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Form

990-PF

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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Information about Form 990-PF and its instructions is at www.irs.gov/form990pf.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 12-01-2013 , and ending 11-30-2014

Name of foundation PINKES FAMILY FOUNDATION		A Employer identification number 22-3351838	
Number and street (or P O box number if mail is not delivered to street address) 29 FAWN BROOK		B Telephone number (see instructions) (860) 233-5376	
City or town, state or province, country, and ZIP or foreign postal code WEST HARTFORD, CT 06117		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 973,979	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	288,510			
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities.	34,050	34,050		
	5a Gross rents				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10 	2,377			
	b Gross sales price for all assets on line 6a <u>29,186</u>				
	7 Capital gain net income (from Part IV , line 2)		2,725		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	324,937	36,775		
	13 Compensation of officers, directors, trustees, etc				
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule) 	2,150			
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions) 	1,020			
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses.				
	Add lines 13 through 23	3,170	0		0
	25 Contributions, gifts, grants paid	48,200			48,200
	26 Total expenses and disbursements. Add lines 24 and 25	51,370	0		48,200
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	273,567			
	b Net investment income (if negative, enter -0-)		36,775		
	c Adjusted net income (if negative, enter -0-)				

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing			
	2	Savings and temporary cash investments	6,443	37,035	37,035
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule)	194,336	467,008	695,555
	c	Investments—corporate bonds (attach schedule).	255,101	225,405	241,389
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans			
	13	Investments—other (attach schedule)			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	455,880	729,448	973,979	
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22)		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	455,880	729,448	
	30	Total net assets or fund balances (see page 17 of the instructions)	455,880	729,448	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions)	455,880	729,448		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return)	1	455,880
2	Enter amount from Part I, line 27a	2	273,567
3	Other increases not included in line 2 (itemize) ▶ _____	3	1
4	Add lines 1, 2, and 3	4	729,448
5	Decreases not included in line 2 (itemize) ▶ _____	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	729,448

Part IV

Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1a				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	2,725
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 }		3	

Part V

Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank


Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	108,107	706,851	0 152942
2011	137,216	760,917	0 180330
2010	49,980	767,873	0 065089
2009	76,243	741,835	0 102776
2008	65,485	784,691	0 083453
2 Total of line 1, column (d).			2 0 584590
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0 116918
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.			4 968,279
5 Multiply line 4 by line 3.			5 113,209
6 Enter 1% of net investment income (1% of Part I, line 27b).			6 368
7 Add lines 5 and 6.			7 113,577
8 Enter qualifying distributions from Part XII, line 4.			8 48,200

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)				
1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		1	736	
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b		2	736	
c All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)				2
3 Add lines 1 and 2.				3
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)		4		
5 Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-		5	736	
6 Credits/Payments		7		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 6a			
b	Exempt foreign organizations—tax withheld at source 6b			
c	Tax paid with application for extension of time to file (Form 8868) 6c			
d	Backup withholding erroneously withheld 6d			
7 Total credits and payments Add lines 6a through 6d.		7		
8 Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached 		8	6	
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9	742	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid.		10		
11 Enter the amount of line 10 to be Credited to 2014 estimated tax Refunded		11		

Part VII-A Statements Regarding Activities			
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		1a	No
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?		1b	No
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file Form 1120-POL for this year?.		1c	
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation \$ (2) On foundation managers \$			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		2	No
If "Yes," attach a detailed description of the activities.			
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		3	No
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?.		4a	No
b If "Yes," has it filed a tax return on Form 990-T for this year?.		4b	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		5	No
If "Yes," attach the statement required by General Instruction T.			
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either <ul style="list-style-type: none">By language in the governing instrument, orBy state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		6	Yes
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV.		7	Yes
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation .		8b	Yes
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		9	No
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		10	No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13		
Website address ▶N/A				
14	The books are in care of ▶ANDREW PINKES Telephone no ▶(860) 236-9595 Located at ▶29 FAWN BROOK WEST HARTFORD CT ZIP +4 ▶06117			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here ▶ <input type="checkbox"/> and enter the amount of tax-exempt interest received or accrued during the year ▶	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country ▶				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
	(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. . . Organizations relying on a current notice regarding disaster assistance check here. ▶ <input type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ▶ 20____, 20____, 20____, 20____			
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions).	2b		
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ▶ 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (<i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i>).	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No


Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(3) Provide a grant to an individual for travel, study, or other similar purposes?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions).	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No	
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here.			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	6b		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?			
	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).				
(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANDREW J PINKES 	TRUSTEE	0	0	0
29 FAWN BROOK	0 00			
WEST HARTFORD, CT 06117				
2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."				
(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				
Total number of other employees paid over \$50,000.				

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services.		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 N/A	
2	
All other program-related investments. See page 24 of the instructions.	
3	
Total. Add lines 1 through 3.	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities.	1a	950,809
b	Average of monthly cash balances.	1b	32,215
c	Fair market value of all other assets (see instructions).	1c	0
d	Total (add lines 1a, b, and c).	1d	983,024
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation).	1e	
2	Acquisition indebtedness applicable to line 1 assets.	2	
3	Subtract line 2 from line 1d.	3	983,024
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions).	4	14,745
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	968,279
6	Minimum investment return. Enter 5% of line 5.	6	48,414

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6.	1	48,414
2a	Tax on investment income for 2013 from Part VI, line 5.	2a	736
b	Income tax for 2013 (This does not include the tax from Part VI).	2b	
c	Add lines 2a and 2b.	2c	736
3	Distributable amount before adjustments Subtract line 2c from line 1.	3	47,678
4	Recoveries of amounts treated as qualifying distributions.	4	
5	Add lines 3 and 4.	5	47,678
6	Deduction from distributable amount (see instructions).	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1.	7	47,678

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26.	1a	48,200
b	Program-related investments—total from Part IX-B.	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes.	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required).	3a	
b	Cash distribution test (attach the required schedule).	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	48,200
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions).	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	48,200
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				47,678
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only.				
b Total for prior years 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013				
a From 2008.	26,964			
b From 2009.	39,795			
c From 2010.	12,252			
d From 2011.	99,802			
e From 2012.	73,372			
f Total of lines 3a through e.	252,185			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 48,200				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions).				
c Treated as distributions out of corpus (Election required—see instructions).				
d Applied to 2013 distributable amount.				47,678
e Remaining amount distributed out of corpus	522			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	252,707			
b Prior years' undistributed income Subtract line 4b from line 2b.				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.				
d Subtract line 6c from line 6b Taxable amount—see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions).				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	26,964			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	225,743			
10 Analysis of line 9				
a Excess from 2009. . . .	39,795			
b Excess from 2010. . . .	12,252			
c Excess from 2011. . . .	99,802			
d Excess from 2012. . . .	73,372			
e Excess from 2013. . . .	522			

Part XIVPrivate Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.

b

Check box to indicate whether the organization is a private operating foundation described in section 4942(j)(3) or 4942(j)(5)

☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed.	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a					
c	Qualifying distributions from Part XII, line 4 for each year listed.					
d	Amounts included in line 2c not used directly for active conduct of exempt activities.					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c.					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed.					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XVSupplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a

The name, address, and telephone number of the person to whom applications should be addressed

b

The form in which applications should be submitted and information and materials they should include

c

Any submission deadlines

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Form **990-PF** (2013)

Enter gross amounts unless otherwise indicated

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Form **990-PF** (2013)

TY 2013 Accounting Fees Schedule

Name: PINKES FAMILY FOUNDATION

EIN: 22-3351838

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
ACCOUNTING FEES	2,150			

TY 2013 Compensation Explanation

Name: PINKES FAMILY FOUNDATION

EIN: 22-3351838

Person Name	Explanation
ANDREW J PINKES	

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Gain/Loss from Sale of Other Assets Schedule

Name: PINKES FAMILY FOUNDATION

EIN: 22-3351838

Name	Date Acquired	How Acquired	Date Sold	Purchaser Name	Gross Sales Price	Basis	Basis Method	Sales Expenses	Total (net)	Accumulated Depreciation
15 953 SHS PIMCO TOTAL RETURN FUND	2013-12	PURCHASE	2014-07		175	172			3	
1119 403 SHS PIMCO TOTAL RETURN FUND	2009-08	PURCHASE	2014-07		12,255	12,005			250	
745 SHS PIMCO TOTAL RETURN FUND	2009-09	PURCHASE	2014-07		8	8				
4 081 SHS PIMCO TOTAL RETURN FUND	2009-10	PURCHASE	2014-07		45	45				
3 566 SHS PIMCO TOTAL RETURN FUND	2009-11	PURCHASE	2014-07		39	39				
2 737 SHS PIMCO TOTAL RETURN FUND	2009-12	PURCHASE	2014-07		30	30				
9 02 SHS PIMCO TOTAL RETURN FUND	2009-12	PURCHASE	2014-07		99	98			1	
2 509 SHS PIMCO TOTAL RETURN FUND	2009-12	PURCHASE	2014-07		27	27				
2 392 SHS PIMCO TOTAL RETURN FUND	2010-01	PURCHASE	2014-07		26	26				
1 728 SHS PIMCO TOTAL RETURN FUND	2010-02	PURCHASE	2014-07		19	19				
1087 903 SHS PIMCO TOTAL RETURN FUND	2010-11	PURCHASE	2014-07		11,910	12,505			-595	
76 546 SHS PIMCO TOTAL RETURN FUND	2010-12	PURCHASE	2014-07		838	827			11	
35 001 SHS PIMCO TOTAL RETURN FUND	2010-12	PURCHASE	2014-07		383	378			5	
31 972 SHS PIMCO TOTAL RETURN FUND	2012-12	PURCHASE	2014-07		350	363			-13	
23 467 SHS PIMCO TOTAL RETURN FUND	2012-12	PURCHASE	2014-07		257	267			-10	

TY 2013 Investments Corporate Bonds Schedule

Name: PINKES FAMILY FOUNDATION

EIN: 22-3351838

Name of Bond	End of Year Book Value	End of Year Fair Market Value
25000 GNMA SINGLE FAMILY MTG POOL	172	156
29000 GNMA JUMBO SINGLE FAMILY POOL	202	220
50000 GNMA JUMBO SINGLE FAMILY POOL	592	912
30000 GNMA JUMBO SINGLE FAMILY POOL	804	937
75000 GNMA SINGLE FAMILY POOL	3,889	2,675
41000 GNMA SINGLE FAMILY POOL	2,126	1,463
100000 GNMA JUMBO SINGLE FAMILY POOL	11,289	13,939
75000 GNMA JUMBO SINGLE FAMILY POOL	9,659	11,720
43842 GNMA II PASS THRU POOL	6,194	6,689
78000 GNMA II PASS THRU POOL	10,672	11,467
73000 GNMA II PASS THRU POOL	10,397	10,733
85000 GNMA II PASS THRU POOL	8,640	10,840
50000 GNMA II PASS THRU POOL	4,807	5,994
25000 GNMA II PASS THRU POOL	2,446	2,589
40000 GNMA II PASS THRU POOL	5,119	5,368
85000 GNMA II PASS THRU POOL	9,306	11,707
25000 GNMA II PASS THRU POOL	4,045	4,088
25000 GNMA II PASS THRU POOL	10,101	10,415
4321.396 SHS LOOMIS SAYLES INV GE BD	51,747	52,030
9753.335 SHS MAINSTAY HY CORP BD FD	52,520	56,862

Name of Bond	End of Year Book Value	End of Year Fair Market Value
2612.502 SHS JP MORGAN TR II HIGH YD	20,678	20,585

**TY 2013 Investments Corporate
Stock Schedule****Name:** PINKES FAMILY FOUNDATION**EIN:** 22-3351838

Name of Stock	End of Year Book Value	End of Year Fair Market Value
1640 SHS EXXON MOBIL CORP	26,399	148,486
1000 SHS GENERAL ELECTRIC	27,890	26,490
2000 SHS NESTLE ADR	147,180	150,100
750 SHS PEPSICO INC	54,075	75,075
1000 SHS PEPSICO INC	82,890	100,100
1000 SHS PFIZER INC	30,550	31,150
1300 SHS PHILIP MORRIS INTERNATIONAL	54,947	113,009
2383.45 SHS NUVEEN PREF SEC FD	33,071	41,496
2401.07 SHS PIMCO TOTAL RETURN FD		
450.45 SHS THORNBURG INVT INC BUILD	10,006	9,649

TY 2013 Other Increases Schedule

Name: PINKES FAMILY FOUNDATION

EIN: 22-3351838

Description	Amount
ROUNDING	1

TY 2013 Taxes Schedule**Name:** PINKES FAMILY FOUNDATION**EIN:** 22-3351838

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDERAL TAX	304			
FOREIGN TAX ON DIVIDENDS	716			

TY 2013 IRS Payment**Name:** PINKES FAMILY FOUNDATION**EIN:** 22-3351838**Routing Transit Number:** 102307164**Bank Account Number:** 9074263472**Type of Account:** Checking**Payment Amount in Dollars and** 742**Cents:****Requested Payment Date:** 2015-02-17**Taxpayer's Daytime Phone** (860) 233-5376
Number:

mkes Family Foundation
 Schedule of Average Monthly Cash Balances
 2013 Form 990-PF
 Part X, Item 1b

<u>Month</u>	<u>Cash</u>	<u>Money Market</u>	<u>CD</u>	<u>Total</u>
Dec-13	0.00	12,075.29	0.00	12,075.29
Jan-14	0.00	7,518.09	0.00	7,518.09
Feb-14	0.00	11,450.74	0.00	11,450.74
Mar-14	1,274.03	14,263.62	0.00	15,537.65
Apr-14	0.00	20,740.50	0.00	20,740.50
May-14	0.00	28,704.13	0.00	28,704.13
Jun-14	1,427.15	26,373.90	0.00	27,801.05
Jul-14	0.00	49,430.22	0.00	49,430.22
Aug-14	0.00	53,168.33	0.00	53,168.33
Sep-14	1,427.15	57,881.19	0.00	59,308.34
Oct-14	0.00	63,815.68	0.00	63,815.68
Nov-14	0.00	37,035.38	0.00	<u>37,035.38</u>
Total				386,585.40
Months in year				12.00
Average Cash Balance				32,215.00

Pinkes Family Foundation
Schedule of Average Monthly Security Fair Market Values
2013 Form 990-PF
Part X, Item 1a

Month	Exxon Mobil Corp 1640 Shares	General Electric Co 1000 Shares	Nestle S A ADR 2000 Shares	Pepsico Incorporated 1750 Shares	Pfizer Incorporated 1000 Shares	Philip Morris International 1300 Shares	GNMA Single Family Pool 25000 Shares	GNMA Jumbo Single Family Pool 29000 Shares	GNMA Jumbo Single Family Pool 50000 Shares
Dec-13	165,968.00	28,030.00	147,180.00	145,145.00	30,630.00	113,269.00	164.01	288.81	1,128.11
Jan-14	151,142.40	25,130.00	145,300.00	140,630.00	30,400.00	101,582.00	163.32	278.64	1,106.74
Feb-14	157,882.80	25,470.00	150,880.00	140,122.50	32,110.00	105,183.00	162.68	276.89	1,073.58
Mar-14	160,195.20	25,890.00	150,440.00	146,125.00	32,120.00	106,431.00	162.06	272.91	1,065.37
Apr-14	167,952.40	26,890.00	154,360.00	150,307.50	31,380.00	111,059.00	161.37	264.21	1,039.67
May-14	164,869.20	26,790.00	157,150.00	154,577.50	29,630.00	115,102.00	160.64	254.45	1,025.78
Jun-14	165,315.20	26,280.00	155,340.00	156,345.00	29,680.00	109,603.00	159.98	248.97	1,007.55
7/23/14	Sold 2417,023 Shares Pimco Total return Fund								
7/23/14	Purchased 450,45 Shares Thornburg Inv't Trust Income Builder Fund								
Jul-14	162,261.60	25,150.00	148,300.00	154,175.00	28,981.00	106,613.00	159.21	234.20	984.79
Aug-14	163,114.40	25,980.00	155,140.00	161,857.50	29,390.00	111,254.00	158.52	228.34	971.86
Sep-14	154,242.00	25,620.00	147,260.00	162,907.50	29,370.00	108,430.00	157.74	222.56	950.03
Oct-14	158,604.40	25,810.00	146,660.00	168,297.50	29,950.00	115,713.00	156.95	221.29	934.51
Nov-14	148,485.60	26,490.00	150,100.00	175,175.00	31,150.00	113,009.00	156.24	219.62	912.38
Total									

Months in year

Average Security Fair Market Value

GNMA Jumbo Single Family Pool 30000 Shares	GNMA Single Family Pool 116000 Shares	GNMA Jumbo Single Family Pool 100000 Shares	GNMA Jumbo Single Family Pool 75000 Shares	GNMA II Pass Thru Pool 43842 Shares	GNMA II Pass Thru Pool 151000 Shares	GNMA II Pass Thru Pool 85000 Shares	GNMA II Pass Thru Pool 50000 Shares	GNMA II Pass Thru Pool 25000 Shares	GNMA II Pass Thru Pool 40000 Shares
1 133 00	4 869 43	16 879 70	14 537 62	8 337 86	27 945 40	14 255 64	8 224 25	3 719 76	7 313 34
1 117 33	4 750 69	16 518 29	14 129 25	8 183 17	27 393 14	13 971 98	8 048 01	3 610 41	7 179 63
1 119 03	4 672 54	16 304 63	14 056 29	8 032 73	26 891 72	13 681 03	7 809 88	3 540 95	6 989 13
1 092 43	4 442 82	15 917 69	13 648 47	7 835 36	26 189 05	13 281 05	7 539 37	3 443 02	6 776 07
1 068 68	4 272 30	15 731 38	13 377 14	7 710 39	25 615 05	13 024 62	7 302 10	3 350 47	6 641 34
1 052 04	4 272 02	15 494 26	13 227 10	7 570 50	25 247 31	12 726 31	7 109 68	3 229 40	6 445 02
1 036 80	4 261 94	15 232 97	13 032 11	7 425 90	24 525 37	12 414 78	6 886 28	3 114 77	6 263 23
1 009 39	4 257 76	14 890 76	12 587 31	7 186 20	23 838 92	11 978 75	6 606 53	2 987 89	5 984 48
990 47	4 244 52	14 649 69	12 241 14	7 075 64	23 372 58	11 680 35	6 415 34	2 898 54	5 775 13
976 88	4 239 96	14 429 15	12 084 04	6 960 79	23 006 95	11 365 21	6 291 55	2 784 53	5 623 24
959 74	4 228 34	14 158 16	11 883 95	6 839 15	22 568 25	11 103 31	6 110 62	2 674 77	5 483 86
936 97	4 138 44	13 938 93	11 719 59	6 689 32	22 199 84	10 839 77	5 994 47	2 588 72	5 367 63

[illegible]