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## Return of Private Foundation

OMB No 1545-0052

2013

Department of the Treasury  
Internal Revenue ServiceDo not enter Social Security numbers on this form as it may be made public  
Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Open to Public Inspection

For calendar year 2013 or tax year beginning

DEC 1, 2013

, and ending

NOV 30, 2014

Name of foundation

THE KENNETH T. AND EILEEN L. NORRIS  
FOUNDATION

A Employer identification number

95-6080374

Number and street (or P.O. box number if mail is not delivered to street address)

11 GOLDEN SHORE, SUITE 450

Room/suite

B Telephone number

(562) 435-8444

City or town, state or province, country, and ZIP or foreign postal code

LONG BEACH, CA 90802

C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test,  
check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundationE If private foundation status was terminated  
under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

\$ 198,430,725.

J Accounting method

☒ Cash☐ Accrual☐ Other (specify)

(Part I, column (d) must be on cash basis)

F If the foundation is in a 60-month termination  
under section 507(b)(1)(B), check here ☐

## Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not  
necessarily equal the amounts in column (a))(a) Revenue and  
expenses per books(b) Net investment  
income(c) Adjusted net  
income(d) Disbursements  
for charitable purposes  
(cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received			N/A		
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B					
	3 Interest on savings and temporary cash investments	706.	706.		STATEMENT 1	
	4 Dividends and interest from securities	5,125,516.	5,125,516.		STATEMENT 2	
	5a Gross rents					
	b Net rental income or (loss)					
	6a Net gain or (loss) from sale of assets not on line 10	4,034,869.				
	b Gross sales price for all assets on line 6a	15,149,392.				
	7 Capital gain net income (from Part IV, line 2)		4,034,869.			
	8 Net short-term capital gain					
Operating and Administrative Expenses	9 Income modifications					
	10a Gross sales less returns and allowances					
	b Less: Cost of goods sold					
	c Gross profit or (loss)					
	11 Other income	36,117.	36,117.		STATEMENT 3	
	12 Total. Add lines 1 through 11	9,197,208.	9,197,208.			
	13 Compensation of officers, directors, trustees, etc.	27,000.	0.		27,000.	
	14 Other employee salaries and wages					
	15 Pension plans, employee benefits					
	16a Legal fees					
	b Accounting fees	STMT 4	34,731.	24,312.		10,419.
	c Other professional fees	STMT 5	43,933.	43,933.		0.
	17 Interest					
	18 Taxes	STMT 6	176,017.	4,049.		329.
	19 Depreciation and depletion					
	20 Occupancy		52,826.	26,413.		26,413.
	21 Travel, conferences, and meetings		9,833.	0.		9,833.
22 Printing and publications						
23 Other expenses	STMT 7	1,057,040.	516,570.		540,470.	
24 Total operating and administrative expenses. Add lines 13 through 23		1,401,380.	615,277.		614,464.	
25 Contributions, gifts, grants paid		7,804,690.			7,804,690.	
26 Total expenses and disbursements. Add lines 24 and 25		9,206,070.	615,277.		8,419,154.	
27 Subtract line 26 from line 12:						
a Excess of revenue over expenses and disbursements		<8,862.>				
b Net investment income (if negative, enter -0-)			8,581,931.			
c Adjusted net income (if negative, enter -0-)			N/A			

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**THE KENNETH T. AND EILEEN L. NORRIS  
FOUNDATION**

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<b>Part II Balance Sheets</b> <small>Attached schedules and amounts in the description column should be for end-of-year amounts only</small>		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	47,031.	126,427.	126,427.
	2 Savings and temporary cash investments	1,041,127.	1,610,448.	1,610,448.
	3 Accounts receivable ▶ 6,055.			
	Less allowance for doubtful accounts ▶	392.	6,055.	6,055.
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	23,125.	21,486.	21,486.
	10a Investments - U.S. and state government obligations STMT 10	1,940,044.	1,932,245.	2,145,934.
	b Investments - corporate stock STMT 11	47,277,560.	44,927,927.	146,329,025.
	c Investments - corporate bonds STMT 12	39,078,747.	39,793,510.	41,446,967.
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 13	3,280,353.	4,287,026.	6,741,558.	
14 Land, buildings, and equipment: basis ▶ 96,394.				
Less accumulated depreciation STMT 14 ▶ 95,640.	754.	754.	754.	
15 Other assets (describe ▶ <b>SECURITY DEPOSIT</b> )	2,071.	2,071.	2,071.	
16 <b>Total assets</b> (to be completed by all filers - see the instructions. Also, see page 1, item 1)	92,691,204.	92,707,949.	198,430,725.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses	8,264,595.	8,893,075.	
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ <b>STATEMENT 15</b> )	26,179.	26,329.	
23 <b>Total liabilities</b> (add lines 17 through 22)	8,290,774.	8,919,404.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.		
29 Retained earnings, accumulated income, endowment, or other funds	84,400,430.	83,788,545.		
30 <b>Total net assets or fund balances</b>	84,400,430.	83,788,545.		
31 <b>Total liabilities and net assets/fund balances</b>	92,691,204.	92,707,949.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	84,400,430.
2 Enter amount from Part I, line 27a	<8,862.>
3 Other increases not included in line 2 (itemize) ▶ <b>SEE STATEMENT 9</b>	8,290,052.
4 Add lines 1, 2, and 3	92,681,620.
5 Decreases not included in line 2 (itemize) ▶ <b>ACCRUAL OF UNPAID GRANTS 11/30/14</b>	8,893,075.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	83,788,545.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a			
b SEE ATTACHED STATEMENTS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e 15,149,392.		11,114,523.	4,034,869.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			
b			
c			
d			
e			4,034,869.

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	4,034,869.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	7,761,340.	168,851,086.	.045966
2011	7,398,933.	157,559,265.	.046960
2010	6,975,407.	150,286,393.	.046414
2009	6,773,688.	143,173,991.	.047311
2008	8,322,419.	134,769,530.	.061753

2 Total of line 1, column (d)	2	.248404
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.049681
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	184,385,453.
5 Multiply line 4 by line 3	5	9,160,454.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	85,819.
7 Add lines 5 and 6	7	9,246,273.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions	8	8,419,154.

## THE KENNETH T. AND EILEEN L. NORRIS

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FOUNDATION

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1.		
b	Date of ruling or determination letter. _____ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1	171,639.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	171,639.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	<b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-	5	171,639.
6	<b>Credits/Payments:</b>		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	193,125.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	193,125.
8	Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	
9	<b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	
10	<b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	21,486.
11	Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> <input type="checkbox"/> <b>Refunded</b> <input type="checkbox"/>	11	0.

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	X	
b If "Yes," has it filed a tax return on Form 990-T for this year?	X	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ► **WWW.NORRISFOUNDATION.ORG**

14 The books are in care of ► **WALTER ZANINO, TRUSTEE-EXEC. DIR.** Telephone no. ► **(562) 435-8444**  
 Located at ► **11 GOLDEN SHORE, SUITE 450, LONG BEACH, CA** ZIP+4 ► **90802**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here  
 and enter the amount of tax-exempt interest received or accrued during the year ► **15** **N/A**

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?  
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►

16	Yes	No
		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B** Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 16		27,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

**3. Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
KTN ENTERPRISES, INC. - 11 GOLDEN SHORE, STE 440, LONG BEACH, CA 90802	MANAGEMENT FEES	1025747.

**Total** number of others receiving over \$50,000 for professional services

0

Total number of others receiving over \$50,000 for professional services	
Part IX-A	Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

## Expenses

1	N/A	
2		
3		
4		

## Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
3	All other program-related investments. See instructions.	

**Total.** Add lines 1 through 3

0.



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	185,147,347.
b	Average of monthly cash balances	1b	2,043,180.
c	Fair market value of all other assets	1c	2,826.
d	<b>Total</b> (add lines 1a, b, and c)	1d	187,193,353.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	187,193,353.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	2,807,900.
5	<b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	184,385,453.
6	<b>Minimum investment return.</b> Enter 5% of line 5	6	9,219,273.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	9,219,273.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	171,639.
b	Income tax for 2013 (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	171,639.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	9,047,634.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	9,047,634.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	9,047,634.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,419,154.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	8,419,154.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	8,419,154.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				9,047,634.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			8,264,595.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008				
<b>b</b> From 2009				
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ► \$ 8,419,154.				
<b>a</b> Applied to 2012, but not more than line 2a			8,264,595.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				154,559.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
<b>6</b> Enter the net total of each column as indicated below:				
<b>a</b> Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				8,893,075.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012				
<b>e</b> Excess from 2013				

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

- b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed
- b** 85% of line 2a
- c** Qualifying distributions from Part XII, line 4 for each year listed
- d** Amounts included in line 2c not used directly for active conduct of exempt activities
- e** Qualifying distributions made directly for active conduct of exempt activities.

[illegible]

- 3 Subtract line 2d from line 2c.
  - Complete 3a, b, or c for the alternative test relied upon.
    - a "Assets" alternative test - enter:
      - (1) Value of all assets
      - (2) Value of assets qualifying under section 4942(j)(3)(B)(i)
    - b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed
    - c "Support" alternative test - enter:
      - (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)
      - (2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)
      - (3) Largest amount of support from an exempt organization
      - (4) Gross investment income

**Part XV** **Supplementary Information** (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)

## 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2) )

NONE

- b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

SEE ATTACHED

- b The form in which applications should be submitted and information and materials they should include**

SEE ATTACHED

- c Any submission deadlines

SEE ATTACHED

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

## THE KENNETH T. AND EILEEN L. NORRIS

Form 990-PF (2013)

FOUNDATION

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**Part XV** Supplementary Information (continued)**3** Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a</b> Paid during the year				
SEE ATTACHED STATEMENT	NONE	PUBLIC CHARITIES	GENERAL	7,804,690.
<b>Total</b>			<b>3a</b>	7,804,690.
<b>b</b> Approved for future payment				
NONE				
<b>Total</b>			<b>3b</b>	0.



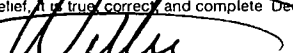
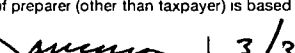
**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

- |   |  | Yes   | No |
|---|--|-------|----|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  |       |    |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of:   |       |    |
|   | (1) Cash   | 1a(1) | X  |
|   | (2) Other assets   | 1a(2) | X  |
| b | Other transactions:  |       |    |
|   | (1) Sales of assets to a noncharitable exempt organization   | 1b(1) | X  |
|   | (2) Purchases of assets from a noncharitable exempt organization   | 1b(2) | X  |
|   | (3) Rental of facilities, equipment, or other assets   | 1b(3) | X  |
|   | (4) Reimbursement arrangements   | 1b(4) | X  |
|   | (5) Loans or loan guarantees   | 1b(5) | X  |
|   | (6) Performance of services or membership or fundraising solicitations   | 1b(6) | X  |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees   | 1c    | X  |
| d | If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received. |       |    |

[illegible]

- 2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No
- b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

<b>Sign Here</b>	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.				May the IRS discuss this return with the preparer shown below (see instr 1)? <input checked="checked" type="checkbox"/> Yes <input type="checkbox"/> No
	Signature of officer or trustee 	Date 3/3/15	Title TRUSTEE		
<b>Paid Preparer Use Only</b>	Print/Type preparer's name NAZ AFSHAR	Preparer's signature 	Date 2/26/15	Check <input type="checkbox"/> if self-employed	PTIN P00441843
	Firm's name ▶ GURSEY   SCHNEIDER LLP			Firm's EIN ▶ 95-3309779	
	Firm's address ▶ 1888 CENTURY PARK EAST, SUITE 900 LOS ANGELES, CA 90067-1735			Phone no. 310-552-0960	

The Kenneth T. Eileen L. Norris Foundation

I.D. #95-6080374

Charitable Donation Summary - Year End November 30, 2014

Part XV - Supplementary Information

<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
1736 Family Crisis Center 2116 Arlington Avenue, Suite 200 Los Angeles, CA 90018	\$ 15,000 00	Emergency Youth Shelter Program
A Noise Within 3352 East Foothill Boulevard Pasadena, CA 91107	\$ 15,000 00	Classics Live! Education Programs and Learning Resource Center Expansion Project
A Place Called Home 2830 South Central Avenue Los Angeles, CA 90011	\$ 25,000 00	General Support
Accelerated Schools, The 116 East Martin Luther King Jr Boulevard Los Angeles, CA 90011	\$ 135,000 00	The Accelerated Charter Elementary School (ACES) New School Construction Project
Activities For Retarded Children 6456 Whitsett Avenue North Hollywood, CA 91606	\$ 10,000 00	Education, Health, and Wellness Program
Alzheimer's Family Services Center 9451 Indianapolis Avenue Huntington Beach, CA 92646	\$ 15,000 00	Family Dementia Services
Angel Flight West, Inc 3161 Donald Douglas Loop South Santa Monica, CA 90405	\$ 20,000 00	Southern California Programs
Aquarium of the Pacific 100 Aquarium Way Long Beach, CA 90802	\$ 15,000 00	Ocean Science Education Program
Aquarium of the Pacific 100 Aquarium Way Long Beach, CA 90802	\$ 100,000 00	Campus Master Plan (Pacific Visions) 5 Year Pledge
Archer School for Girls, The 11725 Sunset Boulevard Los Angeles, CA 90049	\$ 5,000 00	The Archer School for Girls Flexible Tuition Program
Assistance League of Long Beach 6220 East Spring Street Long Beach, CA 90815	\$ 25,000 00	Operation School Bell
Autry National Center of the American West 4700 Western Heritage Way Los Angeles, CA 90027-1462	\$ 25,000 00	Autry Strategic Educational Outreach Initiative
Autry National Center of the American West 4700 Western Heritage Way Los Angeles, CA 90027-1462	\$ 50,000 00	2014 Masters of the American West Fine Art Exhibition and Sale
Bags4kids P O Box 2234 California City, CA 93504	\$ 10,000 00	Comfort Bags for Displaced Children
Best Buddies California 5601 West Slauson Avenue, Suite 255 Culver City, CA 90230	\$ 20,000 00	Best Buddies California - Los Angeles/San Bernardino/Orange County High School Project
Blind Childrens Center, Inc 4120 Marathon Street Los Angeles, CA 90029	\$ 20,000 00	Research
Blind Childrens Center, Inc 4120 Marathon Street Los Angeles, CA 90029	\$ 50,000 00	Literacy Program for Preschool Through Second Grade
BookEnds 2957 South Sepulveda Boulevard Los Angeles, CA 90064	\$ 15,000 00	BookEnds BookDrives Literacy for Every Child
Boys & Girls Club of Long Beach 3635 Long Beach Boulevard Long Beach, CA 90807	\$ 20,000 00	Five Core Youth Development Initiative
Boys & Girls Club of Pasadena 3230 East Del Mar Boulevard Pasadena, CA 91107	\$ 15,000 00	Project Learn
Boys & Girls Clubs of the Los Angeles Harbor 1200 South Cabrillo Avenue San Pedro, CA 90731	\$ 35,000 00	Wilmington Middle School Center Project 3 Year Pledge

The Kenneth T. Eileen L. Norris Foundation  
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Charitable Donation Summary - Year End November 30, 2014  
Part XV - Supplementary Information

<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Boys to Men Mentoring 9587 Tropico Drive La Mesa, CA 91941	\$ 25,000 00	Boys to Men Mentoring Program
Bread of Life Rescue Mission P O Box 458 Oceanside, CA 92049	\$ 5,000 00	Unrestricted/General Operating Support
Bring Me A Book Foundation 607 Ruby Street Redondo Beach, CA 90277	\$ 15,000 00	Southern California Early Childhood Literacy Initiative
Cabrillo Festival of Contemporary Music 147 South River Street, Suite 232 Santa Cruz, CA 95060	\$ 10,000 00	2014 Cabrillo Festival of Contemporary Music
California Academy of Mathematics and Science c/o California State University Dominguez Hills Foundation 1000 East Victoria Street Carson, CA 90747	\$ 35,000 00	Robotics Programs
California Institute of Technology 1200 East California State Boulevard, MC 5-32 Pasadena, CA 91125	\$ 85,000 00	The Kenneth T and Eileen L Norris Foundation / CCAT Postdoctoral Fellowship 5 Year Pledge
California Science Center Foundation 700 Exposition Park Drive Los Angeles, CA 90037	\$ 100,000 00	Endeavour Decommissioning and Transportation 5 Year Pledge
California Wildlife Center P O Box 2022 Malibu, CA 90265	\$ 10,000 00	Animal Care Operations
Carlsbad Educational Foundation 5631 Palmer Way, Suite L Carlsbad, CA 92010	\$ 10,000 00	Carlsbad FIRST Tech Challenge Robotics Program
Carnegie Observatories 1530 P Street, NW Washington, District of Columbia 20005	\$ 15,000 00	2015 Carnegie Observatories Astronomy Lecture Series
Casa Colina Centers for Rehabilitation 255 East Bonita Avenue Pomona, CA 91769-6001	\$ 20,000 00	General Operating Support Casa Colina Children's Services
Cats in Need of Human Care - Riverside Chapter 6353 Greyson Way Riverside, CA 92506	\$ 7,000 00	Raise Public Awareness to Give Animals the Respect They Deserve
Center Theatre Group 601 West Temple Street Los Angeles, CA 90012	\$ 10,000 00	Education and Community Partnerships
Chamber Orchestra of the South Bay P O Box 2095 Palos Verdes Peninsula, CA 90274	\$ 20,000 00	LA Philharmonic Principal Chair Soloists
Chapman University One University Drive Orange, CA 92866	\$ 15,000 00	Annual Need-Based Scholarship Fund/Norris Scholars
Children's Burn Foundation 5000 Van Nuys Boulevard, Suite 450 Sherman Oaks, CA 91403	\$ 40,000 00	Full Recovery Program
Children's Hospital Los Angeles 4650 Sunset Boulevard, M S #29 Los Angeles, CA 90027	\$ 40,000 00	The Neuroblastoma Research Program
Circle of Friends 20506 East Meghan Court Walnut, CA 91789	\$ 5,000 00	Circle of Friends Summer Camp 2014
City of Hope 1055 Wilshire Boulevard Los Angeles, CA 90017	\$ 150,000 00	Adoptive T Cell Immunotherapy Targeting Breast Cancer that has Metastasized to the Brain
Claremont Graduate University 150 East Tenth Street Claremont, CA 91711	\$ 15,000 00	Arts Management Consulting Projects



The Kenneth T. Eileen L. Norris Foundation  
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Charitable Donation Summary - Year End November 30, 2014  
Part XV - Supplementary Information

<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Colburn School, The 200 South Grand Avenue Los Angeles, CA 90012	\$ 10,000 00	Jumpstart Young Musicians Program
Community's Child, Inc 25520 Woodward Avenue Lomita, CA 90717	\$ 15,000 00	Building Hope - Transitional Living and Support Program
Council on Foundations P O Box 75661 Baltimore, MD 21275-5661	\$ 16,390 00	2014 Membership Renewal
Criminal Justice Legal Foundation 2131 L Street Sacramento, CA 95816	\$ 10,000 00	General Support
CSU Dominguez Hills Foundation 1000 East Victoria Street Welch Hall 425 Carson, CA 90747	\$ 15,000 00	College of Natural and Behavioral Sciences (CNBS) Faculty Release Time Awards
Cultural Heritage Foundation of Southern California, Inc 3800 Homer Street Los Angeles, CA 90031	\$ 5,000 00	A Golden Vision
Cystic Fibrosis Foundation 6420 Wilshire Boulevard, First Floor Los Angeles, CA 90048	\$ 5,000 00	2014 Par Wars Golf Classic Fundraiser - Donation
Da Camera Society of Mount St Mary's College 10 Chester Place Los Angeles, CA 90007	\$ 25,000 00	Chamber Music in Historic Sites
Devil Pups, Inc P O Box 6607 Westlake Village, CA 91359	\$ 15,000 00	2014 Encampment
Dientes Community Dental Care 1830 Commercial Way Santa Cruz, CA 95065	\$ 10,000 00	Unrestricted/General Operating Support
Dientes Community Dental Care 1830 Commercial Way Santa Cruz, CA 95065	\$ 20,000 00	Growing Healthy Smiles
Dream Street Foundation 324 South Beverly Drive, Suite 500 Beverly Hills, CA 90212	\$ 15,000 00	Camp Dream Street
Enamelist Society, The P O Box 920220 Norcross, GA 30010	\$ 20,000 00	Improving Office Function with Member Management Software
Exceptional Children's Foundation 8740 West Washington Boulevard Culver City, CA 90232	\$ 15,000 00	ECF Kayne Eras Center
Five Acres-The Boys' and Girls' Aid Society of Los Angeles County 760 West Mountain View Street Altadena, CA 91001	\$ 25,000 00	Five Acres Residential Treatment Center
Flintridge Preparatory School 4543 Crown Avenue La Canada Flintridge, CA 91011	\$ 10,000 00	Financial Aid Program
For Inspiration and Recognition of Science and Technology 200 Bedford Street Manchester, NH 03101	\$ 10,000 00	Robotics Competition Los Angeles Regional
Friends of Banning Museum P O Box 1927 Wilmington, CA 90748	\$ 10,000 00	Banning Residence Museum Carriage Project
Friends of Banning Museum P O Box 1927 Wilmington, CA 90748	\$ 25,000 00	Banning Heritage Week(s)
Friends of Cabrillo Marine Aquarium 3720 Stephen M White Drive San Pedro, CA 90731	\$ 15,000 00	Youth Marine Science & Conservation Education

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<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Friends of Rancho San Pedro 18127 South Alameda Street Rancho Dominguez, CA 90220	\$ 15,000 00	Museum Education and Outreach Programs
Friends of Santa Ana Zoo 1801 East Chestnut Avenue Santa Ana, CA 92701	\$ 10,000 00	Children's Farm Classroom Renovation
Fulfillment Fund 6100 Wilshire Boulevard, Suite 600 Los Angeles, CA 90048	\$ 25,000 00	General Support
Geffen Playhouse 10886 LeConte Avenue Los Angeles, CA 90024	\$ 20,000 00	High School Partnerships Program
Geffen Playhouse 10886 LeConte Avenue Los Angeles, CA 90024	\$ 100,000 00	Veterans Programming
Golden State Pops Orchestra P O Box 6011 San Pedro, CA 90734	\$ 10,000 00	GSPO Programs
Golden West College Foundation 15744 Golden West Street Huntington Beach, CA 92647-2748	\$ 25,000 00	Upgrade Two Classrooms at Golden West College School of Nursing
GRAMMY Museum, The 800 West Olympic Boulevard, Suite A245 Los Angeles, CA 90015	\$ 5,000 00	Unrestricted/General Operating Support
GRAMMY Museum, The 800 West Olympic Boulevard, Suite A245 Los Angeles, CA 90015	\$ 25,000 00	Music Education Program
Grand Vision Foundation 434 West 6th Street San Pedro, CA 90731	\$ 10,000 00	Jazz at the Grand Annex
Greater Los Angeles Fisher House Foundation 140 South Barrington Avenue Los Angeles, CA 90049	\$ 10,000 00	Unrestricted/General Operating Support
Greater Los Angeles Zoo Association 5333 Zoo Drive Los Angeles, CA 90027	\$ 5,000 00	Unrestricted/General Operating Support
Greater Los Angeles Zoo Association 5333 Zoo Drive Los Angeles, CA 90027	\$ 20,000 00	General Support
Grey Bears 2710 Chanticleer Avenue Santa Cruz, CA 95065	\$ 3,000 00	Unrestricted/General Operating Support
Halldale Avenue Elementary School 21514 Halldale Avenue Torrance, CA 90501	\$ 10,000 00	Unrestricted/General Operating Support
Harbor Community Clinic 593 West 6th Street San Pedro, CA 90731	\$ 30,000 00	Case Coordinator for Diabetes Outreach, Education, Prevention, and Treatment Program
Harbor Interfaith Services, Inc. 670 West 9th Street San Pedro, CA 90731	\$ 10,000 00	You Can Have it ALL (Accelerated Learning & Living) Transitional Housing Program
Harvey Mudd College 301 Platt Boulevard Claremont, CA 91711	\$ 40,000 00	The President's Scholars Program
Heal the Bay 1444 9th Street Santa Monica, CA 90401	\$ 10,000 00	Youth Environmental Education Program
Hillsides 940 Avenue 64 Pasadena, CA 91105	\$ 25,000 00	Campus Security and Disaster Preparedness

The Kenneth T. Eileen L. Norris Foundation

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Part XV - Supplementary Information

<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
House of Hope Foundation, Inc P O Box 921 San Pedro, CA 90733-0921	\$ 10,000 00	One-On-One Counseling for Severely Addicted Women
Huntington Library, Art Collections, and Botanical Gardens 1151 Oxford Road San Marino, CA 91108	\$ 50,000 00	Desert Garden Project 4 Year Pledge
Huntington Library, Art Collections, and Botanical Gardens 1151 Oxford Road San Marino, CA 91108	\$ 250,000 00	The Education and Visitor Center Capital Project 4 Year Pledge
Inner-City Arts 720 Kohler Street Los Angeles, CA 90021	\$ 10,000 00	Learning and Achieving Through the Arts
Inner-City Filmmakers 3000 West Olympic Boulevard Bldg 3, Room 2364 Santa Monica, CA 90404	\$ 15,000 00	Inner-City Filmmakers Arts Education, Career Counseling, and Jobs Placement Program
International City Theatre 110 Pine Avenue, Suite 820 Long Beach, CA 90802	\$ 10,000 00	General Support
Jester & Pharley Phund, The P O Box 817 Palos Verdes Estates, CA 90274	\$ 15,000 00	Smiles For Kids With Cancer
John Douglas French Alzheimer's Foundation 11620 Wilshire Boulevard, Suite 270 Los Angeles, CA 90025	\$ 25,000 00	Establishing a Pipeline for Testing New Genetic Risk Factors in Neurogenerative Disease
John Tracy Clinic 806 West Adams Boulevard Los Angeles, CA 90007	\$ 20,000 00	Audiology Program
Jumpstart For Young Children 1625 West Olympic Boulevard Suite 1050 Los Angeles, CA 90015	\$ 5,000 00	Jumpstart Southern California
Junior Blind of America 5300 Angeles Vista Boulevard Los Angeles, CA 90043	\$ 25,000 00	General Support
Just in Time for Foster Youth P O Box 81292 San Diego, CA 92138	\$ 140,000 00	Just in Time Culture of Coaching Model
Keck Graduate Institute of Applied Life Sciences 535 Watson Drive Claremont, CA 91711	\$ 20,000 00	Undergraduate Research Experience for Students with Limited Research Opportunities
Kidspace Children's Museum 480 North Arroyo Boulevard Pasadena, CA 91103	\$ 20,000 00	Kidspace Science Club
Las Floristas, Inc c/o Deborah Veady 3820 Chatwin Avenue Long Beach, CA 90808	\$ 20,000 00	Wheelchair Sports Program
Lazarex Cancer Foundation P O Box 741 Danville, CA 94526	\$ 10,000 00	Lazarex Cancer Foundation Gala Fundraiser - Donation
Liberty Painting Corporation 108 West Miner Street Yreka, CA 96097	\$ 1,000 00	Unrestricted/General Operating Support
Liberty Painting Corporation 108 West Miner Street Yreka, CA 96097	\$ 10,000 00	Show, Glorious Mud, Women Who Know Alaska Series
Liberty Painting Corporation 108 West Miner Street Yreka, CA 96097	\$ 10,000 00	General Operational Support 2015 Explorations Educational Outreach Programs
Liberty Painting Corporation 108 West Miner Street Yreka, CA 96097	\$ 15,000 00	Community Exhibition Support
Library Foundation of Los Angeles 630 West Fifth Street Los Angeles, CA 90071	\$ 30,000 00	We Read Together

The Kenneth T. Eileen L. Norris Foundation  
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<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Long Beach Bar Foundation 3515 Linden Avenue Long Beach, CA 90807	\$ 10,000 00	SHORTSTOP Juvenile Crime Diversion Program
Long Beach Museum of Art 2300 East Ocean Boulevard Long Beach, CA 90803	\$ 25,000 00	The Paternal Suit
Long Beach Public Library Foundation 101 Pacific Avenue Long Beach, CA 90822	\$ 25,000 00	Community Learning Collaborative
Los Angeles Biomedical Research Institute at Harbor-UCLA Medical Center 1124 West Carson Street Torrance, CA 90502-2064	\$ 200,000 00	Effects of Psilocybin Treatment in Advanced-Cancer Patients with Depression & Anxiety
Los Angeles Chamber Orchestra 350 South Figueroa Street, Suite 183 Los Angeles, CA 90071	\$ 10,000 00	Meet the Music
Los Angeles Conservancy 523 West 6th Street, Suite 826 Los Angeles, CA 90014	\$ 15,000 00	Education and Outreach
Los Angeles County High School for the Arts Foundation 1149 South Hill Street, Suite H-100 Los Angeles, CA 90015	\$ 10,000 00	Dance Training Program
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	\$ 10,000 00	Expressionism in Germany and France from Van Gogh to Kandinsky Exhibition
Los Angeles County Museum of Art 5905 Wilshire Boulevard Los Angeles, CA 90036	\$ 20,000 00	Evenings for Educators
Los Angeles Maritime Institute Berth 73, Suite 2 San Pedro, CA 90731	\$ 5,000 00	TopSail Youth Program / LA Harbor SEED Project
Los Angeles Opera 135 North Grand Avenue Los Angeles, CA 90012	\$ 15,000 00	LA Opera's Core Education Programs
Los Angeles Philharmonic Association 151 South Grand Avenue Los Angeles, CA 90012	\$ 10,000 00	SummerSounds
Los Angeles Police Academy Magnet Schools Foundation 1529 Gilcrest Drive Beverly Hills, CA 90210	\$ 25,000 00	POPP (Police Orientation and Preparation Program) Instructional Support Program
Los Angeles Regional Foodbank 1734 East 41st Street Los Angeles, CA 90058	\$ 10,000 00	Food Distribution Fund
Los Angeles Waterkeeper (Formerly Santa Monica Baykeeper) 120 Broadway, Suite 105 Santa Monica, CA 90401	\$ 5,000 00	An Educational Assessment of Industrial Pollution

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<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Mama's Kitchen 3960 Home Avenue San Diego, CA 92105	\$ 10,000 00	Children's Nutritional Health Program
March of Dimes Foundation 700 North Brand Boulevard, Suite 950 Glendale, CA 91203	\$ 5,000 00	March for Babies
Marianne Frostig Center of Educational Therapy, The 971 North Altadena Drive Pasadena, CA 91107	\$ 5,000 00	Frostig School for Children with Learning Disabilities
Marine Corps Scholarship Foundation, Inc 909 North Washington Street, Suite 400 Alexandria, VA 22314	\$ 10,000 00	Scholarship Support for Children from California
Meals-on-Wheels Greater San Diego, Inc 2254 San Diego Avenue, Suite 200 San Diego, CA 92110	\$ 8,000 00	Expanding Meals-on-Wheels' Rural Initiative
Midnight Mission 601 South San Pedro Street Los Angeles, CA 90014	\$ 25,000 00	General Operating Support
Military Women In Need 2355 Westwood Boulevard, Suite 350 Los Angeles, CA 90064	\$ 20,000 00	General Operating Support
Miller Children's Hospital Long Beach c/o Memorial Medical Center Foundation 2801 Atlantic Avenue Long Beach, CA 90801	\$ 50,000 00	Neuropsychological Evaluations for School-Age Children
MIND Research Institute 111 Academy Way, Suite 100 Irvine, CA 92617	\$ 15,000 00	Long Beach School Scholarship Alliance
Museum of Contemporary Art 250 South Grand Avenue Los Angeles, CA 90012	\$ 15,000 00	Contemporary Art Start
Museum of Latin American Art (MOLAA) 628 Alamitos Avenue Long Beach, CA 90802	\$ 15,000 00	Aprende con MOLAA Art Education Programming
Museum of Making Music, The 5790 Armada Drive Carlsbad, CA 92008	\$ 20,000 00	Global Spotlight Wall Community Match Grant Initiative
My Stuff Bags Foundation 5347 Sterling Center Drive Westlake Village, CA 91361	\$ 20,000 00	2014 Southern California My Stuff Bags Project
National Action Council for Minorities in Engineering, Inc (NACME) 440 Hamilton Avenue, Suite 302 White Plains, NY 10601	\$ 10,000 00	NACME Southern California Scholars Program
National Audubon Society, Inc 4700 North Griffin Avenue Los Angeles, CA 90031	\$ 20,000 00	Audubon Center at Debs Park
Natural History Museum of Los Angeles County 900 Exposition Boulevard Los Angeles, CA 90007	\$ 50,000 00	School and Teacher Programs
Natural History Museum of Los Angeles County 900 Exposition Boulevard Los Angeles, CA 90007	\$ 60,000 00	NHM Next Campaign 5 Year Pledge
No Limits for Deaf Children 9801 Washington Boulevard, 2nd Floor Culver City, CA 90232	\$ 5,000 00	No Limits Educational Center
Norris Center for the Performing Arts 27570 Norris Center Drive Rolling Hills Estates, CA 90274	\$ 200,000 00	General Operating Support, Outreach and Education Programs

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<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
North Coast Repertory Theatre 987 Lomas Santa Fe Drive, Suite D Solana Beach, CA 92075	\$ 10,000 00	Professional Theatre for Families
Occidental College 1600 Campus Road, M-44 Los Angeles, CA 90041	\$ 35,000 00	Norris Science Scholars Program
Ocean Institute 24200 Dana Point Harbor Drive Dana Point, CA 92629	\$ 10,000 00	Watershed Education Program
Oceanographic Teaching Stations - Roundhouse Aquarium P O Box 1 Manhattan Beach, CA 90267	\$ 7,000 00	Free Marine Science and Environmental Education Field Trips
Old Globe Theatre P O Box 122171 San Diego, CA 92112- 2171	\$ 20,000 00	The Old Globe Free Shakespeare Tour
Old Town Music Hall, Inc 140 Richmond Street El Segundo, CA 90245	\$ 15,000 00	Update and Repairs
Operation Jump Start 3515 Linden Avenue Long Beach, CA 90807	\$ 20,000 00	College Access Mentoring Program
Outreach Concern, Inc 400 North Tustin Avenue Suite 360 Santa Ana, CA 92705	\$ 5,000 00	Expansion and Program Support
P F Bresee Foundation 184 S Bimini Place Los Angeles, CA 90004	\$ 10,000 00	After-School Programs for LA's Most At-Risk Youth
Pacific Battleship Center (Battleship IOWA) 250 South Harbor Boulevard San Pedro, CA 90731	\$ 25,000 00	Camp Battleship
Pacific Oaks College and Children's School 55 Eureka Street Pasadena, CA 91103	\$ 5,000 00	First Generation Scholarship Fund
Painted Turtle Gang Camp Foundation, The 1300 4th Street, Suite 300 Santa Monica, CA 90401	\$ 15,000 00	Medical Specialty Camp for Youth with Chronic and Life-Threatening Illnesses
Pajaro Valley Unified School District Aptos Junior High School Library 1001 Huntington Drive Aptos, CA 95003	\$ 2,000 00	Unrestricted/General Operating Support
Palos Verdes Art Center 5504 West Crestridge Road Rancho Palos Verdes, CA 90275	\$ 75,000 00	80th Anniversary Legacy Campaign
Palos Verdes Independence Day Celebration Committee P.O Box 1182 Palos Verdes Estates, CA 90273	\$ 10,000 00	Kenneth T Norris Heritage of Freedom Award - 4th of July 2014 Celebration
Palos Verdes Peninsula Education Foundation P O Box 2632 Palos Verdes Peninsula, CA 90274	\$ 35,000 00	Counseling Staff at the College & Career Center at Palos Verdes High
Palos Verdes Peninsula Land Conservancy P O Box 3427 Rolling Hills Estates, CA 90274	\$ 20,000 00	Thrd Grade Program
Palos Verdes Sunset Rotary Charities, Inc 3562 Heroic Drive Rancho Palos Verdes, CA 90275	\$ 15,000 00	Project EGO

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<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Pasadena Playhouse, The 600 Playhouse Alley, Suite 300 Pasadena, CA 91101	\$ 10,000 00	New Generations Program
Pediatric Therapy Network 1815 West 213th Street, Suite 100 Torrance, CA 90501	\$ 5,000 00	Unrestricted/General Operating Support
Pediatric Therapy Network 1815 West 213th Street, Suite 100 Torrance, CA 90501	\$ 25,000 00	General Operating Support
Peninsula Friends of the Library P O Box 2361 Palos Verdes Peninsula, CA 90274	\$ 35,000 00	Sunday Hours at the Peninsula Center Library
Peninsula Symphony Association P O Box 2602 Palos Verdes Peninsula, CA 90274	\$ 15,000 00	The Norris Foundation Concert
Pepperdine University 24255 Pacific Coast Highway Malibu, CA 90263	\$ 40,000 00	University Events Center
Performing Arts Center of Los Angeles County/The Music Center 135 North Grand Avenue Los Angeles, CA 90012	\$ 15,000 00	The Music Center's Admission-Free Family Programs
Pets Are Wonderful Support 1150 South Hope Street # A Los Angeles, CA 90015	\$ 20,000 00	PACE Program (Preserving Animal Companionship for the Elderly)
Pitzer College 1050 North Mills Avenue Claremont, CA 91711	\$ 15,000 00	Chicano/Latino Scholarship Fund
Polytechnic School 1030 East California Boulevard Pasadena, CA 91106	\$ 15,000 00	Robotics Program
Pomona College 550 North College Avenue Claremont, CA 91711	\$ 30,000 00	Pomona College Summer Undergraduate Research Program
Port of Los Angeles High School 250 West 5th Street San Pedro, CA 90731	\$ 10,000 00	POLAHS Science Department "Mini-Lab" Project
Porter Memorial Library 3050 Porter St Soquel, CA 90573	\$ 2,000 00	Unrestricted/General Operating Support
Providence Little Company of Mary Foundation 4101 Torrance Boulevard Torrance, CA 90503	\$ 150,000 00	Cardiovascular Center of Excellence
Public Counsel 610 South Ardmore Avenue Los Angeles, CA 90005	\$ 30,000 00	Vulnerable Children Program
Puppies Behind Bars 263 West 38th Street, 4th Floor New York, NY 10018	\$ 5,000 00	Puppies Behind Bars
Racers Who Care 7095 Hollywood Blvd , #769 Hollywood, CA 90028	\$ 5,000 00	Quick Tips on Winning in Life Field Trips for Kids to Grand Prix
Rancho Los Alamitos Foundation 6400 Bixby Hill Road Long Beach, CA 90815	\$ 10,000 00	General Operating Support
Rancho Los Cerritos Foundation 4600 Virginia Road Long Beach, CA 90807	\$ 10,000 00	Educational Associate - Rancho Education Programs
Reading is Fundamental of Southern California, Inc 7250 Bandini Boulevard, Suite 209 Los Angeles, CA 90040	\$ 15,000 00	General Operating Support

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Part XV - Supplementary Information

<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Red Scarf Society for the Performing Arts P O Box 1824 Yreka, CA 96097	\$ 5,000 00	Educational Outreach
Reuben H Fleet Science Center P O Box 33303 San Diego, CA 92163	\$ 10,000 00	ILLUSION Nothing Is As it Seems Exhibition
Reuben H Fleet Science Center P O Box 33303 San Diego, CA 92163	\$ 10,000 00	ILLUSION Nothing Is As it Seems Exhibition
Ronald McDonald House - Long Beach 500 East 27th Street Long Beach, CA 90806	\$ 10,000 00	Heart of the Home
Ronald McDonald House Charities of San Diego 2929 Children's Way San Diego, CA 92123	\$ 30,000 00	Educational Enrichment at San Diego's Ronald McDonald House Charities
Salvation Army - San Pedro Sage House, The 180 East Ocean Boulevard, Suite 500 Long Beach, CA 90802	\$ 40,000 00	San Pedro Sage House
Salvation Army - Sierra del Mar Division, The 2320 Fifth Avenue San Diego, CA 92101	\$ 30,000 00	Transitional Living Center
San Diego Air & Space Museum 2001 Pan American Plaza San Diego, CA 92101	\$ 5,000 00	2theExtreme - MathAlive!
San Diego Natural History Museum P O Box 121390 San Diego, CA 92112-1390	\$ 5,000 00	Environmental Science Education Programs
San Diego Rescue Mission P O Box 80427 San Diego, CA 92138	\$ 20,000 00	General Operating Support
Santa Cruz County Youth Resource Bank P O Box 1844 Capitola, CA 95010	\$ 15,000 00	Work4Youth
Santa Monica College Foundation 1900 Pico Boulevard Santa Monica, CA 90405-1628	\$ 20,000 00	SMC Science and Research Initiative
Save the Redwoods League 114 Sansome Street, Suite 1200 San Francisco, CA 94104	\$ 15,000 00	Santa Cruz Mountains Old-Growth Fund & Redwoods Climate Change Initiative
School on Wheels, Inc P O Box 23371 Ventura, CA 93002	\$ 10,000 00	Radical Expansion - Capstone Year
Semper Fi Fund P O Box 555193 Camp Pendleton, CA 92055-5193	\$ 10,000 00	Kids Camp
Shriners Hospitals for Children 3160 Geneva Street Los Angeles, CA 90020	\$ 30,000 00	Childhood Amputee Prosthetics Program
Siena House 108 High Street Santa Cruz, CA 95060	\$ 5,000 00	Unrestricted/General Operating Support
Siskiyou County Library Vestal Foundation 719 4th Street Yreka, CA 96097	\$ 5,000 00	Friends of the Yreka Library
Siskiyou Domestic Violence & Crisis Center P O Box 688 Yreka, CA 96097	\$ 10,000 00	General Operating Support
Southern California Grantmakers 1000 North Alameda Street Suite 230 Los Angeles, CA 90012	\$ 8,300 00	2014 Membership Renewal
Southern California Institute of Architecture 960 East 3rd Street Los Angeles, CA 90013	\$ 10,000 00	SCI-Arc Student Scholarships



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<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
Southern California Public Radio 474 South Raymond Avenue Pasadena, CA 91105	\$ 10,000 00	SCPR's Education Coverage
Special Olympics Southern California, Inc 1600 Forbes Way, Suite 200 Long Beach, CA 90810	\$ 5,000 00	Unrestricted/General Operating Support
Special Olympics Southern California, Inc 1600 Forbes Way, Suite 200 Long Beach, CA 90810	\$ 25,000 00	School Partnership Program
Spreckels Organ Society 1549 El Prado, Suite 10 San Diego, CA 92101-1661	\$ 5,000 00	Summer Organ Festival
St Baldrick's Foundation 1333 South Mayflower Avenue, Suite 400 Monrovia, CA 91016	\$ 5,000 00	Team JJ
Stable Hands P O Box 1852 Yreka, CA 96097	\$ 12,000 00	Youth Equine Assisted Therapeutic Riding
Starlight Children's Foundation 2049 Century Park East, Suite 4320 Los Angeles, CA 90067	\$ 10,000 00	2014 Fun Center Unit Placement
Stone Soup Child Care Programs 15910 Ventura Boulevard, Suite 1420 Encino, CA 91436	\$ 20,000 00	Fee Support and Staff Training
Students Run LA 5252 Crebs Avenue Tarzana, CA 91356	\$ 23,000 00	Training for a Marathon Training for Life
Support The Enlisted Project, Inc P O Box 26747 San Diego, CA 92196	\$ 30,000 00	Emergency Financial Assistance/ Operational Expenses
Teach for America - Los Angeles 606 South Olive Street, Suite 300 Los Angeles, CA 90014	\$ 25,000 00	Support our Corps Members in our Quest to End Educational Inequity in Los Angeles
Team Prime Time, Inc P O Box 241496 Los Angeles, CA 90024	\$ 20,000 00	"Norris 30" Learning Groups/Varsity Games Expansion
Toberman Neighborhood Center 131 North Grand Avenue San Pedro, CA 90731	\$ 5,000 00	Toberman Academy and Leaders in Training
Toberman Neighborhood Center 131 North Grand Avenue San Pedro, CA 90731	\$ 5,000 00	Unrestricted/General Operating Support
Tomorrow's Aeronautical Museum 961 West Alondra Boulevard Compton, CA 90220	\$ 5,000 00	General Operating Support
Torrance Memorial Health Care Foundation 3330 Lomita Boulevard Torrance, CA 90505-5073	\$ 750,000 00	The Kenneth T & Eileen L Norris Foundation Gift Shop 2 Year Pledge
Trash for Teaching 12815 S Western Avenue Gardena, CA 90249	\$ 5,000 00	Educational Program Director
TreePeople, Inc 12601 Mulholland Drive Beverly Hills, CA 90210	\$ 15,000 00	School Greening Initiative
Union Rescue Mission 545 South San Pedro Street Los Angeles, CA 90013	\$ 20,000 00	Hope Gardens Family Center
United States Adaptive Recreation Center P O Box 2897 Big Bear Lake, CA 92315	\$ 15,000 00	Outdoor Therapeutic Recreation for Children with Disabilities from Los Angeles

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Part XV - Supplementary Information

<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
United States Veterans Initiative 800 West 6th Street, Suite 1505 Los Angeles, CA 90017	\$ 10,000 00	Shelter & Support for 550 Homeless Long Beach Veterans
United Through Reading 11750 Sorrento Valley Road, Suite 100 San Diego, CA 92121	\$ 20,000 00	General Operating Support
University of California - Santa Barbara - College of Letters and Science Office of Development Santa Barbara, CA 93106-2013	\$ 15,000 00	The Norris Fellowship for Mentorships in Science
University of La Verne 1950 Third Street La Verne, CA 91750	\$ 10,000 00	University of La Verne Scholarship Fund
USC - Classical KUSC Radio 1149 South Hill Street, Suite H-100 Los Angeles, CA 90015	\$ 10,000 00	General Operating Support
USC - Davis School of Gerontology 3715 S McClintock Avenue Los Angeles, CA 90089-0191	\$ 5,000 00	Student Support Fund in Honor of Keith Renken
USC - Flora L Thornton School of Music 840 West 34th Street, MUS 408 Los Angeles, CA 90089-0851	\$ 10,000 00	Supporting Students Traveling to Competitions
USC - Flora L Thornton School of Music 840 West 34th Street, MUS 408 Los Angeles, CA 90089-0851	\$ 25,000 00	USC Thornton Outreach Program
USC - Heather Anne Barnes Endowed Scholarship Fund University of Southern California 444 South Flower, 41st Floor Los Angeles, CA 90071	\$ 5,000 00	Heather Anne Barnes Endowed Scholarship Fund
USC - Heather Anne Barnes Endowed Scholarship Fund University of Southern California 444 South Flower, 41st Floor Los Angeles, CA 90071	\$ 5,000 00	Heather Anne Barnes Endowed Scholarship Fund
USC - Heather Anne Barnes Endowed Scholarship Fund University of Southern California 444 South Flower, 41st Floor Los Angeles, CA 90071	\$ 5,000 00	Heather Anne Barnes Endowed Scholarship Fund
USC - Heather Anne Barnes Endowed Scholarship Fund University of Southern California 444 South Flower, 41st Floor Los Angeles, CA 90071	\$ 7,000 00	Heather Anne Barnes Endowed Scholarship Fund
USC - Heather Anne Barnes Endowed Scholarship Fund University of Southern California 444 South Flower, 41st Floor Los Angeles, CA 90071	\$ 10,000 00	Heather Anne Barnes Endowed Scholarship Fund
USC - Leventhal School of Accounting University of Southern California 3660 Trousdale Parkway, ACC101 Los Angeles, CA 90089-0441	\$ 5,000 00	Scholarships in Honor of Professor Jack Larsen
USC - Marshall School of Business ATT 29th Floor Los Angeles, CA 90089	\$ 25,000 00	Master of Business for Veterans Scholarships
USC - Men's Crew 1026 West 34th Street Los Angeles, CA 90089-2500	\$ 10,000 00	Renovation of the "Jack Schumacher" Varsity 8 Boat
USC - Physically Challenged Athletes Scholarship Fund Heritage Hall 203A, MC 0602 Los Angeles, CA 90089-0602	\$ 10,000 00	Unrestricted/General Operating Support
USC - Physically Challenged Athletes Scholarship Fund Heritage Hall 203A, MC 0602 Los Angeles, CA 90089-0602	\$ 15,000 00	Physically Challenged Athletes Scholarship Fund

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<u>Organization</u>	<u>Amount</u>	<u>Project Title</u>
USC - School of Engineering 1150 South Olive Street, Suite 1510 Los Angeles, CA 90015	\$ 60,000 00	Women in Engineering Program
USC - School of Pharmacy 1985 Zonal Avenue, PSC 504 Los Angeles, CA 90089	\$ 25,000 00	Norris Foundation USC STAR Research Scholars Program
USC - The Center on Philanthropy and Public Policy School of Policy, Planning, and Development 650 Childs Way, Room 210 Los Angeles, CA 90089-0626	\$ 10,000 00	Philanthropic Partners Program
USC - Town and Gown 950 Chantilly Road Los Angeles, CA 90077	\$ 25,000 00	Town and Gown of USC Scholarship Endowment Funds
USC/Norris Comprehensive Cancer Center - Norris Healthcare Consultation Center 1441 Eastlake Avenue, Room 8302 Los Angeles, CA 90089	\$ 1,500,000 00	Norris Healthcare Consultation Center 10 Year Pledge
USO San Diego 303 A Street, Suite 100 San Diego, CA 92101	\$ 10,000 00	Easter Bunny Breakfast
USO San Diego 303 A Street, Suite 100 San Diego, CA 92101	\$ 15,000 00	Stars and Stripes Ball
Volunteer Center, South Bay-Harbor-Long Beach 1230 Cravens Avenue Torrance, CA 90501	\$ 10,000 00	Food for Kids
Whittier College 13406 East Philadelphia Street Whittier, CA 90608	\$ 15,000 00	Norris Transfer Ambassador Program at Whittier College
Words Alive 5111 Santa Fe Street, Suite 219 San Diego, CA 92109	\$ 8,000 00	Adolescent Book Group Program
Wounded Warrior Homes 827 Plumosa Avenue Vista, CA 92081	\$ 10,000 00	WWH Capacity Building
YMCA - Ketchum/Downtown 401 South Hope Street Los Angeles, CA 90071	\$ 50,000 00	Youth and Family Health Initiative
YMCA - Metropolitan Los Angeles - East, Mid, and West Valley Branches 18810 Vanowen Street Reseda, CA 91335	\$ 10,000 00	Youth & Government Program
YMCA - Siskiyou 350 North Foothill Drive Yreka, CA 96097	\$ 15,000 00	YMCA Gymnasium Refurbishment Project
YMCA - Torrance/South Bay 2900 West Sepulveda Boulevard Torrance, CA 90505	\$ 20,000 00	Healthy Eating Physical Activity (HEPA)
YMCA - Wilmington 1127 North Avalon Boulevard Wilmington, CA 90744	\$ 50,000 00	Wilmington Aquatic Center Project 5 Year Pledge
Young Musicians Foundation 244 South San Pedro Street 5th Floor, Suite 506 Los Angeles, CA 90012	\$ 10,000 00	Music Mentors Program
Zoological Society of San Diego P O Box 120551 San Diego, CA 92112	\$ 10,000 00	Conservation Education Laboratory Visits for CAMS
<b>TOTAL</b>	<b>\$ 7,804,690 00</b>	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
ja	500 SHS SEARS ROEBUCK ACCEP	P	04/19/02	11/07/14
b	500 SHS BEAR STEARNS & CO INC	P	02/16/07	11/17/14
c	500 SHS MORGAN STANLEY DEAN	P	02/27/07	04/01/14
d	500 SHS CSX CORP	P	04/17/07	02/18/14
e	1,000 SHS GLAXOSMITHKLINE CAP	P	01/23/08	04/15/14
f	500 SHS VERIZON COMMUNICATION	P	07/29/08	11/24/14
g	1,000 SHS SOUTHTRUST CORP	P	02/13/09	06/16/14
h	266 SHS CONOCOPHILLIPS	P	02/25/09	02/03/14
i	1,000 SHS JP MORGAN CHASE & CO	P	02/27/09	01/15/14
j	1,000 SHS US BANK NATIONAL	P	03/13/09	02/04/14
k	1,000 SHS GOLDMAN SACHS GRP INC	P	05/26/09	05/01/14
l	500 SHS MORGAN STANLEY	P	06/01/09	05/13/14
m	500 SHS NORDSTROM	P	06/05/09	01/08/14
n	11,000 SHS EXPRESS SCRIPTS HLDG	P	03/01/91	06/17/14
o	14,000 SHS CANADIAN NATL RY	P	03/28/05	08/06/14

(e) Gross sales price		(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	358,750.		498,889.	<140,139.>
b	500,000.		500,000.	0.
c	500,000.		500,000.	0.
d	500,000.		500,000.	0.
e	1,000,000.		1,000,000.	0.
f	531,495.		500,000.	31,495.
g	1,000,000.		1,000,000.	0.
h	266,000.		266,000.	0.
i	1,000,000.		1,000,000.	0.
j	1,000,000.		1,000,000.	0.
k	1,000,000.		1,000,000.	0.
l	500,000.		500,000.	0.
m	512,184.		500,000.	12,184.
n	784,614.		216,817.	567,797.
o	926,028.		222,975.	703,053.

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FM V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			<140,139.>
b			0.
c			0.
d			0.
e			0.
f			31,495.
g			0.
h			0.
i			0.
j			0.
k			0.
l			0.
m			12,184.
n			567,797.
o			703,053.

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) If (loss), enter "-0-" in Part I, line 8 }		3	

**Part IV** Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold, e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr )	(d) Date sold (mo., day, yr )
1a 6,000 SHS CANADIAN NATL RY		P	03/28/05	09/16/14
b 14,000 SHS CANADIAN NATL RY		P	02/11/09	03/18/14
c 6,000 SHS CANADIAN NATL RY		P	02/11/09	08/06/14
d 10,000 SHS NORTHERN TRUST CORP		P	06/03/09	06/17/14
e 7,500 SHS HOME DEPOT INC COM		P	12/21/09	10/15/14
f 6,000 SHS CANADIAN NATL RY		P	01/20/11	03/18/14
g 5,000 SHS MCDONALDS CORP COM		P	09/06/11	09/16/14
h 65,697.355 SHS AMERICAN NEW PERSPECTIV		P	VARIOUS	11/30/14
i SALE OF ENERGY TRANSFERS LP		P	VARIOUS	11/30/14
j				
k				
l				
m				
n				
o				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 435,401.		95,561.	339,840.
b 792,157.		267,821.	524,336.
c 396,869.		114,781.	282,088.
d 620,246.		556,877.	63,369.
e 698,780.		217,961.	480,819.
f 339,496.		205,060.	134,436.
g 464,022.		451,781.	12,241.
h 115,102.			115,102.
i 908,248.			908,248.
j			
k			
l			
m			
n			
o			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Losses (from col. (h)) Gains (excess of col. (h) gain over col. (k), but not less than "-0-")
a			339,840.
b			524,336.
c			282,088.
d			63,369.
e			480,819.
f			134,436.
g			12,241.
h			115,102.
i			908,248.
j			
k			
l			
m			
n			
o			

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter "-0-" in Part I, line 7 }		2	4,034,869.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c). If (loss), enter "-0-" in Part I, line 8 }		3	N/A

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
CITY NATIONAL BANK	93.	93.	
CITY NATIONAL BANK - MONEY MARKET	613.	613.	
TOTAL TO PART I, LINE 3	706.	706.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
CORPORATE BOND AMORTIZATION PREMIUM	<51,687.>	0.	<51,687.>	<51,687.>	
DIVIDEND INCOME - COMMON STOCK	3,206,794.	0.	3,206,794.	3,206,794.	
DIVIDEND INCOME - MUTUAL FUNDS	129,047.	0.	129,047.	129,047.	
INTEREST INCOME - CORPORATE BONDS	1,841,362.	0.	1,841,362.	1,841,362.	
TO PART I, LINE 4	5,125,516.	0.	5,125,516.	5,125,516.	

## FORM 990-PF OTHER INCOME STATEMENT 3

DESCRIPTION	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
OTHER INCOME	204.	204.	
INTEGRAL CAPITAL PARTNERS - PARTNERSHIP INCOME/LOSS	407.	407.	
WHITEHALL STREET R/E LTD XI - PARTNERSHIP INCOME/LOSS	880.	880.	
WHITEHALL STREET R/E LTD XII - PARTNERSHIP INCOME/LOSS	<159.>	<159.>	
ENERGY TRANSFER PARTNERS LP - PARTNERSHIP INCOME/LOSS	34,785.	34,785.	
TOTAL TO FORM 990-PF, PART I, LINE 11	36,117.	36,117.	

FORM 990-PF	ACCOUNTING FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ACCOUNTING FEES	34,731.	24,312.		10,419.	
TO FORM 990-PF, PG 1, LN 16B	34,731.	24,312.		10,419.	

FORM 990-PF	OTHER PROFESSIONAL FEES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CUSTODIAN FEES	43,933.	43,933.		0.	
TO FORM 990-PF, PG 1, LN 16C	43,933.	43,933.		0.	

FORM 990-PF	TAXES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
PERSONAL PROPERTY TAXES	188.	19.		169.	
FOREIGN TAX EXPENSE	4,030.	4,030.		0.	
EXCISE TAX	171,639.	0.		0.	
STATE FILING FEES	160.	0.		160.	
TO FORM 990-PF, PG 1, LN 18	176,017.	4,049.		329.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	7
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
ADMINISTRATIVE	1,025,747.	512,873.		512,874.	
PARKING	2,610.	1,305.		1,305.	
INSURANCE	10,126.	0.		10,126.	
EDUCATION	1,600.	0.		1,600.	
TELEPHONE	1,718.	0.		1,718.	
OFFICE EXPENSE	3,363.	1,682.		1,681.	
MISCELLANEOUS	887.	710.		177.	
DUES	500.	0.		500.	
MAINTENANCE	7,689.	0.		7,689.	
ANNUAL REPORT WEBSITE	2,800.	0.		2,800.	
TO FORM 990-PF, PG 1, LN 23	1,057,040.	516,570.		540,470.	

## FOOTNOTES

STATEMENT 8

WALTER ZANINO WAS INDIRECTLY COMPENSATED BY THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION DURING THE TAXABLE YEAR AS EMPLOYEE OF KTN ENTERPRISES, INC., THE CORPORATION WHICH PROVIDES MANAGEMENT SERVICES TO THE FOUNDATION. THE AMOUNT OF HIS RELATED COMPENSATION FROM THE CORPORATION FOR THE PERIOD DECEMBER 1, 2013 THROUGH NOVEMBER 30, 2014 WAS \$263,112.

THE COMPENSATION PAID DIRECTLY OR INDIRECTLY TO THE TRUSTEE WAS REASONABLE AND NECESSARY TO CARRY OUT THE EXEMPT PURPOSE OF THE FOUNDATION AND WAS NOT EXCESSIVE. THEREFORE, THE PAYMENTS OF COMPENSATION WERE NOT ACTS OF SELF-DEALING WITHIN THE MEANING OF REG. 53-4941(D) - (3)(C).



SEC 1.263(A)-1(F) DE MINIMIS SAFE HARBOR ELECTION

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION  
11 GOLDEN SHORE, SUITE 450  
LONG BEACH, CA 90802

EMPLOYER IDENTIFICATION NUMBER: 95-6080374

FOR THE FISCAL YEAR ENDING NOVEMBER 30, 2014

THE KENNETH T. AND EILEEN L. NORRIS FOUNDATION IS  
MAKING THE DE MINIMIS SAFE HARBOR ELECTION UNDER  
REG. SEC. 1.263(A)-1(F).

FORM 990-PF	OTHER INCREASES IN NET ASSETS OR FUND BALANCES	STATEMENT	9
DESCRIPTION		AMOUNT	
REVERSAL OF ACCRUAL OF UNPAID GRANTS AT 11/30/13		8,264,595.	
INTEGRAL CAPITAL PARTNERS VI., L.P. - BOOK/TAX DIFFERENCE		25,457.	
TOTAL TO FORM 990-PF, PART III, LINE 3		8,290,052.	

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS			STATEMENT 10
DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
500M CALIFORNIA ST		X	502,559.	591,745.
600M MODESTO CALIF IRR		X	600,000.	666,012.
500M UNIVERSITY SOUTHERN CA		X	529,363.	577,035.
300M ILLINOIS ST G		X	300,323.	311,142.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS			1,932,245.	2,145,934.
TOTAL TO FORM 990-PF, PART II, LINE 10A			1,932,245.	2,145,934.

FORM 990-PF	CORPORATE STOCK	STATEMENT	11
DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE	
10000 SHS WAL MART STORES	426,513.	875,400.	
10000 SHS HOME DEPOT	126,666.	994,000.	
12 SHS BERKSHIRE HATHAWAY	228,150.	2,676,780.	
12000 SHS AMGEN	376,624.	1,983,720.	
24000 SHS COCA-COLA	137,865.	1,075,920.	
8000 SHS T. ROWE PRICE	55,595.	667,760.	
24000 SHS T. ROWE PRICE	159,375.	2,003,280.	
13 SHS BERKSHIRE HATHAWAY	333,593.	2,899,845.	
18000 SHS JOHNSON & JOHNSON	228,640.	1,948,500.	
15000 SHS HOME DEPOT	79,550.	1,491,000.	
28000 SHS COCA-COLA	194,155.	1,255,240.	
32000 SHS PROCTER & GAMBLE	204,020.	2,893,760.	
18000 SHS HOME DEPOT	103,734.	1,789,200.	
18000 SHS NORTHERN TR CORP	149,100.	1,219,140.	
4000 SHS EXXON	130,564.	362,160.	
4000 SHS EXXON	86,406.	362,160.	

4000 SHS EXXON	86,406.	362,160.
120000 SHS CANADIAN NATL RY	231,560.	8,526,000.
24000 INTEL CORP.	96,938.	894,000.
48000 SHS COCA-COLA	173,971.	2,151,840.
4000 SHS INTEL CORP.	31,000.	149,000.
8000 SHS MICROSOFT CORP.	51,070.	382,480.
48000 SHS MICROSOFT CORP.	172,875.	2,294,880.
48000 SHS T. ROWE PRICE	174,723.	4,006,560.
27000 SHS HOME DEPOT.	170,460.	2,683,800.
30000 SHS HOME DEPOT	257,276.	2,982,000.
6400 SHS EXXON	138,250.	579,456.
32000 SHS INTEL CORP.	233,750.	1,192,000.
64000 SHS MICROSOFT CORP.	334,200.	3,059,840.
8000 SHS COCA-COLA	24,843.	358,640.
8000 SHS COLGATE PALMOLIVE	154,685.	556,720.
8000 SHS JOHNSON & JOHNSON	81,875.	866,000.
8000 SHS JOHNSON & JOHNSON	88,675.	866,000.
8000 SHS PROCTER & GAMBLE	47,441.	723,440.
40000 SHS INTEL CORP.	280,000.	1,490,000.
48852 SHS NORTHERN TR CORP	200,000.	3,308,746.
5 SHS BERKSHIRE HATHAWAY	162,750.	1,115,325.
5148 SHS NORTHERN TR CORP	33,290.	348,674.
1600 SHS EXXON MOBIL	34,563.	144,864.
8000 SHS AMGEN	268,290.	1,322,480.
16000 SHS COCA-COLA	79,490.	717,280.
16000 SHS COCA-COLA	106,994.	717,280.
16000 SHS COLGATE PALMOLIVE	105,991.	1,113,440.
16000 SHS COLGATE PALMOLIVE	127,053.	1,113,440.
16000 SHS JOHNSON & JOHNSON	87,905.	1,732,000.
8000 SHS NORTHERN TR CORP	96,500.	541,840.
4620 SHS CHEVRONTEXACO	194,908.	502,979.
7700 SHS CHEVRONTEXACO	295,291.	838,299.
18480 SHS CHEVRONTEXACO	392,165.	2,011,918.
6054 SHS PROCTER & GAMBLE	272,760.	547,463.
10000 SHS TRANSCANADA PIPELINES	144,668.	481,600.
20000 SHS TRANSCANADA PIPELINE	323,126.	963,200.
5 SHS BERKSHIRE HATHAWAY	381,055.	1,115,325.
10000 SHS COLGATE PALMOLIVE	292,500.	695,900.
5000 SHS TRANSCANADA PIPELINE	73,266.	240,800.
5000 SHS TRANSCANADA PIPELINE	73,230.	240,800.
15000 SHS EXXON MOBIL	732,050.	1,358,100.
20000 CANADIAN NATL RY	311,060.	1,421,000.
10000 CANADIAN NATL RY	0.	0.
10344 ROYAL DUTCH SHELL CLB	76,549.	718,287.
2586 ROYAL DUTCH SHELL CLB	55,437.	179,572.
5172 ROYAL DUTCH SHELL CLB	113,568.	359,144.
7758 ROYAL DUTCH SHELL CLB	211,425.	538,715.
5172 ROYAL DUTCH SHELL CLB	158,952.	359,144.
3447 ROYAL DUTCH SHELL CLB	181,032.	239,360.
4200 SHS CHEVRONTEXACO	272,706.	457,254.
10000 SHS COLGATE PALMOLIVE	330,528.	695,900.
521 ROYAL DUTCH SHELL CLB	35,777.	36,178.
10000 SHS TRANSCANADA PIPELINES	301,581.	481,600.
20000 SHS COLGATE PALMOLIVE	663,398.	1,391,800.

10000- SHS AMGEN	607,544.	1,653,100.
10000 SHS CANADIAN NATL RY	0.	0.
5000 SHS CHEVRONTEXACO	331,462.	544,350.
10000 SHS COCA-COLA	239,768.	448,300.
20000 SHS COLGATE PALMOLIVE	656,612.	1,391,800.
5000 SHS EXXON MOBIL	400,084.	452,700.
10000 SHS COLGATE PALMOLIVE	388,200.	695,900.
10000 SHS IBM CORP	1,044,537.	1,621,700.
33000 SHS MICROSOFT CORP	924,300.	1,577,730.
10000 SHS NORTHERN TR CORP	0.	0.
20000 SHS POWERSHARES QQQ	800,000.	2,120,200.
20000 SHS POWERSHARES QQQ	837,600.	2,120,200.
20000 SHS PROCTER & GAMBLE	1,001,624.	1,808,600.
13946 SHS PROCTER & GAMBLE	650,944.	1,261,137.
20000 SHS WAL MART STORES	995,438.	1,750,800.
20000 SHS ABBOTT LABS	468,410.	890,200.
14000 SHS APPLE INC. COM	517,910.	1,665,020.
35000 SHS APPLE INC. COM	1,177,344.	4,162,550.
21000 SHS APPLE INC. COM	799,148.	2,497,530.
30000 SHS COCA-COLA	831,180.	1,344,900.
15000 SHS DU PONT	551,637.	1,071,000.
10000 SHS DU PONT	429,557.	714,000.
10000 SHS DU PONT	445,899.	714,000.
5000 SHS DU PONT	234,085.	357,000.
12500 SHS HOME DEPOT	363,269.	1,242,500.
20000 SHS ISHARES DJ SELECT DIV	917,008.	1,577,800.
5000 SHS ISHARES DJ SELECT DIV	241,450.	394,450.
10000 SHS ISHARES DJ US INDEX	528,726.	1,041,700.
10000 SHS ISHARES DJ US INDEX	562,342.	1,041,700.
8000 SHS JOHNSON & JOHNSON	512,080.	866,000.
15000 SHS MCDONALDS CORP COM	1,064,303.	1,452,150.
7000 SHS MICROSOFT CORP.	216,860.	334,670.
30000 SHS ORACLE	819,849.	1,272,300.
10000 SHS POWERSHARES QQQ	460,466.	1,060,100.
5000 SHS POWERSHARES QQQ	231,170.	530,050.
5000 SHS POWERSHARES QQQ	238,218.	530,050.
40000 SHS AT&T INC	1,137,960.	1,415,200.
3000 SHS CANADIAN NATL RY	0.	0.
4000 SHS CHEVRONTEXACO	405,965.	435,480.
3000 SHS CHEVRONTEXACO	318,027.	326,610.
10000 SHS DU PONT	498,330.	714,000.
4000 SHS EXXON	337,060.	362,160.
10000 SHS INTEL CORP	232,990.	372,500.
5000 SHS ISHARES DJ SELECT DIV	250,200.	394,450.
12000 SHS ISHARES DJ SELECT DIV	632,496.	946,680.
5000 SHS MCDONALDS CORP	0.	0.
10000 SHS ORACLE	325,337.	424,100.
10000 SHS POWERSHARES QQQ	571,000.	1,060,100.
2000 SHS POWERSHARES QQQ	117,427.	212,020.
5000 SHS ROYAL DUTCH SHELL CLB	364,947.	347,200.
10000 SHS ABBOTT LABS	271,801.	445,100.
10000 SHS ABBOTT LABS	289,473.	445,100.
7000 SHS APPLE INC	628,320.	832,510.
10000 SHS AT&T INC	309,500.	353,800.

10000. SHS DU PONT	492,465.	714,000.
11000 SHS EXPRESS SCRIPTS HLDG	0.	0.
10000 SHS HONEYWELL INTL INC	579,233.	990,700.
2000 SHS HONEYWELL INTL INC	117,700.	198,140.
8000 SHS ISHARES DJ SELECT DIV	439,589.	631,120.
10000 SHS ISHARES DJ SELECT DIV	562,800.	788,900.
2000 SHS JOHNSON & JOHNSON	131,620.	216,500.
10000 SHS MERCK	378,253.	604,000.
10000 SHS MERCK	384,200.	604,000.
8000 SHS POWERSHARES QQQ	505,200.	848,080.
20000 SHS ABBVIE INC COM	507,950.	1,384,000.
10000 SHS ABBVIE INC COM	313,908.	692,000.
10000 SHS ABBVIE INC COM	294,746.	692,000.
TOTAL TO FORM 990-PF, PART II, LINE 10B	44,927,927.	146,329,025.

FORM 990-PF	CORPORATE BONDS	STATEMENT 12
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
500M SEARS ROEBUCK	0.	0.
500M CSX CORP	0.	0.
500M MSDW SUB NT	0.	0.
500M BEAR STEARNS & CO NT	0.	0.
500M CISCO SYSTEMS NOTE	493,215.	530,240.
500M AMGEN INC	501,241.	553,935.
1000M GLAXOSMITHKLINE	0.	0.
500M HEWLETT PACKARD	494,835.	543,190.
500M HOME DEPOT	471,505.	529,850.
500M JOHNSON CTLS INC	500,926.	526,515.
1000M SOUTHWESTERN ELEC	959,300.	1,021,580.
500M VERIZON COMMUN INC	0.	0.
1000M JP MORGAN CHASE	0.	0.
266M CONOCOPHILLIPS	0.	0.
1000M US BK NATL ASSN	0.	0.
1000M GOLDMAN SACHS GROUP	0.	0.
500M MORGAN STANLEY	0.	0.
500M NORDSTROM INC	0.	0.
1000M SOUTHTRUST CORP	0.	0.
1000M WASTE MGMT INC	1,001,915.	1,015,660.
1000M GEN ELEC CAP CORP	1,000,000.	1,024,060.
1000M DIAGEO FIN BV	1,000,748.	1,042,030.
500M HOME DEPOT INC	499,665.	529,850.
500M WELLS FARGO & CO	503,913.	559,965.
1000M UBS AG STAMFORD CT	857,500.	1,124,980.
500M GENERAL ELEC CAP	443,245.	566,515.
500M AMERICAN INT'L GROUP	502,773.	518,370.
500M FIRST TENNESSEE BK	487,500.	524,550.
500M MORGAN STANLEY	508,789.	541,290.
500M MORGAN STANLEY	504,699.	575,065.

1000M CITIGROUP INC	1,006,036.	1,137,240.
500M MORGAN STANLEY	504,988.	569,760.
500M AMERICAN INT'L GROUP	514,633.	563,560.
500M BANK OF AMERICA CORP	495,850.	559,410.
500M GENERAL ELECTRIC CAP CORP	538,427.	587,235.
1000M AMERICAN EXPRESS CR	1,028,685.	1,026,960.
500M UBS AG STAMFORD CT	530,717.	562,490.
500M GOLDMAN SACHS GROUP	522,207.	560,955.
500M WELLS FARGO & CO	554,869.	554,785.
525M UNIONBANCAL CORP	547,350.	542,462.
1000M CME GROUP	1,030,815.	1,018,630.
1250M OCCIDENTAL PETE CORP	1,271,971.	1,206,625.
1000M DR PEPPER SNAPPLE GP	1,000,000.	984,560.
1000M BARCLAYS BANK PLC	1,059,323.	1,097,240.
1000M BANK AMERICA CORP	1,042,383.	1,114,910.
1000M TOYOTA MOTOR CREDIT	1,018,046.	1,043,910.
1500M FEDERAL HOME LOAN	1,490,820.	1,478,430.
1000M ANHEUSER BUSCH INBEV	990,050.	971,900.
250M DARDEN RESTAURANTS	246,895.	226,483.
500M DISNEY WALT COMPANY	508,987.	488,415.
1250M METLIFE INCORPORATED	1,250,000.	1,245,937.
1000M ACE INA HLDG INC	1,015,954.	978,580.
500M FEDERAL FARM CREDIT	487,995.	512,470.
1000M FEDERAL FARM CREDIT	895,240.	990,070.
1000M WAL-MART STORES INC	1,043,319.	1,054,240.
1000M LOCKHEED MARTIN CORP	1,037,762.	1,044,030.
500M GOLDMAN SACHS GROUP	566,149.	578,945.
1000M AT&T INC	998,600.	995,240.
1000M COLGATE PALMOLIVE CO	975,160.	986,370.
500M FEDERAL HOME LOAN BAN	495,765.	495,675.
1000M FEDERAL FARM CREDIT	956,880.	964,190.
500M FEDERAL HOME LOAN BAN	502,855.	530,105.
1000M FEDERAL HOME LOAN BAN	1,000,000.	1,051,810.
1000M FEDERAL HOME LOAN BAN	965,910.	1,001,950.
1000M FEDERAL NATIONAL MORT	967,100.	993,750.
TOTAL TO FORM 990-PF, PART II, LINE 10C	39,793,510.	41,446,967.

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 13

DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
46555 SHS AMERICAN FUNDS NEW PERSPECTIVE FUND	COST	500,000.	1,844,053.
19142 SHS AMERICAN FUNDS NEW PERSPECTIVE FUND	COST	250,000.	758,219.
16119 SHS AMERICAN FUNDS EURO PAC GROWTH FUND	COST	250,000.	802,063.
5000 ISHARES IBOXX HI YLD CORP	COST	435,077.	455,650.
5000 ISHARES IBOXX HI YLD CORP	COST	442,050.	455,650.

INTEGRAL CAPITAL VI	COST	130,261.	79,245.
WHITEHALL STREET REAL ESTATE LP XI	COST	3,609.	13,178.
ENERGY TRANSFER PARTNERS	COST	0.	0.
9000 ISHARES BARCLAYS TIPS BOND	COST	992,520.	1,019,340.
1000 ISHARES BARCLAYS TIPS BOND	COST	118,773.	113,260.
10000 ISHARES IBOXX INVESTMENT	COST	1,164,736.	1,200,900.
TOTAL TO FORM 990-PF, PART II, LINE 13		4,287,026.	6,741,558.

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FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT 14
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
LEASEHOLD IMPROVEMENTS	56,322.	56,322.	0.
LEASEHOLD IMPROVEMENTS	8,708.	8,708.	0.
LEASEHOLD IMPROVEMENTS	857.	857.	0.
FURNITURE & FIXTURES	16,753.	16,753.	0.
PAINTINGS	754.	0.	754.
COMPUTER SOFTWARE	13,000.	13,000.	0.
TOTAL TO FM 990-PF, PART II, LN 14	96,394.	95,640.	754.

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FORM 990-PF	OTHER LIABILITIES	STATEMENT 15
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DESCRIPTION	BOY AMOUNT	EOY AMOUNT
WHITEHALL STREET REAL ESTATE LP XII - EXCESS BASIS	26,179.	26,329.
TOTAL TO FORM 990-PF, PART II, LINE 22	26,179.	26,329.

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FORM 990-PF                      PART VIII - LIST OF OFFICERS, DIRECTORS                      STATEMENT 16  
    TRUSTEES AND FOUNDATION MANAGERS

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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HARLYNE J. NORRIS 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	3,000.	0.	0.
RONALD R. BARNES 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	4,000.	0.	0.
LISA HANSEN 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	3,000.	0.	0.
WALTER ZANINO 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	EXECUTIVE DIRECTOR 35.00	4,000.	0.	0.
BRADLEY K. NORRIS 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	3,000.	0.	0.
JAMES MARTIN 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	4,000.	0.	0.
KIMBERLEY PRESLEY 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	TRUSTEE 5.00	4,000.	0.	0.
BECKY ACCORDINO 11 GOLDEN SHORE, SUITE 450 LONG BEACH, CA 90802	GRANTS ADMINISTRATOR 35.00	2,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		27,000.	0.	0.



# 2013 DEPRECIATION AND AMORTIZATION REPORT

FORM 990-PF PAGE 1

990-PF

Asset No	Description	Date Acquired	Method	Life	C o n v	Line No	Unadjusted Cost Or Basis	Bus % Excl	Section 179 Expense	* Reduction In Basis	Basis For Depreciation	Beginning Accumulated Depreciation	Current Sec 179 Expense	Current Year Deduction	Ending Accumulated Depreciation
1	LEASEHOLD IMPROVEMENTS	VARIOUS	SL	.000		16	56,322.				56,322.	56,322.		0.	56,322.
2	LEASEHOLD IMPROVEMENTS	10/01/86	SL	10.00		16	8,708.				8,708.	8,708.		0.	8,708.
3	LEASEHOLD IMPROVEMENTS	12/15/86	SL	10.00		16	857.				857.	857.		0.	857.
4	FURNITURE & FIXTURES	09/01/86		5.00	HY	16	16,753.				16,753.	16,753.		0.	16,753.
5	PAINTINGS	09/01/86		.000	HY	16	754.				754.			0.	
6	COMPUTER SOFTWARE	12/23/98	SL	3.00		16	13,000.				13,000.	13,000.		0.	13,000.
* TOTAL 990-PF PG 1 DEPR							96,394.				96,394.	95,640.		0.	95,640.