



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Form **990-PF****Return of Private Foundation**

OMB No 1545-0052

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.**2013**

Open to Public Inspection

For calendar year 2013 or tax year beginning

11/01, 2013, and ending

10/31, 2014

Name of foundation

THE KELVIN AND ELEANOR SMITH FOUNDATION

A Employer identification number

34-6555349

Number and street (or P O box number if mail is not delivered to street address)

Room/suite

B Telephone number (see instructions)

(216) 591-9111

30195 CHAGRIN BLVD.

275

City or town, state or province, country, and ZIP or foreign postal code

CLEVELAND, OH 44124

G Check all that apply

☐ Initial return☐ Initial return of a former public charity☐ Final return☐ Amended return☐ Address change☐ Name change

H Check type of organization

☒ Section 501(c)(3) exempt private foundation☐ Section 4947(a)(1) nonexempt charitable trust☐ Other taxable private foundation

I Fair market value of all assets at

J Accounting method ☒ Cash ☐ Accrual

end of year (from Part II, col (c), line

☐ Other (specify) _____

16) ▶ \$ 160,873,474.

(Part I, column (d) must be on cash basis)

C If exemption application is pending, check here ☐D 1 Foreign organizations, check here ☐2 Foreign organizations meeting the 85% test, check here and attach computation ☐E If private foundation status was terminated under section 507(b)(1)(A), check here ☐F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ☐**Part I Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))

	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received (attach schedule)				
2 Check ☐ if the foundation is not required to attach Sch B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	1,787,264.	1,787,264.		ATCH 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	13,647,331.			
b Gross sales price for all assets on line 6a	52,695,817.			
7 Capital gain net income (from Part IV, line 2)		13,648,818.		
8 Net short-term capital gain			1,220,788.	
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss) (attach schedule)				
11 Other income (attach schedule) ATCH 2	-11,047.	-10,022.		
12 Total. Add lines 1 through 11	15,423,547.	15,426,060.	1,220,788.	
13 Compensation of officers, directors, trustees, etc.	211,250.			
14 Other employee salaries and wages	144,333.			
15 Pension plans, employee benefits				
16a Legal fees (attach schedule) ATCH 3	23,811.			23,811.
b Accounting fees (attach schedule) ATCH 4	26,230.			26,230.
c Other professional fees (attach schedule)	466,300.	466,300.		
17 Interest				
18 Taxes (attach schedule) (see instructions) ATCH 6	147,225.	36,248.		18,957.
19 Depreciation (attach schedule) and depletion				
20 Occupancy				
21 Travel, conferences, and meetings				
22 Printing and publications				
23 Other expenses (attach schedule) ATCH 7	355,556.	347,452.		8,104.
24 Total operating and administrative expenses. Add lines 13 through 23	1,374,705.	850,000.		77,102.
25 Contributions, gifts, grants paid	8,311,391.			8,311,391.
26 Total expenses and disbursements. Add lines 24 and 25	9,686,096.	850,000.	0	8,388,493.
27 Subtract line 26 from line 12				
a Excess of revenue over expenses and disbursements	5,737,451.			
b Net investment income (if negative, enter -0-)		14,576,060.		
c Adjusted net income (if negative, enter -0-)			1,220,788.	

JSA For Paperwork Reduction Act Notice, see instructions.

*ATCH 5

Form 990-PF (2013)

3E1410 1 000

SIWIPW 4031 9/2/2015 9:37:46 AM V 13-7.15

SMI-2506

PAGE 2

SCANNED SEP 23 2015

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)		Beginning of year	End of year	
				(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing				
	2	Savings and temporary cash investments		8,628,786.	7,230,383.	7,230,383.
	3	Accounts receivable ▶				
		Less allowance for doubtful accounts ▶				
	4	Pledges receivable ▶				
		Less allowance for doubtful accounts ▶				
	5	Grants receivable				
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)				
	7	Other notes and loans receivable (attach schedule) ▶				
		Less allowance for doubtful accounts ▶				
	8	Inventories for sale or use				
	9	Prepaid expenses and deferred charges				
	10 a	Investments - U.S. and state government obligations (attach schedule)				
	b	Investments - corporate stock (attach schedule) ATCH 8		73,277,035.	82,407,536.	103,861,749.
	c	Investments - corporate bonds (attach schedule) ATCH 9		18,045,540.	14,516,769.	14,568,445.
	Liabilities	11	Investments - land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶			
12		Investments - mortgage loans				
13		Investments - other (attach schedule) ATCH 10		28,531,046.	30,065,170.	35,212,896.
14		Land, buildings, and equipment basis Less accumulated depreciation (attach schedule) ▶				
15		Other assets (describe ▶)				
16		Total assets (to be completed by all filers - see the instructions. Also, see page 1, item I)		128,482,408.	134,219,858.	160,873,474.
17		Accounts payable and accrued expenses				
18		Grants payable				
19		Deferred revenue				
20		Loans from officers, directors, trustees, and other disqualified persons				
Net Assets or Fund Balances	21	Mortgages and other notes payable (attach schedule)				
	22	Other liabilities (describe ▶)				
	23	Total liabilities (add lines 17 through 22)		0	0	
		Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted				
	25	Temporarily restricted				
	26	Permanently restricted				
		Foundations that do not follow SFAS 117, . . . ▶ ☒ check here and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds				
	28	Paid-in or capital surplus, or land, bldg., and equipment fund		128,482,408.	134,219,858.	
29	Retained earnings, accumulated income, endowment, or other funds					
30	Total net assets or fund balances (see instructions)		128,482,408.	134,219,858.		
31	Total liabilities and net assets/fund balances (see instructions)		128,482,408.	134,219,858.		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	128,482,408.
2	Enter amount from Part I, line 27a	2	5,737,451.
3	Other increases not included in line 2 (itemize) ▶	3	
4	Add lines 1, 2, and 3	4	134,219,858.
5	Decreases not included in line 2 (itemize) ▶	5	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	134,219,858.

Form 990-PF (2013)

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a SEE PART IV SCHEDULE					
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a					
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(i) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))		
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any			
a					
b					
c					
d					
e					
2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }			2	13,648,818.	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8			3	1,220,788.	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	7,830,723.	145,762,351.	0.053723
2011	7,172,796.	136,410,448.	0.052582
2010	7,464,074.	141,769,767.	0.052649
2009	6,551,829.	127,370,454.	0.051439
2008	5,279,319.	110,141,374.	0.047932
2 Total of line 1, column (d)			2 0.258325
3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years			3 0.051665
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5			4 155,452,901.
5 Multiply line 4 by line 3			5 8,031,474.
6 Enter 1% of net investment income (1% of Part I, line 27b)			6 145,761.
7 Add lines 5 and 6			7 8,177,235.
8 Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions			8 8,388,493.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1 a Exempt operating foundations described in section 4940(d)(2), check here ☐ and enter "N/A" on line 1		1	145,761.	
Date of ruling or determination letter _____ (attach copy of letter if necessary - see instructions)				
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here ☒ and enter 1% of Part I, line 27b				
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col (b)		2	145,761.	
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)				
3 Add lines 1 and 2				
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0	
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	145,761.	
6 Credits/Payments		7	182,460.	
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a			114,460.
b Exempt foreign organizations - tax withheld at source	6b			
c Tax paid with application for extension of time to file (Form 8868)	6c			68,000.
d Backup withholding erroneously withheld	6d			
7 Total credits and payments. Add lines 6a through 6d		7	182,460.	
8 Enter any penalty for underpayment of estimated tax. Check here ☐ if Form 2220 is attached		8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed		9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid		10	36,699.	
11 Enter the amount of line 10 to be Credited to 2014 estimated tax ☒ 36,699. Refunded ☐ ☐		11		

Part VII-A Statements Regarding Activities

	Yes	No
1 a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see Instructions for the definition)?		X
If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year (1) On the foundation ☒ \$ _____ (2) On foundation managers ☒ \$ _____		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers ☒ \$ _____		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS?		X
If "Yes," attach a detailed description of the activities		
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4 a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year?		X
If "Yes," attach the statement required by General Instruction T		
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	X	
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8 a Enter the states to which the foundation reports or with which it is registered (see instructions) ☒ OH, _____		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation.	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV.		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses.		X

Form 990-PF (2013)

Part VII-A Statements Regarding Activities (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions).	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	
Website address ☐ N/A				
14 The books are in care of ☐ PAMELA EICHENAUER		Telephone no ☐ 216-591-9111		
Located at ☐ 30195 CHAGRIN BLVD CLEVELAND OHIO		ZIP+4 ☐ 44124		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ☐	and enter the amount of tax-exempt interest received or accrued during the year ☐ 15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country ☐				

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

	Yes	No
1a During the year did the foundation (either directly or indirectly)		
(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes	☒ No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes	☒ No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes	☒ No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes	☒ No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes	☒ No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days).	☐ Yes	☒ No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	1b	X
Organizations relying on a current notice regarding disaster assistance check here ☐		
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c	X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))		
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes	☒ No
If "Yes," list the years ☐		
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions)	2b	
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here ☐		
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☒ Yes	☐ No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013)	3b	X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

Form 990-PF (2013)

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**5a** During the year did the foundation pay or incur any amount to

- (1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No
- (2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No
- (3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No
- (4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) ☐ Yes ☒ No
- (5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? ☐ Yes ☒ NoOrganizations relying on a current notice regarding disaster assistance check here ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? ☐ Yes ☒ No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? ☐ Yes ☒ No**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 17		211,250.	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 11		143,333.	0	0

Total number of other employees paid over \$50,000 ☐

Form 990-PF (2013)

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 12		535,058.

Total number of others receiving over \$50,000 for professional services ▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2

	Amount
1 NONE	
2	
All other program-related investments See instructions	
3 NONE	

Total. Add lines 1 through 3 ▶

Form 990-PF (2013)

Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	153,065,507.
b	Average of monthly cash balances	1b	4,754,697.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	157,820,204.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	157,820,204.
4	Cash deemed held for charitable activities. Enter 1 1/2 % of line 3 (for greater amount, see instructions)	4	2,367,303.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4.	5	155,452,901.
6	Minimum investment return. Enter 5% of line 5	6	7,772,645.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part)

1	Minimum investment return from Part X, line 6	1	7,772,645.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	145,761.
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	145,761.
3	Distributable amount before adjustments. Subtract line 2c from line 1.	3	7,626,884.
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	7,626,884.
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1.	7	7,626,884.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	8,388,493.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4.	4	8,388,493.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b (see instructions)	5	145,761.
6	Adjusted qualifying distributions. Subtract line 5 from line 4.	6	8,242,732.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				7,626,884.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 <u>11</u> , 20 <u>10</u> , 20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008				
b From 2009 250,494.				
c From 2010 615,012.				
d From 2011 498,860.				
e From 2012 746,189.				
f Total of lines 3a through e	2,110,555.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>8,388,493.</u>				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions).				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				7,626,884.
e Remaining amount distributed out of corpus	761,609.			
5 Excess distributions carryover applied to 2013, (if an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	2,872,164.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)				
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	2,872,164.			
10 Analysis of line 9				
a Excess from 2009 250,494.				
b Excess from 2010 615,012.				
c Excess from 2011 498,860.				
d Excess from 2012 746,189.				
e Excess from 2013 761,609.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

NOT APPLICABLE

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

b Check box to indicate whether the foundation is a private operating foundation described in section

☐ 4942(j)(3) or ☐ 4942(j)(5)

2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed

Tax year	Prior 3 years				(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)**1 Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NONE

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

ATCH 13

b The form in which applications should be submitted and information and materials they should include

ATCH 14

c Any submission deadlines

NONE

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

ATCH 15

Part XV Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year STATEMENT 18				8,311,391.
Total ▶ 3a				8,311,391.
b Approved for future payment				
Total ▶ 3b				

Enter gross amounts unless otherwise indicated

(See worksheet in line 13 instructions to verify calculations)

Line No.	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes) (See instructions)
1	
2	
3	
4	
5	
6	
7	
8	
9	
10	
11	
12	
13	
14	
15	
16	
17	
18	
19	
20	
21	
22	
23	
24	
25	
26	
27	
28	
29	
30	
31	
32	
33	
34	
35	
36	
37	
38	
39	
40	
41	
42	
43	
44	
45	
46	
47	
48	
49	
50	
51	
52	
53	
54	
55	
56	
57	
58	
59	
60	
61	
62	
63	
64	
65	
66	
67	
68	
69	
70	
71	
72	
73	
74	
75	
76	
77	
78	
79	
80	
81	
82	
83	
84	
85	
86	
87	
88	
89	
90	
91	
92	
93	
94	
95	
96	
97	
98	
99	
100	

[illegible]

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

		Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?		
a	Transfers from the reporting foundation to a noncharitable exempt organization of		
	(1) Cash	1a(1)	X
	(2) Other assets	1a(2)	X
b	Other transactions		
	(1) Sales of assets to a noncharitable exempt organization	1b(1)	X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)	X
	(3) Rental of facilities, equipment, or other assets	1b(3)	X
	(4) Reimbursement arrangements	1b(4)	X
	(5) Loans or loan guarantees	1b(5)	X
	(6) Performance of services or membership or fundraising solicitations	1b(6)	X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c	X
d	If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received		

[illegible]

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule		
(a) Name of organization	(b) Type of organization	(c) Description of relationship

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: William B. LaPera Date: 9.9.15 Title: Treasurer

May the IRS discuss this return with the preparer shown below (see instructions)? ☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name MELISSA M POZNIAK	Preparer's signature 	Date 9-8-2015	Check ☐ if self-employed	PTIN P00400789
	Firm's name ▶ DELOITTE TAX LLP			Firm's EIN ▶ 86-1065772	
	Firm's address ▶ 127 PUBLIC SQUARE, SUITE 3300 CLEVELAND, OH 44114-1291			Phone no 216 589-1300	

FORM 990-PF - PART IV **CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS					298,571.	
999,243.		ACCT. CQOF8004002 ST 798,751.					VARIOUS 200,492.	VARIOUS
1,353,587.		ACCT. CQOF8003002 ST 1,311,003.					VARIOUS 42,584.	VARIOUS
1,073,999.		ACCT. CQOF8006002 ST 1,085,459.					VARIOUS -11,460.	VARIOUS
2,610,243.		ACCT. CQOF8005002 ST 2,610,618.					VARIOUS -375.	VARIOUS
512,014.		ACCT. CQOF8010002 ST 447,646.					VARIOUS 64,368.	VARIOUS
3,090,837.		ACCT. CQOF8009002 ST 2,827,832.					VARIOUS 263,005.	VARIOUS
113,742.		ACCT. CQOF8011002 ST 95,720.					VARIOUS 18,023.	VARIOUS
3,973,116.		ACCT. CQOF8012002 ST 3,477,892.					VARIOUS 495,224.	VARIOUS
387,061.		ACCT. CQOF8013002 ST 340,980.					VARIOUS 46,081.	VARIOUS
1,259.		ACCT. CQOF8008002 ST 1,371.					VARIOUS -112.	VARIOUS
		AIG PEP III U.S. BUYOUT, L.P. ST					VARIOUS -255.	VARIOUS
		AIG PEP III U.S. VENTURE, L.P. ST					VARIOUS 150.	VARIOUS

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
		AIG PEP III DIRECT, L.P. ST				VARIOUS 18.	VARIOUS
		AIG PEP III SECONDARY, L.P. ST				VARIOUS -420.	VARIOUS
814.		CUYAHOGA CAPITAL PARTNERS IV ST				VARIOUS 814.	VARIOUS
128,735.		INTERNATIONAL SMALL CAP PORTFOLIO ST				VARIOUS 128,735.	VARIOUS
15,295.		SIGULER GUFF ST				VARIOUS 15,295.	VARIOUS
8,168.		CLANCO PRIVATE EQUITY 2006 LLC ST				VARIOUS 8,168.	VARIOUS
7,402.		CLANCO PRIVATE EQUITY 2007 LLC ST				VARIOUS 7,402.	VARIOUS
-20,921.		SANFORD C. BERNSTEIN & CO ST				VARIOUS -20,921.	VARIOUS
-36,764.		SIT OPPORTUNITY BOND FUND, LLC ST				VARIOUS -36,764.	VARIOUS
736.		ABERDEEN INTERNATIONAL SMALLER COMPANIES				VARIOUS 736.	VARIOUS
1,635,872.		ACCT. CQOF8004002 LT 1,214,062.				VARIOUS 421,809.	VARIOUS
3,122,594.		ACCT. CQOF8003002 LT 1,950,190.				VARIOUS 1,172,403.	VARIOUS

FORM 990-PF - PART IV**CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
3,263,006.		ACCT. CQOF8006002 LT 1,531,544.					VARIOUS 1,731,462.	VARIOUS
8,216,557.		ACCT. CQOF8005002 LT 8,249,712.					VARIOUS -33,155.	VARIOUS
2,276,086.		ACCT. CQOF8010002 LT 1,541,010.					VARIOUS 735,076.	VARIOUS
2,034,510.		ACCT. CQOF8009002 LT 1,494,055.					VARIOUS 540,455.	VARIOUS
616,792.		ACCT. CQOF8011002 LT 484,647.					VARIOUS 132,146.	VARIOUS
2,881,746.		ACCT. CQOF8012002 LT 1,759,891.					VARIOUS 1,121,855.	VARIOUS
1,364,115.		ACCT. CQOF8013002 LT 732,559.					VARIOUS 631,556.	VARIOUS
1,386,997.		ACCT. CQOF8008002 LT 1,084,901.					VARIOUS 302,096.	VARIOUS
11782441.		ACCT. CQOF8001002 LT 7,576,335.					VARIOUS 4,206,105.	VARIOUS
347,915.		AIG PEP III U.S. BUYOUT, L.P. LT					VARIOUS 347,915.	VARIOUS
41,935.		AIG PEP III DIRECT, L.P. LT					VARIOUS 41,935.	VARIOUS
64,740.		AIG PEP III U.S. VENTURE, L.P. LT					64,740.	

FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME

Kind of Property		Description				Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis	Gain or (loss)	
18,101.		AIG PEP III SECONDARY, L.P. LT				VARIOUS 18,101.	VARIOUS
15,441.		CUYAHOGA CAPITAL PARTNERS IV LT				VARIOUS 15,441.	VARIOUS
289,279.		INTERNATIONAL SMALL CAP PORTFOLIO LT				VARIOUS 289,279.	VARIOUS
30,297.		SIGULER GUFF LT				VARIOUS 30,297.	VARIOUS
286,070.		CLANCO PRIVATE EQUITY 2006 LLC LT				VARIOUS 286,070.	VARIOUS
263,980.		CLANCO PRIVATE EQUITY 2007 LLC LT				VARIOUS 263,980.	VARIOUS
-193,076.		SANFORD C. BERNSTEIN & CO LT				VARIOUS -193,076.	VARIOUS
-16,461.		SIT OPPORTUNITY BOND FUND, LLC LT				VARIOUS -16,461.	VARIOUS
19,429.		ABERDEEN INTERNATIONAL SMALLER COMPANIES				VARIOUS 19,429.	VARIOUS
TOTAL GAIN(LOSS)						<u>13648818.</u>	

ATTACHMENT 1FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIVIDENDS	979,354.	979,354.
U.S. TREASURY, GNMA, AND CORP. INTEREST	807,910.	807,910.
TOTAL	<u>1,787,264.</u>	<u>1,787,264.</u>

ATTACHMENT 2

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME
INCOME FROM PARTNERSHIPS OTHER THAN CAPITAL GAINS	-10,022. -1,025.	-10,022.
UBTI		
TOTALS	<u>-11,047.</u>	<u>-10,022.</u>

ATTACHMENT 3FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL EXPENSES	23,811.			23,811.
TOTALS	<u>23,811.</u>			<u>23,811.</u>

ATTACHMENT 4FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
DELOITTE TAX LLP	26,230.			26,230.
TOTALS	<u>26,230.</u>			<u>26,230.</u>

ATTACHMENT 5FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
K-1 SANFORD C. BERNSTEIN & CO.	508.	508.
K-1 AIG PEP III US BUYOUT	15,244.	15,244.
K-1 AIG PEP III US VENTURE	33,611.	33,611.
K-1 AIG PEP III DIRECT	6,368.	6,368.
K-1 AIG PEP III SECONDARY	15,947.	15,947.
K-1 SIGULER GUFF	20,000.	20,000.
K-1 THB SMALL CAP VALUE FUND	30,376.	30,376.
K-1 SIT OPPORTUNITY FUND	3,884.	3,884.
K-1 COMMONFUND CAP NAT RES	3,627.	3,627.
K-1 ABERDEEN INT'L	43,252.	43,252.
CM WEALTH ADVISORS		
INVESTMENT ADVISORY AND TRUST	81,585.	81,585.
BNY MELLON		
INVESTMENT ADVISORY AND TRUST	62,148.	62,148.
RICHMOND CAPITAL MANAGEMENT		
INVESTMENT ADVISORY AND TRUST	10,764.	10,764.
NEWSOUTH CAPITAL		
INVESTMENT ADVISORY AND TRUST	10,837.	10,837.
DONALD SMITH		
INVESTMENT ADVISORY AND TRUST	13,587.	13,587.
KENNEDY CAPITAL		
INVESTMENT ADVISORY AND TRUST	15,766.	15,766.
RUTABAGA		
INVESTMENT ADVISORY AND TRUST	16,723.	16,723.
THE LONDON COMPANY		
INVESTMENT ADVISORY AND TRUST	9,386.	9,386.
GENEVA CAPITAL		
INVESTMENT ADVISORY AND TRUST	9,543.	9,543.
EDGEWOOD MANAGEMENT LLC		
INVESTMENT ADVISORY AND TRUST	27,258.	27,258.
WESTFIELD CAPITAL MANAGEMENT		
INVESTMENT ADVISORY AND TRUST	12,334.	12,334.

ATTACHMENT 5 (CONT'D)

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>
DIAMOND HILL INVESTMENT ADVISORY AND TRUST	23,552.	23,552.
TOTALS	<u>466,300.</u>	<u>466,300.</u>

ATTACHMENT 6FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>CHARITABLE PURPOSES</u>
FOREIGN TAXES	36,248.	36,248.	
PAYROLL TAXES	18,957.		18,957.
FEDERAL TAXES	91,820.		
OHIO VERIFICATION FILING FEE	200.		
TOTALS	<u>147,225.</u>	<u>36,248.</u>	<u>18,957.</u>

ATTACHMENT 7FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	CHARITABLE PURPOSES
GENERAL OFFICE EXPENSES	102,826.	102,826.	
OTHER EXPENSES FROM K-1	244,601.	244,601.	
CHARITABLE EXPENSES	8,104.		8,104.
BANK FEES	25.	25.	
TOTALS	<u>355,556.</u>	<u>347,452.</u>	<u>8,104.</u>

ATTACHMENT 8FORM 990PF, PART II - CORPORATE STOCK

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BNY MELLON WEALTH MANAGEMENT CO-TRUSTEE	73,277,035.	82,407,536.	103,861,749.
TOTALS	<u>73,277,035.</u>	<u>82,407,536.</u>	<u>103,861,749.</u>

ATTACHMENT 9FORM 990PF, PART II - CORPORATE BONDS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
BNY MELLON WEALTH MANAGEMENT CO-TRUSTEE	18,045,540.	14,516,769.	14,568,445.
TOTALS	<u>18,045,540.</u>	<u>14,516,769.</u>	<u>14,568,445.</u>

ATTACHMENT 10FORM 990PF, PART II - OTHER INVESTMENTS

<u>DESCRIPTION</u>	<u>BEGINNING BOOK VALUE</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
VENTURE CAPITAL/PRIVATE EQUITY	28,531,046.	30,065,170.	35,212,896.
TOTALS	<u>28,531,046.</u>	<u>30,065,170.</u>	<u>35,212,896.</u>

990PF, PART VIII - COMPENSATION OF THE FIVE HIGHEST PAID EMPLOYEESATTACHMENT 11

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>
PAMELA EICHENAUER 30195 CHAGRIN BOULEVARD CLEVELAND, OH 44124	MANAGER 40.00	105,833.
	CLEVELAND	44124
CAROL ZETT 30195 CHAGRIN BOULEVARD CLEVELAND, OH 44124	MANAGER 40.00	37,500.
		0
		0
	TOTAL COMPENSATION	<u>143,333.</u>

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 12

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
CM WEALTH ADVISORS 30195 CHAGRIN BLVD., #250 PEPPER PIKE, OH 44124	INVESTMENT COUNSEL	312,983.
BNY, DIAMOND HILL 325 JOHN H. MCCONNELL BLVD, SUITE 200 COLUMBUS, OH 43215	INVESTMENT COUNSEL	54,166.
BNY, ABERDEEN ASSET MANAGEMENT 1735 MARKET STREET, 32ND FLOOR PHILADELPHIA, PA 19103-7527	INVESTMENT COUNSEL	43,252.
BNY, EDGEWOOD MGMT 535 MADISON AVE., 15TH FLOOR NEW YORK, NY 10022	INVESTMENT COUNSEL	62,509.
BNY MELLON N.A. BOX 223230 PITTSBURGH, PA 15251-2230	INVESTMENT COUNSEL	62,148.

TOTAL COMPENSATION

535,058.

FORM 990PF, PART XV - NAME, ADDRESS AND PHONE FOR APPLICATIONS

PAMELA EICHENAUER
30195 CHAGRIN BLVD - SUITE 275
CLEVELAND, OH 44124
216-591-9111

990PF, PART XV - FORM AND CONTENTS OF SUBMITTED APPLICATIONS

- (1) WRITTEN APPLICATION, (2) CURRENT AUDITED FINANCIAL STATEMENTS
- (3) TAX EXEMPTION DETERMINATION LETTER.

990PF, PART XV - RESTRICTIONS OR LIMITATIONS ON AWARDS

APPLICATIONS MAY BE MADE ONLY BY 509(A)(1), (2) OR (3) ORGANIZATIONS.
PREFERENCE IS GIVEN TO THE GREATER CLEVELAND AREA.

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 16

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>EXCLUSION</u>		<u>RELATED OR EXEMPT FUNCTION INCOME</u>
		<u>AMOUNT</u>	<u>CODE</u>	
INCOME FROM PARTNERSHIPS OTHER THAN CAPITAL GAINS	900000	-1,025.	14	-10,022.
TOTALS		<u>-1,025.</u>		<u>-10,022.</u>

**THE KELVIN AND ELEANOR SMITH FOUNDATION
OFFICERS AND TRUSTEES
November 1, 2013 through October 31, 2014**

Ellen S. Mavec, Chairman and President 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	40 hours/week	Compensation - \$211,500
William B. LaPlace, Treasurer 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	1 hour/week	Compensation - \$0
Andrew L. Fabens, III, Secretary 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	.50 hour/week	Compensation - \$0
Julie A. Boland 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	.50 hour/week	Compensation - \$0
Charles L. Bolton, Trustee 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	.50 hour/week	Compensation - \$0
Trevor O. Jones, Trustee 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	.50 hour/week	Compensation - \$0
John G. Nestor, Trustee 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	.50 hour/week	Compensation - \$0
J. T. Mullen, Trustee 30195 Chagrin Boulevard, Suite 275 Cleveland, Ohio 44124	.50 hour/week	Compensation - \$0

The Kelvin and Eleanor Smith Foundation
#34-6555349
Fiscal Year 2014

All of the recipients listed below are "public charities" and located in Cleveland, Ohio unless otherwise indicated.

NAME OF ORGANIZATION	PURPOSE OF GRANT	DOLLAR AMOUNT OF GRANT
Achievement Centers for Children 4255 Northfield Road Highland Hills, Ohio 44128	General Operating General Operating	\$5,000 \$10,000
Art Therapy Studio 12200 Fairhill Road Cleveland, Ohio 44120	General Operating	\$5,000
BAYarts 28795 Lake Road Bay Village, Ohio 44140	General Operating	\$5,000
The Beck Center for the Arts 17801 Detroit Avenue Lakewood, Ohio 44107	General Operating	\$12,000
Beech Brook 3737 Lander Road Cleveland, Ohio 44124	General Operating General Operating	\$3,750 \$25,000
Boys & Girls Clubs of Cleveland 6114 Broadway Avenue Cleveland, Ohio 44127	General Operating Expansion Campaign	\$10,000 \$50,000
BVU: The Center for Nonprofit Excellence 1300 East 9 th Street - Suite 1805 Cleveland, Ohio 44114	General Operating	\$15,000
Case Western Reserve University 10900 Euclid Avenue Cleveland, Ohio 44106-7001	Annual Fund Capital Capital	\$15,000 \$500,000 \$500,000
Catholic Charities 1404 East 9 th Street, 8 th Floor Cleveland, Ohio 44114-1722	General Operating	\$2,500
Center for Arts-Inspired Learning 13110 Shaker Square, Suite C203 Cleveland, Ohio 44120-2313	General Operating	\$30,000

The Children's Museum of Cleveland 10730 Euclid Avenue Cleveland, Ohio 44106	General Operating	\$15,000
Cleveland Botanical Garden 11030 East Boulevard Cleveland, Ohio 44106	General Operating	\$35,000
Cleveland Clinic Arts & Medicine Institute 1950 Richmond Road – TR308 Lyndhurst, Ohio 44124	General Operating	\$125,000
Cleveland Foodbank, Inc. 15500 S. Waterloo Road Cleveland, Ohio 44110	General Operating Capital	\$3,750 \$120,000
Cleveland Hearing & Speech Center 11206 Euclid Avenue Cleveland, Ohio	General Operating	\$15,000
Cleveland Institute of Art 11141 East Boulevard Cleveland, Ohio 44106-1710	Capital Capital Capital	\$500,000 \$300,180 \$199,820
Cleveland International Piano Competition 12200 Fairhill Road Cleveland, Ohio 44120	General Operating	\$10,000
Cleveland Leadership Center 1375 East 9 th Street – Suite 2430 Cleveland, Ohio 44114	General Operating	\$30,000
The Cleveland Museum of Art 11150 East Boulevard Cleveland, Ohio 44106	Annual Fund	\$7,500
Cleveland Neighborhood Progress 11327 Shaker Boulevard, Suite 500W Cleveland, Ohio 44104	Capital Capital	\$100,000 \$100,000
Cleveland Public Theatre 6415 Detroit Road Cleveland, Ohio 44102	General Operating	\$10,000
The Cleveland Rotary Foundation 1122 Prospect Avenue Cleveland, Ohio 44115	Swartout Fund	\$1,000

Cleveland Sight Center 1909 East 101 st Street P.O. Box 1988 Cleveland, Ohio 44106-8696	General Operating	\$30,000
Cleveland Special Events Corporation DBA Gay Games 9 1220 West 6 th Street, Suite 230 Cleveland, Ohio 44113	General Operating	\$50,000
Cleveland State University Foundation, Inc. 2121 Euclid Avenue, KB 300 Cleveland, Ohio 44115-2214	Film and Digital Media	\$20,506
Cleveland Zoological Society 3900 Wildlife Way Cleveland, Ohio 44109	Capital Capital	\$125,000 \$125,000
College Now Greater Cleveland 200 Public Square – Suite 3820 Cleveland, Ohio 44114-3304	General Operating	\$35,000
Conservancy for Cuyahoga Valley National Park Hines Hill Center 1403 W. Hines Hill Road Peninsula, Ohio 44264	General Operating	\$25,000
Cuyahoga Valley Countryside Conservancy 2179 Everett Road Peninsula, Ohio 44264	Planning & Development	\$50,000
Cuyahoga Community College Foundation 700 Carnegie Avenue Cleveland, Ohio 44115-2878	Capital	\$45,000
Cuyahoga County Public Library 2111 Snow Road Parma, Ohio 44134	Capital	\$100,000
Cuyahoga Valley Scenic Railroad P.O. Box 158 Peninsula, Ohio 44264-0158	General Operating	\$15,000
Downtown Cleveland Alliance 50 Public Square, Suite 825 Cleveland, Ohio 44113	General Operating	\$50,000

Evergreen Cooperative Corporation 540 E. 105 th Street Cleveland, Ohio 44108	General Operating	\$200,000
Family Promise of Greater Cleveland 3470 East 152 nd Street Cleveland, Ohio 44120	General Operating	\$25,000
Fieldstone Farm Therapeutic Riding Center 16497 Snyder Road P.O. Box 23129 Chagrin Falls, Ohio 44023	Ridership Program	\$40,000
Flying Horse Farms 5260 State Route 95 Mt. Gilead, Ohio 43338	General Operating	\$20,000
The Foundation Center – Cleveland 1422 Euclid Avenue - Suite 1600 Cleveland, Ohio 44115	General Operating	\$6,000
The Free Medical Clinic of Greater Cleveland 12201 Euclid Avenue Cleveland, Ohio 44106-4399	General Operating	\$45,000
Friends of Breakthrough Schools 3615 Superior Avenue Suite 3103A Cleveland, Ohio 44114	General Operating	\$50,000
Fund for Our Economic Future 1360 East 9 th Street Cleveland, Ohio 44114	General Operating	\$333,333
The Gathering Place 23300 Commerce Park Beachwood, Ohio 44122	General Operating	\$15,000
Gordon Square Arts District 6516 Detroit Avenue – Suite 1 Cleveland, Ohio 44102	Capital	\$125,000
Great Lakes Science Center 601 Erieside Avenue Cleveland, Ohio 44114	Annual Fund	\$2,500

Great Lakes Theater 1501 Euclid Avenue - #300 Cleveland, Ohio 44115-2108	General Operating	\$50,000
Greater Cleveland Habitat for Humanity 2110 West 110 th Street Cleveland, Ohio 44102	General Operating	\$20,000
Hawken School 12465 County Line Road Gates Mills, Ohio 44040-8002	Capital General Operating Capital	\$996,299 \$20,000 \$500,000
The Hiram House 33775 Hiram Trail Chagrin Falls, Ohio 44022	Campership Fund	\$10,000
The Holden Arboretum 9500 Sperry Road Kirtland, Ohio 44094	General Operating	\$15,000
Hopewell 9637 State Road Route 534 P.O. Box 193 Mesopotamia, Ohio 44439	General Operating	\$35,000
ideastream 1375 Euclid Avenue Cleveland, Ohio 44115	General Operating	\$37,000
Junior Achievement of Greater Cleveland, Inc. 1422 Euclid Avenue - Suite 525 Cleveland, Ohio 44115	General Operating	\$15,000
Lake Erie Nature and Science Center 28728 Wolf Road Bay Village, Ohio 44140	Capital General Operating	\$39,553 \$30,000
Lakewood Hospital Foundation 14601 Detroit Avenue - Suite 240 Lakewood, Ohio 44107	Memorial Fund Diabetes Initiative	\$2,500 \$3,000
LAND studio 1939 West 25 th Street – Suite 200 Cleveland, Ohio 44113	General Operating	\$25,000
Lawrence School 10036 Olde Eight Road Sagamore Hills, Ohio 44067	General Operating	\$80,000

May Dugan Center 4115 Bridge Avenue Cleveland, Ohio 44113	General Operating	\$7,500
Museum of Contemporary Art Cleveland 11400 Euclid Avenue Cleveland, Ohio 44106	General Operating	\$40,000
The Musical Arts Association 11001 Euclid Avenue Cleveland, Ohio 44106	Centennial Campaign General Operating Centennial Campaign	\$500,000 \$150,000 \$500,000
Nature Center at Shaker Lakes 2600 South Park Boulevard Cleveland, Ohio 44120-1699	General Operating	\$7,500
The Nature Conservancy – Ohio 6375 Riverside Drive Dublin, Ohio 43017	General Operating	\$50,000
NewBridge Cleveland Center for Arts & Technology 3634 Euclid Avenue Cleveland, Ohio 44115	General Operating	\$50,000
Ohio Wesleyan University 61 South Sandusky Street Delaware, Ohio 43015	Capital	\$15,700
Open Doors Academy 3311 Perkins Avenue Cleveland, Ohio 44114	General Operating	\$20,000
Planned Parenthood of Greater Ohio 25350 Rockside Road Bedford Heights, Ohio 44146	General Operating	\$50,000
PlayhouseSquare Foundation 1501 Euclid Avenue - Suite 200 Cleveland, Ohio 44115-2197	General Operating Capital	\$30,000 \$250,000
Pollinator Partnership 423 Washington Street, 5 th Floor San Francisco, California 94111-2339	Monarch Project	\$37,500
Project Learn 2728 Euclid Avenue – Suite 400 Cleveland, Ohio 44115	General Operating	\$7,500

Providence House 2037 West 32 nd Street Cleveland, Ohio 44113-4017	General Operating	\$30,000
Rainey Institute 1705 East 55 th Street Cleveland, Ohio 44103	General Operating	\$10,000
Rocky River United Methodist Church 19414 Detroit Avenue Cleveland, Ohio 44116	Mortgage Fund	\$1,000
Saint Martin de Porres High School 6111 Lausche Avenue Cleveland, Ohio 44103	General Operating Capital	\$50,000 \$100,000
St. Edward High School 13500 Detroit Avenue Lakewood, Ohio 44107	Annual Fund	\$5,000
Teach For America – Northeast Ohio 3500 Lorain Avenue, Suite 400 Cleveland, Ohio 44113	General Operating	\$20,000
Trinity Cathedral 2230 Euclid Avenue Cleveland, Ohio 44115-2405	General Operating	\$25,000
The Trust for Public Land 1422 Euclid Avenue Cleveland, Ohio 44115	General Operating	\$30,000
Urban Community School 4909 Lorain Avenue Cleveland, Ohio 44102	Capital General Operating	\$50,000 \$21,000
Vocational Guidance Services 2239 East 55 th Street Cleveland, Ohio 44103	General Operating	\$17,500
Western Reserve Land Conservancy P.O. Box 314 Novelty, Ohio 44072	General Operating	\$50,000
Youth Opportunities Unlimited 1361 Euclid Avenue Cleveland, Ohio 44115-1819	General Operating	\$15,000

pme
11/05/14

**SCHEDULE D
(Form 1041)**

Department of the Treasury
Internal Revenue Service

Capital Gains and Losses

▶ Attach to Form 1041, Form 5227, or Form 990-T.

▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.

▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

OMB No 1545-0092

2013

Name of estate or trust

THE KELVIN AND ELEANOR SMITH FOUNDATION

Employer identification number

34-6555349

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below.

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked				
2 Totals for all transactions reported on Form(s) 8949 with Box B checked	14,218,566.	12,997,272.		1,221,294.
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶				7 1,220,788.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below

This form may be easier to complete if you round off cents to whole dollars

	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions). However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked				
9 Totals for all transactions reported on Form(s) 8949 with Box E checked	39,748,366.	27,618,906.		12,129,460.
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
LONG-TERM CAPITAL GAIN DIVIDENDS ATTACHMENT 1				298,571.
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶				16 12,429,932.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

Part III Summary of Parts I and II**Caution:** Read the instructions *before* completing this part.

	(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
17 Net short-term gain or (loss)	17		1,220,788.
18 Net long-term gain or (loss):			
a Total for year	18a		12,429,932.
b Unrecaptured section 1250 gain (see line 18 of the wrkst)	18b		
c 28% rate gain	18c		1,487.
19 Total net gain or (loss). Combine lines 17 and 18a. ▶	19		13,650,720.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a). If lines 18a and 19, column (2), are net gains, go to Part V, and **do not** complete Part IV. If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary.

Part IV Capital Loss Limitation

20 Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the **smaller** of
 a The loss on line 19, column (3) or **b** \$3,000 **20** ()

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover.

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero.

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero. Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero.

21 Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34).	21		
22 Enter the smaller of line 18a or 19 in column (2) but not less than zero.	22		
23 Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T).	23		
24 Add lines 22 and 23	24		
25 If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	25		
26 Subtract line 25 from line 24. If zero or less, enter -0-	26		
27 Subtract line 26 from line 21. If zero or less, enter -0-	27		
28 Enter the smaller of the amount on line 21 or \$2,450	28		
29 Enter the smaller of the amount on line 27 or line 28	29		
30 Subtract line 29 from line 28. If zero or less, enter -0-. This amount is taxed at 0% ▶	30		
31 Enter the smaller of line 21 or line 26	31		
32 Subtract line 30 from line 26.	32		
33 Enter the smaller of line 21 or \$11,950.	33		
34 Add lines 27 and 30	34		
35 Subtract line 34 from line 33. If zero or less, enter -0-	35		
36 Enter the smaller of line 32 or line 35.	36		
37 Multiply line 36 by 15%. ▶	37		
38 Enter the amount from line 31	38		
39 Add lines 30 and 36	39		
40 Subtract line 39 from line 38. If zero or less, enter -0-	40		
41 Multiply line 40 by 20% ▶	41		
42 Figure the tax on the amount on line 27. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	42		
43 Add lines 37, 41, and 42	43		
44 Figure the tax on the amount on line 21. Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041).	44		
45 Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36). ▶	45		

Schedule D (Form 1041) 2013

Sales and Other Dispositions of Capital Assets

OMB No 1545-0074

2013Attachment
Sequence No **12A**Department of the Treasury
Internal Revenue Service► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

Name(s) shown on return

THE KELVIN AND ELEANOR SMITH FOUNDATION

Social security number or taxpayer identification number

34-6555349

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- ☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)
- ☒ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS
- ☐ (C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	ACCT. CQOF8004002 ST	VARIOUS	VARIOUS	999,243.	798,751.			200,492.
	ACCT. CQOF8003002 ST	VARIOUS	VARIOUS	1,353,587.	1311003.			42,584.
	ACCT. CQOF8006002 ST	VARIOUS	VARIOUS	1,073,999.	1085459.			-11,460.
	ACCT. CQOF8005002 ST	VARIOUS	VARIOUS	2,610,243.	2610618.			-375.
	ACCT. CQOF8010002 ST	VARIOUS	VARIOUS	512,014.	447,646.			64,368.
	ACCT. CQOF8009002 ST	VARIOUS	VARIOUS	3,090,837.	2827832.			263,005.
	ACCT. CQOF8011002 ST	VARIOUS	VARIOUS	113,742.	95,720.			18,022.
	ACCT. CQOF8012002 ST	VARIOUS	VARIOUS	3,973,116.	3477892.			495,224.
	ACCT. CQOF8013002 ST	VARIOUS	VARIOUS	387,061.	340,980.			46,081.
	ACCT. CQOF8008002 ST	VARIOUS	VARIOUS	1,259.	1,371.			-112.
	AIG PEP III U.S. BUYOUT, L.P. ST	VARIOUS	VARIOUS					
	AIG PEP III U.S. VENTURE, L.P. ST	VARIOUS	VARIOUS					
	AIG PEP III DIRECT, L.P. ST	VARIOUS	VARIOUS					
	AIG PEP III SECONDARY, L.P. ST	VARIOUS	VARIOUS					
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked).				14,218,566.	12997272.			1,221,294.

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Sales and Other Dispositions of Capital Assets

► Information about Form 8949 and its separate instructions is at www.irs.gov/form8949.

► File with your Schedule D to list your transactions for lines 1b, 2, 3, 8b, 9, and 10 of Schedule D.

OMB No 1545-0074

2013

Attachment
Sequence No. 12A

Name(s) shown on return

THE KELVIN AND ELEANOR SMITH FOUNDATION

Social security number or taxpayer identification number

34-6555349

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box A, B, or C below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part I **Short-Term.** Transactions involving capital assets you held one year or less are short-term. For long-term transactions, see page 2.

Note. You may aggregate all short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 1a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box A, B, or C below. Check only one box. If more than one box applies for your short-term transactions, complete a separate Form 8949, page 1, for each applicable box. If you have more short-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (A) Short-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☒ (B) Short-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

(C) Short-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo , day, yr)	(c) Date sold or disposed (Mo , day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	CUYAHOGA CAPITAL PARTNERS IV ST	VARIOUS	VARIOUS	814.				814.
	INTERNATIONAL SMALL CA PORTFOLIO ST	VARIOUS	VARIOUS	128,735.				128,735.
	SIGULER GUFF ST	VARIOUS	VARIOUS	15,295.				15,295.
	CLANCO PRIVATE EQUITY 2006 LLC ST	VARIOUS	VARIOUS	8,168.				8,168.
	CLANCO PRIVATE EQUITY 2007 LLC ST	VARIOUS	VARIOUS	7,402.				7,402.
	SANFORD C. BERNSTEIN & CO ST	VARIOUS	VARIOUS	-20,921.				-20,921.
	SIT OPPORTUNITY BOND FUND, LLC ST	VARIOUS	VARIOUS	-36,764.				-36,764.
	ABERDEEN INTERNATIONAL SMALLER COMPANIES F	VARIOUS	VARIOUS	736.				736.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts) Enter each total here and include on your Schedule D, line 1b (if Box A above is checked), line 2 (if Box B above is checked), or line 3 (if Box C above is checked)▶								

Note. If you checked Box A above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

For Paperwork Reduction Act Notice, see your tax return instructions.

Form **8949** (2013)

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)

Social security number or taxpayer identification number

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

☐ (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see Note above)

☒ (E) Long-term transactions reported on Form(s) 1099-B showing basis was not reported to the IRS

☐ (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example 100 sh XYZ Co)	(b) Date acquired (Mo, day, yr)	(c) Date sold or disposed (Mo, day, yr)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss If you enter an amount in column (g), enter a code in column (f). See the separate instructions.		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	ACCT. CQOF8004002 LT	VARIOUS	VARIOUS	1,635,872.	1214062.			421,810.
	ACCT. CQOF8003002 LT	VARIOUS	VARIOUS	3,122,594.	1950190.			1,172,404.
	ACCT. CQOF8006002 LT	VARIOUS	VARIOUS	3,263,006.	1531544.			1,731,462.
	ACCT. CQOF8005002 LT	VARIOUS	VARIOUS	8,216,557.	8249712.			-33,155.
	ACCT. CQOF8010002 LT	VARIOUS	VARIOUS	2,276,086.	1541010.			735,076.
	ACCT. CQOF8009002 LT	VARIOUS	VARIOUS	2,034,510.	1494055.			540,455.
	ACCT. CQOF8011002 LT	VARIOUS	VARIOUS	616,792.	484,647.			132,145.
	ACCT. CQOF8012002 LT	VARIOUS	VARIOUS	2,881,746.	1759891.			1,121,855.
	ACCT. CQOF8013002 LT	VARIOUS	VARIOUS	1,364,115.	732,559.			631,556.
	ACCT. CQOF8008002 LT	VARIOUS	VARIOUS	1,386,997.	1084901.			302,096.
	ACCT. CQOF8001002 LT	VARIOUS	VARIOUS	11782441.	7576335.			4,206,106.
	AIG PEP III U.S. BUYOUT, L.P. LT	VARIOUS	VARIOUS	347,915.				347,915.
	AIG PEP III DIRECT, L.P. LT	VARIOUS	VARIOUS	41,935.				41,935.
	AIG PEP III U.S. VENTURE, L.P. LT			64,740.				64,740.
	2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶			39748366.	27618906.			12129460.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Social security number or taxpayer identification number

34-6555349

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a; you are not required to report these transactions on Form 8949 (see instructions).

☐ (F) Long-term transactions not reported to you on Form 1099-B

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See *Column (g)* in the separate instructions for how to figure the amount of the adjustment.

FEDERAL CAPITAL GAIN DIVIDENDSATTACHMENT 1

28% RATE CAPITAL GAIN DIVIDENDS

UNRECAPTURED SECTION 1250

1,487.

TOTAL 28% RATE CAPITAL GAIN DIVIDENDS

1,487.

15% RATE CAPITAL GAIN DIVIDENDS

LONG TERM CAPITAL GAINS DIVIDENDS

297,084.

TOTAL 15% RATE CAPITAL GAIN DIVIDENDS

297,084.

TOTAL LONG-TERM CAPITAL GAIN DIVIDENDS

298,571.

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON								FED WASH		NET	
ACCOUNT					SALES		GAIN/LOSS	SALE		GAIN/LOSS	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE		PROCEEDS	COST BASIS	AMOUNT	DISALLOWED	DISALLOWED	WITH LOSS	LONG/SHORT
CQOF8001002	ISHARES S & P 100 INDEX FD	3/30/2004 0 00	5/21/2014 0 00		1,680,665	1,103,800	576,865				LONG
CQOF8001002	ISHARES S & P 100 INDEX FD	3/31/2004 0 00	5/21/2014 0 00		4,268,637	2,803,695	1,464,942				LONG
CQOF8001002	SPDR DJIA TRUST	3/30/2004 0 00	5/21/2014 0 00		5,798,502	3,647,055	2,151,447				LONG
CQOF8001002	SPDR DJIA TRUST	3/30/2004 0 00	5/21/2014 0 00		34,637	21,785	12,851				LONG
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	11/1/2013 0 00		19,249	11,922	7,327	-		7,327	LONG
CQOF8003002	EQUINIX INC	2/15/2013 0 00	11/5/2013 0 00		32,609	45,479	(12,870)	-		(12,870)	SHORT
CQOF8003002	EQUINIX INC	2/15/2013 0 00	11/6/2013 0 00		2,269	3,168	(899)	-		(899)	SHORT
CQOF8003002	EQUINIX INC	2/15/2013 0 00	11/6/2013 0 00		22,690	31,489	(8,799)	-		(8,799)	SHORT
CQOF8003002	EQUINIX INC	2/14/2013 0 00	11/6/2013 0 00		41,166	57,220	(16,054)	-		(16,054)	SHORT
CQOF8003002	EQUINIX INC	4/23/2013 0 00	11/7/2013 0 00		14,245	19,255	(5,010)	-		(5,010)	SHORT
CQOF8003002	EQUINIX INC	4/23/2013 0 00	11/8/2013 0 00		9,380	13,128	(3,749)	-		(3,749)	SHORT
CQOF8003002	EQUINIX INC	2/15/2013 0 00	11/8/2013 0 00		5,618	8,097	(2,479)	-		(2,479)	SHORT
CQOF8003002	EQUINIX INC	4/23/2013 0 00	11/8/2013 0 00		6,398	8,971	(2,573)	-		(2,573)	SHORT
CQOF8003002	EQUINIX INC	2/19/2013 0 00	11/8/2013 0 00		43,073	60,616	(17,543)	-		(17,543)	SHORT
CQOF8003002	EQUINIX INC	4/24/2013 0 00	11/8/2013 0 00		5,628	7,874	(2,246)	-		(2,246)	SHORT
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/12/2012 0 00	1/22/2014 0 00		23,699	13,327	10,373				LONG
CQOF8003002	GILEAD SCIENCES INC	8/29/2013 0 00	1/22/2014 0 00		12,553	9,270	3,283				SHORT
CQOF8003002	GILEAD SCIENCES INC	8/26/2013 0 00	1/22/2014 0 00		33,282	24,456	8,826				SHORT
CQOF8003002	GILEAD SCIENCES INC	8/23/2013 0 00	1/22/2014 0 00		24,197	17,483	6,714				SHORT
CQOF8003002	ILLUMINA INC	9/1/2011 0 00	1/22/2014 0 00		37,135	13,153	23,982				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/12/2012 0 00	1/23/2014 0 00		47,456	27,232	20,224				LONG
CQOF8003002	ILLUMINA INC	9/1/2011 0 00	1/23/2014 0 00		13,592	4,881	8,711				LONG
CQOF8003002	ILLUMINA INC	9/2/2011 0 00	1/23/2014 0 00		41,062	14,282	26,780				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/12/2012 0 00	1/24/2014 0 00		46,893	27,522	19,371				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/25/2012 0 00	1/27/2014 0 00		28,242	14,287	13,955				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/12/2012 0 00	1/27/2014 0 00		6,330	3,766	2,564				LONG
CQOF8003002	ILLUMINA INC	4/18/2012 0 00	1/29/2014 0 00		23,583	6,845	16,738				LONG
CQOF8003002	ILLUMINA INC	9/2/2011 0 00	1/29/2014 0 00		4,274	1,443	2,831				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/25/2012 0 00	2/6/2014 0 00		42,530	19,213	23,317				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/25/2012 0 00	2/7/2014 0 00		9,348	4,187	5,160				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/25/2012 0 00	2/10/2014 0 00		21,290	9,607	11,683				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/25/2012 0 00	2/11/2014 0 00		23,559	10,592	12,967				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/24/2012 0 00	2/12/2014 0 00		8,166	3,630	4,535				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/25/2012 0 00	2/12/2014 0 00		15,243	6,897	8,345				LONG
CQOF8003002	ILLUMINA INC	4/18/2012 0 00	2/12/2014 0 00		33,946	9,070	24,877				LONG
CQOF8003002	ILLUMINA INC	4/18/2012 0 00	2/13/2014 0 00		33,423	8,727	24,695				LONG
CQOF8003002	ILLUMINA INC	4/18/2012 0 00	2/13/2014 0 00		48,056	12,792	35,264				LONG
CQOF8003002	CME GROUP INC	5/24/2011 0 00	2/18/2014 0 00		9,455	7,240	2,215				LONG
CQOF8003002	CME GROUP INC	1/24/2013 0 00	2/18/2014 0 00		20,359	15,303	5,055				LONG
CQOF8003002	CME GROUP INC	1/24/2013 0 00	2/19/2014 0 00		36,040	27,339	8,700				LONG
CQOF8003002	CME GROUP INC	1/24/2013 0 00	2/20/2014 0 00		3,623	2,808	815				LONG
CQOF8003002	CME GROUP INC	1/23/2013 0 00	2/20/2014 0 00		32,311	24,856	7,455				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/24/2012 0 00	2/20/2014 0 00		33,583	14,764	18,820				LONG
CQOF8003002	CME GROUP INC	1/23/2013 0 00	2/21/2014 0 00		11,370	8,702	2,667				LONG
CQOF8003002	CME GROUP INC	2/4/2010 0 00	2/21/2014 0 00		20,733	15,428	5,305				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/24/2012 0 00	2/21/2014 0 00		48,008	21,056	26,952				LONG
CQOF8003002	CME GROUP INC	2/4/2010 0 00	2/24/2014 0 00		41,858	31,133	10,725				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/24/2012 0 00	2/24/2014 0 00		28,745	12,585	16,160				LONG
CQOF8003002	CME GROUP INC	2/4/2010 0 00	2/25/2014 0 00		12,013	9,014	2,999				LONG
CQOF8003002	CME GROUP INC	2/7/2012 0 00	2/25/2014 0 00		2,064	1,536	527				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/24/2012 0 00	2/25/2014 0 00		43,349	18,878	24,471				LONG
CQOF8003002	CME GROUP INC	2/6/2012 0 00	2/26/2014 0 00		4,065	3,061	1,004				LONG
CQOF8003002	CME GROUP INC	2/7/2012 0 00	2/26/2014 0 00		13,937	10,536	3,401				LONG
CQOF8003002	CHIPOTLE MEXICAN GRILL INC	10/24/2012 0 00	2/26/2014 0 00		23,301	10,165	13,136				LONG
CQOF8003002	CME GROUP INC	2/6/2012 0 00	2/27/2014 0 00		33,358	25,035	8,323				LONG
CQOF8003002	CME GROUP INC	2/3/2012 0 00	2/28/2014 0 00		6,999	5,169	1,830				LONG
CQOF8003002	CME GROUP INC	2/6/2012 0 00	2/28/2014 0 00		30,280	22,466	7,814				LONG
CQOF8003002	CME GROUP INC	8/29/2011 0 00	3/3/2014 0 00		22,263	15,939	6,324				LONG
CQOF8003002	CME GROUP INC	2/3/2012 0 00	3/3/2014 0 00		19,838	14,692	5,146				LONG
CQOF8003002	CME GROUP INC	8/29/2011 0 00	3/4/2014 0 00		70,889	49,289	21,599				LONG
CQOF8003002	CME GROUP INC	8/9/2011 0 00	3/4/2014 0 00		5,296	3,677	1,619				LONG
CQOF8003002	CME GROUP INC	8/9/2011 0 00	3/5/2014 0 00		61,855	42,546	19,309				LONG
CQOF8003002	CME GROUP INC	8/10/2011 0 00	3/5/2014 0 00		13,746	9,379	4,366				LONG
CQOF8003002	CME GROUP INC	3/4/2009 0 00	3/5/2014 0 00		35,433	17,405	18,028				LONG
CQOF8003002	CME GROUP INC	3/4/2009 0 00	3/6/2014 0 00		88,936	43,437	45,500				LONG
CQOF8003002	CME GROUP INC	3/4/2009 0 00	3/7/2014 0 00		42,716	20,818	21,898				LONG
CQOF8003002	CME GROUP INC	3/4/2009 0 00	3/10/2014 0 00		12,656	6,189	6,467				LONG
CQOF8003002	CME GROUP INC	3/4/2009 0 00	3/11/2014 0 00		5,200	2,551	2,650				LONG
CQOF8003002	INTUITIVE SURGICAL INC	10/12/2012 0 00	4/7/2014 0 00		29,013	28,788	225				LONG
CQOF8003002	INTUITIVE SURGICAL INC	10/12/2012 0 00	4/14/2014 0 00		16,338	18,861	(2,522)				LONG
CQOF8003002	INTUITIVE SURGICAL INC	7/30/2012 0 00	4/14/2014 0 00		14,189	16,106	(1,918)				LONG
CQOF8003002	INTUITIVE SURGICAL INC	7/25/2012 0 00	4/15/2014 0 00		45,386	52,627	(7,240)				LONG
CQOF8003002	INTUITIVE SURGICAL INC	7/30/2012 0 00	4/15/2014 0 00		14,990	17,570	(2,580)				LONG
CQOF8003002	INTUITIVE SURGICAL INC	3/18/2013 0 00	4/16/2014 0 00		33,412	38,534	(5,122)				LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	NET GAIN/LOSS WITH LOSS		LONG/SHORT
				PROCEEDS	COST BASIS		FED WASH SALE DISALLOWED	DISALLOWED	
CQOF8003002	ALLERGAN INC COM	2/13/2014 0 00	4/22/2014 0 00	5,576	4,202	1,374			SHORT
CQOF8003002	ALLERGAN INC COM	2/13/2014 0 00	4/22/2014 0 00	29,193	22,247	6,946			SHORT
CQOF8003002	ALLERGAN INC COM	6/17/2013 0 00	4/23/2014 0 00	25,326	15,679	9,647			SHORT
CQOF8003002	ALLERGAN INC COM	2/13/2014 0 00	4/23/2014 0 00	24,339	18,292	6,047			SHORT
CQOF8003002	ALLERGAN INC COM	2/12/2014 0 00	4/23/2014 0 00	47,198	35,402	11,796			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/2/2013 0 00	4/23/2014 0 00	9,876	10,221	(345)			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	3/18/2013 0 00	4/23/2014 0 00	22,791	28,900	(6,109)			LONG
CQOF8003002	INTUITIVE SURGICAL INC	3/19/2013 0 00	4/23/2014 0 00	35,327	44,475	(9,148)			LONG
CQOF8003002	ALLERGAN INC COM	6/17/2013 0 00	4/30/2014 0 00	39,271	24,231	15,040			SHORT
CQOF8003002	ALLERGAN INC COM	6/18/2013 0 00	4/30/2014 0 00	27,061	16,604	10,457			SHORT
CQOF8003002	ALLERGAN INC COM	6/18/2013 0 00	5/1/2014 0 00	57,698	34,727	22,971			SHORT
CQOF8003002	AMERICAN TOWER CORP-CL A	7/1/2013 0 00	5/6/2014 0 00	13,274	11,014	2,260			SHORT
CQOF8003002	AMERICAN TOWER CORP-CL A	7/11/2013 0 00	5/6/2014 0 00	16,680	14,819	1,861			SHORT
CQOF8003002	AMERICAN TOWER CORP-CL A	7/10/2013 0 00	5/6/2014 0 00	27,858	23,932	3,926			SHORT
CQOF8003002	AMERICAN TOWER CORP-CL A	7/9/2013 0 00	5/6/2014 0 00	13,885	11,573	2,313			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/2/2013 0 00	5/6/2014 0 00	42,441	45,997	(3,555)			SHORT
CQOF8003002	VIACOM INC NEW	1/22/2014 0 00	5/6/2014 0 00	14,518	14,673	(155)			SHORT
CQOF8003002	VIACOM INC NEW	1/23/2014 0 00	5/6/2014 0 00	39,216	39,201	14			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/23/2013 0 00	5/7/2014 0 00	13,792	15,231	(1,439)			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/22/2013 0 00	5/7/2014 0 00	2,475	2,724	(249)			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/2/2013 0 00	5/7/2014 0 00	707	786	(79)			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/26/2013 0 00	5/7/2014 0 00	27,583	30,610	(3,027)			SHORT
CQOF8003002	VIACOM INC NEW	1/23/2014 0 00	5/7/2014 0 00	19,297	19,350	(54)			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/21/2013 0 00	5/8/2014 0 00	20,558	22,480	(1,922)			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/27/2013 0 00	5/8/2014 0 00	12,051	13,159	(1,108)			SHORT
CQOF8003002	INTUITIVE SURGICAL INC	8/22/2013 0 00	5/8/2014 0 00	9,925	10,898	(973)			SHORT
CQOF8003002	GILEAD SCIENCES INC	8/27/2013 0 00	6/16/2014 0 00	4,772	3,499	1,273			SHORT
CQOF8003002	GILEAD SCIENCES INC	8/23/2013 0 00	6/16/2014 0 00	3,316	2,446	870			SHORT
CQOF8003002	GILEAD SCIENCES INC	8/22/2013 0 00	6/16/2014 0 00	19,735	14,551	5,184			SHORT
CQOF8003002	ILLUMINA INC	5/1/2014 0 00	6/16/2014 0 00	28,789	23,842	4,948			SHORT
CQOF8003002	ILLUMINA INC	5/1/2014 0 00	6/17/2014 0 00	44,207	36,324	7,883			SHORT
CQOF8003002	GILEAD SCIENCES INC	8/27/2013 0 00	6/18/2014 0 00	17,248	12,927	4,321			SHORT
CQOF8003002	GILEAD SCIENCES INC	8/27/2013 0 00	6/19/2014 0 00	3,917	2,906	1,012			SHORT
CQOF8003002	GILEAD SCIENCES INC	8/21/2013 0 00	6/19/2014 0 00	8,874	6,506	2,368			SHORT
CQOF8003002	GILEAD SCIENCES INC	8/21/2013 0 00	6/20/2014 0 00	9,520	6,975	2,545			SHORT
CQOF8003002	VIACOM INC NEW	1/23/2014 0 00	7/10/2014 0 00	5,806	5,588	218			SHORT
CQOF8003002	VIACOM INC NEW	1/30/2012 0 00	7/10/2014 0 00	8,579	4,743	3,836			LONG
CQOF8003002	VIACOM INC NEW	7/5/2012 0 00	7/10/2014 0 00	27,644	15,302	12,342			LONG
CQOF8003002	VIACOM INC NEW	1/30/2012 0 00	7/11/2014 0 00	45,148	25,345	19,803			LONG
CQOF8003002	VIACOM INC NEW	1/27/2012 0 00	7/11/2014 0 00	8,449	4,742	3,707			LONG
CQOF8003002	VIACOM INC NEW	1/12/2012 0 00	7/14/2014 0 00	5,968	3,344	2,624			LONG
CQOF8003002	VIACOM INC NEW	1/27/2012 0 00	7/14/2014 0 00	33,079	18,585	14,494			LONG
CQOF8003002	VIACOM INC NEW	1/12/2012 0 00	7/15/2014 0 00	10,745	6,018	4,727			LONG
CQOF8003002	VIACOM INC NEW	7/6/2012 0 00	7/15/2014 0 00	10,179	5,720	4,459			LONG
CQOF8003002	VIACOM INC NEW	1/12/2012 0 00	7/15/2014 0 00	31,980	18,007	13,972			LONG
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/14/2014 0 00	7/16/2014 0 00	1,648	1,785	(137)	137	0	SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/14/2014 0 00	7/16/2014 0 00	34,548	37,407	(2,859)	2,859	(0)	SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/10/2014 0 00	7/16/2014 0 00	10,252	11,765	(1,513)			SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/13/2014 0 00	7/16/2014 0 00	2,011	2,318	(307)			SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/9/2014 0 00	7/16/2014 0 00	25,977	30,017	(4,040)	821	(3,218)	SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/9/2014 0 00	7/16/2014 0 00	16,450	19,009	(2,559)			SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/5/2014 0 00	7/16/2014 0 00	42,427	49,357	(6,930)	6,930	0	SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/11/2014 0 00	7/16/2014 0 00	14,801	15,952	(1,151)	1,151	(0)	SHORT
CQOF8003002	TWENTY FIRST CENTURY FOX INC	7/11/2014 0 00	7/17/2014 0 00	37,957	40,821	(2,864)	2,567	(297)	SHORT
CQOF8003002	QUALCOMM INC	1/23/2014 0 00	7/30/2014 0 00	30,978	30,997	(19)			SHORT
CQOF8003002	QUALCOMM INC	1/22/2014 0 00	7/30/2014 0 00	23,574	23,669	(96)			SHORT
CQOF8003002	QUALCOMM INC	1/23/2014 0 00	8/4/2014 0 00	27,156	28,049	(893)			SHORT
CQOF8003002	QUALCOMM INC	1/23/2014 0 00	8/5/2014 0 00	18,682	19,354	(672)			SHORT
CQOF8003002	QUALCOMM INC	1/23/2014 0 00	8/6/2014 0 00	17,839	18,523	(683)			SHORT
CQOF8003002	QUALCOMM INC	1/24/2014 0 00	8/6/2014 0 00	5,243	5,393	(150)			SHORT
CQOF8003002	QUALCOMM INC	1/24/2014 0 00	8/7/2014 0 00	27,563	28,387	(823)			SHORT
CQOF8003002	QUALCOMM INC	1/27/2014 0 00	8/7/2014 0 00	17,963	18,124	(161)			SHORT
CQOF8003002	QUALCOMM INC	1/27/2014 0 00	8/8/2014 0 00	1,173	1,174	(1)			SHORT
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	8/8/2014 0 00	31,150	18,292	12,858			LONG
CQOF8003002	VIACOM INC NEW	1/6/2012 0 00	8/8/2014 0 00	10,350	6,106	4,244			LONG
CQOF8003002	VIACOM INC NEW	7/6/2012 0 00	8/8/2014 0 00	21,101	12,536	8,565			LONG
CQOF8003002	VIACOM INC NEW	7/9/2012 0 00	8/8/2014 0 00	25,915	15,348	10,567			LONG
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	8/11/2014 0 00	19,688	11,406	8,282			LONG
CQOF8003002	VIACOM INC NEW	1/6/2012 0 00	8/11/2014 0 00	57,830	33,846	23,984			LONG
CQOF8003002	VIACOM INC NEW	1/6/2012 0 00	8/11/2014 0 00	3,649	2,130	1,519			LONG
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	8/12/2014 0 00	12,691	7,360	5,331			LONG
CQOF8003002	VIACOM INC NEW	1/6/2012 0 00	8/12/2014 0 00	52,543	30,863	21,680			LONG
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	8/13/2014 0 00	13,760	7,962	5,798			LONG
CQOF8003002	VIACOM INC NEW	1/10/2012 0 00	8/13/2014 0 00	12,282	7,198	5,084			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
EIN 34-6555349
FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8003002	VIACOM INC NEW	1/11/2012 0 00	8/13/2014 0 00	22,958	13,500	9,458			LONG
CQOF8003002	VIACOM INC NEW	1/6/2012 0 00	8/13/2014 0 00	15,011	8,852	6,159			LONG
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	8/14/2014 0 00	19,821	11,406	8,416			LONG
CQOF8003002	VIACOM INC NEW	1/10/2012 0 00	8/14/2014 0 00	33,969	19,806	14,163			LONG
CQOF8003002	VIACOM INC NEW	1/9/2012 0 00	8/14/2014 0 00	9,279	5,405	3,874			LONG
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	8/15/2014 0 00	6,559	3,788	2,771			LONG
CQOF8003002	VIACOM INC NEW	1/9/2012 0 00	8/15/2014 0 00	31,843	18,471	13,372			LONG
CQOF8003002	VIACOM INC NEW	1/9/2012 0 00	8/15/2014 0 00	49,836	28,811	21,025			LONG
CQOF8003002	GILEAD SCIENCES INC	8/21/2013 0 00	8/18/2014 0 00	6,435	3,751	2,684			SHORT
CQOF8003002	GILEAD SCIENCES INC	6/14/2013 0 00	8/18/2014 0 00	27,450	14,268	13,182			LONG
CQOF8003002	GILEAD SCIENCES INC	6/17/2013 0 00	8/18/2014 0 00	905	467	438			LONG
CQOF8003002	GILEAD SCIENCES INC	6/17/2013 0 00	8/18/2014 0 00	58,322	29,880	28,441			LONG
CQOF8003002	QUALCOMM INC	4/14/2004 0 00	8/18/2014 0 00	25,398	11,295	14,104			LONG
CQOF8003002	QUALCOMM INC	4/2/2007 0 00	8/18/2014 0 00	4,345	2,496	1,849			LONG
CQOF8003002	VIACOM INC NEW	1/13/2012 0 00	8/18/2014 0 00	24,609	14,024	10,585			LONG
CQOF8003002	VIACOM INC NEW	1/9/2012 0 00	8/18/2014 0 00	4,676	2,679	1,997			LONG
CQOF8003002	QUALCOMM INC	4/14/2004 0 00	8/19/2014 0 00	19,279	8,563	10,717			LONG
CQOF8003002	VIACOM INC NEW	1/13/2012 0 00	8/19/2014 0 00	4,548	2,618	1,930			LONG
CQOF8003002	VIACOM INC NEW	1/13/2012 0 00	8/19/2014 0 00	18,295	10,459	7,836			LONG
CQOF8003002	VIACOM INC NEW	1/13/2012 0 00	8/19/2014 0 00	28,101	16,155	11,946			LONG
CQOF8003002	QUALCOMM INC	4/14/2004 0 00	8/20/2014 0 00	37,483	16,459	21,024			LONG
CQOF8003002	QUALCOMM INC	4/14/2004 0 00	8/21/2014 0 00	17,688	7,663	10,025			LONG
CQOF8003002	QUALCOMM INC	4/14/2004 0 00	8/22/2014 0 00	13,827	5,997	7,829			LONG
CQOF8003002	QUALCOMM INC	4/13/2005 0 00	8/22/2014 0 00	4,455	1,918	2,537			LONG
CQOF8003002	QUALCOMM INC	4/13/2005 0 00	8/25/2014 0 00	10,796	4,663	6,133			LONG
CQOF8003002	QUALCOMM INC	5/13/2004 0 00	8/26/2014 0 00	4,533	1,896	2,636			LONG
CQOF8003002	QUALCOMM INC	4/13/2005 0 00	8/26/2014 0 00	15,443	6,647	8,795			LONG
CQOF8003002	QUALCOMM INC	5/13/2004 0 00	8/27/2014 0 00	8,133	3,407	4,726			LONG
CQOF8003002	QUALCOMM INC	5/13/2004 0 00	8/28/2014 0 00	5,423	2,282	3,141			LONG
CQOF8003002	QUALCOMM INC	5/13/2004 0 00	8/29/2014 0 00	18,760	7,939	10,821			LONG
CQOF8003002	QUALCOMM INC	5/13/2004 0 00	9/2/2014 0 00	6,612	2,829	3,784			LONG
CQOF8003002	GILEAD SCIENCES INC	6/17/2013 0 00	9/3/2014 0 00	57,999	27,702	30,297			LONG
CQOF8003002	GILEAD SCIENCES INC	6/18/2013 0 00	9/3/2014 0 00	9,775	4,661	5,114			LONG
CQOF8003002	QUALCOMM INC	5/13/2004 0 00	9/3/2014 0 00	17,287	7,361	9,926			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	7/11/2014 0 00	10/16/2014 0 00	4,467	5,108	(641)			SHORT
CQOF8003002	PRECISION CASTPARTS CORP CO	7/17/2014 0 00	10/16/2014 0 00	25,016	28,827	(3,812)			SHORT
CQOF8003002	PRECISION CASTPARTS CORP CO	7/16/2014 0 00	10/16/2014 0 00	8,711	10,050	(1,339)			SHORT
CQOF8003002	PRECISION CASTPARTS CORP CO	7/10/2014 0 00	10/16/2014 0 00	13,178	15,021	(1,843)			SHORT
CQOF8003002	PRECISION CASTPARTS CORP CO	8/20/2014 0 00	10/16/2014 0 00	447	487	(40)			SHORT
CQOF8003002	PRECISION CASTPARTS CORP CO	10/14/2013 0 00	10/16/2014 0 00	22,335	24,030	(1,695)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	10/11/2013 0 00	10/16/2014 0 00	22,782	24,385	(1,603)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	10/15/2013 0 00	10/16/2014 0 00	33,950	36,308	(2,358)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/19/2013 0 00	10/17/2014 0 00	40,985	42,722	(1,737)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/17/2013 0 00	10/17/2014 0 00	39,400	41,155	(1,755)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	10/10/2013 0 00	10/17/2014 0 00	13,813	14,450	(637)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/18/2013 0 00	10/17/2014 0 00	19,021	19,774	(753)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	10/15/2013 0 00	10/17/2014 0 00	7,472	7,883	(410)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/18/2013 0 00	10/20/2014 0 00	18,996	19,774	(777)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/20/2013 0 00	10/20/2014 0 00	22,389	23,184	(796)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/16/2013 0 00	10/20/2014 0 00	16,056	16,602	(545)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/11/2013 0 00	10/21/2014 0 00	11,381	11,380	1			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/16/2013 0 00	10/21/2014 0 00	911	935	(25)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/12/2013 0 00	10/21/2014 0 00	42,566	42,999	(434)			LONG
CQOF8003002	PRECISION CASTPARTS CORP CO	9/13/2013 0 00	10/21/2014 0 00	26,632	26,989	(357)			LONG
CQOF8003002	CELGENE CORP	1/31/2008 0 00	10/24/2014 0 00	76,262	20,837	55,425			LONG
CQOF8003002	CELGENE CORP	1/31/2008 0 00	10/30/2014 0 00	49,257	12,894	36,363			LONG
CQOF8003002	CELGENE CORP	1/31/2008 0 00	10/31/2014 0 00	9,438	2,433	7,005			LONG
CQOF8004002	NIKE INC CL B	8/5/2011 0 00	11/5/2013 0 00	8,497	4,544	3,953	-	3,953	LONG
CQOF8004002	PRUDENTIAL FINL INC	5/7/2013 0 00	11/8/2013 0 00	3,927	2,963	964	-	964	SHORT
CQOF8004002	SCHWAB CHARLES CORP NEW	5/18/2012 0 00	11/8/2013 0 00	6,054	3,218	2,836	-	2,836	LONG
CQOF8004002	SCHWAB CHARLES CORP NEW	5/17/2012 0 00	11/8/2013 0 00	16,393	8,718	7,675	-	7,675	LONG
CQOF8004002	SCHWAB CHARLES CORP NEW	5/18/2012 0 00	11/8/2013 0 00	5,227	2,776	2,451	-	2,451	LONG
CQOF8004002	NIKE INC CL B	8/5/2011 0 00	11/11/2013 0 00	4,248	2,272	1,976	-	1,976	LONG
CQOF8004002	NIKE INC CL B	8/5/2011 0 00	11/12/2013 0 00	1,931	1,033	898	-	898	LONG
CQOF8004002	PRUDENTIAL FINL INC	5/7/2013 0 00	11/12/2013 0 00	3,493	2,634	859	-	859	SHORT
CQOF8004002	NIKE INC CL B	8/5/2011 0 00	11/13/2013 0 00	1,550	826	723	-	723	LONG
CQOF8004002	NIKE INC CL B	8/8/2011 0 00	11/13/2013 0 00	5,423	2,795	2,628	-	2,628	LONG
CQOF8004002	NIKE INC CL B	8/8/2011 0 00	11/13/2013 0 00	8,886	4,592	4,294	-	4,294	LONG
CQOF8004002	PRUDENTIAL FINL INC	5/7/2013 0 00	11/13/2013 0 00	7,873	5,926	1,947	-	1,947	SHORT
CQOF8004002	PRUDENTIAL FINL INC	5/6/2013 0 00	11/13/2013 0 00	45,491	34,129	11,362	-	11,362	SHORT
CQOF8004002	NIKE INC CL B	8/8/2011 0 00	11/15/2013 0 00	1,186	599	587	-	587	LONG
CQOF8004002	NIKE INC CL B	8/8/2011 0 00	11/15/2013 0 00	395	200	196	-	196	LONG
CQOF8004002	NIKE INC CL B	8/8/2011 0 00	11/15/2013 0 00	52,633	26,556	26,078	-	26,078	LONG
CQOF8004002	NIKE INC CL B	8/8/2011 0 00	11/18/2013 0 00	7,123	3,594	3,529	-	3,529	LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS	
				PROCEEDS	COST BASIS			DISALLOWED	LONG/SHORT
CQOF8004002	PRUDENTIAL FINL INC	5/6/2013 0 00	11/21/2013 0 00	4,963	3,610	1,354	-	1,354	SHORT
CQOF8004002	PRUDENTIAL FINL INC	5/6/2013 0 00	11/21/2013 0 00	5,876	4,266	1,610	-	1,610	SHORT
CQOF8004002	PRUDENTIAL FINL INC	5/3/2013 0 00	11/21/2013 0 00	46,926	34,050	12,876	-	12,876	SHORT
CQOF8004002	PRUDENTIAL FINL INC	5/3/2013 0 00	11/22/2013 0 00	2,700	1,964	735	-	735	SHORT
CQOF8004002	SYSCO CORP	7/1/2008 0 00	12/9/2013 0 00	29,903	19,604	10,299	-	10,299	LONG
CQOF8004002	SYSCO CORP	3/11/2013 0 00	12/9/2013 0 00	75,072	60,355	14,717	-	14,717	SHORT
CQOF8004002	PRUDENTIAL FINL INC	3/11/2013 0 00	12/23/2013 0 00	2,290	1,510	779	-	779	SHORT
CQOF8004002	PRUDENTIAL FINL INC	5/3/2013 0 00	12/23/2013 0 00	8,700	6,221	2,479	-	2,479	SHORT
CQOF8004002	PRUDENTIAL FINL INC	3/11/2013 0 00	12/23/2013 0 00	28,390	18,729	9,661	-	9,661	SHORT
CQOF8004002	PRUDENTIAL FINL INC	3/11/2013 0 00	12/24/2013 0 00	4,125	2,719	1,406	-	1,406	SHORT
CQOF8004002	BOSTON SCIENTIFIC CORP COM	7/10/2013 0 00	1/16/2014 0 00	3,530	2,393	1,137	-		SHORT
CQOF8004002	BOSTON SCIENTIFIC CORP COM	7/10/2013 0 00	1/16/2014 0 00	3,190	2,163	1,028	-		SHORT
CQOF8004002	BOSTON SCIENTIFIC CORP COM	6/24/2013 0 00	1/16/2014 0 00	5,023	3,330	1,693	-		SHORT
CQOF8004002	BOSTON SCIENTIFIC CORP COM	6/6/2013 0 00	1/16/2014 0 00	17,921	11,867	6,054	-		SHORT
CQOF8004002	BOSTON SCIENTIFIC CORP COM	6/6/2013 0 00	1/16/2014 0 00	7,331	4,852	2,479	-		SHORT
CQOF8004002	BOSTON SCIENTIFIC CORP COM	5/14/2013 0 00	1/16/2014 0 00	8,574	5,450	3,124	-		SHORT
CQOF8004002	BOSTON SCIENTIFIC CORP COM	5/14/2013 0 00	1/16/2014 0 00	27,627	17,603	10,025	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/30/2013 0 00	1/23/2014 0 00	330	215	116	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/30/2013 0 00	1/23/2014 0 00	6,812	4,434	2,378	-		SHORT
CQOF8004002	QUEST DIAGNOSTICS INC	4/7/2010 0 00	2/4/2014 0 00	3,335	3,782	(447)	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	4/7/2010 0 00	2/4/2014 0 00	18,420	20,948	(2,528)	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	4/8/2010 0 00	2/5/2014 0 00	17,627	20,016	(2,389)	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	4/7/2010 0 00	2/5/2014 0 00	2,555	2,909	(355)	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	3/11/2013 0 00	2/5/2014 0 00	9,452	10,400	(948)	-		SHORT
CQOF8004002	QUEST DIAGNOSTICS INC	3/11/2013 0 00	2/5/2014 0 00	28,103	30,918	(2,815)	-		SHORT
CQOF8004002	QUEST DIAGNOSTICS INC	5/21/2010 0 00	2/11/2014 0 00	9,171	9,096	74	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	5/24/2010 0 00	2/11/2014 0 00	3,144	3,120	24	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	5/25/2010 0 00	2/11/2014 0 00	524	511	13	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	3/11/2013 0 00	2/11/2014 0 00	17,555	18,832	(1,277)	-		SHORT
CQOF8004002	CONAGRA FOODS INC	3/11/2013 0 00	2/12/2014 0 00	10,998	13,230	(2,231)	-		SHORT
CQOF8004002	CONAGRA FOODS INC	7/1/2008 0 00	2/12/2014 0 00	16,063	10,968	5,095	-		LONG
CQOF8004002	CONAGRA FOODS INC	3/11/2013 0 00	2/12/2014 0 00	18,834	22,630	(3,796)	-		SHORT
CQOF8004002	QUEST DIAGNOSTICS INC	7/20/2010 0 00	2/12/2014 0 00	784	746	38	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	5/25/2010 0 00	2/12/2014 0 00	2,613	2,554	59	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	10/22/2010 0 00	2/13/2014 0 00	10,504	9,773	730	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	7/20/2010 0 00	2/13/2014 0 00	4,711	4,475	237	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	7/21/2010 0 00	2/13/2014 0 00	8,140	7,346	794	-		LONG
CQOF8004002	QUEST DIAGNOSTICS INC	7/20/2010 0 00	2/13/2014 0 00	3,414	3,232	182	-		LONG
CQOF8004002	CONAGRA FOODS INC	7/1/2008 0 00	2/20/2014 0 00	37,420	25,791	11,629	-		LONG
CQOF8004002	CONAGRA FOODS INC	7/1/2008 0 00	2/21/2014 0 00	39,321	27,273	12,049	-		LONG
CQOF8004002	DISNEY (WALT) COMPANY HOLDING	3/11/2013 0 00	2/21/2014 0 00	32,930	23,626	9,304	-		SHORT
CQOF8004002	MICROSOFT CORP COM	3/11/2013 0 00	2/21/2014 0 00	37,339	27,317	10,021	-		SHORT
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	7/1/2008 0 00	2/21/2014 0 00	8,615	8,183	432	-		LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	12/19/2013 0 00	2/21/2014 0 00	9,094	8,828	266	-		SHORT
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	12/19/2013 0 00	2/21/2014 0 00	18,666	18,110	556	-		SHORT
CQOF8004002	V F CORPORATION COM	3/11/2013 0 00	2/21/2014 0 00	47,256	32,556	14,700	-		SHORT
CQOF8004002	CONAGRA FOODS INC	7/1/2008 0 00	2/24/2014 0 00	285	198	87	-		LONG
CQOF8004002	SOUTHWEST AIRLINES CO	5/30/2013 0 00	2/25/2014 0 00	8,552	5,435	3,117	-		SHORT
CQOF8004002	APACHE CORPORATION COM	7/1/2008 0 00	2/26/2014 0 00	6,395	11,343	(4,949)	-		LONG
CQOF8004002	APACHE CORPORATION COM	7/22/2008 0 00	2/26/2014 0 00	3,597	5,132	(1,535)	-		LONG
CQOF8004002	SOUTHWEST AIRLINES CO	5/28/2013 0 00	2/26/2014 0 00	1,691	1,072	618	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/30/2013 0 00	2/26/2014 0 00	451	286	165	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/30/2013 0 00	2/26/2014 0 00	18,811	11,943	6,868	-		SHORT
CQOF8004002	APACHE CORPORATION COM	7/22/2008 0 00	2/27/2014 0 00	38,931	55,878	(16,947)	-		LONG
CQOF8004002	SOUTHWEST AIRLINES CO	5/28/2013 0 00	2/27/2014 0 00	1,465	929	536	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/28/2013 0 00	2/27/2014 0 00	16,331	10,365	5,966	-		SHORT
CQOF8004002	APACHE CORPORATION COM	10/7/2008 0 00	2/28/2014 0 00	5,425	5,883	(458)	-		LONG
CQOF8004002	APACHE CORPORATION COM	7/22/2008 0 00	2/28/2014 0 00	1,596	2,279	(684)	-		LONG
CQOF8004002	APACHE CORPORATION COM	7/22/2008 0 00	2/28/2014 0 00	2,792	3,991	(1,199)	-		LONG
CQOF8004002	APACHE CORPORATION COM	10/7/2008 0 00	2/28/2014 0 00	2,952	3,200	(248)	-		LONG
CQOF8004002	CONAGRA FOODS INC	7/1/2008 0 00	2/28/2014 0 00	5,412	3,755	1,657	-		LONG
CQOF8004002	SOUTHWEST AIRLINES CO	5/28/2013 0 00	2/28/2014 0 00	1,912	1,215	697	-		SHORT
CQOF8004002	CONAGRA FOODS INC	7/1/2008 0 00	3/3/2014 0 00	28,262	19,664	8,598	-		LONG
CQOF8004002	CONAGRA FOODS INC	7/1/2008 0 00	3/4/2014 0 00	9,437	6,522	2,915	-		LONG
CQOF8004002	SOUTHWEST AIRLINES CO	5/28/2013 0 00	3/4/2014 0 00	2,866	1,787	1,079	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/28/2013 0 00	3/4/2014 0 00	2,751	1,716	1,035	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/28/2013 0 00	3/4/2014 0 00	15,541	9,722	5,820	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/31/2013 0 00	3/4/2014 0 00	14,741	9,203	5,539	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/31/2013 0 00	3/4/2014 0 00	5,384	3,353	2,032	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/31/2013 0 00	3/5/2014 0 00	1,040	642	398	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/24/2013 0 00	3/6/2014 0 00	1,741	1,069	672	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/24/2013 0 00	3/6/2014 0 00	2,785	1,711	1,074	-		SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/31/2013 0 00	3/6/2014 0 00	34,121	20,973	13,147	-		SHORT
CQOF8004002	STAPLES INC COM	9/24/2013 0 00	3/6/2014 0 00	1,721	2,231	(510)	-		SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8004002	STAPLES INC COM	9/17/2013 0 00	3/6/2014 0 00	2,868	3,735	(867)			SHORT
CQOF8004002	STAPLES INC COM	9/18/2013 0 00	3/6/2014 0 00	15,488	20,123	(4,634)			SHORT
CQOF8004002	STAPLES INC COM	9/17/2013 0 00	3/6/2014 0 00	3,786	4,913	(1,127)			SHORT
CQOF8004002	STAPLES INC COM	9/24/2013 0 00	3/6/2014 0 00	6,998	9,087	(2,089)			SHORT
CQOF8004002	STAPLES INC COM	9/18/2013 0 00	3/6/2014 0 00	4,188	5,438	(1,251)			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/24/2013 0 00	3/7/2014 0 00	21,839	13,474	8,365			SHORT
CQOF8004002	STAPLES INC COM	9/23/2013 0 00	3/7/2014 0 00	4,584	5,903	(1,319)			SHORT
CQOF8004002	STAPLES INC COM	9/24/2013 0 00	3/7/2014 0 00	229	297	(68)			SHORT
CQOF8004002	STAPLES INC COM	9/11/2013 0 00	3/7/2014 0 00	917	1,185	(268)			SHORT
CQOF8004002	STAPLES INC COM	9/16/2013 0 00	3/10/2014 0 00	2,624	3,313	(689)			SHORT
CQOF8004002	STAPLES INC COM	9/23/2013 0 00	3/10/2014 0 00	9,095	11,510	(2,415)			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/11/2014 0 00	4,319	5,385	(1,066)			SHORT
CQOF8004002	STAPLES INC COM	9/16/2013 0 00	3/11/2014 0 00	6,245	7,877	(1,632)			SHORT
CQOF8004002	STAPLES INC COM	9/16/2013 0 00	3/11/2014 0 00	9,805	12,331	(2,526)			SHORT
CQOF8004002	STAPLES INC COM	9/11/2013 0 00	3/11/2014 0 00	992	1,250	(258)			SHORT
CQOF8004002	KNOWLES CORP	3/11/2013 0 00	3/12/2014 0 00	14	12	2			LONG
CQOF8004002	SOUTHWEST AIRLINES CO	5/29/2013 0 00	3/12/2014 0 00	8,285	4,886	3,399			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/24/2013 0 00	3/12/2014 0 00	4,563	2,709	1,854			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/12/2014 0 00	1,270	1,601	(330)			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/12/2014 0 00	6,114	7,714	(1,600)			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/12/2014 0 00	8,143	10,253	(2,110)			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/13/2014 0 00	1,089	1,382	(293)			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/22/2013 0 00	3/14/2014 0 00	8,651	4,994	3,657			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	3/14/2014 0 00	10,093	5,815	4,278			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/29/2013 0 00	3/14/2014 0 00	2,283	1,345	938			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	5/29/2013 0 00	3/14/2014 0 00	3,727	2,195	1,532			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	3/17/2014 0 00	12,606	7,269	5,337			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	3/18/2014 0 00	9,505	5,469	4,036			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	3/18/2014 0 00	3,595	2,077	1,518			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/18/2014 0 00	804	1,018	(214)			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/24/2014 0 00	10,811	13,525	(2,715)			SHORT
CQOF8004002	STAPLES INC COM	10/9/2013 0 00	3/24/2014 0 00	407	499	(92)			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/24/2014 0 00	1,565	1,963	(398)			SHORT
CQOF8004002	STAPLES INC COM	9/10/2013 0 00	3/24/2014 0 00	9,375	11,853	(2,478)			SHORT
CQOF8004002	STAPLES INC COM	10/9/2013 0 00	3/24/2014 0 00	174	214	(40)			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	4/2/2014 0 00	5,944	3,392	2,552			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	4/3/2014 0 00	2,788	1,592	1,196			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	4/23/2014 0 00	2,066	1,177	889			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	4/23/2014 0 00	1,215	691	524			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	4/24/2014 0 00	23,207	13,197	10,010			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	4/25/2014 0 00	365	207	158			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/19/2013 0 00	5/1/2014 0 00	15,722	8,908	6,815			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	5/1/2014 0 00	2,438	1,375	1,063			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	5/1/2014 0 00	2,559	1,448	1,111			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/22/2013 0 00	5/1/2014 0 00	3,047	1,725	1,322			SHORT
CQOF8004002	SOUTHWEST AIRLINES CO	7/18/2013 0 00	5/1/2014 0 00	8,775	4,975	3,800			SHORT
CQOF8004002	KNOWLES CORP	3/11/2013 0 00	5/13/2014 0 00	1,213	974	239			LONG
CQOF8004002	KNOWLES CORP	3/11/2013 0 00	5/13/2014 0 00	151	122	30			LONG
CQOF8004002	KNOWLES CORP	3/11/2013 0 00	5/14/2014 0 00	2,571	2,070	501			LONG
CQOF8004002	KNOWLES CORP	3/11/2013 0 00	5/14/2014 0 00	303	243	59			LONG
CQOF8004002	KNOWLES CORP	3/11/2013 0 00	5/14/2014 0 00	3,479	2,800	679			LONG
CQOF8004002	KNOWLES CORP	7/1/2008 0 00	5/15/2014 0 00	166	87	79			LONG
CQOF8004002	KNOWLES CORP	3/11/2013 0 00	5/15/2014 0 00	288	231	56			LONG
CQOF8004002	KNOWLES CORP	7/1/2008 0 00	5/15/2014 0 00	4,388	2,303	2,085			LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	7/1/2008 0 00	5/16/2014 0 00	33,528	31,822	1,706			LONG
CQOF8004002	KNOWLES CORP	7/1/2008 0 00	5/19/2014 0 00	152	79	72			LONG
CQOF8004002	APACHE CORPORATION COM	11/6/2012 0 00	5/23/2014 0 00	2,261	2,056	205			LONG
CQOF8004002	APACHE CORPORATION COM	11/6/2012 0 00	5/23/2014 0 00	6,781	6,167	614			LONG
CQOF8004002	APACHE CORPORATION COM	10/7/2008 0 00	5/23/2014 0 00	6,782	6,486	296			LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	7/1/2008 0 00	5/23/2014 0 00	35,932	33,641	2,291			LONG
CQOF8004002	APACHE CORPORATION COM	11/6/2012 0 00	5/27/2014 0 00	6,339	5,755	584			LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	7/1/2008 0 00	5/27/2014 0 00	1,937	1,818	119			LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	7/1/2008 0 00	5/27/2014 0 00	25,774	24,094	1,680			LONG
CQOF8004002	APACHE CORPORATION COM	3/11/2013 0 00	5/28/2014 0 00	50,609	41,904	8,706			LONG
CQOF8004002	APACHE CORPORATION COM	11/6/2012 0 00	5/28/2014 0 00	20,973	18,911	2,063			LONG
CQOF8004002	APACHE CORPORATION COM	3/11/2013 0 00	5/29/2014 0 00	15,689	12,835	2,854			LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	7/1/2008 0 00	5/30/2014 0 00	9,451	8,637	814			LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	1/23/2014 0 00	5/30/2014 0 00	9,949	8,941	1,008			SHORT
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	1/23/2014 0 00	6/2/2014 0 00	12,968	11,623	1,346			SHORT
CQOF8004002	APACHE CORPORATION COM	3/11/2013 0 00	6/6/2014 0 00	9,452	7,550	1,902			LONG
CQOF8004002	APACHE CORPORATION COM	3/11/2013 0 00	6/9/2014 0 00	15,228	12,080	3,148			LONG
CQOF8004002	KNOWLES CORP	7/1/2008 0 00	6/9/2014 0 00	7,413	3,892	3,521			LONG
CQOF8004002	KNOWLES CORP	7/1/2008 0 00	6/9/2014 0 00	21,226	11,151	10,075			LONG
CQOF8004002	APACHE CORPORATION COM	7/14/2009 0 00	6/10/2014 0 00	33,198	24,848	8,350			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8004002	APACHE CORPORATION COM	7/14/2009 0 00	6/11/2014 0 00	9,473	7,099	2,373			LONG
CQOF8004002	GENERAL MILLS INC COM	3/11/2013 0 00	6/13/2014 0 00	26,884	22,708	4,176			LONG
CQOF8004002	GENERAL MILLS INC COM	3/11/2013 0 00	6/16/2014 0 00	13,564	11,469	2,095			LONG
CQOF8004002	GENERAL MILLS INC COM	3/11/2013 0 00	6/17/2014 0 00	7,592	6,423	1,169			LONG
CQOF8004002	GENERAL MILLS INC COM	11/6/2012 0 00	6/17/2014 0 00	7,592	5,594	1,997			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	6/17/2014 0 00	271	152	120			LONG
CQOF8004002	GENERAL MILLS INC COM	11/6/2012 0 00	6/17/2014 0 00	9,499	6,993	2,506			LONG
CQOF8004002	MEDTRONIC INC	7/1/2008 0 00	6/23/2014 0 00	58,009	46,594	11,415			LONG
CQOF8004002	MEDTRONIC INC	7/1/2008 0 00	6/23/2014 0 00	8,339	6,693	1,646			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	6/16/2010 0 00	6/26/2014 0 00	643	350	293			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	5/14/2010 0 00	6/26/2014 0 00	18,649	10,000	8,648			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	3/11/2013 0 00	6/26/2014 0 00	14,147	9,738	4,409			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	6/16/2010 0 00	6/26/2014 0 00	19,935	10,891	9,043			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	5/14/2010 0 00	6/27/2014 0 00	17,868	9,656	8,212			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	5/14/2010 0 00	6/27/2014 0 00	1,914	1,034	881			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	5/19/2010 0 00	7/2/2014 0 00	28,968	15,501	13,467			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	5/20/2010 0 00	7/2/2014 0 00	12,231	6,437	5,794			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	5/14/2010 0 00	7/2/2014 0 00	1,287	689	598			LONG
CQOF8004002	AIR PRODUCTS AND CHEMICALS INC	5/20/2010 0 00	7/3/2014 0 00	30,362	15,922	14,440			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	7/14/2014 0 00	9,567	5,462	4,105			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	7/15/2014 0 00	3,448	1,972	1,475			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	7/15/2014 0 00	1,592	910	681			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	7/16/2014 0 00	18,304	10,469	7,835			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	7/16/2014 0 00	14,328	8,193	6,135			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	7/17/2014 0 00	4,246	2,428	1,819			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	7/18/2014 0 00	14,611	8,345	6,266			LONG
CQOF8004002	MC DONALDS CORPORATION COMV	3/11/2013 0 00	8/4/2014 0 00	15,959	16,781	(823)			LONG
CQOF8004002	MC DONALDS CORPORATION COMV	3/11/2013 0 00	8/4/2014 0 00	28,105	29,614	(1,509)			LONG
CQOF8004002	MC DONALDS CORPORATION COMV	11/6/2012 0 00	8/4/2014 0 00	20,142	18,931	1,211			LONG
CQOF8004002	MC DONALDS CORPORATION COMV	11/6/2012 0 00	8/8/2014 0 00	8,375	7,925	451			LONG
CQOF8004002	MC DONALDS CORPORATION COMV	7/1/2008 0 00	8/8/2014 0 00	25,591	15,711	9,880			LONG
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	1/23/2014 0 00	9/9/2014 0 00	6,908	6,258	649			SHORT
CQOF8004002	OCCIDENTAL PETROLEUM CORPORA	4/23/2012 0 00	9/9/2014 0 00	26,644	23,710	2,935			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	9/22/2014 0 00	12,939	7,738	5,201			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	9/23/2014 0 00	40,120	24,124	15,996			LONG
CQOF8004002	GENERAL MILLS INC COM	7/1/2008 0 00	9/24/2014 0 00	44,277	26,552	17,725			LONG
CQOF8004002	PEPSICO INC	12/19/2013 0 00	10/14/2014 0 00	21,960	19,159	2,800			SHORT
CQOF8004002	PEPSICO INC	3/11/2013 0 00	10/14/2014 0 00	53,264	43,859	9,405			LONG
CQOF8004002	PEPSICO INC	3/9/2010 0 00	10/15/2014 0 00	6,409	4,516	1,893			LONG
CQOF8004002	PEPSICO INC	3/11/2013 0 00	10/15/2014 0 00	16,938	14,235	2,703			LONG
CQOF8004002	PEPSICO INC	11/6/2012 0 00	10/15/2014 0 00	18,311	13,906	4,405			LONG
CQOF8004002	PEPSICO INC	3/9/2010 0 00	10/16/2014 0 00	16,740	11,934	4,805			LONG
CQOF8004002	PEPSICO INC	5/4/2009 0 00	10/20/2014 0 00	10,669	5,674	4,995			LONG
CQOF8004002	PEPSICO INC	3/9/2010 0 00	10/20/2014 0 00	5,566	3,871	1,696			LONG
CQOF8004002	PEPSICO INC	5/4/2009 0 00	10/21/2014 0 00	35,648	18,748	16,900			LONG
CQOF8004002	PEPSICO INC	3/11/2009 0 00	10/21/2014 0 00	35,634	17,956	17,678			LONG
CQOF8004002	PEPSICO INC	3/11/2009 0 00	10/21/2014 0 00	35,165	17,719	17,445			LONG
CQOF8004002	PEPSICO INC	5/4/2009 0 00	10/21/2014 0 00	51,106	26,889	24,217			LONG
CQOF8004002	PEPSICO INC	3/11/2009 0 00	10/21/2014 0 00	18,755	9,482	9,272			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0 00	11/1/2013 0 00	1,716	1,718	(2)	-	(2)	LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0 00	11/1/2013 0 00	728	735	(7)	-	(7)	LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0 00	11/1/2013 0 00	4,605	4,835	(230)	-	(230)	LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0 00	11/1/2013 0 00	4,223	4,435	(212)	-	(212)	LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0 00	11/1/2013 0 00	1,331	1,342	(10)	-	(10)	LONG
CQOF8005002	FHLMC GOLD G13833 4 5% 5/01/	7/13/2010 0 00	11/1/2013 0 00	3,917	4,005	(88)	-	(88)	LONG
CQOF8005002	FHLMC GOLD C03920 3 5% 5/01/	3/21/2013 0 00	11/1/2013 0 00	498	527	(28)	-	(28)	SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0 00	11/1/2013 0 00	407	416	(10)	-	(10)	LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0 00	11/1/2013 0 00	213	227	(14)	-	(14)	LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0 00	11/1/2013 0 00	654	668	(14)	-	(14)	LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0 00	11/1/2013 0 00	127	131	(4)	-	(4)	LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0 00	11/1/2013 0 00	30	31	(1)	-	(1)	LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0 00	11/1/2013 0 00	97	103	(6)	-	(6)	LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0 00	11/1/2013 0 00	1,684	1,820	(136)	-	(136)	SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0 00	11/1/2013 0 00	606	655	(49)	-	(49)	LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0 00	11/1/2013 0 00	534	573	(39)	-	(39)	LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0 00	11/1/2013 0 00	1,056	1,168	(112)	-	(112)	LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0 00	11/1/2013 0 00	3,231	3,492	(261)	-	(261)	LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0 00	11/1/2013 0 00	3,918	4,048	(130)	-	(130)	LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0 00	11/1/2013 0 00	7,327	7,773	(447)	-	(447)	LONG
CQOF8005002	FNMA POOL 0AC5849	6/3/2010 0 00	11/1/2013 0 00	5,828	5,943	(115)	-	(115)	LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01/	1/7/2010 0 00	11/1/2013 0 00	4,798	4,978	(180)	-	(180)	LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0 00	11/1/2013 0 00	509	525	(16)	-	(16)	LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0 00	11/1/2013 0 00	891	983	(92)	-	(92)	LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0 00	11/1/2013 0 00	5,229	5,655	(425)	-	(425)	LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0-00	11/1/2013 0-00	2,449	2,611	(162)	-	(162)		SHORT
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0-00	11/1/2013 0-00	1,648	1,764	(116)	-	(116)		LONG
CQOF8005002	FEDERAL HOME LN MTG CORP	1/19/2012 0-00	11/15/2013 0-00	1,500,000	1,500,000	-	-	-		LONG
CQOF8005002	FEDERAL HOME LN MTG CORP	8/3/2011 0-00	11/15/2013 0-00	500,000	500,000	-	-	-		LONG
CQOF8005002	FEDERAL HOME LN MTG CORP	2/28/2011 0-00	11/15/2013 0-00	750,000	750,000	-	-	-		LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0-00	12/1/2013 0-00	2,065	2,068	(2)	-	(2)		LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0-00	12/1/2013 0-00	340	343	(3)	-	(3)		LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0-00	12/1/2013 0-00	3,942	4,138	(196)	-	(196)		LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0-00	12/1/2013 0-00	3,913	4,108	(195)	-	(195)		LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0-00	12/1/2013 0-00	1,447	1,458	(11)	-	(11)		LONG
CQOF8005002	FHLMC GOLD G13833 4.5% 5/01/	7/13/2010 0-00	12/1/2013 0-00	3,039	3,107	(68)	-	(68)		LONG
CQOF8005002	FHLMC GOLD C03920 3.5% 5/01/	3/21/2013 0-00	12/1/2013 0-00	1,394	1,473	(79)	-	(79)		SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0-00	12/1/2013 0-00	249	255	(6)	-	(6)		LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0-00	12/1/2013 0-00	251	268	(16)	-	(16)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	12/1/2013 0-00	547	559	(12)	-	(12)		LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	12/1/2013 0-00	120	124	(4)	-	(4)		LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0-00	12/1/2013 0-00	33	34	(1)	-	(1)		LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0-00	12/1/2013 0-00	124	131	(7)	-	(7)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0-00	12/1/2013 0-00	1,386	1,498	(112)	-	(112)		SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0-00	12/1/2013 0-00	664	718	(54)	-	(54)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0-00	12/1/2013 0-00	592	634	(43)	-	(43)		LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0-00	12/1/2013 0-00	1,254	1,387	(133)	-	(133)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0-00	12/1/2013 0-00	3,382	3,655	(273)	-	(273)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0-00	12/1/2013 0-00	775	801	(26)	-	(26)		LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0-00	12/1/2013 0-00	8,132	8,626	(493)	-	(493)		LONG
CQOF8005002	FNMA POOL 0AC5849	6/3/2010 0-00	12/1/2013 0-00	312	318	(6)	-	(6)		LONG
CQOF8005002	FNMA POOL MA0265 5.0% 11/01/	1/7/2010 0-00	12/1/2013 0-00	4,960	5,145	(185)	-	(185)		LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0-00	12/1/2013 0-00	502	518	(16)	-	(16)		LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0-00	12/1/2013 0-00	413	456	(42)	-	(42)		LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0-00	12/1/2013 0-00	2,767	2,991	(224)	-	(224)		LONG
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0-00	12/1/2013 0-00	2,328	2,482	(153)	-	(153)		SHORT
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0-00	12/1/2013 0-00	1,676	1,794	(117)	-	(117)		LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0-00	1/1/2014 0-00	2,147	2,149	(2)	-	(2)		LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0-00	1/1/2014 0-00	248	250	(2)	-	(2)		LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0-00	1/1/2014 0-00	5,989	6,287	(298)	-	(298)		LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0-00	1/1/2014 0-00	4,141	4,346	(205)	-	(205)		LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0-00	1/1/2014 0-00	1,343	1,353	(10)	-	(10)		LONG
CQOF8005002	FHLMC GOLD G13833 4.5% 5/01/	7/13/2010 0-00	1/1/2014 0-00	3,376	3,452	(75)	-	(75)		LONG
CQOF8005002	FHLMC GOLD C03920 3.5% 5/01/	3/21/2013 0-00	1/1/2014 0-00	1,531	1,618	(86)	-	(86)		SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0-00	1/1/2014 0-00	282	288	(7)	-	(7)		LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0-00	1/1/2014 0-00	308	328	(20)	-	(20)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	1/1/2014 0-00	435	445	(9)	-	(9)		LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	1/1/2014 0-00	333	343	(11)	-	(11)		LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0-00	1/1/2014 0-00	30	31	(1)	-	(1)		LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0-00	1/1/2014 0-00	86	91	(5)	-	(5)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0-00	1/1/2014 0-00	1,401	1,514	(113)	-	(113)		SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0-00	1/1/2014 0-00	612	662	(50)	-	(50)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0-00	1/1/2014 0-00	539	577	(38)	-	(38)		LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0-00	1/1/2014 0-00	1,091	1,207	(115)	-	(115)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0-00	1/1/2014 0-00	1,724	1,863	(139)	-	(139)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0-00	1/1/2014 0-00	3,832	3,959	(127)	-	(127)		LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0-00	1/1/2014 0-00	1,304	1,383	(79)	-	(79)		LONG
CQOF8005002	FNMA POOL 0AC5849	6/3/2010 0-00	1/1/2014 0-00	318	324	(6)	-	(6)		LONG
CQOF8005002	FNMA POOL MA0265 5.0% 11/01/	1/7/2010 0-00	1/1/2014 0-00	5,102	5,291	(190)	-	(190)		LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0-00	1/1/2014 0-00	555	572	(17)	-	(17)		LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0-00	1/1/2014 0-00	1,252	1,381	(128)	-	(128)		LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0-00	1/1/2014 0-00	1,683	1,819	(136)	-	(136)		LONG
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0-00	1/1/2014 0-00	3,198	3,407	(209)	-	(209)		SHORT
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0-00	1/1/2014 0-00	1,473	1,575	(103)	-	(103)		LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0-00	2/1/2014 0-00	1,785	1,787	(2)	-	(2)		LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0-00	2/1/2014 0-00	341	344	(3)	-	(3)		LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0-00	2/1/2014 0-00	4,146	4,352	(206)	-	(206)		LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0-00	2/1/2014 0-00	2,157	2,263	(106)	-	(106)		LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0-00	2/1/2014 0-00	1,698	1,711	(13)	-	(13)		LONG
CQOF8005002	FHLMC GOLD G13833 4.5% 5/01/	7/13/2010 0-00	2/1/2014 0-00	2,851	2,914	(63)	-	(63)		LONG
CQOF8005002	FHLMC GOLD C03920 3.5% 5/01/	3/21/2013 0-00	2/1/2014 0-00	2,730	2,884	(154)	-	(154)		SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0-00	2/1/2014 0-00	207	212	(5)	-	(5)		LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0-00	2/1/2014 0-00	318	338	(21)	-	(21)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	2/1/2014 0-00	458	468	(10)	-	(10)		LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	2/1/2014 0-00	110	113	(3)	-	(3)		LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0-00	2/1/2014 0-00	28	29	(0)	-	(0)		LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0-00	2/1/2014 0-00	174	184	(10)	-	(10)		LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0-00	2/1/2014 0-00	1,089	1,176	(87)	-	(87)		SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0-00	2/1/2014 0-00	615	665	(50)	-	(50)		LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0 00	2/1/2014 0 00	2,878	3,075	(197)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0 00	2/1/2014 0 00	1,098	1,214	(116)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0 00	2/1/2014 0 00	3,230	3,489	(260)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0 00	2/1/2014 0 00	2,111	2,181	(70)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0 00	2/1/2014 0 00	3,505	3,716	(211)			LONG
CQOF8005002	FNMA POOL OAC5849	6/3/2010 0 00	2/1/2014 0 00	315	321	(6)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01,	1/7/2010 0 00	2/1/2014 0 00	1,644	1,704	(61)			LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0 00	2/1/2014 0 00	507	523	(16)			LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0 00	2/1/2014 0 00	237	261	(24)			LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0 00	2/1/2014 0 00	8,653	9,346	(693)			LONG
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0 00	2/1/2014 0 00	1,080	1,150	(70)			SHORT
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0 00	2/1/2014 0 00	1,848	1,977	(128)			LONG
CQOF8005002	FED FARM CREDIT BANK 1 125% 2/2	2/24/2011 0 00	2/27/2014 0 00	300,000	299,737	263			LONG
CQOF8005002	FED FARM CREDIT BANK 1 125% 2/2	3/18/2013 0 00	2/27/2014 0 00	645,000	645,000	-			SHORT
CQOF8005002	FED FARM CREDIT BANK 1 125% 2/2	2/25/2011 0 00	2/27/2014 0 00	450,000	449,574	426			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0 00	3/1/2014 0 00	1,531	1,533	(2)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0 00	3/1/2014 0 00	327	330	(3)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0 00	3/1/2014 0 00	2,393	2,512	(118)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0 00	3/1/2014 0 00	2,819	2,957	(138)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0 00	3/1/2014 0 00	1,201	1,210	(9)			LONG
CQOF8005002	FHLMC GOLD G13833 4 5% 5/01/	7/13/2010 0 00	3/1/2014 0 00	2,555	2,611	(56)			LONG
CQOF8005002	FHLMC GOLD C03920 3 5% 5/01/	3/21/2013 0 00	3/1/2014 0 00	468	494	(26)			SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0 00	3/1/2014 0 00	236	242	(6)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0 00	3/1/2014 0 00	418	445	(27)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0 00	3/1/2014 0 00	489	499	(10)			LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0 00	3/1/2014 0 00	115	119	(4)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0 00	3/1/2014 0 00	46	46	(1)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0 00	3/1/2014 0 00	85	90	(5)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0 00	3/1/2014 0 00	993	1,072	(80)			SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0 00	3/1/2014 0 00	784	848	(64)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0 00	3/1/2014 0 00	705	752	(47)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0 00	3/1/2014 0 00	1,331	1,471	(140)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0 00	3/1/2014 0 00	1,699	1,836	(137)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0 00	3/1/2014 0 00	1,336	1,380	(44)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0 00	3/1/2014 0 00	1,639	1,737	(98)			LONG
CQOF8005002	FNMA POOL OAC5849	6/3/2010 0 00	3/1/2014 0 00	350	357	(7)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01,	1/7/2010 0 00	3/1/2014 0 00	4,553	4,721	(168)			LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0 00	3/1/2014 0 00	1,351	1,392	(41)			LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0 00	3/1/2014 0 00	201	222	(21)			LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0 00	3/1/2014 0 00	5,357	5,784	(427)			LONG
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0 00	3/1/2014 0 00	1,987	2,116	(129)			SHORT
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0 00	3/1/2014 0 00	12,585	13,453	(868)			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0 00	4/1/2014 0 00	1,417	1,419	(1)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0 00	4/1/2014 0 00	645	651	(6)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0 00	4/1/2014 0 00	3,067	3,218	(151)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0 00	4/1/2014 0 00	3,215	3,372	(156)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0 00	4/1/2014 0 00	1,352	1,362	(10)			LONG
CQOF8005002	FHLMC GOLD G13833 4 5% 5/01/	7/13/2010 0 00	4/1/2014 0 00	2,746	2,807	(60)			LONG
CQOF8005002	FHLMC GOLD C03920 3 5% 5/01/	3/21/2013 0 00	4/1/2014 0 00	458	483	(26)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0 00	4/1/2014 0 00	229	234	(5)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0 00	4/1/2014 0 00	249	265	(16)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0 00	4/1/2014 0 00	534	545	(11)			LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0 00	4/1/2014 0 00	136	141	(4)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0 00	4/1/2014 0 00	29	29	(0)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0 00	4/1/2014 0 00	92	97	(5)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0 00	4/1/2014 0 00	1,025	1,107	(82)			SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0 00	4/1/2014 0 00	622	672	(51)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0 00	4/1/2014 0 00	545	580	(36)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0 00	4/1/2014 0 00	25,000	27,628	(2,628)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0 00	4/1/2014 0 00	112	121	(9)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0 00	4/1/2014 0 00	3,844	3,971	(127)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0 00	4/1/2014 0 00	1,485	1,573	(88)			LONG
CQOF8005002	FNMA POOL OAC5849	6/3/2010 0 00	4/1/2014 0 00	318	324	(6)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01,	1/7/2010 0 00	4/1/2014 0 00	2,017	2,091	(74)			LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0 00	4/1/2014 0 00	538	555	(16)			LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0 00	4/1/2014 0 00	1,099	1,211	(112)			LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0 00	4/1/2014 0 00	2,927	3,159	(232)			LONG
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0 00	4/1/2014 0 00	1,901	2,023	(122)			SHORT
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0 00	4/1/2014 0 00	2,091	2,234	(144)			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0 00	5/1/2014 0 00	1,861	1,863	(2)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0 00	5/1/2014 0 00	313	316	(3)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0 00	5/1/2014 0 00	2,336	2,451	(115)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0 00	5/1/2014 0 00	3,355	3,517	(162)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0 00	5/1/2014 0 00	1,451	1,462	(11)			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS	
				PROCEEDS	COST BASIS			DISALLOWED	LONG/SHORT
CQOF8005002	FHLMC GOLD G13833 4.5% 5/01/	7/13/2010 0-00	5/1/2014 0-00	2,758	2,819	(60)			LONG
CQOF8005002	FHLMC GOLD C03920 3.5% 5/01/	3/21/2013 0 00	5/1/2014 0-00	2,081	2,197	(117)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0 00	5/1/2014 0-00	235	240	(5)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0 00	5/1/2014 0 00	108	115	(7)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	5/1/2014 0-00	747	763	(16)			LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	5/1/2014 0-00	108	112	(3)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0 00	5/1/2014 0-00	29	29	(0)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0-00	5/1/2014 0 00	85	90	(5)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0-00	5/1/2014 0 00	1,037	1,120	(83)			SHORT
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0 00	5/1/2014 0 00	680	735	(55)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0 00	5/1/2014 0 00	602	640	(38)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0 00	5/1/2014 0 00	1,077	1,190	(113)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0 00	5/1/2014 0-00	4,350	4,699	(349)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0-00	5/1/2014 0-00	1,297	1,340	(43)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0 00	5/1/2014 0 00	5,033	5,330	(298)			LONG
CQOF8005002	FNMA POOL 0AC5849	6/3/2010 0-00	5/1/2014 0 00	5,115	5,215	(100)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01/	1/7/2010 0 00	5/1/2014 0 00	5,238	5,429	(191)			LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0-00	5/1/2014 0 00	527	543	(16)			LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0 00	5/1/2014 0 00	816	898	(83)			LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0 00	5/1/2014 0 00	1,577	1,701	(124)			LONG
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0-00	5/1/2014 0 00	1,398	1,487	(90)			SHORT
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0 00	5/1/2014 0 00	2,010	2,148	(137)			LONG
CQOF8005002	FEDERAL HOME LN BKS DEB	1/19/2012 0 00	5/22/2014 0 00	708,011	708,350	(339)			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0 00	6/1/2014 0 00	1,889	1,891	(2)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0 00	6/1/2014 0 00	196	198	(2)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0 00	6/1/2014 0 00	2,229	2,338	(110)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0 00	6/1/2014 0 00	2,954	3,095	(142)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0 00	6/1/2014 0 00	1,568	1,580	(12)			LONG
CQOF8005002	FHLMC GOLD G13833 4.5% 5/01/	7/13/2010 0 00	6/1/2014 0 00	2,658	2,716	(58)			LONG
CQOF8005002	FHLMC GOLD C03920 3.5% 5/01/	3/21/2013 0 00	6/1/2014 0 00	443	468	(25)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0 00	6/1/2014 0 00	354	362	(8)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0 00	6/1/2014 0 00	108	115	(7)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0 00	6/1/2014 0 00	603	615	(13)			LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	6/1/2014 0-00	98	101	(3)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0-00	6/1/2014 0 00	29	29	(0)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0 00	6/1/2014 0 00	115	121	(7)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0 00	6/1/2014 0 00	1,120	1,209	(89)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0 00	6/1/2014 0 00	628	679	(51)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0 00	6/1/2014 0-00	551	585	(34)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0 00	6/1/2014 0-00	1,029	1,137	(108)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0 00	6/1/2014 0-00	98	106	(8)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0-00	6/1/2014 0 00	1,477	1,526	(49)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0-00	6/1/2014 0 00	7,983	8,453	(470)			LONG
CQOF8005002	FNMA POOL 0AC5849	6/3/2010 0 00	6/1/2014 0 00	10,749	10,958	(209)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01/	1/7/2010 0 00	6/1/2014 0 00	9,268	9,605	(337)			LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0 00	6/1/2014 0 00	570	587	(17)			LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0 00	6/1/2014 0 00	1,770	1,950	(180)			LONG
CQOF8005002	FNMA POOL 0MA0737	4/10/2012 0 00	6/1/2014 0 00	1,359	1,466	(107)			LONG
CQOF8005002	GNMA II POOL 0AA1000	5/7/2013 0 00	6/1/2014 0 00	3,047	3,241	(194)			LONG
CQOF8005002	GNMA II POOL 0MA0075	9/25/2012 0 00	6/1/2014 0 00	1,471	1,571	(100)			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0 00	7/1/2014 0 00	1,541	1,542	(2)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0 00	7/1/2014 0 00	217	219	(2)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0 00	7/1/2014 0-00	6,702	7,031	(329)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0 00	7/1/2014 0-00	2,378	2,491	(113)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0-00	7/1/2014 0-00	1,111	1,119	(8)			LONG
CQOF8005002	FHLMC GOLD G13833 4.5% 5/01/	7/13/2010 0-00	7/1/2014 0-00	3,123	3,191	(68)			LONG
CQOF8005002	FHLMC GOLD C03920 3.5% 5/01/	3/21/2013 0 00	7/1/2014 0 00	744	785	(42)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0 00	7/1/2014 0-00	179	184	(4)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0-00	7/1/2014 0 00	109	116	(7)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	7/1/2014 0-00	665	679	(14)			LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	7/1/2014 0 00	162	167	(5)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0-00	7/1/2014 0 00	29	29	(0)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0-00	7/1/2014 0 00	73	78	(4)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0 00	7/1/2014 0 00	1,014	1,095	(80)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0-00	7/1/2014 0-00	672	727	(55)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0-00	7/1/2014 0-00	608	644	(37)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0-00	7/1/2014 0-00	47,928	52,934	(5,007)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0-00	7/1/2014 0-00	1,390	1,501	(111)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0-00	7/1/2014 0-00	2,563	2,647	(84)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0-00	7/1/2014 0-00	8,210	8,691	(481)			LONG
CQOF8005002	FNMA POOL 0AC5849	6/3/2010 0-00	7/1/2014 0-00	307	313	(6)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01/	1/7/2010 0 00	7/1/2014 0 00	5,639	5,843	(204)			LONG
CQOF8005002	FNMA POOL 0MA0484	7/21/2010 0-00	7/1/2014 0-00	536	552	(16)			LONG
CQOF8005002	FNMA POOL 0MA0500	6/22/2012 0-00	7/1/2014 0-00	1,804	1,987	(183)			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET	
								GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8005002	FNMA POOL OMA0737	4/10/2012 0-00	7/1/2014 0-00	1,217	1,312	(95)			LONG
CQOF8005002	GNMA II POOL OAA1000	5/7/2013 0-00	7/1/2014 0-00	1,353	1,439	(86)			LONG
CQOF8005002	GNMA II POOL OMA0075	9/25/2012 0-00	7/1/2014 0-00	1,708	1,824	(115)			LONG
CQOF8005002	FEDERAL HOME LN BKS DEB	4/7/2011 0-00	7/28/2014 0-00	601,175	600,885	290			LONG
CQOF8005002	FEDERAL HOME LN BKS DEB	2/28/2011 0-00	7/28/2014 0-00	100,196	100,147	49			LONG
CQOF8005002	FEDERAL HOME LN BKS DEB	1/19/2012 0-00	7/28/2014 0-00	300,588	300,649	(62)			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0-00	8/1/2014 0-00	2,002	2,004	(2)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0-00	8/1/2014 0-00	279	281	(2)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0-00	8/1/2014 0-00	4,603	4,828	(225)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0-00	8/1/2014 0-00	2,057	2,155	(97)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0-00	8/1/2014 0-00	1,200	1,209	(9)			LONG
CQOF8005002	FHLMC GOLD G13833 4 5% 5/01/	7/13/2010 0-00	8/1/2014 0-00	2,921	2,984	(63)			LONG
CQOF8005002	FHLMC GOLD C03920 3 5% 5/01/	3/21/2013 0-00	8/1/2014 0-00	980	1,035	(55)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0-00	8/1/2014 0-00	179	183	(4)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0-00	8/1/2014 0-00	110	117	(7)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	8/1/2014 0-00	410	418	(8)			LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	8/1/2014 0-00	120	124	(4)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0-00	8/1/2014 0-00	29	30	(0)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0-00	8/1/2014 0-00	70	74	(4)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0-00	8/1/2014 0-00	1,004	1,083	(79)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0-00	8/1/2014 0-00	2,588	2,798	(210)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0-00	8/1/2014 0-00	557	589	(33)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0-00	8/1/2014 0-00	908	1,003	(95)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0-00	8/1/2014 0-00	3,242	3,501	(259)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0-00	8/1/2014 0-00	1,533	1,583	(50)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0-00	8/1/2014 0-00	2,915	3,085	(170)			LONG
CQOF8005002	FNMA POOL OACS849	6/3/2010 0-00	8/1/2014 0-00	299	304	(6)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01,	1/7/2010 0-00	8/1/2014 0-00	7,073	7,328	(255)			LONG
CQOF8005002	FNMA POOL OMA0484	7/21/2010 0-00	8/1/2014 0-00	546	562	(16)			LONG
CQOF8005002	FNMA POOL OMA0500	6/22/2012 0-00	8/1/2014 0-00	1,062	1,169	(107)			LONG
CQOF8005002	FNMA POOL OMA0737	4/10/2012 0-00	8/1/2014 0-00	1,128	1,215	(87)			LONG
CQOF8005002	GNMA II POOL OAA1000	5/7/2013 0-00	8/1/2014 0-00	2,252	2,393	(142)			LONG
CQOF8005002	GNMA II POOL OMA0075	9/25/2012 0-00	8/1/2014 0-00	1,521	1,623	(102)			LONG
CQOF8005002	FEDERAL HOME LN BKS DEB	2/28/2011 0-00	8/13/2014 0-00	400,000	400,000	-			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN	1/19/2012 0-00	8/25/2014 0-00	1,006,057	1,007,021	(964)			LONG
CQOF8005002	UNITED STATES T-NOTE 2 625% 4/31	2/26/2014 0-00	8/25/2014 0-00	47,120	47,263	(143)			SHORT
CQOF8005002	UNITED STATES T-NOTE 2 625% 4/31	10/29/2013 0-00	8/25/2014 0-00	413,607	415,997	(2,391)			SHORT
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0-00	9/1/2014 0-00	1,675	1,676	(2)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0-00	9/1/2014 0-00	263	265	(2)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0-00	9/1/2014 0-00	4,928	5,169	(241)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0-00	9/1/2014 0-00	2,435	2,549	(115)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0-00	9/1/2014 0-00	1,158	1,167	(8)			LONG
CQOF8005002	FHLMC GOLD G13833 4 5% 5/01/	7/13/2010 0-00	9/1/2014 0-00	2,992	3,056	(64)			LONG
CQOF8005002	FHLMC GOLD C03920 3 5% 5/01/	3/21/2013 0-00	9/1/2014 0-00	506	535	(28)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0-00	9/1/2014 0-00	174	178	(4)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0-00	9/1/2014 0-00	111	118	(7)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	9/1/2014 0-00	320	326	(7)			LONG
CQOF8005002	FNMA POOL 0545093	6/6/2001 0-00	9/1/2014 0-00	85	88	(3)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0-00	9/1/2014 0-00	117	118	(1)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0-00	9/1/2014 0-00	109	115	(6)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0-00	9/1/2014 0-00	995	1,074	(79)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0-00	9/1/2014 0-00	617	667	(50)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0-00	9/1/2014 0-00	559	591	(32)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0-00	9/1/2014 0-00	913	1,008	(95)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0-00	9/1/2014 0-00	1,449	1,564	(115)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0-00	9/1/2014 0-00	900	930	(30)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0-00	9/1/2014 0-00	4,183	4,425	(243)			LONG
CQOF8005002	FNMA POOL OACS849	6/3/2010 0-00	9/1/2014 0-00	318	324	(6)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01,	1/7/2010 0-00	9/1/2014 0-00	2,285	2,367	(82)			LONG
CQOF8005002	FNMA POOL OMA0484	7/21/2010 0-00	9/1/2014 0-00	1,888	1,944	(56)			LONG
CQOF8005002	FNMA POOL OMA0500	6/22/2012 0-00	9/1/2014 0-00	289	318	(29)			LONG
CQOF8005002	FNMA POOL OMA0737	4/10/2012 0-00	9/1/2014 0-00	3,250	3,500	(250)			LONG
CQOF8005002	GNMA II POOL OAA1000	5/7/2013 0-00	9/1/2014 0-00	3,265	3,469	(204)			LONG
CQOF8005002	GNMA II POOL OMA0075	9/25/2012 0-00	9/1/2014 0-00	1,395	1,488	(93)			LONG
CQOF8005002	FED'L HOME LOAN MTGE CORP GRP	5/6/2008 0-00	10/1/2014 0-00	1,619	1,620	(2)			LONG
CQOF8005002	FHLMC POOL E9-1220	6/17/2009 0-00	10/1/2014 0-00	243	245	(2)			LONG
CQOF8005002	FHLMC POOL G0-1880	1/19/2012 0-00	10/1/2014 0-00	3,111	3,263	(152)			LONG
CQOF8005002	FHLMC POOL G1-3240	1/19/2012 0-00	10/1/2014 0-00	1,624	1,700	(76)			LONG
CQOF8005002	FHLMC POOL G1-3562	5/8/2009 0-00	10/1/2014 0-00	1,297	1,307	(9)			LONG
CQOF8005002	FHLMC GOLD G13833 4 5% 5/01/	7/13/2010 0-00	10/1/2014 0-00	2,295	2,344	(49)			LONG
CQOF8005002	FHLMC GOLD C03920 3 5% 5/01/	3/21/2013 0-00	10/1/2014 0-00	979	1,034	(54)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	9/10/2001 0-00	10/1/2014 0-00	271	277	(6)			LONG
CQOF8005002	FNMA POOL 0253303	5/10/2000 0-00	10/1/2014 0-00	111	118	(7)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/14/2002 0-00	10/1/2014 0-00	331	338	(7)			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8005002	FNMA POOL 0545093	6/6/2001 0 00	10/1/2014 0 00	86	88	(3)			LONG
CQOF8005002	FNMA POOL 0578715	10/31/2012 0 00	10/1/2014 0 00	26	26	(0)			LONG
CQOF8005002	FNMA POOL 0580052	9/19/2001 0 00	10/1/2014 0 00	68	72	(4)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	5/23/2013 0 00	10/1/2014 0 00	990	1,068	(78)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	6/20/2011 0 00	10/1/2014 0 00	674	729	(55)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/27/2011 0 00	10/1/2014 0 00	616	650	(34)			LONG
CQOF8005002	FNMA POOL 0831592	7/16/2012 0 00	10/1/2014 0 00	22,247	24,556	(2,309)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	10/20/2009 0 00	10/1/2014 0 00	2,796	3,018	(222)			LONG
CQOF8005002	FEDERAL NAT'L MTGE ASSN POOL	4/8/2010 0 00	10/1/2014 0 00	1,729	1,786	(57)			LONG
CQOF8005002	FNMA POOL 0964571	1/7/2010 0 00	10/1/2014 0 00	1,150	1,217	(66)			LONG
CQOF8005002	FNMA POOL OACS849	6/3/2010 0 00	10/1/2014 0 00	317	323	(6)			LONG
CQOF8005002	FNMA POOL MA0265 5 0% 11/01,	1/7/2010 0 00	10/1/2014 0 00	1,580	1,636	(56)			LONG
CQOF8005002	FNMA POOL OMA0484	7/21/2010 0 00	10/1/2014 0 00	5,212	5,365	(153)			LONG
CQOF8005002	FNMA POOL OMA0500	6/22/2012 0 00	10/1/2014 0 00	1,321	1,454	(133)			LONG
CQOF8005002	FNMA POOL OMA0737	4/10/2012 0 00	10/1/2014 0 00	3,113	3,351	(238)			LONG
CQOF8005002	GNMA II POOL OAA1000	5/7/2013 0 00	10/1/2014 0 00	1,403	1,490	(87)			LONG
CQOF8005002	GNMA II POOL OMA0075	9/25/2012 0 00	10/1/2014 0 00	1,834	1,956	(122)			LONG
CQOF8005002	FED FARM CREDIT BANK 1 625% 11/	4/27/2011 0 00	10/14/2014 0 00	400,588	400,013	575			LONG
CQOF8005002	FED FARM CREDIT BANK 1 625% 11/	7/5/2011 0 00	10/14/2014 0 00	600,882	600,308	574			LONG
CQOF8005002	U S TREASURY NOTE 0 25% 1/15/	11/15/2013 0 00	10/14/2014 0 00	1,000,504	1,000,216	288			SHORT
CQOF8005002	UNITED STATES T-NOTE 2 625% 4/3/	2/26/2014 0 00	10/22/2014 0 00	131,787	131,024	763			SHORT
CQOF8005002	UNITED STATES T-NOTE 2 625% 4/3/	6/26/2014 0 00	10/22/2014 0 00	210,859	209,491	1,368			SHORT
CQOF8005002	UNITED STATES T-NOTE 2 625% 4/3/	12/27/2013 0 00	10/22/2014 0 00	131,787	130,047	1,740			SHORT
CQOF8006002	CHART INDS INC	5/23/2013 0 00	11/19/2013 0 00	7,867	8,115	(248)	-	(248)	SHORT
CQOF8006002	CHART INDS INC	5/23/2013 0 00	11/19/2013 0 00	7,231	7,462	(230)	-	(230)	SHORT
CQOF8006002	EDWARDS LIFESCIENCES CORP	11/28/2012 0 00	11/19/2013 0 00	319	420	(101)	-	(101)	SHORT
CQOF8006002	EDWARDS LIFESCIENCES CORP	1/25/2012 0 00	11/19/2013 0 00	29,008	35,833	(6,825)	-	(6,825)	LONG
CQOF8006002	ROPER INDS INC NEW	6/23/2006 0 00	11/19/2013 0 00	29,675	10,526	19,148	-	19,148	LONG
CQOF8006002	AFFILIATED MANAGERS GROUP INC	1/15/2013 0 00	11/20/2013 0 00	6,191	4,339	1,852	-	1,852	SHORT
CQOF8006002	ALIGN TECHNOLOGY INC	10/31/2012 0 00	11/20/2013 0 00	4,287	3,472	815	-	815	LONG
CQOF8006002	AMETEK INC NEW	11/30/2007 0 00	11/20/2013 0 00	4,972	1,998	2,975	-	2,975	LONG
CQOF8006002	AMPHENOL CORP NEW	4/11/2007 0 00	11/20/2013 0 00	6,026	2,386	3,640	-	3,640	LONG
CQOF8006002	ANSYS INC	6/5/2007 0 00	11/20/2013 0 00	5,536	1,806	3,730	-	3,730	LONG
CQOF8006002	BARD C R INCORPORATED	4/11/2007 0 00	11/20/2013 0 00	2,612	1,550	1,062	-	1,062	LONG
CQOF8006002	BEACON ROOFING SUPPLY INC	5/28/2013 0 00	11/20/2013 0 00	2,640	3,138	(498)	-	(498)	SHORT
CQOF8006002	BROWN & BROWN INC	4/30/2013 0 00	11/20/2013 0 00	3,083	3,049	34	-	34	SHORT
CQOF8006002	CATAMARAN CORP	8/16/2010 0 00	11/20/2013 0 00	3,245	1,338	1,907	-	1,907	LONG
CQOF8006002	CERNER CORP COM	6/23/2006 0 00	11/20/2013 0 00	7,411	1,254	6,157	-	6,157	LONG
CQOF8006002	CHART INDS INC	4/30/2013 0 00	11/20/2013 0 00	7,945	7,588	357	-	357	SHORT
CQOF8006002	CHART INDS INC	5/23/2013 0 00	11/20/2013 0 00	5,413	5,783	(370)	-	(370)	SHORT
CQOF8006002	CHART INDS INC	3/3/2013 0 00	11/20/2013 0 00	5,326	5,108	218	-	218	SHORT
CQOF8006002	CHIPOTLE MEXICAN GRILL INC	9/16/2013 0 00	11/20/2013 0 00	6,421	5,073	1,348	-	1,348	SHORT
CQOF8006002	CHURCH & DWIGHT CO INCORPORA	9/28/2007 0 00	11/20/2013 0 00	4,754	1,716	3,038	-	3,038	LONG
CQOF8006002	CITRIX SYS INC COM	11/9/2005 0 00	11/20/2013 0 00	2,396	1,142	1,255	-	1,255	LONG
CQOF8006002	COGNIZANT TECHNOLOGY SOLUTION	8/9/2005 0 00	11/20/2013 0 00	7,085	1,830	5,255	-	5,255	LONG
CQOF8006002	COMMVAULT SYS INC	10/23/2013 0 00	11/20/2013 0 00	2,937	3,334	(397)	397	-	SHORT
CQOF8006002	CONCHO RES INC	1/8/2013 0 00	11/20/2013 0 00	1,576	1,259	317	-	317	SHORT
CQOF8006002	CONCHO RES INC	1/9/2013 0 00	11/20/2013 0 00	3,573	2,876	696	-	696	SHORT
CQOF8006002	COPART INC	4/8/2009 0 00	11/20/2013 0 00	3,202	1,436	1,766	-	1,766	LONG
CQOF8006002	COSTAR GROUP INC	9/19/2013 0 00	11/20/2013 0 00	4,453	4,226	227	-	227	SHORT
CQOF8006002	EAST WEST BANCORP INC	2/22/2013 0 00	11/20/2013 0 00	5,587	4,081	1,506	-	1,506	SHORT
CQOF8006002	EDWARDS LIFESCIENCES CORP	1/25/2012 0 00	11/20/2013 0 00	2,203	2,756	(553)	-	(553)	LONG
CQOF8006002	EDWARDS LIFESCIENCES CORP	2/3/2012 0 00	11/20/2013 0 00	38,401	43,575	(5,174)	-	(5,174)	LONG
CQOF8006002	FMC TECHNOLOGIES INC	1/6/2009 0 00	11/20/2013 0 00	5,610	1,640	3,970	-	3,970	LONG
CQOF8006002	FACTSET RESH SYS INC	11/9/2005 0 00	11/20/2013 0 00	2,607	831	1,775	-	1,775	LONG
CQOF8006002	FASTENAL CO COMMON	11/9/2005 0 00	11/20/2013 0 00	3,721	1,527	2,194	-	2,194	LONG
CQOF8006002	FISERV INC COM	11/9/2005 0 00	11/20/2013 0 00	5,765	2,354	3,411	-	3,411	LONG
CQOF8006002	GENESEE & WYO INC	3/24/2011 0 00	11/20/2013 0 00	3,936	2,288	1,648	-	1,648	LONG
CQOF8006002	HAIR CELESTIAL GROUP INC	10/24/2013 0 00	11/20/2013 0 00	3,148	3,184	(36)	-	(36)	SHORT
CQOF8006002	HUNT JB TRANS SVCS INC COM	5/23/2013 0 00	11/20/2013 0 00	3,921	4,070	(149)	-	(149)	SHORT
CQOF8006002	IHS INC	9/2/2008 0 00	11/20/2013 0 00	5,163	2,932	2,231	-	2,231	LONG
CQOF8006002	INTERCONTINENTALEXCHANGE GRO	9/15/2009 0 00	11/20/2013 0 00	5,718	2,410	3,308	-	3,308	LONG
CQOF8006002	INTUIT INC COM	11/9/2005 0 00	11/20/2013 0 00	5,345	1,759	3,586	-	3,586	LONG
CQOF8006002	LKQ CORP	3/30/2007 0 00	11/20/2013 0 00	8,513	1,433	7,080	-	7,080	LONG
CQOF8006002	MIDDLEBY CORP	10/1/2013 0 00	11/20/2013 0 00	2,287	2,323	(36)	-	(36)	SHORT
CQOF8006002	O'REILLY AUTOMOTIVE INC	7/21/2005 0 00	11/20/2013 0 00	6,590	1,639	4,951	-	4,951	LONG
CQOF8006002	OASIS PETROLEUM INC	8/28/2012 0 00	11/20/2013 0 00	287	177	110	-	110	LONG
CQOF8006002	OASIS PETROLEUM INC	2/13/2012 0 00	11/20/2013 0 00	9,535	6,041	3,494	-	3,494	LONG
CQOF8006002	OCEANEERING INTERNATIONAL INC	4/30/2013 0 00	11/20/2013 0 00	3,922	3,424	497	-	497	SHORT
CQOF8006002	PALL CORPORATION COM	9/16/2013 0 00	11/20/2013 0 00	3,501	3,184	317	-	317	SHORT
CQOF8006002	PANERA BREAD CO	11/9/2005 0 00	11/20/2013 0 00	4,646	1,653	2,993	-	2,993	LONG
CQOF8006002	PAREXEL INTL CORP	11/6/2012 0 00	11/20/2013 0 00	3,689	3,035	654	-	654	LONG
CQOF8006002	PERRIGO CO COM	3/2/2012 0 00	11/20/2013 0 00	6,286	4,377	1,910	-	1,910	LONG
CQOF8006002	POLARIS INDS INC COM	1/31/2013 0 00	11/20/2013 0 00	7,219	4,749	2,470	-	2,470	SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8006002	RANGE RES CORP	12/17/2009 0 00	11/20/2013 0 00	3,434	2,156	1,278	-	1,278	LONG
CQOF8006002	RED HAT INC	7/3/2013 0 00	11/20/2013 0 00	798	866	(69)	-	(69)	SHORT
CQOF8006002	RED HAT INC	5/23/2013 0 00	11/20/2013 0 00	4,410	4,646	(236)	-	(236)	SHORT
CQOF8006002	ROPER INDS INC NEW	6/23/2006 0 00	11/20/2013 0 00	4,188	1,472	2,716	-	2,716	LONG
CQOF8006002	SIGMA ALDRICH CORP	11/21/2008 0 00	11/20/2013 0 00	3,440	1,444	1,996	-	1,996	LONG
CQOF8006002	SIGNATURE BK NEW YORK N Y	1/19/2011 0 00	11/20/2013 0 00	6,719	3,203	3,516	-	3,516	LONG
CQOF8006002	SIRONA DENTAL SYS INC	6/4/2013 0 00	11/20/2013 0 00	3,698	3,697	1	-	1	SHORT
CQOF8006002	SMUCKER J M CO	7/18/2011 0 00	11/20/2013 0 00	4,080	3,054	1,026	-	1,026	LONG
CQOF8006002	STERICYCLE INC	6/10/2005 0 00	11/20/2013 0 00	6,264	1,381	4,882	-	4,882	LONG
CQOF8006002	TRACTOR SUPPLY CO	12/1/2008 0 00	11/20/2013 0 00	8,727	1,090	7,636	-	7,636	LONG
CQOF8006002	TRIMBLE NAV LTD	4/28/2008 0 00	11/20/2013 0 00	5,149	2,685	2,464	-	2,464	LONG
CQOF8006002	TYLER TECHNOLOGIES INC	10/1/2013 0 00	11/20/2013 0 00	3,285	2,924	362	-	362	SHORT
CQOF8006002	ULTA SALON COSMETICS &	1/31/2013 0 00	11/20/2013 0 00	5,828	4,505	1,323	-	1,323	SHORT
CQOF8006002	UNDER ARMOUR INC	9/16/2013 0 00	11/20/2013 0 00	6,315	5,972	343	-	343	SHORT
CQOF8006002	VARIAN MED SYS INC	11/9/2005 0 00	11/20/2013 0 00	5,508	3,502	2,006	-	2,006	LONG
CQOF8006002	WABTEC CORP	5/23/2013 0 00	11/20/2013 0 00	6,044	4,914	1,130	-	1,130	SHORT
CQOF8006002	FMC TECHNOLOGIES INC	1/6/2009 0 00	11/21/2013 0 00	30,859	8,899	21,960	-	21,960	LONG
CQOF8006002	FMC TECHNOLOGIES INC	1/6/2009 0 00	11/21/2013 0 00	3,764	1,084	2,680	-	2,680	LONG
CQOF8006002	FMC TECHNOLOGIES INC	1/6/2009 0 00	11/22/2013 0 00	30,559	8,899	21,660	-	21,660	LONG
CQOF8006002	FMC TECHNOLOGIES INC	1/6/2009 0 00	11/25/2013 0 00	21,438	6,332	15,106	-	15,106	LONG
CQOF8006002	OASIS PETROLEUM INC	8/28/2012 0 00	12/9/2013 0 00	7,780	5,315	2,465	-	2,465	LONG
CQOF8006002	ULTA SALON COSMETICS &	1/9/2013 0 00	12/9/2013 0 00	6,593	6,946	(353)	-	(353)	SHORT
CQOF8006002	ULTA SALON COSMETICS &	1/31/2013 0 00	12/9/2013 0 00	1,174	1,273	(99)	-	(99)	SHORT
CQOF8006002	ULTA SALON COSMETICS &	8/28/2012 0 00	12/9/2013 0 00	1,806	1,890	(84)	-	(84)	LONG
CQOF8006002	ULTA SALON COSMETICS &	1/8/2013 0 00	12/9/2013 0 00	18,604	19,587	(984)	-	(984)	SHORT
CQOF8006002	ULTA SALON COSMETICS &	1/16/2013 0 00	12/9/2013 0 00	7,225	7,709	(484)	-	(484)	SHORT
CQOF8006002	OASIS PETROLEUM INC	8/28/2012 0 00	12/10/2013 0 00	13,266	8,858	4,408	-	4,408	LONG
CQOF8006002	PERRIGO CO COM	3/2/2012 0 00	12/18/2013 0 00	28,779	19,749	9,030	-	9,030	LONG
CQOF8006002	PERRIGO CO COM	10/31/2012 0 00	12/18/2013 0 00	84,780	52,325	32,455	-	32,455	LONG
CQOF8006002	PERRIGO CO COM	10/31/2012 0 00	12/18/2013 0 00	47,446	29,963	17,483	-	17,483	LONG
CQOF8006002	PERRIGO CO COM	10/31/2012 0 00	12/18/2013 0 00	2,333	1,480	853	-	853	LONG
CQOF8006002	TRACTOR SUPPLY CO	12/1/2008 0 00	12/20/2013 0 00	29,673	3,539	26,135	-	26,135	LONG
CQOF8006002	COGNIZANT TECHNOLOGY SOLUTION	8/9/2005 0 00	1/2/2014 0 00	44,607	10,884	33,723	-		LONG
CQOF8006002	OASIS PETROLEUM INC	8/28/2012 0 00	1/6/2014 0 00	20,291	13,730	6,561	-		LONG
CQOF8006002	SMUCKER J M CO	7/18/2011 0 00	1/14/2014 0 00	18,144	13,970	4,174	-		LONG
CQOF8006002	SMUCKER J M CO	7/18/2011 0 00	1/15/2014 0 00	12,911	9,924	2,987	-		LONG
CQOF8006002	SMUCKER J M CO	7/18/2011 0 00	1/16/2014 0 00	5,420	4,199	1,221	-		LONG
CQOF8006002	ULTA SALON COSMETICS &	8/27/2012 0 00	1/16/2014 0 00	3,223	3,657	(433)	-		LONG
CQOF8006002	ULTA SALON COSMETICS &	8/28/2012 0 00	1/16/2014 0 00	9,009	10,303	(1,294)	-		LONG
CQOF8006002	ULTA SALON COSMETICS &	8/28/2012 0 00	1/16/2014 0 00	24,454	27,979	(3,525)	-		LONG
CQOF8006002	LKQ CORP	3/30/2007 0 00	1/21/2014 0 00	19,925	4,179	15,746	-		LONG
CQOF8006002	ULTA SALON COSMETICS &	8/27/2012 0 00	1/21/2014 0 00	15,892	18,376	(2,484)	-		LONG
CQOF8006002	ULTA SALON COSMETICS &	4/9/2013 0 00	1/21/2014 0 00	13,379	13,955	(576)	-		SHORT
CQOF8006002	LKQ CORP	3/30/2007 0 00	1/22/2014 0 00	16,557	3,525	13,032	-		LONG
CQOF8006002	CERNER CORP COM	6/23/2006 0 00	1/29/2014 0 00	20,824	3,658	17,166	-		LONG
CQOF8006002	CITRIX SYS INC COM	11/9/2005 0 00	1/29/2014 0 00	59,981	28,294	31,687	-		LONG
CQOF8006002	UNDER ARMOUR INC	9/7/2012 0 00	2/7/2014 0 00	24,930	14,528	10,402	-		LONG
CQOF8006002	UNDER ARMOUR INC	9/16/2013 0 00	2/7/2014 0 00	11,153	7,711	3,442	-		SHORT
CQOF8006002	AFFILIATED MANAGERS GROUP INC	8/17/2009 0 00	2/20/2014 0 00	189	63	126	-		LONG
CQOF8006002	AFFILIATED MANAGERS GROUP INC	1/15/2013 0 00	2/20/2014 0 00	10,938	8,119	2,819	-		LONG
CQOF8006002	AFFILIATED MANAGERS GROUP INC	6/23/2006 0 00	2/20/2014 0 00	29,231	13,139	16,091	-		LONG
CQOF8006002	ALIGN TECHNOLOGY INC	10/31/2012 0 00	2/20/2014 0 00	585	472	114	-		LONG
CQOF8006002	ALIGN TECHNOLOGY INC	10/3/2012 0 00	2/20/2014 0 00	17,767	12,730	5,037	-		LONG
CQOF8006002	ALIGN TECHNOLOGY INC	10/1/2012 0 00	2/20/2014 0 00	1,383	988	395	-		LONG
CQOF8006002	ALIGN TECHNOLOGY INC	10/2/2012 0 00	2/20/2014 0 00	12,394	8,881	3,513	-		LONG
CQOF8006002	AMETEK INC NEW	11/22/2013 0 00	2/20/2014 0 00	12,842	12,206	636	-		SHORT
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	2/20/2014 0 00	15,087	5,477	9,610	-		LONG
CQOF8006002	AMETEK INC NEW	11/21/2013 0 00	2/20/2014 0 00	3,654	3,450	205	-		SHORT
CQOF8006002	AMETEK INC NEW	11/30/2007 0 00	2/20/2014 0 00	5,273	1,978	3,295	-		LONG
CQOF8006002	AMETEK INC NEW	11/25/2013 0 00	2/20/2014 0 00	7,048	6,709	338	-		SHORT
CQOF8006002	AMPHENOL CORP NEW	4/11/2007 0 00	2/20/2014 0 00	41,764	15,863	25,901	-		LONG
CQOF8006002	AMPHENOL CORP NEW	1/4/2007 0 00	2/20/2014 0 00	1,770	650	1,120	-		LONG
CQOF8006002	ANSYS INC	6/5/2007 0 00	2/20/2014 0 00	37,396	12,474	24,922	-		LONG
CQOF8006002	BARD C R INCORPORATED	11/21/2013 0 00	2/20/2014 0 00	3,792	3,761	31	-		SHORT
CQOF8006002	BARD C R INCORPORATED	11/25/2013 0 00	2/20/2014 0 00	10,674	10,675	(1)	-		SHORT
CQOF8006002	BARD C R INCORPORATED	11/22/2013 0 00	2/20/2014 0 00	12,500	12,433	67	-		SHORT
CQOF8006002	BEACON ROOFING SUPPLY INC	5/28/2013 0 00	2/20/2014 0 00	4,032	4,477	(445)	-		SHORT
CQOF8006002	BEACON ROOFING SUPPLY INC	7/24/2013 0 00	2/20/2014 0 00	7,837	8,692	(854)	-		SHORT
CQOF8006002	BEACON ROOFING SUPPLY INC	5/29/2013 0 00	2/20/2014 0 00	8,591	9,535	(944)	-		SHORT
CQOF8006002	BROWN & BROWN INC	1/31/2014 0 00	2/20/2014 0 00	24,498	25,900	(1,402)	-		SHORT
CQOF8006002	CATAMARAN CORP	1/13/2014 0 00	2/20/2014 0 00	13,534	13,227	306	-		SHORT
CQOF8006002	CATAMARAN CORP	8/16/2010 0 00	2/20/2014 0 00	5,660	2,103	3,558	-		LONG
CQOF8006002	CATAMARAN CORP	1/10/2014 0 00	2/20/2014 0 00	11,990	11,606	384	-		SHORT
CQOF8006002	CERNER CORP COM	6/23/2006 0 00	2/20/2014 0 00	46,181	7,288	38,893	-		LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8006002	CHART INDS INC	3/18/2013 0 00	2/20/2014 0 00	14,603	13,172	1,430			SHORT
CQOF8006002	CHART INDS INC	4/30/2013 0 00	2/20/2014 0 00	10,571	9,840	732			SHORT
CQOF8006002	CHIPOTLE MEXICAN GRILL INC	1/11/2010 0 00	2/20/2014 0 00	20,393	3,536	16,857			LONG
CQOF8006002	CHIPOTLE MEXICAN GRILL INC	9/16/2013 0 00	2/20/2014 0 00	22,597	17,334	5,263			SHORT
CQOF8006002	CHURCH & DWIGHT CO INCORPORAT	9/28/2007 0 00	2/20/2014 0 00	32,631	11,612	21,019			LONG
CQOF8006002	COGNIZANT TECHNOLOGY SOLUTION	8/9/2005 0 00	2/20/2014 0 00	40,259	9,680	30,579			LONG
CQOF8006002	COMMVAULT SYS INC	10/1/2013 0 00	2/20/2014 0 00	2,685	3,450	(765)			SHORT
CQOF8006002	COMMVAULT SYS INC	10/23/2013 0 00	2/20/2014 0 00	16,939	21,031	(4,091)			SHORT
CQOF8006002	CONCHO RES INC	9/8/2010 0 00	2/20/2014 0 00	26,892	14,911	11,981			LONG
CQOF8006002	CONCHO RES INC	1/8/2013 0 00	2/20/2014 0 00	12,003	8,730	3,274			LONG
CQOF8006002	COPART INC	12/2/2013 0 00	2/20/2014 0 00	28,784	29,127	(343)			SHORT
CQOF8006002	COPART INC	4/8/2009 0 00	2/20/2014 0 00	2,164	923	1,241			LONG
CQOF8006002	COSTAR GROUP INC	9/19/2013 0 00	2/20/2014 0 00	19,457	18,594	862			SHORT
CQOF8006002	COSTAR GROUP INC	7/26/2013 0 00	2/20/2014 0 00	10,613	9,433	1,180			SHORT
CQOF8006002	DSW INC	11/19/2013 0 00	2/20/2014 0 00	9,237	11,546	(2,309)			SHORT
CQOF8006002	DSW INC	11/20/2013 0 00	2/20/2014 0 00	6,010	7,454	(1,444)			SHORT
CQOF8006002	DSW INC	8/28/2013 0 00	2/20/2014 0 00	1,484	1,727	(244)			SHORT
CQOF8006002	DSW INC	11/19/2013 0 00	2/20/2014 0 00	13,874	17,325	(3,451)			SHORT
CQOF8006002	DICKS SPORTING GOODS INC	11/19/2013 0 00	2/20/2014 0 00	20,704	22,989	(2,284)			SHORT
CQOF8006002	DICKS SPORTING GOODS INC	11/20/2013 0 00	2/20/2014 0 00	7,841	8,529	(688)			SHORT
CQOF8006002	DICKS SPORTING GOODS INC	11/9/2005 0 00	2/20/2014 0 00	14,503	4,635	9,868			LONG
CQOF8006002	EAST WEST BANCORP INC	2/22/2013 0 00	2/20/2014 0 00	23,902	17,509	6,392			SHORT
CQOF8006002	EAST WEST BANCORP INC	1/14/2011 0 00	2/20/2014 0 00	14,415	9,110	5,305			LONG
CQOF8006002	FASTENAL CO COMMON	11/9/2005 0 00	2/20/2014 0 00	23,017	9,714	13,303			LONG
CQOF8006002	FISERV INC COM	11/9/2005 0 00	2/20/2014 0 00	41,415	16,078	25,337			LONG
CQOF8006002	GENESEE & WYO INC	3/24/2011 0 00	2/20/2014 0 00	27,131	15,736	11,395			LONG
CQOF8006002	HAIN CELESTIAL GROUP INC	1/14/2014 0 00	2/20/2014 0 00	1,403	1,546	(143)			SHORT
CQOF8006002	HAIN CELESTIAL GROUP INC	1/16/2014 0 00	2/20/2014 0 00	12,892	14,235	(1,343)			SHORT
CQOF8006002	HAIN CELESTIAL GROUP INC	1/15/2014 0 00	2/20/2014 0 00	16,487	18,341	(1,854)			SHORT
CQOF8006002	HUNT JB TRANS SVCS INC COM	5/23/2013 0 00	2/20/2014 0 00	27,832	29,243	(1,411)			SHORT
CQOF8006002	IDEX CORP COM	11/19/2013 0 00	2/20/2014 0 00	17,225	16,616	609			SHORT
CQOF8006002	IDEX CORP COM	11/20/2013 0 00	2/20/2014 0 00	9,089	8,751	338			SHORT
CQOF8006002	IDEX CORP COM	3/29/2007 0 00	2/20/2014 0 00	9,456	4,318	5,137			LONG
CQOF8006002	IHS INC	12/2/2008 0 00	2/20/2014 0 00	15,692	4,561	11,131			LONG
CQOF8006002	IHS INC	9/2/2008 0 00	2/20/2014 0 00	21,314	11,601	9,712			LONG
CQOF8006002	INTERCONTINENTALEXCHANGE GRO	9/14/2009 0 00	2/20/2014 0 00	18,772	7,910	10,862			LONG
CQOF8006002	INTERCONTINENTALEXCHANGE GRO	9/15/2009 0 00	2/20/2014 0 00	21,092	8,927	12,166			LONG
CQOF8006002	INTUIT INC COM	11/9/2005 0 00	2/20/2014 0 00	37,164	12,146	25,018			LONG
CQOF8006002	LKQ CORP	3/30/2007 0 00	2/20/2014 0 00	41,421	7,829	33,592			LONG
CQOF8006002	MANHATTAN ASSOCS INC	1/30/2014 0 00	2/20/2014 0 00	2,997	2,926	71			SHORT
CQOF8006002	MANHATTAN ASSOCS INC	1/22/2014 0 00	2/20/2014 0 00	6,165	6,060	106			SHORT
CQOF8006002	MANHATTAN ASSOCS INC	1/23/2014 0 00	2/20/2014 0 00	10,058	9,806	252			SHORT
CQOF8006002	MICROS SYS INC	11/20/2013 0 00	2/20/2014 0 00	4,747	4,633	114			SHORT
CQOF8006002	MICROS SYS INC	9/26/2007 0 00	2/20/2014 0 00	9,604	5,649	3,955			LONG
CQOF8006002	MICROS SYS INC	11/19/2013 0 00	2/20/2014 0 00	10,543	10,261	281			SHORT
CQOF8006002	MICROS SYS INC	11/19/2013 0 00	2/20/2014 0 00	2,594	2,526	68			SHORT
CQOF8006002	MICROS SYS INC	11/20/2013 0 00	2/20/2014 0 00	8,224	8,017	208			SHORT
CQOF8006002	MIDDLEBY CORP	1/6/2014 0 00	2/20/2014 0 00	24,155	23,572	582			SHORT
CQOF8006002	MIDDLEBY CORP	10/1/2013 0 00	2/20/2014 0 00	6,424	5,279	1,145			SHORT
CQOF8006002	O'REILLY AUTOMOTIVE INC	7/21/2005 0 00	2/20/2014 0 00	55,970	11,284	44,686			LONG
CQOF8006002	OASIS PETROLEUM INC	2/10/2012 0 00	2/20/2014 0 00	10,276	7,122	3,155			LONG
CQOF8006002	OASIS PETROLEUM INC	8/28/2012 0 00	2/20/2014 0 00	11,460	8,002	3,458			LONG
CQOF8006002	OCEANEERING INTERNATIONAL INC	4/30/2013 0 00	2/20/2014 0 00	16,423	16,284	139			SHORT
CQOF8006002	PALL CORPORATION COM	9/16/2013 0 00	2/20/2014 0 00	25,220	21,842	3,378			SHORT
CQOF8006002	PANERA BREAD CO	11/9/2005 0 00	2/20/2014 0 00	28,922	9,921	19,001			LONG
CQOF8006002	PAREXEL INTL CORP	1/31/2013 0 00	2/20/2014 0 00	5,591	3,566	2,025			LONG
CQOF8006002	PAREXEL INTL CORP	1/31/2013 0 00	2/20/2014 0 00	11,395	7,248	4,147			LONG
CQOF8006002	PAREXEL INTL CORP	11/6/2012 0 00	2/20/2014 0 00	14,803	9,480	5,323			LONG
CQOF8006002	POLARIS INDS INC COM	1/31/2013 0 00	2/20/2014 0 00	908	604	303			LONG
CQOF8006002	POLARIS INDS INC COM	2/1/2012 0 00	2/20/2014 0 00	39,808	20,197	19,611			LONG
CQOF8006002	POLARIS INDS INC COM	3/12/2012 0 00	2/20/2014 0 00	8,169	4,233	3,936			LONG
CQOF8006002	RANGE RES CORP	12/10/2013 0 00	2/20/2014 0 00	14,927	14,304	623			SHORT
CQOF8006002	RANGE RES CORP	12/17/2009 0 00	2/20/2014 0 00	9,319	5,292	4,027			LONG
CQOF8006002	RANGE RES CORP	12/9/2013 0 00	2/20/2014 0 00	8,111	7,693	418			SHORT
CQOF8006002	RAYMOND JAMES FINL INC	1/6/2014 0 00	2/20/2014 0 00	11,906	12,190	(284)			SHORT
CQOF8006002	RAYMOND JAMES FINL INC	1/7/2014 0 00	2/20/2014 0 00	11,444	11,757	(313)			SHORT
CQOF8006002	RED HAT INC	10/1/2010 0 00	2/20/2014 0 00	7,973	5,609	2,365			LONG
CQOF8006002	RED HAT INC	5/23/2013 0 00	2/20/2014 0 00	29,959	25,259	4,700			SHORT
CQOF8006002	ROPER INDS INC NEW	6/23/2006 0 00	2/20/2014 0 00	27,362	9,010	18,352			LONG
CQOF8006002	SIGMA ALDRICH CORP	11/21/2008 0 00	2/20/2014 0 00	25,221	9,709	15,512			LONG
CQOF8006002	SIGNATURE BK NEW YORK N Y	1/19/2011 0 00	2/20/2014 0 00	48,347	19,766	28,581			LONG
CQOF8006002	SIRONA DENTAL SYS INC	6/4/2013 0 00	2/20/2014 0 00	4,968	5,292	(324)			SHORT
CQOF8006002	SIRONA DENTAL SYS INC	6/4/2013 0 00	2/20/2014 0 00	18,783	19,947	(1,164)			SHORT
CQOF8006002	SMUCKER J M CO	8/8/2011 0 00	2/20/2014 0 00	18,258	13,239	5,019			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT				SALES		GAIN/LOSS	FED WASH	NET	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	SALE DISALLOWED	GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8006002	SMUCKER J M CO	7/18/2011 0 00	2/20/2014 0 00	1,472	1,145	327			LONG
CQOF8006002	STERICYCLE INC	6/10/2005 0 00	2/20/2014 0 00	36,186	8,157	28,029			LONG
CQOF8006002	TRACTOR SUPPLY CO	12/1/2008 0 00	2/20/2014 0 00	48,430	6,630	41,799			LONG
CQOF8006002	TRIMBLE NAV LTD	4/28/2008 0 00	2/20/2014 0 00	42,770	18,514	24,255			LONG
CQOF8006002	TYLER TECHNOLOGIES INC	2/6/2014 0 00	2/20/2014 0 00	13,626	13,132	495			SHORT
CQOF8006002	TYLER TECHNOLOGIES INC	2/7/2014 0 00	2/20/2014 0 00	9,116	8,830	286			SHORT
CQOF8006002	TYLER TECHNOLOGIES INC	2/10/2014 0 00	2/20/2014 0 00	10,076	9,470	606			SHORT
CQOF8006002	ULTIMATE SOFTWARE GROUP INC	2/4/2014 0 00	2/20/2014 0 00	16,326	15,869	457			SHORT
CQOF8006002	UNDER ARMOUR INC	9/7/2012 0 00	2/20/2014 0 00	1,823	1,083	740			LONG
CQOF8006002	UNDER ARMOUR INC	10/1/2012 0 00	2/20/2014 0 00	47,403	25,073	22,330			LONG
CQOF8006002	VARIAN MED SYS INC	11/9/2005 0 00	2/20/2014 0 00	42,412	23,948	18,465			LONG
CQOF8006002	WABTEC CORP	5/23/2013 0 00	2/20/2014 0 00	11,945	8,517	3,428			SHORT
CQOF8006002	WABTEC CORP	2/1/2013 0 00	2/20/2014 0 00	12,558	7,849	4,709			LONG
CQOF8006002	WABTEC CORP	2/4/2013 0 00	2/20/2014 0 00	19,909	12,421	7,488			LONG
CQOF8006002	PERRIGO CO PLC	12/19/2013 0 00	2/20/2014 0 00	43,528	43,087	440			SHORT
CQOF8006002	FACTSET RESH SYS INC	11/9/2005 0 00	2/26/2014 0 00	56,963	19,731	37,232			LONG
CQOF8006002	HUNT JB TRANS SVCS INC COM	2/4/2013 0 00	3/13/2014 0 00	8,258	7,713	544			LONG
CQOF8006002	HUNT JB TRANS SVCS INC COM	2/1/2013 0 00	3/13/2014 0 00	7,316	6,848	468			LONG
CQOF8006002	HUNT JB TRANS SVCS INC COM	5/23/2013 0 00	3/13/2014 0 00	652	678	(26)			SHORT
CQOF8006002	ROPER INDS INC NEW	6/23/2006 0 00	3/13/2014 0 00	22,310	7,404	14,906			LONG
CQOF8006002	STERICYCLE INC	6/10/2005 0 00	3/13/2014 0 00	16,452	3,701	12,751			LONG
CQOF8006002	OASIS PETROLEUM INC	2/10/2012 0 00	3/17/2014 0 00	56,306	40,210	16,096			LONG
CQOF8006002	PANERA BREAD CO	11/9/2005 0 00	4/7/2014 0 00	15,567	5,695	9,872			LONG
CQOF8006002	AFFILIATED MANAGERS GROUP INC	8/17/2009 0 00	4/30/2014 0 00	10,769	3,464	7,305			LONG
CQOF8006002	ALIGN TECHNOLOGY INC	10/1/2012 0 00	4/30/2014 0 00	7,900	6,004	1,896			LONG
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	4/30/2014 0 00	11,174	4,037	7,137			LONG
CQOF8006002	AMPHENOL CORP NEW	1/4/2007 0 00	4/30/2014 0 00	12,266	4,192	8,075			LONG
CQOF8006002	ANSYS INC	6/5/2007 0 00	4/30/2014 0 00	9,053	3,255	5,798			LONG
CQOF8006002	BARD C R INCORPORATED	11/21/2013 0 00	4/30/2014 0 00	6,815	6,965	(149)			SHORT
CQOF8006002	BEACON ROOFING SUPPLY INC	7/24/2013 0 00	4/30/2014 0 00	4,976	5,892	(916)			SHORT
CQOF8006002	BROWN & BROWN INC	1/31/2014 0 00	4/30/2014 0 00	6,343	6,792	(450)			SHORT
CQOF8006002	CATAMARAN CORP	8/16/2010 0 00	4/30/2014 0 00	5,906	3,020	2,886			LONG
CQOF8006002	CERNER CORP COM	6/23/2006 0 00	4/30/2014 0 00	9,992	1,862	8,130			LONG
CQOF8006002	CHART INDS INC	3/18/2013 0 00	4/30/2014 0 00	5,046	5,899	(853)			LONG
CQOF8006002	CHIPOTLE MEXICAN GRILL INC	1/11/2010 0 00	4/30/2014 0 00	9,913	1,911	8,002			LONG
CQOF8006002	CHURCH & DWIGHT CO INCORPORA	9/28/2007 0 00	4/30/2014 0 00	8,797	3,009	5,788			LONG
CQOF8006002	COGNIZANT TECHNOLOGY SOLUTION	8/9/2005 0 00	4/30/2014 0 00	9,964	2,504	7,460			LONG
CQOF8006002	COMMVAULT SYS INC	10/23/2013 0 00	4/30/2014 0 00	3,559	6,326	(2,767)			SHORT
CQOF8006002	CONCHO RES INC	9/8/2010 0 00	4/30/2014 0 00	11,418	5,632	5,786			LONG
CQOF8006002	COPART INC	4/8/2009 0 00	4/30/2014 0 00	8,398	3,428	4,970			LONG
CQOF8006002	COSTAR GROUP INC	7/25/2013 0 00	4/30/2014 0 00	793	757	36			SHORT
CQOF8006002	COSTAR GROUP INC	7/26/2013 0 00	4/30/2014 0 00	6,184	6,131	53			SHORT
CQOF8006002	DSW INC	8/28/2013 0 00	4/30/2014 0 00	7,180	9,242	(2,062)			SHORT
CQOF8006002	DICKS SPORTING GOODS INC	11/9/2005 0 00	4/30/2014 0 00	9,762	3,030	6,732			LONG
CQOF8006002	EAST WEST BANCORP INC	1/14/2011 0 00	4/30/2014 0 00	10,129	6,315	3,814			LONG
CQOF8006002	FASTENAL CO COMMON	11/9/2005 0 00	4/30/2014 0 00	6,580	2,519	4,061			LONG
CQOF8006002	FISERV INC COM	11/9/2005 0 00	4/30/2014 0 00	11,278	4,175	7,103			LONG
CQOF8006002	GENESEE & WYO INC	3/24/2011 0 00	4/30/2014 0 00	7,230	4,129	3,100			LONG
CQOF8006002	HAIN CELESTIAL GROUP INC	1/14/2014 0 00	4/30/2014 0 00	3,473	3,962	(489)			SHORT
CQOF8006002	HAIN CELESTIAL GROUP INC	10/24/2013 0 00	4/30/2014 0 00	4,151	4,105	46			SHORT
CQOF8006002	HUNT JB TRANS SVCS INC COM	2/4/2013 0 00	4/30/2014 0 00	3,201	2,842	359			LONG
CQOF8006002	HUNT JB TRANS SVCS INC COM	1/31/2013 0 00	4/30/2014 0 00	2,972	2,622	350			LONG
CQOF8006002	IDEX CORP COM	3/29/2007 0 00	4/30/2014 0 00	9,357	4,218	5,139			LONG
CQOF8006002	IDEX LABS INC	3/6/2014 0 00	4/30/2014 0 00	5,304	5,321	(17)			SHORT
CQOF8006002	IHS INC	12/2/2008 0 00	4/30/2014 0 00	9,890	2,825	7,065			LONG
CQOF8006002	INTERCONTINENTALEXCHANGE GRO	9/14/2009 0 00	4/30/2014 0 00	10,189	4,444	5,745			LONG
CQOF8006002	INTUIT INC COM	11/9/2005 0 00	4/30/2014 0 00	9,859	3,157	6,702			LONG
CQOF8006002	LKQ CORP	3/30/2007 0 00	4/30/2014 0 00	10,588	2,021	8,567			LONG
CQOF8006002	MANHATTAN ASSOCS INC	3/19/2014 0 00	4/30/2014 0 00	5,515	7,114	(1,599)			SHORT
CQOF8006002	MICROS SYS INC	9/26/2007 0 00	4/30/2014 0 00	8,628	5,454	3,174			LONG
CQOF8006002	MIDDLEBY CORP	10/1/2013 0 00	4/30/2014 0 00	7,574	6,335	1,239			SHORT
CQOF8006002	O'REILLY AUTOMOTIVE INC	7/21/2005 0 00	4/30/2014 0 00	14,084	2,937	11,148			LONG
CQOF8006002	OCEANEERING INTERNATIONAL INC	3/13/2014 0 00	4/30/2014 0 00	7,651	7,274	377			SHORT
CQOF8006002	PALL CORPORATION COM	5/1/2013 0 00	4/30/2014 0 00	1,933	1,534	399			SHORT
CQOF8006002	PALL CORPORATION COM	9/16/2013 0 00	4/30/2014 0 00	4,539	3,998	541			SHORT
CQOF8006002	PANERA BREAD CO	11/9/2005 0 00	4/30/2014 0 00	5,151	2,082	3,069			LONG
CQOF8006002	PAREXEL INTL CORP	1/31/2013 0 00	4/30/2014 0 00	6,639	5,284	1,356			LONG
CQOF8006002	POLARIS INDS INC COM	2/1/2012 0 00	4/30/2014 0 00	13,112	6,447	6,665			LONG
CQOF8006002	RANGE RES CORP	12/17/2009 0 00	4/30/2014 0 00	8,680	4,753	3,927			LONG
CQOF8006002	RAYMOND JAMES FINL INC	1/6/2014 0 00	4/30/2014 0 00	5,863	6,253	(390)			SHORT
CQOF8006002	RED HAT INC	6/17/2011 0 00	4/30/2014 0 00	8,126	6,886	1,240			LONG
CQOF8006002	RED HAT INC	10/1/2010 0 00	4/30/2014 0 00	49	41	7			LONG
CQOF8006002	ROPER INDS INC NEW	6/23/2006 0 00	4/30/2014 0 00	5,122	1,650	3,472			LONG
CQOF8006002	SIGMA ALDRICH CORP	11/21/2008 0 00	4/30/2014 0 00	6,723	2,527	4,197			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS		LONG/SHORT
				PROCEEDS	COST BASIS			DISALLOWED	DISALLOWED	
CQOF8006002	SIGNATURE BK NEW YORK N Y	1/19/2011 0 00	4/30/2014 0 00	12,141	5,154	6,986				LONG
CQOF8006002	SIRONA DENTAL SYS INC	6/4/2013 0 00	4/30/2014 0 00	6,811	6,577	234				SHORT
CQOF8006002	SMUCKER J M CO	8/8/2011 0 00	4/30/2014 0 00	4,906	3,630	1,276				LONG
CQOF8006002	STERICYCLE INC	6/10/2005 0 00	4/30/2014 0 00	8,015	1,798	6,217				LONG
CQOF8006002	TRACTOR SUPPLY CO	12/1/2008 0 00	4/30/2014 0 00	12,906	1,725	11,181				LONG
CQOF8006002	TRIMBLE NAV LTD	4/28/2008 0 00	4/30/2014 0 00	11,216	4,826	6,390				LONG
CQOF8006002	TYLER TECHNOLOGIES INC	2/10/2014 0 00	4/30/2014 0 00	4,878	5,411	(533)				SHORT
CQOF8006002	TYLER TECHNOLOGIES INC	10/1/2013 0 00	4/30/2014 0 00	2,195	2,392	(197)				SHORT
CQOF8006002	ULTIMATE SOFTWARE GROUP INC	2/4/2014 0 00	4/30/2014 0 00	4,793	6,572	(1,779)	1,779			SHORT
CQOF8006002	UNDER ARMOUR INC	10/1/2012 0 00	4/30/2014 0 00	11,809	6,779	5,030				LONG
CQOF8006002	VARIAN MED SYS INC	11/9/2005 0 00	4/30/2014 0 00	10,364	6,200	4,165				LONG
CQOF8006002	VERISK ANALYTICS INC-CLASS A	3/17/2014 0 00	4/30/2014 0 00	5,012	5,318	(305)	305			SHORT
CQOF8006002	WABTEC CORP	11/30/2012 0 00	4/30/2014 0 00	2,958	1,700	1,258				LONG
CQOF8006002	WABTEC CORP	2/4/2013 0 00	4/30/2014 0 00	8,135	5,255	2,880				LONG
CQOF8006002	PERRIGO CO PLC	12/19/2013 0 00	4/30/2014 0 00	4,032	4,355	(323)				SHORT
CQOF8006002	PERRIGO CO PLC	12/19/2013 0 00	4/30/2014 0 00	2,160	2,333	(173)				SHORT
CQOF8006002	PERRIGO CO PLC	12/19/2013 0 00	4/30/2014 0 00	4,320	4,667	(346)				SHORT
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	5/23/2014 0 00	19,374	7,012	12,362				LONG
CQOF8006002	CHIPOTLE MEXICAN GRILL INC	1/11/2010 0 00	5/23/2014 0 00	16,600	3,058	13,542				LONG
CQOF8006002	CORCHO RES INC	9/8/2010 0 00	5/23/2014 0 00	16,093	7,871	8,222				LONG
CQOF8006002	POLARIS INDS INC COM	2/1/2012 0 00	5/23/2014 0 00	5,771	2,960	2,810				LONG
CQOF8006002	POLARIS INDS INC COM	1/24/2012 0 00	5/23/2014 0 00	17,697	8,444	9,253				LONG
CQOF8006002	SIGNATURE BK NEW YORK N Y	1/19/2011 0 00	5/23/2014 0 00	18,654	8,257	10,397				LONG
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	6/2/2014 0 00	5,662	2,028	3,635				LONG
CQOF8006002	DICKS SPORTING GOODS INC	11/9/2005 0 00	6/2/2014 0 00	14,643	5,372	9,271				LONG
CQOF8006002	VARIAN MED SYS INC	11/9/2005 0 00	6/2/2014 0 00	5,355	3,076	2,279				LONG
CQOF8006002	WABTEC CORP	11/30/2012 0 00	6/2/2014 0 00	10,095	5,440	4,655				LONG
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	6/3/2014 0 00	12,538	4,492	8,046				LONG
CQOF8006002	DICKS SPORTING GOODS INC	11/9/2005 0 00	6/3/2014 0 00	18,044	6,666	11,378				LONG
CQOF8006002	VARIAN MED SYS INC	11/9/2005 0 00	6/3/2014 0 00	7,955	4,591	3,365				LONG
CQOF8006002	WABTEC CORP	11/30/2012 0 00	6/3/2014 0 00	6,605	3,570	3,035				LONG
CQOF8006002	WABTEC CORP	11/29/2012 0 00	6/3/2014 0 00	1,494	804	690				LONG
CQOF8006002	DICKS SPORTING GOODS INC	11/9/2005 0 00	6/4/2014 0 00	18,058	6,650	11,408				LONG
CQOF8006002	VARIAN MED SYS INC	11/9/2005 0 00	6/4/2014 0 00	5,149	2,982	2,167				LONG
CQOF8006002	DICKS SPORTING GOODS INC	11/9/2005 0 00	6/5/2014 0 00	23,390	8,501	14,889				LONG
CQOF8006002	DICKS SPORTING GOODS INC	11/9/2005 0 00	6/5/2014 0 00	6,157	2,228	3,929				LONG
CQOF8006002	MICROS SYS INC	9/26/2007 0 00	6/18/2014 0 00	11,588	5,714	5,874				LONG
CQOF8006002	MICROS SYS INC	5/2/2008 0 00	6/18/2014 0 00	12,575	5,634	6,941				LONG
CQOF8006002	MICROS SYS INC	5/2/2008 0 00	7/14/2014 0 00	9,290	4,041	5,249				LONG
CQOF8006002	MICROS SYS INC	5/2/2008 0 00	7/15/2014 0 00	22,978	10,000	12,978				LONG
CQOF8006002	MICROS SYS INC	5/5/2008 0 00	7/16/2014 0 00	3,863	1,655	2,208				LONG
CQOF8006002	MICROS SYS INC	5/2/2008 0 00	7/16/2014 0 00	14,435	6,283	8,152				LONG
CQOF8006002	MICROS SYS INC	5/5/2008 0 00	7/17/2014 0 00	18,335	7,868	10,467				LONG
CQOF8006002	MICROS SYS INC	5/5/2008 0 00	7/18/2014 0 00	16,381	7,026	9,355				LONG
CQOF8006002	UNDER ARMOUR INC	10/2/2012 0 00	7/23/2014 0 00	19,519	9,113	10,405				LONG
CQOF8006002	UNDER ARMOUR INC	10/1/2012 0 00	7/23/2014 0 00	4,969	2,354	2,615				LONG
CQOF8006002	UNDER ARMOUR INC	10/2/2012 0 00	9/9/2014 0 00	18,414	7,240	11,174				LONG
CQOF8006002	UNDER ARMOUR INC	10/2/2012 0 00	9/10/2014 0 00	8,451	3,382	5,069				LONG
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	10/1/2014 0 00	32,864	12,584	20,280				LONG
CQOF8006002	CHART INDS INC	6/17/2014 0 00	10/1/2014 0 00	275	404	(129)				SHORT
CQOF8006002	CHART INDS INC	3/18/2013 0 00	10/1/2014 0 00	21,198	31,194	(9,995)				LONG
CQOF8006002	SIGMA ALDRICH CORP	11/21/2008 0 00	10/1/2014 0 00	58,004	15,412	42,593				LONG
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	10/2/2014 0 00	27,625	10,632	16,993				LONG
CQOF8006002	CHART INDS INC	6/17/2014 0 00	10/2/2014 0 00	5,212	8,565	(3,353)				SHORT
CQOF8006002	CHART INDS INC	4/10/2013 0 00	10/2/2014 0 00	2,950	4,798	(1,847)				LONG
CQOF8006002	CHART INDS INC	4/9/2013 0 00	10/2/2014 0 00	4,622	7,505	(2,883)				LONG
CQOF8006002	SIGMA ALDRICH CORP	11/21/2008 0 00	10/2/2014 0 00	34,244	9,132	25,113				LONG
CQOF8006002	AMETEK INC NEW	4/21/2010 0 00	10/3/2014 0 00	6,168	2,350	3,818				LONG
CQOF8006002	CHART INDS INC	4/9/2013 0 00	10/3/2014 0 00	2,430	4,072	(1,642)				LONG
CQOF8006002	CHART INDS INC	6/16/2014 0 00	10/6/2014 0 00	2,396	3,915	(1,519)				SHORT
CQOF8006002	CHART INDS INC	4/9/2013 0 00	10/6/2014 0 00	3,402	5,668	(2,266)				LONG
CQOF8006002	CHART INDS INC	6/13/2014 0 00	10/6/2014 0 00	3,306	5,409	(2,102)				SHORT
CQOF8006002	CHART INDS INC	6/16/2014 0 00	10/7/2014 0 00	939	1,566	(627)				SHORT
CQOF8006002	CHART INDS INC	4/29/2013 0 00	10/7/2014 0 00	2,113	3,491	(1,378)				LONG
CQOF8006002	COMMVAULT SYS INC	10/23/2013 0 00	10/24/2014 0 00	7,609	14,277	(6,668)				LONG
CQOF8006002	COMMVAULT SYS INC	10/30/2013 0 00	10/24/2014 0 00	4,329	7,578	(3,249)				SHORT
CQOF8006002	COMMVAULT SYS INC	10/29/2013 0 00	10/24/2014 0 00	5,285	9,080	(3,795)				SHORT
CQOF8006002	COMMVAULT SYS INC	10/29/2013 0 00	10/27/2014 0 00	14,997	26,613	(11,617)				SHORT
CQOF8008002	JETBLUE AWYS CORP	12/23/2010 0 00	11/14/2013 0 00	215,924	165,573	50,351	-		50,351	LONG
CQOF8008002	HAVERTY FURNITURE COMPANIES IN	8/22/2005 0 00	11/18/2013 0 00	74,954	33,990	40,964	-		40,964	LONG
CQOF8008002	HAVERTY FURNITURE COMPANIES IN	8/22/2005 0 00	12/9/2013 0 00	167,579	72,665	94,914	-		94,914	LONG
CQOF8008002	AURICO GOLD INC	2/6/2013 0 00	1/29/2014 0 00	2	4	(2)				SHORT
CQOF8008002	JETBLUE AWYS CORP	12/23/2010 0 00	2/7/2014 0 00	130,504	99,344	31,160				LONG
CQOF8008002	JETBLUE AWYS CORP	1/21/2011 0 00	2/7/2014 0 00	43,501	32,240	11,262				LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8008002	RADIOSHACK CORP	4/2/2012 0:00	4/11/2014 0:00	16,325	50,988	(34,663)			LONG
CQOF8008002	RADIOSHACK CORP	5/1/2012 0:00	4/11/2014 0:00	20,155	52,427	(32,272)			LONG
CQOF8008002	JETBLUE AWYS CORP	1/21/2011 0:00	4/22/2014 0:00	94,227	70,927	23,300			LONG
CQOF8008002	ADVANTAGE OIL & GAS LTD	2/16/2012 0:00	4/28/2014 0:00	113,481	70,868	42,613			LONG
CQOF8008002	ADVANTAGE OIL & GAS LTD	2/16/2012 0:00	4/30/2014 0:00	31,512	18,650	12,862			LONG
CQOF8008002	SANMINA CORP	11/2/2010 0:00	4/30/2014 0:00	151,422	90,329	61,092			LONG
CQOF8008002	OVERSEAS SHIPHOLDING GROUP INC	1/3/2011 0:00	5/19/2014 0:00	4,902	21,557	(16,655)			LONG
CQOF8008002	OVERSEAS SHIPHOLDING GROUP INC	1/3/2011 0:00	5/21/2014 0:00	5,255	32,336	(27,081)			LONG
CQOF8008002	OVERSEAS SHIPHOLDING GROUP INC	1/3/2011 0:00	5/22/2014 0:00	2,129	14,371	(12,243)			LONG
CQOF8008002	OVERSEAS SHIPHOLDING GROUP INC	1/3/2011 0:00	5/28/2014 0:00	6,088	35,929	(29,840)			LONG
CQOF8008002	OVERSEAS SHIPHOLDING GROUP INC	1/3/2011 0:00	5/29/2014 0:00	12,497	68,265	(55,768)			LONG
CQOF8008002	AERCAP HOLDINGS	3/14/2013 0:00	5/30/2014 0:00	122,193	40,365	81,828			LONG
CQOF8008002	NORDIC AMERICAN OFFSHORE LTD	7/23/2014 0:00	8/13/2014 0:00	1,251	1,359	(108)			SHORT
CQOF8008002	JETBLUE AWYS CORP	1/21/2011 0:00	8/22/2014 0:00	125,513	64,479	61,034			LONG
CQOF8008002	RUBY TUESDAY INC	4/19/2012 0:00	10/9/2014 0:00	48,838	49,601	(763)			LONG
CQOF8008002	NORDIC AMERICAN OFFSHORE LTD	7/23/2014 0:00	10/29/2014 0:00	6	9	(3)			SHORT
CQOF8009002	ASTRONICS CORP CL B	12/31/2012 0:00	11/1/2013 0:00	20	7	12	-	12	SHORT
CQOF8009002	BRIDGELINE DIGITAL INC	3/8/2011 0:00	11/1/2013 0:00	709	1,029	(319)	-	(319)	LONG
CQOF8009002	GETTY RLTY CORP NEW	9/25/2012 0:00	11/1/2013 0:00	2,918	2,751	167	-	167	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/22/2011 0:00	11/1/2013 0:00	830	460	370	-	370	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/22/2011 0:00	11/1/2013 0:00	5,005	2,773	2,232	-	2,232	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/24/2011 0:00	11/1/2013 0:00	186	105	81	-	81	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/20/2011 0:00	11/1/2013 0:00	7	4	3	-	3	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/20/2011 0:00	11/1/2013 0:00	43	23	19	-	19	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/29/2011 0:00	11/1/2013 0:00	9,886	6,186	3,701	-	3,701	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/24/2011 0:00	11/1/2013 0:00	31	17	13	-	13	LONG
CQOF8009002	OFFICIAL PAYMENTS HOLDINGS I	6/29/2011 0:00	11/1/2013 0:00	2,333	1,459	873	-	873	LONG
CQOF8009002	RETAIL OPPORTUNITY INVESTMENT	7/19/2012 0:00	11/1/2013 0:00	3,269	2,690	579	-	579	LONG
CQOF8009002	RETAIL OPPORTUNITY INVESTMENT	3/19/2012 0:00	11/1/2013 0:00	15,686	12,637	3,049	-	3,049	LONG
CQOF8009002	RETAIL OPPORTUNITY INVESTMENT	7/27/2012 0:00	11/1/2013 0:00	410	330	81	-	81	LONG
CQOF8009002	BRIDGELINE DIGITAL INC	3/8/2011 0:00	11/4/2013 0:00	424	625	(202)	-	(202)	LONG
CQOF8009002	S & W SEED CO	10/7/2013 0:00	11/4/2013 0:00	3,055	3,492	(437)	-	(437)	SHORT
CQOF8009002	S & W SEED CO	2/8/2012 0:00	11/4/2013 0:00	4,932	4,403	528	-	528	LONG
CQOF8009002	BRIDGELINE DIGITAL INC	3/8/2011 0:00	11/5/2013 0:00	648	987	(340)	-	(340)	LONG
CQOF8009002	CORE-MARK HLDG CO INC	3/19/2012 0:00	11/5/2013 0:00	6,519	3,833	2,687	-	2,687	LONG
CQOF8009002	CORE-MARK HLDG CO INC	3/22/2012 0:00	11/5/2013 0:00	7,711	4,306	3,405	-	3,405	LONG
CQOF8009002	LEAPFROG ENTERPRISES INC	11/8/2012 0:00	11/5/2013 0:00	2,888	3,078	(191)	-	(191)	SHORT
CQOF8009002	LEAPFROG ENTERPRISES INC	5/2/2013 0:00	11/5/2013 0:00	2,476	2,679	(203)	-	(203)	SHORT
CQOF8009002	S & W SEED CO	6/1/2012 0:00	11/5/2013 0:00	450	402	48	-	48	LONG
CQOF8009002	S & W SEED CO	2/10/2012 0:00	11/5/2013 0:00	4,180	3,598	582	-	582	LONG
CQOF8009002	S & W SEED CO	2/8/2012 0:00	11/5/2013 0:00	4,587	4,439	148	-	148	LONG
CQOF8009002	FLOTEK INDS INC DEL	7/3/2012 0:00	11/7/2013 0:00	64	28	35	-	35	LONG
CQOF8009002	FLOTEK INDS INC DEL	7/26/2012 0:00	11/7/2013 0:00	8,261	3,937	4,324	-	4,324	LONG
CQOF8009002	CALAMP CORP	2/14/2013 0:00	11/8/2013 0:00	2,479	1,018	1,461	-	1,461	SHORT
CQOF8009002	CALAMP CORP	5/22/2013 0:00	11/8/2013 0:00	4,687	2,557	2,130	-	2,130	SHORT
CQOF8009002	DIGITAL GENERATION INC	8/14/2013 0:00	11/8/2013 0:00	768	809	(41)	-	(41)	SHORT
CQOF8009002	CALAMP CORP	2/14/2013 0:00	11/11/2013 0:00	1,889	768	1,121	-	1,121	SHORT
CQOF8009002	DIGITAL GENERATION INC	8/14/2013 0:00	11/11/2013 0:00	1,177	1,239	(61)	-	(61)	SHORT
CQOF8009002	ACETO CORP	9/17/2012 0:00	11/12/2013 0:00	1,472	738	735	-	735	LONG
CQOF8009002	ACETO CORP	9/27/2012 0:00	11/12/2013 0:00	3,212	1,585	1,627	-	1,627	LONG
CQOF8009002	CALAMP CORP	2/14/2013 0:00	11/12/2013 0:00	5,583	2,211	3,373	-	3,373	SHORT
CQOF8009002	CALAMP CORP	9/28/2011 0:00	11/13/2013 0:00	3,190	365	2,825	-	2,825	LONG
CQOF8009002	CALAMP CORP	2/14/2013 0:00	11/13/2013 0:00	7,834	3,090	4,745	-	4,745	SHORT
CQOF8009002	DIGITAL GENERATION INC	8/14/2013 0:00	11/13/2013 0:00	4,808	5,081	(273)	-	(273)	SHORT
CQOF8009002	NANOSPHERE INC	9/24/2013 0:00	11/13/2013 0:00	548	482	66	-	66	SHORT
CQOF8009002	SHILOH INDS INC	3/18/2011 0:00	11/13/2013 0:00	1,503	887	615	-	615	LONG
CQOF8009002	SHILOH INDS INC	3/29/2011 0:00	11/13/2013 0:00	167	98	69	-	69	LONG
CQOF8009002	SHILOH INDS INC	3/15/2011 0:00	11/13/2013 0:00	631	366	265	-	265	LONG
CQOF8009002	SHILOH INDS INC	3/16/2011 0:00	11/13/2013 0:00	2,059	1,195	864	-	864	LONG
CQOF8009002	EHEALTH INC	9/20/2013 0:00	11/14/2013 0:00	7,483	4,867	2,616	-	2,616	SHORT
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P	11/12/2012 0:00	11/14/2013 0:00	6,032	4,154	1,878	-	1,878	LONG
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0:00	11/14/2013 0:00	123	240	(117)	-	(117)	SHORT
CQOF8009002	FRANKLIN WIRELESS CORP	6/23/2011 0:00	11/15/2013 0:00	956	1,709	(753)	-	(753)	LONG
CQOF8009002	FRANKLIN WIRELESS CORP	5/23/2011 0:00	11/15/2013 0:00	798	1,515	(717)	-	(717)	LONG
CQOF8009002	FRANKLIN WIRELESS CORP	5/24/2011 0:00	11/15/2013 0:00	221	402	(181)	-	(181)	LONG
CQOF8009002	HANDY & HARMAN LTD	3/14/2013 0:00	11/15/2013 0:00	4,936	3,457	1,478	-	1,478	SHORT
CQOF8009002	HESKA CORPORATION	2/26/2013 0:00	11/15/2013 0:00	349	380	(31)	-	(31)	SHORT
CQOF8009002	LANDEC CORP	1/30/2012 0:00	11/15/2013 0:00	638	331	307	-	307	LONG
CQOF8009002	LANDEC CORP	11/7/2013 0:00	11/15/2013 0:00	2,754	2,664	90	-	90	SHORT
CQOF8009002	LANDEC CORP	1/27/2012 0:00	11/15/2013 0:00	2,175	1,128	1,047	-	1,047	LONG
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P	11/12/2012 0:00	11/15/2013 0:00	720	496	224	-	224	LONG
CQOF8009002	STEEL EXCEL INC	3/8/2011 0:00	11/15/2013 0:00	32,695	34,949	(2,255)	-	(2,255)	LONG
CQOF8009002	TILE SHOP HLDGS INC	11/14/2013 0:00	11/15/2013 0:00	4,498	5,078	(580)	-	(580)	SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	4/2/2013 0:00	11/18/2013 0:00	5,037	4,824	213	-	213	SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS	
				PROCEEDS	COST BASIS			DISALLOWED	LONG/SHORT
CQOF8009002	HANDY & HARMAN LTD	3/14/2013 0 00	11/18/2013 0 00	1,040	729	312	-	-	312 SHORT
CQOF8009002	HANDY & HARMAN LTD	11/10/2011 0 00	11/18/2013 0 00	1,888	896	992	-	-	992 LONG
CQOF8009002	HANDY & HARMAN LTD	3/31/2011 0 00	11/18/2013 0 00	10,580	5,060	5,520	-	-	5,520 LONG
CQOF8009002	HANDY & HARMAN LTD	3/8/2013 0 00	11/18/2013 0 00	48	33	15	-	-	15 SHORT
CQOF8009002	HANDY & HARMAN LTD	10/25/2011 0 00	11/18/2013 0 00	3,874	1,886	1,987	-	-	1,987 LONG
CQOF8009002	HANDY & HARMAN LTD	3/26/2013 0 00	11/18/2013 0 00	436	266	170	-	-	170 SHORT
CQOF8009002	HANDY & HARMAN LTD	3/25/2013 0 00	11/18/2013 0 00	4,116	2,540	1,576	-	-	1,576 SHORT
CQOF8009002	HANDY & HARMAN LTD	3/27/2013 0 00	11/18/2013 0 00	2,542	1,578	964	-	-	964 SHORT
CQOF8009002	HANDY & HARMAN LTD	3/14/2013 0 00	11/18/2013 0 00	702	491	211	-	-	211 SHORT
CQOF8009002	HANDY & HARMAN LTD	3/28/2013 0 00	11/18/2013 0 00	48	30	18	-	-	18 SHORT
CQOF8009002	HESKA CORPORATION	2/26/2013 0 00	11/18/2013 0 00	746	826	(80)	-	-	(80) SHORT
CQOF8009002	HESKA CORPORATION	2/27/2013 0 00	11/18/2013 0 00	1,461	1,591	(130)	-	-	(130) SHORT
CQOF8009002	NATUS MED INC DEL	8/29/2012 0 00	11/18/2013 0 00	355	192	163	-	-	163 LONG
CQOF8009002	OMNICELL INC	2/1/2013 0 00	11/18/2013 0 00	276	208	68	-	-	68 SHORT
CQOF8009002	OMNICELL INC	2/1/2013 0 00	11/18/2013 0 00	4,555	3,373	1,181	-	-	1,181 SHORT
CQOF8009002	OMNICELL INC	2/5/2013 0 00	11/18/2013 0 00	3,842	2,887	954	-	-	954 SHORT
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P/	11/12/2012 0 00	11/18/2013 0 00	7,129	4,933	2,196	-	-	2,196 LONG
CQOF8009002	STATE BANK FINANCIAL CORP	2/4/2013 0 00	11/18/2013 0 00	805	794	11	-	-	11 SHORT
CQOF8009002	DGSE COMPANIES INC	3/1/2011 0 00	11/19/2013 0 00	838	1,627	(789)	-	-	(789) LONG
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	4/2/2013 0 00	11/19/2013 0 00	68	65	3	-	-	3 SHORT
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P/	11/12/2012 0 00	11/19/2013 0 00	612	425	187	-	-	187 LONG
CQOF8009002	STATE BANK FINANCIAL CORP	2/4/2013 0 00	11/19/2013 0 00	140	138	2	-	-	2 SHORT
CQOF8009002	ANAREN MICROWAVE INC COM	3/1/2011 0 00	11/20/2013 0 00	3,593	2,703	890	-	-	890 LONG
CQOF8009002	ANAREN MICROWAVE INC COM	10/31/2013 0 00	11/20/2013 0 00	17,688	15,872	1,815	-	-	1,815 SHORT
CQOF8009002	ANAREN MICROWAVE INC COM	4/27/2011 0 00	11/20/2013 0 00	12,535	7,702	4,833	-	-	4,833 LONG
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	4/2/2013 0 00	11/20/2013 0 00	1,012	975	37	-	-	37 SHORT
CQOF8009002	HANDY & HARMAN LTD	11/10/2011 0 00	11/20/2013 0 00	1,018	482	536	-	-	536 LONG
CQOF8009002	HANDY & HARMAN LTD	4/25/2011 0 00	11/20/2013 0 00	1,940	896	1,043	-	-	1,043 LONG
CQOF8009002	MERGE HEALTHCARE INC	10/3/2012 0 00	11/20/2013 0 00	984	1,637	(652)	-	-	(652) LONG
CQOF8009002	MERGE HEALTHCARE INC	10/4/2012 0 00	11/20/2013 0 00	264	432	(168)	-	-	(168) LONG
CQOF8009002	MUSCLE PHARM CORP	8/22/2013 0 00	11/20/2013 0 00	991	1,336	(345)	-	-	(345) SHORT
CQOF8009002	NATUS MED INC DEL	8/29/2012 0 00	11/20/2013 0 00	3,155	1,799	1,357	-	-	1,357 LONG
CQOF8009002	PHOTOMEDEX INC	9/16/2013 0 00	11/20/2013 0 00	3,891	5,491	(1,600)	-	-	(1,600) SHORT
CQOF8009002	ROCKY BRANDS INC	5/4/2011 0 00	11/20/2013 0 00	904	879	24	-	-	24 LONG
CQOF8009002	ROCKY BRANDS INC	7/24/2013 0 00	11/20/2013 0 00	490	545	(55)	-	-	(55) SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	4/25/2013 0 00	11/20/2013 0 00	2,091	2,103	(12)	-	-	(12) SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	2/4/2013 0 00	11/20/2013 0 00	918	932	(14)	-	-	(14) SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	4/24/2013 0 00	11/20/2013 0 00	578	582	(4)	-	-	(4) SHORT
CQOF8009002	STEEL EXCEL INC	3/8/2011 0 00	11/20/2013 0 00	10,462	11,489	(1,027)	-	-	(1,027) LONG
CQOF8009002	UNISYS CORP	9/19/2012 0 00	11/20/2013 0 00	4,982	4,279	702	-	-	702 LONG
CQOF8009002	CAMTEK LTD	9/15/2011 0 00	11/20/2013 0 00	1,030	1,469	(439)	-	-	(439) LONG
CQOF8009002	CAMTEK LTD	10/1/2011 0 00	11/20/2013 0 00	81	113	(32)	-	-	(32) LONG
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/17/2013 0 00	11/21/2013 0 00	6,676	3,852	2,824	-	-	2,824 SHORT
CQOF8009002	ASSOCIATED ESTATES RLTY CORP	9/14/2012 0 00	11/21/2013 0 00	2,593	2,589	4	-	-	4 LONG
CQOF8009002	ASTRONICS CORP	12/31/2012 0 00	11/21/2013 0 00	4,530	1,791	2,739	-	-	2,739 SHORT
CQOF8009002	BLACK DIAMOND INC	5/21/2013 0 00	11/21/2013 0 00	1,616	1,102	514	-	-	514 SHORT
CQOF8009002	BLACK DIAMOND INC	6/18/2013 0 00	11/21/2013 0 00	543	374	169	-	-	169 SHORT
CQOF8009002	BLACK DIAMOND INC	6/19/2013 0 00	11/21/2013 0 00	8,741	6,058	2,683	-	-	2,683 SHORT
CQOF8009002	BROOKLINE BANCORP INC DEL	10/25/2013 0 00	11/21/2013 0 00	4,843	4,943	(100)	100	-	- SHORT
CQOF8009002	CALAMP CORP	9/28/2011 0 00	11/21/2013 0 00	3,768	424	3,343	-	-	3,343 LONG
CQOF8009002	CHARTER FINANCIAL CORP	10/25/2013 0 00	11/21/2013 0 00	5,964	6,134	(169)	169	-	- SHORT
CQOF8009002	CIRCOR INTL INC	5/31/2012 0 00	11/21/2013 0 00	4,006	1,739	2,268	-	-	2,268 LONG
CQOF8009002	CLIFTON SVGS BANCORP INC	10/4/2012 0 00	11/21/2013 0 00	241	212	30	-	-	30 LONG
CQOF8009002	CLIFTON SVGS BANCORP INC	10/3/2012 0 00	11/21/2013 0 00	254	222	31	-	-	31 LONG
CQOF8009002	CLIFTON SVGS BANCORP INC	10/8/2012 0 00	11/21/2013 0 00	1,613	1,427	185	-	-	185 LONG
CQOF8009002	CLIFTON SVGS BANCORP INC	10/9/2012 0 00	11/21/2013 0 00	2,362	2,089	273	-	-	273 LONG
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	4/2/2013 0 00	11/21/2013 0 00	5,548	5,295	253	-	-	253 SHORT
CQOF8009002	GRAHAM CORP	10/25/2013 0 00	11/21/2013 0 00	6,571	7,231	(660)	-	-	(660) SHORT
CQOF8009002	GRAHAM CORP	7/29/2013 0 00	11/21/2013 0 00	1,975	1,829	146	-	-	146 SHORT
CQOF8009002	GRAHAM CORP	8/21/2013 0 00	11/21/2013 0 00	539	499	39	-	-	39 SHORT
CQOF8009002	HANDY & HARMAN LTD	4/14/2011 0 00	11/21/2013 0 00	3,354	1,518	1,835	-	-	1,835 LONG
CQOF8009002	HANDY & HARMAN LTD	4/12/2011 0 00	11/21/2013 0 00	316	143	173	-	-	173 LONG
CQOF8009002	HANDY & HARMAN LTD	4/13/2011 0 00	11/21/2013 0 00	5,978	2,713	3,265	-	-	3,265 LONG
CQOF8009002	HANDY & HARMAN LTD	4/25/2011 0 00	11/21/2013 0 00	1,652	762	890	-	-	890 LONG
CQOF8009002	HERITAGE FINL GROUP INC COM	10/29/2013 0 00	11/21/2013 0 00	4,243	4,164	79	-	-	79 SHORT
CQOF8009002	HORACE MANN EDUCATORS CORP N	5/6/2009 0 00	11/21/2013 0 00	3,960	1,208	2,752	-	-	2,752 LONG
CQOF8009002	MERGE HEALTHCARE INC	9/7/2012 0 00	11/21/2013 0 00	1,125	1,809	(684)	-	-	(684) LONG
CQOF8009002	MERGE HEALTHCARE INC	10/4/2012 0 00	11/21/2013 0 00	1,388	2,285	(898)	-	-	(898) LONG
CQOF8009002	MERIDIAN INTERSTATE BANCORP IN	7/30/2013 0 00	11/21/2013 0 00	6,997	6,491	507	-	-	507 SHORT
CQOF8009002	MUSCLE PHARM CORP	8/22/2013 0 00	11/21/2013 0 00	2,096	2,804	(708)	-	-	(708) SHORT
CQOF8009002	NEENAH PAPER INC	3/27/2013 0 00	11/21/2013 0 00	3,622	2,797	825	-	-	825 SHORT
CQOF8009002	NEENAH PAPER INC	5/20/2013 0 00	11/21/2013 0 00	2,149	1,691	459	-	-	459 SHORT
CQOF8009002	OMNICELL INC	2/1/2013 0 00	11/21/2013 0 00	4,475	3,237	1,238	-	-	1,238 SHORT
CQOF8009002	PREFERRED APARTMENT COMMUNI	11/12/2013 0 00	11/21/2013 0 00	5,807	5,758	49	-	-	49 SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT				SALES		GAIN/LOSS	FED WASH	NET	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	SALE	DISALLOWED	WITH LOSS
									LONG/SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	10/28/2013 0 00	11/21/2013 0 00	3,743	3,258	485	-	-	485 SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	10/30/2013 0 00	11/21/2013 0 00	1,076	936	139	-	-	139 SHORT
CQOF8009002	ROCKY BRANDS INC	3/18/2011 0 00	11/21/2013 0 00	459	396	63	-	-	63 LONG
CQOF8009002	ROCKY BRANDS INC	5/4/2011 0 00	11/21/2013 0 00	3,577	3,487	90	-	-	90 LONG
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P/	11/12/2012 0 00	11/21/2013 0 00	13,454	9,370	4,084	-	-	4,084 LONG
CQOF8009002	STATE BANK FINANCIAL CORP	3/27/2013 0 00	11/21/2013 0 00	1,105	1,066	40	-	-	40 SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	4/25/2013 0 00	11/21/2013 0 00	1,616	1,624	(9)	-	-	(9) SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	8/22/2012 0 00	11/21/2013 0 00	2,619	2,516	103	-	-	103 LONG
CQOF8009002	STATE BANK FINANCIAL CORP	8/23/2012 0 00	11/21/2013 0 00	5,425	5,181	244	-	-	244 LONG
CQOF8009002	TELLABS INC	7/26/2013 0 00	11/21/2013 0 00	1,303	1,176	127	-	-	127 SHORT
CQOF8009002	TELLABS INC	7/16/2013 0 00	11/21/2013 0 00	4,110	3,740	370	-	-	370 SHORT
CQOF8009002	TELLABS INC	8/19/2013 0 00	11/21/2013 0 00	3,296	3,134	161	-	-	161 SHORT
CQOF8009002	TELLABS INC	8/2/2013 0 00	11/21/2013 0 00	73	66	7	-	-	7 SHORT
CQOF8009002	TELLABS INC	6/25/2013 0 00	11/21/2013 0 00	4,088	3,419	669	-	-	669 SHORT
CQOF8009002	TELLABS INC	7/29/2013 0 00	11/21/2013 0 00	3,269	2,930	339	-	-	339 SHORT
CQOF8009002	TELLABS INC	7/23/2013 0 00	11/21/2013 0 00	3,078	2,776	303	-	-	303 SHORT
CQOF8009002	TELLABS INC	7/22/2013 0 00	11/21/2013 0 00	4,103	3,700	403	-	-	403 SHORT
CQOF8009002	TELLABS INC	7/24/2013 0 00	11/21/2013 0 00	220	198	21	-	-	21 SHORT
CQOF8009002	UNISYS CORP	9/19/2012 0 00	11/21/2013 0 00	10,768	9,241	1,527	-	-	1,527 LONG
CQOF8009002	UNIVEST CORP PA	3/7/2011 0 00	11/21/2013 0 00	3,077	2,867	211	-	-	211 LONG
CQOF8009002	CAMTEK LTD	10/1/2011 0 00	11/21/2013 0 00	1,234	1,691	(457)	-	-	(457) LONG
CQOF8009002	BRIDGELINE DIGITAL INC	3/8/2011 0 00	11/22/2013 0 00	403	490	(87)	-	-	(87) LONG
CQOF8009002	BRIDGELINE DIGITAL INC	6/28/2013 0 00	11/22/2013 0 00	255	309	(54)	-	-	(54) SHORT
CQOF8009002	MUSCLE PHARM CORP	8/22/2013 0 00	11/22/2013 0 00	826	1,104	(278)	-	-	(278) SHORT
CQOF8009002	CATO CORP NEW CL A	9/12/2013 0 00	11/25/2013 0 00	8,583	6,740	1,843	-	-	1,843 SHORT
CQOF8009002	DIAMOND FOODS INC	10/2/2013 0 00	11/25/2013 0 00	6,599	5,734	865	-	-	865 SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	4/2/2013 0 00	11/25/2013 0 00	8,544	8,056	488	-	-	488 SHORT
CQOF8009002	HANDY & HARMAN LTD	4/14/2011 0 00	11/25/2013 0 00	3,626	1,617	2,009	-	-	2,009 LONG
CQOF8009002	MUSCLE PHARM CORP	8/22/2013 0 00	11/25/2013 0 00	244	320	(76)	-	-	(76) SHORT
CQOF8009002	MUSCLE PHARM CORP	9/3/2013 0 00	11/25/2013 0 00	1,229	1,466	(237)	-	-	(237) SHORT
CQOF8009002	MUSCLE PHARM CORP	9/12/2013 0 00	11/25/2013 0 00	109	128	(19)	-	-	(19) SHORT
CQOF8009002	ROCKY BRANDS INC	3/18/2011 0 00	11/25/2013 0 00	1,562	1,333	229	-	-	229 LONG
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P/	11/12/2012 0 00	11/25/2013 0 00	2,292	1,581	711	-	-	711 LONG
CQOF8009002	STEEL EXCEL INC	3/8/2011 0 00	11/25/2013 0 00	20,466	22,073	(1,607)	393	-	(1,214) LONG
CQOF8009002	STERLING BANCORP/DE	11/13/2013 0 00	11/25/2013 0 00	4	4	(0)	-	-	(0) SHORT
CQOF8009002	UNIVEST CORP PA	3/7/2011 0 00	11/25/2013 0 00	3,641	3,273	367	-	-	367 LONG
CQOF8009002	CAMTEK LTD	12/1/2011 0 00	11/25/2013 0 00	1,718	963	755	-	-	755 LONG
CQOF8009002	CAMTEK LTD	1/26/2012 0 00	11/25/2013 0 00	1,687	884	803	-	-	803 LONG
CQOF8009002	CAMTEK LTD	10/1/2011 0 00	11/25/2013 0 00	1,468	861	606	-	-	606 LONG
CQOF8009002	CAMTEK LTD	9/30/2011 0 00	11/25/2013 0 00	1,271	746	525	-	-	525 LONG
CQOF8009002	CAMTEK LTD	10/4/2011 0 00	11/25/2013 0 00	1,709	982	727	-	-	727 LONG
CQOF8009002	CAMTEK LTD	11/29/2011 0 00	11/25/2013 0 00	255	144	111	-	-	111 LONG
CQOF8009002	CAMTEK LTD	11/26/2011 0 00	11/25/2013 0 00	224	126	97	-	-	97 LONG
CQOF8009002	CAMTEK LTD	11/25/2011 0 00	11/25/2013 0 00	1,937	1,093	844	-	-	844 LONG
CQOF8009002	CAMTEK LTD	11/30/2011 0 00	11/25/2013 0 00	765	432	333	-	-	333 LONG
CQOF8009002	CAMTEK LTD	11/23/2011 0 00	11/25/2013 0 00	246	139	107	-	-	107 LONG
CQOF8009002	CAMTEK LTD	11/22/2011 0 00	11/25/2013 0 00	246	139	107	-	-	107 LONG
CQOF8009002	CHARTER FINANCIAL CORP	9/25/2013 0 00	11/26/2013 0 00	3,185	3,322	(137)	-	-	(137) SHORT
CQOF8009002	DIAMOND FOODS INC	10/3/2013 0 00	11/26/2013 0 00	3,124	2,644	480	-	-	480 SHORT
CQOF8009002	DIAMOND FOODS INC	10/2/2013 0 00	11/26/2013 0 00	640	550	89	-	-	89 SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	4/2/2013 0 00	11/26/2013 0 00	345	325	20	-	-	20 SHORT
CQOF8009002	HANDY & HARMAN LTD	4/14/2011 0 00	11/26/2013 0 00	6,996	3,146	3,849	-	-	3,849 LONG
CQOF8009002	HANDY & HARMAN LTD	4/14/2011 0 00	11/26/2013 0 00	989	440	549	-	-	549 LONG
CQOF8009002	ROCKY BRANDS INC	3/18/2011 0 00	11/26/2013 0 00	974	818	156	-	-	156 LONG
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P/	11/12/2012 0 00	11/26/2013 0 00	7,892	5,452	2,440	-	-	2,440 LONG
CQOF8009002	STATE BANK FINANCIAL CORP	8/23/2012 0 00	11/26/2013 0 00	1,087	1,023	64	-	-	64 LONG
CQOF8009002	STATE BANK FINANCIAL CORP	8/2/2013 0 00	11/26/2013 0 00	5,402	5,072	330	-	-	330 SHORT
CQOF8009002	UNIVEST CORP PA	3/7/2011 0 00	11/26/2013 0 00	5,620	5,067	553	-	-	553 LONG
CQOF8009002	CHARTER FINANCIAL CORP	9/25/2013 0 00	11/27/2013 0 00	1,264	1,320	(56)	-	-	(56) SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	4/2/2013 0 00	11/27/2013 0 00	277	260	17	-	-	17 SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	3/28/2013 0 00	11/27/2013 0 00	5,634	5,230	404	-	-	404 SHORT
CQOF8009002	HESKA CORPORATION	11/29/2011 0 00	11/27/2013 0 00	5,091	4,339	752	-	-	752 LONG
CQOF8009002	HESKA CORPORATION	2/27/2013 0 00	11/27/2013 0 00	724	739	(15)	-	-	(15) SHORT
CQOF8009002	HORACE MANN EDUCATORS CORP N	5/6/2009 0 00	11/27/2013 0 00	2,342	696	1,647	-	-	1,647 LONG
CQOF8009002	MERIDIAN INTERSTATE BANCORP IN	7/30/2013 0 00	11/27/2013 0 00	1,928	1,766	161	-	-	161 SHORT
CQOF8009002	ROCKY BRANDS INC	3/18/2011 0 00	11/27/2013 0 00	235	198	37	-	-	37 LONG
CQOF8009002	SHILOH INDS INC	2/2/2012 0 00	11/27/2013 0 00	5,765	2,322	3,442	-	-	3,442 LONG
CQOF8009002	SHILOH INDS INC	3/16/2011 0 00	11/27/2013 0 00	2,591	1,195	1,395	-	-	1,395 LONG
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P/	11/12/2012 0 00	11/27/2013 0 00	14,501	9,842	4,659	-	-	4,659 LONG
CQOF8009002	STATE BANK FINANCIAL CORP	8/2/2013 0 00	11/27/2013 0 00	837	778	59	-	-	59 SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	7/30/2013 0 00	11/27/2013 0 00	6,293	5,792	502	-	-	502 SHORT
CQOF8009002	UNIVEST CORP PA	3/7/2011 0 00	11/27/2013 0 00	5,847	5,160	687	-	-	687 LONG
CQOF8009002	CHARTER FINANCIAL CORP	9/25/2013 0 00	11/29/2013 0 00	1,525	1,599	(74)	-	-	(74) SHORT
CQOF8009002	CHARTER FINANCIAL CORP	10/25/2013 0 00	11/29/2013 0 00	43	44	(1)	-	-	(1) SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8009002	HESKA CORPORATION	11/29/2011 0 00	11/29/2013 0 00	2,411	2,081	329	-	329	LONG	
CQOF8009002	MERIDIAN INTERSTATE BANCORP INC	7/30/2013 0 00	11/29/2013 0 00	2,053	1,890	164	-	164	SHORT	
CQOF8009002	ROCKY BRANDS INC	3/18/2011 0 00	11/29/2013 0 00	684	581	103	-	103	LONG	
CQOF8009002	CHARTER FINANCIAL CORP	10/22/2013 0 00	12/2/2013 0 00	320	326	(7)	-	(7)	SHORT	
CQOF8009002	CHARTER FINANCIAL CORP	10/24/2013 0 00	12/2/2013 0 00	373	381	(8)	-	(8)	SHORT	
CQOF8009002	CHARTER FINANCIAL CORP	4/9/2013 0 00	12/2/2013 0 00	267	257	9	-	9	SHORT	
CQOF8009002	CHARTER FINANCIAL CORP	10/25/2013 0 00	12/2/2013 0 00	2,537	2,616	(79)	-	(79)	SHORT	
CQOF8009002	HORACE MANN EDUCATORS CORP N	5/6/2009 0 00	12/2/2013 0 00	6,200	1,849	4,350	-	4,350	LONG	
CQOF8009002	MERIDIAN INTERSTATE BANCORP INC	7/30/2013 0 00	12/2/2013 0 00	817	760	57	-	57	SHORT	
CQOF8009002	MERIDIAN INTERSTATE BANCORP INC	5/16/2013 0 00	12/2/2013 0 00	508	415	93	-	93	SHORT	
CQOF8009002	CALAMP CORP	9/28/2011 0 00	12/3/2013 0 00	12,012	1,330	10,682	-	10,682	LONG	
CQOF8009002	LANNETT INC	10/9/2013 0 00	12/3/2013 0 00	4,484	2,360	2,125	-	2,125	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/4/2013 0 00	771	745	26	-	26	SHORT	
CQOF8009002	LANNETT INC	8/26/2013 0 00	12/4/2013 0 00	290	122	168	-	168	SHORT	
CQOF8009002	LANNETT INC	10/9/2013 0 00	12/4/2013 0 00	2,452	1,299	1,152	-	1,152	SHORT	
CQOF8009002	LANNETT INC	8/23/2013 0 00	12/4/2013 0 00	1,258	524	734	-	734	SHORT	
CQOF8009002	RIGEL PHARMACEUTICALS INC	9/24/2013 0 00	12/4/2013 0 00	2,255	3,044	(789)	-	(789)	SHORT	
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0 00	12/4/2013 0 00	309	466	(157)	-	(157)	SHORT	
CQOF8009002	ACETO CORP	9/27/2012 0 00	12/5/2013 0 00	6,025	2,566	3,458	-	3,458	LONG	
CQOF8009002	ACETO CORP	9/7/2012 0 00	12/5/2013 0 00	1,639	668	971	-	971	LONG	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/5/2013 0 00	30	30	1	-	1	SHORT	
CQOF8009002	ACETO CORP	9/7/2012 0 00	12/6/2013 0 00	8,231	3,358	4,873	-	4,873	LONG	
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/18/2013 0 00	12/6/2013 0 00	5,320	2,876	2,444	-	2,444	SHORT	
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/17/2013 0 00	12/6/2013 0 00	5,123	2,792	2,331	-	2,331	SHORT	
CQOF8009002	CALLIDUS SOFTWARE INC	8/2/2013 0 00	12/6/2013 0 00	7,889	4,744	3,145	-	3,145	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/6/2013 0 00	187	181	6	-	6	SHORT	
CQOF8009002	MUSCLE PHARM CORP	9/12/2013 0 00	12/6/2013 0 00	383	474	(91)	-	(91)	SHORT	
CQOF8009002	MUSCLE PHARM CORP	9/13/2013 0 00	12/6/2013 0 00	391	483	(92)	-	(92)	SHORT	
CQOF8009002	REPLIGEN CORP	11/18/2013 0 00	12/6/2013 0 00	4,912	5,020	(108)	-	(108)	SHORT	
CQOF8009002	REPLIGEN CORP	11/22/2013 0 00	12/6/2013 0 00	1,983	2,116	(134)	-	(134)	SHORT	
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0 00	12/6/2013 0 00	96	181	(86)	-	(86)	SHORT	
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/18/2013 0 00	12/9/2013 0 00	11,124	6,018	5,106	-	5,106	SHORT	
CQOF8009002	CALLIDUS SOFTWARE INC	11/6/2012 0 00	12/9/2013 0 00	2,260	815	1,445	-	1,445	LONG	
CQOF8009002	CALLIDUS SOFTWARE INC	8/2/2013 0 00	12/9/2013 0 00	1,526	929	598	-	598	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/9/2013 0 00	509	495	14	-	14	SHORT	
CQOF8009002	MUSCLE PHARM CORP	9/13/2013 0 00	12/9/2013 0 00	101	128	(27)	-	(27)	SHORT	
CQOF8009002	REPLIGEN CORP	11/18/2013 0 00	12/9/2013 0 00	1,102	1,176	(74)	-	(74)	SHORT	
CQOF8009002	REPLIGEN CORP	11/7/2013 0 00	12/9/2013 0 00	3,809	3,520	289	-	289	SHORT	
CQOF8009002	REPLIGEN CORP	10/3/2013 0 00	12/9/2013 0 00	4,960	4,478	482	-	482	SHORT	
CQOF8009002	REPLIGEN CORP	10/22/2013 0 00	12/9/2013 0 00	4,005	3,370	634	-	634	SHORT	
CQOF8009002	ALON USA ENERGY INC	8/23/2013 0 00	12/10/2013 0 00	7,133	6,301	832	-	832	SHORT	
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/18/2013 0 00	12/10/2013 0 00	3,975	2,144	1,831	-	1,831	SHORT	
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/11/2013 0 00	12/10/2013 0 00	3,999	2,124	1,875	-	1,875	SHORT	
CQOF8009002	BRIDGELINE DIGITAL INC	8/15/2013 0 00	12/10/2013 0 00	260	266	(6)	-	(6)	SHORT	
CQOF8009002	BRIDGELINE DIGITAL INC	6/28/2013 0 00	12/10/2013 0 00	305	326	(20)	-	(20)	SHORT	
CQOF8009002	BRIDGELINE DIGITAL INC	6/26/2013 0 00	12/10/2013 0 00	112	118	(7)	-	(7)	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/10/2013 0 00	116	112	3	-	3	SHORT	
CQOF8009002	MUSCLE PHARM CORP	9/13/2013 0 00	12/10/2013 0 00	1,397	1,705	(308)	-	(308)	SHORT	
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0 00	12/10/2013 0 00	191	414	(224)	-	(224)	SHORT	
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/11/2013 0 00	12/11/2013 0 00	6,353	3,383	2,970	-	2,970	SHORT	
CQOF8009002	CAMBREX CORP	9/20/2013 0 00	12/11/2013 0 00	7,587	5,579	2,008	-	2,008	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/11/2013 0 00	139	135	4	-	4	SHORT	
CQOF8009002	LANNETT INC	8/21/2013 0 00	12/11/2013 0 00	29	13	16	-	16	SHORT	
CQOF8009002	LANNETT INC	8/23/2013 0 00	12/11/2013 0 00	118	54	64	-	64	SHORT	
CQOF8009002	LANNETT INC	8/20/2013 0 00	12/11/2013 0 00	5,567	2,512	3,056	-	3,056	SHORT	
CQOF8009002	MUSCLE PHARM CORP	9/13/2013 0 00	12/11/2013 0 00	1,173	1,468	(296)	-	(296)	SHORT	
CQOF8009002	LANNETT INC	8/21/2013 0 00	12/12/2013 0 00	3,300	1,568	1,732	-	1,732	SHORT	
CQOF8009002	LANNETT INC	8/15/2013 0 00	12/12/2013 0 00	5,061	2,360	2,701	-	2,701	SHORT	
CQOF8009002	LANNETT INC	8/15/2013 0 00	12/12/2013 0 00	2,547	1,174	1,373	-	1,373	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/13/2013 0 00	124	124	1	-	1	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/16/2013 0 00	207	207	0	-	0	SHORT	
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0 00	12/16/2013 0 00	166	429	(263)	-	(263)	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/27/2013 0 00	12/17/2013 0 00	489	484	4	-	4	SHORT	
CQOF8009002	DOVER DOWNS GAMING & ENTMT I	9/24/2013 0 00	12/17/2013 0 00	346	343	3	-	3	SHORT	
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P	11/12/2012 0 00	12/17/2013 0 00	13,007	8,756	4,251	-	4,251	LONG	
CQOF8009002	SYNALLOY CORP	7/22/2013 0 00	12/17/2013 0 00	4,060	4,192	(132)	-	(132)	SHORT	
CQOF8009002	SYNALLOY CORP	3/1/2011 0 00	12/17/2013 0 00	11,816	11,224	593	-	593	LONG	
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0 00	12/17/2013 0 00	155	414	(259)	-	(259)	SHORT	
CQOF8009002	ATRICURE INC	11/22/2013 0 00	12/18/2013 0 00	555	510	45	-	45	SHORT	
CQOF8009002	ATRICURE INC	10/30/2013 0 00	12/18/2013 0 00	1,828	1,527	301	-	301	SHORT	
CQOF8009002	CLIFTON SVGS BANCORP INC	11/27/2012 0 00	12/18/2013 0 00	199	167	32	-	32	LONG	
CQOF8009002	CLIFTON SVGS BANCORP INC	10/3/2012 0 00	12/18/2013 0 00	2,246	2,013	233	-	233	LONG	
CQOF8009002	CLIFTON SVGS BANCORP INC	9/28/2012 0 00	12/18/2013 0 00	8,538	7,595	943	-	943	LONG	
CQOF8009002	CLIFTON SVGS BANCORP INC	11/28/2012 0 00	12/18/2013 0 00	583	491	93	-	93	LONG	

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH		NET GAIN/LOSS WITH LOSS	
				PROCEEDS	COST BASIS		SALE	DISALLOWED	DISALLOWED	LONG/SHORT
CQOF8009002	DEPOMED INC	10/3/2013 0 00	12/18/2013 0 00	4,021	3,254	767	-	-	767	SHORT
CQOF8009002	INTERNAP NETWORK SVCS CORP	7/30/2013 0 00	12/18/2013 0 00	782	925	(144)	-	-	(144)	SHORT
CQOF8009002	INTERNAP NETWORK SVCS CORP	7/23/2013 0 00	12/18/2013 0 00	846	1,038	(192)	-	-	(192)	SHORT
CQOF8009002	INTERNAP NETWORK SVCS CORP	7/24/2013 0 00	12/18/2013 0 00	2,744	3,360	(617)	-	-	(617)	SHORT
CQOF8009002	POZEN INC	9/24/2013 0 00	12/18/2013 0 00	4,197	2,631	1,566	-	-	1,566	SHORT
CQOF8009002	SIMMONS 1ST NATL CORP CL A \$5 P	11/12/2012 0 00	12/18/2013 0 00	3,946	2,643	1,303	-	-	1,303	LONG
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0 00	12/18/2013 0 00	79	171	(92)	-	-	(92)	SHORT
CQOF8009002	ALICO INC	12/2/2013 0 00	12/19/2013 0 00	535	545	(10)	-	-	(10)	SHORT
CQOF8009002	ALICO INC	12/3/2013 0 00	12/19/2013 0 00	955	974	(18)	-	-	(18)	SHORT
CQOF8009002	CARBONITE INC	11/15/2013 0 00	12/19/2013 0 00	1,352	1,450	(98)	-	-	(98)	SHORT
CQOF8009002	CARBONITE INC	11/14/2013 0 00	12/19/2013 0 00	1,732	1,762	(30)	-	-	(30)	SHORT
CQOF8009002	POZEN INC	9/24/2013 0 00	12/19/2013 0 00	567	361	206	-	-	206	SHORT
CQOF8009002	POZEN INC	9/27/2013 0 00	12/19/2013 0 00	4,718	2,976	1,742	-	-	1,742	SHORT
CQOF8009002	TANDY BRANDS ACCESSORIES INC	7/9/2013 0 00	12/19/2013 0 00	249	616	(367)	-	-	(367)	SHORT
CQOF8009002	EXCEL TRUST INC	6/14/2013 0 00	12/20/2013 0 00	8,732	10,208	(1,476)	-	-	(1,476)	SHORT
CQOF8009002	HEALTH INSURANCE INNOVATIO-A	11/13/2013 0 00	12/20/2013 0 00	370	432	(63)	-	-	(63)	SHORT
CQOF8009002	JAMBA INC	1/23/2013 0 00	12/20/2013 0 00	1,355	1,511	(155)	-	-	(155)	SHORT
CQOF8009002	JAMBA INC	1/25/2013 0 00	12/20/2013 0 00	3,837	4,227	(391)	-	-	(391)	SHORT
CQOF8009002	JAMBA INC	9/25/2012 0 00	12/20/2013 0 00	3,611	3,591	19	-	-	19	LONG
CQOF8009002	JAMBA INC	8/21/2012 0 00	12/20/2013 0 00	3,023	3,084	(61)	-	-	(61)	LONG
CQOF8009002	LANNETT INC	8/15/2013 0 00	12/20/2013 0 00	7,566	3,038	4,527	-	-	4,527	SHORT
CQOF8009002	LEAPFROG ENTERPRISES INC	12/26/2012 0 00	12/20/2013 0 00	1,642	1,654	(12)	-	-	(12)	SHORT
CQOF8009002	LEAPFROG ENTERPRISES INC	12/13/2012 0 00	12/20/2013 0 00	5,730	5,540	190	-	-	190	LONG
CQOF8009002	LEAPFROG ENTERPRISES INC	11/8/2012 0 00	12/20/2013 0 00	5,539	5,751	(212)	-	-	(212)	LONG
CQOF8009002	QUANTUM CORP DSSG COM	10/21/2013 0 00	12/20/2013 0 00	998	1,150	(152)	-	-	(152)	SHORT
CQOF8009002	QUANTUM CORP DSSG COM	10/8/2013 0 00	12/20/2013 0 00	1,924	2,515	(592)	-	-	(592)	SHORT
CQOF8009002	QUANTUM CORP DSSG COM	10/14/2013 0 00	12/20/2013 0 00	963	1,218	(255)	-	-	(255)	SHORT
CQOF8009002	QUANTUM CORP DSSG COM	10/17/2013 0 00	12/20/2013 0 00	963	1,124	(161)	-	-	(161)	SHORT
CQOF8009002	SUPERIOR SURGICAL MFG CO INC	10/7/2013 0 00	12/20/2013 0 00	944	790	155	-	-	155	SHORT
CQOF8009002	SUPERIOR SURGICAL MFG CO INC	8/15/2013 0 00	12/20/2013 0 00	252	199	53	-	-	53	SHORT
CQOF8009002	SUPERIOR SURGICAL MFG CO INC	8/8/2013 0 00	12/20/2013 0 00	126	99	27	-	-	27	SHORT
CQOF8009002	ELLIE MAE INC	8/12/2013 0 00	12/23/2013 0 00	7,083	7,715	(632)	-	-	(632)	SHORT
CQOF8009002	FORRESTER RESH INC	9/17/2013 0 00	12/23/2013 0 00	3,569	3,012	557	-	-	557	SHORT
CQOF8009002	FORRESTER RESH INC	9/20/2013 0 00	12/23/2013 0 00	634	549	86	-	-	86	SHORT
CQOF8009002	HEALTH INSURANCE INNOVATIO-A	11/13/2013 0 00	12/23/2013 0 00	3,133	3,669	(536)	-	-	(536)	SHORT
CQOF8009002	SUPERIOR SURGICAL MFG CO INC	9/3/2013 0 00	12/23/2013 0 00	457	361	95	-	-	95	SHORT
CQOF8009002	SUPERIOR SURGICAL MFG CO INC	8/8/2013 0 00	12/23/2013 0 00	913	745	168	-	-	168	SHORT
CQOF8009002	CALAMP CORP	9/28/2011 0 00	12/24/2013 0 00	7,699	827	6,871	-	-	6,871	LONG
CQOF8009002	FORRESTER RESH INC	9/17/2013 0 00	12/24/2013 0 00	2,015	1,707	308	-	-	308	SHORT
CQOF8009002	ASHFORD HOSPITALITY PRIME IN	11/20/2013 0 00	12/26/2013 0 00	5,293	6,405	(1,112)	-	-	(1,112)	SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	10/30/2013 0 00	12/26/2013 0 00	4,345	4,994	(649)	-	-	(649)	SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	7/23/2013 0 00	12/26/2013 0 00	2,743	2,760	(17)	-	-	(17)	SHORT
CQOF8009002	KADANT INC	10/8/2013 0 00	12/26/2013 0 00	1,742	1,327	415	-	-	415	SHORT
CQOF8009002	KITE RLTY GROUP TR	9/29/2011 0 00	12/26/2013 0 00	6,545	3,484	3,062	-	-	3,062	LONG
CQOF8009002	KITE RLTY GROUP TR	8/11/2011 0 00	12/26/2013 0 00	183	98	85	-	-	85	LONG
CQOF8009002	OMNIVISION TECHNOLOGIES INC	12/4/2013 0 00	12/26/2013 0 00	8,672	7,566	1,106	-	-	1,106	SHORT
CQOF8009002	SHORETEL INC	9/26/2013 0 00	12/26/2013 0 00	6,951	4,698	2,253	-	-	2,253	SHORT
CQOF8009002	TITAN MACHINERY INC	12/6/2013 0 00	12/26/2013 0 00	5,497	4,806	691	-	-	691	SHORT
CQOF8009002	URSTADT BIDDLE PTYS INC CL A	9/14/2012 0 00	12/26/2013 0 00	4,282	4,514	(232)	-	-	(232)	LONG
CQOF8009002	AMREIT INC-CLASS B	5/2/2013 0 00	12/30/2013 0 00	2,775	3,026	(251)	-	-	(251)	SHORT
CQOF8009002	AMREIT INC-CLASS B	5/7/2013 0 00	12/30/2013 0 00	4,137	4,511	(374)	-	-	(374)	SHORT
CQOF8009002	AMREIT INC-CLASS B	4/22/2013 0 00	12/30/2013 0 00	6,272	6,840	(567)	-	-	(567)	SHORT
CQOF8009002	ASSOCIATED ESTATES RLTY CORP	9/14/2012 0 00	12/30/2013 0 00	29,963	28,495	1,468	-	-	1,468	LONG
CQOF8009002	FORRESTER RESH INC	9/17/2013 0 00	12/30/2013 0 00	6,512	5,656	856	-	-	856	SHORT
CQOF8009002	KADANT INC	10/8/2013 0 00	12/30/2013 0 00	3,458	2,685	773	-	-	773	SHORT
CQOF8009002	SILICON GRAPHICS INTL CORP COM	11/12/2013 0 00	12/30/2013 0 00	5,257	4,759	498	-	-	498	SHORT
CQOF8009002	TCP CAPITAL CORP	12/11/2013 0 00	12/30/2013 0 00	3,137	3,095	41	-	-	41	SHORT
CQOF8009002	TCP CAPITAL CORP	12/12/2013 0 00	12/30/2013 0 00	2,818	2,773	45	-	-	45	SHORT
CQOF8009002	TCP CAPITAL CORP	11/25/2013 0 00	12/30/2013 0 00	5,921	6,111	(189)	-	-	(189)	SHORT
CQOF8009002	PENNANTPARK INVT CORP	9/25/2012 0 00	1/2/2014 0 00	4,294	3,985	309	-	-	309	LONG
CQOF8009002	PENNANTPARK INVT CORP	5/16/2013 0 00	1/2/2014 0 00	1,183	1,163	20	-	-	20	SHORT
CQOF8009002	PENNANTPARK INVT CORP	9/26/2012 0 00	1/2/2014 0 00	3,582	3,351	231	-	-	231	LONG
CQOF8009002	PENNANTPARK INVT CORP	10/28/2013 0 00	1/2/2014 0 00	6,349	6,331	18	-	-	18	SHORT
CQOF8009002	THL CREDIT INC	6/19/2013 0 00	1/2/2014 0 00	11,424	10,049	1,376	-	-	1,376	SHORT
CQOF8009002	THL CREDIT INC	9/28/2012 0 00	1/2/2014 0 00	2,799	2,389	410	-	-	410	LONG
CQOF8009002	THL CREDIT INC	5/16/2013 0 00	1/2/2014 0 00	229	218	11	-	-	11	SHORT
CQOF8009002	PENNANTPARK INVT CORP	9/25/2012 0 00	1/3/2014 0 00	7,815	7,213	602	-	-	602	LONG
CQOF8009002	THL CREDIT INC	9/28/2012 0 00	1/3/2014 0 00	8,828	7,474	1,354	-	-	1,354	LONG
CQOF8009002	ASTRONICS CORP CL B	3/1/2011 0 00	1/6/2014 0 00	41	12	29	-	-	29	LONG
CQOF8009002	ASTRONICS CORP CL B	12/10/2012 0 00	1/6/2014 0 00	871	289	581	-	-	581	LONG
CQOF8009002	ASTRONICS CORP CL B	12/31/2012 0 00	1/6/2014 0 00	1,188	427	761	-	-	761	LONG
CQOF8009002	CALLIDUS SOFTWARE INC	11/6/2012 0 00	1/6/2014 0 00	3,376	1,093	2,283	-	-	2,283	LONG
CQOF8009002	S J W CORP	4/22/2013 0 00	1/6/2014 0 00	7,248	6,483	766	-	-	766	SHORT
CQOF8009002	S J W CORP	4/4/2013 0 00	1/6/2014 0 00	3,279	2,969	310	-	-	310	SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON								FED WASH		NET
ACCOUNT				SALES		GAIN/LOSS		SALE	GAIN/LOSS	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT		DISALLOWED	DISALLOWED	LONG/SHORT
CQOF8009002	S J W CORP	4/17/2013 0 00	1/6/2014 0 00	2,215	1,984	231				SHORT
CQOF8009002	UNITIL CORP	6/14/2013 0 00	1/6/2014 0 00	1,144	1,098	46				SHORT
CQOF8009002	NOVA MEASURING INSTMTNT COM	6/21/2013 0 00	1/6/2014 0 00	1,070	990	80				SHORT
CQOF8009002	NOVA MEASURING INSTMTNT COM	3/1/2011 0 00	1/6/2014 0 00	3,408	3,072	336				LONG
CQOF8009002	ASTRONICS CORP CL B	3/17/2011 0 00	1/7/2014 0 00	1,527	401	1,127				LONG
CQOF8009002	ASTRONICS CORP CL B	3/1/2011 0 00	1/7/2014 0 00	7,347	2,062	5,285				LONG
CQOF8009002	BUS RESTAURANTS INC	10/25/2013 0 00	1/7/2014 0 00	7,776	6,488	1,288				SHORT
CQOF8009002	CALLIDUS SOFTWARE INC	11/6/2012 0 00	1/7/2014 0 00	2,133	692	1,441				LONG
CQOF8009002	CALLIDUS SOFTWARE INC	11/7/2012 0 00	1/7/2014 0 00	3,199	1,034	2,165				LONG
CQOF8009002	PENNANTPARK INVT CORP	9/25/2012 0 00	1/7/2014 0 00	963	895	68				LONG
CQOF8009002	THL CREDIT INC	11/14/2012 0 00	1/7/2014 0 00	5,860	4,712	1,148				LONG
CQOF8009002	THL CREDIT INC	9/28/2012 0 00	1/7/2014 0 00	4,395	3,730	665				LONG
CQOF8009002	UNITIL CORP	6/14/2013 0 00	1/7/2014 0 00	6,306	6,068	238				SHORT
CQOF8009002	BIGLARI HOLDINGS INC	12/16/2013 0 00	1/8/2014 0 00	6,244	6,235	9				SHORT
CQOF8009002	EMCORE CORP	5/28/2013 0 00	1/8/2014 0 00	1,673	1,161	513				SHORT
CQOF8009002	FLOTEK INDS INC DEL	7/3/2012 0 00	1/8/2014 0 00	10,186	5,087	5,099				LONG
CQOF8009002	PENNANTPARK INVT CORP	9/25/2012 0 00	1/8/2014 0 00	8,854	8,449	405				LONG
CQOF8009002	ULTRA CLEAN HLDGS INC	7/15/2013 0 00	1/8/2014 0 00	6,957	4,085	2,873				SHORT
CQOF8009002	SOLTA MEDICAL INC	9/27/2013 0 00	1/9/2014 0 00	2,443	1,813	630				SHORT
CQOF8009002	SOLTA MEDICAL INC	10/3/2013 0 00	1/9/2014 0 00	1,163	858	305				SHORT
CQOF8009002	SOLTA MEDICAL INC	10/8/2013 0 00	1/9/2014 0 00	1,414	1,039	374				SHORT
CQOF8009002	SOLTA MEDICAL INC	9/26/2013 0 00	1/9/2014 0 00	3,705	2,750	956				SHORT
CQOF8009002	SOLTA MEDICAL INC	10/21/2013 0 00	1/9/2014 0 00	1,530	1,082	448				SHORT
CQOF8009002	THL CREDIT INC	11/14/2012 0 00	1/9/2014 0 00	1,552	1,244	308				LONG
CQOF8009002	ULTRA CLEAN HLDGS INC	6/27/2013 0 00	1/9/2014 0 00	1,241	714	528				SHORT
CQOF8009002	ULTRA CLEAN HLDGS INC	7/15/2013 0 00	1/9/2014 0 00	2,375	1,391	985				SHORT
CQOF8009002	ASHFORD HOSPITALITY PRIME IN	11/20/2013 0 00	1/10/2014 0 00	5,490	6,533	(1,044)				SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	7/23/2013 0 00	1/10/2014 0 00	3,454	3,443	11				SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	7/31/2013 0 00	1/10/2014 0 00	2,021	1,991	29				SHORT
CQOF8009002	ATRICURE INC	10/30/2013 0 00	1/10/2014 0 00	8,506	5,318	3,188				SHORT
CQOF8009002	DEPOMED INC	10/3/2013 0 00	1/10/2014 0 00	2,471	1,677	794				SHORT
CQOF8009002	DEPOMED INC	11/6/2013 0 00	1/10/2014 0 00	8,827	5,915	2,912				SHORT
CQOF8009002	JAMMIN JAVA CORP	11/7/2013 0 00	1/10/2014 0 00	127	207	(80)				SHORT
CQOF8009002	JAMMIN JAVA CORP	10/17/2013 0 00	1/10/2014 0 00	908	1,479	(571)				SHORT
CQOF8009002	RESOLUTE ENERGY CORP	5/14/2013 0 00	1/10/2014 0 00	3,741	3,774	(34)				SHORT
CQOF8009002	RESOLUTE ENERGY CORP	6/25/2013 0 00	1/10/2014 0 00	3,613	3,278	335				SHORT
CQOF8009002	RESOLUTE ENERGY CORP	11/7/2013 0 00	1/10/2014 0 00	2,406	2,457	(51)				SHORT
CQOF8009002	THL CREDIT INC	11/14/2012 0 00	1/10/2014 0 00	4,342	3,507	835				LONG
CQOF8009002	ULTRA CLEAN HLDGS INC	6/27/2013 0 00	1/10/2014 0 00	3,426	1,981	1,445				SHORT
CQOF8009002	NOVA MEASURING INSTMTNT COM	2/3/2012 0 00	1/10/2014 0 00	2,477	2,123	354				LONG
CQOF8009002	NOVA MEASURING INSTMTNT COM	3/1/2011 0 00	1/10/2014 0 00	2,676	2,298	379				LONG
CQOF8009002	ATRICURE INC	9/18/2013 0 00	1/13/2014 0 00	506	252	254				SHORT
CQOF8009002	ATRICURE INC	10/30/2013 0 00	1/13/2014 0 00	1,738	1,077	661				SHORT
CQOF8009002	ATRICURE INC	9/18/2013 0 00	1/14/2014 0 00	2,928	1,460	1,468				SHORT
CQOF8009002	ATRICURE INC	9/18/2013 0 00	1/15/2014 0 00	6,360	3,282	3,078				SHORT
CQOF8009002	ATRICURE INC	9/16/2013 0 00	1/15/2014 0 00	2,978	1,440	1,538				SHORT
CQOF8009002	ATRICURE INC	9/26/2013 0 00	1/15/2014 0 00	4,169	2,115	2,055				SHORT
CQOF8009002	ATRICURE INC	9/13/2013 0 00	1/15/2014 0 00	3,425	1,614	1,811				SHORT
CQOF8009002	ATRICURE INC	9/27/2013 0 00	1/15/2014 0 00	957	486	471				SHORT
CQOF8009002	NOVA MEASURING INSTMTNT COM	2/3/2012 0 00	1/15/2014 0 00	1,557	1,287	271				LONG
CQOF8009002	NOVA MEASURING INSTMTNT COM	8/21/2012 0 00	1/15/2014 0 00	1,448	990	458				LONG
CQOF8009002	NOVA MEASURING INSTMTNT COM	1/31/2012 0 00	1/15/2014 0 00	2,178	1,756	422				LONG
CQOF8009002	CALAMP CORP	9/28/2011 0 00	1/16/2014 0 00	6,168	529	5,639				LONG
CQOF8009002	PREMIER EXHIBITIONS INC	10/16/2012 0 00	1/16/2014 0 00	2,400	7,066	(4,666)				LONG
CQOF8009002	ULTRA CLEAN HLDGS INC	4/22/2013 0 00	1/16/2014 0 00	463	221	242				SHORT
CQOF8009002	ULTRA CLEAN HLDGS INC	6/27/2013 0 00	1/16/2014 0 00	3,332	1,772	1,560				SHORT
CQOF8009002	NOVA MEASURING INSTMTNT COM	8/31/2011 0 00	1/16/2014 0 00	1,440	874	566				LONG
CQOF8009002	NOVA MEASURING INSTMTNT COM	8/21/2012 0 00	1/16/2014 0 00	3,303	2,203	1,100				LONG
CQOF8009002	CALAMP CORP	9/28/2011 0 00	1/17/2014 0 00	18,683	1,609	17,074				LONG
CQOF8009002	EMCORE CORP	5/28/2013 0 00	1/17/2014 0 00	3,918	2,860	1,058				SHORT
CQOF8009002	PREMIER EXHIBITIONS INC	10/16/2012 0 00	1/17/2014 0 00	147	422	(276)				LONG
CQOF8009002	PREMIER EXHIBITIONS INC	9/10/2013 0 00	1/17/2014 0 00	731	1,322	(591)				SHORT
CQOF8009002	PREMIER EXHIBITIONS INC	7/17/2013 0 00	1/17/2014 0 00	681	1,227	(546)				SHORT
CQOF8009002	ASTRONICS CORP	12/31/2012 0 00	1/21/2014 0 00	461	129	332				LONG
CQOF8009002	EMCORE CORP	5/28/2013 0 00	1/21/2014 0 00	2,492	1,850	642				SHORT
CQOF8009002	EMCORE CORP	5/30/2013 0 00	1/21/2014 0 00	3,952	2,900	1,052				SHORT
CQOF8009002	EVOLVING SYSTEMS INC	10/25/2013 0 00	1/21/2014 0 00	1,173	1,085	88				SHORT
CQOF8009002	OPLINK COMMUNICATIONS INC	2/6/2012 0 00	1/21/2014 0 00	1,888	1,865	23				LONG
CQOF8009002	OPLINK COMMUNICATIONS INC	5/11/2011 0 00	1/21/2014 0 00	7,627	7,537	90				LONG
CQOF8009002	PREMIER EXHIBITIONS INC	9/11/2013 0 00	1/21/2014 0 00	406	745	(339)				SHORT
CQOF8009002	PREMIER EXHIBITIONS INC	7/17/2013 0 00	1/21/2014 0 00	68	127	(58)				SHORT
CQOF8009002	PREMIER EXHIBITIONS INC	7/16/2013 0 00	1/21/2014 0 00	470	850	(380)				SHORT
CQOF8009002	PREMIER EXHIBITIONS INC	6/28/2013 0 00	1/21/2014 0 00	734	1,298	(563)				SHORT
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	11/6/2013 0 00	1/21/2014 0 00	5,227	4,507	720				SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	3/27/2013 0 00	1/21/2014 0 00	1,393	1,141	252			SHORT
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	4/18/2013 0 00	1/21/2014 0 00	2,202	1,657	546			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/2/2013 0 00	1/21/2014 0 00	4,321	3,756	565			SHORT
CQOF8009002	ZIXIT CORPORATION	2/23/2012 0 00	1/21/2014 0 00	111	71	40			LONG
CQOF8009002	ZIXIT CORPORATION	2/26/2012 0 00	1/21/2014 0 00	478	306	172			LONG
CQOF8009002	ZIXIT CORPORATION	2/14/2012 0 00	1/21/2014 0 00	2,247	1,439	808			LONG
CQOF8009002	NOVA MEASURING INSTMTNT COM	8/31/2011 0 00	1/21/2014 0 00	6,481	3,964	2,518			LONG
CQOF8009002	ALON USA ENERGY INC	8/23/2013 0 00	1/22/2014 0 00	352	287	65			SHORT
CQOF8009002	ALON USA ENERGY INC	9/4/2013 0 00	1/22/2014 0 00	3,406	2,708	699			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	7/23/2013 0 00	1/22/2014 0 00	6,084	5,218	867			SHORT
CQOF8009002	OPLINK COMMUNICATIONS INC	2/6/2012 0 00	1/22/2014 0 00	2,514	2,468	47			LONG
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	4/18/2013 0 00	1/22/2014 0 00	4,326	3,243	1,083			SHORT
CQOF8009002	INTERNAP NETWORK SVCS CORP	7/30/2013 0 00	1/23/2014 0 00	1,982	2,053	(71)			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	3/14/2013 0 00	1/23/2014 0 00	355	272	83			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	7/22/2013 0 00	1/23/2014 0 00	1,976	1,639	337			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	7/17/2013 0 00	1/23/2014 0 00	405	332	73			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	7/23/2013 0 00	1/23/2014 0 00	1,824	1,565	259			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	8/13/2013 0 00	1/23/2014 0 00	507	430	77			SHORT
CQOF8009002	SHORETEL INC	9/4/2013 0 00	1/23/2014 0 00	2,213	1,207	1,006			SHORT
CQOF8009002	SHORETEL INC	9/26/2013 0 00	1/23/2014 0 00	793	533	260			SHORT
CQOF8009002	BIODELIVERY SCIENCES INTL	9/4/2012 0 00	1/24/2014 0 00	3,830	2,065	1,765			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	8/27/2012 0 00	1/24/2014 0 00	3,612	1,941	1,671			LONG
CQOF8009002	GREENBRIER COS INC	1/11/2013 0 00	1/24/2014 0 00	76	36	40			LONG
CQOF8009002	INTERNAP NETWORK SVCS CORP	7/30/2013 0 00	1/24/2014 0 00	299	311	(13)			SHORT
CQOF8009002	LIGAND PHARMACEUTICALS CL B	1/7/2014 0 00	1/24/2014 0 00	801	694	107			SHORT
CQOF8009002	LIGAND PHARMACEUTICALS CL B	1/15/2014 0 00	1/24/2014 0 00	5,666	5,775	(108)			SHORT
CQOF8009002	LIGAND PHARMACEUTICALS CL B	1/9/2014 0 00	1/24/2014 0 00	5,666	5,286	381			SHORT
CQOF8009002	PENNANTPARK INVT CORP	9/25/2012 0 00	1/24/2014 0 00	2,125	2,014	111			LONG
CQOF8009002	PENNANTPARK INVT CORP	11/27/2012 0 00	1/24/2014 0 00	7,745	7,290	455			LONG
CQOF8009002	SANGAMO BIOSCIENCES INC	1/9/2014 0 00	1/24/2014 0 00	1,260	1,032	228			SHORT
CQOF8009002	SANGAMO BIOSCIENCES INC	1/9/2014 0 00	1/24/2014 0 00	8,705	7,177	1,528			SHORT
CQOF8009002	INTERNAP NETWORK SVCS CORP	8/2/2013 0 00	1/27/2014 0 00	494	497	(3)			SHORT
CQOF8009002	INTERNAP NETWORK SVCS CORP	7/30/2013 0 00	1/27/2014 0 00	2,917	3,079	(162)			SHORT
CQOF8009002	PENNANTPARK INVT CORP	11/27/2012 0 00	1/27/2014 0 00	2,386	2,254	132			LONG
CQOF8009002	ALON USA ENERGY INC	8/29/2013 0 00	1/28/2014 0 00	2,842	2,331	512			SHORT
CQOF8009002	ALON USA ENERGY INC	9/4/2013 0 00	1/28/2014 0 00	3,196	2,644	552			SHORT
CQOF8009002	ANGIODYNAMICS INC	9/13/2012 0 00	1/28/2014 0 00	2,982	2,137	845			LONG
CQOF8009002	ANGIODYNAMICS INC	9/29/2012 0 00	1/28/2014 0 00	2,282	1,774	508			LONG
CQOF8009002	CUI GLOBAL INC	12/13/2013 0 00	1/28/2014 0 00	1,495	1,085	410			SHORT
CQOF8009002	GLOBALSCAPE INC	9/20/2013 0 00	1/28/2014 0 00	161	75	85			SHORT
CQOF8009002	GLOBALSCAPE INC	9/13/2013 0 00	1/28/2014 0 00	407	190	218			SHORT
CQOF8009002	GLOBALSCAPE INC	12/27/2013 0 00	1/28/2014 0 00	55	37	18			SHORT
CQOF8009002	GLOBALSCAPE INC	12/30/2013 0 00	1/28/2014 0 00	3,098	2,063	1,035			SHORT
CQOF8009002	GLOBALSCAPE INC	11/13/2013 0 00	1/28/2014 0 00	1,927	1,132	795			SHORT
CQOF8009002	GREENBRIER COS INC	1/11/2013 0 00	1/28/2014 0 00	4,787	2,308	2,479			LONG
CQOF8009002	MEDLEY CAPITAL CORP	5/16/2013 0 00	1/28/2014 0 00	2,077	2,241	(163)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	6/3/2013 0 00	1/28/2014 0 00	7,015	7,189	(174)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	5/17/2013 0 00	1/28/2014 0 00	142	153	(11)			SHORT
CQOF8009002	OPLINK COMMUNICATIONS INC	5/20/2011 0 00	1/28/2014 0 00	799	798	1			LONG
CQOF8009002	OPLINK COMMUNICATIONS INC	2/6/2012 0 00	1/28/2014 0 00	2,615	2,712	(97)			LONG
CQOF8009002	OPLINK COMMUNICATIONS INC	9/26/2013 0 00	1/28/2014 0 00	2,615	2,712	(97)			SHORT
CQOF8009002	RUSH ENTERPRISES INC	8/14/2012 0 00	1/28/2014 0 00	4,000	2,414	1,586			LONG
CQOF8009002	RUSH ENTERPRISES INC	4/25/2013 0 00	1/28/2014 0 00	4,196	3,466	730			SHORT
CQOF8009002	SYKES ENTERPRISES INC	7/1/2013 0 00	1/28/2014 0 00	7,234	5,505	1,730			SHORT
CQOF8009002	SYKES ENTERPRISES INC	6/18/2013 0 00	1/28/2014 0 00	4,257	3,179	1,078			SHORT
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	4/18/2013 0 00	1/28/2014 0 00	148	110	38			SHORT
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	8/21/2012 0 00	1/28/2014 0 00	6,905	4,884	2,021			LONG
CQOF8009002	GLOBALSCAPE INC	9/13/2013 0 00	1/29/2014 0 00	474	229	245			SHORT
CQOF8009002	GLOBALSCAPE INC	9/19/2013 0 00	1/29/2014 0 00	306	148	158			SHORT
CQOF8009002	GLOBALSCAPE INC	9/18/2013 0 00	1/29/2014 0 00	619	297	322			SHORT
CQOF8009002	GLOBALSCAPE INC	9/12/2013 0 00	1/29/2014 0 00	194	94	100			SHORT
CQOF8009002	GLOBALSCAPE INC	9/17/2013 0 00	1/29/2014 0 00	270	130	140			SHORT
CQOF8009002	GREENBRIER COS INC	1/11/2013 0 00	1/29/2014 0 00	6,040	2,965	3,075			LONG
CQOF8009002	GREENBRIER COS INC	1/15/2013 0 00	1/29/2014 0 00	1,591	769	823			LONG
CQOF8009002	OMNICELL INC	2/1/2013 0 00	1/29/2014 0 00	7,749	5,213	2,535			SHORT
CQOF8009002	SILICON IMAGE INC	3/6/2012 0 00	1/29/2014 0 00	1,638	1,437	201			LONG
CQOF8009002	SILICON IMAGE INC	3/27/2013 0 00	1/29/2014 0 00	4,447	3,873	574			SHORT
CQOF8009002	ACCELRYX INC	10/21/2013 0 00	1/30/2014 0 00	4,300	3,364	936			SHORT
CQOF8009002	ACCELRYX INC	1/29/2013 0 00	1/30/2014 0 00	6,087	4,634	1,454			LONG
CQOF8009002	ACCELRYX INC	9/18/2013 0 00	1/30/2014 0 00	3,450	2,604	846			SHORT
CQOF8009002	CUI GLOBAL INC	12/13/2013 0 00	1/30/2014 0 00	2,469	1,821	648			SHORT
CQOF8009002	CUI GLOBAL INC	12/3/2013 0 00	1/30/2014 0 00	637	467	169			SHORT
CQOF8009002	JAMMIN JAVA CORP	11/6/2013 0 00	1/30/2014 0 00	125	194	(69)			SHORT
CQOF8009002	JAMMIN JAVA CORP	10/17/2013 0 00	1/30/2014 0 00	236	366	(130)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON									FED WASH		NET	
ACCOUNT				SALES		GAIN/LOSS	SALE	GAIN/LOSS				
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	DISALLOWED	WITH LOSS	DISALLOWED	LONG/SHORT		
CQOF8009002	NATUS MED INC DEL	8/29/2012 0-00	1/30/2014 0 00	5,779	2,626	3,153				LONG		
CQOF8009002	OMNICELL INC	12/18/2012 0-00	1/30/2014 0 00	3,511	2,153	1,358				LONG		
CQOF8009002	OMNICELL INC	2/1/2013 0-00	1/30/2014 0 00	3,098	2,044	1,054				SHORT		
CQOF8009002	SILICON IMAGE INC	3/27/2013 0 00	1/30/2014 0 00	141	123	19				SHORT		
CQOF8009002	SILICON IMAGE INC	3/21/2013 0-00	1/30/2014 0 00	2,844	2,460	384				SHORT		
CQOF8009002	WHERE FOOD COMES FROM INC	4/23/2013 0 00	1/30/2014 0 00	34	16	18				SHORT		
CQOF8009002	WHERE FOOD COMES FROM INC	4/25/2013 0 00	1/30/2014 0 00	659	306	353				SHORT		
CQOF8009002	ACCELRY INC	9/13/2013 0-00	1/31/2014 0 00	7,456	5,526	1,930				SHORT		
CQOF8009002	ACCELRY INC	5/31/2013 0-00	1/31/2014 0 00	3,478	2,355	1,123				SHORT		
CQOF8009002	ACCELRY INC	9/18/2013 0-00	1/31/2014 0-00	801	604	197				SHORT		
CQOF8009002	ACCELRY INC	9/16/2013 0 00	1/31/2014 0-00	4,216	3,163	1,053				SHORT		
CQOF8009002	ACCELRY INC	11/5/2013 0 00	1/31/2014 0 00	9,708	7,253	2,455				SHORT		
CQOF8009002	JAMMIN JAVA CORP	11/6/2013 0 00	1/31/2014 0 00	603	1,001	(397)				SHORT		
CQOF8009002	JAMMIN JAVA CORP	11/8/2013 0 00	1/31/2014 0 00	238	394	(157)				SHORT		
CQOF8009002	OMNICELL INC	12/18/2012 0 00	1/31/2014 0 00	5,068	3,135	1,934				LONG		
CQOF8009002	WHERE FOOD COMES FROM INC	4/25/2013 0 00	1/31/2014 0 00	254	114	139				SHORT		
CQOF8009002	WHERE FOOD COMES FROM INC	4/26/2013 0 00	1/31/2014 0 00	885	398	487				SHORT		
CQOF8009002	WHERE FOOD COMES FROM INC	4/30/2013 0 00	1/31/2014 0 00	955	430	525				SHORT		
CQOF8009002	WHERE FOOD COMES FROM INC	5/1/2013 0 00	1/31/2014 0 00	288	130	159				SHORT		
CQOF8009002	BJS RESTAURANTS INC	10/25/2013 0 00	2/3/2014 0 00	2,143	2,040	102				SHORT		
CQOF8009002	BJS RESTAURANTS INC	10/29/2013 0 00	2/3/2014 0 00	6,456	6,047	410				SHORT		
CQOF8009002	BRAVO BRIO RESTAURANT GROUP IN	12/22/2011 0 00	2/3/2014 0 00	159	173	(14)				LONG		
CQOF8009002	BRAVO BRIO RESTAURANT GROUP IN	12/29/2011 0 00	2/3/2014 0 00	505	563	(58)				LONG		
CQOF8009002	BRAVO BRIO RESTAURANT GROUP IN	12/28/2011 0 00	2/3/2014 0 00	3,821	4,184	(363)				LONG		
CQOF8009002	BRAVO BRIO RESTAURANT GROUP IN	6/15/2012 0 00	2/3/2014 0 00	1,182	1,436	(254)				LONG		
CQOF8009002	BRAVO BRIO RESTAURANT GROUP IN	6/18/2012 0 00	2/3/2014 0 00	1,831	2,223	(392)				LONG		
CQOF8009002	BRAVO BRIO RESTAURANT GROUP IN	1/10/2012 0 00	2/3/2014 0 00	1,182	1,396	(214)				LONG		
CQOF8009002	CUI GLOBAL INC	11/27/2013 0 00	2/3/2014 0-00	1,901	1,323	578				SHORT		
CQOF8009002	CUI GLOBAL INC	11/26/2013 0 00	2/3/2014 0 00	1,217	850	367				SHORT		
CQOF8009002	CUI GLOBAL INC	12/11/2013 0 00	2/3/2014 0 00	258	181	77				SHORT		
CQOF8009002	CUI GLOBAL INC	12/3/2013 0 00	2/3/2014 0 00	80	57	23				SHORT		
CQOF8009002	CUI GLOBAL INC	11/25/2013 0 00	2/3/2014 0 00	711	484	226				SHORT		
CQOF8009002	CATO CORP NEW CL A	9/6/2013 0 00	2/3/2014 0 00	1,445	1,375	70				SHORT		
CQOF8009002	CATO CORP NEW CL A	9/12/2013 0 00	2/3/2014 0 00	545	535	10				SHORT		
CQOF8009002	CATO CORP NEW CL A	12/16/2013 0 00	2/3/2014 0 00	4,552	5,193	(640)				SHORT		
CQOF8009002	WHERE FOOD COMES FROM INC	5/1/2013 0 00	2/3/2014 0 00	680	311	369				SHORT		
CQOF8009002	LIONBRIDGE TECHNOLOGIES INC	2/13/2013 0 00	2/4/2014 0 00	4,399	2,490	1,908				SHORT		
CQOF8009002	LIONBRIDGE TECHNOLOGIES INC	2/13/2013 0 00	2/4/2014 0 00	4,011	2,510	1,502				SHORT		
CQOF8009002	WHERE FOOD COMES FROM INC	5/1/2013 0 00	2/4/2014 0-00	82	38	45				SHORT		
CQOF8009002	LIONBRIDGE TECHNOLOGIES INC	2/13/2013 0 00	2/5/2014 0 00	915	547	367				SHORT		
CQOF8009002	LIONBRIDGE TECHNOLOGIES INC	11/7/2012 0 00	2/5/2014 0 00	4,253	2,233	2,020				LONG		
CQOF8009002	OMNICELL INC	1/22/2013 0 00	2/5/2014 0 00	1,242	708	534				LONG		
CQOF8009002	OMNICELL INC	12/18/2012 0 00	2/5/2014 0 00	745	427	317				LONG		
CQOF8009002	WHERE FOOD COMES FROM INC	5/1/2013 0 00	2/5/2014 0 00	414	188	226				SHORT		
CQOF8009002	BEBE STORES INC	11/12/2013 0 00	2/6/2014 0-00	3,445	4,286	(841)	712	(129)		SHORT		
CQOF8009002	BJS RESTAURANTS INC	10/29/2013 0 00	2/6/2014 0 00	2,897	2,676	221				SHORT		
CQOF8009002	BJS RESTAURANTS INC	10/28/2013 0 00	2/6/2014 0 00	6,825	6,294	531				SHORT		
CQOF8009002	BRAVO BRIO RESTAURANT GROUP IN	12/22/2011 0-00	2/6/2014 0 00	3,685	4,100	(414)				LONG		
CQOF8009002	CATO CORP NEW CL A	9/6/2013 0-00	2/6/2014 0 00	7,615	7,185	430				SHORT		
CQOF8009002	CITI TRENDS INC	11/26/2013 0 00	2/6/2014 0 00	6,629	7,021	(392)				SHORT		
CQOF8009002	CITI TRENDS INC	12/2/2013 0 00	2/6/2014 0 00	2,750	2,940	(189)				SHORT		
CQOF8009002	OMNICELL INC	1/22/2013 0 00	2/6/2014 0 00	1,349	771	578				LONG		
CQOF8009002	SHOE CARNIVAL INC	9/6/2013 0 00	2/6/2014 0 00	6,142	6,592	(450)				SHORT		
CQOF8009002	SHOE CARNIVAL INC	9/4/2013 0 00	2/6/2014 0 00	1,384	1,498	(114)				SHORT		
CQOF8009002	SHOE CARNIVAL INC	1/28/2013 0 00	2/6/2014 0 00	898	779	119				LONG		
CQOF8009002	THERMON GROUP HOLDINGS INC	9/25/2013 0 00	2/6/2014 0 00	5,809	5,027	782				SHORT		
CQOF8009002	THERMON GROUP HOLDINGS INC	9/24/2013 0 00	2/6/2014 0 00	5,384	4,677	708				SHORT		
CQOF8009002	THERMON GROUP HOLDINGS INC	10/30/2013 0 00	2/6/2014 0 00	8,806	7,956	850				SHORT		
CQOF8009002	THERMON GROUP HOLDINGS INC	9/27/2013 0 00	2/6/2014 0-00	7,692	6,637	1,055				SHORT		
CQOF8009002	AMERICAS CAR-MART INC	7/12/2013 0 00	2/10/2014 0-00	5,536	6,891	(1,355)				SHORT		
CQOF8009002	OLD LINE BANCSHARES INC	6/12/2013 0 00	2/10/2014 0-00	263	192	71				SHORT		
CQOF8009002	OLD LINE BANCSHARES INC	6/17/2013 0 00	2/10/2014 0 00	4,064	3,000	1,064				SHORT		
CQOF8009002	OLD LINE BANCSHARES INC	5/21/2013 0 00	2/10/2014 0 00	2,908	2,129	778				SHORT		
CQOF8009002	QUANEX BLDG PRODS CORP	10/30/2013 0 00	2/10/2014 0 00	7,227	6,725	503				SHORT		
CQOF8009002	QUANEX BLDG PRODS CORP	10/28/2013 0-00	2/10/2014 0 00	928	857	71				SHORT		
CQOF8009002	AMERICAS CAR-MART INC	7/13/2012 0 00	2/11/2014 0 00	824	918	(94)				LONG		
CQOF8009002	AMERICAS CAR-MART INC	7/12/2013 0 00	2/11/2014 0-00	899	1,103	(204)				SHORT		
CQOF8009002	AMERICAS CAR-MART INC	4/9/2013 0-00	2/11/2014 0-00	3,145	3,812	(666)				SHORT		
CQOF8009002	OLD LINE BANCSHARES INC	2/1/2013 0-00	2/11/2014 0-00	802	542	259				LONG		
CQOF8009002	OLD LINE BANCSHARES INC	4/23/2013 0-00	2/11/2014 0-00	610	429	181				SHORT		
CQOF8009002	OLD LINE BANCSHARES INC	4/22/2013 0-00	2/11/2014 0-00	837	587	250				SHORT		
CQOF8009002	OLD LINE BANCSHARES INC	6/12/2013 0-00	2/11/2014 0-00	959	704	254				SHORT		
CQOF8009002	OLD LINE BANCSHARES INC	5/20/2013 0-00	2/11/2014 0-00	3,189	2,341	848				SHORT		
CQOF8009002	SANGAMO BIOSCIENCES INC	1/9/2014 0-00	2/11/2014 0-00	5,112	4,051	1,061				SHORT		

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	AMERICAS CAR-MART INC	7/13/2012 0 00	2/12/2014 0 00	4,041	4,546	(505)			LONG
CQOF8009002	AMERICAS CAR-MART INC	7/12/2012 0 00	2/12/2014 0 00	1,038	1,126	(88)			LONG
CQOF8009002	BNC BANCORP	12/20/2013 0 00	2/12/2014 0 00	647	623	24			SHORT
CQOF8009002	BNC BANCORP	1/24/2013 0 00	2/12/2014 0 00	1,096	546	550			LONG
CQOF8009002	BNC BANCORP	1/28/2013 0 00	2/12/2014 0 00	1,560	774	787			LONG
CQOF8009002	BNC BANCORP	1/25/2013 0 00	2/12/2014 0 00	3,121	1,528	1,593			LONG
CQOF8009002	CYNOSURE INC	12/27/2013 0 00	2/12/2014 0 00	2,228	2,049	179			SHORT
CQOF8009002	CYNOSURE INC	1/16/2014 0 00	2/12/2014 0 00	5,599	5,343	255			SHORT
CQOF8009002	LIGAND PHARMACEUTICALS CL B	1/7/2014 0 00	2/12/2014 0 00	4,893	3,470	1,424			SHORT
CQOF8009002	MBT FINL CORP	11/27/2013 0 00	2/12/2014 0 00	746	597	149			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	2/5/2014 0 00	2/12/2014 0 00	3,950	3,416	534			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	2/4/2014 0 00	2/12/2014 0 00	1,945	1,586	359			SHORT
CQOF8009002	OLD LINE BANCSHARES INC	2/1/2013 0 00	2/12/2014 0 00	121	83	39			LONG
CQOF8009002	BNC BANCORP	11/30/2012 0 00	2/13/2014 0 00	2,053	963	1,090			LONG
CQOF8009002	BNC BANCORP	11/29/2012 0 00	2/13/2014 0 00	1,987	926	1,061			LONG
CQOF8009002	BNC BANCORP	9/21/2012 0 00	2/13/2014 0 00	580	278	302			LONG
CQOF8009002	BNC BANCORP	1/25/2013 0 00	2/13/2014 0 00	1,341	658	683			LONG
CQOF8009002	LAPORTE BANCORP INC	10/16/2013 0 00	2/13/2014 0 00	673	645	28			SHORT
CQOF8009002	LAPORTE BANCORP INC	9/25/2013 0 00	2/13/2014 0 00	4,105	3,910	195			SHORT
CQOF8009002	LAPORTE BANCORP INC	10/17/2013 0 00	2/13/2014 0 00	630	606	24			SHORT
CQOF8009002	LAPORTE BANCORP INC	10/15/2013 0 00	2/13/2014 0 00	543	524	19			SHORT
CQOF8009002	MBT FINL CORP	11/21/2013 0 00	2/13/2014 0 00	256	201	55			SHORT
CQOF8009002	MBT FINL CORP	11/25/2013 0 00	2/13/2014 0 00	1,120	883	237			SHORT
CQOF8009002	MBT FINL CORP	12/2/2013 0 00	2/13/2014 0 00	342	273	69			SHORT
CQOF8009002	MBT FINL CORP	11/27/2013 0 00	2/13/2014 0 00	370	297	74			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/2/2013 0 00	2/13/2014 0 00	944	679	265			SHORT
CQOF8009002	LAPORTE BANCORP INC	9/25/2013 0 00	2/18/2014 0 00	250	238	13			SHORT
CQOF8009002	LAPORTE BANCORP INC	10/10/2012 0 00	2/18/2014 0 00	4,770	3,846	924			LONG
CQOF8009002	1 800 FLOWERS COM INC	11/26/2013 0 00	2/18/2014 0 00	668	618	50			SHORT
CQOF8009002	1 800 FLOWERS COM INC	10/30/2013 0 00	2/18/2014 0 00	4,730	4,954	(223)			SHORT
CQOF8009002	1 800 FLOWERS COM INC	11/6/2013 0 00	2/18/2014 0 00	2,961	2,919	42			SHORT
CQOF8009002	AMREIT INC-CLASS B	3/22/2013 0 00	2/19/2014 0 00	958	988	(30)	30	0	SHORT
CQOF8009002	AMREIT INC-CLASS B	3/21/2013 0 00	2/19/2014 0 00	2,263	2,397	(135)	135	(0)	SHORT
CQOF8009002	AMREIT INC-CLASS B	3/15/2013 0 00	2/19/2014 0 00	17,622	18,353	(730)	730	(0)	SHORT
CQOF8009002	AMREIT INC-CLASS B	5/7/2013 0 00	2/19/2014 0 00	5,698	6,326	(628)	275	(353)	SHORT
CQOF8009002	AMREIT INC-CLASS B	5/8/2013 0 00	2/19/2014 0 00	248	272	(24)			SHORT
CQOF8009002	AMREIT INC-CLASS B	3/25/2013 0 00	2/19/2014 0 00	264	280	(16)	16	0	SHORT
CQOF8009002	CAMPUS CREST COMMUNITIES INC	9/25/2012 0 00	2/19/2014 0 00	28,784	34,074	(5,290)	5,290	0	LONG
CQOF8009002	CAMPUS CREST COMMUNITIES INC	7/31/2013 0 00	2/19/2014 0 00	7,259	9,206	(1,947)	1,947	(0)	SHORT
CQOF8009002	CAMPUS CREST COMMUNITIES INC	12/3/2013 0 00	2/19/2014 0 00	7,184	7,250	(66)	66	(0)	SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/17/2013 0 00	2/19/2014 0 00	7,955	7,542	413			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	6/14/2013 0 00	2/19/2014 0 00	2,105	1,992	113			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	6/19/2013 0 00	2/19/2014 0 00	4,475	4,235	240			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/9/2013 0 00	2/19/2014 0 00	22,935	22,299	636			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/10/2013 0 00	2/19/2014 0 00	328	317	11			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/12/2013 0 00	2/19/2014 0 00	370	358	12			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	6/17/2013 0 00	2/19/2014 0 00	3,396	3,223	173			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/22/2013 0 00	2/19/2014 0 00	7,141	6,777	364			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	6/12/2013 0 00	2/19/2014 0 00	3,629	3,449	180			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	5/14/2013 0 00	2/19/2014 0 00	190	182	8			SHORT
CQOF8009002	FLUSHING FINL CORP	3/27/2013 0 00	2/19/2014 0 00	198	168	30			SHORT
CQOF8009002	FLUSHING FINL CORP	4/3/2013 0 00	2/19/2014 0 00	7,830	6,414	1,416			SHORT
CQOF8009002	FLUSHING FINL CORP	4/9/2013 0 00	2/19/2014 0 00	4,896	3,962	934			SHORT
CQOF8009002	FLUSHING FINL CORP	3/12/2013 0 00	2/19/2014 0 00	3,469	2,804	665			SHORT
CQOF8009002	FLUSHING FINL CORP	4/8/2013 0 00	2/19/2014 0 00	4,896	3,942	955			SHORT
CQOF8009002	FLUSHING FINL CORP	6/17/2013 0 00	2/19/2014 0 00	6,740	5,420	1,320			SHORT
CQOF8009002	FLUSHING FINL CORP	4/25/2013 0 00	2/19/2014 0 00	5,194	4,053	1,141			SHORT
CQOF8009002	MANNING & NAPIER INC	7/29/2013 0 00	2/19/2014 0 00	2,810	3,364	(554)	554	(0)	SHORT
CQOF8009002	MANNING & NAPIER INC	7/24/2013 0 00	2/19/2014 0 00	6,326	7,608	(1,282)	1,282	0	SHORT
CQOF8009002	MANNING & NAPIER INC	7/31/2013 0 00	2/19/2014 0 00	5,304	6,343	(1,039)	1,039	0	SHORT
CQOF8009002	MANNING & NAPIER INC	2/14/2014 0 00	2/19/2014 0 00	6,837	6,610	227			SHORT
CQOF8009002	MANNING & NAPIER INC	9/12/2013 0 00	2/19/2014 0 00	2,975	3,156	(181)	181	(0)	SHORT
CQOF8009002	MANNING & NAPIER INC	7/30/2013 0 00	2/19/2014 0 00	1,052	1,256	(204)	204	0	SHORT
CQOF8009002	MANNING & NAPIER INC	5/16/2013 0 00	2/19/2014 0 00	67,637	51,125	16,511			SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	12/27/2013 0 00	2/19/2014 0 00	10,326	10,487	(162)	110	(51)	SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	10/23/2013 0 00	2/19/2014 0 00	9,116	9,298	(183)	183	0	SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	12/2/2013 0 00	2/19/2014 0 00	7,258	7,405	(147)	147	0	SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	5/31/2013 0 00	2/19/2014 0 00	8,057	8,407	(349)	349	(0)	SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	1/9/2013 0 00	2/19/2014 0 00	8,705	9,688	(983)	983	0	LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	12/16/2011 0 00	2/19/2014 0 00	5,245	4,174	1,071			LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	12/30/2011 0 00	2/19/2014 0 00	6,263	4,982	1,281			LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	12/13/2011 0 00	2/19/2014 0 00	5,545	4,374	1,171			LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	12/12/2011 0 00	2/19/2014 0 00	2,805	2,212	593			LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	12/23/2013 0 00	2/19/2014 0 00	2,714	3,020	(306)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	12/26/2013 0 00	2/19/2014 0 00	3,184	3,548	(364)			SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	12/8/2011 0 00	2/19/2014 0 00	8,390	6,572	1,817			LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	12/22/2011 0 00	2/19/2014 0 00	8,455	6,830	1,625			LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	1/26/2012 0 00	2/19/2014 0 00	2,440	2,059	381			LONG
CQOF8009002	ROCKVILLE FINANCIAL INC	12/27/2013 0 00	2/19/2014 0 00	3,745	4,157	(413)			SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	10/30/2013 0 00	2/19/2014 0 00	2,975	3,007	(32)			SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	12/20/2013 0 00	2/19/2014 0 00	783	851	(69)			SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	12/31/2013 0 00	2/19/2014 0 00	2,897	3,152	(255)			SHORT
CQOF8009002	ROCKVILLE FINANCIAL INC	10/29/2013 0 00	2/19/2014 0 00	1,735	1,754	(19)			SHORT
CQOF8009002	STERLING BANCORP/DE	12/3/2013 0 00	2/19/2014 0 00	5,225	5,618	(394)	394	0	SHORT
CQOF8009002	STERUNG BANCORP/DE	11/26/2013 0 00	2/19/2014 0 00	1,814	1,933	(119)	119	0	SHORT
CQOF8009002	STERLING BANCORP/DE	11/13/2013 0 00	2/19/2014 0 00	5,680	5,817	(137)	137	0	SHORT
CQOF8009002	STERLING BANCORP/DE	4/9/2013 0 00	2/19/2014 0 00	14,628	10,720	3,908			SHORT
CQOF8009002	STERLING BANCORP/DE	4/17/2013 0 00	2/19/2014 0 00	10,810	7,803	3,007			SHORT
CQOF8009002	STERLING BANCORP/DE	12/6/2013 0 00	2/19/2014 0 00	6,301	6,839	(538)	538	0	SHORT
CQOF8009002	TCP CAPITAL CORP	2/13/2014 0 00	2/19/2014 0 00	12,786	12,691	94			SHORT
CQOF8009002	TCP CAPITAL CORP	12/28/2012 0 00	2/19/2014 0 00	671	555	116			LONG
CQOF8009002	TCP CAPITAL CORP	12/27/2012 0 00	2/19/2014 0 00	1,925	1,601	324			LONG
CQOF8009002	TCP CAPITAL CORP	1/16/2013 0 00	2/19/2014 0 00	865	720	146			LONG
CQOF8009002	TCP CAPITAL CORP	5/21/2013 0 00	2/19/2014 0 00	12,538	11,083	1,455			SHORT
CQOF8009002	TCP CAPITAL CORP	5/8/2013 0 00	2/19/2014 0 00	5,386	4,787	600			SHORT
CQOF8009002	TCP CAPITAL CORP	5/16/2013 0 00	2/19/2014 0 00	5,916	5,312	604			SHORT
CQOF8009002	TCP CAPITAL CORP	12/13/2013 0 00	2/19/2014 0 00	17,024	15,301	1,723			SHORT
CQOF8009002	TCP CAPITAL CORP	12/31/2012 0 00	2/19/2014 0 00	1,837	1,519	317			LONG
CQOF8009002	TCP CAPITAL CORP	12/12/2013 0 00	2/19/2014 0 00	7,505	7,015	490			SHORT
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	1/30/2012 0 00	2/19/2014 0 00	1,245	934	310			LONG
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	12/18/2012 0 00	2/19/2014 0 00	3,875	3,025	849			LONG
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	6/19/2013 0 00	2/19/2014 0 00	175	138	38			SHORT
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	3/14/2013 0 00	2/19/2014 0 00	1,929	1,543	385			SHORT
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	3/1/2011 0 00	2/19/2014 0 00	29,068	23,342	5,726			LONG
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	3/26/2013 0 00	2/19/2014 0 00	7,171	5,768	1,403			SHORT
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	5/3/2012 0 00	2/19/2014 0 00	6,469	5,259	1,211			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/2/2013 0 00	2/19/2014 0 00	439	292	148			SHORT
CQOF8009002	ALBANY MOLECULAR RESH INC	2/18/2014 0 00	2/24/2014 0 00	4,871	4,245	626			SHORT
CQOF8009002	ALBANY MOLECULAR RESH INC	2/13/2014 0 00	2/24/2014 0 00	3,586	2,975	611			SHORT
CQOF8009002	ALBANY MOLECULAR RESH INC	2/14/2014 0 00	2/24/2014 0 00	2,002	1,642	360			SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	9/19/2012 0 00	2/24/2014 0 00	453	222	231			LONG
CQOF8009002	ASHFORD HOSPITALITY TRUST	7/29/2013 0 00	2/24/2014 0 00	842	621	221			SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	7/31/2013 0 00	2/24/2014 0 00	5,602	4,174	1,429			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	1/22/2014 0 00	2/24/2014 0 00	727	441	286			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	2/4/2014 0 00	2/24/2014 0 00	804	639	165			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	2/14/2014 0 00	2/24/2014 0 00	3,432	2,750	682			SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	9/19/2012 0 00	2/25/2014 0 00	311	154	158			LONG
CQOF8009002	INNOSPEC INC	3/1/2011 0 00	2/25/2014 0 00	12,271	7,518	4,753			LONG
CQOF8009002	MEDLEY CAPITAL CORP	6/3/2013 0 00	2/25/2014 0 00	2,820	2,960	(140)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	12/12/2013 0 00	2/25/2014 0 00	2,514	2,532	(18)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	11/25/2013 0 00	2/25/2014 0 00	3,737	3,790	(53)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	12/11/2013 0 00	2/25/2014 0 00	347	350	(2)			SHORT
CQOF8009002	ANIKA THERAPEUTICS INC	9/23/2013 0 00	2/26/2014 0 00	12,860	6,130	6,730			SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	9/19/2012 0 00	2/26/2014 0 00	9,262	4,532	4,730			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	11/9/2012 0 00	2/26/2014 0 00	2,776	1,424	1,352			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	8/27/2012 0 00	2/26/2014 0 00	2,184	1,227	957			LONG
CQOF8009002	BLACK DIAMOND INC	5/21/2013 0 00	2/26/2014 0 00	1,696	1,445	251			SHORT
CQOF8009002	BLACK DIAMOND INC	2/14/2014 0 00	2/26/2014 0 00	7,122	6,514	608			SHORT
CQOF8009002	BLACK DIAMOND INC	2/13/2014 0 00	2/26/2014 0 00	3,932	3,530	402			SHORT
CQOF8009002	GRAHAM CORP	7/29/2013 0 00	2/26/2014 0 00	3,629	3,525	104			SHORT
CQOF8009002	GRAHAM CORP	10/4/2013 0 00	2/26/2014 0 00	6,026	7,116	(1,091)			SHORT
CQOF8009002	MEDIFAST INC	1/22/2014 0 00	2/26/2014 0 00	6,159	6,448	(289)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	12/12/2013 0 00	2/26/2014 0 00	1,462	1,469	(7)			SHORT
CQOF8009002	NEENAH PAPER INC	2/28/2013 0 00	2/26/2014 0 00	2,035	1,192	843			SHORT
CQOF8009002	NEENAH PAPER INC	3/27/2013 0 00	2/26/2014 0 00	7,643	4,733	2,910			SHORT
CQOF8009002	PREFERRED APARTMENT COMMUNI	2/13/2014 0 00	2/26/2014 0 00	9,909	9,873	36			SHORT
CQOF8009002	UNISYS CORP	9/19/2012 0 00	2/26/2014 0 00	17,773	11,563	6,210			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/1/2013 0 00	2/26/2014 0 00	2,704	1,914	789			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/2/2013 0 00	2/26/2014 0 00	3,656	2,630	1,026			SHORT
CQOF8009002	ZIXIT CORPORATION	2/26/2012 0 00	2/26/2014 0 00	60	39	21			LONG
CQOF8009002	ZIXIT CORPORATION	3/6/2012 0 00	2/26/2014 0 00	3,243	2,075	1,168			LONG
CQOF8009002	ZIXIT CORPORATION	3/20/2012 0 00	2/26/2014 0 00	1,357	861	496			LONG
CQOF8009002	ZIXIT CORPORATION	2/24/2012 0 00	2/26/2014 0 00	248	155	93			LONG
CQOF8009002	ZIXIT CORPORATION	11/9/2012 0 00	2/26/2014 0 00	920	574	346			LONG
CQOF8009002	AMERICAN NATL BANKSHARES INC	1/7/2013 0 00	2/27/2014 0 00	900	849	52			LONG
CQOF8009002	AMERICAN NATL BANKSHARES INC	12/11/2012 0 00	2/27/2014 0 00	90	81	9			LONG
CQOF8009002	AMERICAN NATL BANKSHARES INC	2/8/2013 0 00	2/27/2014 0 00	5,019	4,512	507			LONG
CQOF8009002	ASTRONICS CORP	12/10/2012 0 00	2/27/2014 0 00	5,581	1,452	4,129			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-655349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	ASTRONICS CORP	12/31/2012 0 00	2/27/2014 0 00	919	258	661			LONG
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	2/27/2014 0 00	1,773	392	1,380			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	11/9/2012 0 00	2/27/2014 0 00	3,231	1,625	1,607			LONG
CQOF8009002	KVH INDS INC	11/8/2012 0 00	2/27/2014 0 00	271	262	9			LONG
CQOF8009002	KVH INDS INC	6/12/2012 0 00	2/27/2014 0 00	4,326	3,492	833			LONG
CQOF8009002	KVH INDS INC	6/11/2012 0 00	2/27/2014 0 00	2,931	2,334	597			LONG
CQOF8009002	LTX-CREDENCE CORP	5/23/2012 0 00	2/27/2014 0 00	3,429	2,223	1,205			LONG
CQOF8009002	LTX-CREDENCE CORP	1/30/2012 0 00	2/27/2014 0 00	2,552	1,729	823			LONG
CQOF8009002	MEDIFAST INC	1/23/2012 0 00	2/27/2014 0 00	4,446	2,629	1,816			LONG
CQOF8009002	MEDIFAST INC	1/22/2014 0 00	2/27/2014 0 00	2,839	2,972	(133)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	12/12/2013 0 00	2/27/2014 0 00	7,134	7,149	(14)			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	12/4/2012 0 00	2/27/2014 0 00	2,974	2,916	58			LONG
CQOF8009002	PANTRY INC	1/24/2014 0 00	2/27/2014 0 00	2,180	2,347	(167)	167		(0) SHORT
CQOF8009002	PANTRY INC	1/27/2014 0 00	2/27/2014 0 00	5,878	6,330	(452)	452		(0) SHORT
CQOF8009002	PANTRY INC	12/11/2013 0 00	2/27/2014 0 00	737	731	6			SHORT
CQOF8009002	PHARMERICA CORP	8/15/2013 0 00	2/27/2014 0 00	8,005	4,318	3,687			SHORT
CQOF8009002	KVH INDS INC	6/11/2012 0 00	2/28/2014 0 00	2,438	1,933	506			LONG
CQOF8009002	MEDIFAST INC	1/23/2012 0 00	2/28/2014 0 00	966	570	396			LONG
CQOF8009002	CORE-MARK HLDG CO INC	3/22/2012 0 00	3/3/2014 0 00	5,750	2,975	2,775			LONG
CQOF8009002	CORE-MARK HLDG CO INC	5/2/2012 0 00	3/3/2014 0 00	12,938	6,597	6,341			LONG
CQOF8009002	LAPORTE BANCORP INC	10/10/2012 0 00	3/3/2014 0 00	7,906	6,392	1,514			LONG
CQOF8009002	MEDLEY CAPITAL CORP	12/4/2012 0 00	3/3/2014 0 00	751	739	11			LONG
CQOF8009002	MEDLEY CAPITAL CORP	12/5/2012 0 00	3/3/2014 0 00	6,797	6,694	102			LONG
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	8/21/2012 0 00	3/3/2014 0 00	4,814	3,494	1,320			LONG
CQOF8009002	WESTFIELD FINL INC NEW	7/20/2012 0 00	3/3/2014 0 00	662	640	21			LONG
CQOF8009002	WESTFIELD FINL INC NEW	12/6/2013 0 00	3/3/2014 0 00	2,221	2,153	67			SHORT
CQOF8009002	WESTFIELD FINL INC NEW	12/17/2013 0 00	3/3/2014 0 00	1,057	1,026	31			SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	3/28/2013 0 00	3/4/2014 0 00	9,692	9,112	580			SHORT
CQOF8009002	GENTIVA HEALTH SVCS INC	9/19/2013 0 00	3/4/2014 0 00	1,176	1,481	(305)			SHORT
CQOF8009002	GENTIVA HEALTH SVCS INC	10/22/2013 0 00	3/4/2014 0 00	2,489	3,135	(646)			SHORT
CQOF8009002	GENTIVA HEALTH SVCS INC	9/20/2013 0 00	3/4/2014 0 00	3,743	4,837	(1,094)			SHORT
CQOF8009002	GENTIVA HEALTH SVCS INC	9/24/2013 0 00	3/4/2014 0 00	2,460	3,059	(600)			SHORT
CQOF8009002	MEDIFAST INC	1/23/2012 0 00	3/4/2014 0 00	5,895	3,485	2,410			LONG
CQOF8009002	MEDLEY CAPITAL CORP	11/28/2012 0 00	3/4/2014 0 00	9,891	9,447	444			LONG
CQOF8009002	MEDLEY CAPITAL CORP	6/17/2013 0 00	3/4/2014 0 00	1,097	1,042	55			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	6/18/2013 0 00	3/4/2014 0 00	3,487	3,335	152			SHORT
CQOF8009002	MEDLEY CAPITAL CORP	12/5/2012 0 00	3/4/2014 0 00	1,862	1,834	27			LONG
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	8/21/2012 0 00	3/4/2014 0 00	4,573	3,218	1,355			LONG
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	2/14/2014 0 00	3/6/2014 0 00	8,054	7,316	737			SHORT
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/11/2013 0 00	3/6/2014 0 00	7,050	4,051	2,999			LONG
CQOF8009002	SANGAMO BIOSCIENCES INC	1/9/2014 0 00	3/6/2014 0 00	10,693	7,241	3,452			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/23/2014 0 00	3/7/2014 0 00	196	188	8			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/10/2014 0 00	3/7/2014 0 00	240	235	5			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/17/2013 0 00	3/7/2014 0 00	501	436	65			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	10/3/2013 0 00	3/7/2014 0 00	4,507	4,324	183			SHORT
CQOF8009002	CHECK POINT SYSTEMS	1/3/2014 0 00	3/7/2014 0 00	1,060	1,128	(67)			SHORT
CQOF8009002	CHECK POINT SYSTEMS	1/6/2014 0 00	3/7/2014 0 00	4,510	4,729	(219)			SHORT
CQOF8009002	MEDIFAST INC	1/23/2012 0 00	3/7/2014 0 00	1,293	792	501			LONG
CQOF8009002	MEDIFAST INC	12/16/2011 0 00	3/7/2014 0 00	8,609	4,916	3,693			LONG
CQOF8009002	O H R PHARMACEUTICAL INC	1/22/2014 0 00	3/7/2014 0 00	3,847	2,100	1,747			SHORT
CQOF8009002	MEDIFAST INC	12/16/2011 0 00	3/10/2014 0 00	204	118	86			LONG
CQOF8009002	MEDIFAST INC	12/20/2011 0 00	3/10/2014 0 00	1,809	1,038	771			LONG
CQOF8009002	O H R PHARMACEUTICAL INC	1/29/2014 0 00	3/10/2014 0 00	3,512	1,706	1,805			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	1/16/2014 0 00	3/10/2014 0 00	1,603	769	835			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	1/29/2014 0 00	3/10/2014 0 00	8,649	4,507	4,142			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	1/22/2014 0 00	3/10/2014 0 00	1,175	619	556			SHORT
CQOF8009002	MEDIFAST INC	12/30/2011 0 00	3/11/2014 0 00	5,552	2,842	2,710			LONG
CQOF8009002	MEDIFAST INC	12/20/2011 0 00	3/11/2014 0 00	10,568	5,760	4,809			LONG
CQOF8009002	O H R PHARMACEUTICAL INC	1/14/2014 0 00	3/11/2014 0 00	1,331	590	741			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	1/16/2014 0 00	3/11/2014 0 00	3,403	1,638	1,766			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	1/14/2014 0 00	3/12/2014 0 00	5,117	2,445	2,672			SHORT
CQOF8009002	RCS CAPITAL CORP	10/29/2013 0 00	3/12/2014 0 00	1,800	1,119	681			SHORT
CQOF8009002	RCS CAPITAL CORP	10/15/2013 0 00	3/12/2014 0 00	7,481	4,625	2,856			SHORT
CQOF8009002	RCS CAPITAL CORP	11/7/2013 0 00	3/12/2014 0 00	8,550	5,483	3,067			SHORT
CQOF8009002	RCS CAPITAL CORP	10/28/2013 0 00	3/13/2014 0 00	675	413	262			SHORT
CQOF8009002	RCS CAPITAL CORP	10/15/2013 0 00	3/13/2014 0 00	3,658	2,261	1,397			SHORT
CQOF8009002	OMNICELL INC	1/22/2013 0 00	3/14/2014 0 00	5,684	3,084	2,600			LONG
CQOF8009002	OMNICELL INC	9/17/2012 0 00	3/14/2014 0 00	6,322	3,209	3,113			LONG
CQOF8009002	UNILIFE CORP	1/22/2014 0 00	3/14/2014 0 00	1,986	2,001	(15)			SHORT
CQOF8009002	UNILIFE CORP	1/22/2014 0 00	3/14/2014 0 00	3,075	3,108	(33)			SHORT
CQOF8009002	UNILIFE CORP	2/4/2014 0 00	3/14/2014 0 00	2,208	1,805	403			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/1/2013 0 00	3/14/2014 0 00	2,265	1,627	638			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/1/2013 0 00	3/17/2014 0 00	961	692	270			SHORT
CQOF8009002	MARCHEX INC	11/19/2013 0 00	3/19/2014 0 00	6,136	4,776	1,360			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8009002	MARCHEX INC	11/22/2013 0 00	3/20/2014 0 00	3,238	2,482	756			SHORT
CQOF8009002	MARCHEX INC	11/19/2013 0 00	3/20/2014 0 00	1,540	1,199	341			SHORT
CQOF8009002	LIGAND PHARMACEUTICALS CL B	1/7/2014 0 00	3/24/2014 0 00	9,108	7,153	1,956			SHORT
CQOF8009002	POWELL INDUSTRIES INC	1/9/2014 0 00	3/24/2014 0 00	454	433	22			SHORT
CQOF8009002	POWELL INDUSTRIES INC	1/10/2014 0 00	3/24/2014 0 00	4,996	4,806	191			SHORT
CQOF8009002	POWELL INDUSTRIES INC	1/17/2014 0 00	3/24/2014 0 00	5,840	5,585	254			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/17/2013 0 00	3/25/2014 0 00	1,643	1,729	(86)			LONG
CQOF8009002	BROOKLINE BANCORP INC DEL	10/1/2013 0 00	3/25/2014 0 00	5,090	4,883	206			SHORT
CQOF8009002	FIRST FINL CORP IND	5/16/2012 0 00	3/25/2014 0 00	137	125	13			LONG
CQOF8009002	FIRST FINL CORP IND	5/15/2012 0 00	3/25/2014 0 00	4,430	4,023	407			LONG
CQOF8009002	HERITAGE FINANCIAL CORP	10/30/2013 0 00	3/25/2014 0 00	5,180	4,934	245			SHORT
CQOF8009002	REMY INTERNATIONAL INC	8/8/2013 0 00	3/25/2014 0 00	4,875	4,496	379			SHORT
CQOF8009002	SANDY SPRING BANCORP INC CMT	2/7/2014 0 00	3/25/2014 0 00	6,026	5,456	570			SHORT
CQOF8009002	SENECA FOODS CORP NEW CL A	10/31/2011 0 00	3/25/2014 0 00	5,095	3,486	1,609			LONG
CQOF8009002	ANTHERA PHARMACEUTICALS INC	3/4/2014 0 00	3/26/2014 0 00	370	348	22			SHORT
CQOF8009002	BERKSHIRE HILLS BANCORP INC	11/14/2013 0 00	3/26/2014 0 00	2,815	2,784	32			SHORT
CQOF8009002	BERKSHIRE HILLS BANCORP INC	11/7/2013 0 00	3/26/2014 0 00	1,343	1,316	27			SHORT
CQOF8009002	BERKSHIRE HILLS BANCORP INC	2/14/2014 0 00	3/26/2014 0 00	1,136	1,098	38			SHORT
CQOF8009002	DIAMOND FOODS INC	2/19/2014 0 00	3/26/2014 0 00	5,948	4,711	1,237			SHORT
CQOF8009002	EXAR CORP	3/1/2011 0 00	3/26/2014 0 00	4,379	2,310	2,070			LONG
CQOF8009002	HARMONIC INC	4/22/2013 0 00	3/26/2014 0 00	4,037	3,495	542			SHORT
CQOF8009002	INPHI CORP	12/18/2012 0 00	3/26/2014 0 00	5,079	2,935	2,144			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	11/13/2013 0 00	3/26/2014 0 00	4,960	4,900	60			SHORT
CQOF8009002	LANDEC CORP	1/26/2012 0 00	3/26/2014 0 00	3,697	2,033	1,664			LONG
CQOF8009002	LANDEC CORP	6/24/2011 0 00	3/26/2014 0 00	2,555	1,440	1,116			LONG
CQOF8009002	LANDEC CORP	1/30/2012 0 00	3/26/2014 0 00	3,349	1,888	1,461			LONG
CQOF8009002	LANDEC CORP	6/23/2011 0 00	3/26/2014 0 00	739	414	325			LONG
CQOF8009002	LANDEC CORP	6/22/2011 0 00	3/26/2014 0 00	1,968	1,105	863			LONG
CQOF8009002	PARK STERLING CORP	3/1/2011 0 00	3/26/2014 0 00	5,001	3,817	1,184			LONG
CQOF8009002	SIMPLICITY BANCORP	7/26/2013 0 00	3/26/2014 0 00	4,658	3,893	765			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/17/2013 0 00	3/27/2014 0 00	1,529	1,706	(177)			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/16/2013 0 00	3/27/2014 0 00	140	154	(14)			LONG
CQOF8009002	BRIDGELINE DIGITAL INC	2/18/2014 0 00	3/27/2014 0 00	335	453	(118)			SHORT
CQOF8009002	BRIDGELINE DIGITAL INC	2/20/2014 0 00	3/27/2014 0 00	390	511	(120)			SHORT
CQOF8009002	BRIDGELINE DIGITAL INC	8/15/2013 0 00	3/27/2014 0 00	309	405	(95)			SHORT
CQOF8009002	BRIDGELINE DIGITAL INC	3/31/2011 0 00	3/27/2014 0 00	328	414	(86)			LONG
CQOF8009002	EXTREME NETWORKS INC	1/29/2014 0 00	3/27/2014 0 00	1,851	2,398	(547)			SHORT
CQOF8009002	EXTREME NETWORKS INC	1/22/2014 0 00	3/27/2014 0 00	1,718	2,371	(653)			SHORT
CQOF8009002	FINANCIAL INSTNS INC	12/19/2013 0 00	3/27/2014 0 00	3,150	3,173	(23)			SHORT
CQOF8009002	FINANCIAL INSTNS INC	10/17/2012 0 00	3/27/2014 0 00	385	317	68			LONG
CQOF8009002	FINANCIAL INSTNS INC	10/18/2012 0 00	3/27/2014 0 00	1,042	859	184			LONG
CQOF8009002	FINANCIAL INSTNS INC	1/21/2014 0 00	3/27/2014 0 00	838	825	14			SHORT
CQOF8009002	GREENBRIER COS INC	1/15/2013 0 00	3/27/2014 0 00	4,971	1,957	3,014			LONG
CQOF8009002	PROCERA NETWORKS INC	12/27/2013 0 00	3/27/2014 0 00	2,260	3,323	(1,063)			SHORT
CQOF8009002	PROCERA NETWORKS INC	11/6/2013 0 00	3/27/2014 0 00	51	70	(19)			SHORT
CQOF8009002	PROCERA NETWORKS INC	11/12/2013 0 00	3/27/2014 0 00	2,790	3,868	(1,078)			SHORT
CQOF8009002	TOWER INTERNATIONAL INC	10/30/2013 0 00	3/27/2014 0 00	4,483	3,884	599			SHORT
CQOF8009002	DIAMOND FOODS INC	2/19/2014 0 00	3/28/2014 0 00	785	623	162			SHORT
CQOF8009002	DIAMOND FOODS INC	12/30/2013 0 00	3/28/2014 0 00	6,930	5,231	1,699			SHORT
CQOF8009002	INPHI CORP	1/22/2013 0 00	3/31/2014 0 00	4,604	2,502	2,101			LONG
CQOF8009002	INPHI CORP	12/18/2012 0 00	3/31/2014 0 00	671	390	281			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/16/2013 0 00	4/1/2014 0 00	185	191	(7)			LONG
CQOF8009002	BRIDGELINE DIGITAL INC	3/31/2011 0 00	4/1/2014 0 00	554	605	(51)			LONG
CQOF8009002	BRIDGELINE DIGITAL INC	12/30/2011 0 00	4/1/2014 0 00	927	600	327			LONG
CQOF8009002	BRIDGELINE DIGITAL INC	9/22/2011 0 00	4/1/2014 0 00	101	66	35			LONG
CQOF8009002	DIAMOND FOODS INC	12/30/2013 0 00	4/1/2014 0 00	2,206	1,623	583			SHORT
CQOF8009002	OMNICELL INC	3/1/2011 0 00	4/1/2014 0 00	6,153	2,933	3,220			LONG
CQOF8009002	PROCERA NETWORKS INC	11/6/2013 0 00	4/1/2014 0 00	3,547	4,705	(1,158)			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/16/2013 0 00	4/2/2014 0 00	1,347	1,398	(51)			LONG
CQOF8009002	OMNICELL INC	3/1/2011 0 00	4/2/2014 0 00	12,893	6,175	6,718			LONG
CQOF8009002	PROVIDENCE SVC CORP	4/1/2014 0 00	4/2/2014 0 00	4,105	2,993	1,112			SHORT
CQOF8009002	SILICON IMAGE INC	3/21/2013 0 00	4/2/2014 0 00	697	523	174			LONG
CQOF8009002	SILICON IMAGE INC	2/13/2013 0 00	4/2/2014 0 00	7,126	5,291	1,835			LONG
CQOF8009002	SILICON IMAGE INC	2/12/2013 0 00	4/2/2014 0 00	2,352	1,729	623			LONG
CQOF8009002	SILICON IMAGE INC	2/28/2013 0 00	4/2/2014 0 00	2,560	1,836	724			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/1/2013 0 00	4/2/2014 0 00	321	235	85			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/16/2013 0 00	4/3/2014 0 00	1,417	1,510	(93)			LONG
CQOF8009002	DIAMOND FOODS INC	12/30/2013 0 00	4/3/2014 0 00	1,344	1,005	339			SHORT
CQOF8009002	POWELL INDUSTRIES INC	1/9/2014 0 00	4/3/2014 0 00	5,076	4,820	257			SHORT
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	8/21/2012 0 00	4/3/2014 0 00	228	171	57			LONG
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	8/23/2012 0 00	4/3/2014 0 00	5,483	3,997	1,487			LONG
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	11/28/2012 0 00	4/3/2014 0 00	3,520	2,303	1,218			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/16/2013 0 00	4/4/2014 0 00	1,768	1,929	(162)			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	9/3/2013 0 00	4/4/2014 0 00	128	138	(10)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	11/13/2013 0 00	4/4/2014 0 00	712	703	9			SHORT
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	2/21/2013 0 00	4/4/2014 0 00	6,401	10,249	(3,847)			LONG
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	11/27/2012 0 00	4/4/2014 0 00	1,586	1,061	525			LONG
CQOF8009002	WAUSAU-MOSINEE PAPER CORP	11/28/2012 0 00	4/4/2014 0 00	5,362	3,612	1,750			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	9/3/2013 0 00	4/7/2014 0 00	1,420	1,584	(165)			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/23/2013 0 00	4/7/2014 0 00	411	457	(46)			LONG
CQOF8009002	GAIAM INC	9/17/2012 0 00	4/7/2014 0 00	2,481	1,301	1,180			LONG
CQOF8009002	GAIAM INC	9/18/2012 0 00	4/7/2014 0 00	718	373	345			LONG
CQOF8009002	API TECHNOLOGIE/SH NEW	12/27/2013 0 00	4/8/2014 0 00	894	1,191	(297)			SHORT
CQOF8009002	GAIAM INC	9/18/2012 0 00	4/8/2014 0 00	1,310	681	630			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	11/13/2013 0 00	4/8/2014 0 00	3,351	3,332	19			SHORT
CQOF8009002	GAIAM INC	10/8/2012 0 00	4/9/2014 0 00	5,116	2,510	2,606			LONG
CQOF8009002	GAIAM INC	10/3/2012 0 00	4/9/2014 0 00	1,101	540	561			LONG
CQOF8009002	GAIAM INC	9/18/2012 0 00	4/9/2014 0 00	1,659	862	797			LONG
CQOF8009002	GAIAM INC	9/28/2012 0 00	4/9/2014 0 00	188	92	96			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	11/11/2013 0 00	4/9/2014 0 00	708	700	9			SHORT
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	11/13/2013 0 00	4/9/2014 0 00	1,629	1,617	12			SHORT
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	4/9/2014 0 00	595	372	223			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	10/9/2013 0 00	4/9/2014 0 00	1,856	1,709	147			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	2/5/2014 0 00	4/10/2014 0 00	1,265	1,120	145			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	2/4/2014 0 00	4/10/2014 0 00	490	434	56			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	1/2/2014 0 00	4/10/2014 0 00	577	531	46			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	1/7/2014 0 00	4/10/2014 0 00	1,280	1,206	74			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	1/6/2014 0 00	4/10/2014 0 00	52	49	3			SHORT
CQOF8009002	ULTRA CLEAN HLDGS INC	4/18/2013 0 00	4/10/2014 0 00	2,168	944	1,224			SHORT
CQOF8009002	ULTRA CLEAN HLDGS INC	4/22/2013 0 00	4/10/2014 0 00	2,280	1,011	1,269			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/23/2013 0 00	4/11/2014 0 00	1,648	1,837	(189)			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	4/11/2014 0 00	1,492	935	556			LONG
CQOF8009002	TELECOMMUNICATION SYS INC CL A	2/5/2014 0 00	4/11/2014 0 00	1,488	1,347	140			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	2/13/2014 0 00	4/11/2014 0 00	49	43	6			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	2/12/2014 0 00	4/11/2014 0 00	503	446	57			SHORT
CQOF8009002	WESTFIELD FINL INC NEW	7/20/2012 0 00	4/11/2014 0 00	434	442	(8)			LONG
CQOF8009002	ACTUATE CORPORATION	12/2/2013 0 00	4/14/2014 0 00	57	78	(21)			SHORT
CQOF8009002	ACTUATE CORPORATION	11/13/2013 0 00	4/14/2014 0 00	1,823	2,496	(673)			SHORT
CQOF8009002	ACTUATE CORPORATION	11/25/2013 0 00	4/14/2014 0 00	1,017	1,397	(380)			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/14/2014 0 00	4/14/2014 0 00	5,076	4,586	490			SHORT
CQOF8009002	HERITAGE FINL GROUP INC COM	10/29/2013 0 00	4/14/2014 0 00	6,582	5,826	756			SHORT
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	4/14/2014 0 00	643	403	240			LONG
CQOF8009002	PSYCHEMEDICS CORP	8/19/2013 0 00	4/14/2014 0 00	11,376	9,533	1,843			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	2/12/2014 0 00	4/14/2014 0 00	407	355	52			SHORT
CQOF8009002	TELECOMMUNICATION SYS INC CL A	2/10/2014 0 00	4/14/2014 0 00	692	601	91			SHORT
CQOF8009002	WESTFIELD FINL INC NEW	5/10/2012 0 00	4/14/2014 0 00	1,176	1,202	(26)			LONG
CQOF8009002	WESTFIELD FINL INC NEW	5/9/2012 0 00	4/14/2014 0 00	373	380	(7)			LONG
CQOF8009002	WESTFIELD FINL INC NEW	7/20/2012 0 00	4/14/2014 0 00	810	832	(21)			LONG
CQOF8009002	ACTUATE CORPORATION	12/2/2013 0 00	4/15/2014 0 00	2,524	3,516	(992)			SHORT
CQOF8009002	KVH INDS INC	6/11/2012 0 00	4/15/2014 0 00	2,285	1,809	476			LONG
CQOF8009002	REPUBLIC AWYS HLDGS INC	3/10/2014 0 00	4/15/2014 0 00	2,373	2,821	(448)			SHORT
CQOF8009002	REPUBLIC AWYS HLDGS INC	11/21/2013 0 00	4/15/2014 0 00	3,483	4,334	(852)			SHORT
CQOF8009002	REPUBLIC AWYS HLDGS INC	3/11/2014 0 00	4/15/2014 0 00	3,653	4,345	(692)			SHORT
CQOF8009002	WESTFIELD FINL INC NEW	12/11/2013 0 00	4/15/2014 0 00	100	102	(2)			SHORT
CQOF8009002	WESTFIELD FINL INC NEW	5/9/2012 0 00	4/15/2014 0 00	1,879	1,913	(35)			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	4/16/2014 0 00	1,327	838	489			LONG
CQOF8009002	KVH INDS INC	6/11/2012 0 00	4/16/2014 0 00	3,723	2,910	814			LONG
CQOF8009002	WESTFIELD FINL INC NEW	12/12/2013 0 00	4/16/2014 0 00	193	197	(4)			SHORT
CQOF8009002	WESTFIELD FINL INC NEW	5/8/2012 0 00	4/16/2014 0 00	2,489	2,540	(51)			LONG
CQOF8009002	WESTFIELD FINL INC NEW	12/11/2013 0 00	4/16/2014 0 00	563	575	(12)			SHORT
CQOF8009002	WESTFIELD FINL INC NEW	5/7/2012 0 00	4/17/2014 0 00	156	160	(4)			LONG
CQOF8009002	WESTFIELD FINL INC NEW	5/8/2012 0 00	4/17/2014 0 00	1,968	2,023	(55)			LONG
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	10/25/2013 0 00	4/21/2014 0 00	1,157	.750	408			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	10/24/2013 0 00	4/21/2014 0 00	3,102	1,992	1,110			SHORT
CQOF8009002	ACTUATE CORPORATION	3/11/2014 0 00	4/22/2014 0 00	1,211	1,197	14			SHORT
CQOF8009002	ACTUATE CORPORATION	12/2/2013 0 00	4/22/2014 0 00	746	990	(244)			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/23/2013 0 00	4/22/2014 0 00	1,407	1,517	(110)			LONG
CQOF8009002	ARCTIC CAT INC	7/5/2012 0 00	4/22/2014 0 00	2,474	2,264	210			LONG
CQOF8009002	BROOKLINE BANCORP INC DEL	10/1/2013 0 00	4/22/2014 0 00	120	119	0			SHORT
CQOF8009002	BROOKLINE BANCORP INC DEL	10/25/2013 0 00	4/22/2014 0 00	2,676	2,634	41			SHORT
CQOF8009002	BROOKLINE BANCORP INC DEL	11/25/2013 0 00	4/22/2014 0 00	1,379	1,357	23			SHORT
CQOF8009002	CAMBREX CORP	1/22/2014 0 00	4/22/2014 0 00	1,833	1,453	381			SHORT
CQOF8009002	CASELLA WASTE SYS INC CL A	6/7/2011 0 00	4/22/2014 0 00	2,887	3,698	(811)			LONG
CQOF8009002	CYNOSURE INC	12/27/2013 0 00	4/22/2014 0 00	1,037	1,077	(41)			SHORT
CQOF8009002	AESTINATION XL GROUP INC	12/6/2013 0 00	4/22/2014 0 00	688	798	(110)			SHORT
CQOF8009002	AESTINATION XL GROUP INC	12/11/2013 0 00	4/22/2014 0 00	2,718	3,163	(444)			SHORT
CQOF8009002	EMULEX CORP COM NEW	10/22/2013 0 00	4/22/2014 0 00	2,491	2,592	(101)			SHORT
CQOF8009002	EMULEX CORP COM NEW	11/13/2013 0 00	4/22/2014 0 00	68	70	(3)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE	NET GAIN/LOSS WITH LOSS	LONG/SHORT
				PROCEEDS	COST BASIS		DISALLOWED	DISALLOWED	
CQOF8009002	HORACE MANN EDUCATORS CORP N	5/6/2009 0 00	4/22/2014 0 00	2,497	806	1,692			LONG
CQOF8009002	MEADOWBROOK INS GROUP INC	12/6/2013 0 00	4/22/2014 0 00	2,110	2,658	(548)			SHORT
CQOF8009002	PHARMERICA CORP	9/18/2013 0 00	4/22/2014 0 00	4,113	1,935	2,178			SHORT
CQOF8009002	PHARMERICA CORP	8/15/2013 0 00	4/22/2014 0 00	2,751	1,327	1,424			SHORT
CQOF8009002	REMY INTERNATIONAL INC	8/8/2013 0 00	4/22/2014 0 00	3,072	2,521	551			SHORT
CQOF8009002	REMY INTERNATIONAL INC	8/23/2013 0 00	4/22/2014 0 00	614	481	133			SHORT
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	11/14/2013 0 00	4/22/2014 0 00	2,407	3,271	(864)			SHORT
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	2/21/2013 0 00	4/22/2014 0 00	638	1,082	(444)			LONG
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	2/22/2013 0 00	4/22/2014 0 00	55	90	(35)			LONG
CQOF8009002	STERLING BANCORP/DE	3/17/2014 0 00	4/22/2014 0 00	3,019	3,100	(80)			SHORT
CQOF8009002	UNISYS CORP	9/19/2012 0 00	4/22/2014 0 00	6,251	4,917	1,334			LONG
CQOF8009002	UNISYS CORP	5/31/2013 0 00	4/22/2014 0 00	8,132	5,815	2,316			SHORT
CQOF8009002	ADDUS HOMECARE CORP	1/15/2014 0 00	4/23/2014 0 00	5,793	6,618	(825)			SHORT
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/11/2013 0 00	4/23/2014 0 00	10,542	5,861	4,681			LONG
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	10/24/2013 0 00	4/23/2014 0 00	1,258	812	446			SHORT
CQOF8009002	CTS CORP	2/14/2014 0 00	4/23/2014 0 00	2,693	2,559	133			SHORT
CQOF8009002	CAMPUS CREST COMMUNITIES INC	10/4/2012 0 00	4/23/2014 0 00	859	966	(107)			LONG
CQOF8009002	CAMPUS CREST COMMUNITIES INC	8/9/2013 0 00	4/23/2014 0 00	6,709	8,156	(1,447)			SHORT
CQOF8009002	GREENBRIER COS INC	1/15/2013 0 00	4/23/2014 0 00	5,291	1,887	3,404			LONG
CQOF8009002	JOHNSON OUTDOORS INC CL A	2/27/2014 0 00	4/23/2014 0 00	1,280	1,242	38			SHORT
CQOF8009002	JOHNSON OUTDOORS INC CL A	2/28/2014 0 00	4/23/2014 0 00	1,280	1,256	25			SHORT
CQOF8009002	K12 INC	3/11/2014 0 00	4/23/2014 0 00	1,560	1,490	70			SHORT
CQOF8009002	K12 INC	11/7/2013 0 00	4/23/2014 0 00	1,961	1,692	269			SHORT
CQOF8009002	MEADOWBROOK INS GROUP INC	12/6/2013 0 00	4/23/2014 0 00	1,612	2,075	(463)			SHORT
CQOF8009002	MEADOWBROOK INS GROUP INC	12/5/2013 0 00	4/23/2014 0 00	298	380	(81)			SHORT
CQOF8009002	MIDDLESEX WATER CO	12/17/2013 0 00	4/23/2014 0 00	4,919	4,806	113			SHORT
CQOF8009002	PROCERA NETWORKS INC	1/9/2014 0 00	4/23/2014 0 00	4,422	5,385	(963)			SHORT
CQOF8009002	PROCERA NETWORKS INC	11/6/2013 0 00	4/23/2014 0 00	461	670	(209)			SHORT
CQOF8009002	SI FINL GROUP INC MD	12/30/2011 0 00	4/23/2014 0 00	1,763	1,505	258			LONG
CQOF8009002	SI FINL GROUP INC MD	12/22/2011 0 00	4/23/2014 0 00	1,682	1,403	279			LONG
CQOF8009002	SI FINL GROUP INC MD	12/20/2011 0 00	4/23/2014 0 00	668	558	110			LONG
CQOF8009002	UNIVEST CORP PA	3/7/2011 0 00	4/23/2014 0 00	3,984	3,699	285			LONG
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/11/2013 0 00	4/24/2014 0 00	10,270	5,717	4,553			LONG
CQOF8009002	AMERICAN EQUITY INVT LIFE HLDG	1/16/2013 0 00	4/24/2014 0 00	3,863	2,147	1,716			LONG
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	10/24/2013 0 00	4/24/2014 0 00	1,139	735	403			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	4/1/2013 0 00	4/24/2014 0 00	1,741	4,021	(2,280)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	1/29/2013 0 00	4/24/2014 0 00	919	2,177	(1,258)			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	4/24/2014 0 00	199	124	75			LONG
CQOF8009002	K12 INC	11/7/2013 0 00	4/24/2014 0 00	5,204	4,500	704			SHORT
CQOF8009002	NORTEAST BANCORP	1/9/2014 0 00	4/24/2014 0 00	630	650	(20)			SHORT
CQOF8009002	NORTEAST BANCORP	1/8/2014 0 00	4/24/2014 0 00	8,006	8,259	(253)			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	10/24/2013 0 00	4/25/2014 0 00	806	521	286			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	4/1/2013 0 00	4/25/2014 0 00	842	1,765	(923)			LONG
CQOF8009002	GREENBRIER COS INC	1/15/2013 0 00	4/25/2014 0 00	4,597	1,573	3,024			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	4/25/2014 0 00	156	98	58			LONG
CQOF8009002	NORTEAST BANCORP	1/7/2014 0 00	4/25/2014 0 00	1,353	1,403	(49)			SHORT
CQOF8009002	NORTEAST BANCORP	1/3/2014 0 00	4/25/2014 0 00	625	644	(19)			SHORT
CQOF8009002	NORTEAST BANCORP	1/6/2014 0 00	4/25/2014 0 00	187	194	(7)			SHORT
CQOF8009002	NORTEAST BANCORP	1/9/2014 0 00	4/25/2014 0 00	1,531	1,592	(61)			SHORT
CQOF8009002	PERCEPTON INC	1/10/2014 0 00	4/25/2014 0 00	1,509	1,829	(321)			SHORT
CQOF8009002	PERCEPTON INC	9/26/2013 0 00	4/25/2014 0 00	3,561	2,966	595			SHORT
CQOF8009002	PERCEPTON INC	9/27/2013 0 00	4/25/2014 0 00	1,756	1,464	292			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	10/24/2013 0 00	4/28/2014 0 00	1,867	1,203	664			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/6/2013 0 00	4/28/2014 0 00	119	71	48			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/9/2013 0 00	4/28/2014 0 00	666	399	267			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	4/1/2013 0 00	4/28/2014 0 00	1,100	2,285	(1,185)			LONG
CQOF8009002	PERCEPTON INC	9/26/2013 0 00	4/28/2014 0 00	1,309	1,082	227			SHORT
CQOF8009002	PERCEPTON INC	2/9/2012 0 00	4/28/2014 0 00	2,231	1,034	1,197			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	4/1/2013 0 00	4/29/2014 0 00	890	1,866	(976)			LONG
CQOF8009002	DIAMOND FOODS INC	12/30/2013 0 00	4/29/2014 0 00	851	721	130			SHORT
CQOF8009002	DIAMOND FOODS INC	1/10/2014 0 00	4/29/2014 0 00	5,899	4,976	923			SHORT
CQOF8009002	GREENBRIER COS INC	1/15/2013 0 00	4/29/2014 0 00	1,457	507	950			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/6/2012 0 00	4/29/2014 0 00	4,000	969	3,030			LONG
CQOF8009002	MEADOWBROOK INS GROUP INC	12/5/2013 0 00	4/29/2014 0 00	1,442	1,899	(456)			SHORT
CQOF8009002	PHARMERICA CORP	9/18/2013 0 00	4/29/2014 0 00	3,864	1,896	1,968			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	8/1/2013 0 00	4/29/2014 0 00	379	347	31			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	7/15/2013 0 00	4/29/2014 0 00	554	445	109			SHORT
CQOF8009002	GREENBRIER COS INC	12/27/2012 0 00	4/30/2014 0 00	5,292	1,655	3,637			LONG
CQOF8009002	GREENBRIER COS INC	1/15/2013 0 00	4/30/2014 0 00	1,018	349	668			LONG
CQOF8009002	AMN HEALTHCARE SVCS INC	9/23/2013 0 00	5/1/2014 0 00	1,793	2,043	(250)	250		SHORT
CQOF8009002	API TECHNOLOGIE/SH NEW	12/27/2013 0 00	5/1/2014 0 00	829	1,273	(445)			SHORT
CQOF8009002	ACCURAY INC DEL	1/22/2014 0 00	5/1/2014 0 00	2,067	2,378	(310)			SHORT
CQOF8009002	ACCURAY INC DEL	1/21/2014 0 00	5/1/2014 0 00	3,097	3,566	(469)			SHORT
CQOF8009002	ACCURAY INC DEL	3/4/2014 0 00	5/1/2014 0 00	797	985	(188)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	ACCURAY INC DEL	3/4/2014 0:00	5/1/2014 0:00	1,313	1,629	(316)			SHORT
CQOF8009002	ACETO CORP	2/18/2014 0:00	5/1/2014 0:00	4,069	3,596	473			SHORT
CQOF8009002	ACETO CORP	3/31/2014 0:00	5/1/2014 0:00	457	417	40			SHORT
CQOF8009002	ACETO CORP	3/27/2014 0:00	5/1/2014 0:00	6,354	5,894	460			SHORT
CQOF8009002	ACTUATE CORPORATION	11/6/2012 0:00	5/1/2014 0:00	2,954	2,727	227			LONG
CQOF8009002	ACTUATE CORPORATION	3/11/2014 0:00	5/1/2014 0:00	322	337	(15)			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/25/2013 0:00	5/1/2014 0:00	1,951	2,113	(163)			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/23/2013 0:00	5/1/2014 0:00	17	18	(2)			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/24/2013 0:00	5/1/2014 0:00	878	952	(74)			LONG
CQOF8009002	ALON USA ENERGY INC	3/27/2014 0:00	5/1/2014 0:00	2,516	2,264	253			SHORT
CQOF8009002	AMERICAN NATL BANKSHARES INC	4/10/2014 0:00	5/1/2014 0:00	2,765	2,920	(155)	155	-	SHORT
CQOF8009002	AMREIT INC-CLASS B	6/2/2013 0:00	5/1/2014 0:00	2,502	2,807	(305)	-	(305)	SHORT
CQOF8009002	AVIAT NETWORKS INC	1/6/2014 0:00	5/1/2014 0:00	477	724	(247)	147	(100)	SHORT
CQOF8009002	AVIAT NETWORKS INC	1/3/2014 0:00	5/1/2014 0:00	869	1,317	(448)	448	0	SHORT
CQOF8009002	BEBE STORES INC	11/8/2013 0:00	5/1/2014 0:00	545	636	(91)	91	(0)	SHORT
CQOF8009002	BEBE STORES INC	11/12/2013 0:00	5/1/2014 0:00	2,270	2,695	(425)	68	(357)	SHORT
CQOF8009002	BIO-REFERENCE LABS INC PAR \$0.01	11/29/2013 0:00	5/1/2014 0:00	3,107	3,663	(556)	72	(483)	SHORT
CQOF8009002	BLACK DIAMOND INC	5/20/2013 0:00	5/1/2014 0:00	1,654	1,354	300			SHORT
CQOF8009002	BLACK DIAMOND INC	5/21/2013 0:00	5/1/2014 0:00	8,072	6,610	1,463			SHORT
CQOF8009002	BRAVO BRIO RESTAURANT GROUP INC	12/22/2011 0:00	5/1/2014 0:00	1,505	1,586	(82)			LONG
CQOF8009002	BROOKLINE BANCORP INC DEL	11/27/2013 0:00	5/1/2014 0:00	407	406	1			SHORT
CQOF8009002	BROOKLINE BANCORP INC DEL	11/25/2013 0:00	5/1/2014 0:00	2,739	2,740	(1)			SHORT
CQOF8009002	BROOKLINE BANCORP INC DEL	12/2/2013 0:00	5/1/2014 0:00	2,920	2,907	13			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/9/2013 0:00	5/1/2014 0:00	3,247	1,903	1,344			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/9/2013 0:00	5/1/2014 0:00	6,605	3,606	2,999			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/14/2014 0:00	5/1/2014 0:00	1,000	977	24			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/10/2014 0:00	5/1/2014 0:00	2,210	2,157	53			SHORT
CQOF8009002	CALLIDUS SOFTWARE INC	11/7/2012 0:00	5/1/2014 0:00	2,507	1,128	1,379			LONG
CQOF8009002	CALLIDUS SOFTWARE INC	8/23/2012 0:00	5/1/2014 0:00	265	118	147			LONG
CQOF8009002	CAMBREX CORP	1/22/2014 0:00	5/1/2014 0:00	5,988	4,997	991			SHORT
CQOF8009002	CAMBREX CORP	1/22/2014 0:00	5/1/2014 0:00	5,620	4,738	882			SHORT
CQOF8009002	CAMPUS CREST COMMUNITIES INC	10/4/2012 0:00	5/1/2014 0:00	3,122	3,506	(384)			LONG
CQOF8009002	CAPE BANCORP INC	6/28/2013 0:00	5/1/2014 0:00	1,961	1,776	185			SHORT
CQOF8009002	CAPE BANCORP INC	12/30/2013 0:00	5/1/2014 0:00	2,045	1,975	70			SHORT
CQOF8009002	CAREER ED CORP	3/10/2014 0:00	5/1/2014 0:00	858	859	(1)			SHORT
CQOF8009002	CASELLA WASTE SYS INC CL A	6/7/2011 0:00	5/1/2014 0:00	532	681	(149)			LONG
CQOF8009002	CASELLA WASTE SYS INC CL A	6/24/2011 0:00	5/1/2014 0:00	857	1,005	(148)			LONG
CQOF8009002	CASELLA WASTE SYS INC CL A	6/13/2011 0:00	5/1/2014 0:00	3,042	3,693	(651)			LONG
CQOF8009002	CHARTER FINANCIAL CORP	4/23/2014 0:00	5/1/2014 0:00	5,300	5,377	(77)	77	-	SHORT
CQOF8009002	CHEVIOT FINL CORP	4/23/2013 0:00	5/1/2014 0:00	2,476	2,631	(155)			LONG
CQOF8009002	CHEVIOT FINL CORP	4/22/2013 0:00	5/1/2014 0:00	3,638	3,864	(226)			LONG
CQOF8009002	CIBER INC COM STK USD0.01	3/6/2014 0:00	5/1/2014 0:00	1,526	1,702	(176)			SHORT
CQOF8009002	CITIZENS & NORTHN CORP	1/16/2014 0:00	5/1/2014 0:00	2,290	2,477	(187)			SHORT
CQOF8009002	COMMERCIAL VEH GROUP INC	3/10/2014 0:00	5/1/2014 0:00	2,046	1,883	162			SHORT
CQOF8009002	COMSTOCK RES INC	9/4/2013 0:00	5/1/2014 0:00	4,343	2,237	2,106			SHORT
CQOF8009002	CORE MOLDING TECHNOLOGIES INC	3/16/2012 0:00	5/1/2014 0:00	3,359	2,649	709			LONG
CQOF8009002	CYNOSURE INC	12/27/2013 0:00	5/1/2014 0:00	3,643	3,915	(272)	150	(122)	SHORT
CQOF8009002	CYTRX CORP	2/27/2014 0:00	5/1/2014 0:00	351	699	(348)	268	(79)	SHORT
CQOF8009002	CYTRX CORP	1/31/2014 0:00	5/1/2014 0:00	708	1,495	(787)	787	(0)	SHORT
CQOF8009002	DGSE COMPANIES INC	3/1/2011 0:00	5/1/2014 0:00	1,478	2,727	(1,249)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	4/1/2013 0:00	5/1/2014 0:00	1,489	3,074	(1,584)			LONG
CQOF8009002	DIVERSIFIED RESTAURANT HOLDING	4/2/2014 0:00	5/1/2014 0:00	689	712	(23)	23	-	SHORT
CQOF8009002	DONEGAL GROUP INC	10/30/2013 0:00	5/1/2014 0:00	147	161	(15)			SHORT
CQOF8009002	DONEGAL GROUP INC	12/6/2013 0:00	5/1/2014 0:00	2,653	2,923	(270)			SHORT
CQOF8009002	EMC INS GROUP INC	2/12/2014 0:00	5/1/2014 0:00	3,593	2,998	594			SHORT
CQOF8009002	EPIQ SYS INC	3/6/2014 0:00	5/1/2014 0:00	2,230	2,507	(277)	106	(172)	SHORT
CQOF8009002	EMERALD OIL INC	4/22/2014 0:00	5/1/2014 0:00	1,260	1,278	(18)	18	-	SHORT
CQOF8009002	EMULEX CORP COM NEW	10/8/2013 0:00	5/1/2014 0:00	579	797	(218)			SHORT
CQOF8009002	EMULEX CORP COM NEW	11/13/2013 0:00	5/1/2014 0:00	2,512	3,513	(1,001)			SHORT
CQOF8009002	ENNIS BUSINESS FORMS	3/1/2011 0:00	5/1/2014 0:00	3,720	4,006	(286)			LONG
CQOF8009002	EVOLUTION PETE CORP COM	10/3/2013 0:00	5/1/2014 0:00	2,026	1,933	93			SHORT
CQOF8009002	EVOLVING SYSTEMS INC	10/25/2013 0:00	5/1/2014 0:00	344	410	(66)			SHORT
CQOF8009002	EVOLVING SYSTEMS INC	10/28/2013 0:00	5/1/2014 0:00	654	778	(125)			SHORT
CQOF8009002	EXAR CORP	3/1/2011 0:00	5/1/2014 0:00	1,962	1,181	781			LONG
CQOF8009002	FARMERS NATL BANC CORP	10/29/2013 0:00	5/1/2014 0:00	3,830	3,278	553			SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	3/28/2013 0:00	5/1/2014 0:00	1,762	1,925	(164)	-	(164)	LONG
CQOF8009002	FIRST FINL CORP IND	4/22/2014 0:00	5/1/2014 0:00	4,452	4,472	(20)			SHORT
CQOF8009002	FLUSHING FINL CORP	3/3/2014 0:00	5/1/2014 0:00	2,953	3,101	(147)			SHORT
CQOF8009002	FORBES ENERGY SERVICES LTD	2/17/2012 0:00	5/1/2014 0:00	2,694	3,953	(1,259)			LONG
CQOF8009002	FORBES ENERGY SERVICES LTD	4/25/2012 0:00	5/1/2014 0:00	155	196	(41)			LONG
CQOF8009002	FORBES ENERGY SERVICES LTD	2/27/2012 0:00	5/1/2014 0:00	2,023	3,083	(1,060)			LONG
CQOF8009002	FORBES ENERGY SERVICES LTD	2/22/2012 0:00	5/1/2014 0:00	91	136	(45)			LONG
CQOF8009002	FORBES ENERGY SERVICES LTD	2/21/2012 0:00	5/1/2014 0:00	575	856	(281)			LONG
CQOF8009002	FRANKLIN FINANCIAL CORP/VA	4/2/2012 0:00	5/1/2014 0:00	3,942	2,783	1,159			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	FRANKLIN WIRELESS CORP	6/23/2011 0 00	5/1/2014 0 00	96	121	(25)			LONG
CQOF8009002	FRANKLIN WIRELESS CORP	5/31/2011 0 00	5/1/2014 0 00	987	1,237	(250)			LONG
CQOF8009002	GENCORP INC	3/14/2014 0 00	5/1/2014 0 00	6,213	6,699	(487)	487	-	SHORT
CQOF8009002	GETTY RLTY CORP NEW	3/6/2014 0 00	5/1/2014 0 00	4,788	4,868	(80)			SHORT
CQOF8009002	GETTY RLTY CORP NEW	3/5/2014 0 00	5/1/2014 0 00	1,052	1,069	(17)			SHORT
CQOF8009002	GIBRALTAR INDS INC	3/26/2013 0 00	5/1/2014 0 00	5,229	5,725	(496)			LONG
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	12/27/2013 0 00	5/1/2014 0 00	125	184	(59)			SHORT
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	8/28/2012 0 00	5/1/2014 0 00	894	1,020	(127)			LONG
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	8/27/2012 0 00	5/1/2014 0 00	1,853	2,106	(253)			LONG
CQOF8009002	GRAHAM CORP	7/26/2013 0 00	5/1/2014 0 00	6,112	6,869	(757)			SHORT
CQOF8009002	GRAHAM CORP	7/29/2013 0 00	5/1/2014 0 00	1,388	1,563	(175)			SHORT
CQOF8009002	HACKETT GROUP INC/THE	11/16/2011 0 00	5/1/2014 0 00	2,328	1,471	857			LONG
CQOF8009002	HACKETT GROUP INC/THE	11/9/2011 0 00	5/1/2014 0 00	3,065	1,960	1,105			LONG
CQOF8009002	HAMPDEN BANCORP INC	12/11/2013 0 00	5/1/2014 0 00	2,376	2,435	(58)			SHORT
CQOF8009002	HANCOCK FABRICS INC	8/4/2011 0 00	5/1/2014 0 00	902	1,111	(210)			LONG
CQOF8009002	HANDY & HARMAN LTD	4/25/2014 0 00	5/1/2014 0 00	1,391	1,423	(32)	18	(14)	SHORT
CQOF8009002	HANDY & HARMAN LTD	12/27/2013 0 00	5/1/2014 0 00	4,443	4,637	(195)	195	0	SHORT
CQOF8009002	HANDY & HARMAN LTD	12/10/2013 0 00	5/1/2014 0 00	1,795	1,835	(40)	40	(0)	SHORT
CQOF8009002	HANMI FINANCIAL CORPORATION	12/19/2013 0 00	5/1/2014 0 00	2,227	2,287	(60)			SHORT
CQOF8009002	HARMONIC INC	4/22/2013 0 00	5/1/2014 0 00	2,366	1,973	393			LONG
CQOF8009002	HERITAGE FINANCIAL CORP	3/25/2014 0 00	5/1/2014 0 00	2,900	3,139	(240)			SHORT
CQOF8009002	HORACE MANN EDUCATORS CORP N	5/6/2009 0 00	5/1/2014 0 00	4,118	1,254	2,864			LONG
CQOF8009002	HOUSTON WIRE & CABLE CO	10/30/2013 0 00	5/1/2014 0 00	1,903	2,215	(312)	259	(53)	SHORT
CQOF8009002	INTERNAP NETWORK SVCS CORP	8/2/2013 0 00	5/1/2014 0 00	1,767	2,110	(342)			SHORT
CQOF8009002	INTEST CORP	3/7/2014 0 00	5/1/2014 0 00	977	1,023	(47)			SHORT
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	5/1/2014 0 00	4,004	2,500	1,504			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/3/2011 0 00	5/1/2014 0 00	13,596	8,472	5,125			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	8/25/2011 0 00	5/1/2014 0 00	2,071	1,299	773			LONG
CQOF8009002	ITERIS INC	10/25/2013 0 00	5/1/2014 0 00	2,220	2,468	(248)			SHORT
CQOF8009002	JTH HOLDING INC	3/22/2013 0 00	5/1/2014 0 00	569	327	242			LONG
CQOF8009002	JTH HOLDING INC	10/25/2013 0 00	5/1/2014 0 00	677	499	179			SHORT
CQOF8009002	JTH HOLDING INC	3/15/2013 0 00	5/1/2014 0 00	3,171	1,855	1,316			LONG
CQOF8009002	JTH HOLDING INC	10/24/2013 0 00	5/1/2014 0 00	569	421	148			SHORT
CQOF8009002	JOES JEANS INC	11/26/2013 0 00	5/1/2014 0 00	870	988	(117)			SHORT
CQOF8009002	JOES JEANS INC	12/3/2013 0 00	5/1/2014 0 00	123	138	(15)			SHORT
CQOF8009002	KVH INDS INC	6/11/2012 0 00	5/1/2014 0 00	816	627	189			LONG
CQOF8009002	KVH INDS INC	1/17/2012 0 00	5/1/2014 0 00	923	623	300			LONG
CQOF8009002	KEARNY FINL CORP	12/19/2013 0 00	5/1/2014 0 00	1,965	1,474	491			SHORT
CQOF8009002	KITE RLTY GROUP TR	9/29/2011 0 00	5/1/2014 0 00	1,743	1,032	712			LONG
CQOF8009002	KITE RLTY GROUP TR	10/17/2011 0 00	5/1/2014 0 00	351	207	144			LONG
CQOF8009002	LTX-CREDENCE CORP	7/31/2012 0 00	5/1/2014 0 00	2,877	1,822	1,055			LONG
CQOF8009002	LTX-CREDENCE CORP	5/23/2012 0 00	5/1/2014 0 00	1,668	1,137	531			LONG
CQOF8009002	LAPORTE BANCORP INC	10/10/2012 0 00	5/1/2014 0 00	6,559	5,303	1,256			LONG
CQOF8009002	LEMAITRE VASCULAR INC	12/24/2013 0 00	5/1/2014 0 00	1,067	1,068	(1)			SHORT
CQOF8009002	LEMAITRE VASCULAR INC	12/11/2013 0 00	5/1/2014 0 00	1,868	1,871	(3)			SHORT
CQOF8009002	LIONBRIDGE TECHNOLOGIES INC	4/14/2014 0 00	5/1/2014 0 00	1,231	1,245	(15)	15	-	SHORT
CQOF8009002	MARCHEX INC	3/27/2014 0 00	5/1/2014 0 00	2,116	2,426	(310)			SHORT
CQOF8009002	MATTSON TECHNOLOGY INC	4/2/2014 0 00	5/1/2014 0 00	236	301	(66)			SHORT
CQOF8009002	MEADOWBROOK INS GROUP INC	12/12/2013 0 00	5/1/2014 0 00	1,895	2,452	(557)			SHORT
CQOF8009002	MEADOWBROOK INS GROUP INC	12/5/2013 0 00	5/1/2014 0 00	526	694	(167)			SHORT
CQOF8009002	MERGE HEALTHCARE INC	9/7/2012 0 00	5/1/2014 0 00	2,709	4,139	(1,430)			LONG
CQOF8009002	MIDDLESEX WATER CO	12/17/2013 0 00	5/1/2014 0 00	3,370	3,380	(11)			SHORT
CQOF8009002	MIDSOUTH BANCORP INC	1/21/2014 0 00	5/1/2014 0 00	4,737	4,669	68			SHORT
CQOF8009002	NATUS MED INC DEL	8/29/2012 0 00	5/1/2014 0 00	3,577	1,739	1,838			LONG
CQOF8009002	NEENAH PAPER INC	2/28/2013 0 00	5/1/2014 0 00	7,398	4,273	3,126			LONG
CQOF8009002	O H R PHARMACEUTICAL INC	4/8/2014 0 00	5/1/2014 0 00	2,326	2,480	(154)	154	-	SHORT
CQOF8009002	OMEGA PROTEIN CORP	3/11/2014 0 00	5/1/2014 0 00	484	551	(67)			SHORT
CQOF8009002	OMEGA PROTEIN CORP	1/10/2014 0 00	5/1/2014 0 00	2,139	2,234	(95)			SHORT
CQOF8009002	OMEGA PROTEIN CORP	1/9/2014 0 00	5/1/2014 0 00	327	342	(15)			SHORT
CQOF8009002	OMNOVA SOLUTIONS INC	10/30/2013 0 00	5/1/2014 0 00	4,272	4,198	73			SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	1/18/2013 0 00	5/1/2014 0 00	3,161	3,104	57			LONG
CQOF8009002	OPLINK COMMUNICATIONS INC	5/20/2011 0 00	5/1/2014 0 00	1,909	2,266	(357)			LONG
CQOF8009002	ORITANI FINL CORP NEW	12/2/2013 0 00	5/1/2014 0 00	5,078	5,617	(539)	539	-	SHORT
CQOF8009002	PDI INC	9/20/2011 0 00	5/1/2014 0 00	463	705	(242)			LONG
CQOF8009002	PDI INC	9/14/2011 0 00	5/1/2014 0 00	1,521	2,316	(796)			LONG
CQOF8009002	PDI INC	9/19/2011 0 00	5/1/2014 0 00	522	794	(272)			LONG
CQOF8009002	PDI INC	9/7/2011 0 00	5/1/2014 0 00	172	263	(90)			LONG
CQOF8009002	PDI INC	11/30/2011 0 00	5/1/2014 0 00	113	158	(45)			LONG
CQOF8009002	PACIFIC CONTL CORP	6/19/2013 0 00	5/1/2014 0 00	937	805	133			SHORT
CQOF8009002	PACIFIC CONTL CORP	3/1/2011 0 00	5/1/2014 0 00	4,595	3,553	1,042			LONG
CQOF8009002	PACIFIC MERCANTILE BANCORP	1/2/2014 0 00	5/1/2014 0 00	1,203	1,177	26			SHORT
CQOF8009002	PANTRY INC	1/12/2014 0 00	5/1/2014 0 00	5,090	5,247	(157)			SHORT
CQOF8009002	PANTRY INC	12/11/2013 0 00	5/1/2014 0 00	839	865	(26)			SHORT
CQOF8009002	PEOPLES BANCORP INC	4/9/2013 0 00	5/1/2014 0 00	695	565	130			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH. SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/ SHORT
CQOF8009002	PEOPLES BANCORP INC	2/15/2013 0 00	5/1/2014 0 00	232	189	42			LONG
CQOF8009002	PEOPLES BANCORP INC	4/10/2013 0 00	5/1/2014 0 00	2,807	2,282	524			LONG
CQOF8009002	PEOPLES BANCORP INC	4/12/2013 0 00	5/1/2014 0 00	257	209	48			LONG
CQOF8009002	PERCEPTRON INC	2/9/2012 0 00	5/1/2014 0 00	1,202	595	607			LONG
CQOF8009002	PETROQUEST ENERGY INC	10/21/2013 0 00	5/1/2014 0 00	2,463	2,000	464			SHORT
CQOF8009002	PETROQUEST ENERGY INC	10/29/2013 0 00	5/1/2014 0 00	250	201	49			SHORT
CQOF8009002	PREFERRED APARTMENT COMMUNI	2/13/2014 0 00	5/1/2014 0 00	2,163	2,192	(30)			SHORT
CQOF8009002	PREFERRED APARTMENT COMMUNI	3/31/2014 0 00	5/1/2014 0 00	5,980	5,965	15			SHORT
CQOF8009002	PRIMO WATER CORP	4/21/2014 0 00	5/1/2014 0 00	505	452	53			SHORT
CQOF8009002	PRIMO WATER CORP	3/12/2014 0 00	5/1/2014 0 00	577	452	124			SHORT
CQOF8009002	PSYCHEMEDICS CORP	8/16/2013 0 00	5/1/2014 0 00	3,081	2,588	494			SHORT
CQOF8009002	PSYCHEMEDICS CORP	8/19/2013 0 00	5/1/2014 0 00	1,462	1,231	231			SHORT
CQOF8009002	PSYCHEMEDICS CORP	8/12/2013 0 00	5/1/2014 0 00	1,305	1,095	210			SHORT
CQOF8009002	QUANEX BLDG PRODS CORP	10/25/2013 0 00	5/1/2014 0 00	1,463	1,419	45			SHORT
CQOF8009002	QUANEX BLDG PRODS CORP	10/28/2013 0 00	5/1/2014 0 00	1,426	1,386	40			SHORT
CQOF8009002	QUANTUM CORP DSSG COM	3/31/2014 0 00	5/1/2014 0 00	56	63	(7)	7	(0)	SHORT
CQOF8009002	QUANTUM CORP DSSG COM	4/9/2014 0 00	5/1/2014 0 00	265	298	(33)	33	0	SHORT
CQOF8009002	REMY INTERNATIONAL INC	8/23/2013 0 00	5/1/2014 0 00	840	661	179			SHORT
CQOF8009002	REMY INTERNATIONAL INC	9/25/2013 0 00	5/1/2014 0 00	2,139	1,682	457			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	3/13/2014 0 00	5/1/2014 0 00	1,738	1,828	(90)	90	-	SHORT
CQOF8009002	ROCKY BRANDS INC	4/10/2014 0 00	5/1/2014 0 00	4,132	4,108	24			SHORT
CQOF8009002	SI FINL GROUP INC MD	12/16/2011 0 00	5/1/2014 0 00	709	578	132			LONG
CQOF8009002	SI FINL GROUP INC MD	12/22/2011 0 00	5/1/2014 0 00	675	557	117			LONG
CQOF8009002	SI FINL GROUP INC MD	12/19/2011 0 00	5/1/2014 0 00	1,256	1,024	232			LONG
CQOF8009002	S J W CORP	4/3/2014 0 00	5/1/2014 0 00	3,016	3,321	(305)	305	0	SHORT
CQOF8009002	S J W CORP	3/31/2014 0 00	5/1/2014 0 00	159	174	(15)	15	(0)	SHORT
CQOF8009002	S & W SEED CO	4/28/2014 0 00	5/1/2014 0 00	2,129	2,170	(41)	35	(6)	SHORT
CQOF8009002	SELECTICA INC COMMON	3/1/2011 0 00	5/1/2014 0 00	141	146	(5)			LONG
CQOF8009002	SELECTICA INC COMMON	3/10/2011 0 00	5/1/2014 0 00	358	350	9			LONG
CQOF8009002	SELECTICA INC COMMON	3/9/2011 0 00	5/1/2014 0 00	1,363	1,360	3			LONG
CQOF8009002	SERVICESOURCE INTERNATIONAL	11/27/2013 0 00	5/1/2014 0 00	1,536	2,390	(853)	853	-	SHORT
CQOF8009002	SHOE CARNIVAL INC	3/24/2014 0 00	5/1/2014 0 00	5,672	5,896	(223)	155	(69)	SHORT
CQOF8009002	SHORETEL INC	9/4/2013 0 00	5/1/2014 0 00	817	548	269			SHORT
CQOF8009002	SIMPLICITY BANCORP	7/26/2013 0 00	5/1/2014 0 00	1,764	1,525	240			SHORT
CQOF8009002	SIMPLICITY BANCORP	7/22/2013 0 00	5/1/2014 0 00	1,610	1,389	221			SHORT
CQOF8009002	SPARTAN STORES INC	12/20/2013 0 00	5/1/2014 0 00	2,850	3,189	(338)			SHORT
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	11/14/2013 0 00	5/1/2014 0 00	926	1,267	(341)			SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	7/30/2013 0 00	5/1/2014 0 00	1,666	1,637	30			SHORT
CQOF8009002	STATE BANK FINANCIAL CORP	12/5/2012 0 00	5/1/2014 0 00	2,353	2,268	85			LONG
CQOF8009002	STEEL EXCEL INC	3/17/2014 0 00	5/1/2014 0 00	4,956	5,134	(178)			SHORT
CQOF8009002	STEEL EXCEL INC	4/5/2011 0 00	5/1/2014 0 00	2,297	2,374	(77)	-	(77)	LONG
CQOF8009002	STEEL EXCEL INC	4/6/2011 0 00	5/1/2014 0 00	2,538	2,624	(85)	-	(85)	LONG
CQOF8009002	STERLING BANCORP/DE	3/17/2014 0 00	5/1/2014 0 00	3,953	4,291	(338)			SHORT
CQOF8009002	STONERIDGE INC	3/7/2014 0 00	5/1/2014 0 00	1,549	1,709	(160)			SHORT
CQOF8009002	SUPERIOR INDS INTL INC COM	4/22/2014 0 00	5/1/2014 0 00	2,318	2,381	(63)			SHORT
CQOF8009002	SUPERIOR INDS INTL INC COM	12/31/2013 0 00	5/1/2014 0 00	1,011	987	24			SHORT
CQOF8009002	SUPPORT COM INC	9/10/2013 0 00	5/1/2014 0 00	3,484	8,121	(4,637)			SHORT
CQOF8009002	ULTRA CLEAN HLDGS INC	4/18/2013 0 00	5/1/2014 0 00	1,709	1,096	613			LONG
CQOF8009002	UNISYS CORP	5/31/2013 0 00	5/1/2014 0 00	8,046	6,850	1,196			SHORT
CQOF8009002	UNITED FIRE GROUP INC	1/8/2014 0 00	5/1/2014 0 00	912	907	5			SHORT
CQOF8009002	UNITED FIRE GROUP INC	1/10/2014 0 00	5/1/2014 0 00	525	523	2			SHORT
CQOF8009002	UNITED FIRE GROUP INC	1/9/2014 0 00	5/1/2014 0 00	1,548	1,541	7			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/23/2013 0 00	5/1/2014 0 00	160	170	(10)			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	1/9/2014 0 00	5/1/2014 0 00	1,472	1,636	(164)			SHORT
CQOF8009002	UNIVEST CORP PA	3/7/2011 0 00	5/1/2014 0 00	2,874	2,719	155			LONG
CQOF8009002	URSTADT BIDDLE PPTYS INC CL A	9/14/2012 0 00	5/1/2014 0 00	2,090	2,057	32			LONG
CQOF8009002	WASHINGTON BKG CO OAK HBR WA	4/9/2014 0 00	5/1/2014 0 00	6,262	6,262	-	-	-	SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	7/16/2013 0 00	5/1/2014 0 00	2,359	1,833	526			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	7/15/2013 0 00	5/1/2014 0 00	2,006	1,587	419			SHORT
CQOF8009002	WINNEBAGO INDUSTRIES INCORPOR	12/31/2013 0 00	5/1/2014 0 00	2,891	3,308	(417)			SHORT
CQOF8009002	YORK WTR CO	3/26/2014 0 00	5/1/2014 0 00	1,530	1,578	(48)	46	(1)	SHORT
CQOF8009002	YORK WTR CO	4/2/2014 0 00	5/1/2014 0 00	715	738	(22)			SHORT
CQOF8009002	ZIXIT CORPORATION	11/9/2012 0 00	5/1/2014 0 00	1,503	1,332	172			LONG
CQOF8009002	PLANET PAYMENT INC	10/7/2013 0 00	5/1/2014 0 00	743	769	(26)			SHORT
CQOF8009002	PLANET PAYMENT INC	10/15/2013 0 00	5/1/2014 0 00	2,926	3,083	(157)			SHORT
CQOF8009002	ACTUATE CORPORATION	11/6/2012 0 00	5/2/2014 0 00	692	953	(261)			LONG
CQOF8009002	ACTUATE CORPORATION	11/7/2012 0 00	5/2/2014 0 00	1,677	2,311	(634)			LONG
CQOF8009002	ACTUATE CORPORATION	11/8/2012 0 00	5/2/2014 0 00	2,273	3,102	(829)			LONG
CQOF8009002	HANCOCK FABRICS INC	8/4/2011 0 00	5/2/2014 0 00	1,568	2,018	(450)			LONG
CQOF8009002	HANCOCK FABRICS INC	7/27/2011 0 00	5/2/2014 0 00	591	759	(168)			LONG
CQOF8009002	HANCOCK FABRICS INC	8/3/2011 0 00	5/2/2014 0 00	767	979	(212)			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	7/16/2013 0 00	5/2/2014 0 00	1,332	1,052	280			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/4/2013 0 00	5/5/2014 0 00	779	398	381			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/9/2013 0 00	5/5/2014 0 00	14	7	7			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH. SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/ SHORT
				PROCEEDS	COST BASIS				
CQOF8009002	HANCOCK FABRICS INC	8/3/2011 0 00	5/5/2014 0 00	535	667	(132)			LONG
CQOF8009002	LTX-CREDENCE CORP	8/31/2011 0 00	5/5/2014 0 00	137	83	54			LONG
CQOF8009002	LTX-CREDENCE CORP	7/31/2012 0 00	5/5/2014 0 00	2,182	1,418	764			LONG
CQOF8009002	MEADOWBROOK INS GROUP INC	12/11/2013 0 00	5/5/2014 0 00	1,500	2,005	(505)			SHORT
CQOF8009002	MEADOWBROOK INS GROUP INC	12/12/2013 0 00	5/5/2014 0 00	896	1,211	(316)			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	7/16/2013 0 00	5/5/2014 0 00	1,085	852	233			SHORT
CQOF8009002	PLANET PAYMENT INC	10/7/2013 0 00	5/5/2014 0 00	1,238	1,335	(97)			SHORT
CQOF8009002	ALON USA ENERGY INC	3/27/2014 0 00	5/6/2014 0 00	3,196	2,837	359			SHORT
CQOF8009002	ALON USA ENERGY INC	3/31/2014 0 00	5/6/2014 0 00	3,395	2,969	426			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/4/2013 0 00	5/6/2014 0 00	2,781	1,421	1,360			SHORT
CQOF8009002	HANCOCK FABRICS INC	8/3/2011 0 00	5/6/2014 0 00	472	605	(133)			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/6/2012 0 00	5/6/2014 0 00	345	85	260			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/11/2012 0 00	5/6/2014 0 00	1,625	399	1,226			LONG
CQOF8009002	MEADOWBROOK INS GROUP INC	12/11/2013 0 00	5/6/2014 0 00	1,259	1,658	(399)			SHORT
CQOF8009002	MEADOWBROOK INS GROUP INC	11/7/2013 0 00	5/6/2014 0 00	818	1,056	(239)			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/21/2011 0 00	5/6/2014 0 00	149	111	38			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	7/16/2013 0 00	5/6/2014 0 00	503	408	96			SHORT
CQOF8009002	HANCOCK FABRICS INC	8/3/2011 0 00	5/7/2014 0 00	479	592	(112)			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/11/2012 0 00	5/7/2014 0 00	777	190	587			LONG
CQOF8009002	PERCEPTON INC	2/9/2012 0 00	5/7/2014 0 00	1,960	1,000	961			LONG
CQOF8009002	ACETO CORP	2/18/2014 0 00	5/8/2014 0 00	7,661	6,365	1,296			SHORT
CQOF8009002	ACETO CORP	2/19/2014 0 00	5/8/2014 0 00	1,643	1,335	308			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/4/2013 0 00	5/8/2014 0 00	3,278	1,706	1,573			SHORT
CQOF8009002	CAREER ED CORP	1/29/2014 0 00	5/8/2014 0 00	1,905	1,892	13			SHORT
CQOF8009002	CAREER ED CORP	1/15/2014 0 00	5/8/2014 0 00	3,725	4,273	(548)			SHORT
CQOF8009002	CAREER ED CORP	3/10/2014 0 00	5/8/2014 0 00	181	229	(48)			SHORT
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	8/27/2012 0 00	5/8/2014 0 00	3,905	3,659	246			LONG
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	3/1/2011 0 00	5/8/2014 0 00	2,510	1,090	1,420			LONG
CQOF8009002	PERCEPTON INC	2/9/2012 0 00	5/8/2014 0 00	871	491	380			LONG
CQOF8009002	ACETO CORP	2/19/2014 0 00	5/9/2014 0 00	2,574	2,483	91			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/26/2013 0 00	5/9/2014 0 00	863	446	417			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/4/2013 0 00	5/9/2014 0 00	4,440	2,303	2,137			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	9/24/2013 0 00	5/9/2014 0 00	356	184	172			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	8/12/2013 0 00	5/9/2014 0 00	877	446	431			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	8/29/2013 0 00	5/9/2014 0 00	370	190	180			SHORT
CQOF8009002	JOES JEANS INC	12/3/2013 0 00	5/12/2014 0 00	1,363	1,782	(419)			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/21/2011 0 00	5/12/2014 0 00	1,112	797	315			LONG
CQOF8009002	HANCOCK FABRICS INC	6/28/2011 0 00	5/13/2014 0 00	59	69	(10)			LONG
CQOF8009002	HANCOCK FABRICS INC	8/3/2011 0 00	5/13/2014 0 00	177	219	(42)			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/11/2012 0 00	5/13/2014 0 00	1,333	323	1,010			LONG
CQOF8009002	PETROQUEST ENERGY INC	10/29/2013 0 00	5/13/2014 0 00	2,790	2,134	656			SHORT
CQOF8009002	PETROQUEST ENERGY INC	10/17/2013 0 00	5/13/2014 0 00	2,141	1,588	553			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/27/2013 0 00	5/13/2014 0 00	168	184	(17)			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/23/2013 0 00	5/13/2014 0 00	11	12	(1)			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/31/2013 0 00	5/13/2014 0 00	799	880	(81)			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/21/2011 0 00	5/13/2014 0 00	1,115	795	321			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/11/2012 0 00	5/14/2014 0 00	305	76	230			LONG
CQOF8009002	UNITED STATES ANTIMONY CORP	12/27/2013 0 00	5/14/2014 0 00	76	84	(9)			SHORT
CQOF8009002	EMULEX CORP COM NEW	10/8/2013 0 00	5/15/2014 0 00	2,037	3,096	(1,058)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/28/2011 0 00	5/15/2014 0 00	238	278	(40)			LONG
CQOF8009002	HENNESSY ADVISORS INC	9/17/2012 0 00	5/15/2014 0 00	1,233	300	933			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/11/2012 0 00	5/15/2014 0 00	2,601	643	1,957			LONG
CQOF8009002	HENNESSY ADVISORS INC	7/5/2012 0 00	5/15/2014 0 00	183	45	139			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/21/2011 0 00	5/15/2014 0 00	1,289	981	309			LONG
CQOF8009002	FEMALE HEALTH CO	9/27/2013 0 00	5/16/2014 0 00	1,889	3,025	(1,136)			SHORT
CQOF8009002	FEMALE HEALTH CO	10/23/2013 0 00	5/16/2014 0 00	2,491	4,007	(1,516)			SHORT
CQOF8009002	RUSH ENTERPRISES INC	8/6/2012 0 00	5/16/2014 0 00	1,234	601	632			LONG
CQOF8009002	RUSH ENTERPRISES INC	8/14/2012 0 00	5/16/2014 0 00	487	253	234			LONG
CQOF8009002	RUSH ENTERPRISES INC	8/13/2012 0 00	5/16/2014 0 00	5,746	2,927	2,819			LONG
CQOF8009002	UNISYS CORP	5/31/2013 0 00	5/16/2014 0 00	5,708	5,257	452			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/27/2013 0 00	5/16/2014 0 00	228	259	(31)			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/21/2011 0 00	5/16/2014 0 00	1,187	901	286			LONG
CQOF8009002	FEMALE HEALTH CO	12/3/2013 0 00	5/19/2014 0 00	1,300	1,939	(639)			SHORT
CQOF8009002	FEMALE HEALTH CO	12/11/2013 0 00	5/19/2014 0 00	2,285	3,360	(1,075)			SHORT
CQOF8009002	FEMALE HEALTH CO	12/3/2013 0 00	5/19/2014 0 00	70	104	(34)			SHORT
CQOF8009002	FEMALE HEALTH CO	9/23/2013 0 00	5/19/2014 0 00	1,978	3,173	(1,195)			SHORT
CQOF8009002	FEMALE HEALTH CO	9/27/2013 0 00	5/19/2014 0 00	147	241	(94)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/28/2011 0 00	5/19/2014 0 00	36	42	(6)			LONG
CQOF8009002	UNISYS CORP	5/7/2013 0 00	5/19/2014 0 00	4,236	3,494	742			LONG
CQOF8009002	UNISYS CORP	5/31/2013 0 00	5/19/2014 0 00	1,162	1,055	106			SHORT
CQOF8009002	UNISYS CORP	5/16/2013 0 00	5/19/2014 0 00	1,139	938	201			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/21/2011 0 00	5/19/2014 0 00	1,488	1,128	360			LONG
CQOF8009002	CALLAWAY GOLF CO COM	1/10/2014 0 00	5/20/2014 0 00	2,624	2,921	(297)			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/13/2014 0 00	5/20/2014 0 00	1,915	2,113	(198)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT				SALES		GAIN/LOSS	FED WASH SALE	NET GAIN/LOSS WITH LOSS	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	DISALLOWED	DISALLOWED	LONG/SHORT
CQOF8009002	FEMALE HEALTH CO	12/12/2013 0-00	5/20/2014 0-00	1,335	1,923	(588)			SHORT
CQOF8009002	FEMALE HEALTH CO	12/11/2013 0-00	5/20/2014 0-00	381	557	(177)			SHORT
CQOF8009002	UNISYS CORP	5/16/2013 0-00	5/20/2014 0-00	6,460	5,382	1,078			LONG
CQOF8009002	UNITED STATES ANTIMONY CORP	12/27/2013 0-00	5/20/2014 0-00	508	630	(122)			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/21/2011 0-00	5/20/2014 0-00	1,438	1,126	312			LONG
CQOF8009002	REMY INTERNATIONAL INC	9/25/2013 0-00	5/21/2014 0-00	2,573	2,203	371			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	1/10/2012 0-00	5/21/2014 0-00	762	588	174			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	1/10/2012 0-00	5/22/2014 0-00	1,004	880	124			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/7/2012 0-00	5/22/2014 0-00	3,867	3,376	491			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/13/2013 0-00	5/22/2014 0-00	764	566	197			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/8/2013 0-00	5/22/2014 0-00	259	192	67			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/7/2013 0-00	5/22/2014 0-00	619	459	160			LONG
CQOF8009002	HERITAGE FINANCIAL CORP	3/25/2014 0-00	5/23/2014 0-00	8	9	(1)			SHORT
CQOF8009002	REMY INTERNATIONAL INC	9/25/2013 0-00	5/23/2014 0-00	3,439	2,964	475			SHORT
CQOF8009002	REMY INTERNATIONAL INC	8/22/2013 0-00	5/23/2014 0-00	674	573	101			SHORT
CQOF8009002	REMY INTERNATIONAL INC	7/1/2013 0-00	5/23/2014 0-00	3,996	3,197	800			SHORT
CQOF8009002	CALAMP CORP	5/6/2014 0-00	5/27/2014 0-00	951	864	86			SHORT
CQOF8009002	CALAMP CORP	5/5/2014 0-00	5/27/2014 0-00	2,642	2,434	209			SHORT
CQOF8009002	REMY INTERNATIONAL INC	7/1/2013 0-00	5/27/2014 0-00	2,680	2,100	579			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/13/2013 0-00	5/27/2014 0-00	1,692	1,308	384			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	11/9/2012 0-00	5/28/2014 0-00	375	170	206			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	11/12/2012 0-00	5/28/2014 0-00	5,015	2,225	2,791			LONG
CQOF8009002	CALAMP CORP	5/9/2014 0-00	5/28/2014 0-00	2,825	2,253	571			SHORT
CQOF8009002	CALAMP CORP	5/6/2014 0-00	5/28/2014 0-00	2,090	1,919	172			SHORT
CQOF8009002	EMULEX CORP COM NEW	11/7/2013 0-00	5/28/2014 0-00	2,006	2,861	(855)			SHORT
CQOF8009002	EMULEX CORP COM NEW	10/28/2013 0-00	5/28/2014 0-00	2,492	3,579	(1,087)			SHORT
CQOF8009002	EMULEX CORP COM NEW	10/25/2013 0-00	5/28/2014 0-00	1,536	2,205	(669)			SHORT
CQOF8009002	EMULEX CORP COM NEW	11/8/2013 0-00	5/28/2014 0-00	1,710	2,440	(729)			SHORT
CQOF8009002	EMULEX CORP COM NEW	10/8/2013 0-00	5/28/2014 0-00	1,061	1,540	(479)			SHORT
CQOF8009002	FEMALE HEALTH CO	12/17/2013 0-00	5/28/2014 0-00	1,618	2,168	(550)			SHORT
CQOF8009002	FEMALE HEALTH CO	12/16/2013 0-00	5/28/2014 0-00	768	1,028	(261)			SHORT
CQOF8009002	FEMALE HEALTH CO	12/12/2013 0-00	5/28/2014 0-00	1,707	2,438	(731)			SHORT
CQOF8009002	JOES JEANS INC	12/11/2013 0-00	5/28/2014 0-00	130	145	(15)			SHORT
CQOF8009002	JOES JEANS INC	12/13/2013 0-00	5/28/2014 0-00	63	70	(7)			SHORT
CQOF8009002	JOES JEANS INC	12/3/2013 0-00	5/28/2014 0-00	475	564	(89)			SHORT
CQOF8009002	JOES JEANS INC	12/12/2013 0-00	5/28/2014 0-00	28	31	(3)			SHORT
CQOF8009002	REMY INTERNATIONAL INC	7/1/2013 0-00	5/28/2014 0-00	2,683	2,100	583			SHORT
CQOF8009002	JOES JEANS INC	12/12/2013 0-00	5/29/2014 0-00	212	238	(26)			SHORT
CQOF8009002	JOES JEANS INC	12/16/2013 0-00	5/29/2014 0-00	1,017	1,142	(124)			SHORT
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	12/31/2012 0-00	5/29/2014 0-00	782	580	202			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/27/2013 0-00	5/29/2014 0-00	986	713	273			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/28/2013 0-00	5/29/2014 0-00	123	89	34			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/13/2013 0-00	5/29/2014 0-00	2,073	1,582	491			LONG
CQOF8009002	WESTELL TECHNOLOGIES INC CL A	2/12/2013 0-00	5/29/2014 0-00	1,006	766	240			LONG
CQOF8009002	FEMALE HEALTH CO	2/19/2014 0-00	5/30/2014 0-00	165	195	(31)			SHORT
CQOF8009002	FEMALE HEALTH CO	12/17/2013 0-00	5/30/2014 0-00	2,198	2,959	(761)			SHORT
CQOF8009002	HERITAGE FINANCIAL CORP	3/25/2014 0-00	5/30/2014 0-00	6,236	6,886	(650)			SHORT
CQOF8009002	PHARMERICA CORP	9/18/2013 0-00	5/30/2014 0-00	1,193	575	617			SHORT
CQOF8009002	PHARMERICA CORP	9/11/2013 0-00	5/30/2014 0-00	4,039	1,913	2,126			SHORT
CQOF8009002	SYKES ENTERPRISES INC	2/24/2014 0-00	5/30/2014 0-00	5,527	5,545	(18)			SHORT
CQOF8009002	UNITED FINL BANCORP INC	3/25/2014 0-00	5/30/2014 0-00	6,866	7,009	(143)			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/27/2013 0-00	5/30/2014 0-00	340	429	(89)			SHORT
CQOF8009002	BEBE STORES INC	11/14/2013 0-00	6/2/2014 0-00	141	224	(83)			SHORT
CQOF8009002	BEBE STORES INC	9/4/2013 0-00	6/2/2014 0-00	2,966	4,733	(1,767)			SHORT
CQOF8009002	BEBE STORES INC	11/8/2013 0-00	6/2/2014 0-00	1,317	2,181	(864)			SHORT
CQOF8009002	BIODELIVERY SCIENCES INTL	11/12/2012 0-00	6/3/2014 0-00	4,851	2,172	2,679			LONG
CQOF8009002	PHARMERICA CORP	2/10/2012 0-00	6/3/2014 0-00	1,357	635	721			LONG
CQOF8009002	PHARMERICA CORP	9/11/2013 0-00	6/3/2014 0-00	5,101	2,414	2,687			SHORT
CQOF8009002	RUSH ENTERPRISES INC	8/6/2012 0-00	6/3/2014 0-00	4,638	2,278	2,360			LONG
CQOF8009002	ARCTIC CAT INC	7/13/2012 0-00	6/4/2014 0-00	3,087	3,168	(82)			LONG
CQOF8009002	ARCTIC CAT INC	7/5/2012 0-00	6/4/2014 0-00	3,052	3,396	(345)			LONG
CQOF8009002	ARCTIC CAT INC	2/28/2013 0-00	6/4/2014 0-00	3,543	3,638	(95)			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	11/12/2012 0-00	6/4/2014 0-00	476	215	262			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	11/28/2012 0-00	6/4/2014 0-00	3,976	1,676	2,300			LONG
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	8/12/2013 0-00	6/4/2014 0-00	3,344	1,646	1,698			SHORT
CQOF8009002	DIAMOND FOODS INC	1/10/2014 0-00	6/4/2014 0-00	4,047	3,181	866			SHORT
CQOF8009002	DIAMOND FOODS INC	10/3/2013 0-00	6/4/2014 0-00	3,068	1,957	1,110			SHORT
CQOF8009002	PHARMERICA CORP	2/21/2012 0-00	6/4/2014 0-00	4,389	2,018	2,371			LONG
CQOF8009002	PHARMERICA CORP	2/10/2012 0-00	6/4/2014 0-00	2,153	991	1,162			LONG
CQOF8009002	ARCTIC CAT INC	7/16/2012 0-00	6/5/2014 0-00	737	754	(16)			LONG
CQOF8009002	ARCTIC CAT INC	7/17/2012 0-00	6/5/2014 0-00	5,232	5,359	(127)			LONG
CQOF8009002	ARCTIC CAT INC	7/13/2012 0-00	6/5/2014 0-00	527	540	(13)			LONG
CQOF8009002	BEBE STORES INC	11/14/2013 0-00	6/5/2014 0-00	1,451	2,418	(967)			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	8/12/2013 0-00	6/5/2014 0-00	4,980	2,421	2,559			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/30/2014 0 00	6/5/2014 0 00	1,599	1,685	(86)			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/13/2014 0 00	6/5/2014 0 00	2,166	2,315	(149)			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/30/2014 0 00	6/6/2014 0 00	2,345	2,441	(95)			SHORT
CQOF8009002	JTH HOLDING INC	3/22/2013 0 00	6/6/2014 0 00	8,543	4,848	3,695			LONG
CQOF8009002	BIODELIVERY SCIENCES INTL	11/28/2012 0 00	6/9/2014 0 00	4,839	2,004	2,835			LONG
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	7/26/2013 0 00	6/9/2014 0 00	494	229	265			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	8/12/2013 0 00	6/9/2014 0 00	135	63	72			SHORT
CQOF8009002	BUILD-A-BEAR WORKSHOP INC	7/29/2013 0 00	6/9/2014 0 00	1,811	839	973			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/28/2011 0 00	6/10/2014 0 00	283	348	(65)			LONG
CQOF8009002	COMMUNITY TR BANCORP INC	4/17/2014 0 00	6/11/2014 0 00	29	28	1			SHORT
CQOF8009002	HANCOCK FABRICS INC	8/2/2013 0 00	6/11/2014 0 00	240	289	(49)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/28/2011 0 00	6/11/2014 0 00	349	431	(81)			LONG
CQOF8009002	CAMBREX CORP	1/22/2014 0 00	6/13/2014 0 00	2,817	2,404	413			SHORT
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	3/1/2011 0 00	6/13/2014 0 00	2,700	1,024	1,676			LONG
CQOF8009002	HANCOCK FABRICS INC	7/25/2011 0 00	6/13/2014 0 00	98	117	(19)			LONG
CQOF8009002	HANCOCK FABRICS INC	8/2/2013 0 00	6/13/2014 0 00	6	7	(1)			SHORT
CQOF8009002	HANCOCK FABRICS INC	12/13/2013 0 00	6/13/2014 0 00	20	23	(4)			SHORT
CQOF8009002	OPLINK COMMUNICATIONS INC	5/20/2011 0 00	6/13/2014 0 00	2,356	2,556	(200)			LONG
CQOF8009002	CAMBREX CORP	1/22/2014 0 00	6/16/2014 0 00	12,737	10,876	1,860			SHORT
CQOF8009002	HANCOCK FABRICS INC	12/13/2013 0 00	6/16/2014 0 00	410	485	(75)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/21/2011 0 00	6/16/2014 0 00	95	109	(14)			LONG
CQOF8009002	OPLINK COMMUNICATIONS INC	5/7/2013 0 00	6/16/2014 0 00	184	186	(2)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/21/2011 0 00	6/17/2014 0 00	57	65	(8)			LONG
CQOF8009002	KEARNY FINL CORP	12/19/2013 0 00	6/17/2014 0 00	1,879	1,355	524			SHORT
CQOF8009002	OPLINK COMMUNICATIONS INC	5/7/2013 0 00	6/17/2014 0 00	1,537	1,558	(21)			LONG
CQOF8009002	PERCEPTON INC	2/9/2012 0 00	6/17/2014 0 00	1,238	580	658			LONG
CQOF8009002	HANCOCK FABRICS INC	6/21/2011 0 00	6/18/2014 0 00	41	47	(6)			LONG
CQOF8009002	KEARNY FINL CORP	12/19/2013 0 00	6/18/2014 0 00	753	542	211			SHORT
CQOF8009002	OPLINK COMMUNICATIONS INC	5/7/2013 0 00	6/18/2014 0 00	1,989	2,032	(43)			LONG
CQOF8009002	PERCEPTON INC	2/9/2012 0 00	6/18/2014 0 00	6,437	2,659	3,778			LONG
CQOF8009002	HANCOCK FABRICS INC	6/21/2011 0 00	6/19/2014 0 00	8	10	(1)			LONG
CQOF8009002	OPLINK COMMUNICATIONS INC	5/7/2013 0 00	6/19/2014 0 00	1,149	1,152	(3)			LONG
CQOF8009002	OPLINK COMMUNICATIONS INC	5/16/2013 0 00	6/19/2014 0 00	3,023	2,958	66			LONG
CQOF8009002	CALLAWAY GOLF CO COM	2/14/2014 0 00	6/20/2014 0 00	609	608	1			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	1/30/2014 0 00	6/20/2014 0 00	2,477	2,532	(55)			SHORT
CQOF8009002	CAMBREX CORP	1/22/2014 0 00	6/20/2014 0 00	4,790	3,943	848			SHORT
CQOF8009002	CAMBREX CORP	1/23/2014 0 00	6/20/2014 0 00	1,492	1,192	300			SHORT
CQOF8009002	CAMBREX CORP	1/24/2014 0 00	6/20/2014 0 00	1,008	791	217			SHORT
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	3/1/2011 0 00	6/20/2014 0 00	187	73	114			LONG
CQOF8009002	GREENBRIER COS INC	12/27/2012 0 00	6/20/2014 0 00	7,854	2,116	5,738			LONG
CQOF8009002	JOES JEANS INC	12/12/2013 0 00	6/20/2014 0 00	869	885	(16)			SHORT
CQOF8009002	JOES JEANS INC	11/25/2013 0 00	6/20/2014 0 00	149	150	(1)			SHORT
CQOF8009002	KEARNY FINL CORP	12/18/2013 0 00	6/20/2014 0 00	4,222	2,970	1,251			SHORT
CQOF8009002	KEARNY FINL CORP	12/19/2013 0 00	6/20/2014 0 00	2,805	2,016	789			SHORT
CQOF8009002	KEARNY FINL CORP	12/18/2013 0 00	6/23/2014 0 00	587	414	174			SHORT
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	3/1/2011 0 00	6/24/2014 0 00	2,315	903	1,412			LONG
CQOF8009002	KEARNY FINL CORP	12/18/2013 0 00	6/24/2014 0 00	376	265	111			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	4/8/2014 0 00	6/24/2014 0 00	5,040	4,720	320			SHORT
CQOF8009002	SERVICESTOURCE INTERNATIONAL	12/2/2013 0 00	6/24/2014 0 00	2,570	4,392	(1,822)			SHORT
CQOF8009002	SERVICESTOURCE INTERNATIONAL	11/27/2013 0 00	6/24/2014 0 00	67	116	(49)			SHORT
CQOF8009002	SERVICESTOURCE INTERNATIONAL	1/23/2014 0 00	6/24/2014 0 00	441	678	(236)			SHORT
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	3/1/2011 0 00	6/25/2014 0 00	1,719	678	1,041			LONG
CQOF8009002	KEARNY FINL CORP	12/18/2013 0 00	6/25/2014 0 00	2,065	1,464	601			SHORT
CQOF8009002	METHODE ELECTRS INC CL A	12/18/2013 0 00	6/25/2014 0 00	5,389	5,530	(141)			SHORT
CQOF8009002	METHODE ELECTRS INC CL A	4/1/2014 0 00	6/25/2014 0 00	1,201	1,121	81			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	4/8/2014 0 00	6/25/2014 0 00	1,783	2,440	(657)			SHORT
CQOF8009002	PROFIRE ENERGY INC	5/12/2014 0 00	6/25/2014 0 00	863	758	106			SHORT
CQOF8009002	PROFIRE ENERGY INC	4/8/2014 0 00	6/25/2014 0 00	2,568	1,908	659			SHORT
CQOF8009002	PROFIRE ENERGY INC	6/2/2014 0 00	6/25/2014 0 00	1,361	1,210	151			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/21/2011 0 00	6/26/2014 0 00	94	108	(14)			LONG
CQOF8009002	O H R PHARMACEUTICAL INC	4/8/2014 0 00	6/27/2014 0 00	4,768	4,480	288			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/22/2011 0 00	6/30/2014 0 00	33	37	(5)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/21/2011 0 00	6/30/2014 0 00	179	206	(26)			LONG
CQOF8009002	CALLAWAY GOLF CO COM	2/14/2014 0 00	7/1/2014 0 00	2,446	2,342	105			SHORT
CQOF8009002	CAMBREX CORP	1/24/2014 0 00	7/1/2014 0 00	5,726	4,534	1,192			SHORT
CQOF8009002	GREENBRIER COS INC	12/27/2012 0 00	7/1/2014 0 00	6,612	1,830	4,782			LONG
CQOF8009002	HANCOCK FABRICS INC	6/22/2011 0 00	7/1/2014 0 00	123	140	(17)			LONG
CQOF8009002	METHODE ELECTRS INC CL A	3/28/2014 0 00	7/1/2014 0 00	565	454	111			SHORT
CQOF8009002	METHODE ELECTRS INC CL A	4/1/2014 0 00	7/1/2014 0 00	4,858	3,907	951			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	4/8/2014 0 00	7/1/2014 0 00	2,196	2,440	(244)			SHORT
CQOF8009002	OPLINK COMMUNICATIONS INC	5/16/2013 0 00	7/1/2014 0 00	2,613	2,545	68			LONG
CQOF8009002	GREENBRIER COS INC	12/27/2012 0 00	7/2/2014 0 00	3,079	764	2,315			LONG
CQOF8009002	GREENBRIER COS INC	12/31/2012 0 00	7/2/2014 0 00	4,810	1,184	3,626			LONG
CQOF8009002	HANCOCK FABRICS INC	6/22/2011 0 00	7/2/2014 0 00	278	316	(38)			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	4/8/2014 0 00	7/2/2014 0 00	1,021	1,190	(169)			SHORT
CQOF8009002	HANCOCK FABRICS INC	12/17/2013 0 00	7/3/2014 0 00	178	202	(25)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/22/2011 0 00	7/3/2014 0 00	97	110	(14)			LONG
CQOF8009002	HANCOCK FABRICS INC	7/20/2011 0 00	7/3/2014 0 00	39	45	(5)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/27/2011 0 00	7/7/2014 0 00	57	63	(7)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/24/2011 0 00	7/7/2014 0 00	25	28	(2)			LONG
CQOF8009002	HANCOCK FABRICS INC	12/17/2013 0 00	7/7/2014 0 00	147	167	(21)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/19/2013 0 00	7/7/2014 0 00	156	171	(15)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/8/2014 0 00	530	573	(43)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/19/2013 0 00	7/8/2014 0 00	30	33	(3)			LONG
CQOF8009002	FIRST CONNECTICUT BANCORP	8/1/2013 0 00	7/9/2014 0 00	270	256	14			SHORT
CQOF8009002	FIRST CONNECTICUT BANCORP	7/31/2013 0 00	7/9/2014 0 00	1,475	1,399	76			SHORT
CQOF8009002	FIRST CONNECTICUT BANCORP	8/2/2013 0 00	7/9/2014 0 00	174	165	9			SHORT
CQOF8009002	FIRST CONNECTICUT BANCORP	6/25/2013 0 00	7/9/2014 0 00	492	429	63			LONG
CQOF8009002	FIRST CONNECTICUT BANCORP	6/26/2013 0 00	7/9/2014 0 00	3,410	2,976	434			LONG
CQOF8009002	FIRST CONNECTICUT BANCORP	6/19/2013 0 00	7/9/2014 0 00	428	368	60			LONG
CQOF8009002	FIRST CONNECTICUT BANCORP	6/18/2013 0 00	7/9/2014 0 00	48	41	7			LONG
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/9/2014 0 00	52	56	(4)			LONG
CQOF8009002	O H R PHARMACEUTICAL INC	4/8/2014 0 00	7/9/2014 0 00	1,721	2,150	(429)			SHORT
CQOF8009002	O H R PHARMACEUTICAL INC	4/24/2014 0 00	7/9/2014 0 00	625	759	(134)			SHORT
CQOF8009002	FIRST CONNECTICUT BANCORP	6/18/2013 0 00	7/11/2014 0 00	441	392	49			LONG
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/11/2014 0 00	42	45	(3)			LONG
CQOF8009002	SOUTHSIDE BANCSHARES INC	4/29/2014 0 00	7/11/2014 0 00	10,435	10,045	390			SHORT
CQOF8009002	UNISYS CORP	5/8/2013 0 00	7/11/2014 0 00	285	225	61			LONG
CQOF8009002	UNISYS CORP	5/16/2013 0 00	7/11/2014 0 00	6,871	5,419	1,452			LONG
CQOF8009002	FRANKLIN FINANCIAL CORP/VA	4/2/2012 0 00	7/14/2014 0 00	1,285	797	488			LONG
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/14/2014 0 00	449	486	(37)			LONG
CQOF8009002	INVESTORS CAPITAL HOLDINGS LTD	6/16/2014 0 00	7/14/2014 0 00	16,291	16,000	291			SHORT
CQOF8009002	SOUTHSIDE BANCSHARES INC	6/2/2014 0 00	7/14/2014 0 00	2,203	1,963	241			SHORT
CQOF8009002	SOUTHSIDE BANCSHARES INC	4/29/2014 0 00	7/14/2014 0 00	2,319	2,232	87			SHORT
CQOF8009002	SOUTHSIDE BANCSHARES INC	4/30/2014 0 00	7/14/2014 0 00	3,218	3,048	170			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	7/10/2013 0 00	7/15/2014 0 00	138	127	11			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/25/2013 0 00	7/15/2014 0 00	601	563	38			LONG
CQOF8009002	ASHFORD HOSPITALITY TRUST	6/17/2014 0 00	7/15/2014 0 00	6,018	5,387	631			SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	6/2/2014 0 00	7/15/2014 0 00	340	305	35			SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	6/3/2014 0 00	7/15/2014 0 00	2,370	2,123	246			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/13/2013 0 00	7/15/2014 0 00	579	1,097	(517)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/16/2013 0 00	7/15/2014 0 00	422	799	(377)			SHORT
CQOF8009002	AVIAT NETWORKS INC	1/3/2014 0 00	7/15/2014 0 00	114	223	(110)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/31/2013 0 00	7/15/2014 0 00	172	331	(159)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/15/2014 0 00	470	519	(50)			LONG
CQOF8009002	RCS CAPITAL CORP	6/9/2014 0 00	7/15/2014 0 00	5,236	5,048	187			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	7/10/2013 0 00	7/16/2014 0 00	976	907	69			LONG
CQOF8009002	AVIAT NETWORKS INC	12/16/2013 0 00	7/16/2014 0 00	218	393	(175)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/17/2013 0 00	7/16/2014 0 00	459	826	(367)			SHORT
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/16/2014 0 00	636	711	(75)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/17/2014 0 00	291	332	(41)			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	7/9/2013 0 00	7/21/2014 0 00	219	190	29			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	7/10/2013 0 00	7/21/2014 0 00	941	828	113			LONG
CQOF8009002	ITERIS INC	10/25/2013 0 00	7/21/2014 0 00	549	677	(128)			SHORT
CQOF8009002	ITERIS INC	8/29/2013 0 00	7/21/2014 0 00	1,010	1,096	(86)			SHORT
CQOF8009002	ITERIS INC	7/12/2013 0 00	7/21/2014 0 00	498	541	(42)			LONG
CQOF8009002	UNISYS CORP	5/8/2013 0 00	7/21/2014 0 00	5,735	4,440	1,296			LONG
CQOF8009002	HANCOCK FABRICS INC	4/2/2013 0 00	7/22/2014 0 00	624	483	141			LONG
CQOF8009002	HANCOCK FABRICS INC	6/20/2011 0 00	7/22/2014 0 00	11	13	(2)			LONG
CQOF8009002	HANCOCK FABRICS INC	6/13/2013 0 00	7/22/2014 0 00	121	134	(13)			LONG
CQOF8009002	UNISYS CORP	10/26/2012 0 00	7/22/2014 0 00	5,452	3,828	1,624			LONG
CQOF8009002	UNISYS CORP	5/8/2013 0 00	7/22/2014 0 00	7,693	5,788	1,904			LONG
CQOF8009002	UNISYS CORP	5/13/2013 0 00	7/22/2014 0 00	1,319	966	353			LONG
CQOF8009002	CALLAWAY GOLF CO COM	3/28/2013 0 00	7/23/2014 0 00	4,517	3,414	1,103			LONG
CQOF8009002	CALLAWAY GOLF CO COM	2/14/2014 0 00	7/23/2014 0 00	2,461	2,269	192			SHORT
CQOF8009002	CALLIDUS SOFTWARE INC	8/23/2012 0 00	7/23/2014 0 00	1,042	376	667			LONG
CQOF8009002	CYTRX CORP	2/27/2014 0 00	7/23/2014 0 00	1,030	1,790	(760)			SHORT
CQOF8009002	HANCOCK FABRICS INC	4/2/2013 0 00	7/23/2014 0 00	793	615	178			LONG
CQOF8009002	ITERIS INC	8/30/2013 0 00	7/23/2014 0 00	210	226	(16)			SHORT
CQOF8009002	ITERIS INC	8/29/2013 0 00	7/23/2014 0 00	607	653	(47)			SHORT
CQOF8009002	CALLAWAY GOLF CO COM	3/28/2013 0 00	7/24/2014 0 00	2,133	1,641	493			LONG
CQOF8009002	CALLIDUS SOFTWARE INC	8/23/2012 0 00	7/24/2014 0 00	1,651	608	1,043			LONG
CQOF8009002	CYTRX CORP	2/27/2014 0 00	7/24/2014 0 00	881	1,544	(663)			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	3/15/2013 0 00	7/24/2014 0 00	622	994	(373)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	4/1/2013 0 00	7/24/2014 0 00	2,100	3,529	(1,429)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	4/2/2013 0 00	7/24/2014 0 00	2,359	3,920	(1,561)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	3/27/2013 0 00	7/25/2014 0 00	382	601	(219)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	3/28/2013 0 00	7/25/2014 0 00	150	237	(87)			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	3/15/2013 0 00	7/25/2014 0 00	1,341	2,143	(803)			LONG
CQOF8009002	TOWER INTERNATIONAL INC	10/30/2013 0 00	7/25/2014 0 00	13,098	8,237	4,861			SHORT
CQOF8009002	LIBERTY TAX INC	3/22/2013 0 00	7/28/2014 0 00	6,488	2,931	3,557			LONG
CQOF8009002	KVH INDS INC	1/17/2012 0 00	7/30/2014 0 00	975	659	316			LONG
CQOF8009002	CHARTER FINANCIAL CORP	4/23/2014 0 00	7/31/2014 0 00	4,099	4,095	3			SHORT
CQOF8009002	ASHFORD HOSPITALITY TRUST	6/17/2014 0 00	8/1/2014 0 00	2,503	2,205	298			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/23/2014 0 00	8/1/2014 0 00	905	909	(4)			SHORT
CQOF8009002	SHOE CARNIVAL INC	3/24/2014 0 00	8/1/2014 0 00	609	825	(216)			SHORT
CQOF8009002	SHOE CARNIVAL INC	3/25/2014 0 00	8/1/2014 0 00	4,526	6,094	(1,568)			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/23/2014 0 00	8/4/2014 0 00	1,977	1,993	(16)			SHORT
CQOF8009002	SHOE CARNIVAL INC	3/10/2014 0 00	8/4/2014 0 00	1,591	2,130	(539)			SHORT
CQOF8009002	SHOE CARNIVAL INC	1/28/2013 0 00	8/4/2014 0 00	857	1,031	(175)			LONG
CQOF8009002	SHOE CARNIVAL INC	3/25/2014 0 00	8/4/2014 0 00	5,296	7,102	(1,805)			SHORT
CQOF8009002	SHOE CARNIVAL INC	3/9/2014 0 00	8/4/2014 0 00	1,433	1,909	(476)			SHORT
CQOF8009002	PANTRY INC	1/9/2014 0 00	8/5/2014 0 00	135	104	31			SHORT
CQOF8009002	PANTRY INC	1/8/2014 0 00	8/5/2014 0 00	2,024	1,558	467			SHORT
CQOF8009002	PANTRY INC	1/12/2014 0 00	8/5/2014 0 00	752	581	171			SHORT
CQOF8009002	SHOE CARNIVAL INC	1/28/2013 0 00	8/5/2014 0 00	4,254	5,051	(797)			LONG
CQOF8009002	SHOE CARNIVAL INC	2/12/2013 0 00	8/5/2014 0 00	975	1,132	(157)			LONG
CQOF8009002	SOUTHSIDE BANCSHARES INC	6/2/2014 0 00	8/5/2014 0 00	547	491	56			SHORT
CQOF8009002	SOUTHSIDE BANCSHARES INC	6/3/2014 0 00	8/5/2014 0 00	3,398	3,035	363			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	8/6/2014 0 00	500	492	7			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/23/2014 0 00	8/6/2014 0 00	1,662	1,675	(13)			SHORT
CQOF8009002	FIRST CMNTY BANCSHARES INC NEV	3/28/2013 0 00	8/6/2014 0 00	4,090	4,524	(434)			LONG
CQOF8009002	PANTRY INC	1/8/2014 0 00	8/6/2014 0 00	627	490	138			SHORT
CQOF8009002	PANTRY INC	3/30/2011 0 00	8/6/2014 0 00	2,984	2,314	670			LONG
CQOF8009002	CALLAWAY GOLF CO COM	3/28/2013 0 00	8/7/2014 0 00	1,690	1,415	276			LONG
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	8/7/2014 0 00	2,665	2,622	43			SHORT
CQOF8009002	OMEGA PROTEIN CORP	11/8/2013 0 00	8/7/2014 0 00	290	253	38			SHORT
CQOF8009002	OMEGA PROTEIN CORP	1/10/2014 0 00	8/7/2014 0 00	568	529	38			SHORT
CQOF8009002	OMEGA PROTEIN CORP	11/8/2013 0 00	8/7/2014 0 00	2,189	2,009	179			SHORT
CQOF8009002	OMEGA PROTEIN CORP	11/7/2013 0 00	8/7/2014 0 00	2,189	1,995	194			SHORT
CQOF8009002	PANTRY INC	6/22/2012 0 00	8/7/2014 0 00	2,037	1,449	589			LONG
CQOF8009002	PANTRY INC	3/30/2011 0 00	8/7/2014 0 00	1,714	1,326	387			LONG
CQOF8009002	PANTRY INC	2/12/2014 0 00	8/7/2014 0 00	1,828	1,320	508			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	7/9/2013 0 00	8/8/2014 0 00	1,994	2,117	(123)			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	8/30/2013 0 00	8/8/2014 0 00	594	629	(35)			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	8/29/2013 0 00	8/8/2014 0 00	1,396	1,477	(82)			SHORT
CQOF8009002	ALEXZA PHARMACEUTICALS INC	1/31/2013 0 00	8/8/2014 0 00	643	643	(0)			LONG
CQOF8009002	ALEXZA PHARMACEUTICALS INC	2/5/2013 0 00	8/8/2014 0 00	1,449	1,448	0			LONG
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	8/8/2014 0 00	2,357	2,323	35			SHORT
CQOF8009002	JAMBA INC	4/21/2014 0 00	8/8/2014 0 00	3,685	3,103	582			SHORT
CQOF8009002	OMEGA PROTEIN CORP	11/7/2013 0 00	8/8/2014 0 00	849	774	75			SHORT
CQOF8009002	PANTRY INC	6/19/2012 0 00	8/8/2014 0 00	1,047	743	304			LONG
CQOF8009002	PANTRY INC	6/22/2012 0 00	8/8/2014 0 00	6,131	4,359	1,772			LONG
CQOF8009002	UNITED STATES ANTIMONY CORP	9/24/2013 0 00	8/8/2014 0 00	382	422	(40)			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/27/2013 0 00	8/8/2014 0 00	36	48	(13)			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	12/30/2013 0 00	8/8/2014 0 00	329	438	(109)			SHORT
CQOF8009002	LIBERTY TAX INC	3/22/2013 0 00	8/11/2014 0 00	6,165	2,728	3,437			LONG
CQOF8009002	OMEGA PROTEIN CORP	10/11/2012 0 00	8/11/2014 0 00	12	7	5			LONG
CQOF8009002	OMEGA PROTEIN CORP	5/31/2012 0 00	8/11/2014 0 00	347	192	155			LONG
CQOF8009002	OMEGA PROTEIN CORP	11/7/2013 0 00	8/11/2014 0 00	2,153	1,962	191			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	9/24/2013 0 00	8/11/2014 0 00	154	168	(15)			SHORT
CQOF8009002	UNITED STATES ANTIMONY CORP	9/24/2013 0 00	8/12/2014 0 00	583	659	(76)			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	8/13/2014 0 00	1,692	1,638	55			SHORT
CQOF8009002	ITERIS INC	8/30/2013 0 00	8/13/2014 0 00	17	20	(3)			SHORT
CQOF8009002	ITERIS INC	7/10/2013 0 00	8/13/2014 0 00	661	758	(97)			LONG
CQOF8009002	ITERIS INC	9/3/2013 0 00	8/13/2014 0 00	105	122	(17)			SHORT
CQOF8009002	ITERIS INC	7/9/2013 0 00	8/13/2014 0 00	353	405	(52)			LONG
CQOF8009002	QUANEX BLDG PRODS CORP	10/25/2013 0 00	8/13/2014 0 00	4,498	4,638	(140)			SHORT
CQOF8009002	QUANEX BLDG PRODS CORP	7/23/2013 0 00	8/13/2014 0 00	282	274	9			LONG
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	8/14/2014 0 00	1,482	1,434	48			SHORT
CQOF8009002	BEBE STORES INC	10/22/2013 0 00	8/15/2014 0 00	1,655	3,986	(2,330)			SHORT
CQOF8009002	BEBE STORES INC	11/14/2013 0 00	8/15/2014 0 00	1,168	2,418	(1,250)			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	8/15/2014 0 00	830	803	27			SHORT
CQOF8009002	COHU INC COM	3/6/2014 0 00	8/15/2014 0 00	312	265	47			SHORT
CQOF8009002	QUANEX BLDG PRODS CORP	3/25/2013 0 00	8/15/2014 0 00	213	193	20			LONG
CQOF8009002	QUANEX BLDG PRODS CORP	7/23/2013 0 00	8/15/2014 0 00	3,241	3,129	112			LONG
CQOF8009002	BEBE STORES INC	11/17/2013 0 00	8/18/2014 0 00	195	443	(248)			SHORT
CQOF8009002	BEBE STORES INC	11/13/2013 0 00	8/18/2014 0 00	291	650	(359)			SHORT
CQOF8009002	BEBE STORES INC	11/14/2013 0 00	8/18/2014 0 00	1,152	2,418	(1,266)			SHORT
CQOF8009002	BEBE STORES INC	9/6/2013 0 00	8/18/2014 0 00	224	452	(227)			SHORT
CQOF8009002	BEBE STORES INC	12/11/2013 0 00	8/18/2014 0 00	628	1,217	(589)			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	8/18/2014 0 00	762	739	23			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT				SALES		GAIN/LOSS	FED WASH	NET		
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	SALE DISALLOWED	GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT	
CQOF8009002	JOES JEANS INC	12/30/2013 0 00	8/18/2014 0 00	67	72	(5)			SHORT	
CQOF8009002	JOES JEANS INC	12/27/2013 0 00	8/18/2014 0 00	787	844	(57)			SHORT	
CQOF8009002	JOES JEANS INC	11/25/2013 0 00	8/18/2014 0 00	598	641	(43)			SHORT	
CQOF8009002	MANNING & NAPIER INC	8/2/2013 0 00	8/18/2014 0 00	5,905	5,938	(32)			LONG	
CQOF8009002	SL INDS INC	4/2/2014 0 00	8/18/2014 0 00	1,853	1,072	781			SHORT	
CQOF8009002	PDI INC	12/2/2011 0 00	8/19/2014 0 00	109	228	(118)			LONG	
CQOF8009002	PDI INC	11/30/2011 0 00	8/19/2014 0 00	167	348	(181)			LONG	
CQOF8009002	SL INDS INC	4/2/2014 0 00	8/19/2014 0 00	776	449	327			SHORT	
CQOF8009002	WESTBURY BANCORP INC	6/10/2014 0 00	8/19/2014 0 00	347	335	12			SHORT	
CQOF8009002	WESTBURY BANCORP INC	6/26/2014 0 00	8/19/2014 0 00	422	424	(1)			SHORT	
CQOF8009002	WESTBURY BANCORP INC	6/27/2014 0 00	8/19/2014 0 00	694	696	(2)			SHORT	
CQOF8009002	COMSTOCK RES INC	9/4/2013 0 00	8/20/2014 0 00	5,232	3,126	2,106			SHORT	
CQOF8009002	MANNING & NAPIER INC	8/2/2013 0 00	8/20/2014 0 00	1,554	1,569	(15)			LONG	
CQOF8009002	MANNING & NAPIER INC	8/7/2013 0 00	8/20/2014 0 00	989	994	(5)			LONG	
CQOF8009002	PDI INC	9/3/2013 0 00	8/20/2014 0 00	12	21	(9)			SHORT	
CQOF8009002	PDI INC	12/2/2011 0 00	8/20/2014 0 00	236	499	(263)			LONG	
CQOF8009002	PDI INC	12/28/2011 0 00	8/20/2014 0 00	1,972	3,625	(1,652)			LONG	
CQOF8009002	PDI INC	11/29/2011 0 00	8/20/2014 0 00	75	158	(83)			LONG	
CQOF8009002	PETROQUEST ENERGY INC	10/17/2013 0 00	8/20/2014 0 00	1,867	1,412	455			SHORT	
CQOF8009002	PETROQUEST ENERGY INC	11/7/2013 0 00	8/20/2014 0 00	422	288	134			SHORT	
CQOF8009002	SL INDS INC	4/2/2014 0 00	8/20/2014 0 00	172	100	73			SHORT	
CQOF8009002	CLIFTON BANCORP INC	5/8/2014 0 00	8/21/2014 0 00	613	568	45			SHORT	
CQOF8009002	CLIFTON BANCORP INC	5/7/2014 0 00	8/21/2014 0 00	2,313	2,140	173			SHORT	
CQOF8009002	COMSTOCK RES INC	9/4/2013 0 00	8/21/2014 0 00	3,984	2,366	1,618			SHORT	
CQOF8009002	MANNING & NAPIER INC	8/9/2013 0 00	8/21/2014 0 00	1,113	1,099	13			LONG	
CQOF8009002	MANNING & NAPIER INC	8/7/2013 0 00	8/21/2014 0 00	2,351	2,325	25			LONG	
CQOF8009002	PETROQUEST ENERGY INC	11/7/2013 0 00	8/21/2014 0 00	2,704	1,822	882			SHORT	
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	11/14/2013 0 00	8/21/2014 0 00	664	829	(165)			SHORT	
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	1/15/2014 0 00	8/21/2014 0 00	1,306	1,602	(296)			SHORT	
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	5/7/2013 0 00	8/21/2014 0 00	1,843	2,134	(291)			LONG	
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	5/31/2013 0 00	8/21/2014 0 00	3,753	4,184	(431)			LONG	
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	5/16/2013 0 00	8/21/2014 0 00	2,462	2,674	(212)	159	(53)	LONG	
CQOF8009002	SPECTRUM PHARMACEUTICALS INC	5/16/2013 0 00	8/21/2014 0 00	427	462	(34)			LONG	
CQOF8009002	ALON USA ENERGY INC	3/31/2014 0 00	8/22/2014 0 00	309	275	34			SHORT	
CQOF8009002	ALON USA ENERGY INC	8/29/2013 0 00	8/22/2014 0 00	2,475	1,915	560			SHORT	
CQOF8009002	ALON USA ENERGY INC	8/30/2013 0 00	8/22/2014 0 00	1,058	810	248			SHORT	
CQOF8009002	COHU INC COM	3/6/2014 0 00	8/22/2014 0 00	437	372	65			SHORT	
CQOF8009002	EPIQ SYS INC	3/6/2014 0 00	8/22/2014 0 00	118	114	4			SHORT	
CQOF8009002	EPIQ SYS INC	9/20/2013 0 00	8/22/2014 0 00	2,576	2,325	251			SHORT	
CQOF8009002	OMEGA PROTEIN CORP	5/31/2012 0 00	8/22/2014 0 00	2,101	971	1,129			LONG	
CQOF8009002	OMEGA PROTEIN CORP	6/1/2012 0 00	8/22/2014 0 00	1,358	624	734			LONG	
CQOF8009002	SL INDS INC	4/2/2014 0 00	8/22/2014 0 00	301	175	127			SHORT	
CQOF8009002	COHU INC COM	3/6/2014 0 00	8/25/2014 0 00	812	690	122			SHORT	
CQOF8009002	COMSTOCK RES INC	9/4/2013 0 00	8/25/2014 0 00	4,750	2,753	1,997			SHORT	
CQOF8009002	OMEGA PROTEIN CORP	6/1/2012 0 00	8/25/2014 0 00	2,401	1,071	1,330			LONG	
CQOF8009002	OMEGA PROTEIN CORP	5/17/2012 0 00	8/25/2014 0 00	1,134	503	631			LONG	
CQOF8009002	SL INDS INC	4/2/2014 0 00	8/25/2014 0 00	2,924	1,695	1,229			SHORT	
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	8/26/2014 0 00	447	102	345			LONG	
CQOF8009002	ASTRONICS CORP	6/30/2014 0 00	8/26/2014 0 00	4,721	4,178	543			SHORT	
CQOF8009002	HERITAGE FINL GROUP INC COM	10/29/2013 0 00	8/26/2014 0 00	1,877	1,559	318			SHORT	
CQOF8009002	HERITAGE FINL GROUP INC COM	10/31/2013 0 00	8/26/2014 0 00	3,465	2,876	589			SHORT	
CQOF8009002	SL INDS INC	4/2/2014 0 00	8/26/2014 0 00	2,180	1,222	959			SHORT	
CQOF8009002	K12 INC	11/7/2013 0 00	8/27/2014 0 00	2,819	2,846	(27)			SHORT	
CQOF8009002	K12 INC	10/25/2013 0 00	8/27/2014 0 00	2,838	2,802	36			SHORT	
CQOF8009002	K12 INC	10/21/2013 0 00	8/27/2014 0 00	3,371	3,300	71			SHORT	
CQOF8009002	PANTRY INC	6/19/2012 0 00	8/27/2014 0 00	1,360	865	495			LONG	
CQOF8009002	SL INDS INC	4/2/2014 0 00	8/27/2014 0 00	4,077	2,269	1,808			SHORT	
CQOF8009002	K12 INC	10/21/2013 0 00	8/28/2014 0 00	2,734	2,703	30			SHORT	
CQOF8009002	OMEGA PROTEIN CORP	5/17/2012 0 00	8/28/2014 0 00	3,318	1,437	1,882			LONG	
CQOF8009002	OMEGA PROTEIN CORP	5/22/2012 0 00	8/28/2014 0 00	1,056	457	599			LONG	
CQOF8009002	PANTRY INC	6/19/2012 0 00	8/29/2014 0 00	1,039	662	376			LONG	
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	9/2/2014 0 00	2,499	552	1,947			LONG	
CQOF8009002	KITE REALTY GROUP TRUST	7/23/2014 0 00	9/2/2014 0 00	13	12	0			SHORT	
CQOF8009002	OMEGA PROTEIN CORP	5/22/2012 0 00	9/2/2014 0 00	319	137	182			LONG	
CQOF8009002	ALLIANCE ONE INTL INC	7/11/2014 0 00	9/3/2014 0 00	305	358	(53)	53	-	SHORT	
CQOF8009002	GENIE ENERGY LTD-B	7/7/2014 0 00	9/3/2014 0 00	1,944	1,936	9			SHORT	
CQOF8009002	GENIE ENERGY LTD-B	5/29/2014 0 00	9/3/2014 0 00	923	905	18			SHORT	
CQOF8009002	GENIE ENERGY LTD-B	7/1/2014 0 00	9/3/2014 0 00	1,733	1,782	(49)			SHORT	
CQOF8009002	GENIE ENERGY LTD-B	3/19/2014 0 00	9/3/2014 0 00	764	1,003	(239)			SHORT	
CQOF8009002	GENIE ENERGY LTD-B	3/26/2014 0 00	9/3/2014 0 00	1,218	1,632	(414)			SHORT	
CQOF8009002	GENIE ENERGY LTD-B	3/18/2014 0 00	9/3/2014 0 00	295	395	(100)			SHORT	
CQOF8009002	ALLIANCE ONE INTL INC	6/13/2014 0 00	9/4/2014 0 00	735	927	(192)	149	(43)	SHORT	
CQOF8009002	ALLIANCE ONE INTL INC	6/14/2014 0 00	9/4/2014 0 00	298	369	(71)			SHORT	
CQOF8009002	ALLIANCE ONE INTL INC	7/11/2014 0 00	9/4/2014 0 00	326	391	(66)			SHORT	

THE KELVIN AND ELEANOR SMITH FOUNDATION
EIN 34-6555349
FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE	NET GAIN/LOSS WITH LOSS	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8009002	ALLIANCE ONE INTL INC	7/11/2014 0 00	9/5/2014 0 00	400	492	(92)			SHORT
CQOF8009002	ALLIANCE ONE INTL INC	8/7/2014 0 00	9/5/2014 0 00	6	7	(1)			SHORT
CQOF8009002	GLOBAL CASH ACCESS HLDGS INC	3/1/2011 0 00	9/8/2014 0 00	8,315	3,856	4,459			LONG
CQOF8009002	MEDIFAST INC	6/17/2014 0 00	9/8/2014 0 00	1,540	1,508	33			SHORT
CQOF8009002	MULTIMEDIA GAMES INC	11/25/2013 0 00	9/8/2014 0 00	5,129	4,116	1,014			SHORT
CQOF8009002	MULTIMEDIA GAMES INC	3/31/2014 0 00	9/8/2014 0 00	825	613	212			SHORT
CQOF8009002	MULTIMEDIA GAMES INC	2/19/2014 0 00	9/8/2014 0 00	5,237	4,328	909			SHORT
CQOF8009002	MULTIMEDIA GAMES INC	11/21/2013 0 00	9/8/2014 0 00	5,165	4,259	906			SHORT
CQOF8009002	REMY INTERNATIONAL INC	7/1/2013 0 00	9/8/2014 0 00	9,494	8,215	1,279			LONG
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	9/9/2014 0 00	1,773	387	1,385			LONG
CQOF8009002	K12 INC	10/16/2013 0 00	9/10/2014 0 00	3,656	3,901	(246)			SHORT
CQOF8009002	K12 INC	10/21/2013 0 00	9/10/2014 0 00	104	112	(7)			SHORT
CQOF8009002	K12 INC	10/10/2013 0 00	9/10/2014 0 00	574	594	(20)			SHORT
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	9/11/2014 0 00	2,213	484	1,729			LONG
CQOF8009002	WATERSTONE FINANCIAL INC	6/10/2014 0 00	9/11/2014 0 00	615	558	57			SHORT
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	9/12/2014 0 00	4,012	884	3,128			LONG
CQOF8009002	WATERSTONE FINANCIAL INC	6/12/2014 0 00	9/12/2014 0 00	300	274	27			SHORT
CQOF8009002	WATERSTONE FINANCIAL INC	6/10/2014 0 00	9/12/2014 0 00	120	109	11			SHORT
CQOF8009002	WATERSTONE FINANCIAL INC	6/12/2014 0 00	9/15/2014 0 00	180	164	16			SHORT
CQOF8009002	MEDIFAST INC	6/17/2014 0 00	9/16/2014 0 00	3,385	3,461	(76)	76		SHORT
CQOF8009002	WATERSTONE FINANCIAL INC	6/12/2014 0 00	9/16/2014 0 00	204	186	18			SHORT
CQOF8009002	EPIQ SYS INC	9/18/2013 0 00	9/17/2014 0 00	2,050	1,637	413			SHORT
CQOF8009002	EPIQ SYS INC	3/13/2014 0 00	9/17/2014 0 00	1,090	959	131			SHORT
CQOF8009002	EPIQ SYS INC	9/20/2013 0 00	9/17/2014 0 00	1,838	1,501	337			SHORT
CQOF8009002	EPIQ SYS INC	9/19/2013 0 00	9/17/2014 0 00	2,684	2,151	533			SHORT
CQOF8009002	MEDIFAST INC	4/22/2014 0 00	9/17/2014 0 00	3,493	3,405	88			SHORT
CQOF8009002	MEDIFAST INC	6/17/2014 0 00	9/17/2014 0 00	3,963	4,044	(81)	81	0	SHORT
CQOF8009002	PANTRY INC	6/19/2012 0 00	9/17/2014 0 00	2,162	1,379	783			LONG
CQOF8009002	WATERSTONE FINANCIAL INC	6/11/2014 0 00	9/17/2014 0 00	909	831	78			SHORT
CQOF8009002	WATERSTONE FINANCIAL INC	6/12/2014 0 00	9/17/2014 0 00	215	197	18			SHORT
CQOF8009002	CIBER INC COM STK USDO 01	3/6/2014 0 00	9/18/2014 0 00	1,748	2,263	(515)			SHORT
CQOF8009002	EPIQ SYS INC	9/18/2013 0 00	9/18/2014 0 00	1,051	793	258			SHORT
CQOF8009002	EPIQ SYS INC	9/16/2013 0 00	9/18/2014 0 00	5,531	4,117	1,414			LONG
CQOF8009002	PANTRY INC	6/19/2012 0 00	9/18/2014 0 00	3,935	2,528	1,407			LONG
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	9/19/2014 0 00	6,000	1,271	4,729			LONG
CQOF8009002	MARCHEX INC	3/27/2014 0 00	9/19/2014 0 00	1,456	3,833	(2,377)			SHORT
CQOF8009002	OMNOVA SOLUTIONS INC	10/30/2013 0 00	9/19/2014 0 00	175	205	(29)			SHORT
CQOF8009002	OMNOVA SOLUTIONS INC	10/22/2013 0 00	9/19/2014 0 00	2,058	2,370	(312)			SHORT
CQOF8009002	PANTRY INC	6/19/2012 0 00	9/19/2014 0 00	1,973	1,271	702			LONG
CQOF8009002	PANTRY INC	2/12/2013 0 00	9/19/2014 0 00	1,301	777	524			LONG
CQOF8009002	PLANET PAYMENT INC	10/4/2013 0 00	9/19/2014 0 00	199	243	(44)			SHORT
CQOF8009002	PLANET PAYMENT INC	10/7/2013 0 00	9/19/2014 0 00	39	48	(9)			SHORT
CQOF8009002	EPIQ SYS INC	9/16/2013 0 00	9/22/2014 0 00	3,499	2,450	1,049			LONG
CQOF8009002	MARCHEX INC	3/27/2014 0 00	9/22/2014 0 00	438	1,155	(717)			SHORT
CQOF8009002	OMNOVA SOLUTIONS INC	10/22/2013 0 00	9/22/2014 0 00	542	641	(99)			SHORT
CQOF8009002	PDI INC	9/3/2013 0 00	9/22/2014 0 00	436	927	(490)			LONG
CQOF8009002	PLANET PAYMENT INC	10/8/2013 0 00	9/22/2014 0 00	82	101	(19)			SHORT
CQOF8009002	PLANET PAYMENT INC	10/4/2013 0 00	9/22/2014 0 00	50	61	(12)			SHORT
CQOF8009002	COMFORT SYS USA INC	12/20/2013 0 00	9/23/2014 0 00	4,698	6,187	(1,489)			SHORT
CQOF8009002	COMFORT SYS USA INC	3/3/2014 0 00	9/23/2014 0 00	199	225	(26)			SHORT
CQOF8009002	COMFORT SYS USA INC	4/22/2013 0 00	9/23/2014 0 00	2,044	1,780	264			LONG
CQOF8009002	COMMERCIAL VEH GROUP INC	3/13/2014 0 00	9/23/2014 0 00	808	1,139	(331)			SHORT
CQOF8009002	COMMERCIAL VEH GROUP INC	3/10/2014 0 00	9/23/2014 0 00	1,149	1,679	(531)			SHORT
CQOF8009002	GIBRALTAR INDS INC	3/26/2013 0 00	9/23/2014 0 00	259	332	(73)			LONG
CQOF8009002	GIBRALTAR INDS INC	3/22/2013 0 00	9/23/2014 0 00	3,917	4,914	(997)			LONG
CQOF8009002	GIBRALTAR INDS INC	3/14/2013 0 00	9/23/2014 0 00	101	126	(25)			LONG
CQOF8009002	OMNOVA SOLUTIONS INC	10/22/2013 0 00	9/23/2014 0 00	3,419	4,170	(750)			SHORT
CQOF8009002	COMFORT SYS USA INC	4/22/2013 0 00	9/24/2014 0 00	3,941	3,485	456			LONG
CQOF8009002	COMMERCIAL VEH GROUP INC	3/13/2014 0 00	9/24/2014 0 00	1,706	2,389	(683)			SHORT
CQOF8009002	OMEGA PROTEIN CORP	5/16/2012 0 00	9/24/2014 0 00	2,378	1,195	1,183			LONG
CQOF8009002	OMEGA PROTEIN CORP	5/22/2012 0 00	9/24/2014 0 00	104	52	52			LONG
CQOF8009002	OMNOVA SOLUTIONS INC	10/18/2013 0 00	9/24/2014 0 00	1,933	2,416	(483)			SHORT
CQOF8009002	OMNOVA SOLUTIONS INC	10/22/2013 0 00	9/24/2014 0 00	49	61	(12)			SHORT
CQOF8009002	PLANET PAYMENT INC	10/8/2013 0 00	9/24/2014 0 00	162	216	(55)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/16/2013 0 00	9/25/2014 0 00	316	421	(105)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/13/2013 0 00	9/25/2014 0 00	967	1,286	(319)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/17/2013 0 00	9/25/2014 0 00	1,415	1,858	(444)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/17/2013 0 00	9/26/2014 0 00	125	160	(35)			SHORT
CQOF8009002	AVIAT NETWORKS INC	12/19/2013 0 00	9/26/2014 0 00	2,067	2,614	(547)			SHORT
CQOF8009002	S & W SEED CO	8/7/2014 0 00	9/30/2014 0 00	778	1,156	(377)			SHORT
CQOF8009002	S & W SEED CO	2/18/2014 0 00	9/30/2014 0 00	1,142	1,722	(580)			SHORT
CQOF8009002	S & W SEED CO	5/17/2014 0 00	9/30/2014 0 00	1,070	1,674	(603)			SHORT
CQOF8009002	S & W SEED CO	8/8/2014 0 00	9/30/2014 0 00	330	490	(160)			SHORT
CQOF8009002	S & W SEED CO	8/11/2014 0 00	9/30/2014 0 00	1,125	1,670	(545)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	S & W SEED CO	4/28/2014 0 00	9/30/2014 0 00	161	281	(121)			SHORT
CQOF8009002	S & W SEED CO	2/10/2012 0 00	9/30/2014 0 00	1,409	1,741	(333)			LONG
CQOF8009002	SIMPLICITY BANCORP	7/22/2013 0 00	9/30/2014 0 00	743	650	93			LONG
CQOF8009002	SIMPLICITY BANCORP	7/16/2013 0 00	9/30/2014 0 00	2,820	2,449	371			LONG
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	10/1/2014 0 00	6,501	1,731	4,770			LONG
CQOF8009002	ASTRONICS CORP CL B	3/1/2011 0 00	10/1/2014 0 00	20	5	15			LONG
CQOF8009002	COHU INC COM	3/6/2014 0 00	10/1/2014 0 00	708	637	71			SHORT
CQOF8009002	COHU INC COM	4/14/2014 0 00	10/1/2014 0 00	1,051	935	115			SHORT
CQOF8009002	COHU INC COM	5/2/2014 0 00	10/1/2014 0 00	9,431	8,442	990			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	3/27/2013 0 00	10/1/2014 0 00	633	1,054	(421)			LONG
CQOF8009002	MARCHEX INC	11/22/2013 0 00	10/1/2014 0 00	217	497	(280)			SHORT
CQOF8009002	MARCHEX INC	3/27/2014 0 00	10/1/2014 0 00	1,073	2,751	(1,678)			SHORT
CQOF8009002	MARCHEX INC	11/15/2013 0 00	10/1/2014 0 00	553	1,246	(693)			SHORT
CQOF8009002	MEDICAL ACTION INDS INC	3/28/2014 0 00	10/1/2014 0 00	5,023	2,547	2,476			SHORT
CQOF8009002	S & W SEED CO	7/27/2012 0 00	10/1/2014 0 00	3,263	4,361	(1,099)			LONG
CQOF8009002	S & W SEED CO	2/10/2012 0 00	10/1/2014 0 00	124	173	(49)			LONG
CQOF8009002	S & W SEED CO	2/9/2012 0 00	10/1/2014 0 00	150	209	(58)			LONG
CQOF8009002	SIMPLICITY BANCORP	6/28/2013 0 00	10/1/2014 0 00	1,302	1,143	159			LONG
CQOF8009002	SIMPLICITY BANCORP	7/16/2013 0 00	10/1/2014 0 00	67	59	8			LONG
CQOF8009002	SIMPLICITY BANCORP	7/15/2013 0 00	10/1/2014 0 00	2,754	2,418	335			LONG
CQOF8009002	UNISYS CORP	10/26/2012 0 00	10/1/2014 0 00	4,825	3,653	1,172			LONG
CQOF8009002	ASTRONICS CORP CL B	3/1/2011 0 00	10/2/2014 0 00	1,189	316	873			LONG
CQOF8009002	COHU INC COM	3/4/2014 0 00	10/2/2014 0 00	4,546	4,047	499			SHORT
CQOF8009002	COHU INC COM	3/5/2014 0 00	10/2/2014 0 00	305	272	33			SHORT
CQOF8009002	COHU INC COM	4/14/2014 0 00	10/2/2014 0 00	822	736	87			SHORT
CQOF8009002	COHU INC COM	5/1/2014 0 00	10/2/2014 0 00	2,373	2,089	284			SHORT
CQOF8009002	COMFORT SYS USA INC	8/21/2012 0 00	10/2/2014 0 00	913	755	158			LONG
CQOF8009002	COMFORT SYS USA INC	4/22/2013 0 00	10/2/2014 0 00	2,241	2,064	177			LONG
CQOF8009002	COMMERCIAL VEH GROUP INC	3/13/2014 0 00	10/2/2014 0 00	214	300	(86)			SHORT
CQOF8009002	COMMERCIAL VEH GROUP INC	2/19/2014 0 00	10/2/2014 0 00	1,220	1,705	(485)			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	6/24/2013 0 00	10/2/2014 0 00	752	1,009	(257)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	3/27/2013 0 00	10/2/2014 0 00	306	517	(211)			LONG
CQOF8009002	GIBALTAR INDS INC	3/14/2013 0 00	10/2/2014 0 00	1,714	2,291	(577)			LONG
CQOF8009002	GIBALTAR INDS INC	5/14/2013 0 00	10/2/2014 0 00	823	1,055	(232)			LONG
CQOF8009002	SIMPLICITY BANCORP	6/28/2013 0 00	10/2/2014 0 00	3,067	2,711	356			LONG
CQOF8009002	SIMPLICITY BANCORP	3/1/2011 0 00	10/2/2014 0 00	4,725	3,831	894			LONG
CQOF8009002	PLANET PAYMENT INC	10/8/2013 0 00	10/2/2014 0 00	720	1,086	(366)			SHORT
CQOF8009002	PLANET PAYMENT INC	10/3/2013 0 00	10/2/2014 0 00	306	449	(143)			SHORT
CQOF8009002	PLANET PAYMENT INC	11/14/2013 0 00	10/2/2014 0 00	225	307	(83)			SHORT
CQOF8009002	ASTRONICS CORP CL B	3/1/2011 0 00	10/3/2014 0 00	1,738	461	1,276			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	2/13/2014 0 00	10/3/2014 0 00	268	261	7			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	6/24/2013 0 00	10/3/2014 0 00	70	97	(27)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	6/26/2013 0 00	10/3/2014 0 00	1,131	1,511	(380)			LONG
CQOF8009002	SIMPLICITY BANCORP	3/1/2011 0 00	10/3/2014 0 00	5,956	4,812	1,144			LONG
CQOF8009002	UNISYS CORP	1/7/2013 0 00	10/6/2014 0 00	9,353	7,404	1,949			LONG
CQOF8009002	UNISYS CORP	12/18/2012 0 00	10/6/2014 0 00	2,415	1,921	495			LONG
CQOF8009002	UNISYS CORP	10/26/2012 0 00	10/6/2014 0 00	2,327	1,853	475			LONG
CQOF8009002	CALLIDUS SOFTWARE INC	8/23/2012 0 00	10/7/2014 0 00	3,775	1,228	2,547			LONG
CQOF8009002	QUANEX BLDG PRODS CORP	3/25/2013 0 00	10/7/2014 0 00	3,277	3,007	270			LONG
CQOF8009002	CELADON GROUP INC	2/25/2014 0 00	10/8/2014 0 00	2,078	2,470	(392)			SHORT
CQOF8009002	CELADON GROUP INC	2/27/2014 0 00	10/8/2014 0 00	4,213	5,094	(881)			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	2/13/2014 0 00	10/8/2014 0 00	672	700	(27)			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	2/14/2014 0 00	10/8/2014 0 00	194	201	(8)			SHORT
CQOF8009002	EMERALD OIL INC	4/22/2014 0 00	10/8/2014 0 00	1,739	2,627	(889)			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	12/23/2013 0 00	10/8/2014 0 00	953	715	239			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	6/16/2014 0 00	10/8/2014 0 00	1,362	1,081	281			SHORT
CQOF8009002	POWELL INDUSTRIES INC	6/30/2014 0 00	10/8/2014 0 00	452	712	(261)			SHORT
CQOF8009002	POWELL INDUSTRIES INC	6/26/2014 0 00	10/8/2014 0 00	1,642	2,602	(959)			SHORT
CQOF8009002	POWELL INDUSTRIES INC	7/15/2014 0 00	10/8/2014 0 00	5,584	8,813	(3,230)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	3/13/2014 0 00	10/8/2014 0 00	1,999	3,025	(1,026)			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	2/14/2014 0 00	10/9/2014 0 00	448	466	(18)			SHORT
CQOF8009002	DESTINATION MATERNITY CORP CO	3/14/2013 0 00	10/9/2014 0 00	1,172	1,849	(677)			LONG
CQOF8009002	DESTINATION MATERNITY CORP CO	12/23/2013 0 00	10/9/2014 0 00	2,685	5,524	(2,839)			SHORT
CQOF8009002	DESTINATION MATERNITY CORP CO	1/2/2014 0 00	10/9/2014 0 00	593	1,194	(600)			SHORT
CQOF8009002	DESTINATION MATERNITY CORP CO	4/22/2013 0 00	10/9/2014 0 00	2,002	3,037	(1,035)			LONG
CQOF8009002	DESTINATION MATERNITY CORP CO	8/12/2014 0 00	10/9/2014 0 00	1,824	2,433	(608)			SHORT
CQOF8009002	ASTRONICS CORP CL B	3/1/2011 0 00	10/10/2014 0 00	2,832	782	2,050			LONG
CQOF8009002	ASTRONICS CORP CL B	3/17/2011 0 00	10/10/2014 0 00	1,302	336	966			LONG
CQOF8009002	CIRCOR INTL INC	5/31/2012 0 00	10/10/2014 0 00	8,149	4,154	3,995			LONG
CQOF8009002	CIRCOR INTL INC	6/19/2012 0 00	10/10/2014 0 00	1,642	834	808			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	9/23/2013 0 00	10/10/2014 0 00	199	167	32			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	9/27/2013 0 00	10/10/2014 0 00	268	273	(5)			LONG
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	2/14/2014 0 00	10/10/2014 0 00	52	55	(3)			SHORT
CQOF8009002	DAKOTA PLAINS HOLDINGS INC	9/24/2013 0 00	10/10/2014 0 00	2,171	1,823	347			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8009002	EMERALD OIL INC	4/22/2014 0 00	10/10/2014 0 00	961	1,699	(739)			SHORT
CQOF8009002	EMERALD OIL INC	4/22/2014 0 00	10/10/2014 0 00	1,030	1,706	(676)			SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	6/9/2013 0 00	10/10/2014 0 00	2,011	1,743	268			LONG
CQOF8009002	OMNIAMERICAN BANCORP INC	1/18/2013 0 00	10/10/2014 0 00	7,303	6,745	558			LONG
CQOF8009002	BARRETT BUSINESS SVCS INC	9/18/2014 0 00	10/13/2014 0 00	3,876	4,619	(743)			SHORT
CQOF8009002	CALLON PETE CO DEL	9/4/2014 0 00	10/13/2014 0 00	1,717	3,086	(1,369)			SHORT
CQOF8009002	CALLON PETE CO DEL	9/3/2014 0 00	10/13/2014 0 00	521	932	(411)			SHORT
CQOF8009002	CALLON PETE CO DEL	9/5/2014 0 00	10/13/2014 0 00	1,391	2,418	(1,027)			SHORT
CQOF8009002	CIRCOR INTL INC	6/19/2012 0 00	10/13/2014 0 00	2,924	1,508	1,416			LONG
CQOF8009002	COMMERCIAL VEH GROUP INC	1/9/2014 0 00	10/13/2014 0 00	1,066	1,460	(395)			SHORT
CQOF8009002	COMMERCIAL VEH GROUP INC	2/19/2014 0 00	10/13/2014 0 00	508	784	(276)			SHORT
CQOF8009002	EMERALD OIL INC	4/19/2014 0 00	10/13/2014 0 00	41	70	(30)			SHORT
CQOF8009002	EMERALD OIL INC	5/8/2014 0 00	10/13/2014 0 00	2,386	4,172	(1,786)			SHORT
CQOF8009002	EMERALD OIL INC	4/22/2014 0 00	10/13/2014 0 00	413	728	(315)			SHORT
CQOF8009002	OMEGA PROTEIN CORP	5/16/2012 0 00	10/13/2014 0 00	1,974	1,012	962			LONG
CQOF8009002	OMEGA PROTEIN CORP	5/15/2012 0 00	10/13/2014 0 00	1,031	529	503			LONG
CQOF8009002	OMNIAMERICAN BANCORP INC	6/9/2013 0 00	10/13/2014 0 00	2,679	2,317	362			LONG
CQOF8009002	PETROQUEST ENERGY INC	11/7/2013 0 00	10/13/2014 0 00	516	501	15			SHORT
CQOF8009002	PETROQUEST ENERGY INC	11/25/2013 0 00	10/13/2014 0 00	1,497	1,442	55			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	3/13/2014 0 00	10/13/2014 0 00	956	1,796	(840)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	2/11/2014 0 00	10/13/2014 0 00	411	738	(327)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	2/11/2014 0 00	10/13/2014 0 00	555	1,009	(454)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	3/18/2014 0 00	10/13/2014 0 00	1,706	3,102	(1,397)			SHORT
CQOF8009002	TRIANGLE PETROLEUM CORP	7/1/2014 0 00	10/13/2014 0 00	3,008	4,425	(1,417)			SHORT
CQOF8009002	TRIANGLE PETROLEUM CORP	6/30/2014 0 00	10/13/2014 0 00	1,432	2,109	(677)			SHORT
CQOF8009002	CALLON PETE CO DEL	9/12/2014 0 00	10/14/2014 0 00	1,806	3,143	(1,338)			SHORT
CQOF8009002	CALLON PETE CO DEL	9/17/2014 0 00	10/14/2014 0 00	1,518	2,617	(1,099)			SHORT
CQOF8009002	EMERALD OIL INC	6/9/2014 0 00	10/14/2014 0 00	2,231	4,031	(1,800)			SHORT
CQOF8009002	EMERALD OIL INC	4/19/2014 0 00	10/14/2014 0 00	619	1,185	(565)			SHORT
CQOF8009002	EMERALD OIL INC	4/28/2014 0 00	10/14/2014 0 00	1,407	2,653	(1,246)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	3/18/2014 0 00	10/14/2014 0 00	1,008	1,988	(980)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	4/1/2014 0 00	10/14/2014 0 00	1,103	2,065	(962)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	3/31/2014 0 00	10/14/2014 0 00	561	1,055	(494)			SHORT
CQOF8009002	RESOLUTE ENERGY CORP	8/14/2014 0 00	10/14/2014 0 00	1,523	2,897	(1,374)			SHORT
CQOF8009002	TRIANGLE PETROLEUM CORP	6/25/2014 0 00	10/14/2014 0 00	778	1,150	(372)			SHORT
CQOF8009002	TRIANGLE PETROLEUM CORP	7/1/2014 0 00	10/14/2014 0 00	710	1,106	(396)			SHORT
CQOF8009002	TRIANGLE PETROLEUM CORP	6/17/2014 0 00	10/14/2014 0 00	1,828	2,786	(958)			SHORT
CQOF8009002	HANDY & HARMAN LTD	9/26/2014 0 00	10/15/2014 0 00	1,383	1,144	240			SHORT
CQOF8009002	HANDY & HARMAN LTD	9/25/2014 0 00	10/15/2014 0 00	861	712	148			SHORT
CQOF8009002	PETROQUEST ENERGY INC	12/17/2013 0 00	10/15/2014 0 00	2,131	2,009	122			SHORT
CQOF8009002	PETROQUEST ENERGY INC	11/25/2013 0 00	10/15/2014 0 00	452	456	(4)			SHORT
CQOF8009002	PETROQUEST ENERGY INC	12/16/2013 0 00	10/15/2014 0 00	550	512	38			SHORT
CQOF8009002	PRIMO WATER CORP	3/18/2014 0 00	10/15/2014 0 00	419	393	26			SHORT
CQOF8009002	PRIMO WATER CORP	5/15/2014 0 00	10/15/2014 0 00	557	602	(46)			SHORT
CQOF8009002	PRIMO WATER CORP	3/12/2014 0 00	10/15/2014 0 00	37	35	2			SHORT
CQOF8009002	PRIMO WATER CORP	5/16/2014 0 00	10/15/2014 0 00	208	225	(17)			SHORT
CQOF8009002	PRIMO WATER CORP	5/19/2014 0 00	10/15/2014 0 00	212	229	(18)			SHORT
CQOF8009002	HANDY & HARMAN LTD	9/26/2014 0 00	10/16/2014 0 00	1,212	991	221			SHORT
CQOF8009002	KVH INDS INC	1/17/2012 0 00	10/16/2014 0 00	1,321	957	364			LONG
CQOF8009002	NATHANS FAMOUS INC NEW	12/23/2013 0 00	10/16/2014 0 00	2,883	2,144	739			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	1/23/2014 0 00	10/16/2014 0 00	892	663	229			SHORT
CQOF8009002	STEEL EXCEL INC	9/24/2014 0 00	10/16/2014 0 00	1,014	1,121	(108)			SHORT
CQOF8009002	STEEL EXCEL INC	5/13/2014 0 00	10/16/2014 0 00	58	64	(7)			SHORT
CQOF8009002	STEEL EXCEL INC	5/14/2014 0 00	10/16/2014 0 00	2,172	2,422	(250)			SHORT
CQOF8009002	STEEL EXCEL INC	3/8/2011 0 00	10/16/2014 0 00	712	741	(29)			LONG
CQOF8009002	STEEL EXCEL INC	3/8/2011 0 00	10/16/2014 0 00	3,169	3,299	(131)			LONG
CQOF8009002	STEEL EXCEL INC	3/13/2014 0 00	10/16/2014 0 00	1,622	1,668	(47)			SHORT
CQOF8009002	STEEL EXCEL INC	1/9/2014 0 00	10/16/2014 0 00	6,487	6,671	(184)			SHORT
CQOF8009002	STEEL EXCEL INC	4/6/2011 0 00	10/16/2014 0 00	550	593	(43)			LONG
CQOF8009002	VERSAR INC	10/14/2014 0 00	10/16/2014 0 00	2,863	1,852	1,011			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	1/23/2014 0 00	10/17/2014 0 00	688	510	178			SHORT
CQOF8009002	HANDY & HARMAN LTD	9/26/2014 0 00	10/20/2014 0 00	664	534	130			SHORT
CQOF8009002	HANDY & HARMAN LTD	11/27/2013 0 00	10/20/2014 0 00	632	459	173			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	1/23/2014 0 00	10/20/2014 0 00	2,061	1,529	532			SHORT
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	10/21/2014 0 00	4,208	1,138	3,070			LONG
CQOF8009002	CHARTER FINANCIAL CORP	4/13/2014 0 00	10/21/2014 0 00	3,067	3,007	59			SHORT
CQOF8009002	HANDY & HARMAN LTD	11/27/2013 0 00	10/21/2014 0 00	378	275	103			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	1/23/2014 0 00	10/21/2014 0 00	2,870	2,141	729			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	1/7/2014 0 00	10/21/2014 0 00	1,572	1,164	407			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	4/13/2014 0 00	10/22/2014 0 00	907	890	17			SHORT
CQOF8009002	HANDY & HARMAN LTD	11/27/2013 0 00	10/22/2014 0 00	2,382	1,743	639			SHORT
CQOF8009002	ASTRONICS CORP	3/1/2011 0 00	10/23/2014 0 00	3,472	932	2,539			LONG
CQOF8009002	CHARTER FINANCIAL CORP	4/13/2014 0 00	10/23/2014 0 00	1,145	1,118	26			SHORT
CQOF8009002	HANDY & HARMAN LTD	12/10/2013 0 00	10/23/2014 0 00	198	138	61			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
BNY MELLON									
CQOF8009002	HANDY & HARMAN LTD	11/27/2013 0 00	10/23/2014 0 00	2,972	2,064	908			SHORT
CQOF8009002	HANDY & HARMAN LTD	12/10/2013 0 00	10/23/2014 0 00	2,309	1,560	750			SHORT
CQOF8009002	UNITED FINL BANCORP INC	3/25/2014 0 00	10/23/2014 0 00	401	415	(14)			SHORT
CQOF8009002	CIBER INC COM STK USD0 01	3/6/2014 0 00	10/24/2014 0 00	260	403	(143)			SHORT
CQOF8009002	CIBER INC COM STK USD0 01	3/11/2014 0 00	10/24/2014 0 00	1,508	2,322	(814)			SHORT
CQOF8009002	COMPUTER TASK GROUP INC	9/4/2014 0 00	10/24/2014 0 00	1,611	2,451	(841)			SHORT
CQOF8009002	HANDY & HARMAN LTD	12/10/2013 0 00	10/24/2014 0 00	1,275	872	403			SHORT
CQOF8009002	UNITED FINL BANCORP INC	3/25/2014 0 00	10/24/2014 0 00	5,601	5,792	(191)			SHORT
CQOF8009002	CALLIDUS SOFTWARE INC	8/23/2012 0 00	10/27/2014 0 00	2,513	814	1,699			LONG
CQOF8009002	OMNIAMERICAN BANCORP INC	6/9/2013 0 00	10/27/2014 0 00	2,682	2,294	389			LONG
CQOF8009002	UNITED FINL BANCORP INC	3/25/2014 0 00	10/27/2014 0 00	3,141	3,262	(121)			SHORT
CQOF8009002	BARRETT BUSINESS SVCS INC	9/18/2014 0 00	10/28/2014 0 00	2,092	2,285	(193)			SHORT
CQOF8009002	CALLIDUS SOFTWARE INC	8/22/2012 0 00	10/28/2014 0 00	1,486	476	1,010			LONG
CQOF8009002	CALLIDUS SOFTWARE INC	8/23/2012 0 00	10/28/2014 0 00	2,170	696	1,474			LONG
CQOF8009002	CHARTER FINANCIAL CORP	4/13/2014 0 00	10/28/2014 0 00	324	315	9			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	10/28/2014 0 00	1,865	1,788	77			SHORT
CQOF8009002	CIBER INC COM STK USD0 01	3/11/2014 0 00	10/28/2014 0 00	568	882	(315)			SHORT
CQOF8009002	CIBER INC COM STK USD0 01	2/27/2014 0 00	10/28/2014 0 00	592	897	(305)			SHORT
CQOF8009002	CIBER INC COM STK USD0 01	2/12/2014 0 00	10/28/2014 0 00	31	41	(11)			SHORT
CQOF8009002	CRYOLIFE INC	2/20/2014 0 00	10/28/2014 0 00	5,214	4,809	405			SHORT
CQOF8009002	EVOLUTION PETE CORP COM	6/17/2014 0 00	10/28/2014 0 00	2,485	3,102	(617)	119	(498)	SHORT
CQOF8009002	HANDY & HARMAN LTD	12/10/2013 0 00	10/28/2014 0 00	214	138	76			SHORT
CQOF8009002	HANDY & HARMAN LTD	3/26/2014 0 00	10/28/2014 0 00	1,249	787	462			SHORT
CQOF8009002	HANDY & HARMAN LTD	11/10/2013 0 00	10/28/2014 0 00	1,927	1,213	714			SHORT
CQOF8009002	HARMONIC INC	4/25/2013 0 00	10/28/2014 0 00	1,565	1,439	127			LONG
CQOF8009002	HARMONIC INC	4/22/2013 0 00	10/28/2014 0 00	2,684	2,500	184			LONG
CQOF8009002	NATHANS FAMOUS INC NEW	1/7/2014 0 00	10/28/2014 0 00	5,209	3,796	1,413			SHORT
CQOF8009002	UNITED FINL BANCORP INC	3/25/2014 0 00	10/28/2014 0 00	3,089	3,179	(91)			SHORT
CQOF8009002	UNITED FINL BANCORP INC	4/9/2014 0 00	10/28/2014 0 00	3,075	3,096	(21)			SHORT
CQOF8009002	BARRETT BUSINESS SVCS INC	9/18/2014 0 00	10/29/2014 0 00	1,468	2,285	(817)			SHORT
CQOF8009002	CALLIDUS SOFTWARE INC	8/22/2012 0 00	10/29/2014 0 00	4,529	1,391	3,138			LONG
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	10/29/2014 0 00	962	921	41			SHORT
CQOF8009002	CRYOLIFE INC	2/20/2014 0 00	10/29/2014 0 00	404	374	29			SHORT
CQOF8009002	HANDY & HARMAN LTD	11/10/2013 0 00	10/29/2014 0 00	326	202	124			SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	6/9/2013 0 00	10/29/2014 0 00	187	161	27			LONG
CQOF8009002	UNITED FINL BANCORP INC	4/9/2014 0 00	10/29/2014 0 00	5,755	5,651	104			SHORT
CQOF8009002	CHARTER FINANCIAL CORP	3/25/2014 0 00	10/30/2014 0 00	2,687	2,569	118			SHORT
CQOF8009002	CRYOLIFE INC	2/20/2014 0 00	10/30/2014 0 00	2,847	2,707	140			SHORT
CQOF8009002	CRYOLIFE INC	2/21/2014 0 00	10/30/2014 0 00	464	431	33			SHORT
CQOF8009002	HANDY & HARMAN LTD	11/10/2013 0 00	10/30/2014 0 00	588	382	206			SHORT
CQOF8009002	HANDY & HARMAN LTD	3/31/2014 0 00	10/30/2014 0 00	104	64	39			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	4/17/2014 0 00	10/30/2014 0 00	2,673	2,381	292			SHORT
CQOF8009002	KANSAS CITY LIFE INSURANCE CO	4/21/2014 0 00	10/30/2014 0 00	3,159	2,812	347			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	1/2/2014 0 00	10/30/2014 0 00	2,133	1,514	619			SHORT
CQOF8009002	NATHANS FAMOUS INC NEW	1/7/2014 0 00	10/30/2014 0 00	853	607	246			SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	12/11/2013 0 00	10/30/2014 0 00	2,388	1,997	391			SHORT
CQOF8009002	OMNIAMERICAN BANCORP INC	6/9/2013 0 00	10/30/2014 0 00	2,388	2,041	347			LONG
CQOF8009002	PDI INC	9/3/2013 0 00	10/30/2014 0 00	202	563	(361)			LONG
CQOF8009002	STOCK YDS BANCORP INC	2/5/2014 0 00	10/30/2014 0 00	5,077	4,326	751			SHORT
CQOF8009002	STOCK YDS BANCORP INC	2/10/2014 0 00	10/30/2014 0 00	2,242	1,906	335			SHORT
CQOF8009002	UNITED FINL BANCORP INC	4/9/2014 0 00	10/30/2014 0 00	6,536	6,381	154			SHORT
CQOF8009002	ANGIODYNAMICS INC	7/15/2014 0 00	10/31/2014 0 00	1,428	1,254	174			SHORT
CQOF8009002	PDI INC	9/4/2013 0 00	10/31/2014 0 00	755	2,198	(1,443)			LONG
CQOF8009002	PDI INC	9/12/2013 0 00	10/31/2014 0 00	707	1,983	(1,276)			LONG
CQOF8009002	PDI INC	9/3/2013 0 00	10/31/2014 0 00	91	269	(177)			LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/29/2011 0 00	11/1/2013 0 00	1,200	1,023	177	-	177	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/9/2011 0 00	11/1/2013 0 00	1,200	1,023	176	-	176	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/21/2011 0 00	11/1/2013 0 00	2,399	2,046	354	-	354	LONG
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/1/2013 0 00	2,544	529	2,015	-	2,015	SHORT
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/1/2013 0 00	2,554	533	2,021	-	2,021	SHORT
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/1/2013 0 00	1,277	268	1,009	-	1,009	SHORT
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/1/2013 0 00	5,109	1,066	4,043	-	4,043	SHORT
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/1/2013 0 00	3,816	799	3,017	-	3,017	SHORT
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/1/2013 0 00	6,367	1,332	5,035	-	5,035	SHORT
CQOF8010002	PACER INTL INC TENN	2/16/2011 0 00	11/1/2013 0 00	1,596	1,091	505	-	505	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/14/2011 0 00	11/4/2013 0 00	1,229	1,023	207	-	207	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/13/2011 0 00	11/4/2013 0 00	1,229	1,022	207	-	207	LONG
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/4/2013 0 00	1,308	265	1,044	-	1,044	LONG
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	11/4/2013 0 00	5,258	1,059	4,199	-	4,199	LONG
CQOF8010002	FERRO CORPORATION	11/5/2012 0 00	11/4/2013 0 00	9,157	1,852	7,305	-	7,305	SHORT
CQOF8010002	PACER INTL INC TENN	2/16/2011 0 00	11/4/2013 0 00	2,397	1,636	761	-	761	LONG
CQOF8010002	PACER INTL INC TENN	2/15/2011 0 00	11/4/2013 0 00	2,397	1,619	778	-	778	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/13/2011 0 00	11/5/2013 0 00	1,219	1,022	197	-	197	LONG
CQOF8010002	FERRO CORPORATION	11/5/2012 0 00	11/5/2013 0 00	3,923	791	3,133	-	3,133	SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS	
				PROCEEDS	COST BASIS			DISALLOWED	LONG/SHORT
CQOF8010002	PACER INTL INC TENN	2/15/2011 0:00	11/5/2013 0:00	6,529	4,318	2,211	-	2,211	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	7/27/2011 0:00	11/6/2013 0:00	1,202	1,020	182	-	182	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/13/2011 0:00	11/6/2013 0:00	1,202	1,022	180	-	180	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/22/2011 0:00	11/6/2013 0:00	4,808	4,082	726	-	726	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/31/2011 0:00	11/6/2013 0:00	3,606	3,067	539	-	539	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/1/2011 0:00	11/6/2013 0:00	1,202	1,018	184	-	184	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/19/2011 0:00	11/6/2013 0:00	2,404	2,039	365	-	365	LONG
CQOF8010002	PACER INTL INC TENN	2/15/2011 0:00	11/6/2013 0:00	812	540	272	-	272	LONG
CQOF8010002	PACER INTL INC TENN	2/15/2011 0:00	11/7/2013 0:00	798	540	258	-	258	LONG
CQOF8010002	CDI CORP	5/25/2010 0:00	11/8/2013 0:00	1,596	1,444	152	-	152	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/1/2011 0:00	11/8/2013 0:00	2,435	2,036	399	-	399	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/6/2011 0:00	11/8/2013 0:00	4,870	4,063	806	-	806	LONG
CQOF8010002	PACER INTL INC TENN	2/15/2011 0:00	11/8/2013 0:00	3,193	2,159	1,034	-	1,034	LONG
CQOF8010002	CDI CORP	5/25/2010 0:00	11/11/2013 0:00	4,734	4,332	402	-	402	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/6/2011 0:00	11/11/2013 0:00	1,217	1,016	201	-	201	LONG
CQOF8010002	CDI CORP	5/25/2010 0:00	11/12/2013 0:00	4,648	4,332	316	-	316	LONG
CQOF8010002	PACER INTL INC TENN	2/15/2011 0:00	11/12/2013 0:00	2,401	1,619	782	-	782	LONG
CQOF8010002	PACER INTL INC TENN	2/15/2011 0:00	11/12/2013 0:00	3,193	2,159	1,034	-	1,034	LONG
CQOF8010002	CDI CORP	5/25/2010 0:00	11/13/2013 0:00	1,549	1,444	105	-	105	LONG
CQOF8010002	CDI CORP	10/29/2010 0:00	11/13/2013 0:00	1,549	1,438	111	-	111	LONG
CQOF8010002	CDI CORP	10/29/2010 0:00	11/14/2013 0:00	1,553	1,438	115	-	115	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/19/2011 0:00	11/14/2013 0:00	1,198	1,015	183	-	183	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/6/2011 0:00	11/14/2013 0:00	1,198	1,016	182	-	182	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/22/2011 0:00	11/14/2013 0:00	3,594	3,047	548	-	548	LONG
CQOF8010002	CDI CORP	10/29/2010 0:00	11/15/2013 0:00	1,547	1,438	109	-	109	LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/7/2012 0:00	11/18/2013 0:00	570	512	58	-	58	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/19/2011 0:00	11/19/2013 0:00	1,197	1,015	182	-	182	LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/7/2012 0:00	11/19/2013 0:00	1,689	1,535	154	-	154	LONG
CQOF8010002	PAR TECHNOLOGY CORP	7/30/2012 0:00	11/19/2013 0:00	563	511	52	-	52	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/20/2013 0:00	1,562	1,294	268	-	268	LONG
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0:00	11/20/2013 0:00	898	548	350	-	350	LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/29/2012 0:00	11/20/2013 0:00	1,685	1,533	152	-	152	LONG
CQOF8010002	PAR TECHNOLOGY CORP	11/7/2012 0:00	11/20/2013 0:00	562	511	50	-	50	LONG
CQOF8010002	PAR TECHNOLOGY CORP	8/9/2012 0:00	11/20/2013 0:00	562	511	51	-	51	LONG
CQOF8010002	PAR TECHNOLOGY CORP	7/30/2012 0:00	11/20/2013 0:00	562	511	50	-	50	LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/25/2012 0:00	11/20/2013 0:00	562	510	52	-	52	LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/18/2012 0:00	11/20/2013 0:00	1,123	1,021	102	-	102	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/21/2013 0:00	3,095	2,588	507	-	507	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/24/2011 0:00	11/21/2013 0:00	2,400	2,025	375	-	375	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/30/2011 0:00	11/21/2013 0:00	1,200	1,013	187	-	187	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	6/10/2011 0:00	11/21/2013 0:00	1,200	1,013	187	-	187	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	6/9/2011 0:00	11/21/2013 0:00	1,200	1,012	187	-	187	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/22/2013 0:00	3,114	2,586	528	-	528	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/22/2013 0:00	1,557	1,294	263	-	263	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	6/14/2011 0:00	11/22/2013 0:00	1,200	1,012	188	-	188	LONG
CQOF8010002	HOOKE FURNITURE CORP	8/4/2011 0:00	11/22/2013 0:00	1,723	902	821	-	821	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/25/2013 0:00	4,697	3,879	818	-	818	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/12/2011 0:00	11/25/2013 0:00	1,230	1,012	218	-	218	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/26/2013 0:00	1,564	1,293	271	-	271	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/26/2013 0:00	3,128	2,586	542	-	542	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	8/25/2011 0:00	11/26/2013 0:00	1,211	1,012	199	-	199	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/27/2013 0:00	7,739	6,465	1,274	-	1,274	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/8/2011 0:00	11/27/2013 0:00	1,213	1,012	201	-	201	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	11/29/2013 0:00	4,690	3,879	811	-	811	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/2/2013 0:00	6,296	5,172	1,124	-	1,124	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	6/13/2011 0:00	12/2/2013 0:00	1,198	1,012	185	-	185	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/3/2013 0:00	3,203	2,586	617	-	617	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/4/2013 0:00	1,596	1,293	303	-	303	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/5/2013 0:00	4,747	3,879	868	-	868	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/6/2013 0:00	6,315	5,172	1,143	-	1,143	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/14/2012 0:00	12/6/2013 0:00	1,199	1,011	188	-	188	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/9/2013 0:00	1,582	1,293	289	-	289	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/10/2013 0:00	1,579	1,293	286	-	286	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/11/2013 0:00	1,578	1,293	285	-	285	LONG
CQOF8010002	CDI CORP	7/8/2011 0:00	12/12/2013 0:00	1,595	1,293	302	-	302	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/2/2011 0:00	12/13/2013 0:00	1,201	1,011	189	-	189	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/2/2011 0:00	12/16/2013 0:00	1,197	1,011	186	-	186	LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/25/2012 0:00	12/17/2013 0:00	1,123	1,020	103	-	103	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/2/2011 0:00	12/18/2013 0:00	1,201	1,011	190	-	190	LONG
CQOF8010002	HOOKE FURNITURE CORP	8/4/2011 0:00	12/19/2013 0:00	1,736	902	834	-	834	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/9/2011 0:00	12/20/2013 0:00	1,201	1,005	196	-	196	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	6/8/2011 0:00	12/20/2013 0:00	1,201	1,011	190	-	190	LONG
CQOF8010002	CAPITAL CITY BK GROUP INC	9/2/2011 0:00	12/20/2013 0:00	1,201	1,011	189	-	189	LONG
CQOF8010002	HOOKE FURNITURE CORP	8/5/2011 0:00	12/20/2013 0:00	5,163	2,700	2,463	-	2,463	LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8010002	CAPITAL CITY BK GROUP INC	9/9/2011 0 00	12/23/2013 0 00	1,205	1,005	200	-	200	LONG	
CQOF8010002	CAPITAL CITY BK GROUP INC	9/9/2011 0 00	12/24/2013 0 00	1,200	1,005	195	-	195	LONG	
CQOF8010002	HOOKEER FURNITURE CORP	9/29/2011 0 00	12/24/2013 0 00	1,718	899	820	-	820	LONG	
CQOF8010002	CAPITAL CITY BK GROUP INC	9/9/2011 0 00	12/30/2013 0 00	1,198	1,005	193	-	193	LONG	
CQOF8010002	CAPITAL CITY BK GROUP INC	8/18/2011 0 00	1/7/2014 0 00	2,394	2,003	391			LONG	
CQOF8010002	CAPITAL CITY BK GROUP INC	9/11/2012 0 00	1/7/2014 0 00	1,197	975	222			LONG	
CQOF8010002	CAPITAL CITY BK GROUP INC	9/13/2012 0 00	1/7/2014 0 00	1,197	987	210			LONG	
CQOF8010002	MAC-GRAY CORP	2/13/2004 0 00	1/10/2014 0 00	119,000	36,400	82,600			LONG	
CQOF8010002	MAC-GRAY CORP	2/11/2004 0 00	1/10/2014 0 00	23,375	7,150	16,225			LONG	
CQOF8010002	PERCEPTRON INC	7/5/2006 0 00	1/10/2014 0 00	1,668	803	865			LONG	
CQOF8010002	PERCEPTRON INC	9/1/2006 0 00	1/10/2014 0 00	3,335	1,606	1,729			LONG	
CQOF8010002	PERCEPTRON INC	7/17/2006 0 00	1/10/2014 0 00	1,668	803	865			LONG	
CQOF8010002	PERCEPTRON INC	10/30/2006 0 00	1/10/2014 0 00	1,668	803	865			LONG	
CQOF8010002	PERCEPTRON INC	7/13/2006 0 00	1/10/2014 0 00	1,668	803	865			LONG	
CQOF8010002	PERCEPTRON INC	8/28/2006 0 00	1/10/2014 0 00	3,335	1,606	1,729			LONG	
CQOF8010002	PERCEPTRON INC	8/9/2006 0 00	1/10/2014 0 00	5,003	2,409	2,594			LONG	
CQOF8010002	PERCEPTRON INC	10/30/2006 0 00	1/13/2014 0 00	3,330	1,605	1,724			LONG	
CQOF8010002	PERCEPTRON INC	10/30/2006 0 00	1/14/2014 0 00	3,332	1,605	1,726			LONG	
CQOF8010002	PERCEPTRON INC	7/3/2006 0 00	1/14/2014 0 00	1,666	803	863			LONG	
CQOF8010002	PERCEPTRON INC	10/27/2006 0 00	1/14/2014 0 00	1,666	803	863			LONG	
CQOF8010002	PERCEPTRON INC	8/14/2006 0 00	1/15/2014 0 00	3,470	1,605	1,865			LONG	
CQOF8010002	PERCEPTRON INC	8/14/2006 0 00	1/16/2014 0 00	1,724	803	921			LONG	
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0 00	1/17/2014 0 00	2,696	1,645	1,051			LONG	
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0 00	1/21/2014 0 00	898	548	350			LONG	
CQOF8010002	PAR TECHNOLOGY CORP	8/3/2012 0 00	1/21/2014 0 00	559	510	49			LONG	
CQOF8010002	PERCEPTRON INC	12/20/2006 0 00	1/21/2014 0 00	1,767	802	965			LONG	
CQOF8010002	PERCEPTRON INC	8/15/2006 0 00	1/21/2014 0 00	3,535	1,605	1,930			LONG	
CQOF8010002	PERCEPTRON INC	8/14/2006 0 00	1/21/2014 0 00	3,535	1,605	1,930			LONG	
CQOF8010002	PERCEPTRON INC	8/29/2006 0 00	1/21/2014 0 00	1,767	802	965			LONG	
CQOF8010002	PERCEPTRON INC	8/29/2006 0 00	1/21/2014 0 00	1,751	802	948			LONG	
CQOF8010002	PERCEPTRON INC	8/16/2006 0 00	1/21/2014 0 00	1,751	802	949			LONG	
CQOF8010002	PERCEPTRON INC	6/20/2006 0 00	1/21/2014 0 00	1,751	802	949			LONG	
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0 00	1/22/2014 0 00	898	548	350			LONG	
CQOF8010002	PERCEPTRON INC	8/11/2006 0 00	1/22/2014 0 00	1,740	801	939			LONG	
CQOF8010002	PERCEPTRON INC	7/11/2006 0 00	1/22/2014 0 00	1,740	802	938			LONG	
CQOF8010002	PERCEPTRON INC	7/10/2006 0 00	1/22/2014 0 00	1,740	802	938			LONG	
CQOF8010002	PERCEPTRON INC	9/5/2006 0 00	1/22/2014 0 00	1,740	801	939			LONG	
CQOF8010002	PERCEPTRON INC	8/11/2006 0 00	1/23/2014 0 00	1,734	801	933			LONG	
CQOF8010002	FLOW INTL CORP COM	9/2/2009 0 00	2/3/2014 0 00	3,240	1,864	1,376			LONG	
CQOF8010002	FLOW INTL CORP COM	9/2/2009 0 00	2/3/2014 0 00	77,760	40,320	37,440			LONG	
CQOF8010002	FLOW INTL CORP COM	6/24/2011 0 00	2/3/2014 0 00	2,835	2,229	606			LONG	
CQOF8010002	FLOW INTL CORP COM	11/2/2009 0 00	2/3/2014 0 00	7,695	4,596	3,099			LONG	
CQOF8010002	FLOW INTL CORP COM	9/11/2009 0 00	2/3/2014 0 00	17,010	10,216	6,794			LONG	
CQOF8010002	FLOW INTL CORP COM	10/30/2009 0 00	2/3/2014 0 00	7,290	4,373	2,917			LONG	
CQOF8010002	FLOW INTL CORP COM	9/9/2009 0 00	2/3/2014 0 00	13,365	8,039	5,326			LONG	
CQOF8010002	ACCO BRANDS CORP	8/10/2012 0 00	2/19/2014 0 00	1,224	1,451	(226)			LONG	
CQOF8010002	ACCO BRANDS CORP	8/13/2012 0 00	2/19/2014 0 00	1,224	1,450	(226)			LONG	
CQOF8010002	ACCO BRANDS CORP	8/10/2012 0 00	2/19/2014 0 00	4,284	5,097	(813)			LONG	
CQOF8010002	ACCO BRANDS CORP	8/10/2012 0 00	2/19/2014 0 00	3,060	3,719	(659)			LONG	
CQOF8010002	ACCO BRANDS CORP	9/28/2012 0 00	2/19/2014 0 00	3,905	4,136	(232)			LONG	
CQOF8010002	ACCO BRANDS CORP	9/12/2012 0 00	2/19/2014 0 00	2,448	2,766	(318)			LONG	
CQOF8010002	ACCO BRANDS CORP	9/11/2012 0 00	2/19/2014 0 00	612	697	(85)			LONG	
CQOF8010002	ACCO BRANDS CORP	8/13/2012 0 00	2/19/2014 0 00	2,448	2,834	(386)			LONG	
CQOF8010002	ACCO BRANDS CORP	8/10/2012 0 00	2/19/2014 0 00	4,284	5,072	(788)			LONG	
CQOF8010002	ACCURIDE CORP	2/24/2012 0 00	2/19/2014 0 00	778	1,577	(799)			LONG	
CQOF8010002	ACCURIDE CORP	4/25/2012 0 00	2/19/2014 0 00	389	720	(331)			LONG	
CQOF8010002	ACCURIDE CORP	4/25/2012 0 00	2/19/2014 0 00	1,556	2,888	(1,332)			LONG	
CQOF8010002	ACCURIDE CORP	4/23/2012 0 00	2/19/2014 0 00	1,167	2,169	(1,002)			LONG	
CQOF8010002	ACCURIDE CORP	4/26/2012 0 00	2/19/2014 0 00	1,167	2,159	(992)			LONG	
CQOF8010002	ACCURIDE CORP	4/19/2012 0 00	2/19/2014 0 00	389	727	(338)			LONG	
CQOF8010002	ACCURIDE CORP	2/25/2012 0 00	2/19/2014 0 00	2,334	4,724	(2,390)			LONG	
CQOF8010002	ACCURIDE CORP	2/21/2012 0 00	2/19/2014 0 00	2,334	4,729	(2,395)			LONG	
CQOF8010002	ACCURIDE CORP	4/19/2012 0 00	2/19/2014 0 00	1,556	2,904	(1,348)			LONG	
CQOF8010002	ASTRO MED INC NEW	3/29/2007 0 00	2/19/2014 0 00	14,000	10,931	3,069			LONG	
CQOF8010002	ASTRO MED INC NEW	6/18/2007 0 00	2/19/2014 0 00	5,306	4,143	1,163			LONG	
CQOF8010002	ASTRO MED INC NEW	3/30/2007 0 00	2/19/2014 0 00	294	231	63			LONG	
CQOF8010002	ASTRO MED INC NEW	6/15/2007 0 00	2/19/2014 0 00	4,200	3,291	909			LONG	
CQOF8010002	CPI AEROSTRUCTURES INC NEW	1/24/2013 0 00	2/19/2014 0 00	1,463	1,076	387			LONG	
CQOF8010002	CPI AEROSTRUCTURES INC NEW	1/30/2013 0 00	2/19/2014 0 00	1,463	1,096	367			LONG	
CQOF8010002	CPI AEROSTRUCTURES INC NEW	1/23/2013 0 00	2/19/2014 0 00	1,463	1,075	388			LONG	
CQOF8010002	CPI AEROSTRUCTURES INC NEW	1/25/2013 0 00	2/19/2014 0 00	2,926	2,149	777			LONG	
CQOF8010002	CPI AEROSTRUCTURES INC NEW	1/24/2013 0 00	2/19/2014 0 00	1,463	1,074	389			LONG	
CQOF8010002	CPI AEROSTRUCTURES INC NEW	2/7/2013 0 00	2/19/2014 0 00	1,463	950	513			LONG	
CQOF8010002	CPI AEROSTRUCTURES INC NEW	3/31/2010 0 00	2/19/2014 0 00	10,300	5,491	4,809			LONG	

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON				SALES		GAIN/LOSS	FED WASH	NET	
ACCOUNT				PROCEEDS	COST BASIS		SALE	GAIN/LOSS	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE			AMOUNT	DISALLOWED	WITH LOSS	LONG/SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	9/20/2013 0:00	2/19/2014 0:00	737	702	35			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	9/19/2013 0:00	2/19/2014 0:00	2,947	2,808	139			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	9/26/2013 0:00	2/19/2014 0:00	737	702	35			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	9/16/2013 0:00	2/19/2014 0:00	737	668	69			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	9/17/2013 0:00	2/19/2014 0:00	737	682	55			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	9/17/2013 0:00	2/19/2014 0:00	2,947	2,748	199			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	9/30/2013 0:00	2/19/2014 0:00	1,473	1,403	71			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/3/2013 0:00	2/19/2014 0:00	1,473	1,401	72			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/22/2013 0:00	2/19/2014 0:00	731	732	(1)			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/24/2013 0:00	2/19/2014 0:00	6,583	6,588	(5)			SHORT
CQOF8010002	CITI TRENDS INC	7/20/2011 0:00	2/19/2014 0:00	25,974	21,202	4,773			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0:00	2/19/2014 0:00	1,282	1,047	236			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/17/2010 0:00	2/19/2014 0:00	4,541	3,927	614			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/16/2010 0:00	2/19/2014 0:00	2,144	1,854	290			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/11/2010 0:00	2/19/2014 0:00	908	782	126			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/29/2010 0:00	2/19/2014 0:00	908	782	126			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/9/2010 0:00	2/19/2014 0:00	4,541	3,909	632			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/8/2010 0:00	2/19/2014 0:00	3,043	2,619	424			LONG
CQOF8010002	FERRO CORPORATION	11/5/2012 0:00	2/19/2014 0:00	14,377	2,899	11,479			LONG
CQOF8010002	FERRO CORPORATION	11/5/2012 0:00	2/19/2014 0:00	14,064	2,829	11,234			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	2/22/2013 0:00	2/19/2014 0:00	1,544	1,517	28			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	2/8/2013 0:00	2/19/2014 0:00	1,544	1,520	25			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	2/26/2013 0:00	2/19/2014 0:00	1,544	1,516	29			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	2/27/2013 0:00	2/19/2014 0:00	1,544	1,515	30			SHORT
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	6/28/2013 0:00	2/19/2014 0:00	5,320	4,824	496			SHORT
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	7/2/2013 0:00	2/19/2014 0:00	5,320	4,821	499			SHORT
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	7/1/2013 0:00	2/19/2014 0:00	1,773	1,607	166			SHORT
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	6/28/2013 0:00	2/19/2014 0:00	5,320	4,810	510			SHORT
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	3/31/2010 0:00	2/19/2014 0:00	1,371	1,128	244			LONG
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	2/18/2010 0:00	2/19/2014 0:00	1,182	972	210			LONG
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	2/4/2010 0:00	2/19/2014 0:00	2,758	2,208	550			LONG
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	11/20/2012 0:00	2/19/2014 0:00	3,217	2,571	647			LONG
CQOF8010002	GREAT LAKES DREDGE & DOCK CO	2/23/2010 0:00	2/19/2014 0:00	4,662	2,682	1,981			LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/9/2013 0:00	2/19/2014 0:00	6,836	5,740	1,096			LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/20/2013 0:00	2/19/2014 0:00	1,709	1,444	265			SHORT
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/12/2013 0:00	2/19/2014 0:00	1,709	1,447	262			LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/9/2013 0:00	2/19/2014 0:00	2,136	1,788	348			LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/11/2013 0:00	2/19/2014 0:00	3,418	2,903	515			LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	11/26/2012 0:00	2/19/2014 0:00	1,709	1,431	278			LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/18/2013 0:00	2/19/2014 0:00	8,545	7,235	1,309			LONG
CQOF8010002	HOMETRUST BANCSHARES INC	9/19/2013 0:00	2/19/2014 0:00	6,212	6,616	(404)			SHORT
CQOF8010002	HOMETRUST BANCSHARES INC	9/19/2013 0:00	2/19/2014 0:00	1,553	1,650	(97)			SHORT
CQOF8010002	HOMETRUST BANCSHARES INC	7/11/2012 0:00	2/19/2014 0:00	6,911	5,178	1,733			LONG
CQOF8010002	HOMETRUST BANCSHARES INC	9/18/2013 0:00	2/19/2014 0:00	1,553	1,663	(110)			SHORT
CQOF8010002	HOMETRUST BANCSHARES INC	9/17/2013 0:00	2/19/2014 0:00	9,318	9,925	(607)			SHORT
CQOF8010002	HOOKE FURNITURE CORP	10/3/2011 0:00	2/19/2014 0:00	6,079	3,595	2,484			LONG
CQOF8010002	HOOKE FURNITURE CORP	9/29/2011 0:00	2/19/2014 0:00	4,559	2,696	1,863			LONG
CQOF8010002	HOOKE FURNITURE CORP	9/6/2011 0:00	2/19/2014 0:00	562	331	231			LONG
CQOF8010002	KMG-B INC	10/10/2012 0:00	2/19/2014 0:00	260	286	(26)			LONG
CQOF8010002	KMG-B INC	9/26/2012 0:00	2/19/2014 0:00	1,623	1,800	(177)			LONG
CQOF8010002	KMG-B INC	10/9/2012 0:00	2/19/2014 0:00	1,623	1,811	(188)			LONG
CQOF8010002	KMG-B INC	6/27/2013 0:00	2/19/2014 0:00	3,246	4,240	(994)			SHORT
CQOF8010002	KMG-B INC	7/1/2013 0:00	2/19/2014 0:00	6,491	8,448	(1,957)			SHORT
CQOF8010002	KMG-B INC	7/1/2013 0:00	2/19/2014 0:00	4,868	6,333	(1,464)			SHORT
CQOF8010002	KMG-B INC	6/28/2013 0:00	2/19/2014 0:00	1,623	2,121	(498)			SHORT
CQOF8010002	KEY TECHNOLOGY INC	8/31/2009 0:00	2/19/2014 0:00	14,283	12,606	1,676			LONG
CQOF8010002	LIBBEY INC	2/3/2010 0:00	2/19/2014 0:00	2,996	1,329	1,667			LONG
CQOF8010002	LIBBEY INC	2/9/2010 0:00	2/19/2014 0:00	13,518	5,999	7,519			LONG
CQOF8010002	LIBBEY INC	2/4/2010 0:00	2/19/2014 0:00	11,265	5,005	6,259			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	5/13/2011 0:00	2/19/2014 0:00	13,597	5,233	8,364			LONG
CQOF8010002	MARINEMAX INC	3/18/2011 0:00	2/19/2014 0:00	18,406	11,341	7,065			LONG
CQOF8010002	MARINEMAX INC	3/7/2011 0:00	2/19/2014 0:00	2,832	1,746	1,086			LONG
CQOF8010002	MARINEMAX INC	3/4/2011 0:00	2/19/2014 0:00	1,317	809	507			LONG
CQOF8010002	MERCURY COMPUTER SYS INC	10/22/2013 0:00	2/19/2014 0:00	5,536	4,459	1,077			SHORT
CQOF8010002	MERCURY COMPUTER SYS INC	10/22/2013 0:00	2/19/2014 0:00	12,180	9,775	2,405			SHORT
CQOF8010002	MERCURY COMPUTER SYS INC	4/16/2013 0:00	2/19/2014 0:00	5,536	3,801	1,736			SHORT
CQOF8010002	MERCURY COMPUTER SYS INC	4/15/2013 0:00	2/19/2014 0:00	3,322	2,273	1,049			SHORT
CQOF8010002	MERCURY COMPUTER SYS INC	4/9/2013 0:00	2/19/2014 0:00	3,322	2,257	1,064			SHORT
CQOF8010002	MERCURY COMPUTER SYS INC	4/22/2013 0:00	2/19/2014 0:00	5,381	3,653	1,728			SHORT
CQOF8010002	MICROFINANCIAL INC	8/8/2011 0:00	2/19/2014 0:00	819	548	271			LONG
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0:00	2/19/2014 0:00	4,397	2,922	1,474			LONG
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0:00	2/19/2014 0:00	819	548	270			LONG
CQOF8010002	PACER INTL INC TENN	4/1/2011 0:00	2/19/2014 0:00	3,818	2,286	1,532			LONG
CQOF8010002	PACER INTL INC TENN	2/14/2011 0:00	2/19/2014 0:00	1,780	1,074	706			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8010002	PACER INTL INC TENN	2/15/2011 0 00	2/19/2014 0 00	20,470	12,370	8,099			LONG
CQOF8010002	PACER INTL INC TENN	2/15/2011 0 00	2/19/2014 0 00	5,340	3,238	2,102			LONG
CQOF8010002	PANTRY INC	11/14/2013 0 00	2/19/2014 0 00	5,534	5,609	(76)	76	(0)	SHORT
CQOF8010002	PANTRY INC	2/18/2014 0 00	2/19/2014 0 00	1,383	1,402	(19)	19	0	SHORT
CQOF8010002	PATRIOT TRANSN HLDG INC	5/9/2013 0 00	2/19/2014 0 00	3,589	2,853	736			SHORT
CQOF8010002	PATRIOT TRANSN HLDG INC	7/1/2013 0 00	2/19/2014 0 00	3,589	3,002	587			SHORT
CQOF8010002	PATRIOT TRANSN HLDG INC	7/5/2013 0 00	2/19/2014 0 00	3,589	3,002	586			SHORT
CQOF8010002	PATRIOT TRANSN HLDG INC	7/2/2013 0 00	2/19/2014 0 00	3,589	3,003	586			SHORT
CQOF8010002	PATRIOT TRANSN HLDG INC	5/6/2013 0 00	2/19/2014 0 00	3,589	2,852	737			SHORT
CQOF8010002	PATRIOT TRANSN HLDG INC	2/20/2013 0 00	2/19/2014 0 00	467	351	115			SHORT
CQOF8010002	PERCEPTRON INC	7/24/2006 0 00	2/19/2014 0 00	2,890	1,601	1,288			LONG
CQOF8010002	PERCEPTRON INC	9/7/2006 0 00	2/19/2014 0 00	1,445	801	644			LONG
CQOF8010002	PERCEPTRON INC	9/13/2006 0 00	2/19/2014 0 00	1,445	800	645			LONG
CQOF8010002	PERCEPTRON INC	7/14/2006 0 00	2/19/2014 0 00	419	232	187			LONG
CQOF8010002	REIS INC	3/6/2012 0 00	2/19/2014 0 00	3,577	2,005	1,571			LONG
CQOF8010002	REIS INC	9/13/2011 0 00	2/19/2014 0 00	4,274	2,156	2,118			LONG
CQOF8010002	REIS INC	9/9/2011 0 00	2/19/2014 0 00	1,788	902	886			LONG
CQOF8010002	REIS INC	9/12/2011 0 00	2/19/2014 0 00	5,365	2,706	2,659			LONG
CQOF8010002	WILLIS LEASE FIN CORP	12/8/2010 0 00	2/19/2014 0 00	1,605	1,172	433			LONG
CQOF8010002	WILLIS LEASE FIN CORP	12/10/2010 0 00	2/19/2014 0 00	3,175	2,318	857			LONG
CQOF8010002	ACCURIDE CORP	12/22/2011 0 00	2/20/2014 0 00	336	581	(246)			LONG
CQOF8010002	ACCURIDE CORP	12/23/2011 0 00	2/20/2014 0 00	381	671	(290)			LONG
CQOF8010002	ACCURIDE CORP	1/20/2012 0 00	2/20/2014 0 00	1,526	2,808	(1,282)			LONG
CQOF8010002	ACCURIDE CORP	1/18/2012 0 00	2/20/2014 0 00	381	702	(321)			LONG
CQOF8010002	ACCURIDE CORP	1/13/2012 0 00	2/20/2014 0 00	1,144	2,106	(962)			LONG
CQOF8010002	ACCURIDE CORP	4/26/2012 0 00	2/20/2014 0 00	3,052	5,756	(2,705)			LONG
CQOF8010002	ACCURIDE CORP	4/26/2012 0 00	2/20/2014 0 00	1,144	2,151	(1,007)			LONG
CQOF8010002	CENTRAL GARDEN & PET CO	9/16/2013 0 00	2/20/2014 0 00	3,694	3,339	355			SHORT
CQOF8010002	COLUMBUS MCKINNON CORP N Y	11/20/2012 0 00	2/20/2014 0 00	8,880	5,010	3,869			LONG
CQOF8010002	COLUMBUS MCKINNON CORP N Y	10/26/2012 0 00	2/20/2014 0 00	5,148	2,905	2,243			LONG
CQOF8010002	COLUMBUS MCKINNON CORP N Y	11/13/2012 0 00	2/20/2014 0 00	10,295	5,809	4,486			LONG
CQOF8010002	COLUMBUS MCKINNON CORP N Y	10/24/2012 0 00	2/20/2014 0 00	2,574	1,453	1,121			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	7/1/2013 0 00	2/20/2014 0 00	4,571	4,038	532			SHORT
CQOF8010002	DOUGLAS DYNAMICS INC	2/16/2012 0 00	2/20/2014 0 00	1,524	1,348	175			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	7/2/2013 0 00	2/20/2014 0 00	4,571	4,050	520			SHORT
CQOF8010002	DOUGLAS DYNAMICS INC	8/9/2011 0 00	2/20/2014 0 00	1,524	1,352	171			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/12/2011 0 00	2/20/2014 0 00	7,618	6,763	855			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/10/2011 0 00	2/20/2014 0 00	8,379	7,403	976			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	2/27/2013 0 00	2/20/2014 0 00	1,550	1,515	35			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	2/25/2013 0 00	2/20/2014 0 00	1,550	1,514	36			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	2/17/2013 0 00	2/20/2014 0 00	1,550	1,513	37			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	2/14/2013 0 00	2/20/2014 0 00	4,650	4,539	111			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	3/19/2013 0 00	2/20/2014 0 00	1,550	1,512	38			SHORT
CQOF8010002	GREAT LAKES DREDGE & DOCK CO	2/23/2010 0 00	2/20/2014 0 00	3,867	2,246	1,621			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	5/13/2011 0 00	2/20/2014 0 00	14,555	5,590	8,965			LONG
CQOF8010002	OMNOVA SOLUTIONS INC	2/20/2009 0 00	2/20/2014 0 00	15,129	1,557	13,572			LONG
CQOF8010002	RAND LOGISTICS INC COM	2/20/2013 0 00	2/20/2014 0 00	1,980	1,806	173			SHORT
CQOF8010002	RAND LOGISTICS INC COM	2/21/2013 0 00	2/20/2014 0 00	1,768	1,616	152			SHORT
CQOF8010002	RAND LOGISTICS INC COM	3/28/2013 0 00	2/20/2014 0 00	2,290	2,088	202			SHORT
CQOF8010002	VISHAY PRECISION GROUP INC	8/30/2012 0 00	2/20/2014 0 00	4,976	4,078	898			LONG
CQOF8010002	VISHAY PRECISION GROUP INC	9/11/2012 0 00	2/20/2014 0 00	3,317	2,721	596			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/9/2010 0 00	2/20/2014 0 00	12,417	9,115	3,302			LONG
CQOF8010002	WILLIS LEASE FIN CORP	12/10/2010 0 00	2/20/2014 0 00	2,164	1,589	575			LONG
CQOF8010002	WILLIS LEASE FIN CORP	12/6/2010 0 00	2/20/2014 0 00	1,455	1,068	387			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/9/2010 0 00	2/20/2014 0 00	5,321	3,906	1,415			LONG
CQOF8010002	CENTRAL GARDEN & PET CO	10/24/2013 0 00	2/21/2014 0 00	4,419	4,392	27			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	2/10/2013 0 00	2/21/2014 0 00	1,555	1,505	50			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	2/17/2013 0 00	2/21/2014 0 00	1,555	1,508	47			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	2/20/2013 0 00	2/21/2014 0 00	1,555	1,510	45			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	2/21/2013 0 00	2/21/2014 0 00	3,110	3,021	89			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	3/21/2013 0 00	2/21/2014 0 00	1,555	1,502	53			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	4/9/2013 0 00	2/21/2014 0 00	1,555	1,479	76			SHORT
CQOF8010002	FIRST CONNECTICUT BANCORP	3/11/2013 0 00	2/21/2014 0 00	498	473	24			SHORT
CQOF8010002	IMAGE SENSING SYS INC	5/15/2009 0 00	2/21/2014 0 00	558	882	(324)			LONG
CQOF8010002	IMAGE SENSING SYS INC	6/23/2009 0 00	2/21/2014 0 00	558	883	(325)			LONG
CQOF8010002	IMAGE SENSING SYS INC	5/13/2009 0 00	2/21/2014 0 00	558	883	(325)			LONG
CQOF8010002	IMAGE SENSING SYS INC	5/26/2009 0 00	2/21/2014 0 00	1,675	2,651	(976)			LONG
CQOF8010002	IMAGE SENSING SYS INC	6/22/2009 0 00	2/21/2014 0 00	430	681	(251)			LONG
CQOF8010002	IMAGE SENSING SYS INC	5/14/2009 0 00	2/21/2014 0 00	1,552	2,391	(839)			LONG
CQOF8010002	RAND LOGISTICS INC COM	3/28/2013 0 00	2/21/2014 0 00	341	319	22			SHORT
CQOF8010002	RAND LOGISTICS INC COM	2/22/2013 0 00	2/21/2014 0 00	1,930	1,805	125			SHORT
CQOF8010002	RAND LOGISTICS INC COM	2/15/2013 0 00	2/21/2014 0 00	1,286	1,174	113			LONG
CQOF8010002	RAND LOGISTICS INC COM	2/26/2013 0 00	2/21/2014 0 00	643	587	56			SHORT
CQOF8010002	RAND LOGISTICS INC COM	2/13/2013 0 00	2/21/2014 0 00	1,286	1,173	114			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
EIN 34-6555349
FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8010002	RAND LOGISTICS INC COM	2/14/2013 0 00	2/21/2014 0 00	643	586	57				LONG
CQOF8010002	RAND LOGISTICS INC COM	2/25/2013 0 00	2/21/2014 0 00	643	585	58				SHORT
CQOF8010002	RAND LOGISTICS INC COM	2/27/2013 0 00	2/21/2014 0 00	3,223	2,874	349				SHORT
CQOF8010002	RAND LOGISTICS INC COM	2/8/2013 0 00	2/21/2014 0 00	4,503	4,031	472				LONG
CQOF8010002	RAND LOGISTICS INC COM	2/7/2013 0 00	2/21/2014 0 00	1,286	1,169	117				LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	4/25/2012 0 00	2/21/2014 0 00	861	1,286	(425)				LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	5/1/2012 0 00	2/21/2014 0 00	5,168	7,713	(2,545)				LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	4/10/2012 0 00	2/21/2014 0 00	861	1,279	(417)				LONG
CQOF8010002	PANTRY INC	11/12/2013 0 00	2/25/2014 0 00	2,844	2,797	47				SHORT
CQOF8010002	PANTRY INC	2/18/2014 0 00	2/25/2014 0 00	1,422	1,402	20				SHORT
CQOF8010002	MEADOWBROOK INS GROUP INC	3/6/2013 0 00	3/4/2014 0 00	6,297	8,505	(2,208)				SHORT
CQOF8010002	TOWN SPORTS INTL HLDGS INC	8/15/2012 0 00	3/4/2014 0 00	900	1,267	(367)				LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	8/14/2012 0 00	3/4/2014 0 00	1,710	2,406	(696)				LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	4/9/2012 0 00	3/4/2014 0 00	90	127	(37)				LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	8/16/2012 0 00	3/4/2014 0 00	2,707	3,833	(1,126)				LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	4/9/2012 0 00	3/4/2014 0 00	812	1,147	(335)				LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/4/2013 0 00	3/5/2014 0 00	414	551	(137)				LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/6/2013 0 00	3/5/2014 0 00	3,352	4,502	(1,150)				SHORT
CQOF8010002	MEADOWBROOK INS GROUP INC	3/4/2013 0 00	3/5/2014 0 00	2,276	3,029	(754)				LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/1/2013 0 00	3/5/2014 0 00	1,076	1,427	(351)				LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/4/2013 0 00	3/5/2014 0 00	7,532	9,985	(2,453)				LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	2/13/2013 0 00	3/5/2014 0 00	538	710	(172)				LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/28/2013 0 00	3/5/2014 0 00	414	545	(131)				SHORT
CQOF8010002	PAR TECHNOLOGY CORP	6/4/2012 0 00	3/5/2014 0 00	946	942	4				LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/4/2012 0 00	3/5/2014 0 00	588	586	2				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/21/2012 0 00	3/5/2014 0 00	2,556	2,548	9				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/30/2012 0 00	3/5/2014 0 00	2,044	2,036	8				LONG
CQOF8010002	PAR TECHNOLOGY CORP	7/31/2012 0 00	3/5/2014 0 00	434	432	2				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	5/13/2011 0 00	3/12/2014 0 00	5,234	1,677	3,557				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	5/13/2011 0 00	3/13/2014 0 00	1,710	559	1,151				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/22/2012 0 00	3/14/2014 0 00	2,555	2,541	14				LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/1/2012 0 00	3/14/2014 0 00	513	508	5				LONG
CQOF8010002	PAR TECHNOLOGY CORP	7/31/2012 0 00	3/14/2014 0 00	588	585	2				LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/1/2012 0 00	3/14/2014 0 00	434	432	3				LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/1/2012 0 00	3/17/2014 0 00	77	76	1				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/3/2012 0 00	3/17/2014 0 00	439	432	7				LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	3/18/2014 0 00	1,729	1,325	404				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/3/2012 0 00	3/18/2014 0 00	513	508	5				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	2/25/2011 0 00	3/20/2014 0 00	2,276	674	1,602				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	5/13/2011 0 00	3/20/2014 0 00	1,696	559	1,137				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	5/13/2011 0 00	3/20/2014 0 00	4,418	1,476	2,942				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/3/2012 0 00	3/20/2014 0 00	1,017	1,016	2				LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	3/21/2014 0 00	1,725	1,325	400				LONG
CQOF8010002	KEY TECHNOLOGY INC	8/31/2009 0 00	3/21/2014 0 00	377	315	62				LONG
CQOF8010002	KEY TECHNOLOGY INC	9/25/2009 0 00	3/21/2014 0 00	2,691	2,246	446				LONG
CQOF8010002	KEY TECHNOLOGY INC	9/30/2009 0 00	3/21/2014 0 00	969	808	161				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	2/25/2011 0 00	3/21/2014 0 00	6,170	1,803	4,367				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	2/28/2011 0 00	3/21/2014 0 00	610	178	432				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	2/28/2011 0 00	3/21/2014 0 00	6,791	1,979	4,813				LONG
CQOF8010002	KEY TECHNOLOGY INC	10/12/2009 0 00	3/24/2014 0 00	1,339	1,121	217				LONG
CQOF8010002	KEY TECHNOLOGY INC	10/1/2009 0 00	3/24/2014 0 00	2,303	1,927	375				LONG
CQOF8010002	KEY TECHNOLOGY INC	9/30/2009 0 00	3/24/2014 0 00	375	314	61				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	3/1/2011 0 00	3/24/2014 0 00	607	175	432				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	2/28/2011 0 00	3/24/2014 0 00	4,454	1,306	3,148				LONG
CQOF8010002	KEY TECHNOLOGY INC	10/1/2009 0 00	3/25/2014 0 00	2,689	2,241	448				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	3/1/2011 0 00	3/25/2014 0 00	3,401	975	2,426				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/3/2012 0 00	3/25/2014 0 00	498	508	(10)				LONG
CQOF8010002	KEY TECHNOLOGY INC	10/1/2009 0 00	3/26/2014 0 00	5,390	4,483	907				LONG
CQOF8010002	KEY TECHNOLOGY INC	10/2/2009 0 00	3/28/2014 0 00	970	805	165				LONG
CQOF8010002	KEY TECHNOLOGY INC	10/1/2009 0 00	3/28/2014 0 00	3,071	2,555	516				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	3/1/2011 0 00	4/1/2014 0 00	1,702	487	1,214				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	3/1/2011 0 00	4/1/2014 0 00	5,034	1,462	3,572				LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/3/2012 0 00	4/1/2014 0 00	493	508	(15)				LONG
CQOF8010002	KEY TECHNOLOGY INC	10/2/2009 0 00	4/2/2014 0 00	373	313	60				LONG
CQOF8010002	KEY TECHNOLOGY INC	8/17/2009 0 00	4/2/2014 0 00	2,289	1,923	366				LONG
CQOF8010002	MANITEX INTERNATIONAL INC	3/1/2011 0 00	4/2/2014 0 00	5,076	1,462	3,614				LONG
CQOF8010002	PACER INTL INC TENN	8/13/2012 0 00	4/2/2014 0 00	5,418	2,499	2,919				LONG
CQOF8010002	PACER INTL INC TENN	10/1/2012 0 00	4/2/2014 0 00	2,709	1,188	1,521				LONG
CQOF8010002	PACER INTL INC TENN	3/31/2011 0 00	4/2/2014 0 00	27,092	15,811	11,281			11,281	LONG
CQOF8010002	PACER INTL INC TENN	4/1/2011 0 00	4/2/2014 0 00	12,381	7,307	5,074				LONG
CQOF8010002	PACER INTL INC TENN	4/4/2011 0 00	4/2/2014 0 00	10,837	6,303	4,534				LONG
CQOF8010002	PACER INTL INC TENN	4/5/2011 0 00	4/2/2014 0 00	2,709	1,578	1,131				LONG
CQOF8010002	PACER INTL INC TENN	4/6/2011 0 00	4/2/2014 0 00	8,128	4,728	3,400				LONG
CQOF8010002	PACER INTL INC TENN	4/7/2011 0 00	4/2/2014 0 00	9,031	5,105	3,926				LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

ANY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8010002	PACER INTL INC TENN	4/8/2011 0 00	4/2/2014 0 00	3,612	2,024	1,588			LONG
CQOF8010002	PACER INTL INC TENN	8/13/2012 0 00	4/2/2014 0 00	2,709	1,256	1,453			LONG
CQOF8010002	PACER INTL INC TENN	8/13/2012 0 00	4/2/2014 0 00	5,418	2,494	2,924			LONG
CQOF8010002	PACER INTL INC TENN	1/25/2013 0 00	4/2/2014 0 00	4,515	1,978	2,537			LONG
CQOF8010002	PACER INTL INC TENN	8/14/2012 0 00	4/2/2014 0 00	9,031	4,295	4,736			LONG
CQOF8010002	PACER INTL INC TENN	8/14/2012 0 00	4/2/2014 0 00	4,515	2,128	2,387			LONG
CQOF8010002	PACER INTL INC TENN	8/15/2012 0 00	4/2/2014 0 00	7,225	3,482	3,743			LONG
CQOF8010002	PACER INTL INC TENN	9/12/2012 0 00	4/2/2014 0 00	3,612	1,600	2,013			LONG
CQOF8010002	PACER INTL INC TENN	9/12/2012 0 00	4/2/2014 0 00	1,806	790	1,016			LONG
CQOF8010002	PACER INTL INC TENN	9/13/2012 0 00	4/2/2014 0 00	903	394	509			LONG
CQOF8010002	PACER INTL INC TENN	9/26/2012 0 00	4/2/2014 0 00	10,837	4,755	6,081			LONG
CQOF8010002	PACER INTL INC TENN	9/27/2012 0 00	4/2/2014 0 00	6,321	2,789	3,533			LONG
CQOF8010002	PACER INTL INC TENN	9/27/2012 0 00	4/2/2014 0 00	1,806	801	1,005			LONG
CQOF8010002	PACER INTL INC TENN	9/28/2012 0 00	4/2/2014 0 00	6,321	2,811	3,511			LONG
CQOF8010002	PACER INTL INC TENN	9/28/2012 0 00	4/2/2014 0 00	6,321	2,809	3,512			LONG
CQOF8010002	PACER INTL INC TENN	10/1/2012 0 00	4/2/2014 0 00	903	398	505			LONG
CQOF8010002	PAR TECHNOLOGY CORP	11/13/2012 0 00	4/2/2014 0 00	419	432	(12)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/3/2012 0 00	4/2/2014 0 00	74	76	(2)			LONG
CQOF8010002	KEY TECHNOLOGY INC	8/17/2009 0 00	4/3/2014 0 00	2,658	2,235	423			LONG
CQOF8010002	PAR TECHNOLOGY CORP	11/13/2012 0 00	4/3/2014 0 00	503	508	(5)			LONG
CQOF8010002	KEY TECHNOLOGY INC	8/17/2009 0 00	4/4/2014 0 00	1,704	1,431	273			LONG
CQOF8010002	KEY TECHNOLOGY INC	10/9/2009 0 00	4/4/2014 0 00	959	796	163			LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/5/2012 0 00	4/4/2014 0 00	424	431	(7)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	8/6/2012 0 00	4/4/2014 0 00	499	508	(9)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	11/13/2012 0 00	4/4/2014 0 00	75	76	(1)			LONG
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	1,798	1,818	(20)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	899	909	(10)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	2,397	2,425	(27)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	1,798	1,818	(20)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	899	909	(10)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	899	909	(10)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	2,497	2,525	(28)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	300	303	(3)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/4/2014 0 00	300	303	(3)			SHORT
CQOF8010002	KEY TECHNOLOGY INC	9/24/2009 0 00	4/7/2014 0 00	958	790	168			LONG
CQOF8010002	KEY TECHNOLOGY INC	10/9/2009 0 00	4/7/2014 0 00	1,704	1,415	289			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/3/2011 0 00	4/8/2014 0 00	1,676	402	1,274			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/4/2011 0 00	4/8/2014 0 00	3,355	804	2,551			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	3/1/2011 0 00	4/8/2014 0 00	1,073	312	761			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/4/2011 0 00	4/8/2014 0 00	603	145	459			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/4/2011 0 00	4/9/2014 0 00	3,355	804	2,551			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/4/2011 0 00	4/10/2014 0 00	3,352	804	2,548			LONG
CQOF8010002	LIBBEY INC	1/27/2010 0 00	4/11/2014 0 00	897	326	571			LONG
CQOF8010002	LIBBEY INC	2/3/2010 0 00	4/11/2014 0 00	4,542	1,669	2,872			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/4/2011 0 00	4/11/2014 0 00	1,678	402	1,276			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/4/2011 0 00	4/14/2014 0 00	3,354	804	2,550			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/31/2010 0 00	4/14/2014 0 00	5,674	1,300	4,374			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	1/4/2011 0 00	4/14/2014 0 00	4,458	1,061	3,397			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/31/2010 0 00	4/15/2014 0 00	5,086	1,161	3,925			LONG
CQOF8010002	LIBBEY INC	1/27/2010 0 00	4/16/2014 0 00	2,700	989	1,711			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/29/2010 0 00	4/17/2014 0 00	2,289	526	1,763			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/29/2010 0 00	4/17/2014 0 00	1,692	387	1,305			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/31/2010 0 00	4/17/2014 0 00	1,077	248	829			LONG
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	6,142	6,567	(425)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	2,834	3,031	(196)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	2,834	3,031	(196)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	92	99	(7)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	3,392	3,637	(245)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,979	2,121	(143)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,979	2,121	(143)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,979	2,121	(143)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	2,544	2,728	(183)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,131	1,212	(82)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,131	1,212	(82)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	565	606	(41)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	565	606	(41)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,417	1,515	(98)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,417	1,515	(98)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	2,077	2,221	(144)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/17/2014 0 00	1,321	1,416	(95)			SHORT
CQOF8010002	MANITEX INTERNATIONAL INC	12/30/2010 0 00	4/21/2014 0 00	613	139	474			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/29/2010 0 00	4/21/2014 0 00	6,201	1,409	4,792			LONG
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/21/2014 0 00	2,787	2,980	(193)			SHORT
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/21/2014 0 00	1,006	1,073	(67)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8010002	MANITEX INTERNATIONAL INC	12/30/2010 0 00	4/22/2014 0 00	8,545	1,934	6,611			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/17/2012 0 00	4/23/2014 0 00	419	431	(12)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/5/2012 0 00	4/23/2014 0 00	74	76	(2)			LONG
CQOF8010002	XPO LOGISTICS INC	4/2/2014 0 00	4/23/2014 0 00	3	4	(0)			SHORT
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	4/24/2014 0 00	1,735	1,325	410			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/30/2010 0 00	4/24/2014 0 00	4,450	1,021	3,429			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/23/2010 0 00	4/24/2014 0 00	607	138	469			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/17/2012 0 00	4/28/2014 0 00	493	507	(14)			LONG
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0 00	5/1/2014 0 00	4,262	4,529	(267)			SHORT
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0 00	5/1/2014 0 00	231	245	(14)			SHORT
CQOF8010002	ACCO BRANDS CORP	9/28/2012 0 00	5/1/2014 0 00	1,595	1,699	(103)			LONG
CQOF8010002	ACCURIDE CORP	12/21/2011 0 00	5/1/2014 0 00	1,659	1,918	(259)			LONG
CQOF8010002	ACCURIDE CORP	12/8/2011 0 00	5/1/2014 0 00	4,635	5,319	(684)			LONG
CQOF8010002	ACCURIDE CORP	12/22/2011 0 00	5/1/2014 0 00	1,173	1,400	(228)			LONG
CQOF8010002	ASTRO MED INC NEW	1/26/2007 0 00	5/1/2014 0 00	3,452	2,938	544			LONG
CQOF8010002	ASTRO MED INC NEW	6/18/2007 0 00	5/1/2014 0 00	6,503	5,695	808			LONG
CQOF8010002	CPI AEROSTRUCTURES INC NEW	3/31/2010 0 00	5/1/2014 0 00	8,459	5,070	3,389			LONG
CQOF8010002	CAL DIVE INTL INC DEL	10/11/2013 0 00	5/1/2014 0 00	870	1,193	(323)	323	-	SHORT
CQOF8010002	CAL DIVE INTL INC DEL	10/10/2013 0 00	5/1/2014 0 00	1,740	2,385	(645)	645	-	SHORT
CQOF8010002	CAL DIVE INTL INC DEL	10/11/2013 0 00	5/1/2014 0 00	870	1,193	(323)	323	-	SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/25/2013 0 00	5/1/2014 0 00	4,081	3,659	422			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/24/2013 0 00	5/1/2014 0 00	1,632	1,464	169			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/25/2013 0 00	5/1/2014 0 00	6,197	5,489	708			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/16/2013 0 00	5/1/2014 0 00	2,449	2,196	253			SHORT
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	5/1/2014 0 00	16,710	13,248	3,462			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/10/2011 0 00	5/1/2014 0 00	14,299	11,441	2,858			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/2/2010 0 00	5/1/2014 0 00	860	777	83			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/5/2010 0 00	5/1/2014 0 00	5,462	4,932	530			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/8/2010 0 00	5/1/2014 0 00	559	508	51			LONG
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	5/1/2014 0 00	8,630	1,777	6,853			LONG
CQOF8010002	FERRO CORPORATION	11/5/2012 0 00	5/1/2014 0 00	4,136	852	3,284			LONG
CQOF8010002	GREAT LAKES DREDGE & DOCK CO	2/23/2010 0 00	5/1/2014 0 00	4,310	2,246	2,064			LONG
CQOF8010002	HOOKER FURNITURE CORP	9/6/2011 0 00	5/1/2014 0 00	870	564	306			LONG
CQOF8010002	HOOKER FURNITURE CORP	9/1/2011 0 00	5/1/2014 0 00	3,963	2,558	1,406			LONG
CQOF8010002	IMAGE SENSING SYS INC	5/7/2009 0 00	5/1/2014 0 00	99	182	(83)			LONG
CQOF8010002	IMAGE SENSING SYS INC	2/27/2009 0 00	5/1/2014 0 00	126	205	(79)			LONG
CQOF8010002	IMAGE SENSING SYS INC	1/30/2009 0 00	5/1/2014 0 00	1,796	2,928	(1,132)			LONG
CQOF8010002	IMAGE SENSING SYS INC	5/7/2009 0 00	5/1/2014 0 00	769	1,471	(702)			LONG
CQOF8010002	IMAGE SENSING SYS INC	5/14/2009 0 00	5/1/2014 0 00	527	1,049	(522)			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/27/2010 0 00	5/1/2014 0 00	600	138	462			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/23/2010 0 00	5/1/2014 0 00	4,403	1,014	3,390			LONG
CQOF8010002	MARINEMAX INC	3/4/2011 0 00	5/1/2014 0 00	3,837	2,113	1,724			LONG
CQOF8010002	MARINEMAX INC	3/4/2011 0 00	5/1/2014 0 00	8,006	4,412	3,594			LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/21/2013 0 00	5/1/2014 0 00	973	1,250	(277)			LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/28/2013 0 00	5/1/2014 0 00	1,775	2,285	(510)			LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	2/10/2013 0 00	5/1/2014 0 00	2,748	3,535	(787)			LONG
CQOF8010002	MERCURY COMPUTER SYS INC	4/22/2013 0 00	5/1/2014 0 00	1,573	857	717			LONG
CQOF8010002	MERCURY COMPUTER SYS INC	3/15/2013 0 00	5/1/2014 0 00	12,229	6,612	5,616			LONG
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0 00	5/1/2014 0 00	2,700	1,905	795			LONG
CQOF8010002	PANTRY INC	11/12/2013 0 00	5/1/2014 0 00	7,211	6,992	219			SHORT
CQOF8010002	PANTRY INC	11/11/2013 0 00	5/1/2014 0 00	7,211	6,978	233			SHORT
CQOF8010002	PAR TECHNOLOGY CORP	5/23/2012 0 00	5/1/2014 0 00	452	507	(55)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	4/27/2012 0 00	5/1/2014 0 00	836	937	(101)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/17/2012 0 00	5/1/2014 0 00	520	583	(63)			LONG
CQOF8010002	PERCEPTRON INC	7/14/2006 0 00	5/1/2014 0 00	2,342	1,599	743			LONG
CQOF8010002	QAD INC-A	9/14/2012 0 00	5/1/2014 0 00	1,879	1,380	499			LONG
CQOF8010002	QAD INC-A	8/8/2011 0 00	5/1/2014 0 00	5,638	3,107	2,530			LONG
CQOF8010002	REIS INC	9/13/2011 0 00	5/1/2014 0 00	1,037	550	487			LONG
CQOF8010002	REIS INC	9/21/2011 0 00	5/1/2014 0 00	663	352	311			LONG
CQOF8010002	REIS INC	5/19/2011 0 00	5/1/2014 0 00	5,100	2,706	2,394			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	8/13/2012 0 00	5/1/2014 0 00	683	1,247	(564)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	8/14/2012 0 00	5/1/2014 0 00	68	127	(58)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	5/2/2012 0 00	5/1/2014 0 00	2,050	3,717	(1,667)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	4/4/2012 0 00	5/1/2014 0 00	1,982	3,588	(1,606)			LONG
CQOF8010002	WILLIS LEASE FIN CORP	4/26/2011 0 00	5/1/2014 0 00	8,023	5,208	2,815			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/22/2010 0 00	5/1/2014 0 00	642	416	225			LONG
CQOF8010002	WILLIS LEASE FIN CORP	12/6/2010 0 00	5/1/2014 0 00	361	234	127			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/10/2010 0 00	5/1/2014 0 00	4,012	2,603	1,408			LONG
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0 00	5/2/2014 0 00	4,041	4,277	(236)			SHORT
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0 00	5/2/2014 0 00	537	568	(31)			SHORT
CQOF8010002	CAL DIVE INTL INC DEL	10/10/2013 0 00	5/2/2014 0 00	299	398	(98)	98	0	SHORT
CQOF8010002	CAL DIVE INTL INC DEL	4/11/2013 0 00	5/2/2014 0 00	1,047	1,336	(289)	289	(0)	LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/11/2013 0 00	5/2/2014 0 00	2,093	2,650	(557)	557	0	LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/12/2013 0 00	5/2/2014 0 00	3,140	3,963	(823)	823	(0)	LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
EIN 34-6555349
FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT				SALES		GAIN/LOSS AMOUNT	FED WASH		NET GAIN/LOSS WITH LOSS		LONG/SHORT
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS		SALE DISALLOWED		DISALLOWED		
CQOF8010002	CAL DIVE INTL INC DEL	4/11/2013 0 00	5/2/2014 0 00	2,392	2,999	(607)		607		0	LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	5/2/2014 0 00	1,372	1,044	328					LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	5/2/2014 0 00	365	278	86					LONG
CQOF8010002	COLUMBUS MCKINNON CORP N Y	11/20/2012 0 00	5/2/2014 0 00	6,674	3,703	2,970					LONG
CQOF8010002	COLUMBUS MCKINNON CORP N Y	11/8/2012 0 00	5/2/2014 0 00	5,234	2,904	2,330					LONG
CQOF8010002	COLUMBUS MCKINNON CORP N Y	11/9/2012 0 00	5/2/2014 0 00	1,178	653	524					LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	3/11/2013 0 00	5/2/2014 0 00	1,065	1,006	59					LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	3/25/2013 0 00	5/2/2014 0 00	1,565	1,477	89					LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	3/12/2013 0 00	5/2/2014 0 00	1,284	1,210	74					LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	4/10/2013 0 00	5/2/2014 0 00	7,827	7,380	447					LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	3/20/2013 0 00	5/2/2014 0 00	1,565	1,477	89					LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	3/7/2013 0 00	5/2/2014 0 00	1,841	1,362	479					LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/27/2013 0 00	5/2/2014 0 00	1,841	1,362	479					LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/9/2013 0 00	5/2/2014 0 00	6,904	5,364	1,539					LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/27/2013 0 00	5/2/2014 0 00	2,301	1,702	599					LONG
CQOF8010002	HOMETRUST BANCSHARES INC	7/11/2012 0 00	5/2/2014 0 00	11,438	8,727	2,711					LONG
CQOF8010002	IMAGE SENSING SYS INC	2/20/2009 0 00	5/2/2014 0 00	120	203	(83)					LONG
CQOF8010002	IMAGE SENSING SYS INC	3/3/2009 0 00	5/2/2014 0 00	430	730	(300)					LONG
CQOF8010002	IMAGE SENSING SYS INC	2/27/2009 0 00	5/2/2014 0 00	309	527	(218)					LONG
CQOF8010002	INSTEEL INDS INC	1/17/2014 0 00	5/2/2014 0 00	1,353	1,356	(4)					SHORT
CQOF8010002	INSTEEL INDS INC	1/17/2014 0 00	5/2/2014 0 00	12,297	12,357	(60)					SHORT
CQOF8010002	KMG-B INC	6/7/2012 0 00	5/2/2014 0 00	4,200	4,530	(330)					LONG
CQOF8010002	KMG-B INC	5/29/2012 0 00	5/2/2014 0 00	1,579	1,703	(124)					LONG
CQOF8010002	KMG-B INC	10/10/2012 0 00	5/2/2014 0 00	1,326	1,502	(176)					LONG
CQOF8010002	KMG-B INC	5/9/2012 0 00	5/2/2014 0 00	1,579	1,703	(124)					LONG
CQOF8010002	KEY TECHNOLOGY INC	9/4/2009 0 00	5/2/2014 0 00	1,233	1,093	140					LONG
CQOF8010002	KEY TECHNOLOGY INC	9/1/2009 0 00	5/2/2014 0 00	888	784	104					LONG
CQOF8010002	KEY TECHNOLOGY INC	9/24/2009 0 00	5/2/2014 0 00	1,578	1,405	173					LONG
CQOF8010002	LIBBEY INC	1/27/2010 0 00	5/2/2014 0 00	13,626	5,438	8,188					LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/21/2013 0 00	5/2/2014 0 00	3,480	4,400	(920)					LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/21/2013 0 00	5/2/2014 0 00	1,268	1,601	(333)					LONG
CQOF8010002	MERCURY COMPUTER SYS INC	3/15/2013 0 00	5/2/2014 0 00	5,473	3,090	2,383					LONG
CQOF8010002	MERCURY COMPUTER SYS INC	4/5/2013 0 00	5/2/2014 0 00	476	268	208					LONG
CQOF8010002	OMNOVA SOLUTIONS INC	2/19/2009 0 00	5/2/2014 0 00	3,006	305	2,702					LONG
CQOF8010002	OMNOVA SOLUTIONS INC	2/20/2009 0 00	5/2/2014 0 00	3,847	390	3,457					LONG
CQOF8010002	PAR TECHNOLOGY CORP	4/27/2012 0 00	5/2/2014 0 00	1,060	1,089	(29)					LONG
CQOF8010002	PAR TECHNOLOGY CORP	4/30/2012 0 00	5/2/2014 0 00	419	430	(11)					LONG
CQOF8010002	PATRIOT TRANSN HLDG INC	2/20/2013 0 00	5/2/2014 0 00	2,947	2,350	597					LONG
CQOF8010002	PATRIOT TRANSN HLDG INC	3/13/2013 0 00	5/2/2014 0 00	5,521	4,400	1,121					LONG
CQOF8010002	RAND LOGISTICS INC COM	2/12/2013 0 00	5/2/2014 0 00	2,060	1,990	70					LONG
CQOF8010002	RAND LOGISTICS INC COM	2/11/2013 0 00	5/2/2014 0 00	3,521	3,431	90					LONG
CQOF8010002	RAND LOGISTICS INC COM	2/27/2013 0 00	5/2/2014 0 00	3,515	3,436	80					LONG
CQOF8010002	STONERIDGE INC	10/18/2013 0 00	5/2/2014 0 00	3,150	3,908	(758)		758		(0)	SHORT
CQOF8010002	STONERIDGE INC	10/28/2013 0 00	5/2/2014 0 00	5,250	6,511	(1,261)		378		(883)	SHORT
CQOF8010002	STONERIDGE INC	10/21/2013 0 00	5/2/2014 0 00	3,675	4,552	(877)		877		(0)	SHORT
CQOF8010002	STONERIDGE INC	10/25/2013 0 00	5/2/2014 0 00	2,100	2,604	(504)		504		0	SHORT
CQOF8010002	UNIVERSAL STAINLESS & ALLOY PROI	10/31/2013 0 00	5/2/2014 0 00	12,490	11,819	670					SHORT
CQOF8010002	VISHAY PRECISION GROUP INC	8/29/2012 0 00	5/2/2014 0 00	1,620	1,347	273					LONG
CQOF8010002	VISHAY PRECISION GROUP INC	8/28/2012 0 00	5/2/2014 0 00	3,240	2,686	554					LONG
CQOF8010002	VISHAY PRECISION GROUP INC	8/30/2012 0 00	5/2/2014 0 00	1,620	1,359	261					LONG
CQOF8010002	VISHAY PRECISION GROUP INC	8/24/2012 0 00	5/2/2014 0 00	2,430	2,010	420					LONG
CQOF8010002	VISHAY PRECISION GROUP INC	8/28/2012 0 00	5/2/2014 0 00	4,860	4,025	835					LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	5/5/2014 0 00	1,723	1,321	402					LONG
CQOF8010002	IMAGE SENSING SYS INC	2/20/2009 0 00	5/5/2014 0 00	428	726	(299)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/6/2013 0 00	5/5/2014 0 00	1,778	2,992	(1,214)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	4/4/2012 0 00	5/5/2014 0 00	61	124	(62)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	1/25/2013 0 00	5/5/2014 0 00	2,452	4,129	(1,677)					LONG
CQOF8010002	ACCURIDE CORP	12/13/2011 0 00	5/6/2014 0 00	1,388	1,510	(123)					LONG
CQOF8010002	ACCURIDE CORP	12/8/2011 0 00	5/6/2014 0 00	1,527	1,663	(135)					LONG
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	11/20/2012 0 00	5/6/2014 0 00	6,912	5,667	1,245					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/6/2013 0 00	5/6/2014 0 00	613	1,032	(418)					LONG
CQOF8010002	IMAGE SENSING SYS INC	2/20/2009 0 00	5/7/2014 0 00	437	726	(289)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	1/31/2013 0 00	5/7/2014 0 00	1,137	1,959	(822)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/6/2013 0 00	5/7/2014 0 00	658	1,135	(477)					LONG
CQOF8010002	IMAGE SENSING SYS INC	2/20/2009 0 00	5/8/2014 0 00	424	726	(302)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	1/31/2013 0 00	5/8/2014 0 00	1,198	2,062	(864)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/6/2013 0 00	5/9/2014 0 00	556	926	(370)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	1/31/2013 0 00	5/9/2014 0 00	62	103	(41)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	1/24/2013 0 00	5/9/2014 0 00	1,236	2,061	(825)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	1/30/2013 0 00	5/9/2014 0 00	1,236	2,060	(823)					LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	5/12/2014 0 00	1,763	1,321	442					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/6/2013 0 00	5/12/2014 0 00	1,349	2,161	(812)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/7/2013 0 00	5/12/2014 0 00	1,221	1,953	(732)					LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/29/2012 0 00	5/12/2014 0 00	1,285	2,056	(771)					LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8010002	TOWN SPORTS INTL HLDGS INC	3/1/2012 0-00	5/12/2014 0 00	643	1,028	(385)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/7/2013 0 00	5/13/2014 0 00	1,326	2,159	(832)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/28/2012 0 00	5/13/2014 0 00	1,200	1,953	(753)			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	5/14/2014 0 00	1,774	1,321	453			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/28/2012 0 00	5/14/2014 0-00	63	103	(39)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	1/28/2013 0 00	5/14/2014 0-00	634	1,027	(393)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/6/2013 0 00	5/14/2014 0 00	2,473	4,000	(1,527)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/27/2012 0 00	5/15/2014 0 00	3,004	5,026	(2,022)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/6/2013 0 00	5/15/2014 0 00	61	103	(41)			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	5/16/2014 0 00	3,463	2,643	820			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/27/2012 0 00	5/16/2014 0 00	1,831	3,077	(1,246)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/7/2013 0 00	5/19/2014 0 00	1,808	2,967	(1,158)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/27/2012 0 00	5/19/2014 0 00	62	103	(40)			LONG
CQOF8010002	IMAGE SENSING SYS INC	2/20/2009 0 00	5/20/2014 0 00	423	726	(304)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/23/2012 0 00	5/20/2014 0 00	1,136	1,938	(802)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/7/2013 0 00	5/20/2014 0 00	60	102	(43)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/23/2012 0 00	5/21/2014 0 00	600	1,020	(420)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/4/2013 0 00	5/27/2014 0 00	1,160	1,936	(776)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/23/2012 0 00	5/27/2014 0 00	61	102	(41)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	4/30/2012 0 00	5/28/2014 0 00	438	506	(68)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/16/2012 0 00	5/29/2014 0 00	820	935	(115)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	4/30/2012 0 00	5/29/2014 0 00	510	582	(72)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	4/30/2012 0 00	5/29/2014 0 00	452	506	(54)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/2/2012 0 00	5/29/2014 0 00	377	430	(53)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/16/2012 0 00	5/29/2014 0 00	952	1,087	(135)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/22/2012 0 00	5/29/2014 0 00	1,147	1,924	(776)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/4/2013 0 00	5/29/2014 0 00	60	102	(42)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/22/2012 0 00	5/30/2014 0 00	1,229	2,025	(796)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/21/2012 0 00	6/2/2014 0 00	1,153	1,920	(767)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/22/2012 0 00	6/2/2014 0 00	61	101	(41)			LONG
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0 00	6/4/2014 0 00	590	646	(56)			SHORT
CQOF8010002	ACCURIDE CORP	12/13/2011 0 00	6/4/2014 0 00	524	635	(110)			LONG
CQOF8010002	ACCURIDE CORP	12/13/2011 0 00	6/4/2014 0 00	1,020	1,269	(249)			LONG
CQOF8010002	CPI AEROSTRUCTURES INC NEW	3/31/2010 0 00	6/4/2014 0 00	1,258	780	478			LONG
CQOF8010002	CENTRAL GARDEN & PET CO	10/25/2013 0 00	6/4/2014 0 00	1,546	1,464	82			SHORT
CQOF8010002	COLUMBUS MCKINNON CORP N Y	11/9/2012 0 00	6/4/2014 0 00	1,548	799	749			LONG
CQOF8010002	COLUMBUS MCKINNON CORP N Y	11/12/2012 0 00	6/4/2014 0 00	1,266	653	613			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0 00	6/4/2014 0 00	1,738	1,345	393			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/5/2010 0 00	6/4/2014 0 00	803	777	26			LONG
CQOF8010002	GLOBAL PWR EQUIP GROUP INC	11/20/2012 0 00	6/4/2014 0 00	1,594	1,417	177			LONG
CQOF8010002	GREAT LAKES DREDGE & DOCK CO	2/23/2010 0 00	6/4/2014 0 00	373	225	149			LONG
CQOF8010002	MERCURY COMPUTER SYS INC	4/5/2013 0 00	6/4/2014 0 00	720	477	243			LONG
CQOF8010002	MERCURY COMPUTER SYS INC	3/20/2013 0 00	6/4/2014 0 00	1,530	1,012	518			LONG
CQOF8010002	OMNOVA SOLUTIONS INC	2/19/2009 0 00	6/4/2014 0-00	1,384	139	1,245			LONG
CQOF8010002	QAD INC-A	8/8/2011 0 00	6/4/2014 0 00	1,100	514	586			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/22/2010 0 00	6/4/2014 0 00	1,877	1,301	576			LONG
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0 00	6/5/2014 0 00	609	646	(37)			SHORT
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0 00	6/5/2014 0 00	593	646	(53)			SHORT
CQOF8010002	ACCURIDE CORP	12/13/2011 0 00	6/5/2014 0 00	263	317	(54)			LONG
CQOF8010002	ASTRO MED INC NEW	1/26/2007 0 00	6/5/2014 0 00	1,376	1,053	323			LONG
CQOF8010002	CENTRAL GARDEN & PET CO	10/25/2013 0 00	6/5/2014 0 00	764	732	33			SHORT
CQOF8010002	CITI TRENDS INC	7/20/2011 0 00	6/5/2014 0-00	2,026	1,321	704			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0-00	6/5/2014 0 00	997	199	798			LONG
CQOF8010002	FERRO CORPORATION	11/2/2012 0 00	6/5/2014 0-00	315	63	252			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0 00	6/5/2014 0 00	679	131	548			LONG
CQOF8010002	FIRST CONNECTICUT BANCORP	3/12/2013 0 00	6/5/2014 0 00	1,507	1,475	32			LONG
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/27/2013 0 00	6/5/2014 0 00	1,861	1,362	499			LONG
CQOF8010002	HOMETRUST BANCSHARES INC	5/13/2014 0 00	6/5/2014 0 00	1,536	1,532	4			SHORT
CQOF8010002	HOOKER FURNITURE CORP	9/1/2011 0 00	6/5/2014 0 00	187	116	71			LONG
CQOF8010002	HOOKER FURNITURE CORP	9/2/2011 0 00	6/5/2014 0 00	532	329	203			LONG
CQOF8010002	KMG-B INC	6/7/2012 0 00	6/5/2014 0 00	764	851	(88)			LONG
CQOF8010002	LIBBEY INC	1/27/2010 0 00	6/5/2014 0 00	459	168	291			LONG
CQOF8010002	LIBBEY INC	2/10/2010 0-00	6/5/2014 0-00	891	314	577			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/27/2010 0 00	6/5/2014 0 00	1,675	384	1,291			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/27/2010 0 00	6/5/2014 0-00	814	192	622			LONG
CQOF8010002	MARINEMAX INC	3/4/2011 0 00	6/5/2014 0 00	1,613	870	743			LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	3/21/2013 0 00	6/5/2014 0 00	1,138	1,220	(82)			LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	4/1/2013 0-00	6/5/2014 0 00	178	190	(13)			LONG
CQOF8010002	PANTRY INC	2/14/2014 0 00	6/5/2014 0-00	849	690	159			SHORT
CQOF8010002	PANTRY INC	11/11/2013 0-00	6/5/2014 0 00	1,698	1,396	302			SHORT
CQOF8010002	RAND LOGISTICS INC COM	2/12/2013 0 00	6/5/2014 0-00	599	567	32			LONG
CQOF8010002	RAND LOGISTICS INC COM	2/12/2013 0-00	6/5/2014 0-00	592	567	25			LONG
CQOF8010002	REIS INC	9/21/2011 0-00	6/5/2014 0-00	910	451	460			LONG
CQOF8010002	STONERIDGE INC	10/21/2013 0-00	6/5/2014 0-00	1,050	1,301	(251)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8010002	STONERIDGE INC	10/21/2013 0:00	6/5/2014 0:00	506	650	(144)			SHORT
CQOF8010002	STONERIDGE INC	10/29/2013 0:00	6/5/2014 0:00	506	648	(141)			SHORT
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/21/2012 0:00	6/5/2014 0:00	284	505	(221)			LONG
CQOF8010002	VISHAY PRECISION GROUP INC	8/24/2012 0:00	6/5/2014 0:00	1,579	1,340	239			LONG
CQOF8010002	IMAGE SENSING SYS INC	2/20/2009 0:00	6/6/2014 0:00	196	363	(168)			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/28/2010 0:00	6/6/2014 0:00	1,463	328	1,135			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/27/2010 0:00	6/6/2014 0:00	238	54	185			LONG
CQOF8010002	MEADOWBROOK INS GROUP INC	4/1/2013 0:00	6/6/2014 0:00	346	353	(7)			LONG
CQOF8010002	MICROFINANCIAL INC	5/5/2011 0:00	6/6/2014 0:00	99	71	28			LONG
CQOF8010002	MICROFINANCIAL INC	9/19/2011 0:00	6/6/2014 0:00	282	201	82			LONG
CQOF8010002	UNIVERSAL STAINLESS & ALLOY PROI	5/12/2014 0:00	6/6/2014 0:00	1,523	1,676	(153)			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/9/2013 0:00	6/9/2014 0:00	437	349	88			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/21/2013 0:00	6/9/2014 0:00	1,298	1,098	201			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/25/2013 0:00	6/9/2014 0:00	1,298	1,098	200			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/21/2013 0:00	6/9/2014 0:00	2,192	1,829	362			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/15/2013 0:00	6/9/2014 0:00	1,315	1,097	218			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/17/2013 0:00	6/9/2014 0:00	437	363	74			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/15/2013 0:00	6/9/2014 0:00	1,310	1,097	214			SHORT
CQOF8010002	CENTRAL GARDEN & PET CO	10/17/2013 0:00	6/9/2014 0:00	437	363	74			SHORT
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	6/9/2014 0:00	2,599	525	2,074			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/28/2010 0:00	6/9/2014 0:00	238	53	184			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/22/2010 0:00	6/9/2014 0:00	3,156	705	2,451			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/21/2012 0:00	6/9/2014 0:00	1,199	2,021	(822)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/2/2012 0:00	6/17/2014 0:00	866	1,011	(145)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/2/2012 0:00	6/18/2014 0:00	442	506	(63)			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/22/2010 0:00	6/19/2014 0:00	1,681	379	1,302			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/22/2010 0:00	6/20/2014 0:00	1,918	432	1,486			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0:00	6/20/2014 0:00	3,129	673	2,456			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/21/2010 0:00	6/20/2014 0:00	1,682	374	1,308			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0:00	6/24/2014 0:00	5,018	1,086	3,932			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/22/2012 0:00	6/24/2014 0:00	837	1,413	(576)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/21/2012 0:00	6/24/2014 0:00	957	1,617	(660)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/16/2012 0:00	6/25/2014 0:00	1,239	1,991	(752)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/17/2012 0:00	6/25/2014 0:00	620	1,002	(382)			LONG
CQOF8010002	TOWN SPORTS INTL HLDGS INC	2/22/2012 0:00	6/25/2014 0:00	372	606	(234)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/2/2012 0:00	7/1/2014 0:00	427	506	(78)			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/22/2010 0:00	7/1/2014 0:00	2,468	1,301	1,166			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0:00	7/2/2014 0:00	2,271	1,321	949			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	7/2/2014 0:00	3,961	787	3,174			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	7/2/2014 0:00	3,887	787	3,100			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	7/2/2014 0:00	5,243	1,050	4,193			LONG
CQOF8010002	WILLIS LEASE FIN CORP	3/18/2011 0:00	7/2/2014 0:00	785	416	369			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/22/2010 0:00	7/2/2014 0:00	1,669	885	784			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0:00	7/3/2014 0:00	2,257	1,321	935			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0:00	7/3/2014 0:00	9,017	5,286	3,732			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	7/3/2014 0:00	5,350	1,050	4,300			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	7/3/2014 0:00	5,309	1,050	4,259			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	7/3/2014 0:00	2,666	525	2,141			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0:00	7/3/2014 0:00	1,673	362	1,311			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/2/2012 0:00	7/3/2014 0:00	852	1,011	(160)			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0:00	7/7/2014 0:00	2,250	1,321	928			LONG
CQOF8010002	FERRO CORPORATION	10/15/2012 0:00	7/7/2014 0:00	6,203	1,244	4,959			LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	7/7/2014 0:00	2,957	590	2,367			LONG
CQOF8010002	CITI TRENDS INC	7/20/2011 0:00	7/8/2014 0:00	466	277	188			LONG
CQOF8010002	CITI TRENDS INC	9/2/2011 0:00	7/8/2014 0:00	1,753	1,043	710			LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	7/8/2014 0:00	3,922	783	3,139			LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	7/8/2014 0:00	1,340	261	1,079			LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	7/8/2014 0:00	9,310	1,828	7,483			LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	7/8/2014 0:00	1,675	325	1,350			LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	7/8/2014 0:00	984	193	791			LONG
CQOF8010002	CITI TRENDS INC	9/3/2011 0:00	7/9/2014 0:00	1,761	1,042	718			LONG
CQOF8010002	CITI TRENDS INC	9/2/2011 0:00	7/9/2014 0:00	468	277	191			LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	7/9/2014 0:00	1,367	258	1,109			LONG
CQOF8010002	CITI TRENDS INC	9/3/2011 0:00	7/10/2014 0:00	2,204	1,319	884			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/12/2010 0:00	7/10/2014 0:00	755	416	339			LONG
CQOF8010002	WILLIS LEASE FIN CORP	3/18/2011 0:00	7/10/2014 0:00	1,604	885	719			LONG
CQOF8010002	WILLIS LEASE FIN CORP	11/12/2010 0:00	7/11/2014 0:00	1,611	883	727			LONG
CQOF8010002	WILLIS LEASE FIN CORP	3/29/2012 0:00	7/11/2014 0:00	758	414	344			LONG
CQOF8010002	WILLIS LEASE FIN CORP	3/29/2012 0:00	7/11/2014 0:00	2,363	1,295	1,068			LONG
CQOF8010002	PAR TECHNOLOGY CORP	11/14/2012 0:00	7/15/2014 0:00	338	427	(89)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/2/2012 0:00	7/15/2014 0:00	60	76	(16)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	11/14/2012 0:00	7/16/2014 0:00	396	503	(107)			LONG
CQOF8010002	WILLIS LEASE FIN CORP	3/29/2012 0:00	7/16/2014 0:00	2,385	1,295	1,090			LONG
CQOF8010002	WILLIS LEASE FIN CORP	4/5/2011 0:00	7/16/2014 0:00	767	414	353			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8010002	WILLIS LEASE FIN CORP	3/29/2012 0-00	7/16/2014 0-00	1,631	881	750			LONG
CQOF8010002	MICROFINANCIAL INC	9/19/2011 0-00	7/17/2014 0-00	754	542	212			LONG
CQOF8010002	MICROFINANCIAL INC	9/19/2011 0-00	7/18/2014 0-00	748	542	206			LONG
CQOF8010002	MICROFINANCIAL INC	9/19/2011 0-00	7/18/2014 0-00	473	341	132			LONG
CQOF8010002	MICROFINANCIAL INC	9/22/2011 0-00	7/18/2014 0-00	278	197	81			LONG
CQOF8010002	MICROFINANCIAL INC	8/11/2011 0-00	7/18/2014 0-00	751	539	212			LONG
CQOF8010002	MICROFINANCIAL INC	5/4/2011 0-00	7/21/2014 0-00	277	197	80			LONG
CQOF8010002	MICROFINANCIAL INC	9/22/2011 0-00	7/21/2014 0-00	471	335	136			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0-00	7/22/2014 0-00	4,956	1,086	3,870			LONG
CQOF8010002	MICROFINANCIAL INC	5/4/2011 0-00	7/22/2014 0-00	3,743	2,659	1,084			LONG
CQOF8010002	MICROFINANCIAL INC	5/4/2011 0-00	7/23/2014 0-00	1,991	1,399	592			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/23/2014 0-00	280	183	97			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/5/2010 0-00	7/24/2014 0-00	3,978	3,107	871			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0-00	7/24/2014 0-00	4,911	1,086	3,825			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/24/2014 0-00	1,536	990	546			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/5/2010 0-00	7/25/2014 0-00	648	505	143			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/28/2010 0-00	7/25/2014 0-00	349	267	83			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/27/2010 0-00	7/25/2014 0-00	1,648	1,259	389			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/27/2010 0-00	7/25/2014 0-00	2,343	1,793	549			LONG
CQOF8010002	EVOLVING SYSTEMS INC	11/5/2010 0-00	7/25/2014 0-00	1,994	1,553	440			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0-00	7/25/2014 0-00	1,608	362	1,246			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0-00	7/25/2014 0-00	1,613	362	1,251			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/25/2014 0-00	1,516	990	526			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/28/2014 0-00	3,007	1,980	1,027			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/29/2014 0-00	1,520	990	530			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/28/2010 0-00	7/30/2014 0-00	4,025	3,051	974			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/30/2014 0-00	1,038	678	360			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/30/2014 0-00	1,236	807	429			LONG
CQOF8010002	PANTRY INC	2/14/2014 0-00	7/30/2014 0-00	2,695	2,070	625			SHORT
CQOF8010002	PANTRY INC	2/12/2014 0-00	7/30/2014 0-00	6,288	4,810	1,478			SHORT
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	7/31/2014 0-00	198	563	(365)			LONG
CQOF8010002	IMAGE SENSING SYS INC	2/20/2009 0-00	7/31/2014 0-00	56	160	(104)			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/31/2014 0-00	758	495	263			LONG
CQOF8010002	MICROFINANCIAL INC	4/27/2011 0-00	7/31/2014 0-00	476	312	164			LONG
CQOF8010002	MICROFINANCIAL INC	4/25/2011 0-00	7/31/2014 0-00	1,035	655	380			LONG
CQOF8010002	PANTRY INC	2/12/2014 0-00	7/31/2014 0-00	2,685	2,062	623			SHORT
CQOF8010002	PANTRY INC	2/10/2014 0-00	7/31/2014 0-00	4,510	3,429	1,080			SHORT
CQOF8010002	PANTRY INC	2/10/2014 0-00	7/31/2014 0-00	6,264	4,801	1,463			SHORT
CQOF8010002	PANTRY INC	11/8/2013 0-00	7/31/2014 0-00	902	685	217			SHORT
CQOF8010002	PAR TECHNOLOGY CORP	11/14/2012 0-00	7/31/2014 0-00	839	1,081	(242)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/4/2012 0-00	7/31/2014 0-00	722	929	(207)			LONG
CQOF8010002	MICROFINANCIAL INC	4/25/2011 0-00	8/1/2014 0-00	760	478	282			LONG
CQOF8010002	PANTRY INC	11/5/2013 0-00	8/1/2014 0-00	4,494	3,424	1,069			SHORT
CQOF8010002	PANTRY INC	11/8/2013 0-00	8/1/2014 0-00	899	685	214			SHORT
CQOF8010002	PANTRY INC	11/5/2013 0-00	8/1/2014 0-00	3,618	2,740	878			SHORT
CQOF8010002	PANTRY INC	11/5/2013 0-00	8/1/2014 0-00	2,741	2,055	686			SHORT
CQOF8010002	PANTRY INC	11/8/2013 0-00	8/1/2014 0-00	5,374	4,111	1,263			SHORT
CQOF8010002	PANTRY INC	2/6/2014 0-00	8/1/2014 0-00	914	684	230			SHORT
CQOF8010002	PAR TECHNOLOGY CORP	5/4/2012 0-00	8/1/2014 0-00	769	1,004	(235)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/4/2014 0-00	231	722	(490)			LONG
CQOF8010002	MICROFINANCIAL INC	4/25/2011 0-00	8/4/2014 0-00	1,245	779	466			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/4/2012 0-00	8/4/2014 0-00	382	502	(120)			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/28/2010 0-00	8/5/2014 0-00	648	496	153			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/29/2010 0-00	8/5/2014 0-00	1,347	1,029	318			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/5/2014 0-00	218	722	(504)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/6/2014 0-00	219	722	(503)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/15/2012 0-00	8/6/2014 0-00	756	1,004	(248)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/1/2012 0-00	8/6/2014 0-00	699	928	(229)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/4/2012 0-00	8/6/2014 0-00	57	75	(19)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/14/2012 0-00	8/6/2014 0-00	378	502	(124)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	7/2/2012 0-00	8/6/2014 0-00	378	500	(122)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/19/2012 0-00	8/6/2014 0-00	378	499	(121)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/13/2012 0-00	8/6/2014 0-00	378	491	(113)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	5/1/2012 0-00	8/6/2014 0-00	813	1,079	(266)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/28/2012 0-00	8/6/2014 0-00	378	478	(100)			LONG
CQOF8010002	PAR TECHNOLOGY CORP	6/15/2012 0-00	8/6/2014 0-00	378	481	(103)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/7/2014 0-00	216	722	(506)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/8/2014 0-00	217	722	(505)			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0-00	8/11/2014 0-00	3,780	2,690	1,090			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/11/2014 0-00	654	2,165	(1,512)			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0-00	8/12/2014 0-00	3,772	2,690	1,082			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0-00	8/12/2014 0-00	1,886	1,345	541			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/12/2014 0-00	220	722	(501)			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0-00	8/13/2014 0-00	1,886	1,345	541			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/13/2014 0-00	221	722	(501)			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0-00	8/14/2014 0-00	3,784	2,690	1,094			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/29/2010 0-00	8/14/2014 0-00	1,047	762	285			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/14/2014 0-00	435	1,443	(1,008)			LONG
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0-00	8/15/2014 0-00	1,886	1,345	541			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/15/2014 0-00	219	722	(502)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/19/2014 0-00	226	722	(495)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/20/2014 0-00	224	722	(498)			LONG
CQOF8010002	CITI TRENDS INC	8/31/2011 0-00	8/21/2014 0-00	3,946	2,328	1,618			LONG
CQOF8010002	CITI TRENDS INC	9/3/2011 0-00	8/21/2014 0-00	463	277	186			LONG
CQOF8010002	CITI TRENDS INC	8/31/2011 0-00	8/21/2014 0-00	465	273	192			LONG
CQOF8010002	CITI TRENDS INC	2/7/2013 0-00	8/21/2014 0-00	6,172	3,590	2,582			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/21/2014 0-00	220	722	(502)			LONG
CQOF8010002	CITI TRENDS INC	2/7/2013 0-00	8/22/2014 0-00	462	270	192			LONG
CQOF8010002	CITI TRENDS INC	9/13/2012 0-00	8/22/2014 0-00	2,202	1,282	920			LONG
CQOF8010002	CITI TRENDS INC	9/27/2012 0-00	8/22/2014 0-00	2,202	1,273	929			LONG
CQOF8010002	CITI TRENDS INC	9/12/2012 0-00	8/22/2014 0-00	3,942	2,279	1,664			LONG
CQOF8010002	CITI TRENDS INC	9/12/2012 0-00	8/22/2014 0-00	469	267	202			LONG
CQOF8010002	CITI TRENDS INC	9/28/2012 0-00	8/22/2014 0-00	1,766	998	768			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/22/2014 0-00	226	722	(495)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/25/2014 0-00	692	2,165	(1,473)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/26/2014 0-00	1,051	2,324	(1,272)			LONG
CQOF8010002	IMAGE SENSING SYS INC	2/10/2009 0-00	8/26/2014 0-00	350	717	(367)			LONG
CQOF8010002	IMAGE SENSING SYS INC	3/2/2009 0-00	8/26/2014 0-00	350	722	(372)			LONG
CQOF8010002	IMAGE SENSING SYS INC	1/29/2009 0-00	8/26/2014 0-00	350	719	(369)			LONG
CQOF8010002	IMAGE SENSING SYS INC	2/10/2009 0-00	8/26/2014 0-00	318	717	(399)			LONG
CQOF8010002	IMAGE SENSING SYS INC	2/6/2009 0-00	8/26/2014 0-00	1,271	2,809	(1,538)			LONG
CQOF8010002	MKTG INC COM	10/5/2006 0-00	8/28/2014 0-00	495	341	154			LONG
CQOF8010002	MKTG INC COM	10/9/2006 0-00	8/28/2014 0-00	247	170	77			LONG
CQOF8010002	MKTG INC COM	10/9/2006 0-00	8/28/2014 0-00	33	23	10			LONG
CQOF8010002	MKTG INC COM	10/5/2006 0-00	8/28/2014 0-00	65	45	20			LONG
CQOF8010002	MKTG INC COM	9/18/2006 0-00	8/28/2014 0-00	33	22	10			LONG
CQOF8010002	MKTG INC COM	9/18/2006 0-00	8/28/2014 0-00	247	170	78			LONG
CQOF8010002	MKTG INC COM	10/2/2006 0-00	8/28/2014 0-00	65	44	21			LONG
CQOF8010002	MKTG INC COM	10/2/2006 0-00	8/28/2014 0-00	495	334	161			LONG
CQOF8010002	MKTG INC COM	10/10/2006 0-00	8/28/2014 0-00	294	198	97			LONG
CQOF8010002	MKTG INC COM	10/10/2006 0-00	8/28/2014 0-00	2,226	1,495	731			LONG
CQOF8010002	MKTG INC COM	9/29/2006 0-00	8/28/2014 0-00	65	44	22			LONG
CQOF8010002	MKTG INC COM	9/29/2006 0-00	8/28/2014 0-00	495	332	163			LONG
CQOF8010002	MKTG INC COM	9/25/2006 0-00	8/28/2014 0-00	495	330	165			LONG
CQOF8010002	MKTG INC COM	9/25/2006 0-00	8/28/2014 0-00	65	44	22			LONG
CQOF8010002	MKTG INC COM	9/14/2012 0-00	8/28/2014 0-00	560	251	309			LONG
CQOF8010002	MKTG INC COM	3/26/2014 0-00	8/28/2014 0-00	280	122	158			SHORT
CQOF8010002	MKTG INC COM	3/27/2014 0-00	8/28/2014 0-00	280	122	158			SHORT
CQOF8010002	MKTG INC COM	3/25/2014 0-00	8/28/2014 0-00	280	122	158			SHORT
CQOF8010002	MKTG INC COM	10/31/2013 0-00	8/28/2014 0-00	280	121	159			SHORT
CQOF8010002	MKTG INC COM	1/15/2014 0-00	8/28/2014 0-00	280	121	159			SHORT
CQOF8010002	MKTG INC COM	11/12/2013 0-00	8/28/2014 0-00	560	240	320			SHORT
CQOF8010002	MKTG INC COM	9/13/2012 0-00	8/28/2014 0-00	280	119	161			LONG
CQOF8010002	MKTG INC COM	8/21/2013 0-00	8/28/2014 0-00	560	238	322			LONG
CQOF8010002	MKTG INC COM	8/21/2013 0-00	8/28/2014 0-00	560	238	322			LONG
CQOF8010002	MKTG INC COM	9/27/2013 0-00	8/28/2014 0-00	280	118	162			SHORT
CQOF8010002	MKTG INC COM	12/30/2013 0-00	8/28/2014 0-00	280	118	162			SHORT
CQOF8010002	MKTG INC COM	1/7/2014 0-00	8/28/2014 0-00	280	118	162			SHORT
CQOF8010002	MKTG INC COM	2/4/2014 0-00	8/28/2014 0-00	280	118	162			SHORT
CQOF8010002	MKTG INC COM	2/13/2014 0-00	8/28/2014 0-00	560	236	324			SHORT
CQOF8010002	MKTG INC COM	12/13/2013 0-00	8/28/2014 0-00	280	117	163			SHORT
CQOF8010002	MKTG INC COM	8/30/2013 0-00	8/28/2014 0-00	560	232	328			SHORT
CQOF8010002	MKTG INC COM	9/30/2013 0-00	8/28/2014 0-00	280	116	164			SHORT
CQOF8010002	MKTG INC COM	12/10/2013 0-00	8/28/2014 0-00	560	232	328			SHORT
CQOF8010002	MKTG INC COM	1/30/2014 0-00	8/28/2014 0-00	560	232	328			SHORT
CQOF8010002	MKTG INC COM	2/5/2014 0-00	8/28/2014 0-00	280	116	164			SHORT
CQOF8010002	MKTG INC COM	11/13/2013 0-00	8/28/2014 0-00	560	230	330			SHORT
CQOF8010002	MKTG INC COM	12/19/2013 0-00	8/28/2014 0-00	280	115	165			SHORT
CQOF8010002	MKTG INC COM	12/19/2013 0-00	8/28/2014 0-00	560	230	330			SHORT
CQOF8010002	MKTG INC COM	12/19/2013 0-00	8/28/2014 0-00	560	230	330			SHORT
CQOF8010002	MKTG INC COM	12/12/2013 0-00	8/28/2014 0-00	560	228	332			SHORT
CQOF8010002	MKTG INC COM	9/5/2013 0-00	8/28/2014 0-00	560	227	333			SHORT
CQOF8010002	MKTG INC COM	9/3/2013 0-00	8/28/2014 0-00	280	113	167			SHORT
CQOF8010002	MKTG INC COM	9/6/2013 0-00	8/28/2014 0-00	280	111	169			SHORT
CQOF8010002	MKTG INC COM	9/9/2013 0-00	8/28/2014 0-00	280	111	169			SHORT
CQOF8010002	MKTG INC COM	11/14/2013 0-00	8/28/2014 0-00	560	222	338			SHORT
CQOF8010002	MKTG INC COM	11/19/2013 0-00	8/28/2014 0-00	280	111	169			SHORT
CQOF8010002	MKTG INC COM	10/21/2013 0-00	8/28/2014 0-00	280	110	170			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT				SALES		GAIN/LOSS	FED WASH	NET		
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	SALE DISALLOWED	GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT	
CQOF8010002	MKTG INC COM	10/22/2013 0 00	8/28/2014 0 00	280	110	170			SHORT	
CQOF8010002	MKTG INC COM	10/10/2013 0 00	8/28/2014 0 00	560	216	344			SHORT	
CQOF8010002	MKTG INC COM	9/17/2013 0 00	8/28/2014 0 00	280	106	174			SHORT	
CQOF8010002	MKTG INC COM	9/24/2013 0 00	8/28/2014 0 00	280	106	174			SHORT	
CQOF8010002	MKTG INC COM	9/26/2013 0 00	8/28/2014 0 00	280	106	174			SHORT	
CQOF8010002	MKTG INC COM	10/15/2013 0 00	8/28/2014 0 00	280	106	174			SHORT	
CQOF8010002	MKTG INC COM	10/11/2013 0 00	8/28/2014 0 00	560	210	350			SHORT	
CQOF8010002	MKTG INC COM	8/2/2013 0 00	8/28/2014 0 00	560	206	354			LONG	
CQOF8010002	MKTG INC COM	2/8/2011 0 00	8/28/2014 0 00	5,040	1,636	3,404			LONG	
CQOF8010002	MKTG INC COM	2/9/2011 0 00	8/28/2014 0 00	6,440	2,085	4,355			LONG	
CQOF8010002	MKTG INC COM	2/10/2011 0 00	8/28/2014 0 00	1,680	541	1,139			LONG	
CQOF8010002	MKTG INC COM	2/10/2011 0 00	8/28/2014 0 00	4,200	1,347	2,853			LONG	
CQOF8010002	MKTG INC COM	2/7/2011 0 00	8/28/2014 0 00	5,880	1,859	4,022			LONG	
CQOF8010002	MKTG INC COM	2/4/2011 0 00	8/28/2014 0 00	1,120	324	796			LONG	
CQOF8010002	MKTG INC COM	10/26/2006 0 00	8/28/2014 0 00	429	303	127			LONG	
CQOF8010002	MKTG INC COM	10/26/2006 0 00	8/28/2014 0 00	131	92	39			LONG	
CQOF8010002	MKTG INC COM	10/23/2006 0 00	8/28/2014 0 00	33	23	10			LONG	
CQOF8010002	MKTG INC COM	10/23/2006 0 00	8/28/2014 0 00	247	171	76			LONG	
CQOF8010002	FERRO CORPORATION	11/14/2012 0 00	9/24/2014 0 00	1,495	258	1,237			LONG	
CQOF8010002	FERRO CORPORATION	11/14/2012 0 00	9/24/2014 0 00	5,996	1,033	4,963			LONG	
CQOF8010002	MERCURY COMPUTER SYS INC	3/20/2013 0 00	9/26/2014 0 00	2,592	1,756	836			LONG	
CQOF8010002	MERCURY COMPUTER SYS INC	3/20/2013 0 00	9/26/2014 0 00	3,997	2,708	1,289			LONG	
CQOF8010002	PANTRY INC	2/6/2014 0 00	9/26/2014 0 00	14,468	9,571	4,897			SHORT	
CQOF8010002	STONERIDGE INC	10/29/2013 0 00	9/26/2014 0 00	6,015	6,476	(461)			SHORT	
CQOF8010002	STONERIDGE INC	10/29/2013 0 00	9/26/2014 0 00	5,983	6,476	(493)			SHORT	
CQOF8010002	MERCURY COMPUTER SYS INC	3/19/2013 0 00	9/29/2014 0 00	388	267	121			LONG	
CQOF8010002	MERCURY COMPUTER SYS INC	3/18/2013 0 00	9/29/2014 0 00	690	475	215			LONG	
CQOF8010002	MERCURY COMPUTER SYS INC	3/19/2013 0 00	9/29/2014 0 00	2,156	1,485	671			LONG	
CQOF8010002	MERCURY COMPUTER SYS INC	3/18/2013 0 00	9/29/2014 0 00	5,390	3,713	1,677			LONG	
CQOF8010002	MERCURY COMPUTER SYS INC	3/20/2013 0 00	9/29/2014 0 00	2,847	1,964	883			LONG	
CQOF8010002	MERCURY COMPUTER SYS INC	3/18/2013 0 00	9/29/2014 0 00	1,466	1,010	457			LONG	
CQOF8010002	PANTRY INC	2/6/2014 0 00	9/29/2014 0 00	1,020	684	337			SHORT	
CQOF8010002	PANTRY INC	2/13/2014 0 00	9/29/2014 0 00	2,074	1,366	708			SHORT	
CQOF8010002	PANTRY INC	2/13/2014 0 00	9/29/2014 0 00	1,020	683	337			SHORT	
CQOF8010002	PANTRY INC	2/11/2014 0 00	9/29/2014 0 00	4,081	2,733	1,347			SHORT	
CQOF8010002	STONERIDGE INC	10/29/2013 0 00	9/29/2014 0 00	602	648	(46)			SHORT	
CQOF8010002	STONERIDGE INC	10/22/2013 0 00	9/29/2014 0 00	602	647	(45)			SHORT	
CQOF8010002	MERCURY COMPUTER SYS INC	3/19/2013 0 00	9/30/2014 0 00	2,174	1,484	690			LONG	
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0 00	10/2/2014 0 00	1,944	1,345	599			LONG	
CQOF8010002	REIS INC	9/8/2011 0 00	10/2/2014 0 00	4,518	1,705	2,814			LONG	
CQOF8010002	REIS INC	9/21/2011 0 00	10/2/2014 0 00	263	99	164			LONG	
CQOF8010002	REIS INC	9/8/2011 0 00	10/3/2014 0 00	263	99	164			LONG	
CQOF8010002	REIS INC	9/22/2011 0 00	10/3/2014 0 00	2,129	798	1,330			LONG	
CQOF8010002	REIS INC	5/20/2011 0 00	10/6/2014 0 00	2,128	789	1,339			LONG	
CQOF8010002	REIS INC	9/22/2011 0 00	10/6/2014 0 00	263	99	164			LONG	
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0 00	10/7/2014 0 00	1,893	1,345	548			LONG	
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/27/2013 0 00	10/7/2014 0 00	1,529	1,021	507			LONG	
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	3/5/2013 0 00	10/7/2014 0 00	510	340	169			LONG	
CQOF8010002	HOOKER FURNITURE CORP	9/2/2011 0 00	10/7/2014 0 00	959	561	398			LONG	
CQOF8010002	HOOKER FURNITURE CORP	8/9/2011 0 00	10/7/2014 0 00	2,085	1,201	884			LONG	
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0 00	10/7/2014 0 00	1,049	362	687			LONG	
CQOF8010002	STONERIDGE INC	10/22/2013 0 00	10/7/2014 0 00	3,449	3,881	(432)			SHORT	
CQOF8010002	DOUGLAS DYNAMICS INC	8/11/2011 0 00	10/8/2014 0 00	3,788	2,690	1,098			LONG	
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	3/5/2013 0 00	10/8/2014 0 00	2,055	1,362	693			LONG	
CQOF8010002	MANITEX INTERNATIONAL INC	12/17/2010 0 00	10/8/2014 0 00	901	310	592			LONG	
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0 00	10/8/2014 0 00	147	51	96			LONG	
CQOF8010002	MANITEX INTERNATIONAL INC	12/20/2010 0 00	10/8/2014 0 00	1,048	362	686			LONG	
CQOF8010002	STONERIDGE INC	10/22/2013 0 00	10/8/2014 0 00	1,123	1,294	(171)			SHORT	
CQOF8010002	DOUGLAS DYNAMICS INC	6/28/2013 0 00	10/10/2014 0 00	1,868	1,341	527			LONG	
CQOF8010002	PANTRY INC	2/13/2014 0 00	10/10/2014 0 00	10,629	6,147	4,482			SHORT	
CQOF8010002	PANTRY INC	2/7/2014 0 00	10/10/2014 0 00	8,267	4,757	3,510			SHORT	
CQOF8010002	DOUGLAS DYNAMICS INC	6/28/2013 0 00	10/13/2014 0 00	1,864	1,341	523			LONG	
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	3/5/2013 0 00	10/13/2014 0 00	3,566	2,383	1,183			LONG	
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	4/12/2013 0 00	10/13/2014 0 00	509	340	169			LONG	
CQOF8010002	PANTRY INC	2/7/2014 0 00	10/13/2014 0 00	1,150	680	470			SHORT	
CQOF8010002	PANTRY INC	11/1/2013 0 00	10/13/2014 0 00	1,150	679	471			SHORT	
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	4/12/2013 0 00	10/14/2014 0 00	1,530	1,021	509			LONG	
CQOF8010002	HEIDRICK & STRUGGLES INTL INC	2/28/2013 0 00	10/14/2014 0 00	510	340	170			LONG	
CQOF8010002	STONERIDGE INC	10/22/2013 0 00	10/14/2014 0 00	1,686	1,941	(254)			SHORT	
CQOF8010002	STONERIDGE INC	10/30/2013 0 00	10/14/2014 0 00	562	645	(83)			SHORT	
CQOF8010002	CAL DIVE INTL INC DEL	10/24/2013 0 00	10/15/2014 0 00	103	595	(493)			SHORT	
CQOF8010002	CAL DIVE INTL INC DEL	9/13/2013 0 00	10/15/2014 0 00	240	1,580	(1,341)			LONG	
CQOF8010002	CAL DIVE INTL INC DEL	9/12/2013 0 00	10/15/2014 0 00	171	1,128	(957)			LONG	
CQOF8010002	CAL DIVE INTL INC DEL	10/23/2013 0 00	10/15/2014 0 00	162	991	(829)			SHORT	

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8010002	REIS INC	5/20/2011 0 00	10/15/2014 0 00	2,315	887	1,428			LONG
CQOF8010002	CAL DIVE INTL INC DEL	10/23/2013 0 00	10/16/2014 0 00	26	198	(172)			SHORT
CQOF8010002	CAL DIVE INTL INC DEL	4/11/2013 0 00	10/16/2014 0 00	287	2,062	(1,775)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/10/2013 0 00	10/16/2014 0 00	370	2,559	(2,188)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/25/2013 0 00	10/16/2014 0 00	53	363	(311)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/28/2013 0 00	10/16/2014 0 00	370	2,548	(2,178)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/10/2013 0 00	10/16/2014 0 00	359	2,376	(2,016)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/23/2013 0 00	10/16/2014 0 00	183	1,308	(1,125)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/23/2013 0 00	10/16/2014 0 00	52	371	(318)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/24/2013 0 00	10/16/2014 0 00	52	368	(316)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/25/2013 0 00	10/16/2014 0 00	52	366	(314)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/24/2013 0 00	10/16/2014 0 00	52	366	(314)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	10/23/2013 0 00	10/16/2014 0 00	78	594	(516)			SHORT
CQOF8010002	CAL DIVE INTL INC DEL	4/24/2013 0 00	10/16/2014 0 00	83	549	(466)			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/9/2011 0 00	10/16/2014 0 00	2,489	1,429	1,060			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/17/2011 0 00	10/16/2014 0 00	565	321	244			LONG
CQOF8010002	REIS INC	5/25/2011 0 00	10/16/2014 0 00	2,068	788	1,280			LONG
CQOF8010002	REIS INC	5/20/2011 0 00	10/16/2014 0 00	2,580	984	1,595			LONG
CQOF8010002	STONERIDGE INC	10/30/2013 0 00	10/16/2014 0 00	3,418	3,871	(452)			SHORT
CQOF8010002	CAL DIVE INTL INC DEL	10/30/2013 0 00	10/17/2014 0 00	52	362	(310)			SHORT
CQOF8010002	CAL DIVE INTL INC DEL	4/28/2013 0 00	10/17/2014 0 00	27	180	(153)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/12/2013 0 00	10/17/2014 0 00	455	3,065	(2,610)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/28/2013 0 00	10/17/2014 0 00	130	904	(774)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/12/2013 0 00	10/17/2014 0 00	104	721	(617)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/25/2013 0 00	10/17/2014 0 00	26	182	(156)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/25/2013 0 00	10/17/2014 0 00	208	1,449	(1,241)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/1/2013 0 00	10/17/2014 0 00	54	360	(306)			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/17/2011 0 00	10/17/2014 0 00	1,526	867	659			LONG
CQOF8010002	REIS INC	6/23/2011 0 00	10/17/2014 0 00	2,045	787	1,258			LONG
CQOF8010002	REIS INC	5/25/2011 0 00	10/17/2014 0 00	253	97	155			LONG
CQOF8010002	STONERIDGE INC	10/30/2013 0 00	10/17/2014 0 00	1,141	1,290	(149)			SHORT
CQOF8010002	STONERIDGE INC	10/23/2013 0 00	10/17/2014 0 00	580	641	(60)			SHORT
CQOF8010002	STONERIDGE INC	10/30/2013 0 00	10/17/2014 0 00	1,741	1,935	(194)			SHORT
CQOF8010002	STONERIDGE INC	10/24/2013 0 00	10/17/2014 0 00	1,161	1,290	(129)			SHORT
CQOF8010002	CAL DIVE INTL INC DEL	4/1/2013 0 00	10/20/2014 0 00	132	899	(767)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/2/2013 0 00	10/20/2014 0 00	265	1,795	(1,530)			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/17/2011 0 00	10/20/2014 0 00	960	546	414			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/8/2011 0 00	10/20/2014 0 00	564	320	244			LONG
CQOF8010002	STONERIDGE INC	10/17/2013 0 00	10/20/2014 0 00	578	640	(62)			LONG
CQOF8010002	STONERIDGE INC	10/23/2013 0 00	10/20/2014 0 00	578	641	(63)			SHORT
CQOF8010002	STONERIDGE INC	10/23/2013 0 00	10/20/2014 0 00	3,408	3,844	(436)			SHORT
CQOF8010002	CAL DIVE INTL INC DEL	4/12/2013 0 00	10/21/2014 0 00	255	1,794	(1,540)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/2/2013 0 00	10/21/2014 0 00	255	1,795	(1,540)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/1/2013 0 00	10/21/2014 0 00	229	1,606	(1,377)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/12/2013 0 00	10/21/2014 0 00	280	1,974	(1,693)			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/8/2011 0 00	10/21/2014 0 00	1,525	864	661			LONG
CQOF8010002	MANITEX INTERNATIONAL INC	12/17/2010 0 00	10/21/2014 0 00	2,256	770	1,485			LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/1/2013 0 00	10/22/2014 0 00	292	1,963	(1,671)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/25/2013 0 00	10/22/2014 0 00	239	1,602	(1,363)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/26/2013 0 00	10/22/2014 0 00	60	355	(295)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/25/2013 0 00	10/22/2014 0 00	332	1,958	(1,626)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/25/2013 0 00	10/22/2014 0 00	211	1,244	(1,033)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/21/2013 0 00	10/22/2014 0 00	251	1,417	(1,166)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/26/2013 0 00	10/22/2014 0 00	377	2,130	(1,753)			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/29/2010 0 00	10/22/2014 0 00	694	474	219			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/25/2010 0 00	10/22/2014 0 00	3,201	2,187	1,014			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/21/2010 0 00	10/22/2014 0 00	373	255	119			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/21/2010 0 00	10/22/2014 0 00	1,772	1,201	571			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/26/2010 0 00	10/22/2014 0 00	1,450	983	468			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/22/2013 0 00	10/23/2014 0 00	121	708	(587)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/21/2013 0 00	10/23/2014 0 00	332	1,949	(1,617)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/22/2013 0 00	10/23/2014 0 00	423	2,479	(2,056)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/26/2013 0 00	10/23/2014 0 00	30	177	(147)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/22/2013 0 00	10/23/2014 0 00	293	1,770	(1,477)			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/26/2010 0 00	10/23/2014 0 00	7,370	5,095	2,275			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/8/2011 0 00	10/23/2014 0 00	1,524	864	660			LONG
CQOF8010002	REIS INC	6/23/2011 0 00	10/23/2014 0 00	253	97	156			LONG
CQOF8010002	REIS INC	5/24/2011 0 00	10/23/2014 0 00	2,048	787	1,261			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/27/2013 0 00	10/24/2014 0 00	153	1,060	(907)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/27/2013 0 00	10/24/2014 0 00	338	2,298	(1,960)			LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/27/2013 0 00	10/24/2014 0 00	182	1,237	(1,055)			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/26/2010 0 00	10/24/2014 0 00	683	473	209			LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/22/2010 0 00	10/24/2014 0 00	7,719	5,347	2,372			LONG
CQOF8010002	HOOKE FURNITURE CORP	8/8/2011 0 00	10/24/2014 0 00	1,524	864	660			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-655349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON										NET	
ACCOUNT				SALES		GAIN/LOSS	FED WASH	NET		GAIN/LOSS	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	SALE	DISALLOWED	DISALLOWED	WITH LOSS	LONG/SHORT
CQOF8010002	CAL DIVE INTL INC DEL	3/28/2013 0:00	10/27/2014 0:00	220	1,764	(1,544)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/27/2013 0:00	10/27/2014 0:00	154	1,237	(1,083)					LONG
CQOF8010002	EVOLVING SYSTEMS INC	5/12/2011 0:00	10/27/2014 0:00	2,500	1,667	833					LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/22/2010 0:00	10/27/2014 0:00	1,755	1,200	555					LONG
CQOF8010002	EVOLVING SYSTEMS INC	10/20/2010 0:00	10/27/2014 0:00	1,064	725	339					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/8/2011 0:00	10/27/2014 0:00	2,484	1,408	1,076					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/22/2011 0:00	10/27/2014 0:00	5,136	2,870	2,267					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/3/2013 0:00	10/28/2014 0:00	200	1,586	(1,387)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	3/28/2013 0:00	10/28/2014 0:00	89	706	(617)					LONG
CQOF8010002	EVOLVING SYSTEMS INC	5/12/2011 0:00	10/28/2014 0:00	690	461	228					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/21/2011 0:00	10/28/2014 0:00	2,493	1,666	827					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/24/2011 0:00	10/28/2014 0:00	3,626	2,010	1,615					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/22/2011 0:00	10/28/2014 0:00	964	537	427					LONG
CQOF8010002	REIS INC	5/24/2011 0:00	10/28/2014 0:00	254	97	157					LONG
CQOF8010002	REIS INC	9/23/2011 0:00	10/28/2014 0:00	4,364	1,667	2,697					LONG
CQOF8010002	ACCO BRANDS CORP	10/1/2012 0:00	10/29/2014 0:00	6,807	5,149	1,658					LONG
CQOF8010002	ACCO BRANDS CORP	9/27/2012 0:00	10/29/2014 0:00	749	562	187					LONG
CQOF8010002	ACCO BRANDS CORP	6/27/2013 0:00	10/29/2014 0:00	2,655	2,015	639					LONG
CQOF8010002	ACCO BRANDS CORP	9/27/2012 0:00	10/29/2014 0:00	6,698	5,108	1,590					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/5/2011 0:00	10/29/2014 0:00	395	245	150					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/8/2011 0:00	10/29/2014 0:00	382	246	135					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/21/2011 0:00	10/29/2014 0:00	709	461	248					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/8/2011 0:00	10/29/2014 0:00	733	458	275					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/24/2011 0:00	10/29/2014 0:00	1,529	848	681					LONG
CQOF8010002	REIS INC	9/23/2011 0:00	10/29/2014 0:00	2,307	882	1,425					LONG
CQOF8010002	ACCO BRANDS CORP	9/27/2012 0:00	10/30/2014 0:00	96	77	20					LONG
CQOF8010002	ACCO BRANDS CORP	4/16/2013 0:00	10/30/2014 0:00	2,413	1,881	533					LONG
CQOF8010002	ACCO BRANDS CORP	10/2/2012 0:00	10/30/2014 0:00	1,512	1,174	338					LONG
CQOF8010002	ACCO BRANDS CORP	10/1/2012 0:00	10/30/2014 0:00	901	702	199					LONG
CQOF8010002	ACCO BRANDS CORP	4/15/2013 0:00	10/30/2014 0:00	1,609	1,254	355					LONG
CQOF8010002	ACCO BRANDS CORP	10/1/2012 0:00	10/30/2014 0:00	5,515	4,315	1,200					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/30/2013 0:00	10/30/2014 0:00	37	352	(315)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/3/2013 0:00	10/30/2014 0:00	332	3,173	(2,841)					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/5/2011 0:00	10/30/2014 0:00	2,210	1,399	810					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/5/2011 0:00	10/30/2014 0:00	2,158	1,399	759					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/24/2011 0:00	10/30/2014 0:00	4,027	2,231	1,796					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/10/2011 0:00	10/30/2014 0:00	2,098	1,147	950					LONG
CQOF8010002	REIS INC	9/23/2011 0:00	10/30/2014 0:00	4,606	1,764	2,842					LONG
CQOF8010002	ACCO BRANDS CORP	10/2/2012 0:00	10/31/2014 0:00	6,418	4,997	1,421					LONG
CQOF8010002	ACCO BRANDS CORP	10/2/2012 0:00	10/31/2014 0:00	98	75	23					LONG
CQOF8010002	ACCO BRANDS CORP	9/26/2012 0:00	10/31/2014 0:00	6,426	4,863	1,564					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/10/2013 0:00	10/31/2014 0:00	23	351	(328)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	5/1/2013 0:00	10/31/2014 0:00	57	876	(819)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/16/2013 0:00	10/31/2014 0:00	137	2,094	(1,957)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/29/2013 0:00	10/31/2014 0:00	23	349	(327)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/30/2013 0:00	10/31/2014 0:00	34	528	(495)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/10/2013 0:00	10/31/2014 0:00	280	4,383	(4,102)					LONG
CQOF8010002	CAL DIVE INTL INC DEL	4/30/2013 0:00	10/31/2014 0:00	34	528	(494)					LONG
CQOF8010002	EVOLVING SYSTEMS INC	4/5/2011 0:00	10/31/2014 0:00	2,185	1,399	785					LONG
CQOF8010002	FERRO CORPORATION	11/14/2012 0:00	10/31/2014 0:00	4,921	966	3,955					LONG
CQOF8010002	FERRO CORPORATION	11/15/2012 0:00	10/31/2014 0:00	2,973	577	2,396					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/19/2011 0:00	10/31/2014 0:00	566	309	256					LONG
CQOF8010002	HOOKER FURNITURE CORP	8/10/2011 0:00	10/31/2014 0:00	963	528	436					LONG
CQOF8010002	MERCURY COMPUTER SYS INC	3/19/2013 0:00	10/31/2014 0:00	3,693	1,959	1,734					LONG
CQOF8010002	MERCURY COMPUTER SYS INC	3/12/2013 0:00	10/31/2014 0:00	504	267	236					LONG
CQOF8010002	REIS INC	9/23/2011 0:00	10/31/2014 0:00	4,723	1,764	2,959					LONG
CQOF8011002	AMERISAFE INC	1/5/2012 0:00	2/19/2014 0:00	7,494	4,319	3,176					LONG
CQOF8011002	ARES CAP CORP	1/5/2012 0:00	2/19/2014 0:00	4,256	3,601	656					LONG
CQOF8011002	BROOKDALE SR LIVING INC	8/7/2012 0:00	2/19/2014 0:00	3,289	1,964	1,325					LONG
CQOF8011002	BROOKDALE SR LIVING INC	1/5/2012 0:00	2/19/2014 0:00	3,439	1,878	1,561					LONG
CQOF8011002	CORRECTIONS CORP AMER NEW	4/17/2013 0:00	2/19/2014 0:00	7,250	8,675	(1,425)					SHORT
CQOF8011002	FIRST CASH INC	5/29/2012 0:00	2/19/2014 0:00	5,628	4,128	1,499					LONG
CQOF8011002	GENCORP INC	4/4/2013 0:00	2/19/2014 0:00	565	414	151					SHORT
CQOF8011002	GENCORP INC	5/28/2013 0:00	2/19/2014 0:00	2,448	1,802	646					SHORT
CQOF8011002	GENCORP INC	5/21/2013 0:00	2/19/2014 0:00	3,766	2,767	998					SHORT
CQOF8011002	HSN INC DEL	1/5/2012 0:00	2/19/2014 0:00	8,841	5,666	3,175					LONG
CQOF8011002	HAYNES INTL INC	1/5/2012 0:00	2/19/2014 0:00	4,773	5,418	(645)					LONG
CQOF8011002	HOWARD HUGHES CORP/THE	1/5/2012 0:00	2/19/2014 0:00	8,698	2,956	5,742					LONG
CQOF8011002	INFINITY PPTY & CAS CORP	1/5/2012 0:00	2/19/2014 0:00	6,979	5,453	1,526					LONG
CQOF8011002	JARDEN CORP	1/5/2012 0:00	2/19/2014 0:00	12,633	4,055	8,578					LONG
CQOF8011002	LIQUIDITY SVCS INC	11/23/2012 0:00	2/19/2014 0:00	2,634	3,997	(1,363)					LONG
CQOF8011002	LIQUIDITY SVCS INC	1/5/2012 0:00	2/19/2014 0:00	1,841	2,696	(855)					LONG
CQOF8011002	MSC INDL DIRECT INC	1/5/2012 0:00	2/19/2014 0:00	7,592	6,595	998					LONG
CQOF8011002	MTS SYS CORP	1/5/2012 0:00	2/19/2014 0:00	7,611	4,396	3,216					LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8011002	MEDIDATA SOLUTIONS INC	1/5/2012 0 00	2/19/2014 0 00	3,702	639	3,063			LONG
CQOF8011002	POOL CORP	1/5/2012 0 00	2/19/2014 0 00	4,083	2,155	1,928			LONG
CQOF8011002	RUSH ENTERPRISES INC	1/5/2012 0 00	2/19/2014 0 00	6,529	4,734	1,796			LONG
CQOF8011002	STANCORP FINL GROUP INC	1/5/2012 0 00	2/19/2014 0 00	4,916	2,856	2,061			LONG
CQOF8011002	STRATEGIC HOTEL CAP INC	12/17/2013 0 00	2/19/2014 0 00	4,009	3,848	161			SHORT
CQOF8011002	TESCO CORP	1/5/2012 0 00	2/19/2014 0 00	4,759	3,163	1,596			LONG
CQOF8011002	THERMON GROUP HOLDINGS INC	6/6/2013 0 00	2/19/2014 0 00	4,204	3,381	823			SHORT
CQOF8011002	UNIFIRST CORP	1/5/2012 0 00	2/19/2014 0 00	6,350	3,601	2,749			LONG
CQOF8011002	WALTER INV MGMT CORP-W/I	1/5/2012 0 00	2/19/2014 0 00	7,426	5,584	1,842			LONG
CQOF8011002	ZEBRA TECHNOLOGIES CORP CL A	12/21/2012 0 00	2/19/2014 0 00	4,789	2,988	1,802			LONG
CQOF8011002	ZEBRA TECHNOLOGIES CORP CL A	12/12/2012 0 00	2/19/2014 0 00	3,832	2,358	1,473			LONG
CQOF8011002	LIQUIDITY SVCS INC	1/5/2012 0 00	4/15/2014 0 00	13,524	27,332	(13,809)			LONG
CQOF8011002	LIQUIDITY SVCS INC	1/5/2012 0 00	4/22/2014 0 00	9,283	19,844	(10,561)			LONG
CQOF8011002	LIQUIDITY SVCS INC	1/5/2012 0 00	4/23/2014 0 00	7,806	16,661	(8,856)			LONG
CQOF8011002	LIQUIDITY SVCS INC	11/29/2012 0 00	4/24/2014 0 00	3,253	6,916	(3,663)			LONG
CQOF8011002	LIQUIDITY SVCS INC	1/5/2012 0 00	4/24/2014 0 00	11,707	25,198	(13,491)			LONG
CQOF8011002	LIQUIDITY SVCS INC	2/5/2013 0 00	4/30/2014 0 00	4,994	9,645	(4,651)			LONG
CQOF8011002	LIQUIDITY SVCS INC	11/29/2012 0 00	4/30/2014 0 00	517	1,110	(593)			LONG
CQOF8011002	LIQUIDITY SVCS INC	2/4/2013 0 00	5/1/2014 0 00	6,424	12,427	(6,003)			LONG
CQOF8011002	LIQUIDITY SVCS INC	2/5/2013 0 00	5/1/2014 0 00	2,655	5,155	(2,500)			LONG
CQOF8011002	AMERISAFE INC	1/5/2012 0 00	5/2/2014 0 00	22,860	13,189	9,670			LONG
CQOF8011002	ARES CAP CORP	1/5/2012 0 00	5/2/2014 0 00	12,050	10,958	1,092			LONG
CQOF8011002	BROOKDALE SR LIVING INC	1/5/2012 0 00	5/2/2014 0 00	22,337	11,431	10,906			LONG
CQOF8011002	CORRECTIONS CORP AMER NEW	4/17/2013 0 00	5/2/2014 0 00	8,897	10,537	(1,639)			LONG
CQOF8011002	CORRECTIONS CORP AMER NEW	1/5/2012 0 00	5/2/2014 0 00	13,768	8,808	4,960			LONG
CQOF8011002	FIRST CASH INC	5/29/2012 0 00	5/2/2014 0 00	17,992	13,886	4,106			LONG
CQOF8011002	GENCORP INC	4/4/2013 0 00	5/2/2014 0 00	21,241	16,696	4,545			LONG
CQOF8011002	HSN INC DEL	1/5/2012 0 00	5/2/2014 0 00	25,010	16,815	8,195			LONG
CQOF8011002	HAYNES INTL INC	1/5/2012 0 00	5/2/2014 0 00	15,323	16,539	(1,216)			LONG
CQOF8011002	HOWARD HUGHES CORP/THE	1/5/2012 0 00	5/2/2014 0 00	30,227	9,550	20,677			LONG
CQOF8011002	INFINITY PPTY & CAS CORP	1/5/2012 0 00	5/2/2014 0 00	18,115	16,359	1,757			LONG
CQOF8011002	JARDEN CORP	1/5/2012 0 00	5/2/2014 0 00	35,288	12,547	22,741			LONG
CQOF8011002	MSC INDL DIRECT INC	1/5/2012 0 00	5/2/2014 0 00	24,213	19,418	4,795			LONG
CQOF8011002	MTS SYS CORP	1/5/2012 0 00	5/2/2014 0 00	20,949	13,397	7,553			LONG
CQOF8011002	MEDIDATA SOLUTIONS INC	1/5/2012 0 00	5/2/2014 0 00	7,432	2,129	5,302			LONG
CQOF8011002	POOL CORP	1/5/2012 0 00	5/2/2014 0 00	12,472	6,620	5,852			LONG
CQOF8011002	RUSH ENTERPRISES INC	1/5/2012 0 00	5/2/2014 0 00	21,989	14,412	7,577			LONG
CQOF8011002	STANCORP FINL GROUP INC	1/5/2012 0 00	5/2/2014 0 00	14,144	8,757	5,386			LONG
CQOF8011002	STRATEGIC HOTEL CAP INC	12/17/2013 0 00	5/2/2014 0 00	17,659	15,071	2,588			SHORT
CQOF8011002	TESCO CORP	1/5/2012 0 00	5/2/2014 0 00	13,139	9,630	3,509			LONG
CQOF8011002	THERMON GROUP HOLDINGS INC	6/5/2013 0 00	5/2/2014 0 00	6,906	5,636	1,269			SHORT
CQOF8011002	THERMON GROUP HOLDINGS INC	6/6/2013 0 00	5/2/2014 0 00	6,667	5,569	1,098			SHORT
CQOF8011002	UNIFIRST CORP	1/5/2012 0 00	5/2/2014 0 00	16,281	10,202	6,078			LONG
CQOF8011002	WALTER INV MGMT CORP-W/I	1/5/2012 0 00	5/2/2014 0 00	20,679	16,753	3,926			LONG
CQOF8011002	ZEBRA TECHNOLOGIES CORP CL A	12/12/2012 0 00	5/2/2014 0 00	2,803	1,572	1,231			LONG
CQOF8011002	ZEBRA TECHNOLOGIES CORP CL A	12/14/2012 0 00	5/2/2014 0 00	14,015	7,828	6,186			LONG
CQOF8011002	ZEBRA TECHNOLOGIES CORP CL A	9/4/2012 0 00	5/2/2014 0 00	13,314	7,130	6,184			LONG
CQOF8011002	BALLY TECHNOLOGIES INC	6/19/2014 0 00	9/12/2014 0 00	47,010	37,892	9,119			SHORT
CQOF8011002	BALLY TECHNOLOGIES INC	6/17/2014 0 00	9/12/2014 0 00	13,259	10,665	2,595			SHORT
CQOF8012002	CARDINAL HEALTH INC COM	7/12/2013 0 00	11/5/2013 0 00	14,503	11,874	2,629	-	2,629	SHORT
CQOF8012002	CARDINAL HEALTH INC COM	7/11/2013 0 00	11/5/2013 0 00	7,252	5,937	1,315	-	1,315	SHORT
CQOF8012002	CARDINAL HEALTH INC COM	7/11/2013 0 00	11/5/2013 0 00	7,230	5,937	1,293	-	1,293	SHORT
CQOF8012002	CARDINAL HEALTH INC COM	7/11/2013 0 00	11/5/2013 0 00	8,475	6,926	1,549	-	1,549	SHORT
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	11/5/2013 0 00	2,339	691	1,648	-	1,648	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	11/5/2013 0 00	1,761	518	1,243	-	1,243	LONG
CQOF8012002	DISCOVERY COMMUNICATIONS-A	4/22/2009 0 00	11/6/2013 0 00	31,926	6,920	25,006	-	25,006	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	11/6/2013 0 00	22,818	6,736	16,082	-	16,082	LONG
CQOF8012002	AMC NETWORKS INC	7/13/2012 0 00	11/7/2013 0 00	5,437	3,396	2,040	-	2,040	LONG
CQOF8012002	AMC NETWORKS INC	7/13/2012 0 00	11/7/2013 0 00	5,448	3,396	2,052	-	2,052	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	11/7/2013 0 00	4,678	1,382	3,297	-	3,297	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	11/7/2013 0 00	1,152	345	806	-	806	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	11/7/2013 0 00	12,795	3,800	8,996	-	8,996	LONG
CQOF8012002	MICROCHIP TECHNOLOGY INC	4/18/2013 0 00	11/7/2013 0 00	7,717	6,037	1,680	-	1,680	SHORT
CQOF8012002	VIOPHARMA INC COM	10/31/2013 0 00	11/11/2013 0 00	15,308	11,944	3,364	-	3,364	SHORT
CQOF8012002	VIOPHARMA INC COM	10/31/2013 0 00	11/11/2013 0 00	1,482	1,156	326	-	326	SHORT
CQOF8012002	VIOPHARMA INC COM	11/6/2013 0 00	11/11/2013 0 00	6,914	5,399	1,514	-	1,514	SHORT
CQOF8012002	VIOPHARMA INC COM	11/6/2013 0 00	11/11/2013 0 00	35,061	27,383	7,678	-	7,678	SHORT
CQOF8012002	VIOPHARMA INC COM	11/8/2013 0 00	11/11/2013 0 00	4,938	3,919	1,020	-	1,020	SHORT
CQOF8012002	VIOPHARMA INC COM	10/31/2013 0 00	11/11/2013 0 00	988	774	214	-	214	SHORT
CQOF8012002	VIOPHARMA INC COM	11/5/2013 0 00	11/11/2013 0 00	2,469	1,935	534	-	534	SHORT
CQOF8012002	VIOPHARMA INC COM	11/6/2013 0 00	11/11/2013 0 00	3,951	3,106	845	-	845	SHORT
CQOF8012002	VIOPHARMA INC COM	11/4/2013 0 00	11/11/2013 0 00	3,457	2,728	729	-	729	SHORT
CQOF8012002	VIOPHARMA INC COM	11/6/2013 0 00	11/11/2013 0 00	16,296	12,755	3,542	-	3,542	SHORT
CQOF8012002	VIOPHARMA INC COM	10/31/2013 0 00	11/12/2013 0 00	13,354	10,403	2,951	-	2,951	SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8012002	VIOPHARMA INC COM	11/7/2013 0 00	11/12/2013 0 00	5,441	4,237	1,203	-	1,203		SHORT
CQOF8012002	VIOPHARMA INC COM	11/7/2013 0 00	11/13/2013 0 00	5,442	4,237	1,204	-	1,204		SHORT
CQOF8012002	ALLIANCE DATA SYS CORP	10/22/2010 0 00	11/20/2013 0 00	2,443	639	1,804	-	1,804		LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/22/2010 0 00	11/20/2013 0 00	4,944	1,279	3,665	-	3,665		LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/22/2010 0 00	11/20/2013 0 00	6,171	1,599	4,572	-	4,572		LONG
CQOF8012002	AMC NETWORKS INC	7/13/2012 0 00	11/21/2013 0 00	1,265	849	416	-	416		LONG
CQOF8012002	AMC NETWORKS INC	7/17/2012 0 00	11/21/2013 0 00	1,897	1,270	627	-	627		LONG
CQOF8012002	ALBEMARLE CORP COM	2/13/2013 0 00	11/21/2013 0 00	2,711	2,646	65	-	65		SHORT
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/21/2013 0 00	7,317	1,876	5,441	-	5,441		LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/22/2010 0 00	11/21/2013 0 00	3,671	959	2,712	-	2,712		LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/21/2013 0 00	1,224	313	911	-	911		LONG
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/15/2013 0 00	11/21/2013 0 00	4,602	4,011	591	-	591		SHORT
CQOF8012002	AMERIPRISE FINL INC	8/31/2009 0 00	11/21/2013 0 00	4,258	1,195	3,062	-	3,062		LONG
CQOF8012002	AMETEK INC NEW	10/30/2013 0 00	11/21/2013 0 00	4,904	4,777	128	-	128		SHORT
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	11/21/2013 0 00	2,370	2,458	(89)	-	(89)		SHORT
CQOF8012002	CBRE GROUP INC	11/18/2013 0 00	11/21/2013 0 00	2,246	2,312	(66)	66	-		SHORT
CQOF8012002	CBRE GROUP INC	10/30/2013 0 00	11/21/2013 0 00	1,572	1,638	(66)	66	-		SHORT
CQOF8012002	CARDINAL HEALTH INC COM	7/11/2013 0 00	11/21/2013 0 00	4,544	3,463	1,081	-	1,081		SHORT
CQOF8012002	CHURCH & DWIGHT CO INCORPORA	7/1/2013 0 00	11/21/2013 0 00	5,236	4,947	289	-	289		SHORT
CQOF8012002	CONSOL ENERGY INC	5/25/2010 0 00	11/21/2013 0 00	3,465	3,459	6	-	6		LONG
CQOF8012002	COOPER CO INC NEW	4/10/2012 0 00	11/21/2013 0 00	5,195	3,311	1,884	-	1,884		LONG
CQOF8012002	CROWN HLDGS INC *PP*	1/25/2008 0 00	11/21/2013 0 00	2,624	1,358	1,266	-	1,266		LONG
CQOF8012002	CUBIST PHARMACEUTICALS INC	10/14/2013 0 00	11/21/2013 0 00	3,913	3,946	(34)	34	-		SHORT
CQOF8012002	DENBURY RES INC NEW	2/20/2009 0 00	11/21/2013 0 00	3,234	2,338	896	-	896		LONG
CQOF8012002	DISCOVERY COMMUNICATIONS-A	4/22/2009 0 00	11/21/2013 0 00	2,554	561	1,993	-	1,993		LONG
CQOF8012002	DOLLAR GENERAL CORP	7/11/2013 0 00	11/21/2013 0 00	3,464	3,343	121	-	121		SHORT
CQOF8012002	DONALDSON CO INC COM	10/17/2013 0 00	11/21/2013 0 00	4,479	4,397	82	-	82		SHORT
CQOF8012002	EASTMAN CHEMICAL CO	8/30/2012 0 00	11/21/2013 0 00	3,060	2,196	865	-	865		LONG
CQOF8012002	F M C CORP COM NEW	9/26/2013 0 00	11/21/2013 0 00	4,370	4,299	72	-	72		SHORT
CQOF8012002	F5 NETWORKS INC	7/10/2013 0 00	11/21/2013 0 00	823	710	113	-	113		SHORT
CQOF8012002	GNC HOLDINGS INC	7/11/2013 0 00	11/21/2013 0 00	3,496	2,861	636	-	636		SHORT
CQOF8012002	GAMESTOP CORP NEW	4/3/2013 0 00	11/21/2013 0 00	3,363	2,123	1,240	-	1,240		SHORT
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	11/21/2013 0 00	1,294	345	948	-	948		LONG
CQOF8012002	GENERAC HOLDINGS INC	10/25/2013 0 00	11/21/2013 0 00	2,516	2,539	(23)	23	-		SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/30/2013 0 00	11/21/2013 0 00	5,081	5,270	(189)	-	(189)		SHORT
CQOF8012002	IDEXX LABS INC	7/15/2013 0 00	11/21/2013 0 00	5,398	4,840	559	-	559		SHORT
CQOF8012002	IHS INC	2/8/2012 0 00	11/21/2013 0 00	2,249	1,828	421	-	421		LONG
CQOF8012002	JARDEN CORP	7/3/2012 0 00	11/21/2013 0 00	4,403	2,312	2,091	-	2,091		LONG
CQOF8012002	JUNIPER NETWORKS INC	7/25/2013 0 00	11/21/2013 0 00	3,578	3,976	(398)	398	-		SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/22/2013 0 00	11/21/2013 0 00	5,034	4,904	130	-	130		SHORT
CQOF8012002	LINKEDIN CORP - A	10/30/2013 0 00	11/21/2013 0 00	3,276	3,369	(93)	-	(93)		SHORT
CQOF8012002	MWI VETERINARY SUPPLY INC	9/23/2013 0 00	11/21/2013 0 00	3,418	3,090	328	-	328		SHORT
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	11/21/2013 0 00	4,328	4,351	(23)	-	(23)		SHORT
CQOF8012002	MEADOWS VACO CORP	3/20/2013 0 00	11/21/2013 0 00	3,766	4,174	(407)	-	(407)		SHORT
CQOF8012002	METTLER-TOLEDO INTL INC	12/5/2006 0 00	11/21/2013 0 00	5,039	1,600	3,439	-	3,439		LONG
CQOF8012002	MOHAWK INDS INC	6/6/2013 0 00	11/21/2013 0 00	5,600	4,366	1,234	-	1,234		SHORT
CQOF8012002	NVR INC COM	1/3/2012 0 00	11/21/2013 0 00	2,910	2,110	800	-	800		LONG
CQOF8012002	NICE SYS LTD SPONSORED ADR	7/24/2008 0 00	11/21/2013 0 00	3,036	2,283	753	-	753		LONG
CQOF8012002	NORDSTROM INC	12/20/2010 0 00	11/21/2013 0 00	2,486	1,707	778	-	778		LONG
CQOF8012002	NORFOLK SOUTHERN CORP	6/10/2013 0 00	11/21/2013 0 00	4,312	3,891	421	-	421		SHORT
CQOF8012002	PVH CORP	11/14/2013 0 00	11/21/2013 0 00	1,313	1,329	(16)	16	-		SHORT
CQOF8012002	PVH CORP	11/14/2013 0 00	11/21/2013 0 00	2,625	2,674	(49)	49	-		SHORT
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/19/2012 0 00	11/21/2013 0 00	4,464	3,240	1,224	-	1,224		SHORT
CQOF8012002	STARWOOD HOTELS & RESORTS	8/30/2012 0 00	11/21/2013 0 00	2,962	2,185	777	-	777		LONG
CQOF8012002	TESORO PETROLEUM CORPORATION	6/27/2011 0 00	11/21/2013 0 00	6,144	2,323	3,821	-	3,821		LONG
CQOF8012002	TIFFANY & CO NEW	10/30/2013 0 00	11/21/2013 0 00	4,063	3,998	65	-	65		SHORT
CQOF8012002	TOTAL SYS SVCS INC	10/4/2013 0 00	11/21/2013 0 00	4,623	4,404	219	-	219		SHORT
CQOF8012002	TRANSDIGM GROUP INC	11/5/2012 0 00	11/21/2013 0 00	2,886	1,910	976	-	976		LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	11/21/2013 0 00	3,830	1,539	2,291	-	2,291		LONG
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0 00	11/21/2013 0 00	5,066	4,917	149	-	149		SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/17/2013 0 00	11/21/2013 0 00	4,026	3,373	654	-	654		SHORT
CQOF8012002	WHOLE FOODS MKT INC	8/21/2012 0 00	11/21/2013 0 00	3,959	3,349	610	-	610		LONG
CQOF8012002	WYNN RESORTS LTD	7/11/2013 0 00	11/21/2013 0 00	4,856	3,958	898	-	898		SHORT
CQOF8012002	ACTAVIS PLC	11/10/2009 0 00	11/21/2013 0 00	11,000	10,206	794	-	794		LONG
CQOF8012002	ACTAVIS PLC	10/1/2013 0 00	11/21/2013 0 00	458	403	55	-	55		SHORT
CQOF8012002	ALKERMES PLC	11/4/2013 0 00	11/21/2013 0 00	2,674	2,477	196	-	196		SHORT
CQOF8012002	AON CORP	10/28/2013 0 00	11/21/2013 0 00	4,880	4,693	186	-	186		SHORT
CQOF8012002	ENSCO PLC	7/20/2011 0 00	11/21/2013 0 00	3,021	2,607	414	-	414		LONG
CQOF8012002	ICON PLC	10/31/2013 0 00	11/21/2013 0 00	2,367	2,438	(71)	71	-		SHORT
CQOF8012002	LIBERTY GLOBAL PLC	11/6/2013 0 00	11/21/2013 0 00	1,575	1,588	(14)	-	(14)		SHORT
CQOF8012002	LIBERTY GLOBAL PLC	11/6/2013 0 00	11/21/2013 0 00	2,362	2,383	(21)	-	(21)		SHORT
CQOF8012002	WEATHERFORD INTERNATIONAL LTD	2/18/2009 0 00	11/21/2013 0 00	2,566	1,531	1,035	-	1,035		LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/2/2013 0 00	11/21/2013 0 00	401	354	47	-	47		SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/1/2013 0 00	11/21/2013 0 00	3,206	2,831	375	-	375		SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
EIN 34-6555349
FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	10/7/2013 0 00	11/21/2013 0 00	3,040	3,117	(77)	-		(77)	SHORT
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/22/2013 0 00	3,643	938	2,705	-		2,705	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/22/2013 0 00	8,517	2,189	6,329	-		6,329	LONG
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	11/22/2013 0 00	8,125	8,468	(343)	-		(343)	SHORT
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	11/22/2013 0 00	14,153	14,741	(588)	-		(588)	SHORT
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/25/2013 0 00	4,852	1,251	3,601	-		3,601	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/25/2013 0 00	4,870	1,251	3,620	-		3,620	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/26/2013 0 00	12,058	3,127	8,932	-		8,932	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	7/22/2010 0 00	11/27/2013 0 00	1,209	284	925	-		925	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	10/21/2010 0 00	11/27/2013 0 00	6,044	1,563	4,481	-		4,481	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	7/22/2010 0 00	11/29/2013 0 00	3,656	851	2,805	-		2,805	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	7/22/2010 0 00	12/2/2013 0 00	7,255	1,701	5,554	-		5,554	LONG
CQOF8012002	ALLIANCE DATA SYS CORP	7/22/2010 0 00	12/3/2013 0 00	4,835	1,134	3,701	-		3,701	LONG
CQOF8012002	NETAPP INC	11/11/2013 0 00	12/9/2013 0 00	13,212	12,784	428	-		428	SHORT
CQOF8012002	NETAPP INC	11/11/2013 0 00	12/9/2013 0 00	4,129	3,981	148	-		148	SHORT
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	12/9/2013 0 00	14,647	5,302	9,345	-		9,345	LONG
CQOF8012002	NETAPP INC	11/11/2013 0 00	12/10/2013 0 00	4,177	3,981	196	-		196	SHORT
CQOF8012002	NETAPP INC	11/11/2013 0 00	12/11/2013 0 00	4,899	4,777	122	-		122	SHORT
CQOF8012002	AMERIPRISE FINL INC	8/31/2009 0 00	12/13/2013 0 00	26,609	7,469	19,139	-		19,139	LONG
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	12/20/2013 0 00	12,356	11,675	681	-		681	SHORT
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	12/20/2013 0 00	862	815	47	-		47	SHORT
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	12/20/2013 0 00	3,428	3,259	169	-		169	SHORT
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	12/20/2013 0 00	22,570	21,479	1,090	-		1,090	SHORT
CQOF8012002	DENBURY RES INC NEW	2/20/2009 0 00	12/20/2013 0 00	71,466	54,025	17,441	-		17,441	LONG
CQOF8012002	DENBURY RES INC NEW	2/20/2009 0 00	12/20/2013 0 00	812	615	197	-		197	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	12/20/2013 0 00	8,159	2,072	6,087	-		6,087	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	12/20/2013 0 00	2,697	691	2,007	-		2,007	LONG
CQOF8012002	GARTNER INC NEW CL A	12/10/2009 0 00	12/20/2013 0 00	31,849	8,117	23,732	-		23,732	LONG
CQOF8012002	WYNN RESORTS LTD	7/11/2013 0 00	12/20/2013 0 00	11,871	8,502	3,369	-		3,369	SHORT
CQOF8012002	WYNN RESORTS LTD	7/11/2013 0 00	12/20/2013 0 00	13,697	9,896	3,801	-		3,801	SHORT
CQOF8012002	BROADCOM CORP CL A	10/21/2013 0 00	12/23/2013 0 00	13,327	12,490	837	-		837	SHORT
CQOF8012002	DENBURY RES INC NEW	2/20/2009 0 00	12/23/2013 0 00	19,133	14,398	4,735	-		4,735	LONG
CQOF8012002	GAMESTOP CORP NEW	4/3/2013 0 00	1/7/2014 0 00	19,505	12,737	6,768	-			SHORT
CQOF8012002	JUNIPER NETWORKS INC	7/25/2013 0 00	1/9/2014 0 00	454	442	12	-			SHORT
CQOF8012002	JUNIPER NETWORKS INC	7/25/2013 0 00	1/9/2014 0 00	3,178	3,084	94	-			SHORT
CQOF8012002	JUNIPER NETWORKS INC	7/24/2013 0 00	1/9/2014 0 00	12,484	11,907	577	-			SHORT
CQOF8012002	JUNIPER NETWORKS INC	12/5/2013 0 00	1/9/2014 0 00	11,122	10,463	659	-			SHORT
CQOF8012002	JUNIPER NETWORKS INC	11/22/2013 0 00	1/9/2014 0 00	17,024	15,374	1,650	-			SHORT
CQOF8012002	CARDINAL HEALTH INC COM	9/25/2012 0 00	1/15/2014 0 00	6,105	3,513	2,593	-			LONG
CQOF8012002	CARDINAL HEALTH INC COM	9/25/2012 0 00	1/15/2014 0 00	2,723	1,561	1,162	-			LONG
CQOF8012002	CARDINAL HEALTH INC COM	7/11/2013 0 00	1/15/2014 0 00	22,387	16,326	6,061	-			SHORT
CQOF8012002	CARDINAL HEALTH INC COM	7/12/2013 0 00	1/15/2014 0 00	18,316	13,343	4,974	-			SHORT
CQOF8012002	CARDINAL HEALTH INC COM	9/26/2012 0 00	1/15/2014 0 00	22,387	12,898	9,489	-			LONG
CQOF8012002	CARDINAL HEALTH INC COM	9/26/2012 0 00	1/15/2014 0 00	11,533	6,664	4,869	-			LONG
CQOF8012002	TESORO PETROLEUM CORPORATION	6/27/2011 0 00	1/15/2014 0 00	2,647	1,056	1,591	-			LONG
CQOF8012002	TESORO PETROLEUM CORPORATION	6/27/2011 0 00	1/15/2014 0 00	1,600	634	967	-			LONG
CQOF8012002	TESORO PETROLEUM CORPORATION	6/27/2011 0 00	1/15/2014 0 00	1,596	634	963	-			LONG
CQOF8012002	TESORO PETROLEUM CORPORATION	6/27/2011 0 00	1/15/2014 0 00	13,821	5,491	8,330	-			LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	1/15/2014 0 00	13,856	4,618	9,238	-			LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	1/15/2014 0 00	2,058	684	1,374	-			LONG
CQOF8012002	CARDINAL HEALTH INC COM	9/25/2012 0 00	1/16/2014 0 00	40,818	23,417	17,401	-			LONG
CQOF8012002	CARDINAL HEALTH INC COM	9/25/2012 0 00	1/16/2014 0 00	19,729	11,319	8,409	-			LONG
CQOF8012002	WHOLE FOODS MKT INC	8/17/2012 0 00	1/22/2014 0 00	8,307	7,637	671	-			LONG
CQOF8012002	WHOLE FOODS MKT INC	8/16/2012 0 00	1/22/2014 0 00	10,384	9,557	827	-			LONG
CQOF8012002	WHOLE FOODS MKT INC	8/21/2012 0 00	1/22/2014 0 00	4,673	4,306	367	-			LONG
CQOF8012002	TOTAL SYS SVCS INC	10/4/2013 0 00	1/23/2014 0 00	2,885	2,642	243	-			SHORT
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	1/23/2014 0 00	3,557	1,187	2,370	-			LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	1/23/2014 0 00	2,034	678	1,356	-			LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	1/23/2014 0 00	508	170	339	-			LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	1/23/2014 0 00	13,717	4,618	9,099	-			LONG
CQOF8012002	TOTAL SYS SVCS INC	3/22/2013 0 00	1/24/2014 0 00	936	767	169	-			SHORT
CQOF8012002	TOTAL SYS SVCS INC	3/21/2013 0 00	1/24/2014 0 00	312	256	56	-			SHORT
CQOF8012002	TOTAL SYS SVCS INC	10/4/2013 0 00	1/24/2014 0 00	7,221	6,752	469	-			SHORT
CQOF8012002	TOTAL SYS SVCS INC	10/7/2013 0 00	1/24/2014 0 00	1,256	1,169	87	-			SHORT
CQOF8012002	TOTAL SYS SVCS INC	10/7/2013 0 00	1/24/2014 0 00	3,430	3,216	215	-			SHORT
CQOF8012002	TOTAL SYS SVCS INC	3/21/2013 0 00	1/24/2014 0 00	2,807	2,310	497	-			SHORT
CQOF8012002	TOTAL SYS SVCS INC	3/21/2013 0 00	1/24/2014 0 00	624	513	111	-			SHORT
CQOF8012002	LINKEDIN CORP - A	10/30/2013 0 00	1/27/2014 0 00	13,371	14,598	(1,227)	1,227			SHORT
CQOF8012002	WYNN RESORTS LTD	11/5/2012 0 00	1/27/2014 0 00	1,924	1,026	899	-			LONG
CQOF8012002	WYNN RESORTS LTD	5/17/2012 0 00	1/27/2014 0 00	69,269	33,527	35,741	-			LONG
CQOF8012002	WYNN RESORTS LTD	4/25/2012 0 00	1/27/2014 0 00	34,634	21,476	13,159	-			LONG
CQOF8012002	WYNN RESORTS LTD	7/11/2013 0 00	1/27/2014 0 00	14,431	9,810	4,621	-			SHORT
CQOF8012002	LINKEDIN CORP - A	10/30/2013 0 00	1/28/2014 0 00	11,506	12,352	(846)	616		(231)	SHORT
CQOF8012002	GAMESTOP CORP NEW	4/3/2013 0 00	1/30/2014 0 00	42,816	36,695	6,121	-			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8012002	GAMESTOP CORP NEW	4/3/2013 0 00	1/30/2014 0 00	13,074	11,221	1,853			SHORT
CQOF8012002	GAMESTOP CORP NEW	4/3/2013 0 00	1/30/2014 0 00	3,887	3,328	558			SHORT
CQOF8012002	WEATHERFORD INTERNATIONAL LTD	2/18/2009 0 00	1/30/2014 0 00	25,002	17,992	7,010			LONG
CQOF8012002	WEATHERFORD INTERNATIONAL LTD	2/18/2009 0 00	1/30/2014 0 00	38,607	27,754	10,854			LONG
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/15/2013 0 00	2/4/2014 0 00	2,801	2,382	419			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/15/2013 0 00	2/4/2014 0 00	10,382	8,813	1,569			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/15/2013 0 00	2/4/2014 0 00	3,922	3,335	587			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/20/2013 0 00	2/4/2014 0 00	1,401	1,189	212			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/19/2013 0 00	2/4/2014 0 00	280	237	44			SHORT
CQOF8012002	NVR INC COM	1/3/2012 0 00	2/4/2014 0 00	11,661	7,034	4,627			LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	2/4/2014 0 00	3,305	1,186	2,119			LONG
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	2/4/2014 0 00	2,832	1,017	1,815			LONG
CQOF8012002	NXP SEMICONDUCTORS N V	1/9/2014 0 00	2/5/2014 0 00	16,232	15,388	844			SHORT
CQOF8012002	IDEXX LABS INC	7/15/2013 0 00	2/10/2014 0 00	13,256	10,556	2,701			SHORT
CQOF8012002	IDEXX LABS INC	7/15/2013 0 00	2/10/2014 0 00	9,641	7,744	1,897			SHORT
CQOF8012002	JUNIPER NETWORKS INC	8/30/2013 0 00	2/10/2014 0 00	6,329	4,370	1,959			SHORT
CQOF8012002	JUNIPER NETWORKS INC	7/26/2013 0 00	2/10/2014 0 00	4,953	4,088	866			SHORT
CQOF8012002	JUNIPER NETWORKS INC	11/22/2013 0 00	2/10/2014 0 00	4,678	3,485	1,193			SHORT
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	2/10/2014 0 00	3,455	3,481	(26)			SHORT
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	2/10/2014 0 00	15,669	15,881	(213)			SHORT
CQOF8012002	NORDSTROM INC	12/20/2010 0 00	2/10/2014 0 00	1,749	1,280	468			LONG
CQOF8012002	NORDSTROM INC	12/20/2010 0 00	2/10/2014 0 00	23,310	17,061	6,249			LONG
CQOF8012002	NORDSTROM INC	12/20/2010 0 00	2/10/2014 0 00	52,467	38,386	14,081			LONG
CQOF8012002	F5 NETWORKS INC	1/14/2014 0 00	2/11/2014 0 00	4,425	3,779	646			SHORT
CQOF8012002	F5 NETWORKS INC	1/13/2014 0 00	2/11/2014 0 00	1,106	929	178			SHORT
CQOF8012002	F5 NETWORKS INC	1/14/2014 0 00	2/11/2014 0 00	8,850	7,557	1,293			SHORT
CQOF8012002	JUNIPER NETWORKS INC	8/30/2013 0 00	2/11/2014 0 00	1,372	950	422			SHORT
CQOF8012002	JUNIPER NETWORKS INC	5/29/2013 0 00	2/11/2014 0 00	1,098	720	378			SHORT
CQOF8012002	JUNIPER NETWORKS INC	8/29/2013 0 00	2/11/2014 0 00	12,623	8,727	3,896			SHORT
CQOF8012002	NVR INC COM	1/3/2012 0 00	2/11/2014 0 00	11,731	7,034	4,697			LONG
CQOF8012002	F5 NETWORKS INC	1/13/2014 0 00	2/12/2014 0 00	6,716	5,540	1,175			SHORT
CQOF8012002	F5 NETWORKS INC	1/13/2014 0 00	2/12/2014 0 00	6,716	5,571	1,145			SHORT
CQOF8012002	ALKERMES PLC	11/4/2013 0 00	2/12/2014 0 00	3,634	2,477	1,157			SHORT
CQOF8012002	ALKERMES PLC	11/4/2013 0 00	2/12/2014 0 00	1,039	708	331			SHORT
CQOF8012002	ALKERMES PLC	11/4/2013 0 00	2/12/2014 0 00	3,616	2,477	1,139			SHORT
CQOF8012002	ALKERMES PLC	11/4/2013 0 00	2/12/2014 0 00	2,082	1,416	667			SHORT
CQOF8012002	ALKERMES PLC	11/4/2013 0 00	2/12/2014 0 00	1,039	708	331			SHORT
CQOF8012002	DISCOVERY COMMUNICATIONS-A	4/22/2009 0 00	2/13/2014 0 00	15,163	3,554	11,610			LONG
CQOF8012002	DOLLAR GENERAL CORP	7/11/2013 0 00	2/13/2014 0 00	22,949	22,845	104			SHORT
CQOF8012002	F5 NETWORKS INC	1/13/2014 0 00	2/13/2014 0 00	12,205	10,157	2,048			SHORT
CQOF8012002	GNC HOLDINGS INC	7/11/2013 0 00	2/13/2014 0 00	12,952	11,919	1,033			SHORT
CQOF8012002	ALKERMES PLC	11/7/2013 0 00	2/13/2014 0 00	1,549	1,054	495			SHORT
CQOF8012002	ALKERMES PLC	11/7/2013 0 00	2/13/2014 0 00	1,543	1,054	489			SHORT
CQOF8012002	ALKERMES PLC	11/4/2013 0 00	2/13/2014 0 00	5,164	3,539	1,625			SHORT
CQOF8012002	DISCOVERY COMMUNICATIONS-A	4/22/2009 0 00	2/14/2014 0 00	12,799	2,992	9,806			LONG
CQOF8012002	ALKERMES PLC	11/7/2013 0 00	2/14/2014 0 00	2,053	1,405	648			SHORT
CQOF8012002	ALKERMES PLC	11/7/2013 0 00	2/14/2014 0 00	1,042	703	340			SHORT
CQOF8012002	ALKERMES PLC	11/7/2013 0 00	2/18/2014 0 00	1,050	703	347			SHORT
CQOF8012002	ALKERMES PLC	11/7/2013 0 00	2/18/2014 0 00	2,107	1,405	702			SHORT
CQOF8012002	ALKERMES PLC	11/7/2013 0 00	2/18/2014 0 00	2,099	1,405	694			SHORT
CQOF8012002	JUNIPER NETWORKS INC	5/29/2013 0 00	2/19/2014 0 00	28,254	18,713	9,541			SHORT
CQOF8012002	ACTAVIS PLC	10/1/2013 0 00	2/19/2014 0 00	57,940	39,600	18,340			SHORT
CQOF8012002	AMC NETWORKS INC	7/16/2012 0 00	2/20/2014 0 00	10,142	6,351	3,792			LONG
CQOF8012002	AMC NETWORKS INC	7/17/2012 0 00	2/20/2014 0 00	19,609	12,281	7,328			LONG
CQOF8012002	AKAMAI TECHNOLOGIES INC	12/13/2013 0 00	2/20/2014 0 00	45,566	34,066	11,500			SHORT
CQOF8012002	ALBEMARLE CORP COM	2/13/2013 0 00	2/20/2014 0 00	14,853	15,189	(336)			LONG
CQOF8012002	ALBEMARLE CORP COM	2/13/2013 0 00	2/20/2014 0 00	5,166	5,292	(126)			LONG
CQOF8012002	ALLIANCE DATA SYS CORP	7/22/2010 0 00	2/20/2014 0 00	53,231	10,775	42,456			LONG
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/19/2013 0 00	2/20/2014 0 00	2,738	2,129	609			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/14/2013 0 00	2/20/2014 0 00	2,738	2,128	610			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/18/2013 0 00	2/20/2014 0 00	1,825	1,418	407			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/14/2013 0 00	2/20/2014 0 00	2,434	1,889	545			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/19/2013 0 00	2/20/2014 0 00	1,521	1,178	343			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/13/2013 0 00	2/20/2014 0 00	26,161	20,244	5,916			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/18/2013 0 00	2/20/2014 0 00	304	235	69			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/13/2013 0 00	2/20/2014 0 00	1,217	940	277			SHORT
CQOF8012002	AMERIPRISE FINL INC	8/31/2009 0 00	2/20/2014 0 00	32,844	9,262	23,582			LONG
CQOF8012002	AMETEK INC NEW	9/24/2013 0 00	2/20/2014 0 00	2,587	2,305	282			SHORT
CQOF8012002	AMETEK INC NEW	9/24/2013 0 00	2/20/2014 0 00	9,312	8,343	969			SHORT
CQOF8012002	AMETEK INC NEW	9/25/2013 0 00	2/20/2014 0 00	1,035	927	107			SHORT
CQOF8012002	AMETEK INC NEW	10/30/2013 0 00	2/20/2014 0 00	15,520	14,330	1,189			SHORT
CQOF8012002	AMETEK INC NEW	9/25/2013 0 00	2/20/2014 0 00	19,141	17,166	1,975			SHORT
CQOF8012002	AMETEK INC NEW	9/25/2013 0 00	2/20/2014 0 00	517	461	56			SHORT
CQOF8012002	CBRE GROUP INC	1/31/2012 0 00	2/20/2014 0 00	9,525	6,392	3,133			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8012002	CBRE GROUP INC	1/30/2012 0 00	2/20/2014 0 00	4,626	3,121	1,505			LONG
CQOF8012002	CBRE GROUP INC	10/27/2013 0 00	2/20/2014 0 00	1,905	1,680	225			SHORT
CQOF8012002	CBRE GROUP INC	10/27/2013 0 00	2/20/2014 0 00	2,721	2,370	352			SHORT
CQOF8012002	CBRE GROUP INC	11/18/2013 0 00	2/20/2014 0 00	272	231	41			SHORT
CQOF8012002	CBRE GROUP INC	11/18/2013 0 00	2/20/2014 0 00	1,905	1,617	288			SHORT
CQOF8012002	CBRE GROUP INC	1/30/2012 0 00	2/20/2014 0 00	1,905	1,286	619			LONG
CQOF8012002	CBRE GROUP INC	10/30/2013 0 00	2/20/2014 0 00	12,246	10,297	1,949			SHORT
CQOF8012002	CBRE GROUP INC	10/30/2013 0 00	2/20/2014 0 00	544	461	84			SHORT
CQOF8012002	CBRE GROUP INC	11/18/2013 0 00	2/20/2014 0 00	4,354	3,689	665			SHORT
CQOF8012002	CHURCH & DWIGHT CO INCORPORA	6/28/2013 0 00	2/20/2014 0 00	656	615	40			SHORT
CQOF8012002	CHURCH & DWIGHT CO INCORPORA	7/1/2013 0 00	2/20/2014 0 00	9,179	8,642	537			SHORT
CQOF8012002	CHURCH & DWIGHT CO INCORPORA	7/1/2013 0 00	2/20/2014 0 00	32,127	30,277	1,850			SHORT
CQOF8012002	CHURCH & DWIGHT CO INCORPORA	7/1/2013 0 00	2/20/2014 0 00	3,278	3,092	187			SHORT
CQOF8012002	CITRIX SYS INC COM	1/30/2014 0 00	2/20/2014 0 00	24,955	22,922	2,033			SHORT
CQOF8012002	CONSOL ENERGY INC	1/16/2014 0 00	2/20/2014 0 00	6,000	5,760	240			SHORT
CQOF8012002	CONSOL ENERGY INC	1/15/2014 0 00	2/20/2014 0 00	800	768	32			SHORT
CQOF8012002	CONSOL ENERGY INC	1/15/2014 0 00	2/20/2014 0 00	400	385	15			SHORT
CQOF8012002	CONSOL ENERGY INC	1/16/2014 0 00	2/20/2014 0 00	800	766	34			SHORT
CQOF8012002	CONSOL ENERGY INC	1/15/2014 0 00	2/20/2014 0 00	1,200	1,142	58			SHORT
CQOF8012002	CONSOL ENERGY INC	1/15/2014 0 00	2/20/2014 0 00	800	760	40			SHORT
CQOF8012002	CONSOL ENERGY INC	1/15/2014 0 00	2/20/2014 0 00	8,000	7,530	470			SHORT
CQOF8012002	CONSOL ENERGY INC	1/16/2014 0 00	2/20/2014 0 00	2,800	2,694	106			SHORT
CQOF8012002	CONSOL ENERGY INC	5/25/2010 0 00	2/20/2014 0 00	8,400	7,263	1,137			LONG
CQOF8012002	CONSOL ENERGY INC	8/20/2012 0 00	2/20/2014 0 00	12,799	10,373	2,426			LONG
CQOF8012002	CONSOL ENERGY INC	1/16/2014 0 00	2/20/2014 0 00	400	385	15			SHORT
CQOF8012002	COOPER CO INC NEW	4/10/2012 0 00	2/20/2014 0 00	12,549	8,277	4,272			LONG
CQOF8012002	COOPER CO INC NEW	4/9/2012 0 00	2/20/2014 0 00	32,627	21,511	11,116			LONG
CQOF8012002	COPART INC	12/31/2013 0 00	2/20/2014 0 00	685	731	(47)	47		(0) SHORT
CQOF8012002	COPART INC	1/2/2014 0 00	2/20/2014 0 00	6,161	6,603	(442)	442		0 SHORT
CQOF8012002	COPART INC	1/2/2014 0 00	2/20/2014 0 00	6,845	7,342	(497)	497		- SHORT
CQOF8012002	COPART INC	1/3/2014 0 00	2/20/2014 0 00	15,401	16,431	(1,030)	1,030		- SHORT
CQOF8012002	COPART INC	12/27/2013 0 00	2/20/2014 0 00	1,027	1,089	(62)	62		(0) SHORT
CQOF8012002	COPART INC	12/30/2013 0 00	2/20/2014 0 00	4,792	5,112	(320)	320		(0) SHORT
CQOF8012002	CROWN HLDGS INC *PP*	1/28/2008 0 00	2/20/2014 0 00	13,273	6,760	6,513			LONG
CQOF8012002	CROWN HLDGS INC *PP*	1/24/2008 0 00	2/20/2014 0 00	9,291	4,588	4,703			LONG
CQOF8012002	CROWN HLDGS INC *PP*	1/25/2008 0 00	2/20/2014 0 00	1,770	905	864			LONG
CQOF8012002	CUBIST PHARMACEUTICALS INC	10/14/2013 0 00	2/20/2014 0 00	18,958	16,443	2,516			SHORT
CQOF8012002	CUBIST PHARMACEUTICALS INC	10/11/2013 0 00	2/20/2014 0 00	23,508	20,325	3,184			SHORT
CQOF8012002	DISCOVERY COMMUNICATIONS-A	4/22/2009 0 00	2/20/2014 0 00	12,249	2,805	9,444			LONG
CQOF8012002	DOLLAR GENERAL CORP	7/11/2013 0 00	2/20/2014 0 00	570	557	13			SHORT
CQOF8012002	DOLLAR GENERAL CORP	12/12/2011 0 00	2/20/2014 0 00	23,361	16,731	6,630			LONG
CQOF8012002	DONALDSON CO INC COM	10/17/2013 0 00	2/20/2014 0 00	1,674	1,599	75			SHORT
CQOF8012002	DONALDSON CO INC COM	10/16/2013 0 00	2/20/2014 0 00	12,552	11,883	669			SHORT
CQOF8012002	DONALDSON CO INC COM	10/14/2013 0 00	2/20/2014 0 00	7,949	7,516	433			SHORT
CQOF8012002	DONALDSON CO INC COM	10/11/2013 0 00	2/20/2014 0 00	3,765	3,530	236			SHORT
CQOF8012002	DONALDSON CO INC COM	10/15/2013 0 00	2/20/2014 0 00	14,225	13,379	846			SHORT
CQOF8012002	EASTMAN CHEMICAL CO	8/30/2012 0 00	2/20/2014 0 00	31,707	21,408	10,299			LONG
CQOF8012002	FEI CO	1/30/2014 0 00	2/20/2014 0 00	2,000	1,875	124			SHORT
CQOF8012002	FEI CO	2/3/2014 0 00	2/20/2014 0 00	12,997	12,168	829			SHORT
CQOF8012002	FEI CO	2/3/2014 0 00	2/20/2014 0 00	21,996	20,592	1,404			SHORT
CQOF8012002	F M C CORP COM NEW	9/26/2013 0 00	2/20/2014 0 00	13,752	13,612	140			SHORT
CQOF8012002	F M C CORP COM NEW	9/25/2013 0 00	2/20/2014 0 00	25,333	25,054	280			SHORT
CQOF8012002	F5 NETWORKS INC	1/8/2014 0 00	2/20/2014 0 00	10,905	8,994	1,911			SHORT
CQOF8012002	F5 NETWORKS INC	1/13/2014 0 00	2/20/2014 0 00	7,634	6,464	1,170			SHORT
CQOF8012002	F5 NETWORKS INC	1/13/2014 0 00	2/20/2014 0 00	7,634	6,452	1,182			SHORT
CQOF8012002	FIFTH & PACIFIC CO INC	12/16/2013 0 00	2/20/2014 0 00	11,977	12,888	(911)			SHORT
CQOF8012002	FIFTH & PACIFIC CO INC	12/17/2013 0 00	2/20/2014 0 00	7,064	7,590	(526)			SHORT
CQOF8012002	FIFTH & PACIFIC CO INC	12/16/2013 0 00	2/20/2014 0 00	3,685	3,974	(288)			SHORT
CQOF8012002	GNC HOLDINGS INC	7/11/2013 0 00	2/20/2014 0 00	2,687	2,861	(174)			SHORT
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0 00	2/20/2014 0 00	17,464	15,259	2,206			LONG
CQOF8012002	GENERAC HOLDINGS INC	10/25/2013 0 00	2/20/2014 0 00	3,862	3,483	379			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/25/2013 0 00	2/20/2014 0 00	7,172	6,602	571			SHORT
CQOF8012002	GENERAC HOLDINGS INC	9/25/2013 0 00	2/20/2014 0 00	2,759	2,104	655			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/24/2013 0 00	2/20/2014 0 00	3,862	3,217	645			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/21/2013 0 00	2/20/2014 0 00	3,862	2,930	932			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/24/2013 0 00	2/20/2014 0 00	1,655	1,434	221			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/30/2013 0 00	2/20/2014 0 00	12,771	13,551	(779)	650	(130)	SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/9/2013 0 00	2/20/2014 0 00	8,514	8,527	(13)	13	(0)	SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/9/2013 0 00	2/20/2014 0 00	2,129	2,132	(3)	3	0	SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/9/2013 0 00	2/20/2014 0 00	7,095	7,104	(9)	9	(0)	SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	11/30/2011 0 00	2/20/2014 0 00	6,386	4,080	2,305			LONG
CQOF8012002	HUNT JB TRANS SVCS INC COM	11/29/2011 0 00	2/20/2014 0 00	4,257	2,671	1,586			LONG
CQOF8012002	IDEXX LABS INC	7/15/2013 0 00	2/20/2014 0 00	32,521	24,949	7,572			SHORT
CQOF8012002	IDEXX LABS INC	7/11/2013 0 00	2/20/2014 0 00	3,752	2,797	956			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON										NET	
ACCOUNT	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT		
NUMBER											
CQOF8012002	IDEXX LABS INC	7/12/2013 0 00	2/20/2014 0 00	10,007	7,485	2,522			SHORT		
CQOF8012002	IHS INC	11/25/2013 0 00	2/20/2014 0 00	4,681	4,549	132			SHORT		
CQOF8012002	IHS INC	11/22/2013 0 00	2/20/2014 0 00	9,362	9,107	255			SHORT		
CQOF8012002	IHS INC	11/22/2013 0 00	2/20/2014 0 00	9,362	9,112	250			SHORT		
CQOF8012002	IHS INC	11/25/2013 0 00	2/20/2014 0 00	1,755	1,711	44			SHORT		
CQOF8012002	IHS INC	2/8/2012 0 00	2/20/2014 0 00	4,096	3,199	897			LONG		
CQOF8012002	IHS INC	11/26/2013 0 00	2/20/2014 0 00	1,755	1,730	26			SHORT		
CQOF8012002	IHS INC	11/27/2013 0 00	2/20/2014 0 00	2,340	2,312	28			SHORT		
CQOF8012002	IHS INC	10/24/2012 0 00	2/20/2014 0 00	1,755	1,303	453			LONG		
CQOF8012002	JARDEN CORP	7/11/2012 0 00	2/20/2014 0 00	3,717	1,715	2,002			LONG		
CQOF8012002	JARDEN CORP	7/13/2012 0 00	2/20/2014 0 00	19,514	9,032	10,482			LONG		
CQOF8012002	JARDEN CORP	7/3/2012 0 00	2/20/2014 0 00	20,134	9,392	10,742			LONG		
CQOF8012002	JUNIPER NETWORKS INC	5/29/2013 0 00	2/20/2014 0 00	24,641	15,834	8,807			SHORT		
CQOF8012002	LINCOLN ELEC HLDGS INC	10/23/2013 0 00	2/20/2014 0 00	14,601	13,964	637			SHORT		
CQOF8012002	LINCOLN ELEC HLDGS INC	10/31/2013 0 00	2/20/2014 0 00	1,460	1,397	63			SHORT		
CQOF8012002	LINCOLN ELEC HLDGS INC	11/5/2013 0 00	2/20/2014 0 00	2,190	2,097	93			SHORT		
CQOF8012002	LINCOLN ELEC HLDGS INC	10/21/2013 0 00	2/20/2014 0 00	5,840	5,598	242			SHORT		
CQOF8012002	LINCOLN ELEC HLDGS INC	10/21/2013 0 00	2/20/2014 0 00	10,951	10,499	452			SHORT		
CQOF8012002	LINCOLN ELEC HLDGS INC	10/23/2013 0 00	2/20/2014 0 00	1,460	1,396	64			SHORT		
CQOF8012002	LINCOLN ELEC HLDGS INC	10/22/2013 0 00	2/20/2014 0 00	6,571	6,306	265			SHORT		
CQOF8012002	LINKEDIN CORP - A	1/8/2013 0 00	2/20/2014 0 00	4,670	2,672	1,999			LONG		
CQOF8012002	LINKEDIN CORP - A	11/22/2013 0 00	2/20/2014 0 00	8,757	9,555	(797)			SHORT		
CQOF8012002	LINKEDIN CORP - A	11/22/2013 0 00	2/20/2014 0 00	3,892	4,249	(357)			SHORT		
CQOF8012002	LINKEDIN CORP - A	10/30/2013 0 00	2/20/2014 0 00	2,141	2,470	(330)			SHORT		
CQOF8012002	LINKEDIN CORP - A	11/21/2013 0 00	2/20/2014 0 00	7,784	8,358	(574)			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	2/6/2014 0 00	2/20/2014 0 00	4,710	4,992	(283)			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	2/6/2014 0 00	2/20/2014 0 00	1,570	1,657	(87)			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	10/7/2013 0 00	2/20/2014 0 00	1,570	1,529	41			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	9/19/2013 0 00	2/20/2014 0 00	6,279	6,117	163			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	10/8/2013 0 00	2/20/2014 0 00	6,279	6,125	155			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	2/6/2014 0 00	2/20/2014 0 00	3,925	4,140	(215)			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	9/19/2013 0 00	2/20/2014 0 00	1,570	1,533	37			SHORT		
CQOF8012002	MWI VETERINARY SUPPLY INC	9/20/2013 0 00	2/20/2014 0 00	6,279	6,128	151			SHORT		
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	2/20/2014 0 00	32,994	32,633	362			SHORT		
CQOF8012002	MEADWESTVACO CORP	3/20/2013 0 00	2/20/2014 0 00	7,866	8,348	(481)			SHORT		
CQOF8012002	MEADWESTVACO CORP	3/19/2013 0 00	2/20/2014 0 00	19,308	20,389	(1,081)			SHORT		
CQOF8012002	MEADWESTVACO CORP	3/15/2013 0 00	2/20/2014 0 00	7,866	8,321	(454)			SHORT		
CQOF8012002	METTLER-TOLEDO INTL INC	12/5/2006 0 00	2/20/2014 0 00	42,835	13,999	28,836			LONG		
CQOF8012002	MOHAWK INDS INC	6/21/2013 0 00	2/20/2014 0 00	12,952	9,630	3,323			SHORT		
CQOF8012002	MOHAWK INDS INC	6/6/2013 0 00	2/20/2014 0 00	17,270	13,099	4,171			SHORT		
CQOF8012002	MOHAWK INDS INC	6/3/2013 0 00	2/20/2014 0 00	18,709	14,045	4,664			SHORT		
CQOF8012002	NVR INC COM	1/3/2012 0 00	2/20/2014 0 00	25,530	15,475	10,055			LONG		
CQOF8012002	NICE SYS LTD SPONSORED ADR	9/16/2008 0 00	2/20/2014 0 00	28,150	18,900	9,250			LONG		
CQOF8012002	NORFOLK SOUTHERN CORP	6/10/2013 0 00	2/20/2014 0 00	41,565	35,797	5,767			SHORT		
CQOF8012002	PVH CORP	11/6/2013 0 00	2/20/2014 0 00	2,353	2,685	(332)			SHORT		
CQOF8012002	PVH CORP	11/6/2013 0 00	2/20/2014 0 00	1,177	1,334	(158)			SHORT		
CQOF8012002	PVH CORP	11/14/2013 0 00	2/20/2014 0 00	5,883	6,645	(762)			SHORT		
CQOF8012002	PVH CORP	11/13/2013 0 00	2/20/2014 0 00	4,706	5,271	(565)			SHORT		
CQOF8012002	PVH CORP	7/11/2013 0 00	2/20/2014 0 00	4,706	5,245	(539)			SHORT		
CQOF8012002	PVH CORP	7/11/2013 0 00	2/20/2014 0 00	8,236	9,177	(941)			SHORT		
CQOF8012002	POLARIS INDS INC COM	2/11/2014 0 00	2/20/2014 0 00	35,140	33,884	1,257			SHORT		
CQOF8012002	POLARIS INDS INC COM	2/10/2014 0 00	2/20/2014 0 00	1,302	1,243	58			SHORT		
CQOF8012002	REGIONS FINL CORP NEW	12/13/2013 0 00	2/20/2014 0 00	35,614	33,770	1,843			SHORT		
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/19/2012 0 00	2/20/2014 0 00	5,925	4,320	1,605			LONG		
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/20/2012 0 00	2/20/2014 0 00	30,368	22,114	8,254			LONG		
CQOF8012002	STARWOOD HOTELS & RESORTS	5/24/2012 0 00	2/20/2014 0 00	14,078	9,674	4,404			LONG		
CQOF8012002	STARWOOD HOTELS & RESORTS	8/30/2012 0 00	2/20/2014 0 00	2,346	1,639	708			LONG		
CQOF8012002	STARWOOD HOTELS & RESORTS	5/24/2012 0 00	2/20/2014 0 00	13,296	9,154	4,142			LONG		
CQOF8012002	TESORO PETROLEUM CORPORATION	6/27/2011 0 00	2/20/2014 0 00	43,645	18,163	25,482			LONG		
CQOF8012002	TIFFANY & CO NEW	7/11/2013 0 00	2/20/2014 0 00	5,328	4,619	709			SHORT		
CQOF8012002	TIFFANY & CO NEW	10/30/2013 0 00	2/20/2014 0 00	22,199	19,992	2,207			SHORT		
CQOF8012002	TIFFANY & CO NEW	7/12/2013 0 00	2/20/2014 0 00	8,880	7,736	1,144			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	3/22/2013 0 00	2/20/2014 0 00	7,967	6,900	1,067			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	4/15/2013 0 00	2/20/2014 0 00	6,197	5,160	1,037			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	3/21/2013 0 00	2/20/2014 0 00	2,066	1,788	278			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	4/11/2013 0 00	2/20/2014 0 00	295	249	46			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	4/12/2013 0 00	2/20/2014 0 00	2,066	1,743	323			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	4/12/2013 0 00	2/20/2014 0 00	8,557	7,216	1,342			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	4/10/2013 0 00	2/20/2014 0 00	2,066	1,741	325			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	4/10/2013 0 00	2/20/2014 0 00	3,246	2,736	510			SHORT		
CQOF8012002	TOTAL SYS SVCS INC	4/12/2013 0 00	2/20/2014 0 00	1,770	1,490	280			SHORT		
CQOF8012002	TRANSDIGM GROUP INC	11/5/2012 0 00	2/20/2014 0 00	37,870	24,853	13,017			LONG		
CQOF8012002	UNITED CONTINENTAL HOLDINGS IN	1/9/2014 0 00	2/20/2014 0 00	13,742	14,790	(1,048)			SHORT		
CQOF8012002	UNITED CONTINENTAL HOLDINGS IN	1/9/2014 0 00	2/20/2014 0 00	19,505	21,120	(1,615)	1,490	(125)	SHORT		

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE		NET GAIN/LOSS WITH LOSS	
				PROCEEDS	COST BASIS		DISALLOWED	DISALLOWED	DISALLOWED	LONG/SHORT
CQOF8012002	UNITED CONTINENTAL HOLDINGS IN	1/29/2014 0:00	2/20/2014 0:00	2,660	2,771	(111)				SHORT
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0:00	2/20/2014 0:00	23,511	7,961	15,550				LONG
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0:00	2/20/2014 0:00	46,713	39,337	7,376				SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0:00	2/20/2014 0:00	4,542	3,819	722				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/9/2013 0:00	2/20/2014 0:00	7,311	4,708	2,603				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/17/2013 0:00	2/20/2014 0:00	16,246	10,650	5,596				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/11/2013 0:00	2/20/2014 0:00	4,874	3,134	1,740				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/10/2013 0:00	2/20/2014 0:00	271	172	99				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/8/2013 0:00	2/20/2014 0:00	6,228	3,953	2,274				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/10/2013 0:00	2/20/2014 0:00	2,166	1,379	787				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	7/10/2013 0:00	2/20/2014 0:00	8,123	5,193	2,930				SHORT
CQOF8012002	WHOLE FOODS MKT INC	8/17/2012 0:00	2/20/2014 0:00	2,089	1,909	180				LONG
CQOF8012002	WHOLE FOODS MKT INC	8/20/2012 0:00	2/20/2014 0:00	14,104	12,839	1,265				LONG
CQOF8012002	WHOLE FOODS MKT INC	8/17/2012 0:00	2/20/2014 0:00	7,313	6,680	633				LONG
CQOF8012002	XILINX INC	1/21/2014 0:00	2/20/2014 0:00	6,051	5,741	310				SHORT
CQOF8012002	XILINX INC	1/22/2014 0:00	2/20/2014 0:00	18,658	17,955	703				SHORT
CQOF8012002	ACTAVIS PLC	10/1/2013 0:00	2/20/2014 0:00	109,647	73,440	36,207				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	2/20/2014 0:00	510	348	162				SHORT
CQOF8012002	ALKERMES PLC	11/7/2013 0:00	2/20/2014 0:00	16,320	11,242	5,078				SHORT
CQOF8012002	ALKERMES PLC	10/31/2013 0:00	2/20/2014 0:00	5,100	3,502	1,598				SHORT
CQOF8012002	ALKERMES PLC	11/6/2013 0:00	2/20/2014 0:00	1,020	700	320				SHORT
CQOF8012002	AON CORP	10/15/2013 0:00	2/20/2014 0:00	10,157	8,820	1,337				SHORT
CQOF8012002	AON CORP	10/28/2013 0:00	2/20/2014 0:00	6,771	6,258	514				SHORT
CQOF8012002	AON CORP	10/28/2013 0:00	2/20/2014 0:00	8,464	7,809	656				SHORT
CQOF8012002	AON CORP	10/15/2013 0:00	2/20/2014 0:00	16,929	14,723	2,206				SHORT
CQOF8012002	ENSCO PLC	7/20/2011 0:00	2/20/2014 0:00	22,788	22,946	(158)				LONG
CQOF8012002	ICON PLC	10/31/2013 0:00	2/20/2014 0:00	7,104	6,095	1,009				SHORT
CQOF8012002	ICON PLC	10/31/2013 0:00	2/20/2014 0:00	16,103	13,787	2,316				SHORT
CQOF8012002	ICON PLC	10/9/2013 0:00	2/20/2014 0:00	2,842	2,467	374				SHORT
CQOF8012002	JAZZ PHARMACEUTICALS PLC	12/20/2013 0:00	2/20/2014 0:00	3,403	2,479	924				SHORT
CQOF8012002	JAZZ PHARMACEUTICALS PLC	12/20/2013 0:00	2/20/2014 0:00	40,833	29,673	11,160				SHORT
CQOF8012002	JAZZ PHARMACEUTICALS PLC	12/20/2013 0:00	2/20/2014 0:00	3,403	2,464	939				SHORT
CQOF8012002	LIBERTY GLOBAL PLC	7/11/2013 0:00	2/20/2014 0:00	27,794	25,218	2,575				SHORT
CQOF8012002	LIBERTY GLOBAL PLC	2/4/2013 0:00	2/20/2014 0:00	4,343	3,487	856				LONG
CQOF8012002	LIBERTY GLOBAL PLC	11/6/2013 0:00	2/20/2014 0:00	3,474	3,177	298				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/3/2013 0:00	2/20/2014 0:00	2,309	1,752	557				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	3/27/2013 0:00	2/20/2014 0:00	924	701	223				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/15/2013 0:00	2/20/2014 0:00	5,080	3,852	1,228				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	3/12/2013 0:00	2/20/2014 0:00	2,771	2,101	670				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/3/2013 0:00	2/20/2014 0:00	5,080	3,851	1,229				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/4/2013 0:00	2/20/2014 0:00	3,694	2,800	894				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/1/2013 0:00	2/20/2014 0:00	4,156	3,185	971				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/2/2013 0:00	2/20/2014 0:00	4,156	3,180	976				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	3/28/2013 0:00	2/20/2014 0:00	924	707	217				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	3/28/2013 0:00	2/20/2014 0:00	2,771	2,120	651				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/2/2013 0:00	2/20/2014 0:00	924	706	218				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/3/2013 0:00	2/20/2014 0:00	462	352	110				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	3/14/2013 0:00	2/20/2014 0:00	924	703	221				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	3/13/2013 0:00	2/20/2014 0:00	462	352	110				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	3/13/2013 0:00	2/20/2014 0:00	2,309	1,755	554				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	9/10/2013 0:00	2/20/2014 0:00	4,415	4,272	143				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	10/4/2013 0:00	2/20/2014 0:00	9,231	8,959	272				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	9/11/2013 0:00	2/20/2014 0:00	19,266	18,680	585				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	10/7/2013 0:00	2/20/2014 0:00	1,605	1,559	47				SHORT
CQOF8012002	PVH CORP	1/22/2013 0:00	2/25/2014 0:00	7,558	7,089	469				LONG
CQOF8012002	PVH CORP	7/11/2013 0:00	2/25/2014 0:00	1,256	1,311	(55)	55		0	SHORT
CQOF8012002	PVH CORP	1/22/2013 0:00	2/25/2014 0:00	8,795	8,271	524				LONG
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	2/27/2014 0:00	4,151	2,787	1,363				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	2/27/2014 0:00	4,651	3,136	1,515				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	2/27/2014 0:00	3,084	2,091	993				SHORT
CQOF8012002	JUNIPER NETWORKS INC	5/21/2013 0:00	2/28/2014 0:00	6,368	4,226	2,141				SHORT
CQOF8012002	JUNIPER NETWORKS INC	5/21/2013 0:00	2/28/2014 0:00	1,063	704	359				SHORT
CQOF8012002	JUNIPER NETWORKS INC	5/21/2013 0:00	2/28/2014 0:00	21,199	14,088	7,111				SHORT
CQOF8012002	JUNIPER NETWORKS INC	5/20/2013 0:00	2/28/2014 0:00	23,849	15,849	8,000				SHORT
CQOF8012002	JUNIPER NETWORKS INC	5/29/2013 0:00	2/28/2014 0:00	2,120	1,439	680				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	2/28/2014 0:00	517	348	169				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/3/2014 0:00	2,362	1,742	620				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/3/2014 0:00	3,302	2,439	863				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/3/2014 0:00	3,303	2,439	864				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/4/2014 0:00	481	348	132				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/4/2014 0:00	1,438	1,045	393				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/4/2014 0:00	479	348	131				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/5/2014 0:00	1,425	1,045	380				SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/5/2014 0:00	479	348	130				SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
EIN 34-6555349
FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/5/2014 0:00	1,446	1,045	401			SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/6/2014 0:00	480	348	131			SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/6/2014 0:00	958	697	261			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	11/7/2013 0:00	3/19/2014 0:00	709	764	(54)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/10/2013 0:00	3/19/2014 0:00	4,966	5,059	(93)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/10/2013 0:00	3/19/2014 0:00	709	723	(13)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/10/2013 0:00	3/19/2014 0:00	5,680	5,780	(100)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/17/2013 0:00	3/19/2014 0:00	2,840	2,887	(47)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	11/7/2013 0:00	3/19/2014 0:00	9,932	10,713	(780)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	11/29/2011 0:00	3/20/2014 0:00	6,314	4,006	2,308			LONG
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/9/2013 0:00	3/20/2014 0:00	2,105	2,151	(47)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/17/2013 0:00	3/20/2014 0:00	702	722	(20)			SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	10/9/2013 0:00	3/20/2014 0:00	702	717	(15)			SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	3/26/2014 0:00	449	348	100			SHORT
CQOF8012002	XILINX INC	1/15/2014 0:00	3/27/2014 0:00	10,750	9,503	1,247			SHORT
CQOF8012002	XILINX INC	1/21/2014 0:00	3/27/2014 0:00	3,225	2,871	354			SHORT
CQOF8012002	XILINX INC	1/17/2014 0:00	3/27/2014 0:00	2,150	1,902	248			SHORT
CQOF8012002	XILINX INC	1/15/2014 0:00	3/27/2014 0:00	22,420	19,956	2,464			SHORT
CQOF8012002	NVR INC COM	1/3/2012 0:00	3/28/2014 0:00	4,598	2,814	1,784			LONG
CQOF8012002	XILINX INC	1/15/2014 0:00	3/28/2014 0:00	7,555	6,652	903			SHORT
CQOF8012002	CITRIX SYS INC COM	1/30/2014 0:00	3/31/2014 0:00	2,301	2,084	218			SHORT
CQOF8012002	CITRIX SYS INC COM	1/30/2014 0:00	3/31/2014 0:00	45,844	41,676	4,168			SHORT
CQOF8012002	IDEXX LABS INC	7/11/2013 0:00	3/31/2014 0:00	2,432	1,861	571			SHORT
CQOF8012002	IDEXX LABS INC	7/11/2013 0:00	3/31/2014 0:00	1,216	932	284			SHORT
CQOF8012002	IDEXX LABS INC	6/17/2013 0:00	3/31/2014 0:00	8,511	6,385	2,126			SHORT
CQOF8012002	IDEXX LABS INC	7/11/2013 0:00	3/31/2014 0:00	3,648	2,792	856			SHORT
CQOF8012002	NVR INC COM	1/3/2012 0:00	3/31/2014 0:00	7,997	4,924	3,073			LONG
CQOF8012002	NVR INC COM	1/26/2012 0:00	3/31/2014 0:00	1,142	700	442			LONG
CQOF8012002	XILINX INC	1/15/2014 0:00	3/31/2014 0:00	3,807	3,326	481			SHORT
CQOF8012002	CITRIX SYS INC COM	1/30/2014 0:00	4/1/2014 0:00	11,574	10,419	1,155			SHORT
CQOF8012002	IDEXX LABS INC	6/17/2013 0:00	4/1/2014 0:00	3,644	2,736	908			SHORT
CQOF8012002	NVR INC COM	1/26/2012 0:00	4/1/2014 0:00	10,331	6,301	4,030			LONG
CQOF8012002	XILINX INC	1/16/2014 0:00	4/1/2014 0:00	1,635	1,416	219			SHORT
CQOF8012002	XILINX INC	1/15/2014 0:00	4/1/2014 0:00	545	475	70			SHORT
CQOF8012002	XILINX INC	1/17/2014 0:00	4/1/2014 0:00	1,635	1,425	210			SHORT
CQOF8012002	ALKERMES PLC	10/31/2013 0:00	4/1/2014 0:00	1,355	1,045	310			SHORT
CQOF8012002	ALKERMES PLC	10/31/2013 0:00	4/1/2014 0:00	3,625	2,787	838			SHORT
CQOF8012002	ALKERMES PLC	10/31/2013 0:00	4/1/2014 0:00	5,431	4,180	1,251			SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	4/1/2014 0:00	453	348	104			SHORT
CQOF8012002	IDEXX LABS INC	6/17/2013 0:00	4/2/2014 0:00	1,217	912	305			SHORT
CQOF8012002	NVR INC COM	1/26/2012 0:00	4/2/2014 0:00	11,448	7,001	4,447			LONG
CQOF8012002	NVR INC COM	1/4/2012 0:00	4/2/2014 0:00	6,869	4,199	2,670			LONG
CQOF8012002	XILINX INC	1/16/2014 0:00	4/2/2014 0:00	3,818	3,303	515			SHORT
CQOF8012002	XILINX INC	1/16/2014 0:00	4/2/2014 0:00	3,819	3,303	516			SHORT
CQOF8012002	ALKERMES PLC	10/31/2013 0:00	4/2/2014 0:00	934	697	237			SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	4/2/2014 0:00	1,855	1,387	468			SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	4/2/2014 0:00	1,396	1,040	356			SHORT
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	4/2/2014 0:00	4,668	3,468	1,200			SHORT
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/3/2014 0:00	17,854	14,867	2,986			LONG
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/3/2014 0:00	469	391	77			LONG
CQOF8012002	NVR INC COM	1/4/2012 0:00	4/3/2014 0:00	10,377	6,299	4,079			LONG
CQOF8012002	NVR INC COM	1/26/2012 0:00	4/3/2014 0:00	1,153	698	455			LONG
CQOF8012002	ALKERMES PLC	10/25/2013 0:00	4/3/2014 0:00	895	694	201			SHORT
CQOF8012002	ALKERMES PLC	10/17/2013 0:00	4/3/2014 0:00	447	336	111			SHORT
CQOF8012002	ALKERMES PLC	10/17/2013 0:00	4/3/2014 0:00	450	336	114			SHORT
CQOF8012002	ALKERMES PLC	10/17/2013 0:00	4/3/2014 0:00	447	336	111			SHORT
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/4/2014 0:00	5,557	4,689	868			LONG
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/4/2014 0:00	3,246	2,739	508			LONG
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/4/2014 0:00	2,783	2,345	438			LONG
CQOF8012002	NVR INC COM	1/26/2012 0:00	4/4/2014 0:00	3,498	2,093	1,405			LONG
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/7/2014 0:00	5,888	5,080	808			LONG
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/7/2014 0:00	8,623	7,424	1,199			LONG
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/7/2014 0:00	2,266	1,954	312			LONG
CQOF8012002	GNC HOLDINGS INC	7/30/2012 0:00	4/7/2014 0:00	2,247	1,954	293			LONG
CQOF8012002	DISCOVERY COMMUNICATIONS-A	4/22/2009 0:00	4/8/2014 0:00	15,934	3,741	12,194			LONG
CQOF8012002	DISCOVERY COMMUNICATIONS-A	4/22/2009 0:00	4/8/2014 0:00	11,170	2,618	8,552			LONG
CQOF8012002	DONALDSON CO INC COM	10/10/2013 0:00	4/8/2014 0:00	829	771	58			SHORT
CQOF8012002	DONALDSON CO INC COM	10/10/2013 0:00	4/8/2014 0:00	4,144	3,855	289			SHORT
CQOF8012002	DONALDSON CO INC COM	10/11/2013 0:00	4/8/2014 0:00	829	784	44			SHORT
CQOF8012002	DONALDSON CO INC COM	10/7/2013 0:00	4/8/2014 0:00	7,874	7,237	637			SHORT
CQOF8012002	DONALDSON CO INC COM	10/4/2013 0:00	4/8/2014 0:00	1,243	1,141	102			SHORT
CQOF8012002	DONALDSON CO INC COM	10/4/2013 0:00	4/8/2014 0:00	1,658	1,522	136			SHORT
CQOF8012002	MOHAWK INDS INC	12/21/2012 0:00	4/8/2014 0:00	15,728	11,046	4,682			LONG
CQOF8012002	MOHAWK INDS INC	12/21/2012 0:00	4/8/2014 0:00	7,863	5,500	2,364			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS		LONG/SHORT
				PROCEEDS	COST BASIS			DISALLOWED	DISALLOWED	
CQOF8012002	AKAMAI TECHNOLOGIES INC	12/11/2013 0:00	4/9/2014 0:00	11,511	9,473	2,038				SHORT
CQOF8012002	AKAMAI TECHNOLOGIES INC	12/13/2013 0:00	4/9/2014 0:00	2,193	1,817	376				SHORT
CQOF8012002	DONALDSON CO INC COM	10/7/2013 0:00	4/9/2014 0:00	832	760	72				SHORT
CQOF8012002	DONALDSON CO INC COM	10/4/2013 0:00	4/9/2014 0:00	11,229	10,271	959				SHORT
CQOF8012002	DONALDSON CO INC COM	10/8/2013 0:00	4/9/2014 0:00	4,575	4,177	398				SHORT
CQOF8012002	MOHAWK INDS INC	12/21/2012 0:00	4/9/2014 0:00	8,089	5,500	2,590				LONG
CQOF8012002	MOHAWK INDS INC	12/21/2012 0:00	4/9/2014 0:00	5,396	3,666	1,730				LONG
CQOF8012002	LIBERTY GLOBAL PLC	2/4/2013 0:00	4/10/2014 0:00	12,595	10,983	1,612				LONG
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/20/2012 0:00	4/14/2014 0:00	9,262	6,472	2,790				LONG
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/17/2012 0:00	4/14/2014 0:00	7,718	5,251	2,468				LONG
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/17/2012 0:00	4/14/2014 0:00	3,859	2,626	1,233				LONG
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/18/2012 0:00	4/14/2014 0:00	43,222	29,848	13,374				LONG
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/17/2012 0:00	4/14/2014 0:00	20,835	14,177	6,659				LONG
CQOF8012002	REINSURANCE GROUP AMER INC CO	12/18/2012 0:00	4/14/2014 0:00	4,631	3,190	1,441				LONG
CQOF8012002	LIBERTY GLOBAL PLC	2/6/2013 0:00	4/22/2014 0:00	1,205	988	217				LONG
CQOF8012002	LIBERTY GLOBAL PLC	2/4/2013 0:00	4/22/2014 0:00	12,050	10,629	1,422				LONG
CQOF8012002	LIBERTY GLOBAL PLC	2/6/2013 0:00	4/22/2014 0:00	1,209	988	221				LONG
CQOF8012002	LIBERTY GLOBAL PLC	2/6/2013 0:00	4/23/2014 0:00	11,207	9,223	1,984				LONG
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0:00	4/25/2014 0:00	1,282	1,091	191				SHORT
CQOF8012002	DONALDSON CO INC COM	10/8/2013 0:00	4/28/2014 0:00	1,678	1,519	159				SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0:00	4/28/2014 0:00	12,570	10,912	1,658				SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0:00	4/28/2014 0:00	3,172	2,728	444				SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0:00	4/28/2014 0:00	2,543	2,182	360				SHORT
CQOF8012002	DONALDSON CO INC COM	10/8/2013 0:00	4/29/2014 0:00	2,101	1,899	202				SHORT
CQOF8012002	DONALDSON CO INC COM	10/8/2013 0:00	4/29/2014 0:00	5,036	4,557	479				SHORT
CQOF8012002	WHOLE FOODS MKT INC	8/20/2012 0:00	4/29/2014 0:00	1,485	1,427	59				LONG
CQOF8012002	WHOLE FOODS MKT INC	8/15/2012 0:00	4/29/2014 0:00	3,961	3,776	185				LONG
CQOF8012002	WHOLE FOODS MKT INC	2/14/2013 0:00	4/29/2014 0:00	41,594	37,328	4,266				LONG
CQOF8012002	WHOLE FOODS MKT INC	8/15/2012 0:00	4/29/2014 0:00	5,942	5,661	280				LONG
CQOF8012002	AKAMAI TECHNOLOGIES INC	12/10/2013 0:00	4/30/2014 0:00	4,765	4,057	709				SHORT
CQOF8012002	AKAMAI TECHNOLOGIES INC	12/11/2013 0:00	4/30/2014 0:00	9,001	7,669	1,333				SHORT
CQOF8012002	DONALDSON CO INC COM	10/8/2013 0:00	4/30/2014 0:00	1,259	1,139	120				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0:00	4/30/2014 0:00	4,198	3,785	413				SHORT
CQOF8012002	DONALDSON CO INC COM	10/8/2013 0:00	4/30/2014 0:00	4,198	3,791	407				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0:00	5/1/2014 0:00	2,115	1,893	223				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0:00	5/1/2014 0:00	2,522	2,271	251				SHORT
CQOF8012002	AMC NETWORKS INC	4/8/2014 0:00	5/2/2014 0:00	1,323	1,401	(78)				SHORT
CQOF8012002	AMC NETWORKS INC	4/8/2014 0:00	5/2/2014 0:00	5,951	6,342	(391)				SHORT
CQOF8012002	AKAMAI TECHNOLOGIES INC	12/10/2013 0:00	5/2/2014 0:00	6,124	4,958	1,166				SHORT
CQOF8012002	ALBEMARLE CORP COM	2/13/2013 0:00	5/2/2014 0:00	4,088	3,962	125				LONG
CQOF8012002	ALLIANCE DATA SYS CORP	7/22/2010 0:00	5/2/2014 0:00	9,593	2,268	7,325				LONG
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/27/2014 0:00	5/2/2014 0:00	9,141	8,855	286				SHORT
CQOF8012002	AMERIPRISE FINL INC	8/31/2009 0:00	5/2/2014 0:00	7,901	2,091	5,809				LONG
CQOF8012002	AMETEK INC NEW	9/24/2013 0:00	5/2/2014 0:00	4,758	4,148	610				SHORT
CQOF8012002	AMETEK INC NEW	9/26/2013 0:00	5/2/2014 0:00	5,287	4,607	680				SHORT
CQOF8012002	AUTODESK INC COM	4/2/2014 0:00	5/2/2014 0:00	7,180	7,507	(326)				SHORT
CQOF8012002	CBRE GROUP INC	1/31/2012 0:00	5/2/2014 0:00	8,909	5,662	3,248				LONG
CQOF8012002	CHURCH & DWIGHT CO INCORPORA	6/28/2013 0:00	5/2/2014 0:00	9,478	8,616	862				SHORT
CQOF8012002	CONSOL ENERGY INC	3/13/2014 0:00	5/2/2014 0:00	905	775	131				SHORT
CQOF8012002	CONSOL ENERGY INC	3/13/2014 0:00	5/2/2014 0:00	10,410	8,917	1,493				SHORT
CQOF8012002	CONSOL ENERGY INC	3/13/2014 0:00	5/2/2014 0:00	451	388	64				SHORT
CQOF8012002	CONSOL ENERGY INC	3/13/2014 0:00	5/2/2014 0:00	446	388	58				SHORT
CQOF8012002	CONSOL ENERGY INC	3/13/2014 0:00	5/2/2014 0:00	449	387	61				SHORT
CQOF8012002	CONSOL ENERGY INC	8/20/2012 0:00	5/2/2014 0:00	4,038	2,917	1,121				LONG
CQOF8012002	CONSOL ENERGY INC	3/13/2014 0:00	5/2/2014 0:00	447	387	60				SHORT
CQOF8012002	COOPER CO INC NEW	4/9/2012 0:00	5/2/2014 0:00	9,988	6,205	3,783				LONG
CQOF8012002	COPART INC	4/4/2014 0:00	5/2/2014 0:00	8,386	8,534	(148)	77		(71)	SHORT
CQOF8012002	COPART INC	4/3/2014 0:00	5/2/2014 0:00	3,281	3,336	(54)	54		0	SHORT
CQOF8012002	CROWN HLDGS INC *PP*	1/24/2008 0:00	5/2/2014 0:00	5,194	2,403	2,791				LONG
CQOF8012002	CUBIST PHARMACEUTICALS INC	10/11/2013 0:00	5/2/2014 0:00	8,331	7,868	464				SHORT
CQOF8012002	DOLLAR GENERAL CORP	12/12/2011 0:00	5/2/2014 0:00	5,142	3,673	1,469				LONG
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0:00	5/2/2014 0:00	2,952	2,650	302				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0:00	5/2/2014 0:00	1,264	1,135	129				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0:00	5/2/2014 0:00	2,950	2,650	300				SHORT
CQOF8012002	EASTMAN CHEMICAL CO	8/30/2012 0:00	5/2/2014 0:00	6,932	4,391	2,540				LONG
CQOF8012002	FEI CO	2/3/2014 0:00	5/2/2014 0:00	7,383	8,424	(1,041)	1,041			SHORT
CQOF8012002	F M C CORP COM NEW	9/25/2013 0:00	5/2/2014 0:00	8,449	7,874	575				SHORT
CQOF8012002	F5 NETWORKS INC	1/8/2014 0:00	5/2/2014 0:00	5,246	4,497	749				SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/21/2013 0:00	5/2/2014 0:00	4,905	3,768	1,138				SHORT
CQOF8012002	HUNT JB TRANS SVCS INC COM	11/29/2011 0:00	5/2/2014 0:00	2,329	1,334	994				LONG
CQOF8012002	HUNT JB TRANS SVCS INC COM	11/29/2011 0:00	5/2/2014 0:00	6,210	3,561	2,648				LONG
CQOF8012002	IDEXX LABS INC	6/17/2013 0:00	5/2/2014 0:00	2,557	1,824	733				SHORT
CQOF8012002	IDEXX LABS INC	6/18/2013 0:00	5/2/2014 0:00	1,279	909	370				SHORT
CQOF8012002	IDEXX LABS INC	6/18/2013 0:00	5/2/2014 0:00	3,836	2,720	1,116				SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8012002	IHS INC	10/24/2012 0 00	5/2/2014 0 00	7,325	5,210	2,115				LONG
CQOF8012002	JARDEN CORP	7/11/2012 0 00	5/2/2014 0 00	3,385	1,715	1,669				LONG
CQOF8012002	JARDEN CORP	4/29/2014 0 00	5/2/2014 0 00	5,077	5,101	(24)				SHORT
CQOF8012002	KATE SPADE & CO	4/16/2014 0 00	5/2/2014 0 00	4,220	3,978	242				SHORT
CQOF8012002	KATE SPADE & CO	4/17/2014 0 00	5/2/2014 0 00	2,462	2,351	111				SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/23/2013 0 00	5/2/2014 0 00	8,082	8,374	(292)				SHORT
CQOF8012002	LINKEDIN CORP - A	10/30/2013 0 00	5/2/2014 0 00	4,650	6,738	(2,088)				SHORT
CQOF8012002	MWI VETERINARY SUPPLY INC	10/7/2013 0 00	5/2/2014 0 00	3,181	3,058	123				SHORT
CQOF8012002	MWI VETERINARY SUPPLY INC	3/31/2014 0 00	5/2/2014 0 00	6,362	6,207	154				SHORT
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	5/2/2014 0 00	6,423	6,744	(321)				SHORT
CQOF8012002	MEAD JOHNSON NUTRITION CO-A	3/4/2014 0 00	5/2/2014 0 00	10,561	10,053	507				SHORT
CQOF8012002	MEADWESTVACO CORP	3/18/2013 0 00	5/2/2014 0 00	7,900	7,541	359				LONG
CQOF8012002	METTLER-TOLEDO INTL INC	12/5/2006 0 00	5/2/2014 0 00	8,158	2,800	5,358				LONG
CQOF8012002	MOHAWK INDS INC	12/21/2012 0 00	5/2/2014 0 00	7,097	4,583	2,514				LONG
CQOF8012002	NICE SYS LTD SPONSORED ADR	9/16/2008 0 00	5/2/2014 0 00	6,437	3,938	2,499				LONG
CQOF8012002	NORFOLK SOUTHERN CORP	6/10/2013 0 00	5/2/2014 0 00	9,375	7,782	1,593				SHORT
CQOF8012002	PVH CORP	3/27/2014 0 00	5/2/2014 0 00	7,667	7,488	179				SHORT
CQOF8012002	PEABODY ENERGY CORP	4/24/2014 0 00	5/2/2014 0 00	3,254	2,967	287				SHORT
CQOF8012002	POLARIS INDS INC COM	3/3/2014 0 00	5/2/2014 0 00	4,091	4,006	85				SHORT
CQOF8012002	POLARIS INDS INC COM	3/3/2014 0 00	5/2/2014 0 00	5,455	5,336	119				SHORT
CQOF8012002	PRICE T ROWE GROUP INC	4/15/2014 0 00	5/2/2014 0 00	7,407	7,128	279				SHORT
CQOF8012002	RED HAT INC	3/31/2014 0 00	5/2/2014 0 00	5,855	6,365	(510)	510			SHORT
CQOF8012002	REGIONS FINL CORP NEW	12/13/2013 0 00	5/2/2014 0 00	7,712	7,059	652				SHORT
CQOF8012002	SKYWORKS SOLUTIONS INC	4/24/2014 0 00	5/2/2014 0 00	4,934	5,080	(146)	146			SHORT
CQOF8012002	STARWOOD HOTELS & RESORTS	5/17/2012 0 00	5/2/2014 0 00	2,368	1,547	821				LONG
CQOF8012002	STARWOOD HOTELS & RESORTS	5/24/2012 0 00	5/2/2014 0 00	3,946	2,687	1,259				LONG
CQOF8012002	TESORO PETROLEUM CORPORATION	6/27/2011 0 00	5/2/2014 0 00	10,347	3,801	6,546				LONG
CQOF8012002	TIFFANY & CO NEW	10/8/2012 0 00	5/2/2014 0 00	3,572	2,520	1,052				LONG
CQOF8012002	TIFFANY & CO NEW	7/11/2013 0 00	5/2/2014 0 00	4,465	3,849	616				SHORT
CQOF8012002	TOTAL SYS SVCS INC	4/15/2013 0 00	5/2/2014 0 00	3,887	2,949	938				LONG
CQOF8012002	TOTAL SYS SVCS INC	4/15/2013 0 00	5/2/2014 0 00	3,887	2,948	938				LONG
CQOF8012002	TRANSNIGM GROUP INC	11/5/2012 0 00	5/2/2014 0 00	8,129	5,202	2,927				LONG
CQOF8012002	UNITED CONTINENTAL HOLDINGS IN	1/29/2014 0 00	5/2/2014 0 00	6,977	7,851	(874)	874			SHORT
CQOF8012002	URBAN OUTFITTERS INC	3/11/2014 0 00	5/2/2014 0 00	5,075	5,023	52				SHORT
CQOF8012002	VALERO ENERGY CORP NEW	9/29/2011 0 00	5/2/2014 0 00	5,828	1,694	4,134				LONG
CQOF8012002	VULCAN MATERIALS COMPANY COM	10/11/2013 0 00	5/2/2014 0 00	5,879	4,756	1,122				SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/9/2013 0 00	5/2/2014 0 00	3,266	2,728	538				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	10/26/2012 0 00	5/2/2014 0 00	9,068	5,578	3,490				LONG
CQOF8012002	WHITEWAVE FOODS CO- A	7/10/2013 0 00	5/2/2014 0 00	550	343	206				SHORT
CQOF8012002	ACTAVIS PLC	10/1/2013 0 00	5/2/2014 0 00	21,603	15,120	6,483				SHORT
CQOF8012002	AOB CORP	10/15/2013 0 00	5/2/2014 0 00	8,553	7,350	1,203				SHORT
CQOF8012002	ENSCO PLC	7/20/2011 0 00	5/2/2014 0 00	4,508	4,693	(185)				LONG
CQOF8012002	ICON PLC	10/31/2013 0 00	5/2/2014 0 00	4,793	4,866	(73)				SHORT
CQOF8012002	JAZZ PHARMACEUTICALS PLC	4/10/2014 0 00	5/2/2014 0 00	9,660	9,198	462				SHORT
CQOF8012002	LIBERTY GLOBAL PLC	4/22/2014 0 00	5/2/2014 0 00	5,128	5,095	33				SHORT
CQOF8012002	NIELSEN HOLDINGS N V	4/16/2013 0 00	5/2/2014 0 00	472	349	122				LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/15/2013 0 00	5/2/2014 0 00	6,605	4,895	1,710				LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/4/2013 0 00	5/2/2014 0 00	944	700	244				LONG
CQOF8012002	NXP SEMICONDUCTORS N V	3/20/2014 0 00	5/2/2014 0 00	5,476	5,372	105				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	3/5/2014 0 00	5/2/2014 0 00	2,571	2,445	127				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	3/6/2014 0 00	5/2/2014 0 00	7,714	7,351	364				SHORT
CQOF8012002	CONSOL ENERGY INC	8/20/2012 0 00	5/5/2014 0 00	5,771	4,214	1,557				LONG
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0 00	5/5/2014 0 00	5,045	4,540	504				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0 00	5/5/2014 0 00	2,947	2,649	298				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0 00	5/6/2014 0 00	6,714	6,054	660				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0 00	5/7/2014 0 00	4,197	3,784	413				SHORT
CQOF8012002	DONALDSON CO INC COM	10/9/2013 0 00	5/7/2014 0 00	11,325	10,216	1,109				SHORT
CQOF8012002	IDEXX LABS INC	6/18/2013 0 00	5/7/2014 0 00	3,870	2,720	1,151				SHORT
CQOF8012002	IDEXX LABS INC	6/18/2013 0 00	5/7/2014 0 00	14,185	9,972	4,212				SHORT
CQOF8012002	AMC NETWORKS INC	4/8/2014 0 00	5/8/2014 0 00	1,809	2,101	(292)				SHORT
CQOF8012002	AMC NETWORKS INC	4/8/2014 0 00	5/8/2014 0 00	2,998	3,502	(504)				SHORT
CQOF8012002	AMC NETWORKS INC	7/16/2012 0 00	5/8/2014 0 00	3,598	2,540	1,057				LONG
CQOF8012002	AMC NETWORKS INC	7/16/2012 0 00	5/19/2014 0 00	1,799	1,270	529				LONG
CQOF8012002	AMC NETWORKS INC	7/16/2012 0 00	5/19/2014 0 00	6,627	4,657	1,970				LONG
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	5/23/2014 0 00	2,619	2,787	(167)				SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	5/23/2014 0 00	7,202	7,647	(446)				SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	11/7/2013 0 00	5/23/2014 0 00	1,309	1,392	(83)				SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	11/7/2013 0 00	5/23/2014 0 00	1,310	1,392	(83)				SHORT
CQOF8012002	PEABODY ENERGY CORP	4/24/2014 0 00	5/28/2014 0 00	22,247	23,213	(966)				SHORT
CQOF8012002	PEABODY ENERGY CORP	4/24/2014 0 00	5/28/2014 0 00	3,355	3,491	(136)				SHORT
CQOF8012002	PEABODY ENERGY CORP	4/24/2014 0 00	5/28/2014 0 00	3,016	3,142	(126)				SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	10/26/2012 0 00	5/28/2014 0 00	9,654	5,240	4,414				LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/16/2013 0 00	5/28/2014 0 00	478	349	129				LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/16/2013 0 00	5/28/2014 0 00	1,914	1,397	516				LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES PROCEEDS	COST BASIS	GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	10/26/2012 0 00	5/29/2014 0 00	3,189	1,690	1,498			LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/16/2013 0 00	5/29/2014 0 00	7,185	5,240	1,945			LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/9/2013 0 00	5/30/2014 0 00	1,433	1,047	386			LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/9/2013 0 00	5/30/2014 0 00	478	349	129			LONG
CQOF8012002	NIELSEN HOLDINGS N V	4/16/2013 0 00	5/30/2014 0 00	1,433	1,048	385			LONG
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/27/2014 0 00	6/2/2014 0 00	1,542	1,469	72			SHORT
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/13/2013 0 00	6/2/2014 0 00	3,696	2,790	906			LONG
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/18/2013 0 00	6/2/2014 0 00	4,312	3,254	1,057			LONG
CQOF8012002	ALLISON TRANSMISSION HOLDING	3/27/2014 0 00	6/2/2014 0 00	924	882	42			SHORT
CQOF8012002	CROWN CASTLE INTL CORP	5/6/2014 0 00	6/2/2014 0 00	9,171	9,208	(37)	37	0	SHORT
CQOF8012002	CROWN CASTLE INTL CORP	5/5/2014 0 00	6/2/2014 0 00	5,350	5,343	7			SHORT
CQOF8012002	CROWN CASTLE INTL CORP	5/5/2014 0 00	6/2/2014 0 00	20,617	20,607	10			SHORT
CQOF8012002	CROWN CASTLE INTL CORP	4/10/2014 0 00	6/2/2014 0 00	764	772	(9)			SHORT
CQOF8012002	CROWN CASTLE INTL CORP	5/8/2014 0 00	6/2/2014 0 00	3,057	3,094	(36)	37	0	SHORT
CQOF8012002	CROWN CASTLE INTL CORP	4/10/2014 0 00	6/2/2014 0 00	2,291	2,304	(13)			SHORT
CQOF8012002	CROWN CASTLE INTL CORP	5/5/2014 0 00	6/3/2014 0 00	1,512	1,516	(4)			SHORT
CQOF8012002	CROWN CASTLE INTL CORP	4/8/2014 0 00	6/3/2014 0 00	9,075	9,144	(70)			SHORT
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	6/5/2014 0 00	13,000	13,053	(53)			LONG
CQOF8012002	TRANSDIGM GROUP INC	11/5/2012 0 00	6/5/2014 0 00	3,782	2,312	1,470			LONG
CQOF8012002	TRANSDIGM GROUP INC	11/5/2012 0 00	6/5/2014 0 00	6,660	4,046	2,614			LONG
CQOF8012002	TRANSDIGM GROUP INC	11/5/2012 0 00	6/5/2014 0 00	15,175	9,248	5,927			LONG
CQOF8012002	GENERAC HOLDINGS INC	10/21/2013 0 00	6/6/2014 0 00	2,412	2,093	318			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/22/2013 0 00	6/9/2014 0 00	469	414	55			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/21/2013 0 00	6/9/2014 0 00	938	828	110			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/23/2013 0 00	6/9/2014 0 00	2,815	2,482	333			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/18/2013 0 00	6/9/2014 0 00	467	409	58			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/23/2013 0 00	6/9/2014 0 00	933	827	105			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/23/2013 0 00	6/9/2014 0 00	6,081	5,379	703			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/18/2013 0 00	6/9/2014 0 00	2,339	2,043	296			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/18/2013 0 00	6/9/2014 0 00	3,267	2,857	410			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/22/2013 0 00	6/9/2014 0 00	1,408	1,249	159			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/21/2013 0 00	6/9/2014 0 00	2,815	2,512	304			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/18/2013 0 00	6/10/2014 0 00	459	408	51			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/18/2013 0 00	6/10/2014 0 00	2,319	2,040	279			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/17/2013 0 00	6/10/2014 0 00	5,962	5,286	676			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/17/2013 0 00	6/10/2014 0 00	8,818	7,725	1,092			SHORT
CQOF8012002	GENERAC HOLDINGS INC	10/17/2013 0 00	6/10/2014 0 00	464	405	59			SHORT
CQOF8012002	IDEXX LABS INC	6/18/2013 0 00	6/19/2014 0 00	1,310	907	403			LONG
CQOF8012002	IDEXX LABS INC	6/19/2013 0 00	6/19/2014 0 00	5,239	3,601	1,638			SHORT
CQOF8012002	WHITEWAVE FOODS CO- A	10/26/2012 0 00	6/19/2014 0 00	10,064	5,240	4,823			LONG
CQOF8012002	IDEXX LABS INC	6/19/2013 0 00	6/20/2014 0 00	4,581	3,151	1,430			LONG
CQOF8012002	PVH CORP	3/27/2014 0 00	6/20/2014 0 00	1,151	1,248	(97)			SHORT
CQOF8012002	PVH CORP	1/22/2013 0 00	6/20/2014 0 00	3,453	3,545	(92)			LONG
CQOF8012002	PVH CORP	3/27/2014 0 00	6/20/2014 0 00	8,057	8,613	(556)			SHORT
CQOF8012002	PVH CORP	3/27/2014 0 00	6/20/2014 0 00	11,509	12,480	(970)			SHORT
CQOF8012002	PVH CORP	8/10/2013 0 00	6/20/2014 0 00	1,151	1,282	(131)			SHORT
CQOF8012002	PVH CORP	3/27/2014 0 00	6/20/2014 0 00	1,151	1,228	(77)			SHORT
CQOF8012002	PVH CORP	3/27/2014 0 00	6/20/2014 0 00	2,302	2,459	(157)			SHORT
CQOF8012002	MASCO CORPORATION	10/2/2013 0 00	6/23/2014 0 00	1,304	1,259	45			SHORT
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	6/23/2014 0 00	3,928	3,899	29			LONG
CQOF8012002	MASCO CORPORATION	6/21/2013 0 00	6/23/2014 0 00	11,737	10,049	1,687			LONG
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	6/23/2014 0 00	3,274	3,263	10			LONG
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	6/23/2014 0 00	17,388	17,330	57			LONG
CQOF8012002	MASCO CORPORATION	5/6/2013 0 00	6/23/2014 0 00	435	432	3			LONG
CQOF8012002	MASCO CORPORATION	10/2/2013 0 00	6/23/2014 0 00	9,781	9,542	238			SHORT
CQOF8012002	MASCO CORPORATION	10/2/2013 0 00	6/23/2014 0 00	8,694	8,480	214			SHORT
CQOF8012002	PVH CORP	1/22/2013 0 00	6/23/2014 0 00	26,381	27,175	(795)			LONG
CQOF8012002	PVH CORP	1/22/2013 0 00	6/23/2014 0 00	9,179	9,452	(274)			LONG
CQOF8012002	PVH CORP	1/22/2013 0 00	6/23/2014 0 00	5,739	5,908	(169)			LONG
CQOF8012002	PVH CORP	1/22/2013 0 00	6/24/2014 0 00	2,301	2,363	(62)			LONG
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/23/2014 0 00	6/25/2014 0 00	4,442	4,287	155			SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/27/2014 0 00	6/25/2014 0 00	6,346	6,136	211			SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	10/11/2013 0 00	6/26/2014 0 00	629	529	100			SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	10/10/2013 0 00	6/26/2014 0 00	1,886	1,569	317			SHORT
CQOF8012002	VULCAN MATERIALS COMPANY COM	5/23/2014 0 00	6/26/2014 0 00	2,515	2,450	65			SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	7/11/2014 0 00	675	695	(20)			SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	7/14/2014 0 00	2,706	2,781	(75)			SHORT
CQOF8012002	ACTAVIS PLC	10/26/2009 0 00	7/14/2014 0 00	1,712	1,093	618			LONG
CQOF8012002	ACTAVIS PLC	10/1/2013 0 00	7/14/2014 0 00	42,174	27,677	14,497			SHORT
CQOF8012002	ACTAVIS PLC	10/1/2013 0 00	7/14/2014 0 00	12,024	7,920	4,104			SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	7/15/2014 0 00	674	695	(22)			SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	7/15/2014 0 00	1,342	1,390	(49)			SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	7/16/2014 0 00	5,346	5,562	(215)			SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	7/17/2014 0 00	1,989	2,086	(97)			SHORT

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT				SALES		GAIN/LOSS	FED WASH	NET		
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	SALE DISALLOWED	GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT	
CQOF8012002	ACTAVIS PLC	10/26/2009 0 00	7/17/2014 0 00	16,222	10,512	5,710			LONG	
CQOF8012002	LINCOLN ELEC HLDGS INC	10/24/2013 0 00	7/18/2014 0 00	4,665	4,866	(201)			SHORT	
CQOF8012002	LINCOLN ELEC HLDGS INC	11/7/2013 0 00	7/21/2014 0 00	2,001	2,085	(84)			SHORT	
CQOF8012002	LINCOLN ELEC HLDGS INC	10/18/2013 0 00	7/21/2014 0 00	2,001	2,082	(81)			SHORT	
CQOF8012002	METTLER-TOLEDO INTL INC	12/5/2006 0 00	7/21/2014 0 00	3,807	1,200	2,607			LONG	
CQOF8012002	METTLER-TOLEDO INTL INC	12/5/2006 0 00	7/21/2014 0 00	12,706	4,000	8,706			LONG	
CQOF8012002	ACTAVIS PLC	11/19/2009 0 00	7/21/2014 0 00	29,170	18,773	10,397			LONG	
CQOF8012002	ACTAVIS PLC	11/19/2009 0 00	7/21/2014 0 00	26,214	16,827	9,387			LONG	
CQOF8012002	ACTAVIS PLC	5/10/2013 0 00	7/21/2014 0 00	4,116	1,828	2,289			LONG	
CQOF8012002	ACTAVIS PLC	10/26/2009 0 00	7/21/2014 0 00	39,974	25,930	14,044			LONG	
CQOF8012002	ACTAVIS PLC	10/26/2009 0 00	7/21/2014 0 00	5,411	3,504	1,907			LONG	
CQOF8012002	LAM RESH CORP COM	5/19/2014 0 00	7/22/2014 0 00	27,215	22,387	4,828			SHORT	
CQOF8012002	ACTAVIS PLC	5/10/2013 0 00	7/24/2014 0 00	58,730	25,874	32,856			LONG	
CQOF8012002	CROWN HLDGS INC *PP*	1/24/2008 0 00	7/25/2014 0 00	5,820	2,622	3,199			LONG	
CQOF8012002	IDEXX LABS INC	6/19/2013 0 00	7/25/2014 0 00	1,327	900	427			LONG	
CQOF8012002	IDEXX LABS INC	6/14/2013 0 00	7/25/2014 0 00	654	447	207			LONG	
CQOF8012002	IDEXX LABS INC	6/19/2013 0 00	7/25/2014 0 00	654	450	204			LONG	
CQOF8012002	IDEXX LABS INC	6/19/2013 0 00	7/25/2014 0 00	15,705	10,798	4,908			LONG	
CQOF8012002	IDEXX LABS INC	6/13/2013 0 00	7/25/2014 0 00	650	437	213			LONG	
CQOF8012002	IDEXX LABS INC	6/19/2013 0 00	7/25/2014 0 00	14,578	9,903	4,675			LONG	
CQOF8012002	IDEXX LABS INC	6/14/2013 0 00	7/25/2014 0 00	1,950	1,341	609			LONG	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	2/25/2014 0 00	7/25/2014 0 00	1,420	1,215	205			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	2/26/2014 0 00	7/25/2014 0 00	2,840	2,433	408			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	2/26/2014 0 00	7/25/2014 0 00	946	811	136			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	3/5/2014 0 00	7/25/2014 0 00	3,785	3,257	528			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	3/5/2014 0 00	7/25/2014 0 00	1,893	1,630	263			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	2/20/2014 0 00	7/25/2014 0 00	4,259	3,653	606			SHORT	
CQOF8012002	IDEXX LABS INC	6/13/2013 0 00	7/28/2014 0 00	1,299	874	424			LONG	
CQOF8012002	IDEXX LABS INC	6/13/2013 0 00	7/28/2014 0 00	1,950	1,312	638			LONG	
CQOF8012002	IDEXX LABS INC	6/13/2013 0 00	7/28/2014 0 00	650	437	213			LONG	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	2/21/2014 0 00	7/28/2014 0 00	3,757	3,202	555			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	2/25/2014 0 00	7/28/2014 0 00	2,348	2,025	323			SHORT	
CQOF8012002	CROWN HLDGS INC *PP*	1/24/2008 0 00	7/29/2014 0 00	8,149	3,714	4,434			LONG	
CQOF8012002	KATE SPADE & CO	4/16/2014 0 00	7/29/2014 0 00	5,493	4,641	852			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	2/21/2014 0 00	7/29/2014 0 00	928	800	128			SHORT	
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	9/10/2013 0 00	7/29/2014 0 00	4,642	3,884	758			SHORT	
CQOF8012002	KATE SPADE & CO	4/16/2014 0 00	7/30/2014 0 00	3,441	2,983	458			SHORT	
CQOF8012002	KATE SPADE & CO	12/17/2013 0 00	7/30/2014 0 00	1,912	1,650	262			SHORT	
CQOF8012002	KATE SPADE & CO	12/16/2013 0 00	7/30/2014 0 00	1,523	1,318	205			SHORT	
CQOF8012002	KATE SPADE & CO	12/16/2013 0 00	7/30/2014 0 00	5,353	4,615	738			SHORT	
CQOF8012002	LAM RESH CORP COM	5/19/2014 0 00	7/31/2014 0 00	34,574	28,867	5,706			SHORT	
CQOF8012002	IDEXX LABS INC	6/12/2013 0 00	8/1/2014 0 00	4,983	3,424	1,559			LONG	
CQOF8012002	IDEXX LABS INC	6/13/2013 0 00	8/1/2014 0 00	3,114	2,186	928			LONG	
CQOF8012002	IDEXX LABS INC	6/12/2013 0 00	8/1/2014 0 00	4,983	3,424	1,559			LONG	
CQOF8012002	KATE SPADE & CO	12/16/2013 0 00	8/4/2014 0 00	1,492	1,318	174			SHORT	
CQOF8012002	KATE SPADE & CO	12/16/2013 0 00	8/5/2014 0 00	753	659	94			SHORT	
CQOF8012002	WHITEWAVE FOODS CO- A	3/1/2013 0 00	8/6/2014 0 00	3,268	1,799	1,469			LONG	
CQOF8012002	WHITEWAVE FOODS CO- A	3/6/2013 0 00	8/6/2014 0 00	3,565	1,967	1,599			LONG	
CQOF8012002	WHITEWAVE FOODS CO- A	3/5/2013 0 00	8/6/2014 0 00	594	332	262			LONG	
CQOF8012002	WHITEWAVE FOODS CO- A	3/5/2013 0 00	8/6/2014 0 00	594	332	262			LONG	
CQOF8012002	WHITEWAVE FOODS CO- A	3/8/2013 0 00	8/6/2014 0 00	1,188	648	541			LONG	
CQOF8012002	WHITEWAVE FOODS CO- A	10/26/2012 0 00	8/6/2014 0 00	23,175	13,186	9,989			LONG	
CQOF8012002	KATE SPADE & CO	12/16/2013 0 00	8/8/2014 0 00	3,057	2,637	420			SHORT	
CQOF8012002	CHIPOTLE MEXICAN GRILL INC	6/4/2014 0 00	8/21/2014 0 00	23,950	19,550	4,400			SHORT	
CQOF8012002	CHIPOTLE MEXICAN GRILL INC	6/5/2014 0 00	8/21/2014 0 00	41,058	33,609	7,448			SHORT	
CQOF8012002	SKYWORKS SOLUTIONS INC	4/24/2014 0 00	8/27/2014 0 00	9,192	7,197	1,995			SHORT	
CQOF8012002	SKYWORKS SOLUTIONS INC	4/24/2014 0 00	8/27/2014 0 00	4,326	3,378	947			SHORT	
CQOF8012002	CROWN HLDGS INC *PP*	1/24/2008 0 00	8/28/2014 0 00	41,253	18,790	22,463			LONG	
CQOF8012002	CROWN HLDGS INC *PP*	1/24/2008 0 00	8/28/2014 0 00	1,440	655	784			LONG	
CQOF8012002	MOHAWK INDS INC	12/21/2012 0 00	8/29/2014 0 00	7,288	4,583	2,705			LONG	
CQOF8012002	MOHAWK INDS INC	12/21/2012 0 00	8/29/2014 0 00	5,809	3,666	2,142			LONG	
CQOF8012002	SK TELECOM LTD SPON ADR	7/3/2014 0 00	9/9/2014 0 00	2,742	2,437	305			SHORT	
CQOF8012002	SK TELECOM LTD SPON ADR	7/3/2014 0 00	9/9/2014 0 00	917	812	104			SHORT	
CQOF8012002	SK TELECOM LTD SPON ADR	7/1/2014 0 00	9/9/2014 0 00	5,805	5,118	687			SHORT	
CQOF8012002	NORFOLK SOUTHERN CORP	6/10/2013 0 00	9/10/2014 0 00	19,436	14,008	5,429			LONG	
CQOF8012002	NORFOLK SOUTHERN CORP	6/10/2013 0 00	9/10/2014 0 00	2,160	1,549	611			LONG	
CQOF8012002	SK TELECOM LTD SPON ADR	7/2/2014 0 00	9/10/2014 0 00	2,433	2,143	289			SHORT	
CQOF8012002	SK TELECOM LTD SPON ADR	7/1/2014 0 00	9/10/2014 0 00	1,824	1,616	208			SHORT	
CQOF8012002	SK TELECOM LTD SPON ADR	6/25/2014 0 00	9/12/2014 0 00	4,682	3,965	717			SHORT	
CQOF8012002	SK TELECOM LTD SPON ADR	7/2/2014 0 00	9/12/2014 0 00	1,561	1,340	221			SHORT	
CQOF8012002	SK TELECOM LTD SPON ADR	6/26/2014 0 00	9/12/2014 0 00	4,682	3,981	701			SHORT	
CQOF8012002	MOHAWK INDS INC	12/21/2012 0 00	9/16/2014 0 00	14,056	9,166	4,890			LONG	
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/17/2014 0 00	4,939	4,552	388			LONG	
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/17/2014 0 00	10,532	9,672	860			LONG	

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS		LONG/SHORT
				PROCEEDS	COST BASIS			DISALLOWED	DISALLOWED	
CQOF8012002	F M C CORP COM NEW	9/26/2013 0 00	9/17/2014 0 00	1,844	2,142	(298)				SHORT
CQOF8012002	F M C CORP COM NEW	9/26/2013 0 00	9/17/2014 0 00	1,235	1,428	(193)				SHORT
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/18/2014 0 00	3,050	2,845	206				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/18/2014 0 00	6,696	6,226	469				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/18/2014 0 00	4,868	4,552	317				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/19/2014 0 00	606	569	38				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/19/2014 0 00	4,852	4,528	324				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/19/2014 0 00	3,636	3,414	223				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/22/2014 0 00	1,816	1,698	118				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/22/2014 0 00	3,633	3,396	237				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/23/2014 0 00	4,215	3,962	253				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/24/2014 0 00	1,199	1,132	67				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/25/2014 0 00	1,161	1,132	29				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/25/2014 0 00	1,768	1,698	70				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/25/2014 0 00	4,697	4,528	169				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/26/2014 0 00	582	566	16				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/29/2014 0 00	1,731	1,698	33				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/29/2014 0 00	578	566	12				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/29/2014 0 00	576	566	10				LONG
CQOF8012002	F M C CORP COM NEW	12/12/2012 0 00	9/30/2014 0 00	5,157	5,094	63				LONG
CQOF8012002	SK TELECOM LTD SPON ADR	6/25/2014 0 00	9/30/2014 0 00	6,367	5,551	815				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/25/2014 0 00	9/30/2014 0 00	4,881	4,230	651				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/25/2014 0 00	9/30/2014 0 00	608	529	79				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	9/10/2013 0 00	9/30/2014 0 00	449	388	61				LONG
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	1/17/2014 0 00	9/30/2014 0 00	2,246	1,942	304				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	1/17/2014 0 00	9/30/2014 0 00	11,135	9,710	1,425				SHORT
CQOF8012002	SENSATA TECHNOLOGIES HOLDING	1/17/2014 0 00	9/30/2014 0 00	2,244	1,942	303				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/25/2014 0 00	10/1/2014 0 00	9,201	7,931	1,270				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/24/2014 0 00	10/1/2014 0 00	5,521	4,698	822				SHORT
CQOF8012002	FEI CO	2/3/2014 0 00	10/10/2014 0 00	3,700	4,677	(977)				SHORT
CQOF8012002	FEI CO	2/3/2014 0 00	10/10/2014 0 00	5,901	7,483	(1,582)				SHORT
CQOF8012002	FEI CO	2/3/2014 0 00	10/10/2014 0 00	8,852	11,232	(2,380)				SHORT
CQOF8012002	AMETEK INC NEW	9/26/2013 0 00	10/13/2014 0 00	1,412	1,382	31				LONG
CQOF8012002	AMETEK INC NEW	9/26/2013 0 00	10/13/2014 0 00	471	461	10				LONG
CQOF8012002	AMETEK INC NEW	9/26/2013 0 00	10/13/2014 0 00	2,810	2,764	46				LONG
CQOF8012002	AMETEK INC NEW	9/23/2013 0 00	10/14/2014 0 00	3,258	3,222	36				LONG
CQOF8012002	AMETEK INC NEW	9/23/2013 0 00	10/14/2014 0 00	13,913	13,816	96				LONG
CQOF8012002	AMETEK INC NEW	9/26/2013 0 00	10/14/2014 0 00	464	461	3				LONG
CQOF8012002	I T T CORP-W/I	6/25/2014 0 00	10/14/2014 0 00	2,322	2,868	(545)				SHORT
CQOF8012002	I T T CORP-W/I	6/25/2014 0 00	10/14/2014 0 00	7,003	8,603	(1,599)				SHORT
CQOF8012002	I T T CORP-W/I	6/27/2014 0 00	10/14/2014 0 00	3,096	3,825	(729)				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/24/2014 0 00	10/14/2014 0 00	8,888	8,352	536				SHORT
CQOF8012002	QUEST DIAGNOSTICS INC	7/22/2014 0 00	10/15/2014 0 00	3,418	3,693	(275)				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/23/2014 0 00	10/15/2014 0 00	833	768	64				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/24/2014 0 00	10/15/2014 0 00	833	783	50				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/23/2014 0 00	10/15/2014 0 00	10,546	9,756	790				SHORT
CQOF8012002	SK TELECOM LTD SPON ADR	6/23/2014 0 00	10/16/2014 0 00	3,097	2,817	280				SHORT
CQOF8012002	MOHAWK INDS INC	12/21/2012 0 00	10/17/2014 0 00	9,604	6,868	2,736				LONG
CQOF8012002	AMETEK INC NEW	9/23/2013 0 00	10/21/2014 0 00	2,017	1,841	176				LONG
CQOF8012002	AMETEK INC NEW	9/23/2013 0 00	10/21/2014 0 00	505	460	44				LONG
CQOF8012002	COPART INC	12/26/2013 0 00	10/21/2014 0 00	6,408	7,465	(1,057)				SHORT
CQOF8012002	COPART INC	12/26/2013 0 00	10/21/2014 0 00	5,767	6,713	(946)				SHORT
CQOF8012002	COPART INC	3/6/2014 0 00	10/21/2014 0 00	2,884	3,382	(498)				SHORT
CQOF8012002	COPART INC	12/24/2013 0 00	10/21/2014 0 00	320	372	(51)				SHORT
CQOF8012002	I T T CORP-W/I	6/25/2014 0 00	10/21/2014 0 00	4,252	4,779	(528)				SHORT
CQOF8012002	I T T CORP-W/I	6/25/2014 0 00	10/21/2014 0 00	425	478	(52)				SHORT
CQOF8012002	I T T CORP-W/I	6/26/2014 0 00	10/21/2014 0 00	4,252	4,768	(516)				SHORT
CQOF8012002	I T T CORP-W/I	6/25/2014 0 00	10/21/2014 0 00	1,275	1,432	(156)				SHORT
CQOF8012002	LINCOLN ELEC HLDGS INC	10/18/2013 0 00	10/21/2014 0 00	7,476	7,635	(159)				LONG
CQOF8012002	MOHAWK INDS INC	12/21/2012 0 00	10/21/2014 0 00	2,686	1,831	855				LONG
CQOF8012002	AMETEK INC NEW	9/23/2013 0 00	10/22/2014 0 00	3,010	2,762	248				LONG
CQOF8012002	AMETEK INC NEW	9/23/2013 0 00	10/22/2014 0 00	2,011	1,841	170				LONG
CQOF8012002	MEAD JOHNSON NUTRITION CO-A	3/4/2014 0 00	10/23/2014 0 00	19,878	16,756	3,122				SHORT
CQOF8012002	COPART INC	12/24/2013 0 00	10/27/2014 0 00	324	372	(48)				SHORT
CQOF8012002	COPART INC	12/27/2013 0 00	10/27/2014 0 00	8,106	9,282	(1,176)				SHORT
CQOF8012002	COPART INC	12/27/2013 0 00	10/28/2014 0 00	4,881	5,569	(688)				SHORT
CQOF8012002	NORFOLK SOUTHERN CORP	6/10/2013 0 00	10/29/2014 0 00	8,853	6,195	2,659				LONG
CQOF8012002	NORFOLK SOUTHERN CORP	9/16/2013 0 00	10/29/2014 0 00	1,660	1,152	508				LONG
CQOF8012002	F5 NETWORKS INC	7/22/2014 0 00	10/30/2014 0 00	7,357	6,707	650				SHORT
CQOF8012002	F5 NETWORKS INC	5/29/2014 0 00	10/30/2014 0 00	6,131	5,489	642				SHORT
CQOF8012002	F5 NETWORKS INC	7/24/2014 0 00	10/30/2014 0 00	7,357	6,897	460				SHORT
CQOF8013002	ENERGIZER HLDGS INC	7/15/2008 0 00	11/7/2013 0 00	25,226	17,146	8,080	-		8,080	LONG
CQOF8013002	ENERGIZER HLDGS INC	7/2/2008 0 00	11/7/2013 0 00	601	431	170	-		170	LONG
CQOF8013002	ENERGIZER HLDGS INC	7/8/2008 0 00	11/7/2013 0 00	14,315	9,928	4,386	-		4,386	LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED		LONG/SHORT
				PROCEEDS	COST BASIS					
CQOF8013002	NU SKIN ENTERPRISES, INC CL A	4/26/2011 0:00	11/7/2013 0:00	48,810	13,214	35,596	-	35,596	LONG	
CQOF8013002	NU SKIN ENTERPRISES, INC CL A	4/25/2011 0:00	11/7/2013 0:00	18,825	4,975	13,850	-	13,850	LONG	
CQOF8013002	NU SKIN ENTERPRISES, INC CL A	4/25/2011 0:00	11/8/2013 0:00	88,635	23,475	65,160	-	65,160	LONG	
CQOF8013002	ALLEGHANY CORP DEL	7/15/2008 0:00	11/11/2013 0:00	8,090	5,809	2,281	-	2,281	LONG	
CQOF8013002	ALLEGHANY CORP DEL	7/8/2008 0:00	11/11/2013 0:00	12,952	9,919	3,032	-	3,032	LONG	
CQOF8013002	ALLEGHANY CORP DEL	7/2/2008 0:00	11/11/2013 0:00	2,579	2,039	540	-	540	LONG	
CQOF8013002	HATTERAS FINL CORP	8/1/2008 0:00	11/11/2013 0:00	1,454	2,018	(563)	-	(563)	LONG	
CQOF8013002	HATTERAS FINL CORP	8/6/2008 0:00	11/11/2013 0:00	9,495	13,167	(3,672)	-	(3,672)	LONG	
CQOF8013002	HATTERAS FINL CORP	7/31/2008 0:00	11/11/2013 0:00	4,764	6,669	(1,905)	-	(1,905)	LONG	
CQOF8013002	ALLEGHANY CORP DEL	7/15/2008 0:00	11/12/2013 0:00	3,519	2,544	975	-	975	LONG	
CQOF8013002	HATTERAS FINL CORP	8/6/2008 0:00	11/12/2013 0:00	15,060	21,026	(5,965)	-	(5,965)	LONG	
CQOF8013002	HATTERAS FINL CORP	8/4/2008 0:00	11/12/2013 0:00	4,699	6,497	(1,798)	-	(1,798)	LONG	
CQOF8013002	ALLEGHANY CORP DEL	7/15/2008 0:00	11/19/2013 0:00	12,498	9,045	3,452	-	3,452	LONG	
CQOF8013002	HATTERAS FINL CORP	8/4/2008 0:00	11/19/2013 0:00	6,204	8,426	(2,222)	-	(2,222)	LONG	
CQOF8013002	ADVENT SOFTWARE INC COM	9/7/2010 0:00	2/19/2014 0:00	5,303	3,186	2,117			LONG	
CQOF8013002	ALBEMARLE CORP COM	8/6/2013 0:00	2/19/2014 0:00	25,079	24,390	689			SHORT	
CQOF8013002	ALBEMARLE CORP COM	8/5/2013 0:00	2/19/2014 0:00	2,787	2,709	77			SHORT	
CQOF8013002	ALEXANDER & BALDWIN INC	7/15/2008 0:00	2/19/2014 0:00	1,479	824	654			LONG	
CQOF8013002	ALEXANDER & BALDWIN INC	7/2/2008 0:00	2/19/2014 0:00	3,580	2,066	1,514			LONG	
CQOF8013002	ALLIANT TECHSYSTEMS INC	7/1/2013 0:00	2/19/2014 0:00	28,851	18,392	10,459			SHORT	
CQOF8013002	AMERICAN EAGLE OUTFITTERS INC	8/18/2011 0:00	2/19/2014 0:00	2,821	2,256	565			LONG	
CQOF8013002	ATWOOD OCEANICS INC	3/3/2010 0:00	2/19/2014 0:00	9,414	7,108	2,307			LONG	
CQOF8013002	CABELAS INC	6/11/2009 0:00	2/19/2014 0:00	7,066	1,340	5,726			LONG	
CQOF8013002	CABELAS INC	6/30/2009 0:00	2/19/2014 0:00	37,900	7,272	30,628			LONG	
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/13/2012 0:00	2/19/2014 0:00	1,135	701	434			LONG	
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/8/2012 0:00	2/19/2014 0:00	4,891	3,008	1,883			LONG	
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/6/2012 0:00	2/19/2014 0:00	961	586	375			LONG	
CQOF8013002	CONVERSANT INC	5/25/2010 0:00	2/19/2014 0:00	1,919	800	1,119			LONG	
CQOF8013002	CONVERSANT INC	5/20/2010 0:00	2/19/2014 0:00	6,413	2,758	3,655			LONG	
CQOF8013002	CORRECTIONS CORP AMER NEW	4/17/2013 0:00	2/19/2014 0:00	11,073	13,226	(2,153)			SHORT	
CQOF8013002	DECKERS OUTDOOR CORP	8/23/2013 0:00	2/19/2014 0:00	13,094	8,939	4,154			SHORT	
CQOF8013002	EATON VANCE CORP COM NON VTG	9/7/2011 0:00	2/19/2014 0:00	13,702	8,557	5,145			LONG	
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0:00	2/19/2014 0:00	14,375	8,833	5,543			LONG	
CQOF8013002	FIRST INDL RLTY TR INC	8/9/2013 0:00	2/19/2014 0:00	3,496	3,142	353			SHORT	
CQOF8013002	FIRST INDL RLTY TR INC	8/6/2013 0:00	2/19/2014 0:00	10,119	9,115	1,004			SHORT	
CQOF8013002	FIRST INDL RLTY TR INC	8/7/2013 0:00	2/19/2014 0:00	6,623	5,975	649			SHORT	
CQOF8013002	MBIA INC	4/6/2010 0:00	2/19/2014 0:00	5,958	3,376	2,582			LONG	
CQOF8013002	MBIA INC	1/18/2013 0:00	2/19/2014 0:00	2,535	1,634	901			LONG	
CQOF8013002	MRC GLOBAL INC	11/8/2013 0:00	2/19/2014 0:00	16,594	17,875	(1,281)			SHORT	
CQOF8013002	MARTIN MARIETTA MATLS INC	8/2/2010 0:00	2/19/2014 0:00	4,798	3,521	1,277			LONG	
CQOF8013002	MATSON INC	7/2/2008 0:00	2/19/2014 0:00	5,735	5,107	629			LONG	
CQOF8013002	NEWMARKET CORP	10/29/2008 0:00	2/19/2014 0:00	16,301	1,746	14,554			LONG	
CQOF8013002	NEWMARKET CORP	10/15/2008 0:00	2/19/2014 0:00	12,678	1,417	11,261			LONG	
CQOF8013002	OLD DOMINION FGHT LINES INC	4/1/2010 0:00	2/19/2014 0:00	39,868	11,099	28,768			LONG	
CQOF8013002	OLIN CORP COM PAR \$1	11/19/2013 0:00	2/19/2014 0:00	19,574	18,568	1,006			SHORT	
CQOF8013002	PRICESMART INC	7/2/2008 0:00	2/19/2014 0:00	11,261	2,107	9,154			LONG	
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	8/17/2012 0:00	2/19/2014 0:00	1,142	995	147			LONG	
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	7/23/2012 0:00	2/19/2014 0:00	2,216	2,011	205			LONG	
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	8/16/2012 0:00	2/19/2014 0:00	492	427	65			LONG	
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	7/24/2012 0:00	2/19/2014 0:00	1,746	1,596	150			LONG	
CQOF8013002	SERVICE CORP INTERNATIONAL	7/15/2008 0:00	2/19/2014 0:00	1,957	995	962			LONG	
CQOF8013002	SERVICE CORP INTERNATIONAL	7/2/2008 0:00	2/19/2014 0:00	9,931	5,231	4,700			LONG	
CQOF8013002	STURM RUGER & CO COMMON	8/13/2013 0:00	2/19/2014 0:00	3,564	2,779	785			SHORT	
CQOF8013002	STURM RUGER & CO COMMON	8/9/2013 0:00	2/19/2014 0:00	2,851	2,249	602			SHORT	
CQOF8013002	STURM RUGER & CO COMMON	8/12/2013 0:00	2/19/2014 0:00	11,406	9,026	2,380			SHORT	
CQOF8013002	TEJON RANCH CO	7/15/2008 0:00	2/19/2014 0:00	5,131	4,470	661			LONG	
CQOF8013002	TEJON RANCH CO	7/8/2008 0:00	2/19/2014 0:00	7,033	6,653	379			LONG	
CQOF8013002	TEJON RANCH CO	7/2/2008 0:00	2/19/2014 0:00	10,800	10,702	98			LONG	
CQOF8013002	TEMPUR-PEDIC INTL INC	8/13/2013 0:00	2/19/2014 0:00	7,424	5,964	1,460			SHORT	
CQOF8013002	TEMPUR-PEDIC INTL INC	8/6/2013 0:00	2/19/2014 0:00	6,929	5,566	1,363			SHORT	
CQOF8013002	TEMPUR-PEDIC INTL INC	8/12/2013 0:00	2/19/2014 0:00	3,959	3,202	758			SHORT	
CQOF8013002	TENET HEALTHCARE CORP	7/8/2009 0:00	2/19/2014 0:00	3,657	986	2,671			LONG	
CQOF8013002	TENET HEALTHCARE CORP	7/2/2009 0:00	2/19/2014 0:00	7,161	1,764	5,396			LONG	
CQOF8013002	TREDEGAR CORPORATION INC	7/2/2008 0:00	2/19/2014 0:00	28,144	17,797	10,347			LONG	
CQOF8013002	TREDEGAR CORPORATION INC	1/18/2013 0:00	2/19/2014 0:00	2,336	2,229	107			LONG	
CQOF8013002	TREDEGAR CORPORATION INC	7/15/2008 0:00	2/19/2014 0:00	6,890	4,169	2,721			LONG	
CQOF8013002	WORLD FUEL SVCS CORP	4/10/2012 0:00	2/19/2014 0:00	8,029	7,549	480			LONG	
CQOF8013002	MONTPELIER RE HOLDINGS LTD	7/2/2008 0:00	2/19/2014 0:00	20,061	10,547	9,515			LONG	
CQOF8013002	WHITE MOUNTAINS INS GROUP INC	7/2/2008 0:00	2/19/2014 0:00	5,794	4,335	1,459			LONG	
CQOF8013002	ADVENT SOFTWARE INC COM	9/7/2010 0:00	4/30/2014 0:00	4,083	2,661	1,421			LONG	
CQOF8013002	ADVENT SOFTWARE INC COM	10/6/2008 0:00	4/30/2014 0:00	9,170	2,396	6,774			LONG	
CQOF8013002	ALBEMARLE CORP COM	8/5/2013 0:00	4/30/2014 0:00	23,308	21,862	1,446			SHORT	
CQOF8013002	ALBEMARLE CORP COM	7/15/2008 0:00	4/30/2014 0:00	9,605	5,583	4,022			LONG	
CQOF8013002	ALEXANDER & BALDWIN INC	7/15/2008 0:00	4/30/2014 0:00	13,388	7,789	5,599			LONG	

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON ACCOUNT NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	SALES		GAIN/LOSS AMOUNT	FED WASH SALE DISALLOWED	NET GAIN/LOSS WITH LOSS DISALLOWED	LONG/SHORT
				PROCEEDS	COST BASIS				
CQOF8013002	ALEXANDER & BALDWIN INC	11/11/2010 0 00	4/30/2014 0 00	671	328	343			LONG
CQOF8013002	ALEXANDER & BALDWIN INC	11/15/2010 0 00	4/30/2014 0 00	2,872	1,416	1,455			LONG
CQOF8013002	ALLIANT TECHSYSTEMS INC	6/28/2013 0 00	4/30/2014 0 00	8,628	4,935	3,694			SHORT
CQOF8013002	ALLIANT TECHSYSTEMS INC	7/1/2013 0 00	4/30/2014 0 00	4,314	2,508	1,806			SHORT
CQOF8013002	ALLIANT TECHSYSTEMS INC	6/25/2013 0 00	4/30/2014 0 00	4,602	2,526	2,075			SHORT
CQOF8013002	ALLIANT TECHSYSTEMS INC	6/26/2013 0 00	4/30/2014 0 00	1,438	790	648			SHORT
CQOF8013002	AMERICAN EAGLE OUTFITTERS INC	8/18/2011 0 00	4/30/2014 0 00	6,446	6,305	141			LONG
CQOF8013002	ATWOOD OCEANICS INC	10/18/2010 0 00	4/30/2014 0 00	12,791	8,393	4,399			LONG
CQOF8013002	ATWOOD OCEANICS INC	3/3/2010 0 00	4/30/2014 0 00	12,593	9,027	3,566			LONG
CQOF8013002	CABELAS INC	6/11/2009 0 00	4/30/2014 0 00	37,882	7,068	30,814			LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/6/2012 0 00	4/30/2014 0 00	4,553	2,824	1,729			LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/13/2012 0 00	4/30/2014 0 00	4,467	2,757	1,711			LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/9/2012 0 00	4/30/2014 0 00	4,124	2,542	1,582			LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/19/2012 0 00	4/30/2014 0 00	5,069	3,134	1,935			LONG
CQOF8013002	CONVERSANT INC	5/25/2010 0 00	4/30/2014 0 00	11,428	4,924	6,504			LONG
CQOF8013002	CORRECTIONS CORP AMER NEW	7/8/2008 0 00	4/30/2014 0 00	8,094	6,496	1,598			LONG
CQOF8013002	CORRECTIONS CORP AMER NEW	3/3/2010 0 00	4/30/2014 0 00	5,407	3,410	1,997			LONG
CQOF8013002	CORRECTIONS CORP AMER NEW	4/17/2013 0 00	4/30/2014 0 00	11,338	13,459	(2,122)			LONG
CQOF8013002	CORRECTIONS CORP AMER NEW	1/18/2013 0 00	4/30/2014 0 00	1,311	1,520	(209)			LONG
CQOF8013002	DECKERS OUTDOOR CORP	8/22/2013 0 00	4/30/2014 0 00	9,709	7,316	2,393			SHORT
CQOF8013002	DECKERS OUTDOOR CORP	8/23/2013 0 00	4/30/2014 0 00	17,129	12,932	4,197			SHORT
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	4/30/2014 0 00	29,308	18,723	10,585			LONG
CQOF8013002	FIRST INDL RLTY TR INC	8/12/2013 0 00	4/30/2014 0 00	2,743	2,466	277			SHORT
CQOF8013002	FIRST INDL RLTY TR INC	8/8/2013 0 00	4/30/2014 0 00	3,548	3,187	361			SHORT
CQOF8013002	FIRST INDL RLTY TR INC	8/5/2013 0 00	4/30/2014 0 00	7,865	7,065	800			SHORT
CQOF8013002	FIRST INDL RLTY TR INC	8/9/2013 0 00	4/30/2014 0 00	8,779	7,939	840			SHORT
CQOF8013002	KAMAN CORPORATION CLASS A COM	8/8/2011 0 00	4/30/2014 0 00	3,892	2,983	909			LONG
CQOF8013002	MBIA INC	4/6/2010 0 00	4/30/2014 0 00	18,078	10,802	7,276			LONG
CQOF8013002	MRC GLOBAL INC	11/8/2013 0 00	4/30/2014 0 00	21,747	23,363	(1,616)			SHORT
CQOF8013002	MARTIN MARIETTA MATLS INC	7/30/2010 0 00	4/30/2014 0 00	6,230	4,235	1,994			LONG
CQOF8013002	MARTIN MARIETTA MATLS INC	8/2/2010 0 00	4/30/2014 0 00	6,479	4,577	1,902			LONG
CQOF8013002	MATSON INC	7/15/2008 0 00	4/30/2014 0 00	8,995	8,131	865			LONG
CQOF8013002	MATSON INC	7/2/2008 0 00	4/30/2014 0 00	2,255	2,109	146			LONG
CQOF8013002	MICREL INC COM	8/18/2011 0 00	4/30/2014 0 00	2,627	2,426	201			LONG
CQOF8013002	MICREL INC COM	8/17/2011 0 00	4/30/2014 0 00	4,865	4,647	219			LONG
CQOF8013002	NEWMARKET CORP	10/29/2008 0 00	4/30/2014 0 00	45,208	4,696	40,512			LONG
CQOF8013002	OLD DOMINION FGHT LINES INC	4/1/2010 0 00	4/30/2014 0 00	48,387	11,854	36,533			LONG
CQOF8013002	OLIN CORP COM PAR \$1	11/18/2013 0 00	4/30/2014 0 00	23,845	20,947	2,898			SHORT
CQOF8013002	OLIN CORP COM PAR \$1	11/20/2013 0 00	4/30/2014 0 00	1,739	1,527	212			SHORT
CQOF8013002	OLIN CORP COM PAR \$1	11/19/2013 0 00	4/30/2014 0 00	842	743	99			SHORT
CQOF8013002	PRICESMART INC	7/2/2008 0 00	4/30/2014 0 00	31,059	5,741	25,318			LONG
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	8/13/2012 0 00	4/30/2014 0 00	3,095	2,374	721			LONG
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	8/10/2012 0 00	4/30/2014 0 00	1,947	1,492	455			LONG
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	8/16/2012 0 00	4/30/2014 0 00	799	621	178			LONG
CQOF8013002	RITCHIE BROS AUCTIONEERS INC	8/14/2012 0 00	4/30/2014 0 00	2,671	2,054	617			LONG
CQOF8013002	SERVICE CORP INTERNATIONAL	7/15/2008 0 00	4/30/2014 0 00	31,552	15,633	15,919			LONG
CQOF8013002	STURM RUGER & CO COMMON	8/6/2013 0 00	4/30/2014 0 00	4,188	3,577	611			SHORT
CQOF8013002	STURM RUGER & CO COMMON	8/13/2013 0 00	4/30/2014 0 00	14,754	12,729	2,025			SHORT
CQOF8013002	TEJON RANCH CO	11/17/2008 0 00	4/30/2014 0 00	3,235	2,595	641			LONG
CQOF8013002	TEJON RANCH CO	7/15/2008 0 00	4/30/2014 0 00	7,000	7,034	(34)			LONG
CQOF8013002	TEMPUR-PEDIC INTL INC	8/6/2013 0 00	4/30/2014 0 00	15,553	12,444	3,108			SHORT
CQOF8013002	TEMPUR-PEDIC INTL INC	8/8/2013 0 00	4/30/2014 0 00	8,596	6,858	1,738			SHORT
CQOF8013002	TENET HEALTHCARE CORP	7/2/2009 0 00	4/30/2014 0 00	28,798	7,381	21,416			LONG
CQOF8013002	TREDEGAR CORPORATION INC	7/15/2008 0 00	4/30/2014 0 00	3,603	2,445	1,158			LONG
CQOF8013002	WORLD FUEL SVCS CORP	4/4/2012 0 00	4/30/2014 0 00	1,401	1,296	105			LONG
CQOF8013002	WORLD FUEL SVCS CORP	4/10/2012 0 00	4/30/2014 0 00	8,268	7,675	593			LONG
CQOF8013002	MONTPELIER RE HOLDINGS LTD	7/2/2008 0 00	4/30/2014 0 00	14,800	7,337	7,462			LONG
CQOF8013002	WHITE MOUNTAINS INS GROUP INC	7/2/2008 0 00	4/30/2014 0 00	10,094	7,369	2,725			LONG
CQOF8013002	WHITE MOUNTAINS INS GROUP INC	7/8/2008 0 00	4/30/2014 0 00	4,750	3,440	1,310			LONG
CQOF8013002	MARTIN MARIETTA MATLS INC	7/30/2010 0 00	6/3/2014 0 00	13,973	9,741	4,232			LONG
CQOF8013002	MARTIN MARIETTA MATLS INC	7/30/2010 0 00	6/4/2014 0 00	9,168	6,353	2,815			LONG
CQOF8013002	NEWMARKET CORP	10/29/2008 0 00	6/5/2014 0 00	2,723	272	2,452			LONG
CQOF8013002	OLD DOMINION FGHT LINES INC	4/1/2010 0 00	6/5/2014 0 00	2,537	592	1,945			LONG
CQOF8013002	ALBEMARLE CORP COM	7/15/2008 0 00	6/11/2014 0 00	4,505	2,460	2,045			LONG
CQOF8013002	DECKERS OUTDOOR CORP	8/21/2013 0 00	6/11/2014 0 00	7,903	5,931	1,972			SHORT
CQOF8013002	DECKERS OUTDOOR CORP	8/6/2013 0 00	6/11/2014 0 00	948	701	247			SHORT
CQOF8013002	DECKERS OUTDOOR CORP	8/22/2013 0 00	6/11/2014 0 00	1,343	1,011	332			SHORT
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	6/11/2014 0 00	7,435	4,646	2,789			LONG
CQOF8013002	ALBEMARLE CORP COM	7/15/2008 0 00	6/12/2014 0 00	1,858	1,015	843			LONG
CQOF8013002	DECKERS OUTDOOR CORP	8/6/2013 0 00	6/12/2014 0 00	6,937	5,202	1,734			SHORT
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	6/12/2014 0 00	5,069	3,197	1,872			LONG
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	6/12/2014 0 00	2,372	1,495	877			LONG
CQOF8013002	MARTIN MARIETTA MATLS INC	7/30/2010 0 00	6/12/2014 0 00	6,210	4,066	2,144			LONG
CQOF8013002	ALBEMARLE CORP COM	7/15/2008 0 00	6/13/2014 0 00	5,959	3,280	2,679			LONG

THE KELVIN AND ELEANOR SMITH FOUNDATION
 EIN 34-6555349
 FYE 10/31/2014

2013 SCHEDULE D DETAIL

BNY MELLON										NET	
ACCOUNT				SALES		GAIN/LOSS	FED WASH	NET		GAIN/LOSS	
NUMBER	DESCRIPTION	ACQUISITION DATE	TRADE DATE	PROCEEDS	COST BASIS	AMOUNT	SALE	DISALLOWED	DISALLOWED	WITH LOSS	LONG/SHORT
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	6/13/2014 0 00	8,786	5,520	3,266					LONG
CQOF8013002	MARTIN MARIETTA MATLS INC	7/30/2010 0 00	6/13/2014 0 00	29,836	19,568	10,269					LONG
CQOF8013002	ALBEMARLE CORP COM	7/15/2008 0 00	6/16/2014 0 00	3,456	1,913	1,543					LONG
CQOF8013002	ALBEMARLE CORP COM	7/8/2008 0 00	6/16/2014 0 00	3,032	1,630	1,402					LONG
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	6/16/2014 0 00	9,038	5,750	3,287					LONG
CQOF8013002	ALBEMARLE CORP COM	7/8/2008 0 00	6/17/2014 0 00	921	493	428					LONG
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	6/17/2014 0 00	19,747	12,421	7,326					LONG
CQOF8013002	EATON VANCE CORP COM NON VTG	8/10/2011 0 00	6/18/2014 0 00	12,678	7,866	4,811					LONG
CQOF8013002	EATON VANCE CORP COM NON VTG	9/6/2011 0 00	6/18/2014 0 00	3,410	2,021	1,389					LONG
CQOF8013002	MICREL INC COM	8/18/2011 0 00	8/27/2014 0 00	9,541	7,185	2,356					LONG
CQOF8013002	MICREL INC COM	8/19/2011 0 00	8/27/2014 0 00	14,129	10,564	3,565					LONG
CQOF8013002	MICREL INC COM	8/22/2011 0 00	8/27/2014 0 00	14,117	10,598	3,519					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/9/2012 0 00	10/3/2014 0 00	2,962	2,118	844					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/9/2012 0 00	10/8/2014 0 00	5,035	3,706	1,329					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/9/2012 0 00	10/10/2014 0 00	2,368	1,747	620					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/20/2012 0 00	10/10/2014 0 00	4,592	3,385	1,207					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/14/2012 0 00	10/10/2014 0 00	4,664	3,433	1,231					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/18/2012 0 00	10/10/2014 0 00	2,009	1,478	532					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/12/2012 0 00	10/13/2014 0 00	2,260	1,684	576					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/12/2012 0 00	10/13/2014 0 00	1,075	789	285					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/18/2012 0 00	10/13/2014 0 00	1,625	1,214	411					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/12/2012 0 00	10/14/2014 0 00	1,217	895	322					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/10/2012 0 00	10/14/2014 0 00	573	421	152					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/17/2012 0 00	10/15/2014 0 00	1,758	1,311	447					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/10/2012 0 00	10/15/2014 0 00	1,758	1,315	443					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/24/2012 0 00	10/16/2014 0 00	4,067	3,024	1,043					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/3/2012 0 00	10/16/2014 0 00	4,488	3,338	1,150					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/17/2012 0 00	10/16/2014 0 00	1,613	1,206	407					LONG
CQOF8013002	MRC GLOBAL INC	11/8/2013 0 00	10/16/2014 0 00	1,730	2,822	(1,093)					SHORT
CQOF8013002	MRC GLOBAL INC	11/8/2013 0 00	10/16/2014 0 00	3,957	6,429	(2,472)					SHORT
CQOF8013002	MRC GLOBAL INC	11/11/2013 0 00	10/16/2014 0 00	5,887	9,561	(3,674)					SHORT
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/24/2012 0 00	10/17/2014 0 00	707	521	185					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/23/2012 0 00	10/17/2014 0 00	4,523	3,336	1,188					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/31/2012 0 00	10/17/2014 0 00	71	51	20					LONG
CQOF8013002	MRC GLOBAL INC	11/11/2013 0 00	10/17/2014 0 00	702	1,097	(395)					SHORT
CQOF8013002	MRC GLOBAL INC	11/12/2013 0 00	10/17/2014 0 00	903	1,391	(488)					SHORT
CQOF8013002	COLUMBIA SPORTSWEAR CO	7/31/2012 0 00	10/20/2014 0 00	4,726	3,417	1,309					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/16/2012 0 00	10/20/2014 0 00	2,328	1,677	651					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/2/2012 0 00	10/21/2014 0 00	4,558	3,208	1,351					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/16/2012 0 00	10/21/2014 0 00	3,846	2,744	1,103					LONG
CQOF8013002	COLUMBIA SPORTSWEAR CO	8/1/2012 0 00	10/21/2014 0 00	5,769	4,110	1,659					LONG

Form **8868**

(Rev. January 2014)

Department of the Treasury
Internal Revenue Service**Application for Extension of Time To File an
Exempt Organization Return**▶ File a separate application for each return.
▶ Information about Form 8868 and its instructions is at www.irs.gov/form8868.

OMB No 1545-1709

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box ☒ **X**
- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)

Do not complete Part II unless you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on **e-file for Charities & Nonprofits**

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed)

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete

Part I only ☐

All other corporations (including 1120-C filers), partnerships, REMICs, and trusts must use Form 7004 to request an extension of time to file income tax returns

Enter filer's identifying number, see instructions

**Type or
print**File by the
due date for
filing your
return. See
instructions

Name of exempt organization or other filer, see instructions

Employer identification number (EIN) or

THE KELVIN AND ELEANOR SMITH FOUNDATION

34-6555349

Number, street, and room or suite no. If a P O box, see instructions

Social security number (SSN)

30195 CHAGRIN BLVD.

City, town or post office, state, and ZIP code. For a foreign address, see instructions

CLEVELAND, OH 44124

Enter the Return code for the return that this application is for (file a separate application for each return) 0 4

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec. 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of ▶ PAM EICHENAUER, 30195 CHAGRIN BLVD CLEVELAND OHIO, 44124

Telephone No. ▶ 216 591-9111

FAX No. ▶

- If the organization does not have an office or place of business in the United States, check this box ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box ☐ If it is for part of the group, check this box ☐ and attach a list with the names and EINs of all members the extension is for

- 1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 06/15, 20 15, to file the exempt organization return for the organization named above. The extension is for the organization's return for:
- ▶ ☐ calendar year 20 _____ or
- ▶ ☒ tax year beginning 11/01, 20 13, and ending 10/31, 20 14

- 2 If the tax year entered in line 1 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	182,460.
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	114,460.
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	68,000.

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions

For Privacy Act and Paperwork Reduction Act Notice, see instructions.

Form **8868** (Rev. 1-2014)

JSA

3F8054 2 000

3/5/2015 1:37:52 PM V 13-7.15

SMI-2506

PAGE 1

- If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only Part II and check this box. ☒ **X**
- Note.** Only complete Part II if you have already been granted an automatic 3-month extension on a previously filed Form 8868
- If you are filing for an **Automatic 3-Month Extension**, complete only Part I (on page 1)

Part II Additional (Not Automatic) 3-Month Extension of Time. Only file the original (no copies needed).

Enter filer's identifying number, see instructions

Type or print File by the due date for filing your return. See instructions.	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	THE KELVIN AND ELEANOR SMITH FOUNDATION	34-6555349
	Number, street, and room or suite no. If a P.O. box, see instructions	Social security number (SSN)
	30195 CHAGRIN BLVD.	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	CLEVELAND, OH 44124	

Enter the Return code for the return that this application is for (file a separate application for each return) **0 4**

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01		
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

STOP! Do not complete Part II if you were not already granted an automatic 3-month extension on a previously filed Form 8868.

- The books are in the care of **PAM EICHENAUER, 30195 CHAGRIN BLVD CLEVELAND OHIO, 44124**
Telephone No **216 591-9111** Fax No
- If the organization does not have an office or place of business in the United States, check this box. ☐
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) . If this is for the whole group, check this box. ☐ If it is for part of the group, check this box. ☐ and attach a list with the names and EINs of all members the extension is for

- 4 I request an additional 3-month extension of time until **09/15, 20 15**
- 5 For calendar year , or other tax year beginning **11/01, 20 13**, and ending **10/31, 20 14**
- 6 If the tax year entered in line 5 is for less than 12 months, check reason ☐ Initial return ☐ Final return ☐ Change in accounting period
- 7 State in detail why you need the extension **AN ADDITIONAL EXTENSION OF TIME IS RESPECTFULLY REQUESTED IN ORDER TO GATHER INFORMATION TO FILE A COMPLETE AND ACCURATE TAX RETURN.**

8a If this application is for Forms 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions.	8a \$	182,460.
b If this application is for Forms 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit and any amount paid previously with Form 8868.	8b \$	182,460.
c Balance Due. Subtract line 8b from line 8a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions.	8c \$	0

Signature and Verification must be completed for Part II only.

Under penalties of perjury, I declare that I have examined this form, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete, and that I am authorized to prepare this form.

Signature **Amy House** Title **CPA** Date **6/9/15**

Form 8868 (Rev 1-2014)