR INC / CUSIP: 896945201 / Symbol: TRIP                                     |          |                               |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 10,000   | 833.95                        | 02/13/14          | 921.33                  | 87.38 W                               | 0.00                   | Sale                                     |
| 06/09/14                                                                              | 6,000    | 623.03                        | 02/13/14          | 552.80                  | 0.00                                  | 70.23                  | Sale                                     |
| 07/22/14                                                                              | 43,000   | 4,472.19                      | 02/13/14          | 3,961.72                | 0.00                                  | 510.47                 | Sale                                     |
| 2 tax lots for 10/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                               |                   |                         |                                       |                        |                                          |
|                                                                                       | 31,000   | 2,746.41                      | 02/13/14          | 2,856.13                | 0.00                                  | -109.72                | Sale                                     |
|                                                                                       | 11,000   | 974.53                        | 02/20/14          | 1,044.86                | 0.00                                  | -70.33                 | Sale                                     |
| 10/07/14                                                                              | 42,000   | 3,720.94                      | VARIOUS           | 3,900.99                | 0.00                                  | -180.05                | Total of 2 lots                          |
| 2 tax lots for 11/14/14. Total proceeds (and cost when required) reported to the IRS  |          |                               |                   |                         |                                       |                        |                                          |
|                                                                                       | 9,000    | 606.99                        | 02/20/14          | 854.88                  | 0.00                                  | -247.89                | Sale                                     |
|                                                                                       | 5,000    | 337.22                        | 03/05/14          | 531.00                  | 0.00                                  | -193.78                | Sale                                     |
| 11/14/14                                                                              | 14,000   | 944.21                        | VARIOUS           | 1,385.88                | 0.00                                  | -441.67                | Total of 2 lots                          |
| Security total:                                                                       |          | 10,594.32                     |                   | 10,722.72               | 87.38 W                               | -41.02                 |                                          |
| TWENTY-FIRST CENTY FOX INC CL A / CUSIP: 90130A101 / Symbol: FOXA                     |          |                               |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 40,000   | 1,267.32                      | 01/21/14          | 1,269.29                | 0.00                                  | -1.97                  | Sale                                     |
| 06/09/14                                                                              | 20,000   | 724.86                        | 01/21/14          | 634.64                  | 0.00                                  | 90.22                  | Sale                                     |
| 3 tax lots for 07/16/14. Total proceeds (and cost when required) reported to the IRS  |          |                               |                   |                         |                                       |                        |                                          |
|                                                                                       | 196,000  | 6,604.06                      | 01/21/14          | 6,219.51                | 0.00                                  | 384.55                 | Sale                                     |
|                                                                                       | 56,000   | 1,886.87                      | 01/28/14          | 1,755.11                | 0.00                                  | 131.76                 | Sale                                     |
|                                                                                       | 9,000    | 303.25                        | 01/31/14          | 287.64                  | 0.00                                  | 15.61                  | Sale                                     |
| 07/16/14                                                                              | 261,000  | 8,794.18                      | VARIOUS           | 8,262.26                | 0.00                                  | 531.92                 | Total of 3 lots                          |
| 6 tax lots for 07/25/14. Total proceeds (and cost when required) reported to the IRS  |          |                               |                   |                         |                                       |                        |                                          |
|                                                                                       | 55,000   | 1,814.71                      | 01/31/14          | 1,757.81                | 0.00                                  | 56.90                  | Sale                                     |
|                                                                                       | 65,000   | 2,144.65                      | 02/04/14          | 2,027.96                | 0.00                                  | 116.69                 | Sale                                     |
|                                                                                       | 58,000   | 1,913.69                      | 02/07/14          | 1,864.60                | 0.00                                  | 49.09                  | Sale                                     |
|                                                                                       | 73,000   | 2,408.61                      | 02/19/14          | 2,401.04                | 0.00                                  | 7.57                   | Sale                                     |
|                                                                                       | 52,000   | 1,715.72                      | 03/06/14          | 1,785.92                | 0.00                                  | -70.20                 | Sale                                     |
|                                                                                       | 36,000   | 1,187.81                      | 05/27/14          | 1,250.25                | 0.00                                  | -62.44                 | Sale                                     |
| 07/25/14                                                                              | 339,000  | 11,185.19                     | VARIOUS           | 11,087.58               | 0.00                                  | 97.61                  | Total of 6 lots                          |
| Security total:                                                                       |          | 21,971.55                     |                   | 21,253.77               | 0.00                                  | 717.78                 |                                          |

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UBS FINANCIAL SERVICES INC.

Account PF 00118

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ULTA SALON COSMETICS & FRAGRANCE INC / CUSIP: 90384S303 / Symbol: ULTA              |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 01/16/14                                                                            | 58,000   | 4,845.16                  | 183.32                                | 07/29/13          | 5,725.44                | 242.84 W                              | -637.44                                  | Sale                   |
| 04/15/14                                                                            | 5,000    | 451.19                    | 1,191.61                              | 07/29/13          | 493.57                  | 42.38 W                               | 0.00                                     | Sale                   |
| 06/09/14                                                                            | 3,000    | 256.82                    | 824.96                                | 07/29/13          | 296.14                  | 0.00                                  | -39.32                                   | Sale                   |
| 9 tax lots for 07/11/14 Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                     | 2,000    |                           | 183.32                                | 07/29/13          | 197.43                  | 0.00                                  | -14.11                                   | Sale                   |
|                                                                                     | 13,000   |                           | 1,191.61                              | 09/04/13          | 1,274.43                | 0.00                                  | -82.82                                   | Sale                   |
|                                                                                     | 9,000    |                           | 824.96                                | 10/30/13          | 1,151.42                | 0.00                                  | -326.46                                  | Sale                   |
|                                                                                     | 16,000   |                           | 1,466.60                              | 01/03/14          | 1,760.19                | 0.00                                  | -293.59                                  | Sale                   |
|                                                                                     | 5,000    |                           | 458.31                                | 03/28/14          | 532.58                  | 0.00                                  | -74.27                                   | Sale                   |
|                                                                                     | 8,000    |                           | 733.30                                | 03/28/14          | 784.31                  | 0.00                                  | -51.01                                   | Sale                   |
|                                                                                     | 9,000    |                           | 824.96                                | 03/31/14          | 877.05                  | 0.00                                  | -52.09                                   | Sale                   |
|                                                                                     | 13,000   |                           | 1,191.60                              | 04/04/14          | 1,277.10                | 0.00                                  | -85.50                                   | Sale                   |
|                                                                                     | 7,000    |                           | 641.64                                | 04/17/14          | 615.00                  | 0.00                                  | 26.64                                    | Sale                   |
| 07/11/14                                                                            | 82,000   |                           | 7,516.30                              | VARIOUS           | 8,489.51                | 0.00                                  | -953.21                                  | Total of 9 lots        |
| Security total:                                                                     |          |                           | 13,069.47                             |                   | 14,984.66               | 285.22 W                              | -1,629.97                                |                        |

UNITED CONTL HLDGS INC / CUSIP: 910047109 / Symbol: UAL

|                                                                                     |         |        |           |          |           |      |         |                 |
|-------------------------------------------------------------------------------------|---------|--------|-----------|----------|-----------|------|---------|-----------------|
| 04/15/14                                                                            | 18,000  | 753.64 |           | 01/08/14 | 719.63    | 0.00 | 34.01   | Sale            |
| 5 tax lots for 04/25/14 Total proceeds (and cost when required) reported to the IRS |         |        |           |          |           |      |         |                 |
|                                                                                     | 86,000  |        | 3,474.38  | 01/08/14 | 3,438.22  | 0.00 | 36.16   | Sale            |
|                                                                                     | 32,000  |        | 1,292.80  | 01/13/14 | 1,461.39  | 0.00 | -168.59 | Sale            |
|                                                                                     | 34,000  |        | 1,373.59  | 01/22/14 | 1,652.56  | 0.00 | -278.97 | Sale            |
|                                                                                     | 20,000  |        | 808.00    | 01/31/14 | 921.34    | 0.00 | -113.34 | Sale            |
|                                                                                     | 84,000  |        | 3,393.58  | 03/25/14 | 3,790.74  | 0.00 | -397.16 | Sale            |
| 04/25/14                                                                            | 256,000 |        | 10,342.35 | VARIOUS  | 11,264.25 | 0.00 | -921.90 | Total of 5 lots |
| Security total:                                                                     |         |        | 11,095.99 |          | 11,983.88 | 0.00 | -887.89 |                 |

VEEVA SYSTEMS INC CL A / CUSIP: 922475108 / Symbol: VEEV

|          |        |          |          |          |          |      |         |                 |
|----------|--------|----------|----------|----------|----------|------|---------|-----------------|
| 01/31/14 | 46,000 | 1,470.73 |          | 10/29/13 | 1,780.67 | 0.00 | -309.94 | Sale            |
|          | 36,000 |          | 1,151.01 | 10/30/13 | 1,344.00 | 0.00 | -192.99 | Sale            |
|          | 82,000 |          | 2,621.74 | VARIOUS  | 3,124.67 | 0.00 | -502.93 | Total of 2 lots |

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## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (G)/loss (N)/et | 1d- Proceeds & 6- Reported (G)/loss (N)/et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|-----------------------------|--------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| VERISIGN INC / CUSIP: 92343E102 / Symbol: VRSN                                      |          |                             |                                            |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/12/14 Total proceeds (and cost when required) reported to the IRS |          |                             |                                            |                   |                         |                                       |                                          |                        |
|                                                                                     | 36 000   |                             | 1,945 15                                   | 04/26/13          | 1,581 72                | 0 00                                  | 363 43                                   | Sale                   |
|                                                                                     | 46 000   |                             | 2,485 48                                   | 10/30/13          | 2,496 94                | 0 00                                  | -11 46                                   | Sale                   |
| 02/12/14                                                                            | 82 000   |                             | 4,430 63                                   | VARIOUS           | 4,078 66                | 0 00                                  | 351 97                                   | Total of 2 lots        |
| VERISK ANALYTICS INC CL A / CUSIP: 92345Y106 / Symbol: VRSK                         |          |                             |                                            |                   |                         |                                       |                                          |                        |
| 01/07/14                                                                            | 20 000   |                             | 1,273 60                                   | 05/08/13          | 1,223 74                | 0 00                                  | 49 86                                    | Sale                   |
| 01/10/14                                                                            | 27 000   |                             | 1,701 26                                   | 05/08/13          | 1,652 03                | 0 00                                  | 49 23                                    | Sale                   |
| 2 tax lots for 03/05/14 Total proceeds (and cost when required) reported to the IRS |          |                             |                                            |                   |                         |                                       |                                          |                        |
|                                                                                     | 51 000   |                             | 3,203 43                                   | 05/08/13          | 3,120 52                | 0 00                                  | 82 91                                    | Sale                   |
|                                                                                     | 6 000    |                             | 376 87                                     | 05/29/13          | 355 76                  | 0 00                                  | 21 11                                    | Sale                   |
| 03/05/14                                                                            | 57 000   |                             | 3,580 30                                   | VARIOUS           | 3,476 28                | 0 00                                  | 104 02                                   | Total of 2 lots        |
| 4 tax lots for 03/06/14 Total proceeds (and cost when required) reported to the IRS |          |                             |                                            |                   |                         |                                       |                                          |                        |
|                                                                                     | 67 000   |                             | 4,193 04                                   | 05/29/13          | 3,972 63                | 0 00                                  | 220 41                                   | Sale                   |
|                                                                                     | 28 000   |                             | 1,752 32                                   | 06/03/13          | 1,648 02                | 0 00                                  | 104 30                                   | Sale                   |
|                                                                                     | 10 000   |                             | 625 83                                     | 10/17/13          | 678 88                  | 0 00                                  | -53 05                                   | Sale                   |
|                                                                                     | 11 000   |                             | 688 41                                     | 11/06/13          | 681 62                  | 0 00                                  | 6 79                                     | Sale                   |
| 03/06/14                                                                            | 116 000  |                             | 7,259 60                                   | VARIOUS           | 6,981 15                | 0 00                                  | 278 45                                   | Total of 4 lots        |
| 2 tax lots for 03/07/14 Total proceeds (and cost when required) reported to the IRS |          |                             |                                            |                   |                         |                                       |                                          |                        |
|                                                                                     | 8 000    |                             | 502 77                                     | 11/06/13          | 495 72                  | 0 00                                  | 7 05                                     | Sale                   |
|                                                                                     | 12 000   |                             | 754 15                                     | 11/08/13          | 746 38                  | 0 00                                  | 7 77                                     | Sale                   |
| 03/07/14                                                                            | 20 000   |                             | 1,256 92                                   | VARIOUS           | 1,242 10                | 0 00                                  | 14 82                                    | Total of 2 lots        |
|                                                                                     |          |                             | Security total:                            |                   | 14,575 30               | 0 00                                  | 496 38                                   |                        |
| WORKDAY INC CL A / CUSIP: 98138H101 / Symbol: WDAY                                  |          |                             |                                            |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                            | 5 000    |                             | 390 84                                     | 11/01/13          | 377 85                  | 0 00                                  | 12 99                                    | Sale                   |
| 06/09/14                                                                            | 3 000    |                             | 241 67                                     | 11/01/13          | 226 72                  | 0 00                                  | 14 95                                    | Sale                   |
| 2 tax lots for 07/09/14 Total proceeds (and cost when required) reported to the IRS |          |                             |                                            |                   |                         |                                       |                                          |                        |
|                                                                                     | 19 000   |                             | 1,547 59                                   | 11/01/13          | 1,435 84                | 0 00                                  | 111 75                                   | Sale                   |
|                                                                                     | 1 000    |                             | 81 45                                      | 01/31/14          | 90 37                   | 0 00                                  | -8 92                                    | Sale                   |
| 07/09/14                                                                            | 20 000   |                             | 1,629 04                                   | VARIOUS           | 1,526 21                | 0 00                                  | 102 83                                   | Total of 2 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss or loss(-) & Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|---------------------------------------------------------------|------------------------|
| WORKDAY INC CL A / CUSIP: 98138H101 / Symbol: WDAY (cont'd)                          |          |                           |                                       |                   |                         |                                       |                                                               |                        |
| 3 tax lots for 11/24/14 Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |                   |                         |                                       |                                                               |                        |
|                                                                                      | 8 000    | 736.37                    | 736.37                                | 01/31/14          | 722.98                  | 0.00                                  | 13.39                                                         | Sale                   |
|                                                                                      | 8 000    | 736.36                    | 736.36                                | 02/05/14          | 715.24                  | 0.00                                  | 21.12                                                         | Sale                   |
|                                                                                      | 12 000   | 1,104.55                  | 1,104.55                              | 03/25/14          | 1,140.50                | 0.00                                  | -35.95                                                        | Sale                   |
| 11/24/14                                                                             | 28 000   | 2,577.28                  | 2,577.28                              | VARIOUS           | 2,578.72                | 0.00                                  | -1.44                                                         | Total of 3 lots        |
| 4 tax lots for 12/04/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                                               |                        |
|                                                                                      | 1 000    | 82.14                     | 82.14                                 | 03/25/14          | 95.04                   | 0.00                                  | -12.90                                                        | Sale                   |
|                                                                                      | 8 000    | 657.08                    | 657.08                                | 04/01/14          | 765.78                  | 0.00                                  | -108.70                                                       | Sale                   |
|                                                                                      | 22 000   | 1,806.99                  | 1,806.99                              | 04/24/14          | 1,613.87                | 0.00                                  | 193.12                                                        | Sale                   |
|                                                                                      | 12 000   | 985.63                    | 985.63                                | 05/21/14          | 893.60                  | 0.00                                  | 92.03                                                         | Sale                   |
| 12/04/14                                                                             | 43 000   | 3,531.84                  | 3,531.84                              | VARIOUS           | 3,368.29                | 0.00                                  | 163.55                                                        | Total of 4 lots        |
|                                                                                      |          | Security total:           | 8,370.67                              |                   | 8,077.79                | 0.00                                  | 292.88                                                        |                        |

YAHOO INC / CUSIP: 984332106 / Symbol: YHOO

2 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS.

|          |         |           |           |          |           |      |         |                 |
|----------|---------|-----------|-----------|----------|-----------|------|---------|-----------------|
|          | 316 000 | 11,448.18 | 11,448.18 | 01/28/14 | 11,767.71 | 0.00 | -319.53 | Sale            |
|          | 62 000  | 2,246.16  | 2,246.16  | 02/07/14 | 2,278.33  | 0.00 | -32.17  | Sale            |
| 03/25/14 | 378 000 | 13,694.34 | 13,694.34 | VARIOUS  | 14,046.04 | 0.00 | -351.70 | Total of 2 lots |

YOUKU TUDOU INC SPON ADR / CUSIP: 98742U100 / Symbol: YOKU

|          |         |          |          |          |          |      |        |      |
|----------|---------|----------|----------|----------|----------|------|--------|------|
| 03/12/14 | 146 000 | 4,484.00 | 4,484.00 | 09/05/13 | 3,550.95 | 0.00 | 933.05 | Sale |
|----------|---------|----------|----------|----------|----------|------|--------|------|

ZILLOW INC CL A / CUSIP: 98954A107 / Symbol: Z

|          |        |                 |          |          |          |      |          |      |
|----------|--------|-----------------|----------|----------|----------|------|----------|------|
|          | 3 000  | 271.70          | 271.70   | 02/07/14 | 254.21   | 0.00 | 17.49    | Sale |
|          | 44 000 | 5,139.16        | 5,139.16 | 02/07/14 | 3,728.40 | 0.00 | 1,410.76 | Sale |
| 06/02/14 |        | 5,410.86        | 5,410.86 |          | 3,982.61 | 0.00 | 1,428.25 |      |
|          |        | Security total: |          |          |          |      |          |      |

WIRECARD AG FOREIGN STOCK EUR / CUSIP: DE0007472060 / Symbol: WRCDX

|                                                                                      |        |          |          |          |          |      |          |                 |
|--------------------------------------------------------------------------------------|--------|----------|----------|----------|----------|------|----------|-----------------|
| 02/07/14                                                                             | 28 000 | 1,244.61 | 1,244.61 | 09/18/13 | 933.00   | 0.00 | 311.61   | Sale            |
| 2 tax lots for 02/13/14 Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |          |      |          |                 |
|                                                                                      | 72 000 | 3,233.16 | 3,233.16 | 09/18/13 | 2,399.15 | 0.00 | 834.01   | Sale            |
|                                                                                      | 15 000 | 673.58   | 673.58   | 09/26/13 | 501.09   | 0.00 | 172.49   | Sale            |
| 02/13/14                                                                             | 87 000 | 3,906.74 | 3,906.74 | VARIOUS  | 2,900.24 | 0.00 | 1,006.50 | Total of 2 lots |

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## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| WIRECARD AG FOREIGN STOCK EUR / CUSIP: DE0007472060 / Symbol: WRCDF (cont'd)        | 92.000   | 4,169.09                 | 4,169.09                             | 09/26/13          | 3,073.32                | 0.00                                  | 1,095.77                                 | Sale                   |
| 4 tax lots for 02/19/14 Total proceeds (and cost when required) reported to the IRS |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                     | 9 000    | 412.91                   | 412.91                               | 09/26/13          | 300.65                  | 0.00                                  | 112.26                                   | Sale                   |
|                                                                                     | 14 000   | 643.32                   | 643.32                               | 11/11/13          | 527.13                  | 0.00                                  | 116.19                                   | Sale                   |
|                                                                                     | 38 000   | 1,743.41                 | 1,743.41                             | 11/11/13          | 1,430.77                | 0.00                                  | 312.64                                   | Sale                   |
|                                                                                     | 32 000   | 1,470.43                 | 1,470.43                             | 11/19/13          | 1,197.07                | 0.00                                  | 273.36                                   | Sale                   |
|                                                                                     | 93.000   | 4,270.07                 | 4,270.07                             | VARIOUS           | 3,455.62                | 0.00                                  | 814.45                                   | Total of 4 lots        |
| Security total:                                                                     |          | 13,590.51                | 13,590.51                            |                   | 10,362.18               | 0.00                                  | 3,228.33                                 |                        |
| ALKERMES PLC / CUSIP: G01767105 / Symbol: ALKS                                      |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                            | 9.000    | 386.81                   | 386.81                               | 04/01/14          | 407.78                  | 0.00                                  | -20.97                                   | Sale                   |
| 06/09/14                                                                            | 5.000    | 240.89                   | 240.89                               | 04/01/14          | 226.54                  | 0.00                                  | 14.35                                    | Sale                   |
| Security total:                                                                     |          | 627.70                   | 627.70                               |                   | 634.32                  | 0.00                                  | -6.62                                    |                        |
| MICHAEL KORS HLDGS LTD FOREIGN STOCK / CUSIP: G60754101 / Symbol: KORS              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 01/08/14                                                                            | 40.000   | 3,074.06                 | 3,074.06                             | 07/25/13          | 2,578.27                | 0.00                                  | 495.79                                   | Sale                   |
| 4 tax lots for 01/13/14 Total proceeds (and cost when required) reported to the IRS |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                     | 58 000   | 4,564.38                 | 4,564.38                             | 07/25/13          | 3,738.50                | 0.00                                  | 825.88                                   | Sale                   |
|                                                                                     | 21 000   | 1,652.63                 | 1,652.63                             | 08/07/13          | 1,463.93                | 0.00                                  | 188.70                                   | Sale                   |
|                                                                                     | 48 000   | 3,777.42                 | 3,777.42                             | 08/09/13          | 3,476.12                | 0.00                                  | 301.30                                   | Sale                   |
|                                                                                     | 29 000   | 2,282.19                 | 2,282.19                             | 08/15/13          | 2,067.98                | 0.00                                  | 214.21                                   | Sale                   |
|                                                                                     | 156.000  | 12,276.62                | 12,276.62                            | VARIOUS           | 10,746.53               | 0.00                                  | 1,530.09                                 | Total of 4 lots        |
| Security total:                                                                     |          | 15,350.68                | 15,350.68                            |                   | 13,324.80               | 0.00                                  | 2,025.88                                 |                        |
| ASML HOLDING NV GDR FOREIGN STOCK EUR / CUSIP: N07059210 / Symbol: ASML             |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                            | 9.000    | 763.99                   | 763.99                               | 05/28/13          | 758.95                  | 0.00                                  | 5.04                                     | Sale                   |
| Totals:                                                                             |          | 525,139.37               | 525,139.37                           |                   | 504,498.77              | 2,140.58 W                            | 22,781.18                                |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
|---------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|

BIOMARIN PHARMACEUTICAL INC / CUSIP: 09061G101 / Symbol: BMRN

2 tax lots for 03/14/14. Total proceeds (and cost when required) reported to the IRS

|          |        |          |          |          |          |      |  |                          |
|----------|--------|----------|----------|----------|----------|------|--|--------------------------|
| 03/14/14 | 29 000 | 2,266.46 | 2,266.46 | 01/07/13 | 1,509.16 | 0.00 |  | 757.30 Sale              |
|          | 10 000 | 781.54   | 781.54   | 01/18/13 | 534.50   | 0.00 |  | 247.04 Sale              |
|          | 39 000 | 3,048.00 | 3,048.00 | VARIOUS  | 2,043.66 | 0.00 |  | 1,004.34 Total of 2 lots |

|          |       |        |        |          |        |      |  |            |
|----------|-------|--------|--------|----------|--------|------|--|------------|
| 04/15/14 | 8 000 | 463.46 | 463.46 | 01/18/13 | 427.59 | 0.00 |  | 35.87 Sale |
|----------|-------|--------|--------|----------|--------|------|--|------------|

2 tax lots for 05/02/14. Total proceeds (and cost when required) reported to the IRS

|          |        |          |          |          |          |      |  |                        |
|----------|--------|----------|----------|----------|----------|------|--|------------------------|
| 05/02/14 | 2 000  | 113.69   | 113.69   | 01/18/13 | 106.90   | 0.00 |  | 6.79 Sale              |
|          | 25 000 | 1,421.08 | 1,421.08 | 03/26/13 | 1,512.30 | 0.00 |  | -91.22 Sale            |
|          | 27 000 | 1,534.77 | 1,534.77 | VARIOUS  | 1,619.20 | 0.00 |  | -84.43 Total of 2 lots |

Security total:

955.78

BIOGEN IDEC INC / CUSIP: 09062X103 / Symbol: BIIB

2 tax lots for 02/25/14. Total proceeds (and cost when required) reported to the IRS

|          |       |          |          |          |        |      |  |                          |
|----------|-------|----------|----------|----------|--------|------|--|--------------------------|
| 02/25/14 | 2 000 | 689.86   | 689.86   | 10/13/11 | 202.25 | 0.00 |  | 487.61 Sale              |
|          | 3 000 | 1,034.80 | 1,034.80 | 10/17/11 | 306.16 | 0.00 |  | 728.64 Sale              |
|          | 5 000 | 1,724.66 | 1,724.66 | VARIOUS  | 508.41 | 0.00 |  | 1,216.25 Total of 2 lots |

|          |        |          |          |          |          |      |  |               |
|----------|--------|----------|----------|----------|----------|------|--|---------------|
| 03/18/14 | 10 000 | 3,513.45 | 3,513.45 | 10/17/11 | 1,020.53 | 0.00 |  | 2,492.92 Sale |
|----------|--------|----------|----------|----------|----------|------|--|---------------|

2 tax lots for 03/31/14. Total proceeds (and cost when required) reported to the IRS

|          |        |          |          |          |          |      |  |                          |
|----------|--------|----------|----------|----------|----------|------|--|--------------------------|
| 03/31/14 | 1 000  | 305.11   | 305.11   | 10/17/11 | 102.05   | 0.00 |  | 203.06 Sale              |
|          | 13 000 | 3,966.39 | 3,966.39 | 10/31/11 | 1,533.68 | 0.00 |  | 2,432.71 Sale            |
|          | 14 000 | 4,271.50 | 4,271.50 | VARIOUS  | 1,635.73 | 0.00 |  | 2,635.77 Total of 2 lots |

|          |       |          |          |          |        |      |  |               |
|----------|-------|----------|----------|----------|--------|------|--|---------------|
| 04/15/14 | 6 000 | 1,717.76 | 1,717.76 | 10/31/11 | 707.85 | 0.00 |  | 1,009.91 Sale |
|----------|-------|----------|----------|----------|--------|------|--|---------------|

|          |       |        |        |          |        |      |  |             |
|----------|-------|--------|--------|----------|--------|------|--|-------------|
| 06/09/14 | 3 000 | 952.78 | 952.78 | 10/31/11 | 353.93 | 0.00 |  | 598.85 Sale |
|----------|-------|--------|--------|----------|--------|------|--|-------------|

|          |       |          |          |          |        |      |  |               |
|----------|-------|----------|----------|----------|--------|------|--|---------------|
| 10/22/14 | 8 000 | 2,433.37 | 2,433.37 | 01/09/12 | 923.14 | 0.00 |  | 1,510.23 Sale |
|----------|-------|----------|----------|----------|--------|------|--|---------------|

Security total:

9,463.93

CITIGROUP INC / CUSIP: 172967424 / Symbol: C

2 tax lots for 01/30/14. Total proceeds (and cost when required) reported to the IRS

|          |        |          |          |          |          |      |  |                        |
|----------|--------|----------|----------|----------|----------|------|--|------------------------|
| 01/30/14 | 28 000 | 1,354.35 | 1,354.35 | 10/17/12 | 1,069.76 | 0.00 |  | 284.59 Sale            |
|          | 2 000  | 96.74    | 96.74    | 10/19/12 | 74.87    | 0.00 |  | 21.87 Sale             |
|          | 30 000 | 1,451.09 | 1,451.09 | VARIOUS  | 1,144.63 | 0.00 |  | 306.46 Total of 2 lots |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (G)/ross (N)/et | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|--------------------------------------------------------------------------------------|-----------------|-----------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| CITIGROUP INC / CUSIP: 172967424 / Symbol: C (cont'd)                                |                 |                             |                                  |                         |                                       |                        |                                          |
| 5 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS |                 |                             |                                  |                         |                                       |                        |                                          |
|                                                                                      | 30 000          | 1,442.25                    | 10/19/12                         | 1,123.10                | 0.00                                  |                        | 319.15 Sale                              |
|                                                                                      | 64 000          | 3,076.79                    | 10/22/12                         | 2,390.29                | 0.00                                  |                        | 686.50 Sale                              |
|                                                                                      | 89 000          | 4,278.66                    | 10/26/12                         | 3,253.99                | 0.00                                  |                        | 1,024.67 Sale                            |
|                                                                                      | 93 000          | 4,470.96                    | 11/02/12                         | 3,513.60                | 0.00                                  |                        | 957.36 Sale                              |
|                                                                                      | 38 000          | 1,826.85                    | 11/07/12                         | 1,403.18                | 0.00                                  |                        | 423.67 Sale                              |
| 02/20/14                                                                             | 314 000         | 15,095.51                   | VARIOUS                          | 11,684.16               | 0.00                                  |                        | 3,411.35 Total of 5 lots                 |
|                                                                                      | Security total: | 16,546.60                   |                                  | 12,828.79               | 0.00                                  |                        | 3,717.81                                 |
| CITY NATIONAL CORP COMMON STOCK / CUSIP: 178566105 / Symbol: CYN                     |                 |                             |                                  |                         |                                       |                        |                                          |
| 02/25/14                                                                             | 22 000          | 1,599.96                    | 05/11/11                         | 1,240.33                | 0.00                                  |                        | 359.63 Sale                              |
| 04/15/14                                                                             | 8 000           | 601.03                      | 05/11/11                         | 451.03                  | 0.00                                  |                        | 150.00 Sale                              |
| 06/09/14                                                                             | 3 000           | 229.31                      | 05/11/11                         | 169.13                  | 0.00                                  |                        | 60.18 Sale                               |
|                                                                                      | Security total: | 2,430.30                    |                                  | 1,860.49                | 0.00                                  |                        | 569.81                                   |
| CONSTELLATION BRANDS INC CL A / CUSIP: 21036P106 / Symbol: STZ                       |                 |                             |                                  |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 17 000          | 1,324.10                    | 10/09/12                         | 617.09                  | 0.00                                  |                        | 707.01 Sale                              |
| 06/09/14                                                                             | 9 000           | 744.37                      | 10/09/12                         | 326.69                  | 0.00                                  |                        | 417.68 Sale                              |
|                                                                                      | Security total: | 2,068.47                    |                                  | 943.78                  | 0.00                                  |                        | 1,124.69                                 |
| WALT DISNEY CO (HOLDING CO) DISNEY COM / CUSIP: 254687106 / Symbol: DIS              |                 |                             |                                  |                         |                                       |                        |                                          |
| 04/07/14                                                                             | 14 000          | 1,110.87                    | 04/02/13                         | 802.92                  | 0.00                                  |                        | 307.95 Sale                              |
| 04/15/14                                                                             | 20 000          | 1,552.63                    | 04/02/13                         | 1,147.02                | 0.00                                  |                        | 405.61 Sale                              |
| 06/09/14                                                                             | 9 000           | 769.93                      | 04/02/13                         | 516.15                  | 0.00                                  |                        | 253.78 Sale                              |
|                                                                                      | Security total: | 3,433.43                    |                                  | 2,466.09                | 0.00                                  |                        | 967.34                                   |
| DOMINO'S PIZZA INC / CUSIP: 25754A201 / Symbol: DPZ                                  |                 |                             |                                  |                         |                                       |                        |                                          |
| 12/05/14                                                                             | 27 000          | 2,567.40                    | 07/24/13                         | 1,633.79                | 0.00                                  |                        | 933.61 Sale                              |
| DUNKIN BRANDS GROUP INC / CUSIP: 265504100 / Symbol: DNKN                            |                 |                             |                                  |                         |                                       |                        |                                          |
| 3 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS |                 |                             |                                  |                         |                                       |                        |                                          |
|                                                                                      | 36 000          | 1,802.57                    | 08/20/12                         | 1,093.37                | 0.00                                  |                        | 709.20 Sale                              |
|                                                                                      | 34 000          | 1,702.43                    | 08/23/12                         | 959.89                  | 0.00                                  |                        | 742.54 Sale                              |
|                                                                                      | 26 000          | 1,301.86                    | 09/18/12                         | 790.78                  | 0.00                                  |                        | 511.08 Sale                              |
| 02/21/14                                                                             | 96 000          | 4,806.86                    | VARIOUS                          | 2,844.04                | 0.00                                  |                        | 1,962.82 Total of 3 lots                 |

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UBS FINANCIAL SERVICES INC

Account RE 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| DUNKIN BRANDS GROUP INC / CUSIP: 265504100 / Symbol: DNKN (cont'd)                   |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 11,000   | 528.98                   | 528.98                               | 09/18/12          | 334.56                  | 0.00                                  | 194.42                                   | Sale                   |
| 06/09/14                                                                             | 6,000    | 275.27                   | 275.27                               | 09/18/12          | 182.49                  | 0.00                                  | 92.78                                    | Sale                   |
| 09/16/14                                                                             | 44,000   | 2,096.68                 | 2,096.68                             | 09/18/12          | 1,338.24                | 0.00                                  | 758.44                                   | Sale                   |
| 3 tax lots for 12/05/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                      | 5,000    | 239.84                   | 239.84                               | 09/18/12          | 152.07                  | 0.00                                  | 87.77                                    | Sale                   |
|                                                                                      | 42,000   | 2,014.65                 | 2,014.65                             | 10/19/12          | 1,341.77                | 0.00                                  | 672.88                                   | Sale                   |
|                                                                                      | 32,000   | 1,534.97                 | 1,534.97                             | 11/15/12          | 943.94                  | 0.00                                  | 591.03                                   | Sale                   |
| 12/05/14                                                                             | 79,000   | 3,789.46                 | 3,789.46                             | VARIOUS           | 2,437.78                | 0.00                                  | 1,351.68                                 | Total of 3 lots        |
| 12/09/14                                                                             | 4,000    | 187.70                   | 187.70                               | 11/15/12          | 117.99                  | 0.00                                  | 69.71                                    | Sale                   |
| Security total:                                                                      |          |                          |                                      |                   | 7,255.10                | 0.00                                  | 4,429.85                                 |                        |
| FACEBOOK INC CL A / CUSIP: 30303M102 / Symbol: FB                                    |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 09/18/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                      | 8,000    | 616.51                   | 616.51                               | 07/30/13          | 300.50                  | 0.00                                  | 316.01                                   | Sale                   |
|                                                                                      | 80,000   | 6,165.09                 | 6,165.09                             | 08/27/13          | 3,241.63                | 0.00                                  | 2,923.46                                 | Sale                   |
| 09/18/14                                                                             | 88,000   | 6,781.60                 | 6,781.60                             | VARIOUS           | 3,542.13                | 0.00                                  | 3,239.47                                 | Total of 2 lots        |
| FLEETCOR TECHNOLOGIES INC / CUSIP: 339041105 / Symbol: FLT                           |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 7,000    | 772.29                   | 772.29                               | 01/24/13          | 411.64                  | 0.00                                  | 360.65                                   | Sale                   |
| 06/09/14                                                                             | 4,000    | 523.11                   | 523.11                               | 01/24/13          | 235.23                  | 0.00                                  | 287.88                                   | Sale                   |
| Security total:                                                                      |          |                          |                                      |                   | 646.87                  | 0.00                                  | 648.53                                   |                        |
| GENESEE & WYOMING INC CL A / CUSIP: 371559105 / Symbol: GWR                          |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 01/08/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                      | 12,000   | 1,128.60                 | 1,128.60                             | 10/12/12          | 833.71                  | 0.00                                  | 294.89                                   | Sale                   |
|                                                                                      | 27,000   | 2,539.35                 | 2,539.35                             | 10/16/12          | 1,915.13                | 0.00                                  | 624.22                                   | Sale                   |
| 01/08/14                                                                             | 39,000   | 3,667.95                 | 3,667.95                             | VARIOUS           | 2,748.84                | 0.00                                  | 919.11                                   | Total of 2 lots        |
| 04/15/14                                                                             | 14,000   | 1,327.34                 | 1,327.34                             | 10/16/12          | 993.03                  | 0.00                                  | 334.31                                   | Sale                   |
| 06/09/14                                                                             | 7,000    | 718.61                   | 718.61                               | 10/16/12          | 496.51                  | 0.00                                  | 222.10                                   | Sale                   |
| 2 tax lots for 10/07/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                      | 28,000   | 2,552.52                 | 2,552.52                             | 10/16/12          | 1,986.06                | 0.00                                  | 566.46                                   | Sale                   |
|                                                                                      | 6,000    | 546.97                   | 546.97                               | 10/17/12          | 430.79                  | 0.00                                  | 116.18                                   | Sale                   |
| 10/07/14                                                                             | 34,000   | 3,099.49                 | 3,099.49                             | VARIOUS           | 2,416.85                | 0.00                                  | 682.64                                   | Total of 2 lots        |

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## UBS FINANCIAL SERVICES INC.

Account PF-00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| GENESEE & WYOMING INC CL A / CUSIP: 371559105 / Symbol: GWR (cont'd)                  |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/08/14 Total proceeds (and cost when required) reported to the IRS   |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 10/08/14                                                                              | 9,000    | 807.41                   | 807.41                                  | 10/17/12          | 646.19                  | 0.00                                  | 161.22                                   | Sale                   |
|                                                                                       | 10,000   | 897.13                   | 897.13                                  | 11/07/12          | 730.02                  | 0.00                                  | 167.11                                   | Sale                   |
|                                                                                       | 19,000   | 1,704.54                 | 1,704.54                                | VARIOUS           | 1,376.21                | 0.00                                  | 328.33                                   | Total of 2 lots        |
| 4 tax lots for 10/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    | 657.17                   | 657.17                                  | 11/07/12          | 511.01                  | 0.00                                  | 146.16                                   | Sale                   |
|                                                                                       | 16,000   | 1,502.11                 | 1,502.11                                | 04/05/13          | 1,347.45                | 0.00                                  | 154.66                                   | Sale                   |
|                                                                                       | 10,000   | 938.81                   | 938.81                                  | 04/15/13          | 847.81                  | 0.00                                  | 91.00                                    | Sale                   |
|                                                                                       | 13,000   | 1,220.46                 | 1,220.46                                | 04/25/13          | 1,102.98                | 0.00                                  | 117.48                                   | Sale                   |
| 10/30/14                                                                              | 46,000   | 4,318.55                 | 4,318.55                                | VARIOUS           | 3,809.25                | 0.00                                  | 509.30                                   | Total of 4 lots        |
|                                                                                       |          | 14,836.48                | 14,836.48                               |                   | 11,840.69               | 0.00                                  | 2,995.79                                 |                        |

Security total.

## GILEAD SCIENCES INC COMMON STOCK / CUSIP: 375558103 / Symbol: GILD

|                                                                                     |        |           |           |          |           |      |           |                 |
|-------------------------------------------------------------------------------------|--------|-----------|-----------|----------|-----------|------|-----------|-----------------|
| 03/07/14                                                                            | 7,000  | 550.96    | 550.96    | 11/16/12 | 261.67    | 0.00 | 289.29    | Sale            |
| 03/10/14                                                                            | 31,000 | 2,457.00  | 2,457.00  | 11/16/12 | 1,158.83  | 0.00 | 1,298.17  | Sale            |
| 03/17/14                                                                            | 37,000 | 2,801.76  | 2,801.76  | 11/16/12 | 1,383.12  | 0.00 | 1,418.64  | Sale            |
| 03/18/14                                                                            | 46,000 | 3,574.02  | 3,574.02  | 11/16/12 | 1,719.56  | 0.00 | 1,854.46  | Sale            |
| 03/31/14                                                                            | 34,000 | 2,406.52  | 2,406.52  | 11/16/12 | 1,270.97  | 0.00 | 1,135.55  | Sale            |
| 04/15/14                                                                            | 25,000 | 1,707.96  | 1,707.96  | 11/16/12 | 934.55    | 0.00 | 773.41    | Sale            |
| 2 tax lots for 06/09/14 Total proceeds (and cost when required) reported to the IRS |        |           |           |          |           |      |           |                 |
|                                                                                     | 3,000  | 236.74    | 236.74    | 11/16/12 | 112.14    | 0.00 | 124.60    | Sale            |
| 06/09/14                                                                            | 9,000  | 710.21    | 710.21    | 12/20/12 | 332.66    | 0.00 | 377.55    | Sale            |
|                                                                                     | 12,000 | 946.95    | 946.95    | VARIOUS  | 444.80    | 0.00 | 502.15    | Total of 2 lots |
| 08/26/14                                                                            | 10,000 | 1,057.20  | 1,057.20  | 12/20/12 | 369.63    | 0.00 | 687.57    | Sale            |
| 2 tax lots for 12/22/14 Total proceeds (and cost when required) reported to the IRS |        |           |           |          |           |      |           |                 |
|                                                                                     | 29,000 | 2,735.08  | 2,735.08  | 12/20/12 | 1,071.92  | 0.00 | 1,663.16  | Sale            |
| 12/22/14                                                                            | 25,000 | 2,357.83  | 2,357.83  | 02/07/13 | 997.16    | 0.00 | 1,360.67  | Sale            |
|                                                                                     | 54,000 | 5,092.91  | 5,092.91  | VARIOUS  | 2,069.08  | 0.00 | 3,023.83  | Total of 2 lots |
| 12/31/14                                                                            | 48,000 | 4,588.84  | 4,588.84  | 02/07/13 | 1,914.54  | 0.00 | 2,674.30  | Sale            |
|                                                                                     |        | 25,184.12 | 25,184.12 |          | 11,526.75 | 0.00 | 13,657.37 |                 |

Security total:

## GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL

|          |       |          |          |          |          |      |          |      |
|----------|-------|----------|----------|----------|----------|------|----------|------|
| 02/25/14 | 3,000 | 3,657.81 | 3,657.81 | 10/24/11 | 1,797.04 | 0.00 | 1,860.77 | Sale |
|----------|-------|----------|----------|----------|----------|------|----------|------|

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UBS FINANCIAL SERVICES INC.

Account PF 00116

2014 1099-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| GOOGLE INC CL A / CUSIP: 38259P508 / Symbol: GOOGL (cont'd)                          |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 1 000    | 547 29                    | 543 46                                | 10/24/11          | 299.97                  | 0.00                                  |                        | 247.32 Sale                              |
| 06/09/14                                                                             | 1 000    | 569.74                    |                                       | 10/24/11          | 299.96                  | 0.00                                  |                        | 269.78 Sale                              |
| 6 tax lots for 11/20/14 Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 1 000    |                           | 543 46                                | 10/24/11          | 299.97                  | 0.00                                  |                        | 243.49 Sale                              |
|                                                                                      | 5 000    | 2,717.30                  | 2,717.30                              | 12/29/11          | 1,606.88                | 0.00                                  |                        | 1,110.42 Sale                            |
|                                                                                      | 3 000    | 1,630.38                  | 1,630.38                              | 02/17/12          | 907.98                  | 0.00                                  |                        | 722.40 Sale                              |
|                                                                                      | 11 000   | 5,978.07                  | 5,978.07                              | 02/04/13          | 4,195.56                | 0.00                                  |                        | 1,782.51 Sale                            |
|                                                                                      | 3 000    | 1,630.38                  | 1,630.38                              | 08/12/13          | 1,328.83                | 0.00                                  |                        | 301.55 Sale                              |
|                                                                                      | 1 000    | 543.46                    | 543.46                                | 11/01/13          | 515.24                  | 0.00                                  |                        | 28.22 Sale                               |
| 11/20/14                                                                             | 24 000   | 13,043.05                 | 13,043.05                             | VARIOUS           | 8,854.46                | 0.00                                  |                        | 4,188.59 Total of 6 lots                 |
| Security total:                                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      |          |                           | 17,817.89                             |                   | 11,251.43               | 0.00                                  |                        | 6,566.46                                 |
| GOOGLE INC CL C / CUSIP: 38259P706 / Symbol: GOOG                                    |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 1 000    | 535.07                    |                                       | 10/24/11          | 299.04                  | 0.00                                  |                        | 236.03 Sale                              |
| 2 tax lots for 04/17/14 Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 2 000    | 1,069.54                  | 1,069.54                              | 10/24/11          | 598.09                  | 0.00                                  |                        | 471.45 Sale                              |
|                                                                                      | 2 000    | 1,069.54                  | 1,069.54                              | 12/29/11          | 640.78                  | 0.00                                  |                        | 428.76 Sale                              |
| 04/17/14                                                                             | 4 000    | 2,139.08                  | 2,139.08                              | VARIOUS           | 1,238.87                | 0.00                                  |                        | 900.21 Total of 2 lots                   |
| 3 tax lots for 05/02/14 Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 3 000    | 1,587.45                  | 1,587.45                              | 12/29/11          | 961.16                  | 0.00                                  |                        | 626.29 Sale                              |
|                                                                                      | 3 000    | 1,587.45                  | 1,587.45                              | 02/17/12          | 905.18                  | 0.00                                  |                        | 682.27 Sale                              |
|                                                                                      | 1 000    | 529.15                    | 529.15                                | 02/04/13          | 380.24                  | 0.00                                  |                        | 148.91 Sale                              |
| 05/02/14                                                                             | 7 000    | 3,704.05                  | 3,704.05                              | VARIOUS           | 2,246.58                | 0.00                                  |                        | 1,457.47 Total of 3 lots                 |
| 08/27/14                                                                             | 7 000    | 4,018.12                  | 4,018.12                              | 02/04/13          | 2,661.67                | 0.00                                  |                        | 1,356.45 Sale                            |
| 3 tax lots for 11/14/14 Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 3 000    | 1,631.39                  | 1,631.39                              | 02/04/13          | 1,140.72                | 0.00                                  |                        | 490.67 Sale                              |
|                                                                                      | 3 000    | 1,631.39                  | 1,631.39                              | 08/12/13          | 1,324.74                | 0.00                                  |                        | 306.65 Sale                              |
|                                                                                      | 1 000    | 543.80                    | 543.80                                | 11/01/13          | 513.66                  | 0.00                                  |                        | 30.14 Sale                               |
| 11/14/14                                                                             | 7 000    | 3,806.58                  | 3,806.58                              | VARIOUS           | 2,979.12                | 0.00                                  |                        | 827.46 Total of 3 lots                   |
| Security total:                                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      |          |                           | 14,202.90                             |                   | 9,425.28                | 0.00                                  |                        | 4,777.62                                 |
| IHS INC CL A / CUSIP: 451734107 / Symbol: IHS                                        |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 03/14/14                                                                             | 12 000   | 1,442.16                  |                                       | 09/27/12          | 1,173.71                | 0.00                                  |                        | 268.45 Sale                              |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & If- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| IHS INC CL A / CUSIP: 451734107 / Symbol IHS (cont'd)                                |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 2 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 6 000    |                           | 706 39                                | 09/27/12          | 586.86                  | 0.00                                  |                        | 119.53 Sale                              |
|                                                                                      | 3.000    |                           | 353 19                                | 10/19/12          | 270.80                  | 0.00                                  |                        | 82.39 Sale                               |
| 04/15/14                                                                             | 9.000    |                           | 1,059.58                              | VARIOUS           | 857.66                  | 0.00                                  |                        | 201.92 Total of 2 lots                   |
| 06/09/14                                                                             | 4 000    |                           | 521.63                                | 10/19/12          | 361.06                  | 0.00                                  |                        | 160.57 Sale                              |
| 2 tax lots for 09/29/14 Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 15 000   |                           | 1,884.24                              | 10/19/12          | 1,353.99                | 0.00                                  |                        | 530.25 Sale                              |
|                                                                                      | 4 000    |                           | 502.47                                | 11/02/12          | 355.67                  | 0.00                                  |                        | 146.80 Sale                              |
| 09/29/14                                                                             | 19.000   |                           | 2,386.71                              | VARIOUS           | 1,709.66                | 0.00                                  |                        | 677.05 Total of 2 lots                   |
| 2 tax lots for 12/05/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 8.000    |                           | 972.28                                | 11/02/12          | 711.33                  | 0.00                                  |                        | 260.95 Sale                              |
|                                                                                      | 1 000    |                           | 121.53                                | 12/17/12          | 93.54                   | 0.00                                  |                        | 27.99 Sale                               |
| 12/05/14                                                                             | 9.000    |                           | 1,093.81                              | VARIOUS           | 804.87                  | 0.00                                  |                        | 288.94 Total of 2 lots                   |
| 3 tax lots for 12/12/14 Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 16 000   |                           | 1,839.44                              | 12/17/12          | 1,496.60                | 0.00                                  |                        | 342.84 Sale                              |
|                                                                                      | 7.000    |                           | 804.76                                | 12/20/12          | 667.85                  | 0.00                                  |                        | 136.91 Sale                              |
|                                                                                      | 6 000    |                           | 689.79                                | 03/06/13          | 724.88                  | 0.00                                  |                        | -35.09 Sale                              |
| 12/12/14                                                                             | 29 000   |                           | 3,333.99                              | VARIOUS           | 2,889.33                | 0.00                                  |                        | 444.66 Total of 3 lots                   |
| 3 tax lots for 12/30/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 6 000    |                           | 689.73                                | 04/15/13          | 609.49                  | 0.00                                  |                        | 80.24 Sale                               |
|                                                                                      | 7 000    |                           | 804.68                                | 04/15/13          | 833.52                  | 0.00                                  |                        | -28.84 Sale                              |
|                                                                                      | 20 000   |                           | 2,299.09                              | 05/09/13          | 2,052.02                | 0.00                                  |                        | 247.07 Sale                              |
| 12/30/14                                                                             | 33 000   |                           | 3,793.50                              | VARIOUS           | 3,495.03                | 0.00                                  |                        | 298.47 Total of 3 lots                   |
|                                                                                      |          |                           | 13,631.38                             |                   | 11,291.32               | 0.00                                  |                        | 2,340.06                                 |
| Security total:                                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| KLX INC / CUSIP: 482539103 / Symbol: KLX(I)                                          |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 12/17/14                                                                             | 0.500    |                           | 20.67                                 | 07/26/13          | 18.97                   | 0.00                                  |                        | 1.70 Sale                                |
| 5 tax lots for 12/22/14 Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 8 000    |                           | 336.28                                | 07/26/13          | 303.52                  | 0.00                                  |                        | 32.76 Sale                               |
|                                                                                      | 17 500   |                           | 735.61                                | 08/01/13          | 684.05                  | 0.00                                  |                        | 51.56 Sale                               |
|                                                                                      | 10 000   |                           | 420.35                                | 08/09/13          | 404.36                  | 0.00                                  |                        | 15.99 Sale                               |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 9949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------|----------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| KLX INC / CUSIP: 482539103 / Symbol: KLXI (cont'd)                                   |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 6 500    | 273.22                   | 08/23/13                         | 246.95                  | 0 00                                  | 26 27                  | Sale                                     |
|                                                                                      | 9 500    | 399.33                   | 10/14/13                         | 396.28                  | 0 00                                  | 3 05                   | Sale                                     |
| 12/22/14                                                                             | 51.500   | 2,164.79                 | VARIOUS                          | 2,035.16                | 0.00                                  | 129.63                 | Total of 5 lots                          |
| Security total:                                                                      |          | 2,185.46                 |                                  | 2,054.13                | 0.00                                  | 131.33                 |                                          |
| EURIG GREEN MTN INC COM / CUSIP: 49271M100 / Symbol: GMCR                            |          |                          |                                  |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 11.000   | 1,071.82                 | 02/20/13                         | 508.11                  | 0.00                                  | 563.71                 | Sale                                     |
| 06/09/14                                                                             | 6 000    | 685.90                   | 02/20/13                         | 277.14                  | 0.00                                  | 408.76                 | Sale                                     |
| 2 tax lots for 08/29/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 4 000    | 537.97                   | 02/20/13                         | 184.77                  | 0 00                                  | 353 20                 | Sale                                     |
|                                                                                      | 11 000   | 1,479.41                 | 02/28/13                         | 520.15                  | 0.00                                  | 959 26                 | Sale                                     |
| 08/29/14                                                                             | 15.000   | 2,017.38                 | VARIOUS                          | 704.92                  | 0.00                                  | 1,312.46               | Total of 2 lots                          |
| 09/09/14                                                                             | 23 000   | 2,944.46                 | 02/28/13                         | 1,087.58                | 0.00                                  | 1,856.88               | Sale                                     |
| 2 tax lots for 09/29/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 1 000    | 130.21                   | 02/28/13                         | 47.29                   | 0 00                                  | 82 92                  | Sale                                     |
|                                                                                      | 16 000   | 2,083.37                 | 04/05/13                         | 846.87                  | 0 00                                  | 1,236 50               | Sale                                     |
| 09/29/14                                                                             | 17.000   | 2,213.58                 | VARIOUS                          | 894.16                  | 0.00                                  | 1,319.42               | Total of 2 lots                          |
| 10/07/14                                                                             | 9.000    | 1,260.44                 | 04/05/13                         | 476.36                  | 0 00                                  | 784.08                 | Sale                                     |
| 2 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 14.000   | 1,923.86                 | 04/05/13                         | 741.01                  | 0 00                                  | 1,182.85               | Sale                                     |
|                                                                                      | 3 000    | 412.26                   | 08/30/13                         | 259.03                  | 0 00                                  | 153 23                 | Sale                                     |
| 10/15/14                                                                             | 17 000   | 2,336.12                 | VARIOUS                          | 1,000.04                | 0.00                                  | 1,336.08               | Total of 2 lots                          |
| 10/22/14                                                                             | 27.000   | 3,948.85                 | 08/30/13                         | 2,331.28                | 0.00                                  | 1,617.57               | Sale                                     |
| 10/30/14                                                                             | 2.000    | 299.60                   | 08/30/13                         | 172.69                  | 0 00                                  | 126.91                 | Sale                                     |
| Security total:                                                                      |          | 16,778.15                |                                  | 7,452.28                | 0.00                                  | 9,325.87               |                                          |
| LKQ CORP NEW / CUSIP: 501889208 / Symbol: LKQ                                        |          |                          |                                  |                         |                                       |                        |                                          |
| 09/18/14                                                                             | 27.000   | 750.01                   | 06/12/13                         | 660.45                  | 0.00                                  | 89.56                  | Sale                                     |
| MASTERCARD INC CL A / CUSIP: 57636Q104 / Symbol: MA                                  |          |                          |                                  |                         |                                       |                        |                                          |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 10 000   | 722.58                   | 10/24/11                         | 336.82                  | 0 00                                  | 385 76                 | Sale                                     |

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## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                    | Quantity | 6- Reported (G)Gross (N)et | 1d- Proceeds & Reported (G)Gross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------|----------|----------------------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MASTERCARD INC CL A / CUSIP: 57636Q104 / Symbol: MA (cont'd) |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                              | 6 000    |                            | 433.55                                 | 01/04/12          | 212.70                  | 0.00                                  | 220.85                                   | Sale                   |
| 04/15/14                                                     | 16.000   |                            | 1,156.13                               | VARIOUS           | 549.52                  | 0.00                                  | 606.61                                   | Total of 2 lots        |
| 06/09/14                                                     | 9.000    |                            | 692.17                                 | 01/04/12          | 319.06                  | 0.00                                  | 373.11                                   | Sale                   |
| 09/16/14                                                     |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                              | 25 000   |                            | 1,912.39                               | 01/04/12          | 886.27                  | 0.00                                  | 1,026.12                                 | Sale                   |
|                                                              | 14 000   |                            | 1,070.94                               | 01/09/12          | 483.68                  | 0.00                                  | 587.26                                   | Sale                   |
|                                                              | 39.000   |                            | 2,983.33                               | VARIOUS           | 1,369.95                | 0.00                                  | 1,613.38                                 | Total of 2 lots        |
| Security total:                                              |          |                            | 4,831.63                               |                   | 2,238.53                | 0.00                                  | 2,593.10                                 |                        |

MONSANTO CO NEW / CUSIP: 61166W101 / Symbol: MON

## 3 tax lots for 02/25/14 Total proceeds (and cost when required) reported to the IRS

|          |        |  |          |          |          |      |          |                 |
|----------|--------|--|----------|----------|----------|------|----------|-----------------|
| 02/25/14 | 15 000 |  | 1,630.25 | 12/02/11 | 1,057.63 | 0.00 | 572.62   | Sale            |
|          | 21 000 |  | 2,282.35 | 12/21/11 | 1,471.92 | 0.00 | 810.43   | Sale            |
|          | 16 000 |  | 1,738.94 | 12/29/11 | 1,128.93 | 0.00 | 610.01   | Sale            |
|          | 52.000 |  | 5,651.54 | VARIOUS  | 3,658.48 | 0.00 | 1,993.06 | Total of 3 lots |

## 2 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS

|          |        |  |          |          |          |      |        |                 |
|----------|--------|--|----------|----------|----------|------|--------|-----------------|
| 04/15/14 | 7 000  |  | 773.34   | 12/29/11 | 493.90   | 0.00 | 279.44 | Sale            |
|          | 8 000  |  | 883.82   | 01/04/12 | 578.43   | 0.00 | 305.39 | Sale            |
|          | 15.000 |  | 1,657.16 | VARIOUS  | 1,072.33 | 0.00 | 584.83 | Total of 2 lots |

Sale

## 2 tax lots for 07/29/14 Total proceeds (and cost when required) reported to the IRS

|          |        |  |          |          |        |      |        |                 |
|----------|--------|--|----------|----------|--------|------|--------|-----------------|
| 07/29/14 | 10.000 |  | 1,147.39 | 01/04/12 | 723.04 | 0.00 | 424.35 | Sale            |
|          | 3 000  |  | 344.22   | 01/09/12 | 235.89 | 0.00 | 108.33 | Sale            |
|          | 13.000 |  | 1,491.61 | VARIOUS  | 958.93 | 0.00 | 532.68 | Total of 2 lots |

## 2 tax lots for 09/02/14 Total proceeds (and cost when required) reported to the IRS

|                 |        |  |           |          |          |      |          |                 |
|-----------------|--------|--|-----------|----------|----------|------|----------|-----------------|
| 09/02/14        | 13 000 |  | 1,501.52  | 01/09/12 | 1,022.17 | 0.00 | 479.35   | Sale            |
|                 | 15 000 |  | 1,732.52  | 02/17/12 | 1,182.91 | 0.00 | 549.61   | Sale            |
|                 | 28 000 |  | 3,234.04  | VARIOUS  | 2,205.08 | 0.00 | 1,028.96 | Total of 2 lots |
| Security total: |        |  | 12,883.29 |          | 8,400.95 | 0.00 | 4,482.34 |                 |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MORGAN STANLEY NEW / CUSIP: 617446448 / Symbol: MS                                   |          |                          |                                  |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 32 000   | 942.79                   | 02/14/13                         | 761.43                  | 0.00                                  | 181.36                                   | Sale                   |
| 06/09/14                                                                             | 15 000   | 479.39                   | 02/14/13                         | 356.93                  | 0.00                                  | 122.46                                   | Sale                   |
| Security total:                                                                      |          | 1,422.18                 |                                  | 1,118.36                | 0.00                                  | 303.82                                   |                        |
| ROSS STORES INC COMMON STOCK / CUSIP: 778296103 / Symbol: ROST                       |          |                          |                                  |                         |                                       |                                          |                        |
| 01/16/14                                                                             | 6 000    | 433.58                   | 08/26/11                         | 220.39                  | 0.00                                  | 213.19                                   | Sale                   |
| SALESFORCE COM INC / CUSIP: 79466L302 / Symbol: CRM                                  |          |                          |                                  |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 27 000   | 1,494.23                 | 01/16/13                         | 1,146.16                | 0.00                                  | 348.07                                   | Sale                   |
| 2 tax lots for 06/09/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                      | 8 000    | 413.86                   | 01/16/13                         | 339.61                  | 0.00                                  | 74.25                                    | Sale                   |
|                                                                                      | 5 000    | 258.66                   | 01/17/13                         | 214.76                  | 0.00                                  | 43.90                                    | Sale                   |
| 06/09/14                                                                             | 13 000   | 672.52                   | VARIOUS                          | 554.37                  | 0.00                                  | 118.15                                   | Total of 2 lots        |
| 08/13/14                                                                             | 60 000   | 3,189.86                 | 01/17/13                         | 2,577.16                | 0.00                                  | 612.70                                   | Sale                   |
| 2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                      | 19 000   | 1,141.39                 | 01/17/13                         | 816.10                  | 0.00                                  | 325.29                                   | Sale                   |
|                                                                                      | 7 000    | 420.51                   | 01/29/13                         | 305.84                  | 0.00                                  | 114.67                                   | Sale                   |
| 08/22/14                                                                             | 26 000   | 1,561.90                 | VARIOUS                          | 1,121.94                | 0.00                                  | 439.96                                   | Total of 2 lots        |
| 3 tax lots for 09/18/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                      | 9 000    | 515.44                   | 01/29/13                         | 393.23                  | 0.00                                  | 122.21                                   | Sale                   |
|                                                                                      | 12 000   | 687.25                   | 01/29/13                         | 525.35                  | 0.00                                  | 161.90                                   | Sale                   |
|                                                                                      | 34 000   | 1,947.22                 | 05/10/13                         | 1,491.20                | 0.00                                  | 456.02                                   | Sale                   |
| 09/18/14                                                                             | 55 000   | 3,149.91                 | VARIOUS                          | 2,409.78                | 0.00                                  | 740.13                                   | Total of 3 lots        |
| Security total:                                                                      |          | 10,068.42                |                                  | 7,809.41                | 0.00                                  | 2,259.01                                 |                        |
| SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 806857108 / Symbol: SLB |          |                          |                                  |                         |                                       |                                          |                        |
| 01/31/14                                                                             | 57 000   | 5,024.47                 | 01/11/13                         | 4,176.15                | 0.00                                  | 848.32                                   | Sale                   |
| 2 tax lots for 04/01/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                      | 12 000   | 1,172.60                 | 01/11/13                         | 879.19                  | 0.00                                  | 293.41                                   | Sale                   |
|                                                                                      | 8 000    | 781.73                   | 01/24/13                         | 624.47                  | 0.00                                  | 157.26                                   | Sale                   |
| 04/01/14                                                                             | 20 000   | 1,954.33                 | VARIOUS                          | 1,503.66                | 0.00                                  | 450.67                                   | Total of 2 lots        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                                     | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-----------------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| SCHLUMBERGER LTD NETHERLANDS ANTILLES FOREIGN STOCK / CUSIP: 806857108 / Symbol: SLB (cont'd) |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS          |          |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                                               | 12,000   | 1,202.89                 | 936.70                               | 01/24/13          | 0.00                    |                                   |                        | 266.19 Sale                              |
|                                                                                               | 3,000    | 300.72                   | 238.55                               | 01/29/13          | 0.00                    |                                   |                        | 62.17 Sale                               |
| 04/15/14                                                                                      | 15,000   | 1,503.61                 | 1,175.25                             | VARIOUS           | 0.00                    |                                   |                        | 328.36 Total of 2 lots                   |
| 06/09/14                                                                                      | 8,000    | 849.34                   | 636.12                               | 01/29/13          | 0.00                    |                                   |                        | 213.22 Sale                              |
| 2 tax lots for 11/20/14. Total proceeds (and cost when required) reported to the IRS          |          |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                                               | 35,000   | 3,346.14                 | 2,783.02                             | 01/29/13          | 0.00                    |                                   |                        | 563.12 Sale                              |
|                                                                                               | 4,000    | 382.42                   | 313.81                               | 02/11/13          | 0.00                    |                                   |                        | 68.61 Sale                               |
| 11/20/14                                                                                      | 39,000   | 3,728.56                 | 3,096.83                             | VARIOUS           | 0.00                    |                                   |                        | 631.73 Total of 2 lots                   |
| 4 tax lots for 11/25/14. Total proceeds (and cost when required) reported to the IRS          |          |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                                               | 23,000   | 2,209.43                 | 1,804.43                             | 02/11/13          | 0.00                    |                                   |                        | 405.00 Sale                              |
|                                                                                               | 21,000   | 2,017.30                 | 1,674.18                             | 02/19/13          | 0.00                    |                                   |                        | 343.12 Sale                              |
|                                                                                               | 33,000   | 3,170.04                 | 2,541.81                             | 05/09/13          | 0.00                    |                                   |                        | 628.23 Sale                              |
|                                                                                               | 28,000   | 2,669.74                 | 2,268.55                             | 08/07/13          | 0.00                    |                                   |                        | 421.19 Sale                              |
| 11/25/14                                                                                      | 105,000  | 10,086.51                | 8,288.97                             | VARIOUS           | 0.00                    |                                   |                        | 1,797.54 Total of 4 lots                 |
| 3 tax lots for 11/26/14. Total proceeds (and cost when required) reported to the IRS.         |          |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                                               | 11,000   | 1,019.51                 | 891.22                               | 08/07/13          | 0.00                    |                                   |                        | 128.29 Sale                              |
|                                                                                               | 31,000   | 2,873.15                 | 2,876.77                             | 10/25/13          | 0.00                    |                                   |                        | -3.62 Sale                               |
|                                                                                               | 16,000   | 1,482.92                 | 1,482.54                             | 11/01/13          | 0.00                    |                                   |                        | 0.38 Sale                                |
| 11/26/14                                                                                      | 58,000   | 5,375.58                 | 5,250.53                             | VARIOUS           | 0.00                    |                                   |                        | 125.05 Total of 3 lots                   |
| 12/01/14                                                                                      | 5,000    | 417.21                   | 463.29                               | 11/01/13          | 0.00                    |                                   |                        | -46.08 Sale                              |
|                                                                                               |          | 28,939.61                | 24,590.80                            |                   | 0.00                    |                                   |                        | 4,348.81                                 |
| SHERWIN WILLIAMS CO COMMON STOCK / CUSIP: 824348106 / Symbol: SHW                             |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 06/09/14                                                                                      | 4,000    | 817.82                   | 697.19                               | 04/16/13          | 0.00                    |                                   |                        | 120.63 Sale                              |
| 2 tax lots for 07/02/14. Total proceeds (and cost when required) reported to the IRS          |          |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                                               | 4,000    | 827.86                   | 697.19                               | 04/16/13          | 0.00                    |                                   |                        | 130.67 Sale                              |
|                                                                                               | 3,000    | 620.90                   | 517.12                               | 04/17/13          | 0.00                    |                                   |                        | 103.78 Sale                              |
| 07/02/14                                                                                      | 7,000    | 1,448.76                 | 1,214.31                             | VARIOUS           | 0.00                    |                                   |                        | 234.45 Total of 2 lots                   |
| 07/11/14                                                                                      | 9,000    | 1,818.62                 | 1,551.37                             | 04/17/13          | 0.00                    |                                   |                        | 267.25 Sale                              |
| 12/03/14                                                                                      | 14,000   | 3,432.68                 | 2,413.23                             | 04/17/13          | 0.00                    |                                   |                        | 1,019.45 Sale                            |
|                                                                                               |          | 7,517.88                 | 5,876.10                             |                   | 0.00                    |                                   |                        | 1,641.78                                 |
|                                                                                               |          |                          |                                      |                   |                         |                                   |                        |                                          |

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UBS FINANCIAL SERVICES INC.

Account PF 00116

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                           | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-------------------------------------------------------------------------------------|-----------------|--------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| VERISIGN INC / CUSIP: 92343E102 / Symbol: VRSN                                      |                 |                          |                                         |                   |                         |                                       |                        |                                          |
| 02/07/14                                                                            | 58 000          |                          | 3,015.01                                | 02/01/13          | 2,543.72                | 0.00                                  |                        | 471.29 Sale                              |
| 02/12/14                                                                            | 72 000          |                          | 3,890.31                                | 02/01/13          | 3,157.73                | 0.00                                  |                        | 732.58 Sale                              |
|                                                                                     | Security total: |                          | 6,905.32                                |                   | 5,701.45                | 0.00                                  |                        | 1,203.87                                 |
| WYNN RESORTS LTD / CUSIP: 983134107 / Symbol: WYNN                                  |                 |                          |                                         |                   |                         |                                       |                        |                                          |
| 03/06/14                                                                            | 6 000           |                          | 1,488.32                                | 04/20/11          | 858.57                  | 0.00                                  |                        | 629.75 Sale                              |
| 04/15/14                                                                            | 7 000           |                          | 1,417.89                                | 04/20/11          | 1,001.66                | 0.00                                  |                        | 416.23 Sale                              |
| 06/09/14                                                                            | 3 000           |                          | 597.26                                  | 04/20/11          | 429.28                  | 0.00                                  |                        | 167.98 Sale                              |
|                                                                                     | Security total: |                          | 3,503.47                                |                   | 2,289.51                | 0.00                                  |                        | 1,213.96                                 |
| LIBERTY GLOBAL PLC SER C FOREIGN STOCK / CUSIP: G5480U120 / Symbol: LBTK            |                 |                          |                                         |                   |                         |                                       |                        |                                          |
| 2 tax lots for 02/25/14 Total proceeds (and cost when required) reported to the IRS |                 |                          |                                         |                   |                         |                                       |                        |                                          |
| 02/25/14                                                                            | 21 000          |                          | 1,795.31                                | 02/13/13          | 1,296.86                | 0.00                                  |                        | 498.45 Sale                              |
|                                                                                     | 1 000           |                          | 85.49                                   | 02/15/13          | 60.27                   | 0.00                                  |                        | 25.22 Sale                               |
|                                                                                     | 22 000          |                          | 1,880.80                                | VARIOUS           | 1,357.13                | 0.00                                  |                        | 523.67 Total of 2 lots                   |
| 4 tax lots for 03/05/14 Total proceeds (and cost when required) reported to the IRS |                 |                          |                                         |                   |                         |                                       |                        |                                          |
| 03/05/14                                                                            | 66 000          |                          | 2,756.08                                | 02/15/13          | 1,988.89                | 0.00                                  |                        | 767.19 Sale                              |
|                                                                                     | 24 000          |                          | 1,002.21                                | 02/19/13          | 717.63                  | 0.00                                  |                        | 284.58 Sale                              |
|                                                                                     | 44 000          |                          | 1,837.38                                | 02/21/13          | 1,276.85                | 0.00                                  |                        | 560.53 Sale                              |
|                                                                                     | 164 000         |                          | 6,848.44                                | 02/28/13          | 5,207.76                | 0.00                                  |                        | 1,640.68 Sale                            |
|                                                                                     | 298 000         |                          | 12,444.11                               | VARIOUS           | 9,191.13                | 0.00                                  |                        | 3,252.98 Total of 4 lots                 |
|                                                                                     | Security total: |                          | 14,324.91                               |                   | 10,548.26               | 0.00                                  |                        | 3,776.65                                 |
| ASML HOLDING NV GDR FOREIGN STOCK EUR / CUSIP: N07059210 / Symbol: ASML             |                 |                          |                                         |                   |                         |                                       |                        |                                          |
| 06/09/14                                                                            | 6 000           |                          | 534.47                                  | 05/28/13          | 505.97                  | 0.00                                  |                        | 28.50 Sale                               |
| 2 tax lots for 07/30/14 Total proceeds (and cost when required) reported to the IRS |                 |                          |                                         |                   |                         |                                       |                        |                                          |
| 07/30/14                                                                            | 17 000          |                          | 1,622.66                                | 05/28/13          | 1,433.58                | 0.00                                  |                        | 189.08 Sale                              |
|                                                                                     | 10 000          |                          | 954.50                                  | 05/31/13          | 835.03                  | 0.00                                  |                        | 119.47 Sale                              |
|                                                                                     | 27 000          |                          | 2,577.16                                | VARIOUS           | 2,268.61                | 0.00                                  |                        | 308.55 Total of 2 lots                   |
|                                                                                     | Security total: |                          | 3,111.63                                |                   | 2,774.58                | 0.00                                  |                        | 337.05                                   |
|                                                                                     | Totals:         |                          | 265,796.21                              |                   | 177,487.75              | 0.00                                  |                        | 88,308.46                                |

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UBS FINANCIAL SERVICES INC

Account PF 00117

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| CAPITAL ONE FINCL CORP COMMON STOCK / CUSIP: 14040H105 / Symbol: COF                 |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/09/14                                                                             | 12.000   | 984.10                    | 984.10                                | 03/24/14          | 901.68                  | 0.00                                  |                        | 82.42 Sale C                             |
| CITIGROUP INC / CUSIP: 172967424 / Symbol: C                                         |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 11/21/14                                                                             | 16.000   | 858.06                    | 858.06                                | 03/24/14          | 807.84                  | 0.00                                  |                        | 50.22 Sale C                             |
| ANE CO COMMON STOCK / CUSIP: 224399105 / Symbol: CR                                  |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 18.000   | 1,231.17                  | 1,231.17                              | 05/03/13          | 1,001.14                | 0.00                                  |                        | 230.03 Sale C                            |
| FORD MOTOR CO COM NEW / CUSIP: 345370860 / Symbol: F                                 |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 65.000   | 1,027.82                  | 1,027.82                              | 06/03/13          | 1,035.79                | 0.00                                  |                        | -7.97 Sale C                             |
| HEWLETT PACKARD CO COMMON STOCK / CUSIP: 428236103 / Symbol: HPQ                     |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 42.000   | 1,362.15                  | 1,362.15                              | 02/27/14          | 1,248.26                | 0.00                                  |                        | 113.89 Sale C                            |
| 10/29/14                                                                             | 50.000   | 1,774.62                  | 1,774.62                              | 02/27/14          | 1,486.03                | 0.00                                  |                        | 288.59 Sale C                            |
| Security total:                                                                      |          | 3,136.77                  | 3,136.77                              |                   | 2,734.29                | 0.00                                  |                        | 402.48                                   |
| HILLENBRAND INC / CUSIP: 431571108 / Symbol: HI                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 10/29/14                                                                             | 36.000   | 1,189.77                  | 1,189.77                              | 03/28/14          | 1,144.94                | 0.00                                  |                        | 44.83 Sale C                             |
| INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC                            |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 32.000   | 855.66                    | 855.66                                | 08/15/13          | 704.26                  | 0.00                                  |                        | 151.40 Sale C                            |
| INTL GAME TECHNOLOGY COMMON STOCK / CUSIP: 459902102 / Symbol: IGT                   |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 5 tax lots for 02/27/14 Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 79.000   | 1,185.49                  | 1,185.49                              | 02/27/13          | 1,255.89                | 0.00                                  |                        | -70.40 Sale C                            |
|                                                                                      | 184.000  | 2,761.15                  | 2,761.15                              | 02/28/13          | 2,939.49                | 0.00                                  |                        | -178.34 Sale C                           |
|                                                                                      | 47.000   | 705.29                    | 705.29                                | 03/11/13          | 804.80                  | 0.00                                  |                        | -99.51 Sale C                            |
|                                                                                      | 397.000  | 5,957.48                  | 5,957.48                              | 03/12/13          | 6,818.16                | 0.00                                  |                        | -860.68 Sale C                           |
|                                                                                      | 200.000  | 3,001.25                  | 3,001.25                              | 02/14/14          | 3,006.92                | 0.00                                  |                        | -5.67 Sale C                             |
| 02/27/14                                                                             | 907.000  | 13,610.66                 | 13,610.66                             | VARIOUS           | 14,825.26               | 0.00                                  |                        | -1,214.60 Total of 5 lots                |
| METLIFE INC / CUSIP: 59156R108 / Symbol: MET                                         |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/09/14                                                                             | 8.000    | 437.22                    | 437.22                                | 03/24/14          | 427.32                  | 0.00                                  |                        | 9.90 Sale C                              |
| MOLSON COORS BREWING CO CL B / CUSIP: 60871R209 / Symbol: TAP                        |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/09/14                                                                             | 4.000    | 268.04                    | 268.04                                | 05/30/14          | 261.54                  | 0.00                                  |                        | 6.50 Sale C                              |

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## UBS FINANCIAL SERVICES INC.

Account PF 00117

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MOLSON COORS BREWING CO CL B / CUSIP: 60871R209 / Symbol: TAP (cont'd)                | 17.000   | 1,244.03                  | 1,244.03                              | 05/30/14          | 1,111.54                | 0.00                                  | 132.49                                   | Sale                   |
| Security total:                                                                       |          | 1,512.07                  | 1,512.07                              |                   | 1,373.08                | 0.00                                  | 138.99                                   |                        |
| MURPHY OIL CORP COMMON STOCK / CUSIP: 626717102 / Symbol: MUR                         | 9.000    | 573.83                    | 573.83                                | 10/30/13          | 563.79                  | 0.00                                  | 10.04                                    | Sale                   |
| SLM CORP VGT / CUSIP: 78442P106 / Symbol: SLM                                         | 317.000  | 2,743.20                  | 2,743.20                              | 09/25/13          | 2,854.58                | 0.00                                  | -111.38                                  | Sale                   |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP: 881624209 / Symbol: TEVA             | 8.000    | 408.31                    | 408.31                                | 02/21/14          | 389.64                  | 0.00                                  | 18.67                                    | Sale                   |
| 11/21/14                                                                              | 20.000   | 1,147.77                  | 1,147.77                              | 02/21/14          | 974.10                  | 0.00                                  | 173.67                                   | Sale                   |
| Security total:                                                                       |          | 1,556.08                  | 1,556.08                              |                   | 1,363.74                | 0.00                                  | 192.34                                   |                        |
| TRINITY INDUSTRIES INC COMMON STOCK / CUSIP: 896522109 / Symbol: TRN                  | 20.000   | 1,211.60                  | 1,211.60                              | 05/06/13          | 823.87                  | 0.00                                  | 387.73                                   | Sale                   |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 39.000   | 2,690.94                  | 2,690.94                              | 05/06/13          | 1,606.55                | 0.00                                  | 1,084.39                                 | Sale                   |
|                                                                                       | 23.000   | 1,586.97                  | 1,586.97                              | 05/07/13          | 969.88                  | 0.00                                  | 617.09                                   | Sale                   |
|                                                                                       | 62.000   | 4,277.91                  | 4,277.91                              | VARIOUS           | 2,576.43                | 0.00                                  | 1,701.48                                 | Total of 2 lots        |
| Security total:                                                                       |          | 5,489.51                  | 5,489.51                              |                   | 3,400.30                | 0.00                                  | 2,089.21                                 |                        |
| VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ                            | 8.000    | 395.86                    | 395.86                                | 05/05/14          | 376.61                  | 0.00                                  | 19.25                                    | Sale                   |
| VERITIV CORP COM / CUSIP: 923454102 / Symbol: VRTV                                    | 0.650    | 25.00                     | 25.00                                 | 10/03/13          | 21.09                   | 0.00                                  | 3.91                                     | Sale                   |
| WHIRLPOOL CORP COMMON STOCK / CUSIP: 963320106 / Symbol: WHR                          | 5.000    | 755.58                    | 755.58                                | 03/11/14          | 726.19                  | 0.00                                  | 29.39                                    | Sale                   |
| 10/29/14                                                                              | 25.000   | 4,190.43                  | 4,190.43                              | 03/11/14          | 3,630.97                | 0.00                                  | 559.46                                   | Sale                   |
| 11/21/14                                                                              | 8.000    | 1,469.09                  | 1,469.09                              | 03/11/14          | 1,161.91                | 0.00                                  | 307.18                                   | Sale                   |
| Security total:                                                                       |          | 6,415.10                  | 6,415.10                              |                   | 5,519.07                | 0.00                                  | 896.03                                   |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00117

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------------------------|------------------------|
| YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP: 98462Y100 / Symbol: AUJ                   |          |                          |                                      |                   |                         |                                   |                                          |                        |
| 2 tax lots for 11/10/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                                          |                        |
|                                                                                      | 219,000  | 844.20                   | 1,623.89                             | 06/09/14          | 1,623.89                | 0.00                              | -779.69                                  | Sale                   |
|                                                                                      | 386,000  | 1,487.96                 | 2,133.34                             | 10/29/14          | 2,133.34                | 0.00                              | -645.38                                  | Sale                   |
| 11/10/14                                                                             | 605,000  | 2,332.16                 | 3,757.23                             | VARIOUS           | 3,757.23                | 0.00                              | -1,425.07                                | Total of 2 lots        |
| Totals:                                                                              |          | 44,374.04                | 42,812.01                            |                   |                         | 0.00                              | 1,562.03                                 |                        |

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                 | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|-----------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------------------------|------------------------|
| AMERIPRISE FINANCIAL INC / CUSIP: 03076C106 / Symbol: AMP |          |                          |                                      |                   |                         |                                   |                                          |                        |
| 06/09/14                                                  | 20,000   | 2,355.29                 | 1,085.13                             | 02/09/12          | 1,085.13                | 0.00                              | 1,270.16                                 | Sale                   |
| 10/29/14                                                  | 11,000   | 1,340.76                 | 596.82                               | 02/09/12          | 596.82                  | 0.00                              | 743.94                                   | Sale                   |
| Security total:                                           |          | 3,696.05                 | 1,681.95                             |                   |                         | 0.00                              | 2,014.10                                 |                        |

ASTRAZENECA PLC SPON ADR / CUSIP: 046353108 / Symbol: AZN

2 tax lots for 02/14/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |          |          |          |          |      |          |                 |
|----------|--------|----------|----------|----------|----------|------|----------|-----------------|
|          | 29,000 | 1,953.40 | 1,267.54 | 06/27/12 | 1,267.54 | 0.00 | 685.86   | Sale            |
|          | 25,000 | 1,683.97 | 1,134.50 | 07/02/12 | 1,134.50 | 0.00 | 549.47   | Sale            |
| 11/14/14 | 54,000 | 3,637.37 | 2,402.04 | VARIOUS  | 2,402.04 | 0.00 | 1,235.33 | Total of 2 lots |

3 tax lots for 05/05/14. Total proceeds (and cost when required) reported to the IRS.

|                 |         |           |           |          |          |      |           |                 |
|-----------------|---------|-----------|-----------|----------|----------|------|-----------|-----------------|
|                 | 17,000  | 1,358.54  | 771.46    | 07/02/12 | 771.46   | 0.00 | 587.08    | Sale            |
|                 | 96,000  | 7,664.14  | 4,356.49  | 07/02/12 | 4,356.49 | 0.00 | 3,307.65  | Sale            |
|                 | 64,000  | 5,114.52  | 2,955.26  | 11/26/12 | 2,955.26 | 0.00 | 2,159.26  | Sale            |
| 05/05/14        | 177,000 | 14,137.20 | 8,083.21  | VARIOUS  | 8,083.21 | 0.00 | 6,053.99  | Total of 3 lots |
|                 | 119,000 | 9,156.61  | 5,494.95  | 11/26/12 | 5,494.95 | 0.00 | 3,661.66  | Sale            |
| Security total: |         | 26,931.18 | 15,980.20 |          |          | 0.00 | 10,950.98 |                 |

BANK OF AMERICA CORP / CUSIP: 060505104 / Symbol: BAC

|          |         |          |          |          |          |      |        |      |
|----------|---------|----------|----------|----------|----------|------|--------|------|
| 04/15/14 | 100,000 | 1,628.97 | 1,280.03 | 03/20/13 | 1,280.03 | 0.00 | 348.94 | Sale |
|----------|---------|----------|----------|----------|----------|------|--------|------|

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UBS FINANCIAL SERVICES INC

## Proceeds from Broker and Barter Exchange Transactions

2014-1099-B (continued) OMB No. 1545-0715

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BANK OF AMERICA CORP / CUSIP: 060505104 / Symbol: BAC (cont'd)                        |          |                                      |                   |                         |                                       |                                          |                        |
| 11/21/14                                                                              | 47,000   | 803.84                               | 03/20/13          | 601.61                  | 0.00                                  | 202.23                                   | Sale                   |
| Security total:                                                                       |          | 2,432.81                             |                   | 1,881.64                | 0.00                                  | 551.17                                   | C                      |
| CBL & ASSOC PPTYS INC COMMON STOCK / CUSIP: 124830100 / Symbol: CBL                   |          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 03/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |                   |                         |                                       |                                          |                        |
| 189,000                                                                               | 3,208.18 | 10/11/12                             | 4,051.80          | 0.00                    | -843.62                               | Sale                                     | C                      |
| 174,000                                                                               | 2,953.57 | 10/12/12                             | 3,728.19          | 0.00                    | -774.62                               | Sale                                     | C                      |
| 363,000                                                                               | 6,161.75 | VARIOUS                              | 7,779.99          | 0.00                    | -1,618.24                             | Total of 2 lots                          |                        |
| 03/24/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| 54,000                                                                                | 956.86   | 10/12/12                             | 1,157.03          | 0.00                    | -200.17                               | Sale                                     | C                      |
| 04/15/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| 15,000                                                                                | 284.39   | 10/12/12                             | 321.39            | 0.00                    | -37.00                                | Sale                                     | C                      |
| 06/09/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| Security total:                                                                       |          | 7,403.00                             |                   | 9,258.41                | 0.00                                  | -1,855.41                                |                        |
| CHESAPEAKE ENERGY CORP OKLA / CUSIP: 165167107 / Symbol: CHK                          |          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 05/06/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |                   |                         |                                       |                                          |                        |
| 55,000                                                                                | 1,558.46 | 03/10/11                             | 1,766.32          | 0.00                    | -207.86                               | Sale                                     | C                      |
| 106,000                                                                               | 3,003.58 | 01/11/12                             | 2,388.95          | 0.00                    | 614.63                                | Sale                                     | C                      |
| 161,000                                                                               | 4,562.04 | VARIOUS                              | 4,155.27          | 0.00                    | 406.77                                | Total of 2 lots                          |                        |
| 05/06/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| 3 tax lots for 05/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |                   |                         |                                       |                                          |                        |
| 104,000                                                                               | 3,016.18 | 01/11/12                             | 2,343.88          | 0.00                    | 672.30                                | Sale                                     | C                      |
| 29,000                                                                                | 841.05   | 02/04/13                             | 908.19            | 0.00                    | -67.14                                | Sale                                     | C                      |
| 34,000                                                                                | 986.06   | 02/04/13                             | 684.76            | 0.00                    | 301.30                                | Sale                                     | C                      |
| 167,000                                                                               | 4,843.29 | VARIOUS                              | 3,936.83          | 0.00                    | 906.46                                | Total of 3 lots                          |                        |
| 05/07/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| Security total:                                                                       |          | 9,405.33                             |                   | 8,092.10                | 0.00                                  | 1,313.23                                 |                        |
| CISCO SYS INC / CUSIP: 17275R102 / Symbol: CSCO                                       |          |                                      |                   |                         |                                       |                                          |                        |
| 03/24/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| 354,000                                                                               | 7,647.82 | 10/10/12                             | 6,541.38          | 0.00                    | 1,106.44                              | Sale                                     | C                      |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |                   |                         |                                       |                                          |                        |
| 10,000                                                                                | 229.13   | 10/10/12                             | 184.79            | 0.00                    | 44.34                                 | Sale                                     | C                      |
| 33,000                                                                                | 756.14   | 02/12/13                             | 692.07            | 0.00                    | 64.07                                 | Sale                                     | C                      |
| 43,000                                                                                | 985.27   | VARIOUS                              | 876.86            | 0.00                    | 108.41                                | Total of 2 lots                          |                        |
| 04/15/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| 21,000                                                                                | 520.37   | 02/12/13                             | 440.40            | 0.00                    | 79.97                                 | Sale                                     | C                      |
| 06/09/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| 29,000                                                                                | 778.46   | 02/12/13                             | 608.18            | 0.00                    | 170.28                                | Sale                                     | C                      |
| 11/21/14                                                                              |          |                                      |                   |                         |                                       |                                          |                        |
| Security total:                                                                       |          | 9,931.92                             |                   | 8,466.82                | 0.00                                  | 1,465.10                                 |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00117

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                          | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 1e- Cost or other basis | 1b- Date acquired | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------|----------|--------------------------|----------------------------------------|-------------------|---------------------------------------|------------------------------------------|------------------------|
| CRANE CO COMMON STOCK / CUSIP: 224399105 / Symbol: CR              | 11,000   | 839.57                   | 611.81                                 | 05/03/13          | 0.00                                  | 227.76                                   | Sale C                 |
| 06/09/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| DU PONT DE NEMOURS COMMON STOCK / CUSIP: 263534109 / Symbol: DD    | 17,000   | 1,138.85                 | 888.47                                 | 03/13/12          | 0.00                                  | 250.38                                   | Sale C                 |
| 04/15/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| TH THIRD BANCORP COMMON STOCK / CUSIP: 316773100 / Symbol: FITB    | 152,000  | 3,280.11                 | 1,951.77                               | 06/07/12          | 0.00                                  | 1,328.34                                 | Sale C                 |
| 04/15/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| FORD MOTOR CO COM NEW / CUSIP: 345370860 / Symbol: F               | 48,000   | 816.64                   | 764.90                                 | 06/03/13          | 0.00                                  | 51.74                                    | Sale C                 |
| 06/09/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| 11/21/14                                                           | 91,000   | 1,402.57                 | 1,450.11                               | 06/03/13          | 47.54 W                               | 0.00                                     | Sale C                 |
| Security total:                                                    |          | 2,219.21                 | 2,215.01                               |                   | 47.54 W                               | 51.74                                    |                        |
| GENL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE                   | 25,000   | 686.07                   | 429.63                                 | 10/28/11          | 0.00                                  | 256.44                                   | Sale C                 |
| 06/09/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| INTEL CORP COMMON STOCK / CUSIP: 458140100 / Symbol: INTC          | 71,000   | 2,399.04                 | 1,562.56                               | 08/15/13          | 0.00                                  | 836.48                                   | Sale C                 |
| 10/29/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| INTL GAME TECHNOLOGY COMMON STOCK / CUSIP: 459902102 / Symbol: IGT | 296,000  | 4,441.85                 | 4,690.98                               | 02/26/13          | 0.00                                  | -249.13                                  | Sale C                 |
| 02/27/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| INTL PAPER CO COMMON STOCK / CUSIP: 460146103 / Symbol: IP         | 16,000   | 770.59                   | 461.28                                 | 06/23/11          | 0.00                                  | 309.31                                   | Sale C                 |
| 06/09/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| 11/21/14                                                           | 41,000   | 2,196.87                 | 1,165.29                               | 06/23/11          | 0.00                                  | 1,031.58                                 | Sale C                 |
| Security total:                                                    |          | 2,967.46                 | 1,626.57                               |                   | 0.00                                  | 1,340.89                                 |                        |
| KROGER COMPANY COMMON STOCK / CUSIP: 501044101 / Symbol: KR        | 17,000   | 750.19                   | 377.39                                 | 09/29/11          | 0.00                                  | 372.80                                   | Sale C                 |
| 04/15/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |
| 06/09/14                                                           | 13,000   | 629.97                   | 288.59                                 | 09/29/11          | 0.00                                  | 341.38                                   | Sale C                 |
| 10/29/14                                                           | 26,000   | 1,417.75                 | 577.17                                 | 09/29/11          | 0.00                                  | 840.58                                   | Sale C                 |
| Security total:                                                    |          | 2,797.91                 | 1,243.15                               |                   | 0.00                                  | 1,554.76                                 |                        |
| MEDTRONIC INC / CUSIP: 585055106 / Symbol: MDT                     | 10,000   | 723.78                   | 371.40                                 | 03/15/11          | 0.00                                  | 352.38                                   | Sale C                 |
| 11/21/14                                                           |          |                          |                                        |                   |                                       |                                          |                        |

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## UBS FINANCIAL SERVICES INC:

Account PF 00117

## Proceeds from Broker and Barter Exchange Transactions

OMB No: 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MERCK & CO INC NEW COM / CUSIP: 58933Y105 / Symbol: MRK                               |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 42,000   | 2,356.57                 | 2,356.57                             | 07/23/12          | 1,815.87                | 0.00                                  | 540.70                                   | Sale                   |
| 11/21/14                                                                              | 13,000   | 773.22                   | 773.22                               | 07/23/12          | 562.06                  | 0.00                                  | 211.16                                   | Sale                   |
| Security total:                                                                       |          | 3,129.79                 | 3,129.79                             |                   | 2,377.93                | 0.00                                  | 751.86                                   |                        |
| NAVIANT CORP COM / CUSIP: 63938C108 / Symbol: NAVI                                    |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/06/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 98,000   | 1,631.40                 | 1,631.40                             | 11/23/12          | 1,065.16                | 0.00                                  | 566.24                                   | Sale                   |
|                                                                                       | 73,000   | 1,215.23                 | 1,215.23                             | 11/26/12          | 785.81                  | 0.00                                  | 429.42                                   | Sale                   |
| 06/06/14                                                                              | 171,000  | 2,846.63                 | 2,846.63                             | VARIOUS           | 1,850.97                | 0.00                                  | 995.66                                   | Total of 2 lots        |
| 06/09/14                                                                              | 13,000   | 217.74                   | 217.74                               | 11/26/12          | 139.94                  | 0.00                                  | 77.80                                    | Sale                   |
| 10/29/14                                                                              | 87,000   | 1,664.55                 | 1,664.55                             | 11/26/12          | 936.51                  | 0.00                                  | 728.04                                   | Sale                   |
| Security total:                                                                       |          | 4,728.92                 | 4,728.92                             |                   | 2,927.42                | 0.00                                  | 1,801.50                                 |                        |
| NEWELL RUBBERMAID INC / CUSIP: 651229106 / Symbol: NWL                                |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 06/09/14                                                                              | 4,000    | 124.99                   | 124.99                               | 03/14/13          | 102.53                  | 0.00                                  | 22.46                                    | Sale                   |
| 3 tax lots for 07/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 97,000   | 3,045.59                 | 3,045.59                             | 03/14/13          | 2,486.47                | 0.00                                  | 559.12                                   | Sale                   |
|                                                                                       | 99,000   | 3,108.38                 | 3,108.38                             | 03/22/13          | 2,502.32                | 0.00                                  | 606.06                                   | Sale                   |
|                                                                                       | 9,000    | 282.58                   | 282.58                               | 03/25/13          | 226.89                  | 0.00                                  | 55.69                                    | Sale                   |
| 07/23/14                                                                              | 205,000  | 6,436.55                 | 6,436.55                             | VARIOUS           | 5,215.68                | 0.00                                  | 1,220.87                                 | Total of 3 lots        |
| 3 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 61,000   | 1,924.34                 | 1,924.34                             | 03/25/13          | 1,537.84                | 0.00                                  | 386.50                                   | Sale                   |
|                                                                                       | 11,000   | 347.02                   | 347.02                               | 04/12/13          | 282.05                  | 0.00                                  | 64.97                                    | Sale                   |
|                                                                                       | 29,000   | 914.85                   | 914.85                               | 04/15/13          | 736.05                  | 0.00                                  | 178.80                                   | Sale                   |
| 07/24/14                                                                              | 101,000  | 3,186.21                 | 3,186.21                             | VARIOUS           | 2,555.94                | 0.00                                  | 630.27                                   | Total of 3 lots        |
| Security total:                                                                       |          | 9,747.75                 | 9,747.75                             |                   | 7,874.15                | 0.00                                  | 1,873.60                                 |                        |
| NORFOLK SOUTHERN CORP / CUSIP: 655844108 / Symbol: NSC                                |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 06/09/14                                                                              | 13,000   | 1,331.78                 | 1,331.78                             | 08/08/12          | 971.37                  | 0.00                                  | 360.41                                   | Sale                   |
| 11/21/14                                                                              | 10,000   | 1,152.70                 | 1,152.70                             | 08/08/12          | 747.21                  | 0.00                                  | 405.49                                   | Sale                   |
| Security total:                                                                       |          | 2,484.48                 | 2,484.48                             |                   | 1,718.58                | 0.00                                  | 765.90                                   |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00117

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| OLD NATL BANCORP EVANSVL IND COMMON STOCK / CUSIP: 680033107 / Symbol: ONB            |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 3 tax lots for 03/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 94.000   | 1,389.17                  | 1,389.17                                 | 03/30/12          | 1,235.14                | 0.00                                  |                        | 154.03 Sale                              |
|                                                                                       | 131.000  | 1,935.96                  | 1,935.96                                 | 04/02/12          | 1,726.37                | 0.00                                  |                        | 209.59 Sale                              |
|                                                                                       | 230.000  | 3,399.03                  | 3,399.03                                 | 04/03/12          | 3,019.37                | 0.00                                  |                        | 379.66 Sale                              |
|                                                                                       | 455.000  | 6,724.16                  | 6,724.16                                 | VARIOUS           | 5,980.88                | 0.00                                  |                        | 743.28 Total of 3 lots                   |
| 03/24/14                                                                              |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 3 tax lots for 03/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 37.000   | 542.98                    | 542.98                                   | 04/03/12          | 485.73                  | 0.00                                  |                        | 57.25 Sale                               |
|                                                                                       | 90.000   | 1,320.76                  | 1,320.76                                 | 04/04/12          | 1,160.96                | 0.00                                  |                        | 159.80 Sale                              |
|                                                                                       | 1.000    | 14.68                     | 14.68                                    | 08/16/12          | 12.67                   | 0.00                                  |                        | 2.01 Sale                                |
|                                                                                       | 128.000  | 1,878.42                  | 1,878.42                                 | VARIOUS           | 1,659.36                | 0.00                                  |                        | 219.06 Total of 3 lots                   |
| 03/26/14                                                                              |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       |          | Security total:           | 8,602.58                                 |                   | 7,640.24                | 0.00                                  |                        | 962.34                                   |
| PNC FINANCIAL SERVICES GROUP / CUSIP: 693475105 / Symbol: PNC                         |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 25.000   | 2,048.70                  | 2,048.70                                 | 07/27/11          | 1,364.99                | 0.00                                  |                        | 683.71 Sale                              |
| 06/09/14                                                                              | 10.000   | 884.88                    | 884.88                                   | 07/27/11          | 545.99                  | 0.00                                  |                        | 338.89 Sale                              |
|                                                                                       |          | Security total:           | 2,933.58                                 |                   | 1,910.98                | 0.00                                  |                        | 1,022.60                                 |
| REPUBLIC SERVICES INC / CUSIP: 760759100 / Symbol: RSG                                |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 06/09/14                                                                              | 5.000    | 181.79                    | 181.79                                   | 05/15/12          | 127.94                  | 0.00                                  |                        | 53.85 Sale                               |
| ROYAL DUTCH SHELL PLC CL A SPON ADR / CUSIP: 780259206 / Symbol: RDSA                 |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 17.000   | 1,270.44                  | 1,270.44                                 | 10/09/12          | 1,174.94                | 0.00                                  |                        | 95.50 Sale                               |
| CORP VTG / CUSIP: 78442P106 / Symbol: SLM                                             |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 3 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 98.000   | 848.05                    | 848.05                                   | 11/23/12          | 596.55                  | 0.00                                  |                        | 251.50 Sale                              |
|                                                                                       | 320.000  | 2,769.16                  | 2,769.16                                 | 11/26/12          | 1,929.22                | 0.00                                  |                        | 839.94 Sale                              |
|                                                                                       | 23.000   | 199.03                    | 199.03                                   | 02/04/13          | 140.84                  | 0.00                                  |                        | 58.19 Sale                               |
|                                                                                       | 441.000  | 3,816.24                  | 3,816.24                                 | VARIOUS           | 2,666.61                | 0.00                                  |                        | 1,149.63 Total of 3 lots                 |
| 05/30/14                                                                              |          |                           |                                          |                   |                         |                                       |                        |                                          |
| STAPLES INC / CUSIP: 855030102 / Symbol: SPLS                                         |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 2 tax lots for 03/11/14. Total proceeds (and cost when required) reported to the IRS  |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 351.000  | 4,096.83                  | 4,096.83                                 | 03/22/12          | 5,828.50                | 0.00                                  |                        | -1,731.67 Sale                           |

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UBS FINANCIAL SERVICES INC.

Account: PF 00117

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (cont'd) | 1d- Proceeds & 1d- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|----------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| STAPLES INC / CUSIP: 855030102 / Symbol: SPLS (cont'd)                                | 220.000  |                      | 2,567.82                                 | 06/11/12          | 2,780.80                | 0.00                                  |                        | -212.98 Sale                             |
| 03/11/14                                                                              | 571.000  |                      | 6,664.65                                 | VARIOUS           | 8,609.30                | 0.00                                  |                        | -1,944.65 Total of 2 lots                |
| TRINITY INDUSTRIES INC COMMON STOCK / CUSIP: 896522109 / Symbol: TRN                  |          |                      |                                          |                   |                         |                                       |                        |                                          |
| 2 tax lots for 05/16/14 Total proceeds (and cost when required) reported to the IRS.  |          |                      |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 12.000   |                      | 966.37                                   | 05/07/13          | 506.02                  | 0.00                                  |                        | 460.35 Sale                              |
|                                                                                       | 10.000   |                      | 805.30                                   | 05/08/13          | 425.96                  | 0.00                                  |                        | 379.34 Sale                              |
| 05/16/14                                                                              | 22.000   |                      | 1,771.67                                 | VARIOUS           | 931.98                  | 0.00                                  |                        | 839.69 Total of 2 lots                   |
| 2 tax lots for 06/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                      |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 15.000   |                      | 1,247.08                                 | 05/08/13          | 638.94                  | 0.00                                  |                        | 608.14 Sale                              |
|                                                                                       | 3.000    |                      | 249.41                                   | 05/30/13          | 124.01                  | 0.00                                  |                        | 125.40 Sale                              |
| 06/09/14                                                                              | 18.000   |                      | 1,496.49                                 | VARIOUS           | 762.95                  | 0.00                                  |                        | 733.54 Total of 2 lots                   |
| Security total:                                                                       |          |                      | 3,268.16                                 |                   | 1,694.93                | 0.00                                  |                        | 1,573.23                                 |
| UGI CORP NEW COMMON STOCK / CUSIP: 902681105 / Symbol: UGI                            |          |                      |                                          |                   |                         |                                       |                        |                                          |
| 11/19/14                                                                              | 27.500   |                      | 1,024.10                                 | 04/12/11          | 578.79                  | 0.00                                  |                        | 445.31 Sale                              |
| 2 tax lots for 11/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                      |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 76.000   |                      | 2,806.60                                 | 04/12/11          | 1,599.58                | 0.00                                  |                        | 1,207.02 Sale                            |
|                                                                                       | 159.000  |                      | 5,871.69                                 | 04/19/12          | 2,811.18                | 0.00                                  |                        | 3,060.51 Sale                            |
| 11/20/14                                                                              | 235.000  |                      | 8,678.29                                 | VARIOUS           | 4,410.76                | 0.00                                  |                        | 4,267.53 Total of 2 lots                 |
| Security total:                                                                       |          |                      | 9,702.39                                 |                   | 4,989.55                | 0.00                                  |                        | 4,712.84                                 |
| VERITIV CORP COM / CUSIP: 923454102 / Symbol: VRTV                                    |          |                      |                                          |                   |                         |                                       |                        |                                          |
| 07/02/14                                                                              | 0.590    |                      | 21.38                                    | 06/23/11          | 12.59                   | 0.00                                  |                        | 8.79 Sale                                |
| 2 tax lots for 07/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                      |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 1.666    |                      | 64.07                                    | 06/23/11          | 35.55                   | 0.00                                  |                        | 28.52 Sale                               |
|                                                                                       | 4.684    |                      | 180.13                                   | 01/27/12          | 107.48                  | 0.00                                  |                        | 72.65 Sale                               |
| 07/16/14                                                                              | 6.350    |                      | 244.20                                   | VARIOUS           | 143.03                  | 0.00                                  |                        | 101.17 Total of 2 lots                   |
| Security total:                                                                       |          |                      | 265.58                                   |                   | 155.62                  | 0.00                                  |                        | 109.96                                   |
| WELLS FARGO & CO NEW / CUSIP: 949746101 / Symbol: WFC                                 |          |                      |                                          |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 50.000   |                      | 2,434.95                                 | 08/08/11          | 1,227.37                | 0.00                                  |                        | 1,207.58 Sale                            |

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## UBS FINANCIAL SERVICES INC.

Account PF 00117

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & Code(s), if any | 7- Loss or loss(-) & Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------------------------------------------|------------------------|
| WELLS FARGO & CO NEW / CUSIP: 949746101 / Symbol: WFC (cont'd)                        | 17,000   | 892.31                   | 3,327.26                             | 08/08/11          | 417.30                  | 0.00                              | 475.01                                                     | Sale                   |
| Security total:                                                                       |          |                          |                                      |                   | 1,644.67                | 0.00                              | 1,682.59                                                   |                        |
| YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP: 98462Y100 / Symbol: AUJ                    |          |                          |                                      |                   |                         |                                   |                                                            |                        |
| 4 tax lots for 11/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                                                            |                        |
|                                                                                       | 39,000   | 150.34                   |                                      | 02/04/13          | 641.80                  | 0.00                              | -491.46                                                    | Sale                   |
|                                                                                       | 100,000  | 385.48                   |                                      | 03/13/13          | 1,423.39                | 0.00                              | -1,037.91                                                  | Sale                   |
|                                                                                       | 270,000  | 1,040.80                 |                                      | 04/23/13          | 3,127.65                | 0.00                              | -2,086.85                                                  | Sale                   |
|                                                                                       | 369,000  | 1,422.43                 |                                      | 10/03/13          | 3,667.82                | 0.00                              | -2,245.39                                                  | Sale                   |
| 11/10/14                                                                              | 778,000  | 2,999.05                 |                                      | VARIOUS           | 8,860.66                | 0.00                              | -5,861.61                                                  | Total of 4 lots        |
| ZIMMER HOLDINGS INC / CUSIP: 98956P102 / Symbol: ZMH                                  |          |                          |                                      |                   |                         |                                   |                                                            |                        |
| 06/09/14                                                                              | 15,000   | 1,601.76                 |                                      | 02/23/12          | 921.12                  | 0.00                              | 680.64                                                     | Sale                   |
| Totals:                                                                               |          | 146,018.56               |                                      |                   | 116,247.11              | 47.54 W                           | 29,818.99                                                  |                        |

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                               | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | 7- Loss or loss(-) & Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---------------------------------------------------------|----------|--------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------------------------|------------------------|
| ALL STATE CORP CLBL / CUSIP: 020002101 / Symbol: ALL    |          |                          |                                      |               |                     |                               |                                                            |                        |
| 06/09/14                                                | 23,000   | 1,360.04                 |                                      | 10/06/06      | 1,451.95            | 0.00                          | -91.91                                                     | Sale                   |
| 10/29/14                                                | 27,000   | 1,701.77                 |                                      | 10/06/06      | 1,704.46            | 0.00                          | -2.69                                                      | Sale                   |
| 11/21/14                                                | 14,000   | 943.16                   |                                      | 10/06/06      | 883.79              | 0.00                          | 59.37                                                      | Sale                   |
| Security total:                                         |          | 4,004.97                 |                                      |               | 4,040.20            | 0.00                          | -35.23                                                     |                        |
| CIGNA CORP COMMON STOCK / CUSIP: 125509109 / Symbol: CI |          |                          |                                      |               |                     |                               |                                                            |                        |
| 02/21/14                                                | 112,000  | 8,724.21                 |                                      | 12/09/10      | 4,185.79            | 0.00                          | 4,538.42                                                   | Sale                   |
| 06/09/14                                                | 21,000   | 1,884.50                 |                                      | 12/09/10      | 784.83              | 0.00                          | 1,099.67                                                   | Sale                   |
| 11/21/14                                                | 12,000   | 1,224.81                 |                                      | 12/09/10      | 448.48              | 0.00                          | 776.33                                                     | Sale                   |
| Security total:                                         |          | 11,833.52                |                                      |               | 5,419.10            | 0.00                          | 6,414.42                                                   |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00117

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|-------------------------|-------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| CONOCOPHILLIPS / CUSIP: 20825C104 / Symbol: COP                                       | 15.000          |                         | 1,211.22                            | 10/06/06      | 660.07              | 0.00                          | 551.15                                   | Sale C                 |
| HOSPITALITY PROPERTIES TRUST SBI / CUSIP: 44106M102 / Symbol: HPT                     |                 |                         |                                     |               |                     |                               |                                          |                        |
| 3 tax lots for 03/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 44.000          |                         | 1,235.44                            | 12/13/07      | 1,566.61            | 0.00                          | -331.17                                  | Sale C                 |
|                                                                                       | 175.000         |                         | 4,913.70                            | 08/08/08      | 3,922.60            | 0.00                          | 991.10                                   | Sale C                 |
|                                                                                       | 95.000          |                         | 2,667.44                            | 07/22/10      | 1,917.17            | 0.00                          | 750.27                                   | Sale C                 |
| 03/24/14                                                                              | 314.000         |                         | 8,816.58                            | VARIOUS       | 7,406.38            | 0.00                          | 1,410.20                                 | Total of 3 lots        |
| INGREDION INC COM / CUSIP: 457187102 / Symbol: INGR                                   |                 |                         |                                     |               |                     |                               |                                          |                        |
| 06/09/14                                                                              | 30.000          |                         | 2,313.47                            | 11/30/07      | 1,170.78            | 0.00                          | 1,142.69                                 | Sale C                 |
| 11/21/14                                                                              | 17.000          |                         | 1,399.30                            | 11/30/07      | 663.44              | 0.00                          | 735.86                                   | Sale C                 |
|                                                                                       | Security total: |                         | 3,712.77                            |               | 1,834.22            | 0.00                          | 1,878.55                                 |                        |
| JPMORGAN CHASE & CO / CUSIP: 46625H100 / Symbol: JPM                                  |                 |                         |                                     |               |                     |                               |                                          |                        |
| 10/29/14                                                                              | 28.000          |                         | 1,651.96                            | 10/11/10      | 1,115.98            | 0.00                          | 535.98                                   | Sale C                 |
| MEDTRONIC INC / CUSIP: 585055106 / Symbol: MDT                                        |                 |                         |                                     |               |                     |                               |                                          |                        |
| 06/09/14                                                                              | 14.000          |                         | 870.64                              | 11/16/09      | 558.52              | 0.00                          | 312.12                                   | Sale C                 |
| 10/29/14                                                                              | 28.000          |                         | 1,876.93                            | 11/16/09      | 1,117.05            | 0.00                          | 759.88                                   | Sale C                 |
| 11/21/14                                                                              | 6.000           |                         | 434.27                              | 11/16/09      | 239.37              | 0.00                          | 194.90                                   | Sale C                 |
|                                                                                       | Security total: |                         | 3,181.84                            |               | 1,914.94            | 0.00                          | 1,266.90                                 |                        |
| MICROSOFT CORP / CUSIP: 594918104 / Symbol: MSFT                                      |                 |                         |                                     |               |                     |                               |                                          |                        |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 24.000          |                         | 957.67                              | 01/27/09      | 425.05              | 0.00                          | 532.62                                   | Sale C                 |
|                                                                                       | 26.000          |                         | 1,037.48                            | 01/28/09      | 469.76              | 0.00                          | 567.72                                   | Sale C                 |
| 04/15/14                                                                              | 50.000          |                         | 1,995.15                            | VARIOUS       | 894.81              | 0.00                          | 1,100.34                                 | Total of 2 lots        |
| 06/09/14                                                                              | 4.000           |                         | 164.79                              | 01/28/09      | 72.27               | 0.00                          | 92.52                                    | Sale C                 |
| 10/29/14                                                                              | 62.000          |                         | 2,881.90                            | 01/28/09      | 1,120.21            | 0.00                          | 1,761.69                                 | Sale C                 |
|                                                                                       | Security total: |                         | 5,041.84                            |               | 2,087.29            | 0.00                          | 2,954.55                                 |                        |
| NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 666807102 / Symbol: NOC                   |                 |                         |                                     |               |                     |                               |                                          |                        |
| 02/19/14                                                                              | 5.000           |                         | 601.36                              | 02/20/09      | 200.88              | 0.00                          | 400.48                                   | Sale C                 |
| 04/15/14                                                                              | 8.000           |                         | 950.86                              | 02/20/09      | 321.41              | 0.00                          | 629.45                                   | Sale C                 |

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UBS FINANCIAL SERVICES INC.

Account PF 00117

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & 6- Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-----------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| NORTHROP GRUMMAN CORP COMMON STOCK / CUSIP: 66807102 / Symbol: NOC (cont'd)           | 18.000   | 2,439.36                                | 02/20/09      | 723.17              | 0.00                          | 1,716.19                                 | Sale                   |
| Security total:                                                                       |          | 3,991.58                                |               | 1,245.46            | 0.00                          | 2,746.12                                 | C                      |
| REINSURANCE GROUP AMER INC NEW / CUSIP: 759351604 / Symbol: RGA                       | 13.000   | 1,010.94                                | 10/06/06      | 687.65              | 0.00                          | 323.29                                   | Sale                   |
| ROYAL DUTCH SHELL PLC CL A SPON ADR / CUSIP: 780259206 / Symbol: RDSA                 | 1.000    | 74.73                                   | 07/22/10      | 55.84               | 0.00                          | 18.89                                    | Sale                   |
| TOTAL S.A. FRANCE SPON ADR / CUSIP: 89151E109 / Symbol: TOT                           | 40.000   | 2,710.34                                | 08/11/09      | 2,136.09            | 0.00                          | 574.25                                   | Sale                   |
| Security total:                                                                       | 4.000    | 282.71                                  | 08/11/09      | 213.61              | 0.00                          | 69.10                                    | Sale                   |
|                                                                                       |          | 2,993.05                                |               | 2,349.70            | 0.00                          | 643.35                                   | C                      |
| UGI CORP NEW COMMON STOCK / CUSIP: 902681105 / Symbol: UGI                            | 36.000   | 1,640.12                                | 10/06/06      | 885.86              | 0.00                          | 754.26                                   | Sale                   |
| Security total:                                                                       | 18.000   | 887.09                                  | 10/06/06      | 442.93              | 0.00                          | 444.16                                   | Sale                   |
|                                                                                       | 0.500    | 17.94                                   | 10/06/06      | 8.20                | 0.00                          | 9.74                                     | Sale                   |
| 2 tax lots for 10/29/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |               |                     |                               |                                          |                        |
| 50.500                                                                                | 1,882.26 | 828.45                                  | 10/06/06      | 1,053.81            | 0.00                          | 828.45                                   | Sale                   |
| 33.500                                                                                | 1,248.62 | 601.76                                  | 04/16/10      | 646.86              | 0.00                          | 601.76                                   | Sale                   |
| 84.000                                                                                | 3,130.88 | 1,430.21                                | VARIOUS       | 1,700.67            | 0.00                          | 1,700.67                                 | Total of 2 lots        |
| Security total:                                                                       | 236.500  | 8,807.22                                | 04/16/10      | 4,248.25            | 0.00                          | 4,558.97                                 | Sale                   |
|                                                                                       |          | 14,483.25                               |               | 7,015.45            | 0.00                          | 7,467.80                                 | C                      |
| XEROX CORP COMMON STOCK / CUSIP: 984121103 / Symbol: XRX                              |          |                                         |               |                     |                               |                                          |                        |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |               |                     |                               |                                          |                        |
| 35.000                                                                                | 394.55   | 527.41                                  | 03/27/08      | -132.86             | 0.00                          | -132.86                                  | Sale                   |
| 75.000                                                                                | 845.45   | 1,124.45                                | 03/31/08      | -279.00             | 0.00                          | -279.00                                  | Sale                   |
| 110.000                                                                               | 1,240.00 | 1,651.86                                | VARIOUS       | -411.86             | 0.00                          | -411.86                                  | Total of 2 lots        |
| Security total:                                                                       | 160.000  | 2,066.35                                | 03/31/08      | 2,398.83            | 0.00                          | -332.48                                  | Sale                   |
|                                                                                       | 78.000   | 1,057.16                                | 03/31/08      | 1,169.43            | 0.00                          | -112.27                                  | Sale                   |
|                                                                                       |          | 4,363.51                                |               | 5,220.12            | 0.00                          | -856.61                                  | C                      |

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UBS FINANCIAL SERVICES INC.

Account PF 00117

2014 1099-B

OMB No. 1545-0715

# **Proceeds from Broker and Barter Exchange Transactions**

(continued)

## **LONG-TERM TRANSACTIONS**

## **5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)Gross (N)et | 1d- Proceeds & 6- Reported (G)Gross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|-----------------|----------------------------|-------------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| YAMANA GOLD INC FOREIGN STOCK CAD / CUSIP: 98462Y100 / Symbol: AUY                    |                 |                            |                                           |               |                     |                               |                                          |                        |
| 2 tax lots for 03/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                                           |               |                     |                               |                                          |                        |
|                                                                                       | 250.000         | 2,272.30                   | 1,921.62                                  | 04/22/09      | 1,921.62            | 0.00                          | 350.68                                   | Sale C                 |
|                                                                                       | 499.000         | 4,535.51                   | 3,953.12                                  | 04/23/09      | 3,953.12            | 0.00                          | 582.39                                   | Sale C                 |
| 03/24/14                                                                              | 749.000         | 6,807.81                   | 5,874.74                                  | VARIOUS       | 5,874.74            | 0.00                          | 933.07                                   | Total of 2 lots        |
| 2 tax lots for 11/10/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                                           |               |                     |                               |                                          |                        |
|                                                                                       | 45.000          | 177.32                     | 364.42                                    | 04/23/09      | 364.42              | 0.00                          | -187.10                                  | Sale C                 |
|                                                                                       | 245.000         | 944.43                     | 2,427.80                                  | 04/16/10      | 2,427.80            | 0.00                          | -1,483.37                                | Sale C                 |
| 11/10/14                                                                              | 291.000         | 1,121.75                   | 2,792.22                                  | VARIOUS       | 2,792.22            | 0.00                          | -1,670.47                                | Total of 2 lots        |
|                                                                                       | Security total: | 7,929.56                   | 8,666.96                                  |               | 8,666.96            | 0.00                          | -737.40                                  |                        |
|                                                                                       | Totals:         | 74,301.32                  | 49,719.36                                 |               | 49,719.36           | 0.00                          | 24,581.96                                |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00118

## Proceeds from Broker and Barter Exchange Transactions

2014-1099-B\*

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (G)/gross (N)/net | 1d- Proceeds & Reported (G)/gross (N)/net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|-------------------------------|-------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BELLE INTL HLDGS LTD ADR / CUSIP: 078454105 / Symbol: BELLY                         |          |                               |                                           |                   |                         |                                       |                                          |                        |
| 3 tax lots for 03/20/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                                          |                        |
|                                                                                     | 17,000   | 162.07                        | 291.97                                    | 04/11/13          | 0.00                    |                                       | -129.90                                  | Sale                   |
|                                                                                     | 25,000   | 238.35                        | 430.76                                    | 04/12/13          | 0.00                    |                                       | -192.41                                  | Sale                   |
|                                                                                     | 3,000    | 28.60                         | 50.76                                     | 04/15/13          | 0.00                    |                                       | -22.16                                   | Sale                   |
| 03/20/14                                                                            | 45,000   | 429.02                        | 773.49                                    | VARIOUS           | 0.00                    |                                       | -344.47                                  | Total of 3 lots        |
| 4 tax lots for 03/21/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                                          |                        |
|                                                                                     | 24,000   | 225.95                        | 406.06                                    | 04/15/13          | 0.00                    |                                       | -180.11                                  | Sale                   |
|                                                                                     | 15,000   | 141.22                        | 251.09                                    | 04/16/13          | 0.00                    |                                       | -109.87                                  | Sale                   |
|                                                                                     | 16,000   | 150.64                        | 265.92                                    | 04/17/13          | 0.00                    |                                       | -115.28                                  | Sale                   |
|                                                                                     | 20,000   | 188.29                        | 328.70                                    | 04/18/13          | 0.00                    |                                       | -140.41                                  | Sale                   |
| 03/21/14                                                                            | 75,000   | 706.10                        | 1,251.77                                  | VARIOUS           | 0.00                    |                                       | -545.67                                  | Total of 4 lots        |
| 4 tax lots for 03/24/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                                          |                        |
|                                                                                     | 8,000    | 78.67                         | 131.48                                    | 04/18/13          | 0.00                    |                                       | -52.81                                   | Sale                   |
|                                                                                     | 19,000   | 186.85                        | 307.36                                    | 04/19/13          | 0.00                    |                                       | -120.51                                  | Sale                   |
|                                                                                     | 17,000   | 167.18                        | 277.51                                    | 04/22/13          | 0.00                    |                                       | -110.33                                  | Sale                   |
|                                                                                     | 11,000   | 108.17                        | 173.75                                    | 04/23/13          | 0.00                    |                                       | -65.58                                   | Sale                   |
| 03/24/14                                                                            | 55,000   | 540.87                        | 890.10                                    | VARIOUS           | 0.00                    |                                       | -349.23                                  | Total of 4 lots        |
| 3 tax lots for 03/25/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                                          |                        |
|                                                                                     | 10,000   | 98.49                         | 157.96                                    | 04/23/13          | 0.00                    |                                       | -59.47                                   | Sale                   |
|                                                                                     | 40,000   | 393.94                        | 641.90                                    | 05/21/13          | 0.00                    |                                       | -247.96                                  | Sale                   |
|                                                                                     | 10,000   | 98.49                         | 160.02                                    | 05/22/13          | 0.00                    |                                       | -61.53                                   | Sale                   |
| 03/25/14                                                                            | 60,000   | 590.92                        | 959.88                                    | VARIOUS           | 0.00                    |                                       | -368.96                                  | Total of 3 lots        |
| 3 tax lots for 03/26/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                                          |                        |
|                                                                                     | 35,000   | 338.53                        | 560.06                                    | 05/22/13          | 0.00                    |                                       | -221.53                                  | Sale                   |
| 3 tax lots for 03/27/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                                          |                        |
|                                                                                     | 17,000   | 165.09                        | 272.03                                    | 05/22/13          | 0.00                    |                                       | -106.94                                  | Sale                   |
|                                                                                     | 2,000    | 19.42                         | 30.70                                     | 05/28/13          | 0.00                    |                                       | -11.28                                   | Sale                   |
|                                                                                     | 16,000   | 155.38                        | 241.89                                    | 05/28/13          | 0.00                    |                                       | -86.51                                   | Sale                   |
| 03/27/14                                                                            | 35,000   | 339.89                        | 544.62                                    | VARIOUS           | 0.00                    |                                       | -204.73                                  | Total of 3 lots        |
| 6 tax lots for 03/28/14 Total proceeds (and cost when required) reported to the IRS |          |                               |                                           |                   |                         |                                       |                                          |                        |
|                                                                                     | 3,000    | 29.66                         | 45.35                                     | 05/28/13          | 0.00                    |                                       | -15.69                                   | Sale                   |
|                                                                                     | 5,000    | 49.43                         | 77.55                                     | 05/29/13          | 0.00                    |                                       | -28.12                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00118

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a-Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BELLE INTL HLDGS LTD ADR / CUSIP: 078454105 / Symbol: BELLY (cont'd)                  | 11 000   | 108 76                   | 108 76                               | 05/30/13          | 170 45                  | 0 00                                  | -61.69                                   | Sale                   |
|                                                                                       | 2 000    | 19 77                    | 19 77                                | 05/31/13          | 30 76                   | 0 00                                  | -10 99                                   | Sale                   |
|                                                                                       | 10 000   | 98 87                    | 98 87                                | 06/03/13          | 153 13                  | 0 00                                  | -54 26                                   | Sale                   |
|                                                                                       | 4 000    | 39 55                    | 39 55                                | 06/05/13          | 60 70                   | 0 00                                  | -21 15                                   | Sale                   |
| 03/28/14                                                                              | 35 000   | 346 04                   | 346 04                               | VARIOUS           | 537 94                  | 0 00                                  | -191 90                                  | Total of 6 lots        |
| 2 tax lots for 03/31/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 13 000   | 128 19                   | 128 19                               | 06/05/13          | 197 27                  | 0 00                                  | -69 08                                   | Sale                   |
|                                                                                       | 7 000    | 69 02                    | 69 02                                | 06/06/13          | 104 89                  | 0 00                                  | -35 87                                   | Sale                   |
| 03/31/14                                                                              | 20 000   | 197 21                   | 197 21                               | VARIOUS           | 302 16                  | 0 00                                  | -104 95                                  | Total of 2 lots        |
| 4 tax lots for 04/01/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 7 000    | 69 05                    | 69 05                                | 06/06/13          | 104 89                  | 0 00                                  | -35 84                                   | Sale                   |
|                                                                                       | 16 000   | 157 82                   | 157 82                               | 06/07/13          | 245 53                  | 0 00                                  | -87 71                                   | Sale                   |
|                                                                                       | 9 000    | 88 78                    | 88 78                                | 06/10/13          | 137 76                  | 0 00                                  | -48 98                                   | Sale                   |
|                                                                                       | 6 000    | 59 18                    | 59 18                                | 06/11/13          | 89 24                   | 0 00                                  | -30 06                                   | Sale                   |
| 04/01/14                                                                              | 38 000   | 374 83                   | 374 83                               | VARIOUS           | 577 42                  | 0 00                                  | -202 59                                  | Total of 4 lots        |
|                                                                                       |          | 3,863.41                 | 3,863.41                             |                   | 6,397.44                | 0 00                                  | -2,534.03                                |                        |
| Security total:                                                                       |          |                          |                                      |                   |                         |                                       |                                          |                        |
| DEUTSCHE TELEKOM AG DE SPON ADR / CUSIP: 251566105 / Symbol: DTEGY                    | 62 000   | 1,058 14                 | 1,058 14                             | 08/12/13          | 808 66                  | 0 00                                  | 249 48                                   | Sale                   |
| 05/19/14                                                                              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 05/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 40 000   | 677 43                   | 677 43                               | 08/12/13          | 521 71                  | 0 00                                  | 155 72                                   | Sale                   |
|                                                                                       | 40 000   | 677 43                   | 677 43                               | 08/13/13          | 529 16                  | 0 00                                  | 148 27                                   | Sale                   |
| 5/20/14                                                                               | 80 000   | 1,354 86                 | 1,354 86                             | VARIOUS           | 1,050 87                | 0 00                                  | 303 99                                   | Total of 2 lots        |
| 4 tax lots for 05/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 54 000   | 910 08                   | 910 08                               | 08/13/13          | 714 35                  | 0 00                                  | 195 73                                   | Sale                   |
|                                                                                       | 58 000   | 962 24                   | 962 24                               | 08/13/13          | 767 28                  | 0 00                                  | 194 96                                   | Sale                   |
|                                                                                       | 194 000  | 3,218 52                 | 3,218 52                             | 08/14/13          | 2,559 60                | 0 00                                  | 658 92                                   | Sale                   |
|                                                                                       | 38 000   | 630 43                   | 630 43                               | 08/15/13          | 500 26                  | 0 00                                  | 130 17                                   | Sale                   |
| 05/21/14                                                                              | 344 000  | 5,721 27                 | 5,721 27                             | VARIOUS           | 4,541 49                | 0 00                                  | 1,179 78                                 | Total of 4 lots        |
| 05/27/14                                                                              | 18 000   | 301 31                   | 301 31                               | 08/15/13          | 236 96                  | 0 00                                  | 64 35                                    | Sale                   |
|                                                                                       |          | 8,435.58                 | 8,435.58                             |                   | 6,637.98                | 0 00                                  | 1,797 60                                 |                        |
| Security total:                                                                       |          |                          |                                      |                   |                         |                                       |                                          |                        |

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**UBS FINANCIAL SERVICES INC.**

2014 1099-B\*

### Proceeds from Broker and Barter Exchange Transactions

**(continued)**

Account PF 00118

OMB No: 1545-0715

## 2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

11a- Description of property/CUSIP/Symbol

**5- COVERED tax lots 3- Basis is reported to the IRS\*\***

| 1c- Date sold or disposed                                   | Quantity | 6- Reported (Gross (N)et | 1d- Proceeds & acquired | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-------------------------------------------------------------|----------|--------------------------|-------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| TOTAL S.A. FRANCE SPON ADR / CUSIP: 89151E109 / Symbol: TOT |          |                          |                         |                   |                         |                                       |                        |                                          |
| 12/16/14                                                    | 18.000   |                          | 883.61                  | 02/14/14          | 1,101.62                | 0.00                                  | -218.01                | Sale                                     |
| <b>Totals:</b>                                              |          |                          | <b>13,182.60</b>        |                   | <b>14,137.04</b>        | <b>0.00</b>                           | <b>-954.44</b>         |                                          |

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

11a- Description of property/CUSIP/Symbol

**5- COVERED tax lots 3- Basis is reported to the IRS\*\***

| 1c- Date sold or disposed                                  | Quantity                                                                             | 6- Reported (Gross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ALLIANZ SE ADR / CUSIP: 018805101 / Symbol: AZSEY          | 92,000                                                                               | 1,493.27                 | 02/26/13          | 1,242.26                | 0.00                                  | 251.01                 | Sale                                     |
| AMADEUS IT HLDG S A ADR / CUSIP: 02263T104 / Symbol: AMADY | 4,000                                                                                | 167.53                   | 11/28/11          | 64.65                   | 0.00                                  | 102.88                 | Sale                                     |
| 01/02/14                                                   | 6,000                                                                                | 248.73                   | 11/28/11          | 96.98                   | 0.00                                  | 151.75                 | Sale                                     |
| 01/03/14                                                   | 6,000                                                                                | 251.39                   | 11/28/11          | 96.97                   | 0.00                                  | 154.42                 | Sale                                     |
| 01/06/14                                                   |                                                                                      |                          |                   |                         |                                       |                        |                                          |
|                                                            | 2 tax lots for 01/07/14 Total proceeds (and cost when required) reported to the IRS  |                          |                   |                         |                                       |                        |                                          |
|                                                            | 4,000                                                                                | 166.44                   | 11/28/11          | 64.65                   | 0.00                                  | 101.79                 | Sale                                     |
| 01/07/14                                                   | 3,000                                                                                | 124.83                   | 11/29/11          | 48.31                   | 0.00                                  | 76.52                  | Sale                                     |
|                                                            | 7,000                                                                                | 291.27                   | VARIOUS           | 112.96                  | 0.00                                  | 178.31                 | Total of 2 lots                          |
| 01/08/14                                                   | 18,000                                                                               | 744.25                   | 11/29/11          | 289.85                  | 0.00                                  | 454.40                 | Sale                                     |
|                                                            | 2 tax lots for 02/26/14 Total proceeds (and cost when required) reported to the IRS. |                          |                   |                         |                                       |                        |                                          |
|                                                            | 12,000                                                                               | 520.21                   | 11/29/11          | 193.23                  | 0.00                                  | 326.98                 | Sale                                     |
|                                                            | 2,000                                                                                | 86.70                    | 11/30/11          | 33.74                   | 0.00                                  | 52.96                  | Sale                                     |
| 02/26/14                                                   | 14,000                                                                               | 606.91                   | VARIOUS           | 226.97                  | 0.00                                  | 379.94                 | Total of 2 lots                          |
|                                                            | 3 tax lots for 02/27/14 Total proceeds (and cost when required) reported to the IRS  |                          |                   |                         |                                       |                        |                                          |
|                                                            | 7,000                                                                                | 300.04                   | 11/30/11          | 118.07                  | 0.00                                  | 181.97                 | Sale                                     |
|                                                            | 12,000                                                                               | 514.34                   | 11/30/11          | 193.25                  | 0.00                                  | 321.09                 | Sale                                     |
|                                                            | 4,000                                                                                | 171.45                   | 12/01/11          | 68.16                   | 0.00                                  | 103.29                 | Sale                                     |
| 02/27/14                                                   | 23,000                                                                               | 985.83                   | VARIOUS           | 379.48                  | 0.00                                  | 606.35                 | Total of 3 lots                          |
|                                                            | Security total:                                                                      |                          |                   |                         |                                       |                        |                                          |
|                                                            |                                                                                      | 3,295.91                 |                   | 1,267.86                | 0.00                                  | 2,028.05               |                                          |

**Security total:**

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For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account: PF 00118

## Proceeds from Broker and Barter Exchange Transactions

2014 1999-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 9949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| AMCOR LTD NEW AU ADR / CUSIP: 02341R302 / Symbol: AMCRY                              |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 12/12/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 9 000    | 385.43                  |                                     | 11/13/13          | 368.30                  | 0.00                                  | 17.13                                    | Sale                   |
|                                                                                      | 1 000    | 42.82                   |                                     | 11/14/13          | 41.43                   | 0.00                                  | 1.39                                     | Sale                   |
| 12/12/14                                                                             | 10 000   | 428.25                  |                                     | VARIOUS           | 409.73                  | 0.00                                  | 18.52                                    | Total of 2 lots        |
| 2 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 9 000    | 383.81                  |                                     | 11/14/13          | 372.83                  | 0.00                                  | 10.98                                    | Sale                   |
|                                                                                      | 6 000    | 255.87                  |                                     | 11/15/13          | 251.15                  | 0.00                                  | 4.72                                     | Sale                   |
| 12/16/14                                                                             | 15 000   | 639.68                  |                                     | VARIOUS           | 623.98                  | 0.00                                  | 15.70                                    | Total of 2 lots        |
| 3 tax lots for 12/17/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 3 000    | 128.12                  |                                     | 11/15/13          | 125.58                  | 0.00                                  | 2.54                                     | Sale                   |
|                                                                                      | 10 000   | 427.07                  |                                     | 11/18/13          | 418.67                  | 0.00                                  | 8.40                                     | Sale                   |
|                                                                                      | 2 000    | 85.41                   |                                     | 11/19/13          | 83.46                   | 0.00                                  | 1.95                                     | Sale                   |
| 12/17/14                                                                             | 15 000   | 640.60                  |                                     | VARIOUS           | 627.71                  | 0.00                                  | 12.89                                    | Total of 3 lots        |
| 2 tax lots for 12/19/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 8 000    | 339.16                  |                                     | 11/19/13          | 333.84                  | 0.00                                  | 5.32                                     | Sale                   |
|                                                                                      | 4 000    | 169.58                  |                                     | 11/20/13          | 166.99                  | 0.00                                  | 2.59                                     | Sale                   |
| 12/19/14                                                                             | 12 000   | 508.74                  |                                     | VARIOUS           | 500.83                  | 0.00                                  | 7.91                                     | Total of 2 lots        |
|                                                                                      |          | 2,217.27                |                                     |                   | 2,162.25                | 0.00                                  | 55.02                                    |                        |
| Security total                                                                       |          |                         |                                     |                   |                         |                                       |                                          |                        |
| BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR / CUSIP: 056752108 / Symbol: BIDU        |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 5 tax lots for 01/02/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 1 000    | 180.37                  |                                     | 07/17/12          | 101.96                  | 0.00                                  | 78.41                                    | Sale                   |
|                                                                                      | 2 000    | 360.74                  |                                     | 07/24/12          | 234.06                  | 0.00                                  | 126.68                                   | Sale                   |
|                                                                                      | 3 000    | 539.99                  |                                     | 07/24/12          | 351.09                  | 0.00                                  | 188.90                                   | Sale                   |
|                                                                                      | 4 000    | 721.79                  |                                     | 07/24/12          | 468.12                  | 0.00                                  | 253.67                                   | Sale                   |
|                                                                                      | 2 000    | 360.89                  |                                     | 08/30/12          | 223.96                  | 0.00                                  | 136.93                                   | Sale                   |
| 01/02/14                                                                             | 12 000   | 2,163.78                |                                     | VARIOUS           | 1,379.19                | 0.00                                  | 784.59                                   | Total of 5 lots        |
| 5 tax lots for 07/25/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 1 000    | 220.06                  |                                     | 08/30/12          | 111.98                  | 0.00                                  | 108.08                                   | Sale                   |
|                                                                                      | 2 000    | 440.11                  |                                     | 08/30/12          | 224.00                  | 0.00                                  | 216.11                                   | Sale                   |
|                                                                                      | 2 000    | 440.11                  |                                     | 08/31/12          | 222.62                  | 0.00                                  | 217.49                                   | Sale                   |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF00118

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity        | 6- Reported Gross (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Gain or loss(-) & Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------|-----------------|-------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BAIDU INC ADS REPSNTG CL A ORD SHS SPON ADR / CUSIP: 056752108 / Symbol: BIDU (cont'd) |                 |                         |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 6 000           | 1,321.83                | 1,321.83                              | 08/31/12          | 667.87                  | 0.00                                  | 653.96                                   | Sale                   |
|                                                                                        | 2 000           | 440.61                  | 440.61                                | 10/09/12          | 212.71                  | 0.00                                  | 227.90                                   | Sale                   |
| 07/25/14                                                                               | 13 000          | 2,862.72                | 2,862.72                              | VARIOUS           | 1,439.18                | 0.00                                  | 1,423.54                                 | Total of 5 lots        |
| Security total:                                                                        |                 | 5,026.50                | 5,026.50                              |                   | 2,818.37                | 0.00                                  | 2,208.13                                 |                        |
| NA MOBILE LTD SPON ADR / CUSIP: 16941M109 / Symbol: CHL                                |                 |                         |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 03/21/14 Total proceeds (and cost when required) reported to the IRS.   |                 |                         |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 71 000          | 2,955.53                | 2,955.53                              | 08/11/11          | 3,346.25                | 0.00                                  | -390.72                                  | Sale                   |
|                                                                                        | 24 000          | 999.05                  | 999.05                                | 10/06/11          | 1,170.18                | 0.00                                  | -171.13                                  | Sale                   |
| 03/21/14                                                                               | 95 000          | 3,954.58                | 3,954.58                              | VARIOUS           | 4,516.43                | 0.00                                  | -561.85                                  | Total of 2 lots        |
| IMPERIAL TOBACCO GROUP PLC SPON ADR / CUSIP: 453142101 / Symbol: ITBY                  |                 |                         |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/24/14 Total proceeds (and cost when required) reported to the IRS    |                 |                         |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 2 000           | 158.13                  | 158.13                                | 08/09/12          | 158.52                  | 0.00                                  | -0.39                                    | Sale                   |
|                                                                                        | 9 000           | 711.58                  | 711.58                                | 08/10/12          | 711.53                  | 0.00                                  | 0.05                                     | Sale                   |
| 02/24/14                                                                               | 11 000          | 869.71                  | 869.71                                | VARIOUS           | 870.05                  | 0.00                                  | -0.34                                    | Total of 2 lots        |
|                                                                                        | 6 000           | 486.19                  | 486.19                                | 08/10/12          | 474.35                  | 0.00                                  | 11.84                                    | Sale                   |
| 02/27/14                                                                               | Security total: | 1,355.90                | 1,355.90                              |                   | 1,344.40                | 0.00                                  | 11.50                                    |                        |
| SHIRE PLC SPON ADR / CUSIP: 82481R106 / Symbol: SHPG                                   |                 |                         |                                       |                   |                         |                                       |                                          |                        |
| 10/03/14                                                                               | 3 000           | 782.00                  | 782.00                                | 05/24/13          | 294.92                  | 0.00                                  | 487.08                                   | Sale                   |
| SWEDBANK AB ADR / CUSIP: 870195104 / Symbol: SWDBY                                     |                 |                         |                                       |                   |                         |                                       |                                          |                        |
| 11/29/14                                                                               | 101 000         | 2,660.87                | 2,660.87                              | 03/01/11          | 1,769.11                | 0.00                                  | 891.76                                   | Sale                   |
| 2 tax lots for 01/30/14 Total proceeds (and cost when required) reported to the IRS    |                 |                         |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 14 000          | 365.59                  | 365.59                                | 03/01/11          | 245.22                  | 0.00                                  | 120.37                                   | Sale                   |
|                                                                                        | 46 000          | 1,201.21                | 1,201.21                              | 07/26/11          | 817.38                  | 0.00                                  | 383.83                                   | Sale                   |
| 01/30/14                                                                               | 60 000          | 1,566.80                | 1,566.80                              | VARIOUS           | 1,062.60                | 0.00                                  | 504.20                                   | Total of 2 lots        |
| 2 tax lots for 01/31/14 Total proceeds (and cost when required) reported to the IRS    |                 |                         |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 39 000          | 1,012.85                | 1,012.85                              | 07/26/11          | 692.99                  | 0.00                                  | 319.86                                   | Sale                   |
|                                                                                        | 24 000          | 623.29                  | 623.29                                | 05/21/12          | 343.13                  | 0.00                                  | 280.16                                   | Sale                   |
| 01/31/14                                                                               | 63 000          | 1,636.14                | 1,636.14                              | VARIOUS           | 1,036.12                | 0.00                                  | 600.02                                   | Total of 2 lots        |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## Proceeds from Broker and Barter Exchange Transactions

Account PF 00118

OMB No. 1545-0715

**5-COVERED tax lots 3-Basis is reported to the IRS\*\***

Report on Form 8949, Part II, with Box D checked

## 11a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                   | Quantity        | 1d- Proceeds & 6- Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------|-----------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| SWEDBANK AB ADR / CUSIP: 870195104 / Symbol: SWDBY (cont'd) |                 |                                        |                   |                         |                                       |                                          |                        |
| 02/03/14                                                    | 38,000          | 984.56                                 | 05/21/12          | 543.28                  | 0.00                                  | 441.28                                   | Sale                   |
| 02/04/14                                                    | 32,000          | 825.07                                 | 05/21/12          | 457.50                  | 0.00                                  | 367.57                                   | Sale                   |
| 02/06/14                                                    | 4,000           | 106.55                                 | 05/21/12          | 57.19                   | 0.00                                  | 49.36                                    | Sale                   |
|                                                             | Security total: | 7,779.99                               |                   | 4,925.80                | 0.00                                  | 2,854.19                                 |                        |

AL S.A. FRANCE SPON ADR / CUSIP: 89151E109 / Symbol: TOT

6 tax lots for 07/09/14. Total proceeds (and cost when required) reported to the IRS.

|          | 2,000  | 139.89   | 06/27/13 | 96.93    | 0.00 | 42.96    | Sale            |
|----------|--------|----------|----------|----------|------|----------|-----------------|
|          | 12,000 | 839.37   | 06/27/13 | 578.29   | 0.00 | 261.08   | Sale            |
|          | 17,000 | 1,189.11 | 06/27/13 | 827.47   | 0.00 | 361.64   | Sale            |
|          | 27,000 | 1,888.59 | 06/28/13 | 1,319.12 | 0.00 | 569.47   | Sale            |
|          | 3,000  | 209.84   | 07/01/13 | 147.17   | 0.00 | 62.67    | Sale            |
|          | 8,000  | 559.58   | 07/01/13 | 393.78   | 0.00 | 165.80   | Sale            |
| 07/09/14 | 69,000 | 4,826.38 | VARIOUS  | 3,362.76 | 0.00 | 1,463.62 | Total of 6 lots |

2 tax lots for 11/21/14 Total proceeds (and cost when required) reported to the IRS

|          |        |          |          |          |      |                 |        |
|----------|--------|----------|----------|----------|------|-----------------|--------|
| 11/21/14 | 10 000 | 601.71   | 07/01/13 | 492.23   | 0.00 | Sale            | 109.48 |
|          | 22 000 | 1,323.77 | 07/30/13 | 1,170.43 | 0.00 | Sale            | 153.34 |
|          | 32 000 | 1,925.48 | VARIOUS  | 1,662.66 | 0.00 | Total of 2 lots | 262.82 |

3 tax lots for 12/16/14 Total proceeds (and cost when required) reported to the IRS

|  |        |           |          |           |      |          |                 |
|--|--------|-----------|----------|-----------|------|----------|-----------------|
|  | 25,000 | 1,227.24  | 07/30/13 | 1,330.04  | 0.00 | -102.80  | Sale            |
|  | 5,000  | 245.44    | 09/11/13 | 283.55    | 0.00 | -38.11   | Sale            |
|  | 36,000 | 1,767.22  | 09/12/13 | 2,044.11  | 0.00 | -276.89  | Sale            |
|  | 66,000 | 3,239.90  | VARIOUS  | 3,657.70  | 0.00 | -417.80  | Total of 3 lots |
|  |        | 13,296.91 |          | 11,193.49 | 0.00 | 2,103.42 |                 |
|  |        |           |          |           |      |          |                 |

VOLKSWAGEN AG SPON ADR PREF RATE: ADJUSTABLE ANNUALLY MATURITY: PERPETUAL / CUSIP: 928662402 / Symbol: VLKPY

|  |        |          |          |        |      |      |        |
|--|--------|----------|----------|--------|------|------|--------|
|  | 14,000 | 705.11   | 10/06/11 | 364.83 | 0.00 | Sale | 340.28 |
|  | 24,000 | 1,203.06 | 10/06/11 | 625.42 | 0.00 | Sale | 577.64 |
|  | 11,000 | 558.51   | 10/06/11 | 286.65 | 0.00 | Sale | 271.86 |
|  | 2,000  | 100.09   | 10/06/11 | 52.12  | 0.00 | Sale | 47.97  |

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UBS FINANCIAL SERVICES INC.

Account: PF 00118

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-----------------------------------------------------------------------------------------------------------------------|----------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| VOLKSWAGEN AG SPON ADR PREF RATE, ADJUSTABLE ANNUALLY MATURITY: PERPETUAL / CUSIP: 928662402 / Symbol: VLKPY (cont'd) |          |                          |                                  |                         |                                       |                        |                                          |
| 2 tax lots for 03/18/14 Total proceeds (and cost when required) reported to the IRS.                                  |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                                                       | 4 000    | 201.05                   | 10/06/11                         | 104.24                  | 0 00                                  |                        | 96.81 Sale                               |
| 03/18/14                                                                                                              | 18 000   | 904.72                   | 04/26/12                         | 647.13                  | 0 00                                  |                        | 257.59 Sale                              |
|                                                                                                                       | 22 000   | 1,105.77                 | VARIOUS                          | 751.37                  | 0 00                                  |                        | 354.40 Total of 2 lots                   |
| 03/19/14                                                                                                              | 16 000   | 812.64                   | 04/26/12                         | 575.22                  | 0 00                                  |                        | 237.42 Sale                              |
| 2 tax lots for 03/21/14 Total proceeds (and cost when required) reported to the IRS.                                  |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                                                       | 9 000    | 445.76                   | 04/26/12                         | 323.56                  | 0 00                                  |                        | 122.20 Sale                              |
| 03/21/14                                                                                                              | 5 000    | 247.65                   | 06/05/12                         | 149.81                  | 0 00                                  |                        | 97.84 Sale                               |
|                                                                                                                       | 14 000   | 693.41                   | VARIOUS                          | 473.37                  | 0 00                                  |                        | 220.04 Total of 2 lots                   |
| 03/24/14                                                                                                              | 4 000    | 198.17                   | 06/05/12                         | 119.86                  | 0 00                                  |                        | 78.31 Sale                               |
| 2 tax lots for 03/25/14 Total proceeds (and cost when required) reported to the IRS.                                  |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                                                       | 9 000    | 447.03                   | 06/05/12                         | 269.66                  | 0 00                                  |                        | 177.37 Sale                              |
| 03/25/14                                                                                                              | 2 000    | 99.34                    | 06/06/12                         | 60.97                   | 0 00                                  |                        | 38.37 Sale                               |
|                                                                                                                       | 11 000   | 546.37                   | VARIOUS                          | 330.63                  | 0 00                                  |                        | 215.74 Total of 2 lots                   |
| 03/26/14                                                                                                              | 24 000   | 1,214.85                 | 06/06/12                         | 731.68                  | 0 00                                  |                        | 483.17 Sale                              |
| 03/27/14                                                                                                              | 4 000    | 202.24                   | 06/06/12                         | 121.95                  | 0 00                                  |                        | 80.29 Sale                               |
|                                                                                                                       |          | 7,340.22                 |                                  | 4,433.10                | 0 00                                  |                        | 2,907.12                                 |
| Security total:                                                                                                       |          |                          |                                  |                         |                                       |                        |                                          |
| AVAGO TECHNOLOGIES LTD SGD / CUSIP: Y0486S104 / Symbol: AVGO                                                          |          |                          |                                  |                         |                                       |                        |                                          |
| 6 tax lots for 03/04/14 Total proceeds (and cost when required) reported to the IRS.                                  |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                                                       | 5 000    | 317.19                   | 10/12/12                         | 164.31                  | 0 00                                  |                        | 152.88 Sale                              |
|                                                                                                                       | 10 000   | 634.39                   | 10/12/12                         | 328.62                  | 0 00                                  |                        | 305.77 Sale                              |
|                                                                                                                       | 20 000   | 1,268.47                 | 10/12/12                         | 657.26                  | 0 00                                  |                        | 611.21 Sale                              |
|                                                                                                                       | 3 000    | 190.32                   | 10/15/12                         | 98.95                   | 0 00                                  |                        | 91.37 Sale                               |
|                                                                                                                       | 6 000    | 380.64                   | 10/15/12                         | 197.89                  | 0 00                                  |                        | 182.75 Sale                              |
|                                                                                                                       | 5 000    | 317.19                   | 10/18/12                         | 170.08                  | 0 00                                  |                        | 147.11 Sale                              |
| 03/04/14                                                                                                              | 49 000   | 3,108.20                 | VARIOUS                          | 1,617.11                | 0 00                                  |                        | 1,491.09 Total of 6 lots                 |
| 2 tax lots for 03/20/14 Total proceeds (and cost when required) reported to the IRS.                                  |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                                                       | 8 000    | 521.02                   | 10/18/12                         | 272.14                  | 0 00                                  |                        | 248.88 Sale                              |
|                                                                                                                       | 12 000   | 781.53                   | 10/19/12                         | 397.90                  | 0 00                                  |                        | 383.63 Sale                              |
| 03/20/14                                                                                                              | 20 000   | 1,302.55                 | VARIOUS                          | 670.04                  | 0 00                                  |                        | 632.51 Total of 2 lots                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00118

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (Gross/Net) | 1d- Proceeds & Reported (Gross/Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| AVAGO TECHNOLOGIES LTD SGD / CUSIP: Y0486S104 / Symbol: AVGO (cont'd)               |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 4 tax lots for 04/01/14 Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                     | 6,000    | 389.91                  | 10/19/12                            | 198.95            | 0.00                    |                                       | 190.96                                   | Sale                   |
|                                                                                     | 2,000    | 129.97                  | 10/22/12                            | 67.24             | 0.00                    |                                       | 62.73                                    | Sale                   |
|                                                                                     | 4,000    | 259.95                  | 10/22/12                            | 133.30            | 0.00                    |                                       | 126.65                                   | Sale                   |
|                                                                                     | 6,000    | 389.91                  | 10/22/12                            | 200.14            | 0.00                    |                                       | 189.77                                   | Sale                   |
|                                                                                     | 18,000   | 1,169.74                | VARIOUS                             | 599.63            | 0.00                    |                                       | 570.11                                   | Total of 4 lots        |
| 4 tax lots for 07/07/14 Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                     | 1,000    | 75.04                   | 10/22/12                            | 33.62             | 0.00                    |                                       | 41.42                                    | Sale                   |
|                                                                                     | 1,000    | 75.10                   | 10/23/12                            | 33.08             | 0.00                    |                                       | 42.02                                    | Sale                   |
|                                                                                     | 2,000    | 150.07                  | 10/23/12                            | 66.15             | 0.00                    |                                       | 83.92                                    | Sale                   |
|                                                                                     | 4,000    | 300.41                  | 10/23/12                            | 131.81            | 0.00                    |                                       | 168.60                                   | Sale                   |
|                                                                                     | 8,000    | 600.62                  | VARIOUS                             | 264.66            | 0.00                    |                                       | 335.96                                   | Total of 4 lots        |
| 7 tax lots for 07/18/14 Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                     | 1,000    | 75.05                   | 10/23/12                            | 32.95             | 0.00                    |                                       | 42.10                                    | Sale                   |
|                                                                                     | 3,000    | 225.13                  | 10/24/12                            | 99.10             | 0.00                    |                                       | 126.03                                   | Sale                   |
|                                                                                     | 4,000    | 300.18                  | 10/24/12                            | 132.10            | 0.00                    |                                       | 168.08                                   | Sale                   |
|                                                                                     | 5,000    | 375.23                  | 10/24/12                            | 163.75            | 0.00                    |                                       | 211.48                                   | Sale                   |
|                                                                                     | 4,000    | 300.18                  | 10/25/12                            | 134.15            | 0.00                    |                                       | 166.03                                   | Sale                   |
|                                                                                     | 4,000    | 300.18                  | 10/26/12                            | 134.96            | 0.00                    |                                       | 165.22                                   | Sale                   |
|                                                                                     | 2,000    | 150.09                  | 10/31/12                            | 66.57             | 0.00                    |                                       | 83.52                                    | Sale                   |
|                                                                                     | 23,000   | 1,726.04                | VARIOUS                             | 763.58            | 0.00                    |                                       | 962.46                                   | Total of 7 lots        |
| 2 tax lots for 07/21/14 Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                     | 8,000    | 600.35                  | 10/31/12                            | 266.27            | 0.00                    |                                       | 334.08                                   | Sale                   |
|                                                                                     | 1,000    | 75.04                   | 12/14/12                            | 31.12             | 0.00                    |                                       | 43.92                                    | Sale                   |
|                                                                                     | 9,000    | 675.39                  | VARIOUS                             | 297.39            | 0.00                    |                                       | 378.00                                   | Total of 2 lots        |
| Security total:                                                                     |          | 8,582.54                |                                     | 4,212.41          | 0.00                    |                                       | 4,370.13                                 |                        |
| Totals:                                                                             |          | 55,125.09               |                                     | 38,411.29         | 0.00                    |                                       | 16,713.80                                |                        |

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UBS FINANCIAL SERVICES INC.

Account PF00118

## Proceeds from Broker and Barter Exchange Transactions

2014 1999-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ADIDAS AG SPON ADR / CUSIP: 00687A107 / Symbol: ADDYY                                 | 3,000    | 184.80                  | 184.80                              | 09/18/09      | 80.64               | 0.00                          | 104.16                                   | Sale                   |
| 01/08/14                                                                              |          |                         |                                     |               |                     |                               |                                          |                        |
| 4 tax lots for 01/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 4,000    | 245.82                  | 245.82                              | 09/18/09      | 107.52              | 0.00                          | 138.30                                   | Sale                   |
|                                                                                       | 2,000    | 123.23                  | 123.23                              | 09/21/09      | 52.43               | 0.00                          | 70.80                                    | Sale                   |
|                                                                                       | 11,000   | 675.30                  | 675.30                              | 09/21/09      | 288.34              | 0.00                          | 386.96                                   | Sale                   |
|                                                                                       | 12,000   | 737.44                  | 737.44                              | 09/21/09      | 314.56              | 0.00                          | 422.88                                   | Sale                   |
|                                                                                       | 29,000   | 1,781.79                | 1,781.79                            | VARIOUS       | 762.85              | 0.00                          | 1,018.94                                 | Total of 4 lots        |
| 01/09/14                                                                              |          |                         |                                     |               |                     |                               |                                          |                        |
| 2 tax lots for 01/10/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 6,000    | 366.83                  | 366.83                              | 09/21/09      | 157.28              | 0.00                          | 209.55                                   | Sale                   |
|                                                                                       | 5,000    | 305.70                  | 305.70                              | 10/29/09      | 122.31              | 0.00                          | 183.39                                   | Sale                   |
|                                                                                       | 11,000   | 672.53                  | 672.53                              | VARIOUS       | 279.59              | 0.00                          | 392.94                                   | Total of 2 lots        |
| 01/10/14                                                                              |          |                         |                                     |               |                     |                               |                                          |                        |
| Security total:                                                                       |          | 2,639.12                | 2,639.12                            |               | 1,123.08            | 0.00                          | 1,516.04                                 |                        |
| BUNZL PLC NEW SPON ADR / CUSIP: 120738406 / Symbol: BZLFY                             |          |                         |                                     |               |                     |                               |                                          |                        |
| 08/22/14                                                                              | 44,000   | 1,187.79                | 1,187.79                            | 10/10/06      | 567.42              | 0.00                          | 620.37                                   | Sale                   |
| 08/26/14                                                                              | 24,000   | 652.38                  | 652.38                              | 10/10/06      | 309.51              | 0.00                          | 342.87                                   | Sale                   |
| 08/27/14                                                                              | 39,000   | 1,059.68                | 1,059.68                            | 10/10/06      | 502.94              | 0.00                          | 556.74                                   | Sale                   |
| 08/28/14                                                                              | 25,000   | 677.94                  | 677.94                              | 10/10/06      | 322.40              | 0.00                          | 355.54                                   | Sale                   |
| 08/29/14                                                                              | 24,000   | 652.15                  | 652.15                              | 10/10/06      | 309.51              | 0.00                          | 342.64                                   | Sale                   |
| 09/02/14                                                                              |          |                         |                                     |               |                     |                               |                                          |                        |
| 2 tax lots for 09/02/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 24,000   | 661.95                  | 661.95                              | 10/10/06      | 309.50              | 0.00                          | 352.45                                   | Sale                   |
|                                                                                       | 23,000   | 634.37                  | 634.37                              | 08/28/08      | 296.59              | 0.00                          | 337.78                                   | Sale                   |
|                                                                                       | 47,000   | 1,296.32                | 1,296.32                            | VARIOUS       | 606.09              | 0.00                          | 690.23                                   | Total of 2 lots        |
| 09/02/14                                                                              |          |                         |                                     |               |                     |                               |                                          |                        |
| Security total:                                                                       | 132,000  | 3,644.31                | 3,644.31                            | 08/28/08      | 1,702.20            | 0.00                          | 1,942.11                                 | Sale                   |
| 02/29/14                                                                              |          |                         |                                     |               |                     |                               |                                          |                        |
| Security total:                                                                       |          | 9,170.57                | 9,170.57                            |               | 4,320.07            | 0.00                          | 4,850.50                                 |                        |
| CNOOC LTD SPON ADR / CUSIP: 126132109 / Symbol: CEO                                   |          |                         |                                     |               |                     |                               |                                          |                        |
| 04/03/14                                                                              | 13,000   | 1,979.33                | 1,979.33                            | 10/10/06      | 1,049.75            | 0.00                          | 929.58                                   | Sale                   |
| 04/04/14                                                                              | 14,000   | 2,165.42                | 2,165.42                            | 10/10/06      | 1,130.50            | 0.00                          | 1,034.92                                 | Sale                   |
| 04/10/14                                                                              | 9,000    | 1,464.47                | 1,464.47                            | 10/10/06      | 726.75              | 0.00                          | 737.72                                   | Sale                   |
| 04/11/14                                                                              | 7,000    | 1,148.04                | 1,148.04                            | 10/10/06      | 565.25              | 0.00                          | 582.79                                   | Sale                   |
| 04/11/14                                                                              |          |                         |                                     |               |                     |                               |                                          |                        |
| Security total:                                                                       |          | 6,757.26                | 6,757.26                            |               | 3,472.25            | 0.00                          | 3,285.01                                 |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00118

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 9949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|------------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| COMPASS GROUP PLC SPON ADR / CUSIP: 20449X302 / Symbol: CMGPY                            |          |                           |                                       |               |                     |                               |                                          |                        |
| 07/08/14                                                                                 | 0.764    |                           | 13.61                                 | 05/23/08      | 5.91                | 0.00                          | 7.70                                     | Sale                   |
| 08/01/14                                                                                 | 39.000   |                           | 629.98                                | 05/23/08      | 301.52              | 0.00                          | 328.46                                   | Sale                   |
| 08/04/14                                                                                 | 13.000   |                           | 207.91                                | 05/23/08      | 100.51              | 0.00                          | 107.40                                   | Sale                   |
| 08/05/14                                                                                 | 33.000   |                           | 529.00                                | 05/23/08      | 255.13              | 0.00                          | 273.87                                   | Sale                   |
| 08/06/14                                                                                 | 15.000   |                           | 239.49                                | 05/23/08      | 115.97              | 0.00                          | 123.52                                   | Sale                   |
| 08/08/14                                                                                 | 10.000   |                           | 159.51                                | 05/23/08      | 77.32               | 0.00                          | 82.19                                    | Sale                   |
| 08/11/14                                                                                 | 10.000   |                           | 160.45                                | 05/23/08      | 77.31               | 0.00                          | 83.14                                    | Sale                   |
| 08/13/14                                                                                 | 8.000    |                           | 127.77                                | 05/23/08      | 61.85               | 0.00                          | 65.92                                    | Sale                   |
| 2 tax lots for 08/19/14 Total proceeds (and cost when required) reported to the IRS      |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                          | 22.765   |                           | 366.16                                | 05/23/08      | 176.01              | 0.00                          | 190.15                                   | Sale                   |
|                                                                                          | 9.235    |                           | 148.53                                | 07/08/08      | 68.15               | 0.00                          | 80.38                                    | Sale                   |
| 08/19/14                                                                                 | 32.000   |                           | 514.69                                | VARIOUS       | 244.16              | 0.00                          | 270.53                                   | Total of 2 lots        |
| 08/20/14                                                                                 | 18.000   |                           | 290.08                                | 07/08/08      | 132.82              | 0.00                          | 157.26                                   | Sale                   |
| 08/21/14                                                                                 | 4.000    |                           | 64.58                                 | 07/08/08      | 29.52               | 0.00                          | 35.06                                    | Sale                   |
| 10/09/14                                                                                 | 35.000   |                           | 543.12                                | 07/08/08      | 258.28              | 0.00                          | 284.84                                   | Sale                   |
| 10/10/14                                                                                 | 50.000   |                           | 757.84                                | 07/08/08      | 368.96              | 0.00                          | 388.88                                   | Sale                   |
| 10/13/14                                                                                 | 15.000   |                           | 225.90                                | 07/08/08      | 110.69              | 0.00                          | 115.21                                   | Sale                   |
| 10/16/14                                                                                 | 15.000   |                           | 228.67                                | 07/08/08      | 110.69              | 0.00                          | 117.98                                   | Sale                   |
| 10/17/14                                                                                 | 17.000   |                           | 259.71                                | 07/08/08      | 125.45              | 0.00                          | 134.26                                   | Sale                   |
|                                                                                          |          |                           | 4,952.31                              |               | 2,376.09            | 0.00                          | 2,576.22                                 |                        |
| Security total.                                                                          |          |                           |                                       |               |                     |                               |                                          |                        |
| GRUPO TELEVIS SA DE CV GLOBAL DEP RCT REP ORD MEXICO ADR / CUSIP: 40049J206 / Symbol: TV |          |                           |                                       |               |                     |                               |                                          |                        |
| 06/18/14                                                                                 | 74.000   |                           | 2,528.22                              | 07/21/08      | 1,774.17            | 0.00                          | 754.05                                   | Sale                   |
| PERIAL TOBACCO GROUP PLC SPON ADR / CUSIP: 453142101 / Symbol: ITBYB                     |          |                           |                                       |               |                     |                               |                                          |                        |
| 02/05/14                                                                                 | 3.000    |                           | 214.67                                | 10/10/06      | 199.53              | 0.00                          | 15.14                                    | Sale                   |
| 2 tax lots for 02/06/14 Total proceeds (and cost when required) reported to the IRS      |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                          | 3.000    |                           | 214.53                                | 10/10/06      | 199.53              | 0.00                          | 15.00                                    | Sale                   |
|                                                                                          | 11.000   |                           | 789.99                                | 10/10/06      | 731.61              | 0.00                          | 58.38                                    | Sale                   |
| 02/06/14                                                                                 | 14.000   |                           | 1,004.52                              | VARIOUS       | 931.14              | 0.00                          | 73.38                                    | Total of 2 lots        |
| 2 tax lots for 02/07/14 Total proceeds (and cost when required) reported to the IRS      |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                          | 6.000    |                           | 433.16                                | 10/10/06      | 399.06              | 0.00                          | 34.10                                    | Sale                   |
|                                                                                          | 20.000   |                           | 1,456.18                              | 10/10/06      | 1,330.20            | 0.00                          | 125.98                                   | Sale                   |
| 02/07/14                                                                                 | 26.000   |                           | 1,889.34                              | VARIOUS       | 1,729.26            | 0.00                          | 160.08                                   | Total of 2 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00118

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                  | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| IMPERIAL TOBACCO GROUP PLC SPON ADR / CUSIP: 453142101 / Symbol: ITBYB (cont'd)            |          |                           |                                       |               |                     |                               |                                          |                        |
| 02/19/14                                                                                   | 5,000    | 396.47                    | 10/10/06                              | 332.55        | 0.00                | 63.92                         | Sale                                     |                        |
| 02/20/14                                                                                   | 10,000   | 785.54                    | 10/10/06                              | 665.10        | 0.00                | 120.44                        | Sale                                     |                        |
| 2 tax lots for 02/24/14 Total proceeds (and cost when required) reported to the IRS.       |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                            | 25,000   | 1,976.62                  | 10/10/06                              | 1,662.75      | 0.00                | 313.87                        | Sale                                     |                        |
|                                                                                            | 39,000   | 3,083.53                  | 08/28/08                              | 2,573.10      | 0.00                | 510.43                        | Sale                                     |                        |
|                                                                                            | 64,000   | 5,060.15                  | VARIOUS                               | 4,235.85      | 0.00                | 824.30                        | Total of 2 lots                          |                        |
| 02/24/14                                                                                   |          | 9,350.69                  |                                       | 8,093.43      | 0.00                | 1,257.26                      |                                          |                        |
| Security total:                                                                            |          |                           |                                       |               |                     |                               |                                          |                        |
| NESTLE S A SPONSORED ADR REPSTG REG SHS SWITZERLAND ADR / CUSIP: 641069406 / Symbol: NSRGY |          |                           |                                       |               |                     |                               |                                          |                        |
| 05/16/14                                                                                   | 17,000   | 1,363.05                  | 10/10/06                              | 592.28        | 0.00                | 770.77                        | Sale                                     |                        |
| 05/19/14                                                                                   | 17,000   | 1,349.92                  | 10/10/06                              | 592.28        | 0.00                | 757.64                        | Sale                                     |                        |
| 05/20/14                                                                                   | 15,000   | 1,186.92                  | 10/10/06                              | 522.60        | 0.00                | 664.32                        | Sale                                     |                        |
| 05/21/14                                                                                   | 17,000   | 1,336.52                  | 02/29/08                              | 821.40        | 0.00                | 515.12                        | Sale                                     |                        |
| 3 tax lots for 05/22/14 Total proceeds (and cost when required) reported to the IRS.       |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                            | 1,000    | 78.44                     | 02/29/08                              | 48.32         | 0.00                | 30.12                         | Sale                                     |                        |
|                                                                                            | 37,000   | 2,910.21                  | 02/29/08                              | 1,787.75      | 0.00                | 1,122.46                      | Sale                                     |                        |
|                                                                                            | 23,000   | 1,804.21                  | 02/20/09                              | 768.56        | 0.00                | 1,035.65                      | Sale                                     |                        |
| 05/22/14                                                                                   | 61,000   | 4,792.86                  | VARIOUS                               | 2,604.63      | 0.00                | 2,188.23                      | Total of 3 lots                          |                        |
| 05/28/14                                                                                   | 3,000    | 233.81                    | 02/20/09                              | 100.25        | 0.00                | 133.56                        | Sale                                     |                        |
|                                                                                            |          | 10,263.08                 |                                       | 5,233.44      | 0.00                | 5,029.64                      |                                          |                        |
| Security total:                                                                            |          |                           |                                       |               |                     |                               |                                          |                        |
| NOVO NORDISK ADR DENMARK ADR / CUSIP: 670100205 / Symbol: NVO                              |          |                           |                                       |               |                     |                               |                                          |                        |
| 10/01/14                                                                                   | 9,000    | 430.79                    | 10/19/06                              | 69.34         | 0.00                | 361.45                        | Sale                                     |                        |
| 0/02/14                                                                                    | 31,000   | 1,481.03                  | 10/19/06                              | 238.86        | 0.00                | 1,242.17                      | Sale                                     |                        |
|                                                                                            |          | 1,911.82                  |                                       | 308.20        | 0.00                | 1,603.62                      |                                          |                        |
| Security total:                                                                            |          |                           |                                       |               |                     |                               |                                          |                        |
| REED ELSEVIER PLC NEW REPSTG 4 ORD SHS SPON ADR / CUSIP: 758205207 / Symbol: RUK           |          |                           |                                       |               |                     |                               |                                          |                        |
| 10/09/14                                                                                   | 15,000   | 943.69                    | 06/23/08                              | 690.69        | 0.00                | 253.00                        | Sale                                     |                        |
| 2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS.      |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                            | 13,000   | 803.87                    | 06/23/08                              | 598.59        | 0.00                | 205.28                        | Sale                                     |                        |
|                                                                                            | 7,000    | 432.86                    | 07/01/08                              | 310.74        | 0.00                | 122.12                        | Sale                                     |                        |
| 10/10/14                                                                                   | 20,000   | 1,236.73                  | VARIOUS                               | 909.33        | 0.00                | 327.40                        | Total of 2 lots                          |                        |
| 10/14/14                                                                                   | 10,000   | 611.78                    | 07/01/08                              | 443.91        | 0.00                | 167.87                        | Sale                                     |                        |
| 10/15/14                                                                                   | 12,000   | 712.99                    | 07/01/08                              | 532.69        | 0.00                | 180.30                        | Sale                                     |                        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00118

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| 2 tax lots for 11/12/14 Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 25,000   | 1,620.59                  | 1,109.78                              | 07/01/08      | 1,109.78            | 0.00                          | 510.81                                   | Sale                   |
|                                                                                      | 36,000   | 2,333.64                  | 1,603.61                              | 08/27/08      | 1,603.61            | 0.00                          | 730.03                                   | Sale                   |
| 11/12/14                                                                             | 61,000   | 3,954.23                  | 2,713.39                              | VARIOUS       | 2,713.39            | 0.00                          | 1,240.84                                 | Total of 2 lots        |
| Security total:                                                                      |          | 7,459.42                  | 5,290.01                              |               | 5,290.01            | 0.00                          | 2,169.41                                 |                        |
| 3 tax lots for 07/23/14 Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 10,000   | 2,543.90                  | 524.90                                | 10/10/06      | 524.90              | 0.00                          | 2,019.00                                 | Sale                   |
|                                                                                      | 3,000    | 763.17                    | 110.65                                | 04/15/09      | 110.65              | 0.00                          | 652.52                                   | Sale                   |
|                                                                                      | 5,000    | 1,272.91                  | 184.41                                | 04/15/09      | 184.41              | 0.00                          | 1,088.50                                 | Sale                   |
| 07/23/14                                                                             | 18,000   | 4,579.98                  | 819.96                                | VARIOUS       | 819.96              | 0.00                          | 3,760.02                                 | Total of 3 lots        |
| 07/24/14                                                                             | 5,000    | 1,271.27                  | 184.42                                | 04/15/09      | 184.42              | 0.00                          | 1,086.85                                 | Sale                   |
| 09/24/14                                                                             | 19,000   | 4,750.40                  | 700.77                                | 04/15/09      | 700.77              | 0.00                          | 4,049.63                                 | Sale                   |
| 10/03/14                                                                             | 16,000   | 4,170.68                  | 590.13                                | 04/15/09      | 590.13              | 0.00                          | 3,580.55                                 | Sale                   |
| Security total:                                                                      |          | 14,772.33                 | 2,295.28                              |               | 2,295.28            | 0.00                          | 12,477.05                                |                        |
| SMITH & NEPHEW PLC NEW SPON ADR / CUSIP: 83175M205 / Symbol: SNN                     |          |                           |                                       |               |                     |                               |                                          |                        |
| 11/20/14                                                                             | 10,000   | 340.94                    | 148.33                                | 02/20/09      | 148.33              | 0.00                          | 192.61                                   | Sale                   |
| 11/21/14                                                                             | 15,000   | 513.71                    | 222.49                                | 02/20/09      | 222.49              | 0.00                          | 291.22                                   | Sale                   |
| 11/24/14                                                                             | 46,000   | 1,603.11                  | 682.32                                | 02/20/09      | 682.32              | 0.00                          | 920.79                                   | Sale                   |
| Security total:                                                                      |          | 2,457.76                  | 1,053.14                              |               | 1,053.14            | 0.00                          | 1,404.62                                 |                        |
| TAIWAN SEMICONDUCTOR MFG CO LTD ADR / CUSIP: 874039100 / Symbol: TSM                 |          |                           |                                       |               |                     |                               |                                          |                        |
| 07/07/14                                                                             | 93,000   | 2,101.64                  | 896.54                                | 10/10/06      | 896.54              | 0.00                          | 1,205.10                                 | Sale                   |
| TRANSCANADA CORP (HOLDING CO) FOREIGN STOCK CAD / CUSIP: 89353D107 / Symbol: TRP     |          |                           |                                       |               |                     |                               |                                          |                        |
| 08/22/14                                                                             | 44,000   | 2,252.21                  | 1,338.22                              | 10/10/06      | 1,338.22            | 0.00                          | 913.99                                   | Sale                   |
| 08/25/14                                                                             | 138,000  | 7,159.58                  | 4,197.14                              | 10/10/06      | 4,197.14            | 0.00                          | 2,962.44                                 | Sale                   |
| 08/26/14                                                                             | 9,000    | 474.13                    | 273.73                                | 10/10/06      | 273.73              | 0.00                          | 200.40                                   | Sale                   |
| Security total:                                                                      |          | 9,885.92                  | 5,809.09                              |               | 5,809.09            | 0.00                          | 4,076.83                                 |                        |
| Totals:                                                                              |          | 84,928.13                 | 42,479.79                             |               | 42,479.79           | 0.00                          | 42,448.34                                |                        |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00119

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR / CUSIP: 358029106 / Symbol: FMS         |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 3 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 20,000   | 688.04                  | 688.71                              | 06/26/13          | 698.71                  | 0.00                                  | -10.67                                   | Sale                   |
|                                                                                       | 2,000    | 68.80                   | 64.21                               | 07/02/13          | 64.21                   | 0.00                                  | 4.59                                     | Sale                   |
|                                                                                       | 20,000   | 689.17                  | 642.12                              | 07/02/13          | 642.12                  | 0.00                                  | 47.05                                    | Sale                   |
| 03/25/14                                                                              | 42,000   | 1,446.01                | 1,405.04                            | VARIOUS           | 1,405.04                | 0.00                                  | 40.97                                    | Total of 3 lots        |
| GRUPO FIN SANTANDER MEXICO SER B SPON ADR / CUSIP: 40053C105 / Symbol: BSMX           |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 07/31/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 81,000   | 1,098.49                | 1,131.76                            | 07/31/13          | 1,131.76                | 0.00                                  | -33.27                                   | Sale                   |
|                                                                                       | 50,000   | 678.09                  | 555.44                              | 03/19/14          | 555.44                  | 0.00                                  | 122.65                                   | Sale                   |
| 07/31/14                                                                              | 131,000  | 1,776.58                | 1,687.20                            | VARIOUS           | 1,687.20                | 0.00                                  | 89.38                                    | Total of 2 lots        |
| LIXIL GROUP CORP UNSPONSORED ADR / CUSIP: 53931R103 / Symbol: JSGRY                   |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 01/09/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 113.68                  | 79.43                               | 03/13/13          | 79.43                   | 0.00                                  | 34.25                                    | Sale                   |
|                                                                                       | 38,000   | 2,159.94                | 1,533.61                            | 03/14/13          | 1,533.61                | 0.00                                  | 626.33                                   | Sale                   |
| 01/09/14                                                                              | 40,000   | 2,273.62                | 1,613.04                            | VARIOUS           | 1,613.04                | 0.00                                  | 660.58                                   | Total of 2 lots        |
| MAKITA CORP ADR JAPAN ADR / CUSIP: 560877300 / Symbol: MKTAY                          |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/02/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 12,000   | 645.07                  | 632.35                              | 11/18/13          | 632.35                  | 0.00                                  | 12.72                                    | Sale                   |
|                                                                                       | 22,000   | 1,182.63                | 1,148.31                            | 11/19/13          | 1,148.31                | 0.00                                  | 34.32                                    | Sale                   |
| 10/02/14                                                                              | 34,000   | 1,827.70                | 1,780.66                            | VARIOUS           | 1,780.66                | 0.00                                  | 47.04                                    | Total of 2 lots        |
| MINDRAY MEDICAL INTL LTD SPON ADR / CUSIP: 602675100 / Symbol: MR                     |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 03/21/14                                                                              | 17,000   | 542.14                  | 644.55                              | 06/26/13          | 644.55                  | 0.00                                  | -102.41                                  | Sale                   |
| OLD MUTUAL PLC UNSPONSORED ADR / CUSIP: 680031309 / Symbol: ODMTY                     |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 01/22/14                                                                              | 64,000   | 1,632.65                | 1,549.28                            | 04/09/13          | 1,549.28                | 0.00                                  | 83.37                                    | Sale                   |
| 01/23/14                                                                              | 45,000   | 1,137.20                | 1,089.35                            | 04/09/13          | 1,089.35                | 0.00                                  | 47.85                                    | Sale                   |
| 4 tax lots for 01/30/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 85,000   | 1,940.65                | 2,057.64                            | 04/09/13          | 2,057.64                | 0.00                                  | -116.99                                  | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00119

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity        | 1d- Proceeds & 6- Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------------------------|-----------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| OLD MUTUAL PLC UNSPONSORED ADR / CUSIP: 680031309 / Symbol: ODMTY (cont'd)           |                 |                                          |                   |                         |                                       |                                          |                        |
|                                                                                      | 24,000          | 547.95                                   | 07/05/13          | 527.24                  | 0.00                                  | 20.71                                    | Sale                   |
|                                                                                      | 49,000          | 1,118.73                                 | 07/12/13          | 1,170.28                | 0.00                                  | -51.55                                   | Sale                   |
|                                                                                      | 11,000          | 251.14                                   | 10/17/13          | 280.85                  | 0.00                                  | -29.71                                   | Sale                   |
| 01/30/14                                                                             | 169,000         | 3,858.47                                 | VARIOUS           | 4,036.01                | 0.00                                  | -177.54                                  | Total of 4 lots        |
| 01/31/14                                                                             | 34,000          | 769.34                                   | 10/17/13          | 868.10                  | 0.00                                  | -98.76                                   | Sale                   |
|                                                                                      | Security total: | 7,397.66                                 |                   | 7,542.74                | 0.00                                  | -145.08                                  |                        |
| REED ELSEVIER PLC NEW REPSTG 4 ORD SHS SPON ADR / CUSIP: 758205E207 / Symbol: RUK    |                 |                                          |                   |                         |                                       |                                          |                        |
| 06/16/14                                                                             | 23,000          | 1,489.40                                 | 03/11/14          | 1,428.37                | 0.00                                  | 61.03                                    | Sale                   |
| SSE PLC SPON ADR / CUSIP: 78467K107 / Symbol: SSEZY                                  |                 |                                          |                   |                         |                                       |                                          |                        |
| 3 tax lots for 06/04/14 Total proceeds (and cost when required) reported to the IRS. |                 |                                          |                   |                         |                                       |                                          |                        |
|                                                                                      | 58,000          | 1,504.74                                 | 07/25/13          | 1,429.64                | 0.00                                  | 75.10                                    | Sale                   |
|                                                                                      | 37,000          | 959.93                                   | 09/20/13          | 936.00                  | 0.00                                  | 23.93                                    | Sale                   |
|                                                                                      | 55,000          | 1,426.91                                 | 02/04/14          | 1,204.63                | 0.00                                  | 222.28                                   | Sale                   |
| 06/04/14                                                                             | 150,000         | 3,891.58                                 | VARIOUS           | 3,570.27                | 0.00                                  | 321.31                                   | Total of 3 lots        |
| SUNCOR ENERGY INC NEW FOREIGN STOCK CAD / CUSIP: 867224107 / Symbol: SU              |                 |                                          |                   |                         |                                       |                                          |                        |
| 08/28/14                                                                             | 64,000          | 2,603.59                                 | 06/12/14          | 2,671.70                | 0.00                                  | -68.11                                   | Sale                   |
| 09/25/14                                                                             | 129,000         | 4,712.86                                 | 06/12/14          | 5,385.14                | 0.00                                  | -672.28                                  | Sale                   |
|                                                                                      | Security total: | 7,316.45                                 |                   | 8,056.84                | 0.00                                  | -740.39                                  |                        |
| SUNTORY BEVERAGE & FOOD L UNSPONSORED ADR / CUSIP: 86803T104 / Symbol: STBFY         |                 |                                          |                   |                         |                                       |                                          |                        |
| 05/20/14                                                                             | 90,000          | 1,624.13                                 | 10/10/13          | 1,503.35                | 0.00                                  | 120.78                                   | Sale                   |
| 06/04/14                                                                             | 16,000          | 307.04                                   | 10/10/13          | 267.26                  | 0.00                                  | 39.78                                    | Sale                   |
| 06/05/14                                                                             | 29,000          | 547.73                                   | 10/10/13          | 484.41                  | 0.00                                  | 63.32                                    | Sale                   |
|                                                                                      | Security total: | 2,478.90                                 |                   | 2,255.02                | 0.00                                  | 223.88                                   |                        |
| SWISSCOM AG SPON ADR / CUSIP: 871013108 / Symbol: SCMWY                              |                 |                                          |                   |                         |                                       |                                          |                        |
| 06/30/14                                                                             | 91,000          | 5,266.49                                 | 02/03/14          | 5,021.62                | 0.00                                  | 244.87                                   | Sale                   |
| SYNGENTA AG SPON ADR / CUSIP: 87160A100 / Symbol: SYT                                |                 |                                          |                   |                         |                                       |                                          |                        |
| 06/02/14                                                                             | 14,000          | 1,078.92                                 | 06/26/13          | 1,095.53                | 0.00                                  | -16.61                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00119

2014 1099-B\*

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| VERIZON COMMUNICATIONS INC / CUSIP: 92343V104 / Symbol: VZ                            | 0.622    | 29.07                     | 02/24/14                         | 29.95                   | 0.00                                  | -0.88                  | Sale                                     |
| 4 tax lots for 03/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                  |                         |                                       |                        |                                          |
|                                                                                       | 14.202   | 670.32                    | 02/24/14                         | 683.33                  | 0.00                                  | -13.01                 | Sale                                     |
|                                                                                       | 17.095   | 806.87                    | 02/24/14                         | 822.53                  | 0.00                                  | -15.66                 | Sale                                     |
|                                                                                       | 25.511   | 1,204.10                  | 02/24/14                         | 1,227.46                | 0.00                                  | -23.36                 | Sale                                     |
|                                                                                       | 46.192   | 2,180.20                  | 02/24/14                         | 2,222.52                | 0.00                                  | -42.32                 | Sale                                     |
|                                                                                       | 103.000  | 4,861.49                  | VARIOUS                          | 4,955.84                | 0.00                                  | -94.35                 | Total of 4 lots                          |
| Security total:                                                                       |          | 4,890.56                  |                                  | 4,985.79                | 0.00                                  | -95.23                 |                                          |

VODAFONE GROUP PLC SPON ADR / CUSIP: 92857W308 / Symbol: VOD

|          |       |       |          |       |         |      |      |
|----------|-------|-------|----------|-------|---------|------|------|
| 02/27/14 | 0.909 | 37.44 | 09/05/13 | 54.74 | 17.30 W | 0.00 | Sale |
|----------|-------|-------|----------|-------|---------|------|------|

DEUTSCHE BANK AG REG SHS ORD FOREIGN STOCK EUR / CUSIP: D18190898 / Symbol: DB

|                                                                                       |        |          |          |          |      |        |                 |
|---------------------------------------------------------------------------------------|--------|----------|----------|----------|------|--------|-----------------|
| 2 tax lots for 01/13/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |      |        |                 |
|                                                                                       | 26.000 | 1,357.66 | 04/30/13 | 1,200.22 | 0.00 | 157.44 | Sale            |
|                                                                                       | 24.000 | 1,253.22 | 05/02/13 | 1,118.43 | 0.00 | 134.79 | Sale            |
|                                                                                       | 50.000 | 2,610.88 | VARIOUS  | 2,318.65 | 0.00 | 292.23 | Total of 2 lots |

2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS.

|                 |        |          |          |          |      |         |                 |
|-----------------|--------|----------|----------|----------|------|---------|-----------------|
|                 | 33.000 | 1,422.61 | 05/02/13 | 1,537.83 | 0.00 | -115.22 | Sale            |
|                 | 54.000 | 2,327.92 | 05/07/13 | 2,639.28 | 0.00 | -311.36 | Sale            |
|                 | 87.000 | 3,750.53 | VARIOUS  | 4,177.11 | 0.00 | -426.58 | Total of 2 lots |
| Security total: |        | 6,361.41 |          | 6,495.76 | 0.00 | -134.35 |                 |

STANDARD CHARTERED PLC FOREIGN STOCK / CUSIP: G84228157 / Symbol: SCBFF

|                                                                                       |        |          |          |          |      |         |                 |
|---------------------------------------------------------------------------------------|--------|----------|----------|----------|------|---------|-----------------|
| 2 tax lots for 01/09/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |      |         |                 |
|                                                                                       | 54.000 | 1,108.67 | 01/10/13 | 1,458.79 | 0.00 | -350.12 | Sale            |
|                                                                                       | 27.000 | 554.34   | 10/24/13 | 669.12   | 0.00 | -114.78 | Sale            |
|                                                                                       | 81.000 | 1,663.01 | VARIOUS  | 2,127.91 | 0.00 | -464.90 | Total of 2 lots |

ABERDEEN ASSET MANAGEMENT PLC FOREIGN STOCK GBP / CUSIP: GB0000031285 / Symbol: ABDNF

|                                                                                       |        |        |          |        |      |      |      |
|---------------------------------------------------------------------------------------|--------|--------|----------|--------|------|------|------|
| 2 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS. |        |        |          |        |      |      |      |
|                                                                                       | 23.000 | 148.56 | 06/13/13 | 139.87 | 0.00 | 8.69 | Sale |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00119

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                                      | Quantity | 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|------------------------------------------------------------------------------------------------|----------|---------------------------|-------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| ABERDEEN ASSET MANAGEMENT PLC FOREIGN STOCK GBP / CUSIP: GB0000031285 / Symbol: ABDNF (cont'd) |          |                           |                   |                         |                                   |                        |                                          |
|                                                                                                | 310,000  | 2,002.38                  | 06/20/13          | 1,854.11                | 0.00                              | 148.27                 | Sale                                     |
| 01/24/14                                                                                       | 333,000  | 2,150.94                  | VARIOUS           | 1,993.98                | 0.00                              | 156.96                 | Total of 2 lots                          |
| SO-NET M3 INC JPY / CUSIP: J7618C102 / Symbol:                                                 |          |                           |                   |                         |                                   |                        |                                          |
| 2 tax lots for 08/20/14. Total proceeds (and cost when required) reported to the IRS.          |          |                           |                   |                         |                                   |                        |                                          |
|                                                                                                | 200,000  | 3,588.04                  | 05/16/14          | 2,741.54                | ...                               | 846.50                 | Sale                                     |
|                                                                                                | 200,000  | 3,588.04                  | 05/19/14          | 2,749.72                | ...                               | 838.32                 | Sale                                     |
| 08/20/14                                                                                       | 400,000  | 7,176.08                  | VARIOUS           | 5,491.26                | ...                               | 1,684.82               | Total of 2 lots                          |
| Totals:                                                                                        |          | 59,064.89                 |                   | 57,250.32               | 17.30 W                           | 1,831.87               |                                          |

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|---------------------------|-------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| AIA GROUP LTD SPON ADR / CUSIP: 001317205 / Symbol: AAGIY                             |          |                           |                   |                         |                                   |                        |                                          |
| 01/23/14                                                                              | 30,000   | 571.09                    | 02/28/11          | 349.61                  | 0.00                              | 221.48                 | Sale                                     |
| 01/24/14                                                                              | 136,000  | 2,592.76                  | 02/28/11          | 1,584.86                | 0.00                              | 1,007.90               | Sale                                     |
| Security total:                                                                       |          | 3,163.85                  |                   | 1,934.47                | 0.00                              | 1,229.38               |                                          |
| HEUSER BUSCH INBEV SPON ADR / CUSIP: 03524A108 / Symbol: BUD                          |          |                           |                   |                         |                                   |                        |                                          |
| 2 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                   |                         |                                   |                        |                                          |
|                                                                                       | 10,000   | 999.02                    | 06/28/11          | 569.14                  | 0.00                              | 429.88                 | Sale                                     |
|                                                                                       | 11,000   | 1,098.93                  | 03/08/12          | 767.82                  | 0.00                              | 331.11                 | Sale                                     |
| 01/24/14                                                                              | 21,000   | 2,097.95                  | VARIOUS           | 1,336.96                | 0.00                              | 760.99                 | Total of 2 lots                          |
| BAYER A G SPON ADR / CUSIP: 072730302 / Symbol: BAYRY                                 |          |                           |                   |                         |                                   |                        |                                          |
| 06/16/14                                                                              | 3,000    | 420.17                    | 06/06/11          | 246.87                  | 0.00                              | 173.30                 | Sale                                     |

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UBS FINANCIAL SERVICES INC.

Account PF 00119

2014 1099-B\*

OMB No. 1545-0715

# Proceeds from Broker and Barter Exchange Transactions (continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

### 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

#### 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)/ross (N)et | 1d- Proceeds & 6- Reported (G)/ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|----------------------------|-------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| DNB ASA SPON ADR / CUSIP: 23328E106 / Symbol: DNHBY                                  |          |                            |                                           |                   |                         |                                       |                                          |                        |
| 3 tax lots for 12/17/14. Total proceeds (and cost when required) reported to the IRS |          |                            |                                           |                   |                         |                                       |                                          |                        |
|                                                                                      | 14,000   | 1,912.41                   | 1,912.41                                  | 07/13/11          | 2,008.80                | 0.00                                  | -96.39                                   | Sale                   |
|                                                                                      | 3,000    | 409.81                     | 409.81                                    | 11/15/11          | 329.20                  | 0.00                                  | 80.61                                    | Sale                   |
|                                                                                      | 4,000    | 546.40                     | 546.40                                    | 02/09/12          | 482.35                  | 0.00                                  | 64.05                                    | Sale                   |
| 12/17/14                                                                             | 21,000   | 2,868.62                   | 2,868.62                                  | VARIOUS           | 2,820.35                | 0.00                                  | 48.27                                    | Total of 3 lots        |
| ENERGY DEV CORP ADR / CUSIP: 29269D106 / Symbol: EGDCY                               |          |                            |                                           |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/09/14. Total proceeds (and cost when required) reported to the IRS |          |                            |                                           |                   |                         |                                       |                                          |                        |
|                                                                                      | 23,000   | 400.64                     | 400.64                                    | 12/07/11          | 324.18                  | 0.00                                  | 76.46                                    | Sale                   |
|                                                                                      | 9,000    | 156.77                     | 156.77                                    | 02/28/13          | 168.73                  | 0.00                                  | -11.96                                   | Sale                   |
| 10/09/14                                                                             | 32,000   | 557.41                     | 557.41                                    | VARIOUS           | 492.91                  | 0.00                                  | 64.50                                    | Total of 2 lots        |
| 2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS |          |                            |                                           |                   |                         |                                       |                                          |                        |
|                                                                                      | 54,000   | 921.37                     | 921.37                                    | 02/28/13          | 1,012.36                | 0.00                                  | -90.99                                   | Sale                   |
|                                                                                      | 8,000    | 136.50                     | 136.50                                    | 03/14/13          | 127.05                  | 0.00                                  | 9.45                                     | Sale                   |
| 10/10/14                                                                             | 62,000   | 1,057.87                   | 1,057.87                                  | VARIOUS           | 1,139.41                | 0.00                                  | -81.54                                   | Total of 2 lots        |
| 10/13/14                                                                             | 23,000   | 379.18                     | 379.18                                    | 03/14/13          | 365.25                  | 0.00                                  | 13.93                                    | Sale                   |
|                                                                                      |          | 1,994.46                   | 1,994.46                                  |                   | 1,997.57                | 0.00                                  | -3.11                                    |                        |
| Security total:                                                                      |          |                            |                                           |                   |                         |                                       |                                          |                        |
| FRESENIUS MEDICAL CARE AG & CO KGAA SPON ADR / CUSIP: 358029106 / Symbol: FMS        |          |                            |                                           |                   |                         |                                       |                                          |                        |
| 4 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS |          |                            |                                           |                   |                         |                                       |                                          |                        |
|                                                                                      | 23,000   | 791.24                     | 791.24                                    | 01/06/11          | 651.77                  | 0.00                                  | 139.47                                   | Sale                   |
|                                                                                      | 58,000   | 1,995.30                   | 1,995.30                                  | 03/13/12          | 1,991.35                | 0.00                                  | 3.95                                     | Sale                   |
|                                                                                      | 16,000   | 550.43                     | 550.43                                    | 10/25/12          | 595.85                  | 0.00                                  | -45.42                                   | Sale                   |
|                                                                                      | 28,000   | 963.25                     | 963.25                                    | 11/26/12          | 930.46                  | 0.00                                  | 32.79                                    | Sale                   |
| 03/25/14                                                                             | 125,000  | 4,300.22                   | 4,300.22                                  | VARIOUS           | 4,169.43                | 0.00                                  | 130.79                                   | Total of 4 lots        |
| GRUPO FIN SANTANDER MEXICO SER B SPON ADR / CUSIP: 40053C105 / Symbol: BSMX          |          |                            |                                           |                   |                         |                                       |                                          |                        |
| 07/31/14                                                                             | 197,000  | 2,671.66                   | 2,671.66                                  | 11/15/12          | 2,684.09                | 0.00                                  | -12.43                                   | Sale                   |
| ISHARES MSCI JAPAN ETF / CUSIP: 464286848 / Symbol: EWJ                              |          |                            |                                           |                   |                         |                                       |                                          |                        |
| 07/24/14                                                                             | 155,000  | 1,874.10                   | 1,874.10                                  | 04/24/13          | 1,785.00                | 0.00                                  | 89.10                                    | Sale                   |

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## UBS FINANCIAL SERVICES INC.

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

(continued)

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net)) | 1d- Proceeds & Reported (Gross (Net)) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| LOREAL CO ADR FRANCE ADR / CUSIP: 502117203 / Symbol: LRLCY                           | 69,000   | 2,240.18                  |                                       | 03/05/12          | 1,597.11                | 0.00                                  | 643.07                                   | Sale                   |
| MAKITA CORP ADR JAPAN ADR / CUSIP: 560877300 / Symbol: MKTAY                          |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 05/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 15,000                                                                                | 814.73   | 578.39                    |                                       | 09/18/12          |                         | 0.00                                  | 236.34                                   | Sale                   |
| 9,000                                                                                 | 488.84   | 343.34                    |                                       | 10/10/12          |                         | 0.00                                  | 145.50                                   | Sale                   |
| 24,000                                                                                | 1,303.57 | 921.73                    |                                       | VARIOUS           |                         | 0.00                                  | 381.84                                   | Total of 2 lots        |
| 10/02/14                                                                              |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 39,000                                                                                | 2,096.48 | 1,487.81                  |                                       | 10/10/12          |                         | 0.00                                  | 608.67                                   | Sale                   |
| 23,000                                                                                | 1,236.38 | 924.62                    |                                       | 11/21/12          |                         | 0.00                                  | 311.76                                   | Sale                   |
| 62,000                                                                                | 3,332.86 | 2,412.43                  |                                       | VARIOUS           |                         | 0.00                                  | 920.43                                   | Total of 2 lots        |
| Security total.                                                                       |          | 4,636.43                  |                                       |                   |                         | 0.00                                  | 1,302.27                                 |                        |
| MINDRAY MEDICAL INTL LTD SPON ADR / CUSIP: 602675100 / Symbol: MIR                    |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 03/21/14                                                                              | 22,000   | 701.60                    |                                       | 11/08/12          | 709.01                  | 0.00                                  | -7.41                                    | Sale                   |
| NISSAN MTR LTD SPONS ADR JAPAN ADR / CUSIP: 654744408 / Symbol: NSANY                 |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/06/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 4,000                                                                                 | 75.06    | 81.79                     |                                       | 02/23/12          |                         | 0.00                                  | -6.73                                    | Sale                   |
| 68,000                                                                                | 1,276.01 | 1,400.29                  |                                       | 03/13/12          |                         | 0.00                                  | -124.28                                  | Sale                   |
| 72,000                                                                                | 1,351.07 | 1,482.08                  |                                       | VARIOUS           |                         | 0.00                                  | -131.01                                  | Total of 2 lots        |
| 10/06/14                                                                              |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 7 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 26,000                                                                                | 461.83   | 535.41                    |                                       | 03/13/12          |                         | 0.00                                  | -73.58                                   | Sale                   |
| 56,000                                                                                | 994.70   | 1,092.56                  |                                       | 08/24/12          |                         | 0.00                                  | -97.86                                   | Sale                   |
| 26,000                                                                                | 461.82   | 480.31                    |                                       | 11/20/12          |                         | 0.00                                  | -18.49                                   | Sale                   |
| 9,000                                                                                 | 159.86   | 168.24                    |                                       | 11/21/12          |                         | 0.00                                  | -8.38                                    | Sale                   |
| 62,000                                                                                | 1,101.28 | 1,234.15                  |                                       | 12/19/12          |                         | 0.00                                  | -132.87                                  | Sale                   |
| 18,000                                                                                | 319.72   | 355.96                    |                                       | 04/04/13          |                         | 0.00                                  | -36.24                                   | Sale                   |
| 71,000                                                                                | 1,261.14 | 1,432.61                  |                                       | 04/05/13          |                         | 0.00                                  | -171.47                                  | Sale                   |
| 268,000                                                                               | 4,760.35 | 5,299.24                  |                                       | VARIOUS           |                         | 0.00                                  | -538.89                                  | Total of 7 lots        |
| Security total:                                                                       |          | 6,111.42                  |                                       |                   |                         | 0.00                                  | -669.90                                  |                        |

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UBS FINANCIAL SERVICES INC. Account: PF 00119

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported ADR / CUSIP | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| PHILIPPINE LONG DISTANCE TEL CO SPONSORED ADR / CUSIP: 718252604 / Symbol: PHI        | 13.000   |                         | 906.65                                | 03/25/13          | 893.40                  | 0.00                                  | 13.25                                    | Sale                   |
| ROYAL DUTCH SHELL PLC CL A SPON ADR / CUSIP: 780259206 / Symbol: RDSA                 | 81.000   |                         | 5,388.83                              | 02/28/13          | 5,333.39                | 0.00                                  | 55.44                                    | Sale                   |
| 12/09/14                                                                              | 52.000   |                         | 3,436.12                              | 02/28/13          | 3,423.90                | 0.00                                  | 12.22                                    | Sale                   |
| 12/23/14                                                                              | 5.000    |                         | 339.54                                | 02/28/13          | 329.22                  | 0.00                                  | 10.32                                    | Sale                   |
| Security total:                                                                       |          |                         | 9,164.49                              |                   | 9,086.51                | 0.00                                  | 77.98                                    |                        |
| SSE PLC SPON ADR / CUSIP: 78467K107 / Symbol: SSEZY                                   | 37.000   |                         | 962.88                                | 12/13/11          | 736.39                  | 0.00                                  | 226.49                                   | Sale                   |
| 05/15/14                                                                              |          |                         |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                       |                   |                         |                                       |                                          |                        |
| 06/02/14                                                                              | 30.000   |                         | 789.91                                | 12/13/11          | 597.08                  | 0.00                                  | 192.83                                   | Sale                   |
|                                                                                       | 25.000   |                         | 658.25                                | 03/25/13          | 567.51                  | 0.00                                  | 90.74                                    | Sale                   |
|                                                                                       | 55.000   |                         | 1,448.16                              | VARIOUS           | 1,164.59                | 0.00                                  | 283.57                                   | Total of 2 lots        |
| 06/04/14                                                                              | 35.000   |                         | 908.04                                | 03/25/13          | 794.51                  | 0.00                                  | 113.53                                   | Sale                   |
| Security total:                                                                       |          |                         | 3,319.08                              |                   | 2,695.49                | 0.00                                  | 623.59                                   |                        |
| SUN ART RETAIL GROUP LTD UN SPONSORED ADR / CUSIP: 866633100 / Symbol: SURRY          | 38.000   |                         | 445.74                                | 05/22/13          | 507.01                  | 0.00                                  | -61.27                                   | Sale                   |
| 06/18/14                                                                              | 51.000   |                         | 583.81                                | 05/22/13          | 680.46                  | 0.00                                  | -96.65                                   | Sale                   |
| 06/20/14                                                                              | 54.000   |                         | 619.67                                | 05/22/13          | 720.50                  | 0.00                                  | -100.83                                  | Sale                   |
| Security total:                                                                       |          |                         | 1,649.22                              |                   | 1,907.97                | 0.00                                  | -258.75                                  |                        |
| SYNGENTA AG SPON ADR / CUSIP: 87160A100 / Symbol: SYT                                 | 9.000    |                         | 694.70                                | 03/23/12          | 607.08                  | 0.00                                  | 87.62                                    | Sale                   |
| 15.000                                                                                |          |                         | 1,157.83                              | 08/23/12          | 1,035.36                | 0.00                                  | 122.47                                   | Sale                   |
| 4.000                                                                                 |          |                         | 308.76                                | 02/21/13          | 335.82                  | 0.00                                  | -27.06                                   | Sale                   |
| 28.000                                                                                |          |                         | 2,161.29                              | VARIOUS           | 1,978.26                | 0.00                                  | 183.03                                   | Total of 3 lots        |
| 05/30/14                                                                              | 11.000   |                         | 847.73                                | 02/21/13          | 923.51                  | 0.00                                  | -75.78                                   | Sale                   |
| 06/02/14                                                                              |          |                         | 3,009.02                              |                   | 2,901.77                | 0.00                                  | 107.25                                   |                        |
| Security total:                                                                       |          |                         |                                       |                   |                         |                                       |                                          |                        |
| TEVA PHARMACEUTICALS IND LTD ISRAEL ADR / CUSIP: 881624209 / Symbol: TEVA             | 49.000   |                         | 2,434.68                              | 07/12/11          | 2,412.06                | 0.00                                  | 22.62                                    | Sale                   |
| 05/15/14                                                                              | 30.000   |                         | 1,645.99                              | 07/12/11          | 1,476.76                | 0.00                                  | 169.23                                   | Sale                   |
| 07/24/14                                                                              |          |                         |                                       |                   |                         |                                       |                                          |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00119

# **Proceeds from Broker and Barter Exchange Transactions**

2014 1099-B\*

(continued)

OMB No. 1545-0715

## **2- LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box D checked

### **5- COVERED tax lots 3- Basis is reported to the IRS\*\***

#### **1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| Security total:                                                                       |          | 4,080.67                 |                                      |                   | 3,888.82                | 0.00                                  | 191.85                                   |                        |
| TOTAL S.A. FRANCE SPON ADR / CUSIP: 89151E109 / Symbol: TOT                           | 1.000    | 69.98                    |                                      | 10/07/11          | 47.63                   | 0.00                                  | 22.35                                    | Sale                   |
| 06/03/14                                                                              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 3 tax lots for 11/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 35.000   | 1,939.85                 |                                      | 10/07/11          | 1,667.13                | 0.00                                  | 272.72                                   | Sale                   |
|                                                                                       | 21.000   | 1,163.92                 |                                      | 10/13/11          | 1,049.17                | 0.00                                  | 114.75                                   | Sale                   |
|                                                                                       | 17.000   | 942.21                   |                                      | 10/16/12          | 876.99                  | 0.00                                  | 65.22                                    | Sale                   |
| 11/28/14                                                                              | 73.000   | 4,045.98                 |                                      | VARIOUS           | 3,593.29                | 0.00                                  | 452.69                                   | Total of 3 lots        |
| 3 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 10.000   | 540.88                   |                                      | 10/16/12          | 515.88                  | 0.00                                  | 25.00                                    | Sale                   |
|                                                                                       | 21.000   | 1,135.84                 |                                      | 07/02/13          | 1,013.83                | 0.00                                  | 122.01                                   | Sale                   |
|                                                                                       | 9.000    | 486.79                   |                                      | 08/15/13          | 488.40                  | 0.00                                  | -1.61                                    | Sale                   |
| 12/09/14                                                                              | 40.000   | 2,163.51                 |                                      | VARIOUS           | 2,018.11                | 0.00                                  | 145.40                                   | Total of 3 lots        |
| 2 tax lots for 12/16/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 64.000   | 3,182.84                 |                                      | 08/15/13          | 3,473.10                | 0.00                                  | -290.26                                  | Sale                   |
|                                                                                       | 26.000   | 1,293.03                 |                                      | 08/28/13          | 1,474.15                | 0.00                                  | -181.12                                  | Sale                   |
| 12/16/14                                                                              | 90.000   | 4,475.87                 |                                      | VARIOUS           | 4,947.25                | 0.00                                  | -471.38                                  | Total of 2 lots        |
| Security total:                                                                       |          | 10,755.34                |                                      |                   | 10,606.28               | 0.00                                  | 149.06                                   |                        |
| VODAFONE GROUP PLC SPON ADR / CUSIP: 92857W308 / Symbol: VOD                          | 31.000   | 1,077.91                 |                                      | 09/05/13          | 1,866.56                | 0.00                                  | -788.65                                  | Sale                   |
| 12/23/14                                                                              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| LSELEY PLC SPON ADR / CUSIP: 977868306 / Symbol: WOSYY                                |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/13/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 89.073   | 492.33                   |                                      | 04/19/12          | 373.04                  | 0.00                                  | 119.29                                   | Sale                   |
|                                                                                       | 392.927  | 2,171.82                 |                                      | 04/20/12          | 1,649.96                | 0.00                                  | 521.86                                   | Sale                   |
| 06/13/14                                                                              | 482.000  | 2,664.15                 |                                      | VARIOUS           | 2,023.00                | 0.00                                  | 641.15                                   | Total of 2 lots        |
| DEXUS PPTY GROUP REIT ADR / CUSIP: AU00000DXSDA3 / Symbol:                            |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 11/11/14                                                                              | 0.500    | 2.89                     |                                      | 09/16/13          | 2.86                    | 0.00                                  | 0.03                                     | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00119

**Proceeds from Broker and Barter Exchange Transactions**

2014: 1099-B\*

(continued)

OMB No. 1545-0715

**5- COVERED tax lots 3- Basis is reported to the IRS\*\*****2- LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box D checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                                | Quantity        | 6- Reported (G)ross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|------------------------------------------------------------------------------------------|-----------------|---------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| LEG IMMOBILIEN AG FOREIGN STOCK EUR / CUSIP: DE000LEG1110 / Symbol: LEGIF                |                 |                           |                                  |                         |                                       |                        |                                          |
| 3 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS     |                 |                           |                                  |                         |                                       |                        |                                          |
|                                                                                          | 14,000          | 962.36                    | 04/23/13                         | 753.62                  | 0.00                                  |                        | 208.74 Sale                              |
|                                                                                          | 20,000          | 1,374.80                  | 04/24/13                         | 1,086.14                | 0.00                                  |                        | 288.66 Sale                              |
|                                                                                          | 5,000           | 343.70                    | 04/25/13                         | 271.53                  | 0.00                                  |                        | 72.17 Sale                               |
| 10/31/14                                                                                 | 39,000          | 2,680.86                  | VARIOUS                          | 2,111.29                | 0.00                                  |                        | 569.57 Total of 3 lots                   |
| STANDARD CHARTERED PLC FOREIGN STOCK / CUSIP: G84228157 / Symbol: SCBFF                  |                 |                           |                                  |                         |                                       |                        |                                          |
| 2 tax lots for 01/09/14. Total proceeds (and cost when required) reported to the IRS.    |                 |                           |                                  |                         |                                       |                        |                                          |
|                                                                                          | 180,000         | 3,695.58                  | 10/15/12                         | 4,250.39                | 0.00                                  |                        | -554.81 Sale                             |
|                                                                                          | 60,000          | 1,231.86                  | 12/19/12                         | 1,539.08                | 0.00                                  |                        | -307.22 Sale                             |
| 01/09/14                                                                                 | 240,000         | 4,927.44                  | VARIOUS                          | 5,789.47                | 0.00                                  |                        | -862.03 Total of 2 lots                  |
| ABERDEEN ASSET MANAGEMENT PLC FOREIGN STOCK GBP / CUSIP: GB0000031285 / Symbol: ABDNF    |                 |                           |                                  |                         |                                       |                        |                                          |
| 2 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS.    |                 |                           |                                  |                         |                                       |                        |                                          |
|                                                                                          | 135,000         | 872.00                    | 08/21/12                         | 602.28                  | 0.00                                  |                        | 269.72 Sale                              |
|                                                                                          | 297,000         | 1,918.42                  | 10/09/12                         | 1,553.43                | 0.00                                  |                        | 364.99 Sale                              |
| 01/24/14                                                                                 | 432,000         | 2,790.42                  | VARIOUS                          | 2,155.71                | 0.00                                  |                        | 634.71 Total of 2 lots                   |
| IMAGINATION TECH GROUP PLC ORD FOREIGN STOCK GBP / CUSIP: GB0009303123 / Symbol: IGMNF   |                 |                           |                                  |                         |                                       |                        |                                          |
| 12/23/14                                                                                 | 100,000         | 354.61                    | 06/07/12                         | 786.23                  | 0.00                                  |                        | -431.62 Sale                             |
| TOPCON CORP FOREIGN STOCK JPY / CUSIP: JP3630400004 / Symbol: TOPCF                      |                 |                           |                                  |                         |                                       |                        |                                          |
| 11/04/14                                                                                 | 400,000         | 9,631.28                  | 06/12/13                         | 4,108.20                | 0.00                                  |                        | 5,523.08 Sale                            |
| FIBRA UNO ADMINISTRATION SA REIT FOREIGN STOCK MXN / CUSIP: MXCFU0000001 / Symbol: FBASF |                 |                           |                                  |                         |                                       |                        |                                          |
| 02/27/14                                                                                 | 341,000         | 1,076.76                  | 01/31/13                         | 1,069.07                | 0.00                                  |                        | 7.69 Sale                                |
| 02/28/14                                                                                 | 797,000         | 2,541.59                  | 01/31/13                         | 2,498.67                | 0.00                                  |                        | 42.92 Sale                               |
|                                                                                          | Security total: | 3,618.35                  |                                  | 3,567.74                | 0.00                                  |                        | 50.61                                    |
| BANGKOK BANK PUBLIC CO LTD FOREIGN STOCK THB / CUSIP: TH0001010R16 / Symbol: BGKKF       |                 |                           |                                  |                         |                                       |                        |                                          |
| 01/30/14                                                                                 | 900,000         | 4,578.49                  | 09/15/11                         | 4,449.78                | 0.00                                  |                        | 128.71 Sale                              |
| <b>Totals:</b>                                                                           |                 | <b>98,291.53</b>          |                                  | <b>88,237.42</b>        | <b>0.00</b>                           |                        | <b>10,054.11</b>                         |

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UBS FINANCIAL SERVICES INC.

Account: PF 00119

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| AIR LIQUIDE ADR FRANCE ADR / CUSIP: 009126202 / Symbol: AIQY                          | 0.800    | 21.63                     | 11/20/09                                 | 14.44         | 0.00                | 7.19                          | Sale                                     |                        |
| 07/14/14                                                                              |          |                           |                                          |               |                     |                               |                                          |                        |
| ANHEUSER BUSCH INBEV SPON ADR / CUSIP: 03524A108 / Symbol: BUD                        |          |                           |                                          |               |                     |                               |                                          |                        |
| 2 tax lots for 01/24/14 Total proceeds (and cost when required) reported to the IRS.  | 5.000    | 499.51                    | 05/06/10                                 | 229.10        | 0.00                | 270.41                        | Sale                                     |                        |
|                                                                                       | 8.000    | 799.22                    | 05/19/10                                 | 384.43        | 0.00                | 414.79                        | Sale                                     |                        |
| 01/24/14                                                                              | 13.000   | 1,298.73                  | VARIOUS                                  | 613.53        | 0.00                | 685.20                        | Total of 2 lots                          |                        |
| ASSOCIATED BRITISH FOODS PLC NEW ADR / CUSIP: 045519402 / Symbol: ASBFY               |          |                           |                                          |               |                     |                               |                                          |                        |
| 2 tax lots for 03/10/14 Total proceeds (and cost when required) reported to the IRS.  | 12.000   | 594.86                    | 09/14/10                                 | 197.45        | 0.00                | 397.41                        | Sale                                     |                        |
|                                                                                       | 13.000   | 644.43                    | 12/21/10                                 | 234.41        | 0.00                | 410.02                        | Sale                                     |                        |
| 03/10/14                                                                              | 25.000   | 1,239.29                  | VARIOUS                                  | 431.86        | 0.00                | 807.43                        | Total of 2 lots                          |                        |
| BAYER A G SPON ADR / CUSIP: 072730302 / Symbol: BAYRY                                 |          |                           |                                          |               |                     |                               |                                          |                        |
| 06/16/14                                                                              | 1.000    | 140.06                    | 02/10/10                                 | 66.58         | 0.00                | 73.48                         | Sale                                     |                        |
| BRITISH AMER TOBACCO PLC GB SPON ADR / CUSIP: 110448107 / Symbol: BTI                 |          |                           |                                          |               |                     |                               |                                          |                        |
| 01/31/14                                                                              | 24.000   | 2,300.66                  | 11/20/09                                 | 1,523.52      | 0.00                | 777.14                        | Sale                                     |                        |
| ENERGY DEV CORP ADR / CUSIP: 29269D106 / Symbol: EGDCY                                |          |                           |                                          |               |                     |                               |                                          |                        |
| 2 tax lots for 10/03/14. Total proceeds (and cost when required) reported to the IRS. | 43.000   | 763.69                    | 12/08/10                                 | 595.25        | 0.00                | 168.44                        | Sale                                     |                        |
|                                                                                       | 19.000   | 337.44                    | 12/09/10                                 | 263.95        | 0.00                | 73.49                         | Sale                                     |                        |
| 10/03/14                                                                              | 62.000   | 1,101.13                  | VARIOUS                                  | 859.20        | 0.00                | 241.93                        | Total of 2 lots                          |                        |
| 10/07/14                                                                              | 32.000   | 566.45                    | 12/09/10                                 | 444.55        | 0.00                | 121.90                        | Sale                                     |                        |
| 2 tax lots for 10/08/14. Total proceeds (and cost when required) reported to the IRS. | 9.000    | 156.28                    | 12/09/10                                 | 125.03        | 0.00                | 31.25                         | Sale                                     |                        |
|                                                                                       | 29.000   | 503.56                    | 12/10/10                                 | 403.43        | 0.00                | 100.13                        | Sale                                     |                        |
| 10/08/14                                                                              | 38.000   | 659.84                    | VARIOUS                                  | 528.46        | 0.00                | 131.38                        | Total of 2 lots                          |                        |
| 10/09/14                                                                              | 18.000   | 313.54                    | 12/10/10                                 | 250.41        | 0.00                | 63.13                         | Sale                                     |                        |
| Security total.                                                                       |          | 2,640.96                  |                                          | 2,082.62      | 0.00                | 558.34                        |                                          |                        |

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UBS FINANCIAL SERVICES INC.

Account: PF 00119

2014 - 1099-B\*

(continued)

OMB No: 1545-0715

**Proceeds from Broker and Barter Exchange Transactions****5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*****LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box E checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net | 1d- Proceeds & | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|-------------------------|----------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| FAMILYMART CO LTD ADR / CUSIP: 30706U107 / Symbol:                                    |                 |                         |                |               |                     |                               |                                          |                        |
| 05/16/14                                                                              | 39,000          | 1,597.44                |                | 11/20/09      | 1,207.05            | 0.00                          | 390.39                                   | Sale                   |
| JARDINE MATHESON HD-UNSP ADR ADR / CUSIP: 471115402 / Symbol: JMHLY                   |                 |                         |                |               |                     |                               |                                          |                        |
| 04/10/14                                                                              | 19,000          | 1,144.02                |                | 11/20/09      | 585.20              | 0.00                          | 558.82                                   | Sale                   |
| LOREAL CO ADR FRANCE ADR / CUSIP: 502117203 / Symbol: LRLCY                           |                 |                         |                |               |                     |                               |                                          |                        |
| 2 tax lots for 03/18/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 3,000           | 97.40                   |                | 02/11/10      | 62.25               | 0.00                          | 35.15                                    | Sale                   |
|                                                                                       | 70,000          | 2,272.65                |                | 02/16/10      | 1,411.74            | 0.00                          | 860.91                                   | Sale                   |
| 03/18/14                                                                              | 73,000          | 2,370.05                |                | VARIOUS       | 1,473.99            | 0.00                          | 896.06                                   | Total of 2 lots        |
| MINDRAY MEDICAL INTL LTD SPON ADR / CUSIP: 602675100 / Symbol: MR                     |                 |                         |                |               |                     |                               |                                          |                        |
| 03/20/14                                                                              | 62,000          | 2,040.13                |                | 09/10/10      | 1,822.29            | 0.00                          | 217.84                                   | Sale                   |
| 03/21/14                                                                              | 19,000          | 605.92                  |                | 09/10/10      | 558.44              | 0.00                          | 47.48                                    | Sale                   |
|                                                                                       | Security total: | 2,646.05                |                |               | 2,380.73            | 0.00                          | 265.32                                   |                        |
| NOVARTIS AG SPON ADR / CUSIP: 66987V109 / Symbol: NVS                                 |                 |                         |                |               |                     |                               |                                          |                        |
| 06/02/14                                                                              | 22,000          | 1,948.94                |                | 09/05/08      | 1,176.40            | 0.00                          | 772.54                                   | Sale                   |
| SYNGENTA AG SPON ADR / CUSIP: 87160A100 / Symbol: SYT                                 |                 |                         |                |               |                     |                               |                                          |                        |
| 2 tax lots for 01/29/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 18,000          | 1,309.25                |                | 11/20/09      | 937.26              | 0.00                          | 371.99                                   | Sale                   |
|                                                                                       | 21,000          | 1,527.45                |                | 07/08/10      | 911.62              | 0.00                          | 615.83                                   | Sale                   |
| 01/29/14                                                                              | 39,000          | 2,836.70                |                | VARIOUS       | 1,848.88            | 0.00                          | 987.82                                   | Total of 2 lots        |
| 2 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS  |                 |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 1,000           | 77.19                   |                | 07/08/10      | 43.41               | 0.00                          | 33.78                                    | Sale                   |
|                                                                                       | 15,000          | 1,157.84                |                | 09/14/10      | 757.14              | 0.00                          | 400.70                                   | Sale                   |
| 05/30/14                                                                              | 16,000          | 1,235.03                |                | VARIOUS       | 800.55              | 0.00                          | 434.48                                   | Total of 2 lots        |
|                                                                                       | Security total: | 4,071.73                |                |               | 2,649.43            | 0.00                          | 1,422.30                                 |                        |
| TOTAL S.A. FRANCE SPON ADR / CUSIP: 89151E109 / Symbol: TOT                           |                 |                         |                |               |                     |                               |                                          |                        |
| 06/02/14                                                                              | 20,000          | 1,399.58                |                | 11/20/09      | 1,237.53            | 0.00                          | 162.05                                   | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00119

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

1c- Date sold or disposed

1d- Proceeds &amp; 6- Reported (Gross (Net)

Date acquired

Cost or other basis

Adjustments &amp; Code(s), if any

Gain or loss(-) &amp; 7- Loss not allowed(X)

Additional information

TOTAL S.A FRANCE SPON ADR / CUSIP: 89151E109 / Symbol: TOT (cont'd)

2 tax lots for 06/03/14. Total proceeds (and cost when required) reported to the IRS.

|          |                 |          |          |          |      |        |                 |
|----------|-----------------|----------|----------|----------|------|--------|-----------------|
| 06/03/14 | 4,000           | 279.92   | 11/20/09 | 247.51   | 0.00 | 32.41  | Sale            |
|          | 4,000           | 279.91   | 12/21/09 | 250.64   | 0.00 | 29.27  | Sale            |
|          | 8,000           | 559.83   | VARIOUS  | 498.15   | 0.00 | 61.68  | Total of 2 lots |
|          | Security total: | 1,959.41 |          | 1,735.68 | 0.00 | 223.73 |                 |

TOYOTA MOTOR CORP NEW JAPAN SPON ADR / CUSIP: 892331307 / Symbol: TM

4 tax lots for 02/27/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |          |          |          |      |          |                 |
|----------|--------|----------|----------|----------|------|----------|-----------------|
| 02/27/14 | 9,000  | 1,040.99 | 01/14/10 | 819.42   | 0.00 | 221.57   | Sale            |
|          | 8,000  | 925.33   | 01/29/10 | 617.83   | 0.00 | 307.50   | Sale            |
|          | 10,000 | 1,156.66 | 02/04/10 | 718.02   | 0.00 | 438.64   | Sale            |
|          | 2,000  | 231.33   | 03/15/10 | 155.90   | 0.00 | 75.43    | Sale            |
|          | 29,000 | 3,354.31 | VARIOUS  | 2,311.17 | 0.00 | 1,043.14 | Total of 4 lots |

ACTELION LTD ALLSCHWIL CHF / CUSIP: CH0010532478 / Symbol:

|          |                 |          |          |        |      |          |      |
|----------|-----------------|----------|----------|--------|------|----------|------|
| 07/22/14 | 8,000           | 1,001.43 | 11/20/09 | 459.29 | 0.00 | 542.14   | Sale |
| 08/29/14 | 8,000           | 976.54   | 11/20/09 | 459.28 | 0.00 | 517.26   | Sale |
|          | Security total: | 1,977.97 |          | 918.57 | 0.00 | 1,059.40 |      |

GERRY WEBER INTERNATIONAL AG FOREIGN STOCK EUR / CUSIP: DE0003304101 / Symbol: GRYIF

2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS.

|          |         |           |          |           |      |          |                 |
|----------|---------|-----------|----------|-----------|------|----------|-----------------|
| 04/15/14 | 10,000  | 518.41    | 11/29/10 | 228.18    | 0.00 | 290.23   | Sale            |
|          | 3,000   | 155.52    | 11/30/10 | 69.11     | 0.00 | 86.41    | Sale            |
|          | 13,000  | 673.93    | VARIOUS  | 297.29    | 0.00 | 376.64   | Total of 2 lots |
|          | Totals: | 29,385.18 |          | 19,468.06 | 0.00 | 9,917.12 |                 |

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UBS FINANCIAL SERVICES INC.

Account PF 00120

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                               | Quantity | 6- Reported (Gross) Net | 1d- Proceeds & Reported (Gross) Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & (f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| CABOT CORP COMMON STOCK / CUSIP: 127055101 / Symbol: CBT                |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                | 5,000    |                         | 283.44                              | 09/25/13          | 211.43                  | 0.00                                  | 72.01                  | Sale                                     |
| 06/10/14                                                                | 6,000    |                         | 354.65                              | 09/25/13          | 253.71                  | 0.00                                  | 100.94                 | Sale                                     |
| Security total:                                                         |          |                         | 638.09                              |                   | 465.14                  | 0.00                                  | 172.95                 |                                          |
| DOVER CORP COMMON STOCK / CUSIP: 260003108 / Symbol: DOV                |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 09/24/14                                                                | 2,000    |                         | 163.51                              | 08/05/14          | 171.06                  | 0.00                                  | -7.55                  | Sale                                     |
| MASCO CORP COMMON STOCK / CUSIP: 574599106 / Symbol: MAS                |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                | 20,000   |                         | 423.39                              | 10/11/13          | 410.35                  | 0.00                                  | 13.04                  | Sale                                     |
| 06/10/14                                                                | 24,000   |                         | 539.03                              | 10/11/13          | 492.42                  | 0.00                                  | 46.61                  | Sale                                     |
| 09/24/14                                                                | 2,000    |                         | 46.99                               | 10/11/13          | 41.03                   | 0.00                                  | 5.96                   | Sale                                     |
| Security total:                                                         |          |                         | 1,009.41                            |                   | 943.80                  | 0.00                                  | 65.61                  |                                          |
| STIFEL FINANCIAL CORP COMMON STOCK / CUSIP: 860630102 / Symbol: SF      |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                | 24,000   |                         | 1,049.27                            | 10/22/13          | 983.20                  | 0.00                                  | 66.07                  | Sale                                     |
| 06/10/14                                                                | 8,000    |                         | 373.59                              | 10/22/13          | 327.73                  | 0.00                                  | 45.86                  | Sale                                     |
| 09/24/14                                                                | 4,000    |                         | 192.89                              | 10/22/13          | 163.87                  | 0.00                                  | 29.02                  | Sale                                     |
| Security total:                                                         |          |                         | 1,615.75                            |                   | 1,474.80                | 0.00                                  | 140.95                 |                                          |
| RENAISSANCE HOLDINGS LTD FOREIGN STOCK / CUSIP: G7496G103 / Symbol: RNR |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                | 4,000    |                         | 400.43                              | 10/29/13          | 375.56                  | 0.00                                  | 24.87                  | Sale                                     |
| 06/10/14                                                                | 4,000    |                         | 424.46                              | 10/29/13          | 375.56                  | 0.00                                  | 48.90                  | Sale                                     |
| Security total:                                                         |          |                         | 824.89                              |                   | 751.12                  | 0.00                                  | 73.77                  |                                          |
| <b>Totals:</b>                                                          |          |                         | <b>4,251.65</b>                     |                   | <b>3,805.92</b>         | <b>0.00</b>                           | <b>445.73</b>          |                                          |

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UBS FINANCIAL SERVICES INC.

Account PF 00120

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                 | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-----------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| AARONS INC CL A / CUSIP: 002535300 / Symbol: AAN          |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 03/18/14                                                  | 25 000          |                           | 790.00                                | 08/31/12          | 745.52                  | 0.00                                  |                        | 44.48 Sale                               |
| 03/19/14                                                  | 90 000          |                           | 2,799.23                              | 08/31/12          | 2,683.86                | 0.00                                  |                        | 115.37 Sale                              |
| 03/21/14                                                  | 67 000          |                           | 2,084.68                              | 08/31/12          | 1,997.99                | 0.00                                  |                        | 86.69 Sale                               |
|                                                           | Security total: |                           | 5,673.91                              |                   | 5,427.37                | 0.00                                  |                        | 246.54                                   |
| ACTIVISION BLIZZARD INC / CUSIP: 00507V109 / Symbol: ATVI |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 4/15/14                                                   | 22 000          |                           | 416.09                                | 03/26/12          | 280.44                  | 0.00                                  |                        | 135.65 Sale                              |
| 06/10/14                                                  | 26 000          |                           | 554.94                                | 03/26/12          | 331.43                  | 0.00                                  |                        | 223.51 Sale                              |
| 09/24/14                                                  | 2 000           |                           | 42.36                                 | 03/26/12          | 25.50                   | 0.00                                  |                        | 16.86 Sale                               |
| 10/29/14                                                  | 3 000           |                           | 57.13                                 | 03/26/12          | 38.24                   | 0.00                                  |                        | 18.89 Sale                               |
| 11/24/14                                                  | 6 000           |                           | 126.95                                | 03/26/12          | 76.48                   | 0.00                                  |                        | 50.47 Sale                               |
|                                                           | Security total: |                           | 1,197.47                              |                   | 752.09                  | 0.00                                  |                        | 445.38                                   |
| ALLEGHENY TECHNOLOGY INC / CUSIP: 01741R102 / Symbol: ATI |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                  | 10 000          |                           | 400.99                                | 01/09/13          | 314.48                  | 0.00                                  |                        | 86.51 Sale                               |
| 06/10/14                                                  | 12 000          |                           | 491.11                                | 01/09/13          | 377.39                  | 0.00                                  |                        | 113.72 Sale                              |
| 11/24/14                                                  | 6 000           |                           | 209.69                                | 01/09/13          | 188.69                  | 0.00                                  |                        | 21.00 Sale                               |
|                                                           | Security total: |                           | 1,101.79                              |                   | 880.56                  | 0.00                                  |                        | 221.23                                   |
| AMERISOURCEBERGEN CORP / CUSIP: 03073E105 / Symbol: ABC   |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                  | 5 000           |                           | 316.74                                | 03/12/13          | 243.84                  | 0.00                                  |                        | 72.90 Sale                               |
| 06/10/14                                                  | 8 000           |                           | 575.94                                | 03/12/13          | 390.14                  | 0.00                                  |                        | 185.80 Sale                              |
| 09/24/14                                                  | 3 000           |                           | 233.69                                | 03/12/13          | 146.30                  | 0.00                                  |                        | 87.39 Sale                               |
| 11/24/14                                                  | 3 000           |                           | 269.42                                | 03/12/13          | 146.31                  | 0.00                                  |                        | 123.11 Sale                              |
|                                                           | Security total: |                           | 1,395.79                              |                   | 926.59                  | 0.00                                  |                        | 469.20                                   |
| RE GROUP INC CL A / CUSIP: 12504L109 / Symbol: CBG        |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                  | 28 000          |                           | 741.42                                | 03/15/12          | 576.73                  | 0.00                                  |                        | 164.69 Sale                              |
| 06/10/14                                                  | 22 000          |                           | 669.74                                | 03/15/12          | 453.15                  | 0.00                                  |                        | 216.59 Sale                              |
| 10/29/14                                                  | 6 000           |                           | 184.27                                | 03/15/12          | 123.58                  | 0.00                                  |                        | 60.69 Sale                               |
| 11/24/14                                                  | 10 000          |                           | 338.12                                | 03/15/12          | 205.98                  | 0.00                                  |                        | 132.14 Sale                              |
|                                                           | Security total: |                           | 1,933.55                              |                   | 1,359.44                | 0.00                                  |                        | 574.11                                   |
| CABOT CORP COMMON STOCK / CUSIP: 127055101 / Symbol: CBT  |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 11/24/14                                                  | 3 000           |                           | 139.94                                | 09/25/13          | 126.86                  | 0.00                                  |                        | 13.08 Sale                               |

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UBS FINANCIAL SERVICES INC.

Account: PF 00120

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                     | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| COACH INC / CUSIP: 189754104 / Symbol: COH                    | 102 000  | 4,738.64                  | 547.67                                | 01/11/13          | 5,982.24                | 0.00                                  | -1,243.60              | Sale                                     |
| 02/03/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| ECHOSTAR CORP CL A / CUSIP: 278768106 / Symbol: SATS          | 12 000   | 307.13                    | 99.31                                 | 02/20/13          | 477.35                  | 0.00                                  | 70.32                  | Sale                                     |
| 04/15/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/10/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 09/24/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 10/29/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 11/24/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| Security total:                                               |          |                           | 106.23                                | 02/20/13          | 119.34                  | 0.00                                  | 20.03                  | Sale                                     |
|                                                               |          |                           | 1,199.71                              | 02/20/13          | 79.56                   | 0.00                                  | 26.67                  | Sale                                     |
|                                                               |          |                           |                                       |                   | 994.48                  | 0.00                                  | 205.23                 |                                          |
| KEYCORP NEW COMMON STOCK / CUSIP: 493267108 / Symbol: KEY     | 46 000   | 610.41                    | 375.17                                | 01/25/12          | 377.33                  | 0.00                                  | 233.08                 | Sale                                     |
| 04/15/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/10/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 09/24/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 10/29/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 11/24/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| Security total:                                               |          |                           | 223.03                                | 01/25/12          | 57.42                   | 0.00                                  | 37.35                  | Sale                                     |
|                                                               |          |                           | 148.76                                | 01/25/12          | 139.44                  | 0.00                                  | 83.59                  | Sale                                     |
|                                                               |          |                           | 1,452.14                              | 01/25/12          | 90.23                   | 0.00                                  | 58.53                  | Sale                                     |
|                                                               |          |                           |                                       |                   | 877.69                  | 0.00                                  | 574.45                 |                                          |
| MASCO CORP COMMON STOCK / CUSIP: 574599106 / Symbol: MAS      | 13 000   | 316.08                    |                                       | 10/11/13          | 266.73                  | 0.00                                  | 49.35                  | Sale                                     |
| 11/24/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| MEDNAX INC / CUSIP: 58502B106 / Symbol: MD                    | 5 000    | 296.19                    | 466.81                                | 08/13/12          | 173.75                  | 0.00                                  | 122.44                 | Sale                                     |
| 04/15/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/10/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 10/29/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 11/24/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| Security total:                                               |          |                           | 113.17                                | 08/13/12          | 278.00                  | 0.00                                  | 188.81                 | Sale                                     |
|                                                               |          |                           | 128.98                                | 08/13/12          | 69.50                   | 0.00                                  | 43.67                  | Sale                                     |
|                                                               |          |                           | 1,005.15                              | 08/13/12          | 69.50                   | 0.00                                  | 59.48                  | Sale                                     |
|                                                               |          |                           |                                       |                   | 590.75                  | 0.00                                  | 414.40                 |                                          |
| MURPHY OIL CORP COMMON STOCK / CUSIP: 626717102 / Symbol: MUR | 9 000    | 547.45                    | 126.85                                | 03/05/12          | 472.92                  | 0.00                                  | 74.53                  | Sale                                     |
| 04/15/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/10/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 11/24/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| Security total:                                               |          |                           | 105.67                                | 03/05/12          | 105.09                  | 0.00                                  | 21.75                  | Sale                                     |
|                                                               |          |                           | 779.97                                | 03/05/12          | 683.11                  | 0.00                                  | 0.58                   | Sale                                     |
|                                                               |          |                           |                                       |                   |                         |                                       | 96.86                  |                                          |
| SEALED AIR CORP NEW / CUSIP: 81211K100 / Symbol: SEE          | 18 000   | 557.01                    |                                       | 11/08/12          | 300.43                  | 0.00                                  | 256.58                 | Sale                                     |
| 04/15/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/10/14                                                      |          |                           |                                       |                   |                         |                                       |                        |                                          |
| Security total:                                               |          |                           | 669.59                                | 11/08/12          | 333.82                  | 0.00                                  | 335.77                 | Sale                                     |

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UBS FINANCIAL SERVICES INC.

Account PF 00120

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed

1d- Proceeds &amp; 6- Reported (Gross) (Net)

SEALED AIR CORP NEW / CUSIP: 81211K100 / Symbol: SEE (cont'd)

10/29/14 11.000 383.45

Security total: 1,610.05

STIFEL FINANCIAL CORP COMMON STOCK / CUSIP: 860630102 / Symbol: SF

10/29/14 4.000 186.59

11/24/14 2.000 93.97

Security total: 280.56

SYNOPSYS INC COMMON STOCK / CUSIP: 871607107 / Symbol: SNPS

04/15/14 14.000 505.76

06/10/14 8.000 311.89

09/24/14 3.000 120.97

11/24/14 4.000 171.22

Security total: 1,109.84

WHITING PETROLEUM CORP NEW / CUSIP: 966387102 / Symbol: WLL

04/15/14 6.000 430.43

06/10/14 6.000 444.04

09/24/14 2.000 156.65

Security total: 1,031.12

NABORS INDUSTRIES LTD NEW (BERMUDA) FOREIGN STOCK / CUSIP: G6359F103 / Symbol: NBR

04/15/14 59.000 1,387.06

06/10/14 30.000 811.56

09/24/14 6.000 141.13

10/29/14 13.000 231.91

Security total: 2,571.66

Totals: 27,537.37

1e- Cost or other basis 1f- Code(s), if any 1g- Adjustments &amp; 7- Loss not allowed(X) Gain or loss(-) &amp; Additional Information

183.59 0.00 199.86 Sale  
817.84 0.00 792.21163.87 0.00 22.72 Sale  
81.93 0.00 12.04 Sale  
245.80 0.00 34.76445.24 0.00 60.52 Sale  
254.42 0.00 57.47 Sale  
96.41 0.00 25.56 Sale  
127.22 0.00 44.00 Sale  
922.29 0.00 187.55267.77 0.00 162.66 Sale  
267.76 0.00 176.28 Sale  
89.26 0.00 67.39 Sale  
624.79 0.00 406.331,091.50 0.00 295.56 Sale  
555.00 0.00 256.56 Sale  
111.00 0.00 30.13 Sale  
240.50 8.59 W 0.00 Sale  
1,998.00 8.59 W 582.25

23,476.63 8.59 W 4,069.33

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## UBS FINANCIAL SERVICES INC.

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

Account PF00120

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                     | Quantity | 6- Reported (Gross/Net) | 1d- Proceeds & Reported (Gross/Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------|----------|-------------------------|-------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| AGILENT TECHNOLOGIES INC / CUSIP: 00846U101 / Symbol: A       |          |                         |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                      | 7,000    | 365.01                  | 365.01                              | 02/23/10      | 215.24              | 0.00                          | 149.77                                   | Sale                   |
| 06/10/14                                                      | 6,000    | 353.39                  | 353.39                              | 02/23/10      | 184.50              | 0.00                          | 168.89                                   | Sale                   |
| 09/24/14                                                      | 2,000    | 115.45                  | 115.45                              | 02/23/10      | 61.50               | 0.00                          | 53.95                                    | Sale                   |
| 11/24/14                                                      | 2,000    | 84.52                   | 84.52                               | 02/23/10      | 44.38               | 0.00                          | 40.14                                    | Sale                   |
| Security total:                                               |          | 918.37                  | 918.37                              |               | 505.62              | 0.00                          | 412.75                                   |                        |
| AMAI TECHNOLOGIES INC / CUSIP: 00971T101 / Symbol: AKAM       |          |                         |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                      | 9,000    | 466.10                  | 466.10                              | 08/18/09      | 160.14              | 0.00                          | 305.96                                   | Sale                   |
| 06/10/14                                                      | 12,000   | 699.10                  | 699.10                              | 08/18/09      | 213.50              | 0.00                          | 485.60                                   | Sale                   |
| 09/24/14                                                      | 4,000    | 244.71                  | 244.71                              | 08/18/09      | 71.17               | 0.00                          | 173.54                                   | Sale                   |
| 11/24/14                                                      | 4,000    | 256.46                  | 256.46                              | 08/18/09      | 71.17               | 0.00                          | 185.29                                   | Sale                   |
| Security total:                                               |          | 1,666.37                | 1,666.37                            |               | 515.98              | 0.00                          | 1,150.39                                 |                        |
| AMERICAN TOWER CORP REIT SBI / CUSIP: 03027X100 / Symbol: AMT |          |                         |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                      | 14,000   | 1,135.37                | 1,135.37                            | 08/18/09      | 453.54              | 0.00                          | 681.83                                   | Sale                   |
| 06/10/14                                                      | 8,000    | 715.34                  | 715.34                              | 08/18/09      | 259.16              | 0.00                          | 456.18                                   | Sale                   |
| 09/24/14                                                      | 3,000    | 283.46                  | 283.46                              | 08/18/09      | 97.19               | 0.00                          | 186.27                                   | Sale                   |
| 11/24/14                                                      | 4,000    | 409.63                  | 409.63                              | 08/18/09      | 129.58              | 0.00                          | 280.05                                   | Sale                   |
| Security total:                                               |          | 2,543.80                | 2,543.80                            |               | 939.47              | 0.00                          | 1,604.33                                 |                        |
| ANSYS INC / CUSIP: 03662Q105 / Symbol: ANSS                   |          |                         |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                      | 7,000    | 511.62                  | 511.62                              | 08/18/09      | 252.18              | 0.00                          | 259.44                                   | Sale                   |
| 06/10/14                                                      | 6,000    | 457.61                  | 457.61                              | 08/18/09      | 216.15              | 0.00                          | 241.46                                   | Sale                   |
| 11/24/14                                                      | 3,000    | 245.66                  | 245.66                              | 08/18/09      | 108.07              | 0.00                          | 137.59                                   | Sale                   |
| Security total:                                               |          | 1,214.89                | 1,214.89                            |               | 576.40              | 0.00                          | 638.49                                   |                        |
| CODESK INC / CUSIP: 052769106 / Symbol: ADSK                  |          |                         |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                      | 7,000    | 318.05                  | 318.05                              | 08/18/09      | 170.52              | 0.00                          | 147.53                                   | Sale                   |
| 06/10/14                                                      | 8,000    | 433.34                  | 433.34                              | 08/18/09      | 194.88              | 0.00                          | 238.46                                   | Sale                   |
| 09/24/14                                                      | 4,000    | 221.91                  | 221.91                              | 08/18/09      | 97.45               | 0.00                          | 124.46                                   | Sale                   |
| Security total:                                               |          | 973.30                  | 973.30                              |               | 462.85              | 0.00                          | 510.45                                   |                        |
| BARD C R INC COMMON STOCK / CUSIP: 067383109 / Symbol: BCR    |          |                         |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                      | 3,000    | 411.00                  | 411.00                              | 08/18/09      | 220.24              | 0.00                          | 190.76                                   | Sale                   |
| 06/10/14                                                      | 2,000    | 287.35                  | 287.35                              | 08/18/09      | 146.83              | 0.00                          | 140.52                                   | Sale                   |
| 10/29/14                                                      | 2,000    | 319.07                  | 319.07                              | 08/18/09      | 146.82              | 0.00                          | 172.25                                   | Sale                   |
| Security total:                                               |          | 1,017.42                | 1,017.42                            |               | 513.89              | 0.00                          | 503.53                                   |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00120

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 9999, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                      | Quantity | 1d- Proceeds & Reported (Gross/Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------|----------|-------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| BIO RAD LABORATORIES INC CL A / CUSIP: 090572207 / Symbol: BIO |          |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                       | 3,000    | 356.75                              | 08/18/09      | 246.53              | 0.00                          | 110.22                                   | Sale                   |
| 06/10/14                                                       | 2,000    | 244.89                              | 08/18/09      | 164.36              | 0.00                          | 80.53                                    | Sale                   |
| 11/24/14                                                       | 2,000    | 238.71                              | 08/18/09      | 164.35              | 0.00                          | 74.36                                    | Sale                   |
| Security total:                                                |          | 840.35                              |               | 575.24              | 0.00                          | 265.11                                   |                        |
| BORGWARNER INC / CUSIP: 099724106 / Symbol: BWA                |          |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                       | 12,000   | 714.96                              | 08/18/09      | 180.97              | 0.00                          | 533.99                                   | Sale                   |
| 06/10/14                                                       | 12,000   | 790.66                              | 08/18/09      | 180.97              | 0.00                          | 609.69                                   | Sale                   |
| 09/24/14                                                       | 2,000    | 113.42                              | 08/18/09      | 30.16               | 0.00                          | 83.26                                    | Sale                   |
| 10/29/14                                                       | 2,000    | 113.29                              | 08/18/09      | 30.16               | 0.00                          | 83.13                                    | Sale                   |
| Security total:                                                |          | 1,732.33                            |               | 422.26              | 0.00                          | 1,310.07                                 |                        |
| BOSTON PROPERTIES INC / CUSIP: 101121101 / Symbol: BXP         |          |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                       | 4,000    | 467.11                              | 08/18/09      | 223.92              | 0.00                          | 243.19                                   | Sale                   |
| 06/10/14                                                       | 2,000    | 236.83                              | 08/18/09      | 111.96              | 0.00                          | 124.87                                   | Sale                   |
| 09/24/14                                                       | 2,000    | 231.41                              | 08/18/09      | 111.96              | 0.00                          | 119.45                                   | Sale                   |
| Security total:                                                |          | 935.35                              |               | 447.84              | 0.00                          | 487.51                                   |                        |
| CSX CORPORATION COMMON STOCK / CUSIP: 126408103 / Symbol: CSX  |          |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                       | 22,000   | 617.37                              | 08/18/09      | 318.31              | 0.00                          | 299.06                                   | Sale                   |
| 06/10/14                                                       | 18,000   | 545.03                              | 08/18/09      | 260.44              | 0.00                          | 284.59                                   | Sale                   |
| 09/24/14                                                       | 8,000    | 256.35                              | 08/18/09      | 115.75              | 0.00                          | 140.60                                   | Sale                   |
| 10/29/14                                                       | 4,000    | 141.60                              | 08/18/09      | 57.88               | 0.00                          | 83.72                                    | Sale                   |
| 11/24/14                                                       | 3,000    | 113.55                              | 08/18/09      | 43.41               | 0.00                          | 70.14                                    | Sale                   |
| Security total:                                                |          | 1,673.90                            |               | 795.79              | 0.00                          | 878.11                                   |                        |
| VANCE INC / CUSIP: 222816100 / Symbol: CVD                     |          |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                       | 5,000    | 477.74                              | 08/18/09      | 271.82              | 0.00                          | 205.92                                   | Sale                   |
| 06/10/14                                                       | 6,000    | 519.65                              | 08/18/09      | 326.18              | 0.00                          | 193.47                                   | Sale                   |
| 09/24/14                                                       | 2,000    | 167.71                              | 08/18/09      | 108.72              | 0.00                          | 58.99                                    | Sale                   |
| 10/29/14                                                       | 2,000    | 164.61                              | 08/18/09      | 108.73              | 0.00                          | 55.88                                    | Sale                   |
| Security total:                                                |          | 1,329.71                            |               | 815.45              | 0.00                          | 514.26                                   |                        |
| CUMMINS INC / CUSIP: 231021106 / Symbol: CMI                   |          |                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                       | 2,000    | 278.79                              | 08/18/09      | 89.86               | 0.00                          | 188.93                                   | Sale                   |
| 06/10/14                                                       | 6,000    | 958.66                              | 08/18/09      | 269.60              | 0.00                          | 689.06                                   | Sale                   |
| 09/24/14                                                       | 2,000    | 269.05                              | 08/18/09      | 89.86               | 0.00                          | 179.19                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF00120

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                             | Quantity | 6- Reported CMI (cont'd) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------|----------|--------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| CUMMINS INC / CUSIP: 231021106 / Symbol: CMI (cont'd)                 |          |                          |                                       |               |                     |                               |                                          |                        |
| 11/24/14                                                              | 2,000    |                          | 295.30                                | 08/18/09      | 89.87               | 0.00                          | 205.43                                   | Sale                   |
| Security total:                                                       |          |                          | 1,801.80                              |               | 539.19              | 0.00                          | 1,262.61                                 |                        |
| D R HORTON INC / CUSIP: 23331A109 / Symbol: DHI                       |          |                          |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                              | 56,000   |                          | 1,198.37                              | 08/18/09      | 684.98              | 0.00                          | 513.39                                   | Sale                   |
| 06/10/14                                                              | 36,000   |                          | 883.18                                | 08/18/09      | 440.34              | 0.00                          | 442.84                                   | Sale                   |
| 09/24/14                                                              | 4,000    |                          | 84.55                                 | 08/18/09      | 48.92               | 0.00                          | 35.63                                    | Sale                   |
| 10/29/14                                                              | 4,000    |                          | 91.39                                 | 08/18/09      | 48.93               | 0.00                          | 42.46                                    | Sale                   |
| 11/24/14                                                              | 16,000   |                          | 409.02                                | 08/18/09      | 195.71              | 0.00                          | 213.31                                   | Sale                   |
| Security total:                                                       |          |                          | 2,666.51                              |               | 1,418.88            | 0.00                          | 1,247.63                                 |                        |
| DARDEN RESTAURANTS INC / CUSIP: 237194105 / Symbol: DRI               |          |                          |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                              | 7,000    |                          | 340.16                                | 08/18/09      | 221.41              | 0.00                          | 118.75                                   | Sale                   |
| 06/10/14                                                              | 6,000    |                          | 302.93                                | 08/18/09      | 189.78              | 0.00                          | 113.15                                   | Sale                   |
| 09/24/14                                                              | 4,000    |                          | 204.91                                | 08/18/09      | 126.52              | 0.00                          | 78.39                                    | Sale                   |
| 11/24/14                                                              | 2,000    |                          | 112.47                                | 08/18/09      | 63.26               | 0.00                          | 49.21                                    | Sale                   |
| Security total:                                                       |          |                          | 960.47                                |               | 600.97              | 0.00                          | 359.50                                   |                        |
| EASTMAN CHEMICAL CO COMMON STOCK / CUSIP: 277432100 / Symbol: EMN     |          |                          |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                              | 12,000   |                          | 1,025.26                              | 08/18/09      | 311.68              | 0.00                          | 713.58                                   | Sale                   |
| 06/10/14                                                              | 6,000    |                          | 534.47                                | 08/18/09      | 155.85              | 0.00                          | 378.62                                   | Sale                   |
| 09/24/14                                                              | 3,000    |                          | 252.26                                | 08/18/09      | 77.92               | 0.00                          | 174.34                                   | Sale                   |
| Security total:                                                       |          |                          | 1,811.99                              |               | 545.45              | 0.00                          | 1,266.54                                 |                        |
| EATON VANCE CORP NON VTG COMMON STOCK / CUSIP: 278265103 / Symbol: EV |          |                          |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                              | 14,000   |                          | 497.64                                | 08/18/09      | 420.50              | 0.00                          | 77.14                                    | Sale                   |
| 06/10/14                                                              | 10,000   |                          | 373.92                                | 08/18/09      | 300.35              | 0.00                          | 73.57                                    | Sale                   |
| 09/24/14                                                              | 5,000    |                          | 190.46                                | 08/18/09      | 150.18              | 0.00                          | 40.28                                    | Sale                   |
| 10/29/14                                                              | 2,000    |                          | 72.73                                 | 08/18/09      | 60.07               | 0.00                          | 12.66                                    | Sale                   |
| 11/24/14                                                              | 4,000    |                          | 167.75                                | 08/18/09      | 120.15              | 0.00                          | 47.60                                    | Sale                   |
| Security total:                                                       |          |                          | 1,302.50                              |               | 1,051.25            | 0.00                          | 251.25                                   |                        |
| EXPRESS SCRIPTS HLDG CO / CUSIP: 30219G108 / Symbol: ESRX             |          |                          |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                              | 9,000    |                          | 653.12                                | 08/18/09      | 301.44              | 0.00                          | 351.68                                   | Sale                   |
| 06/10/14                                                              | 4,000    |                          | 285.33                                | 08/18/09      | 133.97              | 0.00                          | 151.36                                   | Sale                   |
| 09/24/14                                                              | 3,000    |                          | 218.12                                | 08/18/09      | 100.48              | 0.00                          | 117.64                                   | Sale                   |
| 10/29/14                                                              | 2,000    |                          | 148.39                                | 08/18/09      | 66.99               | 0.00                          | 81.40                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00120

2014 1099-B\*

(continued)

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions****LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
|                                                                                      | Security total: |                           | 1,304.96                              |               | 602.88              | 0.00                          | 702.08                                   |                        |
| GATX CORP COMMON STOCK / CUSIP: 361448103 / Symbol: GMT                              |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                             | 12,000          |                           | 791.74                                | 10/24/08      | 323.58              | 0.00                          | 468.16                                   | Sale                   |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS |                 |                           |                                       |               |                     |                               |                                          |                        |
| 06/10/14                                                                             | 6,000           |                           | 390.05                                | 10/24/08      | 161.79              | 0.00                          | 228.26                                   | Sale                   |
|                                                                                      | 2,000           |                           | 130.02                                | 08/18/09      | 51.78               | 0.00                          | 78.24                                    | Sale                   |
|                                                                                      | 8,000           |                           | 520.07                                | VARIOUS       | 213.57              | 0.00                          | 306.50                                   | Total of 2 lots        |
| 11/24/14                                                                             | 4,000           |                           | 258.83                                | 08/18/09      | 103.57              | 0.00                          | 155.26                                   | Sale                   |
|                                                                                      | Security total: |                           | 1,570.64                              |               | 640.72              | 0.00                          | 929.92                                   |                        |
| GENL DYNAMICS CORP COMMON STOCK / CUSIP: 369550108 / Symbol: GD                      |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                             | 5,000           |                           | 524.54                                | 08/18/09      | 276.32              | 0.00                          | 248.22                                   | Sale                   |
| 06/10/14                                                                             | 4,000           |                           | 483.63                                | 08/18/09      | 221.07              | 0.00                          | 262.56                                   | Sale                   |
| 11/24/14                                                                             | 2,000           |                           | 289.45                                | 08/18/09      | 110.53              | 0.00                          | 178.92                                   | Sale                   |
|                                                                                      | Security total: |                           | 1,297.62                              |               | 607.92              | 0.00                          | 689.70                                   |                        |
| GLOBAL PAYMENTS INC / CUSIP: 37940X102 / Symbol: GPN                                 |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                             | 4,000           |                           | 261.75                                | 08/18/09      | 170.83              | 0.00                          | 90.92                                    | Sale                   |
| 06/10/14                                                                             | 6,000           |                           | 426.71                                | 08/18/09      | 256.26              | 0.00                          | 170.45                                   | Sale                   |
| 09/24/14                                                                             | 2,000           |                           | 139.31                                | 08/18/09      | 85.41               | 0.00                          | 53.90                                    | Sale                   |
| 11/24/14                                                                             | 2,000           |                           | 170.61                                | 08/18/09      | 85.42               | 0.00                          | 85.19                                    | Sale                   |
|                                                                                      | Security total: |                           | 998.38                                |               | 597.92              | 0.00                          | 400.46                                   |                        |
| INTEGRYS ENERGY GROUP INC / CUSIP: 45822P105 / Symbol: TEG                           |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                             | 10,000          |                           | 611.09                                | 08/18/09      | 339.86              | 0.00                          | 271.23                                   | Sale                   |
| 06/10/14                                                                             | 4,000           |                           | 232.03                                | 08/18/09      | 135.94              | 0.00                          | 96.09                                    | Sale                   |
| 10/29/14                                                                             | 2,000           |                           | 140.15                                | 08/18/09      | 67.98               | 0.00                          | 72.17                                    | Sale                   |
|                                                                                      | Security total: |                           | 983.27                                |               | 543.78              | 0.00                          | 439.49                                   |                        |
| INTERCONTINENTALEXCHANGE GROUP / CUSIP: 45866F104 / Symbol: ICE                      |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                             | 4,000           |                           | 766.22                                | 08/18/09      | 356.32              | 0.00                          | 409.90                                   | Sale                   |
| 06/10/14                                                                             | 2,000           |                           | 378.05                                | 08/18/09      | 178.17              | 0.00                          | 199.88                                   | Sale                   |
| 09/24/14                                                                             | 2,000           |                           | 397.15                                | 08/18/09      | 178.16              | 0.00                          | 218.99                                   | Sale                   |
|                                                                                      | Security total: |                           | 1,541.42                              |               | 712.65              | 0.00                          | 828.77                                   |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00120

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                           | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et / Symbol: IGT | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------|----------|---------------------------|-----------------------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| INTL GAME TECHNOLOGY COMMON STOCK / CUSIP: 459902102 / Symbol: IGT  |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                            | 26,000   | 354.11                    |                                                     | 08/18/09      | 500.50              | 0.00                          | -146.39                                  | Sale                   |
| 06/10/14                                                            | 22,000   | 317.89                    |                                                     | 08/18/09      | 423.50              | 0.00                          | -105.61                                  | Sale                   |
| 10/29/14                                                            | 2,000    | 32.57                     |                                                     | 08/18/09      | 38.50               | 0.00                          | -5.93                                    | Sale                   |
| 11/24/14                                                            | 2,000    | 34.31                     |                                                     | 08/18/09      | 38.50               | 0.00                          | -4.19                                    | Sale                   |
| Security total:                                                     |          | 738.88                    |                                                     |               | 1,001.00            | 0.00                          | -262.12                                  |                        |
| JOY COMMON STOCK / CUSIP: 461202103 / Symbol: INTU                  |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                            | 14,000   | 1,022.14                  |                                                     | 08/18/09      | 427.09              | 0.00                          | 595.05                                   | Sale                   |
| 06/10/14                                                            | 6,000    | 474.65                    |                                                     | 08/18/09      | 183.04              | 0.00                          | 291.61                                   | Sale                   |
| 09/24/14                                                            | 6,000    | 520.19                    |                                                     | 08/18/09      | 183.04              | 0.00                          | 337.15                                   | Sale                   |
| 11/24/14                                                            | 3,000    | 278.54                    |                                                     | 08/18/09      | 91.52               | 0.00                          | 187.02                                   | Sale                   |
| Security total:                                                     |          | 2,295.52                  |                                                     |               | 884.69              | 0.00                          | 1,410.83                                 |                        |
| JOY GLOBAL INC / CUSIP: 481165108 / Symbol: JOY                     |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                            | 8,000    | 483.03                    |                                                     | 01/06/09      | 215.42              | 0.00                          | 267.61                                   | Sale                   |
| 06/10/14                                                            | 8,000    | 511.32                    |                                                     | 01/06/09      | 215.42              | 0.00                          | 295.90                                   | Sale                   |
| 09/24/14                                                            | 2,000    | 113.05                    |                                                     | 01/06/09      | 53.86               | 0.00                          | 59.19                                    | Sale                   |
| Security total:                                                     |          | 1,107.40                  |                                                     |               | 484.70              | 0.00                          | 622.70                                   |                        |
| KEYSIGHT TECHNOLOGIES INC COM / CUSIP 49338L103 / Symbol: KEYS      |          |                           |                                                     |               |                     |                               |                                          |                        |
| 11/03/14                                                            | 0.500    | 15.39                     |                                                     | 02/23/10      | 8.56                | 0.00                          | 6.83                                     | Sale                   |
| 11/24/14                                                            | 2,000    | 65.78                     |                                                     | 02/23/10      | 34.23               | 0.00                          | 31.55                                    | Sale                   |
| Security total:                                                     |          | 81.17                     |                                                     |               | 42.79               | 0.00                          | 38.38                                    |                        |
| NEWFIELD EXPLORATION COS / CUSIP 651290108 / Symbol: NFX            |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                            | 12,000   | 386.99                    |                                                     | 08/18/09      | 459.00              | 0.00                          | -72.01                                   | Sale                   |
| 06/10/14                                                            | 14,000   | 535.77                    |                                                     | 08/18/09      | 535.50              | 0.00                          | 0.27                                     | Sale                   |
| 09/24/14                                                            | 2,000    | 77.51                     |                                                     | 08/18/09      | 76.50               | 0.00                          | 1.01                                     | Sale                   |
| 10/29/14                                                            | 21,000   | 681.64                    |                                                     | 08/18/09      | 803.25              | 0.00                          | -121.61                                  | Sale                   |
| Security total:                                                     |          | 1,681.91                  |                                                     |               | 1,874.25            | 0.00                          | -192.34                                  |                        |
| PROGRESSIVE CORP OHIO COMMON STOCK / CUSIP: 743315103 / Symbol: PGR |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                            | 18,000   | 427.31                    |                                                     | 05/17/10      | 367.20              | 0.00                          | 60.11                                    | Sale                   |
| 06/10/14                                                            | 12,000   | 301.43                    |                                                     | 05/17/10      | 244.80              | 0.00                          | 56.63                                    | Sale                   |
| 09/24/14                                                            | 6,000    | 153.01                    |                                                     | 05/17/10      | 122.40              | 0.00                          | 30.61                                    | Sale                   |
| 11/24/14                                                            | 3,000    | 81.86                     |                                                     | 05/17/10      | 61.20               | 0.00                          | 20.66                                    | Sale                   |
| Security total:                                                     |          | 963.61                    |                                                     |               | 795.60              | 0.00                          | 168.01                                   |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00120

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No: 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                  | Quantity | 6- Reported Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------|----------|-------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| RAYMOND JAMES FINANCIAL CORP COMMON STOCK / CUSIP: 754730109 / Symbol: RJF |          |                         |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                   | 12,000   | 586.31                  | 586.31                               | 05/16/08      | 356.92              | 0.00                          | 229.39                                   | Sale                   |
| 06/10/14                                                                   | 8,000    | 397.35                  | 397.35                               | 05/16/08      | 237.95              | 0.00                          | 159.40                                   | Sale                   |
| 09/24/14                                                                   | 3,000    | 162.69                  | 162.69                               | 05/16/08      | 89.23               | 0.00                          | 73.46                                    | Sale                   |
| 10/29/14                                                                   | 6,000    | 326.57                  | 326.57                               | 05/16/08      | 178.46              | 0.00                          | 148.11                                   | Sale                   |
| Security total:                                                            |          | 1,472.92                | 1,472.92                             |               | 862.56              | 0.00                          | 610.36                                   |                        |
| SURANCE GROUP AMER INC NEW / CUSIP: 759351604 / Symbol: RGA                |          |                         |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                   | 8,000    | 616.87                  | 616.87                               | 12/10/09      | 370.77              | 0.00                          | 246.10                                   | Sale                   |
| 06/10/14                                                                   | 4,000    | 318.59                  | 318.59                               | 12/10/09      | 185.38              | 0.00                          | 133.21                                   | Sale                   |
| 10/29/14                                                                   | 3,000    | 248.48                  | 248.48                               | 12/10/09      | 139.04              | 0.00                          | 109.44                                   | Sale                   |
| Security total:                                                            |          | 1,183.94                | 1,183.94                             |               | 695.19              | 0.00                          | 488.75                                   |                        |
| REPUBLIC SERVICES INC / CUSIP: 760759100 / Symbol: RSG                     |          |                         |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                   | 12,000   | 408.38                  | 408.38                               | 08/18/09      | 301.92              | 0.00                          | 106.46                                   | Sale                   |
| 06/10/14                                                                   | 18,000   | 659.51                  | 659.51                               | 08/18/09      | 452.88              | 0.00                          | 206.63                                   | Sale                   |
| 09/24/14                                                                   | 2,000    | 78.62                   | 78.62                                | 08/18/09      | 50.32               | 0.00                          | 28.30                                    | Sale                   |
| 10/29/14                                                                   | 2,000    | 78.62                   | 78.62                                | 08/18/09      | 50.32               | 0.00                          | 28.30                                    | Sale                   |
| 11/24/14                                                                   | 3,000    | 117.26                  | 117.26                               | 08/18/09      | 75.48               | 0.00                          | 41.78                                    | Sale                   |
| Security total:                                                            |          | 1,342.39                | 1,342.39                             |               | 930.92              | 0.00                          | 411.47                                   |                        |
| SCOTTS MIRACLE-GRO CO CL A / CUSIP: 810186106 / Symbol: SMG                |          |                         |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                   | 6,000    | 346.49                  | 346.49                               | 08/18/09      | 235.68              | 0.00                          | 110.81                                   | Sale                   |
| 06/10/14                                                                   | 6,000    | 365.99                  | 365.99                               | 08/18/09      | 235.68              | 0.00                          | 130.31                                   | Sale                   |
| 10/29/14                                                                   | 3,000    | 173.09                  | 173.09                               | 08/18/09      | 117.84              | 0.00                          | 55.25                                    | Sale                   |
| Security total:                                                            |          | 885.57                  | 885.57                               |               | 589.20              | 0.00                          | 296.37                                   |                        |
| P ON INC COMMON STOCK / CUSIP: 833034101 / Symbol: SNA                     |          |                         |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                   | 8,000    | 872.06                  | 872.06                               | 08/18/09      | 293.09              | 0.00                          | 578.97                                   | Sale                   |
| 06/10/14                                                                   | 6,000    | 709.52                  | 709.52                               | 08/18/09      | 219.82              | 0.00                          | 489.70                                   | Sale                   |
| 10/29/14                                                                   | 2,000    | 258.19                  | 258.19                               | 08/18/09      | 73.27               | 0.00                          | 184.92                                   | Sale                   |
| 11/24/14                                                                   | 2,000    | 272.69                  | 272.69                               | 08/18/09      | 73.27               | 0.00                          | 199.42                                   | Sale                   |
| Security total:                                                            |          | 2,112.46                | 2,112.46                             |               | 659.45              | 0.00                          | 1,453.01                                 |                        |
| TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX              |          |                         |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                   | 20,000   | 1,163.03                | 1,163.03                             | 08/18/09      | 344.83              | 0.00                          | 818.20                                   | Sale                   |
| 06/10/14                                                                   | 10,000   | 559.89                  | 559.89                               | 08/18/09      | 172.41              | 0.00                          | 387.48                                   | Sale                   |
| 09/24/14                                                                   | 8,000    | 481.91                  | 481.91                               | 08/18/09      | 137.93              | 0.00                          | 343.98                                   | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF-00120

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (G)/ross (N)et | 1d- Proceeds & 6- Reported (G)/ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|----------------------------|-------------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| TJX COS INC NEW COMMON STOCK / CUSIP: 872540109 / Symbol: TJX (cont'd)              | 2,000    | 127.16                     | 2,331.99                                  | 08/18/09      | 34.49               | 0.00                          | 92.67                                    | Sale                   |
| Security total:                                                                     |          |                            |                                           |               | 689.66              | 0.00                          | 1,642.33                                 |                        |
| URS CORP NEW **MERGER-EFF 10/2014** / CUSIP: 903236107 / Symbol                     | 9,000    | 423.80                     |                                           | 05/12/10      | 433.78              | 0.00                          | -9.98                                    | Sale                   |
| 04/15/14                                                                            | 4,000    | 186.63                     |                                           | 05/12/10      | 192.79              | 0.00                          | -6.16                                    | Sale                   |
| 06/10/14                                                                            |          |                            |                                           |               |                     |                               |                                          |                        |
| 2 tax lots for 10/17/14 Total proceeds (and cost when required) reported to the IRS |          |                            |                                           |               |                     |                               |                                          |                        |
|                                                                                     | 103,000  | 5,561.07                   |                                           | 05/12/10      | 4,964.37            | 0.00                          | 596.70                                   | Merger                 |
|                                                                                     | 6,000    | 323.95                     |                                           | 07/28/10      | 247.61              | 0.00                          | 76.34                                    | Merger                 |
| 10/17/14                                                                            | 109,000  | 5,885.02                   |                                           | VARIOUS       | 5,211.98            | 0.00                          | 673.04                                   | Total of 2 lots        |
| Security total:                                                                     |          |                            | 6,495.45                                  |               | 5,838.55            | 0.00                          | 656.90                                   |                        |
| VALSPAR CORP COMMON STOCK / CUSIP: 920355104 / Symbol: VAL                          | 10,000   | 697.58                     |                                           | 03/20/08      | 195.19              | 0.00                          | 502.39                                   | Sale                   |
| 04/15/14                                                                            | 10,000   | 758.75                     |                                           | 03/20/08      | 195.19              | 0.00                          | 563.56                                   | Sale                   |
| 06/10/14                                                                            | 2,000    | 160.62                     |                                           | 03/20/08      | 39.04               | 0.00                          | 121.58                                   | Sale                   |
| 09/24/14                                                                            | 2,000    | 158.13                     |                                           | 03/20/08      | 39.04               | 0.00                          | 119.09                                   | Sale                   |
| 10/29/14                                                                            | 2,000    | 169.36                     |                                           | 03/20/08      | 39.03               | 0.00                          | 130.33                                   | Sale                   |
| 11/24/14                                                                            | 2,000    | 1,944.44                   |                                           | 03/20/08      | 507.49              | 0.00                          | 1,436.95                                 |                        |
| Security total:                                                                     |          |                            |                                           |               |                     |                               |                                          |                        |
| XLINX INC COMMON STOCK / CUSIP: 983919101 / Symbol: XLNX                            | 12,000   | 611.15                     |                                           | 02/18/10      | 306.72              | 0.00                          | 304.43                                   | Sale                   |
| 04/15/14                                                                            | 12,000   | 551.99                     |                                           | 02/18/10      | 306.71              | 0.00                          | 245.28                                   | Sale                   |
| 06/10/14                                                                            | 5,000    | 218.84                     |                                           | 02/18/10      | 127.80              | 0.00                          | 91.04                                    | Sale                   |
| 09/24/14                                                                            | 2,000    | 87.09                      |                                           | 02/18/10      | 51.12               | 0.00                          | 35.97                                    | Sale                   |
| 10/29/14                                                                            |          | 1,469.07                   |                                           |               | 792.35              | 0.00                          | 676.72                                   |                        |
| Security total:                                                                     |          |                            |                                           |               |                     |                               |                                          |                        |
| Totals:                                                                             |          |                            | 57,192.07                                 |               | 31,080.80           | 0.00                          | 26,111.27                                |                        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity  | 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------|---------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| RTS SEARS HLDGS CORP / CUSIP: 812350130 / Symbol:                                     |           |                           |                   |                         |                                       |                                          |                        |
| 15 tax lots for 10/24/14. Total proceeds (and cost when required) reported to the IRS |           |                           |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000     | 0.90                      | 10/17/14          | 1.32                    | 0.00                                  | -0.42                                    | Sale                   |
|                                                                                       | 24,000    | 3.08                      | 10/17/14          | 4.53                    | 0.00                                  | -1.45                                    | Sale                   |
|                                                                                       | 32,000    | 4.11                      | 10/17/14          | 6.04                    | 0.00                                  | -1.93                                    | Sale                   |
|                                                                                       | 36,000    | 4.62                      | 10/17/14          | 6.79                    | 0.00                                  | -2.17                                    | Sale                   |
|                                                                                       | 39,000    | 5.00                      | 10/17/14          | 7.36                    | 0.00                                  | -2.36                                    | Sale                   |
|                                                                                       | 45,000    | 5.77                      | 10/17/14          | 8.49                    | 0.00                                  | -2.72                                    | Sale                   |
|                                                                                       | 65,000    | 8.33                      | 10/17/14          | 12.27                   | 0.00                                  | -3.94                                    | Sale                   |
|                                                                                       | 68,000    | 8.73                      | 10/17/14          | 12.83                   | 0.00                                  | -4.10                                    | Sale                   |
|                                                                                       | 74,000    | 9.49                      | 10/17/14          | 13.96                   | 0.00                                  | -4.47                                    | Sale                   |
|                                                                                       | 84,000    | 10.77                     | 10/17/14          | 15.85                   | 0.00                                  | -5.08                                    | Sale                   |
|                                                                                       | 89,000    | 11.42                     | 10/17/14          | 16.80                   | 0.00                                  | -5.38                                    | Sale                   |
|                                                                                       | 101,000   | 12.95                     | 10/17/14          | 19.06                   | 0.00                                  | -6.11                                    | Sale                   |
|                                                                                       | 103,000   | 13.22                     | 10/17/14          | 19.44                   | 0.00                                  | -6.22                                    | Sale                   |
|                                                                                       | 112,000   | 14.37                     | 10/17/14          | 21.13                   | 0.00                                  | -6.76                                    | Sale                   |
|                                                                                       | 160,000   | 20.53                     | 10/17/14          | 30.19                   | 0.00                                  | -9.66                                    | Sale                   |
| 10/24/14                                                                              | 1,039,000 | 133.29                    | VARIOUS           | 196.06                  | 0.00                                  | -62.77                                   | Total of 15 lots       |
| Totals:                                                                               |           | 133.29                    |                   | 196.06                  | 0.00                                  | -62.77                                   |                        |

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1c- Date sold or disposed

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| AIR LEASE CORP / CUSIP: 00912X302 / Symbol: AL                                       |          |                           |                   |                         |                                       |                                          |                        |
| 3 tax lots for 03/20/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                   |                         |                                       |                                          |                        |
|                                                                                      | 40,000   | 1,503.88                  | 11/10/11          | 905.74                  | 0.00                                  | 598.14                                   | Sale                   |
|                                                                                      | 36,000   | 1,428.68                  | 11/11/11          | 846.34                  | 0.00                                  | 582.34                                   | Sale                   |
|                                                                                      | 31,000   | 1,165.51                  | 11/22/11          | 666.05                  | 0.00                                  | 499.46                                   | Sale                   |
| 03/20/14                                                                             | 109,000  | 4,098.07                  | VARIOUS           | 2,418.13                | 0.00                                  | 1,679.94                                 | Total of 3 lots        |
| 03/31/14                                                                             | 91,000   | 3,393.29                  | 11/22/11          | 1,955.18                | 0.00                                  | 1,438.11                                 | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                  | Quantity        | 6- Reported (G)/ross (N)/et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------------|-----------------|-----------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| AIR LEASE CORP / CUSIP: 00912X302 / Symbol: AL (cont'd)                                    |                 |                             |                   |                         |                                       |                        |                                          |
| 2 tax lots for 04/16/14 Total proceeds (and cost when required) reported to the IRS.       |                 |                             |                   |                         |                                       |                        |                                          |
|                                                                                            | 43,000          | 1,564.90                    | 12/15/11          | 983.83                  | 0.00                                  |                        | Sale 581.07                              |
|                                                                                            | 58,000          | 2,110.79                    | 12/16/11          | 1,308.74                | 0.00                                  |                        | Sale -802.05                             |
| 04/16/14                                                                                   | 101,000         | 3,675.69                    | VARIOUS           | 2,292.57                | 0.00                                  |                        | Total of 2 lots 1,383.12                 |
|                                                                                            | Security total: | 11,167.05                   |                   | 6,665.88                | 0.00                                  |                        | 4,501.17                                 |
| AUTONATION INC / CUSIP: 05329W102 / Symbol: AN                                             |                 |                             |                   |                         |                                       |                        |                                          |
| 11/05/14                                                                                   | 37,000          | 2,098.84                    | 01/13/11          | 1,045.08                | 0.00                                  |                        | Sale 1,053.76                            |
| BROOKFIELD RESIDENTIAL PTYS FOREIGN STOCK / CUSIP: 11283W104 / Symbol: BRP                 |                 |                             |                   |                         |                                       |                        |                                          |
| 2 tax lots for 11/26/14 Total proceeds (and cost when required) reported to the IRS        |                 |                             |                   |                         |                                       |                        |                                          |
|                                                                                            | 58,000          | 1,368.41                    | 05/01/12          | 687.31                  | 0.00                                  |                        | Sale 681.10                              |
|                                                                                            | 41,000          | 967.32                      | 05/02/12          | 493.13                  | 0.00                                  |                        | Sale 474.19                              |
| 11/26/14                                                                                   | 99,000          | 2,335.73                    | VARIOUS           | 1,180.44                | 0.00                                  |                        | Total of 2 lots 1,155.29                 |
| CBOE HLDGS INC COM / CUSIP: 12503M108 / Symbol: CBOE                                       |                 |                             |                   |                         |                                       |                        |                                          |
| 06/09/14                                                                                   | 25,000          | 1,214.22                    | 08/27/12          | 718.86                  | 0.00                                  |                        | Sale 495.36                              |
| 2 tax lots for 09/09/14. Total proceeds (and cost when required) reported to the IRS       |                 |                             |                   |                         |                                       |                        |                                          |
|                                                                                            | 20,000          | 1,076.13                    | 08/27/12          | 575.09                  | 0.00                                  |                        | Sale 501.04                              |
|                                                                                            | 62,000          | 3,335.99                    | 08/28/12          | 1,797.81                | 0.00                                  |                        | Sale 1,538.18                            |
| 09/09/14                                                                                   | 82,000          | 4,412.12                    | VARIOUS           | 2,372.90                | 0.00                                  |                        | Total of 2 lots 2,039.22                 |
|                                                                                            | Security total: | 5,626.34                    |                   | 3,091.76                | 0.00                                  |                        | 2,534.58                                 |
| ALLOWAY REAL ESTATE INVESTMENT TRUST FOREIGN STOCK CAD / CUSIP: 131253205 / Symbol: CWYUJF |                 |                             |                   |                         |                                       |                        |                                          |
| 3 tax lots for 03/26/14 Total proceeds (and cost when required) reported to the IRS        |                 |                             |                   |                         |                                       |                        |                                          |
|                                                                                            | 33,000          | 754.83                      | 05/23/12          | 912.65                  | 0.00                                  |                        | Sale -157.82                             |
|                                                                                            | 21,000          | 480.34                      | 06/26/12          | 580.14                  | 0.00                                  |                        | Sale -99.80                              |
|                                                                                            | 23,000          | 526.09                      | 06/27/12          | 629.07                  | 0.00                                  |                        | Sale -102.98                             |
| 03/26/14                                                                                   | 77,000          | 1,761.26                    | VARIOUS           | 2,121.86                | 0.00                                  |                        | Total of 3 lots -360.60                  |
| 3 tax lots for 03/27/14 Total proceeds (and cost when required) reported to the IRS        |                 |                             |                   |                         |                                       |                        |                                          |
|                                                                                            | 44,000          | 1,001.33                    | 06/27/12          | 1,203.44                | 0.00                                  |                        | Sale -202.11                             |
|                                                                                            | 16,000          | 364.12                      | 06/28/12          | 431.98                  | 0.00                                  |                        | Sale -67.86                              |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B\*

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                          | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|----------------------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| CALLOWAY REAL ESTATE INVESTMENT TRUST FOREIGN STOCK CAD / CUSIP: 131253205 / Symbol CWYUF (cont'd) |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 03/27/14                                                                                           | 38 000          | 864 78                    | 864 78                                | 08/07/12          | 1,141 75                | 0 00                                  | -276 97                | Sale                                     |
|                                                                                                    | 98 000          | 2,230.23                  | 2,230.23                              | VARIOUS           | 2,777 17                | 0 00                                  | -546.94                | Total of 3 lots                          |
| 2 tax lots for 07/11/14 Total proceeds (and cost when required) reported to the IRS                |                 |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                                    | 51 000          | 1,244.70                  | 1,244.70                              | 08/07/12          | 1,532 34                | 0 00                                  | -287 64                | Sale                                     |
|                                                                                                    | 53 000          | 1,293 51                  | 1,293 51                              | 08/29/12          | 1,607 83                | 0 00                                  | -314 32                | Sale                                     |
| 07/11/14                                                                                           | 104 000         | 2,538 21                  | 2,538 21                              | VARIOUS           | 3,140.17                | 0 00                                  | -601.96                | Total of 2 lots                          |
| 2 tax lots for 07/14/14 Total proceeds (and cost when required) reported to the IRS                |                 |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                                    | 21 000          | 515.56                    | 515.56                                | 08/29/12          | 637 07                  | 0 00                                  | -121 51                | Sale                                     |
|                                                                                                    | 38 000          | 932 92                    | 932 92                                | 08/30/12          | 1,143 66                | 0 00                                  | -210.74                | Sale                                     |
| 07/14/14                                                                                           | 59 000          | 1,448 48                  | 1,448 48                              | VARIOUS           | 1,780.73                | 0 00                                  | -332.25                | Total of 2 lots                          |
|                                                                                                    | Security total: | 7,978.18                  | 7,978.18                              |                   | 9,819.93                | 0 00                                  | -1,841 75              |                                          |
| COLFAX CORP / CUSIP: 194014106 / Symbol. CFX                                                       |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                                           | 35 000          | 2,378.55                  | 2,378.55                              | 01/05/12          | 997 37                  | 0 00                                  | 1,381 18               | Sale                                     |
| DISH NETWORK CORP CL A / CUSIP: 25470M109 / Symbol. DISH                                           |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/09/14                                                                                           | 35 000          | 2,059.49                  | 2,059.49                              | 10/18/11          | 914 85                  | 0 00                                  | 1,144.64               | Sale                                     |
| 2 tax lots for 11/26/14 Total proceeds (and cost when required) reported to the IRS                |                 |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                                    | 10 000          | 773 73                    | 773 73                                | 10/18/11          | 261 39                  | 0 00                                  | 512 34                 | Sale                                     |
|                                                                                                    | 14 000          | 1,083 21                  | 1,083 21                              | 11/03/11          | 332.18                  | 0 00                                  | 751 03                 | Sale                                     |
| 11/26/14                                                                                           | 24 000          | 1,856.94                  | 1,856.94                              | VARIOUS           | 593 57                  | 0 00                                  | 1,263.37               | Total of 2 lots                          |
|                                                                                                    | Security total: | 3,916.43                  | 3,916.43                              |                   | 1,508 42                | 0 00                                  | 2,408.01               |                                          |
| DREAMWORKS ANIMATION SKG CL A / CUSIP: 26153C103 / Symbol: DWA                                     |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 11/26/14                                                                                           | 78 000          | 1,840.77                  | 1,840.77                              | 01/10/11          | 2,272.80                | 0 00                                  | -432 03                | Sale                                     |
| EQUITY LIFESTYLE PROPERTIES INC REIT SBI / CUSIP: 29472R108 / Symbol: ELS                          |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                                           | 50 000          | 2,057.75                  | 2,057.75                              | 02/16/12          | 1,714.15                | 0 00                                  | 343.60                 | Sale                                     |
| 2 tax lots for 12/08/14 Total proceeds (and cost when required) reported to the IRS                |                 |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                                    | 34 000          | 1,724 16                  | 1,724 16                              | 02/16/12          | 1,165 63                | 0 00                                  | 558.53                 | Sale                                     |
|                                                                                                    | 56 000          | 2,839 78                  | 2,839 78                              | 02/23/12          | 1,881 33                | 0 00                                  | 958.45                 | Sale                                     |
| 12/08/14                                                                                           | 90 000          | 4,563.94                  | 4,563.94                              | VARIOUS           | 3,046.96                | 0 00                                  | 1,516 98               | Total of 2 lots                          |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & 6- Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| Security total:                                                                       |          | 6,621.69                               |                   | 4,761.11                | 0.00                                  | 1,860.58                                 |                        |
| FAMILY DOLLAR STORES COMMON STOCK / CUSIP: 307000109 / Symbol: FDO                    |          |                                        |                   |                         |                                       |                                          |                        |
| 2 tax lots for 01/21/14 Total proceeds (and cost when required) reported to the IRS:  |          |                                        |                   |                         |                                       |                                          |                        |
| 24 000                                                                                |          | 1,551.71                               | 11/08/12          | 1,590.84                | 0.00                                  | -39.13                                   | Sale                   |
| 34 000                                                                                |          | 2,198.26                               | 11/14/12          | 2,254.66                | 0.00                                  | -56.40                                   | Sale                   |
| 58 000                                                                                |          | 3,749.97                               | VARIOUS           | 3,845.50                | 0.00                                  | -95.53                                   | Total of 2 lots        |
| 2 tax lots for 02/04/14 Total proceeds (and cost when required) reported to the IRS:  |          |                                        |                   |                         |                                       |                                          |                        |
| 13 000                                                                                |          | 787.04                                 | 11/14/12          | 862.08                  | 0.00                                  | -75.04                                   | Sale                   |
| 44 000                                                                                |          | 2,663.83                               | 11/26/12          | 3,050.04                | 0.00                                  | -386.21                                  | Sale                   |
| 57 000                                                                                |          | 3,450.87                               | VARIOUS           | 3,912.12                | 0.00                                  | -461.25                                  | Total of 2 lots        |
| Security total:                                                                       |          | 7,200.84                               |                   | 7,757.62                | 0.00                                  | -556.78                                  |                        |
| HOWARD HUGHES CORP / CUSIP: 44267D107 / Symbol: HHC                                   |          |                                        |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 15 000   | 2,054.95                               | 04/26/11          | 967.29                  | 0.00                                  | 1,087.66                                 | Sale                   |
| 06/09/14                                                                              | 20 000   | 2,958.75                               | 04/26/11          | 1,289.73                | 0.00                                  | 1,669.02                                 | Sale                   |
| 2 tax lots for 11/05/14 Total proceeds (and cost when required) reported to the IRS:  |          |                                        |                   |                         |                                       |                                          |                        |
| 4 000                                                                                 |          | 589.11                                 | 04/26/11          | 257.95                  | 0.00                                  | 331.16                                   | Sale                   |
| 26 000                                                                                |          | 3,829.19                               | 08/05/11          | 1,378.45                | 0.00                                  | 2,450.74                                 | Sale                   |
| 30 000                                                                                |          | 4,418.30                               | VARIOUS           | 1,636.40                | 0.00                                  | 2,781.90                                 | Total of 2 lots        |
| Security total:                                                                       |          | 9,432.00                               |                   | 3,893.42                | 0.00                                  | 5,538.58                                 |                        |
| JARDINE STRATEGIC HOLDINGS LTD UNSPON ADR / CUSIP: 471122200 / Symbol:                |          |                                        |                   |                         |                                       |                                          |                        |
| 01/06/14                                                                              | 34 000   | 544.73                                 | 10/28/11          | 503.33                  | 0.00                                  | 41.40                                    | Sale                   |
| 2 tax lots for 01/07/14. Total proceeds (and cost when required) reported to the IRS: |          |                                        |                   |                         |                                       |                                          |                        |
| 37 000                                                                                |          | 598.95                                 | 10/28/11          | 547.73                  | 0.00                                  | 51.22                                    | Sale                   |
| 34 000                                                                                |          | 550.38                                 | 11/08/11          | 546.06                  | 0.00                                  | 4.32                                     | Sale                   |
| 71 000                                                                                |          | 1,149.33                               | VARIOUS           | 1,093.79                | 0.00                                  | 55.54                                    | Total of 2 lots        |
| 01/08/14                                                                              | 117 000  | 1,899.41                               | 11/08/11          | 1,879.08                | 0.00                                  | 20.33                                    | Sale                   |
| 2 tax lots for 01/29/14 Total proceeds (and cost when required) reported to the IRS:  |          |                                        |                   |                         |                                       |                                          |                        |
| 29 000                                                                                |          | 468.95                                 | 11/08/11          | 465.75                  | 0.00                                  | 3.20                                     | Sale                   |
| 76 000                                                                                |          | 1,228.99                               | 11/28/11          | 1,113.84                | 0.00                                  | 115.15                                   | Sale                   |
| 105 000                                                                               |          | 1,697.94                               | VARIOUS           | 1,579.59                | 0.00                                  | 118.35                                   | Total of 2 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

2014 1099-B\*

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

(continued)

**2- LONG-TERM TRANSACTIONS**

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported | 1d- Proceeds & Reported (G)ross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|-------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| JARDINE STRATEGIC HOLDINGS LTD UNSPON ADR / CUSIP: 471122200 / Symbol: (cont'd)      |                 |             |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 01/30/14. Total proceeds (and cost when required) reported to the IRS |                 |             |                                       |                   |                         |                                       |                                          |                        |
| 01/30/14                                                                             | 84 000          |             | 1,338.58                              | 11/28/11          | 1,231.08                | 0.00                                  | 107.50                                   | Sale                   |
| 02/13/14                                                                             | 30 000          |             | 478.07                                | 12/09/11          | 453.53                  | 0.00                                  | 24.54                                    | Sale                   |
| 02/14/14                                                                             | 114 000         |             | 1,816.65                              | VARIOUS           | 1,684.61                | 0.00                                  | 132.04                                   | Total of 2 lots        |
|                                                                                      | 57 000          |             | 920.33                                | 12/09/11          | 861.72                  | 0.00                                  | 58.61                                    | Sale                   |
|                                                                                      | 58 000          |             | 931.23                                | 12/09/11          | 876.83                  | 0.00                                  | 54.40                                    | Sale                   |
| 3 tax lots for 02/18/14. Total proceeds (and cost when required) reported to the IRS |                 |             |                                       |                   |                         |                                       |                                          |                        |
| 02/18/14                                                                             | 27 000          |             | 435.71                                | 12/09/11          | 408.18                  | 0.00                                  | 27.53                                    | Sale                   |
| 02/19/14                                                                             | 29 000          |             | 467.99                                | 01/09/12          | 431.86                  | 0.00                                  | 36.13                                    | Sale                   |
|                                                                                      | 57 000          |             | 920.95                                | 01/09/12          | 848.83                  | 0.00                                  | 72.12                                    | Sale                   |
|                                                                                      | 113 000         |             | 1,824.65                              | VARIOUS           | 1,688.87                | 0.00                                  | 135.78                                   | Total of 3 lots        |
|                                                                                      | 66 000          |             | 1,082.23                              | 01/09/12          | 982.86                  | 0.00                                  | 99.37                                    | Sale                   |
|                                                                                      | Security total: |             | 11,866.50                             |                   | 11,150.68               | 0.00                                  | 715.82                                   |                        |
| L BRANDS INC COM / CUSIP: 501797104 / Symbol: LB                                     |                 |             |                                       |                   |                         |                                       |                                          |                        |
| 06/09/14                                                                             | 25 000          |             | 1,482.72                              | 07/26/11          | 956.28                  | 0.00                                  | 526.44                                   | Sale                   |
| 11/05/14                                                                             | 20 000          |             | 1,499.77                              | 07/26/11          | 765.02                  | 0.00                                  | 734.75                                   | Sale                   |
|                                                                                      | Security total: |             | 2,982.49                              |                   | 1,721.30                | 0.00                                  | 1,261.19                                 |                        |
| LANDS END INC NEW / CUSIP: 51509F105 / Symbol: LE                                    |                 |             |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS |                 |             |                                       |                   |                         |                                       |                                          |                        |
| 10/10/14                                                                             | 2 106           |             | 83.57                                 | 06/16/11          | 83.68                   | 0.00                                  | -0.11                                    | Sale                   |
|                                                                                      | 11 533          |             | 457.71                                | 01/08/13          | 287.37                  | 0.00                                  | 170.34                                   | Sale                   |
|                                                                                      | 13 638          |             | 541.28                                | VARIOUS           | 371.05                  | 0.00                                  | 170.23                                   | Total of 2 lots        |
| 4 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS |                 |             |                                       |                   |                         |                                       |                                          |                        |
| 11/24/14                                                                             | 8 921           |             | 422.67                                | 01/08/13          | 222.31                  | 0.00                                  | 200.36                                   | Sale                   |
|                                                                                      | 11 731          |             | 555.78                                | 09/16/13          | 435.04                  | 0.00                                  | 120.74                                   | Sale                   |
|                                                                                      | 25 267          |             | 1,197.06                              | 09/25/13          | 897.27                  | 0.00                                  | 299.79                                   | Sale                   |
|                                                                                      | 26 081          |             | 1,235.63                              | 10/25/13          | 875.72                  | 0.00                                  | 359.91                                   | Sale                   |
|                                                                                      | 72 000          |             | 3,411.14                              | VARIOUS           | 2,430.34                | 0.00                                  | 980.80                                   | Total of 4 lots        |
|                                                                                      | Security total: |             | 3,952.42                              |                   | 2,801.39                | 0.00                                  | 1,151.03                                 |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed

Quantity

1d- Proceeds &amp; 6- Reported (G)ross (Net)

1b- Date acquired

1e- Cost or other basis

1g- Adjustments &amp; 1f- Code(s), if any

Gain or loss(-) &amp; 7- Loss not allowed(X) Additional Information

LENNAR CORP \*RECLASSIFICATION AS OF 4/03\* / CUSIP: 526057104 / Symbol: LEN

75,000

3,078.86

04/03/12

1,996.08

0.00

1,082.78

Sale

LEUCADIA NATIONAL CORP COMMON STOCK / CUSIP: 527288104 / Symbol: LUK

2 tax lots for 10/01/14. Total proceeds (and cost when required) reported to the IRS

125,000

2,931.50

01/23/13

2,967.50

0.00

-36.00

Sale

114,000

2,673.53

02/14/13

3,025.25

0.00

-351.72

Sale

239,000

5,605.03

VARIOUS

5,992.75

0.00

-387.72

Total of 2 lots

LIBERTY BROADBAND CORP SER A / CUSIP: 530307107 / Symbol: LBRDA

1,757

94.98

07/19/11

45.49

0.00

49.49

Sale

LIBERTY BROADBAND CORP / CUSIP: 530307305 / Symbol: LBRDK

3,514

189.09

07/19/11

90.24

0.00

98.85

Sale

LIBERTY INTERACTIVE CORP SER A / CUSIP: 53071M104 / Symbol: QVCA

45,000

1,340.97

06/07/12

651.78

0.00

689.19

Sale

LIBERTY VENTURES SER A / CUSIP: 53071M880 / Symbol: LVNTA

0,061

1.86

06/07/12

0.63

0.00

1.23

Sale

LIBERTY TRIPADVISOR HLDGS INC SER A / CUSIP: 531465102 / Symbol: LTRPA

3 tax lots for 10/09/14. Total proceeds (and cost when required) reported to the IRS

16,100

497.86

06/07/12

159.17

0.00

338.69

Sale

17,900

553.53

06/19/12

176.05

0.00

377.48

Sale

12,000

371.08

10/09/12

137.79

0.00

233.29

Sale

46,000

1,422.47

VARIOUS

473.01

0.00

949.46

Total of 3 lots

MSC INDUSTRIAL DIRECT CO INC / CUSIP: 553530106 / Symbol: MSM

2 tax lots for 07/15/14. Total proceeds (and cost when required) reported to the IRS.

22,000

1,970.43

04/11/12

1,707.80

0.00

262.63

Sale

44,000

3,940.85

06/05/12

2,918.94

0.00

1,021.91

Sale

66,000

5,911.28

VARIOUS

4,626.74

0.00

1,284.54

Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

OMB No: 1545-0715

(continued)

2014 1099-B\*

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 9949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) / Symbol. | 1d- Proceeds & 6- Reported (Gross (Net) / Symbol. | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|------------------------------------|---------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| OSH ONE LIQUIDATING CORP CL A / CUSIP: 688197102 / Symbol.                            | 0.316    | 0.00                               | 0.00                                              | 06/16/11          | 26.66                   | 0.00                                  | -26.66                                   | Sale                   |
| 05/16/14                                                                              |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
| OSH ONE LIQUIDATING CORP PFD CL A RATE: 0.000% / CUSIP: 688197201 / Symbol: OSHSQ     | 0.316    | 0.00                               | 0.00                                              | 06/16/11          | 0.68                    | 0.00                                  | -0.68                                    | Sale                   |
| 05/19/14                                                                              |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
| DUSE PPTVS INC / CUSIP: 779287101 / Symbol: RSE                                       |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
| 2 tax lots for 05/05/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
|                                                                                       | 15 000   | 250.34                             | 221.05                                            | 11/05/12          | 221.05                  | 0.00                                  | 29.29                                    | Sale                   |
|                                                                                       | 29 000   | 484.00                             | 430.06                                            | 11/06/12          | 430.06                  | 0.00                                  | 53.94                                    | Sale                   |
| 05/05/14                                                                              | 44 000   | 734.34                             | 651.11                                            | VARIOUS           | 651.11                  | 0.00                                  | 83.23                                    | Total of 2 lots        |
| 2 tax lots for 05/06/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
|                                                                                       | 16 000   | 257.29                             | 237.27                                            | 11/06/12          | 237.27                  | 0.00                                  | 20.02                                    | Sale                   |
|                                                                                       | 33 000   | 530.66                             | 482.72                                            | 11/07/12          | 482.72                  | 0.00                                  | 47.94                                    | Sale                   |
| 05/06/14                                                                              | 49 000   | 787.95                             | 719.99                                            | VARIOUS           | 719.99                  | 0.00                                  | 67.96                                    | Total of 2 lots        |
| 2 tax lots for 08/21/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
|                                                                                       | 20 000   | 348.07                             | 290.56                                            | 11/07/12          | 290.56                  | 0.00                                  | 57.51                                    | Sale                   |
|                                                                                       | 37 000   | 643.92                             | 537.92                                            | 11/08/12          | 537.92                  | 0.00                                  | 106.00                                   | Sale                   |
| 08/21/14                                                                              | 57 000   | 991.99                             | 828.48                                            | VARIOUS           | 828.48                  | 0.00                                  | 163.51                                   | Total of 2 lots        |
| 2 tax lots for 08/22/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
|                                                                                       | 7 000    | 120.52                             | 101.77                                            | 11/08/12          | 101.77                  | 0.00                                  | 18.75                                    | Sale.                  |
|                                                                                       | 43 000   | 740.33                             | 697.14                                            | 12/27/12          | 697.14                  | 0.00                                  | 43.19                                    | Sale.                  |
| 08/22/14                                                                              | 50 000   | 860.85                             | 798.91                                            | VARIOUS           | 798.91                  | 0.00                                  | 61.94                                    | Total of 2 lots        |
| 2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
|                                                                                       | 10 000   | 171.19                             | 162.12                                            | 12/27/12          | 162.12                  | 0.00                                  | 9.07                                     | Sale                   |
|                                                                                       | 36 000   | 616.29                             | 592.98                                            | 12/28/12          | 592.98                  | 0.00                                  | 23.31                                    | Sale                   |
| 08/25/14                                                                              | 46 000   | 787.48                             | 755.10                                            | VARIOUS           | 755.10                  | 0.00                                  | 32.38                                    | Total of 2 lots        |
| 6 tax lots for 08/26/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                    |                                                   |                   |                         |                                       |                                          |                        |
|                                                                                       | 71 000   | 1,217.70                           | 1,169.48                                          | 12/28/12          | 1,169.48                | 0.00                                  | 48.22                                    | Sale                   |
|                                                                                       | 44 000   | 754.63                             | 771.32                                            | 01/16/13          | 771.32                  | 0.00                                  | -16.69                                   | Sale                   |
|                                                                                       | 146 000  | 2,504.01                           | 2,556.15                                          | 01/17/13          | 2,556.15                | 0.00                                  | -52.14                                   | Sale                   |
|                                                                                       | 35 000   | 600.27                             | 617.05                                            | 01/18/13          | 617.05                  | 0.00                                  | -16.78                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

(continued)

2014 1099-B\*

CMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ROUSE PPTYS INC / CUSIP: 779287101 / Symbol: RSE (cont'd)                            |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 33 000          | 565.98                    |                                       | 01/22/13          | 589.20                  | 0.00                                  | -23.22                                   | Sale                   |
|                                                                                      | 48 000          | 823.23                    |                                       | 01/23/13          | 860.95                  | 0.00                                  | -37.72                                   | Sale                   |
| 08/26/14                                                                             | 377 000         | 6,465.82                  |                                       | VARIOUS           | 6,564.15                | 0.00                                  | -98.33                                   | Total of 6 lots        |
|                                                                                      | Security total: | 10,628.43                 |                                       |                   | 10,317.74               | 0.00                                  | 310.69                                   |                        |
| ARZ SER A / CUSIP: 85571Q102 / Symbol: STRZA                                         |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 11/26/14                                                                             | 10 027          | 331.91                    |                                       | 07/19/11          | 88.19                   | 0.00                                  | 243.72                                   | Sale                   |
| TOURMALINE OIL CORP FOREIGN STOCK CAD / CUSIP: 89156V106 / Symbol: TRMLF             |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 06/09/14                                                                             | 50 000          | 2,587.84                  |                                       | 01/27/12          | 1,248.27                | 0.00                                  | 1,339.57                                 | Sale                   |
| VIACOM INC NEW CL B / CUSIP: 92553P201 / Symbol: VIAB                                |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 30 000          | 2,440.15                  |                                       | 03/06/12          | 1,425.78                | 0.00                                  | 1,014.37                                 | Sale                   |
| 11/26/14                                                                             | 29 000          | 2,189.28                  |                                       | 03/06/12          | 1,378.25                | 0.00                                  | 811.03                                   | Sale                   |
|                                                                                      | Security total: | 4,629.43                  |                                       |                   | 2,804.03                | 0.00                                  | 1,825.40                                 |                        |
| WENDYS CO / CUSIP: 95058W100 / Symbol: WEN                                           |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 11/26/14 Total proceeds (and cost when required) reported to the IRS: |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 177 000         | 1,531.02                  |                                       | 10/25/12          | 755.35                  | 0.00                                  | 775.67                                   | Sale                   |
|                                                                                      | 231 000         | 1,998.10                  |                                       | 11/08/12          | 1,046.06                | 0.00                                  | 952.04                                   | Sale                   |
| 11/26/14                                                                             | 408 000         | 3,529.12                  |                                       | VARIOUS           | 1,801.41                | 0.00                                  | 1,727.71                                 | Total of 2 lots        |
| WPX ENERGY INC / CUSIP: 98212B103 / Symbol: WPX                                      |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/26/14 Total proceeds (and cost when required) reported to the IRS: |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 162 000         | 2,802.71                  |                                       | 02/08/12          | 2,916.42                | 0.00                                  | -113.71                                  | Sale                   |
|                                                                                      | 137 000         | 2,370.20                  |                                       | 02/15/12          | 2,465.38                | 0.00                                  | -95.18                                   | Sale                   |
| 02/26/14                                                                             | 299 000         | 5,172.91                  |                                       | VARIOUS           | 5,381.80                | 0.00                                  | -208.89                                  | Total of 2 lots        |
| 2 tax lots for 03/12/14 Total proceeds (and cost when required) reported to the IRS: |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 22 000          | 384.73                    |                                       | 02/15/12          | 395.90                  | 0.00                                  | -11.17                                   | Sale                   |
|                                                                                      | 200 000         | 3,497.51                  |                                       | 02/21/12          | 3,833.04                | 0.00                                  | -335.53                                  | Sale                   |
| 03/12/14                                                                             | 222 000         | 3,882.24                  |                                       | VARIOUS           | 4,228.94                | 0.00                                  | -346.70                                  | Total of 2 lots        |
|                                                                                      | Security total: | 9,055.15                  |                                       |                   | 9,610.74                | 0.00                                  | -555.59                                  |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

**Proceeds from Broker and Barter Exchange Transactions**

2014 1099-B\*

(continued)

OMB No. 1545-0715

**2- LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box D checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------|----------|---------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
|                           |          |                           |                                  | 98,441.64               | 0.00                                  |                        | 29,362.61                                |
| <b>Totals:</b>            |          | 127,804.25                |                                  |                         |                                       |                        |                                          |

**5- COVERED tax lots 3- Basis is reported to the IRS\*\*****LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box E checked

**Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 1b- Date acquired | Cost or other basis | Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------|----------|---------------------------|----------------------------------|---------------------|-------------------------------|------------------------|------------------------------------------|
|---------------------------|----------|---------------------------|----------------------------------|---------------------|-------------------------------|------------------------|------------------------------------------|

AUTONATION INC / CUSIP: 05329W102 / Symbol: AN

06/09/14 40,000

11/05/14 3,000

Security total:

2,279.15

170.18

2,449.33

1,138.67

85.40

1,224.07

1,140.48

84.78

1,225.26

Sale

Sale

BERKSHIRE HATHAWAY INC NEW CL B / CUSIP: 084670702 / Symbol: BRKB

04/15/14 16,000

09/24/14 15,000

11/24/14 5,000

Security total:

1,961.24

2,097.77

736.12

4,795.13

1,378.56

1,292.40

430.80

3,101.76

582.68

805.37

305.32

1,693.37

Sale

Sale

Sale

BROOKFIELD ASSET MANAGEMENT INC LTD VTG SHS CL A FOREIGN STOCK / CUSIP: 112585104 / Symbol: BAM

04/15/14 55,000

06/09/14 25,000

11/05/14 55,000

Security total:

2,310.51

1,098.23

2,692.19

6,100.93

1,609.39

731.54

1,609.40

3,950.33

701.12

366.69

1,082.79

2,150.60

Sale

Sale

Sale

TEAMWORKS ANIMATION SKG CL A / CUSIP: 26153C103 / Symbol: DWA

04/15/14 60,000

11/26/14 14,000

Security total:

1,571.39

330.39

1,901.78

1,738.85

405.73

2,144.58

-167.46

-75.34

-242.80

Sale

Sale

JARDEN CORP / CUSIP: 471109108 / Symbol: JAH

2 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS

34,000

21,000

55,000

35,000

1,853.42

1,144.76

2,998.18

2,032.06

634.10

389.51

1,023.61

649.18

1,219.32

755.25

1,974.57

1,382.88

Sale

Sale

Total of 2 lots

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| JARDEN CORP / CUSIP 471109108 / Symbol: JAH (cont'd)                                  |          |                           |                                       |               |                     |                               |                                          |                        |
| 11/05/14                                                                              | 55,000   |                           | 3,551.68                              | 08/06/10      | 1,020.15            | 0.00                          | 2,531.53                                 | Sale                   |
| 11/25/14                                                                              | 0.500    |                           | 22.06                                 | 08/06/10      | 6.18                | 0.00                          | 15.88                                    | Sale                   |
| Security total:                                                                       |          |                           | 8,603.98                              |               | 2,699.12            | 0.00                          | 5,904.86                                 |                        |
| LANDS END INC NEW / CUSIP: 51509F105 / Symbol LE                                      |          |                           |                                       |               |                     |                               |                                          |                        |
| 04/07/14                                                                              | 0.502    |                           | 15.02                                 | 06/15/10      | 23.40               | 0.00                          | - 8.38                                   | Sale                   |
| 5 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 0.099    |                           | 4.29                                  | 06/15/10      | 4.63                | 0.00                          | -0.34                                    | Sale                   |
|                                                                                       | 2.106    |                           | 90.84                                 | 06/23/10      | 88.33               | 0.00                          | 2.51                                     | Sale                   |
|                                                                                       | 9.325    |                           | 402.29                                | 06/24/10      | 384.49              | 0.00                          | 17.80                                    | Sale                   |
|                                                                                       | 13.837   |                           | 596.95                                | 07/02/10      | 496.44              | 0.00                          | 100.51                                   | Sale                   |
|                                                                                       | 9.634    |                           | 415.63                                | 07/08/10      | 338.64              | 0.00                          | 76.99                                    | Sale                   |
| 09/24/14                                                                              | 35,000   |                           | 1,510.00                              | VARIOUS       | 1,312.53            | 0.00                          | 197.47                                   | Total of 5 lots        |
| 6 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS: |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 0.593    |                           | 23.54                                 | 07/08/10      | 20.85               | 0.00                          | 2.69                                     | Sale                   |
|                                                                                       | 10.227   |                           | 405.89                                | 07/16/10      | 373.42              | 0.00                          | 32.47                                    | Sale                   |
|                                                                                       | 13.536   |                           | 537.21                                | 07/21/10      | 489.04              | 0.00                          | 48.17                                    | Sale                   |
|                                                                                       | 10.829   |                           | 429.76                                | 07/28/10      | 427.86              | 0.00                          | 1.90                                     | Sale                   |
|                                                                                       | 9.626    |                           | 382.02                                | 08/06/10      | 393.78              | 0.00                          | -11.76                                   | Sale                   |
|                                                                                       | 19.552   |                           | 775.96                                | 11/16/10      | 723.58              | 0.00                          | 52.38                                    | Sale                   |
| 10/10/14                                                                              | 64.362   |                           | 2,554.38                              | VARIOUS       | 2,428.53            | 0.00                          | 125.85                                   | Total of 6 lots        |
| Security total:                                                                       |          |                           | 4,079.40                              |               | 3,764.46            | 0.00                          | 314.94                                   |                        |
| LEUCADIA NATIONAL CORP COMMON STOCK / CUSIP: 527288104 / Symbol: LUK                  |          |                           |                                       |               |                     |                               |                                          |                        |
| 02/18/14                                                                              | 142,000  |                           | 4,004.63                              | 10/06/06      | 3,691.62            | 0.00                          | 313.01                                   | Sale                   |
| 2 tax lots for 04/09/14. Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 104,000  |                           | 2,751.40                              | 10/06/06      | 2,703.72            | 0.00                          | 47.68                                    | Sale                   |
|                                                                                       | 45,000   |                           | 1,190.51                              | 05/06/10      | 1,049.48            | 0.00                          | 141.03                                   | Sale                   |
| 04/09/14                                                                              | 149,000  |                           | 3,941.91                              | VARIOUS       | 3,753.20            | 0.00                          | 188.71                                   | Total of 2 lots        |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 74,000   |                           | 1,880.64                              | 05/06/10      | 1,725.81            | 0.00                          | 154.83                                   | Sale                   |
|                                                                                       | 61,000   |                           | 1,550.25                              | 08/24/10      | 1,192.97            | 0.00                          | 357.28                                   | Sale                   |
| 04/15/14                                                                              | 135,000  |                           | 3,430.89                              | VARIOUS       | 2,918.78            | 0.00                          | 512.11                                   | Total of 2 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|---------------------------|------------------------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| LEUCADIA NATIONAL CORP COMMON STOCK / CUSIP: 527288104 / Symbol: LUK (cont'd)         |                 |                           |                              |                     |                               |                                          |                        |
| 2 tax lots for 09/10/14 Total proceeds (and cost when required) reported to the IRS   |                 |                           |                              |                     |                               |                                          |                        |
|                                                                                       | 64 000          | 1,651.08                  | 08/24/10                     | 1,251.65            | 0.00                          | 399.43                                   | Sale                   |
|                                                                                       | 4 000           | 103.19                    | 08/25/10                     | 77.94               | 0.00                          | 25.25                                    | Sale                   |
| 09/10/14                                                                              | 68 000          | 1,754.27                  | VARIOUS                      | 1,329.59            | 0.00                          | 424.68                                   | Total of 2 lots        |
| 3 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                              |                     |                               |                                          |                        |
|                                                                                       | 157 000         | 4,029.97                  | 08/25/10                     | 3,059.31            | 0.00                          | 970.66                                   | Sale                   |
|                                                                                       | 115 000         | 2,951.89                  | 08/26/10                     | 2,275.16            | 0.00                          | 676.73                                   | Sale                   |
|                                                                                       | 3 000           | 77.01                     | 10/07/10                     | 70.23               | 0.00                          | 6.78                                     | Sale                   |
| 09/18/14                                                                              | 275 000         | 7,058.87                  | VARIOUS                      | 5,404.70            | 0.00                          | 1,654.17                                 | Total of 3 lots        |
| 2 tax lots for 10/01/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                              |                     |                               |                                          |                        |
|                                                                                       | 41 000          | 961.53                    | 10/07/10                     | 959.88              | 0.00                          | 1.65                                     | Sale                   |
|                                                                                       | 72 000          | 1,688.54                  | 10/08/10                     | 1,707.23            | 0.00                          | -18.69                                   | Sale                   |
| 10/01/14                                                                              | 113 000         | 2,650.07                  | VARIOUS                      | 2,667.11            | 0.00                          | -17.04                                   | Total of 2 lots        |
|                                                                                       | Security total: | 22,840.64                 |                              | 19,765.00           | 0.00                          | 3,075.64                                 |                        |
| LIBERTY BROADBAND CORP SER A / CUSIP: 530307107 / Symbol LBRDA                        |                 |                           |                              |                     |                               |                                          |                        |
| 2 tax lots for 11/26/14 Total proceeds (and cost when required) reported to the IRS   |                 |                           |                              |                     |                               |                                          |                        |
|                                                                                       | 10 493          | 567.34                    | 09/03/10                     | 155.52              | 0.00                          | 411.82                                   | Sale                   |
|                                                                                       | 13 750          | 743.43                    | 12/14/10                     | 257.51              | 0.00                          | 485.92                                   | Sale                   |
| 11/26/14                                                                              | 24,243          | 1,310.77                  | VARIOUS                      | 413.03              | 0.00                          | 897.74                                   | Total of 2 lots        |
| LIBERTY BROADBAND CORP / CUSIP: 530307305 / Symbol: LBRDK                             |                 |                           |                              |                     |                               |                                          |                        |
| 11/05/14                                                                              | 0.250           | 12.21                     | 09/03/10                     | 3.68                | 0.00                          | 8.53                                     | Sale                   |
| 2 tax lots for 11/26/14 Total proceeds (and cost when required) reported to the IRS.  |                 |                           |                              |                     |                               |                                          |                        |
|                                                                                       | 1 987           | 106.91                    | 09/03/10                     | 29.20               | 0.00                          | 77.71                                    | Sale                   |
|                                                                                       | 27 500          | 1,480.02                  | 12/14/10                     | 510.77              | 0.00                          | 969.25                                   | Sale                   |
| 11/26/14                                                                              | 29,487          | 1,586.93                  | VARIOUS                      | 539.97              | 0.00                          | 1,046.96                                 | Total of 2 lots        |
|                                                                                       | Security total: | 1,599.14                  |                              | 543.65              | 0.00                          | 1,055.49                                 |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

2014 1099-B\*

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------|-----------------|---------------------------|------------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| LIBERTY MEDIA CORP CL A / CUSIP: 531229102 / Symbol: LMCA                              |                 |                           |                                          |               |                     |                               |                                          |                        |
| 2 tax lots for 06/09/14. Total proceeds (and cost when required) reported to the IRS.  |                 |                           |                                          |               |                     |                               |                                          |                        |
| 06/09/14                                                                               | 47,973          | 6,350.52                  | 1,999.69                                 | 08/12/10      | 1,999.69            | 0.00                          | 4,350.83                                 | Sale                   |
|                                                                                        | 2,027           | 268.33                    | 88.74                                    | 09/03/10      | 88.74               | 0.00                          | 179.59                                   | Sale                   |
|                                                                                        | 50,000          | 6,618.85                  | 2,088.43                                 | VARIOUS       | 2,088.43            | 0.00                          | 4,530.42                                 | Total of 2 lots        |
| 2 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS.  |                 |                           |                                          |               |                     |                               |                                          |                        |
| 11/05/14                                                                               | 41,973          | 1,500.08                  | 472.06                                   | 09/03/10      | 472.06              | 0.00                          | 1,028.02                                 | Sale                   |
|                                                                                        | 53,027          | 1,895.14                  | 753.55                                   | 12/14/10      | 753.55              | 0.00                          | 1,141.59                                 | Sale                   |
|                                                                                        | 95,000          | 3,395.22                  | 1,225.61                                 | VARIOUS       | 1,225.61            | 0.00                          | 2,169.61                                 | Total of 2 lots        |
|                                                                                        | Security total: | 10,014.07                 | 3,314.04                                 |               | 3,314.04            | 0.00                          | 6,700.03                                 |                        |
| LIBERTY MEDIA CORP / CUSIP: 531229300 / Symbol: LMCK                                   |                 |                           |                                          |               |                     |                               |                                          |                        |
| 09/24/14                                                                               | 75,000          | 3,514.43                  | 1,081.07                                 | 09/03/10      | 1,081.07            | 0.00                          | 2,433.36                                 | Sale                   |
| 2 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS.  |                 |                           |                                          |               |                     |                               |                                          |                        |
| 11/05/14                                                                               | 8,946           | 315.29                    | 96.07                                    | 09/03/10      | 96.07               | 0.00                          | 219.22                                   | Sale                   |
|                                                                                        | 106,054         | 3,737.78                  | 1,439.13                                 | 12/14/10      | 1,439.13            | 0.00                          | 2,298.65                                 | Sale                   |
|                                                                                        | 115,000         | 4,053.07                  | 1,535.20                                 | VARIOUS       | 1,535.20            | 0.00                          | 2,517.87                                 | Total of 2 lots        |
|                                                                                        | Security total: | 7,567.50                  | 2,616.27                                 |               | 2,616.27            | 0.00                          | 4,951.23                                 |                        |
| OSH ONE LIQUIDATING CORP CL A / CUSIP: 688197102 / Symbol:                             |                 |                           |                                          |               |                     |                               |                                          |                        |
| 12 tax lots for 05/16/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                          |               |                     |                               |                                          |                        |
| 05/16/14                                                                               | 0.890           | 0.00                      | 128.84                                   | 04/28/10      | 128.84              | 0.00                          | -128.84                                  | Sale                   |
|                                                                                        | 1.355           | 0.00                      | 143.90                                   | 05/27/10      | 143.90              | 0.00                          | -143.90                                  | Sale                   |
|                                                                                        | 1.536           | 0.00                      | 151.81                                   | 06/15/10      | 151.81              | 0.00                          | -151.81                                  | Sale                   |
|                                                                                        | 0.316           | 0.00                      | 28.15                                    | 06/23/10      | 28.15               | 0.00                          | -28.15                                   | Sale                   |
|                                                                                        | 1.400           | 0.00                      | 122.52                                   | 06/24/10      | 122.52              | 0.00                          | -122.52                                  | Sale                   |
|                                                                                        | 2.078           | 0.00                      | 158.18                                   | 07/02/10      | 158.18              | 0.00                          | -158.18                                  | Sale                   |
|                                                                                        | 1.536           | 0.00                      | 114.56                                   | 07/08/10      | 114.56              | 0.00                          | -114.56                                  | Sale                   |
|                                                                                        | 1.536           | 0.00                      | 118.98                                   | 07/16/10      | 118.98              | 0.00                          | -118.98                                  | Sale                   |
|                                                                                        | 2.032           | 0.00                      | 155.83                                   | 07/21/10      | 155.83              | 0.00                          | -155.83                                  | Sale                   |
|                                                                                        | 1.626           | 0.00                      | 136.34                                   | 07/28/10      | 136.34              | 0.00                          | -136.34                                  | Sale                   |
|                                                                                        | 1.445           | 0.00                      | 125.47                                   | 08/06/10      | 125.47              | 0.00                          | -125.47                                  | Sale                   |
|                                                                                        | 2.936           | 0.00                      | 230.57                                   | 11/16/10      | 230.57              | 0.00                          | -230.57                                  | Sale                   |
|                                                                                        | 18.684          | 0.00                      | 1,615.15                                 | VARIOUS       | 1,615.15            | 0.00                          | -1,615.15                                | Total of 12 lots       |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00121

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

Report on Form 9949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| OSH ONE LIQUIDATING CORP PFD CL A RATE: 0.000% / CUSIP: 688197201 / Symbol: OSHS2Q   |          |                           |                                       |               |                     |                               |                                          |                        |
| 12 tax lots for 05/19/14 Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 0.890    |                           | 0.00                                  | 04/28/10      | 3.30                | 0.00                          | -3.30                                    | Sale                   |
|                                                                                      | 1.355    |                           | 0.00                                  | 05/27/10      | 3.69                | 0.00                          | -3.69                                    | Sale                   |
|                                                                                      | 1.536    |                           | 0.00                                  | 06/15/10      | 3.88                | 0.00                          | -3.88                                    | Sale                   |
|                                                                                      | 0.316    |                           | 0.00                                  | 06/23/10      | 0.72                | 0.00                          | -0.72                                    | Sale                   |
|                                                                                      | 1.400    |                           | 0.00                                  | 06/24/10      | 3.14                | 0.00                          | -3.14                                    | Sale                   |
|                                                                                      | 2.078    |                           | 0.00                                  | 07/02/10      | 4.05                | 0.00                          | -4.05                                    | Sale                   |
|                                                                                      | 1.536    |                           | 0.00                                  | 07/08/10      | 2.93                | 0.00                          | -2.93                                    | Sale                   |
|                                                                                      | 1.536    |                           | 0.00                                  | 07/16/10      | 3.05                | 0.00                          | -3.05                                    | Sale                   |
|                                                                                      | 2.032    |                           | 0.00                                  | 07/21/10      | 3.99                | 0.00                          | -3.99                                    | Sale                   |
|                                                                                      | 1.626    |                           | 0.00                                  | 07/28/10      | 3.49                | 0.00                          | -3.49                                    | Sale                   |
|                                                                                      | 1.445    |                           | 0.00                                  | 08/06/10      | 3.21                | 0.00                          | -3.21                                    | Sale                   |
|                                                                                      | 2.936    |                           | 0.00                                  | 11/16/10      | 5.90                | 0.00                          | -5.90                                    | Sale                   |
| 05/19/14                                                                             | 18.684   |                           | 0.00                                  | VARIOUS       | 41.35               | 0.00                          | -41.35                                   | Total of 12 lots       |

## SEARS HOLDINGS CORP / CUSIP: 812350106 / Symbol: SHLD

## 6 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS.

|          |         |  |          |          |          |      |           |                 |
|----------|---------|--|----------|----------|----------|------|-----------|-----------------|
|          | 2.000   |  | 66.82    | 06/15/10 | 126.48   | 0.00 | -59.66    | Sale            |
|          | 7.000   |  | 233.86   | 06/23/10 | 398.67   | 0.00 | -164.81   | Sale            |
|          | 31.000  |  | 1,035.69 | 06/24/10 | 1,735.34 | 0.00 | -699.65   | Sale            |
|          | 46.000  |  | 1,536.83 | 07/02/10 | 2,240.53 | 0.00 | -703.70   | Sale            |
|          | 34.000  |  | 1,135.92 | 07/08/10 | 1,622.50 | 0.00 | -486.58   | Sale            |
|          | 10.000  |  | 334.09   | 07/16/10 | 495.68   | 0.00 | -161.59   | Sale            |
| 04/15/14 | 130.000 |  | 4,343.21 | VARIOUS  | 6,619.20 | 0.00 | -2,275.99 | Total of 6 lots |

## STARZ SER A / CUSIP 85571Q102 / Symbol: STRZA

## 3 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS

|          |        |  |          |          |        |      |          |                 |
|----------|--------|--|----------|----------|--------|------|----------|-----------------|
|          | 36.973 |  | 1,130.98 | 08/05/10 | 180.44 | 0.00 | 950.54   | Sale            |
|          | 51.000 |  | 1,560.07 | 08/12/10 | 244.43 | 0.00 | 1,315.64 | Sale            |
|          | 2.027  |  | 62.00    | 09/03/10 | 10.20  | 0.00 | 51.80    | Sale            |
| 04/15/14 | 90.000 |  | 2,753.05 | VARIOUS  | 435.07 | 0.00 | 2,317.98 | Total of 3 lots |

## 2 tax lots for 11/26/14. Total proceeds (and cost when required) reported to the IRS

|  |        |  |          |          |        |      |          |      |
|--|--------|--|----------|----------|--------|------|----------|------|
|  | 41.973 |  | 1,389.39 | 09/03/10 | 211.29 | 0.00 | 1,178.10 | Sale |
|--|--------|--|----------|----------|--------|------|----------|------|

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00121

**Proceeds from Broker and Barter Exchange Transactions**

2014 1099-B\*

(continued)

OMB No. 1545-0715

**LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box E checked

**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*****1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                                 | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|-------------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| STARZ SER A / CUSIP: 85571Q102 / Symbol: STRZA (cont'd)                                   |          |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                           | 55,000   |                           | 1,820.62                              | 12/14/10      | 349.84              | 0.00                          | 1,470.78                                 | Sale                   |
| 11/26/14                                                                                  | 96,973   |                           | 3,210.01                              | VARIOUS       | 561.13              | 0.00                          | 2,648.88                                 | Total of 2 lots        |
| Security total:                                                                           |          |                           | 5,963.06                              |               | 996.20              | 0.00                          | 4,966.86                                 |                        |
| HENDERSON LAND DEVELOPMENT CO LTD FOREIGN STOCK HKD / CUSIP: HK0012000102 / Symbol: HLDVF |          |                           |                                       |               |                     |                               |                                          |                        |
| 06/09/14                                                                                  | 300,000  |                           | 2,027.96                              | 10/29/08      | 913.44              | 0.00                          | 1,114.52                                 | Sale                   |
| 07/18/14                                                                                  | 0 900    |                           | 5.93                                  | 10/29/08      | 2.50                | 0.00                          | 3.43                                     | Sale                   |
| Security total:                                                                           |          |                           | 2,033.89                              |               | 915.94              | 0.00                          | 1,117.95                                 |                        |
| <b>Totals:</b>                                                                            |          |                           | <b>83,602.83</b>                      |               | <b>53,724.15</b>    | <b>0.00</b>                   | <b>29,878.68</b>                         |                        |

**UNDETERMINED-TERM TRANSACTIONS**

Consult your investment records for the cost basis and/or the date of acquisition to determine whether the transactions in this section are long-term or short-term.

**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

Report on Form 8949 Use either Part I with Box B checked or Part II with Box E checked.

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                    | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| SEARS HOLDINGS CORP WARRANTS EXP 12/15/19 / CUSIP: 812350155 / Symbol: SHLDW |          |                           |                                       |               |                     |                               |                                          |                        |
| 11/21/14                                                                     | 0.193    |                           | 3.42                                  | 11/21/14      | ...                 | 0.00                          | 0.00                                     | Sale                   |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF:00122

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & 6- Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ACADIA HEALTHCARE CO INC / CUSIP: 00404A109 / Symbol: ACHC                           | 5,000    |                           | 254.54                                   | 07/17/14          | 228.46                  | 0.00                                  | 26.08                                    | Sale                   |
| ACUTY BRANDS INC / CUSIP: 00508Y102 / Symbol: AYI                                    | 5,000    |                           | 655.64                                   | 07/11/13          | 428.21                  | 0.00                                  | 227.43                                   | Sale                   |
| ADVISORY BOARD CO / CUSIP: 00762W107 / Symbol: ABCO                                  | 5,000    |                           | 296.99                                   | 03/12/14          | 330.05                  | 33.06 W                               | 0.00                                     | Sale                   |
| 06/10/14                                                                             | 5,000    |                           | 253.29                                   | 03/12/14          | 330.05                  | 0.00                                  | -76.76                                   | Sale                   |
| 10/28/14                                                                             | 5,000    |                           | 266.24                                   | 03/12/14          | 330.06                  | 0.00                                  | -63.82                                   | Sale                   |
| Security total:                                                                      |          |                           | 816.52                                   |                   | 990.16                  | 33.06 W                               | -140.58                                  |                        |
| AEGERION PHARMACEUTICALS INC / CUSIP: 00767E102 / Symbol: AEGR                       |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 4 tax lots for 05/19/14 Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 05/19/14                                                                             | 5,000    |                           | 159.55                                   | 08/12/13          | 475.86                  | 0.00                                  | -316.31                                  | Sale                   |
|                                                                                      | 18,000   |                           | 574.37                                   | 08/12/13          | 1,682.28                | 0.00                                  | -1,107.91                                | Sale                   |
|                                                                                      | 7,000    |                           | 223.37                                   | 10/08/13          | 637.07                  | 0.00                                  | -413.70                                  | Sale                   |
|                                                                                      | 31,000   |                           | 989.20                                   | 12/23/13          | 2,069.46                | 0.00                                  | -1,080.26                                | Sale                   |
|                                                                                      | 61,000   |                           | 1,946.49                                 | VARIOUS           | 4,864.67                | 0.00                                  | -2,918.18                                | Total of 4 lots        |
| ANACOR PHARMACEUTICALS INC / CUSIP: 032420101 / Symbol: ANAC                         |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                             | 55,000   |                           | 893.18                                   | 02/07/14          | 925.25                  | 0.00                                  | -32.07                                   | Sale                   |
| ANGIES LIST INC / CUSIP: 034754101 / Symbol: ANGI                                    |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 45,000   |                           | 560.08                                   | 10/01/13          | 937.70                  | 0.00                                  | -377.62                                  | Sale                   |
| 06/10/14                                                                             | 10,000   |                           | 115.39                                   | 10/01/13          | 208.38                  | 0.00                                  | -92.99                                   | Sale                   |
| 3 tax lots for 09/25/14 Total proceeds (and cost when required) reported to the IRS  |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 09/25/14                                                                             | 82,000   |                           | 540.92                                   | 10/01/13          | 1,708.70                | 0.00                                  | -1,167.78                                | Sale                   |
|                                                                                      | 15,000   |                           | 98.95                                    | 10/03/13          | 268.15                  | 0.00                                  | -169.20                                  | Sale                   |
|                                                                                      | 25,000   |                           | 164.91                                   | 09/24/14          | 165.50                  | 0.00                                  | -0.59                                    | Sale                   |
|                                                                                      | 122,000  |                           | 804.78                                   | VARIOUS           | 2,142.35                | 0.00                                  | -1,337.57                                | Total of 3 lots        |
| Security total:                                                                      |          |                           | 1,480.25                                 |                   | 3,288.43                | 0.00                                  | -1,808.18                                |                        |
| ARUBA NETWORKS INC / CUSIP: 043176106 / Symbol: ARUN                                 |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 20,000   |                           | 403.39                                   | 01/02/14          | 346.74                  | 0.00                                  | 56.65                                    | Sale                   |
| 09/24/14                                                                             | 25,000   |                           | 555.74                                   | 01/02/14          | 433.42                  | 0.00                                  | 122.32                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
|                                                                                       | Security total: | 959.13                   |                                  | 780.16                  | 0.00                                  | 178.97                                   |                        |
| ASPEN TECHNOLOGY INC DEL CHANGE IN STATE OF INC / CUSIP: 045327103 / Symbol: AZPN     |                 |                          |                                  |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 5,000           | 218.99                   | 12/18/13                         | 204.17                  | 0.00                                  | 14.82                                    | Sale                   |
| 10/28/14                                                                              | 15,000          | 599.99                   | 12/18/13                         | 612.51                  | 0.00                                  | -12.52                                   | Sale                   |
|                                                                                       | Security total: | 818.98                   |                                  | 816.68                  | 0.00                                  | 2.30                                     |                        |
| ATHENAHEALTH INC / CUSIP: 04685W103 / Symbol: ATHN                                    |                 |                          |                                  |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 5,000           | 618.24                   | 10/21/13                         | 697.28                  | 0.00                                  | -79.04                                   | Sale                   |
| 2 tax lots for 06/24/14 Total proceeds (and cost when required) reported to the IRS.  |                 |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 15,000          | 1,849.26                 | 10/21/13                         | 2,091.85                | 0.00                                  | -242.59                                  | Sale                   |
|                                                                                       | 5,000           | 616.42                   | 04/15/14                         | 693.55                  | 0.00                                  | -77.13                                   | Sale                   |
| 06/24/14                                                                              | 20,000          | 2,465.68                 | VARIOUS                          | 2,785.40                | 0.00                                  | -319.72                                  | Total of 2 lots        |
|                                                                                       | Security total: | 3,083.92                 |                                  | 3,482.68                | 0.00                                  | -398.76                                  |                        |
| ATHLON ENERGY INC **MERGER EFF 11/2014** / CUSIP: 047477104 / Symbol:                 |                 |                          |                                  |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 10,000          | 389.59                   | 02/07/14                         | 324.25                  | 0.00                                  | 65.34                                    | Sale                   |
| 09/24/14                                                                              | 10,000          | 452.59                   | 02/07/14                         | 324.25                  | 0.00                                  | 128.34                                   | Sale                   |
| 4 tax lots for 11/13/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 65,000          | 3,802.50                 | 02/07/14                         | 2,107.62                | 0.00                                  | 1,694.88                                 | Merger                 |
|                                                                                       | 5,000           | 292.50                   | 06/10/14                         | 226.33                  | 0.00                                  | 66.17                                    | Merger                 |
|                                                                                       | 57,000          | 3,334.50                 | 08/15/14                         | 2,414.17                | 0.00                                  | 920.33                                   | Merger                 |
|                                                                                       | 10,000          | 585.00                   | 10/28/14                         | 582.00                  | 0.00                                  | 3.00                                     | Merger                 |
| 11/13/14                                                                              | 137,000         | 8,014.50                 | VARIOUS                          | 5,330.12                | 0.00                                  | 2,684.38                                 | Total of 4 lots        |
|                                                                                       | Security total: | 8,856.68                 |                                  | 5,978.62                | 0.00                                  | 2,878.06                                 |                        |
| AUTOZONE INC COMMON STOCK / CUSIP: 053332102 / Symbol: AZO                            |                 |                          |                                  |                         |                                       |                                          |                        |
| 10/27/14                                                                              | 5,000           | 2,701.99                 | 10/22/14                         | 2,656.89                | 0.00                                  | 45.10                                    | Sale                   |
| BALLY TECHNOLOGIES INC **MERGER EFF 11/2014** / CUSIP: 05874B107 / Symbol:            |                 |                          |                                  |                         |                                       |                                          |                        |
| 2 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 38,000          | 3,164.57                 | 05/21/14                         | 2,240.51                | 0.00                                  | 924.06                                   | Sale                   |
|                                                                                       | 5,000           | 416.39                   | 10/28/14                         | 388.70                  | 0.00                                  | 27.69                                    | Sale                   |
| 11/21/14                                                                              | 43,000          | 3,580.96                 | VARIOUS                          | 2,629.21                | 0.00                                  | 951.75                                   | Total of 2 lots        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                   | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|---------------------------------------------|------------------------|
| CELLADON CORP / CUSIP: 15117E107 / Symbol: CLDN                             |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 09/24/14                                                                    | 10,000   |                           | 100.99                                | 08/13/14          | 97.92                   | 0.00                                  | 3.07                                        | Sale C                 |
| 10/28/14                                                                    | 10,000   |                           | 103.59                                | 08/13/14          | 97.91                   | 0.00                                  | 5.68                                        | Sale C                 |
| Security total:                                                             |          |                           | 204.58                                |                   | 195.83                  | 0.00                                  | 8.75                                        |                        |
| GENTENE CORP / CUSIP: 15135B101 / Symbol: CNC                               |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 04/15/14                                                                    | 5,000    |                           | 288.79                                | 10/23/13          | 318.43                  | 29.64 W                               | 0.00                                        | Sale C                 |
| 09/24/14                                                                    | 15,000   |                           | 1,221.42                              | 10/23/13          | 955.29                  | 0.00                                  | 266.13                                      | Sale C                 |
| Security total:                                                             |          |                           | 1,510.21                              |                   | 1,273.72                | 29.64 W                               | 266.13                                      |                        |
| CONCUR TECHNOLOGIES INC **MERGER EFF 12/2014** / CUSIP: 206708109 / Symbol: |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 12/05/14                                                                    | 10,000   |                           | 1,290.00                              | 10/28/14          | 1,282.96                | 0.00                                  | 7.04                                        | Merger C               |
| DECKERS OUTDOOR CORP COMMON STOCK / CUSIP: 243537107 / Symbol: DECK         |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 04/15/14                                                                    | 5,000    |                           | 389.69                                | 10/28/13          | 349.84                  | 0.00                                  | 39.85                                       | Sale C                 |
| 09/24/14                                                                    | 5,000    |                           | 486.29                                | 10/28/13          | 349.85                  | 0.00                                  | 136.44                                      | Sale C                 |
| Security total:                                                             |          |                           | 875.98                                |                   | 699.69                  | 0.00                                  | 176.29                                      |                        |
| DIAMONDBACK ENERGY INC / CUSIP: 25278X109 / Symbol: FANG                    |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 06/10/14                                                                    | 5,000    |                           | 410.44                                | 11/07/13          | 271.05                  | 0.00                                  | 139.39                                      | Sale C                 |
| EMCOR GROUP INC / CUSIP: 29084Q100 / Symbol: EME                            |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 04/15/14                                                                    | 5,000    |                           | 221.19                                | 01/29/14          | 217.45                  | 0.00                                  | 3.74                                        | Sale C                 |
| 06/10/14                                                                    | 5,000    |                           | 234.49                                | 01/29/14          | 217.45                  | 0.00                                  | 17.04                                       | Sale C                 |
| 09/24/14                                                                    | 5,000    |                           | 209.89                                | 01/29/14          | 217.45                  | 0.00                                  | -7.56                                       | Sale C                 |
| Security total:                                                             |          |                           | 665.57                                |                   | 652.35                  | 0.00                                  | 13.22                                       |                        |
| ENANTA PHARMACEUTICALS INC. / CUSIP: 29251M106 / Symbol: ENTA               |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 06/10/14                                                                    | 15,000   |                           | 623.84                                | 02/26/14          | 579.45                  | 0.00                                  | 44.39                                       | Sale C                 |
| 10/28/14                                                                    | 10,000   |                           | 452.09                                | 02/26/14          | 386.31                  | 0.00                                  | 65.78                                       | Sale C                 |
| Security total:                                                             |          |                           | 1,075.93                              |                   | 965.76                  | 0.00                                  | 110.17                                      |                        |
| ENVISION HEALTHCARE HLDGS INC / CUSIP: 29413U103 / Symbol: EVHC             |          |                           |                                       |                   |                         |                                       |                                             |                        |
| 04/15/14                                                                    | 5,000    |                           | 157.79                                | 04/01/14          | 176.31                  | 0.00                                  | -18.52                                      | Sale C                 |
| 06/10/14                                                                    | 5,000    |                           | 179.14                                | 04/01/14          | 176.32                  | 0.00                                  | 2.82                                        | Sale C                 |
| 07/14/14                                                                    | 76,000   |                           | 2,598.44                              | 04/01/14          | 2,679.97                | 0.00                                  | -81.53                                      | Sale C                 |
| Security total:                                                             |          |                           | 2,935.37                              |                   | 3,032.60                | 0.00                                  | -97.23                                      |                        |

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**UBS FINANCIAL SERVICES INC.** **Proceeds from Broker and Barter Exchange Transactions** **Account: PF 00122**  
**2014 -1099-B\*** **(continued)** **OMB No. 1545-0715**

**2- SHORT-TERM TRANSACTIONS** **5- COVERED tax lots** **3- Basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)/gross (N)/net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| EVERTEC INC FOREIGN STOCK / CUSIP: 30040P103 / Symbol: EVTC                           | 15.000   | 350.69                        | 04/15/13          | 299.87                  | 0.00                                  | 50.82                                    | Sale                   |
| FINISAR CORP COM NEW / CUSIP: 31787A507 / Symbol: FNSR                                |          |                               |                   |                         |                                       |                                          |                        |
| 2 tax lots for 01/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 23 000   | 535.14                        | 06/21/13          | 373.16                  | 0.00                                  | 161.98                                   | Sale                   |
|                                                                                       | 114 000  | 2,652.46                      | 06/25/13          | 1,851.90                | 0.00                                  | 800.56                                   | Sale                   |
| 01/02/14                                                                              | 137.000  | 3,187.60                      | VARIOUS           | 2,225.06                | 0.00                                  | 962.54                                   | Total of 2 lots        |
| 04/15/14                                                                              | 25 000   | 636.15                        | 06/25/13          | 406.11                  | 0.00                                  | 230.04                                   | Sale                   |
| 06/10/14                                                                              | 10.000   | 250.19                        | 06/25/13          | 162.45                  | 0.00                                  | 87.74                                    | Sale                   |
| Security total:                                                                       |          | 4,073.94                      |                   | 2,793.62                | 0.00                                  | 1,280.32                                 |                        |
| GLIMCHER REALTY TRUST SBI / CUSIP: 379302102 / Symbol: GRT                            |          |                               |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 5 000    | 44.16                         | 04/25/13          | 59.67                   | 0.00                                  | -15.51                                   | Sale                   |
|                                                                                       | 5 000    | 44.17                         | 10/03/13          | 48.92                   | 0.00                                  | -4.75                                    | Sale                   |
| 02/05/14                                                                              | 10.000   | 88.33                         | VARIOUS           | 108.59                  | 0.00                                  | -20.26                                   | Total of 2 lots        |
| HCA HOLDINGS INC / CUSIP: 40412C101 / Symbol: HCA                                     |          |                               |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                              | 5.000    | 358.39                        | 10/22/14          | 357.15                  | 0.00                                  | 1.24                                     | Sale                   |
| HAIN CELESTIAL GROUP INC / CUSIP: 405217100 / Symbol: HAIN                            |          |                               |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 5.000    | 422.50                        | 07/08/13          | 338.60                  | 0.00                                  | 83.90                                    | Sale                   |
| HEARTWARE INTL INC / CUSIP: 422368100 / Symbol: HTWR                                  |          |                               |                   |                         |                                       |                                          |                        |
| 5 tax lots for 09/19/14. Total proceeds (and cost when required) reported to the IRS  |          |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 7.000    | 570.44                        | 01/21/14          | 726.24                  | 0.00                                  | -155.80                                  | Sale                   |
|                                                                                       | 15.000   | 1,222.38                      | 03/19/14          | 1,409.76                | 0.00                                  | -187.38                                  | Sale                   |
|                                                                                       | 5.000    | 407.46                        | 04/15/14          | 428.40                  | 0.00                                  | -20.94                                   | Sale                   |
|                                                                                       | 13.000   | 1,059.39                      | 05/01/14          | 1,230.48                | 0.00                                  | -171.09                                  | Sale                   |
|                                                                                       | 15.000   | 1,222.38                      | 06/10/14          | 1,358.85                | 0.00                                  | -136.47                                  | Sale                   |
| 09/19/14                                                                              | 55.000   | 4,482.05                      | VARIOUS           | 5,153.73                | 0.00                                  | -671.68                                  | Total of 5 lots        |
| HOMEAWAY INC / CUSIP: 43739Q100 / Symbol: AWAY                                        |          |                               |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 10.000   | 337.89                        | 05/30/14          | 308.32                  | 0.00                                  | 29.57                                    | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------------|----------|--------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| HOMEAWAY INC / CUSIP: 43739Q100 / Symbol: AWAY (cont'd)                             | 15 000   | 521.84                   | 521.84                                  | 05/30/14          | 462.48                  | 0.00                                  | 59.36                                    | Sale                   |
| Security total:                                                                     |          | 859.73                   | 859.73                                  |                   | 770.80                  | 0.00                                  | 88.93                                    |                        |
| HOUGHTON MIFFLIN HARCOURT CO / CUSIP: 44157R109 / Symbol: HMHC                      | 30 000   | 613.79                   | 613.79                                  | 11/15/13          | 479.26                  | 0.00                                  | 134.53                                   | Sale                   |
| 04/15/14                                                                            |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                            | 15 000   | 300.14                   | 300.14                                  | 11/15/13          | 239.63                  | 0.00                                  | 60.51                                    | Sale                   |
| Security total:                                                                     |          | 913.93                   | 913.93                                  |                   | 718.89                  | 0.00                                  | 195.04                                   |                        |
| INTERCEPT PHARMACEUTICALS INC / CUSIP: 45845P108 / Symbol: ICPT                     | 5 000    | 1,472.80                 | 1,472.80                                | 01/13/14          | 1,845.28                | 0.00                                  | -372.48                                  | Sale                   |
| 06/10/14                                                                            |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/27/14 Total proceeds (and cost when required) reported to the IRS | 1 000    | 238.70                   | 238.70                                  | 01/13/14          | 369.06                  | 0.00                                  | -130.36                                  | Sale                   |
| 06/27/14                                                                            | 5 000    | 1,193.47                 | 1,193.47                                | 04/15/14          | 1,173.50                | 0.00                                  | 19.97                                    | Sale                   |
| Security total:                                                                     | 6 000    | 1,432.17                 | 1,432.17                                | VARIOUS           | 1,542.56                | 0.00                                  | -110.39                                  | Total of 2 lots        |
| INTERMUNE INC **MERGER 10/2014** / CUSIP: 45884X103 / Symbol:                       | 5 000    | 369.49                   | 369.49                                  | 08/20/14          | 274.02                  | 0.00                                  | 95.47                                    | Sale                   |
| 09/24/14                                                                            |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 10/09/14                                                                            | 44 000   | 3,255.00                 | 3,255.00                                | 08/20/14          | 2,411.35                | 0.00                                  | 844.65                                   | Merger                 |
| Security total:                                                                     |          | 3,625.49                 | 3,625.49                                |                   | 2,685.37                | 0.00                                  | 940.12                                   |                        |
| INTRAWEST RESORTS HOLDINGS I / CUSIP: 46090K109 / Symbol: SNOW                      | 15 000   | 162.14                   | 162.14                                  | 02/03/14          | 179.02                  | 0.00                                  | -16.88                                   | Sale                   |
| 06/10/14                                                                            |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                            | 25 000   | 258.99                   | 258.99                                  | 02/03/14          | 298.36                  | 0.00                                  | -39.37                                   | Sale                   |
| Security total:                                                                     |          | 421.13                   | 421.13                                  |                   | 477.38                  | 0.00                                  | -56.25                                   |                        |
| INVENSENSE INC / CUSIP: 46123D205 / Symbol: INVN                                    | 10 000   | 209.29                   | 209.29                                  | 11/11/13          | 165.67                  | 0.00                                  | 43.62                                    | Sale                   |
| 04/15/14                                                                            |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                            | 10 000   | 202.49                   | 202.49                                  | 11/11/13          | 165.67                  | 0.00                                  | 36.82                                    | Sale                   |
| 10/28/14                                                                            | 20 000   | 428.94                   | 428.94                                  | 11/11/13          | 331.34                  | 0.00                                  | 97.60                                    | Sale                   |
| Security total:                                                                     |          | 840.72                   | 840.72                                  |                   | 662.68                  | 0.00                                  | 178.04                                   |                        |
| ISIS PHARMACEUTICALS INC / CUSIP: 464330109 / Symbol: ISIS                          | 83 000   | 3,486.46                 | 3,486.46                                | 07/11/13          | 2,697.05                | 0.00                                  | 789.41                                   | Sale                   |
| 04/02/14                                                                            |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                            | 5 000    | 203.44                   | 203.44                                  | 07/28/14          | 151.41                  | 0.00                                  | 52.03                                    | Sale                   |
| 10/28/14                                                                            | 10 000   | 468.89                   | 468.89                                  | 07/28/14          | 302.81                  | 0.00                                  | 166.08                                   | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                        | Quantity                                                                              | 6- Reported (G)/ross (N)et | 1d- Proceeds & Reported (G)/ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|----------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|----------------------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
|                                                                                  | Security total:                                                                       |                            | 4,158.79                               |                   | 3,151.27                | 0.00                                  | 1,007.52               |                                          |
| KERYX BIOPHARMACEUTICALS INC / CUSIP: 492515101 / Symbol: KERX                   | 10.000                                                                                |                            | 136.29                                 | 01/29/14          | 157.13                  | 0.00                                  | -20.84                 | Sale                                     |
|                                                                                  | 2 tax lots for 06/10/14 Total proceeds (and cost when required) reported to the IRS.  |                            | 467.51                                 | 01/29/14          | 502.82                  | 0.00                                  | -35.31                 | Sale                                     |
|                                                                                  | 32.000                                                                                |                            | 189.93                                 | 02/03/14          | 192.33                  | 0.00                                  | -2.40                  | Sale                                     |
|                                                                                  | 13.000                                                                                |                            | 657.44                                 | VARIOUS           | 695.15                  | 0.00                                  | -37.71                 | Total of 2 lots                          |
|                                                                                  | 45.000                                                                                |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                  | Security total:                                                                       |                            | 488.99                                 | 02/03/14          | 443.83                  | 0.00                                  | 45.16                  | Sale                                     |
|                                                                                  | 30.000                                                                                |                            | 1,282.72                               |                   | 1,296.11                | 0.00                                  | -13.39                 |                                          |
| LOUISIANA PACIFIC CORP COMMON STOCK / CUSIP: 546347105 / Symbol: LPX             |                                                                                       |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                  | 2 tax lots for 01/02/14. Total proceeds (and cost when required) reported to the IRS. |                            | 273.38                                 | 02/28/13          | 315.45                  | 0.00                                  | -42.07                 | Sale                                     |
|                                                                                  | 15.000                                                                                |                            | 91.12                                  | 04/25/13          | 92.58                   | 0.00                                  | -1.46                  | Sale                                     |
|                                                                                  | 5.000                                                                                 |                            | 364.50                                 | VARIOUS           | 408.03                  | 0.00                                  | -43.53                 | Total of 2 lots                          |
|                                                                                  | 20.000                                                                                |                            |                                        |                   |                         |                                       |                        |                                          |
| MULTIMEDIA GAMES HLDG CO INC **MERGER EFF 12/2014** / CUSIP: 625453105 / Symbol. |                                                                                       |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                  | 15.000                                                                                |                            | 414.89                                 | 11/18/13          | 457.95                  | 0.00                                  | -43.06                 | Sale                                     |
|                                                                                  | 5.000                                                                                 |                            | 180.24                                 | 11/18/13          | 152.65                  | 0.00                                  | 27.59                  | Sale                                     |
|                                                                                  | 5.000                                                                                 |                            | 182.50                                 | 06/10/14          | 142.51                  | 0.00                                  | 39.99                  | Merger                                   |
|                                                                                  | 5.000                                                                                 |                            | 777.63                                 |                   | 753.11                  | 0.00                                  | 24.52                  |                                          |
|                                                                                  | Security total:                                                                       |                            |                                        |                   |                         |                                       |                        |                                          |
| NICE SYSTEMS LTD SPON ADR / CUSIP: 653656108 / Symbol: NICE                      |                                                                                       |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                  | 5.000                                                                                 |                            | 196.91                                 | 04/25/13          | 173.30                  | 0.00                                  | 23.61                  | Sale                                     |
| NOODLES & CO CL A / CUSIP: 65540B105 / Symbol: NDLS                              |                                                                                       |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                  | 10.000                                                                                |                            | 328.00                                 | 12/06/13          | 393.94                  | 0.00                                  | -65.94                 | Sale                                     |
|                                                                                  | 2 tax lots for 08/14/14. Total proceeds (and cost when required) reported to the IRS. |                            | 1,964.43                               | 12/06/13          | 3,742.43                | 0.00                                  | -1,778.00              | Sale                                     |
|                                                                                  | 95.000                                                                                |                            | 868.49                                 | 03/31/14          | 1,650.02                | 0.00                                  | -781.53                | Sale                                     |
|                                                                                  | 42.000                                                                                |                            | 2,832.92                               | VARIOUS           | 5,392.45                | 0.00                                  | -2,559.53              | Total of 2 lots                          |
|                                                                                  | 137.000                                                                               |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                  | Security total:                                                                       |                            | 3,160.92                               |                   | 5,786.39                | 0.00                                  | -2,625.47              |                                          |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 1e- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|--------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| OPENTABLE INC **MERGER ETF 07/2014** / CUSIP: 68372A104 / Symbol: 07/25/14           | 5.000    | 515.00                   | 515.00                                   | 04/15/14          | 363.65                  | 0.00                                  | 151.35                                   | Merger                 |
| OPHTHOTECH CORP / CUSIP: 683745103 / Symbol: OPHT                                    |          |                          |                                          |                   |                         |                                       |                                          |                        |
| 2 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                          |                   |                         |                                       |                                          |                        |
|                                                                                      | 25.000   | 772.98                   | 772.98                                   | 02/14/14          | 860.33                  | 87.35 W                               | 0.00                                     | Sale                   |
|                                                                                      | 55.000   | 1,700.57                 | 1,700.57                                 | 03/21/14          | 2,079.64                | 275.69 W                              | -103.38                                  | Sale                   |
|                                                                                      | 80.000   | 2,473.55                 | 2,473.55                                 | VARIOUS           | 2,939.97                | 363.04 W                              | -103.38                                  | Total of 2 lots        |
|                                                                                      | 5.000    | 223.39                   | 223.39                                   | 05/14/14          | 175.60                  | 0.00                                  | 47.79                                    | Sale                   |
|                                                                                      | 10.000   | 401.59                   | 401.59                                   | 05/14/14          | 351.21                  | 0.00                                  | 50.38                                    | Sale                   |
| Security total:                                                                      |          | 3,098.53                 | 3,098.53                                 |                   | 3,466.78                | 363.04 W                              | -5.21                                    |                        |
| PINNACLE FOODS INC DEL / CUSIP: 72348P104 / Symbol: PF                               |          |                          |                                          |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 20.000   | 589.79                   | 589.79                                   | 04/19/13          | 480.19                  | 0.00                                  | 109.60                                   | Sale                   |
| PORTOLA PHARMACEUTICALS INC / CUSIP: 737010108 / Symbol: PTLA                        |          |                          |                                          |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                             | 20.000   | 480.29                   | 480.29                                   | 01/22/14          | 590.93                  | 0.00                                  | -110.64                                  | Sale                   |
| 09/24/14                                                                             | 5.000    | 132.39                   | 132.39                                   | 01/22/14          | 147.73                  | 0.00                                  | -15.34                                   | Sale                   |
| Security total:                                                                      |          | 612.68                   | 612.68                                   |                   | 738.66                  | 0.00                                  | -125.98                                  |                        |
| PROOFPOINT INC / CUSIP: 743424103 / Symbol: PPPT                                     |          |                          |                                          |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/10/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                          |                   |                         |                                       |                                          |                        |
|                                                                                      | 5.000    | 162.40                   | 162.40                                   | 11/07/13          | 148.79                  | 0.00                                  | 13.61                                    | Sale                   |
|                                                                                      | 40.000   | 1,299.17                 | 1,299.17                                 | 11/08/13          | 1,227.60                | 0.00                                  | 71.57                                    | Sale                   |
|                                                                                      | 45.000   | 1,461.57                 | 1,461.57                                 | VARIOUS           | 1,376.39                | 0.00                                  | 85.18                                    | Total of 2 lots        |
| 2 tax lots for 08/15/14 Total proceeds (and cost when required) reported to the IRS  |          |                          |                                          |                   |                         |                                       |                                          |                        |
|                                                                                      | 45.000   | 1,759.80                 | 1,759.80                                 | 11/08/13          | 1,381.06                | 0.00                                  | 378.74                                   | Sale                   |
|                                                                                      | 12.000   | 469.28                   | 469.28                                   | 12/09/13          | 336.97                  | 0.00                                  | 132.31                                   | Sale                   |
|                                                                                      | 57.000   | 2,229.08                 | 2,229.08                                 | VARIOUS           | 1,718.03                | 0.00                                  | 511.05                                   | Total of 2 lots        |
|                                                                                      | 20.000   | 814.02                   | 814.02                                   | 12/09/13          | 561.61                  | 0.00                                  | 252.41                                   | Sale                   |
| Security total:                                                                      |          | 4,504.67                 | 4,504.67                                 |                   | 3,656.03                | 0.00                                  | 848.64                                   |                        |
| PUMA BIOTECHNOLOGY INC / CUSIP: 74587V107 / Symbol: PBVI                             |          |                          |                                          |                   |                         |                                       |                                          |                        |
| 08/20/14                                                                             | 13.000   | 3,249.70                 | 3,249.70                                 | 02/12/14          | 1,651.81                | 0.00                                  | 1,597.89                                 | Sale                   |
| 10/28/14                                                                             | 5.000    | 1,254.97                 | 1,254.97                                 | 02/12/14          | 635.31                  | 0.00                                  | 619.66                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

2014 1099-B\*

Proceeds from Broker and Barter Exchange Transactions  
(continued)

Account: PF 00122  
OMB No. 1545-0715

**2- SHORT-TERM TRANSACTIONS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
|                                                                                       | Security total: | 4,504.67                 |                                  | 2,287.12                | 0.00                                  | 2,217.55                                 |                        |
| QLIK TECHNOLOGIES INC COM / CUSIP: 74733T105 / Symbol: QLIK                           | 10.000          | 227.00                   | 04/15/14                         | 247.20                  | 0.00                                  | -20.20                                   | Sale C                 |
| QUALYS INC / CUSIP: 74758T303 / Symbol: QLYS                                          |                 |                          |                                  |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 25.000          | 598.10                   | 02/11/14                         | 720.10                  | 0.00                                  | -122.00                                  | Sale C                 |
| 09/24/14                                                                              | 10.000          | 251.19                   | 02/11/14                         | 288.04                  | 0.00                                  | -36.85                                   | Sale C                 |
| 10/28/14                                                                              | 5.000           | 150.26                   | 02/11/14                         | 144.02                  | 0.00                                  | 6.24                                     | Sale C                 |
| Security total:                                                                       |                 | 999.55                   |                                  | 1,152.16                | 0.00                                  | -152.61                                  |                        |
| RF MICRO DEVICES INC / CUSIP: 749941100 / Symbol: RFMD                                |                 |                          |                                  |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 5.000           | 59.29                    | 07/21/14                         | 52.66                   | 0.00                                  | 6.63                                     | Sale C                 |
| 10/28/14                                                                              | 10.000          | 109.69                   | 07/21/14                         | 105.32                  | 0.00                                  | 4.37                                     | Sale C                 |
| Security total:                                                                       |                 | 168.98                   |                                  | 157.98                  | 0.00                                  | 11.00                                    |                        |
| REALPAGE INC COM / CUSIP: 75606N109 / Symbol: RP                                      |                 |                          |                                  |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 10.000          | 176.39                   | 10/02/13                         | 241.41                  | 0.00                                  | -65.02                                   | Sale C                 |
| RECEPTOS INC / CUSIP: 756207106 / Symbol: RCPT                                        |                 |                          |                                  |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 30.000          | 1,184.67                 | 02/05/14                         | 1,207.58                | 0.00                                  | -22.91                                   | Sale C                 |
| 10/28/14                                                                              | 10.000          | 930.38                   | 02/05/14                         | 402.52                  | 0.00                                  | 527.86                                   | Sale C                 |
| Security total:                                                                       |                 | 2,115.05                 |                                  | 1,610.10                | 0.00                                  | 504.95                                   |                        |
| SUNESIS PHARMACEUTICALS INC COM NEW / CUSIP: 867328601 / Symbol: SNSS                 |                 |                          |                                  |                         |                                       |                                          |                        |
| 3 tax lots for 10/06/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 228.000         | 442.40                   | 07/15/14                         | 1,301.54                | 0.00                                  | -859.14                                  | Sale C                 |
|                                                                                       | 146.000         | 283.29                   | 08/26/14                         | 1,089.80                | 0.00                                  | -806.51                                  | Sale C                 |
|                                                                                       | 5.000           | 9.70                     | 09/24/14                         | 35.80                   | 0.00                                  | -26.10                                   | Sale C                 |
| 10/06/14                                                                              | 379.000         | 735.39                   | VARIOUS                          | 2,427.14                | 0.00                                  | -1,691.75                                | Total of 3 lots        |
| SUNEDISON INC / CUSIP: 86732Y109 / Symbol: SUNE                                       |                 |                          |                                  |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 45.000          | 862.63                   | 12/12/13                         | 536.66                  | 0.00                                  | 325.97                                   | Sale C                 |
| 10/28/14                                                                              | 40.000          | 771.58                   | 12/12/13                         | 477.02                  | 0.00                                  | 294.56                                   | Sale C                 |
| Security total:                                                                       |                 | 1,634.21                 |                                  | 1,013.68                | 0.00                                  | 620.53                                   |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| TENNECO INC / CUSIP: 880349105 / Symbol: TEN                                         | 5,000    |                           | 290.54                                   | 10/31/13          | 268.11                  | 0.00                                  |                        | 22.43 Sale                               |
| 04/15/14                                                                             |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 2 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS  | 48,000   |                           | 2,447.36                                 | 10/31/13          | 2,573.89                | 0.00                                  |                        | -126.53 Sale                             |
| 10/28/14                                                                             | 5,000    |                           | 254.93                                   | 09/24/14          | 277.00                  | 0.00                                  |                        | -22.07 Sale                              |
|                                                                                      | 53,000   |                           | 2,702.29                                 | VARIOUS           | 2,850.89                | 0.00                                  |                        | -148.60 Total of 2 lots                  |
| Security total:                                                                      |          |                           | 2,992.83                                 |                   | 3,119.00                | 0.00                                  |                        | -126.17                                  |
| THERAVANCE INC / CUSIP: 88338T104 / Symbol: THRX                                     |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                             | 15,000   |                           | 385.96                                   | 12/09/13          | 504.59                  | 0.00                                  |                        | -118.63 Sale                             |
| 2 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS | 60,000   |                           | 1,425.75                                 | 12/09/13          | 2,018.38                | 0.00                                  |                        | -592.63 Sale                             |
| 07/24/14                                                                             | 5,000    |                           | 118.81                                   | 04/15/14          | 114.57                  | 0.00                                  |                        | 4.24 Sale                                |
|                                                                                      | 65,000   |                           | 1,544.56                                 | VARIOUS           | 2,132.95                | 0.00                                  |                        | -588.39 Total of 2 lots                  |
| Security total:                                                                      |          |                           | 1,930.52                                 |                   | 2,637.54                | 0.00                                  |                        | -707.02                                  |
| TIBCO SOFTWARE INC **MERGER EFF 12/2014** / CUSIP: 88632Q103 / Symbol:               |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 01/23/14                                                                             | 5,000    |                           | 109.06                                   | 02/28/13          | 107.13                  | 0.00                                  |                        | 1.93 Sale                                |
| TREX COMPANY INC / CUSIP: 89531P105 / Symbol: TREX                                   |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 3 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS  | 4,000    |                           | 158.40                                   | 05/07/14          | 135.33                  | 0.00                                  |                        | 23.07 Sale                               |
| 10/28/14                                                                             | 5,000    |                           | 197.99                                   | 06/03/14          | 154.80                  | 0.00                                  |                        | 43.19 Sale                               |
|                                                                                      | 1,000    |                           | 39.60                                    | 06/10/14          | 32.83                   | 0.00                                  |                        | 6.77 Sale                                |
| Security total:                                                                      | 10,000   |                           | 395.99                                   | VARIOUS           | 322.96                  | 0.00                                  |                        | 73.03 Total of 3 lots                    |
| TRIMAS CORP DELA NEW / CUSIP: 896215209 / Symbol: TRS                                |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                             | 10,000   |                           | 366.06                                   | 09/11/13          | 357.65                  | 0.00                                  |                        | 8.41 Sale                                |
| 09/24/14                                                                             | 5,000    |                           | 127.52                                   | 04/15/14          | 156.75                  | 0.00                                  |                        | -29.23 Sale                              |
| Security total:                                                                      |          |                           | 493.58                                   |                   | 514.40                  | 0.00                                  |                        | -20.82                                   |
| USG CORP NEW COMMON STOCK / CUSIP: 903293405 / Symbol: USG                           |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 08/12/14                                                                             | 5,000    |                           | 134.04                                   | 04/15/14          | 151.35                  | 0.00                                  |                        | -17.31 Sale                              |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|----------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| WEBMD HEALTH CORP / CUSIP: 94770V102 / Symbol: WBMD                                   |          |                         |                |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                              | 10.000   |                         | 404.69         | 08/05/14          | 475.72                  | 0.00                                  | -71.03                                   | Sale                   |
| WHITEWAVE FOODS CO CL A / CUSIP: 966244105 / Symbol: WWAV                             |          |                         |                |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 10.000   |                         | 266.69         | 07/19/13          | 187.21                  | 0.00                                  | 79.48                                    | Sale                   |
| 06/10/14                                                                              | 5.000    |                         | 161.94         | 07/19/13          | 93.60                   | 0.00                                  | 68.34                                    | Sale                   |
| Security total:                                                                       |          |                         | 428.63         |                   | 280.81                  | 0.00                                  | 147.82                                   |                        |
| WOODWARD INC / CUSIP: 980745103 / Symbol: WWD                                         |          |                         |                |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                              | 5.000    |                         | 245.84         | 08/11/14          | 254.81                  | 0.00                                  | -8.97                                    | Sale                   |
| THERAVANCE BIOPHARMA INC FOREIGN STOCK / CUSIP: G8807B106 / Symbol: TBPH              |          |                         |                |                   |                         |                                       |                                          |                        |
| 06/03/14                                                                              | 0.857    |                         | 18.15          | 06/03/14          | 20.15                   | 2.00 W                                | 0.00                                     | Sale                   |
| 3 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                |                   |                         |                                       |                                          |                        |
|                                                                                       | 0.571    |                         | 15.35          | 06/03/14          | 13.43                   | 0.00                                  | 1.92                                     | Sale                   |
|                                                                                       | 0.857    |                         | 23.02          | 06/03/14          | 22.15                   | 0.00                                  | 0.87                                     | Sale                   |
|                                                                                       | 20.572   |                         | 552.54         | 06/03/14          | 483.64                  | 0.00                                  | 68.90                                    | Sale                   |
|                                                                                       | 22.000   |                         | 590.91         | VARIOUS           | 519.22                  | 0.00                                  | 71.69                                    | Total of 3 lots        |
| Security total:                                                                       |          |                         | 609.06         |                   | 539.37                  | 2.00 W                                | 71.69                                    |                        |
| FOSTER WHEELER AG CHF / CUSIP: H27178104 / Symbol:                                    |          |                         |                |                   |                         |                                       |                                          |                        |
| 01/29/14                                                                              | 105.000  |                         | 3,152.65       | 10/01/13          | 2,816.53                | 0.00                                  | 336.12                                   | Sale                   |
| PACIFIC DRILLING S A FOREIGN STOCK / CUSIP: L7257P106 / Symbol: PACD                  |          |                         |                |                   |                         |                                       |                                          |                        |
| 2 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                |                   |                         |                                       |                                          |                        |
|                                                                                       | 10.000   |                         | 67.40          | 04/15/14          | 99.04                   | 0.00                                  | -31.64                                   | Sale                   |
|                                                                                       | 10.000   |                         | 67.40          | 09/24/14          | 88.10                   | 0.00                                  | -20.70                                   | Sale                   |
|                                                                                       | 20.000   |                         | 134.80         | VARIOUS           | 187.14                  | 0.00                                  | -52.34                                   | Total of 2 lots        |
| CONSTELLUM NV CL A FOREIGN STOCK EUR / CUSIP: N22035104 / Symbol: CSTM                |          |                         |                |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 5.000    |                         | 152.19         | 04/16/14          | 145.91                  | 0.00                                  | 6.28                                     | Sale                   |
| 2 tax lots for 11/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                |                   |                         |                                       |                                          |                        |
|                                                                                       | 59.000   |                         | 973.80         | 04/16/14          | 1,721.80                | 0.00                                  | -748.00                                  | Sale                   |
|                                                                                       | 27.000   |                         | 445.63         | 04/17/14          | 801.03                  | 0.00                                  | -355.40                                  | Sale                   |
|                                                                                       | 86.000   |                         | 1,419.43       | VARIOUS           | 2,522.83                | 0.00                                  | -1,103.40                                | Total of 2 lots        |

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## UBS FINANCIAL SERVICES INC.

## Proceeds from Broker and Barter Exchange Transactions

Account PF 00122

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------|----------|---------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| Security total:           |          | 1,571.62                  |                                  | 2,668.74                | 0.00                                  | -1,097.12              |                                          |
| Totals:                   |          | 96,784.92                 |                                  | 96,683.71               | 427.74 W                              | 528.95                 |                                          |

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                 | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-----------------------------------------------------------|----------|---------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ACORDA THERAPEUTICS INC / CUSIP: 00484M106 / Symbol: ACOR |          |                           |                                  |                         |                                       |                        |                                          |
| 04/15/14                                                  | 10,000   | 351.29                    | 10/08/12                         | 261.54                  | 0.00                                  | 89.75                  | Sale C                                   |
| 06/10/14                                                  | 10,000   | 344.04                    | 10/08/12                         | 261.54                  | 0.00                                  | 82.50                  | Sale C                                   |
| 10/28/14                                                  | 10,000   | 349.79                    | 10/08/12                         | 261.53                  | 0.00                                  | 88.26                  | Sale C                                   |
| Security total:                                           |          | 1,045.12                  |                                  | 784.61                  | 0.00                                  | 260.51                 |                                          |

ACUTY BRANDS INC / CUSIP: 00508Y102 / Symbol: AYI

09/24/14 5,000

612.79

07/11/13

428.22

0.00

184.57

Sale C

ALIGN TECHNOLOGY INC / CUSIP: 016255101 / Symbol: ALGN

04/15/14 10,000

502.79

01/31/13

315.59

0.00

187.20

Sale C

2 tax lots for 07/22/14 Total proceeds (and cost when required) reported to the IRS

30,000

1,579.22

01/31/13

946.78

0.00

632.44

Sale C

44,000

2,316.18

02/12/13

1,451.15

0.00

865.03

Sale C

74,000

3,895.40

VARIOUS

2,397.93

0.00

1,497.47

Total of 2 lots

C

10,000

521.86

02/12/13

329.81

0.00

192.05

Sale C

Security total:

4,920.05

3,043.33

0.00

1,876.72

AMERICAN AIRLS GROUP INC / CUSIP: 02376R102 / Symbol: AAL

04/15/14 5,000

166.99

09/11/12

59.62

0.00

107.37

Sale C

06/10/14 15,000

650.92

09/11/12

178.84

0.00

472.08

Sale C

07/08/14 35,000

1,347.21

09/11/12

417.31

0.00

929.90

Sale C

2 tax lots for 08/14/14. Total proceeds (and cost when required) reported to the IRS

125,000

4,892.49

09/11/12

1,490.37

0.00

3,402.12

Sale C

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UBS FINANCIAL SERVICES INC.

Account: PF 00122

2014 -1099-B\*

(continued)

OMB No. 1545-0715

# Proceeds from Broker and Barter Exchange Transactions

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported / Symbol: | 1d- Proceeds & Reported (Gross) / Symbol: | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-----------------------|-------------------------------------------|-------------------|-------------------------|---------------------------------------|---------------------------------------------|------------------------|
| AMERICAN AIRLIS GROUP INC / CUSIP: 02376R102 / Symbol: AAL (cont'd)                   |          |                       |                                           |                   |                         |                                       |                                             |                        |
| 08/14/14                                                                              | 5,000    | 195.70                | 130,000                                   | 04/25/13          | 82.15                   | 0.00                                  | 113.55                                      | Sale                   |
|                                                                                       |          | 5,088.19              |                                           | VARIOUS           | 1,572.52                | 0.00                                  | 3,515.67                                    | Total of 2 lots        |
| Security total:                                                                       |          | 7,253.31              |                                           |                   | 2,228.29                | 0.00                                  | 5,025.02                                    |                        |
| ARTISAN PARTNERS ASSET MGMT INC / CUSIP: 04316A108 / Symbol: APAM                     |          |                       |                                           |                   |                         |                                       |                                             |                        |
| 06/10/14                                                                              | 15,000   | 842.87                |                                           | 03/08/13          | 579.92                  | 0.00                                  | 262.95                                      | Sale                   |
| 09/24/14                                                                              | 5,000    | 257.69                |                                           | 03/08/13          | 192.05                  | 0.00                                  | 65.64                                       | Sale                   |
| Security total:                                                                       |          | 1,100.56              |                                           |                   | 771.97                  | 0.00                                  | 328.59                                      |                        |
| BALLY TECHNOLOGIES INC **MERGER EFF 11/2014** / CUSIP: 05874B107 / Symbol:            |          |                       |                                           |                   |                         |                                       |                                             |                        |
| 11/21/14                                                                              | 15,000   | 1,249.18              |                                           | 10/03/13          | 1,081.20                | 0.00                                  | 167.98                                      | Sale                   |
| BUFFALO WILD WINGS INC / CUSIP: 119848109 / Symbol: BWLD                              |          |                       |                                           |                   |                         |                                       |                                             |                        |
| 01/16/14                                                                              | 9,000    | 1,296.49              |                                           | 08/28/12          | 694.94                  | 0.00                                  | 601.55                                      | Sale                   |
| 04/15/14                                                                              | 5,000    | 704.03                |                                           | 08/28/12          | 386.07                  | 0.00                                  | 317.96                                      | Sale                   |
| 10/28/14                                                                              | 5,000    | 755.28                |                                           | 08/28/12          | 386.07                  | 0.00                                  | 369.21                                      | Sale                   |
| Security total:                                                                       |          | 2,755.80              |                                           |                   | 1,467.08                | 0.00                                  | 1,288.72                                    |                        |
| CAVIUM INC COM / CUSIP: 14964U108 / Symbol: CAVM                                      |          |                       |                                           |                   |                         |                                       |                                             |                        |
| 04/15/14                                                                              | 15,000   | 630.24                |                                           | 01/19/12          | 526.01                  | 0.00                                  | 104.23                                      | Sale                   |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                       |                                           |                   |                         |                                       |                                             |                        |
|                                                                                       | 7,000    | 366.09                |                                           | 01/19/12          | 245.47                  | 0.00                                  | 120.62                                      | Sale                   |
|                                                                                       | 3,000    | 156.90                |                                           | 03/09/12          | 100.27                  | 0.00                                  | 56.63                                       | Sale                   |
| 06/10/14                                                                              | 10,000   | 522.99                |                                           | VARIOUS           | 345.74                  | 0.00                                  | 177.25                                      | Total of 2 lots        |
| 10/28/14                                                                              | 10,000   | 457.39                |                                           | 03/09/12          | 334.22                  | 0.00                                  | 123.17                                      | Sale                   |
| Security total:                                                                       |          | 1,610.62              |                                           |                   | 1,205.97                | 0.00                                  | 404.65                                      |                        |
| CHART INDUSTRIES INC / CUSIP: 16115Q308 / Symbol: GTLS                                |          |                       |                                           |                   |                         |                                       |                                             |                        |
| 06/10/14                                                                              | 5,000    | 386.34                |                                           | 11/02/12          | 324.82                  | 0.00                                  | 61.52                                       | Sale                   |
| 10/28/14                                                                              | 5,000    | 236.49                |                                           | 11/02/12          | 324.83                  | 0.00                                  | -88.34                                      | Sale                   |
| Security total:                                                                       |          | 622.83                |                                           |                   | 649.65                  | 0.00                                  | -26.82                                      |                        |
| CHEMTURA CORP / CUSIP: 163893209 / Symbol: CHMT                                       |          |                       |                                           |                   |                         |                                       |                                             |                        |
| 04/15/14                                                                              | 15,000   | 369.14                |                                           | 05/23/12          | 218.40                  | 0.00                                  | 150.74                                      | Sale                   |
| 09/24/14                                                                              | 5,000    | 121.84                |                                           | 05/23/12          | 72.80                   | 0.00                                  | 49.04                                       | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|--------------------------------------------------------------------------------------|----------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| Security total:                                                                      |          | 490.98                   |                                  | 291.20                  | 0.00                                  | 199.78                 |                                          |
| COGNEX CORP COMMON STOCK / CUSIP: 192422103 / Symbol: CGNX                           |          |                          |                                  |                         |                                       |                        |                                          |
| 06/10/14                                                                             | 35 000   | 1,325.77                 | 08/23/11                         | 548.09                  | 0.00                                  | 777.68                 | Sale C                                   |
| 09/24/14                                                                             | 5 000    | 210.39                   | 08/23/11                         | 78.29                   | 0.00                                  | 132.10                 | Sale C                                   |
| Security total:                                                                      |          | 1,536.16                 |                                  | 626.38                  | 0.00                                  | 909.78                 |                                          |
| OLFAX CORP / CUSIP: 194014106 / Symbol: CFX                                          |          |                          |                                  |                         |                                       |                        |                                          |
| 04/15/14                                                                             | 15 000   | 1,018.33                 | 02/07/12                         | 491.79                  | 0.00                                  | 526.54                 | Sale C                                   |
| 09/24/14                                                                             | 5 000    | 300.59                   | 02/07/12                         | 163.93                  | 0.00                                  | 136.66                 | Sale C                                   |
| Security total:                                                                      |          | 1,318.92                 |                                  | 655.72                  | 0.00                                  | 663.20                 |                                          |
| CONCUR TECHNOLOGIES INC **MERGER EFF 12/2014** / CUSIP: 206708109 / Symbol:          |          |                          |                                  |                         |                                       |                        |                                          |
| 06/10/14                                                                             | 5 000    | 431.09                   | 08/11/11                         | 188.92                  | 0.00                                  | 242.17                 | Sale C                                   |
| 2 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 2 000    | 254.59                   | 08/11/11                         | 75.57                   | 0.00                                  | 179.02                 | Sale C                                   |
|                                                                                      | 8 000    | 1,018.38                 | 12/28/11                         | 409.12                  | 0.00                                  | 609.26                 | Sale C                                   |
| 09/24/14                                                                             | 10 000   | 1,272.97                 | VARIOUS                          | 484.69                  | 0.00                                  | 788.28                 | Total of 2 lots                          |
| 12/05/14                                                                             | 42 000   | 5,418.00                 | 12/28/11                         | 2,147.86                | 0.00                                  | 3,270.14               | Merger C                                 |
| Security total:                                                                      |          | 7,122.06                 |                                  | 2,821.47                | 0.00                                  | 4,300.59               |                                          |
| CORNERSTONE ONDEMAND INC / CUSIP: 21925Y103 / Symbol: CSOD                           |          |                          |                                  |                         |                                       |                        |                                          |
| 06/10/14                                                                             | 20 000   | 797.68                   | 03/21/13                         | 669.65                  | 0.00                                  | 128.03                 | Sale C                                   |
| 2 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 18 000   | 646.72                   | 03/21/13                         | 602.69                  | 0.00                                  | 44.03                  | Sale C                                   |
|                                                                                      | 2 000    | 71.86                    | 03/25/13                         | 65.63                   | 0.00                                  | 6.23                   | Sale C                                   |
| 10/28/14                                                                             | 20 000   | 718.58                   | VARIOUS                          | 668.32                  | 0.00                                  | 50.26                  | Total of 2 lots                          |
| Security total:                                                                      |          | 1,516.26                 |                                  | 1,337.97                | 0.00                                  | 178.29                 |                                          |
| CUBIST PHARMACEUTICALS INC / CUSIP: 229678107 / Symbol: CBST                         |          |                          |                                  |                         |                                       |                        |                                          |
| 2 tax lots for 02/03/14. Total proceeds (and cost when required) reported to the IRS |          |                          |                                  |                         |                                       |                        |                                          |
|                                                                                      | 55 000   | 3,900.70                 | 11/21/11                         | 1,969.07                | 0.00                                  | 1,931.63               | Sale C                                   |
|                                                                                      | 9 000    | 638.30                   | 12/05/11                         | 349.51                  | 0.00                                  | 288.79                 | Sale C                                   |
| 02/03/14                                                                             | 64 000   | 4,539.00                 | VARIOUS                          | 2,318.58                | 0.00                                  | 2,220.42               | Total of 2 lots                          |
| 04/14/14                                                                             | 36 000   | 2,201.68                 | 12/05/11                         | 1,398.05                | 0.00                                  | 803.63                 | Sale C                                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 9949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CUBIST PHARMACEUTICALS INC / CUSIP: 229678107 / Symbol: CBST (cont'd)                 |          |                                         |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 5,000    | 350.09                                  | 12/05/11          | 194.18                  | 0.00                                  | 155.91                                   | Sale                   |
| 10/28/14                                                                              | 5,000    | 360.14                                  | 12/05/11          | 194.17                  | 0.00                                  | 165.97                                   | Sale                   |
| Security total:                                                                       |          | 7,450.91                                |                   | 4,104.98                | 0.00                                  | 3,345.93                                 |                        |
| CYBERONICS INC COMMON STOCK / CUSIP: 23251P102 / Symbol: CYBX                         |          |                                         |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 5,000    | 307.09                                  | 02/12/13          | 228.84                  | 0.00                                  | 78.25                                    | Sale                   |
| 2 tax lots for 11/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 50,000   | 2,501.65                                | 02/26/13          | 2,315.99                | 0.00                                  | 185.66                                   | Sale                   |
|                                                                                       | 4,000    | 200.13                                  | 06/10/13          | 208.06                  | 0.00                                  | -7.93                                    | Sale                   |
| 11/10/14                                                                              | 54,000   | 2,701.78                                | VARIOUS           | 2,524.05                | 0.00                                  | 177.73                                   | Total of 2 lots        |
| Security total:                                                                       |          | 3,008.87                                |                   | 2,752.89                | 0.00                                  | 255.98                                   |                        |
| DOMINO'S PIZZA INC / CUSIP: 25754A201 / Symbol: DPZ                                   |          |                                         |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 5,000    | 369.19                                  | 08/15/12          | 170.33                  | 0.00                                  | 198.86                                   | Sale                   |
| 09/24/14                                                                              | 5,000    | 379.14                                  | 08/15/12          | 170.34                  | 0.00                                  | 208.80                                   | Sale                   |
| Security total:                                                                       |          | 748.33                                  |                   | 340.67                  | 0.00                                  | 407.66                                   |                        |
| EVERTEC INC FOREIGN STOCK / CUSIP: 30040P103 / Symbol: EVTC                           |          |                                         |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                              | 5,000    | 110.14                                  | 04/15/13          | 98.96                   | 0.00                                  | 11.18                                    | Sale                   |
| FINISAR CORP COM NEW / CUSIP: 31787A507 / Symbol: FNSR                                |          |                                         |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 15,000   | 267.89                                  | 06/25/13          | 243.67                  | 0.00                                  | 24.22                                    | Sale                   |
| FORTINET INC / CUSIP: 34959E109 / Symbol: FTNT                                        |          |                                         |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 15,000   | 310.24                                  | 11/02/12          | 301.32                  | 0.00                                  | 8.92                                     | Sale                   |
| 06/10/14                                                                              | 15,000   | 348.65                                  | 11/02/12          | 301.32                  | 0.00                                  | 47.33                                    | Sale                   |
| 09/24/14                                                                              | 10,000   | 256.69                                  | 11/02/12          | 200.88                  | 0.00                                  | 55.81                                    | Sale                   |
| Security total:                                                                       |          | 915.58                                  |                   | 803.52                  | 0.00                                  | 112.06                                   |                        |
| FRESH MARKET INC / CUSIP: 35804H106 / Symbol: TFM                                     |          |                                         |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                              | 10,000   | 351.19                                  | 04/28/11          | 421.15                  | 0.00                                  | -69.96                                   | Sale                   |
| 10/28/14                                                                              | 5,000    | 176.63                                  | 04/28/11          | 210.58                  | 0.00                                  | -33.95                                   | Sale                   |
| Security total:                                                                       |          | 527.82                                  |                   | 631.73                  | 0.00                                  | -103.91                                  |                        |
| GLIMCHER REALTY TRUST SBI / CUSIP: 379302102 / Symbol: GRT                            |          |                                         |                   |                         |                                       |                                          |                        |
| 02/05/14                                                                              | 400,000  | 3,533.35                                | 07/27/12          | 3,879.52                | 0.00                                  | -346.17                                  | Sale                   |

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## UBS FINANCIAL SERVICES INC.

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

Account PF 00122

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (Gross (Net | 1d- Proceeds & | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|-------------------------|----------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS |                 |                         |                |                   |                         |                                       |                                          |                        |
| 1a- Description of property/CUSIP/Symbol                                             |                 |                         |                |                   |                         |                                       |                                          |                        |
| GULFPORT ENERGY CORP NEW / CUSIP: 402635304 / Symbol: GPOR                           |                 |                         |                |                   |                         |                                       |                                          |                        |
|                                                                                      | 8,000           | 580.71                  |                | 10/18/11          | 229.83                  | 0.00                                  | 350.88                                   | Sale C                 |
| 04/15/14                                                                             | 7,000           | 508.12                  |                | 08/10/12          | 181.84                  | 0.00                                  | 326.28                                   | Sale C                 |
|                                                                                      | 15,000          | 1,088.83                |                | VARIOUS           | 411.67                  | 0.00                                  | 677.16                                   | Total of 2 lots        |
| 09/24/14                                                                             | 5,000           | 270.59                  |                | 08/10/12          | 129.89                  | 0.00                                  | 140.70                                   | Sale C                 |
|                                                                                      | Security total: | 1,359.42                |                |                   | 541.56                  | 0.00                                  | 817.86                                   |                        |
| HMS HOLDINGS CORP / CUSIP: 40425J101 / Symbol: HMSY                                  |                 |                         |                |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 35,000          | 594.05                  |                | 03/07/13          | 1,079.07                | 0.00                                  | -485.02                                  | Sale C                 |
| 06/10/14                                                                             | 30,000          | 599.09                  |                | 03/07/13          | 924.91                  | 0.00                                  | -325.82                                  | Sale C                 |
| 10/28/14                                                                             | 15,000          | 333.25                  |                | 03/07/13          | 462.46                  | 0.00                                  | -129.21                                  | Sale C                 |
|                                                                                      | Security total: | 1,526.39                |                |                   | 2,466.44                | 0.00                                  | -940.05                                  |                        |
| HAIN CELESTIAL GROUP INC / CUSIP: 405217100 / Symbol: HAIN                           |                 |                         |                |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                             | 5,000           | 504.59                  |                | 07/08/13          | 338.59                  | 0.00                                  | 166.00                                   | Sale C                 |
| HEXCEL CORP NEW COMMON STOCK / CUSIP: 428291108 / Symbol: HXL                        |                 |                         |                |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 10,000          | 419.39                  |                | 10/06/11          | 218.37                  | 0.00                                  | 201.02                                   | Sale C                 |
| 09/24/14                                                                             | 5,000           | 194.24                  |                | 10/06/11          | 109.19                  | 0.00                                  | 85.05                                    | Sale C                 |
| 10/28/14                                                                             | 5,000           | 201.64                  |                | 10/06/11          | 109.18                  | 0.00                                  | 92.46                                    | Sale C                 |
|                                                                                      | Security total: | 815.27                  |                |                   | 436.74                  | 0.00                                  | 378.53                                   |                        |
| IPG PHOTONICS CORP / CUSIP: 44980X109 / Symbol: IPGP                                 |                 |                         |                |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 15,000          | 1,100.97                |                | 11/01/11          | 737.33                  | 0.00                                  | 363.64                                   | Sale C                 |
| 10/28/14                                                                             | 5,000           | 347.54                  |                | 11/01/11          | 245.78                  | 0.00                                  | 101.76                                   | Sale C                 |
|                                                                                      | Security total: | 1,448.51                |                |                   | 983.11                  | 0.00                                  | 465.40                                   |                        |
| LIFELOCK INC / CUSIP: 53224V100 / Symbol: LOCK                                       |                 |                         |                |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                             | 35,000          | 410.89                  |                | 04/19/13          | 309.71                  | 0.00                                  | 101.18                                   | Sale C                 |
| 10/28/14                                                                             | 20,000          | 321.99                  |                | 04/19/13          | 176.98                  | 0.00                                  | 145.01                                   | Sale C                 |
|                                                                                      | Security total: | 732.88                  |                |                   | 486.69                  | 0.00                                  | 246.19                                   |                        |
| LOUISIANA PACIFIC CORP COMMON STOCK / CUSIP: 546347105 / Symbol: LPX                 |                 |                         |                |                   |                         |                                       |                                          |                        |
| 01/02/14                                                                             | 212,000         | 3,863.68                |                | 07/30/12          | 2,317.59                | 0.00                                  | 1,546.09                                 | Sale C                 |

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## UBS FINANCIAL SERVICES INC.

## Proceeds from Broker and Bartel Exchange Transactions

2014 1099-B\*

(continued)

Account: PF 00122

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)Gross (N)Net | 1d- Proceeds & Reported (G)Gross (N)Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-----------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MWI VETERINARY SUPPLY INC / CUSIP: 55402X105 / Symbol: MWIV                           | 5.000    | 765.68                      | 11/04/11                                | 350.73            | 0.00                    | 414.95                                | Sale                                     | C                      |
| 04/15/14                                                                              |          |                             |                                         |                   |                         |                                       |                                          |                        |
| 3 tax lots for 11/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 14.000   | 2,192.34                    | 11/04/11                                | 982.05            | 0.00                    | 1,210.29                              | Sale                                     | C                      |
|                                                                                       | 5.000    | 782.98                      | 12/13/12                                | 560.45            | 0.00                    | 222.53                                | Sale                                     | C                      |
|                                                                                       | 5.000    | 782.98                      | 04/25/13                                | 595.00            | 0.00                    | 187.98                                | Sale                                     | C                      |
| 11/07/14                                                                              | 24.000   | 3,758.30                    | VARIOUS                                 | 2,137.50          | 0.00                    | 1,620.80                              | Total of 3 lots                          |                        |
| Security total:                                                                       |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       |          | 4,523.98                    |                                         | 2,488.23          | 0.00                    | 2,035.75                              |                                          |                        |
| MARTIN MARIETTA MATERIALS INC / CUSIP: 573284106 / Symbol: MLM                        |          |                             |                                         |                   |                         |                                       |                                          |                        |
| 07/02/14                                                                              | 0.200    | 25.37                       | 10/08/12                                | 11.93             | 0.00                    | 13.44                                 | Sale                                     | C                      |
| MEDIDATA SOLUTIONS INC / CUSIP: 58471A105 / Symbol: MDSO                              |          |                             |                                         |                   |                         |                                       |                                          |                        |
| 2 tax lots for 05/08/14. Total proceeds (and cost when required) reported to the IRS. |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 90.000   | 3,112.53                    | 01/03/11                                | 1,091.30          | 0.00                    | 2,021.23                              | Sale                                     | C                      |
|                                                                                       | 66.000   | 2,282.53                    | 03/15/11                                | 704.00            | 0.00                    | 1,578.53                              | Sale                                     | C                      |
| 05/08/14                                                                              | 156.000  | 5,395.06                    | VARIOUS                                 | 1,795.30          | 0.00                    | 3,599.76                              | Total of 2 lots                          |                        |
| 06/10/14                                                                              | 5.000    | 194.24                      | 03/15/11                                | 53.33             | 0.00                    | 140.91                                | Sale                                     | C                      |
| 10/28/14                                                                              | 10.000   | 396.09                      | 03/15/11                                | 106.67            | 0.00                    | 289.42                                | Sale                                     | C                      |
| Security total:                                                                       |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       |          | 5,985.39                    |                                         | 1,955.30          | 0.00                    | 4,030.09                              |                                          |                        |
| MULTIMEDIA GAMES HLDG CO INC **MERGER EFF 12/2014** / CUSIP: 625453105 / Symbol:      |          |                             |                                         |                   |                         |                                       |                                          |                        |
| 2 tax lots for 12/22/14. Total proceeds (and cost when required) reported to the IRS  |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 75.000   | 2,737.50                    | 11/18/13                                | 2,289.77          | 0.00                    | 447.73                                | Merger                                   | C                      |
|                                                                                       | 5.000    | 182.50                      | 12/04/13                                | 144.40            | 0.00                    | 38.10                                 | Merger                                   | C                      |
| 12/22/14                                                                              | 80.000   | 2,920.00                    | VARIOUS                                 | 2,434.17          | 0.00                    | 485.83                                | Total of 2 lots                          |                        |
| NICE SYSTEMS LTD SPON ADR / CUSIP: 653656108 / Symbol: NICE                           |          |                             |                                         |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 40.000   | 1,575.27                    | 03/27/12                                | 1,576.83          | 0.00                    | -1.56                                 | Sale                                     | C                      |
|                                                                                       | 10.000   | 393.82                      | 08/07/12                                | 319.99            | 0.00                    | 73.83                                 | Sale                                     | C                      |
| 02/11/14                                                                              | 50.000   | 1,969.09                    | VARIOUS                                 | 1,896.82          | 0.00                    | 72.27                                 | Total of 2 lots                          |                        |

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## UBS FINANCIAL SERVICES INC.

2014 1099-B\*

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| 2 tax lots for 02/07/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 25 000          | 1,017.15                  | 599.73                                | 08/25/11          | 599.73                  | 0.00                                  |                        | 417.42 Sale                              |
| 02/07/14                                                                              | 40 000          | 1,627.44                  | 1,245.31                              | 10/28/11          | 1,245.31                | 0.00                                  |                        | 382.13 Sale                              |
|                                                                                       | 65 000          | 2,644.59                  | 1,845.04                              | VARIOUS           | 1,845.04                | 0.00                                  |                        | 799.55 Total of 2 lots                   |
| 2 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 70 000          | 2,930.98                  | 2,179.28                              | 10/28/11          | 2,179.28                | 0.00                                  |                        | 751.70 Sale                              |
|                                                                                       | 5 000           | 209.36                    | 148.73                                | 12/13/12          | 148.73                  | 0.00                                  |                        | 60.63 Sale                               |
| 04/08/14                                                                              | 75 000          | 3,140.34                  | 2,328.01                              | VARIOUS           | 2,328.01                | 0.00                                  |                        | 812.33 Total of 2 lots                   |
|                                                                                       | Security total: | 5,784.93                  | 4,173.05                              |                   | 4,173.05                | 0.00                                  |                        | 1,611.88                                 |
| OPENTABLE INC **MERGER ETF 07/2014** / CUSIP: 68372A104 / Symbol                      |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 10 000          | 702.78                    | 648.27                                | 06/03/13          | 648.27                  | 0.00                                  |                        | 54.51 Sale                               |
| 4 tax lots for 07/25/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 5 000           | 515.00                    | 324.13                                | 06/03/13          | 324.13                  | 0.00                                  |                        | 190.87 Merger                            |
|                                                                                       | 15 000          | 1,545.00                  | 1,011.15                              | 06/18/13          | 1,011.15                | 0.00                                  |                        | 533.85 Merger                            |
|                                                                                       | 21 000          | 2,163.00                  | 1,415.62                              | 07/11/13          | 1,415.62                | 0.00                                  |                        | 747.38 Merger                            |
|                                                                                       | 25 000          | 2,575.00                  | 1,679.28                              | 07/16/13          | 1,679.28                | 0.00                                  |                        | 895.72 Merger                            |
| 07/25/14                                                                              | 66 000          | 6,798.00                  | 4,430.18                              | VARIOUS           | 4,430.18                | 0.00                                  |                        | 2,367.82 Total of 4 lots                 |
|                                                                                       | Security total: | 7,500.78                  | 5,078.45                              |                   | 5,078.45                | 0.00                                  |                        | 2,422.33                                 |
| PTC INC COM / CUSIP: 69370C100 / Symbol: PTC                                          |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 5 000           | 167.34                    | 113.23                                | 12/28/12          | 113.23                  | 0.00                                  |                        | 54.11 Sale                               |
| 06/10/14                                                                              | 10 000          | 376.09                    | 226.45                                | 12/28/12          | 226.45                  | 0.00                                  |                        | 149.64 Sale                              |
| 10/28/14                                                                              | 20 000          | 749.58                    | 452.91                                | 12/28/12          | 452.91                  | 0.00                                  |                        | 296.67 Sale                              |
|                                                                                       | Security total: | 1,293.01                  | 792.59                                |                   | 792.59                  | 0.00                                  |                        | 500.42                                   |
| PANTRY INC / CUSIP: 698657103 / Symbol: PTRY                                          |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 25 000          | 381.24                    | 313.59                                | 02/29/12          | 313.59                  | 0.00                                  |                        | 67.65 Sale                               |
| 09/24/14                                                                              | 5 000           | 102.04                    | 62.72                                 | 02/29/12          | 62.72                   | 0.00                                  |                        | 39.32 Sale                               |
| 10/28/14                                                                              | 10 000          | 252.49                    | 125.43                                | 02/29/12          | 125.43                  | 0.00                                  |                        | 127.06 Sale                              |
|                                                                                       | Security total: | 735.77                    | 501.74                                |                   | 501.74                  | 0.00                                  |                        | 234.03                                   |
| PAREXEL INTERNATIONAL CORP / CUSIP: 699462107 / Symbol: PRXL                          |                 |                           |                                       |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 5 000           | 245.04                    | 120.67                                | 07/19/11          | 120.67                  | 0.00                                  |                        | 124.37 Sale                              |

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UBS FINANCIAL SERVICES INC.

Account PF 00122.

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 9999, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 1d- Proceeds & 6- Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & 7- Code(s), if any | Gain or loss(-) & Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|------------------------------------------|-------------------|-------------------------|--------------------------------------|---------------------------------------|------------------------|
| PAREXEL INTERNATIONAL CORP / CUSIP: 699462107 / Symbol: PRXL (cont'd)                |          |                                          |                   |                         |                                      |                                       |                        |
| 06/10/14                                                                             | 10,000   | 542.29                                   | 07/19/11          | 241.32                  | 0.00                                 | 300.97                                | Sale                   |
| 10/30/14                                                                             | 33,000   | 1,783.90                                 | 07/19/11          | 796.37                  | 0.00                                 | 987.53                                | Sale                   |
| Security total:                                                                      |          | 2,571.23                                 |                   | 1,158.36                | 0.00                                 | 1,412.87                              |                        |
| PINNACLE ENTERTAINMENT GROUP / CUSIP: 723456109 / Symbol: PNK                        |          |                                          |                   |                         |                                      |                                       |                        |
| 04/15/14                                                                             | 10,000   | 220.19                                   | 10/27/11          | 109.58                  | 0.00                                 | 110.61                                | Sale                   |
| 06/10/14                                                                             | 10,000   | 251.04                                   | 10/27/11          | 109.57                  | 0.00                                 | 141.47                                | Sale                   |
| 06/26/14                                                                             | 98,000   | 2,476.40                                 | 10/27/11          | 1,073.86                | 0.00                                 | 1,402.54                              | Sale                   |
| Security total:                                                                      |          | 2,947.63                                 |                   | 1,293.01                | 0.00                                 | 1,654.62                              |                        |
| PINNACLE FOODS INC DEL / CUSIP: 72348P104 / Symbol: PF                               |          |                                          |                   |                         |                                      |                                       |                        |
| 10/28/14                                                                             | 5,000    | 167.24                                   | 04/19/13          | 120.05                  | 0.00                                 | 47.19                                 | Sale                   |
| PROASSURANCE CORP / CUSIP: 74267C106 / Symbol: PRA                                   |          |                                          |                   |                         |                                      |                                       |                        |
| 04/15/14                                                                             | 10,000   | 440.19                                   | 06/08/12          | 448.36                  | 0.00                                 | -8.17                                 | Sale                   |
| 09/24/14                                                                             | 5,000    | 224.14                                   | 06/08/12          | 224.18                  | 0.00                                 | -0.04                                 | Sale                   |
| 10/28/14                                                                             | 5,000    | 233.11                                   | 06/08/12          | 224.18                  | 0.00                                 | 8.93                                  | Sale                   |
| Security total:                                                                      |          | 897.44                                   |                   | 896.72                  | 0.00                                 | 0.72                                  |                        |
| QUAKER CHEMICAL CORP COMMON STOCK / CUSIP: 747316107 / Symbol: KWR                   |          |                                          |                   |                         |                                      |                                       |                        |
| 04/15/14                                                                             | 10,000   | 787.86                                   | 05/05/11          | 417.16                  | 0.00                                 | 370.72                                | Sale                   |
| 10/28/14                                                                             | 5,000    | 374.54                                   | 05/05/11          | 208.59                  | 0.00                                 | 165.95                                | Sale                   |
| Security total:                                                                      |          | 1,162.42                                 |                   | 625.75                  | 0.00                                 | 536.67                                |                        |
| QLIK TECHNOLOGIES INC COM / CUSIP: 74733T105 / Symbol: QLIK                          |          |                                          |                   |                         |                                      |                                       |                        |
| 02/05/14                                                                             | 103,000  | 2,769.62                                 | 03/01/11          | 2,873.62                | 0.00                                 | 96.00                                 | Sale                   |
| 3 tax lots for 05/27/14. Total proceeds (and cost when required) reported to the IRS |          |                                          |                   |                         |                                      |                                       |                        |
|                                                                                      | 12,000   | 272.40                                   | 03/01/11          | 311.49                  | 0.00                                 | -39.09                                | Sale                   |
|                                                                                      | 110,000  | 2,497.00                                 | 02/21/12          | 3,178.00                | 0.00                                 | -681.00                               | Sale                   |
|                                                                                      | 5,000    | 113.50                                   | 04/25/13          | 129.70                  | 0.00                                 | -16.20                                | Sale                   |
| 05/27/14                                                                             | 127,000  | 2,882.90                                 | VARIOUS           | 3,619.19                | 0.00                                 | -736.29                               | Total of 3 lots        |
| Security total:                                                                      |          | 5,652.52                                 |                   | 6,292.81                | 0.00                                 | -640.29                               |                        |
| RTI INTL METALS INC (HOLDING COMPANY) / CUSIP: 74973W107 / Symbol: RTI               |          |                                          |                   |                         |                                      |                                       |                        |
| 04/15/14                                                                             | 35,000   | 964.10                                   | 07/14/11          | 1,126.17                | 0.00                                 | -162.07                               | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00122

# **Proceeds from Broker and Barter Exchange Transactions**

2014 1099-B\*

(continued)

OMB No. 1545-0715

## **2- LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box D checked

### **5- COVERED tax lots 3- Basis is reported to the IRS\*\***

#### **1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| RTI INTL METALS INC (HOLDING COMPANY) / CUSIP: 74973W107 / Symbol: RTI (cont'd)      |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 8,000    |                         | 182.55                              | 07/14/11          | 257.41                  | 0.00                                  | -74.86                                   | Sale C                 |
|                                                                                      | 2,000    |                         | 45.64                               | 09/27/12          | 47.79                   | 0.00                                  | -2.15                                    | Sale C                 |
| 10/28/14                                                                             | 10,000   |                         | 228.19                              | VARIOUS           | 305.20                  | 0.00                                  | -77.01                                   | Total of 2 lots        |
| Security total:                                                                      |          |                         | 1,192.29                            |                   | 1,431.37                | 0.00                                  | -239.08                                  |                        |
| REALPAGE INC COM / CUSIP: 75608N109 / Symbol: RP                                     |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                             | 25,000   |                         | 452.49                              | 10/02/13          | 603.51                  | 0.00                                  | -151.02                                  | Sale C                 |
| SALIX PHARMACEUTICALS LTD (DELA) / CUSIP: 795435106 / Symbol: SLXP                   |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 03/28/14                                                                             | 65,000   |                         | 6,502.79                            | 02/25/11          | 2,143.53                | 0.00                                  | 4,359.26                                 | Sale C                 |
| STIFEL FINANCIAL CORP COMMON STOCK / CUSIP: 860630102 / Symbol: SF                   |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                             | 15,000   |                         | 705.73                              | 08/26/11          | 416.95                  | 0.00                                  | 288.78                                   | Sale C                 |
| 09/24/14                                                                             | 5,000    |                         | 240.59                              | 08/26/11          | 138.99                  | 0.00                                  | 101.60                                   | Sale C                 |
| Security total:                                                                      |          |                         | 946.32                              |                   | 555.94                  | 0.00                                  | 390.38                                   |                        |
| TEAM HEALTH HOLDINGS INC / CUSIP: 87817A107 / Symbol: TMH                            |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                             | 10,000   |                         | 593.79                              | 01/29/13          | 340.88                  | 0.00                                  | 252.91                                   | Sale C                 |
| TEREX CORP DELA NEW COMMON STOCK / CUSIP: 880779103 / Symbol: TEX                    |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 03/12/14 Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 50,000   |                         | 2,104.97                            | 01/26/12          | 1,068.57                | 0.00                                  | 1,036.40                                 | Sale C                 |
|                                                                                      | 10,000   |                         | 420.99                              | 03/30/12          | 223.82                  | 0.00                                  | 197.17                                   | Sale C                 |
| 03/12/14                                                                             | 60,000   |                         | 2,525.96                            | VARIOUS           | 1,292.39                | 0.00                                  | 1,233.57                                 | Total of 2 lots        |
| 04/15/14                                                                             | 5,000    |                         | 204.29                              | 03/30/12          | 111.91                  | 0.00                                  | 92.38                                    | Sale C                 |
| 06/10/14                                                                             | 10,000   |                         | 411.32                              | 03/30/12          | 223.83                  | 0.00                                  | 187.49                                   | Sale C                 |
| 07/25/14                                                                             | 55,000   |                         | 2,015.34                            | 03/30/12          | 1,231.02                | 0.00                                  | 784.32                                   | Sale C                 |
| 2 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                      | 9,000    |                         | 337.05                              | 03/30/12          | 201.44                  | 0.00                                  | 135.61                                   | Sale C                 |
|                                                                                      | 55,000   |                         | 2,059.74                            | 04/04/12          | 1,240.66                | 0.00                                  | 819.08                                   | Sale C                 |
| 08/25/14                                                                             | 64,000   |                         | 2,396.79                            | VARIOUS           | 1,442.10                | 0.00                                  | 954.69                                   | Total of 2 lots        |
| Security total:                                                                      |          |                         | 7,553.70                            |                   | 4,301.25                | 0.00                                  | 3,252.45                                 |                        |

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UBS FINANCIAL SERVICES INC.

Account: PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| TEXAS INDUSTRIES **MERGER - EFF - 07/2014** / CUSIP: 882491103 / Symbol:             |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 5,000           |                           | 435.00                                | 10/08/12          | 208.70                  | 0.00                                  | 226.30                                   | Sale                   |
| 06/10/14                                                                             | 5,000           |                           | 454.04                                | 10/08/12          | 208.69                  | 0.00                                  | 245.35                                   | Sale                   |
|                                                                                      | Security total: |                           | 889.04                                |                   | 417.39                  | 0.00                                  | 471.65                                   |                        |
| THERMON GROUP HLDGS INC / CUSIP: 88362T103 / Symbol: THR                             |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 25,000          |                           | 599.22                                | 10/03/12          | 623.56                  | 0.00                                  | -24.34                                   | Sale                   |
| 06/10/14                                                                             | 10,000          |                           | 254.43                                | 10/03/12          | 249.42                  | 0.00                                  | 5.01                                     | Sale                   |
| 10/28/14                                                                             | 15,000          |                           | 345.44                                | 10/03/12          | 374.14                  | 0.00                                  | -28.70                                   | Sale                   |
|                                                                                      | Security total: |                           | 1,199.09                              |                   | 1,247.12                | 0.00                                  | -48.03                                   |                        |
| THORATEC CORP NEW / CUSIP: 885175307 / Symbol: THOR                                  |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 08/08/14 Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 34,000          |                           | 777.13                                | 11/27/12          | 1,306.15                | 0.00                                  | -529.02                                  | Sale                   |
|                                                                                      | 5,000           |                           | 114.29                                | 07/15/13          | 156.76                  | 0.00                                  | -42.47                                   | Sale                   |
| 08/08/14                                                                             | 39,000          |                           | 891.42                                | VARIOUS           | 1,462.91                | 0.00                                  | -571.49                                  | Total of 2 lots        |
| 09/24/14                                                                             | 20,000          |                           | 532.59                                | 07/15/13          | 627.03                  | 0.00                                  | -94.44                                   | Sale                   |
|                                                                                      | Security total: |                           | 1,424.01                              |                   | 2,089.94                | 0.00                                  | -665.93                                  |                        |
| TRIMAS CORP DELA NEW / CUSIP: 896215209 / Symbol: TRS                                |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                             | 79,000          |                           | 2,014.89                              | 09/12/13          | 2,954.88                | 0.00                                  | -939.99                                  | Sale                   |
| 09/24/14                                                                             | 55,000          |                           | 1,402.77                              | 09/11/13          | 1,967.03                | 205.19 W                              | -359.07                                  | Sale                   |
| 10/03/14                                                                             | 20,000          |                           | 478.79                                | 09/24/14          | 728.59                  | 0.00                                  | -249.80                                  | Sale                   |
|                                                                                      | Security total: |                           | 3,896.45                              |                   | 5,650.50                | 205.19 W                              | -1,548.86                                |                        |
| TUMI HLDGS INC / CUSIP: 89969Q104 / Symbol: TUMI                                     |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 10,000          |                           | 210.42                                | 11/09/12          | 210.01                  | 0.00                                  | 0.41                                     | Sale                   |
| 06/10/14                                                                             | 20,000          |                           | 396.39                                | 11/09/12          | 420.03                  | 0.00                                  | -23.64                                   | Sale                   |
| 10/28/14                                                                             | 10,000          |                           | 202.20                                | 11/09/12          | 210.01                  | 7.81 W                                | 0.00                                     | Sale                   |
|                                                                                      | Security total: |                           | 809.01                                |                   | 840.05                  | 7.81 W                                | -23.23                                   |                        |
| TWO HARBORS INVESTMENT CORP REIT SBI / CUSIP: 90187B101 / Symbol: TWO                |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 04/15/14                                                                             | 50,000          |                           | 511.15                                | 07/06/11          | 501.22                  | 0.00                                  | 9.93                                     | Sale                   |
| 09/24/14                                                                             | 10,000          |                           | 101.89                                | 07/06/11          | 100.24                  | 0.00                                  | 1.65                                     | Sale                   |
| 10/28/14                                                                             | 15,000          |                           | 151.79                                | 07/06/11          | 150.37                  | 0.00                                  | 1.42                                     | Sale                   |
|                                                                                      | Security total: |                           | 764.83                                |                   | 751.83                  | 0.00                                  | 13.00                                    |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                           | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & if- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| USG CORP NEW COMMON STOCK / CUSIP: 903293405 / Symbol: USG                          |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                            | 10,000   |                         | 314.79                              | 08/09/12          | 170.51                  | 0.00                                  |                        | 144.28 Sale                              |
| 08/11/14                                                                            | 12,000   |                         | 328.89                              | 08/09/12          | 204.61                  | 0.00                                  |                        | 124.28 Sale                              |
| 3 tax lots for 08/12/14 Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                     | 27,000   |                         | 723.83                              | 08/09/12          | 460.39                  | 0.00                                  |                        | 263.44 Sale                              |
|                                                                                     | 100,000  |                         | 2,680.85                            | 03/25/13          | 2,738.74                | 0.00                                  |                        | -57.89 Sale                              |
|                                                                                     | 10,000   |                         | 268.09                              | 04/25/13          | 265.70                  | 0.00                                  |                        | 2.39 Sale                                |
| 08/12/14                                                                            | 137,000  |                         | 3,672.77                            | VARIOUS           | 3,464.83                | 0.00                                  |                        | 207.94 Total of 3 lots                   |
| Security total:                                                                     |          |                         | 4,316.45                            |                   | 3,839.95                | 0.00                                  |                        | 476.50                                   |
| ULTIMATE SOFTWARE GROUP INC / CUSIP: 90385D107 / Symbol: ULTI                       |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                            | 5,000    |                         | 633.19                              | 01/18/13          | 475.11                  | 0.00                                  |                        | 158.08 Sale                              |
| 10/28/14                                                                            | 5,000    |                         | 723.73                              | 01/18/13          | 475.11                  | 0.00                                  |                        | 248.62 Sale                              |
| Security total:                                                                     |          |                         | 1,356.92                            |                   | 950.22                  | 0.00                                  |                        | 406.70                                   |
| UNTD NATURAL FOODS INC / CUSIP: 911163103 / Symbol: UNFI                            |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                            | 5,000    |                         | 343.09                              | 01/06/12          | 204.04                  | 0.00                                  |                        | 139.05 Sale                              |
| 10/28/14                                                                            | 5,000    |                         | 326.44                              | 01/06/12          | 204.04                  | 0.00                                  |                        | 122.40 Sale                              |
| Security total:                                                                     |          |                         | 669.53                              |                   | 408.08                  | 0.00                                  |                        | 261.45                                   |
| UNITED THERAPEUTICS CORP / CUSIP: 91307C102 / Symbol: UTHR                          |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 02/07/14                                                                            | 15,000   |                         | 1,462.56                            | 11/30/11          | 604.40                  | 0.00                                  |                        | 858.16 Sale                              |
| 2 tax lots for 02/25/14 Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                     | 40,000   |                         | 4,023.01                            | 11/30/11          | 1,611.74                | 0.00                                  |                        | 2,411.27 Sale                            |
|                                                                                     | 17,000   |                         | 1,709.78                            | 02/14/12          | 801.53                  | 0.00                                  |                        | 908.25 Sale                              |
| 02/25/14                                                                            | 57,000   |                         | 5,732.79                            | VARIOUS           | 2,413.27                | 0.00                                  |                        | 3,319.52 Total of 2 lots                 |
| 04/15/14                                                                            | 5,000    |                         | 475.24                              | 02/14/12          | 235.74                  | 0.00                                  |                        | 239.50 Sale                              |
| 04/29/14                                                                            | 26,000   |                         | 2,469.92                            | 02/14/12          | 1,225.87                | 0.00                                  |                        | 1,244.05 Sale                            |
| 2 tax lots for 06/13/14 Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                     | 22,000   |                         | 2,033.06                            | 02/14/12          | 1,037.27                | 0.00                                  |                        | 995.79 Sale                              |
|                                                                                     | 5,000    |                         | 462.06                              | 04/25/13          | 308.95                  | 0.00                                  |                        | 153.11 Sale                              |
| 06/13/14                                                                            | 27,000   |                         | 2,495.12                            | VARIOUS           | 1,346.22                | 0.00                                  |                        | 1,148.90 Total of 2 lots                 |
| Security total:                                                                     |          |                         | 12,635.63                           |                   | 5,825.50                | 0.00                                  |                        | 6,810.13                                 |

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UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| WHITEWAVE FOODS CO CL A / CUSIP: 966244105 / Symbol: WWAV                             |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 09/24/14                                                                              | 15.000          | 527.99                   | 527.99                               | 07/19/13          | 280.82                  | 0.00                                  |                        | 247.17 Sale                              |
| 10/28/14                                                                              | 5.000           | 184.09                   | 184.09                               | 07/19/13          | 93.60                   | 0.00                                  |                        | 90.49 Sale                               |
|                                                                                       | Security total: | 712.08                   | 712.08                               |                   | 374.42                  | 0.00                                  |                        | 337.66                                   |
| ZILLOW INC CL A / CUSIP: 98954A107 / Symbol: Z                                        |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 5.000           | 446.19                   | 446.19                               | 11/05/12          | 172.24                  | 0.00                                  |                        | 273.95 Sale                              |
| 05/07/14                                                                              | 15.000          | 1,422.93                 | 1,422.93                             | 11/05/12          | 516.69                  | 0.00                                  |                        | 906.24 Sale                              |
| 07/29/14                                                                              | 17.000          | 2,563.26                 | 2,563.26                             | 11/05/12          | 585.59                  | 0.00                                  |                        | 1,977.67 Sale                            |
|                                                                                       | Security total: | 4,432.38                 | 4,432.38                             |                   | 1,274.52                | 0.00                                  |                        | 3,157.86                                 |
| ENSTAR GROUP LTD ORD FOREIGN STOCK / CUSIP: G3075P101 / Symbol: ESGR                  |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 04/15/14                                                                              | 5.000           | 661.64                   | 661.64                               | 12/31/12          | 550.90                  | 0.00                                  |                        | 110.74 Sale                              |
| PACIFIC DRILLING S.A FOREIGN STOCK / CUSIP: L7257P106 / Symbol: PACD                  |                 |                          |                                      |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 10.000          | 103.39                   | 103.39                               | 02/17/12          | 109.16                  | 0.00                                  |                        | -5.77 Sale                               |
| 10/28/14                                                                              | 5.000           | 35.06                    | 35.06                                | 02/17/12          | 54.58                   | 0.00                                  |                        | -19.52 Sale                              |
| 11/03/14                                                                              | 13.000          | 93.01                    | 93.01                                | 02/17/12          | 141.90                  | 0.00                                  |                        | -48.89 Sale                              |
| 2 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 157.000         | 1,058.19                 | 1,058.19                             | 02/17/12          | 1,713.78                | 0.00                                  |                        | -655.59 Sale                             |
|                                                                                       | 5.000           | 33.70                    | 33.70                                | 04/25/13          | 54.81                   | 0.00                                  |                        | -21.11 Sale                              |
| 11/04/14                                                                              | 162.000         | 1,091.89                 | 1,091.89                             | VARIOUS           | 1,768.59                | 0.00                                  |                        | -676.70 Total of 2 lots                  |
|                                                                                       | Security total: | 1,323.35                 | 1,323.35                             |                   | 2,074.23                | 0.00                                  |                        | -750.88                                  |
|                                                                                       | Totals:         | 156,436.86               | 156,436.86                           |                   | 103,209.83              | 213.00                                | W                      | 53,440.03                                |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

2014 1099-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ANSYS INC / CUSIP: 03662Q105 / Symbol: ANSS                                           | 5,000    | 369.19                   | 10/06/06                             | 111.97        | 0.00                | 257.22                        | Sale                                     | C                      |
| BALLY TECHNOLOGIES INC **MERGER EFF 11/2014** / CUSIP: 05874B107 / Symbol:            |          |                          |                                      |               |                     |                               |                                          |                        |
| 2 tax lots for 04/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |               |                     |                               |                                          |                        |
| 10,000                                                                                | 632.69   | 10/20/08                 | 220.20                               |               | 0.00                | 412.49                        | Sale                                     | C                      |
| 5,000                                                                                 | 316.34   | 11/13/08                 | 84.36                                |               | 0.00                | 231.98                        | Sale                                     | C                      |
| 15,000                                                                                | 949.03   | VARIOUS                  | 304.56                               |               | 0.00                | 644.47                        | Total of 2 lots                          |                        |
| 04/15/14                                                                              |          |                          |                                      |               |                     |                               |                                          |                        |
| 06/10/14                                                                              | 10,000   | 619.89                   | 11/13/08                             | 168.72        | 0.00                | 451.17                        | Sale                                     | C                      |
| 2 tax lots for 07/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |               |                     |                               |                                          |                        |
| 15,000                                                                                | 909.56   | 11/13/08                 | 253.07                               |               | 0.00                | 656.49                        | Sale                                     | C                      |
| 64,000                                                                                | 3,880.80 | 11/18/08                 | 1,059.71                             |               | 0.00                | 2,821.09                      | Sale                                     | C                      |
| 79,000                                                                                | 4,790.36 | VARIOUS                  | 1,312.78                             |               | 0.00                | 3,477.58                      | Total of 2 lots                          |                        |
| 07/15/14                                                                              |          |                          |                                      |               |                     |                               |                                          |                        |
| 09/24/14                                                                              | 5,000    | 402.84                   | 11/18/08                             | 82.78         | 0.00                | 320.06                        | Sale                                     | C                      |
| 11/21/14                                                                              | 26,000   | 2,165.23                 | 11/18/08                             | 430.51        | 0.00                | 1,734.72                      | Sale                                     | C                      |
| Security total:                                                                       |          | 8,927.35                 |                                      | 2,299.35      | 0.00                | 6,628.00                      |                                          |                        |
| COHERENT INC COMMON STOCK / CUSIP: 192479103 / Symbol: COHR                           |          |                          |                                      |               |                     |                               |                                          |                        |
| 06/10/14                                                                              | 10,000   | 612.59                   | 01/19/07                             | 301.96        | 0.00                | 310.63                        | Sale                                     | C                      |
| 09/24/14                                                                              | 5,000    | 320.09                   | 01/19/07                             | 150.98        | 0.00                | 169.11                        | Sale                                     | C                      |
| Security total:                                                                       |          | 932.68                   |                                      | 452.94        | 0.00                | 479.74                        |                                          |                        |
| FRESH MARKET INC / CUSIP: 35804H106 / Symbol: TFM                                     |          |                          |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 10,000   | 351.19                   | 11/09/10                             | 337.30        | 0.00                | 13.89                         | Sale                                     | C                      |
| GEO GROUP INC **MERGER EFF 06/2014** / CUSIP: 36159R103 / Symbol:                     |          |                          |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 30,000   | 962.68                   | 10/06/06                             | 477.90        | 0.00                | 484.78                        | Sale                                     | C                      |
| GEO GROUP INC NEW COM / CUSIP: 36162J106 / Symbol: GEO                                |          |                          |                                      |               |                     |                               |                                          |                        |
| 09/24/14                                                                              | 10,000   | 368.09                   | 10/06/06                             | 157.10        | 0.00                | 210.99                        | Sale                                     | C                      |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |               |                     |                               |                                          |                        |
| 2,984                                                                                 | 115.22   | 10/06/06                 | 46.88                                |               | 0.00                | 68.34                         | Sale                                     | C                      |
| 2,016                                                                                 | 77.84    | 09/28/09                 | 39.66                                |               | 0.00                | 38.18                         | Sale                                     | C                      |
| 5,000                                                                                 | 193.06   | VARIOUS                  | 86.54                                |               | 0.00                | 106.52                        | Total of 2 lots                          |                        |
| 10/28/14                                                                              |          |                          |                                      |               |                     |                               |                                          |                        |
| Security total:                                                                       |          | 561.15                   |                                      | 243.64        | 0.00                | 317.51                        |                                          |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00122

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et / Symbol: GCO | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|-----------------------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| GENESCO INC COMMON STOCK / CUSIP: 371532102 / Symbol: GCO                             |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 10,000   |                           | 748.68                                              | 11/24/08      | 122.60              | 0.00                          | 626.08                                   | Sale                   |
| 09/24/14                                                                              | 5,000    |                           | 378.89                                              | 11/24/08      | 61.31               | 0.00                          | 317.58                                   | Sale                   |
| 10/28/14                                                                              | 5,000    |                           | 381.84                                              | 11/24/08      | 61.30               | 0.00                          | 320.54                                   | Sale                   |
| Security total:                                                                       |          |                           | 1,509.41                                            |               | 245.21              | 0.00                          | 1,264.20                                 |                        |
| GEOSPACE TECHNOLOGIES CORP / CUSIP: 37364X109 / Symbol: GEOS                          |          |                           |                                                     |               |                     |                               |                                          |                        |
| 06/10/14                                                                              | 10,000   |                           | 546.99                                              | 08/03/07      | 373.24              | 0.00                          | 173.75                                   | Sale                   |
| HUNTSMAN CORP / CUSIP: 447011107 / Symbol: HUN                                        |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 40,000   |                           | 964.78                                              | 03/19/09      | 129.02              | 0.00                          | 835.76                                   | Sale                   |
| 06/10/14                                                                              | 5,000    |                           | 142.49                                              | 03/19/09      | 16.13               | 0.00                          | 126.36                                   | Sale                   |
| 09/24/14                                                                              | 15,000   |                           | 406.19                                              | 03/19/09      | 48.38               | 0.00                          | 357.81                                   | Sale                   |
| Security total:                                                                       |          |                           | 1,513.46                                            |               | 193.53              | 0.00                          | 1,319.93                                 |                        |
| JETBLUE AIRWAYS CORP / CUSIP: 477143101 / Symbol: JBLU                                |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 45,000   |                           | 371.69                                              | 10/20/10      | 318.95              | 0.00                          | 52.74                                    | Sale                   |
| 06/10/14                                                                              | 20,000   |                           | 211.59                                              | 10/20/10      | 141.76              | 0.00                          | 69.83                                    | Sale                   |
| 10/28/14                                                                              | 45,000   |                           | 510.01                                              | 10/20/10      | 318.96              | 0.00                          | 191.05                                   | Sale                   |
| Security total:                                                                       |          |                           | 1,093.29                                            |               | 779.67              | 0.00                          | 313.62                                   |                        |
| LANDSTAR SYSTEMS INC COMMON STOCK / CUSIP: 515098101 / Symbol: LSTR                   |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 10,000   |                           | 597.69                                              | 10/06/06      | 440.20              | 0.00                          | 157.49                                   | Sale                   |
| 09/24/14                                                                              | 10,000   |                           | 711.48                                              | 10/06/06      | 440.20              | 0.00                          | 271.28                                   | Sale                   |
| Security total:                                                                       |          |                           | 1,309.17                                            |               | 880.40              | 0.00                          | 428.77                                   |                        |
| STEVEN MADDEN LTD / CUSIP: 556269108 / Symbol: SHOO                                   |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 15,000   |                           | 516.14                                              | 03/26/10      | 218.19              | 0.00                          | 297.95                                   | Sale                   |
| 09/24/14                                                                              | 10,000   |                           | 331.59                                              | 03/26/10      | 145.46              | 0.00                          | 186.13                                   | Sale                   |
| 10/28/14                                                                              | 5,000    |                           | 153.39                                              | 03/26/10      | 72.73               | 0.00                          | 80.66                                    | Sale                   |
| Security total:                                                                       |          |                           | 1,001.12                                            |               | 436.38              | 0.00                          | 564.74                                   |                        |
| MEDASSETS INC / CUSIP: 584045108 / Symbol: MDAS                                       |          |                           |                                                     |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 30,000   |                           | 707.37                                              | 12/31/09      | 645.41              | 0.00                          | 61.96                                    | Sale                   |
| 06/10/14                                                                              | 15,000   |                           | 359.69                                              | 12/31/09      | 322.71              | 0.00                          | 36.98                                    | Sale                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                           | 346.19                                              | 12/31/09      | 322.71              | 0.00                          | 23.48                                    | Sale                   |
| 15,000                                                                                |          |                           |                                                     |               |                     |                               |                                          |                        |

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UBS FINANCIAL SERVICES INC.

Account PF-00122

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

Report on Form 9999, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| MEDASSETS INC / CUSIP: 584045108 / Symbol: MDAS (cont'd)                             |          |                          |                                      |               |                     |                               |                                          |                        |
| 10/28/14                                                                             | 25,000   |                          | 576.99                               | 12/10/10      | 472.26              | 0.00                          | 104.73                                   | Sale                   |
|                                                                                      | 40,000   |                          | 923.18                               | VARIOUS       | 794.97              | 0.00                          | 128.21                                   | Total of 2 lots        |
| 11/14/14                                                                             | 100,000  |                          | 2,059.38                             | 12/10/10      | 1,889.05            | 0.00                          | 170.33                                   | Sale                   |
| Security total:                                                                      |          |                          | 4,049.62                             |               | 3,652.14            | 0.00                          | 397.48                                   |                        |
| NORTHWEST PIPE CO / CUSIP: 667746101 / Symbol: NWPX                                  |          |                          |                                      |               |                     |                               |                                          |                        |
| 04/15/14                                                                             | 5,000    |                          | 169.74                               | 02/17/09      | 156.41              | 0.00                          | 13.33                                    | Sale                   |
| 06/10/14                                                                             | 5,000    |                          | 190.14                               | 02/17/09      | 156.41              | 0.00                          | 33.73                                    | Sale                   |
| 10/28/14                                                                             | 5,000    |                          | 174.49                               | 02/17/09      | 156.41              | 0.00                          | 18.08                                    | Sale                   |
| Security total:                                                                      |          |                          | 534.37                               |               | 469.23              | 0.00                          | 65.14                                    |                        |
| SEATTLE GENETICS INC / CUSIP: 812578102 / Symbol: SGEN                               |          |                          |                                      |               |                     |                               |                                          |                        |
| 06/10/14                                                                             | 30,000   |                          | 1,198.24                             | 10/11/10      | 503.92              | 0.00                          | 694.32                                   | Sale                   |
| 10/28/14                                                                             | 5,000    |                          | 182.94                               | 10/11/10      | 83.98               | 0.00                          | 98.96                                    | Sale                   |
| Security total:                                                                      |          |                          | 1,381.18                             |               | 587.90              | 0.00                          | 793.28                                   |                        |
| SIRONA DENTAL SYSTEMS INC / CUSIP: 829666C103 / Symbol: SIRO                         |          |                          |                                      |               |                     |                               |                                          |                        |
| 2 tax lots for 04/15/14 Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                      | 3,000    |                          | 221.75                               | 10/14/10      | 110.81              | 0.00                          | 110.94                                   | Sale                   |
|                                                                                      | 7,000    |                          | 517.42                               | 12/01/10      | 280.05              | 0.00                          | 237.37                                   | Sale                   |
|                                                                                      | 10,000   |                          | 739.17                               | VARIOUS       | 390.86              | 0.00                          | 348.31                                   | Total of 2 lots        |
| 04/15/14                                                                             |          |                          |                                      |               |                     |                               |                                          |                        |
| 09/24/14                                                                             | 5,000    |                          | 384.39                               | 12/01/10      | 200.03              | 0.00                          | 184.36                                   | Sale                   |
| Security total:                                                                      |          |                          | 1,123.56                             |               | 590.89              | 0.00                          | 532.67                                   |                        |
| BOTHEBYS / CUSIP: 835898107 / Symbol: BID                                            |          |                          |                                      |               |                     |                               |                                          |                        |
| 06/10/14                                                                             | 5,000    |                          | 201.54                               | 06/23/09      | 65.42               | 0.00                          | 136.12                                   | Sale                   |
| 10/28/14                                                                             | 5,000    |                          | 193.14                               | 06/23/09      | 65.42               | 0.00                          | 127.72                                   | Sale                   |
| Security total:                                                                      |          |                          | 394.68                               |               | 130.84              | 0.00                          | 263.84                                   |                        |
| TERADYNE INC COMMON STOCK / CUSIP: 880770102 / Symbol: TER                           |          |                          |                                      |               |                     |                               |                                          |                        |
| 02/11/14                                                                             | 167,000  |                          | 3,247.54                             | 07/14/09      | 1,156.16            | 0.00                          | 2,091.38                                 | Sale                   |
| 04/15/14                                                                             | 5,000    |                          | 93.81                                | 07/14/09      | 34.62               | 0.00                          | 59.19                                    | Sale                   |
| 06/10/14                                                                             | 5,000    |                          | 96.41                                | 07/14/09      | 34.61               | 0.00                          | 61.80                                    | Sale                   |
| 09/24/14                                                                             | 5,000    |                          | 98.54                                | 07/14/09      | 34.62               | 0.00                          | 63.92                                    | Sale                   |
| Security total:                                                                      |          |                          | 3,536.30                             |               | 1,260.01            | 0.00                          | 2,276.29                                 |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00122

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| THORATEC CORP NEW / CUSIP: 885175307 / Symbol: THOR                                   |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 10,000          |                           | 331.19                                | 04/21/08      | 162.27              | 0.00                          | 168.92                                   | Sale                   |
| 06/10/14                                                                              | 15,000          |                           | 507.44                                | 04/21/08      | 243.40              | 0.00                          | 264.04                                   | Sale                   |
| 08/08/14                                                                              | 58,000          |                           | 1,325.71                              | 04/21/08      | 941.17              | 0.00                          | 384.54                                   | Sale                   |
|                                                                                       | Security total: |                           | 2,164.34                              |               | 1,346.84            | 0.00                          | 817.50                                   |                        |
| TIBCO SOFTWARE INC **MERGER EFF 12/2014** / CUSIP: 88632Q103 / Symbol:                |                 |                           |                                       |               |                     |                               |                                          |                        |
| 01/23/14                                                                              | 131,000         |                           | 2,857.35                              | 12/31/07      | 1,049.64            | 0.00                          | 1,807.71                                 | Sale                   |
| UMB FINANCIAL CORP COMMON STOCK / CUSIP: 902788108 / Symbol: UMBF                     |                 |                           |                                       |               |                     |                               |                                          |                        |
| 06/10/14                                                                              | 5,000           |                           | 308.49                                | 05/12/09      | 229.40              | 0.00                          | 79.09                                    | Sale                   |
| 10/28/14                                                                              | 5,000           |                           | 286.44                                | 05/12/09      | 229.39              | 0.00                          | 57.05                                    | Sale                   |
|                                                                                       | Security total: |                           | 594.93                                |               | 458.79              | 0.00                          | 136.14                                   |                        |
| UNIVERSAL ELECTRONICS INC COMMON STOCK / CUSIP: 913483103 / Symbol: UEIC              |                 |                           |                                       |               |                     |                               |                                          |                        |
| 06/10/14                                                                              | 35,000          |                           | 1,630.36                              | 02/26/08      | 782.65              | 0.00                          | 847.71                                   | Sale                   |
| 10/28/14                                                                              | 20,000          |                           | 1,091.18                              | 02/26/08      | 447.22              | 0.00                          | 643.96                                   | Sale                   |
|                                                                                       | Security total: |                           | 2,721.54                              |               | 1,229.87            | 0.00                          | 1,491.67                                 |                        |
| VEECO INSTRUMENTS INC DELAWARE COMMON STOCK / CUSIP: 922417100 / Symbol: VECO         |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 10,000          |                           | 399.09                                | 02/01/10      | 331.84              | 0.00                          | 67.25                                    | Sale                   |
| 06/10/14                                                                              | 10,000          |                           | 327.79                                | 02/01/10      | 331.85              | 0.00                          | -4.06                                    | Sale                   |
| 09/24/14                                                                              | 5,000           |                           | 171.84                                | 02/01/10      | 165.92              | 0.00                          | 5.92                                     | Sale                   |
| 10/28/14                                                                              | 5,000           |                           | 160.74                                | 02/01/10      | 165.93              | 0.00                          | -5.19                                    | Sale                   |
|                                                                                       | Security total: |                           | 1,059.46                              |               | 995.54              | 0.00                          | 63.92                                    |                        |
| VITAMIN SHOPPE INC / CUSIP: 92849E101 / Symbol: VSI                                   |                 |                           |                                       |               |                     |                               |                                          |                        |
| 04/15/14                                                                              | 20,000          |                           | 931.18                                | 10/29/09      | 380.65              | 0.00                          | 550.53                                   | Sale                   |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                       | 26,000          |                           | 1,199.09                              | 10/29/09      | 494.84              | 0.00                          | 704.25                                   | Sale                   |
|                                                                                       | 4,000           |                           | 184.48                                | 05/20/10      | 93.92               | 0.00                          | 90.56                                    | Sale                   |
| 09/24/14                                                                              | 30,000          |                           | 1,383.57                              | VARIOUS       | 588.76              | 0.00                          | 794.81                                   | Total of 2 lots        |
|                                                                                       | Security total: |                           | 2,314.75                              |               | 969.41              | 0.00                          | 1,345.34                                 |                        |
| WABCO HOLDINGS INC / CUSIP: 92927K102 / Symbol: WBC                                   |                 |                           |                                       |               |                     |                               |                                          |                        |
| 03/12/14                                                                              | 31,000          |                           | 3,197.52                              | 06/22/10      | 1,075.87            | 0.00                          | 2,121.65                                 | Sale                   |
| 04/15/14                                                                              | 5,000           |                           | 507.24                                | 06/22/10      | 173.53              | 0.00                          | 333.71                                   | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00123

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                               | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ACADIA REALTY TRUST SBI / CUSIP: 004239109 / Symbol: ACR                                | 33.000   | 911.77                    | 911.77                                   | 08/08/13          | 840.00                  | 0.00                                  | 71.77                                    | Sale                   |
| BRE PROPERTIES INC CL A MARYLAND **MERGER-EFF-04/2014* / CUSIP: 05564E106 / Symbol: BRE | 18.000   | 129.24                    | 129.24                                   | 01/27/14          | 79.95                   | 0.00                                  | 49.29                                    | Merger                 |
| CHATHAM LODGING TRUST SBI / CUSIP: 16208T102 / Symbol: CLDT                             | 56.000   | 1,397.89                  | 1,397.89                                 | 09/24/14          | 1,289.83                | 0.00                                  | 108.06                                   | Sale                   |
| EPR PROPERTIES REIT SBI / CUSIP: 26884U109 / Symbol: EPR                                | 24.000   | 1,333.41                  | 1,333.41                                 | 01/27/14          | 1,227.57                | 0.00                                  | 105.84                                   | Sale                   |
| ESSEX PROPERTY TRUST INC COMMON STOCK / CUSIP: 297178105 / Symbol: ESS                  | 5.348    | 974.24                    | 974.24                                   | 01/27/14          | 919.96                  | 0.00                                  | 54.28                                    | Sale                   |
| WASHINGTON PRIME GROUP INC COM REIT / CUSIP: 939647103 / Symbol: WPG                    | 4.000    | 74.55                     | 74.55                                    | 02/20/14          | 76.33                   | 0.00                                  | -1.78                                    | Sale                   |
| <b>Totals:</b>                                                                          |          | <b>4,821.10</b>           | <b>4,821.10</b>                          |                   | <b>4,433.64</b>         | <b>0.00</b>                           | <b>387.46</b>                            |                        |

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ALEXANDRIA REAL ESTATE EQUITIES / CUSIP: 015271109 / Symbol: ARE                     |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 3 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                          |                   |                         |                                       |                                          |                        |
|                                                                                      | 21.000   | 1,592.70                  | 1,592.70                                 | 07/20/11          | 1,750.46                | 0.00                                  | -157.76                                  | Sale                   |
|                                                                                      | 11.000   | 834.28                    | 834.28                                   | 09/20/11          | 728.84                  | 0.00                                  | 105.44                                   | Sale                   |
|                                                                                      | 11.000   | 834.27                    | 834.27                                   | 08/22/12          | 801.18                  | 0.00                                  | 33.09                                    | Sale                   |
| 09/18/14                                                                             | 43.000   | 3,261.25                  | 3,261.25                                 | VARIOUS           | 3,280.48                | 0.00                                  | -19.23                                   | Total of 3 lots        |
| AMERICAN CAMPUS COMMUNITIES INC REITS SBI / CUSIP: 024835100 / Symbol: ACC           | 3.000    | 111.18                    | 111.18                                   | 02/22/11          | 94.26                   | 0.00                                  | 16.92                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00123

2014 1099-B

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                          | Quantity | 1d- Proceeds & 6- Reported (Gross Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss or loss(-) & Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------|----------|----------------------------------------|-------------------|-------------------------|---------------------------------------|---------------------------------------------------------------|------------------------|
| ARMADA HOFFLER PPTYS INC REIT SBI / CUSIP: 04208T108 / Symbol: AHH |          |                                        |                   |                         |                                       |                                                               |                        |
| 06/30/14                                                           | 98.000   | 951.43                                 | 05/30/13          | 1,093.45                | 0.00                                  | -142.02                                                       | Sale                   |
| 07/01/14                                                           | 87.000   | 844.20                                 | 05/30/13          | 970.72                  | 0.00                                  | -126.52                                                       | Sale                   |
| Security total:                                                    |          | 1,795.63                               |                   | 2,064.17                | 0.00                                  | -268.54                                                       |                        |

BRE PROPERTIES INC CL A MARYLAND \*\*MERGER-EFF-04/2014\* / CUSIP: 05564E106 / Symbol: BRE

2 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS.

|        |        |          |     |      |        |                 |
|--------|--------|----------|-----|------|--------|-----------------|
| 28.000 | 201.04 | 01/10/13 | ... | 0.00 | 201.04 | Merger          |
| 39.000 | 280.02 | 01/22/13 | ... | 0.00 | 280.02 | Merger          |
| 67.000 | 481.06 | VARIOUS  | ... | 0.00 | 481.06 | Total of 2 lots |

DOUGLAS EMMETT INC / CUSIP: 25960P109 / Symbol: DEI

|          |        |          |          |        |      |        |      |
|----------|--------|----------|----------|--------|------|--------|------|
| 12/24/14 | 36.000 | 1,035.13 | 02/20/13 | 826.32 | 0.00 | 208.81 | Sale |
|----------|--------|----------|----------|--------|------|--------|------|

EPR PROPERTIES REIT SBI / CUSIP: 26884U109 / Symbol: EPR

|          |       |        |          |        |      |       |      |
|----------|-------|--------|----------|--------|------|-------|------|
| 09/18/14 | 5.000 | 261.45 | 11/22/11 | 205.27 | 0.00 | 56.18 | Sale |
|----------|-------|--------|----------|--------|------|-------|------|

3 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS.

|                 |          |          |          |      |        |                 |
|-----------------|----------|----------|----------|------|--------|-----------------|
| 11.000          | 611.15   | 11/22/11 | 450.94   | 0.00 | 160.21 | Sale            |
| 33.000          | 1,833.44 | 07/20/12 | 1,377.73 | 0.00 | 455.71 | Sale            |
| 16.000          | 888.94   | 08/19/13 | 793.25   | 0.00 | 95.69  | Sale            |
| 60.000          | 3,333.53 | VARIOUS  | 2,621.92 | 0.00 | 711.61 | Total of 3 lots |
| Security total: | 3,594.98 |          | 2,827.19 | 0.00 | 767.79 |                 |

ESSEX PROPERTY TRUST INC COMMON STOCK / CUSIP: 297178105 / Symbol: ESS

|          |       |       |          |       |      |      |      |
|----------|-------|-------|----------|-------|------|------|------|
| 04/03/14 | 0.254 | 42.16 | 01/10/13 | 42.16 | 0.00 | 0.00 | Sale |
|----------|-------|-------|----------|-------|------|------|------|

2 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS.

|                 |          |          |          |      |        |                 |
|-----------------|----------|----------|----------|------|--------|-----------------|
| 8.065           | 1,469.30 | 01/10/13 | 1,341.44 | 0.00 | 127.86 | Sale            |
| 11.587          | 2,110.85 | 01/22/13 | 1,935.46 | 0.00 | 175.39 | Sale            |
| 19.652          | 3,580.15 | VARIOUS  | 3,276.90 | 0.00 | 303.25 | Total of 2 lots |
| Security total: | 3,622.31 |          | 3,319.06 | 0.00 | 303.25 |                 |

FIRST POTOMAC REALTY TRUST SBI / CUSIP: 33610F109 / Symbol: FPO

|          |        |        |          |        |      |        |      |
|----------|--------|--------|----------|--------|------|--------|------|
| 06/03/14 | 65.000 | 858.03 | 04/27/12 | 723.21 | 0.00 | 134.82 | Sale |
|----------|--------|--------|----------|--------|------|--------|------|

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UBS FINANCIAL SERVICES INC.

Account PF 00123

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| HEALTH CARE REIT INC SBI / CUSIP: 42217K106 / Symbol: HCN                             |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 3 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 14,000   | 789.89                   | 789.89                               | 05/02/11          | 706.38                  | 0.00                                  | 83.51                                    | Sale                   |
|                                                                                       | 15,000   | 846.32                   | 846.32                               | 05/23/12          | 790.28                  | 0.00                                  | 56.04                                    | Sale                   |
|                                                                                       | 4,000    | 225.68                   | 225.68                               | 12/20/12          | 233.89                  | 0.00                                  | -8.21                                    | Sale                   |
| 01/27/14                                                                              | 33,000   | 1,861.89                 | 1,861.89                             | VARIOUS           | 1,730.55                | 0.00                                  | 131.34                                   | Total of 3 lots        |
| REGENCY CENTERS CORP / CUSIP: 758849103 / Symbol: REG                                 |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 3 tax lots for 12/22/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 19,000   | 1,228.23                 | 1,228.23                             | 09/20/11          | 711.91                  | 0.00                                  | 516.32                                   | Sale                   |
|                                                                                       | 17,000   | 1,098.94                 | 1,098.94                             | 05/23/12          | 735.73                  | 0.00                                  | 363.21                                   | Sale                   |
|                                                                                       | 18,000   | 1,163.58                 | 1,163.58                             | 04/24/13          | 970.34                  | 0.00                                  | 193.24                                   | Sale                   |
| 12/22/14                                                                              | 54,000   | 3,490.75                 | 3,490.75                             | VARIOUS           | 2,417.98                | 0.00                                  | 1,072.77                                 | Total of 3 lots        |
| WASHINGTON PRIME GROUP INC COM.REIT / CUSIP: 939647103 / Symbol: WPG                  |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 4 tax lots for 06/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,500    | 102.51                   | 102.51                               | 06/21/11          | 75.32                   | 0.00                                  | 27.19                                    | Sale                   |
|                                                                                       | 4,000    | 74.55                    | 74.55                                | 07/20/11          | 57.64                   | 0.00                                  | 16.91                                    | Sale                   |
|                                                                                       | 4,000    | 74.55                    | 74.55                                | 06/19/12          | 71.81                   | 0.00                                  | 2.74                                     | Sale                   |
|                                                                                       | 3,000    | 55.92                    | 55.92                                | 12/04/12          | 55.01                   | 0.00                                  | 0.91                                     | Sale                   |
| 06/30/14                                                                              | 16,500   | 307.53                   | 307.53                               | VARIOUS           | 259.78                  | 0.00                                  | 47.75                                    | Total of 4 lots        |
| Totals:                                                                               |          | 20,419.74                | 20,419.74                            |                   | 17,543.00               | 0.00                                  | 2,876.74                                 |                        |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account: PF 00123

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| ALEXANDRIA REAL ESTATE EQUITIES / CUSIP: 015271109 / Symbol: ARE                     |                 |                           |                                       |               |                     |                               |                                          |                        |
| 3 tax lots for 07/24/14 Total proceeds (and cost when required) reported to the IRS  |                 |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 19,000          | 1,510.06                  | 1,017.09                              | 10/21/09      | 1,017.09            | 0.00                          | 492.97                                   | Sale                   |
|                                                                                      | 10,000          | 794.76                    | 587.39                                | 12/14/09      | 587.39              | 0.00                          | 207.37                                   | Sale                   |
|                                                                                      | 1,000           | 79.48                     | 57.61                                 | 02/12/10      | 57.61               | 0.00                          | 21.87                                    | Sale                   |
| 07/24/14                                                                             | 30,000          | 2,384.30                  | 1,662.09                              | VARIOUS       | 1,662.09            | 0.00                          | 722.21                                   | Total of 3 lots        |
| 3 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS |                 |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 8,000           | 606.74                    | 460.87                                | 02/12/10      | 460.87              | 0.00                          | 145.87                                   | Sale                   |
|                                                                                      | 10,000          | 758.44                    | 695.25                                | 08/30/10      | 695.25              | 0.00                          | 63.19                                    | Sale                   |
|                                                                                      | 13,000          | 985.96                    | 941.30                                | 12/28/10      | 941.30              | 0.00                          | 44.66                                    | Sale                   |
| 09/18/14                                                                             | 31,000          | 2,351.14                  | 2,097.42                              | VARIOUS       | 2,097.42            | 0.00                          | 253.72                                   | Total of 3 lots        |
|                                                                                      | Security total: | 4,735.44                  | 3,759.51                              |               | 3,759.51            | 0.00                          | 975.93                                   |                        |
| AMERICAN CAMPUS COMMUNITIES INC REITS SBI / CUSIP: 024835100 / Symbol: ACC           |                 |                           |                                       |               |                     |                               |                                          |                        |
| 03/26/14                                                                             | 31,000          | 1,148.91                  | 905.87                                | 08/30/10      | 905.87              | 0.00                          | 243.04                                   | Sale                   |
| AVALONBAY COMMUNITIES INC SBI / CUSIP: 053484101 / Symbol: AVB                       |                 |                           |                                       |               |                     |                               |                                          |                        |
| 07/01/14                                                                             | 7,000           | 992.47                    | 861.91                                | 10/10/06      | 861.91              | 0.00                          | 130.56                                   | Sale                   |
| 08/15/14                                                                             | 7,000           | 1,055.09                  | 861.91                                | 10/10/06      | 861.91              | 0.00                          | 193.18                                   | Sale                   |
|                                                                                      | Security total: | 2,047.56                  | 1,723.82                              |               | 1,723.82            | 0.00                          | 323.74                                   |                        |
| CAMDEN PROPERTY TR SBI / CUSIP: 133131102 / Symbol: CPT                              |                 |                           |                                       |               |                     |                               |                                          |                        |
| 02/20/14                                                                             | 13,000          | 847.41                    | 759.94                                | 09/10/07      | 759.94              | 0.00                          | 87.47                                    | Sale                   |
| UPONT FABROS TECHNOLOGY INC / CUSIP: 26613Q106 / Symbol: DFT                         |                 |                           |                                       |               |                     |                               |                                          |                        |
| 10/29/14                                                                             | 112,000         | 3,361.52                  | 566.34                                | 02/20/09      | 566.34              | 0.00                          | 2,795.18                                 | Sale                   |
| EPR PROPERTIES REIT SBI / CUSIP: 26884U109 / Symbol: EPR                             |                 |                           |                                       |               |                     |                               |                                          |                        |
| 3 tax lots for 05/28/14. Total proceeds (and cost when required) reported to the IRS |                 |                           |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 9,000           | 482.20                    | 182.95                                | 04/23/09      | 182.95              | 0.00                          | 299.25                                   | Sale                   |
|                                                                                      | 18,000          | 964.39                    | 302.36                                | 05/21/09      | 302.36              | 0.00                          | 662.03                                   | Sale                   |
|                                                                                      | 1,000           | 53.58                     | 27.14                                 | 08/19/09      | 27.14               | 0.00                          | 26.44                                    | Sale                   |
| 05/28/14                                                                             | 28,000          | 1,500.17                  | 512.45                                | VARIOUS       | 512.45              | 0.00                          | 987.72                                   | Total of 3 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00123

2014 1099-B\*

(continued)

OMB No. 1545-0715

**LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box E checked

**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*****1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed                                                            | Quantity        | 6- Reported Gross (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|-----------------|-------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| <b>EPR PROPERTIES REIT SBI / CUSIP: 26884U109 / Symbol: EPR (cont'd)</b>             |                 |                         |                                       |               |                     |                               |                                          |                        |
| 2 tax lots for 06/30/14. Total proceeds (and cost when required) reported to the IRS |                 |                         |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 12,000          | 667.91                  |                                       | 08/19/09      | 325.32              | 0.00                          | 342.59                                   | Sale                   |
|                                                                                      | 13,000          | 723.57                  |                                       | 02/12/10      | 416.54              | 0.00                          | 307.03                                   | Sale                   |
| 06/30/14                                                                             | 25,000          | 1,391.48                |                                       | VARIOUS       | 741.86              | 0.00                          | 649.62                                   | Total of 2 lots        |
| 3 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS |                 |                         |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 3,000           | 156.87                  |                                       | 02/12/10      | 95.95               | 0.00                          | 60.92                                    | Sale                   |
|                                                                                      | 16,000          | 836.66                  |                                       | 05/21/10      | 590.15              | 0.00                          | 246.51                                   | Sale                   |
|                                                                                      | 13,000          | 679.78                  |                                       | 12/02/10      | 568.97              | 0.00                          | 110.81                                   | Sale                   |
| 09/18/14                                                                             | 32,000          | 1,673.31                |                                       | VARIOUS       | 1,255.07            | 0.00                          | 418.24                                   | Total of 3 lots        |
|                                                                                      | Security total: | 4,564.96                |                                       |               | 2,509.38            | 0.00                          | 2,055.58                                 |                        |
| <b>EQUITY LIFESTYLE PROPERTIES INC REIT SBI / CUSIP: 29472R108 / Symbol: ELS</b>     |                 |                         |                                       |               |                     |                               |                                          |                        |
| 2 tax lots for 12/22/14. Total proceeds (and cost when required) reported to the IRS |                 |                         |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 20,000          | 1,025.26                |                                       | 07/23/09      | 393.87              | 0.00                          | 631.39                                   | Sale                   |
|                                                                                      | 6,000           | 307.58                  |                                       | 09/21/09      | 136.91              | 0.00                          | 170.67                                   | Sale                   |
| 12/22/14                                                                             | 26,000          | 1,332.84                |                                       | VARIOUS       | 530.78              | 0.00                          | 802.06                                   | Total of 2 lots        |
| <b>EQUITY RESIDENTIAL SBI / CUSIP: 29476L107 / Symbol: EQR</b>                       |                 |                         |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 15,000          | 810.64                  |                                       | 10/10/06      | 780.21              | 0.00                          | 30.43                                    | Sale                   |
| 01/22/14                                                                             | 15,000          | 906.48                  |                                       | 10/10/06      | 780.21              | 0.00                          | 126.27                                   | Sale                   |
| 05/05/14                                                                             |                 | 1,717.12                |                                       |               | 1,560.42            | 0.00                          | 156.70                                   |                        |
|                                                                                      | Security total: |                         |                                       |               |                     |                               |                                          |                        |
| <b>EXTRA SPACE STORAGE INC REITS SBI / CUSIP: 30225T102 / Symbol: EXR</b>            |                 |                         |                                       |               |                     |                               |                                          |                        |
| 3 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS |                 |                         |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 11,000          | 633.44                  |                                       | 01/30/09      | 89.15               | 0.00                          | 544.29                                   | Sale                   |
|                                                                                      | 1,000           | 57.58                   |                                       | 02/20/09      | 5.75                | 0.00                          | 51.83                                    | Sale                   |
|                                                                                      | 5,000           | 287.93                  |                                       | 05/21/09      | 34.49               | 0.00                          | 253.44                                   | Sale                   |
| 11/21/14                                                                             | 17,000          | 978.95                  |                                       | VARIOUS       | 129.39              | 0.00                          | 849.56                                   | Total of 3 lots        |
| <b>FIRST POTOMAC REALTY TRUST SBI / CUSIP: 33610F109 / Symbol: FPO</b>               |                 |                         |                                       |               |                     |                               |                                          |                        |
|                                                                                      | 10,000          | 132.18                  |                                       | 12/02/10      | 147.17              | 0.00                          | -14.99                                   | Sale                   |
| 05/13/14                                                                             |                 |                         |                                       |               |                     |                               |                                          |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00123

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| FIRST POTOMAC REALTY TRUST SBI / CUSIP: 33610F109 / Symbol: FPO (cont'd)              |          |                                      |               |                     |                               |                                          |                        |
| 2 tax lots for 06/03/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 15,000   | 198.01                               | 12/02/10      | 220.75              | 0.00                          | -22.74                                   | Sale                   |
|                                                                                       | 42,000   | 554.42                               | 12/28/10      | 648.20              | 0.00                          | -93.78                                   | Sale                   |
| 06/03/14                                                                              | 57,000   | 752.43                               | VARIOUS       | 868.95              | 0.00                          | -116.52                                  | Total of 2 lots        |
| Security total:                                                                       |          | 884.61                               |               | 1,016.12            | 0.00                          | -131.51                                  |                        |
| HCP INC / CUSIP: 40414L109 / Symbol: HCP                                              |          |                                      |               |                     |                               |                                          |                        |
| 05/05/14                                                                              | 47,000   | 1,978.76                             | 10/03/08      | 1,684.66            | 0.00                          | 294.10                                   | Sale                   |
| HOST HOTELS & RESORTS INC (REIT) SBI / CUSIP: 44107P104 / Symbol: HST                 |          |                                      |               |                     |                               |                                          |                        |
| 2 tax lots for 03/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 33,000   | 651.54                               | 09/11/07      | 709.01              | 0.00                          | -57.47                                   | Sale                   |
|                                                                                       | 12,000   | 236.92                               | 10/24/07      | 259.45              | 0.00                          | -22.53                                   | Sale                   |
| 03/26/14                                                                              | 45,000   | 888.46                               | VARIOUS       | 968.46              | 0.00                          | -80.00                                   | Total of 2 lots        |
| 2 tax lots for 08/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 123,000  | 2,729.78                             | 10/24/07      | 2,659.36            | 0.00                          | 70.42                                    | Sale                   |
|                                                                                       | 22,000   | 488.25                               | 03/06/08      | 364.38              | 0.00                          | 123.87                                   | Sale                   |
| 08/15/14                                                                              | 145,000  | 3,218.03                             | VARIOUS       | 3,023.74            | 0.00                          | 194.29                                   | Total of 2 lots        |
| 5 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 3,000    | 64.47                                | 03/06/08      | 49.69               | 0.00                          | 14.78                                    | Sale                   |
|                                                                                       | 30,000   | 644.70                               | 05/22/08      | 539.42              | 0.00                          | 105.28                                   | Sale                   |
|                                                                                       | 3,000    | 64.47                                | 10/03/08      | 36.41               | 0.00                          | 28.06                                    | Sale                   |
|                                                                                       | 7,000    | 150.43                               | 12/05/08      | 48.29               | 0.00                          | 102.14                                   | Sale                   |
|                                                                                       | 79,000   | 1,697.72                             | 01/27/09      | 488.59              | 0.00                          | 1,209.13                                 | Sale                   |
| 09/24/14                                                                              | 122,000  | 2,621.79                             | VARIOUS       | 1,162.40            | 0.00                          | 1,459.39                                 | Total of 5 lots        |
| Security total:                                                                       |          | 6,728.28                             |               | 5,154.60            | 0.00                          | 1,573.68                                 |                        |
| KILROY REALTY CORP / CUSIP: 49427F108 / Symbol: KRC                                   |          |                                      |               |                     |                               |                                          |                        |
| 02/20/14                                                                              | 15,000   | 864.73                               | 10/10/06      | 1,055.30            | 0.00                          | -190.57                                  | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00123

2014 - 1099-B\*

## Proceeds from Broker and Barter-Exchange Transactions

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| <b>LIBERTY PROPERTY TRUST COMMON STOCK / CUSIP: 531172104 / Symbol: LPT</b>           |                 |                          |                                      |               |                     |                               |                                          |                        |
| 2 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 17,000          | 603.39                   |                                      | 10/10/06      | 803.38              | 0.00                          | -199.99                                  | Sale                   |
|                                                                                       | 5,000           | 177.47                   |                                      | 12/07/06      | 255.57              | 0.00                          | -78.10                                   | Sale                   |
| 01/27/14                                                                              | 22,000          | 780.86                   |                                      | VARIOUS       | 1,058.95            | 0.00                          | -278.09                                  | Total of 2 lots        |
| 3 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 20,000          | 709.81                   |                                      | 12/07/06      | 1,019.54            | 0.00                          | -309.73                                  | Sale                   |
|                                                                                       | 5,000           | 177.45                   |                                      | 06/27/07      | 213.94              | 0.00                          | -36.49                                   | Sale                   |
|                                                                                       | 15,000          | 532.35                   |                                      | 09/10/07      | 561.20              | 0.00                          | -28.85                                   | Sale                   |
| 09/18/14                                                                              | 40,000          | 1,419.61                 |                                      | VARIOUS       | 1,794.68            | 0.00                          | -375.07                                  | Total of 3 lots        |
|                                                                                       | Security total: | 2,200.47                 |                                      |               | 2,853.63            | 0.00                          | -653.16                                  |                        |
| <b>MACERICH COMPANY COMMON STOCK / CUSIP: 554382101 / Symbol: MAC</b>                 |                 |                          |                                      |               |                     |                               |                                          |                        |
| 06/30/14                                                                              | 16,000          | 1,062.98                 |                                      | 02/19/09      | 167.09              | 0.00                          | 895.89                                   | Sale                   |
| 5 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 9,000           | 694.56                   |                                      | 02/19/09      | 92.25               | 0.00                          | 602.31                                   | Sale                   |
|                                                                                       | 1,000           | 77.18                    |                                      | 02/20/09      | 9.66                | 0.00                          | 67.52                                    | Sale                   |
|                                                                                       | 7,000           | 540.21                   |                                      | 06/22/09      | 133.14              | 0.00                          | 407.07                                   | Sale                   |
|                                                                                       | 22,000          | 1,697.82                 |                                      | 07/23/09      | 376.00              | 0.00                          | 1,321.82                                 | Sale                   |
|                                                                                       | 3,000           | 231.52                   |                                      | 09/21/09      | 82.62               | 0.00                          | 148.90                                   | Sale                   |
| 11/21/14                                                                              | 42,000          | 3,241.29                 |                                      | VARIOUS       | 693.67              | 0.00                          | 2,547.62                                 | Total of 5 lots        |
|                                                                                       | Security total: | 4,304.27                 |                                      |               | 860.76              | 0.00                          | 3,443.51                                 |                        |
| <b>PROLOGIS INC COM / CUSIP: 74340W103 / Symbol: PLD</b>                              |                 |                          |                                      |               |                     |                               |                                          |                        |
| 3 tax lots for 06/30/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 1,000           | 41.02                    |                                      | 12/05/08      | 17.82               | 0.00                          | 23.20                                    | Sale                   |
|                                                                                       | 18,000          | 738.37                   |                                      | 02/19/09      | 245.20              | 0.00                          | 493.17                                   | Sale                   |
|                                                                                       | 7,000           | 287.14                   |                                      | 03/26/09      | 104.16              | 0.00                          | 182.98                                   | Sale                   |
| 06/30/14                                                                              | 26,000          | 1,066.53                 |                                      | VARIOUS       | 367.18              | 0.00                          | 699.35                                   | Total of 3 lots        |
| <b>PUBLIC STORAGE REIT SBI / CUSIP: 74460D109 / Symbol: PSA</b>                       |                 |                          |                                      |               |                     |                               |                                          |                        |
| 02/20/14                                                                              | 8,000           | 1,328.86                 |                                      | 12/07/06      | 763.09              | 0.00                          | 565.77                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00123

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 9949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|----------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| REGENCY CENTERS CORP / CUSIP: 758849103 / Symbol: REG                                 |          |                         |                |               |                     |                               |                                          |                        |
| 2 tax lots for 06/30/14 Total proceeds (and cost when required) reported to the IRS.  |          |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 7,000    | 386.39                  |                | 06/27/07      | 484.51              | 0.00                          | -98.12                                   | Sale                   |
|                                                                                       | 13,000   | 717.59                  |                | 10/23/07      | 919.34              | 0.00                          | -201.75                                  | Sale                   |
| 06/30/14                                                                              | 20,000   | 1,103.98                |                | VARIOUS       | 1,403.85            | 0.00                          | -299.87                                  | Total of 2 lots        |
| 3 tax lots for 09/18/14 Total proceeds (and cost when required) reported to the IRS   |          |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 2,000    | 110.52                  |                | 10/23/07      | 141.31              | 0.00                          | -30.79                                   | Sale                   |
|                                                                                       | 15,000   | 828.91                  |                | 03/06/08      | 848.19              | 0.00                          | -19.28                                   | Sale                   |
|                                                                                       | 5,000    | 276.30                  |                | 10/03/08      | 274.66              | 0.00                          | 1.64                                     | Sale                   |
| 09/18/14                                                                              | 22,000   | 1,215.73                |                | VARIOUS       | 1,264.16            | 0.00                          | -48.43                                   | Total of 3 lots        |
| 5 tax lots for 10/29/14 Total proceeds (and cost when required) reported to the IRS.  |          |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 15,000   | 885.80                  |                | 10/03/08      | 823.96              | 0.00                          | 61.84                                    | Sale                   |
|                                                                                       | 1,000    | 59.06                   |                | 11/06/08      | 34.07               | 0.00                          | 24.99                                    | Sale                   |
|                                                                                       | 2,000    | 118.10                  |                | 12/05/08      | 64.44               | 0.00                          | 53.66                                    | Sale                   |
|                                                                                       | 1,000    | 59.06                   |                | 02/19/09      | 27.22               | 0.00                          | 31.84                                    | Sale                   |
|                                                                                       | 1,000    | 59.05                   |                | 02/20/09      | 26.22               | 0.00                          | 32.83                                    | Sale                   |
| 10/29/14                                                                              | 20,000   | 1,181.07                |                | VARIOUS       | 975.91              | 0.00                          | 205.16                                   | Total of 5 lots        |
| 2 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 2,000    | 120.59                  |                | 05/21/09      | 64.15               | 0.00                          | 56.44                                    | Sale                   |
|                                                                                       | 18,000   | 1,085.35                |                | 10/21/09      | 616.74              | 0.00                          | 468.61                                   | Sale                   |
| 11/21/14                                                                              | 20,000   | 1,205.94                |                | VARIOUS       | 680.89              | 0.00                          | 525.05                                   | Total of 2 lots        |
| Security total:                                                                       |          |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 6,000    | 387.86                  |                | 10/21/09      | 205.58              | 0.00                          | 182.28                                   | Sale                   |
|                                                                                       |          | 5,094.58                |                |               | 4,530.39            | 0.00                          | 564.19                                   |                        |
| SIMON PROPERTY GROUP INC SBI / CUSIP: 828806109 / Symbol: SPG                         |          |                         |                |               |                     |                               |                                          |                        |
| 05/05/14                                                                              | 7,000    | 1,214.12                |                | 10/10/06      | 663.18              | 0.00                          | 550.94                                   | Sale                   |
| VENTAS INC / CUSIP: 92276F100 / Symbol: VTR                                           |          |                         |                |               |                     |                               |                                          |                        |
| 3 tax lots for 03/03/14 Total proceeds (and cost when required) reported to the IRS   |          |                         |                |               |                     |                               |                                          |                        |
|                                                                                       | 0.705    | 44.08                   |                | 09/10/07      | 24.45               | 0.00                          | 19.63                                    | Sale                   |
|                                                                                       | 3.933    | 246.01                  |                | 03/06/08      | 148.44              | 0.00                          | 97.57                                    | Sale                   |
|                                                                                       | 9.362    | 585.59                  |                | 05/22/08      | 404.26              | 0.00                          | 181.33                                   | Sale                   |
| 03/03/14                                                                              | 14,000   | 875.68                  |                | VARIOUS       | 577.15              | 0.00                          | 298.53                                   | Total of 3 lots        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00123

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|-----------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| VENTAS INC / CUSIP: 92276F100 / Symbol: VTR (cont'd)                                  |          |                          |                                         |               |                     |                               |                                          |                        |
| 3 tax lots for 09/18/14 Total proceeds (and cost when required) reported to the IRS.  |          |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                       | 4,010    | 244.74                   | 173.15                                  | 05/22/08      | 173.15              | 0.00                          | 71.59                                    | Sale                   |
|                                                                                       | 1,573    | 96.02                    | 69.89                                   | 10/03/08      | 69.89               | 0.00                          | 26.13                                    | Sale                   |
|                                                                                       | 12,417   | 757.83                   | 399.30                                  | 11/06/08      | 399.30              | 0.00                          | 358.53                                   | Sale                   |
| 09/18/14                                                                              | 18,000   | 1,098.59                 | 642.34                                  | VARIOUS       | 642.34              | 0.00                          | 456.25                                   | Total of 3 lots        |
|                                                                                       |          | Security total:          | 1,974.27                                |               | 1,219.49            | 0.00                          | 754.78                                   |                        |
| VORNADO REALTY TRUST / CUSIP: 929042109 / Symbol: VNO                                 |          |                          |                                         |               |                     |                               |                                          |                        |
| 4 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                       | 7,000    | 773.44                   | 757.06                                  | 10/23/07      | 757.06              | 0.00                          | 16.38                                    | Sale                   |
|                                                                                       | 5,000    | 552.46                   | 410.60                                  | 03/06/08      | 410.60              | 0.00                          | 141.86                                   | Sale                   |
|                                                                                       | 1,000    | 110.49                   | 83.49                                   | 10/03/08      | 83.49               | 0.00                          | 27.00                                    | Sale                   |
|                                                                                       | 13,000   | 1,436.39                 | 858.30                                  | 11/06/08      | 858.30              | 0.00                          | 578.09                                   | Sale                   |
| 11/21/14                                                                              | 26,000   | 2,872.78                 | 2,109.45                                | VARIOUS       | 2,109.45            | 0.00                          | 763.33                                   | Total of 4 lots        |
| WASHINGTON PRIME GROUP INC COM REIT / CUSIP: 939647103 / Symbol: WPG                  |          |                          |                                         |               |                     |                               |                                          |                        |
| 05/29/14                                                                              | 0.500    | 10.06                    | 5.67                                    | 10/10/06      | 5.67                | 0.00                          | 4.39                                     | Sale                   |
| 18 tax lots for 06/30/14. Total proceeds (and cost when required) reported to the IRS |          |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                       | 55,500   | 1,034.41                 | 629.26                                  | 10/10/06      | 629.26              | 0.00                          | 405.15                                   | Sale                   |
|                                                                                       | 7,500    | 139.79                   | 91.53                                   | 12/07/06      | 91.53               | 0.00                          | 48.26                                    | Sale                   |
|                                                                                       | 7,500    | 139.78                   | 85.93                                   | 06/27/07      | 85.93               | 0.00                          | 53.85                                    | Sale                   |
|                                                                                       | 2,500    | 46.60                    | 27.36                                   | 09/10/07      | 27.36               | 0.00                          | 19.24                                    | Sale                   |
|                                                                                       | 0.500    | 9.32                     | 5.16                                    | 10/03/08      | 5.16                | 0.00                          | 4.16                                     | Sale                   |
|                                                                                       | 1,500    | 27.96                    | 8.32                                    | 12/05/08      | 8.32                | 0.00                          | 19.64                                    | Sale                   |
|                                                                                       | 2,000    | 37.27                    | 10.42                                   | 01/27/09      | 10.42               | 0.00                          | 26.85                                    | Sale                   |
|                                                                                       | 2,000    | 37.28                    | 8.64                                    | 02/19/09      | 8.64                | 0.00                          | 28.64                                    | Sale                   |
|                                                                                       | 3,000    | 55.91                    | 12.19                                   | 03/18/09      | 12.19               | 0.00                          | 43.72                                    | Sale                   |
|                                                                                       | 8,000    | 149.11                   | 35.37                                   | 03/26/09      | 35.37               | 0.00                          | 113.74                                   | Sale                   |
|                                                                                       | 13,000   | 242.29                   | 77.87                                   | 05/21/09      | 77.87               | 0.00                          | 164.42                                   | Sale                   |
|                                                                                       | 1,000    | 18.64                    | 6.33                                    | 06/19/09      | 6.33                | 0.00                          | 12.31                                    | Sale                   |
|                                                                                       | 1,000    | 18.64                    | 7.96                                    | 09/18/09      | 7.96                | 0.00                          | 10.68                                    | Sale                   |
|                                                                                       | 0.500    | 9.32                     | 4.51                                    | 12/18/09      | 4.51                | 0.00                          | 4.81                                     | Sale                   |
|                                                                                       | 6,000    | 111.82                   | 61.08                                   | 03/17/10      | 61.08               | 0.00                          | 50.74                                    | Sale                   |
|                                                                                       | 4,000    | 74.56                    | 40.03                                   | 07/21/10      | 40.03               | 0.00                          | 34.53                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00123

2014 1099-B\*

OMB No. 1545-0715

# **Proceeds from Broker and Barter Exchange Transactions**

(continued)

## **LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

## **5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

| 1c- Date sold or disposed                                                     | Quantity | 1d- Proceeds & Reported (G)ross (N)et | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------------------------------|----------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| WASHINGTON PRIME GROUP INC COM REIT / CUSIP: 939647103 / Symbol: WPG (cont'd) | 4,500    | 83.87                                 | 09/29/10      | 50.24               | 0.00                          | 33.63                                    | Sale                   |
|                                                                               | 4,500    | 83.87                                 | 12/28/10      | 53.56               | 0.00                          | 30.31                                    | Sale                   |
| 06/30/14                                                                      | 124,500  | 2,320.44                              | VARIOUS       | 1,215.76            | 0.00                          | 1,104.68                                 | Total of 18 lots       |
| Security total:                                                               |          | 2,330.50                              |               | 1,221.43            | 0.00                          | 1,109.07                                 |                        |
| <b>Totals:</b>                                                                |          | <b>53,577.47</b>                      |               | <b>35,944.73</b>    | <b>0.00</b>                   | <b>17,632.74</b>                         |                        |

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## UBS FINANCIAL SERVICES INC.

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

Account: PF 02408

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                          | Quantity                                                                             | 6- Reported (Gross (Net) | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information                  |
|----------------------------------------------------------------------------------------------------|--------------------------------------------------------------------------------------|--------------------------|------------------------------|---------------------|-------------------------------|------------------------------------------|-----------------------------------------|
| AMERICAN EXPRESS CRD CORP NOTE RATE 2.375 % MATURES 03/24/2017 / CUSIP: 0258MODD8 / Symbol:        | 1,000,000                                                                            | 1,027.38                 | 05/11/12                     | 1,014.70            | 0.00                          | 12.68                                    | Sale                                    |
| ANHEUSER BUSCH INBEV WORLD WID RATE 4.125 % MATURES 01/15/2015 / CUSIP: 03523TAM0 / Symbol:        | 20,000 000                                                                           | 20,342.80                | 11/03/11                     | 20,252.28           | 0.00                          | 90.52                                    | Sale                                    |
|                                                                                                    |                                                                                      |                          |                              |                     |                               |                                          | Note: 25<br>Original basis. \$21,711.80 |
| BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER C / CUSIP: 092480102 / Symbol:                    | 777,000                                                                              | 8,321.67                 | 01/05/10                     | 7,606.83            | 0 00                          | 714.84                                   | Sale                                    |
| BLACKROCK BOND ALLOCATION TARGET SHARES BATS SER M / CUSIP: 092480201 / Symbol                     | 637,000                                                                              | 6,280.82                 | 01/05/10                     | 5,803.07            | 0.00                          | 477.75                                   | Sale                                    |
| FANNIE MAE NOTE RATE 0.625 % MATURES 10/30/2014 DATED DATE 09/27/2011 / CUSIP: 3135G0DW0 / Symbol: |                                                                                      |                          |                              |                     |                               |                                          |                                         |
|                                                                                                    | 2 tax lots for 09/04/14. Total proceeds (and cost when required) reported to the IRS |                          |                              |                     |                               |                                          |                                         |
|                                                                                                    | 66,000 000                                                                           | 66,052.14                | 05/11/12                     | 66,019.08           | 0.00                          | 33.06                                    | Sale                                    |
|                                                                                                    | 2,000 000                                                                            | 2,001.58                 | 12/14/12                     | 2,001.12            | 0 00                          | 0.46                                     | Sale                                    |
| 09/04/14                                                                                           | 68,000,000                                                                           | 68,053.72                | VARIOUS                      | 68,020.20           | 0.00                          | 33.52                                    | Total of 2 lots                         |
| FNMA NOTE RATE 1.25 % MATURES 01/30/2017 DATED DATE 01/09/2012 / CUSIP: 3135G0GY3 / Symbol         | 1,000,000                                                                            | 1,009.62                 | 02/14/12                     | 1,004.58            | 0.00                          | 5.04                                     | Sale                                    |
| GENL ELEC CAP CORP RATE 1.625 % MATURES 07/02/2015 / CUSIP: 36962G5Z3 / Symbol:                    |                                                                                      |                          |                              |                     |                               |                                          |                                         |
|                                                                                                    | 2 tax lots for 07/24/14. Total proceeds (and cost when required) reported to the IRS |                          |                              |                     |                               |                                          |                                         |
|                                                                                                    | 22,000 000                                                                           | 22,265.32                | 10/23/12                     | 22,152.62           | 0 00                          | 112.70                                   | Sale                                    |
|                                                                                                    | 1,000,000                                                                            | 1,012.06                 | 12/14/12                     | 1,006.17            | 0 00                          | 5.89                                     | Sale                                    |
| 07/24/14                                                                                           | 23,000,000                                                                           | 23,277.38                | VARIOUS                      | 23,158.79           | 0 00                          | 118.59                                   | Total of 2 lots                         |
| GOLDMAN SACHS GROUP INC NOTE RATE 2.375 % MATURES 01/22/2018 / CUSIP: 38141GRC0 / Symbol:          |                                                                                      |                          |                              |                     |                               |                                          |                                         |
| 09/09/14                                                                                           | 1,000,000                                                                            | 1,010.98                 | 02/06/13                     | 1,003.82            | 0.00                          | 7.16                                     | Sale                                    |
| 12/16/14                                                                                           | 34,000,000                                                                           | 34,285.26                | 02/06/13                     | 34,119.91           | 0.00                          | 165.35                                   | Sale                                    |
|                                                                                                    | Security total:                                                                      | 35,296.24                |                              | 35,123.73           | 0.00                          | 172.51                                   |                                         |
| J P MORGAN CHASE & CO NOTE RATE 3.15 % MATURES 07/05/2016 / CUSIP: 46625HJA9 / Symbol.             | 1,000 000                                                                            | 1,037.79                 | 08/08/11                     | 993.01              | 0.00                          | 44.78                                    | Sale                                    |
| 09/09/14                                                                                           |                                                                                      |                          |                              |                     |                               |                                          |                                         |

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UBS FINANCIAL SERVICES INC.

Account PF 02408

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                           | Quantity    | 6- Reported (G)ross (N)et | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-----------------------------------------------------------------------------------------------------|-------------|---------------------------|------------------------------|---------------------|-------------------------------|------------------------|------------------------------------------|
| J P MORGAN CHASE & CO NOTE RATE 3.15 % MATURES 07/05/2016 / CUSIP: 46625HJA9 / Symbol: (cont'd)     | 21,000.000  | 21,754.74                 | 08/08/11                     | 20,853.21           | 0.00                          |                        | 901.53 Sale                              |
| Security total:                                                                                     |             | 22,792.53                 |                              | 21,846.22           | 0.00                          |                        | 946.31                                   |
| UNITED STATES TREASURY RATE 0.75 % MATURES 02/15/2042 / CUSIP: 912810QV3 / Symbol:                  | 1,000.000   | 995.98                    | 07/24/12                     | 1,191.74            | 0.00                          |                        | -195.76 Sale                             |
| U S TREASURY NOTE RATE 3.125 % MATURES 05/15/2019 / CUSIP: 912828KQ2 / Symbol:                      | 2,000.000   | 2,127.26                  | 01/05/10                     | 1,906.88            | 0.00                          |                        | 220.38 Sale                              |
| U S TREASURY INFL NOTE RATE 1.00 % MATURES 10/31/2016 / CUSIP: 912828RM4 / Symbol:                  | 1,000.000   | 1,006.76                  | 11/03/11                     | 1,001.85            | 0.00                          |                        | 4.91 Sale                                |
| U S TREASURY INFL NOTE RATE 1.75 % MATURES 10/31/2018 / CUSIP: 912828RP7 / Symbol:                  | 25,000.000  | 25,297.77                 | 11/03/11                     | 25,302.54           | 0.00                          |                        | -4.77 Sale                               |
| Security total:                                                                                     |             | 26,305.11                 |                              | 26,313.22           | 0.00                          |                        | -3.34 Sale                               |
| U S TREASURY NOTE RATE 0.25 % MATURES 01/31/2014 DATED DATE 01/31/2012 / CUSIP: 912828SB7 / Symbol: | 106,000.000 | 106,000.00                | 02/14/12                     | 105,908.84          | 0.00                          |                        | 91.16 Sale                               |
| Security total:                                                                                     |             | 113,000.00                | VARIOUS                      | 112,908.84          | 0.00                          |                        | 0.00 Sale                                |
| S TREASURY INFL NOTE RATE 0.25 % MATURES 02/15/2015 / CUSIP: 912828SE1 / Symbol:                    | 2,000.000   | 2,001.72                  | 02/14/12                     | 1,991.50            | 0.00                          |                        | 10.22 Sale                               |
| Security total:                                                                                     |             | 45,015.75                 | 02/14/12                     | 44,808.75           | 0.00                          |                        | 207.00 Sale                              |
| VERIZON COMMUNICATIONS INC RATE 3.50 % MATURES 11/01/2021 / CUSIP: 92343VBC7 / Symbol:              | 1,000.000   | 1,007.55                  | 11/03/11                     | 1,016.16            | 0.00                          |                        | -8.61 Sale                               |
| Totals:                                                                                             |             | 377,862.29                |                              | 374,969.34          | 0.00                          |                        | 2,892.95                                 |

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## UBS FINANCIAL SERVICES INC.

Account PF 32219

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                    | Quantity   | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------------|------------|---------------------------|---------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------------------------|------------------------|
| U S TREASURY INFL NOTE RATE 0.75 % MATURES 01/15/2017 / CUSIP: 912828A91 / Symbol: 06/12/14  | 43,000.000 | 43,023.52                 | 43,023.52                             | 02/26/14          | 43,095.16               | 0.00                              | -71.64                                   | Sale                   |
| U S TREASURY INFL NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol: 09/25/14 | 8,000.000  | 7,703.12                  | 7,703.12                              | 04/15/14          | 7,686.88                | 0.00                              | 16.24                                    | Sale                   |
| U S TREASURY INFL NOTE RATE 1.00 % MATURES 05/31/2018 / CUSIP: 912828VE7 / Symbol: 09/09/14  | 75,000.000 | 73,845.70                 | 73,845.70                             | 02/12/14          | 73,933.59               | 7.03 W                            | -80.86                                   | Sale                   |
| 3 tax lots for 09/25/14 Total proceeds (and cost when required) reported to the IRS.         |            |                           |                                       |                   |                         |                                   |                                          |                        |
|                                                                                              | 47,000.000 | 46,313.36                 | 46,313.36                             | 02/12/14          | 46,331.72               | 0.00                              | -18.36                                   | Sale                   |
|                                                                                              | 6,000.000  | 5,912.35                  | 5,912.35                              | 06/17/14          | 5,904.84                | 0.00                              | 7.51                                     | Sale                   |
|                                                                                              | 6,000.000  | 5,912.34                  | 5,912.34                              | 09/02/14          | 5,931.09                | 0.00                              | -18.75                                   | Sale                   |
| 09/25/14                                                                                     | 59,000.000 | 58,138.05                 | 58,138.05                             | VARIOUS           | 58,167.65               | 0.00                              | -29.60                                   | Total of 3 lots        |
| Security total:                                                                              |            |                           |                                       |                   | 131,983.75              | 7.03 W                            | -110.46                                  |                        |
| Totals:                                                                                      |            |                           |                                       |                   | 182,710.39              | 7.03 W                            | -165.86                                  |                        |

## SHORT-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part I, with Box B checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                        | Quantity   | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------------------|------------|---------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| FHLMC PL Q17639 03.0000 DUE 04/01/43 FACTOR 0.892932100000 / CUSIP: 3132J84Z0 / Symbol: 02/07/14 | 1,000.000  | 929.10                    | 929.10                                | 09/16/13      | 905.71              | 0.00                          | 23.39                                    | Sale<br>Note: 25       |
| FNMA PL AJ5299 04.0000 DUE 11/01/41 FACTOR 0.761759560000 / CUSIP: 3138AW3H4 / Symbol: 04/07/14  | 6,000.000  | 5,265.58                  | 5,265.58                              | 03/12/14      | 5,251.07            | 0.00                          | 14.51                                    | Sale<br>Note: 25       |
| FNMA PL AL0935 04.0000 DUE 05/01/41 FACTOR 0.466514240000 / CUSIP: 3138EHB03 / Symbol: 10/16/14  | 38,000.000 | 19,354.67                 | 19,354.67                             | 04/09/14      | 18,997.48           | 0.00                          | 357.19                                   | Sale<br>Note: 25       |

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## UBS FINANCIAL SERVICES INC.

Account PF 32219

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## SHORT-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                        | Quantity  | 6- Reported (G)ross (N)et | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------------------|-----------|---------------------------|------------------------------|---------------------|-------------------------------|------------------------|------------------------------------------|
| FNMA PL AL3424 04.0000 DUE 01/01/43 FACTOR 0 786739860000 / CUSIP: 3138EKYW9 / Symbol: 04/07/14  | 6,000.000 |                           | 5,388.64 07/10/13            | 5,326.63            | 0.00                          |                        | 62.01 Sale Note: 25                      |
| FNMA PL AO2961 04.0000 DUE 05/01/42 FACTOR 0.634494850000 / CUSIP 3138LTJF6 / Symbol: 04/07/14   | 5,000.000 | 3,593.44                  | 06/14/13                     | 3,660.87            | 0.00                          |                        | -67.43 Sale Note: 25                     |
| FNMA PL MB0221 04.0000 DUE 01/01/43 FACTOR 0.884019190000 / CUSIP: 3138MTG76 / Symbol: 04/07/14  | 1,000.000 | 937.80                    | 12/16/13                     | 927.33              | 0.00                          |                        | 10.47 Sale Note: 25                      |
| FNMA PL AS0203 03.0000 DUE 08/01/43 FACTOR 0.929593490000 / CUSIP 3138W9GM6 / Symbol: 02/07/14   | 3,000.000 | 2,848.86                  | 12/16/13                     | 2,803.99            | 0.00                          |                        | 44.87 Sale Note: 25                      |
| FNMA PL AS0415 04.0000 DUE 09/01/43 FACTOR 0.875235460000 / CUSIP: 3138W9N97 / Symbol: 04/07/14  | 2,000.000 | 2,017.38                  | 09/18/13                     | 2,006.37            | 0.00                          |                        | 11.01 Sale Note: 25                      |
| FNMA PL AT0181 03.0000 DUE 03/01/43 FACTOR 0 903532300000 / CUSIP: 3138WMFX4 / Symbol: 02/07/14  | 3,000.000 | 2,783.91                  | 12/16/13                     | 2,738.95            | 0.00                          |                        | 44.96 Sale Note: 25                      |
| FNMA PL AT6306 04.0000 DUE 06/01/43 FACTOR 0 942654840000 / CUSIP: 3138WUJAG8 / Symbol: 04/07/14 | 6,000.000 | 6,138.00                  | 12/16/13                     | 6,069.42            | 0.00                          |                        | 68.58 Sale Note: 25                      |
| FNMA PL AU3751 04.0000 DUE 08/01/43 FACTOR 0.900006210000 / CUSIP: 3138X3EZ1 / Symbol: 04/07/14  | 7,000.000 | 7,008.84                  | 10/11/13                     | 7,030.31            | 0.00                          |                        | -21.47 Sale Note: 25                     |
| FNMA PL AX0726 04.0000 DUE 09/01/44 FACTOR 0 963538290000 / CUSIP: 3138Y1YY5 / Symbol: 10/16/14  | 5,000.000 | 5,282.86                  | 08/25/14                     | 5,258.05            | 0.00                          |                        | 24.81 Sale Note: 25                      |
| FNMA PL MA1771 04.0000 DUE 02/01/44 FACTOR 0.933280070000 / CUSIP: 31418A6H6 / Symbol: 04/07/14  | 5,000.000 | 5,168.18                  | 01/29/14                     | 5,179.78            | 0.00                          |                        | -11.60 Sale Note: 25                     |

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UBS FINANCIAL SERVICES INC.

Account PF 32219

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part I, with Box B checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                  | Quantity        | 6- Reported Gross (Net) | 1d- Proceeds & Reported (Gross) (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information                          |
|--------------------------------------------------------------------------------------------|-----------------|-------------------------|---------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|-------------------------------------------------|
| UNITED STATES TREASURY INFLATION PROTECTION BOND RATE 2.375 % / CUSIP: 912810FR4 / Symbol: |                 |                         |                                       |               |                     |                               |                                          |                                                 |
| 4 tax lots for 02/19/14. Total proceeds (and cost when required) reported to the IRS.      |                 |                         |                                       |               |                     |                               |                                          |                                                 |
|                                                                                            | 7,000.000       | 10,215.29               | 10,215.29                             | 10/23/13      | 10,445.18           | 0.00                          | -229.89                                  | Sale<br>Note: 25<br>Original basis: \$10,535.31 |
|                                                                                            | 10,000.000      | 14,593.27               | 14,593.27                             | 10/23/13      | 14,913.95           | 0.00                          | -320.68                                  | Sale<br>Note: 25<br>Original basis: \$15,042.68 |
|                                                                                            | 6,000.000       | 8,755.96                | 8,755.96                              | 11/12/13      | 8,717.16            | 0.00                          | 38.80                                    | Sale<br>Note: 25<br>Original basis: \$8,804.87  |
|                                                                                            | 7,000.000       | 10,215.29               | 10,215.29                             | 12/30/13      | 10,008.38           | 0.00                          | 206.91                                   | Sale<br>Note: 25<br>Original basis: \$10,124.92 |
| 02/19/14                                                                                   | 30,000.000      | 43,779.81               | 43,779.81                             | VARIOUS       | 44,084.67           | 0.00                          | -304.86                                  | Total of 4 lots                                 |
| 2 tax lots for 07/10/14. Total proceeds (and cost when required) reported to the IRS.      |                 |                         |                                       |               |                     |                               |                                          |                                                 |
|                                                                                            | 1,000.000       | 1,526.50                | 1,526.50                              | 12/30/13      | 1,456.04            | 0.00                          | 70.46                                    | Sale<br>Note: 25<br>Original basis: \$1,446.42  |
|                                                                                            | 1,000.000       | 1,526.50                | 1,526.50                              | 02/11/14      | 1,492.05            | 0.00                          | 34.45                                    | Sale<br>Note: 25<br>Original basis: \$1,467.43  |
| 07/10/14                                                                                   | 2,000.000       | 3,053.00                | 3,053.00                              | VARIOUS       | 2,948.09            | 0.00                          | 104.91                                   | Total of 2 lots                                 |
| 3 tax lots for 07/14/14. Total proceeds (and cost when required) reported to the IRS.      |                 |                         |                                       |               |                     |                               |                                          |                                                 |
|                                                                                            | 3,000.000       | 4,573.82                | 4,573.82                              | 02/11/14      | 4,477.66            | 0.00                          | 96.16                                    | Sale<br>Note: 25<br>Original basis: \$4,402.28  |
|                                                                                            | 6,000.000       | 9,147.64                | 9,147.64                              | 04/15/14      | 8,997.84            | 0.00                          | 149.80                                   | Sale<br>Note: 25<br>Original basis: \$8,926.00  |
|                                                                                            | 5,000.000       | 7,623.03                | 7,623.03                              | 06/17/14      | 7,525.75            | 0.00                          | 97.28                                    | Sale<br>Note: 25<br>Original basis: \$7,567.50  |
| 07/14/14                                                                                   | 14,000.000      | 21,344.49               | 21,344.49                             | VARIOUS       | 21,001.25           | 0.00                          | 343.24                                   | Total of 3 lots                                 |
|                                                                                            | Security total: | 68,177.30               | 68,177.30                             |               | 68,034.01           | 0.00                          | 143.29                                   |                                                 |

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## UBS FINANCIAL SERVICES INC.

Account PF 32219

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box B checked

## 1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity   | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|------------|--------------------------|-----------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| U S TREASURY INFL NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol:   |            |                          |                                         |               |                     |                               |                                          |                        |
| 2 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS. |            |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                       | 6,000,000  | 5,777.34                 | 5,777.34                                | 11/12/13      | 5,732.34            | 0.00                          | 45.00                                    | Sale                   |
|                                                                                       | 6,000,000  | 5,777.35                 | 5,777.35                                | 12/30/13      | 5,676.56            | 0.00                          | 100.79                                   | Sale                   |
| 09/25/14                                                                              | 12,000,000 | 11,554.69                | 11,554.69                               | VARIOUS       | 11,408.90           | 0.00                          | 145.79                                   | Total of 2 lots        |
| U S TREASURY INFL NOTE RATE 2.00 % MATURES 02/15/2023 / CUSIP: 912828UN8 / Symbol:    |            |                          |                                         |               |                     |                               |                                          |                        |
| 4 tax lots for 02/10/14. Total proceeds (and cost when required) reported to the IRS. |            |                          |                                         |               |                     |                               |                                          |                        |
|                                                                                       | 18,000,000 | 17,161.88                | 17,161.88                               | 03/12/13      | 17,969.76           | 0.00                          | -807.88                                  | Sale                   |
|                                                                                       | 47,000,000 | 44,811.56                | 44,811.56                               | 04/02/13      | 47,526.99           | 0.00                          | -2,715.43                                | Sale                   |
|                                                                                       | 6,000,000  | 5,720.62                 | 5,720.62                                | 08/13/13      | 5,666.02            | 0.00                          | 54.60                                    | Sale                   |
|                                                                                       | 6,000,000  | 5,720.63                 | 5,720.63                                | 11/12/13      | 5,655.94            | 0.00                          | 64.69                                    | Sale                   |
| 02/10/14                                                                              | 77,000,000 | 73,414.69                | 73,414.69                               | VARIOUS       | 76,818.71           | 0.00                          | -3,404.02                                | Total of 4 lots        |
| Totals:                                                                               |            | 219,863.94               | 219,863.94                              |               | 222,417.58          | 0.00                          | -2,553.64                                |                        |

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

| 1c- Date sold or disposed                                                              | Quantity    | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------|-------------|--------------------------|-----------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| LLIANZ FIXED INCOME SHARES- SERIES C / CUSIP: 01882B205 / Symbol:                      |             |                          |                                         |               |                     |                               |                                          |                        |
| 06/13/14                                                                               | 1,165,000   | 14,364.45                | 14,364.45                               | 10/10/06      | 13,502.35           | 0.00                          | 862.10                                   | Sale                   |
| FNMA PL AH3645 04.0000 DUE 02/01/41 FACTOR 0.429775870000 / CUSIP: 3138A5BP6 / Symbol: |             |                          |                                         |               |                     |                               |                                          |                        |
| 10/16/14                                                                               | 131,000,000 | 61,770.66                | 61,770.66                               | 04/09/12      | 61,335.53           | 0.00                          | 435.13                                   | Sale<br>Note: 25       |
| FNMA PL AL0789 04.0000 DUE 09/01/41 FACTOR 0.614121320000 / CUSIP: 3138EG2X1 / Symbol: |             |                          |                                         |               |                     |                               |                                          |                        |
| 04/07/14                                                                               | 3,000,000   | 2,115.20                 | 2,115.20                                | 10/12/12      | 2,195.21            | 0.00                          | -80.01                                   | Sale<br>Note: 25       |
| FNMA PL AS0415 04.0000 DUE 09/01/43 FACTOR 0.875235460000 / CUSIP: 3138W9N97 / Symbol: |             |                          |                                         |               |                     |                               |                                          |                        |
| 10/16/14                                                                               | 9,000,000   | 8,793.76                 | 8,793.76                                | 09/18/13      | 8,584.05            | 0.00                          | 209.71                                   | Sale<br>Note: 25       |

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UBS FINANCIAL SERVICES INC.

Account PF:32219

2014. 1099-B\*

OMB No. 1545-0715

# Proceeds from Broker and Barter Exchange Transactions (continued)

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

### LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

#### 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                           | Quantity    | 6- Reported (Gross) Net | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information                          |
|-----------------------------------------------------------------------------------------------------|-------------|-------------------------|------------------------------|---------------------|-------------------------------|------------------------------------------|-------------------------------------------------|
| FNMA PL AB7079 03.0000 DUE 11/01/42 FACTOR 0.892459040000 / CUSIP: 31417D2M4 / Symbol: 02/07/14     | 72,000.000  | 65,947.36               | 11/07/12                     | 71,450.04           | 0.00                          | -5,502.68                                | Sale<br>Note: 25                                |
| FNMA PL AD8033 04.0000 DUE 08/01/40 FACTOR 0.345777180000 / CUSIP: 31418V4T6 / Symbol: 10/16/14     | 107,000.000 | 40,812.34               | 08/07/12                     | 41,033.95           | 0.00                          | -221.61                                  | Sale<br>Note: 25                                |
| FNMA PL AE0949 04.0000 DUE 02/01/41 FACTOR 0.472893430000 / CUSIP: 31419BBT1 / Symbol: 04/07/14     | 1,000.000   | 542.69                  | 02/13/13                     | 552.87              | 0.00                          | -10.18                                   | Sale<br>Note: 25                                |
| U S TREASURY INFL NOTE INFLATION PROTECTION NOTE RATE 0.125 % / CUSIP: 912828TE0 / Symbol: 02/19/14 | 1,000.000   | 995.56                  | 07/24/12                     | 1,099.28            | 0.00                          | -103.72                                  | Sale<br>Note: 25<br>Original basis: \$1,084.08  |
| 2 tax lots for 07/08/14 Total proceeds (and cost when required) reported to the IRS.                |             |                         |                              |                     |                               |                                          |                                                 |
|                                                                                                     | 21,000.000  | 21,731.28               | 07/24/12                     | 23,504.58           | 0.00                          | -1,773.30                                | Sale<br>Note: 25<br>Original basis: \$22,768.96 |
|                                                                                                     | 26,000.000  | 26,905.39               | 07/24/12                     | 29,096.72           | 0.00                          | -2,191.33                                | Sale<br>Note: 25<br>Original basis: \$28,186.08 |
| 07/08/14                                                                                            | 47,000.000  | 48,636.67               | VARIOUS                      | 52,601.30           | 0.00                          | -3,964.63                                | Total of 2 lots                                 |
| Security total:                                                                                     |             |                         |                              |                     |                               |                                          |                                                 |
|                                                                                                     |             | 49,632.23               |                              | 53,700.58           | 0.00                          | -4,068.35                                |                                                 |
| U S TREASURY INFL NOTE RATE 0.75 % MATURES 10/31/2017 / CUSIP: 912828TW0 / Symbol:                  |             |                         |                              |                     |                               |                                          |                                                 |
| 2 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS                |             |                         |                              |                     |                               |                                          |                                                 |
|                                                                                                     | 6,000.000   | 5,911.41                | 11/21/12                     | 6,014.42            | 0.00                          | -103.01                                  | Sale                                            |
|                                                                                                     | 93,000.000  | 91,626.79               | 12/13/12                     | 93,276.80           | 0.00                          | -1,650.01                                | Sale                                            |
| 04/08/14                                                                                            | 99,000.000  | 97,538.20               | VARIOUS                      | 99,291.22           | 0.00                          | -1,753.02                                | Total of 2 lots                                 |
| U S TREASURY INFL NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol:                 |             |                         |                              |                     |                               |                                          |                                                 |
|                                                                                                     | 41,000.000  | 39,771.60               | 01/09/13                     | 40,631.64           | 0.00                          | -860.04                                  | Sale                                            |
| 08/19/14                                                                                            | 52,000.000  | 50,070.31               | 01/09/13                     | 51,532.81           | 0.00                          | -1,462.50                                | Sale                                            |

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UBS FINANCIAL SERVICES INC.

Account PF 32219

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## LONG-TERM TRANSACTIONS

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                                    | Quantity   | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|----------------------------------------------------------------------------------------------|------------|--------------------------|-------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| U S TREASURY INFL NOTE RATE 1.125 % MATURES 12/31/2019 / CUSIP: 912828UF5 / Symbol: (cont'd) | 9,000,000  | 8,666.02                 | 8,666.02                      | 08/13/13      | 8,554.57            | 0.00                          | 111.45                                   | Sale                   |
|                                                                                              | 3,000,000  | 2,888.67                 | 2,888.67                      | 09/17/13      | 2,834.53            | 0.00                          | 54.14                                    | Sale                   |
| 09/25/14                                                                                     | 64,000,000 | 61,625.00                | 61,625.00                     | VARIOUS       | 62,921.91           | 0.00                          | -1,296.91                                | Total of 3 lots        |
| Security total                                                                               |            | 101,396.60               | 101,396.60                    |               | 103,553.55          | 0.00                          | -2,156.95                                |                        |
| Totals:                                                                                      |            | 442,913.49               | 442,913.49                    |               | 455,199.35          | 0.00                          | -12,285.86                               |                        |

## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B

## 2-SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (N)et | 1d- Proceeds & 6- Reported (Gross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| AMAG PHARMACEUTICALS INC / CUSIP: 00163U106 / Symbol: AMAG                            |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 108.21                   | 92.97                                   | 10/21/14          |                         | 0.00                                  | 15.24                                    | Sale                   |
|                                                                                       | 7,000    | 252.48                   | 217.26                                  | 10/21/14          |                         | 0.00                                  | 35.22                                    | Sale                   |
| 11/24/14                                                                              | 10,000   | 360.69                   | 310.23                                  | VARIOUS           |                         | 0.00                                  | 50.46                                    | Total of 2 lots        |
| AARONS INC CL A / CUSIP: 002535300 / Symbol: AAN                                      |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 08/01/14                                                                              | 28,000   | 738.50                   | 938.92                                  | 05/12/14          |                         | 0.00                                  | -200.42                                  | Sale                   |
| 2 tax lots for 08/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 26.33                    | 33.54                                   | 05/12/14          |                         | 0.00                                  | -7.21                                    | Sale                   |
|                                                                                       | 2,000    | 52.70                    | 67.06                                   | 05/12/14          |                         | 0.00                                  | -14.36                                   | Sale                   |
| 08/05/14                                                                              | 3,000    | 79.03                    | 100.60                                  | VARIOUS           |                         | 0.00                                  | -21.57                                   | Total of 2 lots        |
| 3 tax lots for 08/06/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 129.09                   | 167.66                                  | 05/12/14          |                         | 0.00                                  | -38.57                                   | Sale                   |
|                                                                                       | 15,000   | 390.25                   | 503.00                                  | 05/12/14          |                         | 0.00                                  | -112.75                                  | Sale                   |
|                                                                                       | 16,000   | 416.18                   | 536.52                                  | 05/12/14          |                         | 0.00                                  | -120.34                                  | Sale                   |
| 08/06/14                                                                              | 36,000   | 935.52                   | 1,207.18                                | VARIOUS           |                         | 0.00                                  | -271.66                                  | Total of 3 lots        |
| 2 tax lots for 08/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 75.48                    | 99.32                                   | 05/12/14          |                         | 0.00                                  | -23.84                                   | Sale                   |
|                                                                                       | 27,000   | 679.30                   | 905.38                                  | 05/12/14          |                         | 0.00                                  | -226.08                                  | Sale                   |
| 08/07/14                                                                              | 30,000   | 754.78                   | 1,004.70                                | VARIOUS           |                         | 0.00                                  | -249.92                                  | Total of 2 lots        |
| 08/08/14                                                                              | 15,000   | 377.07                   | 496.58                                  | 05/12/14          |                         | 0.00                                  | -119.51                                  | Sale                   |
| 08/11/14                                                                              | 1,000    | 25.13                    | 32.91                                   | 05/13/14          |                         | 0.00                                  | -7.78                                    | Sale                   |
|                                                                                       |          | 2,910.03                 | 3,780.89                                |                   |                         | 0.00                                  | -870.86                                  |                        |
| Security total:                                                                       |          |                          |                                         |                   |                         |                                       |                                          |                        |
| ABERCROMBIE & FITCH CO CL A / CUSIP: 002896207 / Symbol: ANF                          |          |                          |                                         |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 6,000    | 243.11                   | 231.60                                  | 08/23/13          |                         | 0.00                                  | 11.51                                    | Sale                   |
| 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 113.68                   | 101.99                                  | 10/07/13          |                         | 0.00                                  | 11.69                                    | Sale                   |
|                                                                                       | 5,000    | 190.87                   | 169.97                                  | 10/07/13          |                         | 0.00                                  | 20.90                                    | Sale                   |
|                                                                                       | 12,000   | 454.55                   | 407.93                                  | 10/07/13          |                         | 0.00                                  | 46.62                                    | Sale                   |
| 09/24/14                                                                              | 20,000   | 759.10                   | 679.89                                  | VARIOUS           |                         | 0.00                                  | 79.21                                    | Total of 3 lots        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 9949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ABERCROMBIE & FITCH CO CL A / CUSIP: 002896207 / Symbol: ANF (cont'd)                 |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 5 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000           | 113.32                    | 101.99                                | 10/07/13          | 101.99                  | 0.00                                  | 11.33                                    | Sale                   |
|                                                                                       | 7,000           | 263.38                    | 237.96                                | 10/07/13          | 237.96                  | 0.00                                  | 25.42                                    | Sale                   |
|                                                                                       | 15,000          | 566.59                    | 509.91                                | 10/07/13          | 509.91                  | 0.00                                  | 56.68                                    | Sale                   |
|                                                                                       | 1,000           | 37.63                     | 33.53                                 | 10/08/13          | 33.53                   | 0.00                                  | 4.10                                     | Sale                   |
|                                                                                       | 3,000           | 112.88                    | 107.82                                | 01/29/14          | 107.82                  | 0.00                                  | 5.06                                     | Sale                   |
| 09/25/14                                                                              | 29,000          | 1,093.80                  | 991.21                                | VARIOUS           | 991.21                  | 0.00                                  | 102.59                                   | Total of 5 lots        |
| 3 tax lots for 09/26/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 13,000          | 478.64                    | 467.24                                | 01/29/14          | 467.24                  | 0.00                                  | 11.40                                    | Sale                   |
|                                                                                       | 14,000          | 513.29                    | 503.18                                | 01/29/14          | 503.18                  | 0.00                                  | 10.11                                    | Sale                   |
|                                                                                       | 13,000          | 476.62                    | 542.03                                | 03/05/14          | 542.03                  | 0.00                                  | -65.41                                   | Sale                   |
| 09/26/14                                                                              | 40,000          | 1,468.55                  | 1,512.45                              | VARIOUS           | 1,512.45                | 0.00                                  | -43.90                                   | Total of 3 lots        |
| 09/29/14                                                                              | 1,000           | 37.14                     | 41.69                                 | 03/05/14          | 41.69                   | 0.00                                  | -4.55                                    | Sale                   |
|                                                                                       | Security total: | 3,601.70                  | 3,456.84                              |                   | 3,456.84                | 0.00                                  | 144.86                                   |                        |
| ACI WORLDWIDE INC / CUSIP: 004498101 / Symbol: ACIW                                   |                 |                           |                                       |                   |                         |                                       |                                          |                        |
| 4 tax lots for 02/10/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000           | 166.08                    | 138.35                                | 03/04/13          | 138.35                  | 0.00                                  | 27.73                                    | Sale                   |
|                                                                                       | 5,000           | 276.95                    | 230.58                                | 03/04/13          | 230.58                  | 0.00                                  | 46.37                                    | Sale                   |
|                                                                                       | 7,000           | 387.73                    | 321.98                                | 03/04/13          | 321.98                  | 0.00                                  | 65.75                                    | Sale                   |
|                                                                                       | 4,000           | 221.43                    | 185.59                                | 03/05/13          | 185.59                  | 0.00                                  | 35.84                                    | Sale                   |
| 02/10/14                                                                              | 19,000          | 1,052.19                  | 876.50                                | VARIOUS           | 876.50                  | 0.00                                  | 175.69                                   | Total of 4 lots        |
| 02/11/14                                                                              | 1,000           | 55.34                     | 46.84                                 | 03/05/13          | 46.84                   | 0.00                                  | 8.50                                     | Sale                   |
| 2 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000           | 59.66                     | 46.84                                 | 03/05/13          | 46.84                   | 0.00                                  | 12.82                                    | Sale                   |
|                                                                                       | 2,000           | 119.33                    | 94.06                                 | 03/06/13          | 94.06                   | 0.00                                  | 25.27                                    | Sale                   |
| 02/20/14                                                                              | 3,000           | 178.99                    | 140.90                                | VARIOUS           | 140.90                  | 0.00                                  | 38.09                                    | Total of 2 lots        |
| 4 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000           | 177.76                    | 141.10                                | 03/06/13          | 141.10                  | 0.00                                  | 36.66                                    | Sale                   |
|                                                                                       | 4,000           | 237.77                    | 184.98                                | 03/07/13          | 184.98                  | 0.00                                  | 52.79                                    | Sale                   |
|                                                                                       | 6,000           | 355.53                    | 277.67                                | 03/07/13          | 277.67                  | 0.00                                  | 77.86                                    | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## Proceeds from Broker and Barter Exchange Transactions

(continued)

Account: PF 00124

OMB No. 1545-0715

**55-COVERED tax lots 3-Basis is reported to the IRS\*\***

Report on Form 8949, Part I, with Box A checked

11a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & 6- Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ACI WORLDWIDE INC / CUSIP: 004498101 / Symbol: ACIW (cont'd)                          |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 356.66                  |                                        | 03/07/13          | 277.67                  | 0.00                                  | 78.99                  | Sale                                     |
| 02/21/14                                                                              | 19,000   | 1,127.72                |                                        | VARIOUS           | 881.42                  | 0.00                                  | 246.30                 | Total of 4 lots                          |
| 02/24/14                                                                              | 1,000    | 59.20                   |                                        | 03/07/13          | 46.25                   | 0.00                                  | 12.95                  | Sale                                     |
| 4 tax lots for 03/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 120.58                  |                                        | 03/25/13          | 96.06                   | 0.00                                  | 24.52                  | Sale                                     |
|                                                                                       | 1,000    | 60.28                   |                                        | 04/03/13          | 46.76                   | 0.00                                  | 13.52                  | Sale                                     |
|                                                                                       | 2,000    | 120.58                  |                                        | 04/05/13          | 89.68                   | 0.00                                  | 30.90                  | Sale                                     |
|                                                                                       | 1,000    | 60.29                   |                                        | 04/08/13          | 44.49                   | 0.00                                  | 15.80                  | Sale                                     |
| 03/13/14                                                                              | 6,000    | 361.73                  |                                        | VARIOUS           | 276.99                  | 0.00                                  | 84.74                  | Total of 4 lots                          |
| 2 tax lots for 03/14/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 240.47                  |                                        | 08/12/13          | 204.41                  | 0.00                                  | 36.06                  | Sale                                     |
|                                                                                       | 9,000    | 541.44                  |                                        | 08/12/13          | 459.93                  | 0.00                                  | 81.51                  | Sale                                     |
| 03/14/14                                                                              | 13,000   | 781.91                  |                                        | VARIOUS           | 664.34                  | 0.00                                  | 117.57                 | Total of 2 lots                          |
| 3 tax lots for 03/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 180.44                  |                                        | 08/12/13          | 154.53                  | 0.00                                  | 25.91                  | Sale                                     |
|                                                                                       | 3,000    | 180.76                  |                                        | 08/12/13          | 153.31                  | 0.00                                  | 27.45                  | Sale                                     |
|                                                                                       | 5,000    | 300.73                  |                                        | 08/12/13          | 255.52                  | 0.00                                  | 45.21                  | Sale                                     |
| 03/17/14                                                                              | 11,000   | 661.93                  |                                        | VARIOUS           | 563.36                  | 0.00                                  | 98.57                  | Total of 3 lots                          |
| 3 tax lots for 03/18/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 60.70                   |                                        | 08/12/13          | 51.51                   | 0.00                                  | 9.19                   | Sale                                     |
|                                                                                       | 5,000    | 303.02                  |                                        | 08/12/13          | 257.56                  | 0.00                                  | 45.46                  | Sale                                     |
|                                                                                       | 7,000    | 423.49                  |                                        | 08/13/13          | 354.57                  | 0.00                                  | 68.92                  | Sale                                     |
| 03/18/14                                                                              | 13,000   | 787.21                  |                                        | VARIOUS           | 663.64                  | 0.00                                  | 123.57                 | Total of 3 lots                          |
| 3 tax lots for 03/19/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 60.40                   |                                        | 08/13/13          | 50.65                   | 0.00                                  | 9.75                   | Sale                                     |
|                                                                                       | 3,000    | 181.20                  |                                        | 09/24/13          | 160.29                  | 0.00                                  | 20.91                  | Sale                                     |
|                                                                                       | 1,000    | 60.40                   |                                        | 09/25/13          | 53.74                   | 0.00                                  | 6.66                   | Sale                                     |
| 03/19/14                                                                              | 5,000    | 302.00                  |                                        | VARIOUS           | 264.68                  | 0.00                                  | 37.32                  | Total of 3 lots                          |
| Security total: 5,368.22 943.30                                                       |          |                         |                                        |                   |                         |                                       |                        |                                          |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|-----------------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ALBANY MOLECULAR RESEARCH / CUSIP: 012423109 / Symbol: AMRI                           |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 2 tax lots for 11/24/14 Total proceeds (and cost when required) reported to the IRS   |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 11/24/14                                                                              | 2,000           | 31.86                     | 31.86                                    | 11/07/14          | 33.21                   | 1.35 W                                |                        | 0.00 Sale                                |
|                                                                                       | 9,000           | 143.36                    | 143.36                                   | 11/10/14          | 153.69                  | 10.33 W                               |                        | 0.00 Sale                                |
|                                                                                       | 11,000          | 175.22                    | 175.22                                   | VARIOUS           | 186.90                  | 11.68 W                               |                        | 0.00 Total of 2 lots                     |
| ALERE INC COM / CUSIP: 01449J105 / Symbol: ALR                                        |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 09/24/14                                                                              | 2,000           | 80.09                     | 80.09                                    | 01/23/14          | 73.80                   | 0.00                                  |                        | 6.29 Sale                                |
|                                                                                       | 4,000           | 160.20                    | 160.20                                   | 01/23/14          | 147.97                  | 0.00                                  |                        | 12.23 Sale                               |
|                                                                                       | 6,000           | 240.30                    | 240.30                                   | 01/23/14          | 222.50                  | 0.00                                  |                        | 17.80 Sale                               |
|                                                                                       | 12,000          | 480.59                    | 480.59                                   | VARIOUS           | 444.27                  | 0.00                                  |                        | 36.32 Total of 3 lots                    |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 10/28/14                                                                              | 10,000          | 391.90                    | 391.90                                   | 01/23/14          | 369.91                  | 0.00                                  |                        | 21.99 Sale                               |
|                                                                                       | 2,000           | 78.38                     | 78.38                                    | 01/24/14          | 72.97                   | 0.00                                  |                        | 5.41 Sale                                |
|                                                                                       | 12,000          | 470.28                    | 470.28                                   | VARIOUS           | 442.88                  | 0.00                                  |                        | 27.40 Total of 2 lots                    |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 11/24/14                                                                              | 7,000           | 279.72                    | 279.72                                   | 01/24/14          | 257.13                  | 0.00                                  |                        | 22.59 Sale                               |
|                                                                                       | 8,000           | 319.67                    | 319.67                                   | 01/24/14          | 291.90                  | 0.00                                  |                        | 27.77 Sale                               |
|                                                                                       | 15,000          | 599.39                    | 599.39                                   | VARIOUS           | 549.03                  | 0.00                                  |                        | 50.36 Total of 2 lots                    |
|                                                                                       | Security total: | 1,550.26                  | 1,550.26                                 |                   | 1,436.18                | 0.00                                  |                        | 114.08                                   |
| URG CORP NEW / CUSIP: 03232P405 / Symbol: AMSG                                        |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 3 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.  |                 |                           |                                          |                   |                         |                                       |                        |                                          |
| 09/24/14                                                                              | 10,000          | 508.19                    | 508.19                                   | 06/02/14          | 456.29                  | 0.00                                  |                        | 51.90 Sale                               |
|                                                                                       | 2,000           | 101.64                    | 101.64                                   | 06/03/14          | 92.51                   | 0.00                                  |                        | 9.13 Sale                                |
|                                                                                       | 5,000           | 254.09                    | 254.09                                   | 06/03/14          | 234.39                  | 0.00                                  |                        | 19.70 Sale                               |
|                                                                                       | 17,000          | 863.92                    | 863.92                                   | VARIOUS           | 783.19                  | 0.00                                  |                        | 80.73 Total of 3 lots                    |
| 10/28/14                                                                              | 16,000          | 816.15                    | 816.15                                   | 06/03/14          | 740.06                  | 0.00                                  |                        | 76.09 Sale                               |
| 11/24/14                                                                              | 19,000          | 973.16                    | 973.16                                   | 06/03/14          | 878.82                  | 0.00                                  |                        | 94.34 Sale                               |
|                                                                                       | Security total: | 2,653.23                  | 2,653.23                                 |                   | 2,402.07                | 0.00                                  |                        | 251.16                                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account: PF-00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2-SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)/ross (Net) | 1d- Proceeds & Reported (G)/ross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|----------------------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ARES CAPITAL CORP / CUSIP: 04010L103 / Symbol: ARCC                                   |          |                            |                                        |                   |                         |                                       |                                          |                        |
| 2 tax lots for 07/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                                                       | 13 000   | 223.68                     | 223.68                                 | 08/14/13          | 225.19                  | 0.00                                  | -1.51                                    | Sale                   |
|                                                                                       | 22 000   | 378.54                     | 378.54                                 | 08/15/13          | 377.84                  | 0.00                                  | 0.70                                     | Sale                   |
| 07/10/14                                                                              | 35 000   | 602.22                     | 602.22                                 | VARIOUS           | 603.03                  | 0.00                                  | -0.81                                    | Total of 2 lots        |
| 07/11/14                                                                              | 1 000    | 17.24                      | 17.24                                  | 08/16/13          | 17.23                   | 0.00                                  | 0.01                                     | Sale                   |
| Security total:                                                                       |          | 619.46                     | 619.46                                 |                   | 620.26                  | 0.00                                  | -0.80                                    |                        |
| ATWOOD OCEANICS INC COMMON STOCK / CUSIP: 050095108 / Symbol: ATW                     |          |                            |                                        |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/03/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                                                       | 5 000    | 226.86                     | 226.86                                 | 06/04/13          | 270.58                  | 0.00                                  | -43.72                                   | Sale                   |
|                                                                                       | 29 000   | 1,315.82                   | 1,315.82                               | 06/04/13          | 1,566.36                | 0.00                                  | -250.54                                  | Sale                   |
| 02/03/14                                                                              | 34 000   | 1,542.68                   | 1,542.68                               | VARIOUS           | 1,836.94                | 0.00                                  | -294.26                                  | Total of 2 lots        |
| 2 tax lots for 02/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                                                       | 1 000    | 45.73                      | 45.73                                  | 06/04/13          | 54.01                   | 0.00                                  | -8.28                                    | Sale                   |
|                                                                                       | 14 000   | 640.29                     | 640.29                                 | 06/05/13          | 774.84                  | 0.00                                  | -134.55                                  | Sale                   |
| 02/07/14                                                                              | 15 000   | 686.02                     | 686.02                                 | VARIOUS           | 828.85                  | 0.00                                  | -142.83                                  | Total of 2 lots        |
| 02/10/14                                                                              | 4 000    | 181.25                     | 181.25                                 | 06/05/13          | 219.13                  | 0.00                                  | -37.88                                   | Sale                   |
| 02/11/14                                                                              | 1 000    | 45.79                      | 45.79                                  | 06/05/13          | 54.78                   | 0.00                                  | -8.99                                    | Sale                   |
| 3 tax lots for 05/01/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                                                       | 2 000    | 96.36                      | 96.36                                  | 06/05/13          | 109.57                  | 0.00                                  | -13.21                                   | Sale                   |
|                                                                                       | 2 000    | 96.36                      | 96.36                                  | 06/05/13          | 110.90                  | 0.00                                  | -14.54                                   | Sale                   |
|                                                                                       | 1 000    | 48.18                      | 48.18                                  | 06/06/13          | 54.35                   | 0.00                                  | -6.17                                    | Sale                   |
| 05/01/14                                                                              | 5 000    | 240.90                     | 240.90                                 | VARIOUS           | 274.82                  | 0.00                                  | -33.92                                   | Total of 3 lots        |
| 3 tax lots for 05/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                                                       | 4 000    | 193.67                     | 193.67                                 | 06/06/13          | 217.42                  | 0.00                                  | -23.75                                   | Sale                   |
|                                                                                       | 1 000    | 48.42                      | 48.42                                  | 06/07/13          | 55.15                   | 0.00                                  | -6.73                                    | Sale                   |
|                                                                                       | 1 000    | 48.42                      | 48.42                                  | 06/11/13          | 54.88                   | 0.00                                  | -6.46                                    | Sale                   |
| 05/02/14                                                                              | 6 000    | 290.51                     | 290.51                                 | VARIOUS           | 327.45                  | 0.00                                  | -36.94                                   | Total of 3 lots        |
| 05/05/14                                                                              | 11 000   | 525.93                     | 525.93                                 | 06/11/13          | 603.69                  | 0.00                                  | -77.76                                   | Sale                   |
| 2 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                                          |                        |
|                                                                                       | 4 000    | 208.03                     | 208.03                                 | 07/01/13          | 209.80                  | 0.00                                  | -1.77                                    | Sale                   |

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B

(continued)

OMB No. 1545-0715

# **Proceeds from Broker and Barter Exchange Transactions**

## **2-SHORT-TERM TRANSACTIONS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

## **5- COVERED tax lots 3- Basis is reported to the IRS\*\***

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ATWOOD OCEANICS INC COMMON STOCK / CUSIP: 050095108 / Symbol: ATW (cont'd)            | 5,000    | 259.24                   | 259.33                               | 07/01/13          | 262.26                  | 0.00                                  | -3.02                  | Sale                                     |
| 06/26/14                                                                              | 9,000    | 467.27                   | 467.27                               | VARIOUS           | 472.06                  | 0.00                                  | -4.79                  | Total of 2 lots                          |
| 5 tax lots for 06/27/14 Total proceeds (and cost when required) reported to the IRS.  |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    | 259.33                   | 259.33                               | 07/01/13          | 262.26                  | 0.00                                  | -2.93                  | Sale                                     |
|                                                                                       | 5,000    | 259.33                   | 259.33                               | 07/03/13          | 262.44                  | 0.00                                  | -3.11                  | Sale                                     |
|                                                                                       | 2,000    | 103.76                   | 103.76                               | 07/09/13          | 110.86                  | 0.00                                  | -7.10                  | Sale                                     |
|                                                                                       | 16,000   | 829.86                   | 829.86                               | 07/09/13          | 886.87                  | 0.00                                  | -57.01                 | Sale                                     |
|                                                                                       | 5,000    | 259.39                   | 259.39                               | 11/11/13          | 286.48                  | 0.00                                  | -27.09                 | Sale                                     |
| 06/27/14                                                                              | 33,000   | 1,711.67                 | 1,711.67                             | VARIOUS           | 1,808.91                | 0.00                                  | -97.24                 | Total of 5 lots                          |
| 4 tax lots for 06/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 313.41                   | 313.41                               | 11/11/13          | 343.78                  | 0.00                                  | -30.37                 | Sale                                     |
|                                                                                       | 2,000    | 104.16                   | 104.16                               | 11/12/13          | 113.94                  | 0.00                                  | -9.78                  | Sale                                     |
|                                                                                       | 3,000    | 156.70                   | 156.70                               | 11/12/13          | 170.90                  | 0.00                                  | -14.20                 | Sale                                     |
|                                                                                       | 8,000    | 416.64                   | 416.64                               | 12/19/13          | 404.02                  | 0.00                                  | 12.62                  | Sale                                     |
| 06/30/14                                                                              | 19,000   | 990.91                   | 990.91                               | VARIOUS           | 1,032.64                | 0.00                                  | -41.73                 | Total of 4 lots                          |
| 07/01/14                                                                              | 1,000    | 52.83                    | 52.83                                | 12/19/13          | 50.50                   | 0.00                                  | 2.33                   | Sale                                     |
|                                                                                       |          | 6,735.76                 | 6,735.76                             |                   | 7,509.77                | 0.00                                  | -774.01                |                                          |
| BARNES GROUP INC COMMON STOCK / CUSIP: 067806109 / Symbol: B                          |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    | 193.03                   | 193.03                               | 02/26/14          | 187.71                  | 0.00                                  | 5.32                   | Sale                                     |
|                                                                                       | 5,000    | 193.03                   | 193.03                               | 02/26/14          | 187.87                  | 0.00                                  | 5.16                   | Sale                                     |
| 5/10/14                                                                               | 10,000   | 386.06                   | 386.06                               | VARIOUS           | 375.58                  | 0.00                                  | 10.48                  | Total of 2 lots                          |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 8,000    | 261.19                   | 261.19                               | 02/26/14          | 300.59                  | 0.00                                  | -39.40                 | Sale                                     |
|                                                                                       | 7,000    | 228.55                   | 228.55                               | 02/27/14          | 265.88                  | 0.00                                  | -37.33                 | Sale                                     |
| 09/24/14                                                                              | 15,000   | 489.74                   | 489.74                               | VARIOUS           | 566.47                  | 0.00                                  | -76.73                 | Total of 2 lots                          |
| 4 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 31.79                    | 31.79                                | 02/27/14          | 37.88                   | 0.00                                  | -6.09                  | Sale                                     |
|                                                                                       | 2,000    | 63.41                    | 63.41                                | 02/27/14          | 75.96                   | 0.00                                  | -12.55                 | Sale                                     |
|                                                                                       | 6,000    | 189.93                   | 189.93                               | 02/27/14          | 227.30                  | 0.00                                  | -37.37                 | Sale                                     |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF-00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                             | Quantity                                                                               | 6- Reported (G)/ross (N)/et | 1d- Proceeds & Reported (G)/ross (N)/et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------|----------------------------------------------------------------------------------------|-----------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BARNES GROUP INC COMMON STOCK / CUSIP: 067806109 / Symbol: B (cont'd) |                                                                                        |                             |                                         |                   |                         |                                       |                                          |                        |
| 09/25/14                                                              | 10,000                                                                                 | 316.56                      | 316.56                                  | 02/28/14          | 388.01                  | 0.00                                  | -71.45                                   | Sale                   |
|                                                                       | 19,000                                                                                 | 601.69                      | 601.69                                  | VARIOUS           | 729.15                  | 0.00                                  | -127.46                                  | Total of 4 lots        |
|                                                                       | 3 tax lots for 09/26/14. Total proceeds (and cost when required) reported to the IRS.  |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                       | 1,000                                                                                  | 31.54                       | 31.54                                   | 02/28/14          | 38.80                   | 0.00                                  | -7.26                                    | Sale                   |
|                                                                       | 2,000                                                                                  | 63.07                       | 63.07                                   | 02/28/14          | 77.82                   | 0.00                                  | -14.75                                   | Sale                   |
|                                                                       | 3,000                                                                                  | 94.64                       | 94.64                                   | 02/28/14          | 116.40                  | 0.00                                  | -21.76                                   | Sale                   |
| 09/26/14                                                              | 6,000                                                                                  | 189.25                      | 189.25                                  | VARIOUS           | 233.02                  | 0.00                                  | -43.77                                   | Total of 3 lots        |
|                                                                       | 4 tax lots for 09/29/14. Total proceeds (and cost when required) reported to the IRS.  |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                       | 5,000                                                                                  | 154.89                      | 154.89                                  | 02/28/14          | 194.54                  | 0.00                                  | -39.65                                   | Sale                   |
|                                                                       | 9,000                                                                                  | 278.76                      | 278.76                                  | 02/28/14          | 350.17                  | 0.00                                  | -71.41                                   | Sale                   |
|                                                                       | 8,000                                                                                  | 247.82                      | 247.82                                  | 03/03/14          | 303.58                  | 0.00                                  | -55.76                                   | Sale                   |
|                                                                       | 2,000                                                                                  | 61.95                       | 61.95                                   | 03/04/14          | 77.96                   | 0.00                                  | -16.01                                   | Sale                   |
| 09/29/14                                                              | 24,000                                                                                 | 743.42                      | 743.42                                  | VARIOUS           | 926.25                  | 0.00                                  | -182.83                                  | Total of 4 lots        |
|                                                                       | 4 tax lots for 09/30/14. Total proceeds (and cost when required) reported to the IRS.  |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                       | 1,000                                                                                  | 30.53                       | 30.53                                   | 03/04/14          | 38.98                   | 0.00                                  | -8.45                                    | Sale                   |
|                                                                       | 1,000                                                                                  | 30.49                       | 30.49                                   | 03/05/14          | 38.72                   | 0.00                                  | -8.23                                    | Sale                   |
|                                                                       | 9,000                                                                                  | 274.80                      | 274.80                                  | 03/05/14          | 348.47                  | 0.00                                  | -73.67                                   | Sale                   |
|                                                                       | 2,000                                                                                  | 60.97                       | 60.97                                   | 03/06/14          | 77.50                   | 0.00                                  | -16.53                                   | Sale                   |
| 09/30/14                                                              | 13,000                                                                                 | 396.79                      | 396.79                                  | VARIOUS           | 503.67                  | 0.00                                  | -106.88                                  | Total of 4 lots        |
|                                                                       | 10 tax lots for 10/01/14. Total proceeds (and cost when required) reported to the IRS. |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                       | 2,000                                                                                  | 59.33                       | 59.33                                   | 03/06/14          | 77.50                   | 0.00                                  | -18.17                                   | Sale                   |
|                                                                       | 4,000                                                                                  | 119.28                      | 119.28                                  | 03/07/14          | 154.05                  | 0.00                                  | -34.77                                   | Sale                   |
|                                                                       | 8,000                                                                                  | 237.33                      | 237.33                                  | 03/07/14          | 308.11                  | 0.00                                  | -70.78                                   | Sale                   |
|                                                                       | 3,000                                                                                  | 88.89                       | 88.89                                   | 03/10/14          | 116.44                  | 0.00                                  | -27.55                                   | Sale                   |
|                                                                       | 4,000                                                                                  | 118.53                      | 118.53                                  | 03/10/14          | 155.59                  | 0.00                                  | -37.06                                   | Sale                   |
|                                                                       | 8,000                                                                                  | 237.05                      | 237.05                                  | 03/11/14          | 309.17                  | 0.00                                  | -72.12                                   | Sale                   |
|                                                                       | 5,000                                                                                  | 148.16                      | 148.16                                  | 03/12/14          | 192.54                  | 0.00                                  | -44.38                                   | Sale                   |
|                                                                       | 3,000                                                                                  | 88.90                       | 88.90                                   | 03/13/14          | 115.53                  | 0.00                                  | -26.63                                   | Sale                   |
|                                                                       | 6,000                                                                                  | 177.79                      | 177.79                                  | 03/13/14          | 231.48                  | 0.00                                  | -53.69                                   | Sale                   |
|                                                                       | 2,000                                                                                  | 59.26                       | 59.26                                   | 03/14/14          | 76.83                   | 0.00                                  | -17.57                                   | Sale                   |
| 10/01/14                                                              | 45,000                                                                                 | 1,334.52                    | 1,334.52                                | VARIOUS           | 1,737.24                | 0.00                                  | -402.72                                  | Total of 10 lots       |
| 10/02/14                                                              | 1,000                                                                                  | 29.74                       | 29.74                                   | 03/14/14          | 38.41                   | 0.00                                  | -8.67                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| BARNES GROUP INC COMMON STOCK / CUSIP: 067806109 / Symbol: B (cont'd)                 |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 6 tax lots for 10/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    |                           | 99.04                                 | 03/14/14          | 115.24                  | 0.00                                  |                        |                                          |
|                                                                                       | 1,000    |                           | 33.01                                 | 03/17/14          | 38.97                   | 0.00                                  |                        | Sale -16.20                              |
|                                                                                       | 3,000    |                           | 99.03                                 | 03/17/14          | 116.83                  | 0.00                                  |                        | Sale -5.96                               |
|                                                                                       | 1,000    |                           | 33.03                                 | 03/18/14          | 39.16                   | 0.00                                  |                        | Sale -17.80                              |
|                                                                                       | 1,000    |                           | 33.03                                 | 03/18/14          | 39.19                   | 0.00                                  |                        | Sale -6.13                               |
|                                                                                       | 5,000    |                           | 165.06                                | 03/18/14          | 195.78                  | 0.00                                  |                        | Sale -6.16                               |
|                                                                                       | 14,000   |                           | 462.20                                | VARIOUS           | 545.17                  | 0.00                                  |                        | Sale -30.72                              |
| 10/21/14                                                                              |          |                           |                                       |                   |                         |                                       |                        | -82.97 Total of 6 lots                   |
| 2 tax lots for 10/22/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 10,000   |                           | 326.23                                | 03/19/14          | 388.67                  | 0.00                                  |                        | Sale -62.44                              |
|                                                                                       | 1,000    |                           | 32.62                                 | 03/20/14          | 39.00                   | 0.00                                  |                        | Sale -6.38                               |
| 10/22/14                                                                              | 11,000   |                           | 358.85                                | VARIOUS           | 427.67                  | 0.00                                  |                        | -68.82 Total of 2 lots                   |
| 2 tax lots for 10/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    |                           | 33.03                                 | 03/20/14          | 39.00                   | 0.00                                  |                        | Sale -5.97                               |
|                                                                                       | 3,000    |                           | 99.23                                 | 03/20/14          | 117.00                  | 0.00                                  |                        | Sale -17.77                              |
| 10/23/14                                                                              | 4,000    |                           | 132.26                                | VARIOUS           | 156.00                  | 0.00                                  |                        | -23.74 Total of 2 lots                   |
| 3 tax lots for 10/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    |                           | 34.95                                 | 03/20/14          | 39.00                   | 0.00                                  |                        | Sale -4.05                               |
|                                                                                       | 1,000    |                           | 34.96                                 | 03/21/14          | 39.59                   | 0.00                                  |                        | Sale -4.63                               |
|                                                                                       | 7,000    |                           | 244.68                                | 04/11/14          | 263.31                  | 0.00                                  |                        | Sale -18.63                              |
| 10/24/14                                                                              | 9,000    |                           | 314.59                                | VARIOUS           | 341.90                  | 0.00                                  |                        | -27.31 Total of 3 lots                   |
| 4 tax lots for 10/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    |                           | 34.36                                 | 04/11/14          | 37.62                   | 0.00                                  |                        | Sale -3.26                               |
|                                                                                       | 3,000    |                           | 103.07                                | 04/11/14          | 112.92                  | 0.00                                  |                        | Sale -9.85                               |
|                                                                                       | 5,000    |                           | 171.78                                | 04/11/14          | 188.00                  | 0.00                                  |                        | Sale -16.22                              |
|                                                                                       | 2,000    |                           | 69.45                                 | 04/14/14          | 75.91                   | 0.00                                  |                        | Sale -6.46                               |
| 10/27/14                                                                              | 11,000   |                           | 378.66                                | VARIOUS           | 414.45                  | 0.00                                  |                        | -35.79 Total of 4 lots                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    |                           | 176.11                                | 04/14/14          | 189.77                  | 0.00                                  |                        | Sale -13.66                              |
|                                                                                       | 5,000    |                           | 176.10                                | 04/15/14          | 190.83                  | 0.00                                  |                        | Sale -14.73                              |
| 10/28/14                                                                              | 10,000   |                           | 352.21                                | VARIOUS           | 380.60                  | 0.00                                  |                        | -28.39 Total of 2 lots                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BARNES GROUP INC COMMON STOCK / CUSIP: 067806109 / Symbol: B (cont'd)                 |          |                                       |                   |                         |                                       |                                          |                        |
| 10/29/14                                                                              | 3.000    | 106.79                                | 04/15/14          | 114.49                  | 0.00                                  | -7.70                                    | Sale C                 |
| 11/24/14                                                                              | 2.000    | 74.43                                 | 04/15/14          | 76.33                   | 0.00                                  | -1.90                                    | Sale C                 |
| Security total:                                                                       |          | 6,351.20                              |                   | 7,566.40                | 0.00                                  | -1,215.20                                |                        |
| BLOOMIN BRANDS INC / CUSIP: 094235108 / Symbol: BLMN                                  |          |                                       |                   |                         |                                       |                                          |                        |
| 11/24/14                                                                              | 22.000   | 489.71                                | 08/11/14          | 354.90                  | 0.00                                  | 134.81                                   | Sale C                 |
| BOB EVANS FARMS INC COMMON STOCK / CUSIP: 096761101 / Symbol: BOBE                    |          |                                       |                   |                         |                                       |                                          |                        |
| 08/28/14                                                                              | 1.000    | 43.85                                 | 01/30/14          | 49.33                   | 0.00                                  | -5.48                                    | Sale C                 |
| 4 tax lots for 08/29/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 43.50                                 | 01/30/14          | 48.98                   | 0.00                                  | -5.48                                    | Sale C                 |
|                                                                                       | 2.000    | 86.77                                 | 01/30/14          | 96.66                   | 0.00                                  | -9.89                                    | Sale C                 |
|                                                                                       | 2.000    | 86.77                                 | 01/30/14          | 97.96                   | 0.00                                  | -11.19                                   | Sale C                 |
|                                                                                       | 5.000    | 216.92                                | 01/30/14          | 246.62                  | 0.00                                  | -29.70                                   | Sale C                 |
| 08/29/14                                                                              | 10.000   | 433.96                                | VARIOUS           | 490.22                  | 0.00                                  | -56.26                                   | Total of 4 lots        |
| 2 tax lots for 09/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 11.000   | 469.80                                | 01/30/14          | 538.78                  | 0.00                                  | -68.98                                   | Sale C                 |
|                                                                                       | 1.000    | 42.71                                 | 02/03/14          | 47.97                   | 0.00                                  | -5.26                                    | Sale C                 |
| 09/02/14                                                                              | 12.000   | 512.51                                | VARIOUS           | 586.75                  | 0.00                                  | -74.24                                   | Total of 2 lots        |
| 6 tax lots for 09/12/14. Total proceeds (and cost when required) reported to the IRS. |          |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 44.96                                 | 02/03/14          | 47.97                   | 0.00                                  | -3.01                                    | Sale C                 |
|                                                                                       | 5.000    | 224.79                                | 02/03/14          | 239.85                  | 0.00                                  | -15.06                                   | Sale C                 |
|                                                                                       | 11.000   | 494.54                                | 04/24/14          | 520.07                  | 0.00                                  | -25.53                                   | Sale C                 |
|                                                                                       | 1.000    | 44.96                                 | 04/25/14          | 47.58                   | 0.00                                  | -2.62                                    | Sale C                 |
|                                                                                       | 8.000    | 359.66                                | 05/21/14          | 366.96                  | 0.00                                  | -7.30                                    | Sale C                 |
|                                                                                       | 1.000    | 44.96                                 | 05/27/14          | 47.47                   | 0.00                                  | -2.51                                    | Sale C                 |
| 09/12/14                                                                              | 27.000   | 1,213.87                              | VARIOUS           | 1,269.90                | 0.00                                  | -56.03                                   | Total of 6 lots        |
| 09/15/14                                                                              | 1.000    | 44.31                                 | 05/27/14          | 47.46                   | 0.00                                  | -3.15                                    | Sale C                 |
| Security total:                                                                       |          | 2,248.50                              |                   | 2,443.66                | 0.00                                  | -195.16                                  |                        |
| BONANZA CREEK ENERGY INC / CUSIP: 097793103 / Symbol: BCEI                            |          |                                       |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 11.000   | 618.41                                | 07/21/14          | 672.00                  | 53.59 W                               | 0.00                                     | Sale C                 |
| 10/08/14                                                                              | 7.000    | 318.22                                | 07/22/14          | 430.95                  | 0.00                                  | -112.73                                  | Sale C                 |

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UBS FINANCIAL SERVICES INC.

Account PF00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2-SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross Net) | 1d- Proceeds & Reported (Gross Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BONANZA CREEK ENERGY INC / CUSIP: 097793103 / Symbol: BCEI (cont'd)                   |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/08/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 227.30                  | 227.30                              | 07/21/14          | 305.46                  | 78.16 W                               | 0.00                                     | Sale                   |
| 10/08/14                                                                              | 19,000   | 863.76                  | 863.76                              | 07/21/14          | 1,162.28                | 282.81 W                              | -15.71                                   | Sale                   |
|                                                                                       | 24,000   | 1,091.06                | 1,091.06                            | VARIOUS           | 1,467.74                | 360.97 W                              | -15.71                                   | Total of 2 lots        |
| 10/09/14                                                                              | 1,000    | 44.86                   | 44.86                               | 07/22/14          | 61.57                   | 0.00                                  | -16.71                                   | Sale                   |
| 3 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 8,000    | 339.14                  | 339.14                              | 07/22/14          | 495.20                  | 0.00                                  | -156.06                                  | Sale                   |
|                                                                                       | 9,000    | 381.54                  | 381.54                              | 07/22/14          | 554.08                  | 0.00                                  | -172.54                                  | Sale                   |
|                                                                                       | 10,000   | 423.93                  | 423.93                              | 07/23/14          | 616.56                  | 0.00                                  | -192.63                                  | Sale                   |
| 10/10/14                                                                              | 27,000   | 1,144.61                | 1,144.61                            | VARIOUS           | 1,665.84                | 0.00                                  | -521.23                                  | Total of 3 lots        |
| 6 tax lots for 10/13/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 6,000    | 233.07                  | 233.07                              | 07/23/14          | 369.93                  | 0.00                                  | -136.86                                  | Sale                   |
|                                                                                       | 1,000    | 38.84                   | 38.84                               | 07/24/14          | 61.44                   | 0.00                                  | -22.60                                   | Sale                   |
|                                                                                       | 12,000   | 465.71                  | 465.71                              | 07/24/14          | 737.25                  | 0.00                                  | -271.54                                  | Sale                   |
|                                                                                       | 5,000    | 194.04                  | 194.04                              | 07/25/14          | 301.98                  | 0.00                                  | -107.94                                  | Sale                   |
|                                                                                       | 1,000    | 38.81                   | 38.81                               | 07/28/14          | 57.72                   | 0.00                                  | -18.91                                   | Sale                   |
|                                                                                       | 6,000    | 232.85                  | 232.85                              | 08/01/14          | 326.06                  | 0.00                                  | -93.21                                   | Sale                   |
| 10/13/14                                                                              | 31,000   | 1,203.32                | 1,203.32                            | VARIOUS           | 1,854.38                | 0.00                                  | -651.06                                  | Total of 6 lots        |
| 14 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    | 246.99                  | 246.99                              | 08/01/14          | 380.40                  | 0.00                                  | -133.41                                  | Sale                   |
|                                                                                       | 1,000    | 35.28                   | 35.28                               | 08/04/14          | 55.62                   | 0.00                                  | -20.34                                   | Sale                   |
|                                                                                       | 4,000    | 141.14                  | 141.14                              | 08/05/14          | 235.06                  | 0.00                                  | -93.92                                   | Sale                   |
|                                                                                       | 8,000    | 282.27                  | 282.27                              | 08/05/14          | 467.60                  | 0.00                                  | -185.33                                  | Sale                   |
|                                                                                       | 1,000    | 35.28                   | 35.28                               | 08/06/14          | 59.00                   | 0.00                                  | -23.72                                   | Sale                   |
|                                                                                       | 8,000    | 282.27                  | 282.27                              | 08/07/14          | 461.36                  | 0.00                                  | -179.09                                  | Sale                   |
|                                                                                       | 1,000    | 35.29                   | 35.29                               | 08/08/14          | 55.87                   | 0.00                                  | -20.58                                   | Sale                   |
|                                                                                       | 3,000    | 105.85                  | 105.85                              | 08/28/14          | 191.48                  | 0.00                                  | -85.63                                   | Sale                   |
|                                                                                       | 6,000    | 211.70                  | 211.70                              | 08/28/14          | 382.98                  | 0.00                                  | -171.28                                  | Sale                   |
|                                                                                       | 1,000    | 35.28                   | 35.28                               | 09/17/14          | 75.54                   | 0.00                                  | -40.26                                   | Sale                   |
|                                                                                       | 2,000    | 70.57                   | 70.57                               | 09/17/14          | 129.39                  | 0.00                                  | -58.82                                   | Sale                   |
|                                                                                       | 5,000    | 176.42                  | 176.42                              | 09/17/14          | 377.29                  | 0.00                                  | -200.87                                  | Sale                   |
|                                                                                       | 8,000    | 282.27                  | 282.27                              | 09/19/14          | 597.14                  | 0.00                                  | -314.87                                  | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2-SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported / CUSIP: 097793103 / Symbol: BCEI (cont'd) | 1d- Proceeds & Reported (G)/ross (N)/et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------------------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BONANZA CREEK ENERGY INC / CUSIP: 097793103 / Symbol: BCEI (cont'd)                   | 9.000    | 317.56                                                 | 09/30/14                                | 661.89            | 0.00                    |                                       | -344.33                                  | Sale                   |
| 10/15/14                                                                              | 64.000   | 2,258.17                                               | VARIOUS                                 | 4,130.62          | 0.00                    |                                       | -1,872.45                                | Total of 14 lots       |
| Security total:                                                                       |          | 6,678.65                                               |                                         | 10,283.10         | 414.56                  | W                                     | -3,189.89                                |                        |
| CACI INTL INC CL A / CUSIP: 127190304 / Symbol: CACI                                  | 1.000    | 71.49                                                  | 02/21/14                                | 74.96             | 0.00                    |                                       | -3.47                                    | Sale                   |
| 09/24/14                                                                              | 8.000    | 571.91                                                 | 02/20/14                                | 595.22            | 8.74                    | W                                     | -14.57                                   | Sale                   |
| 2 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS.  | 3.000    | 223.14                                                 | 02/24/14                                | 228.76            | 5.62                    | W                                     | 0.00                                     | Sale                   |
| 4.000                                                                                 | 297.51   | 02/24/14                                               | 305.72                                  | 4.11              | W                       |                                       | -4.10                                    | Sale                   |
| 7.000                                                                                 | 520.65   | VARIOUS                                                | 534.48                                  | 9.73              | W                       |                                       | -4.10                                    | Total of 2 lots        |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS  | 5.000    | 435.79                                                 | 02/24/14                                | 382.16            | 0.00                    |                                       | 53.63                                    | Sale                   |
| 2.000                                                                                 | 174.32   | 02/25/14                                               | 156.87                                  | 0.00              |                         |                                       | 17.45                                    | Sale                   |
| 7.000                                                                                 | 610.11   | VARIOUS                                                | 539.03                                  | 0.00              |                         |                                       | 71.08                                    | Total of 2 lots        |
| Security total:                                                                       |          | 1,774.16                                               |                                         | 1,743.69          | 18.47                   | W                                     | 48.94                                    |                        |
| CELADON GROUP INC COMMON STOCK / CUSIP: 150838100 / Symbol: CGI                       | 5.000    | 109.40                                                 | 08/07/14                                | 107.62            | 0.00                    |                                       | 1.78                                     | Sale                   |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. | 4.000    | 87.52                                                  | 08/08/14                                | 85.26             | 0.00                    |                                       | 2.26                                     | Sale                   |
| 9.000                                                                                 | 196.92   | VARIOUS                                                | 192.88                                  | 0.00              |                         |                                       | 4.04                                     | Total of 2 lots        |
| CHICO'S FAS INC / CUSIP: 168615102 / Symbol: CHS                                      | 4.000    | 60.56                                                  | 06/05/13                                | 72.03             | 0.00                    |                                       | -11.47                                   | Sale                   |
| 2 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS. | 59.000   | 894.28                                                 | 06/05/13                                | 1,062.50          | 0.00                    |                                       | -168.22                                  | Sale                   |
| 63.000                                                                                | 954.84   | VARIOUS                                                | 1,134.53                                | 0.00              |                         |                                       | -179.69                                  | Total of 2 lots        |
| 3 tax lots for 06/02/14. Total proceeds (and cost when required) reported to the IRS. | 14.000   | 213.02                                                 | 06/05/13                                | 251.09            | 0.00                    |                                       | -38.07                                   | Sale                   |
| 26.000                                                                                | 395.60   | 06/05/13                                               | 468.22                                  | 0.00              |                         |                                       | -72.62                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

(continued)

OMB No. 1545-0715

2014 1099-B\*

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|----------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CHICO'S FAS INC / CUSIP: 168615102 / Symbol: CHS (cont'd)                              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 06/02/14                                                                               | 2,000    | 30.43                    | 30.43                                | 06/07/13          | 35.96                   | 0.00                                  | -5.53                                    | Sale                   |
|                                                                                        | 42,000   | 639.05                   | 639.05                               | VARIOUS           | 755.27                  | 0.00                                  | -116.22                                  | Total of 3 lots        |
| 3 tax lots for 06/03/14. Total proceeds (and cost when required) reported to the IRS.  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                        | 5,000    | 76.18                    | 76.18                                | 06/07/13          | 89.89                   | 0.00                                  | -13.71                                   | Sale                   |
|                                                                                        | 15,000   | 228.55                   | 228.55                               | 06/07/13          | 269.70                  | 0.00                                  | -41.15                                   | Sale                   |
|                                                                                        | 21,000   | 319.84                   | 319.84                               | 06/07/13          | 377.53                  | 0.00                                  | -57.69                                   | Sale                   |
| 06/03/14                                                                               | 41,000   | 624.57                   | 624.57                               | VARIOUS           | 737.12                  | 0.00                                  | -112.55                                  | Total of 3 lots        |
| 06/04/14                                                                               | 22,000   | 337.52                   | 337.52                               | 06/07/13          | 395.51                  | 0.00                                  | -57.99                                   | Sale                   |
| 06/05/14                                                                               | 34,000   | 520.84                   | 520.84                               | 06/07/13          | 611.25                  | 0.00                                  | -90.41                                   | Sale                   |
| 2 tax lots for 06/06/14. Total proceeds (and cost when required) reported to the IRS.  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                        | 25,000   | 385.29                   | 385.29                               | 06/07/13          | 449.44                  | 0.00                                  | -64.15                                   | Sale                   |
|                                                                                        | 10,000   | 154.11                   | 154.11                               | 06/14/13          | 169.07                  | 0.00                                  | -14.96                                   | Sale                   |
| 06/06/14                                                                               | 35,000   | 539.40                   | 539.40                               | VARIOUS           | 618.51                  | 0.00                                  | -79.11                                   | Total of 2 lots        |
| 4 tax lots for 06/09/14. Total proceeds (and cost when required) reported to the IRS.  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                        | 5,000    | 77.24                    | 77.24                                | 06/14/13          | 84.53                   | 0.00                                  | -7.29                                    | Sale                   |
|                                                                                        | 31,000   | 478.88                   | 478.88                               | 06/19/13          | 527.85                  | 0.00                                  | -48.97                                   | Sale                   |
|                                                                                        | 1,000    | 15.45                    | 15.45                                | 06/20/13          | 16.81                   | 0.00                                  | -1.36                                    | Sale                   |
|                                                                                        | 11,000   | 169.92                   | 169.92                               | 06/21/13          | 180.34                  | 0.00                                  | -10.42                                   | Sale                   |
| 06/09/14                                                                               | 48,000   | 741.49                   | 741.49                               | VARIOUS           | 809.53                  | 0.00                                  | -68.04                                   | Total of 4 lots        |
| 12 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                        | 7,000    | 116.98                   | 116.98                               | 06/21/13          | 114.76                  | 0.00                                  | 2.22                                     | Sale                   |
|                                                                                        | 19,000   | 317.51                   | 317.51                               | 07/03/13          | 313.18                  | 0.00                                  | 4.33                                     | Sale                   |
|                                                                                        | 6,000    | 100.27                   | 100.27                               | 07/05/13          | 99.76                   | 0.00                                  | 0.51                                     | Sale                   |
|                                                                                        | 14,000   | 233.96                   | 233.96                               | 08/08/13          | 230.77                  | 0.00                                  | 3.19                                     | Sale                   |
|                                                                                        | 1,000    | 16.71                    | 16.71                                | 08/09/13          | 16.49                   | 0.00                                  | 0.22                                     | Sale                   |
|                                                                                        | 22,000   | 367.65                   | 367.65                               | 08/19/13          | 348.33                  | 0.00                                  | 19.32                                    | Sale                   |
|                                                                                        | 1,000    | 16.71                    | 16.71                                | 08/20/13          | 16.00                   | 0.00                                  | 0.71                                     | Sale                   |
|                                                                                        | 17,000   | 284.09                   | 284.09                               | 09/10/13          | 279.25                  | 0.00                                  | 4.84                                     | Sale                   |
|                                                                                        | 1,000    | 16.71                    | 16.71                                | 09/11/13          | 16.14                   | 0.00                                  | 0.57                                     | Sale                   |
|                                                                                        | 1,000    | 16.71                    | 16.71                                | 10/08/13          | 16.13                   | 0.00                                  | 0.58                                     | Sale                   |
|                                                                                        | 10,000   | 167.12                   | 167.12                               | 10/08/13          | 161.68                  | 0.00                                  | 5.44                                     | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                 | Quantity | 6- Reported (G) / Symbol: CHS (cont'd) | 1d- Proceeds & Reported (G) / Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------|----------|----------------------------------------|-----------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CHICO'S FAS INC / CUSIP: 168615102 / Symbol: CHS (cont'd) |          |                                        |                                   |                   |                         |                                       |                                          |                        |
| 06/10/14                                                  | 28.000   |                                        | 467.91                            | 11/15/13          | 507.42                  | 0.00                                  | -39.51                                   | Sale                   |
|                                                           | 127.000  |                                        | 2,122.33                          | VARIOUS           | 2,119.91                | 0.00                                  | 2.42                                     | Total of 12 lots       |
| 06/11/14                                                  | 1.000    |                                        | 16.59                             | 11/15/13          | 18.12                   | 0.00                                  | -1.53                                    | Sale                   |
| Security total:                                           |          |                                        | 6,496.63                          |                   | 7,199.75                | 0.00                                  | -703.12                                  |                        |

## CLEARWATER PAPER CORP / CUSIP: 18538R103 / Symbol: CLW

2 tax lots for 09/30/14. Total proceeds (and cost when required) reported to the IRS.

|          |       |  |        |          |        |      |       |                 |
|----------|-------|--|--------|----------|--------|------|-------|-----------------|
| 09/30/14 | 4.000 |  | 240.61 | 01/13/14 | 203.40 | 0.00 | 37.21 | Sale            |
|          | 3.000 |  | 180.46 | 01/14/14 | 153.18 | 0.00 | 27.28 | Sale            |
|          | 7.000 |  | 421.07 | VARIOUS  | 356.58 | 0.00 | 64.49 | Total of 2 lots |

## COMMScope HOLDING CO INC / CUSIP: 20337X109 / Symbol: COMM

2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |  |        |          |        |      |        |                 |
|----------|--------|--|--------|----------|--------|------|--------|-----------------|
| 06/10/14 | 1.000  |  | 23.99  | 01/31/14 | 17.28  | 0.00 | 6.71   | Sale            |
|          | 16.000 |  | 363.83 | 01/31/14 | 280.46 | 0.00 | 103.37 | Sale            |
|          | 17.000 |  | 407.82 | VARIOUS  | 297.74 | 0.00 | 110.08 | Total of 2 lots |

5 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |  |        |          |        |      |        |                 |
|----------|--------|--|--------|----------|--------|------|--------|-----------------|
| 09/24/14 | 3.000  |  | 73.17  | 01/31/14 | 51.90  | 0.00 | 21.27  | Sale            |
|          | 5.000  |  | 121.95 | 01/31/14 | 87.33  | 0.00 | 34.62  | Sale            |
|          | 5.000  |  | 121.95 | 01/31/14 | 87.65  | 0.00 | 34.30  | Sale            |
|          | 2.000  |  | 48.77  | 02/03/14 | 36.15  | 0.00 | 12.62  | Sale            |
|          | 12.000 |  | 292.68 | 02/03/14 | 218.17 | 0.00 | 74.51  | Sale            |
|          | 27.000 |  | 658.52 | VARIOUS  | 481.20 | 0.00 | 177.32 | Total of 5 lots |
| 10/28/14 | 23.000 |  | 519.56 | 02/03/14 | 418.17 | 0.00 | 101.39 | Sale            |

4 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |  |        |          |        |      |       |                 |
|----------|--------|--|--------|----------|--------|------|-------|-----------------|
| 10/31/14 | 2.000  |  | 42.43  | 02/03/14 | 36.36  | 0.00 | 6.07  | Sale            |
|          | 1.000  |  | 21.22  | 02/04/14 | 18.14  | 0.00 | 3.08  | Sale            |
|          | 4.000  |  | 84.85  | 02/04/14 | 72.99  | 0.00 | 11.86 | Sale            |
|          | 3.000  |  | 63.64  | 02/05/14 | 55.50  | 0.00 | 8.14  | Sale            |
|          | 10.000 |  | 212.14 | VARIOUS  | 182.99 | 0.00 | 29.15 | Total of 4 lots |
| 11/03/14 | 1.000  |  | 21.14  | 02/05/14 | 18.49  | 0.00 | 2.65  | Sale            |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2-SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| COMMScope HOLDING CO INC / CUSIP: 20337X109 / Symbol: COMM (cont'd)                   |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 7 tax lots for 11/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 41.47                     | 41.47                                 | 02/05/14          | 36.84                   | 0.00                                  |                        | 4.63 Sale                                |
|                                                                                       | 2,000    | 41.78                     | 41.78                                 | 02/05/14          | 36.83                   | 0.00                                  |                        | 4.95 Sale                                |
|                                                                                       | 3,000    | 62.21                     | 62.21                                 | 02/05/14          | 55.50                   | 0.00                                  |                        | 6.71 Sale                                |
|                                                                                       | 11,000   | 229.80                    | 229.80                                | 02/05/14          | 204.05                  | 0.00                                  |                        | 25.75 Sale                               |
|                                                                                       | 2,000    | 41.36                     | 41.36                                 | 02/06/14          | 37.23                   | 0.00                                  |                        | 4.13 Sale                                |
|                                                                                       | 4,000    | 82.71                     | 82.71                                 | 02/06/14          | 73.79                   | 0.00                                  |                        | 8.92 Sale                                |
|                                                                                       | 5,000    | 104.45                    | 104.45                                | 02/06/14          | 92.23                   | 0.00                                  |                        | 12.22 Sale                               |
| 11/10/14                                                                              | 29,000   | 603.78                    | 603.78                                | VARIOUS           | 536.47                  | 0.00                                  |                        | 67.31 Total of 7 lots                    |
| 8 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 20.91                     | 20.91                                 | 02/06/14          | 18.61                   | 0.00                                  |                        | 2.30 Sale                                |
|                                                                                       | 3,000    | 62.74                     | 62.74                                 | 02/07/14          | 55.12                   | 0.00                                  |                        | 7.62 Sale                                |
|                                                                                       | 3,000    | 62.73                     | 62.73                                 | 02/10/14          | 55.88                   | 0.00                                  |                        | 6.85 Sale                                |
|                                                                                       | 4,000    | 83.64                     | 83.64                                 | 02/10/14          | 74.42                   | 0.00                                  |                        | 9.22 Sale                                |
|                                                                                       | 2,000    | 41.69                     | 41.69                                 | 02/11/14          | 37.57                   | 0.00                                  |                        | 4.12 Sale                                |
|                                                                                       | 3,000    | 62.54                     | 62.54                                 | 02/11/14          | 56.28                   | 0.00                                  |                        | 6.26 Sale                                |
|                                                                                       | 5,000    | 104.56                    | 104.56                                | 02/11/14          | 93.93                   | 0.00                                  |                        | 10.63 Sale                               |
|                                                                                       | 1,000    | 20.84                     | 20.84                                 | 02/12/14          | 18.82                   | 0.00                                  |                        | 2.02 Sale                                |
| 11/11/14                                                                              | 22,000   | 459.65                    | 459.65                                | VARIOUS           | 410.63                  | 0.00                                  |                        | 49.02 Total of 8 lots                    |
| 3 tax lots for 11/12/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 41.94                     | 41.94                                 | 02/12/14          | 37.63                   | 0.00                                  |                        | 4.31 Sale                                |
|                                                                                       | 2,000    | 41.95                     | 41.95                                 | 02/13/14          | 37.39                   | 0.00                                  |                        | 4.56 Sale                                |
|                                                                                       | 5,000    | 104.86                    | 104.86                                | 02/20/14          | 95.36                   | 0.00                                  |                        | 9.50 Sale                                |
| 11/12/14                                                                              | 9,000    | 188.75                    | 188.75                                | VARIOUS           | 170.38                  | 0.00                                  |                        | 18.37 Total of 3 lots                    |
| 3 tax lots for 11/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 126.92                    | 126.92                                | 02/20/14          | 114.44                  | 0.00                                  |                        | 12.48 Sale                               |
|                                                                                       | 39,000   | 815.17                    | 815.17                                | 02/20/14          | 743.83                  | 0.00                                  |                        | 71.34 Sale                               |
|                                                                                       | 21,000   | 438.93                    | 438.93                                | 02/24/14          | 477.20                  | 0.00                                  |                        | -38.27 Sale                              |
| 11/13/14                                                                              | 66,000   | 1,381.02                  | 1,381.02                              | VARIOUS           | 1,335.47                | 0.00                                  |                        | 45.55 Total of 3 lots                    |
| 6 tax lots for 11/14/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 63.47                     | 63.47                                 | 02/24/14          | 68.17                   | 0.00                                  |                        | -4.70 Sale                               |
|                                                                                       | 5,000    | 105.79                    | 105.79                                | 02/25/14          | 115.38                  | 0.00                                  |                        | -9.59 Sale                               |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G) / Symbol: COMM (cont'd) | 1d- Proceeds & Reported (G) / Symbol: COMM (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|-----------------------------------------|-----------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| COMMScope HOLDING CO INC / CUSIP: 20337X109                                           | 2,000    | 41.75                                   | 41.75                                               | 02/26/14          | 46.77                   | 0.00                                  | -5.02                                    | Sale                   |
|                                                                                       | 2,000    | 42.31                                   | 42.31                                               | 02/26/14          | 46.77                   | 0.00                                  | -4.46                                    | Sale                   |
|                                                                                       | 3,000    | 63.47                                   | 63.47                                               | 02/26/14          | 69.72                   | 0.00                                  | -6.25                                    | Sale                   |
|                                                                                       | 2,000    | 41.74                                   | 41.74                                               | 02/27/14          | 47.46                   | 0.00                                  | -5.72                                    | Sale                   |
| 11/14/14                                                                              | 17,000   | 358.53                                  | 358.53                                              | VARIOUS           | 394.27                  | 0.00                                  | -35.74                                   | Total of 6 lots        |
| 4 tax lots for 11/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 41.85                                   | 41.85                                               | 02/27/14          | 46.79                   | 0.00                                  | -4.94                                    | Sale                   |
|                                                                                       | 3,000    | 62.78                                   | 62.78                                               | 02/27/14          | 71.20                   | 0.00                                  | -8.42                                    | Sale                   |
|                                                                                       | 1,000    | 20.92                                   | 20.92                                               | 02/28/14          | 24.03                   | 0.00                                  | -3.11                                    | Sale                   |
|                                                                                       | 3,000    | 62.95                                   | 62.95                                               | 02/28/14          | 72.08                   | 0.00                                  | -9.13                                    | Sale                   |
| 11/17/14                                                                              | 9,000    | 188.50                                  | 188.50                                              | VARIOUS           | 214.10                  | 0.00                                  | -25.60                                   | Total of 4 lots        |
| 3 tax lots for 11/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 4,000    | 86.61                                   | 86.61                                               | 03/03/14          | 97.17                   | 0.00                                  | -10.56                                   | Sale                   |
|                                                                                       | 1,000    | 21.65                                   | 21.65                                               | 03/04/14          | 24.48                   | 0.00                                  | -2.83                                    | Sale                   |
|                                                                                       | 2,000    | 43.31                                   | 43.31                                               | 03/12/14          | 43.14                   | 0.00                                  | 0.17                                     | Sale                   |
| 11/20/14                                                                              | 7,000    | 151.57                                  | 151.57                                              | VARIOUS           | 164.79                  | 0.00                                  | -13.22                                   | Total of 3 lots        |
| 11/21/14                                                                              | 7,000    | 153.44                                  | 153.44                                              | 03/12/14          | 151.00                  | 0.00                                  | 2.44                                     | Sale                   |
| 8 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 11,000   | 240.35                                  | 240.35                                              | 03/12/14          | 237.28                  | 0.00                                  | 3.07                                     | Sale                   |
|                                                                                       | 15,000   | 327.75                                  | 327.75                                              | 03/13/14          | 327.47                  | 0.00                                  | 0.28                                     | Sale                   |
|                                                                                       | 4,000    | 87.40                                   | 87.40                                               | 03/14/14          | 86.64                   | 0.00                                  | 0.76                                     | Sale                   |
|                                                                                       | 7,000    | 152.95                                  | 152.95                                              | 03/25/14          | 164.87                  | 0.00                                  | -11.92                                   | Sale                   |
|                                                                                       | 10,000   | 218.51                                  | 218.51                                              | 03/25/14          | 239.19                  | 0.00                                  | -20.68                                   | Sale                   |
|                                                                                       | 11,000   | 240.35                                  | 240.35                                              | 03/26/14          | 263.49                  | 0.00                                  | -23.14                                   | Sale                   |
|                                                                                       | 3,000    | 65.55                                   | 65.55                                               | 03/27/14          | 68.80                   | 0.00                                  | -3.25                                    | Sale                   |
|                                                                                       | 12,000   | 262.20                                  | 262.20                                              | 03/27/14          | 274.61                  | 0.00                                  | -12.41                                   | Sale                   |
| 11/24/14                                                                              | 73,000   | 1,595.06                                | 1,595.06                                            | VARIOUS           | 1,662.35                | 0.00                                  | -67.29                                   | Total of 8 lots        |
| 3 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 23.50                                   | 23.50                                               | 03/27/14          | 22.88                   | 0.00                                  | 0.62                                     | Sale                   |
|                                                                                       | 11,000   | 258.49                                  | 258.49                                              | 03/28/14          | 246.47                  | 0.00                                  | 12.02                                    | Sale                   |
|                                                                                       | 36,000   | 845.93                                  | 845.93                                              | 03/28/14          | 806.62                  | 0.00                                  | 39.31                                    | Sale                   |
| 12/09/14                                                                              | 48,000   | 1,127.92                                | 1,127.92                                            | VARIOUS           | 1,075.97                | 0.00                                  | 51.95                                    | Total of 3 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

**Proceeds from Broker and Barter Exchange Transactions**

(continued)

OMB No. 1545-0715

2014 1099-B\*

**2- SHORT-TERM TRANSACTIONS**

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 9949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| COMMScope HOLDING CO INC / CUSIP: 20337X109 / Symbol: COMM (cont'd)                  |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 4 tax lots for 12/10/14. Total proceeds (and cost when required) reported to the IRS |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                      | 17,000   |                          | 383.80                               | 03/28/14          | 380.90                  | 0.00                                  | 2.90                                     | Sale                   |
|                                                                                      | 1,000    |                          | 22.57                                | 03/31/14          | 23.94                   | 0.00                                  | -1.37                                    | Sale                   |
|                                                                                      | 4,000    |                          | 90.31                                | 09/18/14          | 99.46                   | 0.00                                  | -9.15                                    | Sale                   |
|                                                                                      | 18,000   |                          | 406.37                               | 09/19/14          | 443.17                  | 0.00                                  | -36.80                                   | Sale                   |
|                                                                                      | 40,000   |                          | 903.05                               | VARIOUS           | 947.47                  | 0.00                                  | -44.42                                   | Total of 4 lots        |
|                                                                                      |          |                          | 8,930.45                             |                   | 8,461.49                | 0.00                                  | 468.96                                   |                        |
| Security total:                                                                      |          |                          |                                      |                   |                         |                                       |                                          |                        |

COMMUNITY HEALTH SYSTEMS INC / CUSIP: 203668108 / Symbol: CYH

|                                                                                       |        |  |          |          |          |         |         |                 |
|---------------------------------------------------------------------------------------|--------|--|----------|----------|----------|---------|---------|-----------------|
| 3 tax lots for 03/28/14. Total proceeds (and cost when required) reported to the IRS. |        |  |          |          |          |         |         |                 |
|                                                                                       | 4,000  |  | 156.66   | 10/08/13 | 180.07   | 0.00    | -23.41  | Sale            |
|                                                                                       | 47,000 |  | 1,840.69 | 10/08/13 | 2,059.38 | 0.00    | -218.69 | Sale            |
|                                                                                       | 12,000 |  | 469.96   | 10/10/13 | 517.59   | 0.00    | -47.63  | Sale            |
|                                                                                       | 63,000 |  | 2,467.31 | VARIOUS  | 2,757.04 | 0.00    | -289.73 | Total of 3 lots |
|                                                                                       | 41,000 |  | 1,605.71 | 10/08/13 | 1,796.47 | 83.75 W | -107.01 | Sale            |
|                                                                                       | 1,000  |  | 38.94    | 10/10/13 | 43.13    | 0.00    | -4.19   | Sale            |

|                                                                                       |        |  |          |          |          |      |        |                 |
|---------------------------------------------------------------------------------------|--------|--|----------|----------|----------|------|--------|-----------------|
| 3 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS. |        |  |          |          |          |      |        |                 |
|                                                                                       | 2,000  |  | 95.85    | 10/10/13 | 86.27    | 0.00 | 9.58   | Sale            |
|                                                                                       | 28,000 |  | 1,320.49 | 10/10/13 | 1,207.71 | 0.00 | 112.78 | Sale            |
|                                                                                       | 1,000  |  | 47.92    | 11/04/13 | 43.29    | 0.00 | 4.63   | Sale            |
|                                                                                       | 31,000 |  | 1,464.26 | VARIOUS  | 1,337.27 | 0.00 | 126.99 | Total of 3 lots |

|                                                                                       |        |  |          |          |          |      |        |                 |
|---------------------------------------------------------------------------------------|--------|--|----------|----------|----------|------|--------|-----------------|
| 5 tax lots for 08/04/14. Total proceeds (and cost when required) reported to the IRS. |        |  |          |          |          |      |        |                 |
|                                                                                       | 14,000 |  | 670.98   | 11/04/13 | 606.07   | 0.00 | 64.91  | Sale            |
|                                                                                       | 1,000  |  | 47.92    | 11/05/13 | 42.41    | 0.00 | 5.51   | Sale            |
|                                                                                       | 8,000  |  | 381.98   | 11/20/13 | 328.86   | 0.00 | 53.12  | Sale            |
|                                                                                       | 13,000 |  | 623.05   | 11/20/13 | 534.39   | 0.00 | 88.66  | Sale            |
|                                                                                       | 10,000 |  | 477.48   | 12/04/13 | 413.30   | 0.00 | 64.18  | Sale            |
|                                                                                       | 46,000 |  | 2,201.41 | VARIOUS  | 1,925.03 | 0.00 | 276.38 | Total of 5 lots |

|                                                                                       |        |  |        |          |        |      |        |      |
|---------------------------------------------------------------------------------------|--------|--|--------|----------|--------|------|--------|------|
| 4 tax lots for 08/05/14. Total proceeds (and cost when required) reported to the IRS. |        |  |        |          |        |      |        |      |
|                                                                                       | 4,000  |  | 193.38 | 12/05/13 | 163.89 | 0.00 | 29.49  | Sale |
|                                                                                       | 13,000 |  | 628.47 | 12/19/13 | 483.42 | 0.00 | 145.05 | Sale |
|                                                                                       | 1,000  |  | 48.34  | 12/23/13 | 38.56  | 0.00 | 9.78   | Sale |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
|                                                                                       |          |                           | Symbol: CYH (cont'd)                     |                   |                         |                                       |                                          |                        |
| COMMUNITY HEALTH SYSTEMS INC / CUSIP: 203668108                                       | 17.000   | 821.85                    | 03/14/14                                 | 691.19            | 0.00                    | 130.66                                | Sale                                     | C                      |
| 08/05/14                                                                              | 35.000   | 1,692.04                  | VARIOUS                                  | 1,377.06          | 0.00                    | 314.98                                | Total of 4 lots                          |                        |
| 08/06/14                                                                              | 1.000    | 48.29                     | 03/14/14                                 | 40.65             | 0.00                    | 7.64                                  | Sale                                     | C                      |
| Security total:                                                                       |          | 9,517.96                  |                                          | 9,276.65          | 83.75 W                 | 325.06                                |                                          |                        |
| COMMAVAULT SYSTEMS INC / CUSIP: 204166102 / Symbol: CVLT                              |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 5.000    | 244.54                    | 04/29/14                                 | 229.85            | 0.00                    | 14.69                                 | Sale                                     | C                      |
| 09/24/14                                                                              | 9.000    | 460.34                    | 04/29/14                                 | 415.83            | 0.00                    | 44.51                                 | Sale                                     | C                      |
| 10/28/14                                                                              | 9.000    | 386.81                    | 04/29/14                                 | 415.84            | 29.03 W                 | 0.00                                  | Sale                                     | C                      |
| 11/24/14                                                                              | 9.000    | 433.79                    | 04/29/14                                 | 415.83            | 0.00                    | 17.96                                 | Sale                                     | C                      |
| Security total:                                                                       |          | 1,525.48                  |                                          | 1,477.35          | 29.03 W                 | 77.16                                 |                                          |                        |
| CON-WAY INC / CUSIP: 205944101 / Symbol: CNW                                          |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 10/30/14                                                                              | 8.000    | 339.57                    | 10/31/13                                 | 334.80            | 0.00                    | 4.77                                  | Sale                                     | C                      |
| 2 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 1.000                                                                                 | 43.31    | 41.85                     | 10/31/13                                 | 41.85             | 0.00                    | 1.46                                  | Sale                                     | C                      |
| 1.000                                                                                 | 43.30    | 42.58                     | 01/13/14                                 | 42.58             | 0.00                    | 0.72                                  | Sale                                     | C                      |
| 2.000                                                                                 | 86.61    | 84.43                     | VARIOUS                                  | 84.43             | 0.00                    | 2.18                                  | Total of 2 lots                          |                        |
| 11/24/14                                                                              | 2.000    | 96.81                     | 01/13/14                                 | 85.15             | 0.00                    | 11.66                                 | Sale                                     | C                      |
| Security total:                                                                       |          | 522.99                    |                                          | 504.38            | 0.00                    | 18.61                                 |                                          |                        |
| DXP ENTERPRISES INC NEW / CUSIP: 233377407 / Symbol: DXPE                             |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 4 tax lots for 01/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 1.000                                                                                 | 103.19   | 62.68                     | 06/05/13                                 | 62.68             | 0.00                    | 40.51                                 | Sale                                     | C                      |
| 3.000                                                                                 | 309.50   | 187.67                    | 06/05/13                                 | 187.67            | 0.00                    | 121.83                                | Sale                                     | C                      |
| 3.000                                                                                 | 309.55   | 189.41                    | 06/06/13                                 | 189.41            | 0.00                    | 120.14                                | Sale                                     | C                      |
| 2.000                                                                                 | 206.37   | 128.09                    | 06/07/13                                 | 128.09            | 0.00                    | 78.28                                 | Sale                                     | C                      |
| 9.000                                                                                 | 928.61   | 567.85                    | VARIOUS                                  | 567.85            | 0.00                    | 360.76                                | Total of 4 lots                          |                        |
| 2 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                                          |                        |
| 2.000                                                                                 | 199.29   | 127.40                    | 06/07/13                                 | 127.40            | 0.00                    | 71.89                                 | Sale                                     | C                      |
| 1.000                                                                                 | 100.30   | 63.08                     | 06/10/13                                 | 63.08             | 0.00                    | 37.22                                 | Sale                                     | C                      |
| 3.000                                                                                 | 299.59   | 190.48                    | VARIOUS                                  | 190.48            | 0.00                    | 109.11                                | Total of 2 lots                          |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) Proceeds & 1d- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed (X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|-------------------------------------------------------|-------------------------|---------------------------------------|-------------------------|------------------------------------------|
| DXP ENTERPRISES INC NEW / CUSIP: 233377407 / Symbol: DXPE (cont'd)                    |          |                                                       |                         |                                       |                         |                                          |
| 4 tax lots for 01/27/14 Total proceeds (and cost when required) reported to the IRS   |          |                                                       |                         |                                       |                         |                                          |
|                                                                                       | 1.000    | 97.55                                                 | 62.96                   | 0.00                                  |                         | 34.59 Sale                               |
|                                                                                       | 1.000    | 97.55                                                 | 63.08                   | 0.00                                  |                         | 34.47 Sale                               |
|                                                                                       | 1.000    | 97.66                                                 | 62.39                   | 0.00                                  |                         | 35.27 Sale                               |
|                                                                                       | 1.000    | 97.67                                                 | 62.55                   | 0.00                                  |                         | 35.12 Sale                               |
|                                                                                       | 4.000    | 390.43                                                | 250.98                  | 0.00                                  |                         | 139.45 Total of 4 lots                   |
| 01/27/14                                                                              | 2.000    | 195.33                                                | 125.46                  | 0.00                                  |                         | 69.87 Sale                               |
| 01/29/14                                                                              | 2.000    | 192.76                                                | 125.45                  | 0.00                                  |                         | 67.31 Sale                               |
| 01/30/14                                                                              | 1.000    | 95.69                                                 | 62.74                   | 0.00                                  |                         | 32.95 Sale                               |
| 01/31/14                                                                              | 1.000    | 94.53                                                 | 63.21                   | 0.00                                  |                         | 31.32 Sale                               |
| 2 tax lots for 03/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                         |                                       |                         |                                          |
|                                                                                       | 1.000    | 94.74                                                 | 63.02                   | 0.00                                  |                         | 31.72 Sale                               |
|                                                                                       | 1.000    | 95.27                                                 | 63.98                   | 0.00                                  |                         | 31.29 Sale                               |
|                                                                                       | 2.000    | 190.01                                                | 127.00                  | 0.00                                  |                         | 63.01 Total of 2 lots                    |
| 03/27/14                                                                              |          |                                                       |                         |                                       |                         |                                          |
| 4 tax lots for 03/28/14. Total proceeds (and cost when required) reported to the IRS  |          |                                                       |                         |                                       |                         |                                          |
|                                                                                       | 1.000    | 94.95                                                 | 63.98                   | 0.00                                  |                         | 30.97 Sale                               |
|                                                                                       | 1.000    | 94.95                                                 | 64.74                   | 0.00                                  |                         | 30.21 Sale                               |
|                                                                                       | 1.000    | 94.95                                                 | 64.88                   | 0.00                                  |                         | 30.07 Sale                               |
|                                                                                       | 3.000    | 285.62                                                | 194.64                  | 0.00                                  |                         | 90.98 Sale                               |
|                                                                                       | 6.000    | 570.47                                                | 388.24                  | 0.00                                  |                         | 182.23 Total of 4 lots                   |
| 03/28/14                                                                              |          |                                                       |                         |                                       |                         |                                          |
| 2 tax lots for 03/31/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                         |                                       |                         |                                          |
|                                                                                       | 2.000    | 186.17                                                | 131.66                  | 0.00                                  |                         | 54.51 Sale                               |
|                                                                                       | 1.000    | 93.08                                                 | 65.99                   | 0.00                                  |                         | 27.09 Sale                               |
|                                                                                       | 3.000    | 279.25                                                | 197.65                  | 0.00                                  |                         | 81.60 Total of 2 lots                    |
| 03/31/14                                                                              |          |                                                       |                         |                                       |                         |                                          |
| 2 tax lots for 04/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                         |                                       |                         |                                          |
|                                                                                       | 2.000    | 210.71                                                | 131.20                  | 0.00                                  |                         | 79.51 Sale                               |
|                                                                                       | 4.000    | 421.42                                                | 261.50                  | 0.00                                  |                         | 159.92 Sale                              |
|                                                                                       | 6.000    | 632.13                                                | 392.70                  | 0.00                                  |                         | 239.43 Total of 2 lots                   |
| 04/16/14                                                                              |          |                                                       |                         |                                       |                         |                                          |
| 3 tax lots for 04/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                         |                                       |                         |                                          |
|                                                                                       | 1.000    | 106.43                                                | 65.10                   | 0.00                                  |                         | 41.33 Sale                               |
|                                                                                       | 1.000    | 106.43                                                | 65.38                   | 0.00                                  |                         | 41.05 Sale                               |

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UBS FINANCIAL SERVICES INC.

Account PF-00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 9949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| DXP ENTERPRISES INC NEW / CUSIP: 233377407 / Symbol: DXPE (cont'd)                    | 1,000    | 106.86                   | 106.86                               | 06/21/13          | 65.10                   | 0.00                                  | 41.76                                    | Sale                   |
| 04/17/14                                                                              | 3,000    | 319.72                   | 319.72                               | VARIOUS           | 195.58                  | 0.00                                  | 124.14                                   | Total of 3 lots        |
| 04/21/14                                                                              | 2,000    | 212.85                   | 212.85                               | 06/24/13          | 130.17                  | 0.00                                  | 82.68                                    | Sale                   |
| 04/22/14                                                                              | 1,000    | 108.69                   | 108.69                               | 06/24/13          | 65.09                   | 0.00                                  | 43.60                                    | Sale                   |
| 10/16/14                                                                              | 1,000    | 60.25                    | 60.25                                | 05/13/14          | 67.35                   | 0.00                                  | -7.10                                    | Sale                   |
| 2 tax lots for 10/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 120.53                   | 120.53                               | 05/13/14          | 134.71                  | 0.00                                  | -14.18                                   | Sale                   |
|                                                                                       | 5,000    | 300.26                   | 300.26                               | 05/13/14          | 336.76                  | 0.00                                  | -36.50                                   | Sale                   |
| 10/17/14                                                                              | 7,000    | 420.79                   | 420.79                               | VARIOUS           | 471.47                  | 0.00                                  | -50.68                                   | Total of 2 lots        |
| 4 tax lots for 10/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 178.84                   | 178.84                               | 05/13/14          | 204.45                  | 0.00                                  | -25.61                                   | Sale                   |
|                                                                                       | 1,000    | 59.61                    | 59.61                                | 05/14/14          | 69.03                   | 0.00                                  | -9.42                                    | Sale                   |
|                                                                                       | 1,000    | 59.70                    | 59.70                                | 05/21/14          | 68.10                   | 0.00                                  | -8.40                                    | Sale                   |
|                                                                                       | 2,000    | 119.22                   | 119.22                               | 05/21/14          | 136.19                  | 0.00                                  | -16.97                                   | Sale                   |
| 10/20/14                                                                              | 7,000    | 417.37                   | 417.37                               | VARIOUS           | 477.77                  | 0.00                                  | -60.40                                   | Total of 4 lots        |
| 4 tax lots for 10/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 305.11                   | 305.11                               | 05/21/14          | 340.47                  | 0.00                                  | -35.36                                   | Sale                   |
|                                                                                       | 1,000    | 61.01                    | 61.01                                | 05/22/14          | 67.82                   | 0.00                                  | -6.81                                    | Sale                   |
|                                                                                       | 4,000    | 244.09                   | 244.09                               | 05/22/14          | 271.30                  | 0.00                                  | -27.21                                   | Sale                   |
|                                                                                       | 1,000    | 61.01                    | 61.01                                | 06/25/14          | 74.50                   | 0.00                                  | -13.49                                   | Sale                   |
| 10/21/14                                                                              | 11,000   | 671.22                   | 671.22                               | VARIOUS           | 754.09                  | 0.00                                  | -82.87                                   | Total of 4 lots        |
| 2 tax lots for 10/22/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 62.75                    | 62.75                                | 06/25/14          | 74.50                   | 0.00                                  | -11.75                                   | Sale                   |
|                                                                                       | 1,000    | 62.74                    | 62.74                                | 06/26/14          | 75.02                   | 0.00                                  | -12.28                                   | Sale                   |
| 10/22/14                                                                              | 2,000    | 125.49                   | 125.49                               | VARIOUS           | 149.52                  | 0.00                                  | -24.03                                   | Total of 2 lots        |
| 2 tax lots for 10/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 125.71                   | 125.71                               | 06/26/14          | 150.05                  | 0.00                                  | -24.34                                   | Sale                   |
|                                                                                       | 3,000    | 188.32                   | 188.32                               | 06/26/14          | 225.07                  | 0.00                                  | -36.75                                   | Sale                   |
| 10/23/14                                                                              | 5,000    | 314.03                   | 314.03                               | VARIOUS           | 375.12                  | 0.00                                  | -61.09                                   | Total of 2 lots        |
| Security total:                                                                       |          |                          |                                      |                   | 5,177.92                | 0.00                                  | 1,341.29                                 |                        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                  | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss or gain not allowed(X) | Gain or loss(-) & Additional Information |
|------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|-----------------------------------|--------------------------------|------------------------------------------|
| DUN & BRADSTREET CORP NEW / CUSIP: 26483E100 / Symbol: DNB |          |                           |                                       |                   |                         |                                   |                                |                                          |
| 06/10/14                                                   | 3,000    |                           | 309.20                                | 03/14/14          | 292.25                  | 0.00                              |                                | 16.95 Sale                               |
| 09/24/14                                                   | 6,000    |                           | 699.94                                | 03/14/14          | 584.50                  | 0.00                              |                                | 115.44 Sale                              |
| 10/28/14                                                   | 6,000    |                           | 725.38                                | 03/14/14          | 584.51                  | 0.00                              |                                | 140.87 Sale                              |
| 11/24/14                                                   | 6,000    |                           | 757.96                                | 03/14/14          | 584.50                  | 0.00                              |                                | 173.46 Sale                              |
| Security total:                                            |          |                           | 2,492.48                              |                   | 2,045.76                | 0.00                              |                                | 446.72                                   |

ELIZABETH ARDEN INC / CUSIP: 28660G106 / Symbol: RDN

## 5 tax lots for 04/23/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |  |        |          |        |      |  |                         |
|----------|--------|--|--------|----------|--------|------|--|-------------------------|
| 04/23/14 | 2,000  |  | 63.38  | 05/03/13 | 86.10  | 0.00 |  | -22.72 Sale             |
|          | 3,000  |  | 95.08  | 05/03/13 | 129.12 | 0.00 |  | -34.04 Sale             |
|          | 2,000  |  | 63.97  | 05/06/13 | 86.40  | 0.00 |  | -22.43 Sale             |
|          | 4,000  |  | 126.77 | 05/06/13 | 172.71 | 0.00 |  | -45.94 Sale             |
|          | 6,000  |  | 191.91 | 05/07/13 | 261.33 | 0.00 |  | -69.42 Sale             |
|          | 17,000 |  | 541.11 | VARIOUS  | 735.66 | 0.00 |  | -194.55 Total of 5 lots |
| 04/24/14 | 1,000  |  | 31.66  | 05/07/13 | 43.55  | 0.00 |  | -11.89 Sale             |

## 5 tax lots for 04/25/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |  |        |          |        |      |  |                         |
|----------|--------|--|--------|----------|--------|------|--|-------------------------|
|          | 1,000  |  | 35.06  | 05/08/13 | 44.03  | 0.00 |  | -8.97 Sale              |
|          | 4,000  |  | 140.23 | 05/08/13 | 176.09 | 0.00 |  | -35.86 Sale             |
|          | 1,000  |  | 35.05  | 05/09/13 | 44.14  | 0.00 |  | -9.09 Sale              |
|          | 10,000 |  | 350.57 | 05/09/13 | 443.17 | 0.00 |  | -92.60 Sale             |
|          | 5,000  |  | 175.29 | 05/14/13 | 230.40 | 0.00 |  | -55.11 Sale             |
| 04/25/14 | 21,000 |  | 736.20 | VARIOUS  | 937.83 | 0.00 |  | -201.63 Total of 5 lots |

## 2 tax lots for 05/06/14. Total proceeds (and cost when required) reported to the IRS.

|          |       |  |        |          |        |      |  |                        |
|----------|-------|--|--------|----------|--------|------|--|------------------------|
|          | 3,000 |  | 104.92 | 05/14/13 | 138.24 | 0.00 |  | -33.32 Sale            |
|          | 5,000 |  | 175.66 | 05/14/13 | 230.40 | 0.00 |  | -54.74 Sale            |
| 05/06/14 | 8,000 |  | 280.58 | VARIOUS  | 368.64 | 0.00 |  | -88.06 Total of 2 lots |

## 8 tax lots for 05/13/14. Total proceeds (and cost when required) reported to the IRS.

|  |        |  |        |          |        |      |  |              |
|--|--------|--|--------|----------|--------|------|--|--------------|
|  | 10,000 |  | 287.50 | 05/14/13 | 460.80 | 0.00 |  | -173.30 Sale |
|  | 1,000  |  | 28.75  | 05/21/13 | 48.75  | 0.00 |  | -20.00 Sale  |
|  | 1,000  |  | 28.75  | 05/22/13 | 48.90  | 0.00 |  | -20.15 Sale  |
|  | 1,000  |  | 28.75  | 05/22/13 | 48.94  | 0.00 |  | -20.19 Sale  |
|  | 1,000  |  | 28.75  | 05/23/13 | 47.23  | 0.00 |  | -18.48 Sale  |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                              | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ELIZABETH ARDEN INC / CUSIP: 28660G106 / Symbol: RDEN (cont'd)                         |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 1.000    | 28.75                     | 28.75                                 | 05/24/13          | 46.98                   | 0.00                                  | -18.23                                   | Sale                   |
|                                                                                        | 1.000    | 28.75                     | 28.75                                 | 05/28/13          | 47.78                   | 0.00                                  | -19.03                                   | Sale                   |
|                                                                                        | 1.000    | 28.75                     | 28.75                                 | 05/30/13          | 47.53                   | 0.00                                  | -18.78                                   | Sale                   |
| 05/13/14                                                                               | 17.000   | 488.75                    | 488.75                                | VARIOUS           | 796.91                  | 0.00                                  | -308.16                                  | Total of 8 lots        |
| 5 tax lots for 05/14/14. Total proceeds (and cost when required) reported to the IRS.  |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 1.000    | 26.97                     | 26.97                                 | 05/30/13          | 47.60                   | 0.00                                  | -20.63                                   | Sale                   |
|                                                                                        | 1.000    | 26.97                     | 26.97                                 | 05/31/13          | 47.45                   | 0.00                                  | -20.48                                   | Sale                   |
|                                                                                        | 1.000    | 26.97                     | 26.97                                 | 06/03/13          | 47.00                   | 0.00                                  | -20.03                                   | Sale                   |
|                                                                                        | 1.000    | 26.97                     | 26.97                                 | 06/20/13          | 45.58                   | 0.00                                  | -18.61                                   | Sale                   |
|                                                                                        | 3.000    | 80.91                     | 80.91                                 | 06/20/13          | 136.39                  | 0.00                                  | -55.48                                   | Sale                   |
| 05/14/14                                                                               | 7.000    | 188.79                    | 188.79                                | VARIOUS           | 324.02                  | 0.00                                  | -135.23                                  | Total of 5 lots        |
| 12 tax lots for 05/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 1.000    | 24.48                     | 24.48                                 | 06/20/13          | 45.58                   | 0.00                                  | -21.10                                   | Sale                   |
|                                                                                        | 4.000    | 99.81                     | 99.81                                 | 06/20/13          | 182.32                  | 0.00                                  | -82.51                                   | Sale                   |
|                                                                                        | 4.000    | 97.91                     | 97.91                                 | 06/21/13          | 184.29                  | 0.00                                  | -86.38                                   | Sale                   |
|                                                                                        | 6.000    | 146.86                    | 146.86                                | 07/31/13          | 245.84                  | 0.00                                  | -98.98                                   | Sale                   |
|                                                                                        | 6.000    | 146.87                    | 146.87                                | 07/31/13          | 246.00                  | 0.00                                  | -99.13                                   | Sale                   |
|                                                                                        | 1.000    | 24.48                     | 24.48                                 | 08/12/13          | 34.82                   | 0.00                                  | -10.34                                   | Sale                   |
|                                                                                        | 8.000    | 195.82                    | 195.82                                | 08/12/13          | 277.73                  | 0.00                                  | -81.91                                   | Sale                   |
|                                                                                        | 2.000    | 48.95                     | 48.95                                 | 08/13/13          | 70.18                   | 0.00                                  | -21.23                                   | Sale                   |
|                                                                                        | 10.000   | 244.78                    | 244.78                                | 08/14/13          | 352.68                  | 0.00                                  | -107.90                                  | Sale                   |
|                                                                                        | 7.000    | 171.34                    | 171.34                                | 08/15/13          | 246.09                  | 0.00                                  | -74.75                                   | Sale                   |
|                                                                                        | 4.000    | 97.91                     | 97.91                                 | 08/16/13          | 140.79                  | 0.00                                  | -42.88                                   | Sale                   |
|                                                                                        | 5.000    | 122.39                    | 122.39                                | 08/19/13          | 175.01                  | 0.00                                  | -52.62                                   | Sale                   |
| 05/15/14                                                                               | 58.000   | 1,421.60                  | 1,421.60                              | VARIOUS           | 2,201.33                | 0.00                                  | -779.73                                  | Total of 12 lots       |
| 05/16/14                                                                               | 1.000    | 25.43                     | 25.43                                 | 08/19/13          | 35.00                   | 0.00                                  | -9.57                                    | Sale                   |
| Security total:                                                                        |          | 4,023.04                  | 4,023.04                              |                   | 5,857.66                | 0.00                                  | -1,834.62                                |                        |
| 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.  |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                        | 4.000    | 134.04                    | 134.04                                | 12/13/13          | 104.91                  | 0.00                                  | 29.13                                    | Sale                   |
|                                                                                        | 2.000    | 67.02                     | 67.02                                 | 12/16/13          | 54.47                   | 0.00                                  | 12.55                                    | Sale                   |

ELLIE MAE INC / CUSIP: 28849P100 / Symbol: ELLI

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)/gross (N)/net | 1d- Proceeds & Reported (G)/gross (N)/net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------|-------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ELLIE MAE INC / CUSIP: 28849P100 / Symbol: ELLI (cont'd)                              | 6,000    | 201.05                        | 201.05                                    | 12/16/13          | 165.43                  | 0.00                                  | 35.62                  | Sale                                     |
| 09/24/14                                                                              | 12,000   | 402.11                        | 402.11                                    | VARIOUS           | 324.81                  | 0.00                                  | 77.30                  | Total of 3 lots                          |
| 11/24/14                                                                              | 10,000   | 420.99                        | 420.99                                    | 12/16/13          | 272.35                  | 0.00                                  | 148.64                 | Sale                                     |
| Security total:                                                                       |          | 823.10                        | 823.10                                    |                   | 597.16                  | 0.00                                  | 225.94                 |                                          |
| M SYSTEMS INC / CUSIP: 29414B104 / Symbol: EPAM                                       |          |                               |                                           |                   |                         |                                       |                        |                                          |
| 5 tax lots for 03/03/14. Total proceeds (and cost when required) reported to the IRS  |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 9,000    | 289.14                        | 289.14                                    | 06/17/13          | 233.70                  | 0.00                                  | 55.44                  | Sale                                     |
|                                                                                       | 14,000   | 449.78                        | 449.78                                    | 06/18/13          | 370.46                  | 0.00                                  | 79.32                  | Sale                                     |
|                                                                                       | 2,000    | 64.25                         | 64.25                                     | 06/19/13          | 53.23                   | 0.00                                  | 11.02                  | Sale                                     |
|                                                                                       | 3,000    | 96.38                         | 96.38                                     | 06/19/13          | 79.78                   | 0.00                                  | 16.60                  | Sale                                     |
|                                                                                       | 7,000    | 228.62                        | 228.62                                    | 06/19/13          | 186.14                  | 0.00                                  | 42.48                  | Sale                                     |
| 03/03/14                                                                              | 35,000   | 1,128.17                      | 1,128.17                                  | VARIOUS           | 923.31                  | 0.00                                  | 204.86                 | Total of 5 lots                          |
| 4 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 141.04                        | 141.04                                    | 06/19/13          | 106.37                  | 0.00                                  | 34.67                  | Sale                                     |
|                                                                                       | 15,000   | 544.25                        | 544.25                                    | 06/19/13          | 398.88                  | 0.00                                  | 145.37                 | Sale                                     |
|                                                                                       | 17,000   | 616.81                        | 616.81                                    | 06/20/13          | 451.16                  | 0.00                                  | 165.65                 | Sale                                     |
|                                                                                       | 4,000    | 145.13                        | 145.13                                    | 06/21/13          | 106.62                  | 0.00                                  | 38.51                  | Sale                                     |
| 03/04/14                                                                              | 40,000   | 1,447.23                      | 1,447.23                                  | VARIOUS           | 1,063.03                | 0.00                                  | 384.20                 | Total of 4 lots                          |
| 4 tax lots for 03/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 36.78                         | 36.78                                     | 06/21/13          | 26.38                   | 0.00                                  | 10.40                  | Sale                                     |
|                                                                                       | 1,000    | 37.10                         | 37.10                                     | 06/21/13          | 26.38                   | 0.00                                  | 10.72                  | Sale                                     |
|                                                                                       | 3,000    | 108.68                        | 108.68                                    | 06/21/13          | 79.14                   | 0.00                                  | 29.54                  | Sale                                     |
|                                                                                       | 3,000    | 110.36                        | 110.36                                    | 06/21/13          | 79.97                   | 0.00                                  | 30.39                  | Sale                                     |
| 03/05/14                                                                              | 8,000    | 292.92                        | 292.92                                    | VARIOUS           | 211.87                  | 0.00                                  | 81.05                  | Total of 4 lots                          |
| 2 tax lots for 03/06/14. Total proceeds (and cost when required) reported to the IRS. |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 71.09                         | 71.09                                     | 06/21/13          | 52.76                   | 0.00                                  | 18.33                  | Sale                                     |
|                                                                                       | 12,000   | 426.57                        | 426.57                                    | 06/24/13          | 314.04                  | 0.00                                  | 112.53                 | Sale                                     |
| 03/06/14                                                                              | 14,000   | 497.66                        | 497.66                                    | VARIOUS           | 366.80                  | 0.00                                  | 130.86                 | Total of 2 lots                          |
| 3 tax lots for 03/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                               |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 65.75                         | 65.75                                     | 06/25/13          | 52.06                   | 0.00                                  | 13.69                  | Sale                                     |
|                                                                                       | 3,000    | 98.61                         | 98.61                                     | 06/25/13          | 78.08                   | 0.00                                  | 20.53                  | Sale                                     |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

**UBS FINANCIAL SERVICES INC.**

Account: PF.00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No.: 1545-0715

## 2. SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 11a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)/ross (Net) | 1d- Proceeds & 6- Reported (G)/ross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|-----------------|----------------------------|-------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| EPAM SYSTEMS INC / CUSIP: 29414B104 / Symbol: EPAM (cont'd)                           | 3,000           | 98.62                      | 06/25/13                                  | 77.71             | 0.00                    | 20.91                                 | Sale                   | C                                        |
| 03/13/14                                                                              | 8,000           | 262.98                     | VARIOUS                                   | 207.85            | 0.00                    | 55.13                                 | Total of 3 lots        | C                                        |
| 6 tax lots for 03/14/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000           | 32.23                      | 06/25/13                                  | 26.03             | 0.00                    | 6.20                                  | Sale                   | C                                        |
|                                                                                       | 6,000           | 193.39                     | 06/26/13                                  | 161.44            | 0.00                    | 31.95                                 | Sale                   | C                                        |
|                                                                                       | 6,000           | 193.40                     | 06/26/13                                  | 161.22            | 0.00                    | 32.18                                 | Sale                   | C                                        |
|                                                                                       | 3,000           | 96.70                      | 06/27/13                                  | 81.17             | 0.00                    | 15.53                                 | Sale                   | C                                        |
|                                                                                       | 4,000           | 127.53                     | 06/27/13                                  | 108.22            | 0.00                    | 19.31                                 | Sale                   | C                                        |
|                                                                                       | 6,000           | 193.39                     | 06/27/13                                  | 163.90            | 0.00                    | 29.49                                 | Sale                   | C                                        |
| 03/14/14                                                                              | 26,000          | 836.64                     | VARIOUS                                   | 701.98            | 0.00                    | 134.66                                | Total of 6 lots        | C                                        |
| 2 tax lots for 03/17/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000           | 163.77                     | 06/28/13                                  | 136.25            | 0.00                    | 27.52                                 | Sale                   | C                                        |
| 03/17/14                                                                              | 11,000          | 360.29                     | 06/28/13                                  | 298.97            | 0.00                    | 61.32                                 | Sale                   | C                                        |
|                                                                                       | 16,000          | 524.06                     | VARIOUS                                   | 435.22            | 0.00                    | 88.84                                 | Total of 2 lots        | C                                        |
| 03/18/14                                                                              | 1,000           | 33.90                      | 07/01/13                                  | 26.60             | 0.00                    | 7.30                                  | Sale                   | C                                        |
|                                                                                       | Security total: | 5,023.56                   |                                           | 3,936.66          | 0.00                    | 1,086.90                              |                        | C                                        |
| ESTERLINE TECHNOLOGIES CORP COMMON STOCK / CUSIP: 297425100 / Symbol: ESL             |                 |                            |                                           |                   |                         |                                       |                        |                                          |
| 3 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000           | 116.94                     | 11/21/13                                  | 83.09             | 0.00                    | 33.85                                 | Sale                   | C                                        |
|                                                                                       | 2,000           | 233.89                     | 11/22/13                                  | 171.15            | 0.00                    | 62.74                                 | Sale                   | C                                        |
|                                                                                       | 1,000           | 116.94                     | 11/25/13                                  | 86.37             | 0.00                    | 30.57                                 | Sale                   | C                                        |
| 06/10/14                                                                              | 4,000           | 467.77                     | VARIOUS                                   | 340.61            | 0.00                    | 127.16                                | Total of 3 lots        | C                                        |
| 4 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000           | 110.51                     | 11/25/13                                  | 86.16             | 0.00                    | 24.35                                 | Sale                   | C                                        |
|                                                                                       | 2,000           | 221.04                     | 11/25/13                                  | 172.74            | 0.00                    | 48.30                                 | Sale                   | C                                        |
|                                                                                       | 1,000           | 110.52                     | 11/26/13                                  | 86.88             | 0.00                    | 23.64                                 | Sale                   | C                                        |
|                                                                                       | 1,000           | 110.52                     | 11/27/13                                  | 88.05             | 0.00                    | 22.47                                 | Sale                   | C                                        |
| 09/24/14                                                                              | 5,000           | 552.59                     | VARIOUS                                   | 433.83            | 0.00                    | 118.76                                | Total of 4 lots        | C                                        |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS  |                 |                            |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000           | 458.19                     | 11/27/13                                  | 352.22            | 0.00                    | 105.97                                | Sale                   | C                                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross) / CUSIP: 297425100 / Symbol: ESL (cont'd) | 1d- Proceeds & Reported (Gross) / CUSIP: 297425100 / Symbol: ESL (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Gain or loss(-) & Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|---------------------------------------------------------------|---------------------------------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ESTERLINE TECHNOLOGIES CORP COMMON STOCK                                              | 1,000    | 114.55                                                        | 114.55                                                                    | 11/29/13          | 88.68                   | 0.00                                  | 25.87                                    | Sale                   |
| 10/28/14                                                                              | 5,000    | 572.74                                                        | 572.74                                                                    | VARIOUS           | 440.90                  | 0.00                                  | 131.84                                   | Total of 2 lots        |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                               |                                                                           |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 118.38                                                        | 118.38                                                                    | 11/29/13          | 88.67                   | 0.00                                  | 29.71                                    | Sale                   |
|                                                                                       | 1,000    | 118.38                                                        | 118.38                                                                    | 12/02/13          | 87.46                   | 0.00                                  | 30.92                                    | Sale                   |
|                                                                                       | 4,000    | 473.52                                                        | 473.52                                                                    | 12/02/13          | 351.40                  | 0.00                                  | 122.12                                   | Sale                   |
| 11/24/14                                                                              | 6,000    | 710.28                                                        | 710.28                                                                    | VARIOUS           | 527.53                  | 0.00                                  | 182.75                                   | Total of 3 lots        |
| Security total:                                                                       |          |                                                               |                                                                           |                   |                         |                                       |                                          |                        |
|                                                                                       |          | 2,303.38                                                      | 2,303.38                                                                  |                   | 1,742.87                | 0.00                                  | 560.51                                   |                        |
| EXCEL TRUST INC REIT SBI / CUSIP: 30068C109 / Symbol: EXL                             |          |                                                               |                                                                           |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                               |                                                                           |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 12.43                                                         | 12.43                                                                     | 03/05/13          | 12.86                   | 0.00                                  | -0.43                                    | Sale                   |
|                                                                                       | 3,000    | 37.27                                                         | 37.27                                                                     | 03/05/13          | 38.47                   | 0.00                                  | -1.20                                    | Sale                   |
| 02/25/14                                                                              | 4,000    | 49.70                                                         | 49.70                                                                     | VARIOUS           | 51.33                   | 0.00                                  | -1.63                                    | Total of 2 lots        |
| 9 tax lots for 02/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                               |                                                                           |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 12.40                                                         | 12.40                                                                     | 03/05/13          | 12.86                   | 0.00                                  | -0.46                                    | Sale                   |
|                                                                                       | 4,000    | 49.62                                                         | 49.62                                                                     | 03/06/13          | 52.46                   | 0.00                                  | -2.84                                    | Sale                   |
|                                                                                       | 6,000    | 74.42                                                         | 74.42                                                                     | 03/06/13          | 78.56                   | 0.00                                  | -4.14                                    | Sale                   |
|                                                                                       | 5,000    | 62.02                                                         | 62.02                                                                     | 03/07/13          | 66.72                   | 0.00                                  | -4.70                                    | Sale                   |
|                                                                                       | 6,000    | 74.42                                                         | 74.42                                                                     | 03/07/13          | 79.87                   | 0.00                                  | -5.45                                    | Sale                   |
|                                                                                       | 3,000    | 37.21                                                         | 37.21                                                                     | 03/25/13          | 40.15                   | 0.00                                  | -2.94                                    | Sale                   |
|                                                                                       | 1,000    | 12.40                                                         | 12.40                                                                     | 04/03/13          | 13.40                   | 0.00                                  | -1.00                                    | Sale                   |
|                                                                                       | 10,000   | 124.22                                                        | 124.22                                                                    | 04/03/13          | 134.05                  | 0.00                                  | -9.83                                    | Sale                   |
|                                                                                       | 9,000    | 111.80                                                        | 111.80                                                                    | 05/31/13          | 118.49                  | 0.00                                  | -6.69                                    | Sale                   |
| 02/26/14                                                                              | 45,000   | 558.51                                                        | 558.51                                                                    | VARIOUS           | 596.56                  | 0.00                                  | -38.05                                   | Total of 9 lots        |
| 3 tax lots for 02/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                               |                                                                           |                   |                         |                                       |                                          |                        |
|                                                                                       | 9,000    | 112.14                                                        | 112.14                                                                    | 05/31/13          | 118.48                  | 0.00                                  | -6.34                                    | Sale                   |
|                                                                                       | 3,000    | 37.38                                                         | 37.38                                                                     | 06/03/13          | 39.94                   | 0.00                                  | -2.56                                    | Sale                   |
|                                                                                       | 7,000    | 87.23                                                         | 87.23                                                                     | 06/03/13          | 93.50                   | 0.00                                  | -6.27                                    | Sale                   |
| 02/27/14                                                                              | 19,000   | 236.75                                                        | 236.75                                                                    | VARIOUS           | 251.92                  | 0.00                                  | -15.17                                   | Total of 3 lots        |
| 4 tax lots for 02/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                               |                                                                           |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 12.54                                                         | 12.54                                                                     | 06/04/13          | 13.41                   | 0.00                                  | -0.87                                    | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC

Account: PF.00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| EXCEL TRUST INC REIT SBI / CUSIP: 30068C109 / Symbol: EXL (cont'd)                    |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    |                          | 62.68                                | 06/04/13          | 67.27                   | 0.00                                  | -4.59                  | Sale C                                   |
|                                                                                       | 12,000   |                          | 150.54                               | 06/04/13          | 161.46                  | 0.00                                  | -10.92                 | Sale C                                   |
|                                                                                       | 5,000    |                          | 62.73                                | 08/26/13          | 59.43                   | 0.00                                  | 3.30                   | Sale C                                   |
| 02/28/14                                                                              | 23,000   |                          | 288.49                               | VARIOUS           | 301.57                  | 0.00                                  | -13.08                 | Total of 4 lots                          |
| 2 tax lots for 03/03/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 9,000    |                          | 112.80                               | 08/26/13          | 106.96                  | 0.00                                  | 5.84                   | Sale C                                   |
|                                                                                       | 9,000    |                          | 112.79                               | 08/27/13          | 107.02                  | 0.00                                  | 5.77                   | Sale C                                   |
| 03/03/14                                                                              | 18,000   |                          | 225.59                               | VARIOUS           | 213.98                  | 0.00                                  | 11.61                  | Total of 2 lots                          |
| 4 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    |                          | 51.14                                | 08/27/13          | 47.57                   | 0.00                                  | 3.57                   | Sale C                                   |
|                                                                                       | 1,000    |                          | 12.78                                | 08/28/13          | 11.88                   | 0.00                                  | 0.90                   | Sale C                                   |
|                                                                                       | 5,000    |                          | 63.92                                | 09/19/13          | 61.65                   | 0.00                                  | 2.27                   | Sale C                                   |
|                                                                                       | 2,000    |                          | 25.57                                | 09/20/13          | 24.30                   | 0.00                                  | 1.27                   | Sale C                                   |
| 03/04/14                                                                              | 12,000   |                          | 153.41                               | VARIOUS           | 145.40                  | 0.00                                  | 8.01                   | Total of 4 lots                          |
| 03/05/14                                                                              | 4,000    |                          | 51.06                                | 09/23/13          | 49.50                   | 0.00                                  | 1.56                   | Sale C                                   |
| 2 tax lots for 03/06/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    |                          | 12.71                                | 09/23/13          | 12.37                   | 0.00                                  | 0.34                   | Sale C                                   |
|                                                                                       | 2,000    |                          | 25.41                                | 09/23/13          | 24.84                   | 0.00                                  | 0.57                   | Sale C                                   |
| 03/06/14                                                                              | 3,000    |                          | 38.12                                | VARIOUS           | 37.21                   | 0.00                                  | 0.91                   | Total of 2 lots                          |
| 03/07/14                                                                              | 2,000    |                          | 24.99                                | 09/23/13          | 24.85                   | 0.00                                  | 0.14                   | Sale C                                   |
| 03/10/14                                                                              | 1,000    |                          | 12.51                                | 09/23/13          | 12.42                   | 0.00                                  | 0.09                   | Sale C                                   |
| Security total:                                                                       |          |                          | 1,639.13                             |                   | 1,684.74                | 0.00                                  | -45.61                 |                                          |
| GRAPHIC PACKAGING HOLDING CO / CUSIP: 388689101 / Symbol: GPK                         |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 40,000   |                          | 449.19                               | 09/18/13          | 346.64                  | 0.00                                  | 102.55                 | Sale C                                   |
| 5 tax lots for 08/19/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    |                          | 24.86                                | 09/18/13          | 17.55                   | 0.00                                  | 7.31                   | Sale C                                   |
|                                                                                       | 28,000   |                          | 348.00                               | 09/18/13          | 242.65                  | 0.00                                  | 105.35                 | Sale C                                   |
|                                                                                       | 31,000   |                          | 384.72                               | 09/19/13          | 271.51                  | 0.00                                  | 113.21                 | Sale C                                   |
|                                                                                       | 43,000   |                          | 534.43                               | 09/19/13          | 376.62                  | 0.00                                  | 157.81                 | Sale C                                   |
|                                                                                       | 63,000   |                          | 781.86                               | 09/19/13          | 551.06                  | 0.00                                  | 230.80                 | Sale C                                   |
| 08/19/14                                                                              | 167,000  |                          | 2,073.87                             | VARIOUS           | 1,459.39                | 0.00                                  | 614.48                 | Total of 5 lots                          |

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UBS FINANCIAL SERVICES INC.

Account: PF 00124

2014 1099-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) / Symbol: GPK (cont'd) | 1d- Proceeds & 6- Reported (Gross (Net) / Symbol: GPK (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|-------------------------------------------------|----------------------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| GRAPHIC PACKAGING HOLDING CO / CUSIP: 388669101 / Symbol: GPK (cont'd)                |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 08/20/14                                                                              | 1,000    | 12.31                                           | 12.31                                                          | 09/19/13          | 8.74                    | 0.00                                  |                        | 3.57 Sale                                |
| Security total:                                                                       |          | 2,535.37                                        |                                                                |                   | 1,814.77                | 0.00                                  |                        | 720.60                                   |
| HSN INC / CUSIP: 404303109 / Symbol: HSN                                              |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 7,000    | 409.24                                          | 409.24                                                         | 03/11/14          | 425.07                  | 0.00                                  |                        | -15.83 Sale                              |
| 09/24/14                                                                              | 8,000    | 492.48                                          | 492.48                                                         | 03/11/14          | 485.79                  | 0.00                                  |                        | 6.69 Sale                                |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 10/28/14                                                                              | 1,000    | 68.20                                           | 68.20                                                          | 03/11/14          | 60.72                   | 0.00                                  |                        | 7.48 Sale                                |
|                                                                                       | 8,000    | 545.62                                          | 545.62                                                         | 03/12/14          | 481.62                  | 0.00                                  |                        | 64.00 Sale                               |
|                                                                                       | 9,000    | 613.82                                          | 613.82                                                         | VARIOUS           | 542.34                  | 0.00                                  |                        | 71.48 Total of 2 lots                    |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 11/24/14                                                                              | 3,000    | 216.87                                          | 216.87                                                         | 03/12/14          | 180.61                  | 0.00                                  |                        | 36.26 Sale                               |
|                                                                                       | 5,000    | 361.44                                          | 361.44                                                         | 03/13/14          | 301.86                  | 0.00                                  |                        | 59.58 Sale                               |
|                                                                                       | 8,000    | 578.31                                          | 578.31                                                         | VARIOUS           | 482.47                  | 0.00                                  |                        | 95.84 Total of 2 lots                    |
| Security total:                                                                       |          | 2,093.85                                        |                                                                |                   | 1,935.67                | 0.00                                  |                        | 158.18                                   |
| HAEMONETICS CORP MASS COMMON STOCK / CUSIP: 405024100 / Symbol: HAE                   |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 3,000    | 102.96                                          | 102.96                                                         | 05/14/14          | 99.39                   | 0.00                                  |                        | 3.57 Sale                                |
|                                                                                       | 5,000    | 171.59                                          | 171.59                                                         | 05/14/14          | 164.94                  | 0.00                                  |                        | 6.65 Sale                                |
|                                                                                       | 8,000    | 274.55                                          | 274.55                                                         | VARIOUS           | 264.33                  | 0.00                                  |                        | 10.22 Total of 2 lots                    |
| 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 09/24/14                                                                              | 3,000    | 102.75                                          | 102.75                                                         | 05/14/14          | 99.39                   | 0.00                                  |                        | 3.36 Sale                                |
|                                                                                       | 4,000    | 137.00                                          | 137.00                                                         | 05/15/14          | 132.96                  | 0.00                                  |                        | 4.04 Sale                                |
|                                                                                       | 7,000    | 239.74                                          | 239.74                                                         | 05/15/14          | 232.10                  | 0.00                                  |                        | 7.64 Sale                                |
|                                                                                       | 14,000   | 479.49                                          | 479.49                                                         | VARIOUS           | 464.45                  | 0.00                                  |                        | 15.04 Total of 3 lots                    |
| 10/28/14                                                                              | 14,000   | 523.31                                          | 523.31                                                         | 05/15/14          | 465.35                  | 0.00                                  |                        | 57.96 Sale                               |
| 11/24/14                                                                              | 15,000   | 560.09                                          | 560.09                                                         | 05/15/14          | 498.59                  | 0.00                                  |                        | 61.50 Sale                               |
| Security total:                                                                       |          | 1,837.44                                        |                                                                |                   | 1,692.72                | 0.00                                  |                        | 144.72                                   |
| HARMAN INTL INDS INC NEW COMMON STOCK / CUSIP: 413086109 / Symbol: HAR                |          |                                                 |                                                                |                   |                         |                                       |                        |                                          |
| 05/01/14                                                                              | 2,000    | 213.04                                          | 213.04                                                         | 05/07/13          | 98.01                   | 0.00                                  |                        | 115.03 Sale                              |
| 05/02/14                                                                              | 1,000    | 110.64                                          | 110.64                                                         | 05/07/13          | 49.01                   | 0.00                                  |                        | 61.63 Sale                               |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)Gross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|-----------------|----------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| HARMAN INTL INDS INC NEW COMMON STOCK / CUSIP: 413086109 / Symbol: HAR (cont'd)       |                 |                            |                   |                         |                                       |                        |                                          |
| 8 tax lots for 05/07/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000           | 210.69                     | 05/07/13          | 98.01                   | 0.00                                  |                        | 112.68 Sale                              |
|                                                                                       | 3,000           | 315.91                     | 05/07/13          | 147.01                  | 0.00                                  |                        | 168.90 Sale                              |
|                                                                                       | 1,000           | 105.30                     | 05/08/13          | 49.41                   | 0.00                                  |                        | 55.89 Sale                               |
|                                                                                       | 8,000           | 842.42                     | 05/15/13          | 405.46                  | 0.00                                  |                        | 436.96 Sale                              |
|                                                                                       | 2,000           | 210.60                     | 05/17/13          | 102.74                  | 0.00                                  |                        | 107.86 Sale                              |
|                                                                                       | 2,000           | 210.61                     | 05/20/13          | 104.93                  | 0.00                                  |                        | 105.68 Sale                              |
|                                                                                       | 8,000           | 842.42                     | 05/20/13          | 421.26                  | 0.00                                  |                        | 421.16 Sale                              |
|                                                                                       | 2,000           | 210.60                     | 09/24/13          | 132.59                  | 0.00                                  |                        | 78.01 Sale                               |
| 05/07/14                                                                              | 28,000          | 2,948.55                   | VARIOUS           | 1,461.41                | 0.00                                  |                        | 1,487.14 Total of 8 lots                 |
|                                                                                       |                 | 3,272.23                   |                   | 1,608.43                | 0.00                                  |                        | 1,663.80                                 |
|                                                                                       | Security total: |                            |                   |                         |                                       |                        |                                          |

IXIA / CUSIP: 45071R109 / Symbol: XXIA

|                                                                                       |        |        |          |          |      |  |                         |
|---------------------------------------------------------------------------------------|--------|--------|----------|----------|------|--|-------------------------|
| 7 tax lots for 08/06/14. Total proceeds (and cost when required) reported to the IRS. |        |        |          |          |      |  |                         |
|                                                                                       | 3,000  | 30.18  | 12/06/13 | 38.22    | 0.00 |  | -8.04 Sale              |
|                                                                                       | 7,000  | 70.43  | 12/06/13 | 86.68    | 0.00 |  | -16.25 Sale             |
|                                                                                       | 8,000  | 79.49  | 12/06/13 | 102.36   | 0.00 |  | -22.87 Sale             |
|                                                                                       | 10,000 | 101.33 | 12/06/13 | 123.82   | 0.00 |  | -22.49 Sale             |
|                                                                                       | 18,000 | 178.87 | 12/06/13 | 222.88   | 0.00 |  | -44.01 Sale             |
|                                                                                       | 28,000 | 281.71 | 12/06/13 | 354.91   | 0.00 |  | -73.20 Sale             |
|                                                                                       | 18,000 | 178.87 | 12/09/13 | 238.67   | 0.00 |  | -59.80 Sale             |
| 08/06/14                                                                              | 92,000 | 920.88 | VARIOUS  | 1,167.54 | 0.00 |  | -246.66 Total of 7 lots |

|                                                                                       |        |        |          |        |      |  |                         |
|---------------------------------------------------------------------------------------|--------|--------|----------|--------|------|--|-------------------------|
| 3 tax lots for 08/07/14. Total proceeds (and cost when required) reported to the IRS. |        |        |          |        |      |  |                         |
|                                                                                       | 4,000  | 39.32  | 12/09/13 | 52.62  | 0.00 |  | -13.30 Sale             |
|                                                                                       | 12,000 | 117.42 | 12/09/13 | 159.11 | 0.00 |  | -41.69 Sale             |
|                                                                                       | 25,000 | 245.74 | 12/09/13 | 331.49 | 0.00 |  | -85.75 Sale             |
| 08/07/14                                                                              | 41,000 | 402.48 | VARIOUS  | 543.22 | 0.00 |  | -140.74 Total of 3 lots |

|                                                                                       |        |        |          |        |      |  |             |
|---------------------------------------------------------------------------------------|--------|--------|----------|--------|------|--|-------------|
| 6 tax lots for 08/18/14. Total proceeds (and cost when required) reported to the IRS. |        |        |          |        |      |  |             |
|                                                                                       | 1,000  | 9.59   | 12/09/13 | 13.15  | 0.00 |  | -3.56 Sale  |
|                                                                                       | 5,000  | 47.76  | 12/10/13 | 66.13  | 0.00 |  | -18.37 Sale |
|                                                                                       | 8,000  | 76.74  | 12/10/13 | 105.81 | 0.00 |  | -29.07 Sale |
|                                                                                       | 9,000  | 86.34  | 12/10/13 | 119.28 | 0.00 |  | -32.94 Sale |
|                                                                                       | 13,000 | 124.71 | 12/10/13 | 171.63 | 0.00 |  | -46.92 Sale |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| IXIA / CUSIP: 45071R109 / Symbol: XXIA (cont'd)                                       | 19,000   | 183.34                   | 528.48                                  | 12/10/13          | 251.31                  | 0.00                                  | -67.97                 | Sale                                     |
| 08/18/14                                                                              | 55,000   | 528.48                   |                                         | VARIOUS           | 727.31                  | 0.00                                  | -198.83                | Total of 6 lots                          |
| 6 tax lots for 08/19/14 Total proceeds (and cost when required) reported to the IRS   |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 37.93                    |                                         | 12/10/13          | 52.90                   | 0.00                                  | -14.97                 | Sale                                     |
|                                                                                       | 7,000    | 66.27                    |                                         | 12/10/13          | 92.59                   | 0.00                                  | -26.32                 | Sale                                     |
|                                                                                       | 13,000   | 123.09                   |                                         | 12/11/13          | 168.17                  | 0.00                                  | -45.08                 | Sale                                     |
|                                                                                       | 1,000    | 9.46                     |                                         | 12/12/13          | 12.63                   | 0.00                                  | -3.17                  | Sale                                     |
|                                                                                       | 6,000    | 56.81                    |                                         | 03/10/14          | 85.32                   | 0.00                                  | -28.51                 | Sale                                     |
|                                                                                       | 9,000    | 85.21                    |                                         | 03/10/14          | 128.29                  | 0.00                                  | -43.08                 | Sale                                     |
| 08/19/14                                                                              | 40,000   | 378.77                   |                                         | VARIOUS           | 539.90                  | 0.00                                  | -161.13                | Total of 6 lots                          |
| 4 tax lots for 08/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 11,000   | 103.70                   |                                         | 03/10/14          | 156.79                  | 0.00                                  | -53.09                 | Sale                                     |
|                                                                                       | 6,000    | 56.56                    |                                         | 03/11/14          | 82.97                   | 0.00                                  | -26.41                 | Sale                                     |
|                                                                                       | 10,000   | 94.49                    |                                         | 03/11/14          | 138.27                  | 0.00                                  | -43.78                 | Sale                                     |
|                                                                                       | 3,000    | 28.35                    |                                         | 04/04/14          | 38.41                   | 0.00                                  | -10.06                 | Sale                                     |
| 08/20/14                                                                              | 30,000   | 283.10                   |                                         | VARIOUS           | 416.44                  | 0.00                                  | -133.34                | Total of 4 lots                          |
| 2 tax lots for 08/22/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 9.79                     |                                         | 04/04/14          | 12.80                   | 0.00                                  | -3.01                  | Sale                                     |
|                                                                                       | 11,000   | 107.69                   |                                         | 04/07/14          | 139.65                  | 0.00                                  | -31.96                 | Sale                                     |
| 08/22/14                                                                              | 12,000   | 117.48                   |                                         | VARIOUS           | 152.45                  | 0.00                                  | -34.97                 | Total of 2 lots                          |
| 5 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 9.60                     |                                         | 04/07/14          | 12.70                   | 0.00                                  | -3.10                  | Sale                                     |
|                                                                                       | 4,000    | 38.40                    |                                         | 04/08/14          | 51.39                   | 0.00                                  | -12.99                 | Sale                                     |
|                                                                                       | 8,000    | 76.81                    |                                         | 04/08/14          | 102.72                  | 0.00                                  | -25.91                 | Sale                                     |
|                                                                                       | 3,000    | 28.80                    |                                         | 04/09/14          | 38.51                   | 0.00                                  | -9.71                  | Sale                                     |
|                                                                                       | 5,000    | 48.00                    |                                         | 04/09/14          | 64.19                   | 0.00                                  | -16.19                 | Sale                                     |
| 08/25/14                                                                              | 21,000   | 201.61                   |                                         | VARIOUS           | 269.51                  | 0.00                                  | -67.90                 | Total of 5 lots                          |
| 3 tax lots for 08/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 29.38                    |                                         | 04/09/14          | 38.52                   | 0.00                                  | -9.14                  | Sale                                     |
|                                                                                       | 2,000    | 19.41                    |                                         | 04/10/14          | 25.58                   | 0.00                                  | -6.17                  | Sale                                     |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & If- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| IXIA / CUSIP: 45071R109 / Symbol: XXIA (cont'd)                                       | 14,000   | 137.11                   | 137.11                               | 04/10/14          | 179.11                  | 0.00                                  | -42.00                                   | Sale                   |
| 08/26/14                                                                              | 19,000   | 185.90                   | 185.90                               | VARIOUS           | 243.21                  | 0.00                                  | -57.31                                   | Total of 3 lots        |
| 08/27/14                                                                              | 8,000    | 77.29                    | 77.29                                | 04/10/14          | 102.35                  | 0.00                                  | -25.06                                   | Sale                   |
| 2 tax lots for 08/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 8,000    | 76.87                    | 76.87                                | 04/10/14          | 102.30                  | 0.00                                  | -25.43                                   | Sale                   |
|                                                                                       | 5,000    | 48.05                    | 48.05                                | 04/11/14          | 63.19                   | 0.00                                  | -15.14                                   | Sale                   |
| 08/28/14                                                                              | 13,000   | 124.92                   | 124.92                               | VARIOUS           | 165.49                  | 0.00                                  | -40.57                                   | Total of 2 lots        |
| 08/29/14                                                                              | 7,000    | 67.00                    | 67.00                                | 04/11/14          | 88.47                   | 0.00                                  | -21.47                                   | Sale                   |
| 2 tax lots for 09/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 4,000    | 38.33                    | 38.33                                | 04/11/14          | 50.55                   | 0.00                                  | -12.22                                   | Sale                   |
|                                                                                       | 4,000    | 38.33                    | 38.33                                | 04/14/14          | 51.24                   | 0.00                                  | -12.91                                   | Sale                   |
| 09/02/14                                                                              | 8,000    | 76.66                    | 76.66                                | VARIOUS           | 101.79                  | 0.00                                  | -25.13                                   | Total of 2 lots        |
| 09/03/14                                                                              | 4,000    | 37.95                    | 37.95                                | 04/14/14          | 51.23                   | 0.00                                  | -13.28                                   | Sale                   |
| Security total:                                                                       |          | 3,402.52                 | 3,402.52                             |                   | 4,568.91                | 0.00                                  | -1,166.39                                |                        |
| IGATE CORP / CUSIP: 45169U105 / Symbol: IGTE                                          | 4,000    | 146.15                   | 146.15                               | 03/27/14          | 115.87                  | 0.00                                  | 30.28                                    | Sale                   |
| 11/24/14                                                                              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| KAPSTONE PAPER AND PACKAGING CORP / CUSIP: 48562P103 / Symbol: KS                     |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 28.97                    | 28.97                                | 09/19/14          | 29.25                   | 0.28 W                                | 0.00                                     | Sale                   |
|                                                                                       | 13,000   | 376.60                   | 376.60                               | 09/19/14          | 379.40                  | 2.80 W                                | 0.00                                     | Sale                   |
| 10/28/14                                                                              | 14,000   | 405.57                   | 405.57                               | VARIOUS           | 408.65                  | 3.08 W                                | 0.00                                     | Total of 2 lots        |
| 11/24/14                                                                              | 17,000   | 509.31                   | 509.31                               | 09/19/14          | 497.17                  | 0.00                                  | 12.14                                    | Sale                   |
| Security total:                                                                       |          | 914.88                   | 914.88                               |                   | 905.82                  | 3.08 W                                | 12.14                                    |                        |
| KENNAMETAL INC COMMON STOCK / CUSIP: 489170100 / Symbol: KMT                          |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 7 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 6,000    | 228.01                   | 228.01                               | 07/17/14          | 270.40                  | 0.00                                  | -42.39                                   | Sale                   |
|                                                                                       | 18,000   | 684.03                   | 684.03                               | 07/17/14          | 810.68                  | 0.00                                  | -126.65                                  | Sale                   |
|                                                                                       | 1,000    | 38.01                    | 38.01                                | 07/18/14          | 45.16                   | 0.00                                  | -7.15                                    | Sale                   |
|                                                                                       | 6,000    | 228.01                   | 228.01                               | 07/18/14          | 270.94                  | 0.00                                  | -42.93                                   | Sale                   |
| 2,000                                                                                 | 76.00    | 76.00                    | 76.00                                | 07/21/14          | 91.12                   | 0.00                                  | -15.12                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported STOCK / CUSIP: 489170100 / Symbol: KMT (cont'd) | 1d- Proceeds & 6- Reported (Gross Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------------------------------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| KENAMETAL INC COMMON STOCK                                                            | 11,000   |                                                             | 419.06                                 | 07/21/14          | 501.19                  | 0.00                                  | -82.13                 | Sale                                     |
|                                                                                       | 11,000   |                                                             | 421.29                                 | 07/22/14          | 511.75                  | 0.00                                  | -90.46                 | Sale                                     |
| 10/15/14                                                                              | 55,000   |                                                             | 2,094.41                               | VARIOUS           | 2,501.24                | 0.00                                  | -406.83                | Total of 7 lots                          |
| 4 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                             |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    |                                                             | 114.00                                 | 07/15/14          | 133.98                  | 19.98 W                               | 0.00                   | Sale                                     |
|                                                                                       | 3,000    |                                                             | 114.01                                 | 07/15/14          | 133.88                  | 19.87 W                               | 0.00                   | Sale                                     |
|                                                                                       | 5,000    |                                                             | 190.01                                 | 07/16/14          | 224.37                  | 34.36 W                               | 0.00                   | Sale                                     |
|                                                                                       | 5,000    |                                                             | 190.01                                 | 07/16/14          | 225.24                  | 21.14 W                               | -14.09                 | Sale                                     |
| 10/15/14                                                                              | 16,000   |                                                             | 608.03                                 | VARIOUS           | 717.47                  | 95.35 W                               | -14.09                 | Total of 4 lots                          |
| 10/16/14                                                                              | 1,000    |                                                             | 38.77                                  | 07/22/14          | 46.53                   | 0.00                                  | -7.76                  | Sale                                     |
| 3 tax lots for 10/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                             |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    |                                                             | 39.79                                  | 07/22/14          | 46.52                   | 0.00                                  | -6.73                  | Sale                                     |
|                                                                                       | 7,000    |                                                             | 278.57                                 | 07/23/14          | 322.82                  | 0.00                                  | -44.25                 | Sale                                     |
|                                                                                       | 10,000   |                                                             | 397.94                                 | 07/23/14          | 460.62                  | 0.00                                  | -62.68                 | Sale                                     |
| 10/21/14                                                                              | 18,000   |                                                             | 716.30                                 | VARIOUS           | 829.96                  | 0.00                                  | -113.66                | Total of 3 lots                          |
| 4 tax lots for 10/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                             |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    |                                                             | 81.38                                  | 07/23/14          | 92.12                   | 0.00                                  | -10.74                 | Sale                                     |
|                                                                                       | 3,000    |                                                             | 121.97                                 | 07/24/14          | 137.78                  | 0.00                                  | -15.81                 | Sale                                     |
|                                                                                       | 5,000    |                                                             | 203.44                                 | 07/24/14          | 229.64                  | 0.00                                  | -26.20                 | Sale                                     |
|                                                                                       | 4,000    |                                                             | 162.62                                 | 07/25/14          | 182.52                  | 0.00                                  | -19.90                 | Sale                                     |
| 10/24/14                                                                              | 14,000   |                                                             | 569.41                                 | VARIOUS           | 642.06                  | 0.00                                  | -72.65                 | Total of 4 lots                          |
| 3 tax lots for 10/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                             |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    |                                                             | 161.96                                 | 07/28/14          | 181.68                  | 0.00                                  | -19.72                 | Sale                                     |
|                                                                                       | 12,000   |                                                             | 485.57                                 | 07/29/14          | 544.71                  | 0.00                                  | -59.14                 | Sale                                     |
|                                                                                       | 13,000   |                                                             | 526.04                                 | 07/30/14          | 579.73                  | 0.00                                  | -53.69                 | Sale                                     |
| 10/27/14                                                                              | 29,000   |                                                             | 1,173.57                               | VARIOUS           | 1,306.12                | 0.00                                  | -132.55                | Total of 3 lots                          |
| 8 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                             |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    |                                                             | 123.93                                 | 07/31/14          | 127.88                  | 0.00                                  | -3.95                  | Sale                                     |
|                                                                                       | 8,000    |                                                             | 330.47                                 | 08/01/14          | 336.79                  | 0.00                                  | -6.32                  | Sale                                     |
|                                                                                       | 2,000    |                                                             | 82.62                                  | 09/22/14          | 98.08                   | 0.00                                  | -15.46                 | Sale                                     |
|                                                                                       | 1,000    |                                                             | 41.31                                  | 09/23/14          | 48.88                   | 0.00                                  | -7.57                  | Sale                                     |
| 10/27/14                                                                              | 3,000    |                                                             | 123.92                                 | 09/23/14          | 147.40                  | 0.00                                  | -23.48                 | Sale                                     |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account: PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014-1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported / CUSIP: 489170100 / Symbol: KMT (cont'd) | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| KENNAMETAL INC COMMON STOCK                                                           | 3,000    |                                                       | 123.93                                | 09/23/14          | 146.76                  | 0.00                                  | -22.83                                   | Sale                   |
|                                                                                       | 2,000    |                                                       | 82.62                                 | 09/24/14          | 97.98                   | 0.00                                  | -15.36                                   | Sale                   |
|                                                                                       | 3,000    |                                                       | 123.93                                | 09/24/14          | 147.49                  | 0.00                                  | -23.56                                   | Sale                   |
| 10/28/14                                                                              | 25,000   |                                                       | 1,032.73                              | VARIOUS           | 1,151.26                | 0.00                                  | -118.53                                  | Total of 8 lots        |
| Security total:                                                                       |          |                                                       | 6,233.22                              |                   | 7,194.64                | 95.35 W                               | -866.07                                  |                        |
| KODIAK OIL & GAS CORP **MERGER EFF 12/2014** / CUSIP: 50015Q100 / Symbol:             |          |                                                       |                                       |                   |                         |                                       |                                          |                        |
| 3 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 20,000   |                                                       | 295.55                                | 06/17/14          | 270.22                  | 0.00                                  | 25.33                                    | Sale                   |
|                                                                                       | 68,000   |                                                       | 1,004.87                              | 06/17/14          | 920.76                  | 0.00                                  | 84.11                                    | Sale                   |
|                                                                                       | 50,000   |                                                       | 738.88                                | 06/18/14          | 696.12                  | 0.00                                  | 42.76                                    | Sale                   |
| 08/01/14                                                                              | 138,000  |                                                       | 2,039.30                              | VARIOUS           | 1,887.10                | 0.00                                  | 152.20                                   | Total of 3 lots        |
| 2 tax lots for 08/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    |                                                       | 75.36                                 | 06/18/14          | 69.61                   | 0.00                                  | 5.75                                     | Sale                   |
|                                                                                       | 48,000   |                                                       | 723.41                                | 06/18/14          | 668.57                  | 0.00                                  | 54.84                                    | Sale                   |
| 08/04/14                                                                              | 53,000   |                                                       | 798.77                                | VARIOUS           | 738.18                  | 0.00                                  | 60.59                                    | Total of 2 lots        |
| 08/05/14                                                                              | 33,000   |                                                       | 495.30                                | 06/18/14          | 459.65                  | 0.00                                  | 35.65                                    | Sale                   |
| 08/06/14                                                                              | 11,000   |                                                       | 167.51                                | 06/18/14          | 153.21                  | 0.00                                  | 14.30                                    | Sale                   |
| 3 tax lots for 08/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    |                                                       | 104.09                                | 06/18/14          | 97.50                   | 0.00                                  | 6.59                                     | Sale                   |
|                                                                                       | 22,000   |                                                       | 324.51                                | 06/18/14          | 306.43                  | 0.00                                  | 18.08                                    | Sale                   |
|                                                                                       | 65,000   |                                                       | 966.58                                | 06/19/14          | 920.92                  | 0.00                                  | 45.66                                    | Sale                   |
| 08/07/14                                                                              | 94,000   |                                                       | 1,395.18                              | VARIOUS           | 1,324.85                | 0.00                                  | 70.33                                    | Total of 3 lots        |
| 08/08/14                                                                              | 2,000    |                                                       | 29.96                                 | 06/19/14          | 28.33                   | 0.00                                  | 1.63                                     | Sale                   |
| 4 tax lots for 08/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    |                                                       | 111.05                                | 06/19/14          | 99.73                   | 0.00                                  | 11.32                                    | Sale                   |
|                                                                                       | 11,000   |                                                       | 174.55                                | 06/19/14          | 155.85                  | 0.00                                  | 18.70                                    | Sale                   |
|                                                                                       | 37,000   |                                                       | 567.13                                | 06/19/14          | 527.12                  | 0.00                                  | 60.01                                    | Sale                   |
|                                                                                       | 4,000    |                                                       | 63.46                                 | 06/20/14          | 58.01                   | 0.00                                  | 5.45                                     | Sale                   |
| 08/25/14                                                                              | 59,000   |                                                       | 936.19                                | VARIOUS           | 840.71                  | 0.00                                  | 95.48                                    | Total of 4 lots        |
| 4 tax lots for 08/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 25,000   |                                                       | 396.47                                | 06/20/14          | 362.54                  | 0.00                                  | 33.93                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss or loss(-) & Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------------------------|------------------------|
| KODIAK OIL & GAS CORP **MERGER EFF 12/2014** / CUSIP: 50015Q100 / Symbol: (cont'd)    | 4.000    | 63.42       | 06/25/14                            | 56.85             | 0.00                    | 6.57                                  | Sale                                                       | C                      |
|                                                                                       | 6.000    | 95.13       | 06/25/14                            | 84.24             | 0.00                    | 10.89                                 | Sale                                                       | C                      |
|                                                                                       | 38.000   | 602.63      | 06/25/14                            | 540.05            | 0.00                    | 62.58                                 | Sale                                                       | C                      |
| 08/28/14                                                                              | 73.000   | 1,157.65    | VARIOUS                             | 1,043.68          | 0.00                    | 113.97                                | Total of 4 lots                                            |                        |
| 5 tax lots for 09/02/14 Total proceeds (and cost when required) reported to the IRS.  |          |             |                                     |                   |                         |                                       |                                                            |                        |
|                                                                                       | 22.000   | 349.42      | 06/25/14                            | 308.87            | 0.00                    | 40.55                                 | Sale                                                       | C                      |
|                                                                                       | 21.000   | 332.51      | 06/26/14                            | 297.81            | 0.00                    | 34.70                                 | Sale                                                       | C                      |
|                                                                                       | 23.000   | 365.31      | 06/26/14                            | 326.18            | 0.00                    | 39.13                                 | Sale                                                       | C                      |
|                                                                                       | 1.000    | 15.83       | 06/27/14                            | 14.32             | 0.00                    | 1.51                                  | Sale                                                       | C                      |
|                                                                                       | 46.000   | 728.35      | 07/10/14                            | 674.62            | 0.00                    | 53.73                                 | Sale                                                       | C                      |
| 09/02/14                                                                              | 113.000  | 1,791.42    | VARIOUS                             | 1,621.80          | 0.00                    | 169.62                                | Total of 5 lots                                            |                        |
| 09/03/14                                                                              | 1.000    | 16.08       | 07/10/14                            | 14.67             | 0.00                    | 1.41                                  | Sale                                                       | C                      |
| Security total:                                                                       |          | 8,827.36    |                                     | 8,112.18          | 0.00                    | 715.18                                |                                                            |                        |
| LANNETT CO INC / CUSIP: 516012101 / Symbol: LCI                                       |          |             |                                     |                   |                         |                                       |                                                            |                        |
| 4 tax lots for 11/14/14 Total proceeds (and cost when required) reported to the IRS.  |          |             |                                     |                   |                         |                                       |                                                            |                        |
|                                                                                       | 7.000    | 329.09      | 09/19/14                            | 287.28            | 0.00                    | 41.81                                 | Sale                                                       | C                      |
|                                                                                       | 8.000    | 376.11      | 09/19/14                            | 328.82            | 0.00                    | 47.29                                 | Sale                                                       | C                      |
|                                                                                       | 14.000   | 659.01      | 09/19/14                            | 575.43            | 0.00                    | 83.58                                 | Sale                                                       | C                      |
|                                                                                       | 1.000    | 47.07       | 09/22/14                            | 43.07             | 0.00                    | 4.00                                  | Sale                                                       | C                      |
| 11/14/14                                                                              | 30.000   | 1,411.28    | VARIOUS                             | 1,234.60          | 0.00                    | 176.68                                | Total of 4 lots                                            |                        |
| 2 tax lots for 11/17/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                     |                   |                         |                                       |                                                            |                        |
|                                                                                       | 7.000    | 330.11      | 09/22/14                            | 301.76            | 0.00                    | 28.35                                 | Sale                                                       | C                      |
|                                                                                       | 15.000   | 707.37      | 09/22/14                            | 646.06            | 0.00                    | 61.31                                 | Sale                                                       | C                      |
| 11/17/14                                                                              | 22.000   | 1,037.48    | VARIOUS                             | 947.82            | 0.00                    | 89.66                                 | Total of 2 lots                                            |                        |
| 2 tax lots for 11/18/14 Total proceeds (and cost when required) reported to the IRS   |          |             |                                     |                   |                         |                                       |                                                            |                        |
|                                                                                       | 6.000    | 282.34      | 09/22/14                            | 258.66            | 0.00                    | 23.68                                 | Sale                                                       | C                      |
|                                                                                       | 15.000   | 705.85      | 09/23/14                            | 644.97            | 0.00                    | 60.88                                 | Sale                                                       | C                      |
| 11/18/14                                                                              | 21.000   | 988.19      | VARIOUS                             | 903.63            | 0.00                    | 84.56                                 | Total of 2 lots                                            |                        |
| 11/24/14                                                                              | 5.000    | 236.99      | 09/23/14                            | 214.99            | 0.00                    | 22.00                                 | Sale                                                       | C                      |
| 11 tax lots for 12/09/14 Total proceeds (and cost when required) reported to the IRS. |          |             |                                     |                   |                         |                                       |                                                            |                        |
|                                                                                       | 1.000    | 43.11       | 09/23/14                            | 43.00             | 0.00                    | 0.11                                  | Sale                                                       | C                      |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

2014 1099-B\*

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported LCI (cont'd) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| LANNETT CO INC / CUSIP: 516012101 / Symbol: LCI (cont'd)                              |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 11 000   | 474 15                   | 09/24/14                         | 480.17                  | 0.00                                  | -6.02                                    | Sale                   |
|                                                                                       | 2 000    | 87 82                    | 09/25/14                         | 87.56                   | 0.00                                  | 0.26                                     | Sale                   |
|                                                                                       | 5 000    | 215 53                   | 09/25/14                         | 218.91                  | 0.00                                  | -3.38                                    | Sale                   |
|                                                                                       | 3 000    | 131 73                   | 09/26/14                         | 133.10                  | 0.00                                  | -1 37                                    | Sale                   |
|                                                                                       | 4 000    | 175 64                   | 09/29/14                         | 179.30                  | 0.00                                  | -3 66                                    | Sale                   |
|                                                                                       | 12 000   | 526 92                   | 09/30/14                         | 552.39                  | 0.00                                  | -25 47                                   | Sale                   |
|                                                                                       | 1 000    | 43 91                    | 10/01/14                         | 44.58                   | 0.00                                  | -0.67                                    | Sale                   |
|                                                                                       | 6 000    | 263 46                   | 10/09/14                         | 253 11                  | 0.00                                  | 10 35                                    | Sale                   |
|                                                                                       | 10 000   | 439 10                   | 10/09/14                         | 423 56                  | 0.00                                  | 15 54                                    | Sale                   |
|                                                                                       | 9 000    | 395 19                   | 10/10/14                         | 387 55                  | 0.00                                  | 7 64                                     | Sale                   |
| 12/09/14                                                                              | 64 000   | 2,796.56                 | VARIOUS                          | 2,803.23                | 0.00                                  | -6.67                                    | Total of 11 lots       |
| 2 tax lots for 12/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 1 000    | 44 45                    | 10/10/14                         | 43.06                   | 0.00                                  | 1.39                                     | Sale                   |
|                                                                                       | 1 000    | 44 45                    | 10/13/14                         | 41.49                   | 0.00                                  | 2 96                                     | Sale                   |
| 12/11/14                                                                              | 2 000    | 88.90                    | VARIOUS                          | 84 55                   | 0.00                                  | 4.35                                     | Total of 2 lots        |
| Security total:                                                                       |          | 6,559.40                 |                                  | 6,188.82                | 0.00                                  | 370.58                                   |                        |
| STEVEN MADDEN LTD / CUSIP: 556269108 / Symbol: SHOO                                   |          |                          |                                  |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 16 000   | 529.93                   | 05/30/14                         | 508.91                  | 0.00                                  | 21.02                                    | Sale                   |
| 3 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 1 000    | 30 69                    | 05/30/14                         | 31.81                   | 1.12 W                                | 0.00                                     | Sale                   |
|                                                                                       | 9 000    | 276 20                   | 05/30/14                         | 286.36                  | 10.16 W                               | 0.00                                     | Sale                   |
|                                                                                       | 7 000    | 214 83                   | 06/02/14                         | 223.38                  | 8.55 W                                | 0.00                                     | Sale                   |
| 10/28/14                                                                              | 17 000   | 521.72                   | VARIOUS                          | 541.55                  | 19.83 W                               | 0.00                                     | Total of 3 lots        |
| 11/24/14                                                                              | 17 000   | 570.17                   | 06/02/14                         | 542.56                  | 0.00                                  | 27.61                                    | Sale                   |
| Security total:                                                                       |          | 1,621.82                 |                                  | 1,593.02                | 19 83 W                               | 48.63                                    |                        |
| MASTEC INC / CUSIP: 576323109 / Symbol: MTZ                                           |          |                          |                                  |                         |                                       |                                          |                        |
| 06/04/14                                                                              | 18 000   | 586.62                   | 12/23/13                         | 567.58                  | 0.00                                  | 19.04                                    | Sale                   |
| 06/05/14                                                                              | 12 000   | 397 41                   | 12/23/13                         | 378.39                  | 0.00                                  | 19.02                                    | Sale                   |
| 06/06/14                                                                              | 1 000    | 33.26                    | 12/23/13                         | 31.53                   | 0.00                                  | 1.73                                     | Sale                   |
| Security total:                                                                       |          | 1,017.29                 |                                  | 977.50                  | 0.00                                  | 39.79                                    |                        |

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## UBS FINANCIAL SERVICES INC

Account: PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2-SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| MCGRATH RENTCORP COMMON STOCK / CUSIP: 580589109 / Symbol: MGRC                       |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 2 tax lots for 02/28/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 32.38                    | 32.38                                | 02/28/13          | 29.70                   | 0.00                                  |                        | 2.68 Sale                                |
|                                                                                       | 4,000    | 131.45                   | 131.45                               | 02/28/13          | 118.80                  | 0.00                                  |                        | 12.65 Sale                               |
| 02/28/14                                                                              | 5,000    | 163.83                   | 163.83                               | VARIOUS           | 148.50                  | 0.00                                  |                        | 15.33 Total of 2 lots                    |
| MDASSETS INC / CUSIP: 584045108 / Symbol: MDAS                                        |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 18,000   | 428.57                   | 428.57                               | 07/24/13          | 382.15                  | 0.00                                  |                        | 46.42 Sale                               |
| 2 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 40.10                    | 40.10                                | 11/19/13          | 42.02                   | 0.00                                  |                        | -1.92 Sale                               |
|                                                                                       | 3,000    | 60.15                    | 60.15                                | 11/19/13          | 62.90                   | 0.00                                  |                        | -2.75 Sale                               |
| 11/11/14                                                                              | 5,000    | 100.25                   | 100.25                               | VARIOUS           | 104.92                  | 0.00                                  |                        | -4.67 Total of 2 lots                    |
| 11/12/14                                                                              | 16,000   | 327.02                   | 327.02                               | 11/19/13          | 336.13                  | 0.00                                  |                        | -9.11 Sale                               |
| 11/13/14                                                                              | 4,000    | 83.04                    | 83.04                                | 11/19/13          | 84.03                   | 0.00                                  |                        | -0.99 Sale                               |
| 2 tax lots for 11/14/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 41.43                    | 41.43                                | 11/19/13          | 42.02                   | 0.00                                  |                        | -0.59 Sale                               |
|                                                                                       | 1,000    | 20.72                    | 20.72                                | 12/04/13          | 21.15                   | 0.00                                  |                        | -0.43 Sale                               |
| 11/14/14                                                                              | 3,000    | 62.15                    | 62.15                                | VARIOUS           | 63.17                   | 0.00                                  |                        | -1.02 Total of 2 lots                    |
| 5 tax lots for 11/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 117.44                   | 117.44                               | 12/04/13          | 126.88                  | 0.00                                  |                        | -9.44 Sale                               |
|                                                                                       | 11,000   | 215.28                   | 215.28                               | 12/04/13          | 232.62                  | 0.00                                  |                        | -17.34 Sale                              |
|                                                                                       | 9,000    | 176.16                   | 176.16                               | 12/05/13          | 190.20                  | 0.00                                  |                        | -14.04 Sale                              |
|                                                                                       | 1,000    | 19.57                    | 19.57                                | 12/06/13          | 21.49                   | 0.00                                  |                        | -1.92 Sale                               |
|                                                                                       | 12,000   | 234.88                   | 234.88                               | 02/20/14          | 249.84                  | 0.00                                  |                        | -14.96 Sale                              |
| 11/17/14                                                                              | 39,000   | 763.33                   | 763.33                               | VARIOUS           | 821.03                  | 0.00                                  |                        | -57.70 Total of 5 lots                   |
| 3 tax lots for 11/18/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 19.47                    | 19.47                                | 02/21/14          | 21.41                   | 0.00                                  |                        | -1.94 Sale                               |
|                                                                                       | 1,000    | 19.53                    | 19.53                                | 02/21/14          | 21.42                   | 0.00                                  |                        | -1.89 Sale                               |
|                                                                                       | 2,000    | 38.95                    | 38.95                                | 02/24/14          | 43.36                   | 0.00                                  |                        | -4.41 Sale                               |
| 11/18/14                                                                              | 4,000    | 77.95                    | 77.95                                | VARIOUS           | 86.19                   | 0.00                                  |                        | -8.24 Total of 3 lots                    |
|                                                                                       |          | 1,842.31                 | 1,842.31                             |                   | 1,877.62                | 0.00                                  |                        | -35.31                                   |

Security total:

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014-1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (Net) | 1d- Proceeds & Reported (G)ross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| MENS WEARHOUSE INC COMMON STOCK / CUSIP: 587118100 / Symbol: MW                       |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 3 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    |                           | 163.89                                | 10/02/13          | 102.08                  | 0.00                                  |                        | 61.81 Sale                               |
|                                                                                       | 1,000    |                           | 54.63                                 | 10/03/13          | 34.21                   | 0.00                                  |                        | 20.42 Sale                               |
|                                                                                       | 3,000    |                           | 163.88                                | 10/03/13          | 102.63                  | 0.00                                  |                        | 61.25 Sale                               |
| 06/10/14                                                                              | 7,000    |                           | 382.40                                | VARIOUS           | 238.92                  | 0.00                                  |                        | 143.48 Total of 3 lots                   |
| MERIT MEDICAL SYSTEM INC COMMON STOCK / CUSIP: 589889104 / Symbol: MMSI               |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 9 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    |                           | 75.40                                 | 05/13/14          | 67.75                   | 0.00                                  |                        | 7.65 Sale                                |
|                                                                                       | 3,000    |                           | 45.24                                 | 05/14/14          | 40.16                   | 0.00                                  |                        | 5.08 Sale                                |
|                                                                                       | 9,000    |                           | 135.72                                | 05/14/14          | 121.12                  | 0.00                                  |                        | 14.60 Sale                               |
|                                                                                       | 2,000    |                           | 30.16                                 | 05/15/14          | 27.90                   | 0.00                                  |                        | 2.26 Sale                                |
|                                                                                       | 3,000    |                           | 45.23                                 | 05/15/14          | 41.58                   | 0.00                                  |                        | 3.65 Sale                                |
|                                                                                       | 4,000    |                           | 60.32                                 | 05/15/14          | 55.18                   | 0.00                                  |                        | 5.14 Sale                                |
|                                                                                       | 2,000    |                           | 30.16                                 | 05/16/14          | 27.64                   | 0.00                                  |                        | 2.52 Sale                                |
|                                                                                       | 9,000    |                           | 135.72                                | 05/19/14          | 124.69                  | 0.00                                  |                        | 11.03 Sale                               |
|                                                                                       | 2,000    |                           | 30.16                                 | 05/20/14          | 27.80                   | 0.00                                  |                        | 2.36 Sale                                |
| 10/28/14                                                                              | 39,000   |                           | 588.11                                | VARIOUS           | 533.82                  | 0.00                                  |                        | 54.29 Total of 9 lots                    |
| 4 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    |                           | 30.16                                 | 05/20/14          | 27.53                   | 0.00                                  |                        | 2.63 Sale                                |
|                                                                                       | 10,000   |                           | 150.79                                | 05/20/14          | 139.02                  | 0.00                                  |                        | 11.77 Sale                               |
|                                                                                       | 2,000    |                           | 30.16                                 | 05/21/14          | 27.98                   | 0.00                                  |                        | 2.18 Sale                                |
|                                                                                       | 4,000    |                           | 60.32                                 | 05/21/14          | 55.93                   | 0.00                                  |                        | 4.39 Sale                                |
| 11/24/14                                                                              | 18,000   |                           | 271.43                                | VARIOUS           | 250.46                  | 0.00                                  |                        | 20.97 Total of 4 lots                    |
| Security total:                                                                       |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       |          |                           | 859.54                                |                   | 784.28                  | 0.00                                  |                        | 75.26                                    |
| OASIS PETROLEUM INC / CUSIP: 674215108 / Symbol: OAS                                  |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 3 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 8,000    |                           | 202.88                                | 01/30/14          | 335.38                  | 0.00                                  |                        | -132.50 Sale                             |
|                                                                                       | 1,000    |                           | 25.36                                 | 01/31/14          | 41.35                   | 0.00                                  |                        | -15.99 Sale                              |
|                                                                                       | 4,000    |                           | 101.44                                | 09/30/14          | 167.58                  | 0.00                                  |                        | -66.14 Sale                              |
| 11/05/14                                                                              | 13,000   |                           | 329.68                                | VARIOUS           | 544.31                  | 0.00                                  |                        | -214.63 Total of 3 lots                  |
| 11/06/14                                                                              | 1,000    |                           | 25.74                                 | 09/30/14          | 41.90                   | 0.00                                  |                        | -16.16 Sale                              |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported | 1d- Proceeds & 1e- Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------|-------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| Security total:                                                                       |          |             | 355.42                                    |                   | 586.21                  | 0.00                                  | -230.79                |                                          |
| PORTFOLIO RECOVERY ASSOC INC **NAME CHANGE 11/2014** / CUSIP: 73640Q105 / Symbol:     |          |             |                                           |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 6.000    |             | 354.59                                    | 05/02/14          | 341.15                  | 0.00                                  | 13.44                  | Sale C                                   |
| 2 tax lots for 09/12/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 5.000    |             | 285.04                                    | 05/02/14          | 283.38                  | 0.00                                  | 1.66                   | Sale C                                   |
|                                                                                       | 9.000    |             | 513.08                                    | 05/02/14          | 511.73                  | 0.00                                  | 1.35                   | Sale C                                   |
| 09/12/14                                                                              | 14.000   |             | 798.12                                    | VARIOUS           | 795.11                  | 0.00                                  | 3.01                   | Total of 2 lots C                        |
| 2 tax lots for 09/15/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 4.000    |             | 226.80                                    | 05/02/14          | 226.71                  | 0.00                                  | 0.09                   | Sale C                                   |
|                                                                                       | 14.000   |             | 793.79                                    | 05/05/14          | 793.51                  | 0.00                                  | 0.28                   | Sale C                                   |
| 09/15/14                                                                              | 18.000   |             | 1,020.59                                  | VARIOUS           | 1,020.22                | 0.00                                  | 0.37                   | Total of 2 lots C                        |
| 4 tax lots for 09/16/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 1.000    |             | 55.85                                     | 05/05/14          | 57.00                   | 0.00                                  | -1.15                  | Sale C                                   |
|                                                                                       | 2.000    |             | 111.82                                    | 05/05/14          | 114.00                  | 0.00                                  | -2.18                  | Sale C                                   |
|                                                                                       | 3.000    |             | 167.72                                    | 05/05/14          | 170.04                  | 0.00                                  | -2.32                  | Sale C                                   |
|                                                                                       | 8.000    |             | 446.84                                    | 05/06/14          | 449.93                  | 0.00                                  | -3.09                  | Sale C                                   |
| 09/16/14                                                                              | 14.000   |             | 782.23                                    | VARIOUS           | 790.97                  | 0.00                                  | -8.74                  | Total of 4 lots C                        |
| 3 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 1.000    |             | 55.35                                     | 05/06/14          | 56.24                   | 0.00                                  | -0.89                  | Sale C                                   |
|                                                                                       | 3.000    |             | 165.36                                    | 05/06/14          | 168.72                  | 0.00                                  | -3.36                  | Sale C                                   |
|                                                                                       | 5.000    |             | 276.77                                    | 05/06/14          | 281.20                  | 0.00                                  | -4.43                  | Sale C                                   |
|                                                                                       | 9.000    |             | 497.48                                    | VARIOUS           | 506.16                  | 0.00                                  | -8.68                  | Total of 3 lots C                        |
| 09/17/14                                                                              | 1.000    |             | 55.27                                     | 05/06/14          | 56.24                   | 0.00                                  | -0.97                  | Sale C                                   |
| 09/18/14                                                                              | 2.000    |             | 111.23                                    | 05/06/14          | 112.47                  | 0.00                                  | -1.24                  | Sale C                                   |
| 3 tax lots for 09/19/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 3.000    |             | 166.84                                    | 05/07/14          | 169.14                  | 0.00                                  | -2.30                  | Sale C                                   |
|                                                                                       | 5.000    |             | 278.07                                    | 05/07/14          | 281.99                  | 0.00                                  | -3.92                  | Sale C                                   |
| 09/19/14                                                                              | 10.000   |             | 556.14                                    | VARIOUS           | 563.60                  | 0.00                                  | -7.46                  | Total of 3 lots C                        |
| 2 tax lots for 09/22/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                           |                   |                         |                                       |                        |                                          |
|                                                                                       | 1.000    |             | 54.36                                     | 05/07/14          | 56.84                   | 0.00                                  | -2.48                  | Sale C                                   |

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UBS FINANCIAL SERVICES, INC.

# Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014-1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported | 1d- Proceeds & 11/2014** / CUSIP: 73640Q105 / Symbol: (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------|----------------------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| PORTFOLIO RECOVERY ASSOC INC                                                          | 11,000   | 597.97      | 05/07/14                                                       | 620.39            | 0.00                    | -22.42                                | Sale                                     | C                      |
| 09/22/14                                                                              | 12,000   | 652.33      | VARIOUS                                                        | 677.23            | 0.00                    | -24.90                                | Total of 2 lots                          | C                      |
| 09/23/14                                                                              | 2,000    | 108.10      | 05/07/14                                                       | 113.67            | 0.00                    | -5.57                                 | Sale                                     | C                      |
| 09/24/14                                                                              | 1,000    | 54.00       | 05/07/14                                                       | 56.84             | 0.00                    | -2.84                                 | Sale                                     | C                      |
| 6 tax lots for 09/25/14 Total proceeds (and cost when required) reported to the IRS.  |          |             |                                                                |                   |                         |                                       |                                          |                        |
|                                                                                       | 6,000    | 323.33      | 05/07/14                                                       | 341.02            | 0.00                    | -17.69                                | Sale                                     | C                      |
|                                                                                       | 5,000    | 269.44      | 05/09/14                                                       | 283.35            | 0.00                    | -13.91                                | Sale                                     | C                      |
|                                                                                       | 4,000    | 215.55      | 05/12/14                                                       | 228.70            | 0.00                    | -13.15                                | Sale                                     | C                      |
|                                                                                       | 13,000   | 700.54      | 05/12/14                                                       | 736.84            | 0.00                    | -36.30                                | Sale                                     | C                      |
|                                                                                       | 2,000    | 107.78      | 05/13/14                                                       | 112.47            | 0.00                    | -4.69                                 | Sale                                     | C                      |
|                                                                                       | 3,000    | 161.45      | 05/13/14                                                       | 168.71            | 0.00                    | -7.26                                 | Sale                                     | C                      |
| 09/25/14                                                                              | 33,000   | 1,778.09    | VARIOUS                                                        | 1,871.09          | 0.00                    | -93.00                                | Total of 6 lots                          | C                      |
| 2 tax lots for 09/26/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                                                |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 160.89      | 05/13/14                                                       | 168.70            | 0.00                    | -7.81                                 | Sale                                     | C                      |
|                                                                                       | 6,000    | 320.97      | 05/13/14                                                       | 337.41            | 0.00                    | -16.44                                | Sale                                     | C                      |
| 09/26/14                                                                              | 9,000    | 481.86      | VARIOUS                                                        | 506.11            | 0.00                    | -24.25                                | Total of 2 lots                          | C                      |
| 2 tax lots for 09/29/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                                                |                   |                         |                                       |                                          |                        |
|                                                                                       | 4,000    | 210.74      | 05/13/14                                                       | 224.94            | 0.00                    | -14.20                                | Sale                                     | C                      |
|                                                                                       | 1,000    | 52.68       | 05/22/14                                                       | 53.00             | 0.00                    | -0.32                                 | Sale                                     | C                      |
| 09/29/14                                                                              | 5,000    | 263.42      | VARIOUS                                                        | 277.94            | 0.00                    | -14.52                                | Total of 2 lots                          | C                      |
| 09/30/14                                                                              | 6,000    | 314.90      | 05/22/14                                                       | 318.02            | 0.00                    | -3.12                                 | Sale                                     | C                      |
|                                                                                       |          | 7,717.12    |                                                                | 7,894.35          | 0.00                    | -177.23                               |                                          |                        |
| Security total:                                                                       |          |             |                                                                |                   |                         |                                       |                                          |                        |
| REXNORD CORP NEW / CUSIP: 76169B102 / Symbol: RXN                                     |          |             |                                                                |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                                                |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 87.09       | 01/17/14                                                       | 85.62             | 0.00                    | 1.47                                  | Sale                                     | C                      |
|                                                                                       | 12,000   | 348.35      | 01/17/14                                                       | 339.53            | 0.00                    | 8.82                                  | Sale                                     | C                      |
| 06/10/14                                                                              | 15,000   | 435.44      | VARIOUS                                                        | 425.15            | 0.00                    | 10.29                                 | Total of 2 lots                          | C                      |
| 6 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |             |                                                                |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 57.50       | 01/21/14                                                       | 57.14             | 0.00                    | 0.36                                  | Sale                                     | C                      |
|                                                                                       | 5,000    | 143.75      | 01/21/14                                                       | 142.70            | 0.00                    | 1.05                                  | Sale                                     | C                      |
|                                                                                       | 2,000    | 57.50       | 01/22/14                                                       | 57.08             | 0.00                    | 0.42                                  | Sale                                     | C                      |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross) | 1d- Proceeds & Reported (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------|-------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| REXNORD CORP NEW / CUSIP: 761695102 / Symbol: RXN (cont'd)                            |          |                     |                               |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 2,000    | 57.50               | 57.50                         | 01/23/14          | 56.66                   | 0.00                                  | 0.84                                     | Sale                   |
|                                                                                       | 7,000    | 201.24              | 201.24                        | 01/23/14          | 199.42                  | 0.00                                  | 1.82                                     | Sale                   |
|                                                                                       | 4,000    | 115.00              | 115.00                        | 01/24/14          | 110.14                  | 0.00                                  | 4.86                                     | Sale                   |
|                                                                                       | 22,000   | 632.49              | 632.49                        | VARIOUS           | 623.14                  | 0.00                                  | 9.35                                     | Total of 6 lots        |
| 2 tax lots for 10/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 27.36               | 27.36                         | 01/24/14          | 27.85                   | 0.00                                  | -0.49                                    | Sale                   |
|                                                                                       | 4,000    | 109.44              | 109.44                        | 01/24/14          | 110.14                  | 0.00                                  | -0.70                                    | Sale                   |
|                                                                                       | 5,000    | 136.80              | 136.80                        | VARIOUS           | 137.99                  | 0.00                                  | -1.19                                    | Total of 2 lots        |
| 10/21/14                                                                              | 12,000   | 328.11              | 328.11                        | 01/24/14          | 330.44                  | 1.75 W                                | -0.58                                    | Sale                   |
| 10/22/14                                                                              | 3,000    | 81.83               | 81.83                         | 01/24/14          | 83.57                   | 0.00                                  | -1.74                                    | Sale                   |
| 3 tax lots for 10/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 82.30               | 82.30                         | 01/24/14          | 83.56                   | 0.00                                  | -1.26                                    | Sale                   |
|                                                                                       | 1,000    | 27.44               | 27.44                         | 01/27/14          | 27.47                   | 0.00                                  | -0.03                                    | Sale                   |
|                                                                                       | 8,000    | 219.47              | 219.47                        | 01/27/14          | 219.30                  | 0.00                                  | 0.17                                     | Sale                   |
| 10/23/14                                                                              | 12,000   | 329.21              | 329.21                        | VARIOUS           | 330.33                  | 0.00                                  | -1.12                                    | Total of 3 lots        |
| 10/24/14                                                                              | 8,000    | 219.77              | 219.77                        | 01/27/14          | 219.30                  | 0.00                                  | 0.47                                     | Sale                   |
| 4 tax lots for 10/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 54.05               | 54.05                         | 01/27/14          | 54.83                   | 0.00                                  | -0.78                                    | Sale                   |
|                                                                                       | 2,000    | 53.98               | 53.98                         | 01/28/14          | 55.09                   | 0.00                                  | -1.11                                    | Sale                   |
|                                                                                       | 3,000    | 80.96               | 80.96                         | 01/28/14          | 82.27                   | 0.00                                  | -1.31                                    | Sale                   |
|                                                                                       | 6,000    | 162.15              | 162.15                        | 01/28/14          | 164.55                  | 0.00                                  | -2.40                                    | Sale                   |
| 10/27/14                                                                              | 13,000   | 351.14              | 351.14                        | VARIOUS           | 356.74                  | 0.00                                  | -5.60                                    | Total of 4 lots        |
| 3 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 13,000   | 365.78              | 365.78                        | 01/28/14          | 358.05                  | 0.00                                  | 7.73                                     | Sale                   |
|                                                                                       | 1,000    | 28.14               | 28.14                         | 01/29/14          | 26.49                   | 0.00                                  | 1.65                                     | Sale                   |
|                                                                                       | 5,000    | 140.68              | 140.68                        | 01/29/14          | 131.64                  | 0.00                                  | 9.04                                     | Sale                   |
| 10/28/14                                                                              | 19,000   | 534.60              | 534.60                        | VARIOUS           | 516.18                  | 0.00                                  | 18.42                                    | Total of 3 lots        |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                               |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 54.40               | 54.40                         | 01/29/14          | 52.66                   | 0.00                                  | 1.74                                     | Sale                   |
|                                                                                       | 15,000   | 407.99              | 407.99                        | 01/29/14          | 395.48                  | 0.00                                  | 12.51                                    | Sale                   |
| 11/24/14                                                                              | 17,000   | 462.39              | 462.39                        | VARIOUS           | 448.14                  | 0.00                                  | 14.25                                    | Total of 2 lots        |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES, INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & 1e- Cost or other basis | 1b- Date acquired | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|----------------------------------------|-------------------|---------------------------------------|------------------------|------------------------------------------|
|                                                                                       | Security total: | 3,511.78                 | 3,470.98                               |                   | 1.75 W                                | 42.55                  |                                          |
| RITE AID CORP COMMON STOCK / CUSIP: 767754104 / Symbol: RAD                           |                 |                          |                                        |                   |                                       |                        |                                          |
| 02/27/14                                                                              | 131.000         | 874.46                   | 386.68                                 | 05/20/13          | 0.00                                  |                        | Sale                                     |
| 4 tax lots for 04/29/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                        |                   |                                       |                        |                                          |
|                                                                                       | 2,000           | 14.17                    | 5.90                                   | 05/20/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 222,000         | 1,573.24                 | 654.92                                 | 05/20/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 40,000          | 283.47                   | 117.17                                 | 05/29/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 45,000          | 319.30                   | 131.82                                 | 05/29/13          | 0.00                                  |                        | Sale                                     |
| 04/29/14                                                                              | 309,000         | 2,190.18                 | 909.81                                 | VARIOUS           | 0.00                                  |                        | Total of 4 lots                          |
| 04/30/14                                                                              | 6,000           | 42.56                    | 17.58                                  | 05/29/13          | 0.00                                  |                        | Sale                                     |
| 2 tax lots for 05/01/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                        |                   |                                       |                        |                                          |
|                                                                                       | 65,000          | 501.87                   | 190.40                                 | 05/29/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 53,000          | 409.21                   | 154.67                                 | 06/03/13          | 0.00                                  |                        | Sale                                     |
| 05/01/14                                                                              | 118,000         | 911.08                   | 345.07                                 | VARIOUS           | 0.00                                  |                        | Total of 2 lots                          |
| 2 tax lots for 05/02/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                        |                   |                                       |                        |                                          |
|                                                                                       | 10,000          | 78.43                    | 29.18                                  | 06/03/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 93,000          | 729.45                   | 282.43                                 | 06/11/13          | 0.00                                  |                        | Sale                                     |
| 05/02/14                                                                              | 103,000         | 807.88                   | 311.61                                 | VARIOUS           | 0.00                                  |                        | Total of 2 lots                          |
| 2 tax lots for 05/05/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                        |                   |                                       |                        |                                          |
|                                                                                       | 30,000          | 236.08                   | 91.11                                  | 06/11/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 119,000         | 936.43                   | 371.13                                 | 06/19/13          | 0.00                                  |                        | Sale                                     |
| 05/05/14                                                                              | 149,000         | 1,172.51                 | 462.24                                 | VARIOUS           | 0.00                                  |                        | Total of 2 lots                          |
| 5 tax lots for 05/06/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                        |                   |                                       |                        |                                          |
|                                                                                       | 22,000          | 173.09                   | 68.61                                  | 06/19/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 2,000           | 15.73                    | 5.94                                   | 06/20/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 111,000         | 873.30                   | 322.50                                 | 07/24/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 14,000          | 110.15                   | 45.15                                  | 08/02/13          | 0.00                                  |                        | Sale                                     |
|                                                                                       | 18,000          | 141.61                   | 56.43                                  | 08/02/13          | 0.00                                  |                        | Sale                                     |
| 05/06/14                                                                              | 167,000         | 1,313.88                 | 498.63                                 | VARIOUS           | 0.00                                  |                        | Total of 5 lots                          |
| 3 tax lots for 05/07/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                        |                   |                                       |                        |                                          |
|                                                                                       | 16,000          | 122.55                   | 51.59                                  | 08/02/13          | 0.00                                  |                        | Sale                                     |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity | 6- Reported (Gross (Net) / Symbol: RAD (cont'd) | 1d- Proceeds & Reported (Gross (Net) / Symbol: RAD (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss or gain(-) & Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------|----------|-------------------------------------------------|-------------------------------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------------------------|------------------------|
| RITE AID CORP COMMON STOCK / CUSIP: 767754104 / Symbol: RAD (cont'd)                   | 50,000   | 382.96                                          | 08/06/13                                                    | 157.61            | 0.00                    | 225.35                            | Sale                                     | C                      |
|                                                                                        | 146,000  | 1,118.24                                        | 08/06/13                                                    | 460.62            | 0.00                    | 657.62                            | Sale                                     | C                      |
| 05/07/14                                                                               | 212,000  | 1,623.75                                        | VARIOUS                                                     | 669.82            | 0.00                    | 953.93                            | Total of 3 lots                          |                        |
| 05/08/14                                                                               | 1,000    | 7.76                                            | 08/06/13                                                    | 3.15              | 0.00                    | 4.61                              | Sale                                     | C                      |
| Security total:                                                                        |          | 8,944.06                                        |                                                             | 3,604.59          | 0.00                    | 5,339.47                          |                                          |                        |
| SERVICEMASTER GLOBAL HLDGS INC / CUSIP: 81761R109 / Symbol: SERV                       |          |                                                 |                                                             |                   |                         |                                   |                                          |                        |
| 3 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS.   |          |                                                 |                                                             |                   |                         |                                   |                                          |                        |
|                                                                                        | 4,000    | 92.28                                           | 08/08/14                                                    | 73.80             | 0.00                    | 18.48                             | Sale                                     | C                      |
|                                                                                        | 14,000   | 322.97                                          | 08/08/14                                                    | 259.91            | 0.00                    | 63.06                             | Sale                                     | C                      |
|                                                                                        | 9,000    | 207.63                                          | 08/11/14                                                    | 177.39            | 0.00                    | 30.24                             | Sale                                     | C                      |
| 10/28/14                                                                               | 27,000   | 622.88                                          | VARIOUS                                                     | 511.10            | 0.00                    | 111.78                            | Total of 3 lots                          |                        |
| 11/24/14                                                                               | 26,000   | 691.84                                          | 08/11/14                                                    | 512.46            | 0.00                    | 179.38                            | Sale                                     | C                      |
| Security total:                                                                        |          | 1,314.72                                        |                                                             | 1,023.56          | 0.00                    | 291.16                            |                                          |                        |
| STANDEX INTL CORP COMMON STOCK / CUSIP: 854231107 / Symbol: SXI                        |          |                                                 |                                                             |                   |                         |                                   |                                          |                        |
| 10/28/14                                                                               | 6,000    | 475.57                                          | 04/29/14                                                    | 350.76            | 0.00                    | 124.81                            | Sale                                     | C                      |
| 11/24/14                                                                               | 3,000    | 226.91                                          | 04/29/14                                                    | 175.38            | 0.00                    | 51.53                             | Sale                                     | C                      |
| Security total:                                                                        |          | 702.48                                          |                                                             | 526.14            | 0.00                    | 176.34                            |                                          |                        |
| TAMINCO CORP **MERGER EFF 12/2014** / CUSIP: 87509U106 / Symbol:                       |          |                                                 |                                                             |                   |                         |                                   |                                          |                        |
| 09/24/14                                                                               | 20,000   | 529.59                                          | 06/24/14                                                    | 439.99            | 0.00                    | 89.60                             | Sale                                     | C                      |
| 10/28/14                                                                               | 22,000   | 567.15                                          | 06/24/14                                                    | 483.99            | 0.00                    | 83.16                             | Sale                                     | C                      |
| 11/24/14                                                                               | 18,000   | 467.09                                          | 06/24/14                                                    | 395.99            | 0.00                    | 71.10                             | Sale                                     | C                      |
| 19 tax lots for 12/08/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                                             |                   |                         |                                   |                                          |                        |
|                                                                                        | 64,000   | 1,664.00                                        | 06/24/14                                                    | 1,407.97          | 0.00                    | 256.03                            | Merger                                   | C                      |
|                                                                                        | 7,000    | 182.00                                          | 06/25/14                                                    | 157.40            | 0.00                    | 24.60                             | Merger                                   | C                      |
|                                                                                        | 74,000   | 1,924.00                                        | 06/25/14                                                    | 1,665.00          | 0.00                    | 259.00                            | Merger                                   | C                      |
|                                                                                        | 3,000    | 78.00                                           | 06/26/14                                                    | 68.44             | 0.00                    | 9.56                              | Merger                                   | C                      |
|                                                                                        | 53,000   | 1,378.00                                        | 06/26/14                                                    | 1,205.75          | 0.00                    | 172.25                            | Merger                                   | C                      |
|                                                                                        | 2,000    | 52.00                                           | 06/27/14                                                    | 46.58             | 0.00                    | 5.42                              | Merger                                   | C                      |
|                                                                                        | 1,000    | 26.00                                           | 07/29/14                                                    | 21.30             | 0.00                    | 4.70                              | Merger                                   | C                      |
|                                                                                        | 5,000    | 130.00                                          | 07/29/14                                                    | 107.19            | 0.00                    | 22.81                             | Merger                                   | C                      |
|                                                                                        | 5,000    | 130.00                                          | 07/30/14                                                    | 107.36            | 0.00                    | 22.64                             | Merger                                   | C                      |
|                                                                                        | 16,000   | 416.00                                          | 07/30/14                                                    | 342.94            | 0.00                    | 73.06                             | Merger                                   | C                      |

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UBS FINANCIAL SERVICES INC. Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B (continued) OMB No. 1545-0715

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 999, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                 | Quantity | 6- Reported (Gross/Net) | 1d- Proceeds & Reported (Gross/Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| TAMINCO CORP **MERGER EFF 12/2014** / CUSIP: 87509U106 / Symbol: (cont'd) | 7,000    | 182.00                  | 182.00                              | 08/01/14          | 146.98                  | 0.00                                  | 35.02                                    | Merger                 |
|                                                                           | 9,000    | 234.00                  | 234.00                              | 08/04/14          | 191.05                  | 0.00                                  | 42.95                                    | Merger                 |
|                                                                           | 1,000    | 26.00                   | 26.00                               | 08/05/14          | 20.92                   | 0.00                                  | 5.08                                     | Merger                 |
|                                                                           | 3,000    | 78.00                   | 78.00                               | 08/18/14          | 67.14                   | 0.00                                  | 10.86                                    | Merger                 |
|                                                                           | 5,000    | 130.00                  | 130.00                              | 08/18/14          | 110.95                  | 0.00                                  | 19.05                                    | Merger                 |
|                                                                           | 8,000    | 208.00                  | 208.00                              | 08/19/14          | 183.73                  | 0.00                                  | 24.27                                    | Merger                 |
|                                                                           | 3,000    | 78.00                   | 78.00                               | 08/20/14          | 68.72                   | 0.00                                  | 9.28                                     | Merger                 |
|                                                                           | 1,000    | 26.00                   | 26.00                               | 08/21/14          | 23.00                   | 0.00                                  | 3.00                                     | Merger                 |
|                                                                           | 1,000    | 26.00                   | 26.00                               | 08/22/14          | 22.95                   | 0.00                                  | 3.05                                     | Merger                 |
| 12/08/14                                                                  | 268,000  | 6,968.00                | 6,968.00                            | VARIOUS           | 5,965.37                | 0.00                                  | 1,002.63                                 | Total of 19 lots       |
|                                                                           |          | Security total:         | 8,531.83                            |                   | 7,285.34                | 0.00                                  | 1,246.49                                 |                        |

TENNECO INC / CUSIP: 880349105 / Symbol: TEN

11/24/14 7,000 396.12 396.12 10/16/14 348.03 0.00 48.09 Sale C

UNION BANKSHARES CORP NEW COM / CUSIP: 90539U109 / Symbol: UBSH

3 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |        |        |       |       |                 |
|----------|--------|--------|--------|-------|-------|-----------------|
| 1,000    | 26.22  | 21.69  | 0.00   | 4.53  | Sale  | C               |
| 3,000    | 78.66  | 65.24  | 0.00   | 13.42 | Sale  | C               |
| 11,000   | 288.41 | 241.56 | 0.00   | 46.85 | Sale  | C               |
| 06/10/14 | 15,000 | 393.29 | 328.49 | 0.00  | 64.80 | Total of 3 lots |

UNTD RENTALS INC / CUSIP: 911363109 / Symbol: URI

3 tax lots for 02/26/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |        |        |        |        |                 |
|----------|--------|--------|--------|--------|--------|-----------------|
| 2,000    | 172.94 | 114.09 | 0.00   | 58.85  | Sale   | C               |
| 1,000    | 86.47  | 57.34  | 0.00   | 29.13  | Sale   | C               |
| 7,000    | 605.27 | 379.16 | 0.00   | 226.11 | Sale   | C               |
| 02/26/14 | 10,000 | 864.68 | 550.59 | 0.00   | 314.09 | Total of 3 lots |

VWR CORP / CUSIP: 91843L103 / Symbol: VWR

2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS.

|       |        |        |      |       |      |   |
|-------|--------|--------|------|-------|------|---|
| 7,000 | 180.25 | 147.59 | 0.00 | 32.66 | Sale | C |
|-------|--------|--------|------|-------|------|---|

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UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## 2- SHORT-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (cont'd) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|----------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|---------------------------------------|------------------------|
| VWR CORP / CUSIP: 91843L103 / Symbol: VWR (cont'd)                                    | 17,000   |                      | 437.74                               | 10/07/14          | 360.75                  | 0.00                              | 76.99                                 | Sale                   |
| 11/24/14                                                                              | 24,000   |                      | 617.99                               | VARIOUS           | 508.34                  | 0.00                              | 109.65                                | Total of 2 lots        |
| WESCO INTERNATIONAL INC / CUSIP: 95082P105 / Symbol: WCC                              |          |                      |                                      |                   |                         |                                   |                                       |                        |
| 1/24/14                                                                               | 8,000    |                      | 676.59                               | 04/15/14          | 684.59                  | 7.00 W                            | -1.00                                 | Sale                   |
| 1/25/14                                                                               | 3,000    |                      | 256.83                               | 05/07/14          | 257.25                  | 0.00                              | -0.42                                 | Sale                   |
| 11/26/14                                                                              | 1,000    |                      | 85.50                                | 05/07/14          | 85.75                   | 0.00                              | -0.25                                 | Sale                   |
| 3 tax lots for 12/01/14 Total proceeds (and cost when required) reported to the IRS.  |          |                      |                                      |                   |                         |                                   |                                       |                        |
| 1,000                                                                                 |          |                      | 77.96                                | 05/07/14          | 85.75                   | 0.00                              | -7.79                                 | Sale                   |
| 1,000                                                                                 |          |                      | 77.96                                | 05/07/14          | 85.78                   | 0.00                              | -7.82                                 | Sale                   |
| 11,000                                                                                |          |                      | 857.54                               | 05/21/14          | 937.70                  | 0.00                              | -80.16                                | Sale                   |
| 12/01/14                                                                              | 13,000   |                      | 1,013.46                             | VARIOUS           | 1,109.23                | 0.00                              | -95.77                                | Total of 3 lots        |
| 12/02/14                                                                              | 1,000    |                      | 76.97                                | 05/21/14          | 85.24                   | 0.00                              | -8.27                                 | Sale                   |
| 3 tax lots for 12/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                      |                                      |                   |                         |                                   |                                       |                        |
| 1,000                                                                                 |          |                      | 76.80                                | 05/22/14          | 84.99                   | 0.00                              | -8.19                                 | Sale                   |
| 2,000                                                                                 |          |                      | 153.96                               | 09/12/14          | 167.14                  | 0.00                              | -13.18                                | Sale                   |
| 3,000                                                                                 |          |                      | 230.40                               | 09/12/14          | 250.70                  | 0.00                              | -20.30                                | Sale                   |
| 12/05/14                                                                              | 6,000    |                      | 461.16                               | VARIOUS           | 502.83                  | 0.00                              | -41.67                                | Total of 3 lots        |
| 5 tax lots for 12/08/14. Total proceeds (and cost when required) reported to the IRS. |          |                      |                                      |                   |                         |                                   |                                       |                        |
| 1,000                                                                                 |          |                      | 74.19                                | 09/12/14          | 83.63                   | 0.00                              | -9.44                                 | Sale                   |
| 3,000                                                                                 |          |                      | 222.56                               | 09/12/14          | 250.70                  | 0.00                              | -28.14                                | Sale                   |
| 1,000                                                                                 |          |                      | 74.19                                | 09/15/14          | 82.99                   | 0.00                              | -8.80                                 | Sale                   |
| 3,000                                                                                 |          |                      | 222.56                               | 09/17/14          | 248.84                  | 0.00                              | -26.28                                | Sale                   |
| 12/08/14                                                                              | 3,000    |                      | 222.56                               | 09/18/14          | 247.51                  | 0.00                              | -24.95                                | Sale                   |
| 11,000                                                                                |          |                      | 816.06                               | VARIOUS           | 913.67                  | 0.00                              | -97.61                                | Total of 5 lots        |
| 2 tax lots for 12/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                      |                                      |                   |                         |                                   |                                       |                        |
| 3,000                                                                                 |          |                      | 219.55                               | 09/30/14          | 236.27                  | 0.00                              | -16.72                                | Sale                   |
| 6,000                                                                                 |          |                      | 439.10                               | 10/31/14          | 493.99                  | 0.00                              | -54.89                                | Sale                   |
| 12/09/14                                                                              | 9,000    |                      | 658.65                               | VARIOUS           | 730.26                  | 0.00                              | -71.61                                | Total of 2 lots        |
| 12/11/14                                                                              | 1,000    |                      | 73.29                                | 11/03/14          | 83.68                   | 0.00                              | -10.39                                | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES, INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity        | 6- Reported | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|----------------------------------------------------------------------------------------|-----------------|-------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
|                                                                                        | Security total: |             | 4,118.51                              |                   | 4,452.50                | 7.00 W                                | -326.99                |                                          |
| MAIDEN HOLDINGS LTD FOREIGN STOCK / CUSIP: G5753U112 / Symbol: MHL D                   |                 |             |                                       |                   |                         |                                       |                        |                                          |
| 6 tax lots for 03/27/14 Total proceeds (and cost when required) reported to the IRS.   |                 |             |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 1 000           |             | 12.64                                 | 07/16/13          | 12.26                   | 0.00                                  | 0.38                   | Sale C                                   |
|                                                                                        | 2 000           |             | 25.31                                 | 07/17/13          | 24.44                   | 0.00                                  | 0.87                   | Sale C                                   |
|                                                                                        | 3 000           |             | 37.91                                 | 07/17/13          | 36.67                   | 0.00                                  | 1.24                   | Sale C                                   |
|                                                                                        | 9 000           |             | 113.89                                | 07/17/13          | 110.07                  | 0.00                                  | 3.82                   | Sale C                                   |
|                                                                                        | 4 000           |             | 50.89                                 | 07/18/13          | 48.96                   | 0.00                                  | 1.93                   | Sale C                                   |
|                                                                                        | 6 000           |             | 75.92                                 | 07/18/13          | 73.43                   | 0.00                                  | 2.49                   | Sale C                                   |
| 03/27/14                                                                               | 25 000          |             | 316.56                                | VARIOUS           | 305.83                  | 0.00                                  | 10.73                  | Total of 6 lots C                        |
| 2 tax lots for 03/28/14. Total proceeds (and cost when required) reported to the IRS.  |                 |             |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 2 000           |             | 24.79                                 | 07/19/13          | 24.28                   | 0.00                                  | 0.51                   | Sale C                                   |
|                                                                                        | 4 000           |             | 49.58                                 | 07/19/13          | 48.55                   | 0.00                                  | 1.03                   | Sale C                                   |
| 03/28/14                                                                               | 6 000           |             | 74.37                                 | VARIOUS           | 72.83                   | 0.00                                  | 1.54                   | Total of 2 lots C                        |
| 03/31/14                                                                               | 11 000          |             | 137.01                                | 07/22/13          | 134.32                  | 0.00                                  | 2.69                   | Sale C                                   |
| 2 tax lots for 04/01/14 Total proceeds (and cost when required) reported to the IRS.   |                 |             |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 2 000           |             | 24.62                                 | 07/22/13          | 24.21                   | 0.00                                  | 0.41                   | Sale C                                   |
|                                                                                        | 8 000           |             | 98.48                                 | 07/22/13          | 97.68                   | 0.00                                  | 0.80                   | Sale C                                   |
| 04/01/14                                                                               | 10 000          |             | 123.10                                | VARIOUS           | 121.89                  | 0.00                                  | 1.21                   | Total of 2 lots C                        |
| 3 tax lots for 06/02/14. Total proceeds (and cost when required) reported to the IRS.  |                 |             |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 5 000           |             | 59.04                                 | 07/22/13          | 60.54                   | 0.00                                  | -1.50                  | Sale C                                   |
|                                                                                        | 3 000           |             | 35.43                                 | 07/23/13          | 36.71                   | 0.00                                  | -1.28                  | Sale C                                   |
|                                                                                        | 9 000           |             | 106.06                                | 07/23/13          | 110.15                  | 0.00                                  | -4.09                  | Sale C                                   |
| 06/02/14                                                                               | 17 000          |             | 200.53                                | VARIOUS           | 207.40                  | 0.00                                  | -6.87                  | Total of 3 lots C                        |
| 30 tax lots for 06/03/14. Total proceeds (and cost when required) reported to the IRS. |                 |             |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 7 000           |             | 79.87                                 | 07/23/13          | 85.68                   | 0.00                                  | -5.81                  | Sale C                                   |
|                                                                                        | 1 000           |             | 11.41                                 | 07/24/13          | 12.23                   | 0.00                                  | -0.82                  | Sale C                                   |
|                                                                                        | 1 000           |             | 11.41                                 | 07/24/13          | 12.24                   | 0.00                                  | -0.83                  | Sale C                                   |
|                                                                                        | 3 000           |             | 34.23                                 | 07/24/13          | 36.70                   | 0.00                                  | -2.47                  | Sale C                                   |
|                                                                                        | 9 000           |             | 102.69                                | 07/25/13          | 114.54                  | 0.00                                  | -11.85                 | Sale C                                   |
|                                                                                        | 3 000           |             | 34.23                                 | 07/26/13          | 38.44                   | 0.00                                  | -4.21                  | Sale C                                   |
|                                                                                        | 13 000          |             | 147.79                                | 07/26/13          | 167.70                  | 0.00                                  | -19.91                 | Sale C                                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported / CUSIP | 1d- Proceeds & Reported (Gross Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MAIDEN HOLDINGS LTD FOREIGN STOCK / CUSIP: G5753U112 / Symbol: MHLN (cont'd)          |          |                     |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 37,000   |                     | 420.65                              | 07/26/13          | 475.42                  | 0.00                                  | -54.77                                   | Sale                   |
|                                                                                       | 1,000    |                     | 11.37                               | 08/13/13          | 12.23                   | 0.00                                  | -0.86                                    | Sale                   |
|                                                                                       | 1,000    |                     | 11.37                               | 08/14/13          | 12.20                   | 0.00                                  | -0.83                                    | Sale                   |
|                                                                                       | 1,000    |                     | 11.37                               | 08/14/13          | 12.21                   | 0.00                                  | -0.84                                    | Sale                   |
|                                                                                       | 1,000    |                     | 11.37                               | 08/15/13          | 12.12                   | 0.00                                  | -0.75                                    | Sale                   |
|                                                                                       | 4,000    |                     | 45.47                               | 08/15/13          | 48.74                   | 0.00                                  | -3.27                                    | Sale                   |
|                                                                                       | 4,000    |                     | 45.48                               | 08/16/13          | 48.58                   | 0.00                                  | -3.10                                    | Sale                   |
|                                                                                       | 5,000    |                     | 56.84                               | 08/19/13          | 61.19                   | 0.00                                  | -4.35                                    | Sale                   |
|                                                                                       | 5,000    |                     | 56.85                               | 08/20/13          | 61.44                   | 0.00                                  | -4.59                                    | Sale                   |
|                                                                                       | 1,000    |                     | 11.37                               | 08/21/13          | 12.33                   | 0.00                                  | -0.96                                    | Sale                   |
|                                                                                       | 1,000    |                     | 11.37                               | 08/22/13          | 12.39                   | 0.00                                  | -1.02                                    | Sale                   |
|                                                                                       | 2,000    |                     | 22.73                               | 08/23/13          | 25.12                   | 0.00                                  | -2.39                                    | Sale                   |
|                                                                                       | 1,000    |                     | 11.37                               | 08/26/13          | 12.59                   | 0.00                                  | -1.22                                    | Sale                   |
|                                                                                       | 3,000    |                     | 34.11                               | 08/27/13          | 38.22                   | 0.00                                  | -4.11                                    | Sale                   |
|                                                                                       | 17,000   |                     | 194.19                              | 08/27/13          | 216.56                  | 0.00                                  | -22.37                                   | Sale                   |
|                                                                                       | 7,000    |                     | 79.97                               | 08/28/13          | 89.24                   | 0.00                                  | -9.27                                    | Sale                   |
|                                                                                       | 9,000    |                     | 102.81                              | 08/28/13          | 115.00                  | 0.00                                  | -12.19                                   | Sale                   |
|                                                                                       | 3,000    |                     | 34.27                               | 08/29/13          | 38.90                   | 0.00                                  | -4.63                                    | Sale                   |
|                                                                                       | 12,000   |                     | 137.07                              | 08/30/13          | 157.14                  | 0.00                                  | -20.07                                   | Sale                   |
|                                                                                       | 18,000   |                     | 205.62                              | 09/03/13          | 239.45                  | 0.00                                  | -33.83                                   | Sale                   |
|                                                                                       | 8,000    |                     | 91.39                               | 09/04/13          | 107.02                  | 0.00                                  | -15.63                                   | Sale                   |
|                                                                                       | 3,000    |                     | 34.27                               | 09/19/13          | 39.04                   | 0.00                                  | -4.77                                    | Sale                   |
|                                                                                       | 1,000    |                     | 11.42                               | 09/20/13          | 13.24                   | 0.00                                  | -1.82                                    | Sale                   |
|                                                                                       | 182,000  |                     | 2,074.36                            | VARIOUS           | 2,327.90                | 0.00                                  | -253.54                                  | Total of 30 lots       |
| 06/03/14                                                                              |          |                     |                                     |                   |                         |                                       |                                          |                        |
| 4 tax lots for 06/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    |                     | 11.61                               | 09/20/13          | 13.24                   | 0.00                                  | -1.63                                    | Sale                   |
|                                                                                       | 3,000    |                     | 34.91                               | 09/20/13          | 39.72                   | 0.00                                  | -4.81                                    | Sale                   |
|                                                                                       | 10,000   |                     | 116.11                              | 09/20/13          | 132.42                  | 0.00                                  | -16.31                                   | Sale                   |
|                                                                                       | 2,000    |                     | 23.27                               | 09/24/13          | 26.37                   | 0.00                                  | -3.10                                    | Sale                   |
|                                                                                       | 16,000   |                     | 185.90                              | VARIOUS           | 211.75                  | 0.00                                  | -25.85                                   | Total of 4 lots        |
| 06/04/14                                                                              |          |                     |                                     |                   |                         |                                       |                                          |                        |
| 4 tax lots for 06/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 18,000   |                     | 215.01                              | 09/24/13          | 237.28                  | 0.00                                  | -22.27                                   | Sale                   |
|                                                                                       | 4,000    |                     | 47.62                               | 09/25/13          | 50.44                   | 0.00                                  | -2.82                                    | Sale                   |
|                                                                                       | 5,000    |                     | 59.72                               | 09/25/13          | 63.05                   | 0.00                                  | -3.33                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

(continued)

OMB No. 1545-0715

**2- SHORT-TERM TRANSACTIONS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

**5- COVERED tax lots 3- Basis is reported to the IRS\*\***

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MAIDEN HOLDINGS LTD FOREIGN STOCK / CUSIP: G5753U112 / Symbol: MHL (cont'd)           |          | 83.33                    | 09/25/13                         | 88.35                   | 0.00                                  | -5.02                                    | Sale                   |
| 06/05/14                                                                              | 34.000   | 405.68                   | VARIOUS                          | 439.12                  | 0.00                                  | -33.44                                   | Total of 4 lots        |
| 3 tax lots for 06/06/14 Total proceeds (and cost when required) reported to the IRS.  |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 2.000    | 24.19                    | 09/25/13                         | 25.24                   | 0.00                                  | -1.05                                    | Sale                   |
|                                                                                       | 9.000    | 109.19                   | 09/26/13                         | 109.88                  | 0.00                                  | -0.69                                    | Sale                   |
|                                                                                       | 2.000    | 24.26                    | 09/27/13                         | 24.27                   | 0.00                                  | -0.01                                    | Sale                   |
| 06/06/14                                                                              | 13.000   | 157.64                   | VARIOUS                          | 159.39                  | 0.00                                  | -1.75                                    | Total of 3 lots        |
| 3 tax lots for 06/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 5.000    | 58.64                    | 09/27/13                         | 60.68                   | 0.00                                  | -2.04                                    | Sale                   |
|                                                                                       | 2.000    | 23.45                    | 10/24/13                         | 25.90                   | 0.00                                  | -2.45                                    | Sale                   |
|                                                                                       | 7.000    | 82.09                    | 10/28/13                         | 78.95                   | 0.00                                  | 3.14                                     | Sale                   |
| 06/09/14                                                                              | 14.000   | 164.18                   | VARIOUS                          | 165.53                  | 0.00                                  | -1.35                                    | Total of 3 lots        |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 11.58                    | 10/28/13                         | 11.28                   | 0.00                                  | 0.30                                     | Sale                   |
|                                                                                       | 5.000    | 57.95                    | 10/28/13                         | 56.39                   | 0.00                                  | 1.56                                     | Sale                   |
| 06/10/14                                                                              | 6.000    | 69.53                    | VARIOUS                          | 67.67                   | 0.00                                  | 1.86                                     | Total of 2 lots        |
| 3 tax lots for 06/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 2.000    | 23.28                    | 10/28/13                         | 22.56                   | 0.00                                  | 0.72                                     | Sale                   |
|                                                                                       | 8.000    | 93.13                    | 10/28/13                         | 102.86                  | 0.00                                  | -9.73                                    | Sale                   |
|                                                                                       | 5.000    | 58.20                    | 10/29/13                         | 56.46                   | 0.00                                  | 1.74                                     | Sale                   |
| 06/11/14                                                                              | 15.000   | 174.61                   | VARIOUS                          | 181.88                  | 0.00                                  | -7.27                                    | Total of 3 lots        |
| 06/12/14                                                                              | 6.000    | 69.39                    | 10/29/13                         | 67.74                   | 0.00                                  | 1.65                                     | Sale                   |
| 06/13/14                                                                              | 3.000    | 34.60                    | 10/29/13                         | 33.87                   | 0.00                                  | 0.73                                     | Sale                   |
| 2 tax lots for 06/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 5.000    | 57.98                    | 10/29/13                         | 56.46                   | 0.00                                  | 1.52                                     | Sale                   |
|                                                                                       | 5.000    | 57.97                    | 12/03/13                         | 61.70                   | 0.00                                  | -3.73                                    | Sale                   |
| 06/17/14                                                                              | 10.000   | 115.95                   | VARIOUS                          | 118.16                  | 0.00                                  | -2.21                                    | Total of 2 lots        |
| 6 tax lots for 06/18/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                  |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 11.60                    | 12/03/13                         | 12.34                   | 0.00                                  | -0.74                                    | Sale                   |
|                                                                                       | 3.000    | 34.81                    | 12/04/13                         | 36.82                   | 0.00                                  | -2.01                                    | Sale                   |
|                                                                                       | 3.000    | 34.80                    | 12/05/13                         | 36.75                   | 0.00                                  | -1.95                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## 2-SHORT-TERM TRANSACTIONS

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported / CUSIP                | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|--------------------------------------------------------------------------------------|----------|------------------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| MAIDEN HOLDINGS LTD FOREIGN STOCK                                                    | 3,000    | G5753U112 / Symbol: MHL D (cont'd) | 34.80                                 | 12/05/13          | 36.91                   | 0.00                                  | -2.11                  | Sale                                     |
|                                                                                      | 4,000    |                                    | 46.41                                 | 12/06/13          | 49.72                   | 0.00                                  | -3.31                  | Sale                                     |
|                                                                                      | 1,000    |                                    | 11.60                                 | 12/09/13          | 12.34                   | 0.00                                  | -0.74                  | Sale                                     |
| 06/18/14                                                                             | 15,000   |                                    | 174.02                                | VARIOUS           | 184.88                  | 0.00                                  | -10.86                 | Total of 6 lots                          |
| 2 tax lots for 06/19/14 Total proceeds (and cost when required) reported to the IRS. |          |                                    |                                       |                   |                         |                                       |                        |                                          |
|                                                                                      | 3,000    |                                    | 35.05                                 | 12/09/13          | 37.00                   | 0.00                                  | -1.95                  | Sale                                     |
|                                                                                      | 5,000    |                                    | 58.42                                 | 12/09/13          | 61.56                   | 0.00                                  | -3.14                  | Sale                                     |
| 06/19/14                                                                             | 8,000    |                                    | 93.47                                 | VARIOUS           | 98.56                   | 0.00                                  | -5.09                  | Total of 2 lots                          |
| 06/20/14                                                                             | 5,000    |                                    | 59.26                                 | 12/10/13          | 62.02                   | 0.00                                  | -2.76                  | Sale                                     |
| 06/23/14                                                                             | 1,000    |                                    | 11.90                                 | 12/11/13          | 12.28                   | 0.00                                  | -0.38                  | Sale                                     |
|                                                                                      |          |                                    | 4,642.06                              |                   | 4,973.02                | 0.00                                  | -330.96                |                                          |
| Security total:                                                                      |          |                                    |                                       |                   |                         |                                       |                        |                                          |

PLATINUM UNDERWRITERS HOLDINGS LTD FOREIGN STOCK / CUSIP: G7127P100 / Symbol: PTP

2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS

|          |       |  |            |          |            |          |          |                 |
|----------|-------|--|------------|----------|------------|----------|----------|-----------------|
| 06/10/14 | 3,000 |  | 194.45     | 08/01/13 | 174.78     | 0.00     | 19.67    | Sale            |
|          | 3,000 |  | 194.46     | 08/01/13 | 174.07     | 0.00     | 20.39    | Sale            |
|          | 6,000 |  | 388.91     | VARIOUS  | 348.85     | 0.00     | 40.06    | Total of 2 lots |
| Totals:  |       |  | 176,160.77 |          | 170,690.32 | 684.50 W | 6,154.95 |                 |

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported / CUSIP | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| AARONS INC CL A / CUSIP: 002535300 / Symbol: AAN                                      |          |                     |                                       |                   |                         |                                       |                        |                                          |
| 3 tax lots for 07/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                     |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    |                     | 63.58                                 | 03/16/11          | 46.66                   | 0.00                                  | 16.92                  | Sale                                     |
|                                                                                       | 20,000   |                     | 617.69                                | 03/16/11          | 466.60                  | 0.00                                  | 151.09                 | Sale                                     |
|                                                                                       | 5,000    |                     | 158.95                                | 03/28/11          | 121.88                  | 0.00                                  | 37.07                  | Sale                                     |
| 07/15/14                                                                              | 27,000   |                     | 840.22                                | VARIOUS           | 635.14                  | 0.00                                  | 205.08                 | Total of 3 lots                          |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barrier Exchange Transactions

OMB No. 1545-0715

2014-1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|----------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| 10 tax lots for 07/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
| AARONS INC CL A / CUSIP: 002535300 / Symbol: AAN (cont'd)                              | 7,000    | 207.54                   | 207.54                               | 03/28/11          | 170.64                  | 0.00                                  | 36.90                                    | Sale                   |
|                                                                                        | 9,000    | 266.84                   | 266.84                               | 03/09/12          | 237.69                  | 0.00                                  | 29.15                                    | Sale                   |
|                                                                                        | 1,000    | 29.65                    | 29.65                                | 06/14/12          | 27.53                   | 0.00                                  | 2.12                                     | Sale                   |
|                                                                                        | 9,000    | 268.01                   | 268.01                               | 06/14/12          | 247.81                  | 0.00                                  | 20.20                                    | Sale                   |
|                                                                                        | 1,000    | 29.78                    | 29.78                                | 10/15/12          | 27.16                   | 0.00                                  | 2.62                                     | Sale                   |
|                                                                                        | 14,000   | 416.91                   | 416.91                               | 10/15/12          | 378.07                  | 0.00                                  | 38.84                                    | Sale                   |
|                                                                                        | 6,000    | 178.68                   | 178.68                               | 10/16/12          | 164.64                  | 0.00                                  | 14.04                                    | Sale                   |
|                                                                                        | 3,000    | 89.34                    | 89.34                                | 10/17/12          | 83.61                   | 0.00                                  | 5.73                                     | Sale                   |
|                                                                                        | 10,000   | 297.79                   | 297.79                               | 10/17/12          | 279.56                  | 0.00                                  | 18.23                                    | Sale                   |
|                                                                                        | 3,000    | 89.34                    | 89.34                                | 11/27/12          | 85.68                   | 0.00                                  | 3.66                                     | Sale                   |
| 07/16/14                                                                               | 63,000   | 1,873.88                 | 1,873.88                             | VARIOUS           | 1,702.39                | 0.00                                  | 171.49                                   | Total of 10 lots       |

|                                                                                       |        |        |        |          |        |      |        |                 |
|---------------------------------------------------------------------------------------|--------|--------|--------|----------|--------|------|--------|-----------------|
| 3 tax lots for 07/31/14. Total proceeds (and cost when required) reported to the IRS. |        |        |        |          |        |      |        |                 |
|                                                                                       | 4,000  | 106.28 | 106.28 | 11/27/12 | 114.24 | 0.00 | -7.96  | Sale            |
|                                                                                       | 4,000  | 106.28 | 106.28 | 11/27/12 | 115.19 | 0.00 | -8.91  | Sale            |
|                                                                                       | 14,000 | 371.99 | 371.99 | 12/06/12 | 402.48 | 0.00 | -30.49 | Sale            |
| 07/31/14                                                                              | 22,000 | 584.55 | 584.55 | VARIOUS  | 631.91 | 0.00 | -47.36 | Total of 3 lots |

|                                                                                       |                 |          |          |          |        |      |        |                 |
|---------------------------------------------------------------------------------------|-----------------|----------|----------|----------|--------|------|--------|-----------------|
| 2 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS. |                 |          |          |          |        |      |        |                 |
|                                                                                       | 2,000           | 52.75    | 52.75    | 12/06/12 | 57.50  | 0.00 | -4.75  | Sale            |
|                                                                                       | 7,000           | 184.62   | 184.62   | 03/25/13 | 199.92 | 0.00 | -15.30 | Sale            |
|                                                                                       | 9,000           | 237.37   | 237.37   | VARIOUS  | 257.42 | 0.00 | -20.05 | Total of 2 lots |
| 08/01/14                                                                              | Security total: | 3,536.02 | 3,536.02 |          |        | 0.00 | 309.16 |                 |

|                                                              |                 |          |          |          |          |      |        |      |
|--------------------------------------------------------------|-----------------|----------|----------|----------|----------|------|--------|------|
| ABERCROMBIE & FITCH CO CL A / CUSIP: 002896207 / Symbol: ANF |                 |          |          |          |          |      |        |      |
| 09/24/14                                                     | 40,000          | 1,526.94 | 1,526.94 | 08/23/13 | 1,544.01 | 0.00 | -17.07 | Sale |
| 09/25/14                                                     | 3,000           | 113.31   | 113.31   | 10/07/13 | 107.66   | 0.00 | 5.65   | Sale |
|                                                              | Security total: | 1,640.25 | 1,640.25 |          | 1,651.67 | 0.00 | -11.42 |      |

|                                                                                       |       |       |       |          |       |      |       |      |
|---------------------------------------------------------------------------------------|-------|-------|-------|----------|-------|------|-------|------|
| ACI WORLDWIDE INC / CUSIP: 004498101 / Symbol: ACIW                                   |       |       |       |          |       |      |       |      |
| 3 tax lots for 01/23/14. Total proceeds (and cost when required) reported to the IRS. |       |       |       |          |       |      |       |      |
|                                                                                       | 1,000 | 63.43 | 63.43 | 12/21/12 | 43.71 | 0.00 | 19.72 | Sale |
|                                                                                       | 1,000 | 63.43 | 63.43 | 12/21/12 | 43.87 | 0.00 | 19.56 | Sale |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                    | Quantity                                                                              | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ACI WORLDWIDE INC / CUSIP: 004498101 / Symbol: ACIW (cont'd) |                                                                                       |                          |                                      |                   |                         |                                       |                                          |                        |
| 01/23/14                                                     | 1.000                                                                                 | 63.44                    |                                      | 12/21/12          | 43.87                   | 0.00                                  | 19.57                                    | Sale                   |
|                                                              | 3.000                                                                                 | 190.30                   |                                      | VARIOUS           | 131.45                  | 0.00                                  | 58.85                                    | Total of 3 lots        |
|                                                              | 3 tax lots for 01/24/14. Total proceeds (and cost when required) reported to the IRS  |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                              | 2.000                                                                                 | 124.32                   |                                      | 12/21/12          | 87.74                   | 0.00                                  | 36.58                                    | Sale                   |
|                                                              | 4.000                                                                                 | 248.64                   |                                      | 12/24/12          | 174.00                  | 0.00                                  | 74.64                                    | Sale                   |
|                                                              | 7.000                                                                                 | 428.28                   |                                      | 12/24/12          | 306.93                  | 0.00                                  | 121.35                                   | Sale                   |
| 01/24/14                                                     | 13.000                                                                                | 801.24                   |                                      | VARIOUS           | 568.67                  | 0.00                                  | 232.57                                   | Total of 3 lots        |
|                                                              | 11 tax lots for 01/27/14. Total proceeds (and cost when required) reported to the IRS |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                              | 6.000                                                                                 | 360.98                   |                                      | 12/24/12          | 263.08                  | 0.00                                  | 97.90                                    | Sale                   |
|                                                              | 4.000                                                                                 | 240.65                   |                                      | 12/26/12          | 171.70                  | 0.00                                  | 68.95                                    | Sale                   |
|                                                              | 3.000                                                                                 | 180.49                   |                                      | 12/27/12          | 127.93                  | 0.00                                  | 52.56                                    | Sale                   |
|                                                              | 5.000                                                                                 | 300.81                   |                                      | 01/04/13          | 226.84                  | 0.00                                  | 73.97                                    | Sale                   |
|                                                              | 3.000                                                                                 | 180.49                   |                                      | 01/07/13          | 136.53                  | 0.00                                  | 43.96                                    | Sale                   |
|                                                              | 2.000                                                                                 | 120.33                   |                                      | 01/08/13          | 90.99                   | 0.00                                  | 29.34                                    | Sale                   |
|                                                              | 2.000                                                                                 | 120.33                   |                                      | 01/17/13          | 91.41                   | 0.00                                  | 28.92                                    | Sale                   |
|                                                              | 3.000                                                                                 | 180.48                   |                                      | 01/17/13          | 137.86                  | 0.00                                  | 42.62                                    | Sale                   |
|                                                              | 5.000                                                                                 | 300.81                   |                                      | 01/17/13          | 229.86                  | 0.00                                  | 70.95                                    | Sale                   |
|                                                              | 2.000                                                                                 | 120.33                   |                                      | 01/18/13          | 93.27                   | 0.00                                  | 27.06                                    | Sale                   |
|                                                              | 2.000                                                                                 | 120.59                   |                                      | 01/18/13          | 93.27                   | 0.00                                  | 27.32                                    | Sale                   |
| 01/27/14                                                     | 37.000                                                                                | 2,226.29                 |                                      | VARIOUS           | 1,662.74                | 0.00                                  | 563.55                                   | Total of 11 lots       |
|                                                              | 2 tax lots for 01/28/14. Total proceeds (and cost when required) reported to the IRS  |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                              | 1.000                                                                                 | 60.83                    |                                      | 01/18/13          | 46.63                   | 0.00                                  | 14.20                                    | Sale                   |
|                                                              | 1.000                                                                                 | 60.82                    |                                      | 01/22/13          | 46.87                   | 0.00                                  | 13.95                                    | Sale                   |
| 01/28/14                                                     | 2.000                                                                                 | 121.65                   |                                      | VARIOUS           | 93.50                   | 0.00                                  | 28.15                                    | Total of 2 lots        |
| 01/29/14                                                     | 1.000                                                                                 | 60.74                    |                                      | 01/22/13          | 46.86                   | 0.00                                  | 13.88                                    | Sale                   |
| 02/10/14                                                     | 1.000                                                                                 | 55.39                    |                                      | 01/22/13          | 46.87                   | 0.00                                  | 8.52                                     | Sale                   |
|                                                              | Security total:                                                                       | 3,455.61                 |                                      |                   | 2,550.09                | 0.00                                  | 905.52                                   |                        |
| ACTUANT CORP NEW CL A / CUSIP: 00508X203 / Symbol: ATU       |                                                                                       |                          |                                      |                   |                         |                                       |                                          |                        |
| 07/02/14                                                     | 25.000                                                                                | 856.52                   |                                      | 01/06/12          | 577.22                  | 0.00                                  | 279.30                                   | Sale                   |
|                                                              | 3 tax lots for 07/03/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                              | 1.000                                                                                 | 34.56                    |                                      | 01/06/12          | 23.09                   | 0.00                                  | 11.47                                    | Sale                   |
|                                                              | 9.000                                                                                 | 311.05                   |                                      | 09/14/12          | 267.27                  | 0.00                                  | 43.78                                    | Sale                   |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross) / Symbol: ATU (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ACTUANT CORP NEW CL A / CUSIP: 00508X203 / Symbol: ATU (cont'd)                       |          |                                            |                   |                         |                                       |                                          |                        |
| 07/03/14                                                                              | 4,000    | 138.20                                     | 10/09/12          | 111.40                  | 0.00                                  | 26.80                                    | Sale                   |
|                                                                                       | 14,000   | 483.81                                     | VARIOUS           | 401.76                  | 0.00                                  | 82.05                                    | Total of 3 lots        |
| 3 tax lots for 07/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 4,000    | 137.77                                     | 10/09/12          | 111.40                  | 0.00                                  | 26.37                                    | Sale                   |
|                                                                                       | 1,000    | 34.44                                      | 10/18/12          | 28.92                   | 0.00                                  | 5.52                                     | Sale                   |
|                                                                                       | 1,000    | 34.45                                      | 10/18/12          | 28.92                   | 0.00                                  | 5.53                                     | Sale                   |
| 07/07/14                                                                              | 6,000    | 206.66                                     | VARIOUS           | 169.24                  | 0.00                                  | 37.42                                    | Total of 3 lots        |
| 4 tax lots for 07/08/14. Total proceeds (and cost when required) reported to the IRS. |          |                                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 67.58                                      | 10/18/12          | 57.84                   | 0.00                                  | 9.74                                     | Sale                   |
|                                                                                       | 9,000    | 304.14                                     | 10/18/12          | 260.29                  | 0.00                                  | 43.85                                    | Sale                   |
|                                                                                       | 10,000   | 337.87                                     | 11/09/12          | 270.11                  | 0.00                                  | 67.76                                    | Sale                   |
|                                                                                       | 2,000    | 67.58                                      | 11/27/12          | 55.83                   | 0.00                                  | 11.75                                    | Sale                   |
| 07/08/14                                                                              | 23,000   | 777.17                                     | VARIOUS           | 644.07                  | 0.00                                  | 133.10                                   | Total of 4 lots        |
| 2 tax lots for 07/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 6,000    | 200.30                                     | 11/27/12          | 167.48                  | 0.00                                  | 32.82                                    | Sale                   |
|                                                                                       | 2,000    | 66.76                                      | 12/04/12          | 57.25                   | 0.00                                  | 9.51                                     | Sale                   |
| 07/09/14                                                                              | 8,000    | 267.06                                     | VARIOUS           | 224.73                  | 0.00                                  | 42.33                                    | Total of 2 lots        |
| Security total:                                                                       |          |                                            |                   |                         |                                       |                                          |                        |
| 07/10/14                                                                              | 12,000   | 395.17                                     | 12/04/12          | 343.51                  | 0.00                                  | 51.66                                    | Sale                   |
|                                                                                       |          | 2,986.39                                   |                   | 2,360.53                | 0.00                                  | 625.86                                   |                        |
| AMERICAN EQUITY INVESTMENT LIFE HOLDING CO / CUSIP: 025676206 / Symbol: AEL           |          |                                            |                   |                         |                                       |                                          |                        |
| 3 tax lots for 06/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 11,000   | 267.74                                     | 02/02/12          | 129.53                  | 0.00                                  | 138.21                                   | Sale                   |
|                                                                                       | 6,000    | 145.52                                     | 02/06/12          | 71.73                   | 0.00                                  | 73.79                                    | Sale                   |
|                                                                                       | 2,000    | 48.51                                      | 02/07/12          | 24.12                   | 0.00                                  | 24.39                                    | Sale                   |
| 06/25/14                                                                              | 19,000   | 461.77                                     | VARIOUS           | 225.38                  | 0.00                                  | 236.39                                   | Total of 3 lots        |
| 4 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 121.89                                     | 02/07/12          | 60.29                   | 0.00                                  | 61.60                                    | Sale                   |
|                                                                                       | 1,000    | 24.30                                      | 02/08/12          | 12.14                   | 0.00                                  | 12.16                                    | Sale                   |
|                                                                                       | 3,000    | 73.14                                      | 02/08/12          | 36.33                   | 0.00                                  | 36.81                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account: PF.00124

2014 1099-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity        | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------------------------|-----------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| AMERICAN EQUITY INVESTMENT LIFE HOLDING CO / CUSIP: 025676206 / Symbol: AEL (cont'd) |                 |                                         |                   |                         |                                       |                                          |                        |
| 06/26/14                                                                             | 5,000           | 121.53                                  | 02/08/12          | 60.54                   | 0.00                                  | 60.99                                    | Sale                   |
|                                                                                      | 14,000          | 340.86                                  | VARIOUS           | 169.30                  | 0.00                                  | 171.56                                   | Total of 4 lots        |
| 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS |                 |                                         |                   |                         |                                       |                                          |                        |
|                                                                                      | 1,000           | 23.38                                   | 02/08/12          | 12.13                   | 0.00                                  | 11.25                                    | Sale                   |
|                                                                                      | 6,000           | 140.28                                  | 02/09/12          | 72.41                   | 0.00                                  | 67.87                                    | Sale                   |
|                                                                                      | 8,000           | 187.03                                  | 02/10/12          | 95.25                   | 0.00                                  | 91.78                                    | Sale                   |
| 09/24/14                                                                             | 15,000          | 350.69                                  | VARIOUS           | 179.79                  | 0.00                                  | 170.90                                   | Total of 3 lots        |
| 4 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS |                 |                                         |                   |                         |                                       |                                          |                        |
|                                                                                      | 4,000           | 99.99                                   | 02/10/12          | 47.49                   | 0.00                                  | 52.50                                    | Sale                   |
|                                                                                      | 5,000           | 124.98                                  | 02/10/12          | 59.53                   | 0.00                                  | 65.45                                    | Sale                   |
|                                                                                      | 1,000           | 25.00                                   | 02/17/12          | 12.25                   | 0.00                                  | 12.75                                    | Sale                   |
|                                                                                      | 5,000           | 124.98                                  | 02/17/12          | 61.38                   | 0.00                                  | 63.60                                    | Sale                   |
| 10/28/14                                                                             | 15,000          | 374.95                                  | VARIOUS           | 180.65                  | 0.00                                  | 194.30                                   | Total of 4 lots        |
| 11/24/14                                                                             | 16,000          | 435.99                                  | 02/21/12          | 197.37                  | 0.00                                  | 238.62                                   | Sale                   |
|                                                                                      | Security total: | 1,964.26                                |                   | 952.49                  | 0.00                                  | 1,011.77                                 |                        |

ARES CAPITAL CORP / CUSIP: 04010L103 / Symbol: ARCC

4 tax lots for 05/12/14. Total proceeds (and cost when required) reported to the IRS.

|                                                                                       |        |          |          |          |      |        |                 |
|---------------------------------------------------------------------------------------|--------|----------|----------|----------|------|--------|-----------------|
| 05/12/14                                                                              | 1,000  | 16.52    | 01/19/11 | 16.51    | 0.00 | 0.01   | Sale            |
|                                                                                       | 11,000 | 181.69   | 01/19/11 | 181.66   | 0.00 | 0.03   | Sale            |
|                                                                                       | 37,000 | 611.32   | 01/19/11 | 610.22   | 0.00 | 1.10   | Sale            |
|                                                                                       | 15,000 | 247.77   | 02/16/11 | 263.86   | 0.00 | -16.09 | Sale            |
| 05/12/14                                                                              | 64,000 | 1,057.30 | VARIOUS  | 1,072.25 | 0.00 | -14.95 | Total of 4 lots |
| 05/13/14                                                                              | 52,000 | 860.16   | 02/16/11 | 914.70   | 0.00 | -54.54 | Sale            |
| 05/14/14                                                                              | 1,000  | 16.78    | 02/16/11 | 17.59    | 0.00 | -0.81  | Sale            |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |      |        |                 |
|                                                                                       | 1,000  | 17.26    | 02/16/11 | 17.59    | 0.00 | -0.33  | Sale            |
|                                                                                       | 14,000 | 241.63   | 03/23/11 | 226.51   | 0.00 | 15.12  | Sale            |
| 06/10/14                                                                              | 15,000 | 258.89   | VARIOUS  | 244.10   | 0.00 | 14.79  | Total of 2 lots |
| 2 tax lots for 06/25/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |      |        |                 |
|                                                                                       | 24,000 | 412.59   | 03/23/11 | 388.30   | 0.00 | 24.29  | Sale            |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & 6- Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ARES CAPITAL CORP / CUSIP: 04010L103 / Symbol: ARCC (cont'd)                          | 12,000   | 206.30                    | 206.30                                   | 09/14/11          | 168.58                  | 0.00                                  | 37.72                  | Sale                                     |
| 06/25/14                                                                              | 36,000   | 618.89                    | 618.89                                   | VARIOUS           | 556.88                  | 0.00                                  | 62.01                  | Total of 2 lots                          |
| 2 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 33,000   | 572.39                    | 572.39                                   | 09/14/11          | 463.58                  | 0.00                                  | 108.81                 | Sale                                     |
| 06/26/14                                                                              | 5,000    | 86.72                     | 86.72                                    | 09/26/11          | 67.48                   | 0.00                                  | 19.24                  | Sale                                     |
|                                                                                       | 38,000   | 659.11                    | 659.11                                   | VARIOUS           | 531.06                  | 0.00                                  | 128.05                 | Total of 2 lots                          |
| 06/27/14                                                                              | 1,000    | 17.32                     | 17.32                                    | 09/26/11          | 13.49                   | 0.00                                  | 3.83                   | Sale                                     |
| 5 tax lots for 07/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 52.08                     | 52.08                                    | 09/26/11          | 40.49                   | 0.00                                  | 11.59                  | Sale                                     |
|                                                                                       | 31,000   | 538.00                    | 538.00                                   | 09/26/11          | 418.37                  | 0.00                                  | 119.63                 | Sale                                     |
|                                                                                       | 81,000   | 1,406.19                  | 1,406.19                                 | 01/20/12          | 1,266.95                | 0.00                                  | 139.24                 | Sale                                     |
|                                                                                       | 10,000   | 173.60                    | 173.60                                   | 10/15/12          | 170.66                  | 0.00                                  | 2.94                   | Sale                                     |
|                                                                                       | 1,000    | 17.36                     | 17.36                                    | 10/16/12          | 17.23                   | 0.00                                  | 0.13                   | Sale                                     |
| 07/09/14                                                                              | 126,000  | 2,187.23                  | 2,187.23                                 | VARIOUS           | 1,913.70                | 0.00                                  | 273.53                 | Total of 5 lots                          |
| 7 tax lots for 07/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 13,000   | 224.10                    | 224.10                                   | 10/16/12          | 223.94                  | 0.00                                  | 0.16                   | Sale                                     |
|                                                                                       | 38,000   | 655.07                    | 655.07                                   | 03/22/13          | 682.65                  | 0.00                                  | -27.58                 | Sale                                     |
|                                                                                       | 9,000    | 155.15                    | 155.15                                   | 06/03/13          | 152.70                  | 0.00                                  | 2.45                   | Sale                                     |
|                                                                                       | 5,000    | 86.19                     | 86.19                                    | 06/20/13          | 82.43                   | 0.00                                  | 3.76                   | Sale                                     |
|                                                                                       | 14,000   | 240.89                    | 240.89                                   | 06/20/13          | 230.65                  | 0.00                                  | 10.24                  | Sale                                     |
|                                                                                       | 17,000   | 292.51                    | 292.51                                   | 06/20/13          | 280.28                  | 0.00                                  | 12.23                  | Sale                                     |
|                                                                                       | 1,000    | 17.21                     | 17.21                                    | 06/21/13          | 16.48                   | 0.00                                  | 0.73                   | Sale                                     |
| 07/10/14                                                                              | 97,000   | 1,671.12                  | 1,671.12                                 | VARIOUS           | 1,669.13                | 0.00                                  | 1.99                   | Total of 7 lots                          |
| Security total:                                                                       |          |                           |                                          |                   | 6,932.90                | 0.00                                  | 413.90                 |                                          |
| ASOC BANC CORP WISC / CUSIP: 045487105 / Symbol: ASB                                  |          |                           |                                          |                   |                         |                                       |                        |                                          |
| 6 tax lots for 01/31/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                          |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 99.62                     | 99.62                                    | 01/19/12          | 74.66                   | 0.00                                  | 24.96                  | Sale                                     |
|                                                                                       | 12,000   | 199.23                    | 199.23                                   | 01/19/12          | 149.70                  | 0.00                                  | 49.53                  | Sale                                     |
|                                                                                       | 19,000   | 315.78                    | 315.78                                   | 01/19/12          | 236.41                  | 0.00                                  | 79.37                  | Sale                                     |
|                                                                                       | 5,000    | 83.10                     | 83.10                                    | 02/28/12          | 66.23                   | 0.00                                  | 16.87                  | Sale                                     |
|                                                                                       | 7,000    | 116.14                    | 116.14                                   | 02/28/12          | 92.65                   | 0.00                                  | 23.49                  | Sale                                     |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & If- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ASSOC BANC CORP WISC / CUSIP: 045487105 / Symbol: ASB (cont'd)                        |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 8,000           | 132.73                    | 132.73                                | 02/28/12          | 105.98                  | 0.00                                  | 26.75                                    | Sale                   |
| 01/31/14                                                                              | 57,000          | 946.60                    | 946.60                                | VARIOUS           | 725.63                  | 0.00                                  | 220.97                                   | Total of 6 lots        |
| 02/03/14                                                                              | 1,000           | 16.38                     | 16.38                                 | 02/28/12          | 13.23                   | 0.00                                  | 3.15                                     | Sale                   |
| 4 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000           | 16.11                     | 16.11                                 | 02/28/12          | 13.24                   | 0.00                                  | 2.87                                     | Sale                   |
|                                                                                       | 13,000          | 209.08                    | 209.08                                | 02/29/12          | 172.45                  | 0.00                                  | 36.63                                    | Sale                   |
|                                                                                       | 16,000          | 257.79                    | 257.79                                | 02/29/12          | 212.24                  | 0.00                                  | 45.55                                    | Sale                   |
|                                                                                       | 13,000          | 209.08                    | 209.08                                | 07/02/12          | 172.40                  | 0.00                                  | 36.68                                    | Sale                   |
| 02/20/14                                                                              | 43,000          | 692.06                    | 692.06                                | VARIOUS           | 570.33                  | 0.00                                  | 121.73                                   | Total of 4 lots        |
| 6 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 17,000          | 271.71                    | 271.71                                | 07/02/12          | 225.44                  | 0.00                                  | 46.27                                    | Sale                   |
|                                                                                       | 18,000          | 287.69                    | 287.69                                | 07/02/12          | 235.89                  | 0.00                                  | 51.80                                    | Sale                   |
|                                                                                       | 4,000           | 64.15                     | 64.15                                 | 10/11/12          | 52.95                   | 0.00                                  | 11.20                                    | Sale                   |
|                                                                                       | 6,000           | 95.90                     | 95.90                                 | 10/11/12          | 79.24                   | 0.00                                  | 16.66                                    | Sale                   |
|                                                                                       | 6,000           | 96.22                     | 96.22                                 | 10/11/12          | 79.24                   | 0.00                                  | 16.98                                    | Sale                   |
|                                                                                       | 24,000          | 384.88                    | 384.88                                | 12/04/12          | 303.97                  | 0.00                                  | 80.91                                    | Sale                   |
| 02/21/14                                                                              | 75,000          | 1,200.55                  | 1,200.55                              | VARIOUS           | 976.73                  | 0.00                                  | 223.82                                   | Total of 6 lots        |
| 02/24/14                                                                              | 17,000          | 276.06                    | 276.06                                | 12/04/12          | 215.32                  | 0.00                                  | 60.74                                    | Sale                   |
|                                                                                       | Security total: | 3,131.65                  | 3,131.65                              |                   | 2,501.24                | 0.00                                  | 630.41                                   |                        |

ATMOS ENERGY CORP COMMON STOCK / CUSIP: 049560105 / Symbol: ATO

|                                                                                       |        |          |          |          |        |      |        |                 |
|---------------------------------------------------------------------------------------|--------|----------|----------|----------|--------|------|--------|-----------------|
| 3 tax lots for 04/23/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |        |      |        |                 |
|                                                                                       | 5,000  | 251.55   | 251.55   | 01/25/12 | 161.84 | 0.00 | 89.71  | Sale            |
|                                                                                       | 16,000 | 804.96   | 804.96   | 02/08/12 | 517.79 | 0.00 | 287.17 | Sale            |
|                                                                                       | 4,000  | 201.24   | 201.24   | 03/02/12 | 123.78 | 0.00 | 77.46  | Sale            |
| 04/23/14                                                                              | 25,000 | 1,257.75 | 1,257.75 | VARIOUS  | 803.41 | 0.00 | 454.34 | Total of 3 lots |
| 04/24/14                                                                              | 1,000  | 50.44    | 50.44    | 03/02/12 | 30.95  | 0.00 | 19.49  | Sale            |
| 4 tax lots for 05/08/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |        |      |        |                 |
|                                                                                       | 1,000  | 50.83    | 50.83    | 03/02/12 | 30.95  | 0.00 | 19.88  | Sale            |
|                                                                                       | 2,000  | 102.34   | 102.34   | 03/02/12 | 61.89  | 0.00 | 40.45  | Sale            |
|                                                                                       | 5,000  | 255.84   | 255.84   | 03/02/12 | 154.72 | 0.00 | 101.12 | Sale            |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ATMOS ENERGY CORP COMMON STOCK / CUSIP: 049560105 / Symbol: ATO (cont'd)              |          |                                         |                   |                         |                                       |                        |                                          |
| 05/08/14                                                                              | 11,000   | 559.10                                  | 03/05/12          | 342.07                  | 0.00                                  | 217.03                 | Sale                                     |
|                                                                                       | 19,000   | 968.11                                  | VARIOUS           | 589.63                  | 0.00                                  | 378.48                 | Total of 4 lots                          |
| 4 tax lots for 05/09/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 50.54                                   | 03/05/12          | 31.10                   | 0.00                                  | 19.44                  | Sale                                     |
|                                                                                       | 4,000    | 202.15                                  | 03/13/12          | 125.24                  | 0.00                                  | 76.91                  | Sale                                     |
|                                                                                       | 4,000    | 202.15                                  | 03/13/12          | 125.49                  | 0.00                                  | 76.66                  | Sale                                     |
|                                                                                       | 3,000    | 151.61                                  | 04/20/12          | 96.17                   | 0.00                                  | 55.44                  | Sale                                     |
| 05/09/14                                                                              | 12,000   | 606.45                                  | VARIOUS           | 378.00                  | 0.00                                  | 228.45                 | Total of 4 lots                          |
| 05/12/14                                                                              | 1,000    | 50.31                                   | 04/20/12          | 32.06                   | 0.00                                  | 18.25                  | Sale                                     |
| 3 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 158.21                                  | 04/20/12          | 96.17                   | 0.00                                  | 62.04                  | Sale                                     |
|                                                                                       | 3,000    | 158.72                                  | 04/23/12          | 95.07                   | 0.00                                  | 63.65                  | Sale                                     |
|                                                                                       | 5,000    | 263.67                                  | 04/23/12          | 158.46                  | 0.00                                  | 105.21                 | Sale                                     |
| 06/26/14                                                                              | 11,000   | 580.60                                  | VARIOUS           | 349.70                  | 0.00                                  | 230.90                 | Total of 3 lots                          |
| 3 tax lots for 06/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 12,000   | 632.72                                  | 04/24/12          | 382.11                  | 0.00                                  | 250.61                 | Sale                                     |
|                                                                                       | 5,000    | 263.63                                  | 04/25/12          | 161.02                  | 0.00                                  | 102.61                 | Sale                                     |
|                                                                                       | 1,000    | 52.73                                   | 10/19/12          | 35.98                   | 0.00                                  | 16.75                  | Sale                                     |
| 06/27/14                                                                              | 18,000   | 949.08                                  | VARIOUS           | 579.11                  | 0.00                                  | 369.97                 | Total of 3 lots                          |
| 06/30/14                                                                              | 1,000    | 52.67                                   | 10/19/12          | 35.98                   | 0.00                                  | 16.69                  | Sale                                     |
| 5 tax lots for 07/01/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 158.39                                  | 10/22/12          | 107.59                  | 0.00                                  | 50.80                  | Sale                                     |
|                                                                                       | 4,000    | 211.19                                  | 10/23/12          | 141.11                  | 0.00                                  | 70.08                  | Sale                                     |
|                                                                                       | 4,000    | 211.19                                  | 10/24/12          | 141.06                  | 0.00                                  | 70.13                  | Sale                                     |
|                                                                                       | 9,000    | 475.18                                  | 11/09/12          | 314.74                  | 0.00                                  | 160.44                 | Sale                                     |
|                                                                                       | 2,000    | 105.59                                  | 11/27/12          | 69.74                   | 0.00                                  | 35.85                  | Sale                                     |
| 07/01/14                                                                              | 22,000   | 1,161.54                                | VARIOUS           | 774.24                  | 0.00                                  | 387.30                 | Total of 5 lots                          |
| 2 tax lots for 07/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 11,000   | 572.12                                  | 11/27/12          | 383.54                  | 0.00                                  | 188.58                 | Sale                                     |
|                                                                                       | 2,000    | 104.02                                  | 12/06/12          | 71.61                   | 0.00                                  | 32.41                  | Sale                                     |
| 07/02/14                                                                              | 13,000   | 676.14                                  | VARIOUS           | 455.15                  | 0.00                                  | 220.99                 | Total of 2 lots                          |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity | 6- Reported / CUSIP: 049560105 / Symbol: ATO (cont'd) | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|----------------------------------------------------------------------------------------|----------|-------------------------------------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| ATMOS ENERGY CORP COMMON STOCK                                                         | 4.000    |                                                       | 205.38                                | 12/06/12          | 143.23                  | 0.00                                  |                        | Sale                                     |
| 07/03/14                                                                               |          |                                                       |                                       |                   |                         |                                       | 62.15                  |                                          |
| 2 tax lots for 07/07/14. Total proceeds (and cost when required) reported to the IRS.  |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 7.000    |                                                       | 358.15                                | 12/06/12          | 250.65                  | 0.00                                  |                        | Sale                                     |
| 07/07/14                                                                               | 9.000    |                                                       | 460.47                                | 12/17/12          | 323.71                  | 0.00                                  | 107.50                 | Sale                                     |
|                                                                                        | 16.000   |                                                       | 818.62                                | VARIOUS           | 574.36                  | 0.00                                  | 136.76                 | Sale                                     |
| 07/08/14                                                                               | 1.000    |                                                       | 51.43                                 | 12/17/12          | 35.97                   | 0.00                                  | 244.26                 | Total of 2 lots                          |
|                                                                                        |          |                                                       | 7,428.52                              |                   | 4,781.79                | 0.00                                  | 15.46                  | Sale                                     |
| Security total:                                                                        |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        |          |                                                       |                                       |                   |                         | 0.00                                  | 2,646.73               |                                          |
| ATWOOD OCEANICS INC COMMON STOCK / CUSIP: 050095108 / Symbol: ATW                      |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
| 5 tax lots for 06/25/14. Total proceeds (and cost when required) reported to the IRS.  |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 3.000    |                                                       | 156.87                                | 06/11/13          | 163.92                  | 0.00                                  | -7.05                  | Sale                                     |
|                                                                                        | 6.000    |                                                       | 313.72                                | 06/11/13          | 329.29                  | 0.00                                  | -15.57                 | Sale                                     |
|                                                                                        | 1.000    |                                                       | 52.26                                 | 06/12/13          | 54.94                   | 0.00                                  | -2.68                  | Sale                                     |
|                                                                                        | 2.000    |                                                       | 104.57                                | 06/12/13          | 109.88                  | 0.00                                  | -5.31                  | Sale                                     |
|                                                                                        | 7.000    |                                                       | 365.81                                | 06/19/13          | 392.21                  | 0.00                                  | -26.40                 | Sale                                     |
| 06/25/14                                                                               | 19.000   |                                                       | 993.23                                | VARIOUS           | 1,050.24                | 0.00                                  | -57.01                 | Total of 5 lots                          |
| 2 tax lots for 06/26/14. Total proceeds (and cost when required) reported to the IRS.  |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 5.000    |                                                       | 259.24                                | 06/19/13          | 280.15                  | 0.00                                  | -20.91                 | Sale                                     |
|                                                                                        | 9.000    |                                                       | 466.65                                | 06/21/13          | 486.40                  | 0.00                                  | -19.75                 | Sale                                     |
| 06/26/14                                                                               | 14.000   |                                                       | 725.89                                | VARIOUS           | 766.55                  | 0.00                                  | -40.66                 | Total of 2 lots                          |
| 06/30/14                                                                               | 4.000    |                                                       | 208.94                                | 11/11/13          | 236.49                  | 0.00                                  | -27.55                 | Sale                                     |
|                                                                                        |          |                                                       | 1,928.06                              |                   | 2,053.28                | 0.00                                  | -125.22                |                                          |
| Security total:                                                                        |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        |          |                                                       |                                       |                   |                         | 0.00                                  |                        |                                          |
| BROADSOFT INC COM / CUSIP: 11133B409 / Symbol: BSFT                                    |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
| 13 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                                       |                   |                         |                                       |                        |                                          |
|                                                                                        | 1.000    |                                                       | 22.48                                 | 03/20/13          | 25.36                   | 0.00                                  | -2.88                  | Sale                                     |
|                                                                                        | 1.000    |                                                       | 22.54                                 | 03/20/13          | 25.35                   | 0.00                                  | -2.81                  | Sale                                     |
|                                                                                        | 7.000    |                                                       | 158.46                                | 03/20/13          | 176.78                  | 0.00                                  | -18.32                 | Sale                                     |
|                                                                                        | 13.000   |                                                       | 294.28                                | 03/20/13          | 329.61                  | 0.00                                  | -35.33                 | Sale                                     |
|                                                                                        | 2.000    |                                                       | 45.09                                 | 03/21/13          | 50.56                   | 0.00                                  | -5.47                  | Sale                                     |
|                                                                                        | 2.000    |                                                       | 45.08                                 | 04/01/13          | 51.68                   | 0.00                                  | -6.60                  | Sale                                     |
|                                                                                        | 1.000    |                                                       | 22.55                                 | 04/02/13          | 25.85                   | 0.00                                  | -3.30                  | Sale                                     |
| 14.000                                                                                 |          |                                                       | 315.59                                | 04/02/13          | 361.88                  | 0.00                                  | -46.29                 | Sale                                     |

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\*\* For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

**UBS FINANCIAL SERVICES INC.**

Account PF 00124

2014 1099-B\*

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## 2- LONG-TERM TRANSACTIONS

**5-COVERED tax lots 3-Basis is reported to the IRS\*\***

Report on Form 8949, Part II, with Box D checked

| a- Description of property/CUSIP/Symbol |
|-----------------------------------------|
|-----------------------------------------|

1c- Date sold or disposed

| 1c- Date sold or disposed                                    | Quantity | 1d- Proceeds | 6- Reported (Gross) |
|--------------------------------------------------------------|----------|--------------|---------------------|
| BROADSOFT INC.COM / CUSIP: 11133B409 / Symbol: BSET (cont'd) |          |              |                     |

| 1d- Proceeds &<br>d (G)ross (Net | 1b- Date<br>acquired |
|----------------------------------|----------------------|
|----------------------------------|----------------------|

**1e- Cost or other basis**

g- Adjustments &amp; 1f- Code(s), if any

**Gain or loss(-) & 7- Loss not allowed(X) Additional Information**

|                         |                |          |
|-------------------------|----------------|----------|
| <b>Sale</b>             | <b>-2.81</b>   | <b>C</b> |
| <b>Sale</b>             | <b>-5.39</b>   | <b>C</b> |
| <b>Sale</b>             | <b>-11.03</b>  | <b>C</b> |
| <b>Sale</b>             | <b>-4.33</b>   | <b>C</b> |
| <b>Sale</b>             | <b>-6.94</b>   | <b>C</b> |
| <b>Total of 13 lots</b> | <b>-151.50</b> |          |

09/24/14

5 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS.

|        |                 |   |
|--------|-----------------|---|
| -14.85 | Sale            | C |
| -19.69 | Sale            | C |
| -14.09 | Sale            | C |
| -28.78 | Sale            | C |
| -6.78  | Sale            | C |
| -84.19 | Total of 5 lots |   |

09/25/14

2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS.

|       |                 |   |
|-------|-----------------|---|
| 6.92  | Sale            | C |
| 18.74 | Sale            | C |
| 25.66 | Total of 2 lots |   |

11/24/14

Security total: 2,390.51 2,602.74

**-212.23**

## 33BROADBRIDGE FINANCIAL SOLUTIONS INC / CUSIP: 11133T103 / Symbol: BR

2. **2014/15** for 06/10/14 Total proceeds (and cost when required) reported to the IRS:

|       |      |   |
|-------|------|---|
| 98.54 | Sale | C |
| 39.29 | Sale | C |
| 78.14 | Sale | C |

06/10/14

2 for 1/01 for 09/24/14 Total proceeds (and cost when required) reported to the IRS.

|        |                 |   |
|--------|-----------------|---|
| 346.65 | Sale            | C |
| 20.89  | Sale            | C |
| 367.54 | Total of 2 lots |   |

09/24/14

2 for 1/01 for 10/28/14 Total proceeds (and cost when required) reported to the IRS:

|        |      |   |
|--------|------|---|
| 243.44 | Sale | C |
|--------|------|---|

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**For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.**

UBS FINANCIAL SERVICES INC.

Account PF 00124

2014-1099-B\*

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Gain or loss(-) & Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| BROADRIDGE FINANCIAL SOLUTIONS INC / CUSIP: 11133T103 / Symbol: BR (cont'd)           | 8,000    | 345.91                   | 10/18/11                             | 170.59            | 0.00                    | 175.32                                | Sale                                     | C                      |
| 10/28/14                                                                              | 19,000   | 821.54                   | VARIOUS                              | 402.78            | 0.00                    | 418.76                                | Total of 2 lots                          |                        |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 9,000    | 409.85                   | 10/18/11                             | 191.92            | 0.00                    | 217.93                                | Sale                                     | C                      |
|                                                                                       | 14,000   | 637.55                   | 10/19/11                             | 298.26            | 0.00                    | 339.29                                | Sale                                     | C                      |
| 11/24/14                                                                              | 23,000   | 1,047.40                 | VARIOUS                              | 490.18            | 0.00                    | 557.22                                | Total of 2 lots                          |                        |
| Security total.                                                                       |          | 3,078.66                 |                                      | 1,519.17          | 0.00                    | 1,559.49                              |                                          |                        |
| CAPITAL BK FINL CORP CL A / CUSIP: 139794101 / Symbol: CBF                            |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 17,000   | 414.45                   | 08/20/13                             | 331.39            | 0.00                    | 83.06                                 | Sale                                     | C                      |
| 4 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 125.05                   | 08/20/13                             | 97.47             | 0.00                    | 27.58                                 | Sale                                     | C                      |
|                                                                                       | 1,000    | 25.00                    | 08/21/13                             | 19.51             | 0.00                    | 5.49                                  | Sale                                     | C                      |
|                                                                                       | 4,000    | 100.04                   | 08/21/13                             | 79.18             | 0.00                    | 20.86                                 | Sale                                     | C                      |
|                                                                                       | 8,000    | 200.08                   | 08/21/13                             | 158.75            | 0.00                    | 41.33                                 | Sale                                     | C                      |
| 10/28/14                                                                              | 18,000   | 450.17                   | VARIOUS                              | 354.91            | 0.00                    | 95.26                                 | Total of 4 lots                          |                        |
| 11/24/14                                                                              | 16,000   | 408.31                   | 08/21/13                             | 317.51            | 0.00                    | 90.80                                 | Sale                                     | C                      |
| Security total:                                                                       |          | 1,272.93                 |                                      | 1,003.81          | 0.00                    | 269.12                                |                                          |                        |
| CELADON GROUP INC COMMON STOCK / CUSIP: 150838100 / Symbol: CGI                       |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 5 tax lots for 10/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 56.47                    | 03/21/13                             | 63.92             | 0.00                    | -7.45                                 | Sale                                     | C                      |
|                                                                                       | 1,000    | 19.48                    | 04/02/13                             | 18.45             | 0.00                    | 1.03                                  | Sale                                     | C                      |
|                                                                                       | 1,000    | 19.48                    | 04/02/13                             | 18.99             | 0.00                    | 0.49                                  | Sale                                     | C                      |
|                                                                                       | 2,000    | 37.64                    | 04/02/13                             | 37.99             | 0.00                    | -0.35                                 | Sale                                     | C                      |
|                                                                                       | 3,000    | 58.44                    | 04/02/13                             | 67.09             | 0.00                    | -8.65                                 | Sale                                     | C                      |
| 10/30/14                                                                              | 10,000   | 191.51                   | VARIOUS                              | 206.44            | 0.00                    | -14.93                                | Total of 5 lots                          |                        |
| 10/30/14                                                                              | 16,000   | 301.14                   | 03/20/13                             | 336.51            | 28.74 W                 | -6.63                                 | Sale                                     | C                      |
| 2 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 4,000    | 77.23                    | 04/02/13                             | 73.78             | 0.00                    | 3.45                                  | Sale                                     | C                      |
|                                                                                       | 2,000    | 38.61                    | 04/03/13                             | 36.38             | 0.00                    | 2.23                                  | Sale                                     | C                      |
| 10/31/14                                                                              | 6,000    | 115.84                   | VARIOUS                              | 110.16            | 0.00                    | 5.68                                  | Total of 2 lots                          |                        |

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UBS FINANCIAL SERVICES INC. Account PF 00124  
 2014 1099:B Proceeds from Broker and Barter Exchange Transactions OMB No. 1545-0715  
 (continued)

### 2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross/Net) | 1d- Proceeds & Reported (Gross/Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CELADON GROUP INC COMMON STOCK / CUSIP: 150838100 / Symbol: CGI (cont'd)              |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 4 tax lots for 11/03/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 39.56                   | 36.37                               | 04/03/13          |                         | 0.00                                  | 3.19                                     | Sale                   |
|                                                                                       | 2,000    | 39.56                   | 36.73                               | 04/08/13          |                         | 0.00                                  | 2.83                                     | Sale                   |
|                                                                                       | 7,000    | 138.45                  | 129.62                              | 04/08/13          |                         | 0.00                                  | 8.83                                     | Sale                   |
|                                                                                       | 5,000    | 98.90                   | 93.17                               | 04/09/13          |                         | 0.00                                  | 5.73                                     | Sale                   |
| 11/03/14                                                                              | 16,000   | 316.47                  | 295.89                              | VARIOUS           |                         | 0.00                                  | 20.58                                    | Total of 4 lots        |
| 11/04/14                                                                              | 1,000    | 20.53                   | 18.63                               | 04/09/13          |                         | 0.00                                  | 1.90                                     | Sale                   |
| 8 tax lots for 11/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 21.81                   | 17.62                               | 05/06/13          |                         | 0.00                                  | 4.19                                     | Sale                   |
|                                                                                       | 4,000    | 87.31                   | 70.50                               | 05/06/13          |                         | 0.00                                  | 16.81                                    | Sale                   |
|                                                                                       | 7,000    | 152.71                  | 127.04                              | 05/07/13          |                         | 0.00                                  | 25.67                                    | Sale                   |
|                                                                                       | 5,000    | 109.07                  | 91.68                               | 05/08/13          |                         | 0.00                                  | 17.39                                    | Sale                   |
|                                                                                       | 1,000    | 21.81                   | 18.27                               | 05/09/13          |                         | 0.00                                  | 3.54                                     | Sale                   |
|                                                                                       | 3,000    | 65.44                   | 59.73                               | 07/23/13          |                         | 0.00                                  | 5.71                                     | Sale                   |
|                                                                                       | 10,000   | 218.15                  | 197.32                              | 07/23/13          |                         | 0.00                                  | 20.83                                    | Sale                   |
|                                                                                       | 2,000    | 43.63                   | 40.07                               | 07/24/13          |                         | 0.00                                  | 3.56                                     | Sale                   |
| 11/20/14                                                                              | 33,000   | 719.93                  | 622.23                              | VARIOUS           |                         | 0.00                                  | 97.70                                    | Total of 8 lots        |
| 2 tax lots for 11/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 65.03                   | 60.11                               | 07/24/13          |                         | 0.00                                  | 4.92                                     | Sale                   |
|                                                                                       | 3,000    | 65.03                   | 61.22                               | 07/25/13          |                         | 0.00                                  | 3.81                                     | Sale                   |
| 11/21/14                                                                              | 6,000    | 130.06                  | 121.33                              | VARIOUS           |                         | 0.00                                  | 8.73                                     | Total of 2 lots        |
| 7 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 21.88                   | 20.41                               | 07/25/13          |                         | 0.00                                  | 1.47                                     | Sale                   |
|                                                                                       | 2,000    | 43.76                   | 40.93                               | 07/26/13          |                         | 0.00                                  | 2.83                                     | Sale                   |
|                                                                                       | 1,000    | 21.88                   | 20.39                               | 10/03/13          |                         | 0.00                                  | 1.49                                     | Sale                   |
|                                                                                       | 2,000    | 43.76                   | 40.38                               | 10/03/13          |                         | 0.00                                  | 3.38                                     | Sale                   |
|                                                                                       | 10,000   | 218.79                  | 179.97                              | 10/04/13          |                         | 0.00                                  | 38.82                                    | Sale                   |
|                                                                                       | 1,000    | 21.88                   | 17.82                               | 10/07/13          |                         | 0.00                                  | 4.06                                     | Sale                   |
|                                                                                       | 13,000   | 284.43                  | 285.14                              | 09/30/14          |                         | 0.00                                  | -0.71                                    | Sale                   |
| 11/24/14                                                                              | 30,000   | 656.38                  | 605.04                              | VARIOUS           |                         | 0.00                                  | 51.34                                    | Total of 7 lots        |
| Security total:                                                                       |          |                         |                                     |                   |                         |                                       | 28.74                                    | W 164.37               |

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UBS FINANCIAL SERVICES INC.

Account PF-00124

2014 1099-B\*

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| CHICO'S FAS INC / CUSIP: 168615102 / Symbol: CHS                                      | 10,000   | 167.11                   | 10/08/13                             | 170.30            | 0.00                    | -3.19                             | Sale                   | C                                        |
| CLEARWATER PAPER CORP / CUSIP: 18538R103 / Symbol: CLW                                | 5,000    | 330.84                   | 05/28/13                             | 237.15            | 0.00                    | 93.69                             | Sale                   | C                                        |
| 4 tax lots for 09/15/14. Total proceeds (and cost when required) reported to the IRS. | 3,000    | 202.77                   | 05/29/13                             | 142.47            | 0.00                    | 60.30                             | Sale                   | C                                        |
|                                                                                       | 1,000    | 67.57                    | 05/30/13                             | 47.60             | 0.00                    | 19.97                             | Sale                   | C                                        |
|                                                                                       | 2,000    | 135.18                   | 05/30/13                             | 95.19             | 0.00                    | 39.99                             | Sale                   | C                                        |
|                                                                                       | 1,000    | 67.57                    | 05/31/13                             | 47.92             | 0.00                    | 19.65                             | Sale                   | C                                        |
|                                                                                       | 7,000    | 473.09                   | VARIOUS                              | 333.18            | 0.00                    | 139.91                            | Total of 4 lots        | C                                        |
| 09/15/14                                                                              |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 4 tax lots for 09/16/14. Total proceeds (and cost when required) reported to the IRS. | 2,000    | 133.23                   | 05/31/13                             | 95.83             | 0.00                    | 37.40                             | Sale                   | C                                        |
|                                                                                       | 2,000    | 133.23                   | 06/03/13                             | 95.63             | 0.00                    | 37.60                             | Sale                   | C                                        |
|                                                                                       | 2,000    | 133.24                   | 06/03/13                             | 95.05             | 0.00                    | 38.19                             | Sale                   | C                                        |
|                                                                                       | 4,000    | 266.46                   | 06/04/13                             | 192.85            | 0.00                    | 73.61                             | Sale                   | C                                        |
|                                                                                       | 10,000   | 666.16                   | VARIOUS                              | 479.36            | 0.00                    | 186.80                            | Total of 4 lots        | C                                        |
| 09/16/14                                                                              |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 2 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS. | 2,000    | 131.04                   | 06/04/13                             | 97.04             | 0.00                    | 34.00                             | Sale                   | C                                        |
|                                                                                       | 5,000    | 327.59                   | 06/04/13                             | 242.93            | 0.00                    | 84.66                             | Sale                   | C                                        |
|                                                                                       | 7,000    | 458.63                   | VARIOUS                              | 339.97            | 0.00                    | 118.66                            | Total of 2 lots        | C                                        |
| 09/17/14                                                                              |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 3 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS. | 2,000    | 131.14                   | 06/05/13                             | 95.92             | 0.00                    | 35.22                             | Sale                   | C                                        |
|                                                                                       | 1,000    | 65.56                    | 06/06/13                             | 48.24             | 0.00                    | 17.32                             | Sale                   | C                                        |
|                                                                                       | 1,000    | 65.57                    | 06/06/13                             | 48.32             | 0.00                    | 17.25                             | Sale                   | C                                        |
|                                                                                       | 4,000    | 262.27                   | VARIOUS                              | 192.48            | 0.00                    | 69.79                             | Total of 3 lots        | C                                        |
| 09/18/14                                                                              |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 3 tax lots for 09/19/14. Total proceeds (and cost when required) reported to the IRS. | 1,000    | 63.80                    | 06/07/13                             | 48.63             | 0.00                    | 15.17                             | Sale                   | C                                        |
|                                                                                       | 4,000    | 254.87                   | 06/07/13                             | 194.54            | 0.00                    | 60.33                             | Sale                   | C                                        |
|                                                                                       | 2,000    | 127.59                   | 06/10/13                             | 98.39             | 0.00                    | 29.20                             | Sale                   | C                                        |
|                                                                                       | 7,000    | 446.26                   | VARIOUS                              | 341.56            | 0.00                    | 104.70                            | Total of 3 lots        | C                                        |
| 09/19/14                                                                              |          |                          |                                      |                   |                         |                                   |                        |                                          |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CLEARWATER PAPER CORP / CUSIP: 18538R103 / Symbol: CLW (cont'd)                       |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 4 tax lots for 09/22/14 Total proceeds (and cost when required) reported to the IRS.  |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    |                         | 63.41                               | 06/10/13          | 49.19                   | 0.00                                  | 14.22                                    | Sale                   |
|                                                                                       | 1.000    |                         | 63.42                               | 06/11/13          | 49.40                   | 0.00                                  | 14.02                                    | Sale                   |
|                                                                                       | 1.000    |                         | 63.41                               | 06/19/13          | 48.34                   | 0.00                                  | 15.07                                    | Sale                   |
|                                                                                       | 7.000    |                         | 443.89                              | 06/19/13          | 339.26                  | 0.00                                  | 104.63                                   | Sale                   |
| 09/22/14                                                                              | 10.000   |                         | 634.13                              | VARIOUS           | 486.19                  | 0.00                                  | 147.94                                   | Total of 4 lots        |
| 3 tax lots for 09/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    |                         | 62.77                               | 06/20/13          | 47.71                   | 0.00                                  | 15.06                                    | Sale                   |
|                                                                                       | 3.000    |                         | 188.32                              | 07/02/13          | 142.95                  | 0.00                                  | 45.37                                    | Sale                   |
|                                                                                       | 3.000    |                         | 188.33                              | 07/02/13          | 142.95                  | 0.00                                  | 45.38                                    | Sale                   |
| 09/23/14                                                                              | 7.000    |                         | 439.42                              | VARIOUS           | 333.61                  | 0.00                                  | 105.81                                   | Total of 3 lots        |
| 09/24/14                                                                              | 6.000    |                         | 374.09                              | 07/02/13          | 285.90                  | 0.00                                  | 88.19                                    | Sale                   |
| 5 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    |                         | 62.15                               | 07/02/13          | 47.65                   | 0.00                                  | 14.50                                    | Sale                   |
|                                                                                       | 8.000    |                         | 489.18                              | 07/02/13          | 381.20                  | 0.00                                  | 107.98                                   | Sale                   |
|                                                                                       | 1.000    |                         | 62.15                               | 07/03/13          | 48.48                   | 0.00                                  | 13.67                                    | Sale                   |
|                                                                                       | 2.000    |                         | 124.31                              | 08/26/13          | 96.36                   | 0.00                                  | 27.95                                    | Sale                   |
|                                                                                       | 1.000    |                         | 62.15                               | 08/27/13          | 48.02                   | 0.00                                  | 14.13                                    | Sale                   |
| 09/25/14                                                                              | 13.000   |                         | 799.94                              | VARIOUS           | 621.71                  | 0.00                                  | 178.23                                   | Total of 5 lots        |
| 3 tax lots for 09/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 4.000    |                         | 247.61                              | 08/27/13          | 192.07                  | 0.00                                  | 55.54                                    | Sale                   |
|                                                                                       | 1.000    |                         | 61.90                               | 08/28/13          | 47.88                   | 0.00                                  | 14.02                                    | Sale                   |
|                                                                                       | 1.000    |                         | 61.86                               | 09/24/13          | 48.19                   | 0.00                                  | 13.67                                    | Sale                   |
| 09/26/14                                                                              | 6.000    |                         | 371.37                              | VARIOUS           | 288.14                  | 0.00                                  | 83.23                                    | Total of 3 lots        |
| 2 tax lots for 09/29/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 3.000    |                         | 183.26                              | 09/24/13          | 144.57                  | 0.00                                  | 38.69                                    | Sale                   |
|                                                                                       | 3.000    |                         | 183.80                              | 09/24/13          | 144.57                  | 0.00                                  | 39.23                                    | Sale                   |
| 09/29/14                                                                              | 6.000    |                         | 367.06                              | VARIOUS           | 289.14                  | 0.00                                  | 77.92                                    | Total of 2 lots        |
| 2 tax lots for 09/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 2.000    |                         | 120.31                              | 09/24/13          | 96.38                   | 0.00                                  | 23.93                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                       | Quantity                                                                              | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|-----------------------------------------------------------------|---------------------------------------------------------------------------------------|--------------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| CLEARWATER PAPER CORP / CUSIP: 18538R103 / Symbol: CLW (cont'd) |                                                                                       |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                 | 1,000                                                                                 | 60.15                    |                                      | 09/25/13          | 48.23                   | 0.00                              | 11.92                  | Sale                                     |
| 09/30/14                                                        | 3,000                                                                                 | 180.46                   |                                      | VARIOUS           | 144.61                  | 0.00                              | 35.85                  | Total of 2 lots                          |
|                                                                 | Security total:                                                                       | 5,803.72                 |                                      |                   | 4,373.00                | 0.00                              | 1,430.72               |                                          |
| WAY INC / CUSIP: 205944101 / Symbol: CNW                        |                                                                                       |                          |                                      |                   |                         |                                   |                        |                                          |
| 09/10/14                                                        | 7,000                                                                                 | 336.18                   |                                      | 03/13/13          | 259.53                  | 0.00                              | 76.65                  | Sale                                     |
|                                                                 | 6 tax lots for 09/23/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                 | 3,000                                                                                 | 148.82                   |                                      | 03/13/13          | 111.23                  | 0.00                              | 37.59                  | Sale                                     |
|                                                                 | 4,000                                                                                 | 195.92                   |                                      | 03/13/13          | 154.90                  | 0.00                              | 41.02                  | Sale                                     |
|                                                                 | 6,000                                                                                 | 293.88                   |                                      | 03/13/13          | 222.45                  | 0.00                              | 71.43                  | Sale                                     |
|                                                                 | 7,000                                                                                 | 342.61                   |                                      | 03/13/13          | 259.53                  | 0.00                              | 83.08                  | Sale                                     |
|                                                                 | 8,000                                                                                 | 391.83                   |                                      | 03/14/13          | 303.89                  | 0.00                              | 87.94                  | Sale                                     |
|                                                                 | 5,000                                                                                 | 244.90                   |                                      | 03/15/13          | 187.11                  | 0.00                              | 57.79                  | Sale                                     |
| 09/23/14                                                        | 33,000                                                                                | 1,617.96                 |                                      | VARIOUS           | 1,239.11                | 0.00                              | 378.85                 | Total of 6 lots                          |
|                                                                 | 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                 | 7,000                                                                                 | 341.18                   |                                      | 03/15/13          | 261.95                  | 0.00                              | 79.23                  | Sale                                     |
|                                                                 | 9,000                                                                                 | 438.68                   |                                      | 03/15/13          | 336.80                  | 0.00                              | 101.88                 | Sale                                     |
|                                                                 | 2,000                                                                                 | 97.48                    |                                      | 03/22/13          | 71.61                   | 0.00                              | 25.87                  | Sale                                     |
| 09/24/14                                                        | 18,000                                                                                | 877.34                   |                                      | VARIOUS           | 670.36                  | 0.00                              | 206.98                 | Total of 3 lots                          |
|                                                                 | 7 tax lots for 10/08/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                 | 11,000                                                                                | 474.78                   |                                      | 03/22/13          | 393.88                  | 0.00                              | 80.90                  | Sale                                     |
|                                                                 | 5,000                                                                                 | 215.81                   |                                      | 04/15/13          | 182.72                  | 0.00                              | 33.09                  | Sale                                     |
|                                                                 | 7,000                                                                                 | 302.14                   |                                      | 04/15/13          | 230.40                  | 0.00                              | 71.74                  | Sale                                     |
|                                                                 | 4,000                                                                                 | 172.65                   |                                      | 05/07/13          | 138.12                  | 0.00                              | 34.53                  | Sale                                     |
|                                                                 | 12,000                                                                                | 517.94                   |                                      | 05/07/13          | 413.08                  | 0.00                              | 104.86                 | Sale                                     |
|                                                                 | 11,000                                                                                | 474.78                   |                                      | 06/03/13          | 420.01                  | 0.00                              | 54.77                  | Sale                                     |
|                                                                 | 11,000                                                                                | 474.79                   |                                      | 06/03/13          | 416.84                  | 0.00                              | 57.95                  | Sale                                     |
| 10/08/14                                                        | 61,000                                                                                | 2,632.89                 |                                      | VARIOUS           | 2,195.05                | 0.00                              | 437.84                 | Total of 7 lots                          |
|                                                                 | 3 tax lots for 10/13/14. Total proceeds (and cost when required) reported to the IRS. |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                 | 9,000                                                                                 | 368.87                   |                                      | 06/03/13          | 343.64                  | 0.00                              | 25.23                  | Sale                                     |
|                                                                 | 1,000                                                                                 | 40.99                    |                                      | 06/04/13          | 37.96                   | 0.00                              | 3.03                   | Sale                                     |

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UBS FINANCIAL SERVICES INC. Account: PF-00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| CON-WAY INC / CUSIP: 205944101 / Symbol: CNW (cont'd)                                 |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 10/13/14                                                                              | 5,000    | 204.93                   | 614.79                               | 06/06/13          | 191.43                  | 0.00                                  | 13.50                                    | Sale                   |
|                                                                                       | 15,000   |                          |                                      | VARIOUS           | 573.03                  | 0.00                                  | 41.76                                    | Total of 3 lots        |
| 3 tax lots for 10/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 42.45                    |                                      | 06/06/13          | 38.28                   | 0.00                                  | 4.17                                     | Sale                   |
|                                                                                       | 9,000    | 382.01                   |                                      | 06/06/13          | 343.75                  | 0.00                                  | 38.26                                    | Sale                   |
|                                                                                       | 1,000    | 42.45                    |                                      | 06/07/13          | 39.45                   | 0.00                                  | 3.00                                     | Sale                   |
| 10/30/14                                                                              | 11,000   | 466.91                   |                                      | VARIOUS           | 421.48                  | 0.00                                  | 45.43                                    | Total of 3 lots        |
| Security total:                                                                       |          | 6,546.07                 |                                      |                   | 5,358.56                | 0.00                                  | 1,187.51                                 |                        |

DXP ENTERPRISES INC NEW / CUSIP: 233377407 / Symbol: DXPE

|                                                                                       |       |        |  |          |        |      |       |                 |
|---------------------------------------------------------------------------------------|-------|--------|--|----------|--------|------|-------|-----------------|
| 2 tax lots for 10/13/14. Total proceeds (and cost when required) reported to the IRS. |       |        |  |          |        |      |       |                 |
| 10/13/14                                                                              | 2,000 | 130.93 |  | 06/25/13 | 130.40 | 0.00 | 0.53  | Sale            |
|                                                                                       | 1,000 | 65.46  |  | 06/26/13 | 65.64  | 0.00 | -0.18 | Sale            |
|                                                                                       | 3,000 | 196.39 |  | VARIOUS  | 196.04 | 0.00 | 0.35  | Total of 2 lots |

|                                                                                       |        |        |  |          |        |      |         |                 |
|---------------------------------------------------------------------------------------|--------|--------|--|----------|--------|------|---------|-----------------|
| 2 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS. |        |        |  |          |        |      |         |                 |
| 10/15/14                                                                              | 9,000  | 552.68 |  | 07/24/13 | 634.74 | 0.00 | -82.06  | Sale            |
|                                                                                       | 2,000  | 122.82 |  | 07/25/13 | 144.57 | 0.00 | -21.75  | Sale            |
|                                                                                       | 11,000 | 675.50 |  | VARIOUS  | 779.31 | 0.00 | -103.81 | Total of 2 lots |

|                                                                                       |       |          |  |          |          |      |         |                 |
|---------------------------------------------------------------------------------------|-------|----------|--|----------|----------|------|---------|-----------------|
| 3 tax lots for 10/16/14. Total proceeds (and cost when required) reported to the IRS. |       |          |  |          |          |      |         |                 |
| 10/16/14                                                                              | 3,000 | 180.80   |  | 07/25/13 | 216.86   | 0.00 | -36.06  | Sale            |
|                                                                                       | 1,000 | 60.26    |  | 07/26/13 | 71.28    | 0.00 | -11.02  | Sale            |
|                                                                                       | 1,000 | 60.27    |  | 08/02/13 | 68.27    | 0.00 | -8.00   | Sale            |
|                                                                                       | 5,000 | 301.33   |  | VARIOUS  | 356.41   | 0.00 | -55.08  | Total of 3 lots |
| Security total:                                                                       |       | 1,173.22 |  |          | 1,331.76 | 0.00 | -158.54 |                 |

EXCEL TRUST INC REIT SBI / CUSIP: 30068C109 / Symbol: EXL

|                                                                                       |       |       |  |          |       |      |       |      |
|---------------------------------------------------------------------------------------|-------|-------|--|----------|-------|------|-------|------|
| 02/07/14                                                                              | 3,000 | 34.09 |  | 10/22/12 | 35.97 | 0.00 | -1.88 | Sale |
| 3 tax lots for 02/10/14. Total proceeds (and cost when required) reported to the IRS. |       |       |  |          |       |      |       |      |
|                                                                                       | 4,000 | 45.65 |  | 10/23/12 | 48.16 | 0.00 | -2.51 | Sale |
|                                                                                       | 2,000 | 22.82 |  | 10/24/12 | 24.12 | 0.00 | -1.30 | Sale |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

OMB No: 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantitv | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| EXCEL TRUST INC REIT SBI / CUSIP: 30068C109 / Symbol: EXL (cont'd)                    |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 02/10/14                                                                              | 4,000    | 45.65                    | 114.12                               | 10/25/12          | 47.46                   | 0.00                                  | -1.81                                    | Sale                   |
|                                                                                       | 10,000   |                          |                                      | VARIOUS           | 119.74                  | 0.00                                  | -5.62                                    | Total of 3 lots        |
| 3 tax lots for 02/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 11.45                    |                                      | 10/25/12          | 11.87                   | 0.00                                  | -0.42                                    | Sale                   |
|                                                                                       | 2,000    | 22.89                    |                                      | 10/25/12          | 23.73                   | 0.00                                  | -0.84                                    | Sale                   |
|                                                                                       | 7,000    | 80.22                    |                                      | 10/25/12          | 83.06                   | 0.00                                  | -2.84                                    | Sale                   |
| 02/11/14                                                                              | 10,000   | 114.56                   |                                      | VARIOUS           | 118.66                  | 0.00                                  | -4.10                                    | Total of 3 lots        |
| 2 tax lots for 02/12/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 13,000   | 148.00                   |                                      | 10/25/12          | 154.26                  | 0.00                                  | -6.26                                    | Sale                   |
|                                                                                       | 17,000   | 193.65                   |                                      | 10/25/12          | 201.71                  | 0.00                                  | -8.06                                    | Sale                   |
| 02/12/14                                                                              | 30,000   | 341.65                   |                                      | VARIOUS           | 355.97                  | 0.00                                  | -14.32                                   | Total of 2 lots        |
| 2 tax lots for 02/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 6,000    | 70.78                    |                                      | 10/25/12          | 70.55                   | 0.00                                  | 0.23                                     | Sale                   |
|                                                                                       | 48,000   | 566.24                   |                                      | 10/25/12          | 569.56                  | 0.00                                  | -3.32                                    | Sale                   |
| 02/13/14                                                                              | 54,000   | 637.02                   |                                      | VARIOUS           | 640.11                  | 0.00                                  | -3.09                                    | Total of 2 lots        |
|                                                                                       | 39,000   | 480.12                   |                                      | 10/25/12          | 458.60                  | 0.00                                  | 21.52                                    | Sale                   |
| 02/14/14                                                                              | 15,000   | 186.09                   |                                      | 10/25/12          | 176.39                  | 0.00                                  | 9.70                                     | Sale                   |
| 02/18/14                                                                              | 10,000   | 124.52                   |                                      | 10/25/12          | 117.59                  | 0.00                                  | 6.93                                     | Sale                   |
| 02/19/14                                                                              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    | 86.76                    |                                      | 10/25/12          | 82.31                   | 0.00                                  | 4.45                                     | Sale                   |
|                                                                                       | 10,000   | 123.48                   |                                      | 10/25/12          | 117.59                  | 0.00                                  | 5.89                                     | Sale                   |
| 20/14                                                                                 | 17,000   | 210.24                   |                                      | VARIOUS           | 199.90                  | 0.00                                  | 10.34                                    | Total of 2 lots        |
| 3 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 10,000   | 122.34                   |                                      | 10/25/12          | 117.59                  | 0.00                                  | 4.75                                     | Sale                   |
|                                                                                       | 11,000   | 134.45                   |                                      | 10/25/12          | 129.35                  | 0.00                                  | 5.10                                     | Sale                   |
|                                                                                       | 41,000   | 501.58                   |                                      | 10/31/12          | 490.59                  | 0.00                                  | 10.99                                    | Sale                   |
| 02/21/14                                                                              | 62,000   | 758.37                   |                                      | VARIOUS           | 737.53                  | 0.00                                  | 20.84                                    | Total of 3 lots        |
| 5 tax lots for 02/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 12.47                    |                                      | 10/31/12          | 11.97                   | 0.00                                  | 0.50                                     | Sale                   |
|                                                                                       | 4,000    | 49.88                    |                                      | 11/27/12          | 46.71                   | 0.00                                  | 3.17                                     | Sale                   |
|                                                                                       | 6,000    | 74.87                    |                                      | 11/28/12          | 69.94                   | 0.00                                  | 4.93                                     | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| EXCEL TRUST INC REIT SBI / CUSIP: 30068C109 / Symbol: EXL (conf'd)                    | 12,000   | 149.75                    | 140.61                                | 11/28/12          | 0.00                    | 0.00                                  | 9.14                                     | Sale                   |
|                                                                                       | 2,000    | 24.96                     | 23.62                                 | 12/04/12          | 0.00                    | 0.00                                  | 1.34                                     | Sale                   |
| 02/24/14                                                                              | 25,000   | 311.93                    | 292.85                                | VARIOUS           | 0.00                    | 0.00                                  | 19.08                                    | Total of 5 lots        |
| 6 tax lots for 02/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 24.90                     | 23.62                                 | 12/04/12          | 0.00                    | 0.00                                  | 1.28                                     | Sale                   |
|                                                                                       | 2,000    | 24.89                     | 23.85                                 | 12/05/12          | 0.00                    | 0.00                                  | 1.04                                     | Sale                   |
|                                                                                       | 3,000    | 37.38                     | 35.77                                 | 12/05/12          | 0.00                    | 0.00                                  | 1.61                                     | Sale                   |
|                                                                                       | 7,000    | 86.98                     | 83.47                                 | 12/05/12          | 0.00                    | 0.00                                  | 3.51                                     | Sale                   |
|                                                                                       | 5,000    | 62.13                     | 61.23                                 | 12/17/12          | 0.00                    | 0.00                                  | 0.90                                     | Sale                   |
|                                                                                       | 7,000    | 86.98                     | 85.56                                 | 12/17/12          | 0.00                    | 0.00                                  | 1.42                                     | Sale                   |
| 02/25/14                                                                              | 26,000   | 323.26                    | 313.50                                | VARIOUS           | 0.00                    | 0.00                                  | 9.76                                     | Total of 6 lots        |
| Security total:                                                                       |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       |          | 3,635.97                  | 3,566.81                              |                   | 0.00                    | 0.00                                  | 69.16                                    |                        |
| FAIR ISAAC CORP / CUSIP: 303250104 / Symbol: FICO                                     |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 05/29/14                                                                              | 2,000    | 118.90                    | 90.02                                 | 02/07/13          | 0.00                    | 0.00                                  | 28.88                                    | Sale                   |
| 2 tax lots for 05/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 59.40                     | 45.01                                 | 02/07/13          | 0.00                    | 0.00                                  | 14.39                                    | Sale                   |
|                                                                                       | 2,000    | 118.05                    | 90.02                                 | 02/07/13          | 0.00                    | 0.00                                  | 28.03                                    | Sale                   |
| 05/30/14                                                                              | 3,000    | 177.45                    | 135.03                                | VARIOUS           | 0.00                    | 0.00                                  | 42.42                                    | Total of 2 lots        |
| 4 tax lots for 06/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 58.78                     | 44.92                                 | 02/07/13          | 0.00                    | 0.00                                  | 13.86                                    | Sale                   |
|                                                                                       | 2,000    | 117.57                    | 90.02                                 | 02/07/13          | 0.00                    | 0.00                                  | 27.55                                    | Sale                   |
|                                                                                       | 3,000    | 176.22                    | 135.02                                | 02/07/13          | 0.00                    | 0.00                                  | 41.20                                    | Sale                   |
|                                                                                       | 1,000    | 58.78                     | 45.57                                 | 02/08/13          | 0.00                    | 0.00                                  | 13.21                                    | Sale                   |
| 06/02/14                                                                              | 7,000    | 411.35                    | 315.53                                | VARIOUS           | 0.00                    | 0.00                                  | 95.82                                    | Total of 4 lots        |
| 06/03/14                                                                              | 7,000    | 406.91                    | 319.02                                | 02/08/13          | 0.00                    | 0.00                                  | 87.89                                    | Sale                   |
| 2 tax lots for 06/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 4,000    | 229.93                    | 181.84                                | 02/08/13          | 0.00                    | 0.00                                  | 48.09                                    | Sale                   |
|                                                                                       | 4,000    | 230.05                    | 181.84                                | 02/08/13          | 0.00                    | 0.00                                  | 48.21                                    | Sale                   |
| 06/04/14                                                                              | 8,000    | 459.98                    | 363.68                                | VARIOUS           | 0.00                    | 0.00                                  | 96.30                                    | Total of 2 lots        |
| 2 tax lots for 06/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 10,000   | 578.74                    | 454.59                                | 02/08/13          | 0.00                    | 0.00                                  | 124.15                                   | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No: 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| FAIR ISAAC CORP / CUSIP: 303250104 / Symbol: FICO (cont'd)                            | 1,000    | 57.87                    | 57.87                                | 02/11/13          | 45.58                   | 0.00                              | 12.29                  | Sale                                     |
| 06/05/14                                                                              | 11,000   | 636.61                   | 636.61                               | VARIOUS           | 500.17                  | 0.00                              | 136.44                 | Total of 2 lots                          |
| 2 tax lots for 06/06/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 06/06/14                                                                              | 6,000    | 351.57                   | 351.57                               | 02/11/13          | 273.50                  | 0.00                              | 78.07                  | Sale                                     |
|                                                                                       | 8,000    | 468.66                   | 468.66                               | 02/11/13          | 364.66                  | 0.00                              | 104.00                 | Sale                                     |
|                                                                                       | 14,000   | 820.23                   | 820.23                               | VARIOUS           | 638.16                  | 0.00                              | 182.07                 | Total of 2 lots                          |
| 06/10/14                                                                              | 4,000    | 237.04                   | 237.04                               | 02/11/13          | 182.33                  | 0.00                              | 54.71                  | Sale                                     |
| 2 tax lots for 07/08/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 07/08/14                                                                              | 2,000    | 126.04                   | 126.04                               | 02/11/13          | 91.16                   | 0.00                              | 34.88                  | Sale                                     |
|                                                                                       | 4,000    | 252.09                   | 252.09                               | 02/12/13          | 180.95                  | 0.00                              | 71.14                  | Sale                                     |
|                                                                                       | 6,000    | 378.13                   | 378.13                               | VARIOUS           | 272.11                  | 0.00                              | 106.02                 | Total of 2 lots                          |
| 07/09/14                                                                              | 10,000   | 632.65                   | 632.65                               | 02/12/13          | 452.37                  | 0.00                              | 180.28                 | Sale                                     |
| 2 tax lots for 07/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 07/10/14                                                                              | 1,000    | 62.76                    | 62.76                                | 02/12/13          | 45.24                   | 0.00                              | 17.52                  | Sale                                     |
|                                                                                       | 4,000    | 251.04                   | 251.04                               | 02/13/13          | 179.95                  | 0.00                              | 71.09                  | Sale                                     |
|                                                                                       | 5,000    | 313.80                   | 313.80                               | VARIOUS           | 225.19                  | 0.00                              | 88.61                  | Total of 2 lots                          |
| 2 tax lots for 07/22/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 07/22/14                                                                              | 7,000    | 441.49                   | 441.49                               | 02/13/13          | 314.91                  | 0.00                              | 126.58                 | Sale                                     |
|                                                                                       | 2,000    | 126.01                   | 126.01                               | 02/14/13          | 90.00                   | 0.00                              | 36.01                  | Sale                                     |
|                                                                                       | 9,000    | 567.50                   | 567.50                               | VARIOUS           | 404.91                  | 0.00                              | 162.59                 | Total of 2 lots                          |
| 2 tax lots for 07/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                        |                                          |
| 07/23/14                                                                              | 1,000    | 62.99                    | 62.99                                | 02/14/13          | 45.00                   | 0.00                              | 17.99                  | Sale                                     |
|                                                                                       | 4,000    | 251.98                   | 251.98                               | 02/15/13          | 180.45                  | 0.00                              | 71.53                  | Sale                                     |
|                                                                                       | 5,000    | 314.97                   | 314.97                               | VARIOUS           | 225.45                  | 0.00                              | 89.52                  | Total of 2 lots                          |
| 07/24/14                                                                              | 1,000    | 62.96                    | 62.96                                | 02/19/13          | 45.14                   | 0.00                              | 17.82                  | Sale                                     |
| 07/31/14                                                                              | 2,000    | 114.44                   | 114.44                               | 02/19/13          | 90.28                   | 0.00                              | 24.16                  | Sale                                     |
| 3 tax lots for 08/01/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                   |                        |                                          |
|                                                                                       | 1,000    | 55.92                    | 55.92                                | 02/19/13          | 44.98                   | 0.00                              | 10.94                  | Sale                                     |
|                                                                                       | 4,000    | 224.96                   | 224.96                               | 02/19/13          | 179.94                  | 0.00                              | 45.02                  | Sale                                     |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC. Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| FAIR ISAAC CORP / CUSIP: 303250104 / Symbol: FICO (cont'd)                            |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 8,000    |                           | 447.35                                | 02/19/13          | 361.12                  | 0.00                                  | 86.23                                    | Sale                   |
| 08/01/14                                                                              | 13,000   |                           | 728.23                                | VARIOUS           | 586.04                  | 0.00                                  | 142.19                                   | Total of 3 lots        |
| 08/04/14                                                                              | 4,000    |                           | 223.04                                | 02/19/13          | 179.93                  | 0.00                                  | 43.11                                    | Sale                   |
| 08/05/14                                                                              | 12,000   |                           | 658.07                                | 02/19/13          | 539.80                  | 0.00                                  | 118.27                                   | Sale                   |
| 08/06/14                                                                              | 2,000    |                           | 110.82                                | 02/19/13          | 89.97                   | 0.00                                  | 20.85                                    | Sale                   |
| 08/07/14                                                                              | 1,000    |                           | 55.34                                 | 03/05/13          | 44.98                   | 0.00                                  | 10.36                                    | Sale                   |
| 3 tax lots for 08/08/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    |                           | 384.55                                | 03/05/13          | 314.84                  | 0.00                                  | 69.71                                    | Sale                   |
|                                                                                       | 9,000    |                           | 493.35                                | 03/05/13          | 404.78                  | 0.00                                  | 88.57                                    | Sale                   |
|                                                                                       | 4,000    |                           | 219.74                                | 03/06/13          | 182.36                  | 0.00                                  | 37.38                                    | Sale                   |
| 08/08/14                                                                              | 20,000   |                           | 1,097.64                              | VARIOUS           | 901.98                  | 0.00                                  | 195.66                                   | Total of 3 lots        |
| 3 tax lots for 08/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    |                           | 55.68                                 | 03/06/13          | 45.59                   | 0.00                                  | 10.09                                    | Sale                   |
|                                                                                       | 2,000    |                           | 111.37                                | 03/25/13          | 92.32                   | 0.00                                  | 19.05                                    | Sale                   |
|                                                                                       | 2,000    |                           | 111.37                                | 04/10/13          | 88.13                   | 0.00                                  | 23.24                                    | Sale                   |
| 08/11/14                                                                              | 5,000    |                           | 278.42                                | VARIOUS           | 226.04                  | 0.00                                  | 52.38                                    | Total of 3 lots        |
| 08/12/14                                                                              | 1,000    |                           | 56.01                                 | 04/11/13          | 44.33                   | 0.00                                  | 11.68                                    | Sale                   |
|                                                                                       |          |                           | 8,860.49                              |                   | 6,872.46                | 0.00                                  | 1,988.03                                 |                        |
| Security total:                                                                       |          |                           |                                       |                   |                         |                                       |                                          |                        |
| FIRST CASH FINCL SERVICES / CUSIP: 31942D107 / Symbol: FCFS                           |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    |                           | 168.18                                | 12/08/11          | 110.28                  | 0.00                                  | 57.90                                    | Sale                   |
|                                                                                       | 6,000    |                           | 336.35                                | 12/19/11          | 221.57                  | 0.00                                  | 114.78                                   | Sale                   |
| 09/24/14                                                                              | 9,000    |                           | 504.53                                | VARIOUS           | 331.85                  | 0.00                                  | 172.68                                   | Total of 2 lots        |
| 10/28/14                                                                              | 10,000   |                           | 585.69                                | 01/04/12          | 336.46                  | 0.00                                  | 249.23                                   | Sale                   |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    |                           | 117.40                                | 01/04/12          | 67.29                   | 0.00                                  | 50.11                                    | Sale                   |
|                                                                                       | 8,000    |                           | 469.59                                | 01/18/12          | 280.38                  | 0.00                                  | 189.21                                   | Sale                   |
| 11/24/14                                                                              | 10,000   |                           | 586.99                                | VARIOUS           | 347.67                  | 0.00                                  | 239.32                                   | Total of 2 lots        |
|                                                                                       |          |                           | 1,677.21                              |                   | 1,015.98                | 0.00                                  | 661.23                                   |                        |
| Security total:                                                                       |          |                           |                                       |                   |                         |                                       |                                          |                        |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                             | Quantity                                                                              | 6- Reported (Gross Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------|---------------------------------------------------------------------------------------|-------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| FIRSTMERIT CORPORATION COMMON STOCK / CUSIP: 337915102 / Symbol: FMER |                                                                                       |                         |                                  |                         |                                       |                                          |                        |
| 09/24/14                                                              | 8.000                                                                                 | 142.00                  | 02/16/11                         | 137.97                  | 0.00                                  | 4.03                                     | Sale                   |
|                                                                       | 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |                         |                                  |                         |                                       |                                          |                        |
|                                                                       | 9.000                                                                                 | 158.04                  | 02/16/11                         | 155.43                  | 0.00                                  | 2.61                                     | Sale                   |
|                                                                       | 39.000                                                                                | 684.82                  | 02/16/11                         | 672.63                  | 0.00                                  | 12.19                                    | Sale                   |
|                                                                       | 48.000                                                                                | 842.86                  | VARIOUS                          | 828.06                  | 0.00                                  | 14.80                                    | Total of 2 lots        |
|                                                                       | 4 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |                         |                                  |                         |                                       |                                          |                        |
|                                                                       | 2.000                                                                                 | 36.44                   | 02/16/11                         | 34.54                   | 0.00                                  | 1.90                                     | Sale                   |
|                                                                       | 2.000                                                                                 | 36.44                   | 03/08/11                         | 34.09                   | 0.00                                  | 2.35                                     | Sale                   |
|                                                                       | 4.000                                                                                 | 72.88                   | 03/08/11                         | 68.13                   | 0.00                                  | 4.75                                     | Sale                   |
|                                                                       | 32.000                                                                                | 583.02                  | 03/22/11                         | 536.00                  | 0.00                                  | 47.02                                    | Sale                   |
|                                                                       | 40.000                                                                                | 728.78                  | VARIOUS                          | 672.76                  | 0.00                                  | 56.02                                    | Total of 4 lots        |
|                                                                       | Security total:                                                                       |                         |                                  |                         |                                       |                                          |                        |
|                                                                       |                                                                                       | 1,713.64                |                                  | 1,638.79                | 0.00                                  | 74.85                                    |                        |
| FORUM ENERGY TECHNOLOGIES INC / CUSIP: 34984V100 / Symbol: FET        |                                                                                       |                         |                                  |                         |                                       |                                          |                        |
| 06/10/14                                                              | 12.000                                                                                | 411.23                  | 02/22/13                         | 324.70                  | 0.00                                  | 86.53                                    | Sale                   |
|                                                                       | 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                         |                                  |                         |                                       |                                          |                        |
|                                                                       | 4.000                                                                                 | 127.68                  | 02/22/13                         | 108.23                  | 0.00                                  | 19.45                                    | Sale                   |
|                                                                       | 14.000                                                                                | 446.86                  | 02/22/13                         | 377.23                  | 0.00                                  | 69.63                                    | Sale                   |
|                                                                       | 4.000                                                                                 | 127.68                  | 02/25/13                         | 107.18                  | 0.00                                  | 20.50                                    | Sale                   |
|                                                                       | 22.000                                                                                | 702.22                  | VARIOUS                          | 592.64                  | 0.00                                  | 109.58                                   | Total of 3 lots        |
|                                                                       | 25.000                                                                                | 671.99                  | 02/25/13                         | 669.91                  | 0.00                                  | 2.08                                     | Sale                   |
|                                                                       | 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |                         |                                  |                         |                                       |                                          |                        |
|                                                                       | 7.000                                                                                 | 190.96                  | 02/25/13                         | 187.57                  | 0.00                                  | 3.39                                     | Sale                   |
|                                                                       | 15.000                                                                                | 409.19                  | 02/26/13                         | 396.72                  | 0.00                                  | 12.47                                    | Sale                   |
|                                                                       | 22.000                                                                                | 600.15                  | VARIOUS                          | 584.29                  | 0.00                                  | 15.86                                    | Total of 2 lots        |
|                                                                       | Security total:                                                                       |                         |                                  |                         |                                       |                                          |                        |
|                                                                       |                                                                                       | 2,385.59                |                                  | 2,171.54                | 0.00                                  | 214.05                                   |                        |
| FULTON FINCL CORP PA COMMON STOCK / CUSIP: 360271100 / Symbol: FULT   |                                                                                       |                         |                                  |                         |                                       |                                          |                        |
|                                                                       | 6 tax lots for 01/31/14. Total proceeds (and cost when required) reported to the IRS. |                         |                                  |                         |                                       |                                          |                        |
|                                                                       | 5.000                                                                                 | 62.65                   | 10/10/12                         | 50.90                   | 0.00                                  | 11.75                                    | Sale                   |
|                                                                       | 4.000                                                                                 | 50.12                   | 10/11/12                         | 41.65                   | 0.00                                  | 8.47                                     | Sale                   |
|                                                                       | 7.000                                                                                 | 88.58                   | 10/11/12                         | 72.89                   | 0.00                                  | 15.69                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported STOCK / CUSIP: 360271100 / Symbol: FULT | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|-----------------------------------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| FULTON FINCL CORP PA COMMON STOCK                                                     | 15,000   |                                                     | 188.09                                | 10/11/12          | 156.18                  | 0.00                                  | 31.91                                    | Sale                   |
|                                                                                       | 18,000   |                                                     | 225.54                                | 10/11/12          | 188.15                  | 0.00                                  | 37.39                                    | Sale                   |
|                                                                                       | 6,000    |                                                     | 75.24                                 | 10/12/12          | 62.28                   | 0.00                                  | 12.96                                    | Sale                   |
|                                                                                       | 55,000   |                                                     | 690.22                                | VARIOUS           | 572.05                  | 0.00                                  | 118.17                                   | Total of 6 lots        |
| 01/31/14                                                                              | 44,000   |                                                     | 526.41                                | 10/12/12          | 456.70                  | 0.00                                  | 69.71                                    | Sale                   |
| 02/03/14                                                                              | 1,000    |                                                     | 11.86                                 | 10/12/12          | 10.38                   | 0.00                                  | 1.48                                     | Sale                   |
| 02/04/14                                                                              |          |                                                     |                                       |                   |                         |                                       |                                          |                        |
| 5 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                     |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    |                                                     | 84.37                                 | 10/12/12          | 72.66                   | 0.00                                  | 11.71                                    | Sale                   |
|                                                                                       | 15,000   |                                                     | 180.79                                | 10/12/12          | 156.00                  | 0.00                                  | 24.79                                    | Sale                   |
|                                                                                       | 18,000   |                                                     | 217.40                                | 10/12/12          | 187.20                  | 0.00                                  | 30.20                                    | Sale                   |
|                                                                                       | 8,000    |                                                     | 96.62                                 | 01/17/13          | 84.12                   | 0.00                                  | 12.50                                    | Sale                   |
|                                                                                       | 21,000   |                                                     | 253.63                                | 01/17/13          | 220.00                  | 0.00                                  | 33.63                                    | Sale                   |
| 02/20/14                                                                              | 69,000   |                                                     | 832.81                                | VARIOUS           | 719.98                  | 0.00                                  | 112.83                                   | Total of 5 lots        |
| 5 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                     |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    |                                                     | 12.08                                 | 01/17/13          | 10.52                   | 0.00                                  | 1.56                                     | Sale                   |
|                                                                                       | 5,000    |                                                     | 60.57                                 | 01/17/13          | 52.39                   | 0.00                                  | 8.18                                     | Sale                   |
|                                                                                       | 10,000   |                                                     | 120.83                                | 01/17/13          | 104.77                  | 0.00                                  | 16.06                                    | Sale                   |
|                                                                                       | 5,000    |                                                     | 60.57                                 | 01/18/13          | 52.53                   | 0.00                                  | 8.04                                     | Sale                   |
|                                                                                       | 37,000   |                                                     | 448.25                                | 01/18/13          | 390.91                  | 0.00                                  | 57.34                                    | Sale                   |
| 02/21/14                                                                              | 58,000   |                                                     | 702.30                                | VARIOUS           | 611.12                  | 0.00                                  | 91.18                                    | Total of 5 lots        |
| 02/24/14                                                                              | 7,000    |                                                     | 85.93                                 | 01/18/13          | 73.55                   | 0.00                                  | 12.38                                    | Sale                   |
|                                                                                       |          |                                                     | 2,849.53                              |                   | 2,443.78                | 0.00                                  | 405.75                                   |                        |
| Security total:                                                                       |          |                                                     |                                       |                   |                         |                                       |                                          |                        |
| GNC HOLDINGS INC / CUSIP: 36191G107 / Symbol: GNC                                     |          |                                                     |                                       |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 10,000   |                                                     | 370.69                                | 01/14/13          | 361.02                  | 0.00                                  | 9.67                                     | Sale                   |
| 09/24/14                                                                              | 16,000   |                                                     | 637.97                                | 01/14/13          | 577.62                  | 0.00                                  | 60.35                                    | Sale                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                     |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    |                                                     | 117.84                                | 01/14/13          | 108.31                  | 0.00                                  | 9.53                                     | Sale                   |
|                                                                                       | 14,000   |                                                     | 549.91                                | 01/15/13          | 510.15                  | 0.00                                  | 39.76                                    | Sale                   |
| 10/28/14                                                                              | 17,000   |                                                     | 667.75                                | VARIOUS           | 618.46                  | 0.00                                  | 49.29                                    | Total of 2 lots        |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                     |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    |                                                     | 88.58                                 | 01/15/13          | 72.88                   | 0.00                                  | 15.70                                    | Sale                   |
|                                                                                       | 5,000    |                                                     | 221.45                                | 01/15/13          | 181.60                  | 0.00                                  | 39.85                                    | Sale                   |

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## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| GNC HOLDINGS INC / CUSIP: 36191G107 / Symbol: GNC (cont'd)                            | 7,000    | 310.04                   | 310.04                                  | 01/15/13          | 253.93                  | 0.00                                  | 56.11                  | Sale                                     |
| 11/24/14                                                                              | 14,000   | 620.07                   | 620.07                                  | VARIOUS           | 508.41                  | 0.00                                  | 111.66                 | Total of 3 lots                          |
| Security total:                                                                       |          | 2,296.48                 | 2,065.51                                |                   |                         | 0.00                                  | 230.97                 |                                          |
| BE SPECIALTY METALS INC / CUSIP: 37954N206 / Symbol: GSM                              |          |                          |                                         |                   |                         |                                       |                        |                                          |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 7,000    | 137.88                   | 137.88                                  | 10/09/12          | 110.01                  | 0.00                                  | 27.87                  | Sale                                     |
|                                                                                       | 12,000   | 236.36                   | 236.36                                  | 10/10/12          | 189.06                  | 0.00                                  | 47.30                  | Sale                                     |
| 09/24/14                                                                              | 19,000   | 374.24                   | 374.24                                  | VARIOUS           | 299.07                  | 0.00                                  | 75.17                  | Total of 2 lots                          |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 107.03                   | 107.03                                  | 11/09/12          | 86.82                   | 0.00                                  | 20.21                  | Sale                                     |
|                                                                                       | 8,000    | 142.72                   | 142.72                                  | 11/09/12          | 116.59                  | 0.00                                  | 26.13                  | Sale                                     |
|                                                                                       | 8,000    | 142.72                   | 142.72                                  | 11/27/12          | 112.12                  | 0.00                                  | 30.60                  | Sale                                     |
| 11/24/14                                                                              | 22,000   | 392.47                   | 392.47                                  | VARIOUS           | 315.53                  | 0.00                                  | 76.94                  | Total of 3 lots                          |
| Security total:                                                                       |          | 766.71                   | 614.60                                  |                   |                         | 0.00                                  | 152.11                 |                                          |
| GRAPHIC PACKAGING HOLDING CO / CUSIP: 388689101 / Symbol: GPK                         |          |                          |                                         |                   |                         |                                       |                        |                                          |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 11,000   | 138.09                   | 138.09                                  | 09/19/13          | 96.22                   | 0.00                                  | 41.87                  | Sale                                     |
|                                                                                       | 46,000   | 577.45                   | 577.45                                  | 09/20/13          | 402.27                  | 0.00                                  | 175.18                 | Sale                                     |
| 09/24/14                                                                              | 57,000   | 715.54                   | 715.54                                  | VARIOUS           | 498.49                  | 0.00                                  | 217.05                 | Total of 2 lots                          |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 11,000   | 127.38                   | 127.38                                  | 09/20/13          | 96.20                   | 0.00                                  | 31.18                  | Sale                                     |
|                                                                                       | 59,000   | 683.20                   | 683.20                                  | 09/23/13          | 513.30                  | 0.00                                  | 169.90                 | Sale                                     |
| 10/28/14                                                                              | 70,000   | 810.58                   | 810.58                                  | VARIOUS           | 609.50                  | 0.00                                  | 201.08                 | Total of 2 lots                          |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 48.92                    | 48.92                                   | 09/23/13          | 34.80                   | 0.00                                  | 14.12                  | Sale                                     |
|                                                                                       | 4,000    | 48.92                    | 48.92                                   | 09/23/13          | 35.11                   | 0.00                                  | 13.81                  | Sale                                     |
|                                                                                       | 50,000   | 611.48                   | 611.48                                  | 09/24/13          | 439.28                  | 0.00                                  | 172.20                 | Sale                                     |
| 11/24/14                                                                              | 58,000   | 709.32                   | 709.32                                  | VARIOUS           | 509.19                  | 0.00                                  | 200.13                 | Total of 3 lots                          |
| Security total:                                                                       |          | 2,235.44                 | 1,617.18                                |                   |                         | 0.00                                  | 618.26                 |                                          |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & if- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| GROUP 1 AUTOMOTIVE INC / CUSIP: 398905109 / Symbol: GPI                              |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 6 tax lots for 05/12/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 2,000    | 157.56                    | 157.56                                | 11/23/11          | 90.23                   | 0.00                                  | 67.33                                    | Sale                   |
|                                                                                      | 8,000    | 630.23                    | 630.23                                | 01/06/12          | 401.63                  | 0.00                                  | 228.60                                   | Sale                   |
|                                                                                      | 5,000    | 393.89                    | 393.89                                | 02/10/12          | 274.76                  | 0.00                                  | 119.13                                   | Sale                   |
|                                                                                      | 4,000    | 315.12                    | 315.12                                | 02/17/12          | 219.10                  | 0.00                                  | 96.02                                    | Sale                   |
|                                                                                      | 4,000    | 315.12                    | 315.12                                | 03/01/12          | 214.44                  | 0.00                                  | 100.68                                   | Sale                   |
|                                                                                      | 5,000    | 393.89                    | 393.89                                | 03/02/12          | 274.06                  | 0.00                                  | 119.83                                   | Sale                   |
| 05/12/14                                                                             | 28,000   | 2,205.81                  | 2,205.81                              | VARIOUS           | 1,474.22                | 0.00                                  | 731.59                                   | Total of 6 lots        |
| 4 tax lots for 05/13/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 3,000    | 235.59                    | 235.59                                | 03/02/12          | 164.44                  | 0.00                                  | 71.15                                    | Sale                   |
|                                                                                      | 6,000    | 471.18                    | 471.18                                | 03/02/12          | 329.34                  | 0.00                                  | 141.84                                   | Sale                   |
|                                                                                      | 1,000    | 78.53                     | 78.53                                 | 03/29/12          | 56.03                   | 0.00                                  | 22.50                                    | Sale                   |
|                                                                                      | 1,000    | 78.53                     | 78.53                                 | 03/30/12          | 56.75                   | 0.00                                  | 21.78                                    | Sale                   |
| 05/13/14                                                                             | 11,000   | 863.83                    | 863.83                                | VARIOUS           | 606.56                  | 0.00                                  | 257.27                                   | Total of 4 lots        |
| 05/14/14                                                                             | 1,000    | 76.88                     | 76.88                                 | 03/30/12          | 56.76                   | 0.00                                  | 20.12                                    | Sale                   |
| 06/10/14                                                                             | 4,000    | 325.99                    | 325.99                                | 03/30/12          | 227.02                  | 0.00                                  | 98.97                                    | Sale                   |
| 3 tax lots for 07/08/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 6,000    | 509.45                    | 509.45                                | 03/30/12          | 340.52                  | 0.00                                  | 168.93                                   | Sale                   |
|                                                                                      | 7,000    | 594.36                    | 594.36                                | 04/30/12          | 404.73                  | 0.00                                  | 189.63                                   | Sale                   |
|                                                                                      | 4,000    | 339.63                    | 339.63                                | 06/21/12          | 188.01                  | 0.00                                  | 151.62                                   | Sale                   |
| 07/08/14                                                                             | 17,000   | 1,443.44                  | 1,443.44                              | VARIOUS           | 933.26                  | 0.00                                  | 510.18                                   | Total of 3 lots        |
| 07/09/14                                                                             | 3,000    | 253.91                    | 253.91                                | 06/21/12          | 141.00                  | 0.00                                  | 112.91                                   | Sale                   |
| 07/10/14                                                                             | 1,000    | 82.81                     | 82.81                                 | 06/21/12          | 47.00                   | 0.00                                  | 35.81                                    | Sale                   |
| 09/24/14                                                                             | 5,000    | 364.94                    | 364.94                                | 06/21/12          | 235.01                  | 0.00                                  | 129.93                                   | Sale                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 4,000    | 336.63                    | 336.63                                | 06/21/12          | 188.01                  | 0.00                                  | 148.62                                   | Sale                   |
|                                                                                      | 1,000    | 84.16                     | 84.16                                 | 06/22/12          | 46.95                   | 0.00                                  | 37.21                                    | Sale                   |
| 10/28/14                                                                             | 5,000    | 420.79                    | 420.79                                | VARIOUS           | 234.96                  | 0.00                                  | 185.83                                   | Total of 2 lots        |
| 11/24/14                                                                             | 5,000    | 443.54                    | 443.54                                | 06/22/12          | 234.74                  | 0.00                                  | 208.80                                   | Sale                   |
|                                                                                      |          |                           | 6,481.94                              |                   | 4,190.53                | 0.00                                  | 2,291.41                                 |                        |
|                                                                                      |          |                           |                                       |                   |                         |                                       |                                          | Security total:        |

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UBS FINANCIAL SERVICES INC.

Account PF.00124

2014 1099-B\*

(continued)

OMB No. 1545-0715

# Proceeds from Broker and Barter Exchange Transactions

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| HANCOCK HOLDING CO COMMON STOCK / CUSIP: 410120109 / Symbol: HBHC                     |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 2 tax lots for 01/31/14 Total proceeds (and cost when required) reported to the IRS.  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 209.25                    | 209.25                                | 04/10/12          | 205.41                  | 0.00                                  |                        | 3.84 Sale                                |
|                                                                                       | 3,000    | 104.62                    | 104.62                                | 04/11/12          | 104.73                  | 0.00                                  |                        | -0.11 Sale                               |
| 01/31/14                                                                              | 9,000    | 313.87                    | 313.87                                | VARIOUS           | 310.14                  | 0.00                                  |                        | 3.73 Total of 2 lots                     |
| 2 tax lots for 02/03/14 Total proceeds (and cost when required) reported to the IRS   |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 10,000   | 333.22                    | 333.22                                | 04/11/12          | 349.10                  | 0.00                                  |                        | -15.88 Sale                              |
|                                                                                       | 2,000    | 66.64                     | 66.64                                 | 04/19/12          | 69.82                   | 0.00                                  |                        | -3.18 Sale                               |
| 02/03/14                                                                              | 12,000   | 399.86                    | 399.86                                | VARIOUS           | 418.92                  | 0.00                                  |                        | -19.06 Total of 2 lots                   |
| 5 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 9,000    | 301.89                    | 301.89                                | 04/19/12          | 317.88                  | 0.00                                  |                        | -15.99 Sale                              |
|                                                                                       | 15,000   | 503.15                    | 503.15                                | 04/19/12          | 523.61                  | 0.00                                  |                        | -20.46 Sale                              |
|                                                                                       | 1,000    | 33.54                     | 33.54                                 | 04/20/12          | 35.63                   | 0.00                                  |                        | -2.09 Sale                               |
|                                                                                       | 2,000    | 67.13                     | 67.13                                 | 04/20/12          | 71.49                   | 0.00                                  |                        | -4.36 Sale                               |
|                                                                                       | 7,000    | 234.95                    | 234.95                                | 04/20/12          | 249.42                  | 0.00                                  |                        | -14.47 Sale                              |
| 02/20/14                                                                              | 34,000   | 1,140.66                  | 1,140.66                              | VARIOUS           | 1,198.03                | 0.00                                  |                        | -57.37 Total of 5 lots                   |
| 2 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 134.44                    | 134.44                                | 04/20/12          | 142.98                  | 0.00                                  |                        | -8.54 Sale                               |
|                                                                                       | 11,000   | 369.70                    | 369.70                                | 05/23/12          | 322.08                  | 0.00                                  |                        | 47.62 Sale                               |
| 02/21/14                                                                              | 15,000   | 504.14                    | 504.14                                | VARIOUS           | 465.06                  | 0.00                                  |                        | 39.08 Total of 2 lots                    |
| 02/24/14                                                                              | 1,000    | 34.09                     | 34.09                                 | 05/23/12          | 29.28                   | 0.00                                  |                        | 4.81 Sale                                |
| 03/27/14                                                                              | 2,000    | 72.27                     | 72.27                                 | 05/23/12          | 58.55                   | 0.00                                  |                        | 13.72 Sale                               |
| 2 tax lots for 03/28/14 Total proceeds (and cost when required) reported to the IRS.  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 10,000   | 362.31                    | 362.31                                | 05/23/12          | 292.80                  | 0.00                                  |                        | 69.51 Sale                               |
|                                                                                       | 4,000    | 144.92                    | 144.92                                | 06/13/12          | 115.09                  | 0.00                                  |                        | 29.83 Sale                               |
| 03/28/14                                                                              | 14,000   | 507.23                    | 507.23                                | VARIOUS           | 407.89                  | 0.00                                  |                        | 99.34 Total of 2 lots                    |
| 2 tax lots for 03/31/14 Total proceeds (and cost when required) reported to the IRS.  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 9,000    | 329.96                    | 329.96                                | 06/13/12          | 258.96                  | 0.00                                  |                        | 71.00 Sale                               |
|                                                                                       | 1,000    | 36.66                     | 36.66                                 | 06/14/12          | 28.95                   | 0.00                                  |                        | 7.71 Sale                                |
| 03/31/14                                                                              | 10,000   | 366.62                    | 366.62                                | VARIOUS           | 287.91                  | 0.00                                  |                        | 78.71 Total of 2 lots                    |

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## UBS FINANCIAL SERVICES INC.

Account: PE 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                            | Quantity | 6- Reported (G)ross (N)et | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|--------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| HANCOCK HOLDING CO COMMON STOCK / CUSIP: 410120109 / Symbol: HBHC (cont'd)           |          |                           |                                       |                   |                         |                                       |                                          |                        |
| 2 tax lots for 04/01/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 2,000    | 73.52                     | 73.52                                 | 06/14/12          | 57.91                   | 0.00                                  | 15.61                                    | Sale                   |
|                                                                                      | 1,000    | 36.76                     | 36.76                                 | 06/22/12          | 29.44                   | 0.00                                  | 7.32                                     | Sale                   |
| 04/01/14                                                                             | 3,000    | 110.28                    | 110.28                                | VARIOUS           | 87.35                   | 0.00                                  | 22.93                                    | Total of 2 lots        |
| 04/02/14                                                                             | 1,000    | 37.43                     | 37.43                                 | 06/22/12          | 29.44                   | 0.00                                  | 7.99                                     | Sale                   |
| 2 tax lots for 04/04/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 2,000    | 73.01                     | 73.01                                 | 06/22/12          | 58.88                   | 0.00                                  | 14.13                                    | Sale                   |
|                                                                                      | 10,000   | 364.16                    | 364.16                                | 06/22/12          | 294.40                  | 0.00                                  | 69.76                                    | Sale                   |
| 04/04/14                                                                             | 12,000   | 437.17                    | 437.17                                | VARIOUS           | 353.28                  | 0.00                                  | 83.89                                    | Total of 2 lots        |
| 04/07/14                                                                             | 14,000   | 502.60                    | 502.60                                | 06/25/12          | 407.41                  | 0.00                                  | 95.19                                    | Sale                   |
| 4 tax lots for 04/08/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 1,000    | 35.96                     | 35.96                                 | 06/25/12          | 29.10                   | 0.00                                  | 6.86                                     | Sale                   |
|                                                                                      | 6,000    | 215.79                    | 215.79                                | 06/25/12          | 175.38                  | 0.00                                  | 40.41                                    | Sale                   |
|                                                                                      | 1,000    | 35.96                     | 35.96                                 | 09/14/12          | 32.63                   | 0.00                                  | 3.33                                     | Sale                   |
|                                                                                      | 6,000    | 215.78                    | 215.78                                | 09/14/12          | 198.00                  | 0.00                                  | 17.78                                    | Sale                   |
| 04/08/14                                                                             | 14,000   | 503.49                    | 503.49                                | VARIOUS           | 435.11                  | 0.00                                  | 68.38                                    | Total of 4 lots        |
| 4 tax lots for 04/10/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 2,000    | 70.08                     | 70.08                                 | 09/14/12          | 66.01                   | 0.00                                  | 4.07                                     | Sale                   |
|                                                                                      | 10,000   | 349.92                    | 349.92                                | 09/14/12          | 330.05                  | 0.00                                  | 19.87                                    | Sale                   |
|                                                                                      | 12,000   | 419.90                    | 419.90                                | 09/14/12          | 395.99                  | 0.00                                  | 23.91                                    | Sale                   |
|                                                                                      | 7,000    | 245.29                    | 245.29                                | 12/06/12          | 221.28                  | 0.00                                  | 24.01                                    | Sale                   |
| 04/10/14                                                                             | 31,000   | 1,085.19                  | 1,085.19                              | VARIOUS           | 1,013.33                | 0.00                                  | 71.86                                    | Total of 4 lots        |
| 2 tax lots for 04/11/14. Total proceeds (and cost when required) reported to the IRS |          |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                      | 1,000    | 34.70                     | 34.70                                 | 12/06/12          | 31.61                   | 0.00                                  | 3.09                                     | Sale                   |
|                                                                                      | 8,000    | 277.58                    | 277.58                                | 12/17/12          | 252.50                  | 0.00                                  | 25.08                                    | Sale                   |
| 04/11/14                                                                             | 9,000    | 312.28                    | 312.28                                | VARIOUS           | 284.11                  | 0.00                                  | 28.17                                    | Total of 2 lots        |
| 04/14/14                                                                             | 1,000    | 34.59                     | 34.59                                 | 12/17/12          | 31.56                   | 0.00                                  | 3.03                                     | Sale                   |
|                                                                                      |          | 6,361.77                  | 6,361.77                              |                   | 5,817.37                | 0.00                                  | 544.40                                   |                        |
| Security total:                                                                      |          |                           |                                       |                   |                         |                                       |                                          |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & 6- Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| HARMAN INTL INDS INC NEW COMMON STOCK / CUSIP: 413086109 / Symbol: HAR                |          |                          |                                         |                   |                         |                                       |                        |                                          |
| 3 tax lots for 01/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 12,000   | 1,050.58                 | 1,050.58                                | 11/02/12          | 532.67                  | 0.00                                  |                        | 517.91 Sale                              |
|                                                                                       | 14,000   | 1,226.98                 | 1,226.98                                | 11/02/12          | 621.46                  | 0.00                                  |                        | 605.52 Sale                              |
|                                                                                       | 10,000   | 876.42                   | 876.42                                  | 11/08/12          | 391.78                  | 0.00                                  |                        | 484.64 Sale                              |
|                                                                                       | 36,000   | 3,153.98                 | 3,153.98                                | VARIOUS           | 1,545.91                | 0.00                                  |                        | 1,608.07 Total of 3 lots                 |
| 01/13/14                                                                              | 1,000    | 86.31                    | 86.31                                   | 11/08/12          | 39.18                   | 0.00                                  |                        | 47.13 Sale                               |
| 01/14/14                                                                              | 3,000    | 294.61                   | 294.61                                  | 11/08/12          | 117.53                  | 0.00                                  |                        | 177.08 Sale                              |
|                                                                                       | 2,000    | 191.81                   | 191.81                                  | 11/13/12          | 77.40                   | 0.00                                  |                        | 114.41 Sale                              |
|                                                                                       | 12,000   | 1,186.54                 | 1,186.54                                | 11/13/12          | 464.41                  | 0.00                                  |                        | 722.13 Sale                              |
| 01/30/14                                                                              | 17,000   | 1,672.96                 | 1,672.96                                | VARIOUS           | 659.34                  | 0.00                                  |                        | 1,013.62 Total of 3 lots                 |
| 3 tax lots for 02/03/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 597.99                   | 597.99                                  | 11/14/12          | 228.57                  | 0.00                                  |                        | 369.42 Sale                              |
|                                                                                       | 10,000   | 996.66                   | 996.66                                  | 11/27/12          | 387.13                  | 0.00                                  |                        | 609.53 Sale                              |
|                                                                                       | 2,000    | 199.33                   | 199.33                                  | 12/04/12          | 79.36                   | 0.00                                  |                        | 119.97 Sale                              |
| 02/03/14                                                                              | 18,000   | 1,793.98                 | 1,793.98                                | VARIOUS           | 695.06                  | 0.00                                  |                        | 1,098.92 Total of 3 lots                 |
| 02/04/14                                                                              | 1,000    | 99.47                    | 99.47                                   | 12/04/12          | 39.69                   | 0.00                                  |                        | 59.78 Sale                               |
| 02/27/14                                                                              | 12,000   | 1,263.67                 | 1,263.67                                | 12/04/12          | 476.19                  | 0.00                                  |                        | 787.48 Sale                              |
| 02/28/14                                                                              | 1,000    | 105.91                   | 105.91                                  | 12/04/12          | 39.68                   | 0.00                                  |                        | 66.23 Sale                               |
| 2 tax lots for 03/14/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    | 523.56                   | 523.56                                  | 12/04/12          | 198.41                  | 0.00                                  |                        | 325.15 Sale                              |
|                                                                                       | 7,000    | 732.99                   | 732.99                                  | 12/19/12          | 303.23                  | 0.00                                  |                        | 429.76 Sale                              |
| 03/14/14                                                                              | 12,000   | 1,256.55                 | 1,256.55                                | VARIOUS           | 501.64                  | 0.00                                  |                        | 754.91 Total of 2 lots                   |
| 4 tax lots for 03/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                         |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 429.50                   | 429.50                                  | 12/19/12          | 173.27                  | 0.00                                  |                        | 256.23 Sale                              |
|                                                                                       | 3,000    | 644.24                   | 644.24                                  | 01/04/13          | 277.38                  | 0.00                                  |                        | 366.86 Sale                              |
|                                                                                       | 6,000    | 322.12                   | 322.12                                  | 02/01/13          | 137.40                  | 0.00                                  |                        | 184.72 Sale                              |
|                                                                                       | 2,000    | 214.75                   | 214.75                                  | 02/04/13          | 90.98                   | 0.00                                  |                        | 123.77 Sale                              |
| 03/25/14                                                                              | 15,000   | 1,610.61                 | 1,610.61                                | VARIOUS           | 679.03                  | 0.00                                  |                        | 931.58 Total of 4 lots                   |
| 03/26/14                                                                              | 1,000    | 107.93                   | 107.93                                  | 02/04/13          | 45.49                   | 0.00                                  |                        | 62.44 Sale                               |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)Gross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|----------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| HARMAN INTL INDS INC NEW COMMON STOCK / CUSIP: 413086109 / Symbol: HAR (cont'd)       |                 |                            |                   |                         |                                       |                                          |                        |
| 5 tax lots for 05/01/14 Total proceeds (and cost when required) reported to the IRS.  |                 |                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000           | 745.63                     | 02/04/13          | 318.42                  | 0.00                                  | 427.21                                   | Sale                   |
|                                                                                       | 4,000           | 426.07                     | 03/22/13          | 177.04                  | 0.00                                  | 249.03                                   | Sale                   |
|                                                                                       | 1,000           | 106.52                     | 03/25/13          | 45.65                   | 0.00                                  | 60.87                                    | Sale                   |
|                                                                                       | 11,000          | 1,171.70                   | 03/25/13          | 503.43                  | 0.00                                  | 668.27                                   | Sale                   |
|                                                                                       | 1,000           | 106.51                     | 04/10/13          | 45.61                   | 0.00                                  | 60.90                                    | Sale                   |
| 05/01/14                                                                              | 24,000          | 2,556.43                   | VARIOUS           | 1,090.15                | 0.00                                  | 1,466.28                                 | Total of 5 lots        |
|                                                                                       | Security total: | 13,707.80                  |                   | 5,811.36                | 0.00                                  | 7,896.44                                 |                        |
| HENRY JACK & ASSOC INC COMMON STOCK / CUSIP: 426281101 / Symbol: JKHY                 |                 |                            |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 5,000           | 295.10                     | 02/06/13          | 218.24                  | 0.00                                  | 76.86                                    | Sale                   |
| 4 tax lots for 08/07/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000           | 57.56                      | 02/06/13          | 43.74                   | 0.00                                  | 13.82                                    | Sale                   |
|                                                                                       | 5,000           | 287.14                     | 02/06/13          | 218.70                  | 0.00                                  | 68.44                                    | Sale                   |
|                                                                                       | 7,000           | 399.84                     | 02/06/13          | 305.53                  | 0.00                                  | 94.31                                    | Sale                   |
|                                                                                       | 12,000          | 685.43                     | 02/06/13          | 524.90                  | 0.00                                  | 160.53                                   | Sale                   |
| 08/07/14                                                                              | 25,000          | 1,429.97                   | VARIOUS           | 1,092.87                | 0.00                                  | 337.10                                   | Total of 4 lots        |
| 08/08/14                                                                              | 1,000           | 57.47                      | 02/06/13          | 43.74                   | 0.00                                  | 13.73                                    | Sale                   |
| 3 tax lots for 08/11/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000           | 173.78                     | 02/06/13          | 131.23                  | 0.00                                  | 42.55                                    | Sale                   |
|                                                                                       | 4,000           | 230.79                     | 02/06/13          | 174.96                  | 0.00                                  | 55.83                                    | Sale                   |
|                                                                                       | 13,000          | 751.58                     | 02/06/13          | 568.64                  | 0.00                                  | 182.94                                   | Sale                   |
| 08/11/14                                                                              | 20,000          | 1,156.15                   | VARIOUS           | 874.83                  | 0.00                                  | 281.32                                   | Total of 3 lots        |
| 3 tax lots for 08/12/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000           | 115.40                     | 02/06/13          | 87.48                   | 0.00                                  | 27.92                                    | Sale                   |
|                                                                                       | 6,000           | 346.51                     | 02/06/13          | 262.45                  | 0.00                                  | 84.06                                    | Sale                   |
|                                                                                       | 2,000           | 115.39                     | 02/07/13          | 87.39                   | 0.00                                  | 28.00                                    | Sale                   |
| 08/12/14                                                                              | 10,000          | 577.30                     | VARIOUS           | 437.32                  | 0.00                                  | 139.98                                   | Total of 3 lots        |
| 8 tax lots for 08/13/14. Total proceeds (and cost when required) reported to the IRS. |                 |                            |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000           | 57.53                      | 02/07/13          | 43.70                   | 0.00                                  | 13.83                                    | Sale                   |
|                                                                                       | 3,000           | 172.59                     | 02/07/13          | 131.88                  | 0.00                                  | 40.71                                    | Sale                   |
|                                                                                       | 3,000           | 172.58                     | 02/08/13          | 131.65                  | 0.00                                  | 40.93                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

OMB No. 1545-0715

# Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| HENRY JACK & ASSOC INC COMMON STOCK / CUSIP: 426281101 / Symbol: JKHY (cont'd)        |          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 10,000   | 575.29                               | 02/15/13          | 434.57                  | 0.00                                  |                        | 140.72 Sale                              |
|                                                                                       | 5,000    | 287.65                               | 03/04/13          | 220.58                  | 0.00                                  |                        | 67.07 Sale                               |
|                                                                                       | 10,000   | 575.29                               | 03/04/13          | 439.37                  | 0.00                                  |                        | 135.92 Sale                              |
|                                                                                       | 1,000    | 57.53                                | 03/05/13          | 44.84                   | 0.00                                  |                        | 12.69 Sale                               |
|                                                                                       | 4,000    | 224.91                               | 03/05/13          | 179.34                  | 0.00                                  |                        | 45.57 Sale                               |
| 8/13/14                                                                               | 37,000   | 2,123.37                             | VARIOUS           | 1,625.93                | 0.00                                  |                        | 497.44 Total of 8 lots                   |
| 2 tax lots for 08/14/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 7,000    | 398.15                               | 03/05/13          | 313.85                  | 0.00                                  |                        | 84.30 Sale                               |
|                                                                                       | 8,000    | 455.02                               | 03/25/13          | 363.08                  | 0.00                                  |                        | 91.94 Sale                               |
| 08/14/14                                                                              | 15,000   | 853.17                               | VARIOUS           | 676.93                  | 0.00                                  |                        | 176.24 Total of 2 lots                   |
| 08/15/14                                                                              | 1,000    | 57.07                                | 03/25/13          | 45.39                   | 0.00                                  |                        | 11.68 Sale                               |
|                                                                                       |          | 6,549.60                             |                   | 5,015.25                | 0.00                                  |                        | 1,534.35                                 |
| Security total:                                                                       |          |                                      |                   |                         |                                       |                        |                                          |
| HERSHA HOSPITALITY TRUST SBI / CUSIP: 427825104 / Symbol: HT                          |          |                                      |                   |                         |                                       |                        |                                          |
| 3 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 11,000   | 69.85                                | 10/16/12          | 52.11                   | 0.00                                  |                        | 17.74 Sale                               |
|                                                                                       | 42,000   | 266.69                               | 10/16/12          | 199.36                  | 0.00                                  |                        | 67.33 Sale                               |
|                                                                                       | 4,000    | 25.40                                | 10/17/12          | 19.18                   | 0.00                                  |                        | 6.22 Sale                                |
| 06/10/14                                                                              | 57,000   | 361.94                               | VARIOUS           | 270.65                  | 0.00                                  |                        | 91.29 Total of 3 lots                    |
| 4 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 24,000   | 155.04                               | 10/17/12          | 114.71                  | 0.00                                  |                        | 40.33 Sale                               |
|                                                                                       | 17,000   | 109.82                               | 10/18/12          | 80.41                   | 0.00                                  |                        | 29.41 Sale                               |
|                                                                                       | 31,000   | 200.25                               | 10/18/12          | 147.71                  | 0.00                                  |                        | 52.54 Sale                               |
|                                                                                       | 3,000    | 19.38                                | 10/19/12          | 14.16                   | 0.00                                  |                        | 5.22 Sale                                |
| 09/24/14                                                                              | 75,000   | 484.49                               | VARIOUS           | 356.99                  | 0.00                                  |                        | 127.50 Total of 4 lots                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 50,000   | 352.99                               | 10/19/12          | 235.10                  | 0.00                                  |                        | 117.89 Sale                              |
|                                                                                       | 24,000   | 169.44                               | 10/22/12          | 111.69                  | 0.00                                  |                        | 57.75 Sale                               |
| 10/28/14                                                                              | 74,000   | 522.43                               | VARIOUS           | 346.79                  | 0.00                                  |                        | 175.64 Total of 2 lots                   |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 17,000   | 123.65                               | 10/22/12          | 79.12                   | 0.00                                  |                        | 44.53 Sale                               |
|                                                                                       | 48,000   | 349.13                               | 10/23/12          | 222.17                  | 0.00                                  |                        | 126.96 Sale                              |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                             | Quantity | 6- Reported (Gross) (Net) | 1d- Proceeds & Reported (Gross) (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-----------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| HERSHA HOSPITALITY TRUST SBI / CUSIP: 427825104 / Symbol: HT (cont'd) | 10,000   | 72.74                     | 72.74                                 | 10/24/12          | 46.21                   | 0.00                                  | 26.53                                    | Sale                   |
| 11/24/14                                                              | 75,000   | 545.52                    | 545.52                                | VARIOUS           | 347.50                  | 0.00                                  | 198.02                                   | Total of 3 lots        |
| Security total:                                                       |          | 1,914.38                  | 1,914.38                              |                   | 1,321.93                | 0.00                                  | 592.45                                   |                        |

## HIGHWOODS PROPERTIES INC COMMON STOCK / CUSIP: 431284108 / Symbol: HIW

## 5 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |          |          |          |        |      |        |                 |
|----------|--------|----------|----------|----------|--------|------|--------|-----------------|
| 09/24/14 | 9,000  | 356.48   | 356.48   | 09/13/12 | 300.45 | 0.00 | 56.03  | Sale            |
|          | 2,000  | 79.22    | 79.22    | 09/14/12 | 67.64  | 0.00 | 11.58  | Sale            |
|          | 3,000  | 117.84   | 117.84   | 09/14/12 | 101.47 | 0.00 | 16.37  | Sale            |
|          | 10,000 | 394.85   | 394.85   | 09/14/12 | 338.22 | 0.00 | 56.63  | Sale            |
|          | 4,000  | 157.94   | 157.94   | 09/20/12 | 128.84 | 0.00 | 29.10  | Sale            |
|          | 28,000 | 1,106.33 | 1,106.33 | VARIOUS  | 936.62 | 0.00 | 169.71 | Total of 5 lots |

## 6 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |          |          |          |          |      |        |                 |
|----------|--------|----------|----------|----------|----------|------|--------|-----------------|
| 09/25/14 | 8,000  | 309.21   | 309.21   | 09/20/12 | 257.69   | 0.00 | 51.52  | Sale            |
|          | 6,000  | 231.91   | 231.91   | 10/15/12 | 194.95   | 0.00 | 36.96  | Sale            |
|          | 9,000  | 347.86   | 347.86   | 10/16/12 | 293.48   | 0.00 | 54.38  | Sale            |
|          | 1,000  | 38.79    | 38.79    | 10/19/12 | 32.88    | 0.00 | 5.91   | Sale            |
|          | 4,000  | 154.60   | 154.60   | 10/19/12 | 131.53   | 0.00 | 23.07  | Sale            |
|          | 12,000 | 465.45   | 465.45   | 06/20/13 | 396.25   | 0.00 | 69.20  | Sale            |
|          | 40,000 | 1,547.82 | 1,547.82 | VARIOUS  | 1,306.78 | 0.00 | 241.04 | Total of 6 lots |

## 4 tax lots for 09/26/14. Total proceeds (and cost when required) reported to the IRS.

|          |                 |          |          |          |          |      |        |                 |
|----------|-----------------|----------|----------|----------|----------|------|--------|-----------------|
| 09/26/14 | 7,000           | 272.04   | 272.04   | 06/20/13 | 231.14   | 0.00 | 40.90  | Sale            |
|          | 16,000          | 621.30   | 621.30   | 06/20/13 | 528.33   | 0.00 | 92.97  | Sale            |
|          | 3,000           | 116.59   | 116.59   | 06/21/13 | 98.24    | 0.00 | 18.35  | Sale            |
|          | 9,000           | 349.76   | 349.76   | 07/05/13 | 315.62   | 0.00 | 34.14  | Sale            |
|          | 35,000          | 1,359.69 | 1,359.69 | VARIOUS  | 1,173.33 | 0.00 | 186.36 | Total of 4 lots |
| 09/29/14 | 1,000           | 38.73    | 38.73    | 07/05/13 | 35.07    | 0.00 | 3.66   | Sale            |
|          | Security total: | 4,052.57 | 4,052.57 |          | 3,451.80 | 0.00 | 600.77 |                 |

## HILLENBRAND INC / CUSIP: 431571108 / Symbol: HI

## 4 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS.

|  |       |        |        |          |       |      |       |      |
|--|-------|--------|--------|----------|-------|------|-------|------|
|  | 3,000 | 94.84  | 94.84  | 04/30/13 | 74.15 | 0.00 | 20.69 | Sale |
|  | 4,000 | 126.44 | 126.44 | 04/30/13 | 98.92 | 0.00 | 27.52 | Sale |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)/ross (N)/et | 1d- Proceeds & Reported (G)/ross (N)/et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-----------------------------|-----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| HILLENBRAND INC / CUSIP: 431571108 / Symbol: HI (cont'd)                              | 5.000    | 158.05                      | 124.91                                  | 04/30/13          | 0.00                    | 0.00                                  | 33.14                                    | Sale                   |
|                                                                                       | 2.000    | 63.22                       | 49.42                                   | 05/01/13          | 0.00                    | 0.00                                  | 13.80                                    | Sale                   |
| 06/10/14                                                                              | 14.000   | 442.55                      | 347.40                                  | VARIOUS           | 0.00                    | 0.00                                  | 95.15                                    | Total of 4 lots        |
| 7 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS  |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 7.000    | 227.85                      | 172.96                                  | 05/01/13          | 0.00                    | 0.00                                  | 54.89                                    | Sale                   |
|                                                                                       | 1.000    | 32.55                       | 24.83                                   | 05/02/13          | 0.00                    | 0.00                                  | 7.72                                     | Sale                   |
|                                                                                       | 1.000    | 32.55                       | 23.78                                   | 05/06/13          | 0.00                    | 0.00                                  | 8.77                                     | Sale                   |
|                                                                                       | 5.000    | 162.74                      | 118.90                                  | 05/06/13          | 0.00                    | 0.00                                  | 43.84                                    | Sale                   |
|                                                                                       | 1.000    | 32.55                       | 24.13                                   | 05/07/13          | 0.00                    | 0.00                                  | 8.42                                     | Sale                   |
|                                                                                       | 3.000    | 97.65                       | 72.35                                   | 05/07/13          | 0.00                    | 0.00                                  | 25.30                                    | Sale                   |
|                                                                                       | 1.000    | 32.55                       | 24.37                                   | 05/08/13          | 0.00                    | 0.00                                  | 8.18                                     | Sale                   |
| 09/24/14                                                                              | 19.000   | 618.44                      | 461.32                                  | VARIOUS           | 0.00                    | 0.00                                  | 157.12                                   | Total of 7 lots        |
| 6 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 2.000    | 67.64                       | 48.83                                   | 05/08/13          | 0.00                    | 0.00                                  | 18.81                                    | Sale                   |
|                                                                                       | 3.000    | 101.46                      | 74.13                                   | 05/09/13          | 0.00                    | 0.00                                  | 27.33                                    | Sale                   |
|                                                                                       | 2.000    | 67.64                       | 49.73                                   | 05/10/13          | 0.00                    | 0.00                                  | 17.91                                    | Sale                   |
|                                                                                       | 2.000    | 67.64                       | 49.83                                   | 05/10/13          | 0.00                    | 0.00                                  | 17.81                                    | Sale                   |
|                                                                                       | 5.000    | 169.10                      | 124.03                                  | 05/13/13          | 0.00                    | 0.00                                  | 45.07                                    | Sale                   |
|                                                                                       | 6.000    | 202.93                      | 148.87                                  | 05/13/13          | 0.00                    | 0.00                                  | 54.06                                    | Sale                   |
| 10/28/14                                                                              | 20.000   | 676.41                      | 495.42                                  | VARIOUS           | 0.00                    | 0.00                                  | 180.99                                   | Total of 6 lots        |
| 4 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 33.49                       | 24.81                                   | 05/13/13          | 0.00                    | 0.00                                  | 8.68                                     | Sale                   |
|                                                                                       | 1.000    | 33.49                       | 25.00                                   | 05/14/13          | 0.00                    | 0.00                                  | 8.49                                     | Sale                   |
|                                                                                       | 4.000    | 133.96                      | 95.02                                   | 06/19/13          | 0.00                    | 0.00                                  | 38.94                                    | Sale                   |
|                                                                                       | 14.000   | 468.85                      | 332.12                                  | 06/19/13          | 0.00                    | 0.00                                  | 136.73                                   | Sale                   |
| 11/24/14                                                                              | 20.000   | 669.79                      | 476.95                                  | VARIOUS           | 0.00                    | 0.00                                  | 192.84                                   | Total of 4 lots        |
| Security total:                                                                       |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       |          | 2,407.19                    | 1,781.09                                |                   | 0.00                    | 0.00                                  | 626.10                                   |                        |
| 4 tax lots for 02/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                             |                                         |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 41.47                       | 18.59                                   | 10/16/12          | 0.00                    | 0.00                                  | 22.88                                    | Sale                   |
|                                                                                       | 2.000    | 83.59                       | 37.38                                   | 10/17/12          | 0.00                    | 0.00                                  | 46.21                                    | Sale                   |
|                                                                                       | 3.000    | 125.66                      | 56.06                                   | 10/17/12          | 0.00                    | 0.00                                  | 69.60                                    | Sale                   |

ICF INTERNATIONAL INC / CUSIP: 44925C103 / Symbol: ICFI

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

# UBS FINANCIAL SERVICES, INC. Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Gain or loss(-) & Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| ICF INTERNATIONAL INC / CUSIP: 44925C103 / Symbol: ICFI (cont'd)                      |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 207.34                   | 207.34                               | 10/17/12          | 93.44                   | 0.00                                  | 113.90                                   | Sale                   |
| 02/27/14                                                                              | 11,000   | 458.06                   | 458.06                               | VARIOUS           | 205.47                  | 0.00                                  | 252.59                                   | Total of 4 lots        |
| 02/28/14                                                                              | 3,000    | 123.13                   | 123.13                               | 10/17/12          | 56.06                   | 0.00                                  | 67.07                                    | Sale                   |
| 2 tax lots for 03/03/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 200.97                   | 200.97                               | 10/17/12          | 93.44                   | 0.00                                  | 107.53                                   | Sale                   |
|                                                                                       | 7,000    | 281.48                   | 281.48                               | 10/17/12          | 130.81                  | 0.00                                  | 150.67                                   | Sale                   |
| 03/03/14                                                                              | 12,000   | 482.45                   | 482.45                               | VARIOUS           | 224.25                  | 0.00                                  | 258.20                                   | Total of 2 lots        |
| 2 tax lots for 03/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 82.10                    | 82.10                                | 10/17/12          | 37.37                   | 0.00                                  | 44.73                                    | Sale                   |
|                                                                                       | 2,000    | 82.10                    | 82.10                                | 10/17/12          | 37.38                   | 0.00                                  | 44.72                                    | Sale                   |
| 03/04/14                                                                              | 4,000    | 164.20                   | 164.20                               | VARIOUS           | 74.75                   | 0.00                                  | 89.45                                    | Total of 2 lots        |
| 03/05/14                                                                              | 2,000    | 82.53                    | 82.53                                | 10/17/12          | 37.37                   | 0.00                                  | 45.16                                    | Sale                   |
| 03/06/14                                                                              | 5,000    | 203.68                   | 203.68                               | 10/17/12          | 93.44                   | 0.00                                  | 110.24                                   | Sale                   |
| 03/07/14                                                                              | 3,000    | 120.65                   | 120.65                               | 10/17/12          | 56.07                   | 0.00                                  | 64.58                                    | Sale                   |
| 03/10/14                                                                              | 1,000    | 39.80                    | 39.80                                | 10/17/12          | 18.68                   | 0.00                                  | 21.12                                    | Sale                   |
| 03/11/14                                                                              | 1,000    | 39.72                    | 39.72                                | 10/17/12          | 18.69                   | 0.00                                  | 21.03                                    | Sale                   |
| 06/10/14                                                                              | 7,000    | 264.59                   | 264.59                               | 10/17/12          | 130.81                  | 0.00                                  | 133.78                                   | Sale                   |
| 10/28/14                                                                              | 13,000   | 457.59                   | 457.59                               | 10/17/12          | 242.94                  | 0.00                                  | 214.65                                   | Sale                   |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 9,000    | 333.29                   | 333.29                               | 10/17/12          | 168.19                  | 0.00                                  | 165.10                                   | Sale                   |
|                                                                                       | 2,000    | 74.06                    | 74.06                                | 10/18/12          | 37.84                   | 0.00                                  | 36.22                                    | Sale                   |
| 11/24/14                                                                              | 11,000   | 407.35                   | 407.35                               | VARIOUS           | 206.03                  | 0.00                                  | 201.32                                   | Total of 2 lots        |
| Security total:                                                                       |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       |          | 2,843.75                 | 2,843.75                             |                   | 1,364.56                | 0.00                                  | 1,479.19                                 |                        |
| INTEGRA LIFESCIENCES HOLDING / CUSIP: 457985208 / Symbol: IART                        |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 47.13                    | 47.13                                | 04/23/13          | 33.27                   | 0.00                                  | 13.86                                    | Sale                   |
|                                                                                       | 8,000    | 377.03                   | 377.03                               | 04/23/13          | 264.76                  | 0.00                                  | 112.27                                   | Sale                   |
| 06/10/14                                                                              | 9,000    | 424.16                   | 424.16                               | VARIOUS           | 298.03                  | 0.00                                  | 126.13                                   | Total of 2 lots        |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 10,000   | 497.89                   | 497.89                               | 04/23/13          | 330.94                  | 0.00                                  | 166.95                                   | Sale                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF-00124

2014-1099-B\*

(continued)

OMB No. 1545-0715

**2- LONG-TERM TRANSACTIONS**

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

**1a- Description of property/CUSIP/Symbol**

| 1c- Date sold or disposed    | Quantity | 6- Reported / CUSIP: 457985208 / Symbol: IART (cont'd) | 1d- Proceeds & Reported (G)ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|------------------------------|----------|--------------------------------------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| INTEGRA LIFESCIENCES HOLDING | 3,000    |                                                        | 149.37                                | 04/24/13          | 100.25                  | 0.00                                  | 49.12                  | Sale                                     |
| 09/24/14                     | 13,000   |                                                        | 647.26                                | VARIOUS           | 431.19                  | 0.00                                  | 216.07                 | Total of 2 lots                          |
| 10/28/14                     | 13,000   |                                                        | 643.49                                | 04/24/13          | 434.44                  | 0.00                                  | 209.05                 | Sale                                     |
| 11/24/14                     | 13,000   |                                                        | 622.33                                | 04/24/13          | 434.43                  | 0.00                                  | 187.90                 | Sale                                     |
| Security total:              |          |                                                        | 2,337.24                              |                   | 1,598.09                | 0.00                                  | 739.15                 |                                          |

JACK IN THE BOX INC / CUSIP: 466367109 / Symbol: JACK

8 tax lots for 03/28/14 Total proceeds (and cost when required) reported to the IRS.

|        |          |          |        |      |        |                 |  |
|--------|----------|----------|--------|------|--------|-----------------|--|
| 1,000  | 57.80    | 12/13/12 | 27.76  | 0.00 | 30.04  | Sale            |  |
| 2,000  | 115.60   | 12/13/12 | 55.59  | 0.00 | 60.01  | Sale            |  |
| 4,000  | 231.21   | 12/14/12 | 111.93 | 0.00 | 119.28 | Sale            |  |
| 1,000  | 57.94    | 12/17/12 | 28.63  | 0.00 | 29.31  | Sale            |  |
| 8,000  | 462.41   | 12/17/12 | 229.04 | 0.00 | 233.37 | Sale            |  |
| 2,000  | 115.88   | 12/19/12 | 57.86  | 0.00 | 58.02  | Sale            |  |
| 7,000  | 403.30   | 12/19/12 | 202.53 | 0.00 | 200.77 | Sale            |  |
| 1,000  | 57.61    | 12/20/12 | 28.84  | 0.00 | 28.77  | Sale            |  |
| 26,000 | 1,501.75 | VARIOUS  | 742.18 | 0.00 | 759.57 | Total of 8 lots |  |
| 15,000 | 884.50   | 12/20/12 | 432.67 | 0.00 | 451.83 | Sale            |  |
| 6,000  | 360.13   | 12/20/12 | 173.07 | 0.00 | 187.06 | Sale            |  |
| 5,000  | 297.99   | 12/20/12 | 144.22 | 0.00 | 153.77 | Sale            |  |

2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS.

|       |        |          |        |      |        |                 |  |
|-------|--------|----------|--------|------|--------|-----------------|--|
| 6,000 | 380.36 | 12/20/12 | 173.07 | 0.00 | 207.29 | Sale            |  |
| 1,000 | 63.39  | 12/21/12 | 28.60  | 0.00 | 34.79  | Sale            |  |
| 7,000 | 443.75 | VARIOUS  | 201.67 | 0.00 | 242.08 | Total of 2 lots |  |

2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS.

|       |        |          |        |      |        |                 |  |
|-------|--------|----------|--------|------|--------|-----------------|--|
| 6,000 | 424.79 | 12/21/12 | 171.58 | 0.00 | 253.21 | Sale            |  |
| 1,000 | 70.80  | 12/24/12 | 28.71  | 0.00 | 42.09  | Sale            |  |
| 7,000 | 495.59 | VARIOUS  | 200.29 | 0.00 | 295.30 | Total of 2 lots |  |

2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS.

|       |        |          |        |      |        |                 |  |
|-------|--------|----------|--------|------|--------|-----------------|--|
| 2,000 | 148.38 | 12/24/12 | 57.42  | 0.00 | 90.96  | Sale            |  |
| 6,000 | 445.13 | 12/26/12 | 173.18 | 0.00 | 271.95 | Sale            |  |
| 8,000 | 593.51 | VARIOUS  | 230.60 | 0.00 | 362.91 | Total of 2 lots |  |

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## UBS FINANCIAL SERVICES, INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)/ross (N)et | 1d- Proceeds & Reported (G)/ross (N)et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|----------------------------|----------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| Security total:                                                                       |          | 4,577.22                   |                                        |                   | 2,124.70                | 0.00                                  | 2,452.52               |                                          |
| KAR AUCTION SVCS INC / CUSIP: 48238T109 / Symbol: KAR                                 | 19,000   | 603.24                     |                                        | 04/15/11          | 329.27                  | 0.00                                  | 273.97                 | Sale                                     |
| 06/10/14                                                                              |          |                            |                                        |                   |                         |                                       |                        |                                          |
| 7 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS  |          |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 28.47                      |                                        | 04/15/11          | 17.33                   | 0.00                                  | 11.14                  | Sale                                     |
|                                                                                       | 2,000    | 56.94                      |                                        | 04/26/11          | 36.46                   | 0.00                                  | 20.48                  | Sale                                     |
|                                                                                       | 1,000    | 28.47                      |                                        | 04/27/11          | 18.23                   | 0.00                                  | 10.24                  | Sale                                     |
|                                                                                       | 1,000    | 28.47                      |                                        | 04/28/11          | 18.50                   | 0.00                                  | 9.97                   | Sale                                     |
|                                                                                       | 2,000    | 56.93                      |                                        | 04/28/11          | 37.22                   | 0.00                                  | 19.71                  | Sale                                     |
|                                                                                       | 1,000    | 28.47                      |                                        | 05/04/11          | 18.01                   | 0.00                                  | 10.46                  | Sale                                     |
|                                                                                       | 5,000    | 142.35                     |                                        | 05/04/11          | 91.87                   | 0.00                                  | 50.48                  | Sale                                     |
| 09/24/14                                                                              | 13,000   | 370.10                     |                                        | VARIOUS           | 237.62                  | 0.00                                  | 132.48                 | Total of 7 lots                          |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 89.82                      |                                        | 05/04/11          | 55.12                   | 0.00                                  | 34.70                  | Sale                                     |
|                                                                                       | 28,000   | 838.30                     |                                        | 06/21/11          | 512.80                  | 0.00                                  | 325.50                 | Sale                                     |
| 10/28/14                                                                              | 31,000   | 928.12                     |                                        | VARIOUS           | 567.92                  | 0.00                                  | 360.20                 | Total of 2 lots                          |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    | 172.08                     |                                        | 06/21/11          | 91.57                   | 0.00                                  | 80.51                  | Sale                                     |
|                                                                                       | 23,000   | 791.59                     |                                        | 08/03/11          | 401.21                  | 0.00                                  | 390.38                 | Sale                                     |
| 11/24/14                                                                              | 28,000   | 963.67                     |                                        | VARIOUS           | 492.78                  | 0.00                                  | 470.89                 | Total of 2 lots                          |
| Security total:                                                                       |          | 2,865.13                   |                                        |                   | 1,627.59                | 0.00                                  | 1,237.54               |                                          |
| LPL FINL HLDGS INC COM / CUSIP: 50212V100 / Symbol: LPLA                              | 46,000   | 2,517.32                   |                                        | 08/17/11          | 1,251.78                | 0.00                                  | 1,265.54               | Sale                                     |
| 01/22/14                                                                              |          |                            |                                        |                   |                         |                                       |                        |                                          |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                            |                                        |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 196.60                     |                                        | 08/17/11          | 108.85                  | 0.00                                  | 87.75                  | Sale                                     |
|                                                                                       | 6,000    | 294.89                     |                                        | 08/17/11          | 163.28                  | 0.00                                  | 131.61                 | Sale                                     |
| 06/10/14                                                                              | 10,000   | 491.49                     |                                        | VARIOUS           | 272.13                  | 0.00                                  | 219.36                 | Total of 2 lots                          |
| 09/24/14                                                                              | 13,000   | 628.64                     |                                        | 08/17/11          | 353.76                  | 0.00                                  | 274.88                 | Sale                                     |
| 10/28/14                                                                              | 13,000   | 541.31                     |                                        | 08/17/11          | 353.76                  | 0.00                                  | 187.55                 | Sale                                     |
| 11/24/14                                                                              | 11,000   | 463.97                     |                                        | 08/17/11          | 299.34                  | 0.00                                  | 164.63                 | Sale                                     |
| Security total:                                                                       |          | 4,642.73                   |                                        |                   | 2,530.77                | 0.00                                  | 2,111.96               |                                          |

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UBS FINANCIAL SERVICES INC.

Account PF.00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)/ross (N)/net | 1d- Proceeds & Reported (G)/ross (N)/net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|------------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| LENNOX INTL INC / CUSIP: 526107107 / Symbol: LII                                      |          |                              |                                          |                   |                         |                                       |                                          |                        |
| 3 tax lots for 04/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 8,000    | 706.85                       | 706.85                                   | 03/09/11          | 408.65                  | 0.00                                  | 298.20                                   | Sale                   |
|                                                                                       | 8,000    | 706.85                       | 706.85                                   | 04/27/11          | 389.02                  | 0.00                                  | 317.83                                   | Sale                   |
|                                                                                       | 7,000    | 618.50                       | 618.50                                   | 05/24/11          | 319.59                  | 0.00                                  | 298.91                                   | Sale                   |
|                                                                                       | 23,000   | 2,032.20                     | 2,032.20                                 | VARIOUS           | 1,117.26                | 0.00                                  | 914.94                                   | Total of 3 lots        |
| 04/16/14                                                                              |          |                              |                                          |                   |                         |                                       |                                          |                        |
| 3 tax lots for 04/22/14. Total proceeds (and cost when required) reported to the IRS. |          |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 171.25                       | 171.25                                   | 05/24/11          | 91.31                   | 0.00                                  | 79.94                                    | Sale                   |
|                                                                                       | 4,000    | 341.32                       | 341.32                                   | 08/03/11          | 136.39                  | 0.00                                  | 204.93                                   | Sale                   |
|                                                                                       | 7,000    | 599.37                       | 599.37                                   | 08/03/11          | 238.68                  | 0.00                                  | 360.69                                   | Sale                   |
|                                                                                       | 13,000   | 1,111.94                     | 1,111.94                                 | VARIOUS           | 466.38                  | 0.00                                  | 645.56                                   | Total of 3 lots        |
| 04/22/14                                                                              |          |                              |                                          |                   |                         |                                       |                                          |                        |
| 04/23/14                                                                              | 1,000    | 85.71                        | 85.71                                    | 08/03/11          | 34.10                   | 0.00                                  | 51.61                                    | Sale                   |
| 06/10/14                                                                              | 3,000    | 272.63                       | 272.63                                   | 08/03/11          | 102.29                  | 0.00                                  | 170.34                                   | Sale                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 266.60                       | 266.60                                   | 08/03/11          | 102.29                  | 0.00                                  | 164.31                                   | Sale                   |
|                                                                                       | 2,000    | 177.74                       | 177.74                                   | 11/11/11          | 66.07                   | 0.00                                  | 111.67                                   | Sale                   |
|                                                                                       | 5,000    | 444.34                       | 444.34                                   | VARIOUS           | 168.36                  | 0.00                                  | 275.98                                   | Total of 2 lots        |
| 10/28/14                                                                              |          |                              |                                          |                   |                         |                                       |                                          |                        |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 94.53                        | 94.53                                    | 11/11/11          | 33.06                   | 0.00                                  | 61.47                                    | Sale                   |
|                                                                                       | 2,000    | 189.06                       | 189.06                                   | 11/11/11          | 66.07                   | 0.00                                  | 122.99                                   | Sale                   |
|                                                                                       | 3,000    | 283.58                       | 283.58                                   | 11/14/11          | 99.18                   | 0.00                                  | 184.40                                   | Sale                   |
|                                                                                       | 6,000    | 567.17                       | 567.17                                   | VARIOUS           | 198.31                  | 0.00                                  | 368.86                                   | Total of 3 lots        |
| 11/24/14                                                                              |          |                              |                                          |                   |                         |                                       |                                          |                        |
| Security total:                                                                       |          |                              |                                          |                   | 2,086.70                | 0.00                                  | 2,427.29                                 |                        |
| LIFEPOINT HOSPITAL INC / CUSIP: 53219L109 / Symbol: LPNT                              |          |                              |                                          |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 192.14                       | 192.14                                   | 01/24/12          | 118.23                  | 0.00                                  | 73.91                                    | Sale                   |
|                                                                                       | 1,000    | 64.05                        | 64.05                                    | 01/25/12          | 40.26                   | 0.00                                  | 23.79                                    | Sale                   |
|                                                                                       | 4,000    | 256.19                       | 256.19                                   | VARIOUS           | 158.49                  | 0.00                                  | 97.70                                    | Total of 2 lots        |
| 06/10/14                                                                              |          |                              |                                          |                   |                         |                                       |                                          |                        |
| 09/24/14                                                                              | 6,000    | 432.23                       | 432.23                                   | 01/25/12          | 241.56                  | 0.00                                  | 190.67                                   | Sale                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000    | 208.95                       | 208.95                                   | 01/26/12          | 120.27                  | 0.00                                  | 88.68                                    | Sale                   |
|                                                                                       |          |                              |                                          |                   |                         |                                       |                                          |                        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis," and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES, INC.

Account: PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)/gross (N)/et | 1d- Proceeds & Reported (G)/gross (N)/et | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|------------------------------|------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| LIFEPOINT HOSPITAL INC / CUSIP: 53219L109 / Symbol: LPNT (cont'd)                     |                 |                              |                                          |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                              | 5,000           | 348.24                       |                                          | 02/01/12          | 203.92                  | 0.00                                  | 144.32                                   | Sale                   |
|                                                                                       | 8,000           | 557.19                       |                                          | VARIOUS           | 324.19                  | 0.00                                  | 233.00                                   | Total of 2 lots        |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 3,000           | 207.35                       |                                          | 02/01/12          | 122.35                  | 0.00                                  | 85.00                                    | Sale                   |
|                                                                                       | 7,000           | 483.82                       |                                          | 02/29/12          | 275.06                  | 0.00                                  | 208.76                                   | Sale                   |
| 11/24/14                                                                              | 10,000          | 691.17                       |                                          | VARIOUS           | 397.41                  | 0.00                                  | 293.76                                   | Total of 2 lots        |
|                                                                                       | Security total: | 1,936.78                     |                                          |                   | 1,121.65                | 0.00                                  | 815.13                                   |                        |
| LITTELFUSE INC COMMON STOCK / CUSIP: 537008104 / Symbol: LFUS                         |                 |                              |                                          |                   |                         |                                       |                                          |                        |
| 03/27/14                                                                              | 1,000           | 92.69                        |                                          | 03/20/12          | 57.75                   | 0.00                                  | 34.94                                    | Sale                   |
| 3 tax lots for 03/28/14. Total proceeds (and cost when required) reported to the IRS. |                 |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000           | 93.33                        |                                          | 03/21/12          | 58.38                   | 0.00                                  | 34.95                                    | Sale                   |
|                                                                                       | 1,000           | 93.33                        |                                          | 03/22/12          | 57.41                   | 0.00                                  | 35.92                                    | Sale                   |
|                                                                                       | 1,000           | 93.44                        |                                          | 03/22/12          | 57.42                   | 0.00                                  | 36.02                                    | Sale                   |
| 03/28/14                                                                              | 3,000           | 280.10                       |                                          | VARIOUS           | 173.21                  | 0.00                                  | 106.89                                   | Total of 3 lots        |
| 3 tax lots for 03/31/14. Total proceeds (and cost when required) reported to the IRS. |                 |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000           | 93.37                        |                                          | 03/22/12          | 57.41                   | 0.00                                  | 35.96                                    | Sale                   |
|                                                                                       | 1,000           | 93.51                        |                                          | 03/23/12          | 57.80                   | 0.00                                  | 35.71                                    | Sale                   |
|                                                                                       | 2,000           | 187.02                       |                                          | 03/26/12          | 120.26                  | 0.00                                  | 66.76                                    | Sale                   |
| 03/31/14                                                                              | 4,000           | 373.90                       |                                          | VARIOUS           | 235.47                  | 0.00                                  | 138.43                                   | Total of 3 lots        |
| 3 tax lots for 04/01/14. Total proceeds (and cost when required) reported to the IRS. |                 |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000           | 188.82                       |                                          | 03/27/12          | 122.47                  | 0.00                                  | 66.35                                    | Sale                   |
|                                                                                       | 1,000           | 94.11                        |                                          | 04/02/12          | 64.63                   | 0.00                                  | 29.48                                    | Sale                   |
|                                                                                       | 1,000           | 94.11                        |                                          | 04/10/12          | 58.86                   | 0.00                                  | 35.25                                    | Sale                   |
| 04/01/14                                                                              | 4,000           | 377.04                       |                                          | VARIOUS           | 245.96                  | 0.00                                  | 131.08                                   | Total of 3 lots        |
| 3 tax lots for 04/02/14. Total proceeds (and cost when required) reported to the IRS. |                 |                              |                                          |                   |                         |                                       |                                          |                        |
| 04/02/14                                                                              | 2,000           | 190.74                       |                                          | 04/10/12          | 117.72                  | 0.00                                  | 73.02                                    | Sale                   |
| 06/10/14                                                                              | 4,000           | 365.91                       |                                          | 04/10/12          | 235.45                  | 0.00                                  | 130.46                                   | Sale                   |
| 4 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                              |                                          |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000           | 177.69                       |                                          | 04/10/12          | 117.72                  | 0.00                                  | 59.97                                    | Sale                   |
|                                                                                       | 1,000           | 88.85                        |                                          | 04/23/12          | 58.55                   | 0.00                                  | 30.30                                    | Sale                   |
|                                                                                       | 1,000           | 88.85                        |                                          | 04/23/12          | 58.95                   | 0.00                                  | 29.90                                    | Sale                   |

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UBS FINANCIAL SERVICES INC.

Account PF-00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) 1d- Proceeds & Reported (Gross (Net) 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------------------------------------------------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| LITTELFUSE INC COMMON STOCK / CUSIP: 537008104 / Symbol: LFUS (cont'd)                |          |                                                                                 |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 177.69                                                                          | 122.71                  | 0.00                                  | 54.98                  | Sale                                     |
| 09/24/14                                                                              | 6,000    | 533.08                                                                          | 357.93                  | 0.00                                  | 175.15                 | Total of 4 lots                          |
| 2 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS.  |          |                                                                                 |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 267.98                                                                          | 186.21                  | 0.00                                  | 81.77                  | Sale                                     |
| 10/28/14                                                                              | 2,000    | 178.66                                                                          | 125.58                  | 0.00                                  | 53.08                  | Sale                                     |
|                                                                                       | 5,000    | 446.64                                                                          | 311.79                  | 0.00                                  | 134.85                 | Total of 2 lots                          |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS  |          |                                                                                 |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 388.11                                                                          | 250.48                  | 0.00                                  | 137.63                 | Sale                                     |
|                                                                                       | 1,000    | 97.03                                                                           | 62.11                   | 0.00                                  | 34.92                  | Sale                                     |
|                                                                                       | 1,000    | 97.03                                                                           | 61.74                   | 0.00                                  | 35.29                  | Sale                                     |
| 11/24/14                                                                              | 6,000    | 582.17                                                                          | 374.33                  | 0.00                                  | 207.84                 | Total of 3 lots                          |
|                                                                                       |          | 3,242.27                                                                        | 2,109.61                | 0.00                                  | 1,132.66               |                                          |
| Security total:                                                                       |          |                                                                                 |                         |                                       |                        |                                          |
| MASTEC INC / CUSIP: 576323109 / Symbol: MTZ                                           |          |                                                                                 |                         |                                       |                        |                                          |
| 03/25/14                                                                              | 24,000   | 1,038.43                                                                        | 413.93                  | 0.00                                  | 624.50                 | Sale                                     |
| 03/26/14                                                                              | 1,000    | 43.33                                                                           | 17.24                   | 0.00                                  | 26.09                  | Sale                                     |
| 5 tax lots for 05/02/14. Total proceeds (and cost when required) reported to the IRS  |          |                                                                                 |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 122.23                                                                          | 52.46                   | 0.00                                  | 69.77                  | Sale                                     |
|                                                                                       | 11,000   | 448.20                                                                          | 189.72                  | 0.00                                  | 258.48                 | Sale                                     |
|                                                                                       | 15,000   | 611.18                                                                          | 272.40                  | 0.00                                  | 338.78                 | Sale                                     |
|                                                                                       | 3,000    | 121.94                                                                          | 46.40                   | 0.00                                  | 75.54                  | Sale                                     |
|                                                                                       | 10,000   | 418.71                                                                          | 154.67                  | 0.00                                  | 264.04                 | Sale                                     |
| 5/02/14                                                                               | 42,000   | 1,722.26                                                                        | 715.65                  | 0.00                                  | 1,006.61               | Total of 5 lots                          |
| 4 tax lots for 05/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                                                 |                         |                                       |                        |                                          |
|                                                                                       | 15,000   | 583.09                                                                          | 232.00                  | 0.00                                  | 351.09                 | Sale                                     |
| 03/25/14                                                                              | 6,000    | 232.46                                                                          | 101.18                  | 0.00                                  | 131.28                 | Sale                                     |
|                                                                                       | 9,000    | 349.85                                                                          | 151.78                  | 0.00                                  | 198.07                 | Sale                                     |
|                                                                                       | 6,000    | 232.46                                                                          | 109.45                  | 0.00                                  | 123.01                 | Sale                                     |
| 05/05/14                                                                              | 36,000   | 1,397.86                                                                        | 594.41                  | 0.00                                  | 803.45                 | Total of 4 lots                          |
| 2 tax lots for 05/06/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                                                 |                         |                                       |                        |                                          |
|                                                                                       | 16,000   | 614.98                                                                          | 291.88                  | 0.00                                  | 323.10                 | Sale                                     |

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UBS FINANCIAL SERVICES INC.

Account: PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MASTEC INC / CUSIP: 576323109 / Symbol: MTZ (cont'd)                                  | 1,000    |                          | 38.44                                | 03/21/12          | 18.32                   | 0.00                                  | 20.12                                    | Sale                   |
| 05/06/14                                                                              | 17,000   |                          | 653.42                               | VARIOUS           | 310.20                  | 0.00                                  | 343.22                                   | Total of 2 lots        |
| 9 tax lots for 06/02/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 8,000    |                          | 255.73                               | 03/21/12          | 146.60                  | 0.00                                  | 109.13                                   | Sale                   |
|                                                                                       | 15,000   |                          | 479.49                               | 03/27/12          | 289.97                  | 0.00                                  | 189.52                                   | Sale                   |
|                                                                                       | 3,000    |                          | 95.90                                | 06/13/12          | 65.07                   | 0.00                                  | 30.83                                    | Sale                   |
|                                                                                       | 4,000    |                          | 127.86                               | 06/14/12          | 88.77                   | 0.00                                  | 39.09                                    | Sale                   |
|                                                                                       | 12,000   |                          | 384.48                               | 06/14/12          | 266.31                  | 0.00                                  | 118.17                                   | Sale                   |
|                                                                                       | 23,000   |                          | 736.92                               | 10/09/12          | 482.10                  | 0.00                                  | 254.82                                   | Sale                   |
|                                                                                       | 12,000   |                          | 384.48                               | 10/10/12          | 252.55                  | 0.00                                  | 131.93                                   | Sale                   |
|                                                                                       | 14,000   |                          | 448.56                               | 10/17/12          | 301.37                  | 0.00                                  | 147.19                                   | Sale                   |
|                                                                                       | 1,000    |                          | 32.04                                | 11/27/12          | 22.86                   | 0.00                                  | 9.18                                     | Sale                   |
| 06/02/14                                                                              | 92,000   |                          | 2,945.46                             | VARIOUS           | 1,915.60                | 0.00                                  | 1,029.86                                 | Total of 9 lots        |
| 3 tax lots for 06/03/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 23,000   |                          | 747.98                               | 11/27/12          | 525.72                  | 0.00                                  | 222.26                                   | Sale                   |
|                                                                                       | 18,000   |                          | 585.37                               | 12/06/12          | 407.96                  | 0.00                                  | 177.41                                   | Sale                   |
|                                                                                       | 3,000    |                          | 97.56                                | 04/04/13          | 81.86                   | 0.00                                  | 15.70                                    | Sale                   |
| 06/03/14                                                                              | 44,000   |                          | 1,430.91                             | VARIOUS           | 1,015.54                | 0.00                                  | 415.37                                   | Total of 3 lots        |
| 3 tax lots for 06/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    |                          | 228.13                               | 04/04/13          | 191.01                  | 0.00                                  | 37.12                                    | Sale                   |
|                                                                                       | 6,000    |                          | 195.54                               | 04/05/13          | 166.04                  | 0.00                                  | 29.50                                    | Sale                   |
|                                                                                       | 1,000    |                          | 32.59                                | 04/08/13          | 28.28                   | 0.00                                  | 4.31                                     | Sale                   |
| 06/04/14                                                                              | 14,000   |                          | 456.26                               | VARIOUS           | 385.33                  | 0.00                                  | 70.93                                    | Total of 3 lots        |
|                                                                                       |          | Security total:          | 9,687.93                             |                   | 5,367.90                | 0.00                                  | 4,320.03                                 |                        |
| MCGRATH RENTCORP COMMON STOCK / CUSIP: 580589109 / Symbol: MGRC                       |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/20/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    |                          | 36.45                                | 05/01/12          | 29.71                   | 0.00                                  | 6.74                                     | Sale                   |
|                                                                                       | 1,000    |                          | 36.55                                | 05/01/12          | 29.63                   | 0.00                                  | 6.92                                     | Sale                   |
| 02/20/14                                                                              | 2,000    |                          | 73.00                                | VARIOUS           | 59.34                   | 0.00                                  | 13.66                                    | Total of 2 lots        |
| 2 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    |                          | 36.18                                | 05/01/12          | 29.63                   | 0.00                                  | 6.55                                     | Sale                   |
|                                                                                       |          |                          |                                      |                   |                         |                                       |                                          |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                              | Quantity | 6- Reported STOCK / CUSIP: 580589109 / Symbol: MGRC (cont'd) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1i- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|----------------------------------------------------------------------------------------|----------|--------------------------------------------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| MCGRATH RENTCORP COMMON                                                                | 1.000    | 36.29                                                        | 06/14/12                             | 25.70             | 0.00                    |                                       | 10.59                  | Sale                                     |
| 02/21/14                                                                               | 2.000    | 72.47                                                        | VARIOUS                              | 55.33             | 0.00                    |                                       | 17.14                  | Total of 2 lots                          |
| 2 tax lots for 02/24/14. Total proceeds (and cost when required) reported to the IRS.  |          |                                                              |                                      |                   |                         |                                       |                        |                                          |
|                                                                                        | 1.000    | 36.30                                                        | 06/14/12                             | 25.70             | 0.00                    |                                       | 10.60                  | Sale                                     |
|                                                                                        | 2.000    | 72.48                                                        | 06/14/12                             | 51.39             | 0.00                    |                                       | 21.09                  | Sale                                     |
| 02/24/14                                                                               | 3.000    | 108.78                                                       | VARIOUS                              | 77.09             | 0.00                    |                                       | 31.69                  | Total of 2 lots                          |
| 2 tax lots for 02/25/14. Total proceeds (and cost when required) reported to the IRS.  |          |                                                              |                                      |                   |                         |                                       |                        |                                          |
|                                                                                        | 1.000    | 35.98                                                        | 06/14/12                             | 25.69             | 0.00                    |                                       | 10.29                  | Sale                                     |
|                                                                                        | 1.000    | 36.13                                                        | 06/14/12                             | 25.70             | 0.00                    |                                       | 10.43                  | Sale                                     |
| 02/25/14                                                                               | 2.000    | 72.11                                                        | VARIOUS                              | 51.39             | 0.00                    |                                       | 20.72                  | Total of 2 lots                          |
| 02/26/14                                                                               | 1.000    | 36.11                                                        | 06/14/12                             | 25.69             | 0.00                    |                                       | 10.42                  | Sale                                     |
| 02/27/14                                                                               | 1.000    | 36.06                                                        | 06/14/12                             | 25.70             | 0.00                    |                                       | 10.36                  | Sale                                     |
| 15 tax lots for 02/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                              |                                      |                   |                         |                                       |                        |                                          |
|                                                                                        | 3.000    | 99.00                                                        | 06/14/12                             | 77.09             | 0.00                    |                                       | 21.91                  | Sale                                     |
|                                                                                        | 1.000    | 33.00                                                        | 08/09/12                             | 23.83             | 0.00                    |                                       | 9.17                   | Sale                                     |
|                                                                                        | 1.000    | 33.00                                                        | 08/10/12                             | 24.71             | 0.00                    |                                       | 8.29                   | Sale                                     |
|                                                                                        | 2.000    | 66.00                                                        | 08/10/12                             | 49.12             | 0.00                    |                                       | 16.88                  | Sale                                     |
|                                                                                        | 4.000    | 132.00                                                       | 08/10/12                             | 98.76             | 0.00                    |                                       | 33.24                  | Sale                                     |
|                                                                                        | 12.000   | 395.99                                                       | 08/10/12                             | 298.21            | 0.00                    |                                       | 97.78                  | Sale                                     |
|                                                                                        | 1.000    | 33.00                                                        | 11/27/12                             | 27.25             | 0.00                    |                                       | 5.75                   | Sale                                     |
|                                                                                        | 1.000    | 33.00                                                        | 11/27/12                             | 27.29             | 0.00                    |                                       | 5.71                   | Sale                                     |
|                                                                                        | 1.000    | 33.00                                                        | 11/28/12                             | 27.43             | 0.00                    |                                       | 5.57                   | Sale                                     |
|                                                                                        | 1.000    | 33.51                                                        | 11/28/12                             | 27.43             | 0.00                    |                                       | 6.08                   | Sale                                     |
|                                                                                        | 5.000    | 167.58                                                       | 11/29/12                             | 140.76            | 0.00                    |                                       | 26.82                  | Sale                                     |
|                                                                                        | 1.000    | 33.51                                                        | 12/04/12                             | 28.28             | 0.00                    |                                       | 5.23                   | Sale                                     |
|                                                                                        | 2.000    | 65.73                                                        | 12/04/12                             | 57.49             | 0.00                    |                                       | 8.24                   | Sale                                     |
|                                                                                        | 4.000    | 134.06                                                       | 12/04/12                             | 114.98            | 0.00                    |                                       | 19.08                  | Sale                                     |
|                                                                                        | 2.000    | 65.73                                                        | 12/05/12                             | 56.74             | 0.00                    |                                       | 8.99                   | Sale                                     |
| 02/28/14                                                                               | 41.000   | 1,358.11                                                     | VARIOUS                              | 1,079.37          | 0.00                    |                                       | 278.74                 | Total of 15 lots                         |
| 03/03/14                                                                               | 1.000    | 31.66                                                        | 02/28/13                             | 29.70             | 0.00                    |                                       | 1.96                   | Sale                                     |
|                                                                                        |          | 1,788.30                                                     |                                      | 1,403.61          | 0.00                    |                                       | 384.69                 |                                          |
| Security total:                                                                        |          |                                                              |                                      |                   |                         |                                       |                        |                                          |

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## UBS FINANCIAL SERVICES, INC.

Account: PF 00124

2014-1099-B

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MEDASSETS INC / CUSIP: 584045108 / Symbol: MDAS                                       | 12.000   | 262.61                   | 262.61                               | 07/24/13          | 254.77                  | 0.00                                  | 7.84                                     | Sale C                 |
| 09/12/14                                                                              |          |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 09/15/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 2.000    | 42.62                    | 42.62                                | 07/24/13          | 42.46                   | 0.00                                  | 0.16                                     | Sale C                 |
|                                                                                       | 2.000    | 42.68                    | 42.68                                | 07/24/13          | 42.46                   | 0.00                                  | 0.22                                     | Sale C                 |
| 09/15/14                                                                              | 4.000    | 85.30                    | 85.30                                | VARIOUS           | 84.92                   | 0.00                                  | 0.38                                     | Total of 2 lots        |
| 3 tax lots for 09/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 21.24                    | 21.24                                | 07/24/13          | 21.23                   | 0.00                                  | 0.01                                     | Sale C                 |
|                                                                                       | 2.000    | 42.45                    | 42.45                                | 07/24/13          | 43.06                   | 0.00                                  | -0.61                                    | Sale C                 |
|                                                                                       | 5.000    | 106.22                   | 106.22                               | 07/24/13          | 107.64                  | 0.00                                  | -1.42                                    | Sale C                 |
| 09/16/14                                                                              | 8.000    | 169.91                   | 169.91                               | VARIOUS           | 171.93                  | 0.00                                  | -2.02                                    | Total of 3 lots        |
| 2 tax lots for 09/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 3.000    | 64.16                    | 64.16                                | 07/24/13          | 64.58                   | 0.00                                  | -0.42                                    | Sale C                 |
|                                                                                       | 14.000   | 298.19                   | 298.19                               | 07/24/13          | 301.39                  | 0.00                                  | -3.20                                    | Sale C                 |
| 09/17/14                                                                              | 17.000   | 362.35                   | 362.35                               | VARIOUS           | 365.97                  | 0.00                                  | -3.62                                    | Total of 2 lots        |
| 4 tax lots for 09/18/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1.000    | 21.45                    | 21.45                                | 07/24/13          | 21.41                   | 0.00                                  | 0.04                                     | Sale C                 |
|                                                                                       | 9.000    | 192.34                   | 192.34                               | 07/24/13          | 192.68                  | 0.00                                  | -0.34                                    | Sale C                 |
|                                                                                       | 13.000   | 277.83                   | 277.83                               | 07/25/13          | 283.43                  | 0.00                                  | -5.60                                    | Sale C                 |
|                                                                                       | 57.000   | 1,218.18                 | 1,218.18                             | 07/25/13          | 1,232.06                | 0.00                                  | -13.88                                   | Sale C                 |
| 09/18/14                                                                              | 80.000   | 1,709.80                 | 1,709.80                             | VARIOUS           | 1,729.58                | 0.00                                  | -19.78                                   | Total of 4 lots        |
| 09/19/14                                                                              | 3.000    | 64.95                    | 64.95                                | 07/25/13          | 64.84                   | 0.00                                  | 0.11                                     | Sale C                 |
| 09/23/14                                                                              | 13.000   | 273.43                   | 273.43                               | 07/25/13          | 281.00                  | 0.00                                  | -7.57                                    | Sale C                 |
| 09/24/14                                                                              | 3.000    | 62.84                    | 62.84                                | 07/26/13          | 64.60                   | 0.00                                  | -1.76                                    | Sale C                 |
| 4 tax lots for 09/25/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 7.000    | 142.79                   | 142.79                               | 07/26/13          | 150.73                  | 0.00                                  | -7.94                                    | Sale C                 |
|                                                                                       | 7.000    | 142.80                   | 142.80                               | 07/29/13          | 151.43                  | 0.00                                  | -8.63                                    | Sale C                 |
|                                                                                       | 5.000    | 102.00                   | 102.00                               | 07/30/13          | 108.50                  | 0.00                                  | -6.50                                    | Sale C                 |
|                                                                                       | 7.000    | 142.79                   | 142.79                               | 07/31/13          | 153.65                  | 0.00                                  | -10.86                                   | Sale C                 |
| 09/25/14                                                                              | 26.000   | 530.38                   | 530.38                               | VARIOUS           | 564.31                  | 0.00                                  | -33.93                                   | Total of 4 lots        |
| 09/26/14                                                                              | 1.000    | 20.67                    | 20.67                                | 07/31/13          | 21.95                   | 0.00                                  | -1.28                                    | Sale C                 |

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## UBS FINANCIAL SERVICES INC.

2014 1099-B\*

## Proceeds from Broker and Barter Exchange Transactions

(continued)

Account PF 00124

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (G)ross (Net) | 1d- Proceeds & Reported (G)ross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| MEDASSETS INC / CUSIP: 584045108 / Symbol: MDAS (cont'd)                              |          |                           |                                       |                   |                         |                                       |                        |                                          |
| 2 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 20.92                     | 20.92                                 | 08/01/13          | 22.57                   | 0.00                                  |                        | -1.65 Sale C                             |
|                                                                                       | 3,000    | 62.56                     | 62.56                                 | 08/01/13          | 67.71                   | 0.00                                  |                        | -5.15 Sale C                             |
| 11/05/14                                                                              | 4,000    | 83.48                     | 83.48                                 | VARIOUS           | 90.28                   | 0.00                                  |                        | -6.80 Total of 2 lots                    |
| 3 tax lots for 11/06/14. Total proceeds (and cost when required) reported to the IRS  |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 41.99                     | 41.99                                 | 08/01/13          | 45.14                   | 0.00                                  |                        | -3.15 Sale C                             |
|                                                                                       | 10,000   | 208.74                    | 208.74                                | 08/01/13          | 225.69                  | 0.00                                  |                        | -16.95 Sale C                            |
|                                                                                       | 8,000    | 167.94                    | 167.94                                | 08/02/13          | 185.76                  | 0.00                                  |                        | -17.82 Sale C                            |
| 11/06/14                                                                              | 20,000   | 418.67                    | 418.67                                | VARIOUS           | 456.59                  | 0.00                                  |                        | -37.92 Total of 3 lots                   |
| 5 tax lots for 11/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 20.22                     | 20.22                                 | 08/02/13          | 23.22                   | 0.00                                  |                        | -3.00 Sale C                             |
|                                                                                       | 6,000    | 122.88                    | 122.88                                | 08/02/13          | 139.32                  | 0.00                                  |                        | -16.44 Sale C                            |
|                                                                                       | 8,000    | 163.87                    | 163.87                                | 08/02/13          | 185.76                  | 0.00                                  |                        | -21.89 Sale C                            |
|                                                                                       | 4,000    | 80.87                     | 80.87                                 | 08/26/13          | 93.58                   | 0.00                                  |                        | -12.71 Sale C                            |
|                                                                                       | 2,000    | 40.43                     | 40.43                                 | 08/27/13          | 46.07                   | 0.00                                  |                        | -5.64 Sale C                             |
| 11/07/14                                                                              | 21,000   | 428.27                    | 428.27                                | VARIOUS           | 487.95                  | 0.00                                  |                        | -59.68 Total of 5 lots                   |
| 4 tax lots for 11/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 40.01                     | 40.01                                 | 08/27/13          | 46.06                   | 0.00                                  |                        | -6.05 Sale C                             |
|                                                                                       | 10,000   | 200.00                    | 200.00                                | 08/27/13          | 230.33                  | 0.00                                  |                        | -30.33 Sale C                            |
|                                                                                       | 1,000    | 19.99                     | 19.99                                 | 08/28/13          | 22.79                   | 0.00                                  |                        | -2.80 Sale C                             |
|                                                                                       | 32,000   | 639.99                    | 639.99                                | 10/31/13          | 728.15                  | 0.00                                  |                        | -88.16 Sale C                            |
| 11/10/14                                                                              | 45,000   | 899.99                    | 899.99                                | VARIOUS           | 1,027.33                | 0.00                                  |                        | -127.34 Total of 4 lots                  |
| 4 tax lots for 11/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                           |                                       |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 20.07                     | 20.07                                 | 10/31/13          | 22.75                   | 0.00                                  |                        | -2.68 Sale C                             |
|                                                                                       | 16,000   | 320.79                    | 320.79                                | 11/04/13          | 362.72                  | 0.00                                  |                        | -41.93 Sale C                            |
|                                                                                       | 16,000   | 321.17                    | 321.17                                | 11/04/13          | 362.72                  | 0.00                                  |                        | -41.55 Sale C                            |
|                                                                                       | 1,000    | 20.05                     | 20.05                                 | 11/05/13          | 22.71                   | 0.00                                  |                        | -2.66 Sale C                             |
| 11/11/14                                                                              | 34,000   | 682.08                    | 682.08                                | VARIOUS           | 770.90                  | 0.00                                  |                        | -88.82 Total of 4 lots                   |
|                                                                                       |          |                           | 6,054.73                              |                   | 6,436.92                | 0.00                                  |                        | -382.19                                  |
|                                                                                       |          |                           |                                       |                   |                         |                                       |                        | Security total.                          |

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## UBS FINANCIAL SERVICES INC.

Account: PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| MENS WEARHOUSE INC COMMON STOCK / CUSIP: 5871 18100 / Symbol: MW                      |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 10/28/14                                                                              | 7,000    | 324.23                  |                                     | 10/03/13          | 239.46                  | 0.00                                  | 84.77                                    | Sale                   |
|                                                                                       | 6,000    | 277.92                  |                                     | 10/04/13          | 208.04                  | 0.00                                  | 69.88                                    | Sale                   |
|                                                                                       | 13,000   | 602.15                  |                                     | VARIOUS           | 447.50                  | 0.00                                  | 154.65                                   | Total of 2 lots        |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 47.36                   |                                     | 10/04/13          | 34.68                   | 0.00                                  | 12.68                                    | Sale                   |
|                                                                                       | 2,000    | 94.72                   |                                     | 10/04/13          | 69.35                   | 0.00                                  | 25.37                                    | Sale                   |
|                                                                                       | 8,000    | 378.87                  |                                     | 10/07/13          | 281.11                  | 0.00                                  | 97.76                                    | Sale                   |
| 11/24/14                                                                              | 11,000   | 520.95                  |                                     | VARIOUS           | 385.14                  | 0.00                                  | 135.81                                   | Total of 3 lots        |
|                                                                                       |          | 1,123.10                |                                     |                   | 832.64                  | 0.00                                  | 290.46                                   |                        |
| Security total:                                                                       |          |                         |                                     |                   |                         |                                       |                                          |                        |
| OASIS PETROLEUM INC / CUSIP: 674215108 / Symbol: OAS                                  |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 06/10/14                                                                              | 5,000    | 252.18                  |                                     | 08/11/11          | 128.60                  | 0.00                                  | 123.58                                   | Sale                   |
|                                                                                       | 5,000    | 252.18                  |                                     | 11/30/11          | 150.24                  | 0.00                                  | 101.94                                   | Sale                   |
|                                                                                       | 10,000   | 504.36                  |                                     | VARIOUS           | 278.84                  | 0.00                                  | 225.52                                   | Total of 2 lots        |
| 2 tax lots for 07/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 07/21/14                                                                              | 23,000   | 1,280.40                |                                     | 11/30/11          | 691.08                  | 0.00                                  | 589.32                                   | Sale                   |
|                                                                                       | 4,000    | 222.68                  |                                     | 12/05/11          | 123.50                  | 0.00                                  | 99.18                                    | Sale                   |
|                                                                                       | 27,000   | 1,503.08                |                                     | VARIOUS           | 814.58                  | 0.00                                  | 688.50                                   | Total of 2 lots        |
| 07/22/14                                                                              | 1,000    | 56.27                   |                                     | 12/05/11          | 30.87                   | 0.00                                  | 25.40                                    | Sale                   |
| 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 41.12                   |                                     | 12/05/11          | 31.16                   | 0.00                                  | 9.96                                     | Sale                   |
|                                                                                       | 8,000    | 328.95                  |                                     | 12/05/11          | 246.99                  | 0.00                                  | 81.96                                    | Sale                   |
|                                                                                       | 6,000    | 246.72                  |                                     | 01/06/12          | 192.70                  | 0.00                                  | 54.02                                    | Sale                   |
| 09/24/14                                                                              | 15,000   | 616.79                  |                                     | VARIOUS           | 470.85                  | 0.00                                  | 145.94                                   | Total of 3 lots        |
| 3 tax lots for 10/08/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 11,000   | 409.95                  |                                     | 01/06/12          | 353.28                  | 0.00                                  | 56.67                                    | Sale                   |
|                                                                                       | 15,000   | 559.03                  |                                     | 06/05/12          | 374.49                  | 0.00                                  | 184.54                                   | Sale                   |
|                                                                                       | 16,000   | 596.29                  |                                     | 06/11/12          | 393.95                  | 0.00                                  | 202.34                                   | Sale                   |
| 10/08/14                                                                              | 42,000   | 1,565.27                |                                     | VARIOUS           | 1,121.72                | 0.00                                  | 443.55                                   | Total of 3 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) / Symbol: OAS (cont'd) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------------------------|----------------------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| 10/09/14                                                                              | 2,000    | 71.25                                           | 06/11/12                         | 49.24                   | 0.00                                  | 22.01                                    | Sale                   |
| 7 tax lots for 10/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                  |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 67.36                                           | 06/11/12                         | 49.39                   | 0.00                                  | 17.97                                    | Sale                   |
|                                                                                       | 6,000    | 202.06                                          | 06/11/12                         | 147.73                  | 0.00                                  | 54.33                                    | Sale                   |
|                                                                                       | 11,000   | 370.45                                          | 06/14/12                         | 267.61                  | 0.00                                  | 102.84                                   | Sale                   |
|                                                                                       | 9,000    | 303.09                                          | 06/26/12                         | 203.36                  | 0.00                                  | 99.73                                    | Sale                   |
|                                                                                       | 16,000   | 538.84                                          | 06/26/12                         | 362.21                  | 0.00                                  | 176.63                                   | Sale                   |
|                                                                                       | 8,000    | 269.42                                          | 06/29/12                         | 191.08                  | 0.00                                  | 78.34                                    | Sale                   |
|                                                                                       | 19,000   | 638.98                                          | 06/29/12                         | 453.81                  | 0.00                                  | 185.17                                   | Sale                   |
| 10/10/14                                                                              | 71,000   | 2,390.20                                        | VARIOUS                          | 1,675.19                | 0.00                                  | 715.01                                   | Total of 7 lots        |
| 4 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                  |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 26.91                                           | 06/29/12                         | 23.88                   | 0.00                                  | 3.03                                     | Sale                   |
|                                                                                       | 14,000   | 376.63                                          | 09/14/12                         | 452.52                  | 0.00                                  | -75.89                                   | Sale                   |
|                                                                                       | 25,000   | 672.67                                          | 09/14/12                         | 808.07                  | 0.00                                  | -135.40                                  | Sale                   |
|                                                                                       | 12,000   | 322.83                                          | 11/13/12                         | 362.35                  | 0.00                                  | -39.52                                   | Sale                   |
| 11/04/14                                                                              | 52,000   | 1,399.04                                        | VARIOUS                          | 1,646.82                | 0.00                                  | -247.78                                  | Total of 4 lots        |
| 8 tax lots for 11/05/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                  |                         |                                       |                                          |                        |
|                                                                                       | 5,000    | 126.80                                          | 04/03/13                         | 180.17                  | 0.00                                  | -53.37                                   | Sale                   |
|                                                                                       | 5,000    | 126.80                                          | 04/04/13                         | 173.96                  | 0.00                                  | -47.16                                   | Sale                   |
|                                                                                       | 5,000    | 126.79                                          | 04/15/13                         | 166.42                  | 0.00                                  | -39.63                                   | Sale                   |
|                                                                                       | 9,000    | 228.24                                          | 04/22/13                         | 294.69                  | 0.00                                  | -66.45                                   | Sale                   |
|                                                                                       | 9,000    | 228.24                                          | 08/26/13                         | 361.55                  | 0.00                                  | -133.31                                  | Sale                   |
|                                                                                       | 1,000    | 25.36                                           | 08/28/13                         | 39.70                   | 0.00                                  | -14.34                                   | Sale                   |
|                                                                                       | 9,000    | 228.23                                          | 09/24/13                         | 421.57                  | 0.00                                  | -193.34                                  | Sale                   |
|                                                                                       | 1,000    | 25.36                                           | 09/25/13                         | 48.61                   | 0.00                                  | -23.25                                   | Sale                   |
| 11/05/14                                                                              | 44,000   | 1,115.82                                        | VARIOUS                          | 1,686.67                | 0.00                                  | -570.85                                  | Total of 8 lots        |
|                                                                                       |          | Security total:                                 |                                  | 7,774.78                | 0.00                                  | 1,447.30                                 |                        |
| PACKAGING CORP OF AMERICA / CUSIP: 695156109 / Symbol: PKG                            |          |                                                 |                                  |                         |                                       |                                          |                        |
| 07/10/14                                                                              | 9,000    | 612.87                                          | 08/23/11                         | 205.00                  | 0.00                                  | 407.87                                   | Sale                   |
| 07/11/14                                                                              | 1,000    | 68.38                                           | 08/23/11                         | 22.78                   | 0.00                                  | 45.60                                    | Sale                   |
| 3 tax lots for 07/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                 |                                  |                         |                                       |                                          |                        |
|                                                                                       | 4,000    | 265.54                                          | 08/23/11                         | 91.11                   | 0.00                                  | 174.43                                   | Sale                   |

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Account PF 00124

OMB No. 1545-0715

# UBS FINANCIAL SERVICES INC. Proceeds from Broker and Barter Exchange Transactions (continued)

2014 1099-B

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

### 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

#### 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (G)ross (Net) | 1d- Proceeds & Reported (G)ross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|---------------------------|---------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| PACKAGING CORP OF AMERICA / CUSIP: 695156109 / Symbol: PKG (cont'd)                   | 10,000          | 663.84                    | 663.84                                | 03/08/12          | 302.21                  | 0.00                                  | 361.63                                   | Sale                   |
|                                                                                       | 6,000           | 398.30                    | 398.30                                | 03/27/12          | 178.92                  | 0.00                                  | 219.38                                   | Sale                   |
| 07/21/14                                                                              | 20,000          | 1,327.68                  | 1,327.68                              | VARIOUS           | 572.24                  | 0.00                                  | 755.44                                   | Total of 3 lots        |
| 6 tax lots for 07/22/14. Total proceeds (and cost when required) reported to the IRS. |                 |                           |                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000           | 131.65                    | 131.65                                | 03/27/12          | 59.64                   | 0.00                                  | 72.01                                    | Sale                   |
|                                                                                       | 7,000           | 460.75                    | 460.75                                | 06/11/12          | 192.61                  | 0.00                                  | 268.14                                   | Sale                   |
|                                                                                       | 7,000           | 460.76                    | 460.76                                | 06/12/12          | 192.68                  | 0.00                                  | 268.08                                   | Sale                   |
|                                                                                       | 16,000          | 1,053.17                  | 1,053.17                              | 06/20/12          | 448.53                  | 0.00                                  | 604.64                                   | Sale                   |
|                                                                                       | 18,000          | 1,184.80                  | 1,184.80                              | 06/29/12          | 506.50                  | 0.00                                  | 678.30                                   | Sale                   |
|                                                                                       | 2,000           | 131.65                    | 131.65                                | 11/28/12          | 72.47                   | 0.00                                  | 59.18                                    | Sale                   |
| 07/22/14                                                                              | 52,000          | 3,422.78                  | 3,422.78                              | VARIOUS           | 1,472.43                | 0.00                                  | 1,950.35                                 | Total of 6 lots        |
| 07/23/14                                                                              | 14,000          | 927.69                    | 927.69                                | 11/28/12          | 507.32                  | 0.00                                  | 420.37                                   | Sale                   |
|                                                                                       | Security total: | 6,359.40                  | 6,359.40                              |                   | 2,779.77                | 0.00                                  | 3,579.63                                 |                        |

|                                                              |                 |          |          |          |          |      |        |      |
|--------------------------------------------------------------|-----------------|----------|----------|----------|----------|------|--------|------|
| RELiance STEEL & ALUMINUM CO / CUSIP: 759509102 / Symbol: RS | 6,000           | 436.17   | 436.17   | 10/17/12 | 322.34   | 0.00 | 113.83 | Sale |
| 06/10/14                                                     | 6,000           | 426.24   | 426.24   | 10/17/12 | 322.35   | 0.00 | 103.89 | Sale |
| 09/24/14                                                     | 7,000           | 462.41   | 462.41   | 10/17/12 | 376.07   | 0.00 | 86.34  | Sale |
| 10/28/14                                                     | 9,000           | 584.90   | 584.90   | 10/17/12 | 483.52   | 0.00 | 101.38 | Sale |
| 11/24/14                                                     | Security total: | 1,909.72 | 1,909.72 |          | 1,504.28 | 0.00 | 405.44 |      |

RYLAND GROUP INC / CUSIP: 783764103 / Symbol: RYL

3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |        |        |          |        |      |       |                 |
|----------|--------|--------|--------|----------|--------|------|-------|-----------------|
|          | 3,000  | 117.12 | 117.12 | 11/27/12 | 102.38 | 0.00 | 14.74 | Sale            |
|          | 9,000  | 351.36 | 351.36 | 12/06/12 | 290.20 | 0.00 | 61.16 | Sale            |
|          | 7,000  | 273.28 | 273.28 | 12/18/12 | 260.03 | 0.00 | 13.25 | Sale            |
| 11/24/14 | 19,000 | 741.76 | 741.76 | VARIOUS  | 652.61 | 0.00 | 89.15 | Total of 3 lots |

SS&amp;C TECHNOLOGIES HLDGS INC COM / CUSIP: 78467J100 / Symbol: SSNC

01/15/14

3 tax lots for 01/16/14. Total proceeds (and cost when required) reported to the IRS.

|  |       |        |        |          |        |      |       |      |
|--|-------|--------|--------|----------|--------|------|-------|------|
|  | 4,000 | 177.67 | 177.67 | 07/17/12 | 103.93 | 0.00 | 73.74 | Sale |
|  | 1,000 | 43.90  | 43.90  | 07/17/12 | 25.98  | 0.00 | 17.92 | Sale |
|  | 4,000 | 174.49 | 174.49 | 07/17/12 | 103.93 | 0.00 | 70.56 | Sale |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 9949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & Reported (Gross Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| SS&C TECHNOLOGIES HLDGS INC COM / CUSIP: 78467J100 / Symbol: SSNC (cont'd)            |          | 216.74                              | 07/17/12          | 129.92                  | 0.00                                  | 86.82                                    | Sale                   |
| 01/16/14                                                                              | 5,000    | 435.13                              | VARIOUS           | 259.83                  | 0.00                                  | 175.30                                   | Total of 3 lots        |
|                                                                                       | 10,000   |                                     |                   |                         |                                       |                                          |                        |
| 2 tax lots for 01/17/14. Total proceeds (and cost when required) reported to the IRS. |          |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 43.28                               | 07/17/12          | 25.98                   | 0.00                                  | 17.30                                    | Sale                   |
| 01/17/14                                                                              | 3,000    | 129.59                              | 07/17/12          | 77.95                   | 0.00                                  | 51.64                                    | Sale                   |
|                                                                                       | 4,000    | 172.87                              | VARIOUS           | 103.93                  | 0.00                                  | 68.94                                    | Total of 2 lots        |
| 2 tax lots for 01/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 43.33                               | 07/17/12          | 25.98                   | 0.00                                  | 17.35                                    | Sale                   |
| 01/21/14                                                                              | 1,000    | 43.35                               | 07/17/12          | 25.99                   | 0.00                                  | 17.36                                    | Sale                   |
|                                                                                       | 2,000    | 86.68                               | VARIOUS           | 51.97                   | 0.00                                  | 34.71                                    | Total of 2 lots        |
| 3 tax lots for 01/22/14. Total proceeds (and cost when required) reported to the IRS. |          |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 85.99                               | 07/17/12          | 51.97                   | 0.00                                  | 34.02                                    | Sale                   |
| 01/22/14                                                                              | 2,000    | 86.37                               | 07/17/12          | 51.96                   | 0.00                                  | 34.41                                    | Sale                   |
|                                                                                       | 3,000    | 128.98                              | 07/18/12          | 73.91                   | 0.00                                  | 55.07                                    | Sale                   |
|                                                                                       | 7,000    | 301.34                              | VARIOUS           | 177.84                  | 0.00                                  | 123.50                                   | Total of 3 lots        |
| 2 tax lots for 01/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 85.60                               | 07/18/12          | 49.27                   | 0.00                                  | 36.33                                    | Sale                   |
| 01/23/14                                                                              | 8,000    | 343.19                              | 07/18/12          | 197.11                  | 0.00                                  | 146.08                                   | Sale                   |
|                                                                                       | 10,000   | 428.79                              | VARIOUS           | 246.38                  | 0.00                                  | 182.41                                   | Total of 2 lots        |
| 01/24/14                                                                              | 1,000    | 42.93                               | 07/18/12          | 24.64                   | 0.00                                  | 18.29                                    | Sale                   |
| 06/10/14                                                                              | 16,000   | 732.46                              | 07/18/12          | 394.21                  | 0.00                                  | 338.25                                   | Sale                   |
| 09/24/14                                                                              | 14,000   | 621.87                              | 07/18/12          | 344.93                  | 0.00                                  | 276.94                                   | Sale                   |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000    | 93.50                               | 07/18/12          | 49.28                   | 0.00                                  | 44.22                                    | Sale                   |
| 10/28/14                                                                              | 11,000   | 514.24                              | 07/18/12          | 271.09                  | 0.00                                  | 243.15                                   | Sale                   |
|                                                                                       | 13,000   | 607.74                              | VARIOUS           | 320.37                  | 0.00                                  | 287.37                                   | Total of 2 lots        |
| 11/24/14                                                                              | 14,000   | 712.44                              | 07/18/12          | 345.03                  | 0.00                                  | 367.41                                   | Sale                   |
|                                                                                       |          | 4,319.92                            |                   | 2,373.06                | 0.00                                  | 1,946.86                                 |                        |
| Security total:                                                                       |          |                                     |                   |                         |                                       |                                          |                        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional Information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| SIRONA DENTAL SYSTEMS INC / CUSIP: 82966C103 / Symbol: SIRO                           |          |                         |                                     |                   |                         |                                       |                                          |                        |
| 6 tax lots for 01/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                                          |                        |
|                                                                                       | 5 000    | 345.08                  |                                     | 06/07/12          | 220.02                  | 0.00                                  | 125.06                                   | Sale                   |
|                                                                                       | 6 000    | 413.66                  |                                     | 06/07/12          | 264.02                  | 0.00                                  | 149.64                                   | Sale                   |
|                                                                                       | 6 000    | 414.09                  |                                     | 06/13/12          | 266.16                  | 0.00                                  | 147.93                                   | Sale                   |
|                                                                                       | 1 000    | 69.02                   |                                     | 06/14/12          | 44.88                   | 0.00                                  | 24.14                                    | Sale                   |
|                                                                                       | 4 000    | 274.99                  |                                     | 06/14/12          | 179.53                  | 0.00                                  | 95.46                                    | Sale                   |
|                                                                                       | 13 000   | 893.73                  |                                     | 06/29/12          | 585.31                  | 0.00                                  | 308.42                                   | Sale                   |
| 01/13/14                                                                              | 35 000   | 2,410.57                |                                     | VARIOUS           | 1,559.92                | 0.00                                  | 850.65                                   | Total of 6 lots        |

## SKYWORKS SOLUTIONS INC / CUSIP: 83088M102 / Symbol: SWKS

## 3 tax lots for 03/10/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |        |  |          |        |      |        |                 |
|----------|--------|--------|--|----------|--------|------|--------|-----------------|
| 03/10/14 | 4 000  | 145.87 |  | 12/17/12 | 82.17  | 0.00 | 63.70  | Sale            |
|          | 6 000  | 219.63 |  | 12/17/12 | 123.26 | 0.00 | 96.37  | Sale            |
|          | 4 000  | 146.42 |  | 12/18/12 | 84.20  | 0.00 | 62.22  | Sale            |
|          | 14 000 | 511.92 |  | VARIOUS  | 289.63 | 0.00 | 222.29 | Total of 3 lots |

## 2 tax lots for 03/11/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |          |  |          |          |      |        |                 |
|----------|--------|----------|--|----------|----------|------|--------|-----------------|
| 03/11/14 | 19 000 | 693.45   |  | 12/18/12 | 399.97   | 0.00 | 293.48 | Sale            |
|          | 32 000 | 1,157.92 |  | 12/18/12 | 673.63   | 0.00 | 484.29 | Sale            |
|          | 51 000 | 1,851.37 |  | VARIOUS  | 1,073.60 | 0.00 | 777.77 | Total of 2 lots |
| 03/12/14 | 8 000  | 294.86   |  | 12/18/12 | 168.41   | 0.00 | 126.45 | Sale            |
| 03/13/14 | 1 000  | 37.22    |  | 12/18/12 | 21.05    | 0.00 | 16.17  | Sale            |
| 04/23/14 | 39 000 | 1,602.35 |  | 12/18/12 | 820.99   | 0.00 | 781.36 | Sale            |
| 04/24/14 | 2 000  | 83.59    |  | 12/18/12 | 42.10    | 0.00 | 41.49  | Sale            |
| 04/28/14 | 4 000  | 164.17   |  | 12/18/12 | 84.21    | 0.00 | 79.96  | Sale            |
| 04/29/14 | 1 000  | 41.27    |  | 12/18/12 | 21.05    | 0.00 | 20.22  | Sale            |

## 2 tax lots for 05/06/14. Total proceeds (and cost when required) reported to the IRS.

|          |        |          |  |          |        |      |        |                 |
|----------|--------|----------|--|----------|--------|------|--------|-----------------|
| 05/06/14 | 24 000 | 997.57   |  | 12/18/12 | 505.22 | 0.00 | 492.35 | Sale            |
|          | 2 000  | 83.13    |  | 12/19/12 | 41.98  | 0.00 | 41.15  | Sale            |
|          | 26 000 | 1,080.70 |  | VARIOUS  | 547.20 | 0.00 | 533.50 | Total of 2 lots |

## 2 tax lots for 05/07/14. Total proceeds (and cost when required) reported to the IRS.

|  |        |        |  |          |        |      |        |      |
|--|--------|--------|--|----------|--------|------|--------|------|
|  | 17 000 | 692.31 |  | 12/19/12 | 356.86 | 0.00 | 335.45 | Sale |
|--|--------|--------|--|----------|--------|------|--------|------|

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account: PF 00124

## Proceeds from Broker and Barter-Exchange Transactions

OMB No. 1545-0715

2014-1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| SKYWORKS SOLUTIONS INC / CUSIP: 83088M102 / Symbol: SWKS (cont'd)                     | 26,000   | 1,058.82                 | 1,058.82                             | 01/10/13          | 557.42                  | 0.00                                  | 501.40                 | Sale                                     |
| 05/07/14                                                                              | 43,000   | 1,751.13                 | 1,751.13                             | VARIOUS           | 914.28                  | 0.00                                  | 836.85                 | Total of 2 lots                          |
| 3 tax lots for 05/12/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 5,000    | 213.80                   | 213.80                               | 01/10/13          | 107.68                  | 0.00                                  | 106.12                 | Sale                                     |
|                                                                                       | 11,000   | 469.46                   | 469.46                               | 01/10/13          | 235.83                  | 0.00                                  | 233.63                 | Sale                                     |
|                                                                                       | 14,000   | 597.50                   | 597.50                               | 01/10/13          | 301.53                  | 0.00                                  | 295.97                 | Sale                                     |
| 05/12/14                                                                              | 30,000   | 1,280.76                 | 1,280.76                             | VARIOUS           | 645.04                  | 0.00                                  | 635.72                 | Total of 3 lots                          |
| 2 tax lots for 05/13/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    | 42.78                    | 42.78                                | 01/10/13          | 21.54                   | 0.00                                  | 21.24                  | Sale                                     |
|                                                                                       | 17,000   | 727.20                   | 727.20                               | 01/18/13          | 357.40                  | 0.00                                  | 369.80                 | Sale                                     |
| 05/13/14                                                                              | 18,000   | 769.98                   | 769.98                               | VARIOUS           | 378.94                  | 0.00                                  | 391.04                 | Total of 2 lots                          |
| 3 tax lots for 05/14/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 84.59                    | 84.59                                | 01/18/13          | 42.05                   | 0.00                                  | 42.54                  | Sale                                     |
|                                                                                       | 30,000   | 1,268.86                 | 1,268.86                             | 01/29/13          | 638.08                  | 0.00                                  | 630.78                 | Sale                                     |
|                                                                                       | 5,000    | 211.48                   | 211.48                               | 02/01/13          | 122.45                  | 0.00                                  | 89.03                  | Sale                                     |
| 05/14/14                                                                              | 37,000   | 1,564.93                 | 1,564.93                             | VARIOUS           | 802.58                  | 0.00                                  | 762.35                 | Total of 3 lots                          |
| 8 tax lots for 05/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 11,000   | 447.07                   | 447.07                               | 02/01/13          | 269.38                  | 0.00                                  | 177.69                 | Sale                                     |
|                                                                                       | 3,000    | 121.93                   | 121.93                               | 02/04/13          | 72.66                   | 0.00                                  | 49.27                  | Sale                                     |
|                                                                                       | 17,000   | 690.92                   | 690.92                               | 02/04/13          | 398.65                  | 0.00                                  | 292.27                 | Sale                                     |
|                                                                                       | 4,000    | 162.57                   | 162.57                               | 02/05/13          | 94.57                   | 0.00                                  | 68.00                  | Sale                                     |
|                                                                                       | 6,000    | 243.36                   | 243.36                               | 02/05/13          | 141.86                  | 0.00                                  | 101.50                 | Sale                                     |
|                                                                                       | 11,000   | 446.17                   | 446.17                               | 02/05/13          | 260.71                  | 0.00                                  | 185.46                 | Sale                                     |
|                                                                                       | 39,000   | 1,581.86                 | 1,581.86                             | 03/06/13          | 811.78                  | 0.00                                  | 770.08                 | Sale                                     |
|                                                                                       | 10,000   | 405.61                   | 405.61                               | 04/05/13          | 207.07                  | 0.00                                  | 198.54                 | Sale                                     |
| 05/15/14                                                                              | 101,000  | 4,099.49                 | 4,099.49                             | VARIOUS           | 2,256.68                | 0.00                                  | 1,842.81               | Total of 8 lots                          |
| 3 tax lots for 05/16/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 10,000   | 406.37                   | 406.37                               | 04/05/13          | 207.06                  | 0.00                                  | 199.31                 | Sale                                     |
|                                                                                       | 1,000    | 40.37                    | 40.37                                | 05/07/13          | 22.82                   | 0.00                                  | 17.55                  | Sale                                     |
|                                                                                       | 16,000   | 650.20                   | 650.20                               | 05/07/13          | 365.14                  | 0.00                                  | 285.06                 | Sale                                     |
| 05/16/14                                                                              | 27,000   | 1,096.94                 | 1,096.94                             | VARIOUS           | 595.02                  | 0.00                                  | 501.92                 | Total of 3 lots                          |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| SKYWORKS SOLUTIONS INC / CUSIP: 83088M102 / Symbol: SWKS (cont'd)                     | 1,000    | 41.30                    |                                      | 05/08/13          | 23.05                   | 0.00                                  |                        | 18.25 Sale                               |
| Security total:                                                                       |          | 16,271.98                |                                      |                   | 8,683.83                | 0.00                                  |                        | 7,588.15                                 |
| TCP CAPITAL CORP / CUSIP: 87238Q103 / Symbol: TPCP                                    |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 2 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS.  |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 9,000    | 146.70                   |                                      | 09/27/13          | 142.48                  | 0.00                                  |                        | 4.22 Sale                                |
|                                                                                       | 15,000   | 244.49                   |                                      | 09/27/13          | 238.56                  | 0.00                                  |                        | 5.93 Sale                                |
| 10/28/14                                                                              | 24,000   | 391.19                   |                                      | VARIOUS           | 381.04                  | 0.00                                  |                        | 10.15 Total of 2 lots                    |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 10,000   | 168.30                   |                                      | 09/27/13          | 159.04                  | 0.00                                  |                        | 9.26 Sale                                |
|                                                                                       | 11,000   | 185.12                   |                                      | 09/27/13          | 174.69                  | 0.00                                  |                        | 10.43 Sale                               |
| 11/24/14                                                                              | 21,000   | 353.42                   |                                      | VARIOUS           | 333.73                  | 0.00                                  |                        | 19.69 Total of 2 lots                    |
| Security total:                                                                       |          | 744.61                   |                                      |                   | 714.77                  | 0.00                                  |                        | 29.84                                    |
| TELEFLEX INC COMMON STOCK / CUSIP: 879369106 / Symbol: TFX                            |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 11/24/14                                                                              | 3,000    | 352.16                   |                                      | 02/16/11          | 183.40                  | 0.00                                  |                        | 168.76 Sale                              |
| THERMON GROUP HLDGS INC / CUSIP: 88362T103 / Symbol: THR                              |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 6,000    | 152.61                   |                                      | 05/20/13          | 116.36                  | 0.00                                  |                        | 36.25 Sale                               |
|                                                                                       | 4,000    | 101.74                   |                                      | 05/22/13          | 80.00                   | 0.00                                  |                        | 21.74 Sale                               |
| 06/10/14                                                                              | 10,000   | 254.35                   |                                      | VARIOUS           | 196.36                  | 0.00                                  |                        | 57.99 Total of 2 lots                    |
| 5 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    | 50.82                    |                                      | 05/22/13          | 40.00                   | 0.00                                  |                        | 10.82 Sale                               |
|                                                                                       | 3,000    | 76.22                    |                                      | 05/22/13          | 60.03                   | 0.00                                  |                        | 16.19 Sale                               |
|                                                                                       | 7,000    | 177.86                   |                                      | 05/23/13          | 137.81                  | 0.00                                  |                        | 40.05 Sale                               |
|                                                                                       | 1,000    | 25.41                    |                                      | 05/24/13          | 19.58                   | 0.00                                  |                        | 5.83 Sale                                |
|                                                                                       | 10,000   | 254.08                   |                                      | 05/28/13          | 199.76                  | 0.00                                  |                        | 54.32 Sale                               |
| 11/24/14                                                                              | 23,000   | 584.39                   |                                      | VARIOUS           | 457.18                  | 0.00                                  |                        | 127.21 Total of 5 lots                   |
| Security total:                                                                       |          | 838.74                   |                                      |                   | 653.54                  | 0.00                                  |                        | 185.20                                   |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

## UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

(continued)

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| UNION BANKSHARES CORP NEW COM / CUSIP: 905391109 / Symbol: UBSH                       |                 |                          |                                      |                   |                         |                                       |                                          |                        |
| 3 tax lots for 09/24/14 Total proceeds (and cost when required) reported to the IRS.  |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000           | 23.44                    | 23.44                                | 09/04/13          | 22.03                   | 0.00                                  | 1.41                                     | Sale                   |
|                                                                                       | 5,000           | 117.20                   | 117.20                               | 09/06/13          | 110.56                  | 0.00                                  | 6.64                                     | Sale                   |
|                                                                                       | 16,000          | 375.03                   | 375.03                               | 09/12/13          | 354.40                  | 0.00                                  | 20.63                                    | Sale                   |
| 09/24/14                                                                              | 22,000          | 515.67                   | 515.67                               | VARIOUS           | 486.99                  | 0.00                                  | 28.68                                    | Total of 3 lots        |
| 10/28/14                                                                              | 2,000           | 43.82                    | 43.82                                | 09/23/13          | 46.18                   | 0.00                                  | -2.36                                    | Sale                   |
| 3 tax lots for 10/28/14 Total proceeds (and cost when required) reported to the IRS.  |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 22,000          | 482.01                   | 482.01                               | 09/12/13          | 487.30                  | 5.29 W                                | 0.00                                     | Sale                   |
|                                                                                       | 1,000           | 21.91                    | 21.91                                | 09/18/13          | 22.83                   | 0.92 W                                | 0.00                                     | Sale                   |
|                                                                                       | 5,000           | 109.55                   | 109.55                               | 09/19/13          | 114.14                  | 3.67 W                                | -0.92                                    | Sale                   |
| 10/28/14                                                                              | 28,000          | 613.47                   | 613.47                               | VARIOUS           | 624.27                  | 9.88 W                                | -0.92                                    | Total of 3 lots        |
| 6 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 2,000           | 46.60                    | 46.60                                | 09/23/13          | 46.26                   | 0.00                                  | 0.34                                     | Sale                   |
|                                                                                       | 5,000           | 116.50                   | 116.50                               | 09/23/13          | 115.44                  | 0.00                                  | 1.06                                     | Sale                   |
|                                                                                       | 4,000           | 93.19                    | 93.19                                | 09/24/13          | 93.17                   | 0.00                                  | 0.02                                     | Sale                   |
|                                                                                       | 1,000           | 23.30                    | 23.30                                | 09/25/13          | 23.44                   | 0.00                                  | -0.14                                    | Sale                   |
|                                                                                       | 4,000           | 93.20                    | 93.20                                | 09/25/13          | 93.02                   | 0.00                                  | 0.18                                     | Sale                   |
|                                                                                       | 3,000           | 69.90                    | 69.90                                | 09/26/13          | 69.69                   | 0.00                                  | 0.21                                     | Sale                   |
| 11/24/14                                                                              | 19,000          | 442.69                   | 442.69                               | VARIOUS           | 441.02                  | 0.00                                  | 1.67                                     | Total of 6 lots        |
|                                                                                       | Security total: | 1,615.65                 | 1,615.65                             |                   | 1,598.46                | 9.88 W                                | 27.07                                    |                        |
| UNIT RENTALS INC / CUSIP: 911363109 / Symbol: URI                                     |                 |                          |                                      |                   |                         |                                       |                                          |                        |
| 2 tax lots for 02/03/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 5,000           | 377.38                   | 377.38                               | 06/13/12          | 160.03                  | 0.00                                  | 217.35                                   | Sale                   |
|                                                                                       | 4,000           | 301.91                   | 301.91                               | 10/05/12          | 135.38                  | 0.00                                  | 166.53                                   | Sale                   |
| 02/03/14                                                                              | 9,000           | 679.29                   | 679.29                               | VARIOUS           | 295.41                  | 0.00                                  | 383.88                                   | Total of 2 lots        |
| 02/04/14                                                                              | 1,000           | 75.97                    | 75.97                                | 10/05/12          | 33.84                   | 0.00                                  | 42.13                                    | Sale                   |
| 2 tax lots for 02/21/14. Total proceeds (and cost when required) reported to the IRS. |                 |                          |                                      |                   |                         |                                       |                                          |                        |
|                                                                                       | 16,000          | 1,395.02                 | 1,395.02                             | 10/05/12          | 541.51                  | 0.00                                  | 853.51                                   | Sale                   |
|                                                                                       | 9,000           | 784.70                   | 784.70                               | 10/11/12          | 294.90                  | 0.00                                  | 489.80                                   | Sale                   |
| 02/21/14                                                                              | 25,000          | 2,179.72                 | 2,179.72                             | VARIOUS           | 836.41                  | 0.00                                  | 1,343.31                                 | Total of 2 lots        |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No: 1545-0715

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|-------------------------|-------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| UNT D RENTALS INC / CUSIP: 911363109 / Symbol: URI (cont'd)                           |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 2 tax lots for 02/24/14. Total proceeds (and cost when required) reported to the IRS  |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                       | 1 000    | 87.75                   | 87.75                               | 10/11/12          | 32.77                   | 0.00                                  |                        | 54.98 Sale                               |
| 02/24/14                                                                              | 13 000   | 1,145.17                | 1,145.17                            | 10/11/12          | 425.96                  | 0.00                                  |                        | 719.21 Sale                              |
|                                                                                       | 14 000   | 1,232.92                | 1,232.92                            | VARIOUS           | 458.73                  | 0.00                                  |                        | 774.19 Total of 2 lots                   |
| 02/25/14                                                                              | 1 000    | 88.87                   | 88.87                               | 10/11/12          | 32.77                   | 0.00                                  |                        | 56.10 Sale                               |
| 3 tax lots for 02/26/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                       | 6 000    | 518.88                  | 518.88                              | 10/11/12          | 196.60                  | 0.00                                  |                        | 322.28 Sale                              |
|                                                                                       | 3 000    | 259.40                  | 259.40                              | 11/28/12          | 120.26                  | 0.00                                  |                        | 139.14 Sale                              |
|                                                                                       | 14 000   | 1,210.72                | 1,210.72                            | 11/28/12          | 561.19                  | 0.00                                  |                        | 649.53 Sale                              |
| 02/26/14                                                                              | 23 000   | 1,989.00                | 1,989.00                            | VARIOUS           | 878.05                  | 0.00                                  |                        | 1,110.95 Total of 3 lots                 |
|                                                                                       |          | 6,245.77                | 6,245.77                            |                   | 2,535.21                | 0.00                                  |                        | 3,710.56                                 |
| Security total:                                                                       |          |                         |                                     |                   |                         |                                       |                        |                                          |
| VERINT SYSTEMS INC / CUSIP: 92343X100 / Symbol: VRNT                                  |          |                         |                                     |                   |                         |                                       |                        |                                          |
| 06/10/14                                                                              | 11 000   | 535.11                  | 535.11                              | 06/01/11          | 386.55                  | 0.00                                  |                        | 148.56 Sale                              |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                       | 3 000    | 162.18                  | 162.18                              | 06/02/11          | 103.24                  | 0.00                                  |                        | 58.94 Sale                               |
|                                                                                       | 14 000   | 756.82                  | 756.82                              | 06/06/11          | 475.38                  | 0.00                                  |                        | 281.44 Sale                              |
| 09/24/14                                                                              | 17 000   | 919.00                  | 919.00                              | VARIOUS           | 578.62                  | 0.00                                  |                        | 340.38 Total of 2 lots                   |
| 5 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                       | 1 000    | 55.30                   | 55.30                               | 06/06/11          | 33.96                   | 0.00                                  |                        | 21.34 Sale                               |
|                                                                                       | 1 000    | 55.30                   | 55.30                               | 06/08/11          | 33.13                   | 0.00                                  |                        | 22.17 Sale                               |
|                                                                                       | 5 000    | 276.49                  | 276.49                              | 06/08/11          | 166.72                  | 0.00                                  |                        | 109.77 Sale                              |
|                                                                                       | 3 000    | 165.90                  | 165.90                              | 06/09/11          | 100.17                  | 0.00                                  |                        | 65.73 Sale                               |
|                                                                                       | 6 000    | 331.79                  | 331.79                              | 06/10/11          | 201.89                  | 0.00                                  |                        | 129.90 Sale                              |
| 10/28/14                                                                              | 16 000   | 884.78                  | 884.78                              | VARIOUS           | 535.87                  | 0.00                                  |                        | 348.91 Total of 5 lots                   |
| 7 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                     |                   |                         |                                       |                        |                                          |
|                                                                                       | 1 000    | 59.33                   | 59.33                               | 06/10/11          | 33.67                   | 0.00                                  |                        | 25.66 Sale                               |
|                                                                                       | 2 000    | 118.66                  | 118.66                              | 06/10/11          | 67.25                   | 0.00                                  |                        | 51.41 Sale                               |
|                                                                                       | 6 000    | 355.97                  | 355.97                              | 06/10/11          | 201.88                  | 0.00                                  |                        | 154.09 Sale                              |
|                                                                                       | 1 000    | 59.33                   | 59.33                               | 06/13/11          | 33.99                   | 0.00                                  |                        | 25.34 Sale                               |
|                                                                                       | 1 000    | 59.33                   | 59.33                               | 06/13/11          | 34.49                   | 0.00                                  |                        | 24.84 Sale                               |
|                                                                                       | 3 000    | 177.98                  | 177.98                              | 06/13/11          | 102.43                  | 0.00                                  |                        | 75.55 Sale                               |

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UBS FINANCIAL SERVICES INC.

Account PF 00124.

2014 1099-B\*

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

(continued)

## 2- LONG-TERM TRANSACTIONS

5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 1d- Proceeds & 6- Reported (Gross (Net) VRNT (cont'd) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------------------------|------------------------|
| VERINT SYSTEMS INC / CUSIP: 92343X100 / Symbol: VRNT (cont'd)                         |          |                                                       |                   |                         |                                       |                                          |                        |
| 11/24/14                                                                              | 1,000    | 59.33                                                 | 06/14/11          | 34.93                   | 0.00                                  | 24.40                                    | Sale                   |
|                                                                                       | 15,000   | 889.93                                                | VARIOUS           | 508.64                  | 0.00                                  | 381.29                                   | Total of 7 lots        |
| Security total:                                                                       |          | 3,228.82                                              |                   | 2,009.68                | 0.00                                  | 1,219.14                                 |                        |
| ASH NATL CORP COMMON STOCK / CUSIP: 929566107 / Symbol: WNC                           |          |                                                       |                   |                         |                                       |                                          |                        |
| 3 tax lots for 04/29/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 13.97                                                 | 03/08/13          | 10.04                   | 0.00                                  | 3.93                                     | Sale                   |
|                                                                                       | 13,000   | 168.14                                                | 03/08/13          | 130.50                  | 0.00                                  | 37.64                                    | Sale                   |
|                                                                                       | 47,000   | 613.08                                                | 03/08/13          | 471.80                  | 0.00                                  | 141.28                                   | Sale                   |
| 04/29/14                                                                              | 61,000   | 795.19                                                | VARIOUS           | 612.34                  | 0.00                                  | 182.85                                   | Total of 3 lots        |
| 04/30/14                                                                              | 20,000   | 263.29                                                | 03/08/13          | 200.76                  | 0.00                                  | 62.53                                    | Sale                   |
| 05/01/14                                                                              | 1,000    | 13.45                                                 | 03/08/13          | 10.04                   | 0.00                                  | 3.41                                     | Sale                   |
| 4 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 1,000    | 14.37                                                 | 03/08/13          | 9.93                    | 0.00                                  | 4.44                                     | Sale                   |
|                                                                                       | 8,000    | 114.99                                                | 03/08/13          | 80.31                   | 0.00                                  | 34.68                                    | Sale                   |
|                                                                                       | 3,000    | 43.12                                                 | 03/11/13          | 31.02                   | 0.00                                  | 12.10                                    | Sale                   |
|                                                                                       | 6,000    | 86.24                                                 | 03/11/13          | 62.23                   | 0.00                                  | 24.01                                    | Sale                   |
| 06/10/14                                                                              | 18,000   | 258.72                                                | VARIOUS           | 183.49                  | 0.00                                  | 75.23                                    | Total of 4 lots        |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 13,000   | 172.90                                                | 03/11/13          | 135.10                  | 0.00                                  | 37.80                                    | Sale                   |
|                                                                                       | 20,000   | 265.99                                                | 03/11/13          | 207.42                  | 0.00                                  | 58.57                                    | Sale                   |
| 09/24/14                                                                              | 33,000   | 438.89                                                | VARIOUS           | 342.52                  | 0.00                                  | 96.37                                    | Total of 2 lots        |
| 2 tax lots for 10/21/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 11,000   | 134.32                                                | 03/11/13          | 114.31                  | 0.00                                  | 20.01                                    | Sale                   |
|                                                                                       | 14,000   | 171.23                                                | 03/11/13          | 145.48                  | 0.00                                  | 25.75                                    | Sale                   |
| 10/21/14                                                                              | 25,000   | 305.55                                                | VARIOUS           | 259.79                  | 0.00                                  | 45.76                                    | Total of 2 lots        |
| 10/22/14                                                                              | 19,000   | 230.77                                                | 03/11/13          | 197.45                  | 0.00                                  | 33.32                                    | Sale                   |
| 2 tax lots for 10/23/14. Total proceeds (and cost when required) reported to the IRS. |          |                                                       |                   |                         |                                       |                                          |                        |
|                                                                                       | 7,000    | 86.40                                                 | 03/12/13          | 72.01                   | 0.00                                  | 14.39                                    | Sale                   |
|                                                                                       | 26,000   | 320.92                                                | 03/13/13          | 270.58                  | 0.00                                  | 50.34                                    | Sale                   |
| 10/23/14                                                                              | 33,000   | 407.32                                                | VARIOUS           | 342.59                  | 0.00                                  | 64.73                                    | Total of 2 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross Net) | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|-------------------------|----------------------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| WABASH NATL CORP COMMON STOCK / CUSIP: 929566107 / Symbol: WNC (cont'd)               |          |                         |                                  |                         |                                   |                        |                                          |
| 2 tax lots for 10/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 11.000   | 137.12                  | 03/13/13                         | 114.48                  | 0.00                              |                        | 22.64 Sale C                             |
| 10/24/14                                                                              | 15.000   | 186.98                  | 03/14/13                         | 155.86                  | 0.00                              |                        | 31.12 Sale C                             |
|                                                                                       | 26.000   | 324.10                  | VARIOUS                          | 270.34                  | 0.00                              |                        | 53.76 Total of 2 lots                    |
| 2 tax lots for 10/27/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 2.000    | 24.50                   | 03/14/13                         | 20.78                   | 0.00                              |                        | 3.72 Sale C                              |
| 10/27/14                                                                              | 26.000   | 318.53                  | 03/15/13                         | 277.86                  | 0.00                              |                        | 40.67 Sale C                             |
|                                                                                       | 28.000   | 343.03                  | VARIOUS                          | 298.64                  | 0.00                              |                        | 44.39 Total of 2 lots                    |
| 5 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 10.000   | 128.07                  | 03/15/13                         | 106.87                  | 0.00                              |                        | 21.20 Sale C                             |
|                                                                                       | 20.000   | 253.26                  | 03/15/13                         | 213.73                  | 0.00                              |                        | 39.53 Sale C                             |
|                                                                                       | 4.000    | 51.23                   | 03/25/13                         | 39.60                   | 0.00                              |                        | 11.63 Sale C                             |
|                                                                                       | 1.000    | 12.81                   | 04/04/13                         | 9.18                    | 0.00                              |                        | 3.63 Sale C                              |
| 10/28/14                                                                              | 15.000   | 192.11                  | 04/04/13                         | 140.35                  | 0.00                              |                        | 51.76 Sale C                             |
|                                                                                       | 50.000   | 637.48                  | VARIOUS                          | 509.73                  | 0.00                              |                        | 127.75 Total of 5 lots                   |
| 7 tax lots for 10/29/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 16.000   | 174.87                  | 04/04/13                         | 149.70                  | 0.00                              |                        | 25.17 Sale C                             |
|                                                                                       | 6.000    | 62.63                   | 04/05/13                         | 56.58                   | 0.00                              |                        | 6.05 Sale C                              |
|                                                                                       | 7.000    | 76.50                   | 04/05/13                         | 66.02                   | 0.00                              |                        | 10.48 Sale C                             |
|                                                                                       | 4.000    | 41.76                   | 04/10/13                         | 39.10                   | 0.00                              |                        | 2.66 Sale C                              |
|                                                                                       | 9.000    | 93.95                   | 04/10/13                         | 88.41                   | 0.00                              |                        | 5.54 Sale C                              |
|                                                                                       | 1.000    | 10.43                   | 04/11/13                         | 9.78                    | 0.00                              |                        | 0.65 Sale C                              |
|                                                                                       | 3.000    | 31.32                   | 04/15/13                         | 26.69                   | 0.00                              |                        | 4.63 Sale C                              |
| 10/29/14                                                                              | 46.000   | 491.46                  | VARIOUS                          | 436.28                  | 0.00                              |                        | 55.18 Total of 7 lots                    |
| 5 tax lots for 10/30/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 5.000    | 48.19                   | 04/15/13                         | 44.49                   | 0.00                              |                        | 3.70 Sale C                              |
|                                                                                       | 1.000    | 9.64                    | 04/16/13                         | 8.90                    | 0.00                              |                        | 0.74 Sale C                              |
|                                                                                       | 4.000    | 38.55                   | 04/16/13                         | 35.60                   | 0.00                              |                        | 2.95 Sale C                              |
|                                                                                       | 11.000   | 106.02                  | 04/22/13                         | 94.42                   | 0.00                              |                        | 11.60 Sale C                             |
|                                                                                       | 14.000   | 134.94                  | 04/22/13                         | 122.46                  | 0.00                              |                        | 12.48 Sale C                             |
| 10/30/14                                                                              | 35.000   | 337.34                  | VARIOUS                          | 305.87                  | 0.00                              |                        | 31.47 Total of 5 lots                    |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 2- LONG-TERM TRANSACTIONS

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & 1f- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| WABASH NATL CORP COMMON STOCK / CUSIP: 929566107 / Symbol: WNC (cont'd)               |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 4 tax lots for 10/31/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    |                          | 30.79                                | 04/22/13          | 25.75                   | 0.00                                  |                        | 5.04 Sale                                |
|                                                                                       | 13,000   |                          | 133.44                               | 04/23/13          | 115.99                  | 0.00                                  |                        | 17.45 Sale                               |
|                                                                                       | 13,000   |                          | 133.43                               | 04/24/13          | 117.39                  | 0.00                                  |                        | 16.04 Sale                               |
|                                                                                       | 3,000    |                          | 30.79                                | 05/14/13          | 30.23                   | 0.00                                  |                        | 0.56 Sale                                |
| 10/31/14                                                                              | 32,000   |                          | 328.45                               | VARIOUS           | 289.36                  | 0.00                                  |                        | 39.09 Total of 4 lots                    |
| 4 tax lots for 11/03/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 2,000    |                          | 20.63                                | 05/14/13          | 20.16                   | 0.00                                  |                        | 0.47 Sale                                |
|                                                                                       | 7,000    |                          | 72.20                                | 05/15/13          | 70.83                   | 0.00                                  |                        | 1.37 Sale                                |
|                                                                                       | 8,000    |                          | 82.52                                | 05/29/13          | 82.70                   | 0.00                                  |                        | -0.18 Sale                               |
|                                                                                       | 11,000   |                          | 113.46                               | 05/29/13          | 114.36                  | 0.00                                  |                        | -0.90 Sale                               |
| 11/03/14                                                                              | 28,000   |                          | 288.81                               | VARIOUS           | 288.05                  | 0.00                                  |                        | 0.76 Total of 4 lots                     |
| 2 tax lots for 11/04/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    |                          | 40.45                                | 05/29/13          | 41.58                   | 0.00                                  |                        | -1.13 Sale                               |
|                                                                                       | 10,000   |                          | 101.12                               | 05/30/13          | 105.41                  | 0.00                                  |                        | -4.29 Sale                               |
| 11/04/14                                                                              | 14,000   |                          | 141.57                               | VARIOUS           | 146.99                  | 0.00                                  |                        | -5.42 Total of 2 lots                    |
|                                                                                       | 6,000    |                          | 62.45                                | 05/30/13          | 63.25                   | 0.00                                  |                        | -0.80 Sale                               |
| 11/05/14                                                                              | 9,000    |                          | 93.91                                | 05/30/13          | 94.87                   | 0.00                                  |                        | -0.96 Sale                               |
| 11/06/14                                                                              |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 2 tax lots for 11/07/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 1,000    |                          | 10.59                                | 05/30/13          | 10.54                   | 0.00                                  |                        | 0.05 Sale                                |
|                                                                                       | 17,000   |                          | 180.07                               | 05/31/13          | 182.30                  | 0.00                                  |                        | -2.23 Sale                               |
| 11/07/14                                                                              | 18,000   |                          | 190.66                               | VARIOUS           | 192.84                  | 0.00                                  |                        | -2.18 Total of 2 lots                    |
|                                                                                       |          | Security total:          | 5,952.44                             |                   | 5,045.20                | 0.00                                  |                        | 907.24                                   |
| WEBSTER FINANCIAL CORP WATERBURY CONN / CUSIP: 947890109 / Symbol: WBS                |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 10,000   |                          | 314.70                               | 01/30/12          | 212.95                  | 0.00                                  |                        | 101.75 Sale                              |
|                                                                                       | 10,000   |                          | 314.69                               | 01/31/12          | 213.02                  | 0.00                                  |                        | 101.67 Sale                              |
| 06/10/14                                                                              | 20,000   |                          | 629.39                               | VARIOUS           | 425.97                  | 0.00                                  |                        | 203.42 Total of 2 lots                   |
| 4 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    |                          | 120.76                               | 01/31/12          | 85.21                   | 0.00                                  |                        | 35.55 Sale                               |

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UBS FINANCIAL SERVICES INC.

Account: PF 00124

## Proceeds from Broker and Barter Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

## 2- LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box D checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | 1b- Date acquired | 1e- Cost or other basis | 1g- Adjustments & If- Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|-------------------|-------------------------|---------------------------------------|------------------------|------------------------------------------|
| WEBSTER FINANCIAL CORP WATERBURY CONN / CUSIP: 947890109 / Symbol: WBS (cont'd)       |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 4,000    | 120.76                   | 120.76                               | 02/01/12          | 85.33                   | 0.00                                  |                        | 35.43 Sale                               |
|                                                                                       | 23,000   | 694.35                   | 694.35                               | 02/01/12          | 495.04                  | 0.00                                  |                        | 199.31 Sale                              |
|                                                                                       | 4,000    | 120.76                   | 120.76                               | 02/02/12          | 88.53                   | 0.00                                  |                        | 32.23 Sale                               |
| 09/24/14                                                                              | 35,000   | 1,056.63                 | 1,056.63                             | VARIOUS           | 754.11                  | 0.00                                  |                        | 302.52 Total of 4 lots                   |
| 4 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 3,000    | 90.51                    | 90.51                                | 02/02/12          | 66.02                   | 0.00                                  |                        | 24.49 Sale                               |
|                                                                                       | 8,000    | 241.35                   | 241.35                               | 02/02/12          | 177.07                  | 0.00                                  |                        | 64.28 Sale                               |
|                                                                                       | 3,000    | 90.51                    | 90.51                                | 02/03/12          | 68.16                   | 0.00                                  |                        | 22.35 Sale                               |
|                                                                                       | 15,000   | 452.54                   | 452.54                               | 02/03/12          | 343.35                  | 0.00                                  |                        | 109.19 Sale                              |
| 10/28/14                                                                              | 29,000   | 874.91                   | 874.91                               | VARIOUS           | 654.60                  | 0.00                                  |                        | 220.31 Total of 4 lots                   |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 12,000   | 380.03                   | 380.03                               | 02/03/12          | 274.68                  | 0.00                                  |                        | 105.35 Sale                              |
|                                                                                       | 16,000   | 506.71                   | 506.71                               | 02/06/12          | 364.54                  | 0.00                                  |                        | 142.17 Sale                              |
| 11/24/14                                                                              | 28,000   | 886.74                   | 886.74                               | VARIOUS           | 639.22                  | 0.00                                  |                        | 247.52 Total of 2 lots                   |
| Security total:                                                                       |          | 3,447.67                 | 3,447.67                             |                   | 2,473.90                | 0.00                                  |                        | 973.77                                   |
| WESCO INTERNATIONAL INC / CUSIP: 95082P105 / Symbol: WCC                              |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 6 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 13,000   | 1,097.83                 | 1,097.83                             | 10/05/11          | 435.68                  | 0.00                                  |                        | 662.15 Sale                              |
|                                                                                       | 2,000    | 170.16                   | 170.16                               | 11/13/12          | 125.30                  | 0.00                                  |                        | 44.86 Sale                               |
|                                                                                       | 10,000   | 844.48                   | 844.48                               | 11/13/12          | 626.48                  | 0.00                                  |                        | 218.00 Sale                              |
|                                                                                       | 1,000    | 84.57                    | 84.57                                | 05/14/13          | 76.53                   | 0.00                                  |                        | 8.04 Sale                                |
|                                                                                       | 1,000    | 85.08                    | 85.08                                | 05/14/13          | 76.53                   | 0.00                                  |                        | 8.55 Sale                                |
|                                                                                       | 1,000    | 84.58                    | 84.58                                | 05/15/13          | 77.48                   | 0.00                                  |                        | 7.10 Sale                                |
| 11/24/14                                                                              | 28,000   | 2,366.70                 | 2,366.70                             | VARIOUS           | 1,418.00                | 0.00                                  |                        | 948.70 Total of 6 lots                   |
| WOLVERINE WORLD WIDE INC COMMON STOCK / CUSIP: 978097103 / Symbol: WWW                |          |                          |                                      |                   |                         |                                       |                        |                                          |
| 09/24/14                                                                              | 9,000    | 227.39                   | 227.39                               | 04/20/12          | 170.47                  | 0.00                                  |                        | 56.92 Sale                               |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS  |          |                          |                                      |                   |                         |                                       |                        |                                          |
|                                                                                       | 11,000   | 292.09                   | 292.09                               | 04/20/12          | 208.36                  | 0.00                                  |                        | 83.73 Sale                               |
|                                                                                       | 10,000   | 265.53                   | 265.53                               | 04/23/12          | 182.73                  | 0.00                                  |                        | 82.80 Sale                               |
| 10/28/14                                                                              | 21,000   | 557.62                   | 557.62                               | VARIOUS           | 391.09                  | 0.00                                  |                        | 166.53 Total of 2 lots                   |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

2014 1099-B\*

(continued)

## 2- LONG-TERM TRANSACTIONS

S- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net | 1d- Proceeds & 1b- Date acquired | 1e- Cost or other basis | 1f- Adjustments & Code(s), if any | 7- Loss not allowed(X) | Gain or loss(-) & Additional information |
|---------------------------------------------------------------------------------------|----------|-------------------------|----------------------------------|-------------------------|-----------------------------------|------------------------|------------------------------------------|
| WOLVERINE WORLD WIDE INC COMMON STOCK / CUSIP: 978097103 / Symbol: WWW (cont'd)       |          |                         |                                  |                         |                                   |                        |                                          |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 16,000   | 466.87                  | 04/23/12                         | 292.38                  | 0.00                              |                        | 174.49 Sale                              |
|                                                                                       | 1,000    | 29.18                   | 05/01/12                         | 20.54                   | 0.00                              |                        | 8.64 Sale                                |
| 11/24/14                                                                              | 17,000   | 496.05                  | VARIOUS                          | 312.92                  | 0.00                              |                        | 183.13 Total of 2 lots                   |
| Security total:                                                                       |          | 1,281.06                |                                  | 874.48                  | 0.00                              |                        | 406.58                                   |
| ASPEN INSURANCE HOLDINGS LTD ORD FOREIGN STOCK / CUSIP: G05384105 / Symbol: AHL       |          |                         |                                  |                         |                                   |                        |                                          |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 3,000    | 136.47                  | 12/18/12                         | 95.30                   | 0.00                              |                        | 41.17 Sale                               |
|                                                                                       | 4,000    | 181.95                  | 12/18/12                         | 127.11                  | 0.00                              |                        | 54.84 Sale                               |
| 06/10/14                                                                              | 7,000    | 318.42                  | VARIOUS                          | 222.41                  | 0.00                              |                        | 96.01 Total of 2 lots                    |
| 09/24/14                                                                              | 12,000   | 510.59                  | 12/19/12                         | 391.95                  | 0.00                              |                        | 118.64 Sale                              |
| 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 8,000    | 352.23                  | 12/19/12                         | 261.30                  | 0.00                              |                        | 90.93 Sale                               |
|                                                                                       | 4,000    | 176.12                  | 12/20/12                         | 130.58                  | 0.00                              |                        | 45.54 Sale                               |
| 10/28/14                                                                              | 12,000   | 528.35                  | VARIOUS                          | 391.88                  | 0.00                              |                        | 136.47 Total of 2 lots                   |
| 3 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                         |                                  |                         |                                   |                        |                                          |
|                                                                                       | 6,000    | 261.66                  | 12/20/12                         | 195.88                  | 0.00                              |                        | 65.78 Sale                               |
|                                                                                       | 2,000    | 87.22                   | 01/04/13                         | 66.19                   | 0.00                              |                        | 21.03 Sale                               |
|                                                                                       | 4,000    | 174.44                  | 01/07/13                         | 132.13                  | 0.00                              |                        | 42.31 Sale                               |
| 11/24/14                                                                              | 12,000   | 523.32                  | VARIOUS                          | 394.20                  | 0.00                              |                        | 129.12 Total of 3 lots                   |
| Security total:                                                                       |          | 1,880.68                |                                  | 1,400.44                | 0.00                              |                        | 480.24                                   |
| PLATINUM UNDERWRITERS HOLDINGS LTD FOREIGN STOCK / CUSIP: G7127P100 / Symbol: PTP     |          |                         |                                  |                         |                                   |                        |                                          |
| 09/24/14                                                                              | 8,000    | 490.07                  | 08/01/13                         | 466.07                  | 0.00                              |                        | 24.00 Sale                               |
| 10/28/14                                                                              | 8,000    | 492.87                  | 08/01/13                         | 466.08                  | 0.00                              |                        | 26.79 Sale                               |
| 11/24/14                                                                              | 7,000    | 513.37                  | 08/01/13                         | 407.82                  | 0.00                              |                        | 105.55 Sale                              |
| Security total:                                                                       |          | 1,496.31                |                                  | 1,339.97                | 0.00                              |                        | 156.34                                   |
| Totals:                                                                               |          | 240,497.16              |                                  | 169,262.44              | 38.62                             | W                      | 71,273.34                                |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|----------|--------------------------|------------------------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| AARONS INC CL A / CUSIP: 002535300 / Symbol: AAN                                      |          |                          |                              |                     |                               |                                          |                        |
| 3 tax lots for 04/11/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                              |                     |                               |                                          |                        |
|                                                                                       | 14,000   | 422.93                   | 12/16/09                     | 267.11              | 0.00                          | 155.82                                   | Sale                   |
|                                                                                       | 48,000   | 1,450.03                 | 02/25/10                     | 918.44              | 0.00                          | 531.59                                   | Sale                   |
|                                                                                       | 16,000   | 483.34                   | 08/02/10                     | 293.26              | 0.00                          | 190.08                                   | Sale                   |
| 04/11/14                                                                              | 78,000   | 2,356.30                 | VARIOUS                      | 1,478.81            | 0.00                          | 877.49                                   | Total of 3 lots        |
| 04/14/14                                                                              | 3,000    | 90.89                    | 08/02/10                     | 54.99               | 0.00                          | 35.90                                    | Sale                   |
| 06/10/14                                                                              | 11,000   | 380.26                   | 08/02/10                     | 201.62              | 0.00                          | 178.64                                   | Sale                   |
| 2 tax lots for 07/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                              |                     |                               |                                          |                        |
|                                                                                       | 5,000    | 154.42                   | 08/02/10                     | 91.64               | 0.00                          | 62.78                                    | Sale                   |
|                                                                                       | 8,000    | 245.83                   | 08/02/10                     | 146.63              | 0.00                          | 99.20                                    | Sale                   |
| 07/15/14                                                                              | 13,000   | 400.25                   | VARIOUS                      | 238.27              | 0.00                          | 161.98                                   | Total of 2 lots        |
|                                                                                       |          | 3,227.70                 |                              | 1,973.69            | 0.00                          | 1,254.01                                 |                        |

Security total:

ACTUANT CORP NEW CL A / CUSIP: 00508X203 / Symbol: ATU

|                                                                                       |        |          |          |          |      |          |                 |
|---------------------------------------------------------------------------------------|--------|----------|----------|----------|------|----------|-----------------|
| 5 tax lots for 03/27/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |      |          |                 |
|                                                                                       | 31,000 | 1,012.04 | 09/25/09 | 482.37   | 0.00 | 529.67   | Sale            |
|                                                                                       | 3,000  | 97.90    | 12/23/09 | 56.43    | 0.00 | 41.47    | Sale            |
|                                                                                       | 14,000 | 457.05   | 12/23/09 | 263.34   | 0.00 | 193.71   | Sale            |
|                                                                                       | 32,000 | 1,052.96 | 12/23/09 | 601.93   | 0.00 | 451.03   | Sale            |
|                                                                                       | 2,000  | 65.27    | 05/20/10 | 39.80    | 0.00 | 25.47    | Sale            |
| 03/27/14                                                                              | 82,000 | 2,685.22 | VARIOUS  | 1,443.87 | 0.00 | 1,241.35 | Total of 5 lots |
| 03/28/14                                                                              | 2,000  | 66.50    | 05/20/10 | 39.81    | 0.00 | 26.69    | Sale            |
| 06/30/14                                                                              | 10,000 | 345.23   | 05/20/10 | 199.01   | 0.00 | 146.22   | Sale            |
| 3 tax lots for 07/01/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |      |          |                 |
|                                                                                       | 3,000  | 104.50   | 05/20/10 | 59.71    | 0.00 | 44.79    | Sale            |
|                                                                                       | 8,000  | 278.66   | 10/22/10 | 184.86   | 0.00 | 93.80    | Sale            |
|                                                                                       | 14,000 | 486.14   | 10/22/10 | 323.51   | 0.00 | 162.63   | Sale            |
| 07/01/14                                                                              | 25,000 | 869.30   | VARIOUS  | 568.08   | 0.00 | 301.22   | Total of 3 lots |
| 2 tax lots for 07/02/14. Total proceeds (and cost when required) reported to the IRS. |        |          |          |          |      |          |                 |
|                                                                                       | 3,000  | 102.95   | 10/22/10 | 69.32    | 0.00 | 33.63    | Sale            |
|                                                                                       | 7,000  | 239.82   | 10/22/10 | 161.75   | 0.00 | 78.07    | Sale            |
| 07/02/14                                                                              | 10,000 | 342.77   | VARIOUS  | 231.07   | 0.00 | 111.70   | Total of 2 lots |

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\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

(continued)

**LONG-TERM TRANSACTIONS****5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

Report on Form 8949, Part II, with Box B checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                             | Quantity                                                                              | 6- Reported (Gross (Net | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|-------------------------------------------------------|---------------------------------------------------------------------------------------|-------------------------|------------------------------|---------------------|-------------------------------|------------------------------------------|------------------------|
|                                                       | Security total:                                                                       | 4,309.02                |                              | 2,481.84            | 0.00                          | 1,827.18                                 |                        |
| ARES CAPITAL CORP / CUSIP: 04010L103 / Symbol: ARCC   |                                                                                       |                         |                              |                     |                               |                                          |                        |
| 04/28/14                                              | 27.000                                                                                | 462.08                  | 01/13/10                     | 371.15              | 0.00                          | 90.93                                    | Sale C                 |
|                                                       |                                                                                       |                         |                              |                     |                               |                                          |                        |
|                                                       | 3 tax lots for 04/29/14. Total proceeds (and cost when required) reported to the IRS. |                         |                              |                     |                               |                                          |                        |
|                                                       | 6.000                                                                                 | 102.29                  | 01/13/10                     | 82.48               | 0.00                          | 19.81                                    | Sale C                 |
|                                                       | 2.000                                                                                 | 34.08                   | 01/28/10                     | 25.43               | 0.00                          | 8.65                                     | Sale C                 |
|                                                       | 27.000                                                                                | 460.29                  | 01/28/10                     | 343.35              | 0.00                          | 116.94                                   | Sale C                 |
| 04/29/14                                              | 35.000                                                                                | 596.66                  | VARIOUS                      | 451.26              | 0.00                          | 145.40                                   | Total of 3 lots        |
|                                                       |                                                                                       |                         |                              |                     |                               |                                          |                        |
|                                                       | 2 tax lots for 04/30/14. Total proceeds (and cost when required) reported to the IRS. |                         |                              |                     |                               |                                          |                        |
|                                                       | 4.000                                                                                 | 68.79                   | 01/28/10                     | 50.86               | 0.00                          | 17.93                                    | Sale C                 |
|                                                       | 21.000                                                                                | 360.09                  | 01/28/10                     | 267.05              | 0.00                          | 93.04                                    | Sale C                 |
| 04/30/14                                              | 25.000                                                                                | 428.88                  | VARIOUS                      | 317.91              | 0.00                          | 110.97                                   | Total of 2 lots        |
| 05/01/14                                              | 1.000                                                                                 | 17.17                   | 01/28/10                     | 12.72               | 0.00                          | 4.45                                     | Sale C                 |
|                                                       |                                                                                       |                         |                              |                     |                               |                                          |                        |
|                                                       | 2 tax lots for 05/02/14. Total proceeds (and cost when required) reported to the IRS. |                         |                              |                     |                               |                                          |                        |
|                                                       | 44.000                                                                                | 759.39                  | 01/28/10                     | 559.53              | 0.00                          | 199.86                                   | Sale C                 |
|                                                       | 4.000                                                                                 | 69.04                   | 03/30/10                     | 58.82               | 0.00                          | 10.22                                    | Sale C                 |
| 05/02/14                                              | 48.000                                                                                | 828.43                  | VARIOUS                      | 618.35              | 0.00                          | 210.08                                   | Total of 2 lots        |
| 05/07/14                                              | 70.000                                                                                | 1,178.53                | 03/30/10                     | 1,029.28            | 0.00                          | 149.25                                   | Sale C                 |
|                                                       |                                                                                       |                         |                              |                     |                               |                                          |                        |
|                                                       | 5 tax lots for 05/09/14. Total proceeds (and cost when required) reported to the IRS. |                         |                              |                     |                               |                                          |                        |
|                                                       | 2.000                                                                                 | 33.10                   | 03/30/10                     | 29.41               | 0.00                          | 3.69                                     | Sale C                 |
|                                                       | 8.000                                                                                 | 132.39                  | 03/31/10                     | 108.96              | 0.00                          | 23.43                                    | Sale C                 |
|                                                       | 34.000                                                                                | 562.68                  | 05/18/10                     | 471.84              | 0.00                          | 90.84                                    | Sale C                 |
|                                                       | 10.000                                                                                | 165.49                  | 06/30/10                     | 138.90              | 0.00                          | 26.59                                    | Sale C                 |
|                                                       | 9.000                                                                                 | 148.94                  | 12/10/10                     | 154.31              | 0.00                          | -5.37                                    | Sale C                 |
| 05/09/14                                              | 63.000                                                                                | 1,042.60                | VARIOUS                      | 903.42              | 0.00                          | 139.18                                   | Total of 5 lots        |
| 05/12/14                                              | 37.000                                                                                | 611.32                  | 12/10/10                     | 634.39              | 0.00                          | -23.07                                   | Sale C                 |
|                                                       | Security total:                                                                       | 5,165.67                |                              | 4,338.48            | 0.00                          | 827.19                                   |                        |
|                                                       |                                                                                       |                         |                              |                     |                               |                                          |                        |
| ASSOC BANC CORP WISC / CUSIP: 045487105 / Symbol: ASB |                                                                                       |                         |                              |                     |                               |                                          |                        |
| 01/31/14                                              | 16.000                                                                                | 265.65                  | 12/10/10                     | 235.87              | 0.00                          | 29.78                                    | Sale C                 |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(continued)

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

## LONG-TERM TRANSACTIONS

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net | 1d- Proceeds & Reported (Gross (Net | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|-------------------------|-------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| CNO FINL GROUP INC COM / CUSIP: 12621E103 / Symbol: CNO                               |                 |                         |                                     |               |                     |                               |                                          |                        |
| 3 tax lots for 04/14/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 1,000           | 17.02                   | 17.02                               | 05/06/10      | 6.01                | 0.00                          | 11.01                                    | Sale                   |
|                                                                                       | 15,000          | 252.30                  | 252.30                              | 05/06/10      | 90.27               | 0.00                          | 162.03                                   | Sale                   |
|                                                                                       | 52,000          | 872.78                  | 872.78                              | 05/06/10      | 312.94              | 0.00                          | 559.84                                   | Sale                   |
| 04/14/14                                                                              | 68,000          | 1,142.10                | 1,142.10                            | VARIOUS       | 409.22              | 0.00                          | 732.88                                   | Total of 3 lots        |
| 04/15/14                                                                              | 1,000           | 16.63                   | 16.63                               | 05/06/10      | 6.02                | 0.00                          | 10.61                                    | Sale                   |
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS  |                 |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 6,000           | 101.48                  | 101.48                              | 05/06/10      | 36.11               | 0.00                          | 65.37                                    | Sale                   |
|                                                                                       | 10,000          | 169.13                  | 169.13                              | 05/20/10      | 51.38               | 0.00                          | 117.75                                   | Sale                   |
| 06/10/14                                                                              | 16,000          | 270.61                  | 270.61                              | VARIOUS       | 87.49               | 0.00                          | 183.12                                   | Total of 2 lots        |
| 09/24/14                                                                              | 29,000          | 496.33                  | 496.33                              | 05/20/10      | 149.02              | 0.00                          | 347.31                                   | Sale                   |
| 10/28/14                                                                              | 34,000          | 600.09                  | 600.09                              | 05/20/10      | 174.70              | 0.00                          | 425.39                                   | Sale                   |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 23,000          | 402.11                  | 402.11                              | 05/20/10      | 118.18              | 0.00                          | 283.93                                   | Sale                   |
|                                                                                       | 2,000           | 34.97                   | 34.97                               | 10/22/10      | 11.07               | 0.00                          | 23.90                                    | Sale                   |
| 11/24/14                                                                              | 25,000          | 437.08                  | 437.08                              | VARIOUS       | 129.25              | 0.00                          | 307.83                                   | Total of 2 lots        |
|                                                                                       | Security total: | 2,962.84                | 2,962.84                            |               | 955.70              | 0.00                          | 2,007.14                                 |                        |
| FIRST CASH FINCL SERVICES / CUSIP: 31942D107 / Symbol: FCFS                           |                 |                         |                                     |               |                     |                               |                                          |                        |
| 09/24/14                                                                              | 2,000           | 112.12                  | 112.12                              | 05/18/10      | 43.45               | 0.00                          | 68.67                                    | Sale                   |
| FIRSTMERIT CORPORATION COMMON STOCK / CUSIP: 337915102 / Symbol: FMER                 |                 |                         |                                     |               |                     |                               |                                          |                        |
| 3 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 6,000           | 119.94                  | 119.94                              | 11/30/10      | 104.91              | 0.00                          | 15.03                                    | Sale                   |
|                                                                                       | 7,000           | 139.93                  | 139.93                              | 11/30/10      | 121.71              | 0.00                          | 18.22                                    | Sale                   |
|                                                                                       | 19,000          | 379.80                  | 379.80                              | 12/09/10      | 357.40              | 0.00                          | 22.40                                    | Sale                   |
| 06/10/14                                                                              | 32,000          | 639.67                  | 639.67                              | VARIOUS       | 584.02              | 0.00                          | 55.65                                    | Total of 3 lots        |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                                     |               |                     |                               |                                          |                        |
|                                                                                       | 9,000           | 159.75                  | 159.75                              | 12/09/10      | 169.30              | 0.00                          | -9.55                                    | Sale                   |
|                                                                                       | 29,000          | 514.73                  | 514.73                              | 12/10/10      | 546.61              | 0.00                          | -31.88                                   | Sale                   |
| 09/24/14                                                                              | 38,000          | 674.48                  | 674.48                              | VARIOUS       | 715.91              | 0.00                          | -41.43                                   | Total of 2 lots        |
|                                                                                       | Security total: | 1,314.15                | 1,314.15                            |               | 1,299.93            | 0.00                          | 14.22                                    |                        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

2014 1099-B\*

(continued)

OMB No. 1545-0715

## Proceeds from Broker and Barter Exchange Transactions

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

## 1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity        | 6- Reported (Gross (Net | 1d- Proceeds & Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional Information |
|---------------------------------------------------------------------------------------|-----------------|-------------------------|------------------------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| HCC INSURANCE HLDGS INC COMMON STOCK / CUSIP: 404132102 / Symbol: HCC                 | 10,000          | 479.59                  | 08/04/08                     | 231.05              | 0.00                          | 248.54                                   | Sale                   |
| 06/10/14                                                                              |                 |                         |                              |                     |                               |                                          | C                      |
| 3 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                       | 8,000           | 389.35                  | 08/04/08                     | 184.85              | 0.00                          | 204.50                                   | Sale                   |
|                                                                                       | 7,000           | 340.68                  | 09/23/08                     | 184.52              | 0.00                          | 156.16                                   | Sale                   |
|                                                                                       | 1,000           | 48.67                   | 12/19/08                     | 25.71               | 0.00                          | 22.96                                    | Sale                   |
| 01/24/14                                                                              | 16,000          | 778.70                  | VARIOUS                      | 395.08              | 0.00                          | 383.62                                   | Total of 3 lots        |
| 4 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS  |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                       | 6,000           | 305.21                  | 12/19/08                     | 154.29              | 0.00                          | 150.92                                   | Sale                   |
|                                                                                       | 1,000           | 50.87                   | 02/23/09                     | 22.06               | 0.00                          | 28.81                                    | Sale                   |
|                                                                                       | 9,000           | 457.82                  | 04/29/09                     | 219.73              | 0.00                          | 238.09                                   | Sale                   |
|                                                                                       | 1,000           | 50.87                   | 05/27/09                     | 24.76               | 0.00                          | 26.11                                    | Sale                   |
| 10/28/14                                                                              | 17,000          | 864.77                  | VARIOUS                      | 420.84              | 0.00                          | 443.93                                   | Total of 4 lots        |
| 2 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS  |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                       | 7,000           | 371.32                  | 05/27/09                     | 173.30              | 0.00                          | 198.02                                   | Sale                   |
|                                                                                       | 8,000           | 424.37                  | 12/16/09                     | 215.94              | 0.00                          | 208.43                                   | Sale                   |
| 11/24/14                                                                              | 15,000          | 795.69                  | VARIOUS                      | 389.24              | 0.00                          | 406.45                                   | Total of 2 lots        |
|                                                                                       | Security total: | 2,918.75                |                              | 1,436.21            | 0.00                          | 1,482.54                                 |                        |
| PACKAGING CORP OF AMERICA / CUSIP: 695156109 / Symbol: PKG                            |                 |                         |                              |                     |                               |                                          |                        |
| 06/10/14                                                                              | 4,000           | 283.47                  | 10/19/10                     | 94.79               | 0.00                          | 188.68                                   | Sale                   |
| 2 tax lots for 07/10/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                       | 1,000           | 67.99                   | 10/19/10                     | 23.69               | 0.00                          | 44.30                                    | Sale                   |
|                                                                                       | 15,000          | 1,021.44                | 10/19/10                     | 355.45              | 0.00                          | 665.99                                   | Sale                   |
| 07/10/14                                                                              | 16,000          | 1,089.43                | VARIOUS                      | 379.14              | 0.00                          | 710.29                                   | Total of 2 lots        |
|                                                                                       | Security total: | 1,372.90                |                              | 473.93              | 0.00                          | 898.97                                   |                        |
| PROSPERITY BANCSHARES INC / CUSIP: 743606105 / Symbol: PB                             |                 |                         |                              |                     |                               |                                          |                        |
| 06/10/14                                                                              | 8,000           | 487.11                  | 04/29/09                     | 231.07              | 0.00                          | 256.04                                   | Sale                   |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |                 |                         |                              |                     |                               |                                          |                        |
|                                                                                       | 4,000           | 234.24                  | 04/29/09                     | 115.54              | 0.00                          | 118.70                                   | Sale                   |
|                                                                                       | 7,000           | 409.91                  | 06/30/10                     | 244.71              | 0.00                          | 165.20                                   | Sale                   |
| 09/24/14                                                                              | 11,000          | 644.15                  | VARIOUS                      | 360.25              | 0.00                          | 283.90                                   | Total of 2 lots        |

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barter Exchange Transactions

OMB No. 1545-0715

(Continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                          | Quantity                                                                              | 6- Reported (Gross Net) | 1d- Proceeds & Reported (Gross Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|--------------------------------------------------------------------|---------------------------------------------------------------------------------------|-------------------------|-------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| PROSPERITY BANCSHARES INC / CUSIP: 743606105 / Symbol: PB (cont'd) |                                                                                       |                         |                                     |               |                     |                               |                                          |                        |
| 10/28/14                                                           | 10,000                                                                                |                         | 583.39                              | 06/30/10      | 349.58              | 0.00                          | 233.81                                   | Sale                   |
| 11/24/14                                                           | 11,000                                                                                |                         | 645.53                              | 06/30/10      | 384.55              | 0.00                          | 260.98                                   | Sale                   |
|                                                                    | 3 tax lots for 12/01/14. Total proceeds (and cost when required) reported to the IRS  |                         |                                     |               |                     |                               |                                          |                        |
|                                                                    | 4,000                                                                                 |                         | 212.96                              | 06/30/10      | 139.83              | 0.00                          | 73.13                                    | Sale                   |
|                                                                    | 7,000                                                                                 |                         | 372.68                              | 08/02/10      | 239.14              | 0.00                          | 133.54                                   | Sale                   |
|                                                                    | 13,000                                                                                |                         | 694.42                              | 08/02/10      | 444.10              | 0.00                          | 250.32                                   | Sale                   |
| 12/01/14                                                           | 24,000                                                                                |                         | 1,280.06                            | VARIOUS       | 823.07              | 0.00                          | 456.99                                   | Total of 3 lots        |
|                                                                    | 4 tax lots for 12/02/14. Total proceeds (and cost when required) reported to the IRS. |                         |                                     |               |                     |                               |                                          |                        |
|                                                                    | 7,000                                                                                 |                         | 377.11                              | 08/02/10      | 239.14              | 0.00                          | 137.97                                   | Sale                   |
|                                                                    | 1,000                                                                                 |                         | 54.30                               | 11/30/10      | 32.48               | 0.00                          | 21.82                                    | Sale                   |
|                                                                    | 13,000                                                                                |                         | 700.35                              | 11/30/10      | 422.27              | 0.00                          | 278.08                                   | Sale                   |
|                                                                    | 1,000                                                                                 |                         | 54.29                               | 12/09/10      | 36.65               | 0.00                          | 17.64                                    | Sale                   |
| 12/02/14                                                           | 22,000                                                                                |                         | 1,186.05                            | VARIOUS       | 730.54              | 0.00                          | 455.51                                   | Total of 4 lots        |
| 12/03/14                                                           | 1,000                                                                                 |                         | 54.28                               | 12/09/10      | 36.64               | 0.00                          | 17.64                                    | Sale                   |
|                                                                    | Security total:                                                                       |                         | 4,880.57                            |               | 2,915.70            | 0.00                          | 1,964.87                                 |                        |

SILGAN HOLDINGS INC / CUSIP: 827048109 / Symbol: SLGN

|                                                                                       |                                                                                       |  |          |          |          |      |        |                 |
|---------------------------------------------------------------------------------------|---------------------------------------------------------------------------------------|--|----------|----------|----------|------|--------|-----------------|
| 2 tax lots for 06/10/14. Total proceeds (and cost when required) reported to the IRS. |                                                                                       |  |          |          |          |      |        |                 |
|                                                                                       | 1,000                                                                                 |  | 49.40    | 04/01/10 | 31.03    | 0.00 | 18.37  | Sale            |
|                                                                                       | 10,000                                                                                |  | 494.00   | 04/12/10 | 315.73   | 0.00 | 178.27 | Sale            |
| 06/10/14                                                                              | 11,000                                                                                |  | 543.40   | VARIOUS  | 346.76   | 0.00 | 196.64 | Total of 2 lots |
| 09/24/14                                                                              | 12,000                                                                                |  | 567.83   | 04/12/10 | 378.88   | 0.00 | 188.95 | Sale            |
|                                                                                       | 2 tax lots for 10/28/14. Total proceeds (and cost when required) reported to the IRS. |  |          |          |          |      |        |                 |
|                                                                                       | 8,000                                                                                 |  | 393.35   | 04/12/10 | 252.59   | 0.00 | 140.76 | Sale            |
|                                                                                       | 6,000                                                                                 |  | 295.01   | 05/07/10 | 168.82   | 0.00 | 126.19 | Sale            |
| 10/28/14                                                                              | 14,000                                                                                |  | 688.36   | VARIOUS  | 421.41   | 0.00 | 266.95 | Total of 2 lots |
| 11/24/14                                                                              | 13,000                                                                                |  | 647.00   | 05/07/10 | 365.78   | 0.00 | 281.22 | Sale            |
|                                                                                       | Security total:                                                                       |  | 2,446.59 |          | 1,512.83 | 0.00 | 933.76 |                 |

TELEFLEX INC COMMON STOCK / CUSIP: 879369106 / Symbol: TFX

|          |       |  |        |          |        |      |        |      |
|----------|-------|--|--------|----------|--------|------|--------|------|
| 06/10/14 | 5,000 |  | 532.71 | 07/31/09 | 240.79 | 0.00 | 291.92 | Sale |
|----------|-------|--|--------|----------|--------|------|--------|------|

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UBS FINANCIAL SERVICES INC.

Account PF 00124

## Proceeds from Broker and Barrier Exchange Transactions

2014 1099-B\*

OMB No. 1545-0715

(continued)

## LONG-TERM TRANSACTIONS

## 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

| 1c- Date sold or disposed                                                             | Quantity | 6- Reported (Gross (Net) | 1d- Proceeds & Reported (Gross (Net) | Date acquired | Cost or other basis | Adjustments & Code(s), if any | Gain or loss(-) & 7- Loss not allowed(X) | Additional information |
|---------------------------------------------------------------------------------------|----------|--------------------------|--------------------------------------|---------------|---------------------|-------------------------------|------------------------------------------|------------------------|
| TELEFLEX INC COMMON STOCK / CUSIP: 879369106 / Symbol: TFX (cont'd)                   |          |                          |                                      |               |                     |                               |                                          |                        |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 6,000    | 637.24                   | 637.24                               | 07/31/09      | 288.95              | 0.00                          | 348.29                                   | Sale                   |
| 09/24/14                                                                              | 1,000    | 106.21                   | 106.21                               | 05/24/10      | 55.85               | 0.00                          | 50.36                                    | Sale                   |
|                                                                                       | 7,000    | 743.45                   | 743.45                               | VARIOUS       | 344.80              | 0.00                          | 398.65                                   | Total of 2 lots        |
| 2 tax lots for 10/15/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 4,000    | 415.15                   | 415.15                               | 05/24/10      | 223.40              | 0.00                          | 191.75                                   | Sale                   |
| 10/15/14                                                                              | 9,000    | 934.08                   | 934.08                               | 12/09/10      | 466.50              | 0.00                          | 467.58                                   | Sale                   |
|                                                                                       | 13,000   | 1,349.23                 | 1,349.23                             | VARIOUS       | 689.90              | 0.00                          | 659.33                                   | Total of 2 lots        |
| 10/28/14                                                                              | 5,000    | 555.24                   | 555.24                               | 12/09/10      | 259.17              | 0.00                          | 296.07                                   | Sale                   |
| 11/24/14                                                                              | 4,000    | 469.55                   | 469.55                               | 12/09/10      | 207.33              | 0.00                          | 262.22                                   | Sale                   |
|                                                                                       |          | 3,650.18                 | 3,650.18                             |               | 1,741.99            | 0.00                          | 1,908.19                                 |                        |
| Security total:                                                                       |          |                          |                                      |               |                     |                               |                                          |                        |
| WESCO INTERNATIONAL INC / CUSIP: 95082P105 / Symbol: WCC                              |          |                          |                                      |               |                     |                               |                                          |                        |
| 06/10/14                                                                              | 4,000    | 368.87                   | 368.87                               | 04/14/10      | 151.82              | 0.00                          | 217.05                                   | Sale                   |
| 2 tax lots for 09/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 3,000    | 242.58                   | 242.58                               | 04/14/10      | 113.86              | 0.00                          | 128.72                                   | Sale                   |
| 09/24/14                                                                              | 5,000    | 404.29                   | 404.29                               | 05/21/10      | 178.48              | 0.00                          | 225.81                                   | Sale                   |
|                                                                                       | 8,000    | 646.87                   | 646.87                               | VARIOUS       | 292.34              | 0.00                          | 354.53                                   | Total of 2 lots        |
| 10/28/14                                                                              | 8,000    | 633.67                   | 633.67                               | 05/21/10      | 285.57              | 0.00                          | 348.10                                   | Sale                   |
| 4 tax lots for 11/24/14. Total proceeds (and cost when required) reported to the IRS. |          |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       | 4,000    | 341.55                   | 341.55                               | 05/21/10      | 142.78              | 0.00                          | 198.77                                   | Sale                   |
|                                                                                       | 3,000    | 254.47                   | 254.47                               | 10/19/10      | 119.85              | 0.00                          | 134.62                                   | Sale                   |
|                                                                                       | 4,000    | 341.55                   | 341.55                               | 10/19/10      | 159.79              | 0.00                          | 181.76                                   | Sale                   |
|                                                                                       | 18,000   | 1,520.06                 | 1,520.06                             | 10/19/10      | 719.07              | 0.00                          | 800.99                                   | Sale                   |
| 11/24/14                                                                              | 29,000   | 2,457.63                 | 2,457.63                             | VARIOUS       | 1,141.49            | 0.00                          | 1,316.14                                 | Total of 4 lots        |
|                                                                                       |          | 4,107.04                 | 4,107.04                             |               | 1,871.22            | 0.00                          | 2,235.82                                 |                        |
| Security total:                                                                       |          |                          |                                      |               |                     |                               |                                          |                        |
| WOLVERINE WORLD WIDE INC COMMON STOCK / CUSIP: 978097103 / Symbol: WWW                |          |                          |                                      |               |                     |                               |                                          |                        |
| 06/10/14                                                                              | 10,000   | 266.76                   | 266.76                               | 12/10/10      | 156.80              | 0.00                          | 109.96                                   | Sale                   |
| 09/24/14                                                                              | 10,000   | 252.65                   | 252.65                               | 12/10/10      | 156.80              | 0.00                          | 95.85                                    | Sale                   |
|                                                                                       |          | 519.41                   | 519.41                               |               | 313.60              | 0.00                          | 205.81                                   |                        |
| Security total:                                                                       |          |                          |                                      |               |                     |                               |                                          |                        |
|                                                                                       |          | 37,252.59                | 37,252.59                            |               | 21,594.44           | 0.00                          | 15,658.15                                |                        |

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

\*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any" are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.