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Form **990-PF**

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

OMB No 1545-0052

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

- Do not enter Social Security numbers on this form as it may be made public.
- Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

For calendar year 2013 or tax year beginning 11/1/2013, and ending 10/31/2014

Name of foundation TW LUCY C HARRIS-GFIC			A Employer identification number 58-6163827	
Number and street (or P O box number if mail is not delivered to street address) Room/suite Wells Fargo Bank N A Trust Tax Dept - 1 W 4th St 4th Floor MAC D4000-041			B Telephone number (see instructions) (888) 730-4933	
City or town Winston Salem		State NC	ZIP code 27101-3818	
Foreign country name		Foreign province/state/county		Foreign postal code
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Final return <input type="checkbox"/> Amended return <input type="checkbox"/> Address change <input type="checkbox"/> Name change				
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation				
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 844,664		J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____		
F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>				

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule)				
	2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	18,871	18,871		
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	13,365			
	b Gross sales price for all assets on line 6a 306,841				
	7 Capital gain net income (from Part IV, line 2)		13,365		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less. Cost of goods sold				
	c Gross profit or (loss) (attach schedule)				
	11 Other income (attach schedule)				
	12 Total. Add lines 1 through 11	32,236	32,236	0	
	13 Compensation of officers, directors, trustees, etc.	16,034	12,026		4,008
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees (attach schedule)				
	b Accounting fees (attach schedule)	1,117			1,117
	c Other professional fees (attach schedule)				
	17 Interest				
	18 Taxes (attach schedule) (see instructions)	724	246		
	19 Depreciation (attach schedule) and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses (attach schedule)				
	24 Total operating and administrative expenses. Add lines 13 through 23	17,875	12,272	0	5,125
	25 Contributions, gifts, grants paid	26,800			26,800
	26 Total expenses and disbursements. Add lines 24 and 25	44,675	12,272	0	31,925
	27 Subtract line 26 from line 12				
	a Excess of revenue over expenses and disbursements	-12,439			
	b Net investment income (if negative, enter -0-)		19,964		
	c Adjusted net income (if negative, enter -0-)			0	

For Paperwork Reduction Act Notice, see instructions.

Form **990-PF** (2013)

HTA

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Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only. (See instructions.)		
		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1 Cash—non-interest-bearing			
	2 Savings and temporary cash investments	12,885	15,791	15,791
	3 Accounts receivable			
	Less: allowance for doubtful accounts			
	4 Pledges receivable			
	Less: allowance for doubtful accounts			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7 Other notes and loans receivable (attach schedule)			
	Less: allowance for doubtful accounts			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments—U S. and state government obligations (attach schedule)	50,000	50,000	51,639
	b Investments—corporate stock (attach schedule)			
	c Investments—corporate bonds (attach schedule)			
	11 Investments—land, buildings, and equipment: basis			
Liabilities	Less: accumulated depreciation (attach schedule)			
	12 Investments—mortgage loans			
	13 Investments—other (attach schedule)	706,258	691,048	777,234
	14 Land, buildings, and equipment: basis			
	Less: accumulated depreciation (attach schedule)			
	15 Other assets (describe)			
	16 Total assets (to be completed by all filers—see the instructions. Also, see page 1, item I)	769,143	756,839	844,664
	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable (attach schedule)			
	22 Other liabilities (describe)			
	23 Total liabilities (add lines 17 through 22)	0	0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here and complete lines 24 through 26 and lines 30 and 31. <input type="checkbox"/>			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here and complete lines 27 through 31. <input checked="" type="checkbox"/>			
	27 Capital stock, trust principal, or current funds	769,143	756,839	
	28 Paid-in or capital surplus, or land, bldg, and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
Net Assets or Fund Balances	30 Total net assets or fund balances (see instructions)	769,143	756,839	
	31 Total liabilities and net assets/fund balances (see instructions)	769,143	756,839	

Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	769,143
2 Enter amount from Part I, line 27a	2	-12,439
3 Other increases not included in line 2 (itemize) Mutual Fund Timing Difference	3	136
4 Add lines 1, 2, and 3	4	756,840
5 Decreases not included in line 2 (itemize) Cost Basis Adjustment	5	1
6 Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30	6	756,839

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co.)	(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a See Attached Statement			
b See Attached Statement			
c See Attached Statement			
d See Attached Statement			
e See Attached Statement			

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a			0
b			0
c			0
d			0
e			0

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) FMV as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a			0
b			0
c			0
d			0
e			0

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	13,365
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6). If gain, also enter in Part I, line 8, column (c) (see instructions). If (loss), enter -0- in Part I, line 8	3	0

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No
 If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	31,551	798,231	0.039526
2011	39,936	768,375	0.051975
2010	36,411	795,230	0.045787
2009	35,739	732,196	0.048811
2008	31,393	627,792	0.050005

2 Total of line 1, column (d)	2	0.236104
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	0.047221
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	832,105
5 Multiply line 4 by line 3	5	39,293
6 Enter 1% of net investment income (1% of Part I, line 27b)	6	200
7 Add lines 5 and 6	7	39,493
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.	8	31,925

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1 Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input type="checkbox"/> and enter 1% of Part I, line 27b	1		399
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)			
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	2		0
3	Add lines 1 and 2	3		399
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only Others enter -0-)	4		
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	5		399
6	Credits/Payments			
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a		535
b	Exempt foreign organizations—tax withheld at source	6b		
c	Tax paid with application for extension of time to file (Form 8868)	6c		
d	Backup withholding erroneously withheld	6d		
7	Total credits and payments Add lines 6a through 6d	7		535
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		0
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10		136
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax 136 Refunded	11		0

Part VII-A Statements Regarding Activities

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ▶ \$ _____ (2) On foundation managers ▶ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities</i>		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?	N/A	
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T</i>		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ GA		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by <i>General Instruction G</i> ? <i>If "No," attach explanation</i>	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>		X
10 Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>		X

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► WELLS FARGO BANK N A Telephone no ► 888-730-4933 Located at ► 1 W 4th St 4th Floor MAC D4000-041 Winston Salem NC ZIP+4 ► 27101-3818			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041—Check here and enter the amount of tax-exempt interest received or accrued during the year ► 15 N/A			
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly)		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)–(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here <input type="checkbox"/>	1b	X
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ► 20 , 20 , 20 , 20		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement—see instructions)	2b	N/A
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► 20 , 20 , 20 , 20		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	N/A
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

- 5a** During the year did the foundation pay or incur any amount to:
- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No
- b** If any answer is "Yes" to 5a(1)–(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ No
- Organizations relying on a current notice regarding disaster assistance check here ☐
- c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No
- If "Yes," attach the statement required by Regulations section 53.4945–5(d)
- 6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No
- b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No
- If "Yes" to 6b, file Form 8870
- 7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No
- b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).**

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
Wells Fargo Bank N.A. Trust Tax Dept 1 W 4th St 4th Floor MAC D4000-041 Winston Salem, NC	Trustee	4.00	12,694	
Mr. Lon Rice PO BOX 727 WINDER, GA 30680	Co-Trustee	1.00	3,340	

2 Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over \$50,000 for professional services		

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 NONE	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	
Total. Add lines 1 through 3	0

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	835,352
b	Average of monthly cash balances	1b	9,425
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	844,777
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	844,777
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	12,672
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	5	832,105
6	Minimum investment return. Enter 5% of line 5	6	41,605

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	41,605
2a	Tax on investment income for 2013 from Part VI, line 5	2a	399
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	399
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	41,206
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	41,206
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	41,206

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc.—total from Part I, column (d), line 26	1a	31,925
b	Program-related investments—total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	31,925
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	31,925

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				41,206
2 Undistributed income, if any, as of the end of 2013:				
a Enter amount for 2012 only			7,702	
b Total for prior years. 20____, 20____, 20____				
3 Excess distributions carryover, if any, to 2013.				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0			
4 Qualifying distributions for 2013 from Part XII, line 4. ► \$ <u>31,925</u>				
a Applied to 2012, but not more than line 2a			7,702	
b Applied to undistributed income of prior years (Election required—see instructions)				
c Treated as distributions out of corpus (Election required—see instructions)				
d Applied to 2013 distributable amount				24,223
e Remaining amount distributed out of corpus				
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0			
b Prior years' undistributed income. Subtract line 4b from line 2b		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b. Taxable amount—see instructions				
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount—see instructions			0	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				16,983
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a				
10 Analysis of line 9				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)**N/A**

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years			(e) Total
(a) 2013	(b) 2012	(c) 2011	(d) 2010	
				0
b 85% of line 2a				0
c Qualifying distributions from Part XII, line 4 for each year listed				0
d Amounts included in line 2c not used directly for active conduct of exempt activities				0
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c				0
3 Complete 3a, b, or c for the alternative test relied upon:				
a "Assets" alternative test—enter				
(1) Value of all assets				0
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)				0
b "Endowment" alternative test—enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed				0
c "Support" alternative test—enter				
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)				0
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)				0
(3) Largest amount of support from an exempt organization				0
(4) Gross investment income				0

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year—see instructions.)**1 Information Regarding Foundation Managers:**

- a** List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

- b** List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

- a** The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:



- b** The form in which applications should be submitted and information and materials they should include

- c** Any submission deadlines.

- d** Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Attached Statement				
Total			3a	26,800
b Approved for future payment NONE				
Total			3b	0

Sign Here	Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge					May the IRS discuss this return with the preparer shown below (see instructions)? <input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	
	 SVP Wells Fargo Bank N A Signature of officer or trustee		1/21/2015 Date		SVP Wells Fargo Bank N A Title		
Paid Preparer Use Only	Print/Type preparer's name JOSEPH J CASTRIANO		Preparer's signature 		Date 1/21/2015	Check <input checked="" type="checkbox"/> if self-employed	PTIN P01251603
	Firm's name ▶ PricewaterhouseCoopers, LLP					Firm's EIN ▶ 13-4008324	
	Firm's address ▶ 600 GRANT STREET, PITTSBURGH, PA 15219-2777					Phone no 412-355-6000	

Continuation of Part XV, Line 3a (990-PF) - Grants and Contributions Paid During the Year

Recipient(s) paid during the year

Name

GEORGIA INDEPENDENT COLLEGES ASSOCIATION

Street

ONE GEORGIA CENTER STE 1510 600 WEST PEACHTREE STREET NW

City

ATLANTA

State

GA

Zip Code

30308

Foreign Country

Relationship

NONE

Foundation Status

PC

Purpose of grant/contribution

GENERAL SUPPORT GRANT

Amount

26,800

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Name

Street

City

State

Zip Code

Foreign Country

Relationship

Foundation Status

Purpose of grant/contribution

Amount

Part I, Line 6 (990-PF) - Gain/Loss from Sale of Assets Other Than Inventory

Long Term CG Distributions										Amount				
Short Term CG Distributions										9,342				
Check "X" to include in Part IV	Description	CUSIP #	Purchaser	Check "X" if Purchaser is a Business	Date Acquired	Acquisition Method	Date Sold	Totals						
								Capital Gains/Losses		Other sales				
								Gross Sales Price	Cost or Other Basis	Valuation Method	Expense of Sale and Cost of Improvements	Depreciation	Adjustments	Net Gain or Loss
1	X DIAMOND HILL LONG-SHORT	25284S833			12/20/2012		1/31/2014	15,590	13,124					2,466
2	X FID ADV EMER MKTS INC-CL	315920702			12/20/2012		1/31/2014	31,527	36,633					0
3	X GATEWAY FUND - Y #1986	367629884			3/17/2011		1/31/2014	9,242	8,500					742
4	X GATEWAY FUND - Y #1986	367629884			9/6/2011		1/31/2014	7,875	7,000					875
5	X GATEWAY FUND - Y #1986	367629884			8/23/2012		1/31/2014	6,605	6,346					259
6	X HARBOR INTERNATIONAL FD IN	411511306			12/20/2012		1/31/2014	10,081	9,300					781
7	X HARBOR INTERNATIONAL FD IN	411511306			8/7/2012		1/31/2014	4,919	4,210					709
8	X OPPENHEIMER COM STR TR	68380Y409			3/27/2008		1/31/2014	2,455	7,012					-4,557
9	X OPPENHEIMER COM STR TR	68380Y409			8/26/2009		1/31/2014	3,239	3,500					-261
10	X OPPENHEIMER COM STR TR	68380Y409			3/9/2009		1/31/2014	12,511	11,000					1,511
11	X OPPENHEIMER COM STR TR	68380Y409			9/6/2011		1/31/2014	1,218	1,500					-282
12	X PIMCO LOW DURATION FDI #	683390304			1/31/2014		10/2/2014	10,000	10,039					-39
13	X PIMCO TOTAL RET FDI-INST #	683390700			9/17/2009		1/31/2014	13,923	14,000					-77
14	X PIMCO TOTAL RET FDI-INST #	683390700			7/30/2009		1/31/2014	4,081	4,000					81
15	X PIMCO TOTAL RET FDI-INST #	683390700			5/9/2008		1/31/2014	16,719	16,804					-185
16	X PIMCO FOREIGN BOND (UNH)	722005220			12/20/2012		1/31/2014	20,766	23,000					-2,234
17	X PIMCO FOREIGN BOND (UNH)	722005220			7/2/2013		1/31/2014	3,755	3,650					105
18	X PIONEER HIGH YIELD FUND-Y	723898408			3/27/2008		6/3/2014	32,804	29,822					3,082
19	X PIONEER HIGH YIELD FUND-Y	723898408			8/7/2012		6/3/2014	8,507	7,851					656
20	X PRINCIPAL PREFERRED SEC	742530416			7/2/2013		1/31/2014	12,000	12,470					-470
21	X T ROWE PRICE BLUE CHIP G	77954Q106			1/31/2014		10/2/2014	15,860	15,000					860
22	X T ROWE PRICE BLUE CHIP G	77954Q106			7/2/2013		10/2/2014	1,840	1,267					573
23	X TOUCHSTONE SMALL CAP G	88155H256			8/7/2012		10/2/2014	11,000	8,348					2,652
24	X AQR MANAGED FUTURES ST	00203H859			7/10/2013		1/31/2014	31,748	31,500					248
25	X DIAMOND HILL LONG-SHORT	25284S833			8/23/2012		1/31/2014	9,324	7,500					1,824

Part I, Line 16b (990-PF) - Accounting Fees

		1,117	0	0	1,117
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes (Cash Basis Only)
1	TAX PREP FEES	1,117			1,117

Part I, Line 18 (990-PF) - Taxes

		724	246	0	0
Description		Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
1	Foreign Tax Withheld	246	246		
2	Estimated Excise Payments	478			

Part II, Line 10a (990-PF) - Investments - U.S. and State Government Obligations

	Federal	State/Local							
	50,000	0	50,000	0	50,000	0	50,000	0	51,639
Description	Nun. Shares/ Face Value	Book Value Beg. of Year	Book Value End of Year	FMV Beg. of Year	FMV End of Year	FMV	End of Year	State/Local Obligation	
1			50,000		51,639				

Part II, Line 13 (990-PF) - Investments - Other

		706,258		691,048		777,234
		Book Value	Book Value	Book Value	FMV	
		Beg. of Year	End of Year	End of Year	End of Year	
1	Asset Description			691,048	777,234	

Part IV (990-PF) - Capital Gains and Losses for Tax on Investment Income

Long Term CG Distributions		Amount		Short Term CG Distributions															
		9,342	0	How Acquired	Date Acquired	Date Sold	Gross Sales Price	297,499	0	Adjustments	Cost or Other Basis Plus Expense of Sale	293,476	4,023	F M V as of 12/31/89	Adjusted Basis as of 12/31/89	Excess of FMV Over Adj. Basis	0	Gains Minus Excess of FMV Over Adjusted Basis or Losses	4,023
1	DIAMOND HILL LONG-SHORT FD CL # 25284S8333				12/20/2012	1/31/2014	15,590				13,124		2,466	0	0	0	0	2,466	
2	FID ADV EMER MKTS INC. CL #807				12/20/2012	1/31/2014	31,527				38,633		-5,106	0	0	0	0	-5,106	
3	GATEWAY FUND - Y #1886				9/6/2011	1/31/2014	9,242				742		742	0	0	0	0	742	
4	GATEWAY FUND - Y #1886				9/6/2011	1/31/2014	7,675				7,000		675	0	0	0	0	675	
5	GATEWAY FUND - Y #1886				8/23/2012	1/31/2014	6,605				6,348		258	0	0	0	0	258	
6	HARBOR INTERNATIONAL FUND INST #2011				12/20/2012	1/31/2014	10,081				9,300		781	0	0	0	0	781	
7	HARBOR INTERNATIONAL FUND INST #2011				9/7/2012	1/31/2014	4,919				4,210		709	0	0	0	0	709	
8	OPENHEIMER COM STR TR FD Y #738				3/27/2009	1/31/2014	2,455				7,012		-4,557	0	0	0	0	-4,557	
9	OPENHEIMER COM STR TR FD Y #738				8/28/2009	1/31/2014	3,238				3,500		-261	0	0	0	0	-261	
10	OPENHEIMER COM STR TR FD Y #738				3/6/2009	1/31/2014	12,511				11,000		1,511	0	0	0	0	1,511	
11	OPENHEIMER COM STR TR FD Y #738				9/6/2011	1/31/2014	1,218				1,500		-282	0	0	0	0	-282	
12	PIMCO LOW DURATION FDI #56				9/17/2009	1/31/2014	10,000				10,038		-38	0	0	0	0	-38	
13	PIMCO TOTAL RET FDI-INST #35				9/17/2009	1/31/2014	13,923				14,000		-77	0	0	0	0	-77	
14	PIMCO TOTAL RET FDI-INST #35				7/30/2009	1/31/2014	4,091				4,000		91	0	0	0	0	91	
15	PIMCO TOTAL RET FDI-INST #35				5/6/2009	1/31/2014	18,719				18,904		-185	0	0	0	0	-185	
16	PIMCO FOREIGN BOND (UNHEDGED) #1				12/20/2012	1/31/2014	20,786				23,000		-2,214	0	0	0	0	-2,214	
17	PIMCO FOREIGN BOND (UNHEDGED) #1				7/22/2013	1/31/2014	3,755				3,850		95	0	0	0	0	95	
18	PIONEER HIGH YIELD FUND-Y #724				3/27/2009	8/3/2014	32,904				29,822		3,082	0	0	0	0	3,082	
19	PIONEER HIGH YIELD FUND-Y #724				8/7/2012	8/3/2014	8,507				7,851		656	0	0	0	0	656	
20	PRINCIPAL PREFERRED SEC-INS #4923				7/2/2013	1/31/2014	12,000				12,470		-470	0	0	0	0	-470	
21	T ROWE PRICE BLUE CHIP GROWTH #7854QT06				1/31/2014	1/31/2014	15,860				15,000		860	0	0	0	0	860	
22	T ROWE PRICE BLUE CHIP GROWTH #7854QT06				7/2/2013	1/31/2014	1,640				1,287		353	0	0	0	0	353	
23	TOUCHSTONE SMALL CAP CORE-IN #58175H256				8/7/2012	1/31/2014	11,000				8,348			0	0	0	0		
24	AGR MANAGED FUTURES STRI #151				7/1/2013	1/31/2014	31,748				248		2,652	0	0	0	0	2,652	
25	DIAMOND HILL LONG-SHORT FD CL # 25284S8333				8/23/2012	1/31/2014	9,324				7,500		1,824	0	0	0	0	1,824	

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers

Part VIII, Line 1 (990-PF) - Compensation of Officers, Directors, Trustees and Foundation Managers														16,034	0	0
	Name	Check "X" if Business	Street	City	State	Zip Code	Foreign Country	Title	Avg Hrs Per Week	Compensation	Benefits	Expense Account				
	Wells Fargo Bank N A Trust Tax D	X	1 W 4th St 4th Floor MAC D4000-041	Winston Salem	NC	27101-3818		Trustee	4 00	12,694						
1	Mr Lon Rice	X	PO BOX 727	WINDER	GA	30680		Co-Trustee	1 00	3,340						
2																

Part VI, Line 6a (990-PF) - Estimated Tax Payments

	Date		Amount
1 Credit from prior year return		1	57
2 First quarter estimated tax payment	3/12/2014	2	77
3 Second quarter estimated tax payment	4/10/2014	3	134
4 Third quarter estimated tax payment	7/9/2014	4	134
5 Fourth quarter estimated tax payment	10/9/2014	5	133
6 Other payments		6	
7 Total		7	535

Part XIII, Line 2a, Column C (990-PF) - Prior Year Undistributed Income

1	Distributable amounts for 2012 that remained undistributed at the beginning of the 2013 tax year	1	<u>7,702</u>
2		2	
3		3	
4		4	
5		5	
6		6	
7		7	
8		8	
9		9	
10	Total	10	<u>7,702</u>

Account: TW LUCY C HARRIS GFIC
 EIN: 58-616382

<u>Security Type</u>	<u>CUSIP</u>	<u>Asset Name</u>	<u>Units</u>	<u>FMV</u>	<u>Fed Cost</u>
Savings & Temp Inv	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	14,394.13	14,394.13	14,394.13
Savings & Temp Inv	99999Y944	SECURED MARKET DEPOSIT ACCOUNT	1,396.63	1,396.63	1,396.63
Total - Savings & Temp			15,790.76	15,790.76	15,790.76
Invest - US & State	GTY995004	ASGI AGILITY INCOME FUND	47.70	51,639.07	50,000.00
Total - US & State			47.70	51,639.07	50,000.00
Invest - Other	04314H204	ARTISAN INTERNATIONAL FUND #661	1,295.78	39,249.30	37,500.00
Invest - Other	411511306	HARBOR INTERNATIONAL FD INST #2011	792.35	53,951.04	45,789.83
Invest - Other	52106N889	LAZARD EMERGING MKTS PTFI #638	2,182.35	42,577.55	33,918.88
Invest - Other	693390304	PIMCO LOW DURATION FD I #36	4,822.92	49,820.76	49,847.75
Invest - Other	693390882	PIMCO FOREIGN BD FD USD H-INST #103	2,661.06	29,697.41	28,500.00
Invest - Other	77954Q106	T ROWE PRICE BLUE CHIP GRWTH #93	1,180.33	82,505.35	54,396.63
Invest - Other	92914A810	VOYA INTL RL EST FD CL-I #2664	3,088.74	29,435.73	28,695.38
Invest - Other	92913K595	VOYA REAL ESTATE FUND CLASS I #2190	1,859.53	39,459.27	27,834.24
Invest - Other	693390593	PIMCO MODERATE DURATION-INST #120	3,154.89	33,757.31	33,769.82
Invest - Other	262028855	DRIEHAUS ACTIVE INCOME FUND	2,287.33	24,108.48	24,400.00
Invest - Other	63872T885	ASG GLOBAL ALTERNATIVES-Y #1993	2,350.81	26,305.61	26,000.00
Invest - Other	902641646	E-TRACS ALERIAN MLP INFRASTRUCTURE	503.00	21,669.24	17,721.34
Invest - Other	89155H256	TOUCHSTONE SMALL CAP CORE-IN #555	1,586.30	32,773.00	24,619.41
Invest - Other	88019R690	TEMPLETON EMER MKT S/C-ADV #626	719.73	9,277.33	8,025.00
Invest - Other	90470K826	CRAWFORD DIVIDEND GRWTH-I	5,485.63	70,435.46	66,500.00
Invest - Other	4812C0803	JPMORGAN HIGH YIELD FUND SS #3580	4,176.90	33,331.69	34,000.00
Invest - Other	044820504	ASHMORE EMERG MKTS CR DB-INS	3,500.02	32,550.19	33,000.00
Invest - Other	746763226	PUTNAM FLOATING RATE INC FUND #1857	2,660.02	23,567.79	24,000.00
Invest - Other	74253Q416	PRINCIPAL PREFERRED SEC-INS #4929	2,680.67	27,959.42	27,530.12
Invest - Other	74925K581	ROBECO BP LNG/SHRT RES-INS	1,812.69	27,371.60	24,000.00
Invest - Other	89155H793	TOUCHSTONE MID CAP FD Y #354	1,948.67	47,430.60	41,000.00
Total - Invest Other			50,749.74	777,234.13	691,048.40