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Form

990-PF

Department of the Treasury  
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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OMB No 1545-0052



2013

Open to Public Inspection


For calendar year 2013, or tax year beginning 11-01-2013 , and ending 10-31-2014

Name of foundation THE LAUB FOUNDATION C/O KATHERINE C WOLK % LAURENCE A BARTELL		A Employer identification number  34-6526087	
Number and street (or P O box number if mail is not delivered to street address) 19655 PARKLANE DRIVE Suite		B Telephone number (see instructions)  (216) 621-1180	
City or town, state or province, country, and ZIP or foreign postal code ROCKY RIVER, OH 44116		C If exemption application is pending, check here <input type="checkbox"/>	
G Check all that apply <div><input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change</div> <div><input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change</div>		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>	
H Check type of organization <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>	
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) <input checked="" type="checkbox"/> \$ 4,843,378	J Accounting method <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>	

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions) )</small>		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc , received (attach schedule)	0			
	2 Check <input type="checkbox"/> if the foundation is <b>not</b> required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities. . . . .	82,547	82,547	82,547	
	5a Gross rents . . . . .				
	b Net rental income or (loss) _____				
	6a Net gain or (loss) from sale of assets not on line 10	241,382			
	b Gross sales price for all assets on line 6a 1,418,937				
	7 Capital gain net income (from Part IV, line 2) . . .		241,382		
	8 Net short-term capital gain . . . . .				
	9 Income modifications . . . . .				
	10a Gross sales less returns and allowances				
Operating and Administrative Expenses	b Less Cost of goods sold . . . . .				
	c Gross profit or (loss) (attach schedule) . . . . .				
	11 Other income (attach schedule) . . . . .				
	12 <b>Total.</b> Add lines 1 through 11 . . . . .	323,929	323,929	82,547	
	13 Compensation of officers, directors, trustees, etc	13,800			
	14 Other employee salaries and wages . . . . .				
	15 Pension plans, employee benefits . . . . .				
	16a Legal fees (attach schedule) . . . . .	11,821	8,866	0	2,955
	b Accounting fees (attach schedule) . . . . .	8,275	6,206	0	2,069
	c Other professional fees (attach schedule) . . . . .	32,835	32,835		
	17 Interest . . . . .				
	18 Taxes (attach schedule) (see instructions)				
	19 Depreciation (attach schedule) and depletion . . .				
	20 Occupancy . . . . .				
	21 Travel, conferences, and meetings . . . . .				
	22 Printing and publications . . . . .				
	23 Other expenses (attach schedule) . . . . .	218			218
	24 <b>Total operating and administrative expenses.</b>				
	Add lines 13 through 23 . . . . .	66,949	47,907	0	5,242
	25 Contributions, gifts, grants paid . . . . .	249,000			249,000
	26 <b>Total expenses and disbursements.</b> Add lines 24 and 25	315,949	47,907	0	254,242
	27 Subtract line 26 from line 12				
	a <b>Excess of revenue over expenses and disbursements</b>	7,980			
	b <b>Net investment income</b> (if negative, enter -0-)		276,022		
	c <b>Adjusted net income</b> (if negative, enter -0-)			82,547	

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions )	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash—non-interest-bearing . . . . .	198,267	49,435	49,435
	2	Savings and temporary cash investments . . . . .			
	3	Accounts receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	4	Pledges receivable ▶ _____ Less allowance for doubtful accounts ▶ _____			
	5	Grants receivable . . . . .			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions) . . . . .			
	7	Other notes and loans receivable (attach schedule) ▶ _____ Less allowance for doubtful accounts ▶ _____			
	8	Inventories for sale or use . . . . .			
	9	Prepaid expenses and deferred charges . . . . .			
	10a	Investments—U S and state government obligations (attach schedule)			
	b	Investments—corporate stock (attach schedule) . . . . .	2,295,102	 2,238,007	3,219,406
	c	Investments—corporate bonds (attach schedule). . . . .	1,356,865	 1,543,161	1,574,537
	11	Investments—land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
	12	Investments—mortgage loans . . . . .			
	13	Investments—other (attach schedule) . . . . .			
	14	Land, buildings, and equipment basis ▶ _____ Less accumulated depreciation (attach schedule) ▶ _____			
15	Other assets (describe ▶ _____)				
16	Total assets (to be completed by all filers—see the instructions Also, see page 1, item I)	3,850,234	3,830,603	4,843,378	
Liabilities	17	Accounts payable and accrued expenses . . . . .			
	18	Grants payable . . . . .			
	19	Deferred revenue . . . . .			
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule) . . . . .			
	22	Other liabilities (describe ▶ _____)			
	23	Total liabilities (add lines 17 through 22) . . . . .		0	
Net Assets or Fund Balances	Foundations that follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24	Unrestricted . . . . .			
	25	Temporarily restricted . . . . .			
	26	Permanently restricted . . . . .			
	Foundations that do not follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 27 through 31.				
	27	Capital stock, trust principal, or current funds . . . . .			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds	3,850,234	3,830,603	
	30	Total net assets or fund balances (see page 17 of the instructions) . . . . .	3,850,234	3,830,603	
31	Total liabilities and net assets/fund balances (see page 17 of the instructions) . . . . .	3,850,234	3,830,603		

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year—Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year’s return) . . . . .	1	3,850,234
2	Enter amount from Part I, line 27a . . . . .	2	7,980
3	Other increases not included in line 2 (itemize) ▶ _____	3	
4	Add lines 1, 2, and 3 . . . . .	4	3,858,214
5	Decreases not included in line 2 (itemize) ▶  _____	5	27,611
6	Total net assets or fund balances at end of year (line 4 minus line 5)—Part II, column (b), line 30 . . . . .	6	3,830,603

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e g , real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co )		(b) How acquired P—Purchase D—Donation	(c) Date acquired (mo , day, yr )	(d) Date sold (mo , day, yr )
1 a	KEYBANK	P	2014-01-01	2014-02-28
b	KEYBANK	P	2010-06-01	2014-08-15
c	PNC CGD	P	2012-01-01	2013-12-31
d	PNC	P	2010-01-01	2013-12-31
e	KEYBANK CGD	P	2010-01-01	2013-12-31

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a 128,490		129,568	-1,078
b 1,047,539		910,070	137,469
c 10,895		0	10,895
d 199,885		137,463	62,422
e 32,128		454	31,674

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any	(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))
a			-1,078
b			137,469
c			10,895
d			62,422
e			31,674

2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	241,382
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6)  If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8 . . . . .		3	

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income )

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see page 18 of the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	241,761	4,461,045	0 054194
2011	228,831	4,403,630	0 051964
2010	224,689	4,508,194	0 04984
2009	223,162	4,297,695	0 051926
2008	217,375	3,801,898	0 057175

2 Total of line 1, column (d). . . . .	2	0 265099
3 Average distribution ratio for the 5-year base period—divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years . . . .	3	0 05302
4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5. . . . .	4	4,455,569
5 Multiply line 4 by line 3. . . . .	5	236,234
6 Enter 1% of net investment income (1% of Part I, line 27b). . . . .	6	2,760
7 Add lines 5 and 6. . . . .	7	238,994
8 Enter qualifying distributions from Part XII, line 4. . . . .	8	254,242

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See  
the Part VI instructions

<b>Part VI    Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948—see page 18 of the instructions)</b>		
<b>1a</b> Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1		
Date of ruling or determination letter _____ (attach copy of letter if necessary—see instructions)		
<b>b</b> Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b . . . . .	<b>1</b>	2,760
<b>c</b> All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b)		
<b>2</b> Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>2</b>	
<b>3</b> Add lines 1 and 2. . . . .	<b>3</b>	2,760
<b>4</b> Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	<b>4</b>	
<b>5</b> <b>Tax based on investment income.</b> Subtract line 4 from line 3. If zero or less, enter -0- . . . . .	<b>5</b>	2,760
<b>6</b> Credits/Payments		
<b>a</b> 2013 estimated tax payments and 2012 overpayment credited to 2013	<b>6a</b>	5,943
<b>b</b> Exempt foreign organizations—tax withheld at source . . . . .	<b>6b</b>	
<b>c</b> Tax paid with application for extension of time to file (Form 8868)	<b>6c</b>	
<b>d</b> Backup withholding erroneously withheld . . . . .	<b>6d</b>	
<b>7</b> Total credits and payments. Add lines 6a through 6d. . . . .	<b>7</b>	5,943
<b>8</b> Enter any <b>penalty</b> for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached.	<b>8</b>	
<b>9</b> <b>Tax due.</b> If the total of lines 5 and 8 is more than line 7, enter <b>amount owed</b> . . . . .	<b>9</b>	
<b>10</b> <b>Overpayment.</b> If line 7 is more than the total of lines 5 and 8, enter the <b>amount overpaid</b> . . . . .	<b>10</b>	3,183
<b>11</b> Enter the amount of line 10 to be <b>Credited to 2014 estimated tax</b> 3,183 <b>Refunded</b>	<b>11</b>	

**Part VII-A    Statements Regarding Activities**

<b>1a</b> During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign? . . . . .	<b>1a</b>	Yes	No
<b>b</b> Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see page 19 of the instructions for definition)? . . . . . <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.</i>	<b>1b</b>		No
<b>c</b> Did the foundation file <b>Form 1120-POL</b> for this year?. . . . .	<b>1c</b>		No
<b>d</b> Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: <b>(1)</b> On the foundation <input type="checkbox"/> \$ _____ <b>(2)</b> On foundation managers <input type="checkbox"/> \$ _____			
<b>e</b> Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers <input type="checkbox"/> \$ _____			
<b>2</b> Has the foundation engaged in any activities that have not previously been reported to the IRS? . . . . . <i>If "Yes," attach a detailed description of the activities.</i>	<b>2</b>		No
<b>3</b> Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i> . . . . .	<b>3</b>		No
<b>4a</b> Did the foundation have unrelated business gross income of \$1,000 or more during the year?. . . . .	<b>4a</b>		No
<b>b</b> If "Yes," has it filed a tax return on <b>Form 990-T</b> for this year?. . . . .	<b>4b</b>		
<b>5</b> Was there a liquidation, termination, dissolution, or substantial contraction during the year? . . . . . <i>If "Yes," attach the statement required by General Instruction T.</i>	<b>5</b>		No
<b>6</b> Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument? . . . . .	<b>6</b>	Yes	
<b>7</b> Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col. (c), and Part XV.</i>	<b>7</b>	Yes	
<b>8a</b> Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> OH _____			
<b>b</b> If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation.</i>	<b>8b</b>	Yes	
<b>9</b> Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i> . . . . .	<b>9</b>		No
<b>10</b> Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses.</i>	<b>10</b>		No

Part VII-A

Statements Regarding Activities *(continued)*

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions).	11		No
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		No
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	Yes	
Website address n/a				
14	The books are in care of LAURENCE A BARTELL Telephone no (216) 621-1180 Located at 1801 EAST 9TH ST 920 CLEVELAND OH ZIP+4 44114			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 —Check here and enter the amount of tax-exempt interest received or accrued during the year.	15		
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16	Yes	No
See instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes", enter the name of the foreign country				

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			Yes	No
1a	During the year did the foundation (either directly or indirectly) (1) Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No (6) Agree to pay money or property to a government official? ( <b>Exception.</b> Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days ). <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If any answer is "Yes" to 1a(1)–(6), did <b>any</b> of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see page 20 of the instructions)?. Organizations relying on a current notice regarding disaster assistance check here. <input checked="" type="checkbox"/>	1b		
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?.	1c		No
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?. If "Yes," list the years 20____, 20____, 20____, 20____ <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	Are there any years listed in 2a for which the foundation is <b>not</b> applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to <b>all</b> years listed, answer "No" and attach statement—see instructions ).	2b		No
c	If the provisions of section 4942(a)(2) are being applied to <b>any</b> of the years listed in 2a, list the years here 20____, 20____, 20____, 20____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?. <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? ( <i>Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.</i> )	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		No
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		No

Part VII-B

Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a

During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc , organization described in section 509(a)(1 ), (2), or (3), or section 4940(d)(2)? (see instructions).

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No

b

If any answer is "Yes" to 5a(1)–(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

5b

Organizations relying on a current notice regarding disaster assistance check here.

☒

c

If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945–5(d).

6a

Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

☐ Yes ☒ No

b

Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

No

If "Yes" to 6b, file Form 8870.

7a

At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

☐ Yes ☒ No

b

If yes, did the foundation receive any proceeds or have any net income attributable to the transaction?

7b

No

Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1

List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Additional Data Table				

2

Compensation of five highest-paid employees (other than those included on line 1—see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances

Total number of other employees paid over \$50,000.

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Part VIII

Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE".

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
Total number of others receiving over \$50,000 for professional services. . . . .		

Part IX-A

Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 NONE	
2	
3	
4	

Part IX-B

Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount
1	
2	
All other program-related investments. See page 24 of the instructions	
3	
Total. Add lines 1 through 3 . . . . .	

Part X

Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc , purposes		
a	Average monthly fair market value of securities. . . . .	1a	4,298,607
b	Average of monthly cash balances. . . . .	1b	224,813
c	Fair market value of all other assets (see instructions). . . . .	1c	0
d	Total (add lines 1a, b, and c). . . . .	1d	4,523,420
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation). . . . .	1e	
2	Acquisition indebtedness applicable to line 1 assets. . . . .	2	0
3	Subtract line 2 from line 1d. . . . .	3	4,523,420
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions). . . . .	4	67,851
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	4,455,569
6	Minimum investment return. Enter 5% of line 5. . . . .	6	222,778

Part XI

Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6. . . . .	1	222,778
2a	Tax on investment income for 2013 from Part VI, line 5. . . . .	2a	2,760
b	Income tax for 2013 (This does not include the tax from Part VI ). . . . .	2b	
c	Add lines 2a and 2b. . . . .	2c	2,760
3	Distributable amount before adjustments Subtract line 2c from line 1. . . . .	3	220,018
4	Recoveries of amounts treated as qualifying distributions. . . . .	4	
5	Add lines 3 and 4. . . . .	5	220,018
6	Deduction from distributable amount (see instructions). . . . .	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1. . . . .	7	220,018

Part XII

Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc , purposes		
a	Expenses, contributions, gifts, etc —total from Part I, column (d), line 26. . . . .	1a	254,242
b	Program-related investments—total from Part IX-B. . . . .	1b	0
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc , purposes. . . . .	2	0
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required). . . . .	3a	0
b	Cash distribution test (attach the required schedule). . . . .	3b	0
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	254,242
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions). . . . .	5	2,760
6	Adjusted qualifying distributions. Subtract line 5 from line 4. . . . .	6	251,482
Note: The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years			

Part XIII

Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				220,018
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only. . . . .			0	
b Total for prior years 2011 , 2010 , 2009		0		
3 Excess distributions carryover, if any, to 2013				
a From 2008. . . . .				29,080
b From 2009. . . . .				9,308
c From 2010. . . . .				4,270
d From 2011. . . . .				
e From 2012. . . . .				22,823
f Total of lines 3a through e. . . . .	65,481			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ 254,242				
a Applied to 2012, but not more than line 2a			0	
b Applied to undistributed income of prior years (Election required—see instructions). . . . .				
c Treated as distributions out of corpus (Election required—see instructions). . . . .				
d Applied to 2013 distributable amount. . . . .				220,018
e Remaining amount distributed out of corpus	34,224			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	99,705			
b Prior years' undistributed income Subtract line 4b from line 2b. . . . .		0		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed. . . . .				
d Subtract line 6c from line 6b Taxable amount—see instructions . . . . .		0		
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount—see instructions . . . . .			0	
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014 . . . . .				0
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions). . . . .				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions). . .	29,080			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a . . . . .	70,625			
10 Analysis of line 9				
a Excess from 2009. . . .				9,308
b Excess from 2010. . . .				4,270
c Excess from 2011. . . .				
d Excess from 2012. . . .				22,823
e Excess from 2013. . . .				34,224

Part XIV

Private Operating Foundations (see instructions and Part VII-A, question 9)

1a

If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling. . . . .

b

Check box to indicate whether the organization is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

2a	Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed . . . . .	Tax year	Prior 3 years			(e) Total
		(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b	85% of line 2a . . . . .					
c	Qualifying distributions from Part XII, line 4 for each year listed . . . . .					
d	Amounts included in line 2c not used directly for active conduct of exempt activities . . . . .					
e	Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c . . . . .					
3	Complete 3a, b, or c for the alternative test relied upon					
a	"Assets" alternative test—enter					
	(1) Value of all assets . . . . .					
	(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b	"Endowment" alternative test— enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed. . . .					
c	"Support" alternative test—enter					
	(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties) . . . . .					
	(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii). . . . .					
	(3) Largest amount of support from an exempt organization					
	(4) Gross investment income					

Part XV

Supplementary Information (Complete this part only if the organization had \$5,000 or more in assets at any time during the year—see instructions.)

1

Information Regarding Foundation Managers:

a

List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2) )

NOT APPLICABLE

b

List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

NOT APPLICABLE

2

Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here ☐ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a

The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

KATHERINE WOLK  
19655 PARKLANE DRIVE  
ROCKY RIVER, OH 44116  
LARRY@SBWFLTD.COM

b

The form in which applications should be submitted and information and materials they should include

APPLICATIONS FOR GRANTS SHOULD BE MADE TO THE LAUB FOUNDATION OR ITS TRUSTEES. THE APPLICATIONS SHOULD CONTAIN THE FOLLOWING: 1. THE AMOUNT OF THE GRANT. 2. THE NEED FOR THE GRANT. 3. AREA SERVED BY THE APPLICANT. 4. HISTORY OF THE ORGANIZATION. 5. FINANCIAL STATEMENT. 6. LISTING OF APPLICANT'S OFFICERS AND TRUSTEES.

c

Any submission deadlines

MEETINGS OF THE TRUSTEES ARE HELD QUARTERLY IN FEBRUARY, MAY, AUGUST AND NOVEMBER, AT WHICH TIMES AP

d

Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

THE LAUB FOUNDATION DOES NOT MAKE GRANTS TO INDIVIDUALS

Part XV

Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
a Paid during the year See Additional Data Table				
Total . . . . .			3a	249,000
b Approved for future payment				
Total . . . . .			3b	

Enter gross amounts unless otherwise indicated

## Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes


Form **990-PF** (2013)




Form 990PF Part VIII Line 1 - List all officers, directors, trustees, foundation managers and their compensation

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
LISA ROBERTS MAMONE	SECRETARY 0	0		
901 LAKESIDE AVENUE CLEVELAND, OH 44113				
THOMAS C WESTROPP	TRUSTEE 0	0		
C/O WOMENS FEDERAL BEACHWOOD, OH 44122				
KATHERINE C WOLK	TRUSTEE 0	9,000		
19655 PARKLANE DRIVE ROCKY RIVER, OH 44116				
LAURENCE A BARTELL	TRUSTEE 0	0		
1801 EAST NINTH ST SUITE 920 CLEVELAND, OH 44114				
CHARLES BERRY	TRUSTEE 0	1,600		
19655 PARKLANE DRIVE ROCKY RIVER, OH 44116				
TRISTY BERRY	TRUSTEE 0	1,600		
19655 PARKLANE DRIVE ROCKY RIVER, OH 44116				
JORDAN WESTROPP	TRUSTEE 0	1,600		
19655 PARKLANE DRIVE ROCKY RIVER, OH 44116				


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
HAWKEN SCHOOL PO BOX 8002 COUNTY LINE ROAD GATES MILLS, OH 44040	NONE	PC	EDUCATIONAL GRANT	4,500
LAUREL SCHOOL 1 LYMAN CIRCLE SHAKER HTS, OH 44122	NONE	PC	EDUCATIONAL GRANT	4,500
UNIVERSITY SCHOOL 1785 SOM CENTER ROAD HUNTING VALLEY, OH 44022	NONE	PC	EDUCATIONAL GRANT	4,500
BEREA COLLEGE CPO 2216 BEREA, OH 40404	NONE	PC	EDUCATIONAL GRANT	4,000
URBAN COMMUNITY SCHOOL 2401 WASHINGTON CLEVELAND, OH 44114	NONE	PC	EDUCATIONAL GRANT	4,000
LAKE RIDGE ACADEMY 37501 CENTER ROAD NORTH RIDGEVILLE, OH 44039	NONE	PC	EDUCATIONAL GRANT	4,500
ST IGNATIUS HIGH SCHOOL 1911 WEST 30TH CLEVELAND, OH 44113	NONE	PC	EDUCATIONAL GRANT	4,000
ST JOSEPH ACADEMY 3470 ROCKY RIVER DRIVE CLEVELAND, OH 44111	NONE	PC	EDUCATIONAL GRANT	4,000
APPLEWOOD CENTERS 3318 WEST 25TH CLEVELAND, OH 44102	NONE	PC	THEATRICAL SUPPORT	4,000
CASE WESTERN RESERVE UNIVERSITY 11111 EUCLID AVE CLEVELAND, OH 44106	NONE	PC	EDUCATIONAL GRANT	4,000
HANNA PERKINS SCHOOL 19910 MALVERN ROAD SHAKER HTS, OH 44122	NONE	PC	EDUCATIONAL GRANT	4,000
LAWRENCE SCHOOL 1551 E WALLINGS RD BROADVIEW HTS, OH 44147	NONE	PC	EDUCATIONAL GRANT	4,000
BALDWIN WALLACE UNIVERSITY 275 EASTLAND ROAD BEREA, OH 44135	NONE	PC	EDUCATIONAL GRANT	4,000
BEAUMONT SCHOOL 3301 NORTH PARK CLEVELAND HTS, OH 44118	NONE	PC	EDUCATIONAL GRANT	4,000
NOTRE DAME COLLEGE 4545 COLLEGE ROAD SOUTH EUCLID, OH 44122	NONE	PC	EDUCATIONAL GRANT	4,000
<b>Total . . . . .</b>  <b>3a</b>				249,000


Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CLEVELAND INSTITUTE OF MUSIC 11021 EAST BLVD CLEVELAND, OH 44106	NONE	PC	EDUCATIONAL GRANT	4,000
ST EDWARD HIGH SCHOOL 13500 DETROIT AVE LAKEWOOD, OH 44107	NONE	PC	EDUCATIONAL GRANT	4,000
CLEVELAND INSTITUTE OF ART 11141 EAST BLVD CLEVELAND, OH 44106	NONE	PC	ARTISTIC SUPPORT	4,000
FRIENDS OF CLEVELAND SCHOOL OF THE ARTS 2064 STEARNS ROAD CLEVELAND, OH 44106	NONE	PC	EDUCATIONAL GRANT	4,000
CLEVELAND MUSIC SCHOOL SETTLEMENT 11125 MAGNOLIA CLEVELAND, OH 44106		PC	EDUCATIONAL GRANT	4,000
MAGNIFICAT HIGH SCHOOL 20770 HILLIARD BLVD ROCKY RIVER, OH 44116	NONE	PC	EDUCATIONAL GRANT	4,000
HATHAWAY BROWN ACADEMY 19600 NORTH PARK SHAKER HTS, OH 44122	NONE	PC	EDUCATIONAL GRANT	4,500
JULIE BILLART SCHOOL 4982 CLUBSIDE RD CLEVELAND, OH 44124	NONE	PC	EDUCATIONAL GRANT	4,000
LUTHERAN WEST HIGH SCHOOL 3850 LINDEN ROAD ROCKY RIVER, OH 44116	NONE	PC	EDUCATIONAL GRANT	4,000
LUTHERAN EAST HIGH SCHOOL 3565 MAYFIELD RD CLEVELAND HTS, OH 44118	NONE	PC	EDUCATIONAL GRANT	4,000
PHILLIPS EXETER ACADEMY 20 MAIN STREET EXETER, NH 03833	NONE	PC	EDUCATIONAL GRANT	4,000
WESTERN RESERVE ACADEMY 11150 EAST BLVD CLEVELAND, OH 44106	NONE	PC	EDUCATION	4,500
GILMOUR ACADEMY 34001 CECARD RD GATES MILLS, OH 44040	NONE	PC	EDUCATIONAL GRANT	4,500
ANDREWS SCHOOL 30588 MENTOR AVE WILLOUGHBY, OH 44094	NONE	PC	EDUCATIONAL GRANT	4,000
CLEVELAND STATE UNIVERSITY 2121 EUCLID AVE CLEVELAND, OH 44115		PC	EDUCATIONAL GRANT	4,000
<b>Total . . . . .</b>  <b>3a</b>				249,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CONTEMPORARY YOUTH ORCHESTRA PO BOX 181099 CLEVELAND, OH 44118	NONE	PC	MUSIC EDUCATIONAL GRANT	3,500
WSEM 5209 DETROIT AVE CLEVELAND, OH 44102	NONE	PC	BROADCAST RADIO	2,500
VERB BALLETS 3445 WARRENSVILLE CENTER RD SHAKER HTS, OH 44122	NONE	PC	THEATRICAL SUPPORT	3,000
JOHN CARROLL UNIVERSITY 20700 NORTHPARK BLVD UNIVERSITY HTS, OH 44122	NONE	PC	EDUCATIONAL GRANT	4,000
OBERLIN COLLEGE 101 NORTH PROFESSOR DRIVE OBERLIN, OH 44074	NONE	PC	EDUCATIONAL GRANT	4,000
COLLEGE OF WOOSTER 1189 BEALL AVE WOOSTER, OH 44691	NONE	PC	EDUCATIONAL GRANT	4,000
NOTRE DAME COLLEGE 4545 COLLEGE ROAD SOUTH EUCLID, OH 44122	NONE	PC	EDUCATIONAL GRANT	4,000
BOYS HOPE GIRLS HOPE 9619 GARFIELD BLVD CLEVELAND, OH 44125	NONE	PC	AID TO UNDERPRIVLEDGED YOUTH	3,000
GREAT LAKES SCIENCE CENTER 601 ERIESIDE AVE CLEVELAND, OH 44114	NONE	PC	EDUCATIONAL GRANT	4,500
LAKELAND COMMUNITY COLLEGE 7700 CLOCKTOWER DR KIRTLAND, OH 44094	NONE	PC	EDUCATIONAL GRANT	4,000
LAKE ERIE COLLEGE 391 WEST WASHINGTON PAINESVILLE, OH 44064	NONE	PC	EDUCATIONAL GRANT	4,000
URSULINE COLLEGE 2550 LANDER ROAD PEPPER PIKE, OH 44124	NONE	PC	EDUCATIONAL GRANT	4,000
LORAIN COUNTY COMMUNITY COLLEGE 1005 NORTH ABBE RD ELYRIA, OH 44035	NONE	PC	EDUCATIONAL GRANT	4,000
CLEVELAND ZOOLOGICAL SOCIETY 3900 WILDLIFE WAY CLEVELAND, OH 44109	NONE	PC	EDUCATIONAL GRANT	3,500
CLEVELAND MUSEUM OF ART 11150 EAST BLVD CLEVELAND, OH 44106	NONE	PC	SUPPORT FOR ARTS	8,000
<b>Total . . . . .</b>  <b>3a</b>				249,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> Paid during the year				
CLEVELAND MUSEUM OF NATURAL HISTORY 11150 EAST BLVD CLEVELAND,OH 44106	NONE	PC	HISTORICAL PRESERVATION	5,000
HOLDEN ARBORETUM 500 SPERRY RD KIRTLAND,OH 44094	NONE	PC	BOTANICAL PRESERVATION	3,500
FOUNDATION CENTER OF CLEVELAND 1422 EUCLID AVE CLEVELAND,OH 44115	NONE	PC	FOUNDATION SUPPORT	2,000
PLAYHOUSE SQUARE FOUNDATION 1501 EUCLID AVE CLEVELAND,OH 44115	NONE	PC	THEATRICAL SUPPORT	4,000
LAKE ERIE NATURE CENTER 28728 WOLF ROAD CLEVELAND,OH 44140	NONE	PC	NATURE PRESERVATION	3,500
CLEVELAND PLAYHOUSE1407 EUCLID AVE CLEVELAND,OH 44115	NONE	PC	THEATRICAL SUPPORT	4,000
WESTERN RESERVE HISTORICAL SOCIETY 10825 EAST BLVD CLEVELAND,OH 44106	NONE	PC	HISTORICAL PRESERVATION	5,000
BECK CENTER 17801 DETROIT AVE LAKEWOOD,OH 44107	NONE	PC	THEATRICAL SUPPORT	3,500
GREAT LAKES THEATRE FESTIVAL 2067 EAST 14TH STREET CLEVELAND,OH 44115	NONE	PC	THEATRICAL SUPPORT	5,000
JUNIOR ACHIEVEMENT 1422 EUCLID AVE CLEVELAND,OH 44115	NONE	PC	AID TO UNDERPRIVLEDGED YOUTH	3,000
WKSU - KENT STATE UNIVERSITY PO BOX 5190 KENT,OH 44242	NONE	PC	RADIO EDUCATIONAL GRANT	2,500
MUSICAL ARTS ASSOCIATION 11150 EAST BLVD CLEVELAND,OH 44106	NONE	PC	EDUCATIONAL GRANT	5,000
TOWN HALL OF CLEVELAND 1909 WEST 25TH STREET CLEVELAND,OH 44102	NONE	PC	COMMUNITY OUTREACH	2,500
GIRL SCOUTS OF NE OHIO 6111 SOUTH BROADWAY LORAIN,OH 44053	NONE	PC	COMMUNITY OUTREACH	2,500
CUYAHOGA COMMUNITY COLLEGE 2900 COMMUNITY COLLEGE DRIVE CLEVELAND,OH 44114	NONE	PC	EDUCATIONAL GRANT	4,000
<b>Total . . . . .</b>  <b>3a</b>				249,000

Form 990PF Part XV Line 3 - Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<b>a</b> <i>Paid during the year</i>				
CITY CLUB FOUNDATION 850 EUCLID AVE CLEVELAND, OH 44114	NONE	PC	COMMUNITY OUTREACH	2,500
CLEVELAND SIGNSTAGE THEATRE 11501 EUCLID AVE CLEVELAND, OH 44106	NONE	PC	AID TO HEARING IMPAIRED	3,000
US INSTITUTE OF PEACE 2301 CONSTITUTION AVE NW WASHINGTON, DC 20037	NONE	PC	PROMOTE PEACE	2,500
COLLEGE NOW 1407 EUCLID AVE CLEVELAND, OH 44115	NONE	PC	EDUCATIONAL GRANT	2,500
<b>Total . . . . .</b> ▶ <b>3a</b>				249,000

**TY 2013 Accounting Fees Schedule**

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK

**EIN:** 34-6526087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
SUSTIN, BARTELL	8,275	6,206		2,069

**Note:** To capture the full content of this document, please select landscape mode (11" x 8.5") when printing.

**TY 2013 Depreciation Schedule**

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK

**EIN:** 34-6526087

# TY 2013 Investments Corporate Bonds Schedule

**Name:** THE LAUB FOUNDATION

C/O KATHERINE C WOLK

**EIN:** 34-6526087

Name of Bond	End of Year Book Value	End of Year Fair Market Value
VANGUARD INFLATION FUND	177,337	185,559
VANGUARD GNMA FUND	184,677	189,079
PIMCO TOTAL RETURN FUND	9,639	9,597
FANNIE MAE POOL	0	0
DODGE & COX INCOME FUND	140,000	146,380
VANGUARD FIXED INCOME FUND	6,473	6,382
EATON VANCE FUND	90,000	89,577
FIDELITY ADVISOR FUND	40,000	40,205
PIMCO TOTAL RETURN FUND	197,560	204,455
ISHARES BARCLAYS MBS BOND FUND	0	0
ISHARES BARCLAYS 10-20 YR FUND	0	0
ISHARES BARCLAYS 3-7 YR FUND	0	0
ISHARES 10+ YR CREDIT FUND	0	0
TEMPLETON GLOBAL BOND FUND	133,952	134,913
ISHARES IBOXX BOND FUND	110,300	116,356
ISHARES S&P/CITIGROUP	0	0
ISHRAES BARCLAYS INTER CREDIT	0	0
JOHN HANCOCK	0	0
VANGUARD INFLATION FUND	383	352
GENERAL ELECT CAP CORP	100,242	100,440

Name of Bond	End of Year Book Value	End of Year Fair Market Value
BAXTER INTL INC.	99,989	100,293
CHEVRON CORP	50,961	50,620
PEPSICO INC	99,952	99,145
KINDER MORGAN ENERGY	50,536	49,753
APPLE INC	51,160	51,431

TY 2013 Investments Corporate  
Stock Schedule

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK  
**EIN:** 34-6526087

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ISHARES S&P 500 FUND	33,096	79,304
BLACKROCK EQUITY FUND	0	0
25 SH PRAXAIR	0	0
MFS VALUE FUND	230,616	354,626
50 SH CELGENE	2,463	10,709
25 SH NORFOLK SOUTHERN	0	0
125 SH UPS	9,469	13,114
100 SH GILEAD SCIENCES INC.	4,500	11,200
200 SH EATON	0	0
25 SH DANAHER CORP.	1,452	2,010
300 SH MICROSOFT	8,862	14,085
150 SH UNITED TECHNOLOGIES	6,885	16,050
50 SH LENNAR	0	0
200 SH MERCK	8,845	11,588
150 SH DISNEY	9,948	13,707
150 SH CHEVRON	15,448	17,993
25 SH GOOGLE	9,706	28,174
250 SH SUNTRUST BANKS	0	0
50 SH AMAZON	0	0
25 SH PRICELINE	0	0
300 SH WELLS FARGO	10,785	15,927
250 SH PFIZER	5,653	7,488
150 SH STARBUCKS	7,339	11,334
50 SH NIKE	1,989	4,648
125 SH THERMO FISHER	4,482	14,696
100 SH ENERGIZER HOLDINGS	6,598	12,265
25 SH COSTCO	2,053	3,334
25 SH MASTERCARD	5,322	10,469
25 SH IBM	4,978	4,110
175 SH JOHNSON & JOHNSON	11,515	18,861

Name of Stock	End of Year Book Value	End of Year Fair Market Value
ISHARES EAFE INDEX FUND	0	0
350 SH COCA COLA	8,153	14,658
EATON VANCE PARAMETRIC FUND	0	0
ISHARES EMERGING MKTS FUND	0	0
550 SH MORGAN STANLEY	9,630	19,223
AMERICAN BEACON FUNDS	13,000	12,547
250 SH ORACLE	2,595	9,762
250 SH JP MORGAN CHASE	6,820	15,120
350 SH ZIONS BANCORP	7,756	10,139
400 SH INTEL	10,068	13,604
200 SH VERIZON COMMUNICATIONS	7,534	10,050
VICTORY SMALL COMPANY	33,694	63,878
MAINSTAY EPOCH GLOBAL EQUITY	100,000	105,343
175 SH APPLE	5,193	18,900
225 SH AT&T	6,669	7,839
HARBOR INTL FUND	85,000	114,233
DODGE & COX INTL FUND	60,000	83,358
100 SH PHILLIPS 66	2,950	7,850
THIRD AVENUE FUND	0	0
225 SH CMS ENERGY	5,362	7,351
150 SH T ROWE PRICE	6,686	12,313
125 SH ENERGEN	6,174	8,462
200 SH CINCINNATI FINANCIAL	7,024	10,094
150 SH KRAFT	5,438	8,452
300 SH REPUBLIC SERVICES	8,727	11,520
ASTON FUND	122,872	180,521
25 SH NEWMONT MINING CORP.	0	0
ISHARES RUSSELL 2000 FUND	20,160	30,071
ISHARES RUSSELL MIDCAP FUND	0	0
150 SH MCCORMICK	0	0

Name of Stock	End of Year Book Value	End of Year Fair Market Value
100 SH MCDONALDS	8,981	9,373
75 SH MCKESSON	11,666	15,256
100 SH HENRY SCHEIN	6,096	12,003
200 SH P&G	12,788	17,454
25 SH AMERICAN WATERWORKS	754	1,334
125 SH CONOCOPHILLIPS	6,609	9,019
50 SH HALLIBURTON	1,830	2,757
100 SH OCCIDENTAL	0	0
450 SH XL GROUP	0	0
150 SH METLIFE	6,621	8,136
200 SH ACCENTURE	10,810	16,224
250 SH EMC	6,072	7,182
200 SH QUALCOMM	9,802	15,702
JOHN HANCOCK III FUND	78,980	125,146
LKCM SMALL CAP EQUITY FUND	0	0
WELLS FARGO & CO	75,000	94,828
HARBOR INTERNATIONAL FUND	196,597	211,016
ISHARES RUSSELL MIDCAP VALUE	45,530	70,000
ISHARES RUSSELL MIDCAP INDEX	44,395	67,295
ISHARES COHEN & STEERS	27,402	29,565
VANGUARD MSCI EMERGING MARKETS	28,265	41,710
T ROWE PRICE REAL ESTATE FUND	27,500	33,151
ROYCE TOTAL FUND	24,480	29,927
T ROWE PRICE FUND	251,094	523,455
75 SH LYONDELLBASELL	8,402	6,872
75 SH 3M	10,818	11,533
100 SH HOME DEPOT	8,870	9,752
150 SH COMCAST CORP	8,204	8,302
100 PHILIP MORRIS	8,534	8,901
125 SH CAPITAL ONE FINANCIAL	10,073	10,346

Name of Stock	End of Year Book Value	End of Year Fair Market Value
DODGE & COX INTERNATIONAL	197,000	200,754
PARAMETRIC EMERGING MARKETS	58,470	62,226
JOHN HANCOCK 2	102,855	109,207

**TY 2013 Investments - Land Schedule**

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK  
**EIN:** 34-6526087

**TY 2013 Land, Etc. Schedule**

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK  
**EIN:** 34-6526087

**TY 2013 Legal Fees Schedule**

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK

**EIN:** 34-6526087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BAKER HOSTETLER	11,821	8,866		2,955

TY 2013 Other Decreases Schedule

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK  
**EIN:** 34-6526087

Description	Amount
TAX BASIS ADJUSTMENT	27,611

## TY 2013 Other Expenses Schedule

**Name:** THE LAUB FOUNDATION

C/O KATHERINE C WOLK

**EIN:** 34-6526087

Description	Revenue and Expenses per Books	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
OHIO FEE	200			200
OFFICE EXPENSES	18			18

TY 2013 Other Income Schedule

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK  
**EIN:** 34-6526087

Description	Revenue And Expenses Per Books	Net Investment Income	Adjusted Net Income
REFUND			

**TY 2013 Other Professional Fees Schedule****Name:** THE LAUB FOUNDATION

C/O KATHERINE C WOLK

**EIN:** 34-6526087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
BANK TRUST FEES	32,835	32,835		

TY 2013 Taxes Schedule

**Name:** THE LAUB FOUNDATION  
C/O KATHERINE C WOLK  
**EIN:** 34-6526087

Category	Amount	Net Investment Income	Adjusted Net Income	Disbursements for Charitable Purposes
FEDRAL EXCISE TAXES				