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EXTENDED TO AUGUST 17, 2015  
Return of Private Foundation

Form **990-PF**

Department of the Treasury  
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter social security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

**2014**

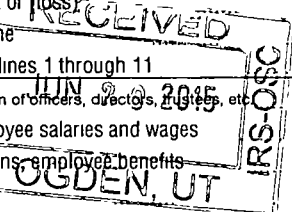
Open to Public Inspection

For calendar year 2014 or tax year beginning

, and ending

Name of foundation <b>KIEVE FOUNDATION</b>		A Employer identification number <b>01-0664998</b>
Number and street (or P O box number if mail is not delivered to street address) <b>2359 MT. DAVIDSON DRIVE</b>	Room/suite	B Telephone number <b>(408) 293-8030</b>
City or town, state or province, country, and ZIP or foreign postal code <b>SAN JOSE, CA 95124-2513</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) <b>\$ 4,731,736.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received				N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities		142,585.	142,585.		STATEMENT 1
5a Gross rents					
b Net rental income or (loss)					
6a Net gain or (loss) from sale of assets not on line 10		270,657.			
b Gross sales price for all assets on line 6a <b>1,181,633.</b>					
7 Capital gain net income (from Part IV, line 2)			270,657.		
8 Net short-term capital gain					
9 Income modifications					
10a Gross sales less returns and allowances					
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11		413,242.	413,242.		
13 Compensation of officers, directors, trustees, etc.		0.	0.		0.
14 Other employee salaries and wages					
15 Pension plans, employee benefits					
16a Legal fees					
b Accounting fees STMT 2			2,611.		7,834.
c Other professional fees STMT 3		48,867.	48,867.		0.
17 Interest					
18 Taxes STMT 4		6,202.	34.		0.
19 Depreciation and depletion					
20 Occupancy					
21 Travel, conferences, and meetings					
22 Printing and publications					
23 Other expenses STMT 5		200.	80.		120.
24 Total operating and administrative expenses. Add lines 13 through 23		55,269.	51,592.		7,954.
25 Contributions, gifts, grants paid		288,500.			288,500.
26 Total expenses and disbursements. Add lines 24 and 25		343,769.	51,592.		296,454.
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		69,473.			
b Net investment income (if negative, enter -0-)			361,650.		
c Adjusted net income (if negative, enter -0-)				N/A	



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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only			
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value		
Assets	1	Cash - non-interest-bearing	378.		
	2	Savings and temporary cash investments	90,705.	439,159.	439,159.
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons			
	7	Other notes and loans receivable ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments - U.S. and state government obligations STMT 6	1,316,524.	1,368,963.	1,380,758.
	b	Investments - corporate stock STMT 7	1,528,040.	1,209,980.	2,061,130.
	c	Investments - corporate bonds STMT 8	370,882.	470,130.	482,125.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶				
12	Investments - mortgage loans				
13	Investments - other STMT 9	555,139.	432,464.	368,564.	
14	Land, buildings, and equipment; basis ▶				
	Less accumulated depreciation ▶				
15	Other assets (describe ▶)				
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I)	3,861,668.	3,920,696.	4,731,736.	
Liabilities	17	Accounts payable and accrued expenses	10,445.		
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable			
	22	Other liabilities (describe ▶)			
	23	<b>Total liabilities</b> (add lines 17 through 22)	10,445.	0.	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31				
	24	Unrestricted	3,851,223.	3,920,696.	
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	<b>Total net assets or fund balances</b>	3,851,223.	3,920,696.		
31	<b>Total liabilities and net assets/fund balances</b>	3,861,668.	3,920,696.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,851,223.
2	Enter amount from Part I, line 27a	2	69,473.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	3,920,696.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	<b>Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30</b>	6	<b>3,920,696.</b>

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a ENTERPRISE TRUST - SEE ATTACHED	P	01/01/14	12/31/14
b ENTERPRISE TRUST - SEE ATTACHED	P	12/30/13	12/31/14
c CAPITAL GAINS DIVIDENDS			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 91,280.		90,676.	604.
b 1,086,767.		820,300.	266,467.
c 3,586.			3,586.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			604.
b			266,467.
c			3,586.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	270,657.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	226,000.	4,614,899.	.048972
2012	226,000.	4,457,923.	.050696
2011	211,500.	4,478,702.	.047224
2010	224,800.	4,347,107.	.051713
2009	229,133.	4,076,373.	.056210

2 Total of line 1, column (d)	2	.254815
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.050963
4 Enter the net value of noncharitable-use assets for 2014 from Part X, line 5	4	4,816,787.
5 Multiply line 4 by line 3	5	245,478.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	3,617.
7 Add lines 5 and 6	7	249,095.
8 Enter qualifying distributions from Part XII, line 4	8	296,454.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	3,617.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	3,617.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	3,617.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014	6a	3,120.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c	600.	
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	3,720.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	16.	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	87.	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input checked="" type="checkbox"/> 87. Refunded <input checked="" type="checkbox"/> 0.	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
2 Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <u>N/A</u>	13	X	
14	The books are in care of ► <u>ENTERPRISE TRUST &amp; INVESTMENT CO.</u> Telephone no. ► <u>(408) 358-5811</u> Located at ► <u>15425 LOS GATOS BLVD., LOS GATOS, CA</u> ZIP+4 ► <u>95032</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year ► <u>15</u> N/A			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country ►	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► _____, _____, _____		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No
- (3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions)  Yes  No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?  Yes  No  
 Organizations relying on a current notice regarding disaster assistance check here  N/A

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No  
 If "Yes," attach the statement required by Regulations section 53.4945-5(d) N/A

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?  Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?  Yes  No  
 If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?  Yes  No  
 b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?  Yes  No N/A

5b		
6b		X
7b		

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT KIEVE 2359 MT. DAVIDSON DR. SAN JOSE, CA 95124	PRESIDENT/DIRECTOR 0.25	0.	0.	0.
VITA NEVIS 2359 MT. DAVIDSON DR. SAN JOSE, CA 95124	CFO/SECRETARY/DIRECTOR 0.25	0.	0.	0.
LENOIR KIEVE 2359 MT. DAVIDSON DR. SAN JOSE, CA 95124	VP/DIRECTOR 0.25	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶ 0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3 ▶ 0.

**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	4,496,786.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	393,353.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	<b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	4,890,139.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	4,890,139.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	73,352.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	4,816,787.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	240,839.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here  and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	240,839.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5	<b>2a</b>	3,617.
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	3,617.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	237,222.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	237,222.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	237,222.

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	296,454.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	296,454.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	3,617.
<b>6</b>	<b>Adjusted qualifying distributions</b> Subtract line 5 from line 4	<b>6</b>	292,837.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
<b>1</b> Distributable amount for 2014 from Part XI, line 7				237,222.
<b>2</b> Undistributed income, if any, as of the end of 2014				
<b>a</b> Enter amount for 2013 only			0.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2014:				
<b>a</b> From 2009	22,707.			
<b>b</b> From 2010	9,845.			
<b>c</b> From 2011				
<b>d</b> From 2012	5,280.			
<b>e</b> From 2013				
<b>f</b> Total of lines 3a through e	37,832.			
<b>4</b> Qualifying distributions for 2014 from Part XII, line 4: ▶ \$	296,454.			
<b>a</b> Applied to 2013, but not more than line 2a			0.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2014 distributable amount				237,222.
<b>e</b> Remaining amount distributed out of corpus	59,232.			
<b>5</b> Excess distributions carryover applied to 2014 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	97,064.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015				0.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions)	0.			
<b>8</b> Excess distributions carryover from 2009 not applied on line 5 or line 7	22,707.			
<b>9</b> Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a	74,357.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2010	9,845.			
<b>b</b> Excess from 2011				
<b>c</b> Excess from 2012	5,280.			
<b>d</b> Excess from 2013				
<b>e</b> Excess from 2014	59,232.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section  4942(j)(3) or  4942(j)(5)

	Prior 3 years				(e) Total
	Tax year (a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

**NONE**

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

**NONE**

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here  if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

**ROBERT S. KIEVE, 408-293-8030  
2359 MT. DAVIDSON DR., SAN JOSE, CA 95124**

b The form in which applications should be submitted and information and materials they should include:

**NO PRESCRIBED FORMAT**

c Any submission deadlines:

**NONE**

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**NONE**

**Part XV** Supplementary Information (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>				
ROTARY CLUB OF SAN JOSE FOUNDATION 1690 SENTER RD. SAN JOSE, CA 95112		PC	SUPPORT OF THE PLAY GARDEN	7,000.
ALZHEIMERS ASSOCIATION 2065 W. EL CAMINO REAL, SUITE C MOUNTAIN VIEW, CA 94040		PC	ALZHEIMERS RESEARCH	5,000.
AMERICAN BEETHOVEN SOCIETY ONE WASHINGTON SQUARE SAN JOSE, CA 95192		PC	MUSIC APPRECIATION	1,000.
AMERICAN CANCER SOCIETY 747 CAMDEN AVE. SUITE B CAMPBELL, CA 95008		PC	CANCER RESEARCH	3,500.
AMERICAN HEART ASSOCIATION 1710 GILBRETH RD BURLINGAME, CA 94010		PC	HEART DISEASE RESEARCH	1,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			<b>288,500.</b>
<b>b Approved for future payment</b>				
<b>NONE</b>				
<b>Total</b>				
				<b>0.</b>





**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN RED CROSS 2731 NORTH FIRST ST. SAN JOSE, CA 95134		PC	DISASTER RELIEF	500.
ARTHRITIS FOUNDATION 657 MISSION STREET SAN FRANCISCO, CA 94105		PC	PREVENTION, CONTROL & CURE OF ARTHRITIS	500.
BAY AREA POODLE RESCUE 1442-A WALNUT ST., #204 BERKELEY, CA 94709		PC	POODLE RESCUE	2,000.
BELLARMINE COLLEGE PREPARATORY 960 WEST HEDDING ST SAN JOSE, CA 95126		PC	SECONDARY COLLEGE PREP EDUCATION	1,500.
BERKSHIRE SCHOOL 245 UNDERMOUNTAIN ROAD SHEFFIELD, MA 01257		PC	EDUCATION	500.
BOY SCOUTS OF AMERICA SANTA CLARA 970 W. JULIAN ST. SAN JOSE, CA 95126		PC	YOUTH ACTIVITIES	1,000.
BOYS AND GIRLS CLUB 518 VALLEY WAY MILPITAS, CA 95035		PC	BOYS AND GIRLS WELFARE	1,000.
CHILDREN'S DISCOVERY MUSEUM OF SAN JOSE 180 WOZ WAY SAN JOSE, CA 95110		PC	EDUCATION OF CHILDREN	500.
CHILDREN'S MUSICAL THEATRE 1401 PARKMOOR AVE. SAN JOSE, CA 95126		PC	DEVELOPMENT OF YOUTH TALENT	1,000.
CITY LIGHTS 529 S. SECOND ST. SAN JOSE, CA 95112		PC	SUPPORT OF SAN JOSE THEATRE ACTIVITIES	6,000.
<b>Total from continuation sheets</b>				<b>271,000.</b>

**Part XV** Supplementary Information**3** Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
COMMONWEALTH CLUB 595 MARKET STREET SAN FRANCISCO, CA 94105		PC	SUPPORT OF GENERAL EDUCATION	500.
DOCTOR'S WITHOUT BORDERS USA 333 7TH AVE. NEW YORK, NY 10001		PC	MEDICAL HELP WORLDWIDE	500.
DOWNTOWN COLLEGE PREP 1460 THE ALAMEDA SAN JOSE, CA 95126		PC	SECONDARY COLLEGE PREP EDUCATION	2,500.
EHC LIFEBUILDERS 507 VALLEY WAY MILPITAS, CA 95035		PC	HOUSING FOR HOMELESS	500.
EISENHOWER FOUNDATION MUSEUM P.O. BOX 295 ABILENE, KS 67410		PC	SUPPORT OF THE EISENHOWER LIBRARY	500.
FURRY FRIENDS P.O. BOX 7270 FREMONT, CA 94537		PC	ANIMAL WELFARE	1,000.
GILDA RADNER FAMILIAL OVARIAN CANCER ELM & CARLTON STREET BUFFALO, NY 14263		PC	OVARIAN CANCER RESEARCH	7,000.
GUADALUPE RIVER PARK 438 COLEMAN AVE. SAN JOSE, CA 95110		PC	MAINTAIN PUBLIC OPEN SPACE	1,000.
HABITAT FOR HUMANITY 513 VALLEY WAY MILPITAS, CA 95035		PC	PROVIDING AFFORDABLE HOME OWNERSHIP	2,000.
HAPPY HOLLOW 1300 SENTER RD SAN JOSE, CA 95112		PC	OUTDOOR ADVENTURE FOR FAMILIES	2,500.
<b>Total from continuation sheets</b>				

**Part XV** Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
HARVARD COLLEGE FUND 124 MOUNT AUBURN ST. CAMBRIDGE, MA 02138		PC	EDUCATION	1,000.
HISTORY SAN JOSE 1650 SENTER RD. SAN JOSE, CA 95112		PC	SUPPORT OF LOCAL MUSEUM	1,500.
HOSPICE OF THE VALLEY 1150 S. BASCOM AVE., SUITE 7A SAN JOSE, CA 95128		PC	END OF LIFE CARE	4,000.
HUMANE SOCIETY 2530 LAFAYETTE ST. SANTA CLARA, CA 95050		PC	ANIMAL WELFARE	1,500.
KCSM 1700 WEST HILLSDALE BLVD. SAN MATEO, CA 94402		PC	PUBLIC TELEVISION	1,000.
KQED/KTEH 2601 MARIPOSA ST SAN FRANCISCO, CA 94110		PC	PUBLIC TELEVISION	2,500.
LINCOLN LAW SCHOOL ONE NORTH FIRST ST. SAN JOSE, CA 95113		PC	EDUCATION	100,000.
MARKKULA CENTER FOR APPLIED ETHICS 500 EL CAMINO REAL SANTA CLARA, CA 95053		PC	TOWARD A MORE ETHICAL SOCIETY	1,000.
MIDSUMMER MOZART 760 MARKET ST., SUITE 749 SAN FRANCISCO, CA 94102		PC	MUSIC APPRECIATION	7,000.
MUTTVILLE P.O. BOX 410207 SAN FRANCISCO, CA 94141		PC	SENIOR DOG RESCUE	5,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
NEXT DOOR SOLUTIONS 234 E. GISH RD., SUITE 200 SAN JOSE, CA 95112		PC	PREVENTION OF DOMESTIC ABUSE	2,000.
OPERA SAN JOSE 2149 PARAGON DR. SAN JOSE, CA 95131		PC	SUPPORT OF SAN JOSE ARTS	40,000.
OPERATION SMILE 6435 TIDEWATER DR. NORFOLK, VA 23509		PC	HELPING CHILDREN WITH CLEFT PALETS	2,500.
P.A.C.T. 1100 SHASTA AVE. SAN JOSE, CA 95126		PC	COMMUNITY ADVOCACY	500.
PENINSULA OPEN SPACE TRUST 3000 SAND HILL RD, 1-155 MENLO PARK, CA 94025		PC	OPEN LAND PRESERVATION	1,000.
RAFT 1355 RIDDER PARK DR. SAN JOSE, CA 95131		PC	EDUCATION AID	1,000.
ROTOPLAST FOUNDATION 1690 SENTER RD. SAN JOSE, CA 95112		PC	MEDICAL CARE FOR LOW INCOME CHILDREN	2,000.
SALVATION ARMY 359 N. FIRST STREET SAN JOSE, CA 95112		PC	ASSISTANCE FOR SUBSTANCE ABUSE	1,000.
SAN JOSE MUSEUM OF ART 110 SOUTH MARKET ST. SAN JOSE, CA 95113		PC	ART APPRECIATION	1,500.
SILICON VALLEY READS 150 E. SAN FERNANDO ST., 4TH FLOOR SAN JOSE, CA 95112		PC	PROMOTES READING	500.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information****3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SAN JOSE REPERTORY THEATRE 101 PASEO DE SAN ANTONIO SAN JOSE, CA 95113		PC	SUPPORT OF SAN JOSE ARTS	1,000.
SAN JOSE TECH MUSEUM 201 SOUTH MARKET ST SAN JOSE, CA 95113		PC	EDUCATION	1,000.
SAN JOSE YOUTH SYMPHONY 595 PARK AVE. SAN JOSE, CA 95110		PC	MUSIC APPRECIATION	1,000.
SECOND HARVEST FOOD BANK 750 CURTNER AVE. SAN JOSE, CA 95125		PC	FOOD FOR THE HUNGRY	3,000.
SILICON VALLEY FACES 777 N. FIRST STREET #220 SAN JOSE, CA 95112		PC	OPPOSITION TO BIGOTRY	1,000.
SILICON VALLEY LAND CONSERVANCY 525 W. SANTA CLARA ST. SAN JOSE, CA 95113		PC	MAINTAIN PUBLIC OPEN SPACE	1,000.
SOI DOG 344 TULLY RD SAN JOSE, CA 95111		PC	ANIMAL WELFARE	2,000.
STROKE AWARENESS FOUNDATION 2105 S. BASCOM AVE, SUITE 220 CAMPBELL, CA 95008		PC	STROKE PREVENTION & RESEARCH	6,000.
SYMPHONY SILICON VALLEY P.O. BOX 790 SAN JOSE, CA 95106		PC	SUPPORT OF SAN JOSE ARTS	25,000.
TRIPLE NEGATIVE BREAST CANCER FOUNDATION P.O. BOX 204 NORWOOD, NJ 07648		PC	BREAST CANCER AWARENESS	13,000.
<b>Total from continuation sheets</b>				

**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNITED WAY 1922 THE ALAMEDA SAN JOSE, CA 95126		PC	COMMUNITY SUPPORT	3,000.
UNIVERSITY OF ARIZONA 111 N. CHERRY AVE. TUCSON, AZ 85721		PC	POST SECONDARY EDUCATION	1,000.
VIA SERVICES 2851 PARK AVE. SANTA CLARA, CA 95050		PC	SUPPORT OF THE DISABLED	1,000.
YMCA 1922 THE ALAMEDA, 3RD FLOOR SAN JOSE, CA 95126		PC	YOUTH ACTIVITIES	1,500.
YWCA 375 S. THIRD ST SAN JOSE, CA 95112		PC	YOUTH ACTIVITIES	1,500.
<b>Total from continuation sheets</b>				

ENTERPRISE TRUST & INVESTMENT COMPANY

Account 02491849181

Summary Information

2014

**SALES TRANSACTIONS**

**Proceeds, gains, losses and adjustments**

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	71,351.02	70,747.21	0.00	0.00	603.81
Short	B (basis not reported to the IRS)	19,929.08	19,929.08	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Short-term</b>	<b>91,280.10</b>	<b>90,676.29</b>	<b>0.00</b>	<b>0.00</b>	<b>603.81</b>
Long	D (basis reported to the IRS)	205,318.54	173,363.56	0.00	0.00	31,954.98
Long	E (basis not reported to the IRS)	881,449.04	646,936.74	0.00	0.00	234,512.30
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Long-term</b>	<b>1,086,767.58</b>	<b>820,300.30</b>	<b>0.00</b>	<b>0.00</b>	<b>266,467.28</b>
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	<b>Total Undetermined-term</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>	<b>0.00</b>
	<b>Grand total</b>	<b>1,178,047.68</b>	<b>910,976.59</b>	<b>0.00</b>	<b>0.00</b>	<b>267,071.09</b>

**Withholding from Proceeds**

Federal income tax withheld

0.00

**ENTERPRISE TRUST & INVESTMENT COMPANY**

Account 02491849181

**Proceeds from Broker and Barter Exchange Transactions**

OMB No. 1545-0715

2014 1099-B\*

**5- COVERED tax lots 3- Basis is reported to the IRS\*\***

**SHORT-TERM TRANSACTIONS**

Report on Form 8949, Part I, with Box A checked

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	6- Reported (G)/ross (N)/et	1b- Date acquired	1d- Proceeds & Reported (G)/ross (N)/et	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
LOWES COS INC / CUSIP 548661107 / Symbol. LOW	02/06/14	100 000		05/07/13	4,644 77	4,040 96		603 81	Gross proceeds Original basis \$4,040 96

**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

**SHORT-TERM TRANSACTIONS**

Report on Form 8949, Part I, with Box B checked

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	6- Reported (G)/ross (N)/et	1d- Proceeds & Reported (G)/ross (N)/et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
PUBLIC CHARTER SCHOOL RE 4.25% 4/30/14 / CUSIP 74440PMX2 / Symbol	01/02/14	709 220		709.22	09/19/13	709 22		0 00	Gross proceeds Original basis \$709 22
	01/09/14	7,411 350		7,411 35	09/19/13	7,411 35		0 00	Gross proceeds Original basis. \$7,411.35
	01/16/14	4,609 930		4,609 93	09/19/13	4,609 93		0 00	Gross proceeds Original basis \$4,609.93
	01/30/14	1,524 820		1,524 82	09/19/13	1,524 82		0 00	Gross proceeds Original basis: \$1,524 82
	02/06/14	5,673 760		5,673 76	09/19/13	5,673 76		0 00	Gross proceeds Original basis: \$5,673 76
		Security total		19,929.08		19,929 08		0 00	
		Totals:		19,929.08		19,929.08	...	0.00	

**5- COVERED tax lots 3- Basis is reported to the IRS\*\***

**2- LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	6- Reported (G)/ross (N)/et	1d- Proceeds & Reported (G)/ross (N)/et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ALCOA INC COM / CUSIP 013817101 / Symbol AA	02/07/14	300 000		3,350 94	12/01/11	2,946 45		404 49	Gross proceeds
	10/14/14	200 000		2,899 07	12/01/11	1,964 30		934 77	Gross proceeds
		2 tax lots for 10/17/14. Total proceeds (and cost when required) reported to the IRS		4,634 33	12/01/11	2,946 45		1,687 88	Gross proceeds
		300 000							

\* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).  
 \*\*For NONCOVERED tax lots, values for "Date acquired," "Cost or other basis" and "Adjustments & Code(s), if any," are provided for your reference and are NOT reported to the IRS. For all tax lots, values for "Gain or loss(-)" and "Additional information" are provided for your reference and are NOT reported to the IRS.

ENTERPRISE TRUST & INVESTMENT COMPANY

Account 02491849181

2014 1099-B\*

(continued)

OMB No 1545-0715

Proceeds from Broker and Barter Exchange Transactions

2- LONG-TERM TRANSACTIONS 5- COVERED tax lots 3- Basis is reported to the IRS\*\*

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)net	1d- Proceeds & 6- Reported (N)net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Additional information
ALCOA INC COM / CUSIP 013817101 / Symbol AA (cont'd)	300 000	4,634 32	4,634 32	12/08/11	2,871 00		1,763 32	Gross proceeds Original basis \$2,871 00 Total of 2 lots
10/17/14	600 000	9,268 65	9,268 65	VARIOUS	5,817 45		3,451 20	
	Security total.	15,518 66	15,518 66		10,728 20		4,790 46	
ARCHER DANIELS MIDLAND CO / CUSIP 039483102 / Symbol. ADM								
2 tax lots for 05/15/14. Total proceeds (and cost when required) reported to the IRS								
	200 000	8,696 23	8,696 23	05/26/11	6,296 66		2,399 57	Gross proceeds
05/15/14	100 000	4,348 12	4,348 12	06/01/11	3,160 00		1,188 12	Gross proceeds
	300 000	13,044 35	13,044 35	VARIOUS	9,456 66		3,587 69	Total of 2 lots
10/14/14	100 000	4,350 67	4,350 67	06/01/11	3,160 00		1,190 67	Gross proceeds
	Security total	17,395 02	17,395 02		12,616 66		4,778 36	
BARRICK GOLD CORPORATION / CUSIP. 067901108 / Symbol. ABX								
12/12/14	400 000	4,624 58	4,624 58	04/03/12	17,322 24		-12,697 66	Gross proceeds Original basis: \$17,322 24
CATERPILLAR INC DEL / CUSIP 149123101 / Symbol CAT								
04/28/14	200 000	20,694 54	20,694 54	04/12/13	16,924 54		3,770 00	Gross proceeds Original basis. \$16,924.54
CENTURYLINK INC / CUSIP: 156700106 / Symbol: CTL								
10/14/14	100 000	3,887 13	3,887 13	02/01/12	3,758 99		128 14	Gross proceeds Original basis: \$3,758 99
CORNING INC / CUSIP 219350105 / Symbol GLW								
05/15/14	300 000	6,252 98	6,252 98	07/01/11	5,600 01		652 97	Gross proceeds
06/12/14	100 000	2,096 98	2,096 98	07/01/11	1,866 67		230 31	Gross proceeds
	Security total	8,349 96	8,349 96		7,466 68		883 28	
FEDERATED INVESTORS / CUSIP 314211103 / Symbol FI								
10/14/14	200 000	5,647 63	5,647 63	01/31/12	3,430 00		2,217 63	Gross proceeds Original basis \$3,430 00

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**ENTERPRISE TRUST & INVESTMENT COMPANY**

Account 02491849181

2014 1099-B\*

(continued)

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

**5- COVERED tax lots 3- Basis is reported to the IRS\*\***

**2- LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box D checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / CUSIP	1d- Proceeds & (G)/gross (N)/net	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Gain or loss(-) & Loss not allowed(X)	Additional information
IShares TR RUSSELL 2000 ETF / CUSIP 464287655 / Symbol IWM	200 000		22,848.96	04/01/13	18,638.86		4,210.10	Gross proceeds Original basis \$18,638.86
04/04/14								Gross proceeds Original basis \$18,638.86
04/23/14	200 000		22,797.58	04/01/13	18,638.86		4,158.72	
	Security total		45,646.54		37,277.72		8,368.82	
LOWES COS INC / CUSIP 548661107 / Symbol. LOW	200 000		10,443.49	05/07/13	8,081.92		2,361.57	Gross proceeds Original basis \$8,081.92
10/14/14								Gross proceeds Original basis \$8,081.92
10/15/14	200 000		10,275.31	05/07/13	8,081.92		2,193.39	
	Security total		20,718.80		16,163.84		4,554.96	
MONSANTO COMPANY NEW / CUSIP 61166W101 / Symbol MON	50 000		6,039.88	04/21/11	3,399.51		2,640.37	Gross proceeds
06/12/14								
SEVENTY SEVEN ENERGY INC / CUSIP 818097107 / Symbol SSE								
	2 tax lots for 07/17/14		Total proceeds (and cost when required) reported to the IRS					
	114 290		2,837.92	05/01/12	1,909.66		928.26	Gross proceeds Original basis \$1,909.66
	21 430		532.12	05/02/12	310.25		221.87	Gross proceeds Original basis \$310.25
07/17/14	135 720		3,370.04	VARIOUS	2,219.91		1,150.13	Total of 2 lots
TARGET CORP COM / CUSIP 87612E106 / Symbol TGT	300 000		18,450.91	05/26/11	14,838.60		3,612.31	Gross proceeds
10/14/14								Gross proceeds
10/23/14	500 000		31,074.01	05/26/11	24,731.00		6,343.01	
	Security total		49,524.92		39,569.60		9,955.32	
WAL MART STORES INC / CUSIP 931142103 / Symbol WMT	50 000		3,900.84	08/08/11	2,485.67		1,415.17	Gross proceeds
10/14/14								
<b>Totals:</b>			<b>205,318.54</b>		<b>173,363.56</b>	...	<b>31,954.98</b>	

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**ENTERPRISE TRUST & INVESTMENT COMPANY**  
**Proceeds from Broker and Barter Exchange Transactions**  
 Account 02491849181  
 OMB No. 1545-0715

**LONG-TERM TRANSACTIONS**  
 5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported	1d- Proceeds & (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
INVENCO MID CAP GROWTH CL A / CUSIP .00143M596 / Symbol VGRAX	825 174		30,391 17	08/01/07	23,519 19		6,871 98	Gross proceeds
2 tax lots for 06/03/14. Total proceeds (and cost when required) reported to the IRS								
	923 812		35,280 38	08/01/07	26,330 58		8,949 80	Gross proceeds
	276 188		10,547 62	10/10/07	8,430 16		2,117 46	Gross proceeds
	1,200 000		45,828 00	VARIOUS	34,760 74		11,067 26	Total of 2 lots
	1,000 000		36,040 00	10/10/07	30,523 28		5,516 72	Gross proceeds
	1,000 000		39,740 00	10/10/07	30,523 28		9,216 72	Gross proceeds
	1,000 000		35,380 00	10/10/07	30,523 28		4,856 72	Gross proceeds
	Security total		187,379 17		149,849 77		37,529 40	
ALCOA INC COM / CUSIP 013817101 / Symbol AA								
02/07/14	200 000		2,233 96	07/25/05	5,729 06		-3,495 10	Gross proceeds
ALLSTATE CORP / CUSIP 020002101 / Symbol ALL								
04/10/14	100 000		5,571 88	03/30/04	4,543 52		1,028 36	Gross proceeds
05/15/14	300 000		17,223 98	03/30/04	13,630 56		3,593 42	Gross proceeds
12/08/14	200 000		13,816 25	03/30/04	9,087 04		4,729 21	Gross proceeds
	Security total		36,612 11		27,261 12		9,350 99	
ALTRIA GROUP INC / CUSIP .02209S103 / Symbol MO								
12/08/14	400 000		20,453 14	03/24/03	3,121 70		17,331 44	Gross proceeds
ANAHEIM CA REDEV AGY TAX 5 759% 2/1/2018 / CUSIP 032565EA7 / Symbol								
02/01/14	5,000 000		5,000 00	06/15/12	5,283 55		-283 55	Gross proceeds Original basis. \$5,283 55
BARRICK GOLD CORPORATION / CUSIP 067901108 / Symbol: ABX								
12/12/14	300 000		3,468 43	11/04/10	14,874 42		-11,405 99	Gross proceeds
CERRITOS CA PUBLIC FING AUTH 7.8% 11/1/2019 / CUSIP 156809BX2 / Symbol								
11/01/14	5,000 000		5,000 00	01/25/12	5,475 00		-475 00	Gross proceeds Original basis \$5,475 00
CHESAPEAKE ENERGY COPR / CUSIP 165167107 / Symbol CHK								
05/15/14	400 000		11,557 49	11/29/10	8,783 88		2,773 61	Gross proceeds

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**ENTERPRISE TRUST & INVESTMENT COMPANY**

Account 02491849181

2014 1099-B\*

(continued)

**Proceeds from Broker and Barter Exchange Transactions**

OMB No 1545-0715

**LONG-TERM TRANSACTIONS**

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / Symbol	1d- Proceeds & Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
CISCO SYS INC / CUSIP 17275R102 / Symbol CSCO	200 000		4,559.92	12/26/03	4,774 00		-214 08	Gross proceeds
2 tax lots for 05/15/14 Total proceeds (and cost when required) reported to the IRS								
	100 000		2,419.52	12/26/03	2,387 00		32 52	Gross proceeds
	200 000		4,839.03	06/08/04	4,778 00		61 03	Gross proceeds
	300 000		7,258.55	VARIOUS	7,165 00		93.55	Total of 2 lots
	Security total		11,818.47		11,939 00		-120 53	
COCA COLA COMPANY / CUSIP. 191216100 / Symbol KO								
04/25/14	300 000		12,182.73	07/06/04	7,611.00	...	4,571.73	Gross proceeds
06/12/14	200 000		8,080.08	07/06/04	5,074 00		3,006 08	Gross proceeds
	Security total		20,262.81		12,685 00		7,577 81	
DISNEY (WALT) CO HOLDING CO / CUSIP 254687106 / Symbol DIS								
05/15/14	100 000		7,977.80	06/22/05	2,649.29		5,328 51	Gross proceeds
06/12/14	100 000		8,286.81	06/22/05	2,649.29		5,637 52	Gross proceeds
12/08/14	100 000		9,356.89	03/13/09	1,710 00		7,646 89	Gross proceeds
	Security total		25,621.50		7,008 58		18,612 92	
DU PONT E I DE NEMOURS & CO / CUSIP 263534109 / Symbol DD								
06/12/14	100 000		6,792.94	12/31/08	2,526 94		4,266.00	Gross proceeds
10/14/14	50 000		3,289.08	12/31/08	1,263 47		2,025 61	Gross proceeds
	Security total		10,082.02		3,790 41		6,291 61	
DUKE ENERGY CORP / CUSIP 26441C204 / Symbol. DUJ								
11/12/14	166 000		13,255.27	08/14/03	4,976 01		8,279 26	Gross proceeds
E M C CORP MASS / CUSIP 268648102 / Symbol EMC								
04/11/14	200 000		5,348.80	12/02/04	2,912 00		2,436 80	Gross proceeds
GENERAL DYNAMICS CORP / CUSIP 369550108 / Symbol GD								
05/15/14	200 000		22,673.72	03/20/03	5,740 07		16,933 65	Gross proceeds
06/12/14	100 000		11,829.13	03/20/03	2,870 04		8,959 09	Gross proceeds
	Security total		34,502.85		8,610 11		25,892 74	

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**ENTERPRISE TRUST & INVESTMENT COMPANY**

Account 02491849181

**Proceeds from Broker and Barter Exchange Transactions**

2014 1099-B\*

OMB No. 1545-0715

(continued)

**LONG-TERM TRANSACTIONS**

**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/Gross (N)/et	1d- Proceeds & Reported (G)/Gross (N)/et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
GENERAL ELECTRIC CO / CUSIP: 369604103 / Symbol: GE	200 000		5,289 86	12/15/03	6,134 00		-844 14	Gross proceeds
HALLIBURTON COMPANY / CUSIP 406216101 / Symbol HAL								
2 tax lots for 04/10/14 Total proceeds (and cost when required) reported to the IRS								
	25,000		1,450 05	03/03/06	901 44		548.61	Gross proceeds
	100 000		5,800 21	05/18/07	3,669.16		2,131 05	Gross proceeds
04/10/14	125 000		7,250 26	VARIOUS	4,570 60		2,679 66	Total of 2 lots
HARLEY DAVIDSON FUNDING 5.75% 12/15/2014 / CUSIP 41283DAC7 / Symbol								
12/15/14	75,000 000		75,000.00	08/13/10	79,670 92		-4,670 92	Gross proceeds Original basis \$79,670 00
HERITAGE COMM CORP / CUSIP 426927109 / Symbol HTBK								
2 tax lots for 12/11/14 Total proceeds (and cost when required) reported to the IRS								
	500 000		4,226 26	03/12/09	2,049 05		2,177 21	Gross proceeds
	500 000		4,226 25	03/13/09	2,269 85		1,956 40	Gross proceeds
12/11/14	1,000 000		8,452 51	VARIOUS	4,318 90		4,133 61	Total of 2 lots
INTERNATIONAL BUSINESS MACHS / CUSIP 459200101 / Symbol IBM								
04/17/14	50 000		9,484.06	07/17/02	3,512 70		5,971 36	Gross proceeds
JOHNSON & JOHNSON / CUSIP 478160104 / Symbol JNU								
05/15/14	200,000		20,104 07	07/15/02	9,326 00		10,778 07	Gross proceeds
LINEAR TECHNOLOGY CORP / CUSIP 535678106 / Symbol: LLTC								
04/09/14	200 000		9,756.24	11/04/10	6,614 80		3,141 44	Gross proceeds
LONG BEACH CA BOND FIN AUTH 4 61% 8/1/14 / CUSIP 54240TAJ2 / Symbol.								
08/01/14	35,000 000		35,000 00	09/04/12	35,966 70		-966 70	Gross proceeds Original basis. \$35,966.70
AMEX GOLD MINERS INDEX / CUSIP 57060U100 / Symbol GDY								
12/15/14	200 000		3,634 28	11/25/09	10,546 74		-6,912 46	Gross proceeds

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**ENTERPRISE TRUST & INVESTMENT COMPANY**

Account 02491849181

**Proceeds from Broker and Barter Exchange Transactions**

(continued)

2014 1099-B\*

OMB No. 1545-0715

**LONG-TERM TRANSACTIONS**

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported / Symbol	1d- Proceeds & Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & 7- Loss not allowed(X)	Additional information
MICROSOFT CORPORATION / CUSIP 594918104 / Symbol MSFT	200 000	594918104 / Symbol MSFT	7,953 40	11/17/04	5,464 00		2,489 40	Gross proceeds
04/24/14	200 000		8,079 82	11/17/04	5,464 00		2,615 82	Gross proceeds
06/12/14	200 000		16,033 22		10,928 00		5,105 22	
Security total								
MORENO VALLEY CA PUB FING 5 084% 5/1/2017 / CUSIP 61685PBZ0 / Symbol	5,000 000	61685PBZ0 / Symbol	5,000.00	10/30/09	4,858 70		141 30	Gross proceeds
05/01/14								
NORDSTROM INC / CUSIP 655664100 / Symbol. JWN	200 000	655664100 / Symbol. JWN	11,903 56	11/02/10	7,856.96		4,046.60	Gross proceeds
01/21/14	200 000		11,734 26	11/04/10	8,336 06		3,398 20	Gross proceeds
02/24/14	200 000		23,637 82		16,193 02		7,444 80	
Security total								
NVIDIA CORP / CUSIP 67066G104 / Symbol NVDA	500 000	67066G104 / Symbol NVDA	9,009 80	06/17/10	6,004 00		3,005 80	Gross proceeds
05/15/14	200 000		3,886 16	06/17/10	2,401 60		1,484 56	Gross proceeds
06/12/14	200 000		12,895 96		8,405 60		4,490 36	
Security total								
PERALTA CALIF CMTY COLLEGE 6.423% 11/1/2015 / CUSIP 713580AR9 / Symbol	15,000 000	713580AR9 / Symbol	15,000 00	04/21/09	15,136 80		-136 80	Gross proceeds
11/01/14								
PROCTER & GAMBLE COMPANY / CUSIP 742718109 / Symbol PG	200 000	742718109 / Symbol PG	18,131.23	07/17/02	8,013 36		10,117 87	Gross proceeds
12/08/14								
RANCHO CUCAMONGA CA REDEV 5.529% 9/1/2017 / CUSIP 752123JG5 / Symbol	10,000 000	752123JG5 / Symbol	10,000 00	09/27/11	10,413 30		-413 30	Gross proceeds
09/02/14								
SAN BERNARDINO CA JT PWRS 5 625% 5/1/2016 / CUSIP 796753PM7 / Symbol	10,000 000	796753PM7 / Symbol	10,000.00	07/16/12	10,200 00		-200 00	Gross proceeds
05/01/14								Original basis \$10,200 00
SAN FRANCISCO CA CITY & CNTY 5 618% 8/1/2016 / CUSIP 79771PD28 / Symbol	10,000 000	79771PD28 / Symbol	10,000 00	08/02/10	10,387 50		-387 50	Gross proceeds
08/01/14								
SCHLUMBERGER LTD / CUSIP- 806857108 / Symbol- SLB	100 000	806857108 / Symbol- SLB	9,790 31	11/05/04	3,210.56		6,579 75	Gross proceeds
2 tax lots for 04/02/14 Total proceeds (and cost when required) reported to the IRS								
100 000			9,790 31		3,210.56		6,579 75	Gross proceeds

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**ENTERPRISE TRUST & INVESTMENT COMPANY**

Account 02491849181

2014 1099-B\*

(continued)

OMB No. 1545-0715

**Proceeds from Broker and Barter Exchange Transactions**

**5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\***

**LONG-TERM TRANSACTIONS**

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol	Quantity	6- Reported / Symbol. SLB (cont'd)	1d- Proceeds & Reported (G)ross (N)et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	Gain or loss(-) & Loss not allowed(X)	Additional information
SCHLUMBERGER LTD / CUSIP 806857108 / Symbol. SLB (cont'd)	100 000	9,790 31	03/21/05	3,536 50	6,253 81		Gross proceeds	
	200 000	19,580 62	VARIOUS	6,747 06	12,833 56		Total of 2 lots	
2 tax lots for 05/15/14 Total proceeds (and cost when required) reported to the IRS								
	100 000	9,906 84	03/21/05	3,536 50	6,370 34		Gross proceeds	
	100 000	9,906 84	04/04/05	3,567 00	6,339 84		Gross proceeds	
	200 000	19,813 68	VARIOUS	7,103 50	12,710 18		Total of 2 lots	
Security total		39,394 30		13,850 56	25,543 74			
S COAST AIR QUAL CA PENS OBL 5 49% 8/1/2014 / CUSIP 83740NAD2 / Symbol								
08/01/14	25,000 000	25,000.00	06/29/10	27,346 00	-2,346 00		Gross proceeds	
SPECTRA ENERGY CORP / CUSIP: 847560109 / Symbol: SE								
03/11/14	300 000	11,028 22	08/14/03	4,321 87	6,706 35		Gross proceeds	
03/14/14	100 000	3,653 41	08/14/03	1,440 62	2,212 79		Gross proceeds	
Security total		14,681 63		5,762 49	8,919 14			
TARGET CORP COM / CUSIP 87612E106 / Symbol TGT								
10/14/14	200 000	12,300.61	12/11/07	10,664 28	1,636 33		Gross proceeds	
UNITEDHEALTH GROUP INC / CUSIP 91324P102 / Symbol UNH								
03/04/14	200 000	15,678 87	07/12/04	5,994 00	9,684.87		Gross proceeds	
03/14/14	200 000	15,136.85	07/12/04	5,994 00	9,142 85		Gross proceeds	
Security total		30,815 72		11,988 00	18,827 72			
WALGREEN CO COM / CUSIP 931422109 / Symbol ZZZZ								
05/15/14	100 000	6,750 41	04/07/06	4,408 08	2,342 33		Gross proceeds	
2 tax lots for 12/08/14 Total proceeds (and cost when required) reported to the IRS								
	100 000	6,799 50	04/07/06	4,408 08	2,391 42		Gross proceeds	
	100 000	6,799 50	10/26/06	4,343 00	2,456 50		Gross proceeds	
	200 000	13,599.00	VARIOUS	8,751 08	4,847 92		Total of 2 lots	
12/09/14	100 000	6,847 86	10/26/06	4,343 00	2,504 86		Gross proceeds	
Security total		27,197 27		17,502 16	9,695 11			

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ENTERPRISE TRUST & INVESTMENT COMPANY

Account 02491849181

**Proceeds from Broker and Barter Exchange Transactions**

(continued)

OMB No. 1545-0715

**LONG-TERM TRANSACTIONS**

5- NONCOVERED tax lots 3- Basis NOT reported to the IRS\*\*

Report on Form 8949, Part II, with Box E checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	6- Reported (G)/Gross (N)/et	1d- Proceeds & Reported (G)/Gross (N)/et	Date acquired	Cost or other basis	Adjustments & Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Gross proceeds	Additional information
WELLS FARGO & CO NEW / CUSIP 949746101 / Symbol WFC									
2 tax lots for 04/11/14 Total proceeds (and cost when required) reported to the IRS									
	100 000	4,824 09	4,824 09	02/10/05	3,042 36			1,781 73	Gross proceeds
	100 000	4,824 09	4,824 09	08/11/06	3,469 41			1,354 68	Gross proceeds
04/11/14	200 000	9,648.18	9,648.18	VARIOUS	6,511 77			3,136.41	Total of 2 lots
05/15/14	300 000	14,624 06	14,624 06	08/11/06	10,408.23			4,215 83	Gross proceeds
	Security total.	24,272.24	24,272.24		16,920 00	...		7,352 24	
ZIMMER HLDGS INC / CUSIP 98956P102 / Symbol ZMH									
05/15/14	100 000	9,997.86	9,997.86	10/15/04	7,156.00			2,841 86	Gross proceeds
06/12/14	100 000	10,523 88	10,523 88	02/15/05	8,250 00			2,273 88	Gross proceeds
	Security total	20,521.74	20,521.74		15,406 00			5,115 74	
	<b>Totals:</b>	<b>881,449.04</b>	<b>881,449.04</b>		<b>646,936.74</b>	...		<b>234,512.30</b>	

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ENTERPRISE TRUST & INVESTMENT COMPANY

Account 02491849181

2014

**Proceeds Not Reported to the IRS**

**5- COVERED tax lots 3- Basis is reported to the IRS\*\***

**2- SHORT-TERM TRANSACTIONS**

Report on Form 8949, Part 1, with Box A checked

1a- Description of property/CUSIP/Symbol

1c- Date sold or disposed	Quantity	Shown (Gross (N)et	5- Proceeds (Gross (N)et	1b- Date acquired	1e- Cost or other basis	1g- Adjustments & 1f- Code(s), if any	7- Loss not allowed(X)	Gain or loss(-) & Loss not allowed(X)	Additional information
PUBLIC CHARTER SCHOOL RE 4 0%	12/31/14 / CUSIP 744440PMY0 / Symbol								
07/10/14	5,223 750	5,223 75	5,223 75	05/23/14	5,223 75		0 00	Sale of short term security	
07/31/14	7,402 500	7,402 50	7,402 50	05/23/14	7,402 50		0 00	Sale of short term security	
08/07/14	9,056 250	9,056 25	9,056 25	05/23/14	9,056 25		0 00	Sale of short term security	
08/14/14	4,488 750	4,488 75	4,488 75	05/23/14	4,488 75		0 00	Sale of short term security	
08/21/14	5,530 000	5,530 00	5,530 00	05/23/14	5,530 00		0 00	Sale of short term security	
09/18/14	35,000 000	3,298 75	3,298 75	05/23/14	3,298 75		0 00	Sale of short term security	
	Security total	35,000 00	35,000 00		35,000 00		0.00		
CA PUB CHTR SCHOOL RE 4 0%	3/31/15 / CUSIP 744440PMZ7 / Symbol								
12/22/14	22,181 250	22,181 25	22,181 25	10/08/14	22,181 25		0 00	Sale of short term security	
12/30/14	9,525 000	9,525 00	9,525 00	10/08/14	9,525 00		0 00	Sale of short term security	
	Security total	31,706 25	31,706 25		31,706 25		0 00		
<b>Totals:</b>		<b>66,706.25</b>	<b>66,706.25</b>		<b>66,706.25</b>		<b>0.00</b>		

FORM 990-PF	DIVIDENDS AND INTEREST FROM SECURITIES				STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
ENTERPRISE TRUST & INVESTMENT	64,538.	3,586.	60,952.	60,952.		
ENTERPRISE TRUST & INVESTMENT	83,971.	0.	83,971.	83,971.		
LESS: ACCRUED INTEREST PAID	<2,338.>	0.	<2,338.>	<2,338.>		
TO PART I, LINE 4	146,171.	3,586.	142,585.	142,585.		

FORM 990-PF	ACCOUNTING FEES				STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
ACCOUNTING FEE	0.	2,611.		7,834.		
TO FORM 990-PF, PG 1, LN 16B	0.	2,611.		7,834.		

FORM 990-PF	OTHER PROFESSIONAL FEES				STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
INVESTMENT MANAGEMENT FEES	48,867.	48,867.		0.		
TO FORM 990-PF, PG 1, LN 16C	48,867.	48,867.		0.		

FORM 990-PF	TAXES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	34.	34.		0.	
FEDERAL TAXES	6,168.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	6,202.	34.		0.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
MISCELLANEOUS	80.	80.		0.	
LICENSES AND FEES	120.	0.		120.	
TO FORM 990-PF, PG 1, LN 23	200.	80.		120.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT	6
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE	
U.S. GOVERNMENT OBLIGATIONS	X		258,490.	263,219.	
OTHER GOVERNMENT OBLIGATIONS		X	1,110,473.	1,117,539.	
TOTAL U.S. GOVERNMENT OBLIGATIONS			258,490.	263,219.	
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,110,473.	1,117,539.	
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,368,963.	1,380,758.	

FORM 990-PF	CORPORATE STOCK	STATEMENT	7
<u>DESCRIPTION</u>		<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CORPORATE STOCKS		1,209,980.	2,061,130.
TOTAL TO FORM 990-PF, PART II, LINE 10B		1,209,980.	2,061,130.

FORM 990-PF	CORPORATE BONDS	STATEMENT	8
<u>DESCRIPTION</u>		<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
CORPORATE BONDS		470,130.	482,125.
TOTAL TO FORM 990-PF, PART II, LINE 10C		470,130.	482,125.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	9
<u>DESCRIPTION</u>	<u>VALUATION METHOD</u>	<u>BOOK VALUE</u>	<u>FAIR MARKET VALUE</u>
MUTUAL FUNDS	COST	424,028.	363,050.
EXCHANGE TRADED FUNDS	COST	8,436.	5,514.
TOTAL TO FORM 990-PF, PART II, LINE 13		432,464.	368,564.

## Application for Extension of Time To File an Exempt Organization Return

OMB No 1545-1709

Department of the Treasury  
Internal Revenue Service

▶ **File a separate application for each return.**  
▶ **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868 **Electronic filing (e-file)**. You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions) For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on *e-file for Charities & Nonprofits*.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

		Enter filer's identifying number
<b>Type or print</b>	Name of exempt organization or other filer, see instructions  <b>KIEVE FOUNDATION</b>	Employer identification number (EIN) or  <b>01-0664998</b>
<small>File by the due date for filing your return See instructions</small>	Number, street, and room or suite no. If a P O. box, see instructions <b>2359 MT. DAVIDSON DRIVE</b>	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions <b>SAN JOSE, CA 95124-2513</b>	

Enter the Return code for the return that this application is for (file a separate application for each return)

0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

**ENTERPRISE TRUST & INVESTMENT CO.**

• The books are in the care of ▶ **15425 LOS GATOS BLVD. - LOS GATOS, CA 95032**

Telephone No ▶ **(408) 358-5811** Fax No ▶ \_\_\_\_\_

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) \_\_\_\_\_ If this is for the whole group, check this box . If it is for part of the group, check this box  and attach a list with the names and EINs of all members the extension is for

**1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **AUGUST 15, 2015**, to file the exempt organization return for the organization named above. The extension is for the organization's return for  
▶  calendar year **2014** or  
▶  tax year beginning \_\_\_\_\_, and ending \_\_\_\_\_.

**2** If the tax year entered in line 1 is for less than 12 months, check reason.  Initial return  Final return  
 Change in accounting period

<b>3a</b> If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits See instructions	<b>3a</b>	\$	<b>3,720.</b>
<b>b</b> If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made Include any prior year overpayment allowed as a credit	<b>3b</b>	\$	<b>3,720.</b>
<b>c Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	<b>3c</b>	\$	<b>0.</b>

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions