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Form **990-PF**

Department of the Treasury
Internal Revenue Service

# Return of Private Foundation

**or Section 4947(a)(1) Trust Treated as Private Foundation**

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

**2013**

**Open to Public Inspection**

For calendar year 2013 or tax year beginning 12/01, 2013, and ending 11/30, 2014

Name of foundation: ANDERSEN CORPORATE FOUNDATION

A Employer identification number: 41-6020912

Number and street (or P O box number if mail is not delivered to street address): 342 FIFTH AVENUE NORTH

Room/suite: 200

B Telephone number (see instructions): (651) 439-1557

City or town, state or province, country, and ZIP or foreign postal code: BAYPORT, MN 55003

C If exemption application is pending, check here ▶ [ ]

G Check all that apply: [ ] Initial return [ ] Initial return of a former public charity [ ] Final return [ ] Amended return [ ] Address change [ ] Name change

D 1 Foreign organizations, check here ▶ [ ]

2 Foreign organizations meeting the 85% test, check here and attach computation ▶ [ ]

H Check type of organization: [X] Section 501(c)(3) exempt private foundation [ ] Section 4947(a)(1) nonexempt charitable trust [ ] Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ [ ]

I Fair market value of all assets at end of year (from Part II, col (c), line 16) ▶ $ 48,941,045.

J Accounting method: [X] Cash [ ] Accrual [ ] Other (specify) ______ (Part I, column (d) must be on cash basis )

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ [ ]

**Part I Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )*

| | Line | Description | (a) Revenue and expenses per books | (b) Net investment income | (c) Adjusted net income | (d) Disbursements for charitable purposes (cash basis only) |
|---|---|---|---|---|---|---|
| Revenue | 1 | Contributions, gifts, grants, etc , received (attach schedule) | | | | |
| | 2 | Check ▶ [ ] if the foundation is not required to attach Sch B | | | | |
| | 3 | Interest on savings and temporary cash investments | 181. | 181. | | |
| | 4 | Dividends and interest from securities | 541,237. | 541,237. | | |
| | 5a | Gross rents | | | | |
| | b | Net rental income or (loss) | | | | |
| | 6a | Net gain or (loss) from sale of assets not on line 10 | 3,069,387. | | | |
| | b | Gross sales price for all assets on line 6a 6,540,803. | | | | |
| | 7 | Capital gain net income (from Part IV, line 2) | | 3,069,387. | | |
| | 8 | Net short-term capital gain | | | | |
| | 9 | Income modifications | | | | |
| | 10a | Gross sales less returns and allowances | | | | |
| | b | Less Cost of goods sold | | | | |
| | c | Gross profit or (loss) (attach schedule) | | | | |
| | 11 | Other income (attach schedule) ATCH 1 | 583,023. | 583,023. | | |
| | 12 | **Total.** Add lines 1 through 11 | 4,193,828. | 4,193,828. | | |
| Operating and Administrative Expenses | 13 | Compensation of officers, directors, trustees, etc | 0 | | | |
| | 14 | Other employee salaries and wages | | | | |
| | 15 | Pension plans, employee benefits | | | | |
| | 16a | Legal fees (attach schedule) | | | | |
| | b | Accounting fees (attach schedule) ATCH 2 | 32,000. | 16,000. | | 16,000. |
| | c | Other professional fees (attach schedule) * | 344,607. | 197,454. | | 147,153. |
| | 17 | Interest | | | | |
| | 18 | Taxes (attach schedule) (see instructions) ATCH 4 | 92,899. | 33,739. | | |
| | 19 | Depreciation (attach schedule) and depletion | | | | |
| | 20 | Occupancy | | | | |
| | 21 | Travel, conferences, and meetings | 331. | | | 331. |
| | 22 | Printing and publications | | | | |
| | 23 | Other expenses (attach schedule) ATCH 5 | 13,383. | | | 13,383. |
| | 24 | **Total operating and administrative expenses.** Add lines 13 through 23 | 483,220. | 247,193. | | 176,867. |
| | 25 | Contributions, gifts, grants paid | 1,852,550. | | | 1,852,550. |
| | 26 | **Total expenses and disbursements** Add lines 24 and 25 | 2,335,770. | 247,193. | 0 | 2,029,417. |
| | 27 | Subtract line 26 from line 12 | | | | |
| | a | **Excess of revenue over expenses and disbursements** | 1,858,058. | | | |
| | b | **Net investment income** (if negative, enter -0-) | | 3,946,635. | | |
| | c | **Adjusted net income** (if negative, enter -0-) | | | | |

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## Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )

| | | Beginning of year | End of year | |
|---|---|---|---|---|
| | | (a) Book Value | (b) Book Value | (c) Fair Market Value |
| **Assets** | | | | |
| 1 | Cash - non-interest-bearing | 39,064. | 37,594. | 37,594. |
| 2 | Savings and temporary cash investments | 222,855. | 851,198. | 851,198. |
| 3 | Accounts receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 4 | Pledges receivable ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 5 | Grants receivable | | | |
| 6 | Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) | | | |
| 7 | Other notes and loans receivable (attach schedule) ▶ | | | |
| | Less allowance for doubtful accounts ▶ | | | |
| 8 | Inventories for sale or use | | | |
| 9 | Prepaid expenses and deferred charges | | | |
| 10 a | Investments - U S and state government obligations (attach schedule) | | | |
| b | Investments - corporate stock (attach schedule) ATCH 6 | 35,611,861. | 35,541,850. | 35,541,850. |
| c | Investments - corporate bonds (attach schedule) | | | |
| 11 | Investments - land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 12 | Investments - mortgage loans | | | |
| 13 | Investments - other (attach schedule) | 12,088,694. | 12,510,403. | 12,510,403. |
| 14 | Land, buildings, and equipment basis ▶ | | | |
| | Less accumulated depreciation (attach schedule) ▶ | | | |
| 15 | Other assets (describe ▶ ) | | | |
| 16 | **Total assets** (to be completed by all filers - see the instructions Also, see page 1, item I) | 47,962,474. | 48,941,045. | 48,941,045. |
| **Liabilities** | | | | |
| 17 | Accounts payable and accrued expenses | | | |
| 18 | Grants payable | | | |
| 19 | Deferred revenue | | | |
| 20 | Loans from officers, directors, trustees, and other disqualified persons | | | |
| 21 | Mortgages and other notes payable (attach schedule) | | | |
| 22 | Other liabilities (describe ▶ ) | | | |
| 23 | **Total liabilities** (add lines 17 through 22) | 0 | 0 | |
| **Net Assets or Fund Balances** | | | | |
| | **Foundations that follow SFAS 117, check here** ▶ X **and complete lines 24 through 26 and lines 30 and 31.** | | | |
| 24 | Unrestricted | 47,962,474. | 48,941,045. | |
| 25 | Temporarily restricted | | | |
| 26 | Permanently restricted | | | |
| | **Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.** ▶ ☐ | | | |
| 27 | Capital stock, trust principal, or current funds | | | |
| 28 | Paid-in or capital surplus, or land, bldg , and equipment fund | | | |
| 29 | Retained earnings, accumulated income, endowment, or other funds | | | |
| 30 | **Total net assets or fund balances** (see instructions) | 47,962,474. | 48,941,045. | |
| 31 | **Total liabilities and net assets/fund balances** (see instructions) | 47,962,474. | 48,941,045. | |

## Part III Analysis of Changes in Net Assets or Fund Balances

| | | | |
|---|---|---|---|
| 1 | Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return) | 1 | 47,962,474. |
| 2 | Enter amount from Part I, line 27a | 2 | 1,858,058. |
| 3 | Other increases not included in line 2 (itemize) ▶ | 3 | |
| 4 | Add lines 1, 2, and 3 | 4 | 49,820,532. |
| 5 | Decreases not included in line 2 (itemize) ▶ ATCH 7 | 5 | 879,487. |
| 6 | Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30 | 6 | 48,941,045. |

**Part IV Capital Gains and Losses for Tax on Investment Income**

| | (a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co ) | (b) How acquired P - Purchase D - Donation | (c) Date acquired (mo , day, yr ) | (d) Date sold (mo , day, yr ) |
|---|---|---|---|---|
| 1a | SEE PART IV SCHEDULE | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | (e) Gross sales price | (f) Depreciation allowed (or allowable) | (g) Cost or other basis plus expense of sale | (h) Gain or (loss) (e) plus (f) minus (g) |
|---|---|---|---|---|
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

| | Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69 | | | (l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h)) |
|---|---|---|---|---|
| | (i) F M V as of 12/31/69 | (j) Adjusted basis as of 12/31/69 | (k) Excess of col (i) over col (j), if any | |
| a | | | | |
| b | | | | |
| c | | | | |
| d | | | | |
| e | | | | |

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 3,069,387.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)
If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 **3** 0

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

| (a) Base period years Calendar year (or tax year beginning in) | (b) Adjusted qualifying distributions | (c) Net value of noncharitable-use assets | (d) Distribution ratio (col (b) divided by col (c)) |
|---|---|---|---|
| 2012 | 2,357,207. | 45,446,252. | 0.051868 |
| 2011 | 2,085,785. | 42,161,491. | 0.049471 |
| 2010 | 2,016,749. | 43,166,153. | 0.046721 |
| 2009 | 1,842,264. | 40,834,366. | 0.045116 |
| 2008 | 2,326,930. | 36,287,961. | 0.064124 |

| | | | |
|---|---|---|---|
| 2 | **Total** of line 1, column (d) | 2 | 0.257300 |
| 3 | Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years | 3 | 0.051460 |
| 4 | Enter the net value of noncharitable-use assets for 2013 from Part X, line 5 | 4 | 48,596,961. |
| 5 | Multiply line 4 by line 3 | 5 | 2,500,800. |
| 6 | Enter 1% of net investment income (1% of Part I, line 27b) | 6 | 39,466. |
| 7 | Add lines 5 and 6 | 7 | 2,540,266. |
| 8 | Enter qualifying distributions from Part XII, line 4 | 8 | 2,029,417. |

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

| | | | |
|---|---|---|---|
| 1a | Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 . . . Date of ruling or determination letter _______________ (attach copy of letter if necessary - see instructions) | | |
| b | Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b | 1 | 78,933. |
| c | All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b) | | |
| 2 | Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 2 | |
| 3 | Add lines 1 and 2 | 3 | 78,933. |
| 4 | Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-) | 4 | 0 |
| 5 | **Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0- | 5 | 78,933. |
| 6 | Credits/Payments | | |
| a | 2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 78,500. | | |
| b | Exempt foreign organizations - tax withheld at source — 6b | | |
| c | Tax paid with application for extension of time to file (Form 8868) — 6c | | |
| d | Backup withholding erroneously withheld — 6d | | |
| 7 | Total credits and payments Add lines 6a through 6d | 7 | 78,500. |
| 8 | Enter any **penalty** for underpayment of estimated tax Check here ☒ if Form 2220 is attached | 8 | |
| 9 | **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶ | 9 | 433. |
| 10 | **Overpayment** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶ | 10 | |
| 11 | Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ Refunded ▶ | 11 | |

## Part VII-A Statements Regarding Activities

| | | | Yes | No |
|---|---|---|---|---|
| 1a | During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? | 1a | | X |
| b | Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? | 1b | | X |
| | *If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities* | | | |
| c | Did the foundation file **Form 1120-POL** for this year? | 1c | | X |
| d | Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ▶ $ 0 (2) On foundation managers ▶ $ 0 | | | |
| e | Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ 0 | | | |
| 2 | Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities* | 2 | | X |
| 3 | Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes* | 3 | | X |
| 4a | Did the foundation have unrelated business gross income of $1,000 or more during the year? | 4a | | X |
| b | If "Yes," has it filed a tax return on **Form 990-T** for this year? | 4b | N/A | |
| 5 | Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T* | 5 | | X |
| 6 | Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? | 6 | X | |
| 7 | Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col (c), and Part XV* | 7 | X | |
| 8a | Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MN, | | | |
| b | If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation.* | 8b | X | |
| 9 | Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV* | 9 | | X |
| 10 | Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses* | 10 | | X |

## Part VII-A Statements Regarding Activities *(continued)*

| | | | Yes | No |
|---|---|---|---|---|
| 11 | At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) | 11 | | X |
| 12 | Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) | 12 | | X |
| 13 | Did the foundation comply with the public inspection requirements for its annual returns and exemption application? | 13 | X | |
| | Website address ▶ WWW ANDERSENCORPORATION COM/CORPORATE-RESPONSIBILITY/COMMUNITY-INVOLVEMENT/ANDERSEN-CORPORATE-FOUNDATION/ | | | |
| 14 | The books are in care of ▶ SUSAN ROEDER Telephone no ▶ (651)264-7432 | | | |
| | Located at ▶ 100 FOURTH AVENUE NORTH BAYPORT, MN ZIP+4 ▶ 55003 | | | |
| 15 | Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐ | | | |
| | and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 | | | |
| 16 | At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? | 16 | X | |
| | See the instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes," enter the name of the foreign country ▶ BERMUDA | | | |

## Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

| | | | Yes | No |
|---|---|---|---|---|
| | **File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.** | | | |
| 1a | During the year did the foundation (either directly or indirectly) | | | |
| | **(1)** Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No | | | |
| | **(2)** Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(3)** Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No | | | |
| | **(4)** Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No | | | |
| | **(5)** Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No | | | |
| | **(6)** Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ) ☐ Yes ☒ No | | | |
| b | If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? | 1b | N/A | |
| | Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| c | Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? | 1c | | X |
| 2 | Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)) | | | |
| a | At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No | | | |
| | If "Yes," list the years ▶ ________ , ________ , ________ , ________ | | | |
| b | Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions ) | 2b | N/A | |
| c | If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ ________ , ________ , ________ , ________ | | | |
| 3a | Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No | | | |
| b | If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013 )* | 3b | N/A | |
| 4a | Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? | 4a | | X |
| b | Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? | 4b | | X |

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** *(continued)*

| | | | |
|---|---|---|---|
| **5a** During the year did the foundation pay or incur any amount to | | | |
| **(1)** Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No | | | |
| **(2)** Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No | | | |
| **(3)** Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No | | | |
| **(4)** Provide a grant to an organization other than a charitable, etc, organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No | | | |
| **(5)** Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No | | | |
| **b** If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)? | **5b** | N/A | |
| Organizations relying on a current notice regarding disaster assistance check here ▶ ☐ | | | |
| **c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No | | | |
| *If "Yes," attach the statement required by Regulations section 53 4945-5(d)* | | | |
| **6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No | | | |
| **b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | **6b** | | X |
| *If "Yes" to 6b, file Form 8870* | | | |
| **7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No | | | |
| **b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? | **7b** | N/A | |

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

| (a) Name and address | (b) Title, and average hours per week devoted to position | (c) Compensation (If not paid, enter -0-) | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| ATCH 8 | | 0 | 0 | 0 |
| | | | | |
| | | | | |
| | | | | |

**2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."**

| (a) Name and address of each employee paid more than $50,000 | (b) Title, and average hours per week devoted to position | (c) Compensation | (d) Contributions to employee benefit plans and deferred compensation | (e) Expense account, other allowances |
|---|---|---|---|---|
| NONE | | | | |
| | | | | |
| | | | | |
| | | | | |
| | | | | |

**Total number of other employees paid over $50,000** ▶

## Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

| (a) Name and address of each person paid more than $50,000 | (b) Type of service | (c) Compensation |
|---|---|---|
| ATCH 9 | | 282,440. |
| | | |
| | | |
| | | |
| | | |
| **Total** number of others receiving over $50,000 for professional services . . . ▶ | | |

## Part IX-A Summary of Direct Charitable Activities

| List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc | Expenses |
|---|---|
| 1 NONE | |
| 2 | |
| 3 | |
| 4 | |

## Part IX-B Summary of Program-Related Investments (see instructions)

| Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2 | Amount |
|---|---|
| 1 NONE | |
| 2 | |
| All other program-related investments See instructions | |
| 3 NONE | |
| **Total.** Add lines 1 through 3 . . . ▶ | |

**Part X** **Minimum Investment Return** (All domestic foundations must complete this part Foreign foundations, see instructions )

| | | | |
|---|---|---|---|
| 1 | Fair market value of assets not used (or held for use) directly in carrying out charitable, etc, purposes | | |
| a | Average monthly fair market value of securities | 1a | 48,559,304. |
| b | Average of monthly cash balances | 1b | 777,712. |
| c | Fair market value of all other assets (see instructions) | 1c | |
| d | **Total** (add lines 1a, b, and c) | 1d | 49,337,016. |
| e | Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e | | |
| 2 | Acquisition indebtedness applicable to line 1 assets | 2 | |
| 3 | Subtract line 2 from line 1d | 3 | 49,337,016. |
| 4 | Cash deemed held for charitable activities Enter 1 1/2 % of line 3 (for greater amount, see instructions) | 4 | 740,055. |
| 5 | **Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4 | 5 | 48,596,961. |
| 6 | **Minimum investment return.** Enter 5% of line 5 | 6 | 2,429,848. |

**Part XI** **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part )

| | | | | | |
|---|---|---|---|---|---|
| 1 | Minimum investment return from Part X, line 6 | | | 1 | 2,429,848. |
| 2a | Tax on investment income for 2013 from Part VI, line 5 | 2a | 78,933. | | |
| b | Income tax for 2013 (This does not include the tax from Part VI ) | 2b | | | |
| c | Add lines 2a and 2b | | | 2c | 78,933. |
| 3 | Distributable amount before adjustments Subtract line 2c from line 1 | | | 3 | 2,350,915. |
| 4 | Recoveries of amounts treated as qualifying distributions | | | 4 | |
| 5 | Add lines 3 and 4 | | | 5 | 2,350,915. |
| 6 | Deduction from distributable amount (see instructions) | | | 6 | |
| 7 | **Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1 | | | 7 | 2,350,915. |

**Part XII** **Qualifying Distributions** (see instructions)

| | | | |
|---|---|---|---|
| 1 | Amounts paid (including administrative expenses) to accomplish charitable, etc, purposes | | |
| a | Expenses, contributions, gifts, etc - total from Part I, column (d), line 26 | 1a | 2,029,417. |
| b | Program-related investments - total from Part IX-B | 1b | |
| 2 | Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc, purposes | 2 | |
| 3 | Amounts set aside for specific charitable projects that satisfy the | | |
| a | Suitability test (prior IRS approval required) | 3a | |
| b | Cash distribution test (attach the required schedule) | 3b | |
| 4 | **Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4 | 4 | 2,029,417. |
| 5 | Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions) | 5 | 0 |
| 6 | **Adjusted qualifying distributions.** Subtract line 5 from line 4 | 6 | 2,029,417. |

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

## Part XIII Undistributed Income (see instructions)

| | (a) Corpus | (b) Years prior to 2012 | (c) 2012 | (d) 2013 |
|---|---|---|---|---|
| 1 Distributable amount for 2013 from Part XI, line 7 | | | | 2,350,915. |
| 2 Undistributed income, if any, as of the end of 2013 | | | | |
| a Enter amount for 2012 only | | | 1,875,041. | |
| b Total for prior years 20 11 ,20 10 ,20 09 | | | | |
| 3 Excess distributions carryover, if any, to 2013 | | | | |
| a From 2008 | | | | |
| b From 2009 | | | | |
| c From 2010 | | | | |
| d From 2011 | | | | |
| e From 2012 | | | | |
| f Total of lines 3a through e | 0 | | | |
| 4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 2,029,417. | | | | |
| a Applied to 2012, but not more than line 2a | | | 1,875,041. | |
| b Applied to undistributed income of prior years (Election required - see instructions) | | | | |
| c Treated as distributions out of corpus (Election required - see instructions) | | | | |
| d Applied to 2013 distributable amount | | | | 154,376. |
| e Remaining amount distributed out of corpus | | | | |
| 5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a))* | | | | |
| 6 **Enter the net total of each column as indicated below:** | | | | |
| a Corpus Add lines 3f, 4c, and 4e Subtract line 5 | | | | |
| b Prior years' undistributed income Subtract line 4b from line 2b | | | | |
| c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed | | | | |
| d Subtract line 6c from line 6b Taxable amount - see instructions | | | | |
| e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions | | | | |
| f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 | | | | 2,196,539. |
| 7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions) | | | | |
| 8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) | | | | |
| 9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a | 0 | | | |
| 10 Analysis of line 9 | | | | |
| a Excess from 2009 | | | | |
| b Excess from 2010 | | | | |
| c Excess from 2011 | | | | |
| d Excess from 2012 | | | | |
| e Excess from 2013 | | | | |

**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9) NOT APPLICABLE

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

| | Tax year | Prior 3 years | | | |
|---|---|---|---|---|---|
| | (a) 2013 | (b) 2012 | (c) 2011 | (d) 2010 | (e) Total |
| 2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed | | | | | |
| b 85% of line 2a | | | | | |
| c Qualifying distributions from Part XII, line 4 for each year listed | | | | | |
| d Amounts included in line 2c not used directly for active conduct of exempt activities | | | | | |
| e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c | | | | | |
| 3 Complete 3a, b, or c for the alternative test relied upon | | | | | |
| a "Assets" alternative test - enter (1) Value of all assets | | | | | |
| (2) Value of assets qualifying under section 4942(j)(3)(B)(i) | | | | | |
| b "Endowment" alternative test- enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed | | | | | |
| c "Support" alternative test - enter (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) | | | | | |
| (2) Support from general public and 5 or more exempt organizations as provided in section 4942 (j)(3)(B)(iii) | | | | | |
| (3) Largest amount of support from an exempt organization | | | | | |
| (4) Gross investment income | | | | | |

**Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year - see instructions.)**

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2) )

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed.

ATCH 10

b The form in which applications should be submitted and information and materials they should include

SEE ATTACHMENT 14

c Any submission deadlines

2/15, 6/15 AND 10/15

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

SEE ATTACHMENT 14

**Part XV Supplementary Information** (continued)

**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

| Recipient Name and address (home or business) | If recipient is an individual, show any relationship to any foundation manager or substantial contributor | Foundation status of recipient | Purpose of grant or contribution | Amount |
|---|---|---|---|---|
| ***a** Paid during the year* | | | | |
| SEE ATTACHMENT 12 | | | | 1,852,550. |
| **Total** ▶ 3a | | | | 1,852,550. |
| ***b** Approved for future payment* | | | | |
| SEE ATTACHMENT 13 | | | | 536,500. |
| **Total** ▶ 3b | | | | 536,500. |

## Part XVI-A Analysis of Income-Producing Activities

| Enter gross amounts unless otherwise indicated | Unrelated business income | | Excluded by section 512, 513, or 514 | | (e) Related or exempt function income (See instructions ) |
|---|---|---|---|---|---|
| | (a) Business code | (b) Amount | (c) Exclusion code | (d) Amount | |
| 1 Program service revenue | | | | | |
| a | | | | | |
| b | | | | | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| f | | | | | |
| g Fees and contracts from government agencies | | | | | |
| 2 Membership dues and assessments | | | | | |
| 3 Interest on savings and temporary cash investments | | | 14 | 181. | |
| 4 Dividends and interest from securities | | | 14 | 541,237. | |
| 5 Net rental income or (loss) from real estate | | | | | |
| a Debt-financed property | | | | | |
| b Not debt-financed property | | | | | |
| 6 Net rental income or (loss) from personal property | | | | | |
| 7 Other investment income | | | | | |
| 8 Gain or (loss) from sales of assets other than inventory | | | 18 | 3,069,387. | |
| 9 Net income or (loss) from special events | | | | | |
| 10 Gross profit or (loss) from sales of inventory | | | | | |
| 11 Other revenue a | | | | | |
| b ATCH 11 | | | | 583,023. | |
| c | | | | | |
| d | | | | | |
| e | | | | | |
| 12 Subtotal Add columns (b), (d), and (e) | | | | 4,193,828. | |

13 Total. Add line 12, columns (b), (d), and (e) . . . 13 4,193,828.

(See worksheet in line 13 instructions to verify calculations )

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

| Line No. ▼ | Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions ) |
|---|---|

**Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

| | | | Yes | No |
|---|---|---|---|---|
| 1 | Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations? | | | |
| a | Transfers from the reporting foundation to a noncharitable exempt organization of | | | |
| | (1) Cash | 1a(1) | | X |
| | (2) Other assets | 1a(2) | | X |
| b | Other transactions | | | |
| | (1) Sales of assets to a noncharitable exempt organization | 1b(1) | | X |
| | (2) Purchases of assets from a noncharitable exempt organization | 1b(2) | | X |
| | (3) Rental of facilities, equipment, or other assets | 1b(3) | | X |
| | (4) Reimbursement arrangements | 1b(4) | | X |
| | (5) Loans or loan guarantees | 1b(5) | | X |
| | (6) Performance of services or membership or fundraising solicitations | 1b(6) | | X |
| c | Sharing of facilities, equipment, mailing lists, other assets, or paid employees | 1c | | X |

d If the answer to any of the above is "Yes," complete the following schedule Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received

| (a) Line no | (b) Amount involved | (c) Name of noncharitable exempt organization | (d) Description of transfers, transactions, and sharing arrangements |
|---|---|---|---|
| | N/A | | N/A |

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule

| (a) Name of organization | (b) Type of organization | (c) Description of relationship |
|---|---|---|
| | | |

**Sign Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

[signature] 4-14-15 Vice President

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☐ Yes ☒ No

**Paid Preparer Use Only**

| Print/Type preparer's name | Preparer's signature | Date | Check ☐ if self-employed | PTIN |
|---|---|---|---|---|
| KELLI ARCHIBALD | Kelli H Archibald | 4/13/15 | | P00180332 |
| Firm's name ▶ ERNST & YOUNG U.S. LLP | | | Firm's EIN ▶ 34-6565596 | |
| Firm's address ▶ 2 NORTH CENTRAL AVE, SUITE 2300 PHOENIX, AZ 85004 | | | Phone no 602-322-3000 | |

# FORM 990-PF - PART IV
# CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

| Kind of Property | | Description | | | | P or D | Date acquired | Date sold |
|---|---|---|---|---|---|---|---|---|
| Gross sale price less expenses of sale | Depreciation allowed/ allowable | Cost or other basis | FMV as of 12/31/69 | Adj basis as of 12/31/69 | Excess of FMV over adj basis | | Gain or (loss) | |
| | | SEE ATTACH. A - PROPERTY TYPE: SECURITY | | | | | VAR | VAR |
| 6,540,803. | | 3,471,416. | | | | | 3,069,387. | |
| TOTAL GAIN(LOSS) ........................................... | | | | | | | 3,069,387. | |

Andersen Corporate Foundation
FEIN: 41-6020912
FYE. November 30, 2014

**FORM 990PF - PART IV**
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

| | Proceeds | Cost | Gain/(Loss) |
|---|---|---|---|
| Cash and Equivalents | 2,206,944 | 2,206,944 | 0 |
| Equity Securities: | | | |
| Intl Alpha LP | 30,253 | 22,864 | 7,389 |
| S&P 500 Index Fund | 2,004,871 | 997,625 | 1,007,246 |
| Flow-through of net capital gains/losses from investment in | | | |
| International Alpha Common Trust Fund | 495,191 | 0 | 495,191 |
| S&P 500 Index Fund | 883,691 | 0 | 883,691 |
| Global Asset Allocation | | | |
| GMO Strategic Opportunities Alloc | 479,900 | 0 | 479,900 |
| GMO Multi-Strategy Fund | 175,789 | 175,789 | 0 |
| Wellington CTF Opportunistic Portfolio | 70,881 | 68,194 | 2,687 |
| Flow-through of net capital gains/losses from investment in Wellington CTF Opportunistic Portfolio | 189,991 | 0 | 189,991 |
| Security Litigation Settlement Proceeds | 3,292 | 0 | 3,292 |
| **Total Sales And Maturities** | **6,540,803** | **3,471,416** | **3,069,387** |

FORM 990PF, PART I - OTHER INCOME

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| FLOW-THROUGH OF OTHER INCOME FROM: | | |
| INVESTMENT IN SSGA S&P 500 | 257,587. | 257,587. |
| INT'L ALPHA COMM TRUST FUND, LLC | 153,142. | 153,142. |
| WELLINGTON | 170,089. | 170,089. |
| TAX RECLAIM- SSGA INTL ALPHA | 2,205. | 2,205. |
| TOTALS | 583,023. | 583,023. |

## FORM 990PF, PART I - ACCOUNTING FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | ADJUSTED NET INCOME | CHARITABLE PURPOSES |
|---|---|---|---|---|
| AUDIT AND TAX SERVICE - ERNST & YOUNG LLP | 22,000. | 11,000. | | 11,000. |
| ACCOUNTING SERVICE - SRI | 10,000. | 5,000. | | 5,000. |
| TOTALS | 32,000. | 16,000. | | 16,000. |

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME | CHARITABLE PURPOSES |
|---|---|---|---|
| INVESTMENT FEES | 197,454. | 197,454. | |
| GRANT CONSULTING - SRI | 147,153. | | 147,153. |
| TOTALS | 344,607. | 197,454. | 147,153. |

## FORM 990PF, PART I - TAXES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | NET INVESTMENT INCOME |
|---|---|---|
| EXCISE TAXES | 78,500. | |
| EXCISE TAX REFUND | -19,340. | |
| FOREIGN TAXES | 33,739. | 33,739. |
| TOTALS | 92,899. | 33,739. |

FORM 990PF, PART I - OTHER EXPENSES

| DESCRIPTION | REVENUE AND EXPENSES PER BOOKS | CHARITABLE PURPOSES |
|---|---|---|
| MEALS AND ENTERTAINMENT | 9,565. | 9,565. |
| DIRECTOR LIABILITY INSURANCE | 3,501. | 3,501. |
| POSTAGE | 292. | 292. |
| FILING FEE | 25. | 25. |
| TOTALS | 13,383. | 13,383. |

ANDERSEN CORPORATE FOUNDATION
FEIN: 41-6020912
FYE: November 30, 2014

**FORM 990PF, PART II**

**LINE 10B - CORPORATE STOCK**

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| Nortel Networks Corp | 2 | 2 |
| Total Domestic Common Stocks | 2 | 2 |
| Black Rock Total Return II | 3,661,088 | 3,661,088 |
| Total Mutual Funds - Fixed Income | 3,661,088 | 3,661,088 |
| S&P 500 Index Fund | 11,985,606 | 11,985,606 |
| Total Mutual Funds - Equity | 11,985,606 | 11,985,606 |
| Intl Alpha Ctf (Zvia) LP | 5,513,921 | 5,513,921 |
| Total Partnerships - Joint Ventures | 5,513,921 | 5,513,921 |
| Wellington Trust Multiple Collective | 6,384,482 | 6,384,482 |
| GMO Strategic Opportunities Alloc 3 | 5,604,792 | 5,604,792 |
| GMO Multi-Strategy Fund | 2,391,959 | 2,391,959 |
| Total Collective Funds | 14,381,232 | 14,381,232 |
| Total | 35,541,850 | 35,541,850 |

**LINE 13 - OTHER INVESTMENTS**

| DESCRIPTION | ENDING BOOK VALUE | ENDING FMV |
|---|---|---|
| Permal Fixed Income Holdings (Erisa) Ltd | 7,573,461 | 7,573,461 |
| Pimco All Asset Fund Instl | 4,936,942 | 4,936,942 |
| Total Alternative Strategies | 12,510,403 | 12,510,403 |
| Total | 12,510,403 | 12,510,403 |

Attachment 6

ATTACHMENT 7

FORM 990PF, PART III - OTHER DECREASES IN NET WORTH OR FUND BALANCES

| DESCRIPTION | | AMOUNT |
|---|---|---|
| UNREALIZED LOSS ON INVESTMENTS | | 879,487. |
| | TOTAL | 879,487. |

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| KEITH OLSON<br>100 FOURTH AVE N.<br>BAYPORT, MN 55003 | PRESIDENT<br>1.00 | 0 | 0 | 0 |
| SUSAN ROEDER<br>100 FOURTH AVE N.<br>BAYPORT, MN 55003 | VP GRANTS ADMIN/SECRETARY<br>1.00 | 0 | 0 | 0 |
| PHIL DONALDSON<br>100 FOURTH AVE N.<br>BAYPORT, MN 55003 | TREASURER<br>1.00 | 0 | 0 | 0 |
| LAURIE BAUER<br>100 FOURTH AVE N.<br>BAYPORT, MN 55003 | DIRECTOR<br>1.00 | 0 | 0 | 0 |
| JAY LUND<br>100 FOURTH AVE N.<br>BAYPORT, MN 55003 | DIRECTOR<br>1.00 | 0 | 0 | 0 |
| JERRY REDMOND<br>100 FOURTH AVE N.<br>BAYPORT, MN 55003 | DIRECTOR<br>1.00 | 0 | 0 | 0 |

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 8 (CONT'D)

| NAME AND ADDRESS | TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION | COMPENSATION | CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS | EXPENSE ACCT AND OTHER ALLOWANCES |
|---|---|---|---|---|
| KAREN RICHARD<br>100 FOURTH AVE N.<br>BAYPORT, MN 55003 | DIRECTOR<br>1.00 | 0 | 0 | 0 |
| | GRAND TOTALS | 0 | 0 | 0 |

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALS

ATTACHMENT 9

| NAME AND ADDRESS | TYPE OF SERVICE | COMPENSATION |
|---|---|---|
| SRI<br>342 FIFTH AVE. NORTH, SUITE 200<br>BAYPORT, MN 55003 | GRANT CONSULT/ACCTG. | 157,153. |
| WELLINGTON MANAGEMENT COMPANY, LLP<br>280 CONGRESS STREET<br>BOSTON, MA 02210 | INVESTMENT FEES | 70,881. |
| GRANTHAM, MAYO, VAN OTTERLOO LLC & CO.<br>40 ROWES WHARF<br>BOSTON, MA 02110 | INVESTMENT FEES | 54,406. |
| TOTAL COMPENSATION | | 282,440. |

ATTACHMENT 10

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

CHLOETTE HALEY,PROGRAM OFFICER
342 FIFTH AVENUE NORTH, SUITE 200
BAYPORT, MN 55003
651-275-4450

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 11

| DESCRIPTION | BUSINESS CODE | AMOUNT | EXCLUSION CODE | AMOUNT | RELATED OR EXEMPT FUNCTION INCOME |
|---|---|---|---|---|---|
| INCOME FROM SSGA | | | 14 | 257,587. | |
| INT'L ALPHA COMM TRUST FUND | | | 14 | 153,142. | |
| INCOME FROM WELLINGTON | | | 14 | 170,089. | |
| TAX RECLAIM- SSGA INTL ALPHA | | | 01 | 2,205. | |
| TOTALS | | | | 583,023. | |

ATTACHMENT 12

# Andersen Corporate Foundation 990 Report by Program Area

Grants Paid December 1, 2013 - November 30, 2014
FYE 2013-14

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **ACF\Affordable Housing** | | |
| **The Arc of Minnesota** | PC | $5,000 |
| 800 Transfer Road, Suite 7A | | |
| St. Paul, MN 55114 | | |
| *Housing Access Program Washington County* | | |
| **Greater Des Moines Habitat for Humanity** | PC | $20,000 |
| 2200 E. Euclid | | |
| Des Moines, IA 50317 | | |
| *HFH "It Starts at Home" Campaign* | | |
| **Habitat for Humanity International** | PC | $34,000 |
| 270 Peachtree Street, Suite 1300 | | |
| Atlanta, GA 30303 | | |
| *Neighborhood Revitalization Initiative* | | |
| **Minnesota Home Ownership Center** | PC | $5,000 |
| 1000 Payne Avenue, Suite 200 | | |
| St. Paul, MN 55130 | | |
| *general operating support* | | |
| **Solid Ground** | PC | $8,000 |
| 3521 Century Avenue North | | |
| White Bear Lake, MN 55110-5656 | | |
| *general operating support* | | |
| **Two Rivers Community Land Trust** | PC | $20,000 |
| P.O. Box 25451 | | |
| Woodbury, MN 55125 | | |
| *general operating support and housing development funds* | | |
| **West Central Wisconsin Community Action Agency, Inc.** | PC | $10,000 |
| 525 Second Street | | |
| PO Box 308 | | |
| Glenwood City, WI 54013 | | |
| *Housing Assistance Program for Polk, St Croix, Pierce and Dunn Counties* | | |
| ***Total ACF\Affordable Housing (7 items)*** | | **$102,000** |
| **ACF\Civic** | | |
| **Animal Humane Society** | PC | $5,000 |
| 845 Meadow Lane North | | |
| Golden Valley, MN 55422 | | |
| *general operating support* | | |
| **Bayport Public Library Foundation** | PC | $37,000 |
| 582 North Fourth Street | | |
| Bayport, MN 55003 | | |
| *general operating support for Bayport Public Library* | | |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Charities Review Council of Minnesota, Inc.**<br>2334 University Avenue West, Suite 150<br>Saint Paul, MN 55114<br>*general operating support* | PC | $2,000 |
| **Duck Soup Players, Inc.**<br>P.O. Box 219<br>Marine On St. Croix, MN 55047<br>*general operating support* | PC | $3,500 |
| **Minnesota Children's Museum**<br>10 West Seventh Street<br>St. Paul, MN 55102<br>*Capital for Museum expansion and renovations* | PC | $33,000 |
| **Minnesota Children's Museum**<br>10 West Seventh Street<br>St. Paul, MN 55102<br>*Capital for Museum expansion and renovations* | PC | $33,000 |
| **Minnesota Children's Museum**<br>10 West Seventh Street<br>St. Paul, MN 55102<br>*Earth World Gallery* | PC | $20,000 |
| **Minnesota Historical Society**<br>345 Kellogg Boulevard West<br>St. Paul, MN 55102-1906<br>*general operating support* | PC | $10,000 |
| **Ordway Center for the Performing Arts**<br>345 Washington Street<br>Saint Paul, MN 55102-1495<br>*general operating support* | PC | $8,000 |
| **Ordway Center for the Performing Arts**<br>345 Washington Street<br>Saint Paul, MN 55102-1495<br>*The Arts Partnership Capital Campaign* | PC | $50,000 |
| **The Phipps Center for the Arts**<br>109 Locust Street<br>Hudson, WI 54016<br>*general operating support* | PC | $12,000 |
| **The Phipps Center for the Arts**<br>109 Locust Street<br>Hudson, WI 54016<br>*Staging Our Future Capital Campaign* | PC | $25,000 |
| **The Phipps Center for the Arts**<br>109 Locust Street<br>Hudson, WI 54016<br>*Staging Our Future Capital Campaign* | PC | $25,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **The St. Croix Valley Chamber Chorale, Inc.**<br>P.O Box 352<br>Stillwater, MN 55082<br>*general operating support* | PC | $3,500 |
| **The Saint Paul Chamber Orchestra**<br>Third Floor<br>The Hamm Building<br>408 St. Peter Street<br>St. Paul, MN 55102-1497<br>*general operating support* | PC | $20,000 |
| **Washington County Historical Society**<br>P.O. Box 167<br>Stillwater, MN 55082-0167<br>*general operating support* | PC | $6,000 |
| ***Total ACF\Civic (16 items)*** | | **$293,000** |
| <u>**ACF\Education & Youth Development**</u> | | |
| **Big Brothers Big Sisters of Northwestern Wisconsin**<br>St. Croix Valley Branch<br>82 Coulee Road, #106<br>Hudson, WI 54016<br>*Friends program* | PC | $4,000 |
| **Big Brothers Big Sisters of the Greater Twin Cities**<br>2550 University Avenue West, Suite 410 North<br>St. Paul, MN 55114<br>*general operating support in Washington County* | PC | $6,000 |
| **Boy Scouts of America, Chippewa Valley Council**<br>710 South Hastings Way<br>Eau Claire, WI 54701<br>*Empowered Leadership program* | PC | $2,000 |
| **Boy Scouts of America, Northern Star Council**<br>393 Marshall Avenue<br>St. Paul, MN 55102-1717<br>*Leadership Center Capital Campaign* | PC | $50,000 |
| **Boy Scouts of America, Northern Star Council**<br>393 Marshall Avenue<br>St. Paul, MN 55102-1717<br>*general operating support* | PC | $50,000 |
| **Boys & Girls Club of Greater Chippewa Valley, Inc.**<br>201 East Lake Street<br>Eau Claire, WI 54701<br>*general operating support ($3k) program expansion ($7k)* | PC | $10,000 |
| **Carpenter St. Croix Valley Nature Center**<br>12805 St. Croix Trail<br>Hastings, MN 55033<br>*general operating support* | POF | $15,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Como Zoo & Conservatory Society d/b/a Como Friends**<br>1225 Estabrook Drive<br>St. Paul, MN 55103<br>*general operating support* | PC | $10,000 |
| **Conservation Corps Minnesota**<br>60 Plato Blvd. E, Suite 210<br>Saint Paul, MN 55107<br>*Summer Youth Corps - SCV* | PC | $5,000 |
| **Dubuque Community School District**<br>2300 Chaney Road<br>Dubuque, IA 52001<br>*Longitudinal integration of STEM* | GOV | $15,750 |
| **Girl Scouts of Minnesota and Wisconsin River Valleys**<br>400 South Robert Street<br>St. Paul, MN 55107<br>*$40,000 general operating support and $10,000 Financial Literacy programs* | PC | $50,000 |
| **Great River Greening**<br>35 West Water Street, Suite 201<br>St. Paul, MN 55107<br>*Environmental Restoration Projects/SCV* | PC | $8,000 |
| **Hamline University**<br>Center for Global Environmental Education<br>MS-A1760 1536 Hewitt Avenue<br>St. Paul, MN 55104<br>*2015 St. Croix Valley Rivers Institute* | PC | $20,000 |
| **Iowa Jobs for America's Graduates**<br>400 E. 14th Street, 3rd Floor<br>Des Moines, IA 50319<br>*iJAG Dubuque* | PC | $5,000 |
| **Iowa Jobs for America's Graduates**<br>400 E. 14th Street, 3rd Floor<br>Des Moines, IA 50319<br>*iJAG Des Moines* | PC | $5,000 |
| **Iowa State University Foundation**<br>2505 University Boulevard<br>Ames, IA 50010-2230<br>*Science Bound* | PC | $10,000 |
| **Junior Achievement of the Heartland, Inc.**<br>800 12th Avenue<br>Moline, IL 61265<br>*JA STEM Education Initiative for Dubuque Schools* | PC | $6,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Junior Achievement of Wisconsin, Inc. - Northwest District**<br>Mailbox 10, Suite 204<br>505 Dewey Street South<br>Eau Claire, WI 54701<br>*general operating support* | PC | $2,000 |
| **Junior Achievement of the Upper Midwest, Inc.**<br>1800 White Bear Avenue North<br>Maplewood, MN 55109<br>*JA Elementary School Partnership Program* | PC | $15,000 |
| **Kinship of Polk County, Inc.**<br>PO Box 68<br>Balsam Lake, WI 54810<br>*general operating support* | PC | $5,000 |
| **Lakes Area Youth Service Bureau, Inc.**<br>244 North Lake Street<br>Forest Lake, MN 55025<br>*general operating support* | PC | $4,500 |
| **Mahtomedi Area Educational Foundation**<br>1520 Mahtomedi Avenue<br>Mahtomedi, MN 55115<br>*Engineering Leadership Program* | PC | $12,500 |
| **Minnesota Council on Economic Education**<br>1994 Buford Avenue<br>116 Ruttan Hall<br>St. Paul, MN 55108<br>*general operating support* | PC | $15,000 |
| **Dubuque County Historical Society**<br>350 East 3rd Street<br>Dubuque, IA 52001<br>*Animal Encounters Program* | PC | $5,000 |
| **New Richmond Area Centre**<br>428 South Starr Avenue<br>New Richmond, WI 54017<br>*YOUth and Families Initiative* | PC | $6,000 |
| **North Branch School District 138**<br>38705 Grand Ave.<br>North Branch, MN 55056<br>*Project Lead the Way at North Branch Area High School* | GOV | $10,000 |
| **Office on Children and Youth**<br>MSC 9008<br>601 University Blvd.<br>Harrisonburg, VA 22807<br>*The Reading Road Show - Gus Bus* | PC | $2,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **The Partnership Plan for Stillwater Area Schools**<br>1875 South Greeley Street<br>Stillwater, MN 55082<br>*general operating & Bridging the St. Croix STEAM project* | PC | $20,000 |
| **Rushmore Academy**<br>1565 Amherst Drive<br>Marion, OH 43302<br>*Rushmore Job Program* | GOV | $5,000 |
| **Rutgers University Foundation**<br>120 Albany St., Tower II, Suite 250<br>New Brunswick, NJ 08901<br>*NJ Governor's School of Engineering/Technology* | PC | $10,000 |
| **St. Croix ArtBarn**<br>P.O. Box 37<br>1040 Oak Ridge Drive<br>Osceola, WI 54020<br>*general operating support* | PC | $5,000 |
| **School District of Menomonie Area**<br>Administrative Service Center<br>215 Pine Avenue NE<br>Menomonie, WI 54751<br>*Project Lead the Way program* | GOV | $5,000 |
| **VirginiaFIRST**<br>2500 West Broad Street<br>Richmond, VA 23220<br>*FIRST hands-on STEM project* | PC | $2,500 |
| **YMCA of Greater Twin Cities**<br>2125 E. Hennepin Ave.<br>Minneapolis, MN 55413<br>*Building Tomorrow's Leaders Capital Campaign (YMCA Camp St. Croix)* | PC | $50,000 |
| **YMCA of Greater Twin Cities**<br>2125 E. Hennepin Ave.<br>Minneapolis, MN 55413<br>*YMCA Camp and Branch programs for St. Croix Valley and east metro areas* | PC | $50,000 |
| **Young Life St. Croix Valley**<br>1151 Parkwood Lane<br>Stillwater, MN 55082<br>*general operating support* | PC | $10,000 |
| **Youth Service Bureau, Inc.**<br>Historic Courthouse<br>101 West Pine Street<br>Stillwater, MN 55082<br>*general operating support* | PC | $5,000 |
| ***Total ACF\Education & Youth Development (37 items)*** | | **$511,250** |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **ACF\Health & Safety** | | |
| **American Diabetes Association, Inc.**<br>Minnesota Area<br>Braemar Office Park<br>8000 W. 78th Street, Suite 175<br>Edina, MN 55439-2540<br>*Camp Needlepoint/Daypoint* | PC | $3,500 |
| **American Red Cross of Central New Jersey**<br>707 Alexander Road, Suite 101<br>Princeton, NJ 08540<br>*general operating support* | PC | $20,000 |
| **American Red Cross of Western Wisconsin**<br>3728 Spooner Avenue<br>Altoona, WI 54720<br>*general operating support* | PC | $12,000 |
| **Brain Injury Association of Minnesota**<br>2277 Highway 36 West, Suite 200<br>Roseville, MN 55113<br>*general operating support* | PC | $4,000 |
| **Camp Albrecht Acres of the Midwest, Inc.**<br>18437 Sherrill Road<br>Sherrill, IA 52073<br>*general operating support* | PC | $10,000 |
| **Children's Dental Services**<br>636 Broadway Street NE<br>Minneapolis, MN 55413<br>*general operating support-Washington County* | PC | $17,000 |
| **Chippewa Valley Free Clinic**<br>836 Richard Drive<br>Eau Claire, WI 54701<br>*general operating support* | PC | $5,000 |
| **Courage Kenny Foundation**<br>3915 Golden Valley Road<br>Golden Valley, MN 55422<br>*Courage Kenny St. Croix operating support* | SO I | $45,000 |
| **Free Clinic of Pierce and St. Croix Counties, Inc.**<br>1687 East Division Street<br>PO Box 745<br>River Falls, WI 54022<br>*general operating support* | PC | $5,500 |
| **Hudson Hospital Foundation**<br>405 Stageline Road<br>Hudson, WI 54016<br>*Rural Emergency Telemedicine program* | PC | $37,500 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Lakeview Foundation**<br>927 Churchill Street West<br>Stillwater, MN 55082<br>*Parish Nursing Program ($17,000) Alice Anderson Scholarships ($15,000)* | SO II | $32,000 |
| **Page Memorial Hospital**<br>200 Memorial Drive<br>Luray, VA 22835<br>*Come Grow With Us! Capital Campaign* | PC | $35,000 |
| **Page Memorial Hospital**<br>200 Memorial Drive<br>Luray, VA 22835<br>*Come Grow With Us! Capital Campaign* | PC | $30,000 |
| **Regions Hospital Foundation**<br>640 Jackson Street<br>St. Paul, MN 55101-2595<br>*Campaign to Transform Mental Health Care* | PC | $50,000 |
| **Regions Hospital Foundation**<br>640 Jackson Street<br>St. Paul, MN 55101-2595<br>*Distress Fund in Honor of Brock Nelson* | PC | $10,000 |
| **Sight & Hearing Association**<br>1246 University Avenue W, Suite 226<br>St. Paul, MN 55104-4125<br>*Preschool Screening program* | PC | $7,500 |
| **Special Olympics Minnesota**<br>100 Washington Avenue South, Suite 550<br>Minneapolis, MN 55401<br>*St Croix Valley Lumberjacks and Young Athletes* | PC | $10,000 |
| **St. Croix Therapy, Inc.**<br>742 Sterbenz Drive<br>Hudson, WI 54016<br>*therapy-related equipment* | PC | $7,000 |
| **True Friends**<br>10509 108th Street NW<br>Annandale, MN 55302<br>*Financial Assistance for St Croix Valley Families* | PC | $5,000 |
| **Waypoint Services**<br>318 5th Street SE<br>Cedar Rapids, IA 52401<br>*Partnering for Improved Outcomes Program* | PC | $1,000 |
| ***Total ACF\Health & Safety (20 items)*** | | **$347,000** |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **ACF\Human Services** | | |
| **180 Turning Lives Around, Inc.**<br>1 Bethany Road, Building 3, Suite 42<br>Hazlet, NJ 07730<br>*2nd Floor Youth Helpline Middlesex County* | PC | $7,000 |
| **Amery Area Senior Citizens, Inc.**<br>608 Harriman Avenue South<br>Amery, WI 54001<br>*general operating support* | PC | $3,000 |
| **Anshe Emeth Community Development Corporation**<br>222 Livingston Avenue<br>New Brunswick, NJ 08901<br>*general operating support* | PC | $20,000 |
| **Arc Greater Twin Cities**<br>2446 University Avenue West, Suite 110<br>St. Paul, MN 55114-1740<br>*general operating support* | PC | $6,500 |
| **The Arc of New Jersey**<br>985 Livingston Ave.<br>North Brunswick, NJ 08902<br>*The New Jersey Self-Advocacy Project Health Literacy Initiative* | PC | $2,800 |
| **Basic Needs Inc. of South Washington County**<br>d/b/a Stone Soup Thrift Shop<br>950 3rd Street, Suite 101<br>St. Paul Park, MN 55071<br>*unsolicited basic needs support* | PC | $2,000 |
| **Basic Needs Inc. of South Washington County**<br>d/b/a Stone Soup Thrift Shop<br>950 3rd Street, Suite 101<br>St. Paul Park, MN 55071<br>*general operating support* | PC | $8,000 |
| **Bidwell Riverside Center**<br>1203 Hartford Ave.<br>Des Moines, IA 50315<br>*unsolicited basic needs support* | PC | $2,500 |
| **Bread of Life Rescue Mission**<br>PO Box 458<br>Oceanside, CA 92049<br>*unsolicited basic needs support* | PC | $4,500 |
| **The Bridge to Hope, Inc.**<br>P.O. Box 700<br>Menomonie, WI 54751<br>*general operating support* | PC | $3,000 |
| **Children and Families of Iowa**<br>1111 University Avenue<br>Des Moines, IA 50314<br>*Teens in Transition* | PC | $10,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Christian Food Cupboard of Hudson**<br>St. Patrick's Catholic Church<br>1500 Vine Street<br>Hudson, WI 54016<br>*unsolicited basic needs support* | PC | $5,000 |
| **Community Referral Agency, Inc.**<br>403 Gandy View<br>Milltown, WI 54858<br>*general operating support* | PC | $5,000 |
| **Community Thread**<br>2300 Orleans Street West<br>Stillwater, MN 55082<br>*general operating support and the Bayport Senior Center* | PC | $31,000 |
| **CLUES**<br>797 East Seventh Street<br>St. Paul, MN 55106<br>*general operating support* | PC | $12,000 |
| **Concerned Citizens Against Violence Against Women, Inc.**<br>330 Barks Road West<br>PO Box 875<br>Marion, OH 43301-0875<br>*general operating support* | PC | $5,000 |
| **Council on Domestic Violence for Page County, Inc.**<br>216 West Main Street<br>Luray, VA 22835<br>*general operating support* | PC | $7,500 |
| **Dubuque Food Pantry**<br>1598 Jackson Street<br>Dubuque, IA 52004<br>*unsolicited basic needs support* | PC | $5,000 |
| **East Suburban Resources**<br>1754 Washington Avenue<br>Stillwater, MN 55082<br>*Senior Chore Service Program* | PC | $7,500 |
| **Elijah's Promise**<br>211 Livingston Avenue<br>New Brunswick, NJ 08901<br>*unsolicited basic needs support* | PC | $6,000 |
| **EVOLVE Adoption and Family Services International, Inc.**<br>7600 Parklawn Ave. Suite 352<br>Edina, MN 55435<br>*general operating support* | PC | $5,000 |
| **Family Resource Center St. Croix Valley**<br>857 Main Street<br>P. O. Box 2087<br>Baldwin, WI 54002<br>*general operating support* | PC | $4,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **FamilyMeans**<br>1875 Northwestern Avenue South<br>Stillwater, MN 55082<br>*Capital for Cimarron Youth Center* | PC | $34,000 |
| **FamilyMeans**<br>1875 Northwestern Avenue South<br>Stillwater, MN 55082<br>*Capital for Cimarron Youth Center* | PC | $33,000 |
| **FamilyMeans**<br>1875 Northwestern Avenue South<br>Stillwater, MN 55082<br>*general operating support* | PC | $45,000 |
| **Food Bank of Iowa**<br>2220 E. 17th Street<br>Des Moines, IA 50316<br>*unsolicited basic needs support* | PC | $2,500 |
| **Friends in Need Food Shelf**<br>PO Box 6<br>Cottage Grove, MN 55016<br>*unsolicited basic needs support* | PC | $5,000 |
| **The Salvation Army/Grace Place**<br>203 Church Hill Rd<br>Somerset, WI 54025<br>*unsolicited basic needs support* | PC | $5,000 |
| **Hope Gospel Mission Inc.**<br>8 South Farwell Street<br>Eau Claire, WI 54702<br>*general operating support* | PC | $3,000 |
| **Hope Gospel Mission Inc.**<br>8 South Farwell Street<br>Eau Claire, WI 54702<br>*unsolicited basic needs* | PC | $5,000 |
| **Hope Ministries**<br>PO Box 862<br>Des Moines, IA 50304<br>*Hope Café* | PC | $10,000 |
| **Interfaith Caregivers of Polk County, Inc.**<br>PO Box 426<br>Balsam Lake, WI 54810<br>*general operating support* | PC | $5,000 |
| **International Rescue Committee**<br>263 West 38th Street, 6th Floor<br>New York, NY 10018<br>*Core Resettlement Programs* | PC | $20,000 |
| **Lansing Community Food Pantry, Inc.**<br>2990 Ridge Road<br>Lansing, IL 60438<br>*unsolicited basic needs support* | PC | $4,500 |
| **Learning Disabilities Association, Inc.**<br>6100 Golden Valley Road<br>Golden Valley, MN 55422<br>*general operating support* | PC | $10,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Lifeworks Services, Inc.**<br>2965 Lone Oak Drive<br>Suite 160<br>Eagan, MN 55121<br>*general operating support for Washington County* | PC | $5,000 |
| **Love INC of Marion County Ohio**<br>PO Box 996<br>Marion, OH 43302<br>*general operating support* | PC | $5,000 |
| **Mano Amiga, Inc.**<br>10551 Penfield Avenue Circle North<br>Stillwater, MN 55082<br>*unsolicited basic needs support* | PC | $5,000 |
| **Marion Shelter Program Inc.**<br>326 West Fairground Street<br>Marion, OH 43302<br>*general operating support* | PC | $7,000 |
| **Merrick, Inc.**<br>3210 Labore Road<br>Vadnais Heights, MN 55110<br>*Adult Day Services/Memory Care ($5k) and Document Destruction Program ($10k)* | PC | $10,000 |
| **Metro Family Ministries, Inc.**<br>PO Box 462431<br>Garland, TX 75046<br>*unsolicited basic needs support* | PC | $2,250 |
| **Neighborhood House**<br>179 Robie Street East<br>St. Paul, MN 55107-2360<br>*general operating support* | PC | $15,000 |
| **Neighborhood House**<br>179 Robie Street East<br>St. Paul, MN 55107-2360<br>*Wellstone Food Shelf Remodel project* | PC | $20,000 |
| **Neighborhood House**<br>179 Robie Street East<br>St. Paul, MN 55107-2360<br>*unsolicited basic needs support* | PC | $5,000 |
| **New Brunswick Tomorrow**<br>390 George Street, 2nd Floor<br>New Brunswick, NJ 08901<br>*Parent/Infant Care Center (PIC-C)* | PC | $10,000 |
| **Operation H.E.L.P. Inc.**<br>PO Box 1134<br>Hudson, WI 54016<br>*unsolicited basic needs support for emergency funds* | PC | $5,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Operation H.E.L.P. Inc.**<br>PO Box 1134<br>Hudson, WI 54016<br>*general operating support* | PC | $8,000 |
| **Page One of Page County, Inc.**<br>42 West Main Street<br>Luray, VA 22835<br>*unsolicited basic needs support* | PC | $5,000 |
| **The Pantry**<br>5357 Chapel Hill Road<br>Douglasville, GA 30135<br>*unsolicited basic needs support* | PC | $4,500 |
| **Presbyterian Homes Foundation**<br>2845 Hamline Avenue North<br>Roseville, MN 55113<br>*charity meal support* | PC | $7,500 |
| **River Falls Community Food Pantry**<br>222 North Main Street, Suite C<br>PO Box 341<br>River Falls, WI 54022<br>*unsolicited basic needs support* | PC | $5,000 |
| **St. Croix Valley SART**<br>1343 North Main Street<br>River Falls, WI 54022<br>*general operating support* | PC | $5,000 |
| **St. Paul's Episcopal Church**<br>197 East Center Street<br>Marion, OH 43302<br>*St. Paul's Community Food Pantry* | NC | $5,000 |
| **St. Paul's Episcopal Church**<br>197 East Center Street<br>Marion, OH 43302<br>*unsolicited basic needs support* | NC | $4,000 |
| **The Salvation Army USA Southern Territory**<br>DFW Metroplex Command<br>PO Box 36006<br>Dallas, TX 75235<br>*unsolicited basic needs support for Garland, TX food shelf* | PC | $2,250 |
| **Salvation Army, Northern Division**<br>2445 Prior Avenue<br>Roseville, MN 55113<br>*Washington County Social Services* | PC | $7,500 |
| **Second Harvest Heartland**<br>1140 Gervais Avenue<br>St. Paul, MN 55109<br>*general operating support* | PC | $10,000 |
| **Second Harvest Heartland**<br>1140 Gervais Avenue<br>St. Paul, MN 55109<br>*unsolicited basic needs support* | PC | $10,000 |

| Recipient and/or Purpose | Tax Status | Amount Paid FYE 2013-14 |
|---|---|---|
| **Shenandoah Area Agency on Aging, Inc.**<br>207 Mosby Lane<br>Front Royal, VA 22630<br>*general operating support* | PC | $13,500 |
| **Shen-Paco Industries, Inc.**<br>34 Stoney Brook Lane<br>Luray, VA 22835<br>*general operating support* | PC | $7,500 |
| **Store To Door**<br>1935 West County Road B2, Suite 250<br>Roseville, MN 55113<br>*gos-Washington Co.* | PC | $7,000 |
| **Think Small**<br>10 Yorkton Court<br>St. Paul, MN 55117-1065<br>*general operating support* | PC | $5,000 |
| **Tubman**<br>3111 First Avenue South<br>Minneapolis, MN 55408<br>*general operating support* | PC | $7,000 |
| **Turningpoint for Victims of Domestic and Sexual Violence, Inc.**<br>P.O. Box 304<br>River Falls, WI 54022<br>*general operating support* | PC | $7,000 |
| **Valley Outreach**<br>1911 Curve Crest Boulevard West<br>Stillwater, MN 55082<br>*general operating support* | PC | $15,000 |
| **Valley Outreach**<br>1911 Curve Crest Boulevard West<br>Stillwater, MN 55082<br>*unsolicited food shelf support* | PC | $5,000 |
| **WesleyLife**<br>5508 NW 88th Street<br>Johnston, IA 50131<br>*Meals on Wheels* | SO III FI | $5,000 |
| **Amherst H. Wilder Foundation**<br>451 Lexington Parkway North<br>St. Paul, MN 55104<br>*Adult Day Health Services* | PC | $20,000 |
| ***Total ACF\Human Services (68 items)*** | | **$599,300** |
| **Grand Totals (148 items)** | | **$1,852,550** |

Andersen Corporate Foundation
FEIN: 41-6020912
FYE: November 30, 2014

## Form 990, Part XV, Line 3b - Grants and Contributions Approved for Future Payment

| Organization and/or Purpose | Tax Status | Grant Amount |
|---|---|---|
| <u>ACF\Affordable Housing</u> | | |
| **Habitat for Humanity International**<br>270 Peachtree Street, Suite 1300<br>Atlanta, GA 30303<br>*Neighborhood Revitalization Initiative* | PC | $ 66,000 |
| <u>ACF\Civic</u> | | |
| **Ordway Center for the Performing Arts**<br>345 Washington Street<br>Saint Paul, MN 55102-1495<br>*The Arts Partnership Capital Campaign* | PC | $ 100,000 |
| <u>ACF\Education & Youth Development</u> | | |
| **Boy Scouts of America, Northern Star Council**<br>393 Marshall Avenue<br>St. Paul, MN 55102-1717<br>*Leadership Center Capital Campaign* | PC | $ 200,000 |
| **YMCA of Greater Twin Cities**<br>2125 E. Hennepin Ave.<br>Minneapolis, MN 55413<br>*Building Tomorrow's Leaders Capital Campaign (Camp St Croix)* | PC | $ 50,000 |
| <u>ACF\Health & Safety</u> | | |
| **Regions Hospital Foundation**<br>640 Jackson St<br>Saint Paul , MN 55101<br>Campaign to Transform Mental Health Care | PC | $ 50,000 |
| **Hudson Hospital Foundation**<br>405 Stageline Road<br>Hudson, WI 54016<br>*Rural Emergency Telemedicine program* | PC | $ 37,500 |
| <u>ACF\Human Services</u> | | |
| **FamilyMeans**<br>1875 Northwestern Avenue South<br>Stillwater, MN 55082<br>*Cimarron Youth Center Capital Campaign* | PC | $ 33,000 |
| **Grand Total (7 items)** | | **$536,500** |

# ANDERSEN CORPORATE FOUNDATION

The Andersen Corporate Foundation was established in 1941 with the mission "to better people's lives and strengthen communities focusing primarily where Andersen employees live and work." Since then, the foundation has donated more than $54 million to worthy causes.

Funding priorities include:

### Affordable Housing

The Andersen Corporate Foundation supports organizations that provide affordable housing to low-income families.

- Sustainable housing that does not convert to market-rate housing
- Owner-occupied/rental homes, apartments and assisted living
- Support services for emergency shelter and short-term transitional housing
- **Funding:** General operating preferred; projects and capital considered

### Health and Safety

The Andersen Corporate Foundation supports organizations that promote safe and healthy environments, as well as organizations that seek to improve health through prevention and education programs, primarily for young people, senior citizens and people in vulnerable situations.

- Prevention/outreach programs

- Programs and services that promote mental health, wellness and safety
- Facilities and equipment
- Emergency preparedness
- Recreational programs for people with special needs
- **Funding:** General operating preferred; projects and capital considered

### Education and Youth Development

The Andersen Corporation Foundation supports organizations that offer intellectual and social opportunities, primarily for K-12 students.

- Programs for public schools that are not funded in the general operating budget, and a responsible, independent group oversees allocation of the funds
- Programs that focus on science, technology, engineering and math
- Preservation of environmental quality
- Mentoring and Youth Development programs
- **Funding:** General operating preferred, projects and capital considered

### Human Services

The Andersen Corporate Foundation contributes to organizations that enhance self-sufficiency for people living in poverty, senior citizens and people with disabilities.

- Basic needs, including food and transportation
- Skill development for people with disabilities and people living in poverty
- Services that help senior citizens maintain their independence
- New immigrant services
- National organizations providing emergency recovery services for communities suffering from natural disasters
- **Funding:** General operating preferred, projects and capital considered

**Civic Support**

The Andersen Corporate Foundation supports organizations that build, promote and preserve communities

- Community assets, such as museums
- Cultural organizations, such as performing arts, visual arts and music organizations, that make a significant contribution to the life of the community
- **Funding:** Capital, projects, and general operating

**[Andersen Related Foundation Brochure.pdf]**

## ANDERSEN RELATED PHILANTHROPY BROCHURE [/WP-CONTENT/UPLOADS/2012/10/ANDERSEN-RELATED-FOUNDATION-BROCHURE.PDF]

A Legacy of Giving; Andersen Related Foundations

## IRS 990 PF FORMS

**IRS 990 Form for Fiscal Year Ending 11/30/2012 [/wp-content/uploads/2012/10/990-PF-2012.pdf]**

**IRS 990 Form for Fiscal Year Ending 11/30/2011 [/wp-content/uploads/2012/10/990-PF-2011.pdf]**

**IRS 990 Form for Fiscal Year Ending 11/30/2010 [/wp-content/uploads/2012/10/990-PF-2010.pdf]**

## COMMUNITY INVOLVEMENT [HTTP://WWW.ANDERSENCORPORATION.COM/CORPORATE-RESPONSIBILITY/COMMUNITY-INVOLVEMENT/]

**Andersen Corporate Foundation [http://www.andersencorporation.com/corporate-responsibility/community-involvement/andersen-corporate-foundation/]**

**Andersen Corporate Giving [http://www.andersencorporation.com/corporate-responsibility/community-involvement/andersen-corporate-giving/]**

CONTACT INFORMATION

Chloette Haley, Program Officer
651-275-4450
AndersenCorpFDN@srinc.biz

APPLY FOR FUNDING

**Download Application [/wp-content/uploads/2012/10/ACF-request-cover-form-Oct-14.pdf]** (PDF)

**Download Application [/wp-content/uploads/2012/10/ACF-request-cover-form-141.doc]** (Word)

FOUNDATION DEADLINES

February 15
June 15
October 15
[#]

BOARD OF DIRECTORS

Keith Olson, President
Susan Roeder, Vice President
Phil Donaldson, Treasurer
Jay Lund, Director
Jerry Redmond, Director
Karen Richard, Director

Andersen. CORPORATE FOUNDATION

# Application Form

**Date**

**ORGANIZATION NAME** (legal) a/k/a

| Address | City, State, Zip | Employer Identification Number (EIN) | |
|---|---|---|---|
| Phone | Fax | Web site | |
| Name of top paid staff | Title | Phone | E-mail |
| Name of contact person regarding this application | Title | Phone | E-mail |

**BRIEF ORGANIZATION DESCRIPTION:**

If your nonprofit has completed an Accountability Wizard review through the Charities Review Council (www.smartgivers.org), please list the date of your final report: ______________.

**TYPE OF SUPPORT:**

☐ General Operating Support ☐ Project/Program* ☐ Capital ☐ Other ______________

***PROJECT NAME (for Project/Program requests only):**

**FINANCIAL INFORMATION:**

Amount Requested:

Total Organizational Budget:

Project/Program Budget:

Fiscal Year or Project/Program Duration:
Start Date: End Date:

**BRIEF SUMMARY OF REQUEST:**

**PRIMARY FOUNDATION PROGRAM FOCUS AREA:**

☐ Affordable Housing ☐ Health & Safety ☐ Educ. & Youth Dev. ☐ Human Services ☐ Civic

**GEOGRAPHIC AREA SERVED BY REQUEST:**

☐ Headquarters (Washington County, East Metro Area, or Western Wisconsin) List County ________________

☐ Dunn County, WI ☐ Des Moines, IA ☐ Dubuque, IA ☐ Luray, VA ☐ North Brunswick, NJ ☐ Marion, OH

**DESCRIPTION OF THE POPULATION SERVED BY REQUEST:**

**Name and Title of top paid staff and/or Board Chair:** ________________________________

**Signature:** ________________________________

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# Application Submission Information

**SEND YOUR COMPLETED PROPOSAL TO:**

**HEADQUARTERS**: Primary geographic priorities - Washington County, Minnesota and portions of western Wisconsin including St. Croix, Polk, Pierce, and Barron counties in western Wisconsin. Secondary geographic priorties include east metro area of the Twin Cities.

Chloette Haley, Program Officer, Andersen Corporate Foundation, 342 Fifth Avenue North, Suite 200, Bayport, MN 55003 / 651-275-4450

**HEADQUARTERS DEADLINES AND FOUNDATION MEETING DATES**

- **Deadlines** for submission of headquarter proposals are October 15, February 15 and June 15. When deadlines fall on a weekend or holiday, the deadline will be the following working day.
- **Proposals must be received** in the Foundation's office **(not postmarked)** on or before the deadline date. Hand delivered applications must be received in the Foundation's office by 5:00 p.m. on the day of the deadline. No faxed or emailed applications (except by invitation only) please.
- **Board meeting dates** for request consideration: March, July and November respectively.

**NON-HEADQUARTERS ANDERSEN ENTERPRISE LOCATIONS**

For questions regarding eligibility for Non-Headquarter requests, please contact your local Andersen Enterprise as indicated below:

**Andersen Menomonie Plant, *Dunn County, WI***
Kati Diaz, Andersen Plant, 201 Lookout Road, Menomonie, WI 54751 / 651-264-7179

**Andersen Corporation, Storm Door Division, *Des Moines, IA***
Kerri Peterson, Andersen Corporation, Storm Door Division, 2121 East Walnut Street, Des Moines, IA 50317 / 515-264-4288

**Andersen Corporation, Dubuque Plant, *Dubuque, IA***
Ellen Neese, Andersen Corporation, Dubuque Plant, 2045 Kerper Boulevard Dubuque, IA 52001 / 563-556-2270 ext. 233

**Andersen Corporation, Storm Door Division, *Luray, VA***
Tina Robin Mann, Andersen Corporation, Storm Door Division, 31 Stony Brook Lane, Luray, VA 22835 / 540-843-7900 ext. 0

**Silver Line by Andersen, *North Brunswick, NJ and Marion, OH***
Carolyn Mendez, Silver Line by Andersen, 1 Silver Line Drive, North Brunswick, NJ 08902-3397 / 888-741-0354 ext. 4180

**NON-HEADQUARTERS DEADLINES AND FOUNDATION MEETING DATES**

- **Deadlines** for submission of non-headquarter proposals are October 15 and February 15. When deadlines fall on a weekend or holiday, the deadline will be the following working day.
- **Proposals must be received** in the Andersen Enterprise office **(not postmarked)** on or before the deadline date. Hand delivered applications must be received in the Andersen Enterprises' office by 5:00 p.m. on the day of the deadline. No faxed or emailed applications (except by invitation only) please.
- **Board meeting dates** for request consideration: March and July respectively.

**FOR QUESTIONS REGARDING APPLICATION PROCESS CONTACT:**
**Chloette Haley, Program Officer**
651-275-4450
andersencorpfdn@srinc.biz

Andersen. CORPORATE FOUNDATION

# Application Checklist

**SUBMIT ONE UNBOUND COPY OF THE FOLLOWING IN THIS ORDER:**

____ The request cover form. The request cover form and Foundation guidelines are available at our website http://corporate.andersenwindows.com/corporate-responsibility/community-involvement/andersen-corporate-foundation/

____ This checklist.

____ A cover letter signed by the top administrator of your organization including a specific monetary request amount.

**ORGANIZATIONAL INFORMATION**

____ Brief history of your organization and a description of its mission.

____ Current programs, activities, service statistics, strengths and accomplishments.

____ Your organization's relationship with similar organizations.

____ Number of board members, full-time paid staff, part-time paid staff, and volunteers.

**PURPOSE OF GRANT**

____ Situation, specific activities including geographic area served, timeframe and impact of activities.

____ Long term funding strategies.

**ATTACHMENTS**

**Financial**

____ Organizational budget including revenue sources and expenses.

____ Project budget including revenue sources and expenses (not required for general operating requests).

____ Recent year-to-date financial statements (balance sheet or statement of activities and functional expenses).

____ Complete copy of your most recent Internal Revenue Service Form 990 **or** audit.

____ Corporations and foundations solicited for funding including the amount(s) requested from each.

**Other Supporting Materials**

____ If you received past support, please indicate how those funds were utilized (including service statistics).

____ List of the Board of Directors and their affiliations.

____ If your organization is designated 509(a)(3), legal documentation of the "Type" listed on your IRS 990 form, question #13 in Part IV, "Reason for Non-Private Foundation Status".

____ Description of any future plans your organization has for a capital or endowment campaign.

____ A list (or number) of Andersen Corporation employees involved in your project or organization.

**IF THIS REQUEST IS FOR CAPITAL SUPPORT**

____ Include projected operating budgets itemizing projected increases and list revenues that will generate the additional income.

____ Include a detailed fundraising plan.

____ Specify if your capital budget is an estimate or based on firm bids.

____ Indicate if land or a building has been purchased and by what entity, if construction has begun or when it is intended to begin, and if there are any zoning or environmental issues that need to be addressed.

____ Include a timeline illustrating the intended progression of the total project.