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Form **990-PF**

OMB No 1545-0052

**Return of Private Foundation  
or Section 4947(a)(1) Trust Treated as Private Foundation****2013**Department of the Treasury  
Internal Revenue Service

► Do not enter Social Security numbers on this form as it may be made public.

► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013, or tax year beginning 12/01, 2013, and ending 11/30, 2014

Bergen Foundation  
13701 Riverside Drive #800  
Sherman Oaks, CA 91423

**A** Employer identification number  
95-6044540

**B** Telephone number (see the instructions)  
(818) 981-3505

**G** Check all that apply:

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

**H** Check type of organization: ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, column (c), line 16)  
\$ 17,926,409.

**J** Accounting method: ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_  
 (Part I, column (d) must be on cash basis)

**C** If exemption application is pending, check here ☐

**D** 1 Foreign organizations, check here ☐

2 Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received (att sch)				
<b>2</b> Ck <input checked="" type="checkbox"/> if the foundn is not req to att Sch B				
<b>3</b> Interest on savings and temporary cash investments				
<b>4</b> Dividends and interest from securities	509,152.	509,152.		
<b>5a</b> Gross rents				
<b>b</b> Net rental income or (loss)				
<b>6a</b> Net gain/(loss) from sale of assets not on line 30	125,530.			
<b>b</b> Gross sales price for all assets on line 6a				
<b>7</b> Capital gain net income (from Part IV, line 2)				
<b>8</b> Net short-term capital gain				
<b>9</b> Income modifications				
<b>10a</b> Gross sales less returns and allowances				
<b>b</b> Less: Cost of goods sold				
<b>c</b> Gross profit/(loss) (att sch)				
<b>11</b> Other income (attach schedule)				
See Statement 1	215,683.			
<b>12</b> Total. Add lines 1 through 11	850,365.	509,152.	0.	
<b>13</b> Compensation of officers, directors, trustees, etc.	250,000.	100,000.		120,000.
<b>14</b> Other employee salaries and wages				
<b>15</b> Pension plans, employee benefits				
<b>16a</b> Legal fees (attach schedule)				
<b>b</b> Accounting fees (attach sch) See St 2	137,180.	64,740.		72,440.
<b>c</b> Other prof fees (attach sch) See St 3	81,391.	81,391.		
<b>17</b> Interest				
<b>18</b> Taxes (attach schedule)(see instrs) See Stm 4	21,615.			21,615.
<b>19</b> Depreciation (attach sch) and depletion				
<b>20</b> Occupancy	26,550.	10,620.		15,930.
<b>21</b> Travel, conferences, and meetings				
<b>22</b> Printing and publications				
<b>23</b> Other expenses (attach schedule)				
See Statement 5	27,678.	10,651.		17,027.
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23	544,414.	267,402.		247,012.
<b>25</b> Contributions, gifts, grants paid Part XV	613,000.			613,000.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25	1,157,414.	267,402.	0.	860,012.
<b>27</b> Subtract line 26 from line 12:				
<b>a</b> Excess of revenue over expenses and disbursements	-307,049.			
<b>b</b> Net investment income (if negative, enter -0-)		241,750.		
<b>c</b> Adjusted net income (if negative, enter -0-)			0.	

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**Part II Balance Sheets**

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

Beginning of year

End of year

		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>ASSETS</b>	1 Cash — non-interest-bearing	177,039.	63,035.	63,035.
	2 Savings and temporary cash investments	1,918,348.	962,586.	962,586.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch) 200,000.			
	Less: allowance for doubtful accounts	200,000.	200,000.	200,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges	5,454.		
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)	11,811,741.	8,351,598.	8,468,546.
	c Investments — corporate bonds (attach schedule)	1,728,336.	6,177,808.	6,200,000.
<b>LIABILITIES</b>	11 Investments — land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule)	636,544.	521,917.	654,118.
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe ▶ See Statement 6)	1,040,624.	1,040,624.	1,378,124.
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I)	17,518,086.	17,317,568.	17,926,409.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶ See Statement 7)	12,222.	5,380.	
	23 Total liabilities (add lines 17 through 22)	12,222.	5,380.	
<b>FUND ASSETS</b>	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input checked="" type="checkbox"/>			
	24 Unrestricted	17,505,864.	17,312,188.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input type="checkbox"/>			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	17,505,864.	17,312,188.	
	31 Total liabilities and net assets/fund balances (see instructions)	17,518,086.	17,317,568.	

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	17,505,864.
2 Enter amount from Part I, line 27a	2	-307,049.
3 Other increases not included in line 2 (itemize) ▶ See Statement 8	3	113,373.
4 Add lines 1, 2, and 3	4	17,312,188.
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	17,312,188.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a N/A			
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			
b			
c			
d			
e			

2 Capital gain net income or (net capital loss).

☐ If gain, also enter in Part I, line 7  
☐ If (loss), enter -0- in Part I, line 7

2

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):

☐ If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0-  
☐ in Part I, line 8

3

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	817,496.	15,346,541.	0.053269
2011	864,948.	16,259,283.	0.053197
2010	714,124.	16,294,915.	0.043825
2009	939,407.	15,781,302.	0.059527
2008	446,061.	9,831,505.	0.045371

2 Total of line 1, column (d)

2

0.255189

3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3

0.051038

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4

16,034,241.

5 Multiply line 4 by line 3

5

818,356.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6

2,418.

7 Add lines 5 and 6

7

820,774.

8 Enter qualifying distributions from Part XII, line 4

8

860,012.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)**

1 a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter 'N/A' on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instrs)		1	2,418.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here. <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,418.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0-		5	2,418.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c	21,000.	
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	21,000.	
8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	9	0.	
10 <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	10	18,582.	
11 Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> 18,582. <b>Refunded</b>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)? <i>If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file <b>Form 1120-POL</b> for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation ▶ \$ 0. (2) On foundation managers ▶ \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If 'Yes,' attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If 'Yes,' attach a conformed copy of the changes</i>		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on <b>Form 990-T</b> for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If 'Yes,' attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If 'Yes,' complete Part II, column (c), and Part XV</i>	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) <b>CA</b>		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If 'No,' attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If 'Yes,' complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If 'Yes,' attach a schedule listing their names and addresses</i>		X

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**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes,' attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>John Larkin</u> Telephone no. <u>(818) 981-3505</u> Located at <u>13701 Riverside Drive Eighth Floor Sherman O</u> ZIP + 4 <u>91423</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here <u>N/A</u> and enter the amount of tax-exempt interest received or accrued during the year <u>15</u> <u>N/A</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u></u>	16		X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <u></u>	1 b	N/A
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u> .	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	N/A
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u> .		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	N/A
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5 a** During the year did the foundation pay or incur any amount to:(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No**b** If any answer is 'Yes' to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5 b** N/AOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

N/A

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

**6 a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No**6 b** X

If 'Yes' to 6b, file Form 8870

**7 a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

N/A

**7 b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Kris Bergen c/o Foundation address Sherman Oaks, CA 91423	President 25.00	250,000.	0.	0.
Candice Bergen c/o Foundation address Sherman Oaks, CA 91423	Secretary 1.00	0.	0.	0.
John Larkin 13701 Riverside Drive, Ste 800 Sherman Oaks, CA 91423	Director 8.00	0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
None				

Total number of other employees paid over \$50,000

0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
None		
Total number of others receiving over \$50,000 for professional services		0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b> Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b> Average monthly fair market value of securities.	<b>1a</b>	15,021,003.
<b>b</b> Average of monthly cash balances	<b>1b</b>	803,011.
<b>c</b> Fair market value of all other assets (see instructions)	<b>1c</b>	454,403.
<b>d</b> <b>Total</b> (add lines 1a, b, and c)	<b>1d</b>	16,278,417.
<b>e</b> Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b> Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b> Subtract line 2 from line 1d	<b>3</b>	16,278,417.
<b>4</b> Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	244,176.
<b>5</b> <b>Net value of noncharitable-use assets.</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	16,034,241.
<b>6</b> <b>Minimum investment return.</b> Enter 5% of line 5	<b>6</b>	801,712.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b> Minimum investment return from Part X, line 6	<b>1</b>	801,712.
<b>2a</b> Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	2,418.
<b>b</b> Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b> Add lines 2a and 2b	<b>2c</b>	2,418.
<b>3</b> Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	799,294.
<b>4</b> Recoveries of amounts treated as qualifying distributions	<b>4</b>	
<b>5</b> Add lines 3 and 4	<b>5</b>	799,294.
<b>6</b> Deduction from distributable amount (see instructions)	<b>6</b>	
<b>7</b> <b>Distributable amount</b> as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	799,294.

**Part XII Qualifying Distributions** (see instructions)

<b>1</b> Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b> Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	<b>1a</b>	860,012.
<b>b</b> Program-related investments — total from Part IX-B	<b>1b</b>	
<b>2</b> Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b> Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b> Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b> Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b> <b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	860,012.
<b>5</b> Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	<b>5</b>	2,418.
<b>6</b> <b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	<b>6</b>	857,594.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

BAA

Form 990-PF (2013)

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				799,294.
2 Undistributed income, if any, as of the end of 2013.				
a Enter amount for 2012 only			0.	
b Total for prior years: 20 <u>    </u> , 20 <u>    </u> , 20 <u>    </u>		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009	28,579.			
c From 2010				
d From 2011	58,438.			
e From 2012	93,399.			
f Total of lines 3a through e	180,416.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 860,012.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required – see instructions)		0.		
c Treated as distributions out of corpus (Election required – see instructions)	0.			
d Applied to 2013 distributable amount				799,294.
e Remaining amount distributed out of corpus	60,718.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a) )	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	241,134.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount – see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount – see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	241,134.			
10 Analysis of line 9:				
a Excess from 2009	28,579.			
b Excess from 2010				
c Excess from 2011	58,438.			
d Excess from 2012	93,399.			
e Excess from 2013	60,718.			

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

**1** a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

**b** Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

**2** a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a				
c Qualifying distributions from Part XII, line 4 for each year listed				
d Amounts included in line 2c not used directly for active conduct of exempt activities				
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
<b>3</b> Complete 3a, b, or c for the alternative test relied upon:				
a 'Assets' alternative test — enter:				
(1) Value of all assets				
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				
c 'Support' alternative test — enter:				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				
(3) Largest amount of support from an exempt organization				
(4) Gross investment income				

**Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)****1 Information Regarding Foundation Managers:**

**a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

None

**b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:**

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed:

**b** The form in which applications should be submitted and information and materials they should include:

**c** Any submission deadlines:

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year See list attached	None	Char	Public Charity	613,000.
<b>Total</b>			<b>▶ 3a</b>	613,000.
<b>b</b> Approved for future payment				
<b>Total</b>			<b>▶ 3b</b>	

## **Part XVI-A Analysis of Income-Producing Activities**

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
	(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount		
1	Program service revenue:					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	509,152.	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income			15		
8	Gain or (loss) from sales of assets other than inventory			18	125,530.	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue:					
a	Partnership income			16	205,583.	
b	Royalty and license fee			15	10,100.	
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				850,365.	
13	<b>Total.</b> Add line 12, columns (b), (d), and (e)					850,365.

(See worksheet in line 13 instructions to verify calculations.)

### **Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**

[illegible]



Bergen Foundation

95-6044540

**Statement 1**  
**Form 990-PF, Part I, Line 11**  
**Other Income**

	(a) Revenue per Books	(b) Net Investment Income	(c) Adjusted Net Income
Partnership income	\$ 205,583.		
Royalty and license fee	10,100.		
<b>Total</b>	<b>\$ 215,683.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>

**Statement 2**  
**Form 990-PF, Part I, Line 16b**  
**Accounting Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting and tax prep	\$ 137,180.	\$ 64,740.		\$ 72,440.
<b>Total</b>	<b>\$ 137,180.</b>	<b>\$ 64,740.</b>	<b>\$ 0.</b>	<b>\$ 72,440.</b>

**Statement 3**  
**Form 990-PF, Part I, Line 16c**  
**Other Professional Fees**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment advisor	\$ 81,391.	\$ 81,391.		
<b>Total</b>	<b>\$ 81,391.</b>	<b>\$ 81,391.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>

**Statement 4**  
**Form 990-PF, Part I, Line 18**  
**Taxes**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Excise tax	\$ 21,615.			\$ 21,615.
<b>Total</b>	<b>\$ 21,615.</b>	<b>\$ 0.</b>	<b>\$ 0.</b>	<b>\$ 21,615.</b>

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**Statement 5**  
**Form 990-PF, Part I, Line 23**  
**Other Expenses**

	(a) Expenses per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Attorney Gen fees	\$ 225.			\$ 225.
Office	386.	\$ 193.		193.
Payroll taxes	26,144.	10,458.		15,686.
Secty of State fees.	30.			30.
Storage	893.			893.
Total	<u>\$ 27,678.</u>	<u>\$ 10,651.</u>	<u>\$ 0.</u>	<u>\$ 17,027.</u>

**Statement 6**  
**Form 990-PF, Part II, Line 15**  
**Other Assets**

	<u>Book Value</u>	<u>Fair Market Value</u>
Other memorabilia	\$ 79,500.	\$ 417,000.
Ventriloquist figures	961,124.	961,124.
Total	<u>\$ 1,040,624.</u>	<u>\$ 1,378,124.</u>

**Statement 7**  
**Form 990-PF, Part II, Line 22**  
**Other Liabilities**

Payroll taxes payable	\$ 5,380.
Total	<u>\$ 5,380.</u>

**Statement 8**  
**Form 990-PF, Part III, Line 3**  
**Other Increases**

Partnership revaluation	\$ 113,373.
Total	<u>\$ 113,373.</u>



2013

## Federal Supporting Detail

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Bergen Foundation

95-6044540

**Other Income Producing Activities**  
**Dividends/interest from securities.**

Dividends	\$	306,786.
Cap gain dividends		88,136.
Interest on investments		114,230.
Total	\$	<u>509,152.</u>

**Balance Sheet**  
**Other (Form 990-PF)[O]**

Partnerships	\$	620,744.
Rights		15,800.
Total	\$	<u>636,544.</u>

**Balance Sheet**  
**Other (Form 990-PF)[O]**

Partnerships	\$	454,403.
Rights		15,800.
Options, net		51,714.
Total	\$	<u>521,917.</u>

**Bergen Foundation**  
**Stock and Bond Transactions**

Fiscal year ended November 30, 2014

Account no	Name	Acquired	Sale date	Proceeds	Shares	Basis	Gain/loss
080017508500	Nuveen High Income Bond	05/30/13	12/20/13	81,971.08	9,097.789	85,246.28	-3,275.20
"	Pimco Emerging Markets	Various	12/20/13	16,622.45	1,547.714	18,134.54	-1,512.09
"	T.Rowe Price Mid Cap	05/30/13	12/20/13	41,389.07	583.191	38,257.33	3,131.74
"	T.Rowe Price Sc Stock	05/24/13	12/20/13	2,487.29	57.683	2,280.79	206.50
"	Turner Spectrum Fund	06/21/13	12/20/13	2,495.69	214.960	2,364.56	131.13
"	Turner Spectrum Fund	08/06/13	12/20/13	284,642.08	24,516.975	276,796.65	7,845.43
"	Bank of America	04/29/13	12/24/13	1,571.97	100	1,236.99	334.98
"	Ca, Inc.	06/25/13	12/24/13	22,924.74	700	19,395.18	3,529.56
"	Citigroup, Inc.	04/28/13	12/24/13	5,193.92	100	4,709.99	483.93
"	Cisco Systems, Inc.	11/05/13	12/24/13	14,629.81	700	15,841.00	-1,211.19
"	Pfizer, Inc.	05/17/13	12/24/13	3,065.00	100	2,894.99	170.01
"	Turner Spectrum Fund	08/06/13	01/22/14	249,871.05	21,103.974	233,524.00	16,347.05
"	Rbc 6M Eem Rx	07/30/13	01/30/14	100,000.00	100,000	100,000.00	0
"	Robeco Boston Partners	11/01/13	01/31/14	754,213.95	53,001.683	734,073.31	20,140.64
"	T. Rowe Price Sc Stock	05/24/13	01/31/14	91,313.15	2,082.873	82,356.79	8,956.36
"	Loomis Sayles Strategic	06/21/13	01/31/14	420,591.88	41,849.988	424,220.03	-3,628.15
"	Nuveen Real Estate Secs	04/25/13	01/31/14	9,808.16	481.480	9,758.23	49.93
"	Caterpillar Inc.	11/05/13	02/04/14	27,812.51	300	25,191.00	2,621.51
"	Cigna Corp.	08/08/13	02/04/14	17,526.15	200	15,650.00	1,876.15
"	Citigroup, Inc.	04/29/13	02/04/14	19,332.10	400	18,839.96	492.14
"	Dow Chemical Co.	05/22/13	02/04/14	4,550.42	100	3,557.00	993.42
"	General Electric Co.	11/15/13	02/04/14	33,151.11	1,300	34,190.00	-1,038.89
"	International Paper Co.	05/10/13	02/04/14	18,800.51	400	18,612.00	188.51
"	Invesco, Ltd.	12/24/13	02/04/14	3,354.55	100	3,538.42	-183.87
"	Lincoln National Corp.	06/25/13	02/04/14	28,756.05	600	21,415.13	7,340.92
"	Mondelez International	06/25/13	02/04/14	3,305.94	100	2,872.72	433.22
"	Royal Caribbean Cruises	05/22/13	02/04/14	9,983.82	200	7,514.00	2,469.82
"	Symantec Corp.	05/22/13	02/04/14	17,599.69	800	19,455.92	-1,856.23
"	Mondelez International	06/25/13	02/06/14	19,299.92	600	17,236.32	2,063.60

**Bergen Foundation**  
Stock and Bond Transactions

Fiscal year ended November 30, 2014

Account no	Name	Acquired	Sale date	Proceeds	Shares	Basis	Gain/Loss
080017508500	Pfizer, Inc.	05/17/13	02/06/14	6,176.89	200	5,789.98	386.91
"	Verizon Communications	05/03/10	02/18/14	226,644.00	200,000	213,765.00	12,879.00
"	Ishares Msci Emerging	Various	03/26/14	1,220,727.00	31,300	1,371,155.45	-150,428.45
"	Robeco Boston Partners	11/01/13	04/07/14	228,209.10	566.787	215,600.00	12,609.10
"	Loomis Sayles Strategic	12/20/13	04/07/14	444,415.77	44,220.475	451,778.77	-7,363.00
"	Nuveen Inflation Pro Sec	11/01/13	04/07/14	232,675.94	21,171.504	248,786.05	-16,110.11
"	Pimco Total Return Fund	05/30/13	04/07/14	116,377.11	10,775.658	119,717.56	-3,340.45
"	Pimco Total Return Fund	06/18/13	04/07/14	143,777.48	13,312.730	146,173.77	-2,396.29
"	E II International Ppty	Various	04/07/14	422,119.61	21,951.098	460,529.04	-38,409.43
"	Agilent Technologies, Inc.	12/24/13	04/09/14	16,806.13	300	17,231.22	-425.09
"	Staples, Inc.	Various	04/09/14	24,279.65	2,000	29,796.50	-5,516.85
"	Ishares Msci Emerging	Various	04/30/14	963,978.69	24,100	978,007.28	-14,028.59
"	T.Rowe Price Mid Cap	05/30/13	05/19/14	12,668.54	172.690	11,328.46	1,340.08
"	Nuveen Inflation Pro Sec	05/24/13	05/19/14	61,146.18	5,430.391	63,644.18	-2,498.00
"	E II International Ppty	02/24/14	05/19/14	40,783.38	2,075.490	38,073.63	2,709.75
"	Nuveen Real Estate Secs	04/25/13	05/19/14	28,422.55	1,245.511	29,426.82	-1,004.27
"	Apple, Inc.	05/29/13	05/21/14	47,285.35	80	35,042.00	12,243.35
"	Bank of America Corp.	Various	05/21/14	34,463.48	2,400	32,835.27	1,628.21
"	Best Buy Co. Inc.	11/05/13	05/21/14	2,518.11	100	4,312.50	-1,794.39
"	Borg Warner, Inc.	Various	05/21/14	35,526.17	600	29,082.00	6,444.17
"	Brunswick Corp.	02/05/14	05/21/14	16,308.31	400	16,768.00	-459.69
"	Chevron Corporation	04/09/14	05/21/14	24,627.79	200	23,801.66	826.13
"	Ishares Msci Eafe Etf	Various	05/21/14	40,968.11	600	39,078.00	1,890.11
"	Kohls Corp.	04/09/14	05/21/14	26,319.42	500	26,123.58	195.84
"	Marathon Oil Corporation	Various	05/21/14	28,536.72	800	27,497.25	1,039.47
"	Stryker Corp.	Various	05/21/14	31,771.98	400	31,422.92	349.06
"	Cme Group, Inc.	11/15/12	06/09/14	222,871.50	225,000	229,887.00	-7,015.50
"	Rio Tinto Financial USA	02/02/12	06/09/14	209,124.00	200,000	214,636.00	-5,512.00
"	Toyota Motor Credit Corp.	02/11/13	06/09/14	181,319.25	175,000	187,390.00	-6,070.75

**Bergen Foundation**  
Stock and Bond Transactions

Fiscal year ended November 30, 2014

Account no	Name	Acquired	Sale date	Proceeds	Shares	Basis	Gain/loss
080017508500	T.Rowe Price Mid Cap	05/24/13	06/17/14	3,232.40	42.554	2,791.54	440.86
"	T.Rowe Price Sc Stock	05/24/13	06/17/14	45,741.13	1,003.535	39,679.77	6,061.36
"	Robeco Boston Partners	11/01/13	06/17/14	54,782.87	3,679.172	50,956.53	3,826.34
"	Liberty Media Corp.	Various	06/19/14	26,241.41	200	27,436.25	-1,194.84
"	Lincoln National Corp.	02/05/14	06/19/14	25,262.04	500	24,291.55	970.49
"	Zoetis, Inc.	11/05/13	06/19/14	16,028.29	500	15,935.00	93.29
"	Veritiv Corp.	07/08/14	08/07/14	21.52	.582	19.92	1.60
"	Ishares Msci Emerging	Various	08/20/14	963,578.70	21,900	978,425.69	-14,846.99
"	Robeco Boston Partners	Various	08/29/14	701,393.51	4,449.901	652,763.45	48,630.06
"	T.Rowe Price International	04/25/13	09/05/14	94,568.79	820.227	95,452.60	-883.81
"	Nuveen Real Estate Secs I	04/25/13	09/05/14	101,885.07	4,297.135	101,525.40	359.67
"	Credit Suisse Comm Ret ST	05/19/14	09/05/14	87,271.28	188.726	93,818.44	-6,547.16
"	American Airlines Group	05/21/14	09/09/14	23,271.26	600	22,996.98	274.28
"	Carlisle Cos., Inc.	04/09/14	09/09/14	25,096.66	300	23,658.42	1,438.24
"	Eaton Corp., PLC	Various	09/09/14	20,915.54	300	22,071.53	-1,155.99
"	Google, Inc.	Various	09/09/14	35,718.40	60	28,805.59	6,912.81
"	Google, Inc.	Various	09/09/14	35,017.02	60	28,119.45	6,897.57
"	Microsoft Corp.	06/03/13	09/09/14	27,071.40	600	20,874.00	6,197.40
"	Navient Corp.	Various	09/09/14	23,374.91	1,300	19,454.91	3,920.00
"	Occidental Petroleum Corp	05/22/13	09/09/14	10,241.41	100	9,223.95	1,017.46
"	Pitney Bowes, Inc.	11/05/13	09/09/14	10,896.24	400	8,536.00	2,360.24
"	Veritiv Corp.	07/08/14	09/09/14	365.67	8	283.59	82.08
"	Put on Eem 10/18/14	08/29/14	10/03/14	52,498.82	21,000	8,400.00	44,098.82
"	Put on Efa 10/18/14	08/29/14	10/03/14	87,358.04	32,000	19,840.00	67,518.04
"	Pimco Total Return Fund	Various	10/03/14	2,375,722.35	217,956.17	2,364,117.91	11,604.44
"	Autodesk, Inc.	04/09/14	10/07/14	28,879.36	500	25,337.41	3,541.95
"	Veritiv Corp.	07/08/14	10/07/14	48.56	1	34.16	14.40
"	Nuveen Core Plus Bond I	10/03/14	10/16/14	349,698.80	30,120.482	349,096.39	602.41
"	Robeco Boston Partners	11/01/13	10/22/14	109,319.45	7,441.760	103,068.38	6,251.07

**Bergen Foundation**  
**Stock and Bond Transactions**

Fiscal year ended November 30, 2014

Account no	Name	Acquired	Sale date	Proceeds	Shares	Basis	Gain/loss
080017508500	T.Rowe Price Mid Cap	05/30/13	10/22/14	237,448.95	3,118.174	204,552.23	32,896.72
"	T.Rowe Price Mid Cap	06/21/13	10/22/14	49,748.41	653.295	41,667.14	8,081.27
"	T.Rowe Price Sc Stock	05/30/13	10/22/14	172,958.91	3,932.672	155,475.29	17,483.62
"	Nuveen Inflation Pro	05/24/13	10/22/14	2,495.53	222.616	2,609.06	-113.53
"	T.Rowe Price International	11/01/13	10/22/14	20,070.56	2,130.633	20,709.75	-639.19
"	Nuveen Real Estate Secs	04/25/13	10/22/14	2,525.61	106.791	2,523.08	2.53
"	Nuveen Real Estate Secs	04/25/13	10/22/14	3,125.80	132.169	3,125.80	0
"	Nuveen Real Estate Secs	04/25/13	10/22/14	11,387.48	481.500	11,315.23	72.25
"	Ace,Ltd.	04/09/14	10/24/14	10,633.77	100	9,892.80	740.97
"	Anadarko Petroleum Corp.	Various	10/24/14	54,666.23	600	51,623.97	3,042.26
"	Boeing Co.	05/29/13	10/24/14	12,667.73	100	9,951.00	2,716.73
"	Ca,Inc.	10/07/14	10/24/14	2,712.94	100	2,712.94	0
"	Citigroup,Inc.	Various	10/24/14	25,564.48	500	23,928.87	1,635.61
"	EOG Resources,Inc.	04/09/14	10/24/14	28,481.40	300	26,243.03	2,238.37
"	Exxon Mobil Corp.	Various	10/24/14	55,695.15	600	54,218.97	1,476.18
"	Fifth Third Bancorp	05/21/14	10/24/14	22,451.50	1,200	25,125.51	-2,674.01
"	Gilead Sciences,Inc.	06/03/13	10/24/14	20,931.63	200	11,152.96	9,778.67
"	Halliburton Co.	05/21/14	10/24/14	54,648.79	1,000	55,833.15	-1,184.36
"	Hewlett Packard Co.	11/05/13	10/24/14	13,731.73	400	9,811.40	3,920.33
"	International Paper Co.	04/09/14	10/24/14	24,551.85	500	23,525.80	1,026.05
"	Invesco,Ltd.	12/24/13	10/24/14	11,235.74	300	10,615.26	620.48
"	Monsanto Co.	04/09/14	10/24/14	34,016.10	300	32,834.82	1,181.28
"	Morgan Stnaley	06/03/13	10/24/14	10,142.77	300	7,523.76	2,619.01
"	Occidental Petroleum Corp	Various	10/24/14	18,087.76	200	18,087.76	0
"	Pfizer,Inc.	05/22/13	10/24/14	14,132.83	500	14,406.53	-273.70
"	Slm Corp.	Various	10/24/14	2,633.58	300	2,442.58	191.00
"	Slm Corp.	05/21/14	10/24/14	3,511.44	400	3,568.22	-56.78
"	Slm Corp.	05/21/14	10/24/14	877.86	100	890.99	-13.13
"	Verizon Communications	05/21/14	10/24/14	33,964.79	700	34,453.93	-489.14

## Fiscal year ended November 30, 2014

[illegible]

**Bergen Foundation****95-6044540****Attachment to Form 990-PF and California Form 199 for Fiscal Year Ended 11/30/14****Part XV, Supplementary Information**

The Foundation does not solicit any applications. Instead, its President and Board select charitable and other qualifying institutions engaged in medical research, education and other humanitarian activities.

However, in the event that applications are received, they will not be considered unless they are made in writing and include documentary evidence that the applying institution or organization's activities are confined to those listed in the preceding paragraph. Applications should include a detailed description of the applicant's history, activities, and programs.

**PART XV, Grants and Contributions Paid During the Year**

Academy of Television Arts & Sciences Foundation 5220 Lankershim Boulevard North Hollywood, CA 91601	7,500
ACT Today 19019 Ventura Blvd., Suite 200 Tarzana, CA 91356	5,000
Alzheimer's Association 5900 Wilshire Boulevard Los Angeles, CA 90036	20,000
American Heart Association 816 So. Figueroa Street Los Angeles, CA 90017	10,000
American Red Cross 11355 Ohio Avenue Los Angeles, CA 90025	9,000
Animal Advocates 645 W. 9th St., #110-140 Los Angeles, CA 90015	5,000
Animal Rescue Fund P.O. Box 901 Waincott, NY 11975	5,000
The ARC 1825 K Street, Suite 12000 Washington, DC 20006	5,000
ASPCA P.O. BOX 96929 Washington, DC 20090	10,000

**Part XV, Grants and Contributions Paid During the Year**  
**(Continued)**

Blind Children's Center 4120 Marathon Street Los Angeles, CA 90029	5,000
The Bowery Mission 132 Madison Avenue New York, NY 10016	10,000
Boys Republic 3493 Grand Avenue Chino Hills, CA 91709-9989	1,000
Brahma Kumaris World Spiritual Organization 2428 Griffith Park Boulevard Los Angeles, CA 90039	35,000
Canine Companies P.O. Box 446 Santa Rosa, CA 95402	10,000
Children's Hospital of Los Angeles Dept 7924 Los Angeles, CA 90084-7924	50,000
Chimp Haven 13600 Chimpanzee Place Keithville, LA 71047	5,000
City Harvest 575 8th Avenue, 4th Floor New York, NY 10018	20,000
Daniel's Music Foundation 1641 3rd Avenue #21A New York, NY 10128	5,000
Doctor's Without Borders P.O. Box 5030 Hagerstown, MD 21741	20,000
The Fisher House Foundation 111 Rockville Drive, Suite 420 Rockville, MD 20850	25,000
Flying Kites Kenya 604 Thames Street Newport, RI 02840	4,000
The Fulfillment Fund 6100 Wilshire Blvd., Suite 600 Los Angeles, CA 90048	5,000
God's Love We Deliver 166 Avenue of the Americas New York, NY 10013	10,000
The Fisher House Foundation	



**Part XV, Grants and Paid Contributions Paid During the Year**  
**(Continued)**

Goodwill Industries	10,000
342 North San Fernando Road	
Los Angeles, CA 90031-9985	
Guide Dogs for the Blind	10,000
P.O. Box 151200	
San Rafael, CA 94915-1200	
International Adoption Net	2,000
7500 E. Arapahoe Road, Suite #250	
Centennial, CO 80112	
International Rescue Committee	10,000
122 East 42nd Street	
New York, NY 10168	
Kabbalah Centre	30,000
1062 South Roberston Boulevard	
Los Angeles, CA 90035	
KCA	20,000
1062 South Roberston Boulevard	
Los Angeles, CA 90035	
The Lange Foundation	1,000
2178 Roscomare Rd.	
Los Angeles, CA 90077	
Los Angeles Mission	10,000
PO Box 55900	
Los Angeles, CA 90055	
Meals on Wheels of West Los Angeles	10,000
900 Hilgard Avenue	
Los Angeles, CA 90024	
Motion Picture and Television Fund Foundation	5,000
22212 Ventura Boulevard, Suite 300	
Woodland Hills, CA 91364-9952	
Museum of Broadcast Communications	30,000
400 North State Street, Suite 240	
Chicago, IL 60601-4624	
Navy Seal Foundation	5,000
1619 D Street	
Virginia Beach, VA 23459	
New Yorkers For Children	5,000
450 Seventh Avenue, Suite 403	
New York, NY 10123	
NY Times Neediest Cases Fund	10,000
4 Chase Metro Tech Center, 7th Floor	
Brooklyn, NY 11245	

**Part XV, Grants and Paid Contributions Paid During the Year**  
**(Continued)**

Ocean Futures Society 325 Chapala Street Santa Barbara, CA 93101	60,000
Pet Partners 875 124th Ave. NE #101 Bellevue, WA 98005	5,000
Puente Learning Center 501 S. Boyle Avenue Los Angeles, CA 90033	5,000
Rescue Mission Alliance 315 North A Street Oxnard, CA 93030	10,000
Santa Monica Family YMCA 1332 Sixth Street Santa Monica, CA 90401	1,000
Seal-NSW Family Foundation 4320 La Jolla Village Drive Suite 250 San Diego, CA 92122	5,000
Team Rubicon 300 N. Continental Blvd., #100 El Segundo, CA 90245	5,000
Union Rescue Mission 545 South San Pedro Street Los Angeles, CA 90013	10,000
USA For UNHCR 1775 K Street N.W., Suite 290 Washington, DC 20006	10,000
USO P.O. Box 96322 Washington, DC 20090-6322	5,000
Volunteers Of America 3600 Wilshire Boulevard, Room 1500 Los Angeles, CA 90010	5,000
The Water Buffalo 1547 S. Oakhurst Drive Los Angeles, CA 90035	2,500
Wounded Warrior Project 7020 A.C. Skinner Parkway, Suite 100 Jacksonville, FL 32256	50,000

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