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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation ▶ Do not enter Social Security numbers on this form as it may be made public. OMB No 1545-0052

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf. Open to Public Inspection

| F | or ca | lendar year 2013 or tax year beginning | 12 | 2/01, 2013 , | and ending | <u> </u> | 11/30, 2014 |
|---|--|--|---|---------------------|---------------|---|--|
| _ | • | lame of foundation | | | | | fication number |
| _ | FRE | IDENRICH FAMILY FOUNDATION | | | | 94-27817 | |
| | Numb | er and street (or P O box number if mail is not delivered | i to street address) | | Room/suite | · 1 | ber (see instructions) 50) 838-1030 |
| | 300 | HAMILTON AVENUE, 4TH FLOOR | | | | | |
| _ | City o | r town, state or province, country, and ZIP or foreign po | stal code | | | | |
| | | | | | | C if exemption applic pending, check her | cation is |
| _ | PAL | O ALTO, CA 94301 | | | | | |
| G | Che | ck all that apply Initial return | Initial return | of a former p | ublic charity | D 1. Foreign organiza | ations, check here . ► |
| | | Final return | Amended ref | | | 2 Foreign organiz 85% test, check | |
| _ | | Address change | Name change | | | | nere and attach |
| H | _ | ck type of organization X Section 501(| | | | E If private foundation | on status was terminated |
| 1 | | ection 4947(a)(1) nonexempt charitable trust | Other taxable pr | | | under section 507(| b)(1)(A), check here . 🕨 🔼 |
| ı | | | unting method X Ca | ash Acc | rual | 1 | s in a 60-month termination |
| | | | ther (specify) | | | under section 507(| b)(1)(B), check here . > |
| | | | column (d) must be on | casn basis) | | <u> </u> | (d) Disbursements |
| L | art | Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) | (a) Revenue and | (b) Net inve | stment | (c) Adjusted net | for charitable |
| | | may not necessarily equal the amounts in | expenses per books | ıncom | ie | ıncome | purposes |
| _ | T . | column (a) (see instructions)) | 1,745,214 | | | | (cash basis only) |
| | 1 | Charles I fithe foundation is not required to | _,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | | | |
| | 2 | Check attach Sch B | 76. | | 76. | | |
| | 3 | Interest on savings and temporary cash investments Dividends and interest from securities | | | | | |
| | 1 | Gross rents | | | | | |
| | l | Net rental income or (loss) | - · · · · · · · · · · · · · · · · · · · | | | | |
| a. | ١ ـ | Net gain or (loss) from sale of assets not on line 10 | 6,282. | | | | |
| Revenue | b | Gross sales price for all 1,751,496. | | - | | | |
| š | 7 | assets on line 6a Capital gain net income (from Part IV, line 2) | | 1,43 | 0,535. | | |
| ď | 8 | Net short-term capital gain | | | | | 1 |
| | 9 | Income modifications · · · · · · · · · | | | | | |
| | 10 a | Gross sales less returns and allowances · · · · · | | | | | |
| | ь | Less Cost of goods sold . | | | | | |
| | С | Gross profit or (loss) (attach schedule) | | | | | |
| | 11 | Other income (attach schedule) ATCH 1 | 9,465. | | | | |
| _ | 12 | Total. Add lines 1 through 11 | 1,761,037. | 1,43 | 0,611. | | |
| | 13 | Compensation of officers, directors, trustees, etc. | 0 | | | · · · · · · · · · · · · · · · · · · · | |
| Ś | 14 | Other employee salaries and wages | | | | | |
| nse | 15 | Pension plans, employee benefits | | | | | <u> </u> |
| cpe | 16 a | Legal fees (attach-schedule) | 4 205 | | 2 442 | | |
| Ü | b | Accounting fees (attach schedule)ATCH 2 | 4,885. | | 2,443. | | 2,44 |
| Ķ | 14 15 16 a b c 17 18 19 | Other professional fees (attach schedule) | | | | | |
| štra | 17 | Interest | 24,465. | - | | | |
| inis | 18 | Taxes (attach schedule) (see instructions)ATCH 3 | 24,403. | <u>,-</u> . | | | |
| E | 19 | Depreciation (attach: schedule) and depletion | | | | | |
| Ā | 20 | Occupancy | | | | | |
| Operating and 7 2 2 2 2 4 2 2 2 2 2 2 2 2 2 2 2 2 2 2 | 21 | Travel, conferences, and meetings | | | | | |
| na | 22 | Other expanses (attach schedule) ATCH 4 | 230. | | | | 23 |
| ati | 24 | Other expenses (attach schedule) ATCH . 4 . Total operating and administrative expenses. | | | | | † |
| per | ~~ | Add lines 13 through 23 | 29,580. | | 2,443. | | 2,67 |
| 0 | 25 | Contributions, gifts, grants paid | 849,500. | | | | 849,50 |
| | 26 | Total expenses and disbursements Add lines 24 and 25 | 879,080. | | 2,443. | | 0 852,17 |
| _ | 27 | Subtract line 26 from line 12 | | | | | † |
| | _ | Excess of revenue over expenses and disbursements | 881,957. | | | | |
| | | Net investment income (if negative, enter -0-) | | 1,42 | 8,168. | | |
| | } | Adjusted net income (if negative, enter -0-). | | | | | |
| F | | perwork Reduction Act Notice, see instructions. | | | | · · · · · · · · · · · · · · · · · · · | Form 990-PF (2013 |

JSA For Paperwork Reduction Act Notice, see instructions. 3E1410 1 000 7FS32G M200 8/7/2015 5:52:

5:52:08 PM V 13-7.15

| Б | A II | 1 `Balanas Shoots | Attached schedules and amounts in the | Beginning of year | End of | year |
|-----------------------------|---------------|---|---|-----------------------------|----------------|-----------------------|
| ľ | <u>art II</u> | Balance Sheets | description column should be for end-of-year amounts only (See instructions) | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| | 1 | Cash - non-interest-bear | ing | | | |
| | 2 | | cash investments | 489,359. | 1,371,316. | 1,371,316. |
| | 3 | | | | | |
| | | Less allowance for dou | btful accounts ▶ | | | |
| | 4 | Pledges receivable | | | | |
| | _ | Less allowance for dou | btful accounts ▶ | | | |
| | _ | | | | | |
| | 5 | | | | | |
| | 6 | | officers, directors, trustees, and other | | | |
| | | | tach schedule) (see instructions) | | | |
| | 7 | | eceivable (attach schedule) | | | |
| | | | btful accounts ▶ | | | |
| ets | 8 | | e | _ | | |
| Assets | 9 | Prepaid expenses and d | eferred charges | | _ | |
| A | 10 a | Investments - US and stat | e government obligations (attach schedule). | | | |
| | b | Investments - corporate | stock (attach schedule) | | | |
| | | | bonds (attach schedule) | | | <u> </u> |
| | 11 | Investments - land, building: | s, > | | | |
| | | Less accumulated deprecia | ation | | | |
| | 4.0 | (attach schedule) | | | | |
| | 12 13 | | loans | | | |
| | 14 | Land, buildings, and | | | ·-·· | |
| | | equipment basis Less accumulated deprecia | tion > | | | |
| | | (attach schedule) | ttion | | | |
| | 15 | Other assets (describe | - , | | | |
| | 16 | | completed by all filers - see the | 400 250 | 1 271 216 | 1 271 216 |
| _ | | | age 1, item l) | 489,359. | 1,371,316. | 1,371,316. |
| | 17 | Accounts payable and a | ccrued expenses | | | |
| | 18 | Grants payable | | | | |
| es | 19 | Deferred revenue | | | | |
| Ξ | 20 | Loans from officers, directo | ors, trustees, and other disqualified persons | | | |
| Liabilities | 21 | Mortgages and other no | etes payable (attach schedule) | | | |
| ت | 22 | Other liabilities (describe | ▶) | | | |
| | | , | | | | |
| | 23 | Total liabilities (add line | es 17 through 22) | O | o | |
| | | | low SFAS 117, check here | | | |
| | | | 24 through 26 and lines 30 and 31. | | | |
| š | 24 | - | _ | | | |
| 핕 | 24 | | | | | |
| Ba | 25 | | | | | |
| 힏 | 26 | Permanently restricted . | | | · · · · · · - | |
| Ē | | Foundations that do | not follow SFAS 117, ▶ 🗓 | | | |
| ö | | | plete lines 27 through 31. | 350. | 350. | |
| ţ | 27 | | cipal, or current funds | 330. | 330. | |
| SSe | 28 | • | land, bldg , and equipment fund | 489,009. | 1,370,966. | |
| Net Assets or Fund Balances | 29 | • | ulated income, endowment, or other funds | 489,359. | | |
| Š | 30 | | i balances (see instructions) | 409,339. | 1,371,316. | |
| | 31 | | net assets/fund balances (see | 400 250 | 1 271 216 | |
| - | | instructions) | ···· | 489,359. | 1,371,316. | |
| | | | anges in Net Assets or Fund B | | | |
| 1 | | | palances at beginning of year - Part II | | | 400 050 |
| | | | ed on prior year's return) | | | 489,359. |
| 2 | Ente | er amount from Part I, | line 27a | | 2 | 881,957. |
| 3 | Oth | er increases not includ | | | | |
| 4 | Add | lines 1, 2, and 3 | | | 1 . 1 | 1,371,316. |
| 5 | Dec | reases not included in | ı line 2 (itemize) ► | | 5 | |
| _6 | Tota | al net assets or fund b | alances at end of year (line 4 minus l | ine 5) - Part II, column (b |), line 30 6 | 1,371,316. |
| | | | | | | Form 990-PF (2013) |

Page 3

| Part IV Capital Gains (a) List an | d describe the kind(s) of property sold | _ · · · · | (b) How acquired | (c) Date | (d) Date sold |
|---|--|--|--|--|---|
| 2-story b | prick warehouse, or common stock, 200 | | acquired P - Purchase D - Donation | àcquired (mo , day, yr) | (mo, day, yr) |
| 1a SEE PART IV SCHE | DULE | | | | |
| <u>b</u> | | | | | |
| <u>c</u> | | | | | |
| <u>d</u> | | | | | |
| <u>e</u> | (0.D.,,,,,,,, | (a) Coat or other happ | | | L |
| (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | | (h) Gain or (lo (e) plus (f) minu | ıs (g) |
| a 1,751,496. | | 320,961. | | 1,430,53 | 5. |
| b | | | ļ | | |
| <u>c</u> | | | | | |
| <u>d</u> | | | | | |
| Complete only for accets | showing gain in column (h) and owne | nd by the foundation on 12/21/60 | | | |
| Complete only for assets | (i) Adjusted basis | (k) Excess of col (i) | | Gains (Col (h) ga (k), but not less t | |
| (i) F M V as of 12/31/69 | as of 12/31/69 | over col (j), if any | | Losses (from co | |
| a | | | | | |
| b | | | | | |
| C | | | | - · · · · · · · · · · · · · · · · · · · | |
| d | | | | | |
| е | | | | | |
| Papital gain net income or | r (net capital loss) | gain, also enter in Part I, line 7 (loss), enter -0- in Part I, line 7 | 2 | 1 | 430,535. |
| Not short torm capital gau | ۱۱ n or (loss) as defined in sections | | | | 430,333. |
| | rt I, line 8, column (c) (see inst | | | | |
| ii yaiii, aiso enter iii ra | it i, mie o, columni (c) (see ms | | 1 1 | | |
| | | | 2 | | Ω |
| Part I, line 8 | Under Section 4940(e) for Rec private foundations subject to the | | | me) | 0 |
| Part I, line 8 | Under Section 4940(e) for Reconstruction control of the control of | duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the b | ncome ment inco | | |
| Part I, line 8 | Under Section 4940(e) for Reconstruction to the control of the con | duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the b Do not complete this part | ment incor | d? | |
| Part I, line 8 Part V Qualification For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am (a) | Under Section 4940(e) for Record private foundations subject to the leave this part blank the section 4942 tax on the distribution of qualify under section 4940(e) found in each column for each year | duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the b Do not complete this part r; see the instructions before making | ment incor | d?es(d) | Yes X No |
| Part I, line 8 | Under Section 4940(e) for Reconstruction to the control of the con | duced Tax on Net Investment I e section 4940(a) tax on net invest outable amount of any year in the b Do not complete this part | ase period | d? | Yes X No |
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| Part I, line 8 Part V Qualification For optional use by domesti f section 4940(d)(2) applies, Vas the foundation liable for f "Yes," the foundation does 1 Enter the appropriate am (a) Base penod years Calendar year (or tax year beginning in) 2012 2011 | Under Section 4940(e) for Rec private foundations subject to the leave this part blank the section 4942 tax on the distribution of qualify under section 4940(e) found in each column for each year (b) Adjusted qualifying distributions 961,179. 707,320. 453,093. 196,750. | duced Tax on Net Investment I e section 4940(a) tax on net invest butable amount of any year in the butable | ase period | es (d) Distribution re | Yes X No (c)) 1.765212 0.862721 0.528382 0.163264 |
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| | t VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see it | <u>nstru</u> | ction | s) |
|-----|---|--------------|-------|--|
| 1æ | Exempt operating foundations described in section 4940(d)(2), check here and enter "N/A" on line 1 | | | |
| | Date of ruling or determination letter (attach copy of letter if necessary - see instructions) | | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check | | 14,2 | 282. |
| | here ► X and enter 1% of Part I, line 27b | | | |
| С | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of \mathcal{I} Part I, line 12, col (b) | | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 2 | | | |
| 3 | Add lines 1 and 2 | | 14,2 | 282. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) 4 | | | 0 |
| 5 | Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0 | | 14,2 | 282. |
| 6 | Credits/Payments | | | |
| а | 2013 estimated tax payments and 2012 overpayment credited to 2013 6a 9, 465. | | | |
| b | Exempt foreign organizations - tax withheld at source 6b Tax paid with application for extension of time to file (Form 8868) 6c 25,000. | | | |
| C | Tax paid with application to extension of time to like (1 of 11 occos). | | | |
| d | Backup withholding erroneously withheld | | 2/ / | 165 |
| 7 | Total credits and payments Add lines 6a through 6d | | 34,4 | 65. |
| 8 | Enter any penalty for underpayment of estimated tax. Check here if Form 2220 is attached | | | |
| 9 | Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed | | 20,1 | 83 |
| 10 | Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid | | 20,1 | |
| 11 | | | | |
| _ | t VII-A Statements Regarding Activities During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate | | Yes | No |
| та | | 1a | 103 | X |
| | or intervene in any political campaign? | -" | | - |
| ь | | 1ь | | Х |
| | definition)? | | | |
| | distributed by the foundation in connection with the activities. | | | |
| c | Did the foundation file Form 1120-POL for this year? | 1c | | Х |
| | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year | | | |
| _ | (1) On the foundation \$ (2) On foundation managers \$ | | | |
| е | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on | | | |
| | foundation managers > \$ | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? | 2 | | X |
| | If "Yes," attach a detailed description of the activities | | | |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, | | | |
| | or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes | 3 | | X |
| 4 a | Did the foundation have unrelated business gross income of \$1,000 or more during the year? | 4a | | Х |
| b | If "Yes," has it filed a tax return on Form 990-T for this year? | 4b_ | | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? | 5 | | X |
| | If "Yes," attach the statement required by General Instruction T | | | |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either | | | |
| | By language in the governing instrument, or | | | |
| | • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict | | х | |
| | with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV | 7_ | | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) CALIFORNIA | | | |
| | | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) | 8ь | х | |
| • | of each state as required by General Instruction G? If "No," attach explanation | 00 | | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete | | | |
| | Part XIV | 9 | | х |
| 40 | | | | |
| 10 | Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses | 10 | | Х |
| | | | O DE | (00.10) |

| Pai | t VII-A Statements Regarding Activities (continued) | | | |
|-----|---|------|-----|----|
| 11. | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the | | | |
| | meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified | | | |
| | person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | Х | |
| | Website address ► N/A | | | |
| 14 | The books are in care of ► AUSTIN WONG Telephone no ► (650) 838 | 3-10 | 30_ | |
| | Located at ▶300 HAMILTON AVE., 4TH FL PALO ALTO, CA ZIP+4 ▶ 94301 | | | |
| 15 | The books are in care of ► AUSTIN WONG Located at ►300 HAMILTON AVE., 4TH FL PALO ALTO, CA Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here | | | |
| | and enter the amount of tax-exempt interest received or accrued during the year | | | |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority | | Yes | No |
| | over a bank, securities, or other financial account in a foreign country? | 16 | | X |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of | | | |
| | the foreign country | | | |
| Pa | t VII-B Statements Regarding Activities for Which Form 4720 May Be Required | | | |
| | File Form 4720 if any item is checked in the "Yes" column, unless an exception applies. | | Yes | No |
| 1 a | During the year did the foundation (either directly or indirectly) | | | |
| | (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No | | | |
| | (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a | | | |
| | disqualified person? Yes X No | | | |
| | (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? | | | |
| | (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No | | | |
| | (5) Transfer any income or assets to a disqualified person (or make any of either available for | | | |
| | the benefit or use of a disqualified person)? Yes X No | | | |
| | (6) Agree to pay money or property to a government official? (Exception. Check "No" if the | | | |
| | foundation agreed to make a grant to or to employ the official for a period after | | | |
| | termination of government service, if terminating within 90 days) | | | |
| t | olf any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations | | | |
| | section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | | |
| | Organizations relying on a current notice regarding disaster assistance check here | | | |
| • | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that | | | х |
| _ | were not corrected before the first day of the tax year beginning in 2013? | 1 c | | |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private | | | |
| - | operating foundation defined in section 4942(j)(3) or 4942(j)(5)) At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and | | | |
| ě | | | | |
| | os, rantram, ter text jest (e) beginning betoe be to the text jest to the | | | |
| | If "Yes," list the years ▶,,,,,,, | | | |
| | (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to | | | |
| | all years listed, answer "No" and attach statement - see instructions) | 2b | | |
| , | If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here | | _ | |
| • | | | | |
| 3 : | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise | | | |
| | at any time during the year? | | | |
| ł | of "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or | | | |
| • | disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the | | | |
| | Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of | | | |
| | the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the | | | |
| | foundation had excess business holdings in 2013) | 3 b | | |
| 4 a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its | | | |
| | charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4 b | | X |

| Par | t VII-S Statements Regarding Activities for | or Which Form 4 | 720 May Be Requi | red (continued) | | | | |
|------|--|---------------------------------------|---|---|---|------------------------|--|--|
| 5a | During the year did the foundation pay or incur any amou | nt to | | | | | | |
| • | (1) Carry on propaganda, or otherwise attempt to influer | nce legislation (section | 4945(e))? | Yes X No | | | | |
| | (2) Influence the outcome of any specific public ele | ction (see section 4 | 955), or to carry on | | | | | |
| | directly or indirectly, any voter registration drive? | | | Yes X No | | | | |
| | (3) Provide a grant to an individual for travel, study, or other similar purposes? Yes X No | | | | | | | |
| | (4) Provide a grant to an organization other than a charitable, etc., organization described in | | | | | | | |
| | section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) | | | | | | | |
| | (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational | | | | | | | |
| | purposes, or for the prevention of cruelty to children of | | • | Yes X No | | | | |
| h | b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in | | | | | | | |
| | Regulations section 53 4945 or in a current notice regard | | | | 5b | | | |
| | Organizations relying on a current notice regarding disast | - | | 1 | | | | |
| _ | If the answer is "Yes" to question 5a(4), does the | | | · | | | | |
| · | because it maintained expenditure responsibility for the gi | | | | | | | |
| | If "Yes," attach the statement required by Regulations section | | | | | | | |
| 6. | Did the foundation, during the year, receive any fund | | ctly to nay premiums | | | | | |
| Оd | | | | Yes X No | | | | |
| | on a personal benefit contract? | | | | 6ь | x | | |
| D | | ally of indiffectly, on a p | Dersonal Derleit Contract | · · · · · · · · · · · · · · · · · · · | 90 | | | |
| _ | If "Yes" to 6b, file Form 8870. | | abaltar transaction? | Yes X No | | | | |
| | At any time during the tax year, was the foundation a par | | | | | | | |
| | If "Yes," did the foundation receive any proceeds or have | Trustees Found | dation Managers | Highly Paid Emplo | 7b | | | |
| Par | and Contractors | • | • | | ,yccs, | | | |
| 1 | List all officers, directors, trustees, foundation n | nanagers and their | | | | | | |
| | (a) Name and address | (b) Title, and average hours per week | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans | (e) Expens other all | se account, owances | | |
| TOH | N FREIDENRICH | devoted to position PRESIDENT | enter 40-) | and deferred compensation | | | | |
| | HAMILTON AVENUE, 4TH FLOOR | 2.00 | d | d | | 0 | | |
| | | VICE PRESIDENT | | | | | | |
| | HAMILTON AVENUE, 4TH FLOOR | 1.00 | d | d | | 0 | | |
| | Initiation invalidation in the con- | 1.00 | | | | | | |
| | | | | | | | | |
| | | · | | | | | | |
| | | | | | | | | |
| 2 | Compensation of five highest-paid employees | (other than thos | e included on line | 1 - see instruction | ne) If no | one enter | | |
| 2 | "NONE." | (other than thos | c meiaca on mic | i occ mondone | ,,, , ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | one, enter | | |
| | | (b) Title, and average | _ | (d) Contributions to employee benefit | (e) Expens | e account | | |
| (a | Name and address of each employee paid more than \$50,000 | hours per week devoted to position | (c) Compensation | plans and deferred | | owances | | |
| | | | | compensation | | | | |
| | NONE | | | | | | | |
| | NONE | | | - | | | | |
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| | | | | | | | | |
| Tota | I number of other employees paid over \$50,000 . | | | <u></u> | - 004 | <u> </u> | | |
| | | | | | Form 991 | 0-PF (2013) | | |

Form 990-PF (2013) Page 7 Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued) Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE." (a) Name and address of each person paid more than \$50,000 (b) Type of service (c) Compensation NONE 0 Total number of others receiving over \$50,000 for professional services Part IX-A **Summary of Direct Charitable Activities** List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of Expenses organizations and other beneficiaries served, conferences convened, research papers produced, etc. 1 NOT APPLICABLE Part IX-B Summary of Program-Related Investments (see instructions) Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 Amount 1 NOT APPLICABLE All other program-related investments. See instructions 3 NONE

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Total. Add lines 1 through 3...

Form 990-PF (2013) Page 8 Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, Part X see instructions.) Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes Average monthly fair market value of securities Average of monthly cash balances 1b 746,210. Fair market value of all other assets (see instructions) 1c Total (add lines 1a, b, and c) 746,210. 1d Reduction claimed for blockage or other factors reported on lines 1a and Acquisition indebtedness applicable to line 1 assets 2 3 Subtract line 2 from line 1d 746,210. 3 Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions) 4 11,193. Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4 5 735,017. Minimum investment return. Enter 5% of line 5 36,751. 6 Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ [and do not complete this part) Minimum investment return from Part X, line 6....... 36,751. Tax on investment income for 2013 from Part VI, line 5 2a 14,282 Income tax for 2013 (This does not include the tax from Part VI) 2b 2c 14,282. Distributable amount before adjustments Subtract line 2c from line 1. 22,469. 3 Recoveries of amounts treated as qualifying distributions 4 Add lines 3 and 4 22,469. 5 Deduction from distributable amount (see instructions) 6 Distributable amount as adjusted Subtract line 6 from line 5. Enter here and on Part XIII, 22,469. Part XII Qualifying Distributions (see instructions) Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 852,172. а 1a Program-related investments - total from Part IX-B 1b Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., 2 2 3 Amounts set aside for specific charitable projects that satisfy the Suitability test (prior IRS approval required) 3a Cash distribution test (attach the required schedule) 3b Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 852,172. 4 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) 5 14,282. Adjusted qualifying distributions. Subtract line 5 from line 4 6 837,890.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation

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qualifies for the section 4940(e) reduction of tax in those years

FREIDENRICH FAMILY FOUNDATION

Form 990-PF (2013) Page 9 Part XIII Undistributed Income (see instructions) (a) (b) (d) (c) 2012 Corpus Years prior to 2012 2013 Distributable amount for 2013 from Part XI, 22,469. Undistributed income, if any, as of the end of 2013 a Enter amount for 2012 only . . Total for prior years 20 11 ,20 10 ,20 09 Excess distributions carryover, if any, to 2013 482,916. a From 2008 137,042. **b** From 2009 413,593. c From 2010 670,786. d From 2011 950,571. From 2012 2,654,908. f Total of lines 3a through e Qualifying distributions for 2013 from Part XII, 852,172. line 4 ▶ \$ a Applied to 2012, but not more than line 2a . . . b Applied to undistributed income of prior years (Election required - see instructions)..... c Treated as distributions out of corpus (Election required - see instructions) 22,469. d Applied to 2013 distributable amount 829,703. e Remaining amount distributed out of corpus . . . Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a)) Enter the net total of each column as indicated below: 3,484,611 a Corpus Add lines 3f, 4c, and 4e Subtract line 5 b Prior years' undistributed income. Subtract line 4b from line 2b c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed d Subtract line 6c from line 6b Taxable amount - see instructions
Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see f Undistributed income for 2013 Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) Excess distributions carryover from 2008 not 482,916 applied on line 5 or line 7 (see instructions) . . . Excess distributions carryover to 2014. 3,001,695 Subtract lines 7 and 8 from line 6a 10 Analysis of line 9 137,042 a Excess from 2009 . . . 413,593. b Excess from 2010 . . . 670,786. c Excess from 2011 . . . 950,571. d Excess from 2012 . . . 829,703. e Excess from 2013 . . .

| | 990-PF (2013) | | CH PANIEL FOO | | | | | |
|-----|---|---|------------------------|---------------------------|--|---------------|---------|---|
| _ | | | | and Part VII-A, quest | | | NOT . | APPLICABL |
| 1a | If the foundation has | | | | ating | | | |
| | foundation, and the ruling | | | | ►∟ | | | |
| b | Check box to indicate wh | | private operating foun | |) | 4942(j)(3 |) or | 4942(j)(5) |
| 2 a | Enter the lesser of the ad- | Tax year | | Prior 3 years | | | (| (e) Total |
| | justed net income from Part I or the minimum investment | (a) 2013 | (b) 2012 | (c) 2011 | (d) 20 | 10 | | - · · · · · · · · · · · · · · · · · · · |
| | return from Part X for each | | | | | | | |
| | year listed | | | | | | | |
| b | 85% of line 2a | | | | | | | |
| С | Qualifying distributions from Part | | | | | • | | |
| ч | XII, line 4 for each year listed . Amounts included in line 2c not | | | | | | | |
| u | used directly for active conduct | | | | | | | |
| _ | of exempt activities | | | | | | | |
| 9 | Qualifying distributions made directly for active conduct of | | | | | | | |
| | exempt activities Subtract line | | | | | | | |
| 3 | 2d from line 2c | | <u> </u> | | | | | |
| | alternative test relied upon | | | | | | | |
| а | "Assets" alternative test - enter | | | | | | | |
| | (1) Value of all assets (2) Value of assets qualifying | | | | | | | |
| | under section | | | | | İ | | |
| b | 4942(j)(3)(B)(i) "Endowment" alternative test- | | | | | | | |
| | enter 2/3 of minimum invest- | | | | 1 | | | |
| | ment return shown in Part X, line 6 for each year listed | | | | - | | | |
| С | "Support" alternative test - enter | | | | | | | |
| | (1) Total support other than | | | | | | | |
| | gross investment income (interest, dividends, rents, | | | | | | | |
| | payments on secunties | | | | | | | |
| | loans (section 512(a)(5)), or royalties) | | | | | | | |
| | (2) Support from general public and 5 or more | | | | | | | |
| | exempt organizations as | | | | | r | | |
| | provided in section 4942 (j)(3)(B)(III) | | | | | | | |
| | (3) Largest amount of sup- | | | | | 1 | | |
| | port from an exempt organization | | | | | | | |
| _ | (4) Gross investment income. | | | 1 | 1 | | | |
| Pa | | ntary Information(e during the year - s | | rt only if the found | dation had | \$5,000 o | r moi | re in assets |
| 4 | | | | | | | | - |
| 1 | Information Regarding List any managers of | | | re than 2% of the tot | al contribution | ons received | t by th | ne foundation |
| a | before the close of any | tax year (but only if the | ney have contributed | more than \$5,000) (S | See section 50 | 07(d)(2)) | 2 Dy ti | ic roundation |
| | JOHN FREIDE | NRICH | • | | | | | |
| | List any managers of | | own 10% or more of | of the stock of a corr | poration (or | an equally I | arge r | portion of the |
| - | ownership of a partner | | | | | | 5- 1 | |
| | | | | | | | | |
| | N/A | | | | | | | |
| 2 | Information Regardin | g Contribution, Grant | , Gift, Loan, Scholars | hip, etc., Programs: | | - | | |
| | Check here ▶ ☐ If t | he foundation only | makes contributions | to preselected char | ıtable organ | nizations and | d doe | s not accept |
| | unsolicited requests for | | | | | | | |
| | other conditions, comp | | | | | | | |
| а | The name, address, a | nd telephone number | or e-mail address of | the person to whom ap | oplications sho | ould be addre | essed | · |
| | ATCH 5 | | | | . <u></u> | | _ | |
| b | The form in which app | lications should be su | bmitted and informati | ion and materials they | should include | de | | |
| | | | | | | | | |
| | | REQUEST WITH SU | PPORTING DOCUM | ENTATION | | | | |
| С | Any submission deadli | nes | | | | | | |
| | NONE | | | | | | | |
| | NONE Any restrictions or Ii | mitations on awards | such as by decar | anhical areas charite | hle fielde ! | ands of inc | titutio | ne or other |
| u | factors | mitations on awaius | , such as by geogr | aprilicai aleas, criailta | ine lielus, l | \iii | intutio | ns, or other |
| | N / A | | | | | | | |

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|-----|--|--|------------|----------------------------------|----------------|
| Par | t XV Supplementary Information (| continuea) | avad for l | Tutura Daymant | |
| _3_ | Grants and Contributions Paid Duri Recipient Name and address (home or business) | If recipient is an individual, | Foundation | -uture Payment | |
| | Name and address (home or business) | show any relationship to any foundation manager | status of | Purpose of grant or contribution | Amount |
| | Paid during the year | or substantial contributor | recipient | - | |
| d | raid duffing the year | | | | |
| ΑT | CH 6 | : | | | |
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| | | | | ▶ 3a | 849,500. |
| b | Approved for future payment | | | | |
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| | Total | | • | > 3b | |

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Page 12

| rt XVI-A Analysis of Income-Production of the Analysis of Income-Producted | | ated business income | Excluded by | section 512, 513, or 514 | (e) |
|--|------------------------|----------------------|-----------------------|---------------------------------------|--|
| Program service revenue. | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | Related or exemp function income (See instructions |
| I | | | | | TOCC INSTRUCTIONS |
| | | | | | |
| | | | | | |
| <u> </u> | | | | | |
| | | | | | |
| | - | | - | | |
| Fees and contracts from government agencies | | | | | |
| Membership dues and assessments | | | 14 | 76. | |
| Dividends and interest from securities | | | | · · · · · · · · · · · · · · · · · · · | |
| Net rental income or (loss) from real estate | | | | | |
| Debt-financed property | | | | | |
| Not debt-financed property | | | | | |
| let rental income or (loss) from personal property | | | | · | |
| Other investment income | | | | | |
| Gain or (loss) from sales of assets other than inventory | | | 18 | 6,282. | |
| Net income or (loss) from special events | | · | | | |
| Gross profit or (loss) from sales of inventory | | | 01 | 9,465. | |
| Other revenue a EXCISE TAX REFUND | | | 01 | 9,403. | |
| | | | | | |
| <u> </u> | | | - | | |
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| | | | | | - Time. |
| | | | | 15,823. | |
| · | culations) | | | 13 | 15,8 |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculated. | culations) s to the Ac | complishment of E | xempt Purp | oses e) of Part XVI-A contribu | ited importantly to |
| Subtotal Add columns (b), (d), and (e) Total. Add line 12, columns (b), (d), and (e) worksheet in line 13 instructions to verify calculated the color of the | culations) s to the Ac | complishment of E | xempt Purp | oses e) of Part XVI-A contribu | ited importantly to |
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| Form 990-PF | | | CICH PARIDI FOOI | | 94-276 | | Page 13 |
|-----------------|--|-------------------------------------|---|--------------------|---|-----------------------------------|---------------|
| Part XVII | Exempt Orga | | ansters to and tra | ansactions | and Relationships With Nor | ıcharitab - | le |
| 1 Did th | ne organization direc | ctly or indirectly | engage in any of the | following with | any other organization described | Yes | s No |
| | ction 501(c) of the C lizations? | ode (other than | section 501(c)(3) orga | anizations) or | in section 527, relating to political | | |
| - | | ing foundation to | a noncharitable exem | nt organizatio | n of | 1 | 1 |
| | | - | | . • | | | X |
| | | | | | | | $\frac{1}{X}$ |
| | | • • • • • • • • • | | | | 1a(2) | <u> </u> |
| | transactions | | | | | | |
| (1) Sa | ales of assets to a n | oncharitable exe | mpt organization | | | 1b(1) | X X |
| (2) Pt | irchases of assets t | rom a noncharita | able exempt organization | n | | 1b(2) | X |
| (3) Re | ental of facilities, eq | uipment, or other | assets | | | 1b(3) | X |
| (4) Re | eimbursement arran | gements | | | | 1b(4) | X |
| (5) Lo | oans or loan guarante | es | | | | 1b(5) | X |
| | | | | | | | X |
| | | | | | | | X |
| d If the | answer to any of t | the above is 'Ye | es," complete the follo | wing schedu | le Column (b) should always sho | w the fair | market |
| value | of the goods, other | assets, or serv | ices given by the repo | orting foundat | ion If the foundation received less | s than fair | market |
| value | in any transaction of | or sharing arrang | gement, show in colur | | lue of the goods, other assets, or | | |
| (a) Line no | (b) Amount involved | (c) Name of r | noncharitable exempt organiza | | Description of transfers, transactions, and sha | anng arrangem | ents |
| | N/A | | | N/ | 'A | | |
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| descri | ibed in section 501(os," complete the follo | c) of the Code (o owing schedule | ther than section 501(c | c)(3)) or in sec | more tax-exempt organizations ction 527? | Yes [| X No |
| | (a) Name of organizati | on | (b) Type of organ | nization | (c) Description of relation | ıshıp | |
| N/A | | | | | | | |
| | ··· | | | | | | |
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| | - ··· A | | | | | | |
| Unde | er penalties of penury Tolecter and complete Declaration | dare that I have exami | ned this return, including accordance as a page of the company and information and information and information are set of the company of the | npanying schedules | and statements, and to the best of my knowled has any knowledge | dge and belief, | it is true, |
| Sign | | 7 | , | | | | $\overline{}$ |
| | MA | unn | V 18-12-1. | <u> </u> | | RS discuss this preparer shown | |
| Here 'Sig | nature of officer or trustee | } | Date | Title | (see instruction | | |
| | // / | | | | ,,=== | | |
| | Print/Type preparer's n | ame | Pregarer's signature | - · | Date Check If | PTIN | |
| Paid | GEORGE D MAR | | Jens D. M | Umin. | 8 self-employed | P003055 | 572 |
| Preparer | | EILER LLP | T Y | 1 | . | 1624276 | |
| Use Only | | HREE LAGOON | DRIVE, STE 400 |)) | rimsein > 34 | | |
| Jus Jiny | 1 | EDWOOD CITY | | | 94065 Phone no 650-3 | 365-4646 | ; |
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Form **990-PF** (2013)

650-365-4646

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

| Name of the organization FREIDENRICH FAMILY FOUN | DATION | Employer identification number | | | | |
|---|--|--------------------------------|--|--|--|--|
| Organization type (check one) | | 94-2781744 | | | | |
| , | | | | | | |
| Filers of: | ection: | | | | | |
| Form 990 or 990-EZ | 501(c)() (enter number) organization | | | | | |
| | 4947(a)(1) nonexempt charitable trust not treated as a private fou | Indation | | | | |
| | 527 political organization | | | | | |
| Form 990-PF | X 501(c)(3) exempt private foundation | | | | | |
| | 4947(a)(1) nonexempt charitable trust treated as a private foundate | tion | | | | |
| | 501(c)(3) taxable private foundation | | | | | |
| | Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 c | or more (ın money or | | | | |
| property) from any one c | ontributor Complete Parts I and il | | | | | |
| Special Rules | | | | | | |
| under sections 509(a)(1) | organization filing Form 990 or 990-EZ that met the 33 1/3 % support to and 170(b)(1)(A)(vi) and received from any one contributor, during the or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form | e year, a contribution of | | | | |
| For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III | | | | | | |
| For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use <i>exclusively</i> for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an <i>exclusively</i> religious, charitable, etc., purpose. Do not complete any of the parts unless the General Rule applies to this organization because it received <i>nonexclusively</i> religious, charitable, etc., contributions of \$5,000 or more during the year. | | | | | | |
| Caution. An organization that is not 990-EZ, or 990-PF), but it must ans | | | | | | |

JSA

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Employer identification number 94-2781744

| Part I | Contributors (see instructions) Use duplicate copies of Part I if additional space is needed. | | | | | | |
|------------|---|----------------------------|---|--|--|--|--|
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | | | |
| 1_ | JOHN & JILL FREIDENRICH 300 HAMILTON AVENUE, 4TH FLOOR PALO ALTO, CA 94301 | \$196,154. | Person X Payroll X Noncash (Complete Part II for noncash contributions) | | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | | | |
| 2_ | JOHN & JILL FREIDENRICH 300 HAMILTON AVENUE, 4TH FLOOR PALO ALTO, CA 94301 | \$1,549,060. | Person Payroll Noncash (Complete Part II for noncash contributions) | | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | | | |
| | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) | | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | | | |
| | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) | | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | | | |
| | | \$ | Person Payroll Noncash (Complete Part II for noncash contributions) | | | | |
| (a) No. | (b) Name, address, and ZIP + 4 | (c) Total contributions | (d) Type of contribution | | | | |
| | | \$ | Person Payroil Noncash (Complete Part II for noncash contributions) | | | | |

Name of organization FREIDENRICH FAMILY FOUNDATION

Employer identification number

94-2781744 Noncash Property (see instructions). Use duplicate copies of Part II if additional space is needed Part II (a) No. (c) (b) (d) from FMV (or estimate) Description of noncash property given Date received Part I (see instructions) 6,545 SHS MAKO SURGICAL CORP COM HIGH 29.98, LOW 29.96, MEAN 29.97 _ _1__ 196,154. 12/10/2013 (a) No. (c) (b) (d) from FMV (or estimate) Description of noncash property given Date received Part I (see instructions) 26,525 SHS MERCK & CO INC NEW COM _2__ HIGH 58.79, LOW 58, MEAN 58.40 1,549,060. 08/14/2014 (a) No. (c) (b) (d) from FMV (or estimate) Description of noncash property given Date received Part I (see instructions) (a) No. (c) (b) (d) from FMV (or estimate) Date received Description of noncash property given Part 1 (see instructions) (a) No. (c) (b) (d) from FMV (or estimate) Description of noncash property given Date received Part I (see instructions) (a) No. (c) (b) (d) from FMV (or estimate) Description of noncash property given Date received Part I (see instructions)

Employer identification number

| | total more than \$1,000 for the year | . Complete columns (a) timou | igh (e) and the following line entry |
|--------------------------|--|---|---|
| For | organizations completing Part III, ente | er the total of <i>exclusively</i> religion | ous, charitable, etc , |
| | ributions of \$1,000 or less for the ye duplicate copies of Part III if additiona | | ce See instructions.) ► \$ |
| | duplicate copies of Fart III if additional | ar space is fleeded | |
| n) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
| | | | |
| | | (e) Transfer of gift | |
| | Transferee's name, address, and ZI | P+4 R | elationship of transferor to transferee |
| | | | |
| a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
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| | | (e) Transfer of gift | |
| | Transferee's name, address, and ZI | P + 4 R | elationship of transferor to transferee |
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| a) No. from Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
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| | | (e) Transfer of gift | |
| | Transferee's name, address, and ZI | P + 4 R | elationship of transferor to transferee |
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| a) No. | | | |
| n) No. From Part I | (b) Purpose of gift | (c) Use of gift | (d) Description of how gift is held |
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| | | (e) Transfer of gift | |
| | | (o) Transfer of gift | |

FORM 990-PF - PART IV

| Kind of Pr | operty | | Desc | ription | | or | Date | Date sold |
|---|-----------------------|----------------|-------------------|-----------------------|--------------------|-------------|--------------|-----------|
| Cross sols | Danragistica | Cost or | FMV | Adı basıs | Evec | D | acquired | |
| Gross sale | Depreciation | COST OF | LINIA DE CE | Adj basis as of | Excess of | | Gain | |
| price less penses of sale | allowed/ allowable | other basis | as of 12/31/69 | as of 12/31/69 | FMV over adj basis | | or (loss) | |
| perioca di adic | allowable | - Dasis | 15/31/03 | 1 15/31/09 | auj_udSiS | H | (1088) | |
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| ,751,496. | | 320,961. | | | | Н | 1,430,535. | |
| ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | 323,2321 | | | | Н | 1,430,333. | |
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ATTACHMENT 1

FORM 990PF, PART I - OTHER INCOME

REVENUE

AND

EXPENSES

PER BOOKS

9,465.

DESCRIPTION EXCISE TAX REFUND

TOTALS

9,465.

| FEES | |
|--------------|---|
| ACCOUNTING F | |
| ı | |
| ы | I |
| PART | |
| 990PF, | |
| FORM | |

| CHARITABLE | 2,442. | 2,442. |
|---|-----------------|--------|
| ADJUSTED NET INCOME | | |
| NET INVESTMENT INCOME | 2,443. | 2,443. |
| REVENUE AND EXPENSES PER BOOKS | 4,885. | 4,885. |
| | | TOTALS |
| DESCRIPTION | ACCOUNTING FEES | |

ATTACHMENT 3

FORM 990PF, PART I - TAXES

REVENUE

AND

EXPENSES

PER BOOKS

DESCRIPTION

24,465.

EXCISE TAX

TOTALS

24,465.

- OTHER EXPENSES

PART I

FORM 990PF,

ATTACHMENT

REVENUE AND EXPENSES PER BOOKS

CHARITABLE PURPOSES 230.

230.

DESCRIPTION CA FILING FEES

230.

TOTALS

ATTACHMENT 4

ATTACHMENT 5

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

AUSTIN WONG 300 HAMILTON AVENUE, 4TH FLOOR PALO ALTO, CA 94301 650.838.1030 94-2781744

ATTACHMENT 6

| | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | | |
|---------------------------------------|---|----------------------------------|---------|
| | AND | | |
| RECIPIENT NAME AND ADDRESS | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
| OSHMAN FAMILY JEWISH COMMUNITY CENTER | NONE | GENERAL | 10,000. |
| 4000 MIDDLEFIELD ROAD, BLDG. R | PC | | |
| PALO ALTO, CA 94303 | | | |
| SECOND HARVEST FOOD BANK | NONE | GENERAL | 1,000. |
| 750 CURTNER AVENUE | PC | | |
| SAN JOSE, CA 95125 | | | |
| ACTION AGAINST HUNGER | NONE | GENERAL | .000,09 |
| 247 WEST 37TH STREET | PC | | |
| NEW YORK, NY 10018 | | | |
| MARWEN FOUNDATION INC | NONE | GENERAL | 6,000. |
| 833 N ORLEANS STREET, #1 | PC | | |
| CHICAGO, IL 60610 | | | |
| FACING HISTORY AND OURSELVES | NONE | GENERAL | 3,000. |
| 16 HURD ROAD | PC | | |
| BROOKLINE, MA 02445 | | | |
| PLANNED PARENTHOOD MAR MONTE | NONE | GENERAL | 5,000. |
| 1691 THE ALAMEDA | PC | | |
| SAN JOSE, CA 95126 | | | |
| | | | |

FORM 990PF, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR.

50,000.

AMOUNT

PURPOSE OF GRANT OR CONTRIBUTION

GENERAL

NONE 2

900 BLAKE WILBUR DRIVE - FIRST FLOOR

STANFORD, CA 94304

STANFORD WOMEN'S CANCER PROGRAM

RECIPIENT NAME AND ADDRESS

ATTACHMENT 6 (CONT'D)

10,000.

GENERAL

NONE PC

NONE

PALO ALTO COMMUNITY FUND

PALO ALTO, CA 94301

400 MITCHELL LANE

MAPLEWOOD, NJ 07040

PO BOX 84

ACHIEVE FOUNDATION

7FS32G M200

12960

ATTACHMENT 6

5,000.

GENERAL

5,000.

2,000.

GENERAL

10,000.

GENERAL

NONE

RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR FOUNDATION STATUS OF RECIPIENT

NONE

1501 S. CALIFORNIA AVENUE

CANARY FOUNDATION

PALO ALTO, CA 94301

450 BRYANT STREET

AVENIDAS

PALO ALTO, CA 94304

NONE

CANCER PREVENTION INSTITUTE OF CALIFORNIA

2201 WALNUT AVE #300

FREMONT, CA 94538

GENERAL

ATTACHMENT 6 (CONT'D)

| | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR | | |
|--|---|----------------------------------|----------|
| RECIPIENT NAME AND ADDRESS | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
| ART IN ACTION 3925 BOHANNON DRIVE, #300 MENLO PARK, CA 94025 | NONE PC | GENERAL | 1,000. |
| STANFORD GRADUATE SCHOOL OF EDUCATION 485 LASUEN MALL STANFORD, CA 94305 | NONE PC | GENERAL | 10,000. |
| BREAST CANCER CONNECTIONS 390 CAMBRIDGE AVENUE PALO ALTO, CA 94306 | NONE PC | GENERAL | 206,000. |
| KQED 2601 MARIPOSA STREET SAN FRANCISCO, CA 94110 | NONE PC | GENERAL | 1,000. |
| GIDEON HAUSNER JEWISH DAY SCHOOL 450 SAN ANTONIO ROAD PALO ALTO, CA 94306 | NONE PC | GENERAL | 1,000. |
| CENTER FOR NATIONAL POLICY ONE MASSACHUSETTS AVENUE NW, SUITE 333 WASHINGTON, DC 20001 | NONE PC | GENERAL | 110,000. |

ATTACHMENT 6 (CONT'D)

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FORM 990PE, PART XV - GRANTS AND CONTRIBUTIONS PAID DURING THE YEAR

| | NELALLONSHIF IO SUBSTANTIAL CONTRIBUTOR AND | | |
|--|---|----------------------------------|----------|
| RECIPIENT NAME AND ADDRESS | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
| NARAL PRO-CHOICE AMERICA FOUNDATION | NONE | GENERAL | 2,500. |
| 1156 15TH STREET NW, SUITE 700 | PC | | |
| | | | |
| INNOVATE PUBLIC SCHOOLS | NONE | GENERAL | 1,000. |
| 1400 PARKMOOR AVE STE 240 | PC | | |
| | | | |
| RAVENSWOOD FAMILY HEALTH CENTER | NONE | GENERAL | 200,000. |
| | PC | | |
| EAST PALO ALTO, CA 94303 | | | |
| | NONE | GENERAL | 100,000. |
| 310 8TH STREET, SUITE 300 | PC | | |
| | | | |
| AMERICAN FRIENDS OF THE ISRAEL DOMOCRACY | NONE | GENERAL | 17,500. |
| 1266 W. PACES FERRY ROAD, SUITE 615 | PC Dd | | |
| | | | |
| | NONE | GENERAL | 2,000. |
| | PC | | |
| COLORADO SPRINGS, CO 80918 | | | |

ATTACHMENT 6 (CONT'D)

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| | RELATIONSHIP TO SUBSTANTIAL CONTRIBUTOR AND | | |
|---|---|----------------------------------|----------|
| RECIPIENT NAME AND ADDRESS | FOUNDATION STATUS OF RECIPIENT | PURPOSE OF GRANT OR CONTRIBUTION | AMOUNT |
| NEW YORK YOUTH SYMPHONY 110 WEST 40TH STREET SUITE 1503 NEW YORK, NY 10018 | NONE PC | GENERAL | 1,500. |
| BOYS AND GIRLS CLUB OF THE PENINSULA 401 PIERCE ROAD MENLO PARK, CA 94025 | NONE PC | GENERAL | 2,000. |
| MONTEREY BAY AQUARIUM 886 CANNERY ROW MONTEREY, CA 93940 | NONE PC | GENERAL | 2,000. |
| STANFORD LIVE 365 LASUEN STREET, SECOND FLOOR LITTLEFIELD CENTER, MC2250 STANFORD, CA 94305 | NONE PC | GENERAL | 25, 000. |

TOTAL CONTRIBUTIONS PAID

849,500.

ATTACHMENT 6

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