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## Form 990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning DEC 1, 2013, and ending NOV 30, 2014

Name of foundation  
**CHARLES H. KAMAN CHARITABLE FOUNDATION INC.**

Number and street (or P O box number if mail is not delivered to street address) Room/suite  
**C/O MURTHA CULLINA LLP, 185 ASYLUM ST**

City or town, state or province, country, and ZIP or foreign postal code  
**HARTFORD, CT 06103**

**G** Check all that apply ☐ Initial return ☐ Initial return of a former public charity  
☐ Final return ☐ Amended return  
☐ Address change ☐ Name change

**H** Check type of organization ☒ Section 501(c)(3) exempt private foundation  
☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

**I** Fair market value of all assets at end of year (from Part II, col (c), line 16)  
**\$ 11,585,435.** (Part I, column (d) must be on cash basis.)

**J** Accounting method ☒ Cash ☐ Accrual  
☐ Other (specify) \_\_\_\_\_

**A** Employer identification number  
**06-1386119**

**B** Telephone number  
**(860) 240-6000**

**C** If exemption application is pending, check here ☐

**D 1.** Foreign organizations, check here ☐

**2.** Foreign organizations meeting the 85% test, check here and attach computation ☐

**E** If private foundation status was terminated under section 507(b)(1)(A), check here ☐

**F** If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
<b>1</b> Contributions, gifts, grants, etc., received				N/A	
<b>2</b> Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch B					
<b>3</b> Interest on savings and temporary cash investments					
<b>4</b> Dividends and interest from securities		307,499.	307,499.		STATEMENT 1
<b>5a</b> Gross rents					
<b>b</b> Net rental income or (loss)					
<b>6a</b> Net gain or (loss) from sale of assets not on line 10		222,534.			
<b>b</b> Gross sales price for all assets on line 6a		786,991.			
<b>7</b> Capital gain net income (from Part IV, line 2)			222,534.		
<b>8</b> Net short-term capital gain					
<b>9</b> Income modifications					
<b>10a</b> Gross sales less returns and allowances					
<b>b</b> Less Cost of goods sold					
<b>c</b> Gross profit or (loss)					
<b>11</b> Other income					
<b>12</b> Total. Add lines 1 through 11		530,033.	530,033.		
<b>13</b> Compensation of officers, directors, trustees, etc.		36,000.	0.		0.
<b>14</b> Other employee salaries and wages					
<b>15</b> Pension plans, employee benefits					
<b>16a</b> Legal fees STMT 2		78,310.	12,880.		78,310.
<b>b</b> Accounting fees STMT 3		8,900.	8,900.		8,900.
<b>c</b> Other professional fees STMT 4		150.	150.		0.
<b>17</b> Interest					
<b>18</b> Taxes STMT 5		10,462.	4,462.		0.
<b>19</b> Depreciation and depletion					
<b>20</b> Occupancy					
<b>21</b> Travel, conferences, and meetings					
<b>22</b> Printing and publications					
<b>23</b> Other expenses STMT 6		19.	19.		0.
<b>24</b> Total operating and administrative expenses. Add lines 13 through 23		133,841.	26,411.		87,210.
<b>25</b> Contributions, gifts, grants paid		587,876.			587,876.
<b>26</b> Total expenses and disbursements. Add lines 24 and 25		721,717.	26,411.		675,086.
<b>27</b> Subtract line 26 from line 12		<191,684.>			
<b>a</b> Excess of revenue over expenses and disbursements					
<b>b</b> Net investment income (if negative, enter -0-)			503,622.		
<b>c</b> Adjusted net income (if negative, enter -0-)				N/A	

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**Part II Balance Sheets** Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
<b>Assets</b>	1 Cash - non-interest-bearing	263,662.	95,092.	95,092.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U S and state government obligations			
	b Investments - corporate stock <span style="float:right">STMT 7</span>	5,919,265.	5,434,519.	8,420,042.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
Less accumulated depreciation ▶				
12 Investments - mortgage loans				
13 Investments - other <span style="float:right">STMT 8</span>	2,612,603.	3,076,952.	3,070,301.	
14 Land, buildings, and equipment basis ▶				
Less accumulated depreciation ▶				
15 Other assets (describe ▶ )				
16 Total assets (to be completed by all filers - see the instructions Also, see page 1, item 1)	8,795,530.	8,606,563.	11,585,435.	
<b>Liabilities</b>	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ )			
23 Total liabilities (add lines 17 through 22)	0.	0.		
<b>Net Assets or Fund Balances</b>	Foundations that follow SFAS 117, check here <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here <input checked="" type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	1,285,656.	1,288,373.	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	7,509,874.	7,318,190.	
30 Total net assets or fund balances	8,795,530.	8,606,563.		
31 Total liabilities and net assets/fund balances	8,795,530.	8,606,563.		

**Part III Analysis of Changes in Net Assets or Fund Balances**

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	8,795,530.
2 Enter amount from Part I, line 27a	2	<191,684.>
3 Other increases not included in line 2 (itemize) ▶ <u>BOOK TO TAX DIFFERENCE</u>	3	2,717.
4 Add lines 1, 2, and 3	4	8,606,563.
5 Decreases not included in line 2 (itemize) ▶	5	0.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	8,606,563.

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**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a MERRILL LYNCH #16H-69790 - SEE ATTACHED		P	VARIOUS	VARIOUS
b MERRILL LYNCH #16H-69790 - SEE ATTACHED		P	VARIOUS	11/21/14
c CAPITAL GAINS DIVIDENDS				
d				
e				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 673,769.		468,831.	204,938.
b 100,809.		95,626.	5,183.
c 12,413.			12,413.
d			
e			

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
(j) FMV as of 12/31/69	(k) Adjusted basis as of 12/31/69	(l) Excess of col. (i) over col. (j), if any	
a			204,938.
b			5,183.
c			12,413.
d			
e			

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	222,534.
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) If (loss), enter -0- in Part I, line 8	{ }	3	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	460,513.	10,534,781.	.043714
2011	399,025.	9,753,922.	.040909
2010	550,518.	7,661,847.	.071852
2009	18,637.	1,471,030.	.012669
2008	165,148.	1,299,990.	.127038

2 Total of line 1, column (d)	2	.296182
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.059236
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	11,186,485.
5 Multiply line 4 by line 3	5	662,643.
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	5,036.
7 Add lines 5 and 6	7	667,679.
8 Enter qualifying distributions from Part XII, line 4	8	675,086.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary-see instructions)		1	5,036.
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b			
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	0.
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		3	5,036.
3 Add lines 1 and 2		4	0.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		5	5,036.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-			
6 Credits/Payments			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	8,816.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	8,816.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	3,780.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
1b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
1c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation. \$ 0. (2) On foundation managers \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) CT		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

N/A

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**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address <b>N/A</b>				
14	The books are in care of <b>ALFRED R. CASELLA</b> Telephone no <b>(860) 240-6000</b> Located at <b>C/O MURTHA CULLINA LLP, 185 ASYLUM STREET, HARTFO</b> ZIP+4 <b>06103</b>			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days)	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	N/A	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here	2b	
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes	<input checked="" type="checkbox"/> No
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

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**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

☒**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (if not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
C. WILLIAM KAMAN II - TRUSTEE 10401 WILDWOOD HILLS LANE AUSTIN, TX 78737	PRES & TREASURER 1.00	12,000.	0.	0.
CATHLEEN H. KAMAN - TRUSTEE 1331 GOUCHER STREET PACIFIC PALISADES, CA 90272	VICE PRESIDENT 1.00	12,000.	0.	0.
GLENN M. MESSEMER - TRUSTEE 9426 ASHLEY DRIVE WEEKI WACHEE, FL 34613	SECRETARY 1.00	12,000.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

0

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**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

**3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services

0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 N/A	
	0.
2	
All other program-related investments. See instructions	
3	

Total. Add lines 1 through 3

0.

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**Part X**

**Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	10,989,769.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	367,069.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	11,356,838.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	11,356,838.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	170,353.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	11,186,485.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	559,324.

**Part XI**

**Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	559,324.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	5,036.
<b>b</b>	Income tax for 2013 (This does not include the tax from Part VI)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	5,036.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	554,288.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	554,288.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	554,288.

**Part XII**

**Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	675,086.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	675,086.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	5,036.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	670,050.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				554,288.
2 Undistributed Income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years		0.		
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009				
c From 2010	105,310.			
d From 2011				
e From 2012				
f Total of lines 3a through e	105,310.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 675,086.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				554,288.
e Remaining amount distributed out of corpus	120,798.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))	0.			0.
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	226,108.			
b Prior years' undistributed income Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b Taxable amount - see instructions		0.		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instr			0.	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	226,108.			
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010	105,310.			
c Excess from 2011				
d Excess from 2012				
e Excess from 2013	120,798.			

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**Part XIV Private Operating Foundations** (see instructions and Part VII-A, question 9)

N/A

- 1 a** If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

**b. Check box to indicate whether the foundation is a private operating foundation described in section**

☐ 4942(I)(3) or ☐ 4942(I)(5)

- 2 a** Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

**b 85% of line 2a**

**c** Qualifying distributions from Part XII,  
line 4 for each year listed

**d** Amounts included in line 2c not used directly for active conduct of exempt activities

**e Qualifying distributions made directly for active conduct of exempt activities**

**Subtract line 2d from line 2c**

- 3** Complete 3a, b, or c for the alternative test relied upon

(1) Value of all assets

**(2) Value of assets qualifying under section 4942(i)(3)(B)(i)**

**b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed**

c "Support" alternative test - enter

(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)

(2) Support from general public and 5 or more exempt organizations as provided in section 4942(i)(3)(B)(iii)

**(3) Largest amount of support from an exempt organization**

**(4) Gross investment income**

**Part XV** **Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year-see instructions.)**

### 1 Information Regarding Foundation Managers:

- a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000). (See section 507(d)(2).)

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

**2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

- b The form in which applications should be submitted and information and materials they should include**

- c Any submission deadlines**

- d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

## CHARLES H. KAMAN CHARITABLE FOUNDATION

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**Part XV** **Supplementary Information** (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year				
AMERICAN YOUTH SYMPHONY 3424 WILSHIRE BLVD, SUITE 830 LOS ANGELES, CA 90010	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	15,000.
AVERY HEIGHTS 705 NEW BRITAIN AVENUE HARTFORD, CT 06106	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	35,736.
HARC INC 900 ASYLUM AVENUE HARTFORD, CT 06105	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	5,000.
HARTFORD PUBLIC LIBRARY 500 MAIN STREET HARTFORD, CT 06103	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	19,680.
HOSPITAL FOR SPECIAL CARE FOUNDATION 2150 CORBIN AVENUE NEW BRITAIN, CT 06053	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	93,500.
Total SEE CONTINUATION SHEET(S)			▶ 3a	587,876.
b Approved for future payment				
NONE				
Total			▶ 3b	0.



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## Part XVII

- |       | Yes | No |
|-------|-----|----|
| 1a(1) |     | X  |
| 1a(2) |     | X  |
| 1b(1) |     | X  |
| 1b(2) |     | X  |
| 1b(3) |     | X  |
| 1b(4) |     | X  |
| 1b(5) |     | X  |
| 1b(6) |     | X  |
| 1c    |     | X  |

[illegible]

☐ Yes ☒ No

**b** If "Yes," complete the following schedule

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

and belief, it is true, correct, and complete Declaration of prepar  
 Signature of officer or trustee

3-18-15  
Date

**PRESIDENT**

May the IRS discuss this return with the preparer shown below (see instr)?

Print/Type preparer's name

Preparer's signature

Date

Check ☐ if  
self-employed

PTIN
------

JOHN FARRO

Firm's name **ANDERSEN TAX LLC**

Firm's address ► 1700 EAST PUTNAM AVENUE, SUITE 408  
OLD GREENWICH, CT 06870

P00969780

Firm's EIN ▶ 33-1197384

Phone no 203-987-3660

CHARLES H. KAMAN CHARITABLE FOUNDATION  
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**Part XV Supplementary Information**

**3 Grants and Contributions Paid During the Year (Continuation)**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
LA ARTCORE LA ARTCORE UNION CENTER FOR THE ARTS, 120 JUDGE JOHN AISO STREET LOS ANGELES, CA 90012	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	25,000.
NAVAL HELICOPTER ASSOCIATION SCHOLARSHIP FUND P.O. BOX 180578 CORONADO, CO 92178	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	50,000.
NEW ENGLAND AIR MUSEUM 36 PERIMETER ROAD WINDSOR LOCKS, CT 06096	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	50,000.
SANTA FE MUSIC COLLECTIVE 2232 WILDERNESS COVE SANTA FE, NM 87505	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	15,000.
THE INDEPENDENCE FUND 6538 COLLINS AVENUE, #187 MIAMI BEACH, FL 33141	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	16,000.
THE STONE AGE INSTITUTE 1392 W. DITTEMORE ROAD BLOOMINGTON, IN 47407-5097	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	100,000.
UNIVERSITY OF HARTFORD 200 BLOOMFIELD AVENUE WEST HARTFORD, CT 06117	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	50,000.
UNIVERSITY OF ST. JOSEPH 1678 ASYLUM AVENUE WEST HARTFORD, CT 06117	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	5,000.
WARREN WILSON COLLEGE P.O. BOX 9000 ASHEVILLE, NC 28815	N/A	501(C)(3) ORGANIZATIONS	GENERAL SUPPORT	107,960.
<b>Total from continuation sheets</b>				<b>418,960.</b>

FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT	1
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	
MERRILL LYNCH #16H-69790	319,912.	12,413.	307,499.	307,499.		
TO PART I, LINE 4	319,912.	12,413.	307,499.	307,499.		

FORM 990-PF		LEGAL FEES			STATEMENT	2
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
MURTHA CULLINA LLP	78,310.	12,880.		78,310.		
TO FM 990-PF, PG 1, LN 16A	78,310.	12,880.		78,310.		

FORM 990-PF		ACCOUNTING FEES			STATEMENT	3
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
WTAS LLC	8,900.	8,900.		8,900.		
TO FORM 990-PF, PG 1, LN 16B	8,900.	8,900.		8,900.		

FORM 990-PF		OTHER PROFESSIONAL FEES			STATEMENT	4
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
MERRILL LYNCH	150.	150.		0.		
TO FORM 990-PF, PG 1, LN 16C	150.	150.		0.		



FORM 990-PF	TAXES			STATEMENT	5
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
FOREIGN TAXES PAID	4,462.	4,462.			0.
FEDERAL ESTIMATED TAX PAYMENT	6,000.	0.			0.
TO FORM 990-PF, PG 1, LN 18	10,462.	4,462.			0.

FORM 990-PF	OTHER EXPENSES			STATEMENT	6
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES	
OTHER FEES	19.	19.			0.
TO FORM 990-PF, PG 1, LN 23	19.	19.			0.

FORM 990-PF	CORPORATE STOCK		STATEMENT	7
DESCRIPTION			BOOK VALUE	FAIR MARKET VALUE
SEE ATTACHED			5,434,519.	8,420,042.
TOTAL TO FORM 990-PF, PART II, LINE 10B			5,434,519.	8,420,042.

FORM 990-PF	OTHER INVESTMENTS		STATEMENT	8
DESCRIPTION	VALUATION METHOD	BOOK VALUE	FAIR MARKET VALUE	
SEE ATTACHED	COST	3,076,952.	3,070,301.	
TOTAL TO FORM 990-PF, PART II, LINE 13		3,076,952.	3,070,301.	

Charles H. Kaman Foundation  
STATEMENT OF BALANCE SHEET ASSETS

	Beginning of Year Book Value	End of Year Book Value	End of Year Fair Market Value
<b>Investments- Corporate Stock</b>			
Abbott Labs	73,207	73,207	133,530
Abbvie Inc SHS	91,006	91,006	242,200
Accenture PLC SHS	107,500	187,210	284,889
Apple Inc	136,649	136,649	249,753
AT&T Inc	242,061	207,441	265,350
Bank of Montreal	219,280	219,280	294,760
Blackrock Inc	87,495	87,495	179,540
Bristol-Myers Squib Co	212,800	121,600	236,200
Chevron Corp	202,386	202,386	217,740
ConocoPhillips	213,761	213,761	280,708
DuPont E I De Nemours	128,610	128,610	214,200
Duke Energy Corp New	232,200	232,200	323,600
Emerson Elec Co	220,438	203,035	223,125
General Electric	161,850	118,895	209,271
General Mills	157,005	139,560	211,000
Halyard Health Inc SHS	-	9,099	15,684
Illinois Tool Works Inc	153,683	142,129	246,818
Intel Corp	171,475	171,475	288,000
International Business Machines	147,672	147,672	162,170
Johnson & Johnson	100,996	100,996	184,025
JPMorgan Chase & Co	192,425	192,425	258,688
Kimberly Clark	219,136	164,091	291,475
Leggett & Platt Inc	139,020	139,020	284,630
Liberty Ppty Tr	204,680	204,680	247,660
McDonalds Corp	220,995	220,995	290,430
Nextera Energy Inc SHS	217,004	199,552	386,243
Novartis ADR	125,691	125,691	241,625
Oracle Corp \$0.01 Del	63,597	-	-
OSI Systems Inc	100,110	-	-
Pepsico Inc	160,963	160,963	250,250
Pfizer Inc	149,430	131,850	233,625
Procter & Gamble Co	172,781	172,781	246,422
Qualcomm Inc	104,034	104,034	131,220
Toronto Dominion Bank	112,482	112,482	151,470
United Techs Corp	130,120	97,590	132,096
Venzon Communicatns Com	229,180	193,930	278,245
Williams Companies Del	96,709	96,709	207,000
Wisconsin Energy Corp	220,824	184,020	296,400
<b>Total Investments- Corporate Stock</b>	<b>5,919,265</b>	<b>5,434,519</b>	<b>8,420,042</b>
<b>Investments- Other</b>			
Ishares IBOXX \$	-	148,197	150,113
Ishares 1-3 Year	-	147,448	147,672
Ishares IBOXX\$ High Yiel	-	146,816	145,808
Vanguard Emerging Markets	223,277	223,277	210,850
Vanguard MSCI Europe	203,275	203,275	217,855
Vanguard Short Term Bond	1,653,537	1,675,425	1,662,611
Vanguard Intern Term	532,514	532,514	535,392
<b>Total Investments- Other</b>	<b>2,612,603</b>	<b>3,076,952</b>	<b>3,070,301</b>



Account No.  
16H-69790

Taxpayer No.  
06-1386119

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THE CHARLES H KAMAN CHARITABLE

## 2014 ANNUAL STATEMENT SUMMARY

The following sections are provided to facilitate your review and the preparation of your return.

The 2014 Proceeds from Broker and Barter Exchange Transactions section includes both sales of positions for "covered securities" and "noncovered securities." A covered security includes securities when the purchase date of the security occurred within the following timeline: Equities acquired on or after January 1, 2011, Mutual Funds acquired on or after January 1, 2012, and Option Transactions and certain debt securities acquired on or after January 1, 2014. Legislation requires reporting the gross proceeds of the sales of "covered" and "noncovered" securities and the adjusted cost basis for "covered securities." Any sale of a security that is considered a "noncovered security" will still be included in this section with the adjusted cost basis (where available) but the adjusted basis will not be transmitted to the IRS.

In calculating gain (loss), unless otherwise noted, it was assumed that the oldest position was liquidated first, and that you have made an election to amortize the premium paid on the purchase of taxable bonds. Under the Cost Basis Reporting Regulations, brokers need not track wash sale activity for substantially identical securities, transactions across accounts, or between covered and noncovered securities. However, you as a taxpayer still have to track and report wash sales as you have in the past which would include all of the abovementioned transaction types. Securities distributed from a retirement account reflect the tax basis on the date of distribution. Other methods for calculating gain (loss) are available. The cost basis for most Original Issue Discount ("OID") obligations includes the accretion of OID.

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

### 1099-B

#### 2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code 1g. Adjustments	Gain or (Loss)	Remarks
-----------------------------	-------------------------	------------------------------	------------	----------------	------------------------------	----------------	---------

#### LONG TERM CAPITAL GAINS AND LOSSES - 1099-B Line 2

##### COVERED TRANSACTIONS-1099-B Line 3, Cost basis reported to IRS (Code D)

AT&T INC	400.0000	Sale	CUSIP Number 01/31/11	00206R102	11/21/14	14,063.73	10,956.00	0.00	3,107.73	
BRISTOL-MYERS SQUIBB CO	2000.0000	Sale	CUSIP Number 09/22/11	110122108	01/16/14	109,220.20	60,800.00	0.00	48,420.20	
	1000.0000	Sale			11/21/14	58,828.70	30,400.00	0.00	28,428.70	
		Security Subtotal				168,048.90	91,200.00	0.00	76,848.90	
EMERSON ELEC CO	300.0000	Sale	CUSIP Number 01/31/11	291011104	11/21/14	19,627.57	17,403.00	0.00	2,224.57	
GENERAL MILLS	500.0000	Sale	CUSIP Number 01/31/11	370334104	11/21/14	25,864.43	17,445.00	0.00	8,419.43	
KIMBERLY CLARK	700.0000	Sale	CUSIP Number 09/22/11	494368103	11/21/14	79,731.60	45,945.60	0.00	33,786.00	
OSI SYSTEM INC	1800.0000	Sale	CUSIP Number 02/02/12	671044105	01/16/14	103,658.19	100,110.00	0.00	3,548.19	
ORACLE CORP \$0.01 DEL	2000.0000	Sale	CUSIP Number 03/10/11	68389X105	07/16/14	80,278.42	63,597.00	0.00	16,681.42	



**THE CHARLES H KAMAN CHARITABLE**

**Account No.**  
**16H-69790**

**Taxpayer No.**  
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**2014 ANNUAL STATEMENT SUMMARY**

**2014 PROCEEDS FROM BROKER & BARTER EXCHANGE TRANSACTIONS**

1a. Description of Property	1b. Date of Acquisition	1c. Date of Sale or Exchange	1d. Amount	1e. Cost Basis	1f. IRS Code	1g. Adjustments	Gain or (Loss)	Remarks
PFIZER INC 1000 0000 Sale	CUSIP Number 09/22/11	717081103 11/21/14	30,299.43	17,580.00		0.00	12,719.43	
UNITED TECHS CORP COM 400.0000 Sale	CUSIP Number 01/31/11	913017109 11/21/14	44,171.06	32,530.00		0.00	11,641.06	
VERIZON COMMUNICATIONS COM 1000.0000 Sale	CUSIP Number 09/22/11	92343V104 11/21/14	49,992.19	35,260.00		0.00	14,732.19	
WISCONSIN ENERGY CORP 1200 0000 Sale	CUSIP Number 09/22/11	976657106 11/21/14	58,033.36	36,804.00		0.00	21,229.36	
Covered Long Term Capital Gains and Losses Subtotal			673,768.88	468,830.60		0.00	204,938.28	

**NONCOVERED TRANSACTIONS-1099-B Line 5, Cost basis not reported to IRS (Code E)**

AT&T INC	CUSIP Number	00206RT02			
600.0000 Sale	07/18/07	11/21/14	21,095.59	23,664.00	0.00
GENERAL ELECTRIC					
1100.0000 Sale	CUSIP Number	369604103			
	07/27/07	11/21/14	29,570.98	42,955.00	0.00
ILLINOIS TOOL WORKS INC					
200.0000 Sale	CUSIP Number	452308109			
	07/18/07	11/21/14	19,243.53	11,554.00	0.00
NEXTERA ENERGY INC SHS					
300.0000 Sale	CUSIP Number	65339F101			
	07/18/07	11/21/14	30,898.78	17,452.59	0.00
Noncovered Long Term Capital Gains and Losses Subtotal			100,808.88	95,625.59	0.00
NET LONG TERM CAPITAL GAINS AND LOSSES			774,577.76	564,456.19	0.00
SALES PROCEEDS AND NET GAINS AND LOSSES			774,577.76		
COVERED LONG TERM GAINS/LOSSES					
NONCOVERED LONG TERM GAINS/LOSSES					