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Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Department of the Treasury
Internal Revenue Service

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Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning DEC 1, 2013, and ending NOV 30, 2014

Name of foundation THE SELANDER FOUNDATION		A Employer identification number 20-2024998
Number and street (or P.O. box number if mail is not delivered to street address) 15 EAST PUTNAM AVE, SUITE 244		B Telephone number 203-340-2129
City or town, state or province, country, and ZIP or foreign postal code GREENWICH, CT 06830		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply. <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1 Foreign organizations, check here <input type="checkbox"/> 2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 14,464,136.	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a).)		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	78,000.		N/A	
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	353,961.	353,961.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	1,989,329.			
	Gross sales price for all assets on line 6a	4,441,785.			
	7 Capital gain net income (from Part IV, line 2)		1,989,329.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss)					
11 Other income					
12 Total. Add lines 1 through 11	2,421,290.	2,343,290.			
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees	STMT 2	6,500.	0.	0.
	c Other professional fees				
	17 Interest				
	18 Taxes	STMT 3	15,571.	8,071.	0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses	STMT 4	9,465.	8,000.	0.
	24 Total operating and administrative expenses Add lines 13 through 23		31,536.	16,071.	0.
	25 Contributions, gifts, grants paid		656,000.		656,000.
26 Total expenses and disbursements Add lines 24 and 25		687,536.	16,071.	656,000.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements		1,733,754.			
b Net investment income (if negative, enter -0-)			2,327,219.		
c Adjusted net income (if negative, enter -0-)			N/A		

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing	288,630.	29,406.	29,406.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations STMT 5	751,109.	0.	0.
	b Investments - corporate stock STMT 6	963,606.	1,800,553.	2,854,594.
	c Investments - corporate bonds			
	Liabilities	11 Investments - land, buildings, and equipment basis ▶		
Less: accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other STMT 7		8,925,601.	10,834,181.	11,574,616.
14 Land, buildings, and equipment: basis ▶ 7,200.				
Less: accumulated depreciation STMT 8 ▶ 1,680.		6,960.	5,520.	5,520.
15 Other assets (describe ▶)				
16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		10,935,906.	12,669,660.	14,464,136.
17 Accounts payable and accrued expenses				
18 Grants payable				
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
23 Total liabilities (add lines 17 through 22)	0.	0.		
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	10,935,906.	12,669,660.	
30 Total net assets or fund balances	10,935,906.	12,669,660.		
31 Total liabilities and net assets/fund balances	10,935,906.	12,669,660.		

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	10,935,906.
2 Enter amount from Part I, line 27a	2	1,733,754.
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	12,669,660.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	12,669,660.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a SEE ATTACHED STATEMENT D-1	P	VARIOUS	VARIOUS
b CAPITAL GAINS DIVIDENDS			
c			
d			
e			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 4,409,792.		2,452,456.	1,957,336.
b 31,993.			31,993.
c			
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	
a			1,957,336.
b			31,993.
c			
d			
e			

2 Capital gain net income or (net capital loss)	<div> <div>If gain, also enter in Part I, line 7</div> <div>If (loss), enter -0- in Part I, line 7</div> </div>	2	1,989,329.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		3	N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	425,764.	10,555,410.	.040336
2011	257,626.	8,125,081.	.031707
2010	215,528.	6,476,984.	.033276
2009	152,919.	5,332,781.	.028675
2008	124,390.	3,338,422.	.037260

2 Total of line 1, column (d)	2	.171254
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.034251
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	13,216,538.
5 Multiply line 4 by line 3	5	452,680.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	23,272.
7 Add lines 5 and 6	7	475,952.
8 Enter qualifying distributions from Part XII, line 4	8	656,000.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.
See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	23,272.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	23,272.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	23,272.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,985.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,985.	
8 Enter any penalty for underpayment of estimated tax. Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	14,287.	
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input type="checkbox"/> Refunded <input type="checkbox"/>	11		

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input type="checkbox"/> \$ 0. (2) On foundation managers. <input type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input type="checkbox"/> <u>CT</u>		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	X	

N/A

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ► N/A				
14	The books are in care of ► ROBERT W. SELANDER Telephone no. ► 203-340-2129			
Located at ► 15 EAST PUTNAM AVE, SUITE 244, GREENWICH, CT ZIP+4 ► 06830				
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
(6) Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1b	
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):	1c	X
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ►	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►	2b	
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	N/A	
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	3b	
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4a	X
	4b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ Nob If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?
Organizations relying on a current notice regarding disaster assistance check hereN/A
▶ ☐

5b

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

▶ 0

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Part VIII**Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** (continued)**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		
All other program-related investments. See instructions.		
3		
Total. Add lines 1 through 3		0.

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Part X**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes.		
a	Average monthly fair market value of securities	1a	13,265,633.
b	Average of monthly cash balances	1b	152,172.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	13,417,805.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	13,417,805.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	201,267.
5	Net value of noncharitable-use assets Subtract line 4 from line 3. Enter here and on Part V, line 4	5	13,216,538.
6	Minimum investment return Enter 5% of line 5	6	660,827.

Part XI**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	660,827.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	23,272.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	23,272.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	637,555.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	637,555.
6	Deduction from distributable amount (see instructions)	6	0.
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	637,555.

Part XII**Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	656,000.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	656,000.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	23,272.
6	Adjusted qualifying distributions Subtract line 5 from line 4	6	632,728.

Note The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				637,555.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			418,592.	
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 656,000.				
a Applied to 2012, but not more than line 2a			418,592.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				237,408.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				400,147.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling _____

►

- b**
- Check box to indicate whether the foundation is a private operating foundation described in section
- ☐
- 4942(i)(3) or
- ☐
- 4942(i)(5)

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment				
Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
CHILDREN'S HOSPITAL OF LOS ANGELES 4650 SUNSET BLVD. #29 LOS ANGELES, CA 90027		PUBLIC CHARITY	HEALTH PURPOSES	30,000.
CHILDRENS LITERACY INITIATIVE 2314 MARKET STREET PHILADELPHIA, PA 19103		PUBLIC CHARITY	SOCIAL PURPOSES	50,000.
CLINTON HEALTH ACCESS INITIATIVE 383 DORCHESTER AVENUE BOSTON, MA 02127		PUBLIC CHARITY	HEALTH PURPOSES	250,000.
DANA'S ANGELS RESEARCH TRUST 15 EAST PUTNAM AVENUE, APT 117 GREENWICH, CT 06830		PUBLIC CHARITY	SOCIAL PURPOSES	2,000.
FRIENDS OF NATHANIEL WITHERELL, INC 70 PARSONAGE ROAD GREENWICH, CT 06830		PUBLIC CHARITY	SOCIAL PURPOSES	2,500.
Total	SEE CONTINUATION SHEET(S)			656,000.
b Approved for future payment				
NONE				
Total				0.

Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations



		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash		X
	(2) Other assets		X
b	Other transactions.		
	(1) Sales of assets to a noncharitable exempt organization		X
	(2) Purchases of assets from a noncharitable exempt organization		X
	(3) Rental of facilities, equipment, or other assets		X
	(4) Reimbursement arrangements		X
	(5) Loans or loan guarantees		X
	(6) Performance of services or membership or fundraising solicitations		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees		X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.		
(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Signature of officer or trustee _____ Date _____ Title _____

May the IRS discuss this return with the preparer shown below (see instr.)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name	Preparer's signature	Date	Check <input type="checkbox"/> if self-employed	PTIN
	JOHN M. DANISH	<i>John M. Danish</i>	4/3/15		P00173472
	Firm's name ▶ CLARFELD FINANCIAL ADVISORS LLC			Firm's EIN ▶ 46-3179237	
	Firm's address ▶ 560 WHITE PLAINS ROAD, 5TH FLOOR TARRYTOWN, NY 10591-5112			Phone no 914-846-0100	

Schedule B(Form 990, 990-EZ,
or 990-PF)Department of the Treasury
Internal Revenue Service**Schedule of Contributors**

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, or 990-PF) and
its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Name of the organization

Employer identification number

THE SELANDER FOUNDATION

20-2024998

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

☐ 501(c)() (enter number) organization☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation☐ 527 political organization

Form 990-PF

☒ 501(c)(3) exempt private foundation☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation☐ 501(c)(3) taxable private foundationCheck if your organization is covered by the **General Rule** or a **Special Rule**.**Note.** Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.**General Rule**

- ☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

- ☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.
- ☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year. ► \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF. Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

Employer identification number

THE SELANDER FOUNDATION

20-2024998

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ROBERT W. SELANDER 15 EAST PUTNAM AVE, SUITE 244 GREENWICH, CT 06830	\$ 766,550.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
2	ROBERT W. SELANDER 15 EAST PUTNAM AVE, SUITE 244 GREENWICH, CT 06830	\$ 770,200.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input checked="" type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization

Employer identification number

THE SELANDER FOUNDATION**20-2024998****Part II Noncash Property** (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
<u>1</u>	<u>10,000 SHS MASTERCARD INCORPORATED</u> <u>STOCK</u>	\$ <u>766,550.</u>	<u>06/05/14</u>
<u>2</u>	<u>10,000 SHS MASTERCARD INCORPORATED</u> <u>STOCK</u>	\$ <u>770,200.</u>	<u>09/18/14</u>
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____
		\$ _____	_____

Name of organization	Employer identification number
THE SELANDER FOUNDATION	20-2024998

Part III Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of exclusively religious, charitable, etc., contributions of \$1,000 or less for the year (Enter this information once) ▶ \$

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee
	(e) Transfer of gift		
	Transferee's name, address, and ZIP + 4		Relationship of transferor to transferee

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
TD AMERITRADE	385,935.	31,993.	353,942.	353,942.		
TD AMERITRADE	19.	0.	19.	19.		
TO PART I, LINE 4	385,954.	31,993.	353,961.	353,961.		

FORM 990-PF		ACCOUNTING FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
ACCOUNTING FEES	6,500.	0.		0.		
TO FORM 990-PF, PG 1, LN 16B	6,500.	0.		0.		

FORM 990-PF		TAXES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
FEDERAL EXCISE TAXES	7,500.	0.		0.		
FOREIGN TAXES	8,071.	8,071.		0.		
TO FORM 990-PF, PG 1, LN 18	15,571.	8,071.		0.		

FORM 990-PF		OTHER EXPENSES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
INVESTMENT ADVISORY FEES	8,000.	8,000.		0.		
BANK SERVICE CHARGES	25.	0.		0.		
AMORTIZATION	1,440.	0.		0.		
TO FORM 990-PF, PG 1, LN 23	9,465.	8,000.		0.		

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
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DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 11	X		0.	0.
TOTAL U.S. GOVERNMENT OBLIGATIONS				
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			0.	0.

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
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DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 11	1,761,553.	1,981,694.
10,000 SHS MASTERCARD INC	39,000.	872,900.
TOTAL TO FORM 990-PF, PART II, LINE 10B	1,800,553.	2,854,594.

FORM 990-PF	OTHER INVESTMENTS	STATEMENT	7
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DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE
SEE STATEMENT 12	COST	10,834,181.	11,574,616.
TOTAL TO FORM 990-PF, PART II, LINE 13		10,834,181.	11,574,616.

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	8
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DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
WEBSITE DEVELOPMENT COSTS	7,200.	1,680.	5,520.
TOTAL TO FM 990-PF, PART II, LN 14	7,200.	1,680.	5,520.

FORM 990-PF

LIST OF SUBSTANTIAL CONTRIBUTORS
PART VII-A, LINE 10

STATEMENT 9

NAME OF CONTRIBUTOR

ADDRESS

ROBERT W. SELANDER

15 EAST PUTNAM AVE, SUITE 244
GREENWICH, CT 06830

FORM 990-PF

PART VIII - LIST OF OFFICERS, DIRECTORS
TRUSTEES AND FOUNDATION MANAGERS

STATEMENT 10

NAME AND ADDRESS

TITLE AND
AVRG HRS/WKCOMPEN-
SATIONEMPLOYEE
BEN PLAN EXPENSE
CONTRIB ACCOUNTROBERT W. SELANDER
15 EAST PUTNAM AVE, SUITE 244
GREENWICH, CT 06830

CHAIRMAN/AS REQ'D

0. 0. 0.

NANCY CROSS SELANDER
15 EAST PUTNAM AVE, SUITE 244
GREENWICH, CT 06830

PRESIDENT/AS REQ'D

0. 0. 0.

CROSBY SELANDER
15 EAST PUTNAM AVE, SUITE 244
GREENWICH, CT 06830

TRUSTEE/AS REQ'D

0. 0. 0.

RUSSELL SELANDER
15 EAST PUTNAM AVE, SUITE 244
GREENWICH, CT 06830

TRUSTEE/AS REQ'D

0. 0. 0.

TRACEY KAY LUNDGREN
15 EAST PUTNAM AVE, SUITE 244
GREENWICH, CT 06830

TRUSTEE/AS REQ'D

0. 0. 0.

JESSICA ANNE MATTEONI
15 EAST PUTNAM AVE, SUITE 244
GREENWICH, CT 06830

TRUSTEE/AS REQ'D

0. 0. 0.

TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII

0. 0. 0.

FORM 990-PF

INVESTMENTS - CORPORATE STOCK

STATEMENT 11

# Shares	Description	Beginning of Year	End of Year	
		(a) Book Value	(b) Book Value	Fair Market (c) Value
18,197.100	Ishares TR Msci EAFE Fd	\$ 290,506	\$ 1,121,975	\$ 1,164,433
20,090.000	Ishares MSCI ETF USA Min Low	\$ 489,729	\$ 639,578	\$ 817,261
	Flexshs Tr Global Upstream	\$ 124,871	\$ -	\$ -
		905,106	1,761,553	1,981,694

FORM 990-PF

OTHER INVESTMENTS

STATEMENT 12

		Beginning of Year	End of Year	
# Shares	Description	(a) Book Value	(b) Book Value	Fair Market (c) Value
MUTUAL FUNDS:				
57,746.180	DFA US Large Co.	\$ 177,723	\$ 789,680	945,305
26,910.580	DFA US Small Cap	\$ 429,530	\$ 530,124	847,414
112,396.660	Doubleline Total Return	\$ 947,514	\$ 1,234,966	1,237,487
62,929.590	Natixis Ls Stry SHS Loomis	\$ 628,969	\$ 642,835	638,106
43,120.950	Loomis Sayles Bond Fund	\$ 236,133	\$ 606,356	669,668
62,864.460	Metropolitan West Total	\$ 806,451	\$ 658,459	687,109
30,389.530	Pimco Emerging Markets Curr	\$ 314,719	\$ 318,642	292,651
	PIMCo Low Duration Fd Inst	\$ 329,223	\$ -	
62,988.750	Rivernorth Doublin	\$ 505,572	\$ 690,620	686,577
93,039.080	TCW Emerging Markets	\$ 656,445	\$ 806,751	783,389
4,740.110	DJ Wilshire REIT ETF	\$ 230,714	\$ 291,323	427,369
	Vanguard Energy ETF	\$ 79,585		
48,825.010	JPM Tr Infl Mang Bond	\$ 513,223	\$ 521,315	508,268
30,564.530	GS Rising Dividend	\$ 627,563	\$ 616,418	675,782
24,264.130	O'Shaughnessy Enh	\$ 563,937	\$ 297,428	298,061
44,915.700	Vanguard Emerging Mkt	\$ 1,400,000	\$ 1,540,751	1,578,338
10,131.000	Virtus Foreign Opp Fund	\$ 478,300	\$ 279,541	295,420
97,443.920	Blackrock Strategic Income	-	1,008,972	1,003,672
TOTAL MUTUAL FUNDS:		8,925,601	10,834,181	11,574,616

Part XV Supplementary Information**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
GREENWICH ARTS COUNCIL 299 GREENWICH AVENUE GREENWICH, CT 06830		PUBLIC CHARITY	SOCIAL PURPOSES	500.
HELEN WOODWARD ANIMAL CENTER P.O. BOX 64 RANCHO SANTA FE, CA 92067		PUBLIC CHARITY	SOCIAL PURPOSES	20,000.
HORIZONS FOR HOMELESS CHILDREN, INC 1705 COLUMBUS AVENUE ROXBURY, MA 02119		PUBLIC CHARITY	SOCIAL PURPOSES	30,000.
HYDROCEPHALUS ASSOCIATION 4340 EAST WEST HIGHWAY, STE 905 BETHESDA, MD 20814		PUBLIC CHARITY	HEALTH PURPOSES	1,000.
NEIGHBOR TO NEIGHBOR 248 EAST PUTNAM AVENUE GREENWICH, CT 06830		PUBLIC CHARITY	SOCIAL PURPOSES	160,000.
NYU UROLOGY RESEARCH PROGRAMS 150 EAST 32ND STREET, 2ND FLOOR NEW YORK, NY 10016		PUBLIC CHARITY	HEALTH PURPOSES	100,000.
THE BURMESE REFUGEE PROJECT 610 W. 158TH STREET NEW YORK, NY 10032		PUBLIC CHARITY	SOCIAL PURPOSES	5,000.
THE POSSE FOUNDATION 14 WALL STREET, STE 8A-60 NEW YORK, NY 10005		PUBLIC CHARITY	SOCIAL PURPOSES	5,000.
Total from continuation sheets				321,500.



Ameritrade

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2013 Realized Capital Gains & Losses

906789830

12/01/2013 -- 11/30/2014

Created 2/18/2015 10:18 am

Entire Period	
Short Term Gain	62,629.20
Short Term Loss	-4,437.30
Short Term Net	58,191.90
Long Term Gain	1,904,244.07
Long Term Loss	-5,099.98
Long Term Net	1,899,144.09
Short Term Sales	1,710,787.17
Short Term Cost	1,652,595.27
Long Term Sales	2,699,004.64
Long Term Cost	799,860.55

90679930

Close Date	Open Date	Security	Rec type	Shares Sold	Proceeds	Book Cost	WS Cost Adj	Cost	ST Gain/Loss	LT Gain/Loss	Trading Method	Settled
06/10/2014	10/01/2012	FLEXSHARES MORNINGSTAR GLOB (GUNR)		755	27,448.75	27,287.28		27,287.28		161.47		06/13/2014
06/10/2014	10/01/2012	FLEXSHARES MORNINGSTAR GLOB (GUNR)		2,700	98,172.53	97,583.65		97,583.65		588.88		06/13/2014
12/04/2013	03/20/2013	GOLDMAN SACHS RISING DIVIDE (GSRX)		14,809.005	282,536.43	247,786.80		247,786.80	34,749.63			12/03/2013
12/04/2013	03/20/2013	GOLDMAN SACHS RISING DIVIDE (GSRX)		45.067	859.82	756.63		756.63	103.19			12/03/2013
12/04/2013	06/28/2013	GOLDMAN SACHS RISING DIVIDE (GSRX)		48.593	927.09	833.96		833.96	93.13			12/05/2013
12/04/2013	09/11/2013	GOLDMAN SACHS RISING DIVIDE (GSRX)		3,441.151	65,652.66	61,624.04		61,624.04	4,028.62			12/05/2013
06/05/2014	MASTERCARD INC (MA)			4,702	361,472.25	18,337.80		18,337.80		343,134.45		06/10/2014
06/05/2014	MASTERCARD INC (MA)			100	7,687.83	390.00		390.00		7,297.83		06/10/2014
06/05/2014	MASTERCARD INC (MA)			198	15,219.92	772.20		772.20		14,447.72		06/10/2014
06/10/2014	05/25/2006	MASTERCARD INC (MA)		10,000	781,072.74	39,000.00		39,000.00		742,072.74		09/24/2014
10/30/2014	05/25/2006	MASTERCARD INC (MA)		5,000	391,731.35	19,500.00		19,500.00		372,231.35		11/04/2014
10/30/2014	05/25/2006	MASTERCARD INC (MA)		5,000	399,981.17	19,500.00		19,500.00		380,481.17		11/04/2014
10/15/2014	03/20/2013	OSHAUGHNESSEY ENHANCED DIVI (MWTIX)		16,879.562	184,976.00	170,999.53		170,999.53		13,976.47		10/16/2014
12/04/2013	01/22/2010	METROPOLITAN WEST TOTAL RET (MWTIX)		27,602.524	349,976.00	329,867.76		329,867.76	20,108.24			12/05/2013
06/10/2014	10/01/2010	PIMCO LOW DURATION FD INS C (PTLX)		10,440.339	108,362.77	111,728.43		111,728.43		-3,365.66		06/11/2014
06/10/2014	11/01/2010	PIMCO LOW DURATION FD INS C (PTLX)		23.816	247.20	255.07		255.07		-7.87		06/11/2014
06/10/2014	12/01/2010	PIMCO LOW DURATION FD INS C (PTLX)		33.354	346.18	353.89	13.72	367.61		-21.43		06/11/2014
06/10/2014	12/09/2010	PIMCO LOW DURATION FD INS C (PTLX)		229.724	2,384.37	2,377.64	94.47	2,472.11		-87.74		06/11/2014
06/10/2014	12/09/2010	PIMCO LOW DURATION FD INS C (PTLX)		45.794	475.30	473.97	18.83	492.80		-17.50		06/11/2014
06/10/2014	01/03/2011	PIMCO LOW DURATION FD INS C (PTLX)		35.982	373.47	373.85	14.80	388.65		-15.18		06/11/2014
06/10/2014	02/01/2011	PIMCO LOW DURATION FD INS C (PTLX)		30.969	321.43	322.39	10.89	333.28		-11.85		06/11/2014
06/10/2014	03/01/2011	PIMCO LOW DURATION FD INS C (PTLX)		30.668	318.31	319.56		319.56		-1.25		06/11/2014
06/10/2014	04/01/2011	PIMCO LOW DURATION FD INS C (PTLX)		29.183	302.90	304.67		304.67		-1.77		06/11/2014
06/10/2014	05/02/2011	PIMCO LOW DURATION FD INS C (PTLX)		25.698	266.73	270.34		270.34		-3.61		06/11/2014
06/10/2014	06/01/2011	PIMCO LOW DURATION FD INS C (PTLX)		22.554	234.09	237.49		237.49		-3.40		06/11/2014
06/10/2014	07/01/2011	PIMCO LOW DURATION FD INS C (PTLX)		24.893	258.37	261.38		261.38		-3.01		06/11/2014
06/10/2014	08/01/2011	PIMCO LOW DURATION FD INS C (PTLX)		23.01	238.83	242.07	6.67	248.74		-9.91		06/11/2014
06/10/2014	09/01/2011	PIMCO LOW DURATION FD INS C (PTLX)		23.88	247.85	249.55	6.93	256.48		-8.63		06/11/2014
06/10/2014	10/03/2011	PIMCO LOW DURATION FD INS C (PTLX)		18.957	196.76	194.88		194.88		1.88		06/11/2014
06/10/2014	10/31/2011	PIMCO LOW DURATION FD INS C (PTLX)		18.207	188.98	188.81		188.81		0.17		06/11/2014
06/10/2014	11/30/2011	PIMCO LOW DURATION FD INS C (PTLX)		17.887	185.65	184.24		184.24		1.41		06/11/2014
06/10/2014	12/07/2011	PIMCO LOW DURATION FD INS C (PTLX)		0.125	1.30	1.29		1.29		0.01		06/11/2014
06/10/2014	12/20/2011	PIMCO LOW DURATION FD INS C (PTLX)		9,203.101	95,521.18	95,000.00		95,000.00		521.18		06/11/2014
06/10/2014	12/29/2011	PIMCO LOW DURATION FD INS C (PTLX)		107.284	1,113.53	1,101.81		1,101.81		11.72		06/11/2014
06/10/2014	01/03/2012	PIMCO LOW DURATION FD INS C (PTLX)		33.151	344.08	341.12		341.12		2.96		06/11/2014
06/10/2014	02/01/2012	PIMCO LOW DURATION FD INS C (PTLX)		47.312	491.06	492.99		492.99		-1.93		06/11/2014
06/10/2014	03/01/2012	PIMCO LOW DURATION FD INS C (PTLX)		43.623	452.78	454.55		454.55		-1.77		06/11/2014
06/10/2014	04/02/2012	PIMCO LOW DURATION FD INS C (PTLX)		53.049	550.61	551.71		551.71		-1.10		06/11/2014
06/10/2014	05/01/2012	PIMCO LOW DURATION FD INS C (PTLX)		48.319	501.51	505.90		505.90		-4.39		06/11/2014
06/10/2014	06/01/2012	PIMCO LOW DURATION FD INS C (PTLX)		52.868	548.73	553.53	13.73	519.63		-18.12		06/11/2014
06/10/2014	07/02/2012	PIMCO LOW DURATION FD INS C (PTLX)		45.717	474.51	479.11	15.02	568.55		-19.82		06/11/2014
06/10/2014	07/31/2012	PIMCO LOW DURATION FD INS C (PTLX)		36.524	379.09	386.42		479.11		-4.60		06/11/2014
06/10/2014	08/31/2012	PIMCO LOW DURATION FD INS C (PTLX)		35.776	371.33	379.23		386.42		-7.33		06/11/2014
06/10/2014	09/28/2012	PIMCO LOW DURATION FD INS C (PTLX)		30.347	314.97	323.50		379.23		-7.90		06/11/2014
06/10/2014	10/31/2012	PIMCO LOW DURATION FD INS C (PTLX)		47.749	495.60	508.05		323.50		-8.53		06/11/2014
06/10/2014	11/30/2012	PIMCO LOW DURATION FD INS C (PTLX)		49.136	510.00	523.30		508.05		-12.45		06/11/2014
06/10/2014	12/12/2012	PIMCO LOW DURATION FD INS C (PTLX)		172.341	1,788.77	1,816.47		523.30		-13.30		06/11/2014
06/10/2014	12/18/2012	PIMCO LOW DURATION FD INS C (PTLX)		36.446	378.28	384.14		1,816.47		-27.70		06/11/2014
06/10/2014	12/18/2012	PIMCO LOW DURATION FD INS C (PTLX)		9,503.422	98,638.29	100,000.00		384.14		-5.86		06/11/2014
06/10/2014	12/27/2012	PIMCO LOW DURATION FD INS C (PTLX)		101.595	1,054.47	1,067.76		100,000.00		-1,361.71		06/11/2014
06/10/2014	12/31/2012	PIMCO LOW DURATION FD INS C (PTLX)		50.201	521.05	527.61		1,067.76		-13.29		06/11/2014
06/10/2014	01/31/2013	PIMCO LOW DURATION FD INS C (PTLX)		47.649	494.56	498.88		527.61		-6.56		06/11/2014
06/10/2014	02/28/2013	PIMCO LOW DURATION FD INS C (PTLX)		49.59	514.71	520.70		498.88		-4.32		06/11/2014
06/10/2014	03/28/2013	PIMCO LOW DURATION FD INS C (PTLX)		61.89	642.37	649.84		520.70		-5.99		06/11/2014
06/10/2014	04/30/2013	PIMCO LOW DURATION FD INS C (PTLX)		68.009	705.88	716.13		649.84		-7.47		06/11/2014
								716.13		-10.25		06/11/2014

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Close Date	Rec type	Open Date	Security	Shares Sold	Proceeds	Book Cost	Wash Cost Adj	Cost	ST Gain/Loss	Net Gain/Loss	Trading Method	Schedule
06/10/2014		05/31/2013	PIMCO LOW DURATION FD INS C (PTLX)	56 561	587 06	588 23		588 23				06/11/2014
06/10/2014		06/28/2013	PIMCO LOW DURATION FD INS C (PTLX)	44 696		458 13		458 13	5 78	-1 17		06/11/2014
06/10/2014		07/31/2013	PIMCO LOW DURATION FD INS C (PTLX)	48 083	499 07	494 29		494 29	4 78			06/11/2014
06/10/2014		08/30/2013	PIMCO LOW DURATION FD INS C (PTLX)	43 176	448 13	441 26		441 26	6 87			06/11/2014
06/10/2014		09/30/2013	PIMCO LOW DURATION FD INS C (PTLX)	30 988	321 63	319 18		319 18	2 45			06/11/2014
06/10/2014		10/31/2013	PIMCO LOW DURATION FD INS C (PTLX)	38 668	401 35	399 83		399 83	1 52			06/11/2014
06/10/2014		11/29/2013	PIMCO LOW DURATION FD INS C (PTLX)	38 548	400 10	400 13		400 13	-0 03			06/11/2014
06/10/2014		12/11/2013	PIMCO LOW DURATION FD INS C (PTLX)	19 91	206 65	206 27		206 27	0 38			06/11/2014
06/10/2014		12/31/2013	PIMCO LOW DURATION FD INS C (PTLX)	21 12	219 21	218 17		218 17	1 04			06/11/2014
06/10/2014		01/31/2014	PIMCO LOW DURATION FD INS C (PTLX)	21 574	223 92	223 29		223 29	0 63			06/11/2014
06/10/2014		02/28/2014	PIMCO LOW DURATION FD INS C (PTLX)	29 932	310 67	311 29		311 29	-0 62			06/11/2014
06/10/2014		03/31/2014	PIMCO LOW DURATION FD INS C (PTLX)	44 218	458 95	457 21		457 21	1 74			06/11/2014
06/10/2014		04/30/2014	PIMCO LOW DURATION FD INS C (PTLX)	46 001	477 45	476 57		476 57	0 88			06/11/2014
06/10/2014		05/30/2014	PIMCO LOW DURATION FD INS C (PTLX)	51 617	535 75	536 30		536 30	-0 55			06/11/2014
06/10/2014		07/26/2013	RIDGEWORTH US GOVERNMENT SE (SIGVX)	74,108 3	749,975 99	750,000 00		750,000 00	-24 01			06/11/2014
06/10/2014		08/01/2013	RIDGEWORTH US GOVERNMENT SE (SIGVX)	3,409	34 50	34 47		34 47	0 03			06/11/2014
06/10/2014		09/03/2013	RIDGEWORTH US GOVERNMENT SE (SIGVX)	34 65	350 66	349 96		349 96	0 70			06/11/2014
06/10/2014		10/01/2013	RIDGEWORTH US GOVERNMENT SE (SIGVX)	31 47	318 48	317 85		317 85	0 63			06/11/2014
06/10/2014		11/01/2013	RIDGEWORTH US GOVERNMENT SE (SIGVX)	40 338	408 22	407 82		407 82	0 40			06/11/2014
06/10/2014		12/02/2013	RIDGEWORTH US GOVERNMENT SE (SIGVX)	38 407	388 68	388 68		388 68				06/11/2014
06/10/2014		01/02/2014	RIDGEWORTH US GOVERNMENT SE (SIGVX)	41 364	418 60	418 19		418 19				06/11/2014
06/10/2014		02/03/2014	RIDGEWORTH US GOVERNMENT SE (SIGVX)	39 789	402 66	402 66		402 66				06/11/2014
06/10/2014		02/28/2014	RIDGEWORTH US GOVERNMENT SE (SIGVX)	39 976	404 56	404 56		404 56				06/11/2014
06/10/2014		03/31/2014	RIDGEWORTH US GOVERNMENT SE (SIGVX)	42 238	418 07	418 07		418 07				06/11/2014
06/10/2014		04/30/2014	RIDGEWORTH US GOVERNMENT SE (SIGVX)	43 61	441 33	441 33		441 33				06/11/2014
06/10/2014		05/30/2014	RIDGEWORTH US GOVERNMENT SE (SIGVX)	345	39,432 73	22,625 06		22,625 06	16,807 67			06/11/2014
06/10/2014		10/22/2008	VANGUARD ENERGY VIPERS (VDE)	23 207	2,652 51	1,502 41		1,502 41	1,150 10			10/20/2014
06/10/2014		12/30/2008	VANGUARD ENERGY VIPERS (VDE)	295	33,717 83	24,751 35		24,751 35	8,966 48			10/20/2014
06/10/2014		12/30/2009	VANGUARD ENERGY VIPERS (VDE)	22 533	2,586 91	1,894 73		1,894 73	692 18			10/20/2014
06/10/2014		12/30/2010	VANGUARD ENERGY VIPERS (VDE)	8 601	983 07	855 24		855 24	127 83			10/20/2014
06/10/2014		05/19/2011	VANGUARD ENERGY VIPERS (VDE)	225	25,717 00	24,605 19		24,605 19	1,111 81			10/20/2014
06/10/2014		12/28/2011	VANGUARD ENERGY VIPERS (VDE)	14 806	1,692 29	1,487 66		1,487 66	204 63			10/20/2014
06/10/2014		12/27/2012	VANGUARD ENERGY VIPERS (VDE)	18 503	2,114 85	1,862 89		1,862 89	251 96			10/20/2014
06/10/2014		12/27/2013	VANGUARD ENERGY VIPERS (VDE)	16 25	1,857 34	2,039 99		2,039 99				10/20/2014
06/10/2014		12/27/2013	VANGUARD ENERGY VIPERS (VDE)	0 401	45 84	50 34		50 34	-4 50			10/20/2014
12/04/2013		09/25/2013	VIRTUS FOREIGN OPPORTUNITIE (JVXIX)	6,542 239	174,791 85	178,300 00		178,300 00	-3,508 15			12/05/2013
12/04/2013		11/21/2013	VIRTUS FOREIGN OPPORTUNITIE (JVXIX)	2,814 048	75,184 15	75,900 94		75,900 94	-716 79			12/05/2013
12/04/2013	Wash Sale Adj	11/21/2013	VIRTUS FOREIGN OPPORTUNITIE (JVXIX)	6,542 239		0 00		-3,508 15	3,508 15			12/05/2013
12/04/2013	Wash Sale Adj	12/20/2013	VIRTUS FOREIGN OPPORTUNITIE (JVXIX)	39 268		0 00		-10 00	10 00			12/05/2013
Total				4,409,791 81	195 06	2,452,455 82		58,191 90	1,899,144 09			

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