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Form **990-PF**Department of the Treasury  
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf)

OMB No. 1545-0052

**2014**

Open to Public Inspection

For calendar year 2014 or tax year beginning

, 2014, and ending

, 20

Name of foundation <b>DN</b> <b>BHBT &amp; JK PETTY TTEES RICHARD S. PETTY CHAR.F</b>		<b>A</b> Employer identification number <b>01-0525593</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>135 HIGH STREET, P.O. BOX 1100</b>		<b>B</b> Telephone number (see instructions) <b>207-667-3883</b>
City or town, state or province, country, and ZIP or foreign postal code <b>ELLSWORTH, ME 04605-1100</b>		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>
<b>G</b> Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		<b>D</b> 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <b>\$ 1,182,481.</b>		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)		

<b>Part I Analysis of Revenue and Expenses</b> (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>Revenue</b>	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	15,104	14,356		STMT 1
	5a Gross rents	6,000	6,000	6,000	
	b Net rental income or (loss)	6,000			
	6a Net gain or (loss) from sale of assets not on line 10	94,373			
	b Gross sales price for all assets on line 6a	587,073			
	7 Capital gain net income (from Part IV, line 2)		94,373		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	115,477	114,729	6,000		
<b>Operating and Administrative Expenses</b>	13 Compensation of officers, directors, trustees, etc.	11,555	5,777		5,777
	14 Other employee salaries and wages		NONE	NONE	
	15 Pension plans, employee benefits		NONE	NONE	
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) STMT 2	405	203	NONE	203
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) STMT 3	4,020	1,638		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings		NONE	NONE	
	22 Printing and publications		NONE	NONE	
	23 Other expenses (attach schedule) STMT 4	882	882		
	24 Total operating and administrative expenses. Add lines 13 through 23	16,862	8,500	NONE	5,980
	25 Contributions, gifts, grants paid	80,600			80,600
26 Total expenses and disbursements. Add lines 24 and 25	97,462	8,500	NONE	86,580	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	18,015				
b Net investment income (if negative, enter -0-)		106,229			
c Adjusted net income (if negative, enter -0-)			6,000		

JSA For Paperwork Reduction Act Notice, see instructions.

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<b>Part II Balance Sheets</b>		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)		
		Beginning of year (a) Book Value	End of year (b) Book Value (c) Fair Market Value	
<b>Assets</b>	1	Cash - non-interest-bearing . . . . .		
	2	Savings and temporary cash investments . . . . .		38,138.
	3	Accounts receivable ▶ . . . . .		
		Less: allowance for doubtful accounts ▶ . . . . .		
	4	Pledges receivable ▶ . . . . .		
		Less: allowance for doubtful accounts ▶ . . . . .		
	5	Grants receivable . . . . .		
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .		
	7	Other notes and loans receivable (attach schedule) ▶ . . . . .		
		Less: allowance for doubtful accounts ▶ . . . . .	NONE	
	8	Inventories for sale or use . . . . .		
	9	Prepaid expenses and deferred charges . . . . .		
	10a	Investments - U S and state government obligations (attach schedule) . . . . .		
	b	Investments - corporate stock (attach schedule) . . . . .	985,957.	788,891.
	c	Investments - corporate bonds (attach schedule) . . . . .		
	11	Investments - land, buildings, and equipment basis ▶ . . . . .	59,888.	
	Less: accumulated depreciation ▶ (attach schedule) . . . . .	59,888.	59,888.	
12	Investments - mortgage loans . . . . .			
13	Investments - other (attach schedule) . . . . .			
14	Land, buildings, and equipment basis ▶ . . . . .			
	Less: accumulated depreciation ▶ (attach schedule) . . . . .			
15	Other assets (describe ▶ . . . . .)	STMT 5 )	456.	
16	<b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item I) . . . . .	1,045,845.	887,373.	
<b>Liabilities</b>	17	Accounts payable and accrued expenses . . . . .		
	18	Grants payable . . . . .		
	19	Deferred revenue . . . . .		
	20	Loans from officers, directors, trustees, and other disqualified persons . . . . .		
	21	Mortgages and other notes payable (attach schedule) . . . . .		
	22	Other liabilities (describe ▶ . . . . .)		
23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .		NONE	
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted . . . . .		
	25	Temporarily restricted . . . . .		
	26	Permanently restricted . . . . .		
	Foundations that do not follow SFAS 117, . . . ▶ <input checked="" type="checkbox"/> check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds . . . . .	1,045,845.	887,373.
	28	Paid-in or capital surplus, or land, bldg, and equipment fund . . . . .		
	29	Retained earnings, accumulated income, endowment, or other funds . . . . .		
	30	<b>Total net assets or fund balances</b> (see instructions) . . . . .	1,045,845.	887,373.
	31	<b>Total liabilities and net assets/fund balances</b> (see instructions) . . . . .	1,045,845.	887,373.

**Part III Analysis of Changes in Net Assets or Fund Balances**

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) . . . . .	1	1,045,845.
2	Enter amount from Part I, line 27a . . . . .	2	18,015.
3	Other increases not included in line 2 (itemize) ▶ . . . . .	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,063,860.
5	Decreases not included in line 2 (itemize) ▶ . . . . .	5	176,487.
6	<b>Total net assets or fund balances at end of year</b> (line 4 minus line 5) - Part II, column (b), line 30 . . . . .	6	887,373.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a PUBLICLY TRADED SECURITIES</b>					
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
<b>a</b> 587,073.		492,700.	94,373.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0- or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
<b>a</b>			94,373.		
<b>b</b>					
<b>c</b>					
<b>d</b>					
<b>e</b>					
<b>2</b> Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			<b>2</b>	94,373.	
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 . . . . . }			<b>3</b>		

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2013	64,967.	1,190,267.	0.054582
2012	75,115.	1,183,033.	0.063494
2011	83,425.	1,197,878.	0.069644
2010	102,529.	1,198,682.	0.085535
2009	99,085.	1,117,821.	0.088641
<b>2</b> Total of line 1, column (d) . . . . .			<b>2</b> 0.361896
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . . .			<b>3</b> 0.072379
<b>4</b> Enter the net value of noncharitable-use assets for 2014 from Part X, line 5 . . . . .			<b>4</b> 1,183,791.
<b>5</b> Multiply line 4 by line 3 . . . . .			<b>5</b> 85,682.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b) . . . . .			<b>6</b> 1,062.
<b>7</b> Add lines 5 and 6 . . . . .			<b>7</b> 86,744.
<b>8</b> Enter qualifying distributions from Part XII, line 4 . . . . . If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.			<b>8</b> 86,580.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 . . . . . Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)		1	2,125.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b . . . . .			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		2	
3 Add lines 1 and 2 . . . . .		3	2,125.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-) . . . . .		4	NONE
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0- . . . . .		5	2,125.
6 Credits/Payments:			
a 2014 estimated tax payments and 2013 overpayment credited to 2014 . . . . .	6a 1,396.		
b Exempt foreign organizations - tax withheld at source . . . . .	6b NONE		
c Tax paid with application for extension of time to file (Form 8868) . . . . .	6c 713.		
d Backup withholding erroneously withheld . . . . .	6d		
7 Total credits and payments. Add lines 6a through 6d . . . . .		7	2,109.
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached . . . . .		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed . . . . .		9	16.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . . . .		10	
11 Enter the amount of line 10 to be: Credited to 2015 estimated tax <input type="checkbox"/> NONE Refunded <input type="checkbox"/>		11	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? . . . . . If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year? . . . . .		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers. ► \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes . . . . .		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .		X
b If "Yes," has it filed a tax return on Form 990-T for this year? . . . . .		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► ME		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation . . . . .	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2014 or the taxable year beginning in 2014 (see instructions for Part XIV)? If "Yes," complete Part XIV . . . . .		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses . . . . .		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>BAR HARBOR TRUST SERVICES</u> Telephone no. <u>(207) 667-3883</u> Located at <u>135 HIGH ST., PO BOX 1100, ELLSWORTH, ME</u> ZIP+4 <u>04605-1100</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year. <u>15</u>			
16	At any time during calendar year 2014, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for FinCEN Form 114, (formerly TD F 90-22.1). If "Yes," enter the name of the foreign country <u></u>	16	Yes	No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required****File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.**

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2014? <input type="checkbox"/>	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2014, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2014? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years <u></u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) <input type="checkbox"/>	2b	X
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u></u>		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2014 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2014.) <input type="checkbox"/>	3b	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? <input type="checkbox"/>	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2014? <input type="checkbox"/>	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 4945(d)(4)(A)? (see instructions). ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ **5b**

Organizations relying on a current notice regarding disaster assistance check here ☐

**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ **6b** X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ **7b**

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 7		11,555.		

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE		NONE	NONE	NONE

**Total number of other employees paid over \$50,000** ☐ **NONE**

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**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3 Five highest-paid independent contractors for professional services** (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		NONE
Total number of others receiving over \$50,000 for professional services . . . . .		NONE

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

**Part IX-B Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 NONE	
2	
All other program-related investments. See instructions.	
3 NONE	
Total. Add lines 1 through 3 . . . . .	

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities . . . . .	<b>1a</b>	1,096,168.
<b>b</b>	Average of monthly cash balances . . . . .	<b>1b</b>	105,650.
<b>c</b>	Fair market value of all other assets (see instructions). . . . .	<b>1c</b>	NONE
<b>d</b>	<b>Total</b> (add lines 1a, b, and c) . . . . .	<b>1d</b>	1,201,818.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) . . . . .	<b>1e</b>	
<b>2</b>	Acquisition indebtedness applicable to line 1 assets . . . . .	<b>2</b>	NONE
<b>3</b>	Subtract line 2 from line 1d . . . . .	<b>3</b>	1,201,818.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	<b>4</b>	18,027.
<b>5</b>	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	1,183,791.
<b>6</b>	<b>Minimum investment return.</b> Enter 5% of line 5 . . . . .	<b>6</b>	59,190.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6 . . . . .	<b>1</b>	59,190.
<b>2a</b>	Tax on investment income for 2014 from Part VI, line 5 . . . . .	<b>2a</b>	2,125.
<b>b</b>	Income tax for 2014. (This does not include the tax from Part VI.) . . . . .	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b . . . . .	<b>2c</b>	2,125.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1 . . . . .	<b>3</b>	57,065.
<b>4</b>	Recoveries of amounts treated as qualifying distributions . . . . .	<b>4</b>	NONE
<b>5</b>	Add lines 3 and 4 . . . . .	<b>5</b>	57,065.
<b>6</b>	Deduction from distributable amount (see instructions). . . . .	<b>6</b>	NONE
<b>7</b>	<b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1 . . . . .	<b>7</b>	57,065.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26 . . . . .	<b>1a</b>	86,580.
<b>b</b>	Program-related investments - total from Part IX-B . . . . .	<b>1b</b>	
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes . . . . .	<b>2</b>	NONE
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required) . . . . .	<b>3a</b>	NONE
<b>b</b>	Cash distribution test (attach the required schedule) . . . . .	<b>3b</b>	NONE
<b>4</b>	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	86,580.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions) . . . . .	<b>5</b>	N/A
<b>6</b>	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4 . . . . .	<b>6</b>	86,580.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2013	(c) 2013	(d) 2014
1 Distributable amount for 2014 from Part XI, line 7 . . . . .				57,065.
2 Undistributed income, if any, as of the end of 2014:				
a Enter amount for 2013 only . . . . .			NONE	
b Total for prior years 20 <u>12</u> , 20 <u>20</u> , 20 <u>20</u> . . . . .		NONE		
3 Excess distributions carryover, if any, to 2014:				
a From 2009 . . . . .	43,676.			
b From 2010 . . . . .	43,577.			
c From 2011 . . . . .	24,473.			
d From 2012 . . . . .	16,370.			
e From 2013 . . . . .	6,848.			
f Total of lines 3a through e . . . . .	134,944.			
4 Qualifying distributions for 2014 from Part XII, line 4: ► \$ <u>86,580.</u>				
a Applied to 2013, but not more than line 2a . . . . .			NONE	
b Applied to undistributed income of prior years (Election required - see instructions) . . . . .		NONE		
c Treated as distributions out of corpus (Election required - see instructions) . . . . .	NONE			
d Applied to 2014 distributable amount . . . . .				57,065.
e Remaining amount distributed out of corpus . . . . .	29,515.			
5 Excess distributions carryover applied to 2014 . . . . . (If an amount appears in column (d), the same amount must be shown in column (a) )	NONE			NONE
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5 . . . . .	164,459.			
b Prior years' undistributed income. Subtract line 4b from line 2b . . . . .		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed . . . . .		NONE		
d Subtract line 6c from line 6b. Taxable amount - see instructions . . . . .		NONE		
e Undistributed income for 2013. Subtract line 4a from line 2a. Taxable amount - see instructions . . . . .			NONE	
f Undistributed income for 2014. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2015 . . . . .				NONE
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (Election may be required - see instructions) . . . . .	NONE			
8 Excess distributions carryover from 2009 not applied on line 5 or line 7 (see instructions) . . . . .	43,676.			
9 Excess distributions carryover to 2015. Subtract lines 7 and 8 from line 6a . . . . .	120,783.			
10 Analysis of line 9:				
a Excess from 2010 . . . . .	43,577.			
b Excess from 2011 . . . . .	24,473.			
c Excess from 2012 . . . . .	16,370.			
d Excess from 2013 . . . . .	6,848.			
e Excess from 2014 . . . . .	29,515.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)**NOT APPLICABLE**

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2014, enter the date of the ruling . . . . .

b Check box to indicate whether the foundation is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2014	(b) 2013	(c) 2012	(d) 2011	
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
b 85% of line 2a . . . . .					
c Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter:					
(1) Value of all assets . . . . .					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i). . . . .					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed . . . . .					
c "Support" alternative test - enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
(3) Largest amount of support from an exempt organization. . . . .					
(4) Gross investment income . . . . .					

**Part XV Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

N/A

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV Supplementary Information (continued)****3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  MAINE FARMLAND TRUST 97 MAIN STREET BELFAST ME 04915	NONE	PC	COMMUNITY FOOD PROG / AQUAPONIC GREENHOUSE	80,600.
<b>Total</b> .....			▶ <b>3a</b>	80,600.
<b>b Approved for future payment</b>				
<b>Total</b> .....			▶ <b>3b</b>	

[illegible]

<b>13 Total.</b> Add line 12, columns (b), (d), and (e).	<b>13</b>	<b>115,477.</b>
(See worksheet in line 13 instructions to verify calculations.)		

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

<b>Line No.</b> ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)
----------------------	---

NOT APPLICABLE

## Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations


- |   |              |     |    |
|---|--------------|-----|----|
| <b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?<br><br><b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of:<br><b>(1)</b> Cash . . . . .<br><b>(2)</b> Other assets . . . . .<br><br><b>b</b> Other transactions:<br><b>(1)</b> Sales of assets to a noncharitable exempt organization . . . . .<br><b>(2)</b> Purchases of assets from a noncharitable exempt organization . . . . .<br><b>(3)</b> Rental of facilities, equipment, or other assets . . . . .<br><b>(4)</b> Reimbursement arrangements . . . . .<br><b>(5)</b> Loans or loan guarantees . . . . .<br><b>(6)</b> Performance of services or membership or fundraising solicitations . . . . .<br><br><b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees . . . . .<br><br><b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |              | Yes | No |
|   |              |     |    |
|   | <b>1a(1)</b> |     | X  |
|   | <b>1a(2)</b> |     | X  |
|   | <b>1b(1)</b> |     | X  |
|   | <b>1b(2)</b> |     | X  |
|   | <b>1b(3)</b> |     | X  |
|   | <b>1b(4)</b> |     | X  |
|   | <b>1b(5)</b> |     | X  |
|   | <b>1b(6)</b> |     | X  |
|   | <b>1c</b>    |     | X  |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? . . . . . ☐ Yes ☒ No
- b** If "Yes," complete the following schedule.


(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

<b>Sign Here</b>		08/05/2015	
	Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid  
Preparer  
Use Only**

Print/Type preparer's name <b>JOSEPH B HULL</b>		Preparer's signature 		Date <b>08/05/2015</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00135850</b>
Firm's name ▶ <b>ERNST &amp; YOUNG U.S. LLP</b>					Firm's EIN ▶ <b>34-6565596</b>	
Firm's address ▶ <b>1100 HUNTINGTON CENTER, 41 S HIGH COLUMBUS, OH 43215</b>					Phone no. <b>614-232-7290</b>	

## RENT AND ROYALTY INCOME

Taxpayer's Name BHBT & JK PETTY TTEES RICHARD S. PETTY CHAR.F		Identifying Number 01-0525593	
DESCRIPTION OF PROPERTY SURRY LOT 13-2 MAP 10 PROPERTY			
<input type="checkbox"/>	Yes	<input type="checkbox"/>	No Did you actively participate in the operation of the activity during the tax year?
TYPE OF PROPERTY: 4 - COMMERCIAL			
RENTAL INCOME		6,000.	
OTHER INCOME:			
TOTAL GROSS INCOME			6,000.
OTHER EXPENSES:			
DEPRECIATION (SHOWN BELOW)			
LESS: Beneficiary's Portion			
AMORTIZATION			
LESS: Beneficiary's Portion			
DEPLETION			
LESS: Beneficiary's Portion			
TOTAL EXPENSES			
TOTAL RENT OR ROYALTY INCOME (LOSS)			
		6,000.	
Less Amount to			
Rent or Royalty			
Depreciation			
Depletion			
Investment Interest Expense			
Other Expenses			
Net Income (Loss) to Others			
Net Rent or Royalty Income (Loss)			6,000.
Deductible Rental Loss (if Applicable)			

**SCHEDULE FOR DEPRECIATION CLAIMED**[illegible]

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
ABERDEEN ASIA PACIFIC PRIME INCOME	593.	593.
AMERICAN HOMES 4 RENT	331.	331.
AUTOMATIC DATA PROCESSING IN COM	288.	288.
BAR HARBOR BANK & TRUST INST MONEY MARKE	16.	16.
BAR HARBOR BANK & TRUST INST MONEY MKT I	19.	19.
CHESAPEAKE ENERGY CORP	197.	197.
CHICAGO BRIDGE & IRON CO N V NY REGISTR	46.	46.
CITIGROUP INC NEW	4.	4.
D R HORTON INC	66.	66.
DEVON ENERGY CORPORATION	210.	210.
DOUBLELINE TOTAL RETURN BOND FUND	3,695.	3,695.
EVERCORE PARTNERS INC	311.	311.
GRIFOLS S A SP ADR REP B NVT	114.	114.
IAC INTERACTIVECORP COM PAR \$.001	308.	308.
JOHNSON & JOHNSON COM	1,035.	1,035.
LOEWS CORP	69.	69.
MICROSOFT CORP	805.	805.
NUVEEN MUN VALUE FD INC	752.	4.
PEBBLEBROOK HOTELS	924.	924.
PROCTER & GAMBLE CO COM	696.	696.
QUALCOMM INC	120.	120.
ROCHE HLDG LTD SPON ADR	770.	770.
SKYWORKS SOLUTIONS INC	110.	110.
SYSCO CORP	690.	690.
TJX COMPANIES INC	268.	268.
TEMPLETON EMERGING MARKETS INCOME FD	320.	320.
VANGUARD INFLATION PROTECTED SECURITIES	315.	315.
VANGUARD SCOTTSDALE FDS SHRT-TERM CORP	935.	935.
WAL-MART	947.	947.
MARVELL TECHNOLOGY GROUP LTD	150.	150.
	-----	-----
	15,104.	14,356.
	=====	=====
		22

TOTAL  
DAF949 976K 08/05/2015 08:04:31



FORM 990PF, PART I - ACCOUNTING FEES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----	ADJUSTED NET INCOME -----	CHARITABLE PURPOSES -----
TAX PREPARATION FEE (NON-ALLOC	405.	203.		203.
TOTALS	405.	203.	NONE	203.
	=====	=====	=====	=====

FORM 990PF, PART I - TAXES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
FOREIGN TAXES	47.	47.
REAL ESTATE TAX ON NON-RENTAL	1,582.	1,582.
FEDERAL TAX PAYMENT - PRIOR YE	986.	
FEDERAL ESTIMATES - PRINCIPAL	1,396.	
FOREIGN TAXES ON NONQUALIFIED	9.	9.
	-----	-----
TOTALS	4,020.	1,638.
	=====	=====

FORM 990PF, PART I - OTHER EXPENSES  
=====

DESCRIPTION -----	REVENUE AND EXPENSES PER BOOKS -----	NET INVESTMENT INCOME -----
OTHER NON-ALLOCABLE EXPENSE -	852.	852.
OTHER NONALLOCABLE EXPENSES -	30.	30.
TOTALS	----- 882. =====	----- 882. =====

BHBT & JK PETTY TTEES RICHARD S. PETTY CHAR.F  
FORM 990PF, PART II - OTHER ASSETS  
=====

01-0525593

DESCRIPTION -----	ENDING BOOK VALUE -----
ROC BASIS ADJ 2014	-428.
DEFERRED PURCH INT	273.
ACCRD RIC INCOME	611.
	-----
TOTALS	456.
	=====

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES  
=====

DESCRIPTION -----	AMOUNT -----
ADJ BASIS ON SALE OF PARKER POINT PROPERTY	176,245.
2013 ROC ADJUSTMENTS	242.
	-----
TOTAL	176,487.
	=====

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

=====

OFFICER NAME:

BAR HARBOR TRUST SERVICES

ADDRESS:

135 HIGH STREET, P O BOX 1100

ELLSWORTH, ME 04605

TITLE:

TRUSTEE

AVERAGE HOURS PER WEEK DEVOTED TO POSITION: 8

COMPENSATION ..... 11,555.

COMPENSATION EXPLANATION:

TRUSTEE FEES

TOTAL COMPENSATION:

11,555.

=====

## RENT AND ROYALTY SUMMARY

=====

PROPERTY -----	TOTAL INCOME -----	DEPLETION/ DEPRECIATION -----	OTHER EXPENSES -----	ALLOWABLE NET INCOME -----
SURRY LOT 13-2 MAP 1	6,000.			6,000.
	-----	-----	-----	-----
TOTALS	6,000.			6,000.
	=====	=====	=====	=====

**Application for Extension of Time To File an  
Exempt Organization Return**► **File a separate application for each return.**  
► **Information about Form 8868 and its instructions is at [www.irs.gov/form8868](http://www.irs.gov/form8868).**

OMB No. 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

**Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868.

**Electronic filing (e-file).** You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit [www.irs.gov/efile](http://www.irs.gov/efile) and click on **e-file for Charities & Nonprofits**.

**Part I Automatic 3-Month Extension of Time.** Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

**Type or  
print**File by the  
due date for  
filing your  
return. See  
instructions.

Name of exempt organization or other filer, see instructions.

BHBT & JK PETTY TTEES RICHARD S.  
PETTY CHAR.F DN

Employer identification number (EIN) or

01-0525593

Number, street, and room or suite no. If a P.O. box, see instructions.

135 HIGH STREET, P.O. BOX 1100

Social security number (SSN)

City, town or post office, state, and ZIP code. For a foreign address, see instructions.

ELLSWORTH, ME 04605-1100

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ 0 ☐ 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► BAR HARBOR TRUST SERVICES

Telephone No. ► (207) 667-3883

FAX No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box ☐ . If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 08/17, 20 15, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☒ calendar year 2014 or
- ☐ tax year beginning \_\_\_\_\_, 20\_\_\_\_, and ending \_\_\_\_\_, 20\_\_\_\_.

- 2 If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
- ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a \$	2,109.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b \$	1,396.
c <b>Balance due.</b> Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c \$	713.

**Caution.** If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)



Report Date **January 09, 2015**

**BAR HARBOR TRUST SERVICES**

Time Prepared **09:38:56 AM**

Requested By **LARA HORNER**

Includes: **Account: 23 00 7028 0 07 BHB & JK PETTY TTES RICHARD S. PETTY CHAR.FDN**

## Account Holdings as of 12/31/2014

Cusip No	Security Name	Shares / Par	Investment	Unit Price	Market Value	Price Date
003009107	ABERDEEN ASIA PAC	2,100.0000	13,153.99	5.55	11,655.00	12/31/2014
02665T405	AMERICAN HOMES	530.0000	12,990.30	24.65	13,064.50	12/31/2014
084670702	BERKSHIRE HATHWY CLB	250.0000	19,384.62	150.15	37,537.50	12/31/2014
089000996	BHBT INST MM	20,079.7600	20,079.76	1.00	20,079.76	12/02/2014
089000991	BHBT INST MM INC	18,057.9800	18,057.98	1.00	18,057.98	12/02/2014
112463104	BROOKDALE SR LIVING	575.0000	18,043.56	36.67	21,085.25	12/31/2014
172967424	CITIGROUP INC NEW	425.0000	22,044.96	54.11	22,998.75	12/31/2014
256677AC9	DOLLAR 3.25% 4/15/23	15,000.0000	13,740.00	91.025	13,653.75	12/31/2014
258620103	DOUBLELINE	7,886.2920	87,549.85	10.97	86,512.62	12/31/2014
30219G108	EXPRESS SCRIPTS	300.0000	20,288.51	84.67	25,401.00	12/31/2014
375558103	GILEAD SCIENCES	250.0000	20,270.83	94.26	23,565.00	12/31/2014
44267D107	HOWARD HUGHES CORP	400.0000	19,775.23	130.42	52,168.00	12/31/2014
451055107	ICONIX BRAND GROUP	520.0000	21,353.33	33.79	17,570.80	12/31/2014
459200101	INTL BUSINESS MACH	150.0000	24,320.65	160.44	24,066.00	12/31/2014
478160104	JOHNSON & JOHNSON	375.0000	24,140.55	104.57	39,213.75	12/31/2014
489398107	KENNEDY-WILSON HLDGS	1,260.0000	16,738.26	25.30	31,878.00	12/31/2014
501889AB5	LKQ CORP 4 75 051523	15,000.0000	14,400.00	96.00	14,400.00	12/31/2014
528001993	LIBERTY BROADBAND	24.0000	968.64	40.36	968.64	12/31/2014
530307107	LIBERTY BROADBAND	370.0000	18,366.97	50.09	18,533.30	12/31/2014
530307305	LIBERTY BROADBAND	24.0000	968.64	49.82	1,195.68	12/31/2014
594918104	MICROSOFT CORP	700.0000	19,634.44	46.45	32,515.00	12/31/2014
626717AD4	MURPHY 4% 6/1/22	15,000.0000	14,011.65	91.925	13,788.75	12/31/2014
644535122	NEW GOLD INC - CW17	1,150.0000	1,688.75	0.59	678.50	12/31/2014
670928100	NUVEEN MUN VALUE	4,365.0000	40,964.25	9.65	42,122.25	12/31/2014
70509V308	PEBBLEBROOK HOTELS	380.0000	9,934.80	26.34	10,009.20	12/31/2014
715684106	P T TELEKOMUNIKASI	440.0000	20,764.05	45.23	19,901.20	12/31/2014
737446104	POST HOLDINGS	549.0000	20,862.73	41.89	22,997.61	12/31/2014
742718109	PROCTER & GAMBLE CO	275.0000	14,253.99	91.09	25,049.75	12/31/2014
747525103	QUALCOMM INC	285.0000	21,265.46	74.33	21,184.05	12/31/2014
76009NAH3	RENT 6.625% 11/15/20	15,000.0000	14,267.10	96.00	14,400.00	12/31/2014
771195104	ROCHE HLDG	800.0000	13,402.60	33.99	27,192.00	12/31/2014
867001992	SURRY LOT13-2 MAP 10	1.0000	59,887.72	199,500.00	199,500.00	08/05/2010
872540109	TJX COMPANIES INC	400.0000	18,047.88	68.58	27,432.00	12/31/2014
880192109	TEMPLETON EMERG MKTS	790.0000	10,632.61	11.34	8,958.60	12/31/2014
922031745	VANGUARD INFLATION	2,295.6840	25,000.00	10.54	24,196.51	12/31/2014
92206C409	VG SHRT-TERM CORP	654.0000	52,583.40	79.63	52,078.02	12/31/2014
929352102	ADR WUXI PHARM	575.0000	21,166.70	33.67	19,380.25	12/31/2014
931142103	WAL MART STORES	496.0000	23,898.57	85.88	42,596.48	12/31/2014
950078AY1	WELLS 3.125% 11/1/31	15,000.0000	14,578.65	95.26	14,289.00	12/31/2014
981475106	WORLD FUEL SVCS CORP	490.0000	23,367.61	46.93	22,995.70	12/31/2014
G5480U120	LIBERTY GLOBAL PLC	500.0000	20,000.90	48.31	24,155.00	12/31/2014
Y2573F102	FLEXTRONICS INTL	2,100.0000	20,066.33	11.18	23,478.00	12/31/2014
<b>Total Securities</b>		<b>145,822.7160</b>	<b>886,916.82</b>		<b>1,182,481.15</b>	
<b>Income Cash</b>			<b>0.00</b>		<b>0.00</b>	
<b>Principal Cash</b>			<b>0.00</b>		<b>0.00</b>	
<b>Account Total</b>			<b>886,916.82</b>		<b>1,182,481.15</b>	