Form **990-PF**

Department of the Treasury
Internal Revenue Service

Return of Private Foundation

or Section 4947(a)(1) Trust Treated as Private Foundation

▶ Do not enter Social Security numbers on this form as it may be made public.

▶ Information about Form 990-PF and its separate instructions is at *www.irs.gov/form990pf.*

OMB No 1545-0052

2013

Open to Public Inspection

For calendar year 2013 or tax year beginning NOV 1, 2013, and ending OCT 31, 2014

Name of foundation WALDMAN FOUNDATION, INC. The	A Employer identification number 06-6068746
Number and street (or P O box number if mail is not delivered to street address) / Room/suite 914 SW 21 WAY	B Telephone number 954-426-2600
City or town, state or province, country, and ZIP or foreign postal code BOCA RATON, FL 33486	C If exemption application is pending, check here ▶ ☐
G Check all that apply: ☐ Initial return ☐ Initial return of a former public charity ☐ Final return ☐ Amended return ☐ Address change ☒ Name change	D 1. Foreign organizations, check here ▶ ☐ 2. Foreign organizations meeting the 85% test, check here and attach computation ▶ ☐
H Check type of organization: ☒ Section 501(c)(3) exempt private foundation ☐ Section 4947(a)(1) nonexempt charitable trust ☐ Other taxable private foundation	E If private foundation status was terminated under section 507(b)(1)(A), check here ▶ ☐
I Fair market value of all assets at end of year (from Part II, col. (c), line 16) ▶$ 522,145. / J Accounting method: ☒ Cash ☐ Accrual ☐ Other (specify) (Part I, column (d) must be on cash basis.)	F If the foundation is in a 60-month termination under section 507(b)(1)(B), check here ▶ ☐

Part I **Analysis of Revenue and Expenses** (The total of amounts in columns (b), (c), and (d) may not necessarily equal the amounts in column (a))

		(a) Revenue and expenses per books	(b) Net investment income	(c) Adjusted net income	(d) Disbursements for charitable purposes (cash basis only)
Revenue	1 Contributions, gifts, grants, etc., received	50,000.		N/A	
	2 Check ▶ ☐ if the foundation is not required to attach Sch B				
	3 Interest on savings and temporary cash investments				
	4 Dividends and interest from securities	4,620.	4,620.		STATEMENT 1
	5a Gross rents				
	b Net rental income or (loss)				
	6a Net gain or (loss) from sale of assets not on line 10	32,292.			
	b Gross sales price for all assets on line 6a 245,767.				
	7 Capital gain net income (from Part IV, line 2)		32,292.		
	8 Net short-term capital gain				
	9 Income modifications				
	10a Gross sales less returns and allowances				
	b Less Cost of goods sold				
	c Gross profit or (loss)				
	11 Other income				
	12 **Total.** Add lines 1 through 11	86,912.	36,912.		
Operating and Administrative Expenses	13 Compensation of officers, directors, trustees, etc	0.	0.		0.
	14 Other employee salaries and wages				
	15 Pension plans, employee benefits				
	16a Legal fees				
	b Accounting fees STMT 2	2,355.	2,355.		0.
	c Other professional fees				
	17 Interest				
	18 Taxes STMT 3	362.	8.		0.
	19 Depreciation and depletion				
	20 Occupancy				
	21 Travel, conferences, and meetings				
	22 Printing and publications				
	23 Other expenses STMT 4	4,838.	4,838.		0.
	24 **Total operating and administrative expenses.** Add lines 13 through 23	7,555.	7,201.		0.
	25 Contributions, gifts, grants paid	83,996.			83,996.
	26 **Total expenses and disbursements.** Add lines 24 and 25	91,551.	7,201.		83,996.
	27 Subtract line 26 from line 12:				
	a Excess of revenue over expenses and disbursements	<4,639.>			
	b **Net investment income** (if negative, enter -0-)		29,711.		
	c **Adjusted net income** (if negative, enter -0-)			N/A	

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Part II Balance Sheets Attached schedules and amounts in the description column should be for end-of-year amounts only

		Beginning of year (a) Book Value	End of year (b) Book Value	End of year (c) Fair Market Value
Assets	1 Cash - non-interest-bearing	229,866.	242,436.	242,436.
	2 Savings and temporary cash investments			
	3 Accounts receivable ▶			
	Less: allowance for doubtful accounts ▶			
	4 Pledges receivable ▶			
	Less: allowance for doubtful accounts ▶			
	5 Grants receivable			
	6 Receivables due from officers, directors, trustees, and other disqualified persons			
	7 Other notes and loans receivable ▶			
	Less: allowance for doubtful accounts ▶			
	8 Inventories for sale or use			
	9 Prepaid expenses and deferred charges			
	10a Investments - U.S. and state government obligations			
	b Investments - corporate stock STMT 6	268,925.	242,138.	279,709.
	c Investments - corporate bonds			
	11 Investments - land, buildings, and equipment basis ▶			
	Less accumulated depreciation ▶			
	12 Investments - mortgage loans			
	13 Investments - other			
	14 Land, buildings, and equipment: basis ▶			
	Less accumulated depreciation ▶			
	15 Other assets (describe ▶)			
	16 **Total assets** (to be completed by all filers - see the instructions. Also, see page 1, item I)	498,791.	484,574.	522,145.
Liabilities	17 Accounts payable and accrued expenses			
	18 Grants payable			
	19 Deferred revenue			
	20 Loans from officers, directors, trustees, and other disqualified persons			
	21 Mortgages and other notes payable			
	22 Other liabilities (describe ▶)			
	23 **Total liabilities** (add lines 17 through 22)	0.	0.	
Net Assets or Fund Balances	**Foundations that follow SFAS 117, check here ▶ ☐ and complete lines 24 through 26 and lines 30 and 31.**			
	24 Unrestricted			
	25 Temporarily restricted			
	26 Permanently restricted			
	Foundations that do not follow SFAS 117, check here ▶ [X] and complete lines 27 through 31.			
	27 Capital stock, trust principal, or current funds	0.	0.	
	28 Paid-in or capital surplus, or land, bldg., and equipment fund	0.	0.	
	29 Retained earnings, accumulated income, endowment, or other funds	498,791.	484,574.	
	30 **Total net assets or fund balances**	498,791.	484,574.	
	31 **Total liabilities and net assets/fund balances**	498,791.	484,574.	

Part III Analysis of Changes in Net Assets or Fund Balances

1	Total net assets or fund balances at beginning of year - Part II, column (a), line 30 (must agree with end-of-year figure reported on prior year's return)	1	498,791.
2	Enter amount from Part I, line 27a	2	<4,639.>
3	Other increases not included in line 2 (itemize) ▶	3	0.
4	Add lines 1, 2, and 3	4	494,152.
5	Decreases not included in line 2 (itemize) ▶ SEE STATEMENT 5	5	9,578.
6	Total net assets or fund balances at end of year (line 4 minus line 5) - Part II, column (b), line 30	6	484,574.

Part IV Capital Gains and Losses for Tax on Investment Income

	(a) List and describe the kind(s) of property sold (e.g., real estate, 2-story brick warehouse; or common stock, 200 shs. MLC Co.)	(b) How acquired P - Purchase D - Donation	(c) Date acquired (mo., day, yr.)	(d) Date sold (mo., day, yr.)
1a	PUBLICLY TRADED SECURITIES, SEE ATTACHED	P	VARIOUS	VARIOUS
b	PUBLICLY TRADED SECURITIES, SEE ATTACHED	P	VARIOUS	VARIOUS
c				
d				
e				

	(e) Gross sales price	(f) Depreciation allowed (or allowable)	(g) Cost or other basis plus expense of sale	(h) Gain or (loss) (e) plus (f) minus (g)
a	156,771.		125,032.	31,739.
b	88,996.		88,443.	553.
c				
d				
e				

Complete only for assets showing gain in column (h) and owned by the foundation on 12/31/69

	(i) F.M.V. as of 12/31/69	(j) Adjusted basis as of 12/31/69	(k) Excess of col. (i) over col. (j), if any	(l) Gains (Col. (h) gain minus col. (k), but not less than -0-) or Losses (from col. (h))
a				31,739.
b				553.
c				
d				
e				

2 Capital gain net income or (net capital loss) { If gain, also enter in Part I, line 7; If (loss), enter -0- in Part I, line 7 } **2** 32,292.

3 Net short-term capital gain or (loss) as defined in sections 1222(5) and (6): If gain, also enter in Part I, line 8, column (c). If (loss), enter -0- in Part I, line 8 **3** N/A

Part V Qualification Under Section 4940(e) for Reduced Tax on Net Investment Income

(For optional use by domestic private foundations subject to the section 4940(a) tax on net investment income.)

If section 4940(d)(2) applies, leave this part blank.

Was the foundation liable for the section 4942 tax on the distributable amount of any year in the base period? ☐ Yes X No

If "Yes," the foundation does not qualify under section 4940(e). Do not complete this part.

1 Enter the appropriate amount in each column for each year; see the instructions before making any entries.

(a) Base period years Calendar year (or tax year beginning in)	(b) Adjusted qualifying distributions	(c) Net value of noncharitable-use assets	(d) Distribution ratio (col. (b) divided by col. (c))
2012	77,841.	518,475.	.150135
2011	49,900.	502,611.	.099282
2010	49,884.	499,565.	.099855
2009	61,320.	474,788.	.129152
2008	57,460.	533,309.	.107742

2	**Total** of line 1, column (d)	2	.586166
3	Average distribution ratio for the 5-year base period - divide the total on line 2 by 5, or by the number of years the foundation has been in existence if less than 5 years	3	.117233
4	Enter the net value of noncharitable-use assets for 2013 from Part X, line 5	4	544,337.
5	Multiply line 4 by line 3	5	63,814.
6	Enter 1% of net investment income (1% of Part I, line 27b)	6	297.
7	Add lines 5 and 6	7	64,111.
8	Enter qualifying distributions from Part XII, line 4	8	83,996.

If line 8 is equal to or greater than line 7, check the box in Part VI, line 1b, and complete that part using a 1% tax rate. See the Part VI instructions.

Part VI Excise Tax Based on Investment Income (Section 4940(a), 4940(b), 4940(e), or 4948 - see instructions)

1a	Exempt operating foundations described in section 4940(d)(2), check here ▶ ☐ and enter "N/A" on line 1. Date of ruling or determination letter: ______ (attach copy of letter if necessary-see instructions)		
b	Domestic foundations that meet the section 4940(e) requirements in Part V, check here ▶ [X] and enter 1% of Part I, line 27b	1	297.
c	All other domestic foundations enter 2% of line 27b. Exempt foreign organizations enter 4% of Part I, line 12, col. (b).		
2	Tax under section 511 (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	2	0.
3	Add lines 1 and 2	3	297.
4	Subtitle A (income) tax (domestic section 4947(a)(1) trusts and taxable foundations only. Others enter -0-)	4	0.
5	**Tax based on investment income.** Subtract line 4 from line 3. If zero or less, enter -0-	5	297.
6	Credits/Payments:		
a	2013 estimated tax payments and 2012 overpayment credited to 2013 — 6a		
b	Exempt foreign organizations - tax withheld at source — 6b		
c	Tax paid with application for extension of time to file (Form 8868) — 6c		
d	Backup withholding erroneously withheld — 6d		
7	Total credits and payments. Add lines 6a through 6d	7	0.
8	Enter any **penalty** for underpayment of estimated tax. Check here ☐ if Form 2220 is attached	8	
9	**Tax due.** If the total of lines 5 and 8 is more than line 7, enter **amount owed** ▶	9	297.
10	**Overpayment.** If line 7 is more than the total of lines 5 and 8, enter the **amount overpaid** ▶	10	
11	Enter the amount of line 10 to be: **Credited to 2014 estimated tax** ▶ — **Refunded** ▶	11	

Part VII-A Statements Regarding Activities

			Yes	No
1a	During the tax year, did the foundation attempt to influence any national, state, or local legislation or did it participate or intervene in any political campaign?	1a		X
b	Did it spend more than $100 during the year (either directly or indirectly) for political purposes (see instructions for the definition)?	1b		X
	If the answer is "Yes" to 1a or 1b, attach a detailed description of the activities and copies of any materials published or distributed by the foundation in connection with the activities.			
c	Did the foundation file **Form 1120-POL** for this year?	1c		X
d	Enter the amount (if any) of tax on political expenditures (section 4955) imposed during the year: **(1)** On the foundation. ▶ $ 0. **(2)** On foundation managers. ▶ $ 0.			
e	Enter the reimbursement (if any) paid by the foundation during the year for political expenditure tax imposed on foundation managers. ▶ $ 0.			
2	Has the foundation engaged in any activities that have not previously been reported to the IRS? *If "Yes," attach a detailed description of the activities.*	2		X
3	Has the foundation made any changes, not previously reported to the IRS, in its governing instrument, articles of incorporation, or bylaws, or other similar instruments? *If "Yes," attach a conformed copy of the changes*	3		X
4a	Did the foundation have unrelated business gross income of $1,000 or more during the year?	4a		X
b	If "Yes," has it filed a tax return on **Form 990-T** for this year? N/A	4b		
5	Was there a liquidation, termination, dissolution, or substantial contraction during the year? *If "Yes," attach the statement required by General Instruction T.*	5		X
6	Are the requirements of section 508(e) (relating to sections 4941 through 4945) satisfied either: • By language in the governing instrument, or • By state legislation that effectively amends the governing instrument so that no mandatory directions that conflict with the state law remain in the governing instrument?	6	X	
7	Did the foundation have at least $5,000 in assets at any time during the year? *If "Yes," complete Part II, col. (c), and Part XV*	7	X	
8a	Enter the states to which the foundation reports or with which it is registered (see instructions) ▶ CT			
b	If the answer is "Yes" to line 7, has the foundation furnished a copy of Form 990-PF to the Attorney General (or designate) of each state as required by *General Instruction G? If "No," attach explanation*	8b	X	
9	Is the foundation claiming status as a private operating foundation within the meaning of section 4942(j)(3) or 4942(j)(5) for calendar year 2013 or the taxable year beginning in 2013 (see instructions for Part XIV)? *If "Yes," complete Part XIV*	9		X
10	Did any persons become substantial contributors during the tax year? If "Yes," attach a schedule listing their names and addresses	10		X

Form **990-PF** (2013)

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10-10-13

Part VII-A Statements Regarding Activities *(continued)*

		Line	Yes	No
11	At any time during the year, did the foundation, directly or indirectly, own a controlled entity within the meaning of section 512(b)(13)? If "Yes," attach schedule (see instructions)	11		X
12	Did the foundation make a distribution to a donor advised fund over which the foundation or a disqualified person had advisory privileges? If "Yes," attach statement (see instructions)	12		X
13	Did the foundation comply with the public inspection requirements for its annual returns and exemption application?	13	X	

Website address ▶ N/A

14 The books are in care of ▶ ANA WALDMAN Telephone no. ▶ 954-426-2600

Located at ▶ 914 SW 21 WAY, BOCA RATON, FL ZIP+4 ▶ 33486

15 Section 4947(a)(1) nonexempt charitable trusts filing Form 990-PF in lieu of Form 1041 - Check here ▶ ☐
and enter the amount of tax-exempt interest received or accrued during the year ▶ 15 N/A

		Line	Yes	No
16	At any time during calendar year 2013, did the foundation have an interest in or a signature or other authority over a bank, securities, or other financial account in a foreign country?	16		X
	See the instructions for exceptions and filing requirements for Form TD F 90-22.1. If "Yes," enter the name of the foreign country ▶			

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required

File Form 4720 if any item is checked in the "Yes" column, unless an exception applies.

			Line	Yes	No
1a	During the year did the foundation (either directly or indirectly):				
	(1) Engage in the sale or exchange, or leasing of property with a disqualified person?	☐ Yes X No			
	(2) Borrow money from, lend money to, or otherwise extend credit to (or accept it from) a disqualified person?	☐ Yes ☒ No			
	(3) Furnish goods, services, or facilities to (or accept them from) a disqualified person?	☐ Yes ☒ No			
	(4) Pay compensation to, or pay or reimburse the expenses of, a disqualified person?	☐ Yes ☒ No			
	(5) Transfer any income or assets to a disqualified person (or make any of either available for the benefit or use of a disqualified person)?	☐ Yes ☒ No			
	(6) Agree to pay money or property to a government official? (**Exception.** Check "No" if the foundation agreed to make a grant to or to employ the official for a period after termination of government service, if terminating within 90 days.)	☐ Yes ☒ No			
b	If any answer is "Yes" to 1a(1)-(6), did **any** of the acts fail to qualify under the exceptions described in Regulations section 53.4941(d)-3 or in a current notice regarding disaster assistance (see instructions)?	N/A	1b		
	Organizations relying on a current notice regarding disaster assistance check here	▶ ☐			
c	Did the foundation engage in a prior year in any of the acts described in 1a, other than excepted acts, that were not corrected before the first day of the tax year beginning in 2013?		1c		X
2	Taxes on failure to distribute income (section 4942) (does not apply for years the foundation was a private operating foundation defined in section 4942(j)(3) or 4942(j)(5)):				
a	At the end of tax year 2013, did the foundation have any undistributed income (lines 6d and 6e, Part XIII) for tax year(s) beginning before 2013?	☐ Yes X No			
	If "Yes," list the years ▶ ______, ______, ______, ______				
b	Are there any years listed in 2a for which the foundation is **not** applying the provisions of section 4942(a)(2) (relating to incorrect valuation of assets) to the year's undistributed income? (If applying section 4942(a)(2) to **all** years listed, answer "No" and attach statement - see instructions.)	N/A	2b		
c	If the provisions of section 4942(a)(2) are being applied to **any** of the years listed in 2a, list the years here. ▶ ______, ______, ______, ______				
3a	Did the foundation hold more than a 2% direct or indirect interest in any business enterprise at any time during the year?	☐ Yes X No			
b	If "Yes," did it have excess business holdings in 2013 as a result of (**1**) any purchase by the foundation or disqualified persons after May 26, 1969; (**2**) the lapse of the 5-year period (or longer period approved by the Commissioner under section 4943(c)(7)) to dispose of holdings acquired by gift or bequest; or (**3**) the lapse of the 10-, 15-, or 20-year first phase holding period? *(Use Schedule C, Form 4720, to determine if the foundation had excess business holdings in 2013.)*	N/A	3b		
4a	Did the foundation invest during the year any amount in a manner that would jeopardize its charitable purposes?		4a		X
b	Did the foundation make any investment in a prior year (but after December 31, 1969) that could jeopardize its charitable purpose that had not been removed from jeopardy before the first day of the tax year beginning in 2013?		4b		X

Part VII-B Statements Regarding Activities for Which Form 4720 May Be Required *(continued)*

5a During the year did the foundation pay or incur any amount to:

(1) Carry on propaganda, or otherwise attempt to influence legislation (section 4945(e))? ☐ Yes X No

(2) Influence the outcome of any specific public election (see section 4955); or to carry on, directly or indirectly, any voter registration drive? ☐ Yes ☒ No

(3) Provide a grant to an individual for travel, study, or other similar purposes? ☐ Yes ☒ No

(4) Provide a grant to an organization other than a charitable, etc., organization described in section 509(a)(1), (2), or (3), or section 4940(d)(2)? ☐ Yes X No

(5) Provide for any purpose other than religious, charitable, scientific, literary, or educational purposes, or for the prevention of cruelty to children or animals? ☐ Yes ☒ No

b If any answer is "Yes" to 5a(1)-(5), did any of the transactions fail to qualify under the exceptions described in Regulations section 53.4945 or in a current notice regarding disaster assistance (see instructions)? N/A **5b**

Organizations relying on a current notice regarding disaster assistance check here ▶ ☐

c If the answer is "Yes" to question 5a(4), does the foundation claim exemption from the tax because it maintained expenditure responsibility for the grant? N/A ☐ Yes No

If "Yes," attach the statement required by Regulations section 53.4945-5(d).

6a Did the foundation, during the year, receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? ☐ Yes X No

b Did the foundation, during the year, pay premiums, directly or indirectly, on a personal benefit contract? **6b** X (No)

If "Yes" to 6b, file Form 8870.

7a At any time during the tax year, was the foundation a party to a prohibited tax shelter transaction? ☐ Yes X No

b If "Yes," did the foundation receive any proceeds or have any net income attributable to the transaction? N/A **7b**

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors

1 List all officers, directors, trustees, foundation managers and their compensation.

(a) Name and address	(b) Title, and average hours per week devoted to position	(c) Compensation (If not paid, enter -0-)	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
ANA WALDMAN 914 SW 21 WAY BOCA RATON, FL 33486	PRESIDENT 0.00	0.	0.	0.
ANDREW WALDMAN 914 SW 21 WAY BOCA RATON, FL 33486	VP 0.00	0.	0.	0.

2 Compensation of five highest-paid employees (other than those included on line 1). If none, enter "NONE."

(a) Name and address of each employee paid more than $50,000	(b) Title, and average hours per week devoted to position	(c) Compensation	(d) Contributions to employee benefit plans and deferred compensation	(e) Expense account, other allowances
NONE				

Total number of other employees paid over $50,000 ▶ 0

Part VIII Information About Officers, Directors, Trustees, Foundation Managers, Highly Paid Employees, and Contractors *(continued)*

3 Five highest-paid independent contractors for professional services. If none, enter "NONE."

(a) Name and address of each person paid more than $50,000	(b) Type of service	(c) Compensation
NONE		
Total number of others receiving over $50,000 for professional services ▶		0

Part IX-A Summary of Direct Charitable Activities

List the foundation's four largest direct charitable activities during the tax year. Include relevant statistical information such as the number of organizations and other beneficiaries served, conferences convened, research papers produced, etc.	Expenses
1 N/A	
2	
3	
4	

Part IX-B Summary of Program-Related Investments

Describe the two largest program-related investments made by the foundation during the tax year on lines 1 and 2.	Amount
1 N/A	
2	
All other program-related investments. See instructions.	
3	
Total. Add lines 1 through 3 ▶	0.

Form **990-PF** (2013)

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Part X Minimum Investment Return (All domestic foundations must complete this part. Foreign foundations, see instructions.)

1	Fair market value of assets not used (or held for use) directly in carrying out charitable, etc., purposes:		
a	Average monthly fair market value of securities	1a	286,924.
b	Average of monthly cash balances	1b	265,702.
c	Fair market value of all other assets	1c	
d	**Total** (add lines 1a, b, and c)	1d	552,626.
e	Reduction claimed for blockage or other factors reported on lines 1a and 1c (attach detailed explanation) — 1e: 0.		
2	Acquisition indebtedness applicable to line 1 assets	2	0.
3	Subtract line 2 from line 1d	3	552,626.
4	Cash deemed held for charitable activities. Enter 1 1/2% of line 3 (for greater amount, see instructions)	4	8,289.
5	**Net value of noncharitable-use assets.** Subtract line 4 from line 3. Enter here and on Part V, line 4	5	544,337.
6	**Minimum investment return.** Enter 5% of line 5	6	27,217.

Part XI Distributable Amount (see instructions) (Section 4942(j)(3) and (j)(5) private operating foundations and certain foreign organizations check here ▶ ☐ and do not complete this part.)

1	Minimum investment return from Part X, line 6	1	27,217.
2a	Tax on investment income for 2013 from Part VI, line 5 — 2a: 297.		
b	Income tax for 2013. (This does not include the tax from Part VI.) — 2b:		
c	Add lines 2a and 2b	2c	297.
3	Distributable amount before adjustments. Subtract line 2c from line 1	3	26,920.
4	Recoveries of amounts treated as qualifying distributions	4	0.
5	Add lines 3 and 4	5	26,920.
6	Deduction from distributable amount (see instructions)	6	0.
7	**Distributable amount** as adjusted. Subtract line 6 from line 5. Enter here and on Part XIII, line 1	7	26,920.

Part XII Qualifying Distributions (see instructions)

1	Amounts paid (including administrative expenses) to accomplish charitable, etc., purposes:		
a	Expenses, contributions, gifts, etc. - total from Part I, column (d), line 26	1a	83,996.
b	Program-related investments - total from Part IX-B	1b	0.
2	Amounts paid to acquire assets used (or held for use) directly in carrying out charitable, etc., purposes	2	
3	Amounts set aside for specific charitable projects that satisfy the:		
a	Suitability test (prior IRS approval required)	3a	
b	Cash distribution test (attach the required schedule)	3b	
4	**Qualifying distributions.** Add lines 1a through 3b. Enter here and on Part V, line 8, and Part XIII, line 4	4	83,996.
5	Foundations that qualify under section 4940(e) for the reduced rate of tax on net investment income. Enter 1% of Part I, line 27b	5	297.
6	**Adjusted qualifying distributions.** Subtract line 5 from line 4	6	83,699.

Note. The amount on line 6 will be used in Part V, column (b), in subsequent years when calculating whether the foundation qualifies for the section 4940(e) reduction of tax in those years.

Part XIII Undistributed Income (see instructions)

	(a) Corpus	(b) Years prior to 2012	(c) 2012	(d) 2013
1 Distributable amount for 2013 from Part XI, line 7				26,920.
2 Undistributed income, if any, as of the end of 2013				
a Enter amount for 2012 only			0.	
b Total for prior years: ____, ____, ____		0.		
3 Excess distributions carryover, if any, to 2013:				
a From 2008 31,274.				
b From 2009 35,404.				
c From 2010 26,117.				
d From 2011 25,035.				
e From 2012 52,625.				
f **Total** of lines 3a through e	170,455.			
4 Qualifying distributions for 2013 from Part XII, line 4: ▶ $ 83,996.				
a Applied to 2012, but not more than line 2a			0.	
b Applied to undistributed income of prior years (Election required - see instructions)		0.		
c Treated as distributions out of corpus (Election required - see instructions)	0.			
d Applied to 2013 distributable amount				26,920.
e Remaining amount distributed out of corpus	57,076.			
5 Excess distributions carryover applied to 2013 (If an amount appears in column (d), the same amount must be shown in column (a).)	0.			0.
6 **Enter the net total of each column as indicated below:**				
a Corpus Add lines 3f, 4c, and 4e Subtract line 5	227,531.			
b Prior years' undistributed income. Subtract line 4b from line 2b		0.		
c Enter the amount of prior years' undistributed income for which a notice of deficiency has been issued, or on which the section 4942(a) tax has been previously assessed		0.		
d Subtract line 6c from line 6b. Taxable amount - see instructions		0.		
e Undistributed income for 2012. Subtract line 4a from line 2a. Taxable amount - see instr.			0.	
f Undistributed income for 2013. Subtract lines 4d and 5 from line 1. This amount must be distributed in 2014				0.
7 Amounts treated as distributions out of corpus to satisfy requirements imposed by section 170(b)(1)(F) or 4942(g)(3)	0.			
8 Excess distributions carryover from 2008 not applied on line 5 or line 7	31,274.			
9 **Excess distributions carryover to 2014.** Subtract lines 7 and 8 from line 6a	196,257.			
10 Analysis of line 9:				
a Excess from 2009 35,404.				
b Excess from 2010 26,117.				
c Excess from 2011 25,035.				
d Excess from 2012 52,625.				
e Excess from 2013 57,076.				

Part XIV Private Operating Foundations (see instructions and Part VII-A, question 9) N/A

1 a If the foundation has received a ruling or determination letter that it is a private operating foundation, and the ruling is effective for 2013, enter the date of the ruling ▶

b Check box to indicate whether the foundation is a private operating foundation described in section ☐ 4942(j)(3) or ☐ 4942(j)(5)

	Tax year	Prior 3 years			
	(a) 2013	(b) 2012	(c) 2011	(d) 2010	(e) Total
2 a Enter the lesser of the adjusted net income from Part I or the minimum investment return from Part X for each year listed					
b 85% of line 2a					
c Qualifying distributions from Part XII, line 4 for each year listed					
d Amounts included in line 2c not used directly for active conduct of exempt activities					
e Qualifying distributions made directly for active conduct of exempt activities. Subtract line 2d from line 2c					
3 Complete 3a, b, or c for the alternative test relied upon:					
a "Assets" alternative test - enter: (1) Value of all assets					
(2) Value of assets qualifying under section 4942(j)(3)(B)(i)					
b "Endowment" alternative test - enter 2/3 of minimum investment return shown in Part X, line 6 for each year listed					
c "Support" alternative test - enter: (1) Total support other than gross investment income (interest, dividends, rents, payments on securities loans (section 512(a)(5)), or royalties)					
(2) Support from general public and 5 or more exempt organizations as provided in section 4942(j)(3)(B)(iii)					
(3) Largest amount of support from an exempt organization					
(4) Gross investment income					

Part XV Supplementary Information (Complete this part only if the foundation had $5,000 or more in assets at any time during the year-see instructions.)

1 **Information Regarding Foundation Managers:**

a List any managers of the foundation who have contributed more than 2% of the total contributions received by the foundation before the close of any tax year (but only if they have contributed more than $5,000). (See section 507(d)(2).)

NONE

b List any managers of the foundation who own 10% or more of the stock of a corporation (or an equally large portion of the ownership of a partnership or other entity) of which the foundation has a 10% or greater interest.

NONE

2 **Information Regarding Contribution, Grant, Gift, Loan, Scholarship, etc., Programs:**

Check here ▶ ☒ if the foundation only makes contributions to preselected charitable organizations and does not accept unsolicited requests for funds. If the foundation makes gifts, grants, etc. (see instructions) to individuals or organizations under other conditions, complete items 2a, b, c, and d.

a The name, address, and telephone number or e-mail address of the person to whom applications should be addressed:

b The form in which applications should be submitted and information and materials they should include:

c Any submission deadlines:

d Any restrictions or limitations on awards, such as by geographical areas, charitable fields, kinds of institutions, or other factors:

Part XV Supplementary Information (continued)

3 Grants and Contributions Paid During the Year or Approved for Future Payment

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
a Paid during the year				
WESTCHESTER JEWISH COMMUNITY SERVICES WHITE PLAINS, NY		PC	ANNUAL DRIVE	1,800.
BOARD OF TRUSTEES OF BELOIT COLLEGE BELOIT, WI		PC	ANNUAL DRIVE	12,500.
UNITED JEWISH AGENCY NEW YORK, NY		PC	ANNUAL DRIVE	25,000.
FRIENDS OF THE ISRAEL DEFENSE FORCES NEW YORK, NY		PC	ANNUAL DRIVE	1,800.
WESTCHESTER JEWISH COMMUNITY SERVICES WHITE PLAINS, NY		PC	ANNUAL DRIVE	450.
Total SEE CONTINUATION SHEET(S)			▶ 3a	83,996.
b Approved for future payment				
NONE				
Total			▶ 3b	0.

Part XVI-A Analysis of Income-Producing Activities

Enter gross amounts unless otherwise indicated.	Unrelated business income (a) Business code	Unrelated business income (b) Amount	Excluded by section 512, 513, or 514 (c) Exclusion code	Excluded by section 512, 513, or 514 (d) Amount	(e) Related or exempt function income
1 Program service revenue:					
a					
b					
c					
d					
e					
f					
g Fees and contracts from government agencies					
2 Membership dues and assessments					
3 Interest on savings and temporary cash investments					
4 Dividends and interest from securities			14	4,620.	
5 Net rental income or (loss) from real estate:					
a Debt-financed property					
b Not debt-financed property					
6 Net rental income or (loss) from personal property					
7 Other investment income					
8 Gain or (loss) from sales of assets other than inventory			18	32,292.	
9 Net income or (loss) from special events					
10 Gross profit or (loss) from sales of inventory					
11 Other revenue:					
a					
b					
c					
d					
e					
12 Subtotal. Add columns (b), (d), and (e)		0.		36,912.	0.

13 Total. Add line 12, columns (b), (d), and (e) 13 36,912.

(See worksheet in line 13 instructions to verify calculations.)

Part XVI-B Relationship of Activities to the Accomplishment of Exempt Purposes

Line No. ▼	Explain below how each activity for which income is reported in column (e) of Part XVI-A contributed importantly to the accomplishment of the foundation's exempt purposes (other than by providing funds for such purposes).

Part XVII **Information Regarding Transfers To and Transactions and Relationships With Noncharitable Exempt Organizations**

			Yes	No
1	Did the organization directly or indirectly engage in any of the following with any other organization described in section 501(c) of the Code (other than section 501(c)(3) organizations) or in section 527, relating to political organizations?			
a	Transfers from the reporting foundation to a noncharitable exempt organization of:			
	(1) Cash	1a(1)		X
	(2) Other assets	1a(2)		X
b	Other transactions:			
	(1) Sales of assets to a noncharitable exempt organization	1b(1)		X
	(2) Purchases of assets from a noncharitable exempt organization	1b(2)		X
	(3) Rental of facilities, equipment, or other assets	1b(3)		X
	(4) Reimbursement arrangements	1b(4)		X
	(5) Loans or loan guarantees	1b(5)		X
	(6) Performance of services or membership or fundraising solicitations	1b(6)		X
c	Sharing of facilities, equipment, mailing lists, other assets, or paid employees	1c		X

d If the answer to any of the above is "Yes," complete the following schedule. Column (b) should always show the fair market value of the goods, other assets, or services given by the reporting foundation. If the foundation received less than fair market value in any transaction or sharing arrangement, show in column (d) the value of the goods, other assets, or services received.

(a) Line no	(b) Amount involved	(c) Name of noncharitable exempt organization	(d) Description of transfers, transactions, and sharing arrangements
		N/A	

2a Is the foundation directly or indirectly affiliated with, or related to, one or more tax-exempt organizations described in section 501(c) of the Code (other than section 501(c)(3)) or in section 527? ☐ Yes ☒ No

b If "Yes," complete the following schedule.

(a) Name of organization	(b) Type of organization	(c) Description of relationship
N/A		

Sign Here

Under penalties of perjury, I declare that I have examined this return, including accompanying schedules and statements, and to the best of my knowledge and belief, it is true, correct, and complete Declaration of preparer (other than taxpayer) is based on all information of which preparer has any knowledge

▶ Ina Marie Waldman, Pres ▶ 3/12/2015

Signature of officer or trustee | Date | Title

May the IRS discuss this return with the preparer shown below (see instr)? ☒ Yes ☐ No

Paid Preparer Use Only

Print/Type preparer's name	Preparer's signature	Date	Check ☐ if self-employed	PTIN
STEPHEN GUEST	STEPHEN GUEST	02/26/15		P01239915

Firm's name ▶ BLUM, SHAPIRO & COMPANY, P.C., CPA'S — Firm's EIN ▶ 06-1009205

Firm's address ▶ 29 S. MAIN STREET, P.O. BOX 272000 WEST HARTFORD, CT 06127-2000 — Phone no. 860 561-4000

Form **990-PF** (2013)

323622
10-10-13

Schedule B
(Form 990, 990-EZ, or 990-PF)
Department of the Treasury
Internal Revenue Service

Schedule of Contributors

▶ Attach to Form 990, Form 990-EZ, or Form 990-PF.
▶ Information about Schedule B (Form 990, 990-EZ, or 990-PF) and its instructions is at *www.irs.gov/form990.*

OMB No 1545-0047

2013

Name of the organization

WALDMAN FOUNDATION, INC.

Employer identification number

06-6068746

Organization type (check one):

Filers of:	**Section:**
Form 990 or 990-EZ	☐ 501(c)( ) (enter number) organization
	☐ 4947(a)(1) nonexempt charitable trust **not** treated as a private foundation
	☐ 527 political organization
Form 990-PF	☒ 501(c)(3) exempt private foundation
	☐ 4947(a)(1) nonexempt charitable trust treated as a private foundation
	☐ 501(c)(3) taxable private foundation

Check if your organization is covered by the **General Rule** or a **Special Rule.**
Note. Only a section 501(c)(7), (8), or (10) organization can check boxes for both the General Rule and a Special Rule. See instructions.

General Rule

☒ For an organization filing Form 990, 990-EZ, or 990-PF that received, during the year, $5,000 or more (in money or property) from any one contributor. Complete Parts I and II.

Special Rules

☐ For a section 501(c)(3) organization filing Form 990 or 990-EZ that met the 33 1/3% support test of the regulations under sections 509(a)(1) and 170(b)(1)(A)(vi) and received from any one contributor, during the year, a contribution of the greater of **(1)** $5,000 or **(2)** 2% of the amount on (i) Form 990, Part VIII, line 1h, or (ii) Form 990-EZ, line 1. Complete Parts I and II.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, total contributions of more than $1,000 for use *exclusively* for religious, charitable, scientific, literary, or educational purposes, or the prevention of cruelty to children or animals. Complete Parts I, II, and III.

☐ For a section 501(c)(7), (8), or (10) organization filing Form 990 or 990-EZ that received from any one contributor, during the year, contributions for use *exclusively* for religious, charitable, etc., purposes, but these contributions did not total to more than $1,000. If this box is checked, enter here the total contributions that were received during the year for an *exclusively* religious, charitable, etc., purpose. Do not complete any of the parts unless the **General Rule** applies to this organization because it received *nonexclusively* religious, charitable, etc., contributions of $5,000 or more during the year . . . . ▶ $ ____________

Caution. An organization that is not covered by the General Rule and/or the Special Rules does not file Schedule B (Form 990, 990-EZ, or 990-PF), but it **must** answer "No" on Part IV, line 2, of its Form 990; or check the box on line H of its Form 990-EZ or on its Form 990-PF, Part I, line 2, to certify that it does not meet the filing requirements of Schedule B (Form 990, 990-EZ, or 990-PF).

Name of organization	Employer identification number
WALDMAN FOUNDATION, INC.	06-6068746

Part I **Contributors** (see instructions). Use duplicate copies of Part I if additional space is needed.

(a) No.	(b) Name, address, and ZIP + 4	(c) Total contributions	(d) Type of contribution
1	RUBILOFF FAMILY NEW YORK, NY	$ 50,000.	Person [X] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)
		$	Person [ ] Payroll [ ] Noncash [ ] (Complete Part II for noncash contributions.)

Name of organization	Employer identification number
WALDMAN FOUNDATION, INC.	06-6068746

Part II **Noncash Property** (see instructions). Use duplicate copies of Part II if additional space is needed.

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

(a) No. from Part I	(b) Description of noncash property given	(c) FMV (or estimate) (see instructions)	(d) Date received
		$	

Name of organization: WALDMAN FOUNDATION, INC.

Employer identification number: 06-6068746

Part III *Exclusively* religious, charitable, etc., individual contributions to section 501(c)(7), (8), or (10) organizations that total more than $1,000 for the year. Complete columns (a) through (e) and the following line entry. For organizations completing Part III, enter the total of *exclusively* religious, charitable, etc., contributions of $1,000 or less for the year. (Enter this information once) ▶ $

Use duplicate copies of Part III if additional space is needed

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

(a) No. from Part I	(b) Purpose of gift	(c) Use of gift	(d) Description of how gift is held

(e) Transfer of gift

Transferee's name, address, and ZIP + 4	Relationship of transferor to transferee

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
RUTH AND NORMAN RALES JEWISH FAMILY SERVICES BOCA RATON, FL		PC	ANNUAL DRIVE	7,435.
RUTH AND NORMAN RALES JEWISH FAMILY SERVICES BOCA RATON, FL		PC	ANNUAL DRIVE	170.
BOCA RATON REGIONAL HOSPITAL FOUNDATION BOCA RATON, FL		PC	ANNUAL DRIVE	2,500.
RUTH AND NORMAN RALES JEWISH FAMILY SERVICES BOCA RATON, FL		PC	ANNUAL DRIVE	496.
TORAH ACADEMY OF BOCA RATON BOCA RATON, FL		PC	ANNUAL DRIVE	2,500.
PINE CREST SCHOOL FT LAUDERDALE, FL		PC	ANNUAL DRIVE	2,500.
ADL (ANTI-DEFAMATION LEAGUE) NEW YORK, NY		PC	ANNUAL DRIVE	1,000.
AFMDA (AMERICAN FRIENDS OF MAGEN DAVID ADOM) NEW YORK, NY		PC	ANNUAL DRIVE	2,500.
JEWISH FEDERATION OF PALM BEACH COUNTY WEST PALM BEACH, FL		PC	ANNUAL DRIVE	1,800.
RUTH AND NORMAN RALES JEWISH FAMILY SERVICES BOCA RATON, FL		PC	ANNUAL DRIVE	1,500.
Total from continuation sheets				42,446.

323631
05-01-13

Part XV Supplementary Information

3 Grants and Contributions Paid During the Year (Continuation)

Recipient Name and address (home or business)	If recipient is an individual, show any relationship to any foundation manager or substantial contributor	Foundation status of recipient	Purpose of grant or contribution	Amount
PLAY FOR P I N K NEW YORK, NY		PC	ANNUAL DRIVE	1,800.
CONGREGATION BETH SHALOM OF COCONUT CREEK COCONUT CREEK, FL		PC	ANNUAL DRIVE	1,800.
JEWISH FEDERATION OF BROWARD COUNTY DAVIE, FL		PC	ANNUAL DRIVE	1,800.
KINGSWOOD OXFORD SCHOOL WEST HARTFORD, CT		PC	ANNUAL DRIVE	5,000.
RUTH AND NORMAN RALES JEWISH FAMILY SERVICES BOCA RATON, FL		PC	ANNUAL DRIVE	7,745.
BNAI ROTAH CONGREGATION BOCA RATON, FL		PC	ANNUAL DRIVE	1,900.
Total from continuation sheets				

FORM 990-PF DIVIDENDS AND INTEREST FROM SECURITIES STATEMENT 1

SOURCE	GROSS AMOUNT	CAPITAL GAINS DIVIDENDS	(A) REVENUE PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME
ACCRUED INTEREST PAID - MORGAN STANLEY ACCOUNT#	<18.>	0.	<18.>	<18.>	
ACCRUED INTEREST RECEIVED - MORGAN STANLEY ACCOUNT#	188.	0.	188.	188.	
DIVIDENDS - MORGAN STANLEY ACCOUNT# 304-879733-818	25.	0.	25.	25.	
DIVIDENDS - MORGAN STANLEY ACCOUNT# 304-879780-818	3,775.	0.	3,775.	3,775.	
INTEREST - MORGAN STANLEY ACCOUNT# 304-879780-818	650.	0.	650.	650.	
TO PART I, LINE 4	4,620.	0.	4,620.	4,620.	

FORM 990-PF ACCOUNTING FEES STATEMENT 2

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
TAX PREPARATION FEES	2,355.	2,355.		0.
TO FORM 990-PF, PG 1, LN 16B	2,355.	2,355.		0.

FORM 990-PF TAXES STATEMENT 3

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
FOREIGN TAXES	8.	8.		0.
EXCISE TAXES	354.	0.		0.
TO FORM 990-PF, PG 1, LN 18	362.	8.		0.

FORM 990-PF OTHER EXPENSES STATEMENT 4

DESCRIPTION	(A) EXPENSES PER BOOKS	(B) NET INVEST-MENT INCOME	(C) ADJUSTED NET INCOME	(D) CHARITABLE PURPOSES
INVESTMENT FEES	4,738.	4,738.		0.
FILING FEES	100.	100.		0.
TO FORM 990-PF, PG 1, LN 23	4,838.	4,838.		0.

FORM 990-PF OTHER DECREASES IN NET ASSETS OR FUND BALANCES STATEMENT 5

DESCRIPTION	AMOUNT
PRIOR YEAR ADJUSTMENT	9,114.
CURRENT YEAR BASIS ADJUSTMENT	464.
TOTAL TO FORM 990-PF, PART III, LINE 5	9,578.

FORM 990-PF CORPORATE STOCK STATEMENT 6

DESCRIPTION	BOOK VALUE	FAIR MARKET VALUE
MORGAN STANLEY ACCOUNT# 304-879780-818, SEE ATTACHED	242,138.	279,709.
TOTAL TO FORM 990-PF, PART II, LINE 10B	242,138.	279,709.

Morgan Stanley

Statement Linked Realized Gain/Loss - Summary
(11/01/13 - 10/31/14)
As of 01/23/2015

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF
914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

Prepared by Private Wealth Management
Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
Short Term					
FNMA 1 1/4 9-28-16	3135G0CM3	2,000.000	$2,032.29	$2,031.18	$(1.11)
US TSY NOTE 1 1/4 10-31-18	912828WD8	3,000.000	2,976.34	2,978.43	2.09
AMERICAN AIRLS GROUP INC	AAL	42.000	1,714.10	1,279.27	(434.83)
ACE LTD	ACE	19.000	1,718.56	1,853.18	134.62
AMER INTL GP INC NEW	AIG	28.000	1,232.63	1,365.62	132.99
ALLSTATE CORP	ALL	12.000	552.55	697.54	144.99
AMAZON COM INC	AMZN	2.000	632.54	599.64	(32.90)
BRISTOL MYERS SQUIBB CO	BMY	28.000	1,449.83	1,320.61	(129.22)
CELGENE CORP	CELG	16.000	1,717.86	2,508.64	790.78
CME GROUP INC	CME	18.000	1,378.82	1,374.17	(4.65)
CUMMINS INC	CMI	11.000	1,672.62	1,592.07	(80.55)
CAPITAL ONE FINANCIAL CORP	COF	17.000	1,420.90	1,291.17	(129.73)
CONOCOPHILLIPS	COP	28.000	1,997.95	2,155.51	157.56
SALESFORCE.COM,INC.	CRM	45.000	2,594.32	2,574.99	(19.33)
CHEVRON CORP	CVX	15.000	1,805.02	1,683.27	(121.75)
DELTA AIR LINES INC NEW	DAL	128.000	4,421.47	4,376.60	(44.87)
FACEBOOK INC CL-A	FB	34.000	1,961.43	1,938.15	(23.28)
FREEPORT-MCMORAN INC	FCX	46.000	1,669.85	1,583.34	(86.51)
GENERAL ELECTRIC CO	GE	38.000	874.83	973.70	98.87
GENERAL MTRS CO	GM	26.000	926.73	876.88	(49.85)
GOLDMAN SACHS GRP INC	GS	2.000	361.27	312.36	(48.91)
HONEYWELL INTERNATIONAL INC	HON	45.000	3,915.85	4,135.56	219.71
STARWOOD HTLS & RSTS WW INC	HOT	25.000	1,910.15	1,923.92	13.77
LINKEDIN CORP-A	LNKD	5.000	1,129.19	1,030.25	(98.94)
LOWES COMPANIES INC	LOW	20.000	823.44	931.86	108.42
LAS VEGAS SANDS CORPORATION	LVS	20.000	1,163.64	1,357.66	194.02
MICROSOFT CORP	MSFT	33.000	1,205.78	1,343.15	137.37
NEWS CORPORATION CL A	NWSA	72.000	1,114.91	1,128.76	13.85
REGENERON PHARM	REGN	3.000	882.20	815.58	(66.62)
RED HAT INC	RHT	30.000	1,771.49	1,589.33	(182.16)
TE CONNECTIVITY LTD NEW	TEL	4.000	199.31	219.99	20.68

Morgan Stanley

Statement Linked Realized Gain/Loss - Summary
(01/01/14 - 10/31/14)
As of 01/23/2015

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF
914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

Prepared by Private Wealth Management
Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
TEXAS INSTRUMENTS	TXN	43.000	$1,700.76	$1,986.88	$286.12
VALEANT PHARMACEUTIC INTL INC	VRX	18.000	2,470.01	2,107.65	(362.36)
VERIZON COMMUNICATIONS	VZ	55.000	2,813.99	2,677.36	(136.63)
YAHOO INC	YHOO	42.000	1,568.15	1,425.09	(143.06)
Short Term Totals:			**$57,780.78**	**$58,039.36**	**$258.58**
Long Term					
FNMA 1 1/4 9-28-16	3135G0CM3	33,000.000	33,468.34	33,379.50	(88.84)
AMER INTL GP INC NEW	AIG	26.000	935.63	1,257.87	322.24
AMERICAN TOWER REIT COM	AMT	7.000	345.49	614.91	269.42
AMAZON COM INC	AMZN	8.000	2,105.04	2,457.79	352.75
AMERICAN EXPRESS CO	AXP	15.000	749.86	1,294.05	544.19
BOEING CO	BA	48.000	3,951.75	5,947.03	1,995.28
BANK OF AMERICA CORP	BAC	74.000	819.37	1,163.67	344.30
BIOGEN IDEC INC	BIIB	3.000	392.87	931.94	539.07
CITIGROUP INC NEW	C	110.000	3,559.25	5,302.12	1,742.87
CELGENE CORP	CELG	16.000	1,784.05	2,263.56	479.51
COMCAST CORP (NEW) CLASS A	CMCSA	1.000	31.55	53.35	21.80
CDN PACIFIC RY LTD NEW	CP	15.000	1,834.45	2,844.95	1,010.50
CVS CAREMARK CORP	CVS	31.000	1,380.96	2,375.18	994.22
CHEVRON CORP	CVX	5.000	530.29	597.83	67.54
WALT DISNEY CO HLDG CO	DIS	5.000	216.21	398.72	182.51
EBAY INC	EBAY	35.000	1,450.66	1,905.11	454.45
EXPRESS SCRIPTS HLDG CO COM	ESRX	27.000	1,395.27	1,880.36	485.09
TWENTY-FIRST CENTURY FOX CL A	FOXA	66.000	947.59	2,210.65	1,263.06
GENERAL ELECTRIC CO	GE	95.000	1,759.55	2,465.46	705.91
GILEAD SCIENCE	GILD	24.000	563.39	1,852.01	1,288.62
GENERAL MTRS CO	GM	106.000	2,870.11	3,699.60	829.49
GOOGLE INC CL C	GOOG	5.000	1,366.15	2,635.65	1,269.50
GOOGLE INC-CL A	GOOGL	3.000	1,109.90	2,219.11	1,109.21
GOLDMAN SACHS GRP INC	GS	21.000	2,953.36	3,297.59	344.23
HALLIBURTON CO	HAL	69.000	2,327.96	4,289.40	1,961.44
HOME DEPOT INC	HD	1.000	35.51	76.84	41.33
JOHNSON & JOHNSON	JNJ	16.000	1,207.82	1,667.60	459.78
JPMORGAN CHASE & CO	JPM	26.000	1,005.72	1,380.62	374.90
LIBERTY GLOBAL PLC CL A NEW	LBTYA	22.000	778.23	843.35	65.12

Morgan Stanley

Statement Linked Realized Gain/Loss - Summary

(01/01/14 - 10/31/14)

As of 01/23/2015

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF
914 S.W. 21ST WAY
BOCA RATON FL 33486-5238

Prepared by Private Wealth Management

Ph.

Acct. 304-879780-818

Description	Symbol/CUSIP	Quantity	Cost Basis	Net Proceeds	Gain/Loss
LIBERTY GLOBAL PLC CL C NEW	LBTYK	22.000	$751.61	$822.97	$71.36
LOWES COMPANIES INC	LOW	24.000	858.80	1,118.24	259.44
LAS VEGAS SANDS CORPORATION	LVS	27.000	1,495.71	1,939.76	444.05
MASTERCARD INC CL A	MA	28.000	994.88	2,048.53	1,053.65
MARSH & MCLENNAN COS INC	MMC	4.000	133.53	195.03	61.50
NEWS CORPORATION CL A	NWSA	32.000	251.04	501.67	250.63
PRECISION CASTPARTS CORP	PCP	15.000	2,693.19	3,509.02	815.83
PFIZER INC	PFE	153.000	3,417.94	4,454.79	1,036.85
CHARLES SCHWAB NEW	SCHW	34.000	472.38	927.73	455.35
SCHLUMBERGER LTD	SLB	28.000	2,269.18	2,834.09	564.91
UNION PACIFIC CORP	UNP	8.000	191.91	887.73	695.82
UNITED TECHNOLOGIES CORP	UTX	30.000	2,278.31	3,292.39	1,014.08
Long Term Totals:			**$87,684.81**	**$113,837.77**	**$26,152.96**
01/01/14 - 10/31/14 Short & Long Term Totals:			**$145,465.59**	**$171,877.13**	**$26,411.54**

** Gain/Loss is only calculated when an original cost basis is available.

New Treasury regulations require that we report your adjusted cost basis and classify the gain or loss as either long-term or short-term on the sale of covered securities acquired on or after January 1, 2011. These regulations also require that we make basis adjustments due to wash sales, certain corporate actions and transfers by gift or inheritance, which will be reflected on your Form 1099-B. This section may not reflect all of the basis adjustments we are required to make for tax reporting purposes. The information in this section is provided for informational purposes only and should not be used in the preparation of your income tax returns.

1/1/13 - 11/30/13 Short Term Gain/(Loss)	5,902.64	6,030.38	127.74
12/1/13 - 12/31/13 Short Term Gain/(Loss)	24,759.10	24,926.02	166.92
01/01/14 - 10/31/14 Short Term Gain/Loss from above	57,780.78	58,039.36	258.58
Total Short Term Gain/(Loss)	88,442.52	88,995.76	553.24
11/1/13 - 11/30/13 Long Term Gain/(Loss)	3,591.76	5,328.95	1,737.19
12/1/13 - 12/31/13 Long Term Gain/(Loss)	33,755.31	37,604.28	3,848.97
01/01/14 - 10/31/14 Long Term Gain/Loss from above	87,684.81	113,837.77	26,152.96
Total Long Term Gain/(lOSS)	125,031.88	156,771.00	31,739.12

Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

INTERESTED PARTY COPY

STOCKS

COMMON STOCKS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ALLSTATE CORP (ALL)	6/6/13	1.000	$46.046	$46.05	$64.85	$18.80 LT		
	6/7/13	20.000	47.226	944.52	1,297.00	352.48 LT		
	6/21/13	17 000	47.225	802.82	1,102 45	299 63 LT		
	6/26/13	18 000	47.756	859.61	1,167 30	307.69 LT		
	7/8/13	17.000	50.179	853.04	1,102 45	249 41 LT		
	5/16/14	17.000	57 515	977.76	1,102.45	124 69 ST		
	Total	90.000		4,483.80	**5,836.50**	1,228.01 LT 124.69 ST	101.00	1.73
Share Price $64.850; Next Dividend Payable 01/2015								
AMERICAN EXPRESS CO (AXP)	6/22/11	29.000	49 991	1,449 74	2,608.55	1,158.81 LT		
	4/17/14	4.000	86 323	345.29	359.80	14.51 ST		
	Total	33.000		1,795.03	**2,968.35**	1,158.81 LT 14.51 ST	34 00	1.14
Share Price. $89.950, Next Dividend Payable 11/10/14								
AMERICAN TOWER REIT COM (AMT)	9/8/10	7.000	49.355	345.48	682 50	337.02 LT		
	9/9/11	13 000	52.460	681.98	1,267.50	585.52 LT		
	10/9/14	9.000	94.918	854.26	877.50	23.24 ST		
	10/10/14	10.000	95.979	959.79	975 00	15.21 ST		
	Total	39.000		2,841 51	**3,802.50**	922.54 LT 38 45 ST	56 00	1.47
Share Price. $97.500, Next Dividend Payable 01/2015								
AMGEN INC (AMGN)	10/23/14	5.000	147.436	737.18	810 90	73.72 ST		
	10/28/14	6.000	155.523	933.14	973.08	39 94 ST		
	Total	11.000		1,670 32	**1,783.98**	113.66 ST	27.00	1 51
Share Price. $162 180, Next Dividend Payable 12/2014								
APPLE INC (AAPL)	10/21/13	14 000	74.490	1,042 85	1,512.00	469 15 LT		
	10/24/13	21.000	75.845	1,592 74	2,268.00	675.26 LT		
	4/29/14	7.000	84 787	593.51	756.00	162.49 ST		
	4/30/14	21.000	85 349	1,792.33	2,268.00	475.67 ST		
	5/5/14	7.000	85 311	597.18	756 00	158 82 ST		
	8/22/14	4.000	100.770	403.08	432 00	28.92 ST		
	Total	74.000		6,021 69	**7,992.00**	1,144.41 LT 825.90 ST	139.00	1.73
Share Price $108 000, Next Dividend Payable 11/2014								

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Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

INTERESTED PARTY COPY

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
BANK OF AMERICA CORP (BAC)	2/12/13	35.000	12.127	424.45	600.60	176 15 LT		
	5/23/13	40.000	13.261	530.45	686.40	155.95 LT		
	7/18/13	63.000	14.832	934.44	1,081.08	146.64 LT		
	1/15/14	116.000	17.212	1,996.58	1,990.56	(6.02) ST		
	9/19/14	33.000	17.025	561.84	566.28	4.44 ST		
	Total	287.000		4,447.76	**4,924.92**	478.74 LT (1.58) ST	57.00	1.15
Share Price: $17.160; Next Dividend Payable 12/2014								
BIOGEN IDEC INC (BIIB)	5/30/12	1.000	130.958	130.96	321.08	190.12 LT		
	10/31/12	4.000	138.335	553.34	1,284.32	730.98 LT		
	12/24/13	3.000	280.553	841.66	963.24	121.58 ST		
	1/13/14	3.000	293.823	881.47	963.24	81.77 ST		
	Total	11.000		2,407.43	**3,531.88**	921.10 LT 203.35 ST	—	—
Share Price. $321.080								
BOEING CO (BA)	5/20/13	6 000	98.860	593.16	749.46	156.30 LT		
	6/26/13	2.000	100.770	201.54	249.82	48.28 LT		
	9/23/13	6.000	117.037	702.22	749.46	47.24 LT		
	9/24/13	7.000	119.459	836.21	874.37	38.16 LT		
	3/14/14	6.000	123.335	740.01	749.46	9.45 ST		
	Total	27.000		3,073.14	**3,372.57**	289.98 LT 9.45 ST	79.00	2.34
Share Price: $124.910, Next Dividend Payable 12/2014								
CAPITAL ONE FINANCIAL CORP (COF)	7/16/14	3.000	84.514	253.54	248.31	(5.23) ST		
	8/1/14	11.000	79.190	871.09	910.47	39.38 ST		
	9/15/14	11.000	81.601	897.61	910.47	12.86 ST		
	10/8/14	10.000	81.449	814.49	827.70	13.21 ST		
	Total	35.000		2,836.73	**2,896.95**	60.22 ST	42.00	1.44
Share Price: $82.770, Next Dividend Payable 11/2014								
CDN PACIFIC RY LTD NEW (CP)	4/23/13	1.000	124.560	124.56	207.68	83.12 LT		
	4/23/13	4.000	121.770	487.08	830.72	343.64 LT		
	5/20/13	7.000	137.480	962.36	1,453.76	491.40 LT		
	6/4/13	6.000	128.312	769.87	1,246.08	476.21 LT		
	12/20/13	2.000	153.520	307.04	415.36	108.32 ST		
	1/14/14	1.000	148.930	148.93	207.68	58.75 ST		
	1/30/14	6.000	152.590	915.54	1,246.08	330.54 ST		

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Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	4/29/14	4.000	151 015	604.06	830 72	226 66 ST		
	Total	31.000		4,319 44	**6,438.08**	1,394 37 LT 724.27 ST	39.00	0.60
Share Price $207 680, Next Dividend Payable 01/2015								
CELGENE CORP (CELG)	4/12/13	6.000	61.379	368.28	642.54	274 26 LT		
	4/23/13	6.000	64.000	384 00	642 54	258 54 LT		
	Total	12 000		752.28	**1,285.08**	532.80 LT	—	—
Share Price. $107.090								
CHARLES SCHWAB NEW (SCHW)	3/9/12	61.000	14 114	860.98	1,748 87	887.89 LT		
	4/15/14	43.000	25.953	1,116.00	1,232.81	116 81 ST		
	8/26/14	20.000	28 961	579 22	573 40	(5.82) ST		
	Total	124.000		2,556.20	**3,555.08**	887 89 LT 110.99 ST	30.00	0.84
Share Price. $28 670, Next Dividend Payable 11/2014								
CISCO SYS INC (CSCO)	7/25/14	33.000	25 934	855 83	807.51	(48.32) ST		
	7/30/14	32.000	25 588	818.82	783.04	(35 78) ST		
	Total	65 000		1,674 65	**1,590.55**	(84.10) ST	49.00	3 08
Share Price. $24 470, Next Dividend Payable 01/2015								
CITIGROUP INC NEW (C)	9/19/13	20.000	52 335	1,046.69	1,070 60	23.91 LT		
	9/19/13	16 000	52.278	836 44	856.48	20 04 LT		
	Total	36.000		1,883 13	**1,927.08**	43.95 LT	1.00	0 05
Share Price. $53.530, Next Dividend Payable 11/26/14								
COMCAST CORP (NEW) CLASS A (CMCSA)	7/11/12	11.000	31 548	347.03	608 85	261.82 LT		
	9/6/12	25 000	34 458	861.44	1,383 75	522 31 LT		
	9/14/12	24 000	35.294	847 05	1,328.40	481.35 LT		
	11/7/12	12.000	36 509	438.11	664.20	226.09 LT		
	1/31/14	10.000	54.494	544.94	553 50	8.56 ST		
	5/5/14	18.000	52.279	941.02	996.30	55.28 ST		
	9/9/14	5 000	56.320	281 60	276.75	(4.85) ST		
	10/10/14	18.000	53 463	962 33	996 30	33 97 ST		
	Total	123.000		5,223.52	**6,808.05**	1,491.57 LT 92.96 ST	111 00	1.63
Share Price. $55.350, Next Dividend Payable 01/2015								
CONOCOPHILLIPS (COP)	4/16/14	11.000	73 426	807.69	793 65	(14.04) ST		
	4/16/14	9.000	73.585	662.27	649 35	(12 92) ST		
	4/29/14	25 000	74.843	1,871 07	1,803.75	(67 32) ST		

Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	45.000		3,341.03	**3,246.75**	(94.28) ST	131.00	4.03
Share Price: $72.150, Next Dividend Payable 12/01/14								
CROWN CASTLE INTL (CCI)	10/21/14	10.000	84.274	842.74	**781.20**	(61.54) ST	14.00	1.79
Share Price: $78.120, Next Dividend Payable 12/2014								
CVS HEALTH CORP COM (CVS)	8/21/12	17.000	45.786	778.35	1,458.77	680.42 LT		
	12/24/13	8.000	71.185	569.48	686.48	117.00 ST		
	1/27/14	24.000	67.280	1,614.72	2,059.44	444.72 ST		
	1/31/14	6.000	67.947	407.68	514.86	107.18 ST		
	10/10/14	5.000	83.266	416.33	429.05	12.72 ST		
	Total	60.000		3,786.56	**5,148.60**	680.42 LT 681.62 ST	66.00	1.28
Share Price: $85.810, Next Dividend Payable 11/03/14								
FACEBOOK INC CL-A (FB)	1/30/14	19 000	61.112	1,161.14	1,424.81	263.67 ST		
	2/13/14	2.000	66.780	133.56	149.98	16.42 ST		
	3/4/14	15.000	68.667	1,030.01	1,124.85	94.84 ST		
	Total	36.000		2,324.71	**2,699.64**	374.93 ST	—	—
Share Price: $74.990								
GILEAD SCIENCE (GILD)	4/19/12	18.000	26.108	469.94	2,016.00	1,546.06 LT		
	5/30/12	28.000	25.197	705.51	3,136.00	2,430.49 LT		
	Total	46.000		1,175.45	**5,152.00**	3,976.55 LT	—	—
Share Price: $112.000								
GOOGLE INC CL C (GOOG)	2/26/13	2.000	395 620	791.24	1,118.16	326.92 LT		
	3/8/13	1.000	413.360	413.36	559.08	145.72 LT		
	Total	3.000		1,204.60	**1,677.24**	472.64 LT	—	—
Share Price: $559.080								
GOOGLE INC-CL A (GOOGL)	8/30/11	1.000	269.530	269.53	567.87	298.34 LT		
	9/15/11	1.000	272.530	272.53	567.87	295.34 LT		
	9/20/11	1.000	276.560	276.56	567.87	291.31 LT		
	2/26/13	2.000	396.885	793.77	1,135.74	341.97 LT		
	3/8/13	1.000	414.690	414.69	567.87	153.18 LT		
	Total	6.000		2,027.08	**3,407.22**	1,380.14 LT	—	—
Share Price: $567.870								
HALLIBURTON CO (HAL)	4/29/13	24.000	41.795	1,003.08	1,323.36	320.28 LT		
	11/6/13	12.000	54.431	653.17	661.68	8.51 ST		

Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

INTERESTED PARTY COPY

STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	Total	36.000		1,656.25	**1,985.04**	320 28 LT 8.51 ST	26.00	1.30
Share Price: $55.140, Next Dividend Payable 12/2014								
HEWLETT PACKARD (HPQ)	5/5/14	27.000	32.503	877.58	968.76	91 18 ST		
	6/13/14	21.000	34.690	728 49	753.48	24 99 ST		
	6/23/14	26 000	34 429	895.16	932.88	37.72 ST		
	8/26/14	18.000	37.772	679.89	645 84	(34.05) ST		
	Total	92.000		3,181 12	**3,300.96**	119 84 ST	59.00	1.78
Share Price $35.880, Next Dividend Payable 01/2015								
HOME DEPOT INC (HD)	10/18/11	5.000	35.513	177.57	487.60	310.03 LT		
	10/21/11	22 000	36.330	799 26	2,145 44	1,346.18 LT		
	11/23/11	21.000	36.594	768.47	2,047 92	1,279.45 LT		
	Total	48.000		1,745 30	**4,680.96**	2,935.66 LT	90.00	1 92
Share Price. $97.520, Next Dividend Payable 12/2014								
HONEYWELL INTERNATIONAL INC (HON)	1/27/14	2 000	90.359	180 72	192 24	11.52 ST		
	2/4/14	10.000	88 989	889.89	961.20	71.31 ST		
	4/2/14	7 000	93 896	657.27	672.84	15.57 ST		
	Total	19.000		1,727 88	**1,826.28**	98 40 ST	39.00	2.13
Share Price. $96 120, Next Dividend Payable 12/2014								
JOHNSON & JOHNSON (JNJ)	3/26/13	16.000	80 211	1,283.37	1,724.48	441.11 LT		
	10/23/13	8.000	91.851	734 81	862 24	127.43 LT		
	Total	24.000		2,018.18	**2,586.72**	568.54 LT	67.00	2.59
Share Price· $107.780, Next Dividend Payable 12/2014								
JPMORGAN CHASE & CO (JPM)	9/7/12	4 000	39.292	157 17	241 92	84.75 LT		
	9/26/12	24 000	40.502	972 04	1,451 52	479 48 LT		
	10/16/12	8.000	42.990	343.92	483.84	139.92 LT		
	10/31/12	21 000	41 507	871.64	1,270 08	398 44 LT		
	12/18/12	21.000	43.878	921.43	1,270 08	348 65 LT		
	Total	78.000		3,266 20	**4,717.44**	1,451.24 LT	125 00	2.64
Share Price. $60 480, Next Dividend Payable 01/2015								
KINDER MORGAN INCORP (KMI)	8/13/14	22.000	38.813	853 89	851.40	(2.49) ST		
	8/19/14	20.000	41.414	828 27	774.00	(54 27) ST		
	10/16/14	27.000	36.015	972.40	1,044 90	72.50 ST		
	Total	69.000		2,654.56	**2,670.30**	15.74 ST	121.00	4.53
Share Price $38.700, Next Dividend Payable 11/17/14								

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Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MACY'S INC (M)	3/24/14	15.000	58.371	875.57	867.30	(8.27) ST		
	3/31/14	15.000	59.401	891.01	867.30	(23.71) ST		
	4/3/14	14.000	60.490	846.86	809 48	(37.38) ST		
	Total	44.000		2,613.44	**2,544.08**	(69.36) ST	55.00	2.16
Share Price: $57.820, Next Dividend Payable 01/2015								
MARSH & MCLENNAN COS INC (MMC)	7/27/12	15.000	33.382	500.72	815.55	314.83 LT		
	8/7/12	26.000	33.941	882.46	1,413.62	531.16 LT		
	Total	41.000		1,383.18	**2,229.17**	845.99 LT	46.00	2.06
Share Price: $54.370; Next Dividend Payable 11/17/14								
MASTERCARD INC CL A (MA)	9/20/11	2.000	35.859	71.72	167.50	95.78 LT		
	9/23/11	30.000	33.672	1,010.17	2,512.50	1,502.33 LT		
	Total	32.000		1,081.89	**2,680.00**	1,598.11 LT	14.00	0.52
Share Price: $83.750, Next Dividend Payable 11/10/14								
MCKESSON CORP (MCK)	2/3/14	5.000	171.020	855.10	1,017.05	161.95 ST		
	2/10/14	5.000	173.146	865.73	1,017.05	151.32 ST		
	7/1/14	8.000	189.618	1,516.94	1,627.28	110.34 ST		
	7/31/14	4.000	194.323	777.29	813.64	36.35 ST		
	10/9/14	2.000	197.940	395.88	406.82	10.94 ST		
	Total	24.000		4,410.94	**4,881.84**	470.90 ST	23.00	0.47
Share Price: $203.410, Next Dividend Payable 01/2015								
MEDTRONIC INC (MDT)	6/18/14	14.000	62.329	872.61	954.24	81.63 ST		
	6/19/14	5.000	64.460	322.30	340.80	18.50 ST		
	6/23/14	14.000	64.437	902.12	954.24	52.12 ST		
	8/7/14	14.000	61.292	858.09	954.24	96.15 ST		
	9/9/14	5.000	65.620	328.10	340.80	12.70 ST		
	10/8/14	12.000	65.323	783.87	817.92	34.05 ST		
	Total	64.000		4,067.09	**4,362.24**	295.15 ST	78.00	1.78
Share Price. $68.160; Next Dividend Payable 01/2015								
MERCK & CO INC NEW COM (MRK)	5/9/14	16.000	55.268	884.28	927.04	42.76 ST		
	6/5/14	13.000	58.369	758.80	753.22	(5.58) ST		
	6/23/14	14.000	58.678	821.49	811.16	(10.33) ST		
	Total	43.000		2,464.57	**2,491.42**	26.85 ST	76.00	3.05
Share Price. $57.940; Next Dividend Payable 01/2015								
METLIFE INCORPORATED (MET)	9/19/14	30.000	56.496	1,694.89	**1,627.20**	(67.69) ST	42.00	2.58
Share Price: $54.240; Next Dividend Payable 12/2014								

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Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
MGD ACCT: ATALANTA SOSNOFF

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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
MICRON TECH INC (MU)	6/11/14	28.000	31.006	868 17	926.52	58 35 ST		
	6/17/14	24 000	32.165	771.96	794.16	22.20 ST		
	7/16/14	23 000	34 393	791.03	761 07	(29.96) ST		
	10/21/14	29.000	30 511	884 83	959.61	74.78 ST		
	Total	104.000		3,315 99	**3,441.36**	125 37 ST	—	—
Share Price: $33.090								
MICROSOFT CORP (MSFT)	1/27/14	15.000	36.354	545.31	704.25	158 94 ST		
	1/31/14	25 000	37.738	943.44	1,173 75	230 31 ST		
	3/24/14	35.000	40.000	1,399.99	1,643.25	243 26 ST		
	3/31/14	22.000	41 425	911 35	1,032.90	121.55 ST		
	7/23/14	17.000	45.039	765.67	798.15	32 48 ST		
	Total	114.000		4,565.76	**5,352.30**	786 54 ST	141.00	2.63
Share Price. $46 950, Next Dividend Payable 12/2014								
MORGAN STANLEY (MS)	4/29/14	29.000	30.504	884 63	1,013.55	128 92 ST		
	4/30/14	29.000	30 884	895 64	1,013 55	117.91 ST		
	8/25/14	19.000	34 423	654 04	664 05	10.01 ST		
	9/15/14	25 000	35.199	879.97	873.75	(6 22) ST		
	Total	102.000		3,314.28	**3,564.90**	250 62 ST	41 00	1.15
Share Price. $34.950; Next Dividend Payable 11/14/14								
RALPH LAUREN CORP CL A (RL)	9/4/14	5 000	172.422	862 11	824.20	(37 91) ST		
	9/10/14	4 000	174 320	697.28	659 36	(37 92) ST		
	Total	9.000		1,559 39	**1,483.56**	(75 83) ST	16.00	1.07
Share Price: $164 840, Next Dividend Payable 01/2015								
ROCHE HOLDINGS ADR (RHHBY)	3/21/14	24 000	37 520	900 48	883.44	(17.04) ST		
	4/1/14	23.000	37 511	862 76	846.63	(16.13) ST		
	Total	47 000		1,763 24	**1,730.07**	(33 17) ST	43.00	2.48
Share Price. $36 810								
SCHLUMBERGER LTD (SLB)	7/19/13	11.000	82 569	908 26	1,085.26	177.00 LT		
	3/4/14	10.000	92 309	923 09	986.60	63.51 ST		
	3/21/14	10.000	93 065	930 65	986 60	55.95 ST		
	Total	31 000		2,762.00	**3,058.46**	177.00 LT 119.46 ST	50.00	1.63
Share Price. $98 660, Next Dividend Payable 01/2015								
STARWOOD HTLS & RSTS WW INC (HOT)	12/24/13	5.000	79 341	396 71	**383.30**	(13 41) ST	7 00	1 82
Share Price. $76 660, Next Dividend Payable 12/2014								

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Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
T-MOBILE US INC COM (TMUS)	10/22/14	30.000	27.203	816.08	875.70	59.62 ST		
	10/28/14	30.000	28.595	857.85	875.70	17.85 ST		
	Total	60.000		1,673.93	**1,751.40**	77.47 ST	—	—
Share Price: $29.190								
TE CONNECTIVITY LTD NEW (TEL)	8/21/13	14.000	49.828	697.60	855.82	158.22 LT		
	9/10/13	18.000	52.801	950.41	1,100.34	149.93 LT		
	10/18/13	16.000	53.418	854.69	978.08	123.39 LT		
	Total	48.000		2,502.70	**2,934.24**	431.54 LT	56.00	1.90
Share Price: $61.130; Next Dividend Payable 12/2014								
THERMO FISHER SCIENTIFIC (TMO)	7/30/14	13.000	124.378	1,616.92	**1,528.41**	(88.51) ST	8.00	0.52
Share Price: $117.570; Next Dividend Payable 01/2015								
TIME WARNER INC NEW (TWX)	7/28/14	10.000	85.280	852.80	794.70	(58.10) ST		
	7/29/14	9.000	84 074	756.67	715.23	(41.44) ST		
	10/15/14	13.000	71.977	935.70	1,033.11	97.41 ST		
	Total	32.000		2,545.17	**2,543.04**	(2.13) ST	41.00	1.61
Share Price: $79.470, Next Dividend Payable 12/2014								
TJX COS INC NEW (TJX)	10/10/14	27.000	61.826	1,669.31	1,709.64	40.33 ST		
	10/22/14	13.000	62.008	806.11	823.16	17.05 ST		
	Total	40.000		2,475.42	**2,532.80**	57.38 ST	28.00	1.10
Share Price. $63.320; Next Dividend Payable 12/2014								
TWENTY-FIRST CENTURY FOX CL A (FOXA)	8/12/11	10.000	14.357	143.57	344.80	201.23 LT		
	8/24/11	50.000	14.736	736.80	1,724.00	987 20 LT		
	7/2/12	13.000	20.107	261.39	448.24	186.85 LT		
	8/22/12	1.000	20.720	20.72	34.48	13.76 LT		
	8/8/14	24.000	34.378	825.06	827.52	2.46 ST		
	8/22/14	26.000	35.845	931.96	896.48	(35.48) ST		
	Total	124.000		2,919.50	**4,275.52**	1,389.04 LT (33.02) ST	31.00	0.72
Share Price: $34.480, Next Dividend Payable 04/2015								
UNION PACIFIC CORP (UNP)	3/24/09	1.000	21.323	21.32	116.45	95.13 LT		
	8/3/09	22.000	30.189	664.15	2,561.90	1,897.75 LT		
	Total	23.000		685.47	**2,678.35**	1,992.88 LT	46.00	1.71
Share Price: $116.450; Next Dividend Payable 01/2015								
UNITED TECHNOLOGIES CORP (UTX)	1/2/13	9.000	83.382	750.44	963.00	212.56 LT		
	1/9/13	4.000	84.658	338.63	428.00	89.37 LT		
	6/18/13	5.000	96.016	480.08	535.00	54.92 LT		

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Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
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STOCKS

COMMON STOCKS (CONTINUED)

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
	3/31/14	5.000	116 572	582.86	535 00	(47.86) ST		
	4/29/14	8.000	117.839	942.71	856 00	(86.71) ST		
	Total	31.000		3,094.72	**3,317.00**	356.85 LT (134 57) ST	73.00	2.20

Share Price $107.000, Next Dividend Payable 12/2014

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
WALT DISNEY CO HLDG CO (DIS)	3/1/11	8 000	43.244	345.95	731.04	385.09 LT		
	1/25/12	16.000	39 376	630 01	1,462.08	832.07 LT		
	2/9/12	21.000	40.871	858 30	1,918.98	1,060 68 LT		
	5/31/12	17 000	45.648	776.01	1,553.46	777.45 LT		
	Total	62.000		2,610.27	**5,665.56**	3,055.29 LT	53.00	0.93

Share Price: $91.380, Next Dividend Payable 01/2015

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
STOCKS	59.2%	$132,455.76	$169,650.14	$32,126.34 LT $5,068.04 ST	$2,471.00 $0.00	1.46%

EXCHANGE-TRADED & CLOSED-END FUNDS

Security Description	Trade Date	Quantity	Unit Cost	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income	Dividend Yield %
ISHARES INTERM CREDIT BD ETF (CIU)	5/28/13	218 000	$110 635	$24,118.43	$23,960 38	$(158 05) LT		
	6/11/13	6 000	110 445	662.67	659.46	(3.21) LT H		
	9/25/13	7.000	107 999	755 99	769 37	13.38 LT		
	12/5/13	156.000	108 117	16,866 29	17,145 96	279.67 ST		
	Total	387.000		42,403 38	**42,535.17**	(147.88) LT 279.67 ST	1,062 00	2.49

Share Price. $109.910, Next Dividend Payable 11/2014, Basis Adjustment Due to Wash Sale. $13.97

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income / *Accrued Interest*	Yield %
EXCHANGE-TRADED & CLOSED-END FUNDS	14.8%	$42,403.38	$42,535.17	$(147.88) LT 279.67 ST	$1,062.00 $0.00	2.50%

Account Detail

Fiduciary Services Basic Securities Account
304-879780-818

WALDMAN FOUNDATION INC
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GOVERNMENT SECURITIES

TREASURY SECURITIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income *Accrued Interest*	Yield %
UNITED STATES TREASURY NOTE	12/4/13	33,000.000	$99.211	$32,739.72			$413 00	1.25
CUSIP 912828WD8			$99.211	$32,739.72	$32,847.87	$108.15 ST	$1.13	

Unit Price: $99.539; Coupon Rate 1.250%; Matures 10/31/2018, Int. Semi-Annually Apr/Oct 30; Yield to Maturity 1.369%; Moody AAA; Issued 10/31/13

FEDERAL AGENCIES

Security Description	Trade Date	Face Value	Orig. Unit Cost Adj. Unit Cost	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income *Accrued Interest*	Yield %
FED NATL MTG ASSN	8/28/14	35,000.000	$98.683	$34,539.05			$306.00	0.88
CUSIP 3135G0TG8			$98.683	$34,539.05	$34,604.50	$65.45 ST	$70.60	

Unit Price: $98.870, Coupon Rate 0.875%; Matures 02/08/2018; Int. Semi-Annually Feb/Aug 08; Yield to Maturity 1.229%; Moody AAA S&P AA+; Issued 01/07/13

	Face Value Percentage of Assets %	Orig. Total Cost Adj. Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income *Accrued Interest*	Yield %
GOVERNMENT SECURITIES	68,000.000	$67,278.77			$719.00	1.07%
		$67,278.77	$67,452.37	$173.60 ST	$71.73	
TOTAL GOVERNMENT SECURITIES (incl.accr.int.)	23.6%		$67,524.10			

	Percentage of Assets %	Total Cost	Market Value	Unrealized Gain/(Loss)	Estimated Annual Income *Accrued Interest*	Yield %
TOTAL MARKET VALUE	100.0%	$242,137.91	$286,512.51	$31,978.46 LT	$4,252.57	1.48%
				$5,521.31 ST	$71.73	

TOTAL VALUE (includes accrued interest) $286,584.24

H - Wash sale rules apply to this tax lot. The cost basis and acquisition date (trade date) have been adjusted to account for disallowed loss of a related wash sale transaction. The aggregate amount of the basis adjustment is identified in italics under the Security Description.
Unrealized Gain/(Loss) totals only reflect positions that have cost basis and/or market value information available. Cash, MMF, Deposits and positions stating 'Please Provide' are not included.

1 - 0		
1 - 1	TOTAL MARKET VALUE	286,584.24 +
1 - 2	LESS CASH	6,874.83 -
1 - T	Total FMV Equity	279,709.41 *