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## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

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► Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

For calendar year 2013 or tax year beginning

NOV 1, 2013

, and ending

OCT 31, 2014

Name of foundation

LAURA B. VOGLER FOUNDATION, INC.

Number and street (or P O box number if mail is not delivered to street address)

51 DIVISION STREET

A Employer identification number

11-6022241

B Telephone number

(718)423-3000

C If exemption application is pending, check here ► D 1. Foreign organizations, check here ► 2. Foreign organizations meeting the 85% test, check here and attach computation ► E If private foundation status was terminated under section 507(b)(1)(A), check here ► F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ► 

City or town, state or province, country, and ZIP or foreign postal code

SAG HARBOR, NY 11963

G Check all that apply:  Initial return  Initial return of a former public charity  
 Final return  Amended return  
 Address change  Name changeH Check type of organization:  Section 501(c)(3) exempt private foundation  
 Section 4947(a)(1) nonexempt charitable trust  Other taxable private foundationI Fair market value of all assets at end of year **► \$ 5,551,347.** (Part I, column (d) must be on cash basis.) J Accounting method:  Cash  Accrual  
*(from Part II, col. (c), line 16)*  
 Other (specify)

Part I Analysis of Revenue and Expenses <small>(The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))</small>	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check ► <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B Interest on savings and temporary cash investments				
3 Dividends and interest from securities	222,113.	222,113.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10 Gross sales price for all assets on line 6a	160,647.	<18,721.>		
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications Gross sales less returns and allowances				
10a Less Cost of goods sold				
b Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	203,392.	222,113.		
13 Compensation of officers, directors, trustees, etc	48,925.	13,974.		34,951.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	9,210.	3,223.		5,987.
c Other professional fees				
17 Interest				
18 Taxes	4,864.	855.		1,995.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	405.	243.		162.
22 Printing and publications				
23 Other expenses	5,649.	2,406.		3,243.
24 Total operating and administrative expenses. Add lines 13 through 23	69,053.	20,701.		46,338.
25 Contributions, gifts, grants paid	221,750.			221,750.
26 Total expenses and disbursements. Add lines 24 and 25	290,803.	20,701.		268,088.
27 Subtract line 26 from line 12:	<87,411.>			
a Excess of revenue over expenses and disbursements				
b Net investment income (if negative, enter -0-)		201,412.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II	Balance Sheets	Attached schedules and amounts in the description column should be for end-of-year amounts only	Beginning of year	End of year	
			(a) Book Value	(b) Book Value	(c) Fair Market Value
	1 Cash - non-interest-bearing				
	2 Savings and temporary cash investments		89,715.	49,937.	49,937.
	3 Accounts receivable ►				
	Less: allowance for doubtful accounts ►				
	4 Pledges receivable ►				
	Less: allowance for doubtful accounts ►				
	5 Grants receivable				
	6 Receivables due from officers, directors, trustees, and other disqualified persons				
	7 Other notes and loans receivable ►				
	Less: allowance for doubtful accounts ►				
	8 Inventories for sale or use				
	9 Prepaid expenses and deferred charges			826.	
	10a Investments - U.S. and state government obligations	STMT 5	326,204.	268,635.	277,971.
	b Investments - corporate stock	STMT 6	2,932,898.	2,940,594.	5,183,974.
	c Investments - corporate bonds	STMT 7	31,656.	31,656.	39,465.
	11 Investments - land, buildings, and equipment basis ►				
	Less accumulated depreciation ►				
	12 Investments - mortgage loans				
	13 Investments - other				
	14 Land, buildings, and equipment: basis ►	10,054.			
	Less accumulated depreciation	STMT 8 ►	10,054.		
	15 Other assets (describe ► )				
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)		3,380,473.	3,291,648.	5,551,347.
	17 Accounts payable and accrued expenses				
	18 Grants payable				
	19 Deferred revenue				
	20 Loans from officers, directors, trustees, and other disqualified persons				
	21 Mortgages and other notes payable				
	22 Other liabilities (describe ► )	STATEMENT 9	2,366.	963.	
	23 Total liabilities (add lines 17 through 22)		2,366.	963.	
	Foundations that follow SFAS 117, check here ► <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.				
	24 Unrestricted		3,378,107.	3,290,685.	
	25 Temporarily restricted				
	26 Permanently restricted				
	Foundations that do not follow SFAS 117, check here ► <input type="checkbox"/> and complete lines 27 through 31.				
	27 Capital stock, trust principal, or current funds				
	28 Paid-in or capital surplus, or land, bldg., and equipment fund				
	29 Retained earnings, accumulated income, endowment, or other funds				
	30 Total net assets or fund balances		3,378,107.	3,290,685.	
	31 Total liabilities and net assets/fund balances		3,380,473.	3,291,648.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,378,107.
2 Enter amount from Part I, line 27a	2	<87,411.>
3 Other increases not included in line 2 (itemize) ►	3	0.
4 Add lines 1, 2, and 3	4	3,290,696.
5 Decreases not included in line 2 (itemize) ► <b>PENALTY</b>	5	11.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,290,685.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)			(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SCHEDULE ATTACHED</b>			P	VARIOUS	10/31/14
b					
c					
d					
e					
(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)		
a 160,647.		179,368.	<18,721.>		
b					
c					
d					
e					
Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69			(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))		
(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any			
a			<18,721.>		
b					
c					
d					
e					
2 Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7 }		2	<18,721.>	
3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8			3	N/A	

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

 Yes  No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	243,206.	4,963,475.	.048999
2011	208,020.	4,507,367.	.046151
2010	232,021.	4,472,932.	.051872
2009	164,120.	4,013,659.	.040890
2008	198,641.	3,603,531.	.055124

2 Total of line 1, column (d)

2 .243036

3 Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years

3 .048607

4 Enter the net value of noncharitable-use assets for 2013 from Part X, line 5

4 5,353,657.

5 Multiply line 4 by line 3

5 260,225.

6 Enter 1% of net investment income (1% of Part I, line 27b)

6 2,014.

7 Add lines 5 and 6

7 262,239.

8 Enter qualifying distributions from Part XII, line 4

8 268,088.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate.  
See the Part VI instructions.

## Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ► <input type="checkbox"/> and enter "N/A" on line 1.	1	2,014.
Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ► <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		
3	Add lines 1 and 2	2	0.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	3	2,014.
5	Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-	4	0.
6	Credits/Payments:	5	2,014.
a	2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,840.
b	Exempt foreign organizations - tax withheld at source	6b	
c	Tax paid with application for extension of time to file (Form 8868)	6c	
d	Backup withholding erroneously withheld	6d	
7	Total credits and payments. Add lines 6a through 6d	7	2,840.
8	Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8	
9	Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9	
10	Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	826.
11	Enter the amount of line 10 to be: Credited to 2014 estimated tax ►	11	0.
			Refunded ►

## Part VII-A Statements Regarding Activities

1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	N/A	Yes	No
1b	Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? <i>If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities</i>		X	X
1c	Did the foundation file Form 1120-POL for this year?		X	X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. ► \$ 0. (2) On foundation managers. ► \$ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ► \$ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? <i>If "Yes," attach a detailed description of the activities.</i>	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? <i>If "Yes," attach a conformed copy of the changes</i>	3		X
4a	Did the foundation have unrelated business gross income of \$1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on Form 990-T for this year?	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? <i>If "Yes," attach the statement required by General Instruction T.</i>	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6		X
7	Did the foundation have at least \$5,000 in assets at any time during the year? <i>If "Yes," complete Part II, col (c), and Part XV</i>	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ►			
NY				
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? <i>If "No," attach explanation</i>	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? <i>If "Yes," complete Part XIV</i>	9		X
10	Did any persons become substantial contributors during the tax year? <i>If "Yes," attach a schedule listing their names and addresses</i>	10	X	

**Part VII-A Statements Regarding Activities (continued)**

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11	<input checked="" type="checkbox"/>	X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12	<input type="checkbox"/>	X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► <b>N/A</b>	13	<input checked="" type="checkbox"/>	X
14	The books are in care of ► <b>LAWRENCE L. D'AMATO, PRESIDENT</b> Located at ► <b>51 DIVISION STREET, #501, SAG HARBOR, NY</b>	Telephone no. ► <b>718-423-3000</b> ZIP+4 ► <b>11963-1414</b>		
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	<input type="checkbox"/>	N/A
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	<input checked="" type="checkbox"/>	Yes
			<input type="checkbox"/>	No

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

	File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.	Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
(6)	Agree to pay money or property to a government official? (Exception Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	<input type="checkbox"/>	Yes <input checked="" type="checkbox"/> No
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here	N/A ► <input type="checkbox"/>	1b
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? If "Yes," list the years ► _____, _____, _____, _____	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.)	N/A	2b
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ► _____, _____, _____		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)	N/A	3b
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required (continued)**

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?  Yes  No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?  Yes  No

(3) Provide a grant to an individual for travel, study, or other similar purposes?  Yes  No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?  Yes  No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?  Yes  No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

► 

5b

Organizations relying on a current notice regarding disaster assistance check here

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?  Yes  No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?

N/A

► 

6b

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?

N/A

► 

7b

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors**

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over \$50,000

►

0

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**Part VIII** **Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors (continued)**
**3 Five highest-paid independent contractors for professional services. If none, enter "NONE."**

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services ►

0

**Part IX-A Summary of Direct Charitable Activities**

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

Expenses

1	N/A	
2		
3		
4		

**Part IX-B Summary of Program-Related Investments**

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

Amount

1	N/A	
2		

All other program-related investments. See instructions.

3		
Total. Add lines 1 through 3		0.

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**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	5,421,814.
b	Average of monthly cash balances	1b	13,371.
c	Fair market value of all other assets	1c	
d	Total (add lines 1a, b, and c)	1d	5,435,185.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	1e	0.
2	-Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	5,435,185.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	81,528.
5	<b>Net value of noncharitable-use assets</b> Subtract line 4 from line 3. Enter here and on Part V, line 4	5	5,353,657.
6	<b>Minimum investment return</b> Enter 5% of line 5	6	267,683.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ►  and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	267,683.
2a	Tax on investment income for 2013 from Part VI, line 5	2a	2,014.
b	Income tax for 2013. (This does not include the tax from Part VI.)	2b	
c	Add lines 2a and 2b	2c	2,014.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	265,669.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	265,669.
6	Deduction from distributable amount (see instructions)	6	0.
7	<b>Distributable amount as adjusted.</b> Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	265,669.

**Part XII****Qualifying Distributions** (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	268,088.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	<b>Qualifying distributions.</b> Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	268,088.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	2,014.
6	<b>Adjusted qualifying distributions.</b> Subtract line 5 from line 4	6	266,074.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII Undistributed Income** (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				265,669.
2 Undistributed income, if any, as of the end of 2013			5,013.	
a Enter amount for 2012 only				
b Total for prior years:		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008				
b From 2009				
c From 2010				
d From 2011				
e From 2012				
f Total of lines 3a through e	0.			
4 Qualifying distributions for 2013 from Part XII, line 4: ► \$ 268,088.			5,013.	
a Applied to 2012, but not more than line 2a				
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				263,075.
e Remaining amount distributed out of corpus	0.			0.
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a))				
6 Enter the net total of each column as indicated below:	0.			
a Corpus Add lines 3f, 4c, and 4e Subtract line 5				
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed			0.	
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.				0.
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				2,594.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
9 Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
10 Analysis of line 9:				
a Excess from 2009				
b Excess from 2010				
c Excess from 2011				
d Excess from 2012				
e Excess from 2013				



## Part XV Supplementary Information (continued)

## 3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
Name and address (home or business)				
<i>a Paid during the year</i>				
SCHEDULES ATTACHED				221,750.
<b>Total</b>			► 3a	221,750.
<i>b Approved for future payment</i>				
NONE				
<b>Total</b>			► 3b	0.

## Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.

Enter gross amounts unless otherwise indicated.		Unrelated business income		Excluded by section 512, 513, or 514	(e) Related or exempt function income
		(a) Business code	(b) Amount	(c) Exclu- sion code	
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities				14	222,113.
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory				18	<18,721.>
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)			0.		203,392.
13 Total. Add line 12, columns (b), (d), and (e)				13	0. 203,392.

**Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes**



FORM 990-PF		DIVIDENDS AND INTEREST FROM SECURITIES			STATEMENT 1	
SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	
MERRILL LYNCH	222,113.	0.	222,113.	222,113.	222,113.	
TO PART I, LINE 4	222,113.	0.	222,113.	222,113.	222,113.	
FORM 990-PF		ACCOUNTING FEES			STATEMENT 2	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
ACCOUNTING	9,210.	3,223.		5,987.		
TO FORM 990-PF, PG 1, LN 16B	9,210.	3,223.		5,987.		
FORM 990-PF		TAXES			STATEMENT 3	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
PAYROLL TAXES	2,850.	855.		1,995.		
FEDERAL EXCISE	2,014.	0.		0.		
TO FORM 990-PF, PG 1, LN 18	4,864.	855.		1,995.		
FORM 990-PF		OTHER EXPENSES			STATEMENT 4	
DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVESTMENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES		
FILING FEES	250.	0.		250.		
MEMBERSHIP DUES	2,950.	1,033.		1,917.		
INSURANCE	1,483.	890.		593.		
POSTAGE, STATIONARY & MISC.	966.	483.		483.		
TO FORM 990-PF, PG 1, LN 23	5,649.	2,406.		3,243.		

LAURA B. VOGLER FOUNDATION, INC.

11-6022241

FORM 990-PF U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS STATEMENT 5

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
	X		268,635.	277,971.
TOTAL U.S. GOVERNMENT OBLIGATIONS			268,635.	277,971.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			268,635.	277,971.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	2,940,594.	5,183,974.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,940,594.	5,183,974.

FORM 990-PF CORPORATE BONDS STATEMENT 7

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	31,656.	39,465.
TOTAL TO FORM 990-PF, PART II, LINE 10C	31,656.	39,465.

FORM 990-PF DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT STATEMENT 8

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,911.	2,911.	0.
SOFTWARE	6,079.	6,079.	0.
SOFTWARE	1,064.	1,064.	0.
TOTAL TO FM 990-PF, PART II, LN 14	10,054.	10,054.	0.

STATEMENT(S) 5, 6, 7, 8

**THE LAURA B. VOGLER FOUNDATION, INC.**

**OCTOBER 31, 2014**

**FORM 990PF**

**ID NUMBER: 11-6022241**

**STATEMENT 09**

**Other Liabilities**

	<u>Beginning</u> <u>of Year</u>	<u>End</u> <u>of Year</u>
Taxes Payable	\$ 1,327.00	\$ 963.00
Federal Excise Tax Payable	1,039.00	0.00
<b>TOTAL</b>	<b><u>\$ 2,366.00</u></b>	<b><u>\$ 963.00</u></b>

**STATEMENT 10**

**Part VIII---**

**Information about Officers/Directors:**

	<u>Salaries</u>	<u>Grant</u> <u>Review</u> <u>Fees</u>	<u>Director</u> <u>Fees</u>	<u>TOTAL</u>
Lawrence L. D'Amato--President & Director P O. Box 610508, Bayside, NY	\$ 33,275.00	\$ 0.00	\$ 1,425.00	
Rev Stephen S. Schwander--Director P O. Box 610508, Bayside, NY		\$ 750.00	\$ 1,425.00	
Max Kupferberg--Director P O. Box 610508, Bayside, NY		\$ 375.00	\$ 950.00	
Karen M Yost--Director P.O. Box 610508, Bayside, NY		\$ 1,125.00	\$ 1,425.00	
June D'Amato--Director/Secretary P.O. Box 610508, Bayside, NY	\$ 6,000.00	\$ 750.00	\$ 1,425.00	
	<b><u>\$ 39,275.00</u></b>	<b><u>\$ 3,000.00</u></b>	<b><u>\$ 6,650.00</u></b>	<b><u>\$ 48,925.00</u></b>

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURA B. VOGLER FOUNDATION, INC.--PO BOX 610508, BAYSIDE, NY 11361-0508

FORM AND CONTENT OF APPLICATIONS

NEW YORK AREA COMMON APPLICATION FORM WITH SUPPORTING DOCUMENTATION

ANY SUBMISSION DEADLINES

FORMAL APPLICATIONS SHOULD BE SUBMITTED NO LATER THAN THE 1ST DAY OF JANUARY, APRIL, JULY OR OCTOBER

RESTRICTIONS AND LIMITATIONS ON AWARDS

MODEST SIZED GRANTS TO ORGANIZATIONS CONCERNED WITH THE HEALTH, WELL BEING, AND EDUCATION OF CHILDREN, THE DISADVANTAGED AND THE ELDERLY. GEOGRAPHICALLY, GRANTS ARE MADE ONLY IN THE NEW YORK CITY AND LONG ISLAND.

**THE LAURA B. VOGLER FOUNDATION, INC.**  
\*\*\*\*\*

**FINANCIAL STATEMENTS**  
\*\*\*\*\*

**OCTOBER 31, 2014**  
\*\*\*\*\*

**THE LAURA B. VOGLER FOUNDATION, INC.**

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For the fiscal year ended October 31, 2014.....	9-10

**Domenick J. Tarantino, C.P.A., P.C.**



To the Board of Directors  
***The Laura B. Vogler Foundation, Inc.***  
Bayside, New York 11361

I have audited the accompanying statement of financial position of the ***The Laura B. Vogler Foundation, Inc.*** as of October 31, 2014, and the related comparative statements of income and cash flows for the fiscal years ended October 31, 2014 and 2013. These financial statements are the responsibility of the Foundation's management. My responsibility is to express an opinion on these financial statements based on my audit.

As described in Note 1, the Foundation's policy is to prepare its financial statements on the basis of cash receipts and disbursements, except that they include a provision for federal excise tax. Consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when paid rather than when incurred. Accordingly, the accompanying financial statements are not intended to present the financial position and results of operations in conformity with generally accepted accounting principles.

In my opinion, the financial statements referred to above present fairly the financial position of ***The Laura B. Vogler Foundation, Inc.*** at October 31, 2014, and its income, expenses and grants and cash flows for the year then ended, on the basis of accounting described in Note 1 applied on a consistent basis.

My examination was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The schedule of investments and income for the year ended October 31, 2014 is presented for purposes of additional analysis and is not a required part of the basic financial statements. This information has been subjected to the auditing procedures applied in my examination of the basic financial statements and, in my opinion, is fairly stated in all material respects in relation to the basic financial statements as a whole.

Domenick J. Tarantino, CPA  
January 19, 2015

**DOMENICK J. TARANTINO, C.P.A., P.C.**

877 N Corona Avenue, N Valley Stream, NY 11580 • Phone 516-872-6300 • Fax 516-872-6311 • [www.tarantinocpa.com](http://www.tarantinocpa.com)

**THE LAURA B. VOGLER FOUNDATION, INC.  
STATEMENT OF FINANCIAL POSITION  
OCTOBER 31, 2014**

**Page 2**

**Assets**

	Total Market Values	Principal Fund	Income Fund
Cash	\$49,936 60	\$49,936 60	
Cash Due from Income Fund		(962 86)	\$962 86
Prepaid Federal Excise Tax	826 00	826 00	
Corporate Stocks & Mutual Funds - per Schedule 1	5,183,973 75	5,183,973 75	
Bonds - U S Government GNMA's	277,970 64	277,970 64	
Bonds - Corporate & Other Obligations	39,465 15	39,465 15	
Total Assets	<u>\$5,552,172 14</u>	<u>\$5,551,209 28</u>	<u>\$962 86</u>

**Liabilities and Unrestricted Net Assets**

*Liabilities*

Payroll Taxes Payable	\$0 00	962 86
Total Liabilities	<u>\$0 00</u>	<u>\$962 86</u>

*Unrestricted Net Assets*

Balance October 31, 2013	\$5,349,918 04	
Realized Gain<Loss> on Sale & Redemption of Securities per Schedule 1	(18,721 29)	
Excess of Expenses over Income and Grants	(68,700 41)	
Unrealized holding gain <loss> on investments	288,712 94	
Balance October 31, 2014	<u>\$5,551,209 28</u>	<u>\$0 00</u>
Total Liabilities and Net Assets	<u>\$5,551,209 28</u>	<u>\$962 86</u>

See accompanying notes to the financial statements

**THE LAURA B. VOGLER FOUNDATION, INC.  
COMPARATIVE STATEMENT OF INCOME, EXPENSES AND GRANTS  
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014 AND 2013**

**Page 3**

**Unrestricted Income Fund**

<b>Income</b>	<b>October 31, 2014</b>	<b>October 31, 2013</b>	<b>Increase (Decrease)</b>
Dividends on Corporate Stocks & Mutual Funds - per Schedule 1	\$207,179.68	\$161,398.42	\$45,781.26
Interest on U S Government & Agency Obligations - per Schedule 1	12,872.17	11,737.02	1,135.15
Interest on Corporate Obligations	2,051.26	2,051.26	0.00
Dividends - Merrill Lynch Cash Management Account	9.72	10.82	(1.10)
<b>Total Income</b>	<b>\$222,112.83</b>	<b>\$175,197.52</b>	<b>\$46,915.31</b>
 <b>Expenses</b>			
Directors Fees	\$9,650.00	\$9,375.00	\$275.00
President's Compensation	33,274.92	33,274.92	\$0.00
Secretary's Compensation	6,000.00	12,057.80	(\$6,057.80)
Accounting Fees	9,210.00	9,100.00	\$110.00
Filing Fees	250.00	250.00	\$0.00
Membership Dues	2,950.00	2,225.00	\$725.00
Postage, Stationery & Miscellaneous	977.20	1,620.19	(\$642.99)
Insurance	1,482.58	1,442.05	\$40.53
Payroll Taxes	2,849.54	3,821.73	(\$972.19)
Conference & Meetings	405.00	580.20	(\$175.20)
<b>Total Expenses before Federal Tax</b>	<b>\$67,049.24</b>	<b>\$73,746.89</b>	<b>(\$6,697.65)</b>
Federal Excise Tax	2,014.00	2,809.00	(\$795.00)
<b>Total Expenses</b>	<b>\$69,063.24</b>	<b>\$76,555.89</b>	<b>(\$7,492.65)</b>
Excess of Income over Expenses	\$153,049.59	\$98,641.63	\$54,407.96
Grants & Distributions	221,750.00	196,770.00	\$24,980.00
<b>Excess (Expenses)/ Income</b>	<b>(\$68,700.41)</b>	<b>(\$98,128.37)</b>	<b>\$29,427.96</b>

See accompanying notes to the financial statements

THE LAURA B. VOGLER FOUNDATION, INC.  
STATEMENT OF CASH FLOWS  
FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014

Page 4

Cash flows from operating activities

<b>Change in net assets</b>	<b>\$199,426.24</b>
Adjustments to reconcile changes in net assets to net cash used by operating activities	
Net unrealized and realized gains on investments	(269,991.65)
Cash due principal fund	(364.65)
	<b>(\$70,930.06)</b>

Cash flows from investing activities

Proceeds from sale of investments	\$160,646.79
Purchase of investments	(129,495.41)
<b>Net cash provided by investing activities</b>	<b>\$31,151.38</b>

Net decrease in cash	(\$39,778.68)
Cash at beginning of year	89,715.28
<b>Cash at end of year</b>	<b>\$49,936.60</b>

See accompanying notes to the financial statements

THE LAURA B VOGLER FOUNDATION INC  
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014

SCHEDULE 1  
Page #5

Corporate Stocks & Mutual Funds	On Hand November 1, 2013		Fiscal Year Transactions				On Hand October 31, 2014		Market Value	Fiscal Year Income
	# of Shs or \$ Amt	Inventory Value	# of Shs or \$ Amt	Purchases Cost	Sales and Redemptions	Gain (loss)	# of Shs or \$ Amt	Inventory Value		
Aegerion Pharm Inc	0	\$0.00	363	10,272.39			363	\$10,272.39	\$7,328.97	\$0.00
Alcoa Inc	2,000	\$51,829.59					2,000	\$51,829.59	\$33,520.00	\$240.00
A T & T, Inc	5,120	\$15,413.11					5,120	\$15,413.11	\$108,767.80	\$5,709.60
Bank of New York Mellon Corp	1,500	\$50,792.09					1,500	\$50,792.09	\$58,080.00	\$960.00
Blackrock Basic Value Fund "A"	5,262	\$180,104.18					5,262	\$202,165.00	\$198,119.31	\$22,060.82
Blackrock Capital Appreciation Fund "A"	2,156	\$61,249.65					2,156	\$0.00	\$0.00	\$9,060.77
BlackRock Global Allocation Fund "A"	8,937	\$152,342.45					8,937	\$152,342.45	\$193,388.28	\$11,105.83
BlackRock Global Opport Port Fund "A"	10,701	\$102,767.05					10,701	\$102,767.05	\$151,322.02	\$0.00
BlackRock Long Horizon Equity Fund	33,782	\$356,668.75					33,782	\$372,451.62	\$531,787.72	\$15,782.87
Bristol-Myers Squibb	3,200	\$82,320.21					3,200	\$82,820.21	\$182,208.00	\$4,576.00
Boeing Company	0	\$0.00	157	\$20,332.03			157	\$20,332.03	\$19,610.87	\$14.61
Chevron Texaco Corp	1,540	\$32,719.27					1,540	\$32,719.27	\$184,725.00	\$6,735.60
Coca Cola Corp	3,200	\$78,598.26					3,200	\$78,598.26	\$134,016.00	\$3,824.00
Colten & Steers Dividend Fund	8,923	\$113,790.69					8,923	\$127,787.48	\$166,062.23	\$13,967.79
Conagra Foods Inc	2,000	\$44,418.08					2,000	\$44,418.08	\$68,700.00	\$2,000.00
Con Edison NY	2,000	\$56,500.00					2,000	\$56,500.00	\$126,726.00	\$5,010.00
Duke Energy Corp	666	\$38,819.94					666	\$38,819.94	\$54,711.90	\$2,087.91
DuPont El De Namours	3,000	\$123,636.79					3,000	\$123,636.79	\$207,450.00	\$5,460.00
Emerson Electric Corp	1,600	\$51,896.35					1,600	\$51,896.35	\$102,496.80	\$2,752.00
Exxon Mobil Corp	3,168	\$38,548.11					3,168	\$38,948.11	\$306,377.28	\$8,363.52
FirstEnergy Corp	400	\$30,525.00					400	\$30,525.00	\$14,936.00	\$632.00
General Electric	2,600	\$101,210.84					2,600	\$101,210.84	\$67,166.00	\$2,288.00
Honeywell Int'l Inc	0	\$0.00	208	\$20,352.82			208	\$20,352.82	\$19,992.96	\$92.60
International Paper Co	2,100	\$67,834.63					2,100	\$66,878.24	\$106,302.00	\$2,940.00
Int'l Business Machines	500	\$32,492.85					500	\$32,492.85	\$82,200.00	\$2,050.00
J M Smucker Co	1,100	\$51,599.15					1,100	\$51,599.15	\$114,400.00	\$2,638.00
Johnson & Johnson	550	\$35,845.93					550	\$35,845.93	\$39,279.00	\$1,496.00
JP Morgan Chase & Co	2,000	\$51,314.51					2,000	\$51,314.51	\$120,960.00	\$3,120.00
Kinder Morgan Energy	400	\$27,794.26					400	\$27,794.26	\$57,520.00	\$2,192.00
Lockheed Martin Corp	300	\$31,648.10					300	\$31,648.10	\$57,171.00	\$1,536.00
Lord Abbett Alpha Strategy Fund "A"	7,315	\$126,806.66					7,315	\$144,442.98	\$25,151.26	\$17,636.92
McDonalds Corp	1,300	\$124,635.92					1,300	\$124,635.92	\$121,845.00	\$4,212.00
New York Community Bancorp	2,300	\$50,532.63	(2,500)				0	\$0.00	\$30.00	\$1,150.00
Nextera Energy, Inc	3,600	\$96,467.02					3,600	\$96,467.02	\$560,792.00	\$10,206.00
Oshkosh Corporation	500	\$16,027.94					500	\$16,027.94	\$22,380.00	\$300.00
Procter & Gamble	1,575	\$65,811.77					1,575	\$65,811.77	\$137,452.5	\$3,922.06
Seaspan Corp	1,000	\$27,349.89					1,000	\$27,349.89	\$20,400.00	\$1,660.00
SJL Corp Pltd	750	\$25,348.86					750	\$25,348.86	\$56,577.50	\$2,613.76
Spectra Energy Corp	1,000	\$27,984.00					1,000	\$27,984.00	\$39,130.00	\$1,310.00
Superior Industries Int'l	2,000	\$34,825.83					2,000	\$34,825.83	\$59,020.00	\$1,440.00
Toronto Dominion Bank	745	\$45,042.70					745	\$45,042.70	\$73,397.40	\$2,104.46
Venron Communications Corp	8,188	\$134,612.41					8,188	\$134,612.41	\$41,547.00	\$17,338.56
Wells Fargo & Co	1,000	\$34,220.68					1,000	\$34,220.68	\$53,090.00	\$1,360.00
W G L Holdings Inc	2,000	\$42,054.26					2,000	\$42,054.26	\$94,000.00	\$3,440.00
Total Corporate Stocks	137,978	\$2,932,897.79	(1,935)	\$129,495.41	\$105,011.29	(516,788.15)	\$0.00	\$0.00	136,043	\$2,940,593.75
										\$1,183,917.75
										\$207,119.68

THE LAURA B. VOGLER FOUNDATION, INC.  
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014

SCHEDULE 1  
Page #6

U.S. Government Obligations	On Hand November 1, 2013			Fiscal Year Transactions			On Hand October 31, 2014			Market Value - Income
	# of Shs or \$ Amt	# of Shs or \$ Amt	# of Shs or \$ Amt	Purchases Cost	Sales and Redemptions	Amortization	# of Shs or \$ Amt	Inventory Value		
GNMA P193501 9.3% 2016	184	\$185.68			\$58.01	(\$50.52)	184	\$127.15	\$128.39	\$15.04
GNMA P146112 9.3% 2016	396	\$397.49			\$158.74	(\$50.86)	396	\$237.89	\$238.91	\$30.89
GNMA P176954 9.0% 2016	381	\$383.29			\$208.17	(\$52.17)	381	\$174.95	\$175.85	\$29.02
GNMA P105376 9.3% 2017	46	\$45.47			\$46.97	\$1.50	46	\$0.00	\$0.00	\$1.09
GNMA P198222 8.3% 2017	4,406	\$4,060.00			\$1,470.62	\$0.62	4,406	\$2,936.00	\$2,977.71	\$16.82
GNMA P262921 9.3% 2018	675	\$679.45			\$122.94	(\$50.87)	675	\$555.64	\$555.41	\$58.90
GNMA P251760 9.3% 2018	325	\$322.56			\$60.25	\$0.70	325	\$262.01	\$266.64	\$28.32
GNMA P271679 9.0% 2019	1,018	\$1,030.73			\$166.21	(\$51.87)	1,018	\$862.65	\$859.57	\$84.91
GNMA P311971 7.3% 2022	872	\$855.65			\$75.78	\$2.19	872	\$782.06	\$800.31	\$62.88
GNMA P311982 7.3% 2023	1,702	\$1,712.65			\$1,702.16	(\$50.49)	1,702	\$0.00	\$2.50	\$122.64
GNMA P265934 7.0% 2025	2,286	\$2,300.29			\$161.45	(\$50.56)	2,286	\$2,138.28	\$2,137.33	\$154.97
GNMA P423798 7.3% 2026	114	\$115.43			\$14.79	\$0.61	114	\$101.25	\$100.54	\$8.10
GNMA P430921 7.3% 2026	664	\$665.66			\$245.82	(\$50.79)	664	\$419.05	\$410.91	\$35.39
GNMA P570575 5.5% 2054	9,143	\$10,183.02			\$2,769.54	(\$315.55)	9,143	\$7,097.95	\$7,104.56	\$438.11
GNMA P446863 5.0% 2035	26,893	\$27,430.86			\$891.89	(\$13.95)	26,893	\$26,725.02	\$26,725.02	\$1,329.07
GNMA P560447 5.0% 2056	46,532	\$45,194.21			\$21,262.20	\$611.48	46,532	\$24,543.49	\$27,980.24	\$1,768.34
GNMA P584080 5.0% 2037	6,764	\$6,736.76			\$149.39	\$0.99	6,764	\$6,588.36	\$7,301.95	\$534.85
GNMA P216263 5.0% 2039	53,029	\$58,199.33			\$15,583.48	(\$1,518.86)	53,029	\$41,096.99	\$41,473.84	\$2,280.27
GNMA P175131 4.0% 2041	98,002	\$104,739.64			\$9,607.12	(\$661.13)	98,002	\$94,471.09	\$95,255.55	\$5,714.80
GNMA PAD73673 5.0% 2043	59,284	\$60,617.89			\$1,079.67	(\$23.61)	59,284	\$59,514.61	\$60,879.14	\$2,057.78
Total U.S. Government Obligations	312,716	\$326,204.06			\$0.00		0.00	312,716	\$268,635.42	\$2,872.17
										\$277,910.64

THE LAURA B. VOGLER FOUNDATION, INC  
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014

SCHEDULE 1  
Page #7

Stocks - U S Obligations	On Hand November 1 2013 :		Fiscal Year Transactions			On Hand October 31, 2014		Market Value	Fiscal Year Income
	# of Shs or \$ Amt	# of Shs Inventory Value	# of Shs or \$ Amt	Purchases Cost	Sales and Redemptions	Amortization OID	# of Shs or \$ Amt		
Corporate Stocks-brought forward	137,978	\$2,932,897.79	(1,955)	\$129,495.41	\$105,011.29	(\$16,788.15)	\$0.00	136,043	\$5,183,973.75
U S Government Obligations-brought forward	512,716	\$326,204.06	0	\$0.00	\$35,655.50	(\$1,953.14)	\$0.00	312,716	\$277,970.64
Bonds - Corporate Obligations									
Swiss RE Solutions 6.45% 3/1/2019	10,000	\$8,748.25					10,000	\$8,748.25	\$645.00
General Electric Cap Corp 5.625% 9/15/2017	25,000	\$22,907.85					25,000	\$22,907.85	\$1,406.26
Total Bonds-Corporate Obligations	35,000	\$31,636.10	0	\$0.00	\$0.00	\$0.00	35,000	\$31,636.10	\$2,051.26
Total Investments		\$3,290,727.95		\$129,495.41	\$100,616.79	(\$18,721.29)	\$0.00	\$3,240,885.28	\$5,501,409.54
									\$22,103.11

**THE LAURA B. VOGLER FOUNDATION, INC.**  
**NOTES TO FINANCIAL STATEMENTS**  
**OCTOBER 31, 2014**

**Page 8**

**Note 1**

**SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Basis of Accounting**

The Foundation's policy is to prepare its financial statements on the modified cash basis of accounting: consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when cash is disbursed rather than when the obligation is incurred. Books and records are maintained on the cash basis, with the exception of the accrual of federal excise tax.

**Note 2**

**Investments**

Equity securities and bonds held for indefinite periods of time, including securities which The Foundation intends to use as part of its asset/liability strategy, or that may be sold in response to changes in market conditions, the need to increase cash or other similar factors, are classified as available for sale and are carried at fair market value.

As of October 31, 2014, the aggregate value of investments available for sale is as follows:

Fair Market Value	\$5,501,409.54
Cost (Inventory Value)	3,240,885.28
Unrealized Holding Gain	2,260,524.26

**Domenick J. Tarantino, C.P.A., P.C.**

**Vogler Foundation grants 11.1.13 to 10.31.14**

Ansonia Music Outreach Organization, Inc.	\$3,000.00	youth development programs & free groceries
Battery Conservancy, Inc.	\$3,500.00	farm-based ed. Programs K-12
Beat the Streets Wrestling, Inc.	\$3,000.00	Top of Podium Camp for inner-city youth on campus
Big Brothers Big Sisters of New York City	\$3,000.00	programs for at-risk youth in NYC
Boro Pregnancy Counseling Center, Inc.	\$3,000.00	Parent empowerment prog To earn skills&baby items
Brighton Heights Reformed Church	\$2,500.00	expansion of youth orchestra in memory of Rev. Seewood
Bronx Arts Ensemble, Inc.	\$3,500.00	arts-in-education program
BronxWorks Inc.	\$3,000.00	Camp Kaufman summer sleep away
Brooklyn Children's Museum Corp.	\$4,000.00	after-school educational program K-8 & H.S.
Brooklyn Public Library	\$3,000.00	creative aging prog. For adults 55+
Cathholic Managed Long Term Care, Inc.	\$3,500.00	SAT prep for Lang Youth Med Prog-NY Presbyterian
Children's Health Fund	\$2,600.00	nutrition program-purchase food for children's cooking classes
Children's Rehab. Center	\$4,500.00	renovate aquatic therapy center
Community Prog. Ctrs. Of L.I., Inc.	\$3,000.00	implement elec. Records system for adult services
Community Voices Heard, Inc.	\$2,500.00	free youth programs for academic achievement
East River Development Alliance, Inc.	\$5,000.00	fund College Access Program's RISE Orientation
East Side House Settlement	\$2,500.00	after-school education enrichment programs
ENACT-Fund for the City of New York	\$3,000.00	free concerts for seniors
Fed.of Organizations for NYS Mental Disa	\$3,000.00	mentoring prog for at-risk youth in 5 boroughs of NYC
Foundation Center	\$3,000.00	support for Graduate Prog @ St. Aloysius-scholarships
Friends of Van Cortlandt Park	\$2,500.00	youth operated farmers market stand program
Fund for the City of NY, Inc.(Resident.etc.	\$3,000.00	support healthy eating after school
Giving Alternative Learners(GALLOP NYC)	\$4,000.00	purchase new helmets & safety equip. for riders
Greenwich House, Inc.	\$2,500.00	NYC adults & children w/developmental challenges
Haitian Women for Haitian Refugees	\$3,000.00	to obtain Metrocards for women attending ESL classes
Harlem Educational Activites Fund, Inc.	\$5,000.00	SAT prep class - College Quest
Health Advocates for Older People, Inc.	\$2,500.00	funding for aging adults over 60 yrs
Heart Gallery NYC	\$3,000.00	Reach for the Stars-academic support for college
Henry Street Settlement	\$3,000.00	hire bi-lingual Spanish/English computer instructor
Hispanic Resource Ctr.of Larchmont&Mama	\$3,500.00	educational/vocational programs for new immigrants
Hour Children, Inc.	\$5,000.00	parenting education classes for women at Suffolk Correctional faci
Housing + Solutions, Inc.	\$2,500.00	Family Support Services-keep families together
I Have A Dream Foundation-NY	\$3,000.00	purchase Google Chromebooks
Jewish Guild for the Blind	\$5,000.00	provide rehab services to visually impaired or blind children
John E. Andrus Memorial, Inc.	\$1,650.00	purchase 5 I-pads & headphones for elderly residents

Lighthouse Mission Outreach, Inc	\$3,000.00	1 Learning Leader to tutor at-risk 1st-3rd graders
Live Light Live Right	\$3,500.00	support fitness program for obese children
Mercy Haven, Inc.	\$5,000.00	intensive 3-day leadership development retreat
Move This World, Inc.	\$3,000.00	employment fund for women seeking employment
New Life Comm. Develop.Corp.(Elks Bldg.)	\$4,000.00	programming in classrooms in NYC
New Settlement Apartments	\$3,500.00	support IN MY WORDS-intensive arts workshop 11-18 yr girls
NYC Global Partners, Inc.	\$2,500.00	after-school & summer educational program
Parish Resource Center of L.I.	\$4,000.00	free Christian Education Webinar series
Peconic Community Council, Inc.	\$5,000.00	offset cost of transporting homeless adults to shelters
Quality Services for the Autism Community	\$3,000.00	purchase 10 tablet devices for students w/ASD
Resilience Advocacy Project	\$3,000.00	support Foster Grandparent Prog-senior volunteer prog
Riverdale Community Center, Inc.	\$3,000.00	new tablet computers to seniors
Riverstone Senior Life Services, Inc.	\$3,000.00	free tai chi classes in senior center
S.L.E. Lupus Foundation, Inc.	\$5,000.00	support Lupus week at Camp Sunshine
Save the Children(Syria)	\$3,500.00	support refugee children in Syria
Save the Children(Typhoon Haiyan)	\$2,500.00	free legal representation to the poor
SCAN-NY Volunteer Parent-Aides Assoc.	\$2,500.00	Rehearsal for Living Prog for at-risk students
Service Program for Older People, Inc.(SPOP)	\$3,000.00	accessible mental health care for elderly New Yorkers
St. Aloysius School	\$3,000.00	promote adoption of foster care children
Steve's Camp at Horizon Farms, Inc.	\$2,500.00	support pilot after-school prog. For NYC high school students
Successful & Victorious Youth, Inc.(SAVY)	\$2,500.00	enrichment program for middle school girls ages 10-13
Sunnyside Community Services, Inc.	\$3,000.00	support college readiness program
Tender Touch for All	\$4,000.00	messages for seniors & veterans
The Cathedral Church of St. John the Divine	\$3,500.00	support Cathedral Comm. Cares(CCC) - fight poverty
The New York & Presbyterian Hospital	\$3,000.00	after-school prog & Summer Visual Arts Camp
The NY Council on Adoptable Children	\$3,000.00	give young fathers access to educational resources
The Opening Word, Inc.	\$3,500.00	increase Eng.&computer skills for L.I. immigrant women
The Salvation Army Greater NY Div.	\$3,500.00	continuation of Sandy relief
The Shield of David, Inc.	\$3,500.00	general support
The Urban Dove, Inc.	\$3,000.00	Typhoon Haiyan relief
The Viscardi Center, Inc.	\$3,000.00	Abilities, Inc. - support job seekers with disabilities
Westchester Exceptional Children, Inc.	\$3,000.00	purchase iPad software programs
World Vision(TyphoonHaiyan)	\$2,500.00	Typhoon Haiyan relief
		<b>\$221,750.00</b>

# **AFFIDAVIT OF PUBLICATION**

TOWNS OF EAST AND SOUTHAMPTON }  
COUNTY OF SUFFOLK } -----:SS  
STATE OF NEW YORK }

Gavin Menu, as PUBLISHER of THE SAG HARBOR EXPRESS,  
a newspaper published by Coastal Publications, Inc. every  
Thursday at SAG HARBOR in the Towns of East Hampton  
and Southampton. County of Suffolk, N.Y., being duly sworn deposes  
and says that the Legal Notice of which the attached is a Copy  
was published in said

THE SAG HARBOR EXPRESS on 1/9/15

Sworn to before me this 15<sup>th</sup>

day of January, 20 15

NOTARY PUBLIC, SUFFOLK COUNTY, N.Y.

Donna W. Mohr

Donna W. Molin  
lotary Public State of New York  
lified in Suffolk County  
mission Expires 02/23 (1-17-18)

**LEGAL NOTICE**

**PUBLIC NOTICE:** The Annual Return of The Laura B. Vogler Foundation for The Fiscal Year Ending 10/31/14 is available at its principal office, 9 Noyac Avenue, Sag Harbor, New York 11963 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is Lawrence L. D'Amato. Dated January 5, 2015.

1x1/8/15