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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

► Do not enter Social Security numbers on this form as it may be made public.

► Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning 12/01/13, and ending 11/30/14

Name of foundation

MAX & RIKA KNOPF FOUNDATION

Number and street (or P O box number if mail is not delivered to street address)

3535 INDIAN CREEK DR

Room/suite

204

City or town, state or province, country, and ZIP or foreign postal code

MIAMI BEACH FL 33140

G Check all that apply

<input type="checkbox"/> Initial return	<input type="checkbox"/> Initial return of a former public charity
<input type="checkbox"/> Final return	<input type="checkbox"/> Amended return
<input type="checkbox"/> Address change	<input type="checkbox"/> Name change

H Check type of organization Section 501(c)(3) exempt private foundation Section 4947(a)(1) nonexempt charitable trust Other taxable private foundationI Fair market value of all assets at
end of year (from Part II, col (c),
line 16) ► \$ 1,892,210 (Part I, column (d) must be on cash basis)J Accounting method Cash Accrual Other (specify)

A Employer identification number

13-3523504

B Telephone number (see instructions)

212-962-6100

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2 Foreign organizations meeting the
85% test, check here and attach computation ► E If private foundation status was terminated under
section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination
under section 507(b)(1)(B), check here ► Part I Analysis of Revenue and Expenses (The total of
amounts in columns (b), (c), and (d) may not necessarily equal
the amounts in column (a) (see instructions))(a) Revenue and
expenses per
books(b) Net investment
income(c) Adjusted net
income(d) Disbursements
for charitable
purposes
(cash basis only)

Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)	25,000		
	2 Check ► <input type="checkbox"/> if the foundation is not required to attach Sch B			
	3 Interest on savings and temporary cash investments	13,699	13,699	
	4 Dividends and interest from securities	38,325	38,325	
	5a Gross rents	18,250	18,250	
	5b Net rental income or (loss) 11,840			
	6a Net gain or (loss) from sale of assets not on line 10	-134,397		
	6b Gross sales price for all assets on line 6a 314,012			
	7 Capital gain net income (from Part IV, line 2) <i>RECEIVED</i>		0	
	8 Net short-term capital gain			0
	9 Income modifications			
	10a Gross sales less returns and allowances <i>AO 12/13</i>			
	10b Less Cost of goods sold <i>SP 01/2013</i>			
	10c Gross profit or (loss) (attach schedule)			
Operating and Administrative Expenses	11 Other income (attach schedule) <i>OC 12/13</i>			
	12 Total. Add lines 1 through 11	-39,123	70,274	0
	13 Compensation of officers, directors, trustees, etc	0		
	14 Other employee salaries and wages			
	15 Pension plans, employee benefits			
	16a Legal fees (attach schedule)			
	16b Accounting fees (attach schedule) Stmt 1	6,300		6,300
	16c Other professional fees (attach schedule)			
	17 Interest			
	18 Taxes (attach schedule) (see instructions) Stmt 2	219	219	
	19 Depreciation (attach schedule) and depletion			
	20 Occupancy			
	21 Travel, conferences, and meetings			
stmt 3	22 Printing and publications			
	23 Other expenses (att sch)	7,121	6,871	250
	24 Total operating and administrative expenses.			
	Add lines 13 through 23	13,640	7,090	6,550
	25 Contributions, gifts, grants paid	216,431		216,431
stmt 4	26 Total expenses and disbursements. Add lines 24 and 25	230,071	7,090	222,981
	27 Subtract line 26 from line 12	-269,194		
	a Excess of revenue over expenses and disbursements			
	b Net investment income (if negative, enter -0-)	63,184		
stmt 5	c Adjusted net income (if negative, enter -0-)			0

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash – non-interest-bearing	443,245	259,837	259,838
	2	Savings and temporary cash investments	584,564	497,464	497,464
	3	Accounts receivable ►			
		Less allowance for doubtful accounts ►			
	4	Pledges receivable ►			
		Less allowance for doubtful accounts ►			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ►			
		Less allowance for doubtful accounts ►			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments – U S. and state government obligations (attach schedule)	See Stmt 4		
	b	Investments – corporate stock (attach schedule)		1,186,820	1,188,134
	c	Investments – corporate bonds (attach schedule)	See Stmt 5	22,000	22,000
	11	Investments – land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach sch) ►			
	12	Investments – mortgage loans			
	13	Investments – other (attach schedule)			
	14	Land, buildings, and equipment basis ►			
		Less accumulated depreciation (attach sch) ►			
	15	Other assets (describe ►)		
	16	Total assets (to be completed by all filers – see the instructions Also, see page 1, item I)		2,236,629	1,967,435
	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ►)		
	23	Total liabilities (add lines 17 through 22)		0	0
Liabilities	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31.		► <input checked="" type="checkbox"/>		
	24	Unrestricted		2,236,629	1,967,435
	25	Temporarily restricted			
	26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31.		► <input type="checkbox"/>		
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)		2,236,629	1,967,435
	31	Total liabilities and net assets/fund balances (see instructions)		2,236,629	1,967,435
Net Assets or Fund Balances	Part III Analysis of Changes in Net Assets or Fund Balances				
	1	Total net assets or fund balances at beginning of year – Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)		1	2,236,629
	2	Enter amount from Part I, line 27a		2	-269,194
	3	Other increases not included in line 2 (itemize) ►		3	
	4	Add lines 1, 2, and 3		4	1,967,435
	5	Decreases not included in line 2 (itemize) ►		5	
	6	Total net assets or fund balances at end of year (line 4 minus line 5) – Part II, column (b), line 30		6	1,967,435

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a JP MORGAN CHASE SEE ATTACHED			P	Various	Various
b JP MORGAN CHASE SEE ATTACHED			P	Various	Various
c SALE OF K-1 PIONEER NATURAL RESERVE			P	Various	Various
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 238,732		409,955	-171,223		
b 68,464		38,454	30,010		
c 6,816			6,816		
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69				(i) Gains (Col (h) gain minus col (k), but not less than 0) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					-171,223
b					30,010
c					6,816
d					
e					
2 Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2		-134,397
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)	If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3		30,010

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	245,312	1,965,857	0.124786
2011	381,539	1,901,490	0.200653
2010	487,772	2,137,028	0.228248
2009	564,748	1,867,297	0.302441
2008	923,021	1,386,710	0.665619

2 Total of line 1, column (d)

2 1.521747

3 Average distribution ratio for the 5-year base period – divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 0.304349

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 1,870,453

5 Multiply line 4 by line 3

5 569,271

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 632

7 Add lines 5 and 6

7 569,903

8 Enter qualifying distributions from Part XII, line 4

8 222,981

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter (attach copy of letter if necessary—see instructions)	1	1,264
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	0
3	Add lines 1 and 2	3	1,264
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	0
5	Tax based on investment income. Subtract line 4 from line 3 If zero or less, enter -0-	5	1,264
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	781
b	Exempt foreign organizations – tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	1,500
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments Add lines 6a through 6d	7	2,281
8	Enter any penalty for underpayment of estimated tax Check here <input checked="" type="checkbox"/> if Form 2220 is attached	8	7
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	1,010
11	Enter the amount of line 10 to be <u>Credited to 2014 estimated tax</u> ►	11	Refunded

Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
1c	Did the foundation file Form 1120-POL for this year?		
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ► \$ _____ (2) On foundation managers ► \$ _____		
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ► \$ _____		
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities	2	X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes	3	X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a	X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b	
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.	5	X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7	Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col. (c), and Part XV	7	X
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ► NY	N/A	
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	8b	X
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV	9	X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10	X

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions) 11 X

12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 X

13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A 13 X

14 The books are in care of ► MAX WASSER
132 NASSAU ST #300

Telephone no ► 212-962-6100

Located at ► NEW YORK

NY

ZIP+4 ► 10038

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 – Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 15

16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22 1. If "Yes," enter the name of the foreign country ►

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)

- (1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes No
- (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes No
- (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes No
- (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes No
- (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes No
- (6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes No

b If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here ► N/A

c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A

2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))

a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► 20 , 20 , 20 , 20 Yes No

b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement – see instructions) N/A

c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ► 20 , 20 , 20 , 20 N/A

3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes No

b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) N/A

4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 3b Yes No

b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? N/A

4b 4a Yes No

4c 4b Yes No

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a	During the year did the foundation pay or incur any amount to	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3) Provide a grant to an individual for travel, study, or other similar purposes?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions)		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?		<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	► <input type="checkbox"/>	5b
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? If "Yes," attach the statement required by Regulations section 53.4945–5(d)	N/A	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? If "Yes" to 6b, file Form 8870	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		6b X
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	N/A		7b

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SOLOMON KNOPF 951 WEST KENNEDY BLVD	LAKWOOD NJ 08701	MANAGER 0.00	0	0
RIKA KNOPF 3535 INDIAN CREEK DR # 204	MIAMI BEACH FL 33140	MANAGER 0.00	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

► 0
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Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)
3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ►

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1 N/A

2

3

4

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

Amount

1 N/A

2

All other program-related investments. See instructions

3

Total. Add lines 1 through 3 ►

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	992,768
b	Average of monthly cash balances	1b	906,169
c	Fair market value of all other assets (see instructions)	1c	0
d	Total (add lines 1a, b, and c)	1d	1,898,937
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	1,898,937
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	28,484
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	1,870,453
6	Minimum investment return. Enter 5% of line 5	6	93,523

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ► and do not complete this part)

1	Minimum investment return from Part X, line 6	1	93,523
2a	Tax on investment income for 2013 from Part VI, line 5	2a	1,264
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	1,264
3	Distributable amount before adjustments Subtract line 2c from line 1	3	92,259
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	92,259
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	92,259

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes	1a	222,981
a	Expenses, contributions, gifts, etc. – total from Part I, column (d), line 26	1b	
b	Program-related investments – total from Part IX-B	2	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	3a	
3	Amounts set aside for specific charitable projects that satisfy the	3b	
a	Suitability test (prior IRS approval required)	4	222,981
b	Cash distribution test (attach the required schedule)	5	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	6	222,981
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)		
6	Adjusted qualifying distributions. Subtract line 5 from line 4		

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				92,259
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 ____ , 20 ____ , 20 ____				
3 Excess distributions carryover, if any, to 2013				
a From 2008 855,079				
b From 2009 472,551				
c From 2010 382,144				
d From 2011 287,708				
e From 2012 148,466				
f Total of lines 3a through e 2,145,948				
4 Qualifying distributions for 2013 from Part XII, line 4 ► \$ 222,981				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required – see instructions)				
c Treated as distributions out of corpus (Election required – see instructions)				
d Applied to 2013 distributable amount 92,259				
e Remaining amount distributed out of corpus 130,722				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5 2,276,670				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount – see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount – see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 0				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) 855,079				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a 1,421,591				
10 Analysis of line 9				
a Excess from 2009 472,551				
b Excess from 2010 382,144				
c Excess from 2011 287,708				
d Excess from 2012 148,466				
e Excess from 2013 130,722				

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year SEE ATTACHED			CHARITABLE	216,431
Total			► 3a	216,431
b Approved for future payment N/A				
Total			► 3b	

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated

Enter gross amounts unless otherwise indicated		Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
		(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1	Program service revenue					
a						
b						
c						
d						
e						
f						
g	Fees and contracts from government agencies					
2	Membership dues and assessments					
3	Interest on savings and temporary cash investments			14	13,699	
4	Dividends and interest from securities			14	38,325	
5	Net rental income or (loss) from real estate					
a	Debt-financed property					
b	Not debt-financed property			16	11,840	
6	Net rental income or (loss) from personal property					
7	Other investment income					
8	Gain or (loss) from sales of assets other than inventory			14	-134,397	
9	Net income or (loss) from special events					
10	Gross profit or (loss) from sales of inventory					
11	Other revenue	a				
	b					
	c					
	d					
	e					
12	Subtotal Add columns (b), (d), and (e)			0	-70,533	0
13	Total. Add line 12, columns (b), (d), and (e)			13	-70,533	

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Schedule B
(Form 990, 990-EZ,
or 990-PF)

Department of the Treasury
Internal Revenue Service

OMB No 1545-0047

Schedule of Contributors

► Attach to Form 990, Form 990-EZ, or Form 990-PF.
► Information about Schedule B (Form 990, 990-EZ, 990-PF) and its instructions is at www.irs.gov/form990.

2013

Name of the organization

MAX & RIKA KNOPF FOUNDATION

Employer identification number

13-3523504

Organization type (check one)

Fillers of:

Section:

Form 990 or 990-EZ

501(c)() (enter number) organization

4947(a)(1) nonexempt charitable trust not treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor Complete Parts I and II

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 $\frac{1}{3}$ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1 Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use exclusively for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use exclusively for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an exclusively religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received nonexclusively religious, charitable, etc., contributions of \$5,000 or more during the year

► \$

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it must answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

For Paperwork Reduction Act Notice, see the Instructions for Form 990, 990-EZ, or 990-PF.

Schedule B (Form 990, 990-EZ, or 990-PF) (2013)

Name of organization

MAX & RIKA KNOPF FOUNDATION

Employer identification number

13-3523504

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	ELI KNOPF 2182 GOYER STREET MONTREAL QUEBEC CANADA QC H351G9	\$ 12,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	SOLOMON KNOPF 951 KENNEDY BLVD LAKEWOOD NJ 08701	\$ 5,000	Person <input checked="" type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

CAP GAINS /losses

Qty	Company	Description	Type	Bought	Sold	Sale Amount	Cost	Gain (Loss)
1,332	AMERICAN CAPITAL LTD	LT	LT	12/01/11	11/13/14	\$20,232.91	\$45,172.81	(\$24,940)
2,000	ADVANTAGE OIL		LT	12/01/11	11/19/14	\$9,166.80	\$9,180.00	(\$13)
150	DEERFIELD TRIAC CAPIT	LT	LT	12/01/11	11/19/14	\$1,326.96	\$21,918.50	(\$20,592)
0.509	FELCORE LODGING TRU	LT	LT	12/01/11	11/19/14	\$5.38	\$7.00	(\$2)
161	PARAGON SHIPPING		LT	12/01/11	11/19/14	\$544.38	\$30,020.69	(\$29,476)
14.85208	CALAMOS		LT	12/22/11	11/19/14	\$176.04	\$122.28	\$54
14.12004	CALAMOS		LT	01/12/12	11/19/14	\$167.36	\$123.06	\$44
17.36419	CALAMOS		LT	02/22/12	11/19/14	\$205.81	\$165.07	\$41
16.68806	CALAMOS		LT	03/22/12	11/19/14	\$197.80	\$166.29	\$32
17.34451	CALAMOS		LT	04/20/12	11/19/14	\$205.58	\$167.45	\$38
17.857	CALAMOS		LT	05/21/12	11/19/14	\$211.66	\$168.67	\$43
18.149	CALAMOS		LT	06/21/12	11/19/14	\$215.12	\$169.92	\$45
17.602	CALAMOS		LT	07/23/12	11/19/14	\$208.63	\$171.19	\$37
16.93	CALAMOS		LT	08/20/12	11/19/14	\$200.67	\$172.42	\$28
16.858	CALAMOS		LT	09/20/12	11/19/14	\$199.82	\$173.61	\$26
16.763	CALAMOS		LT	10/22/12	11/19/14	\$198.69	\$174.79	\$24
18.02591	CALAMOS		LT	11/21/12	11/19/14	\$213.66	\$175.96	\$38
17.71509	CALAMOS		LT	12/20/12	11/19/14	\$209.97	\$177.22	\$33
17.45645	CALAMOS		LT	01/11/13	11/19/14	\$206.91	\$178.46	\$28
17.05341	CALAMOS		LT	02/22/13	11/19/14	\$202.13	\$179.68	\$22
17.24407	CALAMOS		LT	03/22/13	11/19/14	\$204.39	\$180.88	\$24
17.43755	CALAMOS		LT	04/22/13	11/19/14	\$206.68	\$182.08	\$25
16.6833	CALAMOS		LT	05/22/13	11/19/14	\$197.74	\$183.31	\$14
17.83732	CALAMOS		LT	06/21/13	11/19/14	\$211.42	\$184.47	\$27
17.7651	CALAMOS		LT	07/23/13	11/19/14	\$210.57	\$185.72	\$25
18.51591	CALAMOS		LT	08/22/13	11/19/14	\$219.47	\$186.97	\$32
18.52679	CALAMOS		LT	09/23/13	11/19/14	\$219.59	\$188.26	\$31
18.56991	CALAMOS		LT	10/23/13	11/19/14	\$220.11	\$189.56	\$31
3.43041	DHT MARITIME		LT	02/15/12	11/19/14	\$21.99	\$51.87	(\$30)
4.57273	DHT MARITIME		LT	05/23/12	11/19/14	\$29.31	\$35.40	(\$6)
66.4995	EATON VANCE TX GLBL	LT	LT	12/13/11	11/19/14	\$651.13	\$555.63	\$95
62.72161	EATON VANCE TX GLBL	LT	LT	02/29/12	11/19/14	\$614.14	\$574.53	\$40
63.077	EATON VANCE TX GLBL	LT	LT	05/31/12	11/19/14	\$617.62	\$508.40	\$109
60.631	EATON VANCE TX GLBL	LT	LT	08/31/12	11/19/14	\$593.67	\$523.79	\$70
60.99553	EATON VANCE TX GLBL	LT	LT	11/21/12	11/19/14	\$597.24	\$538.58	\$59
19.51435	EATON VANCE TX GLBL	LT	LT	01/31/13	11/19/14	\$191.07	\$184.41	\$7
20.06472	EATON VANCE TX GLBL	LT	LT	02/28/13	11/19/14	\$196.46	\$186.00	\$10
19.98189	EATON VANCE TX GLBL	LT	LT	03/28/13	11/19/14	\$195.65	\$187.63	\$8
19.83754	EATON VANCE TX GLBL	LT	LT	04/30/13	11/19/14	\$194.24	\$189.25	\$5
19.53633	EATON VANCE TX GLBL	LT	LT	05/31/13	11/19/14	\$191.29	\$190.87	\$0
20.58395	EATON VANCE TX GLBL	LT	LT	06/28/13	11/19/14	\$201.55	\$192.46	\$9
20.11708	EATON VANCE TX GLBL	LT	LT	07/31/13	11/19/14	\$196.98	\$194.13	\$3
20.98223	EATON VANCE TX GLBL	LT	LT	08/30/13	11/19/14	\$205.45	\$195.77	\$10
20.78616	EATON VANCE TX GLBL	LT	LT	09/30/13	11/19/14	\$203.53	\$197.47	\$6
20.03621	EATON VANCE TX GLBL	LT	LT	10/31/13	11/19/14	\$196.18	\$199.16	(\$3)
8.28501	PENGROWTH ENERGY 1	LT	LT	12/15/11	11/19/14	\$30.91	\$84.59	(\$54)

Qty	Company	Description	Type	Bought	Sold	Sale Amount	Cost	Gain (Loss)
8.27212	PENGROWTH ENERGY 1	LT	LT	01/17/12	11/19/14	\$30.86	\$86.94	(\$56)
8.896	PENGROWTH ENERGY 1	LT	LT	02/15/12	11/19/14	\$33.19	\$88.96	(\$56)
9.11054	PENGROWTH ENERGY 1	LT	LT	03/15/12	11/19/14	\$33.99	\$89.83	(\$56)
10.00558	PENGROWTH ENERGY 1	LT	LT	04/16/12	11/19/14	\$37.33	\$89.65	(\$52)
11.104	PENGROWTH ENERGY 1	LT	LT	05/15/12	11/19/14	\$41.43	\$89.94	(\$49)
12.973	PENGROWTH ENERGY 1	LT	LT	06/15/12	11/19/14	\$48.40	\$88.86	(\$40)
15.363	PENGROWTH ENERGY 1	LT	LT	07/24/12	11/19/14	\$57.32	\$90.40	(\$33)
8.568	PENGROWTH ENERGY 1	LT	LT	08/17/12	11/19/14	\$31.97	\$52.90	(\$21)
8.262	PENGROWTH ENERGY 1	LT	LT	09/20/12	11/19/14	\$30.82	\$54.75	(\$24)
8.671	PENGROWTH ENERGY 1	LT	LT	10/18/12	11/19/14	\$32.35	\$54.65	(\$22)
10.0091	PENGROWTH ENERGY 1	LT	LT	11/21/12	11/19/14	\$37.34	\$54.95	(\$18)
11.40499	PENGROWTH ENERGY 1	LT	LT	12/18/12	11/19/14	\$42.55	\$55.02	(\$12)
11.83252	PENGROWTH ENERGY 1	LT	LT	01/23/13	11/19/14	\$44.14	\$56.17	(\$12)
11.07101	PENGROWTH ENERGY 1	LT	LT	02/20/13	11/19/14	\$41.30	\$48.16	(\$7)
10.4721	PENGROWTH ENERGY 1	LT	LT	03/18/13	11/19/14	\$39.07	\$47.87	(\$9)
10.14353	PENGROWTH ENERGY 1	LT	LT	04/16/13	11/19/14	\$37.84	\$48.12	(\$10)
10.01402	PENGROWTH ENERGY 1	LT	LT	05/16/13	11/19/14	\$37.36	\$48.54	(\$11)
9.73134	PENGROWTH ENERGY 1	LT	LT	06/18/13	11/19/14	\$36.31	\$48.90	(\$13)
9.84093	PENGROWTH ENERGY 1	LT	LT	07/15/13	11/19/14	\$36.71	\$48.01	(\$11)
9.80286	PENGROWTH ENERGY 1	LT	LT	08/20/13	11/19/14	\$36.57	\$55.13	(\$19)
10.16788	PENGROWTH ENERGY 1	LT	LT	09/12/13	11/19/14	\$37.93	\$55.65	(\$18)
9.73387	PENGROWTH ENERGY 1	LT	LT	10/16/13	11/19/14	\$36.32	\$55.68	(\$19)
9.25728	PENGROWTH ENERGY 1	LT	LT	11/08/13	11/19/14	\$34.54	\$58.12	(\$24)
12.65539	PENN WEST ENERGY TR	LT	LT	01/13/12	11/19/14	\$51.41	\$258.17	(\$207)
15.36322	PENN WEST ENERGY TR	LT	LT	04/13/12	11/19/14	\$62.41	\$269.01	(\$207)
21.402	PENN WEST ENERGY TR	LT	LT	07/13/12	11/19/14	\$86.94	\$266.87	(\$180)
21.379	PENN WEST ENERGY TR	LT	LT	10/15/12	11/19/14	\$86.84	\$287.55	(\$201)
27.91803	PENN WEST ENERGY TR	LT	LT	01/15/13	11/19/14	\$113.41	\$289.51	(\$176)
26.16526	PENN WEST ENERGY TR	LT	LT	04/24/13	11/19/14	\$106.29	\$248.52	(\$142)
27.01098	PENN WEST ENERGY TR	LT	LT	07/22/13	11/19/14	\$109.72	\$281.73	(\$172)
14.24236	PENN WEST ENERGY TR	LT	LT	10/21/13	11/19/14	\$57.85	\$149.72	(\$92)
0.67699	CALAMOS	LT	n/a		11/19/14	\$8.04	\$9.12	(\$1)
2,328.51	CALAMOS	LT	n/a		11/19/14	\$27,599.40	\$31,374.54	(\$3,775)
143.9969	DHT MARITIME	LT	n/a		11/19/14	\$923.03	\$21,069.54	(\$20,147)
0.64839	EATON VANCE TX GLBL	LT	n/a		11/19/14	\$6.35	\$11.54	(\$5)
1,953.73	EATON VANCE TX GLBL	LT	n/a		11/19/14	\$19,129.86	\$34,786.43	(\$15,657)
1,699.49	FELCORE LODGING TRU	LT	n/a		11/19/14	\$17,939.73	\$23,368.55	(\$5,429)
0.17265	PENGROWTH ENERGY 1	LT	n/a		11/19/14	\$0.64	\$3.53	(\$3)
1,475.44	PENGROWTH ENERGY 1	LT	n/a		11/19/14	\$5,504.55	\$30,174.57	(\$24,670)
0.66822	PENN WEST ENERGY TR	LT	n/a		11/19/14	\$2.74	\$20.77	(\$18)
1,155.20	PENN WEST ENERGY TR	LT	n/a		11/19/14	\$4,692.60	\$35,901.76	(\$31,209)
1,604	PIONEER SOUTHWEST	LT	n/a		12/17/13	\$31,953.00	\$31,953.00	\$0
85,000	BANK OF AMERICA	LT	n/a		11/18/14	\$88,307.35	\$82,707.55	\$5,600
						\$238,732.46	\$409,955.44	(\$171,226.00)
17.78966	CALAMOS	ST		11/22/13	11/19/14	\$210.86	\$190.86	\$20

Qty	Company	Description	Type	Bought	Sold	Sale Amount	Cost	Gain (Loss)
17.98201	CALAMOS		ST	12/20/13	11/19/14	\$213.14	\$192.10	\$21
17.74547	CALAMOS		ST	01/10/14	11/19/14	\$210.33	\$193.36	\$17
17.52143	CALAMOS		ST	02/20/14	11/19/14	\$207.68	\$194.60	\$13
17.63535	CALAMOS		ST	03/21/14	11/19/14	\$209.03	\$195.83	\$13
20.79878	CALAMOS		ST	04/21/14	11/19/14	\$246.52	\$232.26	\$14
20.43531	CALAMOS		ST	05/20/14	11/19/14	\$242.22	\$233.97	\$8
19.64701	CALAMOS		ST	06/18/14	11/19/14	\$232.87	\$235.66	(\$3)
19.75473	CALAMOS		ST	07/15/14	11/19/14	\$234.15	\$237.28	(\$3)
20.0077	CALAMOS		ST	08/15/14	11/19/14	\$237.15	\$238.91	(\$2)
19.99468	CALAMOS		ST	09/17/14	11/19/14	\$236.99	\$240.56	(\$4)
22.82201	CALAMOS		ST	10/21/14	11/19/14	\$270.51	\$242.21	\$28
20.22034	EATON VANCE TX GLBL	ST	11/29/13	11/19/14	\$197.99	\$200.79	(\$3)	
20.06243	EATON VANCE TX GLBL	ST	12/31/13	11/19/14	\$196.44	\$202.43	(\$6)	
20.84371	EATON VANCE TX GLBL	ST	01/31/14	11/19/14	\$204.09	\$204.06	\$0	
20.03493	EATON VANCE TX GLBL	ST	02/28/14	11/19/14	\$196.17	\$205.76	(\$10)	
20.49308	EATON VANCE TX GLBL	ST	03/31/14	11/19/14	\$200.66	\$207.39	(\$7)	
20.63672	EATON VANCE TX GLBL	ST	04/30/14	11/19/14	\$202.06	\$209.05	(\$7)	
20.34073	EATON VANCE TX GLBL	ST	05/30/14	11/19/14	\$199.17	\$210.73	(\$12)	
20.57407	EATON VANCE TX GLBL	ST	06/30/14	11/19/14	\$201.45	\$212.39	(\$11)	
20.62262	EATON VANCE TX GLBL	ST	07/31/14	11/19/14	\$201.93	\$214.06	(\$12)	
20.74416	EATON VANCE TX GLBL	ST	08/29/14	11/19/14	\$203.12	\$215.74	(\$13)	
21.3357	EATON VANCE TX GLBL	ST	09/30/14	11/19/14	\$208.91	\$217.42	(\$9)	
22.00093	EATON VANCE TX GLBL	ST	10/31/14	11/19/14	\$215.42	\$219.16	(\$4)	
4.1769	FELCORE LODGING TRUST		01/31/14	11/19/14	\$44.09	\$34.00	\$10	
3.74094	FELCORE LODGING TRUST		04/30/14	11/19/14	\$39.49	\$34.08	\$5	
3.399	FELCORE LODGING TRUST		07/31/14	11/19/14	\$35.88	\$34.16	\$2	
3.19216	FELCORE LODGING TRUST		10/31/14	11/19/14	\$33.70	\$34.23	(\$1)	
8.74873	PENGROWTH ENERGY 1ST		12/17/13	11/19/14	\$32.64	\$55.18	(\$23)	
8.58843	PENGROWTH ENERGY 1ST		01/16/14	11/19/14	\$32.04	\$53.67	(\$22)	
8.5978	PENGROWTH ENERGY 1ST		02/19/14	11/19/14	\$32.08	\$53.85	(\$22)	
8.86085	PENGROWTH ENERGY 1ST		03/17/14	11/19/14	\$33.06	\$53.65	(\$21)	
8.34051	PENGROWTH ENERGY 1ST		04/16/14	11/19/14	\$31.12	\$54.23	(\$23)	
8.5054	PENGROWTH ENERGY 1ST		05/15/14	11/19/14	\$31.73	\$55.03	(\$23)	
8.04789	PENGROWTH ENERGY 1ST		06/16/14	11/19/14	\$30.02	\$55.45	(\$25)	
8.36458	PENGROWTH ENERGY 1ST		07/15/14	11/19/14	\$31.21	\$56.21	(\$25)	
9.10652	PENGROWTH ENERGY 1ST		08/15/14	11/19/14	\$33.97	\$55.65	(\$22)	
9.53713	PENGROWTH ENERGY 1ST		09/15/14	11/19/14	\$35.58	\$55.22	(\$20)	
14.04404	PENGROWTH ENERGY 1ST		10/15/14	11/19/14	\$52.40	\$54.21	(\$2)	
0.82705	PENGROWTH ENERGY 1ST		11/17/14	11/19/14	\$3.09	\$3.12	\$0	
17.57643	PENN WEST ENERGY TRST		01/22/14	11/19/14	\$71.40	\$143.77	(\$72)	
17.71004	PENN WEST ENERGY TRST		04/22/14	11/19/14	\$71.94	\$144.22	(\$72)	
16.64079	PENN WEST ENERGY TRST		07/15/14	11/19/14	\$67.60	\$150.10	(\$83)	
30.73829	PENN WEST ENERGY TRST		10/15/14	11/19/14	\$124.86	\$144.47	(\$20)	
0.16549	PIONEER NATURAL RES-ST		12/17/13	11/19/14	\$27.70	\$14.18	\$14	
372.8345	PIONEER NATURAL RES-ST		12/17/13	11/19/14	\$62,361.86	\$31,938.82	\$30,423	
0.08186	PIONEER NATURAL RES-ST		04/11/14	11/19/14	\$13.69	\$14.92	(\$1)	

Qty	Company	Description	Type	Bought	Sold	Sale Amount	Cost	Gain (Loss)
0.08363	PIONEER NATURAL RES	ST		10/10/14	11/19/14	\$13.99	\$14.92	(\$1)
			SHORT TERM			\$68,464.00	\$38,453.92	\$30,004.00
			LONG TERM			\$238,732.46	\$409,955.44	(\$171,226.00)
			TOTAL			\$307,196.42	\$448,409.34	(\$141,212.92)

MAX & RIKA KNOPF FOUNDATION 990PF	PART XV GRANTS PAID	
EIN# 13-3523504	11/30/14 CONTRIBUTIONS PAID	
YESHIVAH TORAH VODAATH	1,000 00	CHARITABLE
FRIENDS OF YESHIVAH HARAN	29,000 00	CHARITABLE
AGUDATH YISROEL	12,000 00	CHARITABLE
MIAMI BEACH KOLLEL	1,000 00	CHARITABLE
BIKUR CHOLIM OF BORO PARK	1,000 00	CHARITABLE
NECHOMAS YISROEL	2,490 00	CHARITABLE
ZICHRON SHLOMO REFUAH	3,000 00	CHARITABLE
SHAIREI YOSHER	2,000 00	CHARITABLE
SHUVU	60,000 00	CHARITABLE
CONG ZICHRON YITZCHOK	2,000 00	CHARITABLE
TOMCHE SHABOS	2,860.00	CHARITABLE
BETH MEDRASH ELYON	5,000 00	CHARITABLE
BONEI OLAM	5,000 00	CHARITABLE
MISASKIM	1,800 00	CHARITABLE
YESH HARBOTZAH TORAH	1,000 00	CHARITABLE
KEREN AHAVAS CHESED	2,500 00	CHARITABLE
OHEV YISROEL	1,000 00	CHARITABLE
VAAD HATZOLAS NIDCHEI YISROEL	2,000 00	CHARITABLE
KHAL CHASIDIM	3,000 00	CHARITABLE
MOSDOS OSTRWCE YESHIVAH	1,000 00	CHARITABLE
TIKVAH HAYELED	1,800 00	CHARITABLE
CHAI LIFELINE	500 00	CHARITABLE
A F O YESH TORAS CHAIM	2,800 00	CHARITABLE
BNOS BAIS YAKOV	2,500 00	CHARITABLE
TIFERES ACHIM	1,000 00	CHARITABLE
GATEWAYS	700 00	CHARITABLE
BNOS PNINA	500 00	CHARITABLE
A F O YESHIVAH GEDOLAH	12,000 00	CHARITABLE
A F O NACHLAS BNEI SHIMON	500 00	CHARITABLE
YESHIVAH PHILADELPHIA	720 00	CHARITABLE
BETH MEDRASH TAHarOT	1,800 00	CHARITABLE
KNESET BAIS SHRAGA	1,600 00	CHARITABLE
YESH GEDOLAH BEER HATORAH	5,000 00	CHARITABLE
KEREN HASHVIUS	1,000 00	CHARITABLE
CONG SONS OF YISROEL OF THE BRONX	5,094 00	CHARITABLE
YESHIVAS BRISK	5,000 00	CHARITABLE
YESHIVAS MESORAS MORDECHAI	1,000 00	CHARITABLE
YESHIVAS BIRCHAS CHAIM	25,000.00	CHARITABLE
SCHI NY	1,000 00	CHARITABLE
A F O MOSDOS MONTREAL	500 00	CHARITABLE
LESS THAN \$500	7,767 00	CHARITABLE
TOTAL CONTRIBUTIONS PAID	216,431 00	

Federal Statements**Statement 1 - Form 990-PF, Part I, Line 16b - Accounting Fees**

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
Indirect Accounting Fees	\$ 6,300	\$ 0	\$ 0	\$ 6,300
Total	\$ 6,300	\$ 0	\$ 0	\$ 6,300

Statement 2 - Form 990-PF, Part I, Line 18 - Taxes

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
FOREIGN TAXES	\$ 219	\$ 219	\$ 0	\$ 0
Total	\$ 219	\$ 219	\$ 0	\$ 0

Statement 3 - Form 990-PF, Part I, Line 23 - Other Expenses

Description	Total	Net Investment	Adjusted Net	Charitable Purpose
RENTAL MAINTENANCE	\$ 6,410	\$ 6,410	\$ 0	\$ 0
Expenses				
INVESTMENT EXPENSES	457	457	457	
BANK CHARGES	4	4	4	
NYS DEPT OF LAW	250	250	250	
Total	\$ 7,121	\$ 6,871	\$ 0	\$ 250

Statement 4 - Form 990-PF, Part II, Line 10b - Corporate Stock Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
	\$ 1,186,820	\$ 1,188,134	\$ 1,112,908	\$ 1,112,908
Total	\$ 1,186,820	\$ 1,188,134	\$ 1,112,908	\$ 1,112,908

Statement 5 - Form 990-PF, Part II, Line 10c - Corporate Bond Investments

Description	Beginning of Year	End of Year	Basis of Valuation	Fair Market Value
	\$ <u>22,000</u>	\$ <u>22,000</u>		\$ <u>22,000</u>
Total	\$ <u>22,000</u>	\$ <u>22,000</u>		\$ <u>22,000</u>

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box

Note. Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868

- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed)

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions MAX & RIKA KNOPF FOUNDATION	Employer identification number (EIN) or 13-3523504
	Number, street, and room or suite no. If a P O box, see instructions 3535 INDIAN CREEK DR	Social security number (SSN) 204
	City, town or post office, state, and ZIP code. For a foreign address, see instructions MIAMI BEACH FL 33140	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-BL	02
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

MAX WASSER
132 NASSAU ST #300

- The books are in the care of ► **NEW YORK** NY 10038
Telephone No ► **212-962-6100** FAX No ►
- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ► If it is for part of the group, check this box ► and attach a list with the names and EINs of all members the extension is for

4 I request an additional 3-month extension of time until **10/15/15**
 5 For calendar year **12/01/13**, and ending **11/30/14**.
 6 If the tax year entered in line 5 is for less than 12 months, check reason. Initial return Final return
 Change in accounting period
 7 State in detail why you need the extension
Additional time is requested to gather information to prepare a complete and accurate return.

8a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	8a	\$	0
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868	8b	\$	2,281
c Balance due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System) See instructions	8c	\$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature ►

Title ►

Date ► **07/13/15**

Form 8868 (Rev 1-2014)

Application for Extension of Time To File an
Exempt Organization Return

OMB No 1545-1709

► File a separate application for each return.

► Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an Automatic 3-Month Extension, complete only Part I and check this box
- If you are filing for an Additional (Not Automatic) 3-Month Extension, complete only Part II (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on e-file for Charities & Nonprofits.

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension – check this box and complete

Part I only

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

Type or print	Name of exempt organization or other filer, see instructions MAX & RIKA KNOPF FOUNDATION	Employer identification number (EIN) or 13-3523504
File by the due date for filing your return. See instructions	Number, street, and room or suite no. If a P O box, see instructions 3535 INDIAN CREEK DR	Social security number (SSN) 204
	City, town or post office, state, and ZIP code. For a foreign address, see instructions MIAMI BEACH FL 33140	

Enter the Return code for the return that this application is for (file a separate application for each return)

04

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

MAX WASSER
132 NASSAU ST #300

- The books are in the care of ► NEW YORK NY 10038

Telephone No ► 212-962-6100

FAX No ►

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) If this is for the whole group, check this box ► If it is for part of the group, check this box ► and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 07/15/15, to file the exempt organization return for the organization named above. The extension is for the organization's return for

► calendar year _____ or► tax year beginning 12/01/13, and ending 11/30/14

2 If the tax year entered in line 1 is for less than 12 months, check reason Initial return Final return
 Change in accounting period

3a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a	\$ 2,281
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b	\$ 781
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	3c	\$ 1,500

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions.

For Privacy Act and Paperwork Reduction Act Notice, see instructions.
DAA

Form 8868 (Rev. 1-2014)