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Part III

Statement of Program Service Accomplishments

Check if Schedule O contains a response or note to any line in this Part III

1

Briefly describe the organization’s mission

THE FOUNDATION OF THE INTERNATIONAL ASSOCIATION OF DEFENSE COUNSEL WAS FORMED FOR THE PURPOSE OF EDUCATING THE PUBLIC AND THE LEGAL COMMUNITY ON CURRENT LITIGATION ISSUES AND SUPPORTING THE INTEGRITY OF THE LEGAL SYSTEM BY PROVIDING FORUMS FOR DISCUSSION ON TOPICS CRITICAL TO THE LEGAL SYSTEM

2

Did the organization undertake any significant program services during the year which were not listed on the prior Form 990 or 990-EZ?

If "Yes," describe these new services on Schedule O

3

Did the organization cease conducting, or make significant changes in how it conducts, any program services?

If "Yes," describe these changes on Schedule O

4

Describe the organization’s program service accomplishments for each of its three largest program services, as measured by expenses Section 501(c)(3) and 501(c)(4) organizations are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported

4a

(Code) (Expenses \$ 105,219 including grants of \$) (Revenue \$)

Supported legal education programs on civics and the law for grade school and high school students, held the annual Foundation Forum, supported legal education webinars and other resources

4b

(Code) (Expenses \$ including grants of \$) (Revenue \$)

4c

(Code) (Expenses \$ including grants of \$) (Revenue \$)

4d

Other program services (Describe in Schedule O)

(Expenses \$ including grants of \$) (Revenue \$)

4e

Total program service expenses ▶ 105,219

Part IV

Checklist of Required Schedules

	Yes	No
1 Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," complete Schedule A 	1 Yes	
2 Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)?	2	No
3 Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to candidates for public office? If "Yes," complete Schedule C, Part I	3	No
4 Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) election in effect during the tax year? If "Yes," complete Schedule C, Part II	4	No
5 Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, Part III	5	
6 Did the organization maintain any donor advised funds or any similar funds or accounts for which donors have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If "Yes," complete Schedule D, Part I 	6	No
7 Did the organization receive or hold a conservation easement, including easements to preserve open space, the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II 	7	No
8 Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," complete Schedule D, Part III 	8	No
9 Did the organization report an amount in Part X, line 21 for escrow or custodial account liability, serve as a custodian for amounts not listed in Part X, or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," complete Schedule D, Part IV 	9	No
10 Did the organization, directly or through a related organization, hold assets in temporarily restricted endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V 	10	No
11 If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, VII, VIII, IX, or X as applicable		
a Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," complete Schedule D, Part VI 	11a	No
b Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VII 	11b	No
c Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII 	11c	No
d Did the organization report an amount for other assets in Part X, line 15 that is 5% or more of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part IX 	11d	No
e Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X 	11e	No
f Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X 	11f Yes	
12a Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete Schedule D, Parts XI and XII 	12a	No
b Was the organization included in consolidated, independent audited financial statements for the tax year? If "Yes," and if the organization answered "No" to line 12a, then completing Schedule D, Parts XI and XII is optional 	12b Yes	
13 Is the organization a school described in section 170(b)(1)(A)(ii)? If "Yes," complete Schedule E	13	No
14a Did the organization maintain an office, employees, or agents outside of the United States?	14a	No
b Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, fundraising, business, investment, and program service activities outside the United States, or aggregate foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV	14b	No
15 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or other assistance to or for any foreign organization? If "Yes," complete Schedule F, Parts II and IV	15	No
16 Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or other assistance to or for foreign individuals? If "Yes," complete Schedule F, Parts III and IV	16	No
17 Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on Part IX, column (A), lines 6 and 11e? If "Yes," complete Schedule G, Part I (see instructions) 	17	No
18 Did the organization report more than \$15,000 total of fundraising event gross income and contributions on Part VIII, lines 1c and 8a? If "Yes," complete Schedule G, Part II 	18 Yes	
19 Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? If "Yes," complete Schedule G, Part III 	19 Yes	
20a Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H	20a	No
b If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return?	20b	

Part IV

Checklist of Required Schedules (continued)

21	Did the organization report more than \$5,000 of grants or other assistance to any domestic organization or government on Part IX, column (A), line 1? <i>If "Yes," complete Schedule I, Parts I and II</i>	21		No
22	Did the organization report more than \$5,000 of grants or other assistance to individuals in the United States on Part IX, column (A), line 2? <i>If "Yes," complete Schedule I, Parts I and III</i>	22		No
23	Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the organization's current and former officers, directors, trustees, key employees, and highest compensated employees? <i>If "Yes," complete Schedule J</i>	23		No
24a	Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than \$100,000 as of the last day of the year, that was issued after December 31, 2002? <i>If "Yes," answer lines 24b through 24d and complete Schedule K. If "No," go to line 25a</i>	24a		No
b	Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception?	24b		
c	Did the organization maintain an escrow account other than a refunding escrow at any time during the year to defease any tax-exempt bonds?	24c		
d	Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year?	24d		
25a	Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction with a disqualified person during the year? <i>If "Yes," complete Schedule L, Part I</i>	25a		No
b	Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? <i>If "Yes," complete Schedule L, Part I</i>	25b		No
26	Did the organization report any amount on Part X, line 5, 6, or 22 for receivables from or payables to any current or former officers, directors, trustees, key employees, highest compensated employees, or disqualified persons? <i>If so, complete Schedule L, Part II</i>	26		No
27	Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled entity or family member of any of these persons? <i>If "Yes," complete Schedule L, Part III</i>	27		No
28	Was the organization a party to a business transaction with one of the following parties (see Schedule L, Part IV instructions for applicable filing thresholds, conditions, and exceptions)			
a	A current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28a		No
b	A family member of a current or former officer, director, trustee, or key employee? <i>If "Yes," complete Schedule L, Part IV</i>	28b		No
c	An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) was an officer, director, trustee, or direct or indirect owner? <i>If "Yes," complete Schedule L, Part IV</i>	28c		No
29	Did the organization receive more than \$25,000 in non-cash contributions? <i>If "Yes," complete Schedule M</i>	29		No
30	Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified conservation contributions? <i>If "Yes," complete Schedule M</i>	30		No
31	Did the organization liquidate, terminate, or dissolve and cease operations? <i>If "Yes," complete Schedule N, Part I</i>	31		No
32	Did the organization sell, exchange, dispose of, or transfer more than 25% of its net assets? <i>If "Yes," complete Schedule N, Part II</i>	32		No
33	Did the organization own 100% of an entity disregarded as separate from the organization under Regulations sections 301.7701-2 and 301.7701-3? <i>If "Yes," complete Schedule R, Part I</i> 	33		No
34	Was the organization related to any tax-exempt or taxable entity? <i>If "Yes," complete Schedule R, Part II, III, or IV, and Part V, line 1</i> 	34	Yes	
35a	Did the organization have a controlled entity within the meaning of section 512(b)(13)?	35a		No
b	If 'Yes' to line 35a, did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? <i>If "Yes," complete Schedule R, Part V, line 2</i>	35b		
36	Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable related organization? <i>If "Yes," complete Schedule R, Part V, line 2</i> 	36		No
37	Did the organization conduct more than 5% of its activities through an entity that is not a related organization and that is treated as a partnership for federal income tax purposes? <i>If "Yes," complete Schedule R, Part VI</i> 	37		No
38	Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11b and 19? Note. All Form 990 filers are required to complete Schedule O	38	Yes	

Part V

Statements Regarding Other IRS Filings and Tax Compliance

Check if Schedule O contains a response or note to any line in this Part V

☐

			Yes	No
1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable.				
1a		0		
b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable.				
1b		0		
c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners?			1c	
2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return.				
2a		0		
b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions).			2b	
3a Did the organization have unrelated business gross income of \$1,000 or more during the year?			3a	No
b If "Yes," has it filed a Form 990-T for this year? <i>If "No" to line 3b, provide an explanation in Schedule O.</i>			3b	
4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority over, a financial account in a foreign country (such as a bank account, securities account, or other financial account)?			4a	No
b If "Yes," enter the name of the foreign country: _____ See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts.				
5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year?			5a	No
b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction?			5b	No
c If "Yes," to line 5a or 5b, did the organization file Form 8886-T?			5c	
6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the organization solicit any contributions that were not tax deductible as charitable contributions?			6a	No
b If "Yes," did the organization include with every solicitation an express statement that such contributions or gifts were not tax deductible?			6b	
7 Organizations that may receive deductible contributions under section 170(c).				
a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods and services provided to the payor?			7a	No
b If "Yes," did the organization notify the donor of the value of the goods or services provided?			7b	
c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was required to file Form 8282?			7c	No
d If "Yes," indicate the number of Forms 8282 filed during the year.			7d	
e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?			7e	No
f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract?			7f	No
g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required?			7g	
h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C?			7h	
8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring organization, have excess business holdings at any time during the year?			8	
9 Sponsoring organizations maintaining donor advised funds.				
a Did the organization make any taxable distributions under section 4966?			9a	
b Did the organization make a distribution to a donor, donor advisor, or related person?			9b	
10 Section 501(c)(7) organizations. Enter				
a Initiation fees and capital contributions included on Part VIII, line 12.			10a	
b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities.			10b	
11 Section 501(c)(12) organizations. Enter				
a Gross income from members or shareholders.			11a	
b Gross income from other sources (Do not net amounts due or paid to other sources against amounts due or received from them).			11b	
12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041?			12a	
b If "Yes," enter the amount of tax-exempt interest received or accrued during the year.			12b	
13 Section 501(c)(29) qualified nonprofit health insurance issuers.				
a Is the organization licensed to issue qualified health plans in more than one state? Note. See the instructions for additional information the organization must report on Schedule O.			13a	
b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans.			13b	
c Enter the amount of reserves on hand.			13c	
14a Did the organization receive any payments for indoor tanning services during the tax year?			14a	No
b If "Yes," has it filed a Form 720 to report these payments? <i>If "No," provide an explanation in Schedule O.</i>			14b	

Part VI

Governance, Management, and Disclosure

For each "Yes" response to lines 2 through 7b below, and for a "No" response to lines 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule O. See instructions.

Check if Schedule O contains a response or note to any line in this Part VI

Section A. Governing Body and Management

		Yes	No
1a	Enter the number of voting members of the governing body at the end of the tax year	12	
If there are material differences in voting rights among members of the governing body, or if the governing body delegated broad authority to an executive committee or similar committee, explain in Schedule O			
b	Enter the number of voting members included in line 1a, above, who are independent	12	
2	Did any officer, director, trustee, or key employee have a family relationship or a business relationship with any other officer, director, trustee, or key employee?	2	No
3	Did the organization delegate control over management duties customarily performed by or under the direct supervision of officers, directors or trustees, or key employees to a management company or other person?	3	No
4	Did the organization make any significant changes to its governing documents since the prior Form 990 was filed?	4	No
5	Did the organization become aware during the year of a significant diversion of the organization's assets?	5	No
6	Did the organization have members or stockholders?	6	Yes
7a	Did the organization have members, stockholders, or other persons who had the power to elect or appoint one or more members of the governing body?	7a	No
b	Are any governance decisions of the organization reserved to (or subject to approval by) members, stockholders, or persons other than the governing body?	7b	No
8	Did the organization contemporaneously document the meetings held or written actions undertaken during the year by the following		
a	The governing body?	8a	Yes
b	Each committee with authority to act on behalf of the governing body?	8b	Yes
9	Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at the organization's mailing address? If "Yes," provide the names and addresses in Schedule O	9	No

Section B. Policies (This Section B requests information about policies not required by the Internal Revenue Code.)

		Yes	No
10a	Did the organization have local chapters, branches, or affiliates?	10a	No
b	If "Yes," did the organization have written policies and procedures governing the activities of such chapters, affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes?	10b	
11a	Has the organization provided a complete copy of this Form 990 to all members of its governing body before filing the form?	11a	Yes
b	Describe in Schedule O the process, if any, used by the organization to review this Form 990		
12a	Did the organization have a written conflict of interest policy? If "No," go to line 13	12a	Yes
b	Were officers, directors, or trustees, and key employees required to disclose annually interests that could give rise to conflicts?	12b	Yes
c	Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," describe in Schedule O how this was done	12c	Yes
13	Did the organization have a written whistleblower policy?	13	No
14	Did the organization have a written document retention and destruction policy?	14	Yes
15	Did the process for determining compensation of the following persons include a review and approval by independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision?		
a	The organization's CEO, Executive Director, or top management official	15a	No
b	Other officers or key employees of the organization	15b	No
If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions)			
16a	Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement with a taxable entity during the year?	16a	No
b	If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the organization's exempt status with respect to such arrangements?	16b	

Section C. Disclosure

17	List the States with which a copy of this Form 990 is required to be filed▶IL
18	Section 6104 requires an organization to make its Form 1023 (or 1024 if applicable), 990, and 990-T (501(c)(3)s only) available for public inspection. Indicate how you made these available. Check all that apply. ☐ Own website ☐ Another's website ☒ Upon request ☐ Other (explain in Schedule O)
19	Describe in Schedule O whether (and if so, how) the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year
20	State the name, physical address, and telephone number of the person who possesses the books and records of the organization ▶MARY BETH KURZAK 303 W MADISON SUITE 925 CHICAGO, IL 60606 (312) 368-1494

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response or note to any line in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- 1a Complete this table for all persons required to be listed Report compensation for the calendar year ending with or within the organization's tax year
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation Enter -0- in columns (D), (E), and (F) if no compensation was paid

List all of the organization's **current** key employees, if any See instructions for definition of "key employee "

List the organization's five **current** highest compensated employees (other than an officer, director, trustee or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations

List all of the organization's **former** officers, key employees, or highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations

List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations

List persons in the following order individual trustees or directors, institutional trustees, officers, key employees, highest compensated employees, and former such persons

☒ Check this box if neither the organization nor any related organization compensated any current officer, director, or trustee

(A) Name and Title	(B) Average hours per week (list any hours for related organizations below dotted line)	(C) Position (do not check more than one box, unless person is both an officer and a director/trustee)						(D) Reportable compensation from the organization (W- 2/1099-MISC)	(E) Reportable compensation from related organizations (W- 2/1099-MISC)	(F) Estimated amount of other compensation from the organization and related organizations
		Individual trustee or director	Institutional Trustee	Officer	Key employee	Highest compensated employee	Former			
(1) Aldos Vance director	1 0	X								
(2) MC Sungaila director	1 0	X								
(3) Basil A DiSipio Secretary/Treasurer	1 0	X		X						
(4) R BRUCE BARZE JR director	1 0	X								
(5) Wendy D May director	1 0	X								
(6) Archibald T Reeves director	1 0	X								
(7) Mark R Beebe director	1 0	X								
(8) AMY SHERRY FISCHER PRESIDENT	2 0	X		X						
(9) DAVID J ROSENBERG VICE PRESIDENT	1 0	X		X						
(10) LAURA DE SANTOS director	1 0	X								
(11) S Gordon McKee director	1 0	X								
(12) Donna M Lamontagne director	1 0	X								
(13) David Kash president	2 0	X		X						
(14) scott tucker director	1 0	X								

Part VII

[illegible]

2 Total number of individuals (including but not limited to those listed above) who received more than \$100,000 of reportable compensation from the organization 0

		Yes	No
3	Did the organization list any former officer, director or trustee, key employee, or highest compensated employee on line 1a? <i>If "Yes," complete Schedule J for such individual</i>	3	No
4	For any individual listed on line 1a, is the sum of reportable compensation and other compensation from the organization and related organizations greater than \$150,000? <i>If "Yes," complete Schedule J for such individual</i>	4	No
5	Did any person listed on line 1a receive or accrue compensation from any unrelated organization or individual for services rendered to the organization? <i>If "Yes," complete Schedule J for such person</i>	5	No

Section B. Independent Contractors

1 Complete this table for your five highest compensated independent contractors that received more than \$100,000 of compensation from the organization. Report compensation for the calendar year ending with or within the organization's tax year.

(A) Name and business address	(B) Description of services	(C) Compensation

2 Total number of independent contractors (including but not limited to those listed above) who received more than \$100,000 of compensation from the organization ►0

Part VIII

Statement of Revenue

Check if Schedule O contains a response or note to any line in this Part VIII

			(A) Total revenue	(B) Related or exempt function revenue	(C) Unrelated business revenue	(D) Revenue excluded from tax under sections 512-514
Contributions, Gifts, Grants and Other Similar Amounts	1a	Federated campaigns	1a			
	b	Membership dues	1b			
	c	Fundraising events	1c	618		
	d	Related organizations	1d			
	e	Government grants (contributions)	1e			
	f	All other contributions, gifts, grants, and similar amounts not included above	1f	32,030		
	g	Noncash contributions included in lines 1a-1f \$		6,000		
	h	Total. Add lines 1a-1f		32,648		
Program Service Revenue	2a		Business Code			
	b					
	c					
	d					
	e					
	f	All other program service revenue				
	g	Total. Add lines 2a-2f		0		
	Other Revenue	3	Investment income (including dividends, interest, and other similar amounts)	65,348		
4		Income from investment of tax-exempt bond proceeds	0			
5		Royalties	0			
6a		Gross rents	(i) Real	(ii) Personal		
b		Less rental expenses				
c		Rental income or (loss)	0	0		
d		Net rental income or (loss)			0	
7a		Gross amount from sales of assets other than inventory	(i) Securities	(ii) Other		
b		Less cost or other basis and sales expenses	591,037			
c		Gain or (loss)	528,753			
d		Net gain or (loss)	62,284			
8a		Gross income from fundraising events (not including \$ _____ of contributions reported on line 1c) See Part IV, line 18	a	21,522		
b		Less direct expenses	b	1,261		
c		Net income or (loss) from fundraising events		20,261		
9a		Gross income from gaming activities See Part IV, line 19	a	54,805		
b		Less direct expenses	b	486		
c		Net income or (loss) from gaming activities		54,319		
10a		Gross sales of inventory, less returns and allowances	a	160		
b		Less cost of goods sold	b			
c		Net income or (loss) from sales of inventory		160	160	
	Miscellaneous Revenue	Business Code				
11a						
b						
c						
d	All other revenue					
e	Total. Add lines 11a-11d		0			
12	Total revenue. See Instructions		235,020	160		65,348

Part IX

Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A).

Check if Schedule O contains a response or note to any line in this Part IX

Do not include amounts reported on lines 6b, 7b, 8b, 9b, and 10b of Part VIII.		(A) Total expenses	(B) Program service expenses	(C) Management and general expenses	(D) Fundraising expenses
1	Grants and other assistance to governments and organizations in the United States. See Part IV, line 21.	0			
2	Grants and other assistance to individuals in the United States. See Part IV, line 22.	0			
3	Grants and other assistance to governments, organizations, and individuals outside the United States. See Part IV, lines 15 and 16.	0			
4	Benefits paid to or for members.	0			
5	Compensation of current officers, directors, trustees, and key employees.	0			
6	Compensation not included above, to disqualified persons (as defined under section 4958(f)(1)) and persons described in section 4958(c)(3)(B).	0			
7	Other salaries and wages.	0			
8	Pension plan accruals and contributions (include section 401(k) and 403(b) employer contributions).	0			
9	Other employee benefits.	0			
10	Payroll taxes.	0			
11	Fees for services (non-employees):				
a	Management.	0			
b	Legal.	0			
c	Accounting.	5,000		5,000	0
d	Lobbying.	0			
e	Professional fundraising services. See Part IV, line 17.	0			
f	Investment management fees.	12,107		12,107	0
g	Other (If line 11g amount exceeds 10% of line 25, column (A) amount, list line 11g expenses on Schedule O).	0			
12	Advertising and promotion.	0			
13	Office expenses.	45,265	44,700	565	0
14	Information technology.	10,000	10,000		0
15	Royalties.	0			
16	Occupancy.	0			
17	Travel.	0			
18	Payments of travel or entertainment expenses for any federal, state, or local public officials.	0			
19	Conferences, conventions, and meetings.	50,519	50,519		0
20	Interest.	0			
21	Payments to affiliates.	0			
22	Depreciation, depletion, and amortization.	0			
23	Insurance.	0			
24	Other expenses. Itemize expenses not covered above (List miscellaneous expenses in line 24e. If line 24e amount exceeds 10% of line 25, column (A) amount, list line 24e expenses on Schedule O).				
a	MANAGEMENT FEES TO IADC	35,000	0	35,000	0
b					
c					
d					
e	All other expenses.				
25	Total functional expenses. Add lines 1 through 24e.	157,891	105,219	52,672	0
26	Joint costs. Complete this line only if the organization reported in column (B) joint costs from a combined educational campaign and fundraising solicitation. Check here ☐ if following SOP 98-2 (ASC 958-720).				

Part X

Balance Sheet

Check if Schedule O contains a response or note to any line in this Part X

				(A) Beginning of year		(B) End of year
Assets	1	Cash—non-interest-bearing		0	1	0
	2	Savings and temporary cash investments		10,517	2	21,037
	3	Pledges and grants receivable, net		0	3	0
	4	Accounts receivable, net		1,035	4	6,575
	5	Loans and other receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L.		0	5	0
	6	Loans and other receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions). Complete Part II of Schedule L.		0	6	0
	7	Notes and loans receivable, net		0	7	0
	8	Inventories for sale or use		0	8	0
	9	Prepaid expenses and deferred charges		22,500	9	18,049
	10a	Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D.	10a			
	b	Less: accumulated depreciation	10b	0	10c	
	11	Investments—publicly traded securities		1,821,427	11	1,869,389
	12	Investments—other securities. See Part IV, line 11.		0	12	0
	13	Investments—program-related. See Part IV, line 11.		0	13	0
	14	Intangible assets		0	14	0
	15	Other assets. See Part IV, line 11.		0	15	0
	16	Total assets. Add lines 1 through 15 (must equal line 34).		1,855,479	16	1,915,050
Liabilities	17	Accounts payable and accrued expenses		0	17	0
	18	Grants payable		0	18	0
	19	Deferred revenue		0	19	0
	20	Tax-exempt bond liabilities		0	20	0
	21	Escrow or custodial account liability. Complete Part IV of Schedule D.		0	21	0
	22	Loans and other payables to current and former officers, directors, trustees, key employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L.		0	22	0
	23	Secured mortgages and notes payable to unrelated third parties		0	23	0
	24	Unsecured notes and loans payable to unrelated third parties		0	24	0
	25	Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17–24). Complete Part X of Schedule D.		0	25	0
	26	Total liabilities. Add lines 17 through 25.		0	26	0
Net Assets or Fund Balances	Organizations that follow SFAS 117 (ASC 958), check here ☒ and complete lines 27 through 29, and lines 33 and 34.					
	27	Unrestricted net assets		1,855,479	27	1,915,050
	28	Temporarily restricted net assets		0	28	0
	29	Permanently restricted net assets		0	29	0
	Organizations that do not follow SFAS 117 (ASC 958), check here ☐ and complete lines 30 through 34.					
	30	Capital stock or trust principal, or current funds			30	
	31	Paid-in or capital surplus, or land, building or equipment fund			31	
	32	Retained earnings, endowment, accumulated income, or other funds			32	
	33	Total net assets or fund balances		1,855,479	33	1,915,050
	34	Total liabilities and net assets/fund balances		1,855,479	34	1,915,050

Part XI Reconciliation of Net Assets

Check if Schedule O contains a response or note to any line in this Part XI

1	Total revenue (must equal Part VIII, column (A), line 12)	1	235,020
2	Total expenses (must equal Part IX, column (A), line 25)	2	157,891
3	Revenue less expenses Subtract line 2 from line 1	3	77,129
4	Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A))	4	1,855,479
5	Net unrealized gains (losses) on investments	5	-17,558
6	Donated services and use of facilities	6	
7	Investment expenses	7	
8	Prior period adjustments	8	
9	Other changes in net assets or fund balances (explain in Schedule O)	9	
10	Net assets or fund balances at end of year Combine lines 3 through 9 (must equal Part X, line 33, column (B))	10	1,915,050

Part XII Financial Statements and Reporting

Check if Schedule O contains a response or note to any line in this Part XII

		Yes	No
1	Accounting method used to prepare the Form 990 ☐ Cash ☒ Accrual ☐ Other If the organization changed its method of accounting from a prior year or checked "Other," explain in Schedule O		
2a	Were the organization's financial statements compiled or reviewed by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were compiled or reviewed on a separate basis, consolidated basis, or both ☐ Separate basis ☐ Consolidated basis ☐ Both consolidated and separate basis		No
2b	Were the organization's financial statements audited by an independent accountant? If "Yes," check a box below to indicate whether the financial statements for the year were audited on a separate basis, consolidated basis, or both ☐ Separate basis ☒ Consolidated basis ☐ Both consolidated and separate basis	Yes	
2c	If "Yes," to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight of the audit, review, or compilation of its financial statements and selection of an independent accountant? If the organization changed either its oversight process or selection process during the tax year, explain in Schedule O	Yes	
3a	As a result of a federal award, was the organization required to undergo an audit or audits as set forth in the Single Audit Act and OMB Circular A-133?		No
3b	If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits		

SCHEDULE A
(Form 990 or 990EZ)

Department of the
Treasury
Internal Revenue Service

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

▶ Information about Schedule A (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization Foundation of the International Association of Defense Counsel	Employer identification number 36-3730414
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Part I Reason for Public Charity Status (All organizations must complete this part.) See instructions.

The organization is not a private foundation because it is (For lines 1 through 11, check only one box)

- 1

☐

A church, convention of churches, or association of churches described in **section 170(b)(1)(A)(i).**
- 2

☐

A school described in **section 170(b)(1)(A)(ii).** (Attach Schedule E)
- 3

☐

A hospital or a cooperative hospital service organization described in **section 170(b)(1)(A)(iii).**
- 4

☐

A medical research organization operated in conjunction with a hospital described in **section 170(b)(1)(A)(iii).** Enter the hospital's name, city, and state _____
- 5

☐

An organization operated for the benefit of a college or university owned or operated by a governmental unit described in **section 170(b)(1)(A)(iv).** (Complete Part II)
- 6

☐

A federal, state, or local government or governmental unit described in **section 170(b)(1)(A)(v).**
- 7

☐

An organization that normally receives a substantial part of its support from a governmental unit or from the general public described in **section 170(b)(1)(A)(vi).** (Complete Part II)
- 8

☐

A community trust described in **section 170(b)(1)(A)(vi)** (Complete Part II)
- 9

☐

An organization that normally receives (1) more than 33¹/₃% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33¹/₃% of its support from gross investment income and unrelated business taxable income (less section 511 tax) from businesses acquired by the organization after June 30, 1975 See **section 509(a)(2).** (Complete Part III)
- 10

☐

An organization organized and operated exclusively to test for public safety See **section 509(a)(4).**
- 11

☒

An organization organized and operated exclusively for the benefit of, to perform the functions of, or to carry out the purposes of one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2) See **section 509(a)(3).** Check the box that describes the type of supporting organization and complete lines 11e through 11h

a

☒

Type I

b

☐

Type II

c

☐

Type III - Functionally integrated

d

☐

Type III - Non-functionally integrated
- e

☒

By checking this box, I certify that the organization is not controlled directly or indirectly by one or more disqualified persons other than foundation managers and other than one or more publicly supported organizations described in section 509(a)(1) or section 509(a)(2)
- f

☐

If the organization received a written determination from the IRS that it is a Type I, Type II, or Type III supporting organization, check this box
- g

☐

Since August 17, 2006, has the organization accepted any gift or contribution from any of the following persons?

(i)

A person who directly or indirectly controls, either alone or together with persons described in (ii) and (iii) below, the governing body of the supported organization?

(ii)

A family member of a person described in (i) above?

(iii)

A 35% controlled entity of a person described in (i) or (ii) above?
- h

☐

Provide the following information about the supported organization(s)

	Yes	No
11g(i)		No
11g(ii)		No
11g(iii)		No

(i) Name of supported organization	(ii) EIN	(iii) Type of organization (described on lines 1- 9 above or IRC section (see instructions))	(iv) Is the organization in col (i) listed in your governing document?		(v) Did you notify the organization in col (i) of your support?		(vi) Is the organization in col (i) organized in the U S ?		(vii) Amount of monetary support
			Yes	No	Yes	No	Yes	No	
(A) INTERNATIONAL ASSOCIATION OF DEFENSE COUNSEL	390887316	09	Yes		Yes		Yes		35,000
Total									35,000

Part II

Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)
(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
3 The value of services or facilities furnished by a governmental unit to the organization without charge						
4 Total. Add lines 1 through 3						
5 The portion of total contributions by each person (other than a governmental unit or publicly supported organization) included on line 1 that exceeds 2% of the amount shown on line 11, column (f)						
6 Public support. Subtract line 5 from line 4						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
7 Amounts from line 4						
8 Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
9 Net income from unrelated business activities, whether or not the business is regularly carried on						
10 Other income Do not include gain or loss from the sale of capital assets (Explain in Part IV)						
11 Total support (Add lines 7 through 10)						
12 Gross receipts from related activities, etc (see instructions)					12	
13 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage						
14 Public support percentage for 2013 (line 6, column (f) divided by line 11, column (f))		14				
15 Public support percentage for 2012 Schedule A, Part II, line 14		15				
16a 33 1/3% support test—2013. If the organization did not check the box on line 13, and line 14 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
b 33 1/3% support test—2012. If the organization did not check a box on line 13 or 16a, and line 15 is 33 1/3% or more, check this box and stop here. The organization qualifies as a publicly supported organization						▶
17a 10%-facts-and-circumstances test—2013. If the organization did not check a box on line 13, 16a, or 16b, and line 14 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
b 10%-facts-and-circumstances test—2012. If the organization did not check a box on line 13, 16a, 16b, or 17a, and line 15 is 10% or more, and if the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test The organization qualifies as a publicly supported organization						▶
18 Private foundation. If the organization did not check a box on line 13, 16a, 16b, 17a, or 17b, check this box and see instructions						▶

Part IIISupport Schedule for Organizations Described in Section 509(a)(2)
(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

Section A. Public Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
1 Gifts, grants, contributions, and membership fees received (Do not include any "unusual grants.")						
2 Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's tax-exempt purpose						
3 Gross receipts from activities that are not an unrelated trade or business under section 513						
4 Tax revenues levied for the organization's benefit and either paid to or expended on its behalf						
5 The value of services or facilities furnished by a governmental unit to the organization without charge						
6 Total. Add lines 1 through 5						
7a Amounts included on lines 1, 2, and 3 received from disqualified persons						
b Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year						
c Add lines 7a and 7b						
8 Public support (Subtract line 7c from line 6.)						

Section B. Total Support						
Calendar year (or fiscal year beginning in) ▶	(a) 2009	(b) 2010	(c) 2011	(d) 2012	(e) 2013	(f) Total
9 Amounts from line 6						
10a Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources						
b Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975						
c Add lines 10a and 10b						
11 Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on						
12 Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.)						
13 Total support. (Add lines 9, 10c, 11, and 12.)						
14 First five years. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a 501(c)(3) organization, check this box and stop here ▶						

Section C. Computation of Public Support Percentage		
15 Public support percentage for 2013 (line 8, column (f) divided by line 13, column (f))	15	
16 Public support percentage from 2012 Schedule A, Part III, line 15	16	

Section D. Computation of Investment Income Percentage		
17 Investment income percentage for 2013 (line 10c, column (f) divided by line 13, column (f))	17	
18 Investment income percentage from 2012 Schedule A, Part III, line 17	18	
19a 33 1/3% support tests—2013. If the organization did not check the box on line 14, and line 15 is more than 33 1/3%, and line 17 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
b 33 1/3% support tests—2012. If the organization did not check a box on line 14 or line 19a, and line 16 is more than 33 1/3% and line 18 is not more than 33 1/3%, check this box and stop here. The organization qualifies as a publicly supported organization ▶		
20 Private foundation. If the organization did not check a box on line 14, 19a, or 19b, check this box and see instructions ▶		

Part IV **Supplemental Information.** Provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions).

Facts And Circumstances Test

Return Reference	Explanation	
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SCHEDULE D
(Form 990)

Supplemental Financial Statements

OMB No 1545-0047

2013

Open to Public Inspection

Department of the Treasury
Internal Revenue Service

▶ Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b

▶ Attach to Form 990. ▶ See separate instructions. ▶ Information about Schedule D (Form 990) and its instructions is at www.irs.gov/form990.

Name of the organization Foundation of the International Association of Defense Counsel	Employer identification number 36-3730414
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Part I Organizations Maintaining Donor Advised Funds or Other Similar Funds or Accounts. Complete if the organization answered "Yes" to Form 990, Part IV, line 6.

	(a) Donor advised funds	(b) Funds and other accounts
1	Total number at end of year	
2	Aggregate contributions to (during year)	
3	Aggregate grants from (during year)	
4	Aggregate value at end of year	
5	Did the organization inform all donors and donor advisors in writing that the assets held in donor advised funds are the organization's property, subject to the organization's exclusive legal control? ☐ Yes ☐ No	
6	Did the organization inform all grantees, donors, and donor advisors in writing that grant funds can be used only for charitable purposes and not for the benefit of the donor or donor advisor, or for any other purpose conferring impermissible private benefit? ☐ Yes ☐ No	

Part II Conservation Easements. Complete if the organization answered "Yes" to Form 990, Part IV, line 7.

1 Purpose(s) of conservation easements held by the organization (check all that apply)

☐ Preservation of land for public use (e g , recreation or education) ☐ Preservation of an historically important land area

☐ Protection of natural habitat ☐ Preservation of a certified historic structure

☐ Preservation of open space

2 Complete lines 2a through 2d if the organization held a qualified conservation contribution in the form of a conservation easement on the last day of the tax year

	Held at the End of the Year
a Total number of conservation easements	2a
b Total acreage restricted by conservation easements	2b
c Number of conservation easements on a certified historic structure included in (a)	2c
d Number of conservation easements included in (c) acquired after 8/17/06, and not on a historic structure listed in the National Register	2d

3 Number of conservation easements modified, transferred, released, extinguished, or terminated by the organization during the tax year ▶ _____

4 Number of states where property subject to conservation easement is located ▶ _____

5 Does the organization have a written policy regarding the periodic monitoring, inspection, handling of violations, and enforcement of the conservation easements it holds?

☐ Yes ☐ No

6 Staff and volunteer hours devoted to monitoring, inspecting, and enforcing conservation easements during the year ▶ _____

7 Amount of expenses incurred in monitoring, inspecting, and enforcing conservation easements during the year ▶ \$ _____

8 Does each conservation easement reported on line 2(d) above satisfy the requirements of section 170(h)(4)(B)(i) and section 170(h)(4)(B)(ii)?

☐ Yes ☐ No

9 In Part XIII, describe how the organization reports conservation easements in its revenue and expense statement, and balance sheet, and include, if applicable, the text of the footnote to the organization's financial statements that describes the organization's accounting for conservation easements

Part III Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets. Complete if the organization answered "Yes" to Form 990, Part IV, line 8.

1a If the organization elected, as permitted under SFAS 116 (ASC 958), not to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide, in Part XIII, the text of the footnote to its financial statements that describes these items

b If the organization elected, as permitted under SFAS 116 (ASC 958), to report in its revenue statement and balance sheet works of art, historical treasures, or other similar assets held for public exhibition, education, or research in furtherance of public service, provide the following amounts relating to these items

(i) Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

(ii) Assets included in Form 990, Part X ▶ \$ _____

2 If the organization received or held works of art, historical treasures, or other similar assets for financial gain, provide the following amounts required to be reported under SFAS 116 (ASC 958) relating to these items

a Revenues included in Form 990, Part VIII, line 1 ▶ \$ _____

b Assets included in Form 990, Part X ▶ \$ _____

Part III

Organizations Maintaining Collections of Art, Historical Treasures, or Other Similar Assets (continued)

- 3

Using the organization's acquisition, accession, and other records, check any of the following that are a significant use of its collection items (check all that apply)

a

☐ Public exhibition

b

☐ Scholarly research

c

☐ Preservation for future generations

d

☐ Loan or exchange programs

e

☐ Other
- 4

Provide a description of the organization's collections and explain how they further the organization's exempt purpose in Part XIII
- 5

During the year, did the organization solicit or receive donations of art, historical treasures or other similar assets to be sold to raise funds rather than to be maintained as part of the organization's collection?

☐ Yes

☐ No

Part IV

Escrow and Custodial Arrangements. Complete if the organization answered "Yes" to Form 990, Part IV, line 9, or reported an amount on Form 990, Part X, line 21.

- 1a

Is the organization an agent, trustee, custodian or other intermediary for contributions or other assets not included on Form 990, Part X?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII and complete the following table

	Amount
1c	
1d	
1e	
1f	
- 2a

Did the organization include an amount on Form 990, Part X, line 21?

☐ Yes

☐ No
- b

If "Yes," explain the arrangement in Part XIII Check here if the explanation has been provided in Part XIII

☐

Part V

Endowment Funds. Complete if the organization answered "Yes" to Form 990, Part IV, line 10.

	(a)Current year	(b)Prior year	b (c)Two years back	(d)Three years back	(e)Four years back
1a Beginning of year balance					
b Contributions					
c Net investment earnings, gains, and losses					
d Grants or scholarships					
e Other expenditures for facilities and programs					
f Administrative expenses					
g End of year balance					

- 2

Provide the estimated percentage of the current year end balance (line 1g, column (a)) held as

a

Board designated or quasi-endowment

☐

b

Permanent endowment

☐

c

Temporarily restricted endowment

☐

The percentages in lines 2a, 2b, and 2c should equal 100%
- 3a

Are there endowment funds not in the possession of the organization that are held and administered for the organization by

(i) unrelated organizations

3a(i)

Yes

No

(ii) related organizations

3a(ii)

Yes

No

b

If "Yes" to 3a(ii), are the related organizations listed as required on Schedule R?

3b

Yes

No

4

Describe in Part XIII the intended uses of the organization's endowment funds
- Part VI

Land, Buildings, and Equipment. Complete if the organization answered 'Yes' to Form 990, Part IV, line 11a. See Form 990, Part X, line 10.
- | Description of property | (a) Cost or other basis (investment) | (b)Cost or other basis (other) | (c) Accumulated depreciation | (d) Book value |
|--|--------------------------------------|--------------------------------|------------------------------|----------------|
| 1a Land | | | | |
| b Buildings | | | | |
| c Leasehold improvements | | | | |
| d Equipment | | | | |
| e Other | | | | |
| Total. Add lines 1a through 1e (Column (d) must equal Form 990, Part X, column (B), line 10(c).) | | | | |
- Schedule D (Form 990) 2013

Part XI

Reconciliation of Revenue per Audited Financial Statements With Revenue per Return

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total revenue, gains, and other support per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part VIII, line 12			
a	Net unrealized gains on investments	2a		
b	Donated services and use of facilities	2b		
c	Recoveries of prior year grants	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part VIII, line 12, but not on line 1			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total revenue Add lines 3 and 4c. (This must equal Form 990, Part I, line 12)		5	

Part XII

Reconciliation of Expenses per Audited Financial Statements With Expenses per Return.

Complete if the organization answered 'Yes' to Form 990, Part IV, line 12a.

1	Total expenses and losses per audited financial statements		1	
2	Amounts included on line 1 but not on Form 990, Part IX, line 25			
a	Donated services and use of facilities	2a		
b	Prior year adjustments	2b		
c	Other losses	2c		
d	Other (Describe in Part XIII)	2d		
e	Add lines 2a through 2d		2e	
3	Subtract line 2e from line 1		3	
4	Amounts included on Form 990, Part IX, line 25, but not on line 1:			
a	Investment expenses not included on Form 990, Part VIII, line 7b	4a		
b	Other (Describe in Part XIII)	4b		
c	Add lines 4a and 4b		4c	
5	Total expenses Add lines 3 and 4c. (This must equal Form 990, Part I, line 18)		5	

Part XIII

Supplemental Information

Provide the descriptions required for Part II, lines 3, 5, and 9, Part III, lines 1a and 4, Part IV, lines 1b and 2b, Part V, line 4, Part X, line 2, Part XI, lines 2d and 4b, and Part XII, lines 2d and 4b. Also complete this part to provide any additional information.

Return Reference	Explanation
Sch D, Part X, Line 2	THE IADC AND ITS FOUNDATION ARE EXEMPT FROM INCOME TAXES UNDER THE PROVISIONS OF INTERNAL REVENUE CODE SECTIONS 501(C)(6) (IADC) AND 501(C)(3) (FOUNDATION). The foundation of the IADC IS SUBJECT TO TAX ON UNRELATED BUSINESS INCOME. ACCOUNTING PRINCIPLES GENERALLY ACCEPTED IN THE UNITED STATES OF AMERICA REQUIRES MANAGEMENT TO EVALUATE TAX POSITIONS TAKEN BY THE IADC AND FOUNDATION AND RECOGNIZE A TAX LIABILITY IF THE IADC OR FOUNDATION HAVE TAKEN AN UNCERTAIN POSITION THAT MORE LIKELY THAN NOT WOULD BE SUSTAINED UPON EXAMINATION BY THE INTERNAL REVENUE SERVICE OR OTHER APPLICABLE AUTHORITIES. MANAGEMENT HAS ANALYZED THE TAX POSITIONS TAKEN BY IADC AND FOUNDATION, AND HAS CONCLUDED THAT AS OF OCTOBER 31, 2014 AND 2013, THERE ARE NO UNCERTAIN POSITIONS TAKEN OR EXPECTED TO BE TAKEN THAT WOULD REQUIRE RECOGNITION OF A LIABILITY OR DISCLOSURE IN THE FINANCIAL STATEMENTS. THE IADC AND FOUNDATION ARE SUBJECT TO ROUTINE AUDITS BY TAXING AUTHORITIES, HOWEVER, THERE ARE CURRENTLY NO AUDITS FOR ANY TAX PERIODS IN PROGRESS. MANAGEMENT BELIEVES IT IS NO LONGER SUBJECT TO INCOME TAX EXAMINATIONS FOR YEARS PRIOR TO 2011.

[illegible]

SCHEDULE G
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information Regarding
Fundraising or Gaming Activities

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.
▶ Attach to Form 990 or Form 990-EZ. ▶ See separate instructions.

▶ Information about Schedule G (Form 990 or 990-EZ) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public
Inspection

Name of the organization Foundation of the International Association of Defense Counsel	Employer identification number 36-3730414
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Part I Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17.
Form 990-EZ filers are not required to complete this part.

- 1

Indicate whether the organization raised funds through any of the following activities. Check all that apply.
- a

☐ Mail solicitations
- e

☐ Solicitation of non-government grants
- b

☐ Internet and email solicitations
- f

☐ Solicitation of government grants
- c

☐ Phone solicitations
- g

☐ Special fundraising events
- d

☐ In-person solicitations
- 2a

Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services?
- ☐ Yes

☐ No
- b

If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization.

(i) Name and address of individual or entity (fundraiser)	(ii) Activity	(iii) Did fundraiser have custody or control of contributions?		(iv) Gross receipts from activity	(v) Amount paid to (or retained by) fundraiser listed in col (i)	(vi) Amount paid to (or retained by) organization
		Yes	No			
1						
2						
3						
4						
5						
6						
7						
8						
9						
10						
Total ▶						

- 3

List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

IL
.....
.....

Part II Fundraising Events. Complete if the organization answered "Yes" to Form 990, Part IV, line 18, or reported more than \$15,000 of fundraising event contributions and gross income on Form 990-EZ, lines 1 and 6b. List events with gross receipts greater than \$5,000.

		(a) Event #1	(b) Event #2	(c) Other events	(d) Total events
		SILENT AUCTION (event type)	(event type)	0 (total number)	(add col (a) through col (c))
Revenue	1	Gross receipts	27,522	0	27,522
	2	Less Contributions . . .	618	0	618
	3	Gross income (line 1 minus line 2)	26,904	0	26,904
Direct Expenses	4	Cash prizes		0	0
	5	Noncash prizes . . .	6,000	0	6,000
	6	Rent/facility costs . . .		0	0
	7	Food and beverages .		0	0
	8	Entertainment		0	0
	9	Other direct expenses .	1,261	0	1,261
	10	Direct expense summary Add lines 4 through 9 in column (d) ▶			
	11	Net income summary Subtract line 10 from line 3, column (d) ▶			
					(7,261)
					19,643

Part III Gaming. Complete if the organization answered "Yes" to Form 990, Part IV, line 19, or reported more than \$15,000 on Form 990-EZ, line 6a.

Revenue		(a) Bingo	(b) Pull tabs/Instant bingo/progressive bingo	(c) Other gaming	(d) Total gaming (add col (a) through col (c))
	1 Gross revenue			54,805	54,805
Direct Expenses	2 Cash prizes				
	3 Non-cash prizes				
	4 Rent/facility costs				
	5 Other direct expenses			486	486
	6 Volunteer labor	☐ Yes _____ % ☐ No	☐ Yes _____ % ☐ No	☒ Yes100.000 % ☐ No	
	7 Direct expense summary Add lines 2 through 5 in column (d) ▶				486
	8 Net gaming income summary Subtract line 7 from line 1, column (d) ▶				54,319

9 Enter the state(s) in which the organization operates gaming activities IL

a Is the organization licensed to operate gaming activities in each of these states?

☒ Yes ☐ No

b If "No," explain

10a Were any of the organization's gaming licenses revoked, suspended or terminated during the tax year?

☐ Yes ☒ No

b If "Yes," explain

Does the organization operate gaming activities with nonmembers? ☐ Yes ☒ No

12 Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity formed to administer charitable gaming? ☐ Yes ☒ No

13 Indicate the percentage of gaming activity operated in					
a The organization's facility	<table><tr><td>13a</td><td>%</td></tr><tr><td>b An outside facility</td><td>100 000 %</td></tr></table>	13a	%	b An outside facility	100 000 %
13a	%				
b An outside facility	100 000 %				

14 Enter the name and address of the person who prepares the organization's gaming/special events books and records

Name  MARY BETH KURZAK

Address  303 W MADISON SUITE 925
CHICAGO, IL 60606

15a Does the organization have a contract with a third party from whom the organization receives gaming revenue? ☐ Yes ☒ No

b If "Yes," enter the amount of gaming revenue received by the organization  \$ _____ and the amount of gaming revenue retained by the third party  \$ _____

c If "Yes," enter name and address of the third party

Name  _____

Address  _____

16 Gaming manager information

Name  CAROLINE MAHIEU

Gaming manager compensation  \$ _____ 0

Description of services provided  RECORD KEEPING AND MONEY COUNTING

☐ Director/officer ☒ Employee ☐ Independent contractor

17 Mandatory distributions

a Is the organization required under state law to make charitable distributions from the gaming proceeds to retain the state gaming license? ☒ Yes ☐ No

b Enter the amount of distributions required under state law distributed to other exempt organizations or spent in the organization's own exempt activities during the tax year  \$ 54,319

Part IV **Supplemental Information.** Provide the explanations required by Part I, line 2b, columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Also complete this part to provide any additional information (see instructions).

Return Reference	Explanation
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SCHEDULE O
(Form 990 or 990-EZ)

Department of the Treasury
Internal Revenue Service

Supplemental Information to Form 990 or 990-EZ

**Complete to provide information for responses to specific questions on
Form 990 or to provide any additional information.**

▶ Attach to Form 990 or 990-EZ.

**▶ Information about Schedule O (Form 990 or 990-EZ) and its instructions is at
www.irs.gov/form990.**

2013

**Open to Public
Inspection**

Name of the organization
Foundation of the International Association
of Defense Counsel

Employer identification number

36-3730414

990 Schedule O, Supplemental Information

Return Reference	Explanation
PART VI, SECTION B, LINE 11B	THE BOARD OF DIRECTORS REVIEW OF THE ANNUAL FORM 990 HAS BEEN DELEGATED TO THE SECRETARY / TREASURER OF THE BOARD
PART VI, SECTION B, LINE 12C	INCOMING BOARD DIRECTORS ARE REQUIRED TO READ AND SIGN THE BOARD'S CONFLICT OF INTEREST STATEMENT. ADDITIONALLY, BOARD MEMBERS ARE REQUIRED TO DISCLOSE TO THE BOARD ANY CONFLICTS OF INTERESTS ARISING DURING THEIR TERM. ALL EMPLOYEES, INCLUDING KEY EMPLOYEES, ARE REQUIRED TO READ AND SIGN THE EMPLOYEE HANDBOOK AND CONFLICT OF INTEREST STATEMENT AT THE INCEPTION OF THEIR EMPLOYMENT. THERE IS NO CURRENT POLICY IN EFFECT OR PROCEDURE DEFINED FOR AN ANNUAL UPDATE.
PART VI, SECTION C, LINE 19	THESE ITEMS ARE AVAILABLE UPON REQUEST
part xi, line 5	Unrealized Loss on investments -\$17,558
part VI, section a, line 6	The foundation shall have one class of members consisting of those individuals who serve from time to time as members of the board of directors of the IADC & IADC Foundation

SCHEDULE R
(Form 990)

Department of the Treasury
Internal Revenue Service

Related Organizations and Unrelated Partnerships

▶ Complete if the organization answered "Yes" on Form 990, Part IV, line 33, 34, 35b, 36, or 37.
▶ Attach to Form 990. ▶ See separate instructions.
▶ Information about Schedule R (Form 990) and its instructions is at www.irs.gov/form990.

OMB No 1545-0047

2013

Open to Public Inspection

Name of the organization
Foundation of the International Association
of Defense Counsel

Employer identification number

36-3730414

Part I Identification of Disregarded Entities

Complete if the organization answered "Yes" on Form 990, Part IV, line 33.

(a) Name, address, and EIN (if applicable) of disregarded entity	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Total income	(e) End-of-year assets	(f) Direct controlling entity

Part II Identification of Related Tax-Exempt Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related tax-exempt organizations during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Exempt Code section	(e) Public charity status (if section 501(c)(3))	(f) Direct controlling entity	(g) Section 512(b)(13) controlled entity?	
						Yes	No
(1) international assoc of defense counsel 303 w madison suite 925 chicago, IL 60606 39-0887316	bus league	IL	501(c)(6)		na		No

Part III

Identification of Related Organizations Taxable as a Partnership

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a partnership during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Predominant income(related, unrelated, excluded from tax under sections 512- 514)	(f) Share of total income	(g) Share of end-of-year assets	(h) Disproportionate allocations?		(i) Code V-UBI amount in box 20 of Schedule K-1 (Form 1065)	(j) General or managing partner?		(k) Percentage ownership
							Yes	No		Yes	No	

Part IV

Identification of Related Organizations Taxable as a Corporation or Trust

Complete if the organization answered "Yes" on Form 990, Part IV, line 34 because it had one or more related organizations treated as a corporation or trust during the tax year.

(a) Name, address, and EIN of related organization	(b) Primary activity	(c) Legal domicile (state or foreign country)	(d) Direct controlling entity	(e) Type of entity (C corp, S corp, or trust)	(f) Share of total income	(g) Share of end- of-year assets	(h) Percentage ownership	(i) Section 512 (b)(13) controlled entity?	
								Yes	No

Part V

Transactions With Related Organizations

Complete if the organization answered "Yes" on Form 990, Part IV, line 34, 35b, or 36.

Note. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule

1 During the tax year, did the organization engage in any of the following transactions with one or more related organizations listed in Parts II-IV?

a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity

b Gift, grant, or capital contribution to related organization(s)

c Gift, grant, or capital contribution from related organization(s)

d Loans or loan guarantees to or for related organization(s)

e Loans or loan guarantees by related organization(s)

f Dividends from related organization(s)

g Sale of assets to related organization(s)

h Purchase of assets from related organization(s)

i Exchange of assets with related organization(s)

j Lease of facilities, equipment, or other assets to related organization(s)

k Lease of facilities, equipment, or other assets from related organization(s)

l Performance of services or membership or fundraising solicitations for related organization(s)

m Performance of services or membership or fundraising solicitations by related organization(s)

n Sharing of facilities, equipment, mailing lists, or other assets with related organization(s)

o Sharing of paid employees with related organization(s)

p Reimbursement paid to related organization(s) for expenses

q Reimbursement paid by related organization(s) for expenses

r Other transfer of cash or property to related organization(s)

s Other transfer of cash or property from related organization(s)

Yes

No

1a

1b

1c

1d

1e

1f

1g

1h

1i

1j

1k

1l

1m

1n

1o

1p

1q

1r

1s

No

No

No

No

No

No

No

No

No

No

No

No

No

No

Yes

No

No

No

2 If the answer to any of the above is "Yes," see the instructions for information on who must complete this line, including covered relationships and transaction thresholds

(a) Name of related organization	(b) Transaction type (a-s)	(c) Amount involved	(d) Method of determining amount involved
(1) international assoc of defense counsel	p	45,000	fmv

Schedule R (Form 990) 2013

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

[illegible]

Part VII

Supplemental Information

Provide additional information for responses to questions on Schedule R (see instructions)

Return Reference	Explanation
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SCHWAB

4787-8747 THE FOUNDATION OF THE INTERNAT

Charity Nonprof 303 W MADISON SUITE 925

CHICAGO IL

Total Known Realized Gain/(Loss) \$/%
\$62,284.25/11.78%

Total Known Short-Term Gain/(Loss)
(\$2,884.83)

Total Known Long-Term Gain/(Loss)
\$65,169.08

Total Known Proceeds
\$591,036.87

Total Known Cost Basis
\$528,752.62

Cost Basis - Realized Gain/(Loss) - Filtered by: From 11/01/2013 Through 10/31/2014

Results: 23

☐	RRRRX	DWS RREEF REAL ESTATE SEC INSTL CL	11/26/2013	Hide Lots	3,836.32000	\$80,087.36	\$47,579.07	(\$905.23)	\$33,413.52	\$32,508.29	68.32%
			11/26/2013	01/12/2009	2,659.90800	\$55,528.48	\$24,989.71		\$30,538.77	\$30,538.77	122.21%
			11/26/2013	03/24/2009	63.91400	\$1,334.27	\$480.63		\$853.64	\$853.64	177.61%
			11/26/2013	06/23/2009	43.92500	\$916.98	\$408.94		\$508.04	\$508.04	124.23%
			11/26/2013	09/23/2009	27.89600	\$582.36	\$364.88		\$217.48	\$217.48	59.60%
			11/26/2013	12/18/2009	73.94800	\$1,543.75	\$1,015.30		\$528.45	\$528.45	52.05%
			11/26/2013	03/24/2010	32.55400	\$679.60	\$499.06		\$180.54	\$180.54	36.18%
			11/26/2013	06/23/2010	28.36600	\$592.17	\$437.12		\$155.05	\$155.05	35.47%
			11/26/2013	09/23/2010	28.76500	\$600.50	\$464.26		\$136.24	\$136.24	29.35%
			11/26/2013	12/17/2010	28.91800	\$603.69	\$489.00		\$114.69	\$114.69	23.45%
			11/26/2013	03/24/2011	13.94200	\$291.05	\$254.16		\$36.89	\$36.89	14.51%
			11/26/2013	06/23/2011	15.47000	\$322.95	\$295.17		\$27.78	\$27.78	9.41%
			11/26/2013	09/23/2011	15.44800	\$322.49	\$257.67		\$64.82	\$64.82	25.16%
			11/26/2013	12/19/2011	19.94700	\$416.42	\$360.44		\$55.98	\$55.98	15.53%
			11/26/2013	03/23/2012	13.41000	\$279.95	\$274.10		\$5.85	\$5.85	2.13%
			11/26/2013	06/22/2012	16.26500	\$339.55	\$336.19		\$3.36	\$3.36	1.00%
			11/26/2013	09/21/2012	15.91100	\$332.16	\$346.22		(\$14.06)	(\$14.06)	(4.06%)
			11/26/2013	12/19/2012	24.70800	\$515.81	\$515.40	\$0.41		\$0.41	0.08%
			11/26/2013	12/19/2012	121.01200	\$2,526.26	\$2,524.32	\$1.94		\$1.94	0.08%
			11/26/2013	03/21/2013	16.35500	\$341.43	\$358.82	(\$17.39)		(\$17.39)	(4.85%)
			11/26/2013	05/31/2013	532.90400	\$11,124.95	\$12,000.00	(\$875.05)		(\$875.05)	(7.29%)

			11/26/2013	06/21/2013	4.34400	\$90.69	\$91.49	(\$0.80)	(\$0.80)	(0.87%)	
			11/26/2013	06/21/2013	19.74000	\$412.09	\$415.72	(\$3.63)	(\$3.63)	(0.87%)	
			11/26/2013	09/23/2013	18.67000	\$389.76	\$400.47	(\$10.71)	(\$10.71)	(2.67%)	
☐	PFORX	PIMCO FOREIGN BOND FUND INSTITUTIONAL CLASS	03/12/2014	Hide Lots	2,641.85400	\$28,200.00	\$24,537.25		\$3,662.75	\$3,662.75	14.93%
			03/12/2014	01/12/2009	2,357.49600	\$25,164.67	\$21,709.95		\$3,454.72	\$3,454.72	15.91%
			03/12/2014	01/30/2009	5.90600	\$63.04	\$54.28		\$8.76	\$8.76	16.14%
			03/12/2014	02/27/2009	10.05300	\$107.31	\$91.28		\$16.03	\$16.03	17.56%
			03/12/2014	03/31/2009	11.39900	\$121.68	\$103.16		\$18.52	\$18.52	17.95%
			03/12/2014	04/30/2009	11.36500	\$121.31	\$104.33		\$16.98	\$16.98	16.28%
			03/12/2014	05/29/2009	13.27900	\$141.74	\$125.09		\$16.65	\$16.65	13.31%
			03/12/2014	06/30/2009	12.85400	\$137.21	\$122.88		\$14.33	\$14.33	11.66%
			03/12/2014	07/31/2009	14.09800	\$150.49	\$138.44		\$12.05	\$12.05	8.70%
			03/12/2014	08/19/2009	196.70700	\$2,099.71	\$2,000.00		\$99.71	\$99.71	4.99%
			03/12/2014	08/31/2009	8.69700	\$92.84	\$87.84		\$5.00	\$5.00	5.69%
☐	PCRIX	PIMCO COMMODITY REAL RETURN STRAT INST	03/12/2014	Hide Lots	2,271.42900	\$13,500.00	\$33,549.88		(\$20,049.88)	(\$20,049.88)	(59.76%)
			03/12/2014	03/05/2008	1,395.84500	\$8,296.06	\$28,321.69		(\$20,025.63)	(\$20,025.63)	(70.71%) a
			03/12/2014	12/10/2008	101.75700	\$604.78	\$599.35		\$5.43	\$5.43	0.91%
			03/12/2014	12/10/2008	614.48900	\$3,652.15	\$3,619.34		\$32.81	\$32.81	0.91%
			03/12/2014	12/30/2008	43.06600	\$255.96	\$267.01		(\$11.05)	(\$11.05)	(4.14%)
			03/12/2014	01/12/2009	116.27200	\$691.05	\$742.49		(\$51.44)	(\$51.44)	(6.93%)
	DODFX	DODGE & COX INTL STOCK FUND	03/12/2014	06/27/2006	122.55000	\$5,300.00	\$4,906.75		\$393.25	\$393.25	8.01%
	ESICX	WELLS FARGO ADVTG INTL BD I	03/12/2014	11/08/2010	2,354.85300	\$25,700.00	\$28,818.43		(\$3,118.43)	(\$3,118.43)	(10.82%)
	IVV	ISHARES CORE S&P ETF S&P 500 INDEX	03/12/2014	01/24/2005	47.00000	\$8,837.76	\$5,334.33		\$3,503.43	\$3,503.43	65.68%
	OHYFX	JPMORGAN HIGH YIELD FD SLCT CL	03/12/2014	01/12/2009	4,511.74300	\$36,500.00	\$26,980.22		\$9,519.78	\$9,519.78	35.28%
	IWN	ISHARES TR RUSSELL 2000 VALUE ETF	03/12/2014	09/05/2013	36.00000	\$3,618.35	\$3,167.54	\$450.81		\$450.81	14.23%
	IWF	ISHARES TR RUSSELL 1000 GROWTH ETF	03/12/2014	02/25/2011	78.00000	\$6,843.23	\$4,703.17		\$2,140.06	\$2,140.06	45.50%

ACRN	COLUMBIA ACORN FUND CL Z	03/12/2014	05/12/2005	65.84100	\$2,500.00	\$1,741.71		\$758.29	\$758.29	43.54%
PELB	PIMCO EMRG LOCAL BD FD INSTL CL	03/12/2014	05/31/2013	2,937.02100	\$26,800.00	\$30,373.86	(\$3,573.86)		(\$3,573.86)	(11.77%)
VSGX	VANGUARD SMALL CAP GWTH INDEX FD ADM	03/12/2014	01/12/2009	78.54700	\$3,500.00	\$1,125.50		\$2,374.50	\$2,374.50	210.97%
DODGX	DODGE & COX STOCK FUND	03/12/2014	01/12/2009	42.25000	\$7,200.00	\$3,087.03		\$4,112.97	\$4,112.97	133.23%
DODGX	DODGE & COX STOCK FUND	07/31/2014	01/12/2009	14.76700	\$2,600.00	\$1,078.96		\$1,521.04	\$1,521.04	140.97%
DODFX	DODGE & COX INTL STOCK FUND	07/31/2014	06/27/2006	109.02200	\$5,000.00	\$4,365.11		\$634.89	\$634.89	14.54%
LZEM	LAZARD EMRG MKTS EQTY PORT INST SHARE	07/31/2014	01/12/2009	1,262.25100	\$26,000.00	\$13,121.13		\$12,878.87	\$12,878.87	98.15%
IVV	ISHARES CORE S&P ETF S&P 500 INDEX	07/31/2014	01/24/2005	29.00000	\$5,648.85	\$3,291.40		\$2,357.45	\$2,357.45	71.62%
IGLX	VOYA GLOBAL REAL ESTATE CL I	07/31/2014	09/27/2012	198.95900	\$4,000.00	\$3,506.06		\$493.94	\$493.94	14.09%
VGSLX	VANGUARD REIT INDEX ADMIRAL SHARE	07/31/2014	11/27/2013	75.48500	\$8,000.00	\$7,075.37	\$924.63		\$924.63	13.07%
☐ KYN	KAYNE ANDERSON MLP INVT	07/31/2014	Hide Lots	156.00000	\$6,012.05	\$2,187.58		\$3,824.47	\$3,824.47	174.83%
		07/31/2014	01/12/2009	60.00000	\$2,312.33	\$841.74		\$1,470.59	\$1,470.59	174.71%
		07/31/2014	01/12/2009	96.00000	\$3,699.72	\$1,345.84		\$2,353.88	\$2,353.88	174.90%
IWF	ISHARES TR RUSSELL 1000 GROWTH ETF	07/31/2014	02/25/2011	15.00000	\$1,333.24	\$904.45		\$428.79	\$428.79	47.41%
☐ PTRX	PIMCO TOTAL RETURN FUND INSTL CL	10/02/2014	Hide Lots	13,961.43600	\$152,164.65	\$151,302.81	\$429.81	\$432.03	\$861.84	0.57%
		10/02/2014	01/12/2009	2,663.48300	\$29,029.10	\$27,604.36		\$1,424.74	\$1,424.74	5.16%
		10/02/2014	01/30/2009	25.07500	\$273.29	\$254.51		\$18.78	\$18.78	7.38%
		10/02/2014	02/27/2009	39.63100	\$431.94	\$396.71		\$35.23	\$35.23	8.88%
		10/02/2014	03/31/2009	38.24400	\$416.82	\$387.41		\$29.41	\$29.41	7.59%
		10/02/2014	04/30/2009	37.96100	\$413.73	\$387.96		\$25.77	\$25.77	6.64%
		10/02/2014	05/29/2009	37.03100	\$403.60	\$386.23		\$17.37	\$17.37	4.50%
		10/02/2014	06/30/2009	36.88600	\$402.02	\$385.46		\$16.56	\$16.56	4.30%

	10/02/2014	07/31/2009	38.25800	\$416.97	\$406.68	\$10.29	\$10.29	2.53%
	10/02/2014	08/19/2009	837.62800	\$9,129.25	\$9,000.00	\$129.25	\$129.25	1.44%
	10/02/2014	08/31/2009	38.76000	\$422.44	\$417.83	\$4.61	\$4.61	1.10%
	10/02/2014	09/30/2009	38.64400	\$421.18	\$421.99	(\$0.81)	(\$0.81)	(0.19%)
	10/02/2014	10/30/2009	35.43500	\$386.20	\$387.66	(\$1.46)	(\$1.46)	(0.38%)
	10/02/2014	11/30/2009	28.45500	\$310.13	\$314.14	(\$4.01)	(\$4.01)	(1.28%)
	10/02/2014	12/09/2009	18.79600	\$204.86	\$204.69	\$0.17	\$0.17	0.08%
	10/02/2014	12/09/2009	67.57000	\$736.44	\$735.84	\$0.60	\$0.60	0.08%
	10/02/2014	12/31/2009	27.47400	\$299.44	\$296.72	\$2.72	\$2.72	0.92%
	10/02/2014	01/29/2010	20.76500	\$226.32	\$227.58	(\$1.26)	(\$1.26)	(0.55%)
	10/02/2014	02/26/2010	20.45800	\$222.97	\$224.83	(\$1.86)	(\$1.86)	(0.83%)
	10/02/2014	03/31/2010	21.43700	\$233.64	\$236.66	(\$3.02)	(\$3.02)	(1.28%)
	10/02/2014	04/30/2010	21.95400	\$239.28	\$244.35	(\$5.07)	(\$5.07)	(2.07%)
	10/02/2014	05/28/2010	20.16400	\$219.77	\$223.82	(\$4.05)	(\$4.05)	(1.81%)
	10/02/2014	06/30/2010	22.24900	\$242.49	\$250.52	(\$8.03)	(\$8.03)	(3.21%)
	10/02/2014	07/30/2010	23.58100	\$257.01	\$268.82	(\$11.81)	(\$11.81)	(4.39%)
	10/02/2014	08/31/2010	21.68500	\$236.34	\$250.24	(\$13.90)	(\$13.90)	(5.55%)
	10/02/2014	09/30/2010	22.20800	\$242.04	\$257.61	(\$15.57)	(\$15.57)	(6.04%)
	10/02/2014	10/29/2010	24.31900	\$265.05	\$284.29	(\$19.24)	(\$19.24)	(6.77%)
	10/02/2014	11/03/2010	815.94200	\$8,892.89	\$9,600.00	(\$707.11)	(\$707.11)	(7.37%)
	10/02/2014	11/30/2010	27.60100	\$300.82	\$317.14	(\$16.32)	(\$16.32)	(5.15%)
	10/02/2014	12/08/2010	151.10200	\$1,646.85	\$1,631.90	\$14.95	\$14.95	0.92%
	10/02/2014	12/08/2010	330.45900	\$3,601.65	\$3,568.96	\$32.69	\$32.69	0.92%
	10/02/2014	12/31/2010	32.51300	\$354.36	\$352.77	\$1.59	\$1.59	0.45%
	10/02/2014	01/31/2011	26.94900	\$293.72	\$292.40	\$1.32	\$1.32	0.45%
	10/02/2014	02/28/2011	27.92100	\$304.31	\$303.78	\$0.53	\$0.53	0.17%
	10/02/2014	03/31/2011	28.77200	\$313.58	\$313.04	\$0.54	\$0.54	0.17%
	10/02/2014	04/29/2011	28.68200	\$312.60	\$316.36	(\$3.76)	(\$3.76)	(1.19%)
	10/02/2014	05/31/2011	28.85200	\$314.46	\$319.10	(\$4.64)	(\$4.64)	(1.45%)
	10/02/2014	06/30/2011	27.81200	\$303.12	\$305.65	(\$2.53)	(\$2.53)	(0.83%)
	10/02/2014	07/29/2011	26.05000	\$283.92	\$289.15	(\$5.23)	(\$5.23)	(1.81%)
	10/02/2014	08/31/2011	24.66500	\$268.82	\$271.56	(\$2.74)	(\$2.74)	(1.01%)

	10/02/2014	09/30/2011	28.49900	\$310.61	\$307.50	\$3.11	\$3.11	1.01%
	10/02/2014	10/31/2011	28.03400	\$305.54	\$305.85	(\$0.31)	(\$0.31)	(0.10%)
	10/02/2014	11/30/2011	30.83300	\$336.05	\$332.38	\$3.67	\$3.67	1.10%
	10/02/2014	12/28/2011	58.74300	\$640.24	\$636.19	\$4.05	\$4.05	0.64%
	10/02/2014	12/30/2011	29.97800	\$326.73	\$325.86	\$0.87	\$0.87	0.27%
	10/02/2014	01/31/2012	26.64400	\$290.39	\$296.28	(\$5.89)	(\$5.89)	(1.99%)
	10/02/2014	02/29/2012	24.94700	\$271.90	\$277.41	(\$5.51)	(\$5.51)	(1.99%)
	10/02/2014	03/30/2012	30.92200	\$337.02	\$342.93	(\$5.91)	(\$5.91)	(1.72%)
	10/02/2014	04/30/2012	28.37300	\$309.24	\$318.35	(\$9.11)	(\$9.11)	(2.86%)
	10/02/2014	05/31/2012	31.40600	\$342.29	\$354.26	(\$11.97)	(\$11.97)	(3.38%)
	10/02/2014	06/29/2012	28.05700	\$305.79	\$317.04	(\$11.25)	(\$11.25)	(3.55%)
	10/02/2014	07/31/2012	22.46400	\$244.83	\$257.66	(\$12.83)	(\$12.83)	(4.98%)
	10/02/2014	08/31/2012	24.19700	\$263.72	\$278.27	(\$14.55)	(\$14.55)	(5.23%)
	10/02/2014	09/28/2012	19.47500	\$212.26	\$225.52	(\$13.26)	(\$13.26)	(5.88%)
	10/02/2014	10/31/2012	28.60800	\$311.80	\$331.57	(\$19.77)	(\$19.77)	(5.96%)
	10/02/2014	11/30/2012	29.33600	\$319.73	\$340.88	(\$21.15)	(\$21.15)	(6.20%)
	10/02/2014	12/12/2012	138.50800	\$1,509.59	\$1,573.45	(\$63.86)	(\$63.86)	(4.06%)
	10/02/2014	12/12/2012	101.66500	\$1,108.04	\$1,154.91	(\$46.87)	(\$46.87)	(4.06%)
	10/02/2014	12/27/2012	105.75900	\$1,152.66	\$1,188.73	(\$36.07)	(\$36.07)	(3.03%)
	10/02/2014	12/31/2012	23.26200	\$253.53	\$261.47	(\$7.94)	(\$7.94)	(3.04%)
	10/02/2014	01/11/2013	532.47300	\$5,803.38	\$6,000.00	(\$196.62)	(\$196.62)	(3.28%)
	10/02/2014	01/31/2013	18.34200	\$199.91	\$205.25	(\$5.34)	(\$5.34)	(2.60%)
	10/02/2014	02/28/2013	21.02200	\$229.12	\$236.08	(\$6.96)	(\$6.96)	(2.95%)
	10/02/2014	03/28/2013	26.89100	\$293.08	\$302.26	(\$9.18)	(\$9.18)	(3.04%)
	10/02/2014	04/30/2013	31.60900	\$344.50	\$358.45	(\$13.95)	(\$13.95)	(3.89%)
	10/02/2014	05/31/2013	26.87100	\$292.87	\$297.46	(\$4.59)	(\$4.59)	(1.54%)
	10/02/2014	06/28/2013	12.41300	\$135.29	\$133.56	\$1.73	\$1.73	1.30%
	10/02/2014	07/31/2013	15.32700	\$167.05	\$165.38	\$1.67	\$1.67	1.01%
	10/02/2014	08/30/2013	16.55200	\$180.40	\$176.28	\$4.12	\$4.12	2.34%
	10/02/2014	09/30/2013	12.68500	\$138.25	\$137.25	\$1.00	\$1.00	0.73%
	10/02/2014	10/31/2013	14.22100	\$154.99	\$155.01	(\$0.02)	(\$0.02)	(0.01%)
	10/02/2014	11/29/2013	13.63600	\$148.62	\$148.36	\$0.26	\$0.26	0.18%

			10/02/2014	12/11/2013	7.87500	\$85.83	\$84.66	\$1.17	\$1.17	1.38%	
			10/02/2014	12/11/2013	41.20400	\$449.08	\$442.94	\$6.14	\$6.14	1.39%	
			10/02/2014	12/31/2013	10.02000	\$109.21	\$107.11	\$2.10	\$2.10	1.96%	
			10/02/2014	01/31/2014	10.03700	\$109.39	\$108.60	\$0.79	\$0.79	0.73%	
			10/02/2014	02/28/2014	10.94500	\$119.29	\$118.86	\$0.43	\$0.43	0.36%	
			10/02/2014	03/12/2014	6,314.40400	\$68,820.22	\$68,400.00	\$420.22	\$420.22	0.61%	
			10/02/2014	03/31/2014	19.91100	\$217.01	\$214.64	\$2.37	\$2.37	1.10%	
			10/02/2014	04/30/2014	25.04700	\$272.99	\$271.51	\$1.48	\$1.48	0.55%	
			10/02/2014	05/30/2014	31.88900	\$347.56	\$349.19	(\$1.63)	(\$1.63)	(0.47%)	
			10/02/2014	06/30/2014	25.88600	\$282.13	\$283.97	(\$1.84)	(\$1.84)	(0.65%)	
			10/02/2014	07/31/2014	28.81800	\$314.09	\$313.83	\$0.26	\$0.26	0.08%	
			10/02/2014	08/29/2014	27.06100	\$294.94	\$297.40	(\$2.46)	(\$2.46)	(0.83%)	
			10/02/2014	09/30/2014	21.11600	\$230.07	\$229.53	\$0.54	\$0.54	0.24%	
☐	OHYFX	JPMORGAN HIGH YIELD FD SLCT CL	10/02/2014	Hide Lots	16,627.69900	\$131,691.38	\$126,015.01	(\$210.99)	\$5,887.36	\$5,676.37	4.50%
			10/02/2014	01/12/2009	3,924.56300	\$31,082.54	\$23,468.89		\$7,613.65	\$7,613.65	32.44%
			10/02/2014	01/30/2009	71.06200	\$562.81	\$421.40		\$141.41	\$141.41	33.56%
			10/02/2014	02/27/2009	60.87100	\$482.10	\$353.66		\$128.44	\$128.44	36.32%
			10/02/2014	03/31/2009	79.39000	\$628.77	\$467.61		\$161.16	\$161.16	34.46%
			10/02/2014	04/30/2009	65.94000	\$522.24	\$420.04		\$102.20	\$102.20	24.33%
			10/02/2014	05/29/2009	81.11300	\$642.41	\$536.16		\$106.25	\$106.25	19.82%
			10/02/2014	06/30/2009	62.74800	\$496.96	\$426.06		\$70.90	\$70.90	16.64%
			10/02/2014	07/31/2009	73.52000	\$582.28	\$522.73		\$59.55	\$59.55	11.39%
			10/02/2014	08/31/2009	69.61300	\$551.33	\$498.43		\$52.90	\$52.90	10.61%
			10/02/2014	09/30/2009	70.06600	\$554.92	\$521.99		\$32.93	\$32.93	6.31%
			10/02/2014	10/30/2009	69.89800	\$553.59	\$525.63		\$27.96	\$27.96	5.32%
			10/02/2014	11/30/2009	67.31600	\$533.14	\$508.91		\$24.23	\$24.23	4.76%
			10/02/2014	12/31/2009	86.04100	\$681.44	\$665.96		\$15.48	\$15.48	2.32%
			10/02/2014	01/29/2010	67.63900	\$535.70	\$526.91		\$8.79	\$8.79	1.67%
			10/02/2014	02/26/2010	67.17800	\$532.05	\$519.96		\$12.09	\$12.09	2.33%
			10/02/2014	03/31/2010	71.27200	\$564.47	\$565.19	(\$0.72)	(\$0.72)	(0.13%)	
			10/02/2014	04/30/2010	69.20700	\$548.12	\$558.50	(\$10.38)	(\$10.38)	(1.86%)	

	10/02/2014	05/28/2010	84.13800	\$666.37	\$647.02	\$19.35	\$19.35	2.99%
	10/02/2014	06/30/2010	76.36500	\$604.81	\$588.01	\$16.80	\$16.80	2.86%
	10/02/2014	07/30/2010	73.41400	\$581.44	\$581.44	\$0.00	\$0.00	0.00%
	10/02/2014	08/31/2010	74.57300	\$590.62	\$585.40	\$5.22	\$5.22	0.89%
	10/02/2014	09/30/2010	69.23900	\$548.37	\$556.68	(\$8.31)	(\$8.31)	(1.49%)
	10/02/2014	10/29/2010	73.58700	\$582.81	\$604.15	(\$21.34)	(\$21.34)	(3.53%)
	10/02/2014	11/30/2010	78.10700	\$618.61	\$630.32	(\$11.71)	(\$11.71)	(1.86%)
	10/02/2014	12/14/2010	19.56100	\$154.92	\$159.03	(\$4.11)	(\$4.11)	(2.58%)
	10/02/2014	12/14/2010	18.42300	\$145.91	\$149.78	(\$3.87)	(\$3.87)	(2.58%)
	10/02/2014	12/31/2010	76.78000	\$608.10	\$625.76	(\$17.66)	(\$17.66)	(2.82%)
	10/02/2014	01/31/2011	67.69600	\$536.15	\$562.55	(\$26.40)	(\$26.40)	(4.69%)
	10/02/2014	02/28/2011	60.92600	\$482.53	\$509.34	(\$26.81)	(\$26.81)	(5.26%)
	10/02/2014	03/03/2011	1,758.37300	\$13,926.31	\$14,700.00	(\$773.69)	(\$773.69)	(5.26%)
	10/02/2014	03/31/2011	82.21200	\$651.12	\$683.18	(\$32.06)	(\$32.06)	(4.69%)
	10/02/2014	04/29/2011	83.61200	\$662.21	\$700.67	(\$38.46)	(\$38.46)	(5.49%)
	10/02/2014	05/31/2011	82.95000	\$656.96	\$691.80	(\$34.84)	(\$34.84)	(5.04%)
	10/02/2014	06/30/2011	76.72900	\$607.69	\$629.18	(\$21.49)	(\$21.49)	(3.42%)
	10/02/2014	07/29/2011	80.45200	\$637.18	\$659.71	(\$22.53)	(\$22.53)	(3.42%)
	10/02/2014	08/31/2011	87.15600	\$690.28	\$677.20	\$13.08	\$13.08	1.93%
	10/02/2014	09/30/2011	85.53700	\$677.45	\$640.67	\$36.78	\$36.78	5.74%
	10/02/2014	10/31/2011	78.63100	\$622.76	\$617.25	\$5.51	\$5.51	0.89%
	10/02/2014	11/30/2011	88.36200	\$699.83	\$675.97	\$23.86	\$23.86	3.53%
	10/02/2014	12/15/2011	108.74400	\$861.25	\$824.28	\$36.97	\$36.97	4.49%
	10/02/2014	12/15/2011	177.41200	\$1,405.10	\$1,344.78	\$60.32	\$60.32	4.49%
	10/02/2014	12/30/2011	87.58400	\$693.67	\$667.39	\$26.28	\$26.28	3.94%
	10/02/2014	01/31/2012	72.05400	\$570.67	\$562.02	\$8.65	\$8.65	1.54%
	10/02/2014	02/29/2012	76.55400	\$606.31	\$606.31	\$0.00	\$0.00	0.00%
	10/02/2014	03/30/2012	80.78500	\$639.82	\$637.39	\$2.43	\$2.43	0.38%
	10/02/2014	04/30/2012	66.87000	\$529.61	\$529.61	\$0.00	\$0.00	0.00%
	10/02/2014	05/31/2012	75.79500	\$600.30	\$588.17	\$12.13	\$12.13	2.06%
	10/02/2014	06/29/2012	75.14000	\$595.11	\$591.35	\$3.76	\$3.76	0.64%
	10/02/2014	07/31/2012	78.24400	\$619.69	\$622.82	(\$3.13)	(\$3.13)	(0.50%)

	10/02/2014	08/31/2012	78.08700	\$618.45	\$626.26	(\$7.81)	(\$7.81)	(1.25%)
	10/02/2014	09/28/2012	77.93200	\$617.22	\$629.69	(\$12.47)	(\$12.47)	(1.98%)
	10/02/2014	10/31/2012	78.16300	\$619.05	\$633.12	(\$14.07)	(\$14.07)	(2.22%)
	10/02/2014	11/30/2012	76.61300	\$606.77	\$622.10	(\$15.33)	(\$15.33)	(2.46%)
	10/02/2014	12/13/2012	74.32200	\$588.63	\$607.21	(\$18.58)	(\$18.58)	(3.06%)
	10/02/2014	12/13/2012	20.25800	\$160.44	\$165.51	(\$5.07)	(\$5.07)	(3.06%)
	10/02/2014	12/31/2012	93.51400	\$740.63	\$761.20	(\$20.57)	(\$20.57)	(2.70%)
	10/02/2014	01/31/2013	73.05400	\$578.59	\$599.04	(\$20.45)	(\$20.45)	(3.41%)
	10/02/2014	02/28/2013	73.42000	\$581.49	\$602.04	(\$20.55)	(\$20.55)	(3.41%)
	10/02/2014	03/28/2013	75.12800	\$595.01	\$619.81	(\$24.80)	(\$24.80)	(4.00%)
	10/02/2014	04/30/2013	81.61400	\$646.38	\$682.29	(\$35.91)	(\$35.91)	(5.26%)
	10/02/2014	05/31/2013	72.13500	\$571.31	\$596.56	(\$25.25)	(\$25.25)	(4.23%)
	10/02/2014	05/31/2013	4,594.92100	\$36,391.78	\$38,000.00	(\$1,608.22)	(\$1,608.22)	(4.23%)
	10/02/2014	06/28/2013	97.53900	\$772.51	\$783.24	(\$10.73)	(\$10.73)	(1.37%)
	10/02/2014	07/31/2013	92.64000	\$733.71	\$753.16	(\$19.45)	(\$19.45)	(2.58%)
	10/02/2014	08/30/2013	101.49300	\$803.82	\$814.99	(\$11.17)	(\$11.17)	(1.37%)
	10/02/2014	09/30/2013	91.85000	\$727.45	\$741.23	(\$13.78)	(\$13.78)	(1.86%)
	10/02/2014	10/31/2013	97.75100	\$774.19	\$803.51	(\$29.32)	(\$29.32)	(3.65%)
	10/02/2014	11/29/2013	96.07600	\$760.92	\$787.82	(\$26.90)	(\$26.90)	(3.41%)
	10/02/2014	12/12/2013	456.71800	\$3,617.21	\$3,653.74	(\$36.53)	(\$36.53)	(1.00%)
	10/02/2014	12/12/2013	66.67400	\$528.06	\$533.39	(\$5.33)	(\$5.33)	(1.00%)
	10/02/2014	12/31/2013	122.19500	\$967.78	\$975.12	(\$7.34)	(\$7.34)	(0.75%)
	10/02/2014	01/31/2014	89.41300	\$708.15	\$715.30	(\$7.15)	(\$7.15)	(1.00%)
	10/02/2014	02/28/2014	91.00500	\$720.76	\$738.96	(\$18.20)	(\$18.20)	(2.46%)
	10/02/2014	03/31/2014	83.71900	\$663.05	\$676.45	(\$13.40)	(\$13.40)	(1.98%)
	10/02/2014	04/30/2014	72.04300	\$570.58	\$582.83	(\$12.25)	(\$12.25)	(2.10%)
	10/02/2014	05/30/2014	69.92100	\$553.77	\$569.16	(\$15.39)	(\$15.39)	(2.70%)
	10/02/2014	06/30/2014	73.96200	\$585.78	\$604.27	(\$18.49)	(\$18.49)	(3.06%)
	10/02/2014	07/31/2014	75.68700	\$599.44	\$607.01	(\$7.57)	(\$7.57)	(1.25%)
	10/02/2014	08/29/2014	73.16000	\$579.43	\$593.33	(\$13.90)	(\$13.90)	(2.34%)
	10/02/2014	09/30/2014	73.25000	\$580.19	\$579.41	\$0.78	\$0.78	0.13%