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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning

DEC 1, 2013

, and ending

NOV 30, 2014

► Do not enter Social Security numbers on this form as it may be made public.  
► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

Name of foundation

36 FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

C/O EWA, 150 S. WACKER

Room/suite

2400

City or town, state or province, country, and ZIP or foreign postal code

CHICAGO, IL 60606

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name changeH Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year (from Part II, col. (c), line 16) **► \$ 966,618.** (Part I, column (d) must be on cash basis)J Accounting method:  Cash  Accrual  
 Other (specify) \_\_\_\_\_

Part I	Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received	151,166.		N/A	
2	Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	4.	4.		STATEMENT 1
4	Dividends and interest from securities	26,850.	26,850.		STATEMENT 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10				
b	Gross sales price for all assets on line 6a				
7	Capital gain net income (from Part IV, line 2)		0.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income				
12	Total. Add lines 1 through 11	178,020.	26,854.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees				
b	Accounting fees				
c	Other professional fees				
17	Interest				
18	Taxes				
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses	190.	0.		0.
24	Total operating and administrative expenses. Add lines 13 through 23	190.	0.		0.
25	Contributions, gifts, grants paid	433,750.			433,750.
26	Total expenses and disbursements. Add lines 24 and 25	433,940.	0.		433,750.
27	Subtract line 26 from line 12:	<255,920. >			
b	Excess of revenue over expenses and disbursements				
c	Net investment income (if negative, enter -0-)		26,854.		
	c Adjusted net income (if negative, enter -0-)			N/A	

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Assets	Part II Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing		<595.00		
	2 Savings and temporary cash investments		171,152.00	62,213.00	62,213.00
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges				
	10a Investments - U.S. and state government obligations				
	b Investments - corporate stock	STMT 4	672,967.00	525,391.00	904,405.00
	c Investments - corporate bonds				
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	15 Other assets (describe ►)				
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		843,524.00	587,604.00	966,618.00
Liabilities	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ►)				
	23 Total liabilities (add lines 17 through 22)		0.00	0.00	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ► <input type="checkbox"/>	and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted				
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input checked="" type="checkbox"/>	and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds		0.00	0.00	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund		0.00	0.00	
	29 Retained earnings, accumulated income, endowment, or other funds		843,524.00	587,604.00	
	30 Total net assets or fund balances		843,524.00	587,604.00	
	31 Total liabilities and net assets/fund balances		843,524.00	587,604.00	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	843,524.00
2 Enter amount from Part I, line 27a	2	<255,920.00
3 Other increases not included in line 2 (itemize) ►	3	0.00
4 Add lines 1, 2, and 3	4	587,604.00
5 Decreases not included in line 2 (itemize) ►	5	0.00
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	587,604.00

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a				
b	<b>NONE</b>			
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))	
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any		
a				
b				
c				
d				
e				
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8	{ }		3	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	96,500.	1,141,936.	.084506
2011	543,324.	937,279.	.579682
2010	162,094.	1,081,450.	.149886
2009	261,086.	1,145,691.	.227885
2008	167,416.	1,313,905.	.127419

2 Total of line 1, column (d)

2 1.169378

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .233876

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 1,016,269.

5 Multiply line 4 by line 3

5 237,681.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 269.

7 Add lines 5 and 6

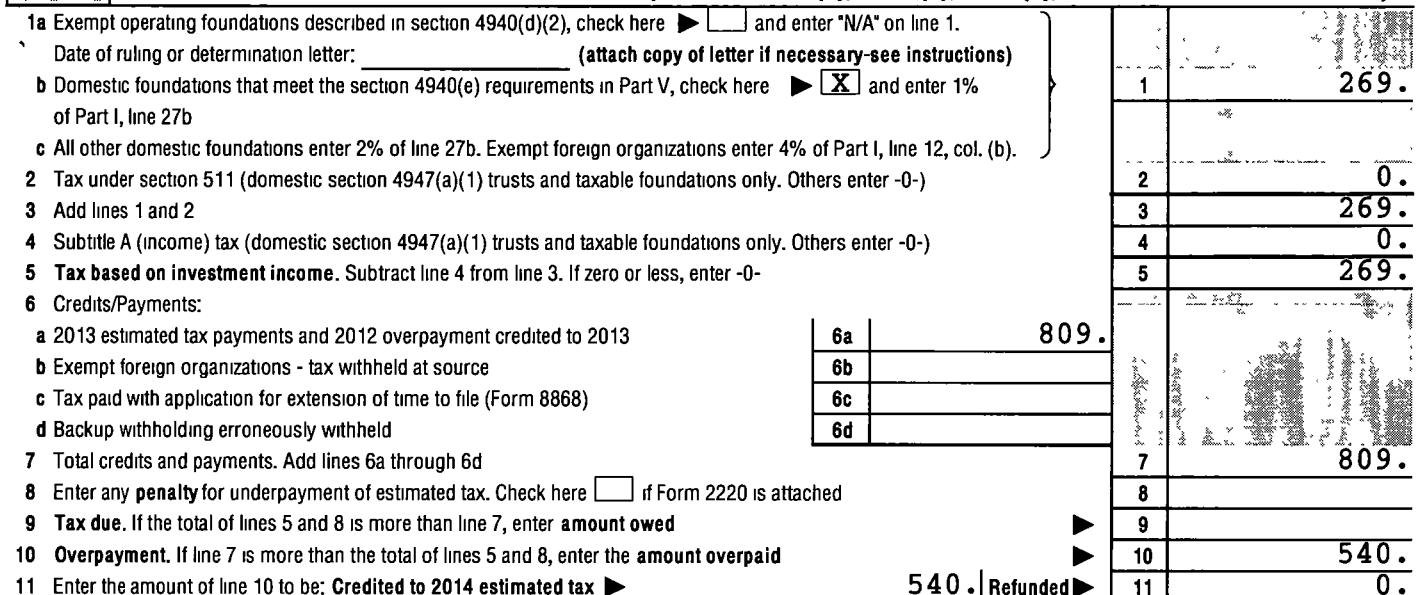
7 237,950.

8 Enter qualifying distributions from Part XII, line 4

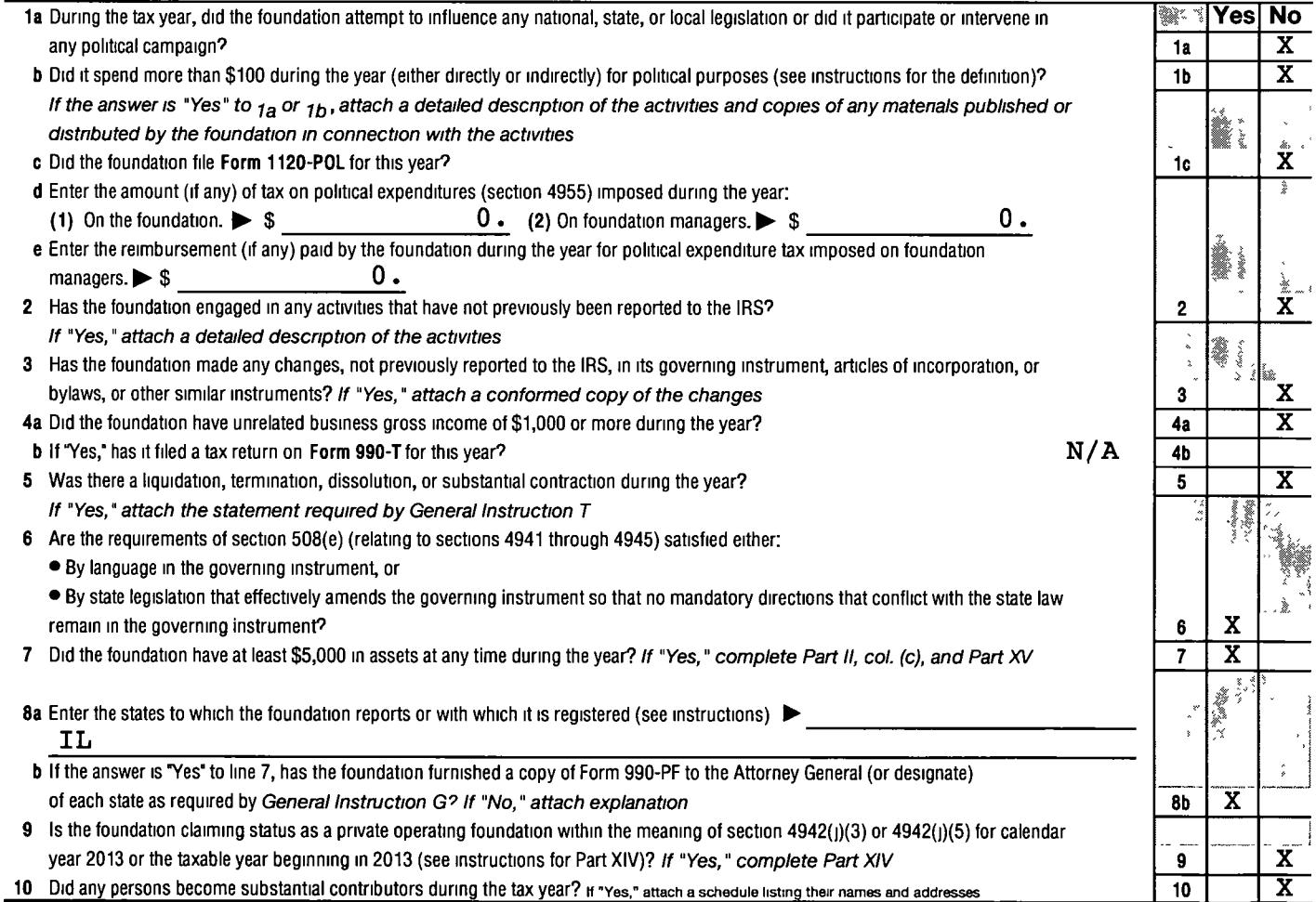
8 433,750.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate  
See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	
1	Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)	
2	b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b	
3	c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).	
4	2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	
5	3 Add lines 1 and 2	
6	4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	
7	5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	
8	6 Credits/Payments:	
9	a 2013 estimated tax payments and 2012 overpayment credited to 2013	
10	b Exempt foreign organizations - tax withheld at source	
11	c Tax paid with application for extension of time to file (Form 8868)	
	d Backup withholding erroneously withheld	
12	7 Total credits and payments. Add lines 6a through 6d	
13	8 Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	
14	9 Tax due. If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b>	
15	10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b>	
16	11 Enter the amount of line 10 to be: <b>Credited to 2014 estimated tax</b> ► 540.   Refunded ► 0.	

**Part VII-A Statements Regarding Activities**

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		<input checked="" type="checkbox"/> Yes	<input type="checkbox"/> No	
1b	b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?		<input checked="" type="checkbox"/>	<input type="checkbox"/>	
1c	<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		<input checked="" type="checkbox"/>	<input type="checkbox"/>	
2	c Did the foundation file Form 1120-POL for this year?		<input type="checkbox"/>	<input checked="" type="checkbox"/>	
3	d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year:				
4	(1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.				
5	e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.				
6	2 Has the foundation engaged in any activities that have not previously been reported to the IRS?				
7	<i>If "Yes," attach a detailed description of the activities</i>				
8	3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>				
9	4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?				
10	b If "Yes," has it filed a tax return on Form 990-T for this year?				
11	5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?				
12	<i>If "Yes," attach the statement required by General Instruction T</i>				
13	6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either:				
14	• By language in the governing instrument, or				
15	• By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?				
16	7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV</i>				
17	8a Enter the states to which the foundation reports or with which it is registered (see instructions) ► <b>IL</b>				
18	b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>				
19	9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>				
20	10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>				

**Part VII-A Statements Regarding Activities (continued)**

11		X
12		X
13		X
Website address ► N/A		
14	The books are in care of ► JOHN D. MABIE	Telephone no. ► 312-419-9204
Located at ► 150 S. WACKER, SUITE 2400, CHICAGO, IL ZIP+4 ► 60606		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	► 15   N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16   Yes X No X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes X No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes X No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes X No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes X No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes X No	
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes X No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	►
Organizations relying on a current notice regarding disaster assistance check here		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c   X	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	<input type="checkbox"/> Yes X No	
If "Yes," list the years ► _____, _____, _____, _____		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here.		
► _____, _____, _____, _____		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes X No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a   X	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b   X	

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?
- (2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?
- (3) Provide a grant to an individual for travel, study, or other similar purposes?
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

 Yes  No Yes  No Yes  No Yes  No Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

► 

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

 Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

 Yes  No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870

 Yes  No

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

 Yes  No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1 List all officers, directors, trustees, foundation managers and their compensation.**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
JOHN D. MABIE 150 S. WACKER, SUITE 2400 CHICAGO, IL 60606	DIRECTOR 0.00	0.	0.	0.
MARTHA G. MABIE 150 S. WACKER, SUITE 2400 CHICAGO, IL 60606	DIRECTOR 0.00	0.	0.	0.

**2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."**

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

**Part VIII****Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)****3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ►

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

1	N/A	Expenses
2		
3		
4		

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

1	N/A	Amount
2		
3		
All other program-related investments. See instructions.		
<b>Total. Add lines 1 through 3</b>	►	0.

Form 990-PF (2013)

**Part X Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a Average monthly fair market value of securities	1a	963,449.
b Average of monthly cash balances	1b	68,296.
c Fair market value of all other assets	1c	
d Total (add lines 1a, b, and c)	1d	1,031,745.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2 Acquisition indebtedness applicable to line 1 assets	2	0.
3 Subtract line 2 from line 1d	3	1,031,745.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	15,476.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	1,016,269.
6 Minimum investment return. Enter 5% of line 5	6	50,813.

**Part XI Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	50,813.
2a Tax on investment income for 2013 from Part VI, line 5	2a	269.
b Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c Add lines 2a and 2b	2c	269.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	50,544.
4 Recoveries of amounts treated as qualifying distributions	4	0.
5 Add lines 3 and 4	5	50,544.
6 Deduction from distributable amount (see instructions)	6	0.
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	50,544.

**Part XII Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	433,750.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	433,750.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	269.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	433,481.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				50,544.
2 Undistributed income, if any, as of the end of 2013			0.	
a Enter amount for 2012 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008	45,835.			
b From 2009	204,629.			
c From 2010	109,883.			
d From 2011	544,250.			
e From 2012	96,500.			
f Total of lines 3a through e	1,001,097.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 433,750.			0.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions) **	433,750.			
d Applied to 2013 distributable amount				0.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	50,544.			50,544.
6 Enter the net total of each column as indicated below:	1,384,303.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5			0.	
b Prior years' undistributed income. Subtract line 4b from line 2b			0.	
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b. Taxable amount - see instructions			0.	
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	1,384,303.			
10 Analysis of line 9:				
a Excess from 2009	199,920.			
b Excess from 2010	109,883.			
c Excess from 2011	544,250.			
d Excess from 2012	96,500.			
e Excess from 2013	433,750.			

\*\* SEE STATEMENT 5

323581  
10-10-13

Form 990-PF (2013)



## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a Paid during the year</b>				
ART INSTITUTE OF CHICAGO 111 SOUTH MICHIGAN AVENUE CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,000.
ACADEMY FOR URBAN SCHOOL LEADERSHIP 3400 N. AUSTIN AVENUE CHICAGO, IL 60634	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
BOYS AND GIRLS CLUB OF MARTIN COUNTY 11500 S.E. LARES AVE HOBE SOUND, FL 33475	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
BRAIN RESEARCH FOUNDATION 120 S. LASALLE #1300 CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	CHARITABLE	26,000.
BIG SHOULDERS FUND 12 WEST VAN BUREN STREET #900 CHICAGO, IL 60607	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
<b>Total</b>	<b>SEE CONTINUATION SHEET(S)</b>			► 3a 433,750.
<b>b Approved for future payment</b>				
NONE				
<b>Total</b>				► 3b 0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		(e) Related or exempt function income	
		(a) Business code	(b) Amount		(c) Exclu- sion code
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities					
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory					
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		0.	26,854.
13 Total. Add line 12, columns (b), (d), and (e)				13	26,854.
(See worksheet in line 12 instructions to verify calculations.)					

(See worksheet in line 13 instructions to verify calculations.)

## **Relationship of Activities to the Accomplishment of Exempt Purposes**

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).
	<b>INCOME EARNED ON INVESTMENTS HELD FOR THE PURPOSE OF MAKING DISTRIBUTIONS TO ORGANIZATIONS THAT QUALIFY AS EXEMPT ORGANIZATIONS UNDER SECTION 501(C)(3) OF THE INTERNAL REVENUE CODE OF 1986.</b>

**Part XVII** **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

† Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?

a Transfers from the reporting foundation to a noncharitable exempt organization of:

- (1) Cash
- (2) Other assets

**b Other transactions:**

- (1) Sales of assets to a noncharitable exempt organization
- (2) Purchases of assets from a noncharitable exempt organization
- (3) Rental of facilities, equipment, or other assets
- (4) Reimbursement arrangements
- (5) Loans or loan guarantees
- (6) Performance of services or membership or fundraising solicitation

**c Sharing of facilities, equipment, mailing lists, other assets, or paid employees**

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?

Yes  No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined and belief, it is true, correct, and complete Declaran  
  
Signature of officer or trustee

1/8/15  
Date

**PRESIDENT**

May the IRS discuss this return with the preparer shown below (see instr.)?

Print/Type preparer's name		Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
<b>Paid Preparer Use Only</b>	<b>JULIE BESENT</b>		<i>Bessent</i>	<i>1/2/15</i>	<b>POO234390</b>
	Firm's name ► EVERGREEN WEALTH ADVISORS			Firm's EIN ► 20-8096688	
	Firm's address ► 150 S. WACKER #2400 CHICAGO, IL 60606			Phone no. 312-419-9204	

## FORM 990-PF INTEREST ON SAVINGS AND TEMPORARY CASH INVESTMENTS STATEMENT 1

SOURCE	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME
WILLIAM BLAIR MONEY MARKET	4.	4.	
TOTAL TO PART I, LINE 3	4.	4.	

## FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 2

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
WILLIAM BLAIR	26,850.	0.	26,850.	26,850.	
TO PART I, LINE 4	26,850.	0.	26,850.	26,850.	

## FORM 990-PF OTHER EXPENSES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
IL CHARITY BUREAU FUND	15.	0.		0.
BANK FEES	80.	0.		0.
SECRETARY OF STATE FILING FEE	15.	0.		0.
CUSTODIAN FEES	80.	0.		0.
TO FORM 990-PF, PG 1, LN 23	190.	0.		0.

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FORM 990-PF	CORPORATE STOCK	STATEMENT	4
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
VARIOUS SECURITIES	0.	904,405.
TOTAL TO FORM 990-PF, PART II, LINE 10B	0.	904,405.

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FORM 990-PF

ELECTION UNDER REGULATIONS SECTION  
53.4942(A)-3(D)(2) TO TREAT  
EXCESS QUALIFYING DISTRIBUTIONS  
AS DISTRIBUTIONS OUT OF CORPUS

STATEMENT 5

## FEDERAL ELECTIONS

DESCRIPTION: SECTION 4942(H)(2)

REGULATION REFERENCE: SECTION 4942 (H)(2)

PURSUANT TO IRC SECTION 4942(J)(2) AND REGULATION 53.4942(A)-3(D)(2),  
THE ABOVE REFERENCED FOUNDATION HEREBY ELECTS TO TREAT CURRENT-YEAR  
QUALIFYING DISTRIBUTIONS IN EXCESS OF THE IMMEDIATELY PRECEDING TAX  
YEAR'S UNDISTRIBUTED INCOME AS BEING MADE OUT OF CORPUS.

  
JOHN D. MABIE, PRESIDENT

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
CHICAGO BOTANIC GARDEN 1000 LAKE COOK ROAD GLENCOE, IL 60022	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
CHICAGO COMMUNITY TRUST 111 E. WACKER DR., STE. 1400 CHICAGO, IL 60601	N/A	OTHER PUBLIC CHARITY	CHARITABLE	202,000.
CHICAGO COUNCIL ON GLOBAL AFFAIRS 332 S. MICHIGAN #1100 CHICAGO, IL 60604	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
CHICAGO FOUNDATION FOR EDUCATION 400 N. MICHIGAN AVE., STE. 720 CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	3,000.
CHICAGO HIGH SCHOOL FOR THE ARTS 521 E 35TH ST CHICAGO, IL 60616	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,500.
CHICAGO SHAKESPEARE THEATER SUITE 825 CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
CITIZENS AGAINST THE TRAIN FUND P.O. BOX 1489 HOBE SOUND, FL 33475	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
EXECUTIVE SERVICE CORPS #5 EAST WASHINGTON STREET CHICAGO, IL 60602	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
EVANS SCHOLARS FOUNDATION ONE BRIAR ROAD GOLF, IL 60029	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,750.
FIELD MUSEUM 1400 S LAKE SHORE D CHICAGO, IL 60605	N/A	OTHER PUBLIC CHARITY	CHARITABLE	0.
Total from continuation sheets				394,750.

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
FOX VALLEY VOLUNTEER HOSPICE 200 WHITFIELD DRIVE GENEVA, IL 60134	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
GOLDEN APPLE FOUNDATION 8 SOUTH MICHIGAN AVE., STE. 700 CHICAGO, IL 60603	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
HADLEY SCHOOL FOR THE BLIND 700 ELM STREET WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
HOWARD AREA COMMUNITY CENTER 648 NORTH PAULINA STREET CHICAGO, IL 60626	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
HOBE SOUND COMMUNITY CHEST P.O. BOX 511 HOBE SOUND, FL 33475	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
HOMAN SQUARE COMMUNITY CENTER 517 W ARTHINGTON ST CHICAGO, IL 60624	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
HORIZON HOSPICE 833 W. CHICAGO AVENUE CHICAGO, IL 60622	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,500.
INDIAN HILL CLUB SCHOLARSHIP FOUNDATION INDIAN HILL ROAD WINNETKA, LA 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
JUPITER MEDICAL CENTER FOUNDATION 1210 SOUTH OLD DIXIE HIGHWAY JUPITER, FL 33458	N/A	OTHER PUBLIC CHARITY	CHARITABLE	10,000.
KENILWORTH UNION CHURCH KENILWORTH DRIVE KENILWORTH, IL 60092	N/A	OTHER PUBLIC CHARITY	CHARITABLE	4,000.
Total from continuation sheets				

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LINCOLN PARK ZOO P.O. BOX 14903 / CANNON DRIVE AT FULLERTON PARKWAY CHICAGO, IL 60614	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
LOBLOLLYPOP FOUNDATION 7407 SE HILL HOBE SOUND, FL 33455	N/A	OTHER PUBLIC CHARITY	CHARITABLE	22,500.
MARINE CORPS- LAW ENFORCEMENT FOUNDATION 10 ROCKEFELLER PLAZA NEW YORK, NY 10020	N/A	OTHER PUBLIC CHARITY	CHARITABLE	0.
MILLENIUM PARK 201 EAST RANDOLPH STREET CHICAGO, IL 60602	N/A	OTHER PUBLIC CHARITY	CHARITABLE	10,000.
NORTH SHORE COUNTRY DAY SCHOOL 310 GREEN BAY ROAD WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
NORTH SHORE SENIOR CENTER 161 NORTHFIELD ROAD NORTHFIELD, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
NORTH SHORE UNITED WAY 560 W LAKE ST CHICAGO, IL 60661	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
NORTHWESTERN UNIVERSITY 750 N. LAKE SHORE DRIVE CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	18,000.
OLD ELM SCHOLARS FOUNDATION 800 OLD ELM RD HIGHLAND PARK, IL 60035	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
PATHWAYS 150 N MICHIGAN AVE # 2100 CHICAGO, IL 60601	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
<b>Total from continuation sheets</b>				

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PERSPECTIVES CHARTER SCHOOL 601 S. LASALLE CHICAGO, IL 60605	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
POSSE FOUNDATION 111 W. JACKSON CHICAGO, IL 60604	N/A	OTHER PUBLIC CHARITY	CHARITABLE	0.
PRESBYTERIAN FRONTIER FELLOWSHIP 7132 PORTLAND AVENUE RICHFIELD, MN 55423	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
RAVINIA FESTIVAL 418 SHERIDIAN ROAD HIGHLAND PARK, IL 60031	N/A	OTHER PUBLIC CHARITY	CHARITABLE	0.
REHABILITATION INSTITUTE OF CHICAGO 345 EAST SUPERIOR STREET CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	10,000.
ROLAND PARK COUNTRY SCHOOL 5204 ROLAND AVENUE BALTIMORE, MD 21210	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
ST. MICHAEL'S PARISH DAY SCHOOL 02 N WILMOT RD TUCSON, AZ 85711	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
STEP UP WOMEN'S NETWORK 875 N MICHIGAN AVE CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	5,000.
MASSACHUSETTS GENERAL HOSPITAL 55 FRUIT STREET BOSTON, MA 02114	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,000.
UNITED WAY OF MARTIN COUNTY 10 S.E. CENTRAL PARKWAY STUART, FL 34994	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
Total from continuation sheets				.....

## Part XV Supplementary Information

## 3 Grants and Contributions Paid During the Year (Continuation)

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
UNIVERSITY OF CHICAGO 5801 S. ELLIS AVENUE ADM 601 CHICAGO, IL 60637	N/A	OTHER PUBLIC CHARITY	CHARITABLE	7,000.
UNIVERSITY OF CHICAGO HOSPITAL 5841 SOUTH MARYLAND AVENUE CHICAGO, IL 60637	N/A	OTHER PUBLIC CHARITY	CHARITABLE	55,000.
WBEZ 848 EAST GRAND AVE CHICAGO, IL 60611	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,000.
WILLIAMS COLLEGE PO BOX 231 WILLIAMSTOWN, MA 01267	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
URBAN GATEWAYS 105 WEST RANDOLPH STREET CHICAGO, IL 60606	NA	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
WINNETKA COMMUNITY HOUSE 620 LINCOLN AVENUE WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	1,000.
WINNETKA-NORTHFIELD UNITED WAY 620 LINCOLN AVENUE #202 WINNETKA, IL 60093	N/A	OTHER PUBLIC CHARITY	CHARITABLE	500.
WRITER'S THEATRE 664 VERNON AVE GLENCOE, IL 60022	N/A	OTHER PUBLIC CHARITY	CHARITABLE	500.
WTTW CHANNEL 11 5400 N. ST. LOUIS CHICAGO, IL 60625	N/A	OTHER PUBLIC CHARITY	CHARITABLE	2,500.
Total from continuation sheets				