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Department of the Treasury
Internal Revenue Service**Return of Private Foundation**
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.For calendar year 2013 or tax year beginning **DECEMBER 1**, 2013, and ending **NOVEMBER 30**, 2014

Name of foundation THE SOPHRON FOUNDATION		A Employer identification number 52-1199140
Number and street (or P.O. box number if mail is not delivered to street address) 5550 PAXFORD COURT	Room/suite	B Telephone number (see instructions) 410-206-1056
City or town, state or province, country, and ZIP or foreign postal code FAIRFAX, VIRGINIA 22032		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/> E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/> F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ \$ 1,084,390	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments	\$ 7	\$ 7	\$ 7	
	4 Dividends and interest from securities	38,016	38,016	38,016	
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	49,859			
	b Gross sales price for all assets on line 6a \$ 159,329				
	7 Capital gain net income (from Part IV, line 2)		49,859		
	8 Net short-term capital gain			1,894	
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less: Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11	87,882	87,882	39,917		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	30,000			\$ 30,000
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule) SCHEDULE 1	27			27
	b Accounting fees (attach schedule) SCHEDULE 1	5,250			5,250
	c Other professional fees (attach schedule) SCHED. 1	9,379	9,379	9,379	
	17 Interest				
	18 Taxes (attach schedule) (see instructions) SCHED. 2	-0-			-0-
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule) SCHEDULE 3	2,381	625	625	1,756
	24 Total operating and administrative expenses. Add lines 13 through 23	47,037	10,004	10,004	37,033
	25 Contributions, gifts, grants paid	19,097			19,097
26 Total expenses and disbursements. Add lines 24 and 25	66,134	10,004	10,004	\$ 56,130	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	\$ 21,748				
b Net investment income (if negative, enter -0-)		\$ 77,878			
c Adjusted net income (if negative, enter -0-)			\$ 29,913		

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing	\$ 10,976	\$ 14,846	\$ 14,846
	2 Savings and temporary cash investments	93,034	26,151	26,151
	3 Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule) ▶ Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)	56,627	51,145	51,145
	b Investments—corporate stock (attach schedule)	540,211	552,637	552,637
	c Investments—corporate bonds (attach schedule)	132,562	135,390	135,390
	11 Investments—land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	217,395	304,221	304,221
	14 Land, buildings, and equipment: basis ▶ Less: accumulated depreciation (attach schedule) ▶			
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	\$ 1,050,805	\$ 1,084,390	\$ 1,084,390	
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe ▶)			
	23 Total liabilities (add lines 17 through 22)			
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	\$ 1,050,805	\$ 1,084,390	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	1,050,805	1,084,390	
	31 Total liabilities and net assets/fund balances (see instructions)	\$ 1,050,805	\$ 1,084,390	

Part III Analysis of Changes in Net Assets or Fund Balances		
1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	\$ 1,050,805
2 Enter amount from Part I, line 27a	2	21,748
3 Other increases not included in line 2 (itemize) ▶ SCHEDULE 6	3	11,837
4 Add lines 1, 2, and 3	4	1,084,390
5 Decreases not included in line 2 (itemize) ▶	5	
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	\$ 1,084,390

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Page **3****Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES			
b				
c	SCHEDULE 7			
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			
b			
c		SCHEDULE 7	
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			
b			
c			SCHEDULE 7
d			
e			

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	\$ 49,859
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8 }	3	\$ 1,894

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☐ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.			
(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012			
2011			
2010			
2009			
2008			

2	Total of line 1, column (d)	2	
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	
5	Multiply line 4 by line 3	5	
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	
7	Add lines 5 and 6	7	
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary – see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		1	\$	1,558
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2		-0-
3 Add lines 1 and 2		3		1,558
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4		-0-
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5		1,558
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a \$ 1,963			
b Exempt foreign organizations—tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d		7		1,963
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached		8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	\$	405
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax \$ 405 Refunded		11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. \$ N/A (2) On foundation managers. \$ N/A		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. \$ N/A		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		N/A
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► VIRGINIA MARYLAND		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV.</i>	X	
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>		X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶ N/A	13	X	
14	The books are in care of ▶ ROBERT E. GROVE Telephone no. ▶ 443-996-7368 Located at ▶ 2100 SW KNOLLCREST DRIVE PORTLAND, OREGON ZIP+4 ▶ 97225-4965			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here. N/A ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶	16	Yes	No X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? <input type="checkbox"/> Organizations relying on a current notice regarding disaster assistance check here ▶ <input type="checkbox"/>	1b	X
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5): PRIVATE OPERATING FOUNDATION)		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions.)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ▶ 20____, 20____, 20____, 20____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3b	N/A
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:				
(1)	Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(2)	Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(3)	Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(4)	Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
(5)	Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?			5b N/A
	Organizations relying on a current notice regarding disaster assistance check here			<input type="checkbox"/>
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	N/A		<input type="checkbox"/> Yes <input type="checkbox"/> No
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			6b X
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?			7b N/A

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SCHEDULE 9				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000 ▶

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	SCHEDULE 10	
2		
3		
4		

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1	NONE MADE IN THE FISCAL YEAR ENDING 11/30/14	\$ -0-
2	NONE MADE IN THE FISCAL YEAR ENDING 11/30/14	-0-
All other program-related investments See instructions		
3	NONE MADE IN THE FISCAL YEAR ENDING 11/30/14	-0-
Total. Add lines 1 through 3 ▶		\$ -0-

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	\$ 980,105
b Average of monthly cash balances	1b	87,604
c Fair market value of all other assets (see instructions)	1c	-0-
d Total (add lines 1a, b, and c)	1d	1,067,709
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	1,067,709
4 Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	16,016
5 Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,051,693
6 Minimum investment return. Enter 5% of line 5	6	\$ 52,585

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☒ and do not complete this part.)

1 Minimum investment return from Part X, line 6		
2a Tax on investment income for 2013 from Part VI, line 5	2a	
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	\$ 56,130
b Program-related investments—total from Part IX-B	1b	-0-
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	-0-
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	-0-
b Cash distribution test (attach the required schedule)	3b	-0-
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	56,130
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	-0-
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	\$ 56,130

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

PRIVATE OPERATING FOUNDATION

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only				
b Total for prior years: 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e				
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ _____				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

THE SOPHRON FOUNDATION

Form 990-PF (2013)

52-1199140

Page **10****Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. ▶ AUGUST 26, 1981

b Check box to indicate whether the foundation is a private operating foundation described in section ☒ 4942(j)(3) or ☐ 4942(j)(5)

2a	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	\$ 29,913	\$ 31,984	\$ 41,246	\$ 49,828	\$ 152,971
b 85% of line 2a	25,426	27,186	35,059	42,354	130,025
c Qualifying distributions from Part XII, line 4 for each year listed	56,130	78,472	66,964	53,593	255,159
d Amounts included in line 2c not used directly for active conduct of exempt activities	-0-	-0-	-0-	-0-	-0-
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c	56,130	78,472	66,964	53,593	255,159
3 Complete 3a, b, or c for the alternative test relied upon.					
a "Assets" alternative test—enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed	35,057	35,350	38,012	40,135	148,554
c "Support" alternative test—enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

SCHEDULE 11

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d. SCHEDULE 11

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

N/A

b The form in which applications should be submitted and information and materials they should include:

N/A

c Any submission deadlines:

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

N/A

Form 990-PF (2013)

Page 11

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Page 12

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue.					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	\$ 7	
4	Dividends and interest from securities			14	38,016	
5	Net rental income or (loss) from real estate:					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			18	49,859	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue a					
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				87,882	
13	Total. Add line 12, columns (b), (d), and (e)				13 \$ 87,882	

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

Yes	No
-----	----

[illegible]

(1) Cash	
(2) Other assets	

(1) Sales of assets to a noncharitable exempt organization	
(2) Purchases of assets from a noncharitable exempt organization	
(3) Rental of facilities, equipment, or other assets	
(4) Reimbursement arrangements	
(5) Loans or loan guarantees	
(6) Performance of services or membership or fundraising solicitations	

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

(a) Name of organization	(b) Type of organization	(c) Description of relationship
--------------------------	--------------------------	---------------------------------

**Sign
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.


Signature of officer or trustee

7/7/15
Date

PRESIDENT

Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

**Paid
Preparer
Use Only**

Print/Type preparer's name
MICHAEL D. DIBERARDO

Preparer's signature
Michael H. H. B. [Signature]

Date
6/28/15

Check ☒ if self-employed

PTIN	P01303478
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Firm's name ▶ MICHAEL D. DIBERARDO, CPA

Firm's EIN ▶	N/A
--------------	-----

Firm's address ▶ 11 L RAMBLING OAKS WAY BALTIMORE, MD 21228

Phone no	410-788-6822
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THE SOPHRON FOUNDATION
52-1199140
FORM 990-PF 11/30/14

SCHEDULE 1
PART I - LEGAL AND ACCOUNTING AND OTHER

Legal - annual report and filing fee	\$27
	=====
Accounting - bookkeeping through compilation of financial statements, and preparation of the annual return	\$5,250
	=====
Other - brokerage account maintenance fees	\$9,379
	=====

SCHEDULE 2
PART I - TAXES

Federal Excise Taxes	\$0
	=====

SCHEDULE 3
PART I - OTHER EXPENSES

Directors' and Officers' Insurance	\$1,716
Amortization of Bond Premiums and Discounts (Schedule 4)	625
Other	40

	\$2,381
	=====

THE SOPHRON FOUNDATION
52-1199140
FORM 990-PF 11/30/14

SCHEDULE 4
BOND PREMIUM AND DISCOUNT AMORTIZATION

	Amortizable Amount	Prior Years	Current year
\$50,000 U.S. Treasury Bonds @ 11.25% due 2/15/2015; 20.345 years to maturity purchsd 10/11/94 at a value of \$65,469	\$15,469	\$14,548	\$760
\$30,000 Goldman Sachs Bonds @ 6.0% due 6/15/2020; 8.56 years to maturity purchsd 11/22/11 at a value of \$29,563	(437)	(106)	(51)
\$50,000 QWest Capital Bonds @ 7.625% due 8/3/2021; 19.5 years to maturity purchsd 1/28/02 at a value of \$49,920	(80)	(47)	(4)
\$20,000 Morgan Stanley Bonds @ 5.5% due 8/14/2017; 5.04 years to maturity purchsd 8/1/12 at a value of \$19,800	(200)	(53)	(40)
\$25,000 Murphy Oil Bonds @ 4.0% due 6/1/2022; 9.24 years to maturity purchsd 3/7/13 at a value of \$24,626	(374)	(30)	(40)
column (b)			\$625

SCHEDULE 5
PART II - INVESTMENTS

	End-of-Year Market Value
U.S. Government Bonds	\$51,145
AT&T, Inc.	\$22,997
Stratasys Ltd	15,296
Johnson & Johnson	21,650
Saul Centers Sr. Cumulative Preferred	10,148
3M Co.	20,011
3-D Systems Corp.	7,056
General Electric Co.	36,026

THE SOPHRON FOUNDATION
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FORM 990-PF 11/30/14

SCHEDULE 5
PART II - INVESTMENTS

Amazon.Com, Inc.	17,609
Duke Energy Corp.	22,895
Halliburton Co.	10,550
Hercules Technology Growth Cap, Inc.	12,810
Google, Inc.	13,546
Prudential Financial, Inc.	14,922
Facebook, Inc.	18,260
Health Care REIT, Inc.	18,415
Intel Corp.	19,742
Amgen, Inc.	18,184
Apple, Inc.	37,463
Chevron Corp.	13,609
Coca Cola Co.	20,173
ConocoPhillips	16,518
Cypress Semiconductor Corp.	12,720
Kinder Morgan, Inc.	16,540
Las Vegas Sands Corp.	14,330
Starbucks Corp.	16,892
Pfizer, Inc.	16,198
Southern Co.	15,984
United Technologies Corp.	17,062
BCE, Inc	18,768
Under Armour, Inc.	19,935
Hollyfrontier Corp.	16,328

\$552,637
=====

\$20,000 Morgan Stanley @ 5.5% due 8/14/17	\$20,479
\$30,000 Goldman Sachs Group @ 6% due 6/15/20	34,753
\$50,000 QWest Capital Funding @ 7.625% due 8/3/21	55,750
\$25,000 Murphy Oil @ 4% due 6/1/22	24,408

\$135,390
=====

Chesapeake Lodging Trust Cumulative Preferred	\$13,115
Kayne Anderson Energy Total Return Fund	18,722
First Trust ETF ARCA Biotech Index Fund	25,475
Blackrock Equity Dividend Fund	59,424
Hartford Floating Rate Fund	36,114
First Eagle Global Fund	33,442
First Eagle American Fund	61,659
American Funds Developing World Growth and Income Fund	30,790
Legg Mason Global Asset Management Trust	25,480

\$304,221
=====

THE SOPHRON FOUNDATION
52-1199140
FORM 990-PF 11/30/14

SCHEDULE 6
PART III - INCREASES

Unrealized Investment Gains \$11,837
=====

SCHEDULE 7
PART IV - CAPITAL GAINS AND LOSSES

Description		Date Acquired	Date Sold	Gross Sales Price	Cost Plus Expenses	Gain/ (Loss)	
50 shs Las Vegas Sands Corp.	P	9/4/13	1/22/14	\$4,023	\$2,940	\$1,083	ST
500 shs Myriad Genetics Inc.	P	11/21/13	2/12/14	15,351	14,694	657	ST
150 shs Pfizer Inc.	P	7/30/13	6/5/14	4,452	4,485	(33)	ST
						1,707	
Capital Gain Distr						187	
						\$1,894 =====	
700 shs Baytex Energy Corp.	P	5/27/05	12/5/13	\$27,504	\$7,803	\$19,701	LT
550 shs Baytex Energy Corp.	P	5/27/05	12/23/13	21,528	6,131	15,397	LT
340 shs Newmont Mining Corp.	P	5/13/09	12/23/13	7,646	15,102	(7,456)	LT
600 shs JPMorgan Chase Bank 5.5% Preferred	P	9/13/12	12/24/13	12,214	14,992	(2,778)	LT
500 shs Blackrock Energy & Res Tr	P	2/12/10	1/27/14	11,815	11,636	179	LT
13 shs Amazon .com Inc.	P	3/26/12	1/28/14	5,065	2,629	2,436	LT

THE SOPHRON FOUNDATION
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FORM 990-PF 11/30/14

Description		Date Acquired	Date Sold	Gross Sales Price	Cost Plus Expenses	Gain/ (Loss)	
150 shs PEPCO Holdings, Inc.	P	1/21/09	4/30/14	4,014	2,592	1,422	LT
850 shs PEPCO Holdings, Inc.	P	5/26/09	4/30/14	22,745	10,805	11,940	LT
200 shs AT&T, Inc.	P	12/18/12	6/5/14	6,968	6,870	98	LT
75 shs Health Care REIT, Inc.	P	12/3/12	6/5/14	4,851	4,434	417	LT
25 shs 3M Co	P	1/10/12	6/5/14	3,588	2,113	1,475	LT
150 shs Intel Corp.	P	10/24/12	9/15/14	5,178	3,258	1,920	LT
15 shs Amgen, Inc.	P	9/4/13	10/30/14	2,387	1,693	694	LT
				\$159,329	\$112,177	45,445	
Capital Gain Distr						2,520	
						\$47,965	

THE SOPHRON FOUNDATION
52-1199140
FORM 990-PF 11/30/14

SCHEDULE 8
PART VII-B LINE 1a(4)

Robert Grove, and then successor Hope Grove, received compensation at the rate of \$1,000 per month; and Daniel Grove and Kathryn Hibschan received compensation at the rate of \$750 per month during the fiscal year.

Officers and directors are reimbursed periodically for their out-of-pocket spending on Foundation business.

SCHEDULE 9
PART VIII

The following are the officers and directors of The Sophron Foundation as of 11/30/14:

- a) Robert E. Grove, Director. 5550 Paxford Court, Fairfax, Virginia 22032. He devotes a few hours/year to the Foundation.
- b) Hope E. Grove, Director and Secretary/Treasurer. 5550 Paxford Court, Fairfax, Virginia 22032. She devotes an average of 15 hours/month to the Foundation.
- c) Daniel R. Grove, Director and President. 5550 Paxford Court, Fairfax, Virginia 22032. He devotes an average of 15 hours/month to the Foundation.
- d) Catherine Grove, Director. 5550 Paxford Court, Fairfax, Virginia 22032. She devotes a few hours/year to the Foundation.
- e) Alexis H. Manchio, Director. 5550 Paxford Court, Fairfax, Virginia 22032. She devotes a few hours/year to the Foundation.

THE SOPHRON FOUNDATION
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FORM 990-PF 11/30/14

- f) Jeffrey V. Manchio, Director. 5550 Paxford Court, Fairfax, Virginia 22032. He devotes a few hours/year to the Foundation.
- g) Kathryn A. Hibschan, Director and Vice President. 5550 Paxford Court, Fairfax, Virginia 22032. She devotes a few hours/month to the Foundation.
- h) Judith E. Hibschan, Director. 5550 Paxford Court, Fairfax, Virginia 22032. She devotes a few hours/year to the Foundation.

Daniel Grove, President, and Kathryn Hibschan, Vice President are each paid \$750 per month, totalling \$18,000 this fiscal year, in compensation. Robert Grove, Secretary/Treasurer was paid \$1,000 per month through September, totalling \$10,000 in compensation. Hope Grove, Secretary/Treasurer is paid \$1,000 per month, totalling \$2,000, from October through November, in compensation. No other officer or director is paid any amount either directly or indirectly.

The Foundation makes no contributions to any retirement plan for any of the officers or directors. None of the officers or directors has an expense account or any other allowance.

SCHEDULE 10
PART IX-A

As indicated in Schedule 12, the Foundation engages in non-significant-involvement grant programs.

SCHEDULE 11
PART XV

- Line 1a - The late Tom Rogers. His aggregate contributions constitute nearly all the contributions to the Foundation. Please see the note for Part I given in earlier years.
- Line 2 - The Sophron Foundation is a private operating foundation, and has no "standard" grant-giving procedure.

THE SOPHRON FOUNDATION
52-1199140
FORM 990-PF 11/30/14

SCHEDULE 12
PART XV LINE 3a

All of the following grants were made to IRS code section 509(a)(1), (2), or (3) organizations (PC) during the Foundation's fiscal year ending 11/30/14. There is no relationship by birth/family or financial circumstance between any grant recipient and any Foundation officer or director.

A grant was made to Marathon Education Partners Inc.
610 SW Alder Street Suite 921, Portland, Oregon 97205 to
strengthen the functions essential to their strong monitoring
program between caring adults and talented low-income
children seeking a college education. \$10,000

A grant was made to the McAuliffe-Shepard Discovery
Center, 2 Institute Drive, Concord, New Hampshire 03301 to
support eleven students' tuition and meals at summer camp in
the Touch the Future, Inc. program, that promotes the
exploration of astronomy, aviation, earth and space science. 3,835

A grant was made to Bradley Angle House, P.O. Box 40132,
Portland, Oregon 97212 to provide holiday gifts for women and
children at this domestic violence shelter. 2,130

A grant was made to Catholic Charities, Inc., 2740 SE
Powell Boulevard, Portland, Oregon 97202 for clothing and
school supplies for a needy family residing at Kateri Park
Apartments. 1,219

Monies were provided to a Foundation officer and
director to purchase "stocking stuffers" for distribution to
clientele of the following organization at Christmastime.
The stockings generally contain small items of winter
clothing, toys, games and candy, but also items more
pertinent to the nature of the donee organization.

Ozone House
1705 Washtenaw Avenue
Ann Arbor, Michigan 48104 1,913

\$19,097
=====

**Application for Extension of Time To File an
Exempt Organization Return**

OMB No 1545-1709

► **File a separate application for each return.**► **Information about Form 8868 and its instructions is at www.irs.gov/form8868.**

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form).

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868.

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension—check this box and complete Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns.

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions. THE SOPHRON FOUNDATION	Employer identification number (EIN) or 52-1199140
	Number, street, and room or suite no. If a P.O. box, see instructions. 5550 PAXFORD COURT	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions. FAIRFAX, VIRGINIA 22032	

Enter the Return code for the return that this application is for (file a separate application for each return) ☐ **0** ☐ **4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ► **HOPE E. GROVE 28 HAVERHILL COURT ANN ARBOR, MI 48105**

Telephone No. ► **443-996-7368**

Fax No. ►

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for.

- 1** I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until **JULY 15**, 20 **15**, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ☐ calendar year 20 ____ or

► ☒ tax year beginning **DECEMBER 1**, 20 **13**, and ending **NOVEMBER 30**, 20 **14**.

- 2** If the tax year entered in line 1 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	3a	\$	1,558
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit.	3b	\$	1,963
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$	-0-

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** and check this box ☐ **Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868.
- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of ☐ Telephone No. ☐ Fax No. ☐
- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) ☐. If this is for the whole group, check this box ☐. If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until _____, 20____.
- 5 For calendar year _____, or other tax year beginning _____, 20____, and ending _____, 20____.
- 6 If the tax year entered in line 5 is for less than 12 months, check reason: ☐ Initial return ☐ Final return
☐ Change in accounting period
- 7 State in detail why you need the extension _____

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a	\$
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b	\$
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c	\$

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ☐Title ☐Date ☐

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