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Form 990

Return of Organization Exempt From Income Tax

OMB No. 1545-0047

Department of the Treasury
Internal Revenue Service

2013

Open to Public
Inspection

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except private foundations)

► Do not enter Social Security numbers on this form as it may be made public.

► Information about Form 990 and its instructions is at www.irs.gov/form990.

A For the 2013 calendar year, or tax year beginning NOV 1, 2013 and ending OCT 31, 2014

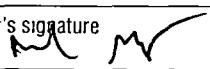
B Check if applicable	C Name of organization NEW YORK COUNCIL FOR THE HUMANITIES		D Employer identification number 51-0152266	
<input type="checkbox"/> Address change <input type="checkbox"/> Name change <input type="checkbox"/> Initial return <input type="checkbox"/> Terminated <input type="checkbox"/> Amended return <input type="checkbox"/> Application pending	Doing Business As 150 BROADWAY, SUITE 1700		Room/suite	E Telephone number 212-233-1131
Number and street (or P.O. box if mail is not delivered to street address)		City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10038	G Gross receipts \$ 1,831,013.	
F Name and address of principal officer. SARA OGGER SAME AS C ABOVE		H(a) Is this a group return for subordinates? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
I Tax-exempt status: <input checked="" type="checkbox"/> 501(c)(3) <input type="checkbox"/> 501(c)() (insert no.) <input type="checkbox"/> 4947(a)(1) or <input type="checkbox"/> 527		H(b) Are all subordinates included? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "No," attach a list (see instructions)		
J Website: ► WWW.NYHUMANITIES.ORG		H(c) Group exemption number ►		
K Form of organization: <input checked="" type="checkbox"/> Corporation <input type="checkbox"/> Trust <input type="checkbox"/> Association <input type="checkbox"/> Other ►		L Year of formation: 1975 M State of legal domicile: NY		

Part I Summary

Revenue Activities & Governance	1 Briefly describe the organization's mission or most significant activities: THE NEW YORK COUNCIL FOR THE HUMANITIES PROVIDES LEADERSHIP AND SUPPORT ACROSS THE STATE'S		
	2 Check this box ► <input type="checkbox"/> if the organization discontinued its operations or disposed of more than 25% of its net assets.	3	17
	3 Number of voting members of the governing body (Part VI, line 1a)	4	17
	4 Number of independent voting members of the governing body (Part VI, line 1b)	5	11
	5 Total number of individuals employed in calendar year 2013 (Part V, line 2a)	6	17
	6 Total number of volunteers (estimate if necessary)	7a	0.
	7 a Total unrelated business revenue from Part VIII, column (C), line 12	7b	0.
	b Net unrelated business taxable income from Form 990-T, line 34		
	8 Contributions and grants (Part VIII, line 1h)	Prior Year	Current Year
	9 Program service revenue (Part VIII, line 2g)	2,178,868.	1,677,595.
	10 Investment income (Part VIII, column (A), lines 3, 4, and 7d)	52,092.	49,362.
	11 Other revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e)	27,054.	36,824.
12 Total revenue - add lines 8 through 11 (must equal Part VIII, column (A), line 12)	8,251.	13,373.	
	2,266,265.	1,777,154.	
13 Grants and similar amounts paid (Part IX, column (A), lines 1-3)	483,268.	270,247.	
14 Benefits paid to or for members (Part IX, column (A), line 4)	0.	0.	
15 Salaries, other compensation, employee benefits (Part IX, column (A), lines 5-10)	716,121.	701,307.	
16a Professional fundraising fees (Part IX, column (A), line 11e)	0.	0.	
b Total fundraising expenses (Part IX, column (D), line 25) ► 115,087.			
17 Other expenses (Part IX, column (A), lines 11a-11d, 11f-24e)	692,167.	843,235.	
18 Total expenses. Add lines 13-17 (must equal Part IX, column (A), line 25)	1,891,556.	1,814,789.	
19 Revenue less expenses Subtract line 18 from line 12	374,709.	<37,635.>	
Expenses	Beginning of Current Year	End of Year	
	20 Total assets (Part X, line 16)	1,172,799.	1,069,844.
	21 Total liabilities (Part X, line 26)	186,411.	121,318.
	22 Net assets or fund balances Subtract line 21 from line 20	986,388.	948,526.

Part II Signature Block

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than officer) is based on all information of which preparer has any knowledge.

Sign Here	Signature of officer SARA OGGER, EXECUTIVE DIRECTOR	Date 7-1-15			
	Type or print name and title				
Paid Preparer Use Only	Print/Type preparer's name DONALD SHAEFITZ	Preparer's signature 	Date 9-1-15	Check <input type="checkbox"/> if self employed	PTIN P00449034
	Firm's name ► LUTZ AND CARR, CPAS LLP	Firm's EIN ► 13-1655065			
	Firm's address ► 300 EAST 42ND STREET NEW YORK, NY 10017	Phone no. 212-697-2299			

May the IRS discuss this return with the preparer shown above? (see instructions)

 Yes No

332001 10-29-13 LHA For Paperwork Reduction Act Notice, see the separate instructions.

Form 990 (2013)

SEE SCHEDULE O FOR ORGANIZATION MISSION STATEMENT CONTINUATION

10/6/17

Part III Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III 1 Briefly describe the organization's mission:

THE NEW YORK COUNCIL FOR THE HUMANITIES PROVIDES LEADERSHIP AND SUPPORT ACROSS THE STATE'S INTELLECTUAL AND CULTURAL SECTORS THROUGH GRANTS, PROGRAMS, NETWORKING AND ADVOCACY IN ORDER TO ENCOURAGE CRITICAL THINKING AND CULTURAL UNDERSTANDING IN THE PUBLIC ARENA.

2 Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ? Yes No

If "Yes," describe these new services on Schedule O.

3 Did the organization cease conducting, or make significant changes in how it conducts, any program services? Yes No

If "Yes," describe these changes on Schedule O.

4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by expenses. Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported.

4a (Code _____) (Expenses \$ 449,286. including grants of \$ 270,247.) (Revenue \$ _____)
GRANTS PROGRAM:

THE GRANTS PROGRAM IS A STATEWIDE RESOURCE FOR SUPPORT OF A WIDE VARIETY OF LECTURES, FESTIVALS, PANEL DISCUSSIONS, WALKING TOURS, AND THE PLANNING AND IMPLEMENTATION OF EXHIBITIONS AND OTHER VENTURES AT SMALL AND LARGE NOT-FOR-PROFIT INSTITUTIONS THROUGHOUT NEW YORK STATE. DURING FY 14, THE COUNCIL AWARDED 106 GRANTS, TOTALING \$270,247 IN SUPPORT.

BASED ON ATTENDANCE AT THE PUBLIC EVENTS, THESE GRANTS HELPED REACH OVER 215,000 NEW YORKERS IN PERSON, AND OVER 102,600 NEW YORKERS THROUGH RADIO, TELEVISION AND ONLINE PROGRAMS. THE COUNCIL ALSO

4b (Code _____) (Expenses \$ 198,097. including grants of \$ _____) (Revenue \$ _____)
MUSLIM VOICES:

BASED ON THE MODEL OF OUR TOGETHER PROGRAM, WHICH WAS CREATED BY THE COUNCIL TO ADDRESS A LACK OF READING AND DISCUSSION CURRICULUM FOR CHILDREN, MUSLIM VOICES HAS BEEN DESIGNED FOR TWO AUDIENCES: CHILDREN AGED 8-12 AND TEENS. A POPULAR METAPHOR IN EDUCATION PRESENTS LITERATURE AS EITHER A MIRROR OR A WINDOW. AS A WINDOW, LITERATURE ALLOWS THE READER TO CATCH A GLIMPSE INTO ANOTHER CULTURE OR LIFE. READING THIS WAY INTRODUCES NEW HISTORIC, LINGUISTIC AND GEOGRAPHICAL PERSPECTIVES, AND INCREASES THE READER'S SYMPATHY FOR PEOPLE DIFFERENT FROM HIM/HERSELF. WHEN A BOOK ACTS AS A MIRROR, THE READER IS ALSO ABLE TO DISCOVER SIMILARITIES BETWEEN HIM/HERSELF AND THE CHARACTERS

4c (Code _____) (Expenses \$ 171,243. including grants of \$ _____) (Revenue \$ 9,355.)
SPEAKERS IN THE HUMANITIES:

LAUNCHED IN 1983, THE COUNCIL'S PUBLIC LECTURE BUREAU, SPEAKERS IN THE HUMANITIES, FEATURES OVER 150 LECTURES OFFERED BY HUMANITIES SCHOLARS TO PUBLIC AUDIENCES ACROSS NEW YORK STATE. ELIGIBLE TAX EXEMPT AND NON-PROFIT ORGANIZATIONS CAN SUBMIT A SHORT APPLICATION TO HOST A SPEAKER. LECTURE TOPICS RANGE FROM FOOD AND AMERICAN IDENTITY, TO PERSIAN LITERATURE, TO IMAGES OF THE AFRICAN DIASPORA IN NEW YORK'S COMMUNITY MURALS. THE COUNCIL COVERS HONORARIUM AND TRAVEL FOR THE SPEAKER, TO ENCOURAGE ACCESSIBILITY THROUGHOUT THE STATE. SPEAKERS IN THE HUMANITIES IS USED BY MANY CULTURAL ORGANIZATIONS INCLUDING COMMUNITY CENTERS, HISTORICAL SOCIETIES, AND RELIGIOUS ORGANIZATIONS.

4d Other program services (Describe in Schedule O.)(Expenses \$ 625,695. including grants of \$ _____) (Revenue \$ 53,380.)4e Total program service expenses ► 1,444,321.

Part IV Checklist of Required Schedules

		Yes	No
1	Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A	X	
2	Is the organization required to complete Schedule B, Schedule of Contributors?	X	
3	Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	X	
4	Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	X	
5	Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	X	
6	Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I	X	
7	Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II	X	
8	Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III	X	
9	Did the organization report an amount in Part X, line 21, for escrow or custodial account liability; serve as a custodian for amounts not listed in Part X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV	X	
10	Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V	X	
11	If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable.		
a	Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI	X	
b	Did the organization report an amount for investments - other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII	X	
c	Did the organization report an amount for investments - program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII	X	
d	Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX	X	
e	Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X	X	
f	Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X		
12a	Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII	X	
b	Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional	X	
13	Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	X	
14a	Did the organization maintain an office, employees, or agents outside of the United States?	X	
b	Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	X	
15	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	X	
16	Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	X	
17	Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I	X	
18	Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II	X	
19	Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III	X	
20a	Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	X	
b	If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	X	

Part IV Checklist of Required Schedules (continued)

		Yes	No
21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II	21	X
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III	22	X
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? If "Yes," complete Schedule J	23	X
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b through 24d and complete Schedule K. If "No", go to line 25a	24a	X
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b	
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c	
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d	
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? If "Yes," complete Schedule L, Part I	25a	X
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? If "Yes," complete Schedule L, Part I	25b	X
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? If so, complete Schedule L, Part II	26	X
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? If "Yes," complete Schedule L, Part III	27	X
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions):		
a	A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28a	X
b	A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV	28b	X
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV	28c	X
29	Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M	29	X
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? If "Yes," complete Schedule M	30	X
31	Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, Part I	31	X
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? If "Yes," complete Schedule N, Part II	32	X
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I	33	X
34	Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1	34	X
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a	X
b	If "Yes" to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2	35b	
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? If "Yes," complete Schedule R, Part V, line 2	36	X
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, Part VI	37	X
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19?	38	

Note. All Form 990 filers are required to complete Schedule O

Part V Statements Regarding Other IRS Filings and Tax ComplianceCheck if Schedule O contains a response or note to any line in this Part V

		Yes	No
1a	Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable	1a	118
1b	Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable	1b	0
1c	Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?	1c	X
2a	Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return	2a	11
b	If at least one is reported on line 2a, did the organization file all required federal employment tax returns? <i>Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions)</i>	2b	X
3a	Did the organization have unrelated business gross income of \$1,000 or more during the year?	3a	
b	If "Yes," has it filed a Form 990-T for this year? <i>If "No," to line 3b, provide an explanation in Schedule O</i>	3b	
4a	At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?	4a	X
b	If "Yes," enter the name of the foreign country: ► <i>See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.</i>	5a	
5a	Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?	5a	X
b	Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?	5b	X
c	If "Yes," to line 5a or 5b, did the organization file Form 8886-T?	5c	
6a	Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?	6a	X
b	If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?	6b	
7	Organizations that may receive deductible contributions under section 170(c).	7a	X
a	Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?	7b	
b	If "Yes," did the organization notify the donor of the value of the goods or services provided?	7c	X
c	Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?	7e	X
d	If "Yes," indicate the number of Forms 8282 filed during the year	7d	
e	Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?	7f	X
f	Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?	7g	
g	If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?	7h	
h	If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?	8	
8	Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?	9a	
9	Sponsoring organizations maintaining donor advised funds.	9b	
a	Did the organization make any taxable distributions under section 4966?	10a	
b	Did the organization make a distribution to a donor, donor advisor, or related person?	10b	
10	Section 501(c)(7) organizations. Enter:	11a	
a	Initiation fees and capital contributions included on Part VIII, line 12	11b	
b	Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities	12a	
11	Section 501(c)(12) organizations. Enter:	12b	
a	Gross income from members or shareholders	13a	
b	Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them.)	13b	
12a	Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?	13c	
b	If "Yes," enter the amount of tax-exempt interest received or accrued during the year	14a	X
13	Section 501(c)(29) qualified nonprofit health insurance issuers.	14b	
a	Is the organization licensed to issue qualified health plans in more than one state? <i>Note. See the instructions for additional information the organization must report on Schedule O.</i>	14a	X
b	Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans	14b	
c	Enter the amount of reserves on hand	14b	
14a	Did the organization receive any payments for indoor tanning services during the tax year?	14b	
b	If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O</i>	14b	

Part VI Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI X

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O.	17	
1b	Enter the number of voting members included in line 1a, above, who are independent	17	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?		X
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors, or trustees, or key employees to a management company or other person?		X
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?		X
5	Did the organization become aware during the year of a significant diversion of the organization's assets?		X
6	Did the organization have members or stockholders?		X
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?		X
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?		
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following:		
a	The governing body?		
b	Each committee with authority to act on behalf of the governing body?		
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O		X

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code)

	Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990.	
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	
13	Did the organization have a written whistleblower policy?	
14	Did the organization have a written document retention and destruction policy?	
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?	
a	The organization's CEO, Executive Director, or top management official	
b	Other officers or key employees of the organization	
	If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions).	
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	
16b		X

Section C. Disclosure

17	List the states with which a copy of this Form 990 is required to be filed ► NY
18	Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section 501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply.
	<input type="checkbox"/> Own website <input type="checkbox"/> Another's website <input checked="" type="checkbox"/> Upon request <input type="checkbox"/> Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization: ► SARA OCKER - 212-233-1131
	150 BROADWAY SUITE 1700, NEW YORK, NY 10038

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors
 Check if Schedule O contains a response or note to any line in this Part VII
Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year.

- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation.

Enter -0- in columns (D), (E), and (F) if no compensation was paid.

- List all of the organization's **current** key employees, if any. See instructions for definition of "key employee."

- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.

- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.

- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations.

List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

 Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee.

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)					(D) Reportable compensation from the organization (W-2/1099-MISC)	(E) Reportable compensation from related organizations (W-2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional trustee	Officer	Key employee	Highest compensated employee			
(1) ELBRUN KIMMELMAN <u>CHAIR</u>	1.00	X	X				0.	0.	0.
(2) ADAM W. PERRY <u>VICE CHAIR</u>	1.00	X	X				0.	0.	0.
(3) EDMUND SUTTON <u>TREASURER</u>	1.00	X	X				0.	0.	0.
(4) LAURA ZAEPFEL <u>SECRETARY</u>	1.00	X	X				0.	0.	0.
(5) JEAN ASHTON <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(6) JOANNA BATEMAN <u>DIRECTOR</u>	1.00	X					0.	0.	0.
(7) RICHARD BERNSTEIN <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(8) RALPH J. BLASTING <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(9) JONATHAN CULLER <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(10) ANNIE FIORILLA <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(11) MICHAEL FRISCH <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(12) CHISTINE LANG <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(13) KATHLEEN NEVILE <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(14) HUGO PEREZ <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(15) JOAN SHELLEY RUBIN <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(16) JOANNA ROSE <u>BOARD MEMBER</u>	1.00	X					0.	0.	0.
(17) SHAWKAT M. TOORAWA <u>DIRECTOR</u>	1.00	X					0.	0.	0.

Part VII **Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees (continued)**

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization ►

1

		Yes	No
3	Did the organization list any former officer, director, or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	X
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	X
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	X

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ► 0

1

Part VIII Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

		(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1 a Federated campaigns	1a			
	b Membership dues	1b			
	c Fundraising events	1c			
	d Related organizations	1d			
	e Government grants (contributions)	1e	1,491,955.		
	f All other contributions, gifts, grants, and similar amounts not included above	1f	185,640.		
	g Noncash contributions included in lines 1a-1f \$				
	h Total. Add lines 1a-1f ►		1,677,595.		
Program Service Revenue	2 a OTHER PROGRAM SERVICE	Business Code			
	b SPEAKER INCOME	611710	40,007.	40,007.	
	c	611600	9,355.	9,355.	
	d				
	e				
	f All other program service revenue				
	g Total. Add lines 2a-2f ►		49,362.		
Other Revenue	3 Investment income (including dividends, interest, and other similar amounts)		32,287.		32,287.
	4 Income from investment of tax-exempt bond proceeds				
	5 Royalties				
	6 a Gross rents	(i) Real	(ii) Personal		
	b Less: rental expenses				
	c Rental income or (loss)				
	d Net rental income or (loss)				
	7 a Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other		
	b Less cost or other basis and sales expenses	58,396.			
	c Gain or (loss)	53,859.			
	d Net gain or (loss)	4,537.			
	8 a Gross income from fundraising events (not including \$) of contributions reported on line 1c). See Part IV, line 18	a			
	b Less: direct expenses	b			
	c Net income or (loss) from fundraising events				
	9 a Gross income from gaming activities. See Part IV, line 19	a			
	b Less: direct expenses	b			
	c Net income or (loss) from gaming activities				
	10 a Gross sales of inventory, less returns and allowances	a			
	b Less cost of goods sold	b			
	c Net income or (loss) from sales of inventory				
	Miscellaneous Revenue	Business Code			
	11 a MISCELLANEOUS	900099	13,373.	13,373.	
	b				
	c				
	d All other revenue				
	e Total. Add lines 11a-11d		13,373.		
	12 Total revenue. See instructions. ►		1,777,154.	62,735.	0. 36,824.

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.	(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1 Grants and other assistance to governments and organizations in the United States. See Part IV, line 21	270,247.	270,247.		
2 Grants and other assistance to individuals in the United States. See Part IV, line 22				
3 Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16				
4 Benefits paid to or for members				
5 Compensation of current officers, directors, trustees, and key employees	253,228.	206,759.	35,755.	10,714.
6 Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B)				
7 Other salaries and wages	318,124.	271,152.	36,088.	10,884.
8 Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions)	17,640.	15,220.	1,874.	546.
9 Other employee benefits	73,792.	63,277.	7,409.	3,106.
10 Payroll taxes	38,523.	32,340.	4,715.	1,468.
11 Fees for services (non-employees):				
a Management				
b Legal				
c Accounting	26,599.		26,599.	
d Lobbying	66,182.		100.	66,082.
e Professional fundraising services. See Part IV, line 17				
f Investment management fees	6,458.		6,458.	
g Other. (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Sch O.)	256,131.	256,131.		
12 Advertising and promotion				
13 Office expenses	106,954.	58,194.	44,984.	3,776.
14 Information technology				
15 Royalties				
16 Occupancy	202,268.	160,624.	28,489.	13,155.
17 Travel	85,133.	51,970.	33,021.	142.
18 Payments of travel or entertainment expenses for any federal, state, or local public officials				
19 Conferences, conventions, and meetings				
20 Interest				
21 Payments to affiliates				
22 Depreciation, depletion, and amortization				
23 Insurance				
24 Other expenses. Itemize expenses not covered above. (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O.)				
a STAFF RECRUITMENT	42,024.	35,944.	1,876.	4,204.
b MISCELLANEOUS	25,360.	22,463.	1,887.	1,010.
c MOVING/STORAGE	2,789.		2,789.	
d				
e All other expenses				
25 Total functional expenses. Add lines 1 through 24e	1,814,789.	1,444,321.	255,381.	115,087.
26 Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation.				

Check here ► if following SOP 98-2 (ASC 958-720)

Part X Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

		(A) Beginning of year		(B) End of year
Assets	1 Cash - non-interest-bearing	462,241.	1	52,590.
	2 Savings and temporary cash investments	8,322.	2	7,941.
	3 Pledges and grants receivable, net	65,375.	3	331,288.
	4 Accounts receivable, net		4	
	5 Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees Complete Part II of Schedule L		5	
	6 Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instr). Complete Part II of Sch L		6	
	7 Notes and loans receivable, net		7	
	8 Inventories for sale or use		8	
	9 Prepaid expenses and deferred charges	23,643.	9	34,030.
	10a Land, buildings, and equipment: cost or other basis Complete Part VI of Schedule D	207,950.		
	b Less: accumulated depreciation	127,270.	88,791.	10c 80,680.
	11 Investments - publicly traded securities		11	
	12 Investments - other securities. See Part IV, line 11	506,871.	12	545,759.
	13 Investments - program-related See Part IV, line 11		13	
	14 Intangible assets		14	
	15 Other assets See Part IV, line 11	17,556.	15	17,556.
16 Total assets. Add lines 1 through 15 (must equal line 34)	1,172,799.	16	1,069,844.	
Liabilities	17 Accounts payable and accrued expenses	34,792.	17	30,469.
	18 Grants payable	151,619.	18	90,849.
	19 Deferred revenue		19	
	20 Tax-exempt bond liabilities		20	
	21 Escrow or custodial account liability. Complete Part IV of Schedule D		21	
	22 Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L		22	
	23 Secured mortgages and notes payable to unrelated third parties		23	
	24 Unsecured notes and loans payable to unrelated third parties		24	
	25 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24) Complete Part X of Schedule D		25	
	26 Total liabilities. Add lines 17 through 25	186,411.	26	121,318.
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ► <input checked="" type="checkbox"/> and complete lines 27 through 29, and lines 33 and 34.			
	27 Unrestricted net assets	579,390.	27	612,653.
	28 Temporarily restricted net assets	406,998.	28	335,873.
	29 Permanently restricted net assets		29	
	Organizations that do not follow SFAS 117 (ASC 958), check here ► <input type="checkbox"/> and complete lines 30 through 34.			
	30 Capital stock or trust principal, or current funds		30	
	31 Paid-in or capital surplus, or land, building, or equipment fund		31	
	32 Retained earnings, endowment, accumulated income, or other funds		32	
	33 Total net assets or fund balances	986,388.	33	948,526.
	34 Total liabilities and net assets/fund balances	1,172,799.	34	1,069,844.

Form 990 (2013)

Part XI Reconciliation of Net AssetsCheck if Schedule O contains a response or note to any line in this Part XI

1 Total revenue (must equal Part VIII, column (A), line 12)	1,777,154.
2 Total expenses (must equal Part IX, column (A), line 25)	1,814,789.
3 Revenue less expenses. Subtract line 2 from line 1	<37,635.>
4 Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	986,388.
5 Net unrealized gains (losses) on investments	<227.>
6 Donated services and use of facilities	
7 Investment expenses	
8 Prior period adjustments	
9 Other changes in net assets or fund balances (explain in Schedule O)	
10 Net assets or fund balances at end of year. Combine lines 3 through 9 (must equal Part X, line 33, column (B))	0.
	10 948,526.

Part XII Financial Statements and ReportingCheck if Schedule O contains a response or note to any line in this Part XII

1 Accounting method used to prepare the Form 990: Cash Accrual Other _____
If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O.

2a Were the organization's financial statements compiled or reviewed by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis

2b Were the organization's financial statements audited by an independent accountant?
If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both:
 Separate basis Consolidated basis Both consolidated and separate basis

2c If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? _____
If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O

3a As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?
3b If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits

	Yes	No
2a		X
2b	X	
2c	X	
3a	X	
3b	X	

SCHEDULE A
(Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

OMB No 1545-0047

2013

**Open to Public
Inspection**

Department of the Treasury
Internal Revenue Service

► Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization

Employer identification number

NEW YORK COUNCIL FOR THE HUMANITIES

51-0152266

Part I **Reason for Public Charity Status** (All organizations must complete this part) See instructions.

The organization is not a private foundation because it is: (For lines 1 through 11, check only one box)

1 A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i)**.
 2 A school described in **section 170(b)(1)(A)(ii)**. (Attach Schedule E.)
 3 A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii)**.
 4 A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii)**. Enter the hospital's name, city, and state: _____
 5 An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv)**. (Complete Part II)
 6 A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v)**.
 7 An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi)**. (Complete Part II)
 8 A community trust described in **section 170(b)(1)(A)(vi)**. (Complete Part II.)
 9 An organization that normally receives (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions - subject to certain exceptions, and (2) no more than 33 1/3% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975. See **section 509(a)(2)**. (Complete Part III.)
 10 An organization organized and operated exclusively to test for public safety. See **section 509(a)(4)**.
 11 An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**. See **section 509(a)(3)**. Check the box that describes the type of supporting organization and complete lines 11e through 11h.
 a Type I b Type II c Type III - Functionally integrated d Type III - Non-functionally integrated
 e By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in **section 509(a)(1)** or **section 509(a)(2)**
 f If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box _____
 g Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?
 (i) A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization? _____
 (ii) A family member of a person described in (i) above? _____
 (iii) A 35% controlled entity of a person described in (i) or (ii) above? _____
 h Provide the following information about the supported organization(s).

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

	Yes	No
11g(i)		
11g(ii)		
11g(iii)		

Total

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2013

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Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")	1527670.	1479191.	1713821.	2178868.	1677595.	8577145.
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3	1527670.	1479191.	1713821.	2178868.	1677595.	8577145.
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						8577145.

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4	1527670.	1479191.	1713821.	2178868.	1677595.	8577145.
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources	24,254.	9,284.	25,366.	27,054.	36,824.	122,782.
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)	217.	3,428.	2,768.	8,251.	13,373.	28,037.
11 Total support. Add lines 7 through 10						8727964.
12 Gross receipts from related activities, etc. (see instructions)					12	46,762.
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						► <input type="checkbox"/>

Section C. Computation of Public Support Percentage

14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))	14	98.27	%
15 Public support percentage from 2012 Schedule A, Part II, line 14	15	98.56	%
16a 33 1/3% support test - 2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		► <input checked="" type="checkbox"/>	
b 33 1/3% support test - 2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization		► <input type="checkbox"/>	
17a 10% -facts-and-circumstances test - 2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		► <input type="checkbox"/>	
b 10% -facts-and-circumstances test - 2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization		► <input type="checkbox"/>	
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions		► <input type="checkbox"/>	

Schedule A (Form 990 or 990-EZ) 2013

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6)						

Section B. Total Support

Calendar year (or fiscal year beginning in) ►	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
13 Total support. (Add lines 9, 10c, 11, and 12)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) organization, check this box and stop here						

Section C. Computation of Public Support Percentage

15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	%
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	%

Section D. Computation of Investment Income Percentage

17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	%
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	%
19a 33 1/3% support tests - 2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization		
b 33 1/3% support tests - 2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3%, and line 18 is not more than 33 1/3%, check this box and stop here . The organization qualifies as a publicly supported organization		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions		

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12.

Also complete this part for any additional information. (See instructions).

Part II-A Complete if the organization is exempt under section 501(c)(3) and filed Form 5768 (election under section 501(h)).

A Check ► if the filing organization belongs to an affiliated group (and list in Part IV each affiliated group member's name, address, EIN, expenses, and share of excess lobbying expenditures).

B Check ► if the filing organization checked box A and "limited control" provisions apply.

Limits on Lobbying Expenditures (The term "expenditures" means amounts paid or incurred.)		(a) Filing organization's totals	(b) Affiliated group totals												
1a	Total lobbying expenditures to influence public opinion (grass roots lobbying)														
b	Total lobbying expenditures to influence a legislative body (direct lobbying)	66,182.													
c	Total lobbying expenditures (add lines 1a and 1b)	66,182.													
d	Other exempt purpose expenditures	1,748,607.													
e	Total exempt purpose expenditures (add lines 1c and 1d)	1,814,789.													
f	Lobbying nontaxable amount. Enter the amount from the following table in both columns.	240,739.													
<table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="text-align: left; padding: 2px;">If the amount on line 1e, column (a) or (b) is:</th> <th style="text-align: left; padding: 2px;">The lobbying nontaxable amount is:</th> </tr> </thead> <tbody> <tr> <td style="padding: 2px;">Not over \$500,000</td> <td style="padding: 2px;">20% of the amount on line 1e</td> </tr> <tr> <td style="padding: 2px;">Over \$500,000 but not over \$1,000,000</td> <td style="padding: 2px;">\$100,000 plus 15% of the excess over \$500,000.</td> </tr> <tr> <td style="padding: 2px;">Over \$1,000,000 but not over \$1,500,000</td> <td style="padding: 2px;">\$175,000 plus 10% of the excess over \$1,000,000.</td> </tr> <tr> <td style="padding: 2px;">Over \$1,500,000 but not over \$17,000,000</td> <td style="padding: 2px;">\$225,000 plus 5% of the excess over \$1,500,000</td> </tr> <tr> <td style="padding: 2px;">Over \$17,000,000</td> <td style="padding: 2px;">\$1,000,000</td> </tr> </tbody> </table>		If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:	Not over \$500,000	20% of the amount on line 1e	Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.	Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.	Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000	Over \$17,000,000	\$1,000,000		
If the amount on line 1e, column (a) or (b) is:	The lobbying nontaxable amount is:														
Not over \$500,000	20% of the amount on line 1e														
Over \$500,000 but not over \$1,000,000	\$100,000 plus 15% of the excess over \$500,000.														
Over \$1,000,000 but not over \$1,500,000	\$175,000 plus 10% of the excess over \$1,000,000.														
Over \$1,500,000 but not over \$17,000,000	\$225,000 plus 5% of the excess over \$1,500,000														
Over \$17,000,000	\$1,000,000														
g	Grassroots nontaxable amount (enter 25% of line 1f)	60,185.													
h	Subtract line 1g from line 1a. If zero or less, enter -0-	0.													
i	Subtract line 1f from line 1c. If zero or less, enter -0-	0.													
j	If there is an amount other than zero on either line 1h or line 1i, did the organization file Form 4720 reporting section 4911 tax for this year?	□ Yes	□ No												

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

Lobbying Expenditures During 4-Year Averaging Period

Calendar year (or fiscal year beginning in)	(a) 2010	(b) 2011	(c) 2012	(d) 2013	(e) Total
2a Lobbying nontaxable amount	245,862.	242,835.	244,578.	240,739.	974,014.
b Lobbying ceiling amount (150% of line 2a, column(e))					1,461,021.
c Total lobbying expenditures	46,813.	40,196.	48,633.	66,182.	201,824.
d Grassroots nontaxable amount	61,466.	60,709.	61,145.	60,185.	243,505.
e Grassroots ceiling amount (150% of line 2d, column (e))					365,258.
f Grassroots lobbying expenditures					

Part II-B Complete if the organization is exempt under section 501(c)(3) and has NOT filed Form 5768 (election under section 501(h)).

For each "Yes," response to lines 1a through 1i below, provide in Part IV a detailed description of the lobbying activity.

	(a)		(b)
	Yes	No	Amount
1 During the year, did the filing organization attempt to influence foreign, national, state or local legislation, including any attempt to influence public opinion on a legislative matter or referendum, through the use of:			
a Volunteers?			
b Paid staff or management (include compensation in expenses reported on lines 1c through 1i)?			
c Media advertisements?			
d Mailings to members, legislators, or the public?			
e Publications, or published or broadcast statements?			
f Grants to other organizations for lobbying purposes?			
g Direct contact with legislators, their staffs, government officials, or a legislative body?			
h Rallies, demonstrations, seminars, conventions, speeches, lectures, or any similar means?			
i Other activities?			
j Total. Add lines 1c through 1i			
2a Did the activities in line 1 cause the organization to be not described in section 501(c)(3)?			
b If "Yes," enter the amount of any tax incurred under section 4912			
c If "Yes," enter the amount of any tax incurred by organization managers under section 4912			
d If the filing organization incurred a section 4912 tax, did it file Form 4720 for this year?			

Part III-A Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6).

	Yes	No
1 Were substantially all (90% or more) dues received nondeductible by members?	1	
2 Did the organization make only in-house lobbying expenditures of \$2,000 or less?	2	
3 Did the organization agree to carry over lobbying and political expenditures from the prior year?	3	

Part III-B Complete if the organization is exempt under section 501(c)(4), section 501(c)(5), or section 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No," OR (b) Part III-A, line 3, is answered "Yes."

1 Dues, assessments and similar amounts from members	1	
2 Section 162(e) nondeductible lobbying and political expenditures (do not include amounts of political expenses for which the section 527(f) tax was paid).		
a Current year	2a	
b Carryover from last year	2b	
c Total	2c	
3 Aggregate amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues	3	
4 If notices were sent and the amount on line 2c exceeds the amount on line 3, what portion of the excess does the organization agree to carryover to the reasonable estimate of nondeductible lobbying and political expenditure next year?	4	
5 Taxable amount of lobbying and political expenditures (see instructions)	5	

Part IV Supplemental Information

Provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A (affiliated group list); Part II-A, line 2; and Part II-B, line 1. Also, complete this part for any additional information.

SCHEDULE D
(Form 990)

Department of the Treasury
Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990,
Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b.

► Attach to Form 990.

► Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1645-0047

2013

Open to Public
Inspection

Name of the organization

NEW YORK COUNCIL FOR THE HUMANITIES

Employer identification number
51-0152266

Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1 Total number at end of year		
2 Aggregate contributions to (during year)		
3 Aggregate grants from (during year)		
4 Aggregate value at end of year		
5 Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control?	<input type="checkbox"/> Yes <input type="checkbox"/> No	
6 Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit?	<input type="checkbox"/> Yes <input type="checkbox"/> No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

<input type="checkbox"/> Preservation of land for public use (e.g., recreation or education)	<input type="checkbox"/> Preservation of an historically important land area
<input type="checkbox"/> Protection of natural habitat	<input type="checkbox"/> Preservation of a certified historic structure
<input type="checkbox"/> Preservation of open space	

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year.

	Held at the End of the Tax Year
2a	
2b	
2c	
2d	

a Total number of conservation easements

b Total acreage restricted by conservation easements

c Number of conservation easements on a certified historic structure included in (a)

d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ► _____

4 Number of states where property subject to conservation easement is located ► _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds? Yes No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ► _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ► \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)? Yes No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items:

(i) Revenues included in Form 990, Part VIII, line 1 ► \$ _____

(ii) Assets included in Form 990, Part X ► \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items:

a Revenues included in Form 990, Part VIII, line 1 ► \$ _____

b Assets included in Form 990, Part X ► \$ _____

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

3 Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply):

a Public exhibition
 b Scholarly research
 c Preservation for future generations

d Loan or exchange programs
 e Other _____

4 Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII.

5 During the year, did the organization solicit or receive donations of art, historical treasures, or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection? Yes No

Part IV Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

1a Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X? Yes No

b If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
1c	
1d	
1e	
1f	

2a Did the organization include an amount on Form 990, Part X, line 21? Yes No

b If "Yes," explain the arrangement in Part XIII. Check here if the explanation has been provided in Part XIII

Part V Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a) Current year	(b) Prior year	(c) Two years back	(d) Three years back	(e) Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

2 Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as:

a Board designated or quasi-endowment ► %

b Permanent endowment ► %

c Temporarily restricted endowment ► %

The percentages in lines 2a, 2b, and 2c should equal 100%.

3a Are there endowment funds not in the possession of the organization that are held and administered for the organization by:

	Yes	No
3a(i)		
3a(ii)		
3b		

b If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

4 Describe in Part XIII the intended uses of the organization's endowment funds

Part VI Land, Buildings, and Equipment.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.

	(a) Cost or other basis (investment)	(b) Cost or other basis (other)	(c) Accumulated depreciation	(d) Book value
1a Land				
b Buildings				
c Leasehold improvements		145,552.	79,041.	66,511.
d Equipment		47,172.	47,172.	0.
e Other	15,226.		1,057.	14,169.

Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c)) ►

80,680.

Part VII Investments - Other Securities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11b. See Form 990, Part X, line 12.

(a) Description of security or category (including name of security)	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1) Financial derivatives		
(2) Closely-held equity interests		
(3) Other		
(A) FIXED INCOME MUTUAL FUNDS	81,755.	END-OF-YEAR MARKET VALUE
(B) EQUITY MUTUAL FUNDS	464,004.	END-OF-YEAR MARKET VALUE
(C)		
(D)		
(E)		
(F)		
(G)		
(H)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 12.) ►	545,759.	

Part VIII Investments - Program Related.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11c. See Form 990, Part X, line 13.

(a) Description of investment	(b) Book value	(c) Method of valuation: Cost or end-of-year market value
(1)		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Col. (b) must equal Form 990, Part X, col. (B) line 13.) ►		

Part IX Other Assets.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11d. See Form 990, Part X, line 15.

(a) Description	(b) Book value
(1)	
(2)	
(3)	
(4)	
(5)	
(6)	
(7)	
(8)	
(9)	
Total. (Column (b) must equal Form 990, Part X, col. (B) line 15) ►	

Part X Other Liabilities.

Complete if the organization answered "Yes" to Form 990, Part IV, line 11e or 11f. See Form 990, Part X, line 25.

1. (a) Description of liability	(b) Book value	
(1) Federal income taxes		
(2)		
(3)		
(4)		
(5)		
(6)		
(7)		
(8)		
(9)		
Total. (Column (b) must equal Form 990, Part X, col. (B) line 25.) ►		

2. Liability for uncertain tax positions. In Part XIII, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740). Check here if the text of the footnote has been provided in Part XIII

Schedule D (Form 990) 2013

Part XI Reconciliation of Revenue per Audited Financial Statements With Revenue per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a

1	Total revenue, gains, and other support per audited financial statements	1	1,776,927.
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12:		
a	Net unrealized gains on investments	2a	<227. >
b	Donated services and use of facilities	2b	
c	Recoveries of prior year grants	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	<227. >
3	Subtract line 2e from line 1	3	1,777,154.
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)	5	1,777,154.

Part XII Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered "Yes" to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements	1	1,814,789.
2	Amounts included on line 1 but not on Form 990, Part IX, line 25:		
a	Donated services and use of facilities	2a	
b	Prior year adjustments	2b	
c	Other losses	2c	
d	Other (Describe in Part XIII.)	2d	
e	Add lines 2a through 2d	2e	0.
3	Subtract line 2e from line 1	3	1,814,789.
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:		
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a	
b	Other (Describe in Part XIII.)	4b	
c	Add lines 4a and 4b	4c	0.
5	Total expenses. Add lines 3 and 4c. (This must equal Form 990, Part I, line 18.)	5	1,814,789.

Part XIII Supplemental Information.

Provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, lines 2d and 4b; and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X, LINE 2:

EXPLANATION: MANAGEMENT HAS EVALUATED ALL INCOME TAX POSITIONS AND CONCLUDED THAT NO DISCLOSURES RELATING TO UNCERTAIN TAX POSITIONS WERE REQUIRED IN THE FINANCIAL STATEMENTS.

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PARENTS 2:

EXPLANATION: ALL REGRANTEES ARE REQUIRED TO SIGN A GRANT AGREEMENT AT THE START OF THE GRANT PERIOD THAT OUTLINES THE COUNCIL'S TERMS AND CONDITIONS.

AT THE END OF THE GRANT PERIOD, REGRANTEES ARE REQUIRED TO SUBMIT FINAL REPORTING, DETAILING HOW THE PROJECT PROCEEDED AND HOW PROJECT FUNDS WERE

SCHEDULE J
(Form 990)

Department of the Treasury
Internal Revenue Service

Compensation Information

For certain Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

► Complete if the organization answered "Yes" on Form 990, Part IV, line 23.

► Attach to Form 990. ► See separate instructions.

► Information about Schedule J (Form 990) and its instructions is at www.irs.gov/form990.

OMB No. 1545-0047

2013

Open to Public
Inspection

Name of the organization

NEW YORK COUNCIL FOR THE HUMANITIES

Employer identification number

51-0152266

Part I Questions Regarding Compensation

1a Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items.

<input type="checkbox"/> First-class or charter travel	<input type="checkbox"/> Housing allowance or residence for personal use
<input type="checkbox"/> Travel for companions	<input type="checkbox"/> Payments for business use of personal residence
<input type="checkbox"/> Tax indemnification and gross-up payments	<input type="checkbox"/> Health or social club dues or initiation fees
<input type="checkbox"/> Discretionary spending account	<input type="checkbox"/> Personal services (e.g., maid, chauffeur, chef)

b If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment or reimbursement or provision of all of the expenses described above? If "No," complete Part III to explain

2 Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all directors, trustees, and officers, including the CEO/Executive Director, regarding the items checked in line 1a?

3 Indicate which, if any, of the following the filing organization used to establish the compensation of the organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a related organization to establish compensation of the CEO/Executive Director, but explain in Part III.

<input type="checkbox"/> Compensation committee	<input type="checkbox"/> Written employment contract
<input type="checkbox"/> Independent compensation consultant	<input type="checkbox"/> Compensation survey or study
<input type="checkbox"/> Form 990 of other organizations	<input checked="" type="checkbox"/> Approval by the board or compensation committee

4 During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing organization or a related organization

a Receive a severance payment or change-of-control payment?

b Participate in, or receive payment from, a supplemental nonqualified retirement plan?

c Participate in, or receive payment from, an equity-based compensation arrangement?

If "Yes" to any of lines 4a-c, list the persons and provide the applicable amounts for each item in Part III.

Yes **No**

1b

2

4a **X**

4b **X**

4c **X**

5a **X**

5b **X**

6a **X**

6b **X**

7 **X**

8 **X**

9

Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5-9.

5 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the revenues of:

a The organization?

b Any related organization?

If "Yes" to line 5a or 5b, describe in Part III.

6 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any compensation contingent on the net earnings of:

a The organization?

b Any related organization?

If "Yes" to line 6a or 6b, describe in Part III.

7 For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed payments not described in lines 5 and 6? If "Yes," describe in Part III

8 Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe in Part III

9 If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in Regulations section 53.4958-6(c)?

LHA For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2013

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09-13-13

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees.

Use duplicate copies if additional space is needed

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii).

Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)-(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

(A) Name and Title	(B) Breakdown of W-2 and/or 1099-MISC compensation			(C) Retirement and other deferred compensation	(D) Nontaxable benefits	(E) Total of columns (B)(i)-(D)	(F) Compensation reported as deferred in prior Form 990
	(i) Base compensation	(ii) Bonus & incentive compensation	(iii) Other reportable compensation				
(1) SARA OGGER EXECUTIVE DIRECTOR	(i) 130,065. 0.	0. 0.	0. 0.	13,167. 0.	10,676. 0.	153,908. 0.	0. 0.
	(ii)						
	(iii)						
	(iv)						
	(v)						
	(vi)						
	(vii)						
	(viii)						
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Part III Supplemental Information

Provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information.

Supplemental Information to Form 990 or 990-EZ

Complete to provide information for responses to specific questions on
Form 990 or 990-EZ or to provide any additional information.

► Attach to Form 990 or 990-EZ.

► Information about Schedule O (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

Name of the organization

NEW YORK COUNCIL FOR THE HUMANITIES

Employer identification number
51-0152266

FORM 990, PART I, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:

INTELLECTUAL AND CULTURAL SECTORS THROUGH GRANTS, PROGRAMS, NETWORKING
AND ADVOCACY IN ORDER TO ENCOURAGE CRITICAL THINKING AND CULTURAL
UNDERSTANDING IN THE PUBLIC ARENA. FOUNDED IN 1975, THE NEW YORK
COUNCIL FOR THE HUMANITIES IS THE SOLE STATEWIDE PROPOSER OF PUBLIC
ACCESS TO THE HUMANITIES. INVOLVEMENT IN HUMANITIES SUBJECTS DEVELOPS
CRITICAL THINKING, ANALYSIS, SYNTHESIS, COMMUNICATION AND
PROBLEM-SOLVING SKILLS, CREATIVITY AND INNOVATION. THEY HELP US TALK
ABOUT AND UNDERSTAND THE IDEAS THAT DEFINE US AS INDIVIDUALS AND
CONNECT US AS COMMUNITIES.

FORM 990, PART III, LINE 1, DESCRIPTION OF ORGANIZATION MISSION:
FOUNDED IN 1975, THE NEW YORK COUNCIL FOR THE HUMANITIES IS THE SOLE
STATEWIDE PROPOSER OF PUBLIC ACCESS TO THE HUMANITIES. INVOLVEMENT IN
HUMANITIES SUBJECTS DEVELOPS CRITICAL THINKING, ANALYSIS, SYNTHESIS,
COMMUNICATION AND PROBLEM-SOLVING SKILLS, CREATIVITY AND INNOVATION.
THEY HELP US TALK ABOUT AND UNDERSTAND THE IDEAS THAT DEFINE US AS
INDIVIDUALS AND CONNECT US AS COMMUNITIES.

FORM 990, PART III, LINE 4A, PROGRAM SERVICE ACCOMPLISHMENTS:
CONTINUED ITS STATEWIDE COMMEMORATION OF THE BICENTENNIAL OF THE WAR OF
1812 IN PARTNERSHIP WITH THE STATE HISTORIAN AND STATE ARCHIVES. IN
ADDITION, THE COUNCIL HELPED LAUNCH CULTUREAID (ACTIVE IN DISASTERS), A
COMMUNICATIONS NETWORK DEDICATED TO ENCOURAGING PREPAREDNESS AND
COORDINATING EMERGENCY RELIEF EFFORTS FOR THE ARTS AND CULTURAL SECTOR.

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FORM 990, PART III, LINE 4B, PROGRAM SERVICE ACCOMPLISHMENTS:

THAT SURMOUNT THEIR CULTURAL DIFFERENCES, AND HELP TO ENCOURAGE EMPATHY WITH THE CHARACTERS ON THE PAGE. THE WORLD OF THE NOVEL BECOMES LESS "OTHER" AND MORE OF AN ALTERNATE VERSION OF THE SELF. MUSLIM VOICES ACTS AS BOTH A WINDOW AND MIRROR INTO THE LIVES OF MUSLIMS WORLDWIDE.

A TEAM OF HUMANITIES SCHOLARS AND EDUCATORS HAVE WORKED WITH THE COUNCIL TO DEVELOP AGE-APPROPRIATE BOOK LISTS FOR THE AUDIENCES LISTED ABOVE. EACH OF THE BOOK LISTS IS MADE UP OF 9-12 BOOKS WITH MUSLIM PROTAGONISTS, REFLECTING A VARIETY OF CULTURAL EXPERIENCES. COPIES OF BOTH BOOK LISTS ARE AVAILABLE ON THE MUSLIM VOICES WEBSITE (TOGETHERMUSLIMVOICES.ORG). MUSEUMS, MOSQUES, INTERFAITH CENTERS, SCHOOLS, LIBRARIES AND OTHER NONPROFIT ORGANIZATIONS WISHING TO ENGAGE PARTICIPANTS IN DEEPER CONVERSATIONS ABOUT THE THEMES OF COURAGE, COMMUNITY, FAITH AND FREEDOM MAY APPLY FOR A MUSLIM VOICES PROGRAM GRANT TO HOST A MULTI-SESSION DISCUSSION SERIES USING THE BOOK LISTS AND TOOLKITS. EDUCATORS OR LIBRARIANS TEAM UP WITH HUMANITIES SCHOLARS FROM THE LOCAL COMMUNITY TO CO-FACILITATE THESE 4-6 SESSION SERIES BASED ON THE MUSLIM VOICES BOOK LISTS. EACH GRANT COVERS THE COST OF UP TO 25 COPIES (OF UP TO 6 DIFFERENT BOOKS), A \$150/ PER SESSION HONORARIUM FOR EACH OF THE HUMANITIES SCHOLARS, A \$200 STIPEND FOR THE HOST SITE AND THE COST OF ATTENDING A TWO-DAY TRAINING SESSION IN NEW YORK CITY. THESE TRAINING SESSIONS OFFER FACILITATORS THE OPPORTUNITY TO LEARN HOW TO FOSTER OPEN AND INVITING CONVERSATIONS, HOW TO USE HUMANITIES THEMES TO SHAPE THOSE CONVERSATIONS AND HOW TO MEET THE SPECIAL DEMANDS OF TALKING ABOUT ISLAM AND MUSLIMS.

TOTAL COST FOR THIS PROGRAM WAS \$198,097.

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FORM 990, PART III, LINE 4C, PROGRAM SERVICE ACCOMPLISHMENTS:

SPEAKERS IN THE HUMANITIES ENDS IN ITS CURRENT FORM THIS YEAR. THE NEW PUBLIC SCHOLARS PROGRAM, A MORE PUBLIC ENGAGEMENT AND TRAINING ORIENTED PUBLIC LECTURE BUREAU WILL TAKE ITS PLACE, WITH LECTURES BEGINNING IN THE FALL OF 2015.

DURING THE FISCAL YEAR, FUNDS IN THE AMOUNT OF \$171,243 WERE EXPENDED ON THIS PROGRAM.

FORM 990, PART III, LINE 4D, OTHER PROGRAM SERVICES:ADULT READING AND DISCUSSION (ADULT R&D):

SOMETHING SPECIAL HAPPENS WHEN WE TALK ABOUT WHAT WE READ WITH OTHERS IN OUR COMMUNITY. TEXTS BECOME CATALYSTS FOR CIVIC ENGAGEMENT AND CULTURAL UNDERSTANDING, AS WELL AS FOR PERSONAL REFLECTION. IN ADULT READING AND DISCUSSION PROGRAMS, PARTICIPANTS READ A SERIES OF THEMATICALLY LINKED TEXTS OVER THE COURSE OF FOUR TO SIX SESSIONS. AT EACH SESSION, THEY COME TOGETHER WITH OTHERS IN THEIR COMMUNITY FOR A CONVERSATION ABOUT THE IDEAS IN THOSE TEXTS, FACILITATED BY A TRAINED LOCAL SCHOLAR. THEMES RANGE FROM "WORKING" AND "GROWING & AGING," TO THE CIVIL WAR AND "MUSLIM JOURNEYS." THE COUNCIL IS PARTICULARLY PROUD TO OFFER THE THEME "SERVING: STANDING DOWN" TO VETERAN PARTICIPANTS. HOST ORGANIZATIONS IDENTIFY A THEME RELEVANT TO THEIR PATRONS, HOW MANY SESSIONS (4-6) THEY PLAN TO HOST AND CHOOSE A LOCAL SCHOLAR TO FACILITATE THEIR SERIES. THE COUNCIL TRAINS BOTH THE HOST SITE AND THE SCHOLAR-FACILITATOR ON HOW THE PROGRAM WORKS AND BEST PRACTICES FOR ENGAGING ADULT AUDIENCES BY ASKING GOOD QUESTIONS AND DRAWING IN

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MULTIPLE VOICES. THE COUNCIL PAYS AN HONORARIUM TO THE SCHOLAR OF \$150/SESSION, FOR A TOTAL OF \$600-\$900 DEPENDING ON THE LENGTH OF THE SERIES. MOREOVER, THE COUNCIL PROVIDES A LENDING LIBRARY OF BOOKS FOR EACH THEME THAT ORGANIZATIONS CAN BORROW. HOST ORGANIZATIONS ARE ASKED TO PAY A MODEST FEE OF \$25 PER SESSION, TOTALING \$100-\$150 FOR A SERIES. THESE FEES COVER SHIPPING TO THE HOST SITES AND REPLACEMENTS FOR DAMAGED OR LOST BOOKS. TO INSURE ACCESSIBILITY, THE COUNCIL ALLOWS SITES TO APPLY FOR A WAIVER FOR THIS FEE IF THEY CAN DEMONSTRATE SUFFICIENT FINANCIAL NEED. PARTICIPANTS, RECRUITED BY THE HOST ORGANIZATIONS, ATTEND THE PROGRAMS FOR FREE. THE COUNCIL PROGRAM OFFICER REVIEWS ALL APPLICATIONS, AND ALL THAT DEMONSTRATE THE ABILITY TO ATTRACT AN AUDIENCE AND HOST THE PROGRAM SUCCESSFULLY ARE AWARDED, SO LONG AS COUNCIL FUNDING PERMITS. IF NECESSARY, THE COUNCIL GIVES PREFERENCE BASED ON GEOGRAPHY AND WHETHER OR NOT IT IS A NEW PROGRAM PARTNER. WE TRY TO MAKE SURE OUR RESOURCES REACH UNDERSERVED AREAS OF THE STATE. EXPENSES FOR THIS PROGRAM TOTLED \$146,989.

HUMANITIES CENTERS INITIATIVE (HCI):

THE COUNCIL IS THE HUB OF THE HUMANITIES CENTERS INITIATIVE, AN INNOVATIVE STATEWIDE PARTNERSHIP BETWEEN SEVEN UNIVERSITY-BASED HUMANITIES CENTERS. LAUNCHED IN 2012, THE HCI SUPPORTS HUMANITIES INSTITUTES, FACULTY AND GRADUATE STUDENTS IN THEIR COMMITMENT TO PUBLIC ENGAGEMENT. THERE ARE THREE ASPECTS OF THE HUMANITIES CENTERS INITIATIVE: PUBLIC HUMANITIES FELLOWSHIPS FOR ADVANCED GRADUATE STUDENTS TO EXPLORE THE PUBLIC APPLICATION OF THEIR SCHOLARLY INTERESTS, COMMUNITY PARTNERSHIP GRANTS TO BRING TOGETHER HUMANITIES CENTERS WITH LOCAL PROGRAM PARTNERS TO PROVIDE PUBLIC PROGRAMMING, AND

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JOINT ADVOCACY TO INSURE A ROBUST FUTURE FOR THE HUMANITIES IN NEW YORK STATE BY SPEAKING WITH ONE VOICE TO FEDERAL, STATE AND LOCAL LEGISLATORS. PARTICIPATING HUMANITIES CENTERS INCLUDE CORNELL UNIVERSITY SOCIETY FOR THE HUMANITIES, CUNY GRADUATE CENTER'S CENTER FOR THE HUMANITIES, THE HUMANITIES INITIATIVE AT NEW YORK UNIVERSITY, THE HEYMAN CENTER FOR THE HUMANITIES AT COLUMBIA UNIVERSITY, SYRACUSE UNIVERSITY HUMANITIES CENTER, SUNY BUFFALO'S HUMANITIES INSTITUTE AND SUNY STONY BROOK'S HUMANITIES INSTITUTE. TOTAL COST FOR THIS PROGRAM WAS \$142,221.

HUMANITIES CONVENING:

THROUGH STRATEGIC PARTNERSHIPS WITH AFFINITY GROUPS AND SPONSORSHIPS OF PILOT PROGRAMS, THE COUNCIL AIMS TO FOSTER A STATEWIDE NETWORK OF ARTS & CULTURAL SERVICE ORGANIZATIONS SUPPORTED BY THE HUMANITIES. EACH YEAR, THE COUNCIL FUNDS KEY CONVENING ACTIVITIES AND INNOVATIVE PROJECTS THAT EXPOSE ATTENDEES TO NEW IDEAS, AND/OR PROVIDE PROFESSIONAL DEVELOPMENT OPPORTUNITIES FOR LIBRARIES, HISTORIC HOUSES, MUSEUMS, HISTORIANS, ARTISTS AND OTHER GROUPS ACTIVE IN NEW YORK STATE. IN 2014, THE COUNCIL'S PARTNERS INCLUDED WAMC, ALBANY INSTITUTE OF HISTORY AND ART, MUSEUM ASSOCIATION OF NEW YORK, THE NEW YORK STATE HISTORICAL ASSOCIATION AND SUNY BUFFALO'S HUMANITIES INSTITUTE. TOTAL EXPENSES FOR THIS PROGRAM WAS \$90,496.

TOGETHER:

TOGETHER-BOOK TALK FOR KIDS AND PARENTS WAS CREATED BY THE COUNCIL TO ADDRESS A LACK OF READING AND DISCUSSION CURRICULUM FOR CHILDREN AGED

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9-TO-11. DEVELOPED IN LATE 2005 IN CONSULTATION WITH SALLY SMITH, A PROFESSOR OF EDUCATION AT HOFSTRA UNIVERSITY, AND JANINE BIXLER, A PROFESSOR OF EDUCATION AT MOUNT ST. MARY COLLEGE AND AN EXPERT EVALUATOR, TOGETHER WAS DEVELOPED AND TESTED BETWEEN 2006 AND 2008. IN FALL 2008, THE PROGRAM WAS OPENED TO ALL LIBRARIES BY ON-LINE APPLICATION. EACH TOGETHER PROGRAM IS SIX WEEKLY SESSIONS OF 90 MINUTES, IN WHICH THE GATHERED FAMILIES DISCUSS A BOOK THEY HAVE FIRST READ TOGETHER AT HOME. THE SYLLABUS FOR TOGETHER HAS BEEN CAREFULLY DEVELOPED TO USE BOTH CHAPTER AND RICHLY ILLUSTRATED CHILDREN'S BOOKS THAT PROVIDE GREAT OPPORTUNITIES FOR DISCUSSION OF BROAD HUMANITIES THEMES LIKE COURAGE OR IDENTITY. THE COUNCIL IS DEDICATED TO OFFERING TOGETHER TO NEW AUDIENCES AND SO, IN 2009 THE COUNCIL DEVELOPED A FULLY DUAL-LANGUAGE (ENGLISH/SPANISH) VERSION OF THE PROGRAM WITH BILITERACY EXPERT ANDREA GARCIA OF HOFSTRA UNIVERSITY. THIS PROGRAM, CALLED UNIDOS, BUILDS ON THE EXISTING TOGETHER FRAMEWORK, AND EXTENDS THE WORK OF THE PROJECT TO PROVIDE A CONTEXT WHERE BILINGUAL FAMILIES CAN COME TOGETHER TO PARTICIPATE IN CRITICAL DISCUSSIONS ABOUT BOOKS IN A DUAL LANGUAGE CONTEXT. DISCUSSING BOOKS IN TWO LANGUAGES, IN THIS CASE SPANISH AND ENGLISH, ALLOWS PARENTS AND CHILDREN TO USE THEIR BILINGUALISM AS A RESOURCE TO ACCESS THE CONTENT OF BOOKS, MAKE CONNECTIONS TO THEIR LIVED EXPERIENCES AND ENGAGE IN DIALOGUE WITH FAMILY MEMBERS AND THE COMMUNITY AT LARGE. EXPENSES FOR THIS PROGRAM TOTaled \$84,471.

SPEAKERS IN THE SCHOOLS:

LAUNCHED AS AN OFFERING FOR PUBLIC MIDDLE AND HIGH SCHOOL STUDENTS,

SPEAKERS IN THE SCHOOLS WAS DESIGNED TO ENHANCE STUDENT LEARNING BY

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PROVIDING RICH HUMANITIES EXPERTISE RIGHT IN THE CLASSROOM. SPEAKERS IN THE SCHOOLS LECTURE OFFERINGS ARE TAILORED SPECIFICALLY FOR SCHOOL AUDIENCES, AND ARE RIGOROUSLY ALIGNED WITH STATE EDUCATIONAL STANDARDS.

THE PROGRAM BEARS NO COST FOR SCHOOLS, AND TEACHERS SUBMIT BRIEF APPLICATIONS TO SUBMIT FORMAL REQUESTS. THE COUNCIL COVERS THE SPEAKERS TRAVEL AND HONORARIUM TO THE PARTICIPATING SCHOOL STANDARDS. SIS IS ADAPTABLE TO A RANGE OF USES, FROM CLASSROOM PRESENTATIONS TO TEACHER TRAINING SESSIONS TO LARGE SCHOOL ASSEMBLIES - A KEY TO SUCCESS

ACCORDING TO THE TEACHERS THE COUNCIL WORKED WITH TO DEVELOP, ASSESS AND MAKE CHANGES TO THE PROGRAM. THERE IS NO FEE TO APPLY, AND APPLICATIONS CAN BE MADE BY ANYONE AT THE SCHOOL. THE COUNCIL COVERS A MODEST HONORARIUM OF \$300 FOR THE SPEAKER AND AN ALLOWANCE FOR TRAVEL.

FUNDS IN THE AMOUNT OF \$81,768 WERE EXPENDED ON THIS PROGRAM DURING THE FISCAL YEAR.

COMMUNITY CONVERSATIONS (CC):

COMMUNITY CONVERSATIONS PROMOTES ENGAGED DIALOGUE BY BRINGING TOGETHER MEMBERS OF A GROUP, ORGANIZATION OR NEIGHBORHOOD TO JOIN IN A FACILITATED DISCUSSION OF A SHORT TEXT CENTERED ON VARIOUS ASPECTS OF AMERICAN IDENTITY. THE FACILITATED DISCUSSION ENCOURAGES PARTICIPANTS TO REFLECT ON THE ISSUES RAISED BY THE READING AND THEIR IMPACT ON OUR COMMUNITIES. DESIGNED TO SPUR COMMUNITY-BASED DISCUSSIONS AROUND IMPORTANT NATIONAL MOMENTS, COMMUNITY CONVERSATIONS MATERIALS ARE CURRENTLY AVAILABLE FOR THE MARTIN LUTHER KING, JR. DAY OF SERVICE, THE 9/11 NATIONAL DAY OF SERVICE AND REMEMBRANCE, DEMOCRACY, ENVIRONMENTAL STEWARDSHIP, IMMIGRATION, AFTER SANDY, AND FOR KIDS ONLY, FRIENDSHIP.

THE TEXTS FOR THESE CONVERSATIONS ARE FOUND IN TOOLKITS, AVAILABLE FOR

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FREE FROM THE COUNCIL. CREATED TO BE FLEXIBLE ENOUGH TO BE USED IN A WIDE RANGE OF SETTINGS, THE TOOLKITS CONTAIN EVERYTHING NECESSARY FOR A 90-MINUTE "DO-IT-YOURSELF" CONVERSATION INCLUDING DISCUSSION QUESTIONS, TIPS FOR BUILDING AND SUSTAINING INCLUSIVE CONVERSATIONS, PRESS AND FLYER TEMPLATES AND TIPS FOR HOSTING. TAX-EXEMPT ORGANIZATIONS, INCLUDING SCHOOLS, IN NEW YORK STATE CAN APPLY TO BE A FEATURED SITE, AND ARE ELIGIBLE TO RECEIVE A \$200 STIPEND FROM THE COUNCIL FOR HOSTING A COMMUNITY CONVERSATION. EXPENSES FOR THIS PROGRAM TOTLED \$79,750. EXPENSES \$ 625,695. INCLUDING GRANTS OF \$ 0. REVENUE \$ 53,380.

FORM 990, PART VI, SECTION B, LINE 11:

EXPLANATION: THE FORM 990 IS REVIEWED BY THE EXECUTIVE COMMITTEE OF THE BOARD PRIOR TO BEING FILED.

FORM 990, PART VI, SECTION B, LINE 12C:

EXPLANATION: WE HAVE A CONFLICT OF INTEREST POLICY, WHICH EACH BOARD MEMBER AND KEY EMPLOYEE READS EACH YEAR, AND WE ALSO PROVIDE A "BEST-PRACTICES" DISCLOSURE FORM WITH SIGNATURE EACH YEAR.

FORM 990, PART VI, SECTION B, LINE 15:

EXPLANATION: EXECUTIVE DIRECTOR

THE EXECUTIVE DIRECTOR PREPARES A LIST OF GOALS ARRANGED BY AREA OF RESPONSIBILITY, ALONG WITH A SELF-ASSESSMENT BASED ON GOALS AND WHETHER THEY ARE ACCOMPLISHED. BOTH DOCUMENTS ARE REVIEWED BY THE FULL BOARD. THE CHAIR OF THE BOARD SURVEYS THE FULL BOARD WITH A QUESTIONNAIRE BASED ON THE STATEMENT OF GOALS. THE EXECUTIVE COMMITTEE OF THE BOARD REVIEWS THE RESULTS OF THE SURVEY WITH THE EXECUTIVE DIRECTOR, THEN MAKES A

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51-0152266RECOMMENDATION FOR SALARY ADJUSTMENT.FORM 990, PART VI, SECTION C, LINE 19:EXPLANATION: UPON REQUEST.FORM 990, PART IX, LINE 11G, OTHER FEES:OTHER PROFESSIONAL FEES:PROGRAM SERVICE EXPENSES 256,131.MANAGEMENT AND GENERAL EXPENSES 0.FUNDRAISING EXPENSES 0.TOTAL EXPENSES 256,131.TOTAL OTHER FEES ON FORM 990, PART IX, LINE 11G, COL A 256,131.

Form 4562

Department of the Treasury
Internal Revenue Service (99)Depreciation and Amortization 990
(Including Information on Listed Property)

OMB No 1545-0172

2013

Attachment
Sequence No 179

Name(s) shown on return

Business or activity to which this form relates

Identifying number

NEW YORK COUNCIL FOR THE HUMANITIES

FORM 990 PAGE 10

51-0152266

Part I Election To Expense Certain Property Under Section 179 Note: If you have any listed property, complete Part V before you complete Part I.

1 Maximum amount (see instructions)	1	500,000.
2 Total cost of section 179 property placed in service (see instructions)	2	
3 Threshold cost of section 179 property before reduction in limitation	3	2,000,000.
4 Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5 Dollar limitation for tax year Subtract line 4 from line 1 If zero or less, enter -0- If married filing separately, see instructions	5	
6 (a) Description of property	(b) Cost (business use only)	(c) Elected cost
7 Listed property. Enter the amount from line 29	7	
8 Total elected cost of section 179 property. Add amounts in column (c), lines 6 and 7	8	
9 Tentative deduction. Enter the smaller of line 5 or line 8	9	
10 Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11 Business income limitation. Enter the smaller of business income (not less than zero) or line 5	11	
12 Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13 Carryover of disallowed deduction to 2014. Add lines 9 and 10, less line 12 ► 13	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property.)

14 Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year	14	
15 Property subject to section 168(f)(1) election	15	
16 Other depreciation (including ACRS)	16	23,336.

Part III MACRS Depreciation (Do not include listed property.) (See instructions)

Section A

17 MACRS deductions for assets placed in service in tax years beginning before 2013	17	
18 If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here ► <input type="checkbox"/>		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property						
b 5-year property						
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs.		S/L	
h Residential rental property	/		27.5 yrs.	MM	S/L	
	/		27.5 yrs	MM	S/L	
i Nonresidential real property	/		39 yrs.	MM	S/L	
	/			MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year	/		40 yrs.	MM	S/L	

Part IV Summary (See instructions)

21 Listed property. Enter amount from line 28	21	
22 Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21. Enter here and on the appropriate lines of your return. Partnerships and S corporations - see instr.	22	23,336.
23 For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

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12-19-13 LHA For Paperwork Reduction Act Notice, see separate instructions.

Form 4562 (2013)

Part V	Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement.)								
Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable.									

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles.)

24a	Do you have evidence to support the business/investment use claimed? <input type="checkbox"/> Yes <input type="checkbox"/> No									24b	If "Yes," is the evidence written? <input type="checkbox"/> Yes <input type="checkbox"/> No	
(a) Type of property (list vehicles first)	(b) Date placed in service	(c) Business/investment use percentage	(d) Cost or other basis	(e) Basis for depreciation (business/investment use only)	(f) Recovery period	(g) Method/Convention	(h) Depreciation deduction	(i) Elected section 179 cost				
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use									25			
26 Property used more than 50% in a qualified business use												
									%			
									%			
									%			
27 Property used 50% or less in a qualified business use												
									%			
									%			
									%			
28 Add amounts in column (h), lines 25 through 27. Enter here and on line 21, page 1									28			
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1									29			

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles.

30 Total business/investment miles driven during the year (do not include commuting miles) 31 Total commuting miles driven during the year 32 Total other personal (noncommuting) miles driven 33 Total miles driven during the year Add lines 30 through 32. 34 Was the vehicle available for personal use during off-duty hours? 35 Was the vehicle used primarily by a more than 5% owner or related person? 36 Is another vehicle available for personal use?	(a) Vehicle	(b) Vehicle	(c) Vehicle	(d) Vehicle	(e) Vehicle	(f) Vehicle					
Yes	No	Yes	No	Yes	No	Yes	No	Yes	No	Yes	No

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees? 38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners 39 Do you treat all use of vehicles by employees as personal use? 40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received? 41 Do you meet the requirements concerning qualified automobile demonstration use?	Yes	No

Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

Part VI	Amortization					
(a) Description of costs	(b) Date amortization begins	(c) Amortizable amount	(d) Code section	(e) Amortization period or percentage	(f) Amortization for this year	
42 Amortization of costs that begins during your 2013 tax year:						
43 Amortization of costs that began before your 2013 tax year						43
44 Total. Add amounts in column (f). See the instructions for where to report						44