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Form **990-PF**

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052





2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 12-01-2013 , and ending 11-30-2014

Name of foundation Charles A Dailey Foundation		<b>A Employer identification number</b>  25-6035686	
% ELLEN E DAILEY		<b>B Telephone number</b> (see instructions)  (814) 459-1851	
Number and street (or P O box number if mail is not delivered to street address) 2050 South Shore Drive Suite		<b>C</b> If exemption application is pending, check here <input type="checkbox"/>	
City or town, state or province, country, and ZIP or foreign postal code Erie, PA 16505		<b>D 1.</b> Foreign organizations, check here <input type="checkbox"/>  <b>2.</b> Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
<b>G</b> Check all that apply <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change		<b>E</b> If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
<b>H</b> Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		<b>F</b> If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	
<b>I</b> Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 1,490,660		<b>J</b> Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input checked="" type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	29,969	29,969		
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	83,946			
	b Gross sales price for all assets on line 6a 260,646				
	7 Capital gain net income (from Part IV , line 2) . . .		83,946		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	113,915	113,915		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .				
	b Accounting fees (attach schedule) . . . . .	3,426	0	0	3,426
	c Other professional fees (attach schedule) . . . . .				
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)	69	69		
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	7,533	7,533		
	24 <b>Total operating and administrative expenses.</b> Add lines 13 through 23 . . . . .	11,028	7,602	0	3,426
	25 Contributions, gifts, grants paid . . . . .	68,500			68,500
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	79,528	7,602	0	71,926
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	34,387			
	b <b>Net investment income</b> (if negative, enter -0-)		106,313		
	c <b>Adjusted net income</b> (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	270	-59,667	-59,667
	2	Savings and temporary cash investments . . . . .	146,629	193,888	193,888
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)	83,619	 83,469	84,979
	b	Investments—corporate stock (attach schedule) . . . . .	874,252	 829,729	1,090,944
	c	Investments—corporate bonds (attach schedule). . . . .	40,104	 40,104	34,261
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .	52,708	 148,046	146,255
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	15	Other assets (describe ▶ _____)			
	16	<b>Total assets</b> (to be completed by all filers—see the instructions Also, see page 1, item I)	1,197,582	1,235,569	1,490,660
Liabilities	17	Accounts payable and accrued expenses . . . . .	455	4,055	
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	<b>Total liabilities</b> (add lines 17 through 22) . . . . .	455	4,055	
Net Assets or Fund Balances		<b>Foundations that follow SFAS 117, check here</b> ▶ <input type="checkbox"/> <b>and complete lines 24 through 26 and lines 30 and 31.</b>			
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
		<b>Foundations that do not follow SFAS 117, check here</b> ▶ <input checked="" type="checkbox"/> <b>and complete lines 27 through 31.</b>			
	27	Capital stock, trust principal, or current funds . . . . .	1,197,127	1,231,514	
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	<b>Total net assets or fund balances</b> (see page 17 of the instructions) . . . . .	1,197,127	1,231,514	
	31	<b>Total liabilities and net assets/fund balances</b> (see page 17 of the instructions) . . . . .	1,197,582	1,235,569	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	1,197,127
2	Enter amount from Part I, line 27a . . . . .	2	34,387
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	1,231,514
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	1,231,514

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1a	See Additional Data Table			
b				
c				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a See Additional Data Table			
b			
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a See Additional Data Table			
b			
c			
d			
e			

2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	83,946
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part



1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	50,668	1,419,830	0 035686
2011	68,704	1,027,160	0 066887
2010	65,659	1,355,961	0 048422
2009	57,590	1,349,912	0 042662
2008	23,250	1,212,257	0 019179

2	Total of line 1, column (d). . . . .	2	0 212836
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 042567
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	1,392,508
5	Multiply line 4 by line 3. . . . .	5	59,275
6	Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	1,063
7	Add lines 5 and 6. . . . .	7	60,338
8	Enter qualifying distributions from Part XII, line 4. . . . .  If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions	8	71,926

<b>Part VI    Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)</b>		
<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	1,063
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b> Add lines 1 and 2. . . . .	<b>3</b>	1,063
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	1,063
<b>6</b> Credits/Payments		
<b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	268
<b>b</b> Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	2,000
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	2,268
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	<b>8</b>	15
<b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	1,190
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> 1,190 <b>Refunded</b>	<b>11</b>	

**Part VII-A    Statements Regarding Activities**

<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? 		<b>Yes</b>	<b>No</b>
	<b>1a</b>		<b>No</b>
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	<b>1b</b>		<b>No</b>
<i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>			
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year? . . . . .	<b>1c</b>		<b>No</b>
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>		<b>No</b>
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes.</i> 	<b>3</b>		<b>No</b>
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year? . . . . .	<b>4a</b>		<b>No</b>
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year? . . . . .	<b>4b</b>		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i> 	<b>5</b>		<b>No</b>
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	<b>Yes</b>	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	<b>Yes</b>	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions): <input type="checkbox"/> PA			
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	<b>Yes</b>	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		<b>No</b>
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>		<b>No</b>

Part VII-A

Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ▶www.charlesdaileyfoundation.com	13	Yes	
14	The books are in care of ▶ELLEN E DAILEY Telephone no ▶(814) 459-1851 Located at ▶2050 SOUTH SHORE DRIVE ERIE PA ZIP +4 ▶16505			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here . . . . . ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year . . . . . ▶ 15			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶	16	Yes	No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). . . . . <input type="checkbox"/> Yes <input type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. . . . . ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?. . . . .	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions ). . . . .	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. . . . . <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.). . . . .	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☐ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total

number of other employees paid over \$50,000.

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".		
(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	



Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	1,413,148
b	Average of monthly cash balances. . . . .	1b	566
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	1,413,714
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	1,413,714
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	21,206
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,392,508
6	Minimum investment return. Enter 5% of line 5. . . . .	6	69,625

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	69,625
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	1,063
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	1,063
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	68,562
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	68,562
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	68,562

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	71,926
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	71,926
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	1,063
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	70,863
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				68,562
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			70,746	
b Total for prior years 2011 , 2010 , 2009				
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				
b From 2009. . . . .				
c From 2010. . . . .				
d From 2011. . . . .				
e From 2012. . . . .				
f Total of lines 3a through e. . . . .				
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 71,926				
a Applied to 2012, but not more than line 2a			70,746	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				1,180
e Remaining amount distributed out of corpus	0			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				67,382
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	0			
10 Analysis of line 9				
a Excess from 2009. . . .				
b Excess from 2010. . . .				
c Excess from 2011. . . .				
d Excess from 2012. . . .				
e Excess from 2013. . . . 0				

## Part XIV

**1a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . .

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
<b>2a</b> Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .					
<b>b</b> 85% of line 2a . . . . .					
<b>c</b> Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
<b>d</b> Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
<b>e</b> Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c . . . . .					
<b>3</b> Complete 3a, b, or c for the alternative test relied upon					
<b>a</b> "Assets" alternative test—enter					
<b>(1)</b> Value of all assets . . . . .					
<b>(2)</b> Value of assets qualifying under section 4942(j)(3)(B)(i)					
<b>b</b> "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . . .					
<b>c</b> "Support" alternative test—enter					
<b>(1)</b> Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties). . . . .					
<b>(2)</b> Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
<b>(3)</b> Largest amount of support from an exempt organization					
<b>(4)</b> Gross investment income					

**Part XV** **Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)**

## 1 Information Regarding Foundation Managers:

■ List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

• List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

**a** The name, address, and telephone number of the person to whom applications should be addressed

**b** The form in which applications should be submitted and information and materials they should include

**c** Any submission deadlines

**d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	68,500
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations )

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions )

Form **990-PF** (2013)



Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns a - d

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
300 SHS LPL FINANCIAL HOLDINGS	P	2013-09-10	2014-02-24
100 SHS BRISTOL MYERS SQUIBB CO	P	2002-06-14	2014-10-15
6 SHS CALL VALE	P	2014-07-31	2014-07-31
2 SHS CALL EQZ ISHARES MSCI BRAILL CAPPED	P	2014-07-25	2014-07-25
3 SHS CALL SFM SPROUTS FARMERS MARKETS	P	2014-07-21	2014-07-21
300 SHS BRISTOL MYERS SQUIBB CO	P	2002-05-14	2014-04-02
200 SHS BRISTOL MYERS SQUIBB CO	P	2002-05-14	2014-10-15
75 SHS EXXON MOBIL CORP	P	2010-04-13	2014-04-02
125 SHS JOHNSON & JOHNSON	P	2012-02-22	2014-04-02
200 SHS MERCK & CO INC	P	2009-11-05	2014-10-15
250 SHS MICROSOFT CORP	P	2003-05-28	2014-04-02
125 SHS NORTHERN TRUST CORP	P	2002-06-14	2014-04-02
125 SHS PEPSICO INC	P	2001-06-07	2014-04-02
250 SHS PFIZER INC	P	2009-10-16	2014-04-02
331 SHS PIMCO ETF TR	P	2012-04-17	2014-10-01
150 SHS PNC FINANCIAL SVCS	P	2005-05-16	2014-04-02
500 SHS SECTOR SPDR TRUST SBI CONSUMER	P	2011-04-29	2014-04-02
500 SHS SELECT SECTOR SPDR FUND BEN CONSUMER DISCRETIONARY	P	2011-01-28	2014-04-02
125 SHS SELECT SECTOR SPDR TRUST BEN INT ENERGY	P	2011-01-28	2014-04-02
175 SHS SELECT SECTOR SPDR TRUST BEN INT ENERGY	P	2011-01-28	2014-10-15
250 SHS VERIZON COMMUNICATIONS	P	2010-07-20	2014-09-18
150 SHS WHOLE FOODS MARKET INC	P	2007-02-26	2014-04-02

Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns e - h

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
11,986		10,910	1,076
4,947		2,621	2,326
852			852
553			553
1,160			1,160
15,511		8,486	7,025
9,895		5,657	4,238
7,315		5,108	2,207
12,135		8,125	4,010
11,832		6,582	5,250
10,034		6,064	3,970
8,074		5,853	2,221
10,359		5,244	5,115
7,949		4,415	3,534
35,965		33,941	2,024
12,784		8,208	4,576
21,386		15,533	5,853
32,236		18,868	13,368
11,116		8,707	2,409
14,814		12,190	2,624
12,111		6,726	5,385
7,632		3,462	4,170




Form 990PF Part IV - Capital Gains and Losses for Tax on Investment Income - Columns i - l

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
			1,076
			2,326
			852
			553
			1,160
			7,025
			4,238
			2,207
			4,010
			5,250
			3,970
			2,221
			5,115
			3,534
			2,024
			4,576
			5,853
			13,368
			2,409
			2,624
			5,385
			4,170


Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ELLEN E DAILEY	PRESIDENT 1 0	0	0	0
2050 SOUTH SHORE DRIVE ERIE, PA 16505				
DEBORAH D CURRIE	VICE PRESIDENT, TREASURER 1 0	0	0	0
5234 WOLF RUN VILLAGE LANE ERIE, PA 16505				
CHARLES A DAILEY III	SECRETARY 1 0	0	0	0
513 SHENLEY DRIVE ERIE, PA 16505				
MARY D DAILEY	DIRECTOR 1 0	0	0	0
517 DOTTEREL RD DELRAY BEACH, FL 33444				
THOMAS J DAILEY	DIRECTOR 1 0	0	0	0
11712 AUTUMWOOD COURT GLEN ALLEN, VA 23059				

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
THE CATHOLIC FOUNDATION 429 EAST GRANDVIEW BLVD ERIE,PA 15404		PC	RESTRICTED GRANT - ENDOWMENT FUND	7,500
SAUGEEN MEMORIAL HOSPITAL FOUNDATION 340 HIGH ST SOUTHAMPTON,ONTARIO N0H 2L0 CA		PC	UNRESTRICTED GRANT FOR USE AT SOUTHAMPTON HOSPITAL	5,000
LUSTGARTEN FOUNDATION 1111 STEWART AVENUE BETHPAGE,NY 11714		PC	RESTRICTED GRANT - PANCREATIC CANCER RESEARCH	2,500
KALEIDASCOPE 4934 PEACH ST ERIE,PA 16509		PC	UNRESTRICTED GRANT	2,500
INNER CITY NEIGHBORHOOD ART HOUSE 201 EAST 10TH ST ERIE,PA 165031007		PC	UNRESTRICTED GRANT	2,000
SAFENET 1702 FRENCH ST ERIE,PA 16501		PC	UNRESTRICTED GRANT	1,000
THE COVENANT SCHOOL 175 HICKORY ST CHARLOTTESVILLE,VA 22902		PC	UNRESTRICTED GRANT	4,000
TANDEM FRIENDS SCHOOL 279 TANDEM LANE CHARLOTTESVILLE,VA 22902		PC	UNRESTRICTED GRANT	4,000
PEABODY SCHOOL 1232 STONEY RIDGE RD CHARLOTTESVILLE,VA 22902		PC	UNRESTRICTED GRANT	2,000
THE CHILDREN'S ADVOCACY CENTER OF ERIE COUNTY 1334 WEST 38TH ST ERIE,PA 16508		PC	UNRESTRICTED GRANT	20,000
EMMAUS SOUP KITCHEN 218 EAST 11TH ST ERIE,PA 16503		PC	UNRESTRICTED GRANT	3,000
ST MARY'S HOME OF ERIE 607 EAST 26TH ST ERIE,PA 16504		PC	RESTRICTED GRANT - FOR USE AT ST MARY'S EAST	5,000
WESTERN PENNSYLVANIA CONSERVANCY 800 WATERFRONT DRIVE PITTSBURGH,PA 15222		PC	RESTRICTED GRANT - DOWNTOWN ERIE GREENING PROJECT	3,500
LEUKEMIA AND LYMPHOMA SOCIETY 333 EAST CARSON ST PITTSBURGH,PA 15219		PC	RESTRICTED GRANT - BLOOD CANCER RESEARCH	1,500
VOSS FOUNDATION 236 WEST 30TH ST 12TH FLOOR NEWYORK,NY 10001		PC	UNRESTRICTED GRANT	2,500
<b>Total . . . . .</b>  <b>3a</b>				68,500

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
GEORGETOWN CLUB OF METROPOLITAN NEW YORK PO BOX 3509 NEW YORK, NY 101633509		PC	RESTRICTED GRANT - COMMUNITY SERVICE EVENTS	2,500
<b>Total . . . . .</b>  <b>3a</b>				68,500

TY 2013 Accounting Fees Schedule

**Name:** Charles A Dailey Foundation

**EIN:** 25-6035686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	3,426			3,426

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2013 Depreciation Schedule**

**Name:** Charles A Dailey Foundation

**EIN:** 25-6035686

**TY 2013 Investments Corporate  
Bonds Schedule****Name:** Charles A Dailey Foundation**EIN:** 25-6035686

<b>Name of Bond</b>	<b>End of Year Book Value</b>	<b>End of Year Fair Market Value</b>
DIRECTV HLDGS LLC	15,389	15,127
NORWEGIAN GOVERNMENT 5/19/17	24,715	19,134

TY 2013 Investments Corporate  
Stock Schedule

**Name:** Charles A Dailey Foundation  
**EIN:** 25-6035686

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ALTRIA GROUP INC	13,894	15,078
AT&T	17,338	17,690
ARES CAPITAL CORPORATION	17,503	16,450
BANK NEW YORK MELLON FINL CORP	4,443	8,006
BANK NEW YORK MELLON FINL CORP	4,308	8,006
BANK OF AMERICA 7.25% NON CUM	13,930	16,638
BRISTOL MYERS SQUIBB CO		
BRISTOL MYERS SQUIBB CO	3,932	8,858
BRISTOL MYERS SQUIBB CO	6,553	14,763
CVS CAREMARK CORPORATION	14,805	18,272
COMCAST CORPORATION	13,520	28,405
CONAGRA FOODS INC	10,339	18,260
DR PEPPER SNAPPLE GROUP INC	12,619	37,000
EXXON MOBIL CORP	15,325	20,372
GENERAL MOTORS	14,996	13,773
GENERAL ELECTRIC CO	38,905	26,490
ISHARES MSCI BRAIL CAPPED ETF	10,313	8,370
INLANND REAL ESTATE CORP	9,626	12,948
HCP INC	17,615	20,160
INTERNATIONAL PAPER CO	9,280	16,146
JOHNSON & JOHNSON	4,875	8,119
JOHNSON & JOHNSON	16,266	27,063
LPL FINANCIAL HOLDINGS		
JP MOGAN CHASE & CO	14,200	30,080
MERCK & CO INC	9,873	18,120
MONDELEZ INTERNATIONAL INC	10,980	15,680
MCDONALDS CORP	5,576	29,043
MICROSOFT CORP	6,064	11,953
MISCROSOFT CORP	11,904	23,905
NORTHERN TRUST CORP	3,512	5,080



Name of Stock	End of Year Book Value	End of Year Fair Market Value
NORTHERN TRUST CORP	10,046	20,319
PHILIP MORRIS INTERNATIONAL	20,548	21,733
PIMCO ETF TR		
PROVIDENT FINANCIAL SERVICES	5,622	5,184
PROVIDENT FINANCIAL SERVICES	5,403	5,184
PROVIDENT FINANCIAL SERVICES	7,074	6,912
PNC FINANCIAL SVCS	21,889	34,988
PEPSICO INC	15,732	37,538
PFIZER INC	12,980	22,895
SELECT SECTOR SPDR AMEX UTILIT	22,414	32,200
SPDR SERIES TRUST S&P AEROSPACE	19,664	21,491
SELECT SECTOR SPDR TRUST BEN I	13,931	15,964
SELECT SECTOR SPDR FUND BEN CO		
SECTOR SPDR TRUST SBI CONSUMER		
TEXTAINER GROUP HOLDINGS	8,899	10,440
TEXTAINER GROUP HOLDINGS	5,895	6,960
TEXAS INSTRUMENTS INC	5,583	16,326
VENTAS INC	5,944	7,155
VANGUARD INTL EQUITY IND FD	25,118	24,590
VERIZON COMMUNICATIONS	8,071	15,177
VALE SA	8,660	5,406
VERITIV CORPORATION	116	251
WHOLE FOODS MARKET INC	10,384	22,064
SPROUTS FARMERS MARKET INC	9,356	9,533
MERRILL LYNCH INSTITUTIONAL FU	253,906	253,906

## TY 2013 Investments Government Obligations Schedule

**Name:** Charles A Dailey Foundation

**EIN:** 25-6035686

**US Government Securities - End of  
Year Book Value:**

83,469

**US Government Securities - End of  
Year Fair Market Value:**

84,979

**State & Local Government  
Securities - End of Year Book  
Value:**

**State & Local Government  
Securities - End of Year Fair  
Market Value:**

**TY 2013 Investments - Land Schedule**

**Name:** Charles A Dailey Foundation

**EIN:** 25-6035686

## TY 2013 Investments - Other Schedule

**Name:** Charles A Dailey Foundation

**EIN:** 25-6035686

Category/ Item	Listed at Cost or FMV	Book Value	End of Year Fair Market Value
UIT ADVISORS ASSET MANAGEMENT	AT COST	22,450	19,803
UIT ADVISORS CORP TR NAVELLIER	AT COST	25,596	26,452
INTERACTIVE BROKERS	AT COST	100,000	100,000

**TY 2013 Land, Etc. Schedule****Name:** Charles A Dailey Foundation**EIN:** 25-6035686

# TY 2013 Other Expenses Schedule

**Name:** Charles A Dailey Foundation

**EIN:** 25-6035686

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK CHARGES	5,913	5,913		
INSURANCE	210	210		
MISCELLANEOUS	1,410	1,410		

TY 2013 Taxes Schedule

**Name:** Charles A Dailey Foundation

**EIN:** 25-6035686

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FOREIGN TAXES PAID	69	69		

**CHARLES A. DAILEY FOUNDATION**  
**PART II**  
**INVESTMENTS - CORPORATE STOCK**

<u>SECURITY</u>	<u>BOOK VALUE 11/30/2013</u>	<u>BOOK VALUE 11/30/2014</u>	<u>MARKET VALUE 11/30/2014</u>
ALTRIA GROUP INC	-	13.894	15.078
AT&T INC	-	17.338	17.690
ARES CAPITAL CORPORATION	-	17.503	16.450
BANK NEW YORK MELLON FINL CORP	4,443	4.443	8.006
BANK NEW YORK MELLON FINL CORP	4,308	4.308	8.006
BANK OF AMERICA 7 25% NON CUM	13,930	13.930	16.638
BRISTOL MYERS SQUIBB CO	14,143	-	-
BRISTOL MYERS SQUIBB CO	6,553	3.932	8.858
BRISTOL MYERS SQUIBB CO	6,553	6.553	14.763
CVS CAREMARK CORPORATION	-	14.805	18.272
COMCAST CORPORATION	13,520	13.520	28.405
CONAGRA FOODS INC	10,339	10.339	18.260
DR PEPPER SNAPPLE GROUP INC	12,619	12.619	37.000
EXXON MOBIL CORP	20,433	15.325	20.372
GENERAL MOTORS	14,999	14.996	13.773
GENERAL ELECTRIC CO	38,905	38.905	26.490
ISHARES MSCI BRAZIL CAPPED ETF	-	10.313	8.370
INLAND REAL ESTATE CORP	9,650	9.626	12.948
HCP INC	-	17.615	20.160
INTERNATIONAL PAPER CO	9,413	9.280	16.146
JOHNSON & JOHNSON	12,999	4.875	8.119
JOHNSON & JOHNSON	16,266	16.266	27.063
LPL FINANCIAL HOLDINGS	10,910	-	-
JP MORGAN CHASE & CO	14,200	14.200	30.080
MERCK & CO INC	16,455	9.873	18.120
MONDELEZ INTERNATIONAL INC	10,980	10.980	15.680
MCDONALDS CORP	5,576	5.576	29.043
MICROSOFT CORP	12,128	6.064	11.953
MICROSOFT CORP	11,904	11.904	23.905
NORTHERN TRUST CORP	9,365	3.512	5.080
NORTHERN TRUST CORP	10,046	10.046	20.319
PHILIP MORRIS INTERNATIONAL	20,548	20.548	21.733
PIMCO ETF TR	33,941	-	-
PROVIDENT FINANCIAL SERVICES	5,622	5.622	5.184
PROVIDENT FINANCIAL SERVICES	5,403	5.403	5.184
PROVIDENT FINANCIAL SERVICES	7,074	7.074	6.912
PNC FINANCIAL SVCS	30,097	21.889	34.988
PEPSICO INC	20,976	15.732	37.538
PFIZER INC	17,395	12.980	22.895
SELECT SECTOR SPDR AMEX UTILITIES SELECT	22,414	22.414	32.200
SPDR SERIES TRUST S&P AEROSPACE	-	19.664	21.491
SELECT SECTOR SPDR TRUST BEN INT ENERGY	34,828	13.931	15.964
SELECT SECTOR SPDR FUND BEN CONSUMER DISCRETIONARY	18,868	-	-
SECTOR SPDR TRUST SBI CONSUMER	15,533	-	-
TEXTAINER GROUP HOLDINGS	9,322	8.899	10.440



TEXTAINER GROUP HOLDINGS	-	5.895	6.960
TEXAS INSTRUMENTS INC	5,583	5.583	16.326
VENTAS INC	-	5.944	7.155
VANGUARD INTL EQUITY IND FD	25,118	25.118	24.590
VERIZON COMMUNICATIONS	14,796	8.071	15.177
VALE SA	-	8.660	5.406
VERITIV CORPORATION	-	116	251
WHOLE FOODS MARKET INC	13,847	10.384	22.064
SPROUTS FARMERS MARKET INC	-	9.356	9.533
CALL VALE	-	-	(138)
CALL EWZ ISHARES MSCI BRAZILL CAPPED	-	-	(4)
CALL SFM SPROUTS FARMERS MARKETS	-	-	(624)
MERRILL LYNCH INSTITUTIONAL FUND	262,250	253.906	253.906
<b>TOTAL</b>	874.252	829.729	1,090.178

**CHARLES A. DAILEY FOUNDATION**  
**PART IV**  
**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

<u>SECURITY</u>	<u>DATE ACQUIRED</u>	<u>DATE SOLD</u>	<u>GROSS SALES PRICE</u>	<u>SALES</u>		<u>GAIN/LOSS</u>
				<u>SHARES</u>	<u>BASIS</u>	
<b>SHORT TERM TRANSACTIONS</b>						
LPL FINANCIAL HOLDINGS	9/10/2013	2/24/2014	11.986	(300)	(10.910)	1,076
CALL VALE	7/31/2014	7/31/2014	852	(6)	-	852
CALL EWZ ISHARES MSCI BRAZILL CAPPED	7/25/2014	7/25/2014	553	(2)	-	553
CALL SFM SPROUTS FARMERS MARKETS	7/21/2014	7/21/2014	1.160	(3)	-	1,160
<b>TOTAL SHORT TERM TRANSACTIONS</b>			14.551		(10.910)	3,641
<b>LONG TERM TRANSACTIONS</b>						
BRISTOL MYERS SQUIBB CO	6/14/2002	10/15/2014	4.947	(100)	(2.621)	2,326
BRISTOL MYERS SQUIBB CO	5/14/2002	4/2/2014	15.511	(300)	(8.486)	7,025
BRISTOL MYERS SQUIBB CO	5/14/2002	10/15/2014	9.895	(200)	(5.657)	4,238
EXXON MOBIL CORP	4/13/2010	4/2/2014	7.315	(75)	(5.108)	2,207
JOHNSON & JOHNSON	2/22/2012	4/2/2014	12.135	(125)	(8.125)	4,010
MERCK & CO INC	11/5/2009	10/15/2014	11.832	(200)	(6.582)	5,250
MICROSOFT CORP	5/28/2003	4/2/2014	10.034	(250)	(6.064)	3,970
NORTHERN TRUST CORP	6/14/2002	4/2/2014	8.074	(125)	(5.853)	2,221
PEPSICO INC	6/7/2001	4/2/2014	10.359	(125)	(5.244)	5,115
PFIZER INC	10/16/2009	4/2/2014	7.949	(250)	(4.415)	3,534
PIMCO ETF TR	4/17/2012	10/1/2014	35.965	(331)	(33.941)	2,024
PNC FINANCIAL SVCS	5/16/2005	4/2/2014	12.784	(150)	(8.208)	4,576
SECTOR SPDR TRUST SBI CONSUMER	4/29/2011	4/2/2014	21.386	(500)	(15.533)	5,853
SELECT SECTOR SPDR FUND BEN CONSUMER DISCRETIONARY	1/28/2011	4/2/2014	32.236	(500)	(18.868)	13,368
SELECT SECTOR SPDR TRUST BEN INT ENERGY	1/28/2011	4/2/2014	11.116	(125)	(8.707)	2,409
SELECT SECTOR SPDR TRUST BEN INT ENERGY	1/28/2011	10/15/2014	14.814	(175)	(12.190)	2,624
VERIZON COMMUNICATIONS	7/20/2010	9/18/2014	12.111	(250)	(6.726)	5,385
WHOLE FOODS MARKET INC	2/26/2007	4/2/2014	7.632	(150)	(3.462)	4,170
<b>TOTAL LONG TERM TRANSACTIONS</b>			246,095		(165,790)	80,305
						-
		<b>TOTAL</b>	260,646		(176,700)	83,946