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Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ **Do not enter Social Security numbers on this form as it may be made public. By law, the IRS cannot redact the information on the form.**

▶ **Information about Form 990-PF and its instructions is at *www.irs.gov/form990pf*.**

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013, or tax year beginning 12-01-2013 , and ending 11-30-2014

Name of foundation John C Griswold Foundation % FOUNDATION SOURCE	**A Employer identification number** 13-2978937
Number and street (or P O box number if mail is not delivered to street address) Foundation Source 501 Silverside Rd Suite 123 — Room/suite	**B** Telephone number (see instructions) (800) 839-1754
City or town, state or province, country, and ZIP or foreign postal code Wilmington, DE 198091377	**C** If exemption application is pending, check here ▶ ☐

G Check all that apply ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

H Check type of organization ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 15,345,930

J Accounting method ☑ Cash ☐ Accrual ☐ Other (specify) ______ *(Part I, column (d) must be on cash basis.)*

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1	Contributions, gifts, grants, etc , received (attach schedule)	0			
	2	Check ▶ ☑ if the foundation is **not** required to attach Sch B				
	3	Interest on savings and temporary cash investments	345	345		
	4	Dividends and interest from securities	330,868	330,868		
	5a	Gross rents				
	b	Net rental income or (loss) ______				
	6a	Net gain or (loss) from sale of assets not on line 10	1,232,774			
	b	Gross sales price for all assets on line 6a 4,137,097				
	7	Capital gain net income (from Part IV, line 2)		1,232,774		
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
	11	Other income (attach schedule)				
	12	**Total.** Add lines 1 through 11	1,563,987	1,563,987		
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)	1,063	0	0	1,063
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)	134,494	134,494		
	17	Interest				
	18	Taxes (attach schedule) (see instructions)	31,970	541		
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings	37,266			37,266
	22	Printing and publications				
	23	Other expenses (attach schedule)	58,123	300		57,823
	24	**Total operating and administrative expenses.** Add lines 13 through 23	262,916	135,335	0	96,152
	25	Contributions, gifts, grants paid	739,240			739,240
	26	**Total expenses and disbursements.** Add lines 24 and 25	1,002,156	135,335	0	835,392
	27	Subtract line 26 from line 12				
	a	**Excess of revenue over expenses and disbursements**	561,831			
	b	**Net investment income** (if negative, enter -0-)		1,428,652		
	c	**Adjusted net income** (if negative, enter -0-)				

Part II	Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year (a) Book Value	End of year (b) Book Value	(c) Fair Market Value
Assets 1	Cash—non-interest-bearing			
2	Savings and temporary cash investments	526,539	309,804	309,804
3	Accounts receivable ▶ Less allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
7	Other notes and loans receivable (attach schedule) ▶ Less allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments—U S and state government obligations (attach schedule)	843,271	794,244	842,920
b	Investments—corporate stock (attach schedule)	7,564,790	8,436,007	12,075,059
c	Investments—corporate bonds (attach schedule)	2,113,457	2,069,833	2,118,147
11	Investments—land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
12	Investments—mortgage loans			
13	Investments—other (attach schedule)			
14	Land, buildings, and equipment basis ▶ Less accumulated depreciation (attach schedule) ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers—see the instructions Also, see page 1, item I)	11,048,057	11,609,888	15,345,930
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable (attach schedule)			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)		0	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds			
28	Paid-in or capital surplus, or land, bldg , and equipment fund			
29	Retained earnings, accumulated income, endowment, or other funds	11,048,057	11,609,888	
30	**Total net assets or fund balances** (see page 17 of the instructions)	11,048,057	11,609,888	
31	**Total liabilities and net assets/fund balances** (see page 17 of the instructions)	11,048,057	11,609,888	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	11,048,057
2	Enter amount from Part I, line 27a	2	561,831
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	11,609,888
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	11,609,888

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr)	(d) Date sold (mo , day, yr)
1 a	Publicly-traded Securities			
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	4,137,097		2,904,323	1,232,774
b				
c				
d				
e				

	Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) **or** Losses (from col (h))
	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	
a				1,232,774
b				
c				
d				
e				

2	Capital gain net income or (net capital loss)	If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	2	1,232,774
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	772,356	13,676,877	0 056472
2011	746,181	12,691,206	0 058795
2010	742,660	12,955,754	0 057323
2009	801,940	12,509,839	0 064105
2008	856,413	11,269,921	0 075991

2	**Total** of line 1, column (d).	2	0 312686
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . .	3	0 062537
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5.	4	14,819,530
5	Multiply line 4 by line 3.	5	926,769
6	Enter 1% of net investment income (1% of Part I, line 27b).	6	14,287
7	Add lines 5 and 6.	7	941,056
8	Enter qualifying distributions from Part XII, line 4.	8	835,392

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1 Date of ruling or determination letter ________ **(attach copy of letter if necessary–see instructions)**		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☐ and enter 1% of Part I, line 27b	1	28,573
c	All other domestic foundations enter 2% of line 27b Exempt foreign organizations enter 4% of Part I, line 12, col (b)		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2	
3	Add lines 1 and 2	3	28,573
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3 If zero or less, enter -0-	5	28,573
6	Credits/Payments		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 24,600		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments Add lines 6a through 6d	7	24,600
8	Enter any **penalty** for underpayment of estimated tax Check here ☑ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	3,973
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be **Credited to 2014 estimated tax** ▶ **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		No
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)?	1b		No
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		No
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year **(1)** On the foundation ▶ $ ________ **(2)** On foundation managers ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		No
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		No
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		No
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		No
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either ● By language in the governing instrument, or ● By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	Yes	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV.*	7	Yes	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶NY			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? *If "No," attach explanation*	8b	Yes	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		No
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses.*	10		No

Part VII-A Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	**11**		**No**
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	**12**		**No**
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	**13**	**Yes**	

Website address ▶

14 The books are in care of ▶FOUNDATION SOURCE Telephone no ▶(800) 839-1754

Located at ▶501 Silverside Road Suite 123 Wilmington DE ZIP +4 ▶198091377

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** —Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ | **15** |

			Yes	**No**
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	**16**		**No**
	See instructions for exceptions and filing requirements for Form TD F 90-22 1 If "Yes", enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.		**Yes**	**No**
1a	During the year did the foundation (either directly or indirectly)			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ **Yes** ☑ **No**			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ **Yes** ☑ **No**			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ **Yes** ☑ **No**			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☑ **Yes** ☐ **No**			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ **Yes** ☑ **No**			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) ☐ **Yes** ☑ **No**			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53 4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?	**1b**		**No**
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	**1c**		**No**
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ **Yes** ☑ **No**			
	If "Yes," list the years ▶ 20____ , 20____ , 20____ , 20____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions)	**2b**		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here ▶ 20____ , 20____ , 20____ , 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ **Yes** ☑ **No**			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969, **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	**3b**		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	**4a**		**No**
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	**4b**		**No**

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a	During the year did the foundation pay or incur any amount to			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☑ Yes ☐ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53 4945 or in a current notice regarding disaster assistance (see instructions)?	**5b**		No
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☑ Yes ☐ No			
	If "Yes," attach the statement required by Regulations section 53.4945–5(d).			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	**6b**		No
	If "Yes" to 6b, file Form 8870.			
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?	**7b**		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
See Additional Data Table				

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances

Total number of other employees paid over $50,000 ▶

Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than $50,000	**(b)** Type of service	**(c)** Compensation
UBS Financial Services 8044 Montgomery Road CINCINNATI,OH 45236	Investment Managemen	121,145
Foundation Source 55 Walls Drive suite 302 Fairfield,CT 06824	Administrative	57,073
Total number of others receiving over $50,000 for professional services. . . . . ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See page 24 of the instructions 3	
Total. Add lines 1 through 3 . . . . ▶	

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities	1a	14,558,103
b	Average of monthly cash balances	1b	487,105
c	Fair market value of all other assets (see instructions)	1c	0
d	**Total** (add lines 1a, b, and c)	1d	15,045,208
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	0
3	Subtract line 2 from line 1d	3	15,045,208
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	225,678
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3 Enter here and on Part V, line 4	5	14,819,530
6	**Minimum investment return.** Enter 5% of line 5	6	740,977

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	740,977
2a	Tax on investment income for 2013 from Part VI, line 5	2a	28,573		
b	Income tax for 2013 (This does not include the tax from Part VI)	2b			
c	Add lines 2a and 2b			2c	28,573
3	Distributable amount before adjustments Subtract line 2c from line 1			3	712,404
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	712,404
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1			7	712,404

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26	1a	835,392
b	Program-related investments—total from Part IX-B	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	0
b	Cash distribution test (attach the required schedule)	3b	0
4	**Qualifying distributions.** Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	835,392
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	835,392

Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

		(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7					712,404
2 Undistributed income, if any, as of the end of 2013					
a Enter amount for 2012 only.					
b Total for prior years 2011 , 2010 , 2009					
3 Excess distributions carryover, if any, to 2013					
a From 2008.	297,407				
b From 2009.	182,470				
c From 2010.	112,902				
d From 2011.	124,972				
e From 2012.	108,741				
f **Total** of lines 3a through e.		826,492			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ $ 835,392					
a Applied to 2012, but not more than line 2a					
b Applied to undistributed income of prior years (Election required—see instructions).					
c Treated as distributions out of corpus (Election required—see instructions).					
d Applied to 2013 distributable amount.					712,404
e Remaining amount distributed out of corpus		122,988			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*					
6 **Enter the net total of each column as indicated below:**					
a Corpus Add lines 3f, 4c, and 4e Subtract line 5		949,480			
b Prior years' undistributed income Subtract line 4b from line 2b					
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed.					
d Subtract line 6c from line 6b Taxable amount —see instructions					
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions					
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014					0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)					
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions) . . .		297,407			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a		652,073			
10 Analysis of line 9					
a Excess from 2009. . . .	182,470				
b Excess from 2010. . . .	112,902				
c Excess from 2011. . . .	124,972				
d Excess from 2012. . . .	108,741				
e Excess from 2013. . . .	122,988				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . . . . ▶

b Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	**(b)** 2012	**(c)** 2011	**(d)** 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test—enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c "Support" alternative test—enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . .					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the organization had $5,000 or more in assets at any time during the year—see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ▶☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number of the person to whom applications should be addressed

b The form in which applications should be submitted and information and materials they should include

c Any submission deadlines

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year* See Additional Data Table				
Total . . . . ▶ **3a**				739,240
b *Approved for future payment*				
Total . . . . ▶ **3b**				

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions)
1 Program service revenue	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	345	
4 Dividends and interest from securities			14	330,868	
5 Net rental income or (loss) from real estate					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	1,232,774	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue a					
b					
c					
d					
e					
12 Subtotal Add columns (b), (d), and (e)				1,563,987	

13 **Total.** Add line 12, columns (b), (d), and (e) **13** 1,563,987

(See worksheet in line 13 instructions to verify calculations)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a Transfers from the reporting foundation to a noncharitable exempt organization of			
(1) Cash.	1a(1)		No
(2) Other assets.	1a(2)		No
b Other transactions			
(1) Sales of assets to a noncharitable exempt organization.	1b(1)		No
(2) Purchases of assets from a noncharitable exempt organization.	1b(2)		No
(3) Rental of facilities, equipment, or other assets.	1b(3)		No
(4) Reimbursement arrangements.	1b(4)		No
(5) Loans or loan guarantees.	1b(5)		No
(6) Performance of services or membership or fundraising solicitations.	1b(6)		No
c Sharing of facilities, equipment, mailing lists, other assets, or paid employees.	1c		No

d If the answer to any of the above is "Yes," complete the following schedule Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received

(a) Line No	**(b)** Amount involved	**(c)** Name of noncharitable exempt organization	**(d)** Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?. ☐ Yes ☑ No

b If "Yes," complete the following schedule

(a) Name of organization	**(b)** Type of organization	**(c)** Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer or fiduciary) is based on all information of which preparer has any knowledge

******	2015-04-05	******
Signature of officer or trustee	Date	Title

May the IRS discuss this return with the preparer shown below (see instr)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's Signature	Date	Check if self-employed ☐	PTIN
Jeffrey D Haskell				P01345770
Firm's name ▶ Foundation Source			Firm's EIN ▶	
Firm's address ▶ One Hollow Ln Ste 212 Lake Success, NY 11042			Phone no (800) 839-1754	

Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	**(b)** Title, and average hours per week devoted to position	**(c)** Compensation **(If not paid, enter -0-)**	**(d)** Contributions to employee benefit plans and deferred compensation	**(e)** Expense account, other allowances
Allyson Griswold Dayak Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0		
Christopher B Earls Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0		
David Earls Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP* / Trustee / Pres 1 0	0		
Jeffrey W Earls Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Sec / Trustee 1 0	0		
John G Earls Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Treas / Trustee* 1 0	0		
John V Earls Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0		
Kit Earls Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0		
Michael G Earls Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Trustee 1 0	0		
Mark Griswold Foundation Source 501 Silverside Rd Wilmington, DE 198091377	Pres* / Trustee 1 0	0		
D Ross Griswold Jr Foundation Source 501 Silverside Rd Wilmington, DE 198091377	VP / Trustee 1 0	0		

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
AIDS SERVICE FOUNDATION OF GREATER KANSAS CITY PO BOX 32192 KANSAS CITY, MO 64171	N/A	PC	General & Unrestricted	5,000
ARTS CORPS 4408 DELRIDGE WAY SW STE 110 SEATTLE, WA 98106	N/A	PC	Youth Speaks Program	6,000
BIKE WORKS SEATTLE 3709 S FERDINAND ST SEATTLE, WA 98118	N/A	PC	General & Unrestricted	6,000
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH, CA 92649	N/A	PC	Table and Give Aways	4,000
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH, CA 92649	N/A	PC	Grant Request Program	40,000
BOLSA CHICA CONSERVANCY 3842 WARNER AVE HUNTINGTON BEACH, CA 92649	N/A	PC	Endowment Scholarship fund	5,000
BUYAMBA INC 587 N VENTU PARK RD E410 NEWBURY PARK, CA 91320	N/A	PC	General & Unrestricted	15,000
CINCINNATI BALLET COMPANY INC 1555 CENTRAL PKWY CINCINNATI, OH 45214	N/A	PC	General & Unrestricted	25,000
CINCINNATI MUSICAL FESTIVAL ASSOC 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	5,000
CINCINNATI OPERA ASSOCIATION 1243 ELM ST MUSIC HALL CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	31,000
CINCINNATI SYMPHONY ORCHESTRA 1241 ELM ST CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	10,000
CONSPIRARE INC 505 E HUNTLAND DR STE 155 AUSTIN, TX 78752	N/A	PC	General & Unrestricted	3,000
DIRECT CONNECTIONS TO AFRICA INC 1278 GLENNEYRE STE 47 LAGUNA BEACH, CA 92651	N/A	PC	General & Unrestricted	25,000
DRAGONFLY DEVELOPMENT RESOURCES INC 321 S MAIN ST PROVIDENCE, RI 02903	N/A	PF	Expenditure Responsibility Grant	35,000
FAMILY REENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	PC	General & Unrestricted	10,000
Total . . . . ▶ **3a**				739,240

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
FAMILY REENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	PC	Champions Youth Mentoring Gobetrotter Tickets Program	600
FAMILY REENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	PC	Charitable Event	30,000
FAMILY REENTRY INC 75 WASHINGTON AVE BRIDGEPORT, CT 06604	N/A	PC	Year End Ask Event	20,000
FIRST STEP HOME INC 2203 FULTON AVE CINCINNATI, OH 45206	N/A	PC	General & Unrestricted	5,000
FRIENDS AND FOUNDATION ST HELENA PUBLIC LIBRARY 1492 LIBRARY LN SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	1,500
GRACE EPISCOPAL CHURCH 1314 SPRING ST ST HELENA, CA 94574	N/A	PC	General & Unrestricted	2,500
HEART OF AMERICA SHAKESPEARE FESTIVAL 3619 BROADWAY KANSAS CITY, MO 64111	N/A	PC	General & Unrestricted	36,420
HORIZONS AT GREENS FARMS ACADEMY 35 BEACHSIDE AVE GREEN FARMS, CT 06436	N/A	PC	General & Unrestricted	5,000
KANSAS CITY ACTORS THEATRE INC PO BOX 22510 KANSAS CITY, MO 64113	N/A	PC	General & Unrestricted	10,000
KANSAS CITY COMMUNITY GARDENS INC 6917 KENSINGTON AVE KANSAS CITY, MO 64132	N/A	PC	The Giving Grove Program	5,000
LINTON INCORPORATED 1241 ELM ST CINCINNATI MUSIC HALL CINCINNATI, OH 45202	N/A	PC	General & Unrestricted	5,000
MILLIKIN UNIVERSITY 1184 W MAIN ST DECATUR, IL 62522	N/A	PC	Transform MU Campaign	30,000
MILLIKIN UNIVERSITY 1184 W MAIN ST DECATUR, IL 62522	N/A	PC	Exercise Science & Sports Pavilion	30,000
NATIONAL MULTIPLE SCLEROSIS SOCIETY 4440 LAKE FOREST DR STE 120 BLUE ASH, OH 45242	N/A	PC	General & Unrestricted	1,000
NIMBUS ARTS 888 COLLEGE AVE SAINT HELENA, CA 94574	N/A	PC	General & Unrestricted	10,000
Total . . . . ▶ **3a**				739,240

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
NORTHERN NEW MEXICO RADIO FOUNDATION 6401 S RICHARDS AVE SANTA FE,NM 87508	N/A	PC	General & Unrestricted	4,220
POSITIVE DIRECTIONS-CTR FOR PREVENTION & RECOVERY 420 POST RD W WESTPORT,CT 06880	N/A	PC	General & Unrestricted	6,000
POWELL GARDENS INC 1609 NW US HWY 50 KINGSVILLE,MO 64061	N/A	PC	General & Unrestricted	20,000
PROSPECT HOUSE INC 682 HAWTHORNE AVE CINCINNATI,OH 45205	N/A	PC	General & Unrestricted	35,000
SANTA MARIA COMMUNITY SERVICES 617 STEINER ST CINCINNATI,OH 45204	N/A	PC	General & Unrestricted	3,000
SEATTLE INSIGHT MEDITATION SOCIETY 2729 6TH AVE S 101 SEATTLE,WA 98134	N/A	PC	General & Unrestricted	4,000
SOUTHPORT CONG CHURCH - UNITED CHURCH OF CHRIST PO BOX 366 SOUTHPORT,CT 06890	N/A	PC	General & Unrestricted	12,500
ST HELENA MONTESSORI SCHOOL INC 880 COLLEGE AVE SAINT HELENA,CA 94574	N/A	PC	General & Unrestricted	85,000
STEPHEN C MCCULLAGH FUND 1478 RAILROAD AVE SAINT HELENA,CA 94574	N/A	PC	General & Unrestricted	5,000
THE CONNECTICUT AUDUBON SOCIETY INCORPORATED 2325 BURR ST FAIRFIELD,CT 06824	N/A	PC	General & Unrestricted	7,500
THE CONNECTICUT AUDUBON SOCIETY INCORPORATED 2325 BURR ST FAIRFIELD,CT 06824	N/A	PC	Charitable Event	2,500
THEATER LEAGUE INC 9140 WARD PKWY KANSAS CITY,MO 64114	N/A	PC	WaterFire KC Program	1,000
UNICORN THEATRE 3828 MAIN ST KANSAS CITY,MO 64111	N/A	PC	General & Unrestricted	25,000
UNIVERSITY OF CALIFORNIA IRVINE FOUNDATION 100 THEORY STE 250 IRVINE,CA 92617	N/A	PC	Orthopaedic Biomechanics Lab in the Department of Orthopaedic Surgery	15,000
UNIVERSITY OF CINCINNATI FOUNDATION PO BOX 19970 CINCINNATI,OH 45219	N/A	PC	The Waddell Center for Multiple Sclerosis	20,000
Total . . . . ▶ **3a**				739,240

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a *Paid during the year*				
UNIVERSITY OF SAN DIEGO 5998 ALCALA PARK SAN DIEGO,CA 92110	N/A	PC	Daniel Burkett Memorial Scholarship Fund	5,000
VOCAL ARTS ENSEMBLE OF CINCINNATI 1241 ELM ST CINCINNATI,OH 45202	N/A	PC	General & Unrestricted	30,000
VOLUNTEER CENTER OF GREATER ORANGE COUNTY - oneoc 1901 E 4TH ST STE 100 SANTA ANA,CA 92705	N/A	PC	Orange County Marine Protected Area	15,000
WOMANS ART CLUB OF CINCINNATI FOUNDATION 6980 CAMBRIDGE AVE CINCINNATI,OH 45227	N/A	PC	General & Unrestricted	6,500
WWP INC - WOUNDED WARRIOR PROJECT INC 4899 BELFORT RD STE 300 JACKSONVILLE,FL 32256	N/A	PC	General & Unrestricted	10,000
YOUNG LIFE PO BOX 520 COLORADO SPRINGS,CO 80901	N/A	PC	San Clemente Young Life Division	5,000
YOUNG LIFE PO BOX 520 COLORADO SPRINGS,CO 80901	N/A	PC	San Clemente Young Life Division	5,000
Total . . . . ▶ **3a**				739,240

TY 2013 Compensation Explanation

Name: John C Griswold Foundation

EIN: 13-2978937

Person Name	Explanation
Christopher B Earls	*Removed from foundation in 1/2014
David Earls	*Removed from position in 9/2014
John G Earls	*Resigned as Trustee in 9/2014
Mark Griswold	*Removed from position in 9/2014

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Depreciation Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Note: To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

TY 2013 Expenditure Responsibility Statement

Name: John C Griswold Foundation

EIN: 13-2978937

Grantee's Name	Grantee's Address	Grant Date	Grant Amount	Grant Purpose	Amount Expended By Grantee	Any Diversion By Grantee?	Dates of Reports By Grantee	Date of Verification	Results of Verification
DRAGONFLY DEVELOPMENT RESOURCES INC	321 South Main Street Suite 301 Providence, RI 02903	2013-09-13	25,000	To help fund the Purple Crayon Project	25,000	NO	7/22/14		None Necessary
DRAGONFLY DEVELOPMENT RESOURCES INC	321 South Main Street Suite 301 Providence, RI 02903	2014-09-17	35,000	To help fund the Purple Crayon Project		NO	Expected in 2015		None Necessary

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TY 2013 Investments Corporate Bonds Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Name of Bond	End of Year Book Value	End of Year Fair Market Value
AT&T INC GLBL NT - 3.000% - 02	149,646	149,286
BERKSHIRE HATHAWAY FIN CORP -	53,564	56,386
BLACKROCK INC FR 3.375% - 06/0	159,686	155,345
CATERPILLAR INC NT - 5.700% -	151,165	162,791
CISCO SYSTEMS INC - 5.500% - 0	156,693	159,072
CITIGROUP INC - 2.650% - 03/02	50,075	50,274
COMCAST CORP BOND - 2.85% - 01	149,684	149,536
DEERE JOHN CAP CORP - 2.80% -	95,850	99,826
GE - 5.250% - 12/06/2017	190,806	194,372
GOLDMAN SACHS GROUP INC - 2.37	100,683	101,801
GOLDMAN SACHS GRP INC - 5.125%	52,911	50,270
JPMORGAN CHASE & CO - 3.450% -	101,917	103,232
MERRILL LYNCH & CO NOTES - 5.0	192,253	201,058
MORGAN STANLEY - 2.125% - 04/2	24,882	25,115
MORGAN STANLEY - 2.5% - 01/24/	50,736	50,587
ORACLE CORP - 5.250% - 01/15/2	73,759	79,003
PEPSICO INC NOTES - 4.500% - 0	156,801	167,286
UNITED PARCEL SRVC NT - 5.500%	105,142	112,291
WELLS FARGO CO MTN BE - 3.625%	53,580	50,616

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TY 2013 Investments Corporate Stock Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ABERCROMBIE & FITCH	107,267	98,234
AETNA INC.	62,342	124,840
AGCO CP	90,387	73,833
ALKERMES	9,160	15,846
ALLIANCE DATA SYSTEM CORP	39,016	120,637
ALLY FINANCIAL INC	68,689	65,514
ALTERA CORPORATION	11,807	22,121
AMAZON COM	89,529	98,206
AMERICAN EAGLES OUTFITTERS INC	135,778	155,876
AMERICAN TOWER REIT INC	7,552	19,427
AMERIPRISE FINANCIAL INC	14,283	35,841
AMERISOURCEBERGEN CORP	17,936	36,420
AMETEK INC.	9,775	31,340
AMPHENOL CORPORATION	12,830	26,279
ANADARKO PETROLEUM CORP	71,637	81,129
ANSYS INC.	6,634	18,124
APACHE CORPORATION	48,546	39,415
APPLE INC.	7,803	168,286
ASHLAND INC.	15,507	17,222
ATLAS AIR WORLDWIDE HOLDINGS	76,656	83,996
AUTOMATIC DATA PROCESSING INC.	59,981	115,614
AUTONATION INC	13,369	21,636
AUTOZONE INC	8,514	56,616
AVAGO TECHNOLOGIES LIMITED	10,202	40,722
AVALONBAY CMNTYS INC	31,158	40,037
AVNET INC.	85,249	123,707
BANK OF AMERICA CORP	85,150	96,872
BARCLAYS ETN PLUS SELECT MLP	413,200	465,599
BED BATH & BEYOND INC.	13,648	26,487
BERRY PLASTICS GROUP INC	11,373	19,361

Name of Stock	End of Year Book Value	End of Year Fair Market Value
BIG LOTS INC	94,161	154,178
BLACKROCK INC	24,330	49,912
BOEING CO	42,761	77,257
BP PLC SPONSORED ADR	95,166	81,589
BROWN FORMAN CORP CL B	8,921	23,971
CAPITAL ONE FINANCIAL CORP	50,164	80,704
CARBO CERAMICS INC	58,903	42,034
CARDTRONICS INC	19,386	24,945
CATAMARAN CORP	10,487	20,223
CELANESE CORPORATION	10,742	20,063
CHARTER COMMUNICATIONS	6,334	14,255
CHECK POINT SOFTWARE TECHNOLOG	48,551	84,964
CHESAPEAKE ENERGY CORPORATION	71,264	66,959
CHURCH & DWIGHT	9,082	23,397
CISCO SYSTEMS INC	25,946	88,724
CITIGROUP INC	57,855	71,240
CITRIX SYSTEMS INC	65,580	69,626
COGNIZANT TECHNOLOGY SOLUTIONS	11,958	53,612
COLGATE-PALMOLIVE COMPANY	55,737	60,195
COMCAST CORP CL A	87,330	140,889
COMMUNITY HEALTH	60,656	117,935
CONCHO RESOURCES INC	7,980	15,716
COVIDIEN LTD	78,977	142,410
CROWN HOLDINGS INC	13,380	19,454
CVS CAREMARK CORP.	41,805	94,101
DANAHER CORP	52,359	101,108
DELPHI AUTOMOTIVE PLC	15,246	16,268
DICK'S SPORTING GDS	6,286	15,942
DIRECTV GROUP	23,124	41,224
DISCOVERY COMMUNICATIONS, INC	9,351	19,386

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DOLLAR GENERAL CORP	53,955	75,750
DOLLAR TREE INC.	6,532	37,461
EATON CORP PLC	64,121	93,605
EMC CORP-MASS	64,232	81,035
EPAM SYSTEMS INC.	16,223	24,545
EQT CORPORATION	36,870	57,590
EQUINIX, INC.	8,934	22,263
EXPRESS SCRIPTS HOLDING CO.	79,330	147,758
F M C CP	10,978	15,558
FIDELITY NATIONAL INFORMATION	35,738	71,776
FIRST NIAGARA FINANCIAL GROUP	106,668	98,081
FISERV INC	55,291	129,611
FLUOR CORP	12,337	13,700
FOOT LOCKER INC N.Y.	13,679	24,921
GAMESTOP CORP	9,772	15,994
GENERAL ELECTRIC CO	20,625	108,212
GOOGLE INC CL A	17,099	35,690
GOOGLE INC CL C	65,890	89,402
GRAINGER W W INC.	8,483	27,025
HARTFORD FINANCIALSERVICES GRO	86,878	139,181
HCA INC	16,232	17,562
HEALTH NET INC	56,910	88,117
HEALTHSOUTH CP	12,248	24,513
HOME DEPOT INC.	96,814	124,747
HUBBELL CLASS B	9,187	9,292
HUMANA INC	7,539	24,697
IHS INC	15,532	16,899
ING US INC	123,444	145,324
INT'L FLAVORS & FRAGRANCES INC	16,585	18,716
INTERCONTINETAL EXCHANGE INC	10,709	24,859

Name of Stock	End of Year Book Value	End of Year Fair Market Value
INTERNATIONAL PAPER CO.	55,603	118,673
ISHARES MSCI EMERGING MARKETS	286,373	332,000
ISHARES MSCI PACIFIC EX-JAPAN	394,250	558,599
ISHARES TRUST MSCI EAFE INDEX	199,585	191,970
JAZZ PHARMACEUTICALS INC	15,322	18,594
JOHNSON & JOHNSON	47,587	75,775
JOHNSON CONTROLS INC.	53,156	93,750
JONES LANG LASALLE	3,953	18,062
JP MORGAN CHASE & CO	188,795	231,014
KANSAS CITY SOUTHERN	5,903	18,911
KENNAMETAL INC	101,749	93,155
KEYCORP	84,612	118,395
LKQ CORPORATION	17,107	18,708
MACY'S INC	78,373	119,110
MARATHON OIL CORP COM	65,645	52,490
MARRIOTT INTERNATIONAL INC. CL	14,149	34,825
MEDIVATION, INC.	21,630	36,389
MEDNAX, INC.	16,315	33,385
MEDTRONIC INC	31,723	36,196
MERCK & CO INC.	49,616	84,862
METLIFE INC.	67,426	122,898
MICROCHIP TECHNOLOGY INC.	15,560	28,490
MICROSOFT CORPORATION	63,533	110,919
MOHAWK INDS INC	10,258	20,888
MONSTER BEVERAGE CORP	4,917	38,916
MYLAN INC.	23,006	26,726
MYRIAD GENETICS, INC	27,860	33,027
NEWMONT MINING CORP	36,896	24,196
NOBLE ENERGY INC.	10,331	11,705
NXP SEMICONDUCTORS	18,144	37,115

Name of Stock	End of Year Book Value	End of Year Fair Market Value
OMNICOM GROUP	71,398	78,429
ORACLE CORP	68,998	116,840
PANERA BREAD CO. CL. A.	8,075	16,573
PBF ENERGY INC	104,632	126,181
PENTAIR INC.	18,385	15,466
PEPSICO INC	37,928	72,573
PETSMART INC.	6,805	19,217
PIONEER NAT RES CO	15,168	20,768
POLARIS INDUSTRIES	16,853	21,156
PRAXAIR INC.	62,870	88,582
PRUDENTIAL FINCL INC	91,999	87,529
REGENERON PHARMACEUTICALS, INC	7,916	18,725
RIO TINTO PLC SPONSORED ADR	115,079	102,986
ROCKWOOD HOLDINGS INC	4,910	15,434
ROPER INDUSTRIES	14,993	32,984
ROSS STORES, INC.	20,921	30,554
SBA COMMUNICATIONS	15,468	33,824
SIMON PPTY GROUP INC	56,654	68,704
SKYWORKS SOLUTIONS, INC	9,053	26,718
SPIRIT AEROSYSTEMS HOLDINGS CL	90,182	134,934
STERICYCLE INC	3,798	22,561
STRYKER CORPORATION	37,067	75,722
SYMANTEC CORP	110,084	139,582
T. ROWE PRICE ASSOCIATES	11,174	19,449
TARGET CORPORATION	127,004	160,580
TD AMERITRADE HOLDING CORP	18,802	20,247
TE CONNECTIVITY LTD	9,608	11,299
TEAM HEALTH HOLDINGS, INC	16,768	27,551
TERADATA CORPORATION	39,861	34,532
TEVA PHARMECEUTICAL SP ADR	95,202	133,618

Name of Stock	End of Year Book Value	End of Year Fair Market Value
TEXTRON INC.	73,556	112,415
TIDEWATER INC.	164,192	105,403
TIMKEN CO COM	8,850	11,639
TITAN INTERNATIONAL INC - ILL	55,414	31,136
TJX COMPANIES INC	68,034	113,134
TRACTOR SUPPLY CO COM	11,829	21,156
TRIUMPH GROUP INC	127,258	135,848
UNION PACIFIC	31,692	54,882
UNITED TECHNOLOGIES CORP	49,758	82,010
UNITEDHEALTH GROUP INC.	48,309	105,534
UNIVERSAL HLTH SVC B	22,749	26,050
US BANCORP	84,243	103,428
V F CORP	25,927	67,653
VANTIV INC - CLASS A	18,507	27,431
VERIZON COMMUNICATIONS	96,883	100,674
VISA INC	56,823	104,051
WABTEC	14,285	15,397
WALT DISNEY HOLDINGS CO.	28,987	43,017
WELLS FARGO & CO.	80,349	149,820
WEX, INC.	14,489	23,294
WHIRLPOOL CORP	14,317	25,319
WILLIAMS COS	84,889	127,305

TY 2013 Investments Government Obligations Schedule

Name:	John C Griswold Foundation
EIN:	13-2978937
US Government Securities - End of Year Book Value:	794,244
US Government Securities - End of Year Fair Market Value:	842,920
State & Local Government Securities - End of Year Book Value:	
State & Local Government Securities - End of Year Fair Market Value:	

TY 2013 Investments - Land Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

TY 2013 Land, Etc. Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

TY 2013 Legal Fees Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
General Consultations	1,063			1,063

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TY 2013 Other Expenses Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Administrative Fees	57,073			57,073
Bank Charges	300	300		
State or Local Filing Fees	750			750

TY 2013 Other Professional Fees Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
Investment Management Services	134,494	134,494		

TY 2013 Taxes Schedule

Name: John C Griswold Foundation

EIN: 13-2978937

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
990-PF Estimated Tax for 2014	24,600			
990-PF Excise Tax for 2013	6,829			
Foreign Tax Paid	541	541		