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Form **990-PF**Department of the Treasury
Internal Revenue Service**Return of Private Foundation**

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning **DEC 1, 2013**, and ending **NOV 30, 2014**

Name of foundation

THE HERBERT K. YEE AND INEZ F. YEE FDTN

A Employer identification number

68-0344964

Number and street (or P O box number if mail is not delivered to street address)

1301 NORMANDY LANE

Room/suite

B Telephone number

916-443-4271

City or town, state or province, country, and ZIP or foreign postal code

SACRAMENTO, CA 95822C If exemption application is pending, check here ☐

G Check all that apply:

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name changeD 1. Foreign organizations, check here ☐2. Foreign organizations meeting the 85% test, check here and attach computation ☐

H Check type of organization:

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundationE If private foundation status was terminated under section 507(b)(1)(A), check here ☐

I Fair market value of all assets at end of year

(from Part II, col (c), line 16)

J Accounting method: ☒ Cash ☐ Accrual☐ Other (specify) _____F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐>\$ **1,928,317.** (Part I, column (d) must be on cash basis.)**Part I Analysis of Revenue and Expenses**

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

(a) Revenue and expenses per books

(b) Net investment income

(c) Adjusted net income

(d) Disbursements for charitable purposes (cash basis only)

1 Contributions, gifts, grants, etc., received

106,838.**N/A**2 Check ☐ if the foundation is not required to attach Sch B

3 Interest on savings and temporary cash investments

283.**283.****STATEMENT 1**

4 Dividends and interest from securities

42,855.**42,855.****STATEMENT 2**

5a Gross rents

b Net rental income or (loss)

6a Net gain or (loss) from sale of assets not on line 10

<37.>

b Gross sales price for all assets on line 6a

67.

7 Capital gain net income (from Part IV, line 2)

0.

8 Net short-term capital gain

9 Income modifications

10a Gross sales less returns and allowances

b Less Cost of goods sold

c Gross profit or (loss)

11 Other income

12 Total. Add lines 1 through 11.

149,939.**43,138.**

13 Compensation of officers, directors, trustees, etc

0.**0.****0.**

14 Other employee salaries and wages

15 Pension plans, employee benefits

16a Legal fees

50.**0.****50.**

b Accounting fees

3,550.**0.****3,550.**

c Other professional fees

17 Interest

18 Taxes

2,555.**0.****10.**

19 Depreciation and depletion

20 Occupancy

21 Travel, conferences, and meetings

22 Printing and publications

23 Other expenses

176.**176.****0.**

24 Total operating and administrative expenses. Add lines 13 through 23

6,331.**176.****3,610.**

25 Contributions, gifts, grants paid

67,503.**67,503.**

26 Total expenses and disbursements. Add lines 24 and 25

73,834.**176.****71,113.**

27 Subtract line 26 from line 12:

a Excess of revenue over expenses and disbursements

76,105.

b Net investment income (if negative, enter -0-)

42,962.

c Adjusted net income (if negative, enter -0-)

N/A323501
10-10-13

LHA For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

SCANNED SEP 30 2015

Revenue

Operating and Administrative Expenses

STMT 3
STMT 4
STMT 5
STMT 6

28

Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	49,876.	36,221.	36,221.
	2 Savings and temporary cash investments	239,105.	189,776.	189,776.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 7	872,557.	1,011,646.	1,702,320.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment: basis ▶			
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other				
14 Land, buildings, and equipment: basis ▶				
Less: accumulated depreciation ▶				
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	1,161,538.	1,237,643.	1,928,317.	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/>			
	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/>			
	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29 Retained earnings, accumulated income, endowment, or other funds	1,161,538.	1,237,643.		
30 Total net assets or fund balances	1,161,538.	1,237,643.		
31 Total liabilities and net assets/fund balances	1,161,538.	1,237,643.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,161,538.
2 Enter amount from Part I, line 27a	2	76,105.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	1,237,643.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	1,237,643.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a 6 SHARES OF LSI	P	12/23/99	05/06/14
b			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 67.		104.	<37.>
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			<37.>
b			
c			
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	<37.>
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☒ Yes ☐ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

- 2** Total of line 1, column (d)
- 3** Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years
- 4** Enter the net value of noncharitable-use assets for 2013 from Part X, line 5
- 5** Multiply line 4 by line 3
- 6** Enter 1% of net investment income (1% of Part I, line 27b)
- 7** Add lines 5 and 6
- 8** Enter qualifying distributions from Part XII, line 4
- If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

2	
3	
4	
5	
6	
7	
8	

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	859.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	859.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	859.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	867.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	867.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	8.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> 8. Refunded <input type="checkbox"/>	11	0.	

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> CA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address **▶ N/A**

14 The books are in care of **▶ MACIAS GINI & O'CONNELL LLP** Telephone no. **▶ 916-928-4600**
 Located at **▶ 3000 S STREET, STE. 300, SACRAMENTO, CA** ZIP+4 **▶ 95816**

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐
 and enter the amount of tax-exempt interest received or accrued during the year **▶ 15 N/A**

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? **Yes** ☐ **No** ☒
 See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country **▶**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b
Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
If "Yes," list the years ▶ _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 8		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	1,549,422.
b	Average of monthly cash balances	1b	282,783.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	1,832,205.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	1,832,205.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	27,483.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,804,722.
6	Minimum investment return. Enter 5% of line 5	6	90,236.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	90,236.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	859.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	859.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	89,377.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	89,377.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	89,377.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	71,113.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	71,113.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	0.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	71,113.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				89,377.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			70,900.	
b Total for prior years:				
2011 , _____, _____		5,149.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 71,113.				
a Applied to 2012, but not more than line 2a			70,900.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				213.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		5,149.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		5,149.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				89,164.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

N/A

- ☐ 4942(j)(3) or ☐ 4942(j)(5)

- (4) Gross investment income

[illegible]

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
ALFRED YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	52.
ALZHEIMERS ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
AMERICAN CANCER SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	25.
AMERICAN HEART ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	140.
AMERICAN LEGION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	1,175.
Total SEE CONTINUATION SHEET(S) ▶ 3a				67,503.
b Approved for future payment				
NONE				
Total ▶ 3b				

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

THE HERBERT K. YEE AND INEZ F. YEE FDTN

Employer identification number

68-0344964

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE HERBERT K. YEE AND INEZ F. YEE FDTN

68-0344964

Part I Contributors (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	HERBERT & INEZ YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	\$ 106,838.	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions.)

Employer identification number

68-0344964

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u> 1 </u>	<u>1320 SHARES OF COMCAST CORP STOCK.</u> 	\$ <u>64,838.</u>	<u>12/20/13</u>
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 	 	\$ 	
(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
 	 	\$ 	

Name of organization

Employer identification number

THE HERBERT K. YEE AND INEZ F. YEE FDTN

68-0344964

Part III

Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of **exclusively** religious, charitable, etc., contributions of **\$1,000 or less** for the year. (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	
(e) Transfer of gift			
Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee	

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
AMERICAN LUNG ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	36.
AMERICAN RED CROSS 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
ANGEL ISLAND IMMIGRATION STATION FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	1,000.
ASIAN COMMUNITY CENTER 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
ASIAN DENTAL SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	200.
CALIFORNIA STATE MUSEUM 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
CALIFORNIA STATE RAILROAD MUSEUM 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	500.
CAPITOL LIONS CLUB 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	640.
CHINESE BAPTIST CHURCH 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
CHINESE UNITED METHODIST CHURCH 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	6,380.
Total from continuation sheets				66,091.

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CITY OF SACRAMENTO FIRE DEPARTMENT 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	250.
DISABLED VETERANS NATIONAL FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	37.
DR. SUN YET MEMORIAL MUSEUM 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	630.
FIDDLETOWN PRESERVATION SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
FLYING TIGER HISTORICAL ORGANIZATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	135.
INTERNATIONAL COLLEGE OF DENTISTRY GLOBAL FUND 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	2,000.
KVIE 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	75.
LEUKEMIA & LYMPHOMA SOCIETY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	10.
MEALS ON WHEELS 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	161.
MICHAEL J. FOX PARKINSONS FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	500.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PARLYZED VETERAN'S OF AMERICA 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	15.
PIERRE FAUCH DENTAL ACADEMY 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
RONALD REAGAN FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
SACRAMENTO DENTAL SOCIETY FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	2,500.
SACRAMENTO DISTRICT DENTAL SOCIETY FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
SACRAMENTO FOOD BANK 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
SACRAMENTO PIONEER ASSOCIATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	300.
SACRAMENTO ZOO 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
SHRINER'S HOSPITAL FOR CHILDREN 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	20.
ST. JOSEPH INDIAN SCHOOL 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	12.
Total from continuation sheets				

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
SUTTER HOSPITAL FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
SUTTER MEDICAL FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	180.
SUTTER ROSEVILLE MEDICAL FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	5,000.
THE EISENHOWER FOUNDATION 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	44.
UNITED STATES OLYMPIC COMMITTEE 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	40.
UNIVERSITY OF THE PACIFIC 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	13,000.
USO - WOUNDED WARRIORS 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	55.
VETERAN'S OF FOREIGN WARS 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	110.
VFW 1301 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	50.
WORLD WAR II VETERAN'S COMMITTEE 1302 NORMANDY LANE SACRAMENTO, CA 95822		509(A)(1)	PROMOTE ORGANIZATION'S EDUCATIONAL & CHARITABLE PRGR	100.
Total from continuation sheets				

3 Grants and Contributions Paid During the Year (Continuation)**Total from continuation sheets**

FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	280.	280.	
OTHER	3.	3.	
TOTAL TO PART I, LINE 3	283.	283.	

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	42,855.	0.	42,855.	42,855.	
TO PART I, LINE 4	42,855.	0.	42,855.	42,855.	

FORM 990-PF LEGAL FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL FEES	50.	0.		50.
TO FM 990-PF, PG 1, LN 16A	50.	0.		50.

FORM 990-PF ACCOUNTING FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING FEES	3,550.	0.		3,550.
TO FORM 990-PF, PG 1, LN 16B	3,550.	0.		3,550.

FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
CA TAX	10.	0.		10.	
FEDERAL TAX	1,000.	0.		0.	
FEDERAL EXCISE TAX	1,545.	0.		0.	
TO FORM 990-PF, PG 1, LN 18	2,555.	0.		10.	

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES	93.	93.		0.	
OTHER FEES	83.	83.		0.	
TO FORM 990-PF, PG 1, LN 23	176.	176.		0.	

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION	BOOK VALUE		FAIR MARKET VALUE	
INVESTMENTS	669,164.		1,113,873.	
MUTUAL FUNDS	342,482.		588,447.	
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,011,646.		1,702,320.	

FORM 990-PF	PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS	STATEMENT	8
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NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN- SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
HERBERT YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	PRESIDENT & DIRECTOR 2.00	0.	0.	0.
INEZ YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	V. PRES & DIRECTOR 2.00	0.	0.	0.
ALAN YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	SECRETARY & DIRECTOR 2.00	0.	0.	0.
ROBERT RANDALL YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	DIRECTOR 2.00	0.	0.	0.
DOUGLAS YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	DIRECTOR 2.00	0.	0.	0.
H. WESLEY YEE 1301 NORMANDY LANE SACRAMENTO, CA 95822	DIRECTOR 2.00	0.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		0.	0.	0.

FORM 990-PF	PART XV - LINE 1A LIST OF FOUNDATION MANAGERS	STATEMENT	9
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NAME OF MANAGER

HERBERT YEE
INEZ YEE

The Herbert K. Yee and Inez F. Yee Foundation
EIN 68-0344964
Detail Statement - Corporate Stocks Investments
Merrill Lynch

	FY 11/13		FY 11/14	
	Book Value	FMV	Book Value	FMV
960 shares Aegon	44,383.17	8,524.80	44,383.17	7,536.00
38 shares Aegon (Stock Split 104 100)	1,756.83	337.44	1,756.83	298.30
30 shares Aegon (stock dividend)	126.57	266.40	126.57	235.50
24 shares Aegon (stock dividend)	126.20	213.12	126.20	188.40
24 shares Aegon (stock dividend)	149.16	195.36	149.16	172.70
24 shares Aegon (stock dividend)	156.45	186.48	156.45	164.85
24 Alcatel (Lucent)	10,048.00	103.44	10,048.00	85.20
139 AT&T (Bell South)	4,994.06	4,894.19	4,994.06	4,917.82
27 AOL Inc. (stock dividend 12/12/09)	-	1,203.66	-	1,246.32
250 Time Warner Inc (exchg 4/2/09) 98	20,686.95	16,427.50	19,725.06	21,280.00
50 Time Warner Inc (exchg 4/2/09) 99	5,100.69	3,285.50	5,100.69	4,256.00
62 Time Warner Cable Inc (dividend 4/2/09) 98	6,816.04	8,569.64	6,816.04	9,255.36
13 Time Warner Cable Inc (dividend 4/2/09) 99	1,680.60	1,796.86	1,680.60	1,940.64
37 Time Inc.	-	-	961.89	885.78
968 Bank of America	117.00	15,313.76	117.00	16,494.72
200 Chevron Corp	-	-	23,648.64	21,774.00
83 Citigroup, Inc com new (exchange 5/9/2011)	28,391.00	4,392.36	28,391.00	4,479.51
400 Discover Finl Svcs (Stk Div)	-	21,320.00	-	26,220.00
100 Exxon Mobil Corp	4,221.00	9,348.00	4,221.00	9,054.00
1 Fairpoint (stock div 4/04/08)	28.00	-	28.00	-
1,100 Ford Motor	187.00	18,788.00	187.00	17,303.00
24 Frontier Communications (stock dividend 07/08/10)	-	112.32	-	169.20
600 General Electric	28,124.60	15,996.00	28,124.60	15,894.00
900 JP Morgan Chase	43,398.00	51,498.00	43,398.00	54,144.00
6 LSI Corp (Agere)	103.70	48.42	-	-
3930-670 sold 2013 Microsoft (Stock Split 2.1)	9,229.46	124,303.80	9,229.46	155,860.60
1300 Microsoft (12/02 Contrib)	35,282.00	49,569.00	35,282.00	62,153.00
1300 Microsoft (Stock Split 2 1)	35,282.00	49,569.00	35,282.00	62,153.00
2380 Microsoft	50,456.00	90,749.40	50,456.00	113,787.80
2000 Microsoft	42,400.00	76,260.00	42,400.00	95,620.00
1000 Mitsubishi	7,680.00	6,490.00	7,680.00	5,760.00
800 Morgan Stanley	63,400.00	25,040.00	63,400.00	28,144.00
400 Nokia	12,826.80	3,224.00	12,826.80	3,296.00
500 Oracle	10,032.50	17,645.00	10,032.50	21,205.00
20 PGE	418.75	807.40	418.75	1,010.00
48 Procter & Gamble	2,106.25	4,042.56	2,106.25	4,340.64
91 Supervalu	3,285.10	586.95	3,285.10	851.76
10 Travelers Property B	568.10	907.40	568.10	1,044.50
13 Travelers Property A	253.80	1,179.62	253.80	1,357.85
1 Visteon Corp Common Stock	-	78.64	-	98.00
1 WT10 Visteon Corp	-	22.70	-	40.00
100 Venzon (22 shrs stock dividend 02/27/14)	6,159.75	4,962.00	6,159.75	6,171.98
Vodafone Group PLC (exchanged on 02/24 87 Vodafone Grop PL	4,900.00	3,226.83	4,900.00	1,717.85
Wal-Mart Stores, Inc	59,760.00	81,010.00	59,760.00	87,540.00
Comcast	26,185.00	35,149.50	26,185.00	41,471.30
Comcast	-	-	64,838.40	74,989.20
2000 Wells Fargo (2 1 split 8/14/06)	100.00	88,040.00	100.00	108,960.00
336 Wells Fargo	9,860.00	14,790.72	9,860.00	18,305.28
Subtotal Equities	580,780.53	860,475.77	669,163.87	1,113,873.06
11,987 Invesco Charter Funds (aka AIM Charter) (Exchg 4/13/06)	151,200.05	269,827.37	151,200.05	284,458.82
190 Invesco Charter Funds (aka AIM Charter) (Journ Entry 12/22/11)	3,081.80	4,276.90	3,081.80	4,508.82
2,583 Invesco Charter Funds (aka AIM Charter) (Journ Entry 12/22/11)	41,896.26	58,143.33	41,896.26	61,296.16
35 AIM Invesco Charter Funds (aka Charter) (Journ Entry 7/7/08)	576.10	796.40	576.10	830.57
3024 Fidelity Advisor (Biotechnology FD CL C)	-	-	50,706.67	66,389.71
695 Columbia Seligman (Seligman Comm) (12/21/06)	19,933.00	26,582.89	19,933.00	33,744.90
1,583 Putnam Multi Cap Growth (exchange 9/28/10)	75,088.44	116,375.35	75,088.44	137,217.75
Subtotal Mutual Funds	291,775.65	476,002.24	342,482.32	588,446.73
Grand Total	872,556.18	1,336,478.01	1,011,646.19	1,702,319.79