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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 12-01-2013 , and ending 11-30-2014

Name of foundation FAY SHWAYDER FOUNDATION		A Employer identification number 84-6041358	
Number and street (or P O box number if mail is not delivered to street address) 24 SHAMAN		B Telephone number (see instructions) (505) 983-0084	
City or town, state or province, country, and ZIP or foreign postal code SANTA FE, NM 87506		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 5,876,699		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)			

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	34,004	34,004		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	109,918			
	b Gross sales price for all assets on line 6a 533,241				
	7 Capital gain net income (from Part IV, line 2) . . .		109,918		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	143,922	143,922		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)	2,419	1,814		605
	b Accounting fees (attach schedule)	1,964	1,473		491
	c Other professional fees (attach schedule)	10,026	10,026		
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	2,914			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)	340			340
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	17,663	13,313		1,436
	25 Contributions, gifts, grants paid	65,900			65,900
	26 Total expenses and disbursements. Add lines 24 and 25	83,563	13,313		67,336
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	60,359			
	b Net investment income (if negative, enter -0-)		130,609		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	35,799	42,776	42,776
	2	Savings and temporary cash investments			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)			
	c	Investments—corporate bonds (attach schedule).			
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)	995,999	1,049,381	1,283,923
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)	1,722,500	1,722,500	4,550,000	
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	2,754,298	2,814,657	5,876,699	
Liabilities	17	Accounts payable and accrued expenses	501	501	
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	501	501	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	2,753,797	2,814,156	
	30	Total net assets or fund balances (see page 17 of the instructions)	2,753,797	2,814,156	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	2,754,298	2,814,657		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1 2,753,797
2	Enter amount from Part I, line 27a	2 60,359
3	Other increases not included in line 2 (itemize) ▶ _____	3
4	Add lines 1, 2, and 3	4 2,814,156
5	Decreases not included in line 2 (itemize) ▶ _____	5
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6 2,814,156

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	ESSENTIAL ADVISORS - S/T - ATTACHED	P		
b	ESSENTIAL ADVISORS - L/T - ATTACHED	P		
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 112,665		109,745	2,920
b 420,576		313,578	106,998
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			2,920
b			106,998
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	109,918
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	2,920

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	8,129	1,221,662	0 006654
2011	31,249	1,119,679	0 027909
2010	36,505	1,108,709	0 032926
2009	30,491	1,007,237	0 030272
2008	3,941	847,403	0 004651

2 Total of line 1, column (d).	2	0 102412
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 020482
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	1,314,479
5 Multiply line 4 by line 3.	5	26,923
6 Enter 1% of net investment income (1% of Part I, line 27b).	6	1,306
7 Add lines 5 and 6.	7	28,229
8 Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	67,336

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)		
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	1	1,306
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3 Add lines 1 and 2.	3	1,306
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5	1,306
6 Credits/Payments		
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,527
b Exempt foreign organizations—tax withheld at source	6b	
c Tax paid with application for extension of time to file (Form 8868)	6c	2,500
d Backup withholding erroneously withheld	6d	
7 Total credits and payments. Add lines 6a through 6d.	7	4,027
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	5
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid . . .	10	2,716
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 2,716 Refunded	11	

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	1b		No
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		No
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		No
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CO _____			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		No
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address ▶N/A				
14	The books are in care of ▶SUSAN DEJONG Telephone no ▶(505) 983-0084			
	Located at ▶24 SHAMAN SANTE FE NM ZIP +4 ▶87506			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/>			
	and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
	See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶			

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?


7b

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SUSAN DEJONG  24 SHAMAN SANTA FE,NM 87506	PRESIDENT 1 00	0	0	0

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total

number of other employees paid over \$50,000.

☒

Part VIII

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4 N/A	

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments See page 24 of the instructions	
3	

Form **990-PF** (2013)

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	1,292,028
b	Average of monthly cash balances.	1b	42,468
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	1,334,496
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	1,334,496
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	20,017
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,314,479
6	Minimum investment return. Enter 5% of line 5.	6	65,724

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	65,724
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	1,306
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	1,306
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	64,418
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	64,418
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	64,418

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	67,336
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	67,336
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	1,306
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	66,030
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				64,418
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.				
c From 2010.				
d From 2011.				
e From 2012.				
f Total of lines 3a through e.				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 67,336				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				64,418
e Remaining amount distributed out of corpus	2,918			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	2,918			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions.				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions.				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014.				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions).				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a.	2,918			
10 Analysis of line 9				
a Excess from 2009.				
b Excess from 2010.				
c Excess from 2011.				
d Excess from 2012.				
e Excess from 2013.	2,918			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed					
d	Amounts included in line 2c not used directly for active conduct of exempt activities					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

SUSAN DEJONG
24 SHAMAN
SANTE FE, NM 87501
(505) 983-0084

b

The form in which applications should be submitted and information and materials they should include

NONE SPECIFIED

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

Part XVII

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

1

Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a

Transfers from the reporting foundation to a noncharitable exempt organization of

(1)

Cash.

(2)

Other assets.

b

Other transactions

(1)

Sales of assets to a noncharitable exempt organization.

(2)

Purchases of assets from a noncharitable exempt organization.

(3)

Rental of facilities, equipment, or other assets.

(4)

Reimbursement arrangements.

(5)

Loans or loan guarantees.

(6)

Performance of services or membership or fundraising solicitations.

c

Sharing of facilities, equipment, mailing lists, other assets, or paid employees.

d

If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

Yes

No

1a(1)

No

1a(2)

No

1b(1)

No

1b(2)

No

1b(3)

No

1b(4)

No

1b(5)

No

1b(6)

No

1c

No

(a) Line No	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a

Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes

No

b

If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge.

Signature of officer or trustee

2015-05-06

Date

Title

May the IRS discuss this return with the preparer shown below (see instr.)?

Yes

No

Paid Preparer Use Only

Print/Type preparer's name
PEGGY E STRICKLIN
CPA

Preparer's Signature

Date
2015-08-13

Check if self-employed ☒

PTIN
P00294953

Firm's name
HARPER HOFER & ASSOCIATES LLC

Firm's EIN
20-1605886

Firm's address
1580 LINCOLN ST STE 1100 DENVER, CO 802031530

Phone no
(303) 486-0000

Form 990-PF (2013)

TY 2013 Accounting Fees Schedule

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT ACCOUNTING FEES	1,964	1,473		491

TY 2013 Compensation Explanation

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Person Name	Explanation
SUSAN DEJONG	

TY 2013 Investments - Other Schedule

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
STOCK AND BOND PORTFOLIO	FMV	1,049,381	1,283,923

TY 2013 Legal Fees Schedule

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INDIRECT LEGAL FEES	2,419	1,814		605

TY 2013 Other Assets Schedule

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Description	Beginning of Year - Book Value	End of Year - Book Value	End of Year - Fair Market Value
MUSICAL INSTRUMENTS LOANED TO UNIVER	1,722,500	1,722,500	4,550,000

TY 2013 Other Expenses Schedule

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EXPENSES				
MISCELLANEOUS EXPENSES	340			340

TY 2013 Other Professional Fees Schedule

Name: FAY SHWAYDER FOUNDATION

EIN: 84-6041358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
INVESTMENT MANAGEMENT FEES	10,026	10,026		

TY 2013 Taxes Schedule**Name:** FAY SHWAYDER FOUNDATION**EIN:** 84-6041358

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
2012 EXTENSION TAX PAYMENTS	1,420			
2013 ESTIMATED TAX PAYMENTS	1,494			

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-31-13	12-04-13	14 542	Aquila Three Peaks High Inc Fd	129		129	0	
11-29-13	12-04-13	14 261	Aquila Three Peaks High Inc Fd	127		127	0	
04-28-11	12-04-13	2,567 218	Aquila Three Peaks High Inc Fd	23,462		22,807		-656
04-29-11	12-04-13	15 958	Aquila Three Peaks High Inc Fd	146		142		-4
05-31-11	12-04-13	29 770	Aquila Three Peaks High Inc Fd	272		264		-7
07-29-11	12-04-13	31 470	Aquila Three Peaks High Inc Fd	287		280		-8
02-28-11	12-04-13	14 413	Aquila Three Peaks High Inc Fd	131		128		-3
03-31-11	12-04-13	16 684	Aquila Three Peaks High Inc Fd	152		148		-4
01-31-11	12-04-13	16 010	Aquila Three Peaks High Inc Fd	146		142		-3
03-31-10	12-04-13	34 798	Aquila Three Peaks High Inc Fd	316		309		-7
06-30-11	12-04-13	30 224	Aquila Three Peaks High Inc Fd	274		268		-6
02-29-12	12-04-13	25 270	Aquila Three Peaks High Inc Fd	229		224		-4
12-31-10	12-04-13	57 708	Aquila Three Peaks High Inc Fd	522		513		-10
12-31-10	12-04-13	28 962	Aquila Three Peaks High Inc Fd	262		257		-5
12-31-10	12-04-13	15 677	Aquila Three Peaks High Inc Fd	142		139		-3
06-29-12	12-04-13	23 528	Aquila Three Peaks High Inc Fd	212		209		-3
10-31-11	12-04-13	28 159	Aquila Three Peaks High Inc Fd	254		250		-4
04-30-12	12-04-13	24 331	Aquila Three Peaks High Inc Fd	219		216		-3

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-31-09	12-04-13	36 056	Aquila Three Peaks High Inc Fd	324		320		-4
01-31-12	12-04-13	28 874	Aquila Three Peaks High Inc Fd	260		257		-3
03-30-12	12-04-13	25 923	Aquila Three Peaks High Inc Fd	233		230		-3
01-29-10	12-04-13	35 167	Aquila Three Peaks High Inc Fd	316		312		-4
06-30-10	12-04-13	31 894	Aquila Three Peaks High Inc Fd	287		283		-3
02-26-10	12-04-13	32 294	Aquila Three Peaks High Inc Fd	290		287		-3
08-31-11	12-04-13	31 956	Aquila Three Peaks High Inc Fd	286		284		-2
11-30-11	12-04-13	27 311	Aquila Three Peaks High Inc Fd	244		243		-1
12-30-11	12-04-13	29 542	Aquila Three Peaks High Inc Fd	264		262		-1
12-30-11	12-04-13	47 426	Aquila Three Peaks High Inc Fd	424		421		-2
12-30-11	12-04-13	13 557	Aquila Three Peaks High Inc Fd	121		120		-1
05-31-12	12-04-13	23 514	Aquila Three Peaks High Inc Fd	210		209		-1
09-30-08	12-04-13	32 760	Aquila Three Peaks High Inc Fd	292		291		-1
05-28-10	12-04-13	33 416	Aquila Three Peaks High Inc Fd	298		297		-1
10-30-09	12-04-13	35 597	Aquila Three Peaks High Inc Fd	316		316		0
11-30-09	12-04-13	34 003	Aquila Three Peaks High Inc Fd	302		302		0
09-30-09	12-04-13	33 328	Aquila Three Peaks High Inc Fd	295		296		1
09-30-11	12-04-13	30 255	Aquila Three Peaks High Inc Fd	268		269		1

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-31-09	12-04-13	38 127	Aquila Three Peaks High Inc Fd	334		339		4
08-31-09	12-04-13	37 116	Aquila Three Peaks High Inc Fd	322		330		8
04-30-09	12-04-13	38 439	Aquila Three Peaks High Inc Fd	326		341		16
05-29-09	12-04-13	39 664	Aquila Three Peaks High Inc Fd	336		352		16
06-30-09	12-04-13	38 416	Aquila Three Peaks High Inc Fd	325		341		16
01-30-09	12-04-13	42 290	Aquila Three Peaks High Inc Fd	347		376		29
03-31-09	12-04-13	37 403	Aquila Three Peaks High Inc Fd	306		332		26
02-27-09	12-04-13	5 942	Aquila Three Peaks High Inc Fd	49		53		4
12-31-08	12-04-13	45 006	Aquila Three Peaks High Inc Fd	347		400		53
10-31-08	12-04-13	39 439	Aquila Three Peaks High Inc Fd	304		350		47
11-28-08	12-04-13	39 656	Aquila Three Peaks High Inc Fd	293		352		60
07-31-13	12-04-13	26 284	Aquila Three Peaks High Inc Fd	232		233	2	
09-30-13	12-04-13	14 186	Aquila Three Peaks High Inc Fd	124		126	2	
08-30-13	12-04-13	15 070	Aquila Three Peaks High Inc Fd	132		134	2	
06-28-13	12-04-13	27 093	Aquila Three Peaks High Inc Fd	236		241	5	
11-30-12	12-04-13	21	YUM! Brands, Inc	1,408		1,588		181
10-18-13	12-04-13	39	United Health Group	2,692		2,865	172	
05-15-13	12-04-13	3	United Health Group	184		220	36	
09-11-09	12-04-13	4	Exxon Mobil Corporation	285		376		91
07-29-09	12-04-13	16	Exxon Mobil Corporation	1,132		1,502		370
06-17-11	12-04-13	39	Qualcomm Inc	2,064		2,854		790

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951

From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
08-18-11	12-04-13	15	Cognizant Technology Solutions Corp-CI A	820		1,400		580
02-01-10	12-04-13	8	Cognizant Technology Solutions Corp-CI A	359		747		388
06-24-11	12-04-13	5	Express Scripts Holding Co	265		332		67
12-31-09	12-04-13	40	Express Scripts Holding Co	1,752		2,660		908
05-02-12	12-04-13	3	Google Inc Class C	1,825		3,172		1,346
08-30-10	12-04-13	16	Oracle Corporation	355		556		202
10-31-07	12-04-13	42	Oracle Corporation	924		1,461		537
07-16-10	12-04-13	31	Coach Inc	1,084		1,734		650
12-21-05	12-04-13	21	Colgate-Palmolive Company	581		1,355		774
10-26-12	12-04-13	2	Apple Inc	1,217		1,126		-92
11-10-11	12-04-13	4	Apple Inc	1,540		2,251		712
08-11-09	12-04-13	37	AMETEK Inc	509		1,806		1,296
07-29-09	01-14-14	51	Exxon Mobil Corporation	3,609		5,037		1,428
12-31-09	01-14-14	32	Express Scripts Holding Co	1,402		2,331		929
07-22-10	01-14-14	27	Express Scripts Holding Co	1,139		1,967		828
07-17-13	01-29-14	145	Fastenal Co	6,822		6,416	-406	
08-14-13	01-29-14	100	Fastenal Co	4,654		4,425	-229	
07-22-10	02-18-14	26	Express Scripts Holding Co	1,096		1,991		895
09-20-11	02-18-14	42	Express Scripts Holding Co	1,760		3,217		1,457
09-11-09	03-21-14	32	Wal-Mart Stores Inc	1,629		2,446		817
05-26-10	03-21-14	38	Wal-Mart Stores Inc	1,917		2,905		987
05-06-09	03-21-14	32	Wal-Mart Stores Inc	1,593		2,446		853
07-27-05	03-21-14	101	Wal-Mart Stores Inc	5,025		7,720		2,695
12-13-12	03-24-14	44	112 First Eagle Overseas	955		1,035		79
12-13-12	03-24-14	14	502 First Eagle Overseas	314		340		26
12-13-12	03-24-14	5	735 First Eagle Overseas	124		135		10
09-24-08	03-24-14	218	609 First Eagle Overseas	4,438		5,129		691
12-13-11	03-24-14	59	990 First Eagle Overseas	1,216		1,407		191
12-13-11	03-24-14	36	238 First Eagle Overseas	735		850		116
12-13-11	03-24-14	0	094 First Eagle Overseas	2		2		0
12-16-09	03-24-14	68	527 First Eagle Overseas	1,349		1,608		258
11-24-08	03-24-14	601	685 First Eagle Overseas	10,000		14,116		4,116
12-18-08	03-24-14	2	607 First Eagle Overseas	43		61		18
12-18-08	03-24-14	206	578 First Eagle Overseas	3,406		4,846		1,440

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951

From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
07-29-13	03-24-14	388 601	First Eagle Overseas	9,000		9,117	117	
12-17-13	03-24-14	15 361	First Eagle Overseas	346		360	14	
12-17-13	03-24-14	33 940	First Eagle Overseas	764		796	32	
12-17-13	03-24-14	54 641	First Eagle Overseas	1,231		1,282	51	
09-19-13	03-24-14	25 009	Matthews Asia Dividend Fund	401		371	-30	
12-12-13	03-24-14	47 732	Matthews Asia Dividend Fund	735		709	-26	
03-21-13	03-24-14	37 517	Matthews Asia Dividend Fund	574		557		-16
12-13-12	03-24-14	65 946	Matthews Asia Dividend Fund	939		979		40
09-27-12	03-24-14	425 230	Matthews Asia Dividend Fund	6,000		6,315		315
09-20-12	03-24-14	33 764	Matthews Asia Dividend Fund	472		501		29
12-03-09	03-24-14	248 367	Matthews Asia Dividend Fund	3,035		3,688		653
12-09-09	03-24-14	48 440	Matthews Asia Dividend Fund	584		719		135
09-24-09	03-24-14	28 280	Matthews Asia Dividend Fund	318		420		102
09-03-08	03-24-14	2,354 049	Matthews Asia Dividend Fund	25,000		34,958		9,958
09-25-08	03-24-14	26 753	Matthews Asia Dividend Fund	269		397		128
06-25-09	03-24-14	33 979	Matthews Asia Dividend Fund	336		505		168
12-10-08	03-24-14	16 199	Matthews Asia Dividend Fund	132		241		108
03-25-09	03-24-14	30 045	Matthews Asia Dividend Fund	241		446		205
03-20-14	03-24-14	6 388	Matthews Asia Dividend Fund	94		95	1	
06-20-13	03-24-14	37 882	Matthews Asia Dividend Fund	556		563	6	
12-15-10	03-24-14	2 110	IVA Intl Fd Cl A	33		37		3
12-15-10	03-24-14	19 810	IVA Intl Fd Cl A	314		344		30
12-15-10	03-24-14	16 050	IVA Intl Fd Cl A	255		278		24
12-13-12	03-24-14	42 633	IVA Intl Fd Cl A	661		740		78
12-13-12	03-24-14	27 626	IVA Intl Fd Cl A	428		479		51
12-14-11	03-24-14	22 049	IVA Intl Fd Cl A	321		383		62
12-14-11	03-24-14	51 564	IVA Intl Fd Cl A	750		895		145
12-14-11	03-24-14	23 431	IVA Intl Fd Cl A	341		407		66
12-16-09	03-24-14	24 426	IVA Intl Fd Cl A	351		424		73
12-16-09	03-24-14	14 428	IVA Intl Fd Cl A	207		250		43
05-27-09	03-24-14	597 372	IVA Intl Fd Cl A	7,587		10,364		2,778
12-20-13	03-24-14	3 276	UMB Scout International Fund	119		118	-2	
12-14-12	03-24-14	9 135	UMB Scout International Fund	300		328		28

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951
From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
12-18-06	03-24-14	24 323	UMB Scout International Fund	789		873		83
12-18-06	03-24-14	2 504	UMB Scout International Fund	81		90		9
06-24-11	03-24-14	7 121	UMB Scout International Fund	228		255		27
12-17-10	03-24-14	12 231	UMB Scout International Fund	388		439		50
06-26-06	03-24-14	6 225	UMB Scout International Fund	178		223		45
06-22-12	03-24-14	7 037	UMB Scout International Fund	201		252		51
12-18-09	03-24-14	4 441	UMB Scout International Fund	126		159		33
12-19-05	03-24-14	1 464	UMB Scout International Fund	41		53		11
12-19-05	03-24-14	12 069	UMB Scout International Fund	340		433		93
12-16-11	03-24-14	12 197	UMB Scout International Fund	330		438		108
06-25-10	03-24-14	11 475	UMB Scout International Fund	310		412		102
06-27-05	03-24-14	8 590	UMB Scout International Fund	212		308		97
05-06-05	03-24-14	764 974	UMB Scout International Fund	18,489		27,447		8,958
06-19-09	03-24-14	12 762	UMB Scout International Fund	293		458		165
12-12-08	03-24-14	6 686	UMB Scout International Fund	140		240		100
12-12-08	03-24-14	56 458	UMB Scout International Fund	1,181		2,026		845
07-25-13	03-24-14	118 745	UMB Scout International Fund	4,200		4,261	61	
06-14-13	03-24-14	9 283	UMB Scout International Fund	317		333	16	

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951

From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-08-13	04-22-14	115	Allergan Inc	10,284		18,753	8,469	
04-05-13	04-30-14	23	Coach Inc	1,155		1,025		-130
03-07-13	04-30-14	98	Coach Inc	4,760		4,368		-393
07-16-10	04-30-14	9	Coach Inc	315		401		86
01-04-08	04-30-14	73	Coach Inc	2,059		3,253		1,194
12-09-08	04-30-14	78	Coach Inc	1,590		3,476		1,886
04-05-13	05-22-14	10	3M Company	1,062		1,402		340
08-20-10	05-22-14	8	3M Company	644		1,122		478
08-08-11	05-22-14	24	3M Company	1,901		3,365		1,464
11-20-08	05-22-14	15	3M Company	883		2,103		1,221
05-23-13	05-23-14	602 205	Seafarer Overseas Gr & Inc Instl	7,270		7,075	-195	
04-28-11	05-23-14	588 679	Templeton Global Bond Fund	8,250		7,775		-475
01-11-11	07-01-14	52	Target Corporation	2,880		3,026		146
02-02-11	07-01-14	36	Target Corporation	1,920		2,095		175
07-22-08	07-01-14	92	Target Corporation	4,212		5,353		1,141
12-09-08	07-01-14	52	Target Corporation	1,983		3,026		1,043
02-18-14	07-01-14	53	Target Corporation	3,002		3,084	82	
03-08-11	07-28-14	233 342	Royce Special Eq Fd Inv Cl	5,014		5,663		649
12-28-12	07-28-14	2 993	Royce Special Eq Fd Inv Cl	62		73		11
12-06-12	07-28-14	89 371	Royce Special Eq Fd Inv Cl	1,850		2,169		319
12-06-12	07-28-14	34 948	Royce Special Eq Fd Inv Cl	723		848		125
12-09-10	07-28-14	3 204	Royce Special Eq Fd Inv Cl	66		78		11
12-08-11	07-28-14	88 117	Royce Special Eq Fd Inv Cl	1,712		2,139		426
12-08-11	07-28-14	7 622	Royce Special Eq Fd Inv Cl	148		185		37
12-09-09	07-28-14	4 573	Royce Special Eq Fd Inv Cl	78		111		33
12-03-09	07-28-14	371 221	Royce Special Eq Fd Inv Cl	6,249		9,010		2,761
04-05-13	08-19-14	28	Apple Inc	1,700		2,813		1,113
11-10-11	08-19-14	14	Apple Inc	770		1,407		637
06-24-11	08-19-14	21	Apple Inc	979		2,110		1,131
12-24-13	08-22-14	2,244 382	Aquila Three Peaks High Inc Fd	20,000		19,795	-205	
06-30-14	08-22-14	14 149	Aquila Three Peaks High Inc Fd	126		125	-1	
05-30-14	08-22-14	14 340	Aquila Three Peaks High Inc Fd	127		126	-1	

REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951

From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
02-28-14	08-22-14	7 467	Aquila Three Peaks High Inc Fd	66		66	0	
04-30-14	08-22-14	13 108	Aquila Three Peaks High Inc Fd	116		116	0	
03-24-14	08-22-14	141 435	Aquila Three Peaks High Inc Fd	1,249		1,247	-2	
12-04-13	08-22-14	0 273	Main Stay Marketfield Fund I	5		5	0	
08-30-12	08-22-14	70 720	Main Stay Marketfield Fund I	1,098		1,245		147
12-07-12	08-22-14	14 336	Main Stay Marketfield Fund I	222		252		30
06-22-12	08-22-14	86 574	Main Stay Marketfield Fund I	1,295		1,525		229
06-07-12	08-22-14	745 257	Main Stay Marketfield Fund I	11,000		13,124		2,124
12-29-11	08-22-14	1,417 434	Main Stay Marketfield Fund I	20,000		24,961		4,961
05-15-13	08-28-14	68	United Health Group	4,181		5,872		1,691
03-07-13	09-16-14	75	The TJX Companies, Inc	3,356		4,515		1,159
06-20-06	09-16-14	25	The TJX Companies, Inc	284		1,505		1,221
08-19-13	09-26-14	50	Dollar Tree Stores Inc	2,559		2,793		234
03-12-08	09-26-14	17	Stryker Corporation	1,037		1,385		348
10-10-08	09-26-14	9	Stryker Corporation	460		733		273
04-05-13	09-26-14	14	Johnson & Johnson	1,154		1,498		344
03-28-12	09-26-14	9	Johnson & Johnson	589		963		375
12-21-05	10-01-14	169	Colgate-Palmolive Company	4,675		10,966		6,292
06-10-14	10-01-14	284	NOW Inc	9,307		8,399	-908	
12-20-13	10-01-14	33	NOW Inc	1,026		983	-43	
01-14-14	10-01-14	16	NOW Inc	480		466	-14	
11-20-08	10-01-14	15	3M Company	883		2,086		1,204
12-08-08	10-01-14	15	3M Company	863		2,086		1,224
12-09-08	10-01-14	16	3M Company	903		2,226		1,323
01-15-09	10-01-14	20	3M Company	1,099		2,782		1,683
07-17-14	10-14-14	118	Chicago Bridge and Iron Company	8,121		5,637	-2,484	
07-25-14	10-14-14	71	Chicago Bridge and Iron Company	4,599		3,392	-1,207	
11-30-12	10-21-14	51	YUM! Brands, Inc	3,419		3,555		136
09-28-12	10-21-14	73	YUM! Brands, Inc	4,863		5,088		225
10-15-13	10-21-14	73	YUM! Brands, Inc	4,826		5,088		262
10-15-13	10-21-14	84	Oracle Corporation	2,758		3,215		457

**REALIZED GAINS AND LOSSES
FAY SHWAYDER FOUNDATION
ATTN SUSAN M DEJONG
ACCT #951**

From 12-01-13 Through 11-30-14

Open Date	Close Date	Quantity	Security	Cost Basis	Amort. or Accretion	Proceeds	Gain Or Loss	
							Short Term	Long Term
10-31-07	10-21-14	49	Oracle Corporation	1,077		1,875		798
06-08-10	10-21-14	84	Oracle Corporation	1,826		3,215		1,389
07-19-07	10-21-14	28	Oracle Corporation	579		1,072		493
07-27-07	10-21-14	80	Oracle Corporation	1,593		3,062		1,468
08-22-14	10-31-14	564	334 Westcore Flexible Inc Inst	5,021		4,975	-46	
09-24-13	10-31-14	28	Express Scripts Holding Co	1,739		2,143		405
04-25-13	10-31-14	35	Qualcomm Inc	2,185		2,735		550
05-10-13	10-31-14	59	Cognizant Technology Solutions Corp-CI A	1,920		2,873		953
05-10-13	11-12-14	84	Cognizant Technology Solutions Corp-CI A	2,733		4,474		1,741
09-24-13	11-12-14	67	Express Scripts Holding Co	4,161		5,200		1,039
09-20-11	11-12-14	1	Express Scripts Holding Co	42		78		36
03-24-14	11-19-14	341	191 Oakmark Intl Small Cap I	5,848		5,500	-348	
05-15-13	11-19-14	33	United Health Group	2,029		3,194		1,165
07-27-05	11-19-14	33	PepsiCo Inc	1,808		3,228		1,420
06-24-11	11-19-14	30	Apple Inc	1,398		3,439		2,041
TOTAL GAINS							9,067	108,868
TOTAL LOSSES							-6,147	-1,869
				423,323	0	533,241	2,920	106,998
TOTAL REALIZED GAIN/LOSS		109,918						