Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ **Do not enter social security numbers on this form as it may be made public.**

▶ **Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning , and ending

Name of foundation
THE EHLERT FAMILY FOUNDATION
C/O STACY HARDING

**A Employer identification number**
02-0626100

Number and street (or P O box number if mail is not delivered to street address): 100 E STATION ST
Room/suite: 316

**B** Telephone number
847-489-8744

City or town, state or province, country, and ZIP or foreign postal code
BARRINGTON, IL 60010

**C** If exemption application is pending, check here ▶ ☐

**G** Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

**D** 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

**H** Check type of organization: [X] Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

**I** Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 535,032.

**J** Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)

| | | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|
| Revenue | 1 Contributions, gifts, grants, etc., received | | | N/A | |
| | 2 Check ▶ [X] if the foundation is not required to attach Sch B | | | | |
| | 3 Interest on savings and temporary cash investments | | | | |
| | 4 Dividends and interest from securities | 15,341. | 15,341. | | STATEMENT 1 |
| | 5a Gross rents | | | | |
| | b Net rental income or (loss) | | | | |
| | 6a Net gain or (loss) from sale of assets not on line 10 | 18,493. | | | |
| | b Gross sales price for all assets on line 6a 209,486. | | | | |
| | 7 Capital gain net income (from Part IV, line 2) | | 18,493. | | |
| | 8 Net short-term capital gain | | | | |
| | 9 Income modifications | | | | |
| | 10a Gross sales less returns and allowances | | | | |
| | b Less Cost of goods sold | | | | |
| | c Gross profit or (loss) | | | | |
| | 11 Other income | | | | |
| | 12 Total. Add lines 1 through 11 | 33,834. | 33,834. | | |
| Operating and Administrative Expenses | 13 Compensation of officers, directors, trustees, etc | 0. | 0. | | 0. |
| | 14 Other employee salaries and wages | | | | |
| | 15 Pension plans, employee benefits | | | | |
| | 16a Legal fees | | | | |
| | b Accounting fees | | | | |
| | c Other professional fees STMT 2 | 7,070. | 2,121. | | 4,949. |
| | 17 Interest | | | | |
| | 18 Taxes STMT 3 | 1,625. | 276. | | 0. |
| | 19 Depreciation and depletion | | | | |
| | 20 Occupancy | | | | |
| | 21 Travel, conferences, and meetings | | | | |
| | 22 Printing and publications | | | | |
| | 23 Other expenses STMT 4 | 15. | 0. | | 15. |
| | 24 Total operating and administrative expenses. Add lines 13 through 23 | 8,710. | 2,397. | | 4,964. |
| | 25 Contributions, gifts, grants paid | 10,000. | | | 10,000. |
| | 26 Total expenses and disbursements. Add lines 24 and 25 | 18,710. | 2,397. | | 14,964. |
| | 27 Subtract line 26 from line 12: | | | | |
| | a Excess of revenue over expenses and disbursements | 15,124. | | | |
| | b Net investment income (if negative, enter -0-) | | 31,437. | | |
| | c Adjusted net income (if negative, enter -0-) | | | N/A | |

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22

13050422 758396 00044000001 2014.03020 THE EHLERT FAMILY FOUNDATIO 00044001

| Part II Balance Sheets (Attached schedules and amounts in the description column should be for end-of-year amounts only) | | Beginning of year (a) Book Value | End of year (b) Book Value | (c) Fair Market Value |
|---|---|---|---|---|
| **Assets** | | | | |
| 1 | Cash - non-interest-bearing | | | |
| 2 | Savings and temporary cash investments | 26,161. | 28,283. | 28,283. |
| 3 | Accounts receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons | | | |
| 7 | Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶ | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10a | Investments - U.S. and state government obligations | | | |
| b | Investments - corporate stock STMT 5 | 188,318. | 216,500. | 283,563. |
| c | Investments - corporate bonds | | | |
| 11 | Investments - land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶ | | | |
| 12 | Investments - mortgage loans | | | |
| 13 | Investments - other STMT 6 | 239,432. | 224,252. | 223,186. |
| 14 | Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I) | 453,911. | 469,035. | 535,032. |
| **Liabilities** | | | | |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | 0. | 0. | |
| **Net Assets or Fund Balances** | | | | |
| | **Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | | | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.** | | | |
| 27 | Capital stock, trust principal, or current funds | 0. | 0. | |
| 28 | Paid-in or capital surplus, or land, bldg., and equipment fund | 0. | 0. | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | 453,911. | 469,035. | |
| 30 | **Total net assets or fund balances** | 453,911. | 469,035. | |
| 31 | **Total liabilities and net assets/fund balances** | 453,911. | 469,035. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 453,911. |
| 2 | Enter amount from Part I, line 27a | 2 | 15,124. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | 0. |
| 4 | Add lines 1, 2, and 3 | 4 | 469,035. |
| 5 | Decreases not included in line 2 (itemize) ▶ | 5 | 0. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 469,035. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo., day, yr.) | (d) Date sold (mo., day, yr.) |
|---|---|---|---|---|
| 1a | PUBLICLY TRADED SECURITIES | P | | |
| b | CAPITAL GAINS DIVIDENDS | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | 206,181. | | 190,993. | 15,188. |
| b | 3,305. | | | 3,305. |
| c | | | | |
| d | | | | |
| e | | | | |

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

| | (i) F.M.V. as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col. (i) over col. (j), if any | (l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h)) |
|---|---|---|---|---|
| a | | | | 15,188. |
| b | | | | 3,305. |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } — 2 — 18,493.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 — 3 — N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col. (b) divided by col. (c)) |
|---|---|---|---|
| 2013 | 34,047. | 486,779. | .069943 |
| 2012 | 19,200. | 450,915. | .042580 |
| 2011 | 25,000. | 453,299. | .055151 |
| 2010 | 29,043. | 443,993. | .065413 |
| 2009 | 24,960. | 406,309. | .061431 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | .294518 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | .058904 |
| 4 | Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 | 4 | 528,566. |
| 5 | Multiply line 4 by line 3 | 5 | 31,135. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 314. |
| 7 | Add lines 5 and 6 | 7 | 31,449. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 14,964. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

## Part VI | Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | | | |
|---|---|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions) | | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | | | 1 | 629. |
| c | All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b). | | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 2 | 0. |
| 3 | Add lines 1 and 2 | | | 3 | 629. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) | | | 4 | 0. |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0- | | | 5 | 629. |
| 6 | Credits/Payments: | | | | |
| a | 2014 estimated tax payments and 2013 overpayment credited to 2014 | 6a | 680. | | |
| b | Exempt foreign organizations - tax withheld at source | 6b | | | |
| c | Tax paid with application for extension of time to file (Form 8868) | 6c | | | |
| d | Backup withholding erroneously withheld | 6d | | | |
| 7 | Total credits and payments. Add lines 6a through 6d | | | 7 | 680. |
| 8 | Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached | | | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | | | 9 | |
| 10 | **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | | | 10 | 51. |
| 11 | Enter the amount of line 10 to be: **Credited to 2015 estimated tax** ▶ | 51. | **Refunded** ▶ | 11 | 0. |

## Part VII-A | Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? *If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.* | 1b | | X |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0. | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0. | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A | 4b | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ IL | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | X |

**Part VII-A Statements Regarding Activities** *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |

Website address ▶ N/A

14 The books are in care of ▶ STACY HARDING Telephone no. ▶847-489-8744
Located at ▶100 E STATION ST #316, BARRINGTON, IL ZIP+4 ▶60010

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

| | | | Yes | No |
|---|---|---|---|---|
| 16 | At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ▶ | | | |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

| | | | | Yes | No |
|---|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | | |
| 1a | During the year did the foundation (either directly or indirectly): | | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? | ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? | ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? | ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? | ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception**. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) | ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | N/A | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? | | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)): | | | | |
| a | At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? | ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ____, ____, ____, ____ | | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) | N/A | 2b | | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____ | | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? | ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2014 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.)* | N/A | 3b | | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? | | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

5a During the year did the foundation pay or incur any amount to:
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions) ☐ Yes ☒ No
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**
Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No
*If "Yes," attach the statement required by Regulations section 53.4945-5(d).*

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)
*If "Yes" to 6b, file Form 8870.*

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation.**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| C. JAMES EHLERT, JR.<br>1436 NIGHTHAWK POINTE<br>NAPLES, FL 34105 | PRESIDENT<br>5.00 | 0. | 0. | 0. |
| MARILYN EHLERT<br>1436 NIGHTHAWK POINTE<br>NAPLES, FL 34105 | SECRETARY/TREASURER<br>5.00 | 0. | 0. | 0. |
| STACY LEE HARDING<br>100 E STATION ST #316<br>BARRINGTON, IL 60010 | DIRECTOR<br>5.00 | 0. | 0. | 0. |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total** number of other employees paid over $50,000 ▶ 0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| NONE | | |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services ▶ | | 0 |

**Part IX-A Summary of Direct Charitable Activities**

| List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc. | Expenses |
|---|---|
| 1 N/A | |
| 2 | |
| 3 | |
| 4 | |

**Part IX-B Summary of Program-Related Investments**

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2. | Amount |
|---|---|
| 1 N/A | |
| 2 | |
| All other program-related investments. See instructions. 3 | |
| **Total.** Add lines 1 through 3 ▶ | 0. |

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes: | | |
| a | Average monthly fair market value of securities | 1a | 507,390. |
| b | Average of monthly cash balances | 1b | 29,225. |
| c | Fair market value of all other assets | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 536,615. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0. | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | 0. |
| 3 | Subtract line 2 from line 1d | 3 | 536,615. |
| 4 | Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions) | 4 | 8,049. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4 | 5 | 528,566. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 26,428. |

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 26,428. |
| 2a | Tax on investment income for 2014 from Part VI, line 5 | 2a | 629. | | |
| b | Income tax for 2014. (This does not include the tax from Part VI.) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 629. |
| 3 | Distributable amount before adjustments. Subtract line 2c from line 1 | | | 3 | 25,799. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | 0. |
| 5 | Add lines 3 and 4 | | | 5 | 25,799. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | 0. |
| 7 | **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 | | | 7 | 25,799. |

**Part XII Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes: | | |
| a | Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 | 1a | 14,964. |
| b | Program-related investments - total from Part IX-B | 1b | 0. |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the: | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 14,964. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b | 5 | 0. |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 14,964. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** **Undistributed Income** (see instructions)

| | (a) Corpus | (b) Years prior to 2013 | (c) 2013 | (d) 2014 |
|---|---|---|---|---|
| 1 Distributable amount for 2014 from Part XI, line 7 | | | | 25,799. |
| 2 Undistributed income, if any, as of the end of 2014 | | | | |
| a Enter amount for 2013 only | | | 0. | |
| b Total for prior years: ____, ____, ____ | | 0. | | |
| 3 Excess distributions carryover, if any, to 2014: | | | | |
| a From 2009 3,918. | | | | |
| b From 2010 6,967. | | | | |
| c From 2011 2,694. | | | | |
| d From 2012 | | | | |
| e From 2013 11,034. | | | | |
| f Total of lines 3a through e | 24,613. | | | |
| 4 Qualifying distributions for 2014 from Part XII, line 4: ▶ $ 14,964. | | | | |
| a Applied to 2013, but not more than line 2a | | | 0. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | 0. | | |
| c Treated as distributions out of corpus (Election required - see instructions) | 0. | | | |
| d Applied to 2014 distributable amount | | | | 14,964. |
| e Remaining amount distributed out of corpus | 0. | | | |
| 5 Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a).) | 10,835. | | | 10,835. |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | 13,778. | | | |
| b Prior years' undistributed income. Subtract line 4b from line 2b | | 0. | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | 0. | | |
| d Subtract line 6c from line 6b. Taxable amount - see instructions | | 0. | | |
| e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr. | | | 0. | |
| f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 | | | | 0. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) | 0. | | | |
| 8 Excess distributions carryover from 2009 not applied on line 5 or line 7 | 0. | | | |
| 9 **Excess distributions carryover to 2015.** Subtract lines 7 and 8 from line 6a | 13,778. | | | |
| 10 Analysis of line 9: | | | | |
| a Excess from 2010 50. | | | | |
| b Excess from 2011 2,694. | | | | |
| c Excess from 2012 | | | | |
| d Excess from 2013 11,034. | | | | |
| e Excess from 2014 | | | | |

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2014 | (b) 2013 | (c) 2012 | (d) 2011 | (e) Total |
| 2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

C. JAMES EHLERT, JR.

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient<br>Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| a *Paid during the year* | | | | |
| JOURNEYCARE FOUNDATION<br>405 LAKE ZURICH ROAD<br>BARRINGTON, IL 60010 | NONE | PC | CHARITABLE | 10,000. |
| | | | | |
| | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3a | 10,000. |
| b *Approved for future payment*<br><br>NONE | | | | |
| | | | | |
| | | | | |
| **Total** | | | ▶ 3b | 0. |

**Part XVI-A Analysis of Income-Producing Activities**

| Enter gross amounts unless otherwise indicated. | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | | | |
| 4 Dividends and interest from securities | | | 14 | 15,341. | |
| 5 Net rental income or (loss) from real estate: | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 18,493. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue: | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal. Add columns (b), (d), and (e) | | 0. | | 33,834. | 0. |

13 **Total.** Add line 12, columns (b), (d), and (e) 13 33,834.

(See worksheet in line 13 instructions to verify calculations.)

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). |
|---|---|
| | N/A |

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of: | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions: | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | | N/A | |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| N/A | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Stacy Harding | 5-15-15 | PRESIDENT

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self- employed | PTIN |
|---|---|---|---|---|
| MICHAEL BOBAN | M. Boban | 4-22-15 | | P00732752 |
| Firm's name ▶ WARADY & DAVIS LLP | | | Firm's EIN ▶ 36-2170602 | |
| Firm's address ▶ 1717 DEERFIELD RD SUITE 300S DEERFIELD, IL 60015 | | | Phone no. (847)267-9600 | |

Form **990-PF** (2014)

423622 11-24-14

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

| SOURCE | GROSS AMOUNT | CAPITAL GAINS DIVIDENDS | (A) REVENUE PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME |
|---|---|---|---|---|---|
| MORGAN STANLEY BANK | 18,641. | 3,305. | 15,336. | 15,336. | |
| MORGAN STANLEY BANK | 5. | 0. | 5. | 5. | |
| TO PART I, LINE 4 | 18,646. | 3,305. | 15,341. | 15,341. | |

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 2

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| BROKER FEES | 7,070. | 2,121. | | 4,949. |
| TO FORM 990-PF, PG 1, LN 16C | 7,070. | 2,121. | | 4,949. |

FORM 990-PF TAXES STATEMENT 3

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST-MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FOREIGN TAXES | 276. | 276. | | 0. |
| FEDERAL EXCISE TAX | 1,349. | 0. | | 0. |
| TO FORM 990-PF, PG 1, LN 18 | 1,625. | 276. | | 0. |

FORM 990-PF OTHER EXPENSES STATEMENT 4

| DESCRIPTION | (A) EXPENSES PER BOOKS | (B) NET INVEST- MENT INCOME | (C) ADJUSTED NET INCOME | (D) CHARITABLE PURPOSES |
|---|---|---|---|---|
| FILING FEES | 15. | 0. | | 15. |
| TO FORM 990-PF, PG 1, LN 23 | 15. | 0. | | 15. |

FORM 990-PF CORPORATE STOCK STATEMENT 5

| DESCRIPTION | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|
| MORGAN STANLEY - SEE ATTACHED | 216,500. | 283,563. |
| TOTAL TO FORM 990-PF, PART II, LINE 10B | 216,500. | 283,563. |

FORM 990-PF OTHER INVESTMENTS STATEMENT 6

| DESCRIPTION | VALUATION METHOD | BOOK VALUE | FAIR MARKET VALUE |
|---|---|---|---|
| MORGAN STANLEY - SEE ATTACHED | COST | 224,252. | 223,186. |
| TOTAL TO FORM 990-PF, PART II, LINE 13 | | 224,252. | 223,186. |

# Account Detail

**Select UMA Active Assets Account**

**THE EHLERT FAMILY FOUNDATION**
**1436 NIGHTHAWK POINTE**
**Nickname: Foundation**

**Investment Objectives†:** Capital Appreciation, Income

**Investment Advisory Account**

*† Inform us if your investment objectives, as defined in the Expanded Disclosures, change.*

## HOLDINGS

*This section reflects positions purchased/sold on a trade date basis, and includes positions purchased and omits positions sold in the current month. "Market Value" and "Unrealized Gain/(Loss)" are representative values as of the last business day of the statement period and may not reflect the value that could be obtained in the market. Fixed Income securities are sorted by maturity or pre-refunding date, and alphabetically within date. Estimated Annual Income (EAI) is calculated on a pre-tax basis and does not include any reduction for applicable non-US withholding taxes, if any. EAI for certain securities may include return of principal or capital gains which could overstate such estimates. For securities that have a defined maturity date within the next 12 months, EAI is reflected only through maturity date. Actual income or yield may be lower or higher than the estimates. Estimated yield reflects only the income generated by an investment, and does not reflect changes in its price. Structured products, identified in the Security Description column, appear in various statement product categories. When displayed, accrued interest, annual income and yield for structured products with a contingent income feature (such as Range Accrual Notes or Contingent Income Notes) are estimates and assume specified accrual conditions are met during the relevant period and payment in full of all contingent interest. For Floating Rate Securities, the accrued interest, annual income and yield are estimates based on the current floating coupon rate and may not reflect historic rates within the accrual period. Treasury regulations require that we report on Form 1099-B, after the close of the tax year, your adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. Cost basis is reflected on statements for informational purposes and should not be used in the preparation of your income tax returns. Refer to the Expanded Disclosures for additional information.*

### CASH, BANK DEPOSIT PROGRAM AND MONEY MARKET FUNDS

*Cash, Bank Deposit Program, and Money Market Funds are generally displayed on a settlement date basis. You have the right to instruct us to liquidate your bank deposit balance(s) or shares of any money market fund balance(s) at any time and have the proceeds of such liquidation remitted to you. Estimated Annual Income, Accrued Interest, and APY% will only be displayed for fully settled positions.*

| Description | Value | Estimated Annual Income | 7-Day Current Yield % | Annual Percentage Yield % |
|---|---|---|---|---|
| CASH | $282.60 | | | |
| MORGAN STANLEY BANK N.A. # | 10,033.17 | 5.00 | — | 0.050 |

| | Percentage of Assets % | Market Value | Estimated Annual Income Accrued Interest |
|---|---|---|---|
| CASH, BDP, AND MMFs | 2.0% | $10,315.77 | $5.00<br>$0.00 |

B/S

*# Bank Deposits are held at either: (1) Morgan Stanley Bank, N.A., and/or Morgan Stanley Private Bank, National Association, affiliates of Morgan Stanley Smith Barney LLC, or (2) Citibank, N.A., each a national bank and FDIC member.*

### STOCKS

**COMMON STOCKS**

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ABBVIE INC COM (ABBV) | 8/4/14 | 50.000 | $53.353 | $2,667.67 | $3,272.00 | $604.33 ST | | |
| | 9/9/14 | 4.000 | 57.118 | 228.47 | 261.76 | 33.29 ST | | |
| | Total | 54.000 | | 2,896.14 | 3,533.76 | 637.62 ST | 106.00 | 2.99 |

*Share Price: $65.440; Next Dividend Payable 02/2015*

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ACTAVIS PLC (ACT) | 8/16/11 | 19.000 | 106.264 | 2,019.02 | 4,890.79 | 2,871.77 LT G | | |
| | 2/14/12 | 6.000 | 89.183 | 535.10 | 1,544.46 | 1,009.36 LT G | | |
| | 11/18/13 | 5.000 | 144.492 | 722.46 | 1,287.05 | 564.59 LT G | | |
| | Total | 30.000 | | 3,276.58 | 7,722.30 | 4,445.72 LT | — | — |
| *Share Price: $257.410* | | | | | | | | |
| AMC NETWORKS INC CL A (AMCX) | 8/16/11 | 14.000 | 34.200 | 478.80 | 892.78 | 413.98 LT | | |
| | 11/18/13 | 4.000 | 64.540 | 258.16 | 255.08 | (3.08) LT | | |
| | 11/21/13 | 1.000 | 62.920 | 62.92 | 63.77 | 0.85 LT | | |
| | 9/9/14 | 4.000 | 63.780 | 255.12 | 255.08 | (0.04) ST | | |
| | Total | 23.000 | | 1,055.00 | 1,466.71 | 411.75 LT<br>(0.04) ST | — | — |
| *Share Price: $63.770* | | | | | | | | |
| AMERICAN WATER WORKS CO (AWK) | 6/8/11 | 77.000 | 28.836 | 2,220.34 | 4,104.10 | 1,883.76 LT | | |
| | 11/18/13 | 28.000 | 43.074 | 1,206.06 | 1,492.40 | 286.34 LT | | |
| | 11/21/13 | 1.000 | 42.250 | 42.25 | 53.30 | 11.05 LT | | |
| | Total | 106.000 | | 3,468.65 | 5,649.80 | 2,181.15 LT | 131.00 | 2.31 |
| *Share Price: $53.300; Next Dividend Payable 03/2015* | | | | | | | | |
| ANADARKO PETE (APC) | 8/16/11 | 31.000 | 71.328 | 2,211.17 | 2,557.50 | 346.33 LT | | |
| | 8/16/11 | 6.000 | 71.328 | 427.97 | 495.00 | 67.03 LT | | |
| | 4/12/12 | 5.000 | 75.122 | 375.61 | 412.50 | 36.89 LT | | |
| | 6/1/12 | 11.000 | 58.672 | 645.39 | 907.50 | 262.11 LT | | |
| | 11/18/13 | 15.000 | 90.600 | 1,359.00 | 1,237.50 | (121.50) LT | | |
| | 2/28/14 | 8.000 | 84.510 | 676.08 | 660.00 | (16.08) ST | | |
| | 11/4/14 | 3.000 | 89.000 | 267.00 | 247.50 | (19.50) ST | | |
| | Total | 79.000 | | 5,962.22 | 6,517.50 | 590.86 LT<br>(35.58) ST | 85.00 | 1.30 |
| *Share Price: $82.500; Next Dividend Payable 03/2015* | | | | | | | | |
| AT&T INC (T) | 6/8/11 | 42.000 | 30.440 | 1,278.48 | 1,410.78 | 132.30 LT | | |
| | 6/8/11 | 13.000 | 30.440 | 395.72 | 436.67 | 40.95 LT | | |
| | 11/18/13 | 16.000 | 35.517 | 568.27 | 537.44 | (30.83) LT | | |
| | 11/21/13 | 2.000 | 35.305 | 70.61 | 67.18 | (3.43) LT | | |
| | Total | 73.000 | | 2,313.08 | 2,452.07 | 138.99 LT | 137.00 | 5.58 |
| *Share Price: $33.590; Next Dividend Payable 02/2015* | | | | | | | | |
| AUTODESK INC DELAWARE (ADSK) | 8/16/11 | 1.000 | 29.010 | 29.01 | 60.06 | 31.05 LT | | |
| | 8/16/11 | 57.000 | 29.010 | 1,653.57 | 3,423.42 | 1,769.85 LT | | |
| | 8/16/11 | 2.000 | 29.010 | 58.02 | 120.12 | 62.10 LT | | |
| | 8/16/11 | 2.000 | 29.010 | 58.02 | 120.12 | 62.10 LT | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 8/24/12 | 11.000 | 29.827 | 328.10 | 660.66 | 332.56 LT | | |
| | 8/24/12 | 12.000 | 29.828 | 357.93 | 720.72 | 362.79 LT | | |
| | 8/28/12 | 3.000 | 31.240 | 93.72 | 180.18 | 86.46 LT | | |
| | **Total** | 88.000 | | 2,578.37 | **5,285.28** | 2,706.91 LT | — | — |
| *Share Price: $60.060* | | | | | | | | |
| **BAXTER INTL INC (BAX)** | 7/27/12 | 26.000 | 59.644 | 1,550.75 | 1,905.54 | 354.79 LT | | |
| | 11/18/13 | 9.000 | 68.690 | 618.21 | 659.61 | 41.40 LT | | |
| | **Total** | 35.000 | | 2,168.96 | **2,565.15** | 396.19 LT | 73.00 | 2.84 |
| *Share Price: $73.290; Next Dividend Payable 01/02/15* | | | | | | | | |
| **BCE INC (NEW) (BCE)** | 6/8/11 | 19.000 | 39.080 | 742.52 | 871.34 | 128.82 LT | | |
| | 11/18/13 | 6.000 | 44.860 | 269.16 | 275.16 | 6.00 LT | | |
| | 9/9/14 | 2.000 | 44.870 | 89.74 | 91.72 | 1.98 ST | | |
| | **Total** | 27.000 | | 1,101.42 | **1,238.22** | 134.82 LT<br>1.98 ST | 59.00 | 4.76 |
| *Share Price: $45.860; Next Dividend Payable 01/15/15* | | | | | | | | |
| **BIOGEN IDEC INC (BIIB)** | 8/16/11 | 13.000 | 90.567 | 1,177.37 | 4,412.85 | 3,235.48 LT | | |
| | 11/18/13 | 2.000 | 242.090 | 484.18 | 678.90 | 194.72 LT | | |
| | 10/15/14 | 3.000 | 305.460 | 916.38 | 1,018.35 | 101.97 ST | | |
| | **Total** | 18.000 | | 2,577.93 | **6,110.10** | 3,430.20 LT<br>101.97 ST | — | — |
| *Share Price: $339.450* | | | | | | | | |
| **BK MONTREAL (BMO)** | 6/8/11 | 15.000 | 61.680 | 925.20 | 1,060.95 | 135.75 LT | | |
| | 5/9/13 | 12.000 | 62.623 | 751.47 | 848.76 | 97.29 LT | | |
| | 11/18/13 | 10.000 | 70.553 | 705.53 | 707.30 | 1.77 LT | | |
| | 11/21/13 | 2.000 | 69.950 | 139.90 | 141.46 | 1.56 LT | | |
| | **Total** | 39.000 | | 2,522.10 | **2,758.47** | 236.37 LT | 109.00 | 3.95 |
| *Share Price: $70.730; Next Dividend Payable 02/2015* | | | | | | | | |
| **BROADCOM CORP CL A (BRCM)** | 8/16/11 | 41.000 | 33.492 | 1,373.18 | 1,776.53 | 403.35 LT R | | |
| | 7/23/13 | 20.000 | 31.655 | 633.09 | 866.60 | 233.51 LT R | | |
| | 8/12/13 | 13.000 | 25.982 | 337.77 | 563.29 | 225.52 LT R | | |
| | 11/18/13 | 34.000 | 26.721 | 908.52 | 1,473.22 | 564.70 LT R | | |
| | 11/21/13 | 3.000 | 26.413 | 79.24 | 129.99 | 50.75 LT R | | |
| | **Total** | 111.000 | | 3,331.80 | **4,809.63** | 1,477.83 LT | 53.00 | 1.10 |
| *Share Price: $43.330; Next Dividend Payable 03/2015* | | | | | | | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| CABLEVISION SYSTEMS CORP (CVC) | 8/16/11 | 130.000 | 18.566 | 2,413.53 | 2,683.20 | 269.67 LT | | |
| | 2/14/12 | 44.000 | 14.408 | 633.94 | 908.16 | 274.22 LT | | |
| | 11/18/13 | 74.000 | 14.980 | 1,108.50 | 1,527.36 | 418.86 LT | | |
| | 11/21/13 | 2.000 | 14.975 | 29.95 | 41.28 | 11.33 LT | | |
| | **Total** | 250.000 | | 4,185.92 | **5,160.00** | 974.08 LT | 150.00 | 2.90 |
| *Share Price: $20.640; Next Dividend Payable 03/2015* | | | | | | | | |
| CISCO SYS INC (CSCO) | 5/16/13 | 72.000 | 23.956 | 1,724.82 | 2,002.67 | 277.85 LT | | |
| | 10/3/13 | 8.000 | 23.010 | 184.08 | 222.51 | 38.43 LT | | |
| | 11/18/13 | 32.000 | 21.478 | 687.31 | 890.07 | 202.76 LT | | |
| | 11/21/13 | 1.000 | 21.470 | 21.47 | 27.81 | 6.34 LT | | |
| | **Total** | 113.000 | | 2,617.68 | **3,143.09** | 525.38 LT | 86.00 | 2.73 |
| *Share Price: $27.815; Next Dividend Payable 01/2015* | | | | | | | | |
| CITRIX SYSTEMS INC (CTXS) | 8/16/11 | 17.000 | 58.170 | 988.89 | 1,084.60 | 95.71 LT | | |
| | 3/9/12 | 6.000 | 76.508 | 459.05 | 382.80 | (76.25) LT | | |
| | 10/19/12 | 4.000 | 65.710 | 262.84 | 255.20 | (7.64) LT | | |
| | 4/25/13 | 3.000 | 64.253 | 192.76 | 191.40 | (1.36) LT | | |
| | 11/18/13 | 13.000 | 57.360 | 745.68 | 829.40 | 83.72 LT | | |
| | **Total** | 43.000 | | 2,649.22 | **2,743.40** | 94.18 LT | — | — |
| *Share Price: $63.800* | | | | | | | | |
| CME GROUP INC (CME) | 7/8/11 | 15.000 | 91.459 | 1,371.88 | 1,329.75 | (42.13) LT H | | |
| | 2/8/12 | 10.000 | 56.929 | 569.29 | 886.50 | 317.21 LT | | |
| | 11/18/13 | 9.000 | 79.990 | 719.91 | 797.85 | 77.94 LT | | |
| | 11/20/13 | 11.000 | 81.882 | 900.70 | 975.15 | 74.45 LT | | |
| | 9/9/14 | 3.000 | 75.803 | 227.41 | 265.95 | 38.54 ST | | |
| | **Total** | 48.000 | | 3,789.19 | **4,255.20** | 427.47 LT<br>38.54 ST | 90.00 | 2.11 |
| *Share Price: $88.650; Next Dividend Payable 03/2015; Basis Adjustment Due to Wash Sale: $517.95* | | | | | | | | |
| COMCAST CORP CL A SPECIAL NEW (CMCSK) | 8/16/11 | 148.000 | 20.660 | 3,057.68 | 8,519.61 | 5,461.93 LT | | |
| | 11/18/13 | 37.000 | 46.630 | 1,725.31 | 2,129.90 | 404.59 LT | | |
| | 11/21/13 | 2.000 | 46.660 | 93.32 | 115.12 | 21.80 LT | | |
| | **Total** | 187.000 | | 4,876.31 | **10,764.65** | 5,888.32 LT | 168.00 | 1.56 |
| *Share Price: $57.565; Next Dividend Payable 01/2015* | | | | | | | | |
| COVANTA HOLDING CORP (CVA) | 10/15/14 | 62.000 | 20.872 | 1,294.09 | **1,364.62** | 70.53 ST | 62.00 | 4.54 |
| *Share Price: $22.010; Next Dividend Payable 01/02/15* | | | | | | | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| COVIDIEN PLC (COV) | 8/16/11 | 33.000 | 44.780 | 1,477.75 | 3,375.24 | 1,897.49 LT | | |
| | 11/18/13 | 3.000 | 66.563 | 199.69 | 306.84 | 107.15 LT | | |
| | 11/21/13 | 1.000 | 66.740 | 66.74 | 102.28 | 35.54 LT | | |
| | **Total** | 37.000 | | 1,744.18 | **3,784.36** | 2,040.18 LT | 53.00 | 1.40 |
| *Share Price: $102.280; Next Dividend Payable 01/16/15* | | | | | | | | |
| CREE RESEARCH INC (CREE) | 8/16/11 | 45.000 | 35.366 | 1,591.46 | 1,449.90 | (141.56) LT | | |
| | 10/30/13 | 5.000 | 61.424 | 307.12 | 161.10 | (146.02) LT | | |
| | 11/18/13 | 15.000 | 56.177 | 842.66 | 483.30 | (359.36) LT | | |
| | 11/21/13 | 2.000 | 55.305 | 110.61 | 64.44 | (46.17) LT | | |
| | 5/6/14 | 20.000 | 46.708 | 934.15 | 644.40 | (289.75) ST | | |
| | 9/9/14 | 19.000 | 43.584 | 828.10 | 612.18 | (215.92) ST | | |
| | **Total** | 106.000 | | 4,614.10 | **3,415.32** | (693.11) LT<br>(505.67) ST | — | — |
| *Share Price: $32.220* | | | | | | | | |
| DIGITAL REALTY TRUST INC (DLR) | 6/8/11 | 24.000 | 63.729 | 1,529.50 | 1,591.20 | 61.70 LT R | | |
| | 9/24/13 | 17.000 | 55.644 | 945.94 | 1,127.10 | 181.16 LT | | |
| | 11/18/13 | 12.000 | 48.236 | 578.83 | 795.60 | 216.77 LT | | |
| | 11/21/13 | 1.000 | 47.590 | 47.59 | 66.30 | 18.71 LT | | |
| | **Total** | 54.000 | | 3,101.86 | **3,580.20** | 478.34 LT | 179.00 | 4.99 |
| *Share Price: $66.300; Next Dividend Payable 01/15/15* | | | | | | | | |
| DIRECTV COM (DTV) | 8/16/11 | 31.000 | 43.670 | 1,353.77 | 2,687.70 | 1,333.93 LT | | |
| | 11/18/13 | 7.000 | 65.259 | 456.81 | 606.90 | 150.09 LT | | |
| | 11/21/13 | 1.000 | 63.000 | 63.00 | 86.70 | 23.70 LT | | |
| | **Total** | 39.000 | | 1,873.58 | **3,381.30** | 1,507.72 LT | — | — |
| *Share Price: $86.700* | | | | | | | | |
| DOLBY CLA A COM STK (DLB) | 8/7/12 | 29.000 | 33.791 | 979.94 | 1,250.48 | 270.54 LT | | |
| | 11/18/13 | 5.000 | 35.716 | 178.58 | 215.60 | 37.02 LT | | |
| | 11/21/13 | 1.000 | 35.280 | 35.28 | 43.12 | 7.84 LT | | |
| | **Total** | 35.000 | | 1,193.80 | **1,509.20** | 315.40 LT | 14.00 | 0.92 |
| *Share Price: $43.120; Next Dividend Payable 02/2015* | | | | | | | | |
| EATON CORP PLC SHS (ETN) | 6/19/14 | 35.000 | 76.658 | 2,683.03 | 2,378.60 | (304.43) ST | | |
| | 9/9/14 | 3.000 | 68.460 | 205.38 | 203.88 | (1.50) ST | | |
| | **Total** | 38.000 | | 2,888.41 | **2,582.48** | (305.93) ST | 74.00 | 2.86 |
| *Share Price: $67.960; Next Dividend Payable 02/2015* | | | | | | | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| ENSCO PLC CLASS A (ESV) | 5/16/13 | 25.000 | 61.925 | 1,548.12 | 748.75 | (799.37) LT | | |
| | 11/18/13 | 9.000 | 61.137 | 550.23 | 269.55 | (280.68) LT | | |
| | 11/21/13 | 1.000 | 60.950 | 60.95 | 29.95 | (31.00) LT | | |
| | **Total** | 35.000 | | 2,159.30 | **1,048.25** | (1,111.05) LT | 105.00 | 10.01 |
| *Share Price: $29.950; Next Dividend Payable 03/2015* | | | | | | | | |
| FLUOR CORP NEW (FLR) | 8/16/11 | 29.000 | 59.090 | 1,713.61 | 1,758.27 | 44.66 LT | | |
| | 10/4/11 | 10.000 | 46.434 | 464.34 | 606.30 | 141.96 LT | | |
| | 11/18/13 | 7.000 | 78.530 | 549.71 | 424.41 | (125.30) LT | | |
| | 11/21/13 | 1.000 | 78.010 | 78.01 | 60.63 | (17.38) LT | | |
| | 9/9/14 | 17.000 | 72.324 | 1,229.51 | 1,030.71 | (198.80) ST | | |
| | **Total** | 64.000 | | 4,035.18 | **3,880.32** | 43.94 LT<br>(198.80) ST | 54.00 | 1.39 |
| *Share Price: $60.630; Next Dividend Payable 01/05/15* | | | | | | | | |
| FREEPORT-MCMORAN INC (FCX) | 6/8/11 | 1.000 | 60.990 | 60.99 | 23.36 | (37.63) LT H | | |
| | 6/21/11 | 2.000 | 48.705 | 97.41 | 46.72 | (50.69) LT H | | |
| | 6/21/11 | 3.000 | 48.703 | 146.11 | 70.08 | (76.03) LT H | | |
| | 6/21/11 | 1.000 | 48.710 | 48.71 | 23.36 | (25.35) LT H | | |
| | 6/21/11 | 1.000 | 48.710 | 48.71 | 23.36 | (25.35) LT H | | |
| | 6/21/11 | 1.000 | 48.710 | 48.71 | 23.36 | (25.35) LT H | | |
| | 8/16/11 | 13.000 | 45.690 | 593.97 | 303.68 | (290.29) LT | | |
| | 8/29/11 | 3.000 | 45.300 | 135.90 | 70.08 | (65.82) LT H | | |
| | 9/1/11 | 1.000 | 44.800 | 44.80 | 23.36 | (21.44) LT H | | |
| | 9/7/11 | 1.000 | 45.170 | 45.17 | 23.36 | (21.81) LT | | |
| | 9/7/11 | 1.000 | 45.173 | 45.17 | 23.36 | (21.81) LT | | |
| | 9/7/11 | 1.000 | 45.173 | 45.17 | 23.36 | (21.81) LT | | |
| | 11/9/11 | 3.000 | 38.840 | 116.52 | 70.08 | (46.44) LT | | |
| | 2/16/12 | 2.000 | 42.140 | 84.28 | 46.72 | (37.56) LT | | |
| | 3/7/12 | 3.000 | 39.010 | 117.03 | 70.08 | (46.95) LT | | |
| | 3/7/12 | 3.000 | 39.010 | 117.03 | 70.08 | (46.95) LT | | |
| | 5/16/12 | 4.000 | 33.360 | 133.44 | 93.44 | (40.00) LT | | |
| | 5/16/12 | 4.000 | 33.360 | 133.44 | 93.44 | (40.00) LT | | |
| | 6/6/12 | 4.000 | 33.250 | 133.00 | 93.44 | (39.56) LT | | |
| | 2/28/14 | 6.000 | 32.752 | 196.51 | 140.16 | (56.35) ST | | |
| | 9/9/14 | 8.000 | 34.350 | 274.80 | 186.88 | (87.92) ST | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 66.000 | | 2,666.87 | **1,541.76** | (980.84) LT<br>(144.27) ST | 83.00 | 5.38 |
| *Share Price: $23.360; Next Dividend Payable 02/2015; Basis Adjustment Due to Wash Sale: $147.01* | | | | | | | | |
| **GENERAL ELECTRIC CO (GE)** | 11/29/11 | 59.000 | 14.962 | 882.75 | 1,490.93 | 608.18 LT | | |
| | 9/12/12 | 41.000 | 21.860 | 896.26 | 1,036.07 | 139.81 LT | | |
| | 3/20/13 | 33.000 | 23.434 | 773.32 | 833.91 | 60.59 LT | | |
| | 11/18/13 | 60.000 | 27.290 | 1,637.40 | 1,516.20 | (121.20) LT | | |
| | 11/21/13 | 5.000 | 26.878 | 134.39 | 126.35 | (8.04) LT | | |
| | Total | 198.000 | | 4,324.12 | **5,003.46** | 679.34 LT | 182.00 | 3.63 |
| *Share Price: $25.270; Next Dividend Payable 01/26/15* | | | | | | | | |
| **GLAXOSMITHKLINE PLC ADS (GSK)** | 6/8/11 | 47.000 | 42.480 | 1,996.56 | 2,008.78 | 12.22 LT | | |
| | 11/18/13 | 17.000 | 52.097 | 885.65 | 726.58 | (159.07) LT | | |
| | 11/21/13 | 1.000 | 53.170 | 53.17 | 42.74 | (10.43) LT | | |
| | Total | 65.000 | | 2,935.38 | **2,778.10** | (157.28) LT | 172.00 | 6.19 |
| *Share Price: $42.740; Next Dividend Payable 01/08/15* | | | | | | | | |
| **GOLAR LNG LTD (GLNG)** | 8/27/13 | 11.000 | 38.805 | 426.85 | 401.17 | (25.68) LT | | |
| | 10/3/13 | 10.000 | 38.580 | 385.80 | 364.70 | (21.10) LT | | |
| | 11/18/13 | 8.000 | 39.480 | 315.84 | 291.76 | (24.08) LT | | |
| | 11/21/13 | 1.000 | 37.890 | 37.89 | 36.47 | (1.42) LT | | |
| | Total | 30.000 | | 1,166.38 | **1,094.10** | (72.28) LT | 54.00 | 4.93 |
| *Share Price: $36.470; Next Dividend Payable 03/2015* | | | | | | | | |
| **HCP INCORPORATED (HCP)** | 6/8/11 | 38.000 | 35.638 | 1,354.24 | 1,673.14 | 318.90 LT R | | |
| | 11/18/13 | 9.000 | 39.907 | 359.16 | 396.27 | 37.11 LT | | |
| | 8/26/14 | 35.000 | 42.431 | 1,485.09 | 1,541.05 | 55.96 ST | | |
| | 9/9/14 | 6.000 | 43.187 | 259.12 | 264.18 | 5.06 ST | | |
| | Total | 88.000 | | 3,457.61 | **3,874.64** | 356.01 LT<br>61.02 ST | 192.00 | 4.95 |
| *Share Price: $44.030; Next Dividend Payable 02/2015* | | | | | | | | |
| **HOSPITALITY PPTYS TR COM SBI (HPT)** | 9/12/12 | 75.000 | 24.604 | 1,845.32 | 2,325.00 | 479.68 LT R | | |
| | 11/18/13 | 20.000 | 28.537 | 570.74 | 620.00 | 49.26 LT | | |
| | 9/9/14 | 5.000 | 29.376 | 146.88 | 155.00 | 8.12 ST | | |
| | Total | 100.000 | | 2,562.94 | **3,100.00** | 528.94 LT<br>8.12 ST | 196.00 | 6.32 |
| *Share Price: $31.000; Next Dividend Payable 02/2015* | | | | | | | | |
| **HOST HOTEL & RESORTS INC (HST)** | 10/14/14 | 128.000 | 20.996 | 2,687.51 | **3,042.56** | 355.05 ST | 102.00 | 3.35 |
| *Share Price: $23.770; Next Dividend Payable 01/15/15* | | | | | | | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| IMMUNOGEN INC (IMGN) | 8/16/11 | 70.000 | 10.863 | 760.39 | 427.00 | (333.39) LT | | |
| | 11/11/13 | 2.000 | 14.780 | 29.56 | 12.20 | (17.36) LT | | |
| | 11/18/13 | 12.000 | 14.520 | 174.24 | 73.20 | (101.04) LT | | |
| | 11/21/13 | 2.000 | 14.560 | 29.12 | 12.20 | (16.92) LT | | |
| | 9/9/14 | 49.000 | 11.384 | 557.82 | 298.90 | (258.92) ST | | |
| | **Total** | 135.000 | | 1,551.13 | **823.50** | (468.71) LT<br>(258.92) ST | — | — |
| *Share Price: $6.100* | | | | | | | | |
| INTEL CORP (INTC) | 11/18/13 | 38.000 | 24.657 | 936.97 | 1,379.02 | 442.05 LT | | |
| | 9/9/14 | 20.000 | 35.018 | 700.35 | 725.80 | 25.45 ST | | |
| | **Total** | 58.000 | | 1,637.32 | **2,104.82** | 442.05 LT<br>25.45 ST | 52.00 | 2.47 |
| *Share Price: $36.290; Next Dividend Payable 03/2015* | | | | | | | | |
| ISIS PHARM INC (ISIS) | 8/16/11 | 28.000 | 7.204 | 201.70 | 1,728.72 | 1,527.02 LT | | |
| | 11/18/13 | 10.000 | 31.103 | 311.03 | 617.40 | 306.37 LT | | |
| | 9/9/14 | 3.000 | 38.490 | 115.47 | 185.22 | 69.75 ST | | |
| | **Total** | 41.000 | | 628.20 | **2,531.34** | 1,833.39 LT<br>69.75 ST | — | — |
| *Share Price: $61.740* | | | | | | | | |
| JOHNSON & JOHNSON (JNJ) | 6/8/11 | 7.000 | 65.910 | 461.37 | 731.99 | 270.62 LT | | |
| | 6/8/11 | 24.000 | 65.910 | 1,581.84 | 2,509.68 | 927.84 LT | | |
| | 11/18/13 | 10.000 | 94.257 | 942.57 | 1,045.70 | 103.13 LT | | |
| | 11/21/13 | 1.000 | 95.200 | 95.20 | 104.57 | 9.37 LT | | |
| | **Total** | 42.000 | | 3,080.98 | **4,391.94** | 1,310.96 LT | 118.00 | 2.68 |
| *Share Price: $104.570; Next Dividend Payable 03/2015* | | | | | | | | |
| KINDER MORGAN INCORP (KMI) | 1/2/14 | 35.000 | 35.888 | 1,256.08 | 1,480.85 | 224.77 ST | | |
| | 1/3/14 | 91.000 | 35.963 | 3,272.63 | 3,850.21 | 577.58 ST | | |
| | 1/6/14 | 44.000 | 35.904 | 1,579.78 | 1,861.64 | 281.86 ST | | |
| | 1/7/14 | 4.000 | 36.110 | 144.44 | 169.24 | 24.80 ST | | |
| | 9/9/14 | 14.000 | 38.487 | 538.82 | 592.34 | 53.52 ST | | |
| | **Total** | 188.000 | | 6,791.75 | **7,954.28** | 1,162.53 ST | 331.00 | 4.16 |
| *Share Price: $42.310; Next Dividend Payable 02/2015* | | | | | | | | |
| L-3 COMMUNICATIONS HOLDING INC (LLL) | 8/16/11 | 40.000 | 64.692 | 2,587.68 | 5,048.40 | 2,460.72 LT | | |
| | 11/18/13 | 8.000 | 103.180 | 825.44 | 1,009.68 | 184.24 LT | | |
| | 9/9/14 | 7.000 | 112.380 | 786.66 | 883.47 | 96.81 ST | | |

# Account Detail

**Select UMA Active Assets Account**

**THE EHLERT FAMILY FOUNDATION**
**1436 NIGHTHAWK POINTE**
**Nickname: Foundation**

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | **Total** | 55.000 | | 4,199.78 | **6,941.55** | 2,644.96 LT<br>96.81 ST | 132.00 | 1.90 |
| *Share Price: $126.210; Next Dividend Payable 03/2015* | | | | | | | | |
| **LAMAR ADVERTISING CO NEW CL A (LAMR)** | 8/19/14 | 13.000 | 51.395 | 668.13 | 697.32 | 29.19 ST | | |
| | 8/26/14 | 13.000 | 52.183 | 678.38 | 697.32 | 18.94 ST | | |
| | 9/3/14 | 14.000 | 52.566 | 735.92 | 750.96 | 15.04 ST | | |
| | 9/5/14 | 13.000 | 52.729 | 685.48 | 697.32 | 11.84 ST | | |
| | 9/9/14 | 4.000 | 52.678 | 210.71 | 214.56 | 3.85 ST | | |
| | 10/16/14 | 26.000 | 48.178 | 1,252.62 | 1,394.64 | 142.02 ST | | |
| | **Total** | 83.000 | | 4,231.24 | **4,452.12** | 220.88 ST | 279.00 | 6.26 |
| *Share Price: $53.640; Next Dividend Payable 03/2015* | | | | | | | | |
| **LIBERTY BROADBAND CORP C RTS (LBRKR)** | — | 3.000 | — | Please Provide | **28.50** | N/A | — | — |
| *Share Price: $9.500* | | | | | | | | |
| **LIBERTY BROADBAND CORP S-A (LBRDA)** | 8/16/11 | 3.000 | 14.673 | 44.02 | **150.27** | 106.25 LT | — | — |
| *Share Price: $50.090* | | | | | | | | |
| **LIBERTY BROADBAND CORP S-C (LBRDK)** | 8/16/11 | 5.000 | 18.872 | 94.36 | 249.10 | 154.74 LT | | |
| | 11/18/13 | 1.000 | 50.230 | 50.23 | 49.82 | (0.41) LT | | |
| | 9/9/14 | 1.000 | 61.790 | 61.79 | 49.82 | (11.97) ST | | |
| | **Total** | 7.000 | | 206.38 | **348.74** | 154.33 LT<br>(11.97) ST | — | — |
| *Share Price: $49.820* | | | | | | | | |
| **LIBERTY INTER CO VENTURE SER A (LVNTA)** | 8/16/11 | 10.000 | 12.954 | 129.54 | 377.20 | 247.66 LT | | |
| | 11/18/13 | 2.000 | 29.175 | 58.35 | 75.44 | 17.09 LT | | |
| | 9/9/14 | 2.000 | 33.215 | 66.43 | 75.44 | 9.01 ST | | |
| | **Total** | 14.000 | | 254.32 | **528.08** | 264.75 LT<br>9.01 ST | — | — |
| *Share Price: $37.720* | | | | | | | | |
| **LIBERTY INTERACTIVE CO INTER A (QVCA)** | 8/16/11 | 73.000 | 10.975 | 801.16 | 2,147.66 | 1,346.50 LT | | |
| | 11/18/13 | 14.000 | 23.859 | 334.03 | 411.88 | 77.85 LT | | |
| | 11/21/13 | 2.000 | 23.510 | 47.02 | 58.84 | 11.82 LT | | |
| | 9/9/14 | 15.000 | 25.349 | 380.23 | 441.30 | 61.07 ST | | |
| | **Total** | 104.000 | | 1,562.44 | **3,059.68** | 1,436.17 LT<br>61.07 ST | — | — |
| *Share Price: $29.420* | | | | | | | | |
| **LIBERTY MEDIA CORP SER A (LMCA)** | 8/16/11 | 10.000 | 16.700 | 167.00 | 352.70 | 185.70 LT | | |
| | 11/18/13 | 2.000 | 38.425 | 76.85 | 70.54 | (6.31) LT | | |
| | 11/21/13 | 1.000 | 37.300 | 37.30 | 35.27 | (2.03) LT | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

**COMMON STOCKS** (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | 9/9/14 | 2.000 | 36.855 | 73.71 | 70.54 | (3.17) ST | | |
| | **Total** | 15.000 | | 354.86 | **529.05** | 177.36 LT<br>(3.17) ST | — | — |
| *Share Price: $35.270* | | | | | | | | |
| LIBERTY MEDIA CORP SER C (LMCK) | 8/16/11 | 20.000 | 15.949 | 318.97 | 700.60 | 381.63 LT | | |
| | 11/18/13 | 4.000 | 36.698 | 146.79 | 140.12 | (6.67) LT | | |
| | 11/21/13 | 2.000 | 35.620 | 71.24 | 70.06 | (1.18) LT | | |
| | 9/9/14 | 5.000 | 36.114 | 180.57 | 175.15 | (5.42) ST | | |
| | **Total** | 31.000 | | 717.57 | **1,085.93** | 373.78 LT<br>(5.42) ST | — | — |
| *Share Price: $35.030* | | | | | | | | |
| LYONDELLBASELL NV CL-A (LYB) | 11/12/14 | 31.000 | 88.708 | 2,749.96 | 2,461.09 | (288.87) ST | | |
| | 12/9/14 | 22.000 | 78.557 | 1,728.26 | 1,746.58 | 18.32 ST | | |
| | **Total** | 53.000 | | 4,478.22 | **4,207.67** | (270.55) ST | 148.00 | 3.51 |
| *Share Price: $79.390; Next Dividend Payable 03/2015* | | | | | | | | |
| MAXIM INTEGRATED PRODUCTS INC (MXIM) | 6/8/11 | 49.000 | 25.626 | 1,255.66 | 1,561.63 | 305.97 LT | | |
| | 11/18/13 | 18.000 | 29.320 | 527.76 | 573.66 | 45.90 LT | | |
| | 11/21/13 | 2.000 | 28.485 | 56.97 | 63.74 | 6.77 LT | | |
| | **Total** | 69.000 | | 1,840.39 | **2,199.03** | 358.64 LT | 77.00 | 3.50 |
| *Share Price: $31.870; Next Dividend Payable 03/2015* | | | | | | | | |
| MERCK & CO INC NEW COM (MRK) | 3/20/13 | 16.000 | 44.207 | 707.32 | 908.64 | 201.32 LT | | |
| | 4/15/13 | 9.000 | 47.057 | 423.51 | 511.11 | 87.60 LT | | |
| | 4/15/13 | 1.000 | 47.057 | 47.06 | 56.79 | 9.73 LT | | |
| | 5/9/13 | 33.000 | 45.343 | 1,496.31 | 1,874.07 | 377.76 LT | | |
| | 5/9/13 | 1.000 | 45.340 | 45.34 | 56.79 | 11.45 LT | | |
| | 6/21/13 | 1.000 | 47.070 | 47.07 | 56.79 | 9.72 LT | | |
| | 6/21/13 | 3.000 | 47.073 | 141.22 | 170.37 | 29.15 LT | | |
| | 11/18/13 | 19.000 | 47.950 | 911.05 | 1,079.01 | 167.96 LT | | |
| | **Total** | 83.000 | | 3,818.88 | **4,713.57** | 894.69 LT | 149.00 | 3.16 |
| *Share Price: $56.790; Next Dividend Payable 01/08/15* | | | | | | | | |
| MICROCHIP TECHNOLOGY INC (MCHP) | 6/8/11 | 39.000 | 33.638 | 1,311.88 | 1,759.29 | 447.41 LT R | | |
| | 9/12/12 | 11.000 | 31.984 | 351.82 | 496.21 | 144.39 LT R | | |
| | 11/18/13 | 19.000 | 43.295 | 822.61 | 857.09 | 34.48 LT R | | |
| | **Total** | 69.000 | | 2,486.31 | **3,112.59** | 626.28 LT | 98.00 | 3.14 |
| *Share Price: $45.110; Next Dividend Payable 03/2015* | | | | | | | | |

# Account Detail

**Select UMA Active Assets Account**

**THE EHLERT FAMILY FOUNDATION**
**1436 NIGHTHAWK POINTE**
**Nickname: Foundation**

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| MICROSOFT CORP (MSFT) | 1/16/14 | 87.000 | 36.762 | 3,198.33 | 4,041.15 | 842.82 ST | 108.00 | 2.67 |
| *Share Price: $46.450; Next Dividend Payable 03/2015* | | | | | | | | |
| NATIONAL OILWELL VARCO INC (NOV) | 6/8/11 | 1.000 | 64.410 | 64.41 | 65.53 | 1.12 LT | | |
| | 6/8/11 | 1.000 | 64.410 | 64.41 | 65.53 | 1.12 LT | | |
| | 6/8/11 | 2.000 | 64.410 | 128.82 | 131.06 | 2.24 LT | | |
| | 6/8/11 | 2.000 | 64.410 | 128.82 | 131.06 | 2.24 LT | | |
| | 6/8/11 | 2.000 | 64.410 | 128.82 | 131.06 | 2.24 LT | | |
| | 8/16/11 | 17.000 | 61.109 | 1,038.85 | 1,114.01 | 75.16 LT | | |
| | 5/14/12 | 2.000 | 60.280 | 120.56 | 131.06 | 10.50 LT | | |
| | 5/14/12 | 3.000 | 60.280 | 180.84 | 196.59 | 15.75 LT | | |
| | 2/28/14 | 3.000 | 69.483 | 208.45 | 196.59 | (11.86) ST | | |
| | 9/9/14 | 4.000 | 81.700 | 326.80 | 262.12 | (64.68) ST | | |
| | **Total** | 37.000 | | 2,390.78 | **2,424.61** | 110.37 LT<br>(76.54) ST | 68.00 | 2.80 |
| *Share Price: $65.530; Next Dividend Payable 03/2015* | | | | | | | | |
| NISOURCE INC (NI) | 6/8/11 | 4.000 | 19.486 | 77.94 | 169.68 | 91.74 LT | | |
| | 7/25/11 | 55.000 | 21.043 | 1,157.38 | 2,333.10 | 1,175.72 LT | | |
| | 11/18/13 | 36.000 | 32.247 | 1,160.89 | 1,527.12 | 366.23 LT | | |
| | **Total** | 95.000 | | 2,396.21 | **4,029.90** | 1,633.69 LT | 99.00 | 2.45 |
| *Share Price: $42.420; Next Dividend Payable 02/2015* | | | | | | | | |
| NOVARTIS AG ADR (NVS) | 1/10/14 | 31.000 | 81.202 | 2,517.26 | **2,872.46** | 355.20 ST | 72.00 | 2.50 |
| *Share Price: $92.660* | | | | | | | | |
| NOW INC (DNOW) | 8/16/11 | 6.000 | 18.682 | 112.09 | 154.38 | 42.29 LT | | |
| | 5/14/12 | 1.000 | 20.340 | 20.34 | 25.73 | 5.39 LT | | |
| | 2/28/14 | 1.000 | 23.440 | 23.44 | 25.73 | 2.29 ST | | |
| | 9/9/14 | 40.000 | 32.047 | 1,281.87 | 1,029.20 | (252.67) ST | | |
| | 11/18/14 | 6.000 | 27.992 | 167.95 | 154.38 | (13.57) ST | | |
| | **Total** | 54.000 | | 1,605.69 | **1,389.42** | 47.68 LT<br>(263.95) ST | — | — |
| *Share Price: $25.730* | | | | | | | | |
| NUANCE COMMUNICATIONS INC (NUAN) | 3/14/14 | 11.000 | 15.539 | 170.93 | 156.97 | (13.96) ST | | |
| | 3/18/14 | 9.000 | 16.100 | 144.90 | 128.43 | (16.47) ST | | |
| | 3/20/14 | 20.000 | 16.465 | 329.29 | 285.40 | (43.89) ST | | |
| | 3/24/14 | 37.000 | 16.656 | 616.26 | 527.99 | (88.27) ST | | |
| | 9/9/14 | 16.000 | 16.447 | 263.15 | 228.32 | (34.83) ST | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | Total | 93.000 | | 1,524.53 | 1,327.11 | (197.42) ST | — | — |
| *Share Price: $14.270* | | | | | | | | |
| NUCOR CORPORATION (NUE) | 8/16/11 | 56.000 | 34.070 | 1,907.91 | 2,746.80 | 838.89 LT | | |
| | 11/18/13 | 13.000 | 53.134 | 690.74 | 637.65 | (53.09) LT | | |
| | 11/21/13 | 1.000 | 53.010 | 53.01 | 49.05 | (3.96) LT | | |
| | 9/9/14 | 14.000 | 55.168 | 772.35 | 686.70 | (85.65) ST | | |
| | Total | 84.000 | | 3,424.01 | 4,120.20 | 781.84 LT<br>(85.65) ST | 125.00 | 3.03 |
| *Share Price: $49.050; Next Dividend Payable 02/11/15* | | | | | | | | |
| OMEGA HEALTHCARE INV INC (OHI) | 11/18/13 | 3.000 | 31.695 | 95.09 | 117.21 | 22.12 LT | | |
| | 12/9/13 | 34.000 | 32.184 | 1,094.26 | 1,328.38 | 234.12 LT | | |
| | Total | 37.000 | | 1,189.35 | 1,445.59 | 256.24 LT | 77.00 | 5.32 |
| *Share Price: $39.070; Next Dividend Payable 02/2015* | | | | | | | | |
| ONEOK INC NEW (OKE) | 9/12/12 | 50.000 | 40.403 | 2,020.15 | 2,489.50 | 469.35 LT | | |
| | 11/18/13 | 29.000 | 50.355 | 1,460.30 | 1,443.91 | (16.39) LT | | |
| | 12/19/14 | 39.000 | 48.729 | 1,900.42 | 1,941.81 | 41.39 ST | | |
| | Total | 118.000 | | 5,380.87 | 5,875.22 | 452.96 LT<br>41.39 ST | 278.00 | 4.73 |
| *Share Price: $49.790; Next Dividend Payable 02/2015* | | | | | | | | |
| PALL CORPORATION (PLL) | 8/16/11 | 32.000 | 47.175 | 1,509.58 | 3,238.72 | 1,729.14 LT | | |
| | 9/8/11 | 5.000 | 44.950 | 224.75 | 506.05 | 281.30 LT | | |
| | 11/18/13 | 7.000 | 83.427 | 583.99 | 708.47 | 124.48 LT | | |
| | 9/9/14 | 11.000 | 83.961 | 923.57 | 1,113.31 | 189.74 ST | | |
| | Total | 55.000 | | 3,241.89 | 5,566.55 | 2,134.92 LT<br>189.74 ST | 67.00 | 1.20 |
| *Share Price: $101.210; Next Dividend Payable 02/2015* | | | | | | | | |
| PEMBINA PIPELINE CORP COM (PBA) | 9/12/12 | 30.000 | 28.000 | 840.00 | 1,092.30 | 252.30 LT | | |
| | 11/18/13 | 12.000 | 32.823 | 393.88 | 436.92 | 43.04 LT | | |
| | Total | 42.000 | | 1,233.88 | 1,529.22 | 295.34 LT | 64.00 | 4.18 |
| *Share Price: $36.410; Next Dividend Payable 01/15/15* | | | | | | | | |
| PENTAIR PLC (PNR) | 8/16/11 | 14.000 | 30.308 | 424.31 | 929.88 | 505.57 LT | | |
| | 11/18/13 | 6.000 | 70.500 | 423.00 | 398.52 | (24.48) LT | | |
| | 11/18/13 | 6.000 | 70.500 | 423.00 | 398.52 | (24.48) LT | | |
| | 9/9/14 | 8.000 | 67.471 | 539.77 | 531.36 | (8.41) ST | | |
| | Total | 34.000 | | 1,810.08 | 2,258.28 | 456.61 LT<br>(8.41) ST | 44.00 | 1.94 |
| *Share Price: $66.420; Next Dividend Payable 02/2015* | | | | | | | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| PEOPLE'S UNITED FINANCIAL INC. (PBCT) | 5/21/13 | 95.000 | 14.239 | 1,352.73 | 1,442.10 | 89.37 LT | | |
| | 11/18/13 | 32.000 | 14.828 | 474.50 | 485.76 | 11.26 LT | | |
| | 11/21/13 | 3.000 | 14.860 | 44.58 | 45.54 | 0.96 LT | | |
| | **Total** | 130.000 | | 1,871.81 | **1,973.40** | 101.59 LT | 86.00 | 4.35 |
| *Share Price: $15.180; Next Dividend Payable 02/2015* | | | | | | | | |
| PFIZER INC (PFE) | 6/8/11 | 59.000 | 20.656 | 1,218.68 | 1,837.85 | 619.17 LT | | |
| | 11/18/13 | 21.000 | 32.045 | 672.95 | 654.15 | (18.80) LT | | |
| | **Total** | 80.000 | | 1,891.63 | **2,492.00** | 600.37 LT | 90.00 | 3.61 |
| *Share Price: $31.150; Next Dividend Payable 03/2015* | | | | | | | | |
| SANDISK CORP (SNDK) | 8/16/11 | 31.000 | 36.591 | 1,134.32 | 3,037.38 | 1,903.06 LT | | |
| | 4/13/12 | 9.000 | 41.862 | 376.76 | 881.82 | 505.06 LT | | |
| | 11/18/13 | 10.000 | 69.137 | 691.37 | 979.80 | 288.43 LT | | |
| | 11/21/13 | 2.000 | 67.625 | 135.25 | 195.96 | 60.71 LT | | |
| | **Total** | 52.000 | | 2,337.70 | **5,094.96** | 2,757.26 LT | 62.00 | 1.21 |
| *Share Price: $97.980; Next Dividend Payable 02/2015* | | | | | | | | |
| SEAGATE TECHNOLOGY PLC (STX) | 8/16/11 | 4.000 | 11.998 | 47.99 | 266.00 | 218.01 LT | | |
| | 8/16/11 | 78.000 | 11.998 | 935.86 | 5,187.00 | 4,251.14 LT | | |
| | 11/18/13 | 19.000 | 48.968 | 930.39 | 1,263.50 | 333.11 LT | | |
| | 11/21/13 | 2.000 | 48.310 | 96.62 | 133.00 | 36.38 LT | | |
| | 4/29/14 | 21.000 | 53.493 | 1,123.35 | 1,396.50 | 273.15 ST | | |
| | 4/30/14 | 3.000 | 52.267 | 156.80 | 199.50 | 42.70 ST | | |
| | 4/30/14 | 25.000 | 52.265 | 1,306.63 | 1,662.50 | 355.87 ST | | |
| | **Total** | 152.000 | | 4,597.64 | **10,108.00** | 4,838.64 LT<br>671.72 ST | 328.00 | 3.24 |
| *Share Price: $66.500; Next Dividend Payable 02/2015* | | | | | | | | |
| SENIOR HSG PPTY TR SBI (SNH) | 10/17/12 | 18.000 | 21.654 | 389.77 | 397.98 | 8.21 LT R | | |
| | 11/16/12 | 17.000 | 21.812 | 370.80 | 375.87 | 5.07 LT R | | |
| | 11/18/13 | 6.000 | 23.407 | 140.44 | 132.66 | (7.78) LT | | |
| | 11/21/13 | 1.000 | 23.180 | 23.18 | 22.11 | (1.07) LT | | |
| | 12/9/13 | 12.000 | 22.851 | 274.21 | 265.32 | (8.89) LT | | |
| | 9/9/14 | 4.000 | 22.638 | 90.55 | 88.44 | (2.11) ST | | |
| | **Total** | 58.000 | | 1,288.95 | **1,282.38** | (4.46) LT<br>(2.11) ST | 90.00 | 7.01 |
| *Share Price: $22.110; Next Dividend Payable 02/2015* | | | | | | | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| SPECTRA ENERGY CORP COM (SE) | 6/8/11 | 41.000 | 26.930 | 1,104.13 | 1,488.30 | 384.17 LT | | |
| | 9/12/12 | 34.000 | 28.490 | 968.66 | 1,234.20 | 265.54 LT | | |
| | 11/18/13 | 23.000 | 34.125 | 784.88 | 834.90 | 50.02 LT | | |
| | 12/19/14 | 56.000 | 36.643 | 2,051.98 | 2,032.80 | (19.18) ST | | |
| | **Total** | 154.000 | | 4,909.65 | **5,590.20** | 699.73 LT<br>(19.18) ST | 228.00 | 4.07 |
| *Share Price: $36.300; Next Dividend Payable 03/2015* | | | | | | | | |
| STARZ SERIES A (STRZA) | 8/16/11 | 21.000 | 7.476 | 156.99 | 623.70 | 466.71 LT | | |
| | 11/21/13 | 2.000 | 27.280 | 54.56 | 59.40 | 4.84 LT | | |
| | **Total** | 23.000 | | 211.55 | **683.10** | 471.55 LT | — | — |
| *Share Price: $29.700* | | | | | | | | |
| TE CONNECTIVITY LTD NEW (TEL) | 8/16/11 | 76.000 | 30.935 | 2,351.06 | 4,807.00 | 2,455.94 LT | | |
| | 11/18/13 | 18.000 | 52.631 | 947.35 | 1,138.50 | 191.15 LT | | |
| | 9/9/14 | 5.000 | 62.172 | 310.86 | 316.25 | 5.39 ST | | |
| | **Total** | 99.000 | | 3,609.27 | **6,261.75** | 2,647.09 LT<br>5.39 ST | 115.00 | 1.83 |
| *Share Price: $63.250; Next Dividend Payable 03/2015* | | | | | | | | |
| THE ADT CORPORATION COM (ADT) | 8/16/11 | 35.000 | 26.093 | 913.26 | 1,268.05 | 354.79 LT R | | |
| | 11/18/13 | 8.000 | 42.778 | 342.22 | 289.84 | (52.38) LT | | |
| | 2/28/14 | 19.000 | 30.817 | 585.52 | 688.37 | 102.85 ST | | |
| | **Total** | 62.000 | | 1,841.00 | **2,246.26** | 302.41 LT<br>102.85 ST | 50.00 | 2.22 |
| *Share Price: $36.230; Next Dividend Payable 02/2015* | | | | | | | | |
| TYCO INTL PLC SHS (TYC) | 8/16/11 | 85.000 | 20.331 | 1,728.16 | 3,728.10 | 1,999.94 LT | | |
| | 11/18/13 | 14.000 | 37.537 | 525.52 | 614.04 | 88.52 LT | | |
| | 9/9/14 | 6.000 | 44.117 | 264.70 | 263.16 | (1.54) ST | | |
| | **Total** | 105.000 | | 2,518.38 | **4,605.30** | 2,088.46 LT<br>(1.54) ST | 76.00 | 1.65 |
| *Share Price: $43.860* | | | | | | | | |
| UNITEDHEALTH GP INC (UNH) | 8/16/11 | 86.000 | 44.837 | 3,856.01 | 8,693.74 | 4,837.73 LT | | |
| | 11/18/13 | 17.000 | 71.680 | 1,218.56 | 1,718.53 | 499.97 LT | | |
| | **Total** | 103.000 | | 5,074.57 | **10,412.27** | 5,337.70 LT | 155.00 | 1.48 |
| *Share Price: $101.090; Next Dividend Payable 03/2015* | | | | | | | | |
| VENTAS INC (VTR) | 12/10/13 | 21.000 | 58.150 | 1,221.16 | 1,505.70 | 284.54 LT | | |
| | 2/10/14 | 19.000 | 62.899 | 1,195.08 | 1,362.30 | 167.22 ST | | |
| | 9/9/14 | 5.000 | 64.862 | 324.31 | 358.50 | 34.19 ST | | |

# Account Detail

Select UMA Active Assets Account

THE EHLERT FAMILY FOUNDATION
1436 NIGHTHAWK POINTE
Nickname: Foundation

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| | **Total** | 45.000 | | 2,740.55 | **3,226.50** | 284.54 LT<br>201.41 ST | 142.00 | 4.40 |
| *Share Price: $71.700; Next Dividend Payable 03/2015* | | | | | | | | |
| **VERIZON COMMUNICATIONS (VZ)** | 2/21/14 | 34.000 | 48.115 | 1,635.91 | 1,590.52 | (45.39) ST | | |
| | 3/5/14 | 1.000 | 47.510 | 47.51 | 46.78 | (0.73) ST | | |
| | **Total** | 35.000 | | 1,683.42 | **1,637.30** | (46.12) ST | 77.00 | 4.70 |
| *Share Price: $46.780; Next Dividend Payable 02/2015* | | | | | | | | |
| **VERTEX PHARMACEUTICALS (VRTX)** | 8/16/11 | 5.000 | 45.920 | 229.60 | 594.00 | 364.40 LT | | |
| | 2/14/12 | 11.000 | 36.254 | 398.79 | 1,306.80 | 908.01 LT | | |
| | 10/9/13 | 1.000 | 69.960 | 69.96 | 118.80 | 48.84 LT | | |
| | 11/6/13 | 2.000 | 63.620 | 127.24 | 237.60 | 110.36 LT | | |
| | 11/18/13 | 9.000 | 62.126 | 559.13 | 1,069.20 | 510.07 LT | | |
| | **Total** | 28.000 | | 1,384.72 | **3,326.40** | 1,941.68 LT | — | — |
| *Share Price: $118.800* | | | | | | | | |
| **VODAFONE GROUP PLC (VOD)** | 6/8/11 | 11.000 | 50.896 | 559.86 | 375.87 | (183.99) LT | | |
| | 6/8/11 | 13.000 | 49.218 | 639.84 | 444.21 | (195.63) LT | | |
| | 9/12/12 | 13.000 | 51.939 | 675.21 | 444.21 | (231.00) LT | | |
| | 3/8/13 | 15.000 | 51.637 | 774.55 | 512.55 | (262.00) LT | | |
| | 11/18/13 | 18.000 | 70.758 | 1,273.64 | 615.06 | (658.58) LT | | |
| | 11/21/13 | 2.000 | 18.565 | 37.13 | 68.34 | 31.21 LT | | |
| | **Total** | 72.000 | | 3,960.23 | **2,460.24** | (1,499.99) LT | 130.00 | 5.28 |
| *Share Price: $34.170; Next Dividend Payable 02/04/15* | | | | | | | | |
| **WEATHERFORD INTL LTD (WFT)** | 8/16/11 | 157.000 | 17.058 | 2,678.14 | 1,797.65 | (880.49) LT | | |
| | 8/29/11 | 73.000 | 16.938 | 1,236.51 | 835.85 | (400.66) LT H | | |
| | 5/3/13 | 135.000 | 13.683 | 1,847.19 | 1,545.75 | (301.44) LT | | |
| | 11/21/13 | 21.000 | 16.087 | 337.82 | 240.45 | (97.37) LT | | |
| | **Total** | 386.000 | | 6,099.66 | **4,419.70** | (1,679.96) LT | — | — |
| *Share Price: $11.450; Basis Adjustment Due to Wash Sale: $13.04* | | | | | | | | |
| **WEYERHAEUSER CO (WY)** | 8/20/14 | 40.000 | 34.083 | 1,363.32 | 1,435.60 | 72.28 ST | | |
| | 9/9/14 | 4.000 | 33.938 | 135.75 | 143.56 | 7.81 ST | | |
| | 10/31/14 | 39.000 | 33.762 | 1,316.70 | 1,399.71 | 83.01 ST | | |
| | 12/9/14 | 33.000 | 36.129 | 1,192.26 | 1,184.37 | (7.89) ST | | |
| | **Total** | 116.000 | | 4,008.03 | **4,163.24** | 155.21 ST | 135.00 | 3.24 |
| *Share Price: $35.890; Next Dividend Payable 02/2015* | | | | | | | | |

# Account Detail

**Select UMA Active Assets Account**

**THE EHLERT FAMILY FOUNDATION**
**1436 NIGHTHAWK POINTE**
**Nickname: Foundation**

## STOCKS

### COMMON STOCKS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| **WILLIAMS CO INC (WMB)** | 6/8/11 | 42.000 | 24.407 | 1,025.10 | 1,887.48 | 862.38 LT | | |
| | 9/12/12 | 30.000 | 33.910 | 1,017.30 | 1,348.20 | 330.90 LT | | |
| | 12/18/12 | 25.000 | 32.362 | 809.04 | 1,123.50 | 314.46 LT | | |
| | 11/18/13 | 36.000 | 35.388 | 1,273.95 | 1,617.84 | 343.89 LT | | |
| | 11/21/13 | 2.000 | 34.835 | 69.67 | 89.88 | 20.21 LT | | |
| | 9/9/14 | 7.000 | 57.716 | 404.01 | 314.58 | (89.43) ST | | |
| | **Total** | 142.000 | | 4,599.07 | **6,381.48** | 1,871.84 LT<br>(89.43) ST | 324.00 | 5.07 |

*Share Price: $44.940; Next Dividend Payable 03/2015*

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| STOCKS | 54.8% | $216,500.38 | $283,562.85 | $64,078.53 LT<br>$2,955.39 ST | $7,243.00<br>$0.00 | 2.55% |

## EXCHANGE-TRADED & CLOSED-END FUNDS

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| **ARES CAPITAL CORP (ARCC)** | 6/19/14 | 79.000 | $17.285 | $1,365.55 | $1,232.79 | $(132.76) ST | | |
| | 9/9/14 | 5.000 | 17.076 | 85.38 | 78.02 | (7.36) ST | | |
| | **Total** | 84.000 | | 1,450.93 | **1,310.82** | (140.12) ST | 128.00 | 9.76 |

*Share Price: $15.605; Next Dividend Payable 03/2015*

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income<br>Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| EXCHANGE-TRADED & CLOSED-END FUNDS | 0.3% | 1 $1,450.93 | $1,310.82 2 | $(140.12) ST | $128.00<br>$0.00 | 9.76% |

# Account Detail

**Select UMA Active Assets Account**

**THE EHLERT FAMILY FOUNDATION**
**1436 NIGHTHAWK POINTE**
**Nickname: Foundation**

## MUTUAL FUNDS

### OTHER MUTUAL FUNDS

*"Total Purchases vs. Market Value" is provided to assist you in comparing your "Total Purchases," excluding reinvested distributions, with the current value of the mutual fund positions in your account.*

*"Cumulative Cash Distributions" when shown, may reflect distributions on shares no longer held in the account. It may not reflect all distributions received in cash; due to but not limited to: investments made prior to addition of this information on statements; securities transfers; timing of recent distributions; and certain adjustments made in your account.*

*"Net Value Increase/ (Decrease)" reflects the difference between your total purchases, and the sum of the current value of the fund's shares, and cash distributions shown. This calculation is for informational purposes only and does not reflect your total unrealized gain or loss nor should it be used for tax purposes.*

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| **AMERICAN EUROPACIFIC GRW F2 (AEPFX)** | 6/6/08 | 754.342 | $48.464 | $36,558.10 | $35,469.16 | $(1,088.94) LT | | |
| | 6/13/08 | 1.382 | 47.164 | 65.18 | 64.98 | (0.20) LT | | |
| | 7/29/11 | 29.731 | 42.447 | 1,261.98 | 1,397.95 | 135.97 LT | | |
| | 11/21/13 | 9.423 | 47.751 | 449.96 | 443.07 | (6.89) LT | | |
| | **Purchases** | 794.878 | | 38,335.22 | **37,375.16** | (960.06) LT | | |
| Long Term Reinvestments | | 250.383 | | 8,137.59 | 11,773.01 | 3,635.42 LT | | |
| | **Total** | 1,045.261 | | 46,472.81 | **49,148.17** | 2,675.36 LT | 825.00 | 1.67 |
| Total Purchases vs Market Value | | | | 38,335.22 | 49,148.17 | | | |
| Cumulative Cash Distributions | | | | | 824.40 | | | |
| Net Value Increase/(Decrease) | | | | | 11,637.35 | | | |
| *Share Price: $47.020; Dividend Cash; Capital Gains Cash* | | | | | | | | |
| **GUGGENHEIM MACRO OPPORT I (GIOIX)** | 2/28/14 | 3,779.397 | 27.230 | 102,912.99 | **101,514.60** | (1,398.39) ST | 5,023.00 | 4.94 |
| Total Purchases vs Market Value | | | | 102,912.99 | 101,514.60 | | | |
| Cumulative Cash Distributions | | | | | 3,476.24 | | | |
| Net Value Increase/(Decrease) | | | | | 2,077.85 | | | |
| *Share Price: $26.860; Dividend Cash; Capital Gains Cash* | | | | | | | | |
| **INVESCO DEVELOPING MARKETS Y (GTDYX)** | 11/18/13 | 866.646 | 33.880 | 29,361.97 | 26,389.37 | (2,972.60) LT | | |
| | 11/21/13 | 18.299 | 33.170 | 606.97 | 557.20 | (49.77) LT | | |
| | **Total** | 884.945 | | 29,968.94 | **26,946.58** | (3,022.37) LT | — | — |
| Total Purchases vs Market Value | | | | 29,968.94 | 26,946.58 | | | |
| Cumulative Cash Distributions | | | | | 1,286.01 | | | |
| Net Value Increase/(Decrease) | | | | | (1,736.35) | | | |
| *Share Price: $30.450; Dividend Cash; Capital Gains Cash* | | | | | | | | |
| **INVESCO GLB REAL ESTATE Y (ARGYX)** | 8/16/11 | 1,161.705 | 10.070 | 11,698.37 | 15,125.40 | 3,427.03 LT | | |
| | 2/16/12 | 24.359 | 10.550 | 256.99 | 317.15 | 60.16 LT | | |
| | 11/21/13 | 51.761 | 11.920 | 616.99 | 673.93 | 56.94 LT | | |
| | **Total** | 1,237.825 | | 12,572.35 | **16,116.48** | 3,544.13 LT | 323.00 | 2.00 |

# Account Detail

**Select UMA Active Assets Account**

**THE EHLERT FAMILY FOUNDATION**
**1436 NIGHTHAWK POINTE**
**Nickname: Foundation**

## MUTUAL FUNDS

### OTHER MUTUAL FUNDS (CONTINUED)

| Security Description | Trade Date | Quantity | Unit Cost | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income | Dividend Yield % |
|---|---|---|---|---|---|---|---|---|
| Total Purchases vs Market Value | | | | 12,572.35 | 16,116.48 | | | |
| Cumulative Cash Distributions | | | | | 1,296.61 | | | |
| Net Value Increase/(Decrease) | | | | | 4,840.74 | | | |
| *Share Price: $13.020; Dividend Cash; Capital Gains Cash* | | | | | | | | |
| **INVESCO MID CAP CORE EQUITY Y (GTAYX)** | 2/28/14 | 1,184.727 | 26.060 | 30,873.99 | 28,149.11 | (2,724.88) ST | — | — |
| Total Purchases vs Market Value | | | | 30,873.99 | 28,149.11 | | | |
| Cumulative Cash Distributions | | | | | 3,320.55 | | | |
| Net Value Increase/(Decrease) | | | | | 595.67 | | | |
| *Share Price: $23.760; Dividend Cash; Capital Gains Cash* | | | | | | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| MUTUAL FUNDS | 42.9% | (1) $222,801.08 | $221,874.94 (2) | $3,197.12 LT<br>$(4,123.27) ST | $6,171.00<br>$0.00 | 2.78% |
| * Investments - other | | Σ(1)= 224,252.01 | 223,185.76 = Σ(2) | | | |

| | Percentage of Assets % | Total Cost | Market Value | Unrealized Gain/(Loss) | Estimated Annual Income Accrued Interest | Yield % |
|---|---|---|---|---|---|---|
| TOTAL MARKET VALUE | 100.0% | $440,752.39 | $517,064.38 | $67,275.65 LT<br>$(1,308.00) ST | $13,547.00<br>$0.00 | 2.62% |

TOTAL VALUE (includes accrued interest) $517,064.38

*G - This tax lot has been marked as a gift. Gift basis rules apply at the time of sale.*
*H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.*
*R - The cost basis for this tax lot was adjusted due to a reclassification of income.*
*Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.*

## ACTIVITY

### CASH FLOW ACTIVITY BY DATE

| Transaction Date | Settlement Date | Activity Type | Description | Comments | Quantity | Price | Credits/(Debits) |
|---|---|---|---|---|---|---|---|
| 11/28 | 11/28 | Dividend | GUGGENHEIM MACRO OPPORT I DIV PAYMENT | | | | $312.90 |
| 12/1 | 12/1 | Qualified Dividend | AMERICAN WATER WORKS CO | | | | 32.86 |
| 12/1 | 12/1 | Qualified Dividend | INTEL CORP | | | | 32.40 |
| 12/2 | 12/2 | Qualified Dividend | PFIZER INC | | | | 20.80 |