



See a Social Security Number? Say Something!
Report Privacy Problems to <https://public.resource.org/privacy>
Or call the IRS Identity Theft Hotline at 1-800-908-4490



Return of Private Foundation

2013

Department of the Treasury
Internal Revenue Service

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at www.irs.gov/form990pf.

Open to Public Inspection

For calendar year 2013 or tax year beginning 11/01, 2013, and ending 10/30, 2014

Name of foundation HEISMAN TROPHY TRUST		A Employer identification number 13-7052079
Number and street (or P O box number if mail is not delivered to street address) 111 BROADWAY		B Telephone number (see instructions) (212) 425-7000
Room/suite 103A		
City or town, state or province, country, and ZIP or foreign postal code NEW YORK, NY 10006		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply	<input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change	<input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change
H Check type of organization		D 1 Foreign organizations, check here <input type="checkbox"/>
<input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation		2 Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
<input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
<input type="checkbox"/> Other taxable private foundation		F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col (c), line 16) \$ 17,292,424.	J Accounting method <input type="checkbox"/> Cash <input checked="" type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____ (Part I, column (d) must be on cash basis)	

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a) (see instructions))		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received (attach schedule) if the foundation is not required to attach Sch B	102,200.			
	2 Check <input type="checkbox"/> if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments	28,189.	28,189.	28,189.	ATCH 1
	4 Dividends and interest from securities	226,233.	226,233.	226,233.	ATCH 2
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	215,116.			
	b Gross sales price for all assets on line 6a	231,127.			
	7 Capital gain net income (from Part IV, line 2)		215,116.		
	8 Net short-term capital gain				
	9 Income modifications				
	10 a Gross sales less returns and allowances				
b Less Cost of goods sold					
c Gross profit or (loss) (attach schedule)					
11 Other income (attach schedule) ATCH 3	5,055,053.		5,055,053.		
12 Total. Add lines 1 through 11	5,626,791.	469,538.	5,309,475.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc.	0			
	14 Other employee salaries and wages	219,768.		219,768.	
	15 Pension plans, employee benefits	71,035.		71,035.	
	16a Legal fees (attach schedule) ATCH 4	26,154.		26,154.	
	b Accounting fees (attach schedule) ATCH 5	43,053.		43,053.	
	c Other professional fees (attach schedule) *	223,500.		223,500.	
	17 Interest ATCH 7				
	18 Taxes (attach schedule) (see instructions) ATCH 8	5,021.		5,021.	
	19 Depreciation (attach schedule) and depletion	9,923.			
	20 Occupancy	80,675.		80,675.	
	21 Travel, conferences, and meetings	15,635.		15,635.	
	22 Printing and publications	4,624.		4,624.	
	23 Other expenses (attach schedule) ATCH 9	747,235.	43,926.	747,235.	
	24 Total operating and administrative expenses. Add lines 13 through 23	1,446,623.	43,926.	1,436,700.	
	25 Contributions, gifts, grants paid	1,512,079.			1,512,079.
26 Total expenses and disbursements Add lines 24 and 25	2,958,702.	43,926.	1,436,700.	1,512,079.	
27 Subtract line 26 from line 12					
a Excess of revenue over expenses and disbursements	2,668,089.				
b Net investment income (if negative, enter -0-)		425,612.			
c Adjusted net income (if negative, enter -0-)			3,872,775.		

8/16/18

Part II Balance Sheets		Attached schedules and amounts in the description column should be for end-of-year amounts only (See instructions)	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
Assets	1	Cash - non-interest-bearing	1,466,839.	951,482.	951,482.
	2	Savings and temporary cash investments	2,027,455.	4,304,582.	4,304,582.
	3	Accounts receivable ▶ 640,731.			
		Less allowance for doubtful accounts ▶	470,979.	640,731.	640,731.
	4	Pledges receivable ▶			
		Less allowance for doubtful accounts ▶			
	5	Grants receivable			
	6	Receivables due from officers, directors, trustees, and other disqualified persons (attach schedule) (see instructions)			
	7	Other notes and loans receivable (attach schedule) ▶			
		Less allowance for doubtful accounts ▶			
	8	Inventories for sale or use			
	9	Prepaid expenses and deferred charges ATCH 10	239,066.	270,683.	270,683.
	10 a	Investments - U S and state government obligations (attach schedule)			
	b	Investments - corporate stock (attach schedule) ATCH 11	5,157,714.	6,001,296.	7,202,287.
	c	Investments - corporate bonds (attach schedule) ATCH 12	3,975,271.	3,868,602.	3,864,744.
	11	Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation (attach schedule) ▶				
12	Investments - mortgage loans				
13	Investments - other (attach schedule)				
14	Land, buildings, and equipment basis ▶ 87,800.			ATCH 13	
	Less accumulated depreciation (attach schedule) ▶ 44,885.	49,286.	42,915.	42,915.	
15	Other assets (describe ▶ ATCH 14)	215,577.	15,000.	15,000.	
16	Total assets (to be completed by all filers - see the instructions Also, see page 1, item I)	13,602,187.	16,095,291.	17,292,424.	
Liabilities	17	Accounts payable and accrued expenses	187,506.	127,325.	
	18	Grants payable			
	19	Deferred revenue	391,206.	451,402.	ATCH 15
	20	Loans from officers, directors, trustees, and other disqualified persons			
	21	Mortgages and other notes payable (attach schedule)			
	22	Other liabilities (describe ▶ ATCH 16)	275,000.	100,000.	
	23	Total liabilities (add lines 17 through 22)	853,712.	678,727.	
Net Assets or Fund Balances		- Foundations that follow SFAS 117, -check here- ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24	Unrestricted	12,748,475.	15,416,564.	
	25	Temporarily restricted			
	26	Permanently restricted			
		Foundations that do not follow SFAS 117, . . . ▶ <input type="checkbox"/> check here and complete lines 27 through 31.			
	27	Capital stock, trust principal, or current funds			
	28	Paid-in or capital surplus, or land, bldg , and equipment fund			
	29	Retained earnings, accumulated income, endowment, or other funds			
30	Total net assets or fund balances (see instructions)	12,748,475.	15,416,564.		
31	Total liabilities and net assets/fund balances (see instructions)	13,602,187.	16,095,291.		

Part III Analysis of Changes in Net Assets or Fund Balances		
1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	12,748,475.
2	Enter amount from Part I, line 27a	2,668,089.
3	Other increases not included in line 2 (itemize) ▶ ATCH 17	
4	Add lines 1, 2, and 3	15,416,564.
5	Decreases not included in line 2 (itemize) ▶	
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	15,416,564.

Part IV Capital Gains and Losses for Tax on Investment Income

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse, or common stock, 200 shs MLC Co)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo, day, yr)	(d) Date sold (mo, day, yr)
1a	SEE PART IV SCHEDULE			
b				
c				
d				
e				
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)	
a				
b				
c				
d				
e				
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col (h) gain minus col (k), but not less than -0-) or Losses (from col (h))	
(i) F M V as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col (i) over col (j), if any		
a				
b				
c				
d				
e				
2	Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }	2	215,116.
3	Net short-term capital gain or (loss) as defined in sections 1222(5) and (6) If gain, also enter in Part I, line 8, column (c) (see instructions) If (loss), enter -0- in Part I, line 8		3	-16,011.

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income)

If section 4940(d)(2) applies, leave this part blank

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? Yes No
 If "Yes," the foundation does not qualify under section 4940(e) Do not complete this part

1 Enter the appropriate amount in each column for each year, see the instructions before making any entries

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col (b) divided by col (c))
2012	1,395,782.	11,906,876.	0.117225
2011	1,046,145.	9,308,020.	0.112392
2010	984,582.	8,214,525.	0.119859
2009	487,443.	7,465,818.	0.065290
2008	631,561.	6,307,582.	0.100127
2	Total of line 1, column (d)		0.514893
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years		0.102979
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5		15,507,344.
5	Multiply line 4 by line 3		1,596,931.
6	Enter 1% of net investment income (1% of Part I, line 27b)		4,256.
7	Add lines 5 and 6		1,601,187.
8	Enter qualifying distributions from Part XII, line 4 If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate See the Part VI instructions		1,512,079.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

Table with 11 rows for excise tax calculations. Includes items like 'Exempt operating foundations', 'Domestic foundations', 'Tax under section 511', and 'Credits/Payments'. Total tax due is 4,556.

Part VII-A Statements Regarding Activities

Table with 10 rows for activity statements. Includes questions about political influence, tax on political expenditures, and reporting requirements. Includes a Yes/No column.

Part VII-A Statements Regarding Activities (continued)

11 At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions). 11 X
12 Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions) 12 X
13 Did the foundation comply with the public inspection requirements for its annual returns and exemption application? 13 X
Website address WWW.HEISMAN.COM
14 The books are in care of MOREA FINANCIAL SERVICES, INC. Telephone no 212 608-1080
Located at 1500 BROADWAY, 28TH FLOOR NEW YORK, NY ZIP+4 10036
15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here 15
16 At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? 16 Yes No X
See the instructions for exceptions and filing requirements for Form TD F 90-22.1 If "Yes," enter the name of the foreign country

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

1a During the year did the foundation (either directly or indirectly)
(1) Engage in the sale or exchange, or leasing of property with a disqualified person? Yes X No
(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? Yes X No
(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person? Yes X No
(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person? Yes X No
(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? Yes X No
(6) Agree to pay money or property to a government official? (Exception. Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days) Yes X No
b If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? 1b X
Organizations relying on a current notice regarding disaster assistance check here
c Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? 1c X
2 Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5))
a At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? Yes X No
If "Yes," list the years
b Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions) 2b
c If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here
3a Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? Yes X No
b If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969, (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest, or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013) 3b X
4a Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes? 4a X
b Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013? 4b X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)

5a. During the year did the foundation pay or incur any amount to

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? Yes No

(2) Influence the outcome of any specific public election (see section 4955), or to carry on, directly or indirectly, any voter registration drive? Yes No

(3) Provide a grant to an individual for travel, study, or other similar purposes? Yes No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? (see instructions) Yes No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? Yes No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? Yes No

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d)

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? Yes No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? Yes No

If "Yes" to 6b, file Form 8870

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? Yes No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? Yes No

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation (see instructions).

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ATCH 19		0	0	0

2 Compensation of five highest-paid employees (other than those included on line 1 - see instructions). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ROBERT WHALEN	EXECUTIVE DIRECTOR	133,973.	43,331.	0
TIMOTHY HENNING	OPERATIONS MANAGER	85,795.	27,703.	0

Total number of other employees paid over \$50,000

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)

3 Five highest-paid independent contractors for professional services (see instructions). If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
ATCH 20		206,850.

Total number of others receiving over \$50,000 for professional services		▶

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments (see instructions)

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2	Amount	
1 NONE		
2		
All other program-related investments See instructions		
3 NONE		
Total. Add lines 1 through 3		▶

Part X Minimum Investment Return (All domestic foundations must complete this part Foreign foundations, see instructions)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes		
a	Average monthly fair market value of securities	1a	5,137,396.
b	Average of monthly cash balances	1b	10,606,100.
c	Fair market value of all other assets (see instructions)	1c	
d	Total (add lines 1a, b, and c)	1d	15,743,496.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	
2	Acquisition indebtedness applicable to line 1 assets	2	
3	Subtract line 2 from line 1d	3	15,743,496.
4	Cash deemed held for charitable activities Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	236,152.
5	Net value of noncharitable-use assets. Subtract line 4 from line 3 Enter here and on Part V, line 4	5	15,507,344.
6	Minimum investment return. Enter 5% of line 5	6	775,367.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here and do not complete this part)

1	Minimum investment return from Part X, line 6	1	
2a	Tax on investment income for 2013 from Part VI, line 5	2a	
b	Income tax for 2013 (This does not include the tax from Part VI)	2b	
c	Add lines 2a and 2b	2c	
3	Distributable amount before adjustments Subtract line 2c from line 1	3	
4	Recoveries of amounts treated as qualifying distributions	4	
5	Add lines 3 and 4	5	
6	Deduction from distributable amount (see instructions)	6	
7	Distributable amount as adjusted Subtract line 6 from line 5 Enter here and on Part XIII, line 1	7	

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes		
a	Expenses, contributions, gifts, etc - total from Part I, column (d), line 26	1a	1,512,079.
b	Program-related investments - total from Part IX-B	1b	
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	Qualifying distributions. Add lines 1a through 3b Enter here and on Part V, line 8, and Part XIII, line 4	4	1,512,079.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income Enter 1% of Part I, line 27b (see instructions)	5	0
6	Adjusted qualifying distributions. Subtract line 5 from line 4	6	1,512,079.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				0
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only				
b Total for prior years 20 <u>11</u> ,20 <u>10</u> ,20 <u>09</u>				
3 Excess distributions carryover, if any, to 2013				
a From 2008 632,525.				
b From 2009 487,443.				
c From 2010 984,986.				
d From 2011 1,047,750.				
e From 2012 1,246,725.				
f Total of lines 3a through e	4,399,429.			
4 Qualifying distributions for 2013 from Part XII, line 4 ▶ \$ <u>1,512,079</u> .				
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)				
c Treated as distributions out of corpus (Election required - see instructions)				
d Applied to 2013 distributable amount				
e Remaining amount distributed out of corpus	1,512,079.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	5,911,508.			
b Prior years' undistributed income Subtract line 4b from line 2b				
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed				
d Subtract line 6c from line 6b Taxable amount - see instructions				
e Undistributed income for 2012 Subtract line 4a from line 2a Taxable amount - see instructions				
f Undistributed income for 2013 Subtract lines 4d and 5 from line 1 This amount must be distributed in 2014				
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3) (see instructions)				
8 Excess distributions carryover from 2008 not applied on line 5 or line 7 (see instructions)	632,525.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	5,278,983.			
10 Analysis of line 9				
a Excess from 2009 487,443.				
b Excess from 2010 984,986.				
c Excess from 2011 1,047,750.				
d Excess from 2012 1,246,725.				
e Excess from 2013 1,512,079.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9)

1a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling

06/30/2008

b Check box to indicate whether the foundation is a private operating foundation described in section

4942(j)(3) or 4942(j)(5)

2a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed	Tax year	Prior 3 years			(e) Total
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	
b 85% of line 2a	775,367.	595,344.	465,401.	410,726.	2,246,838.
c Qualifying distributions from Part XII, line 4 for each year listed	659,062.	506,042.	395,591.	349,117.	1,909,812.
d Amounts included in line 2c not used directly for active conduct of exempt activities	1,512,079.	1,246,715.	1,047,750.	984,986.	4,791,530.
e Qualifying distributions made directly for active conduct of exempt activities Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon					
a "Assets" alternative test - enter					
(1) Value of all assets	17,292,424.	14,588,597.	11,527,208.	9,468,385.	52,876,614.
(2) Value of assets qualifying under section 4942(j)(3)(B)(i).					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter					
(1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties).					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii).					
(3) Largest amount of support from an exempt organization.					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had \$5,000 or more in assets at any time during the year - see instructions.)

1 Information Regarding Foundation Managers:

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than \$5,000) (See section 507(d)(2))

N/A

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest

N/A

2 Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:

Check here if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed

N/A

b The form in which applications should be submitted and information and materials they should include

N/A

c Any submission deadlines

N/A

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors

N/A

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a <i>Paid during the year</i> SEE SCHEDULE ATTACHED				1,512,079.
Total ▶ 3a				1,512,079.
b <i>Approved for future payment</i>				
Total ▶ 3b				

Part XVII Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations

- 1 Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code... a Transfers from the reporting foundation to a noncharitable exempt organization of (1) Cash (2) Other assets b Other transactions (1) Sales of assets to a noncharitable exempt organization (2) Purchases of assets from a noncharitable exempt organization (3) Rental of facilities, equipment, or other assets (4) Reimbursement arrangements (5) Loans or loan guarantees (6) Performance of services or membership or fundraising solicitations c Sharing of facilities, equipment, mailing lists, other assets, or paid employees d If the answer to any of the above is "Yes," complete the following schedule

Table with 4 columns: (a) Line no, (b) Amount involved, (c) Name of noncharitable exempt organization, (d) Description of transfers, transactions, and sharing arrangements. Row 1 contains 'N/A' for (b) and (d).

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? Yes No

b If "Yes," complete the following schedule

Table with 3 columns: (a) Name of organization, (b) Type of organization, (c) Description of relationship.

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

Sign Here

Signature of officer or trustee: [Handwritten Signature] Date: 3/25/15 Title: Trustee

May the IRS discuss this return with the preparer shown below (see instructions)? Yes No

Paid Preparer Use Only

Print/Type preparer's name: BARBARA MOREA, Preparer's signature: [Handwritten Signature], Date: 3/12/15, Firm's name: MOREA FINANCIAL SERVICES, INC, Firm's address: 1500 BROADWAY SUITE 2801 NEW YORK, NY 10036, Firm's EIN: 13-3818186, Phone no: 212-608-1080

Schedule B

(Form 990, 990-EZ, or 990-PF)

Department of the Treasury
Internal Revenue Service

Schedule of Contributors

OMB No 1545-0047

2013

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.

▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at www.irs.gov/form990.

Name of the organization

HEISMAN TROPHY TRUST

Employer identification number

13-7052079

Organization type (check one)

Filers of:

Section:

Form 990 or 990-EZ

501(c)(3) (enter number) organization

4947(a)(1) nonexempt charitable trust **not** treated as a private foundation

527 political organization

Form 990-PF

501(c)(3) exempt private foundation

4947(a)(1) nonexempt charitable trust treated as a private foundation

501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule**.

Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions

General Rule

For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, \$5,000 or more (in money or property) from any one contributor. Complete Parts I and II

Special Rules

For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3 % support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of (1) \$5,000 or (2) 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than \$1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III

For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than \$1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of \$5,000 or more during the year ▶ \$ _____

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990, or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF)

Name of organization **HEISMAN TROPHY TRUST**

Employer identification number
13-7052079

Part I Contributors (see instructions) Use duplicate copies of Part I if additional space is needed

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	NEW YORK ATHLETIC CLUB 180 CENTRAL PARK SOUTH NEW YORK, NY 10006	\$ 5,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
2	KELLOGG COMPANY ONE KELLOGG SQUARE BATTLE CREEK, MI 49016	\$ 25,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
3	OMD 5353 GROSVENOR BOULEVARD LOS ANGELES, CA 90066	\$ 25,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
4	KELLOGG COMPANY ONE KELLOGG SQUARE BATTLE CREEK, MI 49016	\$ 40,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
5	ATLANTIC COAST CONFERENCE 4512 WEYBRIDGE LANE GREENBORO, NC 27407	\$ 5,000.	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)
		\$	Person <input type="checkbox"/> Payroll <input type="checkbox"/> Noncash <input type="checkbox"/> (Complete Part II for noncash contributions)

Name of organization **HEISMAN TROPHY TRUST**

Employer identification number

13-7052079

Part II Noncash Property (see instructions) Use duplicate copies of Part II if additional space is needed

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----
-----	----- ----- -----	\$ -----	-----

Name of organization **HEISMAN TROPHY TRUST**

Employer identification number

13-7052079

Part III **Exclusively religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than \$1,000 for the year.** Complete columns (a) through (e) and the following line entry
 For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of **\$1,000 or less** for the year (Enter this information once. See instructions.) ► \$ _____
 Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held
-----	----- ----- -----	----- ----- -----	----- ----- -----

(e) Transfer of gift	
Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee
----- ----- -----	----- ----- -----

ATTACHMENT 1

FORM 990PF, PART I - INTEREST ON TEMPORARY CASH INVESTMENTS

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
MONEY MARKET, BANK CD'S	27,129.	27,129.	27,129.
INVESTMENT ACCOUNT JPMORGAN	1,060.	1,060.	1,060.
TOTAL	<u>28,189.</u>	<u>28,189.</u>	<u>28,189.</u>

ATTACHMENT 2

FORM 990PF, PART I - DIVIDENDS AND INTEREST FROM SECURITIES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>
INVESTMENT ACCOUNT JPMORGAN	226,233.	226,233.	226,233.
TOTAL	<u>226,233.</u>	<u>226,233.</u>	<u>226,233.</u>

ATTACHMENT 3

FORM 990PF, PART I - OTHER INCOME

DESCRIPTION
 HEISMAN TROPHY ANNUAL DINNER
 REVENUE FROM LICENSING, MEDIA & SPONSORS
 MERCHANDISE SALES
 FORGIVENESS OF DEBT

REVENUE
 AND
 EXPENSES
PER BOOKS
 427,615.
 4,626,920.
 518.

TOTALS 5,055,053.

ADJUSTED
 NET
INCOME
 427,615.
 4,626,920.
 518.

5,055,053.

ATTACHMENT 4

FORM 990PF, PART I - LEGAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
LEGAL FEES	26,154.		26,154.	
TOTALS	<u>26,154.</u>		<u>26,154.</u>	

ATTACHMENT 5

FORM 990PF, PART I - ACCOUNTING FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>NET INVESTMENT INCOME</u>	<u>ADJUSTED NET INCOME</u>	<u>CHARITABLE PURPOSES</u>
ACCOUNTING FEES	43,053.		43,053.	
TOTALS	<u>43,053.</u>		<u>43,053.</u>	

ATTACHMENT 6

FORM 990PF, PART I - OTHER PROFESSIONAL FEES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>ADJUSTED NET INCOME</u>
OUTSIDE CONTRACTOR	223,500.	223,500.
TOTALS	<u>223,500.</u>	<u>223,500.</u>

FORM 990PF, PART I - INTEREST EXPENSE

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>
INTEREST PAID	
TOTALS	

ATTACHMENT 8

FORM 990PF, PART I - TAXES

<u>DESCRIPTION</u>	<u>REVENUE AND EXPENSES PER BOOKS</u>	<u>ADJUSTED NET INCOME</u>
FEDERAL INCOME TAX	4,271.	4,271.
STATE INCOME TAX	750.	750.
TOTALS	<u>5,021.</u>	<u>5,021.</u>

ATTACHMENT 9FORM 990PF, PART I - OTHER EXPENSES

DESCRIPTION	REVENUE AND EXPENSES PER BOOKS	NET INVESTMENT INCOME	ADJUSTED NET INCOME
HEISMAN TROPHY DINNER EXPENSES	640,238.		640,238.
BANK SERVICE CHARGES	43,926.	43,926.	43,926.
CUSTODY FEE JPMORGAN	4,584.		4,584.
DUES & SUBSCRIPTIONS	24,024.		24,024.
INSURANCE			
LITIGATION SETTLEMENT	10,422.		10,422.
OFFICE EXPENSES	3,790.		3,790.
POSTAGE & SHIPPING	3,245.		3,245.
STORAGE	3,281.		3,281.
TELEPHONE	13,725.		13,725.
WEB SITE			
TOTALS	<u>747,235.</u>	<u>43,926.</u>	<u>747,235.</u>

ATTACHMENT 10

FORM 990PF, PART II - PREPAID EXPENSES AND DEFERRED CHARGES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
PREPAID EVENT EXPENSES	233,575.	233,575.
PREPAID INSURANCE	10,663.	10,663.
OTHER PREPAID EXPENSES	26,445.	26,445.
TOTALS	<u>270,683.</u>	<u>270,683.</u>

FORM 990PF, PART II - CORPORATE STOCK

ATTACHMENT 11

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
JPMORGAN - US LARGE CAP EQUITY	2,370,786.	3,122,353.
JPMORGAN - US MID CAP EQUITY	624,399.	839,441.
JPMORGAN - EAFE EQUITY	2,276,640.	2,428,531.
JPMORGAN - ASIA EX JAPAN EQUIT	430,890.	468,119.
JPMORGAN - EMERGING MARKET EQU		
JPMORGAN - EURO LARGE CAP EQUI	186,071.	220,071.
JPMORGAN - GLOBAL EQUITY	112,510.	123,772.
JPMORGAN - JAPANESE LARGE CAP		
TOTALS	<u>6,001,296.</u>	<u>7,202,287.</u>

ATTACHMENT 12

FORM 990PF, PART II - CORPORATE BONDS

DESCRIPTION

	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
JPMORGAN - US FIXED INCOME	3,807,186.	3,807,795.
JPMORGAN - COMPLEMENTARY STRUC	61,416.	56,949.
JPMORGAN - FX & NON-USD FIXED		
TOTALS	<u>3,868,602.</u>	<u>3,864,744.</u>

LAND, BUILDINGS, EQUIPMENT NOT HELD FOR INVESTMENT

ATTACHMENT 13

ACCUMULATED DEPRECIATION DETAIL

FIXED ASSET DETAIL

ASSET DESCRIPTION	METHOD/ CLASS	FIXED ASSET DETAIL			ACCUMULATED DEPRECIATION DETAIL				
		BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE	BEGINNING BALANCE	ADDITIONS	DISPOSALS	ENDING BALANCE
COPIER USED	M5	3,739.			3,739.	3,739.			3,739.
LAPTOP	M5	1,463.			1,463.	1,463.			1,463.
NEW COMPUTER	M5	1,713.			1,713.	1,543.	170.		1,713.
NEW OFFICE PRINTER	M5	1,807.			1,807.	1,625.	181.		1,806.
6 CR CARD SWIPERS	M5	2,832.			2,832.	1,769.	566.		2,335.
WEB SOFTWARE UPGRA	M5	1,524.			1,524.	1,106.	305.		1,411.
DESKTOP COMPUTER	M5	1,272.			1,272.	921.	254.		1,175.
NEW LAPTOP	M5	2,023.			2,023.	1,012.	405.		1,417.
OFFICE FURNITURE	M7D	49,642.			49,642.	15,775.	4,840.		20,615
NEW LAPTOP RW	M5	2,403.			2,403.	1,250.	461.		1,711.
EXTERNAL HARD DRIV	M5	162.			162.	84.	31.		115.
OFFICE FURNITURE	M7D	15,667.			15,667.	4,675.	2,355.		7,030.
NEW PHONE SYSTEM	M5		3,552.		3,552.		355.		355.
TOTALS		<u>84,247.</u>			<u>87,799.</u>	<u>34,962.</u>			<u>44,895.</u>

ATTACHMENT 14

FORM 990PF, PART II - OTHER ASSETS

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>	<u>ENDING FMV</u>
RENT SECURITY DEPOSIT LITIGATION SETTLEMENT RECEIVA	15,000.	15,000.
TOTALS	<u>15,000.</u>	<u>15,000.</u>

ATTACHMENT 15FORM 990PF, PART II - DEFERRED REVENUE

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
DEFERRED EVENT REVENUE	90,067.
DEFERRED REVENUE	326,250.
DEFERRED RENT	35,085.
TOTALS	<u>451,402.</u>

ATTACHMENT 16

FORM 990PF, PART II - OTHER LIABILITIES

<u>DESCRIPTION</u>	<u>ENDING BOOK VALUE</u>
GRANTS PAYABLE PER CONTRACTS	100,000.
TOTALS	<u>100,000.</u>

ATTACHMENT 17

FORM 990PF, PART III - OTHER INCREASES IN NET WORTH OR FUND BALANCES

DESCRIPTION

AMOUNT

ADJUSTMENT TO OPENING BALANCE

TOTAL

FORM 990PF - COMPUTATION OF PENALTIES AND INTEREST

END OF FISCAL/CALENDAR YEAR	10/30/2014
DATE RETURN IS DUE IF ON EXTENSION	
DATE RETURN WILL BE RECEIVED BY THE IRS	04/15/2015
NUMBER OF DAYS RETURN IS LATE	30
NUMBER OF MONTHS RETURN IS LATE	
LATE FILING PENALTY	
LATE PAYMENT PENALTY	
INTEREST	30.
TOTAL PENALTIES AND INTEREST	30.

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEESATTACHMENT 19

<u>NAME AND ADDRESS</u>	<u>TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION</u>	<u>COMPENSATION</u>	<u>CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS</u>	<u>EXPENSE ACCT AND OTHER ALLOWANCES</u>
WILLIAM J. DOCKERY C/O LAW OFFICE OF WILLIAM J DOCKERY 17 BATTERY PLACE, STE 1226 NEW YORK, NY 10004	PRESIDENT 5.00	0	0	0
MICHAEL COMERFORD, ESQ. C/O COMERFORD & DOUGHERTY 1122 FRANKLIN AVE., STE 406 GARDEN CITY, NY 11530	1.00	0	0	0
JAMES CORCORAN C/O MORGAN STANLEY 1211 AVENUE OF THE AMERICAS, 34TH NEW YORK, NY 10036	1.00	0	0	0
ANNE FITZPATRICK-DONAHUE C/O US BANK 461 FIFTH AVE., 7TH FL NEW YORK, NY 10017	1.00	0	0	0
N. RICHARD KALIKOW MANCHESTER REAL ESTATE&CONSTRUCTION 350 MADISON AVE., STE 20B NEW YORK, NY 10017	1.00	0	0	0

FORM 990PF, PART VIII - LIST OF OFFICERS, DIRECTORS, AND TRUSTEES

ATTACHMENT 19 (CONT'D)

NAME AND ADDRESS	TITLE AND AVERAGE HOURS PER WEEK DEVOTED TO POSITION	COMPENSATION	CONTRIBUTIONS TO EMPLOYEE BENEFIT PLANS	EXPENSE ACCT AND OTHER ALLOWANCES
VASILI KRISHNAMURTI C/O PENN INTERMODAL LEASING, STE W5 PRESIDENTIAL BLVD. BALA CYNWYD, PA 19004	1.00	0	0	0
BRIAN OBERGFELL C/O EMMET, MARVIN & MARTIN, LLP 120 BROADWAY, 32ND FL NEW YORK, NY 10271	1.00	0	0	0
CAROL PISANO C/O MCELROY, DEUTSCH, MULVANEY 88 PINE STREET, 24TH FL NEW YORK, NY 10006	1.00	0	0	0
SANFORD WURMFELD 18 WARREN STREET NEW YORK, NY 10007	1.00	0	0	0
GRAND TOTALS		0	0	0

990PF, PART VIII- COMPENSATION OF THE FIVE HIGHEST PAID PROFESSIONALSATTACHMENT 20

<u>NAME AND ADDRESS</u>	<u>TYPE OF SERVICE</u>	<u>COMPENSATION</u>
VAN WAGNER SPORTS GROUP LLC 800 3RD AVENUE, 28TH FL NEW YORK, NY 10022		206,850.
	TOTAL COMPENSATION	<u>206,850.</u>

FORM 990-PF, PART XVI-A - ANALYSIS OF OTHER REVENUE

ATTACHMENT 21

<u>DESCRIPTION</u>	<u>BUSINESS CODE</u>	<u>AMOUNT</u>	<u>EXCLUSION CODE</u>	<u>AMOUNT</u>	<u>RELATED OR EXEMPT FUNCTION INCOME</u>
HEISMAN TROPHY ANNUAL DINNER			07	427,615.	
REVENUE FROM LICENCING, MEDIA & SPONSORS			07	4,626,920.	
MISCELLANEOUS OTHER REVENUE			07	518.	
TOTALS				<u>5,055,053.</u>	

**SCHEDULE D
(Form 1041)**

Capital Gains and Losses

OMB No 1545-0092

Department of the Treasury
Internal Revenue Service

▶ Attach to Form 1041, Form 5227, or Form 990-T.
▶ Use Form 8949 to list your transactions for lines 1b, 2, 3, 8b, 9 and 10.
▶ Information about Schedule D and its separate instructions is at www.irs.gov/form1041.

2013

Name of estate or trust HEISMAN TROPHY TRUST	Employer identification number 13-7052079
--	---

Note: Form 5227 filers need to complete *only* Parts I and II

Part I Short-Term Capital Gains and Losses - Assets Held One Year or Less

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part I, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
1a Totals for all short-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 1b				
1b Totals for all transactions reported on Form(s) 8949 with Box A checked		16,011.		-16,011.
2 Totals for all transactions reported on Form(s) 8949 with Box B checked				
3 Totals for all transactions reported on Form(s) 8949 with Box C checked				
4 Short-term capital gain or (loss) from Forms 4684, 6252, 6781, and 8824				4
5 Net short-term gain or (loss) from partnerships, S corporations, and other estates or trusts				5
6 Short-term capital loss carryover Enter the amount, if any, from line 9 of the 2012 Capital Loss Carryover Worksheet				6 ()
7 Net short-term capital gain or (loss). Combine lines 1a through 6 in column (h) Enter here and on line 17, column (3) on the back ▶				7 -16,011.

Part II Long-Term Capital Gains and Losses - Assets Held More Than One Year

See instructions for how to figure the amounts to enter on the lines below This form may be easier to complete if you round off cents to whole dollars	(d) Proceeds (sales price)	(e) Cost (or other basis)	(g) Adjustments to gain or loss from Form(s) 8949, Part II, line 2, column (g)	(h) Gain or (loss) Subtract column (e) from column (d) and combine the result with column (g)
8a Totals for all long-term transactions reported on Form 1099-B for which basis was reported to the IRS and for which you have no adjustments (see instructions) However, if you choose to report all these transactions on Form 8949, leave this line blank and go to line 8b				
8b Totals for all transactions reported on Form(s) 8949 with Box D checked	126,611.			126,611.
9 Totals for all transactions reported on Form(s) 8949 with Box E checked				
10 Totals for all transactions reported on Form(s) 8949 with Box F checked				
11 Long-term capital gain or (loss) from Forms 2439, 4684, 6252, 6781, and 8824				11
12 Net long-term gain or (loss) from partnerships, S corporations, and other estates or trusts.				12
13 Capital gain distributions.				13 104,516.
14 Gain from Form 4797, Part I.				14
15 Long-term capital loss carryover Enter the amount, if any, from line 14 of the 2012 Capital Loss Carryover Worksheet				15 ()
16 Net long-term capital gain or (loss). Combine lines 8a through 15 in column (h) Enter here and on line 18a, column (3) on the back ▶				16 231,127.

For Paperwork Reduction Act Notice, see the Instructions for Form 1041.

Schedule D (Form 1041) 2013

Part III Summary of Parts I and II		(1) Beneficiaries' (see instr)	(2) Estate's or trust's	(3) Total
Caution: Read the instructions before completing this part				
17	Net short-term gain or (loss)	17		-16,011.
18	Net long-term gain or (loss):			
a	Total for year	18a		231,127.
b	Unrecaptured section 1250 gain (see line 18 of the wrksht)	18b		
c	28% rate gain	18c		
19	Total net gain or (loss). Combine lines 17 and 18a. ▶	19		215,116.

Note: If line 19, column (3), is a net gain, enter the gain on Form 1041, line 4 (or Form 990-T, Part I, line 4a) If lines 18a and 19, column (2), are net gains, go to Part V, and do not complete Part IV If line 19, column (3), is a net loss, complete Part IV and the **Capital Loss Carryover Worksheet**, as necessary

Part IV Capital Loss Limitation

20	Enter here and enter as a (loss) on Form 1041, line 4 (or Form 990-T, Part I, line 4c, if a trust), the smaller of a The loss on line 19, column (3) or b \$3,000	20	()
-----------	---	-----------	-----

Note: If the loss on line 19, column (3), is more than \$3,000, or if Form 1041, page 1, line 22 (or Form 990-T, line 34), is a loss, complete the **Capital Loss Carryover Worksheet** in the instructions to figure your capital loss carryover

Part V Tax Computation Using Maximum Capital Gains Rates

Form 1041 filers. Complete this part **only** if both lines 18a and 19 in column (2) are gains, or an amount is entered in Part I or Part II and there is an entry on Form 1041, line 2b(2), and Form 1041, line 22, is more than zero

Caution: Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if

- Either line 18b, col (2) or line 18c, col (2) is more than zero, or
- Both Form 1041, line 2b(1), and Form 4952, line 4g are more than zero

Form 990-T trusts. Complete this part **only** if both lines 18a and 19 are gains, or qualified dividends are included in income in Part I of Form 990-T, and Form 990-T, line 34, is more than zero Skip this part and complete the **Schedule D Tax Worksheet** in the instructions if either line 18b, col (2) or line 18c, col (2) is more than zero

21	Enter taxable income from Form 1041, line 22 (or Form 990-T, line 34).	21		
22	Enter the smaller of line 18a or 19 in column (2) but not less than zero	22		
23	Enter the estate's or trust's qualified dividends from Form 1041, line 2b(2) (or enter the qualified dividends included in income in Part I of Form 990-T)	23		
24	Add lines 22 and 23	24		
25	If the estate or trust is filing Form 4952, enter the amount from line 4g, otherwise, enter -0- . . . ▶	25		
26	Subtract line 25 from line 24 If zero or less, enter -0-	26		
27	Subtract line 26 from line 21 If zero or less, enter -0-	27		
28	Enter the smaller of the amount on line 21 or \$2,450	28		
29	Enter the smaller of the amount on line 27 or line 28	29		
30	Subtract line 29 from line 28 If zero or less, enter -0- This amount is taxed at 0% ▶	30		
31	Enter the smaller of line 21 or line 26	31		
32	Subtract line 30 from line 26	32		
33	Enter the smaller of line 21 or \$11,950	33		
34	Add lines 27 and 30	34		
35	Subtract line 34 from line 33 If zero or less, enter -0-	35		
36	Enter the smaller of line 32 or line 35	36		
37	Multiply line 36 by 15% ▶	37		
38	Enter the amount from line 31	38		
39	Add lines 30 and 36	39		
40	Subtract line 39 from line 38 If zero or less, enter -0-	40		
41	Multiply line 40 by 20% ▶	41		
42	Figure the tax on the amount on line 27 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	42		
43	Add lines 37, 41, and 42	43		
44	Figure the tax on the amount on line 21 Use the 2013 Tax Rate Schedule for Estates and Trusts (see the Schedule G instructions in the instructions for Form 1041)	44		
45	Tax on all taxable income. Enter the smaller of line 43 or line 44 here and on Form 1041, Schedule G, line 1a (or Form 990-T, line 36) ▶	45		

Heisman Trophy Trust		EIN 13-7052079		Schedule of Capital Gains & Losses		Nov. 1, 2013 thru Oct. 31, 2014							
Number of Shares	Name of Investment	Date Sold	Date Purchased	Proceeds	Cost	ST Gain/(Loss)	LT Gain/(Loss)						
80000.000	GS BREN EAFE 11/20/2013 10% BUFFER	11/20/2013	11/15/2012	\$ 93,232.00	\$ 80,000.00	\$	\$ 13,232.00						
57966.190	DOUBLE LINE TOTAL RETURN BD-1	12/3/2013	VARIOUS	\$ 631,251.81	\$ 650,075.75	\$ (85.60)	\$ (18,738.34)						
85000.000	JPM BREN EAFE	1/10/2014	12/15/2012	\$ 98,005.00	\$ 85,000.00	\$	\$ 13,005.00						
6589.442	DREYFUS EMG MKT DEBT LOC C1	1/16/2014	VARIOUS	\$ 90,275.36	\$ 95,811.96	\$ (4,570.68)	\$ (965.92)						
6684.862	ABERDEEN ASIA PAC-PAX XJ-IND	2/5/2014	VARIOUS	\$ 71,795.42	\$ 80,619.43	\$ (8,824.01)	\$						
7263.930	DELAWARE EMERGING MARKET FD	2/5/2014	VARIOUS	\$ 108,741.03	\$ 106,907.16	\$	\$ 936.56						
3799.363	DREYFUS EMG MKT DEBT LOOC C1	2/5/2014	6/15/2011	\$ 50,493.53	\$ 54,862.80	\$	\$ (4,369.27)						
5375.562	JP, CHINA REGION FD	3/10/2014	7/15/2013	\$ 110,951.60	\$ 98,104.00	\$ 12,847.60	\$						
80000.000	DB MARKET PLUS SXSE 3/19/14	3/19/2014	9/15/2012	\$ 92,644.28	\$ 80,000.00	\$	\$ 12,644.28						
1822.549	JPM LARGE CAP GROWTH FD	6/2/2014	VARIOUS	\$ 58,576.72	\$ 34,992.94	\$	\$ 23,583.78						
27143.127	JPM HIGH YIELD FUND	6/2/2014	VARIOUS	\$ 220,945.05	\$ 219,629.26	\$	\$ 1,315.79						
58199.214	EATON VANCE FLOATING RATE	6/9/2014	VARIOUS	\$ 532,522.81	\$ 527,550.24	\$ (339.43)	\$ 5,312.00						
150000.000	JPM REN MXEA 6/25/2014	6/25/2014	6/15/2013	\$ 183,150.00	\$ 150,000.00	\$	\$ 33,150.00						
135000.000	CS LEV CONT BUFF EQ MXEA 9/4/14	9/4/2014	8/15/2013	\$ 156,600.00	\$ 135,000.00	\$	\$ 21,600.00						
165000.000	JPM REN SPX 9/4/14	9/4/2014	8/15/2013	\$ 190,905.00	\$ 165,000.00	\$	\$ 25,905.00						
3747.000	VANGUARD FTSE EUROPE ETF	10/8/2014	VARIOUS	\$ 199,996.28	\$ 215,932.82	\$ (15,936.54)	\$						
TOTALS				\$ 2,890,085.89	\$ 2,779,486.36	\$ (16,011.35)	\$ 126,610.88						

**FORM 990-PF - PART IV
CAPITAL GAINS AND LOSSES FOR TAX ON INVESTMENT INCOME**

Kind of Property		Description				P or D	Date acquired	Date sold
Gross sale price less expenses of sale	Depreciation allowed/ allowable	Cost or other basis	FMV as of 12/31/69	Adj basis as of 12/31/69	Excess of FMV over adj basis		Gain or (loss)	
		TOTAL CAPITAL GAIN DISTRIBUTIONS					104,516.	
		ST LOSS AT JPMORGAN A40177007 SCHEDULE A PROPERTY TYPE: SECURITIES 16,011.				P	VARIOUS -16,011.	VARIOUS
126,611.		LT GAIN AT JPMORGAN A40177007 SCHEDULE A PROPERTY TYPE: SECURITIES				P	VARIOUS 126,611.	VARIOUS
TOTAL GAIN (LOSS)							<u>215,116.</u>	

Heisman Trophy Trust

EIN 13-7052079

Grants Paid

November 2013 - October 2014

Date	Name	Amount
11/14/2013	Desire St Ministries (Use Vendor Only)	500 00
11/14/2013	Child Abuse Prevention Program	5,000 00
11/30/2013	Cookies For Kids Cancer	2,399 00
12/05/2013	DonorsChoose org	40,850 00
12/05/2013	David Robinson Foundation	50,000 00
01/02/2014	Trustees of the University of Pennsylvania	7,500 00
01/16/2014	Child Abuse Prevention Program	50,000 00
02/03/2014	St. Anthony's High School	1,000 00
03/04/2014	United Cerebral Palsy of New York City	25,000 00
03/04/2014	The Marty Lyons Foundation	25,000 00
03/18/2014	Manchester Public Schools	22,000 00
03/18/2014	Boys and Girls Club of Paterson an	15,000 00
03/18/2014	America Scores New York	15,000 00
03/18/2014	Chess-In-The-Schools, Inc	10,000 00
03/18/2014	Brooklyn Boatworks	25,000 00
03/18/2014	Madison Square Boys and Girls Club	35,000 00
03/18/2014	Figure Skating in Harlem	30,000 00
03/18/2014	Israel Community Service Program, Inc	30,000 00
03/18/2014	United Cerebral Palsy of Greater Hartford	25,000 00
04/01/2014	Dallas Area Habitat for Humanity	25,000 00
04/03/2014	The V Foundation for Cancer Research	5,000 00
04/10/2014	Write On Sports	10,000 00
04/10/2014	Economic Opportunity Program	10,000 00
04/10/2014	College Sports Information Directors Association	5,000 00
04/10/2014	The Bloomingdale Family Program, Inc.	18,000 00
04/10/2014	Achilles International	40,000 00
04/10/2014	Row New York	30,000 00
04/10/2014	The Peter Westbrook Foundation	26,000 00
04/10/2014	Street Squash	25,000 00
04/22/2014	Ronald McDonald House of NYC, Inc	10,000 00
05/06/2014	LUNGEvity Foundation	500 00
05/19/2014	New Alternatives for Children, Inc	20,000 00
05/19/2014	DonorsChoose org	10,400 00
05/19/2014	St Joseph High School	10,000 00
05/19/2014	The Doug Flutie Jr Foundation for Autism	5,000 00
05/19/2014	Summerhill Community Ministries, Inc	20,000 00
05/19/2014	East Hartford Public Schools	20,000 00
05/19/2014	Summerhill Community Ministries, Inc	20,000.00
05/19/2014	Say Yes to Education	25,000 00
05/19/2014	Uplifting Athletes	25,000 00
05/19/2014	Disable Sports USA	50,000 00
06/11/2014	George Rogers Foundation of the Carolinas, Inc	3,000.00
07/09/2014	Yonkers Partners in Education	10,000 00
07/09/2014	Pacific Islands Athletic Alliance	10,000 00
07/09/2014	The Faith and Family Foundation	9,415 00
07/09/2014	Special Olympics Colorado	5,000 00
07/09/2014	Wendy Hilliard Foundation	10,000 00
07/09/2014	Brooklyn Youth Sports Club, Inc	50,000 00
07/09/2014	Harlem RBI	30,000 00
07/09/2014	Snack and Friends, Inc.	25,000 00
07/09/2014	Red Hook Initiative	25,000 00
07/09/2014	LUCY Outreach	20,000 00
07/09/2014	PALS Program	10,000 00
07/09/2014	Independent Group Home Living	15,000 00
07/09/2014	Sports Legacy Institute	15,000 00
07/09/2014	Tim Tebow Foundation	20,000 00

07/17/2014	Washington HS Quarterback Club	3,000 00
09/19/2014	Desire Street Ministries	25,000 00
09/19/2014	Jubilee Park & Community Center	15,000 00
09/19/2014	VFW Post 4321	1,000 00
09/23/2014	DonorsChoose org	12,015 00
10/07/2014	Wellness in the Schools	18,000 00
10/16/2014	The V Foundation for Cancer Res	1,000 00
10/16/2014	Quality Life Center of SW FL, Inc	5,000 00
10/16/2014	ALS Therapy Development Institute	10,000 00
10/16/2014	Manhattan Youth Recreation and Resources,	10,000 00
10/16/2014	DOMUS Kids of Stamford, CT	25,000 00
10/16/2014	Boys and Girls Clubs Of Northern Westchester	30,000 00
03/18/2014	Molloy College	15,000 00
06/04/2014	Point Lookout Little League	10,000 00
06/11/2014	Row New York	5,000 00
06/11/2014	Stonehill College	3,000 00
06/11/2014	Dancing Classrooms	6,000 00
06/11/2014	Figure Skating in Harlem	5,000 00
07/09/2014	Summerhill Community Ministries, Inc	5,000 00
07/09/2014	Rusty Staub Foundation	5,000 00
07/09/2014	Rusty Staub Foundation	5,000 00
07/09/2014	YIVO Institute for Jewish Research	5,000 00
07/17/2014	Washington HS Quarterback Club	3,000 00
09/19/2014	United Way of Central & Northeastern CT	5,000 00
09/19/2014	Winterkids Inc	5,000 00
09/19/2014	Point Lookout Little League	4,500 00
09/19/2014	Special Friend Foundation, Inc.	2,500 00
09/19/2014	P.S 130 Parkside PTA, Inc	7,500 00
10/07/2014	Dancing Classrooms	6,000 00
10/07/2014	USO	5,000 00
10/07/2014	Row New York	5,000 00
10/07/2014	Figure Skating in Harlem	5,000 00
10/07/2014	The International Rescue Committee	5,000 00
10/07/2014	Weill Cornell Medical College	5,000 00
10/07/2014	Believe in Kids, Inc	5,000 00
10/07/2014	Cardinal Shehan Center	5,000 00
10/07/2014	The International Rescue Committee	4,000 00
10/07/2014	United Cerebral Palsy of New York City	15,000 00
10/16/2014	Lupus Foundation of America	1,000 00
10/16/2014	Saco Food Pantry, Inc.	5,000 00
10/16/2014	Melanoma Research Foundation	5,000 00
10/16/2014	Wolf Run Village, Inc.	7,000 00
10/16/2014	TriArts Sharon Playhouse	7,000 00
10/30/2014	Snack and Friends, Inc	2,000 00
04/10/2014	Misericordia Home	2,500 00
04/10/2014	Archie & Bonita Griffin Foundation	5,000 00
04/10/2014	Sister Visitor Program	3,000 00
04/10/2014	Standing Tall Charitable Foundation	2,500 00
04/17/2014	Boys & Girls Club of S Cen Kan	3,000 00
04/21/2014	Johnny Rodgers Youth Foundation	5,000 00
04/21/2014	Billy Sims Foundation, Inc	5,000.00
04/23/2014	Winchester Sports Foundation	3,000 00
04/23/2014	Marine Corps Scholarship Foundation	5,000 00
04/23/2014	Desire St Ministries (Use Vendor Only)	3,000 00
05/12/2014	Loyola High School	3,000 00
05/12/2014	Westbury Christian School	3,000 00
05/12/2014	Epworth Children's Home	3,000 00
05/12/2014	Regional Food Bank of Oklahoma	5,000 00
05/13/2014	P.I.L.O.T. Services, Inc	5,000 00
05/13/2014	George Rogers Foundation of the Carolinas, Inc	5,000.00
05/20/2014	Ara Parseghian Foundation	3,000 00
05/20/2014	Future Foundations Family Center	5,000.00
05/20/2014	The Torretta Foundation	5,000 00

05/21/2014	The Doug Flutie Jr Foundation for Autism	5,000 00
06/11/2014	Mustang Booster Club	5,000 00
08/18/2014	Allies in Service	3,000 00
09/19/2014	Hospice Brazos Valley	5,000 00
09/19/2014	IMG Student Athlete Foundation	5,000 00
		1,512,079.00

Depreciation and Amortization
(Including Information on Listed Property)

Department of the Treasury
Internal Revenue Service (99)

▶ See separate instructions.

▶ Attach to your tax return.

Attachment
Sequence No **179**

Name(s) shown on return

HEISMAN TROPHY TRUST

Identifying number

13-7052079

Business or activity to which this form relates

GENERAL DEPRECIATION

Part I Election To Expense Certain Property Under Section 179

Note: If you have any listed property, complete Part V before you complete Part I.

1	Maximum amount (see instructions)	1	
2	Total cost of section 179 property placed in service (see instructions)	2	
3	Threshold cost of section 179 property before reduction in limitation (see instructions)	3	
4	Reduction in limitation Subtract line 3 from line 2. If zero or less, enter -0-	4	
5	Dollar limitation for tax year Subtract line 4 from line 1. If zero or less, enter -0-. If married filing separately, see instructions	5	
6	(a) Description of property	(b) Cost (business use only)	(c) Elected cost
7	Listed property Enter the amount from line 29	7	
8	Total elected cost of section 179 property Add amounts in column (c), lines 6 and 7	8	
9	Tentative deduction Enter the smaller of line 5 or line 8	9	
10	Carryover of disallowed deduction from line 13 of your 2012 Form 4562	10	
11	Business income limitation Enter the smaller of business income (not less than zero) or line 5 (see instructions)	11	
12	Section 179 expense deduction Add lines 9 and 10, but do not enter more than line 11	12	
13	Carryover of disallowed deduction to 2014 Add lines 9 and 10, less line 12	13	

Note: Do not use Part II or Part III below for listed property. Instead, use Part V.

Part II Special Depreciation Allowance and Other Depreciation (Do not include listed property) (See instructions)

14	Special depreciation allowance for qualified property (other than listed property) placed in service during the tax year (see instructions)	14	
15	Property subject to section 168(f)(1) election	15	
16	Other depreciation (including ACRS)	16	

Part III MACRS Depreciation (Do not include listed property) (See instructions)

Section A

17	MACRS deductions for assets placed in service in tax years beginning before 2013	17	9,568.
18	If you are electing to group any assets placed in service during the tax year into one or more general asset accounts, check here.		

Section B - Assets Placed in Service During 2013 Tax Year Using the General Depreciation System

(a) Classification of property	(b) Month and year placed in service	(c) Basis for depreciation (business/investment use only - see instructions)	(d) Recovery period	(e) Convention	(f) Method	(g) Depreciation deduction
19a 3-year property	SEE					
b 5-year property	DETAIL	3,552.	5.000	HY	200DB	355.
c 7-year property						
d 10-year property						
e 15-year property						
f 20-year property						
g 25-year property			25 yrs		S/L	
h Residential rental property			27 5 yrs	MM	S/L	
i Nonresidential real property			27 5 yrs	MM	S/L	
			39 yrs	MM	S/L	
				MM	S/L	

Section C - Assets Placed in Service During 2013 Tax Year Using the Alternative Depreciation System

20a Class life					S/L	
b 12-year			12 yrs		S/L	
c 40-year			40 yrs	MM	S/L	

Part IV Summary (See instructions)

21	Listed property Enter amount from line 28	21	
22	Total. Add amounts from line 12, lines 14 through 17, lines 19 and 20 in column (g), and line 21 Enter here and on the appropriate lines of your return Partnerships and S corporations - see instructions	22	9,923.
23	For assets shown above and placed in service during the current year, enter the portion of the basis attributable to section 263A costs	23	

Part V Listed Property (Include automobiles, certain other vehicles, certain computers, and property used for entertainment, recreation, or amusement)

Note: For any vehicle for which you are using the standard mileage rate or deducting lease expense, complete only 24a, 24b, columns (a) through (c) of Section A, all of Section B, and Section C if applicable

Section A - Depreciation and Other Information (Caution: See the instructions for limits for passenger automobiles)

24a Do you have evidence to support the business/investment use claimed? Yes No 24b If "Yes," is the evidence written? Yes No
(a) Type of property (list vehicles first) (b) Date placed in service (c) Business/investment use percentage (d) Cost or other basis (e) Basis for depreciation (business/investment use only) (f) Recovery period (g) Method/Convention (h) Depreciation deduction (i) Elected section 179 cost
25 Special depreciation allowance for qualified listed property placed in service during the tax year and used more than 50% in a qualified business use (see instructions) 25
26 Property used more than 50% in a qualified business use
27 Property used 50% or less in a qualified business use
28 Add amounts in column (h), lines 25 through 27 Enter here and on line 21, page 1. 28
29 Add amounts in column (i), line 26 Enter here and on line 7, page 1. 29

Section B - Information on Use of Vehicles

Complete this section for vehicles used by a sole proprietor, partner, or other "more than 5% owner," or related person. If you provided vehicles to your employees, first answer the questions in Section C to see if you meet an exception to completing this section for those vehicles

30 Total business/investment miles driven during the year (do not include commuting miles)
31 Total commuting miles driven during the year
32 Total other personal (noncommuting) miles driven
33 Total miles driven during the year Add lines 30 through 32
34 Was the vehicle available for personal use during off-duty hours?
35 Was the vehicle used primarily by a more than 5% owner or related person?
36 Is another vehicle available for personal use?

Section C - Questions for Employers Who Provide Vehicles for Use by Their Employees

Answer these questions to determine if you meet an exception to completing Section B for vehicles used by employees who are not more than 5% owners or related persons (see instructions)

37 Do you maintain a written policy statement that prohibits all personal use of vehicles, including commuting, by your employees?
38 Do you maintain a written policy statement that prohibits personal use of vehicles, except commuting, by your employees? See the instructions for vehicles used by corporate officers, directors, or 1% or more owners
39 Do you treat all use of vehicles by employees as personal use?
40 Do you provide more than five vehicles to your employees, obtain information from your employees about the use of the vehicles, and retain the information received?
41 Do you meet the requirements concerning qualified automobile demonstration use? (See instructions)
Note: If your answer to 37, 38, 39, 40, or 41 is "Yes," do not complete Section B for the covered vehicles

Part VI Amortization

(a) Description of costs (b) Date amortization begins (c) Amortizable amount (d) Code section (e) Amortization period or percentage (f) Amortization for this year
42 Amortization of costs that begins during your 2013 tax year (see instructions)
43 Amortization of costs that began before your 2013 tax year 43
44 Total. Add amounts in column (f) See the instructions for where to report. 44

HEISMAN TROPHY TRUST

Description of Property
GENERAL DEPRECIATION

DEPRECIATION

Asset description	Date placed in service	Unadjusted Cost or basis	Bus %	179 exp reduction in basis	Basis Reduction	Basis for depreciation	Beginning Accumulated depreciation	Ending Accumulated depreciation	Me-thod	Conv	Life	ACRS class	MA CRS class	Current-year 179 expense	Current-year depreciation
COPIER USED	03/21/2008	3,739.	100.000			3,739.	3,739.	3,739.	SL	HY	5.000	5			
LAPTOP	10/07/2008	1,463.	100.000			1,463.	1,463.	1,463.	SL	HY	5.000	5			
NEW COMPUTER	11/12/2008	1,713.	100.000			1,713.	1,543.	1,713.	SL	HY	5.000	5			170.
NEW OFFICE PRINTER	05/12/2009	1,807.	100.000			1,807.	1,625.	1,806.	SL	HY	5.000	5			181.
6 CR CARD SWIPERS	09/09/2010	2,832.	100.000			2,832.	1,769.	2,335.	SL	MQ	5.000	5			566.
WEB SOFTWARE UPGRA	04/01/2010	1,524.	100.000			1,524.	1,106.	1,411.	SL	MQ	5.000	5			305.
DESKTOP COMPUTER	04/01/2010	1,272.	100.000			1,272.	921.	1,175.	SL	MQ	5.000	5			254.
NEW LAPTOP	07/26/2011	2,023.	100.000			2,023.	1,012.	1,417.	SL	HY	5.000	5			405.
OFFICE FURNITURE	03/31/2011	49,642.	100.000			49,642.	15,775.	20,615.	150DB	HY	10.500	7			4,840.
NEW LAPTOP RW	02/02/2012	2,403.	100.000			2,403.	1,250.	1,711.	200DB	HY		5			461.
EXTERNAL HARD DRIV	10/01/2012	162.	100.000			162.	84.	115.	200DB	HY		5			31.
OFFICE FURNITURE	04/30/2012	15,667.	100.000			15,667.	4,675.	7,030.	150DB	HY		7			2,355.
NEW PHONE SYSTEM	06/30/2014	3,552.	100.000			3,552.		355.	SL	HY	5.000	5			355.
Less Retired Assets															
Subtotals		87,799.				87,799.	34,962.	44,885.							9,923.

Listed Property

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
Less Retired Assets							
Subtotals		87,799.	34,962.	44,885.			9,923.

AMORTIZATION

Asset description	Date placed in service	Cost or basis	Accumulated amortization	Ending Accumulated amortization	Code	Life	Current-year amortization
Less Retired Assets							
Subtotals		87,799.	34,962.	44,885.			9,923.

TOTALS

*Assets Retired
JSA
3X9024 1 000

Name(s) shown on return (Name and SSN or taxpayer identification no. not required if shown on other side)
HEISMAN TROPHY TRUST

Social security number or taxpayer identification number
13-7052079

Most brokers issue their own substitute statement instead of using Form 1099-B. They also may provide basis information (usually your cost) to you on the statement even if it is not reported to the IRS. Before you check Box D, E, or F below, determine whether you received any statement(s) and, if so, the transactions for which basis was reported to the IRS. Brokers are required to report basis to the IRS for most stock you bought in 2011 or later.

Part II Long-Term. Transactions involving capital assets you held more than one year are long term. For short-term transactions, see page 1.

Note. You may aggregate all long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS and for which no adjustments or codes are required. Enter the total directly on Schedule D, line 8a, you are not required to report these transactions on Form 8949 (see instructions).

You must check Box D, E, or F below. Check only one box. If more than one box applies for your long-term transactions, complete a separate Form 8949, page 2, for each applicable box. If you have more long-term transactions than will fit on this page for one or more of the boxes, complete as many forms with the same box checked as you need.

- (D) Long-term transactions reported on Form(s) 1099-B showing basis was reported to the IRS (see **Note** above)
- (E) Long-term transactions reported on Form(s) 1099-B showing basis was **not** reported to the IRS
- (F) Long-term transactions not reported to you on Form 1099-B

1	(a) Description of property (Example: 100 sh XYZ Co)	(b) Date acquired (Mo., day, yr.)	(c) Date sold or disposed (Mo., day, yr.)	(d) Proceeds (sales price) (see instructions)	(e) Cost or other basis. See the Note below and see Column (e) in the separate instructions	Adjustment, if any, to gain or loss. If you enter an amount in column (g), enter a code in column (f). See the separate instructions		(h) Gain or (loss). Subtract column (e) from column (d) and combine the result with column (g)
						(f) Code(s) from instructions	(g) Amount of adjustment	
	LT GAIN AT JPMORGAN A40177007 SCHEDULE	VARIOUS	VARIOUS	126,611.				126,611.
2 Totals. Add the amounts in columns (d), (e), (g), and (h) (subtract negative amounts). Enter each total here and include on your Schedule D, line 8b (if Box D above is checked), line 9 (if Box E above is checked), or line 10 (if Box F above is checked) ▶				126,611.				126,611.

Note. If you checked Box D above but the basis reported to the IRS was incorrect, enter in column (e) the basis as reported to the IRS, and enter an adjustment in column (g) to correct the basis. See Column (g) in the separate instructions for how to figure the amount of the adjustment.

Application for Extension of Time To File an Exempt Organization Return

Department of the Treasury
Internal Revenue Service

File a separate application for each return.
Information about Form 8868 and its instructions is at www.irs.gov/form8868.

- If you are filing for an **Automatic 3-Month Extension**, complete only **Part I** and check this box
 - If you are filing for an **Additional (Not Automatic) 3-Month Extension**, complete only **Part II** (on page 2 of this form)
- Do not complete Part II unless** you have already been granted an automatic 3-month extension on a previously filed Form 8868

Electronic filing (e-file). You can electronically file Form 8868 if you need a 3-month automatic extension of time to file (6 months for a corporation required to file Form 990-T), or an additional (not automatic) 3-month extension of time. You can electronically file Form 8868 to request an extension of time to file any of the forms listed in Part I or Part II with the exception of Form 8870, Information Return for Transfers Associated With Certain Personal Benefit Contracts, which must be sent to the IRS in paper format (see instructions). For more details on the electronic filing of this form, visit www.irs.gov/efile and click on *e-file for Charities & Nonprofits*

Part I Automatic 3-Month Extension of Time. Only submit original (no copies needed).

A corporation required to file Form 990-T and requesting an automatic 6-month extension - check this box and complete Part I only

All other corporations (including 1120-C filers), partnerships, REMCs, and trusts must use Form 7004 to request an extension of time to file income tax returns **Enter filer's identifying number, see instructions**

Type or print File by the due date for filing your return. See instructions	Name of exempt organization or other filer, see instructions	Employer identification number (EIN) or
	HEISMAN TROPHY TRUST	13-7052079
	Number, street, and room or suite no. If a P O box, see instructions	Social security number (SSN)
	111 BROADWAY	
	City, town or post office, state, and ZIP code. For a foreign address, see instructions	
	NEW YORK, NY 10006	

Enter the Return code for the return that this application is for (file a separate application for each return)

Application Is For	Return Code	Application Is For	Return Code
Form 990 or Form 990-EZ	01	Form 990-T (corporation)	07
Form 990-BL	02	Form 1041-A	08
Form 4720 (individual)	03	Form 4720 (other than individual)	09
Form 990-PF	04	Form 5227	10
Form 990-T (sec 401(a) or 408(a) trust)	05	Form 6069	11
Form 990-T (trust other than above)	06	Form 8870	12

- The books are in the care of **▶ MOREA FINANCIAL SERVICES, INC., 120 BROADWAY, SUITE 1016 NEW YORK, NY**

Telephone No ▶ 212 608-1080 FAX No ▶ 212 493-1944

- If the organization does not have an office or place of business in the United States, check this box
- If this is for a Group Return, enter the organization's four digit Group Exemption Number (GEN) _____ If this is for the whole group, check this box If it is for part of the group, check this box and attach a list with the names and EINs of all members the extension is for

1 I request an automatic 3-month (6 months for a corporation required to file Form 990-T) extension of time until 06/15, 2015, to file the exempt organization return for the organization named above. The extension is for the organization's return for

▶ calendar year 20____ or

▶ tax year beginning 11/01, 2013, and ending 10/30, 2014

2 If the tax year entered in line 1 is for less than 12 months, check reason. Initial return Final return Change in accounting period

3a If this application is for Form 990-BL, 990-PF, 990-T, 4720, or 6069, enter the tentative tax, less any nonrefundable credits. See instructions	3a \$	4000-
b If this application is for Form 990-PF, 990-T, 4720, or 6069, enter any refundable credits and estimated tax payments made. Include any prior year overpayment allowed as a credit	3b \$	4000-
c Balance due. Subtract line 3b from line 3a. Include your payment with this form, if required, by using EFTPS (Electronic Federal Tax Payment System). See instructions	3c \$	None

Caution. If you are going to make an electronic funds withdrawal (direct debit) with this Form 8868, see Form 8453-EO and Form 8879-EO for payment instructions