



See a Social Security Number? Say Something!  
Report Privacy Problems to <https://public.resource.org/privacy>  
Or call the IRS Identity Theft Hotline at 1-800-908-4490



## Form 990-PF

Department of the Treasury  
Internal Revenue Service

## Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

Do not enter Social Security numbers on this form as it may be made public.

Information about Form 990-PF and its separate instructions is at [www.irs.gov/form990pf](http://www.irs.gov/form990pf).

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning NOV 1, 2013, and ending OCT 31, 2014

Name of foundation <b>LAURA B. VOGLER FOUNDATION, INC.</b>		A Employer identification number <b>11-6022241</b>
Number and street (or P.O. box number if mail is not delivered to street address) <b>51 DIVISION STREET</b>	Room/suite <b>#501</b>	B Telephone number <b>(718) 423-3000</b>
City or town, state or province, country, and ZIP or foreign postal code <b>SAG HARBOR, NY 11963</b>		C If exemption application is pending, check here <input type="checkbox"/>
G Check all that apply: <input type="checkbox"/> Initial return <input type="checkbox"/> Final return <input type="checkbox"/> Address change <input type="checkbox"/> Initial return of a former public charity <input type="checkbox"/> Amended return <input type="checkbox"/> Name change		D 1. Foreign organizations, check here <input type="checkbox"/> 2. Foreign organizations meeting the 85% test, check here and attach computation <input type="checkbox"/>
H Check type of organization: <input checked="" type="checkbox"/> Section 501(c)(3) exempt private foundation <input type="checkbox"/> Section 4947(a)(1) nonexempt charitable trust <input type="checkbox"/> Other taxable private foundation		E If private foundation status was terminated under section 507(b)(1)(A), check here <input type="checkbox"/>
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) \$ <b>5,551,347.</b>	J Accounting method: <input checked="" type="checkbox"/> Cash <input type="checkbox"/> Accrual <input type="checkbox"/> Other (specify) _____	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here <input type="checkbox"/>

Part I Analysis of Revenue and Expenses (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))	(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
1 Contributions, gifts, grants, etc., received			N/A	
2 Check <input checked="" type="checkbox"/> if the foundation is not required to attach Sch. B				
3 Interest on savings and temporary cash investments				
4 Dividends and interest from securities	222,113.	222,113.		STATEMENT 1
5a Gross rents				
b Net rental income or (loss)				
6a Net gain or (loss) from sale of assets not on line 10	<18,721.>			
b Gross sales price for all assets on line 6a	160,647.			
7 Capital gain net income (from Part IV, line 2)		0.		
8 Net short-term capital gain				
9 Income modifications				
10a Gross sales less returns and allowances				
b Less Cost of goods sold				
c Gross profit or (loss)				
11 Other income				
12 Total. Add lines 1 through 11	203,392.	222,113.		
13 Compensation of officers, directors, trustees, etc	48,925.	13,974.		34,951.
14 Other employee salaries and wages				
15 Pension plans, employee benefits				
16a Legal fees				
b Accounting fees	9,210.	3,223.		5,987.
c Other professional fees				
17 Interest				
18 Taxes	4,864.	855.		1,995.
19 Depreciation and depletion				
20 Occupancy				
21 Travel, conferences, and meetings	405.	243.		162.
22 Printing and publications				
23 Other expenses	5,649.	2,406.		3,243.
24 Total operating and administrative expenses. Add lines 13 through 23	69,053.	20,701.		46,338.
25 Contributions, gifts, grants paid	221,750.			221,750.
26 Total expenses and disbursements. Add lines 24 and 25	290,803.	20,701.		268,088.
27 Subtract line 26 from line 12:				
a Excess of revenue over expenses and disbursements	<87,411.>			
b Net investment income (if negative, enter -0-)		201,412.		
c Adjusted net income (if negative, enter -0-)			N/A	

Part II Balance Sheets		Beginning of year (a) Book Value	End of year	
			(b) Book Value	(c) Fair Market Value
Assets	1 Cash - non-interest-bearing			
	2 Savings and temporary cash investments	89,715.	49,937.	49,937.
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges		826.	
	10a Investments - U.S. and state government obligations STMT 5	326,204.	268,635.	277,971.
	b Investments - corporate stock STMT 6	2,932,898.	2,940,594.	5,183,974.
	c Investments - corporate bonds STMT 7	31,656.	31,656.	39,465.
Liabilities	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶ 10,054.			
	Less accumulated depreciation STMT 8 ▶ 10,054.			
	15 Other assets (describe ▶ )			
	16 Total assets (to be completed by all filers - see the instructions. Also, see page 1, item 1)	3,380,473.	3,291,648.	5,551,347.
	17 Accounts payable and accrued expenses			
	18 Grants payable			
Net Assets or Fund Balances	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶ STATEMENT 9 )	2,366.	963.	
	23 Total liabilities (add lines 17 through 22)	2,366.	963.	
	Foundations that follow SFAS 117, check here ▶ <input checked="" type="checkbox"/> and complete lines 24 through 26 and lines 30 and 31.			
	24 Unrestricted	3,378,107.	3,290,685.	
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ <input type="checkbox"/> and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds			
	28 Paid-in or capital surplus, or land, bldg., and equipment fund			
	29 Retained earnings, accumulated income, endowment, or other funds			
	30 Total net assets or fund balances	3,378,107.	3,290,685.	
	31 Total liabilities and net assets/fund balances	3,380,473.	3,291,648.	

## Part III Analysis of Changes in Net Assets or Fund Balances

1 Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	3,378,107.
2 Enter amount from Part I, line 27a	2	<87,411.>
3 Other increases not included in line 2 (itemize) ▶	3	0.
4 Add lines 1, 2, and 3	4	3,290,696.
5 Decreases not included in line 2 (itemize) ▶ PENALTY	5	11.
6 Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	3,290,685.

**Part IV Capital Gains and Losses for Tax on Investment Income**

(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)		(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
<b>1a SCHEDULE ATTACHED</b>		<b>P</b>	<b>VARIOUS</b>	<b>10/31/14</b>
<b>b</b>				
<b>c</b>				
<b>d</b>				
<b>e</b>				

(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
<b>a</b> 160,647.		179,368.	<18,721.>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
<b>a</b>			<18,721.>
<b>b</b>			
<b>c</b>			
<b>d</b>			
<b>e</b>			

<b>2</b> Capital gain net income or (net capital loss)	{ If gain, also enter in Part I, line 7 If (loss), enter -0- in Part I, line 7	<b>2</b>	<18,721.>
<b>3</b> Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8		<b>3</b>	N/A

**Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income**

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period?

☐ Yes ☒ No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

**1** Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	243,206.	4,963,475.	.048999
2011	208,020.	4,507,367.	.046151
2010	232,021.	4,472,932.	.051872
2009	164,120.	4,013,659.	.040890
2008	198,641.	3,603,531.	.055124

<b>2</b> Total of line 1, column (d)	<b>2</b>	.243036
<b>3</b> Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	<b>3</b>	.048607
<b>4</b> Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	<b>4</b>	5,353,657.
<b>5</b> Multiply line 4 by line 3	<b>5</b>	260,225.
<b>6</b> Enter 1% of net investment income (1% of Part I, line 27b)	<b>6</b>	2,014.
<b>7</b> Add lines 5 and 6	<b>7</b>	262,239.
<b>8</b> Enter qualifying distributions from Part XII, line 4	<b>8</b>	268,088.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

**Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)**

1a Exempt operating foundations described in section 4940(d)(2), check here <input type="checkbox"/> and enter "N/A" on line 1. Date of ruling or determination letter: _____ (attach copy of letter if necessary-see instructions)			
b Domestic foundations that meet the section 4940(e) requirements in Part V, check here <input checked="" type="checkbox"/> and enter 1% of Part I, line 27b		1	2,014.
c All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).			
2 Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		2	0.
3 Add lines 1 and 2		3	2,014.
4 Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)		4	0.
5 Tax based on investment income. Subtract line 4 from line 3. If zero or less, enter -0-		5	2,014.
6 Credits/Payments:			
a 2013 estimated tax payments and 2012 overpayment credited to 2013	6a	2,840.	
b Exempt foreign organizations - tax withheld at source	6b		
c Tax paid with application for extension of time to file (Form 8868)	6c		
d Backup withholding erroneously withheld	6d		
7 Total credits and payments. Add lines 6a through 6d	7	2,840.	
8 Enter any penalty for underpayment of estimated tax. Check here <input type="checkbox"/> if Form 2220 is attached	8		
9 Tax due. If the total of lines 5 and 8 is more than line 7, enter amount owed	9		
10 Overpayment. If line 7 is more than the total of lines 5 and 8, enter the amount overpaid	10	826.	
11 Enter the amount of line 10 to be: Credited to 2014 estimated tax <input checked="" type="checkbox"/> 826. Refunded <input type="checkbox"/>	11	0.	

**Part VII-A Statements Regarding Activities**

	Yes	No
1a During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?		X
b Did it spend more than \$100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)? If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities		X
c Did the foundation file Form 1120-POL for this year?		X
d Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: (1) On the foundation. <input checked="" type="checkbox"/> \$ 0. (2) On foundation managers. <input checked="" type="checkbox"/> \$ 0.		
e Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. <input checked="" type="checkbox"/> \$ 0.		
2 Has the foundation engaged in any activities that have not previously been reported to the IRS? If "Yes," attach a detailed description of the activities.		X
3 Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? If "Yes," attach a conformed copy of the changes		X
4a Did the foundation have unrelated business gross income of \$1,000 or more during the year?		X
b If "Yes," has it filed a tax return on Form 990-T for this year?		
5 Was there a liquidation, termination, dissolution, or substantial contraction during the year? If "Yes," attach the statement required by General Instruction T.		X
6 Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?		X
7 Did the foundation have at least \$5,000 in assets at any time during the year? If "Yes," complete Part II, col (c), and Part XV	X	
8a Enter the states to which the foundation reports or with which it is registered (see instructions) <input checked="" type="checkbox"/> NY		
b If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by General Instruction G? If "No," attach explanation	X	
9 Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? If "Yes," complete Part XIV		X
10 Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses		X

**Part VII-A Statements Regarding Activities** (continued)

11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application? Website address ► N/A	13	X	
14	The books are in care of ► LAWRENCE L. D'AMATO, PRESIDENT Telephone no. ► 718-423-3000 Located at ► 51 DIVISION STREET, #501, SAG HARBOR, NY ZIP+4 ► 11963-1414			
15	Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here and enter the amount of tax-exempt interest received or accrued during the year	15	N/A	
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country? See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ►	16	Yes	No
				X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required**

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

		Yes	No
1a	During the year did the foundation (either directly or indirectly):		
(1)	Engage in the sale or exchange, or leasing of property with a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(2)	Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(3)	Furnish goods, services, or facilities to (or accept them from) a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(4)	Pay compensation to, or pay or reimburse the expenses of, a disqualified person? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(5)	Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
(6)	Agree to pay money or property to a government official? (Exception - Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.) <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If any answer is "Yes" to 1a(1)-(6), did any of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)? Organizations relying on a current notice regarding disaster assistance check here N/A	1b	
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013? N/A	1c	X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):		
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No If "Yes," list the years ►		
b	Are there any years listed in 2a for which the foundation is not applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to all years listed, answer "No" and attach statement - see instructions.) N/A	2b	
c	If the provisions of section 4942(a)(2) are being applied to any of the years listed in 2a, list the years here. ►		
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year? <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No		
b	If "Yes," did it have excess business holdings in 2013 as a result of (1) any purchase by the foundation or disqualified persons after May 26, 1969; (2) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (3) the lapse of the 10-, 15-, or 20-year first phase holding period? (Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.) N/A	3b	
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?	4a	X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?	4b	X

**Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required** (continued)**5a** During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))?

☐ Yes ☒ No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive?

☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes?

☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)?

☐ Yes ☒ No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals?

☐ Yes ☒ No**b** If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)?

N/A

5b

Organizations relying on a current notice regarding disaster assistance check here

▶ ☐**c** If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant?

N/A

☐ Yes ☐ No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

**6a** Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract?☐ Yes ☒ No**b** Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract?

6b

X

If "Yes" to 6b, file Form 8870.

**7a** At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction?☐ Yes ☒ No**b** If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction?

N/A

7b

**Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors****1** List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
SEE STATEMENT 10		0.	0.	0.

**2** Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than \$50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

**Total** number of other employees paid over \$50,000

▶ 0

**Part VIII** Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)***3** Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than \$50,000	(b) Type of service	(c) Compensation
NONE		

Total number of others receiving over \$50,000 for professional services



0

**Part IX-A** Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.

	Expenses
1 N/A	
2	
3	
4	

**Part IX-B** Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.

	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	

Total. Add lines 1 through 3



0.

Form 990-PF (2013)



**Part X****Minimum Investment Return** (All domestic foundations must complete this part. Foreign foundations, see instructions.)

<b>1</b>	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
<b>a</b>	Average monthly fair market value of securities	<b>1a</b>	5,421,814.
<b>b</b>	Average of monthly cash balances	<b>1b</b>	13,371.
<b>c</b>	Fair market value of all other assets	<b>1c</b>	
<b>d</b>	Total (add lines 1a, b, and c)	<b>1d</b>	5,435,185.
<b>e</b>	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation)	<b>1e</b>	0.
<b>2</b>	-Acquisition indebtedness applicable to line 1 assets	<b>2</b>	0.
<b>3</b>	Subtract line 2 from line 1d	<b>3</b>	5,435,185.
<b>4</b>	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	<b>4</b>	81,528.
<b>5</b>	Net value of noncharitable-use assets. Subtract line 4 from line 3. Enter here and on Part V, line 4	<b>5</b>	5,353,657.
<b>6</b>	Minimum investment return. Enter 5% of line 5	<b>6</b>	267,683.

**Part XI****Distributable Amount** (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ☐ and do not complete this part.)

<b>1</b>	Minimum investment return from Part X, line 6	<b>1</b>	267,683.
<b>2a</b>	Tax on investment income for 2013 from Part VI, line 5	<b>2a</b>	2,014.
<b>b</b>	Income tax for 2013. (This does not include the tax from Part VI.)	<b>2b</b>	
<b>c</b>	Add lines 2a and 2b	<b>2c</b>	2,014.
<b>3</b>	Distributable amount before adjustments. Subtract line 2c from line 1	<b>3</b>	265,669.
<b>4</b>	Recoveries of amounts treated as qualifying distributions	<b>4</b>	0.
<b>5</b>	Add lines 3 and 4	<b>5</b>	265,669.
<b>6</b>	Deduction from distributable amount (see instructions)	<b>6</b>	0.
<b>7</b>	Distributable amount as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	<b>7</b>	265,669.

**Part XII****Qualifying Distributions** (see instructions)

<b>1</b>	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
<b>a</b>	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	<b>1a</b>	268,088.
<b>b</b>	Program-related investments - total from Part IX-B	<b>1b</b>	0.
<b>2</b>	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	<b>2</b>	
<b>3</b>	Amounts set aside for specific charitable projects that satisfy the:		
<b>a</b>	Suitability test (prior IRS approval required)	<b>3a</b>	
<b>b</b>	Cash distribution test (attach the required schedule)	<b>3b</b>	
<b>4</b>	Qualifying distributions. Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	<b>4</b>	268,088.
<b>5</b>	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	<b>5</b>	2,014.
<b>6</b>	Adjusted qualifying distributions. Subtract line 5 from line 4	<b>6</b>	266,074.

**Note.** The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

**Part XIII** Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
<b>1</b> Distributable amount for 2013 from Part XI, line 7				265,669.
<b>2</b> Undistributed income, if any, as of the end of 2013				
<b>a</b> Enter amount for 2012 only			5,013.	
<b>b</b> Total for prior years:		0.		
<b>3</b> Excess distributions carryover, if any, to 2013:				
<b>a</b> From 2008				
<b>b</b> From 2009				
<b>c</b> From 2010				
<b>d</b> From 2011				
<b>e</b> From 2012				
<b>f</b> Total of lines 3a through e	0.			
<b>4</b> Qualifying distributions for 2013 from Part XII, line 4: ▶ \$ 268,088.				
<b>a</b> Applied to 2012, but not more than line 2a			5,013.	
<b>b</b> Applied to undistributed income of prior years (Election required - see instructions)		0.		
<b>c</b> Treated as distributions out of corpus (Election required - see instructions)	0.			
<b>d</b> Applied to 2013 distributable amount				263,075.
<b>e</b> Remaining amount distributed out of corpus	0.			
<b>5</b> Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
<b>6</b> Enter the net total of each column as indicated below:	0.			
<b>a</b> Corpus. Add lines 3f, 4c, and 4e. Subtract line 5	0.			
<b>b</b> Prior years' undistributed income. Subtract line 4b from line 2b		0.		
<b>c</b> Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
<b>d</b> Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
<b>e</b> Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
<b>f</b> Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				2,594.
<b>7</b> Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
<b>8</b> Excess distributions carryover from 2008 not applied on line 5 or line 7	0.			
<b>9</b> Excess distributions carryover to 2014. Subtract lines 7 and 8 from line 6a	0.			
<b>10</b> Analysis of line 9:				
<b>a</b> Excess from 2009				
<b>b</b> Excess from 2010				
<b>c</b> Excess from 2011				
<b>d</b> Excess from 2012				
<b>e</b> Excess from 2013				

N/A

- ☐ 4942(1)(3) or ☐ 4942(1)(5)

- (4) Gross investment income**

[illegible]

## Form 990-PF (2013)

**Part XV** Supplementary Information (continued)**3 Grants and Contributions Paid During the Year or Approved for Future Payment**

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
<b>a Paid during the year</b>  SCHEDULES ATTACHED				221,750.
<b>Total</b>				221,750.
<b>b Approved for future payment</b>  NONE				
<b>Total</b>				0.



<b>Part XVII</b>	<b>Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations</b>
------------------	----------------------------------------------------------------------------------------------------------------------

<b>1</b> Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?  <b>a</b> Transfers from the reporting foundation to a noncharitable exempt organization of: <b>(1)</b> Cash <b>(2)</b> Other assets <b>b</b> Other transactions: <b>(1)</b> Sales of assets to a noncharitable exempt organization <b>(2)</b> Purchases of assets from a noncharitable exempt organization <b>(3)</b> Rental of facilities, equipment, or other assets <b>(4)</b> Reimbursement arrangements <b>(5)</b> Loans or loan guarantees <b>(6)</b> Performance of services or membership or fundraising solicitations <b>c</b> Sharing of facilities, equipment, mailing lists, other assets, or paid employees <b>d</b> If the answer to any of the above is "Yes," complete the following schedule. Column <b>(b)</b> should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column <b>(d)</b> the value of the goods, other assets, or services received.	<b>Yes</b>	<b>No</b>
	<b>1a(1)</b>	<b>X</b>
	<b>1a(2)</b>	<b>X</b>
	<b>1b(1)</b>	<b>X</b>
	<b>1b(2)</b>	<b>X</b>
	<b>1b(3)</b>	<b>X</b>
	<b>1b(4)</b>	<b>X</b>
	<b>1b(5)</b>	<b>X</b>
	<b>1b(6)</b>	<b>X</b>
	<b>1c</b>	<b>X</b>

[illegible]

**2a** Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527?


☐ Yes ☒ No

**b** If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

**Sign  
Here**

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete. Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge.

  
Signature of officer or trustee

Date \_\_\_\_\_

Title

May the IRS discuss this return with the preparer shown below (see part 1)?

☒ Yes ☐ No

Paid Preparer Use Only	Print/Type preparer's name <b>DOMENICK J. TARANTINO, C.P.A.</b>	Preparer's signature <i>Domènec J. Tarantino CPA</i>	Date <b>03/02/15</b>	Check <input type="checkbox"/> if self-employed	PTIN <b>P00079287</b>
	Firm's name ▶ <b>DOMENICK J. TARANTINO, CPA, PC</b>			Firm's EIN ▶ <b>11-2789711</b>	
	Firm's address ▶ <b>877 N. CORONA AVENUE N. VALLEY STREAM, NY 11580</b>			Phone no. <b>516-872-6300</b>	

Form 990-PF (2013)

FORM 990-PF                      DIVIDENDS AND INTEREST FROM SECURITIES                      STATEMENT      1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME
MERRILL LYNCH	222,113.	0.	222,113.	222,113.	
TO PART I, LINE 4	222,113.	0.	222,113.	222,113.	

FORM 990-PF                      ACCOUNTING FEES                      STATEMENT      2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
ACCOUNTING	9,210.	3,223.		5,987.
TO FORM 990-PF, PG 1, LN 16B	9,210.	3,223.		5,987.

FORM 990-PF                      TAXES                      STATEMENT      3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
PAYROLL TAXES	2,850.	855.		1,995.
FEDERAL EXCISE	2,014.	0.		0.
TO FORM 990-PF, PG 1, LN 18	4,864.	855.		1,995.

FORM 990-PF                      OTHER EXPENSES                      STATEMENT      4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST- MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FILING FEES	250.	0.		250.
MEMBERSHIP DUES	2,950.	1,033.		1,917.
INSURANCE	1,483.	890.		593.
POSTAGE, STATIONARY & MISC.	966.	483.		483.
TO FORM 990-PF, PG 1, LN 23	5,649.	2,406.		3,243.

---



---

FORM 990-PF	U.S. AND STATE/CITY GOVERNMENT OBLIGATIONS	STATEMENT	5
-------------	--------------------------------------------	-----------	---

---

DESCRIPTION	U.S. GOV'T	OTHER GOV'T	BOOK VALUE	FAIR MARKET VALUE
	X		268,635.	277,971.
TOTAL U.S. GOVERNMENT OBLIGATIONS			268,635.	277,971.
TOTAL STATE AND MUNICIPAL GOVERNMENT OBLIGATIONS				
TOTAL TO FORM 990-PF, PART II, LINE 10A			268,635.	277,971.

---



---

FORM 990-PF	CORPORATE STOCK	STATEMENT	6
-------------	-----------------	-----------	---

---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	2,940,594.	5,183,974.
TOTAL TO FORM 990-PF, PART II, LINE 10B	2,940,594.	5,183,974.

---



---

FORM 990-PF	CORPORATE BONDS	STATEMENT	7
-------------	-----------------	-----------	---

---

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
	31,656.	39,465.
TOTAL TO FORM 990-PF, PART II, LINE 10C	31,656.	39,465.

---



---

FORM 990-PF	DEPRECIATION OF ASSETS NOT HELD FOR INVESTMENT	STATEMENT	8
-------------	------------------------------------------------	-----------	---

---

DESCRIPTION	COST OR OTHER BASIS	ACCUMULATED DEPRECIATION	BOOK VALUE
COMPUTER	2,911.	2,911.	0.
SOFTWARE	6,079.	6,079.	0.
SOFTWARE	1,064.	1,064.	0.
TOTAL TO FM 990-PF, PART II, LN 14	10,054.	10,054.	0.



**THE LAURA B. VOGLER FOUNDATION, INC.**

**OCTOBER 31, 2014**

**FORM 990PF**

**ID NUMBER: 11-6022241**

**STATEMENT 09**  
**Other Liabilities**

	<b><u>Beginning of Year</u></b>	<b><u>End of Year</u></b>
Taxes Payable	\$ 1,327.00	\$ 963 00
Federal Excise Tax Payable	<u>1,039 00</u>	<u>0 00</u>
<b>TOTAL</b>	<b><u>\$ 2,366.00</u></b>	<b><u>\$ 963.00</u></b>

**STATEMENT 10**

**Part VIII---**

**Information about Officers/Directors:**

	<b><u>Salaries</u></b>	<b><u>Grant Review Fees</u></b>	<b><u>Director Fees</u></b>	<b><u>TOTAL</u></b>
Lawrence L. D'Amato--President & Director P O. Box 610508, Bayside, NY	\$ 33,275.00	\$0 00	\$ 1,425 00	
Rev Stephen S. Schwander--Director P O. Box 610508, Bayside, NY		\$ 750 00	\$ 1,425 00	
Max Kupferberg--Director P O. Box 610508, Bayside, NY		\$ 375 00	\$ 950 00	
Karen M Yost--Director P.O. Box 610508, Bayside, NY		\$ 1,125 00	\$ 1,425 00	
June D'Amato--Director/Secretary P.O. Box 610508, Bayside, NY	\$ 6,000.00	\$750.00	\$ 1,425.00	
	<b><u>\$ 39,275.00</u></b>	<b><u>\$ 3,000.00</u></b>	<b><u>\$ 6,650.00</u></b>	<b><u>\$ 48,925 00</u></b>

---

FORM 990-PF

GRANT APPLICATION SUBMISSION INFORMATION  
PART XV, LINES 2A THROUGH 2D

STATEMENT 11

---

NAME AND ADDRESS OF PERSON TO WHOM APPLICATIONS SHOULD BE SUBMITTED

LAURA B. VOGLER FOUNDATION, INC.--PO BOX 610508, BAYSIDE, NY 11361-0508

FORM AND CONTENT OF APPLICATIONS

NEW YORK AREA COMMON APPLICATION FORM WITH SUPPORTING DOCUMENTATION

ANY SUBMISSION DEADLINES

FORMAL APPLICATIONS SHOULD BE SUBMITTED NO LATER THAN THE 1ST DAY OF  
JANUARY, APRIL, JULY OR OCTOBER

RESTRICTIONS AND LIMITATIONS ON AWARDS

MODEST SIZED GRANTS TO ORGANIZATIONS CONCERNED WITH THE HEALTH, WELL BEING,  
AND EDUCATION OF CHILDREN, THE DISADVANTAGED AND THE ELDERLY.  
GEOGRAPHICALLY, GRANTS ARE MADE ONLY IN THE NEW YORK CITY AND LONG ISLAND.

**THE LAURA B. VOGLER FOUNDATION, INC.**

\*\*\*\*\*

**FINANCIAL STATEMENTS**

\*\*\*\*\*

**OCTOBER 31, 2014**

\*\*\*\*\*

**THE LAURA B. VOGLER FOUNDATION, INC.**

**TABLE OF CONTENTS**

	<b><u>Page No.</u></b>
<b><u>Report of Certified Public Accountant</u></b> .....	1
 <b><u>Financial Statements and Schedules</u></b>	
Statements of Financial Position at October 31, 2014.....	2
Comparative Statement of Income, Expenses And Grants for the fiscal year ended October 31, 2014 and 2013.....	3
Statement of Cash Flows for the Fiscal year ended October 31, 2014.....	4
Schedule of Investments and Income for the Fiscal year ended October 31, 2014.....	5-7
Notes to Financial Statements.....	8
 <b><u>Schedule of Grants Made</u></b>	
For the fiscal year ended October 31, 2014.....	9-10



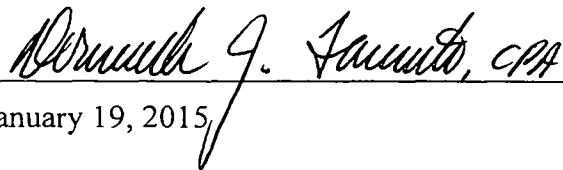
To the Board of Directors  
***The Laura B. Vogler Foundation, Inc.***  
Bayside, New York 11361

I have audited the accompanying statement of financial position of the ***The Laura B. Vogler Foundation, Inc.*** as of October 31, 2014, and the related comparative statements of income and cash flows for the fiscal years ended October 31, 2014 and 2013. These financial statements are the responsibility of the Foundation's management. My responsibility is to express an opinion on these financial statements based on my audit.

As described in Note 1, the Foundation's policy is to prepare its financial statements on the basis of cash receipts and disbursements, except that they include a provision for federal excise tax. Consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when paid rather than when incurred. Accordingly, the accompanying financial statements are not intended to present the financial position and results of operations in conformity with generally accepted accounting principles.

In my opinion, the financial statements referred to above present fairly the financial position of ***The Laura B. Vogler Foundation, Inc.*** at October 31, 2014, and its income, expenses and grants and cash flows for the year then ended, on the basis of accounting described in Note 1 applied on a consistent basis.

My examination was made for the purpose of forming an opinion on the basic financial statements taken as a whole. The schedule of investments and income for the year ended October 31, 2014 is presented for purposes of additional analysis and is not a required part of the basic financial statements. This information has been subjected to the auditing procedures applied in my examination of the basic financial statements and, in my opinion, is fairly stated in all material respects in relation to the basic financial statements as a whole.

A handwritten signature in cursive script, reading 'Domenick J. Tarantino, CPA'. The signature is written in dark ink and is positioned above a horizontal line.

January 19, 2015

**DOMENICK J. TARANTINO, C.P.A., P.C.**

877 N Corona Avenue, N Valley Stream, NY 11580 • Phone 516-872-6300 • Fax 516-872-6311 • [www.tarantinocpa.com](http://www.tarantinocpa.com)

THE LAURA B. VOGLER FOUNDATION, INC.  
STATEMENT OF FINANCIAL POSITION  
OCTOBER 31, 2014

Page 2

**Assets**

	Total Market Values	Principal Fund	Income Fund
Cash	\$49,936 60	\$49,936 60	
Cash Due from Income Fund		(962 86)	\$962 86
Prepaid Federal Excise Tax	826 00	826 00	
Corporate Stocks & Mutual Funds - per Schedule 1	5,183,973 75	5,183,973 75	
Bonds - U S Government GNMA's	277,970 64	277,970 64	
Bonds - Corporate & Other Obligations	39,465 15	39,465 15	
Total Assets	<u>\$5,552,172 14</u>	<u>\$5,551,209 28</u>	<u>\$962 86</u>

**Liabilities and Unrestricted Net Assets**

*Liabilities*

Payroll Taxes Payable	\$0 00	962 86
Total Liabilities	<u>\$0 00</u>	<u>\$962 86</u>

*Unrestricted Net Assets*

Balance October 31, 2013	\$5,349,918 04	
Realized Gain<Loss> on Sale & Redemption of Securities per Schedule 1	(18,721 29)	
Excess of Expenses over Income and Grants	(68,700 41)	
Unrealized holding gain <loss> on investments	288,712 94	
Balance October 31, 2014	<u>\$5,551,209 28</u>	<u>\$0 00</u>
Total Liabilities and Net Assets	<u>\$5,551,209 28</u>	<u>\$962 86</u>

See accompanying notes to the financial statements

**THE LAURA B. VOGLER FOUNDATION, INC.**  
**COMPARATIVE STATEMENT OF INCOME, EXPENSES AND GRANTS**  
**FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014 AND 2013**

Page 3

**Unrestricted Income Fund**

<b>Income</b>	<b>October 31, 2014</b>	<b>October 31, 2013</b>	<b>Increase (Decrease)</b>
Dividends on Corporate Stocks & Mutual Funds			
- per Schedule 1	\$207,179 68	\$161,398 42	\$45,781 26
Interest on U S Government & Agency Obligations			
- per Schedule 1	12,872 17	11,737 02	1,135 15
Interest on Corporate Obligations	2,051 26	2,051 26	0 00
Dividends - Merrill Lynch Cash			
Management Account	9 72	10 82	(1 10)
Total Income	<u>\$222,112 83</u>	<u>\$175,197 52</u>	<u>\$46,915 31</u>
<b>Expenses</b>			
Directors Fees	\$9,650 00	\$9,375 00	\$275 00
President's Compensation	33,274 92	33,274 92	\$0 00
Secretary's Compensation	6,000 00	12,057 80	(\$6,057 80)
Accounting Fees	9,210 00	9,100 00	\$110 00
Filing Fees	250 00	250 00	\$0 00
Membership Dues	2,950 00	2,225 00	\$725 00
Postage, Stationery & Miscellaneous	977 20	1,620 19	(\$642 99)
Insurance	1,482 58	1,442 05	\$40 53
Payroll Taxes	2,849 54	3,821 73	(\$972 19)
Conference & Meetings	405 00	580 20	(\$175 20)
Total Expenses before Federal Tax	<u>\$67,049 24</u>	<u>\$73,746 89</u>	<u>(\$6,697 65)</u>
Federal Excise Tax	2,014 00	2,809 00	(\$795 00)
Total Expenses	<u>\$69,063 24</u>	<u>\$76,555 89</u>	<u>(\$7,492 65)</u>
Excess of Income over Expenses	\$153,049 59	\$98,641 63	\$54,407 96
Grants & Distributions	221,750 00	196,770 00	\$24,980 00
Excess (Expenses)/ Income	<u>(\$68,700 41)</u>	<u>(\$98,128 37)</u>	<u>\$29,427 96</u>

See accompanying notes to the financial statements

**THE LAURA B. VOGLER FOUNDATION, INC.**  
**STATEMENT OF CASH FLOWS**  
**FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014**

Page 4

Cash flows from operating activities

<b>Change in net assets</b>	<b>\$199,426.24</b>
Adjustments to reconcile changes in net assets to net cash used by operating activities	
Net unrealized and realized gains on investments	(269,991.65)
Cash due principal fund	(364.65)
	<b>(\$70,930.06)</b>

Cash flows from investing activities

Proceeds from sale of investments	\$160,646.79
Purchase of investments	(129,495.41)
<b>Net cash provided by investing activities</b>	<b>\$31,151.38</b>

Net decrease in cash	(\$39,778.68)
Cash at beginning of year	89,715.28
Cash at end of year	<b>\$49,936.60</b>

See accompanying notes to the financial statements



**THE LAURA B VOGLER FOUNDATION, INC**  
**INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014**

SCHEDULE 1  
Page #5

	On Hand November 1, 2013			Fiscal Year Transactions			On Hand October 31, 2014			Market Value	Fiscal Year Income
	# of Shs or S Amt	Inventory Value	# of Shs or S Amt	Purchases Cost	Sales and Redemptions	Gain (loss)	Amortization OID	# of Shs or S Amt	Inventory Value		
Corporate Stocks & Mutual Funds											
Aegion Pharm Inc	0	\$0.00	363	10,272.39				363	\$10,272.39	\$7,328.97	\$0.00
Alcoa Inc	2,000	\$51,829.59						2,000	\$51,829.59	\$53,520.00	\$240.00
A T & T, Inc	3,120	\$13,413.11						3,120	\$13,413.11	\$108,700.80	\$5,709.60
Bank of New York Mellon Corp	1,500	\$50,792.09						1,500	\$50,792.09	\$58,080.00	\$960.00
BlackRock Basic Value Fund "A"	5,262	\$180,104.18						5,262	\$202,165.00	\$198,119.31	\$22,060.82
BlackRock Capital Appreciation Fund "A"	2,156	\$61,249.65		\$22,060.82	\$67,812.67	(\$2,497.75)		2,156	\$0.00	\$0.00	\$9,060.77
BlackRock Global Allocation Fund "A"	8,937	\$152,342.43		\$9,060.77				8,937	\$152,342.43	\$193,488.28	\$11,105.83
BlackRock Global Opport Port Fund "A"	10,701	\$102,767.05						10,701	\$102,767.05	\$151,322.02	\$0.00
BlackRock Long Horizon Equity Fund	33,782	\$356,668.75		\$15,782.87				33,782	\$372,451.62	\$531,787.72	\$15,782.87
Bristol-Myers Squibb	3,200	\$82,820.21						3,200	\$82,820.21	\$186,208.00	\$4,576.00
Boeing Company	0	\$0.00	157	\$20,332.03				157	\$20,332.03	\$19,610.87	\$114.61
Chevron Texaco Corp	1,540	\$32,719.27						1,540	\$32,719.27	\$184,723.00	\$6,375.60
Coen Cola Corp	3,200	\$78,598.26						3,200	\$78,598.26	\$134,016.00	\$3,824.00
Colten & Steers Dividend Fund	8,923	\$113,790.69		\$13,996.79				8,923	\$127,787.48	\$166,062.23	\$13,996.79
Conagra Foods Inc	2,000	\$44,418.08						2,000	\$44,418.08	\$68,700.00	\$2,000.00
Con Edison NY	2,000	\$56,500.00						2,000	\$56,500.00	\$126,720.00	\$5,010.00
Duke Energy Corp	666	\$38,819.94						666	\$38,819.94	\$54,711.90	\$2,087.91
DuPont El De Nemours	3,000	\$123,636.79						3,000	\$123,636.79	\$207,450.00	\$5,460.00
Emerson Electric Corp	1,600	\$51,896.35						1,600	\$51,896.35	\$102,496.00	\$2,732.00
Exxon Mobil Corp	3,168	\$38,548.11						3,168	\$38,548.11	\$306,377.28	\$8,363.52
FirstEnergy Corp	400	\$30,525.00						400	\$30,525.00	\$14,936.00	\$632.00
General Electric	2,600	\$101,210.84						2,600	\$101,210.84	\$67,106.00	\$2,288.00
Honeywell Int'l Inc	0	\$0.00	208	\$20,352.82				208	\$20,352.82	\$19,992.96	\$93.60
International Paper Co	2,100	\$67,834.63			\$1,462.66	\$506.27		2,100	\$66,878.24	\$106,202.00	\$2,940.00
Int'l Business Machines	500	\$52,492.85						500	\$52,492.85	\$82,700.00	\$2,030.00
J M Smucker Co	1,100	\$51,599.15						1,100	\$51,599.15	\$114,400.00	\$2,618.00
Johnson & Johnson	550	\$35,845.93						550	\$35,845.93	\$59,279.00	\$1,496.00
JP Morgan Chase & Co	2,000	\$51,314.51						2,000	\$51,314.51	\$120,960.00	\$5,120.00
Kinder Morgan Energy	400	\$27,794.26						400	\$27,794.26	\$37,520.00	\$2,192.00
Lockheed Martin Corp	300	\$31,648.10						300	\$31,648.10	\$57,171.00	\$1,596.00
Lord Abbert Alpha Strategy Fund "A"	7,315	\$126,806.06		\$17,656.92				7,315	\$144,442.98	\$255,151.26	\$17,636.92
McDonalds Corp	1,300	\$124,633.92						1,300	\$124,633.92	\$121,849.00	\$4,212.00
New York Community Bancorp	2,300	\$50,532.63	(2,300)		\$55,735.96	(\$14,796.67)		0	\$0.00	\$0.00	\$1,150.00
Nexera Energy, Inc	3,600	\$96,467.02						3,600	\$96,467.02	\$360,792.00	\$10,206.00
Oshkosh Corporation	500	\$16,027.94						500	\$16,027.94	\$22,380.00	\$300.00
Procter & Gamble	1,575	\$65,811.77						1,575	\$65,811.77	\$137,450.25	\$3,922.06
Seaspan Corp	1,000	\$27,349.89						1,000	\$27,349.89	\$20,400.00	\$1,660.00
SLM Corp Pfd	750	\$25,348.86						750	\$25,348.86	\$36,577.50	\$2,613.76
Spectra Energy Corp	1,000	\$27,984.00						1,000	\$27,984.00	\$39,150.00	\$1,310.00
Superior Industries Int'l	2,000	\$34,825.83						2,000	\$34,825.83	\$33,020.00	\$1,440.00
Toronto Dominion Bank	745	\$45,042.70						745	\$45,042.70	\$73,397.40	\$2,104.46
Verizon Communications Corp	8,188	\$134,612.41						8,188	\$134,612.41	\$411,441.00	\$17,358.56
Wells Fargo & Co	1,000	\$34,220.68						1,000	\$34,220.68	\$53,090.00	\$1,300.00
W G L Holdings Inc	2,000	\$42,034.26						2,000	\$42,034.26	\$94,000.00	\$3,440.00
Total Corporate Stocks	137,978	\$2,932,897.79	(1,935)	\$129,495.41	\$105,011.29	(\$16,788.15)	\$0.00	136,043	\$2,940,593.76	\$5,183,973.75	\$207,179.68

**THE LAURA B. VOGLER FOUNDATION, INC.**  
**INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014**

SCHEDULE 1  
Page #6

U.S. Government Obligations	On Hand November 1, 2013		Fiscal Year Transactions		On Hand October 31, 2014		Market Value	Fiscal Year Income
	# of Shs or S.Amt	Inventory Value	Purchases Cost	Sales and Redemptions	Gain (loss)	# of Shs or S.Amt	Inventory Value	
GNMA P193501 9 5% 2016	184	\$185 68		\$58 01	(\$0 52)	184	\$127 15	\$15 04
GNMA P146412 9 5% 2016	396	\$397 49		\$158 74	(\$0 86)	396	\$237 89	\$50 89
GNMA P176654 9 0% 2016	381	\$385 29		\$208 17	(\$2 17)	381	\$174 95	\$29 02
GNMA P205376 9 5% 2017	46	\$45 47		\$46 97	\$1 50	46	\$0 00	\$1 09
GNMA P198522 8 5% 2017	4,406	\$4,406 00		\$1,470 62	\$0 62	4,406	\$2,936 00	\$316 82
GNMA P262621 9 5% 2018	675	\$679 45		\$122 94	(\$0 87)	675	\$355 64	\$58 90
GNMA P251760 9 5% 2018	325	\$322 56		\$60 25	\$0 70	325	\$263 01	\$28 32
GNMA P271679 9 0% 2019	1,018	\$1,030 73		\$166 21	(\$1 87)	1,018	\$862 65	\$84 91
GNMA P311971 7 5% 2022	872	\$855 65		\$75 78	\$2 19	872	\$782 06	\$62 88
GNMA P310892 7 5% 2023	1,702	\$1,712 65		\$1,702 16	(\$10 49)	1,702	\$0 00	\$122 64
GNMA P365934 7 0% 2023	2,286	\$2,300 29		\$161 45	(\$0 56)	2,286	\$2,138 28	\$154 97
GNMA P423798 7 5% 2026	114	\$115 43		\$14 79	\$0 61	114	\$101 25	\$8 10
GNMA P430921 7 5% 2026	664	\$665 66		\$245 82	(\$0 79)	664	\$419 05	\$35 39
GNMA P570575 5 5% 2034	9,143	\$10,183 02		\$2,769 54	(\$315 55)	9,143	\$7,097 93	\$438 11
GNMA P646863 5 0% 2035	26,893	\$27,430 86		\$691 89	(\$13 95)	26,893	\$26,725 02	\$1,329 07
GNMA P656047 5 0% 2036	46,532	\$45,194 21		\$21,262 20	\$611 48	46,532	\$24,543 49	\$1,768 34
GNMA P658408 5 0% 2037	6,764	\$6,736 76		\$149 39	\$0 99	6,764	\$6,588 36	\$334 83
GNMA P26263 5 0% 2039	53,029	\$58,199 33		\$15,583 48	(\$1,518 86)	53,029	\$41,096 99	\$2,280 27
GNMA P775131 4 0% 2041	98,002	\$104,739 64		\$9,607 42	(\$661 13)	98,002	\$94,471 09	\$5,714 80
GNMA PAD7367 3 5% 2043	59,284	\$60,617 89		\$1,079 67	(\$23 61)	59,284	\$59,514 61	\$2,057 78
<b>Total U.S. Government Obligations</b>	<b>312,716</b>	<b>\$326,204 06</b>	<b>\$0 00</b>	<b>\$55,635 50</b>	<b>(\$1,933 14)</b>	<b>312,716</b>	<b>\$268,635 42</b>	<b>\$12,872 17</b>

THE LAURA B. VOGLER FOUNDATION, INC.  
INVESTMENTS AND INCOME FOR THE FISCAL YEAR ENDED OCTOBER 31, 2014

SCHEDULE 1  
Page #7

	On Hand November 1, 2013		Fiscal Year Transactions		On Hand October 31, 2014		Market Value	Fiscal Year Income
	# of Shs or S.Amt	Inventory Value	Purchases Cost	Sales and Redemptions	Gain (loss)	# of Shs or S.Amt		
Stocks - U.S. Obligations								
Corporate Stocks-brought forward	137,978	\$2,932,897.79	\$129,495.41	\$105,011.29	(\$16,788.15)	136,043	\$5,183,975.75	\$207,179.68
U.S. Government Obligations-brought forward	312,716	\$326,204.06	\$0.00	\$55,635.50	(\$1,933.14)	312,716	\$277,970.64	\$12,872.17
Bonds - Corporate Obligations								
Swiss RE Solutions 6.45% 3/1/2019	10,000	\$8,748.25				10,000	\$11,515.00	\$645.00
General Electric Cap Corp 5.625% 9/15/2017	25,000	\$22,907.85				25,000	\$27,907.85	\$1,406.26
Total Bonds-Corporate Obligations	35,000	\$31,656.10	\$0.00	\$0.00	\$0.00	35,000	\$39,465.15	\$2,051.26
Total Investments		\$3,290,757.95	\$129,495.41	\$160,646.79	(\$18,721.29)		\$5,501,409.54	\$222,103.11

**THE LAURA B. VOGLER FOUNDATION, INC.**  
**NOTES TO FINANCIAL STATEMENTS**  
**OCTOBER 31, 2014**

Page 8

**Note 1**      **SUMMARY OF SIGNIFICANT ACCOUNTING POLICIES**

**Basis of Accounting**

The Foundation's policy is to prepare its financial statements on the modified cash basis of accounting: consequently, certain revenues are recognized when received rather than when earned and certain expenses are recognized when cash is disbursed rather than when the obligation is incurred. Books and records are maintained on the cash basis, with the exception of the accrual of federal excise tax.

**Note 2**      **Investments**

Equity securities and bonds held for indefinite periods of time, including securities which The Foundation intends to use as part of its asset/liability strategy, or that may be sold in response to changes in market conditions, the need to increase cash or other similar factors, are classified as available for sale and are carried at fair market value.

As of October 31, 2014, the aggregate value of investments available for sale is as follows:

Fair Market Value	\$5,501,409.54
Cost (Inventory Value)	3,240,885.28
Unrealized Holding Gain	2,260,524.26

# **Vogler Foundation grants 11.1.13 to 10.31.14**

Ansonia Music Outreach Organization, Inc.	\$3,000.00	youth development programs & free groceries
Battery Conservancy, Inc.	\$3,500.00	farm-based ed. Programs K-12
Beat the Streets Wrestling, Inc.	\$3,000.00	Top of Podium Camp for inner-city youth on campus
Big Brothers Big Sisters of New York City	\$3,000.00	programs for at-risk youth in NYC
Boro Pregnancy Counseling Center, Inc.	\$3,000.00	Parent empowerment prog To earn skills&baby items
Brighton Heights Reformed Church	\$2,500.00	expansion of youth orchestra in memory of Rev. Seawood
Bronx Arts Ensemble, Inc.	\$3,500.00	arts-in-education program
BronxWorks Inc.	\$3,000.00	Camp Kaufman summer sleep away
Brooklyn Children's Museum Corp.	\$4,000.00	after-school educational program K-8 & H.S.
Brooklyn Public Library	\$3,000.00	creative aging prog. For adults 55+
Catholic Managed Long Term Care, Inc.	\$3,500.00	SAT prep for Lang Youth Med Prog-NY Presbyterian
Children's Health Fund	\$2,600.00	nutrition program-purchase food for children's cooking classes
Children's Rehab. Center	\$4,500.00	renovate aquatic therapy center
Community Prog. Ctrs. Of L.I., Inc.	\$3,000.00	implement elec. Records system for adult services
Community Voices Heard, Inc.	\$2,500.00	free youth programs for academic achievement
East River Development Alliance, Inc.	\$5,000.00	fund College Access Program's RISE Orientation
East Side House Settlement	\$2,500.00	after-school education enrichment programs
ENACT-Fund for the City of New York	\$3,000.00	free concerts for seniors
Fed. of Organizations for NYS Mentally Disa	\$3,000.00	mentoring prog for at-risk youth in 5 boroughs of NYC
Foundation Center	\$3,000.00	support for Graduate Prog @ St. Aloysius-scholarships
Friends of Van Cortlandt Park	\$2,500.00	youth operated farmers market stand program
Fund for the City of NY, Inc.(Resident.etc.	\$3,000.00	support healthy eating after school
Giving Alternative Learners(GALLOP NYC)	\$4,000.00	purchase new helmets & safety equip. for riders
Greenwich House, Inc.	\$2,500.00	NYC adults & children w/developmental challenges
Haitian Women for Haitian Refugees	\$3,000.00	to obtain Metrocards for women attending ESL classes
Harlem Educational Activities Fund, Inc.	\$5,000.00	SAT prep class - College Quest
Health Advocates for Older People, Inc.	\$2,500.00	funding for aging adults over 60 yrs
Heart Gallery NYC	\$3,000.00	Reach for the Stars-academic support for college
Henry Street Settlement	\$3,000.00	hire bi-lingual Spanish/English computer instructor
Hispanic Resource Ctr.of Larchmont&Mama	\$3,500.00	educational/vocational programs for new immigrants
Hour Children, Inc.	\$5,000.00	parenting education classes for women at Suffolk Correctional faci
Housing + Solutions, Inc.	\$2,500.00	Family Support Services-keep families together
I Have A Dream Foundation-NY	\$3,000.00	purchase Google Chromebooks
Jewish Guild for the Blind	\$5,000.00	provide rehab services to visually impaired or blind children
John E. Andrus Memorial, Inc.	\$1,650.00	purchase 5 l-pads & headphones for elderly residents

Lighthouse Mission Outreach, Inc	\$3,000.00	1 Learning Leader to tutor at-risk 1st-3rd graders
Live Light Live Right	\$3,500.00	support fitness program for obese children
Mercy Haven, Inc.	\$5,000.00	intensive 3-day leadership development retreat
Move This World, Inc.	\$3,000.00	employment fund for women seeking employment
New Life Comm. Develop. Corp. (Elks Bldg.)	\$4,000.00	programming in classrooms in NYC
New Settlement Apartments	\$3,500.00	support IN MY WORDS-intensive arts workshop 11-18 yr girls
NYC Global Partners, Inc.	\$2,500.00	after-school & summer educational program
Parish Resource Center of L.I.	\$4,000.00	free Christian Education Webinar series
Peconic Community Council, Inc.	\$5,000.00	offset cost of transporting homeless adults to shelters
Quality Services for the Autism Community	\$3,000.00	purchase 10 tablet devices for students w/ASD
Resilience Advocacy Project	\$3,000.00	support Foster Grandparent Prog-senior volunteer prog
Riverdale Community Center, Inc.	\$3,000.00	new tablet computers to seniors
Riverstone Senior Life Services, Inc.	\$3,000.00	free tai chi classes in senior center
S.L.E. Lupus Foundation, Inc.	\$5,000.00	support Lupus week at Camp Sunshine
Save the Children(Syria)	\$3,500.00	support refugee children in Syria
Save the Children(Typhoon Haiyan)	\$2,500.00	free legal representation to the poor
SCAN-NY Volunteer Parent-Aides Assoc.	\$2,500.00	Rehearsal for Living Prog for at-risk students
Service Program for Older People, Inc.(SPOP)	\$3,000.00	accessible mental health care for elderly New Yorkers
St. Aloysius School	\$3,000.00	promote adoption of foster care children
Steve's Camp at Horizon Farms, Inc.	\$2,500.00	support pilot after-school prog. For NYC high school students
Successful & Victorious Youth, Inc.(SAVY)	\$2,500.00	enrichment program for middle school girls ages 10-13
Sunnyside Community Services, Inc.	\$3,000.00	support college readiness program
Tender Touch for All	\$4,000.00	messages for seniors & veterans
The Cathedral Church of St. John the Divine	\$3,500.00	support Cathedral Comm. Cares(CCC) - fight poverty
The New York & Presbyterian Hospital	\$3,000.00	after-school prog & Summer Visua Arts Camp
The NY Council on Adoptable Children	\$3,000.00	give young fathers access to educational resources
The Opening Word, Inc.	\$3,500.00	increase Eng.&computer skills for L.I. immigrant women
The Salvation Army Greater NY Div.	\$3,500.00	continuation of Sandy relief
The Shield of David, Inc.	\$3,500.00	general support
The Urban Dove, Inc.	\$3,000.00	Typhoon Haiyan relief
The Viscardi Center, Inc.	\$3,000.00	Abilities, Inc. - support job seekers with disabilities
Westchester Exceptional Children, Inc.	\$3,000.00	purchase iPad software programs
World Vision(TyphoonHaiyan)	\$2,500.00	Typhoon Haiyan relief
	<b>\$221,750.00</b>	

# AFFIDAVIT OF PUBLICATION

TOWNS OF EAST AND SOUTHAMPTON }  
COUNTY OF SUFFOLK } -----:SS  
STATE OF NEW YORK }

Gavin Menu, as PUBLISHER of THE SAG HARBOR EXPRESS,  
a newspaper published by Coastal Publications, Inc. every  
Thursday at SAG HARBOR in the Towns of East Hampton  
and Southampton. County of Suffolk, N.Y., being duly sworn deposes  
and says that the Legal Notice of which the attached is a Copy  
was published in said

THE SAG HARBOR EXPRESS on 1/8/15

[Signature]

Sworn to before me this 15<sup>th</sup>

day of January, 20 15

NOTARY PUBLIC, SUFFOLK COUNTY, N.Y.

[Signature: Donna W. Molin]

Donna W. Molin  
Notary Public State of New York  
Qualified in Suffolk County  
Commission Expires ~~08-23~~ 11-17-18

---

**LEGAL NOTICE**

**PUBLIC NOTICE:** The Annual Return of The Laura B. Vogler Foundation, for The Fiscal Year Ending 10/31/14 is available at its principal office, 9 Noyac Avenue, Sag Harbor, New York 11963 for inspection during regular business hours by any citizen who requests it within 180 days hereof. Principal Manager of the Foundation is Lawrence L. D'Amato. Dated January 5, 2015.

1x1/8/15