Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning DEC 1, 2013 , and ending NOV 30, 2014

Name of foundation: MOTE SCIENTIFIC FOUNDATION

A Employer identification number: 13-6117615

Number and street (or P O box number if mail is not delivered to street address): 1600 KEN THOMPSON PKWY | Room/suite:

B Telephone number: (813)383-8003

City or town, state or province, country, and ZIP or foreign postal code: SARASOTA, FL 34236

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 12,648,581.

J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received			N/A	
	2 Check ▶ ☒ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	310,701.	310,701.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	426,216.			
	b Gross sales price for all assets on line 6a 3,018,044.				
	7 Capital gain net income (from Part IV, line 2)		426,216.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	736,917.	736,917.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	200,000.	112,000.		88,000.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees STMT 2	21,974.	0.		21,974.
	b Accounting fees STMT 3	7,300.	0.		7,300.
	c Other professional fees STMT 4	57,651.	57,651.		0.
	17 Interest	59,944.	59,944.		0.
	18 Taxes STMT 5	21,775.	16,893.		0.
	19 Depreciation and depletion				
	20 Occupancy	164.	0.		164.
	21 Travel, conferences, and meetings	1,629.	0.		1,629.
	22 Printing and publications				
	23 Other expenses STMT 6	27,688.	7,119.		20,569.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	398,125.	253,607.		139,636.
	25 Contributions, gifts, grants paid	456,476.			456,476.
	26 **Total expenses and disbursements.** Add lines 24 and 25	854,601.	253,607.		596,112.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	-117,684.			
	b **Net investment income** (if negative, enter -0-)		483,310.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	152,244.	213,062.	213,062.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶ 2,175,000.			
	Less: allowance for doubtful accounts ▶ 0.	2,175,000.	2,175,000.	2,175,000.
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 8	2,248,122.	2,203,180.	2,979,618.
	c Investments - corporate bonds STMT 9	4,692,794.	5,431,422.	5,454,896.
	11 Investments - land, buildings, and equipment: basis ▶ 1,568,005.			
	Less accumulated depreciation STMT 7 ▶	1,568,005.	1,568,005.	1,826,005.
	12 Investments - mortgage loans			
	13 Investments - other	850,357.		
	14 Land, buildings, and equipment: basis ▶ 3,367.			
	Less accumulated depreciation STMT 10 ▶ 3,367.			
	15 Other assets (describe ▶ UNREALIZED GAINS)	851,065.	799,912.	0.
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item 1)	12,537,587.	12,390,581.	12,648,581.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 11)	2,989,036.	3,010,868.	
	23 **Total liabilities** (add lines 17 through 22)	2,989,036.	3,010,868.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	2,490,305.	2,490,305.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	7,058,246.	6,889,408.	
	30 **Total net assets or fund balances**	9,548,551.	9,379,713.	
	31 **Total liabilities and net assets/fund balances**	12,537,587.	12,390,581.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	9,548,551.
2	Enter amount from Part I, line 27a	2	-117,684.
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	9,430,867.
5	Decreases not included in line 2 (itemize) ▶ UNREALIZED GAIN	5	51,154.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	9,379,713.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	SEE ATTACHED	P	VARIOUS	12/31/14
b	SEE ATTACHED	P	VARIOUS	12/31/14
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	662,353.		623,640.	38,713.
b	2,355,691.		1,968,188.	387,503.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				38,713.
b				387,503.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 } 2 426,216.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	497,806.	8,497,386.	.058583
2011	411,802.	8,444,622.	.048765
2010	523,373.	8,661,143.	.060428
2009	468,897.	13,180,757.	.035574
2008	370,461.	12,614,155.	.029369

2	**Total** of line 1, column (d)	2	.232719
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.046544
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	10,281,309.
5	Multiply line 4 by line 3	5	478,533.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	4,833.
7	Add lines 5 and 6	7	483,366.
8	Enter qualifying distributions from Part XII, line 4	8	596,112.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary-see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b			1	4,833.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3 Add lines 1 and 2			3	4,833.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5 **Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	4,833.
6 Credits/Payments:				
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	4,600.		
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d			7	4,600.
8 Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9 **Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	233.
10 **Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11 Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶	**Refunded** ▶		11	

Part VII-A Statements Regarding Activities

		Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c Did the foundation file **Form 1120-POL** for this year?	1c		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ $ 0. (2) On foundation managers. ▶ $ 0.			
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7 Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NY			
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ NONE

14 The books are in care of ▶ MOTE SCIENTIFIC FOUNDATION Telephone no. ▶ 941-388-4441

Located at ▶ C/O 1600 KEN THOMPSON PARKWAY ZIP+4 ▶ 34236

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐

and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☒ Yes ☐ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?		1b		X
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes ☒ No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A | 5b |

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | 6b | | X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A | 7b |

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 12		200,000.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	8,326,851.
b	Average of monthly cash balances	1b	285,022.
c	Fair market value of all other assets	1c	1,826,004.
d	**Total** (add lines 1a, b, and c)	1d	10,437,877.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	10,437,877.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	156,568.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	10,281,309.
6	**Minimum investment return.** Enter 5% of line 5	6	514,065.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	514,065.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 4,833.		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	4,833.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	509,232.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	509,232.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	509,232.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	596,112.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	596,112.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	4,833.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	591,279.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII **Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				509,232.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ______ , ______ , ______		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012 82,061.				
f **Total** of lines 3a through e	82,061.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 596,112.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				509,232.
e Remaining amount distributed out of corpus	86,880.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e. Subtract line 5	168,941.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	168,941.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012 82,061.				
e Excess from 2013 86,880.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon: a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
AFP NATIONAL PHILANTHROPY 4300 WILSON BLVD, SUITE 300 ARLINGTON, VA 22203	NONE	PC	GENERAL	2,500.
ARGUS FOUNDATION 2033 MAIN ST #405 SARASOTA, FL 34237	NONE	PC	GENERAL	450.
BOYS & GIRLS CLUB OF MANATEE COUNTY 1215 MANATEE AVE W, #200 BRADENTON, FL 34205	NONE	PC	GENERAL	1,000.
COEXISTENCE, INC. P.O. BOX 2559 SARASOTA, FL 34230	NONE	PC	SCHOOL BUS SPONSOR	1,000.
COMMUNITY VIDEO ARCHIVE 1235 TAMIAMI TRAIL S. SARASOTA, FL 34239	NONE	PC	GENERAL	800.
Total SEE CONTINUATION SHEET(S)			▶ 3a	456,476.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	310,701.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			14		
8 Gain or (loss) from sales of assets other than inventory			18	426,216.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		736,917.	0.

13 Total. Add line 12, columns (b), (d), and (e) ..... 13 736,917.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Signature of officer or trustee: [signature] Date: 4/14/15 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
REBECCA U. STONER	Rebecca U Stoner CPA	4/9/15		P00585910

Firm's name ▶ KERKERING, BARBERIO & CO. Firm's EIN ▶ 59-1753337

Firm's address ▶ P.O. BOX 49348
SARASOTA, FL 34230-6348 Phone no. 941-365-4617

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
DEL COUCH FOUNDATION 700 HABEN BLVD PALMETTO, FL 34221	NONE	PC	GENERAL	1,000.
FLORIDA OCEAN ALLIANCE 401 E. LAS OLAS BLVD., SUITE 130-224 FORT LAUDERDALE, FL 333012206	NONE	PC	GENERAL	5,000.
GREATER SARASOTA CHAMBER OF COMMERCE FDTN 1945 FRUITVILLE RD SARASOTA, FL 34236	NONE	PC	GENERAL	2,500.
HARTE RESEARCH INSTITUTE OF GULF OF MEXICO STUDIES, C/O TEXAS A&M UNIVERSIT 6300 OCEAN DRIVE, #5869 CORPUS CHRISTI, TX 78412	NONE	PC	GENERAL	5,000.
MANATEE EDUCATION FOUNDATION PO BOX 9069 BRADENTON, FL 34206	NONE	PC	GENERAL	15,000.
MOTE MARINE LABORATORY 1600 KEN THOMPSON PKWY SARASOTA, FL	NONE	PC	GENERAL	405,226.
NATIONAL MARINE SANCTUARY FOUNDATION 8601 GEORGIA AVE; STE 501 SILVER SPRING, MD 20910	NONE	PC	GENERAL	5,500.
SARASOTA BOYS & GIRLS CLUB 3100 FRUITVILLE RD SARASOTA, FL 34237	NONE	PC	GENERAL	1,000.
SCIENCE ENVIRONMENT COUNCIL OF SOUTHWEST FLORIDA 1530 DOLPHIN ST #4 SARASOTA, FL 34236	NONE	PC	GENERAL	1,000.
SOUTHEASTERN GUIDE DOGS 4210 77TH STREET EAST PALMETTO, FL 34221	NONE	PC	GENERAL	3,500.
Total from continuation sheets				450,726.

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05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
THE GUJARATI ASSOCIATION OF MANASOTA 4616 SANIBEL WAY BRADENTON, FL 34203	NONE	PC	GENERAL	1,000.
WILDLIFE FOUNDATION OF FLORIDA P.O. BOX 11010 TALLAHASSEE, FL 32302	NONE	PC	GENERAL	4,000.
WOMENS RESOURCE CENTER 340 S TUTTLE AVE SARASOTA, FL 34237	NONE	PC	GENERAL	1,000.
Total from continuation sheets				

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05-01-13

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
BROKERAGE ACCOUNTS	310,701.	0.	310,701.	310,701.	
TO PART I, LINE 4	310,701.	0.	310,701.	310,701.	

FORM 990-PF LEGAL FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
LEGAL & PROFESSIONAL FEES	21,974.	0.		21,974.
TO FM 990-PF, PG 1, LN 16A	21,974.	0.		21,974.

FORM 990-PF ACCOUNTING FEES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	7,300.	0.		7,300.
TO FORM 990-PF, PG 1, LN 16B	7,300.	0.		7,300.

FORM 990-PF OTHER PROFESSIONAL FEES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
BROKERS FEES	57,651.	57,651.		0.
TO FORM 990-PF, PG 1, LN 16C	57,651.	57,651.		0.

FORM 990-PF TAXES STATEMENT 5

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
REAL ESTATE TAXES	16,799.	16,799.		0.
FOREIGN TAX	94.	94.		0.
EXCISE	4,882.	0.		0.
TO FORM 990-PF, PG 1, LN 18	21,775.	16,893.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 6

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	450.	0.		450.
INSURANCE	13,537.	3,384.		10,153.
LAWN CARE	3,735.	3,735.		0.
MISCELLANEOUS	8,277.	0.		8,277.
OUTSIDE SERVICES	1,689.	0.		1,689.
TO FORM 990-PF, PG 1, LN 23	27,688.	7,119.		20,569.

FORM 990-PF DEPRECIATION OF ASSETS HELD FOR INVESTMENT STATEMENT 7

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE	FAIR MARKET VALUE
BRADEN RIVER PROPERTY	273,129.	0.	273,129.	273,129.
PINELAND PROPERTY	322,876.	0.	322,876.	322,876.
LONGBOAT KEY PROPERTY	972,000.	0.	972,000.	1,230,000.
TO 990-PF, PART II, LN 11	1,568,005.	0.	1,568,005.	1,826,005.

FORM 990-PF CORPORATE STOCK STATEMENT 8

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE OF CORPORATE STOCKS	2,203,180.	2,979,618.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,203,180.	2,979,618.

FORM 990-PF CORPORATE BONDS STATEMENT 9

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED SCHEDULE OF BONDS	5,431,422.	5,454,896.
TOTAL TO FORM 990-PF, PART II, LINE 10C	5,431,422.	5,454,896.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 10

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
DELL COMPUTER	3,367.	3,367.	0.
TOTAL TO FM 990-PF, PART II, LN 14	3,367.	3,367.	0.

FORM 990-PF OTHER LIABILITIES STATEMENT 11

DESCRIPTION	BOY AMOUNT	EOY AMOUNT
PORTFOLIO LINE OF CREDIT	2,989,036.	3,010,868.
TOTAL TO FORM 990-PF, PART II, LINE 22	2,989,036.	3,010,868.

FORM 990-PF PART VIII - LIST OF OFFICERS, DIRECTORS TRUSTEES AND FOUNDATION MANAGERS STATEMENT 12

NAME AND ADDRESS	TITLE AND AVRG HRS/WK	COMPEN-SATION	EMPLOYEE BEN PLAN CONTRIB	EXPENSE ACCOUNT
PETER HULL 1600 KEN THOMPSON PARKWAY SARASOTA, FL 34236	PRESIDENT 15.00	40,000.	0.	0.
HELEN PRATT 1600 KEN THOMPSON PARKWAY SARASOTA, FL 34236	SECRETARY 15.00	40,000.	0.	0.
BILL GALVANO 1600 KEN THOMPSON PARKWAY SARASOTA, FL 34236	TREASURER 15.00	40,000.	0.	0.
BILL RITCHIE 1600 KEN THOMPSON PARKWAY SARASOTA, FL 34236	TRUSTEE 10.00	40,000.	0.	0.
DR. KUMAR MAHADEVAN 1600 KEN THOMPSON PARKWAY SARASOTA, FL 34236	TRUSTEE 10.00	40,000.	0.	0.
TOTALS INCLUDED ON 990-PF, PAGE 6, PART VIII		200,000.	0.	0.

UBS **Detailed Positions**

Base Currency USD
As of Date: Nov 30 2014

Objective USS
Strategy YIELD
Authority FULL DISCRETION

Bonds

Description Security Identifier	Asset CCY. / Amount * Next Red. Date Last Call Date	Avg. Unit Cost Adj. Avg. Unit Cost Avg. Exch Rate Val Exch Rate	Book Value in USD Adj. Cost Basis Last Trade Date Last Price Date	Market Price Price Change in % Total Change in %	Market Value Accrued Interest Unrealized P/L in USD	Gross Est. Annual Income	Yield@MKT Yield@Cost Duration	% of Gross Assets
BONDS								
CITIGROUP INC	USD 320,000.00	102.58	328,255	101.15	323,689.60	7,200	0.56%	3.77%
DTD 8/7/2012 2.25% 8/7/2015	(M) Aug 07 2015	102.58	328,256	-1.39%	2,280.00		-1.23	
CUSIP:172967GB1 FREQ: SEMI-ANNUAL			Nov 12 2014	-1.39%	-4,566.10		0.68	
ISIN:US172967GB17			Nov 28 2014					
RATINGS S&P: A- MOODYS: BAA2								
WAL-MART STORES INC	USD 180,000.00	101.40	182,511	101.10	181,971.00	2,700	0.28%	2.11%
DTD 10/25/2010 1.5% 10/25/2015	(M) Oct 25 2015	101.40	182,511	-0.30%	270.00		0.90	
CUSIP:931142CX9 FREQ: SEMI-ANNUAL			Jun 05 2014	-0.30%	-540.10		0.90	
ISIN:US931142CX95			Nov 28 2014					
RATINGS S&P: AA MOODYS: AA2								
US TREASURY N/B	USD 335,000.00	104.22	349,149	104.13	348,845.55	15,075	0.18%	4.04%
DTD 11/15/2005 4.5% 11/15/2015	(M) Nov 15 2015	104.22	349,149	-0.08%	666.30		0.30	
CUSIP:912828EN6 FREQ: SEMI-ANNUAL			Nov 12 2014	-0.08%	-303.65		0.95	
ISIN:US912828EN62			Nov 28 2014					
RATINGS S&P: N/A MOODYS: AAA								
US TREASURY N/B	USD 305,000.00	110.40	336,713	107.06	326,517.75	15,631	0.27%	3.78%
DTD 5/15/2006 5.125% 5/15/2016	(M) May 15 2016	110.40	336,714	-3.03%	690.88		-1.66	
CUSIP:912828FF2 FREQ: SEMI-ANNUAL			Nov 12 2014	-3.03%	-10,196.11		1.42	
ISIN:US912828FF20			Nov 28 2014					
RATINGS S&P: N/A MOODYS: AAA								
US TREASURY N/B	USD 252,000.00	105.54	265,949	104.55	263,458.44	7,560	0.39%	3.07%
DTD 8/31/2009 3% 8/31/2016	(M) Aug 31 2016	105.54	265,949	-0.94%	1,921.33		-0.08	
CUSIP:912828LL2 FREQ: SEMI-ANNUAL			Nov 12 2014	-0.94%	-2,490.97		1.71	
ISIN:US912828LL24			Nov 28 2014					
RATINGS S&P: N/A MOODYS: AAA								
MORGAN STANLEY	USD 170,000.00	109.09	185,454	107.39	182,568.10	8,075	1.48%	2.13%
DTD 3/22/2012 4.75% 3/22/2017	(M) Mar 22 2017	109.09	185,455	-1.56%	1,547.71		1.62	
CUSIP:61747YDT9 FREQ: SEMI-ANNUAL			Jun 05 2014	-1.56%	-2,886.60		2.20	
ISIN:US61747YDT91			Nov 28 2014					
RATINGS S&P: A- MOODYS: BAA2								

The exchange rate that a client receives may be different than the rate used on this statement.
* Next Redemption Date - (P) Denotes a Put (C) Denotes a Call (M) Denotes a Maturity Date - Puts and Calls are at the discretion of the Issuer.

continued on next page...

UBS **Detailed Positions**

Base Currency: USD
As of Date: Nov 30 2014

Objective:USS
Strategy: YIELD
Authority:FULL DISCRETION

Bonds

Description Security Identifier	Asset CCY. / Amount * Next Red. Date Last Call Date	Avg. Unit Cost Adj. Avg. Unit Cost Avg. Exch Rate Val Exch Rate	Book Value in USD Adj. Cost Basis Last Trade Date Last Price Date	Market Price Price Change in % Total Change in %	Market Value Accrued Interest Unrealized P/L in USD	Gross Est. Annual Income	Yield@MKT Yield@Cost Duration	% of Gross Assets
CVS CAREMARK CORP	USD 165,000.00	119.07	196,467	110.80	182,824.95	9,487	1.35%	2.17%
DTD 5/25/2007 5.75% 6/1/2017	(M) Jun 01 2017	119.07	196,467	-6.94%	4,743.75		0.83	
CUSIP:126650BH2 FREQ: SEMI-ANNUAL			Dec 26 2013	-6.94%	-13,642.45		2.31	
ISIN:US126650BH22			Nov 28 2014					
RATINGS S&P: BBB+ MOODYS: BAA1								
FREDDIE MAC DTD 8/10/2012 1% 9/29/2017	USD 215,000.00	100.43	215,921	100.02	215,045.15	2,150	0.99%	2.49%
CUSIP:3137EADL0 FREQ: SEMI-ANNUAL	(M) Sep 29 2017	100.43	215,922	-0.41%	370.28		0.85	
ISIN:US3137EADL04			Nov 12 2014	-0.41%	-876.57		2.79	
			Nov 28 2014					
RATINGS S&P: AA+ MOODYS: AAA								
FEDERAL HOME LOAN BANK	USD 320,000.00	116.25	372,011	111.66	357,324.80	16,000	1.00%	4.14%
DTD 10/19/2007 5% 11/17/2017	(M) Nov 17 2017	116.25	372,011	-3.94%	622.22		-0.36	
CUSIP:3133XMQ87 FREQ: SEMI-ANNUAL			Nov 12 2014	-3.94%	-14,686.64		2.80	
ISIN:US3133XMQ871			Nov 28 2014					
RATINGS S&P: AA+ MOODYS: AAA								
AMERICAN INTL GROUP - SER MTN	USD 160,000.00	115.51	184,813	112.71	180,339.20	9,360	1.66%	2.13%
DTD 12/12/2007 5.85% 1/16/2018	(M) Jan 16 2018	115.51	184,813	-2.42%	3,510.00		1.98	
CUSIP:02687QDG0 FREQ: SEMI-ANNUAL			Jun 05 2014	-2.42%	-4,474.05		2.86	
ISIN:US02687QDG01			Nov 28 2014					
RATINGS S&P: A- MOODYS: BAA1								
GOLDMAN SACHS GROUP INC	USD 185,000.00	100.71	186,317	101.80	188,331.85	4,393	1.78%	2.20%
DTD 1/22/2013 2.375% 1/22/2018	(M) Jan 22 2018	100.71	186,317	1.08%	1,574.43		2.21	
CUSIP:38141GRC0 FREQ: SEMI-ANNUAL			Jun 05 2014	1.08%	2,014.84		3.02	
ISIN:US38141GRC05			Nov 28 2014					
RATINGS S&P: A- MOODYS: BAA1								
GENERAL ELEC CAP CORP	USD 105,000.00	118.22	124,132	113.30	118,968.15	5,906	1.61%	1.38%
DTD 4/21/2008 5.625% 5/1/2018	(M) May 01 2018	118.22	124,133	-4.16%	492.19		1.71	
CUSIP:36962G3U6 FREQ: SEMI-ANNUAL			Jun 05 2014	-4.16%	-5,164.40		3.16	
ISIN:US36962G3U65			Nov 28 2014					
RATINGS S&P: AA+ MOODYS: A1								

The exchange rate that a client receives may be different than the rate used on this statement.

continued on next page...

• Next Redemption Date - (P) Denotes a Put (C) Denotes a Call (M) Denotes a Maturity Date - Puts and Calls are at the discretion of the Issuer.

UBS Detailed Positions

Base Currency: USD
As of Date: Nov 30 2014

Objective:USS
Strategy: YIELD
Authority:FULL DISCRETION

Bonds

Description / Security Identifier	Asset CCY. / Amount / * Next Red. Date / Last Call Date	Avg. Unit Cost / Adj. Avg. Unit Cost / Avg. Exch Rate / Val Exch Rate	Book Value in USD / Adj. Cost Basis / Last Trade Date / Last Price Date	Market Price / Price Change in % / Total Change in %	Market Value / Accrued Interest / Unrealized P/L in USD	Gross Est. Annual Income	Yield@MKT / Yield@Cost / Duration	% of Gross Assets
ABBEY NATL TREASURY SERV	USD 255,000.00	102.13	260,427	104.02	265,251.00	7,777	1.93%	3.09%
DTD 8/23/2013 3.05% 8/23/2018	(M) Aug 23 2018	102.13	260,428	1.85%	2,117.21		2.46	
CUSIP:002799AL8 FREQ: SEMI-ANNUAL			Nov 13 2014	1.85%	4,823.25		3.53	
ISIN:US002799AL89			Nov 28 2014					
RATINGS S&P: A MOODYS: A2								
DEUTSCHE BANK AG LONDON	USD 145,000.00	101.11	146,602	101.92	147,785.45	3,625	2.02%	1.72%
DTD 2/13/2014 2.5% 2/13/2019	(M) Feb 13 2019	101.11	146,602	0.80%	1,087.50		2.23	
CUSIP:25152RVS9 FREQ: SEMI-ANNUAL			Nov 12 2014	0.80%	1,183.20		3.99	
ISIN:US25152RVS92			Nov 28 2014					
RATINGS S&P: A MOODYS: A3								
FREDDIE MAC	USD 160,000.00	110.62	176,990	109.36	174,974.40	6,000	1.51%	2.04%
DTD 3/27/2009 3.75% 3/27/2019	(M) Mar 27 2019	110.62	176,991	-1.14%	1,066.67		1.80	
CUSIP:3137EACA5 FREQ: SEMI-ANNUAL			Jun 05 2014	-1.14%	-2,016.48		4.02	
ISIN:US3137EACA57			Nov 28 2014					
RATINGS S&P: AA+ MOODYS: AAA								
BANK OF AMERICA CORP	USD 195,000.00	117.25	228,629	121.70	237,313.05	14,868	2.50%	2.83%
DTD 6/2/2009 7.625% 6/1/2019	(M) Jun 01 2019	117.25	228,629	3.79%	7,434.38		3.49	
CUSIP:06051GDZ9 FREQ: SEMI-ANNUAL			Nov 12 2014	3.79%	8,683.95		3.84	
ISIN:US06051GDZ90			Nov 28 2014					
RATINGS S&P: A- MOODYS: BAA2								
CISCO SYSTEMS INC	USD 325,000.00	110.94	360,557	110.92	360,503.00	14,462	2.18%	4.23%
DTD 11/17/2009 4.45% 1/15/2020	(M) Jan 15 2020	110.94	360,558	-0.01%	5,463.61		2.20	
CUSIP:17275RAH5 FREQ: SEMI-ANNUAL			Nov 12 2014	-0.01%	-54.70		4.60	
ISIN:US17275RAH57			Nov 28 2014					
RATINGS S&P: AA- MOODYS: A1								
JPMORGAN CHASE & CO	USD 160,000.00	101.58	162,520	109.26	174,814.40	7,040	2.62%	2.05%
DTD 7/22/2010 4.4% 7/22/2020	(M) Jul 22 2020	101.58	162,521	7.56%	2,522.67		4.14	
CUSIP:46625HHS2 FREQ: SEMI-ANNUAL			Jun 05 2014	7.56%	12,293.77		5.02	
ISIN:US46625HHS22			Nov 28 2014					
RATINGS S&P: A MOODYS: A3								

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UBS **Detailed Positions**

Base Currency: USD
As of Date: Nov 30 2014

Objective: US$
Strategy: YIELD
Authority: FULL DISCRETION

Bonds

Description / Security Identifier	Asset CCY. / Amount / * Next Red. Date / Last Call Date	Avg. Unit Cost / Adj. Avg. Unit Cost / Avg. Exch Rate / Val Exch Rate	Book Value in USD / Adj. Cost Basis / Last Trade Date / Last Price Date	Market Price / Price Change in % / Total Change in %	Market Value / Accrued Interest / Unrealized P/L in USD	Gross Est. Annual Income	Yield@MKT / Yield@Cost / Duration	% of Gross Assets
US TREASURY N/B	USD 64,000.00	102.32	65,484	101.51	64,965.12	1,360	1.88%	0.76%
DTD 8/15/2011 2.125% 8/15/2021	(M) Aug 15 2021	102.32	65,485	-0.79%	399.13		1.82	
CUSIP:912828RC6 FREQ: SEMI-ANNUAL			Jun 05 2014	-0.79%	-519.49		6.25	
ISIN:US912828RC60			Nov 28 2014					
RATINGS S&P: N/A MOODYS: AAA								
CAPITAL ONE FINANCAL CO	USD 170,000.00	100.60	171,018	102.38	174,046.00	6,375	3.45%	2.02%
DTD 4/24/2014 3.75% 4/24/2024	(C) Mar 24 2024	100.60	171,018	1.77%	655.21		3.68	
CUSIP:14040HBF1 FREQ: SEMI-ANNUAL			May 13 2014	1.77%	3,027.70		8.00	
ISIN:US14040HBF10			Nov 28 2014					
RATINGS S&P: BBB MOODYS: BAA1								
FANNIE MAE DTD 9/8/2014 2.625% 9/6/2024	USD 205,000.00	99.47	203,903	100.81	206,660.50	5,381	2.53%	2.40%
CUSIP:3135G0ZR7 FREQ: SEMI-ANNUAL	(M) Sep 06 2024	99.47	203,903	1.35%	1,240.68		2.69	
ISIN:US3135G0ZR78			Nov 12 2014	1.35%	2,757.25		8.63	
			Nov 28 2014					
RATINGS S&P: AA+ MOODYS: AAA								
Book Value in USD			4,703,831	**Market Value in USD**	4,676,197.46			54.55%
Total Adjusted Cost in USD			4,703,831	**Accrued Interest**	40,676.15			0.47%
Total for BONDS					4,716,873.61	170,428		55.02%
INVESTMENT FUNDS								
ISHARES TIPS BOND ETF	USD 923.00	104.02	96,010	113.26	104,538.98	1,750	1.67%	1.21%
CUSIP:464287176		104.02	96,010	8.88%	0.00		0.00	
ISIN:US4642871762			Jun 05 2014	8.88%	8,528.96			
			Nov 28 2014					
POWERSHARES SENIOR LOAN PORTFOLIO	USD 13,910.00	24.83	345,383	24.35	338,708.50	13,882	4.10%	3.92%
CUSIP:73936Q769		24.83	345,384	-1.93%	0.00		0.00	
ISIN:US73936Q7694			Nov 12 2014	-1.93%	-6,675.36			
			Nov 28 2014					

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UBS Detailed Positions

Base Currency: USD
As of Date: Nov 30 2014

Objective: US$
Strategy: YIELD
Authority: FULL DISCRETION

Bonds

Description Security Identifier	Asset CCY. / Amount * Next Red. Date Last Call Date	Avg. Unit Cost Adj. Avg. Unit Cost Avg. Exch Rate Val Exch Rate	Book Value in USD Adj. Cost Basis Last Trade Date Last Price Date	Market Price Price Change in % Total Change in %	Market Value Accrued Interest Unrealized P/L in USD	Gross Est. Annual Income	Yield@MKT Yield@Cost Duration	% of Gross Assets
NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012 CUSIP:63867V119 FREQ: MONTHLY ISIN:US63867V1199	USD 46,842.52	6.11 6.11	286,197 286,198 Nov 12 2014 Nov 28 2014	6.26 2.45% 2.45%	293,234.19 1,540.20 7,036.50	16,314	5.56% 0.00	3.41%
	Book Value in USD		727,591	Market Value in USD	736,481.67			8.54%
	Total Adjusted Cost in USD		727,591	Accrued Interest	1,540.20			0.01%
	Total for INVESTMENT FUNDS				738,021.87	31,946		8.55%
	Total BONDS				5,454,895.48	202,375		63.57%

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UBS

Detailed Positions

Base Currency: USD
As of Date: Nov 30 2014

Objective:US$
Strategy: YIELD
Authority:FULL DISCRETION

Equities

Description / Security Identifier	Asset CCY. / Unit	Avg. Cost Price / Avg. Exch Rate / Val. Exch Rate	Book Value in USD / Last Trade Date / Last Price Date	Market Price / Price Change in % / Total Change in %	Market Value / Accrued Income / Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
EQUITIES								
TEVA PHARMACEUTICAL - SP ADR	USD	40.64	20,525.28	56.98	28,774.90	581.26	2.02%	0.33%
CUSIP:881624209	505.00		Jun 05 2014	40.21%	160.19			
ISIN:US8816242098			Nov 28 2014	40.21%	8,249.62			
ONE ADR REPRESENTS 1 ORDINARY SHS								
SECTOR:HEALTH CARE								
FREESCALE SEMICONDUCTOR HOLDINGS I LTD	USD	17.85	24,448.06	21.69	29,715.30			0.34%
ISIN:BMG3727Q1015	1,370.00		Oct 21 2014	21.51%				
SEDOL:B434980			Nov 28 2014	21.51%	5,267.24			
SECTOR:INFORMATION TECHNOLOGY								
NXP SEMICONDUCTORS NV	USD	36 41	16,932.78	77.81	36,181.65			0.42%
ISIN:NL0009538784	465.00		Oct 21 2014	113.71%				
SEDOL:B505PN7			Nov 28 2014	113.71%	19,248.87			
SECTOR:INFORMATION TECHNOLOGY								
AON PLC	USD	82.41	23,487.28	92.49	26,359.65			0.30%
ISIN:GB00B5BT0K07	285.00		Jun 05 2014	12.23%				
SEDOL:B5BT0K0			Nov 28 2014	12.23%	2,872.37			
SECTOR:FINANCIALS								
INVESCO LTD	USD	31.74	38,090.32	40.36	48,432.00			0.56%
ISIN:BMG491BT1088	1,200.00		Jun 05 2014	27.16%	300.00			
SEDOL:B28XP76			Nov 28 2014	27.16%	10,341.68			
SECTOR:FINANCIALS								
CHECK POINT SOFTWARE TECH LTD	USD	50.17	31,358.29	77.31	48,318.75			0.56%
ISIN:IL0010824113	625.00		Jun 23 2014	54.10%				
SEDOL:2181334			Nov 28 2014	54.10%	16,960.46			
SECTOR:INFORMATION TECHNOLOGY								
ACORDA THERAPEUTICS INC	USD	29.73	30,177.20	36.45	36,996.75			0.43%
CUSIP:00484M106	1,015.00		Nov 12 2014	22.60%				
ISIN:US00484M1062			Nov 28 2014	22.60%	6,819.55			
SECTOR:HEALTH CARE								
AMAZON.COM INC	USD	272.98	46,680.01	338.64	57,907.44			0.67%
CUSIP:023135106	171.00		Nov 12 2014	24.05%				
ISIN:US0231351067			Nov 28 2014	24.05%	11,227.43			
SECTOR:CONSUMER DISCRETIONARY								

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UBS Detailed Positions

Base Currency USD
As of Date. Nov 30 2014

Objective:USS
Strategy YIELD
Authority:FULL DISCRETION

Equities

Description Security Identifier	Asset CCY. Unit	Avg. Cost Price Avg. Exch Rate Val. Exch Rate	Book Value in USD Last Trade Date Last Price Date	Market Price Price Change in % Total Change in %	Market Value Accrued Income Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
AMERICAN EXPRESS CO	USD	85.63	44,101.10	92.42	47,596.30	535.60	1.13%	0.55%
CUSIP:025816109	515.00		Oct 21 2014	7.93%				
ISIN:US0258161092			Nov 28 2014	7.93%	3,495.20			
SECTOR:FINANCIALS								
APPLE INC.	USD	49.45	47,425.56	118.93	114,053.87	1,802.92	1.58%	1.32%
CUSIP:037833100	959.00		Oct 21 2014	140.51%				
ISIN:US0378331005			Nov 28 2014	140.51%	66,628.31			
COM								
SECTOR:INFORMATION TECHNOLOGY								
APPLIED MATERIALS INC	USD	19.09	32,071.34	24.05	40,404.00	672.00	1.66%	0.47%
CUSIP:038222105	1,680.00		Nov 12 2014	25.98%	168.00			
ISIN:US0382221051			Nov 28 2014	25.98%	8,332.66			
SECTOR:INFORMATION TECHNOLOGY								
BAKER HUGHES INC COMMON	USD	47.61	20,711.21	57.00	24,795.00	295.80	1.19%	0.29%
CUSIP:057224107	435.00		Aug 25 2014	19.72%				
ISIN:US0572241075			Nov 28 2014	19.72%	4,083.79			
SECTOR:ENERGY								
BEST BUY CO INC COMMON	USD	26.00	18,332.61	39.41	27,784.05	535.80	1.93%	0.32%
CUSIP:086516101	705.00		May 01 2014	51.58%				
ISIN:US0865161014			Nov 28 2014	51.58%	9,451.44			
SECTOR:CONSUMER DISCRETIONARY								
BIO-RAD LABORATORIES - CL A	USD	110.82	22,717.69	118.79	24,351.95			0.28%
CUSIP:090572207	205.00		Oct 01 2014	7.19%				
ISIN:US0905722072			Nov 28 2014	7.19%	1,634.26			
SECTOR:HEALTH CARE								
BOEING CO	USD	126.03	45,372.53	134.36	48,369.60	1,051.20	2.17%	0.56%
CUSIP:097023105	360.00		Nov 12 2014	6.61%				
ISIN:US0970231058			Nov 28 2014	6.61%	2,997.07			
COM								
SECTOR:INDUSTRIALS								
BROADCOM CORP CLASS A	USD	27.87	20,203.66	43.13	31,269.25	348.00	1.11%	0.36%
CUSIP:111320107	725.00		Jun 23 2014	54.75%	87.00			
ISIN:US1113201073			Nov 28 2014	54.75%	11,065.59			
SECTOR:INFORMATION TECHNOLOGY								

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UBS Detailed Positions

Base Currency: USD
As of Date: Nov 30 2014

Objective: USS
Strategy: YIELD
Authority: FULL DISCRETION

Equities

Description / Security Identifier	Asset CCY. / Unit	Avg. Cost Price / Avg. Exch Rate / Val. Exch Rate	Book Value in USD / Last Trade Date / Last Price Date	Market Price / Price Change in % / Total Change in %	Market Value / Accrued Income / Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
CAPITAL ONE FINANCIAL CORP. COMMON	USD	65.49	39,949.33	83.20	50,752.00	732.00	1.44%	0.59%
CUSIP:14040H105	610.00		Dec 26 2013	27.04%				
ISIN:US14040H1059			Nov 28 2014	27.04%	10,802.67			
SECTOR:FINANCIALS								
CHEVRON CORP	USD	118.24	24,238.50	108.87	22,318.35	877.40	3.93%	0.26%
CUSIP:166764100	205.00		Oct 01 2014	-7.92%	219.35			
ISIN:US1667641005			Nov 28 2014	-7.92%	-1,920.15			
COM								
SECTOR:ENERGY								
CITIGROUP INC. (USD)	USD	41.44	59,428.52	53.97	77,392.98	57.36	0.07%	0.90%
CUSIP:172967424	1,434.00		Oct 01 2014	30.24%				
ISIN:US1729674242			Nov 28 2014	30.24%	17,964.46			
SECTOR:FINANCIALS								
COMCAST CORP CL A	USD	28.48	25,627.52	57.04	51,336.00	810.00	1.58%	0.59%
CUSIP:20030N101	900.00		Oct 21 2014	100.28%				
ISIN:US20030N1019			Nov 28 2014	100.28%	25,708.48			
SECTOR:CONSUMER DISCRETIONARY								
DANAHER CORP	USD	75.59	39,685.27	83.56	43,869.00	210.00	0.48%	0.51%
CUSIP:235851102	525.00		Jun 23 2014	10.54%				
ISIN:US2358511028			Nov 28 2014	10.54%	4,183.73			
SECTOR:INDUSTRIALS								
DIGITAL REALTY TRUST INC	USD	54.47	39,766.44	70.27	51,297.10	2,423.60	4.72%	0.59%
CUSIP:253868103	730.00		Jul 09 2014	29.01%				
ISIN:US2538681030			Nov 28 2014	29.01%	11,530.66			
SECTOR:UNCLASSIFIED								
WALT DISNEY CO	USD	76.30	42,725.48	92.51	51,805.60	481.60	0.93%	0.60%
CUSIP:254687106	560.00		Nov 12 2014	21.25%				
ISIN:US2546871060			Nov 28 2014	21.25%	9,080.12			
SECTOR:CONSUMER DISCRETIONARY								
EOG RESOURCES INC COMMON	USD	70.23	33,711.60	86.72	41,625.60	321.60	0.77%	0.48%
CUSIP:26875P101	480.00		Oct 01 2014	23.48%				
ISIN:US26875P1012			Nov 28 2014	23.48%	7,914.00			
SECTOR:ENERGY								

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UBS **Detailed Positions**

Base Currency: USD
As of Date: Nov 30 2014

Objective:USS
Strategy: YIELD
Authority:FULL DISCRETION

Equities

Description / Security Identifier	Asset CCY. / Unit	Avg. Cost Price / Avg. Exch Rate / Val. Exch Rate	Book Value in USD / Last Trade Date / Last Price Date	Market Price / Price Change in % / Total Change in %	Market Value / Accrued Income / Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
FORD MOTOR COMPANY	USD	15.65	35,755.69	15.73	35,943.05	1,142.50	3.18%	0.42%
CUSIP:345370860	2,285.00		Aug 25 2014	0.51%	285.63			
ISIN:US3453708600			Nov 28 2014	0.51%	187.36			
SECTOR:CONSUMER DISCRETIONARY								
GENERAL ELECTRIC CORP	USD	26.23	48,658.32	26.49	49,138.95	1,632.40	3.32%	0.57%
CUSIP:369604103	1,855.00		Aug 25 2014	0.99%				
ISIN:US3696041033			Nov 28 2014	0.99%	480.63			
SECTOR:INDUSTRIALS								
GENERAL MOTORS CO	USD	36.22	36,035.65	33.43	33,262.85	1,194.00	3.59%	0.38%
CUSIP:37045V100	995.00		Aug 25 2014	-7.70%				
ISIN:US37045V1008			Nov 28 2014	-7.70%	-2,772.80			
SECTOR:CONSUMER DISCRETIONARY								
HALLIBURTON CO	USD	36.60	5,490.02	42.20	6,330.00	108.00	1.71%	0.07%
CUSIP:406216101	150.00		Oct 21 2014	15.30%				
ISIN:US4062161017			Nov 28 2014	15.30%	839.98			
COM								
SECTOR:ENERGY								
HERTZ GLOBAL HOLDINGS INC	USD	17.57	18,186.91	23.74	24,570.90			0.28%
CUSIP:42805T105	1,035.00		Jul 09 2014	35.12%				
ISIN:US42805T1051			Nov 28 2014	35.12%	6,383.99			
SECTOR:CONSUMER DISCRETIONARY								
HOSPIRA INC	USD	40.84	27,155.45	59.64	39,660.60			0.46%
CUSIP:441060100	665.00		Jul 09 2014	46.03%				
ISIN:US4410601003			Nov 28 2014	46.03%	12,505.15			
SECTOR:HEALTH CARE								
J.P. MORGAN CHASE & CO	USD	45.61	53,592.89	60.16	70,688.00	1,880.00	2.66%	0.82%
CUSIP:46625H100	1,175.00		Jun 05 2014	31.90%				
ISIN:US46625H1005			Nov 28 2014	31.90%	17,095.11			
SECTOR:FINANCIALS								
JABIL CIRCUIT INC	USD	21.72	37,146.16	20.75	35,482.50	547.20	1.54%	0.41%
CUSIP:466313103	1,710.00		Aug 25 2014	-4.47%	136.80			
ISIN:US4663131039			Nov 28 2014	-4.47%	-1,663.66			
SECTOR:INFORMATION TECHNOLOGY								

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UBS **Detailed Positions**

Base Currency: USD
As of Date: Nov 30 2014

Objective:USS
Strategy: YIELD
Authority:FULL DISCRETION

Equities

Description Security Identifier	Asset CCY. Unit	Avg. Cost Price Avg. Exch Rate Val. Exch Rate	Book Value in USD Last Trade Date Last Price Date	Market Price Price Change in % Total Change in %	Market Value Accrued Income Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
LABORATORY CORPORATION OF AMERICA HLDGS	USD	101.32	26,850.52	104.64	27,729.60			0.32%
CUSIP:50540R409	265.00		Oct 21 2014	3.28%				
ISIN:US50540R4092			Nov 28 2014	3.28%	879.08			
SECTOR:HEALTH CARE								
LENNAR CORP CL A	USD	41.13	23,442.68	47.24	26,926.80	91.20	0.34%	0.31%
CUSIP:526057104	570.00		Jul 09 2014	14.86%				
ISIN:US5260571048			Nov 28 2014	14.86%	3,484.12			
SECTOR:CONSUMER DISCRETIONARY								
ELI LILLY & CO (USD)	USD	54.48	50,118.90	68.12	62,670.40	1,803.20	2.88%	0.73%
CUSIP:532457108	920.00		Jul 09 2014	25.04%	450.80			
ISIN:US5324571083			Nov 28 2014	25.04%	12,551.50			
SECTOR:HEALTH CARE								
LINCOLN NATIONAL CORP	USD	25.73	25,987.47	56.63	57,196.30	808.00	1.41%	0.66%
CUSIP:534187109	1,010.00		Jun 05 2014	120.09%				
ISIN:US5341871094			Nov 28 2014	120.09%	31,208.83			
SECTOR:FINANCIALS								
MACY'S INC	USD	58.42	20,446.62	64.91	22,718.50	437.50	1.93%	0.26%
CUSIP:55616P104	350.00		Nov 12 2014	11.11%				
ISIN:US55616P1049			Nov 28 2014	11.11%	2,271.88			
SECTOR:CONSUMER DISCRETIONARY								
METLIFE INC	USD	44.81	36,517.99	55.61	45,322.15	1,141.00	2.52%	0.53%
CUSIP:59156R108	815.00		Nov 12 2014	24.10%	320.25			
ISIN:US59156R1086			Nov 28 2014	24.10%	8,804.16			
SECTOR:FINANCIALS								
MICRON TECHNOLOGY INC. COMMON	USD	6.44	5,796.56	35.95	32,355.00			0.37%
CUSIP:595112103	900.00		Jun 23 2014	458.23%				
ISIN:US5951121038			Nov 28 2014	458.23%	26,558.44			
SECTOR:INFORMATION TECHNOLOGY								
MONDELEZ INTERNATIONAL INC	USD	28.27	64,163.94	39.20	88,984.00	1,362.00	1.53%	1.03%
CUSIP:609207105	2,270.00		Jun 05 2014	38.66%				
ISIN:US6092071058			Nov 28 2014	38.66%	24,820.06			
SECTOR:CONSUMER STAPLES								

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UBS Detailed Positions

Base Currency: USD
As of Date: Nov 30 2014
Objective:US$
Strategy: YIELD
Authority:FULL DISCRETION

Equities

Description / Security Identifier	Asset CCY. / Unit	Avg. Cost Price / Avg. Exch Rate / Val. Exch Rate	Book Value in USD / Last Trade Date / Last Price Date	Market Price / Price Change in % / Total Change in %	Market Value / Accrued Income / Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
MONSANTO CO	USD	115.22	39,173.73	119.91	40,769.40	666.40	1.63%	0.47%
CUSIP:61166W101	340.00		Jun 23 2014	4.07%				
ISIN:US61166W1018			Nov 28 2014	4.07%	1,595.67			
SECTOR:MATERIALS								
NETAPP INC.	USD	38.23	37,654.09	42.55	41,911.75	650.10	1.55%	0.48%
CUSIP:64110D104	985.00		May 22 2014	11.30%				
ISIN:US64110D1046			Nov 28 2014	11.30%	4,257.66			
SECTOR:INFORMATION TECHNOLOGY								
PARKER HANNIFIN CORP	USD	117.11	35,717.70	129.03	39,354.15	768.60	1.95%	0.46%
CUSIP:701094104	305.00		Aug 25 2014	10.18%	192.15			
ISIN:US7010941042			Nov 28 2014	10.18%	3,636.45			
SECTOR:INDUSTRIALS								
J.C. PENNEY CO INC	USD	10.12	17,458.32	8.01	13,817.25			0.16%
CUSIP:708160106	1,725.00		Apr 07 2014	-20.85%				
ISIN:US7081601061			Nov 28 2014	-20.85%	-3,641.07			
SECTOR:CONSUMER DISCRETIONARY								
PEPSICO INC (USD)	USD	83.50	61,373.75	100.10	73,573.50	1,925.70	2.62%	0.85%
CUSIP:713448108	735 00		Apr 07 2014	19.88%				
ISIN:US7134481081			Nov 28 2014	19.88%	12,199.75			
SECTOR:CONSUMER STAPLES								
PHILIP MORRIS INTERNATIONAL	USD	91.70	66,941.88	86.93	63,458.90	2,920.00	4.60%	0.73%
CUSIP:718172109	730.00		Jul 09 2014	-5.20%				
ISIN:US7181721090			Nov 28 2014	-5.20%	-3,482.98			
SECTOR:CONSUMER STAPLES								
PRAXAIR INC	USD	131.74	45,450.24	128.38	44,291.10	897.00	2.03%	0.51%
CUSIP:74005P104	345.00		Jul 09 2014	-2.55%				
ISIN:US74005P1049			Nov 28 2014	-2.55%	-1,159.14			
COM								
SECTOR:MATERIALS								
RALPH LAUREN CORPORATION	USD	155.15	37,235.67	184.90	44,376.00	432.00	0.97%	0.51%
CUSIP:751212101	240.00		Oct 21 2014	19.17%				
ISIN:US7512121010			Nov 28 2014	19.17%	7,140.33			
SECTOR:CONSUMER DISCRETIONARY								

The exchange rate that a client receives may be different than the rate used on this statement.

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UBS Detailed Positions

P:
Base Currency: USD
As of Date: Nov 30 2014

Objective:US$
Strategy: YIELD
Authority:FULL DISCRETION

Equities

Description Security Identifier	Asset CCY. Unit	Avg. Cost Price Avg. Exch Rate Val. Exch Rate	Book Value in USD Last Trade Date Last Price Date	Market Price Price Change in % Total Change in %	Market Value Accrued Income Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
SIMON PROPERTY GROUP INC	USD	142.94	32,161.89	180.80	40,680.00	1,170.00	2.88%	0.47%
CUSIP:828806109	225 00		Oct 21 2014	26.49%				
ISIN:US8288061091			Nov 28 2014	26.49%	8,518.11			
SECTOR:FINANCIALS								
SYMANTEC CORP COMMON	USD	19.39	47,124.05	26.09	63,398.70	1,458.00	2.30%	0.74%
CUSIP:871503108	2,430.00		Nov 12 2014	34.55%	364.50			
ISIN:US8715031089			Nov 28 2014	34.55%	16,274.65			
SECTOR:INFORMATION TECHNOLOGY								
US BANCORP	USD	33.10	34,922.48	44.20	46,631.00	1,033.90	2.22%	0.54%
CUSIP:902973304	1,055.00		Jun 05 2014	33.53%				
ISIN:US9029733048			Nov 28 2014	33.53%	11,708.52			
SECTOR:FINANCIALS								
UNITEDHEALTH GROUP INC	USD	53.20	22,345.74	98.63	41,424.60	630.00	1.52%	0.48%
CUSIP:91324P102	420.00		Oct 21 2014	85.39%				
ISIN:US91324P1021			Nov 28 2014	85.39%	19,078.86			
SECTOR:HEALTH CARE								
WALGREEN CO	USD	63.59	44,510.96	68.61	48,027.00	945.00	1.97%	0.56%
CUSIP:931422109	700.00		Oct 21 2014	7.89%	236.25			
ISIN:US9314221097			Nov 28 2014	7.89%	3,516.04			
SECTOR:CONSUMER STAPLES								
WASTE MANAGEMENT INC COMMON	USD	38.45	41,523.56	48.73	52,628.40	1,620.00	3.08%	0.61%
CUSIP:94106L109	1,080.00		Jun 23 2014	26.74%				
ISIN:US94106L1098			Nov 28 2014	26.74%	11,104.84			
SECTOR:INDUSTRIALS								
YUM BRANDS INC	USD	72.41	59,376.76	77.25	63,345.00	1,344.80	2.12%	0.73%
CUSIP:988498101	820.00		Jul 09 2014	6.68%				
ISIN:US9884981013			Nov 28 2014	6.68%	3,968.24			
SECTOR:CONSUMER DISCRETIONARY								
NOBLE CORPORATION PLC	USD	26.93	25,448.60	17.99	16,999.61			0.20%
ISIN:GB00BFG3KF26	945.00		Nov 12 2014	-33.20%				
SEDOL:BFG3KF2			Nov 28 2014	-33.20%	-8,448.99			
SECTOR:ENERGY								

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UBS Detailed Positions

Base Currency: USD
As of Date: Nov 30 2014

Objective:USS
Strategy: YIELD
Authority:FULL DISCRETION

Equities

Description / Security Identifier		Asset CCY. / Unit	Avg. Cost Price / Avg. Exch Rate / Val. Exch Rate	Book Value in USD / Last Trade Date / Last Price Date	Market Price / Price Change in % / Total Change in %	Market Value / Accrued Income / Unrealized P/L in USD	Gross Est. Annual Income	Gross Yield	% of Gross Assets
	Book Value in USD			1,951,532.77	Market Value in USD	2,455,373.05			28.40%
					Accrued Interest	2,920.92			0.03%
	Total for EQUITIES					2,458,293.97	40,344.64		28.43%
INVESTMENT FUNDS									
ISHARES RUSSELL 1000 GROWTH ETF		USD	60.71	137,087.76	97.05	219,138.90	2,763.79	1.26%	2.53%
CUSIP:464287614		2,258.00		Nov 12 2014	59.86%				
ISIN:US4642876142				Nov 28 2014	59.86%	82,051.14			
SECTOR:ALL SECTORS									
UBS US SMALL CAP GROWTH FUND CL Y		USD	9.90	114,559.69	26.12	302,185.65			3.50%
CUSIP:90262H635		11,569.129		Nov 12 2014	163.84%				
ISIN:US90262H6356				Nov 28 2014	163.84%	187,625.96			
SECTOR:ALL SECTORS									
	Book Value in USD			251,647.45	Market Value in USD	521,324.55			6.03%
					Accrued Interest				
	Total for INVESTMENT FUNDS					521,324.55	2,763.79		6.03%
	Total EQUITIES					2,979,618.52	43,108.43		34.46%

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MOTE SCIENTIFIC FOUNDATION
13-6117615
UBS AG

REALIZED GAINS AND LOSSES - TRADE DATE

THE MOTE SCIENTIFIC FOUNDATION
YR680500
12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
5,000	ABBEY NATL TREASURY SERV DTD 8/23/2013 3.05% 8/23/2018 SEMI-ANNUALLY	USD	10/03/13	5,099.55	12/26/13	5,124.65	0	25.10	25.10	0.49	SHORT
5,000	ABBEY NATL TREASURY SERV DTD 8/23/2013 3.05% 8/23/2018 SEMI-ANNUALLY	USD	10/03/13	5,099.55	06/06/14	5,218.70	0	119.15	119.15	2.34	SHORT
	ASSET TOTAL:			10,199.10		10,343.35	0	144.25	144.25	1.41	
120	ACORDA THERAPEUTICS INC	USD	08/26/11	3,120.27	11/12/14	4,182.52	0	1,062.25	1,062.25	34.04	LONG
5	ACORDA THERAPEUTICS INC	USD	02/10/12	126.69	11/12/14	174.27	0	47.58	47.58	37.56	LONG
	ASSET TOTAL:			3,246.96		4,356.79	0	1,109.83	1,109.83	34.18	
440	ADOBE SYSTEMS INC COMMON	USD	10/21/10	12,239.61	01/23/14	26,726.49	0	14,486.88	14,486.88	118.36	LONG
70	ADOBE SYSTEMS INC COMMON	USD	01/18/13	2,648.55	01/23/14	4,251.94	0	1,603.39	1,603.39	60.54	LONG
	ASSET TOTAL:			14,888.16		30,978.43	0	16,090.27	16,090.27	108.07	
200	AIR PRODUCTS AND CHEMICALS INC	USD	01/23/14	22,333.34	08/25/14	26,613.25	0	4,279.91	4,279.91	19.16	SHORT
65	AIR PRODUCTS AND CHEMICALS INC	USD	07/09/14	8,385.31	08/25/14	8,649.30	0	263.99	263.99	3.15	SHORT
	ASSET TOTAL:			30,718.65		35,262.55	0	4,543.90	4,543.90	14.79	
40	ALEXION PHARMACEUTICALS INC	USD	08/08/13	4,548.98	02/19/14	7,194.66	0	2,645.68	2,645.68	58.16	SHORT
65	ALEXION PHARMACEUTICALS INC	USD	08/08/13	7,392.10	04/07/14	9,461.34	0	2,069.24	2,069.24	27.99	SHORT
90	ALEXION PHARMACEUTICALS INC	USD	08/08/13	10,235.22	05/01/14	14,346.31	0	4,111.09	4,111.09	40.17	SHORT

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REALIZED GAINS AND LOSSES - TRADE DATE

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YR680500
12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
	ASSET TOTAL:			22,176.30		31,002.31	0	8,826.01	8,826.01	39.80	
5	AMAZON.COM INC	USD	10/08/12	1,296.57	06/05/14	1,615.46	0	318.89	318.89	24.59	LONG
20	AMAZON.COM INC	USD	10/08/12	5,186.30	11/12/14	6,217.10	0	1,030.80	1,030.80	19.88	LONG
	ASSET TOTAL:			6,482.87		7,832.56	0	1,349.69	1,349.69	20.82	
5,000	AMERICAN INTL GROUP - SER MTN DTD 12/12/2007 5.85% 1/16/2018 SEMI-ANNUALLY	USD	10/29/13	5,774.15	12/26/13	5,724.43	0	-49.72	-49.72	-0.86	SHORT
5,000	AMERICAN INTL GROUP - SER MTN DTD 12/12/2007 5.85% 1/16/2018 SEMI-ANNUALLY	USD	10/29/13	5,774.15	06/05/14	5,697.82	0	-76.33	-76.33	-1.32	SHORT
	ASSET TOTAL:			11,548.30		11,422.25	0	-126.05	-126.05	-1.09	
5,000	ANHEUSER-BUSCH INBEV WOR DTD 1/27/2011 4.375% 2/15/2021 SEMI-ANNUALLY	USD	06/08/11	5,161.85	12/26/13	5,382	0	220.15	220.15	4.26	LONG
5,000	ANHEUSER-BUSCH INBEV WOR DTD 1/27/2011 4.375% 2/15/2021 SEMI-ANNUALLY	USD	06/08/11	5,161.85	06/05/14	5,502.65	0	340.80	340.80	6.60	LONG
85,000	ANHEUSER-BUSCH INBEV WOR DTD 1/27/2011 4.375% 2/15/2021 SEMI-ANNUALLY	USD	06/08/11	87,751.45	11/13/14	92,073.70	0	4,322.25	4,322.25	4.93	LONG
50,000	ANHEUSER-BUSCH INBEV WOR DTD 1/27/2011 4.375% 2/15/2021 SEMI-ANNUALLY	USD	06/08/11	51,618.50	11/14/14	54,087.50	0	2,469	2,469	4.78	LONG
10,000	ANHEUSER-BUSCH INBEV WOR DTD 1/27/2011 4.375% 2/15/2021 SEMI-ANNUALLY	USD	09/17/12	11,525.20	11/14/14	10,817.50	0	-707.70	-707.70	-6.14	LONG

MOTE SCIENTIFIC FOUNDATION
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UBS AG

REALIZED GAINS AND LOSSES - TRADE DATE

THE MOTE SCIENTIFIC FOUNDATION
YR680500
12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
25,000	ANHEUSER-BUSCH INBEV WOR DTD 1/27/2011 4.375% 2/15/2021 SEMI-ANNUALLY	USD	06/18/13	27,945.75	11/14/14	27,043.75	0	-902	-902	-3.23	LONG
	ASSET TOTAL:			189,164.60		194,907.10	0	5,742.50	5,742.50	3.04	
15	AON PLC	USD	11/22/13	1,236.17	06/05/14	1,348.77	0	112.60	112.60	9.11	SHORT
6	APPLE INC.	USD	02/12/09	588.02	12/26/13	3,388.26	0	2,800.24	2,800.24	476.22	LONG
4	APPLE INC.	USD	02/12/09	392.02	05/22/14	2,421.02	0	2,029	2,029	517.58	LONG
42	APPLE INC.	USD	04/14/09	4,988.06	05/22/14	25,420.67	0	20,432.61	20,432.61	409.63	LONG
3	APPLE INC.	USD	04/14/09	356.29	06/05/14	1,936.66	0	1,580.37	1,580.37	443.56	LONG
210	APPLE INC.	USD	04/14/09	3,562.90	10/21/14	21,500.51	0	17,937.61	17,937.61	503.46	LONG
	ASSET TOTAL:			9,887.29		54,667.12	0	44,779.83	44,779.83	452.90	
705	APPLIED MATERIALS INC	USD	02/19/14	13,245.19	06/23/14	16,112.13	0	2,866.94	2,866.94	21.65	SHORT
215	APPLIED MATERIALS INC	USD	02/19/14	4,039.31	11/12/14	4,831.64	0	792.33	792.33	19.62	SHORT
	ASSET TOTAL:			17,284.50		20,943.77	0	3,659.27	3,659.27	21.17	
125	BAKER HUGHES INC COMMON	USD	11/03/11	7,090.70	02/19/14	7,603.21	0	512.51	512.51	7.23	LONG
55	BAKER HUGHES INC COMMON	USD	11/03/11	3,119.91	05/01/14	3,801.38	0	681.47	681.47	21.84	LONG
180	BAKER HUGHES INC COMMON	USD	11/03/11	10,210.60	08/25/14	12,379.85	0	2,169.25	2,169.25	21.25	LONG
	ASSET TOTAL:			20,421.21		23,784.44	0	3,363.23	3,363.23	16.47	
5,000	BANK OF AMERICA CORP DTD 6/2/2009 7.625% 6/1/2019 SEMI-ANNUALLY	USD	09/24/09	5,701.10	12/26/13	6,188.75	0	487.65	487.65	8.55	LONG

REALIZED GAINS AND LOSSES - TRADE DATE

THE MOTE SCIENTIFIC FOUNDATION
YR680500
12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
10,000	BANK OF AMERICA CORP DTD 6/2/2009 7.625% 6/1/2019 SEMI-ANNUALLY	USD	09/24/09	11,402.20	06/05/14	12,380.84	0	978.64	978.64	8.58	LONG
	ASSET TOTAL:			17,103.30		18,569.59	0	1,466.29	1,466.29	8.57	
35	BAXTER INTERNATIONAL INC	USD	10/21/10	1,786.28	12/26/13	2,422.05	0	635.77	635.77	35.59	LONG
10	BAXTER INTERNATIONAL INC	USD	10/21/10	510.37	04/07/14	728.99	0	218.62	218.62	42.84	LONG
140	BAXTER INTERNATIONAL INC	USD	03/22/11	7,410.20	04/07/14	10,205.87	0	2,795.67	2,795.67	37.73	LONG
5	BAXTER INTERNATIONAL INC	USD	09/17/12	302.15	04/07/14	364.50	0	62.35	62.35	20.64	LONG
205	BAXTER INTERNATIONAL INC	USD	12/21/12	13,806.30	04/07/14	14,944.30	0	1,138	1,138	8.24	LONG
45	BAXTER INTERNATIONAL INC	USD	01/18/13	3,033.45	06/23/14	3,317.58	0	284.13	284.13	9.37	LONG
320	BAXTER INTERNATIONAL INC	USD	03/21/13	22,414.53	06/23/14	23,591.70	0	1,177.17	1,177.17	5.25	LONG
	ASSET TOTAL:			49,263.28		55,574.99	0	6,311.71	6,311.71	12.81	
370	BROADCOM CORP CLASS A	USD	05/02/13	13,139.92	05/22/14	11,153.69	0	-1,986.23	-1,986.23	-15.12	LONG
70	BROADCOM CORP CLASS A	USD	05/02/13	2,485.93	06/23/14	2,649.32	0	163.39	163.39	6.57	LONG
160	BROADCOM CORP CLASS A	USD	05/31/13	5,752.10	06/23/14	6,055.58	0	303.48	303.48	5.28	LONG
160	BROADCOM CORP CLASS A	USD	07/03/13	5,360.45	06/23/14	6,055.57	0	695.12	695.12	12.97	SHORT
	ASSET TOTAL:			26,738.40		25,914.16	0	-824.24	-824.24	-3.08	
30	CAPITAL ONE FINANCIAL CORP. COMMON	USD	07/05/13	1,964.72	12/26/13	2,274.71	0	309.99	309.99	15.78	SHORT
355	CARDINAL HEALTH INC	USD	05/02/13	16,397.38	02/19/14	25,180.32	0	8,782.94	8,782.94	53.56	SHORT
55	CHECK POINT SOFTWARE TECH LTD	USD	05/02/13	2,583.16	06/05/14	3,581.52	0	998.36	998.36	38.65	LONG

THIS INTERIM STATEMENT IS NOT THE OFFICIAL MONTHLY STATEMENT OF UBS WEALTH MANAGEMENT AND THEREFORE HAS NOT BEEN SUBJECTED TO OUR NORMAL MONTH END DATA INTEGRITY REVIEW AND MAY CONTAIN INCOMPLETE OR INACCURATE INFORMATION.

REALIZED GAINS AND LOSSES - TRADE DATE

THE MOTE SCIENTIFIC FOUNDATION
YR680500
12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
10,000	CISCO SYSTEMS INC DTD 11/17/2009 4.45% 1/15/2020 SEMI-ANNUALLY	USD	10/22/10	11,067.90	12/26/13	10,929.30	0	-138.60	-138.60	-1.25	LONG
5,000	CISCO SYSTEMS INC DTD 11/17/2009 4.45% 1/15/2020 SEMI-ANNUALLY	USD	10/22/10	5,533.95	06/05/14	5,548.50	0	14.55	14.55	0.26	LONG
	ASSET TOTAL:			16,601.85		16,477.80	0	-124.05	-124.05	-0.75	
10,000	CITIGROUP INC DTD 8/7/2012 2.25% 8/7/2015 SEMI-ANNUALLY	USD	05/08/13	10,277.60	12/26/13	10,202.20	0	-75.40	-75.40	-0.73	SHORT
10,000	CITIGROUP INC DTD 8/7/2012 2.25% 8/7/2015 SEMI-ANNUALLY	USD	05/08/13	10,277.60	06/05/14	10,172.50	0	-105.10	-105.10	-1.02	LONG
	ASSET TOTAL:			20,555.20		20,374.70	0	-180.50	-180.50	-0.88	
74.5	CITIGROUP INC. (USD)	USD	02/04/11	3,593.43	06/05/14	3,616.89	0	23.46	23.46	0.65	LONG
0.5	CITIGROUP INC. (USD)	USD	03/22/11	22.20	06/05/14	24.27	0	2.07	2.07	9.32	LONG
	ASSET TOTAL:			3,615.63		3,641.16	0	25.53	25.53	0.71	
55	COMCAST CORP CL A	USD	01/08/09	923.57	12/26/13	2,830.53	0	1,906.96	1,906.96	206.48	LONG
305	COMCAST CORP CL A	USD	01/08/09	5,121.62	10/21/14	15,897.98	0	10,776.36	10,776.36	210.41	LONG
	ASSET TOTAL:			6,045.19		18,728.51	0	12,683.32	12,683.32	209.81	
5,000	CVS CAREMARK CORP DTD 5/25/2007 5.75% 6/1/2017 SEMI-ANNUALLY	USD	02/15/12	5,958.30	12/26/13	5,659.35	0	-298.95	-298.95	-5.02	LONG

REALIZED GAINS AND LOSSES - TRADE DATE

THE MOTE SCIENTIFIC FOUNDATION
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12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
30	DIGITAL REALTY TRUST INC	USD	07/03/13	1,804.19	06/05/14	1,767.56	0	-36.63	-36.63	-2.03	SHORT
55	DIGITAL REALTY TRUST INC	USD	07/03/13	3,307.67	07/09/14	3,241.65	0	-66.02	-66.02	-2.00	LONG
	ASSET TOTAL:			5,111.86		5,009.21	0	-102.65	-102.65	-2.01	
60	DOW CHEMICAL	USD	08/26/11	1,607.53	12/26/13	2,691.55	0	1,084.02	1,084.02	67.43	LONG
440	DOW CHEMICAL	USD	08/26/11	11,788.56	01/02/14	19,308.09	0	7,519.53	7,519.53	63.79	LONG
435	DOW CHEMICAL	USD	09/07/11	11,734.52	01/02/14	19,088.68	0	7,354.16	7,354.16	62.67	LONG
190	DOW CHEMICAL	USD	11/03/11	5,432.25	01/02/14	8,337.59	0	2,905.34	2,905.34	53.48	LONG
5	DOW CHEMICAL	USD	09/17/12	160.48	01/02/14	219.41	0	58.93	58.93	36.72	LONG
70	DOW CHEMICAL	USD	01/18/13	2,363.90	01/02/14	3,071.74	0	707.84	707.84	29.94	SHORT
	ASSET TOTAL:			33,087.24		52,717.06	0	19,629.82	19,629.82	59.33	
5,000	EBAY INC DTD 7/24/2012 2.6% 7/15/2022 SEMI-ANNUALLY	USD	07/30/12	5,069	12/26/13	4,608.65	0	-460.35	-460.35	-9.08	LONG
5,000	EBAY INC DTD 7/24/2012 2.6% 7/15/2022 SEMI-ANNUALLY	USD	07/30/12	5,069	06/05/14	4,780.80	0	-288.20	-288.20	-5.69	LONG
110,000	EBAY INC DTD 7/24/2012 2.6% 7/15/2022 SEMI-ANNUALLY	USD	07/30/12	111,518	11/12/14	103,423.10	0	-8,094.90	-8,094.90	-7.26	LONG
10,000	EBAY INC DTD 7/24/2012 2.6% 7/15/2022 SEMI-ANNUALLY	USD	09/17/12	9,909.80	11/12/14	9,402.10	0	-507.70	-507.70	-5.12	LONG
20,000	EBAY INC DTD 7/24/2012 2.6% 7/15/2022 SEMI-ANNUALLY	USD	06/18/13	19,174.80	11/12/14	18,804.20	0	-370.60	-370.60	-1.93	LONG
	ASSET TOTAL:			150,740.60		141,018.85	0	-9,721.75	-9,721.75	-6.45	

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435	EDISON INTERNATIONAL	USD	03/21/13	22,006.40	01/02/14	19,773.04	0	-2,233.36	-2,233.36	-10.15	SHORT
60	ELI LILLY & CO (USD)	USD	07/03/13	3,007.03	06/05/14	3,570.52	0	563.49	563.49	18.74	SHORT
175	ELI LILLY & CO (USD)	USD	07/03/13	8,770.49	07/09/14	10,943.04	0	2,172.55	2,172.55	24.77	LONG
	ASSET TOTAL:			11,777.52		14,513.56	0	2,736.04	2,736.04	23.23	
30	EOG RESOURCES INC COMMON	USD	03/22/11	1,684.35	06/05/14	3,221.62	0	1,537.27	1,537.27	91.27	LONG
5,000	EUROPEAN INVESTMENT BANK DTD 5/4/2004 4.625% 5/15/2014 SEMI-ANNUALLY	USD	02/20/09	5,359.60	12/27/13	5,078	0	-281.60	-281.60	-5.25	LONG
135,000	EUROPEAN INVESTMENT BANK DTD 5/4/2004 4.625% 5/15/2014 SEMI-ANNUALLY	USD	02/20/09	144,709.20	05/15/14	135,000	0	-9,709.20	-9,709.20	-6.71	LONG
55,000	EUROPEAN INVESTMENT BANK DTD 5/4/2004 4.625% 5/15/2014 SEMI-ANNUALLY	USD	09/24/09	59,842.75	05/15/14	55,000	0	-4,842.75	-4,842.75	-8.09	LONG
15,000	EUROPEAN INVESTMENT BANK DTD 5/4/2004 4.625% 5/15/2014 SEMI-ANNUALLY	USD	01/20/11	16,530	05/15/14	15,000	0	-1,530	-1,530	-9.26	LONG
20,000	EUROPEAN INVESTMENT BANK DTD 5/4/2004 4.625% 5/15/2014 SEMI-ANNUALLY	USD	09/17/12	21,377.60	05/15/14	20,000	0	-1,377.60	-1,377.60	-6.44	LONG
30,000	EUROPEAN INVESTMENT BANK DTD 5/4/2004 4.625% 5/15/2014 SEMI-ANNUALLY	USD	06/18/13	31,185	05/15/14	30,000	0	-1,185	-1,185	-3.80	SHORT
	ASSET TOTAL:			279,004.15		260,078	0	-18,926.15	-18,926.15	-6.78	
270	EXXON MOBIL CORPORATION	USD	10/15/13	23,468.55	04/07/14	26,147.68	0	2,679.13	2,679.13	11.42	SHORT
125	EXXON MOBIL CORPORATION	USD	10/15/13	10,865.07	10/01/14	11,624.62	0	759.55	759.55	6.99	SHORT

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225	EXXON MOBIL CORPORATION	USD	10/15/13	19,557.12	10/21/14	21,072.36	0	1,515.24	1,515.24	7.75	LONG
30	EXXON MOBIL CORPORATION	USD	01/02/14	2,994.46	10/21/14	2,809.65	0	-184.81	-184.81	-6.17	SHORT
225	EXXON MOBIL CORPORATION	USD	06/23/14	23,469.37	10/21/14	21,072.35	0	-2,397.02	-2,397.02	-10.21	SHORT
	ASSET TOTAL:			80,354.57		82,726.66	0	2,372.09	2,372.09	2.95	
10,000	FEDERAL HOME LOAN BANK DTD 10/19/2007 5% 11/17/2017 SEMI-ANNUALLY	USD	08/04/10	11,633.50	12/26/13	11,417.95	0	-215.55	-215.55	-1.85	LONG
155	FORD MOTOR COMPANY	USD	02/19/14	2,375	08/25/14	2,663.10	0	288.10	288.10	12.13	SHORT
5,000	FREDDIE MAC DTD 3/27/2009 3.75% 3/27/2019 SEMI-ANNUALLY	USD	11/05/10	5,509.45	12/26/13	5,442.49	0	-66.96	-66.96	-1.22	LONG
5,000	FREDDIE MAC DTD 3/27/2009 3.75% 3/27/2019 SEMI-ANNUALLY	USD	11/05/10	5,509.45	06/05/14	5,494.89	0	-14.56	-14.56	-0.26	LONG
	ASSET TOTAL:			11,018.90		10,937.38	0	-81.52	-81.52	-0.74	
5,000	FREDDIE MAC DTD 8/10/2012 1% 9/29/2017 SEMI-ANNUALLY	USD	09/17/12	5,029.37	12/26/13	4,948.89	0	-80.48	-80.48	-1.60	LONG
10,000	FREDDIE MAC DTD 8/10/2012 1% 9/29/2017 SEMI-ANNUALLY	USD	09/17/12	10,058.73	06/05/14	9,989.50	0	-69.23	-69.23	-0.69	LONG
	ASSET TOTAL:			15,088.10		14,938.39	0	-149.71	-149.71	-0.99	
95	GENERAL DYNAMICS CORP	USD	11/25/09	6,461.93	02/19/14	9,994.01	0	3,532.08	3,532.08	54.66	LONG
30	GENERAL DYNAMICS CORP	USD	10/21/10	1,940.94	02/19/14	3,156	0	1,215.06	1,215.06	62.60	LONG
35	GENERAL DYNAMICS CORP	USD	10/21/10	2,264.44	04/07/14	3,756.05	0	1,491.61	1,491.61	65.87	LONG
145	GENERAL DYNAMICS CORP	USD	04/20/11	10,507.80	04/07/14	15,560.75	0	5,052.95	5,052.95	48.09	LONG

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30	GENERAL DYNAMICS CORP	USD	06/22/11	2,212.04	04/07/14	3,219.47	0	1,007.43	1,007.43	45.54	LONG
110	GENERAL DYNAMICS CORP	USD	06/22/11	8,110.79	06/23/14	13,052.45	0	4,941.66	4,941.66	60.93	LONG
5	GENERAL DYNAMICS CORP	USD	09/17/12	333.61	06/23/14	593.29	0	259.68	259.68	77.84	LONG
30	GENERAL DYNAMICS CORP	USD	01/18/13	2,130.60	06/23/14	3,559.76	0	1,429.16	1,429.16	67.08	LONG
	ASSET TOTAL:			33,962.15		52,891.78	0	18,929.63	18,929.63	55.74	
5,000	GENERAL ELEC CAP CORP DTD 4/21/2008 5.625% 5/1/2018 SEMI-ANNUALLY	USD	07/30/12	5,923.45	06/05/14	5,723.45	0	-200	-200	-3.38	LONG
260	GENERAL MOTORS CO	USD	10/15/13	9,040.47	02/19/14	9,374.41	0	333.94	333.94	3.69	SHORT
60	GENERAL MOTORS CO	USD	10/15/13	2,086.26	08/25/14	2,075.13	0	-11.13	-11.13	-0.53	SHORT
	ASSET TOTAL:			11,126.73		11,449.54	0	322.81	322.81	2.90	
135	GILEAD SCIENCES INC	USD	04/05/12	3,218	02/19/14	11,162.03	0	7,944.03	7,944.03	246.86	LONG
65	GILEAD SCIENCES INC	USD	04/05/12	1,549.41	11/12/14	6,953.46	0	5,404.05	5,404.05	348.78	LONG
100	GILEAD SCIENCES INC	USD	08/29/12	2,894.20	11/12/14	10,697.63	0	7,803.43	7,803.43	269.62	LONG
70	GILEAD SCIENCES INC	USD	09/17/12	2,183.48	11/12/14	7,488.34	0	5,304.86	5,304.86	242.95	LONG
40	GILEAD SCIENCES INC	USD	01/18/13	1,551.40	11/12/14	4,279.05	0	2,727.65	2,727.65	175.82	LONG
35	GILEAD SCIENCES INC	USD	10/01/14	3,690.59	11/12/14	3,744.17	0	53.58	53.58	1.45	SHORT
	ASSET TOTAL:			15,087.08		44,324.68	0	29,237.60	29,237.60	193.79	
5,000	GOLDMAN SACHS GROUP INC DTD 1/22/2013 2.375% 1/22/2018 SEMI-ANNUALLY	USD	02/19/13	5,040.29	12/26/13	5,008.25	0	-32.04	-32.04	-0.64	SHORT
5,000	GOLDMAN SACHS GROUP INC DTD 1/22/2013 2.375% 1/22/2018 SEMI-ANNUALLY	USD	02/19/13	5,040.29	06/05/14	5,071.25	0	30.96	30.96	0.61	LONG

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	ASSET TOTAL:			10,080.58		10,079.50	0	-1.08	-1.08	-0.01	
125	HALLIBURTON CO	USD	06/26/12	3,378.75	04/07/14	7,266.41	0	3,887.66	3,887.66	115.06	LONG
5	HALLIBURTON CO	USD	06/26/12	135.15	08/25/14	342.28	0	207.13	207.13	153.26	LONG
95	HALLIBURTON CO	USD	07/06/12	2,760.27	08/25/14	6,503.41	0	3,743.14	3,743.14	135.61	LONG
5	HALLIBURTON CO	USD	09/17/12	187.35	08/25/14	342.28	0	154.93	154.93	82.70	LONG
170	HALLIBURTON CO	USD	09/26/12	5,784.15	08/25/14	11,637.69	0	5,853.54	5,853.54	101.20	LONG
195	HALLIBURTON CO	USD	09/26/12	6,634.77	10/21/14	10,845.69	0	4,210.92	4,210.92	63.47	LONG
	ASSET TOTAL:			18,880.44		36,937.76	0	18,057.32	18,057.32	95.64	
245	HERTZ GLOBAL HOLDINGS INC	USD	06/01/12	3,107.73	07/09/14	6,974.08	0	3,866.35	3,866.35	124.41	LONG
30	HERTZ GLOBAL HOLDINGS INC	USD	08/10/12	365.23	07/09/14	853.97	0	488.74	488.74	133.82	LONG
	ASSET TOTAL:			3,472.96		7,828.05	0	4,355.09	4,355.09	125.40	
50	HOSPIRA INC	USD	01/10/13	1,701.72	06/23/14	2,604.91	0	903.19	903.19	53.08	LONG
40	HOSPIRA INC	USD	01/18/13	1,399.60	06/23/14	2,083.92	0	684.32	684.32	48.89	LONG
170	HOSPIRA INC	USD	03/21/13	5,543.94	06/23/14	8,856.68	0	3,312.74	3,312.74	59.75	LONG
50	HOSPIRA INC	USD	03/21/13	1,630.57	07/09/14	2,571.02	0	940.45	940.45	57.68	LONG
	ASSET TOTAL:			10,275.83		16,116.53	0	5,840.70	5,840.70	56.84	
25	ILLINOIS TOOL WORKS INC COMMON	USD	10/21/10	1,172.26	06/05/14	2,189.70	0	1,017.44	1,017.44	86.79	LONG
15	ILLINOIS TOOL WORKS INC COMMON	USD	10/21/10	703.36	06/23/14	1,330.75	0	627.39	627.39	89.20	LONG
110	ILLINOIS TOOL WORKS INC COMMON	USD	06/22/11	6,184.16	06/23/14	9,758.83	0	3,574.67	3,574.67	57.80	LONG
5	ILLINOIS TOOL WORKS INC COMMON	USD	09/17/12	305.50	06/23/14	443.58	0	138.08	138.08	45.20	LONG

THIS INTERIM STATEMENT IS NOT THE OFFICIAL MONTHLY STATEMENT OF UBS WEALTH MANAGEMENT AND THEREFORE HAS NOT BEEN SUBJECTED TO OUR NORMAL MONTH END DATA INTEGRITY REVIEW AND MAY CONTAIN INCOMPLETE OR INACCURATE INFORMATION.

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35	ILLINOIS TOOL WORKS INC COMMON	USD	01/18/13	2,223.90	06/23/14	3,105.08	0	881.18	881.18	39.62	LONG
260	ILLINOIS TOOL WORKS INC COMMON	USD	03/21/13	16,365.78	06/23/14	23,066.33	0	6,700.55	6,700.55	40.94	LONG
	ASSET TOTAL:			26,954.96		39,894.27	0	12,939.31	12,939.31	48.00	
100	INVESCO LTD	USD	07/03/13	3,163.18	06/05/14	3,762.91	0	599.73	599.73	18.96	SHORT
133	ISHARES RUSSELL 1000 GROWTH ETF	USD	05/22/07	7,956.58	12/26/13	11,381.10	0	3,424.52	3,424.52	43.04	LONG
330	ISHARES RUSSELL 1000 GROWTH ETF	USD	05/22/07	19,741.89	03/21/14	28,887.56	0	9,145.67	9,145.67	46.33	LONG
490	ISHARES RUSSELL 1000 GROWTH ETF	USD	02/04/08	27,751.59	03/21/14	42,893.65	0	15,142.06	15,142.06	54.56	LONG
336	ISHARES RUSSELL 1000 GROWTH ETF	USD	09/29/08	16,273.72	03/21/14	29,412.78	0	13,139.06	13,139.06	80.74	LONG
1,289	ISHARES RUSSELL 1000 GROWTH ETF	USD	07/08/11	80,075.66	03/21/14	112,836.55	0	32,760.89	32,760.89	40.91	LONG
6	ISHARES RUSSELL 1000 GROWTH ETF	USD	07/08/11	372.73	06/05/14	539.21	0	166.48	166.48	44.67	LONG
50	ISHARES RUSSELL 1000 GROWTH ETF	USD	08/26/11	2,740.08	06/05/14	4,493.39	0	1,753.31	1,753.31	63.99	LONG
	ASSET TOTAL:			154,912.25		230,444.24	0	75,531.99	75,531.99	48.76	
29	ISHARES TIPS BOND ETF	USD	02/20/09	2,906.18	12/26/13	3,184.53	0	278.35	278.35	9.58	LONG
33	ISHARES TIPS BOND ETF	USD	02/20/09	3,307.03	06/05/14	3,752.67	0	445.64	445.64	13.48	LONG
	ASSET TOTAL:			6,213.21		6,937.20	0	723.99	723.99	11.65	
520	J.C. PENNEY CO INC	USD	07/31/13	8,092.97	04/07/14	4,641.05	0	-3,451.92	-3,451.92	-42.65	SHORT

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50	J.P. MORGAN CHASE & CO	USD	04/16/10	2,307.89	12/26/13	2,903.94	0	596.05	596.05	25.83	LONG
35	J.P. MORGAN CHASE & CO	USD	06/02/10	1,370.60	06/05/14	1,979.90	0	609.30	609.30	44.45	LONG
	ASSET TOTAL:			3,678.49		4,883.84	0	1,205.35	1,205.35	32.77	
265	JOHNSON & JOHNSON	USD	06/08/11	17,434.93	02/19/14	24,313.32	0	6,878.39	6,878.39	39.45	LONG
25	JOHNSON & JOHNSON	USD	07/13/11	1,684.45	02/19/14	2,293.71	0	609.26	609.26	36.17	LONG
5	JOHNSON & JOHNSON	USD	09/17/12	342.97	02/19/14	458.74	0	115.77	115.77	33.76	LONG
15	JOHNSON & JOHNSON	USD	01/10/13	1,083.30	02/19/14	1,376.23	0	292.93	292.93	27.04	LONG
55	JOHNSON & JOHNSON	USD	01/18/13	4,015.55	02/19/14	5,046.16	0	1,030.61	1,030.61	25.67	LONG
20	JOHNSON & JOHNSON	USD	07/03/13	1,740.20	02/19/14	1,834.97	0	94.77	94.77	5.45	SHORT
	ASSET TOTAL:			26,301.40		35,323.13	0	9,021.73	9,021.73	34.30	
5,000	JPMORGAN CHASE & CO DTD 7/22/2010 4.4% 7/22/2020 SEMI-ANNUALLY	USD	08/04/10	5,024.75	12/26/13	5,376.75	0	352	352	7.01	LONG
5,000	JPMORGAN CHASE & CO DTD 7/22/2010 4.4% 7/22/2020 SEMI-ANNUALLY	USD	08/04/10	5,024.75	06/05/14	5,469.40	0	444.65	444.65	8.85	LONG
	ASSET TOTAL:			10,049.50		10,846.15	0	796.65	796.65	7.93	
55	LINCOLN NATIONAL CORP	USD	04/05/12	1,406.31	12/26/13	2,862.98	0	1,456.67	1,456.67	103.58	LONG
30	LINCOLN NATIONAL CORP	USD	04/05/12	767.08	06/05/14	1,510.76	0	743.68	743.68	96.95	LONG
	ASSET TOTAL:			2,173.39		4,373.74	0	2,200.35	2,200.35	101.24	
290	MACY'S INC	USD	04/07/14	17,003.77	05/01/14	16,517.36	0	-486.41	-486.41	-2.86	SHORT
35	MACY'S INC	USD	10/21/14	2,044.66	11/12/14	2,150.08	0	105.42	105.42	5.16	SHORT

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	ASSET TOTAL:			19,048.43		18,667.44	0	-380.99	-380.99	-2.00	
95	MARTIN MARIETTA MATERIALS	USD	01/02/14	9,456.26	04/07/14	11,685.53	0	2,229.27	2,229.27	23.57	SHORT
95	MARTIN MARIETTA MATERIALS	USD	01/02/14	9,456.26	05/01/14	11,885.88	0	2,429.62	2,429.62	25.69	SHORT
40	MARTIN MARIETTA MATERIALS	USD	01/02/14	3,981.58	05/22/14	4,760.83	0	779.25	779.25	19.57	SHORT
250	MARTIN MARIETTA MATERIALS	USD	01/02/14	24,884.90	06/23/14	33,422.63	0	8,537.73	8,537.73	34.31	SHORT
	ASSET TOTAL:			47,779		61,754.87	0	13,975.87	13,975.87	29.25	
50	METLIFE INC	USD	06/24/10	1,980.12	12/26/13	2,700.95	0	720.83	720.83	36.40	LONG
175	METLIFE INC	USD	06/24/10	6,930.43	05/01/14	8,996.14	0	2,065.71	2,065.71	29.81	LONG
30	METLIFE INC	USD	08/04/10	1,246.22	05/01/14	1,542.20	0	295.98	295.98	23.75	LONG
100	METLIFE INC	USD	08/04/10	4,154.08	11/12/14	5,504.30	0	1,350.22	1,350.22	32.50	LONG
	ASSET TOTAL:			14,310.85		18,743.59	0	4,432.74	4,432.74	30.97	
665	MICRON TECHNOLOGY INC. COMMON	USD	11/13/12	3,785.12	01/02/14	14,339.87	0	10,554.75	10,554.75	278.85	LONG
505	MICRON TECHNOLOGY INC. COMMON	USD	11/13/12	2,874.41	06/23/14	15,793.22	0	12,918.81	12,918.81	449.44	LONG
	ASSET TOTAL:			6,659.53		30,133.09	0	23,473.56	23,473.56	352.48	
75	MONDELEZ INTERNATIONAL INC	USD	04/20/11	1,645.69	06/05/14	2,862.68	0	1,216.99	1,216.99	73.95	LONG
20	MONSANTO CO	USD	11/22/13	2,274.78	06/05/14	2,442.94	0	168.16	168.16	7.39	SHORT
85	MORGAN STANLEY	USD	08/10/12	1,244.24	06/05/14	2,683.39	0	1,439.15	1,439.15	115.66	LONG
40	MORGAN STANLEY	USD	08/10/12	585.53	10/01/14	1,355.90	0	770.37	770.37	131.57	LONG
250	MORGAN STANLEY	USD	08/29/12	3,713.13	10/01/14	8,474.36	0	4,761.23	4,761.23	128.23	LONG
30	MORGAN STANLEY	USD	09/17/12	540.53	10/01/14	1,016.92	0	476.39	476.39	88.13	LONG

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255	MORGAN STANLEY	USD	11/13/12	4,295.32	10/01/14	8,643.84	0	4,348.52	4,348.52	101.24	LONG
145	MORGAN STANLEY	USD	12/21/12	2,745.58	10/01/14	4,915.13	0	2,169.55	2,169.55	79.02	LONG
105	MORGAN STANLEY	USD	12/21/12	1,988.18	10/21/14	3,571.57	0	1,583.39	1,583.39	79.64	LONG
240	MORGAN STANLEY	USD	01/18/13	5,278.49	10/21/14	8,163.58	0	2,885.09	2,885.09	54.66	LONG
375	MORGAN STANLEY	USD	09/05/13	10,005	10/21/14	12,755.59	0	2,750.59	2,750.59	27.49	LONG
	ASSET TOTAL:			30,396		51,580.28	0	21,184.28	21,184.28	69.69	
5,000	MORGAN STANLEY DTD 3/22/2012 4.75% 3/22/2017 SEMI-ANNUALLY	USD	03/27/14	5,454.55	06/05/14	5,442.20	0	-12.35	-12.35	-0.23	SHORT
5,000	MORGAN STANLEY DTD 3/30/2004 4.75% 4/1/2014 SEMI-ANNUALLY	USD	04/18/11	5,234.80	12/26/13	5,046.83	0	-187.97	-187.97	-3.59	LONG
150,000	MORGAN STANLEY DTD 3/30/2004 4.75% 4/1/2014 SEMI-ANNUALLY	USD	04/18/11	157,044	04/01/14	150,000	0	-7,044	-7,044	-4.49	LONG
15,000	MORGAN STANLEY DTD 3/30/2004 4.75% 4/1/2014 SEMI-ANNUALLY	USD	09/17/12	15,508.20	04/01/14	15,000	0	-508.20	-508.20	-3.28	LONG
30,000	MORGAN STANLEY DTD 3/30/2004 4.75% 4/1/2014 SEMI-ANNUALLY	USD	06/18/13	30,789.60	04/01/14	30,000	0	-789.60	-789.60	-2.56	SHORT
	ASSET TOTAL:			208,576.60		200,046.83	0	-8,529.77	-8,529.77	-4.09	
3,285	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	10/21/10	20,564.10	12/26/13	20,892.60	0	328.50	328.50	1.60	LONG
3,615	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	10/21/10	22,629.90	06/05/14	23,497.50	0	867.60	867.60	3.83	LONG

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12,514.33	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	10/21/10	78,339.69	11/12/14	79,215.69	0	876	876	1.12	LONG
946.91	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	10/22/10	5,927.63	11/12/14	5,993.91	0	66.28	66.28	1.12	LONG
1,084.34	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	11/22/10	6,787.94	11/12/14	6,863.84	0	75.90	75.90	1.12	LONG
1,403.84	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	12/22/10	8,717.85	11/12/14	8,886.32	0	168.47	168.47	1.93	LONG
1,168.49	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	01/21/11	7,384.86	11/12/14	7,396.55	0	11.69	11.69	0.16	LONG
279.1	NATIONWIDE HIGH YIELD BOND FUND - INST DTD 11/19/2012	USD	02/24/11	1,777.87	11/12/14	1,766.70	0	-11.17	-11.17	-0.63	LONG
	ASSET TOTAL:			152,129.84		154,513.11	0	2,383.27	2,383.27	1.57	
260	NII HOLDINGS INC	USD	03/20/12	4,444.39	05/22/14	149.86	0	-4,294.53	-4,294.53	-96.63	LONG
290	NII HOLDINGS INC	USD	06/26/12	3,034.76	05/22/14	167.15	0	-2,867.61	-2,867.61	-94.49	LONG
335	NII HOLDINGS INC	USD	07/06/12	3,160.82	05/22/14	193.09	0	-2,967.73	-2,967.73	-93.89	LONG
440	NII HOLDINGS INC	USD	08/29/12	2,674.98	05/22/14	253.61	0	-2,421.37	-2,421.37	-90.52	LONG
40	NII HOLDINGS INC	USD	09/17/12	269.91	05/22/14	23.06	0	-246.85	-246.85	-91.46	LONG
1,120	NII HOLDINGS INC	USD	09/26/12	9,021.83	05/22/14	645.55	0	-8,376.28	-8,376.28	-92.84	LONG
285	NII HOLDINGS INC	USD	10/08/12	2,294.05	05/22/14	164.27	0	-2,129.78	-2,129.78	-92.84	LONG
745	NII HOLDINGS INC	USD	01/10/13	4,834.08	05/22/14	429.41	0	-4,404.67	-4,404.67	-91.12	LONG
290	NII HOLDINGS INC	USD	01/18/13	1,890.80	05/22/14	167.15	0	-1,723.65	-1,723.65	-91.16	LONG
340	NII HOLDINGS INC	USD	03/21/13	1,693.91	05/22/14	195.97	0	-1,497.94	-1,497.94	-88.43	LONG
1,195	NII HOLDINGS INC	USD	05/13/13	8,634.23	05/22/14	688.78	0	-7,945.45	-7,945.45	-92.02	LONG
1,170	NII HOLDINGS INC	USD	05/24/13	9,571.42	05/22/14	674.37	0	-8,897.05	-8,897.05	-92.95	SHORT
420	NII HOLDINGS INC	USD	01/02/14	1,111.28	05/22/14	242.08	0	-869.20	-869.20	-78.22	SHORT
	ASSET TOTAL:			52,636.46		3,994.35	0	-48,642.11	-48,642.11	-92.41	
75	NOBLE CORPORATION PLC	USD	07/29/09	2,367	06/05/14	2,343.69	0	-23.31	-23.31	-0.98	LONG

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30	NOBLE CORPORATION PLC	USD	07/29/09	830.20	11/12/14	645.37	0	-184.83	-184.83	-22.26	LONG
135	NOBLE CORPORATION PLC	USD	06/11/10	3,342.05	11/12/14	2,904.14	0	-437.91	-437.91	-13.10	LONG
	ASSET TOTAL:			6,539.25		5,893.20	0	-646.05	-646.05	-9.88	
40	NORFOLK SOUTHERN CORP	USD	03/20/12	2,714.31	12/26/13	3,712.53	0	998.22	998.22	36.78	LONG
160	NORFOLK SOUTHERN CORP	USD	03/20/12	10,857.23	01/02/14	14,621.48	0	3,764.25	3,764.25	34.67	LONG
20	NORFOLK SOUTHERN CORP	USD	05/08/12	1,405.56	01/02/14	1,827.68	0	422.12	422.12	30.03	LONG
45	NORFOLK SOUTHERN CORP	USD	08/29/12	3,309.34	01/02/14	4,112.29	0	802.95	802.95	24.26	LONG
10	NORFOLK SOUTHERN CORP	USD	09/17/12	745.19	01/02/14	913.84	0	168.65	168.65	22.63	LONG
20	NORFOLK SOUTHERN CORP	USD	10/08/12	1,346.81	01/02/14	1,827.69	0	480.88	480.88	35.71	LONG
115	NORFOLK SOUTHERN CORP	USD	10/08/12	7,744.15	01/23/14	10,798.37	0	3,054.22	3,054.22	39.44	LONG
110	NORFOLK SOUTHERN CORP	USD	10/08/12	7,407.44	04/07/14	10,550.53	0	3,143.09	3,143.09	42.43	LONG
15	NORFOLK SOUTHERN CORP	USD	01/18/13	990.30	04/07/14	1,438.71	0	448.41	448.41	45.28	LONG
20	NORFOLK SOUTHERN CORP	USD	01/18/13	1,320.40	05/01/14	1,870.10	0	549.70	549.70	41.63	LONG
30	NORFOLK SOUTHERN CORP	USD	03/21/13	2,257.40	05/01/14	2,805.16	0	547.76	547.76	24.27	LONG
265	NORFOLK SOUTHERN CORP	USD	03/21/13	19,940.38	08/25/14	27,944.69	0	8,004.31	8,004.31	40.14	LONG
	ASSET TOTAL:			60,038.51		82,423.07	0	22,384.56	22,384.56	37.28	
390	NXP SEMICONDUCTORS NV	USD	11/13/12	9,666.85	04/07/14	21,629.03	0	11,962.18	11,962.18	123.74	LONG
30	NXP SEMICONDUCTORS NV	USD	01/18/13	898.96	04/07/14	1,663.77	0	764.81	764.81	85.08	LONG
25	NXP SEMICONDUCTORS NV	USD	01/18/13	749.14	06/23/14	1,650.34	0	901.20	901.20	120.30	LONG
230	NXP SEMICONDUCTORS NV	USD	05/13/13	6,839.35	06/23/14	15,183.13	0	8,343.78	8,343.78	122.00	LONG
	ASSET TOTAL:			18,154.30		40,126.27	0	21,971.97	21,971.97	121.03	
0.67	PARAGON OFFSHORE PLC	USD	07/29/09	7.77	08/11/14	3.46	0	-4.31	-4.31	-55.47	LONG
9.33	PARAGON OFFSHORE PLC	USD	07/29/09	108.83	10/01/14	56.87	0	-51.96	-51.96	-47.74	LONG
95	PARAGON OFFSHORE PLC	USD	06/11/10	990.91	10/01/14	578.81	0	-412.10	-412.10	-41.59	LONG
30	PARAGON OFFSHORE PLC	USD	10/21/10	367.94	10/01/14	182.78	0	-185.16	-185.16	-50.32	LONG
93.33	PARAGON OFFSHORE PLC	USD	12/17/10	1,154.62	10/01/14	568.66	0	-585.96	-585.96	-50.75	LONG

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18.33	PARAGON OFFSHORE PLC	USD	08/29/12	254.70	10/01/14	111.70	0	-143	-143	-56.14	LONG
13.33	PARAGON OFFSHORE PLC	USD	09/17/12	184.59	10/01/14	81.24	0	-103.35	-103.35	-55.99	LONG
21.67	PARAGON OFFSHORE PLC	USD	01/18/13	313.94	10/01/14	132.01	0	-181.93	-181.93	-57.95	LONG
	ASSET TOTAL:			3,383.30		1,715.53	0	-1,667.77	-1,667.77	-49.29	
270	PARKER HANNIFIN CORP	USD	05/24/13	26,530.55	01/02/14	34,319.18	0	7,788.63	7,788.63	29.36	SHORT
590	PG&E CORP	USD	03/21/13	25,773.79	01/02/14	23,447.72	0	-2,326.07	-2,326.07	-9.02	SHORT
225	PG&E CORP	USD	05/24/13	10,339.92	01/02/14	8,941.93	0	-1,397.99	-1,397.99	-13.52	SHORT
60	PG&E CORP	USD	05/31/13	2,717.25	01/02/14	2,384.51	0	-332.74	-332.74	-12.25	SHORT
175	PG&E CORP	USD	07/05/13	7,796.04	01/02/14	6,954.83	0	-841.21	-841.21	-10.79	SHORT
	ASSET TOTAL:			46,627		41,728.99	0	-4,898.01	-4,898.01	-10.50	
25	PHILIP MORRIS INTERNATIONAL	USD	10/08/12	2,335.19	06/05/14	2,194.70	0	-140.49	-140.49	-6.02	LONG
45	PHILIP MORRIS INTERNATIONAL	USD	10/08/12	4,203.34	07/09/14	3,881.57	0	-321.77	-321.77	-7.66	LONG
	ASSET TOTAL:			6,538.53		6,076.27	0	-462.26	-462.26	-7.07	
389	POWERSHARES SENIOR LOAN PORTFOLIO	USD	06/18/13	9,675.26	12/26/13	9,647.89	0	-27.37	-27.37	-0.28	SHORT
409	POWERSHARES SENIOR LOAN PORTFOLIO	USD	06/18/13	10,172.70	06/05/14	10,156.79	0	-15.91	-15.91	-0.16	SHORT
	ASSET TOTAL:			19,847.96		19,804.68	0	-43.28	-43.28	-0.22	
85	PRAXAIR INC	USD	01/23/14	11,259.90	07/09/14	11,241.42	0	-18.48	-18.48	-0.16	SHORT
55	RALPH LAUREN CORPORATION	USD	04/07/14	8,523.25	10/21/14	8,857.92	0	334.67	334.67	3.93	SHORT

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10	SIMON PROPERTY GROUP INC	USD	01/02/14	1,429.42	06/05/14	1,690.46	0	261.04	261.04	18.26	SHORT
60	SIMON PROPERTY GROUP INC	USD	01/02/14	8,576.50	10/21/14	10,312.14	0	1,735.64	1,735.64	20.24	SHORT
	ASSET TOTAL:			10,005.92		12,002.60	0	1,996.68	1,996.68	19.95	
20	SYMANTEC CORP COMMON	USD	09/09/10	295.77	06/05/14	429.29	0	133.52	133.52	45.14	LONG
115	SYMANTEC CORP COMMON	USD	09/17/10	1,728	06/05/14	2,468.42	0	740.42	740.42	42.85	LONG
145	SYMANTEC CORP COMMON	USD	09/17/10	2,178.78	11/12/14	3,640.82	0	1,462.04	1,462.04	67.10	LONG
50	SYMANTEC CORP COMMON	USD	10/21/10	785.52	11/12/14	1,255.45	0	469.93	469.93	59.82	LONG
	ASSET TOTAL:			4,988.07		7,793.98	0	2,805.91	2,805.91	56.25	
35	TEVA PHARMACEUTICAL - SP ADR	USD	02/27/12	1,539.54	06/05/14	1,783.21	0	243.67	243.67	15.83	LONG
35	TIME WARNER INC	USD	01/22/10	975.70	12/26/13	2,447.85	0	1,472.15	1,472.15	150.88	LONG
135	TIME WARNER INC	USD	01/22/10	3,763.41	01/02/14	9,273.47	0	5,510.06	5,510.06	146.41	LONG
125	TIME WARNER INC	USD	10/21/10	3,984.94	01/02/14	8,586.55	0	4,601.61	4,601.61	115.48	LONG
100	TIME WARNER INC	USD	06/22/11	3,567.77	01/02/14	6,869.24	0	3,301.47	3,301.47	92.54	LONG
70	TIME WARNER INC	USD	07/13/11	2,488.66	01/02/14	4,808.47	0	2,319.81	2,319.81	93.22	LONG
20	TIME WARNER INC	USD	07/06/12	765.28	01/02/14	1,373.85	0	608.57	608.57	79.52	LONG
50	TIME WARNER INC	USD	09/17/12	2,238.65	01/02/14	3,434.62	0	1,195.97	1,195.97	53.42	LONG
50	TIME WARNER INC	USD	01/18/13	2,480	01/02/14	3,434.62	0	954.62	954.62	38.49	SHORT
25	TIME WARNER INC	USD	05/24/13	1,489.99	01/02/14	1,717.31	0	227.32	227.32	15.26	SHORT
135	TIME WARNER INC	USD	07/03/13	8,084.29	01/02/14	9,273.47	0	1,189.18	1,189.18	14.71	SHORT
	ASSET TOTAL:			29,838.69		51,219.45	0	21,380.76	21,380.76	71.65	
734	UBS US SMALL CAP GROWTH FUND CL Y	USD	12/24/07	10,532.90	12/26/13	18,313.30	0	7,780.40	7,780.40	73.87	LONG

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438	UBS US SMALL CAP GROWTH FUND CL Y	USD	12/24/07	6,285.30	06/05/14	10,713.48	0	4,428.18	4,428.18	70.45	LONG
108.17	UBS US SMALL CAP GROWTH FUND CL Y	USD	12/24/07	1,552.30	11/12/14	2,837.40	0	1,285.10	1,285.10	82.79	LONG
1,818.83	UBS US SMALL CAP GROWTH FUND CL Y	USD	01/14/08	24,772.41	11/12/14	47,707.81	0	22,935.40	22,935.40	92.58	LONG
	ASSET TOTAL:			43,142.91		79,571.99	0	36,429.08	36,429.08	84.44	
40	UNITEDHEALTH GROUP INC	USD	11/10/09	1,157.36	12/26/13	2,992.95	0	1,835.59	1,835.59	158.60	LONG
20	UNITEDHEALTH GROUP INC	USD	11/10/09	578.68	06/05/14	1,594.16	0	1,015.48	1,015.48	175.48	LONG
95	UNITEDHEALTH GROUP INC	USD	11/10/09	2,748.74	10/21/14	8,633.94	0	5,885.20	5,885.20	214.11	LONG
80	UNITEDHEALTH GROUP INC	USD	01/27/10	2,642.22	10/21/14	7,270.68	0	4,628.46	4,628.46	175.17	LONG
65	UNITEDHEALTH GROUP INC	USD	10/21/10	2,368.54	10/21/14	5,907.43	0	3,538.89	3,538.89	149.41	LONG
60	UNITEDHEALTH GROUP INC	USD	03/21/11	2,591.37	10/21/14	5,453.01	0	2,861.64	2,861.64	110.43	LONG
	ASSET TOTAL:			12,086.91		31,852.17	0	19,765.26	19,765.26	163.53	
70	US BANCORP	USD	11/19/10	1,740.43	06/05/14	2,968.63	0	1,228.20	1,228.20	70.57	LONG
10,000	US TREASURY N/B DTD 11/15/2005 4.5% 11/15/2015 SEMI-ANNUALLY	USD	09/27/06	9,942.58	12/26/13	10,776.17	0	833.59	833.59	8.38	LONG
5,000	US TREASURY N/B DTD 11/15/2005 4.5% 11/15/2015 SEMI-ANNUALLY	USD	09/27/06	4,971.29	06/05/14	5,309.96	0	338.67	338.67	6.81	LONG
	ASSET TOTAL:			14,913.87		16,086.13	0	1,172.26	1,172.26	7.86	
5,000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 SEMI-ANNUALLY	USD	01/05/06	5,132.62	12/26/13	5,089.06	0	-43.56	-43.56	-0.85	LONG

THIS INTERIM STATEMENT IS NOT THE OFFICIAL MONTHLY STATEMENT OF UBS WEALTH MANAGEMENT AND THEREFORE HAS NOT BEEN SUBJECTED TO OUR NORMAL MONTH END DATA INTEGRITY REVIEW AND MAY CONTAIN INCOMPLETE OR INACCURATE INFORMATION.

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12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
50,000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 SEMI-ANNUALLY	USD	01/05/06	51,326.17	05/15/14	50,000	0	-1,326.17	-1,326.17	-2.58	LONG
15,000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 SEMI-ANNUALLY	USD	02/20/09	17,253.51	05/15/14	15,000	0	-2,253.51	-2,253.51	-13.06	LONG
10,000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 SEMI-ANNUALLY	USD	09/24/09	11,116.02	05/15/14	10,000	0	-1,116.02	-1,116.02	-10.04	LONG
20,000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 SEMI-ANNUALLY	USD	01/20/11	22,364.07	05/15/14	20,000	0	-2,364.07	-2,364.07	-10.57	LONG
20,000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 SEMI-ANNUALLY	USD	09/17/12	21,491.41	05/15/14	20,000	0	-1,491.41	-1,491.41	-6.94	LONG
40,000	US TREASURY N/B DTD 5/15/2004 4.75% 5/15/2014 SEMI-ANNUALLY	USD	06/18/13	41,665.63	05/15/14	40,000	0	-1,665.63	-1,665.63	-4.00	SHORT
	ASSET TOTAL:			170,349.43		160,089.06	0	-10,260.37	-10,260.37	-6.02	
5,000	US TREASURY N/B DTD 5/15/2006 5.125% 5/15/2016 SEMI-ANNUALLY	USD	06/29/07	5,013.87	12/26/13	5,542.19	0	528.32	528.32	10.54	LONG
5,000	US TREASURY N/B DTD 5/15/2006 5.125% 5/15/2016 SEMI-ANNUALLY	USD	06/29/07	5,013.87	06/05/14	5,460.35	0	446.48	446.48	8.90	LONG
	ASSET TOTAL:			10,027.74		11,002.54	0	974.80	974.80	9.72	

REALIZED GAINS AND LOSSES - TRADE DATE
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THE MOTE SCIENTIFIC FOUNDATION
YR680500
12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
4,000	US TREASURY N/B DTD 8/15/2011 2.125% 8/15/2021 SEMI-ANNUALLY	USD	09/19/11	4,070.62	06/05/14	3,980.63	0	-89.99	-89.99	-2.21	LONG
4,000	US TREASURY N/B DTD 8/31/2009 3% 8/31/2016 SEMI-ANNUALLY	USD	05/13/14	4,225.16	06/05/14	4,224.53	0	-0.63	-0.63	-0.01	SHORT
10,000	WAL-MART STORES INC DTD 10/25/2010 1.5% 10/25/2015 SEMI-ANNUALLY	USD	07/11/11	9,945.60	12/26/13	10,178.30	0	232.70	232.70	2.34	LONG
5,000	WAL-MART STORES INC DTD 10/25/2010 1.5% 10/25/2015 SEMI-ANNUALLY	USD	07/11/11	4,972.80	06/05/14	5,076.65	0	103.85	103.85	2.09	LONG
	ASSET TOTAL:			14,918.40		15,254.95	0	336.55	336.55	2.26	
70	WALGREEN CO	USD	02/19/14	4,585	04/07/14	4,543.52	0	-41.48	-41.48	-0.90	SHORT
30	WALT DISNEY CO	USD	01/02/14	2,288.87	06/05/14	2,542.14	0	253.27	253.27	11.07	SHORT
335	WALT DISNEY CO	USD	01/02/14	25,558.99	08/25/14	30,278.24	0	4,719.25	4,719.25	18.46	SHORT
50	WALT DISNEY CO	USD	01/02/14	3,814.78	11/12/14	4,491.20	0	676.42	676.42	17.73	SHORT
	ASSET TOTAL:			31,662.64		37,311.58	0	5,648.94	5,648.94	17.84	
147	WASHINGTON PRIME GROUP	USD	01/02/14	2,674.83	06/23/14	2,837.06	0	162.23	162.23	6.07	SHORT
0.5	WASHINGTON PRIME GROUP	USD	01/02/14	9.10	06/24/14	7.43	0	-1.67	-1.67	-18.35	SHORT
	ASSET TOTAL:			2,683.93		2,844.49	0	160.56	160.56	5.98	
30	YUM BRANDS INC	USD	07/31/13	2,191.76	06/05/14	2,369.34	0	177.58	177.58	8.10	SHORT
30	YUM BRANDS INC	USD	07/31/13	2,191.76	07/09/14	2,478.93	0	287.17	287.17	13.10	SHORT

REALIZED GAINS AND LOSSES - TRADE DATE

THE MOTE SCIENTIFIC FOUNDATION
YR680500
12/01/2013 TO 11/30/2014

UNITED STATES DOLLAR BASE CURRENCY

QUANTITY	ASSET DESCRIPTION	LOCL CURR	PURCHASE DATE	TOT ADJ CST IN BASE	SALE DATE	PROCEEDS IN BASE	CURR G/L IN BASE	MKT G/L IN BASE	NET G/L IN BASE	% G/L	TYPE
	ASSET TOTAL:			4,383.52		4,848.27	0	464.75	464.75	10.60	
SHORT GAINS/LOSSES:				623,639.90		662,353.43	0	38,713.53	38,713.53	6.21	
LONG GAINS/LOSSES:				1968,188.44		2355,691.10	0	387,502.66	387,502.66	19.69	
TOTAL GAINS/LOSSES:				2591,828.34		3018,044.53	0	426,216.19	426,216.19	16.44	

426,216.19 +
TB 426,213.13 -
NCM 3.06 *

THIS INTERIM STATEMENT IS NOT THE OFFICIAL MONTHLY STATEMENT OF UBS WEALTH MANAGEMENT AND THEREFORE HAS NOT BEEN SUBJECTED TO OUR NORMAL MONTH END DATA INTEGRITY REVIEW AND MAY CONTAIN INCOMPLETE OR INACCURATE INFORMATION.