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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

- ▶ Do not enter Social Security numbers on this form as it may be made public.
▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning Dec 1, 2013, and ending Nov 30, 2014

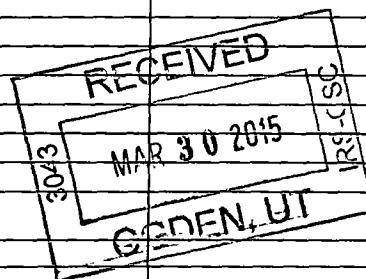
Name of foundation FVB FOUNDATION, INC.		A Employer identification number 47-0712873
Number and street (or P.O. box number if mail is not delivered to street address) 10907 COTTONWOOD LANE		B Telephone number (see the instructions) (402) 496-3111
City or town, state or province, country and ZIP or foreign postal code OMAHA NE 68164-3715		C If exemption application is pending, check here ☐
G Check all that apply	☐ Initial return ☐ Final return ☐ Address change	☐ Initial Return of a former public charity ☐ Amended return ☐ Name change
H Check type of organization ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation		D 1 Foreign organizations, check here ☐ 2 Foreign organizations meeting the 85% test, check here and attach computation ☐
I Fair market value of all assets at end of year (from Part II, column (c), line 16) \$ 1,408,533.	J Accounting method ☒ Cash ☐ Accrual ☐ Other (specify) _____	E If private foundation status was terminated under section 507(b)(1)(A), check here ☐
(Part I, column (d) must be on cash basis)		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses

(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
------------------------------------	---------------------------	-------------------------	---

REVENUE	1 Contributions, gifts, grants, etc., received (all sch)				
	2 Ck ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	49,184.	49,184.		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a				
	7 Capital gain net income (from Part IV, line 2)		0.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit/(loss) (all sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11.	49,184.	49,184.			
ADMINISTRATIVE EXPENSES	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch)				
	c Other prof fees (attach sch)				
	17 Interest				
	18 Taxes (attach schedule) (see instrs) TAX.	235.			235.
	19 Depreciation (attach sch) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	235.			235.
25 Contributions, gifts, grants paid	473,900.			473,900.	
26 Total expenses and disbursements. Add lines 24 and 25	474,135.			474,135.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements	-424,951.				
b Net investment income (if negative, enter -0-)		49,184.			
c Adjusted net income (if negative, enter -0-)					



Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions.)

Beginning of year

End of year

(a) Book Value

(b) Book Value

(c) Fair Market Value

ASSETS

1	Cash — non-interest-bearing	0.		
2	Savings and temporary cash investments			
3	Accounts receivable			
	Less allowance for doubtful accounts			
4	Pledges receivable			
	Less allowance for doubtful accounts			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach sch)			
	Less allowance for doubtful accounts			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10 a	Investments — U S and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule)			
	c Investments — corporate bonds (attach schedule)			
11	Investments — land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
12	Investments — mortgage loans			
13	Investments — other (attach schedule)	1,335,404.	885,364.	1,407,033.
14	Land, buildings, and equipment basis			
	Less accumulated depreciation (attach schedule)			
15	Other assets (describe)	0.	1,500.	1,500.
16	Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I).	1,335,404.	886,864.	1,408,533.

LIABILITIES

17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, & other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe)			
23	Total liabilities (add lines 17 through 22)			

FUND ASSETS

	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.	X		
27	Capital stock, trust principal, or current funds	1,335,404.	886,864.	
28	Paid-in or capital surplus, or land, building, and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	1,335,404.	886,864.	
31	Total liabilities and net assets/fund balances (see instructions)	1,335,404.	886,864.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	1,335,404.
2	Enter amount from Part I, line 27a	2	-424,951.
3	Other increases not included in line 2 (itemize)	3	
4	Add lines 1, 2, and 3	4	910,453.
5	Decreases not included in line 2 (itemize) See Other Decreases Stmt	5	23,589.
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	886,864.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)	(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a SEE ATTACHED SCHEDULE	P	12/07/09	03/03/14
b SEE ATTACHED SCHEDULE	P	09/02/09	10/01/14
c CAPITAL GAIN DISTRIBUTIONS	P	12/07/09	07/01/14
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 437,196.		462,355.	-25,159.
b 50,000.		50,694.	-694.
c 2,265.		0.	2,265.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	
a			-25,159.
b			-694.
c			2,265.
d			
e			

2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	-23,588.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)			
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	8,158.	1,664,400.	0.004901
2011	25,258.	1,554,382.	0.016250
2010	105,136.	1,469,063.	0.071567
2009	280,734.	1,549,129.	0.181221
2008	121,323.	1,529,860.	0.079303

2 Total of line 1, column (d)	2	0.353242
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.070648
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	1,401,847.
5 Multiply line 4 by line 3	5	99,038.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	492.
7 Add lines 5 and 6	7	99,530.
8 Enter qualifying distributions from Part XII, line 4	8	474,135.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VII Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1			
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	492.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	492.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	492.
6 Credits/Payments			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a	1,500.	
b Exempt foreign organizations - tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7	1,500.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,008.	
11 Enter the amount of line 10 to be credited to 2014 estimated tax	11	1,008.	Refunded

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?		X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation \$ _____ (2) On foundation managers \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV.	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) NE - Nebraska		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address N/A				
14	The books are in care of ▶ DAVID WOLFGAM Telephone no ▶ (402) 496-3111 Located at ▶ 10907 COTTONWOOD LANE OMAHA NE ZIP + 4 ▶ 68164			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 — Check here and enter the amount of tax-exempt interest received or accrued during the year ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If 'Yes,' enter the name of the foreign country ▶				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐ 1 b		
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? ☐ 1 c		X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years ▶ 20 __, 20 __, 20 __, 20 __		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement — see instructions) 2 b		
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20 __, 20 __, 20 __, 20 __		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) 3 b		
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4 a		X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4 b		X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?Organizations relying on a current notice regarding disaster assistance check here ☐

5 b

c If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d)

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6 b

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No

7 b

b If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
FRANCES V BLUMKIN OMAHA NE 68132	PRESIDENT 1.00	0.	0.	0.
RON BLUMKIN OMAHA NE 68114	SECY/TREA 1.00	0.	0.	0.
NORMAN VEITZER OMAHA NE 68132	DIRECTOR 1.00	0.	0.	0.
IRVIN BLUMKIN OMAHA NE 68114	DIRECTOR 1.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 — see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
- - - - -		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 	
2 	
All other program-related investments See instructions 3 	
Total. Add lines 1 through 3	

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1 a	1,423,195.
b	Average of monthly cash balances	1 b	
c	Fair market value of all other assets (see instructions)	1 c	
d	Total (add lines 1a, b, and c)	1 d	1,423,195.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	1,423,195.
4	Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	21,348.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,401,847.
6	Minimum investment return. Enter 5% of line 5	6	70,092.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	70,092.
2 a	Tax on investment income for 2013 from Part VI, line 5	2 a	492.
b	Income tax for 2013 (This does not include the tax from Part VI)	2 b	
c	Add lines 2a and 2b	2 c	492.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	69,600.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	69,600.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	69,600.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	474,135.
b	Program-related investments — total from Part IX-B.	1 b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3 a	
b	Cash distribution test (attach the required schedule)	3 b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	474,135.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	492.
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	473,643.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				69,600.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years 20__ 20__ 20__				
3 Excess distributions carryover, if any, to 2013				
a From 2008	45,589.			
b From 2009	204,268.			
c From 2010	34,333.			
d From 2011	0.			
e From 2012	0.			
f Total of lines 3a through e	284,190.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 474,135.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)				
d Applied to 2013 distributable amount				69,600.
e Remaining amount distributed out of corpus	404,535.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	688,725.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount — see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount — see instructions			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	45,589.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	643,136.			
10 Analysis of line 9				
a Excess from 2009	204,268.			
b Excess from 2010	34,333.			
c Excess from 2011	0.			
d Excess from 2012	0.			
e Excess from 2013	404,535.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a 'Assets' alternative test — enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

FRANCES V BLUMKIN

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc, Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year SEE ATTACHED		509 (a) (1)	CHARITABLE	473,900.
Total			▶ 3 a	473,900.
b Approved for future payment				
Total			▶ 3 b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclu- sion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies .					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments					
4	Dividends and interest from securities			14	49,184.	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property					
6	Net rental income or (loss) from personal property . . .					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory . .					
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue					
a				1		
b						
c						
d						
e						
12	Subtotal. Add columns (b), (d), and (e)				49,184.	
13	Total. Add line 12, columns (b), (d), and (e)					49,184.

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

[illegible]

TEEA0503 07/11/13

Form 990-PF, Page 2, Part III, Line 5

Other Decreases Stmt

NET CAPITAL LOSSES	23,588.
ROUNDING	1.
Total	<u>23,589.</u>

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
MORGAN STANLEY	885,364.	1,407,033.
Total	<u>885,364.</u>	<u>1,407,033.</u>

Form 990-PF, Page 2, Part II, Line 15

Other Assets Stmt

Line 15 - Other Assets:	Beginning Year Book Value	End of Year	
		Book Value	Fair Market Value
PREPAID EXCISE TAX	0.	1,500.	1,500.
Total	<u>0.</u>	<u>1,500.</u>	<u>1,500.</u>

Supporting Statement of:

Form 990-PF, pl1/Line 3a, Amount-1

Description	Amount
SEE ATTACHED	473,900.
Total	<u>473,900.</u>

02/09/2015 13:08

11/30/2014

GENERAL LEDGER
UNAUDITED

PAGE 1

ACCOUNT	DATE	DESCRIPTION	JS	REF	DEBITS	CREDITS
901		CONTRIBUTIONS		BAL. FWD.		
901	12/13	FOOD BANK	1	1002	100.00	
901	12/18	OMAHA CONSERVATORY OF MUS IC	1	1004	200.00	
901	12/31	JEWISH FEDERATIOM	1	1	23,000.00	
901	1/02	AMERICAN PARKINSON ASSN	1	2100	100.00	
901	3/17	KOL SHOFAR	1	1005	500.00	
901	3/19	CHRISTIAN URBAN EDUCATION SERVICE	1	4	250,000.00	
901	3/19	UNIVERSITY OF NEBRASKA	1	4	200,000.00	
		ACCOUNT TOTAL			\$473,900.00	
		NEW BALANCE			\$473,900.00	

CURRENT PERIOD LEDGER TOTAL \$473,900.00

YEAR-TO-DATE LEDGER TOTAL \$473,900.00

02/09/2015 13:08

11/30/2014

GENERAL LEDGER
UNAUDITED

PAGE 1

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901	3/17	KOL SHOFAR	1	1005	500.00	
901	3/19	CHRISTIAN URBAN EDUCATION SERVICE	1	4	250,000.00	
901	3/19	UNIVERSITY OF NEBRASKA	1	4	200,000.00	
		ACCOUNT TOTAL			\$473,900.00	
		NEW BALANCE			\$473,900.00	

CURRENT PERIOD LEDGER TOTAL \$473,900.00

YEAR-TO-DATE LEDGER TOTAL \$473,900.00



CLIENT STATEMENT | For the Period March 1-31, 2014

Account Detail

Active Assets Account
361-114697-764

INTERESTED PARTY COPY

DEBIT CARD & CHECKING ACTIVITY

CHECKS WRITTEN

Date	Activity Type	Check Number	Activity Type	Payee	Expense Category	Credits/(Debits)
3/9	Written	3/17	Check	KOL SHOFAR		\$(500.00)
TOTAL CHECKS WRITTEN						\$(500.00)

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY

Date	Activity Type	Description	Credits/(Debits)
3/4	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST	
3/12	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST	\$120.00
3/18	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST	660.00
3/19	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST	199,905.60
3/20	Automatic Redemption	MS ACTIVE ASSETS MONEY TRUST	237,195.62
3/31	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST	(450,000.00)
3/31	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST	37.84
NET ACTIVITY FOR PERIOD			0.21
REALIZED GAIN/(LOSS) DETAIL			\$(12,080.73)

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
IVY LIMITED TERM BD A	12/07/09	03/13/14	4,141.585	\$45,303.47	\$49,999.99	\$(4,696.52)	1 ADJUSTED 05/04/12
	12/10/09	03/13/14	23.408	256.05	260.06	(4.01)	1 ADJUSTED 05/04/12
	12/10/09	03/13/14	7.684	84.05	85.37	(1.32)	1 ADJUSTED 05/04/12
	12/31/09	03/13/14	26.414	288.93	290.82	(1.89)	1 ADJUSTED 05/04/12
	01/27/10	03/13/14	25.387	277.70	281.29	(3.59)	1 ADJUSTED 05/04/12
	02/26/10	03/13/14	34.476	377.12	382.68	(5.56)	1 ADJUSTED 05/04/12
	03/26/10	03/13/14	28.790	314.92	318.13	(3.21)	1 ADJUSTED 05/04/12
	05/18/10	03/13/14	4.925	53.87	54.62	(0.75)	
	05/28/10	03/13/14	28.131	307.72	311.69	(3.97)	
	06/28/10	03/13/14	27.871	304.87	310.76	(5.89)	
	07/28/10	03/13/14	26.253	287.17	293.77	(6.60)	
	08/30/10	03/13/14	28.434	311.03	319.88	(8.85)	
	09/28/10	03/13/14	25.144	275.04	284.38	(9.34)	
	10/28/10	03/13/14	25.992	284.32	293.19	(8.87)	

Account Detail

Active Assets Account
361-114697-764

FVB FOUNDATION
FRANCES BLUMKIN

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	11/29/10	03/13/14	27,793	304,02	312,39	(8,37)	
	12/09/10	03/13/14	29,109	318,41	323,40	(4,99)	
	12/28/10	03/13/14	25,080	274,34	277,64	(3,30)	
	01/03/11	03/13/14	5,386	58,92	59,73	(8,1)	
	01/28/11	03/13/14	20,907	228,69	232,28	(3,59)	
	02/28/11	03/13/14	26,093	285,42	288,59	(3,17)	
	03/28/11	03/13/14	22,835	249,78	252,33	(2,55)	
	04/28/11	03/13/14	26,174	286,31	290,53	(4,22)	
	05/31/11	03/13/14	14,298	156,40	159,71	(3,31)	
	06/28/11	03/13/14	7,861	85,99	87,96	(1,97)	
	07/28/11	03/13/14	8,166	89,33	91,21	(1,88)	
	08/29/11	03/13/14	8,248	90,22	92,38	(2,16)	
	09/28/11	03/13/14	7,834	85,69	87,27	(1,58)	
	10/28/11	03/13/14	8,055	88,11	89,65	(1,54)	
	11/28/11	03/13/14	8,332	91,14	92,82	(1,68)	
	12/08/11	03/13/14	20,460	223,81	227,52	(3,71)	
	01/13/12	03/13/14	9,386	102,67	104,47	(1,80)	
	01/30/12	03/13/14	6,882	75,28	77,01	(1,73)	
	02/28/12	03/13/14	7,328	80,16	82,07	(1,91)	
	03/28/12	03/13/14	6,775	74,11	75,68	(1,57)	
	04/30/12	03/13/14	7,814	85,47	87,52	(2,05)	
	05/25/12	03/13/14	6,737	73,69	75,25	(1,56)	
	06/27/12	03/13/14	6,947	75,99	77,67	(1,68)	
	07/27/12	03/13/14	7,038	76,99	78,97	(1,98)	
	08/27/12	03/13/14	6,525	71,37	73,21	(1,84)	
	09/27/12	03/13/14	6,931	75,82	78,04	(2,22)	
	10/26/12	03/13/14	6,358	69,55	71,46	(1,91)	
	11/27/12	03/13/14	6,360	69,57	71,49	(1,92)	
	12/13/12	03/13/14	12,702	138,94	142,26	(3,32)	
	12/31/12	03/13/14	7,161	78,33	80,20	(1,87)	
	01/25/13	03/13/14	5,068	55,44	56,66	(1,22)	
	02/27/13	03/13/14	6,094	66,66	68,19	(1,53)	
	05/06/11	03/13/14	4,476,276	44,623,01	50,000,00	(5,376,99)	
	06/16/11	03/13/14	43,451	433,15	468,40	(35,25)	
	09/15/11	03/13/14	47,286	471,38	499,34	(27,96)	
	12/29/11	03/13/14	245,749	2,449,82	2,437,83	11,99	
	03/22/12	03/13/14	40,172	400,47	422,61	(22,14)	
	06/21/12	03/13/14	22,011	219,42	226,49	(7,07)	
	09/20/12	03/13/14	33,860	337,54	375,85	(38,31)	

PIMCO ALL ASSET ALL AUTHORITY A



Account Detail

Active Assets Account
361-114697-764

FVB FOUNDATION
FRANCES BLUMKIN

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
PIMCO INCOME A	12/27/12	03/13/14	140 906	1,404 66	1,569 69	(165 03)	
	12/31/12	03/13/14	27 912	278 25	308 99	(30 74)	
	06/29/11	03/13/14	1,445 072	17,987 24	16,748 38	1,238 86	
	07/31/12	03/13/14	41 276	513 77	482 10	31 67	
	08/31/12	03/13/14	40 793	507 76	484 21	23 55	
	09/28/12	03/13/14	39 796	495 35	486 31	9 04	
	10/31/12	03/13/14	39 814	495 58	488 52	7 06	
	11/30/12	03/13/14	39 652	493 56	490 50	3 06	
	12/12/12	03/13/14	40 993	510 25	507 90	2 35	
	12/12/12	03/13/14	15 478	192 66	191 77	0 89	
	12/27/12	03/13/14	71 053	884 42	878 21	6 21	
	12/31/12	03/13/14	40 062	498 66	495 17	3 49	
	01/31/13	03/13/14	39 973	497 56	501 26	(3 70)	
	02/28/13	03/13/14	40 111	499 27	503 39	(4 12)	
	09/02/09	03/12/14	16,133 645	174,721 71	178,438 11	(3,716 40)	1 ADJUSTED 03/12/14
	05/03/10	03/12/14	15 748	170 55	175 28	(4 73)	
	06/01/10	03/12/14	46 018	498 36	510 80	(12 44)	
	07/01/10	03/12/14	51 411	556 76	578 89	(22 13)	
	08/02/10	03/12/14	54 367	588 78	619 78	(31 00)	
	09/01/10	03/12/14	49 771	539 00	574 36	(35 36)	
	10/01/10	03/12/14	51 151	553 95	593 35	(39 40)	
	11/01/10	03/12/14	56 451	611 34	659 91	(48 57)	
	12/01/10	03/12/14	59 666	646 16	685 56	(39 40)	
	08/01/11	03/12/14	56 161	608 20	623 39	(15 19)	
	02/01/12	03/12/14	32 961	356 95	366 52	(9 57)	W
	02/01/12	03/12/14	28 837	312 30	320 67	(8 37)	
	03/01/12	03/12/14	57 215	619 62	636 23	(16 61)	
	04/02/12	03/12/14	71 951	779 20	797 94	(18 74)	
	05/01/12	03/12/14	66 086	715 69	741 48	(25 79)	
	05/31/12	03/12/14	73 364	794 51	827 55	(33 04)	
	06/29/12	03/12/14	64 564	699 21	729 57	(30 36)	
	07/31/12	03/12/14	50 268	544 38	576 57	(32 19)	
	08/31/12	03/12/14	53 641	580 91	616 87	(35 96)	
	09/28/12	03/12/14	43 222	468 08	500 51	(32 43)	
	10/31/12	03/12/14	65 865	713 29	763 38	(50 09)	
	11/30/12	03/12/14	67 446	730 42	783 72	(53 30)	
	12/12/12	03/12/14	360 800	3,907 34	4,098 69	(191 35)	
	12/12/12	03/12/14	264 827	2,867 98	3,008 43	(140 45)	
	12/27/12	03/12/14	275 491	2,983 47	3,096 52	(113 05)	
PIMCO TOTAL RETURN A							

Account Detail

Active Assets Account
361-114697-764

FVB FOUNDATION
FRANCES BLUMKIN

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

LONG-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	12/31/12	03/12/14	51 835	561 35	582 62	(21 27)	
	01/31/13	03/12/14	37 539	406 53	420 06	(13 53)	
	02/28/13	03/12/14	43 892	475 33	492 91	(17 58)	
Disallowed Loss Based On Wash Sale \$9.57							
TEMPLETON GLOBAL BD FD A	06/28/11	03/13/14	6,997 901	89,987 16	100,000 00	(10,012 84)	
	09/09/11	03/13/14	778 654	10,012 84	11,056 89	(1,044 05)	
Long-Term This Period							
				\$425,118.03	\$450,195.67	\$(25,077.64)	
Long-Term Year to Date							
				\$425,118.03	\$450,195.67	\$(25,077.64)	

SHORT-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
IVY LIMITED TERM BD A	03/27/13	03/13/14	5 535	60 55	61 99	(1 44)	
	04/26/13	03/13/14	6 268	68 56	70 51	(1 95)	
	05/24/13	03/13/14	5 361	58 64	59 94	(1 30)	
	06/27/13	03/13/14	5 764	63 05	63 06	(0 01)	
	07/26/13	03/13/14	4 813	52 65	52 80	(0 15)	
	08/27/13	03/13/14	4 826	52 79	52 70	0 09	
	09/27/13	03/13/14	5 367	58 71	58 77	(0 06)	
	10/25/13	03/13/14	4 782	52 31	52 60	(0 29)	
	11/27/13	03/13/14	7 759	84 87	85 43	(0 56)	
	12/12/13	03/13/14	28 601	312 86	312 32	0 54	
	12/31/13	03/13/14	6 484	70 93	70 68	0 25	
	01/27/14	03/13/14	4 836	52 90	52 81	0 09	
	02/27/14	03/13/14	6 016	65 85	65 87	(0 02)	
PIMCO ALL ASSET ALL AUTHORITY A	03/21/13	03/13/14	28 780	286 90	314 56	(27 66)	
	06/20/13	03/13/14	35 904	357 92	367 30	(9 38)	
	09/19/13	03/13/14	36 819	367 04	379 97	(12 93)	
	12/30/13	03/13/14	160 349	1,598 51	1,590 66	7 85	
PIMCO INCOME A	03/28/13	03/13/14	40 292	501 53	505 26	(3 73)	
	04/30/13	03/13/14	39 840	495 90	507 56	(11 66)	
	05/31/13	03/13/14	40 215	500 57	509 52	(8 95)	
	06/28/13	03/13/14	41 900	521 54	511 60	9 94	
	07/31/13	03/13/14	41 971	522 43	513 72	8 71	
	08/30/13	03/13/14	42 650	530 88	516 06	14 82	
	09/30/13	03/13/14	42 290	526 40	518 47	7 93	
	10/31/13	03/13/14	42 015	522 97	520 15	2 82	
	11/29/13	03/13/14	42 453	528 43	522 60	5 83	



Account Detail

Active Assets Account
361-114697-764

FVB FOUNDATION
FRANCES BLUMKIN

INTERESTED PARTY COPY

REALIZED GAIN/(LOSS) DETAIL (CONTINUED)

SHORT-TERM GAIN/(LOSS) (CONTINUED)

Security Description	Date Acquired	Date Sold	Quantity	Sales Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
	12/11/13	03/13/14	12 601	156 85	154 49	2 36	
	12/11/13	03/13/14	1 925	23 96	23 60	0 36	
	12/31/13	03/13/14	42 844	533 29	525 27	8 02	
	01/31/14	03/13/14	42 625	530 57	527 70	2 87	
	02/28/14	03/13/14	42 467	528 60	529 99	(1 39)	
PIMCO TOTAL RETURN A	03/28/13	03/12/14	57 516	622 88	646 48	(23 60)	
	04/30/13	03/12/14	69 478	752 42	787 88	(35 46)	
	05/31/13	03/12/14	56 634	613 33	626 94	(13 61)	
Short-Term This Period				\$12,077.59	\$12,159.26	\$(81.67)	
Short-Term Year to Date				\$12,077.59	\$12,159.26	\$(81.67)	
Net Realized Gain/(Loss) This Period				\$437,195.62	\$462,354.93	\$(25,159.31)	
Net Realized Gain/(Loss) Year to Date				\$437,195.62	\$462,354.93	\$(25,159.31)	
Disallowed Loss Based On Wash Sale This Period: \$9.57							
Disallowed Loss Based On Wash Sale Year to Date: \$9.57							

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This preparation may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns. Please refer to the Expanded Disclosures for additional information.

1 - This information reflects your requested adjustments to the transaction details.

H, W, > - The wash sale rule applies to this tax lot. For lots noted with an "H," the cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. For lots noted with a "W," the disallowed loss on covered securities will be reported on Form 1099-B for the current tax year. The aggregate basis adjustment and the disallowed loss amount are identified in italics under the Security Description. Net Realized Gain/(Loss) for "This Period" and "Year to Date" includes disallowed loss. For lots noted with a ">," both "H" and "W" apply.

MESSAGES

Consolidated Statement of Financial Condition (In Millions of Dollars)

*At December 31, 2013 Morgan Stanley Smith Barney LLC had net capital of \$3,483 which exceeded the Securities and Exchange Commission's minimum requirement by \$3,302. A copy of the Morgan Stanley Smith Barney LLC Consolidated Statement of Financial Condition at December 31, 2013 can be viewed online at http://www.morganstanley.com/about/ir/shareholder/morganstanley_smithbarney_llc.pdf or may be mailed to you at no cost by calling 1 (866) 825-1675, after March 15, 2014.

Make Your Annual IRA Contribution

The deadline to make your 2013 IRA contribution is April 15, 2014, so there is still time to contribute to a Traditional or Roth IRA, if eligible. The maximum contribution is \$5,500 for 2013, or \$6,500 if you are age 50 or older. Your Financial Advisor can help you open a new IRA or fund an existing one. You can even move funds from an existing Morgan Stanley non-retirement account if you have one. Speak with your Financial Advisor or Private Wealth Advisor about making an IRA contribution for 2014 at the same time and take advantage of a year of additional potential growth.

Morgan Stanley

Account Detail

Active Assets Account
361-114697-764FVB FOUNDATION
FRANCES BLUMKIN

INTERESTED PARTY COPY

MONEY MARKET FUND (MMF) AND BANK DEPOSIT PROGRAM ACTIVITY (CONTINUED)

Date	Activity Type	Description	Quantity	Acquired	Sold	Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments	Credits/(Debits)
10/14	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST								2,280.00
10/31	Automatic Investment	MS ACTIVE ASSETS MONEY TRUST								0.24
NET ACTIVITY FOR PERIOD										\$5,749.24

REALIZED GAIN/(LOSS) DETAIL

LONG-TERM GAIN/(LOSS)

Security Description	Date Acquired	Date Sold	Quantity	Proceeds	Orig / Adj Total Cost	Realized Gain/(Loss)	Comments
PIMCO TOTAL RETURN A	09/02/09	10/01/14	4,583.547	\$50,000.00	\$50,694.03	\$(694.03)	1 ADJUSTED 10/01/14
Long-Term This Period				\$50,000.00	\$50,694.03	\$(694.03)	
Long-Term Year to Date				\$475,118.03	\$500,889.70	\$(25,771.67)	
Net Realized Gain/(Loss) This Period				\$50,000.00	\$50,694.03	\$(694.03)	
Net Realized Gain/(Loss) Year to Date				\$487,195.62	\$513,048.96	\$(25,853.34)	✓

Disallowed Loss Based On Wash Sale Year to Date: \$20.80

Treasury regulations require that we report adjusted cost basis on the sale of covered securities acquired on or after January 1, 2011, and classify the gain or loss as either long-term or short-term. These regulations require that we make basis adjustments on covered securities due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes, and should not be used for tax preparation. Refer to the Expanded Disclosures.

1 - This information reflects your requested adjustments to the transaction details

654.03
25,153.31
25,853.34
2265-
CAPITAL
GAIN DIST
23,588
PART IV