Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf*.

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning NOV 1, 2013 , and ending OCT 31, 2014

Name of foundation
Helmut Wolfgang Schumann Foundation
Nicholas DN Harvey/Stebbins Bradley, PA

A Employer identification number
22-2573793

Number and street (or P O box number if mail is not delivered to street address): 41 South Park Street
Room/suite:

B Telephone number
603-643-3737

City or town, state or province, country, and ZIP or foreign postal code
Hanover, NH 03755-0382

C If exemption application is pending, check here ▶ ☐

G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☐ Name change

D 1. Foreign organizations, check here ▶ ☐
2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐

H Check type of organization: [X] Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation

E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐

I Fair market value of all assets at end of year *(from Part II, col. (c), line 16)* ▶$ 2,423,554.

J Accounting method: [X] Cash ☐ Accrual ☐ Other (specify) *(Part I, column (d) must be on cash basis.)*

F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1	Contributions, gifts, grants, etc., received			N/A	
2	Check ▶ [X] if the foundation is not required to attach Sch B				
3	Interest on savings and temporary cash investments	103.	103.		Statement 1
4	Dividends and interest from securities	50,015.	50,015.		Statement 2
5a	Gross rents				
b	Net rental income or (loss)				
6a	Net gain or (loss) from sale of assets not on line 10	197,847.			
b	Gross sales price for all assets on line 6a 1,903,014.				
7	Capital gain net income (from Part IV, line 2)		197,847.		
8	Net short-term capital gain				
9	Income modifications				
10a	Gross sales less returns and allowances				
b	Less Cost of goods sold				
c	Gross profit or (loss)				
11	Other income	1,087.	1,087.		Statement 3
12	**Total.** Add lines 1 through 11	249,052.	249,052.		
13	Compensation of officers, directors, trustees, etc	0.	0.		0.
14	Other employee salaries and wages				
15	Pension plans, employee benefits				
16a	Legal fees Stmt 4	4,275.	0.		0.
b	Accounting fees Stmt 5	3,500.	0.		0.
c	Other professional fees Stmt 6	38,035.	20,035.		0.
17	Interest				
18	Taxes Stmt 7	1,530.	101.		0.
19	Depreciation and depletion				
20	Occupancy				
21	Travel, conferences, and meetings				
22	Printing and publications				
23	Other expenses Stmt 8	216.	0.		0.
24	**Total operating and administrative expenses.** Add lines 13 through 23	47,556.	20,136.		0.
25	Contributions, gifts, grants paid	117,340.			117,340.
26	**Total expenses and disbursements.** Add lines 24 and 25	164,896.	20,136.		117,340.
27	Subtract line 26 from line 12:				
a	Excess of revenue over expenses and disbursements	84,156.			
b	**Net investment income** (if negative, enter -0-)		228,916.		
c	**Adjusted net income** (if negative, enter -0-)			N/A	

Revenue

Operating and Administrative Expenses

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323501 10-10-13 LHA For Paperwork Reduction Act Notice, see instructions. Form 990-PF (2013)

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Part II Balance Sheets — Attached schedules and amounts in the description column should be for end-of-year amounts only		Beginning of year	End of year	
		(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets 1	Cash - non-interest-bearing	61,191.	36,488.	36,488.
2	Savings and temporary cash investments	52,114.	63,976.	63,976.
3	Accounts receivable ▶ Less: allowance for doubtful accounts ▶			
4	Pledges receivable ▶ Less: allowance for doubtful accounts ▶			
5	Grants receivable			
6	Receivables due from officers, directors, trustees, and other disqualified persons			
7	Other notes and loans receivable ▶ Less: allowance for doubtful accounts ▶			
8	Inventories for sale or use			
9	Prepaid expenses and deferred charges			
10a	Investments - U.S. and state government obligations			
b	Investments - corporate stock Stmt 10	1,294,698.	1,367,091.	1,645,134.
c	Investments - corporate bonds Stmt 11	641,551.	666,705.	677,956.
11	Investments - land, buildings, and equipment basis ▶ Less accumulated depreciation ▶			
12	Investments - mortgage loans			
13	Investments - other			
14	Land, buildings, and equipment: basis ▶ Less accumulated depreciation ▶			
15	Other assets (describe ▶)			
16	**Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	2,049,554.	2,134,260.	2,423,554.
Liabilities 17	Accounts payable and accrued expenses			
18	Grants payable			
19	Deferred revenue			
20	Loans from officers, directors, trustees, and other disqualified persons			
21	Mortgages and other notes payable			
22	Other liabilities (describe ▶)			
23	**Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
24	Unrestricted			
25	Temporarily restricted			
26	Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ ☒ and complete lines 27 through 31.			
27	Capital stock, trust principal, or current funds	0.	0.	
28	Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
29	Retained earnings, accumulated income, endowment, or other funds	2,049,554.	2,134,260.	Statement 9
30	**Total net assets or fund balances**	2,049,554.	2,134,260.	
31	**Total liabilities and net assets/fund balances**	2,049,554.	2,134,260.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	2,049,554.
2	Enter amount from Part I, line 27a	2	84,156.
3	Other increases not included in line 2 (itemize) ▶ Miscellaneous Adjustments	3	550.
4	Add lines 1, 2, and 3	4	2,134,260.
5	Decreases not included in line 2 (itemize) ▶	5	0.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	2,134,260.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	See Attached - ST	P	Various	Various
b	See Attached - LT	P	Various	Various
c	Capital Gains Dividends			
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	456,745.		455,231.	1,514.
b	1,423,110.		1,249,936.	173,174.
c	23,159.			23,159.
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				1,514.
b				173,174.
c				23,159.
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } 2 197,847.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6):
If gain, also enter in Part I, line 8, column (c).
If (loss), enter -0- in Part I, line 8 } 3 N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	113,379.	2,290,677.	.049496
2011	82,932.	2,166,215.	.038284
2010	136,873.	2,252,313.	.060770
2009	46,430.	2,131,097.	.021787
2008	82,631.	1,921,770.	.042997

2	**Total** of line 1, column (d)	2	.213334
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.042667
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	2,363,377.
5	Multiply line 4 by line 3	5	100,838.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	2,289.
7	Add lines 5 and 6	7	103,127.
8	Enter qualifying distributions from Part XII, line 4	8	117,340.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ **(attach copy of letter if necessary-see instructions)**				
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ ☒ and enter 1% of Part I, line 27b			1	2,289.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).				
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			2	0.
3	Add lines 1 and 2			3	2,289.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)			4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-			5	2,289.
6	Credits/Payments:				
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	1,320.		
b	Exempt foreign organizations - tax withheld at source	6b			
c	Tax paid with application for extension of time to file (Form 8868)	6c			
d	Backup withholding erroneously withheld	6d			
7	Total credits and payments. Add lines 6a through 6d			7	1,320.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached			8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶			9	969.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶			10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ **Refunded** ▶			11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ NH			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Part VII-A Statements Regarding Activities *(continued)*

			Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ Nicholas Harvey, Atty Telephone no. ▶ 603-643-3737
Located at ▶ 41 South Park Street, Hanover, NH ZIP+4 ▶ 03755

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of **Form 1041** - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

			Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

			Yes	No
	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.			
1a	During the year did the foundation (either directly or indirectly):			
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person? ☐ Yes ☒ No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? ☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? ☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? ☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? ☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) ☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here ▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?	1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):			
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? ☐ Yes ☒ No			
	If "Yes," list the years ▶ ____, ____, ____, ____			
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.) N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ____, ____, ____, ____			
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? ☐ Yes ☒ No			
b	If "Yes," did it have excess business holdings in 2013 as a result of **(1)** any purchase by the foundation or disqualified persons after May 26, 1969; **(2)** the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or **(3)** the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)* N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did **any** of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes ☒ No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** No: X

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes ☒ No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
See Statement 12		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over $50,000 for professional services ▶ 0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 None	0.
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1	
2	
All other program-related investments. See instructions. 3 None	0.
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2013)

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10-10-13

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Part X **Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1 Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:			
a Average monthly fair market value of securities		1a	2,287,788.
b Average of monthly cash balances		1b	111,580.
c Fair market value of all other assets		1c	
d **Total** (add lines 1a, b, and c)		1d	2,399,368.
e Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e 0.		
2 Acquisition indebtedness applicable to line 1 assets		2	0.
3 Subtract line 2 from line 1d		3	2,399,368.
4 Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)		4	35,991.
5 **Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4		5	2,363,377.
6 **Minimum investment return.** Enter 5% of line 5		6	118,169.

Part XI **Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1 Minimum investment return from Part X, line 6		1	118,169.
2a Tax on investment income for 2013 from Part VI, line 5	2a 2,289.		
b Income tax for 2013. (This does not include the tax from Part VI.)	2b		
c Add lines 2a and 2b		2c	2,289.
3 Distributable amount before adjustments. Subtract line 2c from line 1		3	115,880.
4 Recoveries of amounts treated as qualifying distributions		4	0.
5 Add lines 3 and 4		5	115,880.
6 Deduction from distributable amount (see instructions)		6	0.
7 **Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1		7	115,880.

Part XII **Qualifying Distributions** (see instructions)

1 Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	117,340.
b Program-related investments - total from Part IX-B	1b	0.
2 Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3 Amounts set aside for specific charitable projects that satisfy the:		
a Suitability test (prior IRS approval required)	3a	
b Cash distribution test (attach the required schedule)	3b	
4 **Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	117,340.
5 Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,289.
6 **Adjusted qualifying distributions.** Subtract line 5 from line 4	6	115,051.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				115,880.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			53,318.	
b Total for prior years: ____ , ____ , ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f **Total** of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 117,340.				
a Applied to 2012, but not more than line 2a			53,318.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				64,022.
e Remaining amount distributed out of corpus	0.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	0.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				51,858.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

None

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

None

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ [X] if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
A Place to Be	None			10,000.
ANZA Borrego Foundation	None			600.
Art of Yoga Project	None			500.
ArtSpan	None			1,000.
Billings Farm & Museum	None			1,000.
Total See continuation sheet(s)			▶ 3a	117,340.
b Approved for future payment				
None				
Total			▶ 3b	0.

Form **990-PF** (2013)

323611
10-10-13

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income		Excluded by section 512, 513, or 514		(e) Related or exempt function income
	(a) Business code	(b) Amount	(c) Exclusion code	(d) Amount	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments			14	103.	
4 Dividends and interest from securities			14	50,015.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income			01	1,087.	
8 Gain or (loss) from sales of assets other than inventory			18	197,847.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		249,052.	0.

13 **Total.** Add line 12, columns (b), (d), and (e) 13 249,052.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

Nikolas Schumann 3/2/2015 Trustee

Signature of officer or trustee Date Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
Peter B Valiante CPA	Peter B Valiante	02/22/15		P00048481
Firm's name ▶ Tyler, Simms & St.Sauveur CPAs PC			Firm's EIN ▶ 02-0476956	
Firm's address ▶ 19 Morgan Drive Lebanon, NH 03766			Phone no. 603-653-0044	

Form **990-PF** (2013)

323622
10-10-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Blue Ridge Middle School PTO	None			2,000.
Boston College	None			5,000.
Caledonia Conservancy	None			3,000.
California Academy of Sciences	None			250.
Charity Navigator	None			100.
Cool Spring Elementary School	None			500.
Doctors Without Borders	None			2,500.
Emerick Elementary School PTO	None			5,000.
Fine Arts Museum of San Francisco	None			250.
First Universalist Society of Hartland Four Corners	None			2,000.
Total from continuation sheets				104,240.

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05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Friends of Franklin Parks Art Center	None			2,500.
Friends of Harvard Sailing	None			350.
Gathering Waters Conservancy	None			500.
Gleneagle High School	None			1,500.
Glenwood Middle School	None			1,500.
Harvard College Fund	None			1,000.
Independent Arts and Media	None			500.
Jervabek Elementary School FFO	None			6,000.
KCRW	None			240.
Kellogg Annual Fund Northwestern	None			1,000.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
KPBS	None			5,000.
KPCC Southern CA Public Radio	None			3,000.
Land Trust Alliance	None			4,000.
Loudon County School Board	None			2,000.
Loudon Valley HS Music Parent Assoc	None			2,000.
Lucy MacKenzie Humane Society	None			1,100.
Margaret Jenkins Dance Company	None			500.
McCormick School of Engineering Northwestern	None			1,000.
Midnight Mission	None			250.
Montshire Museum	None			500.
Total from continuation sheets				

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05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
National Parks Conservation Assoc	None			300.
NPR	None			10,000.
Ocean Shore School	None			1,500.
Orange County Rescue Mission	None			500.
Pacifica Education Foundation	None			7,000.
Pacifica Resource Center/Tides Center	None			500.
People Who Need People	None			2,000.
Rockland Community Church	None			500.
Ronald McDonald House Charities	None			1,500.
Round Hill United Methodist Church	None			1,500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
San Diego Natural History Museum	None			200.
San Diego Public Library Foundation	None			300.
San Diego Rescue Mission	None			2,000.
San Diego-Quail Botanical Gardens	None			500.
San Francisco Museum of Modern Art	None			250.
Scholl Community Impact Group	None			5,000.
Scripps Ranch Civic Assoc	None			1,000.
Second Harvest Food Bank	None			500.
Shari's Promise	None			2,000.
Shelburne Farms	None			100.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Shelburne Museum	None			100.
Spindrift School of Performing Arts	None			500.
SS United States Conservancy	None			1,000.
St Paul's School	None			350.
The Loudon Valley Dance Team	None			1,000.
The New Children's Museum	None			200.
The Salvation Army	None			500.
Union Rescue Mission	None			500.
Unitarian Universalist Church	None			3,000.
Urban Ecology Center	None			500.
Total from continuation sheets				

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Vermont Institute of Natural Science	None			1,000.
WBEZ	None			100.
Yerba Buena Center for Arts	None			2,500.
Zoological Society of San Diego	None			800.
Total from continuation sheets				

Form 990-PF Interest on Savings and Temporary Cash Investments Statement 1

Source	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Johnson Bank - Checking Account	55.	55.	
Johnson Bank - MM Account	48.	48.	
Total to Part I, line 3	103.	103.	

Form 990-PF Dividends and Interest from Securities Statement 2

Source	Gross Amount	Capital Gains Dividends	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Johnson Bank	73,174.	23,159.	50,015.	50,015.	
To Part I, line 4	73,174.	23,159.	50,015.	50,015.	

Form 990-PF Other Income Statement 3

Description	(a) Revenue Per Books	(b) Net Investment Income	(c) Adjusted Net Income
Additional Mutual Fund Income	983.	983.	
Securities Litigation	104.	104.	
Total to Form 990-PF, Part I, line 11	1,087.	1,087.	

Form 990-PF Legal Fees Statement 4

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Legal Fees	4,275.	0.		0.
To Fm 990-PF, Pg 1, ln 16a	4,275.	0.		0.

Form 990-PF Accounting Fees Statement 5

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Accounting Fees	3,500.	0.		0.
To Form 990-PF, Pg 1, ln 16b	3,500.	0.		0.

Form 990-PF Other Professional Fees Statement 6

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Investment Mgmt Fees	20,035.	20,035.		0.
Trustee Fees	18,000.	0.		0.
To Form 990-PF, Pg 1, ln 16c	38,035.	20,035.		0.

Form 990-PF Taxes Statement 7

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
State of New Hampshire Fee	75.	0.		0.
Federal Taxes Paid	1,354.	0.		0.
Foreign Tax Paid	101.	101.		0.
To Form 990-PF, Pg 1, ln 18	1,530.	101.		0.

Form 990-PF | Other Expenses | Statement 8

Description	(a) Expenses Per Books	(b) Net Investment Income	(c) Adjusted Net Income	(d) Charitable Purposes
Postage and Notary Expense	72.	0.		0.
Bank Service Charges	144.	0.		0.
To Form 990-PF, Pg 1, ln 23	216.	0.		0.

Form 990-PF | Other Funds | Statement 9

Description	(A) Beginning of Year	(B) End of Year
Endowment Fund	2,049,554.	2,134,260.
Total to Form 990-PF, Part II, line 29	2,049,554.	2,134,260.

Form 990-PF | Corporate Stock | Statement 10

Description	Book Value	Fair Market Value
See Attached Schedule - Domestic Corporate Stocks	962,952.	1,177,449.
See Attached Schedule - International Corporate Stocks	404,139.	467,685.
Total to Form 990-PF, Part II, line 10b	1,367,091.	1,645,134.

Form 990-PF | Corporate Bonds | Statement 11

Description	Book Value	Fair Market Value
See Attached Schedule - Fixed Income	666,705.	677,956.
Total to Form 990-PF, Part II, line 10c	666,705.	677,956.

Form 990-PF Part VIII - List of Officers, Directors Trustees and Foundation Managers Statement 12

Name and Address	Title and Avrg Hrs/Wk	Compen-sation	Employee Ben Plan Contrib	Expense Account
Eric R Schumann 7312 Douglas Avenue, Racine, WI 53402	Trustee 0.00	0.	0.	0.
Mary Schumann Drewek PO Box 253, Bridgewater Corners, VT 05035	Trustee 0.00	0.	0.	0.
Claudia Jacobson 701 Wintergreen Drive, Purcellville, VA 20132	Trustee 0.00	0.	0.	0.
Petra Schumann 510 Goodman Road, Pacifica, CA 94044	Trustee 0.00	0.	0.	0.
Alexandra Schumann Patchan 3242 Huntersworth Way, Glenwood, MD 21738	Trustee 0.00	0.	0.	0.
Daniel Schumann 12363 Sycamore Ridge Ct, San Diego, CA 92131	Trustee 0.00	0.	0.	0.
Totals included on 990-PF, Page 6, Part VIII		0.	0.	0.

Consolidated Statement of Value and Activity
November 1, 2013 - October 31, 2014

Asset Detail

Description	Shares/Par Value Current Price	Market Value	Cost Basis Unrealized G/L	Est. Ann. Inc. Current Yield
Cash & Equivalents				
Corporate Money Market Fund	63,975.540 $1.000	$63,975.54	$63,975.54 $0.00	$38.38 0.06%
Total Cash & Equivalents		$63,975.54	$63,975.54 $0.00	$38.38
Taxable Fixed Income				
Dodge & Cox Income Fund #147 TICKER: DODIX	5,149.805 $13.890	$71,530.79	$71,685.00 -$154.21	$2,137.17 2.99%
Metropolitan West T/R Bond-I TICKER: MWTIX	11,043.670 $10.880	$120,155.13	$110,640.86 $9,514.27	$2,904.49 2.42%
Amer Cent Diversified Bond Ins TICKER: ACBPX	10,653.139 $10.850	$115,586.56	$115,373.49 $213.07	$3,121.37 2.70%
Baird Core Plus Bond Fund-Is TICKER: BCOIX	10,773.716 $11.130	$119,911.46	$120,015.00 -$103.54	$3,695.38 3.08%
Templeton Global Bond Fund-Ad TICKER: TGBAX	5,387.035 $13.260	$71,432.08	$69,490.65 $1,941.43	$2,386.46 3.34%
JPMorgan Core Bond Fund-Sel TICKER: WOBDX	8,174.870 $11.730	$95,891.23	$96,050.00 -$158.77	$2,526.03 2.63%
MFS Bond Fund-Institutional TICKER: MBDIX	1,706.833 $14.030	$23,946.87	$24,080.00 -$133.13	$904.62 3.78%
Thornburg LTD Term Income-I TICKER: THIIX	4,397.778 $13.530	$59,501.94	$59,370.00 $131.94	$1,517.23 2.55%
Total Taxable Fixed Income		$677,956.06	$666,705.00 $11,251.06	$19,192.75
Domestic Equities				
Absolute Strategies Fund I TICKER: ASFIX	4,251.757 $11.120	$47,279.54	$46,045.60 $1,233.94	$0.00 0.00%
Ameriprise Financial Inc. TICKER: AMP	225.000 $126.170	$28,388.25	$14,514.23 $13,874.02	$522.00 1.84%
AT & T Inc TICKER: T	345.000 $34.840	$12,019.80	$11,438.99 $580.81	$634.80 5.28%
Baxter International Inc TICKER: BAX	345.000 $70.140	$24,198.30	$22,900.59 $1,297.71	$717.60 2.97%
BlackRock Inc CL A TICKER: BLK	90.000 $341.110	$30,699.90	$14,144.30 $16,555.60	$694.80 2.26%
Cardinal Health Inc TICKER: CAH	415.000 $78.480	$32,569.20	$17,963.75 $14,605.45	$568.55 1.75%
Cisco Systems Inc TICKER: CSCO	690.000 $24.470	$16,884.30	$17,929.93 -$1,045.63	$524.40 3.11%

Consolidated Statement of Value and Activity
November 1, 2013 - October 31, 2014

Description	Shares/Par Value Current Price	Market Value	Cost Basis Unrealized G/L	Est. Ann. Inc. Current Yield
Columbia Dividend Opportunity Z TICKER: CDOZX	4,361.675 $10.930	$47,673.11	$36,638.08 $11,035.03	$1,347.76 2.83%
Comcast Corp-CL A TICKER: CMCSA	685.000 $55.350	$37,914.75	$19,631.72 $18,283.03	$616.50 1.63%
Hasbro Inc TICKER: HAS	535.000 $57.530	$30,778.55	$25,894.24 $4,884.31	$920.20 2.99%
Honeywell Intl Inc TICKER: HON	360.000 $96.120	$34,603.20	$24,334.05 $10,269.15	$745.20 2.15%
International Business Machines Corp TICKER: IBM	114.000 $164.400	$18,741.60	$22,220.91 -$3,479.31	$501.60 2.68%
Johnson & Johnson TICKER: JNJ	370.000 $107.780	$39,878.60	$22,909.01 $16,969.59	$1,036.00 2.60%
KLA-Tencor Corp TICKER: KLAC	227.000 $79.150	$17,967.05	$17,686.39 $280.66	$454.00 2.53%
Laudus Growth Invest Large Cap Fund TICKER: LGILX	2,454.228 $19.840	$48,691.88	$45,157.79 $3,534.09	$0.00 0.00%
Lockheed Martin Corp TICKER: LMT	170.000 $190.570	$32,396.90	$27,094.30 $5,302.60	$1,020.00 3.15%
Lowes Cos Inc TICKER: LOW	495.000 $57.200	$28,314.00	$13,679.38 $14,634.62	$455.40 1.61%
MFS Value Fund-I TICKER: MEIIX	683.468 $35.110	$23,996.56	$23,367.76 $628.80	$530.37 2.21%
Microsoft Corp TICKER: MSFT	790.000 $46.950	$37,090.50	$23,308.90 $13,781.60	$979.60 2.64%
Northrop Grumman Corporation TICKER: NOC	175.000 $137.960	$24,143.00	$23,225.72 $917.28	$490.00 2.03%
Occidental Pete Corp TICKER: OXY	390.000 $88.930	$34,682.70	$35,652.76 -$970.06	$1,123.20 3.24%
Pfizer Inc TICKER: PFE	1,050.000 $29.950	$31,447.50	$33,140.47 -$1,692.97	$1,092.00 3.47%
PIMCO Commodity RR Strat Ins TICKER: PCRIX	7,974.053 $5.180	$41,305.59	$45,811.90 -$4,506.31	$176.15 0.43%
Prudential Finl Inc TICKER: PRU	295.000 $88.540	$26,119.30	$26,586.35 -$467.05	$625.40 2.39%
Qualcomm Inc Com TICKER: QCOM	235.000 $78.510	$18,449.85	$12,827.56 $5,622.29	$394.80 2.14%
Raytheon Company TICKER: RTN	272.000 $103.880	$28,255.36	$17,973.35 $10,282.01	$658.24 2.33%
Texas Instruments TICKER: TXN	515.000 $49.660	$25,574.90	$18,273.95 $7,300.95	$700.40 2.74%
Time Warner Inc TICKER: TWX	465.000 $79.470	$36,953.55	$30,616.90 $6,336.65	$590.55 1.60%

Asset Detail Section (continued)

Consolidated Statement of Value and Activity
November 1, 2013 - October 31, 2014

Description	Shares/Par Value Current Price	Market Value	Cost Basis Unrealized G/L	Est. Ann. Inc. Current Yield
Travelers Companies Inc	345.000	$34,776.00	$28,452.73	$759.00
TICKER: TRV	$100.800		$6,323.27	2.18%
United Parcel Svc Inc CL B	180.000	$18,883.80	$17,941.84	$482.40
TICKER: UPS	$104.910		$941.96	2.55%
V F Corp	405.000	$27,410.40	$15,218.23	$518.40
TICKER: VFC	$67.680		$12,192.17	1.89%
Vanguard Selected Value F IV	2,394.842	$70,623.89	$67,165.00	$790.30
TICKER: VASVX	$29.490		$3,458.89	1.12%
Voya Large Cap Growth-I	4,999.555	$73,693.44	$70,343.74	$197.48
TICKER: ILCIX	$14.740		$3,349.70	0.27%
Walgreen Company Com	425.000	$27,293.50	$25,047.27	$573.75
TICKER: WAG	$64.220		$2,246.23	2.10%
Williams-Sonoma Inc	420.000	$27,312.60	$23,921.08	$554.40
TICKER: WSM	$65.030		$3,391.52	2.03%
Wisconsin Energy Corp Com	195.000	$9,683.70	$7,773.18	$304.20
TICKER: WEC	$49.660		$1,910.52	3.14%
3M Co	200.000	$30,754.00	$16,120.52	$684.00
TICKER: MMM	$153.770		$14,633.48	2.22%
Total Domestic Equities		$1,177,449.07	$962,952.47	$22,983.45
			$214,496.60	
International Equities				
Artisan Intl Value Fund-Inv	3,178.921	$116,062.41	$56,730.58	$2,934.14
TICKER: ARTKX	$36.510		$59,331.83	2.53%
Ensco PLC CL A	280.000	$11,365.20	$16,253.71	$840.00
TICKER: ESV	$40.590		-$4,888.51	7.39%
Invesco Limited	915.000	$37,030.05	$31,774.78	$915.00
TICKER: IVZ	$40.470		$5,255.27	2.47%
MFS Intl Value-I	3,306.075	$118,853.40	$115,641.06	$2,185.32
TICKER: MINIX	$35.950		$3,212.34	1.84%
Oppenheimer Developing Mkt-I	1,783.499	$69,895.33	$63,734.15	$379.89
TICKER: ODVIX	$39.190		$6,161.18	0.54%
Oppenheimer Intl Growth Fd-I	1,916.567	$68,363.94	$71,945.00	$799.21
TICKER: OIGIX	$35.670		-$3,581.06	1.17%
Schwab Laudus Intl	2,008.487	$46,114.86	$48,060.00	$721.05
Marketmasters Fd	$22.960		-$1,945.14	1.56%
TICKER: SWMIX				
Total International Equities		$467,685.19	$404,139.28	$8,774.61
			$63,545.91	
Total All Assets		**$2,387,065.86**	**$2,097,772.29**	**$50,989.19**
			$289,293.57	

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Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
1105.924 AMER CENT DIVERSIFIED					
BOND INS	VAR	03/06/2014	11,822.33	12,100.00	-277.67
65. ANALOG DEVICES INC COMMON					
STOCK	09/04/2013	01/24/2014	3,155.69	3,089.22	66.47
315. ANALOG DEVICES INC COMMON					
STOCK	09/04/2013	07/25/2014	15,821.53	14,970.81	850.72
195. EATON VANCE CORP	10/25/2013	08/05/2014	6,819.04	8,194.60	-1,375.56
110. HASBRO INC	10/25/2013	01/24/2014	5,624.50	5,774.40	-149.90
3677.249 LAUDUS GROWTH INVEST					
LARGE CAP FUND	VAR	08/14/2014	69,500.00	70,577.63	-1,077.63
118.863 LAUDUS GROWTH INVEST					
LARGE CAP FUND	02/07/2014	09/16/2014	2,300.00	2,187.08	112.92
25. LOCKHEED MARTIN CORP COM	01/13/2014	01/24/2014	3,691.68	3,845.06	-153.38
74.421 MFS INTL VALUE-I	03/06/2014	08/14/2014	2,700.00	2,667.25	32.75
3.186 MFS INTL VALUE-I	03/06/2014	09/16/2014	115.00	114.19	0.81
15.567 MFS VALUE FUND-I	08/14/2014	09/16/2014	545.00	532.24	12.76
206.939 OPPENHEIMER DEVELOPING					
MKT-I	03/06/2014	08/14/2014	8,350.00	7,565.85	784.15
53.26 PIMCO FUNDS TOTAL RETURN					
FUND INSTL CLASS	09/16/2014	09/30/2014	578.94	580.00	-1.06
4074.312 PIMCO COMMODITY RR					
STRAT INS	03/06/2014	09/16/2014	22,205.00	24,568.10	-2,363.10
5477.032 PIMCO UNCONSTRAINED					
BOND FUND-INS	03/06/2014	08/14/2014	62,000.00	61,397.53	602.47
20.336 PIMCO UNCONSTRAINED					
BOND FUND-INS	03/06/2014	09/16/2014	230.00	227.97	2.03
5267.727 PIMCO UNCONSTRAINED					
BOND FUND-INS	VAR	09/30/2014	59,367.28	58,999.50	367.78
9261.23 RIDGEWORTH TOTAL RETURN	VAR	08/14/2014	98,446.87	96,457.50	1,989.37
115. SAFEWAY INC	03/21/2013	01/24/2014	3,579.47	2,899.66	679.81
575. SAFEWAY INC	03/21/2013	02/20/2014	20,829.72	14,498.28	6,331.44
173.062 TEMPLETON GLOBAL BOND	03/06/2014	08/14/2014	2,300.00	2,244.61	55.39
33.133 TEMPLETON GLOBAL BOND	03/06/2014	09/16/2014	440.00	429.74	10.26
160. TEXAS INSTRS INC COM	08/02/2013	01/24/2014	6,982.27	6,377.82	604.45
270. TEXAS INSTRS INC COM	VAR	04/29/2014	12,337.60	10,631.24	1,706.36
Totals					

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Schedule D Detail of Short-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Short-term Gain/Loss
58. TIME INC	VAR	06/11/2014	1,308.79	1,310.96	-2.17
.125 TIME INC	03/05/2014	06/18/2014	2.86	2.80	0.06
94.403 VANGUARD SELECTED VALUE					
F IV	03/06/2014	08/14/2014	2,800.00	2,720.69	79.31
29.99 VANGUARD SELECTED VALUE					
F IV	03/06/2014	09/16/2014	900.00	864.31	35.69
96.394 VOYA LARGE CAP GROWTH-I	08/14/2014	09/16/2014	1,390.00	1,356.26	33.74
80. WALGREEN CO COM	11/22/2013	01/24/2014	4,660.16	4,847.48	-187.32
85. WILLIAMS-SONOMA INC	11/21/2013	01/24/2014	4,577.82	5,054.21	-476.39
10. WISCONSIN ENERGY CORP COM	02/14/2013	11/08/2013	415.68	398.63	17.05
35. WISCONSIN ENERGY CORP COM	02/14/2013	01/24/2014	1,463.53	1,395.19	68.34
80. ENSCO PLC CL A	11/08/2013	01/24/2014	4,124.86	4,880.34	-755.48
270. ENSCO PLC CL A	11/08/2013	10/02/2014	10,377.27	16,471.16	-6,093.89
145. INVESCO LIMITED	05/15/2013	01/24/2014	4,982.17	4,998.70	-16.53
Totals			456,745.00	455,231.00	1,514.00

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Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
270. AT & T INC	05/10/2012	11/08/2013	9,413.79	8,956.23	457.56
70. AT & T INC	VAR	01/24/2014	2,387.07	2,321.69	65.38
161.019 ALLIANZGI NFJ					
INTERNATIONAL VALUE-I	05/11/2012	02/07/2014	3,587.50	3,102.84	484.66
272.494 ALLIANZGI NFJ					
INTERNATIONAL VALUE-I	05/11/2012	03/06/2014	6,360.00	5,250.96	1,109.04
5222.55 ALLIANZGI NFJ					
INTERNATIONAL VALUE-I	05/11/2012	08/14/2014	125,915.68	100,638.53	25,277.15
5445.693 AMER CENT DIVERSIFIED					
BOND INS	VAR	02/07/2014	58,159.99	59,426.79	-1,266.80
4349.586 AMER CENT DIVERSIFIED					
BOND INS	07/25/2011	03/06/2014	46,497.07	47,410.49	-913.42
75. AMERIPRISE FINANCIAL INC.	11/26/2012	01/24/2014	8,269.60	4,540.48	3,729.12
155. AMERIPRISE FINANCIAL INC.	11/26/2012	02/05/2014	16,006.49	9,383.65	6,622.84
78.068 ARTISAN INTL VALUE					
FUND-INV	07/25/2011	02/07/2014	2,792.50	2,189.03	603.47
142.666 ARTISAN INTL VALUE					
FUND-INV	VAR	03/06/2014	5,340.00	3,831.56	1,508.44
70.162 ARTISAN INTL VALUE					
FUND-INV	08/23/2011	08/14/2014	2,650.00	1,721.78	928.22
65. BAXTER INTERNATIONAL INC	12/10/2012	01/24/2014	4,412.77	4,270.72	142.05
15. BLACKROCK INC CL A	11/04/2011	01/24/2014	4,675.30	2,357.38	2,317.92
195. CARDINAL HEALTH INC	11/04/2011	12/04/2013	12,351.45	8,519.91	3,831.54
80. CARDINAL HEALTH INC	11/04/2011	01/24/2014	5,315.90	3,495.35	1,820.55
50. CHEVRONTEXACO CORP	VAR	01/24/2014	5,878.39	5,403.82	474.57
85. CHEVRONTEXACO CORP	11/04/2011	02/19/2014	9,752.32	8,971.06	781.26
165. CHEVRONTEXACO CORP	VAR	03/05/2014	18,888.61	15,529.47	3,359.14
12251.01 COLUMBIA DIVIDEND					
OPPORTUNITY Z	05/11/2012	02/07/2014	121,285.00	102,908.49	18,376.51
12886.297 COLUMBIA DIVIDEND					
OPPORTUNITY Z	05/11/2012	03/06/2014	132,600.00	108,244.89	24,355.11
242.086 COLUMBIA DIVIDEND					
OPPORTUNITY Z	05/11/2012	08/14/2014	2,600.00	2,033.52	566.48
Totals					

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Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
104.641 COLUMBIA DIVIDEND					
OPPORTUNITY Z	05/11/2012	09/16/2014	1,150.00	878.98	271.02
145. COMCAST CORP-CL A	VAR	01/24/2014	7,620.09	4,233.19	3,386.90
115. EATON VANCE CORP	12/20/2012	01/24/2014	4,622.36	3,729.26	893.10
370. EATON VANCE CORP	12/20/2012	08/05/2014	12,938.68	11,998.47	940.21
1022.624 ABSOLUTE STRATEGIES	VAR	02/07/2014	11,300.00	11,147.93	152.07
538.462 ABSOLUTE STRATEGIES	07/25/2011	03/06/2014	5,880.00	5,858.47	21.53
4558.43 ABSOLUTE STRATEGIES FUND	VAR	08/14/2014	51,100.00	50,586.75	513.25
13.405 ABSOLUTE STRATEGIES	07/25/2011	09/16/2014	150.00	145.85	4.15
90. HONEYWELL INTL INC	11/04/2011	01/24/2014	8,174.11	4,825.00	3,349.11
95. HONEYWELL INTL INC	02/04/2013	06/23/2014	8,946.90	6,528.88	2,418.02
80. JOHNSON & JOHNSON COM	06/08/2012	01/24/2014	7,388.24	5,020.80	2,367.44
210. LOWES COS INC	08/29/2012	11/08/2013	10,230.31	5,999.47	4,230.84
95. LOWES COS INC	VAR	01/24/2014	4,571.32	2,704.70	1,866.62
170. MAXIM INTEGRATED PRODS INC	VAR	01/24/2014	5,081.21	4,865.70	215.51
455. MAXIM INTEGRATED PRODS INC	11/02/2012	07/24/2014	14,947.51	12,974.78	1,972.73
405. MAXIM INTEGRATED PRODS INC	11/02/2012	07/25/2014	11,701.69	11,548.98	152.71
5035.08 METROPOLITAN WEST T/R	VAR	02/07/2014	53,825.01	52,088.21	1,736.80
4361.423 METROPOLITAN WEST T/R	04/21/2010	03/06/2014	46,580.00	44,922.66	1,657.34
7010.12 METROPOLITAN WEST T/R	VAR	08/14/2014	76,200.00	73,230.22	2,969.78
95. MICROSOFT CORP COM	VAR	01/24/2014	3,523.48	2,829.40	694.08
2753.223 PIMCO FUNDS TOTAL					
RETURN FUND INSTL CLASS	10/09/2009	02/07/2014	29,900.00	29,982.60	-82.60
2105.991 PIMCO FUNDS TOTAL					
RETURN FUND INSTL CLASS	10/09/2009	03/06/2014	22,850.00	22,934.24	-84.24
6888.08 PIMCO FUNDS TOTAL RETURN					
FUND INSTL CLASS	VAR	08/14/2014	75,700.00	75,601.47	98.53
10888.943 PIMCO FUNDS TOTAL					
RETURN FUND INSTL CLASS	VAR	09/30/2014	118,362.82	113,388.14	4,974.68
55. PEPSICO INC COM	06/21/2012	01/24/2014	4,531.65	3,794.13	737.52
270. PEPSICO INC COM	VAR	02/19/2014	21,050.37	17,450.41	3,599.97
355. T ROWE PRICE GROUP INC	VAR	01/21/2014	28,994.20	22,486.94	6,507.26
210. PROCTER & GAMBLE CO COM	07/24/2012	11/22/2013	17,758.89	13,434.16	4,324.73
95. QUALCOMM INC COM	11/09/2012	01/24/2014	7,122.02	5,898.80	1,223.22
235. QUALCOMM INC COM	VAR	09/05/2014	17,796.15	14,542.00	3,254.15
Totals					

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Schedule D Detail of Long-term Capital Gains and Losses

Description	Date Acquired	Date Sold	Gross Sales Price	Cost or Other Basis	Long-term Gain/Loss
35. RAYTHEON COMPANY	05/03/2012	01/24/2014	3,136.03	1,891.35	1,244.68
75. STANLEY BLACK & DECKER INC	09/19/2012	01/24/2014	6,178.62	5,747.10	431.52
120. STANLEY BLACK & DECKER INC	VAR	07/23/2014	10,350.03	8,829.02	1,521.01
260. STANLEY BLACK & DECKER INC	VAR	09/30/2014	23,277.02	18,997.37	4,279.65
310. TARGET CORP COM	VAR	11/21/2013	19,858.68	18,398.01	1,460.67
40. 3M CO	VAR	01/24/2014	5,320.70	3,305.42	2,015.28
83. UNITED TECHNOLOGIES CORP	02/01/2012	01/13/2014	9,437.98	6,644.18	2,793.80
50. UNITED TECHNOLOGIES CORP	02/01/2012	01/24/2014	5,654.15	4,002.52	1,651.63
297. UNITED TECHNOLOGIES CORP	VAR	09/17/2014	32,080.56	26,875.08	5,205.48
75. VF CORP COM	05/14/2012	01/24/2014	4,366.42	2,605.68	1,760.74
200. WISCONSIN ENERGY CORP COM	11/04/2011	11/08/2013	8,313.52	6,501.50	1,812.02
Totals			1423110.00	1249936.00	173,174.00