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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052



2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 12-01-2013 , and ending 11-30-2014

Name of foundation GEORGE R & ELISE M FINK FOUNDATION		A Employer identification number 38-6059952	
Number and street (or P O box number if mail is not delivered to street address) 377 FISHER RD		Room/suite	
City or town, state or province, country, and ZIP or foreign postal code GROSSE POINTE, MI 48230		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ 2,455,432	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	69,906	69,906		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	346			
	b Gross sales price for all assets on line 6a 100,010				
	7 Capital gain net income (from Part IV , line 2) . . .		346		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	70,252	70,252		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)				
	c Other professional fees (attach schedule)	19,207	17,632		1,575
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	701			2
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy	5,480			5,480
	21 Travel, conferences, and meetings	1,120			1,120
	22 Printing and publications				
	23 Other expenses (attach schedule)	3,581			3,581
	24 Total operating and administrative expenses. Add lines 13 through 23	30,089	17,632		11,758
	25 Contributions, gifts, grants paid	107,980			107,980
	26 Total expenses and disbursements. Add lines 24 and 25	138,069	17,632		119,738
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-67,817			
	b Net investment income (if negative, enter -0-)		52,620		
	c Adjusted net income (if negative, enter -0-)			0	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	7,213	6,847	6,847
	2	Savings and temporary cash investments	18,757	50,866	50,866
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	440,730 	440,729	2,075,663
	c	Investments—corporate bonds (attach schedule).	414,808 	315,249	322,056
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	881,508	813,691	2,455,432
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	692,638	692,638	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	188,870	121,053	
	30	Total net assets or fund balances (see page 17 of the instructions)	881,508	813,691	
	31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	881,508	813,691	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	881,508
2	Enter amount from Part I, line 27a	2	-67,817
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3	4	813,691
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 .	6	813,691

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	PUBLICLY HELD SECURITIES	P		
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 100,010		99,664	346
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a			346
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	346
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	101,945	2,100,078	0 048543
2011	107,786	1,926,396	0 055952
2010	104,321	1,895,411	0 055039
2009	93,814	1,815,230	0 051682
2008	59,700	1,634,475	0 036525

2	Total of line 1, column (d).	2	0 247741
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0 049548
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	2,356,784
5	Multiply line 4 by line 3.	5	116,774
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	526
7	Add lines 5 and 6.	7	117,300
8	Enter qualifying distributions from Part XII, line 4. If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	119,738

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	526
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		2	0
3 Add lines 1 and 2.		3	526
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4	0
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	526
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	596	
b Exempt foreign organizations—tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments Add lines 6a through 6d.		7	596
8 Enter any penalty for underpayment of estimated tax Check here <input type="checkbox"/> if Form 2220 is attached		8	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10	70
11 Enter the amount of line 10 to be Credited to 2014 estimated tax 70 Refunded		11	

Part VII-A Statements Regarding Activities

1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a	Yes	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.	1c		No
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?	2		No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3		No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.	4a		No
b If "Yes," has it filed a tax return on Form 990-T for this year?.	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?	5		No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.	7	Yes	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) MI			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .	8b	Yes	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9		No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.	10		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address NONE	13	Yes	
14	The books are in care of ELYSE F JONES Telephone no (313) 886-8451 Located at 377 FISHER RD GROSSE POINTE MI ZIP+4 48230			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. Yes No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. Yes No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). Yes No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here.	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20 , 20 , 20 , 20			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here 20 , 20 , 20 , 20			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. Yes No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?		5b	
	Organizations relying on a current notice regarding disaster assistance check here.	<input checked="" type="checkbox"/>		
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?	<input type="checkbox"/> Yes <input type="checkbox"/> No		
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?		6b	No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?		7b	

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELYSE F JONES	PRESIDENT	0	0	0
377 FISHER RD	1 00			
GROSSE POINTE, MI 48230				
JOHN M FINK	VICE PRESIDENT	0	0	0
377 FISHER RD	0 25			
GROSSE POINTE, MI 48230				
LYNN CARPENTER	SECRETARY	0	0	0
377 FISHER RD	0 25			
GROSSE POINTE, MI 48230				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				0

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	2,386,355
b	Average of monthly cash balances.	1b	0
c	Fair market value of all other assets (see instructions).	1c	6,319
d	Total (add lines 1a, b, and c).	1d	2,392,674
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	0
3	Subtract line 2 from line 1d.	3	2,392,674
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	35,890
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	2,356,784
6	Minimum investment return. Enter 5% of line 5.	6	117,839

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	117,839
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	526
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	526
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	117,313
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	117,313
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	117,313

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	119,738
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	119,738
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	526
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	119,212
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				117,313
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.				
b From 2009.	1,624			
c From 2010.	10,083			
d From 2011.	12,448			
e From 2012.				
f Total of lines 3a through e.	24,155			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 119,738				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				117,313
e Remaining amount distributed out of corpus	2,425			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	26,580			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	26,580			
10 Analysis of line 9				
a Excess from 2009. . . .	1,624			
b Excess from 2010. . . .	10,083			
c Excess from 2011. . . .	12,448			
d Excess from 2012. . . .				
e Excess from 2013. . . .	2,425			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed				
b	85% of line 2a				
c	Qualifying distributions from Part XII, line 4 for each year listed				
d	Amounts included in line 2c not used directly for active conduct of exempt activities				
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				
3	Complete 3a, b, or c for the alternative test relied upon				
a	"Assets" alternative test—enter				
	(1) Value of all assets				
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.				
c	"Support" alternative test—enter				
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).				
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).				
	(3) Largest amount of support from an exempt organization				
	(4) Gross investment income				

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ELYSE F JONES
377 FISHER RD
GROSSE POINTE, MI 48230
(313) 886-8451

b

The form in which applications should be submitted and information and materials they should include

LETTER OF REQUEST INCLUDING INFORMATION ABOUT THE CHARITY

c

Any submission deadlines

NONE

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NO SCHOLARSHIPS

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

**TY 2013 Investments Corporate
Bonds Schedule****Name:** GEORGE R & ELISE M FINK FOUNDATION**EIN:** 38-6059952

Name of Bond	End of Year Book Value	End of Year Fair Market Value
CORPORATE BONDS	315,249	322,056

**TY 2013 Investments Corporate
Stock Schedule****Name:** GEORGE R & ELISE M FINK FOUNDATION**EIN:** 38-6059952

Name of Stock	End of Year Book Value	End of Year Fair Market Value
STOCKS AND MUTUAL FUNDS	440,168	2,074,874
WARRANTS	561	789

TY 2013 Other Expenses Schedule**Name:** GEORGE R & ELISE M FINK FOUNDATION**EIN:** 38-6059952

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
TELEPHONE & INTERNET EXPENSES	2,411	0	0	2,411
BANK CHARGES	72	0	0	72
SUPPLIES	78	0	0	78
STATE FILING FEE	20	0	0	20
MEMBERSHIP FEE	1,000	0	0	1,000

TY 2013 Other Professional Fees Schedule**Name:** GEORGE R & ELISE M FINK FOUNDATION**EIN:** 38-6059952

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
CUSTODIAN MANAGEMENT FEE	17,632	17,632	0	0
BOOKKEEPING FEE	650	0	0	650
TAX PREPARATION FEE	925	0	0	925

TY 2013 Taxes Schedule**Name:** GEORGE R & ELISE M FINK FOUNDATION**EIN:** 38-6059952

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
PERSONAL PROPERTY TAX	2	0	0	2
EXCISE	699	0	0	0

FINK FOUNDATION
December 1, 2013 to November 30, 2014

Date	Check #	Charity	Address	City, State & Zip	Phone	Donation
12 18 2013	6131	Racquet up Detroit	PO Box 11401	Detroit, MI 48211	313-600-9583	1,500 00
1 5 2014	6134	Grosse Pointe Historical Society	381 Kercheval	Grosse Pointe Farms, MI 48236	313-884-7010	100 00
3 2 2014	6135	Jr League of Detroit DSH	32 Lakeshore Rd	Grosse Pointe Farms, MI 48236	313-881-0040	1,500 00
6 14 2014	6140	Detroit Institute of Ophthalmology - HFH	1 Ford Place, 5-A	Detroit, MI 48202-3450	313-876-9245	6,000 00
6 21 2014	6144	Henry Ford Health System Clambake at the Cottage \$1.120fair market value \$10000 contribution	Office of Philanthropy, 1 Ford Place 5-A	Detroit, MI 48202-3450	313-874-4044	8,880 00
	6142	Edsel & Eleanor Ford House	1100 Lake Shore Rd	Grosse Pointe Shores, MI 48236	313-884-4222	5,000 00
11 14 2014	6147	Neighborhood Club	17150 Waterloo	Grosse Pointe MI 48230	313-885-2418	15,000 00
11 16 2014	6148	Grosse Pointe Rotary	P O Box 36366	Grosse Pointe Farms, MI 48236	NA	5,000 00
11 16 2014	6150	Cooper Rius Healing Community	85 Zillicoa Street	Asheville, NC 28801	800-957-5155	15,000 00
11 24 2014	6149	Family Center	20090 Morningside Dr	Grosse Pointe Woods, MI 48236	313-432-3832	10,000 00
11 24 2014	6151	Capuchin Monastery	1820 Mt Elliott	Detroit, MI 48207	313-579-2100	2,500 00
11 24 2014	6152	Piquette Square, Southwest Solutions	1920 25th St	Detroit, MI 48216	313-481-3100	2,500 00
11 24 2014	6153	Grosse Pointe Animal Adoption Soc	296 Chalfonte	Grosse Pointe Farms, MI 48236	313-884-1551	5,000 00
11 24 2014	6154	Fisher House	111 Rockville Pike, Ste 420	Rockville, MD 20850	301-294-8560	10,000 00
11 24 2014	6155	Wounded Warriors	7020 AC Skinner Pkwy, Ste 100	Jacksonville, FL 32256	904-296-7350	5,000 00
11 24 2014	6156	Salvation Army	16130 Northland Dr	Southfield, MI 48075	248-443-5500	5,000 00
11 24 2014	6157	Roscommon County Community Fnd	701 Lake Street, PO Box 824	Roscommon, MI 48653	989-276-3112	5,000 00
11 25 2014	6158	Family Therapy Foundation	111 E Arellaga St	Santa Barbara, CA 93101	805-882-2400	5,000 00

Total 107,980 00



Schwab One® Account of

Account Number

Statement Period

November 1-30, 2014

This Period

Year to Date

Income Summary

	Federally Tax-Exempt	Federally Taxable	Federally Tax-Exempt	Federally Taxable
Cash Dividends	0.00	3,134.00	0.00	30,745.80
Corporate Bond and Other Interest	0.00	2,925.00	0.00	16,583.33
Total Income	0.00	6,059.00	0.00	47,329.13

Investment Detail - Money Market Funds [Sweep]

Money Market Funds [Sweep]	Quantity	Market Price	Market Value	Current Yield	% of Account Assets
SCHWAB GOVT MONEY FUND: SWGXX	50,865.8800	1.0000	50,865.88	0.00%	2%
Total Money Market Funds [Sweep]			50,865.88		2%
Total Money Market Funds [Sweep]			50,865.88		2%

Investment Detail - Fixed Income

	Par	Market Price	Market Value	Adjusted Cost Basis	% of Account Assets	Unrealized Gain or (Loss)	Estimated Annual Income
Corporate Bonds	Units Purchased	Cost Per Unit	Cost Basis		Acquired		Yield to Maturity
ALCOA INC. 6.5%18	55,000.0000	112.2500	61,737.50	60,227.94	3%	1,509.56^b	3,575.00
DUE 06/15/18	55,000.0000	114.2000	62,810.00 ^a	60,227.94	12/27/12	1,509.56 ^b	3.61%
CUSIP: 022249BA3							
MOODY'S: Ba1 S&P: BBB-							
						Accrued Interest: 1,648.47	
HARTFORD FINL S 5.375%17	50,000.0000	108.7953	54,397.65	51,206.51	2%	3,191.14^b	2,687.50
DUE 03/15/17	50,000.0000	105.0960	52,548.00 ^a	51,206.51	02/01/12	3,191.14 ^b	4.25%
CUSIP: 416515AT1							
MOODY'S: Baa3 S&P: BBB							
						Accrued Interest: 567.36	

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab One® Account of

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Investment Detail - Fixed Income (continued)

	Par	Market Price	Market Value	Adjusted	% of	Unrealized	Estimated
Corporate Bonds	Units Purchased	Cost Per Unit	Cost Basis	Cost Basis	Account	Gain or (Loss)	Annual Income
(continued)					Assets		Yield to Maturity
					Acquired		
MORGAN STANLEY VAR 15	50,000.0000	101.2923	50,646.15	N/A	2%	646.15	N/A
DUE 08/30/15	50,000.0000	100.0000	50,000.00 ^a	N/A	08/17/11	646.15	N/A
CUSIP: 61745EF30							
MOODY'S: Baa2 S&P: A-							
MORGAN STANLEY VAR 17	50,000.0000	105.1902	52,595.10	N/A	2%	657.60	N/A
DUE 09/30/17	50,000.0000	103.8750	51,937.50 ^a	N/A	04/09/13	657.60	N/A
CUSIP: 61745EM99							
MOODY'S: Baa2 S&P: A-							
PPL ENERGY SUPPLY 6.2%16	50,000.0000	104.8601	52,430.05	45,725.00	2%	6,705.05	3,100.00
DUE 05/15/16	50,000.0000	91.4500	45,725.00 ^a	45,725.00	01/14/09	6,705.05	7.74%
CUSIP: 69352JAH0							
MOODY'S: Ba1 S&P: BB							
Accrued Interest: 137.78							

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Investment Detail - Fixed Income (continued)

	Par	Market Price	Market Value	Adjusted	% of	Unrealized	Estimated
	Units Purchased	Cost Per Unit	Cost Basis	Cost Basis	Account Assets	Gain or (Loss)	Annual Income
Corporate Bonds					Acquired		Yield to Maturity
(continued)							
R R DONNELLEY & S	50,000.0000	100.5000	50,250.00	50,267.00	2%	(17.00) ^b	2,750.00
5.5%15							
DUE 05/15/15	50,000.0000	105.0900	52,545.00 ^a	50,267.00	08/13/10	(17.00) ^b	4.30%
CUSIP: 257867AR2							
MOODY'S: Ba3 S&P: BB-							
							Accrued Interest: 122.22
Total Corporate Bonds	305,000.0000		322,056.45	207,426.45	13%	12,692.50 ^b	12,112.50
			Total Cost Basis:	315,565.50			
							Total Accrued Interest for Corporate Bonds: 2,475.83
Total Fixed Income	305,000.0000		322,056.45	207,426.45	13%	12,692.50 ^b	12,112.50
			Total Cost Basis:	315,565.50			

Accrued Interest represents the interest that would be received if the fixed income investment was sold prior to the coupon payment.

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.



Schwab One® Account of

Account Number

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Investment Detail - Equities

Equities	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
A T & T INC NEW	1,400.0000	35.3800	49,532.00	2%	19,470.50	5.20%	2,576.00
SYMBOL: T	1,200.0000	20.8575	25,029.00 ^a	08/12/93	17,427.00	7780	Long-Term
	200.0000	25.1625	5,032.50 ^a	06/06/96	2,043.50	6751	Long-Term
<i>Cost Basis</i>			30,061.50				
ABBOTT LABORATORIES	1,500.0000	44.5100	66,765.00	3%	60,871.78	1.97%	1,320.00
SYMBOL: ABT	1,200.0000	2.4534	2,944.08 ^a	05/11/88	50,467.92	9699	Long-Term
	300.0000	9.8304	2,949.14 ^a	06/06/96	10,403.86	6751	Long-Term
<i>Cost Basis</i>			5,893.22				
ABBVIE INC	1,500.0000	69.2000	103,800.00	4%	97,409.29	2.42%	2,520.00
SYMBOL: ABBV	1,200.0000	2.6605	3,192.61 ^a	05/11/88	79,847.39	9699	Long-Term
	300.0000	10.6603	3,198.10 ^a	06/06/96	17,561.90	6751	Long-Term
<i>Cost Basis</i>			6,390.71				
ALLSTATE CORPORATION	1,000.0000	68.1500	68,150.00	3%	44,050.00	1.64%	1,120.00
SYMBOL: ALL	1,000.0000	24.1000	24,100.00 ^a	09/25/96	44,050.00	6640	Long-Term
AMERICAN EXPRESS COMPANY	900.0000	92.4200	83,178.00	3%	59,180.56	1.12%	936.00
SYMBOL: AXP	900.0000	26.6638	23,997.44 ^a	03/02/98	59,180.56	6117	Long-Term
AUTO DATA PROCESSING	1,600.0000	85.6400	137,024.00	6%	125,179.27	2.24%	3,072.00
SYMBOL: ADP	1,600.0000	7.4029	11,844.73 ^a	12/04/91	125,179.27	8397	Long-Term
BP PLC ADR F	1,000.0000	39.3200	39,320.00	2%	(4,339.90)	5.95%	2,340.00
SPONSORED ADR	1,000.0000	43.6599	43,659.90 ^a	04/30/13	(4,339.90)	579	Long-Term
1 ADR REP 6 ORD							
SYMBOL: BP							<i>Accrued Dividend: 600.00</i>
BRISTOL-MYERS SQUIBB CO	1,600.0000	59.0500	94,480.00	4%	78,109.44	2.43%	2,304.00
SYMBOL: BMY	1,600.0000	10.2316	16,370.56 ^a	06/01/88	78,109.44	9678	Long-Term

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Statement of Assets and Liabilities

Account Number

Statement Period

November 1-30, 2014

Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
CDK GLOBAL INC	533.0000	38.0700	20,291.31	<1%	18,578.58	N/A	N/A
SYMBOL: CDK	533.0000	3.2133	1,712.73 ^a	12/04/91	18,578.58	8397	Long-Term
CISCO SYSTEMS INC	2,700.0000	27.6400	74,628.00	3%	57,046.75	2.74%	2,052.00
SYMBOL: CSCO	2,700.0000	6.5115	17,581.25 ^a	03/10/97	57,046.75	6474	Long-Term
COLGATE-PALMOLIVE CO	2,000.0000	69.5900	139,180.00	6%	121,598.75	2.06%	2,880.00
SYMBOL: CL	2,000.0000	8.7906	17,581.25 ^a	10/26/95	121,598.75	6975	Long-Term
DISNEY WALT CO	1,000.0000	92.5100	92,510.00	4%	64,249.97	0.92%	860.00
SYMBOL: DIS	1,000.0000	28.2600	28,260.03 ^a	11/18/98	64,249.97	5856	Long-Term
EMERSON ELECTRIC CO	1,600.0000	63.7500	102,000.00	4%	78,990.00	2.69%	2,752.00
SYMBOL: EMR	1,600.0000	14.3812	23,010.00 ^a	04/28/94	78,990.00	7521	Long-Term
							Accrued Dividend: 752.00
GENERAL ELECTRIC COMPANY	2,800.0000	26.4900	74,172.00	3%	64,313.67	3.32%	2,464.00
SYMBOL: GE	1,000.0000	3.5208	3,520.83 ^a	06/01/88	22,969.17	9678	Long-Term
	1,800.0000	3.5208	6,337.50 ^a	06/01/88	41,344.50	9678	Long-Term
<i>Cost Basis</i>			9,858.33				
INTEL CORP	2,400.0000	37.2500	89,400.00	4%	68,827.50	2.41%	2,160.00
SYMBOL: INTC	2,400.0000	8.5718	20,572.50 ^a	05/02/96	68,827.50	6786	Long-Term
							Accrued Dividend: 540.00
INTL BUSINESS MACHINES	400.0000	162.1700	64,868.00	3%	50,298.00	2.71%	1,760.00
SYMBOL: IBM	400.0000	36.4250	14,570.00 ^a	02/10/97	50,298.00	6502	Long-Term
							Accrued Dividend: 440.00

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Account Number

Statement Period
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Investment Detail - Equities (continued)

Equities (continued)	Quantity Units Purchased	Market Price Cost Per Share	Market Value Cost Basis	% of Account Assets Acquired	Unrealized Gain or (Loss)	Estimated Yield Holding Days	Estimated Annual Income Holding Period
MERCK & CO INC NEW	2,000.0000	60.4000	120,800.00	5%	99,309.09	2.91%	3,520.00
SYMBOL: MRK	600.0000	8.7129	5,227.76 ^a	06/08/88	31,012.24	9671	Long-Term
	478.0000	15.8015	7,553.13 ^a	08/15/94	21,318.07	7412	Long-Term
	922.0000	9.4468	8,710.02 ^a	05/02/95	46,978.78	7152	Long-Term
<i>Cost Basis</i>			21,490.91				
PEPSICO INCORPORATED	1,200.0000	100.1000	120,120.00	5%	86,908.99	2.61%	3,144.00
SYMBOL: PEP	800.0000	27.4258	21,940.67 ^a	09/10/96	58,139.33	6655	Long-Term
	400.0000	28.1758	11,270.34 ^a	11/26/96	28,769.66	6578	Long-Term
<i>Cost Basis</i>			33,211.01				
PFIZER INCORPORATED	2,000.0000	31.1500	62,300.00	3%	38,650.00	3.33%	2,080.00
SYMBOL: PFE	2,000.0000	11.8250	23,650.00 ^a	05/29/96	38,650.00	6759	Long-Term
							<i>Accrued Dividend: 520.00</i>
SCHLUMBERGER LTD F	1,200.0000	85.9500	103,140.00	4%	70,882.61	1.86%	1,920.00
SYMBOL: SLB	400.0000	34.1523	13,660.95 ^a	03/06/98	20,719.05	6113	Long-Term
	800.0000	23.2455	18,596.44 ^a	09/08/98	50,163.56	5927	Long-Term
<i>Cost Basis</i>			32,257.39				
WALGREEN COMPANY	3,000.0000	68.6100	205,830.00	8%	196,989.38	1.96%	4,050.00
SYMBOL: WAG	3,000.0000	2.9468	8,840.62 ^a	10/22/90	196,989.38	8805	Long-Term
							<i>Accrued Dividend: 1,012.50</i>

Schwab has provided accurate gain and loss information wherever possible for most investments. Cost basis data may be incomplete or unavailable for some of your holdings. Please see "Endnotes for Your Account" section for an explanation of the endnote codes and symbols on this statement.



Schwab Corp.

Account Number

Statement Period

November 1-30, 2014

Investment Detail - Equities (continued)

Equities (continued)	Quantity	Market Price	Market Value	% of Account Assets	Unrealized	Estimated	Estimated
	Units Purchased	Cost Per Share	Cost Basis	Acquired	Gain or (Loss)	Yield	Annual Income
						Holding Days	Holding Period
WELLS FARGO & CO NEW	2,999.0000	54.4800	163,385.52	7%	135,222.48	2.56%	4,198.60
SYMBOL: WFC	199.0000	40.4424	8,048.04 ^a	12/13/88	2,793.48	9483	Long-Term
	2,000.0000	6.8500	13,700.00 ^a	10/19/93	95,260.00	7712	Long-Term
	800.0000	8.0187	6,415.00 ^a	11/07/95	37,169.00	6963	Long-Term
Cost Basis			28,163.04				Accrued Dividend: 1,049.65
Total Equities	36,332.0000		2,074,873.83	85%	1,631,796.71		50,028.60
		Total Cost Basis:	443,077.12				

Total Accrued Dividend for Equities: 4,914.15

Estimated Annual Income ("EAI") and Estimated Yield ("EY") calculations are for informational purposes only. The actual income and yield might be lower or higher than the estimated amounts. EY is based upon EAI and the current price of the security and will fluctuate. For certain types of securities, the calculations could include a return of principal or capital gains in which case EAI and EY would be overstated. EY and EAI are not promptly updated to reflect when an issuer has missed a regular payment or announced changes to future payments, in which case EAI and EY will continue to display at a prior rate.

Investment Detail - Other Assets

Other Assets	Quantity	Market Price	Market Value	% of Account Assets	Unrealized	Estimated	Estimated
	Units Purchased	Cost Per Share	Cost Basis	Acquired	Gain or (Loss)	Yield	Annual Income
						Holding Days	Holding Period
AMERICAN INTL GP 21WTS	33.0000	23.9100	789.03	<1%	228.03	N/A	N/A
WARRANTS EXP 01/19/21	33.0000	17.0000	561.00 ^a	01/26/11	228.03	1404	Long-Term
SYMBOL: AIGWS							
Total Other Assets	33.0000		789.03	<1%	228.03		N/A
		Total Cost Basis:	561.00				

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