Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No. 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning December 01, 2013, and ending November 30, 20 14

Name of foundation: NEW BALANCE FOUNDATION

A Employer identification number: 04-6470644

Number and street (or P.O. box number if mail is not delivered to street address): 20 GUEST STREET | Room/suite:

B Telephone number (see instructions): 617-783-4000

City or town, state or province, country, and ZIP or foreign postal code: BOSTON, MA 02135-2088

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐

2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: ☑ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶ $ 129,955,229

J Accounting method: ☐ Cash ☑ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** *(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions).)*

	Line	Description	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
STMT 1	1	Contributions, gifts, grants, etc., received (attach schedule)	3,000,000			
	2	Check ▶ ☐ if the foundation is not required to attach Sch. B				
STMT 2	3	Interest on savings and temporary cash investments	153	153	NONE	
STMT 3	4	Dividends and interest from securities	4,863,039	4,863,039		
	5a	Gross rents				
	b	Net rental income or (loss)				
STMT 4	6a	Net gain or (loss) from sale of assets not on line 10	2,681,089			
	b	Gross sales price for all assets on line 6a 80,016,816				
Revenue	7	Capital gain net income (from Part IV, line 2)				
	8	Net short-term capital gain				
	9	Income modifications				
	10a	Gross sales less returns and allowances				
	b	Less: Cost of goods sold				
	c	Gross profit or (loss) (attach schedule)				
STMT 5	11	Other income (attach schedule)	379	379		
	12	**Total.** Add lines 1 through 11	10,544,660	4,863,571	NONE	
Operating and Administrative Expenses	13	Compensation of officers, directors, trustees, etc.				
	14	Other employee salaries and wages				
	15	Pension plans, employee benefits				
	16a	Legal fees (attach schedule)				
	b	Accounting fees (attach schedule)				
	c	Other professional fees (attach schedule)				
	17	Interest				
STMT 6	18	Taxes (attach schedule) (see instructions)	120,758			500
	19	Depreciation (attach schedule) and depletion				
	20	Occupancy				
	21	Travel, conferences, and meetings				
	22	Printing and publications				
STMT 7	23	Other expenses (attach schedule)	222,924	222,924		
	24	**Total operating and administrative expenses.** Add lines 13 through 23	343,682	222,924		
STMT 8	25	Contributions, gifts, grants paid	7,105,433			7,105,433
	26	**Total expenses and disbursements.** Add lines 24 and 25	7,449,115	222,924	NONE	7,105,933
	27	Subtract line 26 from line 12:				
	a	**Excess of revenue over expenses and disbursements**	3,095,545			
	b	**Net investment income** (if negative, enter -0-)		4,640,647		
	c	**Adjusted net income** (if negative, enter -0-)				

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Part II Balance Sheets

Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)

			Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing	1,263	15,468	15,468
	2	Savings and temporary cash investments	3,535,480	4,283,700	4,283,700
	3	Accounts receivable ▶			
		Less: allowance for doubtful accounts ▶			
	4	Pledges receivable ▶			
		Less: allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less: allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges			
	10a	Investments—U.S. and state government obligations (attach schedule)			
STMT 9	b	Investments—corporate stock (attach schedule)	107,586,308	109,814,168	125,229,126
	c	Investments—corporate bonds (attach schedule)			
	11	Investments—land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	12	Investments—mortgage loans			
STMT 10	13	Investments—other (attach schedule)	314,462	419,722	426,935
	14	Land, buildings, and equipment: basis ▶			
		Less: accumulated depreciation (attach schedule) ▶			
	15	Other assets (describe ▶)			
	16	Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	111,437,513	114,533,058	129,955,229
Liabilities	17	Accounts payable and accrued expenses			
	18	Grants payable			
	19	Deferred revenue			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶)			
	23	Total liabilities (add lines 17 through 22)	NONE	NONE	
Net Assets or Fund Balances		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted			
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, check here ▶ ☑ and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds	111,437,513	114,533,058	
	28	Paid-in or capital surplus, or land, bldg., and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
	30	Total net assets or fund balances (see instructions)	111,437,513	114,533,058	
	31	Total liabilities and net assets/fund balances (see instructions)	111,437,513	114,533,058	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	111,437,513
2	Enter amount from Part I, line 27a	2	3,095,545
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	114,533,058
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	114,533,058

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Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo , day, yr)
1a				
b				
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a				
b				
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col (k), but not less than -0-) or Losses (from col. (h))
a				
b				
c				
d				
e				

2	Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 / If (loss), enter -0- in Part I, line 7 }	2	2,681,089 STMT 4
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☑ No
If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col. (c))
2012	6,318,579	118,350,215	.05338882570
2011	6,235,144	113,359,165	.05500343973
2010	6,514,910	118,262,397	05508860099
2009	6,237,466	116,608,902	.05349047880
2008	5,974,678	101,709,674	.05874247517

2	Total of line 1, column (d)	2	.27571382039
3	Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.05514276408
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	125,699,004
5	Multiply line 4 by line 3	5	6,931,391
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	46,406
7	Add lines 5 and 6	7	6,977,797
8	Enter qualifying distributions from Part XII, line 4	8	7,105,933

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

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Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ________ (attach copy of letter if necessary—see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☑ and enter 1% of Part I, line 27b	1	46,406
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	
3	Add lines 1 and 2	3	46,406
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	46,406
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a: 123,784		
b	Exempt foreign organizations—tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	123,784
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	77,378
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ 27,378 **Refunded** ▶	11	50,000

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		✓
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		✓
	*If the answer is "Yes" to **1a** or **1b**, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.*			
c	Did the foundation file **Form 1120-POL** for this year?	1c		✓
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ ________ **(2)** On foundation managers. ▶ $ ________			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ ________			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		✓
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		✓
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		✓
b	If "Yes," has it filed a tax return on **Form 990-T** for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		✓
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	✓	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	✓	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ MASSACHUSETTS, CALIFORNIA			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	✓	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		✓
10	Did any persons become substantial contributors during the tax year? *If "Yes," attach a schedule listing their names and addresses*	10		✓

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Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		✓
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		✓
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	✓	

Website address ▶ NEWBALANCEFOUNDATION.ORG

14 The books are in care of ▶ WILLIAM R. STANDLEY Telephone no. ▶ 617-746-2212
Located at ▶ NEW BALANCE, 20 GUEST STREET, BOSTON, MA ZIP+4 ▶ 02135-2088

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041**—Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		✓
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Yes	No
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☑ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☑ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☑ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☑ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☑ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☑ No			
b	If any answer is "Yes" to 1a(1)–(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		✓
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☑ No			
	If "Yes," list the years ▶ 20___ , 20___ , 20___ , 20___			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement—see instructions.)	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ 20___ , 20___ , 20___ , 20___			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☑ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		✓
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		✓

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Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

			Yes	No
5a	During the year did the foundation pay or incur any amount to:			
	(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☑ No			
	(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☑ No			
	(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☑ No			
	(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☑ No			
	(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☑ No			
b	If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?	5b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No *If "Yes," attach the statement required by Regulations section 53.4945-5(d).*			
6a	Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☑ No			
b	Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? *If "Yes" to 6b, file Form 8870.*	6b		✓
7a	At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☑ No			
b	If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?	7b		

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 11	TRUSTEE P.T.	NONE	NONE	NONE

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over $50,000 ▶ NONE

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Part VIII **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors** *(continued)*

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
UBS FINANCIAL SERVICES 1 POST OFFICE SQUARE, BOSTON, MA 02109-2106	INVESTMENT ADVISORS	216,054
Total number of others receiving over $50,000 for professional services ▶		

Part IX-A **Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1	
2	
3	
4	

Part IX-B **Summary of Program-Related Investments** (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments See instructions. 3	
Total. Add lines 1 through 3 ▶	

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	124,192,302
b	Average of monthly cash balances	1b	2,974,156
c	Fair market value of all other assets (see instructions)	1c	446,744
d	**Total** (add lines 1a, b, and c)	1d	127,613,202
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e		
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	127,613,302
4	Cash deemed held for charitable activities. Enter 1 ½ % of line 3 (for greater amount, see instructions)	4	1,914,198
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	125,699,004
6	**Minimum investment return.** Enter 5% of line 5	6	6,284,950

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6			1	6,284,950
2a	Tax on investment income for 2013 from Part VI, line 5	2a	46,406		
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b			
c	Add lines 2a and 2b			2c	46,406
3	Distributable amount before adjustments. Subtract line 2c from line 1			3	6,238,544
4	Recoveries of amounts treated as qualifying distributions			4	
5	Add lines 3 and 4			5	6,238,544
6	Deduction from distributable amount (see instructions)			6	
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1			7	6,238,544

Part XII **Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	7,105,933
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	7,105,933
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	46,406
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	7,059,527

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

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Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				6,238,544
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			NONE	
b Total for prior years: 20___,20___,20___		NONE		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 . . . 949,816				
b From 2009 . . . 563,750				
c From 2010 . . . 746,286				
d From 2011 . . . 660,720				
e From 2012 . . . 509,266				
f **Total** of lines 3a through e	3,429,838			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 7,059,527				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				6,238,544
e Remaining amount distributed out of corpus	820,983			
5 Excess distributions carryover applied to 2013 *(If an amount appears in column (d), the same amount must be shown in column (a).)*				
6 **Enter the net total of each column as indicated below:**				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	4,250,821			
b Prior years' undistributed income. Subtract line 4b from line 2b		NONE		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions		NONE		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions				
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	949,816			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	3,301,005			
10 Analysis of line 9:				
a Excess from 2009 . . . 563,750				
b Excess from 2010 . . . 746,286				
c Excess from 2011 . . . 660,720				
d Excess from 2012 . . . 509,266				
e Excess from 2013 . . . 820,983				

NEW BALANCE FOUNDATION 04-6470644

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling . . . . . . ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test—enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test—enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year—see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☑ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

NEW BALANCE FOUNDATION 04-6470644

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
SEE STATEMENT 8	N/A	PC	GENERAL SUPPORT	7,105,433
Total			▶ 3a	7,105,433
b Approved for future payment				
CAMP SUNSHINE	N/A	PC	GENERAL SUPPORT	250,000
BOSTON CHILDREN'S HOSPITAL	N/A	PC	GENERAL SUPPORT	1,000,000
TWO TEN FOOTWEAR FOUNDATION	N/A	PC	GENERAL SUPPORT	150,000
Total			▶ 3b	1,400,000

NEW BALANCE FOUNDATION 04-6470644

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income (See instructions.)
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	153	
4 Dividends and interest from securities			14	4,863,039	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	2,681,089	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue: a			14	379	
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)					

13 **Total.** Add line 12, columns (b), (d), and (e) . . . 13 7,544,660

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes). (See instructions.)

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		✓
	(2) Other assets	1a(2)		✓
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		✓
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		✓
	(3) Rental of facilities, equipment, or other assets	1b(3)		✓
	(4) Reimbursement arrangements	1b(4)		✓
	(5) Loans or loan guarantees	1b(5)		✓
	(6) Performance of services or membership or fundraising solicitations	1b(6)		✓
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		✓

d If the answer to any of the above is "Yes," complete the following schedule. Column **(b)** should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column **(d)** the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☑ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Irene M. [signature] | 4/8/15 | TRUSTEE

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instructions)? ☑ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Robert J. Butler, Jr	[signature]	4.8.15		P00037953
Firm's name ▶ Grant Thornton LLP			Firm's EIN ▶	36-6055558
Firm's address ▶ 75 State Street, Boston, Ma 02109			Phone no.	617-723-7900

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ **Attach to Form 990, Form 990-EZ, or Form 990-PF.**
▶ **Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990*.**

OMB No 1545-0047
2013

Name of the organization	**Employer identification number**
NEW BALANCE FOUNDATION	04-6470644

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☑ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☑ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33⅓ % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization
NEW BALANCE FOUNDATION

Employer identification number
04-6470644

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
	NEW BALANCE ATHLETIC SHOE, INC. 20 GUEST STREET BOSTON, MA 02135-2088	$ 3,000,000.00	Person ☑ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)
		$	Person ☐ Payroll ☐ Noncash ☐ (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
NEW BALANCE FOUNDATION	04-6470644

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization	Employer identification number
NEW BALANCE FOUNDATION	04-6470644

Part III ***Exclusively*** **religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year.** Complete columns **(a)** through **(e)** and the following line entry.
For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **$1,000 or less** for the year. (Enter this information once. See instructions.) ▶ $
Use duplicate copies of Part III if additional space is needed.

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/13- 11/30/14

TIN: 04-6470644

STATEMENT 1

PART I, LINE 1

Contributions, gifts, grants received

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
NEW BALANCE ATHLETIC SHOE, INC.	3,000,000	3,000,000	NONE
	3,000,000	3,000,000	

NEW BALANCE FOUNDATION TIN: 04-6470644
FORM 990-PF
TAX YEAR 12/01/13- 11/30/14 STATEMENT 2

PART I, LINE 3

INTEREST ON TEMPORARY CASH INVESTMENTS

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
INTEREST	153	153	NONE

NEW BALANCE FOUNDATION **TIN: 04-6470644**
FORM 990-PF
TAX YEAR 12/01/13- 11/30/14 **STATEMENT 3**

PART I, LINE 4

DIVIDENDS & INTEREST FROM SECURITIES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
DIVIDENDS & INTEREST	4,863,039	4,863,039	NONE

NEW BALANCE FOUNDATION

FORM 990-PF

TAX YEAR 12/01/13- 11/30/14

TIN- 04-6470644

STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
ABBVIE INC COM	00287Y109	6,200 00	7/25/2013	273,662 66	1/31/2014	308,031 89	34,369 23	S
AMER STATES WATER CO	029899101	229	12/23/2013	6,719.04	3/17/2014	7,320 62	601 58	S
AMER STATES WATER CO	029899101	75	3/4/2014	2,316.77	3/17/2014	2,397.59	80 82	S
AMER STATES WATER CO	029899101	70	3/5/2014	2,145 70	3/17/2014	2,237 75	92 05	S
AMER STATES WATER CO	029899101	58	3/6/2014	1,783 31	3/17/2014	1,854 13	70 82	S
AMER STATES WATER CO	029899101	31	3/7/2014	955 60	3/17/2014	991.00	35.40	S
AMER STATES WATER CO	029899101	27	3/10/2014	835 65	3/17/2014	863.13	27.48	S
AMER STATES WATER CO	029899101	86	3/11/2014	2,664 34	3/17/2014	2,749 23	84 89	S
AMERICAN SCIENCE & ENGINEERING INC	029429107	134	12/23/2013	9,527.40	3/17/2014	8,890.22	(637 18)	S
APOGEE ENTERPRISES INC	037598109	165	12/23/2013	5,998.94	3/17/2014	5,636 79	(362 15)	S
BANK OF HAWAII CORP	062540109	341	12/23/2013	20,288.04	3/17/2014	20,112 93	(175 11)	S
BBCN BANCORP INC COM	073295107	279	6/18/2013	3,516.91	3/17/2014	4,944 91	1,428.00	S
BBCN BANCORP INC COM	073295107	119	6/19/2013	1,505 14	3/17/2014	2,109 13	603 99	S
BBCN BANCORP INC COM	073295107	74	6/20/2013	944.48	3/17/2014	1,311 55	367 07	S
BBCN BANCORP INC COM	073295107	150	6/21/2013	1,954 40	3/17/2014	2,658.56	704 16	S
BBCN BANCORP INC COM	073295107	151	6/24/2013	2,017 21	3/17/2014	2,676.28	659.07	S
BBCN BANCORP INC COM	073295107	41	6/25/2013	560.45	3/17/2014	726 67	166 22	S
BBCN BANCORP INC COM	073295107	45	6/26/2013	625 34	3/17/2014	797 56	172 22	S
BBCN BANCORP INC COM	073295107	251	7/2/2013	3,769 54	3/17/2014	4 448 65	679 11	S
BBCN BANCORP INC COM	073295107	63	7/3/2013	950 92	3/17/2014	1,116 60	165 68	S
BBCN BANCORP INC COM	073295107	50	7/8/2013	776 70	3/17/2014	886 18	109.48	S
BBCN BANCORP INC COM	073295107	184	9/11/2013	2,515 50	3/17/2014	3,261 17	745 67	S
BBCN BANCORP INC COM	073295107	503	12/23/2013	8,465 49	3/17/2014	8,915 02	449 53	S
BIO REFERENCE LAB INC NEW NEW	09057G602	37	10/8/2013	1,050 39	3/17/2014	988 17	(62 22)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	63	10/9/2013	1,836.64	3/17/2014	1,682 57	(154.07)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	61	10/10/2013	1,811 85	3/17/2014	1,629 15	(182 70)	S
BIO REFEREN[illegible]AB INC NEW NEW	09057G602	12	10/11/2013	361.48	3/17/2014	320 49	(40 99)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	15	10/14/2013	458.96	3/17/2014	400 61	(58.35)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	127	10/15/2013	3,948.52	3/17/2014	3,391 84	(556.68)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	96	10/16/2013	3,029.40	3/17/2014	2,563 92	(465 48)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	70	10/17/2013	2,219 08	3/17/2014	1,869 51	(349 57)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	70	10/18/2013	2,242.40	3/17/2014	1,869 52	(372.88)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	5	10/21/2013	160 50	3/17/2014	133 54	(26.96)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	51	10/22/2013	1,680 58	3/17/2014	1,362 08	(318.50)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	85	10/23/2013	2,832 59	3/17/2014	2,270 13	(562.46)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	41	10/24/2013	1,367 67	3/17/2014	1,095 00	(272 67)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	61	10/25/2013	2,029 74	3/17/2014	1,629 15	(400 59)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	33	10/28/2013	1,086.16	3/17/2014	881 35	(204 81)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	6	10/29/2013	198.41	3/17/2014	160 24	(38.17)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	47	12/3/2013	1,364.47	3/17/2014	1,255 25	(109 22)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	43	12/4/2013	1,238 91	3/17/2014	1,148 42	(90.49)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	12	12/5/2013	341 73	3/17/2014	320.49	(21 24)	S
BIO REFERENCE LAB INC NEW NEW	09057G602	332	12/23/2013	8,523 14	3/17/2014	8,866 86	343 72	S
BIOMED REALTY TRUST INC REITS	09063H107	739	12/13/2013	13,458.59	3/17/2014	14,965 09	1,506.50	S
BIOMED REALTY TRUST INC REITS	09063H107	264	12/23/2013	4,867 53	3/17/2014	5,346.12	478.59	S
BIOMED REALTY TRUST INC REITS	09063H107	342	1/28/2014	6,524 64	3/17/2014	6,925 65	401 01	S
BIOMED REALTY TRUST INC REITS	09063H107	85	1/29/2014	1,621 46	3/17/2014	1,721 29	99 83	S
BLACKBAUD INC	09227Q100	210	12/23/2013	7,828.76	3/17/2014	6,497 28	(1,331 48)	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	116	8/19/2013	4,144 56	3/17/2014	4,560 85	416.29	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	42	8/20/2013	1,513 07	3/17/2014	1,651 34	138.27	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	16	8/21/2013	582 56	3/17/2014	629 08	46.52	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	17	8/22/2013	624 12	3/17/2014	668.40	44 28	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	39	8/23/2013	1,432 12	3/17/2014	1,533 38	101 26	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	23	8/26/2013	848.46	3/17/2014	904 31	55.85	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	19	8/27/2013	694 86	3/17/2014	747 04	52 18	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	7	8/28/2013	254 79	3/17/2014	275 22	20.43	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	2	8/29/2013	72 29	3/17/2014	78.63	6.34	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	9	8/30/2013	327.48	3/17/2014	353 86	26.38	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	59	9/3/2013	2,141 64	3/17/2014	2,319 74	178 10	S
BRIGHT HORIZONS FAMILY SOLUTIONS INC	109194100	153	12/23/2013	5,571 28	3/17/2014	6,015 60	444 32	S
BRUKER CORP	116794108	256	5/21/2013	4,430 75	3/17/2014	6,186.06	1,755 31	S
BRUKER CORP	116794108	777	12/23/2013	15,312 03	3/17/2014	18,775 63	3,463 60	S
CALGON CARBON CORP	129603106	438	12/23/2013	8,977 95	3/17/2014	9,046.56	68.61	S
CALL ABBVIE INC COM		-15	1/30/2014	1,116.72	9/12/2013	1,124 98	8.26	S
CALL ABBVIE INC COM		-28	1/31/2014	3,447 89	9/12/2013	2,099 96	(1,347 93)	S
CALL ABBVIE INC COM		-19	1/31/2014	2,339 64	9/16/2013	1,614 97	(724 67)	S
CALL APPLE INC		-19	10/15/2014	722.00	6/10/2014	5,320 03	4,598.03	S
CALL BRISTOL MYERS SQUIB		-13	2/6/2014	1,607.45	7/25/2013	1,430 04	(177.41)	S
CALL BRISTOL MYERS SQUIB		-26	2/6/2014	3,214 90	7/25/2013	2,625 95	(588 95)	S
CALL BRISTOL MYERS SQUIB		-23	2/6/2014	2,843 95	10/1/2013	3,140.33	296.38	S
CALL BRISTOL MYERS SQUIB		-16	2/6/2014	1,978.40	10/2/2013	2,253 16	274 76	S
CALL BRISTOL MYERS SQUIB		-10	2/6/2014	1,236.50	10/4/2013	1,602 97	366.47	S
CALL CAMPBELL SOUP CO		-2	7/28/2014	100 00	5/16/2014	119 99	19 99	S
CALL CAMPBELL SOUP CO		-12	7/25/2014	600 00	5/16/2014	719 99	119 99	S
CALL CAMPBELL SOUP CO		-7	7/25/2014	350 00	5/16/2014	419 99	69 99	S
CALL CAMPBELL SOUP CO		-1	7/24/2014	50 00	5/16/2014	60.00	10.00	S
CALL CAMPBELL SOUP CO		-4	8/1/2014	156.38	5/16/2014	239 99	83 61	S
CALL CAMPBELL SOUP CO		-1	7/31/2014	35 00	5/16/2014	60.00	25.00	S
CALL CAMPBELL SOUP CO		-5	7/30/2014	225 00	5/16/2014	300.00	75.00	S
CALL CAPITAL ONE FINCL C		-6	11/17/2014	390 00	8/19/2014	749 98	359 98	S
CALL CAPITAL ONE FINCL C		-4	11/17/2014	260.00	8/21/2014	539 99	279 99	S
CALL CAPITAL ONE FINCL C		-11	11/17/2014	715 00	8/25/2014	1,511 55	796.55	S
CALL CAPITAL ONE FINCL C		-1	11/18/2014	60 00	8/25/2014	137.41	77 41	S
CALL CAPITAL ONE FINCL C		-21	11/19/2014	1,260 00	8/25/2014	2,885 69	1,625 69	S
CALL CLOROX CO		-31	9/10/2014	930 00	6/10/2014	3,874 91	2 944 91	S
CALL CLOROX CO		-3	1/9/2014	90 00	12/6/2013	255.66	165 66	S
CALL CLOROX CO		-1	1/13/2014	30 00	12/6/2013	85.22	55 22	S
CALL CLOROX CO		-2	2/5/2014	20 00	12/6/2013	170 44	150.44	S
CALL CLOROX CO		-11	2/12/2014	165 00	12/6/2013	937 43	772 43	S
CALL CLOROX CO		-17	2/18/2014	255 00	12/6/2013	1,448.75	1,193 75	S

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PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
CALL COCA COLA CO COM		-26	11/10/2014	1,352 00	10/1/2014	1,824 34	472.34	S
CALL COCA COLA CO COM		-22	10/22/2014	638 00	10/1/2014	1,543 68	905 68	S
CALL COLGATE PALMOLIVE C		-11	1/30/2014	550 00	9/3/2013	824 99	274 99	S
CALL COLGATE PALMOLIVE C		-9	1/30/2014	450 00	9/4/2013	719 99	269 99	S
CALL COLGATE PALMOLIVE C		-24	1/30/2014	1,200 00	9/12/2013	2,536.43	1,336.43	S
CALL COLGATE PALMOLIVE C		-10	7/15/2014	2,382.89	1/29/2014	849 99	(1,532 90)	S
CALL COLGATE PALMOLIVE C		-40	7/15/2014	9,531 57	2/3/2014	2,319 96	(7,211 61)	S
CALL COLGATE PALMOLIVE C		-4	8/18/2014	0 01	2/3/2014	232.00	231 99	S
CALL COLGATE PALMOLIVE C		-22	8/18/2014	0.01	3/19/2014	1,627 96	1,627 95	S
CALL CONS EDISON CO		-40	1/7/2014	573 55	10/23/2013	3,003 12	2,429 57	S
CALL DU PONT DE NEMOURS		-22	12/30/2013	9,676 09	5/23/2013	2,511.46	(7,164 63)	S
CALL DU PONT DE NEMOURS		-20	12/31/2013	9,897 72	5/23/2013	2,283 15	(7,614 57)	S
CALL DU PONT DE NEMOURS		-8	1/15/2014	3,000 00	5/23/2013	913 26	(2,086.74)	S
CALL DU PONT DE NEMOURS		-44	10/9/2014	1,716 00	9/24/2014	3,827 92	2,111 92	S
CALL DU PONT DE NEMOURS		-20	10/10/2014	780 00	9/29/2014	1,599 97	819 97	S
CALL DU PONT DE NEMOURS		-4	10/9/2014	156 00	9/29/2014	319 99	163 99	S
CALL DU PONT DE NEMOURS		-9	10/29/2014	193.44	9/29/2014	719 98	526.54	S
CALL DU PONT DE NEMOURS		-24	10/29/2014	515 83	9/30/2014	1,919 96	1,404 13	S
CALL DU PONT DE NEMOURS		-4	10/29/2014	85 97	10/2/2014	255 99	170.02	S
CALL DU PONT DE NEMOURS		-5	10/29/2014	107.47	10/3/2014	324 99	217 52	S
CALL DU PONT DE NEMOURS		-36	6/27/2014	1,043.48	3/11/2014	4,679 92	3,636.44	S
CALL DU PONT DE NEMOURS		-14	6/19/2014	756 00	3/11/2014	1,819 97	1,063 97	S
CALL DU PONT DE NEMOURS		-32	6/27/2014	927 54	3/13/2014	3,908.74	2,981 20	S
CALL DU PONT DE NEMOURS		-18	6/27/2014	521 74	3/19/2014	1,861 99	1,340 25	S
CALL DUNKIN BRANDS GROUP		-3	4/29/2014	60 00	3/14/2014	359 99	299 99	S
CALL DUNKIN BRANDS GROUP		-24	5/1/2014	480 00	3/14/2014	2,879 95	2,399 95	S
CALL DUNKIN BRANDS GROUP		-1	4/28/2014	20 00	3/14/2014	120 00	100 00	S
CALL EXXON MOBIL CORP		-30	9/10/2014	1,860 00	3/14/2014	3,779 93	1,919 93	S
CALL EXXON MOBIL CORP		-15	9/10/2014	930 00	3/19/2014	1,861 27	931 27	S
CALL EXXON MOBIL CORP		-15	9/10/2014	930 00	3/28/2014	3,072 70	2,142 70	S
CALL FORD MOTOR CO COM		-47	9/22/2014	282 00	7/17/2014	1,409 97	1,127 97	S
CALL FORD MOTOR CO COM		-50	12/20/2013	1,200 00	12/13/2013	2,499 96	1,299 96	S
CALL FORD MOTOR CO COM		-35	12/20/2013	840 00	12/17/2013	1,819 97	979 97	S
CALL GENL MILLS INC		-34	6/25/2014	1,511 65	5/13/2014	3,604 32	2,092 67	S
CALL GENL MILLS INC		-19	3/19/2014	329 87	9/27/2013	1,142 34	812.47	S
CALL GENL MILLS INC		-6	3/14/2014	120 00	9/27/2013	360 74	240 74	S
CALL GENL MILLS INC		-5	3/24/2014	83 79	9/27/2013	300 61	216 82	S
CALL GENL MILLS INC		-8	3/24/2014	134 06	10/8/2013	479 99	345 93	S
CALL GENL MILLS INC		-30	3/24/2014	502 73	10/9/2013	1,866 95	1,364 22	S
CALL GENL MILLS INC		-35	3/24/2014	586 52	10/10/2013	2,450 22	1,863 70	S
CALL GENL MILLS INC		-12	3/24/2014	201 09	10/16/2013	803 99	602 90	S
CALL INTEL CORP		-27	10/20/2014	729 00	7/16/2014	2,240 97	1,511 97	S
CALL INTEL CORP		-10	10/20/2014	270 00	7/16/2014	829 99	559 99	S
CALL INTEL CORP		-15	10/20/2014	405 00	7/17/2014	1,364 97	959 97	S
CALL INTEL CORP		-44	5/20/2014	484 00	1/13/2014	2,111 96	1,627 96	S
CALL INTEL CORP		-3	5/20/2014	33 00	1/14/2014	239 99	206.99	S
CALL JOHNSON & JOHNSON		-4	10/10/2014	259.44	9/25/2014	579 99	320 55	S
CALL JOHNSON & JOHNSON		-33	10/10/2014	2,140 37	9/30/2014	3,964 93	1,824 56	S
CALL JOHNSON & JOHNSON		-32	7/15/2014	11,520 00	11/20/2013	5,840 78	(5,679 22)	S
CALL JOHNSON & JOHNSON		-1	7/16/2014	273.46	11/20/2013	182 52	(90 94)	S
CALL JOHNSON & JOHNSON		-32	7/16/2014	8,750 61	1/9/2014	4,191 93	(4,558.68)	S
CALL KRAFT FOODS GROUP I		-12	9/22/2014	0 01	1/24/2014	660 12	660 11	S
CALL KRAFT FOODS GROUP I		-3	9/11/2014	15 00	1/24/2014	165 02	150 02	S
CALL KRAFT FOODS GROUP I		-5	8/7/2014	50 00	1/24/2014	275.05	225.05	S
CALL KRAFT FOODS GROUP I		-7	9/10/2014	35 00	1/24/2014	385.07	350 07	S
CALL KRAFT FOODS GROUP I		-26	9/22/2014	0 01	1/27/2014	1,429 98	1,429 97	S
CALL MCDONALDS CORP		-27	3/12/2014	1,350 00	11/26/2013	2,930 77	1,580.77	S
CALL MCDONALDS CORP		-27	7/23/2014	243 00	3/12/2014	2,862 59	2,619 59	S
CALL MCDONALDS CORP		-18	7/31/2014	180 00	3/12/2014	1,908.39	1,728.39	S
CALL MCDONALDS CORP		-7	7/22/2014	84 00	3/12/2014	742 15	658.15	S
CALL MERCK & CO INC NEW		-20	10/27/2014	659 94	7/28/2014	1,739 96	1,080 02	S
CALL MERCK & CO INC NEW		-42	10/28/2014	1,378 73	7/28/2014	3,653 92	2,275 19	S
CALL MERCK & CO INC NEW		10	10/28/2014	328.27	7/30/2014	801.02	472 75	S
CALL MERCK & CO INC NEW		-21	11/10/2014	840 00	7/30/2014	1,682 14	842 14	S
CALL MERCK & CO INC NEW		-5	11/10/2014	200 00	7/31/2014	360 01	160.01	S
CALL MERCK & CO INC NEW		-8	11/14/2014	344 00	7/31/2014	576.01	232.01	S
CALL MERCK & CO INC NEW		-19	11/14/2014	817 00	8/1/2014	1,329 97	512 97	S
CALL MERCK & CO INC NEW		-37	5/8/2014	3,253 76	1/27/2014	4,462 69	1,208.93	S
CALL MERCK & CO INC NEW		-39	5/8/2014	3,429 63	2/5/2014	5,459 90	2,030.27	S
CALL NORTHEAST UTILITIES		-68	10/20/2014	0.01	4/28/2014	3,059 93	3,059 92	S
CALL PFIZER INC		-45	4/10/2014	1,934 97	2/3/2014	2,924 95	989 98	S
CALL PFIZER INC		-4	4/10/2014	172 00	2/4/2014	280 53	108.53	S
CALL PFIZER INC		-41	4/16/2014	1,063.31	2/4/2014	2,875 43	1,812 12	S
CALL PROCTER & GAMBLE CO		-69	1/27/2014	1,376 96	11/13/2013	8,145 01	6,768.05	S
CALL STARBUCKS CORP		-1	10/7/2014	20 00	7/31/2014	99 99	79 99	S
CALL STARBUCKS CORP		-18	10/7/2014	360 00	8/14/2014	1,313 97	953 97	S
CALL STARBUCKS CORP		-18	4/15/2014	450 00	3/12/2014	2,523 38	2,073.38	S
CALL STARBUCKS CORP		-18	8/14/2014	781 70	6/19/2014	2,319 69	1,537 99	S
CALL WAL MART STORES INC		15	9/23/2014	262.90	3/13/2014	1,812.57	1,549 67	S
CALL WAL MART STORES INC		-19	9/24/2014	361.00	3/13/2014	2,295 92	1,934 92	S
CALL WAL MART STORES INC		-34	1/28/2014	476.00	12/2/2013	4,429.50	3,953.50	S
CALL WASTE MGMT INC NEW		-45	2/21/2014	883.48	1/2/2014	3,374 94	2,491 46	S
CALL WASTE MGMT INC NEW		-25	2/21/2014	490 82	1/9/2014	1,249 98	759 16	S
CALL WELLS FARGO & CO NE		-7	7/18/2014	3,010 00	11/18/2013	705.39	(2,304 61)	S
CALL WELLS FARGO & CO NE		-35	7/17/2014	14,000 00	11/18/2013	3,526.94	(10,473.06)	S
CALL WELLS FARGO & CO NE		-29	7/18/2014	12,470 00	11/19/2013	2,928.95	(9,541.05)	S
CALL 3M CO		-11	12/24/2013	13,471 25	8/20/2013	1,099 98	(12,371 27)	S
CALL 3M CO		-14	12/24/2013	17,145 23	9/30/2013	2,225 96	(14,919 27)	S
CALL 3M CO		-6	7/17/2014	3,300 00	2/3/2014	855 75	(2,444 25)	S
CALL 3M CO		-19	7/17/2014	10,450 00	2/4/2014	2,856.76	(7,593.24)	S

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
CALL 3M CO		-16	7/15/2014	832 00	3/10/2014	1,748 29	916.29	S
CANTEL MEDICAL CORP	138098108	203	12/23/2013	7,128 63	3/17/2014	6,512 12	(616.51)	S
CARBO CERAMICS INC	140781105	156	12/23/2013	17,724 72	3/17/2014	18,797 71	1,072 99	S
CHART INDUSTRIES INC	16115Q308	18	4/2/2013	1,372 25	3/17/2014	1,515.07	142 82	S
CHART INDUSTRIES INC	16115Q308	10	4/3/2013	754 78	3/17/2014	841 71	86.93	S
CHART INDUSTRIES INC	16115Q308	71	12/23/2013	6,517 45	3/17/2014	5,976 15	(541 30)	S
CHART INDUSTRIES INC	16115Q308	104	2/11/2014	9,037 89	3/17/2014	8,753 79	(284 10)	S
CHART INDUSTRIES INC	16115Q308	32	2/12/2014	2,799 65	3/17/2014	2,693.47	(106.18)	S
CHEFS WHSE INC COM	163086101	29	12/2/2013	708 64	3/17/2014	601 10	(107 54)	S
CHEFS WHSE INC COM	163086101	320	12/3/2013	8,023 20	3/17/2014	6,632 85	(1,390 35)	S
CHEFS WHSE INC COM	163086101	12	12/4/2013	307 14	3/17/2014	248.73	(58.41)	S
CHEFS WHSE INC COM	163086101	28	12/5/2013	726 04	3/17/2014	580 37	(145 67)	S
CHEFS WHSE INC COM	163086101	35	12/6/2013	901 25	3/17/2014	725.47	(175 78)	S
CHEFS WHSE INC COM	163086101	150	12/23/2013	4,341 59	3/17/2014	3,109 14	(1,232.45)	S
CHEFS WHSE INC COM	163086101	205	2/18/2014	4,875 88	3/17/2014	4,249 17	(626 71)	S
CITY NATIONAL CORP	178566105	66	12/23/2013	5,220 60	3/17/2014	4,992 20	(228.40)	S
CLARCOR INC	179895107	84	11/7/2013	4,976 01	3/17/2014	4,781 76	(194 25)	S
CLARCOR INC	179895107	283	12/23/2013	17,891 60	3/17/2014	16,109 99	(1,781 61)	S
COHEN & STEERS INC	19247A100	310	12/23/2013	12,736 97	3/17/2014	11,751 13	(985 84)	S
COHERENT INC	192479103	147	12/23/2013	10,798 91	3/17/2014	9,910 52	(888.39)	S
COLUMBIA SPORTSWEAR CO	198516106	162	12/23/2013	12,343 04	3/17/2014	13,643 34	1,300 30	S
COMMERCIAL METALS CO	201723103	558	12/23/2013	10,936 74	3/17/2014	10,518 07	(418 67)	S
COMMVAULT SYSTEMS INC	204166102	138	3/11/2014	8,991 76	3/17/2014	8,743 63	(248 13)	S
COMMVAULT SYSTEMS INC	204166102	193	3/11/2014	12,611 30	3/17/2014	12,228.40	(382 90)	S
COMMVAULT SYSTEMS INC	204166102	33	3/12/2014	2,089.43	3/17/2014	2,090 87	1 44	S
COMMVAULT SYSTEMS INC	204166102	33	3/13/2014	2,047 98	3/17/2014	2,090 86	42 88	S
COMMVAULT SYSTEMS INC	204166102	32	3/14/2014	2,004 37	3/17/2014	2,027 51	23 14	S
COMPUTER PROGRAMS & SYSTEMS INC	205306103	59	7/2/2013	2,805 17	3/17/2014	3,928 38	1,123 21	S
COMPUTER PROGRAMS & SYSTEMS INC	205306103	22	7/3/2013	1,063 66	3/17/2014	1,464 82	401 16	S
COMPUTER PROGRAMS & SYSTEMS INC	205306103	7	7/8/2013	348.03	3/17/2014	466 08	118 05	S
COMPUTER PROGRAMS & SYSTEMS INC	205306103	38	7/9/2013	1,904 70	3/17/2014	2,530 14	625 44	S
COMPUTER PROGRAMS & SYSTEMS INC	205306103	29	7/10/2013	1,489 70	3/17/2014	1,930 90	441 20	S
COMPUTER PROGRAMS & SYSTEMS INC	205306103	180	12/23/2013	11,113 20	3/17/2014	11,984 89	871 69	S
CORESITE RLTY CORP COM REIT	21870Q105	94	7/12/2013	3,055 00	3/17/2014	2,884 80	(170 20)	S
CORESITE RLTY CORP COM REIT	21870Q105	56	7/15/2013	1,855 46	3/17/2014	1,718 61	(136 85)	S
CORESITE RLTY CORP COM REIT	21870Q105	351	12/23/2013	11,064 64	3/17/2014	10,771 99	(292 65)	S
CORPORATE OFFICE PPTYS	22002T108	98	1/14/2013	2,471 52	12/13/2013	2,157 01	(314 51)	S
CORVEL CORP	221006109	42	12/3/2013	1,899 71	3/17/2014	2,178 83	279 12	S
CORVEL CORP	221006109	65	12/9/2013	2,991 40	3/17/2014	3,371 99	380 59	S
CORVEL CORP	221006109	7	12/11/2013	319 55	3/17/2014	363 14	43 59	S
CORVEL CORP	221006109	4	12/12/2013	184.48	3/17/2014	207 51	23 03	S
CORVEL CORP	221006109	5	12/13/2013	229 95	3/17/2014	259 38	29 43	S
CORVEL CORP	221006109	17	12/16/2013	789 79	3/17/2014	881 91	92 12	S
CORVEL CORP	221006109	39	12/17/2013	1,793 56	3/17/2014	2,023 19	229 63	S
CORVEL CORP	221006109	64	12/23/2013	3,020 16	3/17/2014	3,320 12	299 96	S
CORVEL CORP	221006109	6	1/8/2014	279 10	3/17/2014	311 26	32 16	S
CORVEL CORP	221006109	4	1/9/2014	188 96	3/17/2014	207 51	18 55	S
CORVEL CORP	221006109	22	1/13/2014	1,049 99	3/17/2014	1,141 29	91 30	S
CYBERONICS INC	23251P102	54	2/11/2014	3,754 89	3/17/2014	3,583 79	(171 10)	S
CYBERONICS INC	23251P102	26	2/12/2014	1,810 81	3/17/2014	1,725 53	(85 28)	S
CYBERONICS INC	23251P102	37	2/13/2014	2,661 47	3/17/2014	2,455 57	(205 90)	S
CYBERONICS INC	23251P102	12	2/14/2014	854 57	3/17/2014	796 39	(58 18)	S
CYBERONICS INC	23251P102	128	2/18/2014	9,318 39	3/17/2014	8,494 92	(823 47)	S
CYBERONICS INC	23251P102	53	2/19/2014	3,848 14	3/17/2014	3,517.43	(330 71)	S
CYBERONICS INC	23251P102	72	2/20/2014	4,910 39	3/17/2014	4,778.39	(132 00)	S
DARLING INTL INC	237266101	86	6/11/2013	1,670 73	3/17/2014	1,709 06	38 33	S
DARLING INTL INC	237266101	236	6/12/2013	4,613 14	3/17/2014	4,689 97	76 83	S
DARLING INTL INC	237266101	1	6/13/2013	19 55	3/17/2014	19 88	0 33	S
DARLING INTL INC	237266101	447	12/23/2013	9,380 92	3/17/2014	8,883 12	(497 80)	S
DEERE AND CO	244199105	1,700 00	1/31/2014	146,766 94	2/5/2014	142,106.80	(4,660 14)	S
DIME CMNTY BANCSHARES	253922108	284	12/23/2013	4,843 93	3/17/2014	4,913 86	69 93	S
DORMAN PRODUCTS INC	258278100	13	11/19/2013	632 54	3/17/2014	758 84	126 30	S
DORMAN PRODUCTS INC	258278100	10	11/20/2013	485 39	3/17/2014	583 73	98 34	S
DORMAN PRODUCTS INC	258278100	26	11/21/2013	1,279 57	3/17/2014	1,517 70	238 13	S
DORMAN PRODUCTS INC	258278100	234	12/23/2013	13,008 08	3/17/2014	13,659 26	651 18	S
DREYFUS INTERNATIONAL BOND FUND CLASS A	261980684	221 74	8/1/2013	3,618.85	6/11/2014	3,765 18	146 33	S
DREYFUS INTERNATIONAL BOND FUND CLASS A	261980684	139 25	11/1/2013	2,285.03	6/11/2014	2,364 38	79 35	S
DREYFUS INTERNATIONAL BOND FUND CLASS A	261980684	253 3	12/27/2013	4,111 06	6/11/2014	4,301 01	189 95	S
DREYFUS INTERNATIONAL BOND FUND CLASS A	261980684	191 96	5/1/2014	3,244 06	6/11/2014	3,259 40	15 34	S
DSW INC CL A	23334L102	154	3/19/2013	4,815 21	3/17/2014	6,160.47	1,345 26	S
DSW INC CL A	23334L102	44	3/20/2013	1,406.84	3/17/2014	1,760 13	353 29	S
DSW INC CL A	23334L102	148	12/23/2013	6,248 06	3/17/2014	5,920 45	(327 61)	S
DSW INC CL A	23334L102	679	1/14/2014	26,368.76	3/17/2014	27,162 04	793 28	S
DUPONT FABROS TECHNOLOGY INC	26613Q106	494	12/23/2013	12,107 89	3/17/2014	12,306.11	198.22	S
EAGLE BANCORP INC (MD)	268948106	8	11/7/2013	220 18	3/17/2014	290 82	70 64	S
EAGLE BANCORP INC (MD)	268948106	23	11/8/2013	654 70	3/17/2014	836.10	181 40	S
EAGLE BANCORP INC (MD)	268948106	90	11/11/2013	2,563 94	3/17/2014	3,271 70	707 76	S
EAGLE BANCORP INC (MD)	268948106	61	11/12/2013	1,736.23	3/17/2014	2,217.48	481 25	S
EAGLE BANCORP INC (MD)	268948106	7	11/13/2013	200 16	3/17/2014	254.47	54 31	S
EAGLE BANCORP INC (MD)	268948106	8	11/14/2013	227 31	3/17/2014	290 81	63 50	S
EAGLE BANCORP INC (MD)	268948106	8	11/15/2013	230 36	3/17/2014	290 82	60 46	S
EAGLE BANCORP INC (MD)	268948106	26	11/18/2013	756.13	3/17/2014	945 16	189 03	S
EAGLE BANCORP INC (MD)	268948106	60	11/19/2013	1,787 75	3/17/2014	2,181 13	393 38	S
EAGLE BANCORP INC (MD)	268948106	15	11/20/2013	457 89	3/17/2014	545 28	87 39	S
EAGLE BANCORP INC (MD)	268948106	23	11/22/2013	717 66	3/17/2014	836 10	118 44	S
EAGLE BANCORP INC (MD)	268948106	12	11/25/2013	381 14	3/17/2014	436 23	55 09	S
EAGLE BANCORP INC (MD)	268948106	29	12/3/2013	901 89	3/17/2014	1,054 21	152 32	S
EAGLE BANCORP INC (MD)	268948106	33	12/4/2013	1,032 94	3/17/2014	1,199 63	166 69	S
EAGLE BANCORP INC (MD)	268948106	26	12/5/2013	826 57	3/17/2014	945 15	118.58	S
EAGLE BANCORP INC (MD)	268948106	7	12/6/2013	227 48	3/17/2014	254 47	26.99	S

NEW BALANCE FOUNDATION
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TIN 04-6470644

STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
EAGLE BANCORP INC (MD)	268948106	155	12/23/2013	4,808.88	3/17/2014	5,634 59	825 71	S
EATON VANCE INCOME FUND OF BOSTON CLASS A	277907101	412,541 25	1/30/2014	2,500,005 25	7/31/2014	2,491,749 17	(8,256 08)	S
EATON VANCE INCOME FUND OF BOSTON CLASS A	277907101	1,568 36	2/28/2014	9,629 76	7/31/2014	9,472 92	(156 84)	S
EATON VANCE INCOME FUND OF BOSTON CLASS A	277907101	2,039 63	3/31/2014	12,482 56	7/31/2014	12,319 39	(163 17)	S
EATON VANCE INCOME FUND OF BOSTON CLASS A	277907101	1,984.06	4/30/2014	12,142.46	7/31/2014	11,983 74	(158.72)	S
EATON VANCE INCOME FUND OF BOSTON CLASS A	277907101	2,053 26	5/30/2014	12,607 04	7/31/2014	12,401 71	(205 33)	S
EATON VANCE INCOME FUND OF BOSTON CLASS A	277907101	1,993 20	6/30/2014	12,258.15	7/31/2014	12,038.90	(219 25)	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,362 65	8/29/2013	19,181 60	7/31/2014	18,664 93	(516.67)	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	105,363 98	9/12/2013	856,767 54	7/31/2014	832,375 48	(24,392.06)	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,292 84	9/27/2013	17,952 93	7/31/2014	18,113.43	160 50	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,067.55	10/30/2013	8,667 05	7/31/2014	8,433 63	(233 42)	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,046 59	11/27/2013	8,434 11	7/31/2014	8,268.06	(166 05)	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,083.06	12/30/2013	8,480 36	7/31/2014	8,556.18	75 82	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,091 70	1/30/2014	8,515 23	7/31/2014	8,624.40	109 17	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	986 87	2/27/2014	7,727 21	7/31/2014	7,796.29	69.08	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,094 66	3/28/2014	8,582 16	7/31/2014	8,647 83	65 67	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,063 67	4/29/2014	8,349 79	7/31/2014	8,402 98	53 19	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,093 76	5/29/2014	8,651 66	7/31/2014	8,640 72	(10 94)	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,065.46	6/27/2014	8,417 10	7/31/2014	8,417 10	-	S
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,098 39	7/30/2014	8,721 19	7/31/2014	8,677 26	(43 93)	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,152.41	5/21/2013	23,353 69	5/9/2014	24,860 36	1,506.67	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,242 22	6/19/2013	23,431 18	5/9/2014	25,897 60	2,466.42	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,209 76	7/19/2013	23,511 90	5/9/2014	25,522 76	2,010 86	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,251 09	8/20/2013	23,591.45	5/9/2014	26,000 11	2,408 66	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,712 70	9/19/2013	18,565 62	5/9/2014	19,781 62	1,216.00	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,693 39	10/21/2013	18,627 29	5/9/2014	19,558 64	931 35	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,670 08	11/19/2013	18,688.25	5/9/2014	19,289 46	601 21	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,670 98	12/19/2013	18,748.37	5/9/2014	19,299 78	551.41	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,645 54	12/31/2013	18,808.53	5/9/2014	19,005 98	197.45	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,656.52	2/19/2014	18,867 77	5/9/2014	19,132 80	265 03	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,660 30	3/19/2014	18,927 40	5/9/2014	19,176 43	249 03	S
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	1,648 19	4/22/2014	18,987 17	5/9/2014	19,036 60	49.43	S
ENCORE CAPITAL GROUP INC	292554102	9	10/8/2013	414 97	3/17/2014	427 28	12 31	S
ENCORE CAPITAL GROUP INC	292554102	74	10/9/2013	3,413 18	3/17/2014	3,513 22	100 04	S
ENCORE CAPITAL GROUP INC	292554102	214	10/10/2013	10,072 00	3/17/2014	10,159 86	87 86	S
ENCORE CAPITAL GROUP INC	292554102	27	10/11/2013	1,293 02	3/17/2014	1,281 85	(11 17)	S
ENCORE CAPITAL GROUP INC	292554102	29	10/14/2013	1,414.49	3/17/2014	1,376 80	(37 69)	S
ENCORE CAPITAL GROUP INC	292554102	189	10/15/2013	9,307 55	3/17/2014	8,972 96	(334 59)	S
ENCORE CAPITAL GROUP INC	292554102	74	10/23/2013	3,571 10	3/17/2014	3,513 22	(57 88)	S
ENCORE CAPITAL GROUP INC	292554102	58	10/29/2013	2,906.49	3/17/2014	2,753 60	(152 89)	S
ENCORE CAPITAL GROUP INC	292554102	24	10/30/2013	1,210 86	3/17/2014	1,139 42	(71.44)	S
ENCORE CAPITAL GROUP INC	292554102	156	10/31/2013	7,680 64	3/17/2014	7,406.25	(274 39)	S
ENCORE CAPITAL GROUP INC	292554102	16	12/3/2013	762 72	3/17/2014	759 62	(3 10)	S
ENCORE CAPITAL GROUP INC	292554102	70	12/4/2013	3,312 76	3/17/2014	3,323 31	10 55	S
ENCORE CAPITAL GROUP INC	292554102	76	12/5/2013	3,570 08	3/17/2014	3,608.18	38.10	S
ENCORE CAPITAL GROUP INC	292554102	360	12/23/2013	17,556.97	3/17/2014	17,091 34	(465 63)	S
ESCO TECHNOLOGIES INC	296315104	216	12/23/2013	7,421 59	3/17/2014	8,001 97	580 38	S
FIRST FINCL BANKSHARES INC	32020R109	142	12/23/2013	9,401 82	3/17/2014	8,714 15	(687 67)	S
FIRST NBC BK HLDG CO	32115D106	120	8/2/2013	3,086.27	3/17/2014	3,989 05	902 78	S
FIRST NBC BK HLDG CO	32115D106	126	8/5/2013	3,195 62	3/17/2014	4,188 50	992 88	S
FIRST NBC BK HLDG CO	32115D106	81	8/6/2013	2,112 34	3/17/2014	2,692 61	580 27	S
FIRST NBC BK HLDG CO	32115D106	29	9/11/2013	684 86	3/17/2014	964 02	279 16	S
FIRST NBC BK HLDG CO	32115D106	11	9/12/2013	260 76	3/17/2014	365 66	104 90	S
FIRST NBC BK HLDG CO	32115D106	130	9/13/2013	3,089 39	3/17/2014	4,321 47	1,232 08	S
FIRST NBC BK HLDG CO	32115D106	9	9/16/2013	214.07	3/17/2014	299 18	85 11	S
FIRST NBC BK HLDG CO	32115D106	46	9/20/2013	1,103 54	3/17/2014	1,529 14	425 60	S
FIRST NBC BK HLDG CO	32115D106	161	12/23/2013	5,036.91	3/17/2014	5,351 97	315.06	S
FORTINET INC	34959E109	106	6/11/2013	1,945 13	3/17/2014	2,430 53	485 40	S
FORTINET INC	34959E109	330	6/12/2013	6,018.05	3/17/2014	7,566 73	1,548.68	S
FORTINET INC	34959E109	41	6/13/2013	731 44	3/17/2014	940 11	208 67	S
FORTINET INC	34959E109	79	6/14/2013	1,400 69	3/17/2014	1,811.43	410 74	S
FORTINET INC	34959E109	80	6/17/2013	1,423 09	3/17/2014	1,834 36	411 27	S
FORTINET INC	34959E109	91	6/18/2013	1,642 50	3/17/2014	2,086.58	444 08	S
FORTINET INC	34959E109	287	6/19/2013	5,146 66	3/17/2014	6,580 77	1,434 11	S
FORTINET INC	34959E109	67	12/3/2013	1,141 59	3/17/2014	1,536.27	394 68	S
FORTINET INC	34959E109	143	12/4/2013	2,483 61	3/17/2014	3,278.92	795 31	S
FORTINET INC	34959E109	433	12/23/2013	8,221 76	3/17/2014	9,928.47	1,706.71	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	17	5/17/2013	503 73	3/17/2014	465.45	(38.28)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	90	5/20/2013	2,682 56	3/17/2014	2,464 12	(218.44)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	61	5/21/2013	1,825.40	3/17/2014	1,670 13	(155 27)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	140	5/22/2013	4,232.19	3/17/2014	3,833 09	(399 10)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	192	5/23/2013	5,671 68	3/17/2014	5,256 81	(414 87)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	63	5/24/2013	1,860 65	3/17/2014	1,724 89	(135 76)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	10	5/28/2013	304 66	3/17/2014	273 79	(30 87)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	36	5/29/2013	1,082 94	3/17/2014	985 65	(97 29)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	61	5/30/2013	1,839 15	3/17/2014	1,670.13	(169 02)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	9	6/5/2013	270 37	3/17/2014	246.41	(23 96)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	31	6/6/2013	928.52	3/17/2014	848.76	(79 76)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	9	6/7/2013	272 75	3/17/2014	246.41	(26.34)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	17	6/10/2013	516.04	3/17/2014	465.45	(50.59)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	57	6/11/2013	1,731 61	3/17/2014	1,560 61	(171 00)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	12	6/12/2013	363 69	3/17/2014	328.55	(35 14)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	168	7/29/2013	4,780 36	3/17/2014	4,599 71	(180 65)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	48	7/30/2013	1,381 72	3/17/2014	1,314 20	(67 52)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	78	7/31/2013	2,273 61	3/17/2014	2,135 57	(138.04)	S
FORUM ENERGY TECHNOLOGIES INC	34984V100	389	12/23/2013	10,722 94	3/17/2014	10,650.51	(72.43)	S
FRANKLIN ELECTRIC CO	353514102	311	12/23/2013	14,045 41	3/17/2014	13,528.14	(517 27)	S
FRANKLIN MUTUAL EUROPEAN FUND CLASS Z	628380503	124,325 71	3/17/2014	3,064,229 48	10/15/2014	2,757,544 29	(306,685 19)	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	749 58	8/15/2013	9,639 57	7/17/2014	9,961 89	322 32	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	744 38	9/16/2013	9,669 55	7/17/2014	9,892 87	223 32	S

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	737 03	10/15/2013	9,699 33	7/17/2014	9,795 14	95 81	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	744 93	11/15/2013	9,728 81	7/17/2014	9,900 15	171 34	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	1,635 78	12/16/2013	21,346.96	7/17/2014	21,739 54	392 58	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	57 95	12/16/2013	756 29	7/17/2014	770 19	13 90	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	751 25	1/15/2014	9,826.35	7/17/2014	9,984 12	157 77	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	765 25	2/18/2014	9,856.39	7/17/2014	10,170 14	313 75	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	768 82	3/17/2014	9,887 01	7/17/2014	10,217 61	330 60	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	569 55	4/15/2014	7,438.32	7/17/2014	7,569 32	131 00	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	564 8	5/15/2014	7,455.41	7/17/2014	7,506 24	50 83	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	560 99	6/16/2014	7,472 36	7/17/2014	7,455 53	(16 83)	S
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	560 99	7/15/2014	7,489 18	7/17/2014	7,455 52	(33 66)	S
FRESH MKT INC	35804H106	83	1/14/2013	3,888.54	12/4/2013	3,276 59	(611 95)	S
GENTHERM INC	37253A103	21	5/7/2013	353 05	3/17/2014	652 38	299 33	S
GENTHERM INC	37253A103	88	5/8/2013	1,499 31	3/17/2014	2,733 78	1,234 47	S
GENTHERM INC	37253A103	39	5/9/2013	671 77	3/17/2014	1,211 57	539 80	S
GENTHERM INC	37253A103	15	5/10/2013	257 58	3/17/2014	465 98	208.40	S
GENTHERM INC	37253A103	59	11/18/2013	1,437 22	3/17/2014	1,832 88	395 66	S
GENTHERM INC	37253A103	39	11/19/2013	940 81	3/17/2014	1,211 56	270 75	S
GENTHERM INC	37253A103	432	12/23/2013	11,384 58	3/17/2014	13,420 39	2,035 81	S
GEOSPACE TECHNOLOGIES CORP	37364X109	21	4/9/2013	1,966 56	3/17/2014	1,493 28	(473 28)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	18	4/10/2013	1,686 55	3/17/2014	1,279 95	(406 60)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	16	4/11/2013	1,520 41	3/17/2014	1,137 73	(382 68)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	17	5/14/2013	1,487 91	3/17/2014	1,208.85	(279.06)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	37	5/15/2013	3,241 97	3/17/2014	2,631.01	(610 96)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	21	5/16/2013	1,837 06	3/17/2014	1,493 28	(343 78)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	19	5/17/2013	1,663 55	3/17/2014	1,351.06	(312.49)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	14	5/20/2013	1,243 15	3/17/2014	995 51	(247 64)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	7	5/21/2013	630 14	3/17/2014	497 76	(132 38)	S
GEOSPACE TECHNOLOGIES CORP	37364X109	167	12/23/2013	15,584 42	3/17/2014	11,875 11	(3,709 31)	S
HAEMONETICS CORP MASS	405024100	118	3/18/2013	4,867 41	3/17/2014	4,374.00	(493.41)	S
HAEMONETICS CORP MASS	405024100	83	3/19/2013	3,427 29	3/17/2014	3,076 63	(350 66)	S
HAEMONETICS CORP MASS	405024100	32	3/20/2013	1,329 11	3/17/2014	1,186 17	(142 94)	S
HAEMONETICS CORP MASS	405024100	22	4/9/2013	885 50	3/17/2014	815 49	(70 01)	S
HAEMONETICS CORP MASS	405024100	10	4/11/2013	411 94	3/17/2014	370 67	(41 27)	S
HAEMONETICS CORP MASS	405024100	15	4/12/2013	613 79	3/17/2014	556 02	(57 77)	S
HAEMONETICS CORP MASS	405024100	19	4/12/2013	777 62	3/17/2014	704 29	(73 33)	S
HAEMONETICS CORP MASS	405024100	42	4/15/2013	1,698.77	3/17/2014	1,556.85	(141 92)	S
HAEMONETICS CORP MASS	405024100	23	4/15/2013	925 00	3/17/2014	852 55	(72 45)	S
HAEMONETICS CORP MASS	405024100	20	4/16/2013	798.28	3/17/2014	741 36	(56.92)	S
HAEMONETICS CORP MASS	405024100	18	4/16/2013	718.92	3/17/2014	667 22	(51 70)	S
HAEMONETICS CORP MASS	405024100	50	4/17/2013	1,984 86	3/17/2014	1,853 39	(131.47)	S
HAEMONETICS CORP MASS	405024100	27	4/17/2013	1,076 76	3/17/2014	1,000 83	(75 93)	S
HAEMONETICS CORP MASS	405024100	24	4/18/2013	948 23	3/17/2014	889 63	(58.60)	S
HAEMONETICS CORP MASS	405024100	55	11/18/2013	2,179 93	3/17/2014	2,038.72	(141 21)	S
HAEMONETICS CORP MASS	405024100	32	11/19/2013	1,271 36	3/17/2014	1,186.17	(85 19)	S
HAEMONETICS CORP MASS	405024100	39	11/20/2013	1,552 11	3/17/2014	1,445 65	(106 46)	S
HAEMONETICS CORP MASS	405024100	242	12/23/2013	10,381 78	3/17/2014	8,970 40	(1,411 38)	S
HERMAN MILLER INC	600544100	145	12/23/2013	4,311 63	3/17/2014	4,099 42	(212 21)	S
HFF INC CL A	40418F108	52	8/27/2013	1,172 14	3/17/2014	1,764 89	592 75	S
HFF INC CL A	40418F108	30	8/28/2013	683 72	3/17/2014	1,018.21	334.49	S
HFF INC CL A	40418F108	58	8/30/2013	1,331 74	3/17/2014	1,968.53	636.79	S
HFF INC CL A	40418F108	60	9/3/2013	1,385 27	3/17/2014	2,036.42	651 15	S
HFF INC CL A	40418F108	124	9/4/2013	2,878 30	3/17/2014	4,208 59	1,330 29	S
HFF INC CL A	40418F108	54	9/5/2013	1,260 73	3/17/2014	1,832 77	572 04	S
HFF INC CL A	40418F108	56	9/6/2013	1,301 38	3/17/2014	1,900 66	599 28	S
HFF INC CL A	40418F108	28	9/9/2013	655 29	3/17/2014	950.32	295.03	S
HFF INC CL A	40418F108	26	9/10/2013	613 62	3/17/2014	882.45	268 83	S
HFF INC CL A	40418F108	12	9/11/2013	285.00	3/17/2014	407 28	122 28	S
HFF INC CL A	40418F108	54	9/12/2013	1,282 14	3/17/2014	1,832 78	550 64	S
HFF INC CL A	40418F108	48	9/25/2013	1,129 77	3/17/2014	1,629 13	499 36	S
HFF INC CL A	40418F108	22	9/26/2013	537 76	3/17/2014	746.68	208.92	S
HFF INC CL A	40418F108	29	9/30/2013	726.45	3/17/2014	984 27	257 82	S
HFF INC CL A	40418F108	5	10/2/2013	125 56	3/17/2014	169 70	44 14	S
HFF INC CL A	40418F108	49	10/3/2013	1,225.00	3/17/2014	1,663 07	438.07	S
HFF INC CL A	40418F108	277	12/23/2013	7,360 94	3/17/2014	9,401.45	2,040 51	S
HIBBETT SPORTS INC	428567101	91	11/19/2013	5,762 98	3/17/2014	5,116 18	(646 80)	S
HIBBETT SPORTS INC	428567101	193	12/23/2013	12,847 78	3/17/2014	10,850 80	(1,996.98)	S
HUB GROUP INC CL A	443320106	23	9/11/2013	893 99	3/17/2014	935 16	41 17	S
HUB GROUP INC CL A	443320106	27	9/12/2013	1,037.02	3/17/2014	1,097 80	60 78	S
HUB GROUP INC CL A	443320106	29	9/13/2013	1,120 86	3/17/2014	1,179 12	58.26	S
HUB GROUP INC CL A	443320106	296	12/23/2013	11,666.31	3/17/2014	12,035 12	368 81	S
ICU MEDICAL INC	44930G107	165	12/23/2013	10,655 68	3/17/2014	9,675.40	(980 28)	S
II VI INC	902104108	41	4/3/2013	689 57	3/17/2014	643.48	(46 09)	S
II VI INC	902104108	51	4/4/2013	862 17	3/17/2014	800.43	(61 74)	S
II VI INC	902104108	40	4/5/2013	673.00	3/17/2014	627 79	(45 21)	S
II VI INC	902104108	94	4/8/2013	1,587 91	3/17/2014	1,475 29	(112 62)	S
II VI INC	902104108	102	4/9/2013	1,733 27	3/17/2014	1,600.86	(132.41)	S
II VI INC	902104108	31	4/10/2013	535 93	3/17/2014	486.53	(49 40)	S
II VI INC	902104108	16	4/11/2013	278.85	3/17/2014	251 12	(27 73)	S
II VI INC	902104108	19	4/12/2013	330.17	3/17/2014	298.20	(31 97)	S
II VI INC	902104108	21	4/15/2013	357 95	3/17/2014	329 58	(28.37)	S
II VI INC	902104108	29	4/16/2013	495 41	3/17/2014	455 15	(40.26)	S
II VI INC	902104108	15	4/17/2013	255 17	3/17/2014	235 42	(19 75)	S
II VI INC	902104108	473	12/23/2013	8,114 46	3/17/2014	7,423 57	(690.89)	S
INDEPENDENT BANK CORP MASS	453836108	198	12/23/2013	7,786 57	3/17/2014	7,738.72	(47 85)	S
INTERDIGITAL INC (PA)	45867G101	52	12/3/2013	1,664 90	3/17/2014	1,607 88	(57.02)	S
INTERDIGITAL INC (PA)	45867G101	105	12/4/2013	3,458.19	3/17/2014	3,246.67	(211 52)	S
INTERDIGITAL INC (PA)	45867G101	220	12/23/2013	6,317 80	3/17/2014	6,802 56	484 76	S
IPC HEALTHCARE INC	44984A105	13	6/18/2013	674 27	3/17/2014	659.43	(14 84)	S
IPC HEALTHCARE INC	44984A105	20	6/19/2013	1,041 44	3/17/2014	1,014 50	(26.94)	S

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
IPC HEALTHCARE INC	44984A105	60	6/20/2013	3,125 35	3/17/2014	3,043 50	(81 85)	S
IPC HEALTHCARE INC	44984A105	52	6/21/2013	2,755 76	3/17/2014	2,637 71	(118.05)	S
IPC HEALTHCARE INC	44984A105	49	6/24/2013	2,611 14	3/17/2014	2,485 53	(125 61)	S
IPC HEALTHCARE INC	44984A105	33	6/25/2013	1,738.75	3/17/2014	1,673 92	(64 83)	S
IPC HEALTHCARE INC	44984A105	66	7/2/2013	3,398 63	3/17/2014	3,347 86	(50 77)	S
IPC HEALTHCARE INC	44984A105	10	7/3/2013	514 09	3/17/2014	507 25	(6 84)	S
IPC HEALTHCARE INC	44984A105	10	7/8/2013	531 95	3/17/2014	507 25	(24 70)	S
IPC HEALTHCARE INC	44984A105	17	7/9/2013	916.07	3/17/2014	862 33	(53 74)	S
IPC HEALTHCARE INC	44984A105	132	7/10/2013	7,069 31	3/17/2014	6,695 71	(373 60)	S
IPC HEALTHCARE INC	44984A105	23	7/11/2013	1,258 10	3/17/2014	1,166 68	(91 42)	S
IPC HEALTHCARE INC	44984A105	12	7/30/2013	599 71	3/17/2014	608 70	8.99	S
IPC HEALTHCARE INC	44984A105	20	7/31/2013	1,009 12	3/17/2014	1,014 50	5 38	S
IPC HEALTHCARE INC	44984A105	10	8/1/2013	504 95	3/17/2014	507 25	2 30	S
IPC HEALTHCARE INC	44984A105	16	8/2/2013	799 57	3/17/2014	811 60	12.03	S
IPC HEALTHCARE INC	44984A105	4	8/6/2013	204 74	3/17/2014	202 90	(1 84)	S
IPC HEALTHCARE INC	44984A105	9	8/7/2013	464 64	3/17/2014	456 53	(8.11)	S
IPC HEALTHCARE INC	44984A105	14	8/8/2013	725 49	3/17/2014	710 15	(15 34)	S
IPC HEALTHCARE INC	44984A105	4	8/9/2013	209 22	3/17/2014	202.90	(6.32)	S
IPC HEALTHCARE INC	44984A105	3	8/12/2013	159.48	3/17/2014	152 17	(7 31)	S
IPC HEALTHCARE INC	44984A105	46	8/13/2013	2,460 78	3/17/2014	2,333 36	(127 42)	S
IPC HEALTHCARE INC	44984A105	217	12/23/2013	13,072 06	3/17/2014	11,007 34	(2,064 72)	S
IPG PHOTONICS CORP	44980X109	14	3/19/2013	883 95	3/17/2014	932 10	48 15	S
IPG PHOTONICS CORP	44980X109	8	3/20/2013	511 70	3/17/2014	532 62	20 92	S
IPG PHOTONICS CORP	44980X109	21	3/21/2013	1,359 13	3/17/2014	1,398 15	39 02	S
IPG PHOTONICS CORP	44980X109	15	3/22/2013	974 83	3/17/2014	998.68	23 85	S
IPG PHOTONICS CORP	44980X109	18	3/25/2013	1,180 91	3/17/2014	1,198.41	17 50	S
IPG PHOTONICS CORP	44980X109	12	3/26/2013	792 29	3/17/2014	798 95	6 66	S
IPG PHOTONICS CORP	44980X109	18	3/26/2013	1,188 00	3/17/2014	1,198 41	10 41	S
IPG PHOTONICS CORP	44980X109	58	5/7/2013	3,464 12	3/17/2014	3,861 55	397 43	S
IPG PHOTONICS CORP	44980X109	130	5/8/2013	7,780 72	3/17/2014	8,655 21	874 49	S
IPG PHOTONICS CORP	44980X109	20	5/9/2013	1,219 57	3/17/2014	1,331 57	112 00	S
IPG PHOTONICS CORP	44980X109	23	5/10/2013	1,410 14	3/17/2014	1,531 31	121 17	S
IPG PHOTONICS CORP	44980X109	86	8/20/2013	4,766 09	3/17/2014	5,725 75	959 66	S
IPG PHOTONICS CORP	44980X109	96	10/1/2013	5,489 01	3/17/2014	6,391 54	902 53	S
IPG PHOTONICS CORP	44980X109	17	10/2/2013	990 35	3/17/2014	1,131 84	141 49	S
IPG PHOTONICS CORP	44980X109	24	10/3/2013	1,411 91	3/17/2014	1,597 88	185 97	S
IROBOT CORP	462726100	212	1/14/2013	4,228 21	1/14/2014	8,098.49	3,870 28	S
IROBOT CORP	462726100	139	12/23/2013	4,872 39	1/14/2014	5,309 86	437 47	S
JP MORGAN CHASE TRIGGER PAOS WAG 02/22/2016	48127H851	50,000 00	8/15/2014	500,000 00	11/19/2014	500,000 00	-	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	560 98	11/29/2013	6,675 83	11/20/2014	6,602 79	(73 04)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	793 78	12/31/2013	9,438.20	11/20/2014	9,342 81	(95 39)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	198 51	1/31/2014	2,364 33	11/20/2014	2,336.49	(27 84)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	561 32	2/28/2014	6,702 30	11/20/2014	6,606 71	(95 59)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	628.78	3/31/2014	7,501 50	11/20/2014	7,400 72	(100 78)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	265 62	4/30/2014	3,163 57	11/20/2014	3,126 33	(37 24)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	365 78	5/30/2014	4,352 84	11/20/2014	4,305 21	(47 63)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	306 38	6/30/2014	3,645 93	11/20/2014	3,606 03	(39 90)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	192 23	7/31/2014	2,281 78	11/20/2014	2,262 54	(19 24)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	211 81	8/29/2014	2,512 07	11/20/2014	2,492 98	(19 09)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	16 38	9/30/2014	193 82	11/20/2014	192 84	(0 98)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	142 63	9/30/2014	1,687 34	11/20/2014	1,678.78	(8.56)	S
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	328.71	10/31/2014	3,878 74	11/20/2014	3,868 88	(9 86)	S
LIBERTY BROADBAND CORP	530307305	0 25	9/19/2014	12 21	11/5/2014	12 21	-	S
LIFE TIME FITNESS INC	53217R207	152	12/23/2013	6,884.49	3/17/2014	7,405 29	520 80	S
LINDSAY CORP	535555106	118	12/23/2013	9,772.87	3/17/2014	9,464 58	(308.29)	S
LIQUIDITY SERVICES INC	53635B107	53	5/14/2013	1,974 99	3/17/2014	1,423 71	(551 28)	S
LIQUIDITY SERVICES INC	53635B107	119	5/15/2013	4,474.09	3/17/2014	3,196 63	(1,277.46)	S
LIQUIDITY SERVICES INC	53635B107	47	5/16/2013	1,784 59	3/17/2014	1,262 53	(522 06)	S
LIQUIDITY SERVICES INC	53635B107	36	5/17/2013	1,370 53	3/17/2014	967 05	(403.48)	S
LIQUIDITY SERVICES INC	53635B107	96	7/12/2013	3,244 65	3/17/2014	2,578 79	(665 86)	S
LIQUIDITY SERVICES INC	53635B107	328	12/23/2013	7,311 51	3/17/2014	8,810.87	1,499 36	S
MAINSTAY MARKETFIELD FUND CLASS I	56064B852	32 7	12/4/2013	591 14	11/20/2014	539 63	(51 51)	S
MAINSTAY MARKETFIELD FUND CLASS I	56064B852	113,223 23	6/4/2014	2,000,005 25	11/20/2014	1,868,183 31	(131,821 94)	S
MARKETAXESS HOLDINGS INC	57060D108	295	12/23/2013	19,530 77	3/17/2014	18,752 91	(777 86)	S
MELLANOX TECHNOLOGIES LTD ILS	M51363113	36	5/17/2013	2,051.69	3/17/2014	1,411 25	(640.44)	S
MELLANOX TECHNOLOGIES LTD ILS	M51363113	52	5/20/2013	3,017 11	3/17/2014	2,038.48	(978.63)	S
MELLANOX TECHNOLOGIES LTD ILS	M51363113	139	9/11/2013	5,283 97	3/17/2014	5,449 01	165 04	S
MELLANOX TECHNOLOGIES LTD ILS	M51363113	116	11/7/2013	3,905 53	3/17/2014	4,547 38	641 85	S
MELLANOX TECHNOLOGIES LTD ILS	M51363113	39	11/8/2013	1,331 61	3/17/2014	1,528.86	197 25	S
MELLANOX TECHNOLOGIES LTD ILS	M51363113	293	12/23/2013	11,643 58	3/17/2014	11,486.05	(157 53)	S
MENS WEARHOUSE INC	587118100	176	1/14/2013	5,485 67	12/4/2013	8,970.76	3,485.09	S
MERIDIAN BIOSCIENCE INC	589584101	350	12/23/2013	9,136.40	3/17/2014	7,602 85	(1,533 55)	S
MINERALS TECHNOLOGIES INC	603158106	20	6/10/2013	854 63	3/17/2014	1,164 81	310.18	S
MINERALS TECHNOLOGIES INC	603158106	64	6/11/2013	2,712 31	3/17/2014	3,727.37	1,015 06	S
MINERALS TECHNOLOGIES INC	603158106	12	7/30/2013	550 95	3/17/2014	698.89	147 94	S
MINERALS TECHNOLOGIES INC	603158106	72	7/31/2013	3,310 67	3/17/2014	4,193 29	882.62	S
MINERALS TECHNOLOGIES INC	603158106	35	8/1/2013	1,645 23	3/17/2014	2,038.41	393 18	S
MINERALS TECHNOLOGIES INC	603158106	286	12/23/2013	17,039.31	3/17/2014	16,656.70	(382.61)	S
MYRIAD GENETICS INC NEW	62855J104	215	1/14/2013	5,856.20	1/7/2014	4,501 81	(1,354 39)	S
NATURAL GAS SERVICES GROUP INC	63886Q109	224	12/23/2013	6,495 33	3/17/2014	6,875 64	380.31	S
NEOGEN CORP	640491106	103	12/23/2013	4,719 22	3/17/2014	4,734 80	15 58	S
NEW JERSEY RESOURCES CRP	646025106	276	12/23/2013	12,571 52	3/17/2014	13,358.55	787.03	S
OPPENHEIMER DEVELOPING MARKETS CLASS Y	683974505	95 95	12/6/2013	3,564 86	10/15/2014	3,593 29	28.43	S
OPPENHEIMER DEVELOPING MARKETS CLASS Y	683974505	472 16	12/6/2013	17,542 43	10/15/2014	17,682 31	139 88	S
PIMCO COMMODITIESPLUS FUND CLASS A	72201P159	203,040.12	9/12/2013	2,194,863 71	1/28/2014	2,117,708.46	(77,155 25)	S
PIMCO COMMODITIESPLUS FUND CLASS A	72201P159	46.62	9/19/2013	503 54	1/28/2014	486.29	(17 25)	S
PIMCO COMMODITIESPLUS FUND CLASS A	72201P159	188.73	12/11/2013	2,002 44	1/28/2014	1,968.47	(33 97)	S
PIMCO COMMODITIESPLUS FUND CLASS A	72201P159	53 21	12/11/2013	564 58	1/28/2014	555.00	(9 58)	S
PIMCO COMMODITIESPLUS FUND CLASS A	72201P159	57.31	12/27/2013	616.09	1/28/2014	597 75	(18 34)	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	214,925 63	1/28/2014	2,121,315 97	11/20/2014	2,125,614 48	4,298.51	S

NEW BALANCE FOUNDATION
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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss) 12/01/2012 to 11/30/2013								
DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
PIMCO SHORT-TERM FUND CLASS P	72201M594	23 84	1/31/2014	235 29	11/20/2014	235 77	0.48	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	142 32	2/28/2014	1,404 75	11/20/2014	1,407.59	2 84	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	114 66	3/31/2014	1,132.87	11/20/2014	1,134.02	1 15	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	126.93	4/30/2014	1,254.09	11/20/2014	1,255 36	1 27	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	121.48	5/30/2014	1,201.43	11/20/2014	1,201 43		S
PIMCO SHORT-TERM FUND CLASS P	72201M594	140 56	6/30/2014	1,390 11	11/20/2014	1,390 11		S
PIMCO SHORT-TERM FUND CLASS P	72201M594	131 68	7/31/2014	1,303 68	11/20/2014	1,302.36	(1.32)	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	136.36	8/29/2014	1,351 35	11/20/2014	1,348.62	(2 73)	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	4 9	9/30/2014	48.54	11/20/2014	48 44	(0.10)	S
PIMCO SHORT-TERM FUND CLASS P	72201M594	176.2	10/31/2014	1,746.10	11/20/2014	1,742 58	(3 52)	S
PLANTRONICS INC NEW	727493108	328	12/23/2013	14,811 30	3/17/2014	14,818 22	6 92	S
POLYCOM INC	73172K104	672	12/23/2013	7,425 60	3/17/2014	8,662 22	1,236 62	S
POLYPORE INTL INC	73179V103	36	4/23/2013	1,386.53	3/17/2014	1,261 08	(125.45)	S
POLYPORE INTL INC	73179V103	48	4/24/2013	1,907 77	3/17/2014	1,681.45	(226 32)	S
POLYPORE INTL INC	73179V103	13	4/25/2013	525 98	3/17/2014	455 39	(70 59)	S
POLYPORE INTL INC	73179V103	40	4/29/2013	1,650 80	3/17/2014	1,401 21	(249 59)	S
POLYPORE INTL INC	73179V103	64	4/29/2013	2,646.40	3/17/2014	2,241 93	(404.47)	S
POLYPORE INTL INC	73179V103	96	4/30/2013	4,023 00	3/17/2014	3,362 89	(660 11)	S
POLYPORE INTL INC	73179V103	23	5/17/2013	924 77	3/17/2014	805 69	(119 08)	S
POLYPORE INTL INC	73179V103	37	5/20/2013	1,513 08	3/17/2014	1,296 12	(216.96)	S
POLYPORE INTL INC	73179V103	27	5/21/2013	1,121 00	3/17/2014	945 81	(175 19)	S
POLYPORE INTL INC	73179V103	50	5/22/2013	2,118 46	3/17/2014	1,751 51	(366 95)	S
POLYPORE INTL INC	73179V103	8	5/23/2013	323 19	3/17/2014	280 24	(42 95)	S
POLYPORE INTL INC	73179V103	32	8/13/2013	1,394 90	3/17/2014	1,120 96	(273 94)	S
POLYPORE INTL INC	73179V103	101	8/14/2013	4,405 52	3/17/2014	3,538 04	(867 48)	S
POLYPORE INTL INC	73179V103	210	12/23/2013	7,761 25	3/17/2014	7,356 33	(404 92)	S
POWER INTEGRATIONS INC	739276103	248	12/23/2013	13,544 15	3/17/2014	15,801 02	2,256.87	S
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336504	704 22	12/20/2013	15,326 39	10/15/2014	16,380 25	1,053 86	S
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336504	109 87	6/20/2014	2,700 98	10/15/2014	2,555 48	(145 50)	S
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336504	256.35	9/19/2014	6,103 94	10/15/2014	5,962 59	(141 35)	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	52 42	4/29/2013	526.27	1/31/2014	526 79	0 52	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	23 02	4/30/2013	231 39	1/31/2014	231 39	-	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	141 25	5/31/2013	1,418.13	1/31/2014	1,419 54	1.41	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	118.78	6/28/2013	1,190.13	1/31/2014	1,193 69	3 56	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	106 2	7/31/2013	1,065 16	1/31/2014	1,067 27	2 11	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	109 26	8/30/2013	1,095 84	1/31/2014	1,098 02	2 18	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	248,205 82	9/16/2013	2,489,505.46	1/31/2014	2,494,463 30	4,957 84	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	54,726 89	9/16/2013	548,910 95	3/10/2014	550,000 00	1,089 05	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	39,801 52	9/16/2013	399,209 38	3/11/2014	400,000 00	790 62	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	59,702.02	9/16/2013	598,811.46	4/23/2014	600,000 00	1,188.54	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	129,225 17	9/16/2013	1,296,129 04	7/7/2014	1,300,000 00	3,870 96	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	49,702 31	9/16/2013	498,514 39	8/22/2014	500,000 00	1,485 61	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	149,105 89	9/16/2013	1,495,532 71	9/12/2014	1,500,000 00	4,467 29	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	574 72	11/29/2013	5,775 89	11/24/2014	5,775 89	-	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	99,502 49	12/19/2013	1,000,005 24	11/24/2014	999,998.96	(6 28)	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	483 28	12/27/2013	4,852 10	11/24/2014	4,856 92	4 82	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	40 27	12/27/2013	404 34	11/24/2014	404 75	0 41	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	594 95	12/31/2013	5,973 28	11/24/2014	5,979 22	5 94	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	582 82	1/31/2014	5,857 39	11/24/2014	5,857 38	(0 01)	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	415.4	2/28/2014	4,174.82	11/24/2014	4,174 82	-	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	388.09	3/31/2014	3,900 33	11/24/2014	3,900 33	-	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	411.07	4/30/2014	4,131 24	11/24/2014	4,131 24	-	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	268.7	5/30/2014	2,703 17	11/24/2014	2,700.48	(2 69)	S
PUTNAM SHORT DURATION INCOME FUND A	74676P755	76,350.08	6/4/2014	768,084 52	11/24/2014	767,317 53	(766.99)	S
QUAKER CHEMICAL CORP	747316107	156	12/23/2013	12,075 96	3/17/2014	12,306.41	230 45	S
RIVERBED TECHNOLOGY INC	768573107	221	5/21/2013	3,549.46	3/17/2014	4,360 25	810 79	S
RIVERBED TECHNOLOGY INC	768573107	40	6/17/2013	647 92	3/17/2014	789 19	141 27	S
RIVERBED TECHNOLOGY INC	768573107	128	6/18/2013	2,102 36	3/17/2014	2,525 39	423 03	S
RIVERBED TECHNOLOGY INC	768573107	49	6/19/2013	815 20	3/17/2014	966 76	151 56	S
RIVERBED TECHNOLOGY INC	768573107	282	7/29/2013	4,858.13	3/17/2014	5,563 76	705 63	S
RIVERBED TECHNOLOGY INC	768573107	632	12/23/2013	11,063 60	3/17/2014	12,469 15	1,405 55	S
RPC INC	749660106	263	12/23/2013	4,709 70	3/17/2014	5,060 04	350 34	S
SAPIENT CORP	803062108	948	12/23/2013	16,779.51	3/17/2014	15,768 87	(1,010 64)	S
SERVICE CORP INTL	817565104	539	2/5/2014	9,275 97	3/17/2014	10,246.49	970 52	S
SERVICE CORP INTL	817565104	566	2/5/2014	9,680 41	3/17/2014	10,759 76	1,079 35	S
SERVICE CORP INTL	817565104	530	2/6/2014	9,236 73	3/17/2014	10,075.39	838.66	S
SERVICE CORP INTL	817565104	435	2/7/2014	7,609 67	3/17/2014	8,269.43	659 76	S
SIMPSON MANUFACTURING CO	829073105	116	1/14/2013	3,723 59	1/9/2014	3,995 45	271 86	S
SODA STREAM INTL LTD ILS	M9068E105	67	10/9/2013	4,093 20	2/7/2014	2,572 45	(1,520 75)	S
SODA STREAM INTL LTD ILS	M9068E105	180	12/23/2013	9,401 79	2/7/2014	6,911 05	(2,490 74)	S
SOLARWINDS INC	83416B109	190	12/13/2013	6,705 50	3/17/2014	8,180 54	1,475.04	S
SOLARWINDS INC	83416B109	205	12/16/2013	7,164 40	3/17/2014	8,826.36	1,661 96	S
SOLARWINDS INC	83416B109	142	12/23/2013	5,262.04	3/17/2014	6,113.88	851 84	S
SOLARWINDS INC	83416B109	62	1/7/2014	2,417 90	3/17/2014	2,669.44	251 54	S
SOLARWINDS INC	83416B109	118	1/8/2014	4,618.01	3/17/2014	5,080 54	462 53	S
SOUTH JERSEY IND INC	838518108	140	12/23/2013	7,686.22	3/17/2014	7,871.45	185 23	S
SOUTHERN CO	842587107	500	5/1/2013	24,099 29	12/30/2013	20,455.07	(3,644 22)	S
SYNTEL INC	87162H103	34	6/17/2013	2,208.15	3/17/2014	3,113 93	905 78	S
SYNTEL INC	87162H103	20	6/18/2013	1,304.01	3/17/2014	1,831 72	527 71	S
SYNTEL INC	87162H103	33	6/19/2013	2,147.09	3/17/2014	3,022.35	875 26	S
SYNTEL INC	87162H103	27	6/20/2013	1,732 61	3/17/2014	2,472.83	740 22	S
SYNTEL INC	87162H103	124	12/23/2013	11,295.57	3/17/2014	11,356.70	61 13	S
TEAM INC	878155100	237	12/23/2013	9,819 81	3/17/2014	10,102.37	282.56	S
TECHNE CORP MINN **NAME CHANGE 11/2014**	878377100	57	12/23/2013	5,299.05	3/17/2014	5,086.45	(212 60)	S
TEMPLETON FRONTIER MARKETS ADV	88019R641	2,125 50	12/10/2013	37,541 38	10/15/2014	38,429 04	887 66	S
TEMPLETON FRONTIER MARKETS ADV	88019R641	903 47	12/10/2013	15,957.45	10/15/2014	16,334.76	377 31	S
TEMPLETON FRONTIER MARKETS ADV	88019R641	74 95	12/10/2013	1,323 88	10/15/2014	1,355 18	31 30	S
TENNANT CO	880345103	37	8/6/2013	1,938.98	3/17/2014	2,383.01	444 03	S
TENNANT CO	880345103	34	8/7/2013	1,779.41	3/17/2014	2,189 79	410.38	S
TENNANT CO	880345103	7	8/8/2013	369 46	3/17/2014	450.84	81 38	S

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
TENNANT CO	880345103	17	8/9/2013	908 85	3/17/2014	1,094 89	186 04	S
TENNANT CO	880345103	21	8/14/2013	1,135 69	3/17/2014	1,352 52	216 83	S
TENNANT CO	880345103	19	8/15/2013	1,026.00	3/17/2014	1,223 70	197 70	S
TENNANT CO	880345103	11	8/16/2013	593 86	3/17/2014	708.47	114 61	S
TENNANT CO	880345103	30	8/19/2013	1,617 12	3/17/2014	1,932 16	315 04	S
TENNANT CO	880345103	22	8/21/2013	1,195 26	3/17/2014	1,416.93	221 67	S
TENNANT CO	880345103	51	8/22/2013	2,800 83	3/17/2014	3,284 68	483 85	S
TENNANT CO	880345103	35	9/12/2013	1,933 90	3/17/2014	2,254 20	320 30	S
TENNANT CO	880345103	12	9/13/2013	669.08	3/17/2014	772 86	103 78	S
TENNANT CO	880345103	5	9/17/2013	288.95	3/17/2014	322 03	33 08	S
TENNANT CO	880345103	4	9/18/2013	231 19	3/17/2014	257 62	26.43	S
TENNANT CO	880345103	34	9/20/2013	2,046 75	3/17/2014	2,189 79	143 04	S
TENNANT CO	880345103	9	9/23/2013	546 64	3/17/2014	579 65	33.01	S
TENNANT CO	880345103	11	9/24/2013	678 06	3/17/2014	708 47	30 41	S
TENNANT CO	880345103	36	9/25/2013	2,230 35	3/17/2014	2,318.60	88.25	S
TENNANT CO	880345103	7	9/26/2013	434 27	3/17/2014	450 84	16 57	S
TENNANT CO	880345103	13	10/7/2013	817 62	3/17/2014	837 27	19 65	S
TENNANT CO	880345103	39	10/8/2013	2,453 74	3/17/2014	2,511.82	58 08	S
TENNANT CO	880345103	79	10/9/2013	4,960 65	3/17/2014	5,088 04	127 39	S
TENNANT CO	880345103	192	12/23/2013	12,979 20	3/17/2014	12,365 87	(613 33)	S
TENNECO INC	880349105	226	7/16/2013	10,904.09	3/17/2014	13,595 88	2,691 79	S
TENNECO INC	880349105	42	7/18/2013	2,091.87	3/17/2014	2,526.67	434 80	S
TENNECO INC	880349105	55	8/13/2013	2,740 59	3/17/2014	3,308.73	568 14	S
TENNECO INC	880349105	110	8/14/2013	5,489 08	3/17/2014	6,617.46	1,128 38	S
TENNECO INC	880349105	72	8/15/2013	3,509 55	3/17/2014	4,331.43	821 88	S
TENNECO INC	880349105	107	10/29/2013	5,814 93	3/17/2014	6,436.99	622 06	S
TENNECO INC	880349105	220	12/23/2013	12,427 78	3/17/2014	13,234 93	807 15	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	58	4/1/2013	2,338.59	3/17/2014	3,749 09	1,410 50	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	79	4/2/2013	3,208 80	3/17/2014	5,106 53	1,897 73	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	33	4/3/2013	1,343 53	3/17/2014	2,133 10	789 57	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	54	4/4/2013	2,234 57	3/17/2014	3,490 54	1,255 97	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	18	4/5/2013	748.52	3/17/2014	1,163 51	414 99	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	13	4/8/2013	547 17	3/17/2014	840 32	293 15	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	12	4/9/2013	501 75	3/17/2014	775 67	273 92	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	37	4/9/2013	1,553 60	3/17/2014	2,391 67	838.07	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	48	4/10/2013	2,031 96	3/17/2014	3,102 70	1,070 74	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	68	7/29/2013	3,189 76	3/17/2014	4,395 49	1,205 73	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	57	8/2/2013	2,616 35	3/17/2014	3,684 45	1,068 10	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	32	8/5/2013	1,477 34	3/17/2014	2,068 47	591 13	S
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	349	12/23/2013	21,452 75	3/17/2014	22,559 21	1,106.46	S
TEXAS ROADHOUSE INC CL A	882681109	166	12/11/2013	4,516.54	3/17/2014	4,350 76	(165 78)	S
TEXAS ROADHOUSE INC CL A	882681109	117	12/12/2013	3,224 27	3/17/2014	3,066 51	(157 76)	S
TEXAS ROADHOUSE INC CL A	882681109	173	12/13/2013	4,758.61	3/17/2014	4,534 23	(224 38)	S
TEXAS ROADHOUSE INC CL A	882681109	42	12/16/2013	1,171 24	3/17/2014	1,100 79	(70 45)	S
TEXAS ROADHOUSE INC CL A	882681109	178	12/23/2013	4,922 63	3/17/2014	4,665 28	(257 35)	S
THORATEC CORP NEW	885175307	285	12/23/2013	10,274 22	3/17/2014	10,053 55	(220 67)	S
TIBCO SOFTWARE INC**MERGER EFF 12/2014**	88632Q103	189	12/24/2013	4,249 85	3/17/2014	3,984 64	(265 21)	S
TIBCO SOFTWARE INC**MERGER EFF 12/2014**	88632Q103	300	12/26/2013	6,818.52	3/17/2014	6,324 82	(493 70)	S
TIBCO SOFTWARE INC**MERGER EFF 12/2014**	88632Q103	164	1/3/2014	3,821 86	3/17/2014	3,457 57	(364 29)	S
TIBCO SOFTWARE INC**MERGER EFF 12/2014**	88632Q103	184	1/6/2014	4,234 39	3/17/2014	3,879 22	(355 17)	S
TRUSTMARK CORP	898402102	461	7/30/2013	12,358 86	3/17/2014	11,575 50	(783 36)	S
TRUSTMARK CORP	898402102	189	7/31/2013	5,125 38	3/17/2014	4,745 70	(379 68)	S
TRUSTMARK CORP	898402102	146	8/1/2013	4,014 56	3/17/2014	3,666.00	(348.56)	S
TRUSTMARK CORP	898402102	58	8/2/2013	1,587 17	3/17/2014	1,456 35	(130 82)	S
TRUSTMARK CORP	898402102	84	8/5/2013	2,286.46	3/17/2014	2,109 20	(177 26)	S
TRUSTMARK CORP	898402102	373	12/23/2013	10,226.09	3/17/2014	9,365 86	(860 23)	S
TUMI HLDGS INC	89969Q104	472	1/24/2014	9,580 51	3/17/2014	10,992 92	1,412.41	S
TUMI HLDGS INC	89969Q104	426	1/27/2014	8,723 37	3/17/2014	9,921 58	1,198 21	S
TUMI HLDGS INC	89969Q104	33	2/7/2014	666.30	3/17/2014	768 57	102 27	S
TUMI HLDGS INC	89969Q104	43	2/10/2014	867 62	3/17/2014	1,001.47	133 85	S
TUMI HLDGS INC	89969Q104	261	2/11/2014	5,348.46	3/17/2014	6,078.71	730 25	S
TUMI HLDGS INC	89969Q104	82	2/12/2014	1,678.13	3/17/2014	1,909 79	231 66	S
TUMI HLDGS INC	89969Q104	104	3/4/2014	2,383.45	3/17/2014	2,422 17	38.72	S
TUMI HLDGS INC	89969Q104	111	3/5/2014	2,514 73	3/17/2014	2,585 20	70 47	S
TUMI HLDGS INC	89969Q104	136	3/6/2014	3,108.78	3/17/2014	3,167.45	58.67	S
UMB FINANCIAL CORP	902788108	42	7/12/2013	2,440 11	3/17/2014	2,728.26	288.15	S
UMB FINANCIAL CORP	902788108	257	12/23/2013	16,698 88	3/17/2014	16,694.38	(4.50)	S
UMPQUA HOLDINGS CORP OR	904214103	462	12/23/2013	8,967 37	3/17/2014	8,664.30	(303 07)	S
UNIFIRST CORP MASS	904708104	179	12/24/2013	18,911 35	3/17/2014	19,702 12	790 77	S
UNIFIRST CORP MASS	904708104	87	1/7/2014	9,135 00	3/17/2014	9,575.89	440 89	S
UNIFIRST CORP MASS	904708104	86	1/14/2014	9,289 80	3/17/2014	9,465.82	176.02	S
UNIFIRST CORP MASS	904708104	3	1/15/2014	332 34	3/17/2014	330.20	(2 14)	S
UNIFIRST CORP MASS	904708104	7	1/16/2014	780 42	3/17/2014	770.47	(9 95)	S
UNIFIRST CORP MASS	904708104	10	1/17/2014	1,114 70	3/17/2014	1,100.68	(14 02)	S
UNTD NAT FOODS INC	911163103	43	4/9/2013	2,113 46	3/17/2014	3,186.67	1,073 21	S
UNTD NAT FOODS INC	911163103	58	4/10/2013	2,930.38	3/17/2014	4,298.29	1,367 91	S
UNTD NAT FOODS INC	911163103	38	4/11/2013	1,952 12	3/17/2014	2,816.12	864 00	S
UNTD NAT FOODS INC	911163103	25	4/12/2013	1,274.40	3/17/2014	1,852 71	578.31	S
UNTD NAT FOODS INC	911163103	218	12/23/2013	16,487 69	3/17/2014	16,155 65	(332 04)	S
UTILITIES SECTOR SPDR TRUST SHS	81369Y886	5,967 00	12/30/2013	225,757 29	2/21/2014	243,772.40	18,015 11	S
VANGUARD FTSE EUROPE ETF	922042874	40,000.00	12/19/2013	2,261,911 75	5/23/2014	2,415,946.91	154,035 16	S
VIRTUS INVT PARTNER INC	92828Q109	9	5/7/2013	1,882 56	3/17/2014	1,602 50	(280 06)	S
VIRTUS INVT PARTNER INC	92828Q109	18	5/8/2013	3,839 21	3/17/2014	3,205 01	(634 20)	S
VIRTUS INVT PARTNER INC	92828Q109	9	5/9/2013	1,958.00	3/17/2014	1,602 51	(355 49)	S
VIRTUS INVT PARTNER INC	92828Q109	5	5/10/2013	1,101 22	3/17/2014	890 28	(210 94)	S
VIRTUS INVT PARTNER INC	92828Q109	8	5/13/2013	1,788.90	3/17/2014	1,424.45	(364 45)	S
VIRTUS INVT PARTNER INC	92828Q109	3	5/14/2013	689 78	3/17/2014	534 17	(155 61)	S
VIRTUS INVT PARTNER INC	92828Q109	4	5/15/2013	951 60	3/17/2014	712.22	(239 38)	S
VIRTUS INVT PARTNER INC	92828Q109	7	7/2/2013	1,217 24	3/17/2014	1,246.39	29 15	S
VIRTUS INVT PARTNER INC	92828Q109	12	7/3/2013	2,131.07	3/17/2014	2,136.68	5 61	S

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss) 12/01/2012 to 11/30/2013								
DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
VIRTUS INVT PARTNER INC	92828Q109	9	7/5/2013	1,607.54	3/17/2014	1,602.50	(5.04)	S
VIRTUS INVT PARTNER INC	92828Q109	7	7/8/2013	1,266.79	3/17/2014	1,246.39	(20.40)	S
VIRTUS INVT PARTNER INC	92828Q109	10	7/9/2013	1,832.67	3/17/2014	1,780.56	(52.11)	S
VIRTUS INVT PARTNER INC	92828Q109	6	7/10/2013	1,105.22	3/17/2014	1,068.34	(36.88)	S
VIRTUS INVT PARTNER INC	92828Q109	18	7/11/2013	3,397.03	3/17/2014	3,205.01	(192.02)	S
VIRTUS INVT PARTNER INC	92828Q109	18	7/12/2013	3,417.36	3/17/2014	3,205.01	(212.35)	S
VIRTUS INVT PARTNER INC	92828Q109	16	8/7/2013	3,034.27	3/17/2014	2,848.89	(185.38)	S
VIRTUS INVT PARTNER INC	92828Q109	23	8/8/2013	4,259.35	3/17/2014	4,095.29	(164.06)	S
VIRTUS INVT PARTNER INC	92828Q109	7	8/9/2013	1,277.77	3/17/2014	1,246.40	(31.37)	S
VIRTUS INVT PARTNER INC	92828Q109	6	8/12/2013	1,093.15	3/17/2014	1,068.33	(24.82)	S
VIRTUS INVT PARTNER INC	92828Q109	3	8/13/2013	557.49	3/17/2014	534.17	(23.32)	S
VIRTUS INVT PARTNER INC	92828Q109	3	8/14/2013	562.68	3/17/2014	534.17	(28.51)	S
VIRTUS INVT PARTNER INC	92828Q109	10	10/23/2013	1,754.26	3/17/2014	1,780.56	26.30	S
VIRTUS INVT PARTNER INC	92828Q109	15	10/24/2013	2,648.25	3/17/2014	2,670.84	22.59	S
VIRTUS INVT PARTNER INC	92828Q109	5	10/25/2013	881.56	3/17/2014	890.28	8.72	S
VIRTUS INVT PARTNER INC	92828Q109	3	10/28/2013	531.01	3/17/2014	534.17	3.16	S
VIRTUS INVT PARTNER INC	92828Q109	4	10/29/2013	745.10	3/17/2014	712.22	(32.88)	S
VIRTUS INVT PARTNER INC	92828Q109	85	12/23/2013	18,162.38	3/17/2014	15,134.77	(3,027.61)	S
VITAMIN SHOPPE INC	92849E101	30	7/29/2013	1,410.27	3/17/2014	1,340.82	(69.45)	S
VITAMIN SHOPPE INC	92849E101	37	7/30/2013	1,763.53	3/17/2014	1,653.67	(109.86)	S
VITAMIN SHOPPE INC	92849E101	54	7/31/2013	2,596.86	3/17/2014	2,413.47	(183.39)	S
VITAMIN SHOPPE INC	92849E101	233	12/23/2013	12,074.81	3/17/2014	10,413.68	(1,661.13)	S
WATTS WATER TECHNOLOGIES	942749102	70	10/8/2013	4,083.99	3/17/2014	4,115.02	31.03	S
WATTS WATER TECHNOLOGIES	942749102	17	11/7/2013	979.35	3/17/2014	999.36	20.01	S
WATTS WATER TECHNOLOGIES	942749102	248	12/23/2013	15,185.02	3/17/2014	14,578.93	(606.09)	S
WEIGHT WATCHERS INTL INC NEW	948626106	47	12/4/2012	2,350.31	12/3/2013	1,529.04	(821.27)	S
WEIGHT WATCHERS INTL INC NEW	948626106	73	12/4/2012	3,650.47	12/4/2013	2,361.16	(1,289.31)	S
WEIGHT WATCHERS INTL INC NEW	948626106	38	12/5/2012	1,906.31	12/4/2013	1,229.09	(677.22)	S
WEIGHT WATCHERS INTL INC NEW	948626106	144	12/11/2012	7,580.35	12/4/2013	4,657.63	(2,922.72)	S
WEIGHT WATCHERS INTL INC NEW	948626106	90	1/14/2013	5,338.17	12/4/2013	2,911.02	(2,427.15)	S
WEST PHARMACEUTICAL SERVICES INC	955306105	435	12/23/2013	21,594.79	3/17/2014	19,664.17	(1,930.62)	S
WHITEWAVE FOODS CO CL A	966244105	40	4/30/2013	677.28	2/18/2014	1,068.37	391.09	S
WHITEWAVE FOODS CO CL A	966244105	46	5/1/2013	777.92	2/18/2014	1,228.62	450.70	S
WHITEWAVE FOODS CO CL A	966244105	63	5/2/2013	1,116.73	2/18/2014	1,682.69	565.96	S
WHITEWAVE FOODS CO CL A	966244105	57	5/3/2013	1,013.08	2/18/2014	1,522.42	509.34	S
WHITEWAVE FOODS CO CL A	966244105	42	5/6/2013	737.66	2/18/2014	1,121.79	384.13	S
WHITEWAVE FOODS CO CL A	966244105	76	5/7/2013	1,336.16	2/18/2014	2,029.90	693.74	S
WHITEWAVE FOODS CO CL A	966244105	123	5/8/2013	2,157.63	2/18/2014	3,285.24	1,127.61	S
WHITEWAVE FOODS CO CL A	966244105	157	5/9/2013	2,850.74	2/18/2014	4,193.35	1,342.61	S
WHITEWAVE FOODS CO CL A	966244105	72	5/10/2013	1,295.45	2/18/2014	1,923.06	627.61	S
WHITEWAVE FOODS CO CL A	966244105	317	5/23/2013	5,692.65	2/18/2014	8,466.83	2,774.18	S
WHITEWAVE FOODS CO CL A	966244105	358	12/23/2013	7,867.55	2/18/2014	9,561.90	1,694.35	S
WISDOMTREE TRUST EUROPE HEDGED EQUITY FD ETF	97717X701	20,000.00	8/19/2013	1,041,944.25	12/19/2013	1,080,473.24	38,528.99	S
WOLVERINE WORLD WIDE INC	978097103	474	12/23/2013	15,595.26	3/17/2014	13,034.85	(2,560.41)	S
AMER STATES WATER CO	029899101	52	8/6/2009	930.80	3/17/2014	1,662.33	731.53	L
AMER STATES WATER CO	029899101	40	10/15/2009	722.40	3/17/2014	1,278.71	556.31	L
AMER STATES WATER CO	029899101	266	10/20/2010	5,033.13	3/17/2014	8,503.43	3,470.30	L
AMER STATES WATER CO	029899101	96	4/20/2012	1,707.06	3/17/2014	3,068.91	1,361.85	L
AMER STATES WATER CO	029899101	36	4/23/2012	634.96	3/17/2014	1,150.84	515.88	L
AMER STATES WATER CO	029899101	150	1/14/2013	3,763.39	3/17/2014	4,795.17	1,031.78	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	8	2/9/2011	729.27	3/17/2014	530.76	(198.51)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	23	2/10/2011	2,102.64	3/17/2014	1,525.93	(576.71)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	33	2/11/2011	2,984.08	3/17/2014	2,189.39	(794.69)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	5	2/14/2011	460.31	3/17/2014	331.72	(128.59)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	18	2/15/2011	1,673.56	3/17/2014	1,194.21	(479.35)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	14	2/16/2011	1,304.88	3/17/2014	928.83	(376.05)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	13	2/17/2011	1,211.60	3/17/2014	862.48	(349.12)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	14	2/18/2011	1,307.07	3/17/2014	928.83	(378.24)	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	9	6/1/2012	435.96	3/17/2014	597.11	161.15	L
AMERICAN SCIENCE & ENGINEERING INC	029429107	77	1/14/2013	5,044.26	3/17/2014	5,108.56	64.30	L
ANNALY CAPITAL MANAGEMENT INC REIT	035710409	960	8/6/2009	16,691.71	12/10/2013	9,759.29	(6,932.42)	L
ANNALY CAPITAL MANAGEMENT INC REIT	035710409	50	8/19/2009	847.82	12/10/2013	508.29	(339.53)	L
ANNALY CAPITAL MANAGEMENT INC REIT	035710409	495	9/29/2009	9,110.62	12/10/2013	5,032.13	(4,078.49)	L
ANNALY CAPITAL MANAGEMENT INC REIT	035710409	685	10/15/2009	11,971.20	12/10/2013	6,963.66	(5,007.54)	L
ANNALY CAPITAL MANAGEMENT INC REIT	035710409	20	2/4/2010	357.00	12/10/2013	203.32	(153.68)	L
ANNALY CAPITAL MANAGEMENT INC REIT	035710409	250	8/6/2012	4,189.35	12/10/2013	2,541.48	(1,647.87)	L
APOGEE ENTERPRISES INC	037598109	234	4/13/2010	3,270.50	3/17/2014	7,993.99	4,723.49	L
APOGEE ENTERPRISES INC	037598109	22	6/1/2012	322.74	3/17/2014	751.57	428.83	L
APOGEE ENTERPRISES INC	037598109	215	1/14/2013	5,144.95	3/17/2014	7,344.90	2,199.95	L
BANK OF HAWAII CORP	062540109	98	8/4/2009	3,880.65	3/17/2014	5,780.26	1,899.61	L
BANK OF HAWAII CORP	062540109	255	8/6/2009	10,319.21	3/17/2014	15,040.45	4,721.24	L
BANK OF HAWAII CORP	062540109	113	10/15/2009	4,692.53	3/17/2014	6,664.99	1,972.46	L
BANK OF HAWAII CORP	062540109	186	4/12/2011	8,879.03	3/17/2014	10,970.69	2,091.66	L
BANK OF HAWAII CORP	062540109	19	6/1/2012	851.58	3/17/2014	1,120.66	269.08	L
BANK OF HAWAII CORP	062540109	47	10/24/2012	2,086.49	3/17/2014	2,772.17	685.68	L
BANK OF HAWAII CORP	062540109	208	1/14/2013	9,577.88	3/17/2014	12,268.29	2,690.41	L
BLACKBAUD INC	09227Q100	40	8/4/2009	768.85	12/13/2013	1,368.10	599.25	L
BLACKBAUD INC	09227Q100	45	8/4/2009	864.95	12/16/2013	1,540.20	675.25	L
BLACKBAUD INC	09227Q100	78	8/4/2009	1,499.26	3/17/2014	2,413.27	914.01	L
BLACKBAUD INC	09227Q100	235	8/6/2009	4,562.38	3/17/2014	7,270.77	2,708.39	L
BLACKBAUD INC	09227Q100	103	10/15/2009	2,459.28	3/17/2014	3,186.76	727.48	L
BLACKBAUD INC	09227Q100	17	6/1/2012	430.41	3/17/2014	525.97	95.56	L
BLACKBAUD INC	09227Q100	152	1/14/2013	3,576.24	3/17/2014	4,702.79	1,126.55	L
BOSTON SCIENTIFIC CORP	101137107	1,065.00	3/7/2011	7,961.30	3/5/2014	14,210.47	6,249.17	L
BRANDYWINE RLTY TRUST	105368203	300	7/30/2009	2,308.36	5/28/2014	4,549.58	2,241.22	L
BRANDYWINE RLTY TRUST	105368203	285	8/4/2009	2,315.19	5/28/2014	4,322.10	2,006.91	L
BRANDYWINE RLTY TRUST	105368203	165	8/6/2009	1,583.06	5/28/2014	2,502.27	919.21	L
BRANDYWINE RLTY TRUST	105368203	985	10/15/2009	10,143.78	5/28/2014	14,937.79	4,794.01	L
BRISTOL MYERS SQUIBB CO	110122108	800	9/21/2011	25,021.92	2/6/2014	39,074.06	14,052.14	L
BRISTOL MYERS SQUIBB CO	110122108	200	9/22/2011	6,141.40	2/6/2014	9,768.52	3,627.12	L

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
BRISTOL MYERS SQUIBB CO	110122108	2,200.00	2/2/2012	71,011 66	2/6/2014	107,453 67	36 442 01	L
BRISTOL MYERS SQUIBB CO	110122108	600	2/7/2012	19,265 91	2/6/2014	29,305 54	10,039 63	L
BRISTOL MYERS SQUIBB CO	110122108	600	2/21/2012	19,500 00	2/6/2014	29,305 55	9,805 55	L
BRISTOL MYERS SQUIBB CO	110122108	600	2/21/2012	19,500 00	2/27/2014	32,273 39	12,773 39	L
BRISTOL MYERS SQUIBB CO	110122108	500	7/20/2012	17,743 35	2/27/2014	26,894 50	9,151 15	L
BRISTOL MYERS SQUIBB CO	110122108	1,100.00	10/9/2012	36,648.37	2/27/2014	59,167 89	22,519 52	L
BRISTOL MYERS SQUIBB CO	110122108	2,000.00	10/12/2012	66,254 00	2/27/2014	107,577 98	41,323 98	L
BRISTOL MYERS SQUIBB CO	110122108	200	10/15/2012	6,755 32	2/27/2014	10,757 80	4,002.48	L
BROADRIDGE FINANCIAL SOLUTIONS INC	11133T103	430	7/30/2009	7,589 50	8/18/2014	17,632 58	10,043 08	L
BROADRIDGE FINANCIAL SOLUTIONS INC	11133T103	160	8/4/2009	2,766.40	8/18/2014	6,560 96	3,794 56	L
BRUKER CORP	116794108	85	11/17/2010	1,288.95	3/17/2014	2,053 96	765.01	L
BRUKER CORP	116794108	96	11/18/2010	1,476.73	3/17/2014	2,319 77	843 04	L
BRUKER CORP	116794108	109	11/19/2010	1,672 87	3/17/2014	2,633 91	961.04	L
BRUKER CORP	116794108	197	11/22/2010	3,111 97	3/17/2014	4,760 36	1,648.39	L
BRUKER CORP	116794108	155	11/23/2010	2,444 40	3/17/2014	3,745.46	1,301.06	L
BRUKER CORP	116794108	251	12/17/2010	4,370 94	3/17/2014	6,065 23	1,694 29	L
BRUKER CORP	116794108	399	12/20/2010	6,871 54	3/17/2014	9,641 54	2,770 00	L
BRUKER CORP	116794108	183	8/12/2011	2,631 17	3/17/2014	4,422 06	1,790 89	L
BRUKER CORP	116794108	463	1/14/2013	7,759 43	3/17/2014	11,188 05	3,428.62	L
CALGON CARBON CORP	129603106	266	3/28/2011	4,070 73	3/17/2014	5,494 03	1,423 30	L
CALGON CARBON CORP	129603106	95	3/29/2011	1,505 28	3/17/2014	1,962 15	456 87	L
CALGON CARBON CORP	129603106	1	4/5/2011	16.35	3/17/2014	20 66	4 31	L
CALGON CARBON CORP	129603106	230	4/12/2011	3,833 69	3/17/2014	4,750 47	916 78	L
CALGON CARBON CORP	129603106	118	4/14/2011	1,937 94	3/17/2014	2,437 20	499 26	L
CALGON CARBON CORP	129603106	107	6/27/2011	1,837.81	3/17/2014	2,210 01	372 20	L
CALGON CARBON CORP	129603106	41	6/28/2011	699 42	3/17/2014	846 82	147.40	L
CALGON CARBON CORP	129603106	38	12/23/2011	575 05	3/17/2014	784 86	209 81	L
CALGON CARBON CORP	129603106	27	12/27/2011	417 39	3/17/2014	557 67	140 28	L
CALGON CARBON CORP	129603106	56	1/3/2012	878.24	3/17/2014	1,156 63	278 39	L
CALGON CARBON CORP	129603106	242	1/14/2013	3 799 29	3/17/2014	4,998 33	1,199 04	L
CAMPBELL SOUP CO	134429109	1,555 00	2/14/2013	61,747 81	8/1/2014	65,329 93	3,582 12	L
CAMPBELL SOUP CO	134429109	70	2/14/2013	2,730.00	8/1/2014	2,940 90	210 90	L
CAMPBELL SOUP CO	134429109	4,875 00	2/14/2013	193,582 38	8/6/2014	204,947 30	11,364 92	L
CANTEL MEDICAL CORP	138098108	192 5	2/24/2010	1 755 80	3/17/2014	6,175 28	4,419 48	L
CANTEL MEDICAL CORP	138098108	13	2/24/2010	118.58	12/3/2013	466 23	347 65	L
CANTEL MEDICAL CORP	138098108	27	2/24/2010	246.27	12/4/2013	963 70	717.43	L
CANTEL MEDICAL CORP	138098108	53	2/24/2010	483.41	12/5/2013	1,900 20	1,416 79	L
CANTEL MEDICAL CORP	138098108	27	2/24/2010	246 27	12/6/2013	969 26	722 99	L
CANTEL MEDICAL CORP	138098108	53	2/24/2010	483.41	12/9/2013	1,899 46	1,416 05	L
CANTEL MEDICAL CORP	138098108	46	2/24/2010	419 57	12/11/2013	1,580 26	1,160 69	L
CANTEL MEDICAL CORP	138098108	25 5	6/1/2012	371 96	3/17/2014	818 03	446 07	L
CANTEL MEDICAL CORP	138098108	204	1/14/2013	4,232 32	3/17/2014	6,544 19	2,311 87	L
CANTEL MEDICAL CORP	138098108	34 5	1/28/2013	728 00	3/17/2014	1,106.74	378 74	L
CANTEL MEDICAL CORP	138098108	25 5	1/29/2013	538 87	3/17/2014	818 03	279 16	L
CANTEL MEDICAL CORP	138098108	58.5	1/30/2013	1,229 72	3/17/2014	1,876.64	646 92	L
CANTEL MEDICAL CORP	138098108	31 5	1/31/2013	659 03	3/17/2014	1,010 50	351.47	L
CARBO CERAMICS INC	140781105	39	8/6/2009	1,678 71	3/17/2014	4,699.43	3,020 72	L
CARBO CERAMICS INC	140781105	75	8/6/2009	3,228.30	2/5/2014	8,252.40	5,024 10	L
CARBO CERAMICS INC	140781105	63	10/15/2009	3,488 94	3/17/2014	7,591 38	4,102 44	L
CARBO CERAMICS INC	140781105	77	6/8/2010	5,240 87	3/17/2014	9,278 36	4,037 49	L
CARBO CERAMICS INC	140781105	90	2/28/2012	8,553 54	3/17/2014	10,844 83	2 291 29	L
CARBO CERAMICS INC	140781105	106	1/14/2013	8,427 28	3/17/2014	12,772 80	4,345 52	L
CHART INDUSTRIES INC	16115Q308	6	1/28/2013	403 63	3/17/2014	505 03	101.40	L
CHART INDUSTRIES INC	16115Q308	88	1/29/2013	5,861 17	3/17/2014	7,407 05	1,545 88	L
CHART INDUSTRIES INC	16115Q308	23	2/11/2013	1,521 91	3/17/2014	1,935 93	414 02	L
CHART INDUSTRIES INC	16115Q308	24	2/12/2013	1,615 35	3/17/2014	2,020 11	404 76	L
CHART INDUSTRIES INC	16115Q308	38	2/13/2013	2,569.42	3/17/2014	3,198.50	629 08	L
CHUBB CORP	171232101	195	7/30/2009	8,970 00	8/8/2014	17,062 12	8,092 12	L
CITY NATIONAL CORP	178566105	82	1/30/2013	4,410 98	3/17/2014	6,202.42	1,791 44	L
CITY NATIONAL CORP	178566105	40	2/7/2013	2,174 28	3/17/2014	3,025 58	851 30	L
CITY NATIONAL CORP	178566105	25	2/8/2013	1,364 89	3/17/2014	1,890 98	526 09	L
CITY NATIONAL CORP	178566105	38	2/11/2013	2,090.40	3/17/2014	2,874 29	783 89	L
CLARCOR INC	179895107	53	8/4/2009	1 817 10	3/17/2014	3,017 06	1,199 96	L
CLARCOR INC	179895107	319	8/6/2009	10,583 62	3/17/2014	18,159 32	7,575 70	L
CLARCOR INC	179895107	140	10/15/2009	4 349 10	3/17/2014	7,969 60	3,620 50	L
CLARCOR INC	179895107	10	6/1/2012	483 00	3/17/2014	569 26	86.26	L
CLARCOR INC	179895107	182	1/14/2013	8 647 07	3/17/2014	10,360.48	1,713.41	L
CLOROX CO	189054109	135	10/28/2009	7,853.49	10/3/2014	13,027 22	5,173 73	L
COHEN & STEERS INC	19247A100	9	3/27/2012	267 81	3/17/2014	341 16	73 35	L
COHEN & STEERS INC	19247A100	50	3/28/2012	1,480 70	3/17/2014	1,895.34	414 64	L
COHEN & STEERS INC	19247A100	31	3/29/2012	907 78	3/17/2014	1,175 12	267 34	L
COHEN & STEERS INC	19247A100	16	3/30/2012	480 60	3/17/2014	606.51	125 91	L
COHEN & STEERS INC	19247A100	38	4/3/2012	1,201.02	3/17/2014	1,440.46	239.44	L
COHEN & STEERS INC	19247A100	17	4/4/2012	525 69	3/17/2014	644.41	118.72	L
COHEN & STEERS INC	19247A100	11	4/5/2012	343 78	3/17/2014	416.98	73 20	L
COHEN & STEERS INC	19247A100	9	4/9/2012	285 75	3/17/2014	341 16	55.41	L
COHEN & STEERS INC	19247A100	20	4/10/2012	652.41	3/17/2014	758.14	105 73	L
COHEN & STEERS INC	19247A100	27	4/11/2012	906.81	3/17/2014	1,023.48	116.67	L
COHEN & STEERS INC	19247A100	16	4/12/2012	531 81	3/17/2014	606.51	74 70	L
COHEN & STEERS INC	19247A100	18	4/13/2012	585 91	3/17/2014	682 33	96.42	L
COHEN & STEERS INC	19247A100	21	5/1/2012	714 66	3/17/2014	796.04	81 38	L
COHEN & STEERS INC	19247A100	7	5/2/2012	235 87	3/17/2014	265.35	29 48	L
COHEN & STEERS INC	19247A100	13	5/3/2012	436.53	3/17/2014	492 79	56 26	L
COHEN & STEERS INC	19247A100	40	5/4/2012	1,342 17	3/17/2014	1,516.27	174 10	L
COHEN & STEERS INC	19247A100	17	5/7/2012	570.61	3/17/2014	644 42	73 81	L
COHEN & STEERS INC	19247A100	35	5/8/2012	1,171 46	3/17/2014	1,326.74	155 28	L
COHEN & STEERS INC	19247A100	27	5/9/2012	901 10	3/17/2014	1,023 48	122 38	L
COHEN & STEERS INC	19247A100	19	5/10/2012	636.06	3/17/2014	720.23	84 17	L
COHEN & STEERS INC	19247A100	32	5/11/2012	1,074 05	3/17/2014	1,213 02	138.97	L
COHEN & STEERS INC	19247A100	23	5/18/2012	712 06	3/17/2014	871 86	159 80	L

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PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
COHEN & STEERS INC	19247A100	148	10/17/2012	4,480 96	3/17/2014	5,610 22	1,129 26	L
COHEN & STEERS INC	19247A100	189	1/14/2013	5,706 31	3/17/2014	7,164 39	1,458.08	L
COHERENT INC	192479103	73	8/4/2009	1,450 76	3/17/2014	4,921.55	3,470 79	L
COHERENT INC	192479103	102	8/6/2009	2,003 18	3/17/2014	6,876.69	4,873 51	L
COHERENT INC	192479103	45	10/15/2009	1,158 30	3/17/2014	3,033.83	1,875 53	L
COHERENT INC	192479103	69	1/14/2013	3,645 91	3/17/2014	4,651 88	1,005 97	L
COHERENT INC	192479103	7	2/6/2013	400 32	3/17/2014	471 93	71 61	L
COHERENT INC	192479103	43	2/7/2013	2,487 54	3/17/2014	2 899 00	411.46	L
COHERENT INC	192479103	16	2/11/2013	933.00	3/17/2014	1,078.69	145 69	L
COHERENT INC	192479103	11	2/12/2013	643 25	3/17/2014	741 61	98.36	L
COHERENT INC	192479103	4	2/13/2013	235 26	3/17/2014	269 67	34.41	L
COHERENT INC	192479103	26	2/15/2013	1,553 32	3/17/2014	1,752 88	199 56	L
COHERENT INC	192479103	3	2/19/2013	178.31	3/17/2014	202 26	23 95	L
COLUMBIA SPORTSWEAR CO	198516106	23	11/19/2012	1,272 28	2/6/2014	1,689 15	416.87	L
COLUMBIA SPORTSWEAR CO	198516106	15	11/19/2012	829 75	2/7/2014	1,104.43	274 68	L
COLUMBIA SPORTSWEAR CO	198516106	16	11/20/2012	890 82	2/7/2014	1,178.05	287 23	L
COLUMBIA SPORTSWEAR CO	198516106	15	11/26/2012	849 19	2/7/2014	1,104 43	255 24	L
COLUMBIA SPORTSWEAR CO	198516106	19	11/27/2012	1,078 61	2/7/2014	1,398.94	320 33	L
COLUMBIA SPORTSWEAR CO	198516106	6	11/28/2012	347 73	2/7/2014	441 77	94 04	L
COLUMBIA SPORTSWEAR CO	198516106	13	11/28/2012	753 41	2/10/2014	956.52	203 11	L
COLUMBIA SPORTSWEAR CO	198516106	12	11/28/2012	695.46	3/17/2014	1,010 62	315 16	L
COLUMBIA SPORTSWEAR CO	198516106	12	11/29/2012	695 14	3/17/2014	1,010 62	315.48	L
COLUMBIA SPORTSWEAR CO	198516106	36	11/30/2012	2,082 07	3/17/2014	3,031.85	949 78	L
COLUMBIA SPORTSWEAR CO	198516106	41	12/3/2012	2,377 29	3/17/2014	3,452 94	1,075 65	L
COLUMBIA SPORTSWEAR CO	198516106	42	12/11/2012	2,313 26	3/17/2014	3,537 16	1,223 90	L
COLUMBIA SPORTSWEAR CO	198516106	38	12/12/2012	2,127 07	3/17/2014	3,200 29	1,073 22	L
COLUMBIA SPORTSWEAR CO	198516106	85	1/14/2013	4,440 42	3/17/2014	7,158 54	2,718 12	L
COLUMBIA SPORTSWEAR CO	198516106	36	2/19/2013	1,925 71	3/17/2014	3,031 86	1,106.15	L
COLUMBIA SPORTSWEAR CO	198516106	8	2/20/2013	432 19	3/17/2014	673 74	241 55	L
COMMERCIAL METALS CO	201723103	111	10/1/2009	1,930 57	3/17/2014	2,092 30	161 73	L
COMMERCIAL METALS CO	201723103	103	10/15/2009	1,893 83	3/17/2014	1,941 51	47 68	L
COMMERCIAL METALS CO	201723103	422	12/28/2009	6,953 59	3/17/2014	7,954 52	1,000 93	L
COMMERCIAL METALS CO	201723103	268	1/4/2011	4,495 94	3/17/2014	5,051 69	555 75	L
COMMERCIAL METALS CO	201723103	29	6/1/2012	338.31	3/17/2014	546.64	208.33	L
COMMERCIAL METALS CO	201723103	259	9/25/2012	3,433 46	3/17/2014	4,882 04	1,448 58	L
COMMERCIAL METALS CO	201723103	356	1/14/2013	5,554 67	3/17/2014	6,710 45	1,155 78	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	47	8/4/2009	1,812 54	3/17/2014	3,129 39	1,316 85	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	59	8/6/2009	2,178 68	3/17/2014	3,928.38	1,749 70	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	26	10/15/2009	1,161 95	3/17/2014	1,731 15	569 20	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	62	4/4/2012	3,417 31	3/17/2014	4,128 13	710 82	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	25	4/5/2012	1,389 82	3/17/2014	1,664 57	274 75	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	27	4/9/2012	1,509 85	3/17/2014	1,797 73	287 88	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	37	4/10/2012	2,067 37	3/17/2014	2,463 56	396 19	L
COMPUTER PROGRAMS & SYSTEMS INC	205306103	82	1/14/2013	4,205 13	3/17/2014	5,459 79	1,254 66	L
CORESITE RLTY CORP COM REIT	21870Q105	16	9/25/2012	423 04	1/28/2014	507 64	84 60	L
CORESITE RLTY CORP COM REIT	21870Q105	22	9/25/2012	581 68	1/29/2014	674 68	93 00	L
CORESITE RLTY CORP COM REIT	21870Q105	28	9/25/2012	740 32	1/30/2014	864 67	124 35	L
CORESITE RLTY CORP COM REIT	21870Q105	46	9/25/2012	1,216 24	1/31/2014	1,412 50	196.26	L
CORESITE RLTY CORP COM REIT	21870Q105	18	9/25/2012	475 92	2/3/2014	547 78	71 86	L
CORESITE RLTY CORP COM REIT	21870Q105	22	9/25/2012	581 68	2/4/2014	676 75	95 07	L
CORESITE RLTY CORP COM REIT	21870Q105	46	9/26/2012	1,221 70	2/4/2014	1,415 01	193 31	L
CORESITE RLTY CORP COM REIT	21870Q105	34	9/26/2012	902 99	3/17/2014	1,043.44	140 45	L
CORESITE RLTY CORP COM REIT	21870Q105	18	9/27/2012	478.54	3/17/2014	552.41	73 87	L
CORESITE RLTY CORP COM REIT	21870Q105	12	9/28/2012	325 20	3/17/2014	368.27	43 07	L
CORESITE RLTY CORP COM REIT	21870Q105	45	10/1/2012	1,192 37	3/17/2014	1,381 03	188.66	L
CORESITE RLTY CORP COM REIT	21870Q105	23	10/3/2012	613 97	3/17/2014	705 85	91 88	L
CORESITE RLTY CORP COM REIT	21870Q105	68	10/4/2012	1,832 80	3/17/2014	2,086 88	254 08	L
CORESITE RLTY CORP COM REIT	21870Q105	50	10/5/2012	1,362 57	3/17/2014	1,534.48	171 91	L
CORESITE RLTY CORP COM REIT	21870Q105	14	10/8/2012	381 36	3/17/2014	429 65	48.29	L
CORESITE RLTY CORP COM REIT	21870Q105	102	12/18/2012	2,704 01	3/17/2014	3,130 32	426.31	L
CORESITE RLTY CORP COM REIT	21870Q105	38	12/19/2012	1,025 79	3/17/2014	1,166 20	140.41	L
CORESITE RLTY CORP COM REIT	21870Q105	22	12/20/2012	598.78	3/17/2014	675 17	76 39	L
CORESITE RLTY CORP COM REIT	21870Q105	186	1/14/2013	5,636.92	3/17/2014	5,708.23	71 31	L
CORPORATE OFFICE PPTYS	22002T108	34	8/6/2009	1,195 93	12/13/2013	748.35	(447 58)	L
CORPORATE OFFICE PPTYS	22002T108	92	10/15/2009	3,350 66	12/13/2013	2,024 95	(1,325 71)	L
CORPORATE OFFICE PPTYS	22002T108	201	4/12/2011	6,745 26	12/13/2013	4,424 08	(2,321 18)	L
DARLING INTL INC	237266101	100	2/10/2011	1,462 14	3/17/2014	1,987 28	525 14	L
DARLING INTL INC	237266101	294	2/11/2011	4,355 64	3/17/2014	5,842 59	1,486.95	L
DARLING INTL INC	237266101	137	2/14/2011	2,062 36	3/17/2014	2,722 57	660 21	L
DARLING INTL INC	237266101	23	6/1/2012	325.45	3/17/2014	457 07	131 62	L
DARLING INTL INC	237266101	66	10/24/2012	1,092 91	3/17/2014	1,311 60	218 69	L
DARLING INTL INC	237266101	93	10/25/2012	1,546 11	3/17/2014	1,848.17	302 06	L
DARLING INTL INC	237266101	208	1/14/2013	3,501 99	3/17/2014	4,133 53	631 54	L
DIEBOLD INC	253651103	150	5/8/2013	4,616.84	8/5/2014	5,701 30	1,084.46	L
DIEBOLD INC	253651103	440	5/9/2013	13,517 37	8/5/2014	16,723 81	3,206.44	L
DIEBOLD INC	253651103	305	5/10/2013	9,316.13	8/5/2014	11,592 64	2,276.51	L
DIEBOLD INC	253651103	30	5/13/2013	921 71	8/5/2014	1,140 26	218.55	L
DIME CMNTY BANCSHARES	253922108	163	8/6/2009	2,046.09	3/17/2014	2,820 28	774 19	L
DIME CMNTY BANCSHARES	253922108	357	10/15/2009	4,278.11	3/17/2014	6,176.92	1,898.81	L
DIME CMNTY BANCSHARES	253922108	31	6/1/2012	394 94	3/17/2014	536.38	141.44	L
DIME CMNTY BANCSHARES	253922108	258	1/14/2013	3,696.73	3/17/2014	4,463 99	767 26	L
DORMAN PRODUCTS INC	258278100	46	6/20/2012	1,053 96	3/17/2014	2,685 15	1,631 19	L
DORMAN PRODUCTS INC	258278100	41	6/21/2012	942 70	3/17/2014	2,393 29	1,450.59	L
DORMAN PRODUCTS INC	258278100	68	6/22/2012	1,569 70	3/17/2014	3,969 36	2,399 66	L
DORMAN PRODUCTS INC	258278100	49	6/26/2012	1,147 30	3/17/2014	2,860.27	1,712 97	L
DORMAN PRODUCTS INC	258278100	106	6/27/2012	2,491 73	3/17/2014	6,187 53	3,695 80	L
DORMAN PRODUCTS INC	258278100	20	6/28/2012	468.62	3/17/2014	1,167 46	698.84	L
DORMAN PRODUCTS INC	258278100	5	9/19/2012	148.50	3/17/2014	291 87	143.37	L
DORMAN PRODUCTS INC	258278100	19	9/20/2012	569 69	3/17/2014	1,109 08	539 39	L
DORMAN PRODUCTS INC	258278100	35	9/21/2012	1,061 83	3/17/2014	2,043 05	981 22	L

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
DORMAN PRODUCTS INC	258278100	20	9/24/2012	612.09	3/17/2014	1,167.46	555 37	L
DORMAN PRODUCTS INC	258278100	10	9/25/2012	313 01	3/17/2014	583 73	270 72	L
DORMAN PRODUCTS INC	258278100	125	1/14/2013	4,341 24	3/17/2014	7,296.62	2,955 38	L
DORMAN PRODUCTS INC	258278100	65	2/19/2013	2,485 73	3/17/2014	3,794 24	1,308 51	L
DREYFUS INTERNATIONAL BOND FUND CLASS A	261980684	58,038 30	1/10/2013	1,000,005 25	6/11/2014	985,485 27	(14,519 98)	L
DREYFUS INTERNATIONAL BOND FUND CLASS A	261980684	330 19	5/1/2013	5,629 72	6/11/2014	5,606.58	(23 14)	L
DSW INC CL A	23334L102	38	2/27/2013	1,274.46	3/17/2014	1,520 11	245 65	L
DSW INC CL A	23334L102	44	2/28/2013	1,490 68	3/17/2014	1,760 14	269.46	L
DSW INC CL A	23334L102	168	3/1/2013	5,692 69	3/17/2014	6,720 50	1,027 81	L
DUKE ENERGY CORP NEW	26441C204	92 5	8/4/2009	4,189 75	1/29/2014	6,405.88	2,216.13	L
DUKE ENERGY CORP NEW	26441C204	77 5	8/6/2009	3,416 99	1/29/2014	5,366.83	1,949 84	L
DUKE ENERGY CORP NEW	26441C204	5	8/6/2009	220.46	1/30/2014	346.19	125 73	L
DUKE ENERGY CORP NEW	26441C204	217 94	8/6/2009	9,609 20	2/18/2014	15,618.12	6,008 92	L
DUKE ENERGY CORP NEW	26441C204	222 06	10/15/2009	9,760 76	2/18/2014	15,913 62	6,152 86	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	203	9/27/2010	4,985 64	3/17/2014	5,056.96	71 32	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	344	11/17/2010	7,674 12	3/17/2014	8 569 44	895 32	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	1	11/18/2010	22 86	3/17/2014	24 91	2.05	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	155	12/21/2010	3,197 76	3/17/2014	3,861 23	663.47	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	105	12/27/2011	2,546 61	3/17/2014	2 615 67	69 06	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	119	1/3/2012	2,904 38	3/17/2014	2,964 43	60 05	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	85	8/21/2012	2,308.11	3/17/2014	2,117 44	(190 67)	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	62	9/19/2012	1,636.52	3/17/2014	1 544 49	(92 03)	L
DUPONT FABROS TECHNOLOGY INC	26613Q106	320	1/14/2013	7,742 93	3/17/2014	7,971 57	228 64	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	134,269 90	1/17/2013	1,092,773 61	7/31/2014	1,060,732 19	(32,041.42)	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,627 22	1/31/2013	13,222 83	7/31/2014	12,855 07	(367 76)	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	1,341 26	2/1/2013	10,899 07	7/31/2014	10,595 92	(303 15)	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,411 59	2/28/2013	19,574 58	7/31/2014	19,051 54	(523 04)	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,670.44	3/27/2013	21,787 87	7/31/2014	21,096.45	(691.42)	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,133 48	4/29/2013	17,474 43	7/31/2014	16,854 49	(619 94)	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,226.38	5/30/2013	18,128.55	7/31/2014	17,588.40	(540 15)	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,239 55	6/27/2013	17,657 22	7/31/2014	17 692 46	35 24	L
EATON VANCE SHORT DURATION STRATEGIC INCOME FUND CLASS A	277911772	2,311 68	7/30/2013	18,323.08	7/31/2014	18,262 26	(60 82)	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	490,733 90	10/9/2012	4,848,455 05	5/9/2014	5,667,971 72	819,516 67	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,307 32	10/19/2012	22,773 28	5/9/2014	26,649 56	3,876 28	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,370 99	11/20/2012	22,856 34	5/9/2014	27,384 91	4,528 57	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,310 34	12/19/2012	22,941 70	5/9/2014	26,684.42	3,742 72	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,335 18	12/31/2012	23,024 87	5/9/2014	26,971 31	3,946 44	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,272 26	2/20/2013	23,108 94	5/9/2014	26 244 64	3,135 70	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,249 34	3/19/2013	23,190 74	5/9/2014	25 979 90	2,789 16	L
EATON VANCE TAX MANAGED GLOBAL DIVIDEND INCOME FUND CLASS A	277923108	2,277 08	4/19/2013	23,271 72	5/9/2014	26,300 20	3,028.48	L
ESCO TECHNOLOGIES INC	296315104	69	8/4/2009	2,855 22	3/17/2014	2,556 19	(299 03)	L
ESCO TECHNOLOGIES INC	296315104	97	8/6/2009	3,723 83	3/17/2014	3,593 48	(130 35)	L
ESCO TECHNOLOGIES INC	296315104	43	10/15/2009	1,726 02	3/17/2014	1,592 98	(133 04)	L
ESCO TECHNOLOGIES INC	296315104	9	9/19/2012	344 55	3/17/2014	333.42	(11 13)	L
ESCO TECHNOLOGIES INC	296315104	8	9/20/2012	306 64	3/17/2014	296 37	(10 27)	L
ESCO TECHNOLOGIES INC	296315104	8	9/21/2012	310 24	3/17/2014	296.37	(13 87)	L
ESCO TECHNOLOGIES INC	296315104	6	9/24/2012	233 96	3/17/2014	222 27	(11 69)	L
ESCO TECHNOLOGIES INC	296315104	39	9/25/2012	1,524 00	3/17/2014	1,444 80	(79 20)	L
ESCO TECHNOLOGIES INC	296315104	4	9/26/2012	153 34	3/17/2014	148.19	(5 15)	L
ESCO TECHNOLOGIES INC	296315104	12	9/27/2012	461 88	3/17/2014	444 55	(17 33)	L
ESCO TECHNOLOGIES INC	296315104	5	9/28/2012	193 86	3/17/2014	185 23	(8 63)	L
ESCO TECHNOLOGIES INC	296315104	6	10/1/2012	232 28	3/17/2014	222 28	(10 00)	L
ESCO TECHNOLOGIES INC	296315104	7	10/2/2012	270.40	3/17/2014	259 32	(11 08)	L
ESCO TECHNOLOGIES INC	296315104	10	10/3/2012	386 58	3/17/2014	370 47	(16.11)	L
ESCO TECHNOLOGIES INC	296315104	95	1/14/2013	3,684 08	3/17/2014	3,519 38	(164 70)	L
ESCO TECHNOLOGIES INC	296315104	5	2/26/2013	200 39	3/17/2014	185 23	(15 16)	L
ESCO TECHNOLOGIES INC	296315104	5	2/27/2013	202 21	3/17/2014	185 23	(16 98)	L
ESCO TECHNOLOGIES INC	296315104	8	2/28/2013	324 14	3/17/2014	296.37	(27 77)	L
ESCO TECHNOLOGIES INC	296315104	10	3/1/2013	403 77	3/17/2014	370 47	(33 30)	L
ESCO TECHNOLOGIES INC	296315104	54	3/4/2013	2,201 64	3/17/2014	2,000 49	(201 15)	L
ESCO TECHNOLOGIES INC	296315104	56	3/5/2013	2,327 26	3/17/2014	2,074.59	(252 67)	L
FIRST EAGLE OVERSEAS FUND CLASS A	32008F101	40,766.62	1/26/2011	925,402 34	7/17/2014	1,000,000.00	74,597 66	L
FIRST EAGLE OVERSEAS FUND CLASS A	32008F200	87,784 56	1/26/2011	2,029,909.05	11/11/2014	2,080,494.09	50,585 04	L
FIRST EAGLE OVERSEAS FUND CLASS A	32008F200	9 97	12/14/2011	205 85	11/11/2014	236.27	30.42	L
FIRST EAGLE OVERSEAS FUND CLASS A	32008F200	5,403 83	12/14/2011	111,580 37	11/11/2014	128,070.71	16,490 34	L
FIRST EAGLE OVERSEAS FUND CLASS A	32008F200	3,848.06	12/14/2011	79,456.19	11/11/2014	91,198.93	11,742 74	L
FIRST FINCL BANKSHARES INC	32020R109	72	11/14/2012	2,538.68	1/14/2014	4,687 78	2,149 10	L
FIRST FINCL BANKSHARES INC	32020R109	42	11/15/2012	1,478.80	1/14/2014	2,734 53	1,255 73	L
FIRST FINCL BANKSHARES INC	32020R109	6	11/16/2012	211 73	1/14/2014	390 65	178.92	L
FIRST FINCL BANKSHARES INC	32020R109	8	11/16/2012	282 30	1/15/2014	520 94	238.64	L
FIRST FINCL BANKSHARES INC	32020R109	13	11/19/2012	471 82	1/15/2014	846.54	374 72	L
FIRST FINCL BANKSHARES INC	32020R109	3	11/20/2012	110 89	1/15/2014	195 35	84.46	L
FIRST FINCL BANKSHARES INC	32020R109	10	11/20/2012	369 65	1/16/2014	640 44	270 79	L
FIRST FINCL BANKSHARES INC	32020R109	19	11/21/2012	703 24	1/16/2014	1,216.83	513 59	L
FIRST FINCL BANKSHARES INC	32020R109	12	11/26/2012	449 51	1/16/2014	768.52	319.01	L
FIRST FINCL BANKSHARES INC	32020R109	4	11/26/2012	149 84	1/17/2014	256.09	106.25	L
FIRST FINCL BANKSHARES INC	32020R109	16	11/27/2012	603 53	1/17/2014	1,024 38	420 85	L
FIRST FINCL BANKSHARES INC	32020R109	13	11/28/2012	492.51	1/17/2014	832 30	339 79	L
FIRST FINCL BANKSHARES INC	32020R109	36	12/11/2012	1,411 84	1/17/2014	2,304 84	893.00	L
FIRST FINCL BANKSHARES INC	32020R109	46	12/12/2012	1,820 16	3/17/2014	2,822.89	1,002 73	L
FIRST FINCL BANKSHARES INC	32020R109	4	12/12/2012	158.27	1/17/2014	256.09	97.82	L
FIRST FINCL BANKSHARES INC	32020R109	91	1/14/2013	3,766.48	3/17/2014	5,584 42	1,817 94	L
FRANKLIN ELECTRIC CO	353514102	6	7/10/2012	149 90	3/17/2014	260 99	111.09	L
FRANKLIN ELECTRIC CO	353514102	32	7/11/2012	807.00	3/17/2014	1,391 97	584 97	L
FRANKLIN ELECTRIC CO	353514102	32	7/12/2012	816.19	3/17/2014	1,391 96	575 77	L
FRANKLIN ELECTRIC CO	353514102	8	7/13/2012	214 38	3/17/2014	347 99	133 61	L
FRANKLIN ELECTRIC CO	353514102	28	7/16/2012	758.18	3/17/2014	1,217 97	459 79	L
FRANKLIN ELECTRIC CO	353514102	58	7/17/2012	1,648.08	3/17/2014	2,522 93	874 85	L
FRANKLIN ELECTRIC CO	353514102	36	7/18/2012	1,041 69	3/17/2014	1,565 96	524 27	L
FRANKLIN ELECTRIC CO	353514102	58	7/19/2012	1,667 33	3/17/2014	2,522 93	855 60	L

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STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
FRANKLIN ELECTRIC CO	353514102	14	7/24/2012	387 89	3/17/2014	608.98	221 09	L
FRANKLIN ELECTRIC CO	353514102	40	7/31/2012	1,139 10	3/17/2014	1,739 96	600 86	L
FRANKLIN ELECTRIC CO	353514102	6	9/18/2012	182.42	3/17/2014	260 99	78.57	L
FRANKLIN ELECTRIC CO	353514102	10	9/19/2012	309 27	3/17/2014	434 99	125 72	L
FRANKLIN ELECTRIC CO	353514102	18	9/20/2012	555 02	3/17/2014	782 98	227 96	L
FRANKLIN ELECTRIC CO	353514102	10	9/21/2012	310 23	3/17/2014	434 99	124 76	L
FRANKLIN ELECTRIC CO	353514102	22	9/24/2012	676 84	3/17/2014	956.97	280 13	L
FRANKLIN ELECTRIC CO	353514102	14	9/25/2012	429 13	3/17/2014	608 99	179 86	L
FRANKLIN ELECTRIC CO	353514102	16	9/26/2012	480 11	3/17/2014	695 98	215 87	L
FRANKLIN ELECTRIC CO	353514102	12	10/24/2012	346 00	3/17/2014	521 98	175 98	L
FRANKLIN ELECTRIC CO	353514102	48	10/25/2012	1,387 13	3/17/2014	2,087 95	700 82	L
FRANKLIN ELECTRIC CO	353514102	10	10/26/2012	290.47	3/17/2014	434 99	144 52	L
FRANKLIN ELECTRIC CO	353514102	58	10/31/2012	1,681 29	3/17/2014	2,522 93	841 64	L
FRANKLIN ELECTRIC CO	353514102	12	11/6/2012	358 49	3/17/2014	521 98	163.49	L
FRANKLIN ELECTRIC CO	353514102	162	1/14/2013	5,229 36	3/17/2014	7,046.82	1,817.46	L
FRANKLIN ELECTRIC CO	353514102	22	1/15/2013	713 67	3/17/2014	956.97	243 30	L
FRANKLIN ELECTRIC CO	353514102	16	1/16/2013	521 37	3/17/2014	695 98	174 61	L
FRANKLIN ELECTRIC CO	353514102	24	1/17/2013	783 08	3/17/2014	1,043 98	260 90	L
FRANKLIN ELECTRIC CO	353514102	66	1/18/2013	2,168 76	3/17/2014	2,870 92	702 16	L
FRANKLIN ELECTRIC CO	353514102	16	1/22/2013	520 84	3/17/2014	695 98	175 14	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	145,243 28	8/30/2011	2,000,005 25	7/17/2014	1,930,283 22	(69,722 03)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	545 62	9/15/2011	7,262 16	7/17/2014	7,251 25	(10 91)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	561 59	10/19/2011	7,289 44	7/17/2014	7,463 53	174 09	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	569.46	11/17/2011	7,317 52	7/17/2014	7,568 08	250 56	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	2,952 73	12/19/2011	36,318 62	7/17/2014	39,241 82	2,923 20	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	943 63	12/19/2011	11,606 68	7/17/2014	12,540 89	934 21	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	596 58	1/17/2012	7,540 82	7/17/2014	7,928 60	387 78	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	575 28	2/15/2012	7,570 65	7/17/2014	7,645 43	74 78	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	575 28	3/15/2012	7,599 41	7/17/2014	7,645 43	46 02	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	588 14	4/16/2012	7,628 18	7/17/2014	7,816 38	188.20	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	606 3	5/15/2012	7,657 58	7/17/2014	8,057 74	400 16	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	611 61	6/15/2012	7,687 89	7/17/2014	8,128.24	440 35	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	597 87	7/16/2012	7,718 48	7/17/2014	7,945 67	227 19	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	587	8/15/2012	7,748 37	7/17/2014	7,801 20	52 83	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	465 38	9/17/2012	6,222 17	7/17/2014	6,184 94	(37 23)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	464	10/15/2012	6,240 79	7/17/2014	6,166.55	(74 24)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	466 07	11/15/2012	6,259 35	7/17/2014	6,194 10	(65 25)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	3,011 07	12/17/2012	39,896 64	7/17/2014	40,017 08	120 44	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	20 14	12/17/2012	266.81	7/17/2014	267 62	0 81	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	2,012 51	12/17/2012	26,665 77	7/17/2014	26,746 27	80 50	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	74,019 24	1/10/2013	1,000,005 25	7/17/2014	983,715 76	(16,289 49)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	701 38	1/15/2013	9,440 51	7/17/2014	9,321 28	(119 23)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	702 42	2/15/2013	9,468 57	7/17/2014	9,335 11	(133 46)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	703 98	3/15/2013	9,496 66	7/17/2014	9,355 86	(140.80)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	701 39	4/15/2013	9,524 82	7/17/2014	9,321 42	(203 40)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	697 8	5/15/2013	9,552 88	7/17/2014	9,273 77	(279 11)	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	732.48	6/17/2013	9,580 79	7/17/2014	9,734 60	153 81	L
FRANKLIN/TEMPLETON GLOBAL BOND FUND CLASS A	880208103	736.97	7/15/2013	9,610 09	7/17/2014	9,794 33	184 24	L
FRESH MKT INC	35804H106	52	5/10/2011	2,520 16	12/2/2013	2,063 04	(457 12)	L
FRESH MKT INC	35804H106	38	5/10/2011	1,841 66	12/3/2013	1,494 52	(347 14)	L
FRESH MKT INC	35804H106	24	5/11/2011	1,003 78	12/3/2013	943 91	(59 87)	L
FRESH MKT INC	35804H106	36	11/2/2011	1,448 66	12/3/2013	1,415 87	(32 79)	L
FRESH MKT INC	35804H106	46	11/2/2011	1,851 06	12/4/2013	1,815 94	(35 12)	L
GENTHERM INC	37253A103	75	3/22/2011	1,116 08	3/17/2014	2,329 93	1,213 85	L
GENTHERM INC	37253A103	85	3/23/2011	1,227 44	3/17/2014	2,640 58	1,413 14	L
GENTHERM INC	37253A103	46	3/24/2011	675 97	3/17/2014	1,429 03	753 06	L
GENTHERM INC	37253A103	68	3/25/2011	1 008 82	3/17/2014	2,112.47	1,103 65	L
GENTHERM INC	37253A103	74	3/28/2011	1,090 25	3/17/2014	2,298.86	1,208.61	L
GENTHERM INC	37253A103	58	3/29/2011	831 29	3/17/2014	1,801 81	970 52	L
GENTHERM INC	37253A103	38	3/30/2011	567 88	3/17/2014	1,180 50	612 62	L
GENTHERM INC	37253A103	61	5/3/2011	1,050 82	3/17/2014	1,895 00	844 18	L
GENTHERM INC	37253A103	162	5/4/2011	2,777 62	3/17/2014	5,032 65	2,255 03	L
GENTHERM INC	37253A103	47	5/5/2011	811 81	3/17/2014	1,460 09	648 28	L
GENTHERM INC	37253A103	242	1/14/2013	3,327 50	3/17/2014	7,517 90	4,190.40	L
GEOSPACE TECHNOLOGIES CORP	37364X109	28	12/14/2010	1,323.53	3/17/2014	1,991 04	667 51	L
GEOSPACE TECHNOLOGIES CORP	37364X109	54	12/17/2010	2,539 57	3/17/2014	3,839 85	1,300.28	L
GEOSPACE TECHNOLOGIES CORP	37364X109	32	12/20/2010	1,496 14	3/17/2014	2,275.47	779 33	L
GEOSPACE TECHNOLOGIES CORP	37364X109	28	12/21/2010	1,327 62	3/17/2014	1,991 04	663 42	L
GEOSPACE TECHNOLOGIES CORP	37364X109	12	12/22/2010	582 20	3/17/2014	853 30	271 10	L
GEOSPACE TECHNOLOGIES CORP	37364X109	28	6/27/2011	1,347 89	3/17/2014	1,991 03	643 14	L
GEOSPACE TECHNOLOGIES CORP	37364X109	12	6/28/2011	595 09	3/17/2014	853 31	258.22	L
GEOSPACE TECHNOLOGIES CORP	37364X109	20	7/7/2011	1,049 43	3/17/2014	1,422 16	372 73	L
GEOSPACE TECHNOLOGIES CORP	37364X109	20	7/8/2011	1,057.00	3/17/2014	1,422 17	365.17	L
GEOSPACE TECHNOLOGIES CORP	37364X109	12	6/1/2012	529 56	3/17/2014	853 30	323 74	L
GEOSPACE TECHNOLOGIES CORP	37364X109	73	1/14/2013	6,529 12	3/17/2014	5,190 92	(1,338 20)	L
GRACE (W R) & CO NEW	38388F108	135	12/14/2011	5,526.32	12/16/2013	12,464.02	6,937 70	L
HAEMONETICS CORP MASS	405024100	53	3/15/2013	2,196.02	3/17/2014	1,964 59	(231.43)	L
HERMAN MILLER INC	600544100	94	8/4/2009	1,587 63	3/17/2014	2,657 56	1,069 93	L
HERMAN MILLER INC	600544100	118	8/6/2009	1,942 04	3/17/2014	3,336.07	1,394.03	L
HERMAN MILLER INC	600544100	52	10/15/2009	832.52	3/17/2014	1,470 14	637 62	L
HERMAN MILLER INC	600544100	39	6/1/2012	698.31	3/17/2014	1,102 60	404 29	L
HERMAN MILLER INC	600544100	89	1/14/2013	2,008.73	3/17/2014	2,516.20	507.47	L
HIBBETT SPORTS INC	428567101	26	8/6/2009	483 46	3/17/2014	1,461 77	978.31	L
HIBBETT SPORTS INC	428567101	138	8/6/2009	2,566.05	2/5/2014	7,823 18	5,257 13	L
HIBBETT SPORTS INC	428567101	63	8/27/2009	1,110 62	3/17/2014	3,541 97	2,431 35	L
HIBBETT SPORTS INC	428567101	13	8/28/2009	230 66	3/17/2014	730 88	500 22	L
HIBBETT SPORTS INC	428567101	93	10/15/2009	1,884 04	3/17/2014	5,228.62	3,344 58	L
HIBBETT SPORTS INC	428567101	14	6/1/2012	764 96	3/17/2014	787 11	22 15	L
HIBBETT SPORTS INC	428567101	104	1/14/2013	5 587 15	3/17/2014	5,847 06	259 91	L
HUB GROUP INC CL A	443320106	209	1/12/2011	7,762 64	3/17/2014	8,497 77	735 13	L

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/13- 11/30/14

TIN 04-6470644

STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
HUB GROUP INC CL A	443320106	23	2/7/2012	817 65	3/17/2014	935 16	117 51	L
HUB GROUP INC CL A	443320106	59	2/8/2012	2,093 18	3/17/2014	2,398.90	305 72	L
HUB GROUP INC CL A	443320106	7	2/9/2012	248 58	3/17/2014	284 61	36.03	L
HUB GROUP INC CL A	443320106	39	2/10/2012	1,363 75	3/17/2014	1,585 71	221 96	L
HUB GROUP INC CL A	443320106	35	5/18/2012	1,199 24	3/17/2014	1,423.07	223 83	L
HUB GROUP INC CL A	443320106	12	5/21/2012	419 55	3/17/2014	487 91	68.36	L
HUB GROUP INC CL A	443320106	36	5/22/2012	1,261 12	3/17/2014	1,463 73	202 61	L
HUB GROUP INC CL A	443320106	17	5/23/2012	591.41	3/17/2014	691 21	99 80	L
HUB GROUP INC CL A	443320106	134	1/14/2013	4,577 39	3/17/2014	5,448.33	870 94	L
HUB GROUP INC CL A	443320106	189	1/25/2013	7,011 01	3/17/2014	7,684 59	673 58	L
ICU MEDICAL INC	44930G107	104	8/4/2009	4,065 35	3/17/2014	6,098.43	2,033 08	L
ICU MEDICAL INC	44930G107	127	8/6/2009	4,648.19	3/17/2014	7,447 13	2,798.94	L
ICU MEDICAL INC	44930G107	55	10/15/2009	1,968 45	3/17/2014	3,225 13	1,256 68	L
ICU MEDICAL INC	44930G107	7	5/8/2012	368 52	3/17/2014	410.48	41 96	L
ICU MEDICAL INC	44930G107	32	5/9/2012	1,692 08	3/17/2014	1,876 44	184 36	L
ICU MEDICAL INC	44930G107	38	5/10/2012	2,036 37	3/17/2014	2,228.27	191 90	L
ICU MEDICAL INC	44930G107	5	5/11/2012	268 58	3/17/2014	293 20	24 62	L
ICU MEDICAL INC	44930G107	113	1/14/2013	6,972 10	3/17/2014	6,626.18	(345 92)	L
II VI INC	902104108	114	5/26/2011	3,761 00	3/17/2014	1,789 19	(1,971 81)	L
II VI INC	902104108	156	5/27/2011	4,598 68	3/17/2014	2,448.37	(2,150 31)	L
II VI INC	902104108	17	6/1/2012	312 97	3/17/2014	266 81	(46 16)	L
II VI INC	902104108	18	7/24/2012	285 85	3/17/2014	282 50	(3 35)	L
II VI INC	902104108	52	7/25/2012	845 29	3/17/2014	816 12	(29 17)	L
II VI INC	902104108	49	7/26/2012	813 19	3/17/2014	769 04	(44 15)	L
II VI INC	902104108	18	7/27/2012	308 95	3/17/2014	282 50	(26.45)	L
II VI INC	902104108	6	7/30/2012	101 75	3/17/2014	94 17	(7 58)	L
II VI INC	902104108	10	7/31/2012	173 03	3/17/2014	156 95	(16 08)	L
II VI INC	902104108	90	8/1/2012	1,573 24	3/17/2014	1,412 52	(160 72)	L
II VI INC	902104108	93	8/2/2012	1,536 72	3/17/2014	1,459 60	(77 12)	L
II VI INC	902104108	12	8/3/2012	208 31	3/17/2014	188 33	(19 98)	L
II VI INC	902104108	34	8/6/2012	594 93	3/17/2014	533 62	(61 31)	L
II VI INC	902104108	21	8/7/2012	381 69	3/17/2014	329 59	(52 10)	L
II VI INC	902104108	196	1/14/2013	3,680 75	3/17/2014	3,076.15	(604 60)	L
INDEPENDENT BANK CORP MASS	453836108	6	8/6/2009	132 53	3/17/2014	234 51	101 98	L
INDEPENDENT BANK CORP MASS	453836108	66	10/15/2009	1,480 18	3/17/2014	2,579 57	1,099 39	L
INDEPENDENT BANK CORP MASS	453836108	81	11/20/2009	1,660 36	3/17/2014	3,165 84	1,505.48	L
INDEPENDENT BANK CORP MASS	453836108	217	11/23/2009	4,653 11	3/17/2014	8,481 33	3,828 22	L
INDEPENDENT BANK CORP MASS	453836108	17	6/1/2012	445.91	3/17/2014	664 43	218 52	L
INDEPENDENT BANK CORP MASS	453836108	150	1/14/2013	4,569.21	3/17/2014	5,862.67	1,293.46	L
INTERDIGITAL INC (PA)	45867G101	209	2/16/2011	12,121 31	3/17/2014	6,462.43	(5,658.88)	L
INTERDIGITAL INC (PA)	45867G101	139	3/23/2011	6,011.46	3/17/2014	4,297 98	(1,713.48)	L
INTERDIGITAL INC (PA)	45867G101	117	1/14/2013	5,205 94	3/17/2014	3,617 72	(1,588 22)	L
IPG PHOTONICS CORP	44980X109	39	6/27/2012	1,696 62	3/17/2014	2,596 56	899 94	L
IPG PHOTONICS CORP	44980X109	67	7/20/2012	2,946 91	3/17/2014	4,460 76	1,513 85	L
IPG PHOTONICS CORP	44980X109	35	7/23/2012	1,534 78	3/17/2014	2,330 25	795.47	L
IPG PHOTONICS CORP	44980X109	105	1/14/2013	7,224 95	3/17/2014	6,990 75	(234 20)	L
IROBOT CORP	462726100	93	10/3/2012	2,137 31	1/14/2014	3,552 64	1,415 33	L
IROBOT CORP	462726100	29	10/4/2012	667 56	1/14/2014	1,107 81	440 25	L
IROBOT CORP	462726100	7	10/5/2012	164 29	1/14/2014	267 41	103 12	L
IROBOT CORP	462726100	3	10/8/2012	70,59	1/14/2014	114 60	44 01	L
IROBOT CORP	462726100	30	10/9/2012	692 53	1/14/2014	1,146 01	453.48	L
IROBOT CORP	462726100	14	10/10/2012	321 81	1/14/2014	534 81	213 00	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	118,556.67	1/26/2011	1,413,197 35	6/4/2014	1,409,635 10	(3,562 25)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,779 65	2/1/2011	21,195 67	6/4/2014	21,160 01	(35 66)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,629 77	3/1/2011	19,540 95	6/4/2014	19,377 93	(163 02)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,638.36	4/1/2011	19,578 43	6/4/2014	19,480 07	(98 36)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,492 53	4/29/2011	17,910 37	6/4/2014	17,746 15	(164 22)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,571 58	5/31/2011	18,796 08	6/4/2014	18,686 03	(110 05)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,367 78	6/30/2011	16,262 84	6/4/2014	16,262 80	(0 04)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,669 61	7/29/2011	19,718 05	6/4/2014	19,851 56	133 51	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,484 83	8/31/2011	17,179 52	6/4/2014	17,654 62	475 10	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,448.07	9/30/2011	16,348.76	6/4/2014	17,217 55	868.79	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	2,011 37	11/1/2011	23,271.54	6/4/2014	23,915 12	643 58	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	2,343 09	12/1/2011	26,781.53	6/4/2014	27,859 28	1,077 75	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	11,928.37	12/16/2011	134,790.60	6/4/2014	141,827 97	7,037 37	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	1,025 72	12/16/2011	11,590.61	6/4/2014	12,195 75	605 14	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	3,653 69	1/3/2012	41,323.23	6/4/2014	43,442.26	2,119.03	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	435.46	1/31/2012	4,977.33	6/4/2014	5,177 63	200 30	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	70.71	1/31/2012	808.23	6/4/2014	840.75	32 52	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	497 97	1/31/2012	5,691 77	6/4/2014	5,920 83	229 06	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	2,453.08	2/29/2012	28,284 01	6/4/2014	29,167 04	883 03	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	2,615 90	3/30/2012	30,135 17	6/4/2014	31,102 97	967 80	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	2,539 84	4/30/2012	29,335.18	6/4/2014	30,198.65	863.47	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	2,411 52	5/31/2012	27,636.01	6/4/2014	28,672 88	1,036.87	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	2,629 11	6/29/2012	30,392.47	6/4/2014	31,259 99	867 52	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4385	954 34	7/31/2012	11,060.79	6/4/2014	11,347.06	286.27	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	1,025 16	7/31/2012	11,911.76	11/20/2014	12,066.08	154 32	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	1,863 39	8/31/2012	21,763.69	11/20/2014	21,932 11	168.42	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	1,859 25	9/28/2012	21,827.21	11/20/2014	21,883.41	56.20	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	1,531 67	10/31/2012	18,027.54	11/20/2014	18,027 78	0.24	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	1,698.38	11/30/2012	20,006.65	11/20/2014	19,989 88	(16.77)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	180.59	12/13/2012	2,141.83	11/20/2014	2,125 57	(16.26)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	2,133 82	12/31/2012	25,243.14	11/20/2014	25,115 11	(128.03)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	299 54	1/31/2013	3,570.56	11/20/2014	3,525 56	(45 00)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	390 38	2/28/2013	4,649.52	11/20/2014	4,594 79	(54 73)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	390 25	3/28/2013	4,659 70	11/20/2014	4,593 25	(66.45)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	390 12	4/30/2013	4,669.87	11/20/2014	4,591 70	(78.17)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	391.3	5/31/2013	4,680 04	11/20/2014	4,605.57	(74.47)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	274.02	6/28/2013	3,247 10	11/20/2014	3,225 16	(21 94)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	364 98	7/31/2013	4,336 05	11/20/2014	4,295 85	(40.20)	L

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PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	336 67	8/30/2013	3,982 76	11/20/2014	3,962 56	(20 20)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	291.05	9/30/2013	3,446.08	11/20/2014	3,425 69	(20 39)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	209,903 61	10/28/2013	2,500,005 25	11/20/2014	2,470,565 53	(29,439 72)	L
JP MORGAN STRATEGIC INCOME OPPORTUNITIES FUND CLASS A	4812A4351	593 08	10/31/2013	7,057.83	11/20/2014	6,980 61	(77 22)	L
LIFE TIME FITNESS INC	53217R207	53	5/25/2011	2,026.24	3/17/2014	2,582 11	555.87	L
LIFE TIME FITNESS INC	53217R207	125	5/26/2011	4,775 15	3/17/2014	6,089 88	1,314 73	L
LIFE TIME FITNESS INC	53217R207	31	5/27/2011	1 146.54	3/17/2014	1,510 29	363 75	L
LIFE TIME FITNESS INC	53217R207	11	12/23/2011	494 69	3/17/2014	535 91	41 22	L
LIFE TIME FITNESS INC	53217R207	24	12/27/2011	1,132.21	3/17/2014	1,169 25	37.04	L
LIFE TIME FITNESS INC	53217R207	82	1/3/2012	3,877 72	3/17/2014	3,994 96	117 24	L
LIFE TIME FITNESS INC	53217R207	86	1/14/2013	4,385 62	3/17/2014	4,189 84	(195 78)	L
LINDSAY CORP	535555106	21	8/4/2009	791 21	3/17/2014	1,684 38	893 17	L
LINDSAY CORP	535555106	111	8/6/2009	4,323 73	3/17/2014	8,903 12	4,579 39	L
LINDSAY CORP	535555106	49	10/15/2009	1,809 08	3/17/2014	3,930 21	2,121 13	L
LINDSAY CORP	535555106	7	10/14/2011	404 75	3/17/2014	561 46	156.71	L
LINDSAY CORP	535555106	51	10/17/2011	2,877 68	3/17/2014	4,090 62	1,212 94	L
LINDSAY CORP	535555106	95	1/14/2013	8,502 09	3/17/2014	7,619 80	(882 29)	L
LIQUIDITY SERVICES INC	53635B107	26	10/23/2009	271 74	3/17/2014	698.42	426 68	L
LIQUIDITY SERVICES INC	53635B107	381	10/26/2010	6,354 24	3/17/2014	10,234 58	3,880 34	L
LIQUIDITY SERVICES INC	53635B107	12	6/1/2012	722 28	3/17/2014	322 35	(399 93)	L
LIQUIDITY SERVICES INC	53635B107	134	1/14/2013	5,712 35	3/17/2014	3,599 56	(2,112 79)	L
LOOMIS SAYLES STRATEGIC INCOME FUND CLASS A	543487284	104,404 30	1/25/2012	1,548,317.41	7/31/2014	1,778,000 00	229,682 59	L
M & T BANK CORP	55261F104	179	10/13/2011	13,304.02	10/29/2014	21,126.13	7,822 11	L
MAINSTAY MARKETFIELD FUND CLASS I	56064B852	112,400 42	8/5/2013	2,000,005 25	11/20/2014	1,854,607.01	(145,398.24)	L
MAINSTAY MARKETFIELD FUND CLASS I	56064B852	166,070 22	9/12/2013	3,000,005 25	11/20/2014	2,740,158 67	(259,846 58)	L
MARKETAXESS HOLDINGS INC	57060D108	25	6/23/2011	571 79	12/13/2013	1,620 22	1,048.43	L
MARKETAXESS HOLDINGS INC	57060D108	1	6/24/2011	23 20	12/13/2013	64 81	41 61	L
MARKETAXESS HOLDINGS INC	57060D108	33	6/24/2011	765 72	12/16/2013	2,136.34	1,370 62	L
MARKETAXESS HOLDINGS INC	57060D108	16	6/24/2011	371 25	12/17/2013	1,023 13	651 88	L
MARKETAXESS HOLDINGS INC	57060D108	22	6/24/2011	510.48	12/18/2013	1,404 75	894 27	L
MARKETAXESS HOLDINGS INC	57060D108	5	6/24/2011	116.01	12/19/2013	317 53	201 52	L
MARKETAXESS HOLDINGS INC	57060D108	83	6/24/2011	1,925 89	3/17/2014	5,276 24	3,350 35	L
MARKETAXESS HOLDINGS INC	57060D108	40	6/27/2011	941 98	3/17/2014	2,542 77	1,600 79	L
MARKETAXESS HOLDINGS INC	57060D108	26	6/28/2011	627 28	3/17/2014	1,652 80	1,025 52	L
MARKETAXESS HOLDINGS INC	57060D108	13	11/22/2011	334 79	3/17/2014	826.40	491 61	L
MARKETAXESS HOLDINGS INC	57060D108	17	11/23/2011	437 90	3/17/2014	1,080 68	642 78	L
MARKETAXESS HOLDINGS INC	57060D108	8	11/28/2011	212.45	3/17/2014	508 55	296 10	L
MARKETAXESS HOLDINGS INC	57060D108	37	11/29/2011	997 22	3/17/2014	2,352 06	1,354 84	L
MARKETAXESS HOLDINGS INC	57060D108	50	9/5/2012	1,661 25	3/17/2014	3,178.46	1,517 21	L
MARKETAXESS HOLDINGS INC	57060D108	47	9/6/2012	1,406.29	3/17/2014	2,987 75	1,581.46	L
MARKETAXESS HOLDINGS INC	57060D108	9	9/18/2012	267 73	3/17/2014	572 12	304 39	L
MARKETAXESS HOLDINGS INC	57060D108	33	9/19/2012	1,011 29	3/17/2014	2,097 79	1,086 50	L
MARKETAXESS HOLDINGS INC	57060D108	62	9/20/2012	1,877 15	3/17/2014	3,941 29	2,064 14	L
MARKETAXESS HOLDINGS INC	57060D108	96	9/21/2012	2,905.50	3/17/2014	6,102 64	3,197 14	L
MARKETAXESS HOLDINGS INC	57060D108	22	11/6/2012	678.69	3/17/2014	1,398.52	719 83	L
MARKETAXESS HOLDINGS INC	57060D108	54	11/8/2012	1,623 23	3/17/2014	3,432 74	1,809 51	L
MARKETAXESS HOLDINGS INC	57060D108	228	1/14/2013	8,082.71	3/17/2014	14,493 78	6,411 07	L
MATTEL INC	577081102	290	7/30/2009	4,657 40	3/21/2014	11,240 24	6,582 84	L
MATTEL INC	577081102	265	8/4/2009	4,273 13	3/21/2014	10,271 25	5,998.12	L
MCKESSON CORP	58155Q103	148	4/7/2010	9,770 56	10/16/2014	27,588.45	17,817 89	L
MELLANOX TECHNOLOGIES LTD ILS	M51363113	43	2/6/2013	2,255 86	3/17/2014	1,685 67	(570 19)	L
MELLANOX TECHNOLOGIES LTD ILS	M51363113	164	2/7/2013	8,859 99	3/17/2014	6,429 05	(2,430 94)	L
MELLANOX TECHNOLOGIES LTD ILS	M51363113	85	2/8/2013	4,566 06	3/17/2014	3,332 13	(1,233 93)	L
MELLANOX TECHNOLOGIES LTD ILS	M51363113	33	2/11/2013	1,752 69	3/17/2014	1,293 65	(459 04)	L
MELLANOX TECHNOLOGIES LTD ILS	M51363113	93	2/15/2013	4,936.94	3/17/2014	3,645 74	(1,291 20)	L
MELLANOX TECHNOLOGIES LTD ILS	M51363113	17	2/19/2013	916.11	3/17/2014	666.43	(249 68)	L
MENS WEARHOUSE INC	587118100	107	12/13/2011	3,389 27	11/26/2013	5,453 81	2,064 54	L
MENS WEARHOUSE INC	587118100	9	6/1/2012	311 29	11/26/2013	458.73	147 44	L
MENS WEARHOUSE INC	587118100	126	11/8/2012	4,154 26	11/26/2013	6,422 25	2,267 99	L
MERIDIAN BIOSCIENCE INC	589584101	358	8/6/2009	7,889 07	3/17/2014	7,776.63	(112 44)	L
MERIDIAN BIOSCIENCE INC	589584101	194	10/15/2009	4,747 18	3/17/2014	4,214 14	(533 04)	L
MERIDIAN BIOSCIENCE INC	589584101	35	5/8/2012	685 52	3/17/2014	760 29	74 77	L
MERIDIAN BIOSCIENCE INC	589584101	63	5/9/2012	1,242 64	3/17/2014	1,368.51	125 87	L
MERIDIAN BIOSCIENCE INC	589584101	53	5/10/2012	1,050.46	3/17/2014	1,151 29	100 83	L
MERIDIAN BIOSCIENCE INC	589584101	29	5/11/2012	575.03	3/17/2014	629 95	54 92	L
MERIDIAN BIOSCIENCE INC	589584101	1	5/14/2012	19 70	3/17/2014	21 72	2 02	L
MERIDIAN BIOSCIENCE INC	589584101	33	5/18/2012	636.30	3/17/2014	716 84	80 54	L
MERIDIAN BIOSCIENCE INC	589584101	228	1/14/2013	4,819.04	3/17/2014	4,952 71	133 67	L
MINERALS TECHNOLOGIES INC	603158106	212	8/6/2009	4,667 82	3/17/2014	12,346.93	7,679 11	L
MINERALS TECHNOLOGIES INC	603158106	124	10/15/2009	3,038.00	3/17/2014	7,221 78	4,183 78	L
MINERALS TECHNOLOGIES INC	603158106	88	1/4/2011	2,865 81	3/17/2014	5,125 14	2,259.33	L
MINERALS TECHNOLOGIES INC	603158106	46	1/5/2011	1,501 90	3/17/2014	2,679.05	1,177 15	L
MINERALS TECHNOLOGIES INC	603158106	12	6/1/2012	372.12	3/17/2014	698.88	326.76	L
MINERALS TECHNOLOGIES INC	603158106	142	1/14/2013	5,788.23	3/17/2014	8,270 11	2,481 88	L
MYRIAD GENETICS INC NEW	62855J104	89	3/13/2012	2,183 71	12/23/2013	2,129 41	(54 30)	L
MYRIAD GENETICS INC NEW	62855J104	3	3/14/2012	74.53	1/7/2014	62 82	(11 71)	L
MYRIAD GENETICS INC NEW	62855J104	68	3/14/2012	1,689 26	12/23/2013	1,626.96	(62 30)	L
MYRIAD GENETICS INC NEW	62855J104	113	3/15/2012	2,821 17	1/7/2014	2,366.06	(455 11)	L
MYRIAD GENETICS INC NEW	62855J104	108	3/16/2012	2,729 27	1/7/2014	2,261 38	(467 89)	L
MYRIAD GENETICS INC NEW	62855J104	66	3/19/2012	1,690.47	1/7/2014	1,381 95	(308.52)	L
MYRIAD GENETICS INC NEW	62855J104	34	3/20/2012	846.30	1/7/2014	711 91	(134 39)	L
MYRIAD GENETICS INC NEW	62855J104	146	3/27/2012	3,404 66	1/7/2014	3,057.04	(347 62)	L
MYRIAD GENETICS INC NEW	62855J104	67	3/28/2012	1,568.05	1/7/2014	1,402 89	(165 16)	L
NATURAL GAS SERVICES GROUP INC	63886Q109	26	2/8/2011	467 85	3/17/2014	798.07	330.22	L
NATURAL GAS SERVICES GROUP INC	63886Q109	13	2/9/2011	233 85	3/17/2014	399.03	165 18	L
NATURAL GAS SERVICES GROUP INC	63886Q109	14	2/10/2011	251 81	3/17/2014	429 73	177 92	L
NATURAL GAS SERVICES GROUP INC	63886Q109	25	2/11/2011	449 99	3/17/2014	767 37	317 38	L
NATURAL GAS SERVICES GROUP INC	63886Q109	9	2/16/2011	162 66	3/17/2014	276.25	113 59	L
NATURAL GAS SERVICES GROUP INC	63886Q109	45	2/22/2011	831 19	3/17/2014	1,381 27	550.08	L
NATURAL GAS SERVICES GROUP INC	63886Q109	11	2/24/2011	203 46	3/17/2014	337 64	134 18	L

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PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
NATURAL GAS SERVICES GROUP INC	63886Q109	40	2/25/2011	759 93	3/17/2014	1,227 79	467 86	L
NATURAL GAS SERVICES GROUP INC	63886Q109	158	2/28/2011	3,017 77	3/17/2014	4,849 78	1,832 01	L
NATURAL GAS SERVICES GROUP INC	63886Q109	31	3/1/2011	585 67	3/17/2014	951 54	365 87	L
NATURAL GAS SERVICES GROUP INC	63886Q109	7	3/2/2011	131 93	3/17/2014	214 86	82 93	L
NATURAL GAS SERVICES GROUP INC	63886Q109	38	3/3/2011	718.89	3/17/2014	1,166.41	447 52	L
NATURAL GAS SERVICES GROUP INC	63886Q109	55	6/1/2012	744 92	3/17/2014	1,688.21	943 29	L
NATURAL GAS SERVICES GROUP INC	63886Q109	139	1/14/2013	2,367 48	3/17/2014	4,266 58	1,899 10	L
NEOGEN CORP	640491106	47.5	12/31/2010	1,325 74	3/17/2014	2,183 53	857 79	L
NEOGEN CORP	640491106	130.5	1/3/2011	3,649 13	3/17/2014	5,998.95	2,349 82	L
NEOGEN CORP	640491106	30	6/1/2012	770 80	3/17/2014	1,379.07	608.27	L
NEOGEN CORP	640491106	78	1/14/2013	2,385 87	3/17/2014	3,585 58	1,199 71	L
NEW JERSEY RESOURCES CRP	646025106	109	8/4/2009	4,163 69	3/17/2014	5,275 66	1,111 97	L
NEW JERSEY RESOURCES CRP	646025106	316	8/6/2009	11,710 01	3/17/2014	15,294 57	3,584.56	L
NEW JERSEY RESOURCES CRP	646025106	139	10/15/2009	5,035.48	3/17/2014	6,727 67	1,692 19	L
NEW JERSEY RESOURCES CRP	646025106	20	6/1/2012	836 60	3/17/2014	968.01	131 41	L
NEW JERSEY RESOURCES CRP	646025106	173	1/14/2013	6,960 63	3/17/2014	8,373 29	1,412 66	L
NIC INC	62914B100	129	12/29/2010	1,214 74	12/13/2013	2,921 46	1 706.72	L
NIC INC	62914B100	45	12/29/2010	423 75	12/16/2013	1,020 25	596.50	L
NIC INC	62914B100	37	12/30/2010	356.10	12/16/2013	838.87	482 77	L
NIC INC	62914B100	6	12/30/2010	57 74	12/24/2013	143 72	85 98	L
NIC INC	62914B100	19	12/30/2010	182 86	12/26/2013	454 34	271 48	L
NIC INC	62914B100	3	12/30/2010	28 88	1/3/2014	70 58	41 70	L
NIC INC	62914B100	6	12/30/2010	57 74	1/6/2014	140 04	82.30	L
NIC INC	62914B100	84	12/30/2010	808.44	3/12/2014	1,648.47	840.03	L
NIC INC	62914B100	51	12/31/2010	491.45	3/12/2014	1,000 86	509 41	L
NIC INC	62914B100	5	12/31/2010	48.18	3/13/2014	96 29	48 11	L
NIC INC	62914B100	30	12/31/2010	289 09	3/13/2014	586 22	297 13	L
NIC INC	62914B100	40	1/3/2011	391 41	3/13/2014	770 34	378.93	L
NIC INC	62914B100	115	1/3/2011	1,125 32	3/14/2014	2,213 70	1,088.38	L
NIC INC	62914B100	172	1/3/2011	1,683 08	3/17/2014	3,267.83	1,584 75	L
NIC INC	62914B100	67	1/4/2011	637 22	3/17/2014	1,272 93	635 71	L
NIC INC	62914B100	273	1/14/2013	4,490 85	3/17/2014	5,186 72	695 87	L
NISOURCE INC	65473P105	585	7/30/2009	7,558 20	3/13/2014	20,517 31	12,959 11	L
NISOURCE INC	65473P105	125	8/4/2009	1,642 38	3/13/2014	4,384 04	2 741 66	L
NISOURCE INC	65473P105	440	8/4/2009	5,781 16	8/8/2014	16,290 72	10 509 56	L
NISOURCE INC	65473P105	45	8/6/2009	583 19	8/8/2014	1,666.10	1,082 91	L
OPPENHEIMER DEVELOPING MARKETS CLASS Y	683974505	245 99	1/23/2012	7,649 01	10/15/2014	9,212.22	1,563 21	L
OPPENHEIMER DEVELOPING MARKETS CLASS Y	683974505	29,406 91	9/14/2012	1,000,005 25	10/15/2014	1,101,288 66	101,283.41	L
OPPENHEIMER DEVELOPING MARKETS CLASS Y	683974505	908 17	12/7/2012	30,775 13	10/15/2014	34,011 12	3,235 99	L
OPPENHEIMER DEVELOPING MARKETS CLASS Y	683974505	14,033 44	1/10/2013	500,005 25	10/15/2014	525,552 44	25,547 19	L
OPPENHEIMER DEVELOPING MARKETS CLASS Y	683974109	53,734 69	1/23/2012	1,687,809 62	12/19/2013	2,000,000 00	312,190 38	L
PIMCO DYNAMIC CR INCOME FD	72202D106	50,000 00	1/28/2013	1,250,000 00	7/31/2014	1,135,516.46	(114,483 54)	L
PLANTRONICS INC NEW	727493108	444	8/6/2009	11,195 45	3/17/2014	20,058.81	8 863 36	L
PLANTRONICS INC NEW	727493108	198	10/15/2009	5,282 32	3/17/2014	8,945.15	3 662 83	L
PLANTRONICS INC NEW	727493108	11	6/1/2012	322.41	3/17/2014	496.95	174 54	L
PLANTRONICS INC NEW	727493108	251	1/14/2013	9,332 58	3/17/2014	11,339.55	2,006.97	L
POLYCOM INC	73172K104	255	6/15/2012	2,669 27	3/17/2014	3,287 00	617 73	L
POLYCOM INC	73172K104	396	6/18/2012	4,144 54	3/17/2014	5,104.53	959 99	L
POLYCOM INC	73172K104	89	6/19/2012	956 12	3/17/2014	1,147.23	191 11	L
POLYCOM INC	73172K104	555	11/5/2012	5,822 73	3/17/2014	7,154.06	1,331.33	L
POLYCOM INC	73172K104	581	1/14/2013	6,673 19	3/17/2014	7,489.22	816.03	L
POWER INTEGRATIONS INC	739276103	59	8/4/2009	1,803 96	3/17/2014	3,759 11	1,955 15	L
POWER INTEGRATIONS INC	739276103	293	8/6/2009	8,614 47	3/17/2014	18,668 14	10,053 67	L
POWER INTEGRATIONS INC	739276103	144	10/15/2009	4,924 81	3/17/2014	9,174 79	4 249 98	L
POWER INTEGRATIONS INC	739276103	19	6/1/2012	752 02	3/17/2014	1,210 56	458.54	L
POWER INTEGRATIONS INC	739276103	201	1/14/2013	6,911 76	3/17/2014	12,806.47	5,894 71	L
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336108	66,577 90	3/8/2013	1,500,005 25	7/17/2014	1,641,140.82	141,135 57	L
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336108	14 32	3/22/2013	322.89	7/17/2014	352.96	30 07	L
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336108	14,543 90	4/2/2013	334,367 48	7/17/2014	358,506.22	24,138.74	L
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336504	9,343 55	4/2/2013	215,637 77	10/15/2014	217,330 88	1,693 11	L
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336504	40,560 34	5/21/2013	1,000,005 25	10/15/2014	943,433.59	(56,571 66)	L
PRUDENTIAL GLOBAL REAL ESTATE FUND A	744336504	1,963 63	6/21/2013	41,040 28	10/15/2014	45,674 02	4,633 74	L
PUTNAM CAPITAL SPECTRUM A	74676P102	39,946.88	11/22/2011	877,233.42	7/17/2014	1,500,000 00	622,766.58	L
PUTNAM SHORT DURATION INCOME FUND A	74676P755	198,807 68	9/16/2013	1,994,041 86	10/23/2014	2,000,000 00	5,958.14	L
PUTNAM SHORT DURATION INCOME FUND A	74676P755	316,983 92	9/16/2013	3,179,350.00	11/24/2014	3,185,685 00	6,335.00	L
PUTNAM SHORT DURATION INCOME FUND A	74676P755	334 13	9/30/2013	3,354 73	11/24/2014	3,357 99	3 26	L
PUTNAM SHORT DURATION INCOME FUND A	74676P755	583.03	10/31/2013	5,853 77	11/24/2014	5,859 49	5 72	L
QUAKER CHEMICAL CORP	747316107	78	8/4/2009	1,565 70	3/17/2014	6,153.21	4,587 51	L
QUAKER CHEMICAL CORP	747316107	181	8/6/2009	3,720.46	3/17/2014	14,278.59	10,558.13	L
QUAKER CHEMICAL CORP	747316107	79	10/15/2009	1,626.61	3/17/2014	6,232 09	4,605.48	L
QUAKER CHEMICAL CORP	747316107	11	6/1/2012	433 18	3/17/2014	867 76	434 58	L
QUAKER CHEMICAL CORP	747316107	121	1/14/2013	7,050 08	3/17/2014	9,545 36	2,495 28	L
RIVERBED TECHNOLOGY INC	768573107	684	2/12/2013	11,025.40	3/17/2014	13,495 09	2,469 69	L
RIVERBED TECHNOLOGY INC	768573107	398	2/15/2013	6,326.69	3/17/2014	7,852 41	1,525 72	L
RPC INC	749660106	16.5	2/22/2012	180 64	3/17/2014	317.46	136.82	L
RPC INC	749660106	86 5	2/22/2012	963 36	3/17/2014	1,664.23	700.87	L
RPC INC	749660106	49	10/17/2012	583 70	3/17/2014	942.74	359.04	L
RPC INC	749660106	70	10/18/2012	874.86	3/17/2014	1,346.78	471 92	L
RPC INC	749660106	80	10/19/2012	978.10	3/17/2014	1,539 17	561.07	L
RPC INC	749660106	86	10/22/2012	1,032.00	3/17/2014	1,654 62	622 62	L
RPC INC	749660106	41	10/23/2012	474 75	3/17/2014	788 82	314.07	L
RPC INC	749660106	302	1/14/2013	4,124 62	3/17/2014	5,810 38	1,685 76	L
SAPIENT CORP	803062108	79	10/22/2010	1,011 36	2/11/2014	1,237 88	226.52	L
SAPIENT CORP	803062108	4	10/26/2010	51 77	2/11/2014	62 68	10 91	L
SAPIENT CORP	803062108	128	10/26/2010	1,656.73	2/12/2014	2,014 78	358.05	L
SAPIENT CORP	803062108	170	10/26/2010	2,200 35	2/13/2014	2,700 59	500 24	L
SAPIENT CORP	803062108	26	10/26/2010	336 52	2/14/2014	412 72	76.20	L
SAPIENT CORP	803062108	61	11/17/2010	725 28	2/14/2014	968.29	243.01	L
SAPIENT CORP	803062108	333	11/17/2010	3,959.30	2/18/2014	5,305 00	1,345 70	L
SAPIENT CORP	803062108	277	11/17/2010	3,293 48	3/17/2014	4,607.57	1,314.09	L

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PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
SAPIENT CORP	803062108	623	3/4/2011	7,250 72	3/17/2014	10,362 88	3,112 16	L
SAPIENT CORP	803062108	261	3/7/2011	3,008.60	3/17/2014	4,341.43	1,332 83	L
SAPIENT CORP	803062108	66	6/1/2012	710 81	3/17/2014	1,097 83	387.02	L
SAPIENT CORP	803062108	601	1/14/2013	7,119 93	3/17/2014	9,996.94	2,877.01	L
SCIENCE APPLICATIONS INTE	808625107	0 14	1/29/2013	3 58	8/11/2014	5 98	2.40	L
SCIENCE APPLICATIONS INTE	808625107	305	1/29/2013	7,641 64	8/8/2014	12,710 35	5,068.71	L
SCIENCE APPLICATIONS INTE	808625107	167 86	2/14/2013	4,193 85	8/11/2014	7,020 09	2,826 24	L
SEMPRA ENERGY	816851109	55	8/4/2009	2,875 23	7/24/2014	5,621 33	2,746 10	L
SEMPRA ENERGY	816851109	130	8/6/2009	6,641 38	7/24/2014	13,286.77	6,645 39	L
SIMPSON MANUFACTURING CO	829073105	17	8/6/2009	494 34	12/24/2013	632 51	138 17	L
SIMPSON MANUFACTURING CO	829073105	44	8/6/2009	1,279.47	12/26/2013	1,639 10	359 63	L
SIMPSON MANUFACTURING CO	829073105	85	8/6/2009	2,471 72	1/3/2014	3,060 32	588.60	L
SIMPSON MANUFACTURING CO	829073105	24	10/15/2009	642 32	1/3/2014	864 09	221 77	L
SIMPSON MANUFACTURING CO	829073105	28	10/15/2009	749 38	1/6/2014	983 01	233 63	L
SIMPSON MANUFACTURING CO	829073105	43	10/15/2009	1,150 82	1/7/2014	1,502 59	351 77	L
SIMPSON MANUFACTURING CO	829073105	20	10/15/2009	535 27	1/8/2014	690 79	155 52	L
SIMPSON MANUFACTURING CO	829073105	7	6/1/2012	188.93	1/9/2014	241 10	52 17	L
SIMPSON MANUFACTURING CO	829073105	10	6/1/2012	269 90	1/8/2014	345 39	75 49	L
SODA STREAM INTL LTD ILS	M9068E105	11	11/2/2011	361 56	2/7/2014	422 34	60 78	L
SODA STREAM INTL LTD ILS	M9068E105	86	12/20/2011	2,720 52	2/7/2014	3,301 95	581 43	L
SODA STREAM INTL LTD ILS	M9068E105	34	12/21/2011	1,083 33	2/7/2014	1,305 42	222 09	L
SODA STREAM INTL LTD ILS	M9068E105	74	8/21/2012	2,825 62	2/7/2014	2,841 21	15 59	L
SODA STREAM INTL LTD ILS	M9068E105	73	9/12/2012	2,946.24	2/7/2014	2,802 82	(143 42)	L
SODA STREAM INTL LTD ILS	M9068E105	18	9/13/2012	733 08	2/7/2014	691 10	(41 98)	L
SODA STREAM INTL LTD ILS	M9068E105	152	1/14/2013	7,360 53	2/7/2014	5,836 00	(1,524 53)	L
SONOCO PRODUCTS CO	835495102	370	9/22/2011	11,119 09	8/26/2014	14,984 45	3,865 36	L
SOUTH JERSEY IND INC	838518108	170	8/6/2009	6,057.49	3/17/2014	9,558.19	3,500 70	L
SOUTH JERSEY IND INC	838518108	122	10/15/2009	4,464 81	3/17/2014	6,859 41	2,394 60	L
SOUTH JERSEY IND INC	838518108	90	1/14/2013	4,696 39	3/17/2014	5,060 22	363 83	L
SOUTHERN CO	842587107	2	7/28/2011	79 57	12/30/2013	81 82	2 25	L
SOUTHERN CO	842587107	348	7/28/2011	13,846 02	12/30/2013	14,248 67	402 65	L
SOUTHERN CO	842587107	150	8/11/2011	5,759 55	12/30/2013	6,136 52	376 97	L
SOUTHERN CO	842587107	125	9/2/2011	5,103.43	12/30/2013	5,113 77	10.34	L
SOUTHERN CO	842587107	375	9/6/2011	15,134 03	12/30/2013	15,341 30	207 27	L
SOUTHERN CO	842587107	250	9/7/2011	10,276.85	12/30/2013	10 227 53	(49 32)	L
SOUTHERN CO	842587107	250	9/9/2011	10,241 85	12/30/2013	10,227 54	(14 31)	L
SOUTHERN CO	842587107	400	9/22/2011	16,696.00	12/30/2013	16,364 05	(331 95)	L
SOUTHERN CO	842587107	700	2/2/2012	31,225 25	12/30/2013	28,637 10	(2,588 15)	L
SOUTHERN CO	842587107	100	2/7/2012	4,432 76	12/30/2013	4,091 01	(341 75)	L
SOUTHERN CO	842587107	700	2/21/2012	30,763 81	12/30/2013	28,637 09	(2,126 72)	L
SOUTHERN CO	842587107	200	7/20/2012	9,545 30	12/30/2013	8,182 03	(1,363 27)	L
SOUTHERN CO	842587107	700	10/9/2012	32,051 25	12/30/2013	28,637 10	(3,414 15)	L
SOUTHERN CO	842587107	400	10/12/2012	18,342 76	12/30/2013	16,364 05	(1,978 71)	L
SOUTHERN CO	842587107	300	10/15/2012	13,652 25	12/30/2013	12,273 04	(1,379 21)	L
SYNTEL INC	87162H103	16	9/12/2012	937 93	3/17/2014	1,465 38	527.45	L
SYNTEL INC	87162H103	19	9/13/2012	1,119 81	3/17/2014	1,740 14	620 33	L
SYNTEL INC	87162H103	9	9/14/2012	538.90	3/17/2014	824 28	285 38	L
SYNTEL INC	87162H103	9	9/17/2012	536.37	3/17/2014	824 27	287 90	L
SYNTEL INC	87162H103	12	9/18/2012	722 21	3/17/2014	1,099 04	376 83	L
SYNTEL INC	87162H103	14	9/19/2012	839 37	3/17/2014	1,282 21	442 84	L
SYNTEL INC	87162H103	13	9/20/2012	777 68	3/17/2014	1,190 62	412 94	L
SYNTEL INC	87162H103	20	9/24/2012	1,204 59	3/17/2014	1 831 72	627 13	L
SYNTEL INC	87162H103	9	9/25/2012	540 12	3/17/2014	824 28	284 16	L
SYNTEL INC	87162H103	12	9/26/2012	718.23	3/17/2014	1,099.03	380 80	L
SYNTEL INC	87162H103	18	11/8/2012	1,072 08	3/17/2014	1,648.56	576.48	L
SYNTEL INC	87162H103	5	11/9/2012	295 38	3/17/2014	457 93	162 55	L
SYNTEL INC	87162H103	6	11/12/2012	353 51	3/17/2014	549 52	196 01	L
SYNTEL INC	87162H103	37	11/13/2012	2,170 87	3/17/2014	3,388.69	1,217 82	L
SYNTEL INC	87162H103	60	1/14/2013	3,350 52	3/17/2014	5,495 18	2,144 66	L
TEAM INC	878155100	72	1/3/2011	1,779 39	3/17/2014	3,069.08	1,289 69	L
TEAM INC	878155100	151	1/4/2011	3,705 78	3/17/2014	6,436.53	2,730 75	L
TEAM INC	878155100	61	1/5/2011	1,555 64	3/17/2014	2,600 19	1,044 55	L
TEAM INC	878155100	50	1/7/2011	1,294 26	3/17/2014	2,131 30	837.04	L
TEAM INC	878155100	84	1/11/2011	2,204 25	3/17/2014	3,580 59	1,376 34	L
TEAM INC	878155100	21	6/1/2012	551 25	3/17/2014	895 15	343 90	L
TEAM INC	878155100	206	1/14/2013	8,621.08	3/17/2014	8,780.97	159 89	L
TECHNE CORP MINN **NAME CHANGE 11/2014**	878377100	5	3/14/2012	350 97	3/17/2014	446.18	95 21	L
TECHNE CORP MINN **NAME CHANGE 11/2014**	878377100	24	5/8/2012	1,648.81	3/17/2014	2,141 66	492 85	L
TECHNE CORP MINN **NAME CHANGE 11/2014**	878377100	28	5/9/2012	1,922.02	3/17/2014	2,498.61	576.59	L
TECHNE CORP MINN **NAME CHANGE 11/2014**	878377100	4	5/10/2012	277 78	3/17/2014	356.95	79 17	L
TECHNE CORP MINN **NAME CHANGE 11/2014**	878377100	69	1/14/2013	4,818.90	3/17/2014	6,157 28	1,338.38	L
TECHNE CORP MINN **NAME CHANGE 11/2014**	878377100	27	1/28/2013	1,914 86	3/17/2014	2,409 38	494.52	L
TELEPHONE & DATA SYS INC	879433829	395	7/30/2009	9,681 45	11/3/2014	10,118.29	436.84	L
TELEPHONE & DATA SYS INC	879433829	405	8/4/2009	10,057 65	11/3/2014	10,374.45	316.80	L
TELEPHONE & DATA SYS INC	879433829	240	8/6/2009	6,020.28	11/4/2014	6,137 93	117 65	L
TELEPHONE & DATA SYS INC	879433829	185	8/6/2009	4,640 63	11/3/2014	4,738.95	98.32	L
TEMPLETON FRONTIER MARKETS ADV	88019R641	58,757 66	3/20/2013	1,000,005 25	10/15/2014	1,062,338.44	62,333 19	L
TEMPLETON FRONTIER MARKETS ADV	88019R641	58,827.31	4/25/2013	1,000,005 25	10/15/2014	1,063,597 77	63,592.52	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	35	4/1/2011	922 69	1/14/2014	2,185 86	1,263 17	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	7	4/5/2011	184.03	1/14/2014	437 18	253 15	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	47	6/1/2011	1,211 47	1/14/2014	2,935.30	1,723 83	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	53	6/1/2011	1,366.12	1/15/2014	3,351.07	1,984 95	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	90	6/1/2011	2,300.74	1/28/2014	5,403 46	3,102.72	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	30	6/2/2011	757.86	1/28/2014	1,801 15	1,043 29	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	3	6/3/2011	75 27	1/28/2014	180.12	104 85	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	46	6/3/2011	1,154 19	3/17/2014	2,973.42	1,819.23	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	12	6/1/2012	451.08	3/17/2014	775 68	324 60	L
TEXAS CAPITAL BANCSHARES INC (DELA)	88224Q107	140	1/14/2013	6,445 60	3/17/2014	9,049 54	2,603 94	L
THORATEC CORP NEW	885175307	89	8/29/2012	2,986.87	3/17/2014	3,139 53	152 66	L
THORATEC CORP NEW	885175307	31	8/30/2012	1,039 20	3/17/2014	1,093 54	54.34	L

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PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss)

12/01/2012 to 11/30/2013

DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
THORATEC CORP NEW	885175307	23	8/31/2012	775 77	3/17/2014	811 34	35 57	L
THORATEC CORP NEW	885175307	43	9/4/2012	1,470 60	3/17/2014	1,516 86	46 26	L
THORATEC CORP NEW	885175307	82	9/5/2012	2,853.36	3/17/2014	2,892 60	39 24	L
THORATEC CORP NEW	885175307	34	9/6/2012	1,209.57	3/17/2014	1,199.37	(10 20)	L
THORATEC CORP NEW	885175307	70	9/7/2012	2,462 45	3/17/2014	2,469 29	6.84	L
THORATEC CORP NEW	885175307	108	9/10/2012	3,752 30	3/17/2014	3,809 77	57 47	L
THORATEC CORP NEW	885175307	88	9/12/2012	2,964 97	3/17/2014	3,104 25	139 28	L
THORATEC CORP NEW	885175307	39	9/13/2012	1,311 67	3/17/2014	1,375 75	64.08	L
THORATEC CORP NEW	885175307	183	1/14/2013	6,745 38	3/17/2014	6,455.44	(289 94)	L
THORNBURG DEVELOPING WORLD FUND CLASS I	885216606	168,433 18	9/12/2013	3,000,005 25	10/15/2014	3,075,589 94	75,584 69	L
UBS AG TRIGGER ROS CMCIER 1/31/2014	902669274	100,000 00	1/26/2011	1,000,000.00	1/31/2014	1 000,000 00	-	L
UBS AG TRIGGER YON MET 04/14/2014 8 35%	90268Q654	27,979.00	4/9/2012	999,969.46	4/14/2014	999,969.46	-	L
UMB FINANCIAL CORP	902788108	22	4/9/2010	929 16	3/17/2014	1,429 09	499 93	L
UMB FINANCIAL CORP	902788108	27	4/12/2010	1,142 92	3/17/2014	1,753 88	610 96	L
UMB FINANCIAL CORP	902788108	85	5/10/2010	3,530 19	3/17/2014	5,521.49	1,991 30	L
UMB FINANCIAL CORP	902788108	24	5/11/2010	1,019 16	3/17/2014	1,559 01	539 85	L
UMB FINANCIAL CORP	902788108	7	5/12/2010	300.48	3/17/2014	454 71	154 23	L
UMB FINANCIAL CORP	902788108	42	7/26/2010	1,582 27	3/17/2014	2,728.26	1,145 99	L
UMB FINANCIAL CORP	902788108	79	7/27/2010	3,044 90	3/17/2014	5,131 74	2,086 84	L
UMB FINANCIAL CORP	902788108	87	7/28/2010	3,444 75	3/17/2014	5,651 40	2,206 65	L
UMB FINANCIAL CORP	902788108	62	8/18/2010	2,168.44	3/17/2014	4,027.44	1,859 00	L
UMB FINANCIAL CORP	902788108	69	8/19/2010	2,402 06	3/17/2014	4,482 15	2,080.09	L
UMB FINANCIAL CORP	902788108	21	6/1/2012	989 31	3/17/2014	1,364 13	374 82	L
UMB FINANCIAL CORP	902788108	160	1/14/2013	7,224 48	3/17/2014	10,393 39	3,168 91	L
UMPQUA HOLDINGS CORP OR	904214103	98	8/18/2010	1,131 82	3/17/2014	1,837 88	706 06	L
UMPQUA HOLDINGS CORP OR	904214103	102	8/19/2010	1,149 93	3/17/2014	1,912 90	762 97	L
UMPQUA HOLDINGS CORP OR	904214103	410	8/5/2011	4,522 87	3/17/2014	7,689 09	3,166.22	L
UMPQUA HOLDINGS CORP OR	904214103	259	8/8/2011	2,714 45	3/17/2014	4,857 26	2,142 81	L
UMPQUA HOLDINGS CORP OR	904214103	606	1/14/2013	7,556 80	3/17/2014	11,364 85	3,808 05	L
UNTD NAT FOODS INC	911163103	14	8/6/2009	372 50	2/5/2014	933 93	561 43	L
UNTD NAT FOODS INC	911163103	100	10/15/2009	2,463 07	2/5/2014	6,670 89	4,207 82	L
UNTD NAT FOODS INC	911163103	55	12/17/2010	2,054 42	2/5/2014	3,668 99	1,614 57	L
UNTD NAT FOODS INC	911163103	134	12/17/2010	5,005 33	3/17/2014	9,930 54	4,925 21	L
UNTD NAT FOODS INC	911163103	21	6/1/2012	1,016 61	3/17/2014	1,556 27	539 66	L
UNTD NAT FOODS INC	911163103	124	1/14/2013	6,449 07	3/17/2014	9,189 45	2,740 38	L
VERIZON COMMUNICATIONS INC	92343V104	780	7/28/2011	27,773 77	1/28/2014	37,073 83	9,300 06	L
VERIZON COMMUNICATIONS INC	92343V104	220	8/30/2011	8,000 83	1/28/2014	10,456 73	2,455 90	L
VERIZON COMMUNICATIONS INC	92343V104	1,000 00	8/31/2011	35,827 00	1/28/2014	47,530 56	11,703 56	L
VERIZON COMMUNICATIONS INC	92343V104	275	9/2/2011	9,864 03	1/28/2014	13,070 90	3,206 87	L
VERIZON COMMUNICATIONS INC	92343V104	725	9/6/2011	25,416.33	1/28/2014	34,459 65	9,043 32	L
VERIZON COMMUNICATIONS INC	92343V104	500	9/7/2011	17,648 50	1/28/2014	23,765 28	6,116 78	L
VERIZON COMMUNICATIONS INC	92343V104	500	9/9/2011	17,564 93	1/28/2014	23,765 28	6,200 35	L
VITAMIN SHOPPE INC	92849E101	89	3/9/2012	3,848 54	2/5/2014	3,835 54	(13 00)	L
VITAMIN SHOPPE INC	92849E101	14	3/9/2012	605 39	3/17/2014	625 71	20 32	L
VITAMIN SHOPPE INC	92849E101	55	4/4/2012	2,422 46	3/17/2014	2,458 17	35 71	L
VITAMIN SHOPPE INC	92849E101	54	4/5/2012	2,407 97	3/17/2014	2,413.47	5 50	L
VITAMIN SHOPPE INC	92849E101	41	4/9/2012	1,808 03	3/17/2014	1,832.45	24 42	L
VITAMIN SHOPPE INC	92849E101	25	4/10/2012	1,104 16	3/17/2014	1,117 35	13 19	L
VITAMIN SHOPPE INC	92849E101	80	1/14/2013	4,510.43	3/17/2014	3,575 51	(934 92)	L
VITAMIN SHOPPE INC	92849E101	140	2/26/2013	7,184 20	3/17/2014	6,257 15	(927 05)	L
VITAMIN SHOPPE INC	92849E101	42	2/27/2013	2,215 48	3/17/2014	1,877 14	(338 34)	L
WATTS WATER TECHNOLOGIES	942749102	158	8/4/2009	4,366.96	3/17/2014	9,288 19	4,921 23	L
WATTS WATER TECHNOLOGIES	942749102	203	8/6/2009	5,569 81	3/17/2014	11,933 55	6,363 74	L
WATTS WATER TECHNOLOGIES	942749102	89	10/15/2009	2,742 98	3/17/2014	5,231 96	2,488.98	L
WATTS WATER TECHNOLOGIES	942749102	17	6/1/2012	550 29	3/17/2014	999 36	449 07	L
WATTS WATER TECHNOLOGIES	942749102	137	1/14/2013	5,960 82	3/17/2014	8,053 68	2,092 86	L
WEST PHARMACEUTICAL SERVICES INC	955306105	370	8/6/2009	7,288.54	3/17/2014	16,725 85	9,437 31	L
WEST PHARMACEUTICAL SERVICES INC	955306105	184	10/15/2009	3,715 19	3/17/2014	8,317 72	4,602 53	L
WEST PHARMACEUTICAL SERVICES INC	955306105	66	12/21/2010	1,369 83	3/17/2014	2,983 53	1,613 70	L
WEST PHARMACEUTICAL SERVICES INC	955306105	36	12/22/2010	750 14	3/17/2014	1,627 38	877 24	L
WEST PHARMACEUTICAL SERVICES INC	955306105	106	12/23/2010	2,226 68	3/17/2014	4,791 73	2,565 05	L
WEST PHARMACEUTICAL SERVICES INC	955306105	70	12/27/2010	1,477 11	3/17/2014	3,164 35	1,687 24	L
WEST PHARMACEUTICAL SERVICES INC	955306105	14	12/28/2010	292 64	3/17/2014	632 87	340 23	L
WEST PHARMACEUTICAL SERVICES INC	955306105	32	6/1/2012	761 28	3/17/2014	1,446.56	685 28	L
WEST PHARMACEUTICAL SERVICES INC	955306105	336	1/14/2013	9,250 35	3/17/2014	15,188.88	5,938 53	L
WEX INC	96208T104	24	3/9/2011	1,263 57	3/17/2014	2,221 09	957 52	L
WEX INC	96208T104	25	3/10/2011	1,300 11	3/17/2014	2,313 64	1,013 53	L
WEX INC	96208T104	92	3/23/2011	4,594 73	3/17/2014	8,514 19	3,919 46	L
WEX INC	96208T104	34	3/24/2011	1,718 46	3/17/2014	3,146.55	1,428 09	L
WEX INC	96208T104	17	4/20/2011	900 71	3/17/2014	1,573 28	672 57	L
WEX INC	96208T104	35	4/21/2011	1,885 68	3/17/2014	3,239 09	1,353 41	L
WEX INC	96208T104	80	4/25/2011	4,332.46	3/17/2014	7,403 65	3,071 19	L
WEX INC	96208T104	103	8/24/2011	4,093 13	3/17/2014	9,532 19	5,439 06	L
WEX INC	96208T104	128	1/14/2013	9,888.77	3/17/2014	11,845 83	1,957.06	L
WOLVERINE WORLD WIDE INC	978097103	22	9/19/2011	423 00	3/17/2014	604 99	181 99	L
WOLVERINE WORLD WIDE INC	978097103	80	9/20/2011	1,554 70	3/17/2014	2,199 98	645 28	L
WOLVERINE WORLD WIDE INC	978097103	328	12/14/2011	5,589 86	3/17/2014	9,019 90	3,430.04	L
WOLVERINE WORLD WIDE INC	978097103	120	12/15/2011	2,080 73	3/17/2014	3,299 96	1,219 23	L
WOLVERINE WORLD WIDE INC	978097103	118	7/13/2012	2,483 87	3/17/2014	3,244 97	761 10	L
WOLVERINE WORLD WIDE INC	978097103	138	10/9/2012	3,039.59	3/17/2014	3,794 95	755 36	L
WOLVERINE WORLD WIDE INC	978097103	40	10/10/2012	873 82	3/17/2014	1,099 99	226.17	L
WOLVERINE WORLD WIDE INC	978097103	332	1/14/2013	6,639 72	3/17/2014	9,129 90	2,490 18	L
WOLVERINE WORLD WIDE INC	978097103	176	2/19/2013	3,947 60	3/17/2014	4,839 95	892 35	L
XEROX CORP	984121103	172	7/30/2009	1,463 84	1/28/2014	1,858.36	394 52	L
XEROX CORP	984121103	1,036.00	8/4/2009	8,783.07	1/28/2014	11,193 37	2,410 30	L
XEROX CORP	984121103	1,062 00	8/6/2009	8,991 35	1/28/2014	11,474 28	2,482 93	L
XEROX CORP	984121103	850	3/4/2010	8,083 16	1/28/2014	9,183 75	1,100 59	L
XEROX CORP	984121103	990	3/19/2010	9,682 10	1/28/2014	10,696.37	1,014 27	L
XEROX CORP	984121103	530	12/13/2010	6,358.52	1/28/2014	5,726.34	(632 18)	L

NEW BALANCE FOUNDATION

FORM 990-PF

TAX YEAR 12/01/13- 11/30/14

TIN 04-6470644

STATEMENT 4

PART IV, CAPITAL GAINS & LOSSES

CAPITAL GAINS & LOSSES

NBF Realized Gain/(Loss) 12/01/2012 to 11/30/2013								
DESCRIPTION	CUSIP	Quantity	Purchase date	Purchase amount ($)	Sale date	Sale amount ($)	Realized gain/(loss) ($)	Term
[redacted]								

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/13- 11/30/14

TIN: 04-6470644

STATEMENT 5

PART I, LINE 11

Other Income

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
PROCEEDS-CLASS ACTION - MFS MASS INV GROWTH	121	121	NONE
PROCEEDS-CLASS ACTION - ALLIANZ DRESDNER	240	240	NONE
PROCEEDS-CLASS ACTIONS CSK AUTO	18	18	NONE
	379	379	

NEW BALANCE FOUNDATION TIN: 04-6470644
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14 STATEMENT 6

PART I, LINE 18 - TAXES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	CHARITABLE PURPOSES
EXCISE TAX	120,000	
CALIFORNIA FRANCHISE TAX	205	
FOREIGN TAX WITHHELD-CAMECO CORP CANADA	53	
MASS ANNUAL REPORT FEE		500

NEW BALANCE FOUNDATION TIN: 04-6470644
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14 STATEMENT 7

PART I, LINE 23 - OTHER EXPENSES

DESCRIPTION	REVENUE & EXPENSES PER BOOKS	NET INVESTMENT INCOME
UBS FEES	216,054	216,054
Park Street Capital	6,833	6,833
Boston Private Bank	36	36
	222,924	222,924

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14
PART XV - GRANTS AND CONTRIBUTIONS PAID

TIN: 04-6470644
STATEMENT 8

	AMOUNT
Juvenile Diabetes Research Foundation, Int	12,500.00
The Gerald J & Dorothy Freidman School of Nutrition Science & Policy at Tufts	10,750.00
The Barbara Bush Children's Hospital	100.00
West End Boys & Girls Club	100.00
West End Boys & Girls Club	1,300.00
The Barbara Bush Children's Hospital	1,000.00
Playworks Education Energized	1,100.00
Lawrence Boys & Girls Club, Inc	700.00
Special Olympics Massachusetts	35,000.00
Beyond Soccer	25,000.00
Western Maine Health	70,249.00
South Lawrence East Little League	3,000.00
Class, Inc.	25,000.00
Squashbusters	70,000.00
Community Rowing, Inc.	40,000.00
West End Boys & Girls Club	200,000.00
Lawrence Boys & Girls Club, Inc	164,000.00
Alfond Youth Center	75,000.00
Tenacity, Inc	175,000.00
MetroLacrosse, Inc.	175,000.00
Joseph M. Smith Community Health Center	10,000.00
Thompson Island Outward Bound	15,000.00
The Barbara Bush Children's Hospital	75,000.00
East Boston Neighborhood Health Center	100,000.00
Greater Lawrence Family Health Center, Inc	100,000.00
Redington Fairview General Hospital	250,000.00
North Elementary School	71,200.00
Greater Lawrence Community Boating Program, Inc	12,000.00
Family Nurturing Center of Massachusetts	25,000.00
Boys & Girls Club of Dorchester	10,000.00
Cradles to Crayons	20,000.00
Dana Farber Cancer Institute	25,000.00
YMCA of Greater Boston- Oak Square Branch	50,000.00
The Fishing Academy, Inc.	5,000.00
The Hyde Square Task Force, Inc.	15,000.00
Groundwork Lawrence	85,000.00
Kid 2 Kid, Inc	350,000.00
Goodsports, Inc	25,000.00
Youth Enrichment Services	7,500.00
American Red Cross	250,000.00
The Town of Norway	19,429.00
Boston Symphony Orchestra	11,414.00
Berklee College of Music	15,000.00
Haley House	15,000.00
Essex Art Centetr	15,000.00
Good Shepherd Food Bank	25,000.00
Rosie's Place	40,000.00

NEW [redacted]CE FOUNDATION **TIN: 04-6470644**
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14 **STATEMENT 8**

PART XV - GRANTS AND CONTRIBUTIONS PAID

	AMOUNT
Maine General Health	10,000.00
Lazarus House	25,000.00
The Maine Children's Home -Little Wanderer's	30,000.00
American Red Cross of Eastern Mass	20,000.00
Casa Myrna Vasquez	20,000.00
The Upham's Corner Community Center, Inc	10,000.00
Lawrence Community Works, Inc	25,000.00
Family Service, Inc	15,000.00
The Greater Boston Food Bank	25,000.00
Pine Street Inn	10,000.00
St. Francis House	10,000.00
Jobs for Maine Graduates	10,000.00
Camp Harbor View Foundation, Inc	25,000.00
Share Our Strength	25,000.00
Museum of Fine Arts	13,120.00
The Food Project	15,000.00
Children's Hospital Boston	500,000.00
Children's Hospital Boston	800,000.00
New Englan Aquarium	25,000.00
Camp Sunshine at Sebago Lake	50,000.00
Two / Ten International Footwear Foundation	150,000.00
Canaan Elementary School	15,000.00
Emmanuel College	25,000.00
Children's Hospital Boston	300,000.00
Isabella Stewart Gardner Museum	5,000.00
Massachusetts Health Council, Inc.	3,500.00
Merrimack Valley YMCA	25,000.00
Playworks Education Energized	650,000.00
The Gerald J & Dorothy Freidman School of Nutrition Science & Policy at Tufts	850,000.00
Boston Medical Center Corporation	60,000.00
Franciscan Hospital For Children	48,000.00
West End Boys & Girls Club	1,600.00
Playworks Education Energized	2,500.00
The Barbara Bush Children's Hospital	1,000.00
Lawrence Boys & Girls Club, Inc	1,500.00
Joseph M. Smith Community Health Center	25,000.00
Groundwork Lawrence	2,871.00
United Service Organization, Inc.	50,000.00
Boston Children's Hospital	500,000.00
	7,105,433.00

NEW BALANCE FOUNDATION TIN: 04-6470644
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14 STATEMENT 9

PART II, LINE 10b - INVESTMENTS

DESCRIPTION	ENDING BOOK VALUE	ENDING FAIR MARKET VALUE
UBS:		
Foundation Main	24,773,339	25,481,157
PMP Managed	69,699,973	80,078,375
Anchor Mid Cap	2,207,445	3,198,809
Equity Income	13,133,411	16,470,785
	109,814,168	125,229,126

NEW BALANCE FOUNDATION TIN: 04-6470644
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14 STATEMENT 10

PART II, LINE 13 - INVESTMENTS - OTHER

DESCRIPTION	ENDING BOOK VALUE	ENDING FAIR MARKET VALUE
TAPE IV Fund	226,183	222,645
Park Street Capital XI	193,539	204,290
	419,722	426,935

NEW BALANCE FOUNDATION
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14

TIN: 04-6470644

STATEMENT 11

PART VIII, LIST OF DIRECTORS & OFFICERS

NAME & ADDRESS	TITLE	TIME DEVOTED	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCOUNT & OTHER ALLOWANCES
ANNE M. DAVIS 20 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 3.0 hours per week	NONE	NONE	NONE
JAMES S. DAVIS 20 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE
PAUL R. GAURON 20 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE
KASSIA A. DAVIS 20 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE
CHRISTOPHER C. DAVIS 20 GUEST STREET BOSTON, MA 02135	TRUSTEE	PART TIME = 0.25 hours per week	NONE	NONE	NONE

NEW BALANCE FOUNDATION **TIN: 04-6470644**
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14

	Nov-14	Nov-13
Cash- BPB	94,374	37,576
T.A.P.E IV	226,183	314,462
Park Street Capital XI	193,539	-
UBS Cash	4,204,793	3,499,167
UBS Investments	109,814,168	107,586,308
Total Assets	114,533,058	111,437,513

NEW BALANCE FOUNDATION **TIN: 04-6470644**
FORM 990-PF
TAX YEAR 12/01/13 - 11/30/14

		Fiscal Y.T.D. 11/30/14
Interest Income - Boston Private Bank		153
Taxable Dividends - UBS		4,697,239
Foreign Dividends - UBS		3,709
Option Premiums		180,502
Investment Income - TAPE IV		(5,839)
Investment Income - Park Street Capital XI		(12,572)
Realized Short Term Gains		(188,262)
Realized Long Term Gains		2,869,351
Misc Income		379
Boston Private Bank Fees		(36)
Park Street Capital XI Fees		(6,833)
UBS Fees		(216,054)
	Realized Income	7,321,735
Donations		(7,105,433)
	Pre-Tax	216,302
Excise Tax		(120,258)
State Tax		(500)
	Net Income	95,544