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Return of Private Foundation
or Section 4947(a)(1) Trust Treated as Private Foundation

2013

Department of the Treasury
Internal Revenue Service

Do not enter Social Security numbers on this form as it may be made public.
Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013, or tax year beginning Dec 1, 2013, and ending Nov 30, 2014

Name of foundation
MARIE KENNEDY FOUNDATION

Number and street (or P.O. box number if mail is not delivered to street address)
98 LITTLE GOOSE CANYON RD

Room/suite
P.O. BOX 427

City or town, state or province, country, and ZIP or foreign postal code
BIG HORN WY 82833

G Check all that apply:

☐ Initial return	☐ Initial Return of a former public charity
☐ Final return	☐ Amended return
☐ Address change	☐ Name change

H Check type of organization: ☒ Section 501(c)(3) exempt private foundation
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year (from Part II, column (c), line 16)
\$ 7,999,368.

J Accounting method: ☒ Cash ☐ Accrual
☐ Other (specify) _____
(Part I, column (d) must be on cash basis)

A Employer identification number
13-3066903

B Telephone number (see the instructions)
(203) 769-1870

C If exemption application is pending, check here. ☐

D 1 Foreign organizations, check here. ☐
2 Foreign organizations meeting the 85% test, check here and attach computation. ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here. ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here. ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
REVENUE	1 Contributions, gifts, grants, etc., received (att sch)				
	2 Ck ☒ if the foundn is not req to att Sch B				
	3 Interest on savings and temporary cash investments.	39.	39.		
	4 Dividends and interest from securities.	168,982.	168,982.		
	5a Gross rents.				
	b Net rental income or (loss).				
	6a Net gain/(loss) from sale of assets not on line 10				
	b Gross sales price for all assets on line 6a.				
	7 Capital gain net income (from Part IV, line 2)		40,947.		
	8 Net short-term capital gain.				
	9 Income modifications.				
	10a Gross sales less returns and allowances.				
b Less Cost of goods sold.					
c Gross profit/(loss) (att sch)					
11 Other income (attach schedule)					
12 Total. Add lines 1 through 11.	169,021.	209,968.			
ADMINISTRATIVE AND EXPENSES	13 Compensation of officers, directors, trustees, etc.				
	14 Other employee salaries and wages.				
	15 Pension plans, employee benefits.				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach sch) L-16b Stmt.	17,640.			17,640.
	c Other professional fees (attach sch)				
	17 Interest.	228.	228.		
	18 Taxes (attach schedule) (see instructions)	3,800.			
	19 Depreciation (attach sch) and depletion.				
	20 Occupancy.				
	21 Travel, conferences, and meetings.				
	22 Printing and publications.				
	23 Other expenses (attach schedule) See Line 23 Stmt	8,448.	8,012.		436.
	24 Total operating and administrative expenses. Add lines 13 through 23	30,116.	8,240.		18,076.
	25 Contributions, gifts, grants paid.	434,510.			434,510.
26 Total expenses and disbursements. Add lines 24 and 25.	464,626.	8,240.		452,586.	
27 Subtract line 26 from line 12:					
a Excess of revenue over expenses and disbursements.	-295,605.				
b Net investment income (if negative, enter -0-).		201,728.			
c Adjusted net income (if negative, enter -0-).					

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Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
ASSETS	1 Cash — non-interest-bearing			
	2 Savings and temporary cash investments	623,574.	603,060.	603,060.
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach sch)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments — U.S. and state government obligations (attach schedule)			
	b Investments — corporate stock (attach schedule) . L-10b. Stmt	3,034,799.	3,044,591.	4,354,948.
	c Investments — corporate bonds (attach schedule) . L-10c. Stmt	93,112.	203,685.	202,260.
	11 Investments — land, buildings, and equipment: basis			
LIABILITIES	Less accumulated depreciation (attach schedule)			
	12 Investments — mortgage loans			
	13 Investments — other (attach schedule) . . L-13. Stmt	3,911,477.	3,556,967.	2,839,100.
	14 Land, buildings, and equipment: basis			
	Less accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers — see the instructions. Also, see page 1, item I.)	7,662,962.	7,408,303.	7,999,368.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
FUNDS AND ASSETS	20 Loans from officers, directors, trustees, & other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)			
	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. ☒			
	24 Unrestricted	7,662,962.	7,408,303.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. ☐			
	27 Capital stock, trust principal, or current funds			
NET ASSETS OR FUND BALANCES	28 Paid-in or capital surplus, or land, building, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances (see instructions)	7,662,962.	7,408,303.	
	31 Total liabilities and net assets/fund balances (see instructions)	7,662,962.	7,408,303.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year — Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	7,662,962.
2	Enter amount from Part I, line 27a	2	-295,605.
3	Other increases not included in line 2 (itemize) <u>Net capital gain (see Part IV)</u>	3	40,946.
4	Add lines 1, 2, and 3	4	7,408,303.
5	Decreases not included in line 2 (itemize)	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) — Part II, column (b), line 30	6	7,408,303.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shares MLC Company)		(b) How acquired P — Purchase D — Donation	(c) Date acquired (month, day, year)	(d) Date sold (month, day, year)
1 a	1,032 shares Golden Minerals Co.	P	04/30/09	09/19/14
b	800 shares Lonza Group AG	P	12/14/10	09/19/14
c	100,000 HSBC Finance bond due 6/1/16	P	12/09/10	07/04/14
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 427.	0.	21.	406.
b 98,510.	0.	63,898.	34,612.
c 99,041.	0.	93,112.	5,929.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) Fair Market Value as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of column (i) over column (j), if any	(l) Gains (Column (h) gain minus column (k), but not less than -0-) or Losses (from column (h))
a			406.
b			34,612.
c			5,929.
d			
e			

2 Capital gain net income or (net capital loss).	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7		2	40,947.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):	If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If 'Yes,' the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (column (b) divided by column (c))
2012	69,810.	7,607,061.	0.009177
2011	32,399.	4,719,917.	0.006864
2010	35,347.	4,647,360.	0.007606
2009	50,739.	4,080,697.	0.012434
2008	46,110.	3,024,178.	0.015247

2 Total of line 1, column (d)	2	0.051328
3 Average distribution ratio for the 5-year base period — divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.010266
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	8,017,897.
5 Multiply line 4 by line 3	5	82,312.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	2,017.
7 Add lines 5 and 6	7	84,329.
8 Enter qualifying distributions from Part XII, line 4	8	452,586.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 – see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter 'N/A' on line 1. Date of ruling or determination letter _____ (attach copy of letter if necessary – see instrs)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b		1	2,017.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, column (b)			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2.		3	2,017.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,017.
6 Credits/Payments:			
a 2013 estimated tax pmts and 2012 overpayment credited to 2013	6 a		
b Exempt foreign organizations – tax withheld at source	6 b		
c Tax paid with application for extension of time to file (Form 8868)	6 c		
d Backup withholding erroneously withheld	6 d		
7 Total credits and payments. Add lines 6a through 6d	7		
8 Enter any penalty for underpayment of estimated tax. Check here ☒ if Form 2220 is attached	8		45.
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		2,062.
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		0.
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11		
	Refunded		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1 a	X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see the instructions for definition)?	1 b	X
If the answer is 'Yes' to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.		
c Did the foundation file Form 1120-POL for this year?	1 c	X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☐ \$ (2) On foundation managers ☐ \$		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☐ \$		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If 'Yes,' attach a detailed description of the activities.	2	X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If 'Yes,' attach a conformed copy of the changes	3	X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4 a	X
b If 'Yes,' has it filed a tax return on Form 990-T for this year?	4 b	X
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If 'Yes,' attach the statement required by General Instruction T	5	X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If 'Yes,' complete Part II, column (c), and Part XV	7	X
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) WY – Wyoming		
b If the answer is 'Yes' to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If 'No,' attach explanation	8 b	X
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If 'Yes,' complete Part XIV	9	X
10 Did any persons become substantial contributors during the tax year? If 'Yes,' attach a schedule listing their names and addresses	10	X

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Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If 'Yes', attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If 'Yes,' attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address <u>N/A</u>	13	X	
14	The books are in care of <u>ANN M. MCCART c/o ESC 1700 LLC</u> Telephone no. <u>(203) 769-1873</u> Located at <u>1700 East Putnam Ave, Suite 202, Old Greenwich CT</u> ZIP + 4 <u>06870</u>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐ and enter the amount of tax-exempt interest received or accrued during the year <u>15</u>			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	X	
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If 'Yes,' enter the name of the foreign country <u>SZ</u>			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the 'Yes' column, unless an exception applies.

	Yes	No
1 a During the year did the foundation (either directly or indirectly):		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No		
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No		
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No		
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No		
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No		
(6) Agree to pay money or property to a government official? (Exception. Check 'No' if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No		
b If any answer is 'Yes' to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? ☐	1 b	
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1 c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No If 'Yes,' list the years <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer 'No' and attach statement - see instructions.)	2 b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. <u>20</u> , <u>20</u> , <u>20</u> , <u>20</u>		
3 a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No		
b If 'Yes,' did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	3 b	
4 a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4 a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4 b	X

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5 a** During the year did the foundation pay or incur any amount to:

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is 'Yes' to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?**5 b**Organizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is 'Yes' to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?☐ Yes ☐ No

If 'Yes,' attach the statement required by Regulations section 53.4945-5(d).

6 a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?**6 b**

If 'Yes' to 6b, file Form 8870

7 a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If 'Yes,' did the foundation receive any proceeds or have any net income attributable to the transaction?**7 b****Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
PETER M. KENNEDY III 100 LITTLE GOOSE CANYON, PO BOX 68 BIG HORN WY 82833	PRESIDENT 2.00	0.	0.	0.
LUKE C. DOLCE 18 HAWTHORNE LANE RYE BROOK NY 10573	V.P. 1.00	0.	0.	0.
PAUL L. KENNEDY 1121 CRANDON BLVD, F1202 KEY BISCAYNE FL 33149	V.P. 1.00	0.	0.	0.
See information about Officers, Directors, Trustees, Etc.		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1 – see instructions). If none, enter 'NONE.'

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
none				

Total number of other employees paid over \$50,000

None

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3** Five highest-paid independent contractors for professional services (see instructions). If none, enter 'NONE.'

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
none		
Total number of others receiving over \$50,000 for professional services		None

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 not applicable	
	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NOT APPLICABLE	
	0.
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3	None

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a Average monthly fair market value of securities	1 a	4,423,582.
b Average of monthly cash balances	1 b	627,065.
c Fair market value of all other assets (see instructions)	1 c	3,089,350.
d Total (add lines 1a, b, and c)	1 d	8,139,997.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1 e	15,000.
2 Acquisition indebtedness applicable to line 1 assets	2	
3 Subtract line 2 from line 1d	3	8,139,997.
4 Cash deemed held for charitable activities. Enter 1-1/2% of line 3 (for greater amount, see instructions)	4	122,100.
5 Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	8,017,897.
6 Minimum investment return. Enter 5% of line 5	6	400,895.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6	1	400,895.
2 a Tax on investment income for 2013 from Part VI, line 5	2 a	2,017.
b Income tax for 2013. (This does not include the tax from Part VI.)	2 b	
c Add lines 2a and 2b	2 c	2,017.
3 Distributable amount before adjustments. Subtract line 2c from line 1	3	398,878.
4 Recoveries of amounts treated as qualifying distributions	4	
5 Add lines 3 and 4	5	398,878.
6 Deduction from distributable amount (see instructions)	6	
7 Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	398,878.

Part XII Qualifying Distributions (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. — total from Part I, column (d), line 26	1 a	452,586.
b Program-related investments — total from Part IX-B.	1 b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the		
a Suitability test (prior IRS approval required)	3 a	
b Cash distribution test (attach the required schedule)	3 b	
4 Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	452,586.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	2,017.
6 Adjusted qualifying distributions. Subtract line 5 from line 4	6	450,569.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				398,878.
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			0.	
b Total for prior years. 20 __, 20 __, 20 __				
3 Excess distributions carryover, if any, to 2013:				
a From 2008	0.			
b From 2009	0.			
c From 2010	0.			
d From 2011	0.			
e From 2012	35,340.			
f Total of lines 3a through e	35,340.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 452,586.				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required — see instructions)				
c Treated as distributions out of corpus (Election required — see instructions)	89,048.			
d Applied to 2013 distributable amount				363,538.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	35,340.			35,340.
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	89,048.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount — see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount — see instructions			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	89,048.			
10 Analysis of line 9				
a Excess from 2009	0.			
b Excess from 2010	0.			
c Excess from 2011	0.			
d Excess from 2012	0.			
e Excess from 2013	89,048.			

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling.**b** Check box to indicate whether the foundation is a private operating foundation described in section☐ 4942(j)(3) or☐ 4942(j)(5)**2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a 'Assets' alternative test — enter:					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b 'Endowment' alternative test — enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c 'Support' alternative test — enter:					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year — see instructions.)**1 Information Regarding Foundation Managers:****a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

PETER M. KENNEDY III, PAUL L. KENNEDY, ESTATE OF PETER M. KENNEDY

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.**a** The name, address, and telephone number or e-mail of the person to whom applications should be addressed

Marie Kennedy Foundation, attn: Ann McCart

c/o ESC 1700 LLC, SUITE 202

OLD GREENWICH

CT

06870

(203) 769-1873

b The form in which applications should be submitted and information and materials they should include:

LETTER

c Any submission deadlines:

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

NONE

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
PREGNANCY HELP INC 233 WEST 14TH ST. NEW YORK NY 10011	NONE	501 (C) 3	UNRESTRICTED USE	500.
MEMORIAL SLOAN KETTERING 633 THIRD AVE, 28TH FLOOR NEW YORK NY 10164-2683	NONE	501 (C) 3	UNRESTRICTED USE	5,000.
CARITAS PC 22 DON BOSCO PLACE PORT CHESTER NY 10573	NONE	501 (C) 3	UNRESTRICTED USE	5,000.
FRIENDS OF TURKANA, INC. 111 WILLOUGHBY STREET BROOKLYN NY 11201	NONE	501 (C) 3	UNRESTRICTED USE	1,000.
FRIENDS OF TURKANA, INC. 111 WILLOUGHBY STREET BROOKLYN NY 11201	NONE	501 (C) 3	UNRESTRICTED USE	5,000.
ST VINCENTS HOSPITAL WESTCHESTER 275 NORTH STREET HARRISON NY 10528	NONE	501 (C) 3	UNRESTRICTED USE	1,900.
PORTSMOUTH ABBEY SCHOOL 285 CORY'S LANE PORTSMOUTH RI 02871	NONE	501 (C) 3	UNRESTRICTED USE	10,000.
WABASH COLLEGE P.O. BOX 352 CRAWFORDSVILLE IN 47933-0352	NONE	501 (C) 3	UNRESTRICTED USE	10,000.
NAZARETH HOUSING 519 E. 11TH ST. NEW YORK NY 10009	NONE	501 (C) 3	UNRESTRICTED USE	1,000.
See Line 3a statement				395,110.
Total ▶ 3 a				434,510.
b Approved for future payment				
Total ▶ 3 b				

Form 990-PF, Page 1, Part I, Line 23

Line 23 Stmt

Other expenses:	Rev/Exp Book	Net Inv Inc	Adj Net Inc	Charity Disb
FX REVAL (GAIN)	3,100.	3,100.		
BANK FEES	1,614.	1,526.		88.
CSC FILING FEE	323.			323.
NON RESIDENCE TAX	3,386.	3,386.		
WYOMING FILING FEE	25.			25.
WORTHLESS SECURITY WRITE OFF				
Total	<u>8,448.</u>	<u>8,012.</u>		<u>436.</u>

Form 990-PF, Page 6, Part VIII, Line 1

Information about Officers, Directors, Trustees, Etc.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Person. ☒ Business ☐ Ann M. McCart 45 Audubon Lane Shelton CT 06484	V.P. 1.75	0.	0.	0.
Person. ☒ Business ☐ JOHN S. KENNEDY 98 LITTLE GOOSE CANYON, PO BOX 632 BIG HORN WY 82833	V.P. 1.00	0.	0.	0.
Person. ☒ Business ☐ 				

Total

0. 0. 0.

Form 990-PF, Page 11, Part XV, line 3a

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
ST. JOSEPH HIGH SCHOOL 80 WILLOUGHBY ST BROOKLYN NY 11201	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 750.
SCHOOL OF THE HOLY CHILD 2225 WESTCHESTER AVE RYE NY 10580	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 600.
ST JUDES CHILDRENS HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS TN 38105-3678	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 250.
SILVER SHIELD FOUNDATION 870 UNITED NATIONS PLAZA NEW YORK NY 10017	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 500.
FRIENDS OF SISTERS OF LIFE 38 MONTEBELLO ROAD SUFFERN NY 10901	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 2,000.
WABASH COLLEGE P.O. BOX 352 CRAWFORDSVILLE IN 47933-0352	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 10,000.
PORTSMOUTH ABBEY SCHOOL 285 CORY'S LANE PORTMOUTH RI 02871	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 2,000.
GREENWICH HOSPITAL FOUNDATION 35 RIVER ROAD COS COB CT 06807	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☐ 1,000.
YALE NEW HAVEN HOSPITAL P.O. BOX 1849 NEW HAVEN CT 06508-9979	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 1,000.
ST. JUDE RESEARCH HOSPITAL 262 DANNY THOMAS PLACE MEMPHIS TN 38105-3678	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 500.
BAPTIST CHILDREN'S HOME OF NC P.O. BOX 460 BIG HORN WY 82833	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 500.
PREGNANCY HELP INC 233 WEST 14TH ST NEW YORK NY 10011	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 1,000.
ST VINCENT'S HOSPITAL WESTCHESTER 275 NORTH STREET HARRISON NY 10528	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 1,500.
ST JOSEPH HIGH SCHOOL 80 WILLOUGHBY ST BROOKLYN NY 11201	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 5,000.
BREUKELEIN INSTITUTE 560 11TH STREET BROOKLYN NY 11215	NONE	501(C)3	UNRESTRICTED USE	Person or Business ☒ 1,000.

Form 990-PF, Page 11, Part XV, line 3a

Continued

Line 3a statement

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Founda- tion status of re- cipient	Purpose of grant or contribution	Person or Business Checkbox Amount
a Paid during the year				
NAZARETH HOUSING	NONE		UNRESTRICTED USE	Person or ☐
519 E. 11TH STREET				Business ☒
NEW YORK NY 10009		501(C)3		2,000.
CHRIST THE KING CHURCH	NONE		UNRESTRICTED USE	Person or ☐
1505 EAST KIVETT DRIVE				Business ☒
HIGH POINT NC 27260-3455		501(C)3		5,000.
BRADFORD BRINTON MEMORIAL MUSEUM	NONE		UNRESTRICTED USE	Person or ☐
P.O. BOX 460				Business ☒
BIG HORN WY 82833		501(C)3		5,000.
SISTERS OF LIFE	NONE		UNRESTRICTED USE	Person or ☐
38 MONTEBELLO ROAD				Business ☒
SUFFERN NY 10901		501(C)3		1,000.
SISTERS OF LIFE	NONE		UNRESTRICTED USE	Person or ☐
38 MONTEBELLO ROAD				Business ☒
SUFFERN NY 10901		501(C)3		94,536.
FRIENDS OF TURKANA	NONE		UNRESTRICTED USE	Person or ☐
111 WILLOUGHBY STREET				Business ☒
BROOKLYN NY 11201		501(C)3		129,987.
PORTSMOUTH ABBEY SCHOOL	NONE		UNRESTRICTED USE	Person or ☐
285 CORY'S LANE				Business ☒
PORTSMOUTH RI 02871		501(C)3		129,987.

Total

395,110.

Explanation Statement

Form/Line: Form 990-PF, Page 8, Part X

Line 1e

Explanation of: Minimum Investment Return - Blockage or Other Factors Reduction

MARKET VALUE OF 11,111 SHARES OF CRUPE INTERNATIONAL A-1 REDEEMABLE

PREFERRED SHARES WAS REDUCED BY 10% (\$15,000) DUE TO THE ILLIQUID

NATURE OF THE SECURITIES (NOT PUBLICLY TRADED)

Explanation Statement

Form/Line: Form 990-PF, Page 9, Part XIII

Line 4c

Explanation of: Undistributed Income - Election to Treat Distribution as Out of Corpus

The Foundation hereby elects pursuant to Reg. Sec. 53.4942 (A)-3(d)(2) to

Explanation Statement

Continued

Form/Line: Form 990-PF, Page 9, Part XIII Line 4c
 Explanation of: Undistributed Income - Election to Treat Distribution as Out of Corpus
 apply \$89,048 of the 2013 qualifying distributions to corpus.

Form 990-PF, Page 1, Part I
 Line 16b - Accounting Fees

Name of Provider	Type of Service Provided	Amount Paid Per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
EIGHTEEN SEVENTY CORP	ACCOUNTING	17,640.			
Total		<u>17,640.</u>			

Form 990-PF, Page 2, Part II, Line 10b
 L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
3000 SHARES NEWMONT MINING	125,535.	55,200.
2000 SHARES MARKET VECTORS GOLD	48,980.	36,720.
15000 ABERDEEN ASIA PACIFIC	88,205.	87,000.
1667 SHARES DUKE ENERGY	72,161.	134,833.
1300 SHARES MARATHON PETE CORP	33,008.	117,117.
4000 SHARES AMERICAN ELECTRIC POWER	114,292.	230,200.
4205 SHARES WEYERHAEUSER	136,309.	148,479.
1500 SHARES AGNICO EAGLE MINES LTD	88,605.	35,235.
4000 SHARES AT&T	93,955.	141,520.
2000 SHARES ASTRAZENECA PLC	93,423.	148,340.
6000 SHARES BANK OF AMERICA	143,519.	102,240.
2000 SHARES CHORUS LTD ADR	22,439.	15,940.
800 SHARES HOLCIM LTD	55,385.	59,101.
2192 SHARES CITIGROUP	39,694.	118,302.
5000 SHARES DOW CHEMICAL	95,030.	243,350.
4000 SHARES EATON CORP	104,015.	271,320.
3000 SHARES ELI LILLY	100,635.	204,360.
2000 SHARES FIRST ENERGY	80,567.	73,760.
2600 SHARES MARATHON OIL	50,995.	75,192.
4000 SHARES MERCK & CO	119,363.	241,600.
6000 SHARES OGE ENERGY	73,915.	214,140.
7000 SHARES PENN WEST PETE LTD	89,643.	24,710.
8000 SHARES PEPCO	109,903.	220,000.
2000 SHARES PITNEY BOWES	41,535.	49,240.
10000 SHARES SINGAPORE AIRLINES	93,105.	83,200.
3000 SHARES VERIZON	89,264.	151,770.
3000 SHARES TECK RESOURCES	69,696.	46,530.
10000 SHARES TECO ENERGY INC	121,426.	198,300.

Form 990-PF, Page 2, Part II, Line 10b

Continued

L-10b Stmt

Line 10b - Investments - Corporate Stock:	End of Year	
	Book Value	Fair Market Value
10000 SHARES TELECOM CORP OF NEW ZEALAND	70,441.	119,000.
2500 SHARES SALVATORE FERRAGAMO	74,873.	68,175.
20 SHARES REDBANK ENERGY LTD	40,801.	136.
200 SHARES WELLS FARGO 7.5%	178,284.	244,000.
250 SHARES BANK OF AMERICA 7.25% PFD	211,584.	297,100.
3800 SHARES DEUTSCHE BANK 6.55% PFD	74,006.	98,838.
Total	3,044,591.	4,354,948.

Form 990-PF, Page 2, Part II, Line 10c

L- 10c Stmt

Line 10c - Investments - Corporate Bonds:	End of Year	
	Book Value	Fair Market Value
200,000 CLP POWER HONG KONG 4.25%	203,685.	202,260.
Total	203,685.	202,260.

Form 990-PF, Page 2, Part II, Line 13

L-13 Stmt

Line 13 - Investments - Other:	End of Year	
	Book Value	Fair Market Value
11,111 shares Redeemable A-1 Crupe Systems Int'l Holdings Ltd.	150,000.	135,000.
Crupe Framing non revolving loan USD 350,000	350,000.	350,000.
3363 Preferred units Eighteen Seventy LP	3,056,967.	2,354,100.
Total	3,556,967.	2,839,100.